

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
29 May 2008 (29.05.2008)

PCT

(10) International Publication Number
WO 2008/062329 A2

(51) International Patent Classification:

H04L 25/06 (2006.01)

(21) International Application Number:

PCT/IB2007/054002

(22) International Filing Date: 2 October 2007 (02.10.2007)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

06024438.1 24 November 2006 (24.11.2006) EP

(71) Applicant (for all designated States except US): **NXP B.V.** [NL/NL]; High Tech Campus 60, NL-5656 AG Eindhoven (NL).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **ECKERT, Sebastian** [DE/DE]; c/o NXP Semiconductors Austria GmbH, Intellectual Property Department, Gutheil-Schoder-Gasse 8-12, A-1102 Vienna (AT).

(74) Agents: **RÖGGLA, Harald** et al.; NXP Semiconductors Austria GmbH, Intellectual Property Department, Gutheil-Schoder-Gasse 8-12, A-1102 Vienna (AT).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

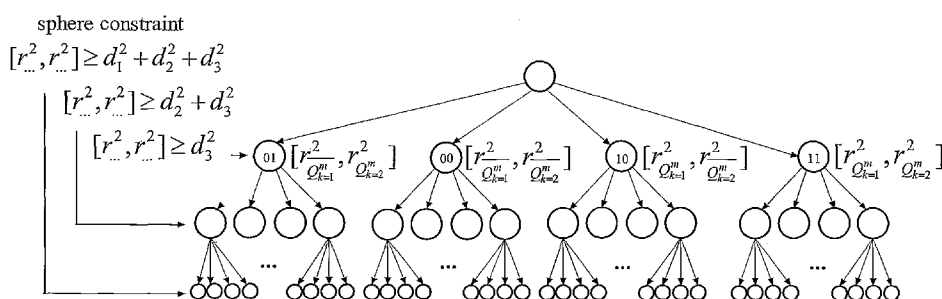
Declarations under Rule 4.17:

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

Published:

- without international search report and to be republished upon receipt of that report

(54) Title: METHOD AND ARRANGEMENT FOR GENERATING SOFT BIT INFORMATION IN A RECEIVER OF A MULTIPLE ANTENNA SYSTEM



(57) Abstract: The invention which relates to a method and to an arrangement for generating soft bit information in a receiver of a multiple antenna system is based on the object of reducing the calculation complexity for generating the soft bit information. In terms of the method, the object is achieved by virtue of the fact that the iterative deepening search for the M th antenna is carried out in two substeps, in which case, in the first substep, when the last element of s is not assigned to the m th antenna, s is rotated in such a manner that m is associated with the last element of s , that the channel matrix H is likewise rotated and QR decomposition of the channel matrix H is carried out, that, in the second substep, the iterative deepening search is carried out using a search radius in the form of a vector (Formula (I)); in which (Formula (II)) denotes the number of bit positions in the m th antenna, that the comparison (Formula (III)) $\geq d^2$ is carried out for a search radius vector (Formula (IV)) and the search radius is adapted by setting the vector element (Formula (IV)) $(\bullet\bullet\bullet)$ of the search vector (Formula (IV)) to the value of the Euclidean distance corresponding to the condition satisfied, that the iterative deepening search is carried out as long as at least one search radius (Formula (IV)) $(\bullet\bullet\bullet)$ from the radius vector (Formula (IV)) satisfies the condition (Formula (III)) $\geq d^2$ or the comparisons with all of the transmission symbols $s(m)$ of all N_{Tx} antennas have been carried out, that the soft bit information (Formula (V)) for the antenna m is output, and that the substeps of the method are run through again until all of the soft bit information (Formula (V)) has been determined for all N_{Tx} antennas.



WO 2008/062329 A2

Method and arrangement for generating soft bit information in a receiver of a multiple antenna system

5 The invention relates to a method for generating soft bit information in a receiver of a multiple antenna system, in which soft bit information ρ_k^m , where $k=(1, 2, \dots, N_{bits}^m)$, where N_{bits}^m specifies the number of bit positions in a symbol determined by the modulation and m specifies the number of a transmitting antenna, is formed from a reception vector y using a value set Q of possible transmission symbols.

10

The invention also relates to an arrangement for implementing the method for generating soft bit information in a receiver of a multiple antenna system.

In multiple input - multiple output communication systems (MIMO), the transmission model
 15 in the frequency domain can be described using an N_{Rx} dimensional reception vector y , where $y=[y_1, \dots, y_{N_{Rx}}]^T$, and an N_{Tx} dimensional transmission vector s , where $s=[s(1), \dots, s(N_{Tx})]^T$.

In this case, N_{Rx} is used to denote the number of receiving antennas used and N_{Tx} is used to
 20 denote the number of transmitting antennas used.

The parts of $s(m)$ which are contained in s thus correspond, for example, to the complex QAM symbol which is sent on the basis of QAM modulation Q^m which is used for a particular transmitting antenna m .

25

The channel matrix H with the complex elements $H(n,m)$ describes the transmission behavior between the transmitting and receiving antennas, where $H(n,m)$ represents the transfer function from the m th transmitting antenna to the n th receiving antenna. Therefore, H is an $N_{Rx} \times N_{Tx}$ matrix.

30

The system model can be described by

$$y = Hs + n$$

(1)

5

where $n = [n(1), \dots, n(N_{Rx})]^T$ is an N_{Rx} dimensional uncorrelated noise vector which takes into account the interference influence caused by the noise in each receiving antenna.

The prior art distinguishes between the methods for the hard decision and soft decision
10 decoding of the received information.

For a hard decision receiver concept, it is necessary to find those symbol vectors $s_{\min}$ which were transmitted with the highest degree of probability.

15 For this purpose, the Euclidean distances

$$d^2 = \|y - Hs\|^2$$

20

(2)

are taken into account, the symbol vector $s_{\min}$ sought being found by means of a minimum search within the values calculated for d^2 .

25

$$s_{\min} = \arg \min_{s \in Q} \left\{ \|y - Hs\|^2 \right\}$$

(3)

The notation $s \in Q$ is used to show that $s_{\min}$ is selected from the set of all possible symbol
30 vectors - the so-called set of symbols Q .

Consequently, the number of all possible symbol vectors $|\mathcal{Q}|$ is determined by the number of all possible QAM symbols $|\mathcal{Q}^m|$ for each transmitting antenna m using the following equation:

5

$$|\mathcal{Q}| = \prod_{m=0}^{N_{Tx}} |\mathcal{Q}^m|$$

(4)

10 As soon as the symbol vector $\mathbf{s}_{\min}$ has been determined, the received bits are determined in accordance with the method of a hard decision receiver and can thus assume only the value 0 or 1 in accordance with the binary pattern $b(m) = [b^m(1), \dots, b^m(N_{bits}^m)]$ for each element $\mathbf{s}_{\min}(m)$ of $\mathbf{s}_{\min}$.

15 In order to make full use of the resources of a channel decoder, for example a Viterbi decoder, the received bits should not have the values of 0 or 1 which are conventional in accordance with a hard decision.

When BPSK modulation is used, for example, a hard decision provides a 0 or 1 or -1 or 1 and thus a decision on the mathematical sign. In contrast, apart from the mathematical sign, a soft decision additionally provides information regarding the distance between the value which has been decided and the decision threshold.

It is thus more advantageous to calculate this so-called soft bit information ρ_k^m (log-likelihood ratio, LLR).

For the k th bit which is transmitted using the m th transmitting antenna, the value of the soft bit information can be given by

$$\rho_k^m = \min_{s \in Q_k^m} \{ \|y - Hs\|^2 \} - \min_{s \in \overline{Q_k^m}} \{ \|y - Hs\|^2 \}$$

(5)

- 5 In this equation (5), two selected partial value sets Q_k^m and $\overline{Q_k^m}$ are determined from a value set Q of possible transmission vectors $s \in Q$.

The partial value set Q_k^m comprises those possible transmission vectors $s \in Q_k^m$ whose respective element $s(m)$ is assigned a binary pattern $b(m)$ in such a manner that there is a 1 at
 10 a k th bit position of an m th transmitting antenna.

It is thus true for the complementary partial value set $\overline{Q_k^m}$ that it describes those possible transmission vectors $s \in \overline{Q_k^m}$ which are assigned a 0 at a k th bit position of an m th transmitting antenna.

15

The number of symbol vectors $|Q_k^m|$ and $|\overline{Q_k^m}|$ contained in Q_k^m and $\overline{Q_k^m}$ is thus

$$|Q_k^m| = |\overline{Q_k^m}| = \frac{1}{2} |Q|$$

20

(6)

An algorithm for sphere decoding is disclosed, for example, in "A new Reduced-Complexity Sphere Decoder For Multiple Antenna Systems", Albert M. Chan, Inkyu Lee, 2002 IEEE, "On the Sphere Decoding Algorithm I. Expected Complexity", B. Hassibi, H. Vikalo, IEEE
 25 Transactions on Signal Processing, vol. 53, no. 8, pp. 2806-2818, August 2005 and in "VLSI Implementation of MIMO Detection Using the Sphere Decoding Algorithm", A. Burg, M. Borgmann, M. Wenk, M. Zellweger, IEEE Journal of Solid State Circuits, vol. 40, no. 7, July 2005.

These methods according to the prior art can be used to solve equation (3), to determine the shortest Euclidean distance and thus to ascertain the symbol vector $s_{\min}$ which was transmitted with the greatest degree of probability.

5 These approaches are thus used for sphere decoding for a hard decision receiver concept.

For this purpose, QR decomposition of the channel matrix H is carried out, Q representing a Hermitian matrix, where $Q^{-1} = Q^T$, and R representing the upper triangular matrix.

10 Equation (2) can therefore be expressed by

$$\begin{aligned}
 d^2 &= \|y - Hs\|^2 = \|y - QRs\|^2 \\
 &= \|Q(\hat{y} - Rs)\|^2 \\
 &= \|\hat{y} - Rs\|^2
 \end{aligned}
 \tag{7}$$

15

where

$$\hat{y} = Q^{-1}y$$

20

(8)

Since the matrix Q represents only a Hermitian rotation matrix, it can be ignored for the distance calculation. On account of the triangular structure of the R matrix in the upper region, the Euclidean distance d^2 can be divided, in accordance with equation (7) and within
 25 the number of transmitting antennas N_{Tx} , into Euclidean partial distances d_m^2 which can be represented in a tree structure.

Such a search tree comprises a number of levels corresponding to the number of transmitting antennas N_{Tx} , each level l being assigned a corresponding transmitting antenna m using $l =$
 30 $N_{Tx} - m + 1$. Subsequent nodes which correspond to the possible QAM symbols $s(m) \in Q^m$

from the set of symbols are inserted on each level l , the number of subsequent nodes $|Q^m|$ being prescribed by the type of modulation in a transmitting antenna m .

Figure 1 illustrates an example with $N_{Tx} = 3$, $N_{Rx} = 3$ and QPSK type of modulation for all transmitting antennas. This shows that the QAM symbols $s(3)$ are on the uppermost level, while the elements $s(1)$ are arranged on the lowermost level of the tree structure.

It is furthermore assumed that the subsequent nodes which are assigned to the same predecessor node are arranged in such a manner that the corresponding Euclidean partial distances d_m^2 increase from left to right, as is likewise illustrated in figure 1.

$$\begin{aligned}
 d^2 &= \left\| \begin{pmatrix} \hat{y}(1) \\ \hat{y}(2) \\ \hat{y}(3) \end{pmatrix} - \begin{pmatrix} r(1,1) & r(1,2) & r(1,3) \\ 0 & r(2,2) & r(2,3) \\ 0 & 0 & r(3,3) \end{pmatrix} \begin{pmatrix} s(1) \\ s(2) \\ s(3) \end{pmatrix} \right\|^2 \rightarrow d_1^2 \\
 &\quad \rightarrow d_2^2 \\
 &\quad \rightarrow d_3^2 \\
 d^2 &= d_1^2 + d_2^2 + d_3^2
 \end{aligned} \tag{9}$$

In order to find the symbol vector $s_{\min}$ which was sent with the highest degree of probability and for which the Euclidean distance according to equation (7) is thus minimal, a maximum sphere radius $r_{\max}^2$ is selected in such a manner that the symbol vector $s_{\min}$ is reliably contained in said sphere radius.

Figure 2 shows an example of such a deepening search for $N_{Tx} = 3$, $N_{Rx} = 3$ and QPSK modulation for all transmitting antennas. The search pointer runs down through the tree structure to the lower level as long as the sphere constraints

$$\text{sphere constraint} = \begin{cases} r_{\max}^2 \geq d_3^2 & \text{for level } l = 1 \\ r_{\max}^2 \geq d_2^2 + d_3^2 & \text{for level } l = 2 \\ r_{\max}^2 \geq d_1^2 + d_2^2 + d_3^2 & \text{for level } l = 3 \end{cases} \tag{10}$$

are met for each level.

If the sphere constraint condition is no longer satisfied at a particular node of a level l , the next node arranged on the level l would likewise not satisfy the sphere constraint condition on account of the arrangement of the subsequent nodes and the search is continued at the level $l-1$. As soon as the search reaches the lowermost level, the maximum sphere radius $r_{\max}^2$

5 is updated in accordance with the formula

$$r_{\max}^2 = d_1^2 + d_2^2 + d_3^2 \quad (11)$$

10

and the symbol vector $s_{\min}$ is assumed in accordance with the search path.

According to the prior art, the method described below is known for a soft decision sphere decoder.

15

The number of bits sent using the m th transmitting antenna is given, for example, by N_{bits}^m . The total number of bits transmitted using all of the transmitting antennas is thus

20

$$N_{bits} = \sum_{m=1}^{N_{Tx}} N_{bits}^m \quad (12)$$

As shown in formula (5), two minimum Euclidean distances must be determined in order to calculate one soft bit ρ_k^m , with the result that, in total, $2*N_{bits}$ transmission vectors $s_{\min}$ must be found in order to be able to state all ρ_k^m .

25

For the abovementioned example with $N_{Tx} = 3$, $N_{Rx} = 3$ and QPSK modulation, this means, for example, that a total of 4 transmission vectors $s_{\min}$ according to (3), but with

$s_{\min} \in Q_1^1$, $s_{\min} \in \overline{Q_1^1}$, $s_{\min} \in Q_2^1$ and $s_{\min} \in \overline{Q_2^1}$, have to be found in order to calculate ρ_k^m for

30

the first transmitting antenna $m=1$. 4 transmission vectors $s_{\min}$ likewise need to be

respectively determined for all further transmitting antennas, as shown in the following equation:

$$5 \quad \begin{pmatrix} \rho_1^1 & \rho_2^1 \\ \rho_1^2 & \rho_2^2 \\ \rho_1^3 & \rho_2^3 \end{pmatrix} \rightarrow s_{\min} \in \begin{pmatrix} Q_1^1 & \overline{Q_1^1} & Q_2^1 & \overline{Q_2^1} \\ Q_1^2 & \overline{Q_1^2} & Q_2^2 & \overline{Q_2^2} \\ Q_1^3 & \overline{Q_1^3} & Q_2^3 & \overline{Q_1^3} \end{pmatrix} \quad (13)$$

The sphere decoder (LSD, list sphere decoding) described in Approaching MIMO Channel Capacity With Soft Detection Based on Hard Sphere Decoding, Renqiu Wang, Georgios B. Giannakis, WCNC 2004/IEEE Communication Society is based on a hard decision sphere decoder for calculating the soft bits and for solving equation (5).

$N_{bits} + 1$ deepening search runs are required for this purpose, each deepening search being carried out with a search tree whose structure has been changed.

A transmission vector $s_{\min}$ is first of all determined using a hard decision decoder, as already described further above. Since this transmission vector is $s_{\min} \in Q$, it can also be associated with $s_{\min} \in Q_k^m$ and $s_{\min} \in \overline{Q_k^m}$, as shall be explained below for each antenna m and each bit k using an example.

If the transmission vector $s_{\min}$ is determined in accordance with equation (3), the next transmission vector, taking into account all possibilities for $s \in Q$, is, for example

$$25 \quad s_{\min} = \begin{pmatrix} s_{\min}(1) \\ s_{\min}(2) \\ s_{\min}(3) \end{pmatrix} = \begin{pmatrix} 1+j \\ 1-j \\ -1+j \end{pmatrix} \rightarrow \begin{pmatrix} b(1) \\ b(2) \\ b(3) \end{pmatrix} = \begin{pmatrix} 11 \\ 10 \\ 01 \end{pmatrix} \quad (14)$$

where $b(1)=[11]$ expresses the bit pattern associated with $s_{\min}(1)$.

The symbol vector $s_{\min}$ is thus likewise the next symbol vector for the following subsets:

$$s_{\min} \in \begin{pmatrix} Q_1^1 & Q_2^1 \\ Q_1^2 & Q_2^2 \\ \overline{Q_1^3} & \overline{Q_2^2} \end{pmatrix} \rightarrow 1 \text{ deepening search}$$

(15)

Therefore, for the example given, the next symbol vector $s_{\min}$ must still be found for the complementary subset:

$$s_{\min} \in \overline{Q_1^1}, s_{\min} \in \overline{Q_2^1}, s_{\min} \in \overline{Q_1^2}, s_{\min} \in Q_2^2, s_{\min} \in Q_1^3 \text{ and } s_{\min} \in \overline{Q_2^2}$$

$N_{bits} \text{ deepening searches}$

In addition to this initial first deepening search, further N_{bits} deepening searches must therefore subsequently be carried out. However, each further deepening search respectively requires a modification to the search tree illustrated in figure 2 in order to find the symbol vector $s_{\min} \in Q_k^m$ or $s_{\min} \in \overline{Q_k^m}$.

Since Q_k^m or $\overline{Q_k^m}$ each only has $\frac{1}{2}|Q|$ possible transmission symbol vectors s , the number of subsequent nodes on the l th level of the tree ($l = N_{Tx} - m + 1$) is respectively halved. One disadvantage of this solution according to the prior art is thus that this method requires $N_{bits} + 1$ deepening searches in order to calculate the soft bits for all of the bits sent using N_{Tx} transmitting antennas. A further disadvantage is that the individual searches are highly redundant since the search trees at least partially overlap.

The object of the invention is thus to specify a method and an arrangement for generating soft bit information in a receiver of a multiple antenna system, which is used to reduce the calculation complexity for generating the soft bit information.

In terms of the method, the object is achieved, according to the invention, by virtue of the fact that the iterative deepening search for the m th antenna is carried out in two substeps, in

which case, in the first substep, when the last element of s is not assigned to the m th antenna, s is rotated in such a manner that m is associated with the last element of s , that the channel matrix H is likewise rotated and QR decomposition of the channel matrix H is carried out, that, in the second substep, the iterative deepening search is carried out using a search radius

5 in the form of a vector $r_{\max}^2 = [r_{\max}^2(Q_1^m), r_{\max}^2(\overline{Q_1^m}), \dots, r_{\max}^2(Q_{N_{bits}^m}^m), r_{\max}^2(\overline{Q_{N_{bits}^m}^m})]$, in which N_{bits}^m denotes the number of bit positions in the m th antenna, that the comparison $[r_{\max}^2(\dots), r_{\max}^2(\dots)] \geq d^2$ is carried out for a search radius vector $r_{\max}^2$ and the search radius is adapted by setting the vector element $r_{\max}^2(\dots)$ of the search radius vector $r_{\max}^2$ to the value of the Euclidean distance corresponding to the condition satisfied, that the iterative deepening

10 search is carried out as long as at least one search radius $r_{\max}^2(\dots)$ from the radius vector $r_{\max}^2$ satisfies the condition $[r_{\max}^2(\dots), r_{\max}^2(\dots)] \geq d^2$ or the comparisons with all of the transmission symbols $s(m)$ of all N_{Tx} antennas have been carried out, that the soft bit information ρ_k^m for the antenna m is output, and that the substeps of the method are run through again until all of the soft bit information ρ_k^m has been determined for all N_{Tx} antennas.

15

In contrast to the prior art, the inventive iterative deepening search is carried out in two substeps.

20

The first substep checks whether the last element of s is assigned to the m th antenna. If this is the case, the method continues with the second substep.

The inventive deepening search preferably begins with the search for $s_{\min} \in Q_k^m$ or $s_{\min} \in \overline{Q_k^m}$ (for all k), m being the last element $s(N_{Tx})$ of the transmission vector s . Consequently, all soft bits ρ_k^m can be calculated for $m = N_{Tx}$ in accordance with the deepening search.

25

If the last element of s is not assigned to the m th transmitting antenna, s is rotated in such a manner that m is associated with the last element of s ; the channel matrix H is also concomitantly rotated in this case. QR decomposition of the channel matrix H is then required.

30

In the second substep, the actual iterative deepening search is then carried out using a search radius in the form of a vector $r_{\max}^2 = [r_{\max}^2(Q_1^m), r_{\max}^2(\overline{Q_1^m}), \dots, r_{\max}^2(Q_{N_{bits}^m}^m), r_{\max}^2(\overline{Q_{N_{bits}^m}^m})]$. This search radius vector $r_{\max}^2$ comprises a plurality of search radii $r_{\max}^2(\dots)$ which are each assigned to the individual deepening searches $s_{\min} \in Q_k^m$ and $s_{\min} \in \overline{Q_k^m}$ which are assigned to the

- 5 transmitting antenna $m = N_{Tx}$. During the deepening search, a total of N_{bits}^m individual search radii $r_{\max}^2(\dots)$ are taken from the radius vector $r_{\max}^2$ and combined to form a multiple sphere constraint. This multiple sphere constraint is always reformed during the deepening search as soon as the search reaches the uppermost level $l=1$ of the search tree. In this case, the bit pattern $b(m)$ assigned to a QAM symbol $s(m)$ on level $l=1$ is taken into account. The radius
- 10 $r_{\max}^2(Q_k^m)$ can then be selected for each of the elements of $b(m) = [b_1^m, b_2^m, \dots, b_{N_{bits}^m}^m]$, if $b_k^m = 1$, or $r_{\max}^2(\overline{Q_k^m})$ is selected if $b_k^m = 0$.

In this respect, figure 3 shows one example of the selection of the multiple sphere constraint $[r_{\max}^2(\dots), r_{\max}^2(\dots)]$ for $N_{Tx} = N_{Rx} = 3$ and QPSK.

15

The iterative deepening search is carried out according to the same scheme as the deepening search in figure 2. However, instead of an individual sphere constraint, use is now made of a multiple sphere constraint comprising a total of N_{bits}^m individual search radii. The Euclidean partial distances d_1^2, d_2^2 and d_3^2 likewise correspond to those from equation (9). However, so

20 that the deepening search shown in figure 3 can be continued downward, it is necessary to check the multiple sphere constraint which is met as soon as at least one element of the multiple sphere constraint $[r_{\max}^2(\dots), r_{\max}^2(\dots)]$ is $\geq d_1^2, d_1^2 + d_2^2$ or $d_1^2 + d_2^2 + d_3^2$, depending on the level l , as illustrated in figure 3. If none of the radii contained in the multiple sphere constraint satisfies the above requirement, the search must be continued further at level $l-1$.

25

At the lowest level $l = N_{Tx}$, all $r_{\max}^2(Q_k^m)$ or $r_{\max}^2(\overline{Q_k^m})$ from the selected sphere constraint may now be adapted according to equation (11) as long as it is actually a minimization of $r_{\max}^2(Q_k^m)$ or $r_{\max}^2(\overline{Q_k^m})$. In this case, the current symbol vector is also stored as the result of the search for $s_{\min} \in Q_k^m$ or $s_{\min} \in \overline{Q_k^m}$ in accordance with the search path.

If, during the further deepening search, the level $l=1$ is reached again, a new multiple sphere constraint is selected, as illustrated in figure 3.

The deepening search just described is continued until either the entire search tree has been passed through or the following termination criterion T has been satisfied. If the condition

- 5 $r_{\max}^2(Q_k^m) \geq d_3^2$ or $r_{\max}^2(\overline{Q_k^m}) \geq d_3^2$ is not satisfied for an individual radius from the multiple sphere constraint $[r_{\max}^2(\dots), r_{\max}^2(\dots)]$ at the level $l=1$, the search for $s_{\min} \in Q_k^m$ or $s_{\min} \in \overline{Q_k^m}$ can be considered to be concluded. The termination criterion T is consequently satisfied when all of the searches for $s_{\min} \in Q_k^m$ or $s_{\min} \in \overline{Q_k^m}$ have already been considered to be concluded.

10

All $s_{\min} \in Q_k^m$ or $s_{\min} \in \overline{Q_k^m}$ for all k but only for $m=N_{Tx}$ are output as the result of the deepening search just described. Consequently, all soft bits ρ_k^m for the transmitting antenna $m=N_{Tx}$ can also be calculated after the deepening search has been concluded.

- 15 In order to calculate the soft bits for $m \neq N_{Tx}$ and to carry out the corresponding search for $s_{\min}$, the transmission vector s must be rotated (Tx rotation) before the described deepening search. If, for example, all ρ_k^m are intended to be calculated for $m=N_{Tx}-1$, the elements of $s=[s(1), \dots, s(N_{Tx}-1), s(N_{Tx})]^T$ must be cyclically rotated once $s_{\text{rot}}=[s(N_{Tx}), s(1), \dots, s(N_{Tx}-1)]^T$ for this purpose such that the elements $s(N_{Tx}-1)$ can now be found at the last position
- 20 within s and thus at the uppermost level $l=1$ of the search tree. However, such a Tx rotation likewise entails a cyclic rotation of the column vectors of H , which thus signifies another QR decomposition. The following equation illustrates this method:

$$25 \quad d^2 = \underbrace{\left\| y - (h_1, h_2, h_3) \begin{pmatrix} s(1) \\ s(2) \\ s(3) \end{pmatrix} \right\|^2}_{\text{without Tx rotation in order to calculate } \rho_k^m \text{ for } m=3(m=N_{Tx})}} = \underbrace{\left\| y - (h_3, h_1, h_2) \begin{pmatrix} s(3) \\ s(1) \\ s(2) \end{pmatrix} \right\|^2}_{\text{with Tx rotation in order to calculate } \rho_k^m \text{ for } m=2(m=N_{Tx}-1)}} \quad (16)$$

If such a Tx rotation including another QR decomposition of the channel matrix H is therefore carried out, the deepening search described can be used to calculate all further soft bits for $m = N_{Tx} - 1$, for example.

- 5 The method with its substeps is run through again until the soft bit information ρ_k^m has been determined and output for all N_{Tx} transmitting antennas.

In this respect, figure 4 shows a suitable arrangement. In said figure, r is called the reception vector y and $\hat{y} = Q^{-1} \cdot r$. In addition, H^0 signifies the channel matrix which has not been
 10 rotated and is used as a basis for calculating ρ_k^m for $m = N_{Tx}$, whereas $H^{N_{Tx}-1}$ denotes that version of the channel matrix H which has been cyclically rotated ($N_{Tx} - 1$) times and can be used to determine ρ_k^m for $m = 1$.

- One embodiment of the invention provides for the iterative deepening search to be terminated
 15 before all of the soft bit information ρ_k^m is determined for all N_{Tx} antennas.

Another embodiment of the invention provides for the iterative deepening search to be terminated after a prescribed number of search steps has been reached.

- 20 For example, prescribing a number of search steps makes it possible for the inventive method for generating soft bit information to be possibly terminated prematurely, that is to say not all of the search steps needed to search a search tree completely are implemented. If the method has not already been terminated before the prescribed number of search steps because, for example, the soft bit information has already been determined and output, the deepening
 25 search is terminated when the prescribed number of search steps is reached. On the one hand, this speeds up the search method but it may result in a greater degree of inaccuracy of the soft bit information as a result of premature termination. Other criteria for prematurely terminating the search method are likewise possible.

- 30 In terms of the arrangement, the object is achieved, according to the invention, by virtue of the fact that a QR decomposition arrangement for decomposing the QR matrix is connected downstream of a Tx rotation arrangement which is intended to rotate s and H and has an

input for an input signal y , that an output of the QR decomposition arrangement is connected to an input of a deepening search arrangement, that the deepening search arrangement has two outputs which are intended to output $s_{\min} \in Q_k^{m=N_{Tx}}$ and $s_{\min} \in \overline{Q_k^{m=N_{Tx}}}$ and are each connected to an input of a summing arrangement, and that the summing arrangement has an output for outputting an item of soft bit information ρ_k^m .

The vector s , and thus also the channel matrix H , are rotated as required by the invention in the Tx rotation arrangement for rotating s and H . The requisite number of rotations is determined by the number of existing transmitting antennas N_{Tx} since a deepening search must be carried out for each existing transmitting antenna. In the QR decomposition arrangement which is connected downstream of the Tx rotation arrangement, the channel matrix H is decomposed into the Q matrix and the R matrix, as already described.

The iterative deepening search for an m th antenna is carried out in the downstream deepening search arrangement using the inventive search radius $r_{\max}^2$ in the form of a vector.

As a result of this deepening search, two minima, namely $s_{\min} \in Q_k^{m=N_{Tx}}$ and $s_{\min} \in \overline{Q_k^{m=N_{Tx}}}$, are output and are subtracted in the downstream summing arrangement in accordance with equation (5).

This difference is output as the soft bit information ρ_k^m of the m th antenna.

One particular embodiment of the invention provides for a plurality of series circuits comprising a QR decomposition arrangement, a deepening search arrangement and a summing arrangement to be connected downstream of the Tx rotation arrangement in a parallel manner.

This arrangement makes it possible to calculate the soft bits in a parallel manner, which makes it possible to speed up determination of the soft bit information ρ_k^m . In this case, it is possible to connect two or more such series circuits downstream of the Tx rotation arrangement. In one particular case, the number of series circuits corresponds to the number of transmitting antennas N_{Tx} .

The invention shall be explained in more detail below with reference to an exemplary embodiment. In the associated drawings:

5 fig. 1 shows a tree structure of a search tree from the prior art for an example with $N_{Tx} = 3$, $N_{Rx} = 3$ and QPSK modulation,

fig. 2 shows an example of an iterative deepening search using a sphere decoder from the prior art with $N_{Tx} = 3$, $N_{Rx} = 3$ and QPSK modulation,

10

fig. 3 shows an example of an inventive deepening search using the inventive search radius $r_{\max}^2$ in the form of a vector,

fig. 4 shows an inventive arrangement for implementing the method, and

15

fig. 5 shows an example of reducing the multiple sphere constraint condition while running through the method in accordance with the invention.

The following paragraph describes the inventive approach for a soft decision sphere decoder, which results in a significant reduction in the calculation complexity by introducing the
20 inventive search radius $r_{\max}^2$ in the form of a vector. This search radius vector $r_{\max}^2$ is used to implement a search with a plurality of search radii (multiple radius search). The method also rotates the reception vector y (Tx rotation).

25 Replacing the "single radius search" with a "multiple radius search" makes it possible for the sphere decoder to calculate all of the soft bits for a transmitting antenna $m = N_{Tx}$ by running through the method once. In order to calculate all of the soft bits of all further transmitting antennas $m \neq N_{Tx}$, a further inventive method step is used, the so-called "Tx rotation".

30 The inventive method for a soft decision sphere decoder likewise requires QR decomposition of the channel matrix H during a preparatory method step. This has already been represented

in equation (7). The search tree for the inventive solution is also comparable to that illustrated in figure 2 for a "hard decision sphere decoder".

Instead of a single maximum sphere radius $r_{\max}^2$, the inventive search radius

5

$$r_{\max}^2 = \left[r_{\max}^2(Q_1^m), r_{\max}^2(\overline{Q_1^m}), \dots, r_{\max}^2(Q_{N_{bits}^m}^m), r_{\max}^2(\overline{Q_{N_{bits}^m}^m}) \right] \quad (17)$$

10 in the form of a vector is used to determine the soft bit information ρ_k^m .

Each element $r_{\max}^2(Q_1^m)$ or $r_{\max}^2(\overline{Q_k^m})$ corresponds to the maximum sphere radius for the depth-oriented search method for determining $s_{\min} \in Q_k^m$ and $s_{\min} \in \overline{Q_k^m}$.

15 The depth-oriented search method for this method is carried out in the manner already described further above.

However, a first difference in the inventive method is that, in accordance with the bit pattern $b(N_{Tx})$ assigned to each element $s(N_{Tx})$ on the uppermost level of the tree structure, a

20 maximum of N_{bits}^m ($m=N_{Tx}$) individual elements are selected from the search radius vector $r_{\max}^2$ (a multiple sphere constraint) for the inventive deepening search and are combined to form a multiple sphere constraint.

The multiple sphere constraint condition of such a deepening search is then satisfied if at
25 least one element $r_{\max}^2(\dots)$ from the multiple sphere constraint condition satisfies the inequality according to equation (10) or, in other words, the multiple sphere constraint condition is not satisfied if none of its elements $r_{\max}^2(\dots)$ satisfies equation (10).

Therefore, the deepening search runs through the tree structure from the top downward as
30 long as at least one element $r_{\max}^2(\dots)$ from the multiple sphere constraint condition satisfies equation (10) and does not exceed the corresponding partial Euclidean distances.

Accordingly, the search pointer moves down one level when the multiple sphere constraint condition has been satisfied.

- 5 If the multiple sphere constraint condition cannot be satisfied, the search is continued further one level up.

The deepening search using the radius vector $r_{\max}^2$ is thus used to find $s_{\min} \in Q_k^m$ and $s_{\min} \in \overline{Q_k^m}$ by means of the method for generating soft bit information.

10

Figure 3 illustrates this sequence using the example of $N_{Tx} = 3$, $N_{Rx} = 3$ and QPSK modulation for all transmitting antennas.

- During the inventive deepening search for finding individual $s_{\min} \in Q_k^m$ and $s_{\min} \in \overline{Q_k^m}$, it is possible to reduce the multiple sphere constraint condition $[r_{\max}^2(\dots), \dots, r_{\max}^2(\dots)]$.
- 15

- Figure 5 shows this behavior using the example of a 16-QAM type of modulation. When one of the elements $r_{\max}^2(Q_k^m)$ or $r_{\max}^2(\overline{Q_k^m})$ from the multiple sphere constraint condition cannot satisfy equation (10) at the uppermost level $l=1$ of the tree structure, the respective search for $s_{\min} \in Q_k^m$ or $s_{\min} \in \overline{Q_k^m}$ can be considered to be concluded and $r_{\max}^2(Q_k^m)$ or $r_{\max}^2(\overline{Q_k^m})$ can be deleted from the multiple sphere constraint condition for the deepening search according to the method.
- 20

- The above example of figure 5 shows that $r_{\max}^2(\overline{Q_{k=1}^m}) \geq d_3^2$ cannot be satisfied. Assuming (already described in figure 1) that the elements are arranged in the search tree in such a manner that d_3^2 increase from left to right, an element $s(m) \in \overline{Q_{k=1}^m}$, which is arranged further to the right, can no longer reliably satisfy the above condition $r_{\max}^2(\overline{Q_{k=1}^m}) \geq d_3^2$ either.
- 25

- The multiple sphere constraint condition can thus be reduced by the individual radius $r_{\max}^2(\overline{Q_{k=1}^m})$ for all subsequent deepening search steps.
- 30

The number of elements in the multiple sphere constraint condition is thus reduced. It is obvious that the deepening search can then only be continued further as long as the multiple sphere constraint condition comprises at least one radius.

5

As soon as the deepening search using the search radius vector $r_{\max}^2$ has been concluded, all of the nearest symbol vectors $s_{\min} \in Q_k^m$ and $s_{\min} \in \overline{Q_k^m}$ for the last transmitting antenna $m = N_{Tx}$ are found.

- 10 The determination of the corresponding symbol vector $s_{\min}$ for the remaining transmitting antennas $m \neq N_{Tx}$ and the calculation of the corresponding soft bits ρ_k^m are carried out as described below.

As already explained, the introduction of a search using a multiple sphere constraint

- 15 condition means that all soft bit information ρ_k^m is calculated only for the transmitting antenna $m = N_{Tx}$.

In order to calculate the soft bit information ρ_k^m for an antenna $m \neq N_{Tx}$, the symbol vector s can be cyclically rotated, with the result that each element $s(m)$ respectively appears once at
20 the last position within s .

For the example with $N_{Tx} = 3$, $N_{Rx} = 3$, the Euclidean distance can be described in accordance with equation (2) as follows

$$25 \quad d^2 = \underbrace{\left\| y - (h_1, h_2, h_3) \begin{pmatrix} s(1) \\ s(2) \\ s(3) \end{pmatrix} \right\|^2}_{\text{without Tx rotation in order to calculate } \rho_k^m \text{ for } m=3(m=N_{Tx})}} = \underbrace{\left\| y - (h_3, h_1, h_2) \begin{pmatrix} s(3) \\ s(1) \\ s(2) \end{pmatrix} \right\|^2}_{\text{with Tx rotation in order to calculate } \rho_k^m \text{ for } m=2(m=N_{Tx}-1)}} = \underbrace{\left\| y - (h_2, h_3, h_1) \begin{pmatrix} s(2) \\ s(3) \\ s(1) \end{pmatrix} \right\|^2}_{\text{with Tx rotation in order to calculate } \rho_k^m \text{ for } m=1(m=N_{Tx}-2)}$$

where h_m is the column vector of the channel matrix H .

Equation (18) shows that, as a result of the rotation of s , each element $s(m)$ is at the last position in the vector s once and therefore appears on the uppermost level $l=1$ of the tree on which the search for the symbol vector $s_{\min} \in Q_k^m$ or $s_{\min} \in \overline{Q_k^m}$ is being carried out according to the invention.

5

However, it can also be seen that the rotation of s results in an equivalent rotation of the column vectors h_m of the channel matrix $H = [h_1, \dots, h_{N_{Tx}}]$.

QR decomposition of the rotated channel matrix $H^0, \dots, H^{N_{Tx}-1}$ thus provides different Q and R matrices for each rotation step.

10

In accordance with the method steps mentioned above, the inventive sphere decoder can be constructed as illustrated in figure 4.

15 In a first stage of a Tx rotation arrangement, the input channel matrix H is rotated according to equation (18). A separate QR decomposition is then carried out in the QR decomposition arrangement. The inventive deepening search using the radius vector $r_{\max}^2$ is individually carried out for each rotation step in the downstream deepening search arrangement.

20 The soft bit information ρ_k^m for the associated transmitting antenna m can thus be calculated according to equation (5) for each rotation step and then output.

Achieving the object in this manner requires N_{Tx} search runs in order to calculate all of the soft bit information ρ_k^m for all of the transmitting antennas.

25

If the complexity of the sphere decoder (LSD) disclosed in Approaching MIMO Channel Capacity With Soft Detection Based on Hard Sphere Decoding, Renqiu Wang, Georgios B. Giannakis, WCNC 2004/IEEE Communication Society is compared with the inventive solution, it is possible to determine that $N_{bits} + 1$ search runs with only one sphere constraint (search radius) are required for the LSD sphere decoder. That is to say, the complexity of a deepening search is even dependent on the respective QAM modulation used.

30

Even if a search using a radius vector $r_{\max}^2$ requires greater calculation complexity than a search with only one sphere constraint condition $r_{\max}^2$, it can be determined that the overall complexity of the inventive sphere decoder is lower than in the case of the solution which is known from the prior art and uses an LSD sphere decoder.

PATENT CLAIMS

1. A method for generating soft bit information in a receiver of a multiple antenna system, in which soft bit information ρ_k^m is formed from a reception vector y using a value set

$$5 \quad Q = \begin{pmatrix} Q^1 \\ Q^m \\ \dots \\ Q^{N_{Tx}} \end{pmatrix}, \text{ where } m=(1, 2, \dots, N_{Tx}) \text{ with the number of } N_{Tx} \text{ transmitting antennas, of}$$

possible transmission symbols Q^m , with division of the value set Q into partial value sets Q_k^m and $\overline{Q_k^m}$, the partial value set Q_k^m describing those possible transmission vectors $s \in Q$ which are assigned a 1 at a k th bit position of an m th transmitting

antenna, and the partial value set $\overline{Q_k^m}$ describing those possible transmission vectors

10 $s \in Q$ which are assigned a 0 at a k th bit position of an m th transmitting antenna,

where $k=(1, 2, \dots, N_{bits}^m)$, N_{bits}^m specifying the number of bit positions in a transmission symbol $s(m)$ determined by the modulation and m specifying the number of a transmitting antenna and k representing the k th bit of a possible transmission symbol of the m th antenna $s(m)$, where $s(m) \in Q^m$, and the soft bit information ρ_k^m is formed

15 according to $\rho_k^m = \min_{s \in Q_k^m} \{ \|y - Hs\|^2 \} - \min_{s \in \overline{Q_k^m}} \{ \|y - Hs\|^2 \}$ using a channel matrix H , which

describes the transmission channel between the transmitter and the receiver, and the transmission vector $s \in Q$ with the possible transmission symbols of the N_{Tx}

antennas as vector elements, the Euclidean distance being $d^2 = \|y - Hs\|^2$, the

minimum $\min_{s \in Q_k^m} \{ \|y - Hs\|^2 \}$ and the minimum $\min_{s \in \overline{Q_k^m}} \{ \|y - Hs\|^2 \}$ of the Euclidean

20 distances d^2 being determined using an iterative deepening search with QR

decomposition of the channel matrix H using a search radius $r_{\max}^2$ and with adaptation of the search radius $r_{\max}^2$, wherein the iterative deepening search for the m th antenna is

carried out in two substeps, in which case, in the first substep, when the last element of s is not assigned to the m th antenna, s is rotated in such a manner that m is

25 associated with the last element of s , wherein the channel matrix H is likewise rotated and QR decomposition of the channel matrix H is carried out, wherein, in the second

substep, the iterative deepening search is carried out using a search radius in the form of a vector $r_{\max}^2 = [r_{\max}^2(Q_1^m), r_{\max}^2(\overline{Q_1^m}), \dots, r_{\max}^2(Q_{N_{bits}^m}^m), r_{\max}^2(\overline{Q_{N_{bits}^m}^m})]$, in which N_{bits}^m

denotes the number of bit positions in the m th antenna, wherein the comparison

$[r_{\max}^2(\dots), r_{\max}^2(\dots)] \geq d^2$ is carried out for a search radius vector $r_{\max}^2$ and the search

radius is adapted by setting the vector element $r_{\max}^2(\dots)$ of the search radius vector

$r_{\max}^2$ to the value of the Euclidean distance corresponding to the condition satisfied,

wherein the iterative deepening search is carried out as long as at least one search

radius $r_{\max}^2(\dots)$ from the radius vector $r_{\max}^2$ satisfies the condition

$[r_{\max}^2(\dots), r_{\max}^2(\dots)] \geq d^2$ or the comparisons with all of the transmission symbols $s(m)$

of all N_{Tx} antennas have been carried out, wherein the soft bit information ρ_k^m for the

antenna m is output, and wherein the substeps of the method are run through again

until all of the soft bit information ρ_k^m has been determined for all N_{Tx} antennas.

2. The method as claimed in claim 1, wherein the iterative deepening search is terminated

before all of the soft bit information ρ_k^m is determined for all N_{Tx} antennas.

3. The method as claimed in claim 2, wherein the iterative deepening search is terminated

after a prescribed number of search steps has been reached.

4. An arrangement for generating soft bit information in a receiver of a multiple antenna

system, wherein a QR decomposition arrangement for decomposing a QR matrix is

connected downstream of a Tx rotation arrangement which is intended to rotate s and

H and has an input for an input signal y , wherein an output of the QR decomposition

arrangement is connected to an input of a deepening search arrangement, wherein the

deepening search arrangement has two outputs which are intended to output

$s_{\min} \in Q_k^{m=N_{Tx}}$ and $\overline{s_{\min}} \in \overline{Q_k^{m=N_{Tx}}}$ and are each connected to an input of a summing

arrangement, and wherein the summing arrangement has an output for outputting an

item of soft bit information ρ_k^m .

5. The arrangement as claimed in claim 4, wherein a plurality of series circuits comprising a QR decomposition arrangement, a deepening search arrangement and a

summing arrangement are connected downstream of the Tx rotation arrangement in a parallel manner.

Fig. 1

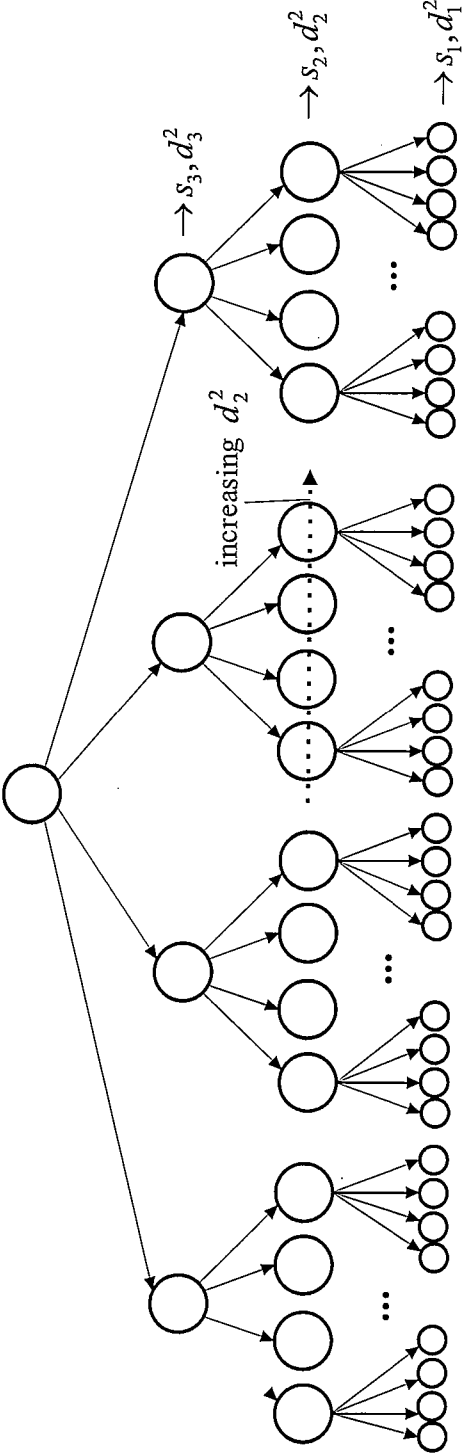
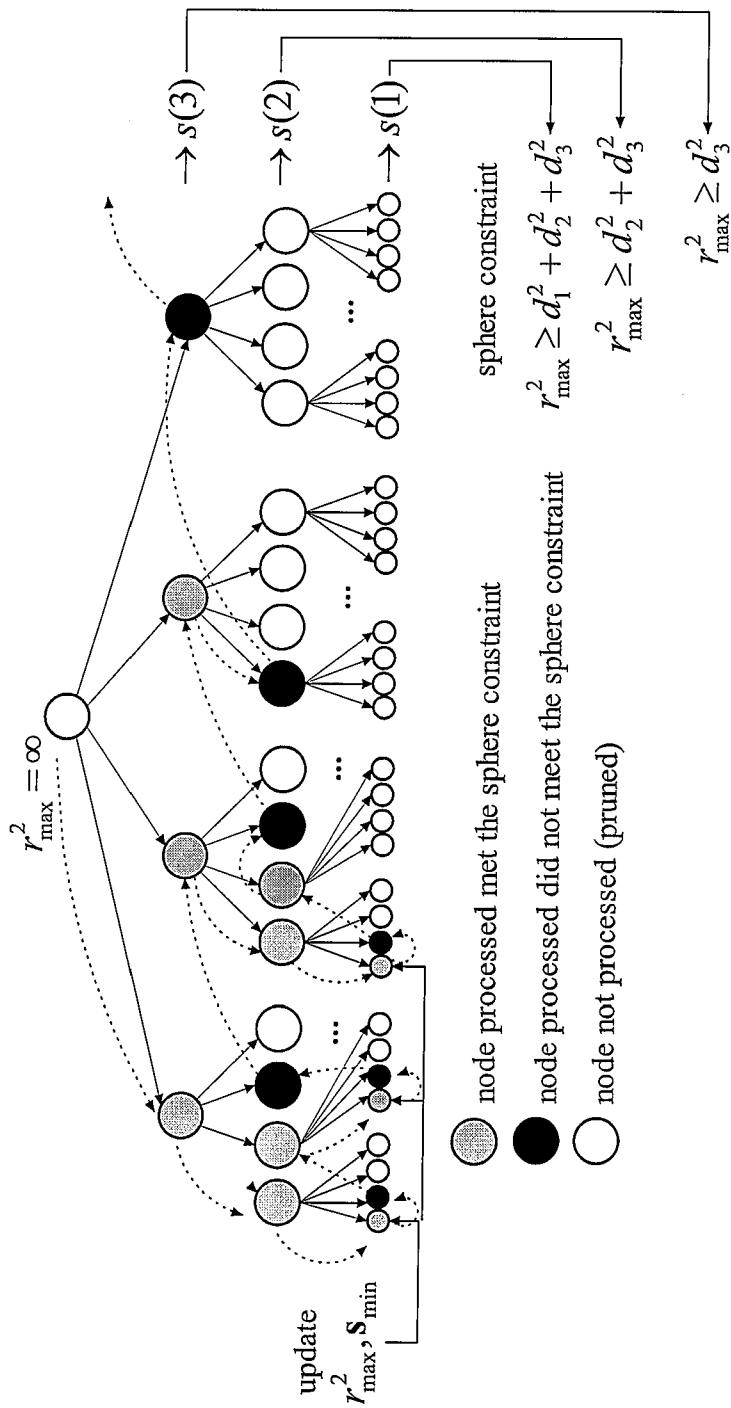


Fig. 2



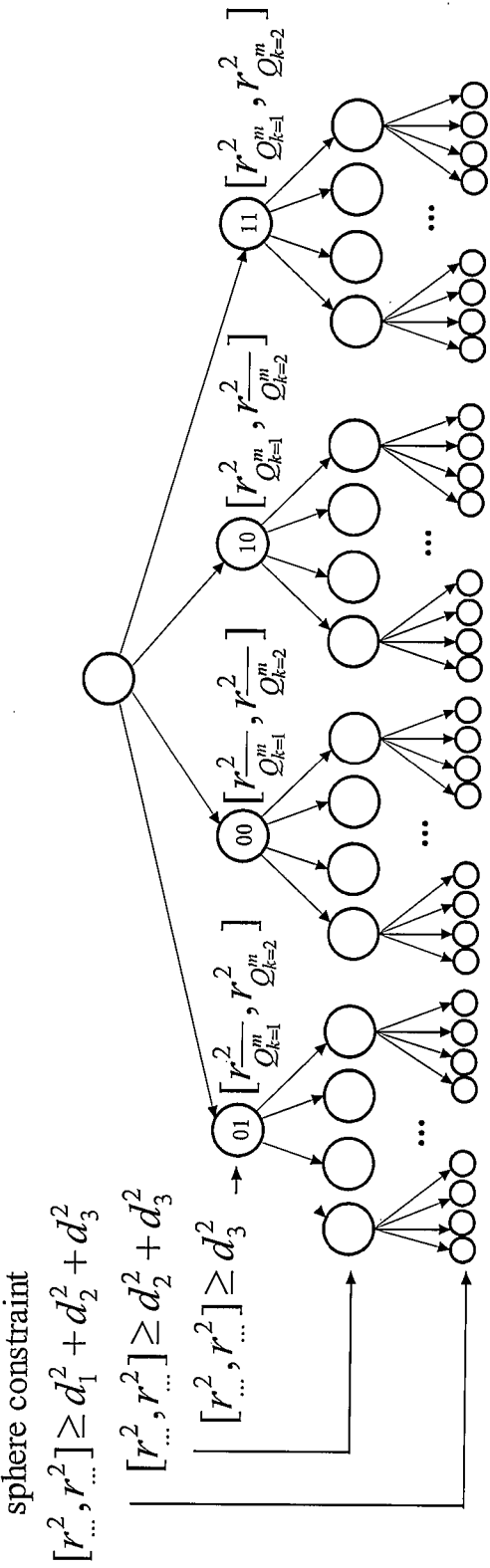


Fig. 3

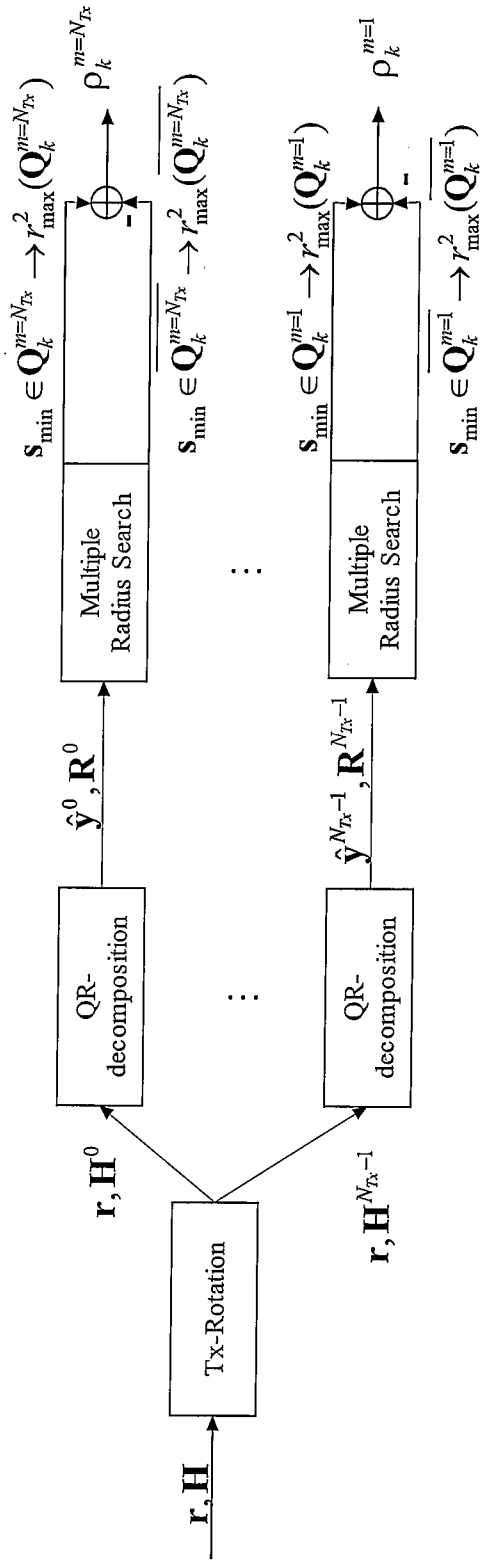


Fig. 4

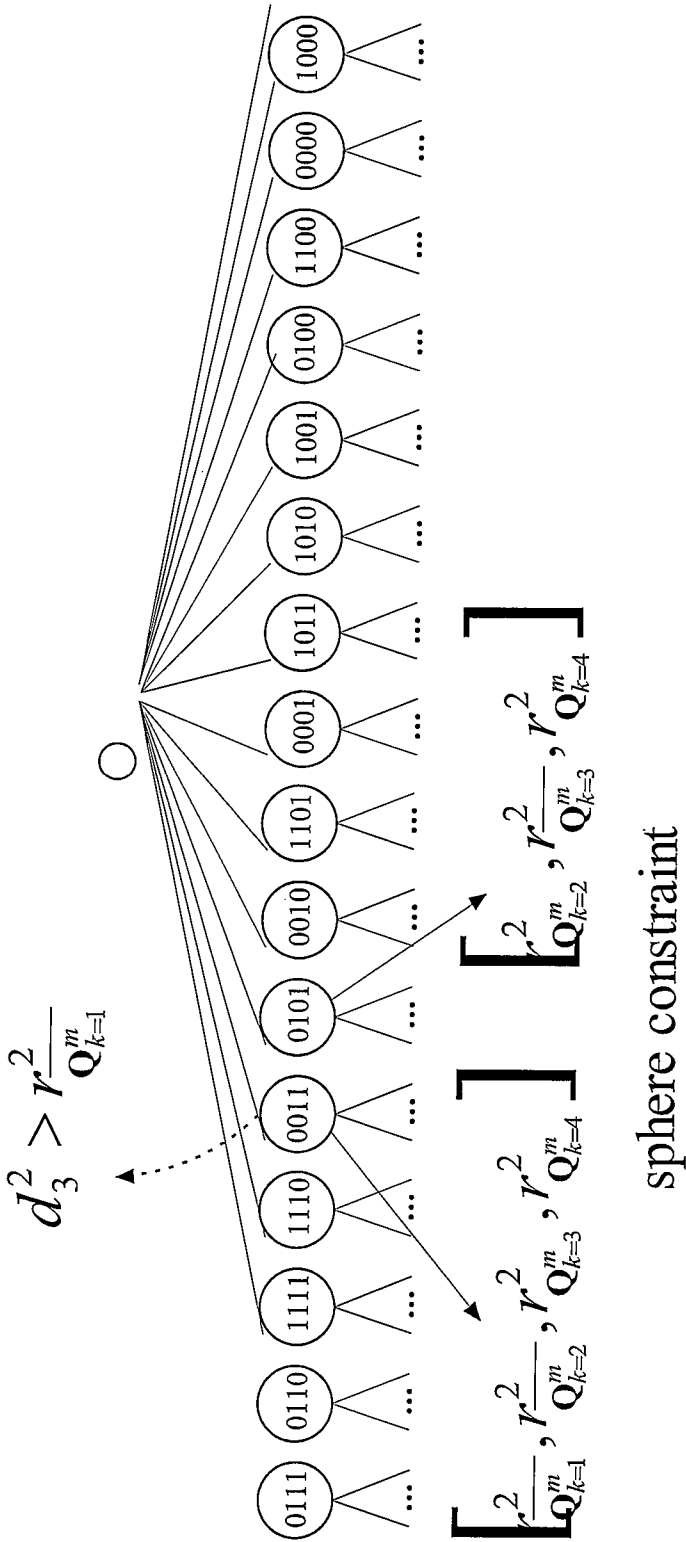


Fig. 5