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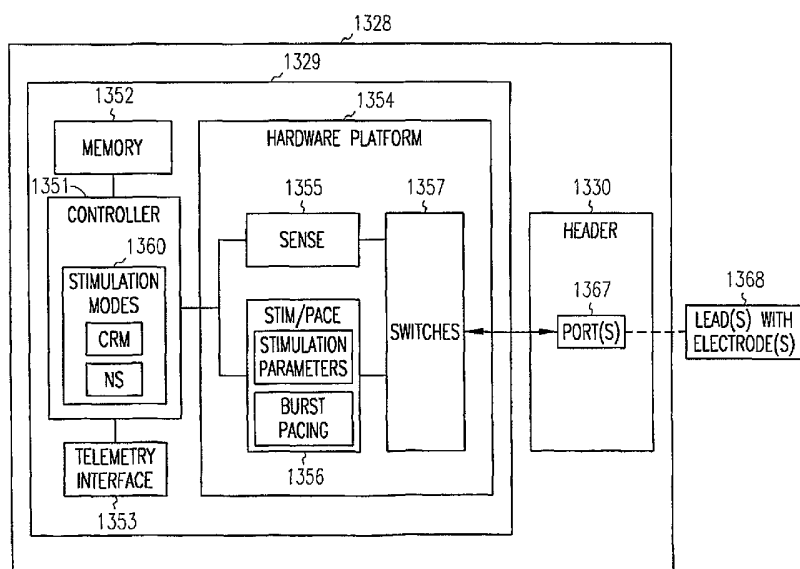
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(54) Title: SYSTEM TO PROVIDE MYOCARDIAL AND NEURAL STIMULATION



(57) Abstract: Various aspects provide an implantable device. In various embodiments, the device comprises at least one port, where each port is adapted to connect a lead with an electrode to the device. The device further includes a stimulation platform, including a sensing circuit connected to the at least one port to sense an intrinsic cardiac signal and a stimulation circuit connected to the at least one port via a stimulation channel to deliver a stimulation signal through the stimulation channel to the electrode. The stimulation circuit is adapted to deliver stimulation signals through the stimulation channel for both neural stimulation therapy and CRM therapy. The sensing and stimulation circuits are adapted to perform CRM functions. The device further includes a controller connected to the sensing circuit and the stimulation circuit to control the neural stimulation therapy and the CRM therapy. Other aspects and embodiments are provided herein.



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## SYSTEM TO PROVIDE MYOCARDIAL AND NEURAL STIMULATION

### Claim of Priority

Benefit of priority is hereby claimed to U.S. Patent Application Serial  
5 Number 11/087,935, filed on March 23, 2005, which application is herein  
incorporated by reference.

### Technical Field

This application relates generally to medical devices and, more  
10 particularly, to systems, devices and methods to provide myocardial and neural  
stimulation.

### Background

Implanting a chronic electrical stimulator, such as a cardiac stimulator, to  
15 deliver medical therapy(ies) is known. Examples of cardiac stimulators include  
implantable cardiac rhythm management (CRM) devices such as pacemakers,  
implantable cardiac defibrillators (ICDs), and implantable devices capable of  
performing pacing and defibrillating functions. Implantable CRM devices  
provide electrical stimulation to selected portions of the heart in order to treat  
20 disorders of cardiac rhythm, generally referred to herein as CRM  
functions/therapy. An implantable pacemaker, for example, is a CRM device  
that paces the heart with timed pacing pulses. The pacing pulses can be timed  
from other pacing pulses or sensed electrical activity. If functioning properly,  
the pacemaker makes up for the heart's inability to pace itself at an appropriate  
25 rhythm in order to meet metabolic demand by enforcing a minimum heart rate.  
Some CRM devices synchronize pacing pulses delivered to different areas of the  
heart in order to coordinate the contractions. Coordinated contractions allow the  
heart to pump efficiently while providing sufficient cardiac output. Clinical data  
has shown that cardiac resynchronization, achieved through synchronized  
30 biventricular pacing, results in a significant improvement in cardiac function.  
Cardiac resynchronization therapy improves cardiac function in heart failure  
patients.

Heart failure patients have reduced autonomic balance, which is  
associated with LV dysfunction and increased mortality. Modulation of the

sympathetic and parasympathetic nervous systems has potential clinical benefit in preventing remodeling and death in heart failure and post-MI patients. Direct electrical stimulation can activate the baroreflex, inducing a reduction of sympathetic nerve activity and reducing blood pressure by decreasing vascular resistance. Sympathetic inhibition and parasympathetic activation have been associated with reduced arrhythmia vulnerability following a myocardial infarction, presumably by increasing collateral perfusion of the acutely ischemic myocardium and decreasing myocardial damage.

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### Summary

Various aspects of the present subject matter provide an implantable device. In various embodiments, the device comprises at least one port, where each port is adapted to connect a lead with an electrode to the device. The device further includes a stimulation platform, including a sensing circuit connected to the at least one port to sense an intrinsic cardiac signal and a stimulation circuit connected to the at least one port via a stimulation channel to deliver a stimulation signal through the stimulation channel to the electrode. The stimulation circuit is adapted to deliver stimulation signals through the stimulation channel for both neural stimulation therapy and CRM therapy. The sensing and stimulation circuits are adapted to perform CRM functions. The device further includes a controller connected to the sensing circuit and the stimulation circuit to control the neural stimulation therapy and the CRM therapy.

Various aspects of the present subject matter provide a method for operating an implantable device to deliver a desired stimulation signal through a stimulation channel to an electrode. In an embodiment of the method, a desired therapy to be delivered through the stimulation channel to the electrode is determined. Upon determining that a cardiac rhythm management (CRM) therapy is desired, a CRM stimulation signal is delivered through the stimulation channel to the electrode to capture a heart muscle. Upon determining that a neural stimulation therapy is desired, a neural stimulation signal is delivered through the stimulation channel to the electrode to elicit a neural response.

Various aspects of the present subject matter provide a method for making an implantable medical device. In an embodiment of the method, a

controller is connected to a memory, to a sensing module adapted to sense intrinsic cardiac signals over a sensing channel from an electrode, and to a stimulation module adapted to generate stimulation signals on a stimulation channel to the electrode. Computer instructions to be performed by the  
5 controller are stored in the memory. The computer instructions include instructions to perform a neural stimulation therapy using the stimulation module and to perform a cardiac rhythm management (CRM) therapy using the sensing module and the stimulation module. The computer instructions further include instructions to receive a therapy selection input, to generate a neural stimulation  
10 signal on the stimulation channel to the electrode if the neural stimulation therapy is selected, and to generate a CRM stimulation signal on the stimulation channel to the electrode if the neural CRM therapy is selected.

This Summary is an overview of some of the teachings of the present application and not intended to be an exclusive or exhaustive treatment of the  
15 present subject matter. Further details about the present subject matter are found in the detailed description and appended claims. Other aspects will be apparent to persons skilled in the art upon reading and understanding the following detailed description and viewing the drawings that form a part thereof, each of which are not to be taken in a limiting sense. The scope of the present invention  
20 is defined by the appended claims and their equivalents.

### **Brief Description of the Drawings**

FIGS. 1A and 1B illustrate neural mechanisms for peripheral vascular control.

25 FIG. 2 illustrates a heart.

FIG. 3 illustrates baroreceptors in the area of the carotid sinus, aortic arch and pulmonary artery.

FIG. 4 illustrates baroreceptors in and around a pulmonary artery.

FIG. 5 illustrates baroreceptor fields in the aortic arch, near the  
30 ligamentum arteriosum and the trunk of the pulmonary artery.

FIG. 6 illustrates baroreflex adaptation using a relationship between carotid sinus pressure, sympathetic nerve activity (SNA) and mean arterial pressure (MAP).

FIG. 7 illustrates a diagram, representing stimulation parameters, a region representing parameters capable of being used to perform CRM stimulation, a region representing parameters capable of being used to perform neural stimulation, and a region representing parameters capable of being used to perform both neural stimulation and CRM stimulation.

FIG. 8 is a graphical illustration of the relationship between a change in blood pressure and a rate of a stimulation signal.

FIG. 9A illustrates an implantable medical device with leads extending into a heart; and FIGS. 9B and 9C illustrate an implantable medical device with endocardial and epicardial leads, respectively.

FIGS. 10A and 10B illustrate the right side and left side of the heart, respectively, and further illustrate cardiac fat pads which provide neural targets for some neural stimulation therapies.

FIG. 11 illustrates an embodiment of an implantable medical device.

FIG. 12 is a simplified schematic illustration of a pace module for the hardware platform illustrated in FIG. 11.

FIG. 13 illustrates a multi-channel embodiment of an implantable medical device.

FIGS. 14A, 14B and 14C illustrate examples of waveforms used to provide myocardial and neural stimulation.

FIG. 15 illustrates a method to selectively provide myocardial and/or neural stimulation over a stimulation channel of the implantable medical device.

## **Detailed Description**

The following detailed description of the present subject matter refers to the accompanying drawings which show, by way of illustration, specific aspects and embodiments in which the present subject matter may be practiced. These embodiments are described in sufficient detail to enable those skilled in the art to practice the present subject matter. Other embodiments may be utilized and structural, logical, and electrical changes may be made without departing from the scope of the present subject matter. References to “an”, “one”, or “various” embodiments in this disclosure are not necessarily to the same embodiment, and such references contemplate more than one embodiment. The following detailed

description is, therefore, not to be taken in a limiting sense, and the scope is defined only by the appended claims, along with the full scope of legal equivalents to which such claims are entitled.

Disclosed herein is a device in which a common hardware platform is used to provide both neural stimulation and CRM stimulation, also referred to herein as myocardial stimulation, thus reducing the hardware requirements to perform both CRM and NS therapies. The reduced hardware requirements can reduce the cost and size of the device. Various device embodiments include hardware capable of alternating between myocardial stimulation and neural stimulation, and various device embodiments include hardware to provide a common stimulation waveform capable of simultaneously activating the myocardium and the nerve. For example, one embodiment switches between stimulation modes, in which the device delivers a stimulating waveform suitable for cardiac pacing, neural stimulation, or both cardiac pacing and neural stimulation is delivered. These different stimulation waveforms can be delivered at different sites (through the CRM lead(s) or through the neural stimulation lead(s)). In some embodiments, neural stimulation waveforms are delivered through dedicated leads and cardiac pacing waveforms are delivered through dedicated leads; and in some embodiments, the neural stimulation and cardiac pacing waveforms are delivered at alternating times through the same lead(s).

Some CRM devices have the ability in hardware to provide electrical stimulation at the appropriate amplitude and frequency for neural stimulation such as burst pacing at frequencies up to 50 Hz. Examples of neural stimulation leads include an expandable stimulation lead placed in the pulmonary artery in the proximity of a high concentration of baroreceptors, an intravascularly-inserted lead placed proximal to and adapted to transvascularly stimulate a cardiac fat pad, an epicardial lead placed in a cardiac fat pad, a cuff electrode placed around a nerve trunk such as the aortic, carotid or vagus nerve, and an intravascularly-inserted lead placed proximal to and adapted to transvascularly stimulate a nerve trunk such as the aortic, carotid or vagus nerve.

In various embodiments, the implantable device uses a lead positioned to provide either myocardial stimulation, to provide neural stimulation, or to simultaneously provide both myocardial stimulation and neural stimulation by delivering a waveform through the appropriately placed stimulation lead that

stimulates the myocardium and the nerve. Thus, through a judicious selection of stimulation waveforms, this embodiment does not switch between modes, but accomplishes simultaneous cardiac and neural stimulation. Other waveforms are applied if it is desired to provide only cardiac pacing or neural stimulation, but not both.

The stimulation device of the present subject matter uses a common or shared hardware platform to provide both CRM therapy (pacing, CRT, etc) and neural stimulation through either a common or an independent lead. Some device embodiments switch between output modes, using the same hardware to provide cardiac pacing and neural stimulation. Typically, cardiac pacing occurs at a relatively lower frequency and larger amplitude than neural stimulation.

CRM therapy (such as brady pacing and/or CRT) is capable of being provided in conjunction with neural stimulation (such as anti-remodeling therapy) without requiring additional hardware than that which already exists in CRM hardware. Various embodiments use existing CRM output channel(s) adapted to exclusively provide neural stimulation, use existing CRM output channel(s) adapted to alternate between cardiac and neural stimulation, and use existing CRM output channel(s) adapted to simultaneously provide cardiac and neural stimulation.

The stimulation platform for an existing CRM device retains the existing pulse generator, pacing algorithms, and output circuitry. In an embodiment, the device intermittently suspends cardiac pacing and delivers neural stimulation using a shared platform. In other embodiments, an existing CRM lead is placed in a location so as to provide either cardiac or neural stimulation, or both, depending on the stimulating waveform.

The following disclosure provides a discussion of physiology and examples of therapies capable of being performed by the present subject matter, and further provides a discussion of an implantable medical device and methods according to the present subject matter.

30

### Physiology

#### **Heart Failure**

Heart failure refers to a clinical syndrome in which cardiac function causes a below normal cardiac output that can fall below a level adequate to



meet the metabolic demand of peripheral tissues. Heart failure may present itself as congestive heart failure (CHF) due to the accompanying venous and pulmonary congestion. Heart failure can be due to a variety of etiologies such as ischemic heart disease.

5

### **Hypertension**

Hypertension is a cause of heart disease and other related cardiac co-morbidities. Hypertension occurs when blood vessels constrict. As a result, the heart works harder to maintain flow at a higher blood pressure, which can contribute to heart failure. Hypertension generally relates to high blood pressure, such as a transitory or sustained elevation of systemic arterial blood pressure to a level that is likely to induce cardiovascular damage or other adverse consequences. Hypertension has been arbitrarily defined as a systolic blood pressure above 140 mm Hg or a diastolic blood pressure above 90 mm Hg.

Consequences of uncontrolled hypertension include, but are not limited to, retinal vascular disease and stroke, left ventricular hypertrophy and failure, myocardial infarction, dissecting aneurysm, and renovascular disease.

A large segment of the general population, as well as a large segment of patients implanted with pacemakers or defibrillators, suffer from hypertension. The long term mortality as well as the quality of life can be improved for this population if blood pressure and hypertension can be reduced. Many patients who suffer from hypertension do not respond to treatment, such as treatments related to lifestyle changes and hypertension drugs.

### **Cardiac Remodeling**

Following myocardial infarction (MI) or other cause of decreased cardiac output, a complex remodeling process of the ventricles occurs that involves structural, biochemical, neurohormonal, and electrophysiologic factors. Ventricular remodeling is triggered by a physiological compensatory mechanism that acts to increase cardiac output due to so-called backward failure which increases the diastolic filling pressure of the ventricles and thereby increases the so-called preload (i.e., the degree to which the ventricles are stretched by the volume of blood in the ventricles at the end of diastole). An increase in preload causes an increase in stroke volume during systole, a phenomena known as the

Frank-Starling principle. When the ventricles are stretched due to the increased preload over a period of time, however, the ventricles become dilated. The enlargement of the ventricular volume causes increased ventricular wall stress at a given systolic pressure. Along with the increased pressure-volume work done  
5 by the ventricle, this acts as a stimulus for hypertrophy of the ventricular myocardium. The disadvantage of dilatation is the extra workload imposed on normal, residual myocardium and the increase in wall tension (Laplace's Law) which represent the stimulus for hypertrophy. If hypertrophy is not adequate to match increased tension, a vicious cycle ensues which causes further and  
10 progressive dilatation.

As the heart begins to dilate, afferent baroreceptor and cardiopulmonary receptor signals are sent to the vasomotor central nervous system control center, which responds with hormonal secretion and sympathetic discharge. It is the combination of hemodynamic, sympathetic nervous system and hormonal  
15 alterations (such as presence or absence of angiotensin converting enzyme (ACE) activity) that ultimately account for the deleterious alterations in cell structure involved in ventricular remodeling. The sustained stresses causing hypertrophy induce apoptosis (i.e., programmed cell death) of cardiac muscle cells and eventual wall thinning which causes further deterioration in cardiac  
20 function. Thus, although ventricular dilation and hypertrophy may at first be compensatory and increase cardiac output, the processes ultimately result in both systolic and diastolic dysfunction. It has been shown that the extent of ventricular remodeling is positively correlated with increased mortality in post-MI and heart failure patients.

25

### **Nervous System**

The automatic nervous system (ANS) regulates "involuntary" organs, while the contraction of voluntary (skeletal) muscles is controlled by somatic motor nerves. Examples of involuntary organs include respiratory and digestive  
30 organs, and also include blood vessels and the heart. Often, the ANS functions in an involuntary, reflexive manner to regulate glands, to regulate muscles in the skin, eye, stomach, intestines and bladder, and to regulate cardiac muscle and the muscle around blood vessels, for example.

The ANS includes, but is not limited to, the sympathetic nervous system and the parasympathetic nervous system. The sympathetic nervous system is affiliated with stress and the “fight or flight response” to emergencies. Among other effects, the “fight or flight response” increases blood pressure and heart rate to increase skeletal muscle blood flow, and decreases digestion to provide the energy for “fighting or fleeing.” The parasympathetic nervous system is affiliated with relaxation and the “rest and digest response” which, among other effects, decreases blood pressure and heart rate, and increases digestion to conserve energy. The ANS maintains normal internal function and works with the somatic nervous system.

The heart rate and force is increased when the sympathetic nervous system is stimulated, and is decreased when the sympathetic nervous system is inhibited (the parasympathetic nervous system is stimulated). FIGS. 1A and 1B illustrate neural mechanisms for peripheral vascular control. FIG. 1A generally illustrates afferent nerves to vasomotor centers. An afferent nerve conveys impulses toward a nerve center. A vasomotor center relates to nerves that dilate and constrict blood vessels to control the size of the blood vessels. FIG. 1B generally illustrates efferent nerves from vasomotor centers. An efferent nerve conveys impulses away from a nerve center.

Stimulating the sympathetic and parasympathetic nervous systems can have effects other than heart rate and blood pressure. For example, stimulating the sympathetic nervous system dilates the pupil, reduces saliva and mucus production, relaxes the bronchial muscle, reduces the successive waves of involuntary contraction (peristalsis) of the stomach and the motility of the stomach, increases the conversion of glycogen to glucose by the liver, decreases urine secretion by the kidneys, and relaxes the wall and closes the sphincter of the bladder. Stimulating the parasympathetic nervous system (inhibiting the sympathetic nervous system) constricts the pupil, increases saliva and mucus production, contracts the bronchial muscle, increases secretions and motility in the stomach and large intestine, and increases digestion in the small intestine, increases urine secretion, and contracts the wall and relaxes the sphincter of the bladder. The functions associated with the sympathetic and parasympathetic nervous systems are many and can be complexly integrated with each other.

Baroreflex is a reflex triggered by stimulation of a baroreceptor. A baroreceptor includes any sensor of pressure changes, such as sensory nerve endings in the wall of the auricles of the heart, vena cava, aortic arch and carotid sinus, that is sensitive to stretching of the wall resulting from increased pressure from within, and that functions as the receptor of the central reflex mechanism that tends to reduce that pressure. Clusters of nerve cells can be referred to as autonomic ganglia. These nerve cells can also be electrically stimulated to induce a baroreflex, which inhibits the sympathetic nerve activity and stimulates parasympathetic nerve activity. Autonomic ganglia thus forms part of a baroreflex pathway. Afferent nerve trunks, such as the vagus, aortic and carotid nerves, leading from the sensory nerve endings also form part of a baroreflex pathway. Stimulating a baroreflex pathway and/or baroreceptors inhibits sympathetic nerve activity (stimulates the parasympathetic nervous system) and reduces systemic arterial pressure by decreasing peripheral vascular resistance and cardiac contractility. Baroreceptors are naturally stimulated by internal pressure and the stretching of vessel wall (e.g. arterial wall).

Some aspects of the present subject matter locally stimulate specific nerve endings in arterial walls rather than stimulate afferent nerve trunks in an effort to stimulate a desired response (e.g. reduced hypertension) while reducing the undesired effects of indiscriminate stimulation of the nervous system. For example, some embodiments stimulate baroreceptor sites in the pulmonary artery. Some embodiments of the present subject matter involve stimulating baroreceptor sites or nerve endings in the aorta and the chambers of the heart, and some embodiments of the present subject matter involve stimulating an afferent nerve trunk, such as the vagus, carotid and aortic nerves. Some embodiments stimulate afferent nerve trunks using a cuff electrode, and some embodiments stimulate afferent nerve trunks using an intravascular lead positioned in a blood vessel proximate to the nerve, such that the electrical stimulation passes through the vessel wall to stimulate the afferent nerve trunk.

FIG. 2 illustrates a heart. The heart 201 includes a superior vena cava 202, an aortic arch 203, and a pulmonary artery 204, and is useful to provide a contextual relationship with the illustrations in FIGS. 3-5. As is discussed in more detail below, the pulmonary artery 204 includes baroreceptors. A lead is capable of being intravascularly inserted through a peripheral vein and through

the tricuspid valve into the right ventricle of the heart (not expressly shown in the figure) similar to a cardiac pacemaker lead, and continue from the right ventricle through the pulmonary valve into the pulmonary artery. A portion of the pulmonary artery and aorta are proximate to each other. Various

5   embodiments stimulate baroreceptors in the aorta using a lead intravascularly positioned in the pulmonary artery. Thus, according to various aspects of the present subject matter, the baroreflex is stimulated in or around the pulmonary artery by at least one electrode intravascularly inserted into the pulmonary artery. Alternatively, a wireless stimulating device, with or without pressure

10   sensing capability, may be positioned via catheter into the pulmonary artery. Control of stimulation and/or energy for stimulation may be supplied by another implantable or external device via ultrasonic, electromagnetic or a combination thereof. Aspects of the present subject matter provide a relatively noninvasive surgical technique to implant a baroreflex stimulator intravascularly into the

15   pulmonary artery.

FIG. 3 illustrates baroreceptors in the area of the carotid sinus 305, aortic arch 303 and pulmonary artery 304. The aortic arch 303 and pulmonary artery 304 were previously illustrated with respect to the heart in FIG. 2. As illustrated in FIG. 3, the vagus nerve 306 extends and provides sensory nerve endings 307

20   that function as baroreceptors in the aortic arch 303, in the carotid sinus 305 and in the common carotid artery 310. The glossopharyngeal nerve 308 provides nerve endings 309 that function as baroreceptors in the carotid sinus 305. These nerve endings 307 and 309, for example, are sensitive to stretching of the wall resulting from increased pressure from within. Activation of these nerve endings

25   reduce pressure. Although not illustrated in the figures, the atrial and ventricular chambers of the heart also include baroreceptors. Cuffs have been placed around afferent nerve trunks, such as the vagal nerve, leading from baroreceptors to vasomotor centers to stimulate the baroreflex. According to various

30   embodiments of the present subject matter, afferent nerve trunks can be stimulated using a cuff or intravascularly-fed lead positioned in a blood vessel proximate to the afferent nerves.

FIG. 4 illustrates baroreceptors in and around a pulmonary artery 404. The superior vena cava 402 and the aortic arch 403 are also illustrated. As illustrated, the pulmonary artery 404 includes a number of baroreceptors 411, as

generally indicated by the dark area. Furthermore, a cluster of closely spaced baroreceptors is situated near the attachment of the ligamentum arteriosum 412. FIG. 4 also illustrates the right ventricle 413 of the heart, and the pulmonary valve 414 separating the right ventricle 413 from the pulmonary artery 404.

5 According to various embodiments of the present subject matter, a lead is inserted through a peripheral vein and threaded through the tricuspid valve into the right ventricle, and from the right ventricle 413 through the pulmonary valve 414 and into the pulmonary artery 404 to stimulate baroreceptors in and/or around the pulmonary artery. In various embodiments, for example, the lead is  
10 positioned to stimulate the cluster of baroreceptors near the ligamentum arteriosum 412. FIG. 5 illustrates baroreceptor fields 511 in the aortic arch 503, near the ligamentum arteriosum 512 and the trunk of the pulmonary artery 504. Some embodiments position the lead in the pulmonary artery to stimulate baroreceptor sites in the aorta.

15 Nerves can adapt to stimulation, such that the effectiveness of continuous stimulation diminishes over time. Embodiments of the present subject matter provide neural stimulation that accounts for neural adaption. FIG. 6 illustrates baroreflex adaptation using a relationship between carotid sinus pressure 615, sympathetic nerve activity (SNA) 616 and mean arterial pressure (MAP) 617.  
20 Internal pressure and stretching of the arterial wall, such as that which occurs at the carotid sinus, naturally activates the baroreflex and the baroreflex inhibits SNA. The carotid sinus pressure, the SNA and the MAP are illustrated for the following four time segments: (1) relatively low and constant carotid sinus pressure 615 indicated at 618; (2) relatively high and constant carotid sinus  
25 pressure 615 indicated at 619; (3) relatively high and pulsed carotid sinus pressure 615 indicated at 620; and (4) a return to a relatively high and constant carotid sinus pressure 615 indicated at 621. When the carotid sinus pressure is relatively low and constant, as illustrated at 618, the SNA is relatively high and constant, and the pulsating MAP is relatively high. When the carotid sinus  
30 pressure is increased to a relatively high and constant pressure at transition 622, the SNA and MAP initially decrease due to the baroreflex and then increases due to the quick adaptation of the baroreflex to the increased carotid sinus pressure. However, when the carotid sinus pressure pulsates similar to naturally-occurring blood pressure pulses, as illustrated at 620, the SNA and MAP decrease to

relatively low levels and are maintained at these relatively low levels. When the carotid sinus pressure changes from a pulsed to constant pressure at transition 623, the SNA and MAP both increase again due to the adaptation of the baroreflex. Various embodiments modulate the neural stimulation to mimic the effects of the naturally-occurring pulse pressure and prevent adaptation. For example, the amplitude, frequency, wave morphology, burst frequency and/or duration can be adjusted to abate adaptation.

### Therapies

A combination of neural stimulation and myocardial stimulation, such as from a known cardiac rhythm management (CRM) device, may be used in a number of therapies. Some of these therapies are discussed below. For example, combining neural stimulation with CRM therapy provides benefits in treating hypertension, and combining neural stimulation with cardiac rhythm therapy (CRT) provides benefits in treating cardiac remodeling.

Parameters associated with neural stimulation signals include amplitude, frequency, burst frequency, pulse width, and morphology/waveform. FIG. 7 illustrates a diagram, representing stimulation parameters 724, a region 725 representing parameters capable of being used to perform CRM stimulation, a region 726 representing parameters capable of being used to perform neural stimulation, and a region 727 representing parameters capable of being used to perform both neural stimulation and CRM stimulation. Thus, FIG. 7 illustrates that some combinations of values for these parameters will result in both myocardial and neural stimulation, other combinations of values for these parameters will result in myocardial stimulation and not neural stimulation, and other combinations of values for these parameters will result in neural stimulation and not myocardial stimulation. Embodiments of the present subject matter adjust the stimulation parameters to selectively stimulate the myocardium, the nerve system, or both the myocardium and the nerve system.

For example, nerves are generally depolarized with a higher frequency signal than is typically used to capture myocardial tissue. FIG. 8 is a graphical illustration of the relationship between a change in blood pressure and a rate of a stimulation signal. The figure illustrates that the frequency of the stimulation signal significantly affects the decrease in blood pressure, which is a surrogate

baroreflex parameter indicating the inhibition of SNA. The figure illustrates that a maximum decrease in blood pressure occurs at a stimulation frequency within a range from about 64 to about 256 Hz, and occurs approximately at 128 Hz.

Some known CRM devices are capable of providing burst pacing capable of capturing myocardial tissue and at a frequency (e.g. 50 Hz) sufficient to elicit nerve depolarization. Various embodiments adjust the frequency, amplitude and/or morphology of the burst pacing according a stimulation mode to either stimulate the myocardia and not the nervous system, to stimulate both the myocardia and the nervous system, and to stimulate the nervous system but not the myocardia.

Various embodiments of pace or stimulator modules used in the implantable medical device of the present subject matter modulate the frequency of the stimulation signal to modulate the blood pressure to mimic the effects of a naturally-occurring pulse. Various embodiments stimulate with a frequency between approximately 8 Hz and approximately 512 Hz, or various ranges within this range such as approximately 16 Hz to approximately 128 Hz, approximately 32 Hz to approximately 128 Hz, for example. Other embodiments modulate other parameters of the stimulation signal to mimic the effects of the naturally-occurring pulse, and thus prevent or reduce adaptation to neural stimulation. By preventing the baroreflex from adapting to increased baroreflex activity, for example, long-term baroreflex stimulation can be used to achieve reflex reduction in hypertension. Varying the baroreflex stimulation maintains the reflex inhibition of SNA and abates (*i.e.* nullify or reduce in degree or intensity) adaptation to increased baroreflex activity that occurs during constant stimulation.

### **CRM Therapy**

An example of CRM therapy is cardiac resynchronization therapy (CRT). However, CRM is not limited to CRT, as it includes a number of pacing modes and defibrillation modes. Clinical data has shown that cardiac resynchronization therapy (CRT), achieved through synchronized biventricular pacing, results in a significant improvement in cardiac function. It has also been reported CRT can be beneficial in preventing and/or reversing the ventricular remodeling that often occurs in post-MI and heart failure patients. The combined application of



remodeling control therapy (RCT) by controlling ventricular activation with cardiac resynchronization pacing and anti-remodeling therapy (ART) by stimulating the baroreflex in order to inhibit sympathetic activity provides a greater therapeutic benefit than either of them individually. The device controls  
5 ventricular activation through synchronized pacing of the right and left ventricles. In addition, the device may provide a combination of parasympathetic stimulation and sympathetic inhibition. Parasympathetic stimulation can be achieved through a nerve cuff electrode placed around the cervical vagus nerve bundle, while sympathetic inhibition can be achieved through baroreflex  
10 stimulation, either through a nerve cuff electrode placed around the aortic or carotid sinus nerve, or through a stimulation lead designed to stimulate baroreceptors in the pulmonary artery. The device controls the delivery of RCT and ART independently in either an open-loop or closed-loop fashion, the latter based upon a cardiac function assessment performed by the device.

15 Implantable cardiac devices that provide electrical stimulation to selected chambers of the heart have been developed in order to treat a number of cardiac disorders. A pacemaker, for example, is a device which paces the heart with timed pacing pulses, most commonly for the treatment of bradycardia where the ventricular rate is too slow. Atrio-ventricular conduction defects (i.e., AV block)  
20 and sick sinus syndrome represent the most common causes of bradycardia for which permanent pacing may be indicated. If functioning properly, the pacemaker makes up for the heart's inability to pace itself at an appropriate rhythm in order to meet metabolic demand by enforcing a minimum heart rate. Implantable devices may also be used to treat cardiac rhythms that are too fast,  
25 with either anti-tachycardia pacing or the delivery of electrical shocks to terminate atrial or ventricular fibrillation.

Implantable devices have also been developed that affect the manner and degree to which the heart chambers contract during a cardiac cycle in order to promote the efficient pumping of blood. The heart pumps more effectively when  
30 the chambers contract in a coordinated manner, a result normally provided by the specialized conduction pathways in both the atria and the ventricles that enable the rapid conduction of excitation (i.e., depolarization) throughout the myocardium. These pathways conduct excitatory impulses from the sino-atrial node to the atrial myocardium, to the atrio-ventricular node, and thence to the

ventricular myocardium to result in a coordinated contraction of both atria and both ventricles. This both synchronizes the contractions of the muscle fibers of each chamber and synchronizes the contraction of each atrium or ventricle with the contralateral atrium or ventricle. Without the synchronization afforded by the normally functioning specialized conduction pathways, the heart's pumping efficiency is greatly diminished. Pathology of these conduction pathways and other inter-ventricular or intra-ventricular conduction deficits can be a causative factor in heart failure, which refers to a clinical syndrome in which an abnormality of cardiac function causes cardiac output to fall below a level adequate to meet the metabolic demand of peripheral tissues. In order to treat these problems, implantable cardiac devices have been developed that provide appropriately timed electrical stimulation to one or more heart chambers in an attempt to improve the coordination of atrial and/or ventricular contractions, termed cardiac resynchronization therapy (CRT). Ventricular resynchronization is useful in treating heart failure because, although not directly inotropic, resynchronization can result in a more coordinated contraction of the ventricles with improved pumping efficiency and increased cardiac output. Currently, a common form of CRT applies stimulation pulses to both ventricles, either simultaneously or separated by a specified biventricular offset interval, and after a specified atrio-ventricular delay interval with respect to the detection of an intrinsic atrial contraction or delivery of an atrial pace.

It has also been found that CRT can be beneficial in reducing the deleterious ventricular remodeling which can occur in post-MI and heart failure patients. Presumably, this occurs as a result of changes in the distribution of wall stress experienced by the ventricles during the cardiac pumping cycle when CRT is applied. The degree to which a heart muscle fiber is stretched before it contracts is termed the preload, and the maximum tension and velocity of shortening of a muscle fiber increases with increasing preload. When a myocardial region contracts late relative to other regions, the contraction of those opposing regions stretches the later contracting region and increases the preload. The degree of tension or stress on a heart muscle fiber as it contracts is termed the afterload. Because pressure within the ventricles rises rapidly from a diastolic to a systolic value as blood is pumped out into the aorta and pulmonary arteries, the part of the ventricle that first contracts due to an excitatory

stimulation pulse does so against a lower afterload than does a part of the ventricle contracting later. Thus a myocardial region which contracts later than other regions is subjected to both an increased preload and afterload. This situation is created frequently by the ventricular conduction delays associated with heart failure and ventricular dysfunction due to an MI. The increased wall stress to the late-activating myocardial regions is most probably the trigger for ventricular remodeling. By pacing one or more sites in a ventricle in a manner which causes a more coordinated contraction, CRT provides pre-excitation of myocardial regions which would otherwise be activated later during systole and experience increased wall stress. The pre-excitation of the remodeled region relative to other regions unloads the region from mechanical stress and allows reversal or prevention of remodeling to occur.

### Neural Stimulation Therapies

One neural stimulation therapy involves treating hypertension by stimulating the baroreflex for sustained periods of time sufficient to reduce hypertension. Another therapy involves preventing and/or treating ventricular remodeling. Activity of the autonomic nervous system is at least partly responsible for the ventricular remodeling which occurs as a consequence of an MI or due to heart failure. It has been demonstrated that remodeling can be affected by pharmacological intervention with the use of, for example, ACE inhibitors and beta-blockers. Pharmacological treatment carries with it the risk of side effects, however, and it is also difficult to modulate the effects of drugs in a precise manner. Embodiments of the present subject matter employ electrostimulatory means to modulate autonomic activity, referred to as anti-remodeling therapy or ART. When delivered in conjunction with ventricular resynchronization pacing, such modulation of autonomic activity acts synergistically to reverse or prevent cardiac remodeling.

Increased sympathetic nervous system activity following ischemia often results in increased exposure of the myocardium to epinephrine and norepinephrine. These catecholamines activate intracellular pathways within the myocytes, which lead to myocardial death and fibrosis. Stimulation of the parasympathetic nerves (vagus) inhibits this effect. According to various embodiments, the present subject matter selectively activates the vagal cardiac

nerves in addition to CRT in heart failure patients to protect the myocardium from further remodeling and arrhythmogenesis. Other potential benefits of stimulating vagal cardiac nerves in addition to CRT include reducing inflammatory response following myocardial infarction, and reducing the electrical stimulation threshold for defibrillating. For example, when a ventricular tachycardia is sensed, vagal nerve stimulation is applied, and then a defibrillation shock is applied. The vagal nerve stimulation allows the defibrillation shock to be applied at less energy.

FIG. 9A illustrates an implantable medical device (IMD) with leads extending into a heart; and FIGS. 9B and 9C illustrate an implantable medical device with endocardial and epicardial leads, respectively. FIG. 9A illustrates the IMD 928, including a pulse generator 929 and a header 930. Leads 931 are attached to the header and are appropriately guided to place electrodes on the lead in position to provide the desired stimulation response.

As illustrated in FIGS. 9B and 9C, the heart 932 includes a superior vena cava 933, an aortic arch 934, and a pulmonary artery 935. CRM leads 936 pass nerve sites that can be stimulated in accordance with the present subject matter. FIG. 9B illustrates transvascularly fed leads, and FIG. 9C illustrates epicardial leads. Examples of electrode positions are provided in the drawings by the symbol "X". For example, CRM leads are capable of being intravascularly inserted through a peripheral vein and into the coronary sinus, and are capable of being intravascularly inserted through a peripheral vein and through the tricuspid valve into the right ventricle of the heart (not expressly shown in the figure) similar to a cardiac pacemaker lead, and continue from the right ventricle through the pulmonary valve into the pulmonary artery. The coronary sinus and pulmonary artery are provided as examples of vasculature proximate to the heart in which a lead can be intravascularly inserted to stimulate nerves within or proximate to the vasculature. Thus, according to various aspects of the present subject matter, nerves are stimulated in or around vasculature located proximate to the heart by at least one electrode intravascularly inserted therein.

FIGS. 10A and 10B illustrate the right side and left side of the heart, respectively, and further illustrate cardiac fat pads which provide neural targets for some neural stimulation therapies. FIG. 10A illustrates the right atrium 1037, right ventricle 1038, sinoatrial node 1039, superior vena cava 1033,

inferior vena cava 1040, aorta 1041, right pulmonary veins 1042, and right pulmonary artery 1043. FIG. 10A also illustrates a cardiac fat pad 1044 between the superior vena cava and aorta. Neural targets in the cardiac fat pad 1044 are stimulated in some embodiments using an electrode screwed into or otherwise placed in the fat pad, and are stimulated in some embodiments using an intravenously-fed lead proximately positioned to the fat pad in a vessel such as the right pulmonary artery or superior vena cava, for example. FIG. 10B illustrates the left atrium 1045, left ventricle 1046, right atrium 1037, right ventricle 1038, superior vena cava 1033, inferior vena cava 1040, aorta 1041, right pulmonary veins 1042, left pulmonary vein 1047, right pulmonary artery 1043, and coronary sinus 1048. FIG. 10B also illustrates a cardiac fat pad 1049 located proximate to the right cardiac veins and a cardiac fat pad 1050 located proximate to the inferior vena cava and left atrium. Neural targets in the fat pad 1049 are stimulated in some embodiments using an electrode screwed into the fat pad 1049, and are stimulated in some embodiments using an intravenously-fed lead proximately positioned to the fat pad in a vessel such as the right pulmonary artery 1043 or right pulmonary vein 1042, for example. Neural targets in the fat pad 1050 are stimulated in some embodiments using an electrode screwed into the fat pad, and are stimulated in some embodiments using an intravenously-fed lead proximately positioned to the fat pad in a vessel such as the inferior vena cava 1040 or coronary sinus or a lead in the left atrium 1045, for example.

In various embodiments, a neural stimulation channel uses a lead adapted to be intravascularly disposed to transvascularly stimulate an appropriate nerve, e.g., near a baroreceptor to provide a sympathetic inhibition or near a parasympathetic nerve to provide parasympathetic stimulation. Some CRT devices include an atrial lead to pace and/or sense the right atrium, a right ventricle lead to pace and/or sense the right ventricle, and a left ventricle lead fed through the coronary sinus to a position to pace and/or sense the left ventricle, such as illustrated in FIGS. 9B and 9C. A lead within the coronary sinus is capable of being used to transvascularly stimulate target parasympathetic nerves anatomically located on the extravascular surface of the coronary sinus at a strength sufficient to elicit depolarization of adjacent nerves, and is also capable

of being used to deliver cardiac resynchronization therapy with appropriately timed pacing pulses at a site proximate to the left ventricle, for example.

Various lead embodiments implement a number of designs, including an expandable stent-like electrode with a mesh surface dimensioned to abut a wall  
5 of a predetermined blood vessel, a coiled electrode(s), a fixed screw-type electrode(s), and the like. Various embodiments place the electrode(s) inside the blood vessel, into the wall of the blood vessel, or a combination of at least one electrode inside the blood vessel and at least one electrode into the wall of the blood vessel. The neural stimulation electrode(s) can be integrated into the same  
10 lead used for CRT or in another lead in addition to CRT lead(s).

Intravascularly-fed leads adapted to transvascularly stimulate a target outside of the vessel, also referred to herein as transvascular leads, can be used to stimulate other nerve sites. For example, an embodiment feeds a transvascular stimulation lead into the right azygos vein to stimulate the vagus  
15 nerve; and an embodiment feeds a transvascular stimulation lead into the internal jugular vein to stimulate the vagus nerve. Various embodiments use at least one lead intravascularly fed along a lead path to transvascularly apply neural stimulation and electrically stimulate a cardiac muscle, such as ventricular pacing, as part of CRT.

20 Other transvascular locations have been mentioned with respect to FIGS. 10A and 10B. Depending on the intravascular location of the neural stimulation electrode(s), the right vagal branch, the left vagal branch or a combination of the right and left vagal branches are capable of being stimulated. The left and right vagal branches innervate different areas of the heart, and thus provide different  
25 results when stimulated. According to present knowledge, the right vagus nerve appears to innervate the right side of the heart, including the right atrium and right ventricle, and the left vagus nerve appears to innervate the left side of the heart, including the left atrium and left ventricle. Stimulation of the right vagus has more chronotropic effects because the sinus node is on the right side of the  
30 heart. Thus, various embodiments selectively stimulate the right vagus nerve and/or the left vagus nerve to selectively control contractility, excitability, and inflammatory response on the right and/or left side of the heart. Since the venous system is for the most part symmetrical, leads can be fed into an appropriate vessel to transvascularly stimulate the right or left vagus nerve. For

example, a lead in the right internal jugular vein can be used to stimulate the right vagus nerve and a lead in the left internal jugular vein can be used to stimulate the left vagus nerve.

5 The stimulation electrode(s) are not in direct neural contact with the nerve when the transvascular approach to peripheral nerve stimulation is used. Thus, problems associated with neural inflammation and injury commonly associated with direct contact electrodes are reduced.

10 In an embodiment of the invention, an implantable device for delivering cardiac therapy to post-MI patients includes one or more pacing channels for delivering pacing pulses to one or more ventricular sites and a neural stimulation channel for stimulating nerves. The controller is programmed to deliver remodeling control therapy (RCT) by delivering ventricular pacing in a cardiac resynchronization mode which pre-excites a region of the ventricular myocardium so as to mechanically unload that region during systole. The  
15 cardiac resynchronization therapy may be delivered as biventricular pacing where one of the ventricles is pre-excited relative to the other as determined by a programmed biventricular offset interval. In an embodiment in which patients suffer from delayed activation of the left ventricle, a left ventricle-only resynchronization pacing mode is employed. In another embodiment, the pacing  
20 therapy may be delivered as multi-site ventricular pacing where at least one of the ventricles is paced at a plurality of sites so as to pre-excite one or more of the sites relative to the other sites. In any case, the ventricular pacing may be delivered in a non-atrial tracking mode where a ventricular escape interval is defined between ventricular paces, or in an atrial tracking mode where the  
25 ventricular paces are delivered after a defined atrio-ventricular escape interval following an atrial sense. In a patient who is chronotropically incompetent, an atrial pacing channel may also be provided for pacing the atria, with the ventricular pace(s) delivered upon expiration of the atrio-ventricular escape interval following the atrial pace.

30 The controller is further programmed to deliver anti-remodeling therapy (ART) in conjunction with the RCT using a lead incorporating an electrode adapted for disposition near an arterial baroreceptor or afferent nerve of a baroreflex arc. Stimulation of the baroreflex arc results in inhibition of sympathetic activity. The electrode may be intravascularly positioned in a blood

vessel or elsewhere proximate to a baroreceptor or afferent nerve such as in a pulmonary artery or a cardiac fat pad. In another embodiment, the device delivers the anti-remodeling therapy by stimulating parasympathetic nerve activity. The electrode may be a nerve cuff electrode adapted for disposition  
5 around a parasympathetic nerve or an intravascular electrode for transvascularily stimulating a parasympathetic nerve adjacent to a blood vessel.

The device may be programmed to deliver RCT and ART in open-loop fashion where the RCT and ART are delivered simultaneously or separately at programmed intervals. In another embodiment, the device is programmed to  
10 deliver RCT and ART in closed-loop fashion, where the intensities of RCT and ART are modulated in accordance with an assessment of cardiac function performed by the controller.

The device may also separately modulate the intensities of parasympathetic stimulation and sympathetic inhibition which are delivered as  
15 part of the ART in accordance with the assessment of cardiac function. Cardiac function may be assessed by the device using several different modalities, either alone or in combination. In one embodiment, the device incorporates a sensor for measuring cardiac output, and the controller is programmed to modulate the delivery of RCT and ART in accordance with the measured cardiac output. As  
20 described above, such a cardiac output sensor may be a trans-thoracic impedance measuring circuit. A means for assessing cardiac function is an arterial blood pressure sensor, where the controller is programmed to modulate the delivery of RCT and ART in accordance with the measured blood pressure. The blood pressure sensor may take the form of a pressure transducer and lead  
25 adapted for disposition within an artery. A measure of the patient's respiratory activity taken by a minute ventilation sensor may be used as a surrogate for blood pressure. Cardiac function may also be assessed by measuring the patient's exertion level (e.g., using either a minute ventilation sensor or an accelerometer) together with a measure of cardiac output and/or blood pressure,  
30 where the controller is then programmed to modulate the delivery of RCT and ART in accordance with the combined measurements.

In an embodiment, the cardiac function assessment includes an assessment of the patient's autonomic balance. Autonomic balance may be assessed directly with a sensing channel for measuring electrical activity in



sympathetic and parasympathetic nerves with appropriately positioned sensing electrodes, or if the patient is chronotropically competent, by measuring the intrinsic heart rate. As described above, measuring heart rate variability provides one means for assessing autonomic balance. Thus, the device may include circuitry for measuring and collecting time intervals between successive intrinsic beats, referred to as a BB interval, where the BB interval may be an interval between successive atrial or ventricular senses. The device stores the collected intervals as a discrete BB interval signal, filters the BB interval signal into defined high and low frequency bands, and determines the signal power of the BB interval signal in each of the low and high frequency bands, referred to LF and HF, respectively. The device then computes an LF/HF ratio and assesses autonomic balance by comparing the LF/HF ratio to a specified threshold value.

#### Implantable Medical Device

Examples of cardiac stimulators include implantable cardiac rhythm management (CRM) devices such as pacemakers, implantable cardiac defibrillators (ICDs), and implantable devices capable of performing pacing and defibrillating functions. An example of a pacemaker is a device to perform cardiac resynchronization therapy.

FIG. 11 illustrates an embodiment of an implantable medical device 1128. The illustrated device includes a pulse generator 1129, and the pulse generator includes a controller 1151 to communicate with a memory 1152, a telemetry interface 1153 for use in communicating with a programmer (not illustrated) of the implantable medical device, and a stimulating/sensing hardware platform 1154. The illustrated hardware platform includes a sense module 1155, a pace module 1156, and switches 1157 for use to operably connect the sense module and the pace module to the electrodes 1158A and 1158B. The illustrated electrodes can be two electrodes on one lead, such as a tip and ring electrode or can be on separate leads. Additionally, one of the electrodes can be a conductive portion, also referred to as a “can”, of the implantable medical device. The illustrated controller 1151 includes a pace / sense control module 1159 to control the switches and selectively enable the sense module to operably connect to the electrodes and sense a potential across

the electrodes or the pace module to operably connect to the electrodes and apply a pacing signal to generate a pacing potential between the electrodes to provide a desired electrical stimulus to a patient.

The illustrated controller 1151 includes a stimulation mode module 1160, and the illustrated pace module includes adjustable parameters 1161, such as, for example, amplitude, frequency, waveform, and pacing mode. The parameters of the pace module are able to be adjusted to selectively provide a neural stimulation signal to the electrodes or a myocardial stimulation signal to the electrodes. In some embodiments, the parameters are able to be adjusted to selectively apply a neural stimulation signal adapted to simultaneously provide myocardial and neural stimulation. According to various embodiments, the stimulation mode module is adapted to selectively apply CRM or myocardial stimulation using the electrodes, neural stimulation using the electrodes, selectively alternate between myocardial and neural stimulation using the electrodes according to a desired therapy, and/or simultaneously apply both myocardial and neural stimulation using the electrodes. The illustrated pace module includes adjustable parameters.

FIG. 12 is a simplified schematic illustration of a pace module 1256 for the hardware platform illustrated in FIG. 11. The illustrated pace module includes a connection to a power supply 1261 (e.g. the battery of the implantable medical device), a pacing capacitor 1262, a charge switch 1263 and a discharge switch 1264. The charge switch is closed and the discharge switch is opened when a charge is being stored from the power supply onto the pacing capacitor, and the charge switch is opened and the discharge switch is closed to discharge the pacing capacitor as a pacing signal across the electrodes 1258A and 1258B. Some embodiments include a discharge capacitor 1265 in series with the discharge circuit to attenuate the polarization voltages or after potentials which follow the application of a stimulation pulse to allow the sensing module to sense an intrinsic potential between the electrodes. Additional circuitry can be added to control and selectively adjust the potential across a charged capacitor, to adjust the duration and attenuation of a discharge signal, to adjust the waveform or morphology of the discharge signal, to adjust the frequency of the discharge signal, and to provide burst pacing, for example. Those of ordinary skill in the art, upon reading and comprehending this disclosure, would

understand how to design a pace module to provide these adjustable parameters and incorporate the pace modules in a design of an implantable medical device to allow the controller to selectively apply a signal to stimulate heart muscle, a signal to stimulate a neural response, and in some embodiments, a stimulation  
5 signal to provide both myocardial and neural stimulation.

The device illustrated in FIG. 12 shows a simplified device with signal paths 1266A and 1266B to two electrodes. Each signal path used to apply a stimulation signal and used to sense a stimulation signal can be referred to as a channel. The implantable medical device can be designed with switches to  
10 selectively connect one or more electrodes to each channel, or the implantable the electrode(s) can be designed such that each channel is connected to predetermined electrode(s). Each channel is capable of being individually controlled to send a stimulation signal to a predetermined electrode(s).

FIG. 13 illustrates a multi-channel embodiment of an implantable  
15 medical device. The illustrated device 1328 includes a pulse generator 1329, and the pulse generator includes a controller 1351 to communicate with a memory 1352, a telemetry interface 1353 for use in communicating with a programmer of the implantable medical device, and a hardware platform 1354. The illustrated hardware platform includes a sense module 1355, a stimulation or  
20 pace module 1356, and switches 1357 for use to operably connect the sense module and the pace module to a header 1330. The header includes one or more ports 1367 to receive a lead 1368. Each lead can include one or more electrodes. The switches selectively provide desired connections between the sense and pace modules and the ports in the header to provide desired pace channels between  
25 the pace module and desired electrode(s) on the lead(s), and to provide desired sense channels between the sense module and desired electrode(s) on the lead(s). In various embodiments, the can of the implantable medical device is used as an electrode. Some embodiments of the pace module 1356 include circuitry to independently and simultaneously provide stimulation signals on multiple  
30 channels.

The controller includes a pace/sense control module to control the switches and selectively enable the sense module to operably connect to the electrodes and sense a potential across the electrodes or the pace module to operably connect to the electrodes and apply a pacing signal to generate a pacing

potential between the electrodes to provide a desired electrical stimulus to a patient.

The illustrated controller includes a stimulation mode module 1360, and the illustrated pace module 1356 includes adjustable stimulation parameters, including burst pacing parameters. The parameters of the pace module are able to be adjusted to selectively provide a neural stimulation signal to selected electrodes or a myocardial stimulation signal to selected electrodes. In some embodiments, the stimulation parameters of the pace module are able to be adjusted to selectively apply a neural stimulation signal adapted to simultaneously provide myocardial and neural stimulation. According to various embodiments, the stimulation mode module is adapted to selectively apply CRM or myocardial stimulation using the electrodes, neural stimulation using the electrodes, selectively alternate between myocardial and neural stimulation using the electrodes according to a desired therapy, and/or simultaneously apply both myocardial and neural stimulation using the electrodes.

#### Lead Arrangements

The leads can be placed in a number of physiological locations. Some examples have been provided above. An implantable device embodiment contains one or more myocardial stimulation leads, as well as one or more neural leads. Examples of neural stimulation leads include an expandable stimulation lead, such as a stent-like lead, placed in the pulmonary artery in the proximity of a high concentration of baroreceptors; a transvascular lead placed proximal to one of the cardiac fat pads, or an epicardial lead placed in the cardiac fat pad; and a cuff electrode placed around a nerve trunk, such as the aortic, carotid or vagus nerve.

In an embodiment, myocardial stimulation and neural stimulation are provided using the same lead(s) using different electrodes on the lead(s) or using the same electrodes on the lead(s). In some embodiments, the same lead can be used to simultaneously provide neural stimulation and myocardial stimulation or to provide neural stimulation and to provide myocardial stimulation at different times than the neural stimulation. In some embodiments, the leads are dedicated to either neural stimulation or stimulation of myocardial tissue. In embodiments that use dedicated leads, the controller of the implantable medical device is able

to select the stimulation mode for the pace channel to the dedicated lead, and does not alternate between CRM and neural stimulation modes.

### Stimulation Waveforms

5           The present subject matter provides a hardware platform that is capable of providing neural stimulation and CRM/myocardial stimulation, and provides a means to selectively choose a pacing mode to control the hardware platform to provide the desired stimulation. CRM therapy typically uses pacing signals with a relatively larger amplitude and lower frequency than neural stimulation signals.

10          The stimulation parameters can be appropriately adjusted for the desired stimulation mode. Some stimulation signals have parameters sufficient for both CRM/myocardial stimulation and neural stimulation. Thus, some embodiments of the present subject matter provide a mode to provide simultaneous CRM and neural stimulation. For example, a CRM stimulation waveform can be designed

15          to have harmonic frequencies capable of stimulating nervous system

FIGS. 14A, 14B and 14C illustrate examples of waveforms used to provide myocardial and neural stimulation. FIG. 14 A illustrates a stimulation waveform applied between at least two electrodes that alternates between a CRM stimulation pulse 1470 and a neural stimulation 1471, which is illustrated as a

20          higher frequency signal. The CRM stimulation and neural stimulation need not alternate, as some embodiments apply the CRM and/or neural stimulation only as a as-needed bases according to a closed-loop feedback of sensed physiological parameter(s) (e.g. demand pacing for CRM stimulation, and sensed blood pressure for neural stimulation). In such embodiments, a time domain

25          multiplexing scheme is used, where any CRM stimulation to be applied is provided in one portion of a timing period and any neural stimulation to be applied is provided in another portion of the timing period.

FIG. 14B illustrates another stimulation waveform applied between at least two electrodes. The illustrated waveform illustrates an example of

30          simultaneous myocardial and neural stimulation. The waveform has a signal frequency sufficient to elicit depolarization of nerves. The amplitude of the signal increases to a potential sufficient to capture heart muscle, such as illustrated at 1472.

FIG. 14C illustrates another waveform applied between at least two electrodes. The illustrated waveform provides CRM stimulation pulses with an amplitude sufficient to capture heart muscle. The CRM stimulation signal attenuates after the heart muscle is captured at 1473 to provide neural stimulation 1474 on the same electrodes.

In some embodiments, the stimulation channel is designated during programming to either provide CRM or neural stimulation. In some embodiments, the stimulation channel is designated to either provide CRM or neural stimulation during the assembly of the implantable device via hardwiring, software, or logic circuits.

### Methods

The present subject matter is capable of providing neural and CRM stimulation therapies over one stimulation channel. Some embodiments involve operating a CRM hardware platform, designed to capture heart muscle, in a mode with stimulation parameters selected to depolarize nerves. For example, the CRM hardware platform may be operated in a burst pacing mode with a relatively low amplitude and a relatively high frequency to provide neural stimulation.

Certain stimulation channels can be programmed to be dedicated to either CRM pacing or neural stimulation. In some embodiments, the stimulation channels are able to intermittently and distinctly perform CRM pacing and neural stimulation. The different stimulation modes are performed at different times, such as can be done with a time domain multiplexing of the stimulation channel. In some embodiments, the stimulation channels are able to transmit a stimulation signal to simultaneously stimulate the heart muscle and a desired neural response. For example, a higher frequency neural stimulation signal can be modulated on a lower frequency CRM stimulation signal.

FIG. 15 illustrates a method to selectively provide myocardial and/or neural stimulation over a stimulation channel of the implantable medical device. In the illustrated method, the desired stimulation is determined at 1575. If CRM stimulation is desired, the process proceeds to 1576 and the device enters a CRM stimulation mode. As represented at 1577, CRM stimulation parameters are used to apply CRM according to appropriate CRM algorithms using a pacing

hardware platform. If, at 1575, it is determined that it is desired to provide neural stimulation therapy, the process proceeds to 1578 to enter a neural stimulation. As represented at 1579, neural stimulation parameters are used to apply neural stimulation according to appropriate algorithms using the pacing  
5 hardware platform, which is the same platform used to provide CRM stimulation. According to some embodiments, if at 1575 it is desired to simultaneously provide both CRM and neural stimulation, the process proceeds to 1580 where the devices enters a CRM and NS mode. As represented at 1581, parameters are used to apply a stimulation signal to provide both CRM and NS  
10 pacing using the pacing platform of the device.

One of ordinary skill in the art will understand that, the modules and other circuitry shown and described herein can be implemented using software, hardware, and combinations of software and hardware. As such, the term module is intended to encompass software implementations, hardware  
15 implementations, and software and hardware implementations.

The methods illustrated in this disclosure are not intended to be exclusive of other methods within the scope of the present subject matter. Those of ordinary skill in the art will understand, upon reading and comprehending this disclosure, other methods within the scope of the present subject matter. The  
20 above-identified embodiments, and portions of the illustrated embodiments, are not necessarily mutually exclusive. These embodiments, or portions thereof, can be combined. For example, various embodiments combine two or more of the illustrated processes. In various embodiments, the methods provided above are implemented as a computer data signal embodied in a carrier wave or propagated  
25 signal, that represents a sequence of instructions which, when executed by a processor cause the processor to perform the respective method. In various embodiments, methods provided above are implemented as a set of instructions contained on a computer-accessible medium capable of directing a processor to perform the respective method. In various embodiments, the medium is a  
30 magnetic medium, an electronic medium, or an optical medium.

Although specific embodiments have been illustrated and described herein, it will be appreciated by those of ordinary skill in the art that any arrangement which is calculated to achieve the same purpose may be substituted for the specific embodiment shown. This application is intended to cover

adaptations or variations of the present subject matter. It is to be understood that the above description is intended to be illustrative, and not restrictive.

Combinations of the above embodiments as well as combinations of portions of the above embodiments in other embodiments will be apparent to those of skill  
5 in the art upon reviewing the above description. The scope of the present subject matter should be determined with reference to the appended claims, along with the full scope of equivalents to which such claims are entitled.



What is claimed is:

1. An implantable device, comprising:  
at least one port, each port being adapted to connect a lead with an electrode  
5 to the device;  
a stimulation platform, including a sensing circuit connected to the at least  
one port to sense an intrinsic cardiac signal and a stimulation circuit connected to  
the at least one port via a stimulation channel to deliver a stimulation signal through  
the stimulation channel to the electrode, the stimulation circuit being adapted to  
10 deliver stimulation signals through the stimulation channel for both neural  
stimulation therapy and CRM therapy, the sensing circuit and the stimulation circuit  
being adapted to perform CRM functions; and  
a controller connected to the sensing circuit and the stimulation circuit, the  
controller being adapted to control the neural stimulation therapy and the CRM  
15 therapy.
2. The device of claim 1, wherein the controller is adapted to deliver a neural  
stimulation signal over the stimulation channel to the electrode during a first time  
and to deliver a CRM signal over the stimulation channel to the electrode during a  
20 second time that is separate from the first time.
3. The device of claim 2, wherein the controller is adapted to alternate between  
delivering the neural stimulation signal and the CRM signal.

4. The device of claim 1, wherein the stimulation channel to the electrode is a first stimulation channel to a first electrode, the device further comprising a second stimulation channel to a second electrode, the stimulation circuit being adapted to deliver stimulation signals through the first stimulation channel for both neural stimulation therapy and CRM therapy and through the second stimulation channel for both neural stimulation therapy and CRM therapy, wherein the controller is adapted to control the stimulation circuit to provide a neural stimulation signal for neural stimulation therapy through the first stimulation channel to the first electrode and to provide a CRM stimulation signal for CRM therapy through the second stimulation channel to the second electrode.

5. The device of claim 1, wherein the stimulation circuit is adapted to deliver a stimulation signal through the stimulation channel to the electrode to simultaneously provide neural stimulation therapy and CRM therapy.

15

6. The device of claim 1, wherein:  
the controller is adapted to control a stimulation mode for the stimulation channel;  
the controller controls the stimulation circuit to deliver neural stimulation signals over the stimulation channel to the electrode for a neural stimulation mode;  
and  
the controller controls the stimulation circuit to deliver CRM stimulation signals over the stimulation channel to the electrode for a CRM stimulation mode.

20

7. The device of claim 6, wherein, for a combined neural stimulation and CRM stimulation mode, the controller is further adapted to control the stimulation circuit to deliver stimulation signals over the stimulation channel to the electrode where the stimulation signals are effective for simultaneously providing neural stimulation therapy and CRM therapy.

30

8. The device of claim 6, wherein the stimulation circuit is adapted to adjust at least one an amplitude and a frequency of the stimulation signal when changing between the neural stimulation and CRM therapies.
- 5 9. An implantable medical device, comprising:  
a pace module;  
a stimulation channel to conduct an electrical signal from the pace module to an electrode;  
means for determining a desired therapy to be delivered through the  
10 stimulation channel to the electrode;  
means for delivering a cardiac rhythm management (CRM) stimulation signal through the stimulation channel to the electrode to capture a heart muscle when a CRM therapy is desired; and  
means for delivering a neural stimulation signal through the stimulation  
15 channel to the electrode to elicit a neural response when a neural stimulation therapy is desired.
10. The device of claim 9, further comprising means for delivering a stimulation signal through the stimulation channel to simultaneously provide the neural  
20 stimulation therapy and the CRM stimulation therapy.
11. The device of claim 9, further comprising means for delivering the CRM stimulation signal to the electrode during a first time and delivering the neural stimulation signal to the electrode during a second time that is separate from the first  
25 time.

12. The device of claim 9, wherein the stimulation channel includes a first stimulation channel and the electrode includes a first electrode, the device further comprising:

5 a second stimulation channel to conduct an electrical signal from the pace module to a second electrode;

means for selecting from an available CRM stimulation mode or neural stimulation mode for the first stimulation channel, and delivering a CRM stimulation signal through the first stimulation channel to the first electrode; and

10 means for selecting from an available CRM stimulation mode or neural stimulation mode for the second stimulation channel, and delivering a neural stimulation signal through the second stimulation channel to the second electrode.

13. The device of claim 12, wherein the means for selecting from an available CRM stimulation mode or neural stimulation mode includes means for adjusting at  
15 least one of an amplitude and a frequency of a stimulation signal generated by the pace module.

14. A method for making an implantable medical device, comprising:  
connecting a controller to a memory;  
20 connecting the controller to a sensing module adapted to sense intrinsic cardiac signals over a sensing channel from an electrode;  
connecting the controller to a stimulation module adapted to generate stimulation signals on a stimulation channel to the electrode; and  
storing computer instructions in the memory to be performed by the  
25 controller, the computer instructions including instructions to perform a neural stimulation therapy using the stimulation module and to perform a cardiac rhythm management (CRM) therapy using the sensing module and the stimulation module, the computer instructions further including instructions to receive a therapy selection input, to generate a neural stimulation signal on the stimulation channel to  
30 the electrode if the neural stimulation therapy is selected, and to generate a CRM

stimulation signal on the stimulation channel to the electrode if the neural CRM therapy is selected.

15. The method of claim 14, wherein:

5 the stimulation channel is a first stimulation channel and the electrode is a first electrode;

the stimulation module is adapted to generate stimulation signals on a second stimulation channel to a second electrode;

10 the computer instructions stored in the memory further include instructions to select a therapy mode for each of the first and second stimulation channels, and to generate a corresponding stimulation signal on the first and second stimulation channels according to the selected therapy mode.

16. The method of claim 15, wherein the therapy mode is selected from a group  
15 comprising a neural stimulation therapy mode, and a CRM stimulation therapy mode.

17. The method of claim 15, wherein the therapy mode is selected from a group  
20 comprising a neural stimulation therapy mode, a CRM stimulation therapy mode, and a combined neural stimulation and CRM stimulation therapy mode.

18. The method of claim 14, wherein the computer instructions include instructions to generate the neural stimulation signal on the stimulation channel to the electrode during a first time, and to generate a CRM stimulation signal on the  
25 stimulation channel to the electrode during a second time separate from the first time.

19. The method of claim 18, wherein the instructions include instructions to generate the neural stimulation signal and the CRM stimulation signal using a time  
30 division multiplexing scheme.

20. The method of claim 14, wherein the instructions include instructions to generate a stimulation signal on the stimulation channel to simultaneously perform the neural stimulation therapy and the CRM therapy.

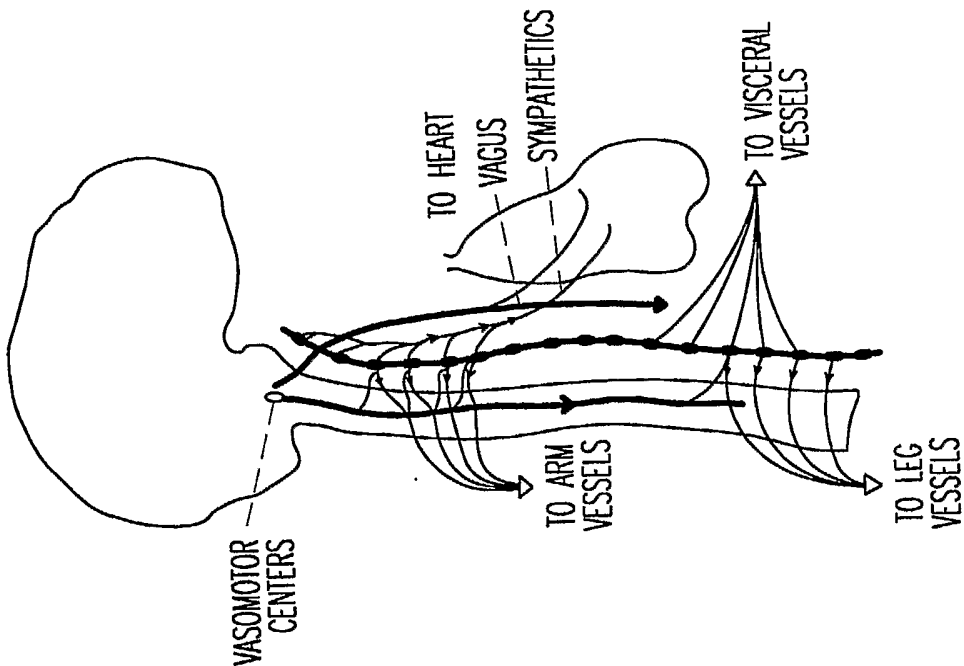


FIG. 1B

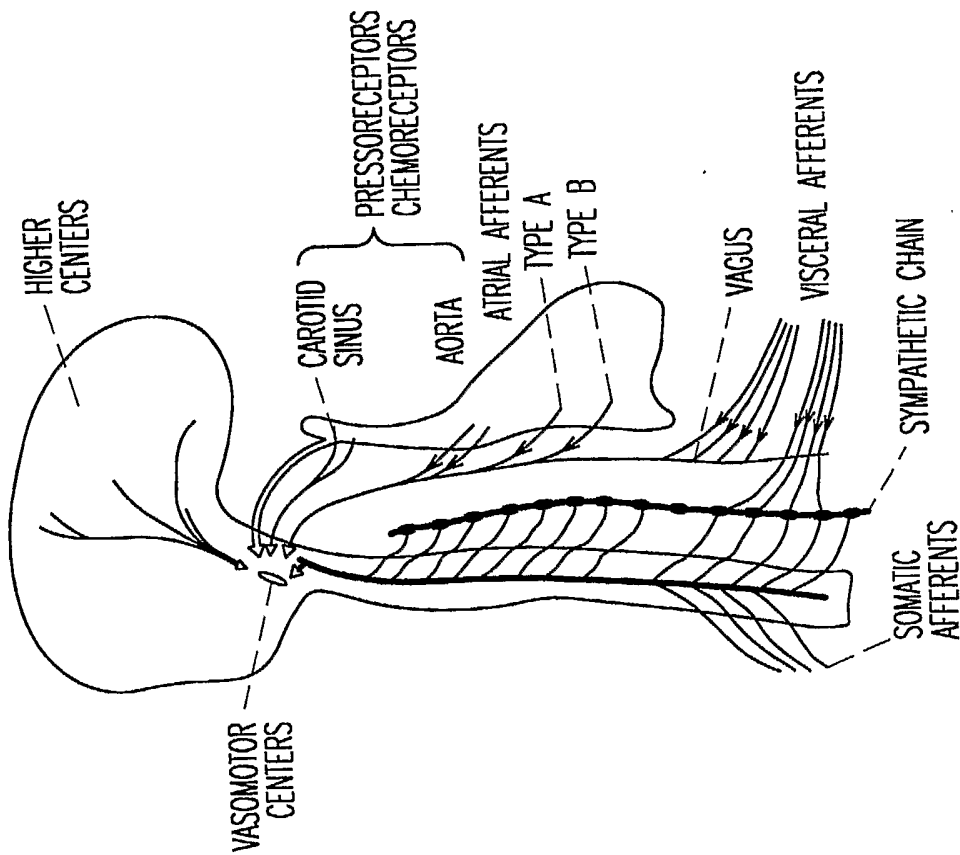
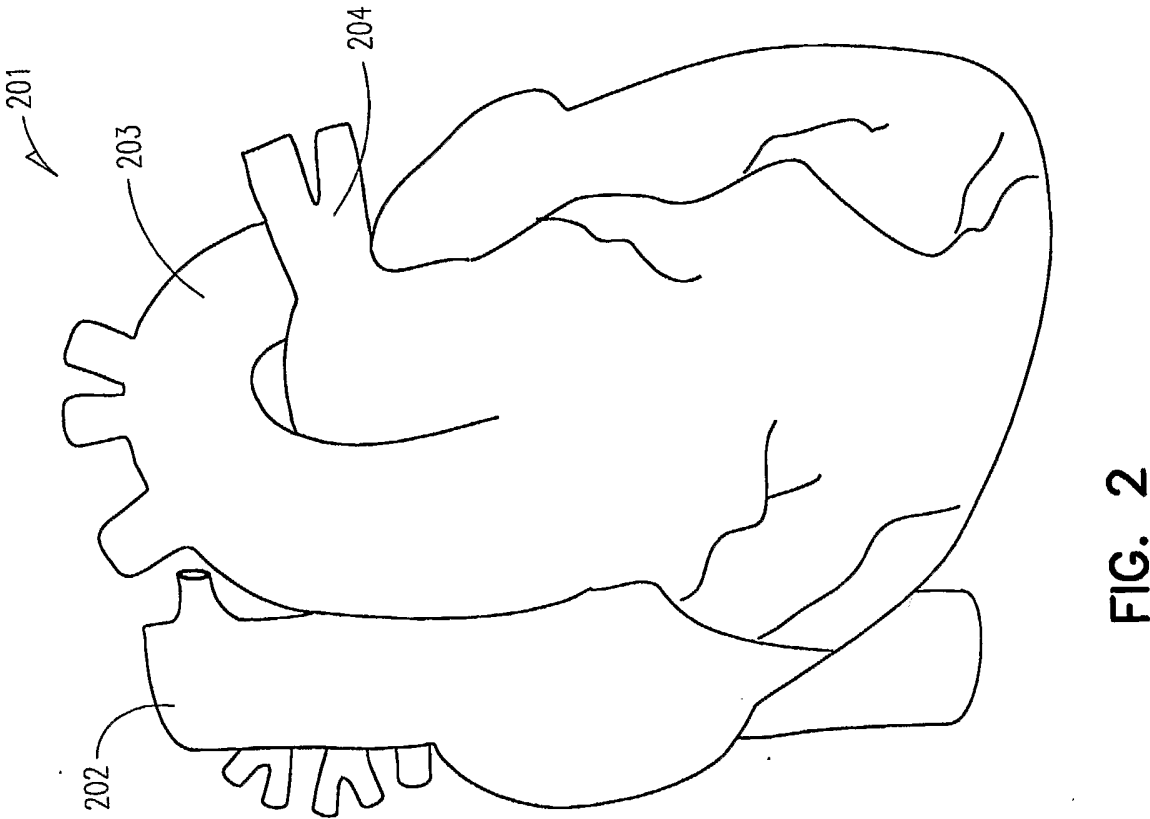
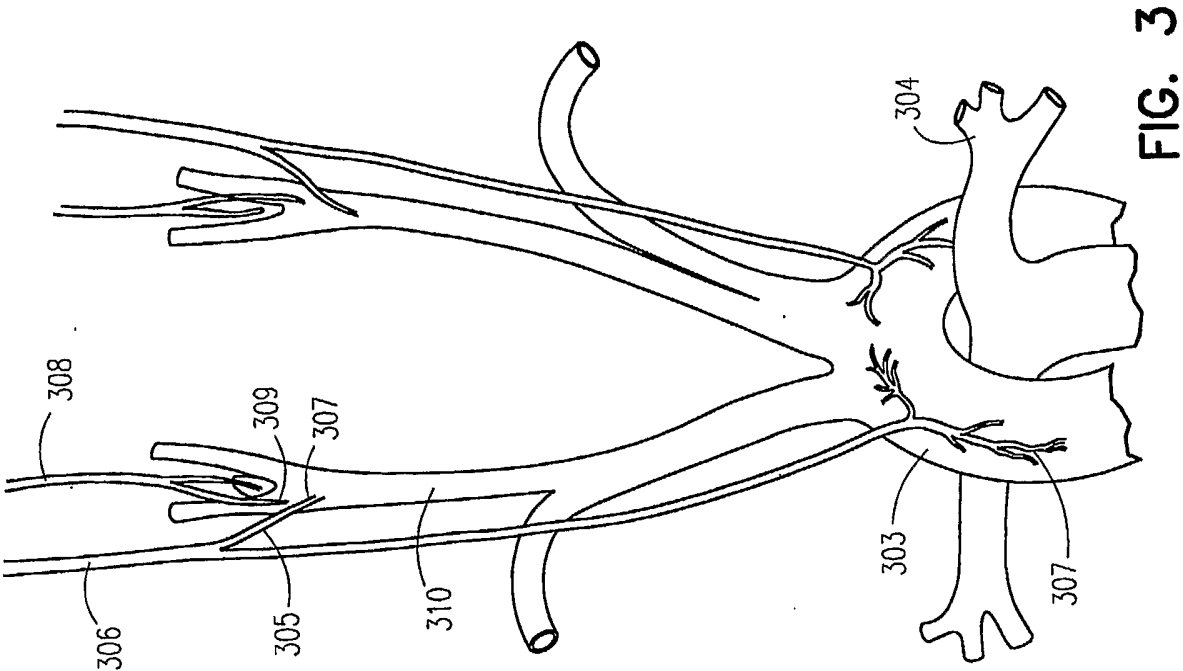


FIG. 1A





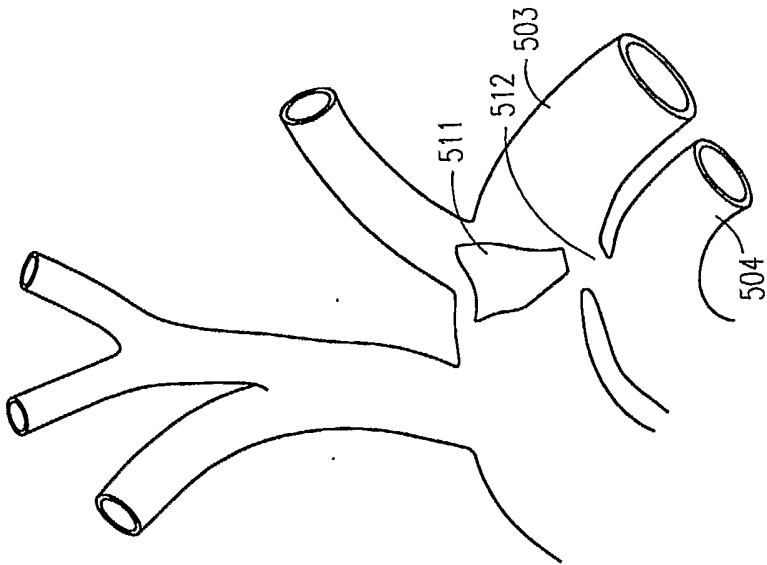


FIG. 5

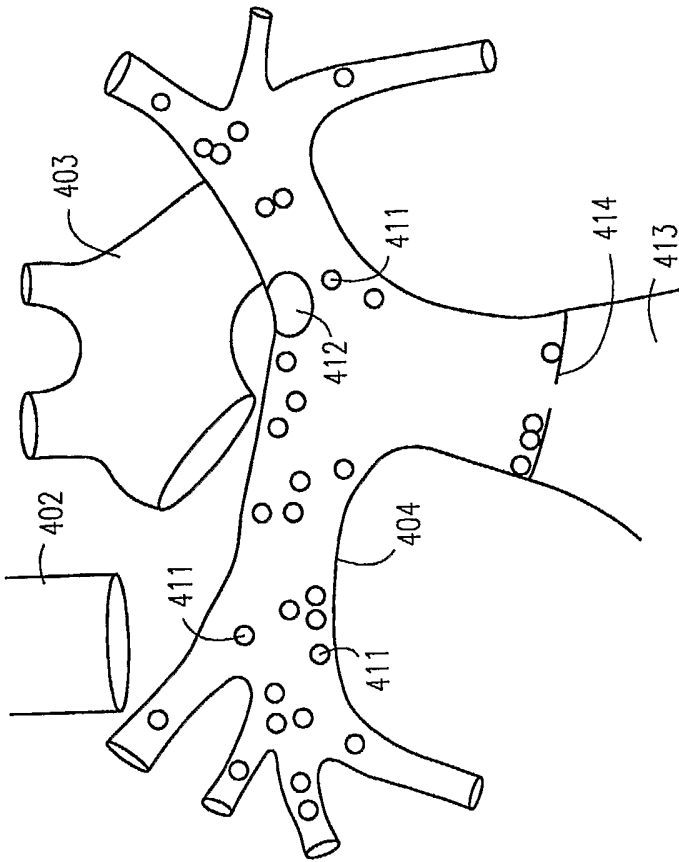


FIG. 4

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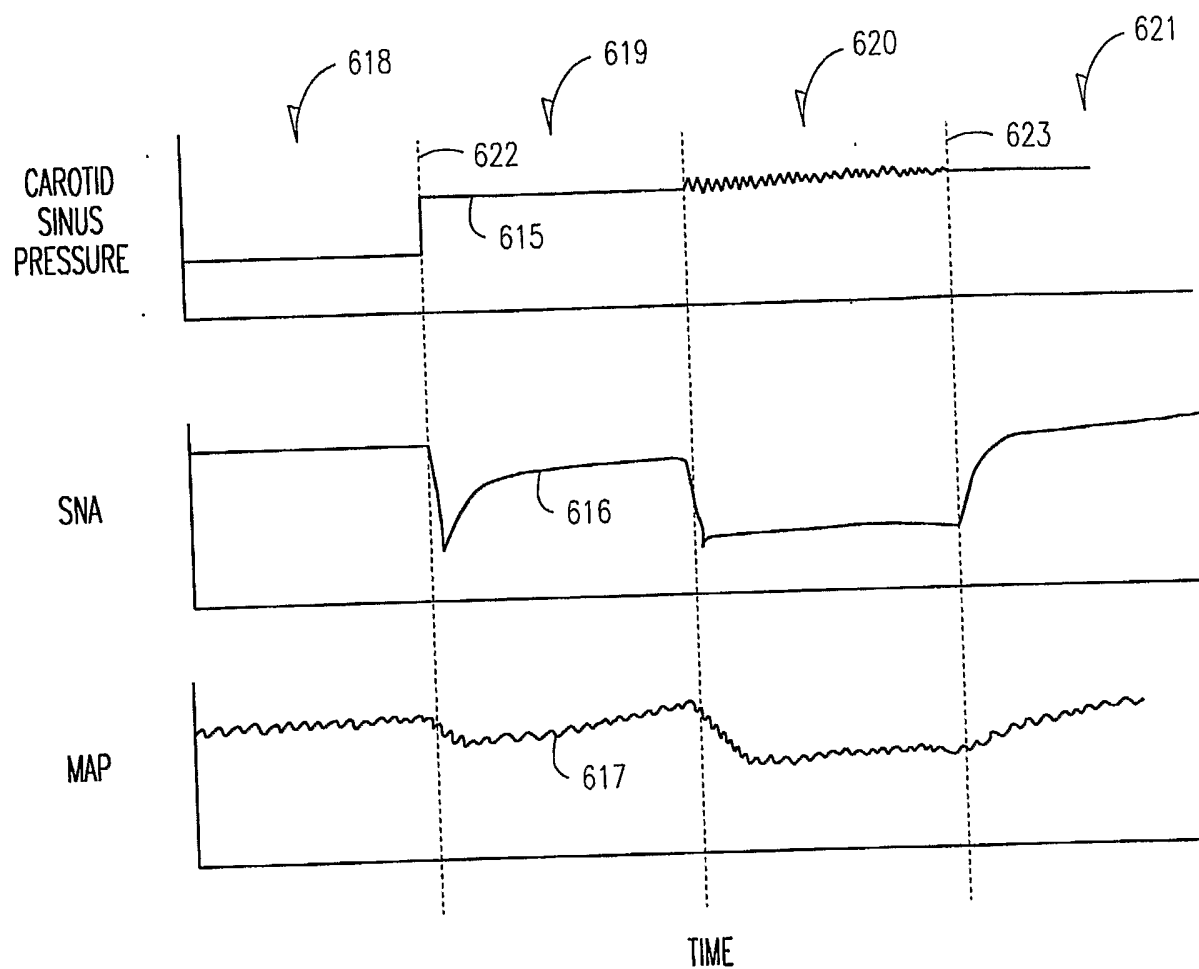


FIG. 6

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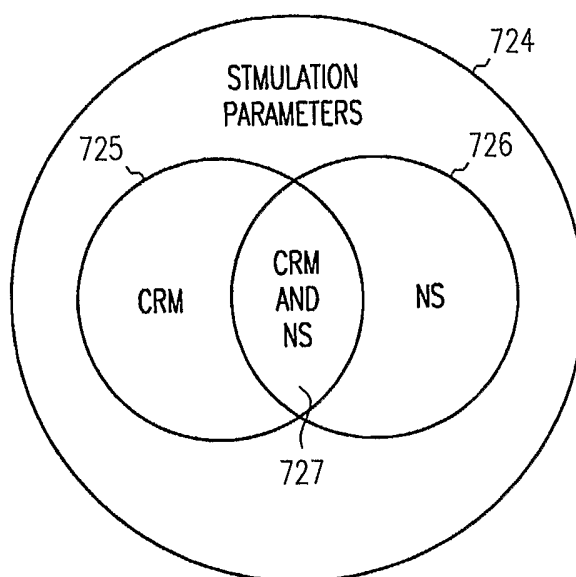


FIG. 7

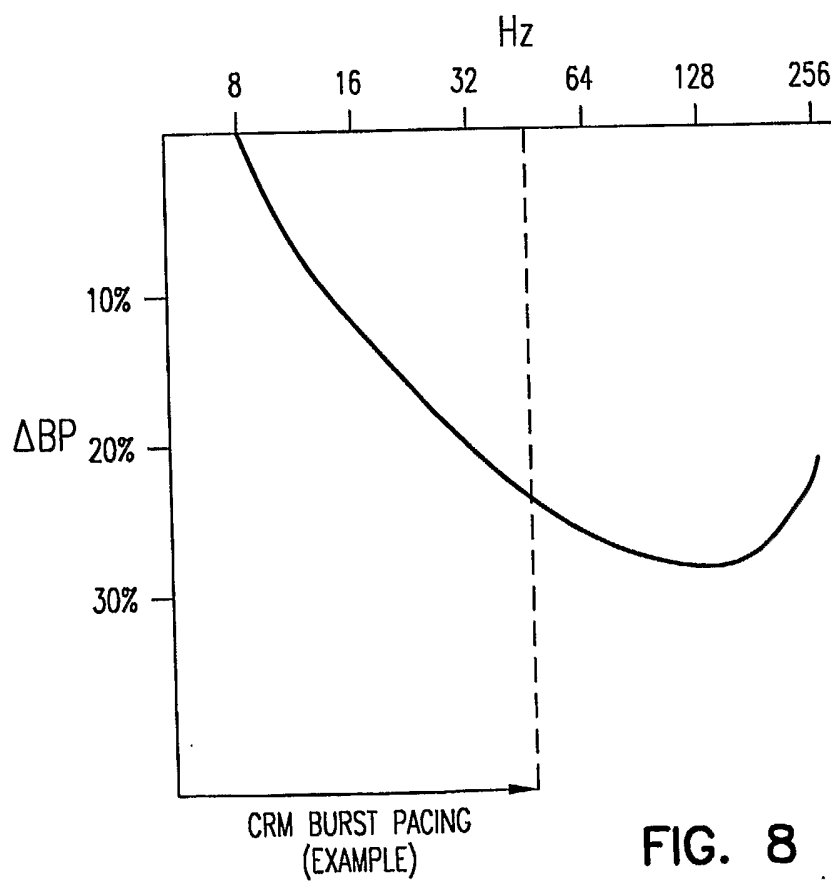


FIG. 8

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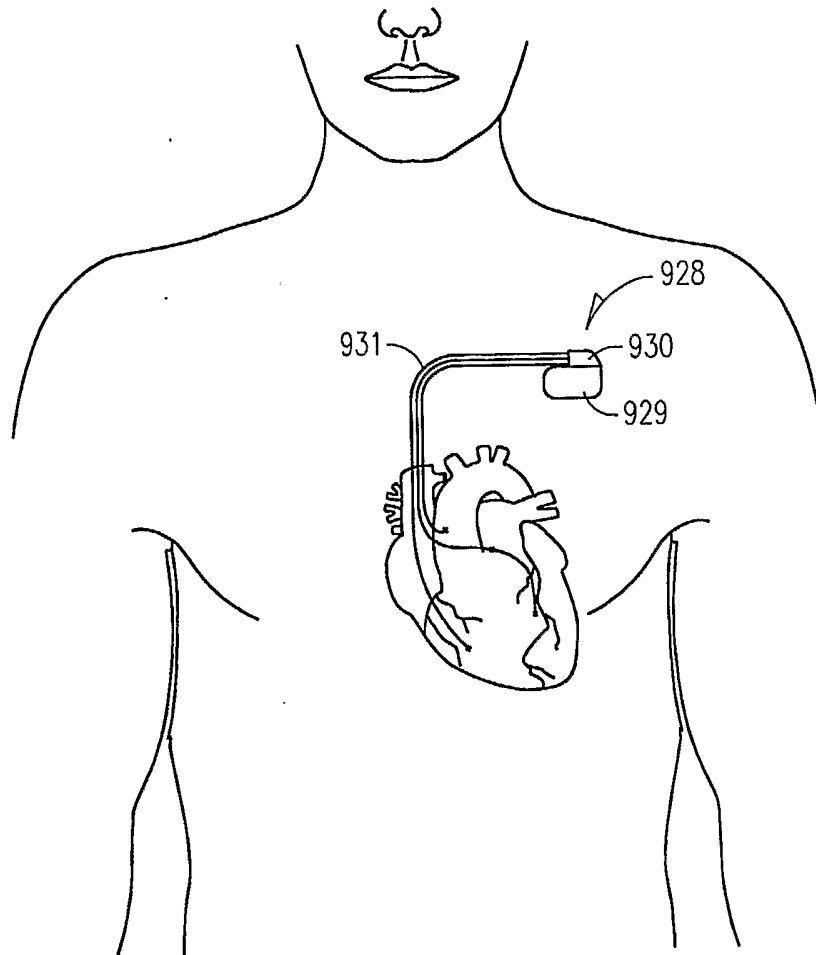


FIG. 9A

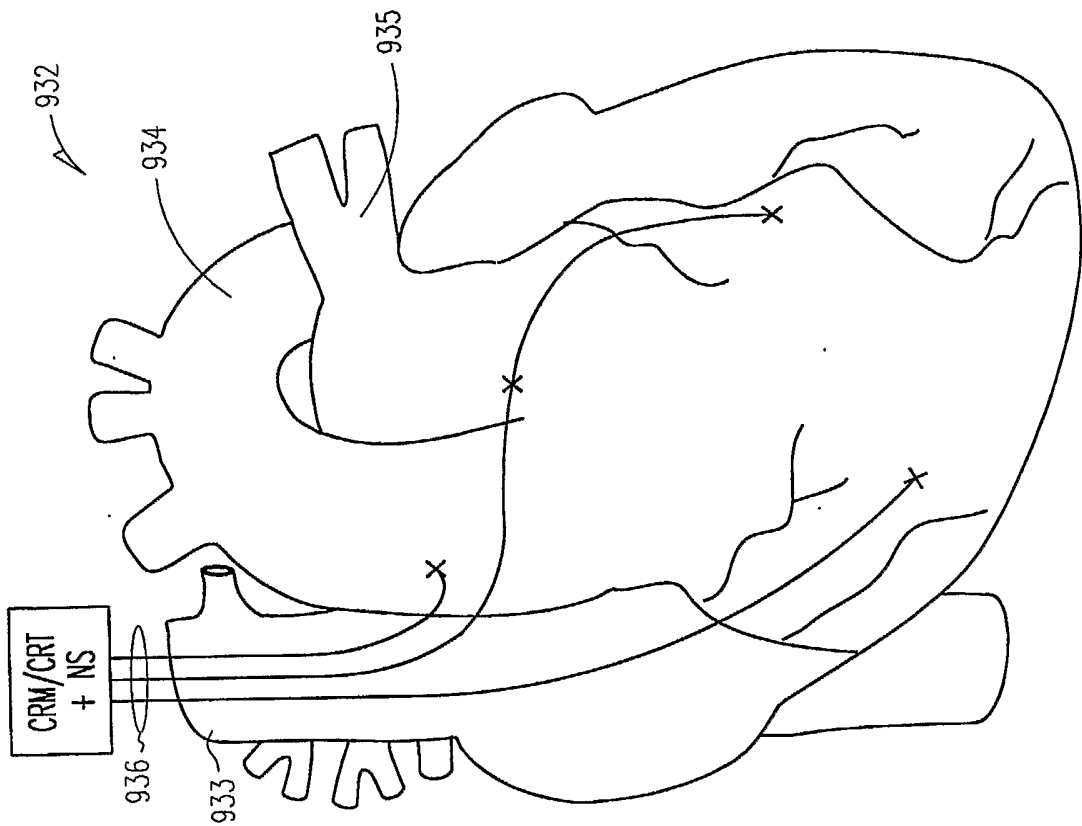


FIG. 9C

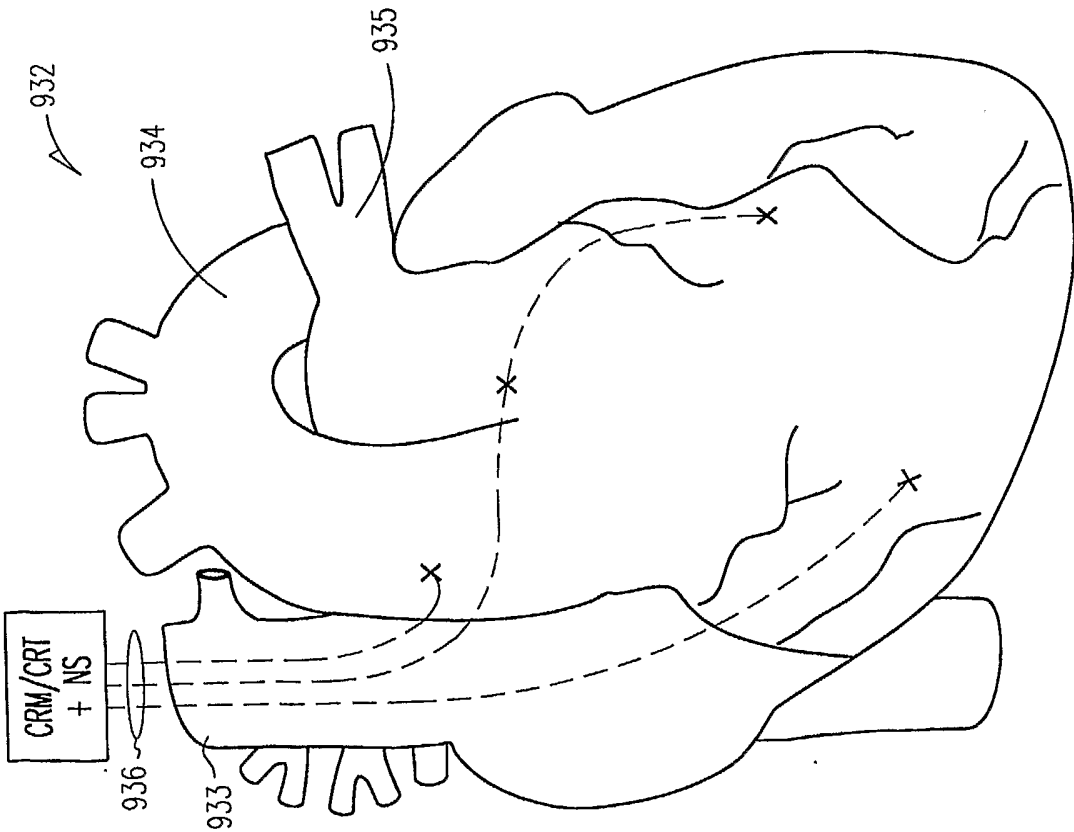


FIG. 9B

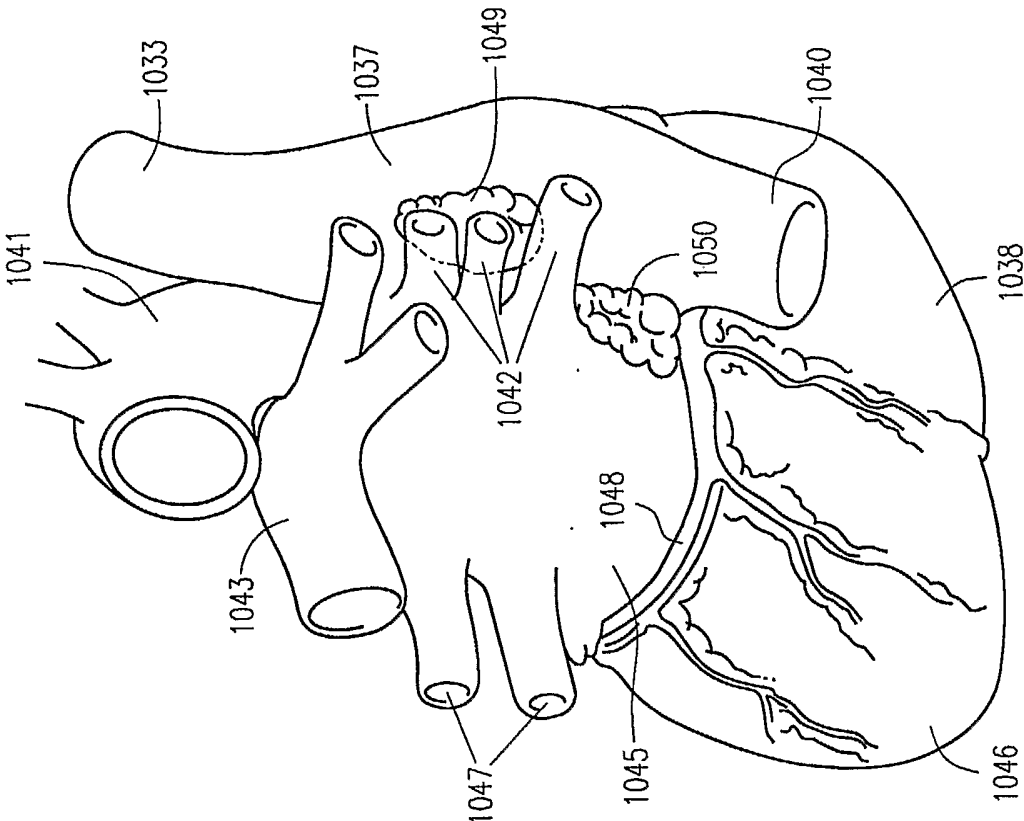


FIG. 10B

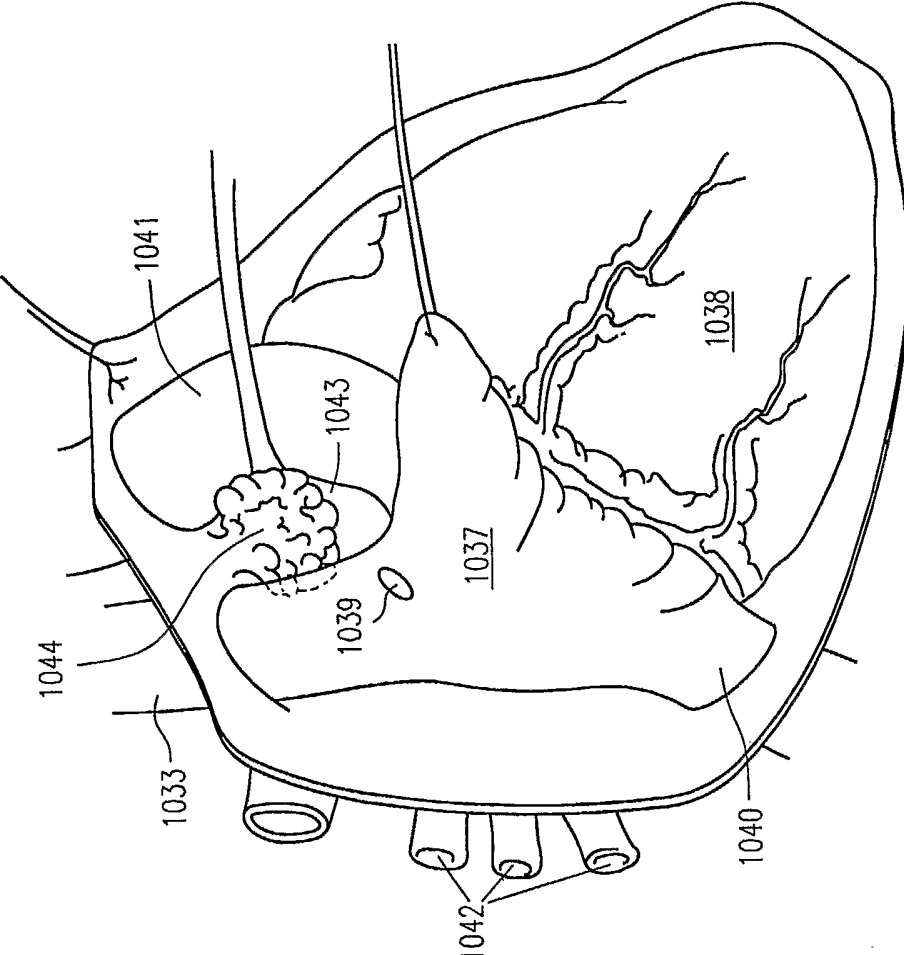


FIG. 10A

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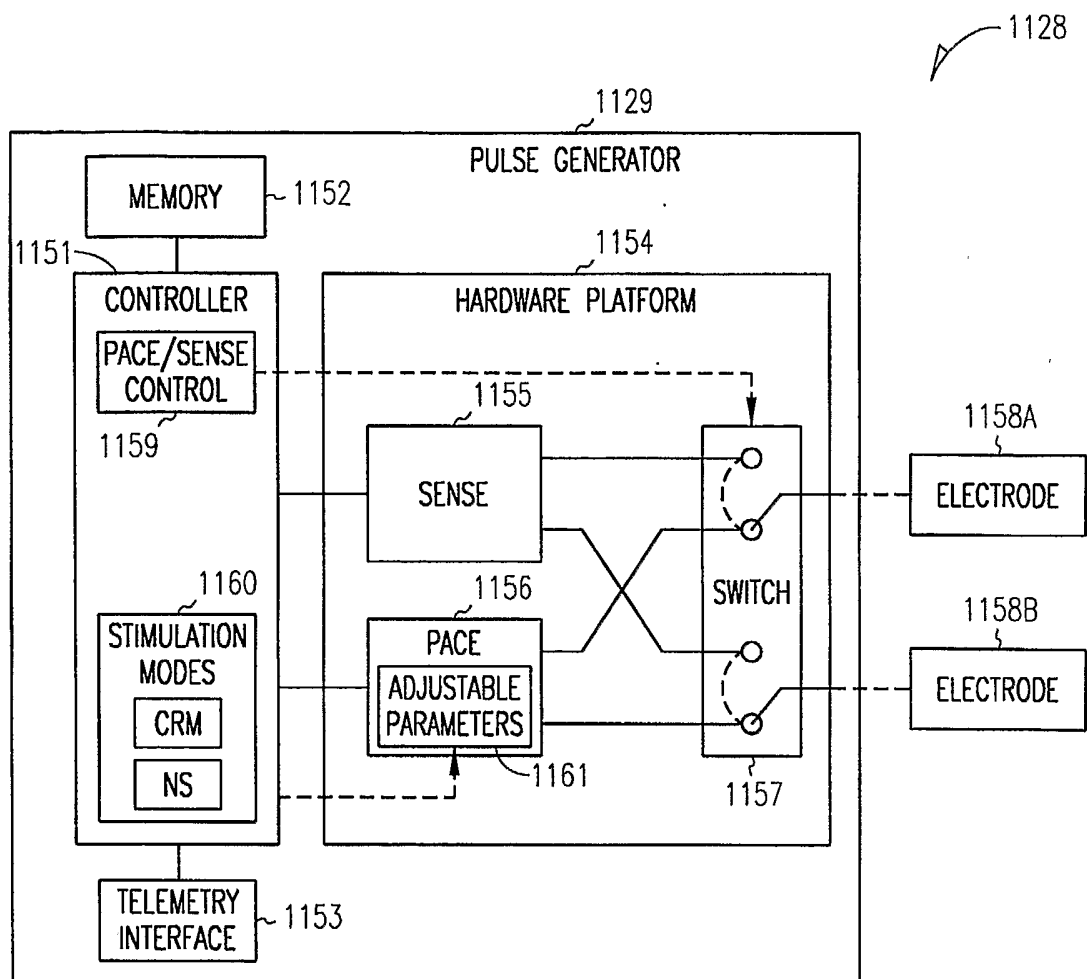


FIG. 11

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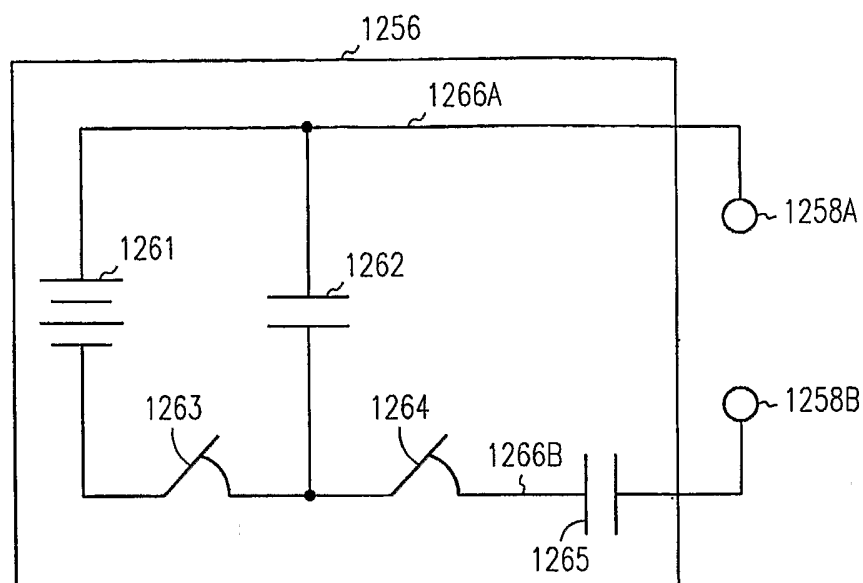


FIG. 12

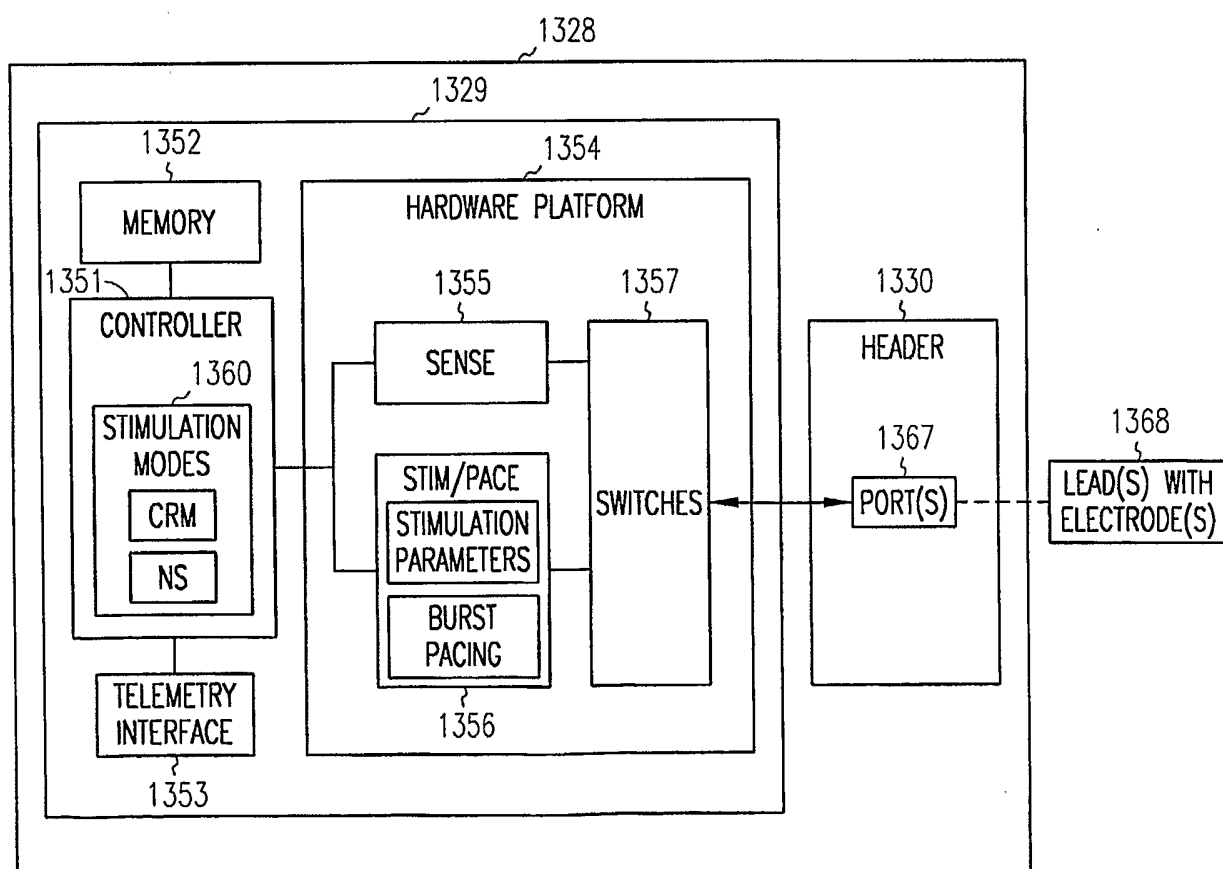


FIG. 13



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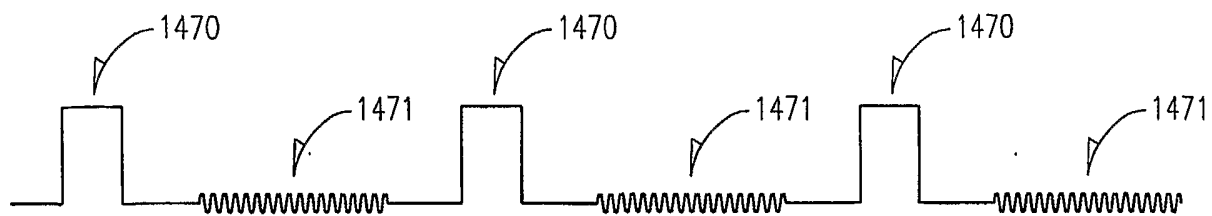


FIG. 14A

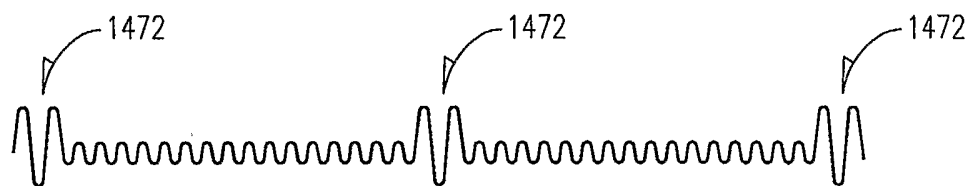


FIG. 14B

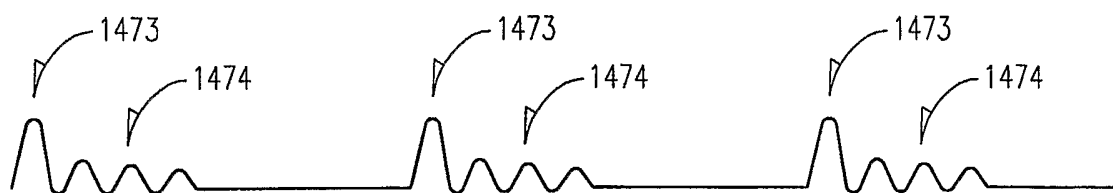


FIG. 14C

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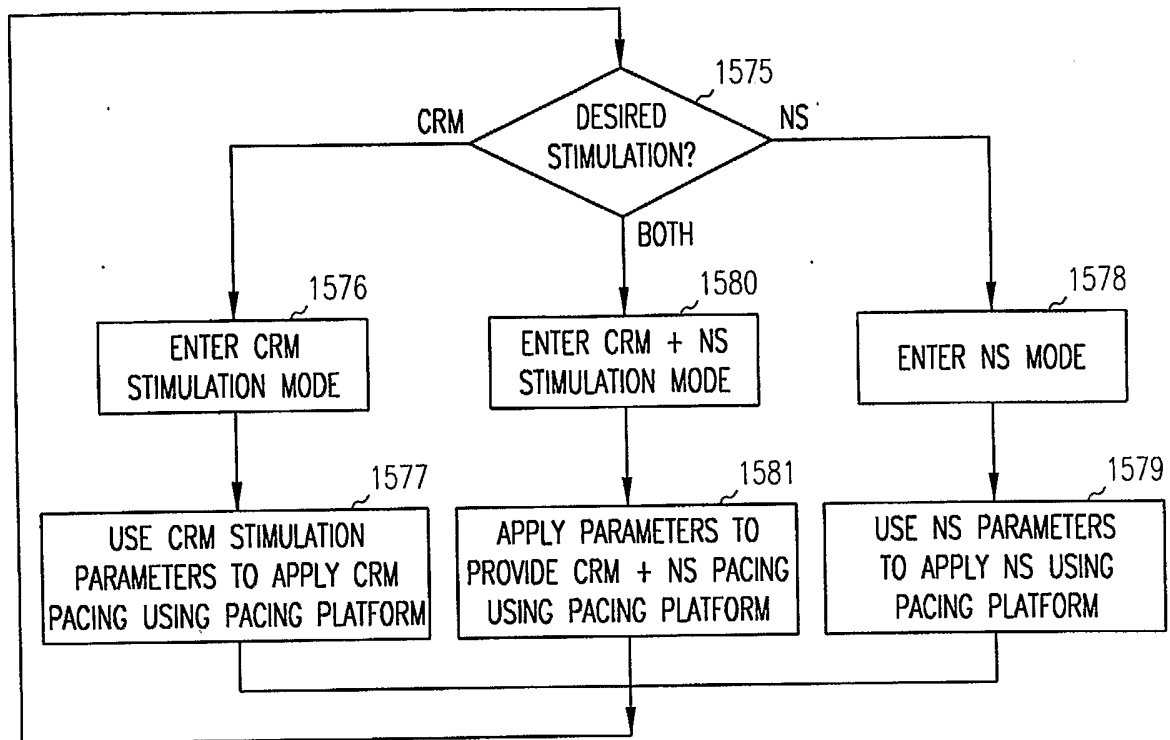


FIG. 15

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2006/008314

A. CLASSIFICATION OF SUBJECT MATTER  
INV. A61N1/36

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
A61N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2004/210261 A1 (KING GARY W ET AL) 21 October 2004 (2004-10-21)  paragraphs [0041] - [0052]; figure 2 paragraphs [0073], [0074]; figure 6	1,2,4,6, 8,9, 11-20
X	EP 0 688 578 A (PACESETTER AB) 27 December 1995 (1995-12-27)  column 2, line 53 - column 5, line 5; figure 1  ----- -/--	1,2,4,6, 8,9, 11-20

☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

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Date of the actual completion of the international search

29 June 2006

Date of mailing of the international search report

06/07/2006

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## INTERNATIONAL SEARCH REPORT

International application No

PCT/US2006/008314

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2004/199210 A1 (SHELCHUK ANNE M) 7 October 2004 (2004-10-07) abstract paragraphs [0034], [0038], [0041]; figure 2 paragraphs [0058] - [0068] paragraph [0113] paragraph [0130]; figure 8 -----	1-18,20
X	WO 03/018108 A (CYBERONICS, INC) 6 March 2003 (2003-03-06) abstract; figure 2 -----	1-18,20

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2006/008314

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EP 0688578	A	27-12-1995	JP	8052121 A	27-02-1996
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US 2004199210	A1	07-10-2004	NONE		
WO 03018108	A	06-03-2003	US	2003040774 A1	27-02-2003