

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
24 August 2006 (24.08.2006)

PCT

(10) International Publication Number  
**WO 2006/089236 A1**

(51) International Patent Classification:  
A61F 2/24 (2006.01)

(21) International Application Number:  
PCT/US2006/005858

(22) International Filing Date:  
18 February 2006 (18.02.2006)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/654,725 18 February 2005 (18.02.2005) US

(71) Applicant (for all designated States except US): **THE CLEVELAND CLINIC FOUNDATION** [US/US]; 9500 Euclid Avenue, Cleveland, OH 44195 (US).

(72) Inventors: **NAVIA, Jose, L.**; 2702 Cranlyn Road, Shaker Heights, OH 44122 (US). **NAVIA, Jose, A.**; 1308 Suipacha, Apt. 4B, Buenos Aires 1011 (AR). **OBERTI, Carlos**; 30987 Kilgour Drive, Westlake, OH 44145 (US).

(74) Agent: **WESORICK, Richard, S.**; TAROLLI, SUNDHEIM, COVELL & TUMMINO LLP, 1300 East Ninth Street, Suite 1700, Cleveland, Ohio 44114 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

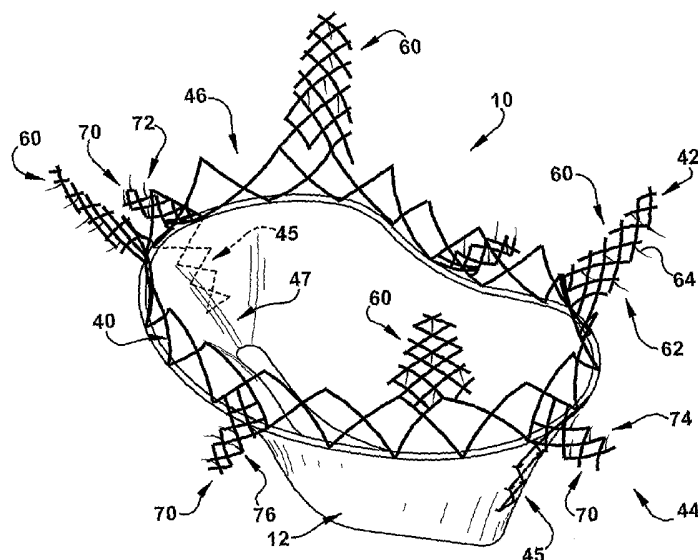
(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: APPARATUS AND METHODS FOR REPLACING A CARDIAC VALVE



(57) Abstract: An apparatus (10) and method for replacing a cardiac valve includes an expandable support member (40) having oppositely disposed first (42) and second (44) ends, a main body portion (46) extending between the ends, and a prosthetic valve (12) within the main body portion. The main body portion has an annular shape for expanding into position in the annulus of the valve. The first and second ends include a plurality of upper (60) and lower (70) wing members movable from a collapsed condition into an extended condition for respectively engaging a first section of cardiac tissue surrounding the valve and for engaging a portion of the native valve leaflets to pin the leaflets back against the annulus. The second end further includes at least two strut members (45) spaced apart from each other. A respective one of the strut members is attached to at least one commissural section of the prosthetic valve to prevent prolapse of the valve leaflets.

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**APPARATUS AND METHODS FOR REPLACING A CARDIAC VALVE****Related Application**

This application claims priority from U.S. provisional patent application Serial No. 60/654,725, filed on February 18, 2005, the subject matter of which is  
5 incorporated herein by reference.

**Field of the Invention**

The present invention relates to an apparatus and methods for treating a diseased cardiac valve, and is particularly directed to an apparatus and methods for the correction of mitral valve and tricuspid valve disorders via a minimally  
10 invasive or percutaneous approach.

**Background of the Invention**

There are two atrio-ventricular valves in the heart; one on the left side of the heart and one on the right side of the heart. The left side atrio-ventricular valve is the mitral valve and the right side atrio-ventricular valve is the tricuspid valve.  
15 Both of these valves are subject to damage and dysfunction that requires that the valve be repaired or replaced.

The mitral and tricuspid valves differ significantly in anatomy. While the annulus of the mitral valve is generally D-shaped, the annulus of the tricuspid valve is more circular. The effects of the valvular dysfunction vary between the  
20 mitral valve and the tricuspid valve. Mitral valve regurgitation has more severe physiological consequences to the patient than does tricuspid valve regurgitation, a small amount of which is tolerable.

In mitral valve insufficiency, the valve leaflets do not fully close and a certain amount of blood leaks back into the left atrium when the left ventricle contracts. As a result, the heart has to work harder by pumping not only the  
25 regular volume of the blood, but also the extra volume of blood that regurgitated back into the left atrium. The added workload creates an undue strain on the left ventricle. This strain can eventually wear out of the heart and result in morbidity when the conditions are prolonged and severe enough. Consequently, proper  
30 function of the mitral valve is critical to the pumping efficiency of the heart.

Mitral and tricuspid valve disease is traditionally treated by either surgical repair with an annuloplasty ring or surgical replacement with a valve prosthesis. However, surgical valve replacement or repair is often an exacting operation that is done through a surgical technique where the thoracic cavity is opened. The operation requires use of a heart-lung machine for external circulation of the blood as the heart is stopped and opened during the surgical intervention and the artificial cardiac valves and/or annuloplasty rings are sewed in under direct vision. This operation exposes the patient to many risks especially in the elderly population. A percutaneous procedure that can be performed under local anesthesia in the cardiac catheterization lab, rather than in cardiac surgery, could therefore offer tremendous benefits for these patients, many of whom have no options today. Consequently, an apparatus for replacing a diseased atrioventricular valve using a minimally invasive, percutaneous approach would be very helpful to provide additional opportunities to treat patients with severe valvular insufficiency, end stage heart failure, atrial fibrillation, and/or other associated arrhythmias.

### **Summary of the Invention**

In one aspect of the present invention, an apparatus for replacing a cardiac valve having at least two native valve leaflets is provided. The apparatus comprises an expandable support member having oppositely disposed first and second ends and a main body portion extending between the ends. The main body portion of the support member has an annular shape for expanding into position in the annulus of the cardiac valve. The first end comprises a plurality of upper wing members that extend from the main body portion. Each of the upper wing members is movable from a radially collapsed condition into a radially extended condition for engaging a first section of cardiac tissue surrounding one side of the cardiac valve. The second end comprises a plurality of lower wing members that extend from the main body portion. Each of the lower wing members is movable from a radially collapsed condition into a radially extended condition for engaging a portion of the native valve leaflets to pin the leaflets back against the annulus of the native cardiac valve. The second end of the support member further includes at least two strut members that are spaced apart from each other. The at least two

valve leaflets are joined at at least two commissural sections that are spaced apart from each other. Each of the at least two commissural sections are attached to a respective one of the strut members to prevent prolapse of the valve leaflets. A prosthetic valve is secured within the main body portion of the support member.

5 The prosthetic valve has at least two valve leaflets that are coaptable to permit unidirectional flow of blood.

In another aspect of the present invention, at least a portion of the support member is treated with at least one therapeutic agent for eluting into cardiac tissue.

In yet another aspect of the present invention, a method for replacing a cardiac valve having at least two native valve leaflets is provided. According to

10 the inventive method, a prosthetic valve having at least two valve leaflets that are coaptable to permit unidirectional flow of blood is provided. The prosthetic valve includes an expandable support member having oppositely disposed first and second ends and a main body portion extending between the ends. The expandable

15 support member further includes a plurality of upper wing members that extend from one end of the main body portion, and a plurality of lower wing members that extend from an opposite end of the main body portion. The second end of the support member further includes at least two strut members. The prosthetic valve includes at least two valve leaflets that are joined together at at least two

20 commissural sections. Each of the at least two commissural sections are attached to a respective one of the strut members to prevent prolapse of the valve leaflets. The main body portion of the prosthetic valve is placed within the annulus of the cardiac valve to be replaced, and is then expanded into engagement with the annulus of the cardiac valve to secure the prosthetic valve in the annulus. The

25 upper wing members are deployed from a radially collapsed condition into a radially extended condition into engagement with a first section of cardiac tissue surrounding one side of the cardiac valve. The lower wing members are deployed from a radially collapsed condition into a radially extended condition into engagement with a portion of the native valve leaflets to pin the leaflets back

30 against the annulus of the native cardiac valve.

### **Brief Description of the Drawings**

The foregoing and other features of the present invention will become apparent to those skilled in the art to which the present invention relates upon reading the following description with reference to the accompanying drawings, in  
5 which:

Fig. 1 is a schematic sectional view of an apparatus for replacing a diseased cardiac valve in accordance with the present invention and illustrating the apparatus being delivered to the diseased valve in a collapsed condition through a percutaneous procedure;

10 Fig. 2 is a perspective view of the apparatus of Fig. 1 in a radially expanded condition;

Fig. 3 is a perspective view showing an alternative embodiment of the apparatus in Fig. 2;

15 Fig. 4 is a perspective view showing another alternative embodiment of the apparatus shown in Fig. 2;

Fig. 5 is a view similar to Fig. 1 illustrating the placement of the apparatus in the annulus of the cardiac valve in the expanded condition;

Fig. 6 is a schematic sectional view taken along 6-6 in Fig. 5;

20 Fig. 7 is a schematic top view taken along line 7-7 in Fig. 5 with parts omitted for clarity;

Fig. 8 is a schematic bottom view taken along line 8-8 in Fig. 5 with parts omitted for clarity;

Fig. 9 is a plan view of the apparatus in Fig. 4 illustrating an alternative embodiment of the apparatus;

25 Fig. 10 is a view similar to Fig. 9 illustrating another alternative construction of the apparatus;

Fig. 11 is a perspective view showing an alternative embodiment of the apparatus in Fig. 4 having artificial chordae; and

30 Fig. 12 is a schematic top view similar to Fig. 7 and illustrating an alternate embodiment of the apparatus.

### **Detailed Description of Embodiments**

The present invention relates to an apparatus and methods for treating a diseased cardiac valve, and is particularly directed to an apparatus and methods for the correction of mitral valve and tricuspid valve disorders via a minimally  
5 invasive and/or percutaneous approach. As representative of the present invention, Figs. 1 and 2 illustrate an apparatus 10 that includes a prosthetic valve 12 for replacing a dysfunctional cardiac valve, such as a mitral valve 14, by inserting the prosthetic valve over the native mitral valve so that the prosthetic valve assumes the valvular function. It should be understood, however, that the apparatus 10  
10 disclosed herein could also be used to replace other cardiac valves, such as a tricuspid, pulmonary, or aortic valve.

As shown in Fig. 1, the mitral valve 14 is located between the left atrium 16 and the left ventricle 18 and functions to prevent the backflow of blood from the left ventricle into the left atrium during contraction. The mitral valve 14 has a  
15 D-shaped annulus 20 that defines the opening between the left atrium 16 and the left ventricle 18. The mitral valve 14 is formed by two leaflets; namely, the anterior leaflet 22 and the posterior leaflet 24 (Fig. 6). The anterior leaflet 22 extends along the generally planar base of the D-shaped valve annulus 20, while the posterior leaflet 24 extends arcuately around the curved portion of the  
20 D-shaped annulus of the mitral valve 14. Chordae tendinea 26 (Fig. 1) extend between the free edges 28 of both leaflets 22 and 24 to the papillary muscles 30 in the left ventricle 18.

The apparatus 10 for replacing the dysfunctional mitral valve 14 includes an expandable support member 40 (Fig. 2), commonly referred to as a stent, and  
25 the prosthetic valve 12. The support member 40 has a known stent configuration that allows it to be collapsed and expanded. The support member 40 may be made from any suitable medical grade metal or plastic, including shape memory materials such as Nitinol, stainless steel, and/or titanium.

The support member 40 is annular in shape and includes oppositely  
30 disposed first and second ends 42 and 44 with a main body portion 46 extending between the ends. As shown in Figs. 2-8, when the support member 40 is

expanded, the main body portion 46 has a concave cross-sectional shape for conforming to the convex shape of the valve annulus 20.

The apparatus 10 may further include a layer 50 (Fig. 3) of biocompatible material covering at least a portion of the support member 40. The layer 50 of biocompatible material may be a synthetic such as Dacron<sup>®</sup> (Invista, Wichita, KS), Gore-Tex<sup>®</sup> (W. L. Gore & Associates, Flagstaff, AZ), woven velour, polyurethane, polytetrafluoroethylene (PTFE), or heparin-coated fabric. Alternatively, the layer 50 may be a biological material such as bovine or equine pericardium, peritoneal tissue, an allograft, a homograft, patient graft, or a cell-seeded tissue. The layer 50 can cover either the inside surface of the support member 40, the outside surface of the support member, or can be wrapped around both the inside and outside surfaces. The layer 50 may be attached around the entire circumference of the support member 40 or, alternatively, may be attached in pieces or interrupted sections to allow the support member to more easily expand and contract. As shown in Fig. 3, for example, only the main body portion 46 of the prosthetic valve 12 may be covered with the layer 50 of biocompatible material. Alternatively, the support member 40 may be entirely covered with the layer 50 of biocompatible material (Fig. 4).

The first end 42 of the support member 40 comprises a plurality of upper wing members 60 that extend integrally from the main body portion 46. In the embodiment illustrated in Figs. 1-8, there are four upper wing members 60 spaced about the circumference of the support member 40, but it should be understood that more or less than four upper wing members could be used. The upper wing members 60 are movable from the radially collapsed condition of Fig. 1 to the radially extended condition of Figs. 2-8. Each upper wing member 60 may also include at least one attachment mechanism 62, such as a barb 64 or suture, for embedding into a first section of cardiac tissue (*e.g.*, the wall of the left atrium 16) to help secure the support member 40 in the annulus 20 of the mitral valve 14.

The second end 44 of the support member 40 comprises a plurality of lower wing members 70 that extend integrally from the main body portion 46. In the embodiment illustrated in Figs. 1-8, there are four lower wing members 70 spaced about the circumference of the support member 40. More specifically, there are

first and second lower wing members 72 and 74, spaced approximately 180° apart, for positioning at the commissures of the native mitral valve 14, and third and fourth lower wing members 76 and 78 (Fig. 7), spaced approximately 180° apart, for positioning directly over respective central portions of the native leaflets 22 and 24. The third and fourth lower wing members 76 and 78 are spaced in-between the first and second lower wing members 72 and 74, respectively. It is contemplated, however, that more or less than four lower wing members 70 could be used. Further, it is contemplated that the third and fourth lower wing members 76 and 78 positioned over the leaflets 22 and 24 could be omitted completely.

Each of the lower wing members 70 is movable from the radially collapsed condition of Fig. 1 to the radially extended condition of Figs. 2-8 for engaging a portion of the native valve leaflets 22 and 24 to pin the leaflets back against the native valve annulus 20. The lower wing members 70 may also include at least one attachment mechanism 62, such as a barb 64 or suture, for embedding into at least one of the native valve leaflets 22 and 24 to help secure the support member 40 in the annulus 20 of the mitral valve 14.

The second end 44 of the support member 40 additionally includes at least two strut members 45. As shown in Fig. 2, the valve leaflets of the prosthetic valve 12 are joined together at at least two commissural sections 47 that are spaced apart from each other. Each of the at least two commissural sections 47 are attached to a representative one of the strut members 45 to prevent prolapse of prosthetic valve leaflets 90 and 92, described in detail below. The strut members 45 are securely attached to, and extend in a generally axial manner from, the support member 40. The strut members 45 are securely connected to the prosthetic valve 12 by sutures, for example, and may be made from any suitable medical grade metal or plastic, including shape memory materials such as Nitinol, stainless steel, and/or titanium. As illustrated in Fig. 2, the strut members 45 have a bare metal configuration and do not extend beyond the length of the prosthetic valve 12. It is contemplated, however, that the configuration of the strut members 45 may be varied as needed. For example, the strut members 45 may be



covered by a layer 50 of biocompatible material and extend beyond the length of the prosthetic valve 12.

5 The prosthetic valve 12 of the present invention may comprise a stentless prosthetic valve. By "stentless" it is meant that the valve components including the leaflets of the prosthetic valve 12 are not reinforced with a support structure, such as a stent or other similar structure. The prosthetic valve 12 is secured, for example, by sutures or other suitable means within the main body portion 46 of the support member 40.

10 The prosthetic valve 12 may be fixed and preserved using a variety of known methods. The use of chemical processes for the fixation and preservation of biological tissues have been described and are readily available in the art. For example, glutaraldehyde, and other related aldehydes have seen widespread use in preparing cross-linked biological tissues.

15 Glutaraldehyde is a five carbon aliphatic molecule with an aldehyde at each end of the chain, rendering it bifunctional. These aldehyde groups react under physiological conditions with primary amine groups on collagen molecules resulting in the cross-linking of collagen containing tissues. Methods for glutaraldehyde fixation of biological tissues have been extensively described and are well known in the art. In general, a tissue sample to be cross-linked is simply  
20 contacted with a glutaraldehyde solution for a duration effective to cause the desired degree of cross-linking within the biological tissue being treated.

Many variations and conditions have been applied to optimize glutaraldehyde fixation procedures. For example, lower concentrations have been found to be better in bulk tissue cross-linking compared to higher concentrations.  
25 It has been proposed that higher concentrations of glutaraldehyde may promote rapid surface cross-linking of the tissue, generating a barrier that impedes or prevents the further diffusion of glutaraldehyde into the tissue bulk. For most bioprosthesis applications, the tissue is treated with a relatively low concentration glutaraldehyde solution, *e.g.*, typically between 0.1%-5%, for 24 hours or more to  
30 ensure optimum fixation. Various other combinations of glutaraldehyde concentrations and treatment times will also be suitable depending on the objectives for a given application. Examples of such other combinations include,

but are not limited to, U.S. Pat. Nos. 6,547,827, 6,561,970, and 6,878,168, all of which are hereby incorporated by reference in their entirety.

In addition to bifunctional aldehydes, many other chemical fixation procedures have been described. For example, some such methods have employed polyethers, polyepoxy compounds, diisocyanates, and azides. These and other approaches available to the skilled individual in the art for treating biological tissues are suitable for cross-linking vascular graft tissue according to the present invention.

The prosthetic valve 12 may also be treated and preserved with a dry tissue valve procedure as described in U.S. Pat. No. 6,534,004, the entire contents of which are hereby incorporated by reference. Furthermore, the prosthetic valve 12 may be treated with anti-calcification solutions, such as XenoLogiX<sup>®</sup> treatment (Edwards Lifesciences, Irvine, CA) or the SynerGraft<sup>®</sup> (CryoLife, Inc., Kennesaw, GA) treatment process, and/or anti-calcification agents, such as alfa-amino oleic acid.

The prosthetic valve 12 can be made with only one piece of pericardial tissue, for example, as shown in Fig. 9. Where a single piece of pericardial tissue is used, a seam 96 is formed by suturing the ends of the tissue. Alternatively, the prosthetic valve 12 can be made with two pieces of pericardial tissue, one of which will form the first leaflet 90 and the other forms the second leaflet 92 of the prosthetic valve, as may be seen in Fig. 10. Where two pieces of pericardial tissue are used (Fig. 10), it is necessary to suture the tissue in two locations, thereby forming two seams 98 and 100. The seams 96, 98, and 100 are always placed at what will be the commissures of the prosthetic valve 12, where the first leaflet 90 meets the second leaflet 92.

Figure 11 illustrates an alternative embodiment of the present invention. The apparatus 10<sub>a</sub> of Fig. 11 is identically constructed as the apparatus 10 of Figs. 2-8, except whereas described below. In Fig. 11, structures that are identical as structures in Figs. 2-8 use the same reference numbers, whereas structures that are similar but not identical carry the suffix "a".

As shown in Fig. 11, the apparatus 10<sub>a</sub> includes an expandable support member 40 having a flexible configuration and a prosthetic valve 12<sub>a</sub>. The support

member 40 is annular in shape and includes oppositely disposed first and second ends 42 and 44 with a main body portion 40 extending between the ends. The apparatus 10<sub>a</sub> may further include a layer 50 of biocompatible material covering at least a portion of the support member 40.

5           The first and second ends 42 and 44 of the support member 40 respectively comprises a plurality of upper and lower wing members 60 and 70 that extend integrally from the main body portion 46. The upper and lower wing members 60 and 70 are movable from the radially collapsed condition of Fig. 1 to the radially extended condition of Fig. 11. Each upper wing member 60 may also include at  
10           least one attachment mechanism 62, such as a barb 64 or suture, for embedding into a first section of cardiac tissue (*e.g.*, the wall of the left atrium 16) to help secure the support member 40 in the annulus 20 of the mitral valve 14. The lower wing members 70 may also include at least one attachment mechanism 62, such as a barb 64 or suture, for embedding into at least one of the native valve leaflets 22  
15           and 24 to help secure the support member 40 in the annulus 20 of the mitral valve 14.

          The prosthetic valve 12<sub>a</sub> of the apparatus 10<sub>a</sub> (and also the previously described valve 12) may comprise a stentless prosthetic valve, for example, having dimensions that correspond to the dimensions of the native mitral valve 14. Where  
20           the prosthetic valve 12<sub>a</sub> is comprised of biological material, the biological material can include a harvested biological material such as bovine pericardial tissue, equine pericardial tissue, porcine pericardial tissue, animal or human peritoneal tissue, or mitral, aortic, and pulmonary xenograft or homograft. The biocompatible material may also include a suitable synthetic material such as polyurethane,  
25           expanded PTFE, woven velour, Dacron<sup>®</sup>, heparin-coated fabric, or Gore-Tex<sup>®</sup>.

          The prosthetic valve 12<sub>a</sub> further includes first and second leaflets 90 and 92 that mimic the three-dimensional anatomical shape of the anterior and posterior leaflets 22 and 24, respectively, of the mitral valve 14. The valve leaflets of the prosthetic valve 12<sub>a</sub> are joined together at at least two commissural sections 47 that  
30           are spaced apart from each other. The prosthetic valve 12<sub>a</sub> also includes a distal end 86 that defines a first annulus 94 at which the first and second leaflets 90 and 92 terminate.

Additionally, the prosthetic valve 12<sub>a</sub> includes first and second pairs 102 and 104, respectively, of prosthetic chordae 106 that project from the first and second leaflets 90 and 92 at the first annulus 94. Each of the prosthetic chordae 106 comprises a solid uninterrupted extension of biocompatible material.

5 Each of the first pair 102 of prosthetic chordae 106 has a distal end 108 and each of the second pair 104 of prosthetic chordae has a distal end 110.

As shown in Fig. 11, the second end 44 of the support member 40 may additionally include at least two strut members 45<sub>a</sub> spaced apart from each other. Each of the at least two commissural sections 47 of the prosthetic valve 12<sub>a</sub> are  
10 attached to a respective one of the strut members 45 to prevent prolapse of the valve leaflets 90 and 92. The strut members 45<sub>a</sub> are integrally connected to the support member 40 and extend in a generally axial manner along the prosthetic valve 12<sub>a</sub>. The strut members 45<sub>a</sub> may be attached to the distal ends 108 of the first pair 102 of the prosthetic chordae 106 by sutures, for example. Alternatively,  
15 the strut members 45<sub>a</sub> may be attached to the distal ends 110 of the second pair 104 of the prosthetic chordae 106. It is contemplated that the configuration of the strut members 45<sub>a</sub> may be varied as needed. For instance, the strut members 45<sub>a</sub> may have a shorter length than the length of the strut members illustrated in Fig. 11. In this instance, the strut members 45<sub>a</sub> may be attached at a position proximal to the  
20 distal ends 108 and 110 of the prosthetic chordae 106, such as at or near the first annulus 94 of the prosthetic valve 12<sub>a</sub>.

The present invention may be treated with at least one therapeutic agent capable of preventing a variety of pathological conditions including, but not limited to, thrombosis, restenosis and inflammation. Accordingly, the therapeutic  
25 agent may include at least one of an anticoagulant, an antioxidant, a fibrinolytic, a steroid, an anti-apoptotic agent, and/or an anti-inflammatory agent.

Optionally or additionally, the therapeutic agent may be capable of treating or preventing other diseases or disease processes such as microbial infections, arrhythmias, and/or heart failure. In these instances, the therapeutic agent may  
30 include an antiarrhythmic agent, an inotropic agent, a chronotropic agent, and/or a biological agent such as a cell or protein. More specific types of these therapeutic

agents are listed below, including other types of therapeutic agents not discussed above.

Examples of acceptable therapeutic agents include Coumadin, heparin, synthetic heparin analogues (*e.g.*, fondaparinux), G(GP) II<sub>b</sub>/III<sub>a</sub> inhibitors, vitronectin receptor antagonists, hirudin, antithrombin III, drotrecogin alpha; 5 fibrinolytics such as alteplase, plasmin, lysokinese, factor XIIa, factor VIIa, prourokinase, urokinase, streptokinase; thrombocyte aggregation inhibitors such as ticlopidine, clopidogrel, abciximab, dextran; corticosteroids such as aldometasones, amcinonides, augmented betamethasones, beclomethasones, 10 betamethasones, budesonides, cortisones, clobetasol, clocortolones, desonides, desoximetasones, dexamethasones, flucinolones, fluocinonides, flurandrenolides, flunisolides, fluticasones, halcinonides, halobetasol, hydrocortisones, methylprednisolones, mometasones, prednicarbates, prednisones, prednisolones, triamcinolones; fibrinolytic agents such as tissue plasminogen activator, 15 streptokinase, dipyridamole, ticlopidine, clopidine, and abciximab; non-steroidal anti-inflammatory drugs such as salicylic acid and salicylic acid derivatives, para-aminophenol derivatives, indole and indene acetic acids (*e.g.*, etodolac, indomethacin, and sulindac), heteroaryl acetic acids (*e.g.*, ketorolac, diclofenac, and tolmetin), arylpropionic acids (*e.g.*, ibuprofen and derivatives thereof), 20 anthranilic acids (*e.g.*, meclofenamates and mefenamic acid), enolic acids (*e.g.*, piroxicam, tenoxicam, phenylbutazone, and oxyphenthatrazone), gold compounds (*e.g.*, auranofin, aurothioglucose, and gold sodium thiomalate), diflunisal, meloxicam, nabumetones, naproxen, oxaprozin, salsalate, celecoxib, rofecoxib; cytostatics such as alkaloids and podophyllum toxins such as vinblastin, 25 vincristin; alkylants such as nitrosoureas and nitrogen lost analogues; cytotoxic antibiotics such as daunorubicin, doxorubicin, and other anthracyclins and related substances, bleomycin, and mitomycin; antimetabolites such as folic acid analogues, purine analogues and related inhibitors (*e.g.*, mercaptopurine, thioguanine, pentostatin, and 2-chlorodeoxyadenosine), pyrimidine analogues 30 (*e.g.*, fluorouracil, floxuridine, and cytarabine), and platinum coordination complexes (*e.g.*, cisplatin, carboplatin and oxaliplatin); tacrolimus, azathioprine, cyclosporine, paclitaxel, docetaxel, sirolimus; amsacrin, irinotecan,

imatinib, topotecan, interferon-alpha 2a, interferon-alpha 2b, hydroxycarbamide, miltefosin, pentostatin, porfimer, aldesleukin, bexarotene, and tretinoin; antiandrogens and antiestrogens; antiarrhythmics, in particular antiarrhythmics of class I such as antiarrhythmics of the quinidine type (*e.g.*, quinidine, dysopyramide, ajmaline, prajmalium bitartrate, and detajmium bitartrate); antiarrhythmics of the lidocaine type, (*e.g.*, lidocaine, mexiletin, phenyloin, and tocainid); antiarrhythmics of class I C (*e.g.*, propafenone, flecainide (acetate)); antiarrhythmics of class II, including betareceptor blockers such as metoprolol, esmolol, propranolol, metoprolol, atenolol, and oxprenolol; antiarrhythmics of class III such as amiodaron and sotalol; antiarrhythmics of class IV such as diltiazem, and verapamil; and other antiarrhythmics such as adenosine, orciprenaline, and ipratropium bromide.

Other types of therapeutic agents may include digitalis glycosides such as acetyl digoxin/methyldigoxin, digitoxin, and digoxin; heart glycosides such as ouabain and proscillaridin; antihypertensives such as centrally effective antiadrenergic substances (*e.g.*, methyldopa and imidazoline receptor agonists); calcium channel blockers of the dihydropyridine type, such as nifedipine and nitrendipine; ACE inhibitors (*e.g.*, quinaprilate, cilazapril, moexipril, trandolapril, spirapril, imidapril, and trandolapril); angiotensin-II-antagonists (*e.g.*, candesartancilexetil, valsartan, telmisartan, olmesartan medoxomil, and eprosartan); peripherally effective alpha-receptor blockers such as prazosin, urapidil, doxazosin, bunazosin, terazosin, and indoramin; vasodilators such as dihydralazine, diisopropyl amine dichloroacetate, minoxidil, and nitropiusside-sodium; other antihypertensives such as indapamide, codelergocrin mesilate, dihydroergotoxin methane sulphonate, cicletanin, bosentan, and fludrocortisone; phosphodiesterase inhibitors, such as milrinone and enoximone, as well as antihypotensives (*e.g.*, adrenergics and dopaminergic substances such as dobutamine, epinephrine, etilefrine, norfenefrine, norepinephrine, oxilofrine, dopamine, midodrine, pholedrine, and amezinium methyl) and partial adrenoceptor agonists (*e.g.*, dihydroergotamine); fibronectin, polylysines and ethylene vinyl acetates; and adhesive substances such as cyanoacrylates, beryllium, and silica.

Additional therapeutic agents may also include antibiotics and anti-infectives such as  $\beta$ -lactam antibiotics (*e.g.*,  $\beta$ -lactamase-sensitive penicillins, including benzyl penicillins (penicillin G) and phenoxymethylpenicillin (penicillin V));  $\beta$ -lactamase-resistant penicillins, such as aminopenicillins, which  
5 include amoxicillin, ampicillin, and bacampicillin; acylaminopenicillins such as mezlocillin and piperacillin; carboxypenicillins and cephalosporins (*e.g.*, cefazolin, cefuroxim, cefoxitin, cefotiam, cefaclor, cefadroxil, cefalexin, loracarbef, cefixim, cefuroximaxetil, ceftibuten, cefpodoximproxetil, and cefpodoximproxetil); aztreonam, ertapenem, and meropenem;  $\beta$ -lactamase  
10 inhibitors such as sulbactam and sultamicillin silates; tetracyclines such as doxycycline, minocycline, tetracycline, chlorotetracycline, oxytetracycline; aminoglycosides such as gentamicin, neomycin, streptomycin, tobramycin, amikacin, netilmicin, paromomycin, framycetin, and spectinomycin; makrolide antibiotics such as azithromycin, clarithromycin, erythromycin, roxithromycin,  
15 spiramycin, and josamycin; lincosamides such as clindamycin and lincomycin; gyrase inhibitors, such as fluoroquinolones, which include ciprofloxacin, ofloxacin, moxifloxacin, norfloxacin, gatifloxacin, enoxacin, fleroxacin, and levofloxacin; quinolones such as pipemidic acid; sulphonamides such as trimethoprim, sulphadiazin, and sulphalene; glycopeptide antibiotics such as  
20 vancomycin and teicoplanin; polypeptide antibiotics, such as polymyxins, which include colistin, polymyxin-b, and nitroimidazol derivatives (*e.g.*, metronidazol and tinidazol); aminoquinolones such as chloroquin, mefloquin, and hydroxychloroquin; biguanides such as proguanil; quinine alkaloids and diaminopyrimidines such as pyrimethamine; amphenicols such as  
25 chloramphenicol; rifabutin, dapsone, fusidinic acid, fosfomycin, nifuratel, telithromycin, fusafungin, fosfomycin, pentamidindiiisethionate, rifampicin, taurolidine, atovaquone, and linezolid; virostatics such as aciclovir, ganciclovir, famciclovir, foscarnet, inosine (dimepranol-4-acetamidobenzoate), valganciclovir, valaciclovir, cidofovir, and brivudin; tyrosine kinase inhibitors; anti-apoptotic  
30 agents such as caspase inhibitors (*e.g.*, fluoromethylketone peptide derivatives), calpain inhibitors, cathepsin inhibitors, nitric oxide synthase inhibitors, flavonoids,

vitamin A, vitamin C, vitamin E, vitamin D, pycnogenol, super oxidizedismutase, N-acetyl cysteine, selenium, catechins, alpha lipoic acid, melatonin, glutathione, zinc chelators, calcium chelators, and L-arginine; warfarin; beta-blockers; diuretics; spiro lactone; and natural products such as vinca alkaloids (e.g., vinblastine, vincristine and vinorelbine).

As noted above, the therapeutic agent may also include a biological agent. The biological agent may include organic substances such as peptides, proteins, enzymes, carbohydrates (e.g., monosaccharides, oligosaccharides and polysaccharides), lipids, phospholipids, steroids, lipoproteins, glycoproteins, glycolipids, proteoglycans, polynucleotides (e.g., DNA and RNA), antisense polynucleotides (e.g., c-myc antisense), antibodies (e.g., monoclonal or polyclonal) and/or antibody fragments (e.g., anti-CD34 antibody), bioabsorbable polymers (e.g., polylactonic acid), chitosan, extracellular matrix modulators, such as matrix metalloproteinases (MMP), which include MMP-2, MMP-9 and Batimastat; and protease inhibitors.

Biological agents may include, for example, agents capable of stimulating angiogenesis in the myocardium. Such agents may include vascular endothelial growth factor (VEGF), basic fibroblast growth factor (bFGF), non-viral DNA, viral DNA, and endothelial growth factors (e.g., FGF-1, FGF-2, VEGF, TGF). Other growth factors may include erythropoietin and/or various hormones such as corticotropins, gonadotropins, somatostatin, thyrotrophin, desmopressin, terlipressin, oxytocin, cetorelix, corticorelin, leuprorelin, triptorelin, gonadorelin, ganirelix, buserelin, nafarelin, and goserelin. Additional growth factors may also include cytokines, epidermal growth factors (EGF), platelet derived growth factor (PDGF), transforming growth factors- $\beta$  (TGF- $\beta$ ), transforming growth factor- $\alpha$  (TGF- $\alpha$ ), insulin-like growth factor-I (IGF-I), insulin-like growth factor-II (IGF-II), interleukin-1 (IL-1), interleukin-2 (IL-2), interleukin-6 (IL-6), interleukin-8 (IL-8), tumour necrosis factor- $\alpha$  (TNF- $\alpha$ ), tumour necrosis factor- $\beta$  (TNF- $\beta$ ), interferon- $\gamma$  (INF- $\gamma$ ), colony stimulating factors (CSFs); monocyte chemotactic protein, and fibroblast stimulating factor 1.



Still other biological agents may include regulatory peptides such as somatostatin and octreotide; bisphosphonates (*e.g.*, risedronates, pamidronates, ibandronates, zoledronic acid, clodronic acid, etidronic acid, alendronic acid, and tiludronic acid); fluorides such as disodium fluorophosphate and sodium fluoride; 5 calcitonin and dihydrotachystyrene; histamine; fibrin or fibrinogen; endothelin-1; angiotensin II; collagens; bromocriptin; methylsergide; methotrexate; carbontetrachloride and thioacetamide.

The present invention may also be treated (*i.e.*, seeded) with other biological agents, such as cells. Suitable cells may include any one or combination 10 of eukaryotic cells. Additionally or optionally, the cells may be capable of producing therapeutic agents and/or genetically engineered to produce therapeutic agents. Suitable cells for use in the present invention include, for example, progenitor cells such as adult stem cells, embryonic stem cells, and umbilical cord blood stem cells. The cells may be autologous or allogenic, genetically engineered 15 or non-engineered, and may include, for example, mesenchymal or mesodermal cells, including, but not limited to, endothelial progenitor cells, endothelial cells, and fibroblasts. Mixtures of such cells can also be used.

A variety of *ex vivo* or *in vivo* methods can be used to deliver a nucleic acid molecule or molecules, such as a gene or genes, to the cells. For example, the cells 20 can be modified (*i.e.*, genetically engineered) to produce or secrete any one or combination of the aforementioned therapeutic agents, including, but not limited to, anticoagulant agents, antiplatelet agents, antifibrinolytic agents, angiogenesis factors, and the like. *Ex vivo* gene transfer is a process by which cells are removed from the body using well known techniques, genetically manipulated, usually 25 through transduction or transfection of a nucleic acid molecule into the cells *in vitro*, and the returned to the body for therapeutic purposes. This contrasts with *in vivo* genetic engineering where a gene transfer vector is administered to a patient resulting in genetic transfer into cells and tissues in the intact patient. *Ex vivo* and *in vivo* gene transfer techniques are well known to one of skill in the art.

30 To treat the present invention with at least one therapeutic agent, a variety of methods, agents, and compositions may be used. For example, the therapeutic agent can be simply linked to the stent surface, embedded and released from within

polymer materials, such as a polymer matrix, or surrounded by and released through a carrier. Several approaches to treating medical devices with therapeutic agents exist. Some therapeutic agents can be loaded directly onto metallic surfaces; however, a coating composition, typically comprised of at least one polymer and at least one therapeutic agent, is usually used to treat drug-eluting devices. The coating composition ensures retention of the therapeutic agent during deployment and modulates elution kinetics of the therapeutic agent. By altering the release kinetics of different therapeutic agents in the same coating composition, distinct phases of a given disease process may be targeted.

The present invention may be treated with a coating composition comprising at least one therapeutic agent and at least one polymer or oligomer material. The polymer(s) and/or oligomer(s) may be of various types and from various sources, including natural or synthetic polymers, which are biocompatible, biodegradable, bioabsorbable and useful for controlled release of the therapeutic agent. For example, synthetic polymers can include polyesters, such as polylactic acid, polyglycolic acid, and/or combinations thereof, polyanhydrides, polycaprolactones, polyhydroxybutyrate valerates, and other biodegradable polymers or mixtures of copolymers thereof. Natural polymeric materials can include proteins such as collagen, fibrin, elastin, extracellular matrix components, other biologic agents, and/or mixtures thereof.

The polymer material or mixture thereof of the coating composition can be applied with the therapeutic agent on the surface of the present invention and can comprise a single layer. Optionally, multiple layers of the polymer material can be applied to form the coating composition. Multiple layers of the polymer material can also be applied between layers of the therapeutic agent. For example, the polymeric layers may be applied sequentially, with the first layer directly in contact with the uncoated surface of the apparatus and a second layer comprising the therapeutic agent and having one surface in contact with the first layer and the opposite surface in contact with a third layer of polymeric material which is in contact with the surrounding tissue. Additional layers of the polymeric material and therapeutic agent can be added as required.

Alternatively, the coating composition can be applied as multiple layers comprising one or more therapeutic agents surrounded by polymer material. For instance, the coating composition can comprise multiple layers of a single therapeutic agent, one or more therapeutic agents in each layer, and/or differing therapeutic agents in alternating layers. Alternatively, the layers comprising the therapeutic agent can be separated from one another by a layer of polymer material.

The coating composition may further comprise at least one pharmaceutically acceptable polymers and/or pharmaceutically acceptable carriers, for example, non-absorbable polymers, such as ethylene vinyl acetate and methylmethacrylate. The non-absorbable polymer, for example, can aid in further controlling release of the therapeutic agent by increasing the molecular weight of the coating composition and thereby delaying or slowing the rate of release of the therapeutic agent.

The coating composition can be applied to the present invention using standard techniques to cover the entire surface of the apparatus, or partially, as a single layer in a dot matrix pattern, for example. The coating composition can be applied using various techniques available in the art, such as dipping, spraying, vapor deposition, an injection-like and/or a dot matrix-like approach. Upon contact of the coating composition with adjacent tissue where implanted, the coating composition can begin to degrade in a controlled manner. As the coating composition degrades, the therapeutic agent is slowly released into adjacent tissue and the therapeutic agent is eluted so that the therapeutic agent can have its effect locally.

Where the therapeutic agent comprises a biological agent, such as cells, the biological agent can be coated directly onto the surface of the present invention or, alternatively, they can be incorporated into the polymeric material (*e.g.*, into a polymer matrix). Such biological agents may also be included within at least one microscopic containment vehicle (*e.g.*, a liposome, nanocapsule, nanoparticle, micelle, synthetic phospholipid, gas-dispersion, emulsion, microemulsion, nanosphere, and the like) that can be stimulated to release the biological agent(s) and/or that release the biological agent(s) in a controlled manner. The microscopic

containment vehicle can be coated onto the surface of the present invention or incorporated into the polymeric material. Where the biological agent comprises cells, for example, the cells can be induced to produce, activate, and/or release their cellular products (including one or more therapeutic agents) by an external stimulation device (*e.g.*, an electrical impulse). Alternatively, cells can constitutively release one or more therapeutic agents at a desired level.

To enable delivery and deployment of the apparatus 10, the apparatus is positioned about a balloon 120 (Fig. 1) for expanding the main body portion 46 of the support member 40 into full and complete contact with the annulus 20 of the mitral valve 14. The balloon 120 may have an hourglass shape to conform to the concave cross-sectional configuration of the main body portion 46. The shape of the balloon 120 is defined by first and second bulb sections 122 and 124 connected by a center section 126. Each of these sections 122, 124 and 126 may have a D-shaped diameter (or other shaped diameter) to match the D-shaped diameter of the valve 14 and the prosthetic valve 12. The center section 126 of the balloon 120 has a smaller diameter than the bulb sections 122 and 124. The first and second bulb sections 122 and 124 and the center section 126 may be inflated together or separately. Further, the sections 122, 124 and 126 may have multiple chambers to accommodate multiple fluids (*i.e.*, an inflation fluid and a cooling fluid).

In addition, releasable constraining wires (not shown) are used to temporarily hold the upper wing members 60 and the lower wing members 70 in the radially collapsed conditions shown in Fig. 1 during delivery and placement of the apparatus 10. The constraining wires can be made from a variety of different materials including metals, polymers, synthetics, fabrics, and biological tissues. With the upper wing members 60, the lower wing members 70, and the main body portion 46 of the support member 40 in their collapsed conditions, the apparatus 10 is then loaded into the end of a 16 to 22 French catheter 128 in a known manner.

To replace the mitral valve 14 with the apparatus 10 using a percutaneous (or intravascular) approach, the apparatus is first sized for the particular mitral valve using fluoroscopic and/or echocardiographic data. The catheter 128 is then introduced into either the right or left jugular vein (not shown), a femoral vein (not shown), or the subclavian vein (not shown) using a known percutaneous technique,

such as the Seldinger technique, and is advanced through the superior or inferior vena cava (not shown) to approach the right atrium (not shown). The catheter 128 is passed through the interatrial septum (not shown) to reach the left atrium 16. From inside the left atrium 16, the apparatus 10 is then positioned within the annulus 20 of the mitral valve 14 as is shown in Fig. 1. It should be noted that the angular orientation of the apparatus 10 within the mitral valve 14 is important, so a diagnostic agent or agents, such as radiopaque markers (not shown), may be used to ensure the apparatus is rotated to the proper position prior to deployment.

Next, the catheter 128 is pulled back so that the support member 40 can expand to the condition shown in Fig. 2 in the annulus 20 of the native mitral valve 14. The balloon 120 is then inflated, which pushes the main body portion 46 of the support member 40 into engagement with the annulus 20 as shown in Fig. 5.

The constraining wires are then released, which allows the upper wing members 60 and the lower wing members 70 of the support member 40 to spring radially outward toward their expanded conditions illustrated in Figs. 2-8. The upper wing members 60, in their radially extended condition, extend transverse to the direction of blood flow through the prosthetic valve 12, and engage the wall of the left atrium 16. Where the attachment mechanism 62 comprises barbs 64 as shown in Fig. 5, the barbs embed into the wall of the left atrium 16 to help secure the support member 40 in the annulus 20 of the mitral valve 14.

As the lower wing members 70 move from their radially collapsed condition to their radially extended condition, each of the lower wing members engages a portion of the native valve leaflets 22 and 24. The first and second lower wing members 72 and 74 engage the commissures of the native mitral valve 14 (Fig. 5), while the third and fourth lower wing members 76 and 78 engage respective central portions of the native valve leaflets 22 and 24 (Fig. 6). The barbs 64 on the lower wing members 70 embed into the native valve leaflets 22 and 24 to help secure the support member 40 in the annulus 20 of the mitral valve 14. In their radially extended condition, the lower wing members 70 pin the native leaflets 22 and 24 back against the valve annulus 20 so that the prosthetic valve 12 can assume the valvular function. With the apparatus 10 fully deployed, the balloon 120 is deflated and moved out of the valve annulus 20.

It should be noted that the engagement of the main body portion body 46 with the valve annulus 20, the engagement of the upper wing members 60 with the wall of the left atrium 16, and the engagement of the lower wing members 70 that pins the native valve leaflets 22 and 24 back against the valve annulus provides a unique three-way locking mechanism for securing the apparatus 10 in the valve annulus.

It is contemplated that the apparatus 10 according to the present invention could alternatively be placed by a retrograde, percutaneous approach. For example, the apparatus 10 may be urged in a retrograde fashion through a femoral artery (not shown), across the aortic arch (not shown), through the aortic valve (not shown), and into the left ventricle 18 where the apparatus may then be appropriately positioned in the native mitral valve 14.

Figure 12 illustrates another alternative embodiment of the present invention. The apparatus 10<sub>b</sub> of Fig. 12 is identically constructed as the apparatus 10 of Figs. 2-8, except whereas described below. In Fig. 12, structures that are identical as structures in Figs. 2-8 use the same reference numbers, whereas structures that are similar but not identical carry the suffix "b".

As shown in Fig. 12, the apparatus 10<sub>b</sub> comprises a tri-leaflet prosthetic valve 12<sub>b</sub>. Examples of prosthetic valves, such as the prosthetic valves disclosed in U.S. Pat. No. 5,156,621, which is hereby incorporated by reference in its entirety, are known in the art. The tri-leaflet prosthetic valve 12<sub>b</sub>, such as a porcine aortic valve, may be used in either the mitral or tricuspid position. The prosthetic valve 12<sub>b</sub> may be made of other biological materials, including, but not limited to, aortic xenografts, bovine pericardial tissue, equine pericardial tissue, porcine pericardial tissue, peritoneal tissue, and a homograft or allograft. Additionally, the prosthetic valve 12<sub>b</sub> may be made of any one or combination of biocompatible materials such as polyurethane, PTFE, expanded PTFE, Dacron<sup>®</sup>, woven velour, Gore-Tex<sup>®</sup>, and heparin coated fabric.

As may be seen in Fig. 12, in the tricuspid position, six lower wing members 70 may be used so that a lower wing member is positioned at each native commissure and directly over each native valve leaflet. The support structure 40 of the apparatus 10<sub>b</sub> also includes at least three strut members 45 that are spaced

apart from each other. The valve leaflets of the prosthetic valve 12<sub>b</sub> are joined together at at least three commissural sections 47. Each of the three commissural sections 47 are attached to a representative one of the strut members 45 to prevent prolapse of the valve leaflets 22 and 24. Other than this, the apparatus 10<sub>b</sub> with the  
5 tri-leaflet prosthetic valve 12<sub>b</sub> is deployed and functions as described above with regard to the previous embodiment. It should be understood that more or less than six lower wing members 70 could be used.

The present invention thus allows for the apparatus 10 to be delivered in a cardiac catheterization laboratory with a percutaneous approach under local  
10 anesthesia using fluoroscopic as well as echocardiographic guidance, thereby avoiding general anesthesia and highly invasive open heart surgery techniques. This approach offers tremendous advantages for high risk patients with severe valvular disease. It should be understood, however, that the present invention contemplates various other approaches, including standard open heart surgeries as  
15 well as minimally invasive surgical techniques. Because the present invention omits stitching of the apparatus 10 in the valve annulus 20, surgical time is reduced regardless of whether an open, minimally invasive or percutaneous approach is used.

From the above description of the invention, those skilled in the art will  
20 perceive improvements, changes and modifications. For example, it should be understood by those skilled in the art that the various portions of the support member 40 could be self-expanding or expanded by a change in temperature (because they are made from a shape memory material). Such improvements, changes and modifications within the skill of the art are intended to be covered by  
25 the appended claims.

Having described the invention, we claim:

1. An apparatus for replacing a cardiac valve having at least two native valve leaflets, said apparatus comprising:

an expandable support member having oppositely disposed first and second ends and a main body portion extending between said ends, said main body portion of said support member having an annular shape for expanding into position in the annulus of the cardiac valve;

said first end of said support member comprising a plurality of upper wing members that extend from said main body portion, each of said upper wing members being movable from a radially collapsed condition into a radially extended condition for engaging a first section of cardiac tissue surrounding one side of the cardiac valve;

said second end of said support member comprising a plurality of lower wing members that extend from said main body portion, each of said lower wing members being movable from a radially collapsed condition into a radially extended condition for engaging a portion of the native valve leaflets to pin the leaflets back against the annulus of the native cardiac valve;

said second end of said support member further including at least two strut members that are spaced apart from each other; and

a prosthetic valve secured within said main body portion of said support member, said prosthetic valve having at least two valve leaflets that are coaptable to permit unidirectional flow of blood, each of said at least two valve leaflets being joined together at at least two commissural sections that are spaced apart from each other, each of said at least two commissural sections being attached to a respective one of said strut members to prevent prolapse of said valve leaflets.

2. The apparatus of claim 1 wherein said plurality of upper wing members, in their radially extended condition, extend transverse to the direction of blood flow through said prosthetic valve.



3. The apparatus of claim 1 wherein at least one of said plurality of upper wing members includes at least one attachment mechanism for embedding into the first section of cardiac tissue to help secure said support member in the annulus of the cardiac valve.

4. The apparatus of claim 3 wherein said at least one attachment mechanism includes at least one barb.

5. The apparatus of claim 1 wherein at least one of said plurality of lower wing members includes at least one attachment mechanism for embedding into a portion of the native valve leaflets to help secure said support member in the annulus of the cardiac valve.

6. The apparatus of claim 1 wherein said main body portion has a concave cross-sectional shape for conforming to the convex shape of the annulus of the cardiac valve.

7. The apparatus of claim 1 wherein said plurality of lower wing members includes first and second lower wing members for positioning at the commissures of the native cardiac valve.

8. The apparatus of claim 7 wherein said first and second lower wing members are spaced approximately 180° apart.

9. The apparatus of claim 8 wherein said plurality of lower wing members further includes third and fourth lower wing members for positioning directly over respective central portions of the at least two native valve leaflets.

10. The apparatus of claim 9 wherein said third and fourth lower wing members are spaced approximately 180° apart and are located in-between said first and second lower wing members, respectively.

11. The apparatus of claim 1 wherein said plurality of lower wing members includes a first group of lower wing members for positioning at the commissures of the native cardiac valve.

12. The apparatus of claim 11 wherein said plurality of lower wing members further includes a second group of lower wing members for positioning directly over respective central portions of the at least two native valve leaflets, said first and second groups of lower wing members being arranged in an alternating fashion.

13. The apparatus of claim 1 wherein said plurality of lower wing members includes at least two lower wing members for positioning directly over respective central portions of the at least two native valve leaflets.

14. The apparatus of claim 1 further comprising a layer of biocompatible material covering at least a portion of said support member.

15. The apparatus of claim 1 wherein at least a portion of said support member is treated with at least one therapeutic agent for eluting into cardiac tissue.

16. The apparatus of claim 1 wherein a plurality of portions of said support member are separately treated with a different therapeutic agent.

17. A method for replacing a cardiac valve having at least two native valve leaflets, said method comprising the steps of:

providing a prosthetic valve that includes an expandable support member having oppositely disposed first and second ends and a main body portion extending between the ends, a plurality of upper wing members that extend from a one end of the main body portion, and a plurality of lower wing members that extend from an opposite end of the main body portion, the second end of the support member further including at least two strut members, the prosthetic valve having at least two valve leaflets that are joined together at at least two commissural sections, each of the at least two commissural sections being attached to a respective one of the strut members to prevent prolapse of the valve leaflets;

placing the main body portion of the prosthetic valve within the annulus of the cardiac valve to be replaced;

expanding the main body portion into engagement with the annulus of the cardiac valve to secure the prosthetic valve in the annulus;

deploying the upper wing members from a radially collapsed condition into a radially extended condition into engagement with a first section of cardiac tissue surrounding one side of the cardiac valve; and

deploying the lower wing members from a radially collapsed condition into a radially extended condition into engagement with a portion of the native valve leaflets to pin the leaflets back against the annulus of the native cardiac valve.

18. The method of claim 17 wherein said step of placing the main body portion of the prosthetic valve within the annulus of the cardiac valve to be replaced further comprises the steps of:

placing the support member around an inflatable balloon in a secured manner;

inserting the balloon and support member into an atrial chamber;

advancing the balloon until the support member is positioned within the annulus of the cardiac valve to be replaced; and

expanding the support member with the balloon so that the support member engages the annulus of the cardiac valve to secure the support member in the annulus.

19. The method of claim 18 wherein the balloon has an hourglass shape defined by first and second bulb sections connected by a center section having a smaller diameter than the bulb sections, said step of placing the support member around the balloon further comprising the step of positioning the support member about the center section.

20. The method of claim 19 wherein said step of advancing the balloon until the support member is positioned within the valve annulus further includes the step of positioning the first bulb section within the leaflets of the native valve so that when the balloon is inflated the first bulb pushes the valve leaflets back to protect the leaflets during expansion of the support member.

21. The method of claim 18 wherein said step of expanding the support member with the balloon so that the support member engages the annulus of the cardiac valve includes the step of conforming the main body portion to the shape of the valve annulus to help locate and secure the support member in the valve annulus by radially forcing the main body portion into the valve annulus.

22. The method of claim 17 wherein each of the plurality of wing members include at least one attachment mechanism for embedding into cardiac tissue.

23. The method of claim 22 wherein the attachment mechanism includes at least one barb extending from each of the wing members, said method further including the step of embedding the at least one barb into cardiac tissue to further secure the support member in the valve annulus.

24. The method of claim 23 wherein each of the wing members has a concave cross-sectional shape for conforming to the convex shape of the valve annulus, said method further comprising the step of pulling the wing members into a flatter cross-sectional shape with a constraining wire for placement of the support member, the at least one barb extending generally radially when the wing members are being held by the constraining wire.

25. The method of claim 24 further comprising the step of releasing the constraining wire after said step of expanding the support member with the balloon so that the upper wing members spring radially outward to engage the first section of cardiac tissue, and the lower wing members spring radially outward to engage a portion of the native valve leaflets.

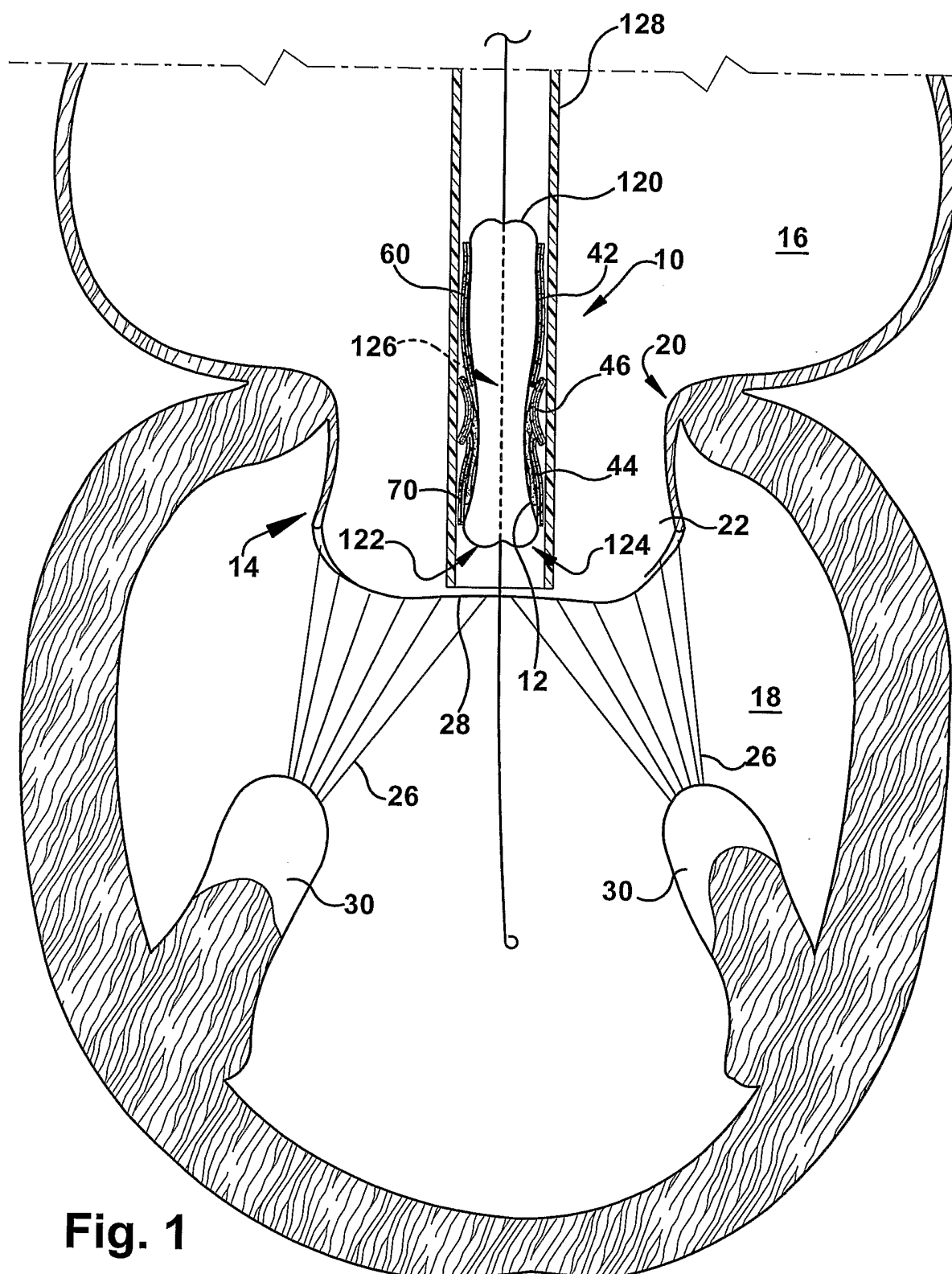
26. The method of claim 25 wherein said step of releasing the constraining wire causes the at least one barb to embed into cardiac tissue in the distal direction.

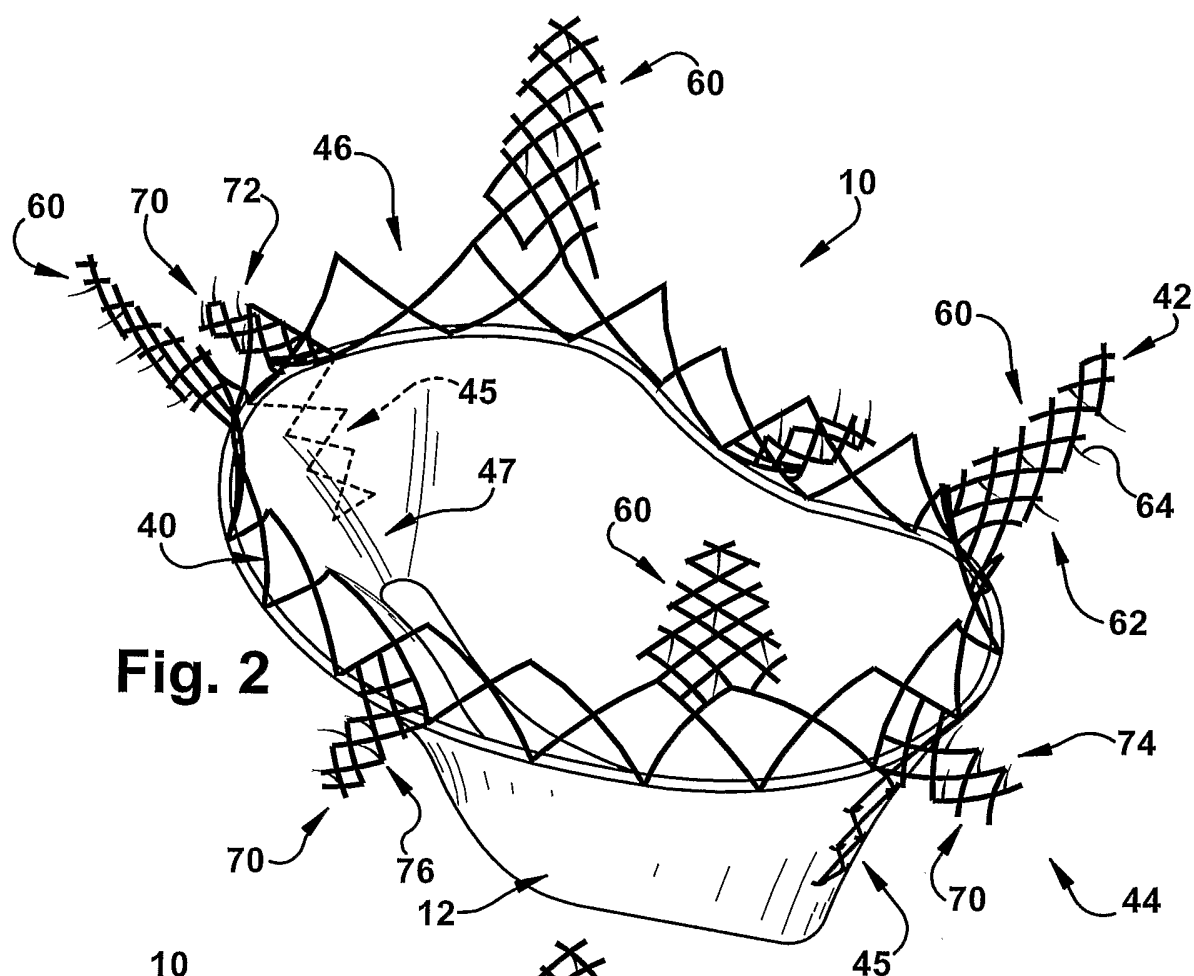
27. The method of claim 17 wherein said step of inserting the balloon and the prosthetic valve into the heart chamber is done percutaneously via an intravascular catheter.

28. The method of claim 27 wherein said step of inserting the balloon and the prosthetic valve into the heart chamber is done via a minimally invasive approach.

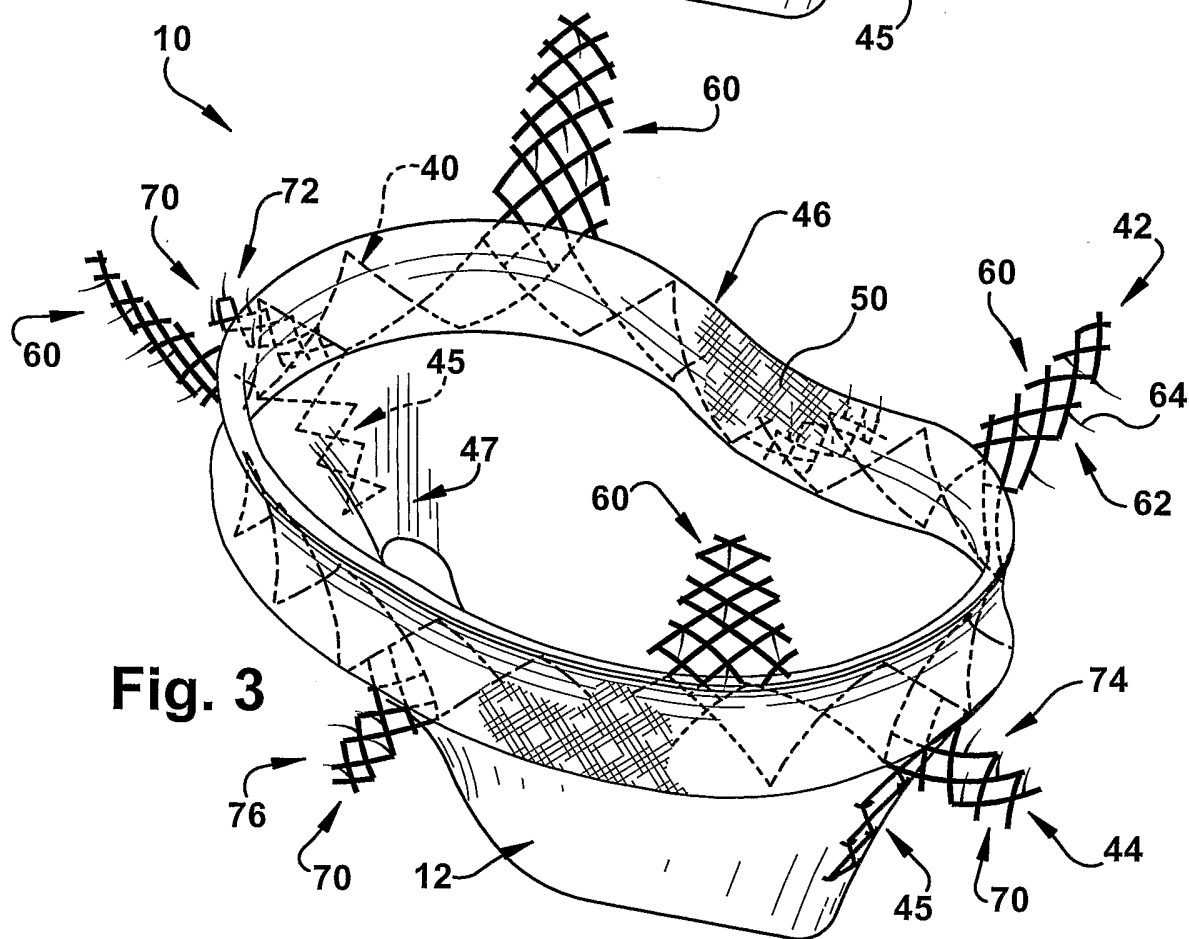
29. The method of claim 27 wherein said step of inserting the balloon and the prosthetic valve into the heart chamber is done via an open-chest procedure.

30. The method of claim 17 wherein at least a portion of the support member is treated with at least one therapeutic agent for eluting into cardiac tissue, the method further comprising the step of allowing the at least one therapeutic agent to elute into the cardiac tissue.

**Fig. 1**

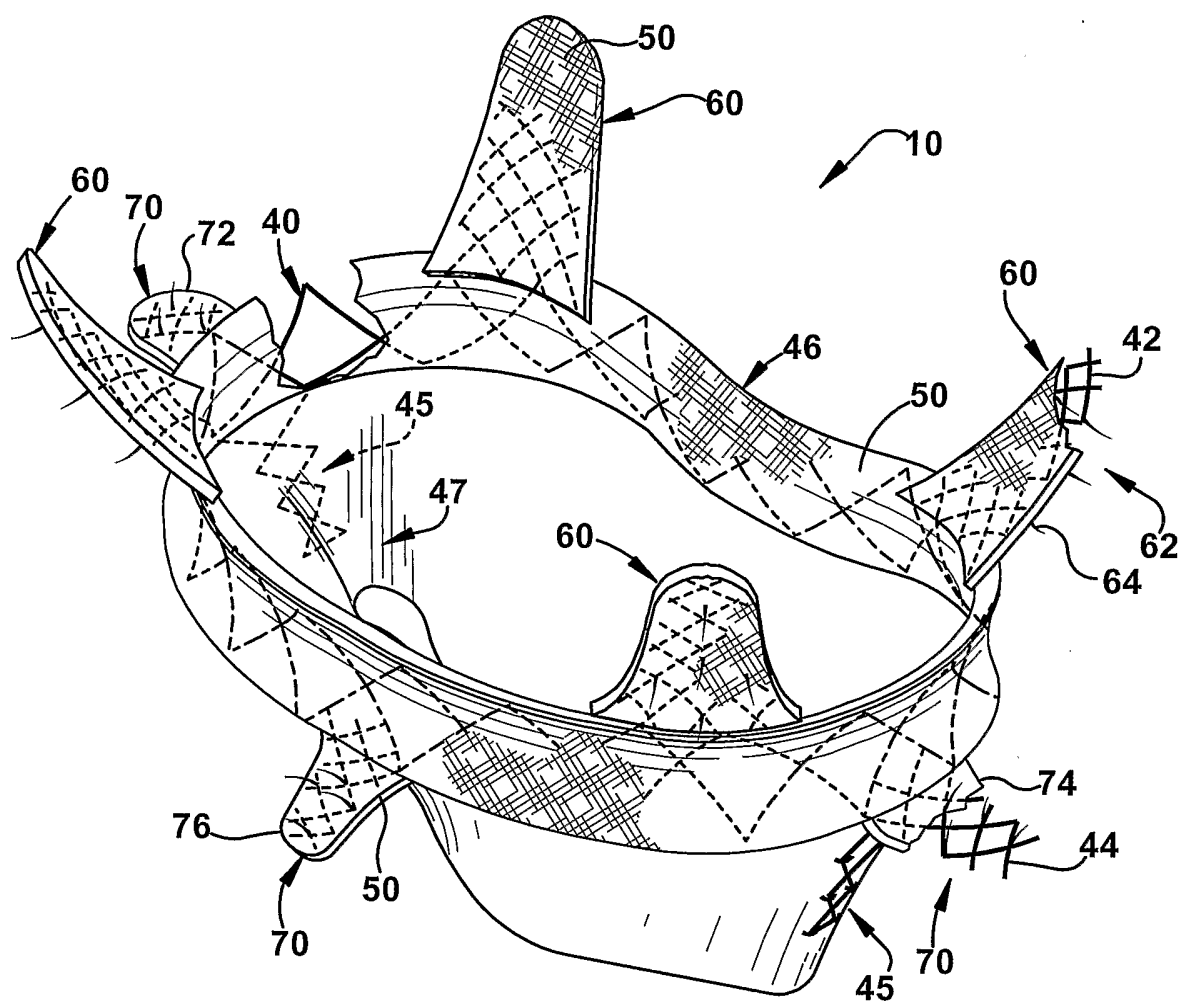


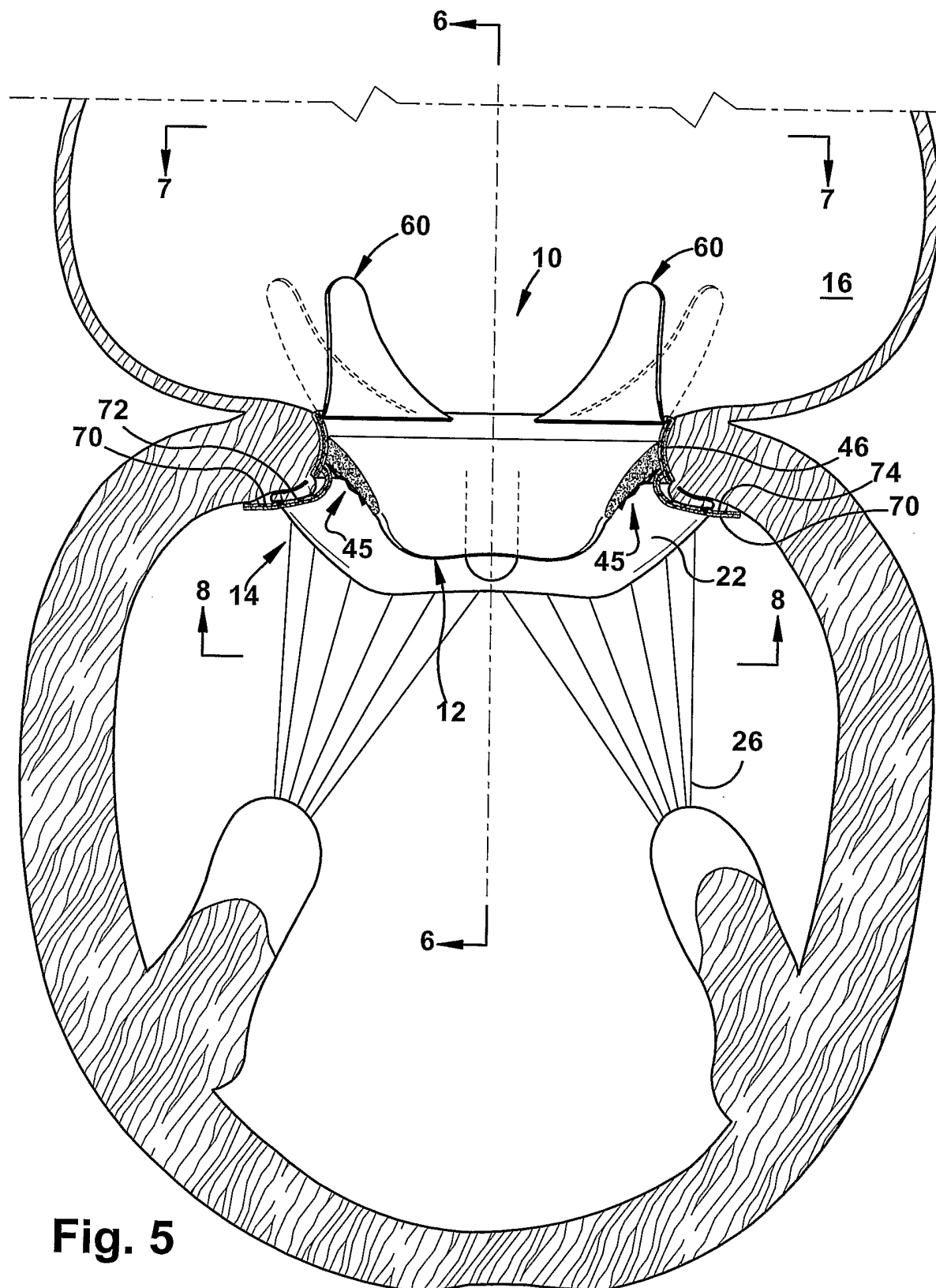
**Fig. 2**



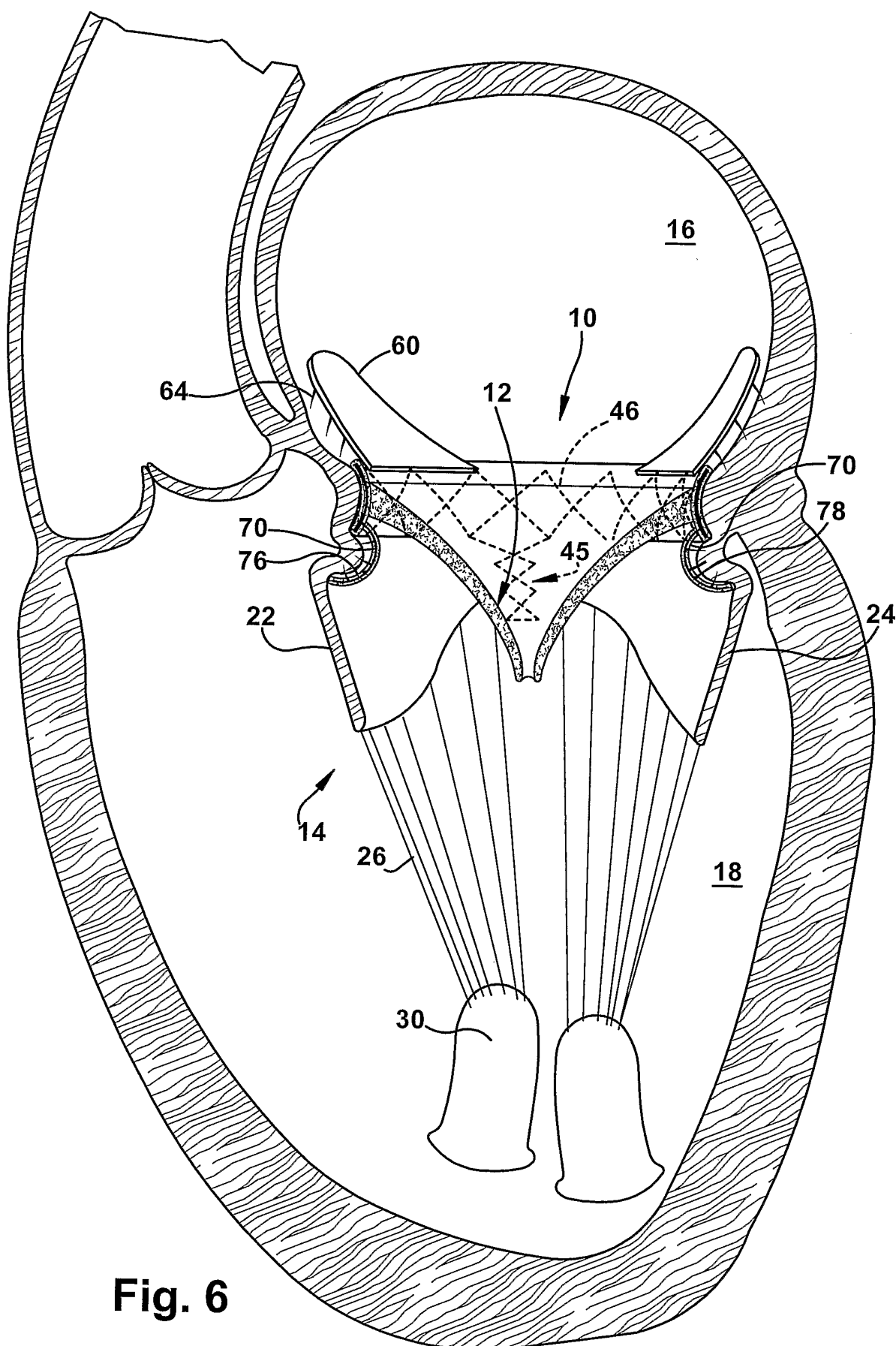
**Fig. 3**



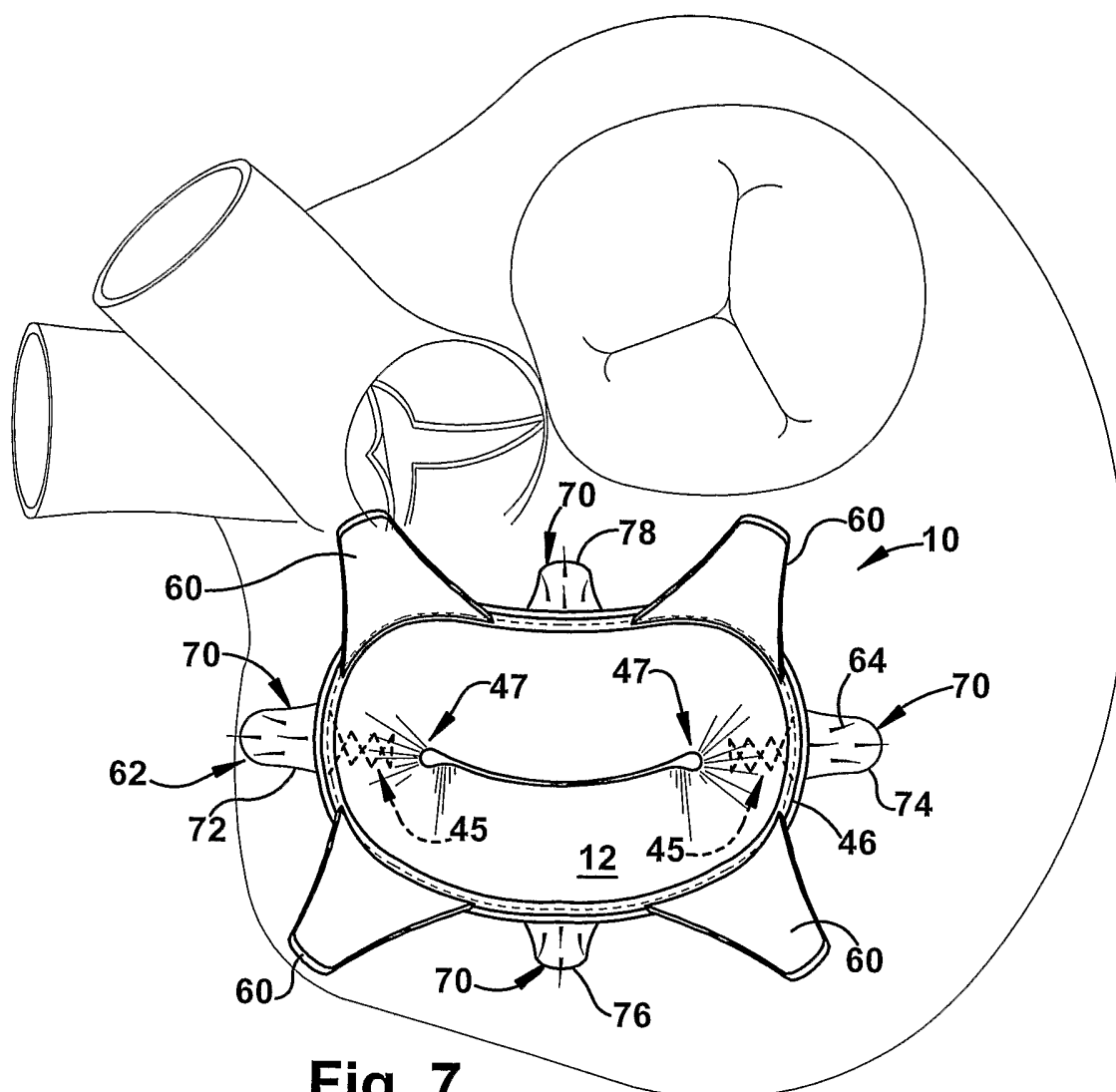
**Fig. 4**



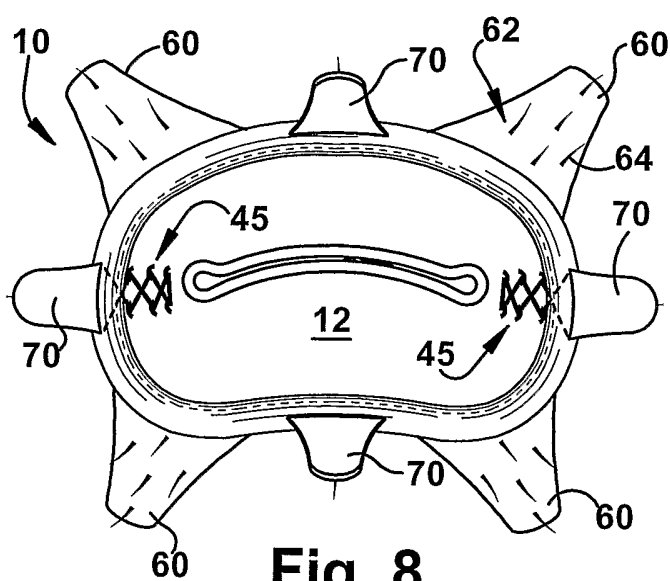
**Fig. 5**



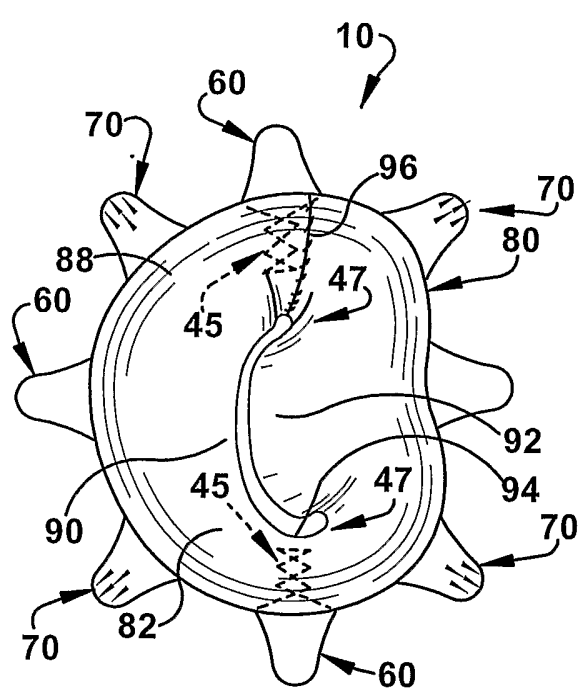
**Fig. 6**



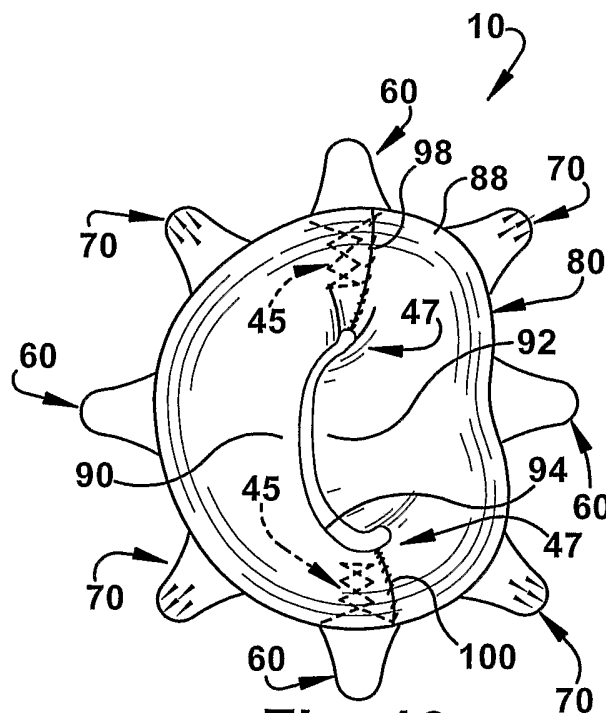
**Fig. 7**



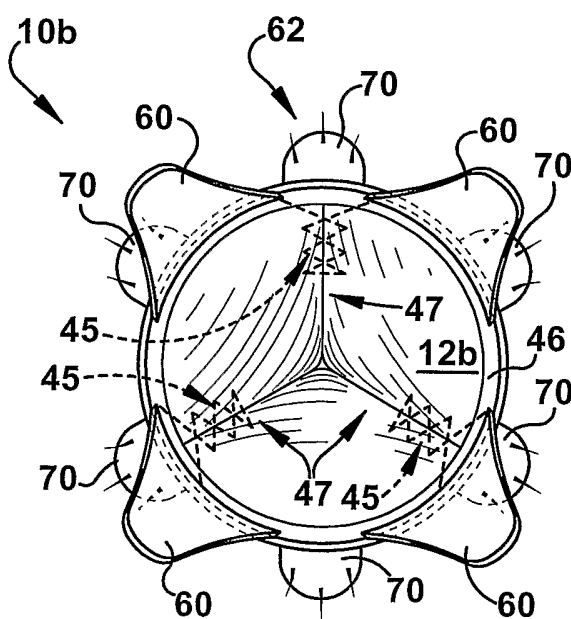
**Fig. 8**



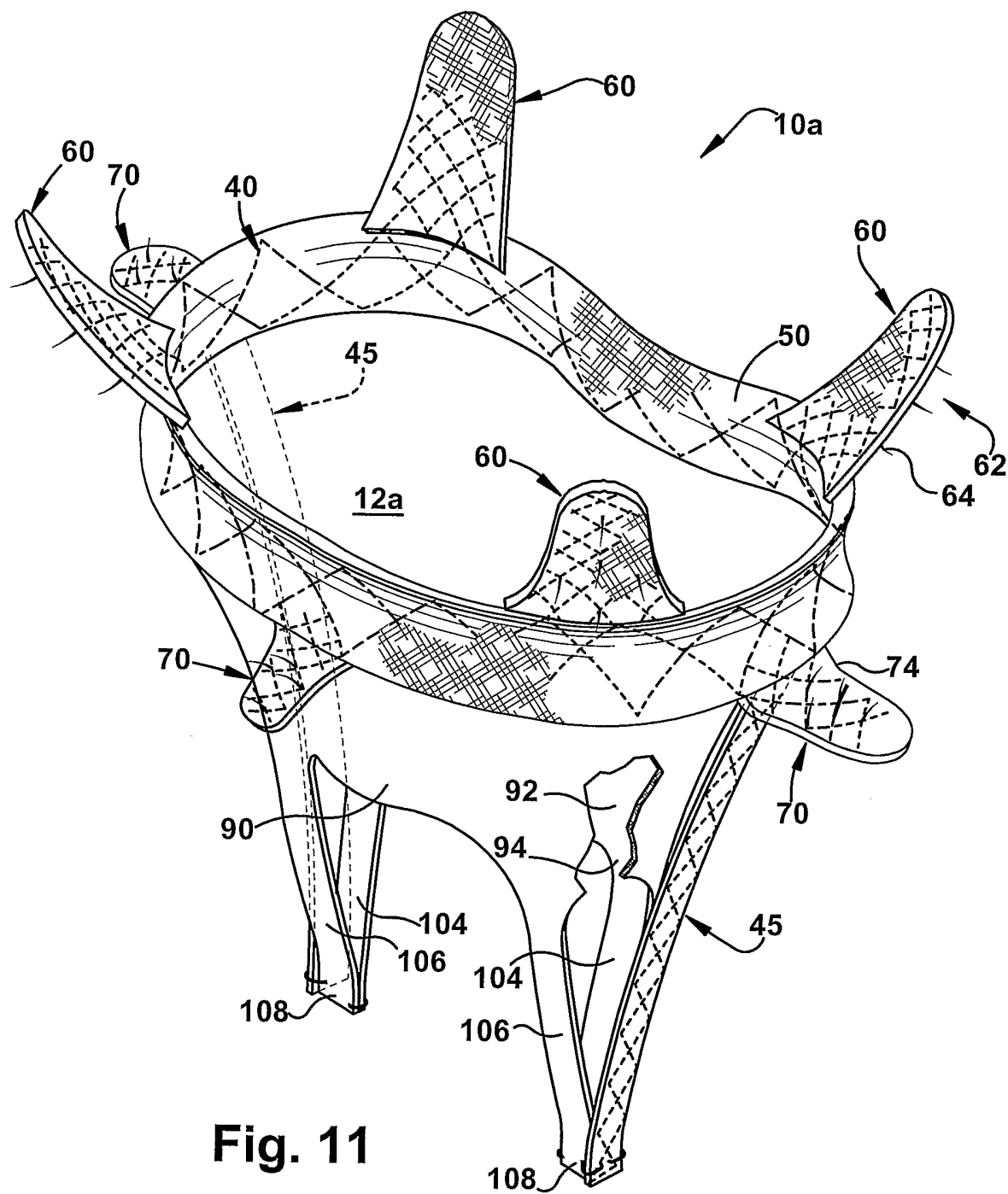
**Fig. 9**



**Fig. 10**



**Fig. 12**



# INTERNATIONAL SEARCH REPORT

International application No

PCT/US2006/005858

**A. CLASSIFICATION OF SUBJECT MATTER**  
INV. A61F2/24

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2003/023303 A1 (PALMAZ JULIO C ET AL) 30 January 2003 (2003-01-30) paragraph [0073] paragraph [0082] - paragraph [0087] figures 7-12b -----	1-16
A	WO 2004/103223 A (THE CLEVELAND CLINIC FOUNDATION) 2 December 2004 (2004-12-02) page 13, line 5 - page 17, line 21 figures 1-7 -----	1, 3-6
A	WO 03/028558 A (AMPLE MEDICAL CORPORATION; MACOVIK, JOHN, A; CHANG, ROBERT, T; MACHOL) 10 April 2003 (2003-04-10) page 6, line 22 - line 27 figures 11,12 -----	1



Further documents are listed in the continuation of Box C.



See patent family annex.

\* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
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- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

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Date of the actual completion of the international search

7 July 2006

Date of mailing of the international search report

17/07/2006

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Amaro, H

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2006/005858

### Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 17-30  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2006/005858

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2003023303 A1	30-01-2003	NONE	
WO 2004103223 A	02-12-2004	CA 2526347 A1 EP 1626682 A1	02-12-2004 22-02-2006
WO 03028558 A	10-04-2003	CA 2455444 A1 CA 2462254 A1 CN 1610529 A EP 1434621 A2 EP 1434542 A2 JP 2005504577 T WO 03028802 A2	10-04-2003 10-04-2003 27-04-2005 07-07-2004 07-07-2004 17-02-2005 10-04-2003