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(54) Titre : UTILISATION DE CYCLOPAMINE, UN INDUCTEUR DE LA DIFFERENCIATION ET DE L'APOPTOSE DES CELLULES DU CARCINOME BASO-CELLULAIRE, DANS LE TRAITEMENT DU CARCINOME BASO-CELLULAIRE ET D'AUTRES TUMEURS UTILISANT LA VOIE HEDGEHOG/SMOOTHENED AUX FINS DE LA PROLIFERATION DE L'APOPTOSE
(54) Title: USE OF CYCLOPAMINE, AN INDUCER OF THE DIFFERENTIATION AND APOPTOSIS OF THE BASAL CELL CARCINOMA (BCC) CELLS, IN THE TREATMENT OF BCC'S AND OTHER TUMORS THAT USE THE HEDGEHOG/SMOOTHENED PATHWAY FOR PROLIFERATION OF APOPTOSIS

(57) **Abrégé/Abstract:**

This invention concerns the use of cyclopamine in vivo on basal cell carcinomas to achieve therapeutic effect by causing differentiation of the tumor cells and, at the same time, highly efficient apoptotic death and removal of these tumor cells while preserving the normal tissue cells, including the undifferentiated cells of the normal epidermal basal layer and hair follicles. Causation of apoptosis by cyclopamine is by a non-genotoxic mechanism. These effects make the use of cyclopamine highly desirable in the treatment of basal cell carcinomas and other tumors that use the hedgehog/smoothened signal transduction pathway for proliferation and prevention of apoptosis.



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DESCRIPTION :

Use Of Cyclopamine, An Inducer Of The Differentiation And Apoptosis Of The Basal Cell Carcinoma (BCC) Cells, In The Treatment Of BCC's And Other Tumors That Use The *Hedgehog/Smoothened* Pathway For Proliferation And Prevention Of Apoptosis

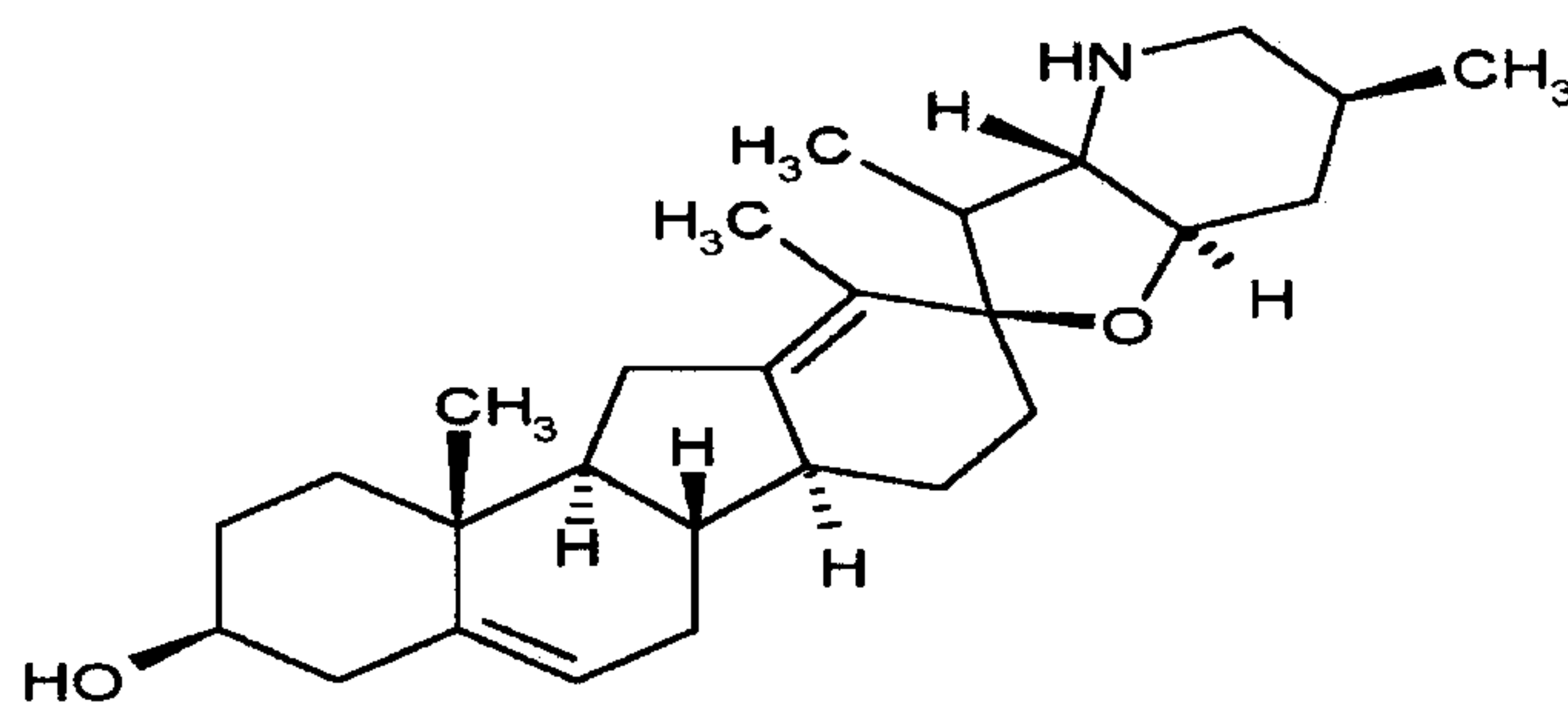
5 This invention concerns the use of cyclopamine in vivo on basal cell carcinomas (BCC's) to achieve therapeutic effect by causing differentiation of the tumor cells and, at the same time, apoptotic death and removal of these tumor cells while preserving the normal tissue cells, including the undifferentiated cells of the normal epidermal basal layer and hair follicles. Causation of apoptosis by cyclopamine is by a non-genotoxic mechanism and thus unlike the radiation therapy and most of the currently used cancer
10 chemotherapeutics which act by causing DNA-damage. These novel effects, previously unachieved by a cancer chemotherapeutic, make the use of cyclopamine highly desirable in cancer therapy, in the treatment of BCC's and other tumors that use the *hedgehog/smoothened* signal transduction pathway for proliferation and prevention of apoptosis.

Basal cell carcinoma is a common epithelial tumor. Its incidence increases with increasing age. Current
15 treatments for BCC's include the surgical excision of the tumor together with a margin of normal tissue and, when surgery is not feasible or desirable, destruction of the tumor cells by ionizing radiation or other means. Although scarring and disfigurement are potential side effects, surgical excisions that do not leave neoplastic cells behind can provide cure. Radiation therapy acts by causing irreparably high quantity of DNA-damage which, in turn, triggers apoptotic death of the tumor cells. This mode of action of radiation-
20 therapy, i. e. apoptosis secondary to DNA-damage, is similar to those of many chemotherapeutic agents that are currently used in the treatment of cancers. However both radiation therapy and the cytotoxic cancer chemotherapeutics are capable of causing DNA-damage in the normal cells of patients in addition to the tumor cells. As a result their effectivity and usefulness in cancer therapy are seriously limited. A further dilemma with the use of radiation and genotoxic cancer chemotherapeutics is the disturbing fact
25 that, even when cure of the primary tumor is achieved, patients have markedly increased risk of developing new cancers because of the DNA-damage and the resulting mutations they have undergone during the treatment of primary tumor. Induction of apoptosis selectively in tumor cells by non-genotoxic means would

therefore be most desirable in the field of cancer therapy.

BCC's frequently show inactivating mutations of the gene *patched* which encodes a transmembrane protein acting as a receptor for the hedgehog proteins identified first by their effect on the patterning of tissues during development. When not liganded by *hedgehog*, the *patched* protein acts to inhibit
 5 intracellular signal transduction by another transmembrane protein, *smoothened*. Binding of *hedgehog* to the *patched* causes relieving of this inhibition. Intracellular signal transduction by the relieved *smoothened* then initiates a series of cellular events resulting ultimately in alterations of the expressions of the *hedgehog* target genes and of cellular behaviour. General features of this *hedgehog/smoothened* pathway of signal transduction, first identified in *Drosophila*, are conserved in diverse living organisms from
 10 *Drosophila* to Human. However the pathway gets more complex in more advanced organisms (e. g. presence in human of more than one genes that display significant similarity to the single *patched* gene of *Drosophila*). Inactivating mutations of the *patched* have been found to cause constitutive (ligand-free) signalling through the *hedgehog/smoothened* pathway. The *hedgehog/smoothened* pathway overactivity, resulting from mutations of the *patched* and/or further downstream pathway elements, is found in all
 15 BCC's. The nevoid basal cell carcinoma syndrome (NBCCS) results from *patched* haploinsufficiency. Patients with the NBCCS, because of an already mutant *patched* in all cells, develop multiple BCC's as they grow older.

Cyclopamine, a steroid alkaloid, has the chemical formula shown below.



It is found naturally in the lily *Veratrum californicum* and can be obtained by purification from this and
 20 other sources. Inhibition of the *hedgehog/smoothened* pathway by cyclopamine has been found in chicken

embryos and in cultured cells of mouse.

For topical applications, cyclopamine can be dissolved in ethanol or another suitable solvent and mixed with a suitable base cream, ointment or gel. Cyclopamine may also be entrapped in hydrogels or in other pharmaceutical forms enabling controlled release and may be adsorbed onto dermal patches. The effects shown in figures Fig 1A to Fig 1 D, Fig 2A to Fig 2F, Fig 3A to Fig 3G and Fig 4A to Fig 4D have been obtained by a cream preparation obtained by mixing a solution of cyclopamine in ethanol with a base cream so as to get a final concentration of 18 mM cyclopamine in cream. The base cream used is made predominantly of heavy paraffin oil (10% w/w), vaseline (10% w/w), stearyl alcohol (8% w/w),
5 polyoxylstearate 40 (3% w/w) and water (68% w/w) but another suitably formulated base cream is also possible. Optimal concentration of cyclopamine in a pharmaceutical form as well as the optimal dosing and application schedules can obviously be affected by such factors as the particular pharmaceutical form, the localisation and characteristics of the skin containing the tumor (e. g. thickness of the epidermis) and the tumor size; however these can be determined by following well known published optimisation methods.
10 The dosing and the application schedules followed for the tumors shown in Fig 1A (BCC on the nasolabial fold, ~ 4X5 mm on surface) and Fig 1C (BCC on the forehead, ~ 4x4 mm on surface) are as follows: 10 ± 2 μ l cream applied directly onto the BCC's with the aid of a steel spatula four times per day starting ~ 9.00 a. m. with ~ 3½ hours in between. Night-time applications, avoided in this schedule because of possible loss of cream from the patient skin to linens during sleep, can be performed by suitable dermal patches.
15 Preservation of the undifferentiated cells in the normal epidermis and in hair follicles following exposure to cyclopamine, as described in this invention, provide information about the tolerable doses in other possible modes of administration as well ; e. g. direct intratumoral injection of an aqueous solution or systemic administration of the same or of cyclopamine entrapped in liposomes.

Fig 1A, Fig 1B, Fig 1C and Fig 1D show rapid clinical regressions of the BCC's following exposure to
20 cyclopamine. Besides the visual disappearance of several tumor areas within less than a week of cyclopamine exposure, there is a loss in the typically translucent appearance of the BCC's as seen by the comparison of Fig 1B to Fig 1A and of Fig 1D to Fig 1C.

Fig 2A to Fig 2F show microscopic appearances of the tumor areas subjected to surgical excisions

25 together with a margin of normal tissue on the fifth and sixth days of cyclopamine applications when the BCC's had lost most of their pre-treatment areas but still possessed few regions that, although markedly decreased in height, had not yet completely disappeared and therefore had residual tumor cells for microscopic analyses.

Fig 2A and Fig 2B show, on tissue sections, the skin areas corresponding to the visually disappeared tumor nodules. The tumors are seen to have disappeared to leave behind large cystic structures containing little material inside and no detectable tumor cells.

5 Fig 2C shows microscopic appearance of a skin area that contained still visible BCC in vivo. These regions are seen to contain residual BCC's displaying large cysts in the tumor center and smaller cystic structures of various sizes located among the residual BCC cells towards the periphery.

Fig 2D and Fig 2E show 1000X magnified appearances from the interior and palisading peripheral regions of these residual BCC's and show the presence of massive apoptotic activity among the residual BCC cells regardless of the tumor region. These high magnifications show greatly increased frequency of the BCC cells displaying apoptotic morphology and formation of the cystic structures by the apoptotic removal of cells as exemplified on Fig 2D by the imminent joining together of the three smaller cysts into a larger one upon removal of the apoptotic septal cells.

15 Fig 2F shows that the BCC's treated with the placebo cream (i. e. the cream preparation identical to the cyclopamine cream except for the absence of cyclopamine in placebo) show, by contrast, the typical neoplastic BCC cells and no detectable apoptotic activity.

Cells undergoing apoptosis are known to be removed by macrophages and by nearby cells in normal tissues and the quantitation of apoptotic activity by morphological criteria on hematoxyline-eosine stained sections is known to provide an underestimate. Despite these, the quantitative data shown in Table I show greatly increased apoptotic activity caused by cyclopamine among the residual BCC cells.

The loss of translucency in the cyclopamine-treated BCC's raises the intriguing possibility of

differentiation of BCC's under the influence of cyclopamine. This possibility, which can be tested by immunohistochemical analyses of the BCC's, is found to be the case in this invention. In normal epidermis, differentiation of basal layer cells to the upper layer cells is accompanied by a loss of labelling with the monoclonal antibody Ber-Ep4. Ber-Ep4 labels also the BCC cells and is a known marker for these neoplasms. Fig 3A, Fig 3B and the quantitative data on Table I show that, while Ber-Ep4 strongly labels all peripheral palisading cells and over 90% of the interior cells of the placebo-treated BCC's, none of the residual peripheral or interior cells of the cyclopamine-treated BCC's are labelled by Ber-Ep4. Differentiation of the BCC's under the influence of cyclopamine, hitherto unknown by any other means and highly unusual because of achievement of it in vivo and in all cells by immunohistochemical criteria, has independent value in the treatment of cancer.

Another differentiation marker, *Ulex Europaeus* lectin type 1, normally does not label the BCC's or the basal layer cells of normal epidermis but labels the differentiated upper layer cells. Fig 3C, showing the heterogenous labelling of the residual cells of cyclopamine-treated BCC's with this lectin, shows differentiation of some of the BCC cells beyond the differentiation step detected by Ber-Ep4 all the way to the step detected by *Ulex Europaeus* lectin type 1.

The p53 is a master regulator of the cellular response to DNA-damage. Amount of this protein is known to increase in the cell nucleus following exposure of cells to genotoxic agents. When the DNA-damage is increased beyond a threshold, p53 serves for the apoptotic death of cells. Radiation therapy of cancer and the genotoxic cancer chemotherapeutics that are currently common, act largely by this mechanism, i. e. by causation of apoptosis secondary to the damaging of DNA. The monoclonal antibody DO-7 can bind both normal and missense mutant (i. e., nonfunctional) forms of p53 and is known to be capable of detecting the increase of p53 in the cells following exposure to DNA-damaging agents.

Fig 3D, Fig 3E and the quantitative data in Table I show that both the DO-7 labelling intensity and the frequency of labelled cells are markedly decreased in cyclopamine-treated BCC's in comparison to the placebo-treated BCC's. Thus cyclopamine causes, not an increase, but rather a decrease of p53 in the nuclei of cyclopamine-treated BCC cells. Since expression of p53 is known to decrease in epidermal cells upon differentiation, the decreased DO-7 labelling of the cyclopamine-treated BCC's is likely to be

secondary to the cyclopamine-induced differentiation of the BCC cells. In any case, massive apoptotic activity in the cyclopamine-treated BCC's despite markedly decreased p53 expression means that the
25 cyclopamine-induced apoptosis of these tumor cells is by a non-genotoxic mechanism.

Arrest of the proliferation of BCC's is known to be associated with their retraction from stroma. Although retraction from stroma can also be caused artefactually by improper fixation and processing of the tissues, adherence to published technical details ensures avoidance of such artefacts. As shown in Fig 3F and Fig 3G, cyclopamine-treated, but not placebo-treated, BCC's are consistently retracted from stroma. Exposure
5 of BCC's to cyclopamine thus appears to be associated also with an arrest of proliferation.

Description Of The Figures

Fig 1A, 1B, 1C, 1D : Rapid regressions of the cyclopamine-treated BCC's as indicated by disappeared tumor regions (exemplified by arrows), markedly decreased height from skin surface and by a loss of translucency in less than a week. 1A : BCC, located on left nasolabial fold, prior to treatment. 1 B: Same BCC on the fifth day of topical cyclopamine treatment. 1C : BCC, located on forehead, prior to treatment. 1 D : Same BCC on the sixth day of topical cyclopamine treatment.

Fig 2A, 2B, 2C, 2D, 2E, 2F: Microscopic appearances of the cyclopamine-and placebo-treated BCC's, showing the cyclopamine-induced massive apoptotic death and removal of the tumor cells and the disappearance of tumor nodules to leave behind cystic spaces with no tumor cells. Skin areas corresponding to the pre-treatment positions of the BCC's were excised surgically on the fifth and sixth days of cyclopamine exposure with a margin of normal tissue and subjected to conventional fixation, sectioning and hematoxyline-eosine staining for microscopic analyses. 2A: Large cyst in the dermis corresponding to the position of a disappeared tumor nodule showing no residual tumor cells. 2B: Similar cysts in another dermal area that contained BCC prior to, but not after, treatment with cyclopamine. 2C : Low power view of an area of the BCC shown on Fig 1 D showing residual cells and formation of a large cyst by the joining together of the numerous smaller cysts in between these cells. 2D: High power view from an interior region of the same residual BCC as in Fig 2C showing greatly increased frequency of the apoptotic cells and the formation as well as enlargement of the cysts by the apoptotic removal of the BCC cells. 2E: High power view from from a peripheral region of the same residual BCC as in Fig 2C also showing greatly increased frequency of the apoptotic cells and the formation of cysts by the apoptotic removal of BCC cells. 2F: High power view from an internal area of a placebo-treated BCC showing typical neoplastic cells of this tumor and the absence of apoptosis. Original magnifications are 100X for 2A, 2B, 2C and 1000X for 2D, 2E, 2F.

Fig 3A, 3B, 3C, 3D, 3E, 3F, 3G: Immunohistochemical analyses of the cyclopamine-and placebo-treated BCC's showing differentiation of all residual BCC cells under the influence of cyclopamine and the decrease of p53 expression in BCC's following exposure to cyclopamine. 3A and 3B: Absence of staining with the monoclonal antibody Ber-Ep4 in all residual cells of cyclopamine-treated BCC (3A) contrasted with

the strong staining in placebo-treated BCC (3B) showing that all residual cells in the cyclopamine-treated BCC's are differentiated to or beyond a step detected by Ber-Ep4. Ber-Ep4 is a known differentiation marker that stains the BCC cells as well as the undifferentiated cells of the normal epidermis basal layer and of hair follicles but not the differentiated upper layer cells of normal epidermis. 3C: Heterogenous

5 labelling of the residual cells of a cyclopamine-treated BCC with the *Ulex Europaeus* lectin type 1 showing differentiation of some of the BCC cells all the way to the step detected by this lectin which normally does not label the BCC's or the basal layer cells of the normal epidermis but labels the differentiated upper layer cells. 3D and 3E: Decreased expression of p53 as detected by the monoclonal antibody DO 7 in

10 cyclopamine-treated BCC's (3D) in comparison to the placebo-treated BCC's (3E). Expression of p53 is known to decrease upon differentiation of the epidermal basal cells and upon differentiation of cultured keratinocytes. It is also well known that the amount of p53, detectable by DO-7, increases in cells when they are exposed to DNA-damaging agents. 3F and 3G: Consistent retraction of BCC's from stroma, which is a feature known to be associated with the arrest of tumor cell proliferation, seen in cyclopamine-

15 treated (3F, arrow shows the retraction space) but not in placebo-treated (3G) tumors (difference of the cyclopamine-and placebo-treated BCC's in terms of retraction from stroma is seen also in 3D, 2C vs 3B, 3E). Original magnifications are 400X for 3A, 3B, 3D, 3E, 1000X for 3C and 100X for 3F, 3G. All immunohistochemical labelling are with peroxidase-conjugated streptavidin binding to biotinylated secondary antibody ; labelling is indicated by the brown-colored staining. Sections shown in 3F and 3G are stained with Periodic Acid-Schiff and Alcian blue.

20 Fig4A, 4B, 4C, 4D: Normal pattern of labeling of the cyclopamine-treated normal skin with Ber-Ep4 showing that the undifferentiated cells of normal epidermis and of hair follicles are preserved despite being exposed to the same schedule and doses of cyclopamine as the BCC's. 4A : Ber-Ep4 labelling of the basal layer cells of the epidermis treated with cyclopamine. 4B and 4C: Higher power views from different areas of cyclopamine-treated epidermis showing Ber-Ep4 labelling of the basal cells. 4D: High

25 power view of a hair follicle treated with cyclopamine yet showing normal labelling with Ber-Ep4. Original magnification is 400X for 4A and 1000X for 4B, 4C, 4D. Immunohistochemical detection procedure is the same as in Fig 3A, 3B; labelling is indicated by brown coloring.

Table 1: Induction of the Differentiation and Apoptosis of Basal Cell Carcinoma Cells by Topical Cyclopamine

5		Peripheral Palisading Cells of the BCC's Treated With		Non-Palisading Cells of the BCC's Treated With	
		<u>Placebo</u>	<u>Cyclopamine</u>	<u>Placebo</u>	<u>Cyclopamine</u>
	% Of Cells Showing ≥ 2 Morphological Signs Of Apoptosis On H&E Stained Tissue Sections	0 $\pm$ 0	20 $\pm$ 8	0.2 $\pm$ 0.4	18 $\pm$ 11
	% Of Cells Labelled With Ber-Ep4	100 $\pm$ 0	0 $\pm$ 0	91 $\pm$ 8	0 $\pm$ 0
	% Of Cells Labelled With DO-7	58 $\pm$ 27	16 $\pm$ 11	67 $\pm$ 22	5 $\pm$ 3

10 Means $\pm$ standard deviations from at least 16 randomly selected high-power (1000 X) fields of the tissue sections of each tumor group are shown. $p < 0.001$ for the placebo vs cyclopamine-treated tumors for all the parameters, both for the palisading peripheral and the non-palisading (interior) tumor areas.

THE EMBODIMENTS OF THE INVENTION IN WHICH AN EXCLUSIVE PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:

1. Use of cyclopamine or a pharmaceutically acceptable salt or derivative thereof that selectively inhibits Hedgehog/Smoothed signaling for the manufacture of a medicament for obtaining decrease of size or disappearance of a tumor wherein Hedgehog/Smoothed signaling is employed for prevention of apoptosis of the tumor cells,

characterized in that cyclopamine or said salt or derivative thereof is in an amount effective for dosing to induce apoptosis of said tumor cells.

2. Use according to claim 1, wherein said medicament is formulated for topical or systemic administration.

3. Use according to claim 1, wherein said medicament is formulated for direct intratumoral injection.

4. Use according to any one of claims 1 to 3, wherein the medicament is an aqueous solution or a liposomal preparation.

5. Use according to any one of claims 1 to 4, wherein the medicament is in a pharmaceutical form enabling controlled release.

6. Use according to any one of claims 1, 2, 4 or 5, wherein the medicament is adsorbed onto a dermal patch.

7. Use according to any one of claims 1 or 2, wherein the medicament is manufactured in the form of a cream, ointment, gel or hydrogel.

8. Use according to any one of claims 1 to 7, wherein said tumor is basal cell carcinoma.

9. A commercial package comprising cyclopamine or a pharmaceutically acceptable salt or derivative thereof that inhibits Hedgehog/Smoothed signaling and instructions for a use to obtain decrease of size or disappearance of a tumor wherein Hedgehog/Smoothed signaling is employed for prevention of apoptosis of the tumor cells.

10. A medicament for obtaining decrease of size or disappearance of a tumor wherein Hedgehog/Smoothed signaling is utilized for prevention of apoptosis of the tumor cells,

comprising cyclopamine or a pharmaceutically acceptable salt or derivative thereof that selectively inhibits Hedgehog/Smoothed signaling,

characterized in that cyclopamine or said salt or derivative thereof is in an amount effective for dosing to induce apoptosis of said tumor cells.

11. A medicament according to claim 10, wherein said medicament is formulated for topical or systemic administration.

12. A medicament according to claim 10, wherein said medicament is formulated for direct intratumoral injection.

13. A medicament according to any one of claims 10 to 12, wherein the medicament is an aqueous solution or a liposomal preparation.

14. A medicament according to any one of claims 10 to 13, wherein the medicament is in a pharmaceutical form enabling controlled release.

15. A medicament according to any one of claims 10, 11, 13 or 14, wherein the medicament is adsorbed onto a dermal patch.

16. A medicament according to any one of claims 10 or 11, wherein the medicament is manufactured in the form of a cream, ointment, gel or hydrogel.

17. A medicament according to any one of claims 10 to 16, wherein said tumor is basal cell carcinoma.

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Figures: 1A To 1D - 2A To 2F - 3A To 3H

Pages: 4A To 4D

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