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3,572,430 FLOATING HEAD HEAT EXCHANGER Howard O. Charnock, Jr., Chatsworth, Calif., assignor to North American Rockwell Corporation Filed Apr. 1, 1969, Ser. No. 812,212 Int. Cl. F28f 3/00 11 Claims U.S. Cl. 165-82

#### ABSTRACT OF THE DISCLOSURE

10 A shell-and-tube heat exchanger which experiences differential expansion between the shell and tubes where the exchanger has a floating head that cooperates with a double-pipe mechanical joint which compensates for any differential motion between the floating head and 15 the stationary shell.

## BACKGROUND OF THE INVENTION

20A heat exchanger is a device that transfers heat from one system or medium to another by thermal coupling, i.e., by conduction, by convection, and by radiation, or any combination thereof.

One type of known heat exchanger, a shell-and-tube 25heat exchanger, has spaced-apart tube sheets and headers that support the opposite ends of a bundle of tubes, all contained within an outer shell. In transferring heat between two fluids, one fluid usually enters the shell at one end, contacts the outer surfaces of the tubes, and dis- 30 charges from the other end of the shell, or at the same end, determined by whether a single pass or multi-pass shell-side flow is used. The second fluid, in a single pass counter flow arrangement, flows from one header through the tubes in a flow direction countercurrent to the direc-  $_{35}$ tion of the first fluid and discharges at the other header end. Since the tubes can be formed from a different metal than the shell and normally experience a temperature different than the shell during operation, the differential thermal expansions or contractions between the shell and 40 tubes can result in excessive thermal stresses. This has led to the development of floating head heat exchangers.

In a floating head heat exchanger, one header is connected to the shell while the other header is permitted to "float" within the shell. The slidable movement, i.e., 45 displacement, of the floating head as the tube bundle expands or contracts relieves excessive stresses and strains between the shell and tubes during operation.

Mechanical pipe connections to the floating head must compensate for the displacement of the floating head 50 without placing excessive stresses and strains on the vessel wall, nozzle connections, and on the external piping system. Known floating head heat exchangers use conventional expansion joints, packing joints or flexible "pancake" joints in single pass heat exchangers, but these ex- 55pansion joints are relatively unreliable and can develop a leak path between the two fluids. When one of the two fluids is a liquid metal, such as sodium, and the other fluid is water, any leak path that develops through an action between the sodium and water. Such a sodiumwater interaction can generate an overpressure condition that can result in the physical destruction of the heat exchanger, and possibly in the destruction of the 65 system in which the heat exchanger finds use.

#### OBJECTS OF THE INVENTION

Accordingly, it is an object of the invention to provide a new and improved mechanical joint particularly for a floating-head heat exchanger.

It is an object of the invention to provide an improved mechanical joint for a floating-head heat exchanger.

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It is an object of the invention to provide a mechanical joint having a mechanical integrity substantially equal to that of the vessel walls.

It is an object of the invention to provide a mechanical joint permitting flexure and minimizing the possibility of leakage, thereby maximizing reliability.

It is an object of the invention to provide a mechanical joint compatible with conventional piping systems.

### SUMMARY OF THE INVENTION

Briefly, in accordance with one form of the invention, a new and improved mechanical joint for a shell-andtube heat exchanger having a floating head is provided where the mechanical joint is a double-pipe arrangement (outer pipe enclosing an inner pipe) that compensates for any displacement of the floating head in relation to the shell. The outer pipe is connected to the shell of the heat exchanger and to the inner pipe at a point spaced from the shell, while the inner pipe is positioned within the outer pipe and connected between the floating head and an external piping system. Any displacement of the floating head is compensated by torsion or bending, or both, of the inner pipe within the boundaries of the outer pipe without placing excessive stresses on the tube bundle, the double-pipe mechanical joint, and on the external piping system.

While the specification concludes with claims particularly pointing out and distinctly claiming the subject matter which may be regarded as the invention, the organization and method of operation, together with further objects, features, and the attending advantages thereof, may best be understood when the following description is read in connection with the accompanying drawing.

#### BRIEF DESCRIPTION OF THE DRAWING

FIG. 1 is an elevation, partly sectional and partly broken away of one improved mechanical joint of the invention for a floating-head heat exchanger:

FIG. 2 is a sectional view, partly broken away, along the line 2-2 of FIG. 1;

FIG. 3 is a partial view, partly broken away, of one modification of the mechanical joint of the invention for a floating-head heat exchanger; and

FIG. 4 is an elevation, partly sectional and partly broken away, of another modification of the mechanical joint of the invention for a floating-head heat exchanger.

#### DESCRIPTION OF THE INVENTION

A shell-and-tube heat exchanger 10, as shown by FIG. 1, has a cylindrical shell member 12 with closed ends that contains a bundle of similar tubes 14 positioned between and metallurgically joined to a fixed tube sheet 16 and a movable or floating tube sheet 18. Tube sheet 16 forms a part of outlet header 20 while tube sheet 18 forms a part of inlet header 22. Inlet header 22 is a floating header, i.e., slidable within the shell 12 as the tube bundle 14 expands or contracts.

In the heat exchanger 10, a first fluid, which can be a unreliable mechanical joint can result in a possible inter- 60 liquid metal such as sodium (Na), passes through the shell 12 from a shell inlet port 24 to a shell outlet port 26. A second fluid, which can be water, sodium, or another fluid, passes through the tube bundle 14 from a header inlet port 28 to a header outlet port 30. The heat exchanger 10 is therefore a countercurrent (first and second fluids pass in opposite directions) and a single pass (each of the fluids pass through the heat exchanger once) shelland-tube heat exchanger with a floating head.

One form of floating-head heat exchanger has a doublepipe or conduit mechanical joint connected to header in-70let port 28 of the heat exchanger 10, as shown by FIGS. 1 and 2. The improved mechanical joint of my invention 5

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absorbs any differential motion between the tube bundle 14 and the shell member 12.

The mechanical joint of FIGS. 1 and 2 has a generally C-shaped outer housing pipe 32 that is metallurgically joined to the shell member 12 at ends 34 and 36, and an outer pipe intermediate stub portion 38 that is metallurgically joined to an inner displacement pipe 40 positioned within the outer pipe at joint 42; all joints preferably leak-tight welds. Inner pipe 40 is connected to an external second fluid source (not shown) through an 10 intermediate tube 44. Inlet pipe 40 has separate branches 46 and 48 that extend through the C-shaped outer pipe 32 and are metallurgically joined to the floating header 22 at ends 50 and 52, preferably by leak-tight welds.

The neutral position of the floating head 22 and the 15inner pipe 40 is shown by the solid lines of FIG. 1 as being generally concentric with the outer pipe 32. When the tube bundle 14 expands or contracts, the floating head 22 and the inner pipe 40 are displaced. As the floating head 22 is displaced, for example to the position as shown 20 by the dashed lines of FIG. 1, the inner pipe 40 is displaced from the concentric neutral position to the dashed eccentric position within the outer pipe 32. The displacement of inner pipe 40 compensates for the displacement of the floating head 22 without affecting the leak tight 25 integrity of the joint 42 that connects the outer pipe intermediate stub portion 38 to the intermediate tube portion 44 of the inner pipe 40. The displacement of inner pipe 38 is generally limited by the internal dimensions of outer pipe 32. The improved heat exchanger mechanical 30 joint as shown by FIGS. 1 and 2 thereby compensates for displacement of the floating header 22 primarily through torsional loading; however, the compensation can also include bending, or the like. It is contemplated that the displacement can be of the outer pipe only, or 35 can also be of both the inner and outer pipes so that any relative motion between the tube bundle and the shell member is thereby compensated. Because the improved mechanical joint compensates for any displacement of the header, the intermediate tube portion 44 (which is 40 the outer continuation of the inner pipe 40) can be connected to a conventional piping system wherein the heat exchanger 10 finds use without introducing transferred stresses and strains to the external piping system.

The neutral position of the floating head 22 and the  $_{45}$ inner pipe 40 can also be as shown by the solid lines of FIG. 3 where the inner pipe 40 is positioned generally eccentric within the outer pipe 32. When the tube bundle 14 expands or contracts, the floating head 22 and the inner pipe 40 are displaced. The floating head slides within 50the shell 12 generally parallel with the imaginary longitudinal axis as defined by the shell 12 and the tube bundle 14. As the floating head 22 is displaced, the inner pipe 40 is displaced from the eccentric neutral position to the dashed eccentric position within the outer pipe 32. 55

FIG. 4 shows another form of an improved floatinghead heat exchanger having a double-pipe mechanical joint. The same reference numerals are used to indicate corresponding parts previously described in FIGS. 1 and 2.

The double-pipe mechanical joint as shown by FIG. 4 absorbs any differential motion between the tube bundle 14 and the shell member 12 primarily through bending which compensates for any displacement of the floating header 22. A single, generally L-shaped outer pipe 69 is 65metallurgically joined to the shell member 12 at end 62, and to an inner pipe 64 at joint 66; again all the joints are preferably leak tight welds. Inner pipe 64 is similarly metallurgically joined to the floating header 22 at port end 68.

The neutral position of the floating header 22 and the inner pipe 64 is shown by the solid lines of FIG. 4. Although shown as being generally concentric within the outer pipe 60, it is contemplated that the inner pipe 64 can be eccentrically positioned within the outer pipe. As 75 inner tube means,

the floating header 22 is displaced, for example to the position as shown by the dashed lines of FIG. 4, a bending moment is placed on the inner pipe 64 which displaces the inner pipe to the dashed position within the outer pipe 60. The displacement of the floating header 22 is absorbed by the double-pipe mechanical joint without affecting the leak-tight integrity of the joint 66 that connects the outer pipe 60 to the inner pipe 64. Again, it is contemplated that the displacement can also be of the outer pipe alone, or of both the inner and outer pipes so that any relative motion between the tube bundle and the shell member is compensated.

As will be evidenced from the foregoing description, certain aspects of the invention are not limited to the particular details of construction as illustrated, and it is contemplated that other modifications and applications will occur to those skilled in the art. It is, therefore, intended that the appended claims shall cover such modifications and applications that do not depart from the true spirit and scope of the invention.

I claim:

1. An improved floating-head heat exchanger comprising:

- (a) cylindrical shell means having closed ends,
- (b) port means in said shell means,
- (c) tube bundle means extending in generally parallel relation to and within said shell means,
- (d) at least first and second tube sheet means metallurgically joined to and spaced apart by said tube bundle means,
- (e) said first tube sheet means connected to said shell means and said second tube sheet means slidable within said shell means,
- (f) said first tube sheet means and said shell means defining a fixed head,
- (g) port means in said fixed head,
- (h) header means connected to said second tube sheet means and movable therewith,
- (i) said second tube sheet means and said movable header means defining a floating head,
- (j) port means in said floating head; and
- (k) conduit means developing a mechanical joint and passing at least a first fluid, said conduit means connected to said floating-head port means and to an associated one of said shell port means thereby compensating for any differential motion between said floating head and said shell means.
- 2. The heat exchanger of claim 1 in which said conduit means includes:
- (a) outer tube means having at least first and second ends.
  - (b) said outer tube first end connected to an associated one of said shell port means so that a first fluid passing through said shell means passes through said outer tube means, and
- (c) inner tube means positioned within said outer tube means, said inner tube means having at least first and second ends extending through respective ones of said outer tube first and second ends,
- (d) said inner tube first end connected to an associated one of said floating-head port means so that a second fluid passing through said floating head and said tube bundle means passes through said inner tube means.
- (e) said outer tube second end connected to said inner tube means generally adjacent said inner tube second end so that any differential motion between said floating head and said shell means is compensated by the displacement of said tube means.

3. The heat exchanger of claim 2 in which said displacement is of said inner tube means within said outer tube means.

4. The heat exchanger of claim 2 in which said displacement is of said outer tube means relative to said

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5. The heat exchanger of claim 2 in which said displacement is of both said inner and outer tube means relative to each of said tube means.

6. The heat exchanger of claim 2 in which said conduit means includes:

- (a) an intermediate stub means extending from said outer tube means between said outer tube first and second ends,
- (b) said outer tube first and second ends connected to associated ones of said shell port means,
- (c) said inner tube first and second ends extending through respective ones of said outer tube first and second ends and connected to associated ones of said floating-head port means, and
- (d) an intermediate tube means extending from said 15 inner tube means and through said intermediate outer tube stub means,
- (e) said intermediate stub means connected to said intermediate tube means by a fluid-tight connection.

7. The heat exchanger of claim 2 in which said inner 20 tube means is eccentrically located in a neutral position within said outer tube means with displacement of said inner tube means during any differential motion generally limited by said outer tube means.

8. The heat exchanger of claim 2 in which said inner tube means is concentrically located in a neutral position within said outer tube means with displacement of said inner tube means during any differential motion generally limited by said outer tube means.

9. The heat exchanger of claim 2 in which said first 30 fluid and said second fluid are substantially identical and have similar heat transfer properties.

10. In a floating-head heat exchanger wherein a generally cylindrical shell contains a bundle of tubes positioned between and metallurgically joined to a fixed tube sheet connected to the shell and to a movable tube sheet header that is slidable within the shell, the movable tube sheet header having at least a first port associated with a first shell port, the combination thereof with the heat exchanger of an improved mechanical joint comprising: 40

- (a) outer housing tube having at least first and second ends,
- (b) said outer tube first end connected to said first shell port so that a fluid passing through the shell passes through said outer housing tube,
- (c) inner displacement tube positioned within said outer housing tube, said inner tube having at least

first and second ends extending through respective ones of said outer tube first and second ends,

- (d) said inner tube first end connected to the movable tube sheet-header port so that a fluid passing through the bundle of tubes passes through said inner tube,
- (e) said outer tube second end connected to said inner tube generally between said inner tube first end and said inner tube second end by a substantially fluid-tight connection so that any differential motion between the movable tube sheet-header and the shell displaces said inner tube within said outer tube and the torsion or bending or both of said inner pipe within said outer pipe compensates for said displacement.

11. In the floating-head heat exchanger of claim 10 wherein the movable tube sheet-header has at least first and second ports associated with at least first and second shell ports, the mechanical joint includes:

- (a) an intermediate stub portion extending from said outer tube between said outer tube first and second ends,
- (b) said outer tube first and second ends connected to associated ones of the first and second shell ports,
- (c) said inner tube first and second ends extending through respective ones of said outer tube first and second ends and connected to associated ones of the movable tube sheet-header first and second ports, and
- (d) an intermediate tube extending from said inner tube and through said intermediate outer tube stub portion,
- (e) said intermediate stub portion connected to said intermediate tube by a substantially fluid-tight connection.

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