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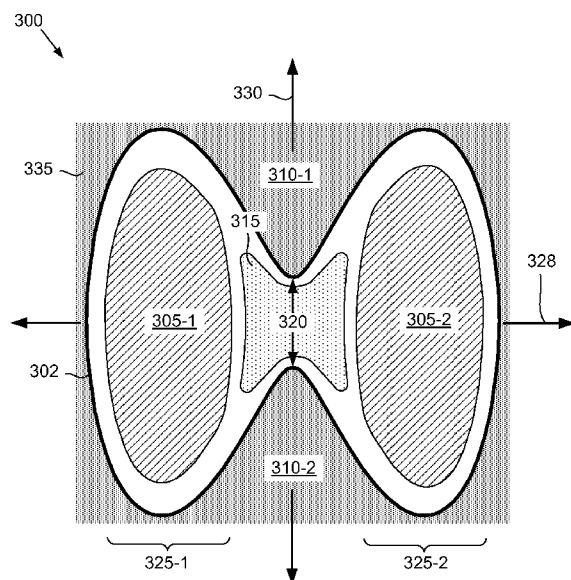
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[Continued on next page]

(54) Title: NONCIRCULAR INKJET NOZZLE



(57) Abstract: An inkjet nozzle (300) includes an aperture (302) with a noncircular opening substantially defined by a polynomial equation. A droplet generator (100) is also described which includes a firing chamber (110) fluidically coupled to a fluid reservoir (105), a heating resistor (120) and a nozzle (300). The nozzle (300) includes an aperture (302) forming a passage from the firing chamber (110) to the exterior of the droplet generator (100) through a top hat layer (400). The nozzle (300) is defined by a closed polynomial and has a mathematically smooth and mathematically continuous shape around aperture's perimeter wall (910), with two protrusions (310) extending into the center of the aperture (302).

Fig. 3



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NONCIRCULAR INKJET NOZZLE

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BACKGROUND

10 **[0001]** Inkjet technology is widely used for precisely and rapidly dispensing small quantities of fluid. Inkjets eject droplets of fluid out of a nozzle by creating a short pulse of high pressure within a firing chamber. During printing, this ejection process can repeat thousands of times per second. Ideally, each ejection would result in a single ink droplet which travels along a
15 predetermined velocity vector for deposition on the substrate. However, the ejection process may create a number of very small droplets which remain airborne for extended periods of time and are not deposited at the desired location on the substrate.

20 BRIEF DESCRIPTION OF THE DRAWINGS

[0002] The accompanying drawings illustrate various embodiments of the principles described herein and are a part of the specification. The illustrated embodiments are merely examples and do not limit the scope of the
25 claims.

[0003] Figs. 1A-1F are illustrative diagrams of the operation of a thermal inkjet droplet generator, according to one embodiment of principles described herein.

[0004] Fig. 2 is a diagram of illustrative nozzle geometries, according
30 to one embodiment of principles described herein.

[0005] Fig. 3 is a diagram of an illustrative nozzle geometry, according to one embodiment of principles described herein.

[0006] Figs. 4A-4H is a diagram of illustrative droplet generators ejecting droplets through noncircular nozzles, according to one embodiment of principles described herein.

5 [0007] Figs. 5A and 5B are illustrative diagrams of droplets ejected from circular nozzles and noncircular nozzles, respectively, according to one embodiment of principles described herein.

[0008] Figs. 6A and 6B are illustrative diagrams of images created by an inkjet printhead with circular nozzles and an inkjet printhead with noncircular nozzles, respectively, according one embodiment of principles described herein.

10 [0009] Figs. 7A and 7B are illustrative diagrams of an inkjet nozzle and an underlying resistor, according to one embodiment of principles described herein.

[0010] Fig. 8 includes diagrams of a number of illustrative aperture geometries, according to one embodiment of principles described herein.

15 [0011] Figs. 9A and 9B are diagrams of an illustrative noncircular inkjet nozzle, according to one embodiment of principles described herein.

[0012] Throughout the drawings, identical reference numbers designate similar, but not necessarily identical, elements.

20 DETAILED DESCRIPTION

[0013] As discussed above, the inkjet printing process deposits fluids on a substrate by ejecting fluid droplets from a nozzle. Typically, the inkjet device contains a large array of nozzles which eject thousands of droplets per second during printing. For example, in a thermal inkjet, the printhead includes an array of droplet generators connected to one or more fluid reservoirs. Each of the droplet generators includes a heating element, a firing chamber and a nozzle. Fluid from the reservoir fills the firing chamber. To eject a droplet, an electrical current is passed through a heater element placed adjacent to the firing chamber. The heating element generates heat which vaporizes a small portion of the fluid within the firing chamber. The vapor rapidly expands, forcing a small droplet out of the firing chamber nozzle. The electrical current is then

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turned off and the resistor cools. The vapor bubble rapidly collapses, drawing more fluid into the firing chamber from a reservoir.

[0014] Ideally, each firing event would result in a single droplet which travels along a predetermined vector at a predetermined velocity and is deposited in the desired location on the substrate. However, due to the forces which are applied to the fluid as it is ejected and travels through the air, the initial droplet may be torn apart into a number of sub-droplets. Very small sub-droplets may lose velocity quickly and remain airborne for extended periods of time. These very small sub-droplets can create a variety of problems. For example, the sub-droplets may be deposited on the substrate in incorrect locations which may lower the printing quality of the images produced by the printer. The sub-droplets may also be deposited on printing equipment, causing sludge build up, performance degradation, reliability issues, and increasing maintenance costs.

[0015] One approach which can be used to minimize the effects of airborne sub-droplets is to capture and contain them. A variety of methods can be used to capture the sub-droplets. For example, the air within the printer can be cycled through a filter which removes the airborne sub-droplets. Additionally or alternatively, electrostatic forces can be used to attract and capture the sub-droplets. However, each of these approaches requires additional equipment to be integrated into the printer. This can result in a printer which is larger, more expensive, consumes more energy, and is more maintenance intensive.

[0016] An alternative approach is to design the droplet generator to minimize velocity differences which tend to tear apart the ejected droplet. This directly reduces the formation of the airborne sub-droplets. We have discovered that the shape of the inkjet nozzle can be altered to reduce these velocity differences which have a tendency to tear apart a droplet during ejection. Specifically, inkjet nozzles which have a smooth profile with one or more protrusions into the center of the nozzle aperture reduce velocity differences within the ejected droplet and leverage viscous forces to prevent the droplet from being torn apart.

[0017] In the following description, for purposes of explanation, numerous specific details are set forth in order to provide a thorough understanding of the present systems and methods. It will be apparent, however, to one skilled in the art that the present apparatus, systems and methods may be practiced without these specific details. Reference in the specification to “an embodiment,” “an example” or similar language means that a particular feature, structure, or characteristic described in connection with the embodiment or example is included in at least that one embodiment, but not necessarily in other embodiments. The various instances of the phrase “in one embodiment” or similar phrases in various places in the specification are not necessarily all referring to the same embodiment.

[0018] Figs. 1A -1F show an illustrative time sequence of a droplet being ejected from the thermal inkjet droplet generator. Fig. 1A is a cross-sectional view of one illustrative embodiment of a droplet generator (100) within a thermal inkjet printhead. The droplet generator (100) includes a firing chamber (110) which is fluidically connected to a fluid reservoir (105). A heating element (120) is located in proximity to the firing chamber (110). Fluid (107) enters the firing chamber (110) from the fluid reservoir (105). Under isostatic conditions, the fluid does not exit the circular nozzle (115), but forms a concave meniscus within the nozzle exit.

[0019] Fig. 1B is a cross-sectional view of a droplet generator (100) ejecting a droplet (135) from the firing chamber (110). According to one illustrative embodiment, a droplet (135) of fluid is ejected from the firing chamber (110) by applying a voltage (125) to the heating element (120). The heating element (120) can be a resistive material which rapidly heats due to its internal resistance to electrical current. Part of the heat generated by the heating element (120) passes through the wall of the firing chamber (110) and vaporizes a small portion of the fluid immediately adjacent to the heating element (120). The vaporization of the fluid creates a rapidly expanding vapor bubble (130) which overcomes the capillary forces retaining the fluid within the firing chamber (110) and circular nozzle (115). As the vapor continues to expand, a droplet (135) is ejected from the circular nozzle (115).

[0020] In Fig. 1C, the voltage is removed from the heating element (120), which rapidly cools. The vapor bubble (130) continues to expand because of inertial effects. Under the combined influence of rapid heat loss and continued expansion, the pressure inside the vapor bubble (130) drops rapidly. At its maximum size, the vapor bubble (130) may have a relatively large negative internal pressure.

[0021] The droplet (135) continues to be forced from the firing chamber and forms a droplet head (135-1) which has a relatively high velocity and a droplet tail (135-2) which may have a lower velocity.

[0022] Fig. 1D shows the rapid collapse of the vapor bubble (130). This rapid collapse results in a low pressure in the firing chamber (110), which draws liquid into the firing chamber (110) from both the inlet port and the circular nozzle (115). This sudden reversal of pressure sucks a portion of the droplet tail (135-2) which has most recently emerged from the nozzle (115) back into the nozzle (115). Additionally, overall velocity of the droplet tail (135-2) is reduced as viscous attraction within the droplet tail resists the separation of the droplet (135). During this stage, the low pressure in the firing chamber (110) also tends to draw outside air into the circular nozzle (115).

[0023] The dark arrows to the right of the droplet (135) illustrate relative velocities of portions of the droplet during the bubble (130) collapse. The gap between the arrows indicates a stagnation point where the velocity of the droplet tail (135-2) is zero.

[0024] Fig. 1E shows the droplet (135) snapping apart at or near the stagnation point. The violence of the breakup of the droplet tail (135-2) creates a number of sub-droplets or satellite droplets (135-3). These sub-droplets (135-3) have relatively low mass and may have very low velocity. Even if the sub-droplets (135-3) have some velocity, it can be lost relatively rapidly as the low mass sub-droplets (135-3) interact with the surrounding air. Consequently, the sub-droplets (135-3) may remain airborne for an extended period of time. As discussed above, the sub-droplets (135-3) may drift relatively long distances before contacting and adhering to a surface. If the sub-droplets (135-3) adhere to the target substrate, they typically cause print defects as they land outside of

the target area. If the sub-droplets (135-3) land on printing equipment, they can create deposits which compromise the operation of the printing device and create maintenance issues.

[0025] The differences in velocities between the droplet tail (135-2) and the droplet head (135-1) can also cause separation and the generation of sub-droplets. As shown in Fig. 1E, the relatively large droplet head (135-1) has a higher velocity (as shown by the dark arrow to the left of the droplet head) than the droplet tail (135-2) (as shown by the shorter arrow to the left of the droplet tail). This can cause the droplet head (135-1) to pull away from the droplet tail (135-2).

[0026] Fig. 1F shows the separation of the droplet head (135-1) from the droplet tail (135-2) as a result of the velocity differences between the droplet head (135-1) and the droplet tail (135-2). This creates additional sub-droplets (135-3).

[0027] It has been discovered that the velocity differences which tend to shatter the droplets during ejection from an inkjet printhead can be reduced by altering the shape of the inkjet nozzle. Traditionally, the apertures of inkjet nozzles are circular. These circular nozzles are easy to manufacture and have a high resistance to clogging. However, as shown above, droplets ejected from the circular nozzles have velocity differences which may tear apart the droplets during ejection. Specifically, the violent retraction of the tail of the droplet during the bubble collapse can shatter the trailing portion of the tail and the velocity differences between the head of the droplet and the leading portion of the tail can cause separation of the head and the tail. These shatter events produce small sub-droplets which can produce the reliability issues described above.

[0028] By using a non-circular shape for the inkjet nozzle, these velocity differences can be reduced. Fig. 2 shows six non-circular nozzle aperture geometries which were constructed and tested to ascertain their performance characteristics. These six shapes are: the poly-wide, the poly-ellipse, the dumbbell, the blunt pinch, the figure 8 and the oval. The theoretical outlines (200) of the shapes are shown in the first row of Fig. 2. The theoretical

outlines (200) are shown as dashed lines which represent geometric shapes which form the foundation for the nozzle shapes. A first implementation (205) of the nozzle apertures with a counter bore is shown in the second row and a second implementation (210) of the nozzles without a counter bore is shown in the third row. As the geometric shapes are converted into outlines and the nozzles (205, 210) are constructed, the geometric shapes can be altered. For example, the “figure 8” theoretical outline includes two overlapping circles. As implemented, the “figure 8” nozzle has a much smoother profile.

[0029] Based on the test results, the poly-ellipse design was selected for further testing. Fig. 3 shows an illustrative diagram of a poly-ellipse nozzle (300). According to this illustrative embodiment, the shape of the poly-ellipse aperture (302) is defined by a fourth degree polynomial shown below.

$$(DX^2 + CY^2 + A^2)^2 - 4A^2X^2 = B^4 \quad \text{Eq. 1}$$

[0030] As shown in the illustrative example shown in Fig. 3, this multivariable polynomial generates a closed shape which has a mathematically smooth and mathematically continuous outline. As used in the specification and appended claims, the term “mathematically smooth” refers to a class of functions which have derivatives of all applicable orders. The term “mathematically continuous” refers to a function in which small changes in the input result in small changes in the output. The term “closed” refers to functions which circumscribe an area of a plane or other graphing space such that a path from the interior of the enclosed area to the exterior must cross a boundary defined by the function. The aperture shape shown in Fig. 3 is generated by a single equation with general form shown in Eq. 1. Specifically, the aperture shape is not created by joining lines generated by disparate equations in a piecewise fashion.

[0031] It has been discovered that nozzle apertures with relatively smooth profiles are more efficient in allowing fluid to pass out of the firing chamber. Specifically, the nozzles with sharp profile changes, such as the oval profile illustrated in Fig. 2, are less effective per unit area in generating a droplet

of a given size. For example, to generate a 9 μg droplet, the oval profile would require a larger cross sectional area than the poly-ellipse profile which has smoother contours.

[0032] To generate a shape which is similar to that shown in Fig. 3,
 5 the following constants can be substituted into Equation 1 above.

A	12.3000
B	12.5345
C	0.16200
D	1.38600

Table 1

[0033] This poly-elliptical shape defines a noncircular aperture (302)
 10 which is used in the nozzle (300). The noncircular aperture (302) has two elliptical lobes (325-1, 325-2). Between the elliptical lobes (325), two protrusions (310-1, 310-2) extend toward the center of the nozzle (300) and create a constricted throat (320). A measurement across the narrowest portion of the throat is called the “pinch” of the throat (320).

[0034] The resistance to fluid flow is proportional to the cross-
 15 sectional area of a given portion of the nozzle. Parts of the nozzle which have smaller cross sections have higher resistance to fluid flow. The protrusions (310) create an area of relatively high fluid resistance (315) in the center portion of the aperture (302). Conversely, the lobes (325-1, 325-2) have much larger
 20 cross-sections and define regions of lower fluid resistance (305-1, 305-2).

[0035] The major axis (328) and the minor axis (330) of the aperture
 (302) are illustrated as arrows which pass through the poly-elliptical nozzle
 (300). The major axis (328) bisects the elliptical lobes (325). The minor axis
 (330) bisects the protrusions (310) and passes across the throat (320) region of
 25 the aperture (302). According one embodiment, the envelope (335) of the aperture (302) is illustrated by grey rectangle which bounds the aperture (302) on both the major and minor axes (328, 330). According to one illustrative embodiment, the envelope (335) of the aperture (302) may be approximately 20

microns by 20 microns. This relatively compact size allows the nozzle (300) to be used in print head configurations which have approximately 1200 nozzles per linear inch.

[0036] Figs. 4A-C describe the ejection of a fluid droplet (315) from a droplet generator (100) which includes a poly-ellipse nozzle (300). As shown in Fig. 4A, the droplet generator (100) includes a firing chamber (110) which is fluidically connected to a fluid reservoir (105). A nozzle (300) with a poly-elliptical aperture forms a passage through the top hat layer (400). A heating resistor (120) creates a vapor bubble (130) which rapidly expands to push a droplet (315) out of the firing chamber (110) and through the nozzle (300) to the exterior. As discussed above, higher volumes and velocities of fluid emerge from the more open cross-sections of the aperture (302). Consequently, the droplet (135) emerges more quickly from the open cross-sections (305-1, 305-2, Fig. 3) of the lobes (325-1, 325-2). The restricted cross-section in the throat (320) of the poly-ellipse aperture (302) has higher resistance to fluid flow. According to one illustrative embodiment, the tail of the droplet (135-2) can be automatically and repeatably centered at the throat area (320) because of the inertial, viscous and capillary forces between the tail (135-2) and the throat (320). There are several advantages of having the tail of the droplet (135-2) centered at the throat area (320). For example, centering the tail (135-2) over the throat (320) may provide a more repeatable separation of the tail (135) from the body of liquid which remains in the firing chamber (110, Fig. 1). This will keep the tail (135-2) aligned with head of the droplet (135-1) and improve the directionality of the droplet (135).

[0037] Another advantage of centering the tail (135-2) over the throat (320) is that as the vapor bubble collapses, the higher fluid resistance of throat (320) reduces the velocity difference in the tail (135-2). This can prevent the droplet (135) from being violently torn apart as the front portion of the droplet (135-1) continues to travel at approximately 10 m/s away from the nozzle (300) and a portion of the tail (135-2) is jerked back inside the firing chamber (110, Fig. 1). Instead, surface tension forms an ink bridge across the pinch. This ink bridge supports the tail (135-2) while the ink is being pulled back into the bore

during the collapse of the vapor bubble. The fluid is drawn in from lobes (325), forming a meniscus (405) which continues to be drawn into the firing chamber (110, Fig. 1).

[0038] As the vapor bubble (130) collapses, fluid is drawn into the firing chamber (110) from both the inlet of the fluid reservoir (105) and the nozzle (300). However, as illustrated in Fig. 4B, the centering of the tail (135-2) over the throat and the reduction in velocity differences within the droplet (135) reduces the likelihood that sub-droplets (153-3, Fig. 1 E) will be produced. If these relative velocities are similar enough in magnitude and direction, the surface tension forces will draw the tail (135-2) up into the droplet head (135-1).

This single droplet (135) will then continue to substrate and land on or near the target location. However, as shown in Fig. 4C, the velocity difference between the droplet head (135-1) and the droplet tail (135-2) in this example are not sufficiently small to allow the tail (135-2) to coalesce with the head (135-1).

Instead, two droplets are formed: a larger head droplet (135-1) and a smaller tail droplet (135-2).

[0039] According to one illustrative embodiment, the droplet generator and its nozzle can be designed to produce repeatably produce droplets with a mass in the range of 6 nanograms to 12 nanograms. For example, the droplet generator and nozzle may be configured to produce droplets with a mass of 9 nanograms.

[0040] Figs. 4D-4H focus in more detail on the vapor bubble collapse, the tail separation, and the retraction of the meniscus into the firing chamber. In Figs. 4D-4H, the dotted lines represent the interior surfaces of the droplet generator (100). The textured shapes represent liquid/vapor interfaces. Fig. 4D shows the vapor bubble (130) near its maximum size. The vapor bubble (130) fills most of the firing chamber (110) and extends out into the ink reservoir (105). The tail (135-2) of the droplet extends out of the nozzle (300). Fig. 4E shows the vapor bubble (130) beginning to collapse and the tail of the droplet beginning to thin. Fig. 4F shows the vapor bubble (130) continuing to collapse and a meniscus (405) beginning to form in the nozzle (300) as the collapsing bubble (130) draws air from the exterior into the nozzle (300). As can be seen

in Fig. 4F, the meniscus (405) forms two lobes which correspond to the two lobes of the poly-ellipse nozzle (300). The tail (135-2) remains centered over the center of the nozzle (300). As discussed above, position of the tail (135-2) at separation can influence the trajectory of the droplet.

5 **[0041]** Fig. 4G shows that the vapor bubble (130) has entirely retracted from the ink reservoir (105) and is beginning to divide into two separate bubbles. The meniscus (405) continues to deepen into the firing chamber (110), indicating that air is being drawn into the firing chamber (110). The tail (135-2) is separating from nozzle (300) at this point and is detaching
10 from neutral position over the center of the nozzle (300).

[0042] Fig. 4H shows the tail (135-2) has completely separated from the nozzle (400). The surface tension in the tail (135-2) has begun to draw the bottom most portions of the tail up into the main portion of the tail. This results in the tail (135-2) having a slightly bulbous end. The vapor bubble (130) has
15 collapsed into two separate bubbles which are in the corners of the firing chamber (110). The meniscus (405) extends well into the firing chamber (110). As discussed above, there a reduced number of satellite droplets during the ejection of the droplet from the droplet generator (100) which includes a poly-ellipse nozzle (300).

20 **[0043]** Figures 5A and 5B are diagrams which illustrate actual images of the ejection of ink droplets from an array of circular nozzles, as shown in Figs. 1A-1F, and ink droplets which are ejected from an array of poly-ellipse nozzles, as shown in Figs. 4A-4F. As can be seen in Fig. 5A, the droplets ejected from the circular nozzles (115) in a printhead (500) are shattered into
25 numerous different sub-droplets (135-3). This creates a mist of droplets (135) of various sizes. As discussed above, sub-droplets (135-3) which lower masses lose velocity quickly and can remain airborne for long periods of time.

[0044] Fig. 5B is a diagram of the ejection of droplets (135) from poly-ellipse nozzles (300) in a printhead (510). In this case, the droplets (135) have
30 consistently formed only head droplets (135-1) and tail droplets (135-2). There is little evidence of smaller sub-droplets. The head droplet (135-1) and the tail

droplets (135-2) may merge in flight and/or may impact the same area of the substrate.

[0045] Figs. 6A and 6B are illustrative diagrams which contrast the print quality effects of circular nozzles and the illustrative poly-ellipse nozzles.

5 The left hand side of the Fig. 6A illustrates the circular nozzle (115) and the relative orientation and size of the underlying resistor. The right hand side of the Fig. 6A is a photograph (615) of a section of text produced using the circular nozzles. The text is the word "The" in four point font. Clearly visible in the photograph (615) is the blurring of the text edges produced by medium mass sub-droplets with a slower velocity. These sub-droplets do not impact in the
10 desired locations and cause blurring of the image. As discussed above, the lowest mass sub-droplets may not ever contact the substrate.

[0046] The left hand side of Fig. 6B shows a poly-ellipse nozzle (300) which is perpendicular to the underlying heating resistor (600). As shown in the
15 right hand photograph (610), the same word in the same font was printed with using the poly-ellipse nozzle (300) design. The print quality produced by the poly-ellipse nozzle (300) is significantly better with respect to edge crispness than the circular nozzle (115). Clearly absent are the relatively small dots which indicate droplet breakup. Another result of larger droplet sizes is that the
20 droplets are placed with greater accuracy. The interior of the letters of the word "The" show a significant amount of light/dark texture or "graininess" in the interior of the letters. This is a result of larger droplet sizes which travel more accurately to a target location. For example, if each ejection cycle results in two drops, the head droplet and the tail droplet may both land in the same location.
25 This can result white space between the target locations.

[0047] A variety of parameters could be selected or altered or to optimize the performance of a poly-elliptical nozzle (300). These parameters reflect the wide range of factors which may affect the performance of an inkjet nozzle. In addition to the shape of the nozzle, the characteristics of the ink can
30 affect the performance of the nozzle. For example, the viscosity, surface tension, and composition of the ink can affect the nozzle performance.

[0048] Figs. 7A and 7B illustrate one parameter which can be adjusted to alter the performance of the nozzle. Specifically, the orientation of a feed slot (600) with respect to the nozzle (300) can be adjusted. The feed slot (600) is an aperture which forms a fluidic connection between a primary ink reservoir and a plurality of firing chambers (110) which are arranged along the sides of the feed slot (600). According to one illustrative embodiment shown in Fig. 7A, the major axis (328) of the nozzle (300) is parallel to the major axis (605) of the feed slot (605). In this embodiment, both of the lobes of the poly-elliptical nozzle (300) are equally distant from the feed slot (600) and exhibit approximately the same behavior. However, Fig. 7B shows the major axis (605) of the feed slot (600) and major axis (328) of the nozzle (300) in a perpendicular orientation. In this configuration, one of the lobes is located within the firing chamber at a different distance from the feed slot (600) than the other lobe. This results in an asymmetric fluid behavior in the two lobes. In some applications, this can be advantageous.

[0049] A variety of other parameters can be adjusted within the droplet generator. For example, the size and shape of the heating resistor (600) can influence the geometry of the vapor bubble during a firing sequence. In turn, the vapor bubble influences the characteristics of the ejected droplets.

[0050] Another parameter that can be adjusted is the geometry of the poly-ellipse profile. Fig. 8 includes a number of illustrative poly elliptical profiles which could be created by adjusting the parameters in Eq. 1. Each illustrative example in Fig. 8 includes a profile with the pinch of the throat and a chart listing the parameters used in Eq. 1 to generate the geometry. The profile is superimposed on a graph which shows X and Y distances in microns. For example, the illustrative example in the upper left hand corner the outline of the poly-ellipse profile extends along the X axis from approximately 10 microns to -10 microns. The pinch at the narrowest point in the throat is 8 microns.

[0051] Other illustrative examples have increasingly larger pinches. The lower right hand example has the most open profile with a pinch of 13 microns. The more open profiles have greater fluid flow, are less likely to be obstructed and are easier to clear if an obstruction occurs. However, the wider

the throat of the profiles, the smaller effect the protrusions have in reducing droplet break up.

[0052] For each graph there is corresponding table with the constants which can be substituted into Eq. 1 to generate the illustrated shape. These constants are only illustrative examples. A variety of other constants could be used to generate a shape with the same throat pinch. For example, a 12 micron throat pinch could be generated using the bottom left hand table in Fig. 8. However, a similar shape with a 12 micron throat pinch could be generated by substituting the following constants into Eq. 1.

A	12.3000
B	12.420094
C	0.082
D	1.455

Table 2

[0053] In comparing Table 2 to the bottom right hand table in Fig. 8, it is clear that the various constants can be increased, decreased, or remain the same while still producing the same throat pinch. For example, constant A has remained the same, B has slightly decreased, C has decreased by almost half of value shown in Fig. 8, and D has increased.

[0054] These constants may be selected from a range of values to create the desired shape. For example, A may have a range of approximately 9 to 14; B may have a range of approximately 9 to 14; C may have a range of approximately 0.001 to 1; and D may have a range of approximately 0.5 to 2. In another embodiment, A may have a range from approximately 12.0 to 13.0; B may have a range of approximately 12.0 to 13.0; C may have a range of approximately 0.001 to 0.5; and D may have a range of approximately 1 to 2.

[0055] The constants may be selected such that the resulting nozzle defined by the polynomial produces droplets with a desired drop mass. For example, the pinch may range from 3 and 14 microns and the drop mass may

range from 4 nanograms to 15 nanograms. As discussed above, a variety of constant values may be selected to generate the desired geometry. Additionally, a number of other equations could be used to generate pinched elliptical forms.

5 **[0056]** Figs. 9A-9B are photographic images of one illustrative embodiment of a poly-elliptical nozzle. Fig. 9A is a plan view and shows the poly-elliptical nozzle (300) with a throat (320). In this illustrative embodiment, a counter bore (900) has been formed. A dashed line (905) marks the beginning of the counter bore (900). As used in the specification and appended claims
10 the term “counter bore” refers to relatively shallow depression or other cutout region around the perimeter of the nozzle (300). This counter bore (900) may have a variety of shapes, widths, and sizes.

[0057] Fig. 9B is a cross sectional diagram of the nozzle (300) along line 9B-9B in Fig. 9A. The line 9B-9B passes through the throat (320) of the
15 nozzle (300). The cross section shows the nozzle (300) passing through the top hat layer (400). The top hat layer (400) includes an interior surface (400-2) which forms the roof of the firing chamber (110) and an exterior surface (400-1) which forms the exterior surface of the droplet generator. According to one illustrative embodiment, the top hat layer (400) is formed from SU-8, an epoxy-
20 based negative photoresist. The top hat layer (400) may be formed in a variety of thicknesses. For example, top hat layer (400) may be 20 microns in thickness.

[0058] In this illustrative embodiment, the counter bore (900) is a shallow, dish-shaped depression. The counter bore (900) may serve a number
25 of functions, including removing any burrs or other manufacturing defects from the upper perimeter of the profile. Additionally, the perimeter walls (910) which form the nozzle (300) may be tapered. In this illustrative embodiment, the perimeter walls (910) of the nozzle (300) flare outward at approximately a 12 degree angle. In other embodiments, the flare angle may range from 5 to 15
30 degrees. Consequently, the nozzle throat (320) is wider at interior surface (400-2) and narrows before entering the counter bore (900).

[0059] The counter bore (900) and taper (920) of the aperture (302) may be formed in a number of ways, including those described in U.S. Patent No. 7,585,616 to Shaarawi et al., filed on Jan. 31, 2005, which is incorporated herein by reference in its entirety.

5 **[0060]** In sum, a poly-ellipse nozzle defined by a polynomial forms an aperture with a smooth and continuous outline with two projections extending into the center of the aperture to form a throat. This nozzle geometry slows fluid passing through the center of the aperture and minimizes velocity differences within the ejected droplet. This reduces break up of ejected
10 droplets and increases the repeatability and precision of the droplet trajectory. The nozzle geometry also allows the tail to be centered over the throat during separation of the droplet from the droplet generator. This results a more gentle separation of the droplet tail from the droplet generator and less violent retraction portions of the tail back into firing chamber during bubble collapse.
15 This reduces the break up of the tail during separation and prevents the tail from skewing the droplet trajectory.

[0061] The preceding description has been presented only to illustrate and describe embodiments and examples of the principles described. This description is not intended to be exhaustive or to limit these principles to any
20 precise form disclosed. Many modifications and variations are possible in light of the above teaching.

CLAIMS

WHAT IS CLAIMED IS:

- 5 1. An inkjet nozzle (300) comprising an aperture (302) with a noncircular opening substantially defined by a polynomial equation.
2. The nozzle of claim 1, in which the polynomial equation is a fourth order polynomial equation.
- 10 3. The nozzle according to any of the above claims, in which the polynomial equation has a general form of: $(DX^2 + CY^2 + A^2)^2 - 4A^2X^2 = B^4$, where A, B, C and D are constants which define the shape of the polynomial.
- 15 4. The nozzle of claim 3, in which constants in the polynomial equation comprise:
 A having a range of approximately 9 to 14;
 B having a range of approximately 9 to 14;
 C having a range of approximately 0.001 to 1; and
20 D having a range of approximately 0.5 to 2.
5. The nozzle of claim 3, in which constants in the polynomial equation comprise:
 A having a range of approximately 12.0 to 12.5;
25 B having a range of approximately 12.0 to 13.0;
 C having a range of approximately 0.001 to 0.5; and
 D having a range of approximately 1 to 2.
- 30 6. The nozzle according to any of the above claims, in which the shape of the aperture (302) is mathematically continuous and mathematically smooth.

7. The nozzle according to any of the above claims, in which aperture (302) has two protrusions (310) extending inward to form a throat (320), the throat (320) being configured to restrict fluid flow through a central portion of the aperture (302).

5

8. The nozzle of claim 7, in which the throat (320) has a pinch of between 3 and 14 microns and the nozzle envelope (335) is approximately 20 microns by 20 microns.

10

9. The nozzle according to any of the above claims, in which the nozzle (300) is configured to generate a droplet (135) having a mass between 4 nanograms and 15 nanograms.

15

10. The nozzle according to any of the above claims, in which the major axis of nozzle (300) is parallel to the major axis (605) of a slot (600).

11. The nozzle according to any of the above claims, further comprising a counter bore (900).

20

12. The nozzle according to any of the above claims, in which the aperture's perimeter wall (910) comprises a taper between 5 and 12 degrees.

25

13. A droplet generator (100) comprising:
a firing chamber (110) fluidically coupled to a fluid reservoir (105);
a heating resistor (120); and
a nozzle (300) comprising an aperture (302) forming a passage from the firing chamber (110) to the exterior of the droplet generator (100) through a top hat layer (400), the nozzle (300) being defined by a closed polynomial and having a mathematically smooth and mathematically continuous shape around aperture's perimeter wall (910), the nozzle having two protrusions (310) extending into the center of the aperture (302).

30

14. The droplet generator of claim 13, in which the nozzle (300) further comprises:

a counter bore (900), the counter bore (900) being formed in an exterior surface (400-1) of the top hat layer (400); and

5 a taper (920), the taper (920) being formed in the aperture's perimeter wall (910) such that the width of the nozzle (300) is greater at an interior surface of the top hat layer (400) and narrows before entering the counter bore (900) on the exterior surface (400-1) of the top hat layer (400); the taper (920) being between 5 and 15 degrees.

10

15 15. The droplet generator of claim 14, in which the two protrusions (310) extending to the center portion of the aperture (302) form a throat (320) configured to restrict fluid flow in the central portion of the aperture (302) such that the velocity difference between a head portion (135-1) of an ejected droplet and a tail portion (135-2) of an ejected droplet is reduced; the throat (320) being further configured to such that during ejection of an ink droplet (135) from the nozzle (300), a tail (135-2) of the ink droplet (135) is centered over the throat (320) when the droplet (135) separates from the droplet generator (100).

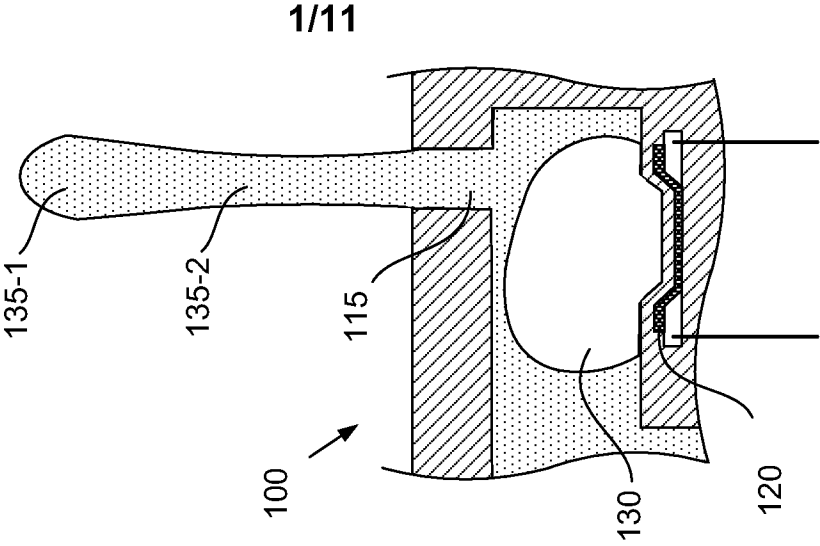


Fig. 1C

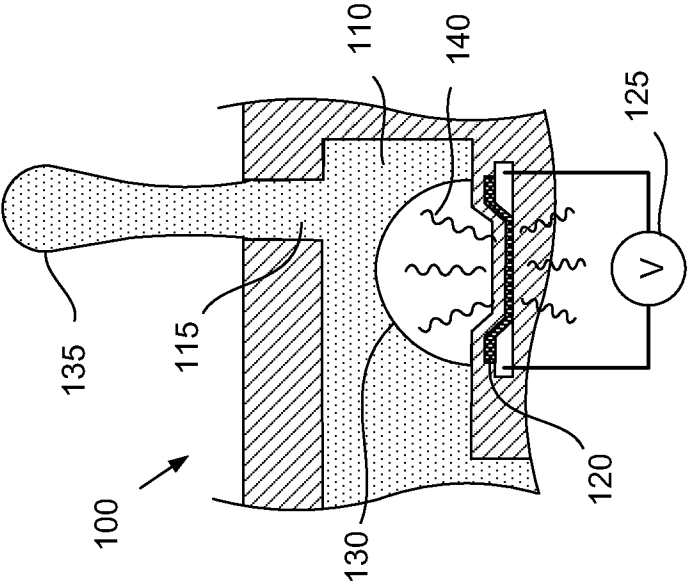


Fig. 1B

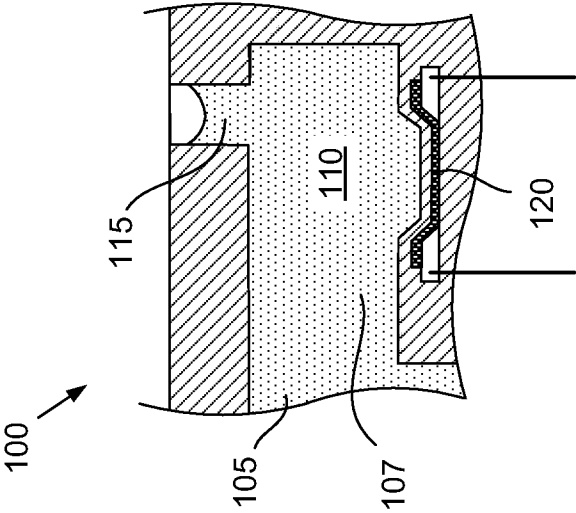


Fig. 1A

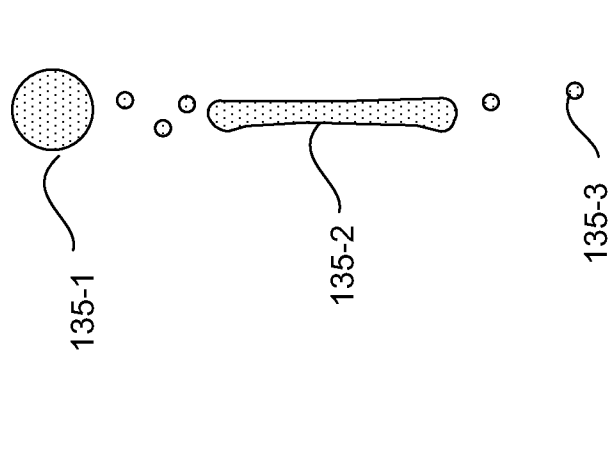
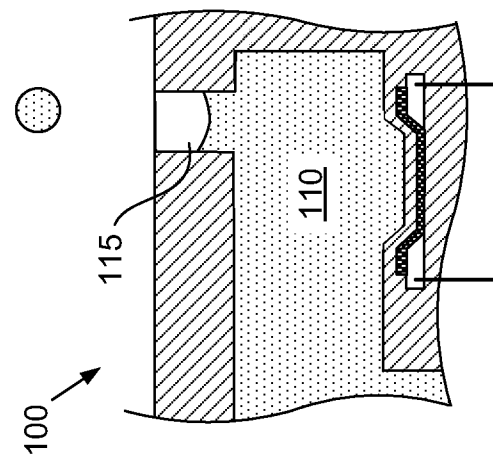


Fig. 1F

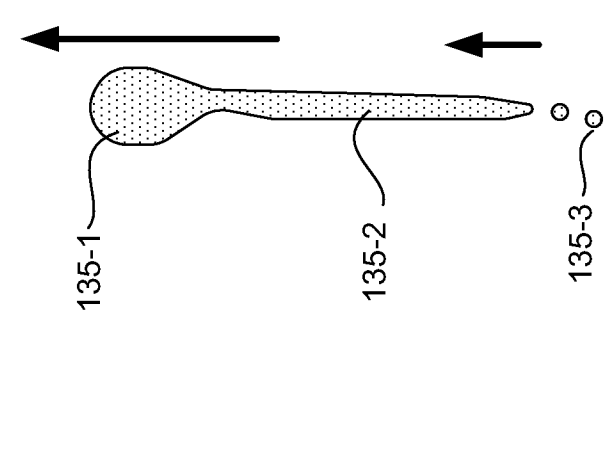
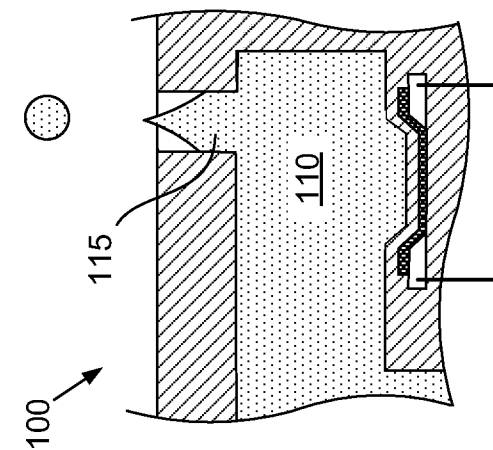


Fig. 1E

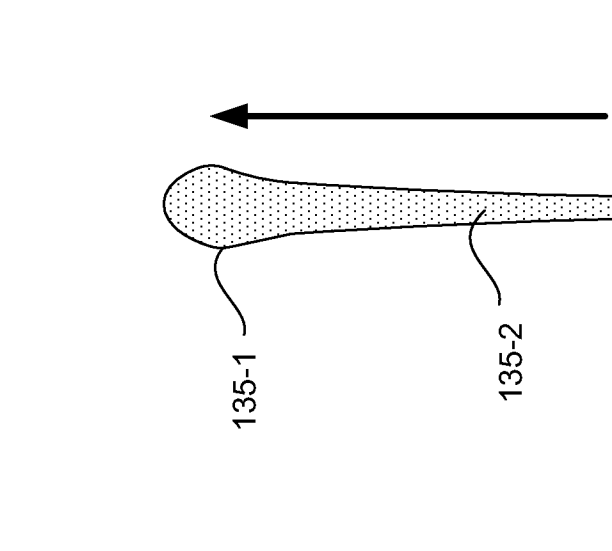
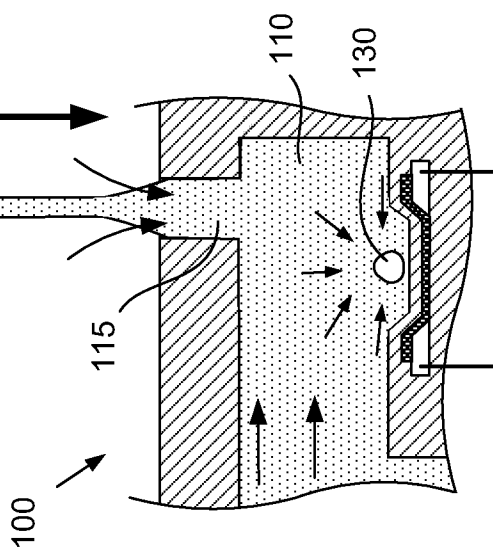
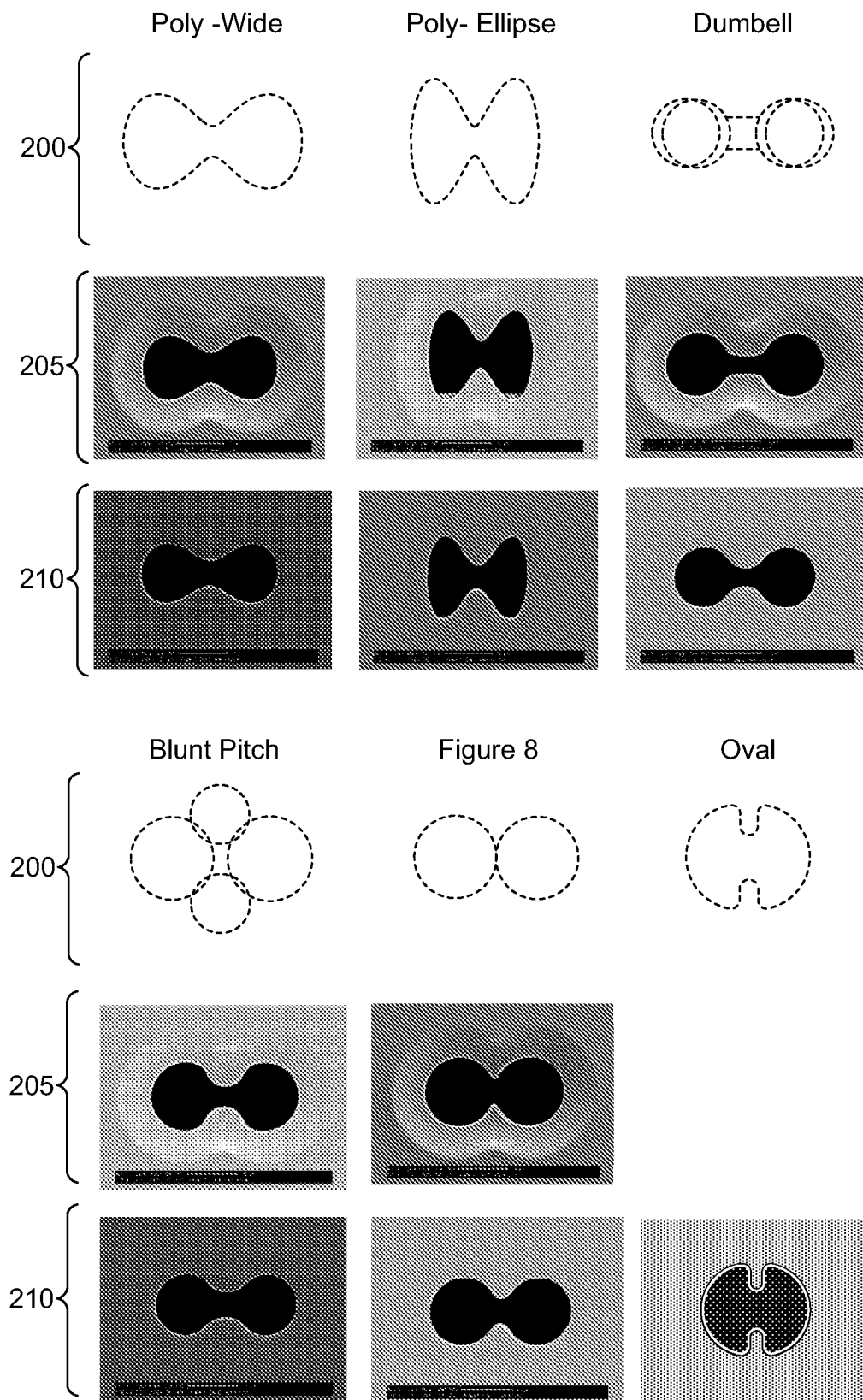
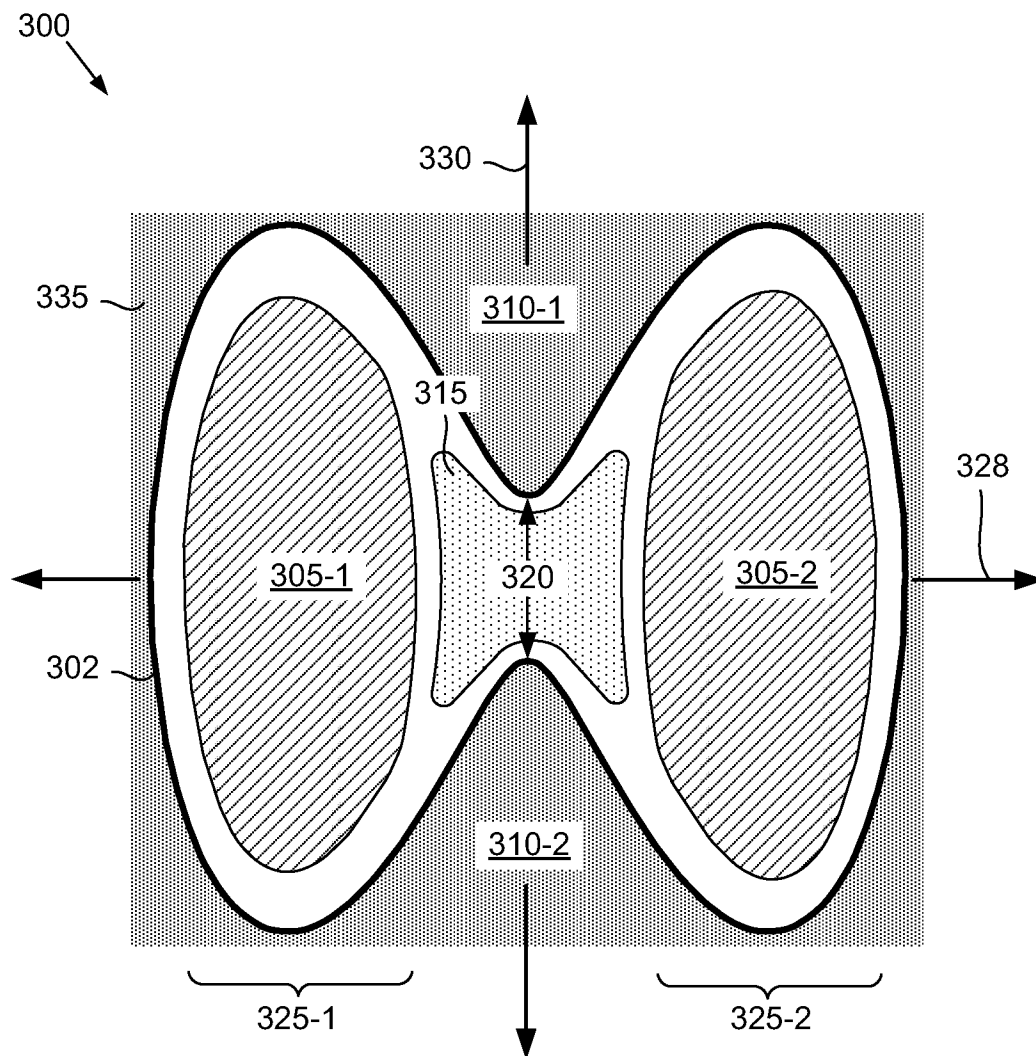


Fig. 1D

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**Fig. 2**

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**Fig. 3**

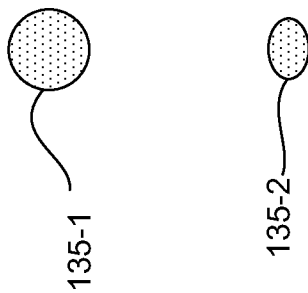
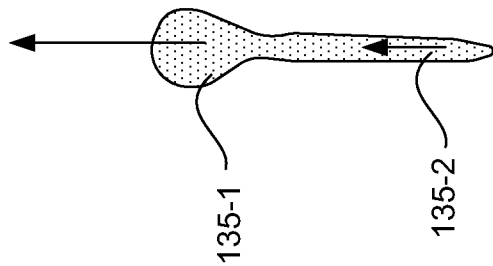
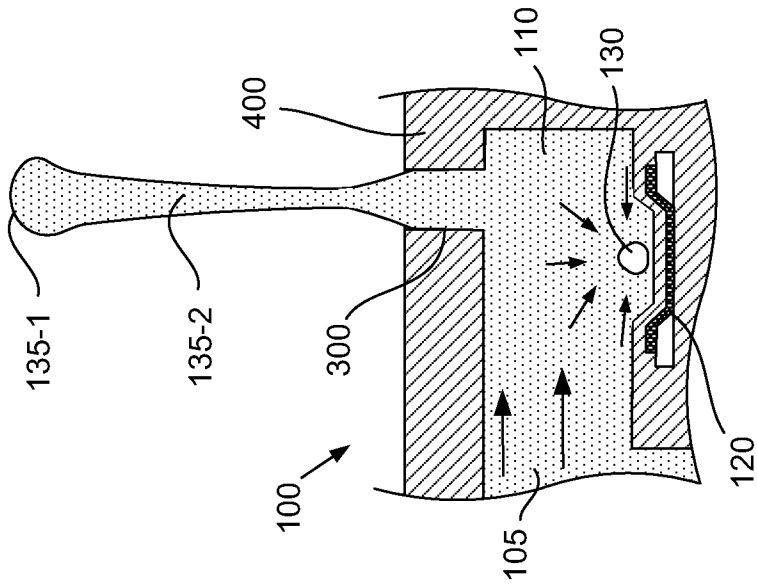
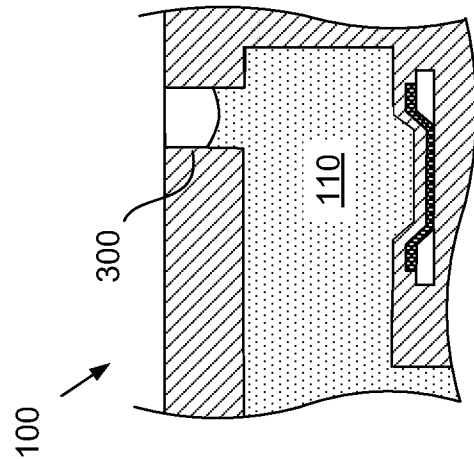
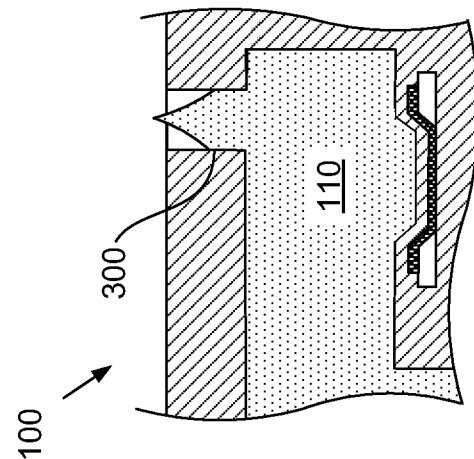
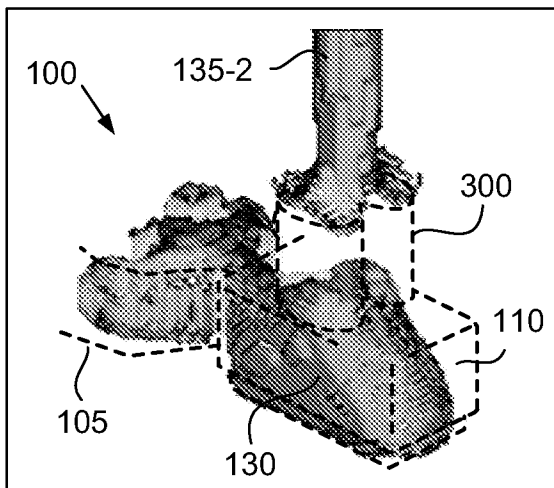
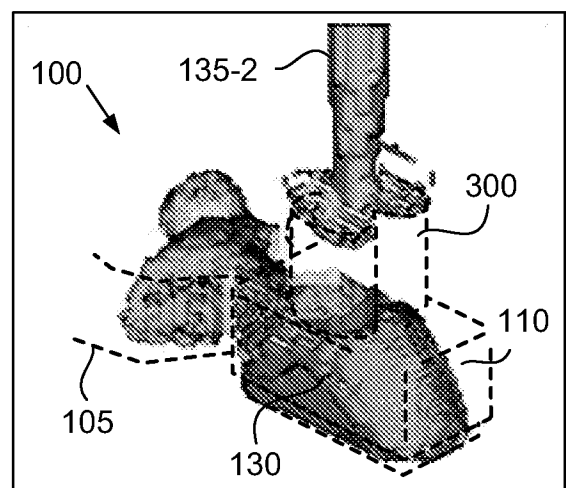
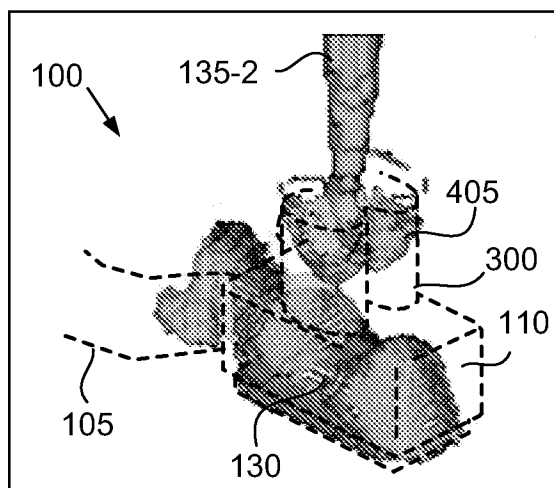
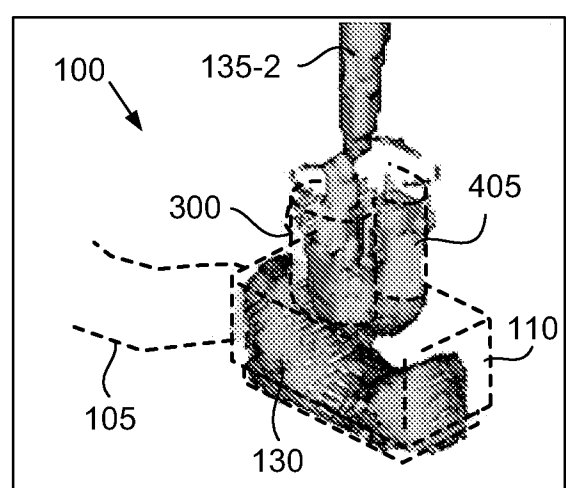
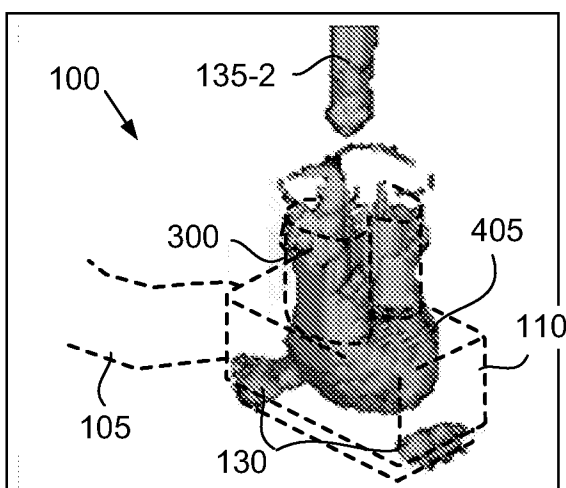


Fig. 4C

Fig. 4B

Fig. 4A

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**Fig. 4D****Fig. 4E****Fig. 4F****Fig. 4G****Fig. 4H**

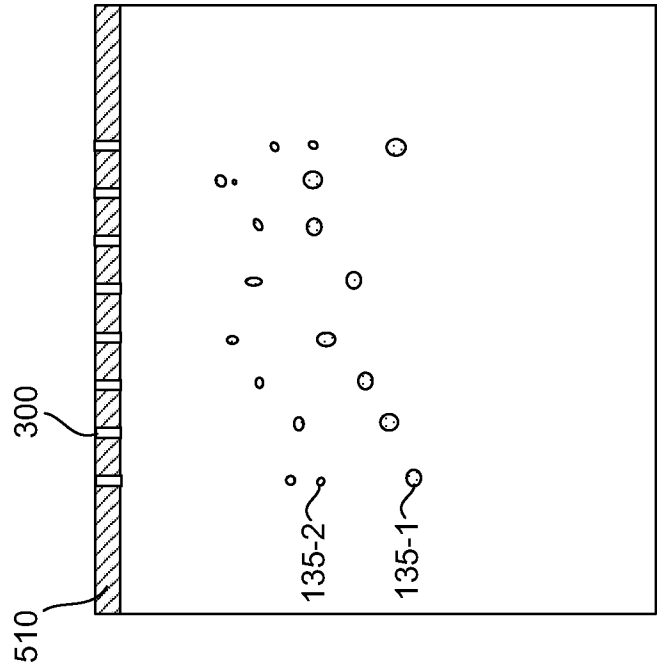


Fig. 5A

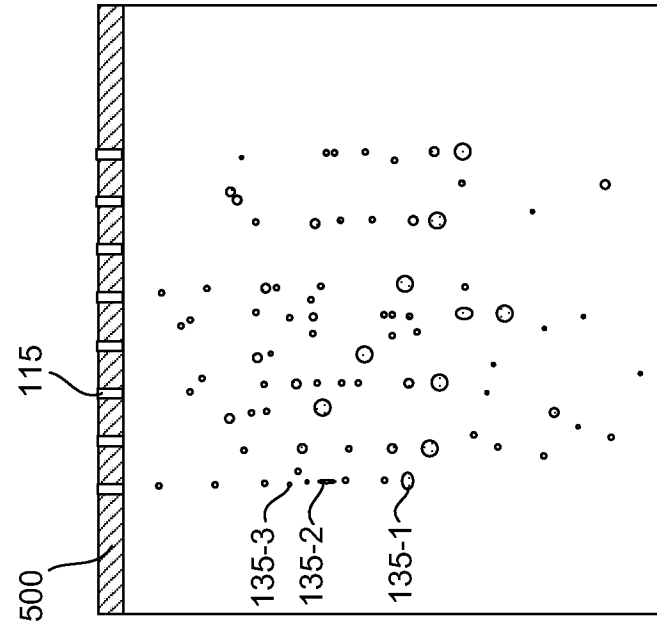


Fig. 5B

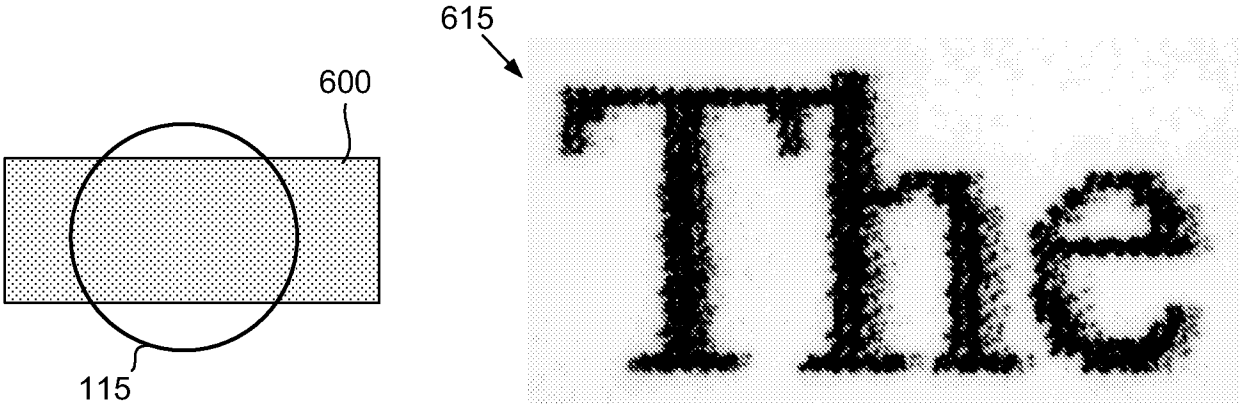


Fig. 6A

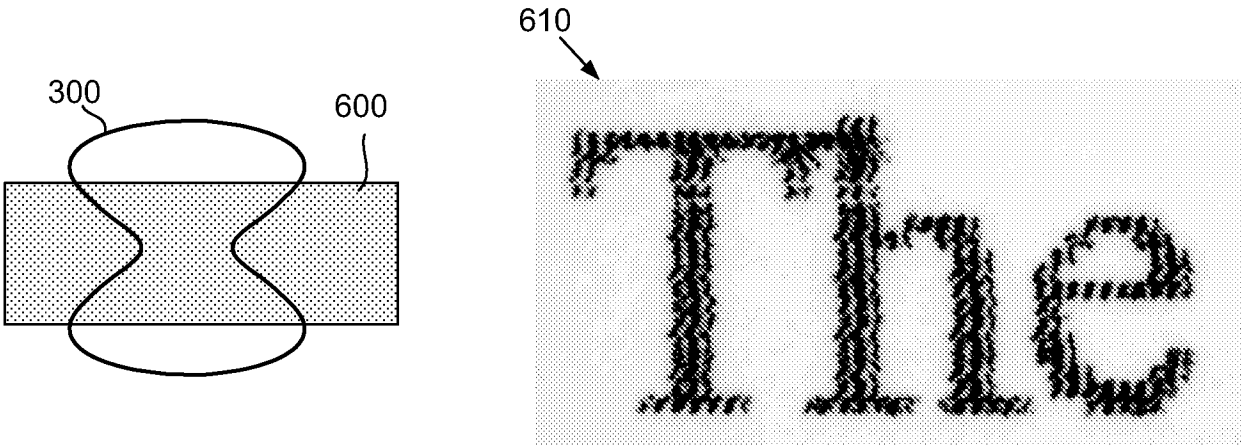


Fig. 6B

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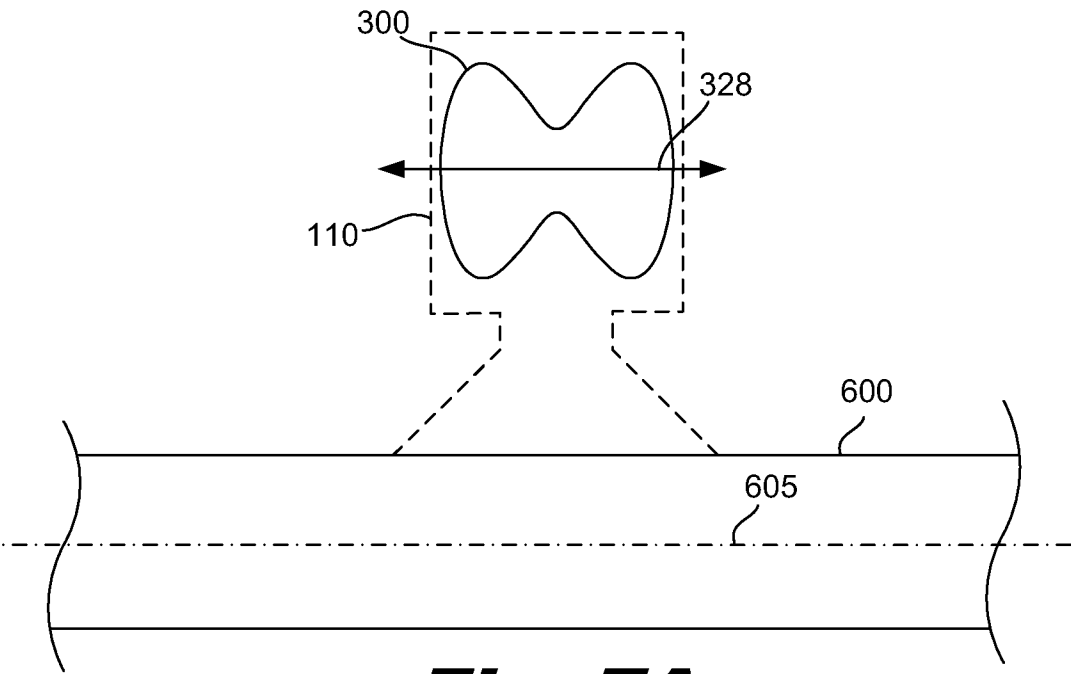


Fig. 7A

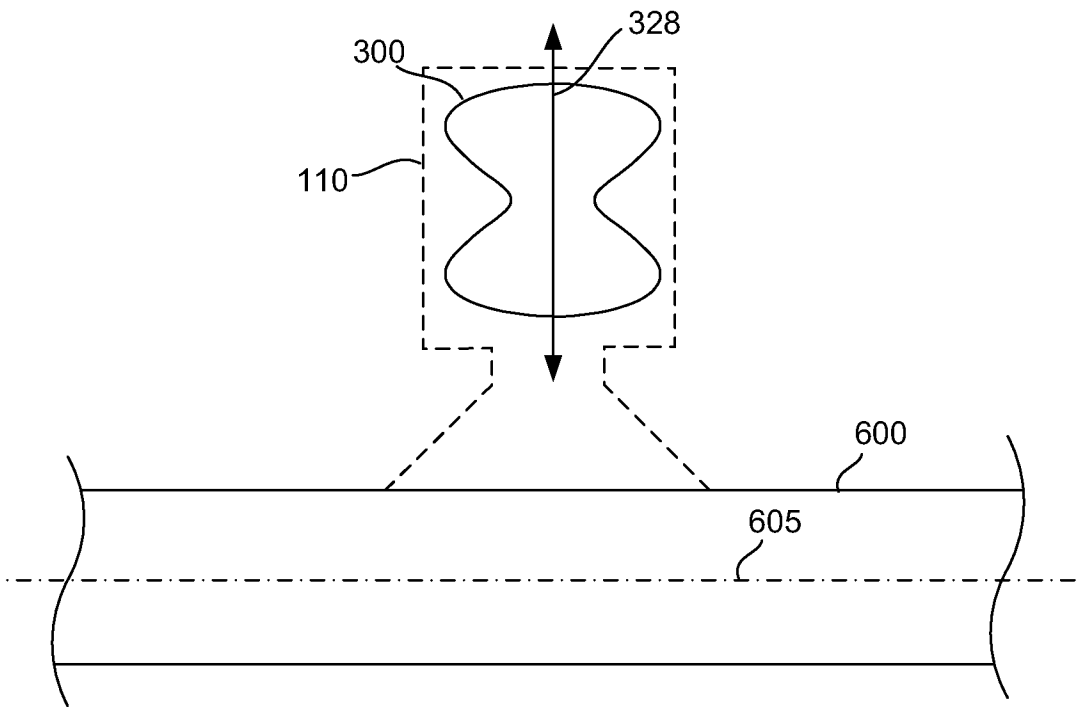
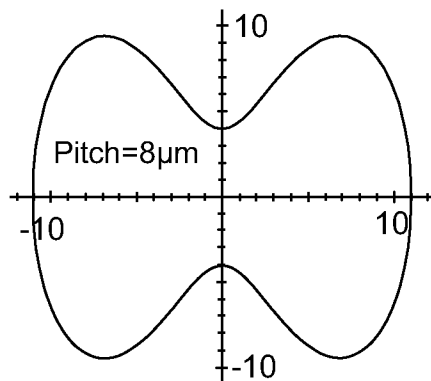
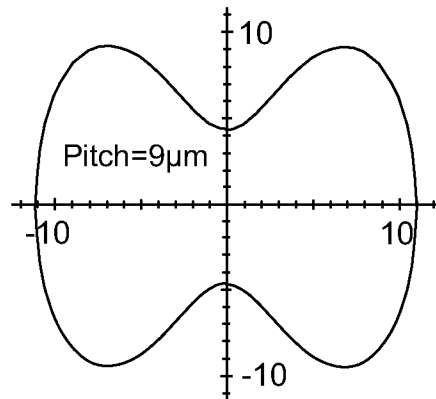


Fig. 7B

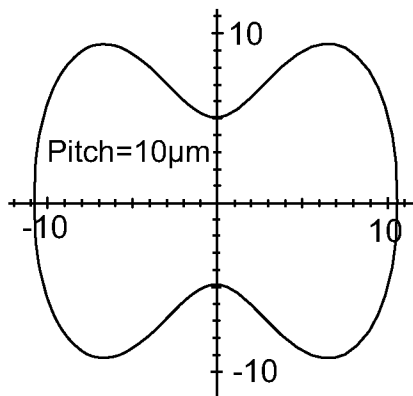
10/11



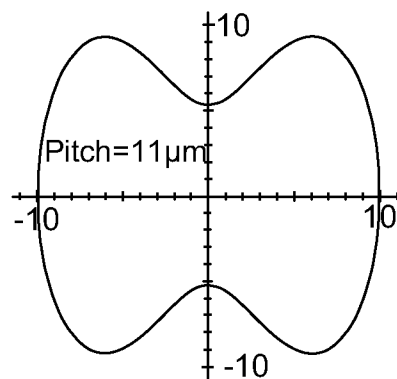
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B	12.4089
C	0.16810
D	1.32290



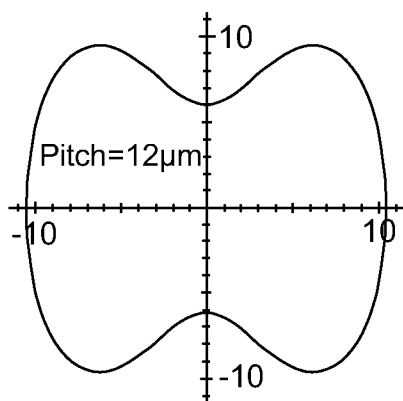
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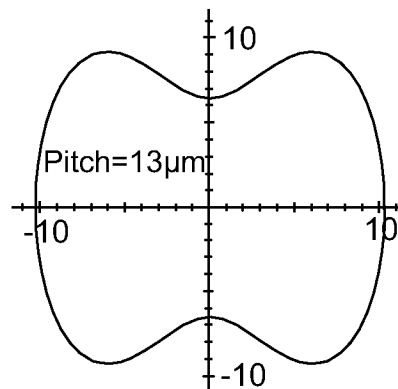
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C	0.16800
D	1.35000



A	12.3000
B	12.4809
C	0.14800
D	1.37900



A	12.3000
B	12.5345
C	0.16200
D	1.38600



A	12.3000
B	12.6060
C	0.18000
D	1.39500

Fig. 8

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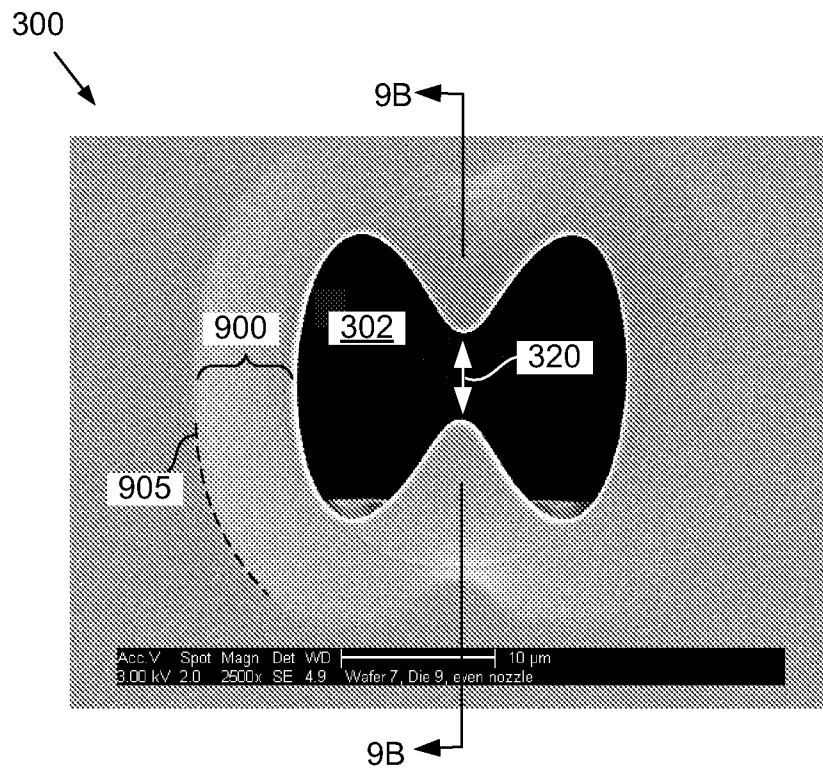


Fig. 9A

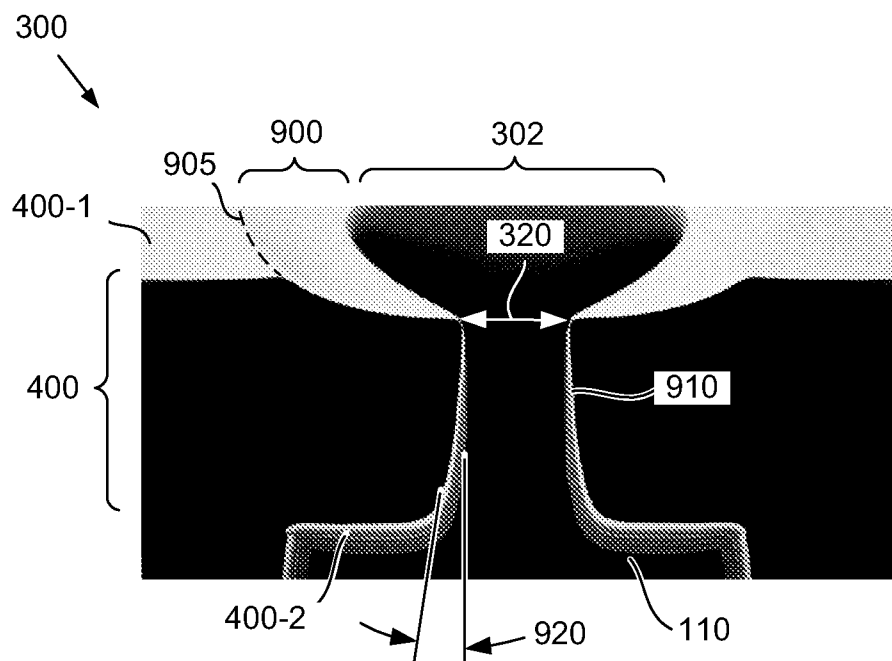


Fig. 9B

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2010/029450**A. CLASSIFICATION OF SUBJECT MATTER*****B41J 2/14(2006.01)i, B41J 2/145(2006.01)i, B41J 2/16(2006.01)i***

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

B41J 2/14; B41J 2/16; B41J 2/135

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

eKOMPASS(KIPO internal) & Keywords: inkjet, nozzle, aperture, noncircular, open, define, polynomial, equation, shape, droplet, generator, chamber, reservoir, heat, resistor, perimeter, protrusion, extend, center.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 2009-0002447 A1 (TAKEI YASUNORI et al.) 01 January 2009 See abstract, paragraph[0050]-paragraph[0053] and figures 2A-2C.	1-5, 13-15
A	US 6557974 B1 (WEBER; TIMOTHY L.) 06 May 2003 See abstract, column 4, line 55-column 6, line 22 and figures 5-9.	1-5, 13-15
A	US 6527369 B1 (WEBER; TIMOTHY L. et al.) 04 March 2003 See abstract, column 6, line 32-column 6, line 62 and figures 10, 11.	1-5, 13-15
A	US 6123413 A1 (AGARWAL; ARUN K. et al.) 26 September 2000 See abstract, column 6, line 28-column 7, line 33 and figures 8-10.	1-5, 13-15



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

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"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

18 JANUARY 2011 (18.01.2011)

Date of mailing of the international search report

19 JANUARY 2011 (19.01.2011)

Name and mailing address of the ISA/KR

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gu, Daejeon 302-701, Republic of Korea

Facsimile No. 82-42-472-7140

Authorized officer

KIM, Sang Bae

Telephone No. 82-42-481-5454



INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

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		US 6557974 B1	06.05.2003

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2010/029450**Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☒ Claims Nos.: 6-12
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.