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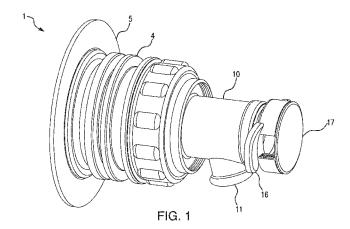
#### **Declarations under Rule 4.17:**

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

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(54) Title: MODIFIED ASEPTIC FRONT PULL PIERCING VALVE ASSEMBLY



(57) Abstract: A front pull piercing valve assembly attached to a flexible container, whereby; when the wall of the flexible container is pulled into contact with a piercing element in the assembly, the contents of the container are dispensed by piercing the wall of the container and liquid flows through the valve assembly and directly into a container or through flexible hose or another connection to be dispensed. The valve assembly is configured in such a manner that during use it is filed with the liquid being dispensed thereby preventing the liquid in the flexible container from being exposed to air, namely, oxygen that often causes spoilage of certain liquids, such as wine.



## MODIFIED ASEPTIC FRONT PULL PIERCING VALVE ASSEMBLY

## CROSS REFERENCE TO RELATED APPLICATIONS

[0001] This application claims the benefit of US Provisional Application No. 62/173,576, filed June 10, 2015, of which is incorporated by reference herein in its entirety.

## **TECHNICAL FIELD**

[0002] This invention relates to a fitment assembly for use with flexible containers for flowable materials, such as liquids, in aseptically-packaged flexible containers.

## **BACKGROUND**

[0003] Flexible polymeric containers are extensively used throughout the food service industry for storing and dispensing soft drink syrups and other such beverages, as well as wine, dairy products, enteral feeding solutions, fruit juices, tea and coffee concentrates, puddings, cheese sauces, and many other flowable materials, including those that must be filled aseptically. Flexible polymeric containers typically have walls made of polymeric films with either a monolayer or multiple layer structure. The particular polymers constituting the container film layers vary depending on the type of material to be placed in the container. The film layers usually include an oxygen barrier layer to prevent contact between the aforementioned materials and oxygen or other gases. The walls of the container may be metallized, or coated with a metallic layer such as aluminum to prevent incursion of oxygen or other gases.

**[0004]** The flexible polymeric containers may have inlets and/or spouts for filling and dispensing the container contents. The containers are often placed within a corrugated paper box. Such packaging systems are commonly referred to as "bag-in-box" systems wherein the spout extends through an opening in the box to dispense the contents. Bag-in-box packaging systems are often used in restaurants, institutional food service centers, and convenience stores to facilitate service of liquid food products such as syrups, toppings, condiments, beverages and dairy products. These containers typically have a capacity of 1 to 6 gallons.

[0005] Once the container is filled with a desired flowable material, the spout is capped to seal the container and protect the contents from contamination. Depending on the type of contents, the container, spout and cap may be sterilized using steam, hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), radiation or other suitable sterilizing methods prior to, during and after filling. In order to maximize the shelf life of such products, it is crucial that fitment assemblies provide a hermetic seal for the entire life cycle of the container.

open the containers by piercing the cap used to seal the container or by piercing the container directly using a fluid transfer device. Examples of dispensing systems that use piercing are disclosed in the following U.S. Pat. Nos. 4,325,496, 6,378,730 and 7,980,424. A technique generally used in such dispensing systems is force the piercing element through the film of the flexible container. Disadvantages of this technique are that when the piercing element is forced too far into the container, it can pierce the opposite wall of the container and cause a leak and prevent the container from resealing and thereby resulting in spoilage of the product in the container. Also, if the wall of the container is not pierced, contact with the wall can substantially weaken the wall and later result in a break since often these flexible containers are subjected to stressful handling. An additional problem is exposure of the liquid to air once the flexible container is opened and reopened. A valve assembly is required that prevents the incursion of air into the container after opening and closing. For example, wine is particularly susceptible to spoilage caused by oxidation and the valve assembly is required to prevent the exposure to air while the container is in constant use.

[0007] Usually, flexible containers are intended for one-time use and are discarded once the contents of such containers have been completely dispensed; the fitment assembly must be made of inexpensive material, easy to manufacture, quick to market and preferably recyclable. It is also desirable that the fitment assembly for use with such packaging systems simplifies access to the container's contents while also minimizing the potential for contamination of the contents. It is desirable that the fitment assembly can be adapted to standard flexible hoses or tubes. The dispensing mechanism must be reliable such that dispensing of the contents is achieved without wasting the liquid through leakage or uncontrolled opening of the connection component and the like.

# **SUMMARY**

[0008] The present invention provides a front pull piercing valve assembly that is attached to a flexible container, whereby; when the wall of the flexible container is pulled into contact with a piercing element in the assembly, the contents of the container are dispensed by piercing the wall of the container and liquid flows through the valve assembly and directly into a container such as a glass, pitcher or other container or through flexible hose or another connection to be dispensed. The valve assembly is configured in such a manner that during use it is filed with the liquid being dispensed thereby preventing the liquid in the flexible container

from being exposed to air, namely, oxygen in the air that often causes spoilage of certain liquids, such as wine.

[0009] An aseptic front pull piercing valve assembly for a thin film flexible container containing a liquid comprising:

- (A) a spout assembly having a longitudinal through internal passage and a sealing flange sealed to the film of the flexible container and having positioned therein a circular piercing element with a through internal passage and having a circular piercing end with at least one piercing point transversely positioned in the longitudinal passage in close proximity to the film of the flexible container;
- (B) a threaded plug having a top and bottom and having a longitudinal through internal passage and a threaded exterior being fitted into the through internal passage of the spout assembly and the bottom of the plug being sealed to the film of the flexible container;
- (C) a threaded collar having a longitudinal internal passage and threaded on the interior of the longitudinal passage and positioned in the internal passage of the spout assembly and threadably engaged with the threaded exterior of the threaded plug;
- (D) a valve body having an outlet opening for dispensing liquid from the flexible container centrally positioned in the threaded collar;
- (E) an interior fitment positioned in the valve body having a valve assembly that allows for flow of liquid from the flexible container when in an open position and when closed forms a seal to prevent air from contacting liquid in the valve body and flexible container;
- (F) a valve actuator having a valve stem for opening and closing the valve assembly and a handle attached thereto for manual valve operation;

wherein said threaded plug being sealed to the film of the flexible container and positioned in the internal passage of the spout assembly and wherein the circular piercing element and the threaded exterior of the plug being engaged with the threaded interior of the collar; and

whereby when engaging the threaded collar to move the threaded plug sealed to the film of the container thereby forcing the threaded plug to move away from the film of the flexible container thereby bringing the piercing end of the piercing element into engagement with the film and thereby piercing the film and allowing the liquid from the flexible container to flow out of the container and through the longitudinal interior of the spout assembly and into the valve body and valve assembly whereby opening of the valve of the valve assembly allows fluid to flow through the outlet opening of the valve body.

[0010] The aseptic front pull piercing valve assembly is easy to use since a relatively minimal amount of force is required for piercing and establishing fluid transfer. This assembly may be used, for example, with flexible containers that are filled or that are formed and filled using suitable commercial packaging systems known in the art. Such packaging systems may include vertical form film seal filling machines sold under the trade-marks PREPAC, IMPACO and ELECSTER, and the Liqui-Box.TM. Filler Model 2000C1T-A that is used for filling flexible containers used in bag-in-box systems. The assembly may also be used with flexible containers that are aseptically filled and in particular for liquids, such as wine, that are frequently sold in bag in the box assemblies or used in commercial enterprises that dispense, for example, many glasses of wine over an extended period. The construction of the assembly seals in the liquid in the flexible container and prevents oxidation of the liquid that occurs over time when exposed to the atmosphere.

**[0011]** In another embodiment of the invention, the leading tooth of the piercing point may comprise an exterior surface that is substantially parallel to the longitudinal axis of the hollow body and an interior surface that is inclined inwardly and forms an angle of 10° to 45° with the exterior surface.

**[0012]** Advantageously, the piercing end of the assembly may further comprise a plurality of additional teeth that are disposed around the peripheral extremity. The additional teeth facilitate piercing as they reduce the amount of force that is required for piercing the film of the flexible container and causing a circular film membrane within the pierceable portion to be peeled back in order to establish fluid transfer.

## BRIEF DESCRIPTION OF THE DRAWINGS

- **[0013]** Figure 1 shows a side perspective view of the aseptic front pull piercing valve assembly according to one embodiment of this invention.
- **[0014]** Figure 2 shows a cross- section side view of the aseptic front pull piercing valve assembly according to one embodiment of this invention.
- **[0015]** Figure 3 shows a cross-section of the aseptic front pull piercing valve assembly in a disassemble state according to one embodiment of this invention.

### DETAILED DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

**[0016]** Referring particularly to the drawings, the figures are for the purpose of illustrating the present invention only and not for the purpose of limiting the scope of any claims.

[0017] FIG. 1 illustrates aseptic front pull piercing valve assembly shown generally at 1 according to the invention for use with flexible containers containing flowable materials, such as liquids or semi-solids, and particularly for aseptically-packaged flexible containers. The assembly 1 comprises a spout assembly 4 having positioned therein a valve body 10 having an outlet or exit opening 11. Attached to the valve body 10 is a handle 16 to actuate a valve in the valve body 10 and a cap 17 positioned over the handle. The spout assembly 4 has a flange 5 the bottom of which is sealed to a flexible container (not shown). Sealing to the flexible container which usually is a bag film is accomplished by conventional methods, such as heat sealing, adhesive or the like.

**[0018]** FIG. 2 shows a cross-sectional side view of the aseptic front pull piercing valve assembly 1. Threaded plug 3 is positioned in the spout assembly 4. Threaded collar 2 is threaded into the center of threaded plug 3. Valve body 10 having exit opening 11 is positioned in the threaded collar 2. Cap 17 is position on valve body 10.

assembly 1 in a disassemble state. The spout assembly 4 having a central opening 8 has centrally positioned therein at its annular end a piercing element 7 having a point 7A for piercing the film of the flexible bag or container (not shown). The bottom surface 6 of the sealing flange 5 is sealed to the flexible bag film or container and holds the film in place. Threaded plug 3 is positioned in the center of the spout assembly 4 and the annular edge 9 of threaded plug 3 is sealed to the flexible bag film. Threaded collar 2 is threaded into the threaded plug 3 and when engaged, i.e. turned, it pulls threaded plug 3 having the film attached away from the flexible bag and into contact with the piercing element 7 and its piercing point 7A thereby puncturing the film and allowing fluid from the bag or container to flow into the valve body 10 positioned in the threaded collar 2 and out to a container through exit opening 11 in the valve body when the valve assembly consisting of 12, 13, 14 and 15 is opened. An interior fitment of the valve body 12 having a valve seat 13 is positioned in the valve body 10. Valve stem 14 is engaged with the valve seat 13 and engaged and disengaged with the valve actuator 15 by the handle 16 attached thereto. Cap 17 covers the valve actuator.

[0020] The piercing element 7 that is positioned in the central opening 8 of the spout assembly 4 can be a molded part of the assembly 4 and molded into the central opening 8. Optionally, the piercing element 7 can be a separate element positioned in the central opening 8 and attached to the wall at one point or more of the central opening 8 and formed of a different material than the spout assembly 4 depending on the type of material of the flexible container that is to be pierced.

The configuration of the spout 4 shown in Figures 1-3 is widely-used, commercially available and is conventionally adapted for mounting to a flexible bag, typically used "bag-in-box" containers. It is understood that the piercing fitment assembly of the present invention could easily be modified to use other configurations of spouts. An alternative piercing element used in place of the piercing element 7 shown, in FIG. 2 and 3. US Patent 7,980,424, which is hereby incorporated by reference, illustrates such an alternative piercing element. For example, the piercing element may comprise multiple piercing points such as a total number of 3, 5, 7, 10 etc. Other designs of piercing elements can also be used. Also, Fig. 15 A, 15 B, 16 A and 16 B of '424 illustrate a prior art method of piercing a film of a flexible container whereby the piercing element is movable and is forced through the film of the container in contrast to the present invention wherein the piercing element 7 is held in a stationary position in a spout assembly 4 and the film is pulled into contact with the piercing element 7 whereby the film is pierced allowing liquid to flow from the container. The advantage of the present invention is that the piercing element 7 cannot pierce the opposite wall of the container or damage the wall of the container and stretching of the film is minimized and controlled since only a small portion of film is held firmly in place by being sealed to the flange of the spout 5 and to the bottom of the threaded plug 3.

[0021] Filling of flexible containers such as the ones used in bag-in-box systems may be performed on any suitable aseptic filler known to those skilled in the art, and is typically performed using commercial packaging systems such as, for example, the Liqui-Box.TM. Filler Model 2000C1T-A (not shown). Before filling and aseptic packaging, the container or pouch is supplied to the packaging system in a state where the inside of the container has been presterilized using Cobalt gamma irradiation or any other suitable means of sterilization. The spout assembly 4, threaded plug 3, the threaded collar 2, valve body 10 and assembly 12, 13, 14 and 15 are also sterilized using Hydrogen Peroxide (H<sub>2</sub>O<sub>2</sub>), steam or any other suitable means. Once the container has been filled with a flowable material, the spout and the threaded plug 3 are hermetically bonded to the film of a pouch or container. The remainder of the fitment assembly is added and the container is provided to the customer and the container is only opened when the contents of the container are needed.

[0022] Since these containers are typically intended for one-time use and are discarded once the contents of such containers have been completely dispensed, it is preferable that the fitment assembly for use in such systems be easy to manufacture, inexpensive, easy to install and use, and recyclable. It is also important that the components are of sufficient quality and robustness. Accordingly, the construction of the components required to produce the piercing

fitment assembly of the present invention is relatively simple and economical. The spout 4 and piercing element positioned therein, threaded collar 2, threaded plug 3, valve body 10 and related assembly and any fluid transfer device can all be produced from commonly used and recyclable thermoplastic materials and formed using conventional plastic injection molding processes. For example, the threaded collar and plug may preferably be made using a blend of 85% medium density linear low density polyethylene (LDPE) and 15% high density polyethylene (HDPE). The fluid transfer device may be produced using high density polyethylene (HDPE) or polypropylene (PP). Alternatively, the fluid transfer device may be made using a commercially available low density polyethylene.

[0023] Thinner regions of injection molded parts typically impose challenges with respect to suitable mold and process design for injection molding. The specific configuration of such a mold would be apparent to one skilled in the art.

[0024] From the foregoing description, it can be seen that the present invention comprises a front pull piercing valve assembly which is used with flexible containers. It will be appreciated by those skilled in the art that obvious changes can be made to the embodiments described in the foregoing description without departing from the broad inventive concept thereof. It is understood, therefore, that this invention is not limited to the particular embodiments disclosed, but is intended to cover all obvious modifications thereof which are within the scope and the spirit of the invention as defined by the claims.

[0025] Parts List for Figures 1-3

- 1. Aseptic front pull valve piercing assembly
- 2. Threaded collar
- 3. Threaded plug
- 4. Spout assembly
- 5. Sealing Flange of spout
- 6. Bottom surface of flange 5
- 7. Piercing element
- 7A. Point of piercing element
- 8. Central opening of spout
- 9. Annual edge of threaded plug 3
- 10. Valve body
- 11. Exit opening in valve body
- 12. Interior fitment of valve body
- 13. Valve seat

- 14. Valve stem
- 15. Valve actuator
- 16. Handle
- 17. Cap

# What is Claimed:

1. A front pull piercing valve assembly for flexible container of a film containing a liquid comprising:

- (A) a spout assembly having a longitudinal through internal passage and a sealing flange sealed to the film of the flexible container and having positioned therein a circular piercing element with a through internal passage and having a circular piercing end with at least one piercing point transversely positioned in the longitudinal passage in close proximity to the film of the flexible container;
- (B) a threaded plug having a top and bottom and having a longitudinal through internal passage and a threaded exterior being fitted into the through internal passage of the spout assembly and the bottom of the plug being sealed to the film of the flexible container;
- (C) a threaded collar having a longitudinal internal passage and threaded on the interior of the longitudinal passage and positioned in the internal passage of the spout assembly and threadably engaged with the threaded exterior of the threaded plug;
- (D) a valve body having an outlet opening for dispensing liquid from the flexible container centrally positioned in the threaded collar;
- (E) an interior fitment positioned in the valve body having a valve assembly that allows for flow of liquid from the flexible container when in an open position and when closed forms a seal to prevent air from contacting liquid in the valve body and flexible container;
- (F) a valve actuator having a valve stem for opening and closing the valve assembly and a handle attached thereto for manual valve operation;

wherein said threaded plug being sealed to the film of the flexible container and positioned in the internal passage of the spout assembly and wherein the circular piercing element and the threaded exterior of the plug being engaged with the threaded interior of the collar; and

whereby when engaging the threaded collar to move the threaded plug sealed to the film of the container thereby forcing the threaded plug to move away from the film of the flexible container thereby bringing the piercing end of the piercing element into engagement with the film and thereby piercing the film and allowing the liquid from the flexible container to flow out of the container and through the longitudinal interior of the spout assembly and into the valve body and valve assembly whereby opening of the valve of the valve assembly allows fluid to flow through the outlet opening of the valve body.

2. The front pull piercing valve assembly of claim 1 comprising a piercing element having 3-10 piercing points.

- 3. The front pull piercing valve assembly of claim 1 wherein the flexible container comprises a bag-in-a box assembly.
- 4. The front pull piercing valve assembly of claim 1 wherein the flexible container being an aseptically filled container for liquids sensitive to oxygen.
- 5. A spout assembly having a sealing flange attached, said assembly having a longitudinal through internal passage and a circular piercing element positioned in the internal passage of said assembly and said circular piercing element having at least one piercing point.
- 6. The spout assembly of claim 5 wherein the piercing element is molded into the internal passage of the assembly.
- 7. The spout assembly of claim 5 wherein the piercing element is a separate component attached to the internal passage of the assembly.
- 8. A fluid transfer device for dispensing flowable material from a flexible container of a film by piercing the container comprising:
  - a front pull piercing valve assembly for flexible container of a film containing a liquid comprising
- (A) a spout assembly having a longitudinal through internal passage and a sealing flange sealed to the film of the flexible container and having positioned therein a circular piercing element with a through internal passage and having a circular piercing end with at least one piercing point transversely positioned in the longitudinal passage in close proximity to the film of the flexible container;
- (B) a threaded plug having a top and bottom and having a longitudinal through internal passage and a threaded exterior being fitted into the through internal passage of the spout assembly and the bottom of the plug being sealed to the film of the flexible container;
- (C) a threaded collar having a longitudinal internal passage and threaded on the interior of the longitudinal passage and positioned in the internal passage of the spout assembly and threadably engaged with the threaded exterior of the threaded plug;
- (D) a valve body having an outlet opening for dispensing liquid from the flexible container centrally positioned in the threaded collar;

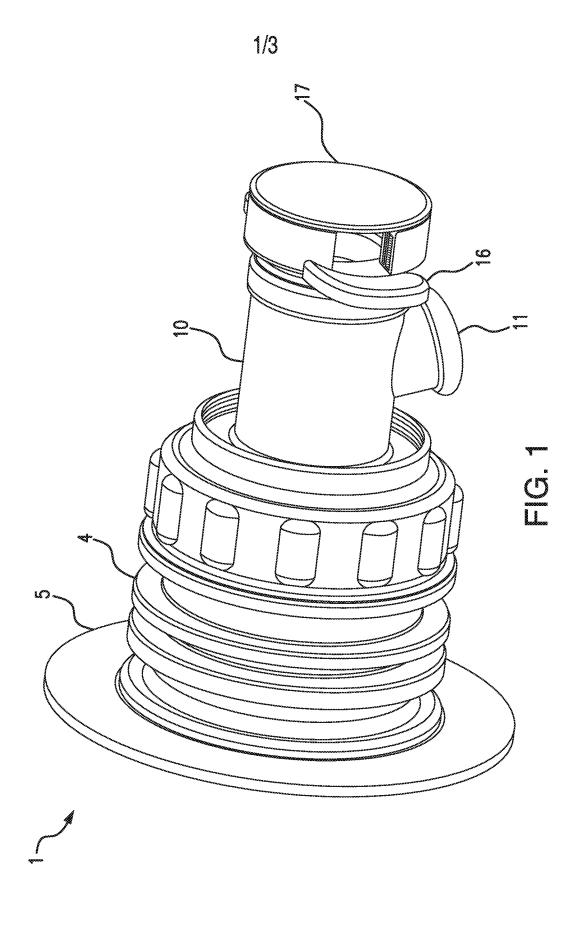
(E) an interior fitment positioned in the valve body having a valve assembly that allows for flow of liquid from the flexible container when in an open position and when closed forms a seal to prevent air from contacting liquid in the valve body and flexible container;

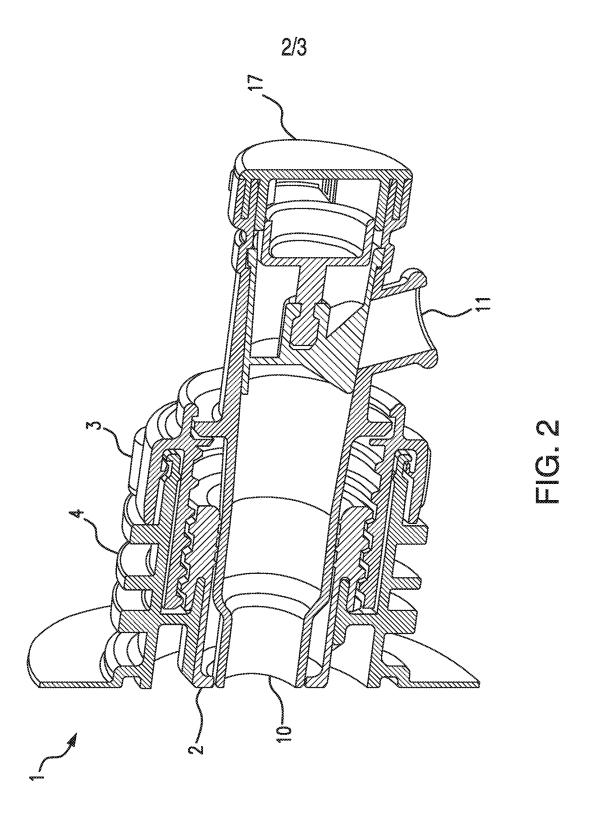
(F) a valve actuator having a valve stem for opening and closing the valve assembly and a handle attached thereto for manual valve operation;

wherein said threaded plug being sealed to the film of the flexible container and positioned in the internal passage of the spout assembly and wherein the circular piercing element and the threaded exterior of the plug being engaged with the threaded interior of the collar; and

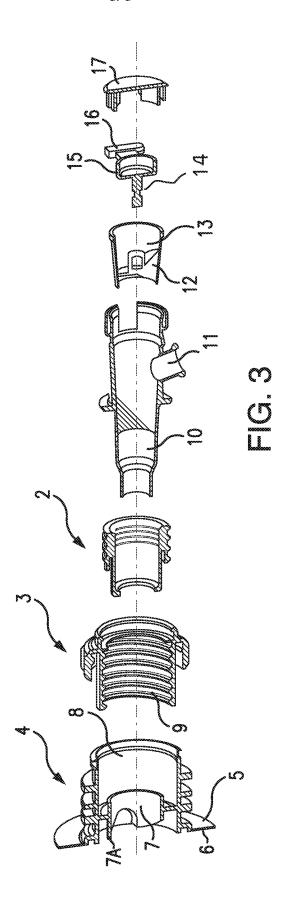
whereby when engaging the threaded collar to move the threaded plug sealed to the film of the container thereby forcing the threaded plug to move away from the film of the flexible container thereby bringing the piercing end of the piercing element into engagement with the film and thereby piercing the film and allowing the liquid from the flexible container to flow out of the container and through the longitudinal interior of the spout assembly and into the valve body and valve assembly whereby opening of the valve of the valve assembly allows fluid to flow through the outlet opening of the valve body.

- 9. The fluid transfer device of claim 8 wherein the flexible container comprises a bag-in-box assembly.
- 10. The fluid transfer device of claim 8 wherein the flexible container being an aseptically filled container for liquids sensitive to oxygen.









#### INTERNATIONAL SEARCH REPORT

## A. CLASSIFICATION OF SUBJECT MATTER

B65D 47/20(2006.01)I, B65D 75/58(2006.01)I, F16K 5/08(2006.01)I, F16K 31/60(2006.01)I, B65D 85/72(2006.01)I

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) B65D 47/20; B67B 7/26; B67D 5/00; B67B 7/24; B65D 33/38; B65D 75/58; F16K 5/08; F16K 31/60; B65D 85/72

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) eKOMPASS(KIPO internal) & keywords: piercing valve assembly, spout assembly, circular piercing element, threaded plug, threaded collar, valve body, interior fitment, and valve actuator

#### C. DOCUMENTS CONSIDERED TO BE RELEVANT

Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
US 2006-0144852 A1 (DUBACH, WERNER FRITZ) 06 July 2006 See paragraphs [0026]-[0028] and figures 1-4.	5,7
	6 1-4,8-10
US 4076147 A (SCHMIT, JUSTIN M.) 28 February 1978 See column 7, lines 44-58 and figure 14.	6
EP 0171870 A1 (MARLEY TECHNICAL PRODUCTS (PROPRIETARY) LIMITED) 19 February 1986 See page 3, lines 2-22, claim 1, and figures 1-2.	1-10
US 4602725 A (MALPAS et al.) 29 July 1986 See column 4, lines 16-44 and figures 6-9.	1-10
US 2009-0046958 A1 (KEEN et al.) 19 February 2009 See paragraphs [0034]-[0050] and figures 1-7.	1-10
	US 2006-0144852 A1 (DUBACH, WERNER FRITZ) 06 July 2006 See paragraphs [0026]-[0028] and figures 1-4.  US 4076147 A (SCHMIT, JUSTIN M.) 28 February 1978 See column 7, lines 44-58 and figure 14.  EP 0171870 A1 (MARLEY TECHNICAL PRODUCTS (PROPRIETARY) LIMITED) 19 February 1986 See page 3, lines 2-22, claim 1, and figures 1-2.  US 4602725 A (MALPAS et al.) 29 July 1986 See column 4, lines 16-44 and figures 6-9.  US 2009-0046958 A1 (KEEN et al.) 19 February 2009

	Further documents are listed in the continuation of Box C.		See patent family annex.
*	Special categories of cited documents:	"T"	later document published after the international filing date or priority
"A"	document defining the general state of the art which is not considered		date and not in conflict with the application but cited to understand
	to be of particular relevance		the principle or theory underlying the invention
"E"	earlier application or patent but published on or after the international	"X"	document of particular relevance; the claimed invention cannot be
	filing date		considered novel or cannot be considered to involve an inventive
"L"	document which may throw doubts on priority claim(s) or which is		step when the document is taken alone
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	means		being obvious to a person skilled in the art
"P"	document published prior to the international filing date but later	"&"	document member of the same patent family
	than the priority date claimed		
Date	e of the actual completion of the international search	Date	of mailing of the international search report

Date of the actual completion of the international search
24 August 2016 (24.08.2016)

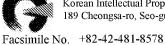
Date of mailing of the international search report

24 August 2016 (24.08.2016)

Name and mailing address of the ISA/KR
International Application Division

Authorized officer

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2016/036890

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