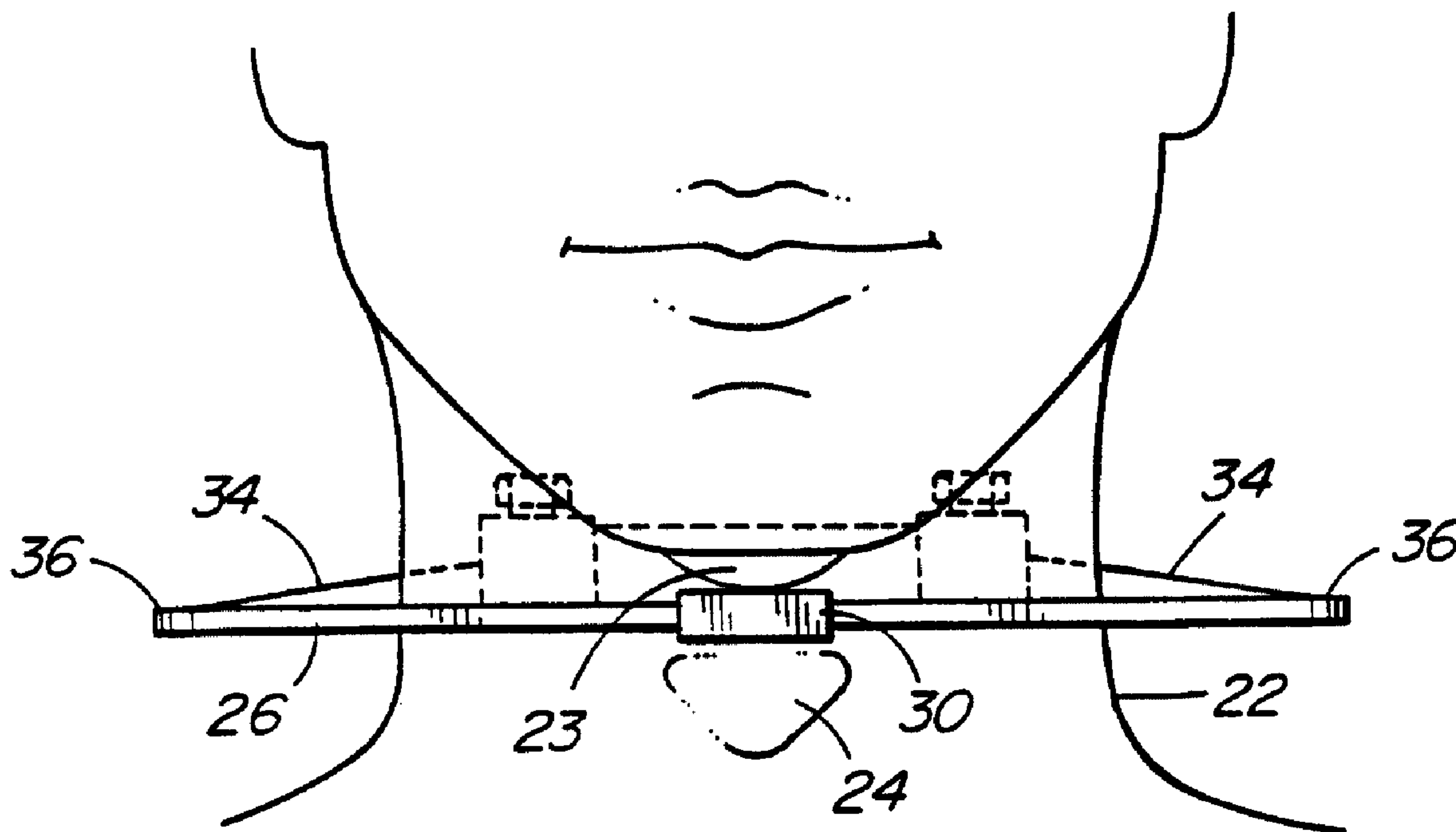




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(54) Titre : METHODE ET DISPOSITIF POUR MESURER DE FACON NON INVASIVE LE FONCTIONNEMENT
CARDIAQUE EN SURVEILLANT L'ACCELERATION CARDIAQUE
 (54) Title: METHOD AND APPARATUS FOR NON-INVASIVE ASSESSMENT OF CARDIAC FUNCTION BY
MONITORING ACCELERATION OF THE HEART



(57) **Abrégé/Abstract:**

An apparatus and method to assess cardiac function in a human has a sensing mechanism to be positioned on the thyroid cartilage in the neck against the trachea. The sensing mechanism is able to sense a response of the thyroid cartilage to heart function. The apparatus includes a restraining system to hold the sensing mechanism in position. The method of determining cardiac function comprises sensing the response of the thyroid and trachea to heart function.

ABSTRACT OF THE DISCLOSURE

An apparatus and method to assess cardiac function in a human has a sensing mechanism to be positioned on the thyroid cartilage in the neck against the trachea.

5 The sensing mechanism is able to sense a response of the thyroid cartilage to heart function. The apparatus includes a restraining system to hold the sensing mechanism in position. The method of determining cardiac function comprises sensing the response of the thyroid

10 and trachea to heart function.

METHOD AND APPARATUS FOR NON-INVASIVE ASSESSMENT OF
CARDIAC FUNCTION BY MONITORING ACCELERATION OF THE HEART

FIELD OF THE INVENTION

This invention relates to an apparatus to assess
5 cardiac function in humans and is of particular value in
assessing the risk of heart attack. However the
apparatus is also useful in measuring other parameters of
cardiac function to determine and locate cardiac and
aortic abnormalities.

10

DESCRIPTION OF RELATED ART

Non-invasive methods of determining cardiac
functioning include the following:

a) Mechanical methods that include pulse recording
of the jugular, carotid artery or apexcardiogram. This
15 group also include sound recordings, for example the
stethoscope and phonocardiographic techniques.

b) Electrical techniques are best exemplified by the
electrocardiogram (ECG).

c) Relatively more recent techniques include imaging
20 techniques, for example echocardiography, nuclear
cardiography, radiographic techniques and magnetic
resonance imaging (MRI).

All of the above the mechanical methods, which rely
on vibration and sound recording, involve measuring the
25 movements of the body resulting from cardiac activity.
This means that the mass of the body is part of the
recording means. This is not desirable. Chest
movements, for example, are dependent upon chest shape,
and sound recording is dependent upon the amount of fat
30 and the condition of the lung tissue for its amplitude.
An accurate trace pattern is difficult to achieve and

these techniques are therefore of limited diagnostic value.

Electrical methods measure only the electrical field generated by the heart. This cannot provide a direct
5 measure of the cardiac forces generated by the heart and therefore these methods are incapable of evaluating the heart's function as a pump.

Imaging techniques have limited ability to evaluate the force of the heart's contraction.

10 Thus none of the above methods is capable of measuring the force of the heart's contraction. As a result the evaluation of the condition of the myocardium is not possible. Heart attack risk cannot be determined by any known non-invasive method. A patient may be
15 diagnosed as normal and yet die of a heart attack shortly after the diagnosis.

Relevant literature includes the following text books, Clinical Phonocardiography and External Pulse recording by Morton E. Tavel, 1978 Yearbook, Medical
20 Publishing Inc.; Non-Invasive Diagnostic Techniques in Cardiology by Alberto Benchimol, 1977, The Williams and Wilkins Co.; and Cardiovascular Dynamics by Robert F. Rushmer, 1961, W.B. Saunders Company.

Rushmer first postulated that acceleration and
25 deceleration of the various structures of the heart and blood explain heart sounds as well as their modifications with changing dynamic conditions. As acceleration is a function of force, the aortic blood acceleration is a manifestation of the force that sets the cardiac
30 structures in motion. Other forces originate from the pressure gradient between the aorta and the left ventricle, which acts over the closed semilunar valve.

The valve behaves like a circular, stretched membrane in which the thin, flexible leaflets can be stretched in all directions by the differential aorta - ventricular pressure. The energy of the rapid ejection phase of the left ventricle expands the aorta and the stored energy is in direct relationship to its wall elasticity.

Measurement of the amplitude of the wave created after the maximum ejection rate, is a measure of the elasticity of the wall of the aorta. The elasticity of the aortic valve can also be measured by measuring the amplitude of the wave created after the valve is closed. The most sensitive indicators of performance are the rates of change of momentum as indicated by changes in velocity of the blood and heart mass. This acceleration is directly indicative of myocardial contractility which is one of the most difficult parameters to measure. In 1964 Rushmer established a direct relationship between the initial ventricular impulse and the peak flow acceleration during the systolic ejection - see Circulation - Volume 29: 268-283 1964.

SUMMARY OF THE INVENTION

25

The present invention seeks to measure the change in momentum as indicated by change in velocity of the blood and heart mass. It enables accurate determination of the acceleration that is directly indicative of myocardial contractility. The present invention records the acceleration of the heart mass and the main blood vessels directly, unlike existing methods which record whole body movement, chest movement or other body parts. These are

30

considered unreliable because of anatomical variations and inertial forces.

5

In a first aspect the present invention an apparatus to assess cardiac function in a human, the apparatus comprising:

10 a mounting strut to extend across the front of the neck of the human;

an accelerometer mounted on said strut to be positionable over the thyroid cartilage in said neck to detect the response of said thyroid cartilage to heart function and generate a signal indicative of said
15 response;

mounting means extendable about the back of the neck of the human to retain said accelerometer on said neck; and

20 a signal processing unit to receive the signal from the accelerometer and generate a waveform signal characteristic of the heart function for assessment by a user.

In a preferred embodiment the apparatus has a
25 piezoelectric accelerometer and is in combination with circuitry to produce a waveform characteristic of cardiac function. The waveform can be displayed.

The invention also provides a method of determining
30 cardiac function comprising the steps of:

locating sensing means on a mounting strut extending across the front of the neck of a patient at the patient's thyroid cartilage and against the trachea, the

4a

mounting strut being held in place by mounting means extendable about the back of the neck;

5 sensing the response of the thyroid cartilage and trachea to heart function with the sensing means with the patient's head inclined forwardly;

 generating a signal indicative of said response;

 processing the signal to generate a displayed

10 waveform signal characteristic of the heart function; and

 assessing the waveform signal to determine the heart function.

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BRIEF DESCRIPTION OF THE DRAWINGS

The invention is illustrated in the drawings in which:

Figure 1 is a general view of a cardiac display monitor incorporating the present invention;

Figure 2 is a plan view of the apparatus according to the present invention in position on a human wearer;

Figure 2a is a cross-section through the accelerometer and supporting structure;

Figure 2b illustrates a frictional clamp useful in the apparatus of Figure 2;

Figure 2c illustrates a detail of the present invention;

Figure 3 illustrates the positioning of the apparatus against the thyroid cartilage;

Figure 4 is a schematic showing the heart monitoring circuitry; and

Figure 5 shows various waveforms typical of normal and abnormal hearts.

DESCRIPTION OF PREFERRED EMBODIMENTS

Figure 1 shows a storage case 10 having compartments that can be used to store apparatus that will interpret and display signals from the apparatus of Figure 2. The apparatus includes a compartment 12 for the storage of an accelerometer, a compartment 14 to hold ECG leads and a central compartment 16 to hold the cardiac display monitor having a display screen 18 and various switches 20a, 20b, 20c and 20d to enable switching between the various modes of operation of the apparatus according to the present invention.

Figure 2 shows the apparatus in place on a wearer. The wearer's neck 22 and thyroid cartilage 24 attached to the trachea, are shown. Figure 2 shows a mounting strut

26 to extend across the front of the neck 22. There is an accelerometer 28 mounted on the strut 26 over the thyroid cartilage 24. As best shown in Figure 2a, the strut 26 is provided with a central housing 30 that
5 receives the accelerometer 28. The accelerometer may be glued in place. A co-axial cable 29 extends from it.

There is a releasible mount 32 to contact the back of the neck 22. Elastic members 34 extend between the mounting strut 26 and the releasible mount 32 to hold the
10 apparatus in place. As shown in Figure 2 the elastic members 34 do not contact the sides of the neck.

The elastic members engage the struts at housings 36, one at each end of strut 26. As shown in Figure 2c each member 34 has a bead 38, for example of copper, at
15 its end. This bead 38 engages a recess 40 in housing 36. The member 34 fits in a slit 41 in the housing 36.

Releasable mount 32 comprises two straps 42 that can be releasably engaged, for example they can be hook and eye fastener strips. Each strap 42 has a clamp 44 at one
20 end. Clamp 44 has a lateral passageway 46, a longitudinal passageway 48 and is internally threaded (not shown). Screw 50 is received in passageway 48 and acts to clamp and release a member 43 as it is rotated.

Circuitry to enable operation of the device, in
25 particular to produce a waveform characteristic of cardiac function, is illustrated in Figure 4. Figure 4 shows the accelerometer 28 in its preferred embodiment of a piezoelectric accelerometer. There is an amplifier and power supply 52 (which may be separate) that receives
30 signals from, and sends power to, the accelerometer 28. The signal from the amplifier is fed to a digitizer 54 and the digitizer signal is fed to a processing unit 56.

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The processing unit 56 returns a signal to digitizer 54 and also sends a signal to a second digitizer 58.

The processing unit 56 also sends signals to a second amplifier 60 which, in turn, receives signals from leads 62, for example to the leg, right arm and left arm of the patient.

The processing unit 56 develops a signal which is sent to a display 64. If necessary the signal to the display 64 may be intercepted and forwarded to a recorder 66. There are mode keys, as also shown in Figure 1, 20a, 20b and 20c.

The processing unit 56 produces two basic modes of output for the display 64. The signals are generated by the input from the piezoelectric accelerometer 28 and the electrocardium leads 62.

The first mode of display is simultaneous graphical display of two signals in waveform or trace. These signals are obtained from the input transducers and are the acceleration waveform received from the input piezoelectric accelerometer and the electrocardiogram waveform input from the leads. These waveforms are displayed with an amplitude represented on the vertical axis. The time is on the horizontal axis. The waveforms are displayed synchronized, (as shown diagrammatically in Figure 4), such that at any particular time the values of each waveform will appear in the same vertical column on the display. Typically one or two heart beats will be present on the display.

The second mode of output displays a set of numbers calculated from the two input signals. Typically they will display:

(a) Heart rate

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- (b) Amplitude of maximum ejection rate
- (c) Time interval of maximum ejection
- (d) Amplitude of upper aortic volume change rate
- (e) Amplitude of semi-lunar valve accelerate
- 5 (f) Total time interval for ventricular systolic
- (g) Time interval from R-wave of E.C.G. to beginning
of maximum ejection rate
- (h) Time interval from R-wave of E.C.G. to closure
of aortic valve
- 10 (i) % Heart attack risk.

These numbers would typically be presented in a textual format and would be periodically calculated so as to reflect changes in heart function. The periodicity would, for example, be every heart beat or two.

15 Depending on the capability of the output display device used, both display modes may be present at the same time on the display, or the operator can depress button 20a to switch from one display mode to the other. The processing unit can automatically switch one display
20 mode to the other every few seconds without operator intervention. Button 20b enables the processing unit 56 to eliminate the higher frequencies received and include only the acceleration of the thyroid cartilage as a result of respiration. Button 20c eliminates the
25 respiratory low frequency events and thus provides a more stable baseline to record the cardiovascular events.

The processing unit continually accepts inputs from the amplified and periodically digitized accelerometer transducer and the amplitude and periodically digitized
30 E.C.G. signals. The processing unit 56 controls the gain of these signal amplifiers so that usable waveform information is input to the processing unit 56 for the waveform unit for the wave form or trace display in the first display.

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The information presented in the second mode display is a permanent record and may be retained using a recorder. In recording the dynamic heart forces the breath may be held at various phases of the breathing cycle and recordings made. This provide a valuable diagnostic aid. Records may also be obtained after hyperventilation of ambient air. Subsequently comparative records can be obtained with hyperventilation of air containing a known decrease in oxygen and increase in carbon dioxide. These comparisons can provide valuable information about physiological condition of a pulmonary and cardiac system.

Records obtained during large negative abdominal pressures as a result of forced inspiration with the nose pinched and the mouth closed cause a normal heart and lung to increase the amplitude of the maximum ejection rate and aortic valve acceleration. If high pulmonary resistance exists there will be little change in the amplitude from records taken with the nose and mouth open.

An appropriate piezoelectric accelerometer is one having a frequency response of 0.1 Hz to 700 Hz, a sensitivity (acceleration) of 50 mV/M/S², a resolution of 0.002 M/S², a power (constant current) of about 12 volts D.C. and 1 mA and a weight of about 3 grams.

The strut 26 should be light weight and is, for example, of aluminum. Strut 26 is desirably coated with a material having high co-efficient of friction and should have poor thermal conductivity.

To use the device according to the invention the accelerometer, contained in the housing 30, is placed on the thyroid cartilage, as shown particularly in Figure 3, against the trachea and beneath the soft tissue 23 of the

jaw. The housing 30 abuts the top or horizontal surface of the cartilage 24. Co-axial cable 29 extending from the accelerometer 28 is desirably glued onto the strut 26. The beads 38 of the elastic members 34 are inserted
5 through the housings 36 at the end of each strut 26 and pulled through slit 40 in the wall of the housing. The elastic members 34 are then pulled into and through the lateral passageways 46 of the clamps 44 located on the clamping means 32. Screws 50 are tightened to locate the
10 elastic members 34 in place. The elastic members 34 do not contact the neck at any point and are evenly positioned on either side of the neck 22. They are not so tensioned as to cause discomfort. This positioning allows placement of the accelerometer 28 in an
15 appropriate position on the thyroid cartilage 24 while retaining good contact with the trachea and the thyroid cartilage. The elastic members are desirably of small diameter so as not to produce any torque that would tend to move the accelerometer away from the thyroid
20 cartilage.

During the taking of measurements it is preferable to have the patient seated. However if the subject has a large abdomen a standing position may be preferred. If a prone position is required, a pillow of sufficient height
25 is provided to bend the head towards the chest. This bending is also essential in the sitting and standing position in order to free the trachea with the attached thyroid, to move easily, longitudinally of the body axis, in response to the acceleration and deceleration forces
30 generated by cardiac mass motion and blood ejection. Unless the head is bent towards the chest no useful record can be obtained. The movement also secures the apparatus in place by clamping it between the cartilage, the trachea and the soft tissue of the jaw - see Fig. 3.

It is possible, for example in the case of athletes to leave the apparatus positioned on the thyroid cartilage during exercise so that periodic examination of the display can take place quickly during exercise.

5 To discuss the results achieved, in the interest of brevity, only the events of ventricular systoli will be analyzed; presystolic events will be discounted. Further, for brevity, records obtained from patients with a variety of other cardiovascular abnormalities are
10 omitted. Sufficient examples of abnormal heart function will be illustrated to show the value of this method and apparatus in diagnosis. Traces obtained of heart forces are precise and repeatable.

These results are illustrated in Figure 5, lines A
15 to D. This Figure shows typical traces with a vertical line at the beginning of the accelerator curve of maximum ventricular ejection in order to best compare variation of pattern from the normal trace. The amplitude of the traces is displayed vertically while time is displayed
20 horizontally. Each peak has a main wave as follows. Wave 1 shows a maximum ventricular ejection rate; wave 2 shows the upper aortic volume change rate and wave 3 shows a semi-lunar valve acceleration.

Figure 5, line A shows a normal heart. The
25 amplitudes and time intervals are sampled for the general population. The means and standard deviation is determined. Patient values are then compared. The Z value is determined for the amplitude and time intervals. Any z value greater than one is considered abnormal. If
30 a trace after a stress test increases in amplitude as shown in trace of Figure 5, line B, without any basic deviation of the normal pattern shown in Figure 5, line A then the heart is normal in function. However the pattern changes dramatically, with a complete

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breakdown of periodicity and decrease in wave amplitude, then there exists a serious decrease of heart function.

The invention permits the determination of a heart attack risk. Heart attack risk ratio is determined by maximum ejection amplitude in millimeters by the maximum ejection time interval in milliseconds. The mean and standard deviation is then determined from a random sample of the population. The patient's heart attack ratio is also determined and a Z score determined according to the equation:

$$Z_i = \frac{X_i - X}{S.T.D.}$$

where S.T.D. is standard deviation.

The risk of heart attack is determined from the following table:

<u>Z score</u>	<u>%Heart Attack Risk</u>
1	25%
2	50%
3	75%
4	100%

Other waveform processing can be obtained using easily available software programs. The programs consist of such mathematical techniques as differentiation, integration, signal averaging and signal comparison. To distinguish normal pathological waveform further differentiation of the acceleration waveforms can provide a clear difference.

Although the present invention has been described in some detail by way of illustration and example for purposes of clarity and understanding, it will be readily apparent to those of ordinary skill in the art in light of the teachings of this invention that certain changes

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and modifications may be made thereto without departing from the spirit or scope of the appended claims.

The embodiments of the invention in which an exclusive property or privilege is claimed are defined as follows:

- 5 1. An apparatus to assess cardiac function in a human, the apparatus comprising:
- a mounting strut to extend across the front of the neck of the human;
- an accelerometer mounted on said strut to be
10 positionable over the thyroid cartilage in said neck to detect the response of said thyroid cartilage to heart function and generate a signal indicative of said response;
- mounting means extendable about the back of the neck
15 of the human to retain said accelerometer on said neck;
 and
- a signal processing unit to receive the signal from the accelerometer and generate a waveform signal characteristic of the heart function for assessment by a
20 user.
2. The apparatus as claimed in claim 1 in which the mounting strut has a central housing to receive the accelerometer.
- 25 3. The apparatus as claimed in claim 1 in which the mounting strut has a housing, proximate each end, to receive said mounting means.
- 30 4. The apparatus as claimed in claim 3 in which the mounting means are elastic.

5. The apparatus as claimed in claim 4 in which the mounting means comprise an elastic member extending from each of the housings on said mounting strut to fit around the back of the neck of a wearer.

5

6. The apparatus as claimed in claim 5 in which the mounting means include strap members to contact the back of said neck, the strap members including fastening means to permit releasable attachment to each other.

10

7. The apparatus as claimed in claim 6 in which there is a clamp at the end of each strap member to hold the elastic members.

15

8. The apparatus as claimed in claim 5 in which each elastic member has a bead;

each housing having an opening and a slot;

each elastic member fitting in a corresponding one of the slots and the bead in a corresponding one of the openings of the housings to retain the elastic member in the housing.

20

9. The apparatus as claimed in claim 6 in which the fastening means comprises a hook and eye fastener.

25

10. The apparatus as claimed in claim 7 in which each clamp comprises:

a housing having a lateral passageway to receive one of the elastic members;

30

an internally threaded, longitudinal passageway;

a compressing screw to engage said longitudinal passageway and to compress the elastic member in the lateral passageway to hold the elastic member in place.

11. The apparatus as claimed in claim 1 in which the accelerometer is a piezoelectric accelerometer.

5 12. The apparatus as claimed in claim 1 in which the signal processing unit includes;
a power source for the accelerometer;
an amplifier to amplify the signal from the accelerometer;
10 a digitizer to digitize the amplified signal;
a signal analysis unit to analyze the amplified signal and generate the waveform signal characteristic of the heart function; and
means to provide a display of the waveform signal.

15

13. The apparatus as claimed in claim 12 in which there is a recorder to record the waveform signal from the processing unit.

20

14. A method of determining cardiac function comprising the steps of:

25 locating sensing means on a mounting strut extending across the front of the neck of a patient at the patient's thyroid cartilage and against the trachea, the mounting strut being held in place by mounting means extendable about the back of the neck;

sensing the response of the thyroid cartilage and trachea to heart function with the sensing means with the patient's head inclined forwardly;

30

generating a signal indicative of said response;
processing the signal to generate a displayed waveform signal characteristic of the heart function; and

assessing the waveform signal to determine the heart function.

15. The method as claimed in claim 14 in which the
5 sensing step comprises detecting the response of the
thyroid cartilage and trachea by measuring the
acceleration, velocity or displacement of the thyroid
cartilage and trachea.

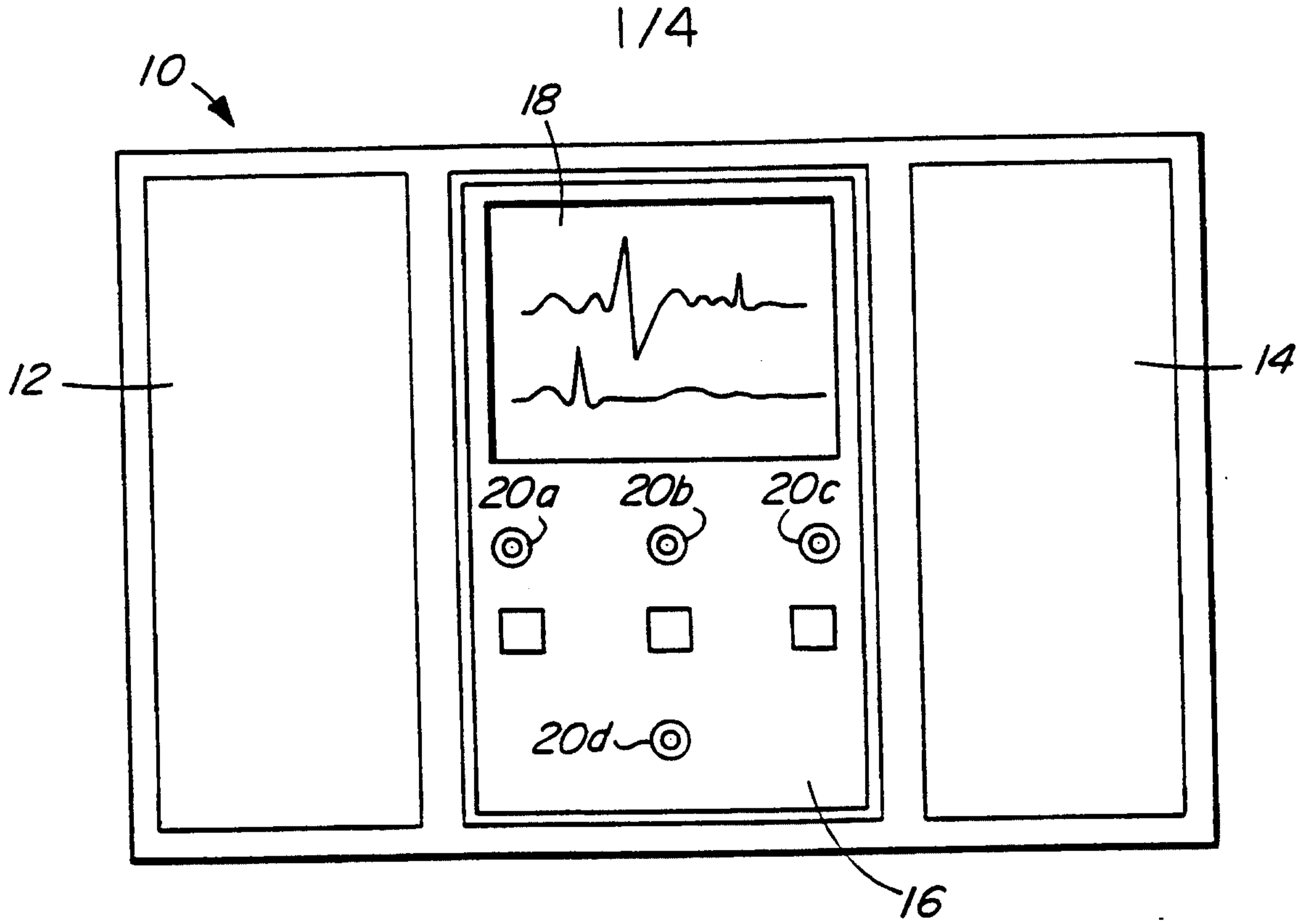


FIG. 1

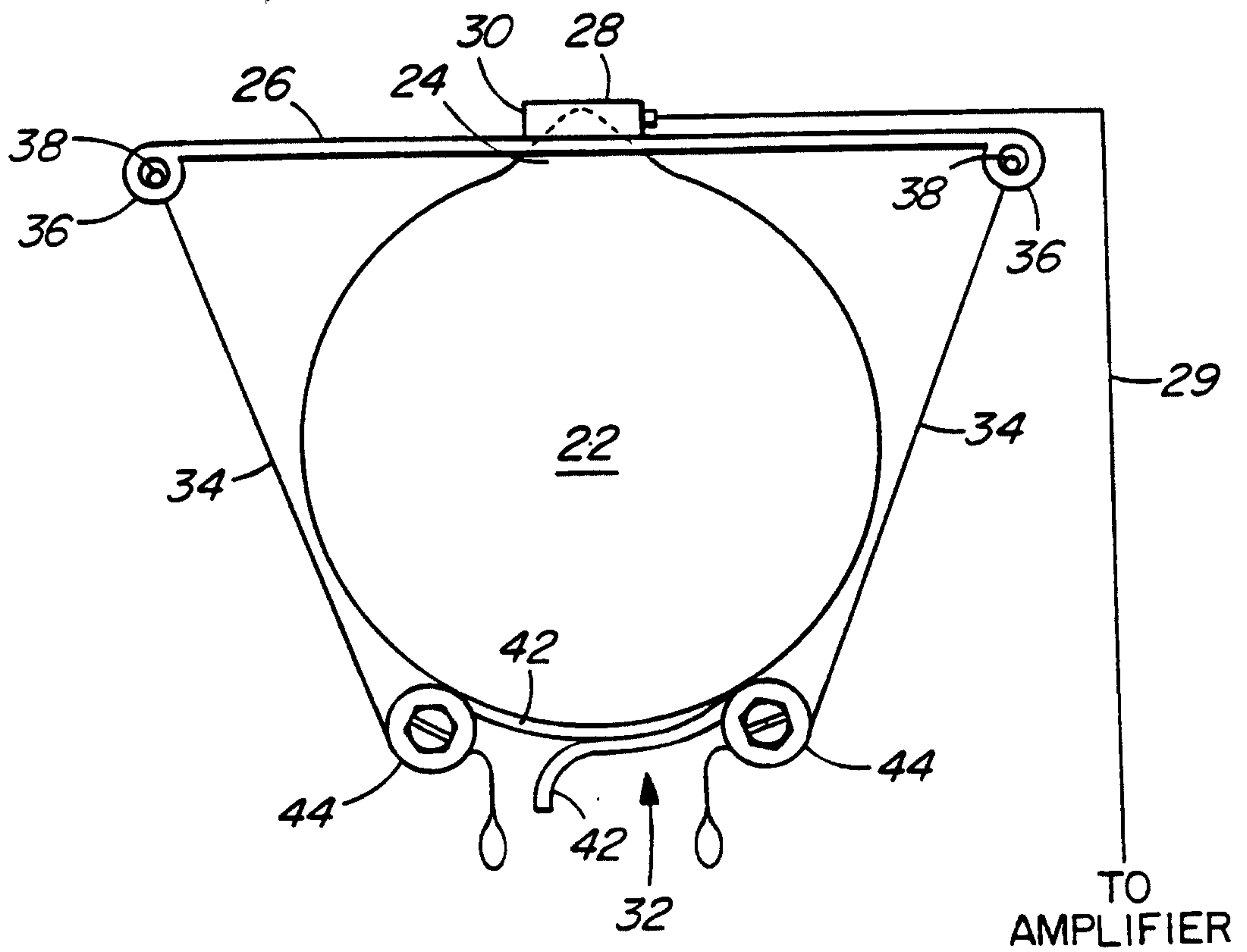


FIG. 2

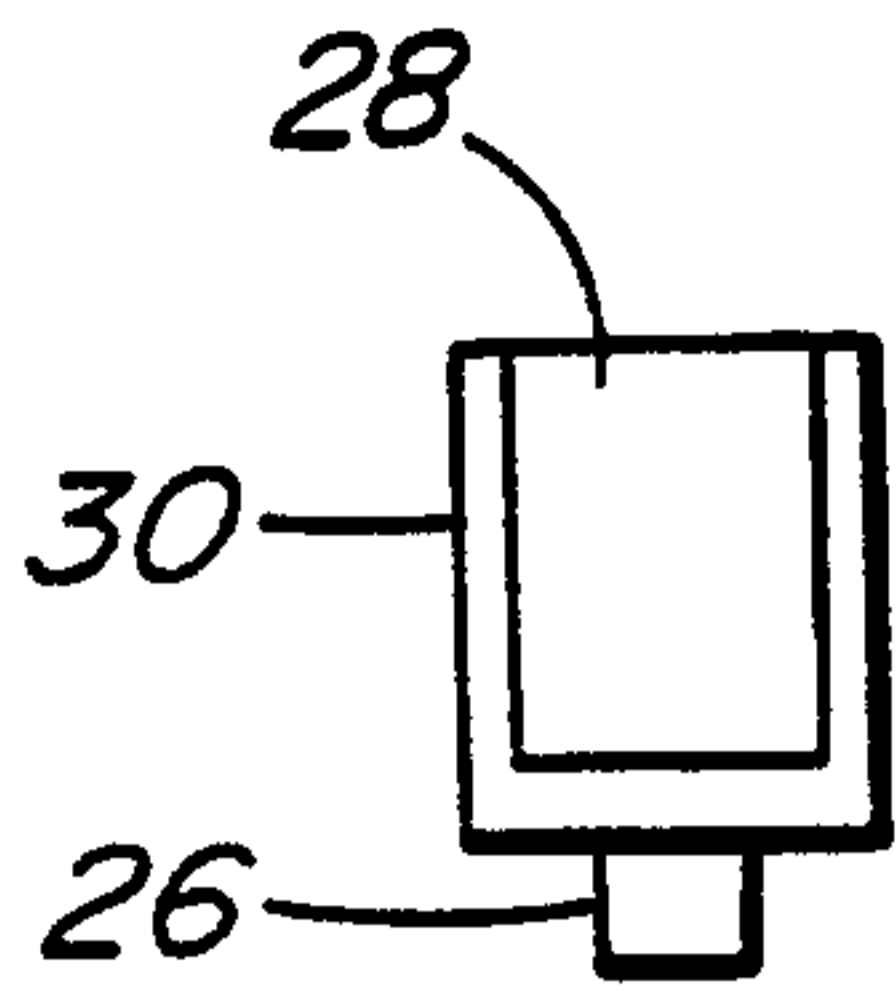


FIG. 2a

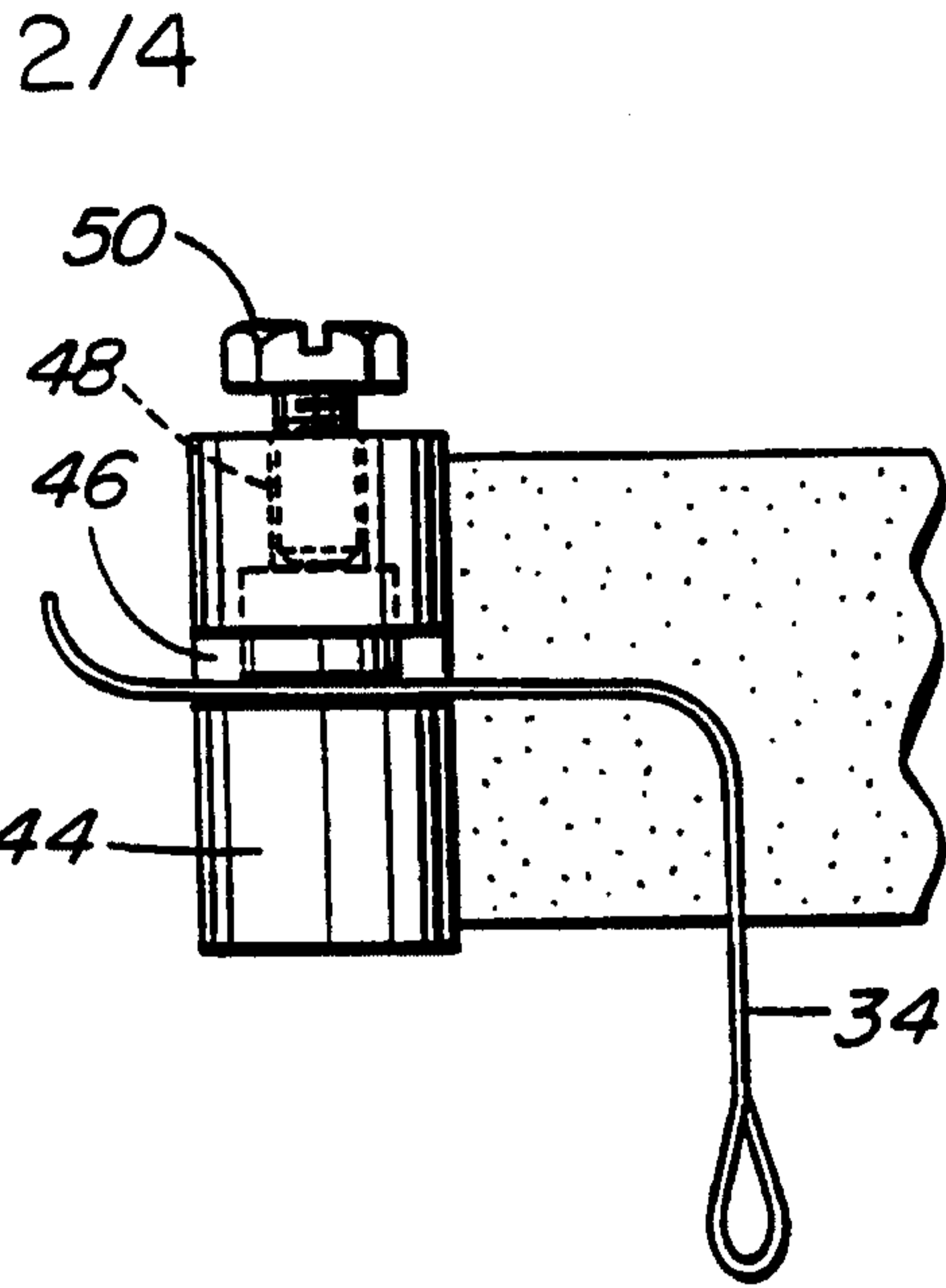


FIG. 2b

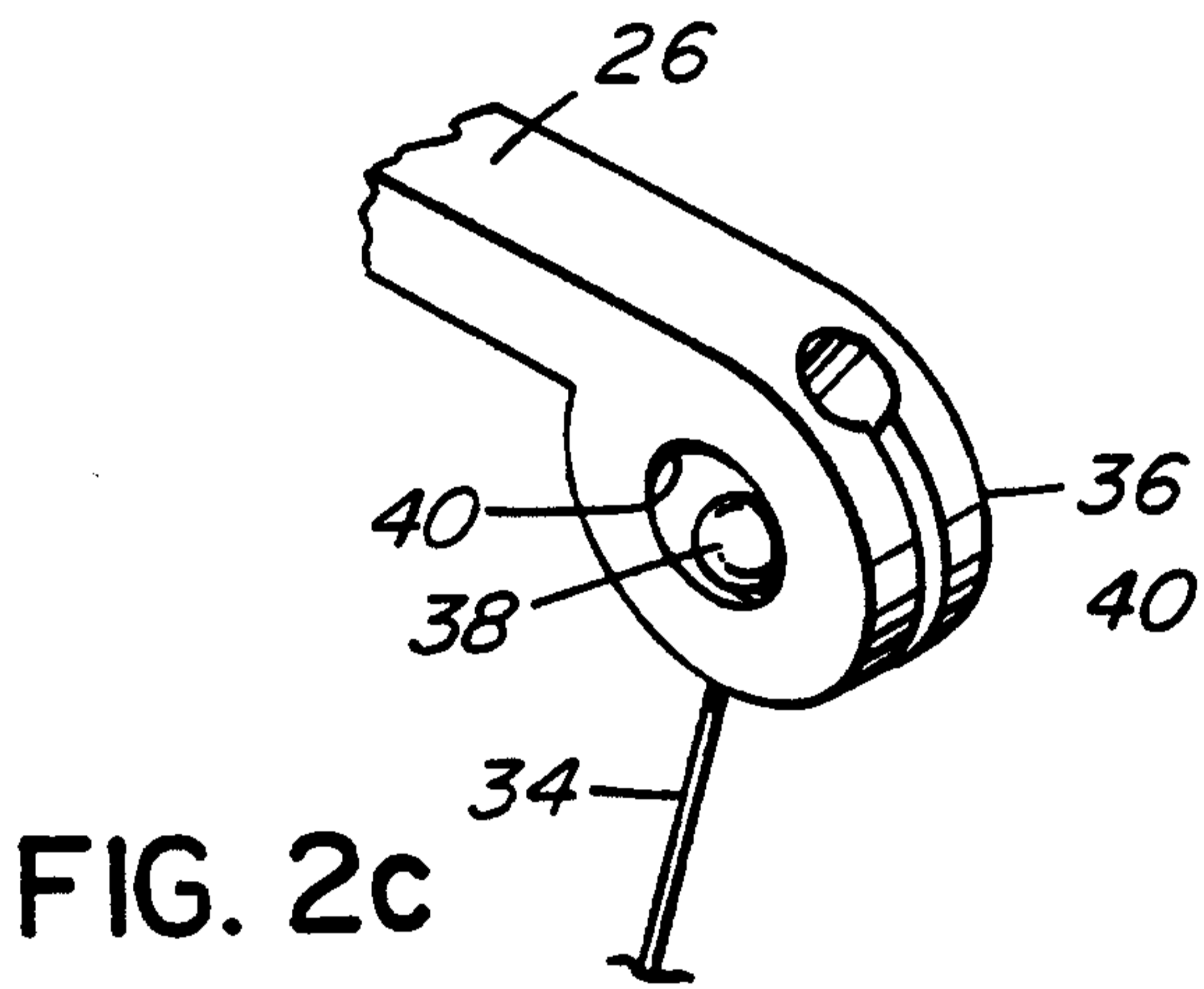


FIG. 2c

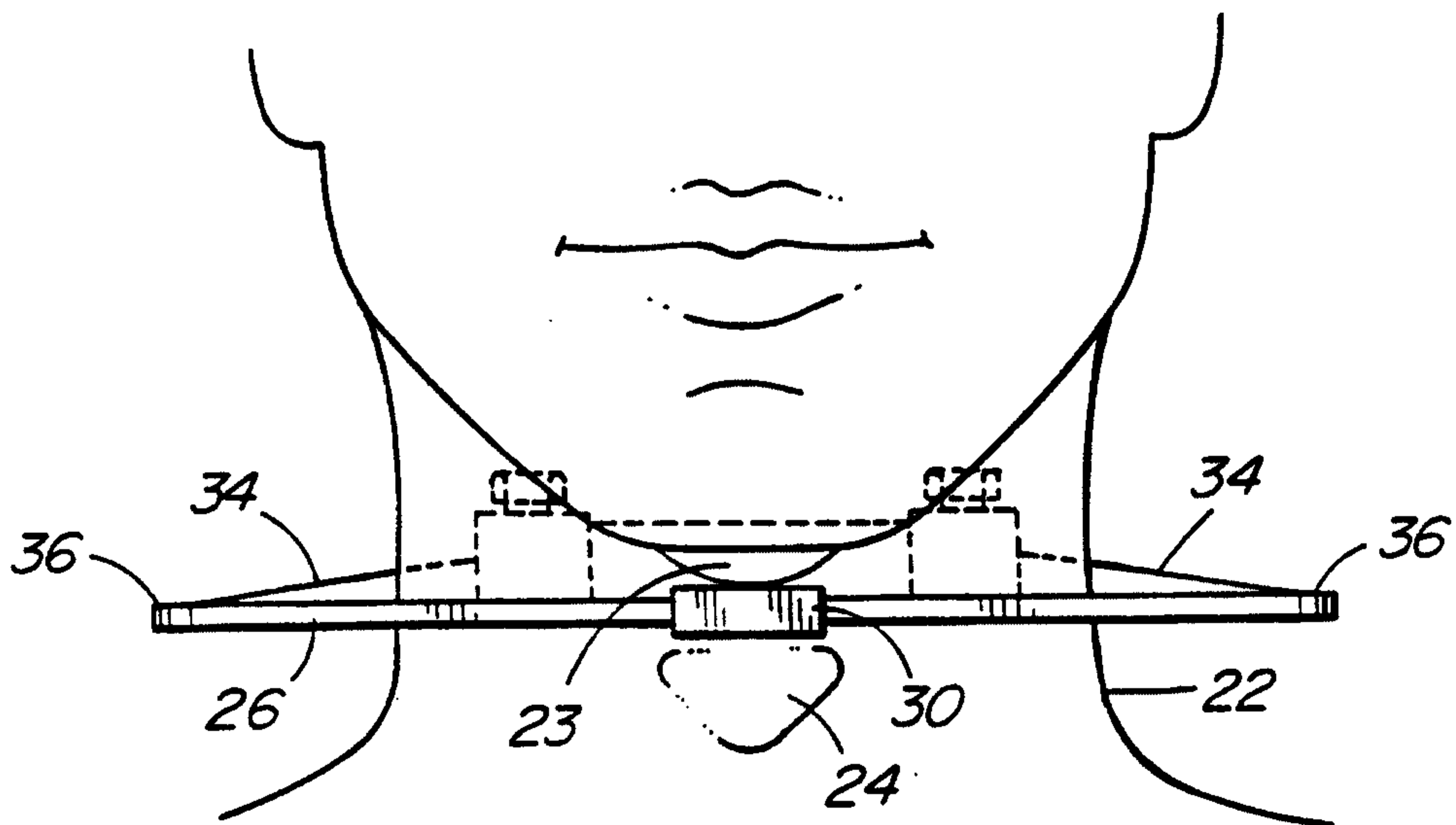


FIG. 3

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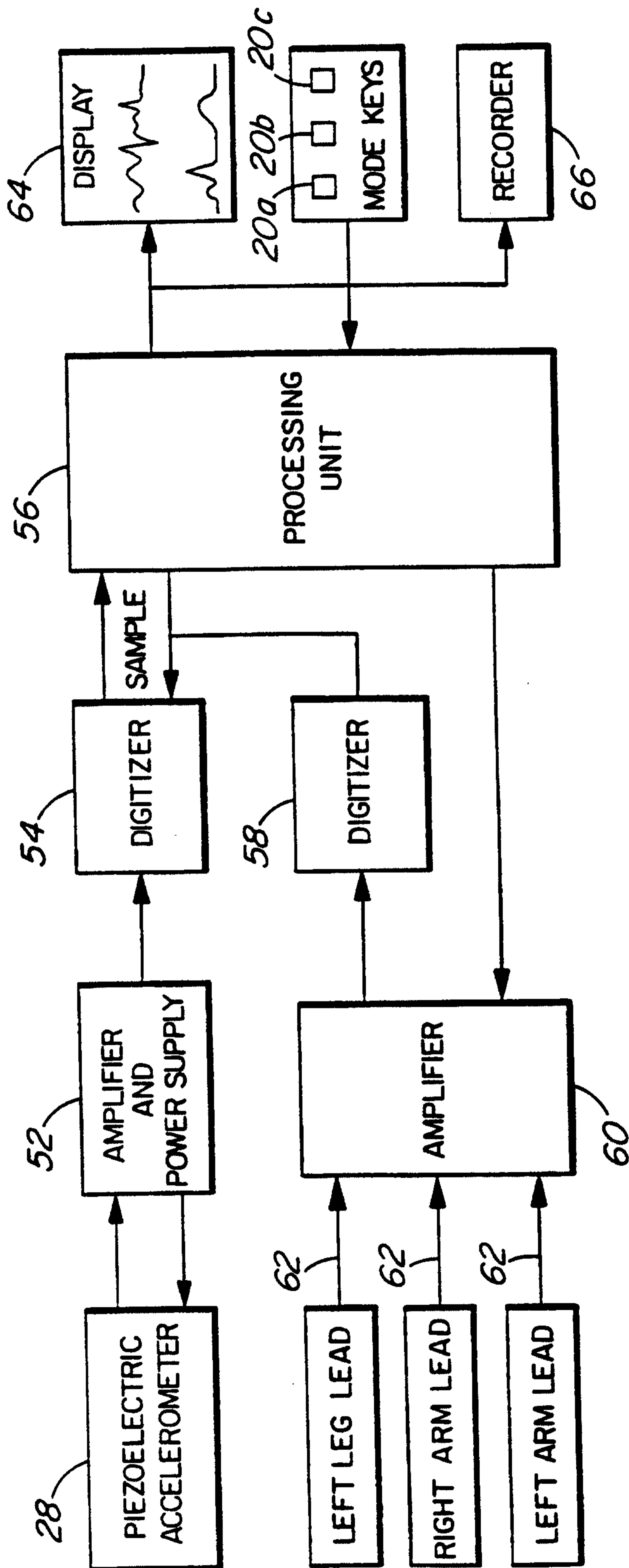


FIG. 4

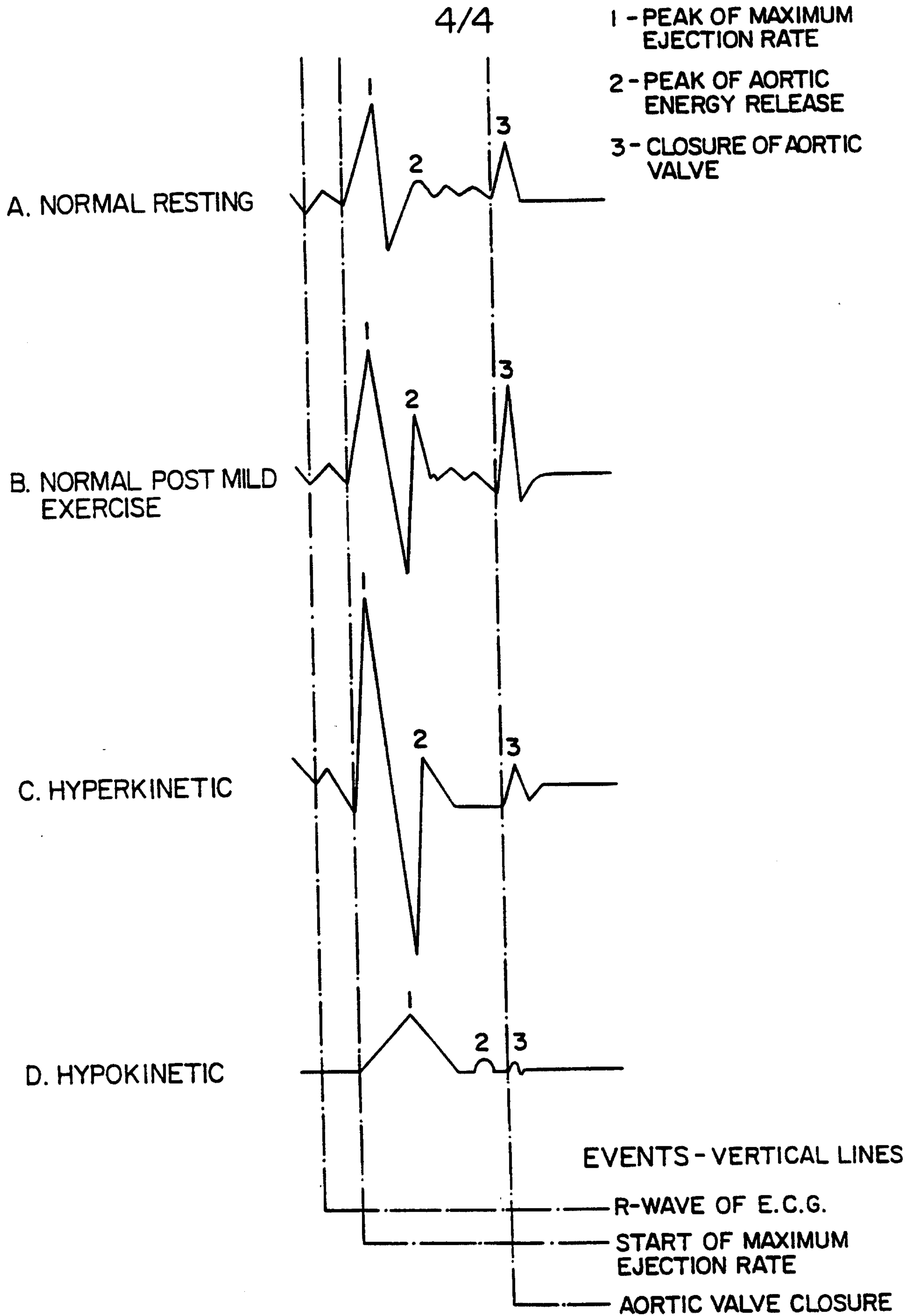


FIG. 5

SUBSTITUTE SHEET (RULE 26)

