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(56) Documents Cited:
WO 2002/049143 A1 **US 20060125746 A1**
US 20040150939 A1 **US 20020165080 A1**
Sallese J-M and Fazan P, "Switch and rf ferroelectric
MEMS: a new concept", Sensors and Actuators A, vol.
109, pp 186-194 (2004)

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Other: **EPODOC, WPI, TXTE, INSPEC**

(54) Abstract Title: **Tunable dielectric radio frequency microelectromechanical system capacitive switch**

(57) A tunable RF MEMS switch comprises a moveable electrode 20, a fixed electrode 14c and a variable dielectric 24. The variable dielectric 24 may be a ferroelectric material such as barium strontium titanate (BST). The tunable dielectric constant of the variable dielectric 24 provides the possibility of making linearly tunable MEMS capacitors by controlling the voltage applied across the moveable electrode 20 and the fixed electrode 14c. A third electrode 14b may also be provided to drive the moveable electrode 20 between open and closed positions. The switch may function as a time delay line, a tunable filter, a phase shifter and also as a high frequency switch.

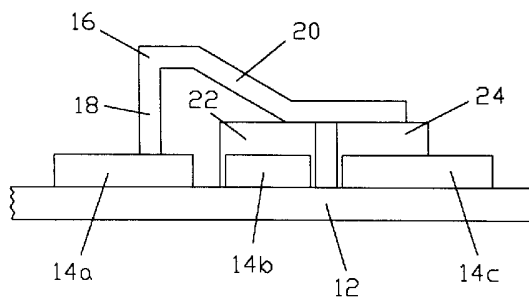


Fig 1b

GB 2424515 A continuation

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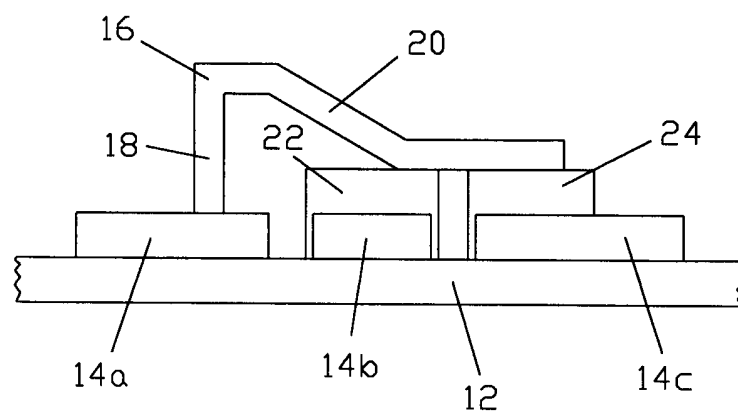
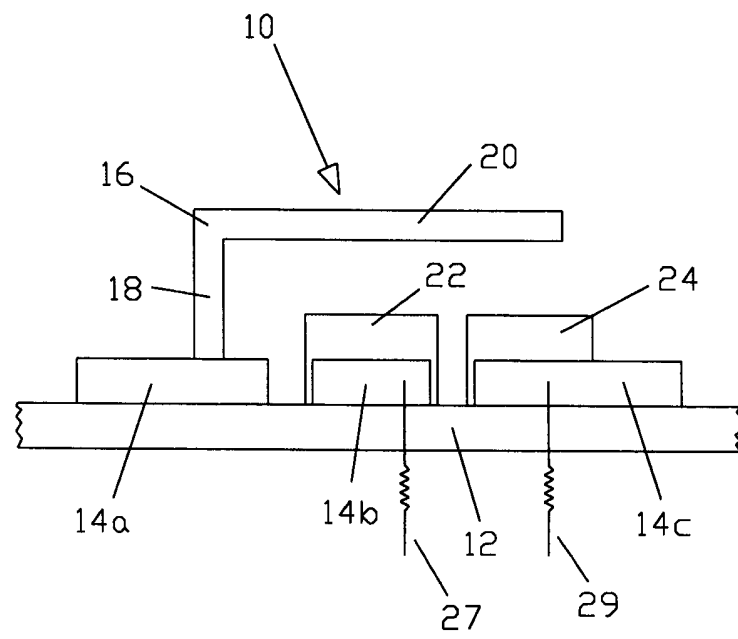
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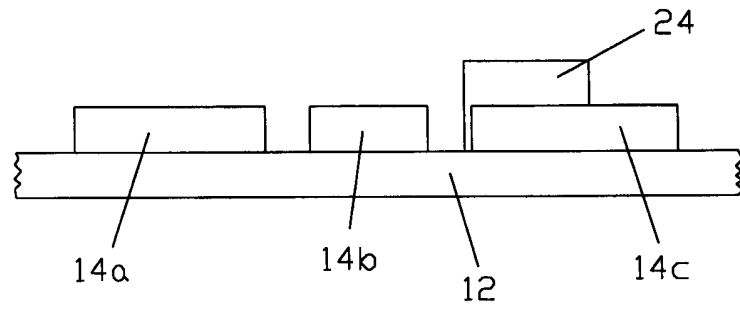


Fig 2a

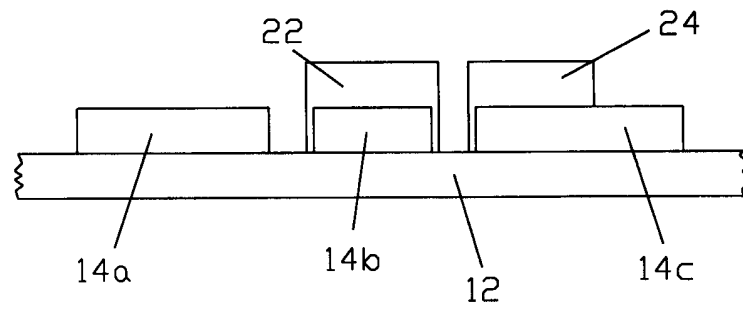


Fig 2b

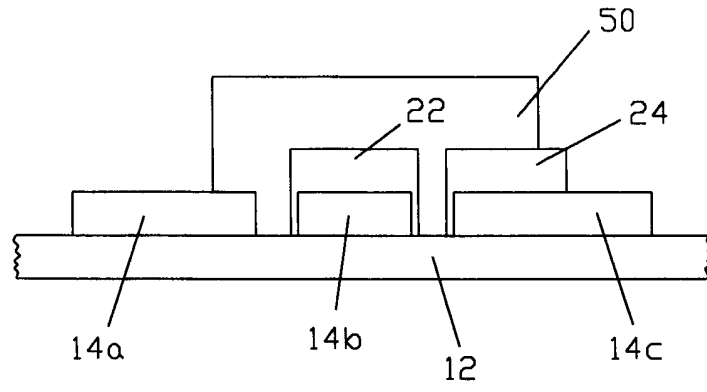


Fig 2c

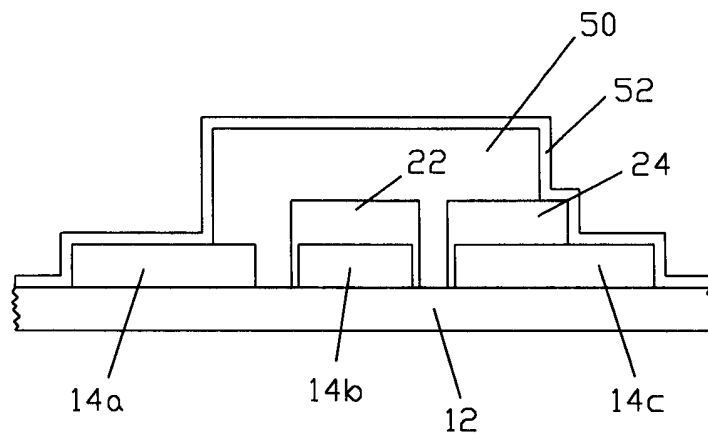


Fig 2d

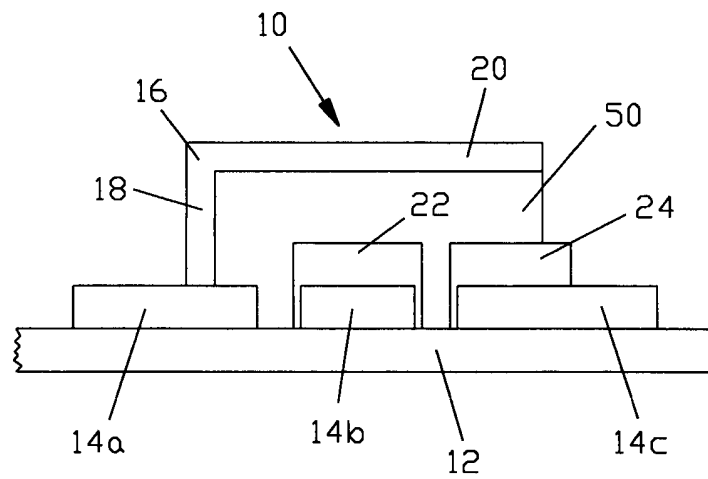


Fig 2e

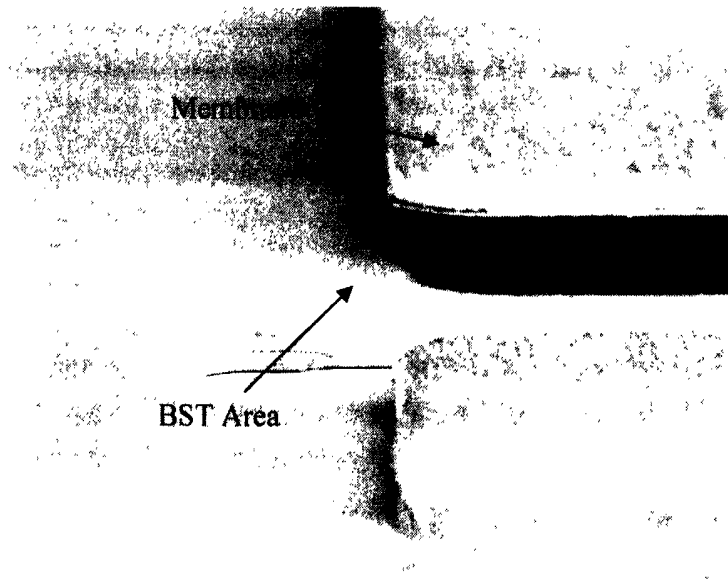


Figure 3

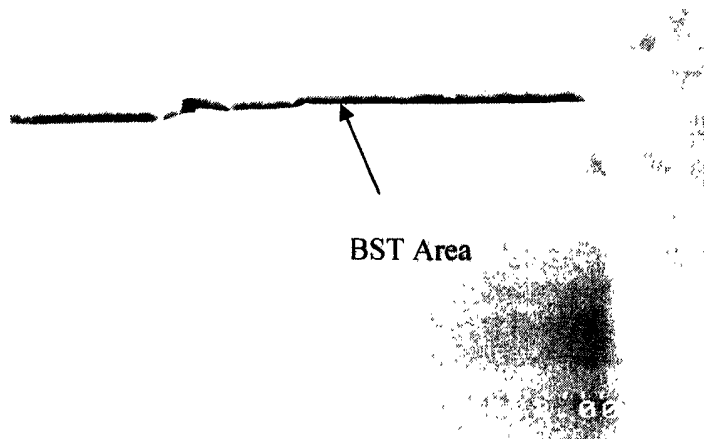


Figure 4

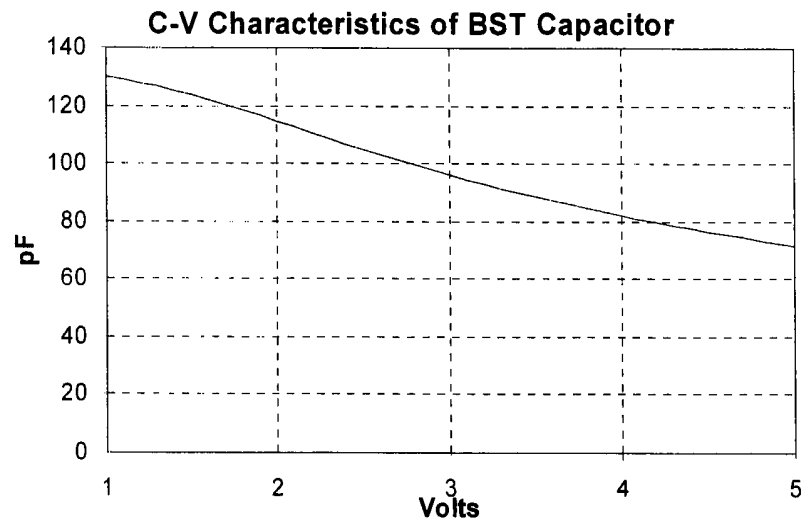


Figure 5

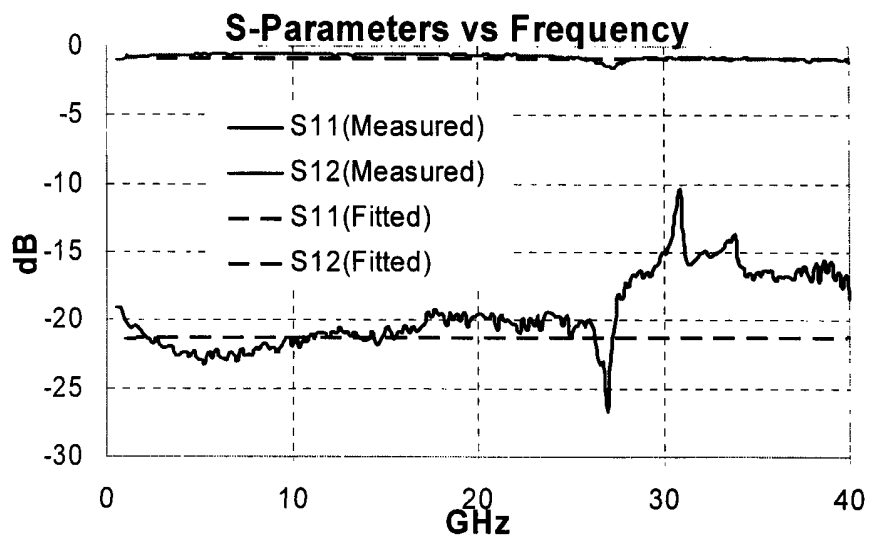


Figure 6a

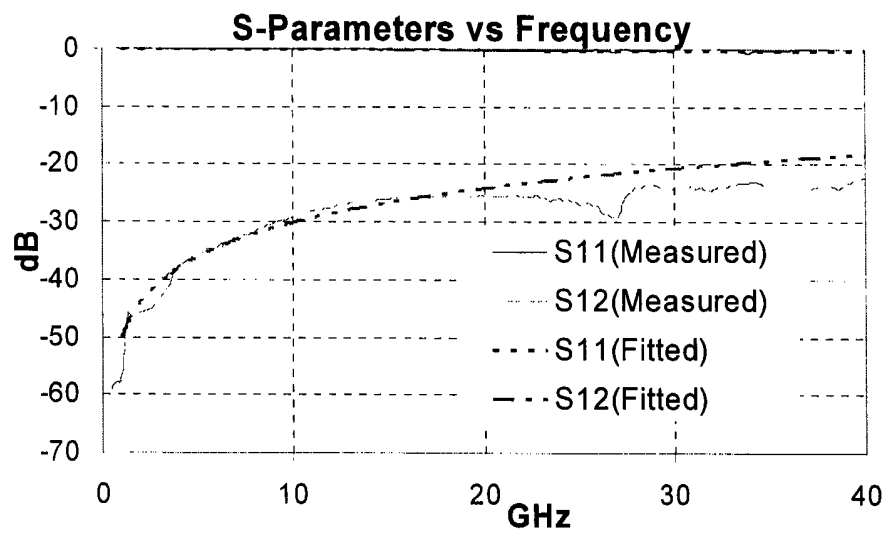


Figure 6b

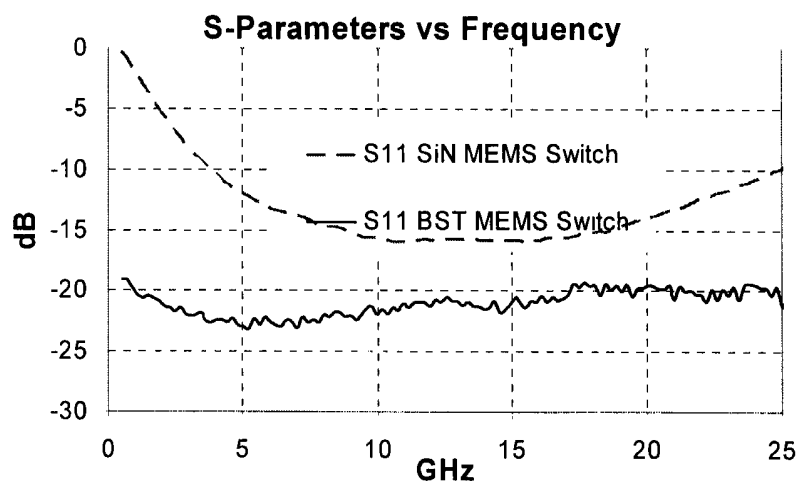


Figure 7

TUNABLE DIELECTRIC RADIO FREQUENCY
MICROELECTROMECHANICAL SYSTEM CAPACITIVE SWITCH

The present invention is directed to a tunable RF MEMS (Radio Frequency, MicroElectroMechanical Systems) capacitive switch. In particular, the invention is directed to a tunable RF MEMS switch developed with a variable dielectric, such as BST (Barium Strontium Titanate), at the contact interface. BST has a very high dielectric constant (>300) making it very appealing for RF MEMS capacitive switches. The tunable dielectric constant of BST provides linearly tunable MEMS capacitive switches. The invention is directed to a tunable RF MEMS capacitive switch, preferably with a BST dielectric, and its characterization and properties up to 40 GHz.

RF MEMS switch technology has been introduced during the last 10-15 years as a prime candidate to replace the conventional GaAs FET and p-i-n diode switches in RF and microwave communication systems, mainly due to their low insertion loss, good isolation, linear characteristics and low power consumption. It has also provided the way for the development of novel revolutionary RF circuits that can be used in the next generation of broadband, wireless, and intelligent communication and radar systems. Recently, many researchers have been focusing on the development of miniaturized, low power and low cost, RF/Microwave circuits with RF MEMS switches. MEMS switches have been used in different RF circuit applications: tunable microwave filters, tunable phase shifters, tunable

antennas and tunable matching networks. Microwave and millimeter-wave technology that offers wide tunability is essential for today's cost-driven commercial and military industries. In order to meet the above requirements, recently, micromachined tunable capacitors have been shown to have an adequate Q-factor when they are fabricated in either an aluminum or a polysilicon surface micromachining technology. Also, a three-plate structure with a wide tuning range has been reported.

Tunable capacitors are enabling components for millimeter-wave systems. There are two approaches to make such components. One is a compositional approach that improves properties of the materials, and the other is a physical approach that controls the gap or area of the dielectric layer for variable capacitance. MEMS switches' precise, micrometer-level movements are ideal drives for the physical approach. A MEMS-based switching diaphragm can be used as a variable capacitor. The tunability of this component is very impressive because an ultra-low loss, 2 μm air gap is used for the dielectric layer. However, the range of this variable capacitance is limited when the top member collapses onto the bottom plate.

Emerging BST thin film technology is being investigated for enhancing RF-MEMS capacitive switches due to BST's comparatively high dielectric constant ($\epsilon_r > 300$). In the present invention, a capacitive RF tunable MEMS switch with a variable dielectric material, such as BST, as the dielectric layer is provided. It provides continuous (analog) tunability of the capacitor after the

MEMS switch has been pulled down (closed), due to voltage-controlled properties of the BST dielectric material. The switch can be used to develop very compact digital capacitor banks with enhanced analog tuning for a variety of reconfigurable networks (e.g. filters, tuners). A special MEMS design with a separate actuation electrode provides tunability of the switch and prevents the breakdown problem of the BST.

This invention provides a clamped-free (cantilever-type) coplanar waveguide (CPW) switch. A demonstration device made as described hereinafter has a contact area of $100\mu\text{m}\times 200\mu\text{m}$. These switches were fabricated on sapphire substrates using a five-mask process.

Preferred embodiments of the invention will now be described with reference to the accompanying drawings wherein:

Figures 1a and 1b show an RF tunable cantilever capacitive switch using BST thin film with a separate actuation electrode in both switch-up (Figure 1a) and switch-down (Figure 1b) states.

Figures 2a, 2b, 2c, 2d and 2e illustrate steps of fabricating the switch of Figures 1a and 1b.

Figure 3 shows an SEM of a fabricated cantilever type CPW switch on sapphire (left side) with a 200 nm BST layer (bottom right) and 1.2 μ m thick Au foil (top right).

Figure 4 shows an SEM close-up view of a deposited BST thin film that forms the dielectric layer of the capacitive switch.

Figure 5 shows the capacitance-voltage (C-V) characteristic of a MEMS capacitive switch with a BST dielectric layer at the down (closed) state.

Figure 6a shows the measured S-parameters of the MEMS switch with BST dielectric layer in the down-state (closed) position.

Figure 6b shows the measured S-parameters of the MEMS switch with BST dielectric layer in the up-state (open) position.

Figure 7 shows a comparison between BST and SiN switches, i.e., switches having these materials as the capacitor dielectric.

The present invention may be understood more readily by reference to the following detailed description of preferred embodiments of the invention and the figures.

Figure 1a and 1b show the designed CPW cantilever capacitive switch 10 in both up (Figure 1a) and down (Figure 1b) switch states.

The switch 10 is formed on a substrate 12 of insulating material, such as sapphire or silicon. Improved BST can be formed on a substrate that has a lattice structure appropriate for growth thereon of epitaxial or near-epitaxial BST. Conductive material, such as platinum, on the upper surface of the substrate 12, forms circuitry traces 14a, 14b, and 14c. In this switch 10, the capacitive circuitry is between traces 14a and 14c, and trace 14b is connected to an isolated electrical circuit by which an electrostatic field may be created.

Fabricated on trace 14a is a cantilever member 16, including an upright section 18 and a flexible foil arm 20 that is capable of being flexed between the "up" or "open" position of Figure 1a and the "down" or "closed" position of Figure 1b. The cantilever member 16 is preferably formed as a unitary structure from a metal, such as gold or of multiple layers of metal. The foil arm must be bendable to the closed position and resume its unbent open position through multiple openings and closings.

A layer 22 of insulating material is formed on trace 14b. This 22 layer is intended to prevent electrical contact between the foil arm 20 and electrostatic charge trace 14b, yet be of a material and of appropriate thickness to transmit the electrostatic field of trace 14b to the foil arm 20.

The capacitor dielectric layer 24 is formed on trace

14c, and when the switch 10 is in the down or closed position of Fig. 1b, a capacitor is formed that includes conductive foil arm 20, dielectric material layer 24, and conductive circuitry trace 14c.

In a very much-preferred aspect of the present invention, the dielectric material of layer 24 is tunable by applying an electrical potential across the material. The preferred dielectric material for this layer is barium strontium titanate, $\text{Ba}_x\text{Sr}_{1-x}\text{TiO}_3$ where x is between 0.1 and 0.9, preferably between 0.4 and 0.6. Other ferroelectrics or materials, such as bismuth zinc niobate, and artificial dielectrics can also be used. For non-tunable MEMS capacitive switches, non-tunable material, such as silicon nitride (SiN) may be used as the dielectric material layer 24.

The switch 10 is designed for a very low capacitance between the top membrane and the bottom signal line in the up state. Once voltage is applied through the actuation electrode, the top membrane is deflected due to electrostatic forces and as it touches the bottom electrode, a larger metal-insulator-metal capacitor is formed. The down-state capacitance of the design is highly enhanced by the use of high dielectric constant BST material.

It is preferred that the low frequency control voltage that actuates switching between the up and down (open and closed) states be isolated from the RF circuitry. This may be accomplished by one or more high

resistance resistors, i.e. 10,000 ohms and upward. The resistor(s) are preferably integrated high value thin film resistors. Shown connected to circuitry trace 14b is a resistor 27. Shown connected to circuitry trace 14c is a resistor 29. Examples of thin film resistors and their formation are found in US Patents 6,210,592, 6,208,234, 6,500,350 and 6,329,899.

Deposition and Properties of BST thin film

Ferroelectric material is a category of material with reorientable spontaneous polarization, a sub-category of pyroelectric materials. Because of their high dielectric constant, the electric field dependence and the temperature dependence of their dielectric constant, and high breakdown voltage, ferroelectric materials have a wide range of applications such as IR detection, high-density capacitors, DRAMs, non-volatile ferroelectric memory, and high frequency microwave devices. $\text{Ba}_x\text{Sr}_{1-x}\text{TiO}_3$ (BST) has been the subject of extensive investigation for these applications.

$\text{Ba}_{0.45}\text{Sr}_{0.55}\text{TiO}_3$ films were prepared by using the combustion chemical vapor deposition (CCVD), such as described in WO 02/07966 published 31 January 2002. In the liquid solution CCVD process, precursors, which are the metal-bearing chemicals used to coat an object, are dissolved in a solution, which typically is a combustible fuel. This solution is atomized to form microscopic droplets by means of an atomizer; one such atomizer is the Nanomiser® device by nGimat Co., Atlanta, GA. These

droplets are then carried by an oxygen-containing stream to the flame where they are combusted. A substrate (the material being coated) is coated by simply drawing it in front of the flame. The heat from the flame provides the energy required to vaporize the droplets and for the precursors to react and vapor deposit (condense) on the substrates. One of the strengths of the CCVD process is the variety of complex materials and substrates that can be utilized.

Fabrication of the Switches

Fabrication of the device is described with reference to Figs. 2a-2e. Due to the high growth temperature (900 °C) of BST, platinum electrodes are used as the bottom electrodes (14a, 14b, 14c) in the BST thin film deposition. Because Pt is very hard to pattern using wet etching, a lift off process is first used to pattern Ti/Pt (200Å/1000Å) on the sapphire (aluminum oxide) substrate 12 before the BST deposition. The BST layer is then deposited, patterned and etched in a diluted HF solution with an etching rate of 500Å per minute to form the dielectric layer 24 on circuitry trace 14c, thereby forming the structure illustrated in Fig 2a.

A 2000Å Silicon Nitride layer is then deposited by PECVD (plasma enhanced chemical vapor deposition) and patterned using RIE (reactive ion etching) for the actuation electrode comprising circuitry trace 14b overlaid with SiN 22, giving the structure shown in Figure 2b.

A 2 μm thick photoresist (Shipley S-1813 Microposit®) "sacrificial" layer 50 is then spin coated and patterned to define the air-gap. This patterned structure is shown in Fig. 2c.

A Ti/Au/Ti (200Å/3000Å/200Å) seed layer 52 is then plasma vapor evaporated to form the structure shown in Fig. 2d.

This seed layer 52 is then patterned and electroplated to form the cantilever member 16, this structure being shown in Fig. 2e.

Finally, after removing the sacrificial photoresist layer with resist stripper, the stripper and rinse fluids are removed by a drying process and then the metal is released to form the switch as previously described in reference to Figures 1a and 1b.

It is to be understood that the process is used to produce a plurality of MEMS CPW switches simultaneously, and these can be integrated into a wide range of devices.

A Scanning Electron Microscope (SEM) picture of the fabricated cantilever type CPW switch structure with a 1.2 μm thick gold membrane, a 2 μm air-gap and a contact area of 100x200 μm^2 ((0.02 mm^2) is shown in Figure 3. The BST layer is illustrated in Figure 4.

Performance Results

Figure 5 shows the C-V characteristic and the tunability of the BST MEMS switches at the down state using a Keithley 590 CV station. The capacitance changes from 130 pF to 71.2 pF when the applied voltage ranges from 1 to 5 volts. The tunable range is 182%. The measured Q-factor is 260. A different capacitance range can be achieved with a different area. S-parameter measurements of the cantilever switch were taken using an Agilent 8510 network analyzer. A TRL (thru-reflect-line) calibration was performed to de-embed the coplanar line and transition losses. Measured results of switch at both up and down state positions are shown in Figure 6. The pull-down voltage was measured to be 45 to 50 volts. The insertion loss in the up state is -0.3dB at 20GHz and -0.4dB at 40GHz, while the isolation is -25dB at 20GHz. An equivalent LCR circuit was used to fit the measured data. The fitted up state capacitance is 10fF, the series inductance and the series resistance of the switch are 5pH and 0.5Ω , respectively.

In the down state position, the insertion loss is -0.6dB up to 40GHz with a little bit of fluctuation between 26.6GHz and 28.2GHz, while more than 20dB return loss is achieved from DC up to 26GHz. The fitted down state capacitance and series inductance are 120pF and 5pH, the series resistance is 0.3Ω . The insertion loss is slightly higher when compared with other MEMS switches, because the signal lines of the switch are only 1000\AA

thick. A much lower loss can be achieved by increasing the thickness of the Pt layer.

To further understand the BST MEMS switches performance, switches of the same physical structure and size with silicon nitride as the dielectric layer were fabricated and measured. Figure 7 shows the down state return loss of both BST and Si₃N₄ MEMS switches. From the comparison we can see that BST switches have higher return loss than that of the Si₃N₄ switches. This is because the BST switch capacitance is much higher due to the higher dielectric constant.

In this invention, tunable MEMS capacitive switches with emerging variable dielectric, e.g., BST, thin film technology is realized. An excellent insertion loss of -0.6dB was obtained in a frequency range from 0.5GHz to 40 GHz, while the return loss is less than -20dB up to 26 GHz. Tunability of the BST switches was also achieved for the first time. Measured results show that the capacitance of the BST MEMS switch can change 182% when the applied voltage ranges from 1 to 5 volts. The proposed RF MEMS switch can be used for the development of compact, low loss tunable digital capacitor banks for reconfigurable microwave circuits. The hybrid scheme of tunability (digital and analog) is expected to provide more design flexibility for compact reconfigurable RF front ends. There are a wide range of MEMS designs and processing methods that can be used to form the desired switches. Most of these designs have contact areas of 0.1 mm² or less, preferably 0.001 mm² or less.

The MEMS capacitive switches of the present invention are useful in a variety of electronic applications. The switches can be used within devices such as delay lines, tunable filters or phase shifters to switch in additional capacitance ranges or to modify function better and different frequencies or bandwidths.

Multiple switches can enable further functional changes in a device.

The switches may serve multiple purposes in an electronic circuit, e.g., as both a time delay line and a tunable filter.

Because the MEMS switch may be used in a variable capacitance device, it can be used simply as a high return loss switch on the same wafer with no additional processing steps. After completion of a wafer containing multiple MEMS switches, the multiple switches may be incorporated into the variable dielectric integrated device. Or multiple switches may be diced out of the same wafer to be used in a plurality of separate devices.

CLAIMS:

1. A variable radio frequency capacitor device comprising a first electrode, a dielectric material layer, and a second electrode, said first electrode being switchable between a closed position in electrical contact with said dielectric material layer and an open position out of electrical contact with said dielectric material layer, the dielectric material of said layer being variable so as to cause a change in the response of the radio frequency device.
2. A device according to claim 1 which functions as a time delay line.
3. A device according to claim 1 which functions as a tunable filter.
4. A device according to claim 1 which functions as a time delay line and tunable filter.
5. A device according to claim 1 which functions as a phase shifter.
6. A device according to claim 1 which functions as a high frequency switch.
7. A device according to any preceding claim wherein the dielectric is a ferroelectric.

8. A device according to claim 7 wherein the ferroelectric is barium strontium titanate.

9. A device according to claim 7 wherein the ferroelectric is bismuth zinc niobate.

10. A device according to claim 7 wherein the ferroelectric is barium strontium titanate.

11. A device according to claim 7 wherein the ferroelectric is an artificial dielectric.

12. A device according to any preceding claim wherein said first electrode has a resilient, flexible member that is flexed between said open position and said closed position according to said first control voltage.

13. A device according to any preceding claim wherein a first control voltage is used to switch said first electrode between said closed position in electrical contact with said dielectric material layer and said open position, and a second control voltage is used to vary the dielectric properties of the ferroelectric, and the first control voltage is independent of the second control voltage.

14. A device according to claim 13 wherein a third electrode carries said control voltage to switch said first electrode from said open to said closed position.

15. A device according to claim 13 or claim 14 wherein said second control voltage is a low frequency voltage.

16. A device according to claim 15 wherein the low frequency control voltage source is isolated from the RF circuit by use of an integrated high resistance value thin film resistor.

17. A device according to any preceding claim wherein the variable dielectric material layer is 1 μm thick or less.

18. A variable radio frequency capacitor device substantially as herein described with reference to the accompanying drawings.



For Innovation

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Application No: GB0605687.3

Examiner: Dr Laura Starrs

Claims searched: 1-17

Date of search: 12 July 2006

Patents Act 1977: Search Report under Section 17

Documents considered to be relevant:

Category	Relevant to claims	Identity of document and passage or figure of particular relevance
X,Y	X: 1-7 Y: 8 and 10	Sallese J-M and Fazan P, "Switch and rf ferroelectric MEMS: a new concept", Sensors and Actuators A, vol. 109, pp 186-194 (2004) See abstract, figure 1, p186 "Introduction" and "General Analysis", p189 "Digital operation of the ferroelectric MEMS", and p191 "Analogical operation of the switch ferroelectric MEMS".
Y	1-8, and 10	US2004/0150939 A1 (HUFF) - See paragraphs 2, 3, 30 and 56-73
Y	1-8 and 10	WO02/49143 A1 (PARATEK) - see abstract, figure 13 and 14, p8 line 23 - p9 line 4, and p 12 lines 4-8
Y	7, 8, and 10	US2002/0165080 A1 (SENGUPTA) - see abstract and paragraphs 1 and 12 - 14
A	--	US2006/0125746 A1 (SALLESE) - whole document relevant

Categories:

X	Document indicating lack of novelty or inventive step	A	Document indicating technological background and/or state of the art.
Y	Document indicating lack of inventive step if combined with one or more other documents of same category.	P	Document published on or after the declared priority date but before the filing date of this invention
&	Member of the same patent family	E	Patent document published on or after, but with priority date earlier than, the filing date of this application.

Field of Search:

Search of GB, EP, WO & US patent documents classified in the following areas of the UKC^X :

Worldwide search of patent documents classified in the following areas of the IPC

B81B; H01G; H01L

The following online and other databases have been used in the preparation of this search report

EPODOC, WPI, TXTE, INSPEC