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AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

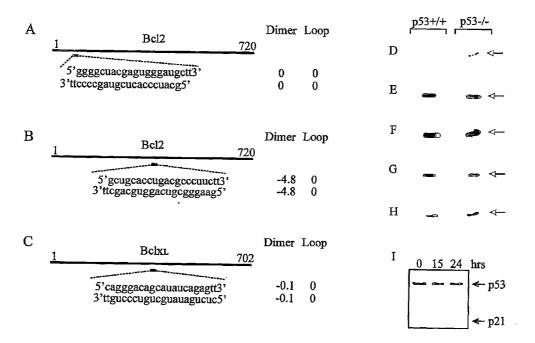
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[Continued on next page]

(54) Title: REGULATION OF GENE EXPRESSION



(57) Abstract: The present invention relates to a method of regulating apoptosis. The method comprises the step of introducing into a cell an RNA construct comprising a nucleotide sequence which is homologous to mRNA within said cell. The mRNA within the cell includes genetic information of a gene element involved in the regulation of apoptosis. The invention also relates to an siRNA construct having a nucleotide sequence which is homologous to mRNA transcribed from a gene element involved in the regulation of apoptosis.



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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Int nal Application No PurruB2004/001128

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C07K14/47 C12N15/11 A61K48/00 A61P35/00 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 7 C07K C12N A61K Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the International search (name of data base and, where practical, search terms used) EPO-Internal, BIOSIS, WPI Data, PAJ C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. X CIOCA DANIEL P ET AL: "RNA interference 1-4.7-11, is a functional pathway with therapeutic potential in human myeloid leukemia cell 15-18 lines.' CANCER GENE THERAPY, vol. 10, no. 2, February 2003 (2003-02), pages 125-133, XP002293680 ISSN: 0929-1903 page 126, left-hand column, last paragraph right-hand column, paragraph 1 figures 1,3 Υ page 132, left-hand column, paragraph 3 19 Further documents are listed in the continuation of box C. Patent family members are listed in annex. X ° Special categories of cited documents : 'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention \*E\* earlier document but published on or after the international filing date 'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) 'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-ments, such combination being obvious to a person skilled in the art. \*O\* document referring to an oral disclosure, use, exhibition or other means \*P\* document published prior to the international filing date but later than the priority date claimed \*&\* document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 0 8, 11, 2004 26 October 2004 Name and mailing address of the ISA Authorized officer European Palent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 ALCONADA RODRIGUEZ

In ional Application No

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Calegory	Ottation of document, with indication, where appropriate, or the relevant passages	THE PART TO CLAIM TWO.
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Y	GAUTSCHI O ET AL: "ACTIVITY OF A NOVEL BCL-2/BCL-XL-BISPECIFIC ANTISENSE OLIGONUCLEOTIDE AGAINST TUMORS OF DIVERSE HISTOLOGIC ORIGINS" JOURNAL OF THE NATIONAL CANCER INSTITUTE, US DEPT. OF HEALTH, EDICATIONAND WELFARE, PUBLIC HEALTH, US, vol. 93, no. 6, 21 March 2001 (2001-03-21), pages 463-471, XP009003270 ISSN: 0027-8874 figures 2-4	19
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Ρ,Χ	WACHECK VOLKER ET AL: "Small interfering RNA targeting Bcl-2 sensitizes malignant melanoma." OLIGONUCLEOTIDES, vol. 13, no. 5, October 2003 (2003-10), pages 393-400, XP001189796 ISSN: 1545-4576 page 394, right-hand column, paragraph 2; figures 4,5	1-4, 7-11, 15-18
	figures 4,5	

In ional Application No Full GB2004/001128

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<u> </u>	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Ρ, Χ	JIANG MING ET AL: "Bc1-2 constitutively suppresses p53-dependent apoptosis in colorectal cancer cells." GENES AND DEVELOPMENT, vol. 17, no. 7, 1 April 2003 (2003-04-01), pages 832-837, XP002293683 ISSN: 0890-9369 the whole document	1-4, 7-12, 15-20
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Ρ,Χ	WALTEMATHE M ET AL: "Essential role for bcl-xl as an antiapoptotic factor in TRAIL mediated apoptosis of hepatocytes during viral infection." JOURNAL OF HEPATOLOGY, vol. 38, no. Supplement 2, April 2003 (2003-04), page 107, XP002301757 & 38TH ANNUAL MEETING OF THE EUROPEAN ASSOCIATION FOR THE STUDY OF THE LIVER; INSTANBUL, TURKEY; MARCH 29-APRIL 01, 2003 ISSN: 0168-8278 abstract	1,2, 5-10,13, 15-18
Ρ,Χ	WO 03/040366 A (HAREL-BELLAN ANNICK; DAUTRY FRANCOIS (FR); AIT-SI-ALI SLIMANE (FR); C) 15 May 2003 (2003-05-15) claims 14,15,28,31	1,2,5, 7-10,13, 15-18

nte nal application No.

PCT/GB2004/001128

Box No. I Nucleotide and/or amino acid sequence(s) (Continuation of item 1.b of the first sheet) With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, the international search was carried out on the basis of: type of material Х a sequence listing table(s) related to the sequence listing format of material х in written format Х in computer readable form time of filing/furnishing contained in the international application as filed filed together with the international application in computer readable form furnished subsequently to this Authority for the purpose of search 2. In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished. 3. Additional comments:

national application No. PCT/GB2004/001128

This international Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:  1. X Claims Nos.:     because they relate to subject matter not required to be searched by this Authority, namely:     Although claims 1-7, as far as relating to an in vivo method, and claims 15 and 16 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.  2. Claims Nos.:     because they selet to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful international Search can be carried out, specifically:  3. Claims Nos.:     because they are dependent claims and are not crafted in accordance with the second and third sentences of Rule 6.4(a).  Box III Observations where unity of invention is tacking (Continuation of Item 3 of first sheet)  This international Searching Authority found multiple inventions in this international application, as follows:     see additional sheet  1. X As all required additional search less were timely paid by the applicant, this international Search Report covers all searchable claims.  2. As all required additional search less were timely paid by the applicant, this international Search Report covers all search additional features of any additional features for which fees were paid, specifically claims Nos.:  1. No required additional search less were simely paid by the applicant, this international Search Report covers only those claims for which fees were paid, specifically claims Nos.:  1. No required additional search less were simely paid by the applicant. Consequently, this International Search Report is restricted to this invention first mentioned in the claims; it is covered by claims Nos.:  1. No protest accompanied the payment of additional search fees.	
Although Claims Nos:     because they relate to subject matter not required to be searched by this Authority, namely:     Although Claims 1-7, as far as relating to an in vivo method, and claims 15 and 16 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.  Claims Nos:     because they role at the International Application that do not comply with the prescribed requirements to such an extent that no meaningful international Search can be carried out. specifically:  Claims Nos:     because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).  Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)  This international Searching Authority found multiple inventions in this international application, as follows:     see additional Search fees were timely paid by the applicant, this international Search Report covers al searchable claims.  2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.  3. As only some of the required additional search fees were timely paid by the applicant, this international Search Report covers only those claims for which fees were paid, specifically claims Nos.:  Remark on Protest  The additional search fees were timely paid by the applicant. Consequently, this international Search Report is restricted to the inventor first mentioned in the claims; it is covered by claims Nos.:	Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
because they relate to subject matter not required to be searched by this Authority, namely:  Although claims 1-7, as far as relating to an in vivo method, and claims 15 and 16 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compround/composition.  Claims Nos:  because they relate to parts of the International Apolication that do not comply with the prescribed requirements to such an extent that no meaningful international Search can be carried out, specifically:  Claims Nos:  because they are dependent claims and are not crafted in accordance with the second and third sentences of Rule 6.4(a).  Box III Observations where unity of invention is tacking (Continuation of Item 3 of first sheet)  This international Searching Authority found multiple inventions in this international application, as follows:  see additional sheet  1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.  3. As only some of the required additional search fees were limely paid by the applicant, this International Search Report covers only those claims for which fees were pasc, specifically claims Ness:  No required additional search fees were timely paid by the applicant. Consequently, this international Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nose:  The additional search fees were accompanied by the applicant's protest.	This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
and 16 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.  Claims Nos.:  Claims Nos.:  Claims Nos.:  Describe they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful international Search can be carried out, specifically:  Claims Nos.:  Describe they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).  Box III Observations where unity of invention is lacking (Continuation of Item 3 of first sheet)  This international Searching Authority found multiple inventions in this international application, as follows:  See additional sheet  As all required additional search fees were timely paid by the applicant, this international Search Report covers all searchable claims.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.  As only some of the required additional search fees were timely paid by the applicant, this international Search Report covers only those claims for which fees were paid, specifically claims Nos.:  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the inventor first mentioned in the claims; it is covered by claims Nos.:	
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful international Search can be carried out, specifically:  3.	and 16 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the
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This International Searching Authority found multiple inventions in this international application, as follows:  see additional sheet  1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.  2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.  3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:  4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  Remark on Protest  The additional search fees were accompanied by the applicant's protest.	
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	4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1,2,7-10, 15-20 (in part) and 3, 4,11, 12 (complete)

A siRNA construct which is homologous to the Bcl-2 mRNA; method of regulating apoptosis and of treating dieseases associated with inappropiate apoptosis by introducing said siRNA into a cell.

2. claims: 1,2,7-10, 15-20 (in part) and 5, 6, 13, 14 (complete)

As invention 1 but relating to an siRNA construct which is homologous to the Bcl-XL mRNA.

II anal Application No

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