



- (51) **International Patent Classification:**
C12N 15/10 (2006.01) C12Q 1/68 (2006.01)
- (21) **International Application Number:**
PCT/US2016/059232
- (22) **International Filing Date:**
27 October 2016 (27.10.2016)
- (25) **Filing Language:** English
- (26) **Publication Language:** English
- (30) **Priority Data:**
62/247,368 28 October 2015 (28.10.2015) US
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- (81) **Designated States** (unless otherwise indicated, for every
kind of national protection available): AE, AG, AL, AM,
AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY,
BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM,

DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT,
HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR,
KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME,
MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ,
OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA,
SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM,
TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM,
ZW.

- (84) **Designated States** (unless otherwise indicated, for every
kind of regional protection available): ARIPO (BW, GH,
GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ,
TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU,
TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE,
DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU,
LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK,
SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ,
GW, KM, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

— of inventorship (Rule 4.17(iv))

Published:

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the
claims and to be republished in the event of receipt of
amendments (Rule 48.2(h))

(54) **Title:** METHOD AND APPARATUS FOR ENCODING CELLULAR SPATIAL POSITION INFORMATION

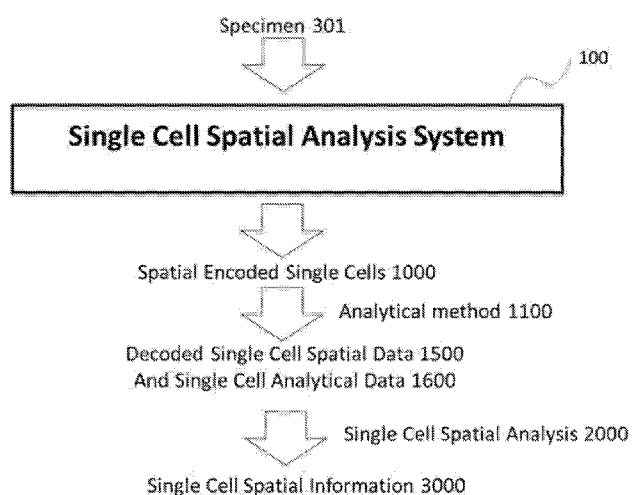


FIG. 1

(57) **Abstract:** A system, methods, and apparatus are described to collect and prepare single cells and groups of cells from microsamples of specimens and encode spatial information of the physical position of the cells in the specimen. In some embodiment, beads or surfaces with oligonucleotides containing spatial barcodes are used to analyze DNA or RNA. The spatial barcodes allow the position of the cell to be defined and the nucleic acid sequencing information, such as target sequencing, whole genome, gene expression, used to analyze the cells in a microsample for cell type, expression pattern, DNA sequence, and other information, in the context of the cell's physical position in the specimen. In other embodiment, markers such as isotopes are added to a microsample to encode spatial position with mass spectrometry or other analysis. The spatial encoded information is then readout by analysis such as DNA sequencing, mass spectrometry, fluorescence, or other methods.

(1) Title of the Invention:

[0001] Method and Apparatus for encoding cellular spatial position information

(2) Cross Reference to related applications (if any):

[0002] This application claims the benefit of the priority date of provisional patent application 62/247,368, filed October 28, 2015 (Jovanovich and Wagner, "Method and apparatus for encoding cellular spatial position information"), the contents of which are incorporated herein in their entirety.

(3) Statement of federally sponsored research or development (if any):

[0003] None.

(4) The names of the parties to a joint research agreement if the claimed invention was made as a result of activities within the scope of a joint research agreement:

[0004] None.

(5) Reference to a "Sequence Listing":

[0005] None.

(6) Background of the Invention

[0006] Analysis of single cells and groups of cells is now beginning to provide information to dissect and understand how cells function individually and unprecedented insight into the range of individual responses aggregated in ensemble measurements. Single cell methods for electrophysiology, flow cytometry, imaging, mass spectrometry (Lanni, E.J., *et. al.* J Am Soc Mass Spectrom. 2014; 25(11):1897-907.), microarray (Wang L and KA Janes. Nat Protoc. 2013; 8(2):282-301.), and Next Generation Sequencing (NGS) (Saliba A.E., *et. al.* Nucleic Acids Res. 2014; 42(14):8845-60.) have been developed and are driving an increased understanding of fundamental cellular processes, functions, and interconnected networks. As the individual processes and functions are understood and differentiated from ensemble measurements, the individual information can in turn lead to discovery of how network processes among cells operate. The networks may be in tissues, organs, multicellular organisms, symbionts, biofilms, surfaces, environments, or anywhere cells interact.

[0007] Next Generation Sequencing (NGS) of single cells is rapidly changing the state of knowledge of cells and tissue, discovering new cell types, and increasing understanding of the diversity of how cells and tissue function. Single cell NGS RNA

sequencing (Saliba A.E., *et. al.*, Nucleic Acids Res. 2014;42(14):8845-60.) (Shapiro E. *et. al.*, Nat Rev Genet. 2013;14(9):618-30.) is unveiling the complexity of cellular expression, and the heterogeneity from cell to cell, and from cell type to cell type (Buettner F. *et. al.*, Nat Biotechnol. 2015; 33(2):155-60.). *In situ* sequencing (Ke R *et. al.*, Nat Methods. 2013;10(9):857-60.), (Lee JH, *et. al.*, Nat Protoc. 2015;10(3):442-58.) (Lee JH, *et. al.*, Science. 2014, 21;343(6177):1360-3.) has shown the feasibility of directly sequencing of fixed cells. However, for RNA, many fewer reads are generated with *in situ* sequencing, biasing against detection of low abundant transcripts. Photoactivatable tags have been used to capture mRNA from single cells (Lovatt Det. *al.*, Nat Methods. 2014;11(2):190-6.) from known location in tissue, albeit with low throughput capture and manual cell collection.

[0008] Single cell nucleic acid sequencing technology and methods using NGS and Next Next Generation Sequencing (NNGS) are rapidly evolving. Common components are incorporation of a marker or barcode for each cell and molecule, reverse transcriptase for RNA sequencing, amplification, and pooling of sample for NGS and NNGS (collectively termed (N)NGS) library preparation and analysis. Starting with isolated single cells in wells, barcodes for individual cells and molecules have been incorporated by reverse transcriptase template switching before pooling and PCR amplification (Islam S. *et. al.* Genome Res. 2011; 21(7):1160-7.) (Ramsköld D. *et. al.* Nat Biotechnol. 2012; 30(8):777-82.) or on a barcoded poly-T primer with linear amplification (Hashimshony T. *et. al.* Cell Rep. 2012 Sep 27;2(3):666-73.) and unique molecular identifiers (Jaitin D.A. *et. al.* Science. 2014; 343(6172):776-9.).

[0009] Recent pioneering work has used the power of nanodroplets to perform highly parallel processing of mRNA from single cells with reverse transcription incorporating cell and molecular barcodes from freed primers (inDrop) (Klein A. M. *et. al.* Cell. 2015;161(5):1187-201.) or primers attached to paramagnetic beads (DropSeq) (Macosko E.Z. *et. al.* Cell. 2015;161(5):1202-14.). Both inDrop and DropSeq represent scalable approaches that will change the scale from 100s of cells previously analyzed to 1,000s and more. However, neither method encodes where in the physical three dimensional (3D) tissue the cell originated and what cells were in that microenvironment.

[0010] In the future, the spatial position of each individual cell (or groups of cells in a microenvironment) and what the state of individual cell(s) is, *i.e.*, DNA sequence, DNA modifications, RNA splicing, RNA modifications, RNA expression pattern, proteome,

metabolome, *etc.*, will further the understanding of how tissue functions, how the diversity of cells and the heterogeneity affects human health, including cancer formation and progression, how the microbiome interacts with the host, cell and tissue response to stimuli including therapeutic agents (Crosetto N. *et. al.* Nat Rev Genet. 2015;16(1):57-66.), and how cells interact in the environment among other fundamental questions.

(7) Brief Summary of the Invention

[0011] Disclosed herein is a Single Cell Spatial Analysis System™ **100** that encodes the information of the spatial position **130** where a microsample **125** was collected from tissue or from a specimen **301** for single cell sequencing, proteomics, cellular analysis, metabolomics and other analysis modalities. The Single Cell Spatial Analysis System **100** will give researchers, clinicians, forensic scientists, and many other applications and disciplines, a fundamental new capability to understand how single cells function, combining two and three dimensional spatial information with gene expression, and/or sequencing information, cellular response to chemical/environmental challenges, protein expression, and other analysis methods.

[0012] The Spatial Subsystem (also referred to herein as the “Spatial Preparation Subsystem” or “Spatial Preparation System” or “Spatial Preparation Module”) **200**, which collects microsamples **125**, is an automated approach to collecting and generating single cells or groups of cells from microsamples **125** from matrices such as tissue without painstaking, lower throughput, or labor intensive manipulations, *e.g.*, Laser Capture Microdissection (Datta S. *et. al.* Histol Histopathol. 2015 Apr 20:11622.), manual pipette collection (Morris J. *et. al.* 2011. *J. Vis. Exp.* (50), e2634,) (Kajiyama T. *et. al.* Plant Cell Physiol. 2015; 56(7):1320-8.), or Fluidigm’s low throughput C1 system (<https://www.fluidigm.com/products/c1-system>). Compared to *in situ* sequencing, the Single Cell Spatial Analysis System allows the full power of current commercially available (N)NGS systems to be used for the analysis engine.

[0013] The Single Cell Spatial Analysis System can encode the position of where the microsample **125** was located in the tissue or specimen **301** by different methods for different analyses. For genomic analysis, the Single Cell Spatial Analysis System **100** can use primer sets with nucleic acid barcodes for spatial position attached to beads or other surfaces such as flow cells to encode the spatial position **130** into DNA. For proteomic analysis by mass spectrometry, isotopes or other markers can be added to the microsamples **125** to encode the position while for enzyme activity assays fluorescent, Raman, optical, or other markers can be added to encode the spatial

position **130**. For metabolomic analysis, isotopes, fluorescent, or other markers can be added.

[0014] This approach of encoding into the microsample **125** where it originated in three dimensions from the specimen **301** is a fundamentally new approach to prepare samples from single cells and to understand the genetics, gene and protein regulation, metabolism of individual cells, how they function in a 3D tissue or biofilm structure, and what cell types, including rare cells, are present and where among other scientific, clinical, and applied information.

[0015] The Single Cell Spatial Analysis System **100** described can input raw, unprocessed samples, or other primary or secondary samples, and for genomic analysis produce either cDNA or prepared DNA libraries ready for DNA sequencing. The Single Cell Spatial Analysis System **100** for genomic assays has additional advantages over existing technology. Automated preparation of samples for NGS typically starts with purified bulk DNA or RNA and only part of the workflow is integrated, such as library preparation with manual steps of QC and post-library preparation amplification if needed. Automated sample preparation has not yet been integrated from raw samples, such as blood, tissue samples, fine needle aspirates, and other samples, to libraries ready for sequencing and remains largely manual. No automated NGS library preparation systems have yet been commercialized for single cells or for researchers and clinicians to routinely sequence cells from tissue. No system currently exists that collects single cells with positional information for nucleic acid analysis, or performs high throughput single cell RNA-Seq, or processes raw samples to libraries.

[0016] Disclosed herein is a system that can integrate one or more of the overall steps to take samples from specimens (*i.e.*, tissue, biofilms, and other multi-dimensional matrices with cells or viruses) and prepare single cells, groups of cells, or cells and viruses (collectively or individually referred to as cells) to produce samples with information encoded about the cell's spatial positions in the original specimen. The cell's spatial position in the original specimen can be encoded in a marker, *e.g.*, a spatial barcode, which is added to the specimen, a subregion, a microsample, or other part of a specimen, in a manner that encodes the single cell's position into the cell or components of the cell. Alternatively, the spatial position can be encoded by physical position of the sample as it is readout from a flow cell for NGS.

[0017] An instance of a spatial barcode for nucleic acids is described and one embodiment illustrated in detail. Microsamples from a subregion of a specimen are

collected in physical order, such as a raster pattern or by rows or columns, and the microsamples placed in a known order into a fluidic stream or fixed wells or onto a surface. The term "microsample" is used to mean the smallest portion of the specimen that is collected as an individual sample that will be encoded with a single spatial marker or barcode and is the smallest unit sampled from the specimen by the system; microsamples may contain single cells to groups of cells. Single cells can be produced from the microsamples in microdrops, e.g., nanodroplets or boluses generated with a paramagnetic bead which has an attached oligonucleotide with a unique spatial DNA barcode, a type of spatial barcode, for the microsample. The beads with known unique spatial DNA barcodes are added in known order to the microsamples, which thereby will encode the order of the microsamples and cells in the microsamples and produce spatially encoded single cells. Additional barcodes may be unique for each cell or molecule or have quality control or other information. Nucleic acid is released from the single cell inside the nanodroplet or bolus and enzymology used to attach the oligonucleotide containing the spatial barcode to the cellular nucleic acid: this encodes the nucleic acid sequence to analyzed with the spatial DNA barcode on the oligonucleotide. After library preparation and DNA sequencing, the sequence of the spatial barcode is determined along with DNA or RNA sequence information. The spatial barcode, which was added in known order to microsamples that were also ordered in known order, can decode where in the specimen the microsample originated. Two and three dimensional spatial relationships of the microsamples and cells can then be determined and interpreted with the DNA or RNA sequence information to develop spatial information of what cells were present in the specimen, where the cells were located, the cell's DNA sequence and/or RNA expression, and other information.

[0018] Different embodiments of the Single Cell Spatial Analysis System can encode the spatial information for decoding by analytical methods comprised of DNA sequencing, DNA microarrays, RNA sequencing, mass spectrometry, Raman spectroscopy, electrophysiology, flow cytometry, and many other analytical methods well known to one skilled in the art including multidimensional analysis. For nucleic acids, the spatial position of where the cells originated from the specimen or tissue is encoded into the DNA sequence information, in one embodiment, by utilizing paramagnetic beads with a spatial barcode in an attached oligonucleotide that is unique for each cell/bead combination. The spatial barcode may be incorporated into the cDNA product by reverse transcriptase or RNA ligase if RNA is being analyzed, or into DNA by DNA polymerase or DNA ligase if DNA is being analyzed. The RNA from the specimen

is processed into cDNA and then into sequencing libraries for (N)NGS analysis, while preserving the information of the spatial organization of the cells within the original specimen by attachment of a spatial encoding nucleic acid barcode. In other instances, DNA is processed to a ready to sequence library; both RNA and DNA may be analyzed from a single cell, or nucleic acid and other properties, such as metabolites or proteomics, can be analyzed. The system described is compatible with commercially available downstream library preparation and analysis by both NGS and NNGS sequencers. The term (N)NGS is used to connote either NGS or NNGS sequencers or sample preparation methods as appropriate. For protein or metabolomic analysis, the Single Cell Spatial Analysis System encodes the spatial position of the microsample for downstream analysis by adding barcodes comprising markers or isotopes. As contemplated herein, next generation sequencing (NGS) or next-next generation sequencing (NNGS) refers to high-throughput sequencing, such as massively parallel sequencing, (e.g., simultaneously (or in rapid succession) sequencing any of at least 100,000, 1 million, 10 million, 100 million, or 1 billion polynucleotide molecules). Sequencing methods may include, but are not limited to: high-throughput sequencing, pyrosequencing, sequencing-by-synthesis, single-molecule sequencing, nanopore sequencing, semiconductor sequencing, sequencing-by-ligation, sequencing-by-hybridization, RNA-Seq (Illumina), Digital Gene Expression (Helicos), Next generation sequencing, Single Molecule Sequencing by Synthesis (SMSS) (Helicos), massively-parallel sequencing, Clonal Single Molecule Array (Solexa), shotgun sequencing, Maxam-Gilbert or Sanger sequencing, primer walking, sequencing using PacBio, SOLiD, Ion Torrent, Genius (GenapSys) or Nanopore (e.g., Oxford Nanopore) platforms and any other sequencing methods known in the art.

[0019] The Single Cell Spatial Analysis System described can have multiple subsystems and modules that perform processing or analysis. In a preferred embodiment, there is a subsystem, the Spatial Preparation Subsystem™, that inputs tissue and/or other specimens and outputs microsamples of single cell(s) encoded with cellular location information which may be DNA barcodes or other encoding methods. In one embodiment, the first module, the Spatial Sampler™ module, collects samples from defined areas of specimen(s) to provide single cells through a fluidic system in the order of their original spatial orientation to the second module, the Spatial Encoder™ module.

[0020] In one embodiment, cell imaging solutions, such as cell specific antibodies, stains, or other reagents, can be added to the tissue and an optical or other imaging device scans the tissue in the Spatial Sampler module. The optical cellular image information can be used to decide which part or subregions of the specimen should be analyzed or to gather information to correlate with the downstream analysis. In other embodiments, solutions comprised of antagonists, chemicals, biologicals, therapeutic drugs, or other compounds can be added to the tissue as needed before sampling and analysis. The Spatial Sampler module can then apply solution(s) to disassociate tissue or other specimens; as needed, the progression of dissociation can be monitored optically or by other means in the Spatial Sampler module. In one embodiment, for protein, metabolic, or other analysis, the Spatial Sampler module can apply solutions that encode the spatial position, comprised of combinations of isotopes or fluors, or marker molecules not present in the specimen, that are later decoded to identify where in the specimen the microsample originated.

[0021] In a preferred embodiment of the Spatial Sampler module, after tissue dissociation, a transfer device, such as a multifunctional head, can then collect a layer from the specimen onto a surface such as a cell impermeable transfer membrane with vacuum applied. The transfer device can be moved to an input device for a fluidic system which has one or more fluidic channels, wells, or surfaces where microsamples from a subregion of the surface are transferred in physical positional order into the fluidic channel(s), well, or surface. When fluidic channels or microchannels are used, the microsamples are entrained into a fluidic flow in known order that can be tracked to the physical position of the microsample in the original specimen. The channels may be capillaries, microchannels, micropipettes, or of other forms. Microsamples may be transferred one at a time or multiple samples transferred in parallel. In another embodiment, the input device directly samples the specimen.

[0022] In the Spatial Encoder module, for nucleic acids, the spatial position of where the cells originated from the tissue is encoded into the DNA sequence information in one embodiment by utilizing paramagnetic beads with an attached oligonucleotide with a spatial barcode unique for each cell/bead combination. The spatial barcode can be incorporated into the cDNA product by reverse transcriptase if RNA is being analyzed or into DNA from a primer by DNA polymerase or DNA ligase if DNA is being analyzed. In some instances the primer is attached to a solid surface such as a paramagnetic bead, microparticles, fibers, channel, wall, flowcell, membranes, test tubes, pipette tips or

microwells or other surface. The term bead is used to encompass any solid surface, porous surface, or other implementations without limitation including flat surfaces.

[0023] In a preferred embodiment for nucleic acids, the Spatial Encoder module integrates delivery of spatially barcoded beads entrained in known order with microdrops, e.g., nanofluidic droplet or bolus generation and sample preparation of DNA, RNA, or cDNA. The spatially encoded beads have DNA or other barcodes that identify which microsample is being analyzed and, by knowing the order the microsamples were taken and subsequent handling, the physical position of the microsample in the specimen is encoded. In some embodiments, the spatially barcoded beads are added using a microfluidic nozzle to generate microdrops (nanodroplets or in others boluses are generated from the microsample in an immiscible fluid with preferably one spatially barcoded bead per droplet or bolus and only one cell. In some instances the nanodroplets or boluses may perform cellular lysis, mRNA binding, and cDNA reaction workflows. In others, cellular lysis, DNA binding, and DNA amplification reactions may be performed.

[0024] In some instances, a third module, the Spatial Librarian Subsystem (also referred to as a "Spatial Librarian System" or "Spatial Librarian Module") prepares an (N)NGS library for nucleic acid analysis from the microdrops (nanodroplets or boluses). In the Spatial Librarian Subsystem, the nanodroplets or boluses are processed separately or in the preferred embodiment the nanodroplets or boluses are pooled after spatial encoding to process many single cells and microsamples simultaneously. The Spatial Librarian module performs the necessary biochemistry to add adapters as needed, prepare an (N)NGS sequencing library, amplify as necessary, and perform quality control of the library. In other embodiments, the analytical function, such as DNA sequencing, is incorporated to create a sample-to-answer system.

[0025] In one aspect provided herein is a system comprising: (i) a biological specimen; and (ii) added to each of a plurality of different microsamples from the biological specimen, a marker comprising spatial information that encodes the original spatial position of the microsample within the biological specimen. In one embodiment the biological specimen comprises human tissue, animal tissue, or plant tissue, a biopsy, a cellular conglomerate, an organ fragment, an organism, whole blood, bone marrow, biome, a biofilm, a fine needle aspirate or any other solid, semi-solid, gelatinous, or frozen three dimensional or two dimensional matrix of biological nature. In another embodiment the microsamples comprise a single cell or a plurality of cells. In

another embodiment the marker comprises a polynucleotide. In another embodiment the nucleic acid is bound to a membrane, chip surface, bead, surface, flow cell, or particle or is indirectly bound via an adapter molecule e.g., a complementary nucleic acid or a chemical crosslinker. In another embodiment the marker comprises a peptide, antibody, protein, small molecule, isotope such as lanthanide, Raman marker, mass tag, fluorescent or chemiluminescent probe. In another embodiment the microsamples are dissociated from the biological specimen. In another embodiment the microsamples are entrained in microdrops in a fluidic stream. In another embodiment the microsamples are supported by at least one substrate, e.g., a membrane.

[0026] In another aspect provided herein is a device for the analysis of a biological sample, the device comprising: a sample module configured to extract microsamples from a biological specimen; and a recipient module configured to receive the microsample biological specimen from the sample module for analysis. In one embodiment the recipient module performs a downstream analysis selected from nucleic acid sequencing, next generation sequencing, next next generation sequencing, proteomic, genomic, gene expression, gene mapping, carbohydrate characterization and profiling, lipid characterization and profiling, flow cytometry, imaging, microarray, metabolic profiling, functional, or mass spectrometry or combinations thereof.

[0027] In another aspect provided herein is a device comprising: an element selected from a membrane, filter, surface, capillary, microchannel, device, and microfabricated chip; and means to bring the element into direct contact or close proximity to a biological specimen for the purpose of labeling or extracting a plurality of microsamples in an order based on their original spatial position within the biological specimen.

[0028] In another aspect provided herein is a system comprising: a stage for supporting a biological specimen; a device comprising an array of markers comprised in beads, surfaces, flat or microfabricated structures; means for transferring the array of markers into or onto the biological specimen at predetermined spatial positions.

[0029] In another aspect provided herein is a method comprising: adding, to each of a plurality of different microsamples from a biological specimen, a marker comprising spatial information that encodes the original spatial position of the microsample within the biological specimen. In one embodiment the method further comprises dissociating the microsamples from the biological specimen. In another embodiment the method comprises adding the markers to the microsamples before dissociating the microsamples from the biological specimen. In another embodiment the method

comprises adding the markers to the microsamples after dissociating the microsamples from the biological specimen. In another embodiment each microsample comprises a single cell. In another embodiment each microsample comprises a plurality of cells. In another embodiment dissociating the microsamples comprises extracting the microsamples in a raster pattern across the biological specimen. In another embodiment the microsamples are dissociated in a 3-D pattern. In another embodiment dissociating comprises contacting the biological specimen with a membrane, applying vacuum to the membrane to hold a layer comprising the microsamples; and removing the microsamples held by the membrane from the biological specimen. In another embodiment the method comprises removing a second layer of the microsamples from the biological specimen after a first layer is removed. In another embodiment the method further comprises moving the dissociated microsamples into a fluidic stream. In another embodiment the method comprises the microsamples are moved into the fluidic stream in an order correlated with their original spatial position in the biological specimen. In another embodiment the method comprises microsamples are incorporated into microdrops (e.g., nanodroplets or boluses) in the fluidic stream. In another embodiment the method comprises the microdrops contain one or more beads. In another embodiment the method comprises the beads are paramagnetic. In another embodiment the method comprises the beads are functionalized with oligonucleotides comprising the spatial information in the form of a nucleotide barcode. In another embodiment the method comprises the nucleotide barcode is unique for each cell or group of cells in the microsample. In another embodiment the method comprises the oligonucleotide comprises barcodes for cellular, molecular, or quality control purposes. In another embodiment the method comprises the nucleic acid of the sample component including but not limited to groups of cells or single cells is enzymatically combined with the oligonucleotide of the bead. In another embodiment the method comprises the nucleic acid is subjected to library preparation and nucleic acid sequencing. In another embodiment the method comprises the oligonucleotide further comprises a poly T tail, and the method comprises capturing mRNA molecules from the microsamples having a poly T tail; and reverse transcribing the mRNA molecules to produce cDNA molecules comprising the barcode where the barcode provides the spatial information. In another embodiment the method comprises the oligonucleotide further comprises a capture sequence complementary to a target sequence, and the method comprises capturing DNA molecules from the microsample having the target sequence; and extending the oligonucleotide to produce a nucleic acid molecule having a copy of the target sequence

and comprising the barcode, wherein the barcode provides the spatial information. In another embodiment the method comprises dissociating comprises contacting the biological sample with a cell dissociation solution comprising at least one protease that digests extracellular matrix. In another embodiment the method comprises the at least one protease is selected from collagenases, elastase, trypsin, papain, hyaluronidase, chymotrypsin, neutral protease, clostripain, caseinase, neutral protease (Dispase®), DNase, protease XIV. In another embodiment the method comprises the cell dissociation solution is in the form of a fluid, mist, fog, or aerosol applied to the biological sample. In another embodiment the method further comprises decoding the spatial information in the microsamples to determine the original spatial position of each microsamples.

[0030] In another aspect provided herein is a method comprising: providing a biological specimen; collecting microsamples from each of a plurality of different spatial positions in the biological specimen; attaching to nucleic acids in each microsample a marker comprising a nucleic acid barcode comprising spatial information that encodes the original spatial position of the microsample within the biological specimen, thereby producing spatial encoded nucleic acids; sequencing the spatial encoded nucleic acids; and based on the spatial information attached to each spatial encoded nucleic acids, determining the original spatial location of the nucleic acid in the biological specimen. In one embodiment the method comprises sequencing spatial encoding nucleic acids combined from a plurality of different microsamples in a single high throughput sequencing run.

[0031] In another aspect provided herein is a system having a graphical user interface that presents, based on spatial information obtained from microsamples of a biological specimen, a graphical representation of the biological specimen including original spatial position of a plurality of polynucleotides or polypeptides in the biological specimen.

[0032] In another aspect provided herein is a spatial preparation system configured to entrain in a fluidic stream a plurality of microsamples from a biological specimen, wherein the microsamples are contained in spatially separated microdrops in a fluidic stream and positioned in an order based on their original spatial position within the biological specimen, wherein the system comprises: a) a spatial sampler subsystem configured to extract a plurality of microsamples from different original spatial positions in a biological specimen; and b) a spatial encoder subsystem comprising one or more

spatial encoder microchannels, each having an inlet and an outlet; wherein the spatial sampler subsystem delivers the microsamples to the spatial encoder microchannel inlets in a predetermined order based on their original spatial position in the biological specimen, and the spatial encoder subsystem incorporates the microsamples into spatially separated microdrops in a fluidic stream. In one embodiment of the spatial preparation system: (i) the spatial sampler subsystem comprises: (1) a specimen holder, and (2) a multifunctional head comprising a transfer head comprising one or more extraction channels, wherein the extraction channels communicate with a liquid source and, optionally, a gas source, each under positive and/or negative pressure, and wherein the one or more extraction channels comprise ends covered with one or more air permeable, cell impermeable transfer membranes, and wherein, the multifunctional head is mounted on a three axis stage to position the multifunctional head to extract, by contact adhesion or by vacuum, the microsamples from the specimen holder onto the one or more transfer membranes; and (ii) the spatial encoder subsystem comprises: (1) a microdroplet generator comprising a source of immiscible liquid in communication with each spatial encoder microchannel at a junction, wherein mixture of the immiscible liquid with the fluidic stream at the junction forms spatially separated microdrops comprising the microsamples; and (2) optionally, a microsample encoder assembly comprising a plurality of reservoirs, each comprising a different spatial marker and each communicating with the spatial encoder microchannel, and, optionally reservoirs comprising a reactants sufficient to attach the tags to analytes in the microsamples, wherein different spatial markers are incorporated with microsamples in different microdrops. In another embodiment the multifunctional head further comprises a dispense head configured to dispense liquids, e.g., imaging reagents or dissociation solution, onto the biological specimen. In another embodiment the transfer head comprises a plurality of extraction channels where in the extraction channels are arrayed in a two dimensional array (e.g., a line) or a three-dimensional array (e.g., a plane). In another embodiment the spatial encoder subsystem comprises a plurality of fluidic channels that merge into the encoder channel in which each has an inlet configured to receive the microsamples from an extraction channels. In another embodiment the transfer membranes have attached thereto a plurality of capture elements, each capture element comprising a particle, which is optionally paramagnetic, having attached thereto one or more antibodies that bind into cells in the biological specimen, and nucleic acid markers comprising positional barcodes comprising spatial information where the spatial information calling to the position of the particle on the

multifunctional head. In another embodiment the nucleic acid markers further comprise cell markers identifying the cell to which particle binds, and/or molecular barcodes that differently label different nucleic acid molecules and a single cell.

[0033] In another aspect provided herein is a spatial analysis system comprising: a) a spatial preparation subsystem as disclosed herein, and b) a spatial librarian subsystem configured to perform a series of biochemical reactions on an emulsion comprising microdrops produced by the spatial preparation subsystem, wherein the spatial librarian subsystem comprises: a) a reaction device comprising an inlet configured to receive microdrops from the spatial preparation subsystem, at least one reaction chamber, and an outlet; b) a reagent rail communicating with the reaction device through a microchannel and comprising reagent sufficient to perform at least one of biochemical reaction on analytes in the microdrops; and c) one or more pumps configured to move the reagents from the reagent rail through the microchannel to the reaction chamber of the reaction device. In another embodiment the spatial librarian subsystem further comprises: c) a temperature controller configured to control temperature in the reaction chamber. In another embodiment the spatial librarian subsystem further comprising: c) a magnet configured to reversibly immobilize paramagnetic particles contained in the reaction chamber. In another embodiment the biochemical reactions comprise at least: (i) reverse transcription of messenger RNA into cDNA; and (ii) amplification of cDNA. In another embodiment the biochemical reactions comprise at least: (i) primer extension of a primer hybridized to a DNA template to create an extension product; and (ii) amplification of the extension product.

[0034] In another aspect provided herein is a method comprising entraining in a fluidic stream a plurality of microsamples from a biological specimen, wherein the microsamples are contained in spatially separated microdrops in the fluidic stream and positioned in an order based on their original spatial position within the biological specimen. In one embodiment the method comprises: a) providing a biological specimen; b) collecting microsamples from each of a plurality of different spatial positions in the biological specimen; c) introducing the microsamples in a predetermined order into a fluidic stream in a fluidic channel; d) dividing the fluidic stream into microdrops by introducing boluses of immiscible liquid into the fluidic channel, whereby the microsamples are incorporated into microdrops that are spatially separated from each other in the fluidic stream. In another embodiment the method further comprises: (i) introducing into the fluidic stream a plurality of different spatial markers encoding

spatial information, wherein the different spatial markers are incorporated into different microdrops in the fluidic stream, thereby encoding each microdrop with spatial information. In another embodiment the analytes comprise nucleic acids and the spatial markers comprise nucleic acids comprising nucleic acid barcodes, wherein the method further comprises: (e) combining microdrops in a container in the form of an emulsion; (f) generating spatially tagged nucleic acids by tagging nucleic acid analytes with the nucleic acid barcodes; (g) breaking the emulsion; (h) amplifying the tagged nucleic acids. In another embodiment the analytes comprise polyadenylated mRNA and the nucleic acid markers further comprise polyT tail, and generating spatially tagged nucleic acids comprises: hybridizing the polyT tail to polyadenylated mRNA nucleic acid markers to the mRNA molecules barcodes and reverse transcribing the polyadenylated messenger RNA to produce that spatially tagged cDNA molecules; performing second strand synthesis on the spatially tagged cDNA molecules to produce tagged double stranded cDNA molecules. In another embodiment the analytes comprise DNA molecules and the nucleic acid markers further comprise a nucleotide sequence complementary to a target sequence, and generating spatially tagged nucleic acids comprises: hybridizing the complementary nucleotide sequence to a target sequence in the nucleic acid molecules and extending the nucleic acid markers to produce a double-stranded DNA molecule. In another embodiment the method further comprises: applying imaging reagent to the biological sample; imaging the biological sample to which the imaging reagent has been applied; based on the imaging selecting features of interest at predetermined spatial positions in the biological sample; and extracting the microsamples including the selected features of interest. In another embodiment the method further comprises, based on spatial information encoded in the microsamples, determining the initial spatial position of the selected features in the biological specimen.

[0035] In another aspect provided herein is an apparatus, composition of matter, or article of manufacture, and any improvements, enhancements, and modifications thereto, as described in part or in full herein and as shown in any applicable Figures, including one or more features in one or more embodiment.

[0036] In another aspect provided herein is an apparatus, composition of matter, or article of manufacture, and any improvements, enhancements, and modifications thereto, as described in part of in full herein and as shown in any applicable Figures, including each and every feature.

[0037] In another aspect provided herein is a method or process of operation or production, and any improvements, enhancements, and modifications thereto, as described in part or in full herein and as shown in any applicable Figures, including one or more feature in one or more embodiment.

[0038] In another aspect provided herein is a method or process of operation or production, and any improvements, enhancements, and modifications thereto, as described in part or in full herein and as shown in any applicable Figures, including each and every feature.

[0039] In another aspect provided herein is a product, composition of matter, or article of manufacture, and any improvements, enhancements, and modifications thereto, produced or resulting from any processes described in full or in part herein and as shown in any applicable Figures.

(8) Brief description of the several views of the drawings

[0040] The skilled artisan will understand that the drawings, described below, are for illustration purposes only. The drawings are not intended to limit the scope of the present teachings in any way.

[0041] Figure 1 shows an overview of the overall workflow to generate single cell spatial information from specimens.

[0042] Figure 2 shows an overview of a Single Cell Spatial Analysis System. Tissue or other specimens are converted to single cells and encoded with spatial information.

[0043] Figure 3 shows an overview of a Single Cell Spatial Analysis System configured for nucleic acid sequencing. Specimens are converted to single cells and encoded with spatial information in the Spatial Preparation Subsystem for integrated NGS library preparation in the Spatial Librarian Subsystem.

[0044] Figure 4 shows modules of the Spatial Preparation Subsystem configured for nucleic acid sequencing. The Spatial Sampler module collects cells from defined areas and dispenses them in known order into the Spatial Encoder module that encodes the original position within the tissue into DNA using unique primers as barcodes attached to beads. The Spatial Preparation Subsystem can output samples of single cells encoded with spatial DNA barcodes that are ready for library preparation.

[0045] Figure 5 shows a Single Cell Spatial Analysis System configured for production of nucleic acid spatial libraries.

[0046] Figure 6 shows a multifunctional head from a side view and a bottom view with an optical head, dispense head, and a changeable transfer membrane. The insert of the right of the figure shows the transfer membrane may have individually addressable subregions.

[0047] Figure 7a shows an example of a multifunctional head with transfer membrane contacting a specimen. Figure 7b shows an example of a multifunctional head with a transfer membrane about to transfer part of a specimen to an input device with two arrays of microchannels.

[0048] Figure 8a shows a bottom view of a multifunctional head with a transferred specimen showing 12 microsamples transferred into input microchannels and illustrating a subregion. Figure 8b shows a bottom view of a multifunctional head with a transferred specimen showing 24 microsamples transferred into input microchannels.

[0049] Figure 9 shows an example of a single channel fluidic circuit of the input device to input a subregion of the specimen into a fluidic flow.

[0050] Figure 10 shows an example of a two channel fluidic circuit of the input device that inputs two subregions of the specimen and combines them in known order into a single fluidic flow.

[0051] Figure 11a shows a capillary connector, valve, and router. Figure 11b shows three 75 μm ID capillaries mounted in a FC connector. Figure 11c shows a single capillary in a FC connector covered with filter paper as a transfer membrane.

[0052] Figure 12 shows 12 200 μm OD capillaries arrayed in a linear connector.

[0053] Figure 13a is an illustration of a bead with an oligonucleotide attached that contains a spatial DNA barcode. Figure 13b is an illustration of a surface with an oligonucleotide attached that contains a spatial DNA barcode.

[0054] Figure 14 shows a single channel fluidic design of a Spatial Encoder module that has four spatial barcodes.

[0055] Figure 15 shows a single channel fluidic design of a Spatial Encoder module with a spatial encoder reagent syringe pump that can add additional reagents to a bolus or a microsample.

[0056] Figure 16 illustrates a Spatial Librarian embodiment with a reagent rail and reaction chamber with temperature control, optical interrogation, paramagnetic bead purification, and quality control analysis functionality.

[0057] Figure 17 shows the direct transfer of spatial markers from an array onto the specimen.

[0058] Figure 18 shows an array of beads with known spatial barcodes and antibodies against cell surface markers attached to a surface.

[0059] Figure 19 shows an example workflow encoding spatial information into DNA from polyadenylated mRNA.

[0060] Figure 20 illustrates the capture and molecular biology to encode spatial information into DNA from polyadenylated mRNA.

[0061] Figure 21 shows an example workflow of library preparation from spatially encoded double stranded DNA.

[0062] Figure 22 illustrates a workflow to spatially encode genomic DNA from single cells from a specimen.

[0063] Figure 23 illustrates a workflow to spatially encode targeted regions of genomic DNA from single cells from a specimen.

[0064] Figure 24 shows an example of using transposons to produce a sequencing library from double stranded DNA with spatial encoding from single cells from a specimen.

(9) Detailed Description of the Invention

[0065] NGS information, mass spectrometry and other modern high-throughput analysis systems have revolutionized life and medical sciences. However, these and other high-throughput analysis systems fail to retain spatial information about where in the specimen the sample or microsample originated. It is anticipated that single cell spatial information, or spatial information from groups of cells, of genomic, proteomic including protein expression, carbohydrate, lipid, and metabolism of individual cells will provide fundamental scientific knowledge and revolutionize new research and clinical capacities.

[0066] All patents, patent applications, published applications, treatises and other publications referred to herein, both supra and infra, are incorporated by reference in their entirety. If a definition and/or description is set forth herein that is contrary to or otherwise inconsistent with any definition set forth in the patents, patent applications, published applications, and other publications that are herein incorporated by reference,

the definition and/or description set forth herein prevails over the definition that is incorporated by reference.

[0067] As used herein, the terms “comprises,” “comprising,” “includes,” “including,” “has,” “having” or any other variation thereof, are intended to cover a non-exclusive inclusion. For example, a process, method, article, or apparatus that comprises a list of features is not necessarily limited only to those features but may include other features not expressly listed or inherent to such process, method, article, or apparatus. Further, unless expressly stated to the contrary, “or” refers to an inclusive-or and not to an exclusive-or. For example, a condition A or B is satisfied by any one of the following: A is true (or present) and B is false (or not present), A is false (or not present) and B is true (or present), and both A and B are true (or present).

[0068] Specimen: The term “specimen,” as used herein, refers to an *in vitro* cell, cell culture, virus, bacterial cell, fungal cell, plant cell, bodily sample, or tissue sample that contains genetic material. In certain embodiments, the genetic material of the specimen comprises RNA. In other embodiments, the genetic material of the specimen is DNA, or both RNA and DNA. In certain embodiments the genetic material is modified. In certain embodiments, a tissue specimen includes a cell isolated from a subject. A subject includes any organism from which a specimen can be isolated. Non-limiting examples of organisms include prokaryotes, eukaryotes or archaeobacteria, including bacteria, fungi, animals, plants, or protists. The animal, for example, can be a mammal or a non-mammal. The mammal can be, for example, a rabbit, dog, pig, cow, horse, human, or a rodent such as a mouse or rat. In particular aspects, the tissue specimen is a human tissue sample. The tissue specimen can be, for example, a blood sample. The blood sample can be whole blood or a blood product (e.g., red blood cells, white blood cells, platelets, plasma, serum). The specimen, in other non-limiting embodiments, can be saliva, a cheek, throat, or nasal swab, a fine needle aspirate, a tissue print, cerebral spinal fluid, mucus, lymph, feces, urine, skin, spinal fluid, peritoneal fluid, lymphatic fluid, aqueous or vitreous humor, synovial fluid, tears, semen, seminal fluid, vaginal fluids, pulmonary effusion, serosal fluid, organs, bronchio-alveolar lavage, tumors, frozen cells, or constituents or components of *in vitro* cell cultures. In other aspects, the tissue specimen is a solid tissue sample or a frozen tissue sample. In still further aspects, the specimen comprises a virus, bacteria, or fungus. The specimen can be an *ex vivo* tissue or sample or a specimen obtained by laser capture microdissection. The

specimen can be a fixed specimen, including as set forth by U.S. Published Patent Application No. 2003/0170617 filed Jan. 28, 2003.

[0069] In some embodiments, biomolecules including one or more polynucleotides or polypeptides are spatially encoded. In some embodiments, the polynucleotide can include a single-stranded or double-stranded polynucleotide. In some embodiments, the polypeptide can include an enzyme, antigen, hormone or antibody. In some embodiments, the one or more biomolecules can include RNA, mRNA, cDNA, DNA, genomic DNA, microRNA, long noncoding RNA, ribosomal RNA, transfer RNA, chloroplast DNA, mitochondrial DNA, or other nucleic acids.

[0070] It will be readily apparent to one of ordinary skill in the art that the embodiments and implementations are not necessarily inclusive or exclusive of each other and may be combined in any manner that is non-conflicting and otherwise possible, whether they be presented in association with a same, or a different, embodiment or implementation. The description of one embodiment or implementation is not intended to be limiting with respect to other embodiments and/or implementations. Also, any one or more function, step, operation, or technique described elsewhere in this specification may, in alternative implementations, be combined with any one or more function, step, operation, or technique described in the summary. Thus, the above embodiment and implementations are illustrative rather than limiting.

[0071] Referring to Figure 1, the Single Cell Spatial Analysis System **100** accepts specimens **301** and processes one or more microsamples **125** to encode the physical location of the microsample **125** within the specimen **301** to produce spatially encoded single cells **1000** in microdrops, e.g., nanodroplets or boluses, by adding a marker such as a DNA barcode that encodes for the location of the microsample **125**. Known markers are added in known order to ordered microsamples **125** to encode the spatial position. Analysis method **1100**, such as DNA sequencing, mass spectrometry, or other analytical methods, generates single cell analytical data **1600**, such as DNA sequence or proteomics, and also decodes the encoded spatial information to produce decoded single cell spatial information **1500**. Multiple sample preparation and analytical methods can be used on a specimen. In some aspects where the biomolecules are nucleic acids, the downstream processes can include without limitation, nucleic acid sequencing, targeted resequencing, genotyping analysis, mutation analysis, copy number variation assessment, allele frequency assessment, plasmid construction, cloning, and the like. The decoded single cell spatial information **1500** identifies the

spatial position **130** where microsample **125** originated in specimen **301**. The single cell analytical data **1600** is analyzed by single cell spatial analysis **2000** software to produce single cell spatial information **3000** which has the analytical data and associated physical position information of the microsample **125**.

[0072] Referring to Figure 2, the Single Cell Spatial Analysis System **100** is in some embodiments an automated sample preparation system that collects cells from areas of tissue, biofilms, and other matrices containing biological material and generates spatially-encoded samples for analysis of single cells **1000** and groups of cells from the same subregion. The Single Cell Spatial Analysis System **100** can have pushbutton operation for either specialists or non-specialists to generate samples with spatial information encoded from specimens for medical, health, life science research, and other applications.

[0073] The Single Cell Spatial Analysis System **100** in one embodiment has a Spatial Subsystem **200** that inputs specimens and outputs samples for spatially encoded single cells **1000**. The Spatial Preparation Subsystem **200** can accommodate many different types of specimens, comprised of fresh and snap-frozen tissue in the form of microtome slices (cryo, laser or vibrating); bulk material obtained by surgical excision, biopsies, fine needle aspirates; samples from surfaces, and other matrices. The specimens are positioned onto the sampling stage. Subregions **150** will then be addressed automatically by a multifunctional head **330** to transfer subregions **150** of microsamples **125** in 2-D spatial order to generate single cells and process them into cDNA or DNA with a spatial nucleic acid barcode, or other spatial markers added, or other analytes, e.g., proteins, metabolites, enzymes, with isotopes or other spatial markers added. The next physical layer of the specimen **301** subregion of interest can then be collected and analyzed to generate a second 2-D spatial patterns of DNA sequence, RNA expression, protein expression, protein activity, RNA activity, lipid composition and abundance, carbohydrate composition and abundance, and metabolites as well as any other biological components. The third physical layer can then be collected and analyzed. The physical layers can then be oriented to produce a three dimensional pattern.

[0074] The basic elements of the Single Cell Spatial Analysis System **100** can be configured in multiple ways depending on the specimen(s) and analytes to be analyzed. In the following examples, a few of the numerous configurations are described in detail but in no way is the invention limited to these configurations as will be obvious to one

skilled in the art. Nonetheless in many configurations, there are many common elements, in particular adding a barcode or other exogenous material to the microsample **125**, or to single cells or groups of single cells from the microsample **125** to encode where physically from the specimen the microsample **125** originated. Once the single cells or groups of cells have been analyzed, the barcode can be decoded and the physical position of the microsample **125** in the 3-D structure of the specimen used to understand the composition, function, cell type, activity, genetics, physiology, interconnections, and other attributes of the specimen with single cell spatial information **3000**.

[0075] In a preferred embodiment, referring to Figure 3, for nucleic acid analysis, the Single Cell Spatial Analysis System **100** has two subsystems, the Spatial Preparation Subsystem **200** and the Spatial Librarian Subsystem **500**.

[0076] The Spatial Preparation Subsystem **200** can in turn be composed of two modules, the Spatial Sampler module **300** and the Spatial Encoder **400**, as shown in Figure 4. In a preferred embodiment, Spatial Sampler module **300** (i) releases cells from tissue, (ii) collects, and (iii) transfers single cells or groups of cells with defined spatial relationships in a known order into a single fluidic or microfluidic process stream, or onto a surface such as a flow cell, or into a two-dimensional array of wells, or other ordered or unordered processes. In a preferred embodiment, the output of the Spatial Sampler **300** is single cells in a microfluidic flow in a known order. Spatial Encoder module **400** adds spatial barcodes to the sample and outputs the single cells with spatial barcodes. Figure 4 shows Spatial Encoder module **400** configured for DNA sequencing.

A. Spatial Sampler description

[0077] Referring to Figure 5, in a preferred embodiment, configured for nucleic acid analysis, specimen **301** is placed in a specimen holder **310** which is inserted into the Spatial Sampler module **300** by loading mechanism **305**. Specimen holder **310** may be temperature controlled. The loading mechanism can have a mechanical slide, stage, pneumatic actuator, or other mechanism, that accepts specimen holder **310** and moves it into the Single Cell Analysis System **100**, either automated or manually, into a fixed position in the Spatial Sampler module **300** or other mechanism. Spatial Sampler module **300** can have a three axis stage **320** that moves a multifunctional head in the x, y and z directions **330** to collect microsamples **125** in a spatially defined manner from specimen **301** at capture position **345** and dispenses the collected microsamples **125** at dispense position **350** into input device **360**.

[0078] In some embodiments the fluidics of the Single Cell Spatial Analysis System **100** are incorporated onto cartridge(s) **4000**. In some embodiments of the Single Cell Spatial Analysis System **100**, the valves for the subsystems are microvalves, which in some embodiments are created in microchips with microchannels. Microvalves are well known to those skilled in the art. Microvalves can be actuated by, for example, mechanical force, pneumatic pressure, electrostatic force, piezoelectric force, or thermal expansion force. They may have internal or external actuators. Pneumatic valves include, for example, diaphragm valves that employ a flexible membrane of the pneumatic pressure or vacuum to close or open a fluid channel. Electrostatic valves may include, for example, a polysilicon membrane or a polyimide cantilever that is operable to cover a hole formed in a substrate. Piezoelectric valves may include external (or internal) piezoelectric disks that expand against a valve actuator. Thermal expansion valves may include a sealed pressure chamber bounded by a diaphragm. Heating the chamber causes the diaphragm to expand against a valve seat.

[0079] Microvalves can comprise mechanical (thermopneumatic, pneumatic, and shape memory alloy), non-mechanical (hydrogel, sol-gel, paraffin, and ice), and external (modular built-in, pneumatic, and non-pneumatic) microvalves (as described in C. Zhang, D. Xing, and Y. Li., Micropumps, microvalves, and micromixers within PCR microfluidic chips: Advances and trends. *Biotechnology Advances*. Volume 25, Issue 5, September–October 2007, Pages 483–514; Díaz-González M., C. Fernández-Sánchez, and A. Baldi A. Multiple actuation microvalves in wax microfluidics. *Lab Chip*. 2016 Oct 5;16(20):3969-3976.; Kim J., Stockton AM, Jensen EC, Mathies RA. Pneumatically actuated microvalve circuits for programmable automation of chemical and biochemical analysis. *Lab Chip*. 2016 Mar 7;16(5):812-9. doi: 10.1039/c5lc01397f; Samad MF, Kouzani AZ. Design and analysis of a low actuation voltage electrowetting-on-dielectric microvalve for drug delivery applications. *Conf Proc IEEE Eng Med Biol Soc*. 2014;2014:4423-6. doi: 10.1109/EMBC.2014.6944605.; Samad MF, Kouzani AZ. Design and analysis of a low actuation voltage electrowetting-on-dielectric microvalve for drug delivery applications. *Conf Proc IEEE Eng Med Biol Soc*. 2014;2014:4423-6. doi: 10.1109/EMBC.2014.6944605.; Lee E, Lee H, Yoo SI, Yoon J. Photothermally triggered fast responding hydrogels incorporating a hydrophobic moiety for light-controlled microvalves. *ACS Appl Mater Interfaces*. 2014 Oct 8;6(19):16949-55. doi: 10.1021/am504502y. Epub 2014 Sep 25.; Liu X, Li S. An electromagnetic microvalve for pneumatic control of microfluidic systems. *J Lab Autom*. 2014 Oct;19(5):444-53. doi: 10.1177/2211068214531760. Epub 2014 Apr 17; Desai AV, Tice JD, Apblett CA, Kenis

PJ.Design considerations for electrostatic microvalves with applications in poly(dimethylsiloxane)-based microfluidics. Lab Chip. 2012 Mar 21;12(6):1078-88. doi: 10.1039/c2lc21133e. Epub 2012 Feb 3.; Kim J, Kang M, Jensen EC, Mathies RA Lifting gate polydimethylsiloxane microvalves and pumps for microfluidic control. Anal Chem. 2012 Feb 21;84(4):2067-71. doi: 10.1021/ac202934x. Epub 2012 Feb 1; Lai H, Folch A.Design and dynamic characterization of "single-stroke" peristaltic PDMS micropumps. Lab Chip. 2011 Jan 21;11(2):336-42. doi: 10.1039/c0lc00023j. Epub 2010 Oct 19) In some embodiments, cartridges **4000** are used with functionality from a group of reagents, valves or microvalves, microchannels, syringe pumps, optical devices, integrated electronics for control of cartridge **4000** functions, and lot tracking. In some embodiments microchips are used as parts of instrument or cartridges **4000**. The cartridges **4000** in some embodiments hold kits to perform the chemistries including all needed reagents, including spatial barcodes attached to beads, emulsion oil, breaking solution, stains, library preparation chemistry, and other consumables.

[0080] The multifunctional head **330**, supported by strut **340**, can have multiple functions integrated into a single head as shown in Figure 6 or multiple heads that are moved independently can be used. As shown in Figure 6, the multifunctional head can have an optics head **331** that in a preferred embodiment has illumination fiber **332** surrounded by multiple collection fibers **333**. The illumination and collection fibers can have lenses to focus the illumination beam(s), such as a laser, arc lamp, UV lamp, or other light source, and collect reflected, fluorescent, Raman, reflected, or other light for delivery to an optics analysis device via optical fiber bundle **338**. Many other optical configurations may be used or no optical capability may be used.

[0081] Multifunctional head **330** can have a dispense head **334** with one or more dispensers **335** that can apply one or more solutions to specimen **301**. The dispense head **334** may use piezoelectric pumps, inkjet technology, pressure driven flow through a nozzle, or other methods to dispense liquids, gels, or gases from fluidics and pneumatic bundle **339** to specimen **301** or subregions **150** of specimen **301**.

[0082] Multifunctional head **330** can have a transfer head for extracting the microsamples from a biological specimen and transferring them, e.g., into a fluidic stream. The transfer head can comprise a transfer membrane **336** to transfer a layer of the specimen. Referring to Figure 6, a transfer membrane **336** may be made of many different materials with characteristics that prevent cells passing through it but in preferred embodiments can be permeable to air or liquids, have low adhesion for

collected cells, and can be changed as needed. The transfer membrane may be selected from many materials, including semipermeable membranes; filter papers comprising cellulose and cellulose derivatives, glass fiber filters, polytetrafluoroethylene (PTFE) filters, quartz fiber filters and others; blotting membranes comprising membranes made of nitrocellulose such as ProTran, polyvinylidene difluoride (PVDF) such as Immobilon® or Hybond, nylon, cellulose nitrate, polyestersulfone, track etched polycarbonate, PVC, and others with pore sizes smaller than the cells of interest. The multifunctional head **330** may be able to apply vacuum, pressure, or fluids to the whole membrane through fluidic and pneumatic bundle **339** either to the complete surface of the membrane at the bottom of the multifunctional head or to portions of the membrane. In some embodiments, portions of the membrane are individually addressable subregions **337** to enable subregions of the specimen **301** to be collected or dispensed individually. The transfer membrane **336** can be changed through swapping out a disposable fixed membrane head, or by advancing a roll of membrane to have unused membrane, or other mechanisms. In some embodiments, the transfer membrane may be reused multiple times and not changeable. In other embodiments, the transfer membrane **336** is simply cleaned by separate cleaning modules that operate in direct contact or non-contact mode utilizing various cleaning mechanisms including, but not limited to, mechanical brushes and chemical agents such as ethanol, detergents, water, buffers, and other chemicals. The term transfer membrane **336** is used but is not intended to limit the composition or material which can be a filter, membrane, surface, film, or other material.

[0083] The Single Cell Spatial Analysis System Spatial Sampler module **300** can function as follows in one embodiment. The multifunctional head **330** is moved to the x, y directions to capture position **345**. The optics head **331** scan the specimen by the multifunctional head **330** moving to the appropriate z height and being moved in the x-y axis to scan specimen **301**. As needed, solutions can be dispensed onto specimen **301** or portions of the specimen by dispense head **334**. The solutions can be imaging reagents, such as fluorescently directly conjugated antibodies or secondary antibodies, stains, fluorescent probes and dyes; imaging nanomaterials (including quantum dots and other nanoparticles), or other contrast or straining reagents; or reagents to stimulate or treat specimen **301** such as anticancer compounds, antibiotics, antivirals, energy sources, or other liquids, gels, or gases for the same or other functions. In some embodiments, the information gathered by the optics or other sensors is used to decide which subregions **150** of the specimen are to be sampled or to decide the next step,

such as adding another reagent, scanning at a different wavelength, or another action. In some embodiments, after the application of a reagent, the transfer membrane **336** portion of the multifunctional head **330** may be used to aspirate excess reagent or all unbound reagents.

[0084] In a preferred embodiment, after optical scanning, the dispense head **334** can use a dispenser **335** to apply a dissociation solution, such as Liberase™ DH Research Grade Roche or equivalent products or custom formulations, in form of a spray, mist, or liquid to a region of the top most layer of the tissue to dissociate intercellular adhesion between cells and free the cells from the extracellular matrix. A dissociation solution comprises at least one collagenase or protease that digests extracellular matrix (F. E Dwulet and M.E. Smith, "Enzyme composition for tissue dissociation," United States Patent 5,952,215, September 14, 1999). The dissociation solutions to dissociate the tissue can be comprised of collagenases (e.g. collagenases type I, II, III, IV, and others), elastase, trypsin, papain, hyaluronidase, chymotrypsin, neutral protease, clostripain, caseinase, neutral protease (Dispase®), DNase, protease XIV or other enzymes. The dissociation solution can be applied in one embodiment such that only the uppermost layers of cells are dissociated or deeper layer are dissociated depending on the amount and concentration of the dissociation solution, time of contact, temperature, and other parameters.

[0085] Referring to Figure 7a, after treatment to dissociate the tissue, samples are collected from the specimen by moving multifunctional head **330** in the x and y axes to the appropriate subregion **150** of specimen **301** and then multifunctional head **330** is lowered in the z direction to contact specimen **301** in specimen holder **310** with the transfer membrane **336**. Cells from the top layer of the dissociated tissue are gently picked up by vacuum, contact, absorption, and other methods to air-permeable, cell-impermeable, transfer membrane **336** located at the bottom of the multifunctional head **330** for sample transfer; this can be similar to vacuum assisted blotting when vacuum is used. The transfer membrane **336** limits the amount of transfer to preferably less than 20 μm or less than 100 μm of tissue thickness and minimizes contamination by replacing the surface of the transfer membrane **336** with fresh membrane material between sequential sampling steps or cleaning. In some embodiments, an array of individually addressable vacuum/pressure or fluidic lines behind the membrane can be used to selectively apply vacuum to individually addressable subregions **337** of the membrane.

[0086] The multifunctional head **330** is then raised in the z direction, moved in the x and y direction as needed to dispense position **350**, and lowered to contact the input device **360**. Figure 7b shows multifunctional head **330** about to contact input device **360**. Input device **360** may contact all of the transferred specimen **302** or a subregion **150** of the transfer membrane **336**. In a preferred embodiment, the input device **360** is an array of microfluidic channels. It will be apparent to one skilled in the art that the fluidics and microfluidics may be implemented in different forms including capillaries, molded microchannels, embossed microchannels, etched microchannels, and many other formats in many materials including plastics, glass, silicon dioxide, metals, and others. Figure 7b shows a closeup of two ends of the input microchannels **382** held in connectors **316** about to contact the material transferred from specimen **301**.

[0087] Figure 8a shows a bottom view of multifunctional head **330** that has transferred parts of specimen **302** onto transfer membrane **336** after contact by input device **360**. Subregion **150** is outlined as the portion of the transfer membrane **336** that will be transferred into input device **360** in this example.

[0088] Figure 8a shows 12 microsamples **125** from the spatial positions **130** (shown as black circles) have been transferred onto transfer membrane **336** and then into input microchannels **382**. The transfer into input microchannels **382** may be any number of microsamples **125** at a time.

[0089] A 2 x 6 array of input microchannels **382** produces the pattern of microsamples **125** from spatial positions **130** shown in Figure 8a in one input step. The second input step can produce a pattern of 24 microsamples **125** from spatial positions **130** that have been transferred as illustrated in Figure 8b. Two more inputs steps would complete the input of 48 microsamples from subregion **150**. In a preferred embodiment, the whole surface of transfer membrane **336** is used to transfer cells each time. In some embodiments the first pass of sampling microsamples **125** from subregion **150** of transferred specimen **302** may be followed by a second pass to transfer of cells from a second layer deep of transferred specimen **302** into input device **360**. In another embodiment, a different subregion **150** of transferred specimen **302** may be input in the second transfer. In another embodiment, after input of a set of microsamples **125**, the multifunctional head **330** can be moved to capture position **345** and another sample from specimen **301** collected from the same or different region of specimen **301** by transfer membrane **336**; in some instances, additional optic information is gathered, the

specimen treated with reagents by dispensers **335**, or other activities may be performed before or after sampling specimen **301**.

[0090] Input device **360** can adopt many different configurations. Figure 8 shows the microsamples transferred to input device **360** that are circular in shape, like a capillary or other round microchannel would produce. The inside diameter (ID) can be matched to the size of the cells or material to be sampled. Capillaries could be used with, for example, 5 μm ID, or 20 μm ID, or 100 μm or 100s of μms to sample from different matrices. A 20 μm ID capillary can be used to sample mammalian tissue for single cells and input a microsample **125** from 30 μm deep for example; this microsample **125** could contain a single or multiple cells. A 100 μm ID capillary can be used to create microsamples **125** that could be 75 μm deep, for example, and might contain 10s or more cells. Other shapes than circular will enable sampling with different resultant patterns such as rectangular, triangular, and other shapes.

[0091] The number of samples collected from transfer membrane **336** will depend on the number of input microchannels **382** in input device **360**. In some embodiments it is a single input microchannel **382** and in other embodiments two or more microchannels that may be arranged in one or more rows and columns. In some embodiments input device **360** collects microsamples **125** from the complete surface of transfer membrane **336** simultaneously.

[0092] The pattern of transfer of microsamples **125** from transferred specimen **302** is known and where in the subregion **150** input device **360** contacted transferred specimens **302** is known, therefore the spatial position **130** of where in the transferred specimen **302** each microsample **382** originated is known; therefore the Single Cell Spatial Analysis System **100** can keep track of the order of each microsample **125** until it is encoded with a marker or barcode to spatially encoded single cells **1000**.

[0093] After encoding, as described below in detail, the microsamples **125**, cells, or groups of cells, can then be pooled if desired. After sample preparation and analysis by an analytical method **1100**, e.g., DNA sequencing, mass spectrometry, etc., the barcode is read out to produce Decoded Single Cell Spatial Data **1500**. Decoding the barcode then determines from which microsample **125** each single cell originated since known barcodes were added to ordered microsamples **125** in known order. Since the spatial position **130** of each microsample **125** is known, the three dimensional or two dimensional position of the single cells and groups of cells in multiple microsamples is

known. Single Cell Spatial Analysis **2000** software analyzes the spatial position information with the spatial position **130** of each microsample **125** with Single Cell Analytical Data **1600** to produce Single Cell Spatial Information **3000**.

[0094] Figure 9 shows one configuration of a single channel implementation of the input device **360**. In this embodiment, the input device **360** connects of input microchannel **382** through two three-way valves to one or more syringe pumps. The end of input microchannel **382** contacts the transfer membrane **336** through an optional filter **381** such as a 50 μm stainless steel mesh to break up any clumps of cells and remove debri. Syringe pump **365** pulls reagents from reagent rail **366** using three-way valve **364** and fluidic channel **367** from one of the reagents, **369**, **371**, **373**, and **375** with selection controlled by valves **368**, **370**, **372**, and **374**.

[0095] To input a microsample **125** into the end of input device **382**, in one embodiment syringe pump **365** pulls buffer, e.g., 100 nL of PBS buffer, from buffer reservoir **369** through fluidic channel **367** by opening buffer valve **368** and three-way valve **364**; a sample loop can be placed in between syringe pump **365** and three-way valve **364** or other locations as needed. Three way valve **364** can be replaced with valves such as 3 way or more way valves. After switching three-way valve **364**, syringe pump **365** then pushes the buffer through three-way valve **364** through defining microchannel **363**, three-way valve **362**, input microchannel **361**, the end of input microchannel **382** and through filter **381** to contact the portion of the transferred specimen **302** on transfer membrane **336**. Pressure or liquid can be supplied through fluidic and pneumatic bundle **339** to individually addressable subregions **337** of the areas that match input device **360** to help elute microsamples **125** off of the transfer membrane **336** and into input device **360** as needed.

[0096] After changing the state of three-way valve **364**, syringe pump **365** can pull the buffer and the transferred cells from transferred specimen **302**, and moving it into input device **360**, through filter **381**, end of input microchannel **382**, input microchannel **361**, three-way valve **362**, and into defining microchannel **363** which is flanked by three way valves **364** and **362** to create a microsample **125** in the fluidic stream. Three way valve **362** is then changed to connect defining microchannel **363** to connecting microchannel **376** and flow channel **380** and syringe pump **365** used to push the microsample **125** from defining microchannel **363** through three way valve **362** and connecting microchannel **376** and flow channel **380**. Valve **379** may be closed to direct

the flow in the direction of the arrow, towards the Sampler Encoder module **400** or other downstream processing.

[0097] Flow movement in flow channel **380** can also be accomplished using flow syringe pump **390** accessing flow reagent rail **391** through flow three way valve **393** to push fluids or gases to move the bolus(es) entrained in flow channel **380**.

[0098] One or more optional bolus detector **396** can detect the edges of boluses using optical measurement, conductance, or other methods well known to one skilled in the art. The bolus detectors can be used to align the additional encoding beads downstream as needed.

[0099] The length of the bolus containing the microsample **125** can be defined by the volume in defining microchannel **363** which is flanked by three way valves **364** and **362**, and the length and cross-sectional area of defining microchannel **363**. In one preferred embodiment, the volume of the bolus is 100 nL, but volumes can range from subnanoliters to 10s of microliters or larger.

[00100] The bolus can be flanked by many materials including air, immiscible fluids (such as FluorInert®; mineral oil; silicone-based oil; fluorinated oils; Droplet Generation Oil (Biorad, #1863005); emulsion oil (Life Technologies, Part No. 4469000); 73% Tegoseft DEC (Diethylhexyl Carbonate), 20% mineral oil, and 7% ABIL WE; 0.12% Span (v/v), 0.00325% Tween 80 (v/v), 0.0000125% Triton X-100 (v/v) in mineral oil; mineral oil containing 2% (v/v) ABIL EM 90 and 0.05% (v/v) Triton X-100 (Tanaka H, Yamamoto S, Nakamura A, Nakashoji Y, Okura N, Nakamoto N, Tsukagoshi K, Hashimoto M. Hands-off preparation of monodisperse emulsion droplets using a poly(dimethylsiloxane) microfluidic chip for droplet digital PCR. Anal Chem. 2015 Apr 21;87(8):4134-43. doi: 10.1021/ac503169h. Epub 2015 Apr 7.) and other formulations) fluids with crowding agents such as polyethylene glycol that limit diffusion and interaction of the cells, fluids with reaction components, and many others. These materials can help define the placement of the bolus in the downstream flow, prevent mixing or dilution of microsample 125, provide reactants, or other functions. As used herein, the term “immiscible fluid” refers to a fluid that is immiscible with a solution containing a microsample.

[00101] Figure 10 shows an embodiment with the input device(s) **360** having two channels of input, through end of input microchannels **382** and **392**. The two or more channels can be operated by two or more syringes **365** and **395** as shown in Figure 10

or the microchannels can be ganged together to operate from a single syringe in parallel. Similarly the two channels may have separate reagent rails **366** and **387** or a single reagent rail. Multiple channels of input may have a single or reagent rails, for example, a bank of 16 input devices **360** could have two reagent rails, each of which services eight input channels. In some instances, different sets of reagents may be used in the different reagent rails, e.g., RNA sequencing preparation in one reagent rail and DNA targeted sequencing reagents in a second reagent rail. Many additional configurations are possible. It is within the scope of the present disclosure that one reagent such as **371** contain a dissociation solution to further loosen cellular attachments to extracellular matrix material. The dissociation solution or other solutions could be applied through the fluidics to a microregion of the sample on transfer membrane **336** before transfer of the microsample **125** or be used as the fluid to transport the microsamples to continue to dissociate any clumps. To separate boluses downstream flow microchannel **380** and output microchannel **386**, one reagent can hold an immiscible fluid such as FluorInert, mineral oil, Droplet Generation Oil (Biorad, #1863005) or other fluids. A cleaning reagent to remove debris, disinfect, flush, and other functions can be used in reagent **375**. The number of reagents may be as low as one and be as large as needed for the application without limitation.

[00102] In some embodiments the reagents are in syringes operated by syringe pumps. In another embodiment, the reagents are in pouches, tubes, wells, or other containers and are moved by a pump or micropump such as a piezopump, e.g. a Bartels mp6, Dolomite Piezoelectric Pump 3200138, or others. In some embodiments, the reagents and other components of the Single Cell Spatial Analysis System **100** may be in cartridges **4000** that are readily changed by non-specialists.

[00103] When transfer membrane **336** is moved against the input device **360**, if two or more end of input microchannels **382** and **392** are used, they may be directly adjacent or with known spacing. In a preferred embodiment the ends are adjacent. When the input microchannels are not adjacent, the transfer membrane **336** can be moved against the input device **360** for multiple samplings of the transfer membrane **336**. For example, if the input device has microchannels of 20 μm ID and spacing between microchannels of 200 μm , then the multifunction head **330** could move ten times to pull in samples from the complete subregion **150** if it is linear. Similarly, multifunction head **330** could raster or use other patterns to insure that all of the transfer membrane **336** is sampled when desired.

[00104] Microsamples **125** for multiple input microchannels may be processed into defining microchannels **363** and **394** in parallel or independently. Similarly, to move the microsamples **125** downstream of flow channels **378** and **380** to be output into microchannel **386**, three way valves **362** and **399** are changed to connect defining microchannels **363** and **394** to connecting microchannels **376** and **383** to flow channels **380** and output microchannel **386**. Valve **379** may be closed to direct the flow in the direction of the arrow, leading to the Sampler Encoder module **400** or other downstream processing. In one embodiment, the microsample **125** in defining microchannel **394** is pushed by syringe pump **395** into output microchannel **386** and then the upstream microsample **125** in defining microchannel **363** is pushed by syringe pump **365** into flow channel **380** to place the two samples in a known order, separated by the volumes and initial material in connecting microchannel **376** and flow channel **380**. In another implementation the microsamples **125** may be moved simultaneously.

[00105] To dispense microsamples **125** into the microfluidic stream in a known order, the multifunctional head or other device moves the transfer membrane **336** to a dispense position where released cells are pulled in the order of the original 2-D spatial position into end of input microchannels **382** and **392** on input device **360**, driven initially by syringe pumps **365** and **395**. For example, with an array of a column of microchannels on the input device, the top row could be input column by column. Boluses of air, immiscible fluids, or other gases or liquids can be introduced to separate microsamples **125** as needed. Cells are aligned in order and output in a single fluidic or microfluidic stream into the Spatial Encoder module **400**.

i. One channel Spatial Sampler example.

[00106] In one implementation, a transfer device with a membrane with a single microchannel can be implemented using a single capillary, e.g., 20 μm ID capillary (Polymicro Technologies), which in a preferred embodiment is epoxied in a fiberoptic-like capillary (FROLC) connector with a membrane attached to one end, held in a moveable fixture; the other end of the capillary is attached through a three way valve using a FROLC connector to defining microchannel **363** of 100 nL to reagent rail **366** and syringe pump **365** as a vacuum and pressure source. The single 20 μm ID capillary may be able to sample about 1 nL from specimen **301**.

[00107] The FROLC connectors, shown in Figure 11, are true zero dead volume connectors and can join two or more capillaries to one, and be used as multiway microvalves. (Jovanovich, S. B. et. al. Capillary valve, connector, and router. February

20, 2001. U. S. Patent 6,190,616 ; Jovanovich; S. B. et. al. Method of merging chemical reactants in capillary tubes. April 22, 2003. U.S. Patent 6,551,839; Jovanovich, S., I. Blaga, and R. McIntosh. Integrated system with modular microfluidic components. US Patent 7,244,961. July 17, 2007.) are incorporated by reference and their teachings which describe the FROLCs and details of FROLC connectors, including their use as multiway valves, routers, and other functions including microfluidic circuits to perform flowthrough reactions and flow cells with internally reflecting surfaces. FROLCs can be made by using a fiberoptic FC-, or other fiberoptic connectors **311** with cleaved capillaries plugged with wax or other materials, and then inserted into face **312**, epoxy filled, polished to a dome like a fiber optic connector, and the wax removed. A spring in the connector provides compression to seal capillaries for liquid flow at >9,600 psi. Rotation of the connector can change the orientation of, for example, three capillaries to rout sample streams into any of three output capillaries. FROLC connectors can also be made from other designs than fiberoptic connectors in a matter that allows leak-free connection between one or more capillaries or microchannels. A FROLC connector is shown in Figure 11a with three capillaries **313**, **314**, and **315**, that are visible in Figure 11b.

[00108] Membranes such as transfer membrane **336** or filters on input devices, such as a 20 -40 um range filters or other materials can be mounted to the FC stainless surface over the end of the capillary in the FROLC (Figure 11c). A collar to simplify mounting the membrane can be made with 3-D printing or other methods. Collection and dispensing requirements of different membranes can be evaluated for the ability to 'grab' the top layer from loosened tissue and to dispense into the input device **360**.

[00109] Membrane filters can be from commercial sources with different characteristic, such as material, coating, and pore size. The amount of vacuum to pickup and hold, pressure to dispense, efficiency in pickup and delivery, and the depth of tissue picked up can be optimized for different membranes and specimens; the part of the FC connector without the capillary can be used as a control region when evaluating transfer membrane **336** candidates. Membranes can be examined under brightfield and, after fluorescent cell stains, with fluorescence microscopy for testing of capture and dispensing. Selected samples can be assayed with real-time PCR to develop the process and the requirements for repeatedly collecting cells onto a surface and then dispensing using a single capillary, and provide first insight into repeated sampling to generate 3-D spatial information.

[00110] To input a microsample **125** from transfer membrane **336** in a single channel implementation using a capillary held in a FROL C connector **311** for the input device **360**, a microsample **125** can be pulled into a single channel with a capillary (e.g., 75 μm ID) with FROL C connectors on both ends. One end will contact the transfer membrane and might optionally have a filter or mesh on its outer surface to breakup cell clumps; the other end is a FROL C three way valve that is in turn attached to the defining microchannel **363** which can have FROL C at both ends with the FROL CS used as three way microvalves to connect at the upstream end to the input device end **382** and to a capillary as the connecting microchannel **376** and the other end of defining microchannel **363** through a FROL C three way valve to a syringe pump **365** and a reagent rail **366**. Buffer, ~ 100 nL, will be delivered to the tip of the capillary and manually contacted to the transfer membrane area of the multifunctional head **330**. Transfer protocols can be optimized for requirements for pulling samples into the input device, timing, and fluidic process.

[00111] The defining microchannel **363** can readily be a volume such as 100 nL, or larger or smaller. A 100 nL is an approximately 7 cm long bolus in a 40 μm ID capillary or 2.5 cm long bolus in a 75 μm ID capillary—sufficient length to be defined between two FROL C or other microvalves. If needed, the 100 nL sample can have immiscible fluid added to create a larger volume to manipulate. The ability to input a sample and then rout into the microfluidic flow can be tested with fluorescent beads and then cell suspensions. Multiple samples of alternating types (*i.e.*, rat cells followed by murine cells) can be input and output to the fluidics stream to measure cross contamination rates. Cleaning solutions in reagent **375** can be used. In a single channel example, the connecting microchannel **376** can be used as the output line for the Spatial Sample module (microchannels **378** and **380**, and valve **379** are not used). In addition to using a capillary, in a single channel implementation, it is within the scope of the present disclosure to use microchannel(s) that may be injection molded, milled, or otherwise made and covered with a material such as a heat-sealed membrane.

ii. Multi-channel Spatial Sampler example.

[00112] If neither pressure is needed to dispense from the membrane nor is continued vacuum needed to hold samples onto the membrane, the sample transfer portion of the multifunctional head **330** simplifies to a transfer membrane **336** with vacuum control. If dispensing requires pressure sequentially applied to individual subregions of the membrane, then to achieve high throughput the transfer device can be an array device

that input collects and dispenses multiple samples at a time into a 'mirrored' input format, *i.e.*, if the transfer device used 8x12 channels, the input device **360** would use 8x12 channels.

[00113] Figure 12 shows 12 capillaries **317** arrayed in a linear FROLC connector **316**. For transfer, in one embodiment, the transfer region of the multifunctional head will have a membrane in front of the 12 capillaries to collect samples, each capillary individually addressable to deliver pressure to dispense the 12 microsamples **125** if needed. The input device **360** can be another linear array of 12 capillaries **317** in linear FROLC connector **316**, covered with a 30 μm filter, connected to a ganged syringe pump an array of 12 fluidic circuits. In other embodiments, the transfer region of the multifunctional head does not have a membrane in front of the 12 capillaries to collect samples.

a. Spatial Encoder Description.

[00114] The Single Cell Spatial Analysis System Spatial Encoder module **400** inputs the ordered microsamples **125** which may contain single cells or groups of cells from the Spatial Sampler module **300** using the Spatial Sampler output such as output microchannel **386** as an input to the Spatial Encoder module **400**. The spatial encoder subsystem can place the microsamples in a sequential ordered arrangement in a fluidic stream. This sequential arrangement is referred to as a train, and microsamples in a train are said to be entrained. In a preferred embodiment for nucleic acid encoding, adds beads with known barcodes to correlate with the original spatial information into boluses, creates microdrops, (nanodroplets in some implementations, or boluses in other implementations), preferably with one or less cells per nanodroplet. A bolus is typically elongate in shape, while a nanodroplet is typically spherical. A bolus typically has a volume of at least 3 microliters. A nanodrop typically has a volume of no more than 3 microliters, *e.g.*, about 1.5 microliters. In a preferred embodiment, the Spatial Encoder module **400** outputs single cells with spatial barcodes in nanodroplets or boluses to the Spatial Librarian Subsystem **500**.

[00115] One embodiment of spatial barcoding is to use beads with oligonucleotides with spatial barcodes **680** is illustrated in Figure 13a. The beads with oligonucleotides with spatial barcodes **680** can be paramagnetic beads, agarose beads, or others, and have surface chemistry optimized for the nucleic acid capture and subsequent chemistries. Oligonucleotides with spatial barcodes **601** can be generated by synthesis using standard commercially available phosphoramidite or other technology. In one

embodiment, the oligonucleotide has a cleavable linker **602**, attached to an amplification primer **604** with fluorescent label **603**, a sequencing primer **605**, barcode region **606**, and capture region **610**. The barcode region is comprised of a spatial barcode **607**, cellular barcode **608**, and molecular barcode **609**. In one embodiment the spatial barcode **607** can be 5 nucleotides long to provide 1,024 barcodes for spatial resolution. Cellular barcodes **608** can be 6 nucleotides, or other lengths, and synthesized by split-pool synthesis, and molecular barcode **609** can be 8 nucleotides synthesized by degenerate synthesis; the cellular barcodes **608** only need to identify cells from within a single microsample **125** since each microsample is encoded with a spatial barcode. In a preferred embodiment, each spatial barcode **607** is unique for each set of microsamples **125** that are analyzed together. In other embodiments, spatial barcode **607** can be shared between microsamples **125** and then resolved bioinformatically using cellular barcode **608** to sort and cluster by cells to resolve spatial barcode ambiguities. Spatial, cellular, and molecular barcodes can be of different lengths or in different orders, or dispersed among other elements of the oligonucleotide with spatial barcode 50 without limitation.

[00116] Another embodiment of spatial barcoding is to attach oligonucleotides with spatial barcodes **601** to surfaces **660** as illustrated in Figure 13b, or flowcells **670**. The surface **660** then can be used in similar ways to the beads. It will be obvious to one skilled in the art that surface **660** could be assembled into a flow cell.

[00117] The oligonucleotides, such as amino-modified oligonucleotides, can be initially attached to commercially available paramagnetic beads **630** by covalent crosslinking and may include a cleavable linker bond (Ju. J. *et. al.* United States Patent 9,133,511. September 15, 2015.), (Knapp D.C. *et. al.* Bioconjug Chem. 2010; 21(6):1043-55.), (https://www.clickchemistrytools.com/products/click_chemistry_toolbox), (Olejnik J. *et. al.* Nucleic Acids Res. 1996;24(2):361-6.). Fluorescent probes can be attached to the oligonucleotide distal from the bead and cleavable bond or alternatively fluorescent nucleic acid base analogs can be used such as 2-Aminopurine (Wilhelmsson, Quarterly Reviews of Biophysics, 43, 2, 2010, 159-183). The cleavage of labeled oligonucleotides can be used for assay development since the oligonucleotide can be analyzed by fragment sizing on CE with the fluorescent tag to give the distribution of sizes to assess library quality.

[00118] The hardware and software of the Spatial Encoder module **400** must deliver a set of beads with a unique, known, spatial barcode **607** to each microsample **125**.

Referring to Figure 14, the Spatial Encoding fluidic delivery can use a spatial barcode reagent rail **401** to access beads each with a single spatial barcode, for example **403**, **405**, **407**, and **409**, controlled by spatial barcode reagent rail valves **402**, **404**, **406**, and **408** respectively, to deliver reagents through by spatial barcode fluidic channel **410** to spatial barcode syringe pump **412**. It is within the scope that the spatially barcoded beads will scale to 1,024 or greater number of spatial barcodes per run for the Single Cell Spatial Analysis System. Spatial barcode three way valve **411** and spatial barcode reagent rail **401** can be implemented with many other variations, including having spatial barcode reagent rail valves **402**, **404**, **406**, and **408** each being multiway valves, such as 8-way valves that in turn access 8 sets of spatial barcode reagents, or additional valves be added to the reagent rail. The multiway valves can be FROLC or other microvalves, molded microfluidic valves, valves on microchips, or other embodiments. An alternative approach is an ordered series of boluses of beads with different spatial codes that are merged with the microsample **125** boluses in known order.

[00119] As microsamples **125** are moved from Spatial Sampler output, such as microchannel **386**, reagent rail syringe pump **412** and spatial barcode reagent rail **401** can select a reagent of singly spatially barcoded beads, such as beads all with a single spatial barcode **403**, and delivers a bolus of beads **403** through spatial barcode connecting channel **413** to spatial encoder junction **414** to merge with the microsample **125** in spatial encoder microfluidic device **420**. Optical, conductance, or other sensors can be incorporated as needed to detect the microsample **125** in the bolus and coordinate the addition of the spatially barcoded beads to the bolus.

[00120] The bolus then passes through spatial encoder microchannel **417** to nozzle **429** where an immiscible fluid such as FluorInert, Droplet Generation Oil (Biorad, #1863005), or other solutions can be added by nanodroplet generation syringe pumps **425** and **415** to the bolus to produce nanodroplets, preferably 1.5 nL, and sent down spatial encoder output microchannel **430** as output from the Spatial Encoder. Nanodroplet generation syringe pumps **415** and **425** can also be combined into one syringe pump that has two microchannels **416** and **426** that split in two from nanodroplet generation syringe pump output to join the microsample **125** with barcoded bead bolus from either side to produce nanodroplets, eliminating the need for a second nanodroplet generation syringe pump. Nozzle designs and circuits are incorporated by reference (Macosko E.Z. *et. al.* Cell. 2015;161(5):1202-14.) (Klein A. M. *et. al.* Cell. 2015;161(5):1187-201.) (Geng T. *et. al.* Anal Chem. 2014;86(1):703-12).

[00121] In an alternative embodiment, the microsample **125** bolus with the added spatially barcoded beads are processed as a bolus without the formation of nanodroplets. In this approach, the bolus may be preferably less than 5 nL, or 10 nL, or 25 nL, or 100 nL, or 250 nL, and 10,000 microsamples **125** may be less than 2.5 mL.

[00122] In one embodiment, single channel fluidics are used. Referring to Figure 15, a bolus of, for example, 100 nL of beads with one spatial code are added in junction **414** to the, for example, 100 nL of microsample **125** in output microchannel **386** and lysis and/or reaction mixtures, such as lysis/reverse transcriptase mix, added separately through spatial encoder reagent syringe pump **418** and reagent connecting microchannel **419**.

[00123] Monodispersed nanodroplets from single cells with spatially coded beads with lysis and/or reaction mixtures, e.g., lysis/RT mix for RNA-Seq, lysis/restriction mix for DNA sequencing, are then be produced using a nozzle **429** (Macosko E.Z. *et. al.* Cell. 2015;161(5):1202-14.) (Klein A. M. *et. al.* Cell. 2015;161(5):1187-201.) (Geng T. *et. al.* Anal Chem. 2014;86(1):703-12.) and output through spatial encoder output microchannel **430**. As needed, the geometry and flow rates can be altered to adjust size and flow rates to produce a Poisson distribution of single cells with each nanodroplet preferably having a spatially barcoded bead. In other embodiments, the bolus from the Spatial Sampler module **300** is physically separated by structures, volumes, or surfaces, for example, by placing the bolus into a microtiter or smaller well or tube. The Spatial Sampler module **300** output can be used to be physically dispersed onto the surface of a material comprised of agar, membranes, arrays of beads, microscope slides, flow cells, and others. The physical dispersion can be by moving the surface under a capillary or other flow, by printing with a microarray pen, by piezospraying, electrowetting, microfluidics, or other methods. The physically separated microsample **125** bolus can be dispersed such that, for example on the surface of agar, all cells are far enough apart to be processed as single cells.

[00124] In one embodiment, spatial encoder reagent syringe pump **418** adds a low melting temperature agarose to encapsule the nanodroplet with heated liquified agarose (Geng T. *et. al.* Anal Chem. 2014;86(1):703-12.) during the formation of nanodroplets. Once cooled, the agarose can be used as a barrier permeable to low molecular weight components, such as reaction components, but not to high molecular weight components such as nucleic acids when it is cooled. The use of agarose to encapsulate

the reactions enables multiple sequential reactions or manipulations in a row to be performed in the nanodroplet.

b. *Spatial Librarian Subsystem Description*

[00125] In one embodiment, the Spatial Librarian Subsystem **500** receives the output of the Spatial Preparation Subsystem **200** and can process the microsamples **125** including single cells and groups of cells from a single spatial position **130** of the specimen with a spatial barcode added. The Spatial Librarian Subsystem **500** can perform enzymatic reactions, chemical reactions, and purifications, quality control, and other functions.

[00126] Referring to Figure 16, in one embodiment, the output from the Spatial Encoder module **400**, e.g., output microchannel **430**, is input into the Spatial Librarian Subsystem **500** to reaction device **520**. In one embodiment, the microdrops (e.g., nanodroplets or boluses) are pooled in reaction chamber **523** and reagents added from spatial librarian reagent rail **501** accessing spatial librarian reagents **503**, **505**, **507**, and **509** through spatial librarian valves **502**, **504**, **506**, and **508** respectively to allow access through spatial librarian reagent rail connecting microchannel **510** with spatial librarian syringe pump **512** and spatial librarian three-way valve **511**. Reagents can be added to reaction chamber **523** to mix with pooled microdroplets. In other embodiments, reaction device **520** is a flowthrough system. Waste can be flowed into waste line **526** as needed. Temperature can be controlled including thermal cycling by temperature control device **521** which can include sensors and heaters well known to one skilled in the art including resistive heating, infrared heating, flowing air or water, and other methods. Optional optic device **522** can monitor reactions such as quantitative polymerase chain reaction (qPCR), reverse transcriptase-PCR, Raman spectroscopy, or other optical measurements including fiberoptic delivery and collection of light from lasers, filters, imaging devices such as CCD and CMOS, and other optical methods. Quality control device **525** can assess the quality of the processing integrated devices such as capillary electrophoresis with laser induced fluorescence to determine the amount and the size distribution of fragments in the library, mass spectrometry, Raman, electrochemistry, or other devices.

[00127] When needed, the spatial librarian can break or create emulsions by accessing reagents on spatial librarian reagent rail **501**. To break an emulsion, many standard methods can be used including adding isobutanol ("Idiot-proof emulsion PCR", Lab Times, 1-2011, p50); isopropanol and detergent buffer (10 mM Tris pH 7.5, 1 mM

EDTA pH 8.0, 100 mM NaCl, 1% (v/v) Triton X-100, 1% (w/v) SDS); water-saturated diethyl ether; salt including sodium pyrophosphate; or demulsifiers such as Dow Corning® DM4 Demulsifier or others can be added. In an alternative approach, an organic extraction solvent, selected from the group consisting of: butanol, octanol, hexanol and chloroform, is added to an aqueous phase of a breaking solution includes sodium dodecyl sulfate (SDS) or phosphate buffered saline (PBS), further including an inorganic salt in the aqueous phase prior to forming the breaking solution wherein the inorganic salt is selected from the group consisting of potassium chloride, potassium acetate, sodium chloride, sodium acetate, lithium chloride, lithium acetate, Na₂SO₄, potassium carbonate, ammonium sulfate, and ammonium acetate (Jeffrey Sabina, Ilya Zlatkovsky, Rachel Kasinskas, "Methods and kits for breaking emulsions" WO 2012138926 A1, Published Oct 11, 2012) or. The solution can be allowed to separate by gravity and then the bottom phase collected with spatial librarian syringe **512** with connection line **513** positioned in the bottom of reaction chamber **523**. The top phase can be discarded through waste line **526**. In an alternative embodiment, a centrifuge device is included in reaction device 520 to separate emulsion phases, with a pipetting device to withdraw the aqueous phase from the bottom of the centrifuge tube.

[00128] To create an emulsion, the appropriate emulsion oil is selected by spatial librarian reagent rail **501** and added to the reaction chamber **523** with a vigorous back and forth motion of the solution in the reaction chamber **523**.

[00129] A moveable magnet device **524** can position a magnet near reaction chamber **523** to collect paramagnetic beads to the surface of the reaction chamber **523** or retract a magnet to release paramagnetic beads as required. A magnetic separation (He J. *et al.* J Pharm Biomed Anal. 2014; 101:84-101.) can be used to change the buffer in a reaction, to remove residual traces of emulsion oil or breaking solution, to remove an enzyme, to concentrate a product, or to capture nucleic acid or other components onto beads for ease of handling. The surface chemistries of the paramagnetic beads and conditions to precipitate, wash, and elute nucleic acids and other biomolecules onto surfaces is well understood, (Boom, W. R. *et al.* United States Patent 5,234,809. August 10, 1993.), (Reeve, M. and P. Robinson. United States Patent 5,665,554. September 9, 1997.), (Hawkins, T. United States Patent 5,898,071. April 27, 1999.), (McKernan, K. *et al.* United States Patent, 6,534,262. March 18, 2003.), (Han, Z. United States Patent, 8,536,322. September 17, 2013.), (Dressman *et al.*, "Transforming single DNA molecules into fluorescent magnetic particles for detection

and enumeration of genetic variation" Proc. Natl. Acad. Sci. 100(15):8817-8822 (2003)), (Ghadessy et al., "Directed evolution of polymerase function by compartmentalized self-replication", Proc. Natl. Acad. Sci. 98(8):4552-4557 (2000)), (Tawfik and Griffiths, "Man-made cell-like compartments for molecular evolution" Nat. Biotech. 16(7):652-656 (1998)), (Williams et al., "Amplification of complex gene libraries by emulsion PCR" Nat. Meth. 3(7):545-550 (2006)), and many chemistries are possible and within the scope of the instant disclosure for spatial analysis. When the nucleic acids and other biomolecules are covalent or otherwise attached to a paramagnetic bead, the beads and attached biomolecules can be separated from solution without the additive of a precipitation solution such as a crowding agent, e.g., polyethylene glycol, ethanol, *etc.* If the biomolecules are not attached to paramagnetic beads, reagent rail **501** can add the appropriate precipitation solution(s) to reaction chamber **523** to force the biomolecule of interest onto the surface of the paramagnetic bead.

[00130] A magnetic separation can be performed using moveable magnet device **524** to position a magnet near reaction chamber **523** to collect the paramagnetic beads to a surface of reaction chamber **523** and the reaction mix pumped to waste line **526**. A wash solution, such as 80% ethanol, is added by reagent rail **501** and the beads released by retracting the magnet. In some embodiments, the wash solution can include Triton X-100 (Octylphenol ethylene oxide condensate). In some embodiments, the wash solution can include about 0.01% to about 5% Triton X-100. In some embodiments, the wash solution can include about 0.05% to about 1% Triton-X. In some embodiments, the wash solution can include Tris-HCl or Tris-EDTA. The beads can be agitated by pumping, magnetic stirrers, bubbling air, or other methods. The beads are recaptured by using moveable magnet device **524** to position a magnet near reaction chamber **523** to collect the paramagnetic beads to a surface of the reaction chamber **523** and the wash solution pumped to waste line **526**. This can be repeated with the same or different wash solutions as needed to purify the reaction products, to remove unincorporated reactants, to remove emulsion oil or breaking solution, or other reasons. The biomolecule of interest can be associated with the bead or with the solution phase as desired. In addition, reaction chamber **523** can be heated to activate or inactivate enzymes. As is obvious to one skilled in the art, other devices and functionality can be added to reaction device **520** including a centrifuge device or a pipetting device.

[00131] In some embodiments, the nanodroplet or bolus generation was performed with a reversible gelling agent such as ultra low gelling agarose as taught by Geng T. *et.*

al. Anal Chem. 2014;86(1):703-12. In a preferred workflow, low melting agarose is added to the microsample before nozzle **429** and nanodroplets are produced with at times a single cell and a single barcoded bead with the cells compartmentalized within nanoliter agarose droplets. The nanoliter agarose droplets are transformed into microgels by cooling. The agarose microgels allow small molecules to diffuse into and out of the nanodroplets but retains macromolecules such as DNA. This enables lysis and removal of PCR inhibitors and PCR amplification (as taught by Geng T. and R.A. Mathies Forensic Sci Int Genet. 2015 Jan;14:203-9).

[00132] The utility of the nanoliter agarose droplets can be further extended in the instant disclosure to couple multiple reactions in a row. As needed, the microgels can be harvested and reagents infused. The substrates and enzymes can be changed between reactions, such as a DNA library construction; see “Library Preparation for Double-Stranded DNA example.” In one example, spatial analysis of polyadenylated mRNA from single cells and tissue can be performed in nanoliter agarose droplets by lysing cells, capturing mRNA, reverse transcriptase, followed by second strand synthesis. In another embodiment, precipitation agents are diffused into the nanoliter agarose droplets to force precipitation for separations onto the bead inside the nanoliter agarose droplets if the biomolecule of interest is not attached to the bead. Unbound materials can diffuse out.

c. *Spatial Encoding By Adding Internal Markers or Standards Directly to the Specimen*

[00133] In another preferred embodiment, markers, comprised of internal markers, standards, nucleic acids, enzymes, chemicals such as isotopes, mass tags, or ratios or mixtures of enzymes, fluorescent markers, Raman markers, optical markers, carbohydrates, lipids, other biomolecules, or chemicals, are added to the specimen in known order by dispensers **335** on the multifunctional head **330** or alternatively by direct transfer from prefabricated arrays **900** of markers **901** on a support carrier, backing surface or membrane (see Figure 17) attached to and maneuvered by the multifunctional head **330**. The markers are later analyzed to decode the spatial position in the specimen of the microsample **125**. In some embodiments, the decoding can be an orthogonal method to the analytic method for the analyte of interest, *i.e.*, a DNA marker could be used to decode spatial information while the sample analysis might be by mass spectrometry. In some embodiments, the markers are attached to antibodies or other bioaffinity agents such as to exterior cell surface epitopes. In other

embodiments, the markers are attached to motifs, compounds, or structures that are transported, electroporated, or otherwise enter into the cells. The markers can be used to identify the spatial position of the microsample **125** and cell for many different types of analyses comprising metabolic characterization and profiling, proteomics, genomics, gene expression, carbohydrate characterization and profiling, lipid characterization and profiling, and combinations of analyses.

[00134] Referring to Figure 17, the markers depicted by letters A to P are each unique and arranged in patches **901** to form a two dimensional array **900**. These markers can be physisorbed as mono- or multilayers onto the surface of a membrane or support material which is connected to the multifunctional head **330**. Alternatively, the markers can be arrayed in form of beads or contained in micro/nanowell architectures, or immersed in hydrogels or other surfaces **660** or structures. During sampling the array is brought into direct contact with the specimen **301** resulting in the transfer of marker reagents onto the topmost layer of the specimen thereby encoding the spatial order information directly onto the cells. It is understood that the patch-to-cell registry shown in Figure 17 is an idealized version. It is more likely that in certain cases multiple adjacent markers will be transferred to the same cell or one marker will be transferred to multiple adjacent cells which can be used to further define boundaries between patches.

[00135] For spatial analysis of proteins, a dispenser(s) **335** or array **900** on the multifunctional head **330** can apply different solutions of markers to each microsample **125** on the specimen. For example, mass isotopes or ratios of mass isotopes can be added, and, after microsample **125** is processed in the Spatial Sampler **300** to produce single cells, the isotopes can be determined by mass spectrometry to determine where in the sample the cell or cells originated. In other embodiments, the markers are added as barcodes in the Spatial Encoder module **400**.

[00136] For nucleic acid analysis, nucleic acids or other markers can be added to the specimen in known order by dispensers **335** or an array **900** on the multifunctional head **330**. The nucleic acids can be electroporated into the specimen by addition of suitable electrodes and buffer, applied to the surface, or designed to be uptaken by the cells. Nucleic acid sequences can be added that are not found in the organisms being studied and their signature used to decode the spatial position of microsample **125**. In some embodiments, an affinity label, such as biotin, can be attached to the nucleic acid marker and the mating affinity label, such as streptavidin, attached to beads **630** to capture the nucleic acid marker after lysis.

[00137] The following details one embodiment to add spatial barcodes to the specimen **301** directly on sampling in the Spatial Sampler module **300**.

[00138] One embodiment uses a physical array of paramagnetic beads that are pre-arrayed on a Collection multifunctional head **330** with positional information encoded in the primers attached to the beads with oligonucleotides with spatial barcodes **680**. This approach greatly simplifies engineering approaches for the Single Cell Spatial Analysis System **100**. The Spatial Sampler module **300** uses the two-dimensional stage **320** with a multifunctional head **330**. Fluidic dispensers **335** first applies solution(s) to tissue to dissolve intercellular adhesion.

[00139] In this embodiment, the transfer membrane **336** is replaced with a collection head that has an array **900** of paramagnetic beads **630** each with a single spatial barcode and in a known order. Referring to Figure 18, the beads can be attached by cleavable linker **620** to backing surface **621**, alternatively held by magnets located behind backing surface **621** or arrayed and attached by other methods. In one embodiment, each bead can have two types of biologicals attached: antibodies to binding cell surface markers **651**, **652**, and **653** which can all be the same or different and each individual bead has a DNA oligonucleotide with a unique spatial barcode **640**, **641**, and **642**, and optional barcodes for cellular identity and molecular identity, and other elements comprised of sequencing primer, poly T sequence when capturing polyadenylated mRNA, targeted capture sequence, or other elements. Each bead has a different spatial barcode marker and is placed in a known order upon backing surface **621**. Decoding the spatial barcode in turn allows the sequenced DNA or RNA to be traced back to its original location in the specimen.

[00140] In this embodiment, to collect cells, after dissolution of the extracellular matrix and intercellular adhesion, the collection head with backing surface **621** of the multifunctional head **330** is pressed against the top layer of the tissue and cells bound to the beads by antibodies **651**, **652**, and **653**. To dispense, the multifunctional head **330** is moved to the cell input port of the Spatial Encoder module **300** and the linkers cleaved (or the magnet removed) to deposit all of the beads into the input port simultaneously or in groups, with gas pressure applied if needed. Individual portions do not need to be dispensed because the spatial barcode on the beads, which are attached to the cells through the antibody, can be used to decode the position of the microsample **125** after amplification. This embodiment obviates the need for spatial barcode reagent rail **401** to add spatially barcoded beads to the microsamples **125**. However, this

approach requires a more complicated manufacturing process to generate the arrays of spatially encoded beads.

d. *Spatial Data Analysis, Representation and Information Description*

[00141] The Single Cell Spatial Analysis System **100** encodes the physical location of microsamples **125** within the specimen **301** to produce spatially encoded single cells **1000**. Following analysis method **1100**, such as DNA sequencing, mass spectrometry, Raman spectroscopy, or other analytical methods, single cell analytical data **1600** is produced of analytes of interest, such as DNA sequence of target sequences, and at the same time the encoded spatial information from each sequence can be decoded to produce decoded single cell spatial information **1500** which identifies the spatial position **130** where microsample **125** originated in specimen **301**. The decoded single cell spatial information **1500** can be, for example, 5 nucleotides or ratios of isotopes, or other decoding of markers.

[00142] The single cell analytical data **1600** is analyzed by single cell spatial analysis **2000** software to produce single cell spatial information **3000** which has the analytical data and associated physical position information of the microsample **125**. For single cell spatial analysis **2000**, scripts can sort reads by the spatial barcode **607** and reconstruct, for example, the DNA sequence or expression patterns from individual cells from the specimen by position in three dimensions. Types of cells, activity, gene expression, mutation, networks of genes, and expression patterns can be mapped to two or three dimensional spatial coordinates. Information from optical or other measured properties can be combined and represented visually with two and three dimensional plots of the origin of the microsample and the activity measured, genomic, proteomic, metabolomics, systems biology, *etc.*

[00143] The data analysis can build upon existing analytic platforms. For example, downstream (N)NGS workflow can use existing (N)NGS sequencing analysis and bioinformatics. For the bioinformatics pipeline, the quality of the data is assessed and, optionally, the reads trimmed and those with poor quality filtered out. High quality reads are aligned to the reference genome or transcriptome using one of the many available high-throughput sequencing mapping tools. Alignments are assembled into full-length transcripts or contigs based on a reference genome. For gene expression, the aligned reads are subsequently passed to quantification tools to obtain a measure of expression. After the completion of these main steps, several differential analyses can be executed to identify differentially expressed genes and transcripts. For all reads, the

spatial barcode **607** information in either the same analysis or an orthogonal analysis are used to decode the origin of the same and provide input into the creation of single cell spatial information **3000**, which combines single cell analytical data with the spatial data.

e. *Examples of workflows and applications*

[00144] Many applications are enabled by the Single Cell Spatial Analysis System **100**. The capability of analyzing the identity, genetic sequence, gene expression, proteomic and metabolomic profiles of specimens and how groups of cells function in three dimensional matrices can be applied to forensics, molecular diagnostics, pathology, cell biology, cell discovery, histology and many other applied areas to understanding of how tissue functions. Spatial analysis of tissue at the single cell level will provide extraordinary insight and understanding, tissue composition of different cell types, structure, and how cells function in a microenvironment. Animal, plant, and microbial communities, such as biomes and films, will be important applications and how biomes change at interfaces of different organs and microenvironments. The Single Cell Spatial Analysis System **100** can be applied to molecular diagnostics where spatial analysis of biopsies can provide information about the state of the tissue, subregions **150** of activity, such as cancerous growths, and sources of qualified material for treatment; many raw and processed samples can be addressed including blood, forensic samples, tissue samples, fine needle aspirates and many others. In many of examples, the production of single cells with spatial barcodes is discussed. It will be clear to one skilled in the art that ensemble measurements of groups of cells with the same spatial barcodes, such as for a microsample **125**, is within the scope of the present disclosure.

[00145] Examples are discussed in the following sections. Many other permutations, workflows, modules, devices, and combinations are possible. The names of the modules and subsystems are not limiting and functionality can be distributed differently between modules.

i. Example: Spatial analysis of polyadenylated mRNA from single cells and tissue.

[00146] mRNA analysis from single cells has been developed and working conditions based upon the pioneering works of Macosko E.Z. *et. al.* Cell. 2015;161(5):1202-14 and Klein A. M. *et. al.* Cell. 2015;161(5):1187-201 and others cited therein are incorporated by reference, including instrumentation, chemistry, workflows, reactions conditions,

flowcell design, and other teachings. However, no information about where in the specimen the cells originated is encoded with current methods and therefore all spatial information about the cell's physical position in the specimen and the identity of cells located near each cell are lost. The instant disclosure encodes the spatial position **130** to allow increased understanding of the identity of each cell, its state of gene expression, and the network of interactions in specimen **301** to be better understood.

[00147] A preferred workflow for mRNA to encode spatial position of the microsample **125** into DNA is shown in Figure 19. The workflow begins with sampling from tissue or other specimens **301** to collect microsamples **125**, *i.e.*, cells and groups of cells, in known physical order, one layer at a time; the layer can be a single layer of cells or multiple layers of cells. In a preferred embodiment, the microsample **125** is then input into a fluidic flow system in known order in the Spatial Sampler module **300**. After the spatially encoded bead(s) has been added in the Spatial Encoder module **400**, the microsample **125** and beads pass through nozzle **429** which then generates nanodroplets or small boluses each with preferably a single bead and one or less cells. In the Spatial Librarian Subsystem **500** the cells are lysed, and the released mRNA captured onto the barcoded oligonucleotide on the bead **680**. A reverse transcription reaction is performed either in the bolus or after pooling nanodroplets or boluses. The reverse transcription reaction uses the oligonucleotide as a primer and synthesizes complementary DNA (cDNA) to the mRNA sequence attached to one strand of the spatial encoded oligonucleotide, thereby covalently linking the cDNA to the DNA of the oligonucleotide. Since the oligonucleotide contains a spatial barcode, the cDNA now has a spatial barcode which can be read out by DNA sequencing after library preparation.

[00148] In other embodiments, the microsample **125** can be output into a well such as a microtiter plate or test tube, or into a flow cell, or other ordered substrate in known order from the Spatial Sampler module **300**. In a preferred embodiment, a spatially encoded bead or set of beads all with the same spatial barcode is added to the microsample **125** or to single cells or groups of cells from the microsample **125**. Alternatively, the spatial encoding can be on nucleic acid attached to the surface of a flowcell, or on a hydrogel, or on the interior of a capillary or microchannel, or other surfaces or matrices; these alternatives are all within the scope of the present disclosure when the term bead is used.

[00149] A preferred embodiment for spatial analysis of mRNA is described in more detail. In one embodiment, single channel fluidics are used. Referring to Figure 20, a bolus of 100 nL of spatially barcoded oligonucleotide-functionalized beads **680** with a poly T sequence as the capture region **610** with a single spatial barcode is added in junction **414** to 100 nL of microsample **125** from spatial sampler output microchannel **386** and lysis/reverse transcriptase mix added separately through spatial encoder reagent syringe pump **418** and reagent connecting microchannel **419**; the lysis conditions and reverse transcriptase described by (Fekete R. A. and A. Nguyen. United States Patent 8,288,106. October 16, 2012) are incorporated by reference. Monodisperse nanodroplets from single cells with spatially coded beads with lysis/RT mix, are produced using a nozzle **429** (Macosko E.Z. *et. al.* Cell. 2015;161(5):1202-14.) (Klein A. M. *et. al.* Cell. 2015;161(5):1187-201.) (Geng T. *et. al.* Anal Chem. 2014;86(1):703-12) and output through spatial encoder output microchannel **430**.

[00150] After processing with the Spatial Preparation Subsystem with spatially barcoded oligonucleotide-functionalized beads **680** with a poly T sequence at capture region **610**, the nanodroplets or boluses with a distribution of beads and single cells can be input in the Spatial Librarian Subsystem **500**. Cells are lysed by heating or other methods and polyadenylated mRNA **681** captured onto the oligonucleotide to form captured mRNA structure **682**. The oligonucleotides **601** are barcoded for spatial barcode information **607** as well as cellular barcodes **608** and molecule barcodes **609**. Amplification primer **604** and sequencing primer **605** may be included on the oligonucleotide, or may be added in downstream library preparation methods as needed. The amplification primers can be for T7 polymerase for amplified RNA production (Van Gelder R.N. *et. al.* Proc Natl Acad Sci U S A. 1990; 87(5):1663-7.), PCR, rolling circle transcription-based amplification, rapid amplification of cDNA ends, continuous flow amplification, and other amplification methods.

[00151] After lysis and capture of the mRNA onto the poly T, a reverse transcriptase reaction is performed in Library Preparation Module **500** to produce cDNA attached to bead **683**, formed from the mRNA, and now containing spatial, cellular, and molecular barcodes in addition to any sequencing and amplification primers and is attached to the bead **680** through a cleavable linker. Cleavage of the linker can release the cDNA from the bead when desired. A photocleavable or chemical cleavable linker and fluorescent tag(s) to aid in quality control and process development is included in the instant

disclosure. As required, fragmentation of the RNA or cDNA can be performed using methods comprised of chemical, biochemical, and physical methods.

[00152] The reverse transcription reactions can be assayed by qPCR using reagents added from spatial librarian reagent rail **501** to reaction chamber **523** with thermal cycling from temperature control device **521** with optic device **522** monitoring the qPCR reaction. cDNA produced by cleaving the fluorescently tagged cDNA can be analyzed by QC device **525** with capillary electrophoresis. External polyadenylated transcripts 250–2,000 nt in length at a 10^6 range of concentrations can be added from spatial librarian reagent rail **501** to assess the dynamic range and range of detection with NGS analysis (ERCC RNA Spike in Controls, 4456740, Life Technology).

[00153] Alternative preferred embodiments include performing an RNA ligase reaction to covalently join the mRNA to one strand of the double stranded oligonucleotide after lysis and capture of the mRNA onto spatially barcoded oligonucleotide-functionalized beads **680** with a poly T sequence as the capture region **610**, or ligating RNA to a single stranded RNA or DNA attached to the bead.

[00154] In some embodiments, the emulsion is broken at this stage in a demulsification step. The emulsion can be broken, for example by heating the mixture, acidification, centrifugation and ultrasonic treatment. When nanoliter agarose droplets are used, the solidified nanoliter agarose droplets can be separated from the oil with a filter, e.g., a 40 μm or other pore size filter, incorporated into reaction chamber **523** and washed with water or solvents from spatial librarian reagent rail **501**. The agarose can be melted when required to release the bead with spatial information encoded. When nanoliter aqueous droplets or boluses are used, the demulsification can be performed in the reaction chamber **523** or in some embodiments externally using chemistry and process flows as known to the skilled artisan (Kasinskas, R. *et. al.* WO 2012138926 A1) (Xu, M. *et. al.* J. Gen. Eng. Biotech.10, 239-245, 2012) including adding solvents, aqueous solutions, non-aqueous solutions, boluses of aqueous solutions interspersed with non-aqueous solutions, while using magnetic separations, filters, membranes, charge, or other properties, to retain the spatially encoded beads while the emulsification agents are removed. Multiple solutions can be added in appropriate order.

[00155] In some embodiments, nanoliter droplets or boluses are broken and then new nanodroplets or boluses are created for a second time preferably with a single bead in each nanoliter droplet or bolus in an emulsification agent.

[00156] Following cDNA synthesis, the reverse transcriptase can be heat inactivated and/or depending on the downstream chemistries, the cDNA bound to the bead can be purified by magnetic separation, when paramagnetic beads are used, to remove inactive reverse transcriptase and change buffers and purify double stranded cDNA attached to bead **684**.

[00157] Second strand synthesis of DNA can be performed by adding second strand synthesis reaction mix and DNA polymerase or enzyme mix in appropriate volumes to reaction chamber **523** from spatial librarian reagent rail **501** and incubating the reaction to produce double stranded cDNA attached to bead **684**. For example, NEBNext Second Strand Synthesis Reaction Buffer and NEBNext Second Strand Synthesis Enzyme Mix NEB#E6111S may be used with incubation at 16° C for 2.5 hr. A magnetic separation can be used to purify the double stranded DNA and remove reactants and enzymes. Double stranded cDNA attached to bead **684** can be processed in downstream library preparation for double stranded DNA, as per the section 'Library Preparation for Double-Stranded DNA example' and other methods of preparing NGS and (N)NGS sequencing libraries either in the Spatial Librarian subsystem **500** or externally.

[00158] The cleavable linker can be cleaved if desired to produce double stranded cDNA released from the bead **685** from double stranded cDNA attached to bead **684** or to produce cDNA attached to bead **682**. The double-stranded DNA released from the bead **685** is ready for quality control and may include a fluorescent or other tag.

ii. Library Preparation for Double-Stranded DNA example.

[00159] Double-stranded DNA can be prepared into a library ready for amplification and (N)NGS sequencing. The double stranded DNA can be attached to a paramagnetic bead through an oligonucleotide or double stranded DNA in solution can be used with addition of paramagnetic beads.

[00160] One embodiment of the workflow is illustrated in Figure 21. Double-stranded DNA attached to a bead, such as double stranded cDNA attached to bead **684**, can be end-polished in reaction chamber **523** by addition of reaction mix and enzymes, for example, the NEBNext® End Repair Module (NEB E 6050S) reagents, from reaction rail **501** to generate end-polished DNA product **810**, an end-polished, blunt-ended double-stranded DNA having 5'-phosphates and 3'-hydroxyls; other kits such as Agilent PCR polishing kit 200409 and other enzymology can perform the same function. Following

end polishing, a magnetic separation is performed in reaction chamber **523** to remove reactants and enzymes from end-polished DNA product **810**.

[00161] Following polishing, A-tailing is used to generate fragments ready to ligate with a primer with a complementary T overhang and to prevent concatamer formation during ligation. A-tailing can be performed using commercially available kits such as the NEBNext® dA-Tailing Module (NEB E6053S) with enzyme and master mix added from the spatial librarian reagent rail **501** to reaction chamber **523** containing end-polished DNA product **810** and incubating the reaction to produce blunt-ended double-stranded DNA having 5'-phosphates with an A residue overhang on the 3' end, A-tailing DNA product **815**. Following A tailing, a magnetic separation is performed in reaction chamber **523** to remove reactants and enzymes from A-tailing DNA product **815**.

[00162] A double stranded second primer **611** with a complementary T overhang can be ligated by DNA ligase onto the 3' end of A-tailing DNA product **815** which in some embodiments is attached to a bead or surface **660**. DNA ligase, DNA ligase reaction mix, and second primer **611** are added from spatial librarian reagent rail **501** to reaction chamber **523** and incubating the reaction. DNA ligation can be performed using commercially available kits or reactions, e.g. NEBNext® Quick Ligation Module, NEB E6056S. Following DNA ligation, a magnetic separation is performed in reaction chamber **523** to remove reactants and enzymes. The product is now a double stranded DNA product **820** that has incorporated on one end the oligonucleotide **601**, which can still be attached to bead **630**, and the other end has incorporated second sequencing primer **611**. Oligonucleotide **601** can have a cleavable linker **602**, attached to an amplification primer **604** with fluorescent label **603**, a sequencing primer **605**, barcode region **606**, and capture region **610** and other functionality such as affinity tags, e.g., biotin and others as described in Uhlén, M. *BioTechniques*. 2008. 44:649-654. The barcode region is comprised of a spatial barcode **607**, cellular barcode **608**, and molecular barcode **609**.

[00163] Second primer **611** can contain functionality comprising a second sequencing primer **612**, second barcode region **613**, second amplification primer **614**, and additional functionality such as affinity tags.

[00164] In one embodiment of the present instant disclosure, the double stranded DNA product **820** is attached to beads and then released by cleavage of cleavable linker **602** and eluted with water, buffer, or other liquids while magnets hold the paramagnetic beads to produce double stranded DNA product in solution **830**. This further purifies the

double stranded DNA product in solution **830** which can be output for analysis with NGS, NNGS, nanopore, electrochemical, Sanger sequencing, single molecule sequencing, or other genetic analysis systems. If a fluorescent label **603** is attached, the cleaved product can be analyzed either on the device using quality control device **525** or collected and analyzed off of the Single Cell Spatial Analysis System **100**. The analysis results can be used to assess the quality and quantity of double stranded DNA product **830**.

[00165] In one embodiment of the present instant disclosure, second primer **611** is attached to a bead attached to second primer **650** which may have similar or different properties such as different magnetic moment than bead **630**. For example, bead attached to second primer **650** may have a different size, magnetic moment, surface coating, affinity tags, or other property(s) that allows bead attached to second primer **650** to be manipulated differently than bead or surface **630**. The difference in properties can be manipulated to purify double stranded DNA product **820** which is attached to bead **650** through the second primer.

[00166] In another embodiment of the present instant disclosure, second primer **611** is attached to a surface **660**. The surface can be a flow cell **670**. In one embodiment, the product of the A-tailing reaction, the blunt-ended double-stranded DNA having 5'-phosphates and an A residue overhang on the 3' end, A-tailing DNA product **815**, is cleaved off of the bead **630** to produce freed A-tailed DNA product **816** and introduced to a surface **660** or flow cell **670** which has second primer **611** with a T overhang bound to the surface **660** or to flow cell **670**. DNA ligase and DNA ligase reaction mix are added to produce a double stranded DNA product **840** that has incorporated on one end the oligonucleotide **601** except the cleavable linker and the other end has incorporated sequencing primer **611** attached to surface **660** or flow cell **670**. Oligonucleotide **601** can be comprised of amplification primer **604**, fluorescent label **603**, a sequencing primer **605**, barcode region **606**, and capture region **610** and other functionality such as affinity tags. The barcode region is comprised of a spatial barcode **607**, cellular barcode **608**, and molecular barcode **609**.

iii. Example: Spatial analysis for whole and partial genome sequencing from single cells in a specimen.

[00167] The Single Cell Spatial Analysis System can spatially encode cellular location in specimen **301** for whole genome DNA sequencing applications by addition of spatial barcodes **607**. As described in this instant disclosure, single cells and groups of cells

from subregions **150** of a specimen **301** can be input as an individual microsample **125**; the analysis can be using whole or partial genome sequencing.

[00168] In one preferred embodiment, referring to Figure 22, the Spatial Sampler module **200** collects microsamples **125** from specimen **301** as described, or other embodiments. The Spatial Encoder module **400** adds beads with oligonucleotides with spatial barcodes **680** or other surfaces with spatial barcoding in known order as nanodroplets or boluses are produced in Spatial Encoder module **400**. In other embodiments, spatial barcodes are added directly to the specimen as described in the “Spatial Encoding By Adding Internal Markers or Standards Directly to the Specimen Description”.

[00169] The Spatial Library module **500** performs the chemistry on the nanodroplets or boluses from the microsamples **125**. For example for DNA sequencing, the microdrops can be formed with cell lysis buffer such as 0.5% sodium dodecyl sulfate (SDS), 0.1 mg/mL proteinase K, 100 mM EDTA, and 10 mM Tris-HCl. After lysis, if low melting point agarose is used, the temperature can be decreased to gel the agarose and the gel droplets can be repeatedly washed. A restriction digest can then be performed by mixing a restriction digest buffer, for example, 100 mM potassium acetate, 25 mM Tris-acetate, pH 7.6, 10 mM magnesium acetate, 10 µg/ml BSA, 0.5 mM β-mercaptoethanol, containing a blunt end restriction enzyme or a restriction enzyme that generates an overhang with the beads. After diffusion, emulsion oil can be added and the temperature can be raised to 37° C; the digestion of the genomic DNA will occur to produce blunt ended fragments or fragments with overhangs.

[00170] In one embodiment, the oligonucleotide has a cleavable linker **602**, attached to an amplification primer **604** with fluorescent label **603**, a sequencing primer **605**, barcode region **606**, and capture region **610**. In one embodiment, the capture region **610** is blunted ended while in other embodiments the capture region **610** is single-stranded to capture specific or non-specific DNA sequences. The barcode region is comprised of a spatial barcode **607**, cellular barcode **608**, and molecular barcode **609**. In some embodiments, the molecular barcode **609** is not used. When restriction enzymes are used, the oligonucleotide on the bead can be designed to not contain the relevant restriction site.

[00171] When the agarose encapsulation is used, after restriction digest, the temperature can be lowered to gel the agarose, and after an optional rinse step(s), either DNA polymerase with appropriate reaction mixture or DNA ligase with appropriate

reaction mixture is added. After diffusion of the DNA polymerase or ligase and mixture into the gelled microdroplets, emulsion oil is added and the temperature raised, for example to 37 C. DNA captured using overhangs or hybridization is replicated with a DNA polymerase to incorporate the spatial barcode **607** into the DNA strand in the microdroplet. For blunt ended capture, DNA ligase incorporates a spatial barcode **607** on a blunted ended bead with spatial barcode **680** with the ligated DNA strand in the nanodroplet, bolus, or well. The microdroplets or microsamples **125** in wells can be pooled for the remaining library preparation. For single stranded capture regions, the length of the capture region and hybridization conditions can be adjusted to tune the specificity of capture. In some cases, related sequences might be desired to be captured while in others increased specificity of capture may be desired: either can be accommodated including a plurality of capture sequences comprised of sequences that interrogate different signatures, networks, diseases including cancer, microbes, genetic traits, introns, exons, and groups of sequences without limitation.

[00172] DNA or RNA can be fragmented in the Spatial Library module by methods well known to one skilled in the art, using chemical, biochemical, or physical fragmentation when required. In one embodiment, the Spatial Librarian Subsystem **500** adds restriction enzymes to double stranded whole genome DNA to create restriction fragments with specific sequences. The restriction fragments can have an overhang that can be captured or ligated to its complementary sequence on the capture region **610** on the oligonucleotide. In another embodiment, the Spatial Librarian Subsystem **500** adds polishing reagents to fragments DNA to produce blunt ended fragments.

iv. Example: Spatial encoding nucleic acids with targeted sequencing

[00173] The Single Cell Spatial Analysis System **100** can spatially encode where in the specimen **301** the cell was located to prepare libraries for targeted DNA sequencing. The overall workflow for one embodiment is shown in Figure 23. Beads with oligonucleotides with spatial barcodes **680** or other surfaces **660** with spatial barcoding are used with capture region **610** designed to be complementary to the DNA sequence to be captured. In another example, an overhang such as a restriction site can be captured or ligated to its complementary sequence. The sequences to be captured can be generated by using a restriction digest mixture to form the microdroplets and then performing the digest in the microdroplets and hybridization. In another embodiment, the microdroplets are formed with fragmentase such as NEBNext® dsDNA **Fragmentase** and the DNA is fragmented. The length of the capture region and hybridization

conditions can be adjusted to tune the specificity of capture. In some cases, related sequences might be desired to be captured while in others increased specificity of capture may be desired: either can be accommodated. A plurality of capture sequences comprised of sequences that interrogate different signatures, networks, diseases including cancer, microbes, genetic traits, introns, exons, and groups of sequences without limitation are within the instant disclosure.

[00174] The targeted DNA captured is replicated with a DNA polymerase, e.g., phi29, Taq, or others, to incorporate spatial barcode **607** into the captured targeted DNA strand in the nanodroplet, bolus, or well. The captured DNA with the spatial barcode **607** on beads can be pooled and processed into (N)NGS libraries either in the Single Cell Spatial Analysis System or externally, with amplification when required, comprised of PCR, rolling circle amplification (RCA), Loop mediated isothermal amplification (LAMP), Helicase-dependent amplification (HDA), Nicking Enzyme Amplification Reaction (NEAR) and other methods.

v. Example: Library preparation using transposons.

[00175] Libraries for (N)NGS can be prepared using tagmentation with transposons including the Nextera Tagmentation ([http://www.epibio.com/docs/default-source/protocols/nextera-dna-sample-prep-kit-\(illumina-compatible\).pdf?sfvrsn=4](http://www.epibio.com/docs/default-source/protocols/nextera-dna-sample-prep-kit-(illumina-compatible).pdf?sfvrsn=4)). In this embodiment, referring to Figure 24, double stranded DNA with spatial encoding attached to a bead or surface is used as the input in the Spatial Library Subsystem **500**. Once the double stranded DNA is produced in reaction chamber **523**, transposons, e.g., Nextera enzyme, reaction mix, and water are added from reagent rail **501**. The reaction is incubated for example at 55 C for 5 min. A bead purification is performed to remove reactants and purify the double stranded product with transposon inserted **840**. In the example shown in Figure 24, precipitation agents are not added since the desired material is attached to a bead or surface; the inclusion or not of precipitation reagents is not limiting. Reagent rail **501** is used to add Nuclease-Free Water, Nextera Adaptor 2 (or other barcoded adapters), Nextera PCR Enzyme, PCR Buffer, Nextera Primer Cocktail. Nine cycles of PCR can be performed. A bead purification is performed to remove reactants and purify the double stranded DNA product **850** before elution into buffer or water. The double stranded DNA product **850** library is now ready to QC and bridge amplification on the flow cell. Many variations of the method described here are within the instant disclosure and are obvious to one skilled in the art.

[00176] In another embodiment, the double stranded DNA with a spatial barcode is attached to a flow cell **670** or surface **660**. In this example, same chemistry as shown in Figure 24 could be applied except the products are attached to the flow cell **670** and flow cell **670** is washed rather than the beads.

vi. Example: Single Cell Spatial Systems Biology

[00177] Single cell spatial analysis **1000** and its integration into a Single Cell Spatial Analysis System **100** can be applied to systems biology. Systems biology connotes the integration of two or more data streams from specimen **301**, e.g., DNA sequencing with RNA sequencing; DNA and RNA sequencing with mass spectrometry for proteins, DNA sequencing with metabolomics; and other combinations without limitation. In the instant disclosure, the benefits of single cell spatial analysis **2000** include all the benefits of systems biology extended with the benefits of understanding the identity, location, activity, and interplay of single cells in a matrix such as tissue, organs, organisms, biofilms, molecular diagnostics samples, and in the environment.

1. Spatial encoding DNA and RNA from single cells simultaneously

[00178] It is frequently of interest to sequence both the DNA and RNA from single cells and in the instant disclosure with information of the spatial position **130** of microsample **125** in specimen **301**. In this workflow, the paramagnetic beads can be a mixture of, for example, two types of beads with oligonucleotides with spatial barcodes **680** with a capture region **610** with one type of bead having poly T sequences and the other type sequences for targeted DNA capture. The beads can be synthesized by split pool, and mixed in the appropriate ratios.

[00179] In the workflow to spatially encode both DNA and RNA, the cells are lysed and the DNA and RNA bound by hybridization. Reverse transcription is performed to convert the bound mRNA into cDNA. Second strand synthesis can convert the cDNA to double stranded DNA and at the same time incorporate the target DNA captured to the oligonucleotide attached to the bead. The beads can then be pooled, the emulsion broken, and libraries created. On sequencing, the molecular barcodes and string of Ts or As will identify RNA products for quantitation of gene expression.

2. Simultaneous spatial analysis of nucleic acids and other analytes.

[00180] An alternative configuration of single cell spatial analysis **2000** is to analyze nucleic acids simultaneously with other cellular components comprised of proteins, lipid, carbohydrates, metabolites, etc. The spatial encoding can be any method including

DNA analysis or by adding internal markers or standards directly to specimen **301**. In a preferred embodiment, markers, e.g., internal markers, standards, nucleic acids, chemicals *etc.*, are added to the specimen in known order by dispensers **335** on the multifunctional head **330**. In some embodiment single cells from a microsample **125** with spatial barcoding are prepared as described and nanodroplets with a single cell and single bead with a single spatial barcode are produced. The sample can be processed by two analysis modalities. For example, when polyadenylated mRNA is analyzed, after cDNA synthesis, if a magnetic separation is performed, the material unbound to the paramagnetic bead can be analyzed by a orthogonal method such as a mass spectrometry to determine proteomics and the double stranded cDNA attached to bead **684** can be used for mRNA analysis with nucleic acid decoding. One or both sets of markers can be analyzed to decode the spatial position in the specimen of the microsample **125**.

[00181] In some embodiments, the decoding can be an orthogonal method to the analytic method for the analyte of interest, *i.e.*, a DNA marker could be used to decode spatial information while the sample analysis might be by mass spectrometry. In some embodiments, the markers are attached to antibodies such as to exterior cell surface epitopes. In other embodiments, the markers are attached to motifs, compounds, or structures that are transported, electrophorated, or otherwise enter into cells. The markers can be used to identify the spatial position **130** of the microsample **125** for many different types of analyzes comprising metabolic characterisation and profiling, proteomics, genomics, gene expression, carbohydrate characterisation and profiling, lipid characterisation and profiling, and combinations of analyzes.

[00182] In some embodiments, the spatial encoding of single cells or groups of cells is only encoded with one modality. For example, single cell spatial analysis **1000** of specimen **301** can determine through nucleic acid sequencing the spatial information needed to identify the microsample **125** and individual cells. The nucleic acid sequencing provides the spatial information which can then be used even when the same sample is analyzed by a different modality, such as mass spectrometry, to provide spatial information of where the microsample **125** was in specimen **301** since the order of the barcoded microsample **125** can be tracked. In these embodiments therefore only one barcode is required for systems biology or other applications.

vii. Example: Forensics applications

[00183] For forensics, specimens **301** can be recovered from crime scenes by tape lifts, swabs, and other collection devices from a diverse set of sample types, such as blood, semen, sputum, *etc.*, on a diverse set of surfaces with possible mixtures of cells from different contributors. Specimen **301** can be input into the Single Cell Spatial Analysis System **100** and the pattern of cells from the two-dimensional or three-dimensional specimen analyzed by methods comprised of short tandem repeats (STRs), such as the Combined DNA Index System (CODIS) or other STR panels, for single nucleotide polymorphisms (SNPs), gene sequencing, protein profiling, and other methods. Specimen **301** can be directly contacted by a portable Single Cell Spatial Analysis System **100** or Spatial Sampling Module **300**.

[00184] Single cell analysis can identify the contributors to a mixture, regardless of the number of contributors. In addition to identifying contributors to mixtures, spatial analysis retains information of the pattern of the cells which may contain important evidence about the crime and how it was reflected in the crime scene. The patterns revealed by single cell spatial analysis **1000** may show layers, edges of contact, spatter, or other information. SNP analysis with single cell spatial analysis **1000** will allow facial reconstruction of victim, suspects, and contributors (Claes P. *et. al.* PLoS Genet. 2014; 10(3):e1004224.) as the field continues to advance.

viii. Example: Portable Single Cell Spatial Analysis System

[00185] A portable Single Cell Spatial Analysis System **100** will enable direct sampling and single cell spatial analysis **1000** at a non-laboratory location. In one configuration of the Spatial Preparation Subsystem **200**, multifunctional head **330** directly contacts specimen **301** when the specimen is a surface, material, or other two or three dimension matrix. The multifunctional head **330** enables the application of stain to the sample, for example, to identify regions with human cells at a crime scene, regions with malignant cells, live/dead, or other attributes. The appropriate subregions can be transferred by transfer membrane **336** into the Single Cell Spatial Analysis System **100** for preparation of samples for single cell spatial analysis **1000**.

[00186] A portable Single Cell Spatial Analysis System **100** will enable single cell spatial analysis **1000** while the system is physically moved on its way to an analysis system. Alternatively the spatial encoded sample might be produced at the non-laboratory location and transported to an analysis system in a cartridge **4000**. A sample-to-answer portable system can be produced by combining the Single Cell

Spatial Analysis System **100** with a miniaturized detection system such as Oxford nanopore sequencing, a portable mass spectrometer, Raman, capillary electrophoresis, real-time PCR, or other miniaturized detection system.

f. *Integration with upstream and downstream analysis*

[00187] The Single Cell Spatial Analysis System **100** or a portable version can be integrated with upstream specimen processing and downstream sample preparation and analysis processing in a preferred embodiment. For upstream specimen processing, that is processing before transferring any of specimen **301**, the Single Cell Spatial Analysis System **100** in one embodiment adds reagents to the specimen and optionally measures attributes with optics head **331** such as fluorescence, colorimetric, Raman, Surface Enhanced Raman, or other optical properties. This upstream information can inform whether subregions **150** are of interest in the specimen and/or the workflow to be performed. In another embodiment, multifunctional head **330** has an additional sensor such that can perform electrophysiology measurements before destructive transfer of microregions **150** of specimen **301**. In another embodiment, the Single Cell Spatial Analysis System **100** collects raw samples with a device that produces specimen **301** from tissue or other matrices, for example, sectioning tissue, contact transferring, or by dissolution to create microsamples **125**.

[00188] In another embodiment, downstream analytical subsystems are incorporated such as a DNA sequencer, mass spectrometer, real time PCR, single molecule, capillary array electrophoresis, DNA or protein microarray, or other analytical systems. For nucleic acid analysis, a sample-to-answer system embodiment can be produced by combining a Single Cell Spatial Analysis System **100** with the Spatial Librarian subsystem **500** with a miniaturized detection system such as nanopore sequencing, capillary array electrophoresis, or real time PCR system.

(10) Claims

What is claimed is:

- 1.** A system comprising:
 - (i) a biological specimen; and
 - (ii) added to each of a plurality of different microsamples from the biological specimen, a marker comprising spatial information that encodes the original spatial position of the microsample within the biological specimen.
- 2.** The system of claim **1**, wherein the biological specimen comprises human tissue, animal tissue, or plant tissue, a biopsy, a cellular conglomerate, an organ fragment, an organism, whole blood, bone marrow, biome, a biofilm, a fine needle aspirate or any other solid, semi-solid, gelatinous, or frozen three dimensional or two dimensional matrix of biological nature.
- 3.** The system of claim **1**, wherein the microsamples comprise a single cell or a plurality of cells.
- 4.** The system of claim **1**, wherein the marker comprises a polynucleotide.
- 5.** The system of claim **4**, wherein the nucleic acid is bound to a membrane, chip surface, bead, surface, flow cell, or particle or is indirectly bound via an adapter molecule e.g., a complementary nucleic acid or a chemical crosslinker.
- 6.** The system of claim **1**, wherein the marker comprises a peptide, antibody, protein, small molecule, isotope such as lanthanide, Raman marker, mass tag, fluorescent or chemiluminescent probe.
- 7.** The system of claim **1**, wherein the microsamples are dissociated from the biological specimen.
- 8.** The system of claim **7**, wherein the microsamples are entrained in microdrops in a fluidic stream.
- 9.** The system of claim **7**, wherein the microsamples are supported by at least one substrate, e.g., a membrane.
- 10.** A device for the analysis of a biological sample, the device comprising:
 - a sample module configured to extract microsamples from a biological specimen; and
 - a recipient module configured to receive the microsample biological specimen from the sample module for analysis.

11. The device of claim **10**, wherein the recipient module performs a downstream analysis selected from nucleic acid sequencing, next generation sequencing, next next generation sequencing, proteomic, genomic, gene expression, gene mapping, carbohydrate characterization and profiling, lipid characterization and profiling, flow cytometry, imaging, microarray, metabolic profiling, functional, or mass spectrometry or combinations thereof.

12. A device comprising:
an element selected from a membrane, filter, surface, capillary, microchannel, device, and microfabricated chip; and
means to bring the element into direct contact or close proximity to a biological specimen for the purpose of labeling or extracting a plurality of microsamples in an order based on their original spatial position within the biological specimen.

13. A system comprising:
a stage for supporting a biological specimen;
a device comprising an array of markers comprised in beads, surfaces, flat or microfabricated structures;
means for transferring the array of markers into or onto the biological specimen at predetermined spatial positions.

14. A method comprising:
adding, to each of a plurality of different microsamples from a biological specimen, a marker comprising spatial information that encodes the original spatial position of the microsample within the biological specimen.

15. The method of claim **14**, further comprising dissociating the microsamples from the biological specimen.

16. The method of claim **15**, comprising adding the markers to the microsamples before dissociating the microsamples from the biological specimen.

17. The method of claim **15**, comprising adding the markers to the microsamples after dissociating the microsamples from the biological specimen.

18. The method of claim **15**, wherein each microsample comprises a single cell.

19. The method of claim **15**, wherein each microsample comprises a plurality of cells.

20. The method of claim **15**, wherein dissociating the microsamples comprises extracting the microsamples in a raster pattern across the biological specimen.

21. The method of claim **15**, wherein the microsamples are dissociated in a 3-D pattern.

22. The method of claim **15**, wherein dissociating comprises contacting the biological specimen with a membrane, applying vacuum to the membrane to hold a layer comprising the microsamples; and removing the microsamples held by the membrane from the biological specimen.

23. The method of claim **22**, comprising removing a second layer of the microsamples from the biological specimen after a first layer is removed.

24. The method of claim **15**, further comprising moving the dissociated microsamples into a fluidic stream.

25. The method of claim **24**, wherein the microsamples are moved into the fluidic stream in an order correlated with their original spatial position in the biological specimen.

26. The method of claim **24**, wherein microsamples are incorporated into microdrops (e.g., nanodroplets or boluses) in the fluidic stream.

27. The method of claim **26**, wherein the microdrops contain one or more beads.

28. The method of claim **27**, wherein the beads are paramagnetic.

29. The method of claim **27**, wherein the beads are functionalized with oligonucleotides comprising the spatial information in the form of a nucleotide barcode.

30. The method of claim **29**, wherein the nucleotide barcode is unique for each cell or group of cells in the microsample.

31. The method of claim **29**, wherein the oligonucleotide comprises barcodes for cellular, molecular, or quality control purposes.

32. The method of claim **29**, wherein the nucleic acid of the sample component including but not limited to groups of cells or single cells is enzymatically combined with the oligonucleotide of the bead.

33. The method of claim **29**, wherein the nucleic acid is subjected to library preparation and nucleic acid sequencing.

34. The method of claim **29**, wherein the oligonucleotide further comprises a poly T tail, and the method comprises capturing mRNA molecules from the microsamples having a poly T tail; and reverse transcribing the mRNA molecules to produce cDNA molecules comprising the barcode where the barcode provides the spatial information.

35. The method of claim **29**, wherein the oligonucleotide further comprises a capture sequence complementary to a target sequence, and the method comprises capturing DNA molecules from the microsample having the target sequence; and extending the oligonucleotide to produce a nucleic acid molecule having a copy of the target sequence and comprising the barcode, wherein the barcode provides the spatial information.

36. The method of claim **29**, wherein dissociating comprises contacting the biological sample with a cell dissociation solution comprising at least one protease that digests extracellular matrix.

37. The method of claim **36**, wherein the at least one protease is selected from collagenases, elastase, trypsin, papain, hyaluronidase, chymotrypsin, neutral protease, clostripain, caseinase, neutral protease (Dispase®), DNase, protease XIV.

38. The method of claim **36**, wherein the cell dissociation solution is in the form of a fluid, mist, fog, or aerosol applied to the biological sample.

39. The method of claim **29**, further comprising decoding the spatial information in the microsamples to determine the original spatial position of each microsamples.

40. A method comprising:
providing a biological specimen;
collecting microsamples from each of a plurality of different spatial positions in the biological specimen;
attaching to nucleic acids in each microsample a marker comprising a nucleic acid barcode comprising spatial information that encodes the original spatial position of the microsample within the biological specimen, thereby producing spatial encoded nucleic acids;
sequencing the spatial encoded nucleic acids; and

based on the spatial information attached to each spatial encoded nucleic acids, determining the original spatial location of the nucleic acid in the biological specimen.

41. The method of claim **40**, comprising sequencing spatial encoding nucleic acids combined from a plurality of different microsamples in a single high throughput sequencing run.

42. A system having a graphical user interface that presents, based on spatial information obtained from microsamples of a biological specimen, a graphical representation of the biological specimen including original spatial position of a plurality of polynucleotides or polypeptides in the biological specimen.

43. A spatial preparation system configured to entrain in a fluidic stream a plurality of microsamples from a biological specimen, wherein the microsamples are contained in spatially separated microdrops in a fluidic stream and positioned in an order based on their original spatial position within the biological specimen, wherein the system comprises:

- a) a spatial sampler subsystem configured to extract a plurality of microsamples from different original spatial positions in a biological specimen; and
- b) a spatial encoder subsystem comprising one or more spatial encoder microchannels, each having an inlet and an outlet;

wherein the spatial sampler subsystem delivers the microsamples to the spatial encoder microchannel inlets in a predetermined order based on their original spatial position in the biological specimen, and the spatial encoder subsystem incorporates the microsamples into spatially separated microdrops in a fluidic stream.

44. The spatial preparation system of claim **43**, wherein:

(i) the spatial sampler subsystem comprises:

- (1) a specimen holder, and
- (2) a multifunctional head comprising a transfer head comprising one or more extraction channels, wherein the extraction channels communicate with a liquid source and, optionally, a gas source, each under positive and/or negative pressure, and wherein the one or more extraction channels comprise ends covered with one or more air permeable, cell impermeable transfer membranes, and wherein, the multifunctional head is mounted on a three axis stage to position the multifunctional head to extract, by contact adhesion or by vacuum, the

microsamples from the specimen holder onto the one or more transfer membranes; and

(ii) the spatial encoder subsystem comprises:

(1) a microdroplet generator comprising a source of immiscible liquid in communication with each spatial encoder microchannel at a junction, wherein mixture of the immiscible liquid with the fluidic stream at the junction forms spatially separated microdrops comprising the microsamples; and

(2) optionally, a microsample encoder assembly comprising a plurality of reservoirs, each comprising a different spatial marker and each communicating with the spatial encoder microchannel, and, optionally reservoirs comprising a reactants sufficient to attach the tags to analytes in the microsamples, wherein different spatial markers are incorporated with microsamples in different microdrops.

45. The system of claim 44, wherein the multifunctional head further comprises a dispense head configured to dispense liquids, e.g., imaging reagents or dissociation solution, onto the biological specimen.

46. The system of claim 44, wherein the transfer head comprises a plurality of extraction channels where in the extraction channels are arrayed in a two dimensional array (e.g., a line) or a three-dimensional array (e.g., a plane).

47. The system of claim 46, wherein the spatial encoder subsystem comprises a plurality of fluidic channels that merge into the encoder channel in which each has an inlet configured to receive the microsamples from an extraction channels.

48. The system of claim 47, wherein, the transfer membranes have attached thereto a plurality of capture elements, each capture element comprising a particle, which is optionally paramagnetic, having attached thereto one or more antibodies that bind into cells in the biological specimen, and nucleic acid markers comprising positional barcodes comprising spatial information where the spatial information calling to the position of the particle on the multifunctional head.

49. The system of claim 48, wherein, the nucleic acid markers further comprise cell markers identifying the cell to which particle binds, and/or molecular barcodes that differently label different nucleic acid molecules and a single cell.

50. A spatial analysis system comprising:

- a) a spatial preparation subsystem of claim **44**, and
- b) a spatial librarian subsystem configured to perform a series of biochemical reactions on an emulsion comprising microdrops produced by the spatial preparation subsystem, wherein the spatial librarian subsystem comprises:
 - a) a reaction device comprising an inlet configured to receive microdrops from the spatial preparation subsystem, at least one reaction chamber, and an outlet;
 - b) a reagent rail communicating with the reaction device through a microchannel and comprising reagent sufficient to perform at least one of biochemical reaction on analytes in the microdrops; and
 - c) one or more pumps configured to move the reagents from the reagent rail through the microchannel to the reaction chamber of the reaction device.

51. The spatial analysis system of claim **50**, wherein the spatial librarian subsystem further comprising:

- c) a temperature controller configured to control temperature in the reaction chamber.

52. The spatial analysis system of claim **50**, wherein the spatial librarian subsystem further comprising:

- c) a magnet configured to reversibly immobilize paramagnetic particles contained in the reaction chamber.

53. The spatial analysis system of claim **50**, wherein the biochemical reactions comprise at least:

- (i) reverse transcription of messenger RNA into cDNA; and
- (ii) amplification of cDNA.

54. The spatial analysis system of claim **50**, wherein the biochemical reactions comprise at least:

- (i) primer extension of a primer hybridized to a DNA template to create an extension product; and
- (ii) amplification of the extension product.

55. A method comprising entraining in a fluidic stream a plurality of microsamples from a biological specimen, wherein the microsamples are contained in

spatially separated microdrops in the fluidic stream and positioned in an order based on their original spatial position within the biological specimen.

56. The method of claim **55**, comprising:

- a) providing a biological specimen;
- b) collecting microsamples from each of a plurality of different spatial positions in the biological specimen;
- c) introducing the microsamples in a predetermined order into a fluidic stream in a fluidic channel;
- d) dividing the fluidic stream into microdrops by introducing boluses of immiscible liquid into the fluidic channel, whereby the microsamples are incorporated into microdrops that are spatially separated from each other in the fluidic stream.

57. The method of claim **55**, further comprising:

- (i) introducing into the fluidic stream a plurality of different spatial markers encoding spatial information, wherein the different spatial markers are incorporated into different microdrops in the fluidic stream, thereby encoding each microdrop with spatial information.

58. The method of claim **57**, wherein the analytes comprise nucleic acids and the spatial markers comprise nucleic acids comprising nucleic acid barcodes, wherein the method further comprises:

- (e) combining microdrops in a container in the form of an emulsion;
- (f) generating spatially tagged nucleic acids by tagging nucleic acid analytes with the nucleic acid barcodes;
- (g) breaking the emulsion;
- (h) amplifying the tagged nucleic acids.

59. The method of claim **58**, wherein the analytes comprise polyadenylated mRNA and the nucleic acid markers further comprise polyT tail, and generating spatially tagged nucleic acids comprises:

hybridizing the polyT tail to polyadenylated mRNA nucleic acid markers to the mRNA molecules barcodes and reverse transcribing the polyadenylated messenger RNA to produce that spatially tagged cDNA molecules;

performing second strand synthesis on the spatially tagged cDNA molecules to produce tagged double stranded cDNA molecules.

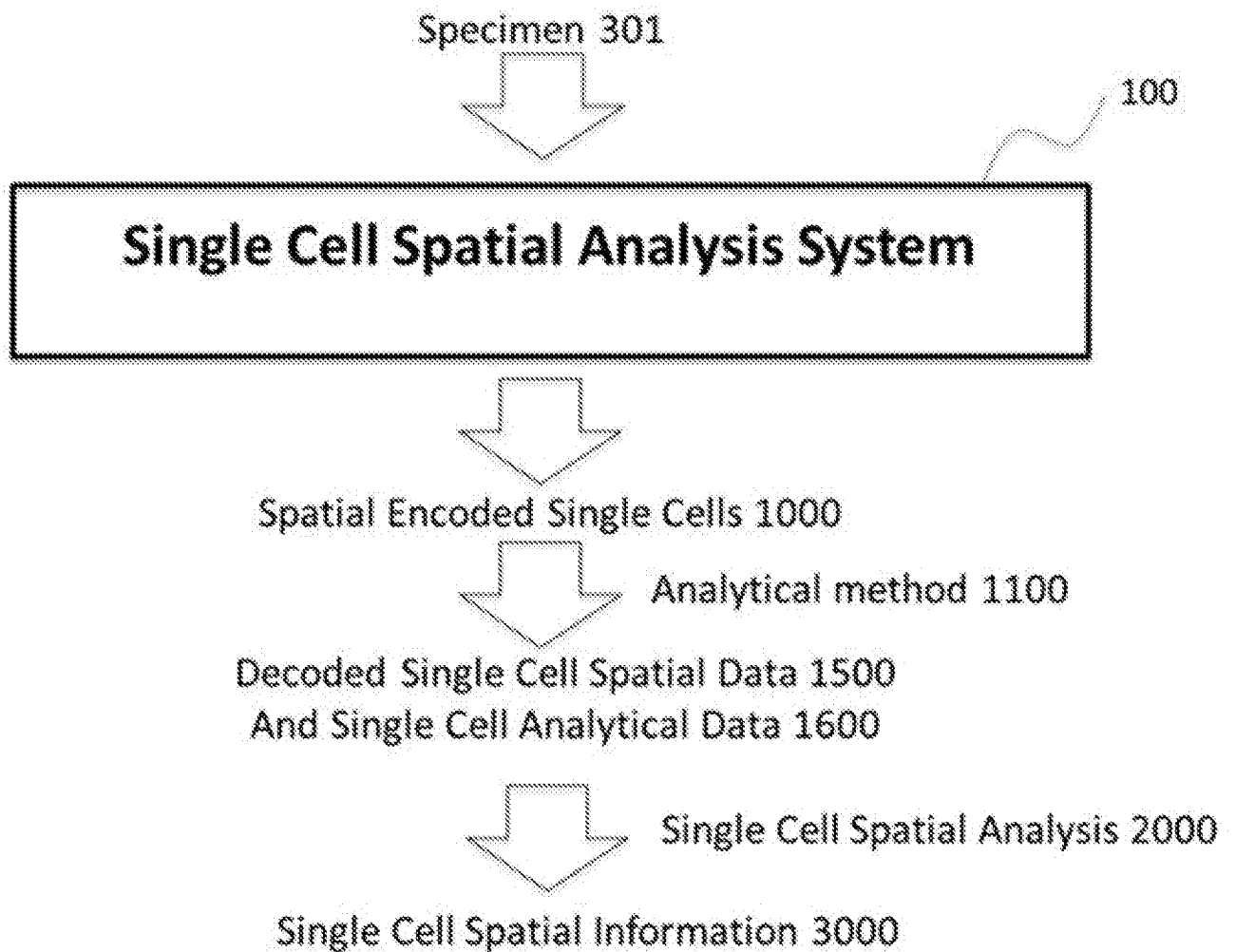
60. The method of claim **58**, wherein the analytes comprise DNA molecules and the nucleic acid markers further comprise a nucleotide sequence complementary to a target sequence, and generating spatially tagged nucleic acids comprises:

hybridizing the complementary nucleotide sequence to a target sequence in the nucleic acid molecules and extending the nucleic acid markers to produce a double-stranded DNA molecule.

61. The method of claim **57**, wherein further comprising applying imaging reagent to the biological sample; imaging the biological sample to which the imaging reagent has been applied; based on the imaging selecting features of interest at predetermined spatial positions in the biological sample; and extracting the microsamples including the selected features of interest.

62. The method of claim 57, further comprising, based on spatial information encoded in the microsamples determining the initial spatial position of the selected features in the biological specimen.

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**FIG. 1**

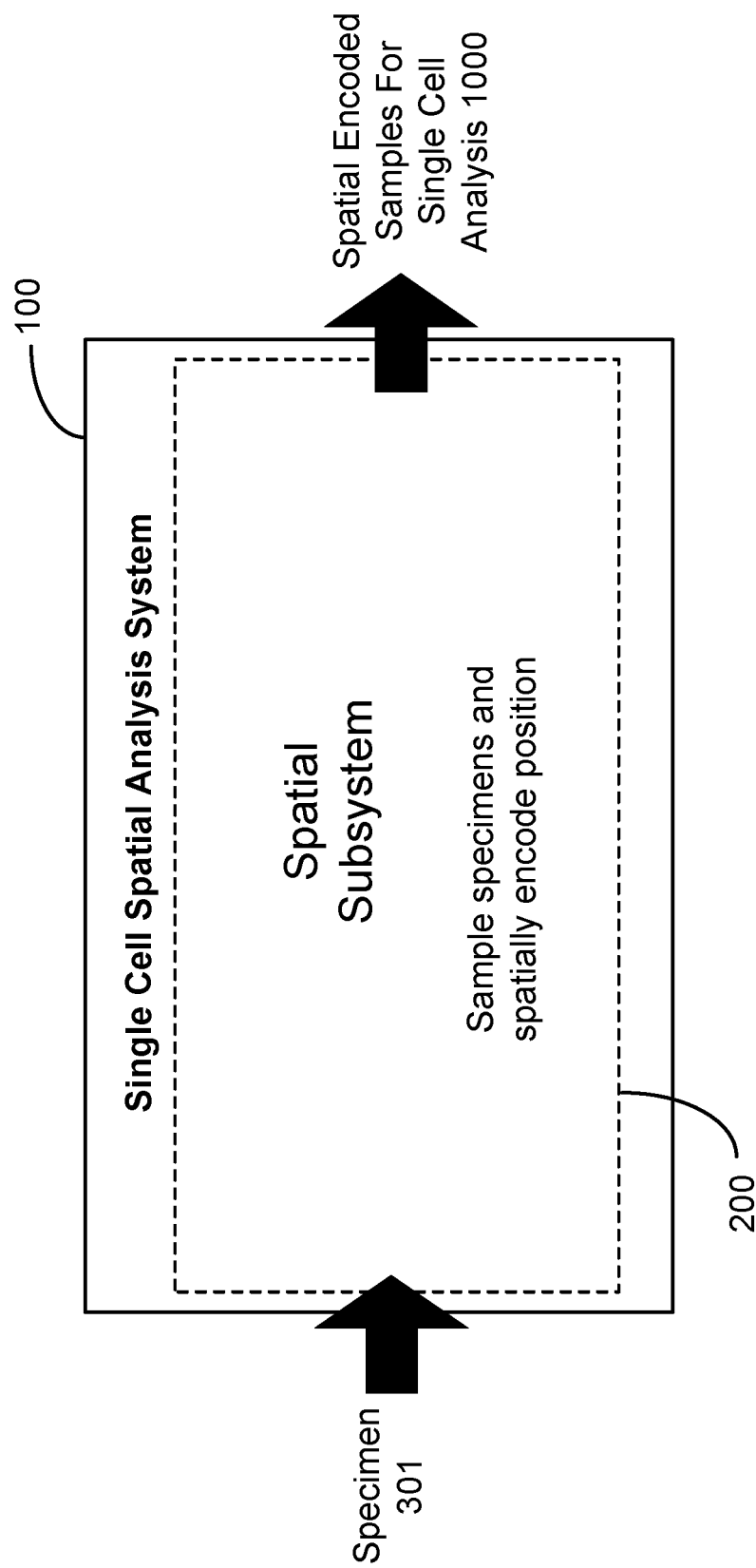


FIG. 2

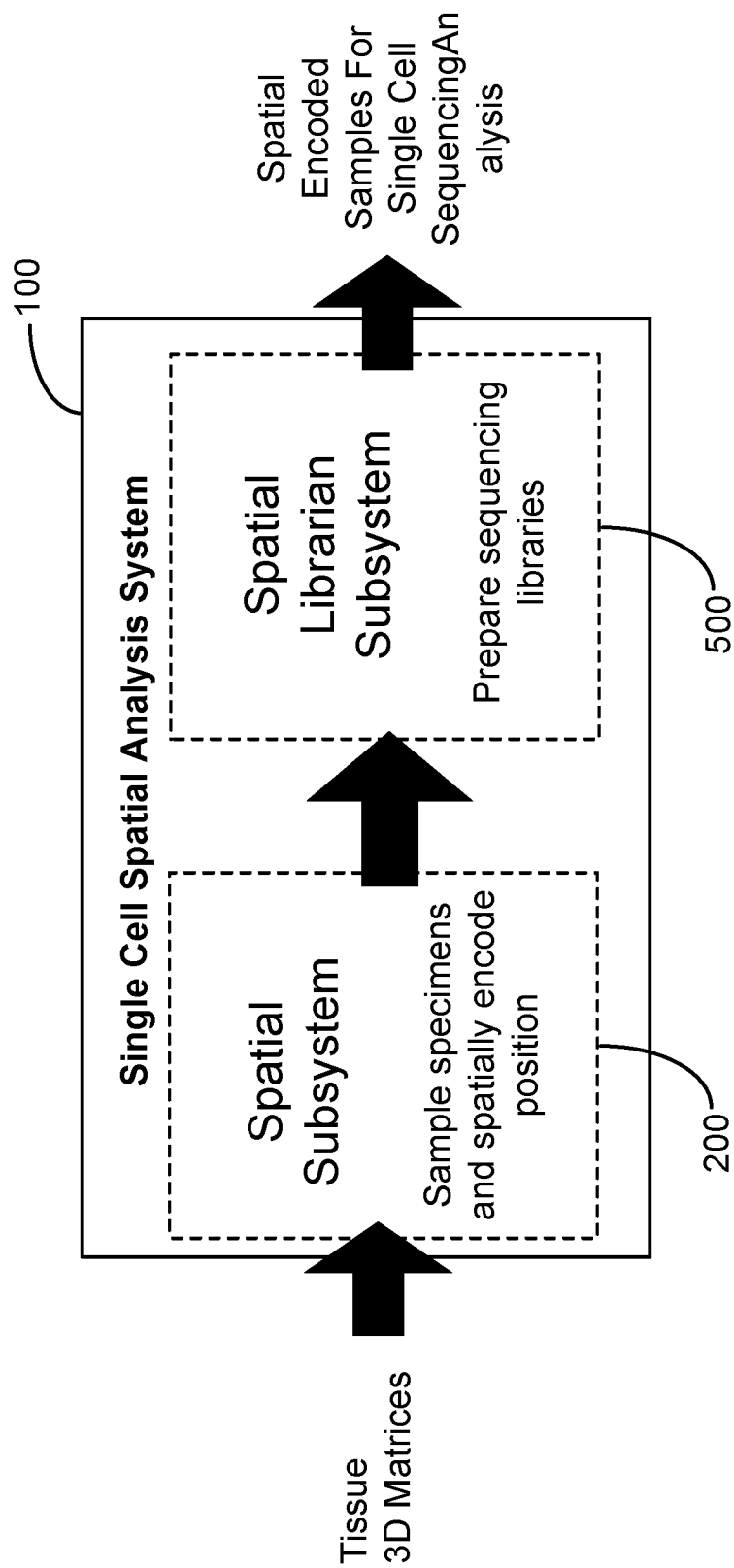


FIG. 3

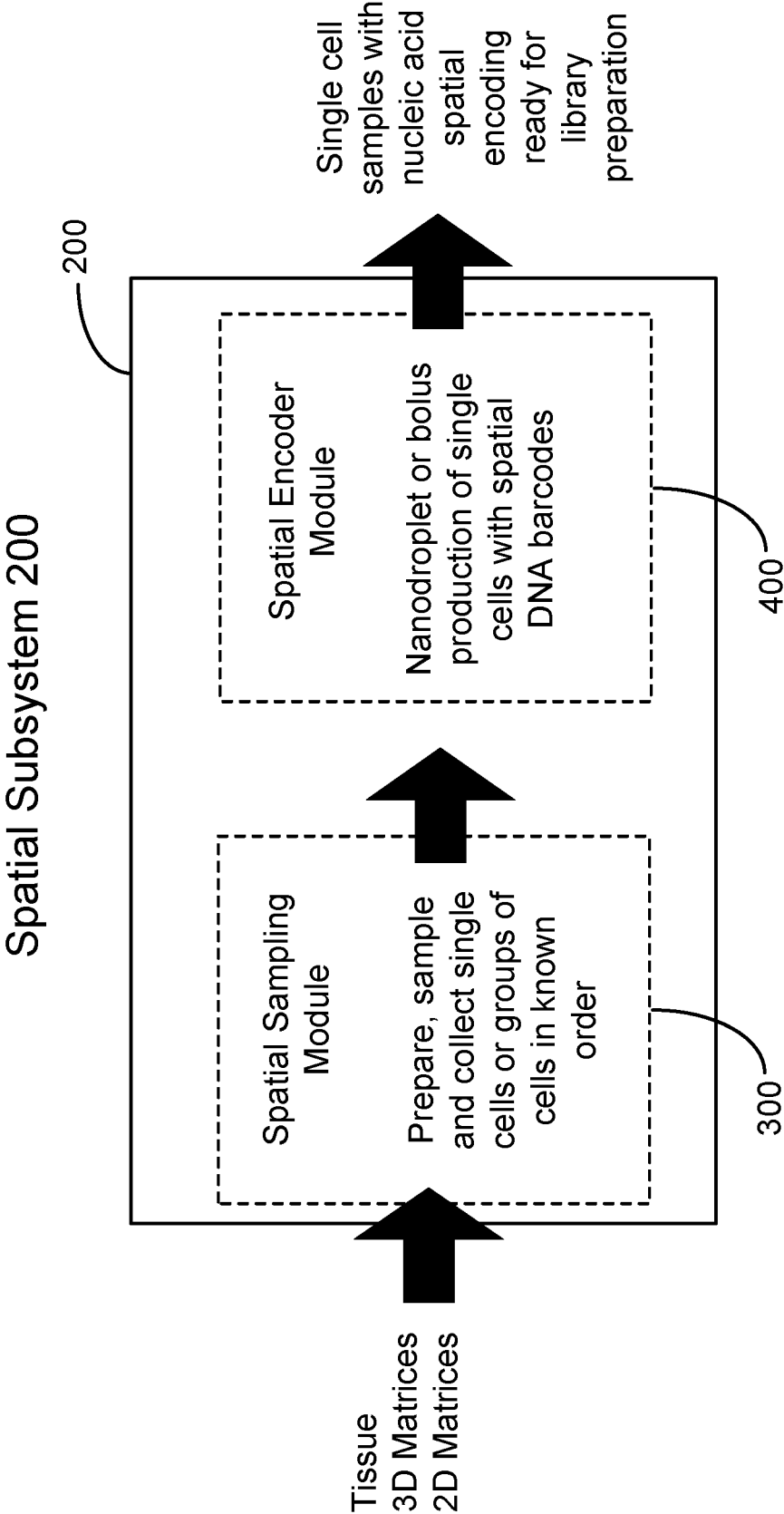


FIG. 4

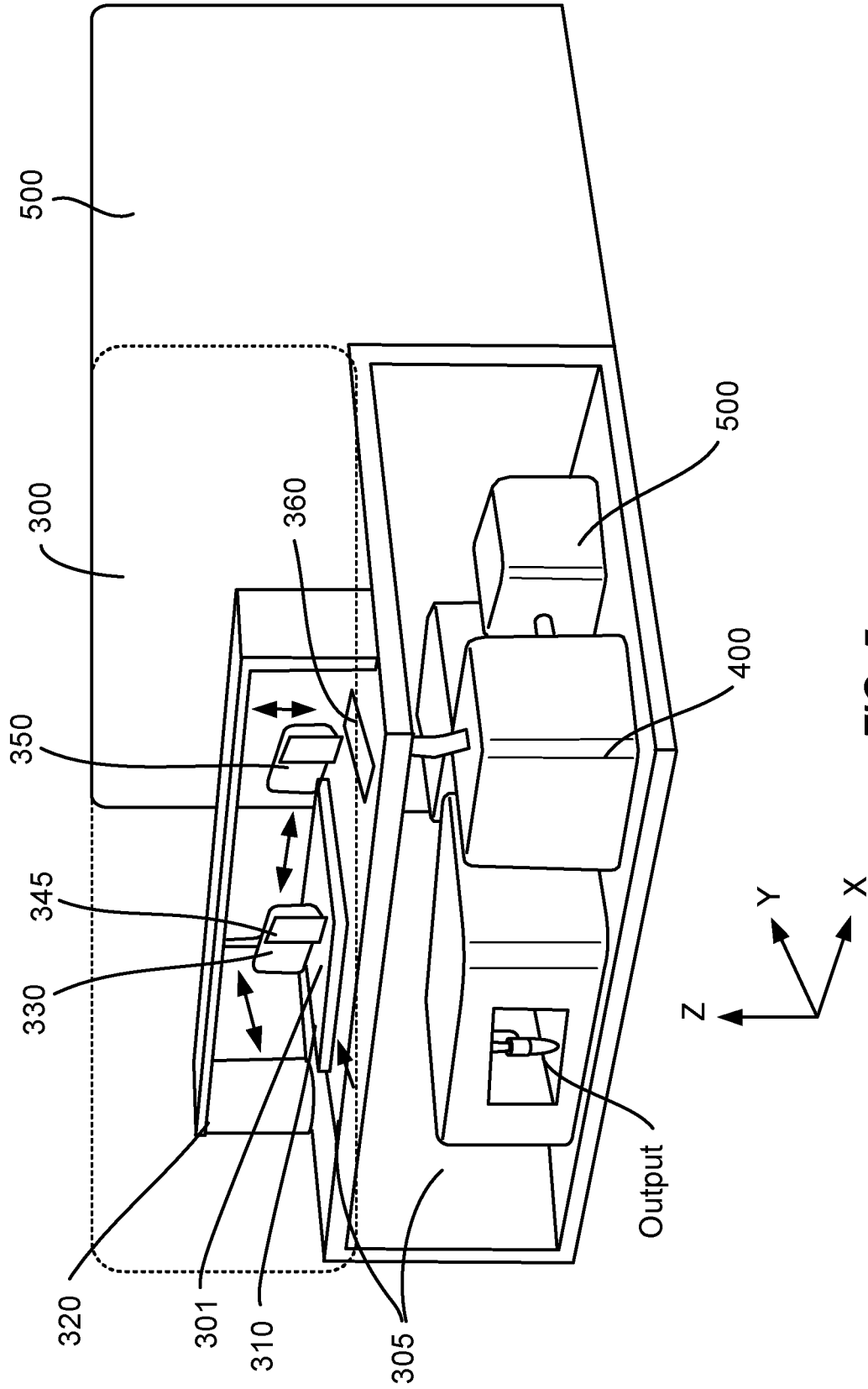
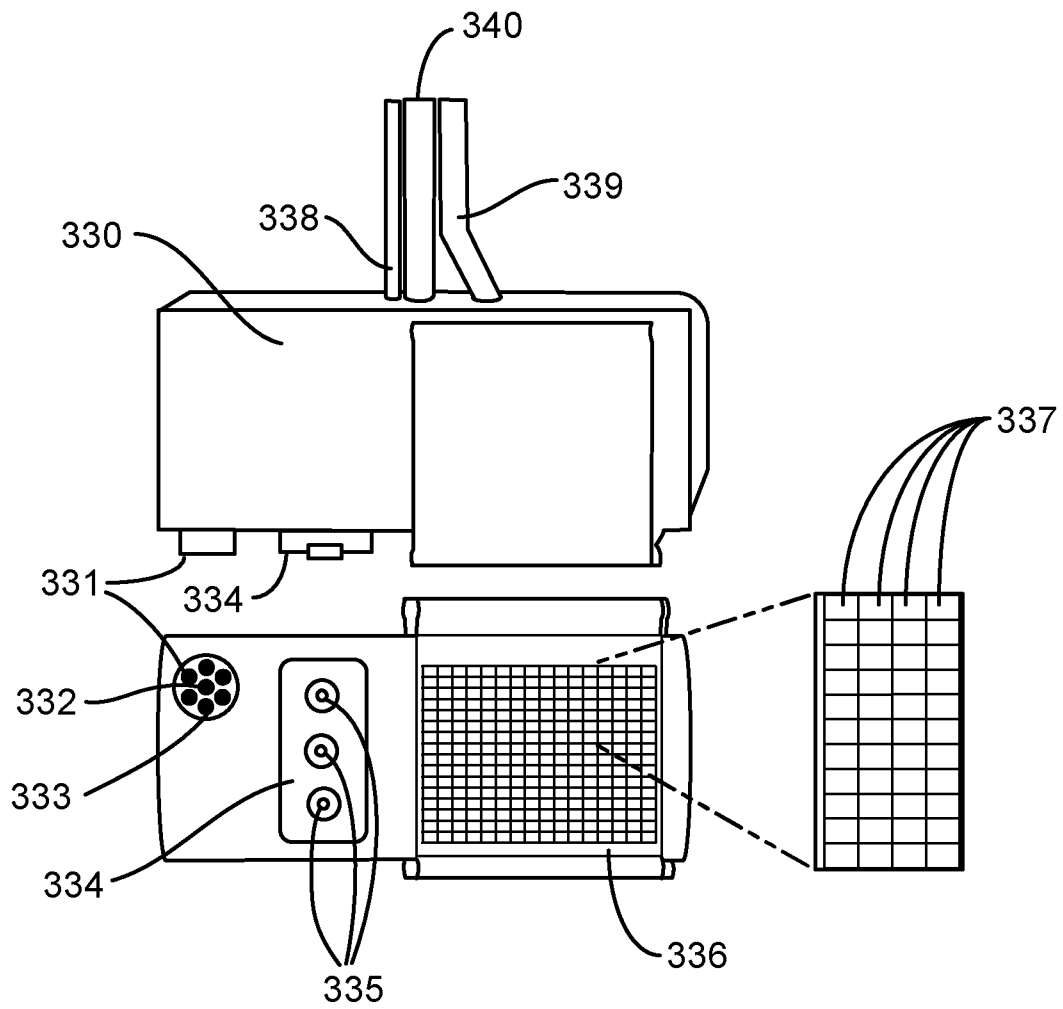


FIG. 5

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**FIG. 6**

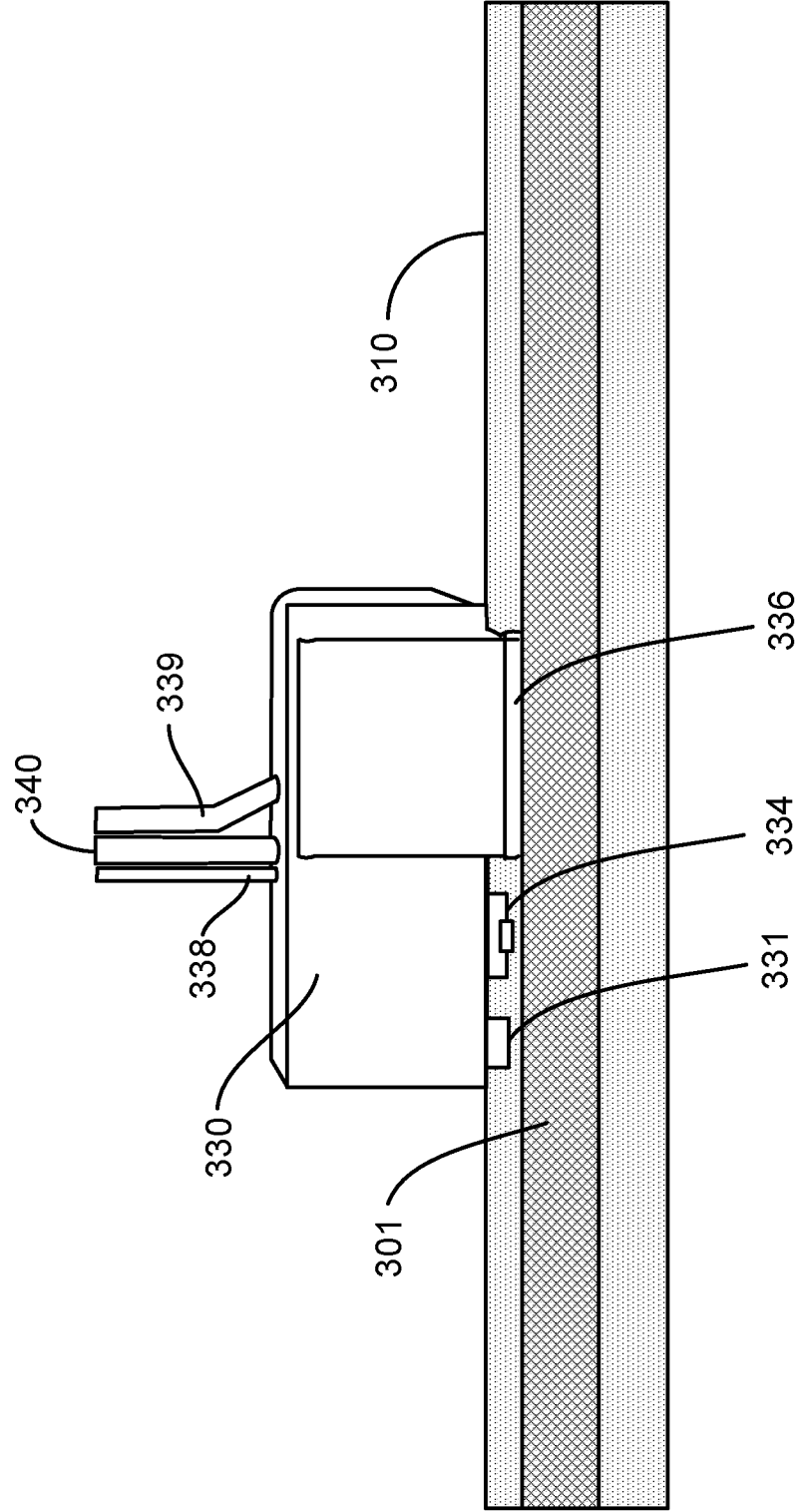


FIG. 7a

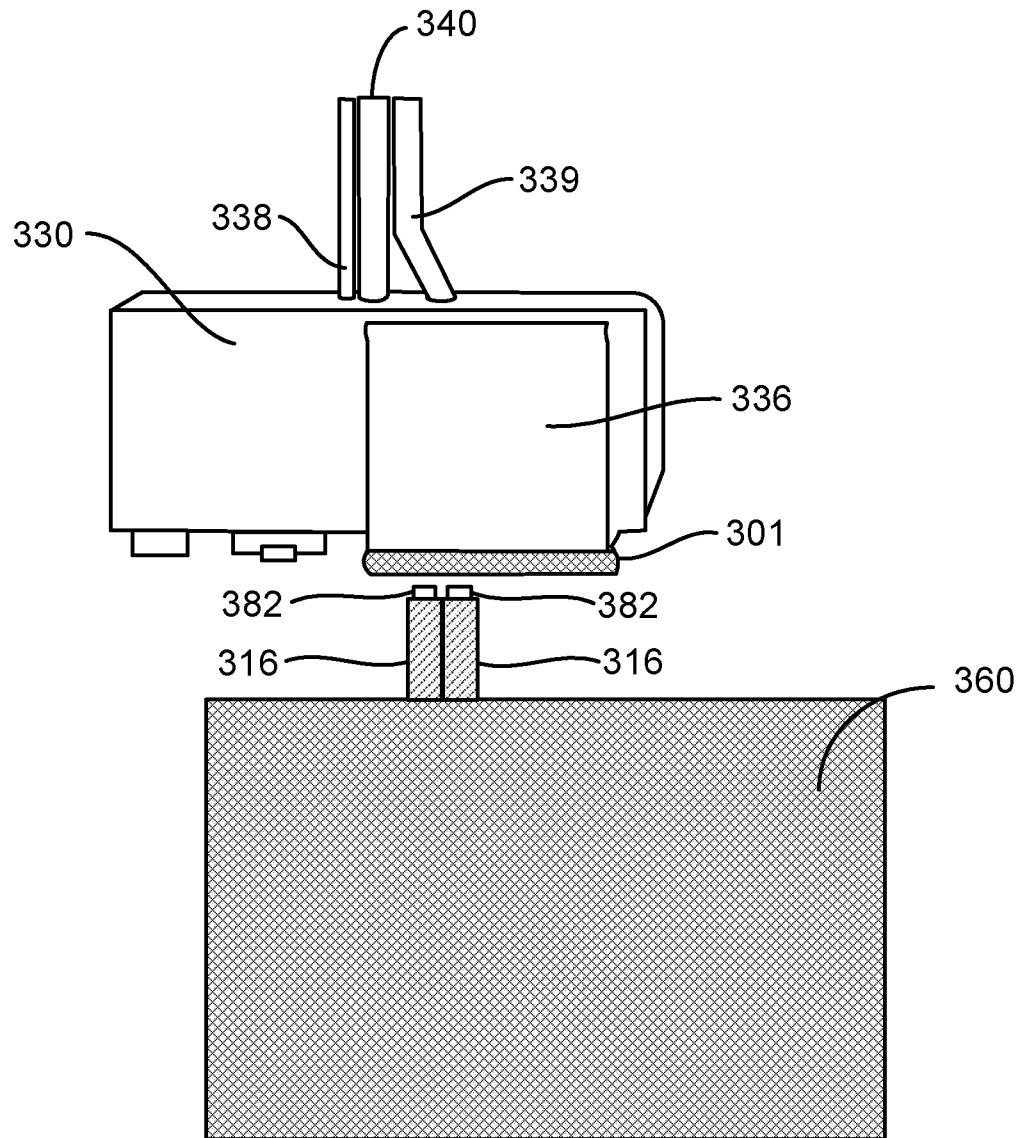


FIG. 7b

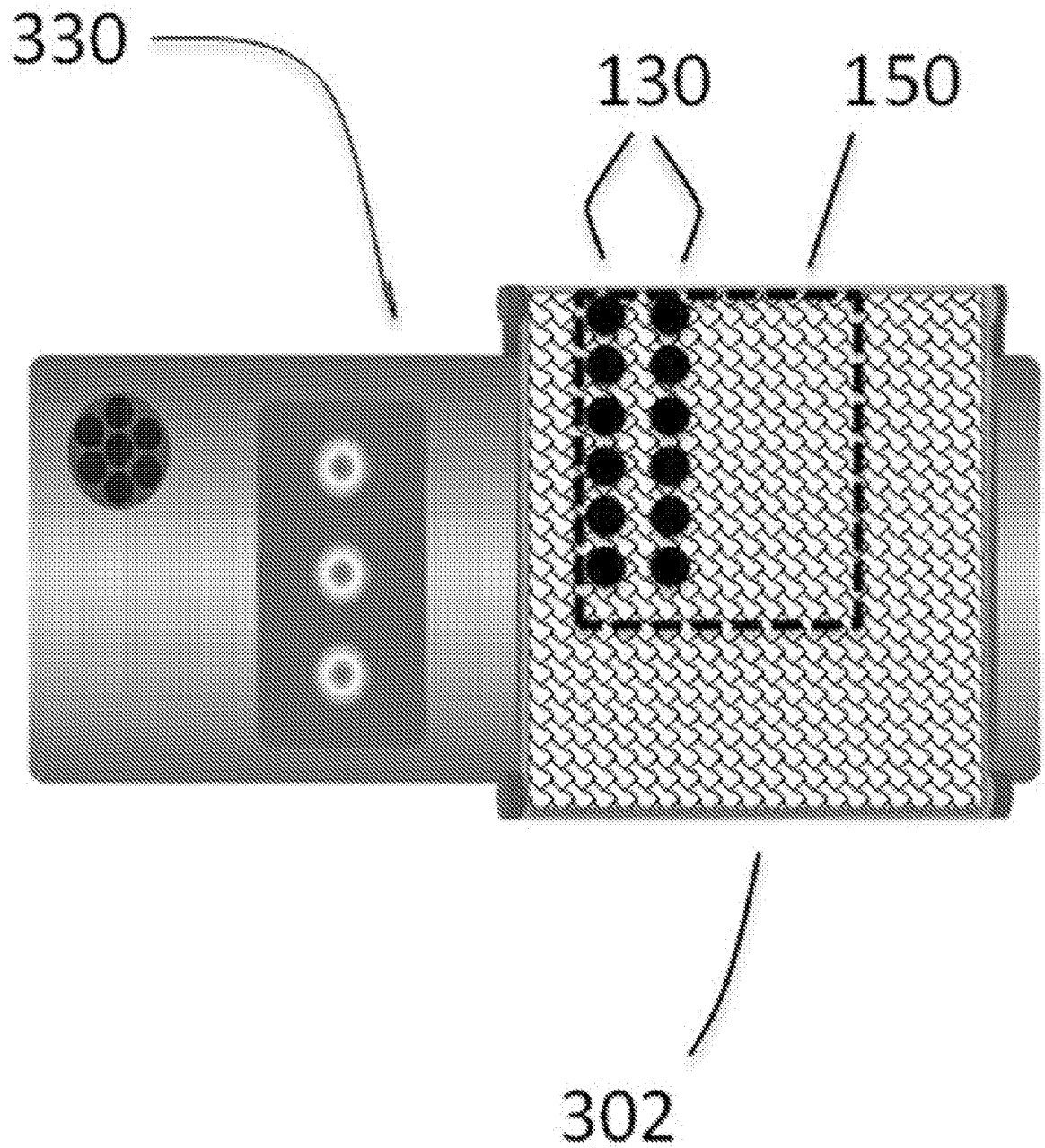


FIG. 8a

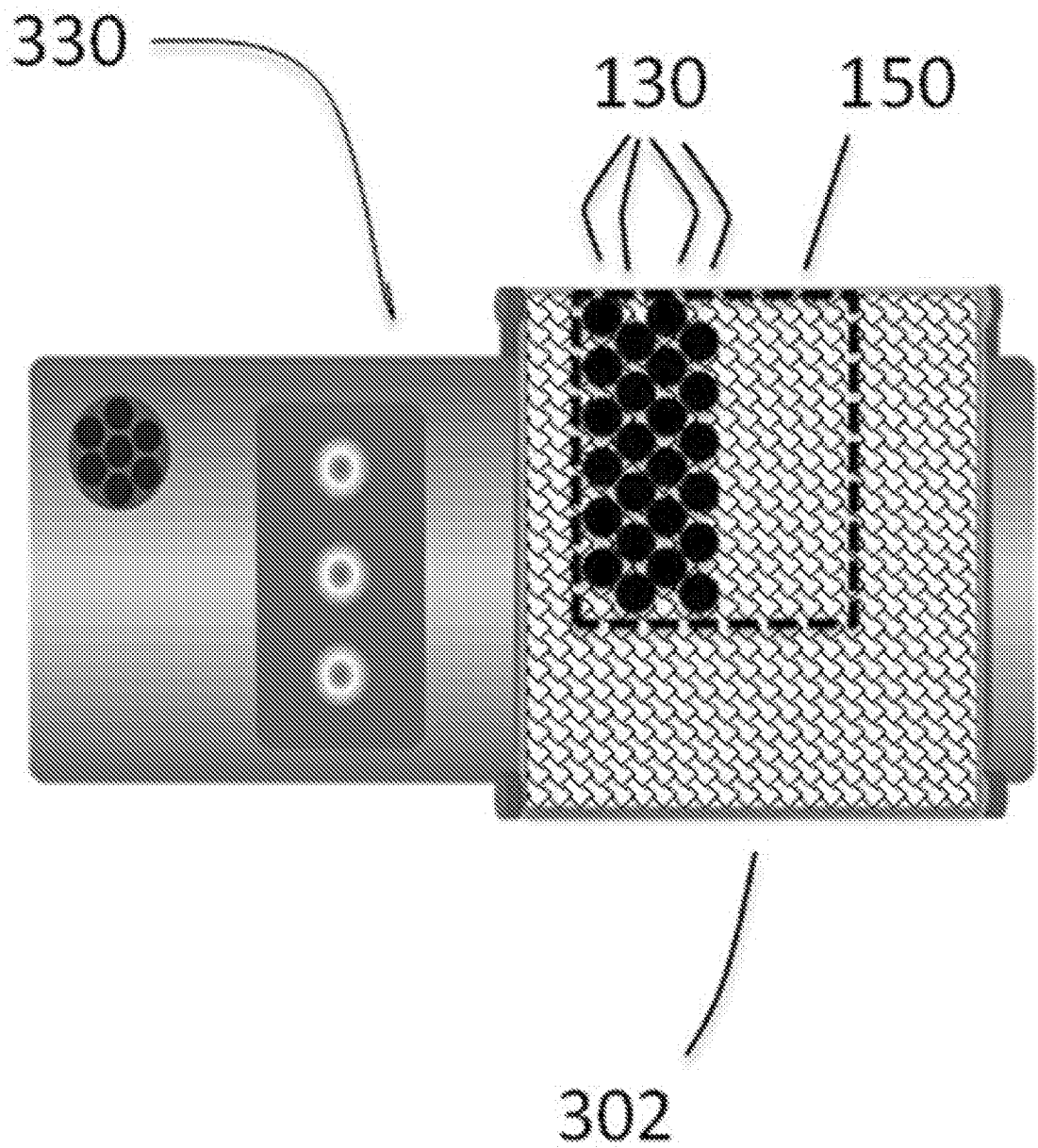


FIG. 8b

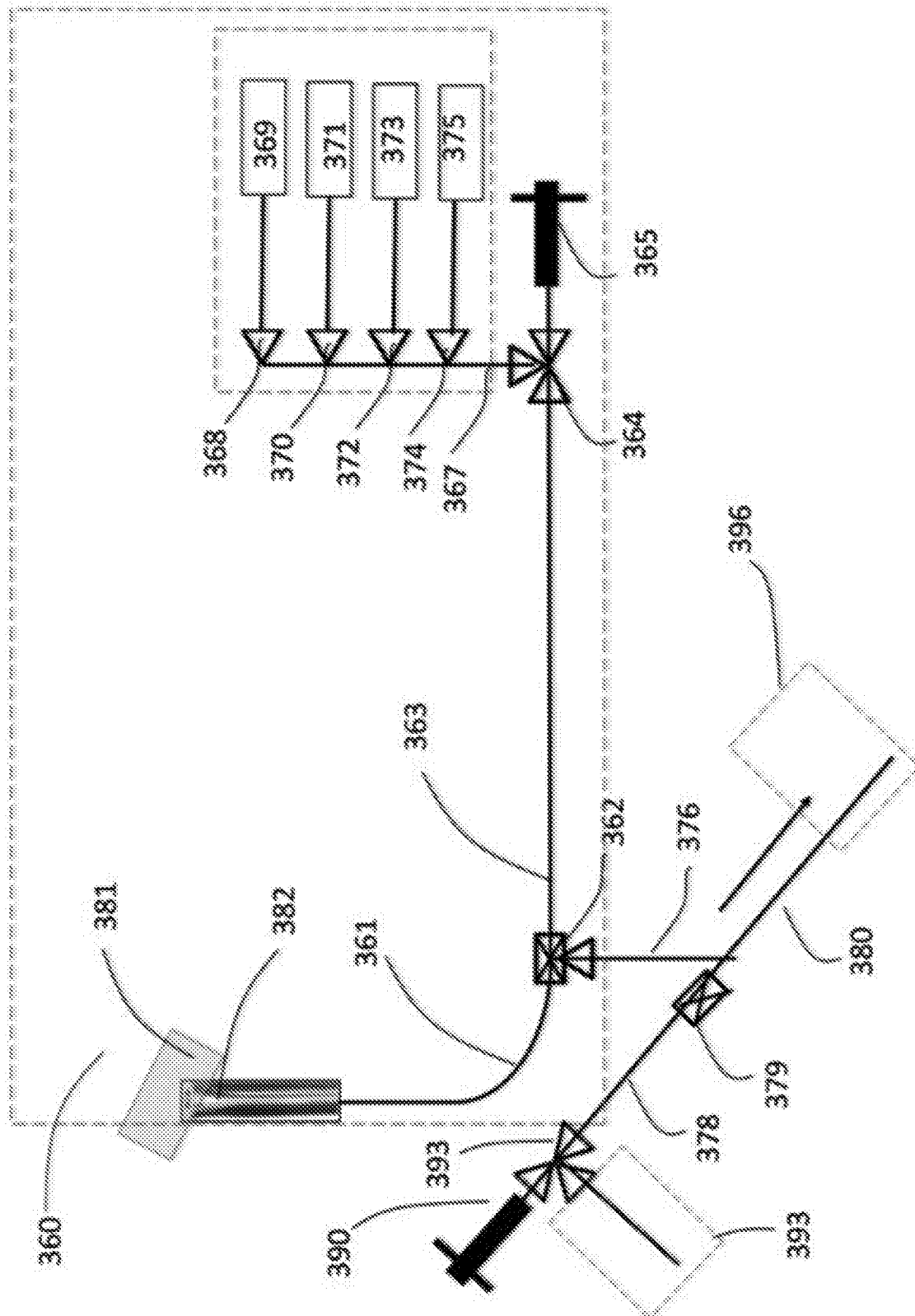


FIG. 9

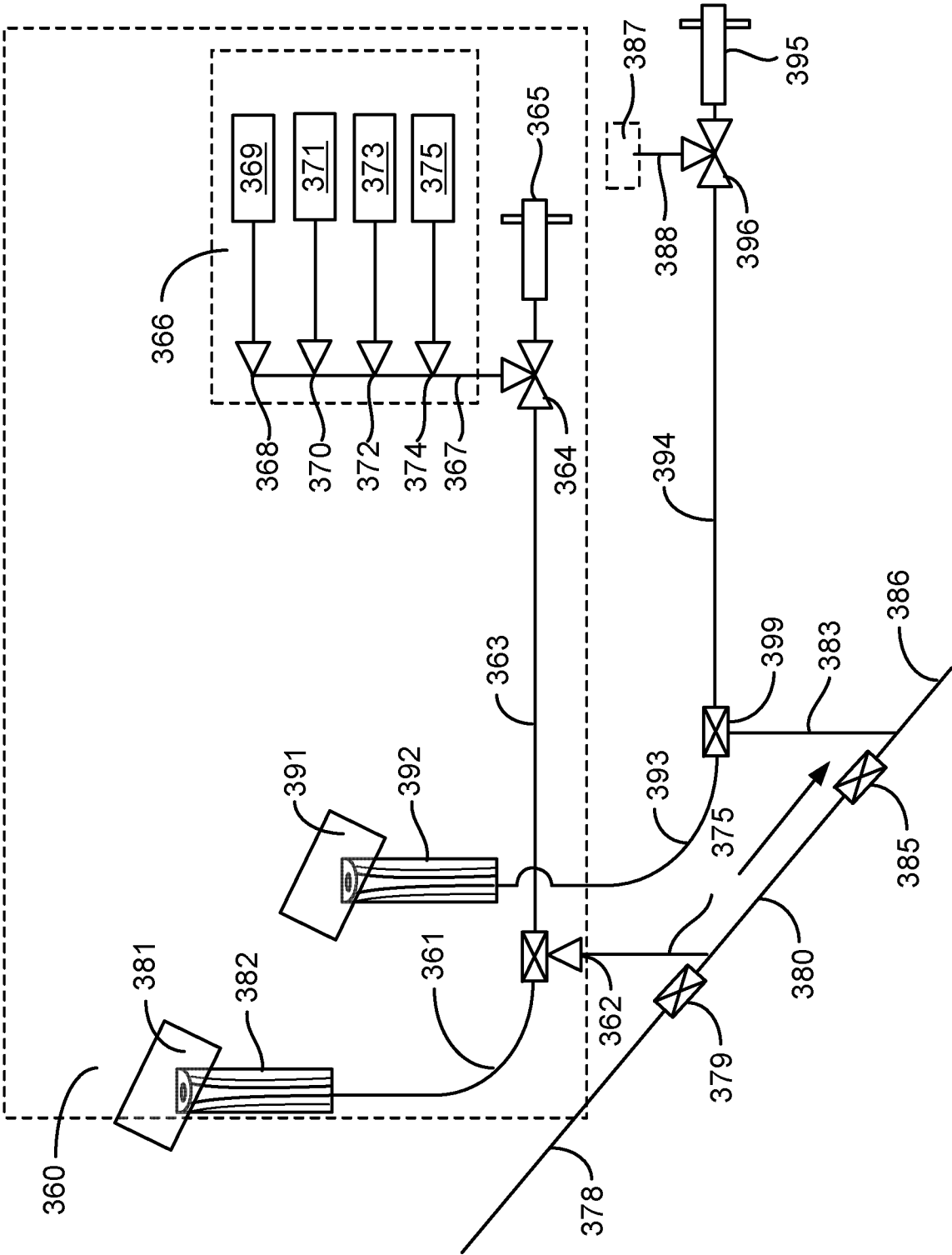


FIG. 10

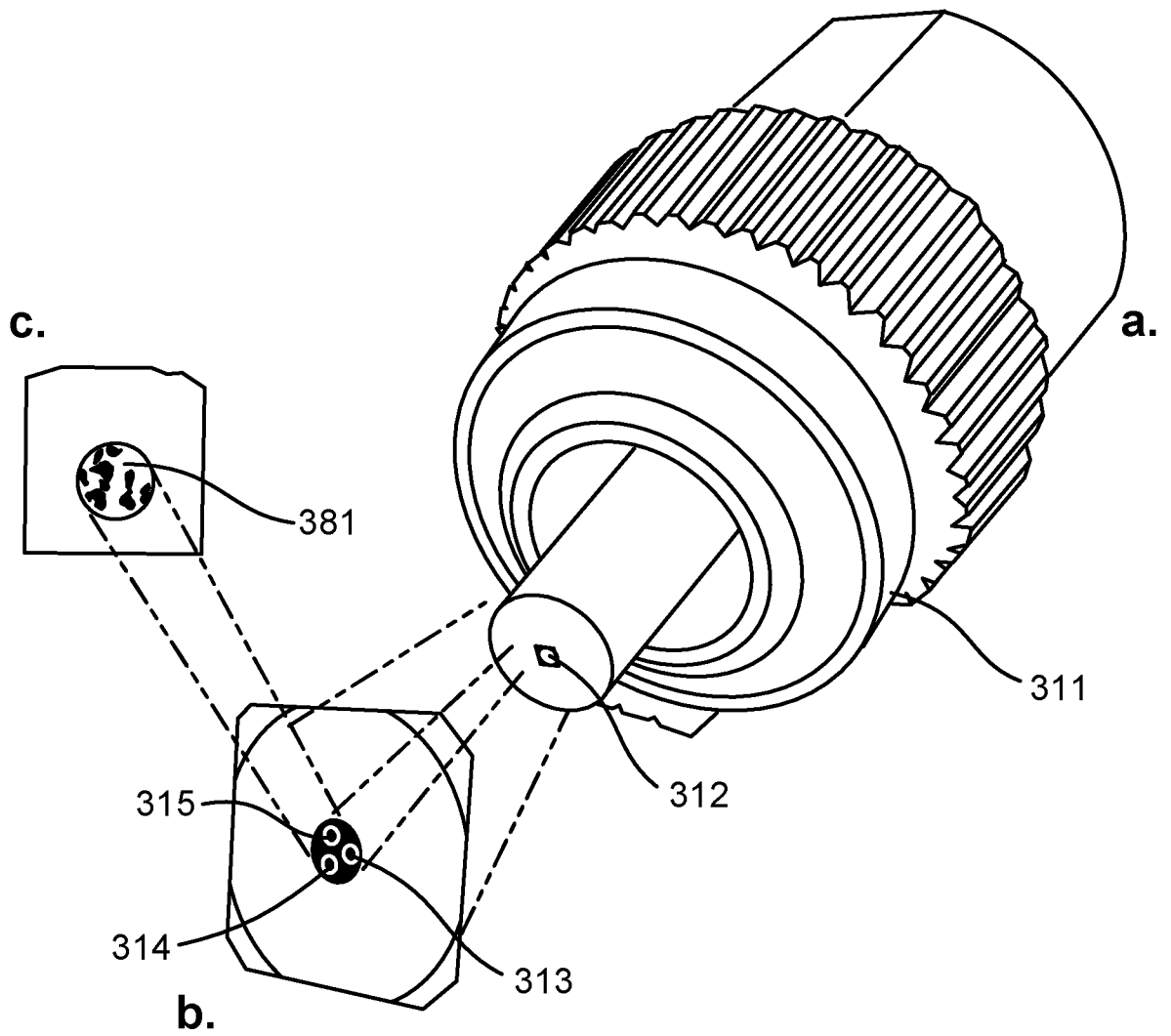


FIG. 11

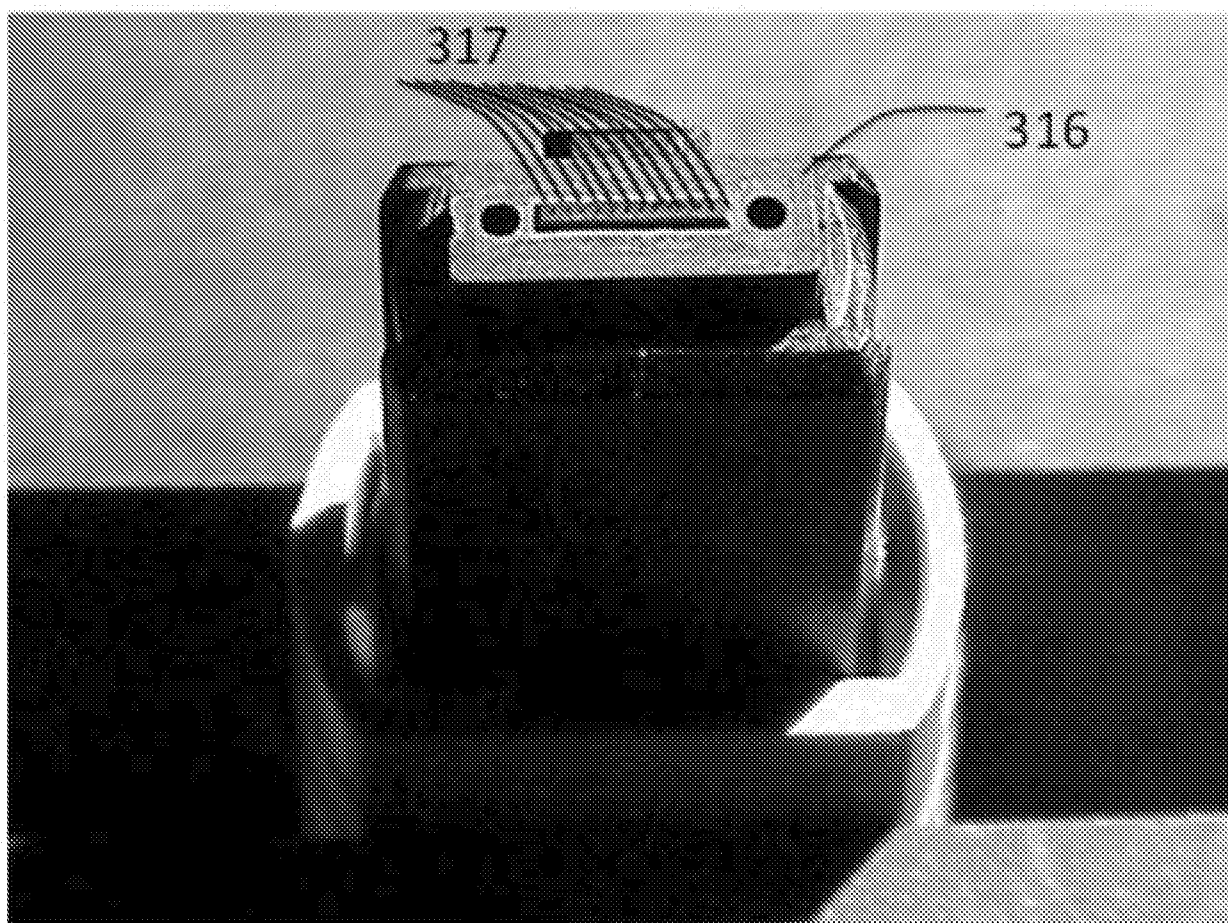


FIG. 12

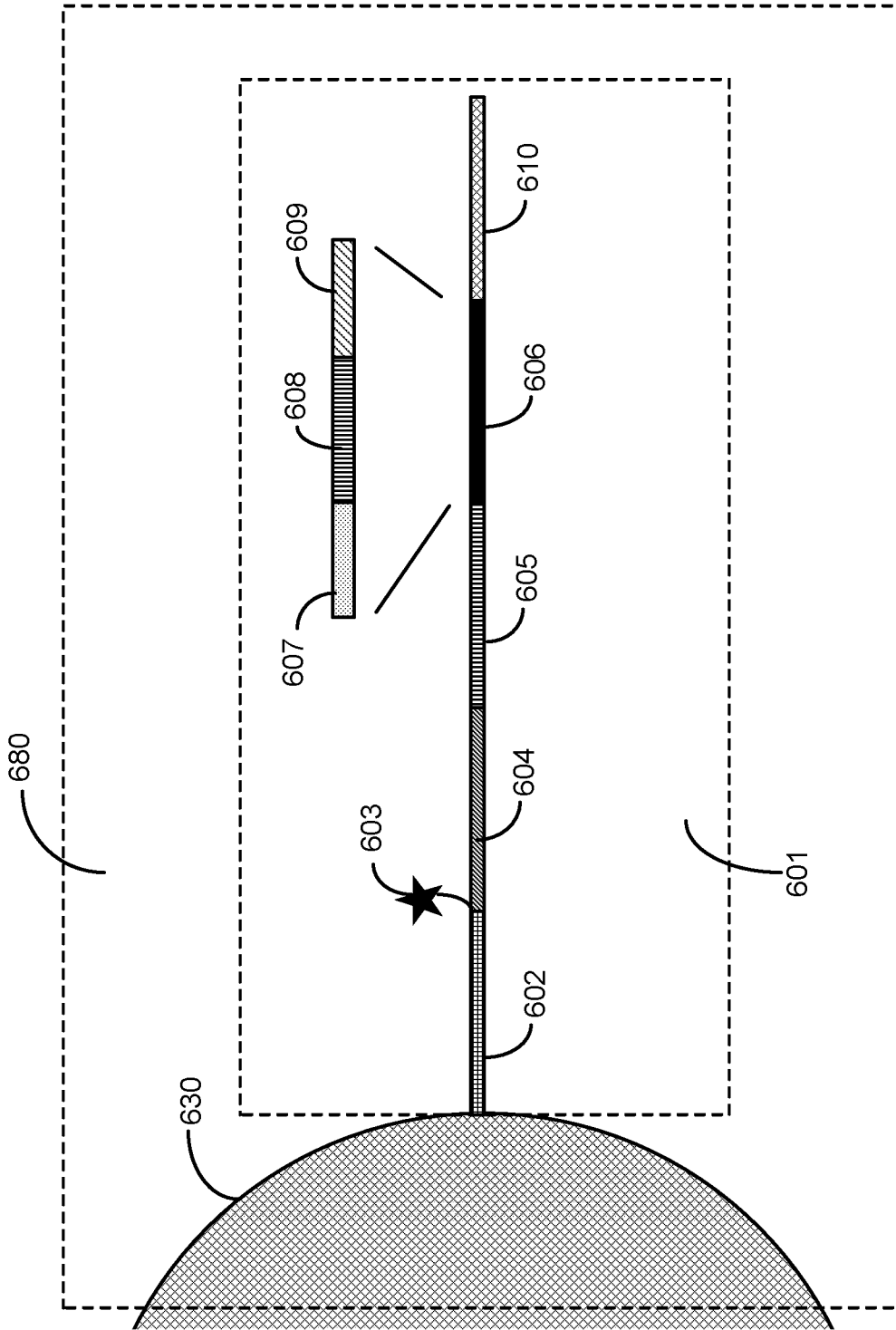


FIG. 13a

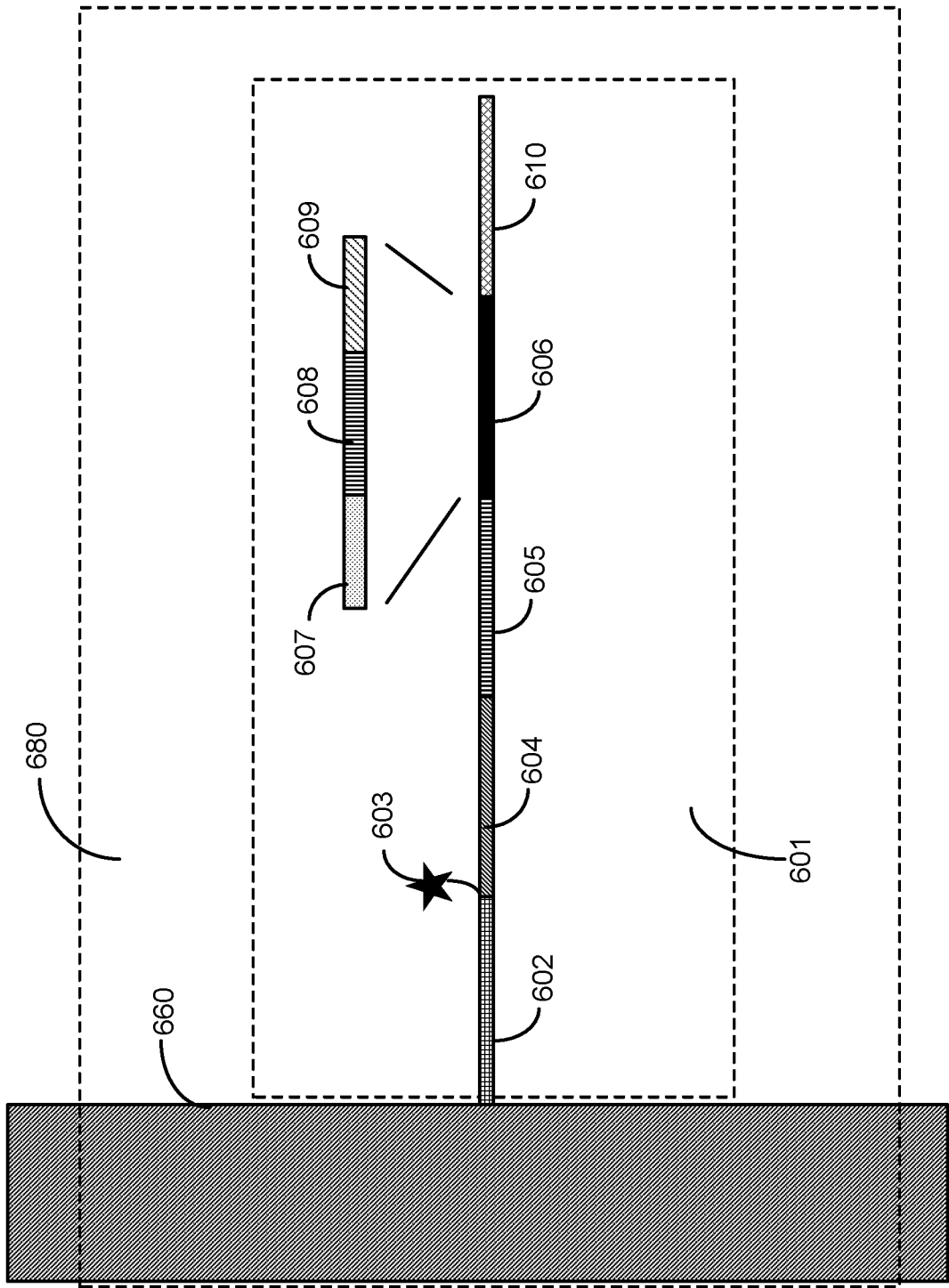


FIG. 13b

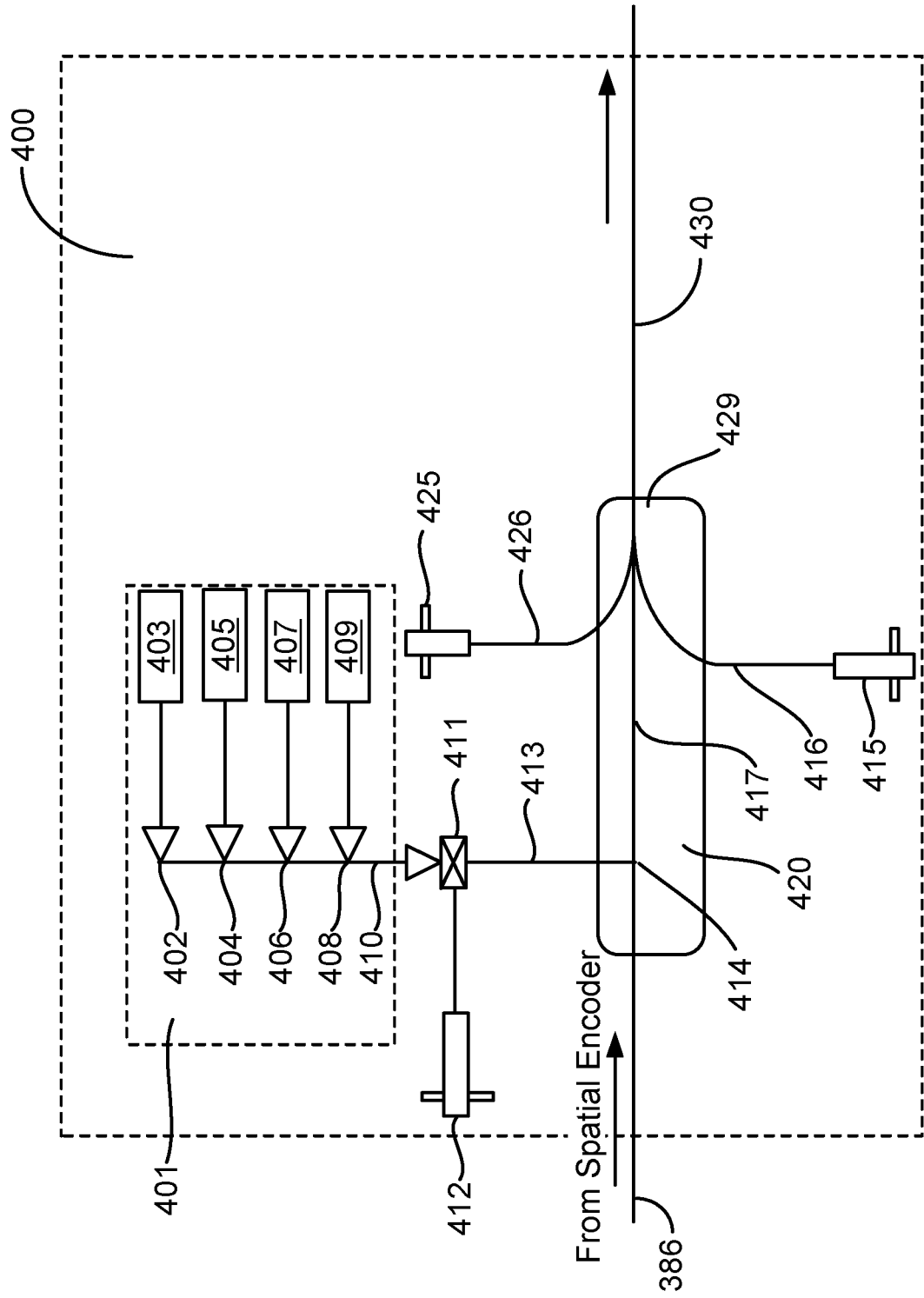


FIG. 14

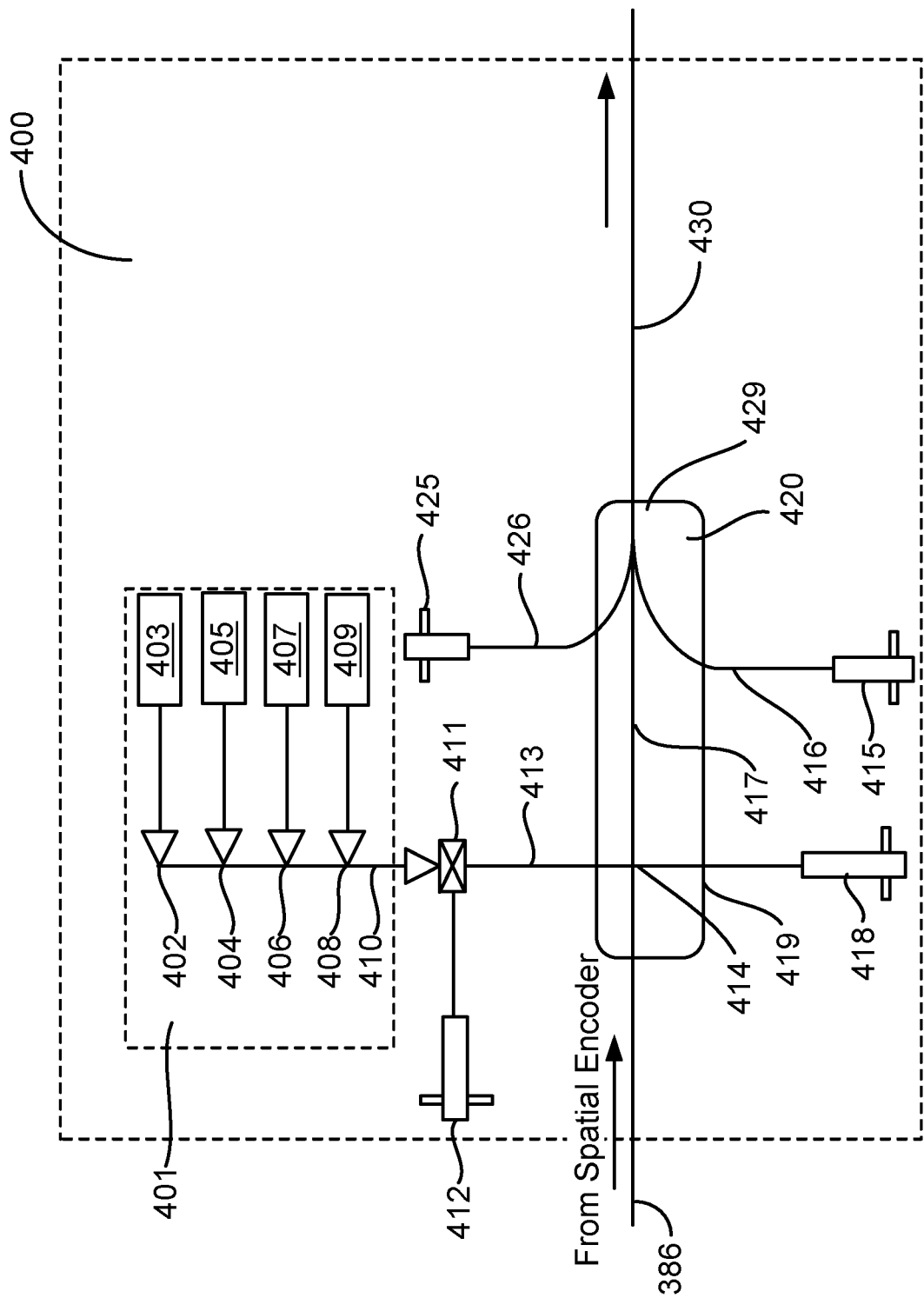


FIG. 15

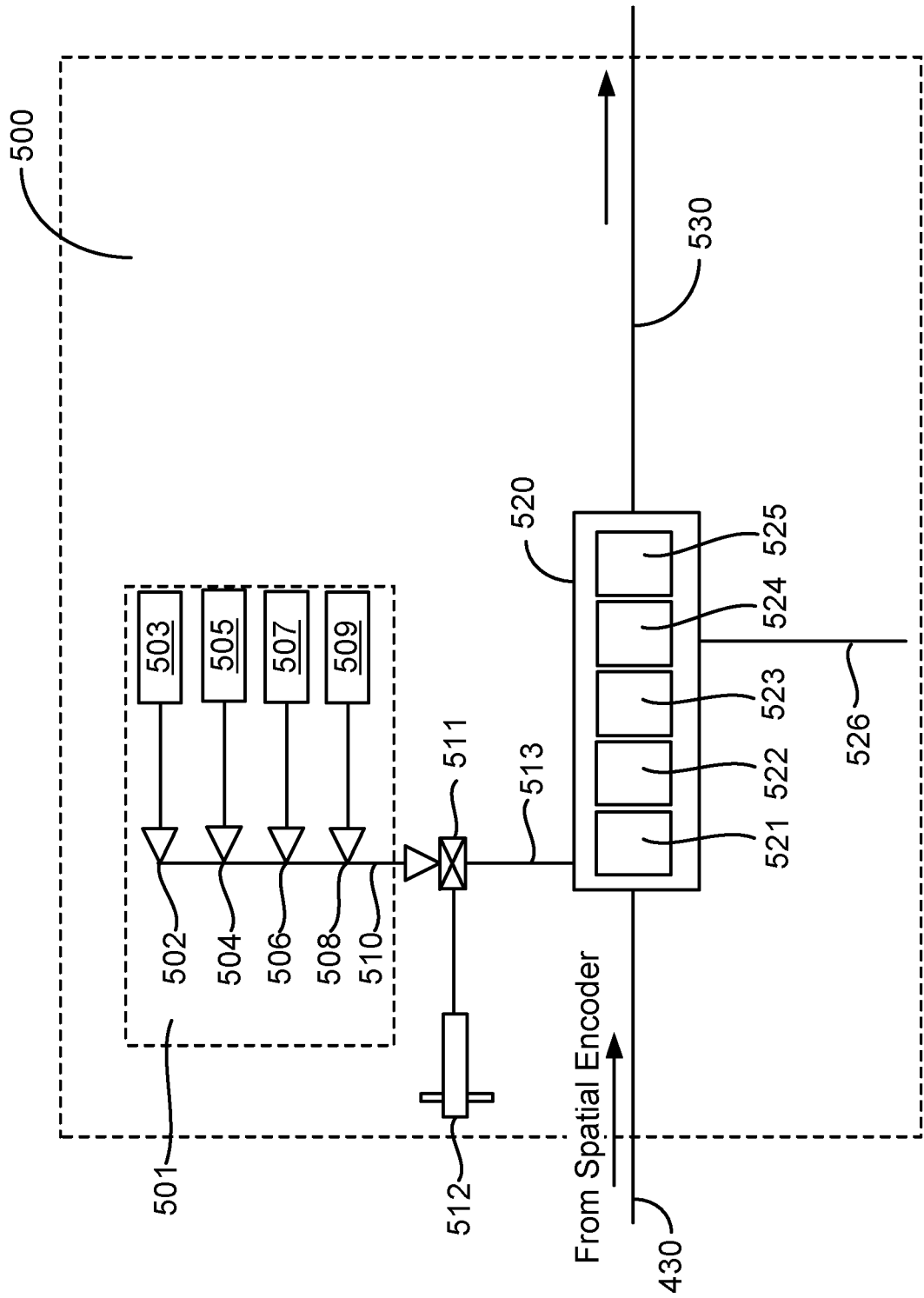


FIG. 16

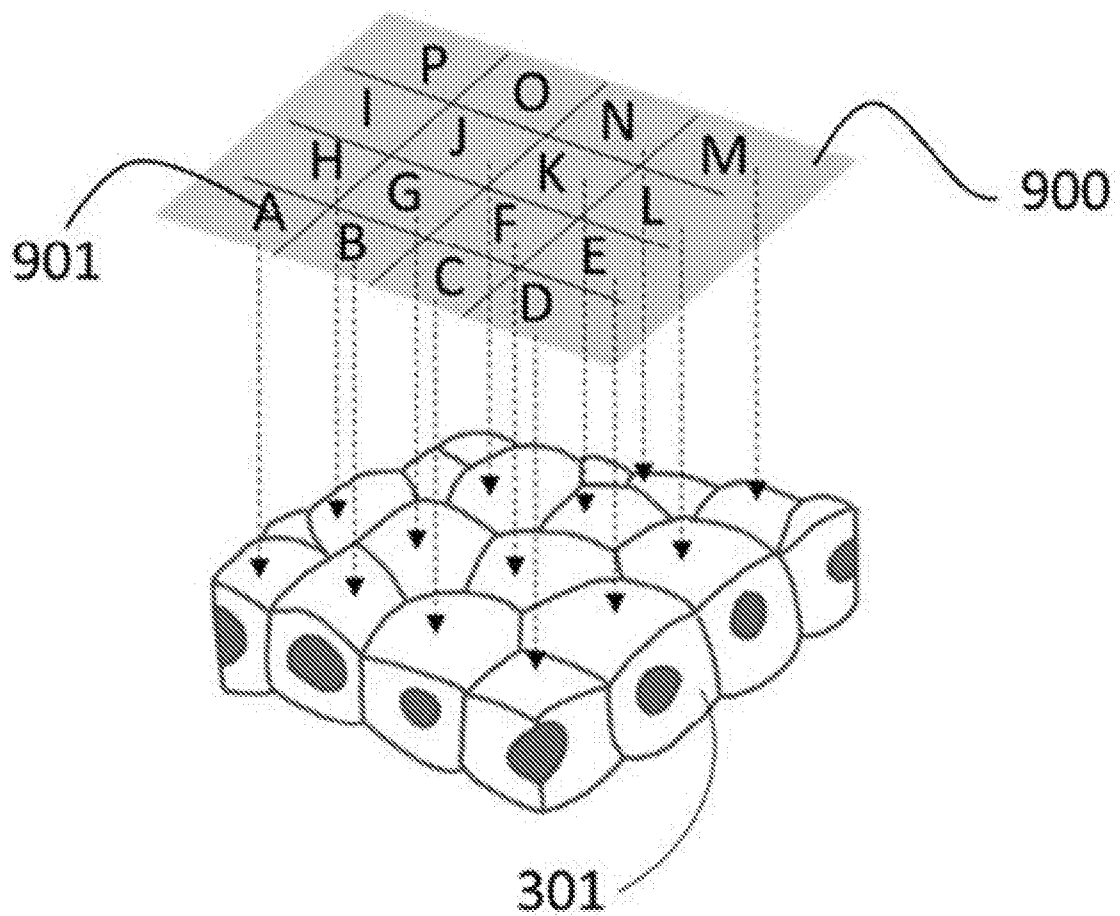


FIG. 17

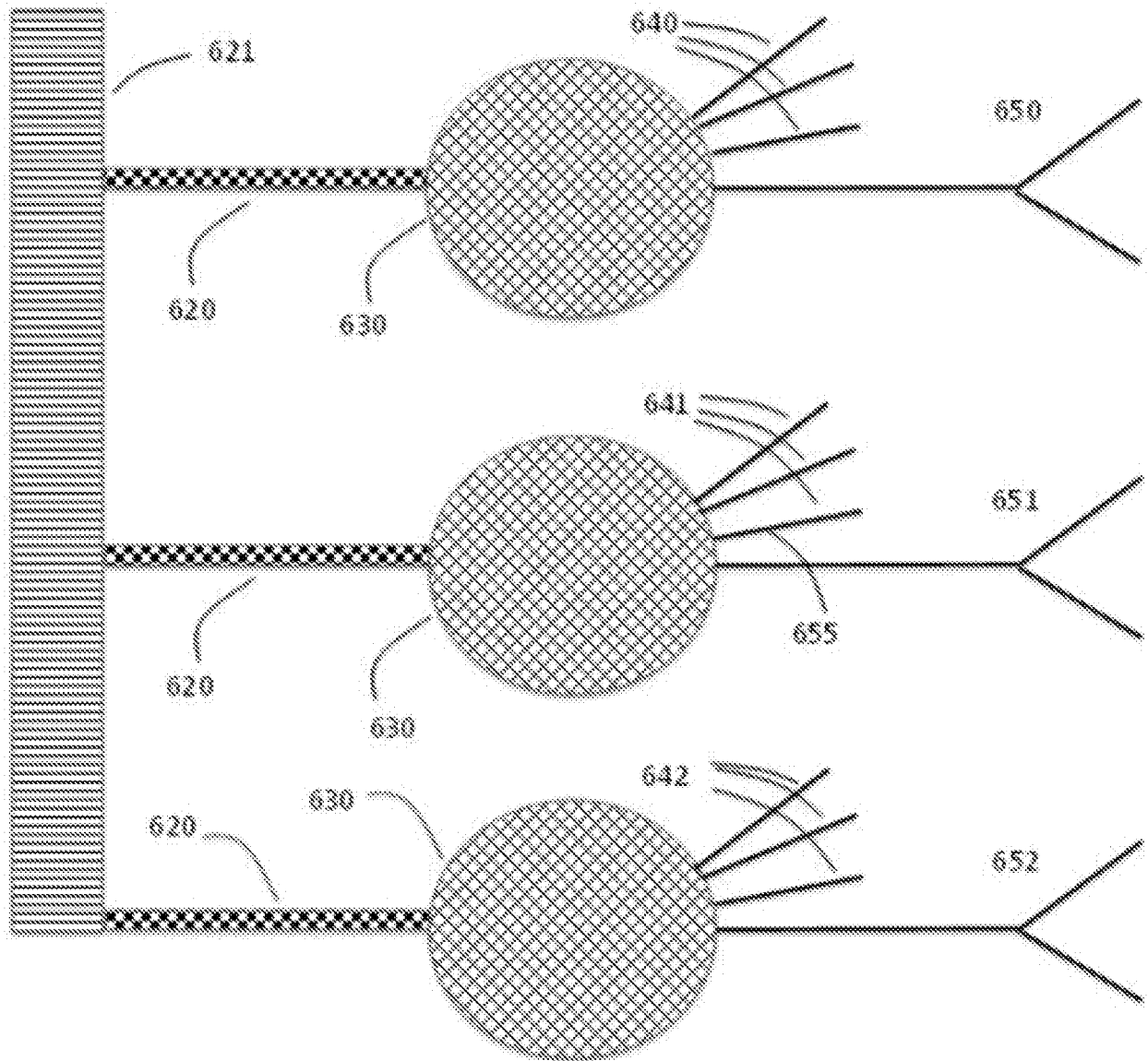
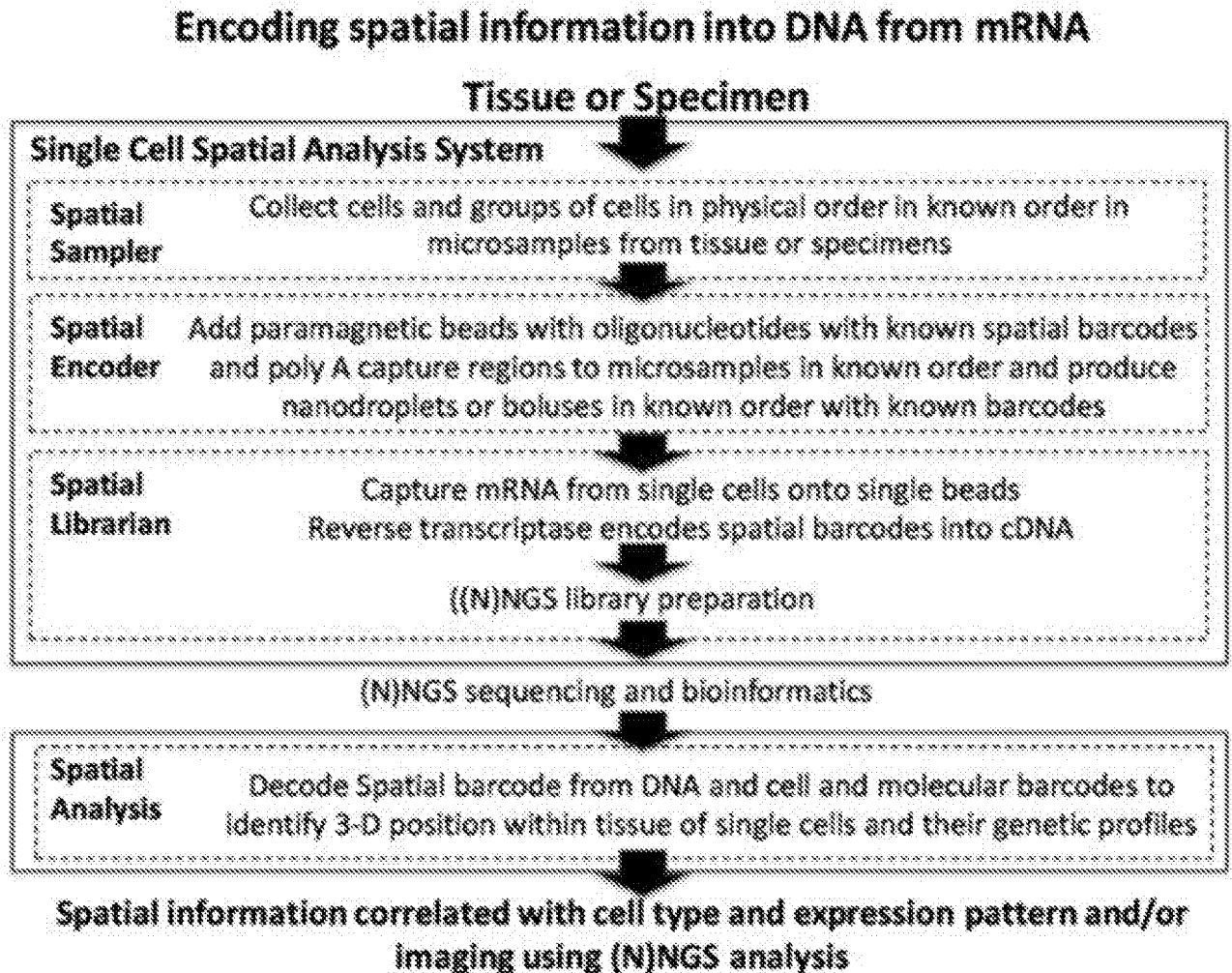


FIG. 18

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**FIG. 19**

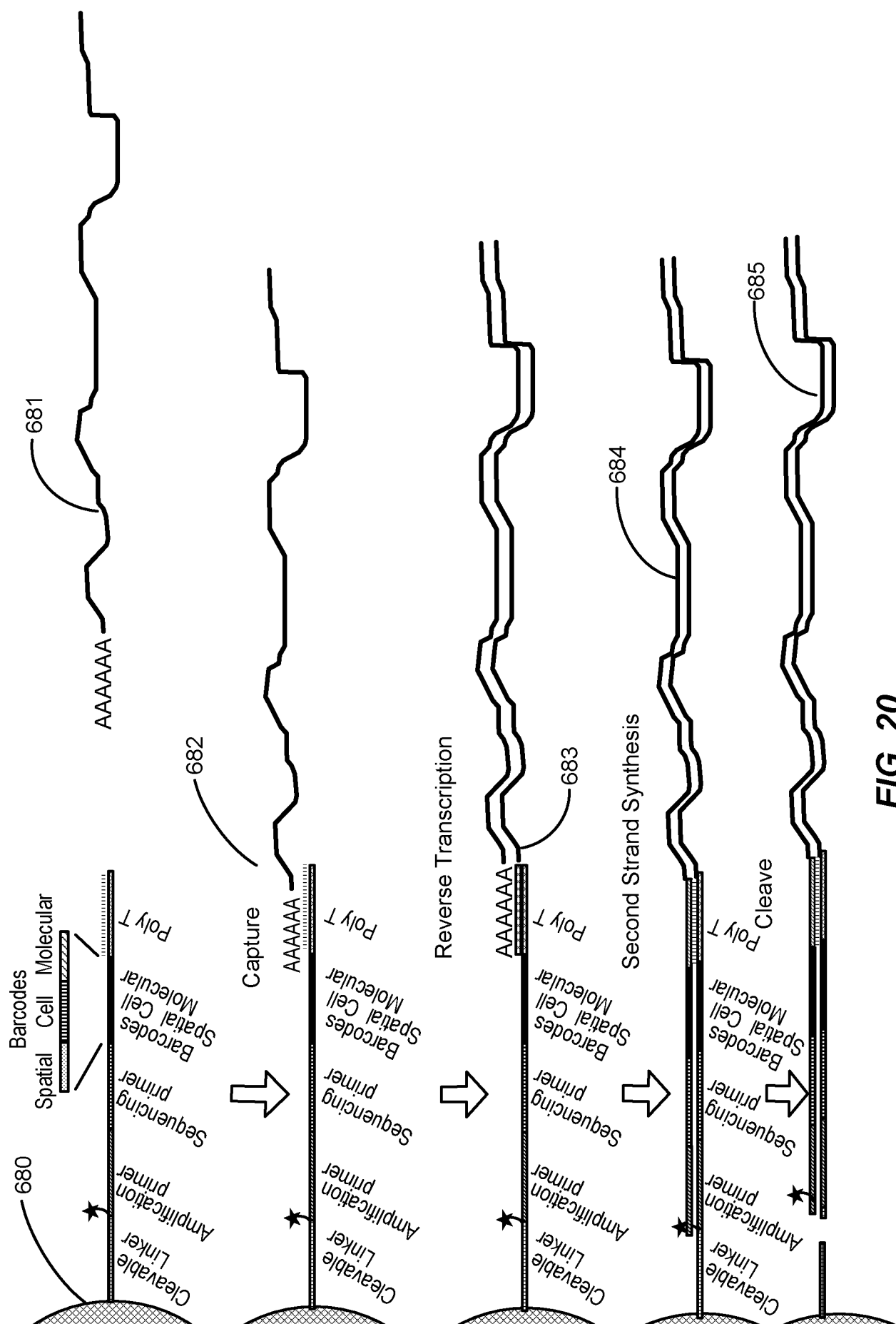
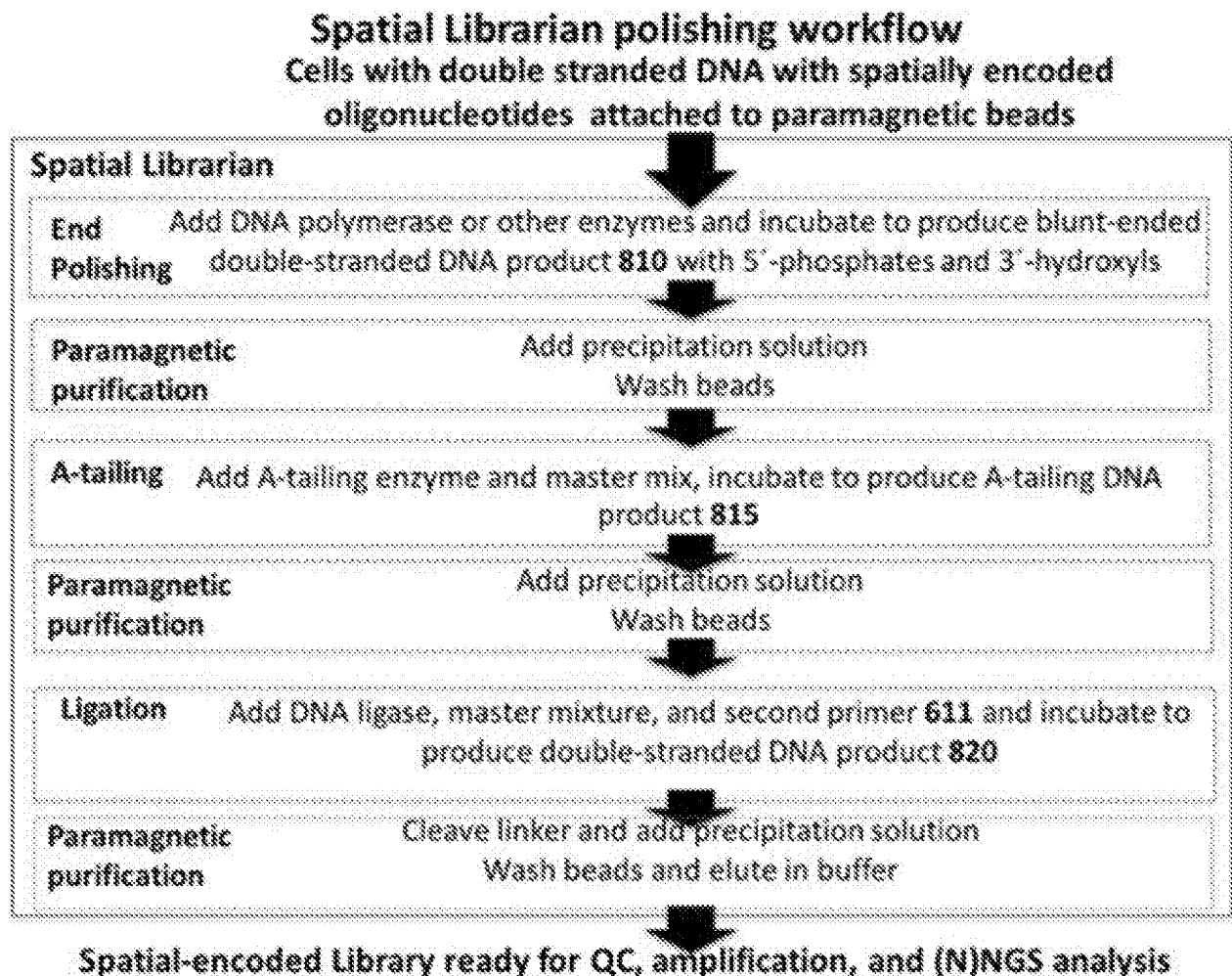
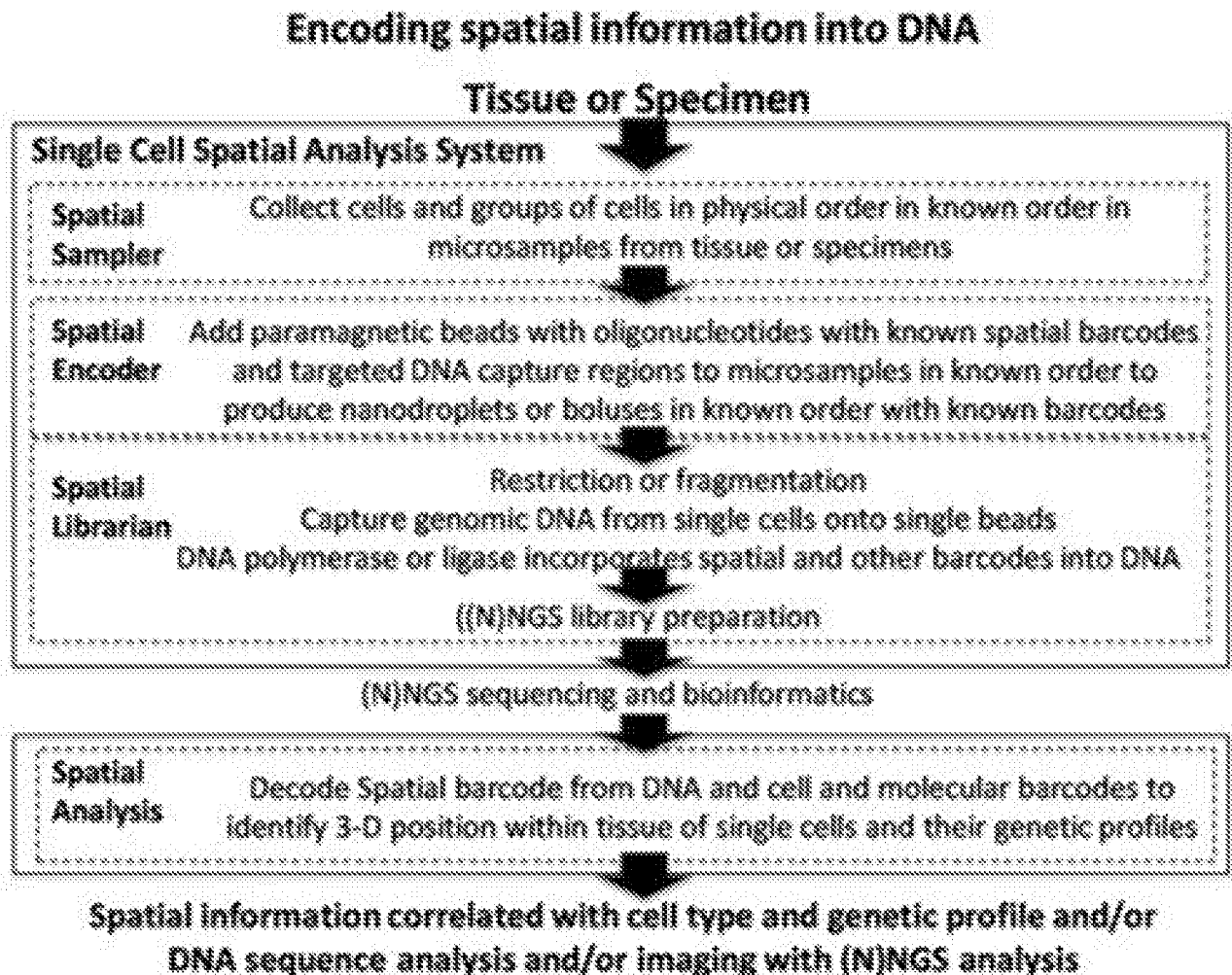


FIG. 20

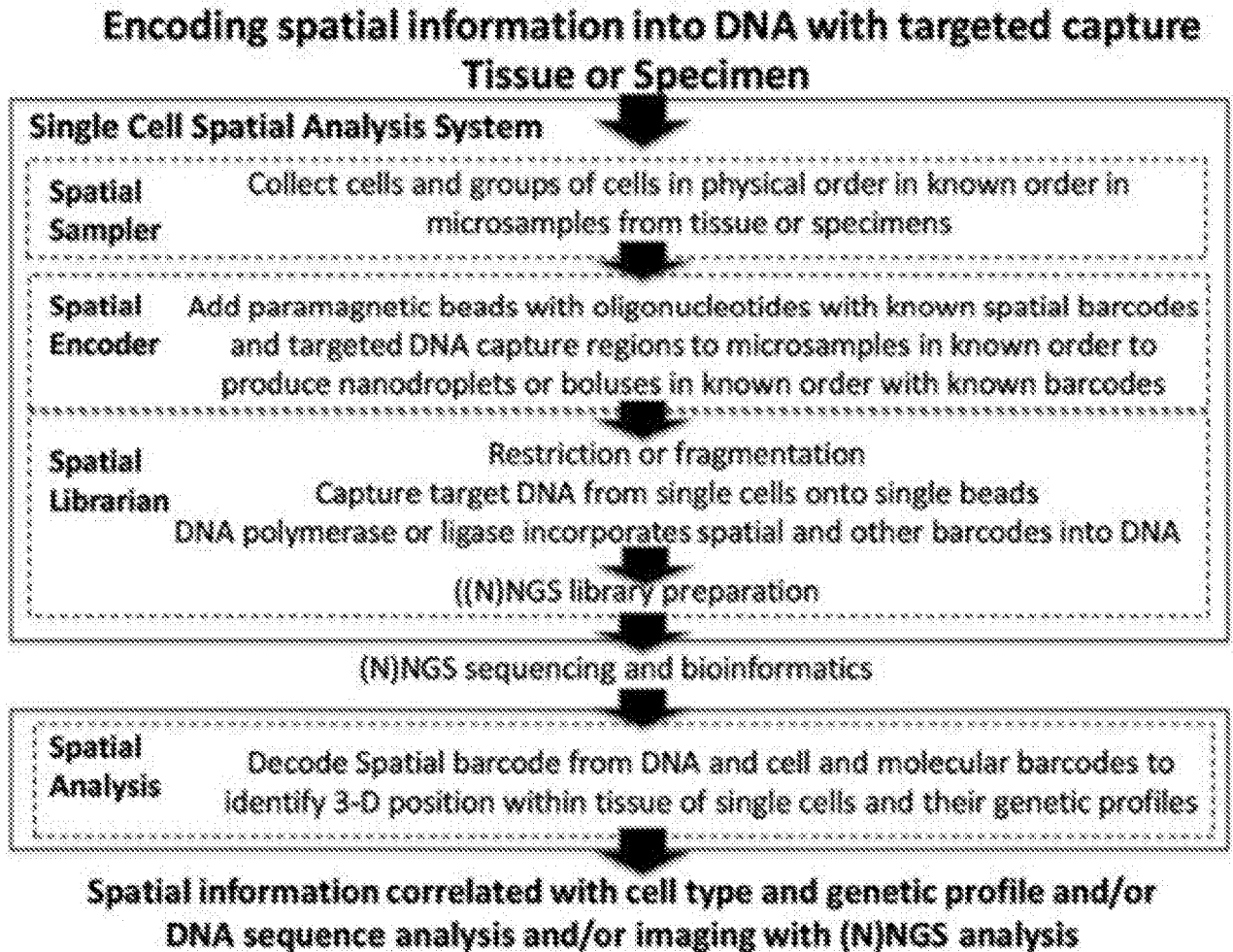
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**FIG. 21**

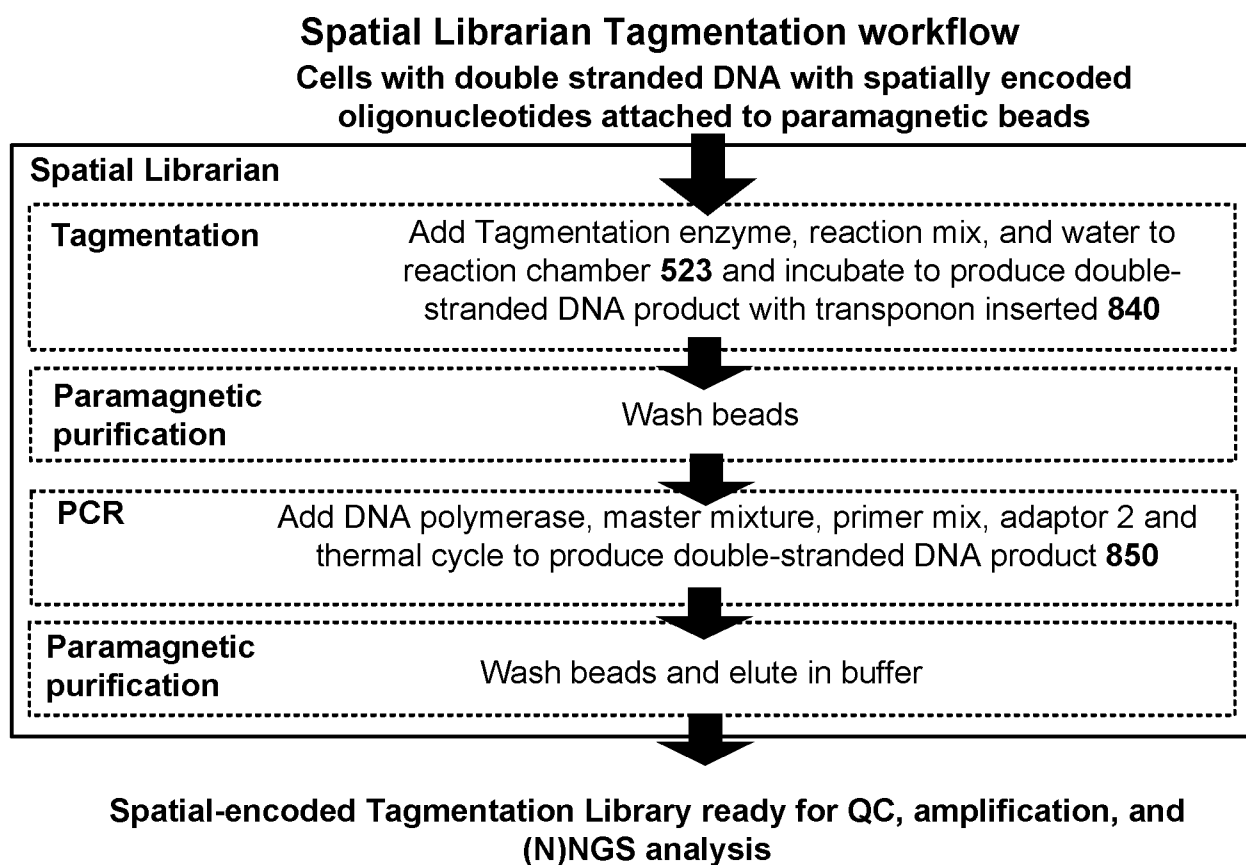
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**FIG. 22**

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**FIG. 23**

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**FIG. 24**

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 16/59232

A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - C12N 15/10; C12Q 1/68 (2017.01)

CPC - C12Q 1/6809, 1/6874; C12N 15/10; B01L 3/5027

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC(8): C12N 15/10; C12Q 1/68 (2017.01)

CPC: C12Q 1/6809, 1/6874; C12N 15/10; B01L 3/5027

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

CPC: C12Q 1/6809, 1/6874; C12N 15/10; B01L 3/5027 (text search)

USPC: 435/6.11; 536/23.1 (text search)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Electronic data bases: PatBase; Google Patents; Google Scholar

Search terms: spatial genomics or transcriptomics, spatial barcodes, physical position of single cells in specimen, RNA-seq, apparatus, microsamples supported on substrate, entrained in microdrops in a fluidic stream, graphical user interface presents graphical representati

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X ----- Y	US 2014/0066318 A1 (FRISEN et al.) 06 March 2014 (06.03.2014). Especially para [0021], [0050], [0051], [0121], [0124], [0144], [0279], sheet 10 fig 10, sheet 11 fig 11, claim 1.	1-6, 13, 42 ----- 7-9
Y	MACOSKO et al. Highly Parallel Genome-wide Expression Profiling of Individual Cells Using Nanoliter Droplets. CELL 21 May 2015 Vol 161 No 5 Pages 1202-1214. Especially pg 1203 fig 1A; pg 1205 fig 2A,B	7-9



Further documents are listed in the continuation of Box C.



* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

07 February 2017

Date of mailing of the international search report

02 MAR 2017

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US, Commissioner for Patents
P.O. Box 1450, Alexandria, Virginia 22313-1450

Facsimile No. 571-273-8300

Authorized officer:

Lee W. Young

PCT Helpdesk: 571-272-4300

PCT OSP: 571-272-7774

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 16/59232

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:
-----Go to Extra Sheet for continuation-----

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Claims 1-9, 13, 42

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

-----continuation of Box III (Lack of Unity of Invention)-----

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I: Claims 1-9, 13, 42, drawn to a system comprising specimens, spatial markers, a stage for supporting a specimen, an array of markers and a graphical interface.

Group II: Claims 10-12, drawn to a device comprising a sample module, a recipient module, and an element of microchannel or microfabricated chips.

Group III: Claims 14-41, drawn to a method of adding a marker comprising spatial information that encodes the original spatial position to a biological microsample.

Group IV: claims 43-62, drawn to a spatial preparation system configured to or a method to entrain a plurality of microsamples in spatially separated microdrops in a fluidic stream.

The inventions listed as Groups I-IV do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

Special Technical Features:

Group I has the special technical feature of a system comprising a stage for supporting a specimen, and a graphical interface, not required by Group II-IV

Group II has the special technical feature of a device comprising a sample module, a recipient module, not required by Groups I, III and IV.

Group III has the special technical feature of a method of adding a marker comprising spatial information that encodes the original spatial position to a biological microsample, not required by Groups I, II and IV.

Group IV has the special technical feature of a spatial preparation system configured to or a method to entrain a plurality of microsamples in spatially separated microdrops in a fluidic stream, not required by Groups I-III.

Common Technical Features:

1. Groups I-IV share the common technical feature of labeling a spatial marker to a microsample from a biological specimen.
2. Groups I and III share the common technical feature of added to each of a plurality of different microsamples from the biological specimen, a marker comprising spatial information that encodes the original spatial position of the microsample within the biological specimen.
3. Groups II and IV share the common technical feature of a microchannel.
4. Groups III and IV share the common technical feature of collecting microsamples from each of a plurality of different spatial positions in the biological specimen.

However, said common technical features do not represent a contribution over the prior art, and is obvious over WO 2014/210225 A1 to Prognosys Biosciences, Inc. (hereinafter "Prognosys"), in view of the publication titled "High-throughput spatial mapping of single-cell RNA-seq data to tissue of origin" by ACHIM et al. (hereinafter "Achim") [published May 2015 in Nat Biotechnol Vol 33 No 5 Pages 503-509]

----continued on next sheet----

-----continued from previous sheet-----

As to common technical feature #1, labeling a spatial marker to a microsample from a biological specimen, Prognosys teaches adding, to each of a plurality of different microsamples from a biological specimen, a marker comprising spatial information that encodes the original spatial position of the microsample within the biological specimen (para [00118]; Disclosed herein are spatially-encoded, multiplexed methods and assay systems capable of high levels of multiplexing with an efficient spatial encoding scheme. In one embodiment, provided herein is instrumentation capable of delivering reagents to a sample and thereby spatially encoding multiple sites to which the reagents are delivered. In one aspect, reagents can be delivered to a sample according to a known spatial pattern, for example, a spatial pattern determined by histological features of the sample"; claim 1; 1.A method of determining a spatial pattern of abundance, expression, and/or activity of one or more biological targets across multiple sites in a sample, comprising: delivering a probe for each of one or more biological targets to multiple sites in a sample, wherein each probe comprises: (1) a target-binding moiety capable of binding to the probe's corresponding biological target; (2) an address tag that identifies each of the multiple sites to which the probe is delivered; and (3) an identity tag that identifies the probe's corresponding biological target or target-binding moiety; allowing each probe to bind to its corresponding biological target in the sample; analyzing the probe bound to the one or more biological targets, the analysis comprising: (1) determining abundance, expression, and/or activity of each of the one or more biological targets by assessing the amount of the probe bound to the biological target; and (2) determining the identities of the identity tag and the address tag of the probe; and determining a spatial pattern of abundance and/or activity of the one or more biological targets across the multiple sites in the sample based on the analysis").

As to common technical feature #2, Prognosys teaches added to each of a plurality of different microsamples from the biological specimen, a marker comprising spatial information that encodes the original spatial position of the microsample within the biological specimen (claim 1; "each probe comprises: (1) a target-binding moiety capable of binding to the probe's corresponding biological target; (2) an address tag that identifies each of the multiple sites to which the probe is delivered; and (3) an identity tag that identifies the probe's corresponding biological target or target-binding moiety").

As to common technical #3, Prognosys teaches the common technical feature of a microchannel (para [00221]; "A unique adaptor or address tag encoding the X position was introduced via a microfluidic channel").

As to common technical feature #4, Achim teaches collecting microsamples from each of a plurality of different spatial positions in the biological specimen (abstract; we present a high-throughput method to identify the spatial origin of cells assayed by single-cell RNA-sequencing within a tissue of interest. Our approach is based on comparing complete, specificity-weighted mRNA profiles of a cell with positional gene expression profiles derived from a gene expression atlas. We show that this method allocates cells to precise locations in the brain of the marine annelid *Platynereis dumerilii* with a success rate of 81%").

As the common technical feature was known in the art at the time of the invention, this cannot be considered a common special technical feature that would otherwise unify the groups. The inventions lack unity with one another.

Therefore, Groups I-IV lack unity of invention under PCT Rule 13 because they do not share a same or corresponding special technical feature.