

**(12) PATENT**  
**(19) AUSTRALIAN PATENT OFFICE**

**(11) Application No. AU 200038802 B2**  
**(10) Patent No. 782448**

(54) Title  
Akt nucleic acids, polypeptides, and uses thereof

(51)<sup>6</sup> International Patent Classification(s)  
C12N 009/12 C07K 016/40  
A61K 038/45 C12N 005/10  
A61K 048/00 C12N 015/63  
A61P 009/10 C12P 021/00  
C07K 014/47

(21) Application No: 200038802 (22) Application Date: 2000.03.14

(87) WIPO No: W000/56866

(30) Priority Data

(31) Number	(32) Date	(33) Country
60/125108	1999.03.19	US

(43) Publication Date : 2000.10.09  
(43) Publication Journal Date : 2000.12.07  
(44) Accepted Journal Date : 2005.07.28

(71) Applicant(s)  
Aventis Pharmaceuticals Inc.

(72) Inventor(s)  
Kun Guo; Marco F. Pagnoni; Kenneth L. Clark; Yuri D. Ivashchenko

(74) Agent/Attorney  
WATERMARK PATENT and TRADEMARK ATTORNEYS, Locked Bag 5, HAWTHORN  
VIC 3122

(56) Related Art  
BIOCHEM J 331, 299-308  
KONISHI ET AL; (1995) BIOCHEM BIOPHYS RES COMM 216, 526-34

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7 : C12N 9/12, C07K 14/47, C12N 15/63, 5/10, C12P 21/00, C07K 16/40, A61K 38/45, A61P 9/10, A61K 48/00		A2	(11) International Publication Number: <b>WO 00/56866</b> (43) International Publication Date: 28 September 2000 (28.09.00)
(21) International Application Number: PCT/US00/06574 (22) International Filing Date: 14 March 2000 (14.03.00) (23) Priority Data: 60/125,108 19 March 1999 (19.03.99) US		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(71) Applicant (for all designated States except US): AVENTIS PHARMACEUTICALS PRODUCTS INC. [US/US]; Route 202-206, P.O.Box 6800, Mail Code: EMC-G1, Bridgewater, NJ 08807-0800 (US); <del>500 Arcade Road Caldwells, PA 19325-0007</del> (72) Inventors; and (75) Inventors/Applicants (for US only): GUO, Kun [CN/US]; 103 Eagle Stream Drive, Apt. #21, Eagleville, PA 19403 (US); PAGNONI, Marco, F. [US/US]; 2029 Stengere Street, Norristown, PA 19403 (US); CLARK, Kenneth, L. [GB/US]; <del>1235 Roberts Road, Gilbertville, PA 19325 (US)</del> IVASHCHENKO, Yuri, D. [UA/US]; 11 Hampton Court, Norristown, PA 19403 (US). (74) Agents: RONDINELLI, Rachel, H. et al.; Aventis Pharmaceu- ticals Products Inc., Route 202-206, P.O.Box 6800, Mail Code: EMC-G1, Bridgewater, NJ 08807-0800 (US).		Published <del>Bridgewater, New Jersey 08807-2854 (US)</del> Without international search report and to be republished upon receipt of that report.  <del>2 Orchard Road, Royal Free Hertfordshire SG8 5HD United Kingdom 300 Somerset Corporate Boulevard Bridgewater, NJ 08807-2854 US</del>	
(54) Title: AKT NUCLEIC ACIDS, POLYPEPTIDES, AND USES THEREOF			
(57) Abstract  The present invention relates to human Akt3 proteins and polypeptides. The invention also relates to isolated nucleic acids encoding human Akt3, to vectors containing them and to their therapeutic uses, in particular for gene therapy. Expression of Akt3 inhibits cell death associated with hypoxia, apoptosis or necrosis.			

STRALIAN  
SEC  
104  
T OFFICE

## AKT NUCLEIC ACIDS, POLYPEPTIDES, AND USES THEREOF

FIELD OF THE INVENTION

5       The present invention relates to isolated nucleic acids, to vectors containing them and to their therapeutic uses, in particular in gene therapy. More especially, the present invention relates to nucleic acids encoding an Akt isoform, designated Akt3, and to their use in gene therapy. Expression of activated Akt3 prevents apoptotic cell death induced by apoptosis stimulating kinase 1 (ASK1).

10       BACKGROUND OF THE INVENTIONAkt and Apoptosis

Apoptosis (programmed cell death) plays essential roles in embryonic development and pathogenesis of various diseases, such as degenerative neuronal diseases and cardiovascular diseases  
15 (MacLellan et al. 1998, Barinaga 1997a, Barinaga 1997b). Therefore, recent work has led to the identification of various pro- and anti-apoptotic gene products that are involved in the regulation or execution of programmed cell death. Expression of anti-apoptotic genes, such as Bcl2 or Bcl-x, inhibits apoptotic cell death induced by various stimuli. On the other hand, expression of pro-apoptotic genes, such as Bax or Bad, leads to programmed cell death (Aams et al. 1998). The execution of programmed  
20 cell death is mediated by caspase-1 related proteinases, including caspase-1, caspase-3, caspase-7, caspase-8 and caspase-9 etc (Thorneberry et al. 1998).

Recently, two intracellular signaling pathways involved in the regulation of cell survival/death have been studied. Activation of apoptotic stimulating kinase 1 (ASK1) leads to apoptosis in various cell types (Ichijo et al. 1997), while a pathway involving phosphoinositide 3-kinase (PI3K) and Akt leads to  
25 cytoprotection. It has been demonstrated that the activity of ASK1 is induced by tumor necrosis factor- $\alpha$  (TNF $\alpha$ ) treatment or Fas ligation (Ichijo et al. 1997, Chang et al. 1998). Overexpression of ASK1 dominant negative mutants inhibit apoptosis induced by TNF $\alpha$  or Fas ligation, indicating that ASK1 plays important roles during TNF $\alpha$  or Fas ligation-induced apoptotic cell death. The molecular mechanism by which ASK1 induces apoptosis is not clear. It has been shown that ectopic expression of  
30 ASK1 leads to activation of various stress-activated signaling pathways, such as the MKK4/JNK and MKK6/p38 pathways, which may mediate ASK1-induced apoptosis (Ichijo et al. 1997).

The PI3K/Akt pathway also appears important for regulating cell survival/cell death (Kulik et al.

Franke et al 1997, Kauffmann-Zeh et al. Hemmings 1997, Dudek et al. 1997). Survival factors, such as platelet derived growth factor (PDGF), nerve growth factor (NGF) and insulin-like growth factor-I (IGF-I), promote cell survival under various conditions by inducing the activity of PI3K (Kulik et al. 1997, Hemmings 1997). Activated PI3K leads to the production of phosphatidylinositol (3,4,5)-triphosphate (PtdIns(3,4,5)-P<sub>3</sub>), which in turn binds to and induces the activity of a AH/PH-domain containing serine/threonine kinase, Akt (Franke et al 1995, Hemmings 1997b, Downward 1998, Alessi et al. 1996). Specific inhibitors of PI3K or dominant negative Akt mutants abolish survival-promoting activity of these growth factors or cytokines. In addition, introduction of constitutively active PI3K or Akt mutants promotes cell survival under conditions in which cells normally undergo apoptotic cell death (Kulik et al. 1997, Dudek et al. 1997). These observations demonstrate that the PI3K/Akt pathway plays important roles for regulating cell survival or apoptosis.

Two isoforms of human Akt protein kinases, Akt1 and Akt2 have been identified (Staal, 1987). A rat Akt sequence has also been identified (Konishi et al. 1995). Serine-473 in the C-terminus of human Akt1 has been shown to be critical for its regulation (Stokeo et al. 1997; Stephens et al. 1998). Upon growth factor stimulation, PI3K is activated. The product of PI3K, PtdIns(3,4,5)-P binds Akt1, and causes translocation of Akt1 from the cytoplasm to the proximity of the inner cytoplasmic membrane, where it becomes phosphorylated at residues Thr308 and Ser473 (Downward, 1998). Phosphorylation of these residues is critical for the activation of Akt1. A recently identified protein kinase, PDK1, has been shown to be responsible for the phosphorylation of Thr308, while the kinase(s) which phosphorylates Ser473 has not yet been identified (Stokeo et al. 1997, Stephens et al. 1998).

#### Gene Therapy

Gene therapy involves correcting a deficiency or abnormality (mutation, aberrant expression, and the like) by introduction of genetic information into a patient, such as into an affected cell or organ of the patient. This genetic information may be introduced either *in vitro* into a cell, the modified cell then being reintroduced into the body, or directly *in vivo* into an appropriate site. In this connection, different techniques of transfection and of gene transfer have been described in the literature (see Roemer and Friedman, Eur. J. Biochem. 208 (1992) 211), including transfection of "naked DNA" and various techniques involving complexes of DNA and DEAE-dextran (Pagano et al., J.Virol. 1 (1967) 891), of DNA and nuclear proteins (Kaneda et al., Science 243 (1989) 375), of DNA and lipids (Felgner et al., PNAS 84 (1987) 7413), the use of liposomes (Fraley et al., J.Biol.Chem. 255 (1980) 10431) and the like. More recently, the use of viruses as vectors for the transfer of genes has emerged as a promising

alternative to physical transfection techniques. In this regard, different viruses have been tested for their capacity to infect certain cell populations, including retroviruses, herpes viruses, adeno-associated viruses, and adenoviruses.

The citation of any reference herein should not be construed as an admission that such reference is available as "Prior Art" to the instant application.

#### SUMMARY OF THE INVENTION

In a first embodiment of the invention there is provided an isolated nucleic acid comprising the sequence depicted in SEQ ID NO: 1.

In a second embodiment of the invention there is provided an isolated nucleic acid which encodes a polypeptide comprising the amino acid sequence as depicted in SEQ ID NO: 2.

In a third embodiment of the invention there is provided an isolated protein comprising an amino acid sequence as depicted in SEQ ID NO: 2.

In a fourth embodiment of the invention there is provided an antigenic peptide consisting essentially of the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys or an antigenic fragment thereof.

In a fifth embodiment of the invention there is provided an antibody which specifically recognises an epitope within a peptide having the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys.

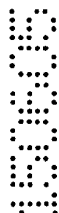
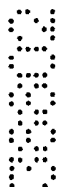
The invention relates to an isolated nucleic acid encoding a novel Akt protein or polypeptide. More specifically, the invention relates to an isolated nucleic acid encoding a human Akt3 protein comprising the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys, or a substantially similar sequence, wherein the nucleic acid hybridises under stringent conditions with a nucleic acid comprising a nucleotide sequence as depicted in SEQ ID NO: 1.

In one aspect, the nucleic acid encodes a human Akt3 protein comprising an amino acid sequence as depicted in SEQ ID NO: 2. The nucleic acid comprises the sequence depicted in SEQ ID NO: 1. The nucleic acid may, optionally, comprise a sequence encoding a polypeptide tag, thereby encoding a chimeric tagged Akt3 protein. In another aspect, the nucleic acid may, optionally, comprise a sequence encoding a myristylation sequence. In a preferred embodiment, the nucleic acid comprises a region permitting expression of the Akt3 protein in mammalian cells.

## 3a

The invention also relates to vectors containing a nucleic acid as described above. Preferably, the nucleic acid encoding a human Akt3 protein is operatively associated with an expression control sequence permitting expression of human Akt3 in an expression competent host cell. The expression control sequence may  
5 comprise a promoter which is functional in mammalian cells. The vector may be a plasmid DNA molecule or a viral vector. Preferred viral vectors include retrovirus, adenovirus, adeno-associated virus, herpes virus, and vaccinia virus. Therefore, the invention further relates to a replication defective recombinant virus comprising in its genome the nucleic acid encoding Akt3 as described  
10 above.

In another embodiment, the invention relates to a host cell transfected with the vector as described above. The host cell may be a bacterial cell, a yeast cell,



or a mammalian cell. In still another embodiment, the invention relates to a method for producing a human Akt3 protein comprising culturing the host cell as described above in culture medium under conditions permitting expression of human Akt3, and isolating human Akt3 protein from the culture.

5 The invention further relates to an isolated human Akt3 protein comprising the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys, or a substantially similar sequence which specifically binds to an antibody generated against an epitope within a peptide having the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys, or a substantially similar sequence.

10 The protein of the invention comprises an amino acid sequence as depicted in SEQ ID NO: 2. The protein may, optionally, comprise a tag sequence. In still another embodiment, the protein may, optionally, comprise a myristylation sequence.

15 The present invention also relates to antigenic peptides which consist essentially of the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys or an antigenic fragment thereof and antibodies thereto. In another embodiment, the invention relates to an antibody which specifically binds a human Akt3 protein as described above. In addition, the antibody specifically recognises an epitope within a peptide having the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys. The antibody may be polyclonal or monoclonal.

20 The present invention also relates to a method of inhibiting apoptosis or necrosis of a cell by administering to the cell a nucleic acid as described above. Preferably, the nucleic acid is operably linked to a regulatory region. The nucleic acid may be a plasmid or a viral vector. Preferred cells include cardiac cells. More preferably, the cell is a cardiac myocyte. In a preferred embodiment, the cell is in a patient suffering from myocardial infarction or ischemia reperfusion injury. Therefore, the present invention also relates to methods of treating myocardial infarction or ischemia reperfusion injury by administering to a patient suffering therefrom a nucleic acid as described above operably linked to a regulatory region. Preferably, the nucleic acid is administered to cardiac myocytes of a patient. The nucleic acid may be in the form of a plasmid in a viral

vector. Preferred viral vectors include retroviruses, adenoviruses, adeno-associated viruses, vaccinia virus and HSV virus.

The invention also relates to the use of these nucleic acids or vectors for the preparation of pharmaceutical compositions intended for the surgical and/or therapeutic treatment of the human or animal body. It also relates to any pharmaceutical composition comprising a vector, in particular a viral vector, and a nucleic acid as defined above.

In still another embodiment, the present invention relates to methods of screening for molecules that stimulate or inhibit Akt3 activity in a cell by contacting an Akt3 protein with a candidate molecule and detecting Akt3 activity in the presence of the molecule. Candidate molecules may be either agonists or antagonists of Akt3. In a preferred embodiment, the Akt3 is expressed from a nucleic acid in the cell and the Akt3 activity measured is inhibition of apoptosis. Inhibition of apoptosis can be measured by the presence of a marker gene.

The present invention also relates, generally, to methods of increasing Akt3 activity in a cell by increasing the level of an Akt3 protein in the cell. In a preferred embodiment, the cell has been transfected with a vector encoding Akt3 under conditions permitting expression of the Akt3 protein. Similarly, the invention relates to methods of inhibiting Akt3 activity in a cell by decreasing the level of an Akt3 protein in the cell. The level of Akt3 protein may be decreased by introducing an Akt3 antisense nucleic acid into the cell under conditions wherein the antisense nucleic acid hybridises under intracellular conditions to an Akt3 mRNA. The level of Akt3 protein can also be decreased by introducing a single chain Fv antibody (scFv) that specifically binds Akt3 into the cell at a level



sufficient to bind to and inactivate Akt3.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

**5 Figure 1: Alignment of Akt3 sequences.**

Figure 1A: Alignment of human Akt1 (SEQ ID NO: 14), Akt2 (SEQ ID NO: 13) and Akt3 (SEQ ID NO: 2) amino acid sequences.

Figure 1B: Alignment of rat Akt (SEQ ID NO: 17) and human Akt3 (SEQ ID NO: 2) amino acid sequences.

**10 Figure 2: Tissue distribution pattern of Akt3 mRNA.**

An Akt3 specific probe was prepared as described in the Examples. Multiple human tissue mRNA blot (Clontech) was hybridized with Akt3 specific probe (see the Examples for details).

**Figure 3: Construction of activated Akt3 mutant.**

Figure 3A: Schematic presentation of activated Akt3: Full length coding sequence of human Akt3  
**15** was fused in frame with the Myristylation signal from human Src gene (Myr) in the N-terminal, and fused in frame with the HA-tag in the C-terminus (HA). (see the Examples)

Figure 3B: Ectopic expression of activated Akt3 in HEK293 cells. HEK293 cells were transfected with either CMV6-MyrAkt3HA or expression plasmid (CMV6) alone. 24 hours after transfections, cell lysates were prepared and subjected to immunoblotting with a-HA antibodies.  
**20**

Figure 3C: Activated Akt3 possesses Akt activity. HEK293 cells were transfected with expression plasmid for activated Akt3 (MyrAkt3HA) or expression vector alone (CMV6). 24 hours after transfections, cell lysates were prepared and subjected to immunoprecipitation with anti-HA antibodies. Akt3 kinase activities of immunoprecipitates were measured by using substrate peptide derived from GSK3. Bkgd: background level from non-transfected cells;  
**25** CMV6: CMV6 transfected cells; Akt3cak: cells transfected with expression plasmid for constitutively activated Akt3 (CMV6-MyrAkt3HA). (see the Examples).

**Figure 4: Active Akt3 inhibits ASK1 induced cell death in HEK293 cells.**

HEK293 cells were transfected with CMV- $\beta$ -gal plasmid (0.1mg) with the combination of indicated  
**30** plasmids. The amount of DNA for each transfection were kept constant by addition of CMV6 vector. Akt3cak: CMV6-MyrAkt3HA (0.4mg); ASK1: pCDNA3HA-ASK1- $\Pi$  (0.4mg). Two days after transfections, cells were fixed and stained for X-gal staining. The number of  $\beta$ -gal positive cells

(blue cells) were counted in five different fields under the light microscope. (See the Examples).

#### **DETAILED DESCRIPTION OF THE INVENTION**

5       The present invention advantageously provides an isolated nucleic acid encoding a novel Akt protein or polypeptide, designated Akt3. Therefore, a first subject of the invention relates to an isolated nucleic acid encoding a novel Akt3 protein or polypeptide under the control of regions permitting its expression in mammalian cells. The invention also relates to vectors containing the nucleic acid encoding the Akt protein or polypeptide. The invention also relates to the use of these nucleic acids or  
10       vectors for the preparation of pharmaceutical compositions intended for the surgical and/or therapeutic treatment of the human or animal body. It also relates to any pharmaceutical composition comprising a vector, in particular a viral vector, and a nucleic acid as defined above.

      The various aspects of the invention will be set forth in greater detail in the following sections, directed to the nucleic acids, vectors, viruses, compositions, and methods of treatment of the invention.  
15       This organization into various sections is intended to facilitate understanding of the invention, and is in no way intended to be limiting thereof.

#### **Definitions**

      The following defined terms are used throughout the present specification, and should be helpful  
20       in understanding the scope and practice of the present invention.

      In a specific embodiment, the term "about" or "approximately" means within 20%, preferably within 10%, and more preferably within 5% of a given value or range.

      A "nucleic acid" is a polymeric compound comprised of covalently linked subunits called nucleotides. Nucleic acid includes polyribonucleic acid (RNA) and polydeoxyribonucleic acid (DNA),  
25       both of which may be single-stranded or double-stranded. DNA includes cDNA, genomic DNA, synthetic DNA, and semi-synthetic DNA.

      A "gene" refers to an assembly of nucleotides that encode a polypeptide, and includes cDNA and genomic DNA nucleic acids.

      A "recombinant DNA molecule" is a DNA molecule that has undergone a molecular biological  
30       manipulation.

      A "vector" is any means for the transfer of a nucleic acid into a host cell. A vector may be a replicon to which another DNA segment may be attached so as to bring about the replication of the

attached segment. A "replicon" is any genetic element (e.g., plasmid, phage, cosmid, chromosomal, virus) that functions as an autonomous unit of DNA replication *in vivo*, i.e., capable of replication under its own control. The term "vector" includes both viral and nonviral means for introducing the nucleic acid into a cell *in vitro*, *ex vivo* or *in vivo*. Viral vectors include retrovirus, adeno-associated virus, pox, baculovirus, vaccinia, herpes simplex, Epstein-Barr and adenovirus vectors, as set forth in greater detail below. Non-viral vectors include plasmids, liposomes, electrically charged lipids (cytofectins), DNA-protein complexes, and biopolymers. In addition to a nucleic acid, a vector may also contain one or more regulatory regions, and/or selectable markers useful in selecting, measuring, and monitoring nucleic acid transfer results (transfer to which tissues, duration of expression, etc.).

10 A "cloning vector" is a replicon, such as plasmid, phage or cosmid, to which another DNA segment may be attached so as to bring about the replication of the attached segment. Cloning vectors may be capable of replication in one cell type, and expression in another ("shuttle vector").

A "cassette" refers to a segment of DNA that can be inserted into a vector at specific restriction sites. The segment of DNA encodes a polypeptide of interest, and the cassette and restriction sites are  
15 designed to ensure insertion of the cassette in the proper reading frame for transcription and translation.

A cell has been "transfected" by exogenous or heterologous DNA when such DNA has been introduced inside the cell. A cell has been "transformed" by exogenous or heterologous DNA when the transfected DNA effects a phenotypic change. The transforming DNA can be integrated (covalently linked) into chromosomal DNA making up the genome of the cell.

20 A "nucleic acid molecule" refers to the phosphate ester polymeric form of ribonucleosides (adenosine, guanosine, uridine or cytidine; "RNA molecules") or deoxyribonucleosides (deoxyadenosine, deoxyguanosine, deoxythymidine, or deoxycytidine; "DNA molecules"), or any phosphoester analogs thereof, such as phosphorothioates and thioesters, in either single stranded form, or a double-stranded helix. Double stranded DNA-DNA, DNA-RNA and RNA-RNA helices are possible. The term nucleic  
25 acid molecule, and in particular DNA or RNA molecule, refers only to the primary and secondary structure of the molecule, and does not limit it to any particular tertiary forms. Thus, this term includes double-stranded DNA found, *inter alia*, in linear or circular DNA molecules (e.g., restriction fragments), plasmids, and chromosomes. In discussing the structure of particular double-stranded DNA molecules, sequences may be described herein according to the normal convention of giving only the sequence in  
30 the 5' to 3' direction along the nontranscribed strand of DNA (i.e., the strand having a sequence homologous to the mRNA). A "recombinant DNA molecule" is a DNA molecule that has undergone a molecular biological manipulation.

A nucleic acid molecule is "hybridizable" to another nucleic acid molecule, such as a cDNA, genomic DNA, or RNA, when a single stranded form of the nucleic acid molecule can anneal to the other nucleic acid molecule under the appropriate conditions of temperature and solution ionic strength (see Sambrook et al., *supra*). The conditions of temperature and ionic strength determine the "stringency" of the hybridization. For preliminary screening for homologous nucleic acids, low stringency hybridization conditions, corresponding to a  $T_m$  of 55°, can be used, e.g., 5x SSC, 0.1% SDS, 0.25% milk, and no formamide; or 30% formamide, 5x SSC, 0.5% SDS). Moderate stringency hybridization conditions correspond to a higher  $T_m$ , e.g., 40% formamide, with 5x or 6x SCC. High stringency hybridization conditions correspond to the highest  $T_m$ , e.g., 50% formamide, 5x or 6x SCC. Hybridization requires that the two nucleic acids contain complementary sequences, although depending on the stringency of the hybridization, mismatches between bases are possible. The appropriate stringency for hybridizing nucleic acids depends on the length of the nucleic acids and the degree of complementation, variables well known in the art. The greater the degree of similarity or homology between two nucleotide sequences, the greater the value of  $T_m$  for hybrids of nucleic acids having those sequences. The relative stability (corresponding to higher  $T_m$ ) of nucleic acid hybridizations decreases in the following order: RNA:RNA, DNA:RNA, DNA:DNA. For hybrids of greater than 100 nucleotides in length, equations for calculating  $T_m$  have been derived (see Sambrook et al., *supra*, 9.50-0.51). For hybridization with shorter nucleic acids, i.e., oligonucleotides, the position of mismatches becomes more important, and the length of the oligonucleotide determines its specificity (see Sambrook et al., *supra*, 11.7-11.8). Preferably a minimum length for a hybridizable nucleic acid is at least about 10 nucleotides; preferably at least about 15 nucleotides; and more preferably the length is at least about 20 nucleotides.

In a specific embodiment, the term "standard hybridization conditions" refers to a  $T_m$  of 55°C, and utilizes conditions as set forth above. In a preferred embodiment, the  $T_m$  is 60°C; in a more preferred embodiment, the  $T_m$  is 65°C.

As used herein, the term "oligonucleotide" refers to a nucleic acid, generally of at least 18 nucleotides, that is hybridizable to a genomic DNA molecule, a cDNA molecule, or an mRNA molecule encoding Akt3. Oligonucleotides can be labeled, e.g., with  $^{32}$ P-nucleotides or nucleotides to which a label, such as biotin, has been covalently conjugated. In one embodiment, a labeled oligonucleotide can be used as a probe to detect the presence of a nucleic acid encoding Akt3. In another embodiment, oligonucleotides (one or both of which may be labeled) can be used as PCR primers, either for cloning full length or a fragment of Akt3, or to detect the presence of nucleic acids encoding Akt3. In a further embodiment, an oligonucleotide of the invention can form a triple helix with an Akt3 DNA molecule.

Generally, oligonucleotides are prepared synthetically, preferably on a nucleic acid synthesizer. Accordingly, oligonucleotides can be prepared with non-naturally occurring phosphoester analog bonds, such as thioester bonds, etc.

5 A DNA "coding sequence" is a double-stranded DNA sequence which is transcribed and translated into a polypeptide in a cell *in vitro* or *in vivo* when placed under the control of appropriate regulatory sequences. The boundaries of the coding sequence are determined by a start codon at the 5' (amino) terminus and a translation stop codon at the 3' (carboxyl) terminus. A coding sequence can include, but is not limited to, prokaryotic sequences, cDNA from eukaryotic mRNA, genomic DNA sequences from eukaryotic (*e.g.*, mammalian) DNA, and even synthetic DNA sequences. If the coding  
10 sequence is intended for expression in a eukaryotic cell, a polyadenylation signal and transcription termination sequence will usually be located 3' to the coding sequence.

Transcriptional and translational control sequences are DNA regulatory sequences, such as promoters, enhancers, terminators, and the like, that provide for the expression of a coding sequence in a host cell. In eukaryotic cells, polyadenylation signals are control sequences.

15 A "promoter sequence" is a DNA regulatory region capable of binding RNA polymerase in a cell and initiating transcription of a downstream (3' direction) coding sequence. For purposes of defining the present invention, the promoter sequence is bounded at its 3' terminus by the transcription initiation site and extends upstream (5' direction) to include the minimum number of bases or elements necessary to initiate transcription at levels detectable above background. Within the promoter sequence will be found  
20 a transcription initiation site (conveniently defined for example, by mapping with nuclease S1), as well as protein binding domains (consensus sequences) responsible for the binding of RNA polymerase.

A coding sequence is "under the control" of transcriptional and translational control sequences in a cell when RNA polymerase transcribes the coding sequence into mRNA, which is then trans-RNA spliced (if the coding sequence contains introns) and translated into the protein encoded by the coding  
25 sequence.

As used herein, the term "homologous" in all its grammatical forms and spelling variations refers to the relationship between proteins that possess a "common evolutionary origin," including proteins from superfamilies (*e.g.*, the immunoglobulin superfamily) and homologous proteins from different species (*e.g.*, myosin light chain, etc.) (Reeck et al., 1987, Cell 50:667). Such proteins (and their  
30 encoding genes) have sequence homology, as reflected by their high degree of sequence similarity.

Accordingly, the term "sequence similarity" in all its grammatical forms refers to the degree of identity or correspondence between nucleic acid or amino acid sequences of proteins that may or may not

share a common evolutionary origin (*see* Reeck et al., *supra*). However, in common usage and in the instant application, the term "homologous," when modified with an adverb such as "highly," may refer to sequence similarity and not a common evolutionary origin.

5 In a specific embodiment, two DNA sequences are "substantially homologous" or "substantially similar" when at least about 50% (preferably at least about 75%, and most preferably at least about 90 or 95%) of the nucleotides match over the defined length of the DNA sequences. Sequences that are substantially homologous can be identified by comparing the sequences using standard software available in sequence data banks, or in a Southern hybridization experiment under, for example, stringent conditions as defined for that particular system. Defining appropriate hybridization conditions is within  
10 the skill of the art. See, e.g., Maniatis et al., *supra*; DNA Cloning, Vols. I & II, *supra*; Nucleic Acid Hybridization, *supra*.

An "antisense nucleic acid" is a sequence of nucleotides that is complementary to the sense sequence. Antisense nucleic acids can be used to down regulate or block the expression of the polypeptide encoded by the sense strand.

15 Transcriptional and translational control sequences are DNA regulatory sequences, such as promoters, enhancers, terminators, and the like, that provide for the expression of a coding sequence in a host cell. In eukaryotic cells, polyadenylation signals are additional types of control sequences.

A "signal sequence" is included at the beginning of the coding sequence of a protein to be expressed on the surface of a cell. This sequence encodes a signal peptide, N-terminal to the mature  
20 polypeptide, that directs the host cell to translocate the polypeptide. The term "translocation signal sequence" is used herein to refer to this sort of signal sequence. Translocation signal sequences can be found associated with a variety of proteins native to eukaryotes and prokaryotes, and are often functional in both types of organisms.

"Regulatory region" means a nucleic acid sequence which regulates the expression of a second  
25 nucleic acid sequence. A regulatory region may include sequences which are naturally responsible for expressing a particular nucleic acid (a homologous region) or may include sequences of a different origin which are responsible for expressing different proteins or even synthetic proteins (a heterologous region). In particular, the sequences can be sequences of eukaryotic or viral genes or derived sequences which stimulate or repress transcription of a gene in a specific or non-specific manner and in an inducible or  
30 non-inducible manner. Regulatory regions include origins of replication, RNA splice sites, promoters, enhancers, transcriptional termination sequences, signal sequences which direct the polypeptide into the secretory pathways of the target cell, and promoters.

A regulatory region from a "heterologous source" is a regulatory region which is not naturally associated with the expressed nucleic acid. Included among the heterologous regulatory regions are regulatory regions from a different species, regulatory regions from a different gene, hybrid regulatory sequences, and regulatory sequences which do not occur in nature, but which are designed by one having ordinary skill in the art.

"Heterologous" DNA refers to DNA not naturally located in the cell, or in a chromosomal site of the cell. Preferably, the heterologous DNA includes a gene foreign to the cell.

"Homologous recombination" refers to the insertion of a foreign DNA sequence into another DNA molecule, e.g., insertion of a vector in a chromosome. Preferably, the vector targets a specific chromosomal site for homologous recombination. For specific homologous recombination, the vector will contain sufficiently long regions of homology to sequences of the chromosome to allow complementary binding and incorporation of the vector into the chromosome. Longer regions of homology, and greater degrees of sequence similarity, may increase the efficiency of homologous recombination.

A "polypeptide" is a polymeric compound comprised of covalently linked amino acid residues. Amino acids have the following general structure:



Amino acids are classified into seven groups on the basis of the side chain R: (1) aliphatic side chains, (2) side chains containing a hydroxylic (OH) group, (3) side chains containing sulfur atoms, (4) side chains containing an acidic or amide group, (5) side chains containing a basic group, (6) side chains containing an aromatic ring, and (7) proline, an imino acid in which the side chain is fused to the amino group. A polypeptide of the invention preferably comprises at least about 14 amino acids.

A "protein" is a polypeptide which plays a structural or functional role in a living cell.

A "variant" of a polypeptide or protein is any analogue, fragment, derivative, or mutant which is derived from a polypeptide or protein and which retains at least one biological property of the polypeptide or protein. Different variants of the polypeptide or protein may exist in nature. These variants may be allelic variations characterized by differences in the nucleotide sequences of the structural gene coding for the protein, or may involve differential splicing or post-translational modification. The skilled artisan can produce variants having single or multiple amino acid

substitutions, deletions, additions, or replacements. These variants may include, inter alia: (a) variants in which one or more amino acid residues are substituted with conservative or non-conservative amino acids, (b) variants in which one or more amino acids are added to the polypeptide or protein, (c) variants in which one or more of the amino acids includes a substituent group, and (d) variants in which the polypeptide or protein is fused with another polypeptide such as serum albumin. The techniques for obtaining these variants, including genetic (suppressions, deletions, mutations, etc.), chemical, and enzymatic techniques, are known to persons having ordinary skill in the art. A variant of the invention preferably comprises at least about 14 amino acids.

If such allelic variations, analogues, fragments, derivatives, mutants, and modifications, including alternative mRNA splicing forms and alternative post-translational modification forms result in derivatives of the polypeptide which retain any of the biological properties of the polypeptide, they are intended to be included within the scope of this invention.

A "heterologous protein" refers to a protein not naturally produced in the cell.

Two amino acid sequences are "substantially homologous" or "substantially similar" when greater than about 40% of the amino acids are identical, or greater than 60% are similar (functionally identical). Preferably, the similar or homologous sequences are identified by alignment using, for example, the GCG (Genetics Computer Group, Program Manual for the GCG Package, Version 7, Madison, Wisconsin) pileup program.

The term "corresponding to" is used herein to refer to similar or homologous sequences, whether the exact position is identical or different from the molecule to which the similarity or homology is measured. A nucleic acid or amino acid sequence alignment may include spaces. Thus, the term "corresponding to" refers to the sequence similarity, and not the numbering of the amino acid residues or nucleotide bases.

#### 25 Genes Encoding Akt3 Proteins

The present invention contemplates isolation of a gene encoding a human Akt3 protein or polypeptide of the invention, including a full length, or naturally occurring form of Akt3, and any human Akt3-specific antigenic fragments thereof. As used herein, "Akt3" refers to Akt3 polypeptide, and "akt3" refers to a gene encoding Akt3 polypeptide. For the purpose of the present invention, the term Akt3 denotes any protein or polypeptide capable of inhibiting apoptosis, and which comprises the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys, or a substantially similar



sequence. Preferably, the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys, or substantially similar sequence, occurs at the C-terminus of the Akt3 protein.

Preferably, the novel Akt3 according to the invention comprises an amino acid sequence as shown in SEQ ID NO: 2. A preferred nucleic acid according to the invention encodes an amino acid  
5 sequence as shown in SEQ ID NO: 2. More preferably, the nucleic acid comprises a sequence as depicted in SEQ ID NO: 1.

A first subject of the invention relates to an isolated nucleic acid encoding a novel Akt protein or polypeptide, optionally under the control of regions permitting its expression in mammalian cells. The invention also relates to vectors containing the nucleic acid encoding the Akt protein or polypeptide, and  
10 to the use of these nucleic acids or vectors for the preparation of pharmaceutical compositions intended for the surgical and/or therapeutic treatment of the human or animal body. It also relates to any pharmaceutical composition comprising a vector, such as a viral vector, and a nucleic acid as defined above.

In accordance with the present invention there may be employed conventional molecular  
15 biology, microbiology, and recombinant DNA techniques within the skill of the art. Such techniques are explained fully in the literature. See, e.g., Sambrook, Fritsch & Maniatis, *Molecular Cloning: A Laboratory Manual*, Second Edition (1989) Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York (herein "Sambrook et al., 1989"); *DNA Cloning: A Practical Approach*, Volumes I and II (D.N. Glover ed. 1985); *Oligonucleotide Synthesis* (M.J. Gait ed. 1984); *Nucleic Acid Hybridization*  
20 [B.D. Hames & S.J. Higgins eds. (1985)]; *Transcription And Translation* [B.D. Hames & S.J. Higgins, eds. (1984)]; *Animal Cell Culture* [R.I. Freshney, ed. (1986)]; *Immobilized Cells And Enzymes* [IRL Press, (1986)]; B. Perbal, *A Practical Guide To Molecular Cloning* (1984); F.M. Ausubel et al. (eds.), *Current Protocols in Molecular Biology*, John Wiley & Sons, Inc. (1994).

A gene encoding Akt3, whether genomic DNA or cDNA, can be isolated from any source,  
25 particularly from a human cDNA or genomic library. General methods for obtaining an *akt3* gene are well known in the art, as described above (see, e.g., Sambrook et al., 1989, *supra*).

Accordingly, any animal cell potentially can serve as the nucleic acid source for the molecular cloning of a *akt3* gene. The DNA may be obtained by standard procedures known in the art from cloned DNA (e.g., a DNA "library"), and preferably is obtained from a cDNA library prepared from tissues with  
30 high level expression of the protein (e.g., heart, pancreas and skeletal muscle cDNA, since these are the cells that evidence high levels of expression of Akt3), by chemical synthesis, by cDNA cloning, or by the cloning of genomic DNA, or fragments thereof, purified from the desired cell (See, for example,

Sambrook et al., 1989, *supra*; Glover, D.M. (ed.), 1985, DNA Cloning: A Practical Approach, MRL Press, Ltd., Oxford, U.K. Vol. I, II). Clones derived from genomic DNA may contain regulatory and intron DNA regions in addition to coding regions; clones derived from cDNA will not contain intron sequences. Whatever the source, the gene should be molecularly cloned into a suitable vector for  
5 propagation of the gene.

Once the DNA fragments are generated, identification of the specific DNA fragment containing the desired *akt3* gene may be accomplished in a number of ways. For example, DNA fragments may be screened by nucleic acid hybridization to a labeled probe (Benton and Davis, 1977, Science 196:180; Grunstein and Hogness, 1975, Proc. Natl. Acad. Sci. U.S.A. 72:3961). Those DNA fragments with  
10 substantial homology to the probe will hybridize. As noted above, the greater the degree of homology, the more stringent hybridization conditions can be used. In a specific embodiment, Northern hybridization conditions are used to identify mRNA splicing variants of an *akt3* gene.

Further selection can be carried out on the basis of the properties of the gene, *e.g.*, if the gene encodes a protein product having the isoelectric, electrophoretic, amino acid composition, or partial  
15 amino acid sequence of Akt3 protein as disclosed herein. Thus, the presence of the gene may be detected by assays based on the physical, chemical, or immunological properties of its expressed product. For example, cDNA clones, or DNA clones which hybrid-select the proper mRNAs, can be selected which produce a protein that, *e.g.*, has similar or identical electrophoretic migration, isoelectric focusing or non-equilibrium pH gel electrophoresis behavior, proteolytic digestion maps, or antigenic properties as  
20 known for Akt3. In a specific embodiment, the expressed protein is recognized by a polyclonal antibody that is generated against an epitope specific for human Akt3, such as within the amino acid sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys.

The present invention also relates to genes (*e.g.*, cDNAs) encoding allelic variants, splicing variants, analogs, and derivatives of Akt3 of the invention, that have the same or homologous functional  
25 activity as Akt3, and homologs thereof from other species. The production and use of derivatives and analogs related to Akt3 are within the scope of the present invention. Such variants, analogs, derivatives and homologs should retain the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys, or a substantially similar sequence. In a specific embodiment, the derivative or analog is functionally active, *i.e.*, capable of exhibiting one or more functional activities associated with a full-  
30 length, wild-type Akt3 of the invention.

Akt3 derivatives can be made by altering encoding nucleic acid sequences by substitutions, additions or deletions that provide for functionally equivalent molecules. Preferably, derivatives are

made that have enhanced or increased functional activity relative to native Akt3.

Due to the degeneracy of nucleotide coding sequences, other DNA sequences which encode substantially the same amino acid sequence as a *akt3* gene, including an amino acid sequence that contains a single amino acid variant, may be used in the practice of the present invention. These include  
 5 but are not limited to allelic genes, homologous genes from other species, and nucleotide sequences comprising all or portions of *akt3* genes which are altered by the substitution of different codons that encode the same amino acid residue within the sequence, thus producing a silent change. Likewise, the Akt3 derivatives of the invention include, but are not limited to, those containing, as a primary amino acid sequence, all or part of the amino acid sequence of a Akt3 protein including altered sequences in  
 10 which functionally equivalent amino acid residues are substituted for residues within the sequence resulting in a conservative amino acid substitution. For example, one or more amino acid residues within the sequence can be substituted by another amino acid of a similar polarity, which acts as a functional equivalent, resulting in a silent alteration. Substitutes for an amino acid within the sequence may be selected from other members of the class to which the amino acid belongs. For example, the nonpolar  
 15 (hydrophobic) amino acids include alanine, leucine, isoleucine, valine, proline, phenylalanine, tryptophan and methionine. Amino acids containing aromatic ring structures are phenylalanine, tryptophan, and tyrosine. The polar neutral amino acids include glycine, serine, threonine, cysteine, tyrosine, asparagine, and glutamine. The positively charged (basic) amino acids include arginine, lysine and histidine. The negatively charged (acidic) amino acids include aspartic acid and glutamic acid. Such  
 20 alterations will not be expected to affect apparent molecular weight as determined by polyacrylamide gel electrophoresis, or isoelectric point.

Particularly preferred substitutions are:

- Lys for Arg and vice versa such that a positive charge may be maintained;
- Glu for Asp and vice versa such that a negative charge may be maintained;
- 25 - Ser for Thr such that a free -OH can be maintained; and
- Gln for Asn such that a free CONH<sub>2</sub> can be maintained.

Amino acid substitutions may also be introduced to substitute an amino acid with a particularly preferable property. For example, a Cys may be introduced a potential site for disulfide bridges with another Cys. A His may be introduced as a particularly "catalytic" site (*i.e.*, His can act as an acid or  
 30 base and is the most common amino acid in biochemical catalysis). Pro may be introduced because of its particularly planar structure, which induces  $\beta$ -turns in the protein's structure.

The genes encoding Akt3 derivatives and analogs of the invention can be produced by various

methods known in the art. The manipulations which result in their production can occur at the gene or protein level. For example, the cloned Akt3 gene sequence can be modified by any of numerous strategies known in the art (Sambrook et al., 1989, *supra*). The sequence can be cleaved at appropriate sites with restriction endonuclease(s), followed by further enzymatic modification if desired, isolated, and ligated *in vitro*. In the production of the gene encoding a derivative or analog of Akt3, care should be taken to ensure that the modified gene remains within the same translational reading frame as the Akt3 gene, uninterrupted by translational stop signals, in the gene region where the desired activity is encoded.

Additionally, the Akt3-encoding nucleic acid sequence can be mutated *in vitro* or *in vivo*, to create and/or destroy translation, initiation, and/or termination sequences, or to create variations in coding regions and/or form new restriction endonuclease sites or destroy preexisting ones, to facilitate further *in vitro* modification. Preferably, such mutations enhance the functional activity of the mutated Akt3 gene product. Any technique for mutagenesis known in the art can be used, including but not limited to, *in vitro* site-directed mutagenesis (Hutchinson, C., et al., 1978, J. Biol. Chem. 253:6551; Zoller and Smith, 1984, DNA 3:479-488; Oliphant et al., 1986, Gene 44:177; Hutchinson et al., 1986, Proc. Natl. Acad. Sci. U.S.A. 83:710), use of TAB® linkers (Pharmacia), etc. PCR techniques are preferred for site directed mutagenesis (see Higuchi, 1989, "Using PCR to Engineer DNA", in *PCR Technology: Principles and Applications for DNA Amplification*, H. Erlich, ed., Stockton Press, Chapter 6, pp. 61-70).

The identified and isolated gene can then be inserted into an appropriate cloning vector. A large number of vector-host systems known in the art may be used. Possible vectors include, but are not limited to, plasmids or modified viruses, but the vector system must be compatible with the host cell used. Examples of vectors include, but are not limited to, *E. coli*, bacteriophages such as lambda derivatives, or plasmids such as pBR322 derivatives or pUC plasmid derivatives, e.g., pGEX vectors, pmal-c, pFLAG, etc. The insertion into a cloning vector can, for example, be accomplished by ligating the DNA fragment into a cloning vector which has complementary cohesive termini. However, if the complementary restriction sites used to fragment the DNA are not present in the cloning vector, the ends of the DNA molecules may be enzymatically modified. Alternatively, any site desired may be produced by ligating nucleotide sequences (linkers) onto the DNA termini; these ligated linkers may comprise specific chemically synthesized oligonucleotides encoding restriction endonuclease recognition sequences. Recombinant molecules can be introduced into host cells via transformation, transfection, infection, electroporation, etc., so that many copies of the gene sequence are generated. Preferably, the

cloned gene is contained on a shuttle vector plasmid, which provides for expansion in a cloning cell, e.g., *E. coli*, and facile purification for subsequent insertion into an appropriate expression cell line, if such is desired. For example, a shuttle vector, which is a vector that can replicate in more than one type of organism, can be prepared for replication in both *E. coli* and *Saccharomyces cerevisiae* by linking  
5 sequences from an *E. coli* plasmid with sequences from the yeast 2 $\mu$  plasmid.

#### Expression of Akt3 Polypeptides

The nucleotide sequence coding for Akt3, or antigenic fragment, derivative or analog thereof, or a functionally active derivative, including a chimeric protein, thereof, can be inserted into an appropriate  
10 expression vector, i.e., a vector which contains the necessary elements for the transcription and translation of the inserted protein-coding sequence. Such elements are termed herein a "promoter." Thus, the nucleic acid of the invention is operationally associated with a promoter in an expression vector of the invention. Both cDNA and genomic sequences can be cloned and expressed under control of such regulatory sequences. An expression vector also preferably includes a replication origin.

15 The necessary transcriptional and translational signals can be provided on a recombinant expression vector, or they may be supplied by the native gene encoding Akt3 and/or its flanking regions.

Potential host-vector systems include but are not limited to mammalian cell systems infected with virus (e.g., vaccinia virus, adenovirus, etc.); insect cell systems infected with virus (e.g., baculovirus); microorganisms such as yeast containing yeast vectors; or bacteria transformed with  
20 bacteriophage, DNA, plasmid DNA, or cosmid DNA. The expression elements of vectors vary in their strengths and specificities. Depending on the host-vector system utilized, any one of a number of suitable transcription and translation elements may be used.

A recombinant Akt3 protein of the invention, or functional fragment, derivative, chimeric construct, or analog thereof, may be expressed chromosomally, after integration of the coding sequence  
25 by recombination. In this regard, any of a number of amplification systems may be used to achieve high levels of stable gene expression (See Sambrook et al., 1989, *supra*).

The cell into which the recombinant vector comprising the nucleic acid encoding Akt3 is cultured in an appropriate cell culture medium under conditions that provide for expression of Akt3 by the cell. Any of the methods previously described for the insertion of DNA fragments into a cloning  
30 vector may be used to construct expression vectors containing a gene consisting of appropriate transcriptional/translational control signals and the protein coding sequences. These methods may include *in vitro* recombinant DNA and synthetic techniques and *in vivo* recombination (genetic

recombination).

A nucleic acid encoding an Akt3 polypeptide may be operably linked and controlled by any regulatory region, *i.e.*, promoter/enhancer element known in the art. but these regulatory elements must be functional in the host target tumor selected for expression. The regulatory regions may comprise a promoter region for functional transcription in the host cell, as well as a region situated 3' of the gene of interest, and which specifies a signal for termination of transcription and a polyadenylation site. All these elements constitute an expression cassette.

Promoters that may be used in the present invention include both constitutive promoters and regulated (inducible) promoters. The promoter may be naturally responsible for the expression of the nucleic acid. It may also be from a heterologous source. In particular, it may be promoter sequences of eukaryotic or viral genes. For example, it may be promoter sequences derived from the genome of the cell which it is desired to infect. Likewise, it may be promoter sequences derived from the genome of a virus, such as adenovirus (E1A and MLP), cytomegalovirus, or Rous Sarcoma Virus. In addition, the promoter may be modified by addition of activating or regulatory sequences or sequences allowing a tissue-specific or predominant expression (enolase and GFAP promoters and the like). Moreover, when the nucleic acid does not contain promoter sequences, it may be inserted.

Some promoters useful for practice of this invention are ubiquitous promoters (*e.g.*, HPRT, vimentin, actin, tubulin), intermediate filament promoters (*e.g.*, desmin, neurofilaments, keratin, GFAP), therapeutic gene promoters (*e.g.*, MDR type, CFTR, factor VIII), tissue-specific promoters (*e.g.*, actin promoter in smooth muscle cells), promoters which are preferentially activated in dividing cells, promoters which respond to a stimulus (*e.g.*, steroid hormone receptor, retinoic acid receptor), tetracycline-regulated transcriptional modulators, cytomegalovirus (CMV) immediate-early, retroviral LTR, metallothionein, SV-40, adenovirus E1a, and adenovirus major late (MLP) promoters. Tetracycline-regulated transcriptional modulators and CMV promoters are described in WO 96/01313, US 5,168,062 and 5,385,839, the contents of which are incorporated herein by reference.

More specifically, expression of Akt3 protein may be controlled by any promoter/enhancer element known in the art, but these regulatory elements must be functional in the host selected for expression. Promoters which may be used to control gene expression include, but are not limited to, the SV40 early promoter region (Benoist and Chambon, 1981, Nature 290:304-310), the promoter contained in the 3' long terminal repeat of Rous sarcoma virus (Yamamoto, et al., 1980, Cell 22:787-797), the herpes thymidine kinase promoter (Wagner et al., 1981, Proc. Natl. Acad. Sci. U.S.A. 78:1441-1445), the regulatory sequences of the metallothionein gene (Brinster et al., 1982, Nature 296:39-42); prokaryotic

expression vectors such as the  $\beta$ -lactamase promoter (Villa-Komaroff, et al., 1978, Proc. Natl. Acad. Sci. U.S.A. 75:3727-3731), or the *tac* promoter (DeBoer, et al., 1983, Proc. Natl. Acad. Sci. U.S.A. 80:21-25); see also "Useful proteins from recombinant bacteria" in Scientific American, 1980, 242:74-94; promoter elements from yeast or other fungi such as the Gal 4 promoter, the ADC (alcohol dehydrogenase) promoter, PGK (phosphoglycerol kinase) promoter, alkaline phosphatase promoter; and the animal transcriptional control regions, which exhibit tissue specificity and have been utilized in transgenic animals: elastase I gene control region which is active in pancreatic acinar cells (Swift et al., 1984, Cell 38:639-646; Ornitz et al., 1986, Cold Spring Harbor Symp. Quant. Biol. 50:399-409; MacDonald, 1987, Hepatology 7:425-515); insulin gene control region which is active in pancreatic beta cells (Hanahan, 1985, Nature 315:115-122), immunoglobulin gene control region which is active in lymphoid cells (Grosschedl et al., 1984, Cell 38:647-658; Adames et al., 1985, Nature 318:533-538; Alexander et al., 1987, Mol. Cell. Biol. 7:1436-1444), mouse mammary tumor virus control region which is active in testicular, breast, lymphoid and mast cells (Leder et al., 1986, Cell 45:485-495), albumin gene control region which is active in liver (Pinkert et al., 1987, Genes and Devel. 1:268-276), alpha-fetoprotein gene control region which is active in liver (Krumlauf et al., 1985, Mol. Cell. Biol. 5:1639-1648; Hammer et al., 1987, Science 235:53-58), alpha 1-antitrypsin gene control region which is active in the liver (Kelsey et al., 1987, Genes and Devel. 1:161-171), beta-globin gene control region which is active in myeloid cells (Mogam et al., 1985, Nature 315:338-340; Kollias et al., 1986, Cell 46:89-94), myelin basic protein gene control region which is active in oligodendrocyte cells in the brain (Readhead et al., 1987, Cell 48:703-712), myosin light chain-2 gene control region which is active in skeletal muscle (Sani, 1985, Nature 314:283-286), and gonadotropic releasing hormone gene control region which is active in the hypothalamus (Mason et al., 1986, Science 234:1372-1378).

Expression vectors containing a nucleic acid encoding a Akt3 of the invention can be identified by five general approaches: (a) PCR amplification of the desired plasmid DNA or specific mRNA, (b) nucleic acid hybridization, (c) presence or absence of selection marker gene functions, (d) analyses with appropriate restriction endonucleases, and (e) expression of inserted sequences. In the first approach, the nucleic acids can be amplified by PCR to provide for detection of the amplified product. In the second approach, the presence of a foreign gene inserted in an expression vector can be detected by nucleic acid hybridization using probes comprising sequences that are homologous to an inserted marker gene. In the third approach, the recombinant vector/host system can be identified and selected based upon the presence or absence of certain "selection marker" gene functions (e.g.,  $\beta$ -galactosidase activity, thymidine kinase activity, resistance to antibiotics, transformation phenotype, occlusion body

formation in baculovirus, etc.) caused by the insertion of foreign genes in the vector. In another example, if the nucleic acid encoding Akt3 is inserted within the "selection marker" gene sequence of the vector, recombinants containing the Akt3 insert can be identified by the absence of the gene function. In the fourth approach, recombinant expression vectors are identified by digestion with appropriate  
 5 restriction enzymes. In the fifth approach, recombinant expression vectors can be identified by assaying for the activity, biochemical, or immunological characteristics of the gene product expressed by the recombinant, provided that the expressed protein assumes a functionally active conformation.

A wide variety of host/expression vector combinations may be employed in expressing the DNA sequences of this invention. Useful expression vectors, for example, may consist of segments of  
 10 chromosomal, non-chromosomal and synthetic DNA sequences. Suitable vectors include derivatives of SV40 and known bacterial plasmids, e.g., *E. coli* plasmids col EI, pCR1, pBR322, pMal-C2, pET, pGEX (Smith *et al.*, 1988, Gene 67:31-40), pMB9 and their derivatives, plasmids such as RP4; phage DNAs, e.g., the numerous derivatives of phage  $\lambda$ , e.g., NM989, and other phage DNA, e.g., M13 and filamentous single stranded phage DNA; yeast plasmids such as the 2 $\mu$  plasmid or derivatives thereof; vectors useful  
 15 in eukaryotic cells, such as vectors useful in insect or mammalian cells; vectors derived from combinations of plasmids and phage DNAs, such as plasmids that have been modified to employ phage DNA or other expression control sequences; and the like.

For example, in a baculovirus expression systems, both non-fusion transfer vectors, such as but not limited to pVL941 (*Bam*HI cloning site; Summers), pVL1393 (*Bam*HI, *Sma*I, *Xba*I, *Eco*RI, *Not*I, *Xma*III, *Bgl*II, and *Pst*I cloning site; Invitrogen), pVL1392 (*Bgl*II, *Pst*I, *Not*I, *Xma*III, *Eco*RI, *Xba*I, *Sma*I, and *Bam*HI cloning site; Summers and Invitrogen), and pBlueBacIII (*Bam*HI, *Bgl*II, *Pst*I, *Nco*I, and *Hind*III cloning site, with blue/white recombinant screening possible; Invitrogen), and fusion transfer  
 20 vectors, such as but not limited to pAc700 (*Bam*HI and *Kpn*I cloning site, in which the *Bam*HI recognition site begins with the initiation codon; Summers), pAc701 and pAc702 (same as pAc700, with different reading frames), pAc360 (*Bam*HI cloning site 36 base pairs downstream of a polyhedrin initiation codon; Invitrogen(195)), and pBlueBacHisA, B, C (three different reading frames, with *Bam*HI, *Bgl*II, *Pst*I, *Nco*I, and *Hind*III cloning site, an N-terminal peptide for ProBond purification, and blue/white recombinant screening of plaques; Invitrogen (220)) can be used.

Mammalian expression vectors contemplated for use in the invention include vectors with  
 30 inducible promoters, such as the dihydrofolate reductase (DHFR) promoter, e.g., any expression vector with a *DHFR* expression vector, or a *DHFR*/methotrexate co-amplification vector, such as pED (*Pst*I, *Sal*I, *Sba*I, *Sma*I, and *Eco*RI cloning site, with the vector expressing both the cloned gene and *DHFR*; see



Kaufman, *Current Protocols in Molecular Biology*, 16.12 (1991). Alternatively, a glutamine synthetase/methionine sulfoximine co-amplification vector, such as pEE14 (*Hind*III, *Xba*I, *Sma*I, *Sba*I, *Eco*RI, and *Bcl*I cloning site, in which the vector expresses glutamine synthase and the cloned gene; Celltech). In another embodiment, a vector that directs episomal expression under control of Epstein Barr Virus (EBV) can be used, such as pREP4 (*Bam*HI, *Sfi*I, *Xho*I, *Not*I, *Nhe*I, *Hind*III, *Nhe*I, *Pvu*II, and *Kpn*I cloning site, constitutive Rous Sarcoma Virus Long Terminal Repeat (RSV-LTR) promoter, hygromycin selectable marker; Invitrogen), pCEP4 (*Bam*HI, *Sfi*I, *Xho*I, *Not*I, *Nhe*I, *Hind*III, *Nhe*I, *Pvu*II, and *Kpn*I cloning site, constitutive human cytomegalovirus (hCMV) immediate early gene, hygromycin selectable marker; Invitrogen), pMEP4 (*Kpn*I, *Pvu*I, *Nhe*I, *Hind*III, *Not*I, *Xho*I, *Sfi*I, *Bam*HI cloning site, inducible methallothionein IIa gene promoter, hygromycin selectable marker; Invitrogen), pREP8 (*Bam*HI, *Xho*I, *Not*I, *Hind*III, *Nhe*I, and *Kpn*I cloning site, RSV-LTR promoter, histidinol selectable marker; Invitrogen), pREP9 (*Kpn*I, *Nhe*I, *Hind*III, *Not*I, *Xho*I, *Sfi*I, and *Bam*HI cloning site, RSV-LTR promoter, G418 selectable marker; Invitrogen), and pEBVHis (RSV-LTR promoter, hygromycin selectable marker, N-terminal peptide purifiable via ProBond resin and cleaved by enterokinase; Invitrogen). Selectable mammalian expression vectors for use in the invention include pRc/CMV (*Hind*III, *Bst*XI, *Not*I, *Sba*I, and *Apa*I cloning site, G418 selection; Invitrogen), pRc/RSV (*Hind*III, *Spe*I, *Bst*XI, *Not*I, *Xba*I cloning site, G418 selection; Invitrogen), and others. Vaccinia virus mammalian expression vectors (*see*, Kaufman, 1991, *supra*) for use according to the invention include but are not limited to pSC11 (*Sma*I cloning site, TK- and  $\beta$ -gal selection), pMJ601 (*Sal*I, *Sma*I, *Afl*I, *Nar*I, *Bsp*MI, *Bam*HI, *Apa*I, *Nhe*I, *Sac*II, *Kpn*I, and *Hind*III cloning site: TK- and  $\beta$ -gal selection), and pTKgptFIS (*Eco*RI, *Pst*I, *Sal*I, *Acc*I, *Hind*II, *Sba*I, *Bam*HI, and *Hpa*I cloning site, TK or XPRT selection).

Yeast expression systems can also be used according to the invention to express Akt3. For example, the non-fusion pYES2 vector (*Xba*I, *Sph*I, *Sho*I, *Not*I, *Gst*XI, *Eco*RI, *Bst*XI, *Bam*HI, *Sac*I, *Kpn*I, and *Hind*III cloning site; Invitrogen) or the fusion pYESHisA, B, C (*Xba*I, *Sph*I, *Sho*I, *Not*I, *Bst*XI, *Eco*RI, *Bam*HI, *Sac*I, *Kpn*I, and *Hind*III cloning site, N-terminal peptide purified with ProBond resin and cleaved with enterokinase; Invitrogen), to mention just two, can be employed according to the invention.

Once a particular recombinant DNA molecule is identified and isolated, several methods known in the art may be used to propagate it. Once a suitable host system and growth conditions are established, recombinant expression vectors can be propagated and prepared in quantity. As previously explained, the expression vectors which can be used include, but are not limited to, the following vectors or their derivatives: human or animal viruses such as vaccinia virus or adenovirus; insect viruses such as baculovirus; yeast vectors; bacteriophage vectors (*e.g.*, lambda), and plasmid and cosmid DNA vectors,

to name but a few.

In addition, a host cell strain may be chosen which modulates the expression of the inserted sequences, or modifies and processes the gene product in the specific fashion desired. Different host cells have characteristic and specific mechanisms for the translational and post-translational processing and modification of proteins. Appropriate cell lines or host systems can be chosen to ensure the desired modification and processing of the foreign protein expressed. Expression in yeast can produce a biologically active product. Expression in eukaryotic cells can increase the likelihood of "native" folding. Moreover, expression in mammalian cells can provide a tool for reconstituting, or constituting, Akt3 activity. Furthermore, different vector/host expression systems may affect processing reactions, such as proteolytic cleavages, to a different extent.

Vectors are introduced into the desired host cells by methods known in the art, *e.g.*, transfection, electroporation, microinjection, transduction, cell fusion, DEAE dextran, calcium phosphate precipitation, lipofection (lysosome fusion), use of a gene gun, or a DNA vector transporter (see, *e.g.*, Wu et al., 1992, *J. Biol. Chem.* 267:963-967; Wu and Wu, 1988, *J. Biol. Chem.* 263:14621-14624; Hartmut et al., Canadian Patent Application No. 2,012,311, filed March 15, 1990).

Soluble forms of the protein can be obtained by collecting culture fluid, or solubilizing inclusion bodies, *e.g.*, by treatment with detergent, and if desired sonication or other mechanical processes, as described above. The solubilized or soluble protein can be isolated using various techniques, such as polyacrylamide gel electrophoresis (PAGE), isoelectric focusing, 2-dimensional gel electrophoresis, chromatography (*e.g.*, ion exchange, affinity, immunoaffinity, and sizing column chromatography), centrifugation, differential solubility, immunoprecipitation, or by any other standard technique for the purification of proteins.

#### **Antibodies to Akt3**

According to the invention, an Akt3 polypeptide produced recombinantly or by chemical synthesis, and fragments or other derivatives or analogs thereof, including fusion proteins, may be used as an antigen or immunogen to generate antibodies. Preferably, the antibodies specifically bind human Akt3, but do not bind other forms of Akt. More preferably, the antibodies recognize an epitope within a peptide having the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys, or a substantially similar sequence.

A molecule is "antigenic" when it is capable of specifically interacting with an antigen recognition molecule of the immune system, such as an immunoglobulin (antibody) or T cell antigen

receptor. An antigenic polypeptide contains at least about 5, and preferably at least about 10, amino acids. An antigenic portion of a molecule can be that portion that is immunodominant for antibody or T cell receptor recognition, or it can be a portion used to generate an antibody to the molecule by conjugating the antigenic portion to a carrier molecule for immunization. A molecule that is antigenic  
5 need not be itself immunogenic, *i.e.*, capable of eliciting an immune response without a carrier.

Such antibodies include but are not limited to polyclonal, monoclonal, chimeric, single chain, Fab fragments, and an Fab expression library. The anti-Akt3 antibodies of the invention may be cross reactive, *e.g.*, they may recognize Akt3 from different species. Polyclonal antibodies have greater likelihood of cross reactivity. Alternatively, an antibody of the invention may be specific for a single  
10 form of Akt3, such as human Akt3. Preferably, such an antibody is specific for human Akt3.

Various procedures known in the art may be used for the production of polyclonal antibodies. For the production of antibody, various host animals can be immunized by injection with the Akt3 polypeptide, or a derivative (*e.g.*, fragment or fusion protein) thereof, including but not limited to rabbits, mice, rats, sheep, goats, etc. In one embodiment, the Akt3 polypeptide or fragment thereof can be  
15 conjugated to an immunogenic carrier, *e.g.*, bovine serum albumin (BSA) or keyhole limpet hemocyanin (KLH). Various adjuvants may be used to increase the immunological response, depending on the host species, including but not limited to Freund's (complete and incomplete), mineral gels such as aluminum hydroxide, surface active substances such as lysolecithin, pluronic polyols, polyanions, peptides, oil emulsions, keyhole limpet hemocyanins, dinitrophenol, and potentially useful human adjuvants such as  
20 BCG (*bacille Calmette-Guerin*) and *Corynebacterium parvum*.

For preparation of monoclonal antibodies directed toward the Akt3 polypeptide, or fragment, analog, or derivative thereof, any technique that provides for the production of antibody molecules by continuous cell lines in culture may be used. These include but are not limited to the hybridoma technique originally developed by Kohler and Milstein [*Nature* 256:495-497 (1975)], as well as the  
25 trioma technique, the human B-cell hybridoma technique [Kozbor et al., *Immunology Today* 4:72 (1983); Cote et al., *Proc. Natl. Acad. Sci. U.S.A.* 80:2026-2030 (1983)], and the EBV-hybridoma technique to produce human monoclonal antibodies [Cole et al., in *Monoclonal Antibodies and Cancer Therapy*, Alan R. Liss, Inc., pp. 77-96 (1985)]. In an additional embodiment of the invention, monoclonal antibodies can be produced in germ-free animals [International Patent Publication No. WO 89/12690, published 28  
30 December 1989]. In fact, according to the invention, techniques developed for the production of "chimeric antibodies" [Morrison et al., *J. Bacteriol.* 159:870 (1984); Neuberger et al., *Nature* 312:604-608 (1984); Takeda et al., *Nature* 314:452-454 (1985)] by splicing the genes from a mouse antibody

molecule specific for an Akt3 polypeptide together with genes from a human antibody molecule of appropriate biological activity can be used; such antibodies are within the scope of this invention. Such human or humanized chimeric antibodies are preferred for use in therapy of human diseases or disorders (described *infra*), since the human or humanized antibodies are much less likely than xenogenic antibodies to induce an immune response, in particular an allergic response, themselves.

According to the invention, techniques described for the production of single chain Fv (scFv) antibodies [U.S. Patent Nos. 5,476,786 and 5,132,405 to Huston; U.S. Patent 4,946,778] can be adapted to produce Akt3 polypeptide-specific single chain antibodies. An additional embodiment of the invention utilizes the techniques described for the construction of Fab expression libraries [Huse et al., *Science* 246:1275-1281 (1989)] to allow rapid and easy identification of monoclonal Fab fragments with the desired specificity for an Akt3 polypeptide, or its derivatives, or analogs.

Antibody fragments which contain the idiotype of the antibody molecule can be generated by known techniques. For example, such fragments include but are not limited to: the F(ab')<sub>2</sub> fragment which can be produced by pepsin digestion of the antibody molecule; the Fab' fragments which can be generated by reducing the disulfide bridges of the F(ab')<sub>2</sub> fragment, and the Fab fragments which can be generated by treating the antibody molecule with papain and a reducing agent.

In the production of antibodies, screening for the desired antibody can be accomplished by techniques known in the art, e.g., radioimmunoassay, ELISA (enzyme-linked immunosorbent assay), "sandwich" immunoassays, immunoradiometric assays, gel diffusion precipitin reactions, immunodiffusion assays, *in situ* immunoassays (using colloidal gold, enzyme or radioisotope labels, for example), western blots, precipitation reactions, agglutination assays (e.g., gel agglutination assays, hemagglutination assays), complement fixation assays, immunofluorescence assays, protein A assays, and immunoelectrophoresis assays, etc. In one embodiment, antibody binding is detected by detecting a label on the primary antibody. In another embodiment, the primary antibody is detected by detecting binding of a secondary antibody or reagent to the primary antibody. In a further embodiment, the secondary antibody is labeled. Many means are known in the art for detecting binding in an immunoassay and are within the scope of the present invention. For example, to select antibodies which recognize a specific epitope of an Akt3 polypeptide, one may assay generated hybridomas for a product which binds to an Akt3 polypeptide fragment containing such epitope. A preferred fragment comprises the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys. For selection of an antibody specific to an Akt3 polypeptide from a particular species of animal, one can select on the basis of positive binding with Akt3 polypeptide expressed by or isolated from cells of that species of animal.

The foregoing antibodies can be used in methods known in the art relating to the localization and activity of the Akt3 polypeptide, *e.g.*, for Western blotting, imaging Akt3 polypeptide *in situ*, measuring levels thereof in appropriate physiological samples, etc. using any of the detection techniques mentioned above or known in the art.

- 5 In a specific embodiment, antibodies that agonize or antagonize the activity of Akt3 polypeptide can be generated. Such antibodies can be tested using the assays described *infra* for identifying ligands. In particular, such antibodies can be scFv antibodies expressed intracellularly.

#### Gene Therapy and Transgenic Vectors

- 10 Death of cardiac myocytes through apoptosis and necrosis contributes to acute myocardial infarction and heart failure. Human Akt3 inhibits ASK1-induced and hypoxia-induced apoptosis and cell death. Therefore, the present invention includes gene therapy by the administration to a patient of a nucleic acid encoding a human Akt3 protein. In the case of acute myocardial infarction, gene therapy using Akt3 is expected to reduce the quantity of cell death and the final infarct size, thereby resulting in  
15 improved post-infarction function, improved quality of life and reduced mortality. In addition, reduced infarct size is expected to reduce the number of patients developing heart failure following infarction. In patients with existing heart failure, reducing the loss of myocytes by gene therapy with Akt-3 is expected to retard the process of ventricular dilation, slow disease progression, improve quality of life and reduce the need for hospitalization.

- 20 During acute myocardial infarction the process of ischemia-reperfusion injury results in cell death. Akt-3 inhibits cell death. Therefore, it is expected that Akt3 gene therapy will be an effective treatment for other disease states involving ischemia-reperfusion injury, including, but not limited to, myocardial ischemia reperfusion injury, stroke, liver damage, renal failure, organ transplantation (especially cardiac), and coronary artery bypass grafting. In addition, Akt-3 gene therapy is expected to  
25 be an effective treatment for other disease states involving cell death via apoptosis, including, but not limited to, Alzheimer's disease, liver degeneration and osteoarthritis.

The nucleic acids of the invention, where appropriate incorporated in vectors, and the pharmaceutical compositions containing them, may be used for the treatment of many pathologies. They may be used for the transfer and expression of genes *in vivo* in any type of tissue, especially the heart.

- 30 The treatment can, moreover, be targeted in accordance with the pathology to be treated (transfer to a particular tissue can, in particular, be determined by the choice of a vector, and expression by the choice of a particular promoter). The nucleic acids or vectors of the invention are advantageously used for the

production in humans or animals, *in vivo* and intracellularly, of proteins capable of acting specifically on various cell functions such as protection from hypoxia-induced cell death, apoptosis, myocardial infarction, necrosis, cell proliferation, synthesis of metabolites, protein synthesis, DNA replication and/or transcription, and the like? The present invention thus makes it possible to treat specifically, locally and effectively cell dysfunctions at the origin of or resulting from different pathologies, and especially involving apoptosis.

As discussed above, a "vector" is any means for the transfer of a nucleic acid according to the invention into a host cell. Preferred vectors are viral vectors, such as retroviruses, herpes viruses, adenoviruses, and adeno-associated viruses. Thus, a gene encoding an Akt3 protein or polypeptide domain fragment thereof is introduced *in vivo*, *ex vivo*, or *in vitro* using a viral vector or through direct introduction of DNA. Expression in targeted tissues can be effected by targeting the transgenic vector to specific cells, such as with a viral vector or a receptor ligand, or by using a tissue-specific promoter, or both.

Expression vectors of the invention can be used, as pointed out above, both to transfect cells for screening or biological testing of modulators of Akt3 activity, or for delivery of a *akt3* gene or *akt3* antisense gene *in vivo* or *ex vivo* for gene therapy, *e.g.*, to increase or decrease the level of Akt3 activity. A vector that expresses an anti-Akt3 scFv can also be introduced using the techniques discussed below.

Viral vectors commonly used for *in vivo* or *ex vivo* targeting and therapy procedures are DNA-based vectors and retroviral vectors. Methods for constructing and using viral vectors are known in the art [see, *e.g.*, Miller and Rosman, *BioTechniques* 7:980-990 (1992)]. Preferably, the viral vectors are replication defective, that is, they are unable to replicate autonomously in the target cell. In general, the genome of the replication defective viral vectors which are used within the scope of the present invention lack at least one region which is necessary for the replication of the virus in the infected cell. These regions can either be eliminated (in whole or in part), be rendered non-functional by any technique known to a person skilled in the art. These techniques include the total removal, substitution (by other sequences, in particular by the inserted nucleic acid), partial deletion or addition of one or more bases to an essential (for replication) region. Such techniques may be performed *in vitro* (on the isolated DNA) or *in situ*, using the techniques of genetic manipulation or by treatment with mutagenic agents. Preferably, the replication defective virus retains the sequences of its genome which are necessary for encapsulating the viral particles.

DNA viral vectors include an attenuated or defective DNA virus, such as but not limited to herpes simplex virus (HSV), papillomavirus, Epstein Barr virus (EBV), adenovirus, adeno-associated

virus (AAV), vaccinia virus, and the like. Defective viruses, which entirely or almost entirely lack viral genes, are preferred. Defective virus is not replication competent after introduction into a cell, and thus does not lead to a productive viral infection. Use of defective viral vectors allows for administration to cells in a specific, localized area, without concern that the vector can infect other cells. Thus, a specific tissue can be specifically targeted. Examples of particular vectors include, but are not limited to, a defective herpes virus 1 (HSV1) vector [Kaplitt et al., *Molec. Cell. Neurosci.* 2:320-330 (1991)], defective herpes virus vector lacking a glyco-protein L gene [Patent Publication RD 371005 A], or other defective herpes virus vectors [International Patent Publication No. WO 94/21807, published September 29, 1994; International Patent Publication No. WO 92/05263, published April 2, 1994]; an attenuated adenovirus vector, such as the vector described by Stratford-Perricaudet et al. [*J. Clin. Invest.* 90:626-630 (1992); see also La Salle et al., *Science* 259:988-990 (1993)]; and a defective adeno-associated virus vector [Samulski et al., *J. Virol.* 61:3096-3101 (1987); Samulski et al., *J. Virol.* 63:3822-3828 (1989); Lebkowski et al., *Mol. Cell. Biol.* 8:3988-3996 (1988)].

Preferably, for *in vivo* administration, an appropriate immunosuppressive treatment is employed in conjunction with the viral vector, e.g., adenovirus vector, to avoid immuno-deactivation of the viral vector and transfected cells. For example, immunosuppressive cytokines, such as interleukin-12 (IL-12), interferon- $\gamma$  (IFN- $\gamma$ ), or anti-CD4 antibody, can be administered to block humoral or cellular immune responses to the viral vectors [see, e.g., Wilson, *Nature Medicine* (1995)]. In addition, it is advantageous to employ a viral vector that is engineered to express a minimal number of antigens.

Naturally, the invention contemplates delivery of a vector that will express a therapeutically effective amount of Akt3 for gene therapy applications. The phrase "therapeutically effective amount" is used herein to mean an amount sufficient to reduce by at least about 15 percent, preferably by at least 50 percent, more preferably by at least 90 percent, and most preferably prevent, a clinically significant deficit in the activity, function and response of the host. Alternatively, a therapeutically effective amount is sufficient to cause an improvement in a clinically significant condition in the host.

#### *Adenovirus vectors*

In a preferred embodiment, the vector is an adenovirus vector. Adenoviruses are eukaryotic DNA viruses that can be modified to efficiently deliver a nucleic acid of the invention to a variety of cell types. Various serotypes of adenovirus exist. Of these serotypes, preference is given, within the scope of the present invention, to using type 2 or type 5 human adenoviruses (Ad 2 or Ad 5) or adenoviruses of animal origin (see WO94/26914). Those adenoviruses of animal origin which can be used within the

scope of the present invention include adenoviruses of canine, bovine, murine (example: Mav1, Beard et al., Virology 75 (1990) 81), ovine, porcine, avian, and simian (example: SAV) origin. Preferably, the adenovirus of animal origin is a canine adenovirus, more preferably a CAV2 adenovirus (e.g. Manhattan or A26/61 strain (ATCC VR-800), for example).

5 Preferably, the replication defective adenoviral vectors of the invention comprise the ITRs, an encapsidation sequence and the nucleic acid of interest. Still more preferably, at least the E1 region of the adenoviral vector is non-functional. The deletion in the E1 region preferably extends from nucleotides 455 to 3329 in the sequence of the Ad5 adenovirus (PvuII-BglII fragment) or 382 to 3446 (HinfII-Sau3A fragment). Other regions may also be modified, in particular the E3 region  
10 (WO95/02697), the E2 region (WO94/28938), the E4 region (WO94/28152, WO94/12649 and WO95/02697), or in any of the late genes L1-L5.

In a preferred embodiment, the adenoviral vector has a deletion in the E1 region (Ad 1.0). Examples of E1-deleted adenoviruses are disclosed in EP 185,573, the contents of which are incorporated herein by reference. In another preferred embodiment, the adenoviral vector has a deletion  
15 in the E1 and E4 regions (Ad 3.0). Examples of E1/E4-deleted adenoviruses are disclosed in WO95/02697 and WO96/22378, the contents of which are incorporated herein by reference. In still another preferred embodiment, the adenoviral vector has a deletion in the E1 region into which the E4 region and the nucleic acid sequence are inserted (see FR94 13355, the contents of which are incorporated herein by reference).

20 The replication defective recombinant adenoviruses according to the invention can be prepared by any technique known to the person skilled in the art (Levrero et al., Gene 101 (1991) 195; EP 185 573; Graham, EMBO J. 3 (1984) 2917). In particular, they can be prepared by homologous recombination between an adenovirus and a plasmid which carries, inter alia, the DNA sequence of interest. The homologous recombination is effected following cotransfection of the adenovirus and  
25 plasmid into an appropriate cell line. The cell line which is employed should preferably (i) be transformable by the said elements, and (ii) contain the sequences which are able to complement the part of the genome of the replication defective adenovirus, preferably in integrated form in order to avoid the risks of recombination. Examples of cell lines which may be used are the human embryonic kidney cell line 293 (Graham et al., J. Gen. Virol. 36 (1977) 59) which contains the left-hand portion of the genome  
30 of an Ad5 adenovirus (12%) integrated into its genome, and cell lines which are able to complement the E1 and E4 functions, as described in applications WO94/26914 and WO95/02697. Recombinant adenoviruses are recovered and purified using standard molecular biological techniques, which are well



known to one of ordinary skill in the art.

#### *Adeno-associated virus vectors*

The adeno-associated viruses (AAV) are DNA viruses of relatively small size which can  
5 integrate, in a stable and site-specific manner, into the genome of the cells which they infect. They are  
able to infect a wide spectrum of cells without inducing any effects on cellular growth, morphology or  
differentiation, and they do not appear to be involved in human pathologies. The AAV genome has been  
cloned, sequenced and characterised. It encompasses approximately 4700 bases and contains an inverted  
terminal repeat (ITR) region of approximately 145 bases at each end, which serves as an origin of  
10 replication for the virus. The remainder of the genome is divided into two essential regions which carry  
the encapsulation functions: the left-hand part of the genome, which contains the rep gene involved in  
viral replication and expression of the viral genes; and the right-hand part of the genome, which contains  
the cap gene encoding the capsid proteins of the virus.

The use of vectors derived from the AAVs for transferring genes *in vitro* and *in vivo* has been  
15 described (see WO 91/18088; WO 93/09239; US 4,797,368; US 5,139,941, EP 488 528). These  
publications describe various AAV-derived constructs in which the rep and/or cap genes are deleted and  
replaced by a gene of interest, and the use of these constructs for transferring the said gene of interest in  
vitro (into cultured cells) or in vivo, (directly into an organism). The replication defective recombinant  
AAVs according to the invention can be prepared by cotransfecting a plasmid containing the nucleic acid  
20 sequence of interest flanked by two AAV inverted terminal repeat (ITR) regions, and a plasmid carrying  
the AAV encapsulation genes (rep and cap genes), into a cell line which is infected with a human helper  
virus (for example an adenovirus). The AAV recombinants which are produced are then purified by  
standard techniques.

The invention also relates, therefore, to an AAV-derived recombinant virus whose genome  
25 encompasses a sequence encoding a nucleic acid encoding an Akt3 flanked by the AAV ITRs. The  
invention also relates to a plasmid encompassing a sequence encoding a nucleic acid encoding an Akt3  
flanked by two ITRs from an AAV. Such a plasmid can be used as it is for transferring the nucleic acid  
sequence, with the plasmid, where appropriate, being incorporated into a liposomal vector (pseudo-  
virus).

30

#### *Retrovirus vectors*

In another embodiment the gene can be introduced in a retroviral vector, *e.g.* as described in

Anderson et al., U.S. Patent No. 5,399,346; Mann et al., 1983, Cell 33:153; Temin et al., U.S. Patent No. 4,650,764; Temin et al., U.S. Patent No. 4,980,289; Markowitz et al., 1988, J. Virol. 62:1120; Temin et al., U.S. Patent No. 5,124,263; EP 453242, EP178220; Bernstein et al. Genet. Eng. 7 (1985) 235; McCormick, BioTechnology 3 (1985) 689; International Patent Publication No. WO 95/07358, published  
 5 March 16, 1995, by Dougherty et al.; and Kuo et al., 1993, Blood 82:845. The retroviruses are integrating viruses which infect dividing cells. The retrovirus genome includes two LTRs, an encapsulation sequence and three coding regions (*gag*, *pol* and *env*). In recombinant retroviral vectors, the *gag*, *pol* and *env* genes are generally deleted, in whole or in part, and replaced with a heterologous nucleic acid sequence of interest. These vectors can be constructed from different types of retrovirus, such as, HIV,  
 10 MoMuLV ("murine Moloney leukaemia virus" MSV ("murine Moloney sarcoma virus"), HaSV ("Harvey sarcoma virus"); SNV ("spleen necrosis virus"); RSV ("Rous sarcoma virus") and Friend virus. Defective retroviral vectors are disclosed in WO95/02697.

In general, in order to construct recombinant retroviruses containing a nucleic acid sequence, a plasmid is constructed which contains the LTRs, the encapsulation sequence and the coding sequence.  
 15 This construct is used to transfect a packaging cell line, which cell line is able to supply in trans the retroviral functions which are deficient in the plasmid. In general, the packaging cell lines are thus able to express the *gag*, *pol* and *env* genes. Such packaging cell lines have been described in the prior art, in particular the cell line PA317 (US4,861,719); the PsiCRIP cell line (WO90/02806) and the GP'envAm' 12 cell line (WO89/07150). In addition, the recombinant retroviral vectors can contain modifications  
 20 within the LTRs for suppressing transcriptional activity as well as extensive encapsulation sequences which may include a part of the *gag* gene (Bender et al., J. Virol. 61 (1987) 1639). Recombinant retroviral vectors are purified by standard techniques known to those having ordinary skill in the art.

Retroviral vectors can be constructed to function as infections particles or to undergo a single round of transfection. In the former case, the virus is modified to retain all of its genes except for those  
 25 responsible for oncogenic transformation properties, and to express the heterologous gene. Non-infectious viral vectors are prepared to destroy the viral packaging signal, but retain the structural genes required to package the co-introduced virus engineered to contain the heterologous gene and the packaging signals. Thus, the viral particles that are produced are not capable of producing additional virus.

30 Targeted gene delivery is described in International Patent Publication WO 95/28494, published October 1995.

## Non-viral vectors

Alternatively, the vector can be introduced *in vivo* by lipofection. For the past decade, there has been increasing use of liposomes for encapsulation and transfection of nucleic acids *in vitro*. Synthetic cationic lipids designed to limit the difficulties and dangers encountered with liposome mediated transfection can be used to prepare liposomes for *in vivo* transfection of a gene encoding a marker [Felgner, et al., *Proc. Natl. Acad. Sci. U.S.A.* 84:7413-7417 (1987); see Mackey, et al., *Proc. Natl. Acad. Sci. U.S.A.* 85:8027-8031 (1988); Ulmer et al., *Science* 259:1745-1748 (1993)]. The use of cationic lipids may promote encapsulation of negatively charged nucleic acids, and also promote fusion with negatively charged cell membranes [Felgner and Ringold, *Science* 337:387-388 (1989)]. Particularly useful lipid compounds and compositions for transfer of nucleic acids are described in International Patent Publications WO95/18863 and WO96/17823, and in U.S. Patent No. 5,459,127. The use of lipofection to introduce exogenous genes into the specific organs *in vivo* has certain practical advantages. Molecular targeting of liposomes to specific cells represents one area of benefit. It is clear that directing transfection to particular cell types would be particularly advantageous in a tissue with cellular heterogeneity, such as pancreas, liver, kidney, and the brain. Lipids may be chemically coupled to other molecules for the purpose of targeting [see Mackey, et al., *supra*]. Targeted peptides, e.g., hormones or neurotransmitters, and proteins such as antibodies, or non-peptide molecules could be coupled to liposomes chemically.

Other molecules are also useful for facilitating transfection of a nucleic acid *in vivo*, such as a cationic oligopeptide (e.g., International Patent Publication WO95/21931), peptides derived from DNA binding proteins (e.g., International Patent Publication WO96/25508), or a cationic polymer (e.g., International Patent Publication WO95/21931).

It is also possible to introduce the vector *in vivo* as a naked DNA plasmid (see U.S. Patents 5,693,622, 5,589,466 and 5,580,859). Naked DNA vectors for gene therapy can be introduced into the desired host cells by methods known in the art, e.g., transfection, electroporation, microinjection, transduction, cell fusion, DEAE dextran, calcium phosphate precipitation, use of a gene gun, or use of a DNA vector transporter [see, e.g., Wu et al., *J. Biol. Chem.* 267:963-967 (1992); Wu and Wu, *J. Biol. Chem.* 263:14621-14624 (1988); Hartmut et al., Canadian Patent Application No. 2,012,311, filed March 15, 1990; Williams et al., *Proc. Natl. Acad. Sci. USA* 88:2726-2730 (1991)]. Receptor-mediated DNA delivery approaches can also be used [Curiel et al., *Hum. Gene Ther.* 3:147-154 (1992); Wu and Wu, *J. Biol. Chem.* 262:4429-4432 (1987)]. Preferred naked DNA vectors include pCOR plasmids having a conditional origin of replication (see WO97/10343), and minicircle plasmids lacking an origin of

replication and a marker gene (see WO96/26270).

#### Pharmaceutical Compositions and Delivery

5 The present invention also relates to a pharmaceutical compositions. Such compositions may comprise an Akt protein or polypeptide or a nucleic acid encoding an Akt protein or polypeptide, as defined above, and a pharmaceutically acceptable carrier or vehicle. The compositions of the invention are particularly suitable for formulation of biological material for gene therapy. Thus, in a preferred embodiment, the composition comprises a nucleic acid encoding a human Akt3 protein or polypeptide.

10 Any vector, viral or non-viral, of the invention will preferably be introduced *in vivo* in a pharmaceutically acceptable vehicle or carrier. The phrase "pharmaceutically acceptable" refers to molecular entities and compositions that are physiologically tolerable and do not typically produce an allergic or similar untoward reaction, such as gastric upset, dizziness and the like, when administered to a human. Preferably, as used herein, the term "pharmaceutically acceptable" means approved by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other  
15 generally recognized pharmacopeia for use in animals, and more particularly in humans. The term "carrier" refers to a diluent, adjuvant, excipient, or vehicle with which the compound is administered. Such pharmaceutical carriers can be sterile liquids, such as water and oils, including those of petroleum, animal, vegetable or synthetic origin, such as peanut oil, soybean oil, mineral oil, sesame oil and the like. Water or aqueous solution saline solutions and aqueous dextrose and glycerol solutions are preferably  
20 employed as carriers, particularly for injectable solutions. Suitable pharmaceutical carriers are described in "Remington's Pharmaceutical Sciences" by E.W. Martin.

The pharmaceutical compositions of the invention may be formulated for the purpose of topical, oral, parenteral, intranasal, intravenous, intramuscular, subcutaneous, intraocular, and the like, administration.

25 Preferably, the pharmaceutical compositions contain pharmaceutically acceptable vehicles for an injectable formulation. These can be, in particular, sterile, isotonic saline solutions (monosodium or disodium phosphate, sodium, potassium, calcium or magnesium chloride, and the like, or mixtures of such salts), or dry, in particular lyophilized, compositions which, on addition, as appropriate, of sterilized water or of physiological saline, enable injectable solutions to be formed.

30 The compositions may be in particular isotonic, sterile, saline solutions (monosodium or disodium phosphate, sodium, potassium, calcium or magnesium chloride and the like or mixtures of such salts), or dry, especially freeze-dried compositions which upon addition, depending on the case, of

sterilized water or physiological saline, allow the constitution of injectable solutions.

The preferred sterile injectable preparations can be a solution or suspension in a nontoxic parenterally acceptable solvent or diluent. Examples of pharmaceutically acceptable carriers or vehicles are saline, buffered saline, isotonic saline (e.g., monosodium or disodium phosphate, sodium, potassium, 5 calcium or magnesium chloride, or mixtures of such salts), Ringer's solution, dextrose, water, sterile water, glycerol, ethanol, and combinations thereof. 1,3-butanediol and sterile fixed oils are conveniently employed as solvents or suspending media. Any bland fixed oil can be employed including synthetic mono- or di-glycerides. Fatty acids such as oleic acid also find use in the preparation of injectables.

The doses of nucleic acids of the invention, either alone or incorporated in a vector, used for 10 administration can be adjusted in accordance with different parameters, and in particular in accordance with the mode of administration used, the pathology in question, the gene to be expressed or the desired treatment period. Generally speaking, in the case of the recombinant viruses according to the invention, these are formulated and administered in the form of doses of between  $10^1$  and  $10^{14}$  pfu, and preferably  $10^6$  to  $10^{10}$  pfu. The term pfu (plaque forming unit) corresponds to the infectious power of a solution of 15 virus, and is determined by infection of a suitable cell culture and measurement, generally after 48 hours, of the number of infected cell plaques. The techniques of determination of the pfu titre of a viral solution are well documented in the literature.

The phrase "therapeutically effective amount" is used herein to mean an amount sufficient to reduce by at least about 15 percent, preferably by at least 50 percent, more preferably by at least 90 20 percent, and most preferably prevent, a clinically significant deficit in the activity, function and response of the host. Alternatively, a therapeutically effective amount is sufficient to cause an improvement in a clinically significant condition in the host.

The composition of the invention may be introduced parenterally or transmucosally, e.g., orally, nasally, or rectally, or transdermally. Preferably, administration is parenteral, e.g., via intravenous 25 injection, and also including, but is not limited to, intra-arteriole, intramuscular, intradermal, subcutaneous, intraperitoneal, intraventricular, and intracranial administration. The administration of the composition may introduced by injection directly into the site to be treated, in particular, into the heart.

The preferred route of administration to the heart is by direct injection into the heart (US Pat. 5,693,622). The heart can be imaged using any of the techniques available in the art, such as magnetic 30 resonance imaging or computer-assisted tomography, and the therapeutic composition administered by stereotactic injection, for example. Administration to the heart can also occur through the use of a catheter (US Pat. 5,851,521).

In yet another embodiment, a composition comprising a human Akt3 polypeptide, or nucleic acid encoding the polypeptide, can be delivered in a controlled release system. For example, the nucleic acid or polypeptide may be administered using intravenous infusion, an implantable osmotic pump, a transdermal patch, liposomes, or other modes of administration. In one embodiment, a pump may be used [see Langer, *supra*; Sefton, *CRC Crit. Rev. Biomed. Eng.* 14:201 (1987); Buchwald et al., *Surgery* 88:507 (1980); Saudek et al., *N. Engl. J. Med.* 321:574 (1989)]. In another embodiment, polymeric materials can be used [see *Medical Applications of Controlled Release*, Langer and Wise (eds.), CRC Press: Boca Raton, Florida (1974); *Controlled Drug Bioavailability, Drug Product Design and Performance*, Smolen and Ball (eds.), Wiley: New York (1984); Ranger and Peppas, *J. Macromol. Sci. Rev. Macromol. Chem.* 23:61 (1983); see also Levy et al., *Science* 228:190 (1985); During et al., *Ann. Neurol.* 25:351 (1989); Howard et al., *J. Neurosurg.* 71:105 (1989)]. In yet another embodiment, a controlled release system can be placed in proximity of the therapeutic target, *i.e.*, the heart, thus requiring only a fraction of the systemic dose [see, *e.g.*, Goodson, in *Medical Applications of Controlled Release, supra*, vol. 2, pp. 115-138 (1984)]. Other controlled release systems are discussed in the review by Langer [*Science* 249:1527-1533 (1990)].

Thus, the compositions of the invention can be delivered by intravenous, intraarterial, intraperitoneal, intramuscular, or subcutaneous routes of administration. Alternatively, the compositions, properly formulated, can be administered by nasal or oral administration. A constant supply of the biological material can be ensured by providing a therapeutically effective dose (*i.e.*, a dose effective to induce metabolic changes in a subject) at the necessary intervals, *e.g.*, daily, every 12 hours, etc. These parameters will depend on the severity of the disease condition being treated, other actions, such as diet modification, that are implemented, the weight, age, and sex of the subject, and other criteria, which can be readily determined according to standard good medical practice by those of skill in the art.

An organism in whom administration of a biological material within the scope of the invention is administered is preferably a human, but can be any animal. Thus, as can be readily appreciated by one of ordinary skill in the art, the methods and pharmaceutical compositions of the present invention are particularly suited to administration to any animal, particularly a mammal, and including, but by no means limited to, domestic animals, such as feline or canine subjects, farm animals, such as but not limited to bovine, equine, caprine, ovine, and porcine subjects, wild animals (whether in the wild or in a zoological garden), research animals, such as mice, rats, rabbits, goats, sheep, pigs, dogs, cats, etc., avian species, such as chickens, turkeys, songbirds, etc., *i.e.*, for veterinary medical use.

### Screening Assays

Identification and isolation of a gene encoding an Akt3 protein of the invention provides for expression of Akt3 in quantities greater than can be isolated from natural sources, or in indicator cells that are specially engineered to indicate the activity of Akt3 expressed after transfection or transformation of the cells. Accordingly, in addition to rational design of agonists and antagonists based on the structure of Akt3 polypeptide, the present invention contemplates an alternative method for identifying specific ligands of Akt3 using various screening assays known in the art.

Akt3 protects cells from apoptosis. Therefore, agonists of Akt3 which enhance its ability to inhibit apoptosis will be expected to improve its activity during treatment of patients suffering from myocardial infarction or ischemia-reperfusion injury. On the other hand, increased cell survival is a factor for tumor development, and, therefore, may contribute to tumor formation and/or progression. Therefore, inhibitors of Akt3 activity are expected to decrease tumor cell survival and result in tumor regression.

Any screening technique known in the art can be used to screen for Akt3 agonists or antagonists. For example, a suitable cell line expressing both human Akt3 and ASK1, such as human embryonic kidney HEK293 cells, can be transfected with a nucleic acid encoding a marker gene, such as  $\beta$ -galactosidase. Cells are then exposed to a test solution comprising an agonist or antagonist, and then stained for  $\beta$ -galactosidase activity. The presence of more  $\beta$ -gal positive cells relative to control cells not exposed to the test solution is an indication of the presence of an Akt3 agonist in the test solution. Conversely, the presence of less  $\beta$ -gal positive cells relative to control cells not exposed to the test solution is an indication of the presence of an Akt3 antagonist in the test solution.

The present invention contemplates screens for small molecule ligands or ligand analogs and mimics, as well as screens for natural ligands that bind to and agonize or antagonize Akt3 *in vivo*. For example, natural products libraries can be screened using assays of the invention for molecules that agonize or antagonize Akt3 activity.

Knowledge of the primary sequence of Akt3, and the similarity of that sequence with proteins of known function, can provide an initial clue as the inhibitors or antagonists of the protein. Identification and screening of antagonists is further facilitated by determining structural features of the protein, *e.g.*, using X-ray crystallography, neutron diffraction, nuclear magnetic resonance spectrometry, and other techniques for structure determination. These techniques provide for the rational design or identification of agonists and antagonists.

Another approach uses recombinant bacteriophage to produce large libraries. Using the "phage

- method" [Scott and Smith, 1990, *Science* 249:386-390 (1990); Cwirla, et al., *Proc. Natl. Acad. Sci.*, 87:6378-6382 (1990); Devlin et al., *Science*, 249:404-406 (1990)], very large libraries can be constructed ( $10^5$ - $10^8$  chemical entities). A second approach uses primarily chemical methods, of which the Geysen method [Geysen et al., *Molecular Immunology* 23:709-715 (1986); Geysen et al., *J. Immunologic Method* 5 102:259-274 (1987)] and the method of Fodor et al. [*Science* 251:767-773 (1991)] are examples. Furka et al. [*14th International Congress of Biochemistry, Volume 5*, Abstract FR:013 (1988); Furka, *Int. J. Peptide Protein Res.* 37:487-493 (1991)], Houghton [U.S. Patent No. 4,631,211, issued December 1986] and Rutter et al. [U.S. Patent No. 5,010,175, issued April 23, 1991] describe methods to produce a mixture of peptides that can be tested as agonists or antagonists.
- 10 In another aspect, synthetic libraries [Needels et al., *Proc. Natl. Acad. Sci. USA* 90:10700-4 (1993); Ohlmeyer et al., *Proc. Natl. Acad. Sci. USA* 90:10922-10926 (1993); Lam et al., International Patent Publication No. WO 92/00252; Kocis et al., International Patent Publication No. WO 9428028, each of which is incorporated herein by reference in its entirety], and the like can be used to screen for Akt3 ligands according to the present invention.
- 15 The screening can be performed with recombinant cells that express the Akt3, or alternatively, using purified protein, e.g., produced recombinantly, as described above. For example, labeled, soluble Akt3 can be used to screen libraries, as described in the foregoing references.
- In one embodiment, Akt3 may be directly labeled. In another embodiment, a labeled secondary reagent may be used to detect binding of an Akt3 to a molecule of interest, e.g., a molecule attached to a solid phase support. Binding may be detected by *in situ* formation of a chromophore by an enzyme label. 20 Suitable enzymes include, but are not limited to, alkaline phosphatase and horseradish peroxidase. In a further embodiment, a two color assay, using two chromogenic substrates with two enzyme labels on different acceptor molecules of interest, may be used. Cross-reactive and singly-reactive ligands may be identified with a two-color assay.
- 25 Other labels for use in the invention include colored latex beads, magnetic beads, fluorescent labels (e.g., fluorescein isothiocyanate (FITC), phycoerythrin (PE), Texas red (TR), rhodamine, free or chelated lanthanide series salts, especially  $\text{Eu}^{3+}$ , to name a few fluorophores), chemiluminescent molecules, radio-isotopes, or magnetic resonance imaging labels. Two color assays may be performed with two or more colored latex beads, or fluorophores that emit at different wavelengths. Labeled may 30 be detected visually or by mechanical/optical means. Mechanical/optical means include fluorescence activated sorting, *i.e.*, analogous to FACS, and micromanipulator removal means.



As exemplified herein, the level of the Akt3 protein can be evaluated by metabolic labeling of the proteins. As the metabolic labeling occurs during *in vitro* incubation of the tissue biopsy in the presence of culture medium supplemented with [<sup>35</sup>S]-methionine, the level of each of the markers detected may be affected by the *in vitro* conditions. In addition to metabolic (or biosynthetic) labeling with [<sup>35</sup>S]-methionine, the invention further contemplates labeling with [<sup>14</sup>C]-amino acids and [<sup>3</sup>H]-amino acids (with the tritium substituted at non-labile positions). Thus, a sample or library of compounds can be directly analyzed after labeling of the proteins therein, *e.g.*, by colorimetric staining using silver, gold, coomassie blue, or amido-schwartz, to mention a few techniques; isotopic labeling, *e.g.*, with [<sup>32</sup>P]-orthophosphate, [<sup>125</sup>I], [<sup>131</sup>I]; fluorescent or chemiluminescent tags; and immunological detection with labeled antibody or specific binding partner of a marker.

The present invention may be better understood by reference to the following non-limiting Examples, which are provided as exemplary of the invention.

#### EXAMPLES

##### General molecular biology techniques

The methods traditionally used in molecular biology, such as preparative extractions of plasmid DNA, centrifugation of plasmid DNA in a caesium chloride gradient, agarose or acrylamide gel electrophoresis, purification of DNA fragments by electroelution, protein extraction with phenol or phenol/chloroform, ethanol or isopropanol precipitation of DNA in a saline medium, transformation in *Escherichia coli*, and the like, are well known to a person skilled in the art and are amply described in the literature [Maniatis T. et al., "Molecular Cloning, a Laboratory Manual". Cold Spring Harbor Laboratory, Cold Spring Harbor, N.Y., 1982; (2<sup>nd</sup> Ed. 1989); Ausubel F.M. et al. (eds), "Current Protocols in Molecular Biology", John Wiley & Sons, New York, 1987].

Conventional cloning vehicles include pBR322 and pUC type plasmids and phages of the M13 series. These may be obtained commercially (Bethesda Research Laboratories).

For ligation, DNA fragments may be separated according to their size by agarose or acrylamide gel electrophoresis, extracted with phenol or with a phenol/chloroform mixture, precipitated with ethanol and then incubated in the presence of phage T4 DNA ligase (Biolabs) according to the supplier's recommendations.

The filling in of 5' protruding ends may be performed with the Klenow fragment of *E. coli* DNA polymerase I (Biolabs) according to the supplier's specifications. The destruction of 3' protruding ends is

performed in the presence of phage T4 DNA polymerase (Biolabs) used according to the manufacturer's recommendations. The destruction of 5' protruding ends is performed by a controlled treatment with S1 nuclease.

5 Mutagenesis directed *in vitro* by synthetic oligodeoxynucleotides may be performed according to the method developed by Taylor et al. [Nucleic Acids Res. 13 (1985) 8749-8764] using the kit distributed by Amersham.

The enzymatic amplification of DNA fragments by PCR [Polymerase-catalyzed Chain Reaction, Saiki R.K. et al., Science 230 (1985) 1350-1354; Mullis K.B. and Faloona F.A., Meth. Enzym. 155 (1987) 335-350] technique may be performed using a "DNA thermal cycler" (Perkin Elmer Cetus) according to the manufacturer's specifications.

10 Verification of nucleotide sequences may be performed by the method developed by Sanger et al. [Proc. Natl. Acad. Sci. USA. 74 (1977) 5463-5467] using the kit distributed by Amersham.

Plasmid DNAs may be purified by the Qiagen Plasmid Purification System according to the manufacture's instruction.

15

#### Example 1: Cloning of Human Akt3

This example describes the cloning of a nucleic acid encoding Akt3 protein.

##### 20 Example 1.1: cDNA library screening for Akt3

A data base search revealed that one human cDNA clone contains a stretch of human cDNA sequence that is homologous to, but different from human Akt1 and Akt2. To isolate the full length coding sequence of this previously unknown human Akt isoform (herein named human Akt3), a human heart cDNA library was screened with cDNA probe corresponding to the 5'-UTR and coding region for the N-terminal human Akt3.

25 A human cDNA clone (ID# 479072) was purchased (Genome System Inc.). One fragment of this DNA, which covers part of the 5'-UTR (untranslated region) and part of the 5'-coding sequence of human Akt3, was amplified by polymerase chain reaction (PCR) using the following primers: AKT3-5'UTR-F3 (5' TCC AAA CCC TAA AGC TGA TAT CAC 3'; SEQ ID NO:3) and AKT3-C-R1 (5' CCT GGA TAG CTT CTG TCC ATT C 3'; SEQ ID NO:4). A cDNA probe was labeled with [ $\alpha$ -<sup>32</sup>P]dCTP using a Random Primer DNA labeling kit (Boehringer Mannheim) according to the manufacture's instructions. The probe was purified using a Bio-Rad chromatography spin column according to the

manufacture's instruction.

Over one million phage clones were initially used for cDNA phage library screening (Clontech, Cat# HL5027t). Host cells XL1-B were inoculated at 37°C overnight in LB media (supplemented with 20 mg/ml tetracycline, 0.2% maltose and 10mM MgCl<sub>2</sub>). Phage infection and membrane lifting were carried out as described in Maniatis, 1989. Membranes were denatured, renatured and baked, then pre-hybridized with hybridization solution for 4 hours at 65°C. A denatured form of the p32-labeled probe (heat denatured for 10 minutes) was added to the membranes for overnight hybridization. After hybridization, membranes were serially washed with 2XSSC/0.1%SDS, 1XSSC/0.1%SDS, and 0.5XSSC/0.1%SDS at 65°C. Membranes were air-dried and exposed to Kodak X-ray films. After this primary screening, positive clones were selected for secondary and tertiary screening. Resulting positive phages were purified, and phage DNA converted into plasmid DNA using BM25.8-25 host cells according to the manufacture's (Boehringer Mannheim) instructions.

Two positive clones were chosen for complete sequencing and further characterization. One of these clones (clone #9) comprises part of the 5'-UTR and the N-terminal coding sequence (aa 1 to 127) of human Akt3. A second clone (clone #1) comprises most of the human Akt3 sequence (aa 15 to the C-terminus) and 3'-UTR. A full length cDNA sequence was formed by the fusion of these two partial sequences. A complete sequence encoding a human Akt3 is shown in SEQ ID NO:1. The corresponding amino acid sequence is shown in SEQ ID NO:2. Alignment of the human Akt3 sequence with the rat Akt3 sequence is shown in Figure 1A. Alignment of the human Akt3 sequence with those of human Akt1 and Akt2 is shown in Figure 1B.

Importantly, Akt3 is shorter than Akt1 and Akt2, and there is no significant homology between Akt2 and Akt1 or Akt2 at the C-terminus of the molecules. In particular, the last 14 amino acids in the C-terminal portion of human Akt-3 are different from those present in human Akt1 and Akt2. Significantly, Ser473 in the C-terminus of Akt1 is critical for its regulation (Stokeo et al. 1997, Stephens et al. 1998). Upon growth factor stimulation, the activity of PI3K is activated. The product of PI3K, PtdIns(3,4,5)-P binds Akt1, resulting in translocation of Akt1 from the cytoplasm to a location proximal to the inner cytoplasmic membrane, where it is phosphorylated at threonine residue 308 and serine 473 (Downward, 1998). Phosphorylation of these residues are critical for the activation of Akt1. A recently identified protein kinase, PDK1, is responsible for phosphorylation of Thr308. However, the kinase(s) which phosphorylates Ser473 has not yet been identified (Stokeo et al. 1997, Stephens et al. 1998). Human Akt3 lacks Ser473 indicating a different phosphorylation pattern and, therefore, different regulation of Akt3 activity.

*Example 1.2: Determination of Akt3 tissue distribution by Northern blot analysis*

To test the expression pattern of Akt3, a multiple human tissue mRNA blot was hybridized with a p32-labeled cDNA probe derived from the specific 5'-UTR of human Akt3. The human multiple tissue mRNA blot was purchased from Clontech. A fragment of human Akt-3 cDNA corresponding to the 5'-UTR was PCR amplified by using the following primers: Akt3-5'UTR-F1 (5' - TTT CGG AGG CTC TAG TTT GGT G - 3'; SEQ ID NO:15), and Akt3-5' UTR-R1 (5' - CCC AAC TTG GAG AAA TGG TAC - 3'; SEQ ID NO:16). Fifty nanograms of cDNA were labeled using a random primed DNA labeling kit (Boehringer Mannheim) according to the manufacture's instruction. Hybridization was carried out according to the manufacture's instruction using a hybridizing blot with purified and boiled probe at 68°C for 1 hour (Clontech). After hybridization, the blot was washed two times in solution 1 (2XSSC, 0.05%SDS) at room temperature (20 minutes for each wash). Then the blot was washed in solution 2 (0.1% SSC, 0.1%SDS) at 50°C for 30 minutes. After washing, the blot was exposed to Kodak X-ray film overnight at -80°C. The results demonstrate that Akt3 is ubiquitously expressed, with the highest level of expression observed in the heart (Figure 2).

**Example 2: Construction of Akt3 expression plasmids**

The activity of PI3K is induced upon serum or growth factor stimulation. Activated PI3K convert PI3 into PI3-P, which binds to the AH/PH domain of Akt1, and induces the translocation of Akt1 from the cytoplasm to the cytoplasmic membrane (Downward 1998, Alessi et al. 1996), where Akt is phosphorylated and activated further by PDK1 (Stokoe et al. 1997, Stephens et al. 1998). Akt fused to the myristylation sequence of v-Src (for membrane localization) at the N-terminus leads to membrane localization of the Myr-Akt mutant. This membrane located Akt is constitutively active (Kulik et al, 1997), and inhibits apoptosis induced by various stimuli.

This example describes the construction of an expression plasmid for activated Akt3. First two partial cDNA clones (clone #1 and clone #9, described above) were fused to obtain a full length AKT3 coding sequence. A DNA comprising the human Src myristylation sequence was fused to the N-terminus of the full length Akt3 sequence. An HA-tag sequence was fused to the C-terminus of the full length Akt3 sequence (for detection of expression). The sequence for this chimeric MyrAkt3HA was placed under the control of a CMV promoter. The complete construct is called CMV6-MyrAkt3HA (Figure 2A).

*Example 2.1: CMV6-MyrAktHA*

This example describes the construction of plasmids capable of expressing Akt3 and a constitutively active form of human Akt3. A full length Akt3 coding sequence was obtained by PCR amplification of clone #1 using the following primers: hAKT3c19-PCR5(F): (5'- ATG AGC GAT GTT ACC ATT GTG AAA GAA GGT TGG GTT CAG AAG AGG GGA GAA TAT ATA AAA AAC TGG AGG CCA AG - 3'; SEQ ID NO:5), which contains the coding sequence of the first 24 amino acids of Akt3, and hAKT3 c11-PCR3 (R): (5' - TTA TTT TTT CCA GGT ACC CAG CAT GCC - 3'; SEQ ID NO:6).

To make the constitutively active Akt3 form, the coding sequence of full length Akt3 was PCR amplified by using the following primers: MyrAKT3Ha-F1(5' - GCG CGC GAA TTC CCA CCA TGG GTA GCA ACA AGA GCA AGC CCA AGG ATG CCA GCC AGC GCC GCC GCA GCA GCG ATG TTA CCA TTG TGA AAG - 3'; SEQ ID NO:7), which contains the Kozak sequence (CCACC), the myristylation sequence from human *src* (underlined) and the first 8 amino acids of human Akt3 (in bold), and MyrAKT3Ha-R (5' - GCG CGC GGG CCC TTA GGC GTA GTC GGG GAC GTC GTA CGG GTA TTT TTT CCA GTT ACC CAG CAT GCC - 3'; SEQ ID NO:8), which contains the coding sequence of an HA tag (in bold). The PCR product was digested with EcoR I/Apa I and subcloned into the EcoR I/Apa I sites of pCDNA3.1 producing pCDNA3-Myr-Akt-HA. The coding sequence of MyrAktHA was also PCR amplified and subcloned into the Kpn I/ EcoR I sites of the vector CMV6. The primers used for PCR reaction were: CMV6-AKT3cat-F (5' - CGG GGT ACC ACC ATG GGT AGC AAC AAG AGC AAG CCC AAG GAT GCC AGC CAG - 3'; SEQ ID NO:9), and CMV6-AKT3cat-R (5' - CCG GAA TTC TTA GGC GTA GTC GGG GAC GTC - 3'; SEQ ID NO:10). The plasmid was verified by sequencing.

*Example 2.2: Expression of Human AKT3*

This example describes the expression of human AKT3 in tissue culture. HEK293 cells and COS-7 cells were maintained in DME media supplemented with 10% fetal bovine serum (FBS). Cells were grown in 37°C, 5%CO<sub>2</sub> incubator.

The plasmid CMV6-[MyrAkt3HA] was transiently transfected into HEK293 cells. As a control, HEK293 cells were transfected with the CMV6 vector. One day prior to either transfection, cells were split to a density of  $0.2 \times 10^6/\text{Cm}^2$ . Transfections were carried out using LipofectAmine (Gibco BRL) according to the manufacture's instruction. Briefly, DNA was mixed in DME media (without serum or antibiotics). LipofectAmine was added (DNA:LipofectAmine =1mg : 4ml). After brief mixing, the

DNA/LipofectAmine mixture was kept at room temperature for 30 minutes. Cells were washed with 1xPBS, and exposed to the DNA/LipofectAmine mixture for 3 hours. After transfection, cells were washed two times with 1xPBS and switched to DMEM-10%FBS media.

Twenty-four hours after transfection, cells were lysed. Lysates were immunoprecipitated with anti-HA antibodies, and the kinase activity of the immunopellets was determined using peptides derived from GSK-3, a downstream target for Akt1 (Cross et al. 1995). *In vitro* kinase assays for Akt were carried out according to Cross et al (Cross et al. 1995) 24 hours post-transfection. Cells were washed twice in 1xPBS solution, and lysed in lysis buffer (50mM Tris/HCl, pH 7.4, 1mM EDTA, 1mM EGTA, 0.5mM Na<sub>3</sub>VO<sub>4</sub>, 0.1%  $\beta$ -mercaptoethanol, 1% Triton X-100, 50mM NaF, 5mM Sodium pyrophosphate, 10mM sodium glycerophosphate, 0.5mM PMSF, 2ug/ml aprotinin, 2mg/ml leupeptin, and 1mM microcystin). Insoluble materials were cleared by centrifugation at 4°C for 15 minutes. Cell lysates were incubated with polyclonal anti-HA antibodies (BABC0) for 1 hour at 4°C while on a rotating platform. Protein A-Agarose beads were added to lysates for 1 hour. After immunoprecipitation, pellets were washed three times with washing solution A (lysis buffer supplemented with 0.5M NaCl), three times with washing solution B (50mM Tris/HCl, pH7.4, 0.03% Brij35, 0.1mM EGTA and 0.1%  $\beta$ -mercaptoethanol), and three times with kinase buffer (20mM MOPS, pH7.2, 25mM sodium  $\beta$ -glycerophosphate pH7.0, 1mM Na<sub>3</sub>VO<sub>4</sub>, 1mM DTT). After washing, pellets were resuspended in 40 $\mu$ l kinase reaction mixture [100mM ATP, 0.1mg/ml Crosstide substrate peptide (UBI), 20mM MgCl<sub>2</sub>, 10mM protein kinase A inhibitor/PKI (UBI), and 10mCi (g-32P)-ATP]. Reactions were carried out at 30°C for 30 minutes. After completion of the reactions, mixtures were briefly centrifuged, and 30 $\mu$ l of the supernatant was loaded onto a p81 nitrocellulose paper circle (Gibco BRL). Nitrocellulose papers were washed three times with 180mM phosphoric acid (10 minutes for each washing), and two times with acetone (2 minutes for each washing). The radioactivity of the paper was monitored by Scintillation Counting Machine. Kinase activity present in CMV6[MyrAkt3HA] transfected samples was 20 times higher than that present in cells transfected with the control vector CMV6, which is similar to the background level observed for this assay (Figure 2B).

To test the expression of MyrAkt3HA in transfected cells, lysates prepared from transfected cells were subjected to immunoblotting with anti-HA antibodies. Cell lysates were prepared as described above, and electrophoresed on SDS polyacrylamide gels. Proteins were transferred to nitrocellulose membranes, which was then treated with blocking solution (1xPBS, 0.2% Tween 20, 5% non-fat dry milk) overnight at 4°C. Membranes were incubated with mouse monoclonal anti-HA antibodies (1:500 dilution in blocking solution) for 3 hours at room temperature. After washing three times with blocking

solution (15 minutes each), membranes were incubated with HRP-conjugated rabbit anti-mouse IgG antibodies (1:1000 dilution in blocking solution) for 1 hour at room temperature. After washing three times in blocking solution (10 minutes each) and three times in 1xPBS supplemented with 0.2% Tween 20, membranes were developed in ECL (PIERCE) according to the manufacture's instruction, and exposed to Kodak X-ray film. As shown in Figure 2C, a strong ~60KD band (similar to the size of MyrAkt1HA, data not shown) is present in CMV6-[MyrAkt3HA] transfected samples, but not in CMV6 transfected samples (negative control). Taken together, these data demonstrate that transfection with CMV6-[MyrAkt3HA] results in functional Akt activity.

#### 10 Example 3: Cloning of Human ASK1

This example describes the cloning of a nucleic acid encoding human ASK1 protein. A full length cDNA clone of ASK1 was obtained by screening a human heart cDNA phage library. The probe used for the screening was a fragment of Clone #26237 (Image Consortium) obtained by digestion of pT7T3d with EcoRI/NotI. Library screening, plaque purification and conversion of phage DNA into plasmid DNA were carried out as described above. Clone #4 contained most of the coding sequence of ASK1 (from amino acid 150 to amino acid 1376), and is hereinafter referred to as ASK1-full length. ASK1-full length was PCR amplified by using the following primers: ASK1-Clone#4F(5' - AAG GGC CGC CAG TGT GCT GGA GAG ATG AGC GAT GCC TTC - 3'; SEQ ID NO:11), and Ask1-clone#4R (5' - CCC TCT AGA TGC TCA TTC TGC ATT TGA TCC AGC TG - 3'; SEQ ID NO:12). The PCR product was purified and subcloned into the BstXI/XbaI sites of the vector pCDNA3-nHA. The correct plasmid, designated pCDNA3-HA-ASK1(FL), was verified by sequencing. Ichijo et al. (1997) also describes the cloning and the sequence of human ASK1.

#### 25 Example 4: Inhibition of ASK1-induced cell death

Overexpression of apoptosis stimulating kinase 1 (ASK1) leads to apoptotic cell death (Ichijo et al. 1997). This example demonstrates that expression of human Akt3 inhibits cell death induced by ASK1. A CMV-β-gal plasmid was cotransfected into human embryonic kidney HEK293 cells with the expression plasmid for ASK1 (pCDNA3-HA-ASK1FL), either alone or in combination with the expression plasmid for Akt3 (CMV6-MyrAkt3HA). Two days after transfection, cells were stained for β-galactosidase activity according to the following protocol. Cells were washed three times with 1xPBS

(Mg<sup>2+</sup> and Ca<sup>2+</sup> free), and fixed in a solution of 3% formaldehyde in PBS for 10 minutes at room temperature. Fixed cells were washed three times with 1xPBS, then stained overnight in a moisture chamber at 37°C with a solution of 4mM potassium ferrocyanide, 4mM potassium ferricyanide, 4mM MgCl<sub>2</sub>, 400mg/ml X-Gal in PBS. The stained cells were washed three times with 1xPBS, and preserved in 70% glycerol. β-gal positive cells were counted under light microscope.

As shown in Figure 3, transfection with the ASK1 expression plasmid (in the absence of the Akt3 expression plasmid) leads to dramatic decrease in β-gal positive cells. However, cotransfection with the Akt3 expression plasmid significantly inhibits cell death induced by ASK1 as measured by the presence of β-gal positive cells. Taken together, these data demonstrate that activated Akt3 prevents cell death induced by ASK1. The anti-apoptotic activity of Akt3 combined with its high expression in cardiac tissue supports its use for cardioprotection.

ASK1 induces apoptotic cell death in various cell types (Ichijo et al. 1997). However, the molecular mechanism by which ASK1 induces apoptosis is not clear. It has been shown that ectopic expression of ASK1 leads to activation of various stress-activated signaling pathways, such as the MKK4/JNK and MKK6/p38 pathways, and it has been suggested that activation of these pathways mediates ASK1-induced apoptosis (Ichijo et al. 1997). However, addition of a specific inhibitor of p38 has little or no effect on ASK1-induced apoptosis (data not shown), suggesting that ASK1 induces apoptotic cell death independent of p38 kinase activity.

The present results demonstrate that activated Akt3 significantly inhibits ASK1-induced apoptosis, suggesting that Akt3, or one of its downstream target(s), inhibits ASK1-induced apoptotic pathway(s). It has reported that IGF-1 represses JNK activity through a PI3K/Akt-dependent mechanism (Okubo et al. 1998). Akt3 may also act by inhibiting the kinase activity of JNK.

The present invention is not to be limited in scope by the specific embodiments described herein. Indeed, various modifications of the invention in addition to those described herein will become apparent to those skilled in the art from the foregoing description and the accompanying figures. Such modifications are intended to fall within the scope of the appended claims.

It is further to be understood that all base sizes or amino acid sizes, and all molecular weight or molecular mass values, given for nucleic acids or polypeptides are approximate, and are provided for description.

30



Various publications are cited herein, the disclosures of which are incorporated by reference in their entireties.

- Aarns JM. et al. 1998. The Bcl-2 protein family: arbiters of cell survival. *Science*. Vol281(5381): 1322-1326.
- 5 Alessi DR. et al. 1996. Mechanism of activation of protein kinase B by insulin and IGF-1. *EMBO J*. Vol15(23): 6541-6551.
- Barinaga M. 1998a. Is apoptosis key in Alzheimer's disease? *Science* Vol281: 1303-1304.
- Barinaga M. 1998b. Stroke-damaged neurons may commit cellular suicide. *Science* Vol281: 1302-1303.
- Chang HY et al. 1998. Activation of apoptosis signal-regulating kinase (ASK1) by the adapter protein
- 10 DAXX. *Science*. Vol281 (5384): 1860-1863.
- Cross DA. et al.1995. Inhibition of glycogen synthase kinase-3 by insulin mediated by protein kinase B. *Nature* Vol378(6559):785-789.
- Downward J. 1998. Mechanisms and consequences of activation of protein kinase B/Akt. *Curr. Opin. Cell Biol.* Vol10(2): 262-267
- 15 Dudek H. et al. 1997. Regulation of neuronal survival by the serine-threonine protein kinase Akt. *Science* Vol275(5300): 661-665.
- Franke TF. et al. 1995. The protein kinase encoded by the Akt proto-oncogene is a target of PDGF-activated phosphatidylinositol 3-kinase. *Cell* Vol81(5): 727-736.
- Franke TF. et al. 1997. PI3K: downstream AKTion blocks apoptosis. *Cell* Vol88(4): 435-437.
- 20 Hemmings BA. 1997a. Akt signalling: linking membrane events to life and death decisions. *Science* Vol275(5300): 628-630.
- Hemmings BA. 1997b. PtdIns(3,4,5)P3 gets its message across. *Science* Vol277: 534.
- Ichijo H. et al. 1997. Induction of apoptosis by ASK1, a mammalian MAPKKK that activates SAPK/JNK and p38 signaling pathways. *Science*. Vol 275: 90-94.
- 25 Kauffmann-Zeh A. et al. 1997. Suppression of c-Myc-induced apoptosis by Ras signalling through PI(3)K and PKB. *Nature* Vol385(6616): 544-548.
- Klippel A. et al.1997. A specific product of phosphatidylinositol 3-kinase directly activates the protein kinase Akt through its pleckstrin homology domain. *Mol. Cell Biol.* Vol17(1): 338-344.
- Konishi H. et al. 1995. Molecular cloning and characterization of a new member of the RAC protein
- 30 kinase family: association of the pleckstrin homology domain of three types of RAC protein kinase with protein kinase C subspecies and beta gamma subunits of G proteins. *Biochem. Biophys. Res. Comm.* Vol. 216(2): 526-534.

- Kulik G. et al. 1997. Antiapoptotic signalling by the insulin-like growth factor I receptor, phosphatidylinositol 3-kinase, and Akt. *Mol. Cell Biol.* Vol17(3): 1595-1606.
- MacLellan WR. et al. 1998. Death by design: programmed cell death in cardiovascular biology and diseases. *Circ. Res.* Vol81(2): 137-144.
- 5 Okubo Y. et al. 1998. Insulin-like growth factor-I inhibits the stress-activated protein kinase/c-Jun N-terminal kinase. *J Biol. Chem.* Vol273: 25961-25966.
- Pullen N. et al. 1998. Phosphorylation and activation of p70S6K by PDK1. *Science* Vol279: 707-710.
- Sambrook J., Fritsch EF. & Maniatis T. 1989. *Molecular Cloning* (2nd edition).
- Staal SP. 1987. Molecular cloning of the Akt oncogene and its human homologues AKT1 and AKT2: amplification of AKT1 in a primary human gastric adenocarcinoma. *Proc. Natl. Acad. Sci. USA.* Vol84(14): 5034-5037.
- 10 Stephens L. et al. 1998. Protein kinase B kinases that mediated phosphatidylinositol 3,4,5-triphosphate-dependent activation of protein kinase B. *Science* Vol279: 710-714.
- Stokoe D. et al. 1997. Dual role of phosphatidylinositol-3,4,5-triphosphate in the activation of protein kinase B. *Science* Vol277: 567-570.
- 15 Thornberry NA. et al. 1998. Caspases: enemies within. *Science* Vol281: 1312-1316.

**EDITORIAL NOTE**

**APPLICATION NUMBER – 38802/00**

**The following sequence listing pages 1-12 are part of the description. The claims pages follow on pages 48-52**

## SEQUENCE LISTING

<110> Guo, Kun  
 Pagnoni, Marco  
 Clark, Kenneth  
 Ivashchenko, Yuri

<120> AKT NUCLEIC ACIDS, POLYPEPTIDES, AND USES THEREOF

<130> A3278A-WO

<140>  
 <141>

<150> 60/125,108  
 <151> 1999-03-19

<160> 17

<170> PatentIn Ver. 2.1

<210> 1  
 <211> 1570  
 <212> DNA  
 <213> Homo sapiens

<220>  
 <221> CDS  
 <222> (126)..(1523)

<400> 1  
 gtcgacgttg caggctgagt catcactaga gagtgggaag ggcagcagca gcagagaatc 60  
 caaacccctaa agctgatata acaaggtacc atttctccaa gttgggggct cagaggggag 120  
 tcatac atg agc gat gtt acc att gtg aaa gaa ggt tgg gtt cag aag agg 170  
 Met Ser Asp Val Thr Ile Val Lys Glu Gly Trp Val Gln Lys Arg  
 1 5 10 15  
 gga gaa tat ata aaa aac tgg agg cca aga tac ttc ctt ttg aag aca 218  
 Gly Glu Tyr Ile Lys Asn Trp Arg Pro Arg Tyr Phe Leu Leu Lys Thr  
 20 25 30  
 gat ggc tca ttc ata gga tat aaa gag aaa cct caa gat gtg gat tta 266  
 Asp Gly Ser Phe Ile Gly Tyr Lys Glu Lys Pro Gln Asp Val Asp Leu  
 35 40 45  
 cct tat ccc ctc aac aac ttt tca gtg gca aaa tgc cag tta atg aaa 314  
 Pro Tyr Pro Leu Asn Asn Phe Ser Val Ala Lys Cys Gln Leu Met Lys  
 50 55 60  
 aca gaa cga cca aag cca aac aca ttt ata atc aga tgt ctc cag tgg 362  
 Thr Glu Arg Pro Lys Pro Asn Thr Phe Ile Ile Arg Cys Leu Gln Trp  
 65 70 75  
 act act gtt ata gag aga aca ttt cat gta gat act cca gag gaa agg 410  
 Thr Thr Val Ile Glu Arg Thr Phe His Val Asp Thr Pro Glu Glu Arg  
 80 85 90 95  
 gaa gaa tgg aca gaa gct atc cag gct gta gca gac aga ctg cag agg 458  
 Glu Glu Trp Thr Glu Ala Ile Gln Ala Val Ala Asp Arg Leu Gln Arg

100	105	110	
caa gaa gag gag aga atg aat tgt agt cca act tca caa att gat aat			506
Gln Glu Glu Glu Arg Met Asn Cys Ser Pro Thr Ser Gln Ile Asp Asn			
115	120	125	
ata gga gag gaa gag atg gat gcc tct aca acc cat cat aaa aga aag			554
Ile Gly Glu Glu Glu Met Asp Ala Ser Thr Thr His His Lys Arg Lys			
130	135	140	
aca atg aat gat ttt gac tat ttg aaa cta cta ggt aaa ggc act ttt			602
Thr Met Asn Asp Phe Asp Tyr Leu Lys Leu Leu Gly Lys Gly Thr Phe			
145	150	155	
ggg aaa gtt att ttg gtt cga gag aag gca agt gga aaa tac tat gct			650
Gly Lys Val Ile Leu Val Arg Glu Lys Ala Ser Gly Lys Tyr Tyr Ala			
160	165	170	175
atg aag att ctg aag aaa gaa gtc att att gca aag gat gaa gtg gca			698
Met Lys Ile Leu Lys Lys Glu Val Ile Ile Ala Lys Asp Glu Val Ala			
180	185	190	
cac act cta act gaa agc aga gta tta aag aac act aga cat ccc ttt			746
His Thr Leu Thr Glu Ser Arg Val Leu Lys Asn Thr Arg His Pro Phe			
195	200	205	
tta aca tcc ttg aaa tat tcc ttc cag aca aaa gac cgt ttg tgt ttt			794
Leu Thr Ser Leu Lys Tyr Ser Phe Gln Thr Lys Asp Arg Leu Cys Phe			
210	215	220	
gtg atg gaa tat gtt aat ggg ggc gag ctg ttt ttc cat ttg tcg aga			842
Val Met Glu Tyr Val Asn Gly Gly Glu Leu Phe Phe His Leu Ser Arg			
225	230	235	
gag cgg gtg ttc tct gag gac cgc aca cgt ttc tat ggt gca gaa att			890
Glu Arg Val Phe Ser Glu Asp Arg Thr Arg Phe Tyr Gly Ala Glu Ile			
240	245	250	255
gtc tct gcc ttg gac tat cta cat tcc gga aag att gtg tac cgt gat			938
Val Ser Ala Leu Asp Tyr Leu His Ser Gly Lys Ile Val Tyr Arg Asp			
260	265	270	
ctc aag ttg gag aat cta atg ctg gac aaa gat ggc cac ata aaa att			986
Leu Lys Leu Glu Asn Leu Met Leu Asp Lys Asp Gly His Ile Lys Ile			
275	280	285	
aca gat ttt gga ctt tgc aaa gaa ggg atc aca gat gca gcc acc atg			1034
Thr Asp Phe Gly Leu Cys Lys Glu Gly Ile Thr Asp Ala Ala Thr Met			
290	295	300	
aag aca ttc tgt ggc act cca gaa tat ctg gca cca gag gtg tta gaa			1082
Lys Thr Phe Cys Gly Thr Pro Glu Tyr Leu Ala Pro Glu Val Leu Glu			
305	310	315	
gat aat gac tat ggc cga gca gta gac tgg tgg ggc cta ggg gtt gtc			1130
Asp Asn Asp Tyr Gly Arg Ala Val Asp Trp Trp Gly Leu Gly Val Val			
320	325	330	335
atg tat gaa atg atg tgt ggg agg tta cct ttc tac aac cag gac cat			1178
Met Tyr Glu Met Met Cys Gly Arg Leu Pro Phe Tyr Asn Gln Asp His			
340	345	350	

gag aaa ctt ttt gaa tta ata tta atg gaa gac att aaa ttt cct cga 1226  
 Glu Lys Leu Phe Glu Leu Ile Leu Met Glu Asp Ile Lys Phe Pro Arg  
 355 360 365  
 aca ctc tct tca gat gca aaa tca ttg ctt tca ggg ctc ttg ata aag 1274  
 Thr Leu Ser Ser Asp Ala Lys Ser Leu Leu Ser Gly Leu Leu Ile Lys  
 370 375 380  
 gat cca aat aaa cgc ctt ggt gga gga cca gat gat gca aaa gaa att 1322  
 Asp Pro Asn Lys Arg Leu Gly Gly Gly Pro Asp Asp Ala Lys Glu Ile  
 385 390 395  
 atg aga cac agt ttc ttc tct gga gta aac tgg caa gat gta tat gat 1370  
 Met Arg His Ser Phe Phe Ser Gly Val Asn Trp Gln Asp Val Tyr Asp  
 400 405 410 415  
 aaa aag ctt gta cct cct ttt aaa cct caa gta aca tct gag aca gat 1418  
 Lys Lys Leu Val Pro Pro Phe Lys Pro Gln Val Thr Ser Glu Thr Asp  
 420 425 430  
 act aga tat ttt gat gaa gaa ttt aca gct cag act att aca ata aca 1466  
 Thr Arg Tyr Phe Asp Glu Glu Phe Thr Ala Gln Thr Ile Thr Ile Thr  
 435 440 445  
 cca cct gaa aaa tgt cag caa tca gat tgt ggc atg ctg ggt aac tgg 1514  
 Pro Pro Glu Lys Cys Gln Gln Ser Asp Cys Gly Met Leu Gly Asn Trp  
 450 455 460  
 aaa aaa taa taaaaagtaa gtttcaatag ctataaaaaa aaaaaaaaaa aaaaaaa 1570  
 Lys Lys  
 465

<210> 2  
 <211> 465  
 <212> PRT  
 <213> Homo sapiens

<400> 2  
 Met Ser Asp Val Thr Ile Val Lys Glu Gly Trp Val Gln Lys Arg Gly  
 1 5 10 15  
 Glu Tyr Ile Lys Asn Trp Arg Pro Arg Tyr Phe Leu Leu Lys Thr Asp  
 20 25 30  
 Gly Ser Phe Ile Gly Tyr Lys Glu Lys Pro Gln Asp Val Asp Leu Pro  
 35 40 45  
 Tyr Pro Leu Asn Asn Phe Ser Val Ala Lys Cys Gln Leu Met Lys Thr  
 50 55 60  
 Glu Arg Pro Lys Pro Asn Thr Phe Ile Ile Arg Cys Leu Gln Trp Thr  
 65 70 75 80  
 Thr Val Ile Glu Arg Thr Phe His Val Asp Thr Pro Glu Glu Arg Glu  
 85 90 95  
 Glu Trp Thr Glu Ala Ile Gln Ala Val Ala Asp Arg Leu Gln Arg Gln  
 100 105 110  
 Glu Glu Glu Arg Met Asn Cys Ser Pro Thr Ser Gln Ile Asp Asn Ile  
 115 120 125  
 Gly Glu Glu Glu Met Asp Ala Ser Thr Thr His His Lys Arg Lys Thr  
 130 135 140  
 Met Asn Asp Phe Asp Tyr Leu Lys Leu Leu Gly Lys Gly Thr Phe Gly  
 145 150 155 160  
 Lys Val Ile Leu Val Arg Glu Lys Ala Ser Gly Lys Tyr Tyr Ala Met

```

      165      170      175
Lys Ile Leu Lys Lys Glu Val Ile Ile Ala Lys Asp Glu Val Ala His
      180      185      190
Thr Leu Thr Glu Ser Arg Val Leu Lys Asn Thr Arg His Pro Phe Leu
      195      200      205
Thr Ser Leu Lys Tyr Ser Phe Gln Thr Lys Asp Arg Leu Cys Phe Val
      210      215      220
Met Glu Tyr Val Asn Gly Gly Glu Leu Phe Phe His Leu Ser Arg Glu
      225      230      235      240
Arg Val Phe Ser Glu Asp Arg Thr Arg Phe Tyr Gly Ala Glu Ile Val
      245      250      255
Ser Ala Leu Asp Tyr Leu His Ser Gly Lys Ile Val Tyr Arg Asp Leu
      260      265      270
Lys Leu Glu Asn Leu Met Leu Asp Lys Asp Gly His Ile Lys Ile Thr
      275      280      285
Asp Phe Gly Leu Cys Lys Glu Gly Ile Thr Asp Ala Ala Thr Met Lys
      290      295      300
Thr Phe Cys Gly Thr Pro Glu Tyr Leu Ala Pro Glu Val Leu Glu Asp
      305      310      315      320
Asn Asp Tyr Gly Arg Ala Val Asp Trp Trp Gly Leu Gly Val Val Met
      325      330      335
Tyr Glu Met Met Cys Gly Arg Leu Pro Phe Tyr Asn Gln Asp His Glu
      340      345      350
Lys Leu Phe Glu Leu Ile Leu Met Glu Asp Ile Lys Phe Pro Arg Thr
      355      360      365
Leu Ser Ser Asp Ala Lys Ser Leu Leu Ser Gly Leu Leu Ile Lys Asp
      370      375      380
Pro Asn Lys Arg Leu Gly Gly Gly Pro Asp Asp Ala Lys Glu Ile Met
      385      390      395      400
Arg His Ser Phe Phe Ser Gly Val Asn Trp Gln Asp Val Tyr Asp Lys
      405      410      415
Lys Leu Val Pro Phe Lys Pro Gln Val Thr Ser Glu Thr Asp Thr
      420      425      430
Arg Tyr Phe Asp Glu Glu Phe Thr Ala Gln Thr Ile Thr Ile Thr Pro
      435      440      445
Pro Glu Lys Cys Gln Gln Ser Asp Cys Gly Met Leu Gly Asn Trp Lys
      450      455      460
Lys
465

```

```

<210> 3
<211> 24
<212> DNA
<213> Artificial Sequence

```

```

<220>

```

```

<220>
<223> Description of Artificial Sequence: PCR Primer

```

```

<400> 3
tcctaaaccct aaagctgata tcac

```

24

```

<210> 4
<211> 22
<212> DNA
<213> Artificial Sequence

```

<220>

<220>

<223> Description of Artificial Sequence: PCR Primer

<400> 4

cctggatagc ttctgtccat tc

22

<210> 5

<211> 74

<212> DNA

<213> Artificial Sequence

<220>

<220>

<223> Description of Artificial Sequence: PCR Primer

<400> 5

atgagcgatg ttaccattgt gaaagaaggt tgggttcaga agaggggaga atatataaaa 60  
aactggaggc caag 74

<210> 6

<211> 27

<212> DNA

<213> Artificial Sequence

<220>

<220>

<223> Description of Artificial Sequence: PCR Primer

<400> 6

ttatTTTTTc caggtaccca gcatgcc

27

<210> 7

<211> 90

<212> DNA

<213> Artificial Sequence

<220>

<220>

<223> Description of Artificial Sequence: PCR Primer

<400> 7

gcgcgcgaat tcccaccatg ggtagcaaca agagcaagcc caaggatgcc agccagcggc 60  
gccgcagcag cgatgttacc attgtgaaag 90

<210> 8

<211> 66

<212> DNA

<213> Artificial Sequence

<220>

<220>



<223> Description of Artificial Sequence: PCR Primer

<400> 8  
gcgcgcgggc ccttaggcgt agtcggggac gtcgtacggg tattttttcc agttaccag 60  
catgcc 66

<210> 9

<211> 51

<212> DNA

<213> Artificial Sequence

<220>

<220>

<223> Description of Artificial Sequence: PCR Primer

<400> 9  
cggggtacca ccatgggtag caacaagagc aagccaagg atgccagcca g 51

<210> 10

<211> 30

<212> DNA

<213> Artificial Sequence

<220>

<220>

<223> Description of Artificial Sequence: PCR Primer

<400> 10  
ccggaattct taggcgtagt cggggacgtc 30

<210> 11

<211> 39

<212> DNA

<213> Artificial Sequence

<220>

<220>

<223> Description of Artificial Sequence: PCR Primer

<400> 11  
aagggccgcc agtgtgctgg agagatgagc gatgccttc 39

<210> 12

<211> 35

<212> DNA

<213> Artificial Sequence

<220>

<220>

<223> Description of Artificial Sequence: PCR Primer

<400> 12  
ccctctagat gctcattctg catttgatcc agctg 35

<210> 13  
 <211> 480  
 <212> PRT  
 <213> Homo sapiens

<220>

<400> 13

```

Met Asn Glu Val Ser Val Ile Lys Glu Gly Trp Leu His Lys Arg Gly
  1             5             10             15

Glu Tyr Ile Lys Thr Trp Arg Pro Arg Tyr Phe Leu Leu Lys Ser Asp
  20             25             30

Gly Ser Phe Ile Gly Tyr Lys Glu Arg Pro Glu Ala Pro Asp Gln Thr
  35             40             45

Leu Pro Pro Leu Asn Asn Phe Ser Val Ala Glu Cys Gln Leu Met Lys
  50             55             60

Thr Glu Arg Pro Arg Pro Asn Thr Phe Val Ile Arg Cys Leu Gln Trp
  65             70             75             80

Thr Thr Val Ile Glu Arg Thr Phe His Val Asp Ser Pro Asp Glu Arg
  85             90             95

Glu Glu Trp Met Arg Ala Ile Gln Met Val Ala Asn Ser Leu Lys Gln
 100             105             110

Arg Ala Pro Gly Glu Asp Pro Met Asp Tyr Lys Cys Gly Ser Pro Ser
 115             120             125

Asp Ser Ser Thr Thr Glu Glu Met Glu Val Ala Val Ser Lys Ala Arg
 130             135             140

Ala Lys Val Thr Met Asn Asp Phe Asp Tyr Leu Lys Leu Leu Gly Lys
 145             150             155             160

Gly Thr Phe Gly Lys Val Ile Leu Val Arg Glu Lys Ala Thr Gly Arg
 165             170             175

Tyr Tyr Ala Met Lys Ile Leu Arg Lys Glu Val Ile Ile Ala Lys Asp
 180             185             190

Glu Val Ala His Thr Val Thr Glu Ser Arg Val Leu Gln Asn Thr Arg
 195             200             205

His Pro Phe Leu Thr Ala Leu Lys Tyr Ala Phe Gln Thr His Asp Arg
 210             215             220

Leu Cys Phe Val Met Glu Tyr Ala Asn Gly Gly Glu Leu Phe Phe His
 225             230             235             240

Leu Ser Arg Glu Arg Val Phe Thr Glu Glu Arg Ala Arg Phe Tyr Gly
 245             250             255

Ala Glu Ile Val Ser Ala Leu Glu Tyr Leu His Ser Arg Asp Val Val
 260             265             270

```

Tyr Arg Asp Ile Lys Leu Glu Asn Leu Met Leu Asp Lys Asp Gly His  
 275 280 285  
 Ile Lys Ile Thr Asp Phe Gly Leu Cys Lys Glu Gly Ile Ser Asp Gly  
 290 295 300  
 Ala Thr Met Lys Thr Phe Cys Gly Thr Pro Glu Tyr Leu Ala Pro Glu  
 305 310 315 320  
 Val Leu Glu Asp Asn Asp Tyr Gly Arg Ala Val Asp Trp Trp Gly Leu  
 325 330 335  
 Gly Val Val Met Tyr Glu Met Met Cys Gly Arg Leu Pro Phe Tyr Asn  
 340 345 350  
 Gln Asp His Glu Arg Leu Phe Glu Leu Ile Leu Met Glu Glu Ile Arg  
 355 360 365  
 Phe Pro Arg Thr Leu Ser Pro Glu Ala Lys Ser Leu Leu Ala Gly Leu  
 370 375 380  
 Leu Lys Lys Asp Pro Lys Gln Arg Leu Gly Gly Pro Ser Asp Ala  
 385 390 395 400  
 Lys Glu Val Met Glu His Arg Phe Phe Leu Ser Ile Asn Trp Gln Asp  
 405 410 415  
 Val Val Gln Lys Lys Leu Leu Pro Pro Phe Lys Pro Gln Val Thr Ser  
 420 425 430  
 Glu Val Asp Thr Arg Tyr Phe Asp Asp Glu Phe Thr Ala Gln Ser Ile  
 435 440 445  
 Thr Ile Thr Pro Pro Asp Arg Tyr Asp Ser Leu Gly Leu Leu Glu Leu  
 450 455 460  
 Asp Gln Arg Thr His Phe Pro Gln Phe Ser Tyr Ser Ala Ser Ile Arg  
 465 470 475 480

&lt;210&gt; 14

&lt;211&gt; 480

&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 14

Met Ser Asp Val Ala Ile Val Lys Glu Gly Trp Leu His Lys Arg Gly  
 1 5 10 15  
 Glu Tyr Ile Lys Thr Trp Arg Pro Arg Tyr Phe Leu Leu Lys Asn Asp  
 20 25 30  
 Gly Thr Phe Ile Gly Tyr Lys Glu Arg Pro Gln Asp Val Asp Gln Arg  
 35 40 45  
 Glu Ala Pro Leu Asn Asn Phe Ser Val Ala Gln Cys Gln Leu Met Lys  
 50 55 60

Thr Glu Arg Pro Arg Pro Asn Thr Phe Ile Ile Arg Cys Leu Gln Trp  
 65 70 75 80  
 Thr Thr Val Ile Glu Arg Thr Phe His Val Glu Thr Pro Glu Glu Arg  
 85 90 95  
 Glu Glu Trp Thr Thr Ala Ile Gln Thr Val Ala Asp Gly Leu Lys Lys  
 100 105 110  
 Gln Glu Glu Glu Met Asp Phe Arg Ser Gly Ser Pro Ser Asp Asn  
 115 120 125  
 Ser Gly Ala Glu Glu Met Glu Val Ser Leu Ala Lys Pro Lys His Arg  
 130 135 140  
 Val Thr Met Asn Glu Phe Glu Tyr Leu Lys Leu Leu Gly Lys Gly Thr  
 145 150 155 160  
 Phe Gly Lys Val Ile Leu Val Lys Glu Lys Ala Thr Gly Arg Tyr Tyr  
 165 170 175  
 Ala Met Lys Ile Leu Lys Lys Glu Val Ile Val Ala Lys Asp Glu Val  
 180 185 190  
 Ala His Thr Leu Thr Glu Asn Arg Val Leu Gln Asn Ser Arg His Pro  
 195 200 205  
 Phe Leu Thr Ala Leu Lys Tyr Ser Phe Gln Thr His Asp Arg Leu Cys  
 210 215 220  
 Phe Val Met Glu Tyr Ala Asn Gly Gly Glu Leu Phe Phe His Leu Ser  
 225 230 235 240  
 Arg Glu Arg Val Phe Ser Glu Asp Arg Ala Arg Phe Tyr Gly Ala Glu  
 245 250 255  
 Ile Val Ser Ala Leu Asp Tyr Leu His Ser Glu Lys Asn Val Val Tyr  
 260 265 270  
 Arg Asp Leu Lys Leu Glu Asn Leu Met Leu Asp Lys Asp Gly His Ile  
 275 280 285  
 Lys Ile Thr Asp Phe Gly Leu Cys Lys Glu Gly Ile Lys Asp Gly Ala  
 290 295 300  
 Thr Met Lys Thr Phe Cys Gly Thr Pro Glu Tyr Leu Ala Pro Glu Val  
 305 310 315 320  
 Leu Glu Asp Asn Asp Tyr Gly Arg Ala Val Asp Trp Trp Gly Leu Gly  
 325 330 335  
 Val Val Met Tyr Glu Met Met Cys Gly Arg Leu Pro Phe Tyr Asn Gln  
 340 345 350  
 Asp His Glu Lys Leu Phe Glu Leu Ile Leu Met Glu Glu Ile Arg Phe  
 355 360 365  
 Pro Arg Thr Leu Gly Pro Glu Ala Lys Ser Leu Leu Ser Gly Leu Leu  
 370 375 380  
 Lys Lys Asp Pro Lys Gln Arg Leu Gly Gly Gly Ser Glu Asp Ala Lys

385                      390                      395                      400  
 Glu Ile Met Gln His Arg Phe Phe Ala Gly Ile Val Trp Gln His Val  
                                  405                      410                      415  
 Tyr Glu Lys Lys Leu Ser Pro Pro Phe Lys Pro Gln Val Thr Ser Glu  
                                  420                      425                      430  
 Thr Asp Thr Arg Tyr Phe Asp Glu Glu Phe Thr Ala Gln Met Ile Thr  
                                  435                      440                      445  
 Ile Thr Pro Pro Asp Gln Asp Asp Ser Met Glu Cys Val Asp Ser Glu  
                                  450                      455                      460  
 Arg Arg Pro His Phe Pro Gln Phe Ser Tyr Ser Ala Ser Ser Thr Ala  
                                  465                      470                      475                      480

<210> 15  
 <211> 22  
 <212> DNA  
 <213> Artificial Sequence

<220>

<220>  
 <223> Description of Artificial Sequence: PCR Primer

<400> 15  
 ttctggaggc tctagtttg tg 22

<210> 16  
 <211> 21  
 <212> DNA  
 <213> Artificial Sequence

<220>

<220>  
 <223> Description of Artificial Sequence: PCR Primer

<400> 16  
 cccaacttgg agaaatggta c 21

<210> 17  
 <211> 454  
 <212> PRT  
 <213> Artificial Sequence

<220>  
 <223> Description of Artificial Sequence: PCR Primer

<400> 17  
 Met Ser Asp Val Thr Ile Val Lys Glu Asp Trp Val Gln Lys Arg Gly  
   1                  5                  10                  15

Glu Tyr Ile Lys Asn Trp Arg Pro Arg Tyr Phe Leu Leu Lys Thr Asp  
20 25 30  
Gly Ser Phe Ile Gly Tyr Lys Glu Lys Pro Gln Asp Val Asp Leu Pro  
35 40 45  
Tyr Pro Leu Asn Asn Phe Ser Val Ala Lys Cys Gln Leu Met Lys Thr  
50 55 60  
Glu Arg Pro Lys Pro Asn Thr Phe Ile Ile Arg Cys Leu Gln Trp Thr  
65 70 75 80  
Thr Val Ile Glu Arg Thr Phe His Val Asp Thr Pro Glu Glu Arg Glu  
85 90 95  
Glu Trp Thr Glu Ala Ile Gln Ala Val Ala Asp Arg Leu Gln Arg Gln  
100 105 110  
Glu Glu Glu Arg Met Asn Cys Ser Pro Thr Ser Gln Ile Asp Asn Ile  
115 120 125  
Gly Glu Glu Glu Met Asp Ala Ser Thr Thr His His Lys Arg Lys Thr  
130 135 140  
Met Asn Asp Phe Asp Tyr Leu Lys Leu Leu Gly Lys Gly Thr Phe Gly  
145 150 155 160  
Lys Val Ile Leu Val Arg Glu Lys Ala Ser Gly Lys Tyr Tyr Ala Met  
165 170 175  
Lys Ile Leu Lys Lys Glu Val Ile Ile Ala Lys Asp Glu Val Ala His  
180 185 190  
Thr Leu Thr Glu Ser Arg Val Leu Lys Asn Thr Arg His Pro Phe Leu  
195 200 205  
Thr Ser Leu Lys Tyr Ser Phe Gln Thr Lys Asp Arg Leu Cys Phe Val  
210 215 220  
Met Glu Tyr Val Asn Gly Gly Glu Leu Phe Phe His Leu Ser Arg Glu  
225 230 235 240  
Arg Val Phe Ser Glu Asp Arg Thr Arg Phe Tyr Gly Ala Glu Ile Val  
245 250 255  
Ser Ala Leu Asp Tyr Leu His Ser Gly Lys Ile Val Tyr Arg Asp Leu  
260 265 270  
Lys Leu Glu Asn Leu Met Leu Asp Lys Asp Gly His Ile Lys Ile Thr  
275 280 285  
Asp Phe Gly Leu Cys Lys Glu Gly Ile Thr Asp Ala Ala Thr Met Lys  
290 295 300  
Thr Phe Cys Gly Thr Pro Glu Tyr Leu Ala Pro Glu Val Leu Glu Asp  
305 310 315 320  
Asn Asp Tyr Gly Arg Ala Val Asp Trp Trp Gly Leu Gly Val Val Met  
325 330 335  
Tyr Glu Met Met Cys Gly Arg Leu Pro Phe Tyr Asn Gln Asp His Glu

340 345 350  
Lys Leu Phe Glu Leu Ile Leu Met Glu Asp Ile Lys Phe Pro Arg Thr  
355 360 365  
Leu Ser Ser Asp Ala Lys Ser Leu Leu Ser Gly Leu Leu Ile Lys Asp  
370 375 380  
Pro Asn Lys Arg Leu Gly Gly Gly Pro Asp Asp Pro Lys Glu Ile Met  
385 390 395 400  
Arg His Ser Phe Phe Ser Gly Val Asn Trp Gln Asp Val Tyr Asp Lys  
405 410 415  
Lys Leu Val Pro Pro Phe Lys Pro Gln Val Thr Ser Glu Thr Asp Thr  
420 425 430  
Arg Tyr Phe Asp Glu Glu Phe Thr Ala Gln Thr Ile Thr Ile Thr Pro  
435 440 445  
Pro Glu Lys Cys Pro Leu  
450

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. An isolated nucleic acid comprising the sequence depicted in SEQ ID NO: 1.
2. An isolated nucleic acid which encodes a polypeptide comprising the amino acid sequence as depicted in SEQ ID NO: 2.
3. The isolated nucleic acid according to claim 1, isolated using the oligonucleotide primer pair SEQ ID NOS: 5 and 6.
4. The isolated nucleic acid according to claim 1 or claim 2, further comprising a sequence encoding a polypeptide tag.
5. The isolated nucleic acid according to claim 1 or 2, further comprising a sequence encoding a polypeptide tag, whereby the nucleic acid encodes a chimeric tagged Akt3 protein.
6. The isolated nucleic acid according to claim 1 or 2, further comprising a sequence encoding a myristylation sequence.
7. A vector comprising the nucleic acid according to claim 1 or 2.
8. The vector according to claim 7, wherein the nucleic acid encoding a human Akt3 protein is operatively associated with an expression control sequence permitting expression of human Akt3 in an expression competent host cell.
9. The vector according to claim 8 selected from the group consisting of an RNA molecule, a plasmid DNA molecule, and a viral vector.
10. The vector according to claim 9, which is a plasmid DNA molecule.



49

11. The vector according to claim 9, which is a viral vector selected from the group consisting of retrovirus, adenovirus, adeno-associated virus, herpes virus, and vaccinia virus.
12. A host cell transfected with the vector according to claim 7.
- 5 13. A host cell transfected with the vector according to claim 8.
14. The host cell according to claim 12 selected from the group consisting of a bacterial cell, a yeast cell, and a mammalian cell.
15. A method for producing a polypeptide comprising the amino acid sequence of SEQ ID NO: 2, the method comprising:
- 10 - culturing the host cell of claim 12 in culture medium under conditions permitting expression of human Akt3; and
- isolating human Akt3 protein from the culture.
16. An isolated protein comprising an amino acid sequence as depicted in SEQ ID NO: 2.
- 15 17. The isolated human Akt3 protein according to claim 16, further comprising a tag sequence.
18. The isolated human Akt3 protein according to claim 17, further comprising a myristylation sequence.
19. An antigenic peptide consisting essentially of the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys or an antigenic fragment thereof.
- 20 20. An antibody which specifically recognises an epitope within a peptide having the sequence Cys-Gln-Gln-Ser-Asp-Cys-Gly-Met-Leu-Gly-Asn-Trp-Lys-Lys.
21. The antibody of claim 20, which is polyclonal.



50

22. A method of inhibiting cell death comprising administering to the cell a nucleic acid according to claim 1 or claim 2, wherein the nucleic acid is operably linked to a regulatory region.
23. The method according to claim 22, wherein the cell is a cardiac cell.
- 5 24. The method according to claim 23, wherein the cell is a cardiac myocyte.
25. The method according to claim 22, wherein the nucleic acid is in a vector.
26. The method according to claim 25, wherein the vector is a plasmid.
27. The method according to claim 25, wherein the vector is a viral vector.
28. The method according to claim 27, wherein the viral vector is selected from  
10 the group consisting of retroviruses, adenoviruses, adeno-associated viruses, vaccinia virus and HSV virus.
29. The method according to claim 22, wherein the cell is in a patient suffering from myocardial infarction or ischemia reperfusion injury.
30. A method of treating myocardial infarction or ischemia reperfusion injury,  
15 the method comprising administering to a patient suffering therefrom a nucleic acid according to claim 1, wherein the nucleic acid is operably linked to a regulatory region.
31. The method according to claim 30, wherein the nucleic acid is administered to cardiac myocytes of said patient.
- 20 32. The method according to claim 30, wherein the nucleic acid is in a vector.
33. The method according to claim 32, wherein the vector is a plasmid.
34. The method according to claim 30, wherein the vector is a viral vector.

51

35. The method according to claim 34, wherein the viral vector is selected from the group consisting of retroviruses, adenoviruses, adeno-associated viruses, vaccinia virus and HSV virus.
36. A pharmaceutical composition comprising the nucleic acid according to claim 1 and a pharmaceutically acceptable vehicle.
37. A pharmaceutical composition comprising the nucleic acid according to claim 1, in the form selected from the group consisting of liposomes, a complex with nuclear proteins, lipids or dextran, or an untreated form, and a pharmaceutically acceptable vehicle.
38. A pharmaceutical composition comprising the vector according to claim 8 and a pharmaceutically acceptable vehicle.
39. A pharmaceutical composition comprising the vector according to claim 10 and a pharmaceutically acceptable vehicle.
40. A pharmaceutical composition comprising the vector according to claim 11 and a pharmaceutically acceptable vehicle.
41. A method of screening for molecules that stimulate or inhibit Akt3 activity in a cell, the method comprising:
- contacting an Akt3 protein according to claim 16 with a candidate molecule; and
  - detecting Akt3 activity in the presence of the molecule.
42. The method according to claim 41, wherein the Akt3 activity is inhibition of apoptosis.
43. The method according to claim 42, wherein inhibition of apoptosis is measured by the presence of a marker gene.

52

44. The method according to claim 41, wherein the molecule is an agonist of Akt3.
45. The method according to claim 42, wherein the molecule is an antagonist of Akt3.
- 5 46. The vector according to claim 8, wherein the expression control sequence comprises a promoter which is functional in mammalian cells.
47. The vector according to claim 46, wherein the promoter is selected from the group consisting of viral, cellular or synthetic promoters.
48. A replication defective recombinant virus comprising in its genome the  
10 nucleic acid according to claim 1.
49. The replication defective recombinant virus according to claim 48, wherein the virus is selected from the group consisting of retroviruses, adenoviruses, adeno-associated viruses, vaccinia virus and HSV virus.
50. The method according to claim 22, wherein the cell death results from  
15 hypoxia, apoptosis or necrosis.
51. The method according to claim 30, wherein the ischemia reperfusion injury is myocardial ischemia reperfusion injury or is associated with stroke, liver damage, renal failure, organ transplantation, or coronary artery bypass grafting.

**DATED** this 16<sup>th</sup> day of June 2005  
**AVENTIS PHARMACEUTICALS INC.**

WATERMARK PATENT & TRADE MARK ATTORNEYS  
290 BURWOOD ROAD  
HAWTHORN VICTORIA 3122  
AUSTRALIA

P20258AU00 : KMH/JMN

Figure 1A

```

1                               50
h-akt3 MSDVTIVKEG WVKRGEYIK NWRPRYFLK TDGSFIGYKE KPQDVLDLPYP
rat-akt3 MSDVTIVKED WVKRGEYIK NWRPRYFLK TDGSFIGYKE KPQDVLDLPYP

51                               100
h-akt3 LNNFSVAKCQ LMKTERPKPN TFIIRCLQWT TVIERTFHVD TPEEREWEYE
rat-akt3 LNNFSVAKCQ LMKTERPKPN TFIIRCLQWT TVIERTFHVD TPEEREWEYE

101                              150
h-akt3 AIQAVADRLQ RQEEERMNCS PTSQIDNICE EEMDASTTHH KRRTMNOFDY
rat-akt3 AIQAVADRLQ RQEEERMNCS PTSQIDNICE EEMDASTTHH KRRTMNOFDY

151                              200
h-akt3 LKLLGKGTFG KVILVREKAS GRYYAMKILK KEVIAKDEV AHILTSESVL
rat-akt3 LKLLGKGTFG KVILVREKAS GRYYAMKILK KEVIAKDEV AHILTSESVL

201                              250
h-akt3 KNTRHPFLTS LKYSFQTKDR LCFVMEYVNG GELFFHLSRE RVFSEDRTRF
rat-akt3 KNTRHPFLTS LKYSFQTKDR LCFVMEYVNG GELFFHLSRE RVFSEDRTRF

251                              300
h-akt3 YGAEIVSALD YLHSGKIVYR DLKLENLMLD KDGHKITDF GLCKEGITDA
rat-akt3 YGAEIVSALD YLHSGKIVYR DLKLENLMLD KDGHKITDF GLCKEGITDA

301                              350
h-akt3 ATMKTFCGTP EYLAPEVLED NDYGRAVDWW GLGVVMEYEM CGRLPFYVND
rat-akt3 ATMKTFCGTP EYLAPEVLED NDYGRAVDWW GLGVVMEYEM CGRLPFYVND

351                              400
h-akt3 HEKLFELILM EDIKFPRTL SDAKSLLSGL LKIDPNKRLG GGPDDAKEIM
rat-akt3 HEKLFELILM EDIKFPRTL SDAKSLLSGL LKIDPNKRLG GGPDDAKEIM

401                              450
h-akt3 RSHFFSGVNW QDVYDKKLVP PFKPQVTSET DTRYFDEEFT AQITITPPE
rat-akt3 RSHFFSGVNW QDVYDKKLVP PFKPQVTSET DTRYFDEEFT AQITITPPE

451                              465
h-akt3 KCOQSDCGML GNWKK
rat-akt3 KCPL

```

Figure 1B

```

1                               50
h-akt1 MSDVAIVKEG WLHKRGEYIK TWRPRYFLK NDGTFIGYKE RPODVQOREA
h-akt2 MNEVSVIKEG WLHKRGEYIK TWRPRYFLK SDGSFIGYKE RPEAPDQTLF
h-akt3 MSDVTIVKEG WVQKRGEYIK NWRPRYFLK TDGSFIGYKE KPODVLDLY-

51                               100
h-akt1 PLNDFSVAQC QLMKTERPRP NTFIIRCLQW TTVIERTFHV ETPEEREEMT
h-akt2 PLNDFSVAEC QLMKTERPRP NTFIIRCLQW TTVIERTFHV DSPDEREEMW
h-akt3 PLNDFSVAKC QLMKTERPKP NTFIIRCLQW TTVIERTFHV DTPEEREEMT

101                              150
h-akt1 TAIQTADGL KKQE--EEM DFRSGSPSDN SGAEEMEVSIL AKPKHRVTMN
h-akt2 RAIQMVANSI KQAPGEDPM DYKCGSPSDS STEEMEVAV SKARAKVTMN
h-akt3 EAIQAVADRL QRQE--EERM NCSPTSQIDN IGEEMDAST THHKRK-TMN

151                              200
h-akt1 EFEYLKLLGR GTFGRVILVK EKATGRYYAM KILKKEVIVA KDEVAHTLTE
h-akt2 DFDYLLKLLGR GTFGRVILVR EKATGRYYAM KILKKEVIA KDEVAHTVTE
h-akt3 DFDYLLKLLGR GTFGRVILVR EKASGRYYAM KILKKEVIA KDEVAHTLTE

201                              250
h-akt1 NRVLQNSRHP FLTALKYSFO THDRLCFVME YANGGELFFH LSRERVFSED
h-akt2 SRVLQNTNHP FLTALKYAFQ THDRLCFVME YANGGELFFH LSRERVFTTE
h-akt3 SRVLKNTNHP FLTSLKYSFO TKDRLCFVME YVNGGELFFH LSRERVFSED

251                              300
h-akt1 RARFYGAEIV SALDYLHSEK NVVYRDLKLE NLMLDKDGH I KITDFGLCKE
h-akt2 RARFYGAEIV SALEYLHS-R DVVYRDLKLE NLMLDKDGH I KITDFGLCKE
h-akt3 RTRFYGAEIV SALDYLHSGK -IVYRDLKLE NLMLDKDGH I KITDFGLCKE

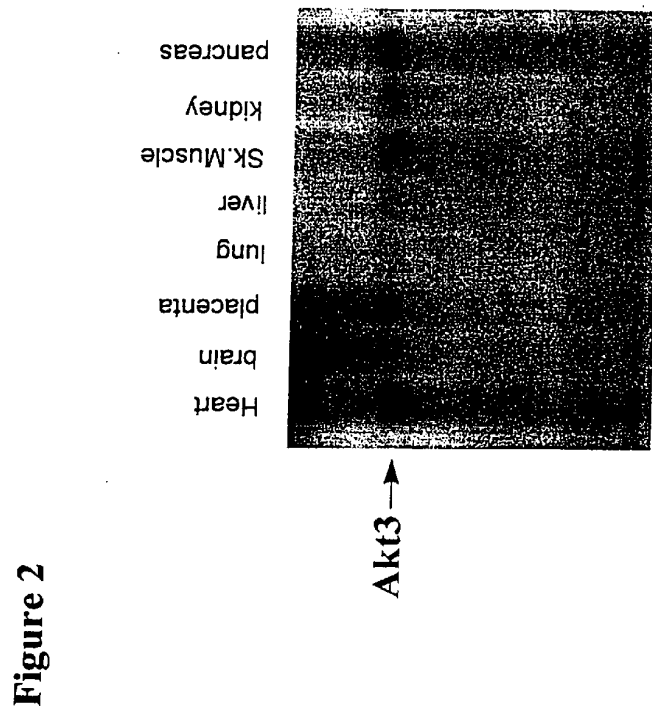
301                              350
h-akt1 GIKDGATMKT FCGTPEYLAP EVLEDNDYGR AVDNWGLGVV MYEMMCGRLP
h-akt2 GISDGATMKT FCGTPEYLAP EVLEDNDYGR AVDNWGLGVV MYEMMCGRLP
h-akt3 GITDAATMKT FCGTPEYLAP EVLEDNDYGR AVDNWGLGVV MYEMMCGRLP

351                              400
h-akt1 FYNQDHEKLF ELILMEEIRF PRTLGPPEAKS LLSGLLKDDP KQRLGGGSED
h-akt2 FYNQDHERLF ELILMEEIRF PRTLSPPEAKS LLAGLLKDDP KQRLGGGSPD
h-akt3 FYNQDHEKLF ELILMEDIKF PRTLSSDAKS LLSGLLIKDP NKRLGGGPPD

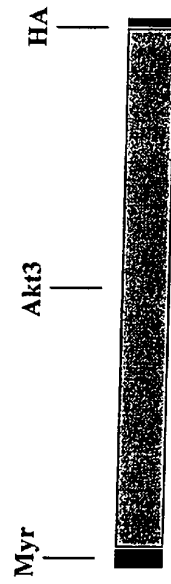
401                              450
h-akt1 AKEIMQHRFF AGIVWQHVYE KKLSPPFKQ VTSETDTRYF DEEFTAQMIT
h-akt2 AKEVMHRFF LSINWQVQ KKLSPPFKQ VTSEVDTRYF DDEFTAQSIT
h-akt3 AKEIMRHSFF SGVNWQVDYD KKLVPFKQ VTSETDTRYF DEEFTAQIT

451                              482
h-akt1 ITPPDQDDSM ECVDSERRPH FPQFSYSASS TA
h-akt2 ITPPDYDSL GLELDQTH FPQFSYSASI R
h-akt3 ITPPEKQQS DQGLGNWKK

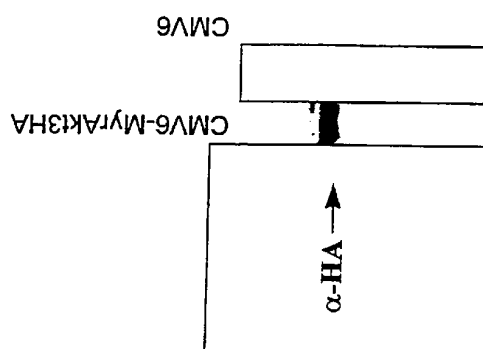
```



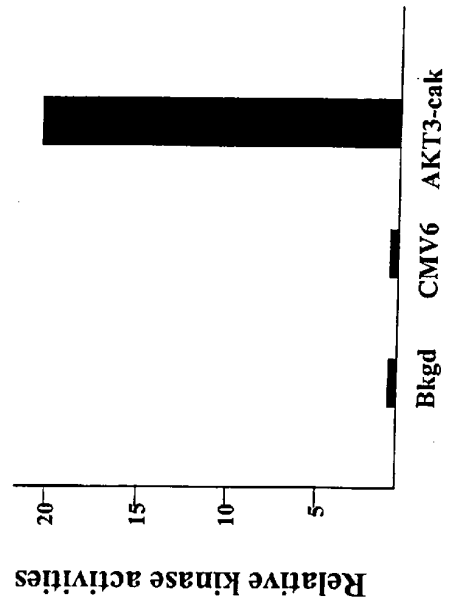
**Figure 3A**







**Figure 3B**

**Figure 3C**

**Figure 4**