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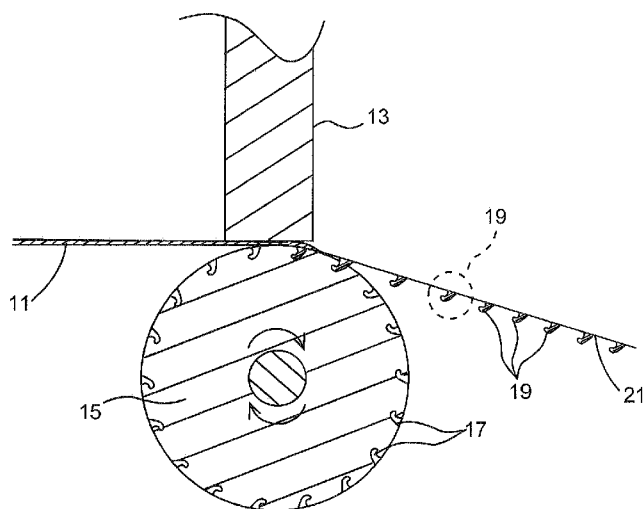
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FIG. 1



(57) Abstract: Apparatus and process are described for forming projections on a substrate for use as hook-type fasteners in touch fastening systems, wherein vibration energy may be used to soften a substrate which may be positioned between a mold and a source of vibration. The mold may include a plurality of cavities into which the softened substrate may be forced to form the projections. The substrate may comprise a film, sheet, web, composite, laminate, etc. and be useful as an attachment strip for temporary or permanent fastening. The source of vibration may be an ultrasonic horn. The process to form such projections may be operated in a continuous, semi-continuous or intermittent manner.

METHOD AND APPARATUS FOR PRODUCING HOOK FASTENERS

5 **CROSS-REFERENCE TO RELATED APPLICATIONS**

This application claims the benefit of U.S. Provisional Application No. 61/145,883, filed on January 20, 2009.

FIELD

10 This disclosure relates generally to mechanical fasteners, such as hook and loop fasteners or touch fasteners, and more particularly, to a method and apparatus for producing “hook” fasteners using vibration energy.

15 ***BACKGROUND***

Touch fasteners (known commercially as Velcro[®], Scotchmate[®], Tri-Hook[®], etc.) were originally produced using textile technology. Two of the most common types of touch fasteners are hook and loop fasteners and mushroom and loop fasteners.

20 Hook and loop type fasteners may consist of a pair of textile strips. These textile strips may be mated to form a recyclable closure; one of the mates being a strip of textile fabric having numerous monofilament elements, shaped like hooks, projecting from one surface and the other mate being a textile strip with multifilamented elements woven into loop shaped projections on one surface. When the mating surfaces of these strips are pressed
25 together, numerous hook shaped elements on one strip snare loop elements on the opposing strip and create a temporary, reusable bond. As the strips are peeled apart, the hook elements

may deform and separate from the loop elements allowing the fastener to be reused many times.

In the case of mushroom and loop fasteners, the hook type mating strip is replaced with a strip containing numerous monofilament projections having mushroom shaped or
5 blunted heads. The mushroom shaped heads may be formed by heating the tips of straight monofilament projections until a flattened "mushroom head" is formed on each projection. When this strip is pressed together with a strip having loop shaped projections on the surface, the mushroom heads may snare loop elements on the opposing strip and create a temporary, reusable bond. As the strips are peeled apart, the mushroom shaped elements may
10 occasionally deflect and release the loop element. In addition, two strips each having mushroom shaped projections may be engaged together with the blunted heads interacting to form a mechanical bond.

More recently the use of thermoplastic extrusion/molding methods for making touch fasteners has become popular. In the case of hook and loop fasteners, the hook strip may be
15 formed by extruding a polymer into a web-like shape with integral projections, while the loop strip may still be produced using woven, knitted or non-woven technologies. In the case of mushroom and loop fasteners, the mushroom strip may be produced by extruding a polymer into a web-like shape with integral pin-like projections and post forming mushroom-like heads on the pin-like projections.

20 The use of extrusion/molding technologies for the production of hook type and mushroom type touch fasteners has reduced the manufacturing cost and improved the performance and aesthetics of touch fasteners, thus allowing their use in large volume applications such as tab closures on disposable diapers.

Examples of technologies used for the production of extruded/molded type touch fasteners, include:

- 5 • Extruding/molding a hook fastener with an integral base where the base may be molded onto a molding roller wherein hooking elements may be molded in discreet cavities. The mold may be opened and closed continuously as it rotates to allow the hooks to be extracted. (See for instance, United States Patent Nos. 3,762,000; 3,758,657 and 3,752,619 to Menzin and 3,196,490 to Erb.)
- 10 • Extruding/molding a hook fastener with an integral base where the hooking elements may be molded in discreet cavities and the mold remains closed. The hooks may be pulled from the cavities after cooling. Geometry of the hooks may therefore be somewhat limited as they must be extractable from a closed mold. (See, for instance, United States Patent
15 Nos. 3,312,583 and 3,541,216 to Rochlis; 4,775,310 and 4,794,028 to Fischer; and 5,393,475 to Murasaki.)
- 20 • Extruding a web of material with a series of rails of hook-like cross section, running parallel along the top surface of the web. The rails may be cross-cut intermittently down to the base material. The base material may be stretched to obtain spacing between the hook elements. (See for instance, United States Patent Nos. 3,665,504 and 3,735,468 to Erb.)
- Extruding a web of material with a series of molded pins or similar elements and post-forming the elements into a hook type or mushroom

type fastener. (See for instance, United States Patent Nos. 3,182,589;
3,270,408; 5,607,635; 5,755,015; 5,781,969 and 5,792,408.)

One common theme among all of these processes is the melting and feeding of
5 thermoplastic material through an extruder or similar device. Although often considered
efficient methods for the production of touch fasteners, extrusion/molding technologies may
typically require significant investment in capital equipment (extruders, chillers, pumping
systems, dryers, pellet transport systems), high process energy consumption, handling and
pre-drying of raw materials, proper disposal of purging/cleaning materials and startup
10 materials, the ventilation of noxious gases, etc. and the ability to wind rolls or otherwise
process finished product non-stop.

A need exists for a method and apparatus to prepare hook type fastener elements for
use in a closure system, particularly a reusable closure system, without the high capital
investment and material inefficiencies as described above.

15

SUMMARY

In one exemplary embodiment, the present disclosure describes a process for forming
projections on a substrate comprising providing a mold having an outer surface, providing a
20 substrate material having a surface and providing a device as a source of vibration energy,
wherein one or both of said mold and device contain a plurality of cavities, the cavities
having a shape. This may then be followed by positioning the substrate material between the
mold and the device and applying power to the device wherein a portion of the substrate
material enters the cavities in the mold surface and forms projections on at least a portion of

the surface of the substrate material, wherein the cavities are shaped to form projections as one or more of hooks, mushrooms, straight pins, angled pins, tapered pins, curved pins, grappling hook, multi-limbed, cross-shaped, Y-shaped and multi-lobed, each having cross-sections which are round, oval, square, rectangular, trapezoidal, solid, hollow and
5 combinations thereof.

In another exemplary embodiment, the present disclosure relates to a process for forming projections on a substrate comprising providing a substrate material having a surface and providing a device as a source of vibration energy, the device having a surface containing
10 a plurality of cavities, the cavities arranged along at least a portion of the surface, the cavities having a shape. This may then be followed by pressing the device against the surface of the substrate material and applying power to the device and forcing a portion of the substrate material into the cavities in the surface of the device, forming projections on the surface of
15 the substrate material, the projections generally conforming to the shape of the cavities wherein the cavities are shaped to form projections as one or more of hooks, mushrooms, straight pins, angled pins, tapered pins, curved pins, grappling hook, multi-limbed, cross-shaped, Y-shaped and multi-lobed, each having cross-sections which are round, oval, square, rectangular, trapezoidal, solid, hollow and combinations thereof.

20 In another exemplary embodiment the present disclosure is directed at an apparatus for forming projections on a substrate comprising a mold having a surface and a device as source of vibration energy. One or both of the mold and device may contain a plurality of cavities, the cavities having a shape wherein the shape provides for the formation of a projection that is or can be post-processed into a shape suitable for mechanical

engagement with a loop element or complimentary shaped projection or other mating material, such as foam, screen or non-woven material

In another exemplary embodiment the present disclosure relates to apparatus for forming projections on a substrate comprising a device as a source of vibration energy the device containing a plurality of cavities where the cavities have a shape and wherein the shape provides for the formation of projections in a substrate that are or can be post-processed into a shape suitable for mechanical engagement with a loop element or complimentary shaped projection or other mating material.

The present disclosure also relates to an article for mechanical engagement, the article comprising a substrate having two sides and including one or more projections extending from one or both sides, wherein the substrate has a machine direction (MD) and cross-direction (CD), and the article is characterized as having one or more of the following characteristics:

- i. the substrate has a tensile strength in said machine direction of TS_1 and the one or more projections have a tensile strength of TS_2 , where TS_2 is equal to 50% of the value of TS_1 , or higher; or
- ii. the substrate has a shrinkage in a given direction of S_1 and the one or more projections have a shrinkage in the same direction of S_2 and $S_2 \geq 0.50(S_1)$.

The present disclosure also relates to an article for mechanical engagement, the article comprising a substrate having two sides and including a plurality of projections extending from one or both sides, wherein the substrate, prior to the formation of a projection in the

substrate surface, has a machine direction (MD) and cross-direction (CD), and the substrate is characterized as having biaxial orientation wherein the shrinkage in the machine direction and cross-direction have shrinkage values that are within +/- 20% of one another, and wherein the substrate, after formation of a projection on the substrate surface, has a biaxial
5 orientation wherein the shrinkage in the machine direction and cross-direction indicate shrinkage values that are within +/- 20% of one another.

BRIEF DESCRIPTION OF THE DRAWINGS

10 The accompanying drawings, which are incorporated in and constitute a part of the specification, illustrate embodiments of the invention and, together with the description, serve to explain principles of the invention.

FIG. 1 is a schematic cross-sectional side view of an apparatus and process for
15 forming projections which may be used as hook-type fasteners in accordance with the present disclosure.

FIGS. 2A-N are schematic views of exemplary upstanding shapes that may be used as projections in accordance with the present disclosure.

FIG. 3 is a schematic cross-sectional side view of another apparatus and process for
20 making projections that may be used as hook fasteners in accordance with the present disclosure.

FIG. 4 is a schematic cross-sectional side view of another apparatus and process for making projections that may be used as hook fasteners in accordance with the present disclosure.

FIG. 5 is a schematic cross-sectional side view of another apparatus and process for making projections that may be used as hook fasteners in accordance with the present disclosure.

FIG. 6 is a schematic cross-sectional side view of another apparatus and process for making projections that may be used as hook fasteners in accordance with the present disclosure.

FIG. 7 is a schematic cross-sectional side view of another apparatus and process for making projections that may be used as making hook fasteners in accordance with the present disclosure.

FIG. 8 is a schematic view cross-sectional side of another apparatus and process for making projections that may be used as making hook fasteners in accordance with the present disclosure.

FIG. 9 is a schematic cross-sectional side view of another apparatus and process for making projections that may be used as making hook fasteners in accordance with the present disclosure.

FIG. 10A is a schematic front view and **FIG. 10B** a schematic cross-sectional side view of another apparatus and process for making projections that may be used as hook-type fasteners in accordance with the present disclosure.

FIG. 11 is a schematic view of an article produced by the process and apparatus of **FIG. 10A**.

FIG. 12 is a block diagram of an exemplary process to provide hook-type fasteners, according to the present disclosure.

FIG. 13 is a schematic cross-sectional side view of another apparatus and process for making projections that may be used as hook fasteners wherein other materials may be

intermittently fed between the source of vibration and the substrate in accordance with the present disclosure.

FIGS. 14A-C are sequential schematic cross-sectional side views of an apparatus and process for making projections that may be used as hook fasteners in an intermittent manner at a location on a thermoplastic object in accordance with the present disclosure. .

FIGS. 15A-C are sequential schematic cross-sectional side views of another apparatus and process for making projections that may be used as making hook fasteners in an intermittent manner at a location on a thermoplastic object in accordance with the present disclosure.

FIGS. 16A-C are sequential schematic cross-sectional side views of another apparatus and process for making projections that may be used as hook fasteners in an intermittent manner at a location on a thermoplastic object in accordance with the present disclosure.

FIGS. 17A-C are sequential schematic cross-sectional side views of another apparatus and process for making projections that may be used as making hook fasteners in an intermittent manner at a location on a thermoplastic object in accordance with the present disclosure.

FIG. 18 is an enlarged cross-sectional view of an exemplary projection protruding from a substrate produced in accordance with the present disclosure.

FIG. 19 is an enlarged cross-sectional view of an exemplary projection protruding from a layered substrate produced in accordance with an exemplary method of the present disclosure.

FIGS. 20A and **20B** are top views of two exemplary masking materials to be used in conjunction with processes of the present disclosure.

FIG. 21 is a schematic cross-sectional side view of the apparatus and process of **FIG. 1** for making projections that may be used as hook fasteners wherein a masking material is combined with the substrate produced in accordance with the present disclosure.

FIG. 22 is a schematic cross-sectional side view of the apparatus and process of **FIG. 1** for making projections that may be used as hook fasteners wherein a masking material is used to provide an intermittent pattern of projections on a substrate but the mask is not combined with the substrate produced in accordance with the present disclosure.

FIG. 23 is a prospective view of the apparatus of **FIG. 21**.

FIG. 24 is a schematic cross-sectional side view of the apparatus and process of **FIG. 1** for making projections that may be used as hook fasteners wherein a gasketing material is provided an intermittent pattern of projections on a substrate, the gasket surrounding the discrete areas of projections, in accordance with the present disclosure.

DETAILED DESCRIPTION

Molded hook fasteners have generally been molded by, for instance, extruding or injecting a thermoplastic melt on a rotating drum, or mold, the mold comprised of a lamination or stack of metal plates, the plates having recessed or notched edges or otherwise designed to provide a series of cavities along the outer periphery that may be filled by the molten polymer. A strip-like base portion may be simultaneously molded from which projections, or hooks, molded in the cavities, may protrude.

It has been found that a relatively less complicated and relatively less expensive process may use vibration energy to soften the polymer to manufacture the projections

(hooks, mushroom heads, etc.) instead of the extrusion or injection processes. In one exemplary embodiment, as shown in schematic cross-sectional side view in **FIG.1**, a substrate of thermoplastic material **11** may be positioned or passed between a vibrating source **13** and a rotating molding roll **15**, the roll containing multiple hook-shaped or otherwise shaped cavities **17**, along the outer periphery. The substrate **11** may include, but not be limited to, film, sheet, web, composite, laminate or other form, or may be portions of a film, sheet, web, laminate or substrate thermoplastic material which may be used as individual fastening tabs, for instance on a disposable infant diaper. In their use on infant diapers, touch fasteners may be attached to a “side tab” that the consumer uses to secure a diaper to the infant. These tabs may be constructed with a piece of extensible material to allow the tab to stretch and flex when attached or when the infant moves. The present disclosure further contemplates the use of pre-formed film, sheet, web, composite, laminate, etc. as a substrate material.

During operation, the vibrating source **13** is positioned in close proximity to the outer surface of the rotating molding roll **15** and in contact with the substrate of thermoplastic material **11** being processed. The source of vibration **13** may include, but not be limited to, a vibrating ultrasonic horn, for example. These horns may be made from metals such as aluminum or titanium and are sold in the United States by companies such as Branson Ultrasonics, Dukane or Sonitek, and in Europe by a company such as Mecasonics. The source of vibration **13** may be vibrated in frequencies between about 50 Hz to about 50 kHz, as required. Other sources of vibration energy may be utilized, including but not limited to, a rotating eccentric roller, high pressure sound waves or other mechanical and/or electromechanical or acoustical forms of vibration energy. Such energy may therefore be transferred to a substrate and assist in the formation of the projection herein.

A portion of the thermoplastic material substrate **11** in contact with the molding roll **15** and vibrating source **13** may be softened by the vibration energy from the source and a desired portion of the thermoplastic material caused to enter into the cavities **17** of the molding roll forming hook-shaped or otherwise shaped elements or projections **19** on the front surface of the film or sheet **21** as the roll turns. This process may be referred to as rotary forming. Reference to a force may be understood as applying a requisite amount of pressure to the thermoplastic material to assist in its entry and fill-out of the cavities **17**. The thermoplastic sheet **21** may function as a carrying strip for the hooks **19**.

Thermoplastic materials which may be used to produce the hook fasteners may include, but not be limited to, polyamides, polyolefins such as polypropylene and polyethylene, acrylonitrile-butadiene-styrene (ABS), polyester, polycarbonate, polyvinyl chloride (PVC) and blends thereof. The thermoplastic materials may also be modified or reinforced with fillers, fibers, flame retardants, colorants, etc.

An advantage of the present invention is that the thermoplastic material that is immediately adjacent to the vibrating source may not melt and may therefore retain most, if not all, of its' original properties, in other words, not be subjected to a heat history which might detract from its' original properties.

When a previously molecularly oriented material or, alternatively, materials capable of being molecularly oriented are used, the molecular orientation of the material entering into the cavities may be maintained, increased or reduced by altering the vibration energies applied

FIG. 18 is an enlarged cross-sectional view of an exemplary projection **19** protruding from a substrate **21** produced in accordance with an exemplary method of the present disclosure, such as shown in **FIG.1**. Due at least in part to the relatively lower amount of

heat imparted to the substrate by the vibratory action according to the present disclosure, as compared to other processes wherein the polymer is well-above the temperatures at which orientation is destroyed (e.g. T_g in the case of an amorphous polymer or T_m in the case of a crystalline polymer) the properties of the polymer which may depend upon orientation may be more efficiently maintained and/or even increased in value. That is, the stem portion **19A** of the projection formed from the imposition of vibration energy may substantially maintain its molecular orientation or even increase somewhat as measured by shrinkage after forming or by its tensile strength after vs. before forming. For example, if the polymeric material, prior to entering the cavities, has a tensile strength (TS) in the direction of an orientation plane that is present (e.g. in the machine direction which may be understood as, e.g., the direction of extrusion) of TS_1 , the projections, formed due to exposure to vibration energy, may still exhibit a tensile strength (TS_2) in a direction of orientation that is at least 50% of TS_1 , or higher (e.g. up to 200%).

In addition, if the shrinkage attributable to orientation prior to exposure to vibrational energy is of a given value (S_1), in a given direction in said substrate, the shrinkage (S_2) that may exist after exposure to vibrational energy, in the same direction in the projection may be at least 50% of its original value, or higher (e.g. 150%). That is, $S_2 \geq 0.50(S_1)$. Reference to shrinkage herein may be understood as the loss in dimension that will occur when the substrate is heated to a temperature above which the orientation will relax and generally disappear. As noted herein, this may be above the glass transition temperature (T_g) for an amorphous polymer or about the melting point (T_m) for a crystalline polymer.

In addition, it is contemplated herein that one may start with a substrate that contains little or no orientation, which may be understood as that situation where the shrinkage is less than or equal to 5.0% in any given direction. It may also be characterized as that situation

where the Elmendorf Tear strength in a given machine direction (ET_{MD}) is approximately equal to the Elmendorf Tear (ET_{CD}) in a given cross direction, with respect to a given substrate. Cross-direction may be understood as that direction, e.g., that is transverse to a machined direction (MD). That is, ET_{MD} is within about +/- 20% of ET_{CD} . Elmendorf tear
5 strength may be measured by ASTM D1922 and may be understood as the average force required to propagate tearing through a length of the substrate at issue. Accordingly, for such substrate that contains little or no orientation, the application of vibrational energy and the formation of a projection for mechanical engagement may provide a projection that includes orientation, relative to the generally non-orientated substrate from which it was formed. The
10 orientation in such projection may be such that it includes shrinkage in a given direction of greater than 5.0%.

Furthermore, it is contemplated herein that one may start with a substrate that has biaxial orientation, which may be understood as that situation where there is orientation in both the machine and cross-directions. For example, the machine and cross-direction may
15 indicate relatively uniform shrinkage values of greater than 5.0%. It may therefore be appreciated that upon formation of a projection for mechanical engagement, the underlying substrate will now substantially retain the biaxial orientation, due to the ability to focus the vibration energy at the surface of the substrate, to form the projection, without substantially disturbing the underlying biaxial orientation present in the substrate.

20 It should also be noted that with respect to the properties of the substrate and projection noted above, one or more of such properties may be present in any given substrate/projection configuration.

In the case where a multilayered material (laminate) may be utilized, a portion of one or more of the layered materials may be formed into the cavities allowing for the production

of a product where the properties of the hook portion may be selectively engineered. **FIG. 19** is an example of an enlarged cross-sectional view of an exemplary projection protruding from a layered substrate produced in accordance with an exemplary method of the present disclosure, such as shown in **FIG. 1**. Here, a second material **121** has been joined to the substrate material **21** and through processing according to the present disclosure, projection **19** is formed. A portion **122** of the second material **121** may extend into the body or stem **19A** of the projection **19** and may provide enhanced properties to the projection. For example, portion **122**, which is formed from substrate material **121** may have a different Shore Hardness value than the Shore Hardness value that is associated with substrate material **21**.

Where a multilayered laminate is utilized, the fastener elements may be produced from one or more colors and the strip-like base may be of a different color(s). In addition, if a multilayered laminate is utilized having a transparent surface layer, the fastening elements or the strip-like material may be formed to be transparent.

Unlike prior art taught in this field where raw material is converted to a molten state prior to forming a substrate in web form to include integral projections, the present disclosure allows the substrate material to retain such desirable properties as molecular orientation, multicolored layers or composite structures by softening the polymer and forming it into the desired shape using vibratory energy, thereby also minimizing the thermal history of the polymer(s) processed.

With reference to **FIG. 1**, a means for cooling may be provided on or adjacent the molding roll **15** and the formed product, a strip **21** of polymer including a plurality of hook-type projections **19**, may be peeled from the molding roll. Cooling may be accomplished by, for instance, cooling the molding roll externally and/or internally, cooling the vibrating

source internally and/or externally and/or cooling the thermoplastic material directly and/or indirectly through the use of liquid, gas, air or other means.

In some instances, an after-burst of ultrasonic energy may be applied during or after cooling has taken place to aid in “decoupling” the projections from the mold or horn. This
5 may be particularly useful when the projections are formed in the surface of the source of energy, i.e. the horn.

One example of a process for providing projections on a substrate which may be used as one of the mating portions in a touch fastening system is shown in **FIG. 12**. As described at Block **100**, a molding roll, or other shape, may be provided containing multiple hook-
10 shaped or otherwise shaped cavities, arranged along the outer periphery of the molding roll, the cavities capable of forming projections conforming to the shape of the cavities. In Block **200**, a source of vibration energy may be provided, for instance an ultrasonic horn or roll. A substrate material may be provided (Block **300**), in, for instance, film, sheet, web, laminate, composite, etc., form and the substrate positioned (Block **400**) between the molding roll and
15 source of vibration.

Power may be applied (Block **500**) to the source of vibration to selectively soften the substrate material and allow material into the cavities in the molding roll, forming projections. Alternatively, the molding roll may be smooth and the cavities for forming the projections may be formed into the surface of the source of vibration as depicted in **FIGS. 5**,
20 **7** and **8**.

The projections, and substrate, if required, may be cooled and the substrate including projections extending from the surface thereof may be stripped from the molding roll to form a strip for use in a touch fastener system. Cooling may take place between the molding roll

and the source of vibration, in the molding roll or on the substrate after release from the roll. The projections may subsequently be post-formed into a desired shape.

As described herein, the cavities for forming the projections may be also be formed in the surface of a rotating horn (see **FIGS. 4-8**).

5 Although hook-shaped cavities and hook-shaped projections are referenced herein, it is anticipated that cavities may be chosen to produce projections having other shapes which may function as the “hook” portion of a touch fastener system including, but not limited to, straight pins, angled pins, tapered pins, mushroom headed pins and curved pins, as well as elements with varying cross-sections such as, but not limited to round, oval, square,
10 rectangular, trapezoidal, cross, multi-lobed, grappling hook, multi-limbed or combinations of these. The projections may have a solid core or may be in hollow form such as tubular. Examples of some of these shapes are shown in **FIG. 2A-N**. For instance, **FIG. 2G** is an example of a multi-lobed projection, **FIG. 2I** is an example of tubular, **FIG. 2J** is an example of cross-shaped, **FIG. 2K** is an example of Y-shaped, **FIG. 2L** is an example of a grappling
15 hook and **FIG. 2M** is an example of multi-limbed. It is further contemplated that where **FIG. 2J** is an example of a four-limbed projection and **FIG. 2K** is an example of a three-limbed projection, that projections may include additional limbs, such as 5, 6, 7, 8, etc. Such projections may vary in height, thickness and in the angle that they may project from the carrying strip **21** or substrate. In addition, the projections may be formed of a uniform height
20 or may vary in height.

The surfaces of the vibrating source **13** may be shaped so as to increase the length of time thermoplastic materials may be subjected to the vibration energy or to otherwise improve the properties and/or performance of the process. **FIG. 3** shows one example of one type of a modified surface for a vibrating source **13A** wherein a portion of the surface **12A** of

the vibrating source **13A** has been provided which is complementary in shape to the surface of the molding roll **15**. Also, in **FIG. 3**, a portion **12** of the vibrating surface has been modified, in this example as a complex curved surface, to allow thicker thermoplastic materials **11A** to pass between the vibrating source **13A** and the molding roll **15**. Shaping of the vibrating source **13A** may also be used to reduce distortion of the finished product and to aid in guiding the thermoplastic material substrate **11** between the vibrating source **13** and the rotating molding roll **15**.

In another exemplary embodiment, as shown in **FIG. 4**, the source of vibration may be a roll which may include cavities for forming the projections and a rotating roll **22** may be positioned so as to force the softened thermoplastic into the cavities. The rotating vibration source **20** may contain multiple hook-shaped or otherwise shaped cavities **17** along its' outer periphery and may be used in place of the stationary vibrating source **13**, **13A** (as shown in **FIGS. 1** and **3**) and positioned in close proximity to the rotating roll **22**. The vibrating source **20** may be a rotary ultrasonic horn. These horns may be made from, for instance, titanium and are sold in the United States by Branson Ultrasonics and in Europe by Mecasonics. A roll with a patterned surface may be substituted for the smooth roll if patterning the back surface **24** of the product is desired. Patterning the back of the product to simulate woven structures or leather materials or other designs may serve to enhance the aesthetics and/or functionality of the product. In some cases, a patterned surface may be designed on one or both rolls to form apertures in the base material thereby making the fastener breathable or permeable.

In another exemplary embodiment, as shown in **FIG. 5**, a rotating vibration source **20** containing multiple hook-shaped or otherwise shaped cavities **17**, along its outer periphery as shown in **FIG. 4**, may be positioned in close proximity to a non-rotating stationary platen **26**.

The platen surface **28** may be smooth or patterned if patterning the back surface of the product is desired.

FIG. 6 shows an exemplary embodiment of another type of modified stationary platen **30** in combination with a rotary vibration source **20**. In this example, one surface **32** of the platen has been modified, in this example as a complex curved surface, to allow thicker thermoplastic materials (substrates) to pass between the vibrating source **20** and the modified stationary platen **30**. Reference numeral **32A** indicates an area where the surface of the platen **30** is complementary in shape to the shape of the surface of the rotary vibration source **20**. Shaping of the modified stationary platen **30** may also be used to reduce distortion of the finished product and aid in guiding the thermoplastic material substrate **11A** between the rotating vibration source **20** and the stationary platen **30**. The platen surface **32** may be smooth or patterned if patterning the back surface of the product is desired.

In another exemplary embodiment, as shown in **FIG. 7**, a rotating vibration source **20** containing multiple hook-shaped or otherwise shaped cavities **17** along its outer periphery, may be combined with a rotating molding roll **15** (such as is shown in **FIG. 1**) to produce a polymeric strip having projections on both the front and back side of the sheet **21A**. The rotating vibration source **20** may be positioned in close proximity to the outer surface of the rotating molding roll **15** and both rolls may contain multiple hook-shaped or otherwise shaped cavities **17**, along their outer peripheries. As shown, this may allow for the production of products with hook-shaped or otherwise shaped elements **19** on the front surface **23** and back surface **24** of the carrying strip **21A** simultaneously.

In another exemplary embodiment, as shown in **FIG. 8**, a rotating vibration source **20** containing multiple hook shaped or otherwise shaped cavities **17**, along its outer periphery may be positioned in close proximity to another rotating vibration source **20A** containing

multiple hook shaped or otherwise shaped cavities **7**, along its' outer periphery. This would allow for the production of products with hook-shaped or otherwise shaped elements **19** on the front surface **23** and back surface **24** of the carrying strip **21A** simultaneously.

In still another exemplary embodiment, two or more sources of vibration, either
5 stationary or rotary in nature, may be utilized simultaneously. **FIG. 9** depicts the use of two vibrating stationary sources **13** in close proximity to a rotating molding roll **15** containing multiple hook-shaped or otherwise shaped cavities **17**, along the outer periphery of the molding roll **15**.

As described above, the process and apparatus according to the present disclosure is
10 suitable for forming a product having projections which may function as hook fasteners or projecting elements having other shapes in touch fastening systems on one or more surfaces of a strip of thermoplastic material substrate, the substrate comprising film, sheet, web, composite, laminate or other form, or from portions thereof. The substrate may include a cellular structure, such as a foamed polymer, for example, or be a molecularly oriented film
15 or a composite that may, for example, include a fibrous reinforcement. The projections may have a variety of shapes, lengths and dimensions. The projections may be formed from one or more of the materials making up the multilayered film or substrate sheet or portions of such.

It is contemplated that at least a portion of the substrate may comprise a thermoset polymer.

20 It is further contemplated that the substrate upon which the projections are formed may include continuous or intermittent layers of materials and combinations thereof. For instance, it is contemplated that projections may be formed on an intermittent web, to produce diaper closure tabs, possibly inline with a diaper manufacturing machine.

It is further contemplated that projections may be formed in their final shape or produced partially shaped and post-formed to obtain their final geometry, for instance, a straight pin that may be reshaped into a hook shape, or a straight pin that may be blunted into a mushroom shape in a subsequent processing step or a deformed hook that may be post-
5 formed into a hook capable of functioning as a fastening element..

It is further contemplated that the projections as formed herein may provide a means of fastening, either temporary or permanent, by engaging with a material having loop elements (e.g. structures that will mechanically engage a projection such as a hook), or engaging to screen-like materials, open-celled foam-like materials or a material having
10 similar or mating projections (for instance, hooks, mushrooms, etc.)

In a particular advantage of the process described herein, the projections of the present disclosure may be formed intermittently on a substrate by turning the source(s) of vibration on and off as desired or by intermittently altering the position and/or contacting force and/or vibration frequency of the vibrating source. For example, one may move an
15 ultrasonic horn or other vibration source up and down intermittently while a web passes through the process to intermittently form projections on a substrate. As such, projections may be formed in a desired pattern, and the pattern may be varied during the in-line processing of the substrate. Accordingly, the projections may be of uniform height or multilevel height depending on the conditions of operation of the apparatus.

20 Projections, according to the present disclosure may be formed on a substrate, such as a web, with portions of the web formed to be creped or folded to allow the web to stretch.

FIG. 10A is a schematic front view showing an exemplary embodiment of a configuration of apparatus wherein the molding roll **42** includes a portion **44** of the surface including cavities to form projecting elements and the vibrating source **40** and molding roll **42** each include

complementary portions of their surfaces **46, 46A** configured to form a creped area. **FIG. 10B** is a schematic cross-sectional side view of **FIG. 10A**. **FIG. 11** depicts an example of a product that may be produced from the configuration depicted in **FIG. 10A**. In this example, hook type elements **19** have been formed adjacent to creped areas **48** on a web **21B**. This type of configuration may prove appealing if used to form hook elements and creped areas on diaper closure tabs, eliminating the complex combinations of adhesive bonded touch fasteners to elastomeric non-wovens currently employed for this application. The creped portion and the fastening portion may thus be formed into a web material simultaneously such that an extendable diaper fastening tab is formed. Either of the surfaces **46, 46A** may be configured to form a crepe area or one of the surfaces may comprise a compliant material, such as rubber or an elastomer, which under nip pressure will conform to the opposing surface configuration. Reference to crepe, may be understood to mean a quality in a web imparted by embossing to give a wavy surface such as a crimp in a web. Reference to a wavy surface may be understood to mean a surface that rises and falls in relative position.

In another exemplary embodiment, projections may be formed while simultaneously attaching them to an extensible or non-extensible web. As shown in **FIG. 13**, an extensible material or non-extensible material **11B** may be fed between a rotating molding roll **15** and a stationary source of vibration **13** (or rotating source of vibration or other configurations of mold and vibration sources for forming projections, as disclosed herein) (see, for instance, **FIGS. 1, 3-9, 10A and 10B**). The vibration source **13** may be positioned in close proximity to the mold **15**, but far enough away to avoid melting or deforming the extensible material or non-extensible material **11B**. Pieces of thermoplastic or thermosettable materials **18** materials may be intermittently fed between one or more sides of the extensible material or non-extensible material **11B** and the vibration source **13**. When the pieces pass between the

vibration source **13** and the mold roll **15**, for example, the additional thickness may cause the thermoplastic or thermosettable material to be forced into the cavities **17**.

Further, a pattern of projections may be formed by passing a pre-perforated or pre-die cut masking material between the substrate (film, sheet, composite, etc.) and molding roll
5 thereby selectively covering areas of the molding roll and providing an intermittent pattern of projections. The mask may be removed (**FIG. 22**) or may be bonded to the substrate (**FIG. 21**) if desired. Accordingly, variations in patterns may be provided relatively easily without having to change the configuration of the molding roll. The die cutting, or other formation of a mask may be done in-line or off-line.

10 **FIGS. 20A** and **20B** illustrate examples of masking materials for such a purpose. **FIG. 20A** is a top view of a mask **80** comprising a sheet of material **82**, such as paper, metal, film, fabric, etc. which has one or more openings **84** formed therein. **FIG. 21** illustrates the apparatus and process of **FIG. 1** wherein a mask **80** in sheet form is fed into the nip between the vibration source **13** and the molding roll **15** such that portions of the mask cover selected
15 cavities **17** in the molding roll and an intermittent pattern of projections **19** are formed through the openings **84** on the surface of the formed substrate **21**.

FIG. 22 illustrates a similar process where the mask **80** may be separated from the substrate **21** and not become part of the finished product.

FIG. 23 is a perspective view of the apparatus and process of **FIG. 21**.

20 **FIG. 24** illustrates another apparatus and process similar to **FIG. 1** wherein a material **90** in sheet form (such as a foam, non-woven web, etc.) is laminated to a substrate material **11** and fed into the nip between the vibration source **13** and the molding roll **15**. Portions **100** of the molding roll **15** may be removed to allow portions of the material **90** to provide an intermittent pattern of projections **19** and to further allow the material 90A to surround the

discrete areas of projections **19** and act as a gasket. Accordingly, as shown an intermittent pattern of projections **19** are formed like islands between the areas of material **90A**. The thermoplastic material of layer **11** impregnates the material **90** when the projections **19** are formed. It is contemplated that the height of the projections **19** may be less than the height of the material **90A** such that the projections do not engage a mating fastening system element and premature engagement is substantially prevented. In an application such as a fastening tab for a diaper this may also provide protection from the projections encountering the baby's skin.

In addition, it is contemplated that projections may be formed through openings in covering layers of material by passing multiple layers of material between a molding roll and vibration source where the covering layer may include holes that align with the pattern of cavities in one or more of the rolls, or where porous materials, such as textiles, may provide openings for the substrate material to be forced through and into the cavities in the roll(s), or where the strength of the covering layer is sufficiently weak such that a substrate material may burst through the covering material and into the cavities of the mold.

FIG.20B illustrates another type of mask **80A** made from a porous substrate material **88**, such as screen, non-woven, open-cell foam, etc. that has been covered by, for instance a coating or by laminating another material **86** except in areas wherein openings **84** are formed in the coating. The porous material **88** is visible through the openings **84** such that projections may be formed therethrough, while the coated areas of the mask act to prevent the formation of projections **19**. It is further contemplated that a mask may be applied locally directly to a portion of the surface of the molding roll such as by spraying or dipping a liquid and then drying such. This coating may then prevent the formation of projections in selected areas of the substrate. The mask may be reused or peeled off and reapplied.

Further, a laminate of multiple layers, for instance, thermoplastic substrate/fabric/thermoplastic fabric may be passed between cooperating rolls/vibration sources (see, for instance, **FIGS. 7** and **8**) to provide a pattern of back-to-back projections having a reinforcing layer.

5 It is further contemplated that intermittent cuts or slits or other wise shaped apertures may be produced in the substrate by raising portions of the molding roll surface (or rotary horn surface) to create cuts or very thin portions of the substrate. These modifications to the substrate may serve to make the fastening strip softer and/or stretchable and/or breathable.

 The process and apparatus as described herein may provide advantages over an
10 extrusion/molding process as relatively less heating and cooling energy may be consumed since only the material used to form the projections may be heated and cooled. Further, multiple colors may be provided by the choice of substrate material and a broad variety of properties may be obtained through the selection of substrate materials, including but not limited to, molecularly oriented substrates or composite substrates. Materials that have
15 printed patterns, logos, etc., may be used as substrates and thereby have projections formed into one or more of their surfaces allowing the printed patterns, etc. to remain legible. Start-up time for the process may be relatively fast and the process may be started and stopped at will, eliminating the need for complex and costly automated transfer winders, as are often required in continuous extrusion processes. Finally, floor space may be reduced substantially.

20 Touch fasteners are often adhered to various thermoplastic objects. One such application involves the attachment of touch fasteners to automotive door panels and interior headliner panels. The materials chosen for use as touch fasteners (polyamides, polyolefins, etc.) often make adhesive bonding difficult, expensive and a common source of failure. It is contemplated that a version of the process and apparatus described herein may eliminate or

reduce the need for adhesives to bond fasteners to base materials as the hook-type fasteners (projections) may be formed as part of or formed onto the surface of such base materials.

The processes and apparatus described heretofore are primarily directed at continuous or semi-continuous methods of forming projections on various surfaces. In another exemplary embodiment, which may be described as “plunge forming”, the projections may be formed anywhere on a thermoplastic object using automatic equipment, a robotically held or hand-held horn, or other source of vibration, which may be brought to the location where the projections are desired to be formed. **FIGS. 14A, B and C** illustrate a process where an ultrasonic horn **54** may have a vibrating surface **50** which may be constructed with cavities **17** located thereupon (**FIG. 14A**). The ultrasonic horn **54** may be pressed (arrow **A**) against a thermoplastic object **52** (for instance, a door panel or headliner substrate for a vehicle) and vibration energy applied (**FIG. 14B**), selectively softening the thermoplastic material and forcing some of the thermoplastic material **52** into the cavities **17**. The vibration energy may then be stopped, the thermoplastic material allowed to cool and the ultrasonic horn retracted (arrow **B**, **FIG. 14C**) freeing the newly formed projections **19** from the cavities **17** and providing a plastic object having a surface with a local pattern of projections for attachment formed thereupon.

In some instances, an after-burst of ultrasonic energy may be applied during or after cooling has taken place to aid in “decoupling” the projections from the mold or horn. This may be particularly useful when the projections are formed in the surface of the source of energy, i.e. the horn. It is contemplated that a removable or replaceable horn tip may be used to allow relatively rapid changing of the pattern of projections.

FIGS. 15A, B and C illustrate similarly that various thermoplastic or thermosettable materials **60** may be positioned between the ultrasonic horn **54** and the object **52** (**FIG. 15A**)

allowing for the formation of projections **19**, fully or partially from the positioned material **60** (**FIG. 15B**). In this manner, the projections **19** may be formed from a second material **60** and through the vibration process that material may be bonded to the object **52** (**FIG. 15C**). It may be appreciated that this will have particular utility in that situation where the object **52** is
5 an automotive trim panel, which may be understood as a thermoplastic and/or thermoset door panel, instrument panel, center console, rear close-out panels, headliner, etc.

In another exemplary embodiment, as shown in **FIGS. 16A, B and C**, the cavities may be provided in a mold-like base **56** and not in the ultrasonic horn **54**. The thermoplastic object **52** may be positioned (**FIG. 16A**) and held under pressure (arrows **A**, **FIG. 16B**)
10 between the ultrasonic horn and the mold base **56**. Vibration energy may be applied to the horn (**FIG. 16B**), forcing some material from the object **52** into the cavities **17** of the base. Again, the vibration energy may be stopped, the thermoplastic material allowed to cool and the ultrasonic horn retracted (arrows **B**) (**FIG. 16C**) freeing the newly formed projections **19** from the cavities **17** and providing a plastic panel having a surface with a local pattern of
15 projections formed thereupon for attachment thereto.

FIGS. 17A, B and C illustrate that various thermoplastic or thermosetting materials **60** may be positioned between the object **52** requiring the projections **19** and the mold-like base **56** containing the cavities **17** (**FIG. 17A**). The projections may be formed from the material **60** by forcing the horn **54** and mold **56** together around the object **52** and material **60**
20 and applying vibration energy (arrows **A**, **FIG. 17B**). Again, the vibration energy may be stopped, the thermoplastic material allowed to cool and the ultrasonic horn retracted (arrows **B**) (**FIG. 17C**) freeing the newly formed projections **19** from the cavities **17** and providing a plastic panel having a surface with a local pattern of projections formed thereupon, the projections of a different material than the object **52**.

The process and apparatus described herein may greatly reduce the complexity of insert molding hook type materials into larger molded objects as different types of materials may be fed in layers to the apparatus and the projections formed on or through one or more of the layers. Materials for a portion of the substrate layer or for the projections may thus be
5 different from the substrate materials. The use of an ultrasonic horn or other source of vibration energy and the use of a mold-like base as disclosed herein to form projections on objects in a discontinuous or intermittent process or to locally form a pattern of projections on the surface of an object, may provide relatively lower capital and space requirements as well as a very flexible process capable of being easily moved. It is contemplated that all of
10 the features disclosed regarding the continuous or semi-continuous process herein also may apply to the local application of projections on an object.

The projections disclosed herein for use as elements in a touch fastening system may be produced in a relatively wide range of sizes and densities to provide a wide range of fastening or holding strength. While not being held to any particular limits, it is
15 contemplated that the height of such projections may range from less than about 10 microns to greater than about 5 mm.

The description and drawings illustratively set forth the presently preferred invention embodiments. The description and drawings are intended to describe these embodiments and not to limit the scope of the invention. Those skilled in the art will appreciate that still other
20 modifications and variations of the present invention are possible in light of the above teaching while remaining within the scope of the following claims. Therefore, within the scope of the claims, one may practice the invention otherwise than as the description and drawings specifically show and describe.

What is claimed is:

1. A process for forming projections on a substrate comprising:

providing a mold having an outer surface;

5 providing a substrate material having a surface;

providing a device as a source of vibration energy;

wherein one or both of said mold and device contain a plurality of cavities,
said cavities having a shape;

positioning said substrate material between said mold and said device;

10 applying power to said device wherein a portion of said substrate material enters said
cavities in said mold surface; and

forming projections on at least a portion of said surface of said substrate
material,

15 wherein said cavities are shaped to form projections which are shaped as one
or more of hooks, mushrooms, straight pins, angled pins, tapered pins, curved pins,
grappling hook, multi-limbed, cross-shaped, Y-shaped and multi-lobed, each having
cross-sections which are round, oval, square, rectangular, trapezoidal, solid, hollow
and combinations thereof.

20 2. The process of claim 1 wherein said substrate material is forced into said cavities.

3. The process of claim 1 wherein said formed substrate further includes a creped area
produced from a portion of one or both of said mold and said device having a wavy
surface.

4. The process of claim 1 wherein said application of power to said device is intermittent.

5 5. The process of claim 1 wherein said substrate material comprises thermoplastic and/or thermoset material.

6. The process of claim 1 wherein said mold comprises a rotating roll.

10 7. The process of claim 1 wherein said mold comprises a rotating roll and said device comprises a rotating roll.

8. The process of claim 1 wherein said mold is stationary and said device comprises a rotating roll.

15 9. The process of claim 1 wherein said vibration energy is mechanical or electromechanical or acoustical.

10. The process of claim 1 wherein said device comprises an ultrasonic horn.

20

11. The process of claim 1 wherein said substrate material enters said cavities in said mold surface forming projections and a portion of said substrate serves as a carrying strip for said projections.

25

12. The process of claim **1** wherein said substrate comprises a laminate and includes a first layer which includes one or more openings which expose a surface of a second layer and said projections are formed on said surface of said second layer.

5 **13.** The process of claim **1** wherein said substrate comprises a laminate comprising continuous and/or intermittent layers of different materials including foam, fiber-reinforced plastic, oriented thermoplastic or thermosetting materials.

10 **14.** The process of claim **1** wherein after removal from said cavities said projections are post-formed into a desired shape.

15. The process of claim **14** wherein said post-forming uses vibration energy.

15 **16.** The process of claim **14** wherein said projections are post-formed to include a mushroom-shaped head.

20 **17.** The process of claim **1** wherein as a subsequent step said projections are engaged to a second substrate material having one or more of loop elements, complementary shaped projections or other mating features to fasten said substrate material to said second substrate material.

18. The process of claim **1** wherein vibration energy is applied to said projections to aid in removing said projections from said cavities.

19. The process of claim 1 wherein a second thermoplastic material is passed between said substrate material and said mold or said device and said second thermoplastic material is exposed to said vibration energy causing said second substrate material to adhere to said substrate material.

5

20. The process of claim 19 wherein said second thermoplastic material forms at least a portion of said projections.

21. The process of claim 1 wherein said substrate comprises a portion of a diaper.

10

22. The process of claim 3 wherein said substrate comprises a portion of a diaper.

23. The process of claim 7 wherein one or both said rolls includes a patterned surface and said patterned surface forms apertures in said substrate.

15

24. A process for forming projections on a substrate comprising:

providing a substrate material having a surface;

providing a device as a source of vibration energy, said device having a surface containing a plurality of cavities, said cavities arranged along at least a portion of said surface, said cavities having a shape;

20

pressing said device against said surface of said substrate material;

applying power to said device and forcing a portion of said substrate material into said cavities in said surface of said device and forming projections on said

surface of said substrate material, said projections generally conforming to said shape of said cavities,

wherein said cavities are shaped to form projections which are shaped as one or more of hooks, mushrooms, straight pins, angled pins, tapered pins, curved pins, grappling hook, multi-limbed, cross-shaped, Y-shaped and multi-lobed, each having cross-sections which are round, oval, square, rectangular, trapezoidal, solid, hollow and combinations thereof.

25. The process of claim **24** wherein said device is manually or robotically pressed against said surface of said substrate material.

26. The process of claim **24** wherein after removal from said mold surface said projections are post-formed into a desired shape.

27. The process of claim **26** wherein said post-forming uses vibration energy.

28. The process of claim **26** wherein said projection is post-formed to include a mushroom-shapes head.

29. The process of claim **24** wherein a second thermoplastic material is passed between said substrate material and said device and said second thermoplastic material is exposed to said vibration energy causing said second substrate material to adhere to said substrate material.

30. The process of claim **24** wherein said substrate comprises an automotive trim panel.

31. An apparatus for forming projections on a substrate comprising:

5 a mold having a surface;

 a device as source of vibration energy;

 wherein one or both of said mold and device contain a plurality of cavities, said
cavities having a shape wherein said shape provides for the formation of a projection
from a substrate suitable for mechanical engagement with one or more of a loop
10 element or a complimentary shaped projection or other mating material.

32. The apparatus of claim **31** wherein one or more of said mold and said device
comprises a roll.

15 **33.** The apparatus of claim **31** wherein said vibration energy is mechanical or
electromechanical or acoustical.

34. The apparatus of claim **31** wherein said device is an ultrasonic horn.

20 **35.** The apparatus of claim **31** wherein said device includes a surface which engages a
first side of said substrate, said surface being at least partially curved, and a second
side of said substrate engages a surface of said mold, wherein said mold surface is at
least partially curved and wherein the curved surfaces of said device and said mold
are complementary in shape.

36. The apparatus of claim **35** wherein said complementary curved surfaces further form a creped area.

5 **37.** The apparatus of claim **35** wherein said curved surface of said mold comprises a compliant material.

38. The apparatus of claim **31** wherein said formed substrate comprises an extendible fastening tab for a diaper.

10

39. An apparatus for forming projections on a substrate comprising:

a device as a source of vibration energy said device containing a plurality of cavities, said cavities having a shape wherein said shape provides for the formation of projections in a substrate suitable for mechanical engagement with a loop element or
15 complimentary shaped projection or other mating material.

40. An article for mechanical engagement, the article comprising a substrate having two sides and including one or more projections extending from one or both sides, wherein the substrate has a machine direction (MD) and cross-direction (CD), and
20 said article is characterized as having one or more of the following characteristics:

- i. said substrate has a tensile strength in said machine direction of TS_1 and said one or more projections have a tensile strength of TS_2 , where TS_2 is equal to 50% of the value of TS_1 , or higher; or

- ii. said substrate has a shrinkage in a given direction of S_1 and said one or more projections have a shrinkage in the same direction of S_2 and $S_2 \geq 0.50(S_1)$.

- 5 **41.** An article for mechanical engagement, the article comprising a substrate having two sides and including a plurality of projections extending from one or both sides, wherein the substrate, prior to the formation of a projection in said substrate surface, has a machine direction (MD) and cross-direction (CD), and said substrate is characterized as having biaxial orientation wherein the shrinkage in the machine
- 10 direction and cross-direction indicate shrinkage values that are within +/- 20% of one another, and wherein said substrate, after formation of a projection on said substrate surface, has a biaxial orientation wherein the shrinkage in the machine direction and cross-direction indicate shrinkage values that are within +/- 20% of one another.

FIG. 1

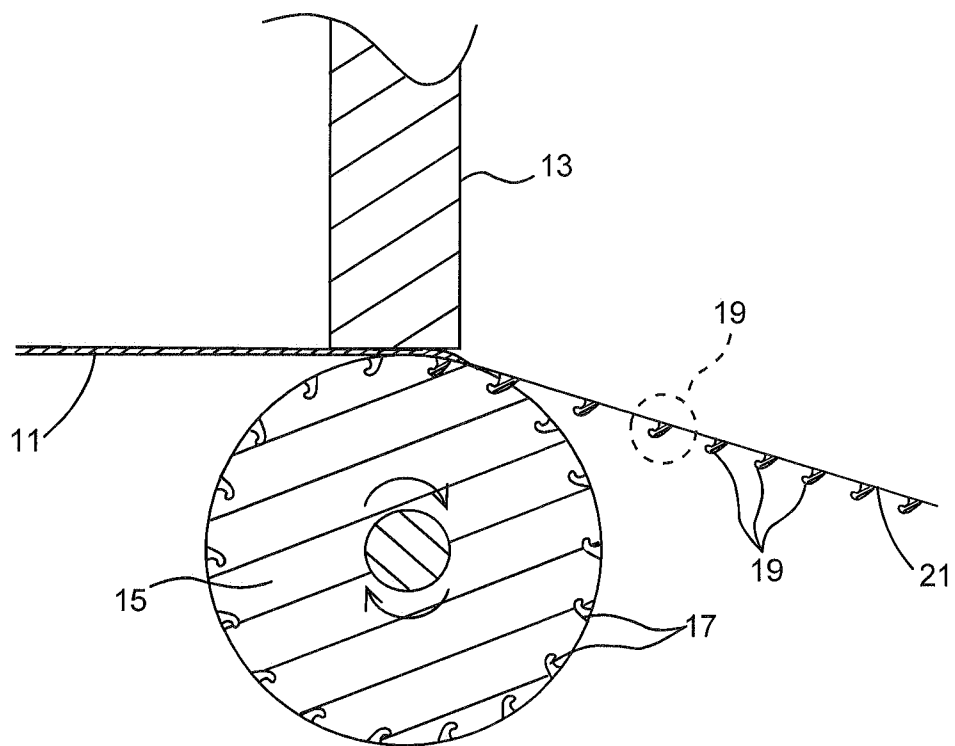


FIG. 2

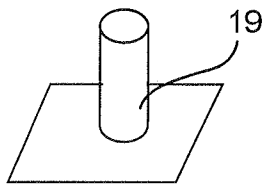


FIG. 2A

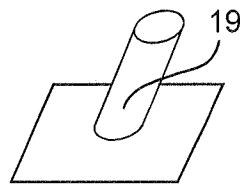


FIG. 2B

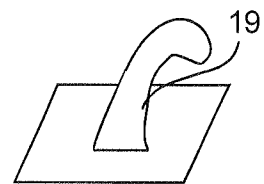


FIG. 2C

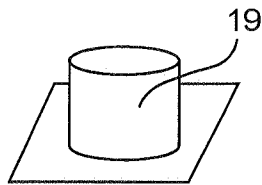


FIG. 2D

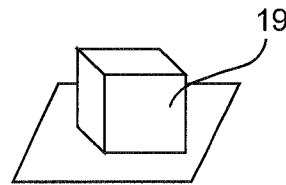


FIG. 2E

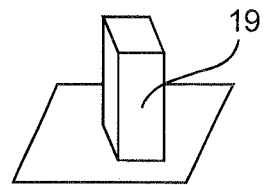


FIG. 2F

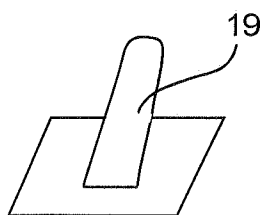


FIG. 2G

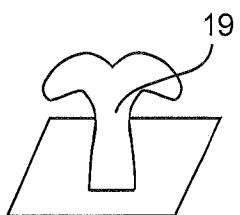


FIG. 2H

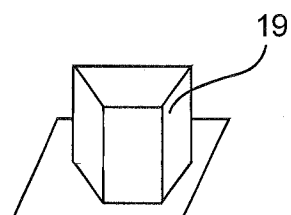


FIG. 2I

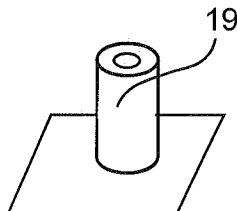


FIG. 2J

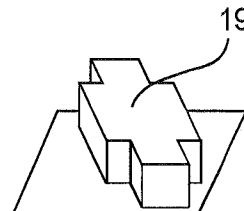


FIG. 2K

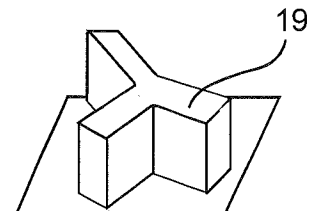


FIG. 2L

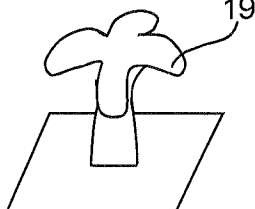


FIG. 2M

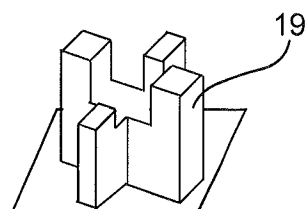


FIG. 2N

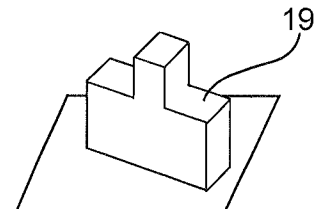


FIG. 3

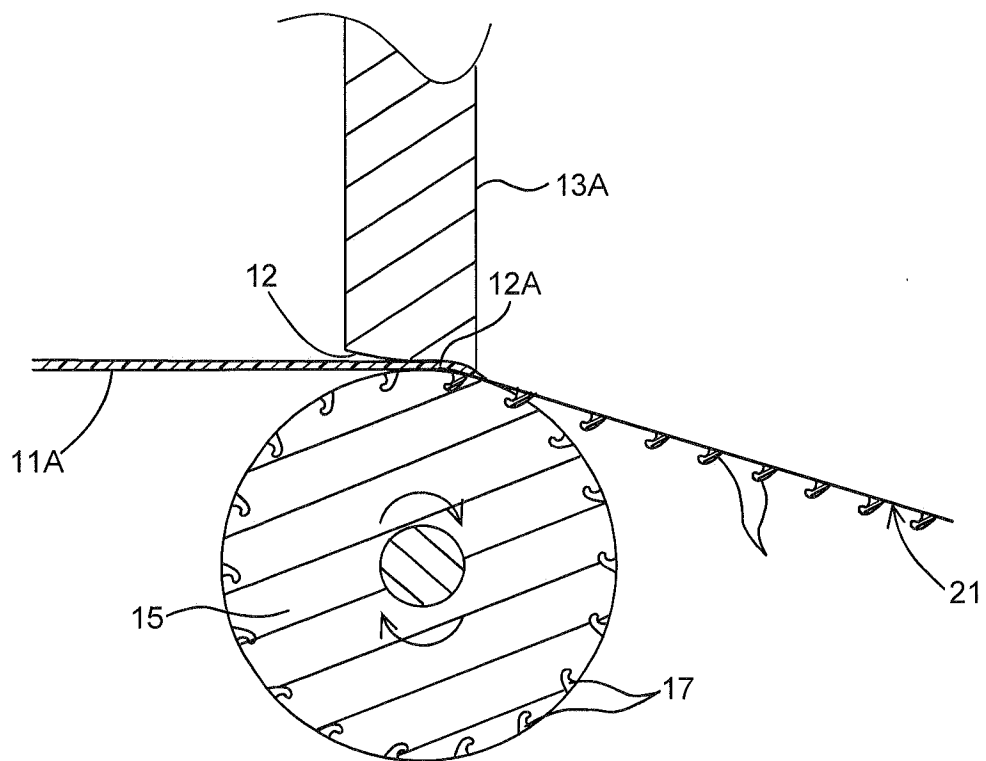


FIG. 4

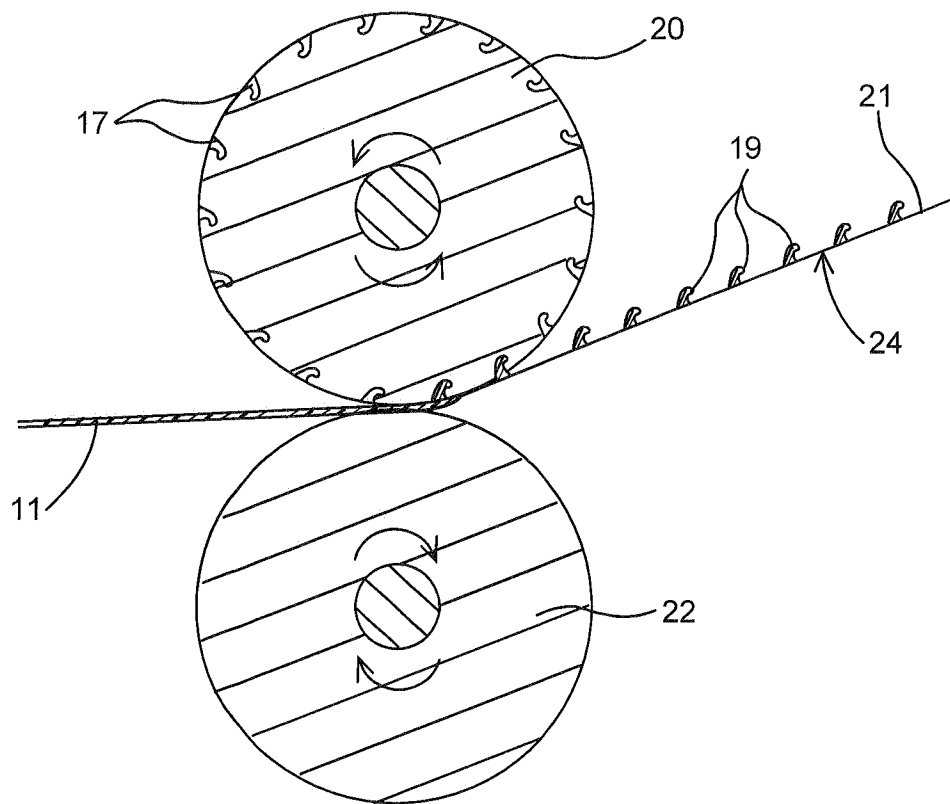


FIG. 5

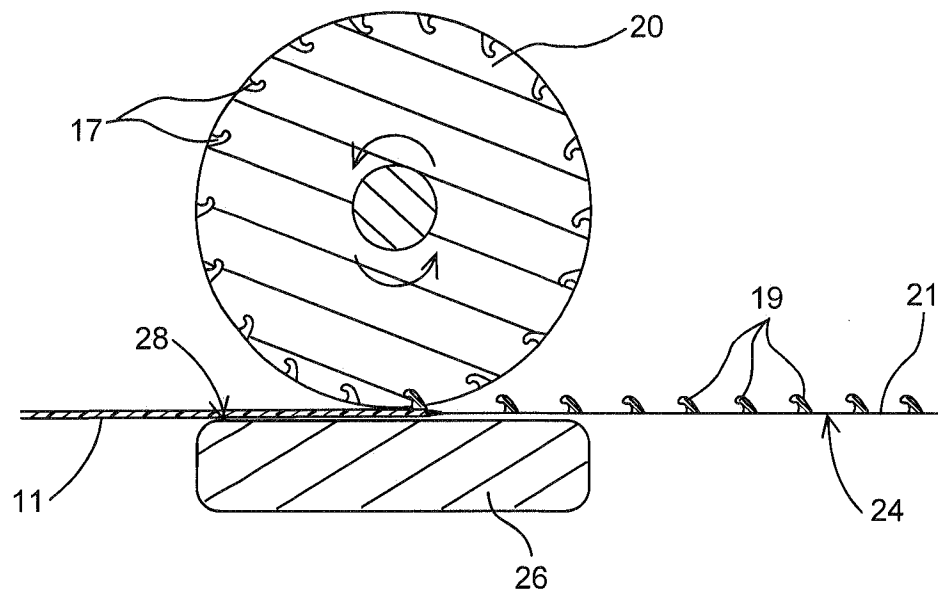


FIG. 6

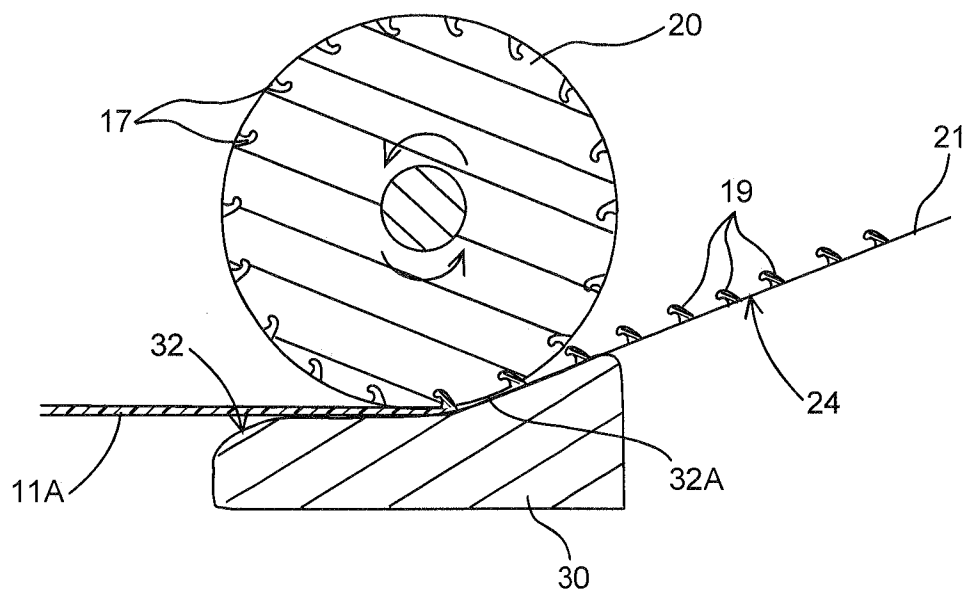


FIG. 7

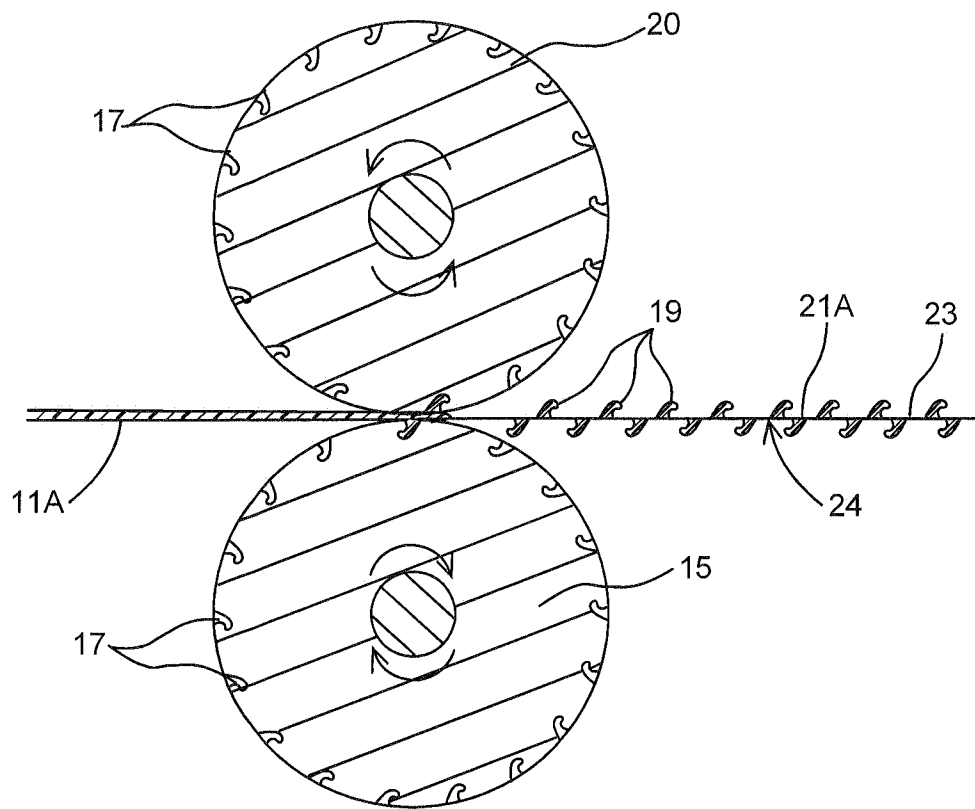


FIG. 8

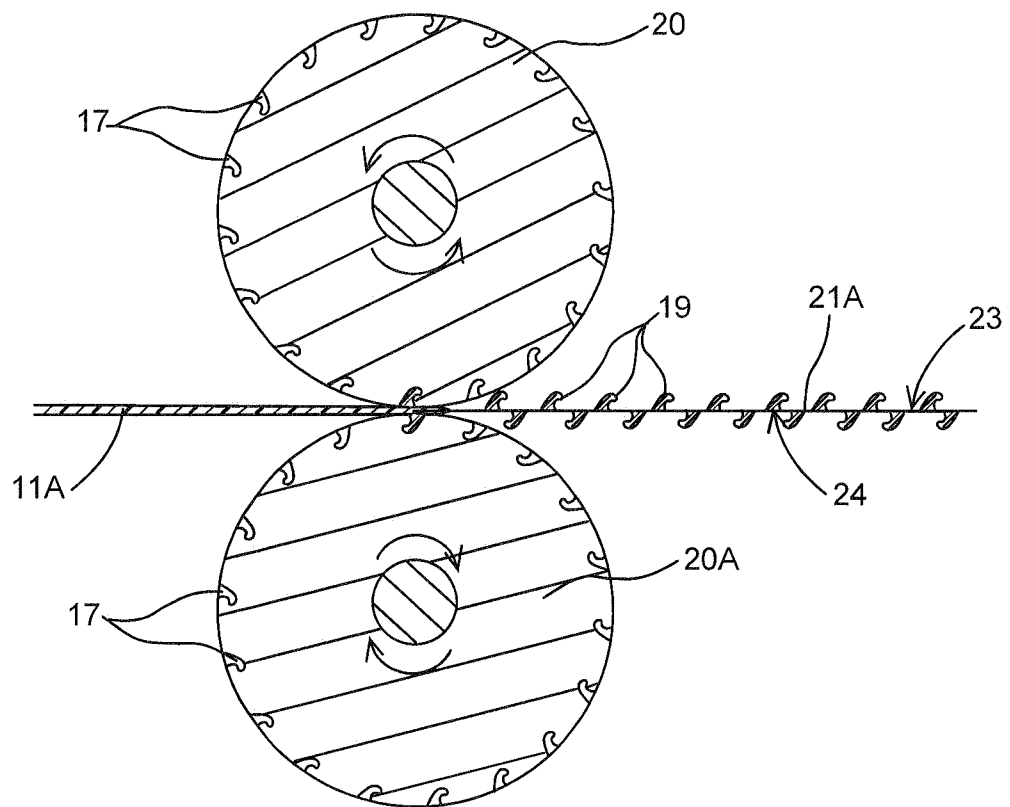


FIG. 9

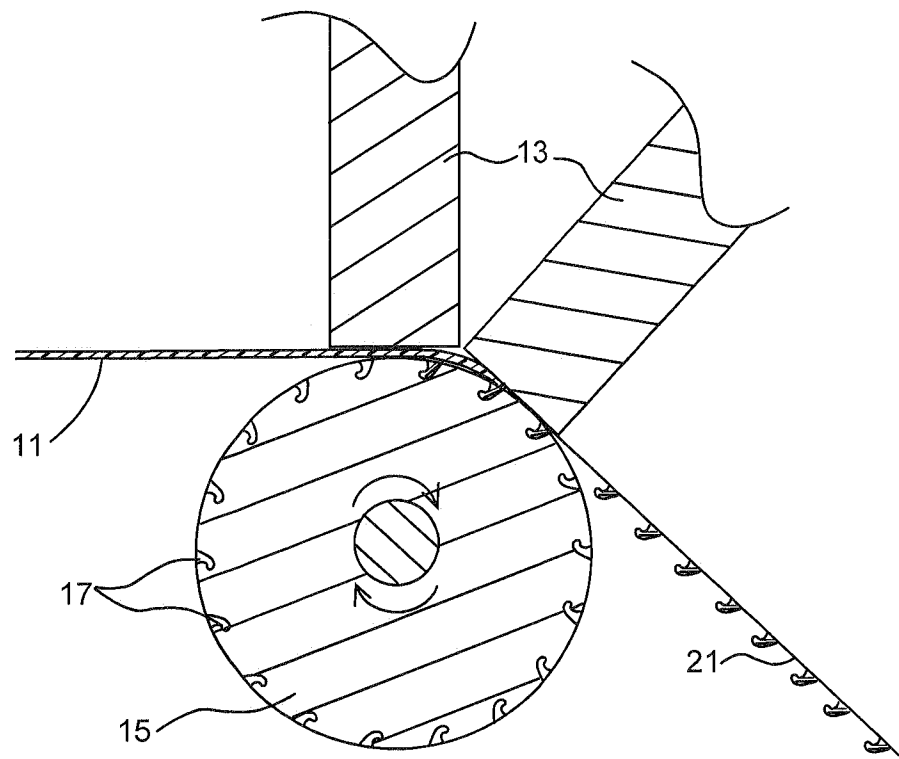


FIG. 10B

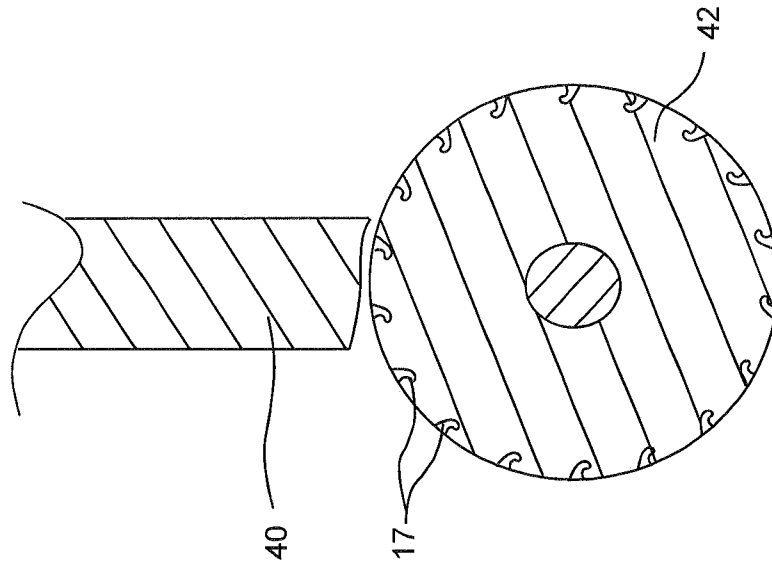


FIG. 10A

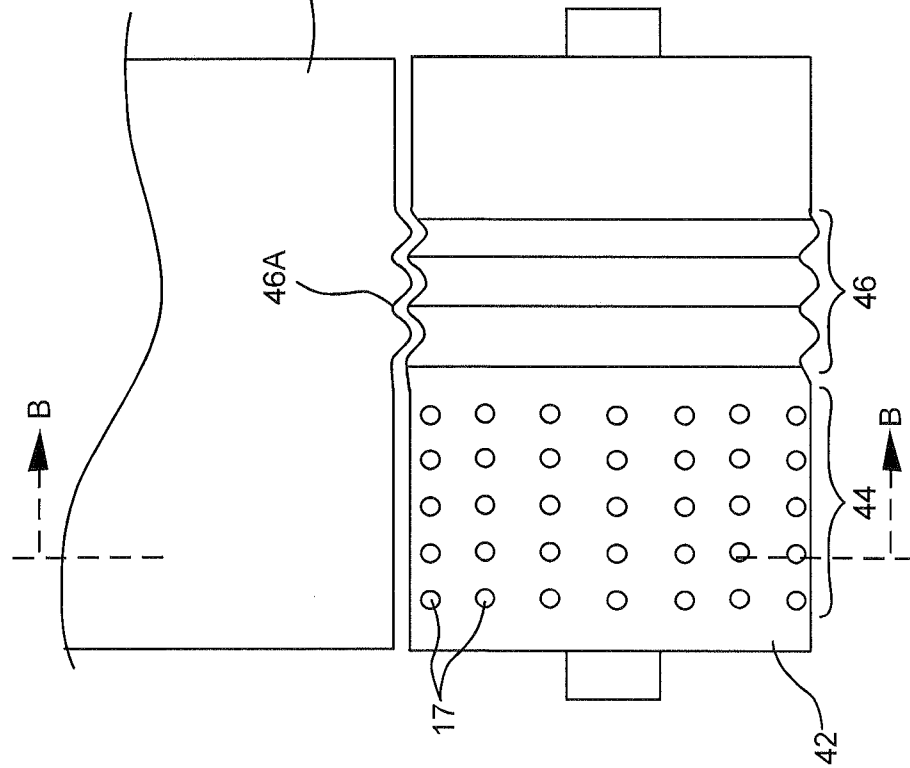


FIG. 11

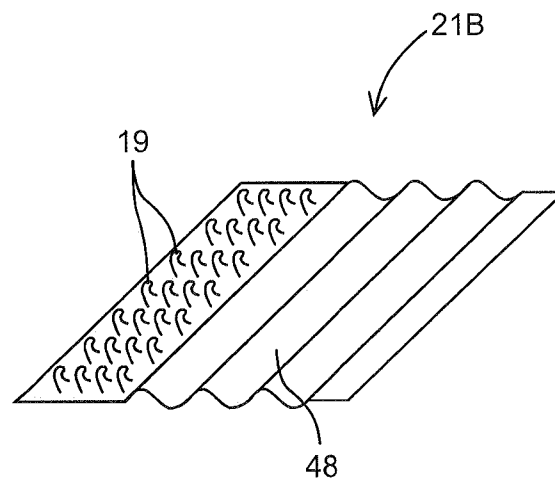


FIG. 12

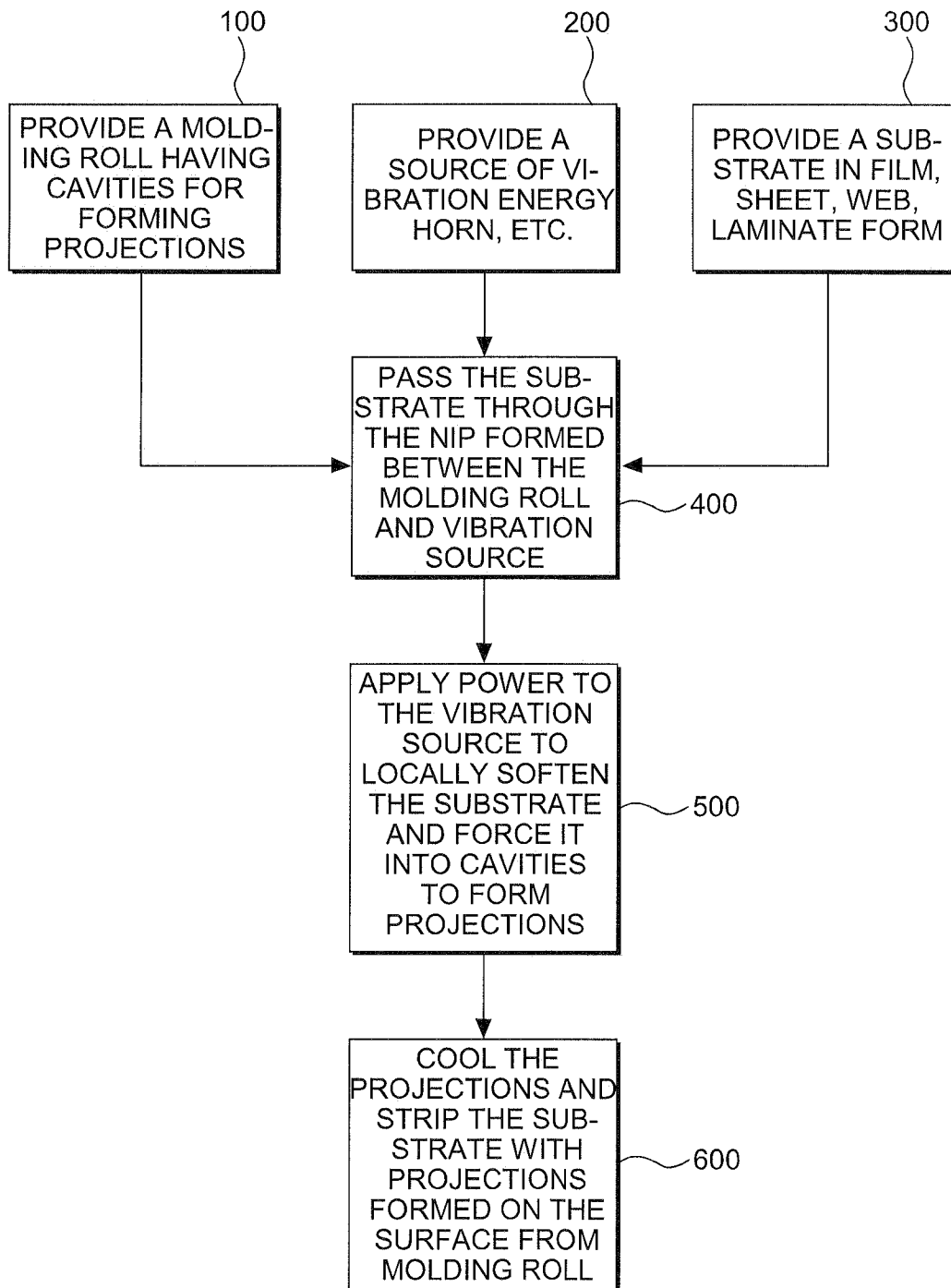


FIG. 13

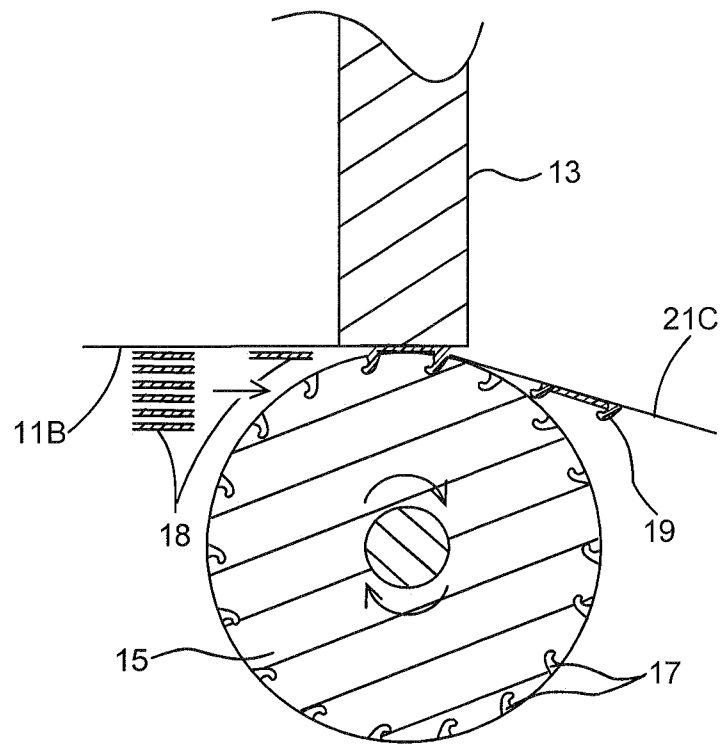


FIG. 14A

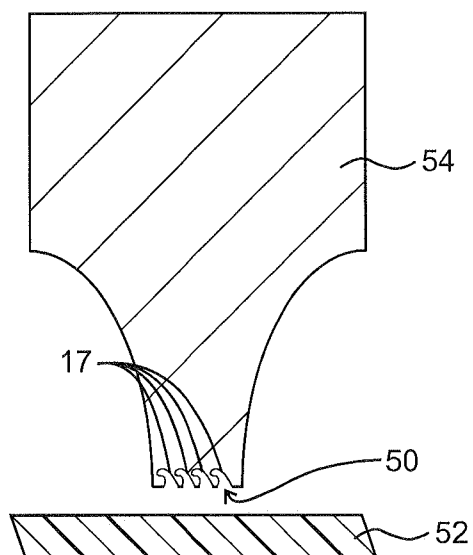


FIG. 14B

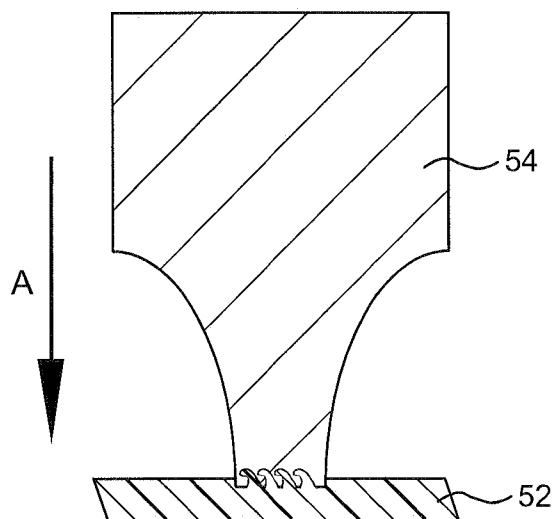


FIG. 14C

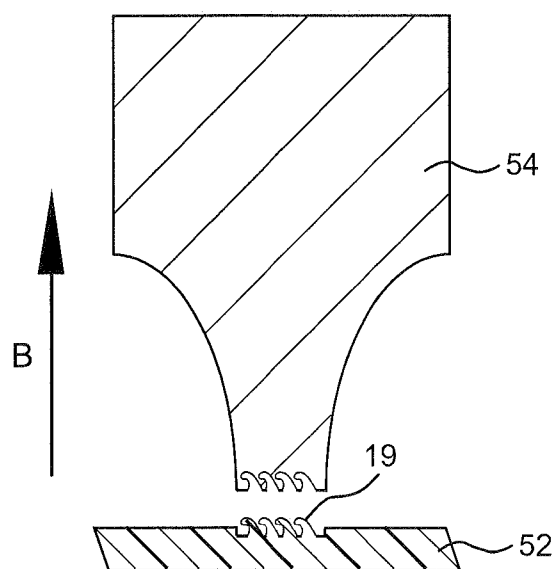


FIG. 15A

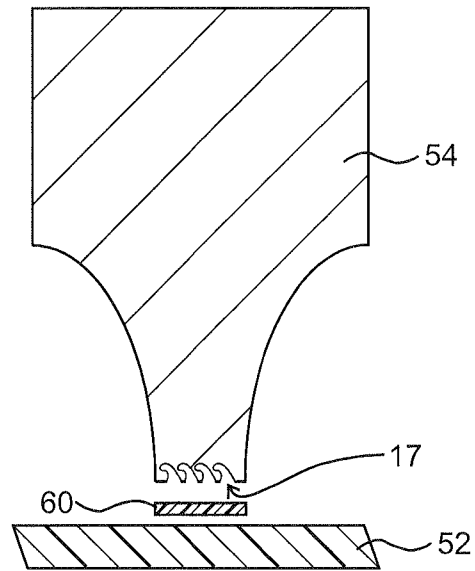


FIG. 15B

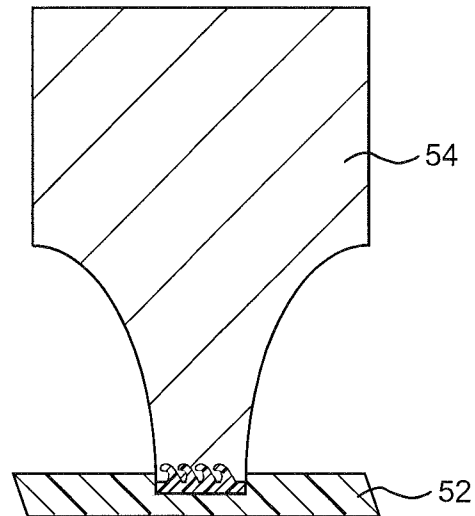
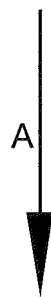


FIG. 15C

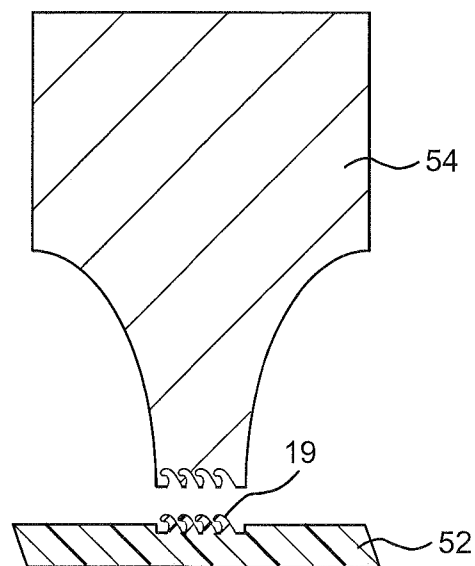


FIG. 16A

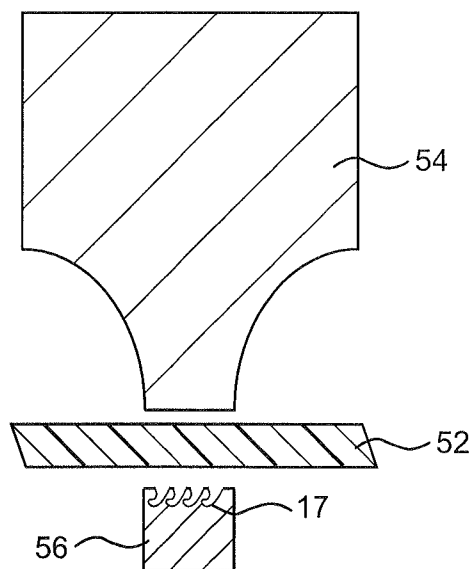


FIG. 16B

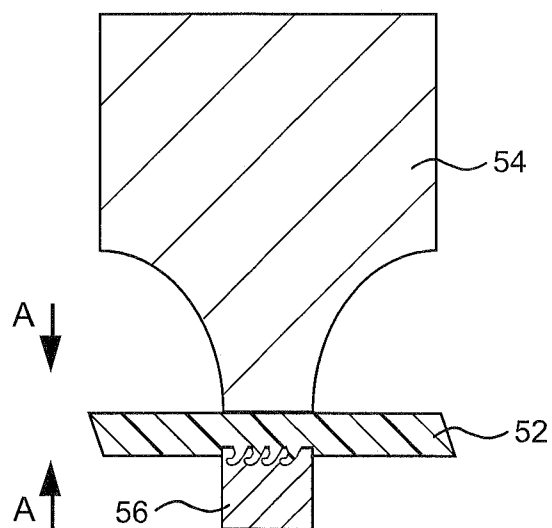


FIG. 16C

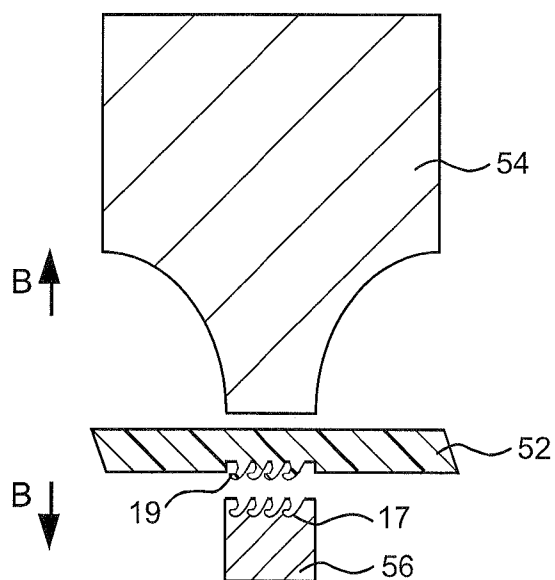


FIG. 17A

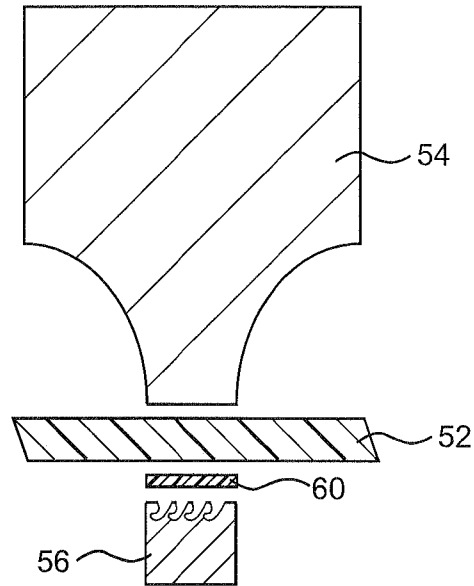


FIG. 17B

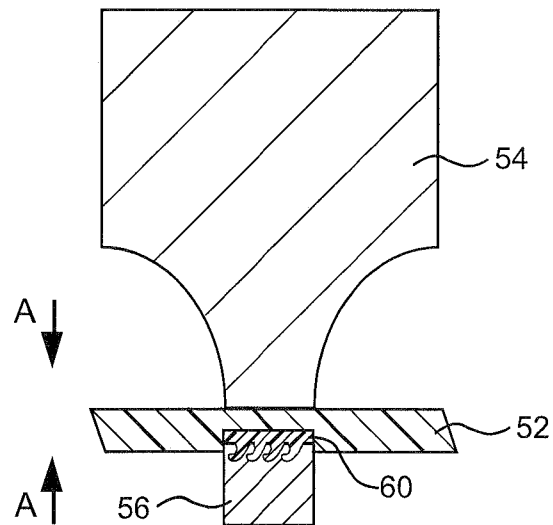


FIG. 17C

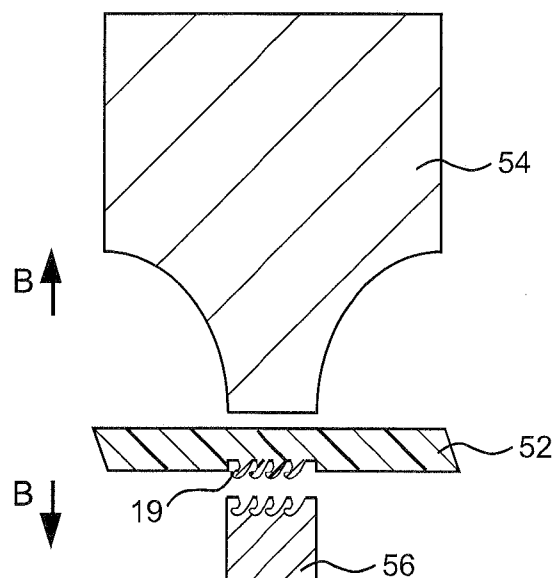


FIG. 18

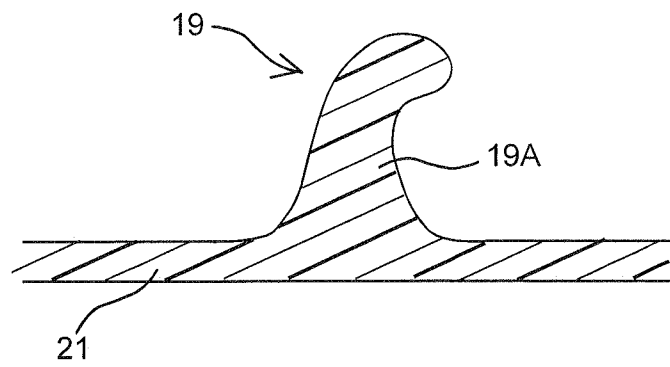


FIG. 19

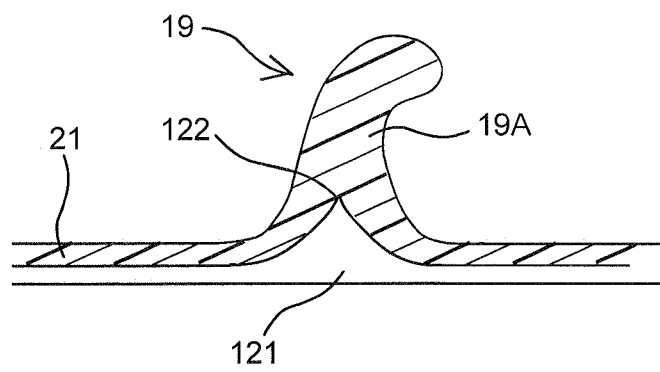


FIG. 20A

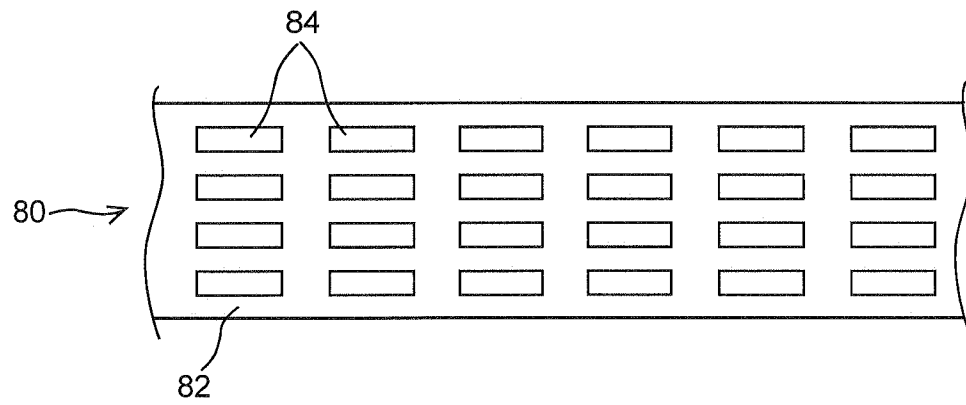


FIG. 20B

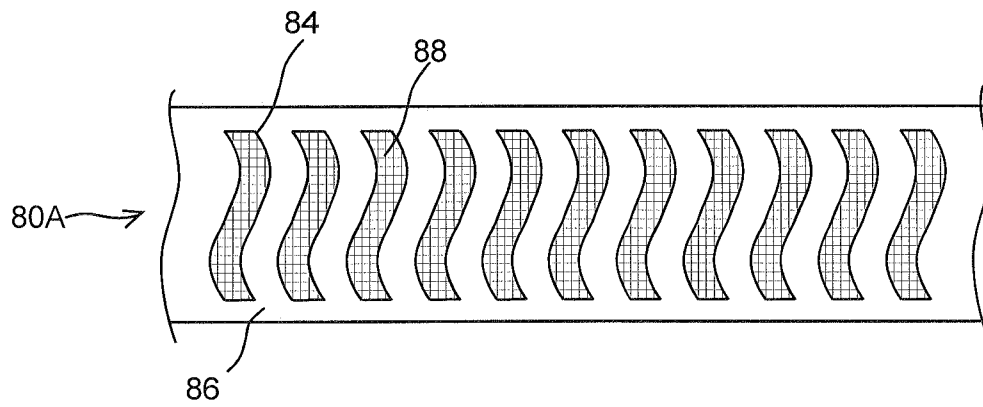


FIG. 21

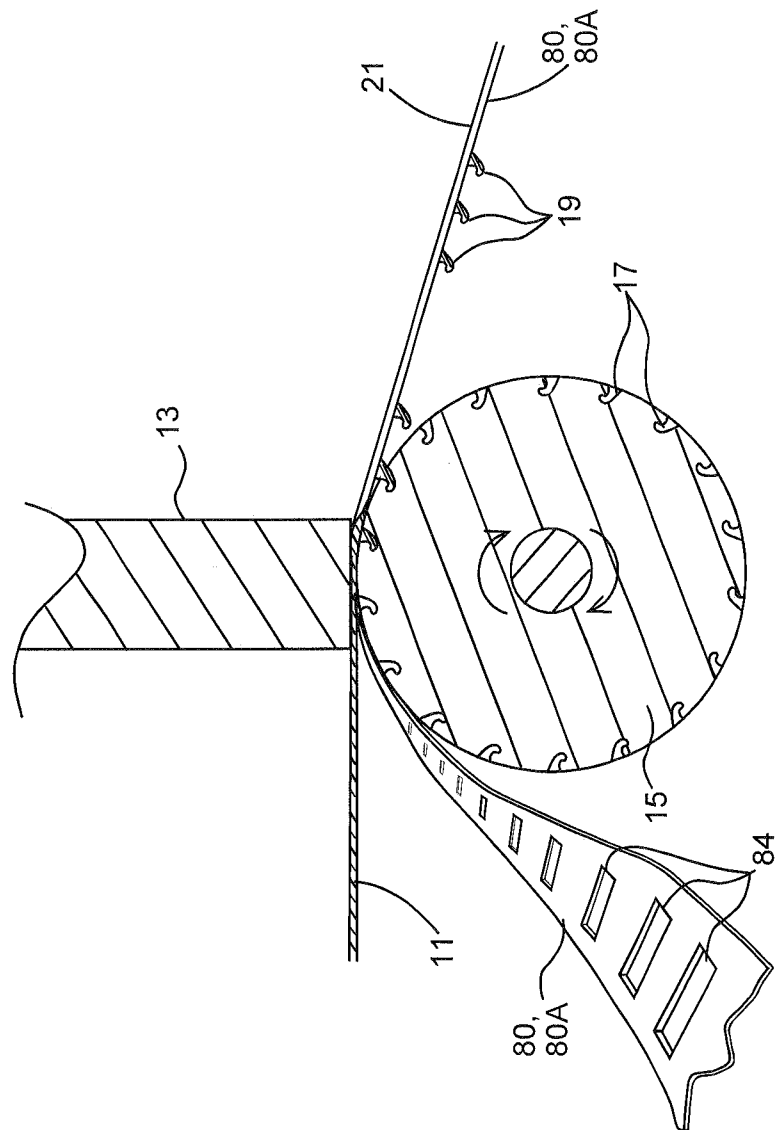


FIG. 22

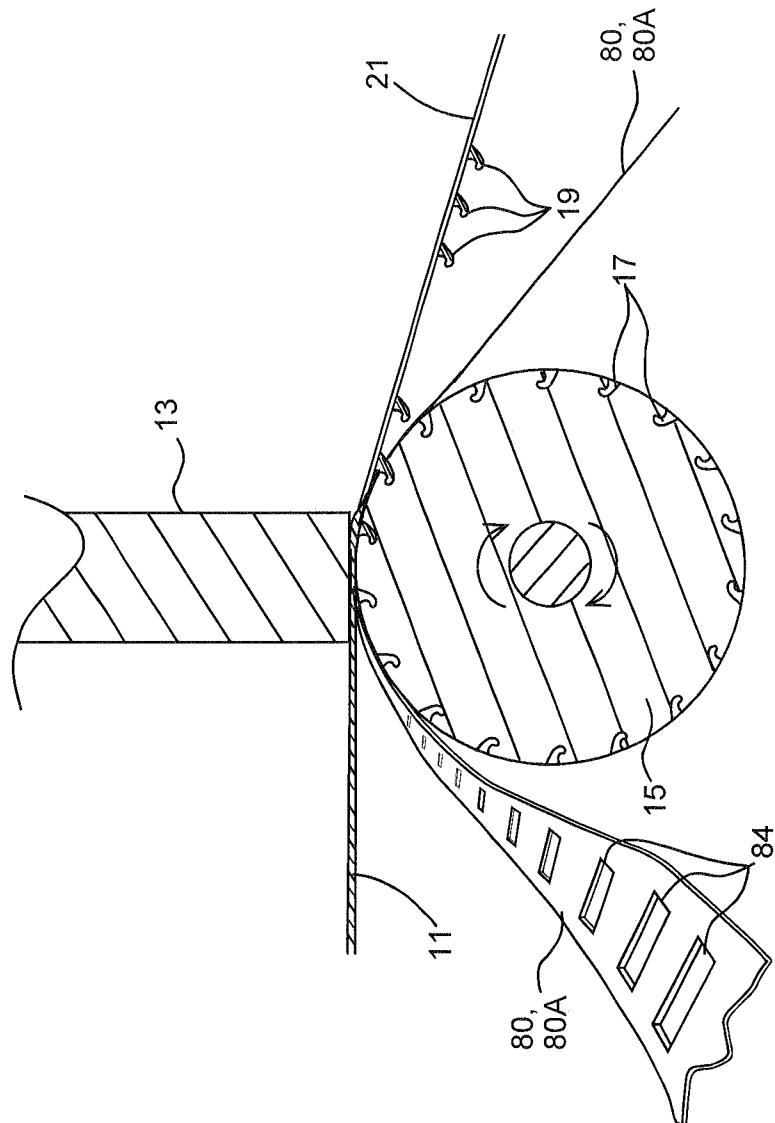


FIG. 23

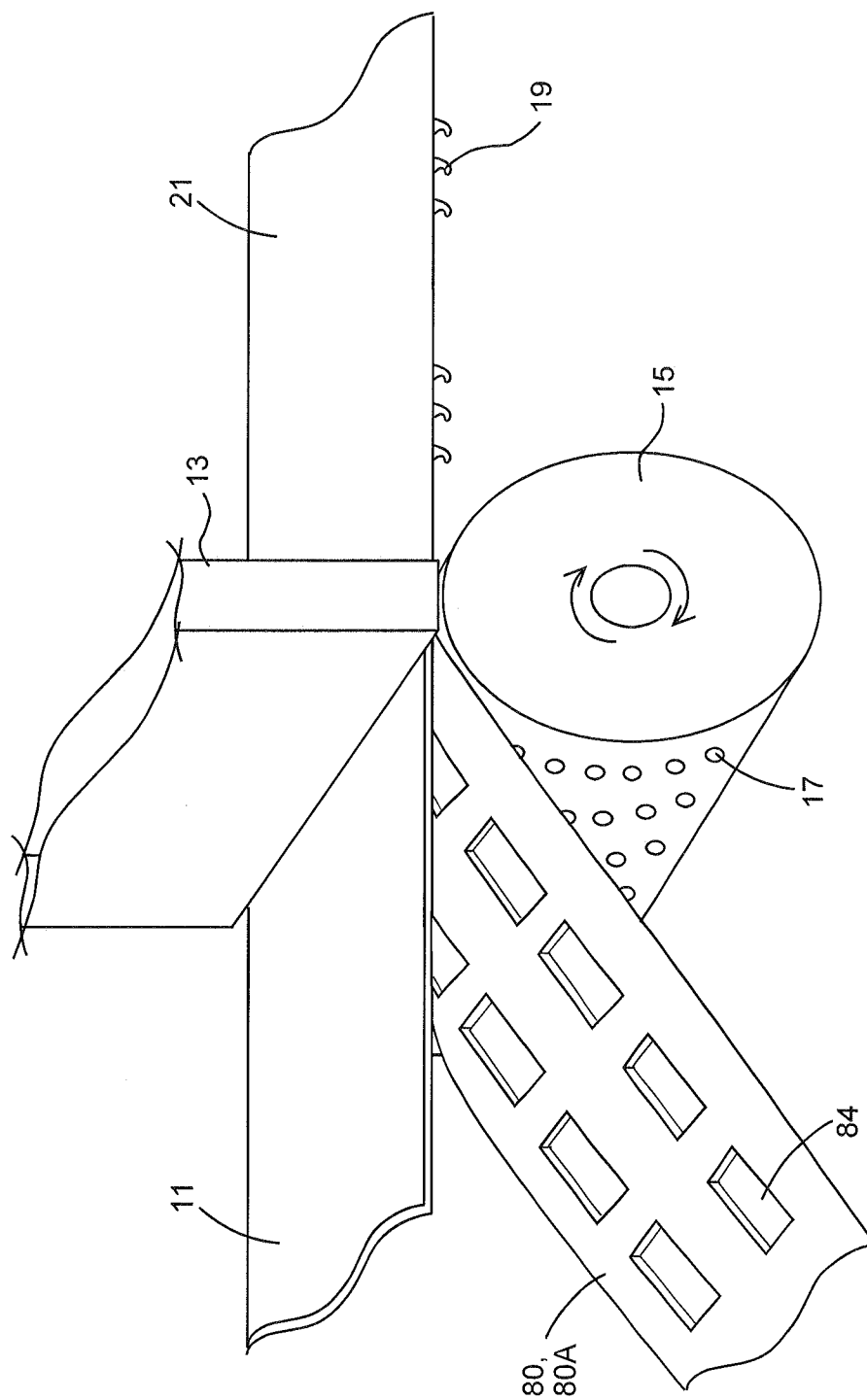
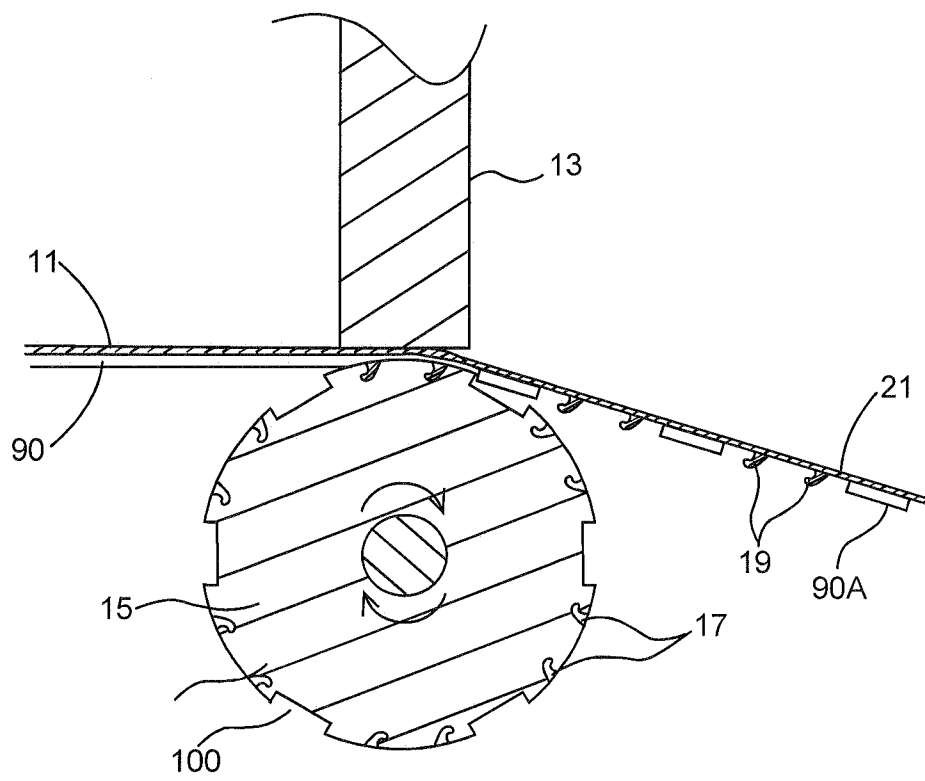


FIG. 24



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2010/021512

A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - B29C 59/04 (2010.01)

USPC - 425/129.1

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC(8) - B29C 59/04 (2010.01)

USPC - 425/121, 129.1; 428/100

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

PatBase and Google Patents

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4,615,084 A (ERB) 07 October 1986 (07.10.1986) entire document	1-39
Y	US 4,794,028 A (FISCHER) 27 December 1988 (27.12.1988) entire document	1-39
Y	US 6,645,330 B2 (PARGASS et al) 11 November 2003 (11.11.2003) entire document	4, 7-8, 13, 21-23, 36
Y	US 5,879,494 A (HOFF et al) 09 March 1999 (09.03.1999) entire document	12, 23
Y	US 5,607,635 A (MELBYE et al) 04 March 1997 (04.03.1997) entire document	14-16, 26-28, 38

☐ Further documents are listed in the continuation of Box C.


* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

06 May 2010

Date of mailing of the international search report

18 MAY 2010

Name and mailing address of the ISA/US

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P.O. Box 1450, Alexandria, Virginia 22313-1450

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Authorized officer:

Blaine R. Copenheaver

PCT Helpdesk: 571-272-4300

PCT OSP: 571-272-7774

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2010/021512

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claims 1-39, drawn to processes and apparatuses for forming projections on a substrate with vibration energy.

Group II, claims 40 and 41, drawn to articles for mechanical engagement comprising substrates with specific shrinkage.

The inventions listed as Groups I and II do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: the special technical feature of the Group I invention is a substrate, a cavity and a source of vibration energy for forming said substrate to said cavity, and is not present in the invention of Group II; the special technical feature of the Group II invention is an article for mechanical engagement comprising a specific shrinkage as claimed therein and is not present in the invention of Group I.

Since none of the special technical features of the Group I and II inventions is found in more than one of the inventions, unity of invention is lacking.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.

2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.

3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
1-39

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.