



(86) Date de dépôt PCT/PCT Filing Date: 2015/04/24  
(87) Date publication PCT/PCT Publication Date: 2015/10/29  
(45) Date de délivrance/Issue Date: 2021/11/09  
(85) Entrée phase nationale/National Entry: 2016/10/18  
(86) N° demande PCT/PCT Application No.: US 2015/027527  
(87) N° publication PCT/PCT Publication No.: 2015/164750  
(30) Priorités/Priorities: 2014/04/25 (US61/984,247);  
2014/10/21 (US62/066,783)

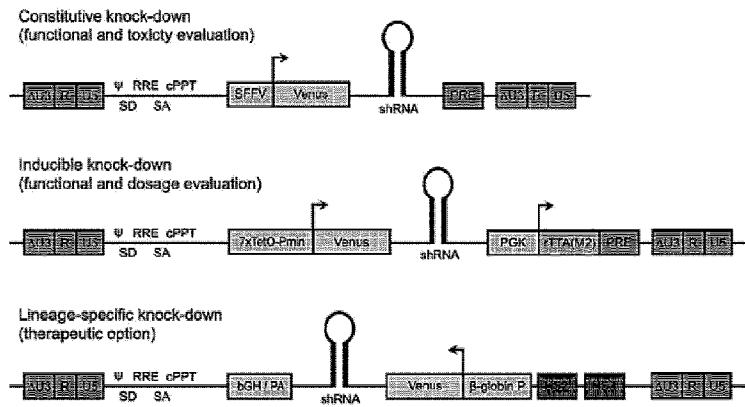
(51) Cl.Int./Int.Cl. A61K 48/00 (2006.01)

(72) Inventeurs/Inventors:  
MILSOM, MICHAEL, DE;  
WILLIAMS, DAVID A., US;  
GREGORY, RICHARD, US

(73) Propriétaire/Owner:  
CHILDREN'S MEDICAL CENTER CORPORATION, US

(74) Agent: GOWLING WLG (CANADA) LLP

(54) Titre : MICROARN BCL11A POUR LE TRAITEMENT D'HEMOGLOBINOPATHIES  
(54) Title: SYNTHETIC BCL11A MICRORNAs FOR TREATING HEMOGLOBINOPATHIES



(57) Abrégé/Abstract:

Embodiment herein provide specially designed synthetic BCL11A-targeting microRNAs for RNA polymerase II expression, and methods to use to treat hemoglobinopathies such as sickle cell disease or thalassemia by increasing the expression levels of fetal hemoglobin levels. In particular illustrative embodiment, the present invention provides, in part, improved compositions and methods for achieving gene therapy in hematopoietic cells and hematopoietic precursor cells, including erythrocytes, erythroid progenitors, and embryonic stem cells. The invention further provides improved gene therapy methods for treating hematopoietic-related disorders.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau



(10) International Publication Number

WO 2015/164750 A3

(43) International Publication Date  
29 October 2015 (29.10.2015)

(10) International Publication Number

WO 2015/164750 A3

(51) International Patent Classification:

A61K 48/00 (2006.01)

KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(21) International Application Number:

PCT/US2015/027527

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

(22) International Filing Date:

24 April 2015 (24.04.2015)

## Declarations under Rule 4.17:

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

## Published:

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))

(88) Date of publication of the international search report:

17 December 2015

(54) Title: COMPOSITIONS AND METHODS TO TREATING HEMOGLOBINOPATHIES

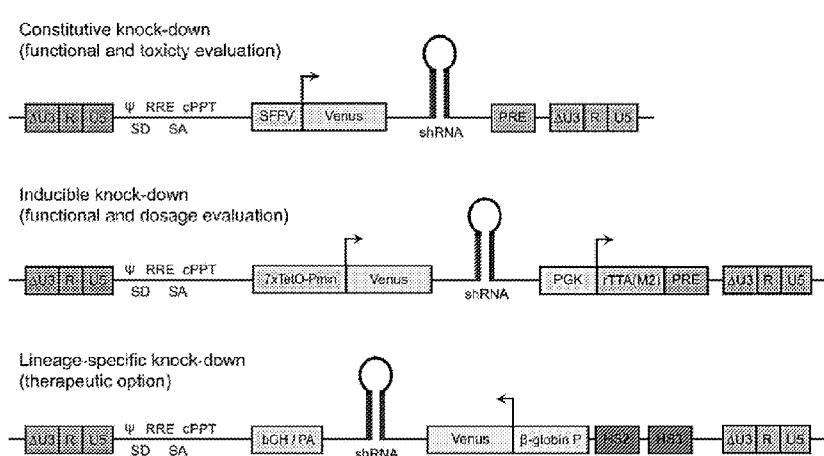


FIG. 2

(57) **Abstract:** Embodiment herein provide specially designed synthetic BCL11A-targeting microRNAs for RNA polymerase II expression, and methods o use to treat hemoglobinopathies such as sickle cell disease or thalassemia by increasing the expression levels of fetal hemoglobin levels. In particular illustrative embodiment, the present invention provides, in part, improved compositions and methods for achieving gene therapy in hematopoietic cells and hematopoietic precursor cells, including erythrocytes, erythroid progenitors, and embryonic stem cells. The invention further provides improved gene therapy methods for treating hematopoietic-related disorders.

WO 2015/164750 A3

# Synthetic BCL11A microRNAs for treating hemoglobinopathies

## SEQUENCE LISTING

**[0001]** The instant application contains a Sequence Listing which has been submitted electronically in ASCII format. Said ASCII copy, created on May 7, 2015, is named 701039-075992-PCT\_SL.txt and is 81,264 bytes in size.

## GOVERNMENT SUPPORT

**[0002]** This invention was made with Government support under Grant No.: 5U01HL117720-03 awarded by the National Institutes of Health. The Government has certain rights in the invention.

## TECHNICAL FIELD

**[0003]** Embodiments disclosed herein relate to compositions and methods for the treatment of hemoglobinopathies. More particularly, the embodiments relate to compositions and methods of increasing fetal hemoglobin in a cell by selective knockdown of the endogenous *BCL11A*.

## BACKGROUND

**[0004]** Hemoglobinopathies, including sickle cell disease/anemia (SCD) and thalassemia (THAL), are the most prevalent inherited monogenic disorders in the human. Approximately 5% of the world's population carries a globin gene mutation. The World Health Organization estimates that each year about 300,000 infants are born with major hemoglobin disorders. SCD has segregated to populations from sub-Saharan Africa, India, Saudi Arabia, and Mediterranean countries, where up to 2% of all children are born with the condition, due to the survival advantage against malarial transmission conferred by a heterozygous sickle  $\beta$ -globin ( $\beta$ S) mutation (WHO Report on Sickle-cell anaemia - A59.9. Fifty-ninth World Health Assembly – Provisional agenda item 114: United Nations; 2006:1-5). Due to historic and/or recent migration, increasing numbers of patient populations can now be found in developed countries, and public health implications of SCD are significant (Kauf et al., American Journal of Hematology. 2009;84:323-327; Amendah et al., American Journal of Preventive Medicine. 2010;38:S550-556). In the United States of America, median survival of patients having a hemoglobinopathy was estimated in 1994 to be 42 years for men and 48 years for women (Platt et al., New England Journal of Medicine. 1994; 330:1639-1644). At a molecular level, SCD was the first disease to be linked to a molecular alteration (Pauling et al., Science. 1949;110:543-548). A single nucleotide mutation results in glutamic acid to valine substitution by at position 6 of the  $\beta$ -globin protein. This modification results in the polymerization of the molecule in deoxygenated conditions, and subsequent "sickling" of the erythrocyte ultimately

leading to anemia by hemolysis and acute and chronic vaso-occlusive and ischemic complications affecting multiple organs, including kidney, brain, lung, and others). Although preventive measures (including the chemoprophylactic agent hydroxyurea) have led to moderate reduction in the burden of selected patient groups, at present, the only available curative therapy for SCD is allogeneic hematopoietic stem cell transplantation (HSCT) (Hsieh et al., New England Journal of Medicine. 2009;361:2309-2317; Hsieh et al., Blood; Electronic pre-publication June 31, 2011). HSCT has unfortunately been associated in the SCD and THAL setting with significant mortality and morbidity, which is due in part to pre-HSCT transfusion-related iron overload, graft-versus-host disease, and high doses of chemotherapy/radiation required for pre-transplant conditioning of the host, among others.

**[0005]** New molecular therapies are being developed. For example, U.S. Patent No.: 8,383,604 describes that the *BCL11A* as a key regulator of the globin genes during development. In particular, *BCL11A* promotes the transitional switch from the expression of fetal hemoglobin genes to the expression of adult hemoglobin genes during fetal development. Suppression of *BCL11A* reduces this transitional switch and maintains a significantly higher expression of the fetal hemoglobin genes post fetal development. The higher amount of fetal hemoglobin genes expressed ameliorates the symptoms associated with various hemoglobinopathies.

## SUMMARY

**[0006]** In particular illustrative embodiments, the present invention provides, in part, improved compositions and methods for achieving gene therapy in hematopoietic cells and hematopoietic precursor cells, including erythrocytes, erythroid progenitors, and embryonic stem cells. The invention further provides improved gene therapy methods for treating hematopoietic-related disorders.

**[0007]** The goal is to efficiently knock-down *BCL11A* in cells derived from transduced, engraftable hematopoietic stem cells. Success at induction of  $\gamma$ -globin and thus simultaneous increase in HbF and reduction in mutant HbS depends on the quantitative reduction of *BCL11A* transcript and protein. The inventors have embedded a *BCL11A* shRNA in a mir223 loop. This approach allows the *BCL11A* shRNA to be transcribed via polymerase II (PolII) promoters instead of the polymerase III promoters. This allows exploitation of the microRNA-biogenesis pathway to generate siRNAs that target *BCL11A* expression in engraftable HSCs. Lentiviral transgenes are engineered to express shRNAs that mimic primary microRNAs (pri-miRNAs) and are sequentially processed by the endogenous Microprocessor and Dicer complexes to generate small interfering RNAs (siRNAs) with sequence complementarity to the *BCL11A* messenger RNA (mRNA).

**[0008]** In one aspect, compositions and methods are provided that efficiently knock-down BCL11A in cells derived from transduced, engraftable hematopoietic stem cells. In one embodiment, a quantitative reduction of BCL11A transcript and protein induces  $\gamma$ -globin production, and thus simultaneous increase in HbF and reduction in mutant HbS. In a particular embodiment, a BCL11A shRNA is embedded in a mir223 loop. In particular embodiments, a lentivirus is engineered to express shRNAs that mimic pri-miRNAs that are sequentially processed by the endogenous Microprocessor and Dicer complexes to generate siRNAs with sequence complementarity to the *BCL11A* mRNA.

**[0009]** Accordingly, in various illustrative embodiments, the present specification provides, in part, a synthetic BCL11A microRNA comprising a first BCL11A segment, a loop segment, and a second BCL11A segment arranged in tandem in a 5' to 3' direction, wherein the loop segment is between and directly linked to the first and second BCL11A segments, and wherein the second BCL11A segment is complementary to the first BCL11A segment such that the first and second BCL11A segments base pair to form a hairpin loop with the loop segment forming the loop portion of the hairpin loop thus formed.

**[0010]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first and second BCL11A segments are about 18 to 25 nucleotides long. The first BCL11A segment is derived from a BCL11A sequence and gives rise to the passenger strand during shRNA processing to a duplex siRNA and the second BCL11A segment is complementary to first BCL11A segment, wherein the second BCL11A segment gives rise to the guide strand that is incorporated into the RNA Interference Specificity Complex (RISC) for RNA interference or *BCL11A* gene silencing.

**[0011]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first and second BCL11A segments contain sequences that are derived from *BCL11A* mRNA sequence.

**[0012]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first BCL11A segment starts with a -GCGC- at the 5' end and the second BCL11A segment ends with a -GCGC- at the 3' end.

**[0013]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first BCL11A segment further consists a -GCGC- at the 5' end and the second BCL11A segment ends with a -GCGC- at the 3' end.

**[0014]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first BCL11A segment starts with a -GCGA-, -TCTG-, or -TG- at the 5' end and the second BCL11A segment is complementary to first BCL11A segment.

[0015] In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first BCL11A segment further consist a -GCGA- , -TCTG-, or -TG- at the 5' end.

[0016] In one embodiment of any one of the synthetic BCL11A microRNA described herein, the second BCL11A segment ends with a -TTTT- at the 3' end.

[0017] In one embodiment of any one of the synthetic BCL11A microRNA described herein, the synthetic BCL11A microRNA comprise a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44.

[0018] In one embodiment of any one of the synthetic BCL11A microRNA described herein, the synthetic BCL11A microRNA comprises a nucleotide sequence or a segment therefrom described in this disclosure.

[0019] In one embodiment of any one of the synthetic BCL11A microRNA described herein, the synthetic BCL11A microRNA consists of a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44.

[0020] In one embodiment of any one of the synthetic BCL11A microRNA described herein, the synthetic BCL11A microRNA consist essentially of a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44.

[0021] In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first BCL11A segment is selected from the group consisting of CGCACAGAACACTCATGGATT (SEQ. ID. NO: 46; derived from BCL11A miR1 oligo described herein), CCAGAGGATGACGATTGTTTA (SEQ. ID. NO: 47; derived from BCL11A miR2 oligo described herein), TCGGAGACTCCAGACAATCGC (SEQ. ID. NO: 48; derived from BCL11A E3 oligo or shRNA1 or E3 described herein), CCTCCAGGCAGCTCAAAGATC, (SEQ. ID. NO: 49; derived from shRNA2 or B5 described herein), TCAGGACTAGGTGCAGAACATGT (SEQ. ID. NO: 50; derived from shRNA4 or B11 described herein), TTCTCTTGCAACACGCACAGA (SEQ. ID. NO: 51; derived from BCL11A D8 oligo or shRNA3 or D8 described herein), GATCGAGTGTGAATAATGAT (SEQ. ID. NO: 52; derived from shRNA5 or 50D12 or D12 described herein), CAGTACCCCTGGAGAAACACAT (SEQ. ID. NO: 53; derived from shRNA5 or 50A5 described herein), CACTGTCCACAGGAGAACAGCA (SEQ. ID. NO: 54; derived from shRNA7 or 50B11 described herein), ACAGTACCCCTGGAGAAACACA (SEQ. ID. NO: 55; derived from BCL11A XLC4, shRNA8 and 50C4 described herein), CAACAAGATGAAGAGCACCAA (SEQ. ID. NO: 56; derived from BCL11A Non-targeting oligos described herein), ggcgcGGCACAGAACACTCATG (SEQ. ID. NO: 57; derived from miR1G5 oligo described herein), GCGCTCGGAGACTCCAGACAA (SEQ. ID. NO: 58; derived from E3G5 or E3 mod oligo or shRNA1mod described herein),

gcgcCCTCCAGGCAGCTAAA (SEQ. ID. NO: 59; derived from B5G5 or shRNA2mod described herein); gcgcTCAGGACTAGGTGCAGA (SEQ. ID. NO: 60; derived from B11G5 or shRNA4mod described herein); gcgcGATCGAGTGTGAATAA (SEQ. ID. NO: 61; derived from 50D12G5, D12G4 or shRNA5mod described herein); gcgcCAGTACCCCTGGAGAAC (SEQ. ID. NO: 62; derived from 50A5G5or shRNA6mod described herein);  
gcgcCACTGTCCACAGGAGAA (SEQ. ID. NO: 63; derived from 50B11G5 or shRNA7mod described herein); GCGCTTCTCTTGCAACACGCA (SEQ. ID. NO: 64; derived from BCL11A D8G5 or D8 mod or shRNA3mod described herein), GCGCACAGTACCCCTGGAGAAA (SEQ. ID. NO: 65; derived from BCL11A C4G5, or C4 mod or shRNA8mod described herein), CGCACAGAACACTCATGGATT (SEQ. ID. NO: 66; derived from BCL11A D12G5-2 described herein), and ACGCTCGCACAGAACACTCATGGATT (SEQ. ID. NO: 67; derived from BCL11A D12G5-2 described herein).

**[0022]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the loop segment is derived from a microRNA. In one embodiment, the microRNA is a hematopoietic specific microRNA. For examples, miR-142, miR-155, miR-181 and miR-223.

**[0023]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the microRNA is miR223.

**[0024]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the loop segment is ctccatgtggtagag (SEQ ID NO:68).

**[0025]** Accordingly, in one aspect, the present specification provides an isolated nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein..

**[0026]** Accordingly, in one aspect, the present specification provides a composition comprising at least one nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0027]** Accordingly, in one aspect, the present specification provides a composition comprising at least a vector comprising a nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0028]** In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:1.

**[0029]** In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:2.

[0030] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:3.

[0031] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:4.

[0032] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:5.

[0033] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:6.

[0034] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:7.

[0035] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:8.

[0036] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:9.

[0037] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:10.

[0038] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:13.

[0039] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:14.

[0040] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:15.

[0041] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:16.

[0042] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:17.

[0043] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:18.

[0044] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:25.

[0045] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:26.

[0046] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:27.

[0047] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:28.

[0048] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:29.

[0049] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:30.

[0050] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:31.

[0051] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:32.

[0052] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:33.

[0053] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:34.

[0054] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:35.

[0055] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:36.

[0056] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:37.

[0057] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:38.

[0058] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:39.

[0059] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:40.

[0060] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:41.

[0061] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:42.

[0062] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:43.

[0063] In one embodiment of any isolated nucleic acid molecule described, the molecule comprises the nucleotide sequence of SEQ ID NO:44.

**[0064]** In one aspect, the present specification provides a vector comprising at least one nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44 or a synthetic BCL11A microRNA described herein.

**[0065]** In one embodiment of any vector described, the vector further comprises a spleen focus-forming virus promoter, a tetracycline-inducible promoter, or a  $\beta$ -globin locus control region and a  $\beta$ -globin promoter. The promoter provide for targeted expression of the nucleic acid molecule therein or the synthetic BCL11A microRNA therein.

**[0066]** In one aspect, the present specification provides a host cell comprising a vector which comprises at least one nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0067]** In one embodiment of any host cell described herein, the host cell is an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell. In one embodiment, the host cell is isolated from a subject. In one embodiment, the host cell is isolated from a subject who has been diagnosed with a hemoglobinopathy. Diagnosis can be made by any method known in the art. For example, by genetic testing, by RT-PCR, and by blood cytology.

**[0068]** In one embodiment of any host cell described herein, the host cell is an erythrocyte.

**[0069]** In one aspect, the present specification provides a host cell comprising a vector or a bacterium which comprises at least one nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0070]** In one aspect, the present specification provides a host cell comprising a virus which comprises at least one nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0071]** In one embodiment of any virus or vector described herein, the virus is a lentivirus.

**[0072]** In one embodiment of any vector or virus described herein, the lentivirus is selected from the group consisting of: human immunodeficiency virus type 1 (HIV-1), human immunodeficiency virus type 2 (HIV-2), caprine arthritis-encephalitis virus (CAEV), equine infectious anemia virus (EIAV), feline immunodeficiency virus (FIV), bovine immunodeficiency virus (BIV), and simian immunodeficiency virus (SIV).

**[0073]** Accordingly, one aspect of , the present specification provides methods for increasing fetal hemoglobin levels expressed by a cell, comprising the steps of contacting an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell with an effective amount of a composition described herein or an effective amount of at least isolated nucleic acid molecule described herein, whereby fetal hemoglobin expression is increased in the cell, or its progeny, relative to the cell prior to such contacting. In some embodiments, the composition comprises at least one vector or cell comprising at least one nucleic acid molecule comprising the nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein. In one embodiment, the method further comprises providing a sample of stem or progenitor cells for the contacting. In one embodiment, the sample of cells comprises CD34+ selected cells. In one embodiment, the composition comprises a mixture of the nucleotide sequences selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44. For example, the composition has 2-5 different nucleotide sequences selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44. For example, the composition comprises SEQ ID NOS: 34, 37, 39, 41 and 43.

**[0074]** In one aspect, the present specification provides methods of treating, or reducing a risk of developing, a hemoglobinopathy, e.g., SCD and THAL, in a subject. The methods can include selective knockdown of the *BCL11A* gene in the hematopoietic stem cells of subjects or individuals. These subjects are at risk of developing, a hemoglobinopathy.

**[0075]** In one embodiment of any method described, the selective knockdown of the *BCL11A* gene in the hematopoietic stem cells comprises using an isolated nucleic acid molecule comprising a nucleotide sequence of SEQ ID NOS:1-10,13-18, 25-44 or using a vector (e.g. a viral vector) comprising a nucleic acid molecule comprising any one of the nucleotide sequence of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0076]** In one embodiment of any method described, the selective knockdown of the *BCL11A* gene in the hematopoietic stem cells comprises contacting the hematopoietic stem cells with a composition which comprises at least an isolated nucleic acid molecule comprising the nucleotide sequence of SEQ ID NOS:1-10,13-18, 25-44, or with a composition which comprises at least a vector (e.g. a viral vector) comprising a nucleic acid molecule comprising any one of the nucleotide sequence of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein. In one embodiment, the hematopoietic stem cells are isolated prior the contacting.

**[0077]** In one embodiment of any method described, the selective knockdown of the *BCL11A* gene in the hematopoietic stem cells occurs *in vivo*, *in vitro*, or *ex vivo*. In a further

embodiment, the hematopoietic progenitor cell being targeted for selective knockdown is of the erythroid lineage.

**[0078]** In one embodiment of any method described, the contacting of the hematopoietic stem cells with any of the composition described herein occurs *in vivo*, *in vitro*, or *ex vivo*. In a further embodiment, the hematopoietic progenitor cell being contacted is of the erythroid lineage.

**[0079]** In one embodiment of any method described, the contacting of the hematopoietic stem cells with any of the composition described herein occurs *in vivo*, *in vitro*, or *ex vivo*.

**[0080]** In other embodiments of any method described, selective knockdown of the BCL11A gene occurs in an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell in addition to a hematopoietic stem cell, or a hematopoietic progenitor cell. In one embodiment, an embryonic stem cell, a somatic stem cell, a progenitor cell, or a bone marrow cell is contacted with the described composition. The embryonic stem cell, the somatic stem cell, the progenitor cell, or the bone marrow cell is isolated prior the contacting. In one embodiment, the contacting of the embryonic stem cell, the somatic stem cell, the progenitor cell, or the bone marrow cell with any of the composition described herein occurs *in vivo*, *in vitro*, or *ex vivo*.

**[0081]** In other embodiments of any method described, the hematopoietic stem cells are collected from peripheral blood, cord blood, chorionic villi, amniotic fluid, placental blood, or bone marrow.

**[0082]** In other embodiments of any method described, the embryonic stem cell, somatic stem cell, progenitor cell, or bone marrow cell is collected from peripheral blood, cord blood, chorionic villi, amniotic fluid, placental blood, or bone marrow.

**[0083]** In one aspect, the present specification provides a method of treating, or reducing a risk of developing, a hemoglobinopathy in a subject, the method comprising: administering to the subject a therapeutically effective amount of one or more isolated nucleic acid molecule described herein, a virus or a vector described herein, or a cell described herein, thereby treating, or reducing the risk of developing, the hemoglobinopathy in the subject, wherein the virus, the vector or cell comprises at least one nucleic acid molecule comprising the nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein. For example, the effective amount of one or more isolated nucleic acid molecule described herein, a virus or a vector described herein, or a cell described herein are injected directly into the bone marrow of the subject.

**[0084]** In one aspect, the present specification provides a method of treating, or reducing a risk of developing, a hemoglobinopathy in a subject, the method comprising contacting a population of hematopoietic stem cells *in vitro* or *ex vivo* with a composition described herein or

with at least one or more isolated nucleic acid molecule described herein, a virus or a vector described herein, and implanting or administering the contacted hematopoietic stem cells or the progeny cells thereof to the subject. In one embodiment, the contacted hematopoietic stem cells or the progeny cells engrafts in the subject. In one embodiment, the contacted hematopoietic stem cells or the progeny cells thereof are implanted with prostaglandin E2 and/or antioxidant N-acetyl-L-cysteine (NAC) to promote the engraftments of the contacted cells.

**[0085]** In one aspect, the present specification provides a method of treating, or reducing a risk of developing, a hemoglobinopathy in a subject, the method comprising expressing at least one synthetic BCL11A microRNA described herein in an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell of the subject wherein the expression is *ex vivo* or *in vitro*, and implanting or administering the cell into the subject.

**[0086]** In one aspect, the present specification provides a method for increasing fetal hemoglobin levels expressed by a cell, the method comprising expressing at least one synthetic BCL11A microRNA described herein in an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell of a subject wherein the expression is *ex vivo* or *in vitro* or *in vivo*. In one embodiment, the expression is by contacting the cells with an effective amount of a composition described herein or an effective amount of at least isolated nucleic acid molecule described herein.

**[0087]** In one aspect, the present specification provides a method for decreasing BCL11A levels expressed by a cell, the method comprising expressing at least one synthetic BCL11A microRNA described herein in an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell of a subject wherein the expression is *ex vivo* or *in vitro* or *in vivo*. In one embodiment, the expression comprises the steps of contacting an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell with an effective amount of a composition described herein or an effective amount of at least isolated nucleic acid molecule described herein, whereby fetal hemoglobin expression is increased in the cell, or its progeny, relative to the cell prior to such contacting. In some embodiments, the composition comprises at least one vector or cell comprising at least one nucleic acid molecule comprising the nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0088]** In a further embodiment of any methods described herein, the hematopoietic stem cell or hematopoietic progenitor cell being contacted is of the erythroid lineage.

[0089] In one embodiment of any methods described herein, the hematopoietic stem cell or hematopoietic progenitor cell is collected from peripheral blood, cord blood, chorionic villi, amniotic fluid, placental blood, or bone marrow.

[0090] In a further embodiment of any methods described herein, the recipient subject is treated with chemotherapy and/or radiation prior to implantation of the contacted or transfected cells.

[0091] In one embodiment, the chemotherapy and/or radiation is to reduce endogenous stem cells to facilitate engraftment of the implanted cells.

[0092] In one aspect, the present specification provides a method of treating, or reducing a risk of developing a hemoglobinopathy in a subject, the method comprising providing hematopoietic stem cells from the subject, contacting the hematopoietic stem cells *in vitro* or *ex vivo* with a composition described herein or with at least one or more isolated nucleic acid molecule described herein, a virus or a vector described herein, and implanting or re-administering the contacted hematopoietic stem cells back into the same subject. In one embodiment, the contacted hematopoietic stem cells or the progeny cells engrafts in the subject.

[0093] In one aspect of any method, the contacted hematopoietic stem cells, embryonic stem cells, somatic stem cells, progenitor cells, bone marrow cells, or the progeny cells thereof are treated *ex vivo* with prostaglandin E2 and/or antioxidant N-acetyl-L-cysteine (NAC) to promote subsequent engraftment in a recipient subject.

[0094] In one aspect of any method, the population of hematopoietic stem cells or host cells is obtained from a subject at risk of developing a hemoglobinopathy or has been diagnosed with a hemoglobinopathy.

[0095] In one aspect of any method, the population of hematopoietic stem cells is autologous or allogeneic to the subject.

[0096] In one aspect of any method, the population of hematopoietic stem cells or host cells is *ex vivo* expanded in culture prior to contacting with a composition described herein or with at least one or more isolated nucleic acid molecule described herein, a virus or a vector described herein.

[0097] In one aspect of any method, the population of hematopoietic stem cells or host cells is *ex vivo* expanded in culture after to contacting with a composition described herein or with at least one or more isolated nucleic acid molecule described herein, a virus or a vector described herein.

[0098] In one aspect of any method, the contacted population of hematopoietic stem cells or host cells is pre-differentiated *ex vivo* in culture prior to implanting into a subject.

**[0099]** In one aspect of any method, the contacted hematopoietic stem cells are expanded *in vitro* or *ex vivo* prior to administering into the subject. In one aspect of any method, the contacted hematopoietic stem cells are cryopreserved prior to administering into the subject. In another aspect of any method, the contacted hematopoietic stem cells are expanded *in vitro* or *ex vivo* and cryopreserved prior to administering into the subject. In another aspect of any method, the contacted hematopoietic stem cells are expanded *in vitro* or *ex vivo* after cryopreservation prior to administering into the subject.

**[0100]** In one aspect of any method, the subject is a human. In one aspect of any method, the subject is diagnosed with a hemoglobinopathy.

**[0101]** In one aspect of any method, the method further comprises of selecting a subject diagnosed with a hemoglobinopathy or a subject at risk of developing a hemoglobinopathy.

**[0102]** In one aspect of any method, the hemoglobinopathy is sickle cell disease (SCD) or thalassemia (THAL). For example,  $\beta$ -thalassemias.

**[0103]** In one aspect of the method, the method further comprising administering to the subject a therapy comprising oxygen, hydroxyurea, folic acid, or a blood transfusion.

**[0104]** In one aspect, the present specification provides a method of treating, or reducing a risk of developing, a hemoglobinopathy in a subject, the method comprising expressing *in vivo* at least one synthetic BCL11A microRNA described herein in the subject.

**[0105]** In one aspect of any method, the *in vivo* expression occurs in an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell.

**[0106]** In one aspect of any method, the embryonic stem cell, somatic stem cell, progenitor cell, bone marrow cell, hematopoietic stem cell, or hematopoietic progenitor cell is autologous or allogeneic to the subject.

**[0107]** In one aspect of any method, the embryonic stem cell, somatic stem cell, progenitor cell, bone marrow cell, hematopoietic stem cell, or hematopoietic progenitor cell expressing the at least one synthetic BCL11A microRNA described herein is expanded *in vitro* or *ex vivo* prior to administering into the subject. In a further embodiment, the progenitor cell, bone marrow cell, hematopoietic stem cell and hematopoietic progenitor cell is of the erythroid lineage.

**[0108]** In one aspect of any method, the embryonic stem cell, somatic stem cell, progenitor cell, bone marrow cell, hematopoietic stem cell, or hematopoietic progenitor cell expressing the at least one synthetic BCL11A microRNA described herein is cryopreserved prior to administering into the subject.

[0109] In another aspect of any method, the embryonic stem cell, somatic stem cell, progenitor cell, bone marrow cell, hematopoietic stem cell, or hematopoietic progenitor cell expressing the at least one synthetic BCL11A microRNA described herein is expanded *in vitro* or *ex vivo* and cryopreserved prior to administering into the subject.

[0110] In another aspect of any method, the embryonic stem cell, somatic stem cell, progenitor cell, bone marrow cell, hematopoietic stem cell, or hematopoietic progenitor cell expressing the at least one synthetic BCL11A microRNA described herein is expanded *in vitro* or *ex vivo* after cryopreservation prior to administering into the subject.

[0111] In one aspect of any method, the at least one synthetic BCL11A microRNA is operably linked to a promoter and constructed in a vector for expression in a eukaryotic cell.

[0112] In one aspect of any method, the at least one synthetic BCL11A microRNA is expressed from a RNA II polymerase.

[0113] In one aspect of any method, the at least one synthetic BCL11A microRNA is not expressed from a RNA III polymerase.

[0114] In one aspect of any method, the promoter is selected from a group consisting of a spleen focus-forming virus promoter, a tetracycline-inducible promoter, or a  $\beta$ -globin locus control region and a  $\beta$ -globin promoter, or a hematopoietic specific promoter.

[0115] In one aspect of any method, the vector is a virus.

[0116] In one aspect of any method, the virus is a lentivirus.

[0117] In one aspect of any method, the lentivirus is selected from the group consisting of: human immunodeficiency virus type 1 (HIV-1), human immunodeficiency virus type 2 (HIV-2), caprine arthritis-encephalitis virus (CAEV), equine infectious anemia virus (EIAV), feline immunodeficiency virus (FIV), bovine immune deficiency virus (BIV), and simian immunodeficiency virus (SIV).

[0118] In one aspect of any method, the subject is an animal, human or non-human, and rodent or non-rodent. For example, the subject can be any mammal, e.g., a human, other primate, pig, rodent such as mouse or rat, rabbit, guinea pig, hamster, cow, horse, cat, dog, sheep or goat, or a non-mammal such as a bird.

[0119] In one aspect of any method, the method comprises obtaining a sample or a population of embryonic stem cells, somatic stem cells, progenitor cells, bone marrow cells, hematopoietic stem cells, or hematopoietic progenitor cells from the subject.

[0120] In one embodiment, the embryonic stem cells, somatic stem cells, progenitor cells, bone marrow cells, hematopoietic stem cells, hematopoietic progenitor cells are isolated from the host subject, transfected, cultured (optional), and transplanted back into the same host, i. e. an autologous cell transplant. In another embodiment, the embryonic stem cells, somatic

stem cells, progenitor cells, bone marrow cells, hematopoietic stem cells, or hematopoietic progenitor cells are isolated from a donor who is an HLA-type match with a host (recipient) who is diagnosed with or at risk of developing a hemoglobinopathy. Donor-recipient antigen type-matching is well known in the art. The HLA-types include HLA-A, HLA-B, HLA-C, and HLA-D. These represent the minimum number of cell surface antigen matching required for transplantation. That is the transfected cells are transplanted into a different host, i.e., allogeneic to the recipient host subject. The donor's or subject's embryonic stem cells, somatic stem cells, progenitor cells, bone marrow cells, hematopoietic stem cells, or hematopoietic progenitor cells can be transfected with a vector or nucleic acid comprising the nucleic acid molecule described herein, the transfected cells are culture expanded, and then transplanted into the host subject. In one embodiment, the transplanted cells engrafts in the host subject. The transfected cells can also be cryopreserved after transfected and stored, or cryopreserved after cell expansion and stored.

**[0121]** As used herein, treating or reducing a risk of developing a hemoglobinopathy in a subject means to ameliorate at least one symptom of hemoglobinopathy. In one aspect, the invention features methods of treating, e.g., reducing severity or progression of, a hemoglobinopathy in a subject. In another aspect, the methods can also be used to reduce a risk of developing a hemoglobinopathy in a subject, delaying the onset of symptoms of a hemoglobinopathy in a subject, or increasing the longevity of a subject having a hemoglobinopathy. In one aspect, the methods can include selecting a subject on the basis that they have, or are at risk of developing, a hemoglobinopathy, but do not yet have a hemoglobinopathy, or a subject with an underlying hemoglobinopathy. Selection of a subject can include detecting symptoms of a hemoglobinopathy, a blood test, genetic testing, or clinical recordings. If the results of the test(s) indicate that the subject has a hemoglobinopathy, the methods also include administering the compositions described herein, thereby treating, or reducing the risk of developing, a hemoglobinopathy in the subject. For example, a subject who is diagnosis of SCD with genotype HbSS, HbS/β0 thalassemia, HbSD, or HbSO, and/or HbF <10% by electrophoresis.

**[0122]** As used herein, the term "hemoglobinopathy" refers to a condition involving the presence of an abnormal hemoglobin molecule in the blood. Examples of hemoglobinopathies include, but are not limited to, SCD and THAL. Also included are hemoglobinopathies in which a combination of abnormal hemoglobins is present in the blood (e.g., sickle cell/Hb-C disease). An exemplary example of such a disease includes, but is not limited to, SCD and THAL. SCD and THAL and their symptoms are well-known in the art and are described in further detail below. Subjects can be diagnosed as having a hemoglobinopathy by a health care provider,

medical caregiver, physician, nurse, family member, or acquaintance, who recognizes, appreciates, acknowledges, determines, concludes, opines, or decides that the subject has a hemoglobinopathy.

**[0123]** The term “SCD” is defined herein to include any symptomatic anemic condition which results from sickling of red blood cells. Manifestations of SCD include: anemia; pain; and/or organ dysfunction, such as renal failure, retinopathy, acute-chest syndrome, ischemia, priapism, and stroke. As used herein the term “SCD” refers to a variety of clinical problems attendant upon SCD, especially in those subjects who are homozygotes for the sickle cell substitution in HbS. Among the constitutional manifestations referred to herein by use of the term of SCD are delay of growth and development, an increased tendency to develop serious infections, particularly due to pneumococcus, marked impairment of splenic function, preventing effective clearance of circulating bacteria, with recurrent infarcts and eventual destruction of splenic tissue. Also included in the term “SCD” are acute episodes of musculoskeletal pain, which affect primarily the lumbar spine, abdomen, and femoral shaft, and which are similar in mechanism and in severity. In adults, such attacks commonly manifest as mild or moderate bouts of short duration every few weeks or months interspersed with agonizing attacks lasting 5 to 7 days that strike on average about once a year. Among events known to trigger such crises are acidosis, hypoxia, and dehydration, all of which potentiate intracellular polymerization of HbS (J. H. Jandl, *Blood: Textbook of Hematology*, 2nd Ed., Little, Brown and Company, Boston, 1996, pages 544-545). .

**[0124]** As used herein, “THAL” refers to a hereditary disorder characterized by defective production of hemoglobin. In one embodiment, the term encompasses hereditary anemias that occur due to mutations affecting the synthesis of hemoglobins. In other embodiments, the term includes any symptomatic anemia resulting from thalassemic conditions such as severe or  $\beta$ -thalassemia, thalassemia major, thalassemia intermedia,  $\alpha$ -thalassemias such as hemoglobin H disease.  $\beta$ -thalassemias are caused by a mutation in the  $\beta$ -globin chain, and can occur in a major or minor form. In the major form of  $\beta$ -thalassemia, children are normal at birth, but develop anemia during the first year of life. The mild form of  $\beta$ -thalassemia produces small red blood cells. Alpha-thalassemias are caused by deletion of a gene or genes from the globin chain.

**[0125]** By the phrase “risk of developing disease” is meant the relative probability that a subject will develop a hemoglobinopathy in the future as compared to a control subject or population (e.g., a healthy subject or population). For example, an individual carrying the genetic mutation associated with SCD, an A to T mutation of the  $\beta$ -globin gene, and whether the individual is heterozygous or homozygous for that mutation increases that individual’s risk.

**[0126]** The term “inhibitory RNA” is meant to include a nucleic acid molecule that contains a sequence that is complementary to a target nucleic acid (e.g., a target microRNA) that mediates a decrease in the level or activity of the target nucleic acid. Non-limiting examples of inhibitory RNAs include interfering RNA, shRNA, siRNA, ribozymes, antagonists, and antisense oligonucleotides. Methods of making inhibitory RNAs are described herein. Additional methods of making inhibitory RNAs are known in the art. In one embodiment, the BCL11A microRNA described herein is an inhibitory RNA that cause a decrease in the activity of BCL11A mRNA.

**[0127]** As used herein, “an interfering RNA” refers to any double stranded or single stranded RNA sequence, capable -- either directly or indirectly (i.e., upon conversion) of inhibiting or down-regulating gene expression by mediating RNA interference. Interfering RNA includes, but is not limited to, small interfering RNA (“siRNA”) and small hairpin RNA (“shRNA”). “RNA interference” refers to the selective degradation of a sequence-compatible messenger RNA transcript.

**[0128]** As used herein “an shRNA” (small hairpin RNA) refers to an RNA molecule comprising an antisense region, a loop portion and a sense region, wherein the sense region has complementary nucleotides that base pair with the antisense region to form a duplex stem. Following post-transcriptional processing, the small hairpin RNA is converted into a small interfering RNA by a cleavage event mediated by the enzyme Dicer, which is a member of the RNase III family. As used herein, the phrase “post-transcriptional processing” refers to mRNA processing that occurs after transcription and is mediated, for example, by the enzymes Dicer and/or Drosha.

**[0129]** A “small interfering RNA” or “siRNA” as used herein refers to any small RNA molecule capable of inhibiting or down regulating gene expression by mediating RNA interference in a sequence specific manner. The small RNA can be, for example, about 18 to 21 nucleotides long. Each siRNA duplex is formed by a guide strand and a passenger strand. The endonuclease Argonaute 2 (Ago 2) catalyzes the unwinding of the siRNA duplex. Once unwound, the guide strand is incorporated into the RNA Interference Specificity Complex (RISC), while the passenger strand is released. RISC uses the guide strand to find the mRNA that has a complementary sequence leading to the endonucleolytic cleavage of the target mRNA.

**[0130]** Retroviruses are RNA viruses that utilize reverse transcriptase during their replication cycle. The term “retrovirus” refers to any known retrovirus (e.g., type c retroviruses, such as Moloney murine sarcoma virus (MoMSV), Harvey murine sarcoma virus (HaMuSV), murine mammary tumor virus (MuMTV), gibbon ape leukemia virus (GaLV), feline leukemia virus (FLV), spumavirus, Friend, Murine Stem Cell Virus (MSCV) and Rous Sarcoma Virus

(RSV)). “Retroviruses” of the invention also include human T cell leukemia viruses, HTLV-1 and HTLV-2, and the lentiviral family of retroviruses, such as Human Immunodeficiency Viruses, HIV-1, HIV-2, simian immunodeficiency virus (SW), feline immunodeficiency virus (FIV), equine immunodeficiency virus (EIV), and other classes of retroviruses.

**[0131]** The retroviral genomic RNA is converted into double-stranded DNA by reverse transcriptase. This double-stranded DNA form of the virus is capable of being integrated into the chromosome of the infected cell; once integrated, it is referred to as a “provirus.” The provirus serves as a template for RNA polymerase II and directs the expression of RNA molecules which encode the structural proteins and enzymes needed to produce new viral particles.

**[0132]** At each end of the provirus are structures called “long terminal repeats” or “LTRs.” The term “long terminal repeat (LTR)” refers to domains of base pairs located at the ends of retroviral DNAs which, in their natural sequence context, are direct repeats and contain U3, R, and U5 regions. LTRs generally provide functions fundamental to the expression of retroviral genes (e.g., promotion, initiation and polyadenylation of gene transcripts) and to viral replication. The LTR contains numerous regulatory signals including transcriptional control elements, polyadenylation signals and sequences needed for replication and integration of the viral genome. The viral LTR is divided into three regions called U3, R and U5. The U3 region contains the enhancer and promoter elements. The U5 region is the sequence between the primer binding site and the R region and contains the polyadenylation sequence. The R (repeat) region is flanked by the U3 and U5 regions. The LTR composed of U3, R, and U5 regions, appears at both the both the 5' and 3' ends of the viral genome. In one embodiment of the invention, the promoter within the LTR, including the 5' LTR, is replaced with a heterologous promoter. Examples of heterologous promoters that can be used include, for example, a spleen focus-forming virus (SFFV) promoter, a tetracycline-inducible (TET) promoter, a  $\beta$ -globin locus control region and a  $\beta$ -globin promoter (LCR), and a cytomegalovirus (CMV) promoter.

**[0133]** The term “lentivirus” refers to a group (or genus) of retroviruses that give rise to slowly developing disease. Viruses included within this group include HIV (human immunodeficiency virus; including HIV type 1, and HIV type 2), the etiologic agent of the human acquired immunodeficiency syndrome (AIDS); visna-maedi, which causes encephalitis (visna) or pneumonia (maedi) in sheep, the caprine arthritis-encephalitis virus, which causes immune deficiency, arthritis, and encephalopathy in goats; equine infectious anemia virus, which causes autoimmune hemolytic anemia, and encephalopathy in horses; feline immunodeficiency virus (FIV), which causes immune deficiency in cats; bovine immune deficiency virus (BIV), which causes lymphadenopathy, lymphocytosis, and possibly central

nervous system infection in cattle; and simian immunodeficiency virus (SIV), which cause immune deficiency and encephalopathy in sub-human primates. Diseases caused by these viruses are characterized by a long incubation period and protracted course. Usually, the viruses latently infect monocytes and macrophages, from which they spread to other cells. HIV, FIV, and SIV also readily infect T lymphocytes, i.e., T-cells.

[0134] The term “R region” refers to the region within retroviral LTRs beginning at the start of the capping group (i.e., the start of transcription) and ending immediately prior to the start of the poly A tract. The R region is also defined as being flanked by the U3 and U5 regions. The R region plays an important role during reverse transcription in permitting the transfer of nascent DNA from one end of the genome to the other.

[0135] The term “promoter/enhancer” refers to a segment of DNA which contains sequences capable of providing both promoter and enhancer functions. For example, the long terminal repeats of retroviruses contain both promoter and enhancer functions. The enhancer/promoter may be “endogenous,” “exogenous,” or “heterologous.” An “endogenous” enhancer/promoter is one which is naturally linked with a given gene in the genome. An “exogenous” or “heterologous” enhancer/promoter is one which is placed in juxtaposition to a gene by means of genetic manipulation (i.e., molecular biological techniques) such that transcription of that gene is directed by the linked enhancer/promoter.

[0136] Unless otherwise defined, all technical terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Methods and materials are described herein for use in the present invention; other, suitable methods and materials known in the art can also be used. The materials, methods, and examples are illustrative only and not intended to be limiting. In case of conflict, the present specification, including definitions, will control. Other features and advantages of the invention will be apparent from the following detailed description and figures, and from the claims.

#### **DESCRIPTION OF DRAWINGS**

[0137] FIG. 1 is a diagram of two embodiments of the disclosed synthetic BCL11A micro RNA: BCL11A miR1 and BCL11A miR2 oligonucleotides. The stem/loop structure is generated by the complementary sequences of the BCL11A targeting sequences (in bold upper case nucleotide bases) in the oligonucleotides. The BCL11A targeting sequences are the BCL11A segments. The stem/loop structure is then cloned into a miR-223/miR-30 background (micro RNA background). The entire miRNA/shRNA structure is then cloned into a SFFV/LCR/TET cassette containing SIN lentiviral vector containing a transgene reporter (Venus).

[0138] FIG. 2 is a schematic diagram of lentiviral vector proviruses with SFFV, TET and LCR promoters.

[0139] FIG. 3 is a panel of two bar graphs showing that SFFV-LV efficiently knocks down BCL11A and induces  $\epsilon\gamma$ -globin expression.

[0140] FIG. 4 is a panel of two bar graphs depicting that LCR/TET-LV efficiently knocks down BCL11A and induces  $\epsilon\gamma$ -globin expression.

[0141] FIG. 5 is a panel of photomicrographs and graphs showing that transduced CD34+ HSC differentiate *ex vivo* into erythrocytes and express HbF.

[0142] FIG. 6 is a panel of scatter plots depicting LCR-LV transduced CD34+ HSCs from patients with SCD transplanted into NSG mice.

[0143] FIG. 7 is a panel of a photomicrograph and graphs showing the study of potential toxicity of BCL11A in lymphoid development.

[0144] FIGS. 8A-8E show the screening and evaluation of shRNAs targeting BCL11A in pol III and pol II expression systems.

[0145] FIG. 8A. Schematic representation of RNA polymerase III (pol III, U6 promoter, left side) and RNA polymerase II (pol II, SFFV promoter, right side) driven shRNA and miRNA(223) embedded shRNA cassettes, respectively. Both expression cassettes were engineered into lentivirus vectors. The various boxes represent the passenger strand, the guide strand, and the loop structure as indicated. The miRNA223 scaffold is represented with dotted line box. Different shRNA sequences targeting BCL11A were expressed in these two backbones and evaluated for knockdown efficiency.

[0146] FIG. 8B. High-throughput screening of multiple shRNA sequences targeting various regions in BCL11A mRNA (XL/L-shared isoform sequences, XL-unique coding sequences and the 3'-UTR of XL isoform, as indicated) for knockdown efficiency using pol III-based lentivirus vectors. Both induction of  $\epsilon\gamma$  by qPCR and induction of mCherry reporter by FACS (as a surrogate for epsilon- $\gamma$  induction in a reporter cell line) were used as a functional readout for BCL11A knockdown. Normalized expression of  $\epsilon\gamma$  mRNA relative to non-targeting control is plotted on y-axis and mean fluorescence intensity (MFI) of mCherry expression relative to un-transduced control is plotted on x-axis. The 11 shRNAs that were further tested are marked with circles.

[0147] FIGS. 8C and 8D. Comparison of knockdown efficiency of selected shRNAs in pol III-based and pol II-based systems. MEL cells were transduced with LKO vector or with LEGO vector to express the indicated shRNAs and the transduced cells were selected either in the presence of puromycin (LKO) or sorted for Venus expression (LEGO). miR1 shRNA previously reported by Sankaran et al. (1). BCL11A protein levels are shown (FIG. 8C) by

immunoblot with  $\beta$ -actin as control. XL and L show the position of each isoform of BCL11A protein. (FIG. 8D) Band intensity was analyzed using ImageJ software.

[0148] FIG. 8E. Fold induction of normalized expression of  $\epsilon$ - $\gamma$  compared to non-targeting control is measured by qPCR. Non-targeting shRNA transduced MEL cells were used and expression set to 1. Data represent mean  $\pm$  SD from a representative experiment of three independent experiments conducted in triplicates. \*  $P<0.05$ , \*\* $P<0.01$ , \*\*\* $P<0.001$ .

[0149] FIGS. 9A-9F are data collected from small RNA sequencing analysis which reveals differential processing between pol III vs pol II transcripts.

[0150] FIGS. 9A and 9B. Total RNA was isolated from transduced, sorted or puromycin selected MEL cells expressing either miR1 or C4 shRNA. The resulting RNA was then subjected to RNA deep-sequencing. Processed final guide and passenger strand sequence transcribed from (FIG. 9A) pol III (LKO) or (FIG. 9B) from pol II (LEGO) are represented on the x-axis and corresponding number of reads per million of total reads of each strand are plotted on the y-axis.

[0151] FIGS. 9C-9F. The sequences of processed variant guide strands of miR1 transcribed from (FIG. 9C) pol III promoter or (FIG. 9D) pol II promoter are plotted on the y-axis with the number of total reads plotted on the x-axis. The sequence of processed variant guide strand species of C4 transcribed from (FIG. 9E) pol III promoter or (FIG. 9F) pol II promoter are plotted on y-axis with the number of total reads plotted on x-axis.

[0152] FIGS. 10A-10D show that modification of shRNA sequences leads to increased knockdown and improved guide vs passenger strand ratio.

[0153] FIG. 10A. miR1 and C4 shRNAs were modified such that four 5' bases were deleted and GCGC was added on 3' end to yield modified shRNA termed miR1 G5 and C4G5.

[0154] FIG. 10B. Comparison of knockdown efficiency of modified and parent shRNA sequences. MEL cells were transduced with LEGO to express the indicated shRNAs via pol II promoter and transduced cells were sorted for Venus expression. BCL11A protein levels were measured by immunoblot with  $\beta$ -actin as a loading control. XL and L indicate position of these isoforms of BCL11A protein.

[0155] FIG. 10C. Immunoblot band intensity was analyzed using ImageJ software.

[0156] FIG. 10D. Fold induction of normalized expression compared to non-targeting control of  $\epsilon$ - $\gamma$  by modified/ unmodified shRNA sequences measured by qPCR. Data represent mean  $\pm$  SD from a representative experiment of three independent experiments conducted in triplicate showing similar results. \* $P<0.05$ , \*\* $P<0.01$ .

[0157] FIGS. 11A-11C show the RNA sequencing analysis of four base-pairs modified shRNAs exhibit faithful processing.

[0158] FIG. 11A. Total small RNA was isolated from transduced, sorted MEL expressing modified miR1 and modified C4 shRNAs and sequenced. Frequency distribution of processed guide strand species of modified miR1 (miR1-G5 and C4G5) transcribed from pol II promoter are plotted on the x-axis with the proportion of reads per million total reads plotted on y-axis.

[0159] FIGS. 11B and 11C. The sequence of processed variant guide strand species of miR1-G5 and C4-G5 are displayed on the y-axis and the frequency of reads are shown on the x-axis.

[0160] FIG. 12A. Candidates from the shRNA screen targeting BCL11A using pLKO vector.

[0161] FIG. 12B. Guide strand sequence composition and distribution in PLKO. With pLKO constructs there is always a shift at the 5' end which may be due to extension of T rich sequence at the 3' end. The added T's are part of the pol III termination sequence. This shift in mature shRNA sequence indicates that during Dicer-mediated processing the 3' counting rule is dominant, meaning cleavage of the shRNA is initiated 21nt from the 3'-end. This results in a 3 or 4 basepair shift at the 5'end and also in an identically shifted seed-region (bases 2-7 of the guide strand) which is for target recognition.

[0162] FIG. 13. Guide strand sequence composition and distribution in LEGO. Small RNA deep sequencing analysis reveals differential processing between pol III vs pol II transcripts. With lego constructs there is no shift at the 5' end and the guide strand is faithfully processed by dicer which results in the predicted product. Accordingly the final guide strand differs between pol III and pol II driven constructs.

[0163] FIG. 14A. Design of new shRNAs to mimic mature guide strands produced in pLKO vector. All shRNAs were modified such that four bases on the 5' were deleted and GCGC was added on 3' end to yield modified shRNA termed miR1G5, E3G5, B5G5, D8G5, B11G5, 50D12G5, 50B11G5, 50A5G5, 50C4G5. With incorporation of this shift, significant improvement was observed with E3G5, D8G5 and C4G5 regarding the BCL11A knockdown and epsilon-gamma induction. The “xxxx” represents the position of the 4-base pair (bp) frame shift that results in the 4-bases removed from the unmodified miR1, E3, B5, D8, B11, 50D12 (also referred to as D12), 50B11, 50A5 (also referred to as A5), and 50C4 (also referred to as C4).

[0164] FIG. 14B. Guide strand sequence composition and distribution in modified LEGO. RNA deep sequencing analysis of modified shRNAs shows faithful processing with a 4bp shift, which indicates that by introducing the shift we are able to perfectly mimic the product of pLKO-vectors. As pLKO vectors were used for screening of effective shRNAs, this

modification mimics the precise mature product guide sequence when transferring the shRNA cassette into pol II driven backbones.

[0165] FIG. 15. Comparison of BCL11A knockdown with modified Guide sequences. Comparison of knockdown efficiency of modified and parent shRNA sequences. Western blot showing BCL11A expression (XL and L-isoforms, top panel). Red circles indicate shRNAs where an improved BCL11A knockdown was achieved upon introduction of a 4 bp shift. Bottom panel: Fold induction of normalized expression of  $\epsilon$ - $\gamma$  by modified/ unmodified ShRNA sequences were compared to nontargeting control as measured by qPCR.

[0166] FIG. 16. Comparison of miR expression with modified Guide sequences. Consistent with the increase in knockdown efficiency and epsilon- $\gamma$  induction, the guide strand expression was high (which leads to increase in knockdown efficiency) when northern was performed in modified constructs compared to unmodified especially with E3G5, D8G5 and C4G5.

[0167] FIG. 17. BCL11A knockdown efficiency and  $\epsilon$ - $\gamma$  induction with LEGO vectors. Comparison of knockdown efficiency of selected shRNAs in pLKO pol III-based and pLEGO pol II-based systems. MEL cells were transduced with indicated shRNAs either in pLKO vector or with pLEGO vector and the transduced cells were selected either in the presence of puromycin (pLKO) or sorted for Venus expression (pLEGO). BCL11A protein levels were measured by immunoblot with  $\beta$ -actin as control. Fold induction of normalized  $\epsilon$ - $\gamma$  compared to non-targeting control is measured by qPCR. Non-targeting shRNA transduced MEL cells were used as negative controls. Frame shift has strong effect on both knockdown efficiency and  $\epsilon$ - $\gamma$  induction. shRNAs targeting XL isoform alone have strong effect on  $\epsilon$ - $\gamma$  induction. Data represent mean  $\pm$  SD from three independent experiments, each conducted in triplicates. \* P<0.05.

[0168] FIG. 18 shows the differential processing in pol-III shRNA vectors and pol-II microRNA adapted shRNA vectors.

[0169] FIGS. 19A-19D show the screening and evaluation of shRNAs targeting BCL11A in pol III and pol II expression systems.

[0170] FIG. 19A. Schematic representation of LKO-U6-BCL11A-shRNA (left side) and LEGO-SFFV-BCL11A-shRNAmiR (right side). Both expression cassettes were engineered into lentiviral vectors as described in Material and Methods. The light grey boxes represent the sense strand; white boxes represent the antisense strand; dark grey boxes represent the loop structure and the miRNA223 scaffold is indicated by a dotted line. The hairpin structures are shown

below. Different shRNA sequences targeting BCL11A were expressed in these two backbones and evaluated for knockdown efficiency.

[0171] FIG. 19B. High-throughput screening of multiple shRNA sequences targeting BCL11A mRNA for knockdown efficiency using pol III-based lentivirus vectors. Both induction of Hbb- $\gamma$  mRNA by qRT-PCR and induction of *mCherry* reporter by FACS (as a surrogate for  $\epsilon$ - $\gamma$  induction in a reporter cell line) were used as a functional readout for BCL11A knockdown. Normalized expression of Hbb- $\gamma$  mRNA relative to non-targeting control is plotted on y-axis and fold induction of mCherry expression (by mean fluorescence intensity, MFI) relative to non-transduced control is plotted on x-axis. The eight best performing shRNAs isolated from the screen were further tested and are labeled as 1 through 8.

[0172] FIG. 19C. Comparison of knockdown efficiency of selected shRNAs in pol III (U6)- and pol II (SFFV)-based systems. MEL cells were transduced with U6- (top panel) or with SFFV- (bottom panel) vectors to express the indicated shRNAs and the transduced cells were selected either in the presence of puromycin (pol III) or sorted for Venus expression (pol II). BCL11A protein levels are shown by immunoblot with  $\beta$ -actin as control. XL and L on left of panel denote the position of each isoform of BCL11A protein.

[0173] FIG. 19D. Fold induction of normalized expression of Hbb- $\gamma$  compared to non-targeting control measured by qPCR. Expression in non-targeting (NT) shRNA transduced MEL cells was set 1. Black bars represent the relative expression by U6 promoter driven shRNAs and white bars represent SFFV promoter driven shRNAs. Data represent mean  $\pm$  SD from a representative experiment of three independent experiments conducted in triplicates. \* P<0.05.

[0174] FIGS. 20A and 20B shows the small RNA sequencing analysis reveals differential processing between pol III versus pol II transcripts. Small RNA sequencing results of MEL cells transduced with U6-shRNAs and SFFV-shRNAmiRs 1, 2, 3, 4, 7, or 8. The RNA sequences were aligned to the corresponding reference guide strand sequence, shown at the top of each panel in bold and the flanking sequences in grey. Different variants of guide strands produced from (Fig. 20A) U6-shRNAs or (Fig. 20B) SFFV-shRNAmiRs are plotted on the y-axis. The relative % contribution of each variant is indicated on the x-axis calculated based on the total number of reads matching the reference shRNA sequence.

[0175] FIGS. 21A-21E show the modification of shRNA sequences leads to increased knockdown and improved guide vs. passenger strand ratio in MEL cells.

[0176] FIG. 21A. SFFV-shRNAmiRs were modified by deleting the first four bases from the guide sequence and the addition of GCGC to the 3' end (shRNA modified)

[0177] FIG. 21B. Comparison of knockdown efficiency of modified and parental shRNAmiR sequences expressed from a SFFV-pol II promoter in MEL cells. BCL11A protein

levels were measured in FACS sorted transduced cells by immunoblot with  $\beta$ -actin as a loading control. XL and L on the left of top panel indicate the position of these isoforms of BCL11A protein. PIII: pol III promoter vector; PII: pol II promoter vector; PIIM: pol II promoter vector containing modified shRNAmiR sequences.

[0178] FIG. 21C. Fold induction of Hbb- $\gamma$  compared to the non-targeting control by unmodified (white bars) and modified (shaded bars) shRNAmiR sequences measured by qRT-PCR. Data represent mean  $\pm$  SD. \*\*P< 0.01.

[0179] FIG. 21D. Northern blot analysis of total RNA extracted from cells transduced with multiple shRNAs and shRNAmiRs. Probes (20nt) complementarity to the guide and passenger strands from positions 1 to 20 of shRNAs and shRNAmiRs were utilized to measure the abundance of processed small RNAs. A probe complementary to 5S RNA was used as an internal control to determine RNA loading. PIII: pol III promoter vector; PII: pol II promoter vector; PIIM: pol II promoter vector containing modified shRNAmiR sequences.

[0180] FIG. 21E. RNA-sequencing results of homogeneous populations of transduced MEL cells expressing shRNA1, 2, 3, 4, 7, or 8. The sequences of these RNAs were aligned to the corresponding reference guide strand sequence shown at the top of each panel. The sequences of different guide strand species are displayed on the y-axis and the frequency as percentage of aligned reads are shown on the x-axis.

[0181] FIGS. 22A-22E show the modified shRNAmiRs lead to increased BCL11A knockdown efficiency and gamma globin induction in human CD34+ derived erythroid cells.

[0182] FIG. 22A. CD34+ cells transduced with pol III or pol II vectors expressing different shRNAs with and without modification were selected either in the presence of puromycin (pol III) or sorted for Venus expression (pol II and pol II modified). BCL11A expression was measured by immunoblot with  $\beta$ -actin as a loading control on day 11 of differentiation.

[0183] FIG. 22B. Induction of  $\gamma$ -globin mRNA was determined on day 18 of differentiation by qRT-PCR. Data represents the percentage of  $\gamma$ -globin of total  $\beta$ -locus output ( $\gamma$  +  $\beta$ -globin) for pol III (black bars), pol II (white bars), and modified pol II (grey bars). \* p<0.05; \*\*\* p<0.001.

[0184] FIG. 22C. Quantification and statistical analysis of erythroid differentiation markers (CD71, GpA) and enucleation were assessed by flow cytometry. CTRL: control vectors SFFV-shRNAmiRNT and SEW; PIII: pol III vectors; PII: pol II vectors; PIIM: pol II vectors containing modified shRNAmiR sequences. Data represents mean  $\pm$  SD from three independent experiments. \*\*\* p>0.001.

[0185] FIG. 22D. Hemoglobin F of cell lysates was measured by HPLC on day 18 of differentiation. The arrow indicates the HbF peaks and the percentage of HbF of total hemoglobin is shown below the chromatogram.

[0186] FIG. 22E. Correlation graph of  $\gamma$ -globin mRNA expression assessed by qRT-PCR versus HbF by HPLC. Black circles represent pol III vectors, open and grey circles represent pol II or modified shRNAmiRs, respectively. Correlation coefficient ( $r^2$ ) is shown for all data.

[0187] FIGS. 23A-23I show the negative impact of BCL11A knockdown on HSCs *in vivo* is prevented by restricting expression to erythroid cells.

[0188] FIG. 23A. Lineage negative bone marrow cells isolated from  $\beta$ -YAC mice (CD45.2) were transduced *ex vivo* with LeGO vectors expressing shRNAmiR\* targeting BCL11A or a non-targeting control vector (SFF-shRNAmiRNT) and transplanted into lethally irradiated BoyJ recipients (CD45.1). Untransduced control cells were transplanted as control. Engraftment analysis was performed 4, 8 and 12 weeks post transplantation in peripheral blood and bone marrow, respectively. (n=4 mice per group)

[0189] FIG. 23B. The fraction of gene modified cells (Venus+ cells) in these mice was determined 4, 8 and 12 weeks post transplantation in peripheral blood and bone marrow.

[0190] FIG. 23C. Competitive transplants were performed using CD45.1 and CD45.2 donor cells transduced with the indicated vectors and transplanted into CD45.1/2 heterozygous mice (top panels). Alternatively a neutral vector encoding blue fluorescent protein (SFFV-BFP) was used to identify the competitor population in a CD45.1 donor into CD45.2 recipient setting (lower panels). Shown are representative dot blots of different mixed populations used for transplantation three days post transduction. The two competing vectors are indicated above each panel, the first one indicates the CD45.2 or SFFV-BFP transduced populations, respectively.

[0191] FIG. 23D. The contribution of gene modified cells in competitively repopulated mice was analyzed at 4 and 8 weeks post transplantation in peripheral blood (PB) or at week 12 in bone marrow (BM) and spleen (Spl). The relative contribution of gene modified cells transduced with the two competing vectors is shown. The first vector mentioned dominated the hematopoietic output. Each dot represents an individual recipient mouse.

[0192] FIG. 23E. A pairwise comparison of the bone marrow B-cell fraction within the transduced fraction of cells between BCL11A targeting vectors versus control vectors (SFF-shRNAmiRNT and SFFV-BFP, left panel). Similarly, the LSK content within transduced cell fractions was analyzed. Each dot represents an individual recipient. \* and \*\* indicate p-values  $\leq$  0.05 and 0.01, respectively.

[0193] FIG. 23F. Configuration of the LCR-shRNAmiR vector used for erythroid specific expression (details in text).

[0194] FIG. 23G. The *in vivo* expression profile of the LCR-vector was analyzed in various hematopoietic lineages 12 weeks after transplantation. The percentages of Venus<sup>+</sup> cells in each mouse were normalized to CD71<sup>+</sup>/Ter119<sup>+</sup> erythroid cells (n=4).

[0195] FIG. 23H. A competitive transplantation experiment as described in c and d was performed using the LCR or SFFV vectors expressing shRNAmiR\*. Each dot represents an individual recipient.

[0196] FIG. 23I. Mobilized peripheral blood CD34<sup>+</sup> cells were transduced with LCR-shRNAmiR\*, 3 and 8 or a SFFV-GFP mock vector and subjected to erythroid differentiation in vitro. At day 7 after transduction the promoter activity of SFFV-GFP and LCR-vectors in different erythroid subpopulation was assessed. Representative flow diagrams are shown. Error bars in all figures = SD. Statistical analysis: t-test.

[0197] FIGS. 24A-24F show the lineage specific BCL11A knockdown and gamma globin induction by modified shRNAmiRs.

[0198] FIG. 24A. CD34<sup>+</sup> HSPCs transduced with LCR-shRNAmiR 3, 8 or the SFFV-GFP mock vector were FACS-sorted for fluorescent reporter expression and BCL11A expression was measured by immunoblot with  $\beta$ -actin as a loading control on day 11 of differentiation.

[0199] FIG. 24B. Induction of  $\gamma$ -globin mRNA was determined on day 18 of differentiation by qRT-PCR. Data represents the percentage of  $\gamma$ /( $\gamma$ + $\beta$ ) globin.

[0200] FIG. 24C. Quantification and statistical analysis of erythroid differentiation markers (CD71, GpA) and enucleation by flow cytometric analysis. CTRL: SFFV-GFP control vector; LCRM: Modified shRNAmiRs shown in Fig. 23A expressed via LCR promoter. Data represents mean  $\pm$  SD from three independent experiments.

[0201] FIG. 24D. HbF level of cell lysates was measured by HPLC on day 18 of differentiation. Arrows indicate the HbF peaks and the percentage of HbF of total hemoglobin is shown below the chromatogram.

[0202] FIG. 24E. Correlation graph of  $\gamma$ -globin induction by qRT-PCR versus HbF by HPLC. Error bars indicate  $\pm$  SD from three independent experiments.

[0203] FIG. 24F. Bone marrow CD34<sup>+</sup> HSPCs were transduced with LCR-shRNAmiR3 or NT and transplanted into sublethally irradiated NSG-mice (n=3 per group). Untransduced cells were used as a control. Fourteen weeks later CD34 cells were isolated from the bone marrow of transplanted animals and subjected to erythroid differentiation in vitro for 14 days. Expression of  $\gamma$ -globin and  $\beta$ -globin was assessed in cells sorted for Venus reporter expression.

[0204] FIG. 25 shows the deep sequencing of 247 processed TRC shRNA products in four cell lines.

[0205] FIG. 26 shows the *in vivo* expression profile of the LCR-shRNA<sup>miR</sup> vector.

[0206] FIG. 27A is a Western blot of *in vitro* differentiated erythroid cells derived from transduced CD34+ cells from healthy donors showing BCL11A isoforms (L and XL) and  $\beta$ -ACTIN as loading control and demonstrating effective knock-down of BCL11A XL. VCN determined by DNA PCR is show below each lane.

[0207] FIG. 27B shows quantification of BCL11A knock down in erythroid cells. Data is derived from Western blots as shown in FIG. 27A. Data summarizes three independent experiments using cells from a single donor. (Error bars: SD)

[0208] FIG. 27C shows induction of gamma globin in erythroid cells as assessed by RT-qPCR and hemoglobin (HbF) assessed by HPLC. (Error bars: SD)

[0209] FIG. 28 shows induction of gamma globin in erythroid cells as assessed by RT-qPCR. The amount of gamma globin induction in the erythroid cells is a measure of the *in vivo* BCL11A knockdown in the cells. Error bars: SD. Data from three transplanted animals per group is shown.

[0210] FIG. 29A shows Western blots showing of BCL11A (L and XL isoforms) and  $\beta$ -ACTIN as loading control and demonstrates effective knock-down of BLC11A-XL. Each panel (labeled 1-6 below the lane) represents an independent experiment using cells from a single donor.

[0211] FIG. 29B shows quantification of BCL11A knock down in erythroid cells. Data is derived from Western blots shown in FIG. 29A. (Error bar: SD)

[0212] FIG. 29C shows resulting induction of HbF by HPLC. (Error bars: SD)

[0213] FIG. 30 shows the sequences used in both SFFV and LCR backbones for the knockdown of *BCL11A* in CD34+ differentiated erythroid cells.

[0214] FIG. 31 shows Western blots of the *BCL11A* knockdown in CD34+ differentiated erythroid cells.

#### DETAILED DESCRIPTION

[0215] The disclosure described herein is based, in part, on development of lentiviral gene therapy vectors that selectively express the BCL11A-targeting shRNA in progeny of hematopoietic stem cells (HSC). Accordingly, the disclosure encompasses novel methods for the regulation of  $\gamma$ -globin expression in erythroid cells. More specifically, these activities can be harnessed in methods for the treatment of hemoglobinopathies, including SCD and THAL, by induction of  $\gamma$ -globin via inhibition of the BCL11A gene product. In particular embodiments,

lentiviral gene therapy vectors that selectively express the BCL11A-targeting shRNA in progeny of HSCs, hematopoietic progenitor cells, or other stem cells such as embryonic cells are provided.

**[0216]** Normal adult hemoglobin comprises four globin proteins, two of which are alpha ( $\alpha$ ) proteins and two of which are beta ( $\beta$ ) proteins. During mammalian fetal development, particularly in humans, the fetus produces fetal hemoglobin, which comprises two gamma ( $\gamma$ )-globin proteins instead of the two  $\beta$ -globin proteins. At some point during fetal development, a globin fetal switch occurs at which point erythrocytes in the fetus switch from making predominantly  $\gamma$ -globin to making predominantly  $\beta$ -globin. The developmental switch from production of predominantly fetal hemoglobin or HbF ( $\alpha 2\gamma 2$ ) to production of adult hemoglobin or HbA ( $\alpha 2\beta 2$ ) begins at about 28 to 34 weeks of gestation and continues shortly after birth until HbA becomes predominant. This switch results primarily from decreased transcription of the  $\gamma$ -globin genes and increased transcription of  $\beta$ -globin genes. On average, the blood of a normal adult contains only about 2% HbF, though residual HbF levels have a variance of over 20-fold in healthy adults (Atweh, Semin. Hematol. 38:367-73 (2001)).

**[0217]** Hemoglobinopathies encompass a number of anemias of genetic origin in which there is a decreased production and/or increased destruction (hemolysis) of red blood cells (RBCs). These also include genetic defects that result in the production of abnormal hemoglobins with a concomitant impaired ability to maintain oxygen concentration. Some such disorders involve the failure to produce normal  $\beta$ -globin in sufficient amounts, while others involve the failure to produce normal  $\beta$ -globin entirely. These disorders associated with the  $\beta$ -globin protein are referred to generally as hemoglobinopathies. For example, SCD results from a point mutation in the  $\beta$ -globin structural gene, leading to the production of abnormal (sickled) hemoglobin (HbS). HbS RBCs are more fragile than normal RBCs and undergo hemolysis more readily, leading eventually to anemia (Atweh, Semin. Hematol. 38(4):367-73 (2001)). THAL results from a partial or complete defect in the expression of the  $\beta$ -globin gene, leading to deficient or absent HbA.

**[0218]** The search for treatment aimed at reduction of globin chain imbalance in patients with hemoglobinopathies has focused on the pharmacologic manipulation of fetal HbF. The therapeutic potential of such approaches is demonstrated by observations that certain populations of adult patients with  $\beta$  chain abnormalities and higher than normal levels of HbF experience a milder clinical course of disease than patients with normal adult levels of HbF. For example, a group of Saudi Arabian sickle cell anemia patients who express 20-30% HbF have only mild clinical manifestations of the disease (Pembrey, et al., Br. J. Haematol. 40: 415-429 (1978)). It is now accepted that hemoglobinopathies, such as SCD and THAL, are ameliorated

by increased HbF production (Jane et al., Br. J. Haematol. 102: 415-422 (1998); Bunn, N. Engl. J. Med. 328: 129-131 (1993)).

**[0219]** The transcriptional repressor BCL11A represents a therapeutic target for  $\beta$ -hemoglobinopathies. RNA interference was applied using pol III promoter-expressed short hairpin RNAs (shRNAs) to reduce BCL11A expression in hematopoietic cells. Knockdown of BCL11A in murine hematopoietic stem cells (HSCs) impaired long-term engraftment. To avoid HSC toxicity, the expression of BCL11A in erythroid cells was selectively suppressed via pol II promoter expressed microRNA adapted shRNAs (shRNAmiRs). With identical target matched sequences, markedly reduced knockdown was observed using pol II vectors due to 3-5 nt differences in the guide strands between the systems that strongly influence target knockdown. A corresponding 4 nt shift was engineered into guide strands of shRNAmiRs that surprisingly and unexpectedly improved the knockdown of *BCL11A* and derepression of Hbb- $\gamma$ , a functional homolog of the human  $\gamma$ -globin gene in a murine erythroid cell line. The modified shRNAmiRs were expressed in an erythroid-specific fashion to circumvented the adverse effects on murine HSC engraftment, and this led to efficient BCL11A knockdown and high levels of HbF in human CD34-derived erythroid cells. A strategy was developed for the prospective design of shRNAmiRs derived from pol III-expressed shRNA screens. This strategy constitutes an improved approach to genetic therapy in hemoglobinopathies and other diseases requiring lineage-specific expression of gene silencing sequences.

**[0220]** Retroviral and Lentiviral Vectors

**[0221]** In some embodiments, the present disclosure provides improved compositions and methods for treating hemoglobinopathies using retrovirus-based, e.g., lentivirus-based, gene delivery vectors that achieve sustained, high-level expression of transferred therapeutic genes in erythroid cells or erythroid precursor cells. In one embodiment of the invention, the vector comprises an artificial miRNA comprising targeting sequences to BCL11A cloned into the stem loop of the endogenous miR-223 sequence (Amendola et al., Mol Ther 17:1039-52, 2009). The stem/loop structure of the present vectors are generated by complementary sequences of the oligonucleotides of SEQ ID NOs:1-18 and 25-44 disclosed herein. See FIGS. 1, 12A, 14A, 21A, and EXAMPLE 11. This stem/loop structure was cloned into a miR-223/miR-30 background. The entire miRNA/shRNA structure was then cloned into a cassette with a SFFV, TET, or LCR promoter containing self-inactivating (SIN) vector. Particular lentiviral vectors of the invention are described by Pawliuk et al. (2001) Science 294:2368 and Imren et al. (2002) PNAS 99:14380.

**[0222]** Accordingly, in one aspect, provided herein is a synthetic BCL11A microRNA comprising a first BCL11A segment, a loop segment, and a second BCL11A segment arranged

in tandem in a 5' to 3' direction, wherein the loop segment is between and directly linked to the first and second BCL11A segments, and wherein the second BCL11A segment is complementary to the first BCL11A segment such that the first and second BCL11A segments base pair to form a hairpin loop with the loop segment forming the loop portion of the hairpin loop thus formed.

**[0223]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first and second BCL11A segments are about 18 to 25 nucleotides long. The first BCL11A segment is derived from a BCL11A sequence and gives rise to the passenger strand during shRNA processing to a duplex siRNA and the second BCL11A segment is complementary to first BCL11A segment, wherein the second BCL11A segment gives rise to the guide strand that is incorporated into the RNA Interference Specificity Complex (RISC) for RNA interference or BCL11A gene silencing.

**[0224]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first and second BCL11A segments are derived from BCL11A mRNA sequence.

**[0225]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first BCL11A segment starts with a -GCGC- at the 5' end and the second BCL11A segment ends with a -GCGC- at the 3' end.

**[0226]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first BCL11A segment further consist a -GCGC- at the 5' end and the second BCL11A segment ends with a -GCGC- at the 3' end.

**[0227]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first BCL11A segment starts with a -GCGA- , -TCTG-, or -TG- at the 5' end and the second BCL11A segment is complementary to first BCL11A segment.

**[0228]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first BCL11A segment further consist a -GCGA- , -TCTG-, or -TG- at the 5' end.

**[0229]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the second BCL11A segment ends with a -TTTT- at the 3' end.

**[0230]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the synthetic BCL11A microRNA comprise a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44.

**[0231]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the synthetic BCL11A microRNA consists of a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44.

**[0232]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the synthetic BCL11A microRNA consist essentially of a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44.

**[0233]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the first BCL11A segment is selected from the group consisting of CGCACAGAACACTCATGGATT (SEQ. ID. NO: 46; derived from BCL11A miR1 oligo described herein), CCAGAGGATGACGATTGTTA (SEQ. ID. NO: 47; derived from BCL11A miR2 oligo described herein), TCGGAGACTCCAGACAATCGC (SEQ. ID. NO: 48; derived from BCL11A E3 oligo or shRNA1 or E3 described herein), CCTCCAGGCAGCTCAAAGATC, (SEQ. ID. NO: 49; derived from shRNA2 or B5 described herein), TCAGGACTAGGTGCAGAACATGT (SEQ. ID. NO: 50; derived from shRNA4 or B11 described herein), TTCTCTTGCAACACGCACAGA (SEQ. ID. NO: 51; derived from BCL11A D8 oligo or shRNA3 or D8 described herein), GATCGAGTGTGAATAATGAT (SEQ. ID. NO: 52; derived from shRNA5 or 50D12 or D12 described herein), CAGTACCCTGGAGAACACAT (SEQ. ID. NO: 53; derived from shRNA5 or 50A5 described herein), CACTGTCCACAGGAGAACGCCA (SEQ. ID. NO: 54; derived from shRNA7 or 50B11 described herein), ACAGTACCCTGGAGAACACA (SEQ. ID. NO: 55; derived from BCL11A XLC4, shRNA8 and 50C4 described herein), CAACAAGATGAAGAGCACCAA (SEQ. ID. NO: 56; derived from BCL11A Non-targeting oligos described herein), gcgcCGCACAGAACACTCATG (SEQ. ID. NO: 57; derived from miR1G5 oligo described herein), GCGCTCGGAGACTCCAGACAA (SEQ. ID. NO: 58; derived from E3G5 or E3 mod oligo or shRNA1mod described herein), gcgcCCTCCAGGCAGCTCAAA (SEQ. ID. NO: 59; derived from B5G5 or shRNA2mod described herein); gcgcTCAGGACTAGGTGCAGA (SEQ. ID. NO: 60; derived from B11G5 or shRNA4mod described herein); gcgcGATCGAGTGTGAATAA (SEQ. ID. NO: 61; derived from 50D12G5, D12G4 or shRNA5mod described herein); gcgcCAGTACCCTGGAGAAC (SEQ. ID. NO: 62; derived from 50A5G5 or shRNA6mod described herein); gcgcCACTGTCCACAGGAGAA (SEQ. ID. NO: 63; derived from 50B11G5 or shRNA7mod described herein); GCGCTTCTCTTGCAACACGCA (SEQ. ID. NO: 64; derived from BCL11A D8G5 or D8 mod or shRNA3mod described herein), GCGCACAGTACCCTGGAGAAA (SEQ. ID. NO: 65; derived from BCL11A C4G5, or C4 mod or shRNA8mod described herein), CGCACAGAACACTCATGGATT (SEQ. ID. NO: 66; derived from BCL11A D12G5-2 described herein), and ACGCTCGCACAGAACACTCATGGATT (SEQ. ID. NO: 67; derived from BCL11A D12G5-2 described herein).

**[0234]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the loop segment is derived from a microRNA. In one embodiment, the microRNA is a hematopoietic specific microRNA. For examples, miR-142, miR-155, miR-181 and miR-223.

**[0235]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the microRNA is miR223.

**[0236]** In one embodiment of any one of the synthetic BCL11A microRNA described herein, the loop segment is ctccatgtggtagag (SEQ ID NO:68).

**[0237]** In one aspect, the present specification provides an isolated nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0238]** Accordingly, in one aspect, the present specification provides a composition comprising at least one nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0239]** Accordingly, in one aspect, the present specification provides a composition comprising at least a vector or a bacterium comprising a nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0240]** In one aspect, the present specification provides a host cell comprising a vector or virus which comprises at least one nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0241]** In one aspect, the present specification provides a host cell comprising a vector, virus or a bacterium which comprises at least one nucleic acid molecule comprising a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0242]** In one embodiment, the vector is a viral vector or a virus.

**[0243]** RNA interference (RNAi) mediated by short interfering RNAs (siRNA) or microRNAs (miRNA) is a powerful method for post-transcriptional regulation of gene expression. RNAi has been extensively used for the study of biological processes in mammalian cells and could constitute a therapeutic approach to human diseases in which selective modulation of gene expression would be desirable. Depending on the degree of complementarity between miRNA and target mRNA sequences, loss of gene expression occurs by inducing degradation of the cognate mRNA or by translational attenuation. Endogenous miRNAs are transcribed as primary transcripts and subsequently processed by the RNase III enzyme

Drosha,(1) to create a stem loop structure. Nuclear export and cleavage by Dicer generates a mature short double stranded molecule (siRNA) that is separated into guide and passenger strands. The guide strand is loaded into the RNA induced silencing complex (RISC), the effector complex mediating cleavage of target mRNAs with the functional guide strand binding to RISC proteins (2) while the passenger strand is degraded [reviewed in (3)]. The loading of guide versus passenger strands into RISC largely depends on the 5' end stability of the siRNA, with the less stable strand preferentially incorporated into RISC (4, 5), although the exact regulation in mammalian cells is incompletely understood. The 5' end of the guide strand contains the "seed region," which is critical for target identification (6, 7). Precise cleavage by Drosha and Dicer is critical for the generation of guide RNAs with defined seed regions that mediate efficient binding to the appropriate target mRNAs. Inaccurate processing results in binding to off-target molecules but a shift in cleavage sites also alters the nucleotide composition of duplex ends, which may have a profound effect on strand loading into RISC (8).

**[0244]** The inhibiting the expression of selected target polypeptides is through the use of RNA interference agents. RNA interference (RNAi) uses small interfering RNA (siRNA) duplexes that target the messenger RNA encoding the target polypeptide for selective degradation. siRNA-dependent post-transcriptional silencing of gene expression involves cleaving the target messenger RNA molecule at a site guided by the siRNA. RNAi is an evolutionally conserved process whereby the expression or introduction of RNA of a sequence that is identical or highly similar to a target gene results in the sequence specific degradation or specific post-transcriptional gene silencing (PTGS) of messenger RNA (mRNA) transcribed from that targeted gene (see Coburn, G. and Cullen, B. (2002) *J. Virology* 76(18):9225), thereby inhibiting expression of the target gene. In one embodiment, the RNA is double stranded RNA (dsRNA). This process has been described in plants, invertebrates, and mammalian cells. In nature, RNAi is initiated by the dsRNA-specific endonuclease Dicer, which promotes processive cleavage of long dsRNA into double-stranded fragments termed siRNAs. siRNAs are incorporated into a protein complex (termed "RNA induced silencing complex," or "RISC") that recognizes and cleaves target mRNAs. RNAi can also be initiated by introducing nucleic acid molecules, e.g., synthetic siRNAs or RNA interfering agents, to inhibit or silence the expression of target genes. As used herein, "inhibition of target gene expression" includes any decrease in expression or protein activity or level of the target gene or protein encoded by the target gene as compared to a situation wherein no RNA interference has been induced. The decrease will be of at least 10%, at least 20%, at least 30%, at least 40%, at least 50%, at least 60%, at least 70%, at least 80%, at least 90%, at least 95%, at least 99%, or more as compared to the expression of a

target gene or the activity or level of the protein encoded by a target gene which has not been targeted by an RNA interfering agent.

**[0245]** The terms “RNA interference agent” and “RNA interference” as they are used herein are intended to encompass those forms of gene silencing mediated by double-stranded RNA, regardless of whether the RNA interfering agent comprises an siRNA, miRNA, shRNA or other double-stranded RNA molecule. siRNA is defined as an RNA agent which functions to inhibit expression of a target gene, e.g., by RNAi. An siRNA may be chemically synthesized, may be produced by in vitro transcription, or may be produced within a host cell. In one embodiment, siRNA is a double stranded RNA (dsRNA) molecule of about 15 to about 40 nucleotides in length, preferably about 15 to about 28 nucleotides, more preferably about 19 to about 25 nucleotides in length, and more preferably about 19, 20, 21, 22, or 23 nucleotides in length, and may contain a 3' and/or 5' overhang on each strand having a length of about 0, 1, 2, 3, 4, or 5 nucleotides. The length of the overhang is independent between the two strands, i.e., the length of the overhang on one strand is not dependent on the length of the overhang on the second strand. Preferably the siRNA is capable of promoting RNA interference through degradation or specific post-transcriptional gene silencing (PTGS) of the target messenger RNA (mRNA).

**[0246]** siRNAs also include small hairpin (also called stem loop) RNAs (shRNAs). In one embodiment, these shRNAs are composed of a short (e.g., about 19 to about 25 nucleotide) antisense strand, followed by a nucleotide loop of about 5 to about 9 nucleotides, and the analogous sense strand. Alternatively, the sense strand may precede the nucleotide loop structure and the antisense strand may follow. These shRNAs may be contained in plasmids, retroviruses, and lentiviruses and expressed from, for example, the pol III U6 promoter, or another promoter (see, e.g., Stewart, et al. (2003) RNA April; 9(4):493-501). The target gene or sequence of the RNA interfering agent may be a cellular gene or genomic sequence, e.g., the BCL11A sequence. An siRNA may be substantially homologous to the target gene or genomic sequence, or a fragment thereof. As used in this context, the term “homologous” is defined as being substantially identical, sufficiently complementary, or similar to the target mRNA, or a fragment thereof, to effect RNA interference of the target. In addition to native RNA molecules, RNA suitable for inhibiting or interfering with the expression of a target sequence include RNA derivatives and analogs. Preferably, the siRNA is identical to its target. The siRNA preferably targets only one sequence. Each of the RNA interfering agents, such as siRNAs, can be screened for potential off-target effects by, for example, expression profiling. Such methods are known to one skilled in the art and are described, for example, in Jackson et al. *Nature Biotechnology* 6:635-637, 2003. In addition to expression profiling, one may also screen the

potential target sequences for similar sequences in the sequence databases to identify potential sequences which may have off-target effects. For example, 15, or perhaps as few as 11 contiguous nucleotides, of sequence identity are sufficient to direct silencing of non-targeted transcripts. Therefore, one may initially screen the proposed siRNAs to avoid potential off-target silencing using the sequence identity analysis by any known sequence comparison methods, such as BLAST. siRNA sequences are chosen to maximize the uptake of the antisense (guide) strand of the siRNA into RISC and thereby maximize the ability of RISC to target BCL11A mRNA for degradation. This can be accomplished by scanning for sequences that have the lowest free energy of binding at the 5'-terminus of the antisense strand. The lower free energy leads to an enhancement of the unwinding of the 5'-end of the antisense strand of the siRNA duplex, thereby ensuring that the antisense strand will be taken up by RISC and direct the sequence-specific cleavage of the human BCL11A mRNA. siRNA molecules need not be limited to those molecules containing only RNA, but, for example, further encompasses chemically modified nucleotides and non-nucleotides, and also include molecules wherein a ribose sugar molecule is substituted for another sugar molecule or a molecule which performs a similar function. Moreover, a non-natural linkage between nucleotide residues can be used, such as a phosphorothioate linkage. The RNA strand can be derivatized with a reactive functional group of a reporter group, such as a fluorophore. Particularly useful derivatives are modified at a terminus or termini of an RNA strand, typically the 3' terminus of the sense strand. For example, the 2'-hydroxyl at the 3' terminus can be readily and selectively derivatized with a variety of groups. Other useful RNA derivatives incorporate nucleotides having modified carbohydrate moieties, such as 2'-O-alkylated residues or 2'-O-methyl ribosyl derivatives and 2'-O-fluoro ribosyl derivatives. The RNA bases may also be modified. Any modified base useful for inhibiting or interfering with the expression of a target sequence may be used. For example, halogenated bases, such as 5-bromouracil and 5-iodouracil can be incorporated. The bases may also be alkylated, for example, 7-methylguanosine can be incorporated in place of a guanosine residue. Non-natural bases that yield successful inhibition can also be incorporated. The most preferred siRNA modifications include 2'-deoxy-2'-fluorouridine or locked nucleic acid (LNA) nucleotides and RNA duplexes containing either phosphodiester or varying numbers of phosphorothioate linkages. Such modifications are known to one skilled in the art and are described, for example, in Braasch et al., *Biochemistry*, 42: 7967-7975, 2003. Most of the useful modifications to the siRNA molecules can be introduced using chemistries established for antisense oligonucleotide technology. Preferably, the modifications involve minimal 2'-O-methyl modification, preferably excluding such modification. Modifications also preferably exclude modifications of the free 5'-hydroxyl groups of the siRNA. The Examples herein

provide specific examples of RNA interfering agents, such as shRNA molecules that effectively target BCL11A mRNA.

**[0247]** Polymerase (pol) III driven short hairpin RNAs (shRNAs) are most commonly used in biological experimental settings. shRNAs mimic the structure of miRNA precursor intermediates, and thus bypass the first cleavage step mediated by Drosha. shRNAs can be abundantly expressed to provide efficient knockdown. However at high multiplicities of infection (MOI), oversaturation of the endogenous RNAi machinery has been reported in some cases to be associated with cytotoxic effects due to the dysregulation of endogenous miRNAs (9-11). Two components of microRNA processing, Exportin5 and Ago2, seem to limit the capacity of this pathway, and overexpression of these proteins results in increased knockdown capacity (12-15). Additionally, activation of innate immune responses triggered by small RNAs in a sequence specific as well as non-specific manner may mediate cytotoxic side effects (16, 17), reviewed in (18). These effects have resulted in increased mortality in mice in some experimental transgenic model systems reportedly as a direct side effect of shRNA over-expression (14, 19).

**[0248]** For clinical translation of RNAi based therapeutics, alternative expression systems utilizing polymerase II promoters will likely be required. This class of promoters allows for utilization of appropriate regulatory elements for lineage or even cell-type specific expression. It also could provide lower levels of expression compared to pol III promoters, which may obviate over-saturation of the processing machinery that have been reported in cells transduced at high MOIs. Complicating the use of pol II promoters for shRNA expression, requires embedding of the shRNA sequences into flanking sequences usually derived from endogenous miRNA precursors for efficient processing. shRNAs flanked by a miRNA scaffold mimic the structure of endogenous miRNAs (10, 20). To date, flanking regions derived from human miRNA-30 and miRNA-223 have been widely used for incorporation of recombinant shRNAs for expression in mammalian cells, and there have been numerous efforts to better understand and to improve this expression strategy (21). The latter miRNA has been shown to be particularly effective when used as scaffold for shRNA expression in hematopoietic cells and mediates substantial knockdown of target mRNAs as a result of efficient processing and low passenger strand activity in several hematopoietic cell types (21, 22).

**[0249]** In this disclosure, the inventors utilized BCL11A as a target to study the processing and optimization of shRNAmirs for potential therapeutic applications. BCL11A is a validated therapeutic target for reactivation of  $\gamma$ -globin gene and therefore HbF expression in the major hemoglobinopathies, sickle cell disease (SCD) and  $\beta$ -thalassemias. Down modulation or genetic deletion of BCL11A relieves  $\gamma$ -globin repression (23) and inactivation of BCL11A in the

erythroid lineage prevents SCD phenotype and organ toxicities in genetically engineered mice (24). The mouse embryonic Hbb- $\gamma$  gene is a functional homolog of the human  $\gamma$ -globin gene, and therefore serves as a convenient surrogate for assessment of the effect of BCL11A knockdown in murine erythroleukemia (MEL) cells. Initially we observed a markedly reduced efficiency of knockdown of BCL11A upon expression of shRNA using pol II-based as compared with pol III-based vectors. Pol III and pol II shRNAmiR designs typically incorporate 21 base target site matched sequences within the palindromic hairpin stem, but the transcripts from these two types of expression cassettes are expected to be processed differently (25). The pol II shRNAmiR transcripts enter the RNAi processing pathway upstream of Drosha processing, whereas the much shorter pol III products are expected to enter the pathway downstream of Drosha and to be cleaved only at the loop end by Dicer. Based upon the sequences of processed small RNAs derived from pol III and pol II promoters we observed that pol III shRNA cassettes and pol II shRNAmiR cassettes yielded different processed shRNAs with respect to the relative positioning of the 21 base target-matched sequences. Redesigned shRNAmiRs that mimicked the mature guide strand sequences produced by effective pol III-driven shRNAs led to enhancement in processing efficiency and inhibition of the target mRNA. Incorporation of these modifications into an erythroid-specific mammalian expression vector led to significant knockdown of BCL11A protein and re-induction of fetal hemoglobin. This strategy also avoided toxicity in the hematopoietic stem cell and B cell lineage compartments that accompanied pan-hematopoietic shRNA expression. In summary, the data demonstrate critical features of RNA processing relevant to the use of shRNA in different vector contexts, and also provide a strategy for lineage-specific gene knockdown that circumvents adverse consequences of widespread expression. Our findings have important implications for design of microRNA embedded shRNAs and their application in RNAi based gene therapy approaches.

**[0250]** In one embodiment, the RNA interference agent is delivered or administered in a pharmaceutically acceptable carrier. Additional carrier agents, such as liposomes, can be added to the pharmaceutically acceptable carrier. In another embodiment, the RNA interference agent is delivered by a vector encoding small or short hairpin RNA (shRNA) in a pharmaceutically acceptable carrier to the cells in an organ of an individual. The shRNA is converted by the cells after transcription into siRNA capable of targeting, for example, BCL11A.

**[0251]** In one embodiment, the RNA interference agent is a nucleic acid molecule comprising the nucleotide sequence selected from the group consisting of SEQ ID NOS: 1-18, and 25-44, or a synthetic BCL11A microRNA described herein.

**[0252]** In one embodiment, the vector is a regulatable vector, such as tetracycline inducible vector. Methods described, for example, in Wang et al. Proc. Natl. Acad. Sci. 100:

5103-5106, using pTet-On vectors (BD Biosciences Clontech, Palo Alto, Calif.) can be used. In one embodiment, the RNA interference agents used in the methods described herein are taken up actively by cells in vivo following intravenous injection, e.g., hydrodynamic injection, without the use of a vector, illustrating efficient in vivo delivery of the RNA interfering agents. One method to deliver the siRNAs is catheterization of the blood supply vessel of the target organ. Other strategies for delivery of the RNA interference agents, e.g., the siRNAs or shRNAs used in the methods of the invention, may also be employed, such as, for example, delivery by a vector, e.g., a plasmid or viral vector, e.g., a lentiviral vector. Such vectors can be used as described, for example, in Xiao-Feng Qin et al. Proc. Natl. Acad. Sci. U.S.A., 100: 183-188. Other delivery methods include delivery of the RNA interfering agents, e.g., the siRNAs or shRNAs of the invention, using a basic peptide by conjugating or mixing the RNA interfering agent with a basic peptide, e.g., a fragment of a TAT peptide, mixing with cationic lipids or formulating into particles. The RNA interference agents, e.g., the siRNAs targeting BCL11A mRNA, may be delivered singly, or in combination with other RNA interference agents, e.g., siRNAs, such as, for example siRNAs directed to other cellular genes. BCL11A siRNAs may also be administered in combination with other pharmaceutical agents which are used to treat or prevent diseases or disorders associated with oxidative stress, especially respiratory diseases, and more especially asthma. Synthetic siRNA molecules, including shRNA molecules, can be obtained using a number of techniques known to those of skill in the art. For example, the siRNA molecule can be chemically synthesized or recombinantly produced using methods known in the art, such as using appropriately protected ribonucleoside phosphoramidites and a conventional DNA/RNA synthesizer (see, e.g., Elbashir, S. M. et al. (2001) *Nature* 411:494-498; Elbashir, S. M., W. Lendeckel and T. Tuschl (2001) *Genes & Development* 15:188-200; Harborth, J. et al. (2001) *J. Cell Science* 114:4557-4565; Masters, J. R. et al. (2001) *Proc. Natl. Acad. Sci., USA* 98:8012-8017; and Tuschl, T. et al. (1999) *Genes & Development* 13:3191-3197). Alternatively, several commercial RNA synthesis suppliers are available including, but not limited to, Proligo (Hamburg, Germany), Dharmacaon Research (Lafayette, Colo., USA), Pierce Chemical (part of Perbio Science, Rockford, Ill., USA), Glen Research (Sterling, Va., USA), ChemGenes (Ashland, Mass., USA), and Cruachem (Glasgow, UK). As such, siRNA molecules are not overly difficult to synthesize and are readily provided in a quality suitable for RNAi. In addition, dsRNAs can be expressed as stem loop structures encoded by plasmid vectors, retroviruses and lentiviruses (Paddison, P. J. et al. (2002) *Genes Dev.* 16:948-958; McManus, M. T. et al. (2002) *RNA* 8:842-850; Paul, C. P. et al. (2002) *Nat. Biotechnol.* 20:505-508; Miyagishi, M. et al. (2002) *Nat. Biotechnol.* 20:497-500; Sui, G. et al. (2002) *Proc. Natl. Acad. Sci., USA* 99:5515-5520; Brummelkamp, T. et al. (2002) *Cancer Cell* 2:243; Lee, N. S., et

al. (2002) *Nat. Biotechnol.* 20:500-505; Yu, J. Y., et al. (2002) *Proc. Natl. Acad. Sci., USA* 99:6047-6052; Zeng, Y., et al. (2002) *Mol. Cell.* 9:1327-1333; Rubinson, D. A., et al. (2003) *Nat. Genet.* 33:401-406; Stewart, S. A., et al. (2003) *RNA* 9:493-501). These vectors generally have a polIII promoter upstream of the dsRNA and can express sense and antisense RNA strands separately and/or as a hairpin structures. Within cells, Dicer processes the short hairpin RNA (shRNA) into effective siRNA. The targeted region of the siRNA molecule of the present invention can be selected from a given target gene sequence, e.g., a BCL11A coding sequence, beginning from about 25 to 50 nucleotides, from about 50 to 75 nucleotides, or from about 75 to 100 nucleotides downstream of the start codon. Nucleotide sequences may contain 5' or 3' UTRs and regions nearby the start codon. One method of designing a siRNA molecule of the present invention involves identifying the 23 nucleotide sequence motif and selecting hits with at least 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70% or 75% G/C content. Alternatively, if no such sequence is found, the search may be extended using the motif NA(N<sub>21</sub>), where N can be any nucleotide. In this situation, the 3' end of the sense siRNA may be converted to TT to allow for the generation of a symmetric duplex with respect to the sequence composition of the sense and antisense 3' overhangs. The antisense siRNA molecule may then be synthesized as the complement to nucleotide positions 1 to 21 of the 23 nucleotide sequence motif. The use of symmetric 3' TT overhangs may be advantageous to ensure that the small interfering ribonucleoprotein particles (siRNPs) are formed with approximately equal ratios of sense and antisense target RNA-cleaving siRNPs (Elbashir et al., (2001) *supra* and Elbashir et al., 2001 *supra*). Analysis of sequence databases, including but not limited to the NCBI, BLAST, Derwent, and GenSeq as well as commercially available oligosynthesis companies such as OLIGOENGINE®, may also be used to select siRNA sequences against EST libraries to ensure that only one gene is targeted.

**[0253]** Lentiviral vectors of the invention include, but are not limited to, human immunodeficiency virus (e.g., HIV-1, HIV-2), feline immunodeficiency virus (FIV), simian immunodeficiency virus (SIV), bovine immunodeficiency virus (BIV), and equine infectious anemia virus (EIAV). These vectors can be constructed and engineered using art-recognized techniques to increase their safety for use in therapy and to include suitable expression elements and therapeutic genes, such as those described below, which encode siRNAs for treating conditions including, but not limited to, hemoglobinopathies.

**[0254]** In consideration of the potential toxicity of lentiviruses, the vectors can be designed in different ways to increase their safety in gene therapy applications. For example, the vector can be made safer by separating the necessary lentiviral genes (e.g., gag and pol) onto separate vectors as described, for example, in U.S. Patent No. 6,365,150. Thus, recombinant

retrovirus can be constructed such that the retroviral coding sequence (gag, pol, env) is replaced by a gene of interest rendering the retrovirus replication defective. The replication defective retrovirus is then packaged into virions through the use of a helper virus or a packaging cell line, by standard techniques. Protocols for producing recombinant retroviruses and for infecting cells *in vitro* or *in vivo* with such viruses can be found in Current Protocols in Molecular Biology, Ausubel, F. M. et al. (eds.) Greene Publishing Associates, (1989), Sections 9.10-9.14 and other standard laboratory manuals.

**[0255]** A major prerequisite for the use of viruses as gene delivery vectors is to ensure the safety of their use, particularly with regard to the possibility of the spread of wild-type virus in the cell population. The development packaging cell lines, which produce only replication-defective retroviruses, has increased the utility of retroviruses for gene therapy, and defective retroviruses are well characterized for use in gene transfer for gene therapy purposes (for a review see Miller, A. D. (1990) Blood 76:271). Accordingly, in one embodiment of the invention, packaging cell lines are used to propagate vectors (e.g., lentiviral vectors) of the invention to increase the titer of the vector virus. The use of packaging cell lines is also considered a safe way to propagate the virus, as use of the system reduces the likelihood that recombination will occur to generate wild-type virus. In addition, to reduce toxicity to cells that caused by expression of packaging proteins, packaging systems can be used in which the plasmids encoding the packaging functions of the virus are only transiently transfected by, for example, chemical means.

**[0256]** In another embodiment, the vector can be made safer by replacing certain lentiviral sequences with non-lentiviral sequences. Thus, lentiviral vectors of the present disclosure may contain partial (e.g., split) gene lentiviral sequences and/or non-lentiviral sequences (e.g., sequences from other retroviruses) as long as its function (e.g., viral titer, infectivity, integration and ability to confer high levels and duration of therapeutic gene expression) are not substantially reduced. Elements which may be cloned into the viral vector include, but are not limited to, promoter, packaging signal, LTR(s), polypurine tracts, and a reverse response element (RRE).

**[0257]** In one embodiment of the disclosure, the LTR region is modified by replacing the viral LTR promoter with a heterologous promoter. In one embodiment, the promoter of the 5' LTR is replaced with a heterologous promoter. Examples of heterologous promoters which can be used include, but are not limited to, a spleen focus-forming virus (SFFV) promoter, a tetracycline-inducible (TET) promoter, a  $\beta$ -globin locus control region and a  $\beta$ -globin promoter (LCR), and a cytomegalovirus (CMV) promoter.

**[0258]** In some embodiments, the lentiviral vectors of the disclosure also include vectors which have been modified to improve upon safety in the use of the vectors as gene delivery agents in gene therapy. In one embodiment of the invention, an LTR region, such as the 3' LTR, of the vector is modified in the U3 and/or U5 regions, wherein a SIN vector is created. Such modifications contribute to an increase in the safety of the vector for gene delivery purposes. In one embodiment, the SIN vector of the invention comprises a deletion in the 3' LTR wherein a portion of the U3 region is replaced with an insulator element. The insulator prevents the enhancer/promoter sequences within the vector from influencing the expression of genes in the nearby genome, and vice/versa, to prevent the nearby genomic sequences from influencing the expression of the genes within the vector. In a further embodiment of the invention, the 3' LTR is modified such that the U5 region is replaced, for example, with an ideal poly(A) sequence. It should be noted that modifications to the LTRs such as modifications to the 3' LTR, the 5' LTR, or both 3' and 5' LTRs, are also included in the invention.

**[0259]** The promoter of the lentiviral vector can be one which is naturally (i.e., as it occurs with a cell *in vivo*) or non-naturally associated with the 5' flanking region of a particular gene. Promoters can be derived from eukaryotic genomes, viral genomes, or synthetic sequences. Promoters can be selected to be non-specific (active in all tissues) (e.g., SFFV), tissue specific (e.g., (LCR), regulated by natural regulatory processes, regulated by exogenously applied drugs (e.g., TET), or regulated by specific physiological states such as those promoters which are activated during an acute phase response or those which are activated only in replicating cells. Non-limiting examples of promoters in the present invention include the spleen focus-forming virus promoter, a tetracycline-inducible promoter, a  $\beta$ -globin locus control region and a  $\beta$ -globin promoter (LCR), a cytomegalovirus (CMV) promoter, retroviral LTR promoter, cytomegalovirus immediate early promoter, SV40 promoter, and dihydrofolate reductase promoter. The promoter can also be selected from those shown to specifically express in the select cell types which may be found associated with conditions including, but not limited to, hemoglobinopathies. In one embodiment of the invention, the promoter is cell specific such that gene expression is restricted to red blood cells. Erythrocyte-specific expression is achieved by using the human  $\beta$ -globin promoter region and locus control region (LCR).

**[0260]** Skilled practitioners will recognize that selection of the promoter to express the polynucleotide of interest will depend on the vector, the nucleic acid cassette, the cell type to be targeted, and the desired biological effect. Skilled practitioners will also recognize that in the selection of a promoter, the parameters can include: achieving sufficiently high levels of gene expression to achieve a physiological effect; maintaining a critical level of gene expression; achieving temporal regulation of gene expression; achieving cell type specific expression;

achieving pharmacological, endocrine, paracrine, or autocrine regulation of gene expression; and preventing inappropriate or undesirable levels of expression. Any given set of selection requirements will depend on the conditions but can be readily determined once the specific requirements are determined. In one embodiment of the invention, the promoter is cell-specific such that gene expression is restricted to red blood cells. Erythrocyte-specific expression is achieved by using the human  $\beta$ -globin promoter region and locus control region (LCR).

**[0261]** Standard techniques for the construction of expression vectors suitable for use in the present invention are well-known to those of ordinary skill in the art and can be found in such publications as Sambrook et al. (1989) Molecular Cloning: A Laboratory Manual, 2nd Ed. Cold Spring Harbor, N.Y. A variety of strategies are available for ligating fragments of DNA, the choice of which depends on the nature of the termini of the DNA fragments and which choices can be readily made by the skilled artisan.

**[0262]** Gene therapy vectors of the present invention, such as the foregoing lentiviral vectors, can be used to express a variety of therapeutic siRNAs in transformed erythroid cells. In one embodiment, the siRNA of interest to be expressed in the vector is derived from a gene that can be used to treat a hemoglobinopathy, such as an siRNA to BCL11A.

**[0263]** Particular gene therapy constructs of the invention include, but are not limited to, those shown in FIG. 2. The three lentiviral vectors described herein are schematically shown with a stem of the shRNA containing BCL11A mRNA targeting sequence, while the loop is miR223-specific. All contain a fluorochrome marker (Venus) and are built into a self-inactivating (SIN) delta-U3 LEGO backbone (Ferhse Lab, Germany). A constitutive knock-down lentivirus, where the targeting shRNA is expressed via the very potent, ubiquitously expressed SFFV promoter, was used to assess functionality and toxicity of the targeting shRNA. An inducible knock-down lentivirus, where the shRNA is expressed via a PGK tetracycline inducible promoter, was used to assess functional, dose- and schedule-dependent effects of the targeting shRNA. A lineage-specific lentivirus, where the shRNA is expressed via a  $\beta$ -globin LCR promoter landscape (HS2/3 DNA hypersensitive sites, Naldini Lab, Italy) is a therapeutic option to validate in *in vivo* systems.. The LTR regions further comprise a U3 and U5 region, as well as an R region. The U3 and U5 regions can be modified together or independently to create a vector which is self-inactivating, thus increasing the safety of the vector for use in gene delivery. The U3 and U5 regions can further be modified to comprise an insulator element.

**[0264]** The step of facilitating the production of infectious viral particles in the cells may be carried out using conventional techniques, such as standard cell culture growth techniques. If desired by the skilled practitioner, lentiviral stock solutions may be prepared using the vectors and methods of the present invention. Methods of preparing viral stock solutions are known in

the art and are illustrated by, e.g., Y. Soneoka et al. (1995) *Nucl. Acids Res.* 23:628-633, and N. R. Landau et al. (1992) *J. Virol.* 66:5110-5113. In the method of producing a stock solution in the present invention, lentiviral-permissive cells (referred to herein as producer cells) are transfected with the vector system of the present invention. The cells are then grown under suitable cell culture conditions, and the lentiviral particles collected from either the cells themselves or from the cell media as described above. Suitable producer cell lines include, but are not limited to, the human embryonic kidney cell line 293, the equine dermis cell line NBL-6, and the canine fetal thymus cell line Cf2TH.

**[0265]** The step of collecting the infectious virus particles also can be carried out using conventional techniques. For example, the infectious particles can be collected by cell lysis, or collection of the supernatant of the cell culture, as is known in the art. Optionally, the collected virus particles may be purified if desired. Suitable purification techniques are well known to those skilled in the art.

**[0266]** Other methods relating to the use of viral vectors in gene therapy can be found in, e.g., Kay, M. A. (1997) *Chest* 111(6 Supp.):1385-1425; Ferry, N. and Heard, J. M. (1998) *Hum. Gene Ther.* 9:1975-81; Shiratory, Y. et al. (1999) *Liver* 19:265-74; Oka, K. et al. (2000) *Curr. Opin. Lipidol.* 11:179-86; Thule, P. M. and Liu, J. M. (2000) *Gene Ther.* 7:1744-52; Yang, N. S. (1992) *Crit. Rev. Biotechnol.* 12:335-56; Alt, M. (1995) *J. Hepatol.* 23:746-58; Brody, S. L. and Crystal, R. G. (1994) *Ann. N.Y. Acad. Sci.* 716:90-101; Strayer, D. S. (1999) *Expert Opin. Investig. Drugs* 8:2159-2172; Smith-Arica, J. R. and Bartlett, J. S. (2001) *Curr. Cardiol. Rep.* 3:43-49; and Lee, H. C. et al. (2000) *Nature* 408:483-8.

**[0267]** Retroviral vectors, including lentiviral vectors, as described above or cells comprising the same, can be administered *in vivo* to subjects by any suitable route, as is well known in the art. The term “administration” refers to the route of introduction of a formulated vector into the body. For example, administration may be intravascular, intraarterial, intravenous, intramuscular, topical, oral, or by gene gun or hypospray instrumentation. Thus, administration can be direct to a target tissue or through systemic delivery. Administration can be direct injection into the bone marrow. Administration directly to the target tissue can involve needle injection, hypospray, electroporation, or the gene gun. See, e.g., WO 93/18759.

**[0268]** Alternatively, the retroviral vectors of the invention can be administered *ex vivo* or *in vitro* to cells or tissues using standard transfection techniques well known in the art.

**[0269]** In one embodiment, the retroviral vectors of the invention can also be transduced into host cells, including embryonic stem cells, somatic stem cells, or progenitor cells. Examples of progenitor host cells which can be transduced by the retroviral vectors of the invention include precursors of erythrocytes and hematopoietic stem cells. In another

embodiment, the host cell is an erythrocyte. Transduced host cells can be used as a method of achieving erythroid-specific expression of the gene of interest in the treatment of hemoglobinopathies.

**[0270]** Another aspect of the invention pertains to pharmaceutical compositions of the lentiviral vectors of the invention. In one embodiment, the composition includes a lentiviral vector in a therapeutically effective amount sufficient to treat or reduce the risk of developing (e.g. ameliorate the symptoms of a hemoglobinopathy) and a pharmaceutically acceptable carrier. A “therapeutically effective amount” refers to an amount effective, at dosages and for periods of time necessary, to achieve the desired therapeutic result, such as treatment or prevention of a hemoglobinopathic condition. A therapeutically effective amount of lentiviral vector may vary according to factors such as the disease state, age, sex, and weight of the individual, and the ability of the lentiviral vector to elicit a desired response in the individual. Dosage regimens may be adjusted to provide the optimum therapeutic response. A therapeutically effective amount is also one in which any toxic or detrimental effects of the lentiviral vector are outweighed by the therapeutically beneficial effects. The potential toxicity of the lentiviral vectors of the invention can be assayed using cell-based assays or art recognized animal models and a therapeutically effective modulator can be selected which does not exhibit significant toxicity. In a preferred embodiment, a therapeutically effective amount of a lentiviral vector is sufficient to treat a hemoglobinopathy.

**[0271]** Sterile injectable solutions can be prepared by incorporating lentiviral vector in the required amount in an appropriate solvent with one or a combination of ingredients enumerated above, as required, followed by filtered sterilization. Generally, dispersions are prepared by incorporating the active compound into a sterile vehicle which contains a basic dispersion medium and the required other ingredients from those enumerated above. In the case of sterile powders for the preparation of sterile injectable solutions, the preferred methods of preparation are vacuum drying and freeze-drying which yields a powder of the active ingredient plus any additional desired ingredient from a previously sterile-filtered solution thereof.

**[0272]** It is to be noted that dosage values may vary with the severity of the condition to be alleviated. It is to be further understood that for any particular subject, specific dosage regimens can be adjusted over time according to the individual need and the professional judgment of the person administering or supervising the administration of the compositions, and that dosage ranges set forth herein are exemplary only and are not intended to limit the scope or practice of the claimed composition. In one embodiment, the dosage is ranges from  $10^3$ - $10^8$  viral particles / 50 kg weight. In other embodiments, the dosage is ranges from  $10^3$ - $10^5$  viral particles / 50 kg weight,  $10^4$ - $10^6$  viral particles / 50 kg weight,  $10^5$ - $10^7$  viral particles / 50 kg weight,  $10^3$ -

$10^8$  viral particles / 50 kg weight. In one embodiment, the dosage is about  $10^4$  viral particles / 50 kg weight.

[0273] The amount of viral vector in the composition may vary according to factors such as the disease state, age, sex, and weight of the individual. Dosage regimens may be adjusted to provide the optimum therapeutic response. For example, a single bolus may be administered, several divided doses may be administered over time or the dose may be proportionally reduced or increased as indicated by the exigencies of the therapeutic situation. It is especially advantageous to formulate parenteral compositions in dosage unit form for ease of administration and uniformity of dosage. Dosage unit form as used herein refers to physically discrete units suited as unitary dosages for the mammalian subjects to be treated; each unit containing a predetermined quantity of active compound calculated to produce the desired therapeutic effect in association with the required pharmaceutical carrier. The specification for the dosage unit forms of the invention are dictated by and directly dependent on (a) the unique characteristics of the active compound and the particular therapeutic effect to be achieved, and (b) the limitations inherent in the art of compounding such an active compound for the treatment of sensitivity in individuals. However, for any given case, an appropriate "effective amount" can be determined by one of ordinary skill in the art using only routine experimentation.

[0274] The present invention contemplates, in particular embodiments, cells genetically modified to express the therapeutic polypeptides and inhibitory RNAs contemplated herein, for use in the treatment of hemoglobinopathies. As used herein, the term "genetically engineered" or "genetically modified" refers to the addition, deletion, or modification of the genetic material in a cell. The terms, "genetically modified cells," "modified cells," and, "redirected cells," are used interchangeably. In particular embodiments, cells transduced with vectors contemplated herein are genetically modified. As used herein, the term "gene therapy" refers to the introduction of extra genetic material in the form of DNA or RNA into the total genetic material in a cell that restores, corrects, or modifies the cell's physiology to provide a desired therapeutic outcome.

[0275] In various embodiments, the genetically modified cells contemplated herein are transduced *in vitro* or *ex vivo* with vectors of the invention, and optionally expanded *ex vivo*. The transduced cells are then administered to a subject in need of gene therapy.

[0276] Cells suitable for transduction and administration in the gene therapy methods contemplated herein include, but are not limited to stem cells, progenitor cells, and differentiated cells. In certain embodiments, the transduced cells are embryonic stem cells, bone marrow stem cells, umbilical cord stem cells, placental stem cells, mesenchymal stem cells, hematopoietic stem cells, erythroid progenitor cells, and erythroid cells.

[0277] Hematopoietic stem cells (HSCs) give rise to committed hematopoietic progenitor cells (HPCs) that are capable of generating the entire repertoire of mature blood cells over the lifetime of an organism. The term "hematopoietic stem cell" or "HSC" refers to multipotent stem cells that give rise to all the blood cell types of an organism, including myeloid (e.g., monocytes and macrophages, neutrophils, basophils, eosinophils, erythrocytes, megakaryocytes/platelets, dendritic cells), and lymphoid lineages (e.g., T-cells, B-cells, NK-cells), and others known in the art (See Fei, R., et al., U.S. Patent No. 5,635,387; McGlave, et al., U.S. Patent No. 5,460,964; Simmons, P., et al., U.S. Patent No. 5,677,136; Tsukamoto, et al., U.S. Patent No. 5,750,397; Schwartz, et al., U.S. Patent No. 5,759,793; DiGuisto, et al., U.S. Patent No. 5,681,599; Tsukamoto, et al., U.S. Patent No. 5,716,827). When transplanted into lethally irradiated animals or humans, hematopoietic stem and progenitor cells can repopulate the erythroid, neutrophil-macrophage, megakaryocyte and lymphoid hematopoietic cell pool.

[0278] In some embodiments, the transduced cells are hematopoietic stem and/or progenitor cells isolated from bone marrow, umbilical cord blood, or peripheral circulation. In particular embodiments, the transduced cells are hematopoietic stem cells isolated from bone marrow, umbilical cord blood, or peripheral circulation.

[0279] In one embodiment, the hematopoietic cells are CD34+ cells.

[0280] In one embodiment, the hematopoietic cells are erythroid progenitor cells.

[0281] In one embodiment, the hematopoietic cells are erythroid cells.

[0282] Cells of the invention can be autologous/autogeneic ("self") or non-autologous ("non-self," e.g., allogeneic, syngeneic or xenogeneic). "Autologous," as used herein, refers to cells from the same subject. "Allogeneic," as used herein, refers to cells of the same species that differ genetically to the cell in comparison. "Syngeneic," as used herein, refers to cells of a different subject that are genetically identical to the cell in comparison. "Xenogeneic," as used herein, refers to cells of a different species to the cell in comparison. In preferred embodiments, the cells of the invention are allogeneic. An "isolated cell" refers to a cell that has been obtained from an *in vivo* tissue or organ and is substantially free of extracellular matrix.

[0283] Illustrative examples of genetically modified cells suitable for cell-based therapies contemplated herein include, but are not limited to: embryonic stem cells, bone marrow stem cells, umbilical cord stem cells, placental stem cells, mesenchymal stem cells, hematopoietic stem cells, hematopoietic progenitor cells, myeloid progenitors, erythroid progenitors, and other erythroid cells.

[0284] In preferred embodiments, cells suitable for cell-based therapies contemplated herein include, but are not limited to: hematopoietic stem or progenitor cells, proerythroblasts,

basophilic erythroblasts, polychromatic erythroblasts, orthochromatic erythroblasts, polychromatic erythrocytes, and erythrocytes (RBCs), or any combination thereof.

**[0285]** Methods of Treating, or Reducing a Risk of Developing, a Hemoglobinopathy

**[0286]** The present invention provides improved compositions and methods for increasing HbF production in a cell, by administering vectors that inhibit expression of BCL11A. The data demonstrate that inhibition of BCL11A leads to increased expression from the  $\gamma$ -globin genes. As disclosed herein, it is an object of the present invention to provide compositions and methods for increasing fetal hemoglobin levels in a cell. In some embodiments, the cell is an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, a hematopoietic progenitor cell or a progeny thereof.

**[0287]** Accordingly, one aspect of the invention provides methods for increasing fetal hemoglobin levels expressed by a cell, comprising the steps of contacting an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic with an effective amount of a composition comprising at least a virus or vector comprising a nucleic acid molecule described herein, whereby the expression of BCL11A is reduced and the fetal hemoglobin expression is increased in the cell, or its progeny, relative to the cell prior to such contacting. In one embodiment, the vector or virus expresses an RNA interference agent which is a BCL11A microRNA which inhibits *BCL11A*, thereby reducing the expression of BCL11A.

**[0288]** In connection with contacting a cell with an inhibitor of BCL11A, “increasing the fetal hemoglobin levels” in a cell indicates that HbF is at least 5% higher in populations treated with a BCL11A inhibitor, than in a comparable, control population, wherein no BCL11A inhibitor is present. It is preferred that the percentage of HbF expression in a BCL11A inhibitor treated population is at least 10% higher, at least 20% higher, at least 30% higher, at least 40% higher, at least 50% higher, at least 60% higher, at least 70% higher, at least 80% higher, at least 90% higher, at least 1-fold higher, at least 2-fold higher, at least 5-fold higher, at least 10 fold higher, at least 100 fold higher, at least 1000-fold higher, or more than a control treated population of comparable size and culture conditions. The term “control treated population” is used herein to describe a population of cells that has been treated with identical media, viral induction, nucleic acid sequences, temperature, confluency, flask size, pH, etc., with the exception of a non-targeting oligonucleotide.

**[0289]** In some embodiments of any of the methods described herein, the subject is suspected of having, is at risk of having, or has a hemoglobinopathy, e.g., SCD or THAL. It is well within the skills of an ordinary practitioner to recognize a subject that has, or is at risk of developing, a hemoglobinopathy.

[0290] The subjects can also be those undergoing any of a variety of additional therapy treatments. Thus, for example, subjects can be those being treated with oxygen, hydroxyurea, folic acid, or a blood transfusion.

[0291] Methods of delivering RNA interference agents, e.g., an siRNA, or vectors containing an RNA interference agent, to the target cells, e.g., erythrocytes or other desired target cells, for uptake include injection of a composition containing the RNA interference agent, e.g., an siRNA, or directly contacting the cell, e.g., a erythrocyte, with a composition comprising an RNA interference agent, e.g., an siRNA. In another embodiment, RNA interference agent, e.g., an siRNA may be injected directly into any blood vessel, such as vein, artery, venule or arteriole, via, e.g., hydrodynamic injection or catheterization. Administration may be by a single injection or by two or more injections. The RNA interference agent is delivered in a pharmaceutically acceptable carrier. One or more RNA interference agent may be used simultaneously. In one preferred embodiment, only one siRNA that targets human BCL11A is used. In one embodiment, specific cells are targeted with RNA interference, limiting potential side effects of RNA interference caused by non-specific targeting of RNA interference. The method can use, for example, a complex or a fusion molecule comprising a cell targeting moiety and an RNA interference binding moiety that is used to deliver RNA interference effectively into cells. For example, an antibody-protamine fusion protein when mixed with siRNA, binds siRNA and selectively delivers the siRNA into cells expressing an antigen recognized by the antibody, resulting in silencing of gene expression only in those cells that express the antigen. The siRNA or RNA interference-inducing molecule binding moiety is a protein or a nucleic acid binding domain or fragment of a protein, and the binding moiety is fused to a portion of the targeting moiety. The location of the targeting moiety can be either in the carboxyl-terminal or amino-terminal end of the construct or in the middle of the fusion protein. A viral-mediated delivery mechanism can also be employed to deliver siRNAs to cells in vitro and in vivo as described in Xia, H. et al. (2002) *Nat Biotechnol* 20(10):1006). Plasmid- or viral-mediated delivery mechanisms of shRNA may also be employed to deliver shRNAs to cells in vitro and in vivo as described in Rubinson, D. A., et al. ((2003) *Nat. Genet.* 33:401-406) and Stewart, S. A., et al. ((2003) *RNA* 9:493-501). The RNA interference agents, e.g., the siRNAs or shRNAs, can be introduced along with components that perform one or more of the following activities: enhance uptake of the RNA interfering agents, e.g., siRNA, by the cell, e.g., lymphocytes or other cells, inhibit annealing of single strands, stabilize single strands, or otherwise facilitate delivery to the target cell and increase inhibition of the target gene, e.g., BCL11A. The dose of the particular RNA interfering agent will be in an amount necessary to effect RNA interference, e.g., post translational gene silencing, of the particular target gene,

thereby leading to inhibition of target gene expression or inhibition of activity or level of the protein encoded by the target gene.

**[0292]** In one embodiment of any methods described herein, the embryonic stem cell, somatic stem cell, progenitor cell, bone marrow cell, or hematopoietic progenitor cell, or hematopoietic stem cell (HSC) is contacted *ex vivo* or *in vitro*. In a specific embodiment, the cell being contacted is a cell of the erythroid lineage. In one embodiment, the composition inhibits BCL11A expression.

**[0293]** In one embodiment of any methods described herein, the embryonic stem cell, somatic stem cell, progenitor cell, bone marrow cell, or hematopoietic progenitor cell, or HSC is isolated from the subject prior to contacting with the composition described herein or contacting with the virus or vector carrying a nucleic acid molecule comprising a nucleic acid sequence selected from a group consisting of SEQ ID NOS:1-10,13-18, 25-44, or contacting with the virus or vector expressing a synthetic BCL11A microRNA described herein.

**[0294]** Mature blood cells have a finite lifespan and must be continuously replaced throughout life. Blood cells are produced by the proliferation and differentiation of a very small population of pluripotent hematopoietic stem cells (HSCs) that also have the ability to replenish themselves by self-renewal. HSCs are multipotent, self-renewing progenitor cells that develop from mesodermal hemangioblast cells. HSCs are the blood cells that give rise to all the other blood cells, that includes all the differentiated blood cells from the erythroid, lymphoid and myeloid lineages. HSCs are located in the adult bone marrow, peripheral blood, and umbilical cord blood.

**[0295]** During differentiation, the progeny of HSCs progress through various intermediate maturational stages, generating multi-potential hematopoietic progenitor cells and lineage-committed hematopoietic progenitor cells, prior to reaching maturity. Bone marrow (BM) is the major site of hematopoiesis in humans and, under normal conditions, only small numbers of HSCs and hematopoietic progenitor cells can be found in the peripheral blood (PB). Treatment with cytokines (in particular granulocyte colony-stimulating factor; G-CSF), myelosuppressive drugs used in cancer treatment, and compounds that disrupt the interaction between hematopoietic cells and BM stromal cells can rapidly mobilize large numbers of stem and progenitor cells into the circulation.

**[0296]** “Hematopoietic progenitor cell” as the term is used herein, refers to cells of a hematopoietic stem cell lineage that give rise to all the blood cell types including the myeloid (monocytes and macrophages, neutrophils, basophils, eosinophils, erythrocytes, megakaryocytes/platelets, dendritic cells), and the lymphoid lineages (T-cells, B-cells, NK-cells). A “cell of the erythroid lineage” indicates that the cell being contacted is a cell that

undergoes erythropoiesis such that upon final differentiation it forms an erythrocyte or red blood cell (RBC). Such cells belong to one of three lineages, erythroid, lymphoid, and myeloid, originating from bone marrow hematopoietic progenitor cells. Upon exposure to specific growth factors and other components of the hematopoietic microenvironment, hematopoietic progenitor cells can mature through a series of intermediate differentiation cellular types, all intermediates of the erythroid lineage, into RBCs. Thus, cells of the “erythroid lineage,” as the term is used herein, comprise hematopoietic progenitor cells, rubriblasts, prorubricytes, erythroblasts, metarubricytes, reticulocytes, and erythrocytes.

**[0297]** In some embodiment of any methods described herein, the hematopoietic progenitor cell has at least one of the cell surface marker characteristic of hematopoietic progenitor cells: CD34+, CD59+, Thy1/CD90+, CD38<sup>lo/-</sup>, and C-kit/CD117+. Preferably, the hematopoietic progenitor cells have several of these markers.

**[0298]** In some embodiment of any methods described herein, the hematopoietic progenitor cells of the erythroid lineage have the cell surface marker characteristic of the erythroid lineage: CD71 and Ter119.

**[0299]** In some embodiment of any methods described herein, the HSC has at least one of the cell surface marker characteristic of hematopoietic progenitor cells: CD34+, CD59+, Thy1/CD90+, CD38<sup>lo/-</sup>, and C-kit/CD117+.

**[0300]** The HSCs, similar to the hematopoietic progenitor cells, are capable of proliferation and giving rise to more progenitor cells having the ability to generate a large number of mother cells that can in turn give rise to differentiated or differentiable daughter cells. The daughter cells themselves can be induced to proliferate and produce progeny that subsequently differentiate into one or more mature cell types, while also retaining one or more cells with parental developmental potential. The term “stem cell” refers then, to a cell with the capacity or potential, under particular circumstances, to differentiate to a more specialized or differentiated phenotype, and which retains the capacity, under certain circumstances, to proliferate without substantially differentiating. In one embodiment, the term progenitor or stem cell refers to a generalized mother cell whose descendants (progeny) specialize, often in different directions, by differentiation, e.g., by acquiring completely individual characters, as occurs in progressive diversification of embryonic cells and tissues. Cellular differentiation is a complex process typically occurring through many cell divisions. A differentiated cell may derive from a multipotent cell which itself is derived from a multipotent cell, and so on. While each of these multipotent cells may be considered stem cells, the range of cell types each can give rise to may vary considerably. Some differentiated cells also have the capacity to give rise to cells of greater developmental potential. Such capacity may be natural or may be induced

artificially upon treatment with various factors. In many biological instances, stem cells are also “multipotent” because they can produce progeny of more than one distinct cell type, but this is not required for “stem-ness.” Self-renewal is the other classical part of the stem cell definition, and it is essential as used in this document. In theory, self-renewal can occur by either of two major mechanisms. Stem cells may divide asymmetrically, with one daughter retaining the stem state and the other daughter expressing some distinct other specific function and phenotype. Alternatively, some of the stem cells in a population can divide symmetrically into two stems, thus maintaining some stem cells in the population as a whole, while other cells in the population give rise to differentiated progeny only. Generally, “progenitor cells” have a cellular phenotype that is more primitive (i.e., is at an earlier step along a developmental pathway or progression than is a fully differentiated cell). Often, progenitor cells also have significant or very high proliferative potential. Progenitor cells can give rise to multiple distinct differentiated cell types or to a single differentiated cell type, depending on the developmental pathway and on the environment in which the cells develop and differentiate.

**[0301]** In one embodiment of any methods described herein, the hematopoietic stem cell or hematopoietic progenitor cell is collected from peripheral blood, cord blood, chorionic villi, amniotic fluid, placental blood, or bone marrow.

**[0302]** In one embodiment of any methods described herein, the embryonic stem cell, somatic stem cell, progenitor cell, or bone marrow cell is collected from peripheral blood, cord blood, chorionic villi, amniotic fluid, placental blood, or bone marrow.

**[0303]** Peripheral blood progenitor cells (PBPC) have become the preferred source of hematopoietic progenitor cells for allogeneic and autologous transplantation because of technical ease of collection and shorter time required for engraftment. Traditionally, granulocyte-colony stimulating factor (G-CSF) has been used to stimulate more PBPC and release of hematopoietic progenitor cells from the bone marrow. Although regimens using G-CSF usually succeed in collecting adequate numbers of PBPC from healthy donors, 5%-10% will mobilize stem cells poorly and may require multiple large volume apheresis or bone marrow harvesting.

**[0304]** In some embodiments of any methods described herein, the embryonic stem cell, somatic stem cell, progenitor cell, bone marrow cell, or hematopoietic progenitor cell, or HSC is selected for the CD34+ surface marker prior to the contacting.

**[0305]** Accordingly, in one embodiment of any methods described herein, the isolated CD34+ embryonic stem cell, isolated CD34+ somatic stem cell, isolated CD34+ progenitor cell, isolated CD34+ bone marrow cell, isolated CD34+ hematopoietic progenitor cell, or isolated CD34+ HSC is contacted with the composition described herein or contacted with the virus or vector carrying a nucleic acid molecule comprising a nucleic acid sequence selected from a

group consisting of SEQ ID NOS:1-10,13-18, 25-44, or contacted with the virus or vector expressing a synthetic BCL11A microRNA described herein.

**[0306]** In one embodiment of any methods described herein, the embryonic stem cell, somatic stem cell, progenitor cell, bone marrow cell, or hematopoietic progenitor cell, or HSC is cryopreserved prior to any contacting with the composition described herein or contacting with the virus or vector carrying a nucleic acid molecule comprising a nucleic acid sequence selected from a group consisting of SEQ ID NOS:1-10,13-18, 25-44, or contacting with the virus or vector expressing a synthetic BCL11A microRNA described herein.

**[0307]** In one embodiment of any methods described herein, the contacting is *in vitro*, *ex vivo* or *in vivo*.

**[0308]** In one embodiment of any methods described herein, the contacting is repeated at least once. That is, after the initial first contacting of the embryonic stem cell, somatic stem cell, progenitor cell, bone marrow cell, or hematopoietic progenitor cell, or HSC with the composition described herein or contacting with the virus or vector carrying a nucleic acid molecule comprising a nucleic acid sequence selected from a group consisting of SEQ ID NOS:1-10,13-18, 25-44, or contacting with the virus or vector expressing a synthetic BCL11A microRNA described herein, the cell is washed, and the washed cell is then contacted for a second time with the composition described herein or contacted with the virus or vector carrying a nucleic acid molecule comprising a nucleic acid sequence selected from a group consisting of SEQ ID NOS:1-10,13-18, 25-44, or contacted with the virus or vector expressing a synthetic BCL11A microRNA described herein.

**[0309]** In other embodiments, the contacting is repeated at least twice after the initial first contacting.

**[0310]** In one embodiment of any methods described herein, after the contacting, the contacted embryonic stem cell, somatic stem cell, progenitor cell, bone marrow cell, or hematopoietic progenitor cell, or HSC is cryopreserved prior to use, for example, *ex vivo* expansion and/or implantation into a subject.

**[0311]** In one embodiment of any methods described herein, after the contacting, the contacted embryonic stem cell, somatic stem cell, progenitor cell, bone marrow cell, or hematopoietic progenitor cell, or HSC is culture expanded *ex vivo* prior to use, for example, cryopreservation, and/or implantation/engraftment into a subject.

**[0312]** In one embodiment of any methods described herein, after the contacting, the contacted embryonic stem cell, somatic stem cell, progenitor cell, bone marrow cell, or hematopoietic progenitor cell, or HSC is differentiated in culture *ex vivo* prior to use, for example, cryopreservation, and/or implantation/engraftment into a subject.

**[0313]** In the context of cell ontogeny, the adjective “differentiated,” or “differentiating” is a relative term. A “differentiated cell” is a cell that has progressed further down the developmental pathway than the cell it is being compared with. Thus, stem cells can differentiate to lineage-restricted precursor cells (such as a hematopoietic progenitor cell), which in turn can differentiate into other types of precursor cells further down the pathway (such as an erythrocyte precursor), and then to an end-stage differentiated cell, such as an erythrocyte, which plays a characteristic role in a certain tissue type, and may or may not retain the capacity to proliferate further.

**[0314]** In one embodiment, the inhibitor of BCL11A expression is a BCL11A specific RNA interference agent, or a vector encoding said BCL11A specific RNA interference agent. In one specific embodiment, the RNA interference agent comprises one or more of the nucleotide sequences of SEQ ID NOS:1-10,13-18, 25-44.

**[0315]** A “nucleic acid,” as described herein, can be RNA or DNA, and can be single or double stranded, and can be selected, for example, from a group including: nucleic acid encoding a protein of interest, oligonucleotides, nucleic acid analogues, for example peptide-nucleic acid (PNA), pseudo-complementary PNA (pc-PNA), and locked nucleic acid (LNA). Such nucleic acid sequences include, for example, but are not limited to, nucleic acid sequence encoding proteins, for example that act as transcriptional repressors, antisense molecules, ribozymes, small inhibitory nucleic acid sequences, for example but are not limited to RNAi, shRNAi, siRNA, microRNAi (miRNA), and antisense oligonucleotides.

**[0316]** As disclosed herein, it is an object of the present invention to provide a method for increasing fetal hemoglobin levels in a subject.

**[0317]** Accordingly, one aspect of the present invention provides a method for increasing fetal hemoglobin levels in a subject in need thereof, the method comprising the step of contacting a hematopoietic progenitor cell or a HSC in the subject with an effective amount of a composition comprising an inhibitor of BCL11A, whereby HbF expression is increased, relative to expression prior to such contacting. In one embodiment, the inhibitor of BCL11A is an RNA interference agent which comprises one or more of the nucleotide sequences of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0318]** In connection with contacting a cell in a subject with an inhibitor of BCL11A, “increasing HbF levels in a subject” indicates that HbF in the subject is at least 5% higher in populations treated with a BCL11A inhibitor, than a comparable, control population, wherein no BCL11A inhibitor is present. It is preferred that the fetal hemoglobin expression in a BCL11A inhibitor treated subject is at least 10% higher, at least 20% higher, at least 30% higher, at least 40% higher, at least 50% higher, at least 60% higher, at least 70% higher, at least 80% higher, at

least 90% higher, at least 1-fold higher, at least 2-fold higher, at least 5-fold higher, at least 10 fold higher, at least 100 fold higher, at least 1000-fold higher, or more than a comparable control treated subject. The term “comparable control treated subject” is used herein to describe a subject that has been treated identically, with the exception of the addition of a non-targeting oligonucleotide.

**[0319]** Accordingly, in one embodiment, the subject has been diagnosed with a hemoglobinopathy. In a further embodiment, the hemoglobinopathy is a SCD. As used herein, SCD can be sickle cell anemia, sickle-hemoglobin C disease (HbSC), sickle beta-plus-thalassaemia (HbS/β+), or sickle beta-zero-thalassaemia (HbS/β0). In another preferred embodiment, the hemoglobinopathy is THAL.

**[0320]** The treatment according to the present invention ameliorates one or more symptoms associated with the disorder by increasing the amount of fetal hemoglobin in the individual. Symptoms typically associated with a hemoglobinopathy, include for example, anemia, tissue hypoxia, organ dysfunction, abnormal hematocrit values, ineffective erythropoiesis, abnormal reticulocyte (erythrocyte) count, abnormal iron load, the presence of ring sideroblasts, splenomegaly, hepatomegaly, impaired peripheral blood flow, dyspnea, increased hemolysis, jaundice, anemic pain crises, acute chest syndrome, splenic sequestration, priapism, stroke, hand-foot syndrome, and pain such as angina pectoris.

**[0321]** In one embodiment, the hematopoietic progenitor cell or HSC is contacted ex vivo or in vitro, and the cell or its progeny is administered to the subject. In a further embodiment, the hematopoietic progenitor cell is a cell of the erythroid lineage.

**[0322]** In one embodiment, the hematopoietic progenitor cell or HSC is contacted with a composition comprising of an inhibitor of BCL11A and a pharmaceutically acceptable carrier or diluent. In one embodiment, the composition is administered by injection, infusion, instillation, or ingestion. In one embodiment, the composition is administered by direct injection into the bone marrow.

**[0323]** In one embodiment of any one method described, the gene therapy method is used to treat, prevent, or ameliorate a hemoglobinopathy is selected from the group consisting of: hemoglobin C disease, hemoglobin sickle cell disease (SCD), sickle cell anemia, hereditary anemia, thalassemia, β-thalassemia, thalassemia major, thalassemia intermedia, α-thalassemia, and hemoglobin H disease.

**[0324]** In various embodiments of any one method described, the retroviral vectors are administered by direct injection to a cell, tissue, or organ of a subject in need of gene therapy, *in vivo*. In various other embodiments of any one method described, cells are transduced *in vitro*

or *ex vivo* with vectors of the invention, and optionally expanded *ex vivo*. The transduced cells are then administered to a subject in need of gene therapy.

**[0325]** A "subject," as used herein, includes any animal that exhibits a symptom of a monogenic disease, disorder, or condition that can be treated with the gene therapy vectors, cell-based therapeutics, and methods disclosed elsewhere herein. In preferred embodiments, a subject includes any animal that exhibits symptoms of a disease, disorder, or condition of the hematopoietic system, e.g., a hemoglobinopathy, that can be treated with the gene therapy vectors, cell-based therapeutics, and methods contemplated herein. Suitable subjects (e.g., patients) include laboratory animals (such as mouse, rat, rabbit, or guinea pig), farm animals, and domestic animals or pets (such as a cat or dog). Non-human primates and, preferably, human patients, are included. Typical subjects include animals that exhibit aberrant amounts (lower or higher amounts than a "normal" or "healthy" subject) of one or more physiological activities that can be modulated by gene therapy.

**[0326]** In one embodiment, as used herein "treatment" or "treating," includes any beneficial or desirable effect on the symptoms or pathology of a disease or pathological condition, and may include even minimal reductions in one or more measurable markers of the disease or condition being treated. In another embodiment, treatment can involve optionally either the reduction or amelioration of symptoms of the disease or condition, or the delaying of the progression of the disease or condition. "Treatment" does not necessarily indicate complete eradication or cure of the disease or condition, or associated symptoms thereof.

**[0327]** In one embodiment, as used herein, "prevent," and similar words such as "prevented," "preventing" etc., indicate an approach for preventing, inhibiting, or reducing the likelihood of the occurrence or recurrence of, a disease or condition. In another embodiment, the term refers to delaying the onset or recurrence of a disease or condition or delaying the occurrence or recurrence of the symptoms of a disease or condition. In another embodiment, as used herein, "prevention" and similar words includes reducing the intensity, effect, symptoms and/or burden of a disease or condition prior to onset or recurrence of the disease or condition.

**[0328]** In one embodiment of any one method described, the method further comprises selecting a subject in need of the gene therapy described. For example, a subject exhibiting symptoms or cytology of a hemoglobinopathy is selected from the group consisting of hemoglobin C disease, hemoglobin sickle cell disease (SCD), sickle cell anemia, hereditary anemia, thalassemia,  $\beta$ -thalassemia, thalassemia major, thalassemia intermedia,  $\alpha$ -thalassemia, and hemoglobin H disease. Alternatively, the subject carries a genetic mutation that is associated with a hemoglobinopathy, a genetic mutation described herein. For example, a subject diagnosis

of SCD with genotype HbSS, HbS/β0 thalassemia, HbSD, or HbSO, and/or with HbF <10% by electrophoresis.

**[0329]** In various embodiments of any one method described, a subject in need of gene therapy is administered a population of cells comprising an effective amount of genetically modified cells contemplated herein. That is a genetically modified cells that express one or more of the nucleotide sequences of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0330]** As used herein, the term "amount" refers to "an amount effective" or "an effective amount" of a virus or transduced therapeutic cell to achieve a beneficial or desired prophylactic or therapeutic result, including clinical results.

**[0331]** A "prophylactically effective amount" refers to an amount of a virus or transduced therapeutic cell effective to achieve the desired prophylactic result. Typically but not necessarily, since a prophylactic dose is used in subjects prior to or at an earlier stage of disease, the prophylactically effective amount is less than the therapeutically effective amount.

**[0332]** A "therapeutically effective amount" of a virus or transduced therapeutic cell may vary according to factors such as the disease state, age, sex, and weight of the individual, and the ability of the stem and progenitor cells to elicit a desired response in the individual. A therapeutically effective amount is also one in which any toxic or detrimental effects of the virus or transduced therapeutic cells are outweighed by the therapeutically beneficial effects. The term "therapeutically effective amount" includes an amount that is effective to "treat" a subject (e.g., a patient).

**[0333]** In one embodiment, the present invention provides a method of providing a transduced cell to a subject that comprises administering, e.g., parenterally, one or more cells transduced with a vector contemplated herein into the subject. In one embodiment, the vector is one that carries one or more of the nucleotide sequences of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0334]** In a particular embodiment, a method of preventing, ameliorating, or treating a hemoglobinopathy in a subject is provided. The method comprises administering a population of cells comprising hematopoietic cells transduced with a vector contemplated herein. In one embodiment, the vector is one that carries one or more of the nucleotide sequences of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0335]** In particular embodiments, a population of cells administered to a subject comprises hematopoietic stem or progenitor cells, proerythroblasts, basophilic erythroblasts, polychromatic erythroblasts, orthochromatic erythroblasts, polychromatic erythrocytes, and erythrocytes (RBCs), or any combination thereof, and any proportion of which may be

genetically modified by the vectors contemplated herein. In one embodiment, the vector is one that carries one or more of the nucleotide sequences of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

**[0336]** The genetically modified cells may be administered as part of a bone marrow or cord blood transplant in an individual that has or has not undergone bone marrow ablative therapy. In one embodiment, genetically modified cells contemplated herein are administered in a bone marrow transplant to an individual that has undergone chemoablative or radioablative bone marrow therapy.

**[0337]** In one embodiment, a dose of genetically modified cells is delivered to a subject intravenously. In one embodiment, genetically modified hematopoietic cells are intravenously administered to a subject.

**[0338]** In particular embodiments, patients receive a dose of genetically modified cells, e.g., hematopoietic stem cells, of about  $1 \times 10^5$  cells/kg, about  $5 \times 10^5$  cells/kg, about  $1 \times 10^6$  cells/kg, about  $2 \times 10^6$  cells/kg, about  $3 \times 10^6$  cells/kg, about  $4 \times 10^6$  cells/kg, about  $5 \times 10^6$  cells/kg, about  $6 \times 10^6$  cells/kg, about  $7 \times 10^6$  cells/kg, about  $8 \times 10^6$  cells/kg, about  $9 \times 10^6$  cells/kg, about  $1 \times 10^7$  cells/kg, about  $5 \times 10^7$  cells/kg, about  $1 \times 10^8$  cells/kg, or more in one single intravenous dose. In certain embodiments, patients receive a dose of genetically modified cells, e.g., hematopoietic stem cells, of at least  $1 \times 10^5$  cells/kg, at least  $5 \times 10^5$  cells/kg, at least  $1 \times 10^6$  cells/kg, at least  $2 \times 10^6$  cells/kg, at least  $3 \times 10^6$  cells/kg, at least  $4 \times 10^6$  cells/kg, at least  $5 \times 10^6$  cells/kg, at least  $6 \times 10^6$  cells/kg, at least  $7 \times 10^6$  cells/kg, at least  $8 \times 10^6$  cells/kg, at least  $9 \times 10^6$  cells/kg, at least  $1 \times 10^7$  cells/kg, at least  $5 \times 10^7$  cells/kg, at least  $1 \times 10^8$  cells/kg, or more in one single intravenous dose.

**[0339]** In an additional embodiment, patients receive a dose of genetically modified cells, e.g., hematopoietic stem cells, of about  $1 \times 10^5$  cells/kg to about  $1 \times 10^8$  cells/kg, about  $1 \times 10^6$  cells/kg to about  $1 \times 10^8$  cells/kg, about  $1 \times 10^6$  cells/kg to about  $9 \times 10^6$  cells/kg, about  $2 \times 10^6$  cells/kg to about  $8 \times 10^6$  cells/kg, about  $2 \times 10^6$  cells/kg to about  $8 \times 10^6$  cells/kg, about  $2 \times 10^6$  cells/kg to about  $5 \times 10^6$  cells/kg, about  $3 \times 10^6$  cells/kg to about  $5 \times 10^6$  cells/kg, about  $3 \times 10^6$  cells/kg to about  $4 \times 10^8$  cells/kg, or any intervening dose of cells/kg.

**[0340]** In various embodiments, the methods of the invention provide more robust and safe gene therapy than existing methods and comprise administering a population or dose of cells comprising about 5% transduced cells, about 10% transduced cells, about 15% transduced cells, about 20% transduced cells, about 25% transduced cells, about 30% transduced cells, about 35% transduced cells, about 40% transduced cells, about 45% transduced cells, or about 50% transduced cells, to a subject.

[0341] In one embodiment, the invention provides genetically modified cells, such as a stem cell, e.g., hematopoietic stem cell, with the potential to expand or increase a population of erythroid cells. In particular embodiments, hematopoietic stem cells are transduced with a vector of the invention and administered to an individual in need of therapy for hemoglobinopathy. Hematopoietic stem cells are the origin of erythroid cells and thus, are preferred. In one embodiment, the vector is one that carries one or more of the nucleotide sequences of SEQ ID NOS:1-10,13-18, 25-44, or a synthetic BCL11A microRNA described herein.

[0342] As used herein, the term “pharmaceutically acceptable,” and grammatical variations thereof, as they refer to compositions, carriers, diluents and reagents, are used interchangeably and represent that the materials are capable of administration to or upon a subject without the production of undesirable physiological effects such as nausea, dizziness, gastric upset, and the like. Each carrier must also be “acceptable” in the sense of being compatible with the other ingredients of the formulation. A pharmaceutically acceptable carrier will not promote the raising of an immune response to an agent with which it is admixed, unless so desired. The preparation of a pharmacological composition that contains active ingredients dissolved or dispersed therein is well understood in the art and need not be limited based on formulation. The pharmaceutical formulation contains a compound of the invention in combination with one or more pharmaceutically acceptable ingredients. The carrier can be in the form of a solid, semi-solid or liquid diluent, cream or a capsule. Typically such compositions are prepared as injectable either as liquid solutions or suspensions, however, solid forms suitable for solution, or suspensions, in liquid prior to use can also be prepared. The preparation can also be emulsified or presented as a liposome composition. The active ingredient can be mixed with excipients which are pharmaceutically acceptable and compatible with the active ingredient and in amounts suitable for use in the therapeutic methods described herein. Suitable excipients are, for example, water, saline, dextrose, glycerol, ethanol or the like and combinations thereof. In addition, if desired, the composition can contain minor amounts of auxiliary substances such as wetting or emulsifying agents, pH buffering agents and the like which enhance the effectiveness of the active ingredient. The therapeutic composition of the present invention can include pharmaceutically acceptable salts of the components therein. Pharmaceutically acceptable salts include the acid addition salts (formed with the free amino groups of the polypeptide) that are formed with inorganic acids such as, for example, hydrochloric or phosphoric acids, or such organic acids as acetic, tartaric, mandelic, and the like. Salts formed with the free carboxyl groups can also be derived from inorganic bases such as, for example, sodium, potassium, ammonium, calcium or ferric hydroxides, and such organic bases

as isopropylamine, trimethylamine, 2-ethylamino ethanol, histidine, procaine and the like.

Physiologically tolerable carriers are well known in the art. Exemplary liquid carriers are sterile aqueous solutions that contain no materials in addition to the active ingredients and water, or contain a buffer such as sodium phosphate at physiological pH value, physiological saline or both, such as phosphate-buffered saline. Still further, aqueous carriers can contain more than one buffer salt, as well as salts such as sodium and potassium chlorides, dextrose, polyethylene glycol and other solutes. Liquid compositions can also contain liquid phases in addition to and to the exclusion of water. Exemplary of such additional liquid phases are glycerin, vegetable oils such as cottonseed oil, and water-oil emulsions. The amount of an active agent used in the invention that will be effective in the treatment of a particular disorder or condition will depend on the nature of the disorder or condition, and can be determined by standard clinical techniques. The phrase "pharmaceutically acceptable carrier or diluent" means a pharmaceutically acceptable material, composition or vehicle, such as a liquid or solid filler, diluent, excipient, solvent or encapsulating material, involved in carrying or transporting the subject agents from one organ, or portion of the body, to another organ, or portion of the body.

**[0343]** In one embodiment of any methods described, as used herein, "administered" refers to the placement of an inhibitor of BCL11A into a subject by a method or route which results in at least partial localization of the inhibitor at a desired site. An agent which inhibits BCL11A can be administered by any appropriate route which results in effective treatment in the subject, i.e., administration results in delivery to a desired location in the subject where at least a portion of the composition delivered, i.e., at least one agent, which inhibits BCL11A, is active in the desired site for a period of time. The period of time the inhibitor is active depends on the half-life in vivo after administration to a subject, and can be as short as a few hours, e.g., at least 1 hour, at least 2 hours, at least 3 hours, at least 4 hours, at least 5 hours, at least 6 hours, at least 8 hours, at least 10 hours, at least 12 hours, at least 18 hours, at least 24 hours, to a few days, to as long as several years. Modes of administration include injection, infusion, instillation, or ingestion. "Injection" includes, without limitation, intravenous, intramuscular, intraarterial, intrathecal, intraventricular, intracapsular, intraorbital, intracardiac, intradermal, intraperitoneal, transtracheal, subcutaneous, subcuticular, intraarticular, sub capsular, subarachnoid, intraspinal, intracerebro spinal, and intrasternal injection and infusion.

**[0344]** In one embodiment, the composition described herein, or the virus or vector carrying a nucleic acid molecule comprising a nucleic acid sequence selected from a group consisting of SEQ ID NOS:1-10,13-18, 25-44, or the virus or vector expressing a synthetic BCL11A microRNA described herein, is injected into the bone marrow.

**[0345]** In one embodiment, the hematopoietic progenitor cell or HSC from a subject needing treatment is contacted with a composition that inhibits BCL11A expression. In other embodiments, the composition comprises a virus or vector carrying a nucleic acid molecule comprising a nucleic acid sequence selected from a group consisting of SEQ ID NOS:1-10,13-18, 25-44, or a virus or vector expressing a synthetic BCL11A microRNA described herein. The subject needing treatment is one diagnosed with a hemoglobinopathy such as SCD or THAL.

**[0346]** By “inhibits BCL11A expression” is meant that the amount of expression of BCL11A is at least 5% lower in populations treated with a BCL11A inhibitor, than a comparable, control population, wherein no BCL11A inhibitor is present. It is preferred that the percentage of BCL11A expression in a BCL11A inhibitor treated population is at least 10% lower, at least 20% lower, at least 30% lower, at least 40% lower, at least 50% lower, at least 60% lower, at least 70% lower, at least 80% lower, at least 90% lower, at least 1-fold lower, at least 2-fold lower, at least 5-fold lower, at least 10 fold lower, at least 100 fold lower, at least 1000-fold lower, or more than a comparable control treated population in which no BCL11A inhibitor is added.

**[0347]** In one embodiment, the nucleic acid is a BCL11A specific RNA interference agent or a vector encoding the RNA interference agent. In one embodiment, the RNA interference agent comprises one or more of the nucleotide sequences of SEQ ID NOS:1-10,13-18, 25-44.

**[0348]** As an example of a method of treatment of a subject or reducing the risk of developing a hemoglobinopathy in a subject, the method comprises administering to the subject a composition comprising modified engineered cells that comprise a vector carrying a nucleic acid sequence selected from the group consisting of SEQ ID NOS:1-10, 13-18 and 25-44, or a BCL11A microRNA described herein. In one embodiment, the method further comprises identifying a subject having a hemoglobinopathy or is at risk of developing a hemoglobinopathy. In another embodiment, the method further comprises selecting the identified subject having a hemoglobinopathy or is at risk of developing a hemoglobinopathy.

**[0349]** As another example of a method of treatment of a subject or reducing the risk of developing a hemoglobinopathy in a subject, the method comprises the following steps: mobilize the hematopoietic stem and hematopoietic progenitor cells in a subject; harvest and collect peripheral blood from the subject, positive selection of CD34+ cells from the peripheral blood, transduce or transfect the CD34+ selected cells *in vitro* with a vector carrying a nucleic acid sequence selected from the group consisting of SEQ ID NOS:1-10, 13-18 and 25-44, or a BCL11A microRNA described herein; wash the transduced CD34+ selected cells; and administer the cells into the subject. In one embodiment, the method further comprises

identifying a subject having a hemoglobinopathy or is at risk of developing a hemoglobinopathy. In one embodiment, the method further comprises selecting the subject having a hemoglobinopathy or is at risk of developing a hemoglobinopathy. In another embodiment, the method further comprises expanding in culture the washed, transduced CD34+ selected cells *in vitro* prior to administering to the subject. In another embodiment, the method further comprises differentiating in culture the washed, transduced CD34+ selected cells *in vitro* prior to administering to the subject.

[0350] As another example of a method of treatment of a subject or reducing the risk of developing a hemoglobinopathy in a subject, the method comprises the following steps: mobilize the hematopoietic stem and hematopoietic progenitor cells in a donor subject; harvest and collect peripheral blood from the donor subject, positive selection of CD34+ cells from the peripheral blood, transduce or transfect the CD34+ selected cells *in vitro* with a vector carrying a nucleic acid sequence selected from the group consisting of SEQ ID NOS:1-10, 13-18 and 25-44, or a BCL11A microRNA described herein; wash the transduced CD34+ selected cells; and administer the cells into a recipient subject. In one embodiment, the method further comprises selecting a recipient subject having a hemoglobinopathy or is at risk of developing a hemoglobinopathy. In another embodiment, the method further comprises expanding in culture the washed, transduced CD34+ selected cells *in vitro* prior to administering to the recipient subject. In another embodiment, the method further comprises differentiating in culture the washed, transduced CD34+ selected cells *in vitro* prior to administering to the recipient subject.

[0351] As another example of a method of treatment of a subject or reducing the risk of developing a hemoglobinopathy in a subject, the method comprises the following steps: harvest and collect the blood from the bone marrow of a subject, positive selection of CD34+ cells from the bone marrow blood, transduce or transfect the CD34+ selected cells *in vitro* with a vector carrying a nucleic acid sequence selected from the group consisting of SEQ ID NOS:1-10, 13-18 and 25-44, or a BCL11A microRNA described herein; wash the transduced CD34+ selected cells; and administer the cells into the subject. In one embodiment, the method further comprises identifying a subject having a hemoglobinopathy or is at risk of developing a hemoglobinopathy. In one embodiment, the method further comprises selecting the subject having a hemoglobinopathy or is at risk of developing a hemoglobinopathy. In another embodiment, the method further comprises expanding in culture the washed, transduced CD34+ selected cells *in vitro* prior to administering to the subject. In another embodiment, the method further comprises differentiating in culture the washed, transduced CD34+ selected cells *in vitro* prior to administering to the subject.

**[0352]** As another example of a method of treatment of a subject or reducing the risk of developing a hemoglobinopathy in a subject, the method comprises the following steps: harvest and collect the blood from the bone marrow of a donor subject, positive selection of CD34+ cells from the bone marrow blood, transduce or transfect the CD34+ selected cells *in vitro* with a vector carrying a nucleic acid sequence selected from the group consisting of SEQ ID NOS:1-10, 13-18 and 25-44, or a BCL11A microRNA described herein; wash the transduced CD34+ selected cells; and administer the cells into a recipient subject. In one embodiment, the method further comprises identifying a recipient subject having a hemoglobinopathy or is at risk of developing a hemoglobinopathy. In one embodiment, the method further comprises selecting a recipient subject having a hemoglobinopathy or is at risk of developing a hemoglobinopathy. In another embodiment, the method further comprises expanding in culture the washed, transduced CD34+ selected cells *in vitro* prior to administering to the recipient subject. In another embodiment, the method further comprises differentiating in culture the washed, transduced CD34+ selected cells *in vitro* prior to administering to the recipient subject.

**[0353]** In one embodiment, the disclosure herein provides a modified engineered cell comprising a nucleic acid sequence selected from the group consisting of SEQ ID NOS:1-10, 13-18 and 25-44, or a BCL11A microRNA described herein.

**[0354]** In one embodiment, the disclosure herein provides a modified engineered cell that has been transduced or transfected with a vector comprising a nucleic acid sequence selected from the group consisting of SEQ ID NOS:1-10, 13-18 and 25-44, or a BCL11A microRNA described herein. In one embodiment, the vector is a lentivirus.

**[0355]** In one embodiment, the disclosure herein provides a method of treatment of a subject or reducing the risk of developing a hemoglobinopathy in a subject, the method comprises administering a modified engineered cell that has been transduced or transfected with a vector comprising a nucleic acid sequence selected from the group consisting of SEQ ID NOS:1-10, 13-18 and 25-44, or a BCL11A microRNA described herein. In one embodiment, the vector is a lentivirus.

**[0356]** In one embodiment, the disclosure herein provides a method of treatment of a subject or reducing the risk of developing a hemoglobinopathy in a subject, the method comprises administering a modified engineered cell comprising a nucleic acid sequence selected from the group consisting of SEQ ID NOS:1-10, 13-18 and 25-44, or a BCL11A microRNA described herein.

**[0357]** In one embodiment, the modified engineered cell is an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell.

[0358] In one embodiment, the modified engineered cell is a cell that has been differentiated from an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell.

[0359] In one embodiment, the modified engineered cell is a cell that has been differentiated into the erythroid lineage.

[0360] In one embodiment, the modified engineered cell is a cell that has been differentiated into an erythrocyte.

[0361] In one embodiment, the modified engineered cell is a CD34+ cell.

[0362] The present invention can be defined in any of the following numbered paragraphs.

[1] A synthetic BCL11A microRNA comprising a first BCL11A segment, a loop segment; and a second BCL11A segment arranged in tandem in a 5' to 3' direction, wherein the loop segment is between and directly linked to the first and second BCL11A segments, and wherein the second BCL11A segment is complementary to the first BCL11A segment so that the first and second BCL11A segments base pair to form a hairpin loop with the loop segment forming the loop portion of the hairpin loop thus formed.

[2] The synthetic BCL11A microRNA of paragraph 1, wherein the first and second BCL11A segments are about 18 to 25 nucleotides long.

[3] The synthetic BCL11A microRNA of paragraph 1 or 2, wherein the first BCL11A segment contains a sequence derived from a BCL11A mRNA sequence.

[4] The synthetic BCL11A microRNA of any one of paragraphs 1-3, wherein the first BCL11A segment is complementary to the second BCL11A segment.

[5] The synthetic BCL11A microRNA of any one of paragraphs 1-4, wherein the first BCL11A segment starts with a -GCGC- at the 5' end and the second BCL11A segment ends with a -GCGC- at the 3' end.

[6] The synthetic BCL11A microRNA of any one of paragraphs 1-5, wherein the first BCL11A segment is selected from the group consisting of CGCACAGAACACTCATGGATT (SEQ. ID. NO: 46; derived from BCL11A miR1 oligo described herein), CCAGAGGATGACGATTGTTA (SEQ. ID. NO: 47; derived from BCL11A miR2 oligo described herein), TCGGAGACTCCAGACAATCGC (SEQ. ID. NO: 48; derived from BCL11A E3 oligo or shRNA1 or E3 described herein), CCTCCAGGCAGCTCAAAGATC, (SEQ. ID. NO: 49; derived from shRNA2 or B5 described herein), TCAGGACTAGGTGCAGAATGT (SEQ. ID. NO: 50; derived from shRNA4 or B11 described herein), TTCTCTTGCAACACGCACAGA (SEQ. ID. NO:

51; derived from BCL11A D8 oligo or shRNA3 or D8 described herein), GATCGAGTGTGAATAATGAT (SEQ. ID. NO: 52; derived from shRNAs5 or 50D12 oligo D12 described herein), CAGTACCCCTGGAGAACACAT (SEQ. ID. NO: 53; derived from shRNAs5 or 50A5 described herein), CACTGTCCACAGGAGAACCCA (SEQ. ID. NO: 54; derived from shRNA7 or 50B11 described herein), ACAGTACCCCTGGAGAACACA (SEQ. ID. NO: 55; derived from BCL11A XLC4, shRNA8 and 50C4 described herein), CAACAAGATGAAGAGCACCAA (SEQ. ID. NO: 56; derived from BCL11A Non-targeting oligos described herein), gcgcCGCACAGAACACTCATG (SEQ. ID. NO: 57; derived from miR1G5 oligo described herein), GCGCTCGGAGACTCCAGACAA (SEQ. ID. NO: 58; derived from E3G5 or E3 mod oligo or shRNA1mod described herein), gcgcCCTCCAGGCAGCTAAA (SEQ. ID. NO: 59; derived from B5G5 or shRNA2mod described herein); gcgcTCAGGACTAGGTGCAGA (SEQ. ID. NO: 60; derived from B11G5 or shRNA4mod described herein); gcgcGATCGAGTGTGAATAA (SEQ. ID. NO: 61; derived from 50D12G5, D12G4 or shRNA5mod described herein); gcgcCAGTACCCCTGGAGAAC (SEQ. ID. NO: 62; derived from 50A5G5 or shRNA6mod described herein); gcgcCACTGTCCACAGGAGAA (SEQ. ID. NO: 63; derived from 50B11G5 or shRNA7mod described herein); GCGCTTCTCTGCAACACGCA (SEQ. ID. NO: 64; derived from BCL11A D8G5 or D8 mod or shRNA3mod described herein), GCGCACAGTACCCCTGGAGAAA (SEQ. ID. NO: 65; derived from BCL11A C4G5, or C4 mod or shRNA8mod described herein), CGCACAGAACACTCATGGATT (SEQ. ID. NO: 66; derived from BCL11A D12G5-2 described herein), and ACGCTCGCACAGAACACTCATGGATT (SEQ. ID. NO: 67; derived from BCL11A D12G5-2 described herein).

[7] The synthetic BCL11A microRNA of any one of paragraphs 1-6, wherein the loop segment is derived from a microRNA.

[8] The synthetic BCL11A microRNA of paragraph 7, wherein the microRNA is a hematopoietic specific microRNA.

[9] The synthetic BCL11A microRNA of paragraph 8, wherein the microRNA is miR223.

[10] The synthetic BCL11A microRNA of paragraph 9, wherein the loop segment is ctccatgtggtagag.

[11] The synthetic BCL11A microRNA of any one of paragraphs 1- 10, wherein the microRNA comprising a nucleotide sequence selected from the group consisting of SEQ ID NOS:1-10, 13-18 and 25-44.

[12] A method of treating, or reducing a risk of developing, a hemoglobinopathy in a subject, the method comprising expressing *in vivo* at least one synthetic BCL11A microRNA of any one of paragraphs 1-11 in the subject.

[13] The method of paragraph 12, wherein the *in vivo* expression occurs in an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell in the subject.

[14] A method of treating, or reducing a risk of developing, a hemoglobinopathy in a subject, the method comprising expressing at least one synthetic BCL11A microRNA of any one paragraphs 1-11 in an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell of the subject wherein the expression is *ex vivo*, and implanting the cell into the subject.

[15] A method of increasing fetal hemoglobin levels expressed by a cell comprising expressing at least one synthetic BCL11A microRNA of any one paragraphs 1-11 in a cell, wherein the cell is an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell.

[16] The method of any one paragraphs 12-15, wherein the at least one synthetic BCL11A microRNA is operably linked to a promoter and constructed in a vector for expression in a eukaryotic cell.

[17] The method of any one paragraphs 12-16, wherein the at least one synthetic BCL11A microRNA is expressed from a RNA II polymerase.

[18] The method of any one paragraphs 12-17, wherein the at least one synthetic BCL11A microRNA is not expressed from a RNA III polymerase.

[19] The method of paragraph 18, wherein the promoter is selected from a group consisting of a spleen focus-forming virus promoter, a tetracycline-inducible promoter, or a  $\beta$ -globin locus control region and a  $\beta$ -globin promoter.

[20] The method of any one paragraphs 16-19, wherein the vector is a virus.

[21] The method of paragraph 20, wherein the virus is a lentivirus.

[22] The method of paragraph 21, wherein the lentivirus is selected from the group consisting of: human immunodeficiency virus type 1 (HIV-1), human immunodeficiency virus type 2 (HIV-2), caprine arthritis-encephalitis virus (CAEV), equine infectious anemia virus (EIAV), feline immunodeficiency virus (FIV), bovine immune deficiency virus (BIV), and simian immunodeficiency virus (SIV).

- [23] An isolated nucleic acid molecule comprising the nucleotide sequence selected from the group consisting of SEQ ID NOS: 1-10, 13-18, and 25-44.
- [24] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 1.
- [25] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 2.
- [26] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 3.
- [27] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 4.
- [28] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 5.
- [29] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 6.
- [30] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 7.
- [31] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 8.
- [32] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 9.
- [33] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 10.
- [34] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 13.
- [35] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 14.
- [36] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 15.
- [37] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 16.
- [38] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 17.
- [39] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 18.

- [40] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 25.
- [41] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 26.
- [42] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 27.
- [43] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 28.
- [44] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 29.
- [45] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 30.
- [46] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 31.
- [47] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 33.
- [48] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 34.
- [49] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 35.
- [50] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 36.
- [51] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 37.
- [52] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 38.
- [53] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 39.
- [54] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 40.
- [55] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 41.
- [56] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 42.

[57] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 43.

[58] The isolated nucleic acid molecule of paragraph 23, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 44.

[59] A vector comprising the isolated nucleic acid molecule of paragraph 23.

[60] The vector of paragraph 59, wherein the vector further comprises a spleen focus-forming virus promoter, a tetracycline-inducible promoter, or a  $\beta$ -globin locus control region and a  $\beta$ -globin promoter.

[61] A host cell comprising the vector of paragraph 59 or 60.

[62] The cell of paragraph 61, wherein the cell is an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell.

[63] The cell of paragraph 61, wherein the cell is an erythrocyte.

[64] A bacterium comprising the isolated nucleic acid molecule of paragraph 23.

[65] A virus comprising the isolated nucleic acid molecule of paragraph 23.

[66] The virus of paragraph 65, wherein the virus is a lentivirus.

[67] The virus of paragraph 66, wherein the lentivirus is selected from the group consisting of: human immunodeficiency virus type 1 (HIV-1), human immunodeficiency virus type 2 (HIV-2), caprine arthritis-encephalitis virus (CAEV), equine infectious anemia virus (EIAV), feline immunodeficiency virus (FIV), bovine immune deficiency virus (BIV), and simian immunodeficiency virus (SIV).

[68] A composition comprising an isolated nucleic acid molecule of any one of paragraphs 1-58, a vector of paragraphs 59 or 60, a host cell of any one of paragraphs 61-63, or a virus of any one of paragraphs 65-67.

[69] A composition comprising a vector of paragraphs 59 or 60, a host cell of any one of paragraphs 61-63, or a virus of any one of paragraphs 65-67.

[70] The composition of paragraph 68 or 69, further comprising a pharmaceutically acceptable carrier or diluent.

[71] A composition of any one of paragraphs 68-70 for use in the treatment or for reducing a risk of developing a hemoglobinopathy in a subject.

[72] A composition of any one of paragraphs 68-70 for use in the manufacture of medicament in treatment or for reducing a risk of developing, a hemoglobinopathy in a subject.

[73] A composition of any one of paragraphs 68-70 for use in increasing the fetal hemoglobin levels expressed by a cell.

[74] The composition of paragraph 73, wherein the cell is an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell.

[75] A method of treating, or reducing a risk of developing, a hemoglobinopathy in a subject, the method comprising: administering to the subject a therapeutically effective amount of an isolated nucleic acid molecule of any one of paragraphs 1-58, a vector of paragraphs 59 or 60, a host cell of any one of paragraphs 61-63, or a virus of any one of paragraphs 65-67 to the subject, thereby treating, or reducing the risk of developing, the hemoglobinopathy in the subject.

[76] A method of treating, or reducing a risk of developing, a hemoglobinopathy in a subject, the method comprising: administering to the subject a therapeutically effective amount of a composition of any one of paragraphs 68-74 into the subject, thereby treating, or reducing the risk of developing, the hemoglobinopathy in the subject.

[77] A method of treating, or reducing a risk of developing a hemoglobinopathy in a subject, the method comprising increasing fetal hemoglobin levels expressed by a cell in the subject.

[78] The method of any one of paragraphs 75-77, the method further comprising selecting a subject having a hemoglobinopathy or is at risk of developing a hemoglobinopathy.

[79] The method of claim 78, wherein the hemoglobinopathy is sickle cell disease or thalassemia.

[80] The method of any one of paragraphs 75-80, the method further comprising administering to the subject a therapy comprising oxygen, hydroxyurea, folic acid, or a blood transfusion.

[0363] The invention is further described in the following examples, which do not limit the scope of the invention described in the claims.

## EXAMPLES

### *Materials and methods*

[0364] The typical PCR reaction conditions are as follows: 1x reaction buffer (consist of MgCl<sub>2</sub> at 1.5mM; 3.0mM; 4.5mM (final concentration)); 0.2 mM of each of dATP, dCTP, dGTP and dTTP; 25 pmol each primer; 50 ng template DNA; 3-10% (v/v) DMSO to melt structure (this is optional) in a total volume of 100 µl.

[0365] The following is the typical reaction conditions or setting on thermal cycler for the PCR reaction : 94°C for 3-5min, during this time add 1U DNA polymerase or set up reaction

on ice and then put tubes into PCR machine when it gets up to 94°C; followed by 25 cycles of 94°C for 1min; 60°C for 1min, and 70°C for 1min; and end with 4°C till PCR samples are used.

#### EXAMPLE 1

##### *Manufacturing synthetic miRs*

[0366] Three different synthetic miRs were constructed, two which target BCL11A at different sites and a third non-targeting to act as a control. Each of these miRs was inserted into a constitutive expressing vector, a TET-inducible vector, and an erythroid specific vector.

[0367] miRs are made by annealing complimentary oligonucleotides, which have 4 base pair 5' overlaps corresponding to the sticky end left by a restriction digest with BbsI.

[0368] BCL11A miR1 oligos:

[0369] Sense

ACGCTCGCACAGAACACTCATGGATTctccatgtggtagagAATCCATGAGTG  
TTCTGTGCGAG (SEQ ID NO:1)

[0370] Anti-sense

CGCACTCGCACAGAACACTCATGGATTctctaccacatggagAATCCATGAGT  
GTTCTGTGCGA (SEQ ID NO:2)

[0371] BCL11A miR2 oligos:

[0372] Sense

ACGCTCCAGAGGATGACGATTGTTActccatgtggtagagTAAACAATCGTC  
ATCCTCTGGag (SEQ ID NO:3)

[0373] Anti-sense

CGCActCCAGAGGATGACGATTGTTActctaccacatggagTAAACAATCGTC  
ATCCTCTGGa (SEQ ID NO:4)

[0374] Non-targeting oligos:

[0375] Sense

ACGCTCAACAAGATGAAGAGCACCAActccatgtggtagagTTGGTGCTCTC  
ATCTTGTGAG (SEQ ID NO:11)

[0376] Anti-sense

CGCACTCAACAAGATGAAGAGCACCAActctaccacatggagTTGGTGCTCTT  
CATCTTGTGGA (SEQ ID NO:12)

[0377] Oligonucleotide pairs were denatured and then re-annealed (as for oligo cassette in LM-PCR protocol) following which the cassette was purified using microcentrifuge concentration devices. In the meantime, plasmid O.6.pBKS(miR223) was digested with BbsI and purified by running out on an agarose gel (no treatment with alkaline phosphatase). Each oligo cassette was then ligated into the digested O.6.pBKS construct and transformed into

competent bacteria (Stbl3). Bacterial clones were picked and mini-prepped to prepare isolated vectors. The synthetic miRs were sequenced using primers miR223 SEQ FOR and miR223 SEQ REV using DMSO to melt structure.

[0378] miR223 SEQ FOR TAAGCTTGATATCGAATTCC (SEQ ID NO:19)

[0379] miR223 SEQ REV GCTCTAGAACTAGTGGATCC (SEQ ID NO:20)

## EXAMPLE 2

### *Manufacture of constitutive miR vectors*

[0380] Each miR was cloned into the LeGO-V2 lentiviral backbone such that Venus-miR expression is driven by the constitutive SFFV promoter.

[0381] Modification of the Venus cDNA. The Venus cDNA will be amplified via PCR to add a Nael restriction site to the 5' end (as well as maintain a good Kozak consensus sequence) and a NotI site to the 3' end.

[0382] Venus Nael FOR: TTgccccATGGTGAGCAAGGGCGAGG (SEQ ID NO:21)

[0383] Venus NotI REV: TAgcggccgcTTACTTGTACAGCTCGTCC (SEQ ID NO:22)

[0384] The PCR products were run out on an agarose gel and then purified. The purified PCR product were TA-cloned into vector PCR 2.1 TOPO (INVITROGEN™) using the TA cloning kit. Bacterial clones were picked and DNA mini-prepped. Using restriction digest analysis, clones were selected that a) contain the Venus PCR product (EcoRI digest) and b) contain the clone in an orientation where the NotI that was added is next to the NotI site in the polylinker (i.e., so that a NotI digest does not excise the PCR fragment, but instead just linearises the vector). These clones were then sequenced using M13Forward and Reverse primers.

[0385] Insertion of the miR sequences into the Venus-PCR 2.1 TOPO plasmid. The Venus -PCR 2.1 TOPO plasmid was digested with NotI, treated with calf intestinal alkaline phosphatase, then run out on an agarose gel and purified. The synthetic miR constructs were excised from the O.6.pBKS plasmid by double digest with NotI and PspOMI, following by purification by agarose gel extraction. The digested miR inserts were ligated into the Venus-PCR 2.1 TOPO plasmid and the ligation product was used to transform competent bacteria (Stbl3). Individual bacterial clones were picked and mini-prepped. Plasmids that contain the miR insert in the correct orientation (i.e., yield the full fragment when digested with NotI and Nael) were selected.

[0386] Insertion of the Venus-miR cassette into LeGO-V2. The Venus-miR cassette was excised from PCR 2.1 TOPO by double digestion with NotI and Nael, followed by treatment

with Klenow large fragment to blunt the NotI overhang. This cassette was purified by agarose gel extraction. LeGO-V2 or LeGO G2 was digested with BamHI and EcoRI, which released the Venus/eGFP cDNA. This linearized vector was treated with Klenow large fragment to blunt the EcoRI and BamHI overhangs, followed by purification of the vector by agarose gel electrophoresis. The purified Venus-miR cassette and the LeGO vector were ligated together, and the product was used to transform competent bacteria. Individual bacterial clones were picked and DNA mini-prepped. Clones that contain the insert in the correct orientation were selected and grown up and used in maxi preps to manufacture viral supernatant.

### EXAMPLE 3

#### *Manufacture of erythroid-specific miR vectors*

[0387] A polyadenylation signal was attached to the Venus-miR cassettes manufactured described above. The resulting Venus-miR-PolyA cassettes were inserted in the anti-sense orientation into the erythroid specific pRRL-HS3-HS2-B-globin lentiviral vector provided by Guilianna Ferrari.

[0388] Modification of the BGH polyadenylation signal. The BGH polyA signal was amplified via PCR to maintain the PspOMI restriction site at the 5' end and add NaeI and NotI sites to the 3' end.

[0389] BGHpA PspOMI FOR: CGCTCGAGCATGCATCTAGAGG (SEQ ID NO:23)

[0390] BGHpA NaeI/NotI REV:  
TTgcggccgcggcCGCGCTTAATGCGCCGCTACAG (SEQ ID NO:24)

[0391] The PCR products were run out on an agarose gel and then purified. The purified PCR product was TA-cloned into vector PCR 2.1 TOPO (INVITROGEN™) using the TA cloning kit. Bacterial clones were picked and DNA mini-prepped. Using restriction digest analysis, clones were selected that contain the BGHpA PCR product (EcoRI digest and/or NotI/PspOMI double digest). These clones were sequenced using M13Forward and Reverse primers.

[0392] Insertion of the BGHpA sequence into the Venus-miR-PCR 2.1 TOPO plasmids manufactured described above. The BGHpA cassette was excised from PCR 2.1 TOPO by digestion with PspOMI and NotI following which the insert was purified by agarose gel extraction. The Venus-miR-PCR 2.1 TOPO constructs manufactured in step B2 above were digested with NotI and subsequently treated with calf intestinal alkaline phosphatase. The linearized Venus-miR-PCR 2.1 TOPO vector was purified by running out on an agarose gel. The BGHpA insert was ligated into the Venus-miR-PCR 2.1 TOPO vector and the product used to transform competent bacteria (Stbl3). Individual bacterial clones will be picked and mini-

prepped. Plasmids that contain the BGHpA sequence inserted in the correct orientation (yields the whole insert upon digestion with NaeI) were selected.

**[0393]** Insertion of the Venus-miR-BGHpA cassette into pRRL-HS3-HS2-B-globin vector. The Venus-miR-BGHpA cassettes were excised from PCR 2.1 TOPO by digestion with NaeI. These inserts were purified by agarose gel electrophoresis. The pRRL-HS3-HS2-B-globin vector was digested with EcoRV and treated with calf intestinal alkaline phosphatase. The linearized vector was purified by agarose gel electrophoresis. The Venus-miR-BGHpA cassettes were ligated into pRRL-HS3-HS2-B-globin and the ligation product used to transform competent bacteria. Individual bacterial clones were picked and mini-prepped. Plasmids that contain the Venus-miR-BGHpA cassettes in the correct orientation in the pRRL-HS3-HS2-B-globin vector were grown up for maxi prep in order that they can be used to generate lentiviral supernatant.

#### EXAMPLE 4

*In vitro cell RNA interference experiments are performed as follows.*

**[0394]** Murine erythroleukemia cells kept in culture in IMDM with FCS were transduced on fibronectin with SFFV-LVs (NT=scrambled shRNA, miR-2=targeting shRNA) at MOI=2 and sorted for Venus fluorescence. Timepoint analyzed after transduction was day 7. Cells were >95% Venus positive and  $10^6$  cells were collected and RNA extracted, cDNA was obtained by reverse transcription and real-time qPCR was performed for BCL11A and epsi-gamma globin mRNAs with Gapdh as an internal control transcript (FIG. 3). A standard curve method was employed to quantify expression.

*In vivo RNA interference experiments in mice are performed as follows.*

**[0395]** BoJ donor derived LSK HSCs were transplanted into lethally irradiated C57/BL6 mice after transduction on fibronectin with SFFV-LVs (NT=scrambled shRNA, miR-l=targeting shRNA) at MOI=2. Injected cell dose was 100,000 cells per mouse. Venus positive WBC carrying animals at 4 months were pooled (n=2) and bone marrow sorted for Venus fluorescence after viability stain (7-AAD) (FIG. 3). RNA extraction and qPCR was performed as above.

#### EXAMPLE 5

*LCR-LV*

**[0396]** Murine erythroleukemia cells kept in culture in IMDM with FCS were transduced on fibronectin with LCR-LVs (NT=scrambled shRNA, miR-l=targeting shRNA) at MOI=2 and MOI=100 and sorted for Venus fluorescence. Timepoint analyzed after transduction was day 7. Cells were >95% Venus positive and  $10^6$  cells were collected and RNA extracted, cDNA was obtained by reverse transcription and real-time qPCR was performed for BCL11A and epsi-

gamma globin mRNAs with Gapdh as an internal control transcript (FIG. 4). A standard curve method was employed to quantify expression.

#### *TET-LV*

**[0397]** Murine erythroleukemia cells kept in culture in IMDM with FCS were transduced on fibronectin with TET-LVs (NT=scrambled shRNA, miR-1=targeting shRNA) at MOI=2 and sorted for Venus fluorescence after exposure to doxycycline at differential concentrations.

Timepoint analyzed after transduction was day 7. Cells were >95% Venus positive and  $10^6$  cells were collected and RNA extracted, cDNA was obtained by reverse transcription and real-time qPCR was performed for epsilon-gamma globin mRNA with Gapdh as an internal control transcript (FIG. 4). A standard curve method was employed to quantify expression.

#### **EXAMPLE 6**

**[0398]** Peripheral blood SCD-patient derived CD34+ circulating HSC were fractionated from discarded apheresis material (approximately 200 ml,  $10^6$  CD34+ cells). Cells were transduced with SFFV-LVs (NT=scrambled shRNA, miR-1=targeting shRNA) at MOI=2 on fibronectin and differentiated as modified from Giarratana et al. (Nat Biotech 2005). Cells were analyzed maturational acquisition of erythroid surface markers (GPA, CD71) by flow cytometry. Erythroid cells sequentially acquire erythroblast and erythrocyte morphology and express Venus fluorescence. Cells are collected at terminal differentiation stage and RNA extracted and qPCR analysis performed to evaluate gamma-globin mRNA induction by miR-1 SFFV-IV compared to scrambled (NT) control (FIG. 5).

#### **EXAMPLE 7**

**[0399]** Peripheral blood SCD-patient derived CD34+ circulating HSC were fractionated from discarded apheresis material (approximately 200 ml,  $10^6$  CD34+ cells). Cells were transduced with LCR-LVs (NT=scrambled shRNA, miR-1=targeting shRNA) at MOI=2 on fibronectin and injected at 30,000 cells/animal into sub-lethally irradiated NSG mice without prior sorting. Animals were bled at 4 weeks post-injection and RBCs fixed and permeabilized. HbF stain was performed and identified a LCR-LV-miR-1 animal with human HbF levels at 10% (FIG. 6).

#### **EXAMPLE 8**

**[0400]** Cord blood derived CD34+ human HSCs were transduced on fibronectin with SFFV-LVs (NT=scrambled shRNA, miR-1=targeting shRNA) at MOI=2 and sorted for Venus fluorescence. Cells were also visualized by fluorescent microscopy on MS-5 stroma. The cells were differentiated along the B-lymphopoietic path by methods modified from Luo et al. (Blood 2009). Cells were analyzed weekly for the acquisition of mature B-lymphocyte surface markers and loss of immature progenitor markers to identify a block in differentiation caused by the

knock-down of BCL11A via SFFV-LVs. Cells were collected at weekly timepoints, and RNA extracted to verify BCL11A mRNA knockdown by shRNA targeting via SFFV-LV-miR-1 (FIG. 7).

#### EXAMPLE 9

***Optimization of lentivirus vector RNA polymerase II driven microRNA embedded shRNAs for enhanced processing and efficient knockdown of BCL11A for induction of fetal hemoglobin in erythroid cells.***

[0401] RNA interference (RNAi) technology using short hairpin RNAs (shRNAs) expressed via pol III promoters has been widely exploited to modulate gene expression in a variety of mammalian cell types. To achieve lineage-specific targeting of mRNAs, expression of shRNAs via pol II promoters is required, necessitating embedding the shRNA in mammalian microRNA (shRNAmiR) sequences for expression and processing. Here, in order to achieve knockdown of the *BCL11A* transcription factor in hematopoietic cells, which has direct translational application in hemoglobinopathies, we compared the efficiency of mRNA modulation via pol III vs pol II based lentiviral vectors. Repression of the *BCL11A* protein could represent a therapeutic target for sickle cell disease and  $\beta$ -thalassemias, as its knock-down has been shown to induce the expression of the fetal HBG ( $\gamma$ -globin) gene ultimately leading to enhance levels of the fetal hemoglobin tetramer (HbF,  $\alpha_2\gamma_2$ ). In the mouse, *BCL11A* is a key repressor of murine Hbb- $\gamma$  gene representing a murine HBG homolog. The inventors demonstrate up to 100-1000 fold lower Hbb- $\gamma$  induction due to reduced *BCL11A* knockdown efficiency using shRNAmiR vs pol III mediated shRNA vector backbones. In order to understand the molecular basis for these differences, the inventors conducted small RNA sequence analysis of cells transduced by multiple shRNA–shRNAmiR pairs. The inventors show that shRNAs expressed via a U6 pol III promoter yield guide strand sequences that differ by a 4bp shift compared to pol II driven (shRNAmiR) mature guide strand sequences. RNA sequencing demonstrated that the stretch of uridines making up part of the pol III termination signal is transcribed and included at the 3' end of the mature shRNA in a pol III vector backbone. The absence of these additional sequences is associated with a corresponding shift in the dicer cleavage site, thereby generating a different mature shRNA with an alternate seed sequence influencing the efficacy of target gene knockdown in pol II based vectors. In addition, both the absolute abundance and the ratio of guide to passenger strand are significantly different in cells transduced with either pol II or pol III based vectors. Incorporating a 4bp shift in the guide strand of shRNAmiR resulted in a faithfully processed (a mature guide strand sequence identical to U6-driven sh-RNAs) shRNA sequence and improved knock-down efficiency of *BCL11A* by 50-70% at the protein level and was associated with a 100-300-fold enhancement of

Hbb- $\gamma$  induction in murine erythroleukemia cells. The inventors have discovered a modified strategy for the prospective design of shRNAmiR vector backbones to achieve lineage-specific regulation of target genes.

#### EXAMPLE 10

##### *Optimization of miRNA-embedded shRNAs for lineage-specific BCL11A knockdown and hemoglobin F induction.*

###### *Materials and methods*

###### *Design and screening of shRNAs*

[0402] U6 promoter-driven lentiviral vectors (pol III-puro) expressing different shRNAs targeting *BCL11A/BCL11A* mRNA were obtained from the Broad Institute (Cambridge, MA). The pol III-puro has hPGK promoter driven puromycin selection marker. More than 100 shRNAs targeting either both XL/L forms or only XL form and 3'UTR region were screened in MEL cells in a 96 well format using a Qiagen Turbocapture plate and with a multiplexed Taqman qRT-PCR reaction measuring *Gapdh* and *Hbb- $\gamma$* .

###### *Construction of shRNAmiR constructs*

[0403] The shRNAmiR, vectors were constructed by cloning the shRNA sequences with flanking mir223 sequences into the lentiviral LeGO-V2 vector containing a SFFV-driven Venus reporter (28). The shRNAmiR sequences with mir223 loop were synthesized by gencscript USA Inc. (NJ, USA) and the resulting shRNA<sup>miR</sup>s were cloned into the pol II backbone downstream of the Venus coding sequence using XbaI and BamH1 sites. All the sequences of shRNAs are listed in FIG. 21A. A non-targeting control shRNA sequence was designed and named as SFFV-shRNAmiRNT or NT in short form. The SFFV-GFP vector, not containing any shRNA cassette and expressing GFP via an SFFV promoter, was used as a mock control (33).

###### *Virus production and titration*

[0404] Lentiviral vector supernatants were generated by co-transfecting 10 $\mu$ g of lentiviral transfer vectors, 10  $\mu$ g of gag-pol, 5  $\mu$ g of rev and 2  $\mu$ g of VSVG packaging plasmids into HEK293T cells in a 10 cm culture dish using calcium phosphate reagent (INVITROGEN<sup>TM</sup>). Supernatants were collected at 24 h and 48 h after transfection, filtered through a 0.4 micron membrane (CORNING<sup>®</sup>, NY, USA) and subsequently concentrated by ultracentrifugation at 23000 rpm for 2 h in a Beckmann XL-90 centrifuge using SW-28 swinging buckets. To determine the titer, NIH3T3 cells were infected with the virus in the presence of polybrene (8  $\mu$ g/ml) and analyzed 48 h post-transduction by FACS for Venus expression (pol II constructs) or by puromycin selection (1 mg/ml, pol III constructs).

###### *Cell culture*

**[0405]** 3T3, 293T and MEL cells were maintained in DULBECCO's modified Eagle's or RPMI medium supplemented with 10% fetal calf serum, 2% penicillin-streptomycin and 2 mM glutamine, respectively.

*In vitro erythroid differentiation culture*

**[0406]** Frozen stocks of primary human CD34+ cells were obtained from mobilized peripheral blood of healthy donors (Center of Excellence in Molecular Hematology at Fred Hutchinson Cancer Research Center, Seattle or the Flow Core at Boston Children's Hospital) according a protocol approved by the BCH Institutional Review Board. Erythroid differentiation protocol used is based on a 3-phase protocol adapted from (48). The cells were cultured in erythroid differentiation medium (EDM) based on IMDM (Iscove modified DULBECCO's medium), (CELLGRO®) supplemented with stabilized glutamine, 330 µg/mL holo- human transferrin (SIGMA®), 10 µg/mL recombinant human insulin (SIGMA®), 2 IU/mL heparin Choay (SIGMA®) and 5% solvent/detergent virus inactivated (S/D) plasma. During the first phase of expansion (days 0 to 7), CD34+cells were cultured in EDM (erythroid differentiated medium) in the presence of 10-6 M hydrocortisone (HC) (SIGMA®), 100 ng/mL SCF (R&D SYSTEMSTM), 5 ng/mL IL-3 (R&D SYSTEMSTM), ) and 3 IU/mL Epo (AMGEN®). On day 4, cells were resuspended in EDM containing SCF, IL-3, Epo and HC. In the second phase (days 7 to 11), the cells were resuspended in EDM supplemented with SCF and Epo. In the third phase (day 11 to day 18), the cells were cultured in EDM supplemented with Epo alone. The cultures were maintained at 37°C in 5% CO<sub>2</sub> in air.

*Transduction and flow cytometry for in vitro culture*

**[0407]** MEL and CD34+cells were transduced with lentiviral vectors expressing U6-shRNA or SFFV-shRNAmiR in the presence of polybrene (8 µg/ml) (SIGMA-ALDRICH® Corp. St. Louis, MO, US) for MEL cells and 10 µM prostaglandin E2 and 2µg/ml polybrene for CD34+ cells and centrifuged for two hours at (2000r pm) at room temperature. Live cells were either sorted for Venus expression (pol II vectors) 48h post transduction by using BD FACS Aria II (BD BIOSCIENCES®) or cells were selected in the presence of puromycin (1 mg/ml, pol III constructs). For FACS analysis 7AAD (INVITROGEN™) was included as dead cell marker. CD34+ cells were labeled with Allophycocyanin (APC), phycoerythrin (PE), and PE-Cyanine7 conjugated antibodies. Anti-CD235 (glycophorin A) -PE, anti-CD71-APC, or anti-CD71- PE-Cyanine7 antibodies and DRAQ-5 (all EBIOSCIENCE®) were used for phenotyping. Analyses were performed on LSR-II flow cytometer (BECTON DICKINSON®) using Diva or FloJoX (TREESTAR™) software.

*Isolation, transduction and flow cytometry for mouse transplantation experiments*

**[0408]** Lineage negative mouse bone marrow cells were isolated by flushing femur, tibia and hip of CD45.1 BoyJ (B6.SJL-Ptprca Pepcb/BoyJ) and CD45.2 B6 mice (C57BL/6J) followed by lineage depletion using the Mouse Lineage Cell depletion kit (Miltenyi, Biotec Inc., San Diego, USA). Cells were cultured at a density of 0.2-1x10<sup>6</sup> cells/ml in 100 ng/ml mSCF, 20 ng/ml mIL-3 ( both PEPROTECH®, Rocky Hill, USA), 100 ng/ml hFlt3-L and 100 ng/ml hTPO (both CELLGENIX®, Portsmouth, USA) in STEMSPAN™ SFEM medium (STEMCELL® Technologies, Vancouver, CA). Following 24 h pre-stimulation cells were transduced at a density of 1x10<sup>6</sup> cells/ml at an MOI of 40 and transplanted into lethally irradiated (7+4Gy, split dose) recipients three days after isolation. For competitive repopulation experiments, equal numbers of cells from different transduction groups were mixed prior to transplantation into CD45.2 or heterozygous CD45.1/CD45.2 double positive recipients (0.4 – 1x10<sup>6</sup> per animal). Cell mixtures were analyzed via flow cytometry to confirm equal contributions of both competitor cell fractions, and readjusted if required. Analysis of peripheral blood, bone marrow and spleen was performed at multiple time points using the following antibodies: CD45.1, CD45.2, B220, CD11b, CD3, CD71, Ter119 and fixable viability dye EFLUOR780®. For analysis of the erythroid lineage red blood cell lysis was omitted. Analyses were performed on LSR-II or LSRFortessa flow cytometers (BECTON DICKINSON®) and Diva or FloJoX (Treestar™) software. Data analyses and statistics were done using Excel (MICROSOFT®) and GRAPHPAD PRISM® 5.

**[0409]** For transplantation of hCD34 cells ~10 week old female NSG-mice (NOD/LtSz-scid Il2rg-/-) (Jackson Laboratory, Bar Harbor, ME) were irradiated with 2.7Gy followed by injection of ~10<sup>6</sup> cells per animal three days post isolation. Irradiated mice were fed BAYTRIL® supplemented water for 14 days.

*RNA extraction and qRT-PCR*

**[0410]** Total RNA was extracted from MEL cells 7 days after sorting/ post selection with puromycin, or freshly sorted cells on day 18 of erythroid differentiation of CD34+cells, using the QIAGEN® RNA Plus micro kit (Valencia, CA). cDNA was generated using random hexamer primers and superscript III (INVITROGEN™, Carlsbad, CA). Quantitative PCR was performed using SYBR® Green PCR master mix (APPLIED BIOSYSTEMS®, Warrington UK) with intron spanning mouse *Hbb-y* and *Gapdh* primers (*Hbb-y* forward 5'-TGGCCTGTGGAGTAAGGTCAA-3', reverse 5'-GAAGCAGAGGACAAGTTCCA-3' (SEQ. ID. NO:69)), (*Gapdh* forward 5'-TCACCACCATGGAGAAGGC-3' (SEQ. ID. NO:70), reverse 5'-GCTAAGCAGTTGGTGCA-3' (SEQ. ID. NO:71)) and human *HBG*, *HBB* and *GAPDH* primers (*HBG* forward 5'-TGGATGATCTCAAGGGCAC-3' (SEQ. ID. NO:72), reverse 5'-TCAGTGGTATCTGGAGGACA-3' (SEQ. ID. NO:73)) (*HBB* forward 5'-

CTGAGGAGAAGTCTGCCGTTA-3' (SEQ. ID. NO:74), reverse 5'-  
AGCATCAGGAGTGGACAGAT-3' (SEQ. ID. NO:75) and *GAPDH* forward 5'-  
ACCCAGAAGACTGTGGATGG-3' (SEQ. ID. NO:76), reverse 5'-  
TTCAGCTCAGGGATGACCTT-3' (SEQ. ID. NO:77)). The PCR amplification conditions were: 95°C for 10 min, followed by 40 cycles of 15 sec at 95°C and 1 min at 60°C. The qPCRs were performed on a ABI® 7500 machine (APPLIED BIOSYSTEMS®, Foster City, CA). A standard curve using serial dilutions of cDNAs was used to determine the precise amplification efficacy for each reaction. *Hbb-γ* and γ-globin expression levels were normalized to GAPDH as an internal control, and relative gene expression ( $\Delta\Delta Ct$  method) was used for analysis of PCR data, including correction for differential amplification efficiencies.

#### *Northern blot analysis*

**[0411]** MEL cells transduced with U6-shRNAs and SFFV-shRNA<sup>miR</sup>s were sorted and collected after puromycin selection culturing for 7 days. Total RNA was isolated using 1 ml TRIZOL® reagent (AMBION®), and 15 µg were resolved on a 15% acrylamide gel. Small transcript sizes were determined using the Decade Ladder (AMBION®, Austin, TX). RNA was transferred to HYBOND™-XL membrane (AMERSHAM™, Piscataway, NJ) and UV-crosslinked. Blots were pre-hybridized using UltraHyb-Oligo (AMBION®, Austin, Tx) at 35 °C, probed with γ-32P-labeled oligonucleotides (4 polynucleotide kinase; AMERSHAM™, Piscataway, NJ) at 37 °C for one hour, washed in 2× sodium citrate, 0.1% sodium dodecyl sulphate at 30–35 °C, and exposed to film. Probe sequences for detecting mature miRNA were as follows: shRNA1, 5' CGGAGACTCCAGACAATCGC 3' (SEQ. ID. NO:78); shRNA2, 5' CTCCAGGCAGCTCAAAGATC 3' (SEQ. ID. NO:79); shRNA3, 5' TCTCTTGCAACACGCACAGA 3' (SEQ. ID. NO:80); shRNA4, 5' CAGGACTAGGTGCAGAATGT 3' (SEQ. ID. NO:81); shRNAs5, 5' ATCGAGTGTGAATAATGAT 3' (SEQ. ID. NO:82); shRNA6, 5' GTACCCTGGAGAACACAT 3' (SEQ. ID. NO:83); shRNA7, 5' ACTGTCCACAGGAGAAGCCA 3' (SEQ. ID. NO:84); shRNA8, 5' CAGTACCCTGGAGAACACA 3' (SEQ. ID. NO:85).

#### *Western blot analysis*

**[0412]** Transduced MELs and CD34+ cells were lysed in lysis buffer (RIPA) with protease (ROCHE®) and phosphatase inhibitors (SANTA CRUZ BIOTECHNOLOGY®), pepstatin and leupeptin (SIGMA). Protein lysates were estimated by BCA protein assay (THERMO SCIENTIFIC). 25µg of protein was suspended in 2X Laemmli sample buffer, boiled and loaded on to a 8% SDS-poly-acrylamide gel and subsequently transferred to a Polyvinylidene fluoride (PVDF) membrane (MILLIPORE®). Following blocking in PBS with

0.1% Triton-X100 and 5% nonfat dry milk, the PVDF membrane was incubated with a monoclonal mouse anti-BCL11A antibody (ABCAM®) or mouse anti-β-actin (SIGMA®). Anti-mouse IgG HRP-linked secondary antibody (CELL SIGNALING®) was used for detection by chemiluminescence 20X LUMIGLO® Reagent and 20X Peroxide (CELL SIGNALING®).

#### *HPLC analysis*

[0413] Hemolysates were prepared from cells on day 18 of differentiation using osmotic lysis in water and three rapid freeze-thaw cycles. Hemoglobin electrophoresis with cellulose acetate and high performance liquid chromatography (HPLC) were carried out with the lysates, in the clinical laboratories of the Brigham and Women's Hospital using clinically-calibrated standards for the human hemoglobins.

#### *RNA Sequencing and analysis*

[0414] Small RNAs were extracted from  $6 \times 10^6$  MEL cells using mirVana miRNA isolation kit (INVITROGEN™) according to the manufacturer's instructions and sent out for deep RNA sequencing using ILLUMINA® Hiseq2000. A self-developed PERL script was used to remove the adaptor sequence, and 19-25nt small RNAs were used for further analysis. The BOWTIE software (obtained from the internet website at bowtie-bio period sourceforge period net) was used for alignment, and 1 mismatch was permitted. Expression level of small RNAs was normalized to one million of total reads of each library for comparison between different samples. For the experiment with 250 TRC shRNAs in 4 cell lines, lentivirus was prepared by the Broad Institute using a high-throughput virus preparation protocol and cells were infected at high MOI with a single shRNA per well in 96 well plates (the protocols are obtained from the internet website of the Broad Institute at Cambridge, MA, USA, at the RNAi public resources section under "puromycin") was added at 1 day post-infection and cells were lysed in TRIZOL® at 4 days post-infection. All lysates were pooled for each cell line, followed by total RNA extraction and small RNA library preparation (49). ILLUMINA® reads were trimmed, collapsed to unique reads (>17nt) with counts, and mapped to TRC shRNA expression vector sequences allowing no mismatches. Mature shRNA sequence distributions were calculated for each shRNA before averaging across shRNAs.

#### *Statistical analysis*

[0415] The GRAPHPAD PRISM® 5.0 software package was used for statistical analysis. Results are expressed as mean  $\pm$  standard deviation (SD). Statistical significance was assessed by t-test.

### **Results**

#### *Decreased knockdown efficiency of BCL11A by shRNAs embedded in a microRNA scaffold (shRNAmiR) compared to simple stem-loop shRNAs*

**[0416]** To identify candidate shRNAs mediating effective knockdown of BCL11A, a lentiviral library of 118 shRNAs targeting coding sequences of BCL11A mRNA conserved between humans and mice was screened in MEL cells. ShRNAs were expressed from a pol III based U6 promoter (FIG. 19A, left panel) in the LKO lentivirus backbone (26) containing a puromycin resistance gene for selection named LKO-U6-BCL11A-shRNA<sup>miR</sup> (hereafter U6-shRNA). MEL cells, a commonly used cell line for the study of globin gene regulation, were transduced with the lentivirus vectors expressing shRNAs at a multiplicity of infection (MOI) of 2. The normalized expression of embryonic mouse *Hbb-y* mRNA, which serves as a functional homolog of the human  $\gamma$ -globin gene (27) provides an indirect readout of BCL11A knockdown (FIG. 19B, y-axis). As a second readout, the shRNA pool was also screened using a MEL-reporter cell line harboring a mCherry knock-in at the *Hbb-y* locus (D. Bauer, unpublished). Fluorescent reporter induction was analyzed by flow cytometry (FIG. 19B, x-axis). Eight shRNAs (labeled and named as shRNA1 through 8 in FIG. 19B) that consistently induced *Hbb-y* and *mCherry* reporter expression in MEL cells were cloned into human microRNA223 (miR-223) flanking and loop sequences to create synthetic microRNAs (shRNAmiR) with the goal of developing lineage-specific expression vectors for knockdown of BCL11A. For initial analysis, this cassette was incorporated in the pLeGO lentiviral vector (28) (Fig. 19A, right panel) into the 3' untranslated region of the Venus fluorescent reporter under control of the strong and ubiquitously expressed spleen focus forming virus (SFFV) promoter/enhancer named LEGO-SFFV-BCL11A-shRNAmiR (hereafter SFFV-shRNAmiR).

**[0417]** The knockdown efficacy of shRNAs that incorporated the same 21-base target-matching sequences was directly compared, but in the context of the pol III and pol II expression cassettes (i.e. U6-shRNAs vs SFFV-shRNAmiRs.) in MEL cells using a non-targeting (NT) shRNA as negative control. BCL11A protein was detected by immunoblot in cell lysates from MEL-cells transduced at an MOI of 2 (FIG. 19C). Knockdown of BCL11A was consistently less efficient in cells expressing SFFV-shRNAmiR compared to U6-shRNAs (FIG. 19C). To confirm the functional significance of this difference, the inventors measured induction of *Hbb-y* mRNA levels by qRT-PCR (FIG. 19D) in homogeneous populations of transduced cells obtained either by puromycin selection or by fluorescence-activated cell sorting (FACS). The reduced knockdown efficiency of SFFV-shRNAmiRs as compared to U6-shRNAs (see FIG. 19C) translated into significantly less induction of *Hbb-y* by SFFV-shRNAmiRs (FIG. 19D) and the differences in *Hbb-y* induction appear more pronounced than the differences in BCL11A knockdown.

*SFFV-shRNAmiR and U6-shRNAs give rise to different mature guide strand sequences*

**[0418]** To understand the molecular basis for these differences, sequencing of small RNAs from cells transduced with various U6-shRNAs were performed and their corresponding SFFV-shRNAmiR counterparts. It was hypothesized that significant differences in *Hbb-y* induction were due to the production of different mature guide strands from the distinct shRNA-containing transcripts that are produced from the pol II and pol III contexts. The processed guide strand sequences from both the U6-shRNAs and SFFV-shRNAmiR contexts were therefore assessed (FIG. 20A and B). The most abundantly found mature guide RNAs produced from SFFV-shRNAmiRs closely corresponded to the *in silico* predicted mature sequence (FIG. 20B). In contrast, most of the U6-shRNAs yielded mature guide strand sequences that match the predicted Dicer product consisting of ~22nt of the 3' end of the pol III transcript, including a stretch of 3-5 nt derived from the pol III termination signal, but lacking a corresponding number of nucleotides of the target matched sequence at the 5' end. A similar distribution of processed products were observed in a large scale screen of 247 different U6-shRNAs in A549, MCF7, Jurkat and U937 cell lines, in which the predominant guide strand sequence has an average length of 22nt with its 5' end starting 4 bases from the constant loop sequence. Deep sequencing of 247 processed TRC shRNA products in these four cell lines were performed (FIG. 25). The results indicate that the predominant mature guide strand starts at position 4 of the antisense sequence of the shRNA and includes four 3'-terminal U residues. Processing was generally consistent among cell lines and among different shRNA sequences. The average read frequency for each mature sequence is weighted equally across shRNAs, although some shRNAs generated >1,000-fold more reads than others. The semiquantitative nature of small RNA sequencing, due to strong RNA ligase biases during library preparation, make comparisons of relative expression or processing levels impossible, but consistent trends across cell lines and shRNAs demonstrate the likely predominant guide strand identity. Sense strand reads were also detected (<30% per shRNA on average), with the vast majority starting with 'GG' and extending 20nt into the sense strand sequence. These mature sequences are exactly consistent with a Dicer product of the primary hU6 shRNA transcript, with no need to invoke a Drosha/DCGR8 processing step. Taken together with, these findings indicate the importance of considering the processing events that generate mature sequences from pol II shRNAmiR and pol III shRNA transcripts when transferring shRNA sequences between vectors. The very similar distributions of mature sequences observed for the four cell types that were studied suggests that these processing patterns will generalize across different cellular contexts. The differences in the mature guide strand sequence generated in pol III vs pol II based vectors contribute substantially to differential BCL11A down regulation observed with U6-shRNAs compared to SFFV-shRNAmiRs. These data suggest predicted conversions between pol III and pol II vectors may

be possible by considering the Drosha and Dicer cleavage of pol II shRNAs compared to the Dicer cleavage of pol III shRNAs.

*Modification of shRNA sequences in a pol II based vector leads to improved knockdown efficiency*

**[0419]** Based on these findings, the inventors hypothesized that using the predicted mature sequence from pol III shRNA vectors when transferring sequences into SFFV-shRNAmiR would lead to enhanced knockdown efficiency. Therefore, a set of SFFV-shRNAmiRs containing a 4-nucleotide shift in the 5' end of the guide strand sequence were designed (FIG. 21A). At the 3'-end the nucleotides GCGC were added to achieve higher 3'-end thermodynamic stability in the siRNA duplex which should promote preferential RISC-loading of the intended guide strand. The effect of modifications on knockdown efficiency and *Hbb-γ* induction was evaluated in MEL cells by immunoblot and qRT-PCR, respectively. Improved knockdown efficiency of BCL11A protein was observed with SFFV-shRNAmiR1, 3 and 8 (FIG. 21B). The enhanced knockdown correlated with a 200 to 400 fold increased induction of *Hbb-γ* transcripts (FIG. 21C). The other SFFV-shRNAmiRs did not show an appreciable increase in knockdown efficiency. To understand more fully the mechanism underlying the improved efficiency of modified SFFV-shRNAmiRs 1, 3 and 8 were analyzed the abundance of guide and passenger strand small RNAs and their ratios by Northern blot. First, a higher abundance of guide strand was seen for pol III versus pol II vectors in all cases. Furthermore, particularly for modified SFFV-shRNAmiRs 1 and 3 a higher abundance and higher guide to passenger strand ratios were found versus the unmodified shRNAmiRs, while these parameters were not affected for SFFV-shRNA8 (FIG. 21D). Deep sequencing of small RNAs was performed to evaluate the impact of the modification on guide strand sequences and to correlate it with the changes observed in BCL11A knockdown. Generally, the resulting processed sequences reflected the introduced 4 nt shift, resulting in a guide strand with seed regions similar to the sequences obtained from pol III shRNAs expressed in the LKO backbone. For SFFV-shRNAmiRs 1, 3 and 8, a single dominant sequence was found, which contrasts the less effective SFFV-shRNAmiRs which showed a broader distribution of sequences.

*Effect of shRNAmiR modification on BCL11A knockdown and γ-globin induction in primary human CD34+ derived erythroid cells*

**[0420]** Reactivation of fetal globin with BCL11A knockdown has therapeutic potential for the treatment of sickle cell disease and β-thalassemia. To evaluate the effect of modified SFFV-shRNAmiR on knockdown efficiency of BCL11A and induction of γ-globin and HbF expression in primary human cells, G-CSF mobilized peripheral blood (mPB) CD34+ HSPCs from healthy volunteers were transduced with vectors expressing U6-shRNAs, SFFV-

shRNAmiR and modified SFFV-shRNAmiR and then subjected to erythroid differentiation. After eleven days in culture, BCL11A levels were determined via western blot (FIG. 22A). Consistent with findings in MEL cells, enhanced knockdown was observed with modified SFFV-shRNAmiRs 1, 3, and 8, which also led to increased induction of  $\gamma$ -globin transcripts (FIG. 22B). The status of erythroid differentiation was assessed at day 18 of culture by flow cytometric analysis for surface expression of CD71 and GpA and enucleation. No significant differences were observed between SFFV-shRNAmiRs and control vector transduced samples (FIG. 22C). In contrast, U6-shRNAs led to mild delay in the acquisition of differentiation markers during the later phases of maturation, which could indicate toxicity due to U6-promoter mediated shRNA overexpression. The observations of high  $\gamma$ -globin mRNA induction were confirmed by increased HbF protein measured by high performance liquid chromatography (HPLC). All three tested modified shRNAmiRs yielded increased HbF output compared to unmodified SFFV-shRNAmiRs (FIG. 22D), where between 40-50% of total hemoglobin in the erythroid cells was HbF. The correlation between  $\gamma$ -globin mRNA and HbF protein was high ( $r^2=0.96$ ), supporting the reliability of the analyses (FIG. 22E).

**[0421]** In summary, the inventors have demonstrated that shRNAs embedded into a miRNA scaffold and expressed via pol II promoters are processed to yield differing mature siRNAs in transduced cells compared with siRNA expressed from the U6 promoter. The target-matched sequence in the mature shRNA derived from the pol III promoter construct is uniformly shifted 3' by 3-5 nt and this difference was associated with significant differences in knockdown efficiency of the target transcript. In the case of BCL11A, a potential therapeutic target, this led to appreciable differences in the reactivation of  $\gamma$ -globin expression. These data demonstrate the importance of design optimization when transferring shRNA sequences into a microRNA scaffold to allow for pol II mediated expression.

*Ubiquitous knockdown of BCL11A in hematopoietic stem and progenitor cells (HSPCs) impairs hematopoietic reconstitution and can be circumvented by targeting shRNAmiR expression to erythroid cells*

**[0422]** The impact of SFFV-shRNAmiR expression *in vivo* was assessed in a mouse model of HSPC transduction and transplantation. Lineage-negative (lin-) HSPCs from the bone marrow of  $\beta$ -YAC mice expressing the CD45.2 cell surface marker were transduced *ex vivo* with SFFV-shRNAmiR vectors or a non-targeting vector (SFFV-shRNAmiRNT) and transplanted into lethally irradiated CD45.1 BoyJ-recipient mice. Untransduced cells were transplanted in a control group.  $\beta$ -YAC mice harbor the human  $\beta$ -globin locus as a transgene that is developmentally regulated in the mouse environment, showing differential expression of fetal and adult  $\beta$ -globin genes. For validation purposes and for better comparison with previously

published data a well described shRNA (23, 27, 29) (here termed shRNAmiR\*) embedded into miRNA223 flanking sequences was employed. At 4, 8 and 12 weeks after transplantation, donor cell engraftment was determined based on CD45.1 and CD45.2 chimerism (FIG. 23A). Donor cell engraftment followed the expected pattern with near complete engraftment in peripheral blood (PB) and bone marrow (BM) after 8 weeks. However, unexpectedly, the fraction of gene modified cells steeply declined over time (FIG. 23B). Despite initial transduction rates of ~40% using the BCL11A knockdown vector, gene marking at week 12 was only 2-3% of total donor derived CD45 cells. Overexpression of the SFFV-shRNAmiR NT was also associated with reduced engraftment of gene modified cells but to a lesser extent, indicating both sequence-specific and non-specific toxicity in the engrafting HSPC cells. The timing of the loss of donor cells expressing shRNAs suggests an effect on the more primitive hematopoietic stem cell compartment.

**[0423]** To further investigate the negative impact on hematopoietic reconstitution, quantitative competitive repopulation experiments were performed (FIG. 23C-23F). Lineage negative cells from CD45.1 (BoyJ) and CD45.2 (B16) donor animals were transduced with various vectors expressing SFFV-shRNAmiRs against BCL11A, a shRNA<sup>miR</sup>NT or only a blue fluorescent protein (BFP) reporter under control of the ubiquitously expressed SFFV-promoter (SFFV-BFP). Cells were transplanted into congenic CD45.1/CD45.2 animals, allowing for identification of both donor populations and the recipient cells. In experiments in which the SFFV-BFP vector was employed, CD45.1 donor cells were transplanted into CD45.2 animals and the transduced donor cell populations were identified and compared based on fluorescence. Prior to transplantation, equal numbers of cells of the two populations transduced with competing vectors were mixed. The final ratio of gene modified cells obtained with both vectors in the transplanted population was analyzed via flow cytometry which confirmed comparable transduction rates ranging from 55-70% (FIG. 23C). The contribution of gene modified cells was assessed in transplanted animals in peripheral blood, bone marrow and spleen 4, 8 and 12 weeks after transplantation (FIG. 23D) and minor differences in the ratio of the infused transduced cells were taken into account for this analysis. In all instances and at each time point, cells transduced with vectors targeting BCL11A were outcompeted by cells transduced with the NT or SFFV-BFP vector, indicating a selective disadvantage upon BCL11A knockdown. No significant differences in reconstitution of hematopoietic cells compared to the ratio of the initially transplanted population was observed when two BCL11A targeting vectors competed against each other. Consistent with the findings in FIG. 23B, the overexpression of shRNAmiRNT also had a negative impact on hematopoietic reconstitution, as this group was outcompeted by cells transduced by a vector expressing only SFFV-BFP and not expressing a

shRNA. The inventors performed a more detailed analysis of the B lymphocyte and more primitive HSC compartment within the transduced fraction of bone marrow cells (FIG. 23E). As anticipated from previous studies showing an absence of B cells in *BCL11A*<sup>-/-</sup> mice (30, 31) the number of B220 positive B-cells was significantly reduced upon BCL11A knockdown. Although not reaching significance, there was a trend toward loss of more primitive lin-, Sca-1+, c-kit+ (LSK) cells that include the engrafting HSC compartment.

**[0424]** Erythroid specific knockdown of BCL11A could potentially circumvent the adverse effect of BCL11A knockdown on HSCs and B cells, while maintaining the therapeutic effect of  $\gamma$ -globin induction in erythroid cells. To direct knockdown selectively to erythroid cells, a lentivirus vector was generated in which the shRNAmiR cassette and the Venus fluorescent reporter is expressed under the control of the minimal  $\beta$ -globin proximal promoter linked to hypersensitive sites 2 and 3 (HS2 and HS3) of the  $\beta$ -globin locus control region (LCR) (32) (FIG. 23F) named LV-LCR-BCL11A-shRNAmiR (hereafter LCR-shRNAmiR). The expression profile of the Venus reporter transgene in the engrafted hematopoietic cell populations *in vivo* was first assessed in mice transplanted with transduced HSPC (FIG. 23G and FIG. 26). In FIG. 26, lineage negative cells were transduced using the LCR-shRNAmiR vector and engrafted into lethally irradiated recipient mice. Twelve weeks later donor cells and different hematopoietic cell types were identified using surface markers. Shown here is a representative gating scheme and histogram blots showing Venus expression in various lineages. Numbers in blots indicate the percentage of venus positive cells and mean fluorescence intensities (MFI). Expression of the transgene was tightly regulated; with no detectable expression in LSK and B cell fractions, very low levels of expression in T-cells and low levels of expression in myeloid cells in some animals. In contrast, transgene expression was strongly upregulated during erythroid differentiation, beginning in CD71+/Ter119- cells representing erythroid progenitors and pro-erythroblasts and peaking in the CD71+/Ter119+ double positive stage, representing basophilic erythroblasts. During final stages of erythroid maturation, a large fraction of CD71-/Ter119+ cells representing reticulocytes and mature erythrocytes expressed the reporter at a similar percentage compared to CD71+/Ter119+ cells.

**[0425]** Next, to determine whether use of the LCR-vector circumvents the reconstitution defect observed upon ubiquitous SFFV- shRNAmiR overexpression, a competitive transplantation experiment was performed using LCR-shRNAmiR and SFFV-shRNAmiR (FIG. 23H). As the LCR-vector is transcriptionally silent in *lin*- cells, an aliquot of cells to be used for transplantation was subjected to *in vitro* erythroid differentiation and the ratio of Venus+ cells measured in the transcriptional permissive CD71+/Ter119+ population and used for normalization of the ratio of SFFV vs. LCR-transduced cells. Venus expression in transplanted

animals was compared in erythroid cells, as this is the only population that is equally permissive for expression from both vectors. Reconstitution of transplanted mice demonstrated a clear dominance of cells derived from HSPC transduced with the LCR-vector, suggesting less toxicity in the HSPCs associated with erythroid lineage specific expression of the LCR-shRNAmiR (FIG. 23H). In summary, these data demonstrate that the adverse effect of BCL11A knockdown on HSC engraftment/function may be circumvented by erythroid specific miRNA expression.

*LCR-vector mediated erythroid specific knockdown of BCL11A using modified shRNAmiRs yields high levels of HbF in human erythroid cells*

**[0426]** To test the efficacy of LCR-mediated erythroid specific knockdown of BCL11A in a human experimental system, CD34+ cells were transduced with LCR-shRNAmiR vectors containing modified shRNAmiRs 3 or 8 (FIG. 23F). The inventors first confirmed the expression profile of several LCR-shRNAmiR vectors (LCR-shRNA\*, LCRshRNAmiR3 and 8) in human cells during in vitro erythroid differentiation of human mPB CD34+ cells. Venus expression by the LCR-vectors and a SFFV-driven control vector without shRNAmiR-cassette (SFFV-GFP) (33) was evaluated at different stages of erythroid maturation, as defined by CD71 and GpA staining (FIG. 23I). Consistent with the findings in mouse cells shown in FIG. 23G, low levels of expression were observed in CD71-/GpA- immature erythroid cells. There was a strong upregulation of expression in CD71+ single positive cells with the highest level of transgene expression in the more mature CD71+/GpA+ double positive cells. As expected, the SFFV-GFP control drove high level constitutive expression in all subpopulations. Following the previously described differentiation protocol, BCL11A protein levels were measured on day 11 of culture and compared with non-targeting and mock control vectors (LCR-shRNAmiRNT and SFFV-GFP). Significant reduction in BCL11A was observed in the cells expressing the modified shRNAmiR compared to the cells expressing the non-targeting (NT) and control vector (SEW) (FIG. 24A). Gamma globin mRNA constituted 40 and 70% of total  $\beta$ -like globins in cells derived from CD34+ cells transduced with vectors expressing shRNAmiR3 and 8, respectively (FIG. 24B). No differences in cell growth were observed between cells transduced with LCR-shRNAmiRs or control vectors. Erythroid differentiation, as evaluated by surface expression of CD71, GpA and by enucleation was indistinguishable from controls (FIG. 24C), suggesting no negative impact of BCL11A knockdown upon lineage-specific expression of the BCL11A shRNAmiRs. Strong correlation was observed between the levels of  $\gamma$ -globin mRNA (qRT-PCR) and HbF as assessed by HPLC (FIG. 24D). HbF contributed to 35% and 55% of total hemoglobin in cells transduced with LCR-shRNAmiR3 and LCR-shRNAmiR8, representing levels comparable to SFFV-promoter mediated expression (FIG. 22D and FIG. 24E). Finally, to show in proof of principle that LCR-shRNAmiR mediated knockdown allows for efficient

engraftment of hCD34+ cells and induction of  $\gamma$ -globin, transplantation of bone marrow derived CD34+ HSPCs transduced with LCR-shRNAmiR3 or NT vectors were performed into sub-lethally irradiated NSG-mice. Due to poor development of human erythroid cells in this xenograft model, CD34+ HSPCs were isolated from the bone marrow of transplanted animals 14 weeks after transplantation and subjected to erythroid differentiation in vitro. Venus+ cells were enriched by FACS and expression of  $\gamma$ - and  $\beta$ -globin was determined by RT-PCR (FIG. 24F). Consistent with previous data, the fraction of  $\gamma$ -globin of total  $\beta$ -globin locus output was 44.9%  $\pm$  5.5% for cells transduced with LCR-shRNAmiR3, compared to  $\sim$ 9%  $\pm$  0.5% in the two control groups consisting of untransduced or LCR-shRNAmiRNT transduced cells.

**[0427]** ShRNAs have been used extensively to analyze gene functions in biological studies, and there is increasing interest in the use of RNAi for therapeutic purposes. BCL11A represents an attractive therapeutic target for RNAi based modulation. BCL11A is a repressor of  $\gamma$ -globin expression and thus acts as a major regulator of the fetal to adult hemoglobin switch in erythroid cells. Importantly high levels of fetal hemoglobin are associated with milder disease phenotypes in sickle cell disease (SCD) and  $\beta$ -thalassemias and lineage-specific knockout of BCL11A has been validated as a therapeutic strategy in models of SCD. In the studies reported here, our goal was to develop a clinically applicable vector to reactivate fetal hemoglobin expression by RNAi mediated suppression of BCL11A. Using an optimized lentiviral vector containing a miRNA adapted shRNA (shRNAmiR) expressed from an erythroid lineage specific pol II promoter the inventors achieved HbF levels of  $>$ 50% of total hemoglobin in primary erythroid cells derived from transduced CD34+ HSPCs. This level of HbF induction is likely to be clinically effective and compares favorably with previously published vectors (23, 27, 29) utilizing pol III driven expression cassettes that lack lineage specificity and the safety profile of SIN lentivirus vectors reported here.

**[0428]** Curative treatment for SCD can be attained with hematopoietic stem cell transplantation (HSCT). Favorable outcomes in SCD are largely dependent on the availability of matched sibling donors. Fewer than 10% of SCD patients have unaffected HLA-matched sibling potential donors (34). Gene therapy for hemoglobinopathies offers the clear advantage of eliminating the risk of GVHD by the use of autologous cells. The long-term aim of our studies is to modulate the hemoglobin switch, leading to the endogenous and physiologic induction of the protective HbF and suppression of the sickle globin. The inventors hypothesize this dual manipulation of expression will be the most effective therapeutic approach to prevent toxicities in SCD including hemolysis and end organ damage of the mutant, polymerizing hemoglobin. To realize the goal of therapeutic benefit, sufficient knockdown of BCL11A and induction of HbF on a per cell basis must occur and sufficient numbers of gene modified long-lived HSC must

engraft in order for chimerism of the red cell compartment to attenuate the disease phenotype. Thus optimization of BCL11A knockdown and preservation of reconstitution capacity of transduced HSCP as shown here is critical to the long-term success of genetic therapy in SCD. As relates to the second point, the inventors believe this is currently attainable, as previous data from allogeneic transplants resulting in mixed chimerism have demonstrated that as low as 10% chimerism of the myeloid compartment is associated with peripheral blood red cell chimerism of 80-100% (35). The skewing of red cell mass after engraftment is most likely attributable to the enhanced survival of normal red cells compared with sickled cells. This level of marking of long-lived myeloid cells has recently been attained in a human trials utilizing lentivirus vector (36-38), including in  $\beta$ -thalassemia (39).

**[0429]** Pol III driven shRNAs are the most commonly utilized vector systems to effect gene knockdown by RNAi, but these vectors mediate ubiquitous expression that may be associated with both non-specific toxicities from high expression levels and sequence-specific toxicities in certain cell types. Here the inventors demonstrate that BCL11A knockdown in HSCs impairs engraftment of these cells in transplant settings and B cell development *in vivo*. Although reduced engraftment in the absence of BCL11A is an unreported phenomenon, the data reported here are consistent with known expression of BCL11A in early HSPCs and with the report of a ~two-fold reduced HSC content in mice upon genetic deletion of BCL11A (31, 40, 41). The negative impact of BCL11A knockdown on engrafting HSCs may be more evident in the assays reported here due to increased selective pressure present in this experimental setting. Limiting numbers of HSCs are generally present following *ex vivo* culture and transduction of these cells and competition with control HSCs utilized in the assays used here may enhance the detection of toxicity at the HSC level. Within the erythroid lineage BCL11A is dispensable (24). In the data reported here, use of the erythroid specific LCR-vector, containing regulatory sequences derived from the  $\beta$ -globin locus (32, 42) circumvented the negative effects of BCL11A knockdown on HSC engraftment. The LCR-vector displayed a high degree of lineage fidelity in expression of the shRNAmiR targeting BCL11A. In addition, this vector architecture has been demonstrated to reduce the risk of transactivation of neighboring cellular genes when used to express other transgenes (43), an important feature for clinical translation. Thus, transcriptional targeting of shRNAmiRs appears critical in the case of BCL11A, underscoring the importance of developing effective pol II based knockdown vectors. This approach bypasses the negative impact of knockdown of BCL11A on HSPCs and also lymphoid cell development (30, 31), avoids toxicity related to shRNA overexpression (9, 11, 19) and improves the safety profile of the vector system, while maintaining the therapeutic efficacy.

**[0430]** The use of pol II promoters for shRNA expression necessitates embedding the shRNA in microRNA sequences. As the majority of previously validated effective shRNA sequences are derived from analyses performed using pol III promoters and the majority of commercially available knockdown systems are based on pol III promoters, conversion of shRNA sequences into a pol II configuration is important. In spite of significant research in this area, guidelines for conversion of shRNA sequences derived from effective pol III based vectors into pol II based shRNAmiR vectors are lacking. Here by comparing the results of RNA processing from cells transduced with both types of vectors in parallel the inventors confirmed that different small RNA products are generated with respect to the target matched sequences resulting in a markedly reduced efficiency of target knockdown via pol II based vectors. The mature guide strand sequences produced from pol II versus pol III systems containing identical target mRNA matched sequences are generally shifted by 3-5 nt relative to each other. Addition of 3-5 U-residues from the pol III termination signal to the 3' end of the shRNA transcript leads to a corresponding shift of the Dicer cleavage site, proving the dominant role of the 3'-counting rule for Dicer cleavage (44, 45). The shift of the guide strand in pol III versus pol II has a major impact on knockdown efficiency, as the seed region is altered and the thermodynamical properties and terminal nucleotide identity of the small RNA duplex changes, thereby impacting guide strand incorporation into the RISC-effector complex (4, 5, 46, 47). Re-engineering shRNAmiRs to mimic the mature guide strand sequences produced by pol III-driven shRNAs led to enhanced processing and improved knockdown of the target mRNA. This approach should be applicable for the development of vectors targeting other genes using pol II promoters, including other lineage specific expression cassettes.

**[0431]** In summary, the data demonstrate critical features of RNA processing relevant to the use of shRNA in different vector contexts, and also provide a strategy for lineage-specific gene knockdown that circumvents adverse consequences of widespread expression. The findings have important implications for design of microRNA embedded shRNAs and their application in RNAi based gene therapy approaches.

#### EXAMPLE 11

##### *Efficacy studies of transduction of BCL11A shRNAmiR in health donor human CD34+ cells.*

**[0432]** The transcriptional repressor BCL11A represents a therapeutic target for  $\beta$ -hemoglobinopathies. The selectively suppression of BCL11A in erythroid cells via pol II promoter expressed microRNA adapted shRNAs (shRNAmiRs) resulted in effective knockdown of BCL11A in both murine and human cells. Expressing the modified shRNAmiRs in an erythroid-specific fashion circumvented the adverse effects on murine HSC engraftment and B cell development (see EXAMPLE 10 *supra*) and led to efficient BCL11A knockdown and high

levels of HbF in primary human CD34-derived erythroid cells and in human erythroid cells differentiated *in vitro* after full engraftment of modified CD34+ cells in murine xenografts. The inventors also demonstrated effective induction of HbF in erythroid cells derived from transduced CD34 cells obtained from a donor with sickle cell disease.

[0433] In a series of experiments, GCSF mobilized CD34 from healthy donors were transduced with a vector expressing a non-targeting shRNA (LCR-NT) or BMS11-D12G5, and subjected to erythroid *in vitro* differentiation.

[0434] BCL11A D12G5-2 shRNA: Sense

ACGCTCGCACAGAACACTCATGGATTctccatgtggtagagAATCCATGAGTGTTC  
TGTGCGAG (SEQ. ID. NO:43)

[0435] Anti-sense

CGCACTCGCACAGAACACTCATGGATTctctaccacatggagAATCCATGAGTGT  
CTGTGCGA (SEQ. ID. NO:44)

[0436] FIG. 27A is a Western blot of *in vitro* differentiated erythroid cells derived from transduced CD34 cells showing BCL11A isoforms (L and XL) and  $\beta$ -ACTIN as loading control and demonstrating effective knock-down of BCL11A XL. FIG. 27B shows quantification of BCL11A knock down in erythroid cells. Data is derived from Western blots as shown in FIG. 27A. Data summarizes three independent experiments using cells from a single donor. (Error bars: SD)

[0437] FIG. 27C shows induction of gamma globin in erythroid cells as assessed by RT-qPCR and hemoglobin (HbF) assessed by HPLC.

#### EXAMPLE 12

##### *Quantification of BCL11A knock down in erythroid cells.*

[0438] The engraftment of the transduced CD34+ cells into NSG immunodeficient mice were studied, including the effectiveness of the *in vivo* knockdown of the *BCL11A* expression. Human CD34 were transduced with LCR-NT or BMS11-D8G5 and injected into sublethally irradiated NSG-recipient mice. Bone marrow CD34+ were isolated 14 weeks later and subjected to erythroid *in vitro* differentiation. FIG. 28 shows induction of gamma globin in erythroid cells as assessed by RT-qPCR.

#### EXAMPLE 13

##### *Knockdown of BCL11A and induction of fetal hemoglobin in erythroid cells derived from transduced CD34 cells from a sickle cell patient.*

[0439] Bone marrow CD34 were isolated from a SCD-patient which received HU treatment and had high baseline HbF. The cells were transduced with LCR-NT or LCR-D12G5 and subjected to erythroid *in vitro* differentiation.

**[0440]** BCL11A knock-down was studied in sickle cell patient cells. Bone marrow CD34 were isolated from a sickle cell patient and the cells were transduced with LCR-NT or LCR-D12G5-2 (untransduced cells used as an additional control) and subjected to erythroid differentiation in vitro. FIG. 29A shows Western blots showing of BCL11A (L and XL isoforms) and  $\beta$ -ACTIN as loading control and demonstrates effective knock-down of BLC11A-XL. Each panel (labeled 1-6 below the lane) represents an independent experiment using cells from a single donor. FIG. 29B shows quantification of BCL11A knockdown in erythroid cells. Data is derived from Western blots shown in FIG. 29A. FIG. 29C shows resulting induction of HbF by HPLC. This patient was receiving hydroxyurea treatment which accounts for the high baseline Hb F level.

#### EXAMPLE 14

##### *An embodiment of a treatment Protocol*

###### *Initial evaluation*

**[0441]** Patients will undergo standard work-up for autologous bone marrow transplantation according to institutional guidelines, and then undergo two bone marrow harvests at a minimum of 4 weeks apart that will be used for a back-up marrow (minimum of  $2 \times 10^6$  CD34+ cells/kg) and for a harvest of autologous bone marrow for gene transfer (target of  $5 \times 10^6$  CD34+cells/kg with a minimum of  $4 \times 10^6$  CD34+ cells/kg).

###### *Harvest of a back-up autologous graft*

**[0442]** Hematopoietic cells will be collected from the patient in advance of the treatment, to serve as a salvage procedure (“back-up graft”), should there be no hematopoietic recovery observed 6 weeks following the injection of genetically-manipulated cells, or should manipulated cells fail to meet release criteria. Bone marrow (up to 20 cc/kg) will be harvested from the patient under general anesthesia from the posterior iliac crests on both sides by multiple punctures at a minimum of 4 weeks prior to gene therapy. A portion of the bone marrow containing  $2 \times 10^6$  CD34+ cells/kg will be frozen and stored unmanipulated in liquid nitrogen vapors (162°C and -180°C) according to standard clinical procedures for autologous bone marrow collection to constitute the back-up graft. The remainder of the harvest will be selected for CD34+ cells (described below) and utilized for gene modification (described below).

###### *Bone marrow harvest*

**[0443]** The remainder of the first bone marrow harvest in excess of the needed back up marrow will be utilized with a second bone marrow harvest for gene transfer. The second harvest will occur no sooner than 4 weeks after the initial harvest (described above). For the second harvest, bone marrow will again be harvested from the patient under general anesthesia from the posterior iliac crests on both sites by multiple punctures. The amount

of marrow collected will be up to 20 ml/kg of body weight. This will give a total nucleated cell count of greater than  $\sim 4 \times 10^8$  cells/kg. This in turn should yield a CD34+ cell dose of greater than  $4 \times 10^6$  cells/kg after CD34+ cell selection.

[0444] Subjects from whom the estimated CD34+ count of both harvests is  $< 4 \times 10^6$  cells/kg will not receive conditioning. After a period of at least 6 weeks, if the subject wishes to remain on study, he may be harvested again. If the subject does not wish to be harvested again, he will be withdrawn from the study.

[0445] Subjects withdrawn from the study prior to administration of transduced CD34+ cells will resume normal clinical care (supportive care and/or allogeneic HSCT). Efficacy and safety assessments will not be carried out from the point of withdrawal and data will not be collected for the database.

*CD34+ cell isolation, pre-stimulation, and transduction*

*CD34+ cell purification.*

[0446] To allow sufficient time for clearance of conditioning agents and minimize the time of pre-stimulation and culture, whole bone marrow will be held overnight. All the manufacturing steps are performed in the Connell & O'Reilly Families Cell Manipulation Core Facility at the DFCI. The bone marrow will be red cell-depleted by density gradient centrifugation. CD34+ cells will be positively selected from the bone marrow mononuclear cells using the CliniMACS reagent and instrument. Quality control (QC) samples are taken to assess purity and sterility. Purified cells will be immediately processed for pre-stimulation and transduction.

*CD34+ pre-stimulation and transduction*

[0447] Transduction will be carried out on one or both harvests. Transduction of cells in excess of the back-up marrow target from the first harvest will be transduced and frozen for future use. The second harvest will be used for gene transfer in its entirety and the transduced product of the second harvest will be infused with the thawed transduced cells from the first harvest after conditioning.

[0448] Purified CD34+ cells are seeded in closed culture bags at a density of  $0.5-1 \times 10^6$ /ml in serum-free medium supplemented with growth factors (IL-3, SCF, FLT3L, TPO) and placed in an incubator at  $37^{\circ}\text{C}$ , 5%  $\text{CO}_2$ . After 24-30 hours, cells are harvested and counted. Additional QC testing includes cell viability, and Colony Forming Unit (CFU) assay. Cells are transferred to a new culture bag and treated with lentiviral supernatant. For this first round of transduction, cells are incubated for 18-24 hours. Cells are then harvested, counted, and transferred to a new bag, with lentiviral supernatant for a second round of transduction.

*Final harvest and formulation*

[0449] After the second round of transduction, cells are harvested, washed in plasmalyte and resuspended in their final formulation (PLASMALYTE, 1%HSA) in a volume of 50-100 mL. All cells available after removal of the QC samples will be infused into the patient. QC includes cell count, viability, sterility on wash supernatant, Mycoplasma, Endotoxin on supernatant, phenotype, CFU, RCL (samples taken and archived), insertional analysis, and average vector copy number by qPCR (cultured cells). A sample for Gram stain is taken from the product immediately before delivery to the patient.

*Testing prior to subject re-infusion*

[0450] Samples are collected during and at the end of the procedure for cell count and viability (trypan blue exclusion or equivalent), sterility, mycoplasma, transduction efficiency (vector copy number), Gram stain, endotoxin and RCL testing. Of these only cell viability, sterility (in process, 72 hours), Gram stain and endotoxin measurements will be available prior to infusion.

[0451] If microbiological cultures reveal transient bacterial contamination, by Gram stain or positive culture at 72 hours, Cell Manipulation Core Facility staff will contact the PI, the assistant medical director and attending physician to decide whether to infuse the back-up harvest or infuse the product with antibiotic coverage. If back-up harvest is infused, the subject will be withdrawn from the protocol. If the cell viability is <70%, sterility testing is positive, or endotoxin is > 5 EU/kg/hr, the cells will not be returned, back-up harvest will be infused and the subject will be withdrawn from the protocol.

[0452] If viable cell count from both harvests/transductions is greater than or equal to  $4 \times 10^6$  CD34+ cells/kg at the end of transduction, cells will be infused. If viable cell count from both harvests/transductions is less than  $4 \times 10^6$  CD34+ cells/kg at the end of transduction, cells will not be infused and back-up harvest will be infused 48 hours later.

*Subject conditioning regimen*

[0453] Subjects will receive myeloablative conditioning with Busulfan (~4mg/kg intravenously daily, adjusted for weight, (given over 3 hours once daily) administered on days -4 to -2, prior to infusion of transduced cells. Conditioning will occur concurrent with purification and transduction of bone marrow cells. Busulfan levels will be drawn on all 3 days of administration, and levels on days 1 and 2 will be used to adjust the area under the curve target.

*Infusion of transduced cells*

[0454] Cells will be infused intravenously over 30-45 minutes after standard prehydration and premedication according to Boston Children's Hospital Hematopoietic Stem

Cell Transplantation Unit standard guidelines. This standard requires that the patient be on continuous cardiac, respiratory and oxygen saturation monitor throughout the infusion and for 30 minutes afterwards. Vital signs will be measured and recorded pre-transfusion, 15 minutes into transfusion, every hour for duration of infusion, and end of transfusion. The RN will stay with the patient for the first 5 minutes of the transfusion. If two transduction products are administered, the second transduced product will be administered without delay after the first.

**[0455]** It is to be understood that while the invention has been described in conjunction with the detailed description thereof, the foregoing description is intended to illustrate and not limit the scope of the invention, which is defined by the scope of the appended claims. Other aspects, advantages, and modifications are within the scope of the following claims.

## REFERENCES

1. Lee, Y. et al., The nuclear RNase III Drosha initiates microRNA processing. *Nature* 425, 415-419 (2003).
2. Ha, M. and Kim, V. N., Regulation of microRNA biogenesis. *Nature reviews. Molecular cell biology* 15, 509-524 (2014).
3. Winter, J. et al., Many roads to maturity: microRNA biogenesis pathways and their regulation. *Nature cell biology* 11, 228-234 (2009).
4. Khvorova, A. et al., Functional siRNAs and miRNAs exhibit strand bias. *Cell* 115, 209-216 (2003).
5. Schwarz, D. S. et al., Asymmetry in the assembly of the RNAi enzyme complex. *Cell* 115, 199-208 (2003).
6. Lai, E. C., Micro RNAs are complementary to 3' UTR sequence motifs that mediate negative post-transcriptional regulation. *Nature genetics* 30, 363-364 (2002).
7. Lewis, B. P. et al., Prediction of mammalian microRNA targets. *Cell* 115, 787-798 (2003).
8. Park, J. E. et al., Dicer recognizes the 5' end of RNA for efficient and accurate processing. *Nature* 475, 201-205 (2011).
9. Grimm, D. et al., Fatality in mice due to oversaturation of cellular microRNA/short hairpin RNA pathways. *Nature* 441, 537-541 (2006).
10. McBride, J. L. et al., Artificial miRNAs mitigate shRNA-mediated toxicity in the brain: implications for the therapeutic development of RNAi. *Proc Natl Acad Sci U S A* 105, 5868-5873 (2008).
11. Khan, A. A. et al., Transfection of small RNAs globally perturbs gene regulation by endogenous microRNAs. *Nat Biotechnol* 27, 549-555 (2009).

12. Yi, R. et al., Overexpression of exportin 5 enhances RNA interference mediated by short hairpin RNAs and microRNAs. *RNA* 11, 220-226 (2005).
13. Borner, K. et al., Robust RNAi enhancement via human Argonaute-2 overexpression from plasmids, viral vectors and cell lines. *Nucleic Acids Res* 41, e199 (2013).
14. Grimm, D. et al., Argonaute proteins are key determinants of RNAi efficacy, toxicity, and persistence in the adult mouse liver. *The Journal of clinical investigation* 120, 3106-3119 (2010).
15. Diederichs, S. and Haber, D. A., Dual role for argonautes in microRNA processing and posttranscriptional regulation of microRNA expression. *Cell* 131, 1097-1108 (2007).
16. Persengiev, S. P. et al., Nonspecific, concentration-dependent stimulation and repression of mammalian gene expression by small interfering RNAs (siRNAs). *RNA* 10, 12-18 (2004).
17. Fish, R. J. and Kruithof, E. K., Short-term cytotoxic effects and long-term instability of RNAi delivered using lentiviral vectors. *BMC Mol Biol* 5, 9 (2004).
18. Jackson, A. L. and Linsley, P. S., Recognizing and avoiding siRNA off-target effects for target identification and therapeutic application. *Nat Rev Drug Discov* 9, 57-67 (2010).
19. Martin, J. N. et al., Lethal toxicity caused by expression of shRNA in the mouse striatum: implications for therapeutic design. *Gene Ther* 18, 666-673 (2011).
20. Zeng, Y. et al., Both natural and designed micro RNAs can inhibit the expression of cognate mRNAs when expressed in human cells. *Molecular cell* 9, 1327-1333 (2002).
21. Fellmann, C. et al., An optimized microRNA backbone for effective single-copy RNAi. *Cell Rep* 5, 1704-1713 (2013).
22. Amendola, M. et al., Regulated and multiple miRNA and siRNA delivery into primary cells by a lentiviral platform. *Mol Ther* 17, 1039-1052 (2009).
23. Sankaran, V. G. et al., Human fetal hemoglobin expression is regulated by the developmental stage-specific repressor BCL11A. *Science (New York, N.Y)* 322, 1839-1842 (2008).
24. Xu, J. et al., Correction of sickle cell disease in adult mice by interference with fetal hemoglobin silencing. *Science* 334, 993-996 (2011).
25. Cullen, B. R., Derivation and function of small interfering RNAs and microRNAs. *Virus Res* 102, 3-9 (2004).
26. Moffat, J. et al., A lentiviral RNAi library for human and mouse genes applied to an arrayed viral high-content screen. *Cell* 124, 1283-1298 (2006).
27. Xu, J. et al., Transcriptional silencing of {gamma}-globin by BCL11A involves long-range interactions and cooperation with SOX6. *Genes Dev* 24, 783-798 (2010).

28. Weber, K. et al., Lentiviral gene ontology (LeGO) vectors equipped with novel drug-selectable fluorescent proteins: new building blocks for cell marking and multi-gene analysis. *Gene Ther* 17, 511-520 (2010).
29. Wilber, A. et al., Therapeutic levels of fetal hemoglobin in erythroid progeny of beta-thalassemic CD34+ cells after lentiviral vector-mediated gene transfer. *Blood* 117, 2817-2826 (2011).
30. Liu, P. et al., BCL11A is essential for normal lymphoid development. *Nat Immunol* 4, 525-532 (2003).
31. Yu, Y. et al., BCL11A is essential for lymphoid development and negatively regulates p53. *J Exp Med* 209, 2467-2483 (2012).
32. Miccio, A. et al., *In vivo* selection of genetically modified erythroblastic progenitors leads to long-term correction of beta-thalassemia. *Proceedings of the National Academy of Sciences of the United States of America* 105, 10547-10552 (2008).
33. Demaison, C. et al., High-level transduction and gene expression in hematopoietic repopulating cells using a human immunodeficiency [correction of imunodeficiency] virus type 1-based lentiviral vector containing an internal spleen focus forming virus promoter. *Hum Gene Ther* 13, 803-813 (2002).
34. Hansbury, E. N. et al., Bone marrow transplant options and preferences in a sickle cell anemia cohort on chronic transfusions. *Pediatr Blood Cancer* 58, 611-615 (2012).
35. Andreani, M. et al., Quantitatively different red cell/nucleated cell chimerism in patients with long-term, persistent hematopoietic mixed chimerism after bone marrow transplantation for thalassemia major or sickle cell disease. *Haematologica* 96, 128-133 (2011).
36. Cartier, N. et al., Hematopoietic stem cell gene therapy with a lentiviral vector in X-linked adrenoleukodystrophy. *Science (New York, N.Y)* 326, 818-823 (2009).
37. Biffi, A. et al., Lentiviral Hematopoietic Stem Cell Gene Therapy Benefits Metachromatic Leukodystrophy. *Science (New York, N.Y)*, (2013).
38. Aiuti, A. et al., Lentiviral hematopoietic stem cell gene therapy in patients with Wiskott-Aldrich syndrome. *Science* 341, 1233151 (2013).
39. Cavazzana-Calvo, M. et al., Transfusion independence and HMGA2 activation after gene therapy of human beta-thalassaemia. *Nature* 467, 318-322 (2010).
40. Wu, X. et al., BCL11A controls Flt3 expression in early hematopoietic progenitors and is required for pDC development *in vivo*. *PLoS One* 8, e64800 (2013).
41. Ippolito, G. C. et al., Dendritic cell fate is determined by BCL11A. *Proc Natl Acad Sci U S A* 111, E998-1006 (2014).

42. Roselli, E. A. et al., Correction of beta-thalassemia major by gene transfer in haematopoietic progenitors of pediatric patients. *EMBO Mol Med* 2, 315-328 (2010).

43. Zychlinski, D. et al., Physiological promoters reduce the genotoxic risk of integrating gene vectors. *Mol Ther* 16, 718-725 (2008).

44. Zhang, H. et al., Single processing center models for human Dicer and bacterial RNase III. *Cell* 118, 57-68 (2004).

45. Vermeulen, A. et al., The contributions of dsRNA structure to Dicer specificity and efficiency. *RNA* 11, 674-682 (2005).

46. Chiang, H. R. et al., Mammalian microRNAs: experimental evaluation of novel and previously annotated genes. *Genes Dev* 24, 992-1009 (2010).

47. Frank, F. et al., Structural basis for 5'-nucleotide base-specific recognition of guide RNA by human AGO2. *Nature* 465, 818-822 (2010).

48. Giarratana, M. C. et al., Proof of principle for transfusion of in vitro-generated red blood cells. *Blood* 118, 5071-5079 (2011).

49. Grimson, A. et al., Early origins and evolution of microRNAs and Piwi-interacting RNAs in animals. *Nature* 455, 1193-1197 (2008).

**[0456] List of synthetic miR oligonucleotides**

BCL11A miR1 oligos:

Sense ACGCTCGCACAGAACACTCATGGATTctccatgtggtagagAATCCATGAGTGTCTGTGCGag (SEQ ID NO:1)

Anti-sense CGCACAGAACACTCATGGATTctctaccacatggagAATCCATGAGTGTCTGTGCGa (SEQ ID NO:2)

BCL11A miR2 oligos:

Sense ACGCTCCAGAGGATGACGATTGTTActccatgtggtagagTAAACAATCGTCATCCTCTGGag (SEQ ID NO:3)

Anti-sense CGCActCCAGAGGATGACGATTGTTActctaccacatggagTAAACAATCGTCATCCTCTGGa (SEQ ID NO:4)

BCL11A E3 oligos:

Sense

ACGTTCGGAGACTCCAGACAATCGCctccatgtggtagagGCGATTGTCTGGAGTCTCCGAag (SEQ ID NO:5)

Anti-sense CGCActTCGGAGACTCCAGACAATCGCctctaccacatggagGCGATTGTCTGGAGTCTCCGAa (SEQ ID NO:6)

BCL11A D8 oligos:

Sense

ACGTTTCTCTTGCAACACGCACAGActccatgtggtagagTCTGTGCGTGTGCAAGAGAAag (SEQ ID NO:7)

Anti-sense CGCActTCTCTTGCAACACGCACAGActctaccacatggagTCTGTGCGTGTGCAAGAGAAa (SEQ ID NO:8)

BCL11A XLC4 or C4 oligos:

Sense

ACGCTACAGTACCCTGGAGAACACActccatgtggtagagTGTGTTCTCCAGGGTACTGTag (SEQ ID NO:9)

Anti-sense CGCActACAGTACCCTGGAGAACACActctaccacatggagTGTGTTCTCCAGGGTACTGTta (SEQ ID NO:10)

Non-targeting oligos:

Sense ACGCTAACAAAGATGAAGAGCACCAActccatgtggtagagTTGGTGCTCTCATCTGTTag (SEQ ID NO:11)

Anti-sense CGCActCAACAAGATGAAGAGCACCAActctaccacatggagTTGGTGCTCTCATCTGTTta (SEQ ID NO:12)

BCL11A E3G5 or E3 mod oligos: **(modified version)**

Sense

ACGCTGCGCTCGGAGACTCCAGACAAActccatgtggtagagTGTGCTGGAGTCTCCGAGCGCag (SEQ ID NO:13)

Antisense

CGCActGCGCTCGGAGACTCCAGACAAActctaccacatggagTGTGCTGGAGTCTCCGAGCGC a (SEQ ID NO:14)

BCL11A D8G5 or D8 mod oligos: **(modified version)**

Sense

ACGCTGCGCTTCTCTTGCAACACGCAActccatgtggtagagTGCGTGTGCAAGAGAACGCGag (SEQ ID NO:15)

Antisense

CGCActGCGCTTCTCTTGCAACACGCAActctaccacatggagTGCGTGTGCAAGAGAACGCG a (SEQ ID NO:16)

BCL11A XLC4G5 oligos: **(modified version)**

Sense

ACGCTGCGCACAGTACCCTGGAGAAActccatgtggtagagTTCTCCAGGGTACTGTGCGag (SEQ ID NO:17)

Antisense

CGCActGCGCACAGTACCCTGGAGAAActctaccacatggagTTCTCCAGGGTACTGTGCGa (SEQ ID NO:18)

miR1 CGCACAGAACACTCATGGATTctccatgtggtagagAATCCATGAGTGTCTGTGCG (SEQ ID NO:25)

(shRNA1 or E3) TCGGAGACTCCAGACAAATCGCctccatgtggtagagGCGATTGTCTGGAGTCTCCGA (SEQ ID NO:26)

(shRNA2 or B5) CCTCCAGGCAGCTAAAGATCctccatgtggtagagGATCTTGAGCTGCCTGGAGG (SEQ ID NO:27)

(shRNA3 or D8) TTCTCTTGCAACACGACAGAActccatgtggtagagTCTGTGCGTGTGCAAGAGAA (SEQ ID NO:28)

(shRNA4 or B11) TCAGGACTAGGTGCAGAATGTctccatgtggtagagACATTCTGCACCTAGCCTGA (SEQ ID NO:29)

(shRNA5 or 50D12 or D12) GATCGAGTGGTGAATAATGATctccatgtggtagagATCATTATTCAACACTCGATC (SEQ ID NO:30)

(shRNA6 or 50A5 or A5) CAGTACCCCTGGAGAAACACATctccatgtggtagagATGTGTTCTCCAGGGTACTG (SEQ ID NO:31)

(shRNA7 or 50B11) CACTGTCCACAGGAGAACGCCActccatgtggtagagTGGCTTCTCCTGTGGACAGTG (SEQ ID NO:32)

(shRNA8 or 50C4) ACAGTACCCCTGGAGAAACACActccatgtggtagagTGTGTTCTCCAGGGTACTGT (SEQ ID NO:33)

mIR1G5 ggcgcgcACAGAACACTCATGctccatgtggtagagCATGAGTGTCTGTGCGgcgc (SEQ ID NO:34)

(shRNA1mod or E3G5) ggcgcTCGGAGACTCCAGACAAActccatgtggtagagTTGTCTGGAGTCTCCGAgcgc (SEQ ID NO:35)

(shRNA2mod or B5G5) ggcgcCCTCCAGGCAGCTCAAAActccatgtggtagagTTTGAGCTGCCTGGAGGgcgc (SEQ ID NO:36)

(shRNA3mod or D8G5) ggcgcTTCTCTTGCAACACGCAActccatgtggtagagTGCCTGTTGCAAGAGAAgcgc (SEQ ID NO:37)

(shRNA4mod or B11G5) ggcgcTCAGGACTAGGTGCAGActccatgtggtagagTCTGCACCTAGTCCTGAgcgc (SEQ ID NO:38)

(shRNA5mod or 50D12G5 or D12G5) ggcgcGATCGAGTGGTGAATAActccatgtggtagagTTATTCAACACTCGATCgcgc (SEQ ID NO:39)

(shRNA6mod or 50A5G5) ggcgcCAGTACCCCTGGAGAAACctccatgtggtagagGTTTCTCCAGGGTACTGgcgc (SEQ ID NO:40)

(shRNA7mod or 50B11G5) ggcgcCACTGTCCACAGGAGAACActccatgtggtagagTTCTCCTGTGGACAGTGgcgc (SEQ ID NO:41)

(shRNA8mod or 50C4G5 or C4G5) ggcgcACAGTACCCCTGGAGAAACtccatgtggtagagTTTCTCCAGGGTACTGTgcgc (SEQ ID NO:42)

(BCL11A D12G5-2 shRNA): Sense  
ACGCTCGCACAGAACACTCATGGATTctccatgtggtagagAATCCATGAGTGTCTGTGCGAG (SEQ. ID. NO:43)

(BCL11A D12G5-2 shRNA): Anti-sense  
CGCACTCGCACAGAACACTCATGGATTctctaccacatggagAATCCATGAGTGTCTGTGCGA (SEQ. ID. NO:44)

5'-CCGGCGCACAGAACACTCATGGATTCTCGAGAACATGAGTGTCTGTGCGTTTT-  
3' (SEQ. ID. NO:86)

5' CGCTCGCACAGAACACTCATGGATTctccatgtggtagagaATCCATGAGTGTCTGTGCG  
AGTG-3' (SEQ. ID. NO:87)

5' CGCTCGGCCGCACAGAACACTCATGctccatgtggtagagCATGAGTGTCTGTGCGCGCA  
GTG-3' (SEQ. ID. NO:88)

5'-CCGGACAGTACCCCTGGAGAAACACACTCGAGTGTGTTCTCCAGGGTACTGTTTTT-  
3' (SEQ. ID. NO:89)

5' -CGCTACAGTACCCCTGGAGAACACActccatgtggtagagTGTGTTCTCCAGGGTACTGT  
AGTG-3' (SEQ. ID. NO:90)

5' CGCTGCGCACAGTACCCCTGGAGAAActccatgtggtagagTTTCTCCAGGGTACTGTGCGCA  
GTG-3' (SEQ. ID. NO:91)

5' -CCGGTTCTCTTGCAACACGCACAGACTCGAGTCTGTGCGTGTGCAAGAGAATTTT-  
3' (SEQ. ID. NO:92)

5' CGCTTCTCTTGCAACACGCACAGActccatgtggtagagTCTGTGCGTGTGCAAGAGAAGAAA  
GTG-3' (SEQ. ID. NO:93)

5' CGCTGCGCTTCTCTTGCAACACGCActccatgtggtagagTGC GTGTTGCAAGAGAAGCGCA  
GTG-3' (SEQ. ID. NO:94)

5' -CCGGGATCGAGTGTGAATAATGATCTCGAGATCATTATTCAACACTCGATCTTTT-  
3' (SEQ. ID. NO:95)

5' -CGCTGATCGAGTGTGAATAATGATctccatgtggtagagATCATTATTCAACACTCGATC  
AGTG-3' (SEQ. ID. NO:96)

5' CGCTGCGCGATCGAGTGTGAATAActccatgtggtagagTTATTCAACACTCGATCGCGCA  
GTG-3' (SEQ. ID. NO:97)

New name	Old name
shRNA1	E3
shRNA2	B5
shRNA3	D8
shRNA4	B11
shRNA5	50D12 or D12
shRNA6	50A5 or A5
shRNA7	50B11
shRNA8	50C4
shRNA1mod	E3G5
shRNA2mod	B5G5
shRNA3mod	D8G5
shRNA4mod	B11G5
shRNA5mod	50D12G5 or D12G5
shRNA6mod	50A5G5
shRNA7mod	50B11G5
shRNA8mod	50C4G5 or C4G5

## Claims

1. A synthetic BCL11A microRNA comprising;  
a) a first BCL11A segment, a loop segment; and  
b) a second BCL11A segment arranged in tandem in a 5' to 3' direction,  
wherein the loop segment is between and directly linked to the first and second  
BCL11A segments,

wherein the second BCL11A segment is complementary to the first BCL11A  
segment so that the first and second BCL11A segments base pair to form a hairpin loop  
with the loop segment forming the loop portion of the hairpin loop thus formed; and

wherein the first BCL11A segment starts with a -GCGC- at the 5' end and the  
second BCL11A segment ends with a - GCGC- at the 3' end; and

wherein the first BCL11A segment is selected from the group consisting of SEQ  
ID NOS: 57, 58, 59, 60, 61, 62, 63, 64, and 65.

2. The synthetic BCL11A microRNA of claim 1, wherein the first and  
second BCL11A segments are about 18 to 25 nucleotides long.

3. The synthetic BCL11A microRNA of claim 1 or 2, wherein the  
microRNA comprises a nucleotide sequence selected from the group consisting of SEQ  
ID NOS: 34, 35, 36, 37, 38, 39, 40, 41, and 42.

4. An isolated nucleic acid molecule comprising the nucleotide sequence  
selected from the group consisting of SEQ ID NOS: 34, 35, 36, 37, 38, 39, 40, 41, and  
42.

5. The isolated nucleic acid molecule of claim 4, wherein the molecule  
comprises the nucleotide sequence of SEQ ID NO: 34.

6. The isolated nucleic acid molecule of claim 4, wherein the molecule  
comprises the nucleotide sequence of SEQ ID NO: 35.

7. The isolated nucleic acid molecule of claim 4, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 36.

8. The isolated nucleic acid molecule of claim 4, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 37.

9. The isolated nucleic acid molecule of claim 4, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 38.

10. The isolated nucleic acid molecule of claim 4, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 39.

11. The isolated nucleic acid molecule of claim 4, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 40.

12. The isolated nucleic acid molecule of claim 4, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 41.

13. The isolated nucleic acid molecule of claim 4, wherein the molecule comprises the nucleotide sequence of SEQ ID NO: 42.

14. A vector comprising the isolated nucleic acid molecule of any one of claims 4 to 13.

15. The vector of claim 14, wherein the vector further comprises a spleen focus-forming virus promoter, a tetracycline-inducible promoter, or a  $\beta$ -globin locus control region and a  $\beta$ - globin promoter.

16. A hematopoietic stem or progenitor cell comprising the vector of claim 14 or 15.

17. A bacterium comprising the isolated nucleic acid molecule of any one of claims 4 to 13.

18. A lentivirus comprising the isolated nucleic acid molecule of any one of claims 4 to 13.

19. The lentivirus of claim 18, wherein the lentivirus is selected from the group consisting of: human immunodeficiency virus type 1 (HIV-1), human immunodeficiency virus type 2 (HIV-2), caprine arthritis-encephalitis virus (CAEV), equine infectious anemia virus (EIAV), feline immunodeficiency virus (FIV), bovine immune deficiency virus (BIV), and simian immunodeficiency virus (SIV).

20. A composition comprising a synthetic BCL11A microRNA of any one of claims 1-3; an isolated nucleic acid molecule of any one of claims 4-13, a vector of claim 14 or 15, a hematopoietic stem or progenitor cell of claim 16, or a lentivirus of claim 18 or 19, and a pharmaceutically acceptable carrier or diluent.

21. A composition comprising a vector of claim 14 or 15, a hematopoietic stem or progenitor cell of claim 16, or a lentivirus of claim 18 or 19, and a pharmaceutically acceptable carrier or diluent.

22. A composition of claim 20 or 21 for use in the treatment or for reducing a risk of developing, a hemoglobinopathy in a subject.

23. A composition of claim 20 or 21 for use in the manufacture of a medicament in treatment or for reducing a risk of developing, a hemoglobinopathy in a subject.

24. A composition of claim 20 or 21 for use in increasing the fetal hemoglobin levels expressed by a cell.

25. The composition of claim 24, wherein the cell is an embryonic stem cell, a somatic stem cell, a progenitor cell, a bone marrow cell, a hematopoietic stem cell, or a hematopoietic progenitor cell.

26. A hematopoietic stem or progenitor cell comprising a lentiviral vector that comprises a polynucleotide encoding a synthetic BCL11A microRNA, wherein the synthetic BCL11A microRNA comprises;

a) a first BCL11A segment, a loop segment; and  
b) a second BCL11A segment arranged in tandem in a 5' to 3' direction, wherein the loop segment is between and directly linked to the first and second BCL11A segments,

wherein the second BCL11A segment is complementary to the first BCL11A segment so that the first and second BCL11A segments base pair to form a hairpin loop with the loop segment forming the loop portion of the hairpin loop thus formed; and

wherein the first BCL11A segment starts with a -GCGC- at the 5' end and the second BCL11A segment ends with a -GCGC- at the 3' end; and

wherein the first BCL11A segment is selected from the group consisting of SEQ ID NOs: 57, 58, 59, 60, 61, 62, 63, 64, and 65.

27. The hematopoietic stem or progenitor cell of claim 26, wherein the cell is a hematopoietic stem cell.

28. The hematopoietic stem or progenitor cell of claim 26, wherein the cell is a hematopoietic progenitor cell.

29. The hematopoietic stem or progenitor cell of claim 26, wherein the cell is an erythroid cell.

30. The hematopoietic stem or progenitor cell of any one of claims 26 to 29, wherein the first and second BCL11A segments are about 18 to 25 nucleotides long.

31. The hematopoietic stem or progenitor cell of any one of claims 26 to 30, wherein the synthetic BCL11A microRNA comprises a nucleotide sequence selected from the group consisting of SEQ ID NOs: 34, 35, 36, 37, 38, 39, 40, 41, and 42.

32. A composition comprising the hematopoietic stem or progenitor cell of any one of claims 26 to 31, and a pharmaceutically acceptable carrier or diluent.

33. A composition of claim 32 for use in the treatment or for reducing a risk of developing, a hemoglobinopathy in a subject.

34. A composition of claim 32 for use in the manufacture of a medicament in treatment or for reducing a risk of developing, a hemoglobinopathy in a subject.

35. A composition of claim 32 for use in increasing the fetal hemoglobin levels expressed by a cell.

**Bcl11a miR1 oligonucleotides:**  
 Sense (SEQ. ID. NO: 1)  
 Anti-sense (SEQ. ID. NO: 2)

**Bcl11a miR1 oligonucleotides:**  
 Sense (SEQ. ID. NO: 3)  
 Anti-sense (SEQ. ID. NO: 4)

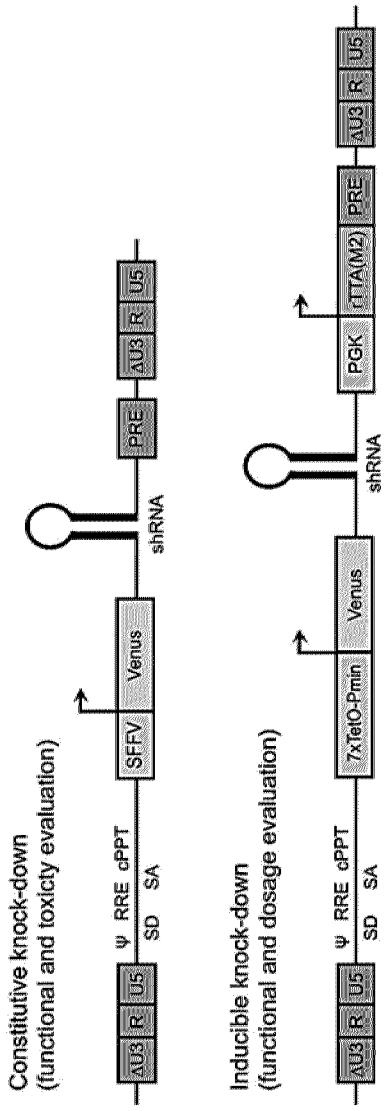
Italicized lower bases = shRNA loop  
 Bold and upper case bases = BCL11A targeting sequence for forming a shRNA  
 Underlined bases = Cloning ends (synthetic)

**Bcl11a miR2 oligonucleotides:**  
 Sense (SEQ. ID. NO: 1)  
 Anti-sense (SEQ. ID. NO: 2)

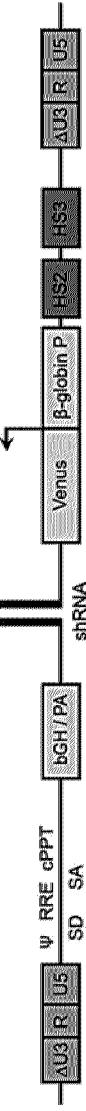
**Bcl11a miR2 oligonucleotides:**  
 Sense (SEQ. ID. NO: 3)  
 Anti-sense (SEQ. ID. NO: 4)

Italicized lower bases = shRNA loop  
 Bold and upper case bases = BCL11A targeting sequence for forming a shRNA  
 Underlined bases = Cloning ends (synthetic)

**FIG. 1**



**FIG. 2**



## SFFV-LV efficiently knocks-down Bcl11a and induces $\epsilon$ -globin expression

in vitro: transduced murine erythroleukemia cells      in vivo: transplanted transduced LSK-HSC

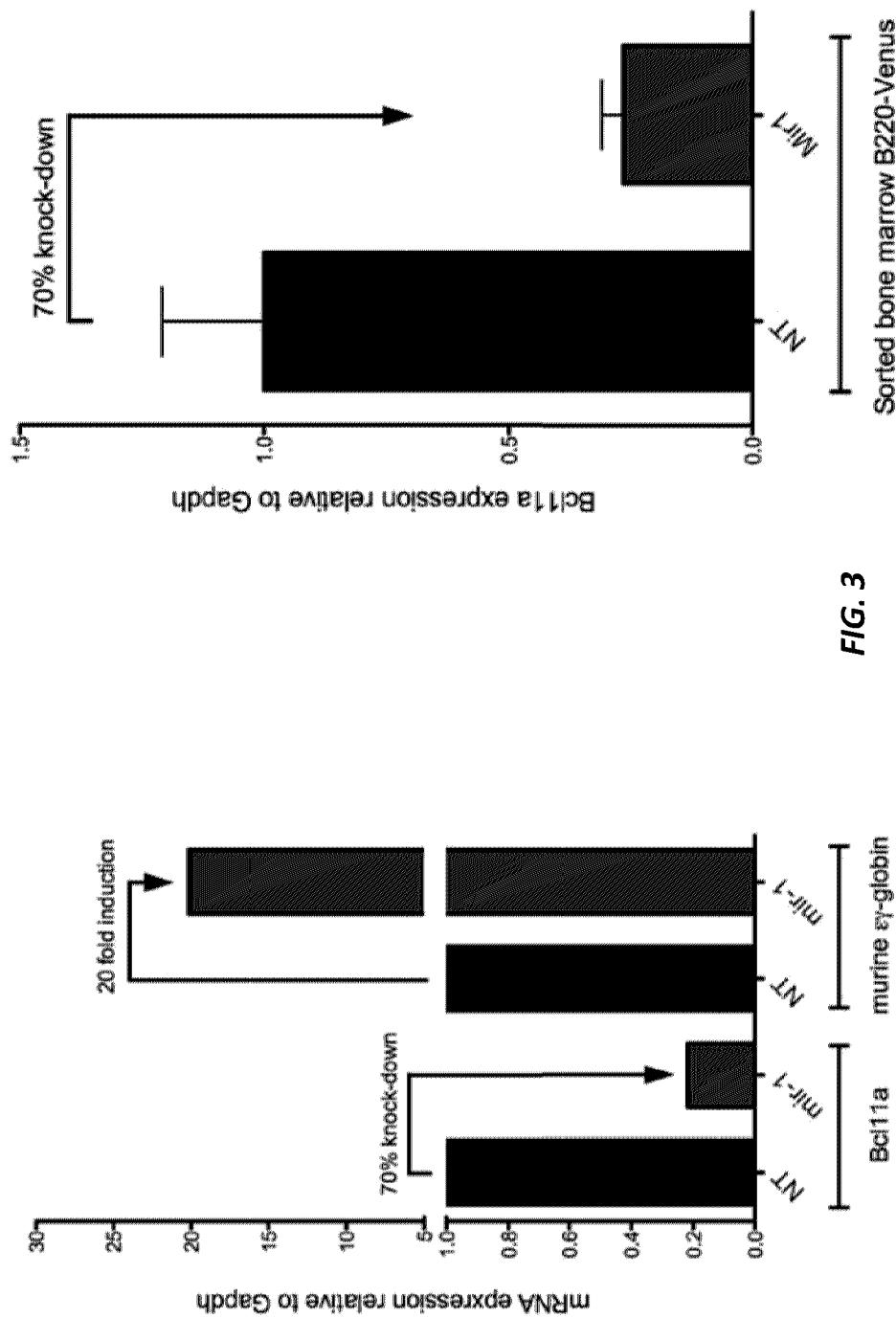
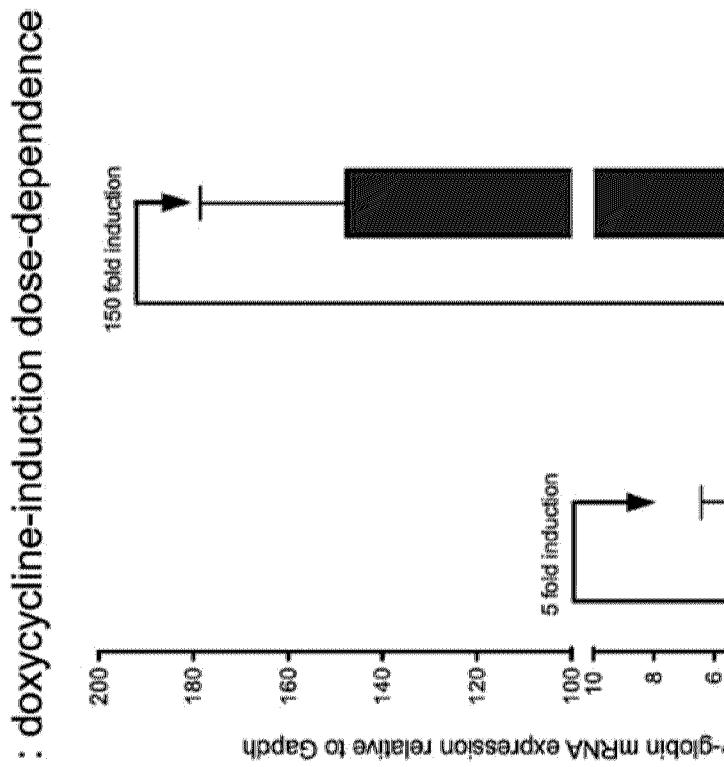
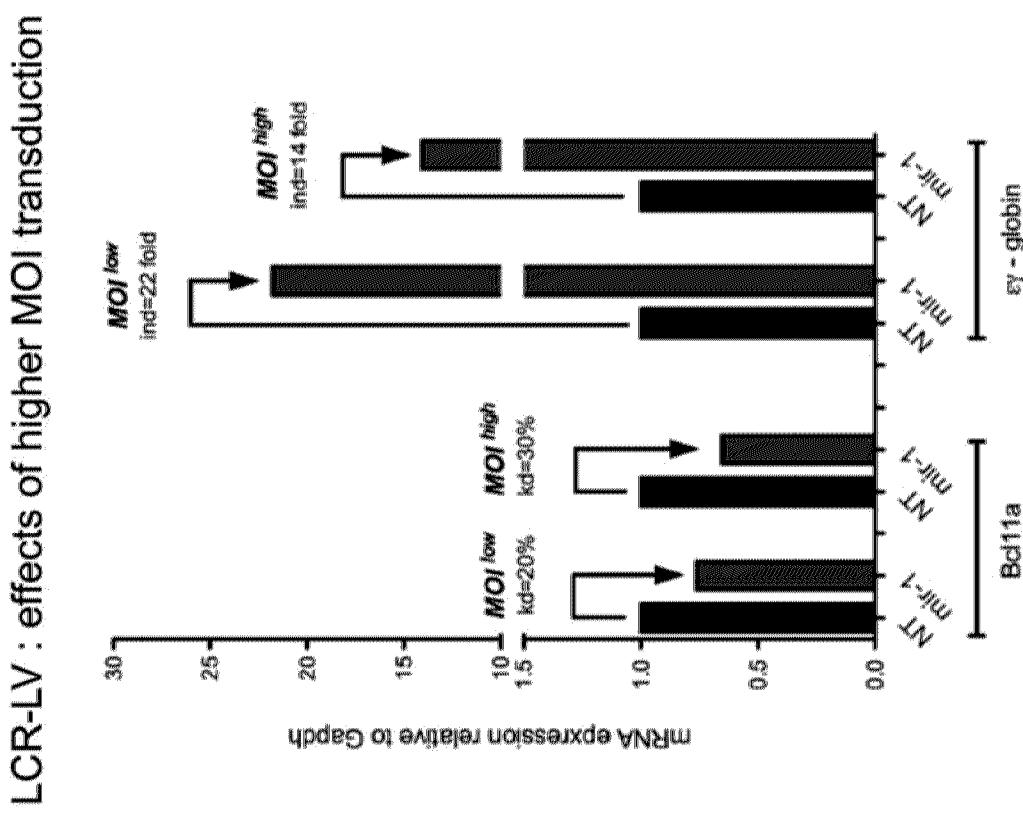
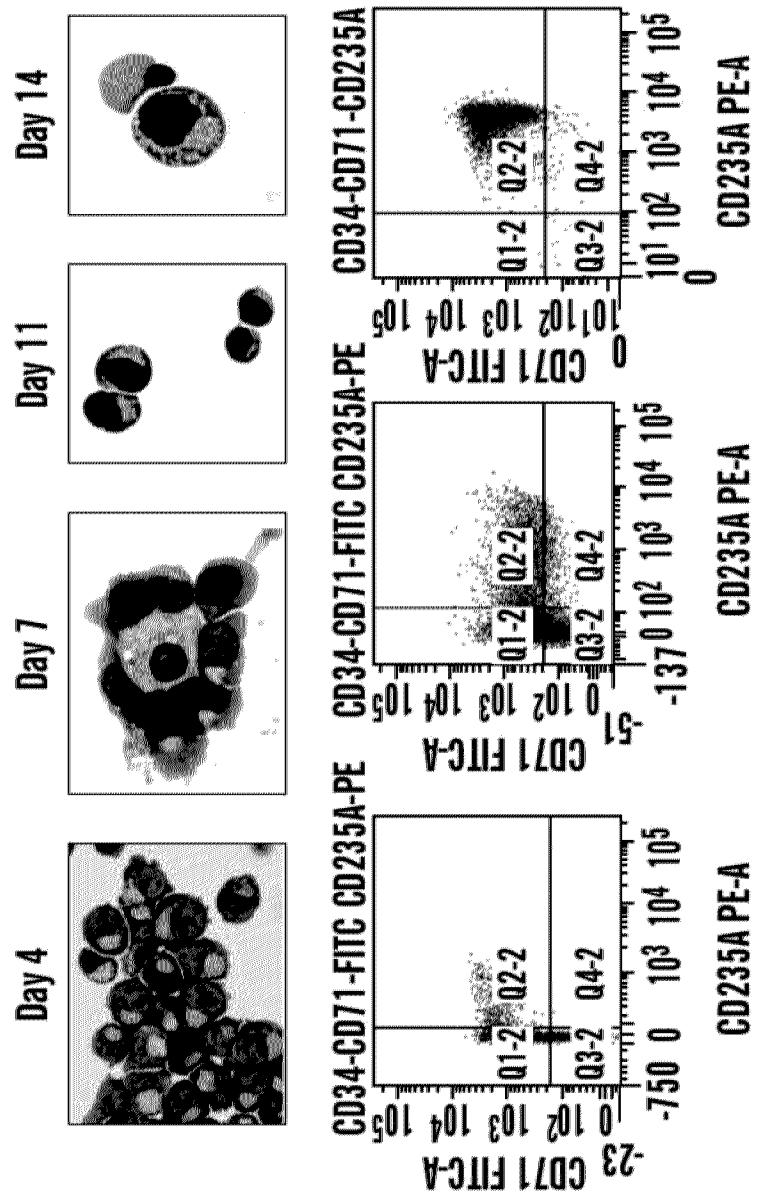


FIG. 3

## LCR/TET-LV efficiently knock-down Bcl11a and induce $\epsilon\gamma$ -globin expression

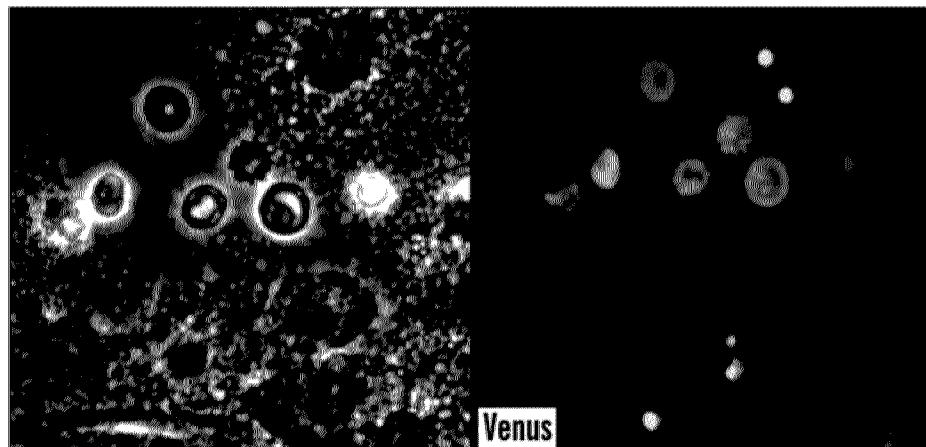


**Transduced CD34+ HSC differentiate ex-vivo into erythrocytes and express HbF**

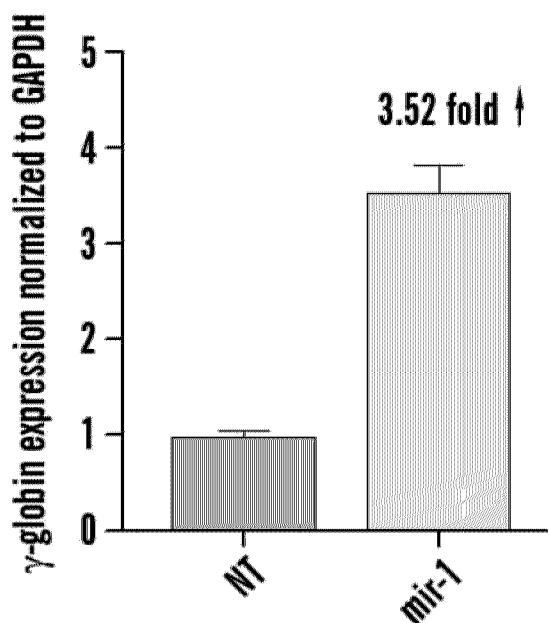
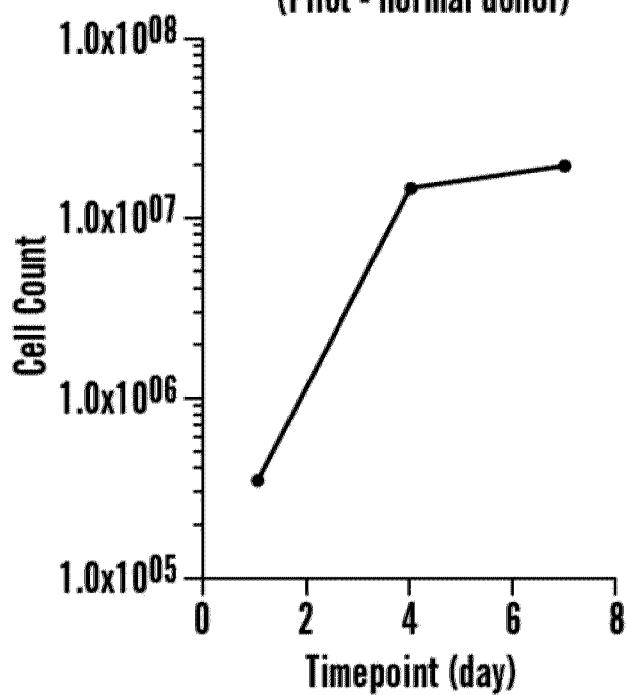


**FIG. 5**

SSFV-LV mir1

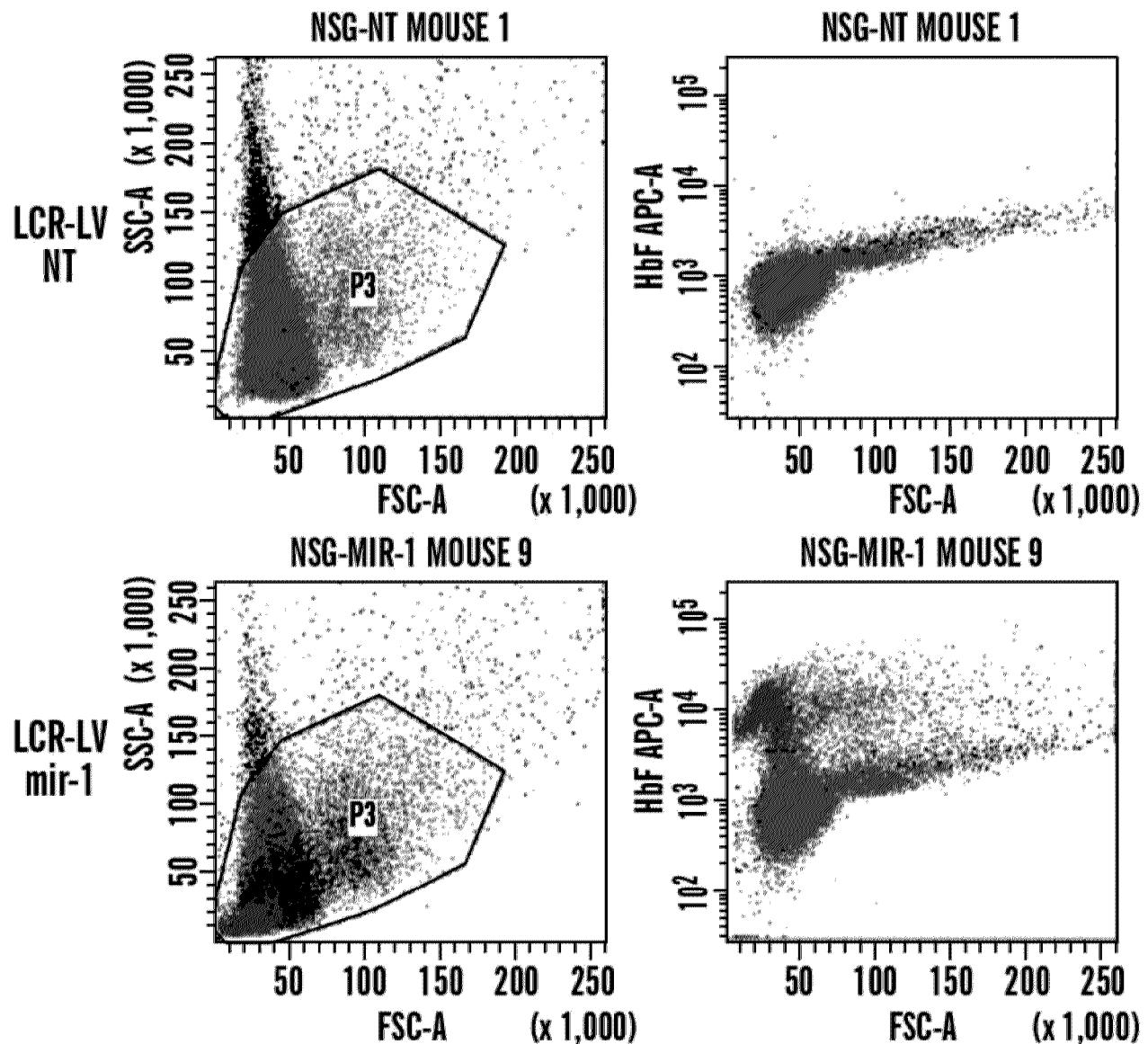


Expansion of CD34+ BM cells  
(Pilot - normal donor)

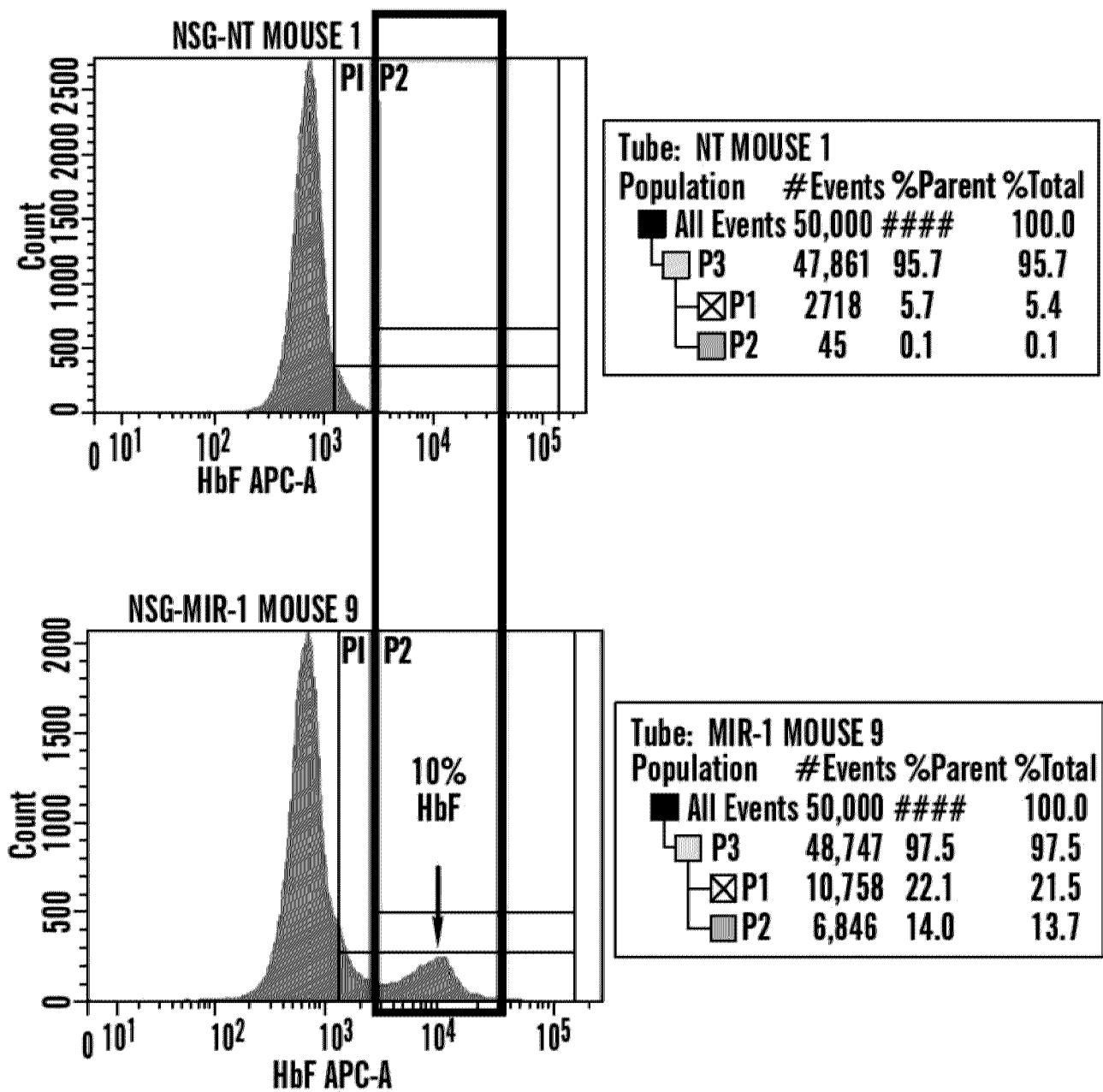


**FIG. 5 (cont.)**

## LCR-LV transduced CD34+ HSCs from patients with SCD transplanted into NSG

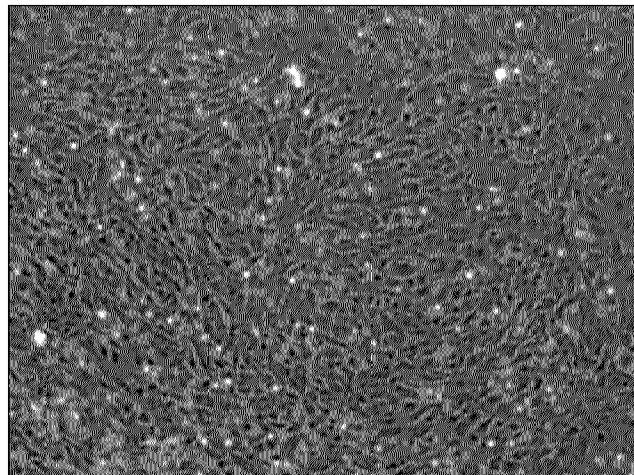


**FIG. 6**

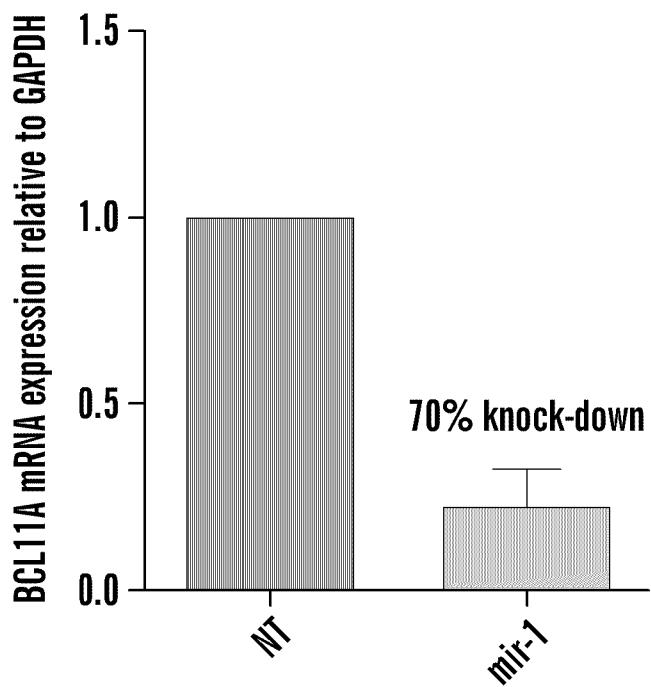


**FIG. 6 (cont.)**

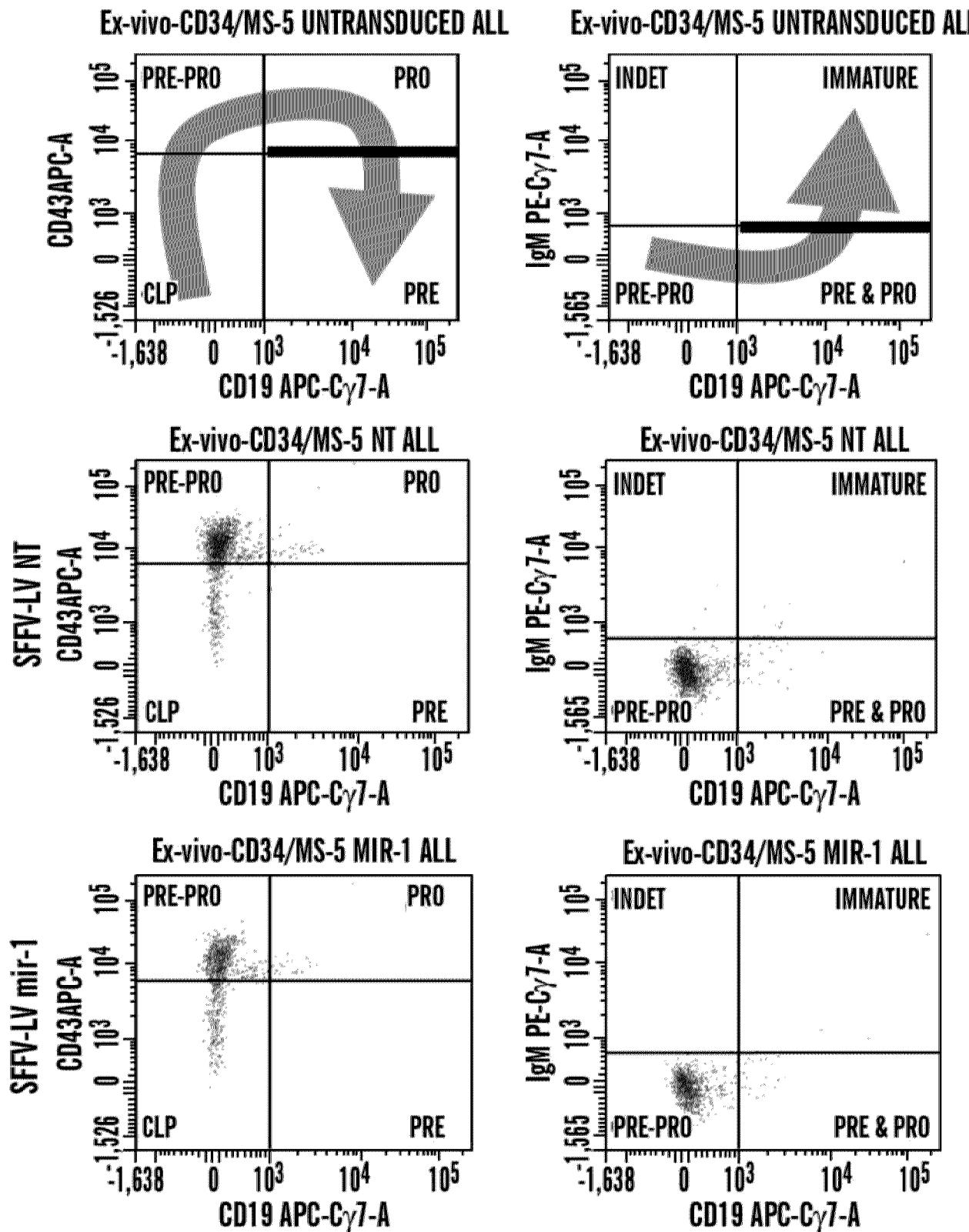
## Investigating potential toxicity of Bcl11a role in lymphoid development



Venus-sorted hCD34 HSC on MS-5, 100x



**FIG. 7**



**FIG. 7 (cont.)**

### shRNAs vs microRNA adapted shRNAs

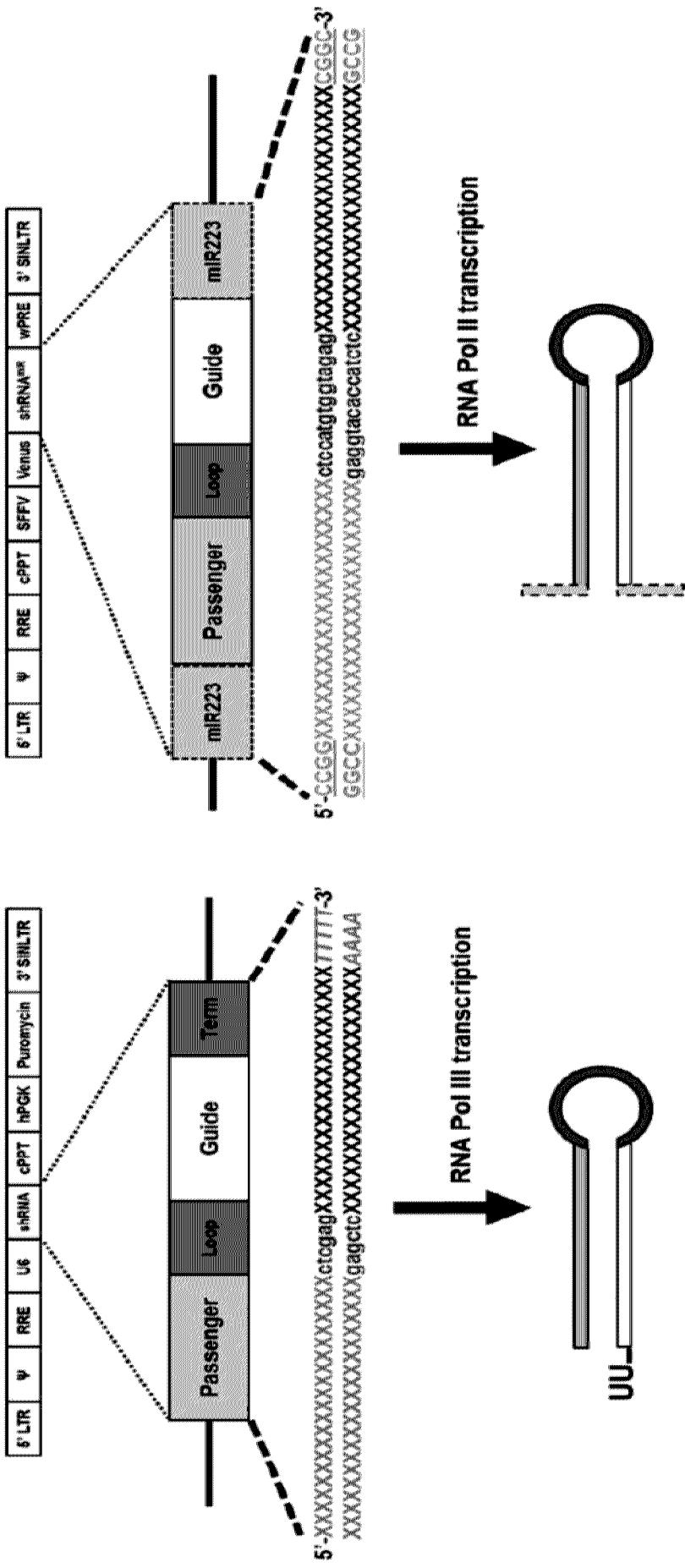
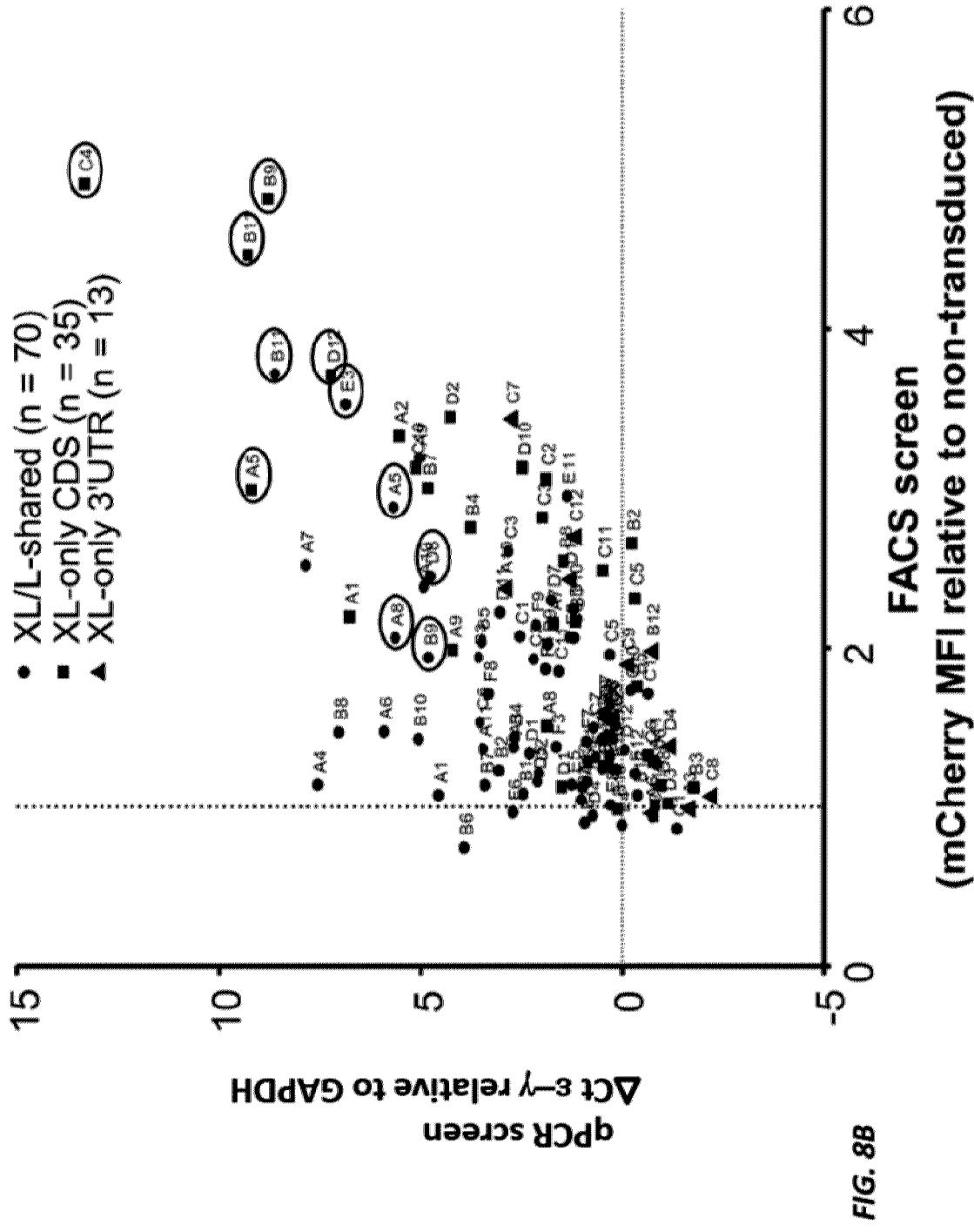


FIG. 8A

FIG. 8

shRNA screen targeting Bcl11A using pLKO vector



## BCL11A knockdown and $\epsilon$ -y induction in pLKO and LEGO back bones

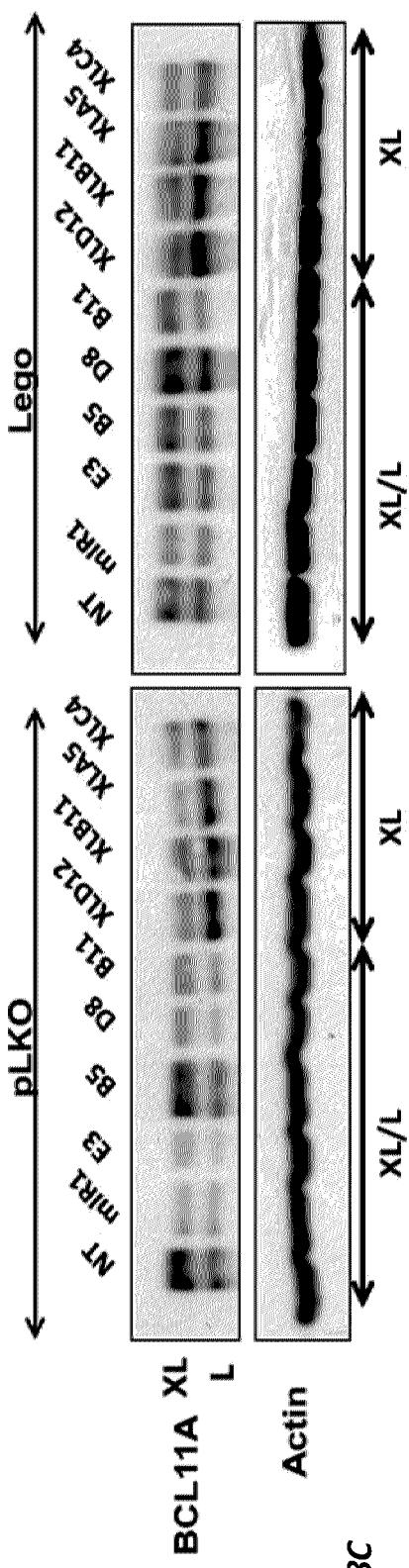


FIG. 8C

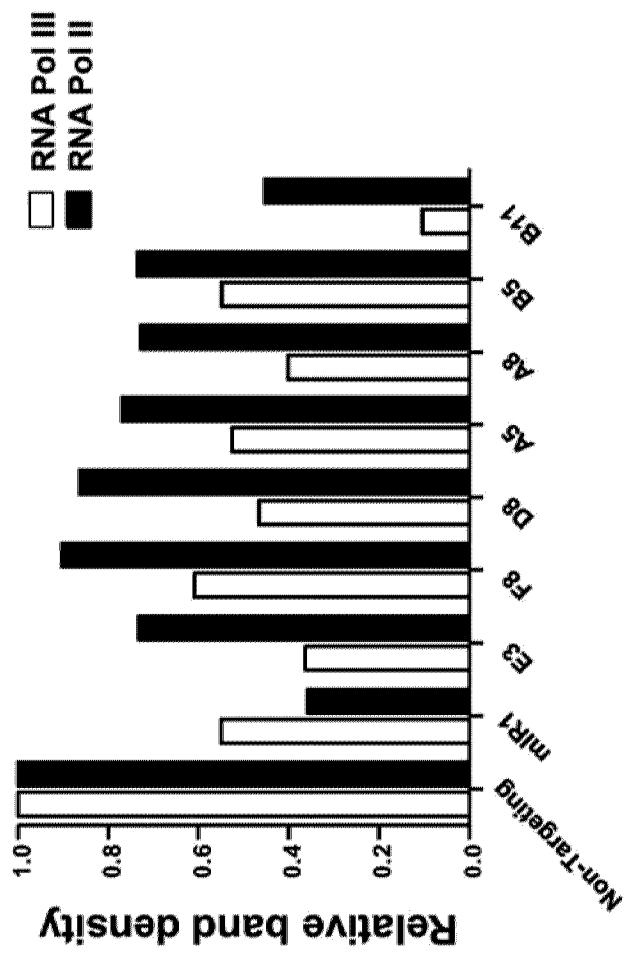


FIG. 8D

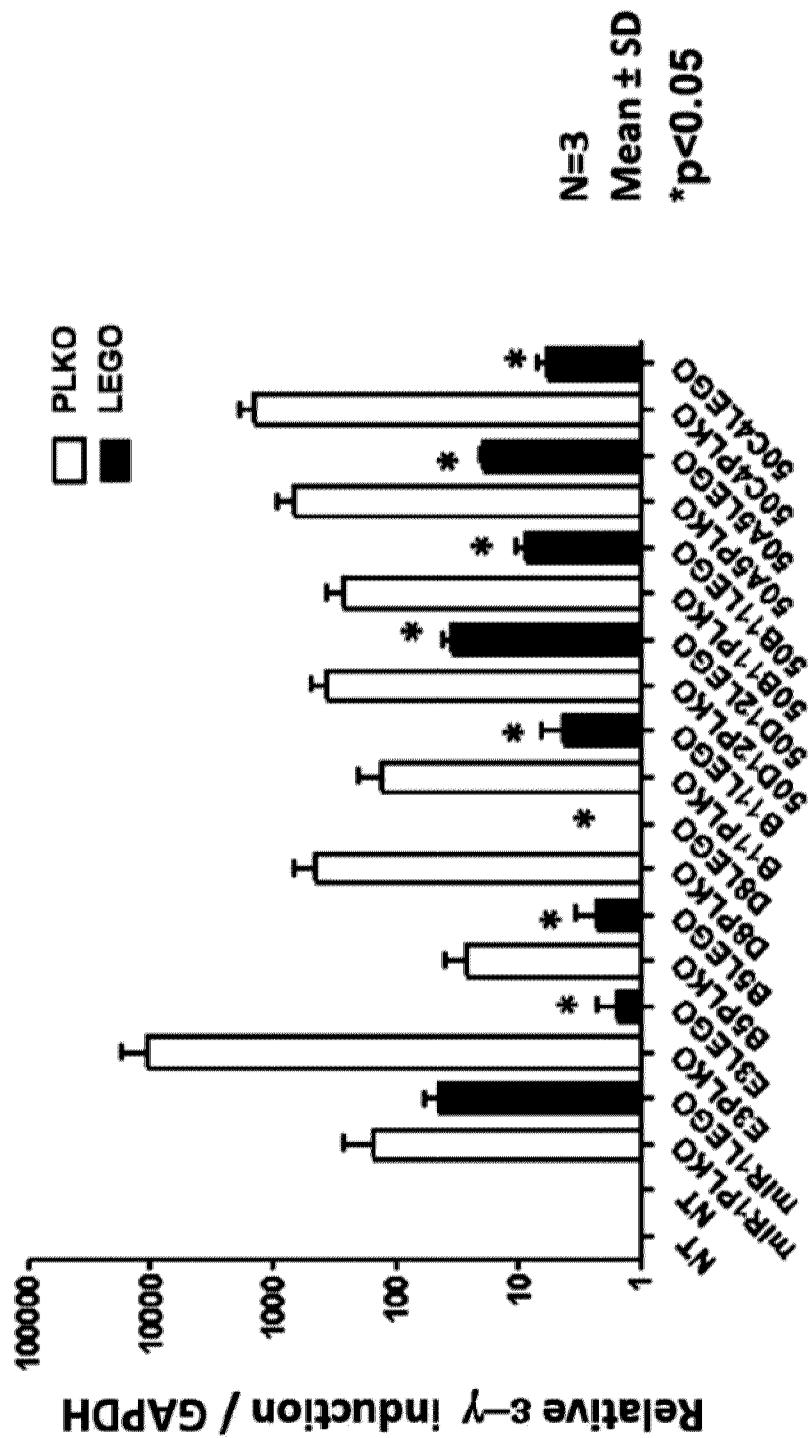


FIG. 8E

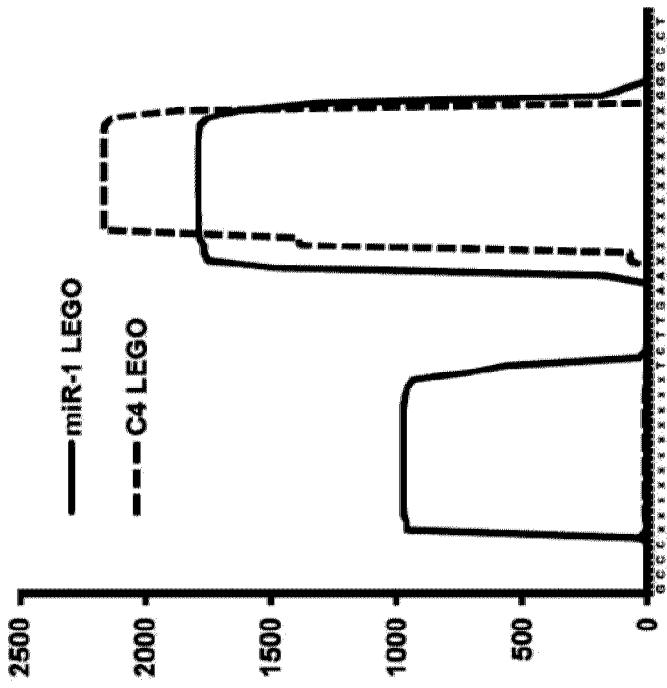


FIG. 9B

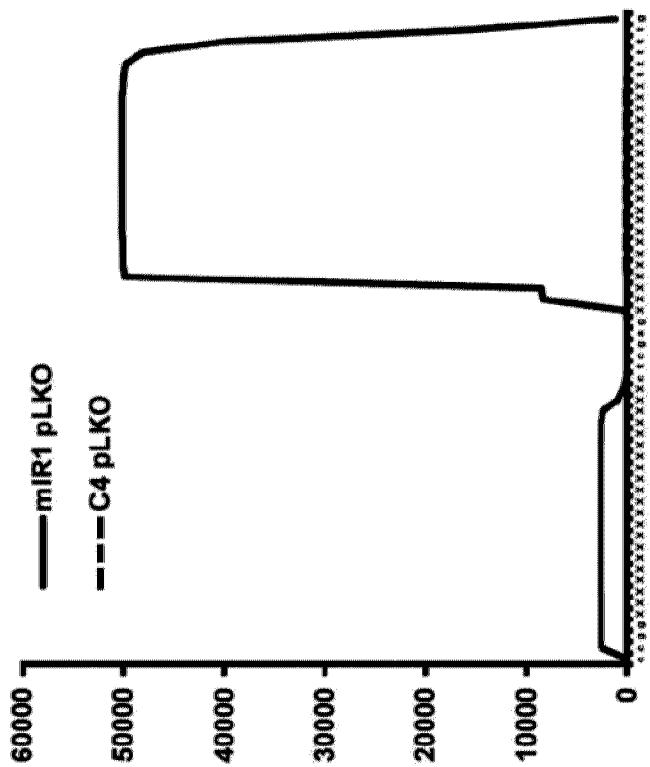
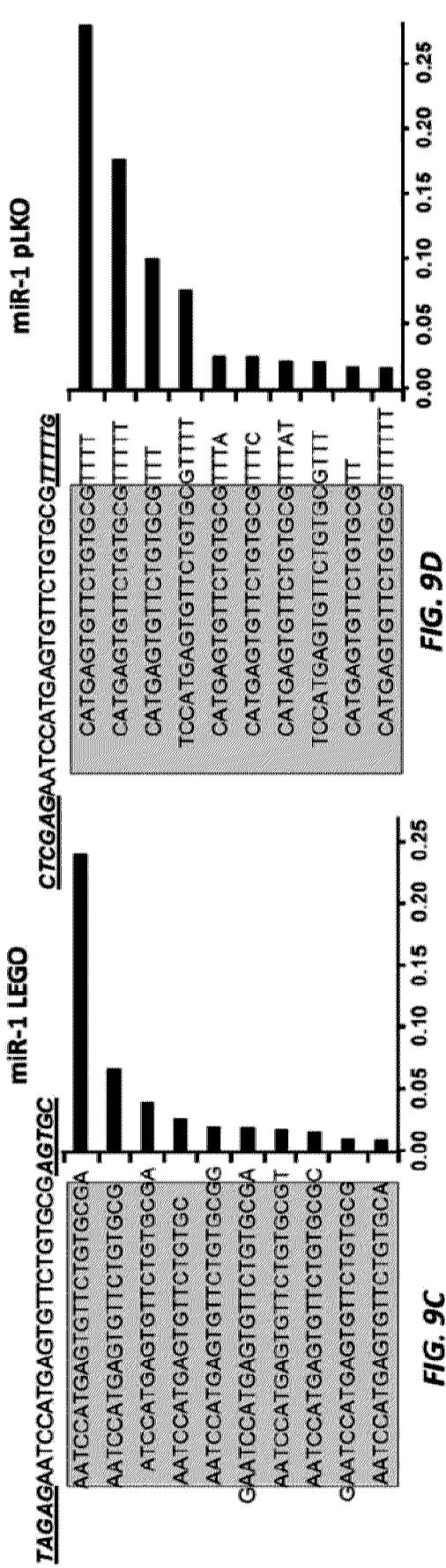
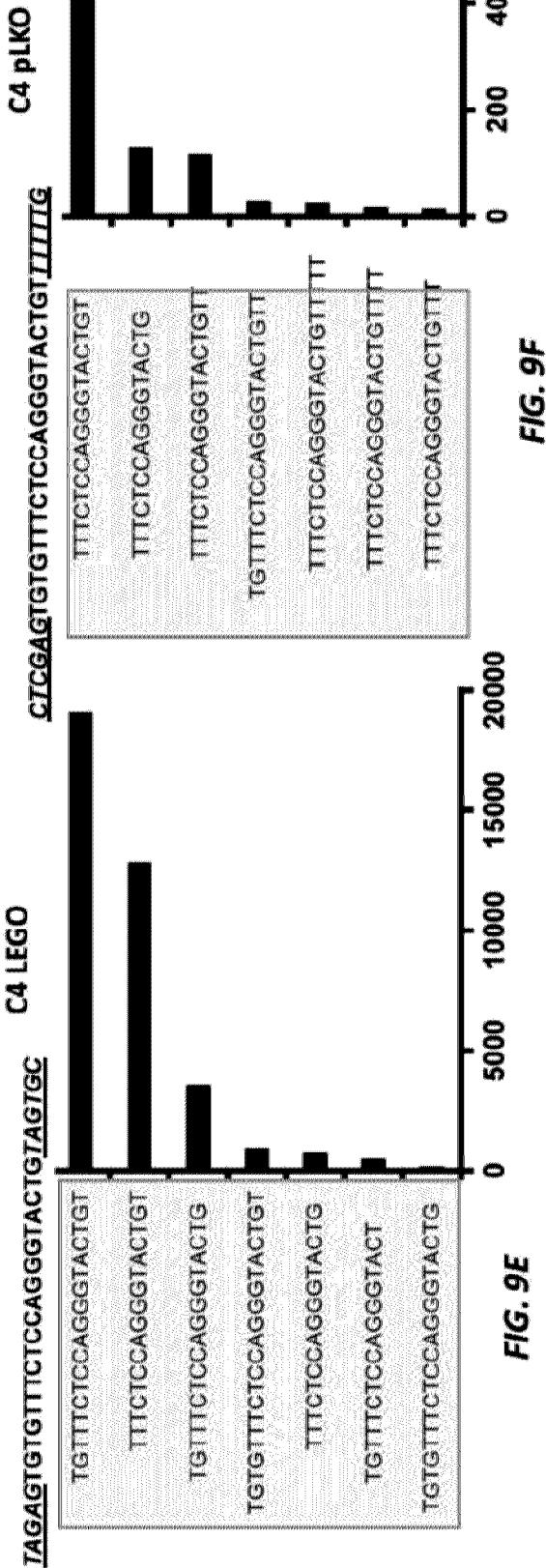


FIG. 9A



**FIG. 9D**



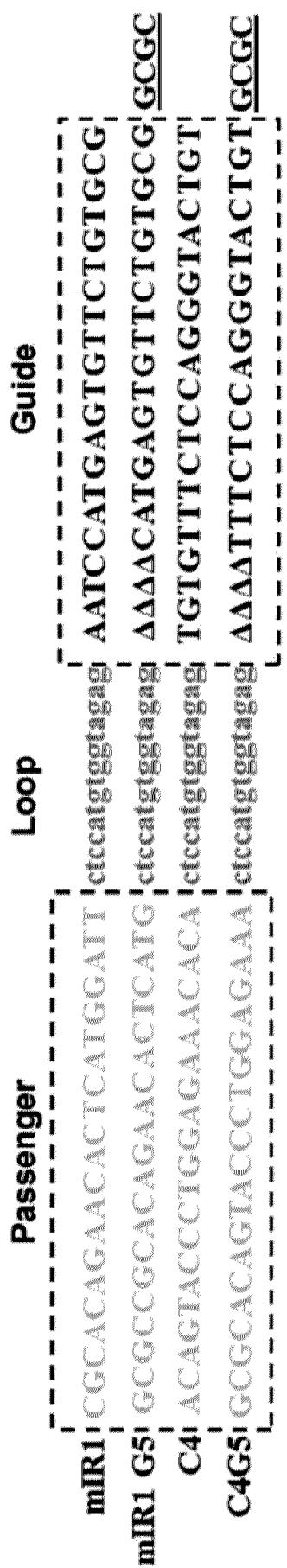
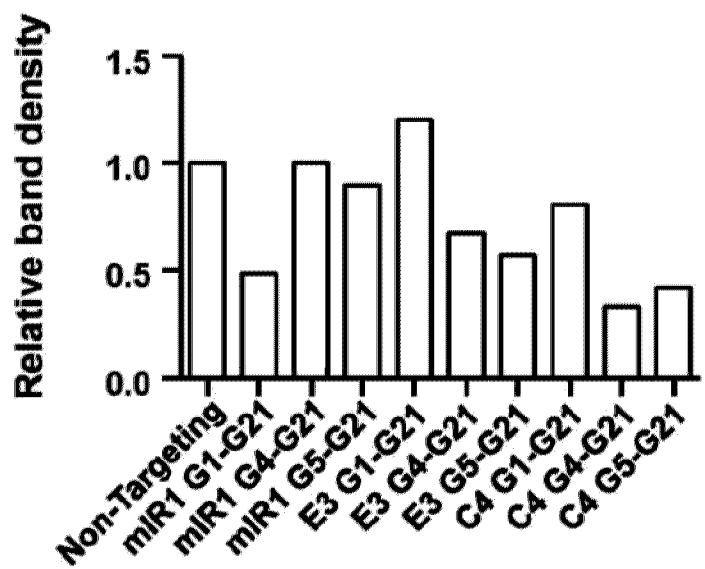
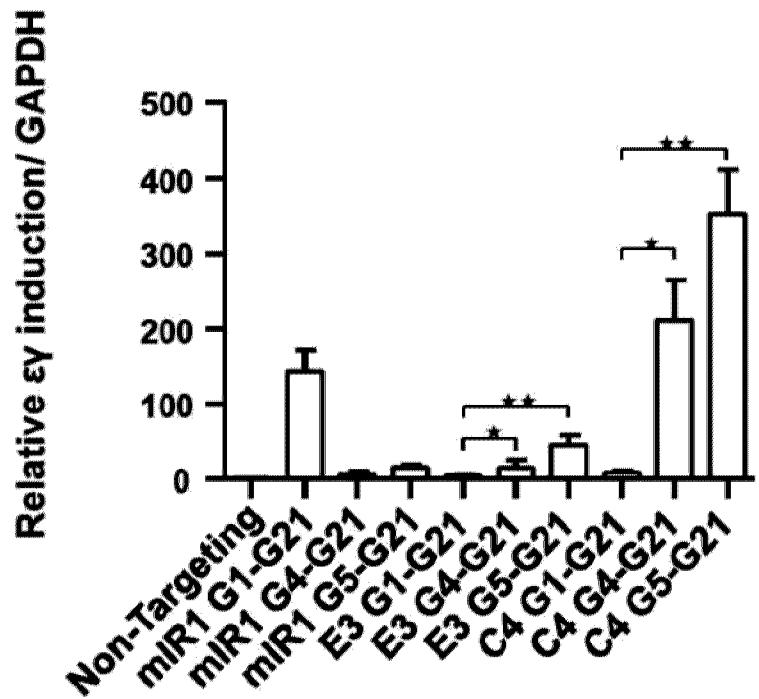


FIG. 10A

Non-targeting  
mIR1G1-G4-G5-G6-G7-G8-G9-G10-G11-G12-G13-G14-G15-G16-G17-G18-G19-G20-G21-G22-G23-G24-G25-G26-G27-G28-G29-G30-G31-G32-G33-G34-G35-G36-G37-G38-G39-G40-G41-G42-G43-G44-G45-G46-G47-G48-G49-G50-G51-G52-G53-G54-G55-G56-G57-G58-G59-G60-G61-G62-G63-G64-G65-G66-G67-G68-G69-G70-G71-G72-G73-G74-G75-G76-G77-G78-G79-G80-G81-G82-G83-G84-G85-G86-G87-G88-G89-G90-G91-G92-G93-G94-G95-G96-G97-G98-G99-G100-G101-G102-G103-G104-G105-G106-G107-G108-G109-G110-G111-G112-G113-G114-G115-G116-G117-G118-G119-G120-G121-G122-G123-G124-G125-G126-G127-G128-G129-G130-G131-G132-G133-G134-G135-G136-G137-G138-G139-G140-G141-G142-G143-G144-G145-G146-G147-G148-G149-G150-G151-G152-G153-G154-G155-G156-G157-G158-G159-G160-G161-G162-G163-G164-G165-G166-G167-G168-G169-G170-G171-G172-G173-G174-G175-G176-G177-G178-G179-G180-G181-G182-G183-G184-G185-G186-G187-G188-G189-G190-G191-G192-G193-G194-G195-G196-G197-G198-G199-G200-G201-G202-G203-G204-G205-G206-G207-G208-G209-G210-G211-G212-G213-G214-G215-G216-G217-G218-G219-G220-G221-G222-G223-G224-G225-G226-G227-G228-G229-G230-G231-G232-G233-G234-G235-G236-G237-G238-G239-G240-G241-G242-G243-G244-G245-G246-G247-G248-G249-G250-G251-G252-G253-G254-G255-G256-G257-G258-G259-G25-G261-G262-G263-G264-G265-G266-G267-G268-G269-G270-G271-G272-G273-G274-G275-G276-G277-G278-G279-G280-G281-G282-G283-G284-G285-G286-G287-G288-G289-G290-G291-G292-G293-G294-G295-G296-G297-G298-G299-G29-G301-G302-G303-G304-G305-G306-G307-G308-G309-G310-G311-G312-G313-G314-G315-G316-G317-G318-G319-G31-G321-G322-G323-G324-G325-G326-G327-G328-G329-G330-G331-G332-G333-G334-G335-G336-G337-G338-G339-G33-G341-G342-G343-G344-G345-G346-G347-G348-G349-G350-G351-G352-G353-G354-G355-G356-G357-G358-G359-G35-G361-G362-G363-G364-G365-G366-G367-G368-G369-G370-G371-G372-G373-G374-G375-G376-G377-G378-G379-G380-G381-G382-G383-G384-G385-G386-G387-G388-G389-G38-G391-G392-G393-G394-G395-G396-G397-G398-G399-G39-G401-G402-G403-G404-G405-G406-G407-G408-G409-G410-G411-G412-G413-G414-G415-G416-G417-G418-G419-G41-G421-G422-G423-G424-G425-G426-G427-G428-G429-G430-G431-G432-G433-G434-G435-G436-G437-G438-G439-G43-G451-G452-G453-G454-G455-G456-G457-G458-G459-G460-G461-G462-G463-G464-G465-G466-G467-G468-G469-G46-G481-G482-G483-G484-G485-G486-G487-G488-G489-G490-G491-G492-G493-G494-G495-G496-G497-G498-G499-G49-G501-G502-G503-G504-G505-G506-G507-G508-G509-G510-G511-G512-G513-G514-G515-G516-G517-G518-G519-G51-G531-G532-G533-G534-G535-G536-G537-G538-G539-G540-G541-G542-G543-G544-G545-G546-G547-G548-G549-G54-G561-G562-G563-G564-G565-G566-G567-G568-G569-G570-G571-G572-G573-G574-G575-G576-G577-G578-G579-G57-G591-G592-G593-G594-G595-G596-G597-G598-G599-G59-G601-G602-G603-G604-G605-G606-G607-G608-G609-G610-G611-G612-G613-G614-G615-G616-G617-G618-G619-G61-G631-G632-G633-G634-G635-G636-G637-G638-G639-G640-G641-G642-G643-G644-G645-G646-G647-G648-G649-G64-G661-G662-G663-G664-G665-G666-G667-G668-G669-G670-G671-G672-G673-G674-G675-G676-G677-G678-G679-G67-G691-G692-G693-G694-G695-G696-G697-G698-G699-G69-G701-G702-G703-G704-G705-G706-G707-G708-G709-G710-G711-G712-G713-G714-G715-G716-G717-G718-G719-G71-G731-G732-G733-G734-G735-G736-G737-G738-G739-G740-G741-G742-G743-G744-G745-G746-G747-G748-G749-G74-G761-G762-G763-G764-G765-G766-G767-G768-G769-G770-G771-G772-G773-G774-G775-G776-G777-G778-G779-G77-G791-G792-G793-G794-G795-G796-G797-G798-G799-G79-G801-G802-G803-G804-G805-G806-G807-G808-G809-G810-G811-G812-G813-G814-G815-G816-G817-G818-G819-G81-G831-G832-G833-G834-G835-G836-G837-G838-G839-G840-G841-G842-G843-G844-G845-G846-G847-G848-G849-G84-G861-G862-G863-G864-G865-G866-G867-G868-G869-G870-G871-G872-G873-G874-G875-G876-G877-G878-G879-G87-G891-G892-G893-G894-G895-G896-G897-G898-G899-G89-G901-G902-G903-G904-G905-G906-G907-G908-G909-G910-G911-G912-G913-G914-G915-G916-G917-G918-G919-G91-G931-G932-G933-G934-G935-G936-G937-G938-G939-G940-G941-G942-G943-G944-G945-G946-G947-G948-G949-G94-G961-G962-G963-G964-G965-G966-G967-G968-G969-G970-G971-G972-G973-G974-G975-G976-G977-G978-G979-G97-G991-G992-G993-G994-G995-G996-G997-G998-G999-G99-G1001-G1002-G1003-G1004-G1005-G1006-G1007-G1008-G1009-G10010-G10011-G10012-G10013-G10014-G10015-G10016-G10017-G10018-G10019-G10020-G10021-G10022-G10023-G10024-G10025-G10026-G10027-G10028-G10029-G10030-G10031-G10032-G10033-G10034-G10035-G10036-G10037-G10038-G10039-G10040-G10041-G10042-G10043-G10044-G10045-G10046-G10047-G10048-G10049-G10050-G10051-G10052-G10053-G10054-G10055-G10056-G10057-G10058-G10059-G10060-G10061-G10062-G10063-G10064-G10065-G10066-G10067-G10068-G10069-G10070-G10071-G10072-G10073-G10074-G10075-G10076-G10077-G10078-G10079-G10080-G10081-G10082-G10083-G10084-G10085-G10086-G10087-G10088-G10089-G10090-G10091-G10092-G10093-G10094-G10095-G10096-G10097-G10098-G10099-G100-G1011-G1012-G1013-G1014-G1015-G1016-G1017-G1018-G1019-G10120-G10121-G10122-G10123-G10124-G10125-G10126-G10127-G10128-G10129-G10130-G10131-G10132-G10133-G10134-G10135-G10136-G10137-G10138-G10139-G1013-G10141-G10142-G10143-G10144-G10145-G10146-G10147-G10148-G10149-G10150-G10151-G10152-G10153-G10154-G10155-G10156-G10157-G10158-G10159-G1015-G10161-G10162-G10163-G10164-G10165-G10166-G10167-G10168-G10169-G10170-G10171-G10172-G10173-G10174-G10175-G10176-G10177-G10178-G10179-G1017-G10181-G10182-G10183-G10184-G10185-G10186-G10187-G10188-G10189-G10190-G10191-G10192-G10193-G10194-G10195-G10196-G10197-G10198-G10199-G101-G10201-G10202-G10203-G10204-G10205-G10206-G10207-G10208-G10209-G10210-G10211-G10212-G10213-G10214-G10215-G10216-G10217-G10218-G10219-G10220-G10221-G10222-G10223-G10224-G10225-G10226-G10227-G10228-G10229-G1022-G10231-G10232-G10233-G10234-G10235-G10236-G10237-G10238-G10239-G10240-G10241-G10242-G10243-G10244-G10245-G10246-G10247-G10248-G10249-G1024-G10251-G10252-G10253-G10254-G10255-G10256-G10257-G10258-G10259-G10260-G10261-G10262-G10263-G10264-G10265-G10266-G10267-G10268-G10269-G1026-G10271-G10272-G10273-G10274-G10275-G10276-G10277-G10278-G10279-G10280-G10281-G10282-G10283-G10284-G10285-G10286-G10287-G10288-G10289-G1028-G10291-G10292-G10293-G10294-G10295-G10296-G10297-G10298-G10299-G102-G10301-G10302-G10303-G10304-G10305-G10306-G10307-G10308-G10309-G10310-G10311-G10312-G10313-G10314-G10315-G10316-G10317-G10318-G10319-G10320-G10321-G10322-G10323-G10324-G10325-G10326-G10327-G10328-G10329-G1032-G10331-G10332-G10333-G10334-G10335-G10336-G10337-G10338-G10339-G10340-G10341-G10342-G10343-G10344-G10345-G10346-G10347-G10348-G10349-G1034-G10351-G10352-G10353-G10354-G10355-G10356-G10357-G10358-G10359-G10360-G10361-G10362-G10363-G10364-G10365-G10366-G10367-G10368-G10369-G1036-G10371-G10372-G10373-G10374-G10375-G10376-G10377-G10378-G10379-G10380-G10381-G10382-G10383-G10384-G10385-G10386-G10387-G10388-G10389-G1038-G10391-G10392-G10393-G10394-G10395-G10396-G10397-G10398-G10399-G103-G10401-G10402-G10403-G10404-G10405-G10406-G10407-G10408-G10409-G10410-G10411-G10412-G10413-G10414-G10415-G10416-G10417-G10418-G10419-G10420-G10421-G10422-G10423-G10424-G10425-G10426-G10427-G10428-G10429-G1042-G10431-G10432-G10433-G10434-G10435-G10436-G10437-G10438-G10439-G10440-G10441-G10442-G10443-G10444-G10445-G10446-G10447-G10448-G10449-G1044-G10451-G10452-G10453-G10454-G10455-G10456-G10457-G10458-G10459-G10460-G10461-G10462-G10463-G10464-G10465-G10466-G10467-G10468-G10469-G1046-G10471-G10472-G10473-G10474-G10475-G10476-G10477-G10478-G10479-G10480-G10481-G10482-G10483-G10484-G10485-G10486-G10487-G10488-G10489-G1048-G10491-G10492-G10493-G10494-G10495-G10496-G10497-G10498-G10499-G104-G10501-G10502-G10503-G10504-G10505-G10506-G10507-G10508-G10509-G10510-G10511-G10512-G10513-G10514-G10515-G10516-G10517-G10518-G10519-G10520-G10521-G10522-G10523-G10524-G10525-G10526-G10527-G10528-G10529-G1052-G10531-G10532-G10533-G10534-G10535-G10536-G10537-G10538-G10539-G10540-G10541-G10542-G10543-G10544-G10545-G10546-G10547-G10548-G10549-G1054-G10551-G10552-G10553-G10554-G10555-G10556-G10557-G10558-G10559-G10560-G10561-G10562-G10563-G10564-G10565-G10566-G10567-G10568-G10569-G1056-G10571-G10572-G10573-G10574-G10575-G10576-G10577-G10578-G10579-G10580-G10581-G10582-G10583-G10584-G10585-G10586-G10587-G10588-G10589-G1058-G10591-G10592-G10593-G10594-G10595-G10596-G10597-G10598-G10599-G105-G10601-G10602-G10603-G10604-G10605-G10606-G10607-G10608-G10609-G10610-G10611-G10612-G10613-G10614-G10615-G10616-G10617-G10618-G10619-G10620-G10621-G10622-G10623-G10624-G10625-G10626-G10627-G10628-G10629-G1062-G10631-G10632-G10633-G10634-G10635-G10636-G10637-G10638-G10639-G10640-G10641-G10642-G10643-G10644-G10645-G10646-G10647-G10648-G10649-G1064-G10651-G10652-G10653-G10654-G10655-G10656-G10657-G10658-G10659-G10660-G10661-G10662-G10663-G10664-G10665-G10666-G10667-G10668-G10669-G1066-G10671-G10672-G10673-G10674-G10675-G10676-G10677-G10678-G10679-G10680-G10681-G10682-G10683-G10684-G10685-G10686-G10687-G10688-G10689-G1068-G10691-G10692-G10693-G10694-G10695-G10696-G10697-G10698-G10699-G106-G10701-G10702-G10703-G10704-G10705-G10706-G10707-G10708-G10709-G10710-G10711-G10712-G10713-G10714-G10715-G10716-G10717-G10718-G10719-G10720-G10721-G10722-G10723-G10724-G10725-G10726-G10727-G10728-G10729-G1072-G10731-G10732-G10733-G10734-G10735-G10736-G10737-G10738-G10739-G10740-G10741-G10742-G10743-G10744-G10745-G10746-G10747-G10748-G10749-G1074-G10751-G10752-G10753-G10754-G10755-G10756-G10757-G10758-G10759-G10760-G10761-G10762-G10763-G10764-G10765-G10766-G10767-G10768-G10769-G1076-G10771-G10772-G10773-G10774-G10775-G10776-G10777-G10778-G10779-G10780-G10781-G10782-G10783-G10784-G10785-G10786-G10787-G10788-G10789-G1078-G10791-G10792-G10793-G10794-G10795-G10796-G10797-G10798-G10799-G107-G10801-G10802-G10803-G10804-G10805-G10806-G10807-G10808-G10809-G10810-G10811-G10812-G10813-G10814-G10815-G10816-G10817-G10818-G10819-G10820-G10821-G10822-G10823-G10824-G10825-G10826-G10827-G10828-G10829-G1082-G10831-G10832-G10833-G10834-G10835-G10836-G10837-G10838-G10839-G10840-G10841-G10842-G10843-G10844-G10845-G10846-G10847-G10848-G10849-G1084-G10851-G10852-G10853-G10854-G10855-G10856-G10857-G10858-G10859-G10860-G10861-G10862-G10863-G10864-G10865-G10866-G10867-G10868-G10869-G1086-G10871-G10872-G10873-G10874-G10875-G10876-G10877-G10878-G10879-G10880-G10881-G10882-G10883-G10884-G10885-G10886-G10887-G10888-G10889-G1088-G10891-G10892-G10893-G10894-G10895-G10896-G10897-G10898-G10899-G108-G10901-G10902-G10903-G10904-G10905-G10906-G10907-G10908-G10909-G10910-G10911-G10912-G10913-G10914-G10915-G10916-G10917-G10918-G10919-G10920-G10921-G10922-G10923-G10924-G10925-G10926-G10927-G10928-G10929-G1092-G10931-G10932-G10933-G10934-G10935-G10936-G10937-G10938-G10939-G10940-G10941-G10942-G10943-G10944-G10945-G10946-G10947-G10948-G10949-G1094-G10951-G10952-G10953-G10954-G10955-G10956-G10957-G10958-G10959-G10960-G10961-G10962-G10963-G10964-G10965-G10966-G10967-G10968-G10969-G1096-G10971-G10972-G10973-G10974-G10975-G10976-G10977-G10978-G10979-G10980-G10981-G10982-G10983-G10984-G10985-G10986-G10987-G10988-G10989-G1098-G10991-G10992-G10993-G10994-G10995-G10996-G10997-G10998-G10999-G109-G11001-G11002-G11003-G11004-G11005-G11006-G11007-G11008-G11009-G11010-G11011-G11012-G11013-G11014-G11015-G11016-G11017-G11018-G11019-G11020-G11021-G11022-G11023-G11024-G11025-G11026-G11027-G11028-G11029-G1102-G11031-G11032-G11033-G11034-G11035-G11036-G11037-G11038-G11039-G11040-G11041-G11042-G11043-G11044-G11045-G11046-G11047-G11048-G11049-G1104-G11051-G11052-G11053-G11054-G11055-G11056-G11057-G11058-G11059-G11060-G11061-G11062-G11063-G11064-G11065-G11066-G11067-G11068-G11069-G1106-G11071-G11072-G11073-G11074-G11075-G11076-G11077-G11078-G11079-G11080-G11081-G11082-G11083-G11084-G11085-G11086-G11087-G11088-G11089-G1108-G11091-G11092-G11093-G11094-G11095-G11096-G11097-G11098-G11099-G110-G11101-G11102-G11103-G11104-G11105-G11106-G11107-G11108-G11109-G11110-G11111-G11112-G11113-G11114-G11115-G11116-G11117-G11118-G11119-G11120-G11121-G11122-G11123-G11124-G11125-G11126-G11127-G11128-G11129-G1112-G11131-G11132-G11133-G11134-G11135-G11136-G11137-G11138-G11139-G11140-G11141-G11142-G11143-G11144-G11145-G11146-G11147-G11148-G11149-G1114-G11151-G11152-G11153-G11154-G11155-G11156-G11157-G11158-G11159-G11160-G11161-G11162-G11163-G11164-G11165-G11166-G11167-G11168-G11169-G1116-G11171-G11172-G11173-G11174-G11175-G11176-G11177-G11178-G11179-G11180-G11181-G11182-G11183-G11184-G11185-G11186-G11187-G11188-G11189-G1118-G11191-G11192-G11193-G11194-G11195-G11196-G11197-G11198-G11199-G111-G11201-G11202-G11203-G11204-G11205-G11206-G11207-G11208-G11209-G11210-G11211-G11212-G11213-G11214-G11215-G11216-G11217-G11218-G11219-G11220-G11221-G11222-G11223-G11224-G11225-G11226-G11227-G11228-G11229-G1122-G11231-G11232-G11233-G11234-G11235-G11236-G11237-G11238-G11239-G11240-G11241-G11242-G11243-G11244-G11245-G11246-G11247-G11248-G11249-G1124-G11251-G11252-G11253-G11254-G11255-G11256-G11257-G11258-G11259-G11260-G11261-G11262-G11263-G11264-G11265-G11266-G11267-G11268-G11269-G1126-G11271-G11272-G11273-G11274-G11275-G11276-G11277-G11278-G11279-G11280-G11281-G11282-G11283-G11284-G11285-G11286-G11287-G11288-G11289-G1128-G11291-G11292-G11293-G11294-G11295-G11296-G11297-G11298-G11299-G112-G11301-G11302-G11303-G11304-G11305-G11306-G11307-G11308-G11309-G



**FIG. 10C**



**FIG. 10D**

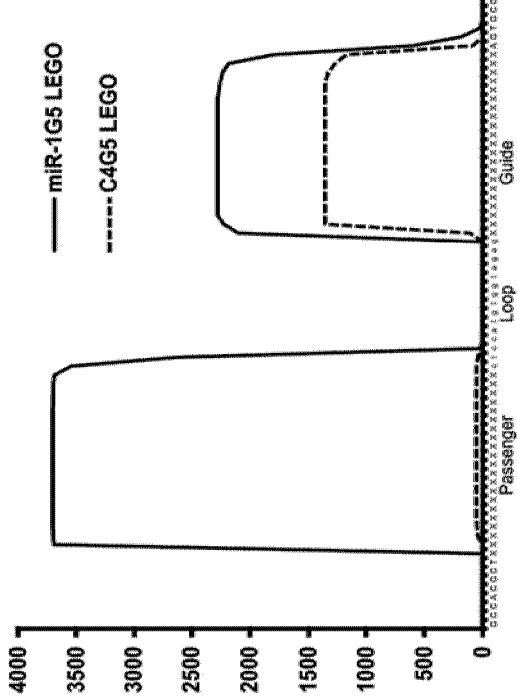


FIG. 11A

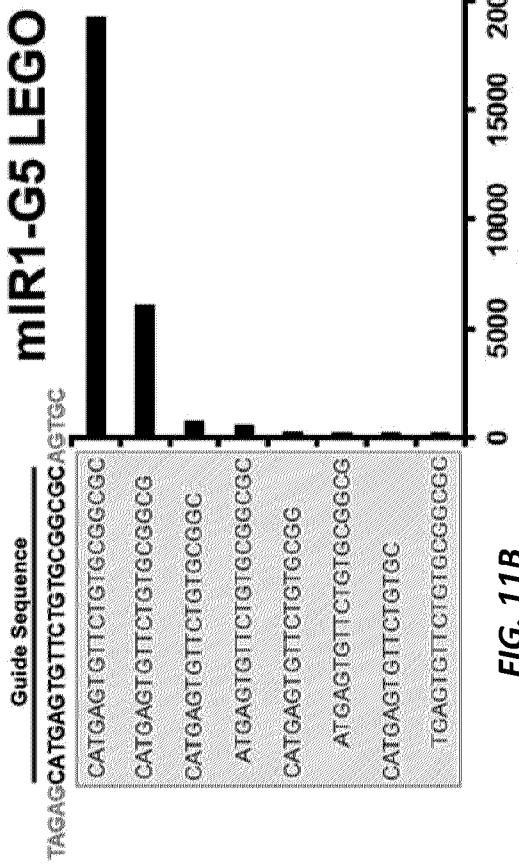


FIG. 11B

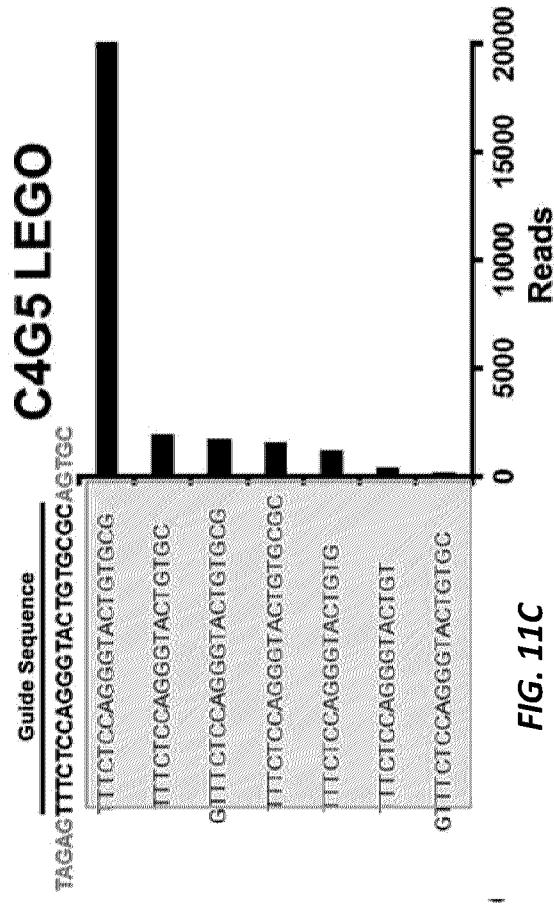


FIG. 11C

**Candidates from the shRNA screen targeting Bcl11A using pLKO vector**

	Passenger	Loop	Guide	
<b>m1R1</b>	CGCACAGAACACTCATGGATTctccatgtggtagagaATCCATGAGTGTCTGTGCC			SEQ. ID. NO: 25
<b>E3</b>	TCGGAGACTCCAGACAATCGCctccatgtggtagaggGGATTTGTCTGGAGTCTCCGA			SEQ. ID. NO: 26
<b>B5</b>	CCTCCAGGCAGCTAAAGATCCTccatgtggtagaggAGATCTTGAGCTGCCTGGAGG			SEQ. ID. NO: 27
<b>D8</b>	TTCTCTTGCAACACGGCACAGAACACTccatgtggtagaggTCTGTGGCTGGAAAGAGAA			SEQ. ID. NO: 28
<b>B11</b>	TCAGGACTAGGTGCAGAATGTctccatgtggtagaggACATTCTGCACCTAGTCCTGA			SEQ. ID. NO: 29
<b>50D12</b>	GATCGAGTGTGAAATAATGATctccatgtggtagaggATCATTTCAACACTCGATC			SEQ. ID. NO: 30
<b>50B11</b>	CACTGTCCACAGGAGAACCCAACTccatgtggtagaggTGGCTCTCCAGGGTACTG			SEQ. ID. NO: 32
<b>50A5</b>	CAGTACCCCTGGAGAACACATctccatgtggtagaggATGTGTTCTCCAGGGTACTG			SEQ. ID. NO: 31
<b>50C4</b>	ACAGTACCCCTGGAGAACACACTccatgtggtagaggTGTGTTCTCCAGGGTACTGT			SEQ. ID. NO: 33

**FIG. 12A**

## Guide strand sequence composition and distribution in PLKO

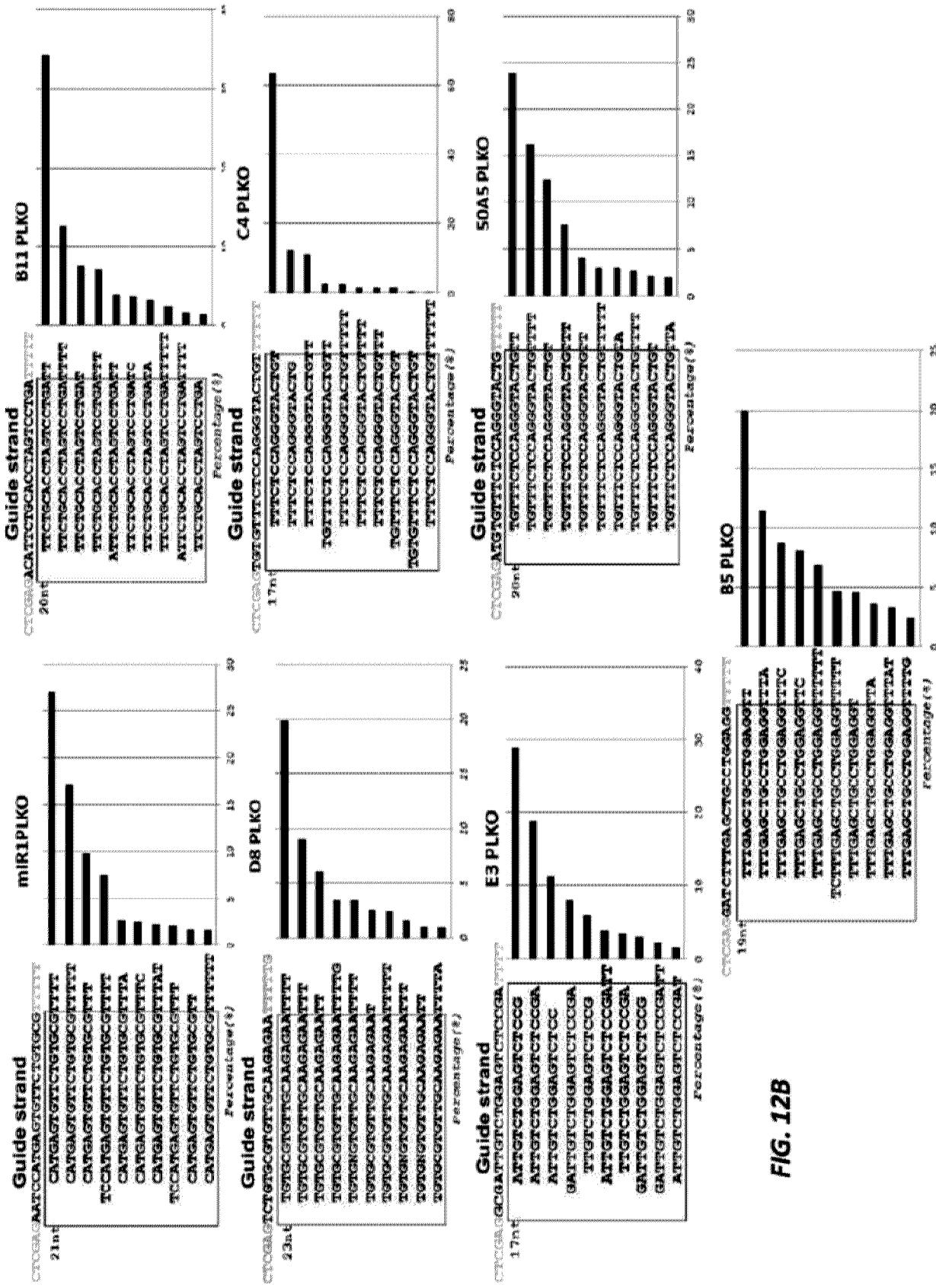


FIG. 12B

### Guide strand sequence composition and distribution in LEGO

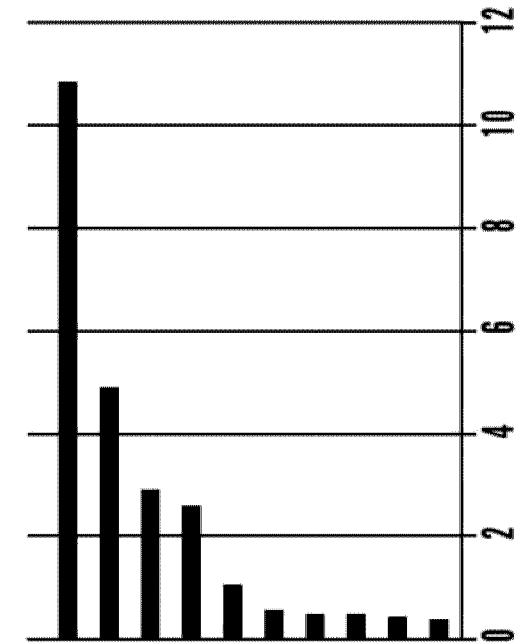
#### Guide strand

21nt TAGAGACATTCTGCACCTAGTCCCTGAAGTGC  
 21nt AATCCATGAGTGTCTGTGGCA  
 AATCCATGAGTGTCTGTGGCG  
 ATCCATGAGTGTCTGTGGCA  
 AATCCATGAGTGTCTGTGGCG  
 AATCCATGAGTGTCTGTGGCA  
 AATCCATGAGTGTCTGTGGCG  
 AATCCATGAGTGTCTGTGGCA  
 AATCCATGAGTGTCTGTGGCG  
 AATCCATGAGTGTCTGTGGCA

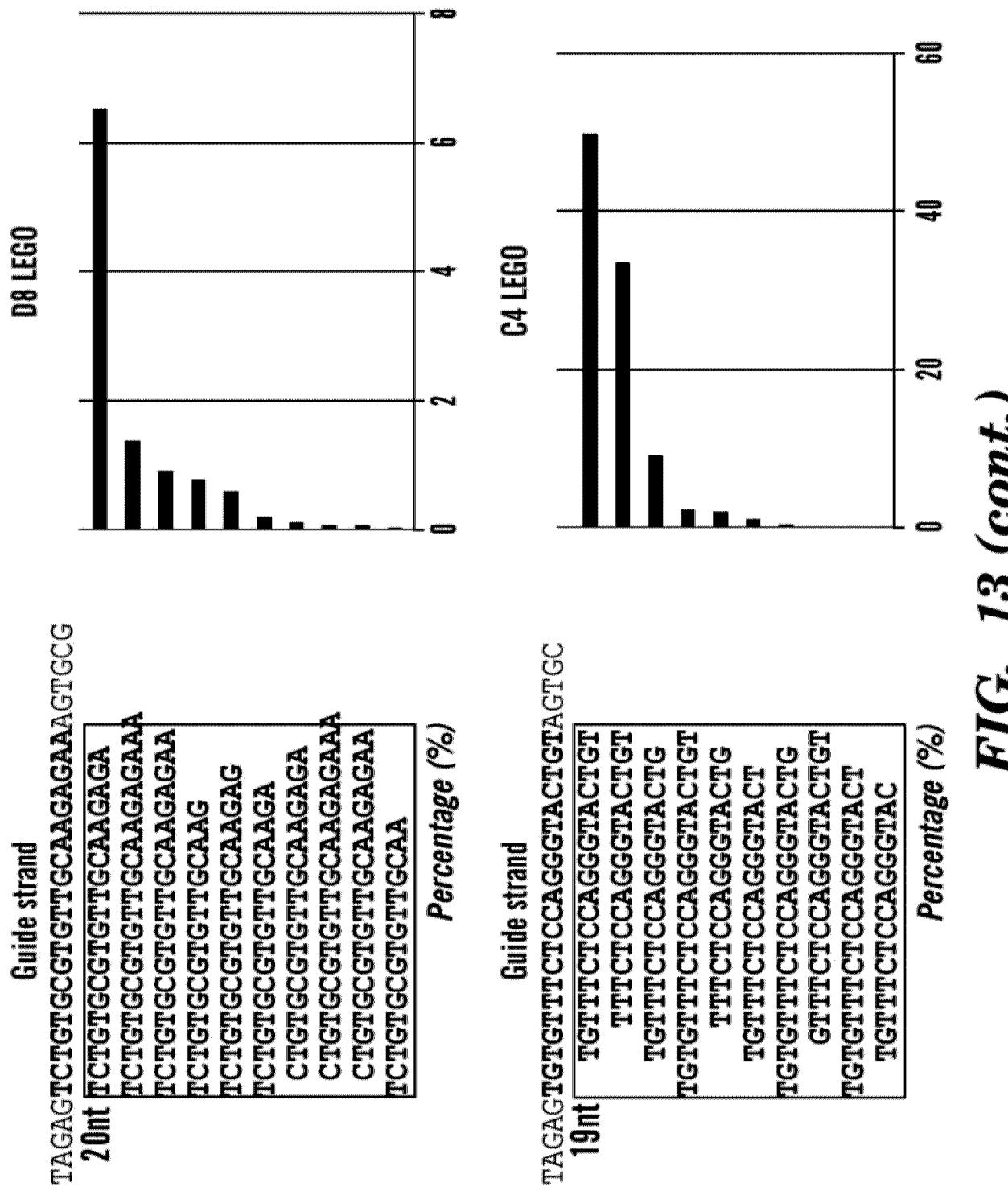


#### Guide strand

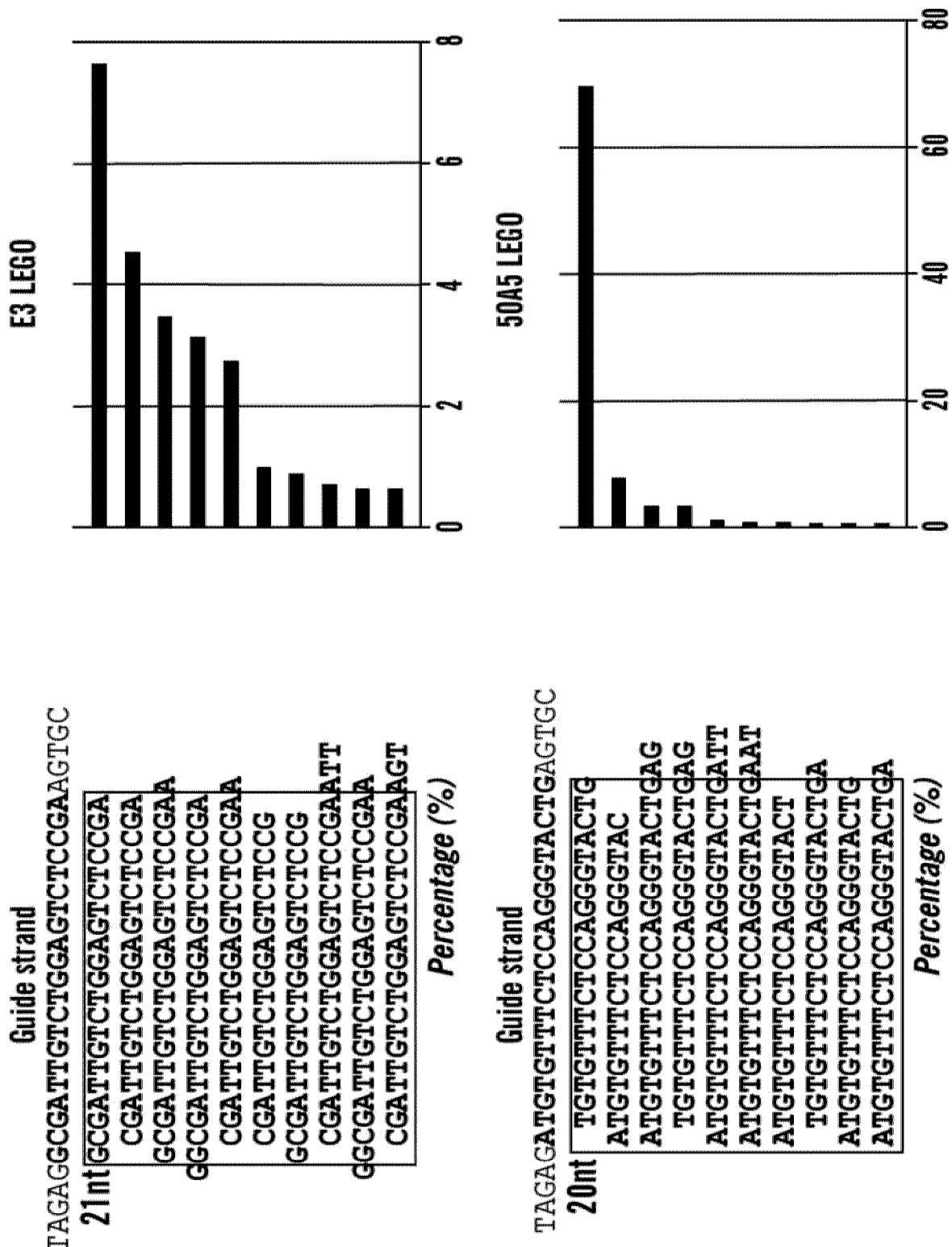
21nt TAGAGACATTCTGCACCTAGTCCCTGAAGTGC  
 21nt ACATTCTGCACCTAGTCCCTGA  
 ACATTCTGCACCTAGTCCCTGAAT  
 ACATTCTGCACCTAGTCCCTGAC  
 ACATTCTGCACCTAGTCCCTGAT  
 GACATTCTGCACCTAGTCCCTGAAT  
 ACATTCTGCACCTAGTCCCTG  
 CATTCTGCACCTAGTCCCTGA  
 ACATTCTGCACCTAGTCCCTGA  
 ACATTCTGCACCTAGTCCCTGAAC



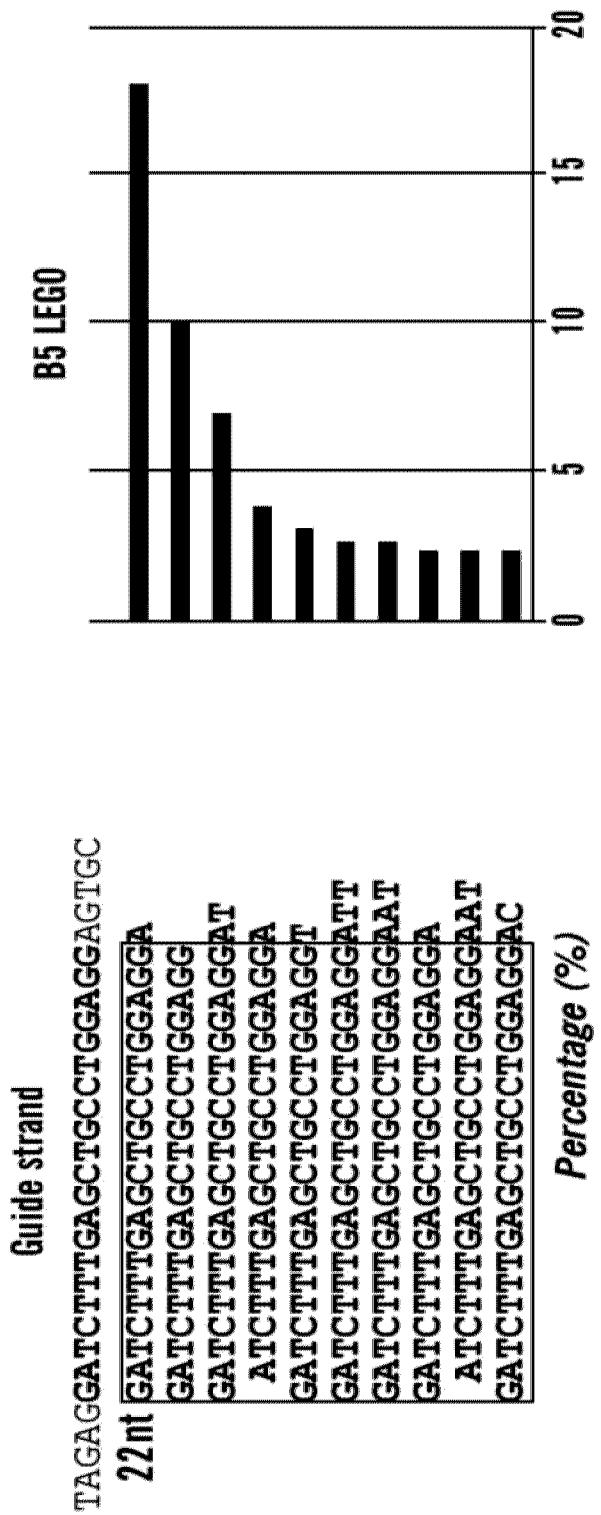
**FIG. 13**



**FIG. 13 (cont.)**



**FIG. 13 (cont.)**



**FIG. 13 (cont.)**

## Design of new shRNAs to mimic mature guide strands produced in pLKO vector

	Passenger	Loop	Guide
mIR1G5	gcgcCGCACAGAACACTCATGXXXXctccatgtggtagagxxxxCATGAGTGTCTGGAGTCTCCGAGcgc		SEQ. ID. NO: 34
E3G5	gcgcTGGAGAAGTCCAGACAAAAAXXXXctccatgtggtagagxxxxTTGAGCTGGAGTCTCCGAGcgc		SEQ. ID. NO: 35
B5G5	gcgcCCTCCAGGCAGCTCAAAXXXXctccatgtggtagagxxxxTTGAGCTGGAGTCTCCGAGcgc		SEQ. ID. NO: 36
D8G5	gcgcTCTCTTGCAACACGCAXXXXXctccatgtggtagagxxxxTGCAGTGCAGAGAAAGcgc		SEQ. ID. NO: 37
B11G5	gcgcTCAGGACTAGGTGCAGAXXXctccatgtggtagagxxxxTCTGCACCTAGTCCTGAAGcgc		SEQ. ID. NO: 38
50D12G5	gcgcGATCGAGTGTGAATAAXXXctccatgtggtagagxxxxTTATTCAACACTCGATCgcgc		SEQ. ID. NO: 39
50B11G5	gcgcCACTGTCCACAGGAGAAXXXXctccatgtggtagagxxxxTCTCCTGGACACTGgcgc		SEQ. ID. NO: 41
50A5G5	GGGCCAGTACCCCTGGAGAACXXXXctccatgtggtagagxxxxGTTTCAGGGTACTGgcgc		SEQ. ID. NO: 40
50C4G5	gcgcACAGTACCCCTGGAGAACXXXXctccatgtggtagagxxxxTTTCTCCAGGGTACTGTgcgc		SEQ. ID. NO: 42

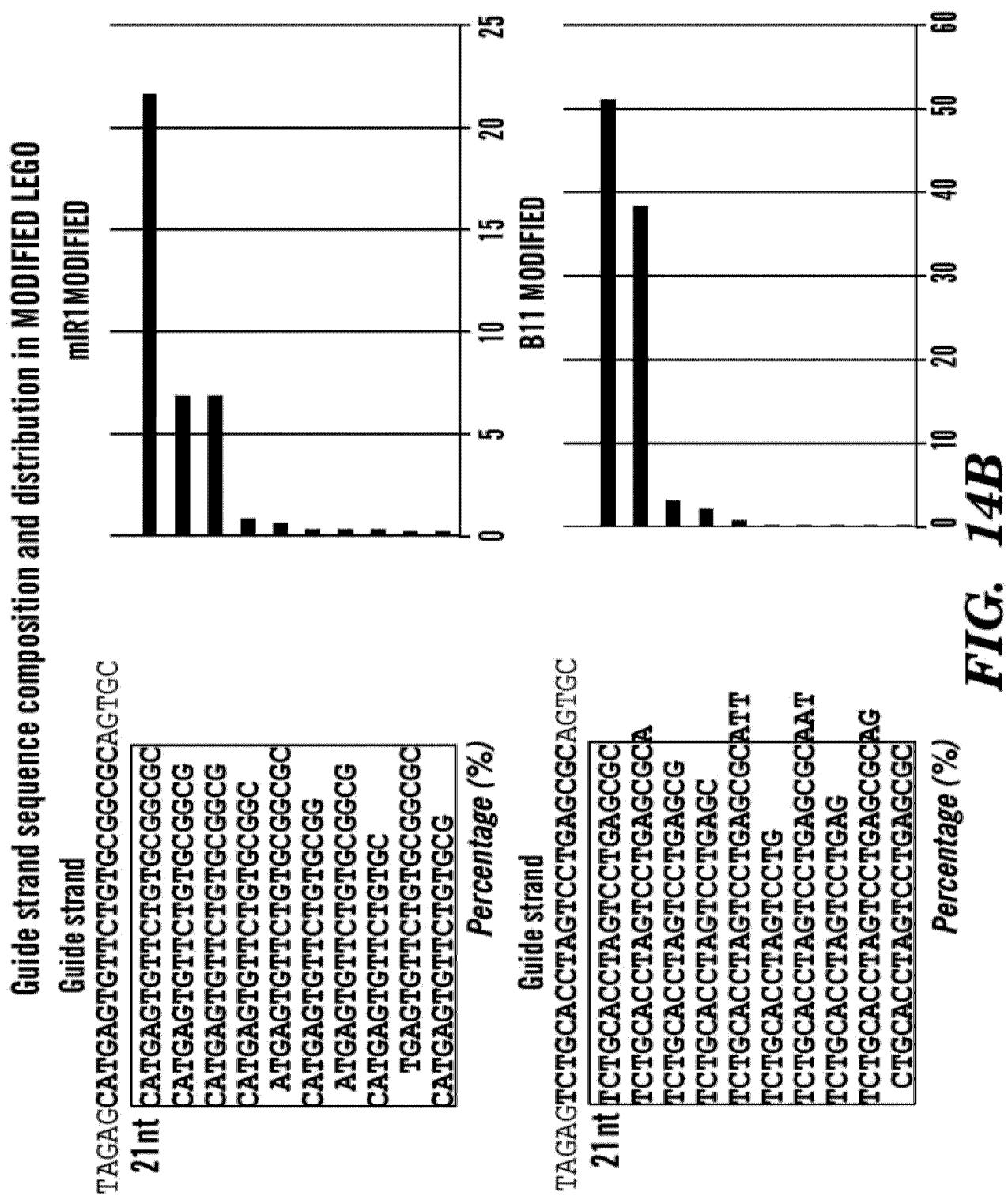
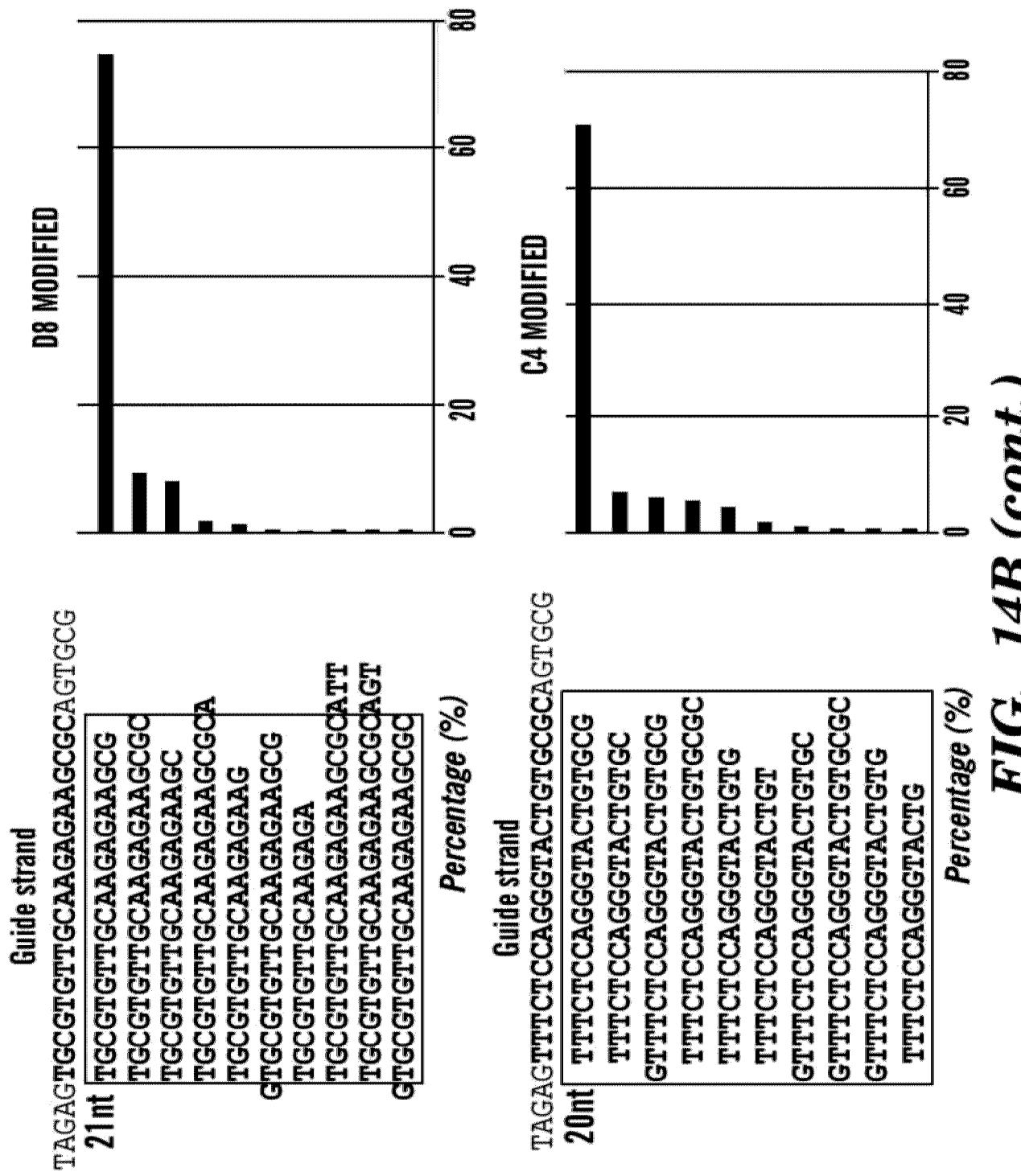
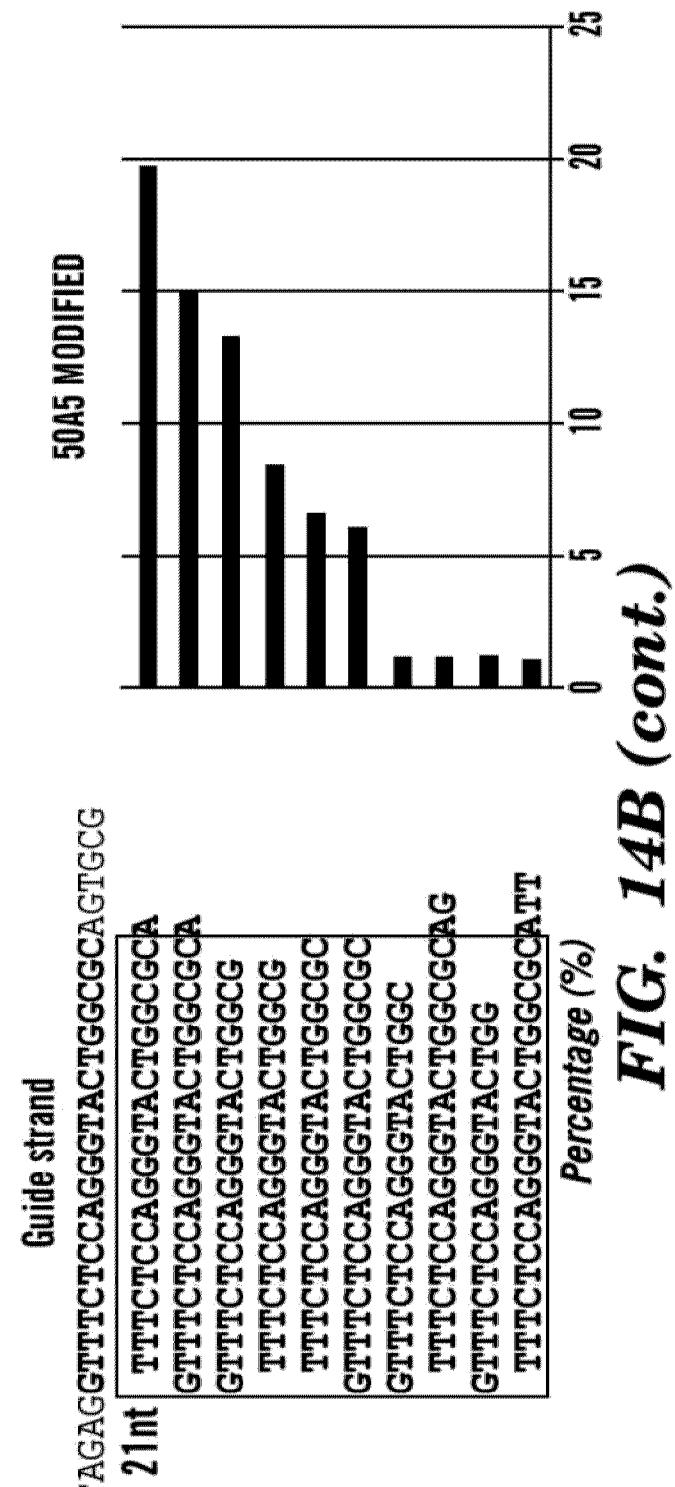
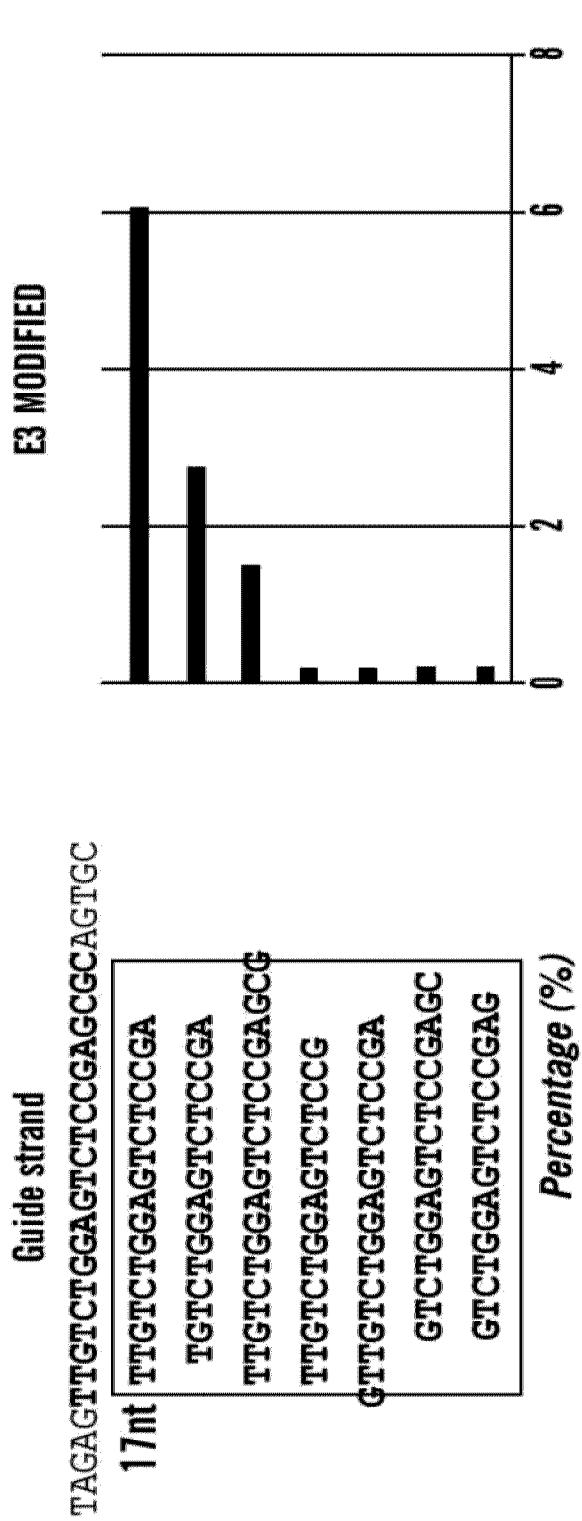


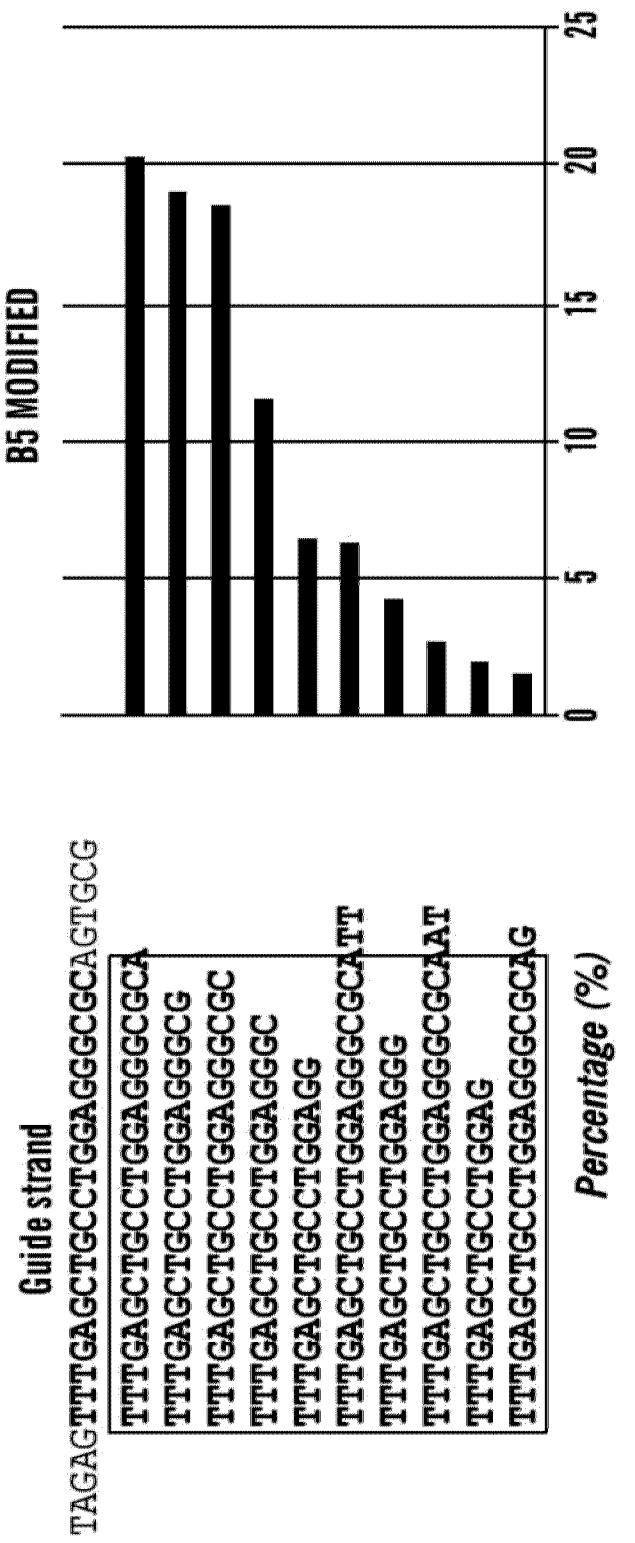
FIG. 14B



**FIG. 14B (cont.)**



**FIG. 14B (cont.)**



**FIG. 14B (cont.)**

## Comparison of BCL11A knockdown with modified Guide sequences

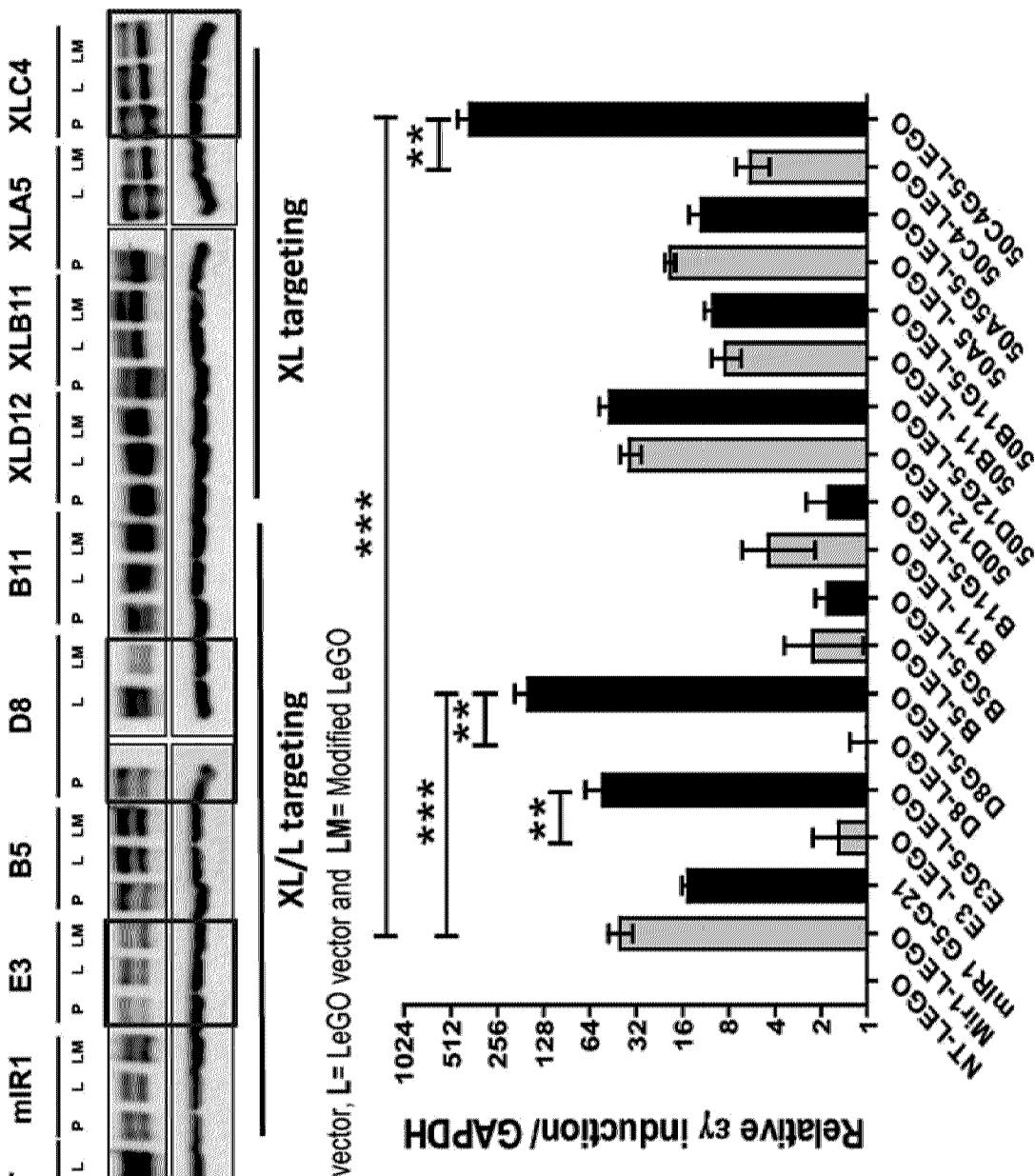
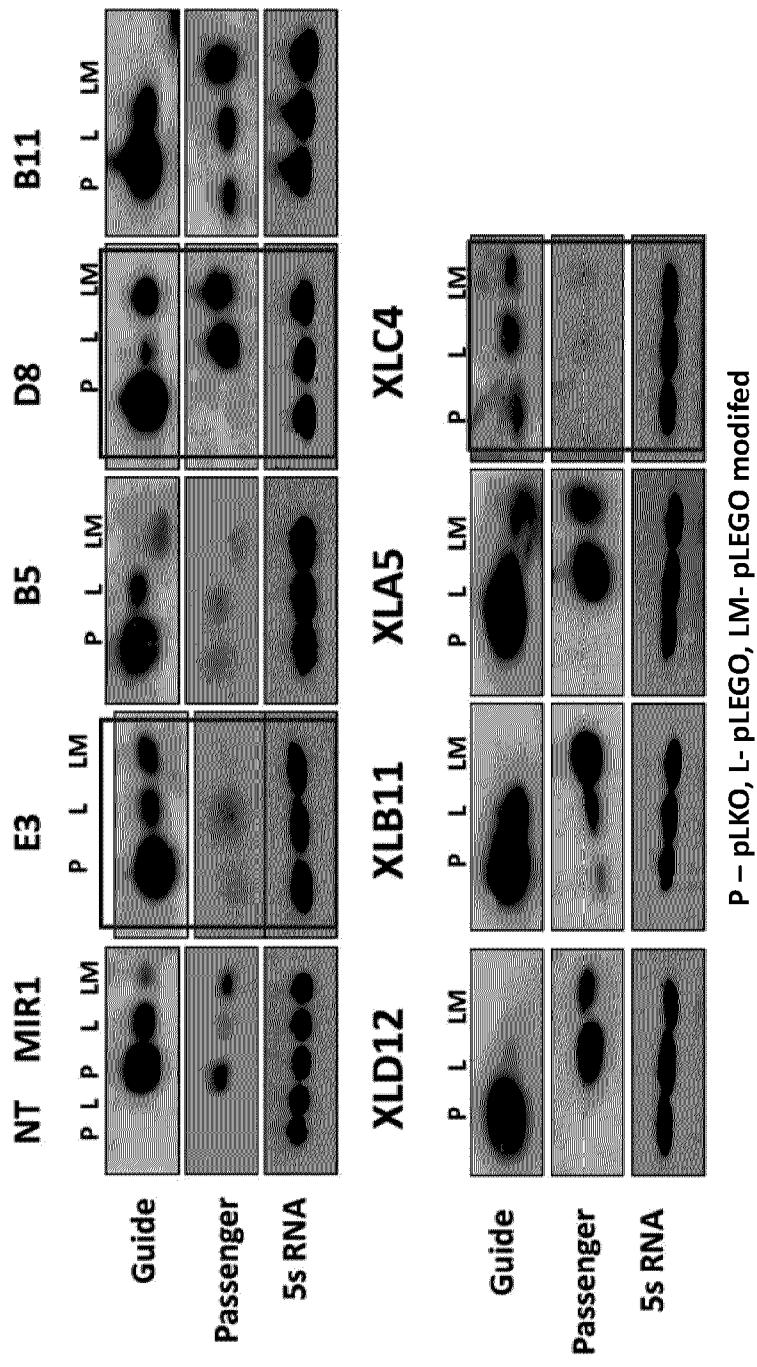


FIG. 15

### Comparison of miR expression with modified Guide sequences



P – pLKO, L – pLEGO, LM – pLEGO modified

FIG. 16

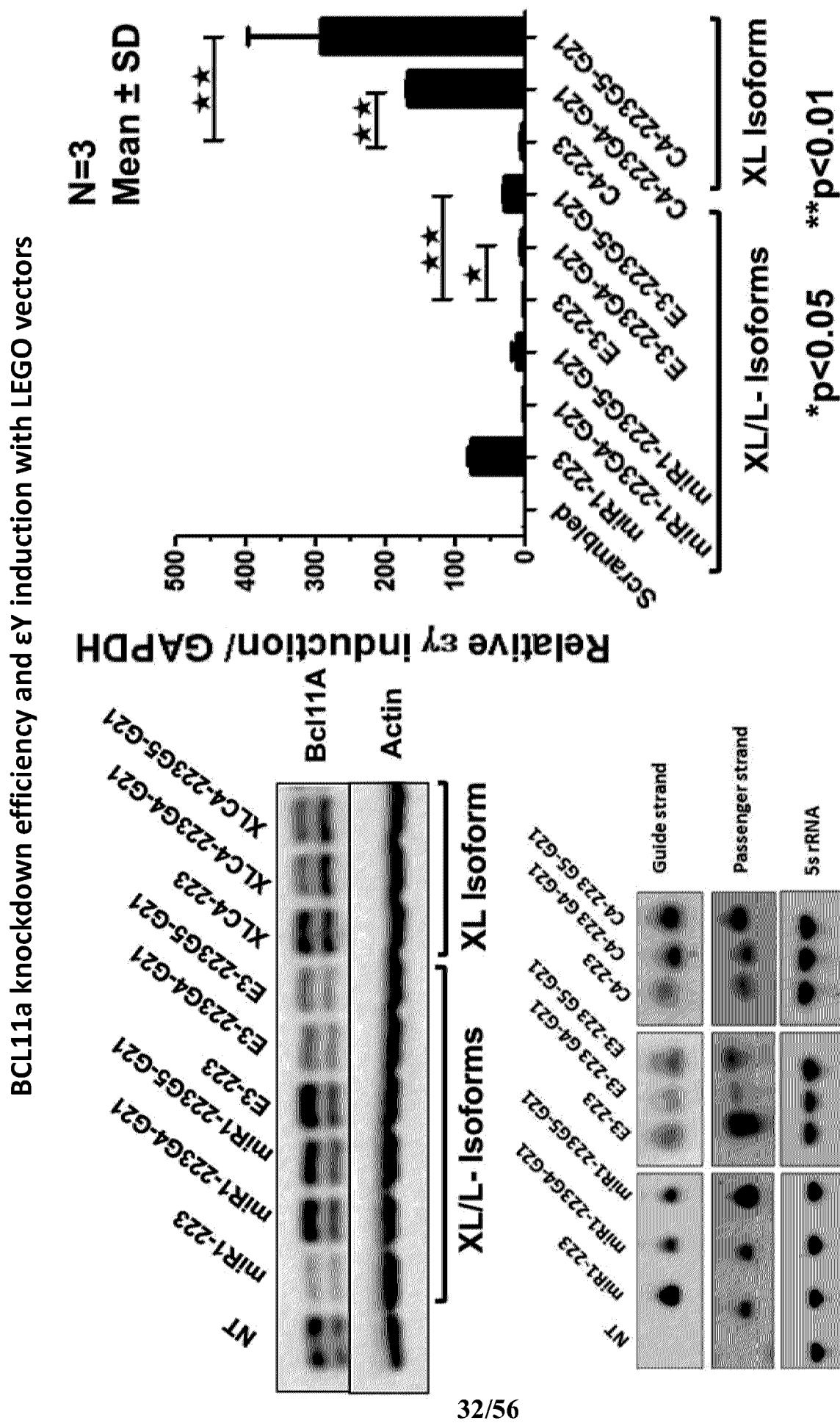
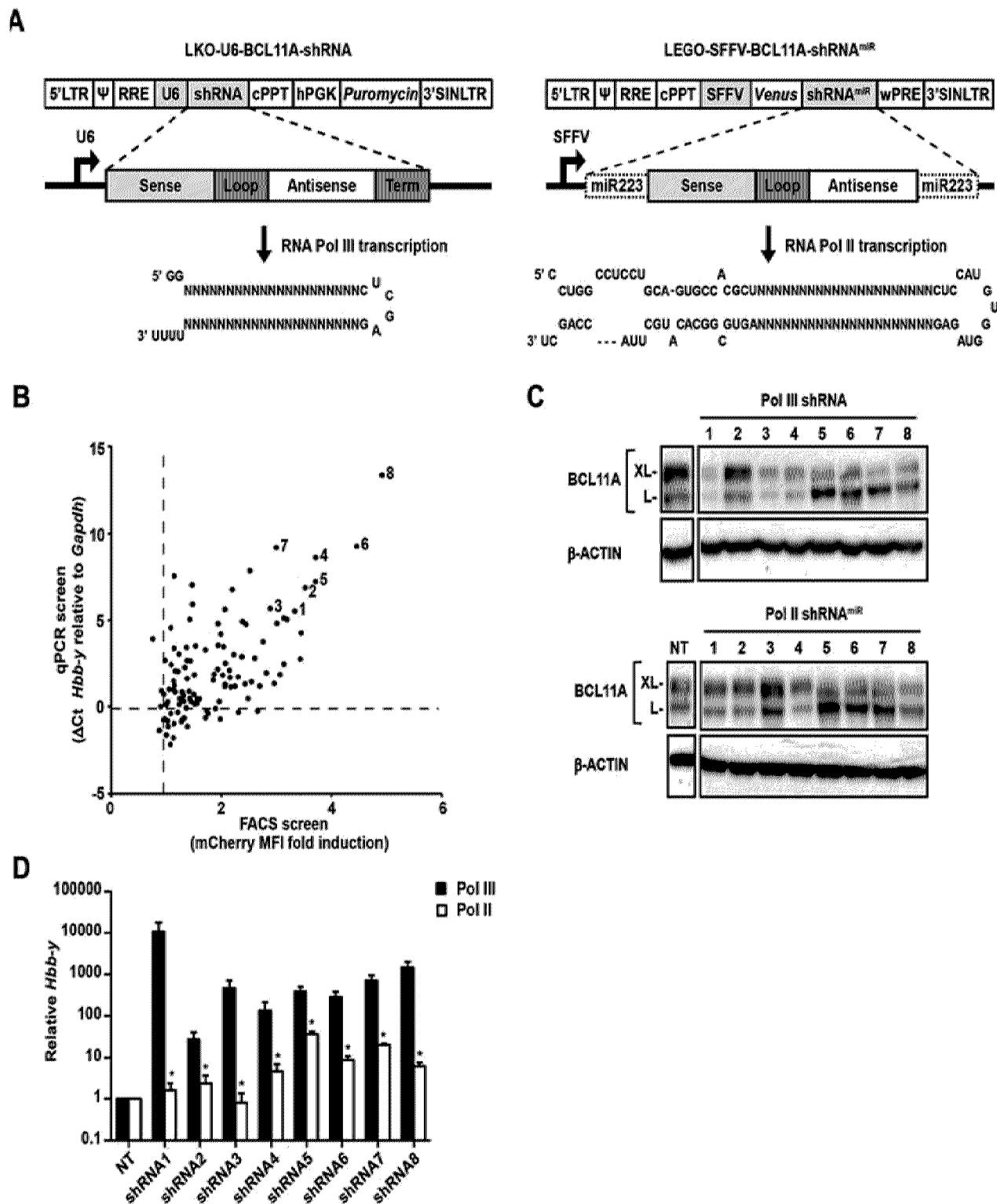


FIG. 17

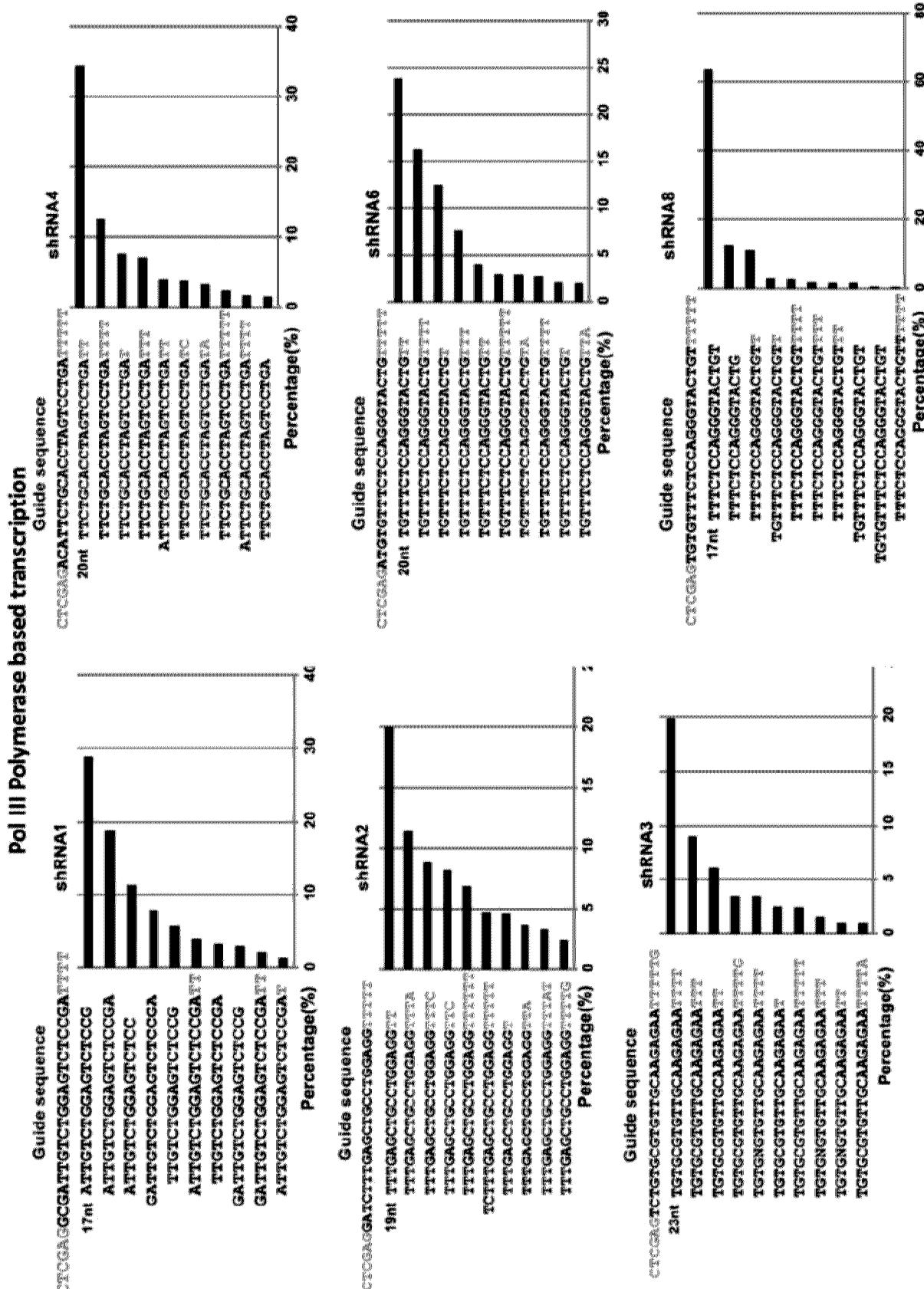
## Differential processing in pol-III shRNA vectors and pol-II microRNA adapted shRNA vectors

		REFERENCE	PLKO	LEGO	MODLEGO
miR1		<u>AATCCATGAGTGTCTGTGCG</u> CATGAGTGTCTGTGCGTTT			
	AATCCATGAGTGTCTGTGCGA				
	CATGAGTGTCTGTGCGGC				
E3		<u>GCGATTGGCTGGAGTCTCCG</u> ATTGTCGGAGTCTCCG			
	GCGATTGGCTGGAGTCTCCG				
	TTGTCGGAGTCTCCG				
XLC4		<u>TCTGTCGTGTTGCAAGAGAA</u> TGTGCGTGTGCAAGAGAAATT			
	TCTGTCGTGTTGCAAGAGAA				
	TGTGCGTGTGCAAGAGAAATT				
D8		<u>TCTGTCGTGTTGCAAGAGAA</u> TGCCTGTTGCAAGAGAAAGCG			
	TCTGTCGTGTTGCAAGAGAA				
	TGCCTGTTGCAAGAGAAAGCG				
B11		<u>ACATTCTGCACCTAGTCTGA</u> TTCTGCACCTAGTCCTGATT			
	ACATTCTGCACCTAGTCCTGA				
	TTCTGCACCTAGTCCTGAGCGC				
B5		<u>GATCTTGGAGCTGGAGGG</u> TTTGAGGCTGCCTGGAGGGT			
	GATCTTGGAGCTGCCTGGAGGG				
	TTTGAGGCTGCCTGGAGGG				

FIG. 18



**FIG. 19**



**FIG. 20A**

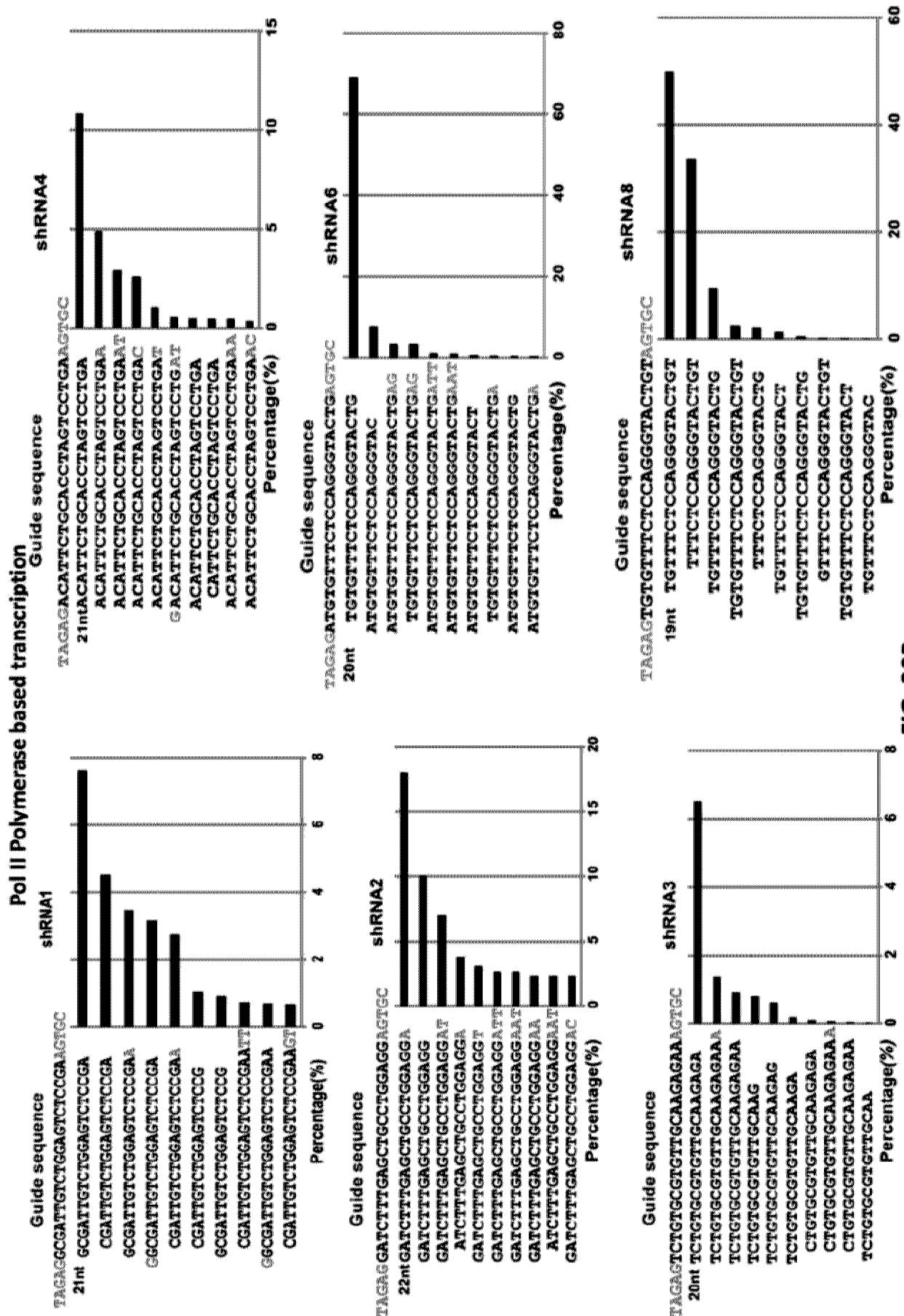
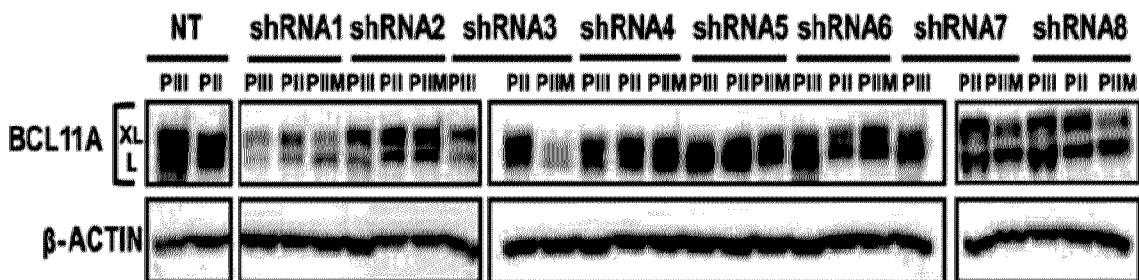


FIG. 20B

shRNA <sup>mir</sup>	Passenger		Loop	Guide
	shRNA <sup>mir</sup>	shRNA <sup>mir</sup> mod		
1	TCGGAGACTCCAGACAATCGC	ctccatgtggtagag		<b>GCGATTGTCTGGAGTCTCCGA</b>
	GCGCTCGGAGACTCCAGACAA	ctccatgtggtagag		<b>TTGTCTGGAGTCTCCGAGCGC</b>
2	CCTCCAGGCAGCTCAAAGATC	ctccatgtggtagag		<b>GATCTTGAGCTGCCTGGAGG</b>
	GCGCCCTCCAGGCAGCTCAA	ctccatgtggtagag		<b>TTTGAGCTGCCTGGAGGGCGC</b>
3	TTCTCTTCAACACGGCACAGA	ctccatgtggtagag		<b>TCTGTGCGTTGCAAGAGAAC</b>
	GCGCTTCTCTTCCAACACGCA	ctccatgtggtagag		<b>TGCGTGTGCAAGAGAACGCG</b>
4	TCAGGACTAGGTGCAGAACATGT	ctccatgtggtagag		<b>ACATTCTGCACCTAGTCCTGA</b>
	GCGCTCAGGACTAGGTGCAGA	ctccatgtggtagag		<b>TCTGCACCTAGTCCTGAGCGC</b>
5	GATCGAGTGTGAATAATGAT	ctccatgtggtagag		<b>ATCATTATTCAACACTCGATC</b>
	GCGCGATCGAGTGTGAATAA	ctccatgtggtagag		<b>TTATTCAACACTCGATCGCGC</b>
6	CAGTACCCCTGGAGAACACAT	ctccatgtggtagag		<b>ATGTGTTCTCCAGGGTACTG</b>
	GCGCCAGTACCCCTGGAGAAC	ctccatgtggtagag		<b>TTTTCTCCAGGGTACTGGCGC</b>
7	CACTGTCCACAGGAGAACGCCA	ctccatgtggtagag		<b>TGGCTTCTCCTGTGGACAGTG</b>
	GCGCCACTGTCCACAGGAGAA	ctccatgtggtagag		<b>TTCTCCTGTGGACAGTGCGC</b>
8	ACAGTACCCCTGGAGAACACA	ctccatgtggtagag		<b>TGTGTTCTCCAGGGTACTGT</b>
	GCGCACAGTACCCCTGGAGAAA	ctccatgtggtagag		<b>TTTCTCCAGGGTACTGTGCGC</b>

**FIG. 21A**



**FIG. 21B**

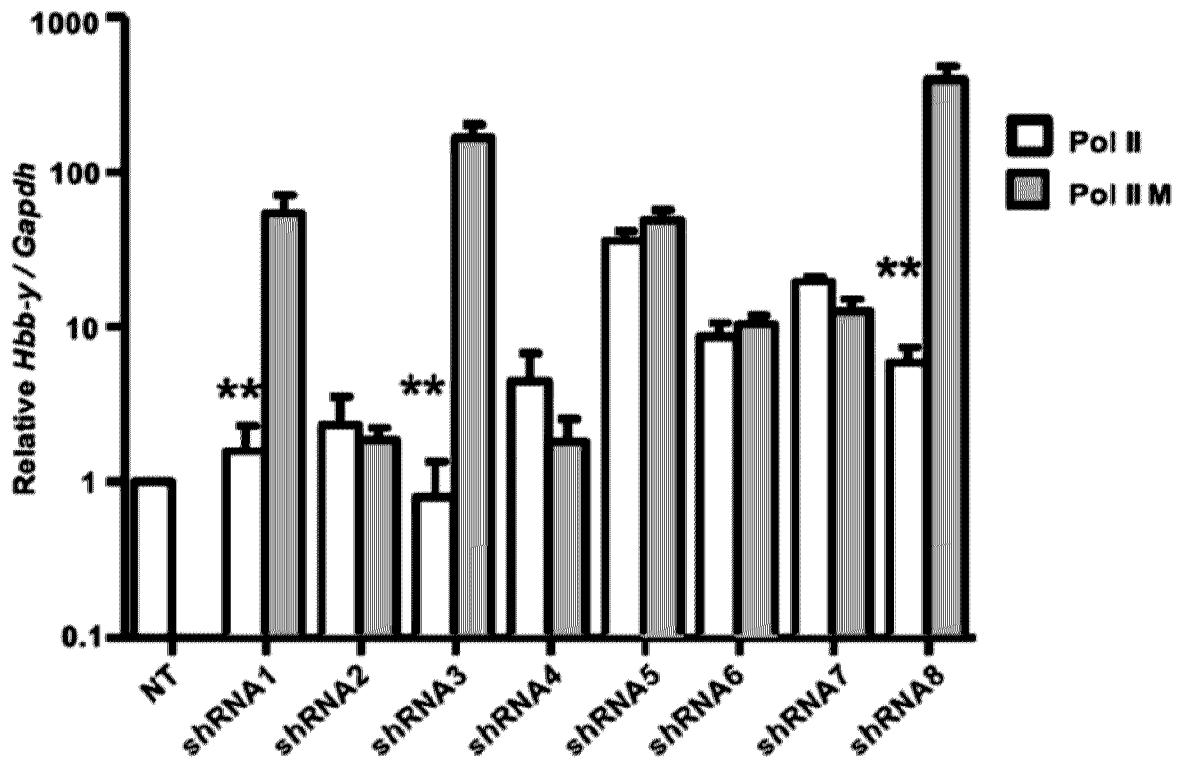


FIG. 21C

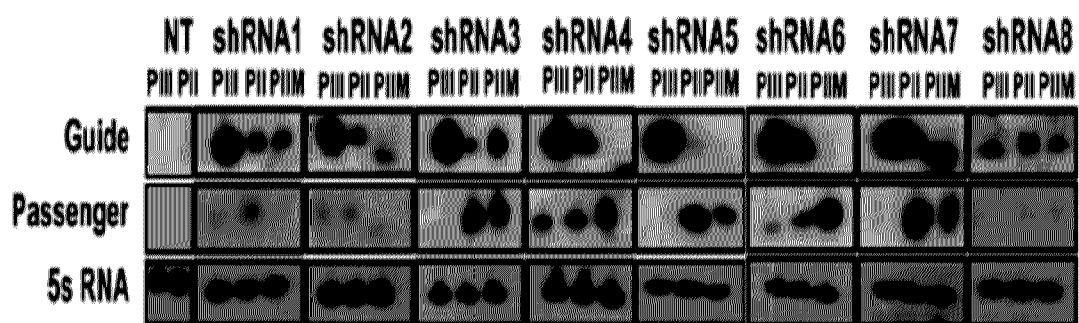


FIG. 21D

## Modified for Pol II Polymerase based transcription

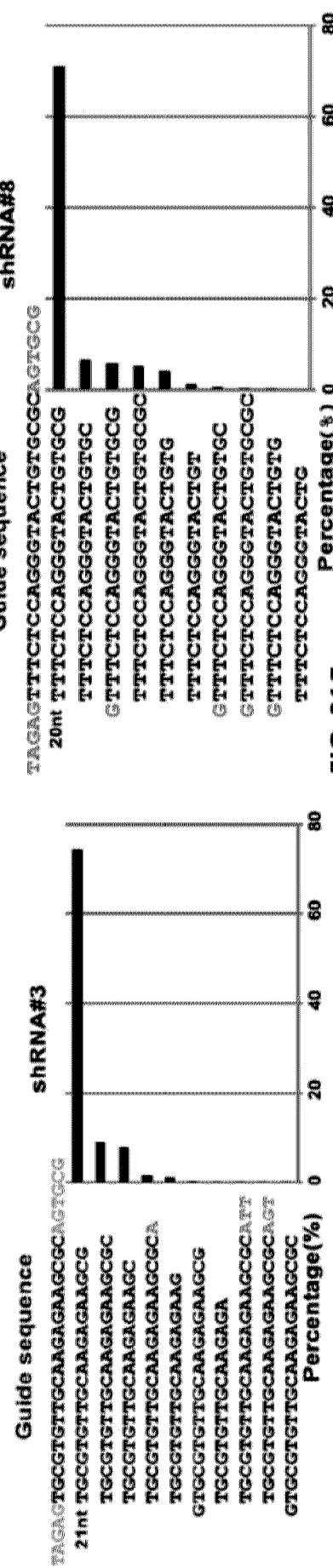
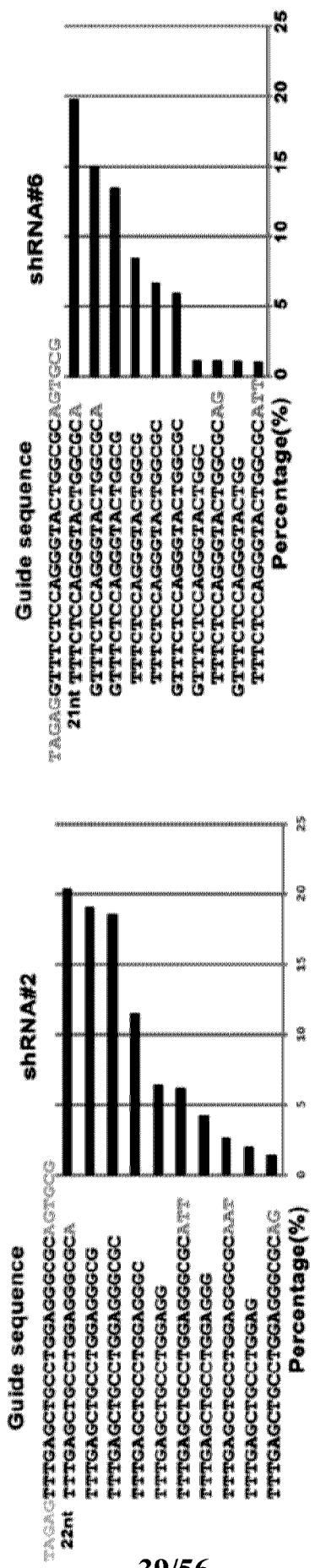
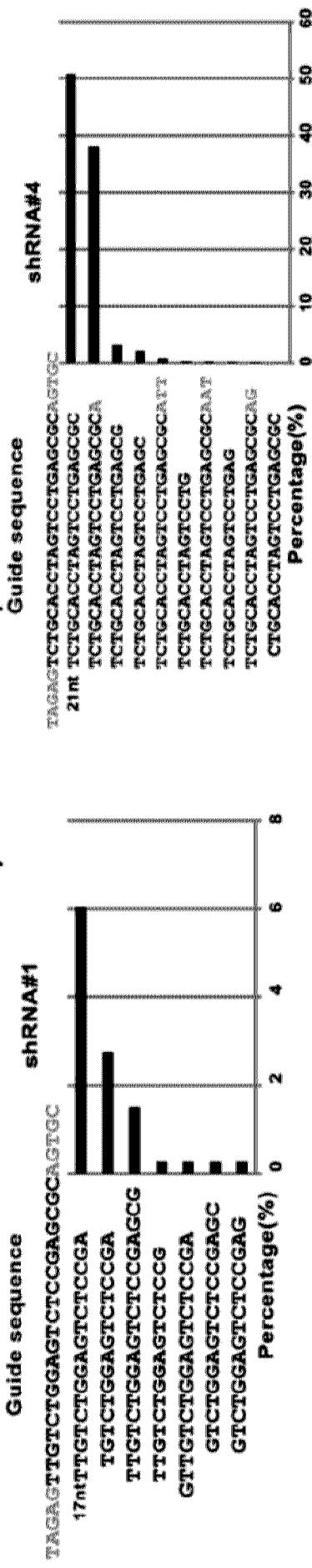
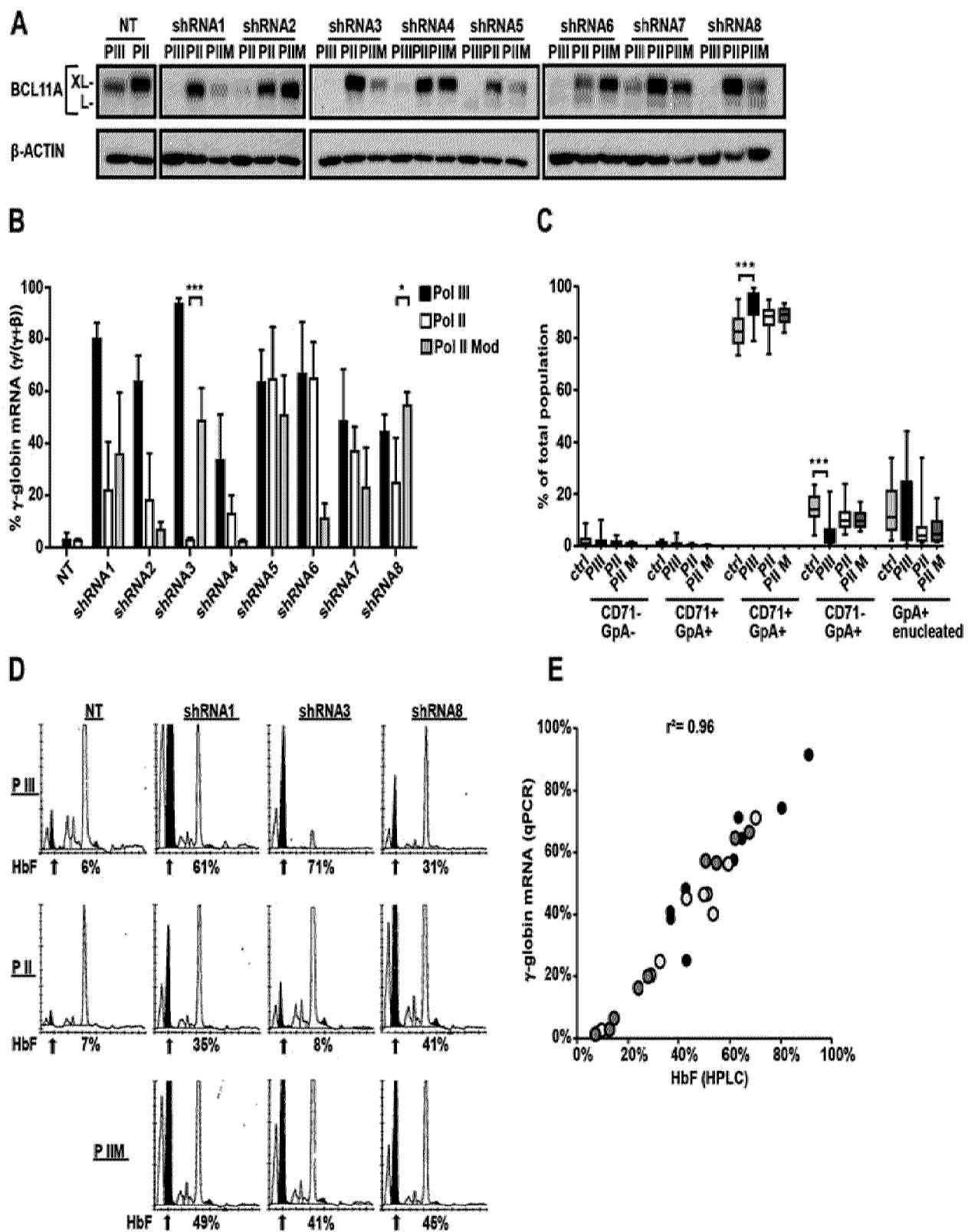
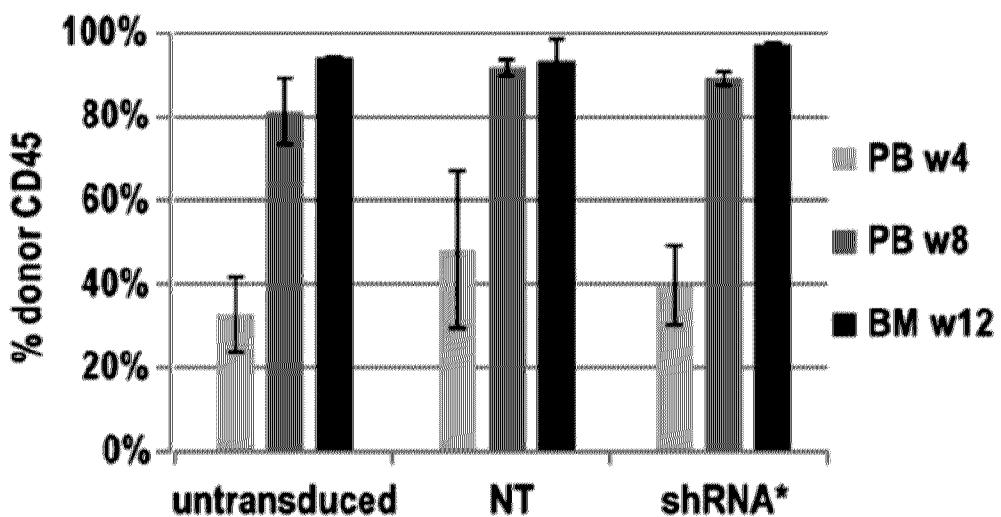


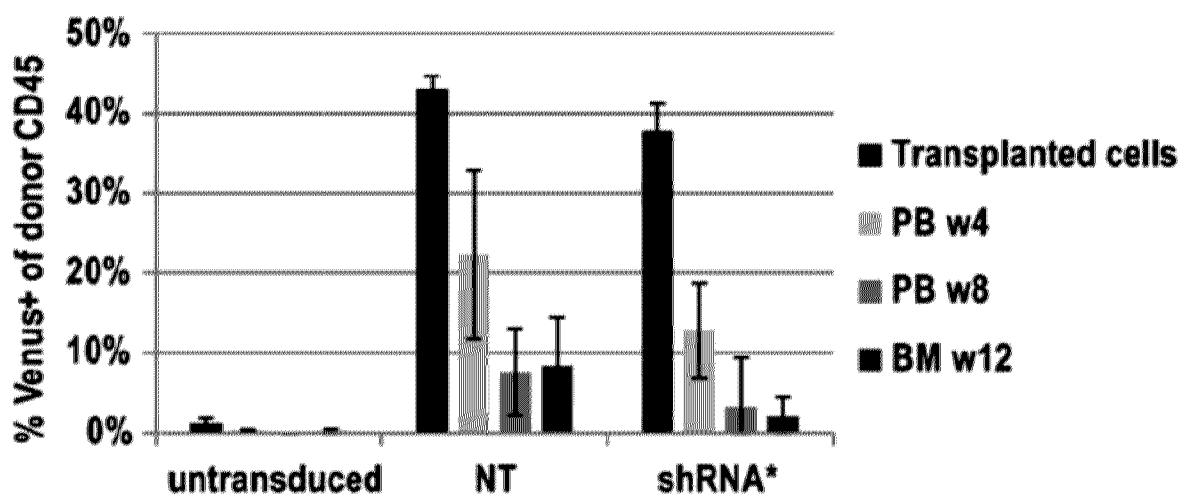
FIG. 21E



**FIG. 22**



**FIG. 23A**



**FIG. 23B**

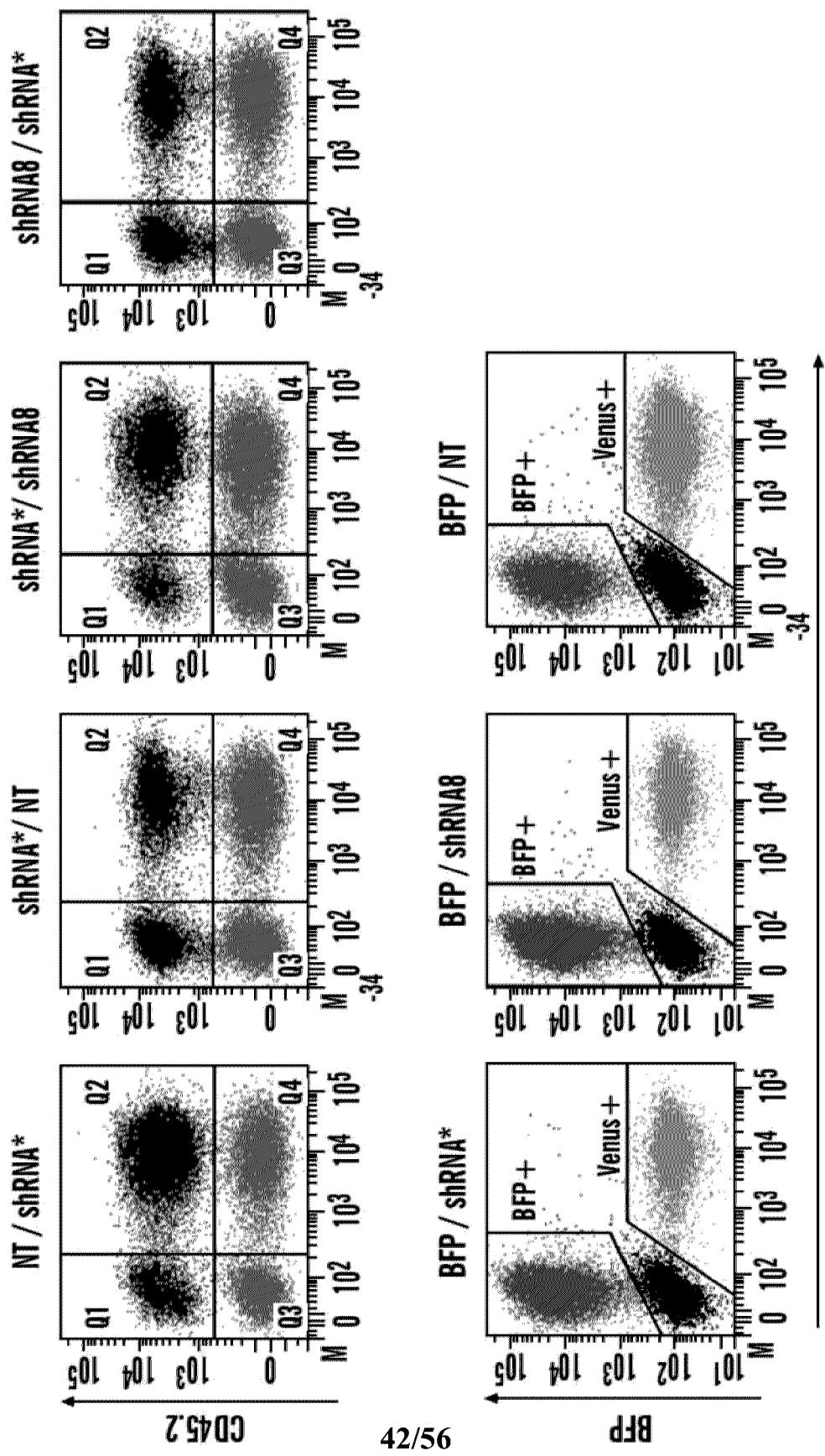


FIG. 23C

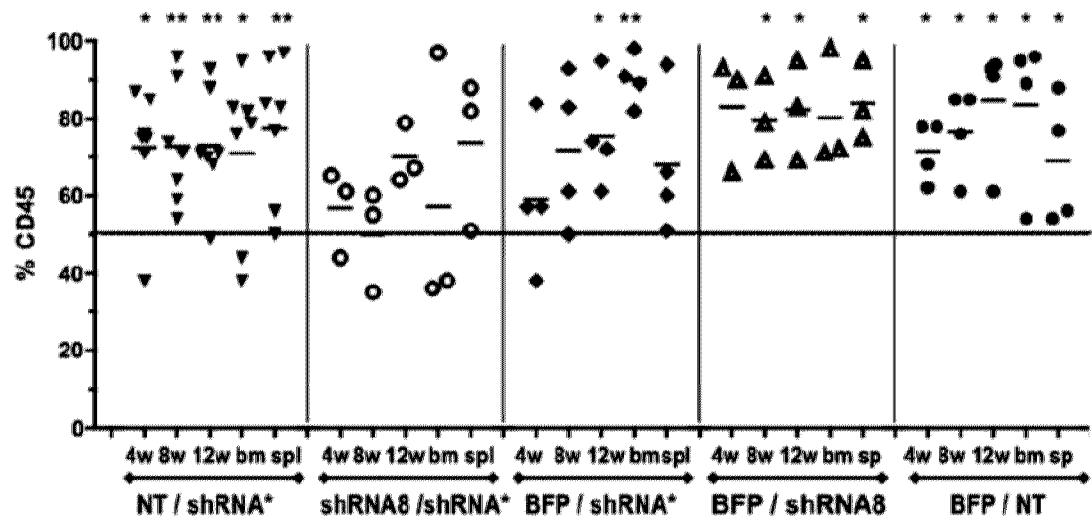


FIG. 23D

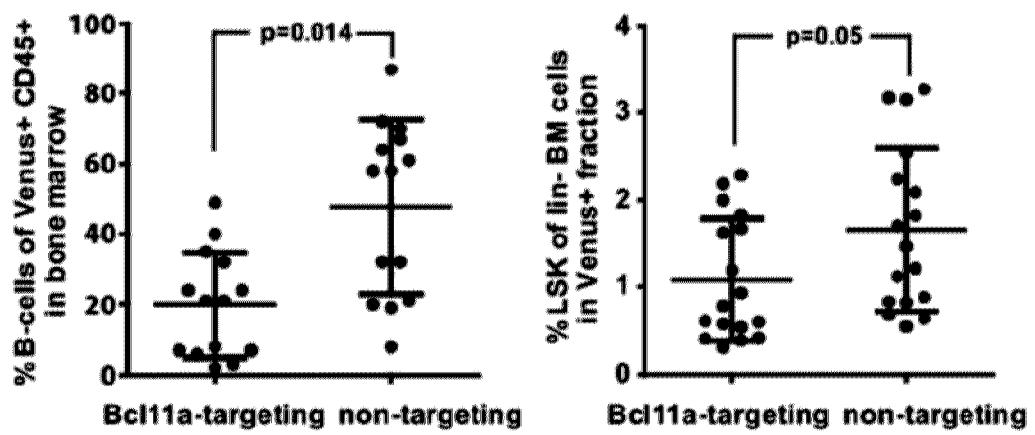


FIG. 23E

**LCR-BCL11A shRNA<sup>mIR</sup>**

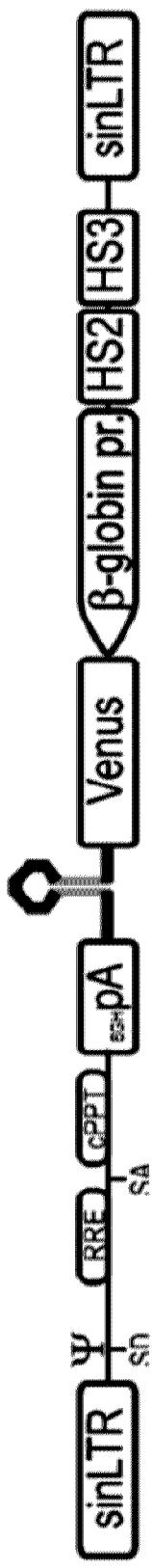


FIG. 23F

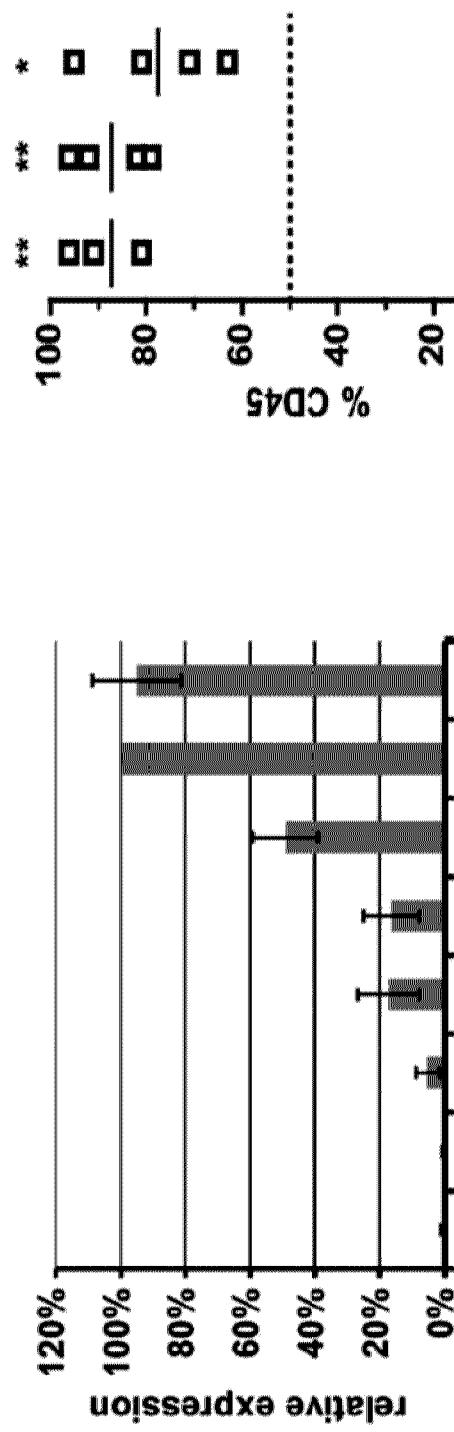


FIG. 23G

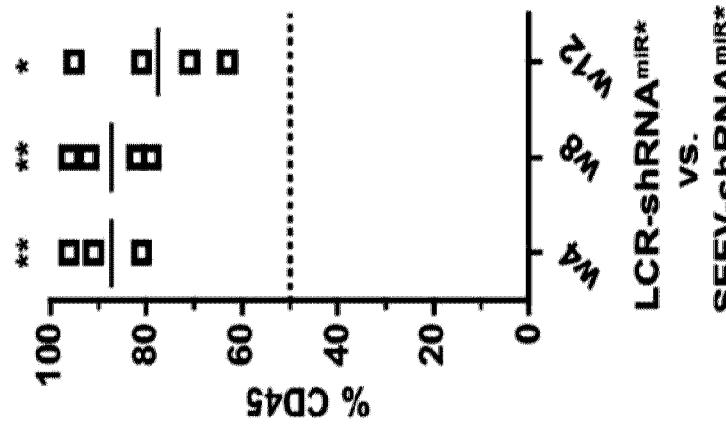
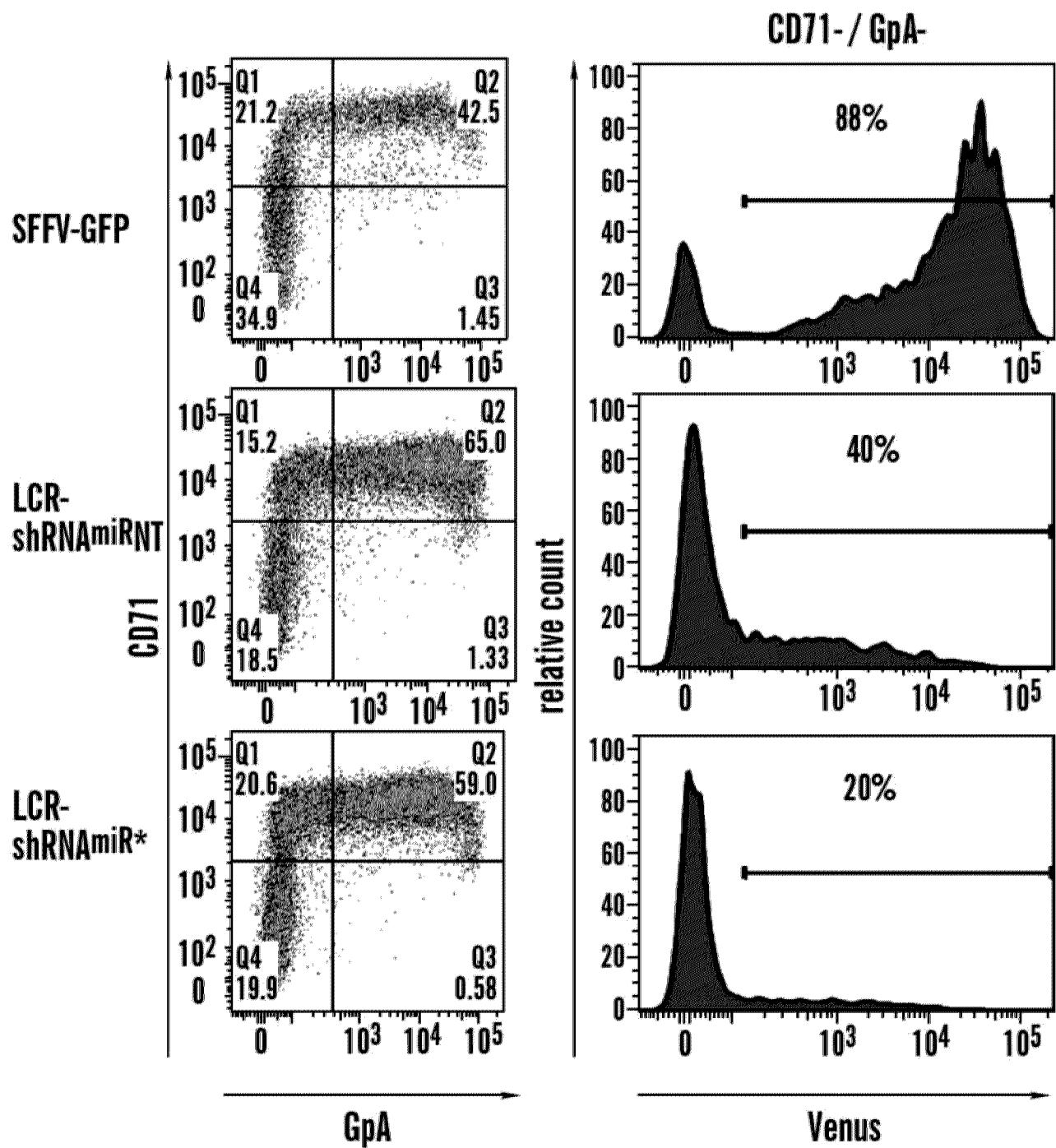
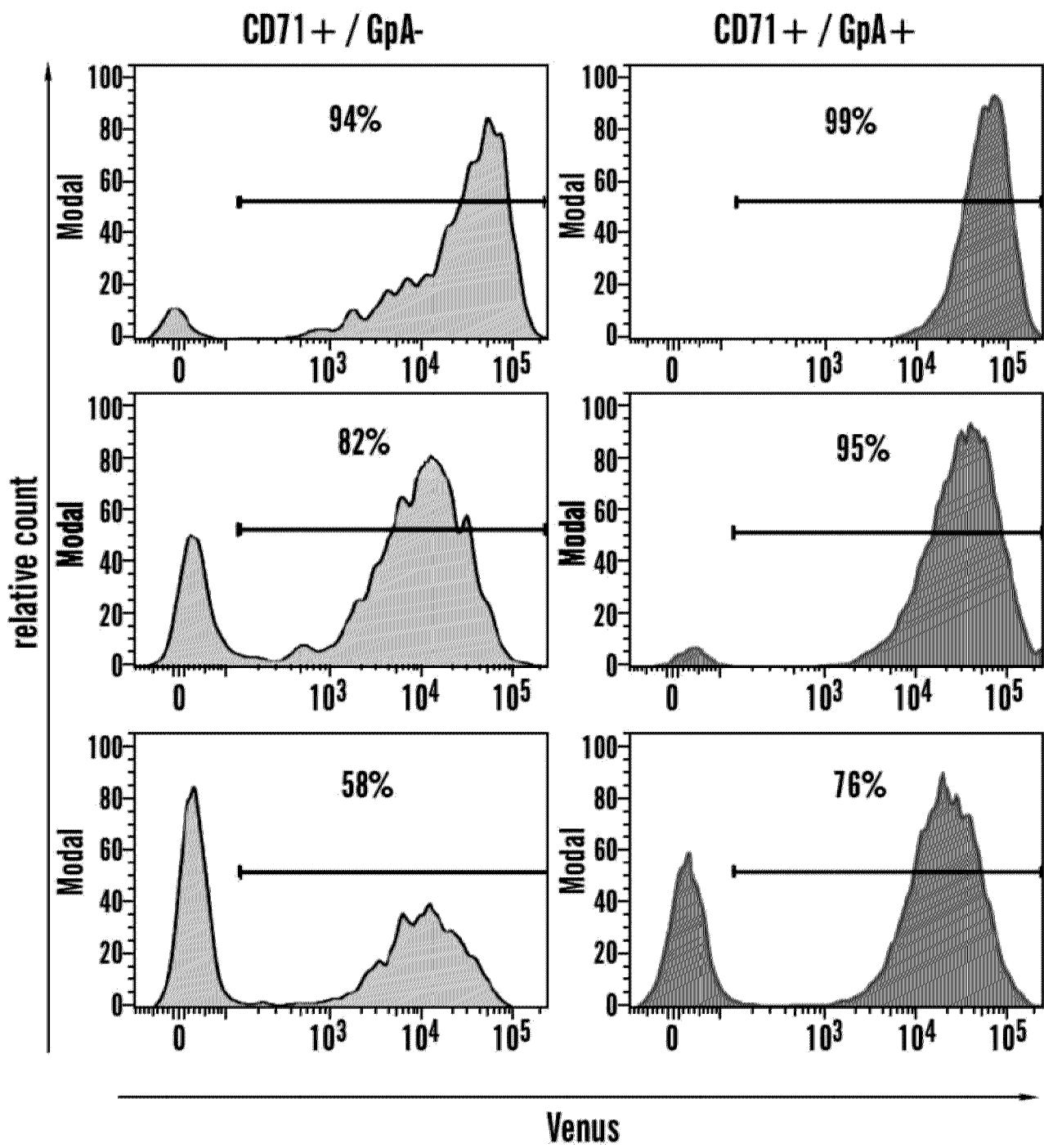


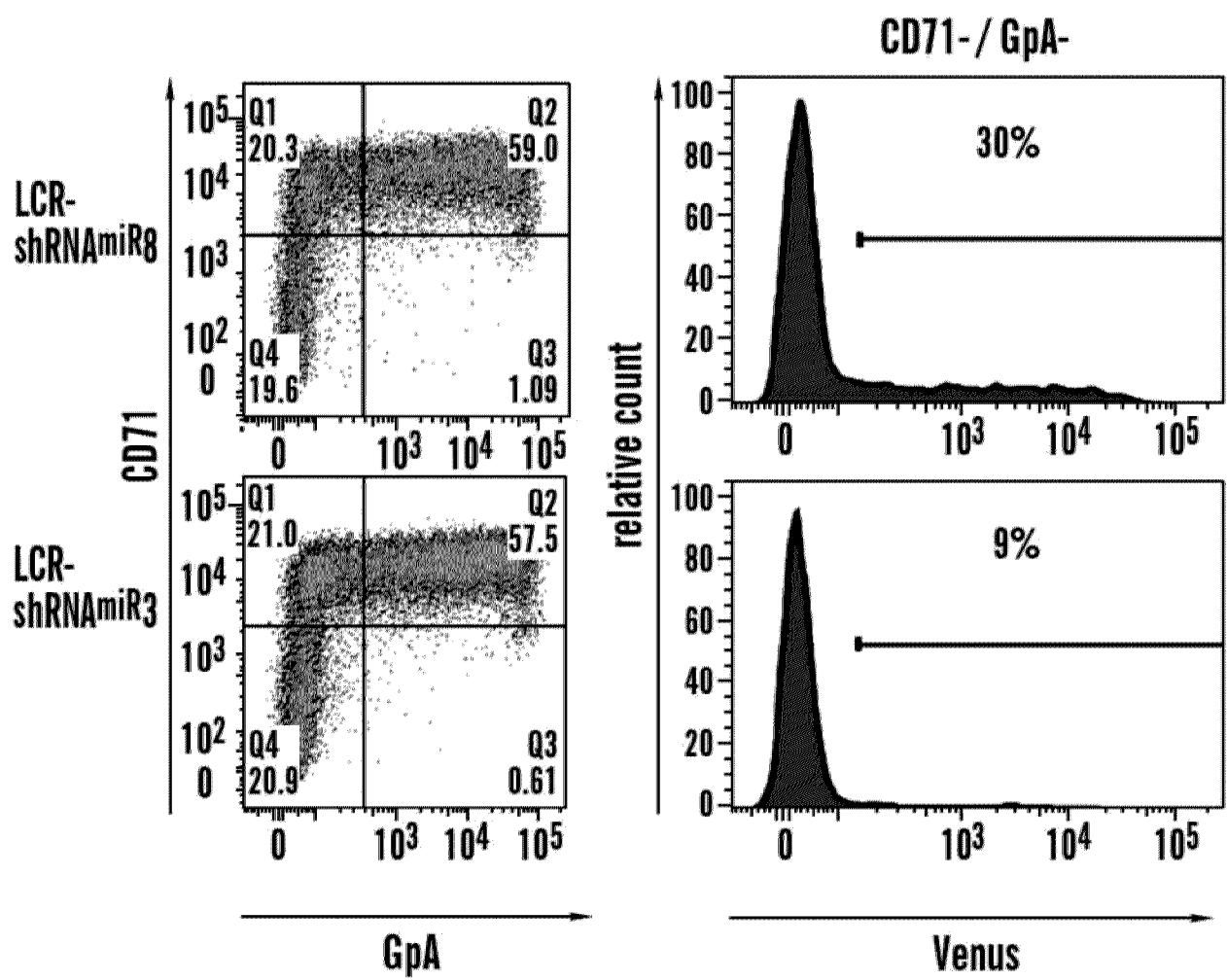
FIG. 23H



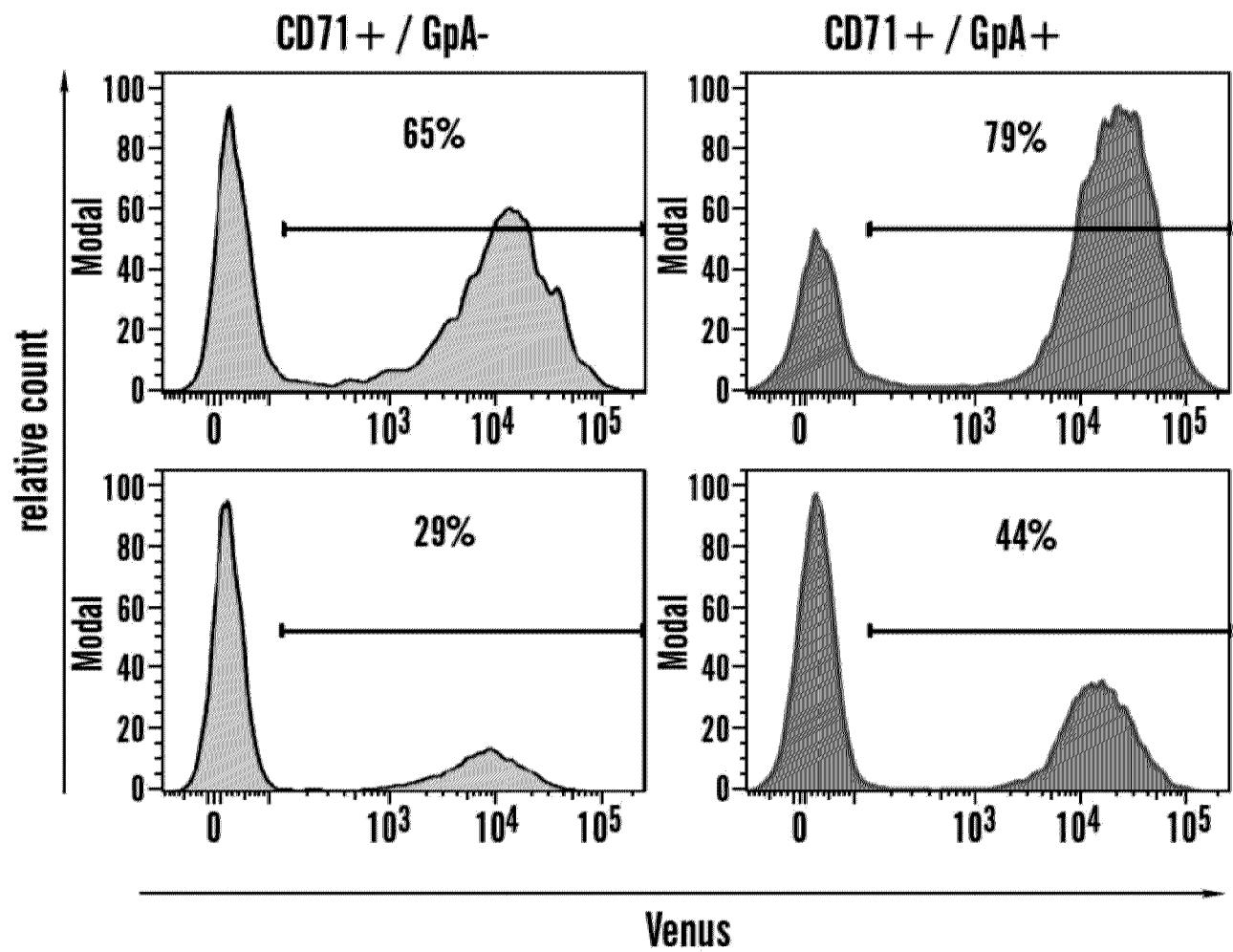
**FIG. 23I**



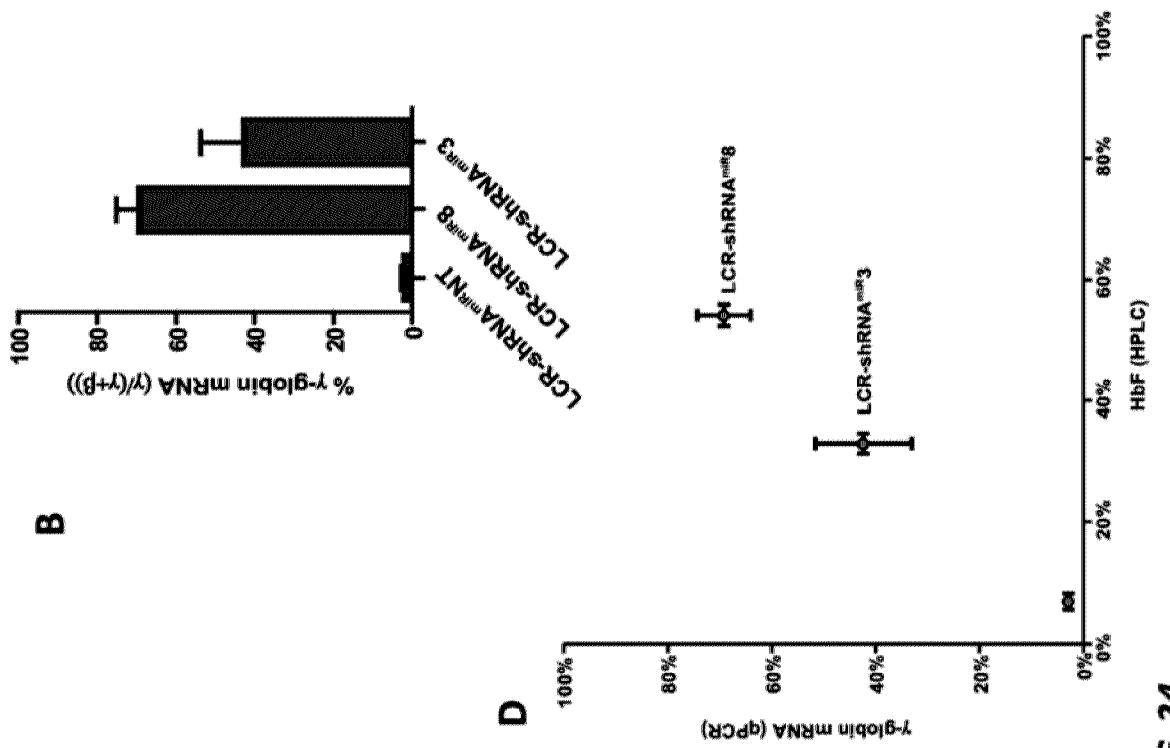
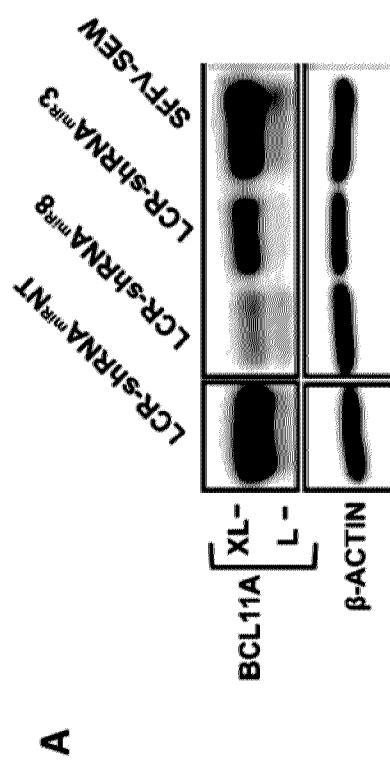
**FIG. 23I (cont.)**



**FIG. 23I (cont.)**



***FIG. 23I (cont.)***



**FIG. 24**

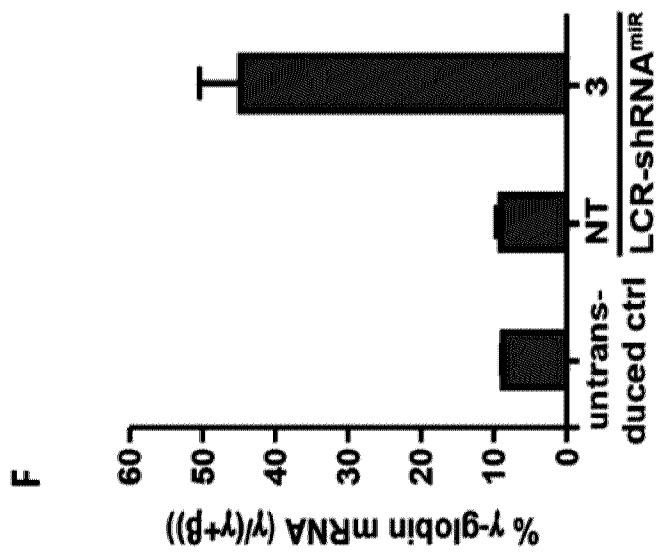
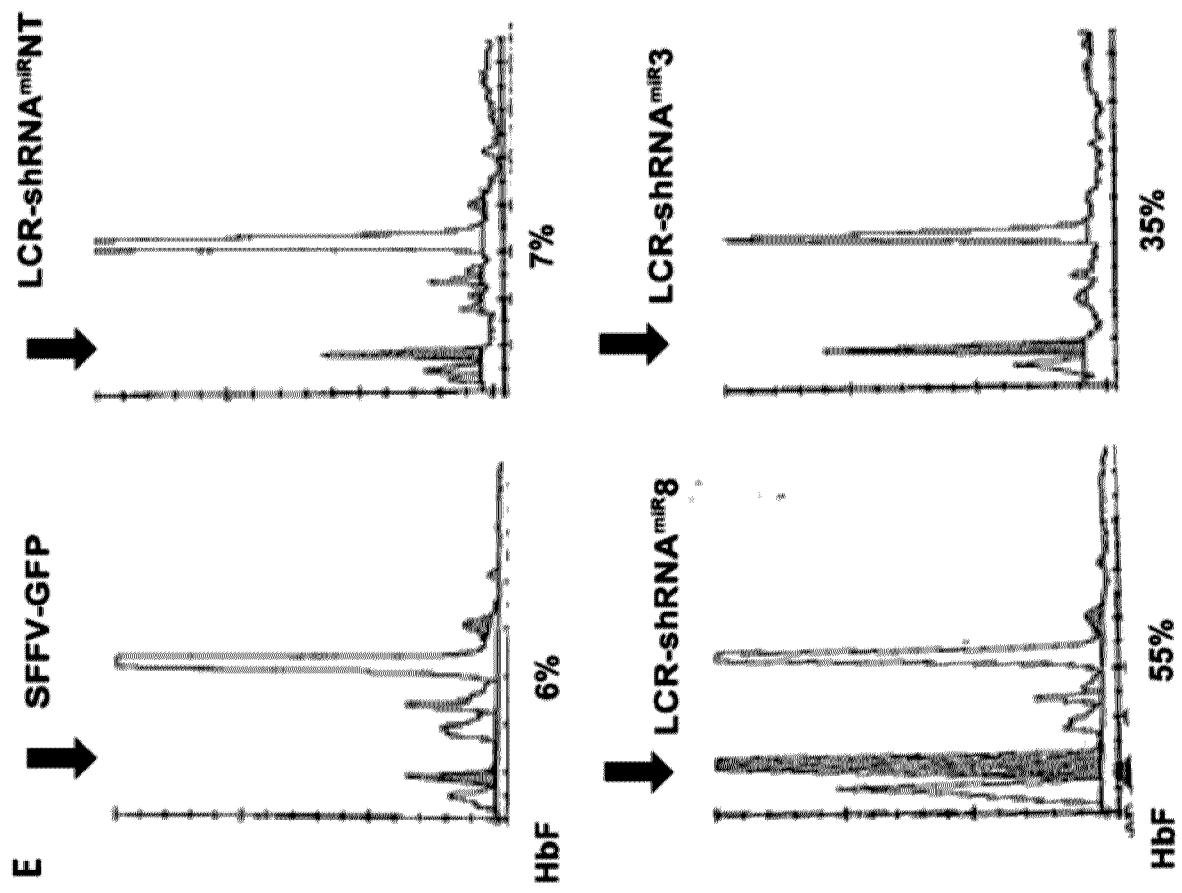


FIG. 24 (cont.)

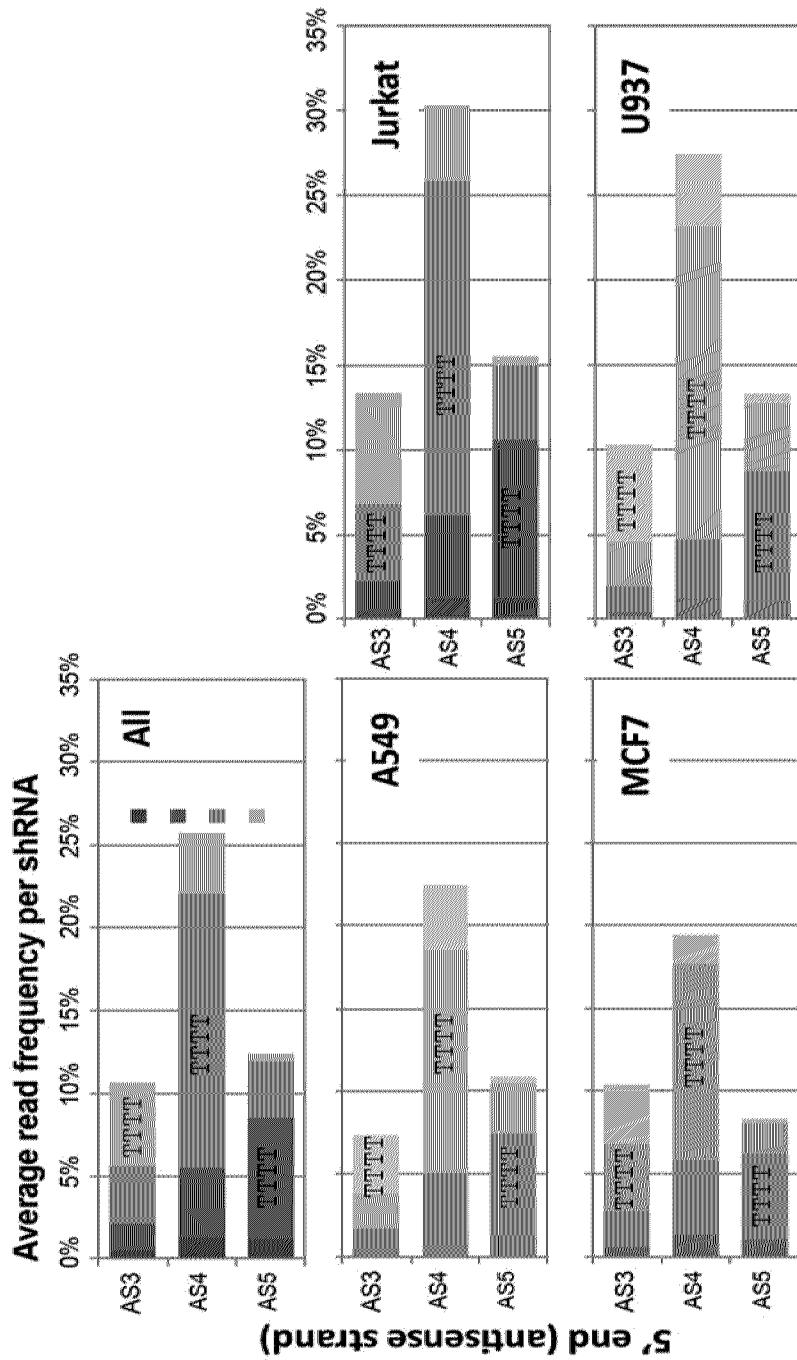
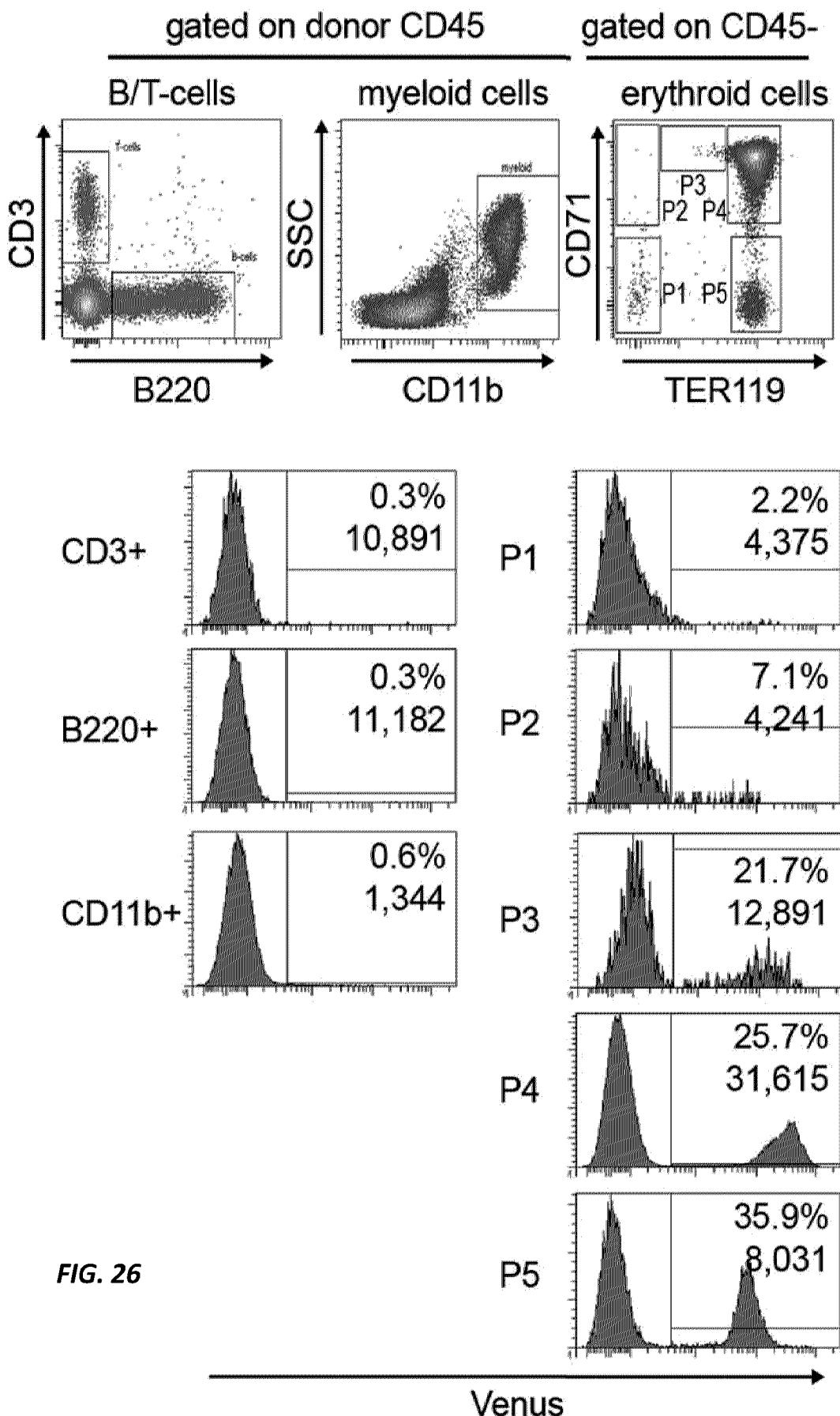


FIG. 25



**FIG. 26**

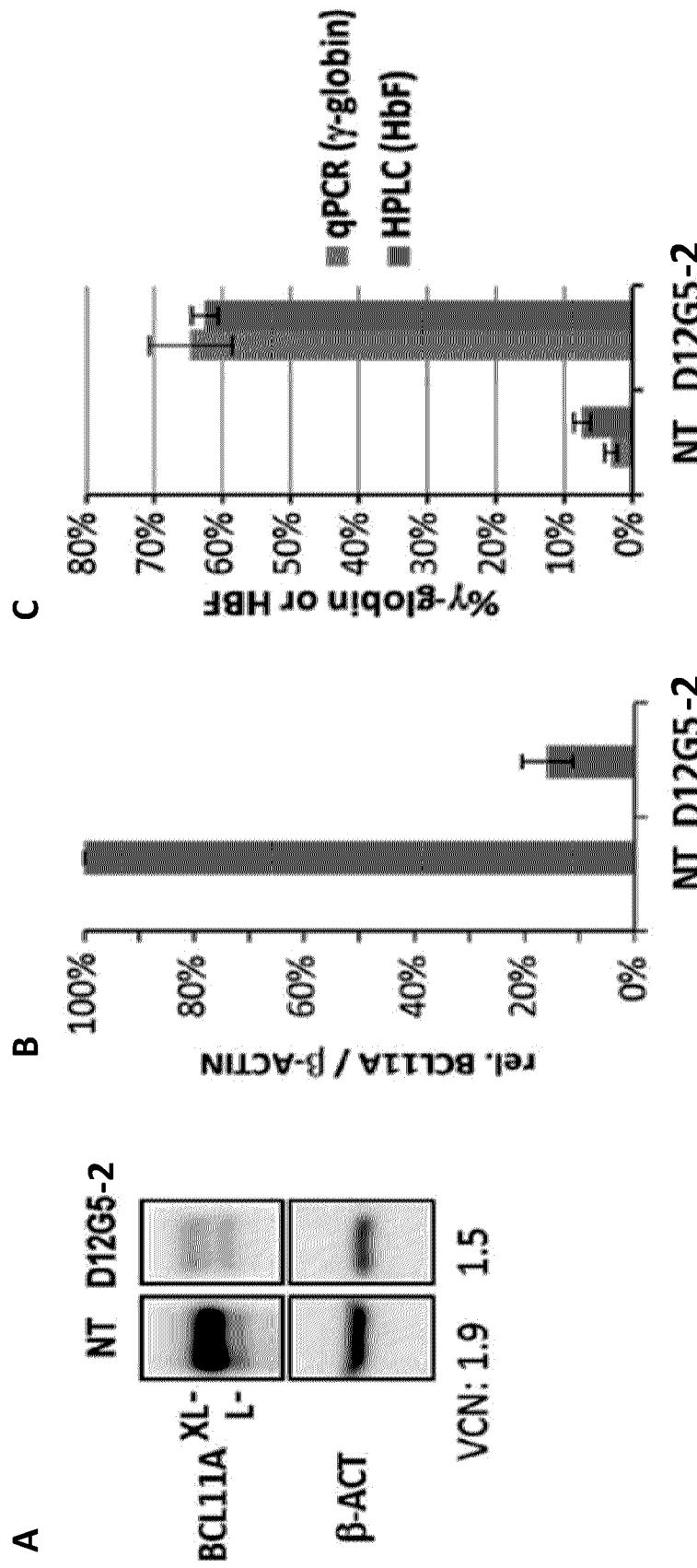
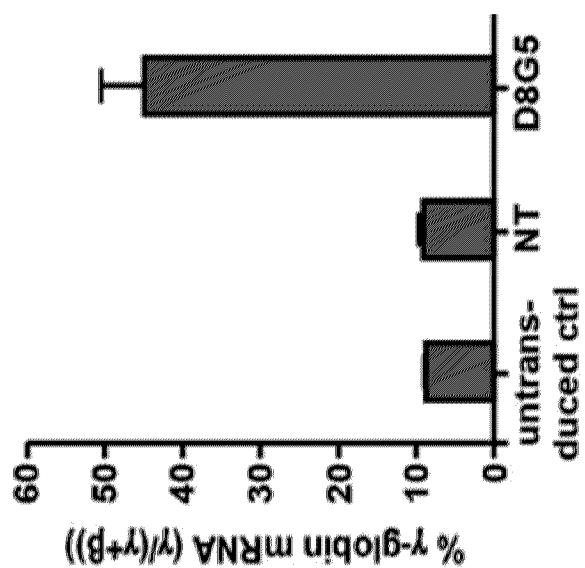


FIG. 27

FIG. 28



**54/56**

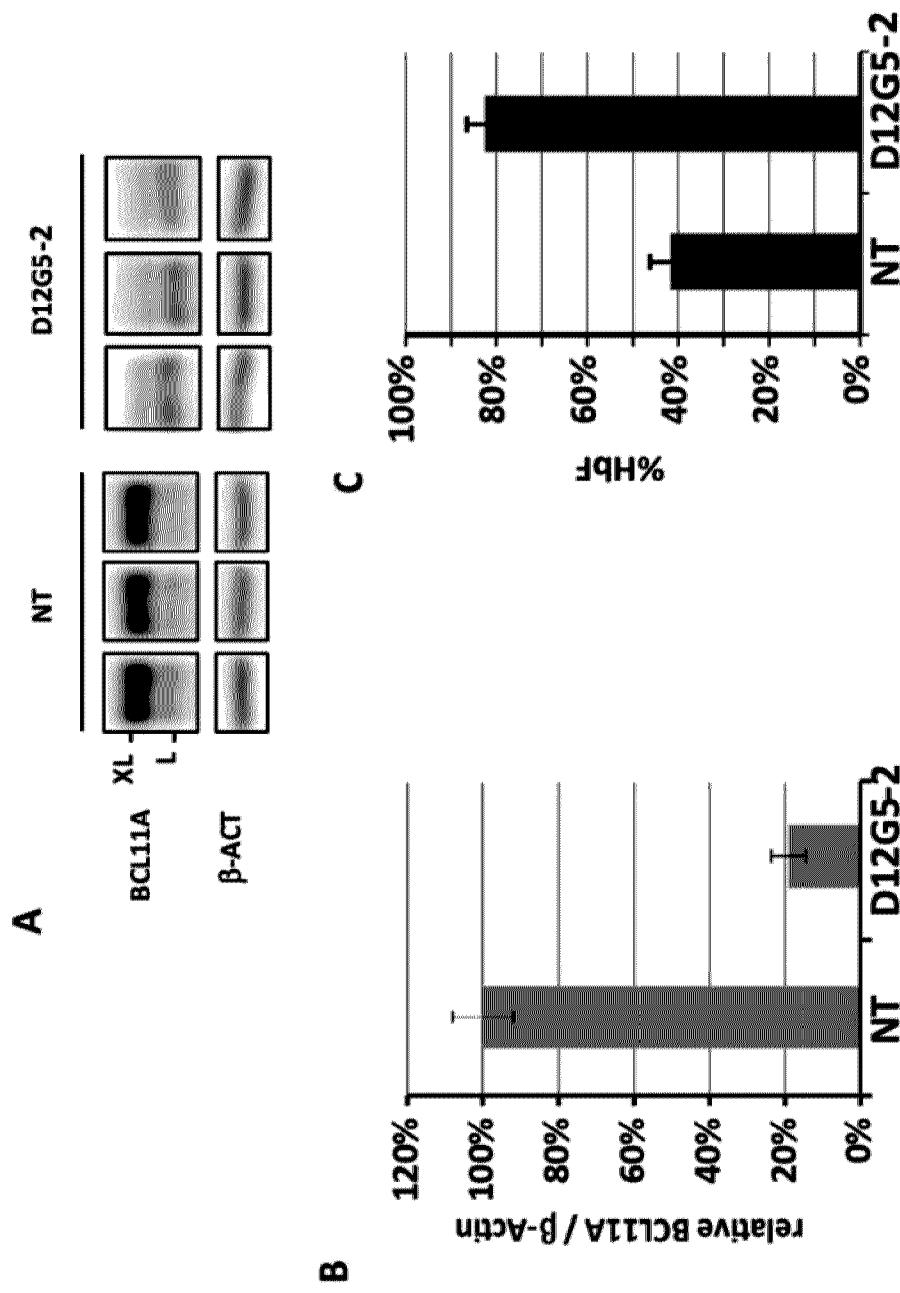
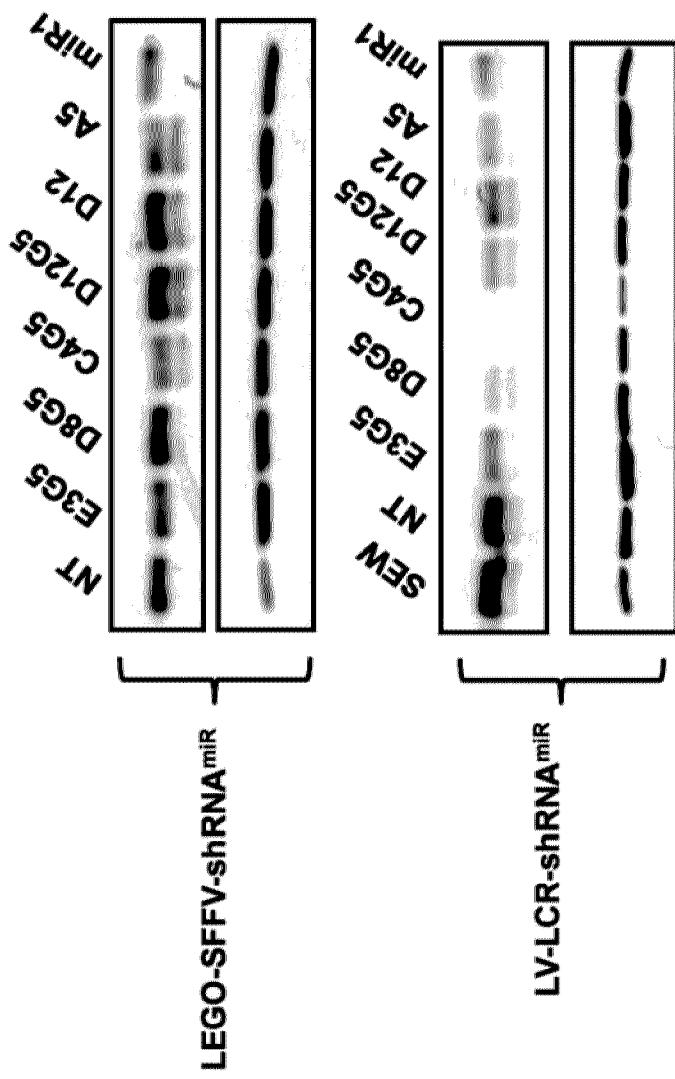


FIG. 29

**BCL11A knockdown with sequences in both SFFV and LCR backbones in CD34 differentiated erythroid cells.**

ShRNA	SEQUENCE	SEQ. ID. NO: 25
Mir1	CGCACAGAACACTCATGGATTctccatgttagagAATCCATGAGGTGTTCTGTGCG	SEQ. ID. NO: 25
E3G5	GCGCTCGGAGACTCCAGACAActccatgttagagTTGTCGGAGTCTCCGAGcgc	SEQ. ID. NO: 35
D8G5	GCGCTTCTCTGCAACACGCAActccatgttagagTGC GTGTTGCAAGAGAAAGC	SEQ. ID. NO: 37
C4G5	GCGCACAGTACCCCTGGAGAAActccatgttagagTTCTCCAGGGTACTGTgcgc	SEQ. ID. NO: 42
D12G5	GCGCGATCGAGTGTGAATAACtccatgttagagTTATTCAACACTCGATCgccc	SEQ. ID. NO: 39
D12	GATCGAGTGTGAATAATGATctccatgttagagATCATTATTCAACACTCGATC	SEQ. ID. NO: 30
A5	CAGTACCCCTGGAGAAACACATctccatgttagagATGTTCTCCAGGGTACTG	SEQ. ID. NO: 31
NT	CAACAAAGATGAAGAGCACCAActccatgttagagTTGGTGCCTTCATCTGTTG	SEQ. ID. NO: 45

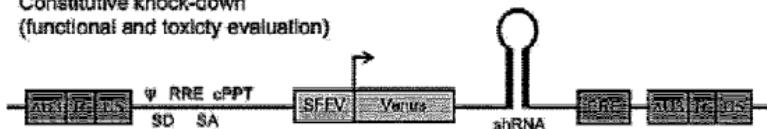
**FIG. 30**



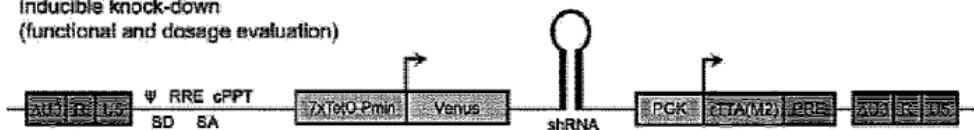
56/56

**FIG. 31**

Constitutive knock-down  
(functional and toxicity evaluation)



Inducible knock-down  
(functional and dosage evaluation)



Lineage-specific knock-down  
(therapeutic option)

