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(54) **Title:** CONDENSATION PRODUCTS OF HYDROXYCARBOXYLIC ACIDS AND GLYCOLS OR GLYCEROL

(57) **Abstract:** The invention relates to reaction products of glycols, diglycols, higher glycols or glycerol with α -hydroxycarboxylic acids in a molar ratio of 1:2 or higher, especially conforming to the general formula (V) where R is hydrogen or a C1-3 alkyl group, preferably methyl, nx is from 1 to 5, preferably from 1 to 3, n is 1 or 2 and when n = 1 the radical R'' is C1-4 alkylene, preferably ethylene, x is defined as x' and y is not less than 1, preferably from 1 to 5, especially 1 or 2, and when n = 2 the radical R'' is a glycerol radical, x is defined as x'' and y is = 1. (Formula see on enclosed paper version)The invention further relates to the use of the (poly)hydroxycarboxylic acid (poly)glycol esters or (poly)hydroxycarboxylic acid glyceryl esters as acid donors and for controlling the pH in textile treatment processes.

CONDENSATION PRODUCTS OF HYDROXYCARBOXYLIC ACIDS AND GLYCOLS OR GLYCEROL

5 The invention relates to reaction products of glycols, diglycols or higher glycols and also glycerol with α -hydroxycarboxylic acids, and to the use thereof as acid donors and for controlling the pH in textile treatment processes.

10 Textile treatment processes generally achieve uniform treatment of the textile fibres by employing pH and/or temperature gradients instead of constant conditions. Especially the employment of a pH gradient demands an increased process engineering input, since for example acid has to be continuously metered in to lower the pH: Processes are therefore in existence in the prior art where, to avoid the metered addition of acid for example an agent is added that releases the requisite amount of acid incrementally with or without temperature
15 increase.

CH patent 669882 utilizes reaction products of ethylene oxide with formic acid and of ethylene glycol with formic acid and isopropyl formate as acid donors. US patent 4568351 describes reaction products of formic acid or β -hydroxycarboxylic acids with ethylene oxide
20 for use as acid donors.

The added compounds hydrolyse during the textile finishing operation and make it possible to lower the pH of a liquor in steps. In dyeing processes for example the use of the aforementioned agents permits a slow and uniformly progressive fixation, whereby a more uniform dyeing is achieved.

25 JP patent 3083403 describes condensation products of hydroxyl-substituted dicarboxylic acids with alkylene glycols which are useful as levelling agents in dyeing processes for polyamide fibres. US 3600121 describes esters of alkylcarboxylic acids and polyethylene glycol as retarding agents for the uniform coloration of cellulose fibres.

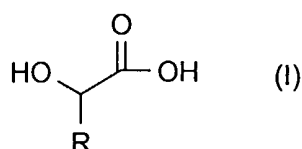
30 An important variable for determining the efficacy of the products used as acid donors and buffer compounds is the hydrolysis number. The hydrolysis number is determined as a

characteristic parameter in the analysis of fats. The hydrolysis number is defined as the amount of potassium hydroxide in milligrams (mg) needed to neutralize the esterified and unesterified fatty acids contained in 1 g of fat. The hydrolysis number is thus a measure of the amount of acid which can be released from an ester by complete hydrolysis. The hydrolysis number should consequently also be determining for the trajectory of the pH curve during a textile finishing operation.

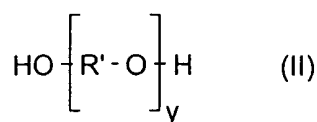
It has now been surprisingly found that the reaction of an α -hydroxycarboxylic acid conforming to the general formula (I) with a glycol, diglycol or higher glycols conforming to the general formula (II) or with glycerol in a molar ratio of 2:1 or higher leads to an unexpected trajectory of the pH curve. Of particular advantage here are condensation products of a diglycol or higher glycol with an α -hydroxycarboxylic acid in a molar ratio of 1:2 or higher, preferably 1:2, 1:3 or 1:4. Since such condensation products are generally statistical mixtures, the molar ratio need not necessarily be an integral ratio.

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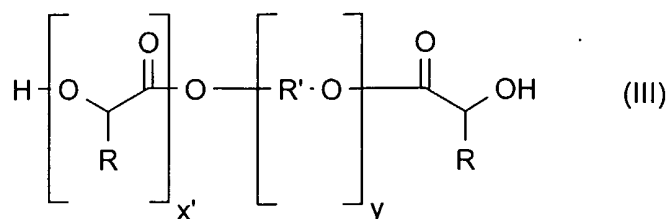
Useful α -hydroxycarboxylic acids conform to the general formula (I)



where R is hydrogen or a C_{1-3} alkyl group, preferably methyl. Condensation thereof with suitable glycols, diglycols or higher glycols of the general formula (II)

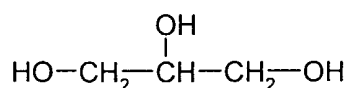


where R' is C_{1-4} alkylene, preferably ethylene, and y is not less than 1, preferably from 1 to 5, especially 1 or 2, provides (poly)hydroxycarboxylic acid (poly)glycol esters of the general formula (III)



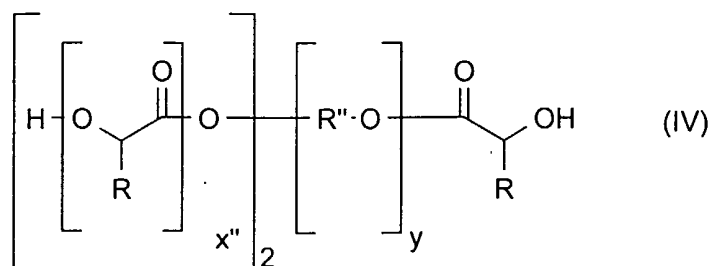
where R, R' and y are each as defined above and x' is from 1 to 5. In preferred compounds, R is a methyl group, R' is ethylene, x' is from 1 to 3 and y is from 1 to 3. Particular preference is given to compounds where x' is from 1.5 to 2 and y is 1 or 2.

Condensation of suitable α -hydroxycarboxylic acids of the general formula (I) with glycerol



10

provides (poly)hydroxycarboxylic acid glyceryl esters of the general formula (IV)



where R is as defined above, the radical R'' is a glycerol radical, x'' is from 0.5 to 2.5, preferably from 0.5 to 1, and y is 1. What is decisive is the ratio of y to x'', so that in principle it is also possible for just two hydroxyl groups of the glycerol or for all three hydroxyl groups to be esterified with different numbers of α -hydroxycarboxylic acids. x'' is to be understood as the average value of the number of condensed α -hydroxycarboxylic acids. For a molar ratio of 1:2 for glycerol to α -hydroxycarboxylic acid, x'' is therefore 0.5.

20

The compounds are prepared by known methods. For instance, compounds of the formula (III) are obtainable by reaction of x'+1 mol of an α -hydroxycarboxylic acid conforming to the

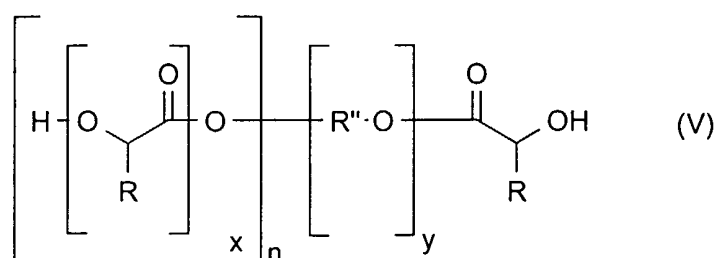
formula (I) with 1 mol of the corresponding glycol, diglycol or higher glycol of the formula (II). The reaction products can if necessary be purified after isolation.

Compounds of the formula (IV) are obtainable by reaction of $2x''+1$ mol of an α -hydroxycarboxylic acid conforming to the formula (I) with 1 mol of glycerol.

Owing to their higher degree of branching, the glycerol condensation products obtained have a higher viscosity than the corresponding glycol condensation products.

The invention thus provides compounds of the formula (V)

10



where R is hydrogen or a C_{1-3} alkyl group, preferably methyl, nx is from 1 to 5, preferably from 1 to 3, n is 1 or 2 and

15 when $n = 1$ the radical R'' is C_{1-4} alkylene, preferably ethylene, x is defined as x' and y is not less than 1, preferably from 1 to 5, especially 1 or 2 and

when $n = 2$ the radical R'' is a glycerol radical, x is defined as x'' and $y = 1$.

The hydrolysis number, which should be useful as an acidity measure of esters, is unexpectedly not correlated with the molar effectiveness as an acid donor in the case of the condensation products according to the invention. On the contrary, it was surprisingly found that condensation products having a molar ratio of 1:2 or higher are more effective than prior art condensation products having a molar ratio of 1:1 in terms of the molar amount used. The compounds according to the invention are consequently of immense benefit, economically.

25

The compounds according to the invention may be useful as acid donors in textile finishing processes without significantly increasing the process engineering investment. The

compounds according to the invention hydrolyse in the course of the textile finishing process and permit processing with variable pH at constant or variable temperatures. Generally, the abovementioned compounds are used to incrementally lower the pH of a liquor. But they can also be used for stabilizing the pH in acidic liquors in which the pH would otherwise rise in the course of the treatment operation.

More particularly, the compounds according to the invention are also useful for minimizing the changes in the pH of the liquor. This is particularly advantageous when the textile treatment agent or dye is instable to such changes.

10

The use as an acid donor and an agent for controlling the pH in textile treatment operations is particularly advantageous in dyeing processes for textiles made of natural, synthetic and semisynthetic fibres. Especially treatment operations under weakly alkaline or acidic conditions with constant or variable pH are of importance.

15

Useful natural textile materials include polyamides such as for example wool or blends of natural fibres and synthetic polyamides, for example nylon. Useful natural textile materials further include cellulose fibres, for example cotton, semisynthetic textile materials, for example cellulose acetates, and also mixtures thereof.

20

Useful wholly synthetic textile materials include linear aromatic polyesters, for example polyethylene terephthalates, especially the condensation products of terephthalic acid with glycols or with 1,4-bis(hydroxymethyl)cyclohexane. Further polycarbonates, for example from α,α -dimethyl-4,4'-dihydroxydiphenylmethane and phosgene, and also polyvinyl chlorides, polyacrylonitriles and polyamides.

25

The textile materials can be present in any conventional form, for example as fibres, yarns, webs, woven or non-woven textiles.

30 The compounds of the general formula (V) can be used either as such or in the form of a preparation together with emulsifiers and/or dispersants.

Preference is given to using the compounds in concentrations of 0.1 to 5 g/l, particularly preferably from 0.5 to 1 g/l.

5 Textile treatment processes for the purposes of the present invention are in the widest sense dyeing, printing and brightening processes and also all finishing processes in which the pH has an influence on the effect of the process. The processes in question can be continuous or batchwise.

10 Dyeing processes can utilize acid dyes, metal complex dyes, reactive dyes, basic dyes and disperse dyes together with the compounds and processes according to the invention.

Preferred temperature ranges are from 20 to 140°C, especially from 70-100°C.

15 Preferred pH ranges are between 5 and 10 at the start of the process and between 3 and 7 at the end of the process. Depending on the amount of the compound used, the pH can be kept constant or incrementally reduced. The compounds according to the invention can be added all at once or incrementally in the course of the process. An alkaline liquor can be adjusted with known agents, for example aqueous sodium hydroxide solution, sodium carbonate, borax, sodium acetate or ammonia, at the start of the process.

20

Examples

Preparation Example 1 (comparative)

25 462 g of lactic acid (78%), 248 g of ethylene glycol and 2 g of sulphuric acid are heated to an internal temperature of 115°C under reduced pressure and stirred at that temperature and under reduced pressure for 3 hours until distillate formation has virtually ceased.

This affords a polyester having a hydrolysis number of 406.

30 Preparation Example 2 (comparative)

Example 1 is repeated to react 231 g of lactic acid (78%) with 212 g of diethylene glycol to afford a polyester having a hydrolysis number of 286.

Preparation Example 3

Example 1 is repeated to react 462 g of lactic acid (78%) with 124 g of ethylene glycol. The polyester obtained is 415 g of a yellow liquid having a viscosity of 1.4 Pas, a hydrolysis number of 529 and an M_w of 279.

5

Preparation Example 4

600 g of lactic acid (78%), 81 g of ethylene glycol and 1 g of sulphuric acid are heated to an internal temperature of 115°C under reduced pressure and stirred at that temperature under reduced pressure for 4 hours, during which 220 g of colourless distillate are separated off.

10 This affords 460 g of a yellow viscous liquid (viscosity 25 Pas) having a hydrolysis number of 615 and an M_w of 472.

Preparation Example 5

Example 1 is repeated to react 231 g of lactic acid (78%) with 106 g of diethylene glycol to afford a polyester having a hydrolysis number of 439 and an M_w of 276.

15

Preparation Example 6

231 g of lactic acid (78%), 53 g of diethylene glycol and 0.5 g of sulphuric acid are heated to an internal temperature of 115°C under reduced pressure and stirred at that temperature under reduced pressure for 3 hours, during which 84 g of water are distilled off. This affords 200 g of a yellow viscous liquid having a viscosity of 5 Pas, a hydrolysis number of 560 and an M_w of 441.

20

Preparation Example 7

Example 1 is repeated to react 565 g of glycolic acid (70%) with 162 g of ethylene glycol to afford a polyester having a hydrolysis number of 600 and an M_w of 300.

25

Preparation Example 8

Example 1 is repeated to react 565 g of glycolic acid (70%) with 81 g of ethylene glycol to afford a polyester having a hydrolysis number of 707 and an M_w of 373.

30

Preparation Example 9

Example 1 is repeated to react 565 g of glycolic acid (70%) with 276 g of diethylene glycol to afford a polyester having a hydrolysis number of 502 and an M_w of 257.

5 Preparation Example 10

Example 1 is repeated to react 565 g of glycolic acid (70%) with 138 g of diethylene glycol to afford a polyester having a hydrolysis number of 636 and an M_w of 370.

Preparation Example 11 (comparative)

10 Example 1 is repeated to react 231 g of lactic acid (78%) with 184 g of glycerol to afford a polyester having a hydrolysis number of 335, an M_w of 246 and a viscosity of 6 Pas.

Preparation Example 12

15 Example 1 is repeated to react 231 g of lactic acid (78%) with 92 g of glycerol to afford a polyester having a hydrolysis number of 452, an M_w of 319 and a viscosity of 28 Pas.

Preparation Example 13

20 Example 1 is repeated to react 231 g of lactic acid (78%) with 61 g of glycerol to afford a polyester having a hydrolysis number of 520, an M_w of 374 and a viscosity of 65 Pas.

Use examples

The following experiments were carried out to test the effectiveness of the individual condensation products as acid donors:

25 A certain amount of the compounds prepared according to Preparation Examples 1-8 was dissolved in 1 litre of demineralized water together with 50 mg of sodium carbonate, the resulting pH being about 10. The amount of the experimental products was chosen so that their concentration in the solution corresponded to a hydrolysis number of 425/l. This was followed by heating at a rate of 1°/min from 30°C to the boiling point over 70 minutes.

30 During this period, the pH of the solution was measured. The pH on attainment of the boiling point is reported in the following use experiments as a measure of the effectiveness of the compounds.

Use Example A

Using 0.69 g of the product of Preparation Example 4, a final pH of 4.7 was reached. Using 0.80 g of the product of Preparation Example 3, a final pH of 5.1 was reached. Using 1.05 g of a similarly prepared condensation product of lactic acid and ethylene glycol in a molar ratio of 1:1 according to Preparation Example 1, the pH only decreased to 5.6.

Use Example B

10 Using 0.76 g of the product of Preparation Example 6, a final pH of 4.8 was reached. Using 0.97 g of the product of Preparation Example 5, a final pH of 5.4 was reached. Using 1.49 g of a similarly prepared condensation product of lactic acid and diethylene glycol in a molar ratio of 1:1 according to Preparation Example 2, the pH only decreased to 5.8.

15 Use Example C

Using 0.60 g of the product according to Preparation Example 8, a final pH of 4.0 was reached. Using 0.71 g of the product according to Preparation Example 7, a final pH of 4.3 was reached.

20

Use Example D

Using 0.71 g of the product according to Preparation Example 10, a final pH of 4.3 was reached. Using 0.85 g of the product according to Preparation Example 9, a final pH of 4.7 was reached.

25

Use Example E

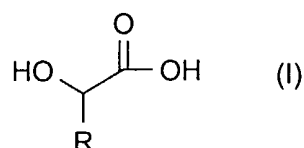
Using 0.82 g of the product according to Preparation Example 13, a final pH of 4.9 was reached. Using 0.94 g of the product according to Preparation Example 12, a final pH of 5.0 was reached. Using 1.27 g of the product according to Preparation Example 11, the pH only decreased to 5.5.

30

Claims

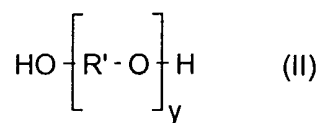
1. Condensation product of a glycol, of a diglycol, of a higher glycol or of a glycerol
5 with an α -hydroxycarboxylic acid in a molar ratio of 1:2 or higher.

2. Product according to Claim 1, characterized in that the α -hydroxycarboxylic acid used
10 has the general formula (I)



where R is hydrogen or a C₁₋₃ alkyl group, preferably hydrogen or methyl.

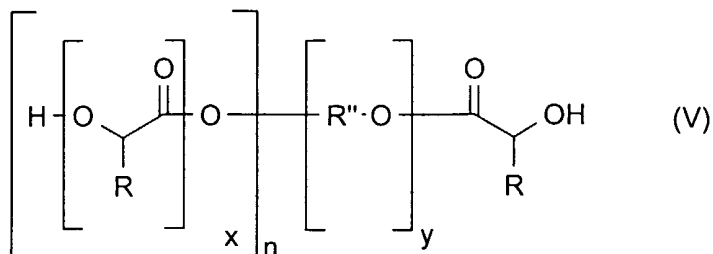
3. Product according to Claim 1, characterized in that the glycol, diglycol or higher
15 glycol used has the general formula (II)



20 where R' is C₁₋₄ alkylene, preferably ethylene, and y is not less than 1, preferably from 1 to 5, especially 1 or 2.

25 4. Product according to Claim 1, characterized in that glycerol is used.

5. Product according to Claim 1, characterized in that it conforms to the general formula (V)



5

where R is hydrogen or a C₁₋₃ alkyl group, nx is from 1 to 5, n is 1 or 2 and
 when n = 1 the radical R'' is C₁₋₄ alkylene, x is x' and is from 1 to 5 and y is from 1 to 5
 and
 when n = 2 the radical R'' is a glycerol radical, x is x'' and x'' is from 0.5 to 2.5 and y is
 1.

10

6. Product according to Claim 5, characterized in that
 R is hydrogen or methyl,

15

nx is from 1 to 3, n is 1 or 2 and
 when n = 1 the radical R'' is ethylene, x is x' and is from 1 to 3 and y is 1 or 2 and
 when n = 2 the radical R'' is a glycerol radical, x is x'' and x'' is from 0.5 to 1 and y is
 1.

20

7. Use of a condensation product according to Claims 1 to 6 as an acid donor in textile
 finishing processes.

25

8. Use according to Claim 7, characterized in that the textile finishing process is a dyeing
 process.

9. Use according to Claim 8, characterized in that the fibres to be dyed are polyamide fibres.

- 5 10. Process for regulating the pH, characterized by the use of a condensation product according to any of Claims 1 to 6.

INTERNATIONAL SEARCH REPORT

 Inter national Application No
 PCT/IB 02/00745

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C07C69/68 C07C69/675 D06P1/651

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07C D06P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

CHEM ABS Data, BEILSTEIN Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|--|-----------------------|
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| X | J. T. STEARN ET AL: "Lactic acid as a component of synthetic resins" INDUSTRIAL AND ENGINEERING CHEMISTRY, vol. 32, 1940, pages 1335-1342, XP008004387 page 1336, column 2 --- | 1,2,4 |
| X | US 2 158 107 A (T. F. CARRUTHERS) 16 May 1939 (1939-05-16) examples --- | 1-3,5,6 |
| | -/-- | |

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

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INTERNATIONAL SEARCH REPORT

International Application No
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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|---|-----------------------|
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Information on patent family members

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| Patent document cited in search report | | Publication date | Patent family member(s) | Publication date |
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