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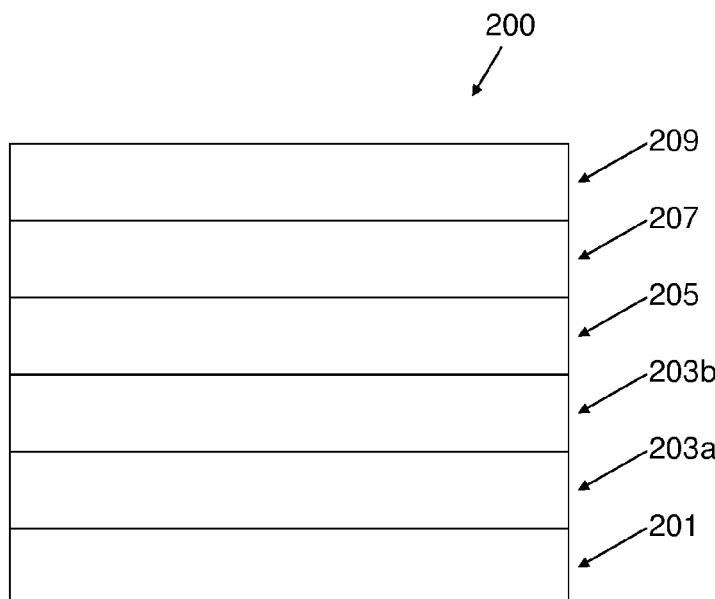
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(54) Title: DEVICE AND METHOD OF FORMING A DEVICE

**FIGURE 2a**



(57) **Abstract:** A multilayer electrode suitable for use in a secondary battery is disclosed. The major active component of one layer is different to a major active component of an adjacent layer. The use of layered electrodes improves both the capacity retention and cycle life of batteries including such layered electrodes.



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## **Device and Method of Forming a Device**

The present invention relates generally to compositions comprising particles of an electroactive material and additives, and use of said compositions in devices including fuel cells and rechargeable metal ion batteries.

### **5 Background**

Rechargeable metal-ion batteries, for example lithium ion batteries, are extensively used in portable electronic devices such as mobile telephones and laptops, and are finding increasing application in electric or hybrid electric vehicles.

- With reference to Figure 1, known rechargeable metal ion batteries 100 have a conductive layer 101 such as a layer of metal; an anode layer 103; a cathode layer 107 capable of releasing and re-inserting metal ions; an electrolyte 105 between the anode and cathode layers 103 and 107; and a conductive layer 109 such as a layer of metal. When the battery cell is fully charged, metal ions have been transported from the metal-ion-containing cathode 107 layer via the electrolyte 105 into the anode layer 103.
- 10 The anode layer 103 may contain particles of an electroactive material and a binder material (“active material” or “electroactive material” as used anywhere herein means a material which is able to insert into its structure, and release therefrom, metal ions such as lithium, sodium, potassium, calcium or magnesium during the respective charging phase and discharging phase of a battery. Preferably the material is able to insert and release lithium.)
- 15 In the case of a graphite-based anode layer of a lithium ion battery, lithium insertion results in formation of the compound  $\text{Li}_x\text{C}_6$  ( $0 \leq x \leq 1$ ). Graphite has a maximum capacity of 372 mAh/g.

- 20 The use of a silicon-based active anode material is also known in the art. Silicon has a substantially higher maximum capacity than graphite. However, unlike active graphite which remains substantially unchanged during insertion and release of metal ions, the process of insertion of metal ions into silicon results in substantial structural changes, accompanied by substantial expansion. For example, insertion of lithium ions into silicon results in formation of a Si-Li alloy. The effect of Li ion insertion on the anode material is described in, for example, “Insertion Electrode Materials for Rechargeable Lithium Batteries”, Winter et al, 25 Adv. Mater. 1988, 10, No. 10, pages 725-763.

US 2009/301866 discloses a multilayer of a solid support, a first solid layer adhering to the solid support and a second solid layer adhering to the first solid layer, wherein each of the first and second solid layers contain particles of an electrochemically active material and a binder. Both the first and second layer comprise an elastomeric binder.

- 5 US 2012/040242 discloses an anode of a lithium ion secondary battery, the anode having a multilayer structure composed of a first layer containing silicon and a second layer containing silicon and a metal element. The presence of the metal element is stated to inhibit expansion and shrinkage of the anode.

- 10 US 7311999 discloses an anode of an anode collector, an anode active material layer and a layer of silicon oxide on the anode active material layer. The layer of silicon oxide is included to inhibit reaction between the anode active material layer and an electrolyte.

- 15 US 7638239 discloses an electrode of a current collector containing copper, an active material and a buffer formed from two layers between the current collector and the active material. The buffer is provided to prevent excessive diffusion of copper from the current collector into the active material, and diffusion of silicon from the active material into the current collector.

- 20 US 7824801 discloses an electrode of a current collector, a first silicon layer having no oxygen or a low ratio of oxygen to silicon and a second silicon layer having a higher oxygen to silicon ratio. The first layer is stated to have a high charge / discharge capacity and high electron conductivity, but a large expansion coefficient and low ion conductivity. The second layer is stated to have a smaller coefficient of expansion and lower charge / discharge capacity than the first layer, but higher ion conductivity.

- 25 US 8080337 discloses a current collector and alternating first and second layers. The first layer contains an active material. The second layer has a larger Young's modulus than the first layer and is conductive. The second layer may be a conductive metal compound, for example a metal nitride, a metal carbide or a metal boride.

Preferably the invention provides energy generating devices, including but not limited to metal ion batteries, having improved performance.

## General

According to a first aspect of the invention, there is provided a multilayer electrode comprising, in sequence, a conductive layer, a first composite electrode layer and second composite electrode layer, each composite electrode layer comprising a particulate material

5 suitable for use as the active material in a metal ion battery and a binder, wherein a major component of the active material of the first composite electrode layer (first major active component) is a material that is different from a material forming a major component of the active material of the second composite electrode layer (second major active component).

Optionally the multi-layer electrode comprises an interface between the first composite layer

10 and the second composite layer.

Optionally, the binders of the first and second composite electrode layers are different.

Optionally, the binder of the first composite electrode layer is an elastomeric polymer and the binder of the second composite electrode layer is a non-elastomeric polymer.

Optionally, the theoretical specific capacity of the major active component of the second

15 composite electrode layer is higher than that of the major active component of the first composite electrode layer.

Optionally one or each- layer comprises one or more sub-layers.

Optionally the first composite electrode layer comprises one or more lower sub-layers

adjacent to or in the region of the current collector. Optionally the first composite electrode

20 layer comprises one or more upper sub-layers at or in the region of the interface between the first composite electrode layer and the second composite electrode layer.

Optionally the second composite layer comprises a lower surface adjacent the interface and an upper surface distal the interface.

Optionally the second composite layer comprises one or more lower sub-layers adjacent to or

25 in the region of the interface between the first composite electrode layer and the second composite electrode layer. Optionally the second composite layer comprises one or more upper sub-layers at or in the region of an upper surface of the second composite electrode layer.

Optionally the concentration of the major active component of one sub-layer differs from the concentration of a major active component in an adjacent sub-layer within a composite layer of the multi-layer electrode.

Optionally the concentration of the first major active component decreases between the

5 current collector and the interface with the second composite electrode layer.

Optionally the concentration of the second active component increases in a direction between the interface with the first composite electrode layer and an upper surface of the second composite electrode layer.

Optionally the composition of the binder in one sub-layer differs from the composition of the

10 binder in an adjacent sub-layer within a layer of the multi-layer electrode. Optionally the binder comprises a co-polymer of an elastomeric and a non-elastomeric polymer. Optionally the binder comprises a co-polymer comprising an elastomeric and a non-elastomeric polymer in a ratio 90:10 to 10:90.

Optionally the porosity of the first composite electrode layer is different to the porosity of the

15 second composite electrode layer.

Optionally the porosity of the first composite electrode layer is less than the porosity of the second composite electrode layer.

Optionally the porosity of the first composite electrode layer is greater than 5vol%.

Optionally the porosity of the first composite electrode layer is less than 30vol%.

20 Optionally the porosity of the first composite electrode layer is in the range 20 to 25vol%.

Optionally the porosity of the second composite electrode layer is greater than 20Vol%.

Optionally the porosity of the second composite electrode layer is less than 80Vol%.

Optionally the porosity of the second composite layer is in the range 30 to 70vol%.

Optionally the porosity of one sub-layer differs from the porosity of an adjacent sub-layer

25 within a layer of the multi-layer electrode.

Optionally the porosity of an upper sub-layer of the second composite electrode layer is less than the porosity of a lower sub-layer.

Optionally the first composite electrode layer comprises a first major active component comprising particles of the same or similar morphologies. Optionally the first composite 5 electrode layer comprises a first major active component comprising particles of different morphologies.

Optionally the second composite electrode layer comprises a second major active component comprising particles of the same or similar morphologies.

Optionally the second composite electrode layer comprises a second major active component 10 comprising particles of different morphologies.

Optionally the major active component of the first composite layer comprises an electroactive carbon. Optionally the electroactive carbon is natural and/or artificial graphite or hard carbon.

Optionally, the major active component of the second composite electrode layer is selected from the group consisting of silicon, tin, aluminium, lead and antimony.

15 Optionally, the theoretical specific capacity of the major active component of the second composite electrode layer is greater than 500mAh/g and the theoretical specific capacity of the major active component of the first composite electrode layer is less than 400mAh/g.

Optionally the theoretical specific capacity of one sub-layer differs from the theoretical specific capacity of an adjacent layer within a layer of the multi-layer electrode.

20 Optionally the theoretical specific capacity of an upper sub-layer of a second composite electrode layer is greater than the theoretical specific capacity of a lower sub-layer.

Optionally, the concentration of the major active component in the first composite anode layer is higher than the concentration of the major active component in the second composite anode layer.

25 Optionally, the volume increase  $V_1$  of the major active component of the second composite electrode layer is at least 90%.

Optionally, the second composite electrode layer contains no more than 20 grams per square metre of the major active component of that layer.

Optionally, the volume increase  $V_1$  of the major active component of the first composite anode layer is no more than 30 %.

- 5    Optionally, the second composite electrode layer contains at least 30 grams per square metre of the major active component of that layer.

Optionally, doped or undoped silicon is the major active component of the second composite electrode layer and active carbon is the major active component of the first composite electrode layer.

- 10    Optionally, the active carbon is selected from one or more of hard carbon, carbon nano-tubes and graphite.

Optionally the graphite comprises natural or synthetic graphite. Optionally the graphite is provided in the form of flakes, meso-carbon micro-beads and massive artificial graphite. Small, medium and large carbon flakes may optionally be utilized.

- 15    Optionally the graphite comprises meso-carbon micro-beads.

Optionally the active carbon comprises elongate such as carbon nano-tubes and carbon fibres.

Optionally the active carbon comprises hard carbon. Optionally, active carbon is the only major active component of the first composite electrode layer

- 20    Optionally, doped or undoped silicon is the only active component of the second composite electrode layer.

Optionally the active silicon comprises flakes, particles, fibres, ribbons, scaffold structures, tubes and a mixture thereof. Optionally, the active silicon particles comprise native particles, pillared particles, porous particles, porous particle fragments and mixtures thereof.

- 25    Optionally, the particles are spheroidal, cuboidal, elongate or spherical in shape. Optionally, the second composite electrode layer comprises at least one further active material.

Optionally the further active material is an active carbon material.

Optionally, the binder of the first composite electrode layer is PVDF. Optionally the binder for the first composite layer is a polyimide.

Optionally, the binder of the second composite electrode layer is PAA or a salt thereof.

Optionally the binder for second composite electrode layer is carboxymethylcellulose (CMC)

5 or a salt thereof, styrene butadiene rubber (SBR) or a binary or tertiary mixture thereof.

Optionally the binder of the first composite layer comprises a mixture or a copolymer of PVDF and PAA, wherein the PVDF and the PAA are present in a range of 90:10 to 55:45.

Optionally the binder of the second composite layer comprises a mixture or a copolymer of PVDF and PAA, wherein the PVDF and PAA are present in a range of 10:90 to 45:55.

10 Optionally the binder of either the first composite layer or the second composite layer comprises a mixture of PVDF and PAA. Optionally the binder of the first composite layer comprises PVDF and PAA, wherein the PVDF and PAA are present in a ratio of 90:10 to 55:45. Optionally the binder of the second composite layer comprises PVDF and PAA, wherein the PVDF and PAA are present in a ratio of 10:90 to 55:45.

15 Optionally the binder of either the first composite layer or the second composite layer comprises a mixture of polyimide (PI) and carboxymethylcellulose (CMC) or styrene butadiene rubber (SBR). Optionally the binder of the first composite layer comprises Polyimide and CMC/SBR, wherein the PI and CMC/SBR are present in a ratio of 90:10 to 55:45. Optionally the binder of the second composite layer comprises PI and CMC/SBR, 20 wherein the PI and CMC/SBR are present in a ratio of 10:90 to 55:45.

Optionally, the first composite electrode layer is formed on the conductive layer.

Optionally, the second composite electrode layer is formed on the first composite electrode layer.

Optionally, an adhesion layer is provided between the first and second electrodes.

25 Optionally the adhesion layer comprises a mixture of an elastomeric and a non-elastomeric polymer.

Optionally an adhesion layer further comprises a conductive carbon. Optionally the conductive carbon comprises carbon fibres, carbon nano-tubes, ketjen black, lamp black, acetylene black, pitch black, graphene and mixtures thereof.

Optionally, one or more of the composite electrode layers further comprises a conductive

5 particulate additive. Optionally the conductive particulate comprises carbon fibres, carbon nano-tubes, ketjen black, lamp black, acetylene black, graphene and pitch black.

In a second aspect of the invention, there is provided a metal ion battery comprising an anode according to the first aspect of the invention.

In a third aspect of the invention there is provided a method of forming a multilayer electrode

10 according to the first aspect of the invention comprising the steps of: forming the first composite electrode layer over the conductive layer; and forming the second composite electrode layer over the first composite electrode layer.

Optionally, the first and second composite electrode layers of the third aspect may each be formed by depositing a slurry comprising the components of the composite electrode layer

15 and one or more solvents, and evaporating the one or more solvents.

Optionally an adhesion layer is deposited between the first composite layer and the second composite layer. Optionally the adhesion layer comprises carbon fibres, polymeric fibres, metal fibres or a mixture thereof.

Optionally the first and second composite electrode layers are deposited using one or more

20 methods selected from doctor blade coating, electrostatic coating techniques including powder coating, spin coating, spray coating, vertical coating, dip coating and chemical vapour deposition.

Optionally, in the third aspect of the invention, pressure may be applied to at least the first composite electrode layer during or after evaporation of the one or more solvents.

25 Optionally, in the third aspect of the invention, pressure may be applied by calendering.

Optionally, in the third aspect of the invention, a first pressure may be applied to the first composite electrode layer prior to formation of the second composite electrode layer, and no

pressure or a second pressure may be applied to the second composite electrode layer wherein the second pressure is lower than the first pressure.

Optionally, in the third aspect of the invention, the binder of the first composite electrode layer is insoluble in the solvent or solvent mixture of the slurry used to form the second composite electrode layer.

### **Brief Description of the Drawings**

The invention will now be described in more detail with reference to the drawings in which:

Figure 1 illustrates a prior art metal ion battery;

Figure 2a illustrates a metal ion battery according to an embodiment of the invention having

10 two anode layers;

Figure 2b illustrates a metal ion battery according to an embodiment having two anode layers in which each layer comprises at least two sub-layers.

Figures 3a and 3b illustrate methods of forming pillared particles;

Figure 4 illustrates the capacity of a hybrid half cell according to an embodiment of the

15 invention;

Figure 5 illustrates the capacity retention of a hybrid half cell according to an embodiment of the invention;

Figure 6 illustrates the capacity of a half cell comprising a bi-layer anode and lithium cathode according to an embodiment of the invention;

20 Figure 7 illustrates the capacity retention for a full bi-layer cell according to an embodiment of the invention;

Figure 8 illustrates the capacity vs number of cycles for a bi-layer for a bi-layer full cell according to an embodiment of the invention;

25 Figure 9 illustrates the capacity retention vs number of cycles for a bi-layer full cell according to an embodiment of the invention;

Figure 10 illustrates the capacity vs number of cycles for a bi-layer half cell according to an embodiment of the invention;

Figure 11 illustrates the capacity retention vs number of cycles for a bi-layer half cell according to an embodiment of the invention;

5 Figure 12 illustrates the capacity vs number of cycles for a bi-layer full cell according to an embodiment of the invention;

Figure 13 illustrates the capacity retention vs number of cycles for a bi-layer full cell according to an embodiment of the invention;

10 Figure 14 illustrates the capacity vs number of cycles for a bi-layer full cell according to an embodiment of the invention;

Figure 15 illustrates the capacity retention vs number of cycles for a bi-layer full cell according to an embodiment of the invention;

Figure 16 illustrates the capacity vs number of cycles for a bi-layer half cell according to an embodiment of the invention;

15 Figure 17 illustrates the capacity retention vs number of cycles for a bi-layer half cell according to an embodiment of the invention;

Figure 18 illustrates the capacity vs number of cycles for a bi-layer full cell according to an embodiment of the invention; and

20 Figure 19 illustrates the capacity retention vs number of cycles for a bi-layer full cell according to an embodiment of the invention.

### **Detailed Description**

Figure 2a illustrates a rechargeable metal ion battery 200 according to an embodiment of the invention. The battery 200 has a conductive anode current collector layer 201 such as a layer of metal; a first composite anode layer 203a; a second composite anode layer 203b; a cathode layer 207 capable of releasing and re-inserting metal ions; an electrolyte 205 between the anode layers 203a and 203b and cathode layer 207; and a conductive layer 209 such as a layer of metal.

Each of composite anode layers 203a and 203b contains at least a binder and particles of one or more electroactive materials. Anode layers 203a and 203b have different compositions.

The anode layers 203a and 203b may differ in one or more of the ratio of materials forming each composite layer, or in one or more of the materials forming each composite layer. Each

5 of composite anode layers 203a and 203b may consist essentially of a binder and one or more electroactive materials, or may contain one or more further components, for example particles of one or more non-active conductive material, for example carbon black.

The major active components of the first and second composite anode layers 203a and 203b are different (a material present in a layer as a “major active component” as used herein

10 means that the material forms more than 50 weight % and up to up to 100 weight % of the active material(s) in that layer, optionally 51-100 weight %, 60-100 weight %, 75-100 weight % or 90-100 weight % of the active materials in that layer). Herein, the materials present in the first and second composite layers may be considered to be “different” if their either or both of their intrinsic capacity or volume increase on metal insertion differ.

15 The same active material may be present in both composite anode layers 203a and 203b, provided that the material is present as a major active component in one anode layer and present only as a minor active component in the other anode layer, or provided that the same active material is provided as a minor active component in both layers. A minor active component may make up 0.5 – 20 weight % of the active materials of a layer. A minor active 20 component may make up 0.5 – 10 weight % of the total weight of an anode layer.

The major active component may make up 50-98 weight %, optionally 50-90 weight %, of the total weight of a composite anode layer, the remaining weight being made up of the binder and any other components such as one or more minor active components and non-active conductive additives.

25 Figure 2b illustrates that each of layers 203a and 203b may comprise sub-layers 203a(i), 203a(ii), 203a(iii)...., 203a(n), 203b(i), 203b(ii), 203b(iii)....., 203b(n). The major active components of each sub-layer within a layer are identical. The concentration the major active component in a sub-layer may be identical or different to the concentration of the major active component in an adjacent sub-layer. However, the concentration of the major active 30 component in a sub-layer may different to that of an adjacent sub-layer, providing the average concentration of the major active component over all the sub-layers corresponds to

the concentration of the major active component in the layer as a whole. The theoretical intrinsic capacity of each sub-layer may be different to that of an adjacent sub-layer.

Exemplary active materials include graphite, hard carbon, silicon, tin, germanium, gallium, lead, aluminum, bismuth and zinc. In a preferred embodiment, the first and second active materials may be selected from graphite-containing and silicon-containing active materials. The first and second active materials may independently consist essentially of graphite or silicon, including undoped or p- or n-doped graphite or silicon. The first and second active materials may each independently be a composite material.

Active graphite may provide for a larger number of charge / discharge cycles without

10 significant loss of capacity than active silicon, whereas silicon may provide for a higher capacity than graphite. Accordingly, an electrode composition comprising a silicon-containing active material and a graphite active material may provide a lithium ion battery with the advantages of both high capacity and a large number of charge / discharge cycles.

In a preferred embodiment, a major active component of one of the first and second

15 composite anode layers is a silicon-containing active material (including a material that consists essentially of doped or undoped silicon, and a composite active material containing doped or undoped silicon and one or more further materials), and a major active component of the active material of the other of the first and second composite anode layers is graphite.

Preferably the first composite layer comprises graphite as a major active component and the

20 second composite layer comprises silicon as a major active component.

The binders provide cohesion for particles of the composite anode electrodes and as such may serve to prevent migration of particles out of the composite anode electrode layers and / or reduce delamination of the composite anode electrode layers as compared to composite anode electrode layers having no binder. The present inventors have found that an active 25 material may have different compatibilities with different binders. By the term "compatible" it should be understood to mean that a cell prepared from a composite material comprising a binder and one of the major active components disclosed herein does not exhibit more than a 10% loss in discharge capacity over 50 charging/discharging cycles.

The present inventors have found that separating active materials into multiple composite

30 anode layers can be used to enhance device performance. A battery cell comprising a multi-

layer composite electrode in which each layer comprises a different major active component to that of an adjacent layer exhibits enhanced charge discharge capacity characteristics compared to a battery comprising a single composite layer comprising a mixture of major active components, because a binder that can efficiently bind one of the major active

5 components is unable to efficiently bind the other major active component. The nature of the binder can be tailored to the composition of a layer of which it forms a part. For example, where a layer or sub-layer comprises a mixture of a first major electroactive component having a compatibility with a first binder and an incompatibility with a second binder and a second electroactive component, which is compatible with a second binder but incompatible with a first binder, the binder for the layer may be a co-polymer or a mixture of the first and second binders; the relative proportions of the first and second binder components in the mixture or co-polymer will depend, in part, on the relative proportions in which the first major and second electroactive components are present within the layer or sub-layer. Since 10 the major component of a layer comprises more than 50wt% of the layer of which it forms a part, the binder composition will generally comprise a mixture or a copolymer comprising 15 more than 50wt% of the binder that is compatible with the major electroactive component.

Where a mixture of polymers is used to prepare a composite electrode layer comprising a first major electroactive component and a second electroactive component, the binder suitably comprises 50 to 100wt% of a binder that is compatible with the first major active

20 component, preferably 60 to 90wt% and especially 70 to 80wt%.

Where a mixture of polymers is used to prepare a composite electrode layer comprising a second major electroactive component and a first electroactive component, the binder suitably comprises 50 to 100wt% of a binder that is compatible with the second major active component, preferably 60 to 90wt% and especially 70 to 80wt%.

25 In a preferred arrangement, the major active component of the first composite anode layer 203a undergoes a smaller volume increase upon metal insertion than the major active component of the second composite anode layer.

Volume changes are given in Table 1 for a range of active materials. The volume percentage values in Table 1 relate to the increase in volume ( $V_1$ ) of the active material upon lithium

30 insertion to the theoretical specific capacity of the active material, the total volume following volume increase being  $V_0 + V_1$  wherein  $V_0$  is the volume of the active material before any

lithium insertion. The volume increase  $V_1$  is measured by comparing the unit volume of the electrode material before and after charging.

Table 1

Material	C	Si	Sn	Sb	Al	Mg	Bi
Theoretical specific capacity (mAh / g)	372	4200	994	660	993	3350	385
Volume change $V_1$ (%)	12	320	260	200	96	100	215

5     Optionally, the volume increase  $V_1$  of the major active component of the second composite anode layer is at least 90% (i.e. volume increases to at least 190 % of original volume  $V_0$ ), and is optionally up to 300%.

Optionally, the volume increase  $V_1$  of the major active component of the first composite anode layer no more than 30 %, optionally in the range 5-30%.

10    It will therefore be appreciated that the extent of expansion and the detrimental effects associated with expansion can be reduced through the formation of multi-layered electrodes according to the invention. An electrode comprising a first or lower layer having a volume increase that does not exceed 30% and a second upper layer having a volume increase of at least 90% does not tend to delaminate or exhibit a phenomenon known as heave during the 15    charging and discharging phases of a battery cell including the electrode (heave should be understood to mean a process by which the electrode mass as a whole moves away from the substrate as a result of the charging and discharging process). The smaller volume increase of the lower layer compared to the upper layer, means that the pressure exerted on the upper layer is less than it would be if the lower layer comprised a material having a larger volume increase on charging or a mixture of a material having a larger volume increase and a material having a smaller volume increase; the pressure build up within an electrode layer 20

leads to de-lamination because the build up of stress within the electrode as a result of the expansion causes the material to crack.

The build up of stress within electrode layers can be further reduced by forming each composite layer from two or more sub-layers. Preferably each sub-layer has a different

5 composition to that of its adjacent sub-layer. Preferably each sub-layer comprises more than 50wt% of the major active component for that layer. Preferably the concentration of the major active component in each sub-layer either increases or decreases relative to that of an adjacent sub-layer in a direction normal to the current collector.

A first composite layer may comprise more than 50wt% of a first major electroactive

10 component, which exhibits a volume increase of no more than 30% and up to 40wt% of a second electroactive component which exhibits a volume increase of at least 90%. Preferably, the first major electroactive component comprises more than 60wt% of the first composite layer, preferably more than 70wt%, especially more than 75wt%. Preferably, the second electroactive component comprises no more than 30wt%, preferably no more than 20wt%.

15 Suitably the second electroactive component comprises at least 1wt%, preferably at least 2wt% and especially at least 10wt% of the first composite layer. The first composite layer may comprise sub-layers. The concentration of the first major electroactive component in each sub-layer suitably decreases on passing from sub-layer to sub-layer in a direction perpendicular to the current collector. The concentration of the second electroactive

20 component may remain the same or may increase in passing from sub-layer to sub-layer. This arrangement of layers means that, on charging a battery cell including an electrode according to the first aspect of the invention, there is a greater incremental increase in the expansion of an upper sub-layer relative to a lower adjacent sub-layer. As a result, the build up of pressure within the electrode layer as a whole is reduced, internal stresses are minimised and the

25 electrode exhibits more stable behaviour over the lifetime of a battery cell including the electrode. In addition the capacity per unit volume of the cell can be increased compared to the situation where the first composite layer does not contain any major electroactive components of the second composite layer.

Similarly, a second composite layer may comprise more than 50wt% of a second major

30 electroactive component, which exhibits a volume increase of greater than 90% and up to 40wt% of a second electroactive component which exhibits a volume increase of no more than 30%. Preferably, the second major electroactive component comprises more than 60wt%

of the second composite layer, preferably more than 70wt%, especially more than 75wt%. Preferably, the first electroactive component comprises no more than 30wt%, preferably no more than 20wt%. Suitably the first electroactive component comprises at least 1wt%, preferably at least 2wt% and especially at least 10wt% of the composite layer. The second composite layer may comprise sub-layers. The concentration of the second major electroactive component each sub-layer suitably increases on passing from sub-layer to sub-layer in a direction perpendicular to the current collector. The concentration of the first electroactive component may decrease or may remain the same. This arrangement of layers means that, on charging a battery cell including an electrode according to the first aspect of the invention, there is a greater incremental increase in the expansion of an upper sub-layer relative to a lower adjacent sub-layer. As a result, the build up of pressure within the electrode layer as a whole is reduced, internal stresses are minimised and the electrode exhibits more stable behaviour over the lifetime of a battery cell including the electrode. In addition the capacity per unit volume of the cell can be increased compared to the situation where the second composite layer does not contain any major electroactive components of the first composite layer.

A non-elastic polymer binder can be used to bind a composite layer comprising multiple sub-layers providing the concentration of the major electroactive component, which exhibits a volume increase of no more than 30% does not drop below 50wt%. However, it will be appreciated that the cohesiveness of the layer as a whole can be improved by tailoring the composition of the binder to the composition of the composite layer. For example, the binder may comprise a co-polymer or a mixture of a non-elastomeric polymer and an elastomeric polymer, wherein the binder comprises from 100wt% to 55wt% of a non-elastic binder and from 0 to 45wt% of an elastic binder. Preferably the non-elastic binder is PVDF. Preferably the elastic binder is NaPAA.

An elastic polymer binder can be used to bind a composite layer comprising multiple sub-layers providing the concentration of the major electroactive component, which exhibits a volume increase of at least 90% does not drop below 50wt% of the total weight of the electroactive material in the composite. However, it will be appreciated that the cohesiveness of the layer as a whole can be improved by tailoring the composition of the binder to the composition of the composite layer. For example, the binder may comprise a co-polymer or a mixture of a non-elastomeric polymer and an elastomeric polymer, wherein the binder

comprises from 100wt% to 55wt% of an elastic binder and from 0 to 45wt% of a non-elastic binder. Preferably the non-elastic binder is PVDF. Preferably the elastic binder is NaPAA.

Optionally, the major active component of the second composite anode layer has an intrinsic capacity of greater than 500mAh/g. Optionally, the major active component of the first

5 composite anode layer has an intrinsic capacity of less than 400mAh/g.

The theoretical specific capacity of one sub-layer differs from the theoretical specific capacity of an adjacent sub-layer within a composite layer of a multi-layer electrode. Where a composite layer comprises two or more sub-layers, the theoretical specific capacity of an upper sub-layer is suitably greater than that of a lower sub-layer.

10 In a preferred arrangement, the conductivity of the first composite anode layer is higher than that of the second composite anode layer.

In a preferred arrangement, the first composite anode layer has higher density than the second composite anode layer. Where the first active material comprises sub-layers comprising a mixture of the first major electroactive component and a second electroactive component, the 15 concentration of the first major electroactive component is suitably greater than that of the second electroactive component.

In a preferred arrangement, the intrinsic capacity provided by the active materials of the second composite anode layer is higher than that provided by the first composite anode layer.

20 Suitably the porosity of the first composite layer is different to that of the second composite layer. In a preferred arrangement, the porosity of the second composite anode layer is higher than that of the first composite anode layer. The porosity of the first composite layer is suitably greater than 5vol%. Preferably the porosity of the first composite layer is less than 50vol%, more preferably less than 30vol% and especially in the range 20 to 25vol%.

25 The porosity of the second composite layer is suitably less than 80vol%. The porosity of the second composite layer is suitably greater than 20vol%. It is especially preferred that the porosity of the second composite layer is in the range 30 to 70vol%.

Where each composite layer comprises two or more sub-layers, the porosity of one sub-layer may be the same or different to that of an adjacent sub-layer. Preferably the porosity of an

upper sub-layer is greater than that of an adjacent lower sub-layer within a composite layer of the electrode.

The major active components in both the first composite layer and the second composite layer comprise particulate materials. The particles of each material may be provided in different morphological states. Examples of different morphologies include native particles, fibres, wires, tubes, flakes, ribbons, structured particles, porous particles, porous particle fragments and scaffold structures. The major electroactive component of each composite electroactive layer or sub-layer may comprise particles of one type of morphology or a mixture of morphologies. Preferably the particles of a major active component within one sub-layer are all of the same morphology.

In a preferred arrangement, the first composite anode layer 203a contains graphite as the major active component and the second composite anode layer 203b contains silicon as the major active component.

Graphite does not undergo significant expansion and contraction upon insertion and release of metal ions. Accordingly, the binder used for a composite anode layer containing graphite as the major active component need not be selected from materials capable of accommodating expansion of the active material, for example PVDF. Furthermore, the lack of significant expansion of graphite means that the concentration of active material in a layer having graphite as the major active component may be relatively high as compared to a layer having silicon (or another material that undergoes a significant volume increase) as the major active component in which the concentration may be lower to allow for expansion of silicon upon metal insertion.

Preferably the graphite comprises spherical graphite particles, for example, SFG6 graphite particles of the type sold by Timcal®.

A composite anode layer containing graphite as a major active component may contain at least 30 grams per square metre (gsm) of graphite, optionally at least 40 gsm, optionally at least 50 gsm.

A composite anode layer containing a material that undergoes a volume increase V1 of at least 90 % as a major active component may contain no more than 20 gsm or no more than 10 gsm of the major active component.

Suitable binders for a composite anode layer containing silicon as the major active component include binders that can accommodate, i.e. allow expansion of the silicon during charging and discharging, such as polyacrylic acid and salts of polyacrylic acids, for example alkali, alkali earth or transition metal salts of polyacrylic acid. Copolymers or mixtures of

5 polyacrylic acid type binders with an elastomeric binder may also be used, providing the co-polymer or mixture comprises more than 50wt% PAA. Copolymers or mixtures of polyimide (PI) and carboxymethylcellulose or CMC and or styrene butadiene rubber (SBR) may also be used, providing the co-polymer or mixture thereof comprises more than 50wt% PI.

A first composite anode layer 203a containing graphite as the major active component may

10 have higher conductivity and / or a higher capacity per unit volume than a second composite anode layer 203b containing silicon as the major active component. The lack of significant expansion of graphite upon metal insertion may allow for a lower porosity first composite anode layer 203a and a higher concentration of graphite and / or conductive additives per unit volume of the first composite anode layer 203a than in the second composite anode layer 203b. High conductivity of the first composite anode layer 203a may allow for low contact resistance between anode current collector layer 201 and the first composite anode layer 203a. Porosity of a composite electrode layer may be increased by inclusion of a material such as oxalic acid.

It will be understood that good contact between the electrolyte 205 and the active material of

20 the composite anode layers is required for efficient charging and discharging of the battery 200. Active material(s) contained in second composite anode layer 203b of a lithium ion battery 200 may be partially or completely lithiated upon charging of the battery. There may be little or no charging of active material contained in the first composite anode layer 203a until second composite anode layer 203b has been fully lithiated. The active material(s) of 25 the first composite anode layer 203a may undergo complete lithiation, partial lithiation or no lithiation, depending on the driving conditions during charging of the battery 200.

Accordingly, a relatively low porosity of the first composite anode layer 203a may have little or no effect on efficiency of charging and discharging due to a majority of metal ion insertion and release occurring in the second composite anode layer 203b.

30 Each of the first and second composite electrode layers may be formed by depositing a slurry of the components of each layer in a liquid using a suitable coating method, for example spin-coating, dip-coating or doctor-blade coating. Doctor-blade coating is preferred.

Suitable liquids include water, organic solvents and mixtures thereof. Additional coating methods that can be used include spray coating techniques, particularly electrostatic coating techniques, powder coating, spin coating, vertical coating, dip coating and chemical vapour deposition.

- 5 Doctor blade coating techniques are particularly suitable for forming homogeneous single layers on the substrate of a multi-layer electrode. Composite layers comprising two or more sub-layers are suitably formed using spray coating techniques, particularly electrostatic coating techniques and spin coating techniques.

Following deposition of the slurry used to form a first composite anode layer 203a containing

- 10 graphite as the major active component, and during or after evaporation of the liquid or liquids of the slurry, pressure may be applied to the first composite anode layer. This application of pressure may reduce resistivity and improve adhesion between the first composite anode layer 203a and the conductive current collector layer 201. This reduction in resistivity may be due to an increase in the contact surface area between active materials of  
15 the first composite anode layer 203a and current collector layer 201 and / or an increase in the concentration of conductive material per unit volume of the first composite anode layer 203a. Furthermore, application of pressure may increase the charge capacity per unit volume of the first composite anode layer 203a. A suitable method for application of pressure is calendering.

- 20 Sub-layers comprising a mixture comprising more than 50wt% of a first electroactive component that undergoes a relatively low volume change upon metal insertion or alloying and less than 40wt% of a second electroactive component that undergoes a relatively large volume change upon metal insertion or alloying may also be calendered to increase the capacity per unit volume of the composite layer. However, the extent of calendering will  
25 depend on the relative proportions of the first and second electroactive components and the composition of the binder in the sub-layer.

Preferably, the second composite anode layer 203b containing silicon as the major active component has relatively high porosity to allow for expansion of silicon. Preferably, pressure is not applied on formation of such a layer, or a lower pressure is applied as

- 30 compared to the pressure applied to the first composite anode layer 203a, in order to maintain its relatively high porosity.

In an alternative embodiment, a composite anode layer comprising as the major active component an active material that undergoes a relatively small volume change upon metal insertion or alloying, for example graphite, is formed on a substrate and pressure is applied to this layer, for example by calendaring. On this layer is formed another composite anode 5 layer comprising as the major active component an active material that undergoes a relatively large volume change upon metal insertion or alloying, for example silicon, and a conductive layer is formed over both layers.

Inverting this structure gives a composite electrode structure in which the first composite electrode layer contains as the major active component a material that undergoes a relatively 10 large volume change upon metal insertion or alloying, and an overlying second electrode layer to which pressure has been applied without the same pressure being applied to the first composite electrode layer.

A slurry for forming a composite anode layer having graphite as the major active component may have a graphite concentration of 1 g/cm<sup>3</sup> to 2 g/cm<sup>3</sup>, preferably 1.4 to 1.7 g/cm<sup>3</sup> and a 15 slurry for forming a composite anode layer having silicon as the major active component may have a silicon concentration of at least 0.1g/cm<sup>3</sup> and up to 1.5g/cm<sup>3</sup>, preferably between 0.2 and 1 g/cm<sup>3</sup>. The thickness of the layers or sub-layers can be controlled by varying the concentration of the solids in the slurry. In general a dilute slurry can be used to prepare a thinner layer or sub-layer.

20 Different liquids or liquid compositions may be used to deposit different composite anode layers. In order to prevent or minimise mixing of adjacent composite anode layers, the liquid or liquids of a slurry used to deposit a composite anode layer onto an underlying composite anode layer may be selected from liquids in which the binder of the slurry is soluble, but in which the binder of the underlying composite anode layer is sparingly soluble or completely 25 insoluble. The slurry used to form the overlying layer may contain a binder and a solvent or solvent mixture for the binder wherein the binder has a solubility of greater than 1 weight % or greater than 3 weight % in the solvent or solvent mixture at room temperature. The binder of the underlying composite anode layer may have a solubility of less than 0.1 weight % or less than 0.05 weight % at room temperature in the solvent or solvent mixture contained in 30 the slurry used to form the overlying layer.

A material such as oxalic acid may be included in the slurry used to form the first or second composite electrode layer to increase the porosity of that layer. Alternatively the slurry may be prepared using a multi-component solvent comprising two or more solvents, wherein a first solvent boils at a lower temperature than the other. On formation of a slurry layer on a

- 5 substrate surface, the first solvent having the lower boiling point is removed from during the initial drying process to leave a composite material, which includes within its volume molecules of a second solvent having a higher boiling point; this can be removed by further heating the composite material to at least a temperature at which the second solvent evaporates to form a composite material including pores or voids within its structure. Both
- 10 complete composite layers and sub-layers can be formed using these techniques.

As an alternative to depositing a slurry containing the binder in polymeric form, a slurry may be used as described above but wherein some or all of the polymeric binder is replaced with monomeric material. Upon deposition of the slurry, the monomers may be polymerised to form the binder. Suitable polymerisation methods include electro-polymerisation, radical

15 initiated polymerisation, condensation polymerisation and addition polymerisation. The use of monomeric species, which can be polymerised to polymeric binder species after deposition onto a substrate has been found to be particularly advantageous in the formation of sub-layers. Better control of layer thickness can be achieved using solutions comprising monomers rather than polymers.

- 20 A contact adhesive may be used between adjacent composite anode layers having different binders. Exemplary contact adhesives include polymers with characteristics of the binders of each of the adjacent composite anode layers, such as a copolymer of VDF and acrylic acid or acid salt in the case of adjacent composite anode layers in which one layer contains a polyacrylic acid or acid salt binder and the other layer contains a PVDF binder. A layer of
- 25 contact adhesive may further comprise conductive components such as VGCF, carbon nano-tubes and flakes to enhance the conductivity of the multi-layer electrode.

The binder for the first composite electrode layer preferably binds strongly to the conductive layer 209.

- 30 The thickness of the first composite electrode layer 203a is preferably in the range of about 20-40 microns. The thickness of the second composite electrode layer 203b is preferably in

the range of 5-20 microns. Preferably, the second composite electrode layer 203b is thinner than the first composite electrode layer 203a.

In further embodiments, devices of the invention may be as described with reference to Figure 2, but may contain three or more anode layers, each layer containing at least a binder and an electroactive material, wherein the composition of at least two, optionally all, of the three or more layers is different. If more than two composite anode layers are present then the major active component of two or more of the composite anode layers may be the same, provided that the major active component of at least two of the composite anode layers are different. If more than two layers are present then the composite anode layer closest to the cathode preferably has the highest porosity of the composite anode layers present, and the major active component of this layer preferably has the highest intrinsic capacity of the composite anode layers.

#### Current collector

Anode current collector layer 201 may be formed from any conductive material, preferably a metal or metal alloy. Exemplary metals and alloys are copper, nickel, steel and titanium. Conductive carbon is an example of a non-metallic conductive material that may be used to form anode current collector layer 201. The anode current collector layer provides a substrate on which the composite anode layers may be formed.

As an alternative to the distinct layer of Figure 2, the anode current collector may extend into the first composite anode layer for increased contact between the current collector and the components of the first composite anode. The anode current collector may contain conductive elongate fingers or a conductive mesh extending laterally along at least part of the length of the first composite anode layer.

#### Active materials

Preferred active particulate materials include: materials consisting essentially of doped or undoped silicon; composite silicon-containing active materials that contain doped or undoped silicon and one or more further elements or compounds; composite graphite-containing active materials that may contain graphite and one or more further elements; or compounds or that may consist essentially of graphite, each of which may have any structure as described below.

Exemplary particulate active graphite materials include particles of natural and artificial graphite such as meso-carbon micro-beads, hard carbon and massive artificial graphite.

Specific examples are available as Timrex<sup>RTM</sup> SFG6, SFG10, SFG15, KS4 or KS6 manufactured by Timcal Ltd.

- 5 The particles may have any shape and may be, without limitation, elongate particles such as rods, fibres, tubes or wires; scaffold structures; spherical, spheroid or cuboid shapes; and flakes. A powder of elongate particles may contain elongate particles that are isolated from each other or bundles of the elongate particles, for example bundles of elongate tubes or fibres.
- 10 The particles may have a size with a largest dimension up to about 100 $\mu$ m, preferably less than 50 $\mu$ m, more preferably less than 30 $\mu$ m. The particles may have at least one smallest dimension less than 25 $\mu$ m. The smallest dimension may be more than 0.5 nm. The smallest dimension of a particle is defined as the size of the smallest dimension of an element of the particle such as the diameter for a rod, fibre or wire, the smallest diameter of a cuboid or spheroid or the smallest average thickness for a ribbon, flake or sheet where the particle may consist of the rod, fibre, wire, cuboid, spheroid, ribbon, flake or sheet itself or may comprise the rod, fibre, wire, cuboid, spheroid, ribbon, flake or sheet as a structural element of the particle.
- 15 Wires, fibres, rods or ribbons may have smallest dimensions as the diameter or minimum thickness of up to 2 microns, optionally about 0.1 microns and may have lengths of more than 1 $\mu$ m, optionally more than 5  $\mu$ m with aspect ratios of at least 2:1, optionally at least 5:1, at least 10:1, at least 100:1 or at least 1000:1. The smallest dimensions may be at least about 10nm. The ribbons may have widths that are at least twice the minimum thickness, optionally at least five times the minimum thickness.
- 20 Flakes may have a thickness of at least 20 nm, and a thickness of up to about 20 microns or 10 microns, 2 microns, optionally about 0.1 microns, and other dimensions in the range of 5-50 microns.

30 Particle size distribution may be measured using laser diffraction methods, for example using a MasterSizer<sup>RTM</sup> or optical digital imaging methods. A distribution of the particle sizes of a powder of starting material particles used to form pillared particles may be measured by laser diffraction, in which the particles being measured are typically assumed to be spherical, and in which particle size is expressed as a spherical equivalent volume

diameter, for example using the Mastersizer™ particle size analyzer available from Malvern Instruments Ltd. Size distribution of particles in a powder measured in this way may be expressed as a diameter value  $D_n$  in which at least  $n$  % of the volume of the powder is formed from particles have a measured spherical equivalent volume diameter equal to or less than  $D$ .

In a powder of the active particles, preferably at least 20%, more preferably at least 50% of the volume of the powder is formed from particles having a diameter  $D_n$  in the ranges defined herein.

Surface area per unit mass of the active particles measured using the BET (Brunauer, Emmett and Teller) technique may be in the range of  $0.1 \text{ m}^2/\text{g}$ . Preferably it is more than  $1 \text{ m}^2/\text{g}$  and more preferably it is more than  $5 \text{ m}^2/\text{g}$ . Surface area per unit mass is preferably less than  $200 \text{ m}^2/\text{g}$ . Preferably it is less than  $100 \text{ m}^2/\text{g}$ , more preferably it is less than  $60 \text{ m}^2/\text{g}$  or less than  $50 \text{ m}^2/\text{g}$ , most preferably it is less than  $35 \text{ m}^2/\text{g}$ .

Active particulate materials may be structured particles, for example particles having a core and pillars, preferably microporous or mesoporous pillars, extending from the core, and particles having pores on the particle surface or pores throughout the particle volume.

A surface of a macroporous particle may have a substantially continuous network of the particle material at a surface of the particle with spaces, voids or channels within the material that may have dimensions of at least 50nm. Such voids may be present throughout the particle volume or may be restricted to regions of the particle. A particle may have regions of pillars and regions of pores. Porous particles may have pore wall thickness of at least 50nm and up to 100nm. Porous particles may have a material thickness of at least 30nm and up to 120nm.

Preferred structured particles are structured silicon including pillared particles of silicon and porous silicon, and structured silicon composite particles.

Porous silicon, either in a bulk form or in a particulate form, may be crushed to provide porous silicon having a surface area and capacity within a desired range. Herein the use of porous particle fragments is also included within the scope of the invention.

In the case of pillared particles the particle may be selected from one of the following:

- a particle having a silicon core with silicon pillars extending from and integral with the silicon core
  - a particle having a non-silicon core of a conductive material, for example a graphite core, with silicon pillars extending from the core; and
- 5 - a particle having a non-silicon core of a conductive material, for example a graphite core, coated with a silicon shell and having silicon pillars extending from and integral with the silicon shell.

The pillars may be core-shell structures, the inner core being of a different material to the outer shell material and where the core and/or shell contains silicon. In the case where the 10 core and pillars are of different materials, the core may or may not be an electroactive material, for example a conductive non-active material, such as a conductive carbon.

Pillared particles may have a pillar mass fraction (PMF) in the range of 15-50 %, 20-40 % or 25-25%, wherein PMF is given by mass of pillars / total mass of pillared particles.

Figure 3A illustrates a first method of forming pillared particles wherein a starting material is etched to form a pillared particle wherein a starting material 301 is exposed to an etching formulation for selective etching at the surface of the starting material to produce a pillared particle 303 having a core 305 and pillars 307.

It will be appreciated that the volume of the particle core of the pillared particle formed by this method is smaller than the volume of the starting material, and the surface of the core is 20 integral with the pillars. The size of the pillared particle may be the same as or less than the size of the starting material.

A suitable process for etching a material having silicon at its surface, either to form pores in the silicon or to form pillars extending from an etched silicon surface, is metal-assisted 25 chemical etching (alternatively called galvanic exchange etching or galvanic etching) which comprises treatment of the starting material with hydrogen fluoride, a source of metal ions, for example silver or copper, which electrolessly deposit onto the surface of the silicon and an oxidant, for example a source of nitrate ions. More detail on suitable etching processes can be found in, for example, Huang et al., *Adv. Mater.* 23, pp 285-308 (2011).

The etching process may comprise two steps, including a step in which metal is formed on 30 the silicon surface of the starting material and an etching step. The presence of an ion that may be reduced is required for the etching step. Exemplary cations suitable for this purpose

include nitrates of silver, iron (III), alkali metals and ammonium. The formation of pillars is thought to be as a result of etching selectively taking place in the areas underlying the electrolessly deposited metal.

The metal deposition and etching steps may take place in a single solution or may take place

5 in two separate solutions.

Metal used in the etching process may be recovered from the reaction mixture for re-use, particularly if it is an expensive metal such as silver.

Exemplary etching processes suitable for forming pillared particles are disclosed in WO 2009/010758 and in WO 2010/040985.

10 Other etching processes that may be employed include reactive ion etching, and other chemical or electrochemical etching techniques, optionally using lithography to define the pillar array.

If the pillared particle comprises a first material at its core centre with a shell formed from a second material, for example carbon coated with silicon, then this particle may be formed by

15 etching of silicon-coated carbon to a depth of less than the thickness of the silicon shell in order to form a pillared particle with a composite carbon / silicon core.

Etching may be to a depth of less than 2-10 microns, optionally at least 0.5 microns, to form pillars having a height of up to 10 microns. The pillars may have any shape. For example, the pillars may be branched or unbranched; substantially straight or bent; and of a

20 substantially constant thickness or tapering.

The pillars may be formed on or attached to a particle core using methods such as growing, adhering or fusing pillars onto a core or growing pillars out of a core. Figure 3B illustrates a second method of forming pillared particles wherein pillars 307, preferably silicon pillars, for example silicon nanowires, are grown on or attached to a starting material 301 such as a

25 silicon or carbon (e.g. graphite or graphene) starting material. The volume of the particle core 305 of the resultant pillared particle 303 may be substantially the same as the volume of the starting material 301. In other words, the surface of the starting material may provide the surface of the particle core 305 from which the pillars 307 extend.

Exemplary methods for growing pillars include chemical vapour deposition (CVD) and

30 fluidised bed reactors utilising the vapour-liquid-solid (VLS) method. The VLS method comprises the steps of forming a liquid alloy droplet on the starting material surface where a

wire is to be grown followed by introduction in vapour form of the substance to form a pillar, which diffuses into the liquid. Supersaturation and nucleation at the liquid/solid interface leads to axial crystal growth. The catalyst material used to form the liquid alloy droplet may for example include Au, Ni or Sn.

- 5 Nanowires may be grown on one or more surfaces of a starting material.

Pillars may also be produced on the surface of the starting material using thermal plasma or laser ablation techniques.

The pillars may also be formed by nanowire growth out of the starting material using methods such as a solid-liquid-solid growth technique. In one example silicon or silicon-

- 10 based starting material granules are coated with catalyst particles (e.g. Ni) and heated so that a liquid alloy droplet forms on the surface whilst a vapour is introduced containing another element. The vapour induces condensation of a product containing the starting material and the other element from the vapour, producing growth of a nanowire out of the starting material. The process is stopped before all of the starting material is subsumed into
- 15 nanowires to produce a pillared particle. In this method the core of the pillared particle will be smaller than the starting material.

Silicon pillars grown on or out of starting materials may be grown as undoped silicon or they may be doped by introducing a dopant during the nanowire growth or during a post-growth processing step.

- 20 The pillars are spaced apart on the surface of the core. In one arrangement, substantially all pillars may be spaced apart. In another arrangement, some of the pillars may be clustered together.

The starting material for the particle core is preferably in particulate form, for example a powder, and the particles of the starting material may have any shape. For example, the

25 starting material particles may be cuboid, cuboidal, substantially spherical or spheroid or flake-like in shape. The particle surfaces may be smooth, rough or angular and the particles may be multi-faceted or have a single continuously curved surface. The particles may be porous or non-porous. The morphology of the particles can be determined using optical techniques known to a person skilled in the art. Scanning Electron Microscopy (SEM) can be

30 used to determine the morphology of a particle.

A cuboid, multifaceted, flake -like, substantially spherical or spheroid starting material may be obtained by grinding a precursor material, for example doped or undoped silicon as described below, and then sieving or classifying the ground precursor material. Exemplary grinding methods include power grinding, jet milling or ball milling. Depending on the size,

- 5 shape and form of the precursor material, different milling processes can produce particles of different size, shape and surface smoothness. Flake-like particles may also be made by breaking up / grinding flat sheets of the precursor material. The starting materials may alternatively be made by various deposition, thermal plasma or laser ablation techniques by depositing a film or particulate layer onto a substrate and by removing the film or particulate 10 layer from the substrate and grinding it into smaller particles as necessary.

The starting material may comprise particles of substantially the same size. Alternatively, the starting material may have a distribution of particle sizes. In either case, sieves and/or classifiers may be used to remove some or all starting materials having maximum or minimum sizes outside desired size limits.

- 15 In the case where pillared particles are formed by etching a material comprising silicon, the starting material may be undoped silicon or doped silicon of either the p- or n-type or a mixture, such as silicon doped with germanium, phosphorous, aluminium, silver, boron and/or zinc. It is preferred that the silicon has some doping since it improves the conductivity of the silicon during the etching process as compared to undoped silicon. The starting 20 material is optionally p-doped silicon having  $10^{19}$  to  $10^{20}$  carriers/cc.

Silicon granules used to form the pillared particles may have a silicon-purity of 90.00% or over by mass, for example 95.0% to 99.99%, optionally 98% to 99.98%.

- The starting material may be relatively high purity silicon wafers used in the semiconductor industry formed into granules. Alternatively, the granules may be relatively low purity 25 metallurgical grade silicon, which is available commercially and which may have a silicon purity of at least 98%; metallurgical grade silicon is particularly suitable because of the relatively low cost and the relatively high density of defects (compared to silicon wafers used in the semiconductor industry). This leads to a low resistance and hence high conductivity, which is advantageous when the pillar particles or fibres are used as anode material in 30 rechargeable cells. Impurities present in metallurgical grade silicon may include Iron, Aluminium, Nickel, Boron, Calcium, Copper, Titanium, and Vanadium, oxygen, carbon, manganese and phosphorus. Certain impurities such as Al, C, Cu, P and B can further

improve the conductivity of the starting material by providing doping elements. Such silicon may be ground and graded as discussed above. An example of such silicon is “Silgrain™” from Elkem of Norway, which can be ground and sieved (if necessary) to produce silicon granules, that may be cuboidal and / or spheroidal.

- 5 The granules used for etching may be crystalline, for example mono- or poly-crystalline with a crystallite size equal to or greater than the required pillar height, although it will be understood that an amorphous starting material may also be etched. The polycrystalline granules may comprise any number of crystals, for example two or more.

Where the pillared particles are made by a growth of silicon pillars as described above, the 10 starting material may comprise an electroactive or non-electroactive material, and may comprise metal or carbon based particles. Carbon based starting materials may comprise soft carbon, hard carbon, natural and synthetic graphite, graphite oxide, fluorinated graphite, fluorine-intercalated graphite, graphene.

Graphene based starting materials may comprise particles comprising a plurality of graphene 15 nanosheets (GNS) and/or oxidised graphene nanosheets (ox-GNS) or nano Graphene Platelets (NGP). Methods of making graphene particles include exfoliation techniques (physical, chemical or mechanical), unzipping of MWCNT or CNT, epitaxial growth by CVD and the reduction of sugars.

The core of the silicon-comprising particles illustrated in Figures 3a and 3b are substantially 20 spherical, however the particle core may have any shape, including substantially spherical, spheroidal (oblate and prolate), and irregular or regular multifaceted shapes (including substantially cube and cuboidal shapes). The particle core surfaces from which the pillars extend may be smooth, rough or angular and may be multi-faceted or have a single continuously curved surface. The particle core may be porous or non-porous. A cuboidal core 25 may be in the form of a flake, having a thickness that is substantially smaller than its length or width such that the core has only two major surfaces.

The aspect ratio of a pillared particle core having dimensions of length L, width W and thickness T is a ratio of the length L to thickness T (L : T) or width W to thickness T (W : T) 30 of the core, wherein the thickness T is taken to be the smallest of the 3 dimensions of the particle core. The aspect ratio is 1:1 in the case of a perfectly spherical core. Prolate or oblate spheroid, cuboidal or irregular shaped cores preferably have an aspect ratio of at least 1.2:1,

more preferably at least 1.5:1 and most preferably at least 2:1. Flake like cores may have an aspect ratio of at least 3:1. Aspect ratios can also be determined by optical techniques known to a person skilled in the art.

In the case of a substantially spherical core, pillars may be provided on one or both

5 hemispheres of the core. In the case of a multifaceted core, pillars may be provided on one or more (including all) surfaces of the core. For example, in the case of a flake core the pillars may be provided on only one of the major surfaces of the flake or on both major surfaces.

The core material may be selected to be a relatively high conductivity material, for example a material with higher conductivity than the pillars, and at least one surface of the core material

10 may remain uncovered with pillars. The at least one exposed surface of the conductive core material may provide higher conductivity of a composite anode layer comprising the pillared particles as compared to a particle in which all surfaces are covered with pillars.

Porous particles can be made using a number of methods, such as those disclosed in

EP2321441. In particular, precipitated silicon can be isolated from the bulk alloy by etching

15 away the bulk metal, provided the etching method does not etch the silicon structures but does etch the metal. Etchants may be liquid or gaseous phase and may include additives or sub-processes to remove any by-product build up which slows etching. Etching can be done chemically, e.g. (in the case of Al) using ferric chloride, or electrochemically using copper sulphate/sodium chloride electrolytes. The vast majority of known aluminium

20 etchants/methods do not attack the fine Si structures, leaving them intact after the aluminium has been etched away. Any aluminium or aluminium silicide intermetallics remaining after etching, for example adhering to the crystalline silicon, can be tolerated when the silicon is used to form an anode as they are themselves excellent Li-ion anode candidates, and so long as any aluminium and intermetallic structures have comparable thickness to the silicon they 25 can be expected to survive Li insertion cycling. In fact, aluminium and intermetallics may also aid in making electrical contact between the silicon and metal electrode.

After fully etching away the metal matrix, the silicon structures will be released into the

etchant. These will generally need cleaning to remove contaminants, by-products (e.g.

aluminium hydroxide in caustic etching) and remnants generated during etching, which may

30 be achieved using acids or other chemicals, followed by rinsing and separating the silicon structures from the liquid, which may be achieved by filtering, centrifuging or other separation method. The structures may then be handled in liquid suspension.

Binders

Exemplary binders include polyimide, polyacrylic acid (PAA) and metal salts thereof, in particular alkali metal salts thereof, polyvinylalchol (PVA) and polyvinylidene fluoride (PVDF), sodium carboxymethylcellulose (Na-CMC), Styrene-Butadiene Rubber (SBR) and 5 optionally, non-active conductive additives. Co-polymers and mixtures of binders may also be used. The relative proportions of each monomer in a co-polymer or each polymer in a polymer mixture will depend on the composition of the major active components within a layer or a sub-layer.

The weight average molecular weight (Mw) of the binder may be selected according to the 10 desired solubility of the binder in a given solvent or solvent mixture. For example, PVDF may have a Mw in the range of about 200,000 to 1,500,000 Da, and PAA may have a Mw in the range of about 400,000 to 3,500,000.

The molecular weight of a polymer or copolymer can be readily determined using techniques 15 well known to a person skilled in the art. Examples of suitable techniques include static light scattering, small angle neutron scattering, X-ray scattering and a determination of the sedimentation velocity of a sample of a polymer or copolymer in a solvent. .

A binder may be provided in an amount of at least 0.5 weight % and no greater than 20 weight % of a composite anode layer. For example, a binder may be provided in the range of 0.5 – 20 weight % of the composite anode layer.

20 The present inventors have found that device performance may be improved by selecting the binder for a composite anode layer according to the major active component of that layer.

A binder may be selected according to one or more of: the degree of cohesion provided for a given active material; the ability of the binder to accommodate expansion of the active material; and the solvents in which the binder is soluble.

25 For example, the relatively high intrinsic capacity of active silicon means that silicon undergoes substantial expansion upon metal insertion. Accordingly, a binder for a layer having silicon as the major active component is preferably selected from materials capable of accommodating this expansion with limited or no cracking. The binder for an active material that undergoes substantial expansion may be a non-elastomeric polymer. Exemplary binders

suitable for active silicon that provide good cohesion of the silicon particles and allow for expansion of the composite anode are polyacrylic acid (PAA) and salts thereof, for example, sodium polyacrylate (NaPAA), polyethylene maleic anhydride/maleic acid (PEMA), sodium polyethylene maleic anhydride/acid NaPEMA, polyvinyl alcohol (PVA), polyamides,

5 polyimides, polyanilines, polypyroles, polysiloxanes, polythiophene, polyphenylene sulphide, polypyrene, polycarbazole, polyindole, polyazepine, polyquinolene, polyquinoxalene, poly(perfluorosulfonic acid), sulfonated polytetrafluoroethylene and sulfonated polyether ketone, eumelanin and derivatives thereof. PAA and salts thereof are particularly preferred.

10 The binder for a material that does not undergo substantial expansion may be an elastomeric polymer. Graphite does not undergo substantial expansion upon metal insertion, and so the binder used for a layer having graphite as the major active component need not be capable of accommodating substantial expansion, but preferably does provide good cohesion for graphite particles. Exemplary binders used for a layer having graphite as the major active 15 component are polyvinylidene fluoride (PVDF), styrene butadiene rubber (SBR), polyphenylene vinylene, poly(fluorene), polynaphthalene, polyarylvinylenes, carboxymethylcellulose (CMC) and polyacetylene. PVDF is particularly preferred.

Binders comprising a mixture of an elastic and a non-elastic polymer or co-polymer may be used where the active material comprises a mixture of an electroactive material having a 20 volume expansion of less than or equal to 30% and an electroactive material having a volume expansion of greater than 90Vol%. The composition of the co-polymer binder will depend on the relative proportions in which the electroactive material having a volume expansion of less than or equal to 30% and an electroactive material having a volume expansion of greater than 90Vol% are present.

25 The binders for adjacent composite anode layers may be soluble in different solvents. For example, a binder for a first composite anode layer may be soluble in non-polar solvents and substantially insoluble in polar solvents, and a binder for a second, adjacent composite anode layer may be soluble in polar solvents and substantially insoluble in non-polar solvents.

30 A layer or sub-layer comprising up to 80wt% of an electroactive component comprising 10wt% of silicon and 90wt% graphite may comprise a binder comprising 10wt% NaPAA and 90wt% PVDF. Suitably the layer or sub-layer is prepared by mixing each component with a

solution of its compatible binder to form a slurry and then mixing the two slurries to form a slurry comprising a mixture of both the active materials and the binders. For example, a first slurry is prepared by mixing a solution of PVDF in NMP with graphite particles as an electroactive material. A second slurry is prepared by mixing a solution of NaPAA in water with silicon particles. The first and second slurries are then combined in a desired proportion to give a composite sub-layer comprising a mixture of silicon and graphite.

### Additives

Each composite anode layer may independently contain one or more particulate non-active conductive additives, for example carbon fibres, carbon nanotubes, ketjen black, lamp black, acetylene black, pitch black and graphene. Mixtures of carbon black can be used. A specific example comprises a mix comprising vapour grown carbon fibres (VGCF), carbon nanotubes (CNT) and ketjen black EC600. Where present, additives may be provided in an amount in the range 0.25 – 20 weight %, optionally 0.25-10 wt % of the composition. Examples of carbon fibres include VGCF and meso-phase pitch based carbon fibres.

### Cell fabrication

Methods of depositing layers or sub-layers on electrode substrates such as current collectors include doctor blade spreading, spray coating, electrostatic coating, spin coating, powder coating, vertical coating, dip coating and chemical vapour deposition. The coating parameters associated with many of these techniques is known to a person skilled in the art.

The slurry may be deposited on a current collector, which may be as described above. Further treatments may be done as required, for example to directly bond the silicon particles to each other and/or to the current collector. Binder material or other coatings may also be applied to the surface of the composite electrode layer after initial formation.

Examples of suitable cathode materials include  $\text{LiCoO}_2$ ,  $\text{LiCo}_{0.99}\text{Al}_{0.01}\text{O}_2$ ,  $\text{LiNiO}_2$ ,  $\text{LiMnO}_2$ ,  $\text{LiCo}_{0.5}\text{Ni}_{0.5}\text{O}_2$ ,  $\text{LiCo}_{0.7}\text{Ni}_{0.3}\text{O}_2$ ,  $\text{LiCo}_{0.8}\text{Ni}_{0.2}\text{O}_2$ ,  $\text{LiCo}_{0.82}\text{Ni}_{0.18}\text{O}_2$ ,  $\text{LiCo}_{0.8}\text{Ni}_{0.15}\text{Al}_{0.05}\text{O}_2$ ,  $\text{LiNi}_{0.4}\text{Co}_{0.3}\text{Mn}_{0.3}\text{O}_2$  and  $\text{LiNi}_{0.33}\text{Co}_{0.33}\text{Mn}_{0.34}\text{O}_2$ . The cathode current collector is generally of a thickness of between 3 to 500 $\mu\text{m}$ . Examples of materials that can be used as the cathode current collector include aluminium, stainless steel, nickel, titanium and sintered carbon.

The electrolyte is suitably a non-aqueous electrolyte containing a lithium salt and may include, without limitation, non-aqueous electrolytic solutions, solid electrolytes and inorganic solid electrolytes. Examples of non-aqueous electrolyte solutions that can be used include non-protic organic solvents such as propylene carbonate, ethylene carbonate,

- 5 butylenes carbonate, dimethyl carbonate, diethyl carbonate, gamma butyro lactone, 1,2-dimethoxy ethane, 2-methyl tetrahydrofuran, dimethylsulphoxide, 1,3-dioxolane, formamide, dimethylformamide, acetonitrile, nitromethane, methylformate, methyl acetate, phosphoric acid trimester, trimethoxy methane, sulpholane, methyl sulpholane and 1,3-dimethyl-2-imidazolidione.
- 10 Examples of organic solid electrolytes include polyethylene derivatives polyethyleneoxide derivatives, polypropylene oxide derivatives, phosphoric acid ester polymers, polyester sulphide, polyvinyl alcohols, polyvinylidene fluoride and polymers containing ionic dissociation groups.

Examples of inorganic solid electrolytes include nitrides, halides and sulphides of lithium salts such as  $\text{Li}_5\text{NI}_2$ ,  $\text{Li}_3\text{N}$ ,  $\text{LiI}$ ,  $\text{LiSiO}_4$ ,  $\text{Li}_2\text{SiS}_3$ ,  $\text{Li}_4\text{SiO}_4$ ,  $\text{LiOH}$  and  $\text{Li}_3\text{PO}_4$ .

The lithium salt is suitably soluble in the chosen solvent or mixture of solvents. Examples of suitable lithium salts include  $\text{LiCl}$ ,  $\text{LiBr}$ ,  $\text{LiI}$ ,  $\text{LiClO}_4$ ,  $\text{LiBF}_4$ ,  $\text{LiBC}_4\text{O}_8$ ,  $\text{LiPF}_6$ ,  $\text{LiCF}_3\text{SO}_3$ ,  $\text{LiAsF}_6$ ,  $\text{LiSbF}_6$ ,  $\text{LiAlCl}_4$ ,  $\text{CH}_3\text{SO}_3\text{Li}$  and  $\text{CF}_3\text{SO}_3\text{Li}$ .

Where the electrolyte is a non-aqueous organic solution, the battery is provided with a 20 separator interposed between the anode and the cathode. The separator is typically formed of an insulating material having high ion permeability and high mechanical strength. The separator typically has a pore diameter of between 0.01 and 100 $\mu\text{m}$  and a thickness of between 5 and 300 $\mu\text{m}$ . Examples of suitable electrode separators include a micro-porous polyethylene film.

## 25 Examples

### General device fabrication process – comparative hybrid devices

Swagelok<sup>TM</sup>-style test cells having a single composite hybrid anode layer were constructed using an anode having a composition of an active silicon material and graphite as the active materials, the elongate conductive carbon additive VGCF available from Showa Denko and a

PVDF binder available from BOC. The composite anode layer was deposited from a slurry of the composite layer components onto a 10 $\mu$ m thick copper foil. An NCA cathode ( $\text{Li}_{1+x}\text{Ni}_{0.8}\text{Co}_{0.15}\text{Al}_{0.05}\text{O}_2$ ) on an aluminium foil and a Tonen separator between the two electrodes was used. The electrodes and separator were wetted with an electrolyte solution of 1M LiPF<sub>6</sub>

5 in EC/EMC containing VC (vinylene carbonate, 3wt%, FEC (fluoroethylene carbonate, 10wt%) and CO<sub>2</sub> (0.2wt%) as additives.

VC is available from Hangzhou Sinolite Industrial of China.

EC is available from Pharmablock of China.

EMC is available from Shenyang Meiyao Chem. Ltd of China.

10 PAA is available from Shanghai Yuking Chem Tech Co Ltd of China.

The components of the anode were provided in the weight ratio 85:9:3:3 graphite: silicon: VGCF: binder.

#### General device fabrication process – example bilayer devices

Devices were prepared according to the general process for comparative devices except that

15 two composite anode layers were formed.

A first composite anode layer was formed on the copper foil by depositing a slurry containing the components graphite : VGCF : PVDF9400 in a 94 : 3 : 3 weight ratio to form a coat weight of 65 grams of graphite per m<sup>2</sup> (gsm). The slurry contained 1.6 grams of graphite per cubic centimetre.

20 A second composite anode layer was formed on the first composite anode layer by depositing a slurry containing the components active silicon : PAANa : SFG6 : Cmix in a 70 : 14 : 12 : 4 weight ratio in which PAANa is the sodium salt of polyacrylic acid; SFG6 is Timrex<sup>RTM</sup> SFG6 graphite particles manufactured by Timcal Ltd; and Cmix is a 5:5:2 weight mix of, respectively, carbon nanotubes, VGCF carbon nanofibres available from Showa Denko, and

25 EC600 carbon black material available from AzkoNobel.

The coat weight was 65 grams of graphite per m<sup>2</sup>. The slurry contained 6 grams of active silicon per m<sup>2</sup>.

Comparative and exemplary devices were prepared using active silicon selected from silicon fibres; silicon particles; pillared silicon particles of a silicon core with silicon pillars extending therefrom; and porous silicon (including porous particle fragments).

Silicon particles are available as “Silgrain™” powder from Elkem of Norway having a purity

5 of 99.86 %, a Seebeck coefficient of 148  $\mu\text{V/K}$  and a BET value of 5.2.

Pillared silicon particles were prepared by etching starting “Silgrain™” particles by a process as described in WO 2009/010758.

Silicon fibres were prepared by detaching pillars from pillared silicon particles prepared by etching silicon particles having a purity greater than 99.5 wt %.

10 Porous silicon was prepared by etching an Al-Si matrix material by a process as described in WO 2012/028857.

The table 2 below shows how the silicon structure (including diameter, length and surface area) affect the first cycle loss and number of cycles that the cell can undergo before it reaches 80% of its initial capacity.

Silicon Material	Cell Structure	Cell Type	Diameter of silicon material $\mu\text{m}^*$	Length of silicon material	BET of silicon material $\text{m}^2/\text{g}$	Porosity of silicon material	First Cycle Loss	Number of cycles to 80% capacity
Powder particle	Hybrid	Half cell	$D_{10}=2.80$ $D_{50}=4.6$ $D_{90}=7.6$	-	5.2	-	35%	<10
	Hybrid	Full cell	$D_{10}=2.80$ $D_{50}=4.6$ $D_{90}=7.6$	-	5.2	-	-	-
	Bi-layer	Full cell	$D_{10}=2.80$ $D_{50}=4.6$ $D_{90}=7.6$	-	5.2	-	-	-
Fibre silicon	Hybrid wafer	Half cell	-	-	-	-	27%	~6
	Bi-layer f-Si from wafer	Half cell	-	-	-	-	6.5%	20
	Bi-layer	Full cell	100-900nm (av. 330nm)	1.35 – 8.5 $\mu\text{m}$ (av. 4.25 $\mu\text{m}$ )	174	0.33cc/g	32%	60
	Bi-layer	Full cell	60 – 640nm (av. 290nm)	1.13 – 4.35 $\mu\text{m}$ (av. 2.99 $\mu\text{m}$ )	51.5m2/g	0.1616cc/g	20%	40
Pillared particle silicon PMF = 43%	Hybrid	Half cell	$D_{10}=2.4$ $D_{50}=4.3$ $D_{90}=7.5$	1 $\mu\text{m}$	19.7m2/g	-	24%	4-8
	Bi-layer	Half cell	$D_{10}=2.4$ $D_{50}=4.3$ $D_{90}=7.5$	1 $\mu\text{m}$	19.7m2/g	-	11.5%	60
	Bi-layer	Full cell	$D_{10}=2.4$ $D_{50}=4.3$ $D_{90}=7.5$	1 $\mu\text{m}$	19.7m2/g	-	12.7%	60
Porous silicon	Hybrid	Half cell	$D_{10}=0.82$ $D_{50}=2.3$ $D_{90}=5.93$	-	27.3m2/g	0.64cc/g	-	-
	Bilayer	Half cell	$D_{10}=0.82$ $D_{50}=2.3$ $D_{90}=5.93$	-	27.3m2/g	0.64cc/g	8.5%	140
	Bi-layer	Full cell	$D_{10}=0.82$ $D_{50}=2.3$ $D_{90}=5.93$	-	27.3m2/g	0.64cc/g	15%	40

Table 2: Experimental data for hybrid and bi-layer cells indicating first cycle loss and number of cycles to 80% capacity.

As shown in Table 1, above, values for first cycle losses and number of cycles to 80%

5 capacity were obtained for devices with a bilayer anode structure, and “hybrid” devices having a single composite anode layer containing all active materials.

With reference to devices containing pillared silicon particles, first cycle loss is substantially lower and number of cycles to 80 % of capacity is substantially higher for exemplary bilayer devices as compared to the comparative hybrid devices.

10 “First cycle loss” as used anywhere herein means the proportion of the initial charge capacity of the battery that is lost during the first charge and discharge cycle of the battery. “Number of cycles to 80% capacity” as used anywhere herein means the number of charge and discharge cycles of the battery that are possible before the initial charge capacity of the battery drops below 80%.

15 Hybrid half cells were prepared using an electrode composition comprising graphite, silicon, vapour grown carbon fibres and PVDF binder in a ratio 85:9:3:3. The material was cast onto the current collector to a thickness of 66gsm and having a density of 1.5 g/cc. A lithium cathode and a Tonen separator were used. An electrolyte comprising a 1.2M solution of LiPF6 in a solvent comprising 1 part by volume of ethyl carbonate (EC), 3 parts by volume 20 ethyl methyl carbonate (EMC), 15wt% fluoro ethyl carbonate (FEC) and 3wt% vinylcarbonate (VC) was used.

25 Swagelock™ half cells were formed by charging the cells at a charge rate of c/25 for one cycle between 1 and 0.005 V. The battery was charged by either charging at a rate of c/5 for 2h at constant voltage or at a rate of c/20 at constant current. The cells were discharged at a rate of c/5 at constant voltage.

Hybrid full cells were prepared by using an electrode composition as described above having an anode capacity of 1.2mAhcm<sup>-2</sup>, a cathode having a capacity of 2.7mAhcm<sup>-2</sup> and a separator and electrolyte as described above. Swagelock™ full cells were formed by charging the cells at a charge rate of c/25 for one cycle between 1 and 0.005 V. The battery was 30 charged by either charging at a rate of c/5 for 2h at constant voltage or at a rate of c/20 at constant current. The cells were discharged at a rate of c/5 at constant voltage.

Bi-layer half cells were prepared by forming an anode comprising a first graphite layer comprising graphite, vapour grown carbon fibres (VGCF) and a polyvinylidenefluoride binder in a ratio 94:3:3 and comprising 70gsm active graphite on a copper current collector. A second silicon layer comprising active silicon, sodium polyacrylate binder, graphite and a 5 conductive carbon in a ratio 70:14:12:4 and comprising 8gsm active silicon was applied over the first layer. A lithium cathode and a Tonen™ separator was used. The anode, cathode and separator were combined together in a Swagelock™ cell and an electrolyte comprising a 1.2M solution of LiPF6 in a solvent comprising 1 part by volume of ethyl carbonate (EC), 3 parts by volume ethyl methyl carbonate (EMC), 15wt% fluoro ethyl carbonate (FEC) and 10 3wt% vinylcarbonate (VC) was added.

The bi-layer half cell was formed by charging and discharging for one cycle at a charging rate of c/25 between a voltage of 1 and 0.005V (based on an estimated cap of 4mAh). The cell was then cycled by charging at c/5 at constant voltage for 2h or at c/20 at a constant current and discharging at c/5.

15 The bi-layer full cell was prepared using the bi-layer anode described above having a capacity of 1.2mAh/cm<sup>2</sup>, a cathode having a capacity of 2.7mAh/cm<sup>2</sup>, a Tonen™ separator and a electrolyte composition as described above. The anode, cathode, separator and electrolyte were assembled into a Swagelock™ cell. The cells were formed by charging at c/25 between 3 and 4.3V for one charge/discharge cycle. The cells were then charged at c/3 20 between 3 and 4.2V under constant current constant voltage conditions.

Figure 4 illustrates the capacity vs number of cycles for a Swagelock™ half cell comprising a hybrid anode and lithium cathode. Anode material comprises a mixture of graphite, powdered (unetched) silicon powder, VGCF & PVDF binder in a ratio of 85:9:3:3. The cathode of the cell is lithium.

25 Figure 5 illustrates the capacity retention vs number of cycles for a Swagelock™ hybrid half cell as used in Figure 4. The hybrid half cell comprises un-etched silicon powder having a D50 radius of approximately 4.6µm.

Figure 6 illustrates the capacity vs number of cycles for a Swagelock™ half cell comprising a 30 bi-layer anode and lithium cathode. Anode material comprises a bilayer comprising a first graphite layer and a second layer comprising pp-Si from powdered silicon powder, PAA

binder, VGCF & graphite in a ratio of 70:14:12:4. The graphite layer is formed from graphite, VGCF and PVDF binder in a ratio of 94:3:3. The cathode of the cell is lithium.

Figure 7 illustrates the capacity retention for a full bi-layer cell comprising pp-Si as an active material in the Si layer.

5 Figure 8 illustrates the capacity vs number of cycles for a bi-layer full cell, in which the cathode comprises a first layer of a graphite based material and a second layer comprising pillared particles of silicon having an average diameter of 4.6 $\mu$ m. The first layer is formed from graphite, VGCF, PVDF binder in a ratio of 94:3:3 cast to a thickness of 70gsm and containing 1.6g/cc active graphite. The second layer comprises pp-Si, sodium polyacrylate 10 binder graphite and a conductive carbon in a ratio of 70:14:12:4 and containing 8gsm active silicon. The anode has a capacity of 1.2mAh/cm<sup>2</sup>. The cathode has a capacity of 2.7mAh/cm<sup>2</sup>.

15 Figure 9 illustrates the capacity retention vs number of cycles for a bi-layer full cell, in which the anode comprises a first layer of a graphite based material and a secnd layer comprising pillared particles of silicon having an average diameter of 4.6 $\mu$ m.

Figure 10 illustrates capacity vs number of cycles for a bi-layer half cell in which the anode comprises a first layer comprising graphite, vapour grown carbon fibres and PVDF binder in a ratio of 94:3:3 cast to a thickness of 70gsm having 1.6g/cc of active graphite and a second layer comprising wafer derived silicon fibres, sodium polyacrylate binder, graphite and a 20 conductive carbon in a ratio of 70:14:12:4 cast to a thickness of 8gsm of active Si. The cathode is lithium.

25 Figure 11 illustrates the capacity retention vs number of cycles for a bi-layer half cell in which the anode comprises a first layer comprising graphite, vapour grown carbon fibres and PVDF binder in a ratio of 94:3:3 cast to a thickness of 70gsm having 1.6g/cc of active graphite and a second layer comprising wafer derived silicon fibres, sodium polyacrylate binder, graphite and a conductive carbon in a ratio of 70:14:12:4 cast to a thickness of 8gsm of active Si. The cathode is lithium.

Figure 12 illustrates the capacity vs number of cycles for a bi-layer full cell in which the anode comprises a first layer comprising graphite, vapour grown carbon fibres and PVDF 30 binder in a ratio of 94:3:3 cast to a thickness of 70gsm having 1.6g/cc of active graphite and a

second layer comprising silicon fibres having a BET of 174m<sup>2</sup>/g and a porosity of 0.33cc/g, sodium polyacrylate binder, graphite and a conductive carbon in a ratio of 70:14:12:4 cast to a thickness of 8gsm of active Si. The anode has a capacity of 1.2mAh/cm<sup>2</sup>. A cathode having a capacity of 2.7mAh/cm<sup>2</sup> is used.

- 5 Figure 13 illustrates the capacity retention vs number of cycles for a bi-layer full cell in which the anode comprises a first layer comprising graphite, vapour grown carbon fibres and PVDF binder in a ratio of 94:3:3 cast to a thickness of 70gsm having 1.6g/cc of active graphite and a second layer comprising silicon fibres having a BET of 174m<sup>2</sup>/g and a porosity of 0.33cc/g, sodium polyacrylate binder, graphite and a conductive carbon in a ratio of 70:14:12:4 cast to a thickness of 8gsm of active Si. The anode has a capacity of 1.2mAh/cm<sup>2</sup>. A cathode having a capacity of 2.7mAh/cm<sup>2</sup> is used.
- 10

15 Figure 14 illustrates the capacity vs number of cycles for a bi-layer full cell in which the anode comprises a first layer comprising graphite, vapour grown carbon fibres and PVDF binder in a ratio of 94:3:3 cast to a thickness of 70gsm having 1.6g/cc of active graphite and a second layer comprising silicon fibres having a BET of 51.5m<sup>2</sup>/g and a porosity of 0.1616cc/g, sodium polyacrylate binder, graphite and a conductive carbon in a ratio of 70:14:12:4 cast to a thickness of 8gsm of active Si. The anode has a capacity of 1.2mAh/cm<sup>2</sup>. A cathode having a capacity of 2.7mAh/cm<sup>2</sup> is used.

20 Figure 15 illustrates the capacity retention vs number of cycles for a bi-layer full cell in which the anode comprises a first layer comprising graphite, vapour grown carbon fibres and PVDF binder in a ratio of 94:3:3 cast to a thickness of 70gsm having 1.6g/cc of active graphite and a second layer comprising silicon fibres having a BET of 51.5m<sup>2</sup>/g and a porosity of 0.1616cc/g, sodium polyacrylate binder, graphite and a conductive carbon in a ratio of 70:14:12:4 cast to a thickness of 8gsm of active Si. The anode has a capacity of 1.2mAh/cm<sup>2</sup>. A cathode having a capacity of 2.7mAh/cm<sup>2</sup> is used.

25

25 Figure 16 illustrates the capacity vs number of cycles for a bi-layer half cell in which the anode comprises a first layer comprising graphite, vapour grown carbon fibres and PVDF binder in a ratio of 94:3:3 cast to a thickness of 71gsm having 1.6g/cc of active graphite and a second layer comprising etched aluminium silicon derived silicon BET of 27.3m<sup>2</sup>/g and a porosity of 0.64cc/g, sodium polyacrylate binder, graphite and a conductive carbon in a ratio of 70:14:12:4 cast to a thickness of 8gsm of active Si. The cathode is lithium.

Figure 17 illustrates the capacity retention vs number of cycles for a bi-layer half cell in which the anode comprises a first layer comprising graphite, vapour grown carbon fibres and PVDF binder in a ratio of 94:3:3 cast to a thickness of 71gsm having 1.6g/cc of active graphite and a second layer comprising etched aluminium silicon derived silicon BET of

- 5 27.3m<sup>2</sup>/g and a porosity of 0.64cc/g, sodium polyacrylate binder, graphite and a conductive carbon in a ratio of 70:14:12:4 cast to a thickness of 8gsm of active Si. The cathode is lithium.

Figure 18 illustrates the capacity vs number of cycles for a bi-layer full cell in which the anode comprises a first layer comprising graphite, vapour grown carbon fibres and PVDF

- 10 binder in a ratio of 94:3:3 cast to a thickness of 70gsm having 1.6g/cc of active graphite and a second layer comprising etched aluminium silicon derived silicon having a BET of 27.3m<sup>2</sup>/g and a porosity of 0.64cc/g, sodium polyacrylate binder, graphite and a conductive carbon in a ratio of 70:14:12:4 cast to a thickness of 8gsm of active Si. The anode has a capacity of 1.2mAh/cm<sup>2</sup>. A cathode having a capacity of 2.7mAh/cm<sup>2</sup> is used.

- 15 Figure 19 illustrates the capacity retention vs number of cycles for a bi-layer full cell in which the anode comprises a first layer comprising graphite, vapour grown carbon fibres and PVDF binder in a ratio of 94:3:3 cast to a thickness of 70gsm having 1.6g/cc of active graphite and a second layer comprising etched aluminium silicon derived silicon having a BET of 27.3m<sup>2</sup>/g and a porosity of 0.64cc/g, sodium polyacrylate binder, graphite and a conductive carbon in a ratio of 70:14:12:4 cast to a thickness of 8gsm of active Si. The anode has a capacity of 1.2mAh/cm<sup>2</sup>. A cathode having a capacity of 2.7mAh/cm<sup>2</sup> is used.

Although the invention has been described primarily with reference to rechargeable lithium ion batteries, it will be appreciated that the invention may be applied to other rechargeable metal ion batteries including, without limitation, sodium, potassium, calcium and magnesium ion batteries. Further, it will be understood that multilayer structures as described herein may be used in a range of electrical and / or optical devices other than metal ion batteries including, without limitation, flow cell batteries, fuel cells, solar cells, filters, sensors, electrical and thermal capacitors, micro-fluidic devices, gas or vapour sensors, thermal or dielectric insulating devices, devices for controlling or modifying the transmission, absorption or reflectance of light or other forms of electromagnetic radiation.

Although the present invention has been described in terms of specific exemplary embodiments, it will be appreciated that various modifications, alterations and/or combinations of features disclosed herein will be apparent to those skilled in the art without departing from the scope of the invention as set forth in the following claims.

## Claims

1. A multilayer electrode comprising, in sequence, a conductive layer, a first composite electrode layer and second composite electrode layer, each composite electrode layer comprising a particulate material suitable for use as the active material in a metal ion battery and a binder, wherein a major component of the active material of the first composite electrode layer (first major active component) is a material that is different from a material forming a major component of the active material of the second composite electrode layer (second major active component).  
5
2. A multilayer electrode according to claim 1, which comprises an interface between the first composite layer and the second composite layer.  
10
3. A multilayer electrode according to claim 1 or claim 2, wherein the binders of the first and second composite electrode layers are different.
4. A multilayer electrode according to claim 3 wherein the binder of the first composite electrode layer is an elastomeric polymer and the binder of the second composite electrode layer is a non-elastomeric polymer.  
15
5. A multilayer electrode according to any preceding claim wherein the theoretical specific capacity of the major active component of the second composite electrode layer is higher than that of the major active component of the first composite electrode layer.
6. A multilayer electrode according to any preceding claim, wherein each composite electrode layer comprises one or more sub-layers.  
20
7. A multilayer electrode according to claim 6, wherein the first composite electrode layer comprises one or more lower sub-layers at or in the region of the current collector.
8. A multilayer electrode according to claim 6 or claim 7, wherein the first composite electrode layer comprises one or more upper sub-layers at or in the region of the interface between the first composite electrode layer and the second composite electrode layer.  
25

9. A multilayer electrode according to any one of claim 6 to 8, wherein the second composite layer comprises a lower surface at or in the region of the interface and an upper surface distal the interface.
10. A multilayer electrode according to any one of claims 6 to 9, wherein the second composite layer comprises one or more lower sub-layers at or in the region of the interface between the first composite electrode layer and the second composite electrode layer.
11. A multilayer electrode according to any one of claims 6 to 10, wherein the second composite layer comprises one or more upper sub-layers at or in the region of an upper surface of the second composite electrode layer.
12. A multilayer electrode according to any one of claims 6 to 11, wherein the concentration of the major active component of one sub-layer differs from the concentration of a major active component in an adjacent sub-layer within a composite layer of the multi-layer electrode.
13. A multilayer electrode according to any one of claims 6 to 12, wherein the concentration of the first major active component decreases between the current collector and the interface with the second composite electrode layer.
14. A multilayer electrode according to any one of claims 6 to 13, wherein the concentration of the second major active component decreases in a direction between the interface with the first composite electrode layer and an upper surface of the second composite electrode layer.
15. A multilayer electrode according to any one of claims 6 to 14, wherein the composition of the binder in one sub-layer differs from the composition of the binder in an adjacent sub-layer within a layer of the multilayer electrode.
16. A multilayer electrode according to any one of claims 6 to 15, wherein the binder comprises a mixture or a copolymer of an elastomeric polymer and a non-elastomeric polymer.

17. A multilayer electrode according to any one of claims 6 to 16, wherein the binder comprises a co-polymer comprising an elastomeric and a non-elastomeric polymer in a ratio 90:10 to 10:90.
18. A multilayer electrode according to any one of claims 6 to 17, wherein the porosity of 5 the first composite electrode layer is different to the porosity of the second composite electrode layer.
19. A multilayer electrode according to any one of claims 6 to 18, wherein the porosity of the first composite electrode layer is less than the porosity of the second composite electrode layer.
- 10 20. A multilayer electrode according to any one of claims 6 to 19, wherein the porosity of the first composite electrode layer is greater than 5vol%.
21. A multilayer electrode according to any one of claims 6 to 20, wherein the porosity of the first electrode layer is less than 30vol%.
- 15 22. A multilayer electrode according to any one of claim 6 to 21, wherein the porosity of the first composite electrode layer is 20 to 25vol%.
23. A multilayer electrode according to any one of claim 6 to 22, wherein the porosity of the second composite layer is greater than 20 vol%.
24. A multilayer electrode according to any one of claims 6 to 23, wherein the porosity of the second composite layer is less than 80vol%.
- 20 25. A multilayer electrode according to any one of claim 6 to 24, wherein the porosity of the second composite electrode layer is 30 to 70vol%.
26. A multilayer electrode according to any one of claims 6 to 25, wherein the porosity of one sub-layer differs from the porosity of an adjacent sub-layer within a layer of the multilayer electrode.
- 25 27. A multilayer electrode according to any one of claims 6 to 26, wherein the porosity of an upper sub-layer of the second composite electrode layer is less than the porosity of a lower sub-layer.

28. A multilayer electrode according to any one of claims 6 to 27, wherein the first composite electrode layer comprises particles of the same or similar morphologies.
29. A multilayer electrode according to any one of claims 6 to 28, wherein the first composite electrode layer comprises particles of different morphologies.
- 5 30. A multilayer electrode according to any one of claim 6 to 29, wherein the second composite electrode layer comprises a second major active component comprising particles of the same or similar morphologies.
- 10 31. A multilayer electrode according to any one of claims 6 to 30, wherein the second composite electrode layer comprises a second major active component comprising particles of different morphologies.
32. A multilayer electrode according to any one of claims 6 to 31, wherein the major active component of the first composite layer comprises an electroactive carbon.
- 15 33. A multilayer electrode according to any one of the preceding claims, wherein the major active component of the second composite electrode layer is selected from the group consisting of silicon, tin, aluminium, lead and antimony.
34. A multilayer electrode according to any one of the preceding claims, wherein the theoretical specific capacity of the major active component of the second composite electrode layer is greater than 500mAh/g and the theoretical specific capacity of the major active component of the first composite electrode layer is less than 400mAh/g.
- 20 35. A multilayer electrode according to any one of claims 6 to 34, wherein the theoretical specific capacity of one sub-layer differs from the theoretical specific capacity of an adjacent sub-layer within a layer of the multi-layer electrode.
36. A multilayer electrode according to any one of claims 6 to 35, wherein the theoretical specific capacity of an upper sub-layer of a second composite electrode layer is less than the theoretical specific capacity of a lower sub-layer.
- 25 37. A multilayer electrode according to any one of the preceding claims wherein the concentration of the major active component in the first composite anode layer is

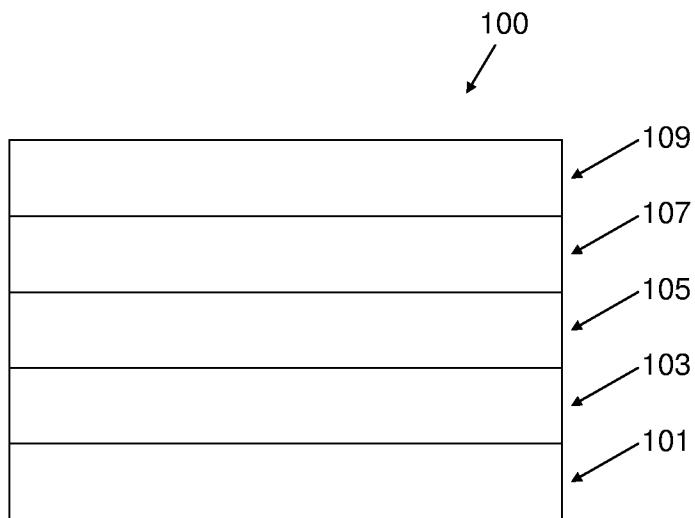
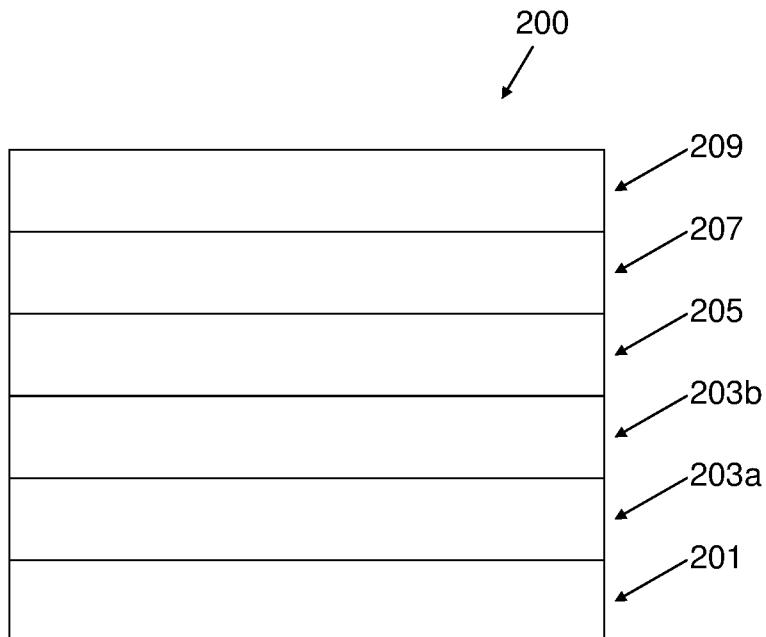
higher than the concentration of the major active component in the second composite anode layer.

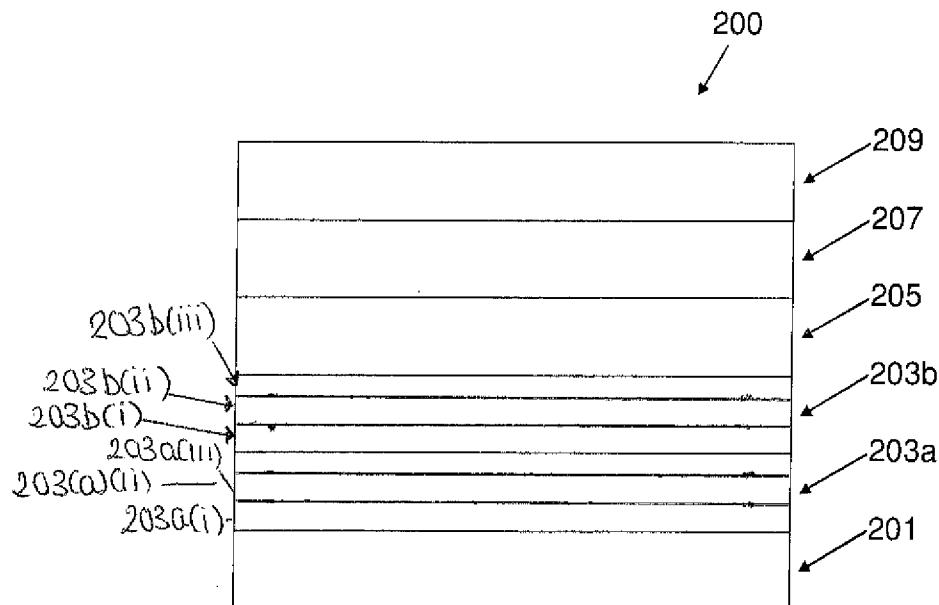
38. A multilayer electrode according to any preceding claim wherein a volume increase  $V_1$  of the major active component of the second composite electrode layer is at least 5 90%.
39. A multilayer electrode according to any one of the preceding claims, wherein the second composite electrode layer contains no more than 20 grams per square metre of the major active component of that layer.
40. A multilayer electrode according to any one of the preceding claims wherein a 10 volume increase  $V_1$  of the major active component of the first composite anode layer is no more than 30 %.
41. A multilayer electrode according to any one of the preceding claims, wherein the first composite electrode layer contains at least 30 grams per square metre of the major active component of that layer.
- 15 42. A multilayer electrode according to any one of the preceding claims, wherein doped or undoped silicon is the major active component of the second composite electrode layer and active carbon is the major active component of the first composite electrode layer.
43. A multilayer electrode according to any one of the preceding claims, wherein the 20 active carbon is selected from one or more of hard carbon, carbon nano-tubes and graphite.
44. A multilayer electrode according to claim 43, wherein the graphite is natural or synthetic graphite selected from flakes, meso-carbon micro-beads and massive artificial graphite.
- 25 45. A multilayer electrode according to any one of the preceding claims, wherein active carbon is the only active component of the first composite electrode layer.

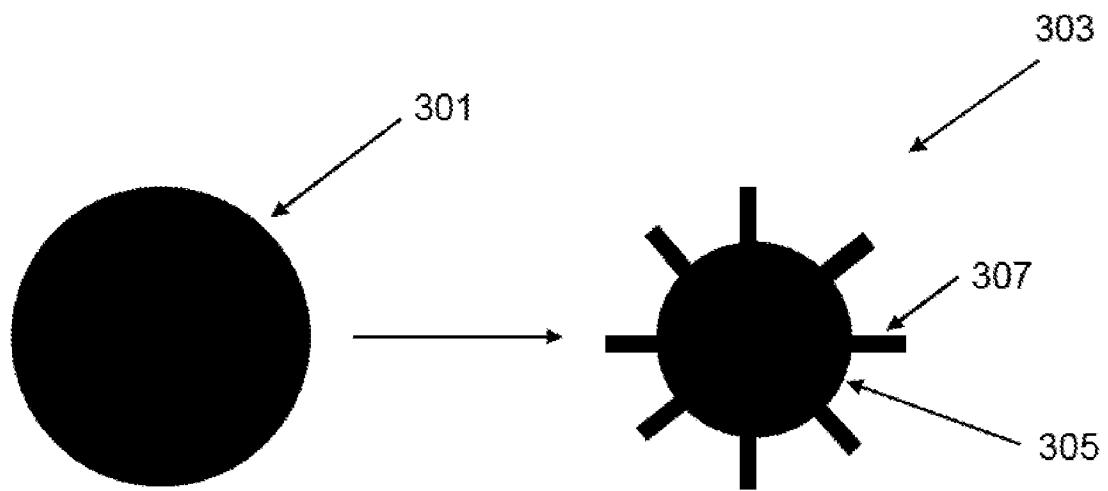
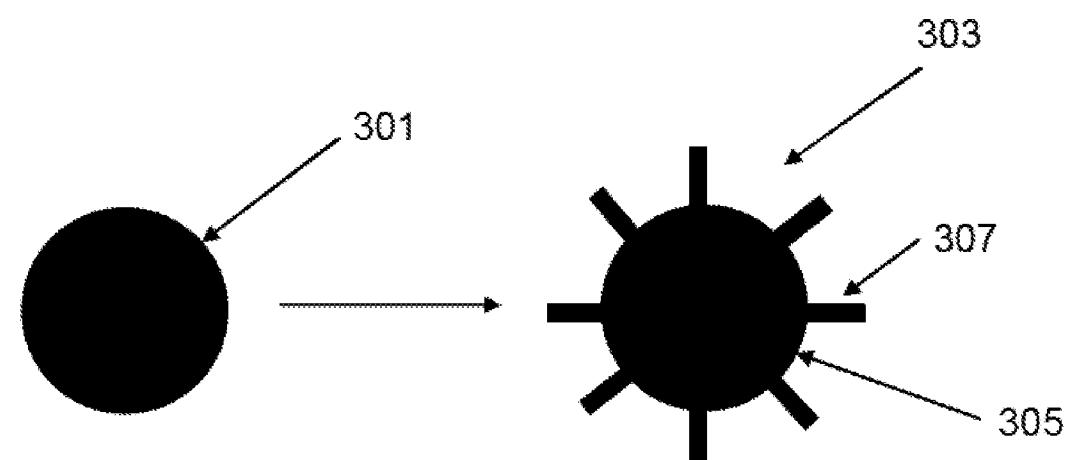
46. A multilayer electrode according to any one of the preceding claims, wherein doped or undoped silicon is the only active component of the second composite electrode layer.
47. A multilayer electrode according to any one of claims 33 to 46, wherein the active silicon comprises flakes, particles, fibres, ribbons, scaffold structures, tubes and a mixture thereof.
48. A multilayer electrode according to any one of claims 33 to 47, wherein the silicon particles comprise native particles, pillared particles, porous particles, porous particle fragments and mixtures thereof.
49. A multilayer electrode according to any one of the preceding claims, wherein the second composite electrode layer comprises at least one further active material.
50. A multilayer electrode according to any one of the preceding claims, wherein the further active material is an active carbon material.
51. A multilayer electrode according to any preceding claim wherein the binder of the first composite electrode layer is PVDF, a polyimide (PI) or a mixture thereof.
52. A multilayer electrode according to any preceding claim wherein the binder of the second composite electrode layer is PAA or a salt thereof, carboxymethylcellulose (CMC) or a salt thereof, styrene butadiene rubber (SBR) or a binary or tertiary mixture thereof.
53. A multilayer electrode according to claim 52, wherein the binder of the first composite electrode comprises a mixture or a copolymer of PVDF and PAA, wherein the PVDF and PAA are present in a ratio of 90:10 to 55:45.
54. A multilayer electrode according to claim 52 or 53, wherein the binder of the second composite electrode comprises a mixture or a copolymer of PVDF and PAA, wherein the PVDF and PAA are present in a ratio of 10:90 to 45:55.
55. A multilayer electrode according to any preceding claim wherein the first composite electrode layer is formed on the conductive layer.

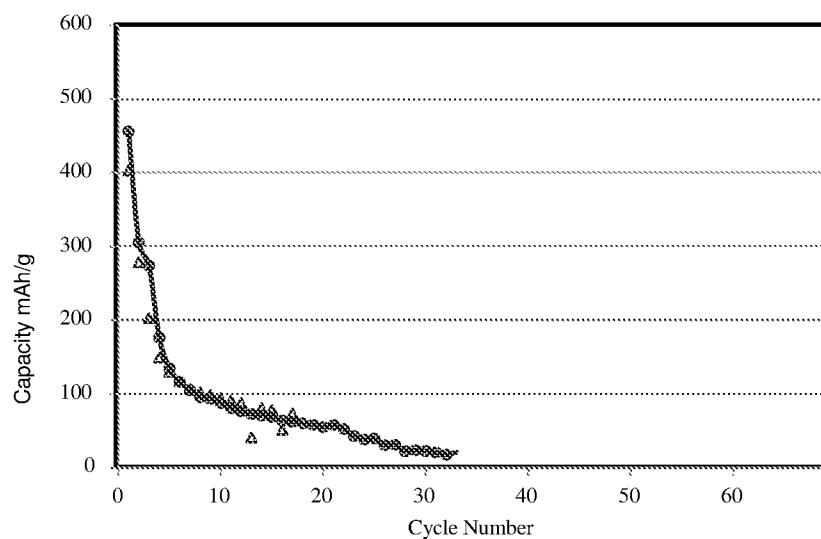
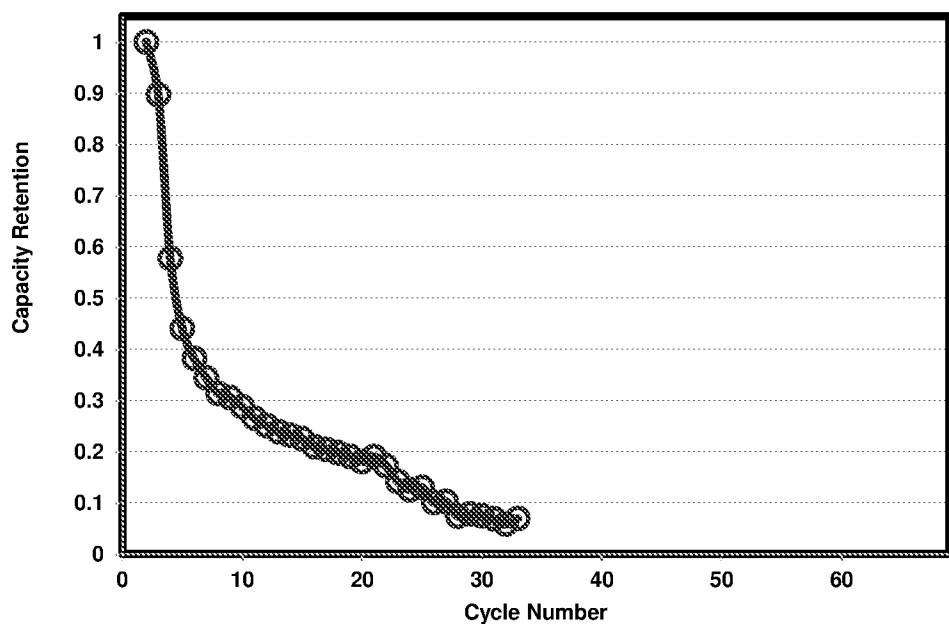
56. A multilayer electrode according to any preceding claim wherein the second composite electrode layer is formed on the first composite electrode layer.
57. A multilayer electrode according to any one of the preceding claims, wherein an adhesion layer is provided between the first and second electrodes.
- 5 58. A multilayer electrode according to claim 57, wherein the adhesion layer comprises a mixture of an elastomeric and a non-elastomeric polymer.
59. A multilayer electrode according to claim 57 or 58, wherein the adhesion layer further comprises a conductive carbon.
- 10 60. A multilayer electrode according to any preceding claim wherein one or more of the composite electrode layers further comprises a conductive particulate additive.
61. A metal ion battery comprising an anode according to any preceding claim; a cathode providing a source of metal ions; and an electrolyte between the anode and the cathode.
- 15 62. A method of forming a multilayer electrode according to any of claims 1-19 comprising the steps of: forming the first composite electrode layer over the conductive layer; and forming the second composite electrode layer over the first composite electrode layer.
63. A method according to claim 62 wherein the first and second composite electrode layers are each formed by depositing a slurry comprising the components of the composite electrode layer and one or more solvents, and evaporating the one or more solvents.
- 20 64. A method according to claim 62 or claim 63, wherein an adhesion layer is deposited between the first composite layer and the second composite layer.
65. A method according to claim 64, wherein the first and second electrode layers are deposited by doctor blading, electrostatic coating, spin coating, spray coating, vertical coating, dip coating and chemical vapour deposition.
- 25 66. A method according to claim 64 or 65 wherein pressure is applied to at least the first composite electrode layer during or after evaporation of the one or more solvents.

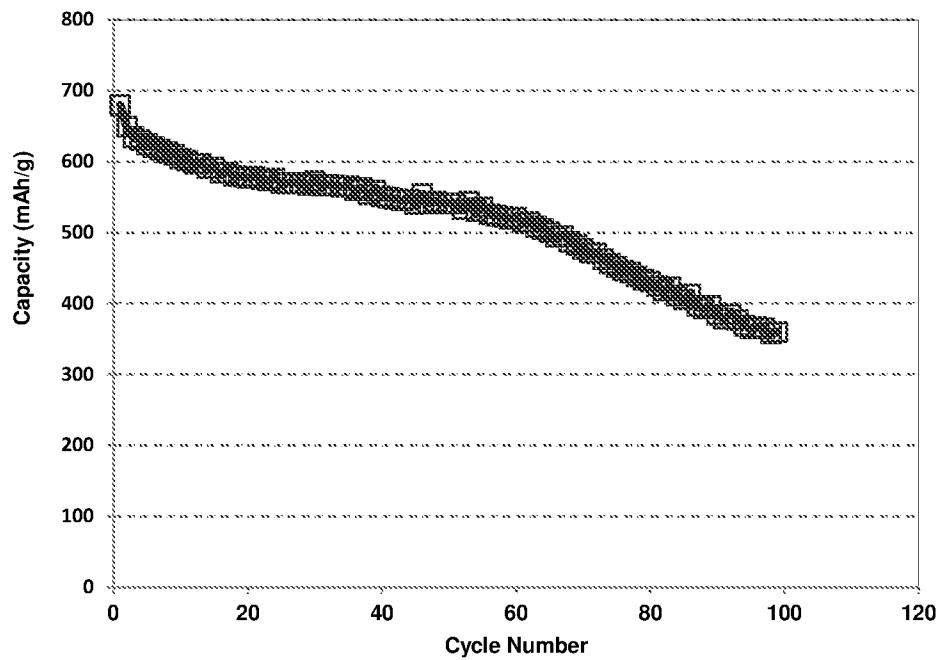
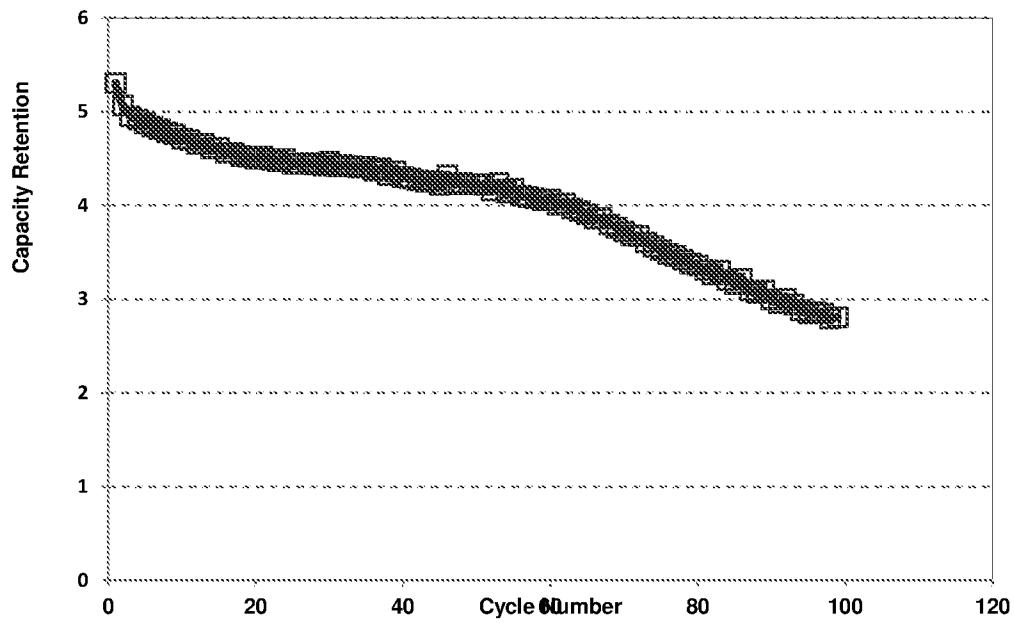
67. A method according to claim 66 wherein pressure is applied by calendering.
68. A method according to claim 66 or 67 wherein a first pressure is applied to the first composite electrode layer prior to formation of the second composite electrode layer, and no pressure or a second pressure is applied to the second composite electrode
- 5 layer wherein the second pressure is lower than the first pressure.
69. A method according to any of claims 64 to 68 wherein the binder of the first composite electrode layer is insoluble in the solvent or solvent mixture of the slurry used to form the second composite electrode layer.

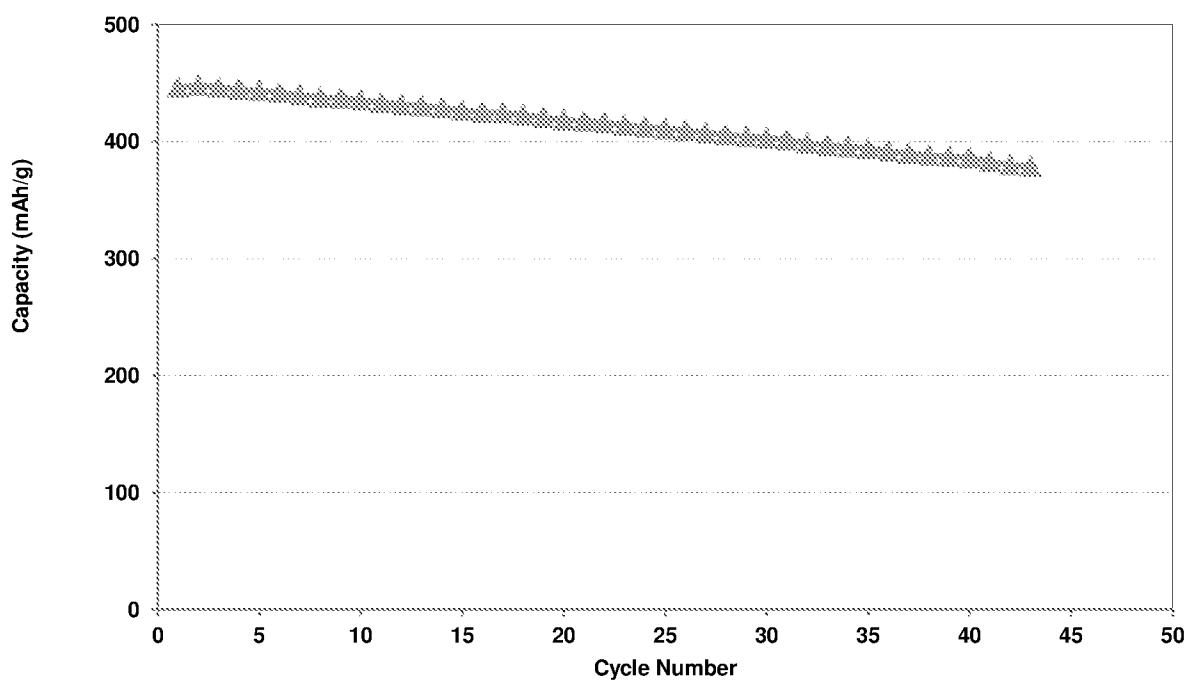
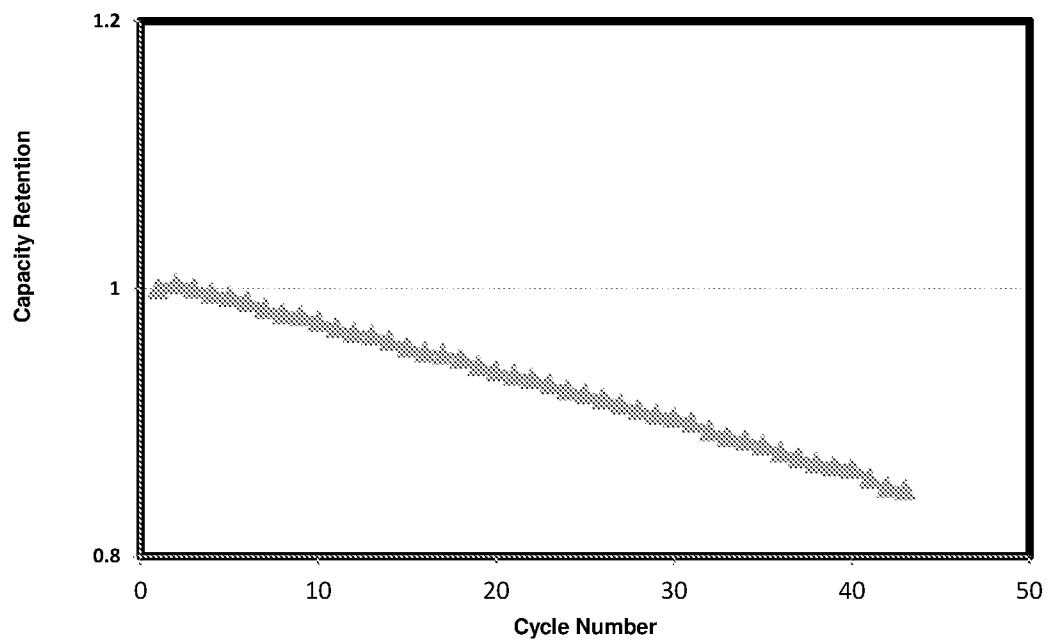
**FIGURE 1 (PRIOR ART)****FIGURE 2a**

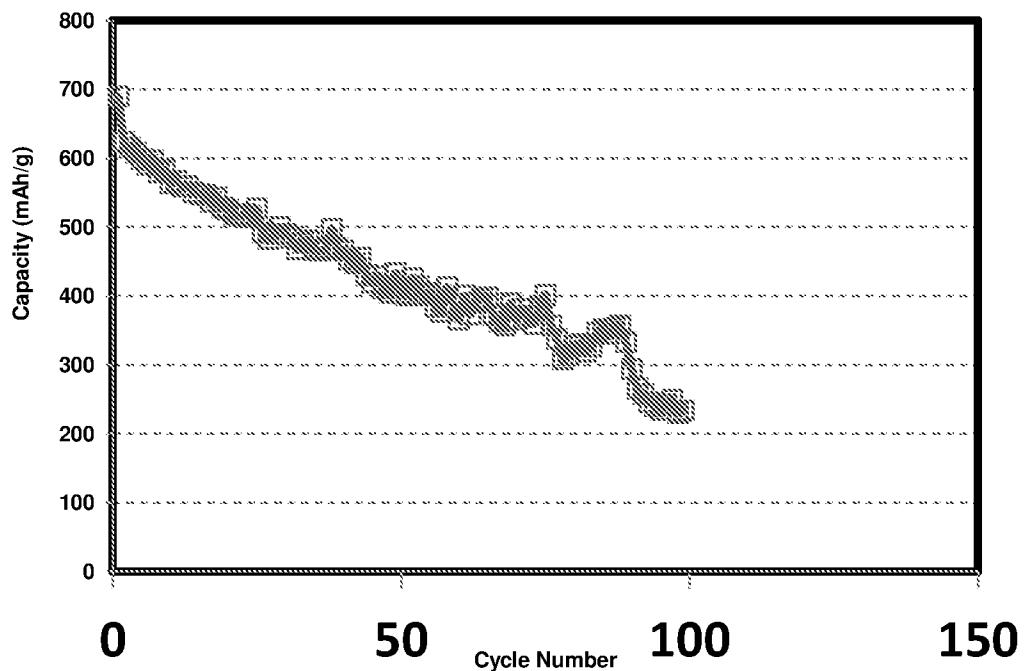
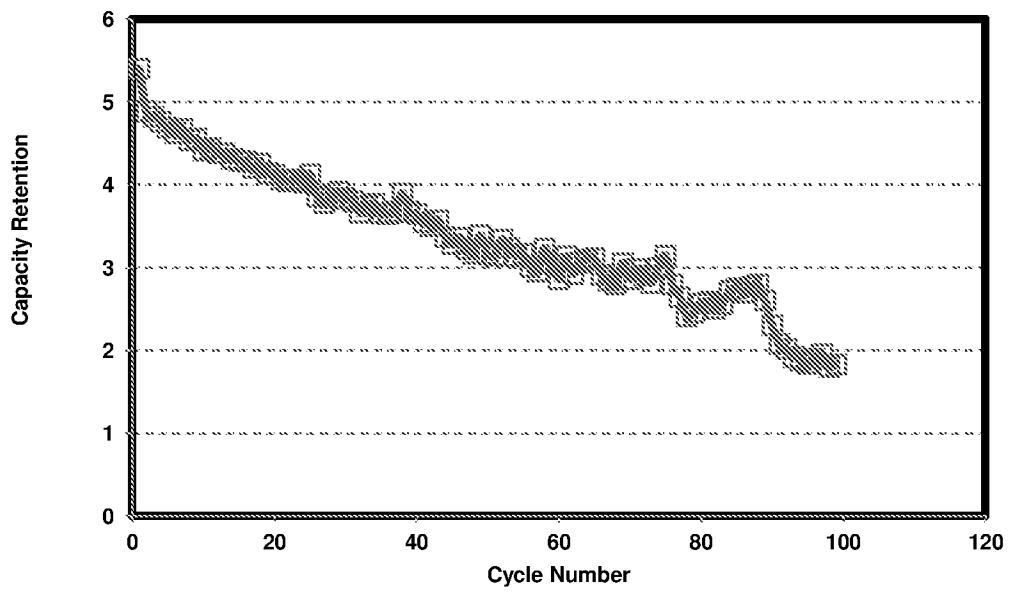
**FIGURE 2b**

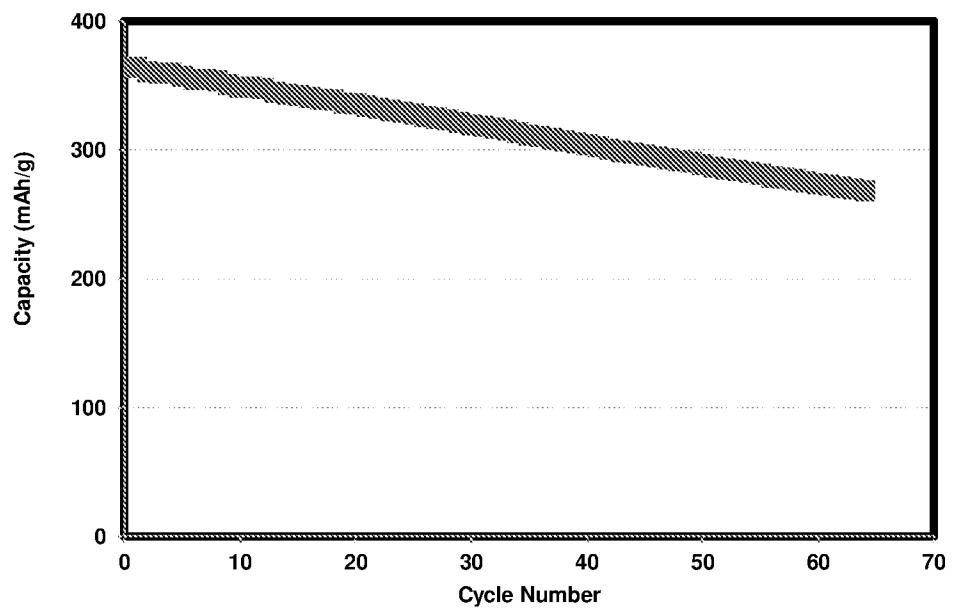
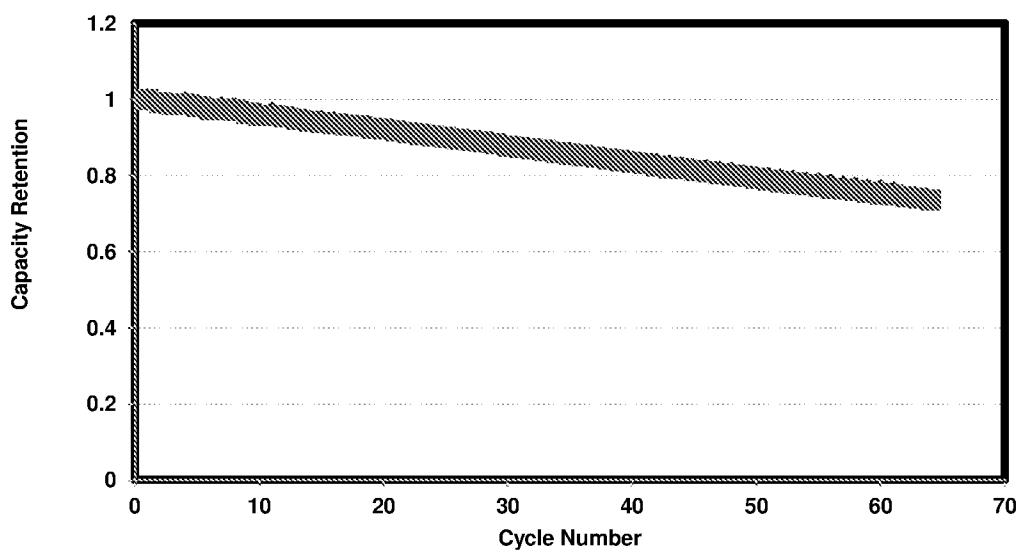
**FIGURE 3(a)****FIGURE 3(b)**

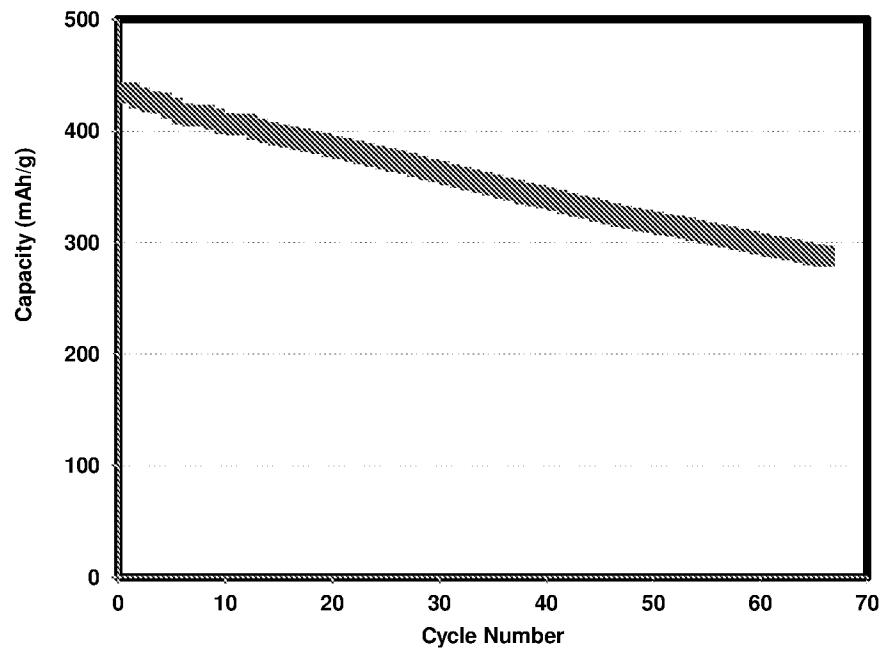
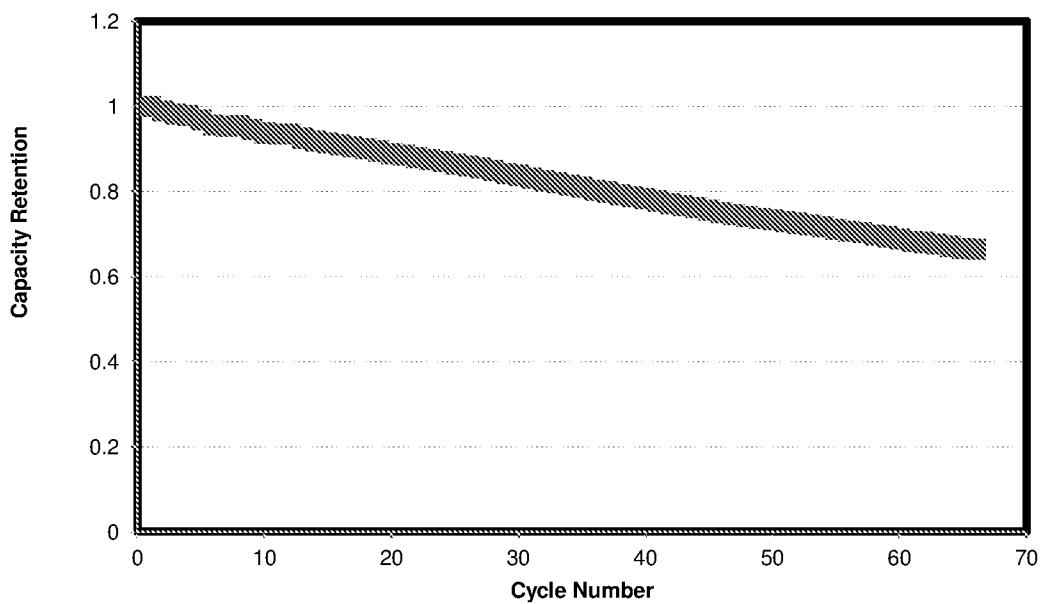
**FIGURE 4****FIGURE 5**

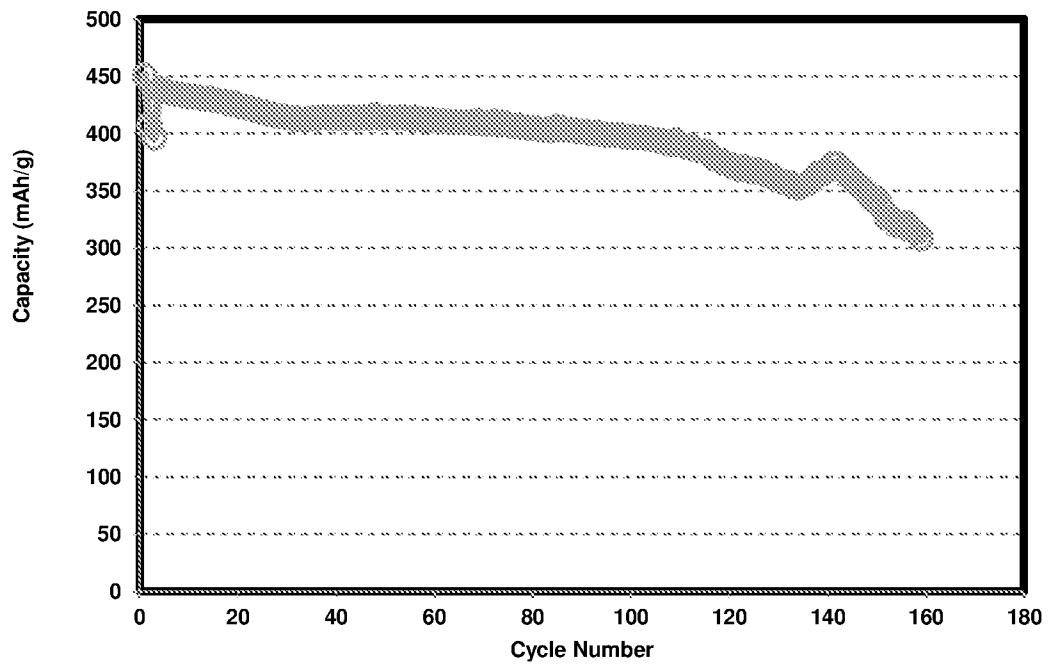
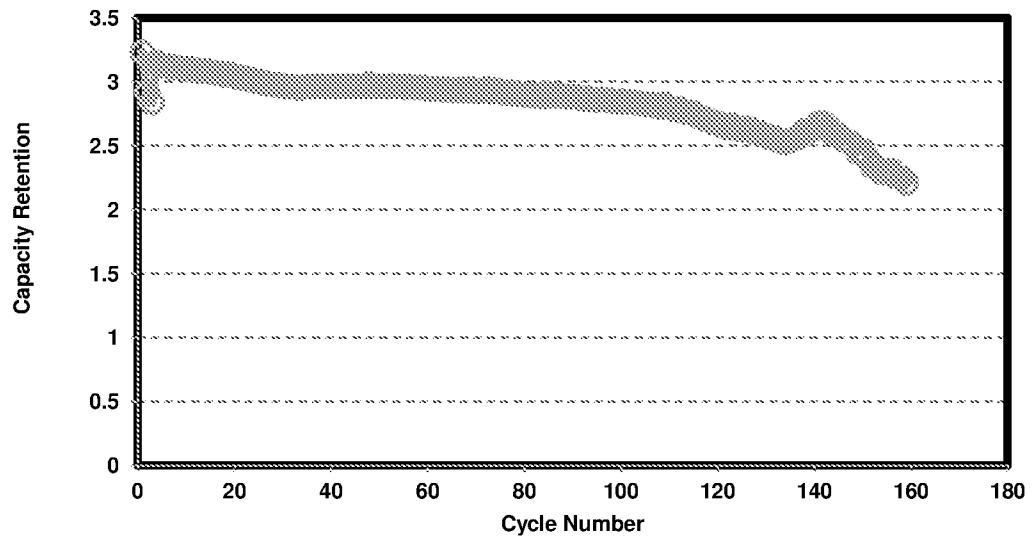
**FIGURE 6****FIGURE 7**

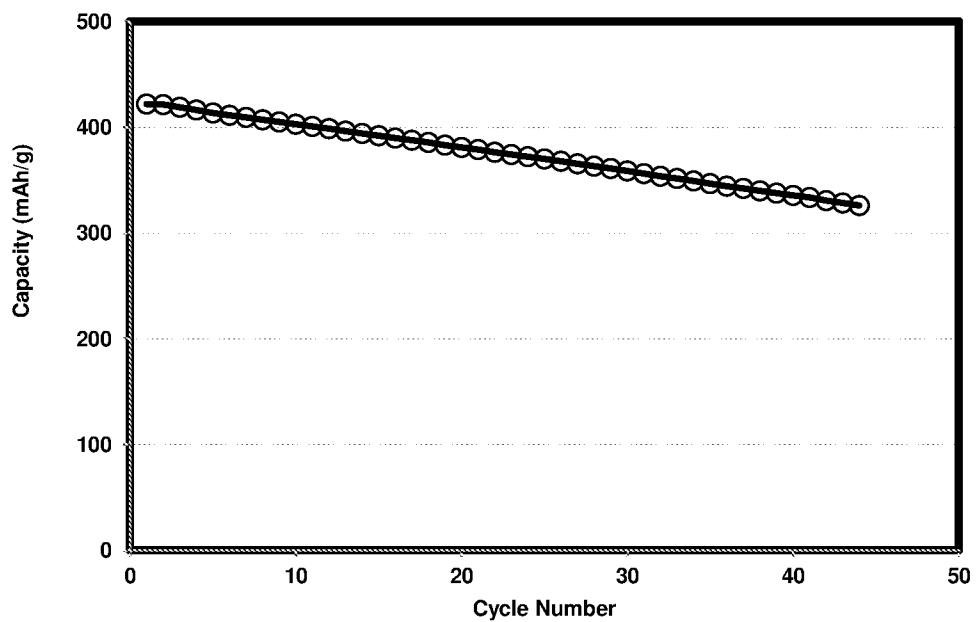
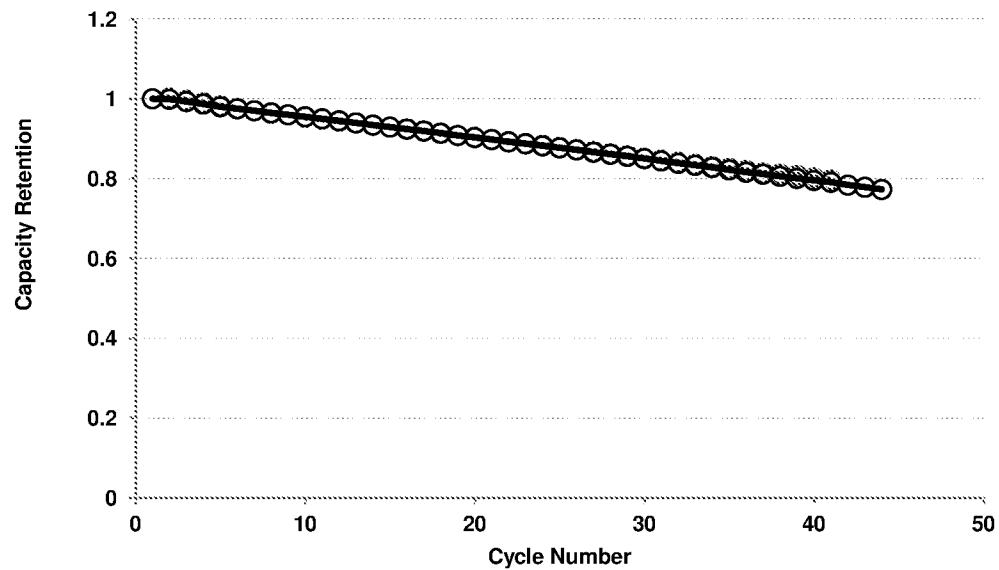
**FIGURE 8****FIGURE 9**

**FIGURE 10****FIGURE 11**

**FIGURE 12****FIGURE 13**

**FIGURE 14****FIGURE 15**

**FIGURE 16****FIGURE 17**

**FIGURE 18****FIGURE 19**

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/GB2013/052846

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>				
INV.	H01M4/133	H01M4/134	H01M4/1393	H01M4/1395
	H01M4/38	H01M4/587	H01M4/62	H01M4/36

**ADD.**

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

H01M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, COMPENDEX, FSTA, INSPEC, PAJ, IBM-TDB, WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2012/084570 A1 (SGL CARBON SE [DE]; KOECK THOMAS [DE]; KLEIN STEFAN [DE]) 28 June 2012 (2012-06-28) page 2, paragraph 4 page 2, paragraph 6 example 1 ----- US 2011/123866 A1 (PAN LAWRENCE S [US] ET AL) 26 May 2011 (2011-05-26) paragraphs [0012] - [0016], [0019], [0021], [0024], [0025], [0036] - [0050], [0164], [0165], [0178] ----- US 2010/190061 A1 (GREEN MINO [GB]) 29 July 2010 (2010-07-29) the whole document -----	1-50, 55-69  1-69  1-69



Further documents are listed in the continuation of Box C.



See patent family annex.

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Date of the actual completion of the international search

Date of mailing of the international search report

4 March 2014

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Götz, Heide

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/GB2013/052846

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