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(54) Title: QUICK TO COACH: A PERFORMANCE MANAGEMENT TOOL

(57) Abstract: The current invention is a human capital performance management tool which creates measurable performance expectations for correcting ineffective behavior. The tool provides managers a "what to say and how to say it" coaching conversation and documentation to address behaviors specific to individual employee performances.

QUICK TO COACH: A Performance Management Tool

CROSS-REFERENCES TO RELATED APPLICATIONS

Not Applicable

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR

DEVELOPMENT

Not Applicable

INCORPORATION-BY-REFERENCE OF MATERIAL SUBMITTED ON A

COMPACT DISC

Not Applicable

BACKGROUND OF THE INVENTION

[010] Prior art in this area is limited to the quantification of worker output.

However, prior art does not address the more difficult problem of human capital behavior. An object of the present invention is to provide a method and apparatus to help human resource managers and other individuals who manage employees, volunteers, contractors or other human capital, discuss and move towards correcting problem behaviors exhibited by said human capital.

[020] Most managers have little to no formal human resources performance management training and consequently, do not have the observational skills or appropriate language to articulate and move towards changing or correcting

problem behaviors exhibited by human capital. The current invention provides managers, at any level, with a method to identify and articulate problem behaviors so that there is movement towards resolving root cause issues.

Additionally, the current invention provides managers a script and the appropriate documentation to describe ineffective behaviors.

[030] Another object of the current invention is to provide managers with quantifiable performance expectations. Human capital may receive input such as "listen actively" or "be a team player". However, these terms do not outline measurable, objective expectations. The quantifiable performance expectation outlined in the current invention can give guidance to the manager and the human capital to determine whether, once put on notice, said human capital are responding to input from the manager.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS

[040] Other features and advantages of the present invention will become apparent in the following detailed descriptions of the preferred embodiment with reference to the accompanying drawings, of which:

Fig. 1 is an example screen shot of the menu of operation available at the computer interface;

Fig. 2 is a flow chart of the selectable option "Create Coaching" in the menu of Fig. 1;

Fig. 3 is an example screen shot of the step one shown in Fig. 2 (Select Human Capital);

- Fig. 4 is an example screen shot of sub-part A of Step 2 shown in Figure 2 (Competency Category);
- Fig. 5 is an example screen shot of competencies that may be listed when the user browses problem behaviors;
- Fig. 6 is an example screen shot of sub-part B of Step 2 shown in Fig. 2 (Performance Issues);
- Fig. 7 is an example screen shot of sub-part C of Step 2 shown is Fig. 2 (Problem Behaviors);
- Fig. 8 is an example screen shot of sub-part A of Step 3 shown in Fig. 2 (State the Issue);
- Fig. 9 is an example screen shot of sub-part B of Step 3 shown in Fig. 2 (State Why Problem);
- Fig. 10 is an example screen shot of sub-part A of Step 3 shown in Fig. 2 (State Expectations);
- Fig. 11 is an example screen shot of sub-part B of Step 4 shown in Fig. 2 (State Expectation Examples);
- Fig. 12 is an example screen shot of sub-part C of Step 4 shown in Fig. 2 (Human Capital Accountability);
- Fig. 13 is an example screen shot of feedback that is generated by the current invention.

DETAILED DESCRIPTION OF THE INVENTION

[050] The purpose of the current invention is to provide managers of human capital a method and apparatus to identify and describe problem behavior exhibited by said human capital. The current invention also provides managers with a script to communicate problem behaviors that are, for example, observed, observed and experienced, or experienced, among others, so that managers can effectively communicate with human capital. The current invention also helps managers quantify performance expectations.

[060] The present invention has been implemented as a software program running on a general purpose computer.

[070] Fig. 1 an example screen shot of the main menu. The screen shot shows a menu of operations available at a computer interface from which a user selects a function to perform. The options minimally include, but are not limited to: (1) create coaching; (2) review and access coaching previously created; (3) select and manage a list of employees. Options to manage several accounts, buy access to the current invention, among others are other operations that may be available at this menu.

[080] For illustration, it will be assumed that the user is not using the system for the first time. Accordingly, the database of employees has already been created. Fig. 2 is a flow chart of a selectable option "create coaching in the menu of Fig. 1.

[090] Fig. 3 is an example screen shot of Step 1, Select Human Capital, shown in Fig. 2. The user must choose from either a set of one or from a plurality of human capital listed. For exemplary purposes, the human capital chosen here is "James S. Employee". The user can continue to the next screen or return to the menu of operation available at a computer interface.

[110] Fig. 4 is an example screen shot of Step 2, sub-part A, Competency, shown in Fig. 2. Because the user is often not able to isolate a behavior problem, the current invention allows the user to choose or describe a competency that is affected by the problem behavior. Competency refers to an individual's demonstrated knowledge, skills, or abilities ("KSAs") performed to a specific standard. Competencies are observable, behavioral acts that require a combination of KSAs to execute.

[120] The user can either "browse" from a plurality of competencies or enter a keyword. Fig. 5 is an example screen shot of competencies that may be shown when the user browses problem behaviors. A similar list may appear when when a key word is entered. This is not an exhaustive list of the competencies.

[130] The user must choose one competency issue from either a set of one or one from a plurality of issues listed. For exemplary purposes, the user here chooses "Teamwork". If the user is not satisfied with his results, he can choose

to go back to the previous screen to enter or choose a different problem behavior. If the user is satisfied with his selection, he can continue on to the next phase of the evaluation.

[140] Fig. 6 is an example screen shot of Step 2, sub-part B, Performance Issues, shown in Fig. 2. The user is asked to further narrow the issue by choosing, from a plurality of choices, a performance issue. For exemplary purposes, the performance issue chosen is "respect others and their opinions". If the user is not satisfied with his results, he can choose to go back to the previous screen to choose a different competency. If the user is satisfied with his selection, he can continue on to the next phase of the evaluation.

[150] Fig. 7 is an example screen shot of Step 2, sub-part C, Problem Behaviors, shown in Fig. 2. The current invention lists either a set of one or a plurality of problem behaviors that is observable to the user. The user is required to select either one or a plurality of observed problem behaviors. For exemplary purposes "is self-centered and acts like a know it all" is chosen. If the user is not satisfied with his results, he can choose to go back to the previous screen to choose a different performance issue. If the user is satisfied with his selection, he can continue on to the next phase of the evaluation. If the user does not believe any of the choices available are relevant, he can also choose to start the process over. If the user chooses to continue, a quality assurance screen

appears and the user is given the options to, minimally: (1) continue; (2) return to the previous screen; or (3) start the process again.

[160] Fig. 8 is an example screen shot of Step 3, sub-part A, State the Issue, shown in Fig. 2. This function gives the user a script so that he can verbalize the issue. The user may choose from either a set of one or from a plurality of experience statements such as, but not limited to, "I observed...", "I experienced...", or "I observed and experienced...", among others. For exemplary purposes, the "I observed" statement is chosen. If the user is not satisfied with his results, he can choose to go back to the previous screen to choose a different problem behavior. If the user is satisfied with his selection, he can continue on to the next phase of the evaluation. If the user does not believe any of the choices available are relevant, he can also choose to start the process over.

[170] Fig. 9 is an example of a screen shot of Step 3, sub-part B, State Why it is a Problem, shown in Fig. 2. This step provides the user with a script so that he can verbalize the reason that the behavior is a problem. The user can choose from a set of one reason or from a plurality of reasons as to why a particular behavior is a problem. For exemplary purposes, the script chosen is: "This is a problem because it has a negative impact on team spirit." If the user is not satisfied with his results, he can choose to go back to the previous screen to enter or choose a different problem behavior. If the user is satisfied with his

selection, he can continue on to the next phase of the evaluation. If the user does not believe any of the choices available are relevant, he can also choose to start the process over.

[180] Fig. 10 is an example screen shot of Step 4, sub-part A, State Expectations, shown in Fig. 2. This step provides the user with a script to verbalize expectations. The current invention lists either a set of one or a plurality of expectations. The user is required to select either one expectation or a plurality of expectations. For exemplary purposes the performance expectation chosen is: "With each conversation, honor the group by making the decision to be respectful to others." If the user is not satisfied with his results, he can choose to go back to the previous screen to choose a different problem statement. If the user is satisfied with his selection, he can continue on to the next phase of the evaluation.

[190] Fig. 11 is an example screen shot of Step 4, sub-part B, State Expectation Examples, shown Fig. 2. This step provides the user with, minimally: (1) a measurable performance expectation; and (2) either one or a plurality of situations where performance expectations can be measured. For exemplary purposes the following example is chosen: "With each task show support by being open to new and different ideas". If a plurality of performance expectations were chosen in this step, then the user would step through a series of: (1) measurable performance expectations; and (2) either of one or a plurality

of situations where performance expectations can me measured. If the user is not satisfied with his results, he can choose to go back to the previous screen to enter or choose different expectations. If the user is satisfied with his selection, he can continue on to the next phase of the evaluation.

[200] Fig. 12 is an example a screen shot of Step 4, sub-part C, Human Capital Accountability, shown in Fig. 2. This step allows the user to generate "feedback" for the human capital by means of a "Personal Accountability" statement. The user can choose either a personal accountability statement or a general accountability statement which provides documentation for the human capital. If the user is not satisfied with his results, he can choose to go back to the previous screen to choose a different expectation. If the user is satisfied with his selection, he can continue on to the next phase.

[210] Fig. 13 is an example screen shot of Step 5 shown in Fig. 2, Feedback.

The user can save the process and exit the invention and/or print the feedback.

[220] In view of the above it will be seen that various aspects and features of the invention are achieved and other results and advantages attained. While preferred embodiments of the invention have been shown and described, it will be obvious to those skilled in the art that changes and modification may be made therein without departing from the invention in its broader aspects.

What is claimed is:

1. A method for utilizing a computer system to instruct a user on how to articulate and move towards correcting problem behaviors exhibited by human capital and set quantifiable expectations towards resolving said problem behaviors, said method comprising the steps of:

- a. identifying problem behaviors
- b. creating a dialogue for the manager
- c. creating a quantifiable expectation;
- 2. The method of claim 1 wherein the step of identifying problem behaviors further comprises of the steps of:
 - a. determining competency that is affected by problem behavior;
- b. determining the performance issues that result from problem behavior;
 - c. determining the problem behavior.
- 3. The method of claim 1 wherein the step of creating a dialogue for the user further comprises of the steps of:
- a. creating a script giving user the ability to verbalize at least, but not limited to, observed, experienced, or observed and experienced behavior issue, among others;
- b. creating a script giving the user the ability to verbalize why the problem behavior is detrimental to other human capital, the business, the project, morale, among others.

4. The method of claim 1 wherein the step of identifying management expectations further comprises the steps of:

- a. choosing at least one expectation from a set of either one expectation or from a plurality of expectations;
 - b. quantifying performance expectation;
- c. choosing at least one example from a set of either one example or a plurality of examples as circumstances in which quantified performance expectations can be measured.
- 5. The method of claim 1 wherein the method further comprises the step of allowing the user to choose a personal accountability statement.
- 6. The method of claim 1 wherein the method further comprises the step of allowing the user to choose a general accountability statement for the human capital.
- 7. The method of claim 1 wherein the method further comprises the step of providing feedback for the human capital.





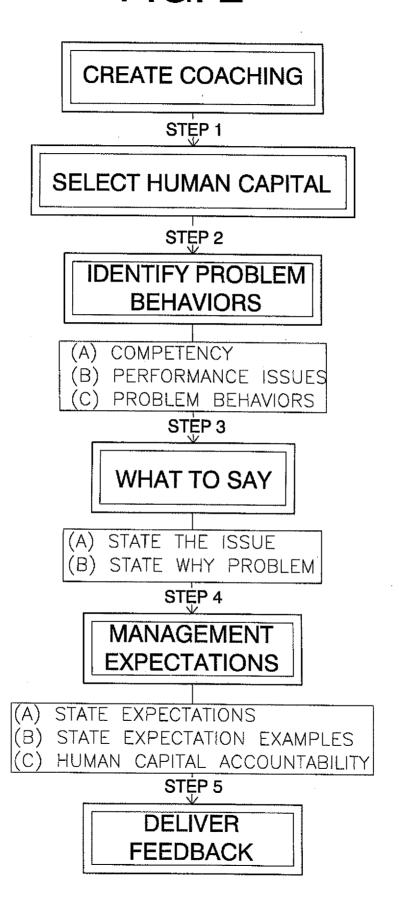
Quick To Coach

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Main Menu What would you like to do: Create Coaching Create Coaching for an Employee Access Coaching that you have already created to review or reprint the Review Coaching information. Also, you can resume work on the Coaching that you started, but did not complete. Manage Employees Setup and manage a list of employees for use with Quick To Coach. Manage Logins Setup additional account logins for your subscription. Buy Additional Sessions Buy more Feedback Sessions for use with membership. Renew Membership Renew your subscription to Quick to Coach.

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FIG. 2







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Identify Employee	Search Employee Select the employee for which you would like to create Feedback;	
(Select Employee)		
Identify Problem Behaviours		
Competency Category	James S Employee	
Performance Issue		
Problem Behaviours		
What To Say		
State the Issue		
State why it is a problem	Previous Continue	
Management Expectations		
State The Expectations		
State Expectation Examples		
Employee Accountability		

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Quick To Coach

Home ____signOut

(Select Employee)	The second and the second and administrating the property of the second and the s
Table 1 Denaylouis	Or Enter keywords to search for Problem Behaviors:
Competency Category	Search
Performance Issue Problem Behaviours	

3 What To Say
State the Issue

State why it is a problem

Management Expectations
State The Expectations
State Expectation Examples
Employee Accountability

5 Deliver Feedback

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Back

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Quick To Coach

Hame ____SignOut

Identify Employee	Select a Competency Category
James S employee	From the list of Competency Categories, select one under which you think the employee's Performance Issue best fits:
Identify Problem Behaviours	employee's Performance Issue best IIIs:
> Competency Category	O Conflict Management
Performance Issue Problem Behaviours	O Crittical Thinking
	O Customer Service
State the Issue	O Decision Making
State why it is a problem	O Listening Skills
(4) Management Expectations	O Oral Communication
State The Expectations	● Teamwork
State Expectation Examples	
Employee Accountability	

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(5) Deliver Feedback



Continue

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Identify Employee James S employee	Select a Performance Issue Please select one of the following Performance Issue relating to Teamwork	
Identify Problem Behaviours	The employee is unable to	
Competency Category Performance Issue Problem Behaviours	O effectively motivate others toward a common goal	
	respect others and their opinions	
3) What To Say State the Issue State why it is a problem	O contribute to the team through positive words and actions	
	 effectively assign the right task to the right person based on an individual's abilities 	
	O understand team strengths and weaknesses	
Management Expectations State The Expectations	O build positive, cooperative relationships with other team members	
State Expectation Examples Employee Accountability		

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FIGURE 6

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----SignOut

	Select Problem Behaviours Teamwork The employee is unable to respect others and their opinions	
1 Identify Employee James S employee		
Identify Problem Behaviours Competency Category	Based on your previous selections(shown above), a series of statements are provided below to describe the Problem Behaviors.	
Performance Issue > Problem Behaviours	Specifically, the employee: (select all that apply) does not give appropriate credit to others testing	
3 What To Say State the Issue	stereo-types or pre-judges the group	
State why It is a problem	ls unable to reach or motivate others testing	
4) Management Expectations	☐ lacks passion and may be burned out	
State The Expectations	☑ Is self-centered and acts like a know-lt-all	
State Expectation Examples Employee Accountability		
5) Deliver Feedback	Start Again Previous Continue	

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Home ____SignOut

Steps	State The Issue		
James S employee James S employee Z Identify Problem Behaviours	For the Performance Issue that you idenitifed, you need a way to state the issue in a discussion with the employee.		
Competency Category Performance Issue	Performance Issue		
Problem Behaviours	Teamwork		
What To Say State the Issue State why it is a problem	The employee is unable to respect others and their opinions		
Management Expectations State The Expectations	- is self-centered and acts like a know-it-all		
State Expectation Examples	Choose how you want to state the issue.		
Employee Accountability	I observed that you are unable to respect others and their		
5 Deliver Feedback	opinions		
	State Month		
	(2) an Adam; (Previous) Continue		

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—Home ____SignOut

Steps

Identify Employee
James S employee

(2) Identify Problem Behaviours

Competency Category Performance Issue Problem Behaviours

🧚 🌓 What To Say

State the Issue

- State why it is a problem
- Management Expectations
 State The Expectations
 State Expectation Examples

Employee Accountability

(5) Deliver Feedback

State Why it is a Problem

Teamwork

The employee is unable to respect others and their opinions

- is self-centered and acts like a know-it-all

For the Performance Issue that you identified, you need to tell the employee why the issue is problem

This is a problem because:

- it has a negative impact on team spirit
- O It shows a lack of Interest in the value of teamwork
- O issues are not resolved within the team
- O it builds resentment among team members
- O it pulls the team apart making task completion difficult

Start Again

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Continue

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Quick To Coach

Home ____SignOut

Identify Employee James S employee	State The Expectations For the Identified Performance Issue, select corresponding performance expectations.
② Identify Problem Behaviours	Performance Issue
Competency Category Performance Issue Problem Behaviours	 I observed that you are unable to respect others and their opinions This is a problem because it has a negative impact on team spirit
(3) What To Say	Select your performance expectation(s):
State the Issue State why it is a problem	☐ At the moment it occurs, demonstrate cooperation by not prejudging co-workers. With each conversation, honor the group by making the decision to be respectful to others, testing
Management Expectations	With every opportunity, make a conscience effort to not be critical of the opinions and ideas of others. testing1
 State The Expectations State Expectation Examples Employee Accountability 	
(5) Deliver Feedback	Previous (Continue)

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Steps

- Identify Employee
 James S employee
- Competency Category
 Performance Issue
 Problem Behaviours
- State the Issue
 State why It is a problem
- Management Expectations
 State The Expectations
 State Expectation Examples

Employee Accountability

(5) Deliver Feedback

State Expectation Examples

- I observed that you are unable to respect others and their opinions
- This is a problem because it has a negative impact on team spirit

For each Identified Performance Expectation, select specific examples that depicts what your expectation "Looks Like"

Performance Expectation: (1 /1)

 With each conversation, honor the group by making the decision to be respectful to others, testing

Select all that apply:

With each task show support by being open to new and different ideas. --change testing

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Steps: Identify Employee James S employee

Competency Category
Performance Issue
Problem Behaviours

What To Say
State the Issue
State why it is a problem

Management Expectations
State The Expectations
State Expectation Examples
Employee Accountability

5 Deliver Feedback

State Employee Accountability

The Feedback generated in this session can include a "Personal Accountability" statement. Use the options below to include this statement and determine it's content.

☑ Include Personal Accountability Statement

"I understand these performance expectations have been crafted for my success. On this day I have read them and had each expectation clearly explained."

I fully understand that if my behavior, as observed or experienced by my supervisor/manager does not meet these expectations...

it will result in disciplinary action.

the result will be disciplinary action up to and including termination.

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Feedback
To: James S employee
Department: legal
Date: September 12 ,2007
Success at Priya Test consists of doing two things. One is performing the essential functions of the job effectively and efficiently. The second is to display positive attitudes and behaviors while you do your job.
The intent of this conversation is to clearly identify WHAT you must do and HOW you must behave in order to be perceived and experienced as successful in our department.
Problem Behavior(s):
ण is self-centered and acts like a know-it-all
Performance Expectations(s):
e With each conversation, honor the group by making the decision to be respectful to others, testing
Personal Accountability: I understand these performance expectations have been crafted for my success. On this day I have read them and had each expectation clearly explained. Your signature does not necessarily mean that you agree, but affirms that this Success Plan has been reviewed and thoroughly explained.
Employee Signature Date
Management Signature Date

FIGURE 13



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INTERNATIONAL SEARCH REPORT

International application No. PCT/US 08/78078

A. CLASSIFICATION OF SUBJECT MATTER IPC(8) - G06F 17/50 (2008.04)					
USPC - 705/7 According to International Patent Classification (IPC) or to both national classification and IPC					
B. FIELDS SEARCHED					
IPC(8): G06	Minimum documentation searched (classification system followed by classification symbols) IPC(8): G06F 17/50 (2008.04) USPC: 705/7				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched USPC: 705/1, 7, 8, 9, 500; 700/1, 90, 91, 108					
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Electronic databases: USPTO WEST (PGPB, USPT, EPAB, JPAB); Google Scholar Search Terms Used: managing or monitoring or tracking performance, employee or user performance, correcting ineffective or problem behavior, resolving ineffective or problem behavior, manager or lead person, dialogue or feedback etc.					
C. DOCU	MENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.		
Х	US 2004/0088177 A1 (Travis et al.) 06 May 2004 (06.0 (abstract, and para [0007]-[0018], [0048]-[0062], [0088		1-7		
A	US 2006/0229890 A1 (Sattler et al.) 12 October 2006	(12.10.2006)	1-7		
A	US 2005/0131732 A1 (Potenza) 16 June 2005 (16.06.	2005)	1-7		
A	US 2003/0195786 A1 (Dewar) 16 October 2003 (16.10	0.2003)	1-7		
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