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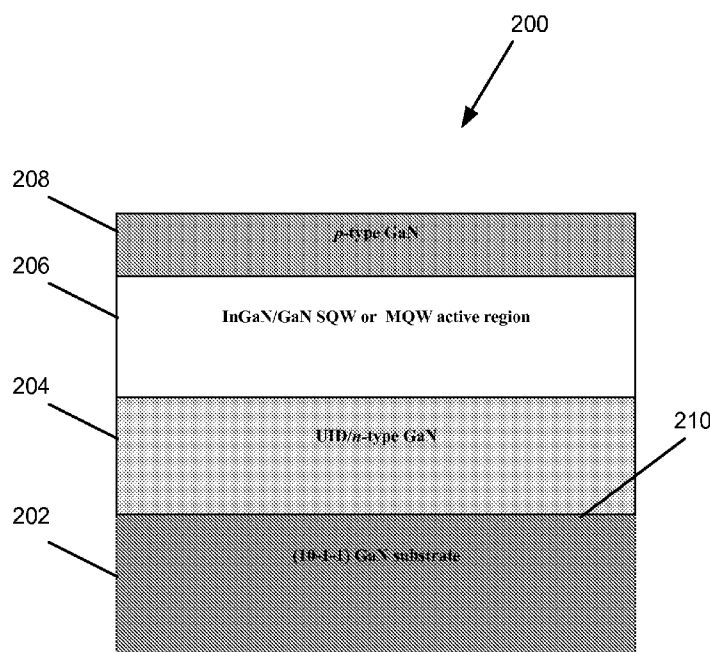
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(54) Title: THIN P-TYPE GALLIUM NITRIDE AND ALUMINUM GALLIUM NITRIDE ELECTRON-BLOCKING LAYER FREE GALLIUM NITRIDE-BASED LIGHT EMITTING DIODES



(57) Abstract: A light emitting diode (LED) having a p-type layer having a thickness of 100 nm or less, an n-type layer, and an active layer, positioned between the p-type layer and the n-type layer, for emitting light, wherein the LED does not include a separate electron blocking layer.

Figure 2



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MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, SM,

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THIN P-TYPE GALLIUM NITRIDE AND ALUMINUM GALLIUM NITRIDE
ELECTRON-BLOCKING LAYER FREE GALLIUM NITRIDE-BASED
LIGHT EMITTING DIODES

5 CROSS-REFERENCE TO RELATED APPLICATIONS

 This application claims the benefit under 35 U.S.C. Section 119(e) of co-
pending and commonly-assigned U.S. Provisional Application Serial No. 61/111,642
filed on November 5, 2008, by Hong Zhong, Anurag Tyagi, James S. Speck, Steven
P. DenBaars, and Shuji Nakamura, entitled "THIN P-TYPE GALLIUM NITRIDE
10 AND ALUMINUM GALLIUM NITRIDE ELECTRON-BLOCKING LAYER FREE
GALLIUM NITRIDE-BASED LIGHT EMITTING DIODES," attorney's docket
number 30794.291-US-P1 (2009-156), which application is incorporated by reference
herein.

 This application is related to the following co-pending and commonly-
15 assigned U.S. patent applications:

 U.S. Utility Patent Application Serial No. 12/370,479, filed on February 12,
2009, by Arpan Chakraborty, Benjamin A. Haskell, Stacia Keller, James S. Speck,
Steven P. DenBaars, Shuji Nakamura and Umesh K. Mishra, entitled
"FABRICATION OF NONPOLAR INDIUM GALLIUM NITRIDE THIN FILMS,
20 HETEROSTRUCTURES AND DEVICES BY METALORGANIC CHEMICAL
VAPOR DEPOSITION," attorneys' docket no. 30794.117-US-C2, which application
is a continuation under 35 U.S.C. §120 of U.S. Utility Patent Application Serial No.
11/621,479, filed on January 9, 2007, now U.S. Patent No. 7,504,274 issued March
17, 2009, by Arpan Chakraborty, Benjamin A. Haskell, Stacia Keller, James S. Speck,
25 Steven P. DenBaars, Shuji Nakamura and Umesh K. Mishra, entitled
"FABRICATION OF NONPOLAR INDIUM GALLIUM NITRIDE THIN FILMS,
HETEROSTRUCTURES AND DEVICES BY METALORGANIC CHEMICAL
VAPOR DEPOSITION," attorneys' docket number 30794.117-US-C1 (2004-495-3),
which application is a continuation under 35 U.S.C. §120 of U.S. Utility Patent

Application Serial No. 11/123,805, filed on May 6, 2005, by Arpan Chakraborty, Benjamin A. Haskell, Stacia Keller, James S. Speck, Steven P. DenBaars, Shuji Nakamura and Umesh K. Mishra, entitled "FABRICATION OF NONPOLAR INDIUM GALLIUM NITRIDE THIN FILMS, HETEROSTRUCTURES AND
5 DEVICES BY METALORGANIC CHEMICAL VAPOR DEPOSITION," attorneys' docket number 30794.117-US-U1 (2004-495-2), now U.S. Patent No. 7,186,302, issued on March 6, 2007, which application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Patent Application Serial No. 60/569,749, filed on May 10, 2004, by Arpan Chakraborty, Benjamin A. Haskell, Stacia Keller, James S. Speck,
10 Steven P. DenBaars, Shuji Nakamura and Umesh K. Mishra, entitled "FABRICATION OF NONPOLAR InGaN THIN FILMS, HETEROSTRUCTURES AND DEVICES BY METALORGANIC CHEMICAL VAPOR DEPOSITION," attorneys' docket number 30794.117-US-P1 (2004-495-1);
U.S. Utility Patent Application Serial No. 11/621,482, filed on January 9,
15 2007, by Troy J. Baker, Benjamin A. Haskell, Paul T. Fini, Steven P. DenBaars, James S. Speck, and Shuji Nakamura, entitled "TECHNIQUE FOR THE GROWTH OF PLANAR SEMI-POLAR GALLIUM NITRIDE," attorneys' docket number 30794.128-US-C1 (2005-471-3), which application is a continuation under 35 U.S.C. §120 of U.S. Utility Patent Application Serial No. 11/372,914, filed on March 10,
20 2006, by Troy J. Baker, Benjamin A. Haskell, Paul T. Fini, Steven P. DenBaars, James S. Speck, and Shuji Nakamura, entitled "TECHNIQUE FOR THE GROWTH OF PLANAR SEMI-POLAR GALLIUM NITRIDE," attorneys' docket number 30794.128-US-U1 (2005-471-2), now U.S. Patent No. 7,220,324, issued on May 22, 2007, which application claims the benefit under 35 U.S.C. §119(e) of U.S.
25 Provisional Patent Application Serial No. 60/660,283, filed on March 10, 2005, by Troy J. Baker, Benjamin A. Haskell, Paul T. Fini, Steven P. DenBaars, James S. Speck, and Shuji Nakamura, entitled "TECHNIQUE FOR THE GROWTH OF PLANAR SEMI-POLAR GALLIUM NITRIDE," attorneys' docket number 30794.128-US-P1 (2005-471-1); and

U.S. Utility Patent Application Serial No. 11/852,908, filed on September 10, 2007, by Michael D. Craven and James S. Speck, entitled "NON-POLAR A-PLANE GALLIUM NITRIDE THIN FILMS GROWN BY METALORGANIC CHEMICAL VAPOR DEPOSITION," attorneys docket number 30794.245-US-I1 (2002-301-4),

5 which application is a continuation-in-part of:

U.S. Utility Patent Application Serial No. 10/413,691, entitled "NON-POLAR A-PLANE GALLIUM NITRIDE THIN FILMS GROWN BY METALORGANIC CHEMICAL VAPOR DEPOSITION," filed on April 15, 2003, by Michael D. Craven and James S. Speck, attorneys docket number 30794.100-US-U1 (2002-294-2), which

10 application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Patent Application Serial No. 60/372,909, filed on April 15, 2002, by Michael D. Craven, Stacia Keller, Steven P. DenBaars, Tal Margalith, James S. Speck, Shuji Nakamura, and Umesh K. Mishra, entitled "NON-POLAR GALLIUM NITRIDE BASED THIN FILMS AND HETEROSTRUCTURE MATERIALS," attorneys docket number

15 30794.95-US-P1 (2002-294/301/303); and

U.S. Utility Patent Application Serial No. 11/472,033, entitled "NON-POLAR (Al, B, In, Ga)N QUANTUM WELL AND HETEROSTRUCTURE MATERIALS AND DEVICES," filed on June 21, 2006, by Michael D. Craven, Stacia Keller, Steven P. DenBaars, Tal Margalith, James S. Speck, Shuji Nakamura, and Umesh K.

20 Mishra, attorneys docket number 30794.101-US-D1 (2002-301-3), which application is a divisional application and claims the benefit under 35 U.S.C. §120 and §121 of U.S. Utility Patent Application Serial No. 10/413,690, filed on April 15, 2003, by Michael D. Craven et al., entitled "NON-POLAR (Al, B, In, Ga)N QUANTUM WELL AND HETEROSTRUCTURE MATERIALS AND DEVICES," attorney's

25 docket number 30794.101-US-U1 (2002-301-2), now U.S. Patent No. 7,091,514, issued on August 15, 2006, which application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Patent Application Serial No. 60/372,909, filed on April 15, 2002, by Michael D. Craven, Stacia Keller, Steven P. DenBaars, Tal Margalith, James S. Speck, Shuji Nakamura, and Umesh K. Mishra, entitled "NON-POLAR

GALLIUM NITRIDE BASED THIN FILMS AND HETEROSTRUCTURE MATERIALS,” attorneys docket number 30794.95-US-P1 (2002-294/301/303); all of which applications are incorporated by reference herein.

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BACKGROUND OF THE INVENTION

1. Field of the Invention.

This invention relates to light emitting diodes (LEDs) and in particular to high efficiency and high brightness LEDs for various lighting applications, and methods of fabricating the same.

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2. Description of the Related Art.

(Note: This application references a number of different publications as indicated throughout the specification by one or more reference numbers within brackets, e.g., [x]. A list of these different publications ordered according to these reference numbers can be found below in the section entitled “References.” Each of these publications is incorporated by reference herein.)

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Gallium nitride (GaN)-based wide band gap semiconductor light emitting diodes (LEDs) have been available for almost 15 years. The progress of LED development has brought about great changes in LED technology, with the realization of full-color LED displays, LED traffic signals, white LEDs and so on.

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Highly efficient white LEDs have gained much interest as possible replacements for fluorescent lamps. For example, the luminous efficacy of white LEDs (130-150 lumens/watt [1]) already surpasses that of ordinary fluorescent lamps (75 lumens/watt.) Nevertheless, current commercially available wurtzite nitride-based LEDs are characterized by the presence of polarization-related electric fields inside multi-quantum wells (MQWs), for their [0001] c-polar growth orientation. The discontinuities in both spontaneous and piezoelectric polarization at the heterointerfaces result in internal electric fields in quantum wells which cause carrier separation (quantum confined Stark effect (QCSE)) and reduce the radiative

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recombination rate within quantum wells [2-5]. To maintain a decent radiative recombination rate, c-polar light emitting devices typically have thin (< 3 nm) quantum wells [6-7].

To decrease these polarization-related effects, growing III-nitride devices on the non-polar planes (viz, the (1-100) m-plane or the (11-20) a-plane) has been demonstrated [8-9]. Another approach to reduce, and possibly eliminate, those effects is to grow III-nitride devices on crystal planes that are inclined with respect to the c-direction, i.e., semi-polar planes. These planes have reduced polarization discontinuity in heterostructures, compared with the c-plane III-nitride materials; and for semi-polar planes oriented $\sim 45^\circ$ from the c-plane, there is no polarization discontinuity in InGaN/GaN heterostructures [5]. With reduced polarization-related electric fields inside the quantum well region, the electron and hole wavefunctions inside a semi-polar-oriented InGaN quantum well are expected to have more overlap (and thus lead to a higher radiative efficiency) than in a c-polar oriented counterpart, for a given quantum well thickness. In other words, without worrying about the detrimental effect on the radiative recombination rate, one can employ thick quantum well designs in semi-polar LEDs. Devices grown on different semi-polar planes (including (10-1-1), (10-1-3), (11-22) planes etc.) have been demonstrated and they exhibited greatly reduced polarization-related electric fields [10-12]. The output powers of those heteroepitaxially-grown devices, however, suffer from the presence of stacking faults and threading dislocations. Recently, with the advent of high quality freestanding GaN substrates, high performance non-polar and semi-polar LEDs with peak emission wavelengths ranging from 407 nm to 513 nm on non-polar m-plane, semi-polar (10-1-1), and (11-22) freestanding GaN substrates have been reported [13-17]. Nonetheless, the output powers of those devices are still lower than that of typical the-state-of-the-art c-polar devices, which can be partly attributed to the un-optimized LED epitaxial layer structure.

This invention presents novel semi-polar LED epitaxial layer structures that are expected to address this problem.

SUMMARY OF THE INVENTION

To overcome the limitations in the prior art described above, and to overcome other limitations that will become apparent upon reading and understanding the present invention, the present invention comprises of a novel approach for producing a GaN-based semi-polar-oriented light emitting diode (LED) that contains a thin (i.e. < 100 nanometers (nm)) p-type GaN layer and no AlGaIn electron-blocking layer (EBL). In the preferred embodiment, freestanding semi-polar (10-1-1) GaN is used as the substrate for the LED. This invention offers several advantages over the existing semi-polar LEDs. First of all, the thin p-type GaN layer and AlGaIn EBL free designs can lower series-resistance and thus the operating voltage of a GaN-based LED. Additionally, the thin p-type GaN layer may reduce the absorption of light emitted from the quantum well region. Furthermore, the hole-carrier injection efficiency is likely to benefit from the removal of the AlGaIn EBL.

In one embodiment an LED, comprises a p-type layer having a thickness of 100 nm or less; an n-type layer; and an active layer, positioned between the p-type layer and the n-type layer, for emitting light; wherein the p-type layer, n-type layer and active layer are comprised of semi-polar nitride based material. For example, the p-type layer may have a thickness of at most 50 nm. The LED may be III-nitride based but not contain an AlGaIn electron blocking layer, for example.

The active layer may comprise one or more quantum wells having a thickness of 4 nm or more, wherein the active layer/quantum wells have a thickness thick enough, and a composition, to capture and confine electron-carriers in the active layer, thereby providing the function of an electron blocking layer. The quantum wells may be InGaIn quantum wells, for example.

The LED of the present invention may have a higher luminous efficiency, crystal quality, hole injection efficiency, lower series-resistance, operating voltage, and light absorption as compared to an LED comprising a separate AlGaIn blocking layer and thicker p-type layer.

The present invention further discloses a method for fabricating an LED, comprising depositing an n-type layer on a substrate; depositing an active layer for emitting light on the n-type layer (e.g., growing one or more InGaN quantum wells to a thickness greater than 4 nm and to a thickness and with a composition that captures and confines electron-carriers in the active layer, thereby providing the function of an electron blocking layer); and depositing a p-type layer on the active layer, to a thickness of 100 nm or less (e.g., to a thickness of at most 50 nm); wherein the p-type layer, n-type layer and active layer are comprised of semi-polar nitride based material.

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BRIEF DESCRIPTION OF THE DRAWINGS

Referring now to the drawing in which like reference numbers represent corresponding parts throughout:

Figure 1(a) is a cross-sectional schematic of an LED according to one embodiment of the present invention.

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Figure 1(b) is a cross-sectional schematic of an example of an active region of the present invention.

Figure 2 is a schematic cross-section of another example of an epitaxial layer structure for a thin p-type GaN layer and AlGaN EBL-free semi-polar LED, comprising an InGaN/GaN MQW or single quantum well (SQW).

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Figure 3 is a schematic cross-section of yet another example of an LED according to the present invention.

Figure 4 is a flowchart illustrating a method of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

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In the following description of the preferred embodiment, reference is made to the accompanying drawings which form a part hereof, and in which is shown by way of illustration a specific embodiment in which the invention may be practiced. It is to be understood that other embodiments may be utilized and structural changes may be made without departing from the scope of the present invention.

Overview

The purpose of this invention is to generate semi-polar-oriented GaN-based LEDs with improved performance and manufacturability. The proposed device will typically be used as an optical source for various display, illumination, and solid-state lighting applications.

The realization of thin p-type GaN and AlGaN EBL free LED structures would potentially allow for multiple advances in the manufacturability of GaN-based LEDs (because this invention further simplifies LED epitaxial structure) and yield devices with reduced voltage operation and higher crystal quality. The semi-polar GaN platforms are expected to offer performance advantages such as devices with higher radiative recombination rate and thus higher internal quantum efficiency. These advantages will potentially lower the cost of a variety of commercial products.

Nomenclature

The term “(Al,Ga,In)N” or III-Nitride as used herein is intended to be broadly construed to include respective nitrides of the single species, Al, Ga, and In, as well as binary, ternary and quaternary compositions of such Group III metal species. Accordingly, the term (Al, Ga, In)N comprehends the compounds AlN, GaN, and InN, as well as the ternary compounds AlGa_xN_{1-x}, GaIn_xN_{1-x}, and AlIn_xN_{1-x}, and the quaternary compound AlGaInN, as species included in such nomenclature. When two or more of the (Ga, Al, In) component species are present, all possible compositions, including stoichiometric proportions as well as “off-stoichiometric” proportions (with respect to the relative mole fractions present of each of the (Ga, Al, In) component species that are present in the composition), can be employed within the broad scope of the invention. Accordingly, it will be appreciated that the discussion of the invention hereinafter in reference to GaN materials is applicable to the formation of various other (Al, Ga, In)N material species. Further, (Al,Ga,In)N materials within the scope

of the invention may further include minor quantities of dopants and/or other impurity or inclusional materials.

Technical Description

5 This invention is a semiconductor LED comprised of alloys such as, but not limited to, (Ga, In, Al)N. In comparison to the other semi-polar LEDs reported thus far, whose p-type GaN thicknesses range from 150 nm to 200 nm [14-18], the proposed devices contain a thinner p-type GaN layer (i.e. < 100 nm and a typical value of 50 nm). Moreover, unlike previously reported semi-polar LEDs [14-18], the
10 proposed devices contain no AlGa_N electron-blocking layer (EBL), which is typically sandwiched between the quantum well region and the p-type GaN layer. The device is grown and processed using well-established semiconductor device fabrication techniques.

 Figure 1(a) illustrates a device 100 (e.g., LED) according to the present
15 invention, comprising a p-type layer 102 having a thickness 104 of 100 nm or less (e.g., at most 50 nm); an n-type layer 106; and an active region or layer 108, positioned between the p-type layer 102 and the n-type layer 106, for emitting light. The active layer 108 typically, although not necessarily, has a thickness 110 thick enough, and a composition, to capture and confine electron-carriers in the active layer
20 108, thereby providing the function of an EBL. Thus, the present invention may integrate the EBL and active layer 108 as one layer 108 providing both light emitting and electron blocking functionality. Thus, in one embodiment, the LED 100 does not include a separate EBL (distinct from layers 102, 106, 108) grown specifically for the sole purpose of electron blocking. For example, the LED 100 typically does not
25 contain an AlGa_N blocking layer.

 As a result, the LED may have a higher luminous efficiency, crystal quality, hole injection efficiency, lower series-resistance, operating voltage, and light absorption as compared to an LED comprising a separate AlGa_N blocking layer and thicker p-type layer.

The LED is typically III-Nitride based, e.g., layers 102, 106, and 108 are III-Nitride (Al,In,Ga)N layers.

As shown in Figure 1(b), the active layer 108 may comprise one or more quantum wells 112 having a thickness 114 of 4 nm or more, and a composition (e.g., InGaN) to emit light. In addition, the active layer 108 may comprise one or more quantum wells 112 having the composition and the thickness 114 to capture and confine electron-carriers in the quantum well 112, thereby providing the function of an electron blocking layer. The quantum wells 112 (e.g., InGaN) are typically sandwiched between a first quantum well barrier 116 (e.g., GaN) and a second quantum well barrier 118 (e.g., GaN). The electron carriers typically flow to the active layer 108 and quantum wells 112, in response to a power source, and recombine with holes in the active layer 108 and quantum wells 112, to generate the light emitted by the device 100.

The LED 100 typically has a semi-polar or non-polar orientation 120, for example, layers 102, 106, and 108 are grown along a non-polar or semi-polar orientation 120, or cut, such that the interface 122 between the active layer 108 and the n-type layer 106 and the interface 124 between the active layer 108 and p-type layer 102 are a selected non-polar or semi-polar plane. The interface 126 between the quantum well 112 and first barrier 116 and the interface 128 between the quantum well 112 and the second barrier 118 are also the selected non-polar or semi-polar plane(s). The semi-polar or non non-polar orientation 120 is typically along the quantum confinement direction (the direction along which the electrons and holes in the quantum well 112 are confined by the energy barrier provided by the barrier layers 116, 118), so that the QCSE is reduced as compared to a c-plane device.

In one embodiment, all the layers, e.g., the p-type layer 102, n-type layer 106, and active layer 108 are comprised of semi-polar nitride based material.

Figure 2 shows a typical epitaxial layer structure 200 for the proposed device. This is one embodiment of the invention. Other epitaxial structures are possible and several alternative embodiments will be suggested in the following sections. As a first

example, a device 200 is grown on a semi-polar (10-1-1) freestanding GaN substrate 202, starting with an unintentionally doped (UID) and/or n-type GaN layer 204, followed by a quantum well region 206 that may contain an InGaN/GaN SQW or MQWs, followed by a thin p-type GaN layer 208. Given the device's semi-polar
5 orientation, the individual quantum well thickness could be thicker than what is typically used for c-polar devices (i.e. > 4 nm). The (10-1-1) freestanding GaN substrate 202 has a surface 210 upon which layer 204 is epitaxially grown that is a semi-polar (10-1-1) plane.

Figure 3 shows a second example of a semi-polar LED device 300 with a thin
10 p-type GaN layer 302, and no AlGaIn EBL, that was grown by metal organic chemical vapor deposition (MOCVD) on a freestanding semi-polar (10-1-1) GaN substrate 304. The LED epitaxial layer structure includes a $1.0\text{ }\mu\text{m}$ thick silicon-doped n-type GaN 306, active region comprising a 3 nm thick quantum well 308 and 30 nm thick UID GaN barriers 310, 312, 30 nm thick magnesium (Mg)-doped p-type GaN layer 302
15 and a 20 nm thick heavily Mg-doped p^+ -type GaN contact layer 314. Following the growth, LEDs were fabricated and packaged on silver headers. 250 nm thick indium-tin-oxide (ITO) was used as the p-type GaN contacts 316 and Ti/Au was used as the p-type and n-type electrodes 318, 320. A representative LED 300 was tested on a bare header (without any encapsulation and without any intentional light extraction
20 scheme) in an integrating sphere under Direct Current (DC) operation. At 20 milliamperes (mA) drive current, a peak-emission wavelength of 444 nm and an output power of 15.2 milliwatts (mW) was measured. In comparison, the output power of the best semi-polar blue LED described in the literature [15], on a bare silver header (without any encapsulation and without any intentional light extraction scheme), and
25 measured in an integrating sphere, was only 11.6 mW at 20 mA under DC operation. It should be noted that except for the thick (200 nm) p-type GaN layer and AlGaIn EBL, this semi-polar LED (described in [15]) is almost identical to the second example of the present invention, including the peak EL emission wavelength, crystal orientation, quantum well thickness, and ITO p-contact thickness.

The implementation of the proposed epitaxial structure to produce various categories of GaN-based LEDs is the core of the invention. This epitaxial structure may be fabricated into a variety of Thin P-type GaN layer and AlGaN EBL Free semi-polar (TPAF) LEDs using standard semiconductor processing techniques.

5 There are multiple applications and variations on the invention, as discussed in the sections above and below.

Process Steps

10 Figure 4 illustrates a method for fabricating an LED, comprising the following steps.

 Block 400 represents depositing (e.g., growing) a layer having a first conductivity type (e.g., n-type layer) on a substrate, e.g., on a non-polar or semi-polar substrate or on a non-polar or semi-polar plane of a substrate, or on a substrate that supports semi-polar or non-polar growth. The n-type layer may be grown, for
15 example, along a non-polar or semi-polar orientation of III-Nitride.

 Block 402 represents depositing (e.g., growing) an active layer or region, for emitting light, on the layer of block 400 having the first conductivity type. In one example, depositing the active layer comprises growing one or more quantum wells having a thickness of 4 nm or more. Furthermore, the method typically, although not
20 necessarily, comprises depositing the active layer to a thickness and with a composition that captures and confines electron-carriers, thereby providing the function of an electron blocking layer. For example, depositing the active layer may comprise growing one or more quantum wells having the thickness and composition that captures and confines electron-carriers. The quantum wells may be grown as
25 InGaN quantum wells.

 Thus, a separate electron blocking layer, such as an AlGaN blocking layer, need not be included in the LED.

The active layer is typically along a non-polar or semi-polar orientation of III-Nitride, on a non-polar or semi-polar plane of the layer having the first conductivity type grown in block 400.

Block 404 represents depositing (e.g., growing) a layer having a second conductivity type (e.g., p-type layer) and a thickness of 100 nm or less, on the active layer of block 402. In one example, the step comprises depositing the p-type layer to a thickness of at most 50 nm. The p-type layer may be grown, for example, along a non-polar or semi-polar orientation of III-Nitride.

Block 406 represents the end result of the method, a device such as an LED. The p-type layer, the active layer, and the n-type layer are typically III-nitride and the LED typically does not contain an AlGaIn electron blocking layer, for example. All layers, e.g., the p-type layer, n-type layer and active layer, may be comprised of semi-polar nitride based material. The depositing steps (a), (b), and (c) may result in a higher luminous efficiency, crystal quality, hole injection efficiency, lower series-resistance, operating voltage, and light absorption as compared to an LED comprising a separate AlGaIn blocking layer and thicker p-type layer.

Possible Modifications

Growth of TPAF LEDs may also be practiced on (Ga, In, Al)N crystal orientations other than the semi-polar (10-1-1) plane, including but not limited to, all other semi-polar planes, and non-polar a-planes and m-planes. The term “semi-polar plane” can be used to refer to any plane that cannot be classified as c-plane, a-plane, or m-plane. In crystallographic terms, a semi-polar plane would be any plane that has at least two nonzero h, i, or k Miller indices and a nonzero l Miller index. Non-polar m-planes and a-planes refer to (10-10) and (11-20) planes, respectively.

The preferred embodiment presented above discussed (Ga,Al,In)N thin films, heterostructures, and devices grown on a free-standing semi-polar nitride wafer having a composition lattice matched to the structure to be grown. Freestanding semi-polar nitride wafers may be created by removing a foreign substrate from a thick

semi-polar nitride layer, by sawing a bulk nitride ingot or boule into individual semi-polar nitride wafers, or by any other possible crystal growth or wafer manufacturing technique. The scope of this invention includes the growth and fabrication of semi-polar (Ga,Al,In)N thin films, heterostructures, and devices on all possible freestanding
5 semi-polar nitride wafers created by all possible crystal growth methods and wafer manufacturing techniques. The substrate may also be thinned and/or polished and/or removed in some instances.

Likewise, the (Ga,Al,In)N thin films, heterostructures, and devices discussed above could be grown on a freestanding non-polar nitride wafer having a composition
10 lattice matched to the structure to be grown. Freestanding non-polar nitride wafers may be created by removing a foreign substrate from a thick non-polar nitride layer, by sawing a bulk nitride ingot or boule into individual non-polar nitride wafers, or by any other possible crystal growth or wafer manufacturing technique. The scope of this invention includes the growth and fabrication of non-polar (Ga,Al,In)N thin films,
15 heterostructures, and devices on all possible freestanding non-polar nitride wafers created by all possible crystal growth methods and wafer manufacturing techniques. The substrate may also be thinned and/or polished in some instances.

Moreover, foreign substrates other than freestanding GaN could be used for semi-polar or non-polar template growth. The scope of this invention includes the
20 growth and fabrication of semi-polar and non-polar (Ga,Al,In)N thin films, heterostructures, and devices on all possible crystallographic orientations of all possible substrates. These substrates include, but are not limited to, silicon carbide, gallium nitride, silicon, zinc oxide, boron nitride, lithium aluminate, lithium niobate, germanium, aluminum nitride, lithium gallate, partially substituted spinels, and
25 quaternary tetragonal oxides sharing the γ -LiAlO₂ structure.

The semi-polar (Ga,Al,In)N devices described above were grown on freestanding GaN wafers. However, the scope of this invention also covers non-polar or semi-polar (Ga,Al,In)N devices grown on non-polar or semi-polar epitaxial laterally overgrown (ELO) templates. The ELO technique is a method of reducing the

density of threading dislocations (TD) in subsequent epitaxial layers. Reducing the TD density leads to improvements in device performance.

Variations in (Ga,In,Al)N quantum well and heterostructure design are possible without departing from the scope of the present invention. For example, low aluminum composition AlGa_xN_{1-x}N, $0 \leq x \leq 0.5$, as well as AlInGa_xN quaternary layers, could be used as quantum well barriers. Moreover, the specific thickness and composition of the layers, the number of quantum wells grown, and the inclusion are variables inherent to particular device designs and may be used in alternative embodiments of the present invention.

This invention may also be used to produce TPAF GaN-based LEDs that do not contain quantum wells. One example is an LED structure containing a GaN/InGa_xN double heterostructure.

Advantages and Improvements

This invention offers several advantages over the existing semi-polar LEDs. A thin p-type GaN and AlGa_xN-EBL-free semi-polar LED is expected to have a higher luminous efficacy. This is because the thin p-type GaN layer and absence of AlGa_xN EBL can lower series-resistance and thus the operating voltage of a GaN-based LED. Additionally, the thin p-type GaN layer may reduce the absorption of light emitted from the quantum well region. Furthermore, the hole-carrier injection efficiency is likely to benefit from the removal of the AlGa_xN EBL, since while AlGa_xN EBL enhances capture and confinement of the electron-carriers in the quantum well active region, it also obstructs hole-carrier injection [19]. Instead of the AlGa_xN EBL, thick quantum well designs facilitated by the semi-polar growth orientation can be utilized to help the capture and confinement of the electron-carriers (a thick quantum well is expected to be more efficient in capturing and confining electron-carriers [20-22]).

The implementation of the present invention is also expected to result in higher LED crystal quality. This is because a thin p-type GaN layer (which is preferably grown at a much higher temperature than the InGa_xN quantum well growth

temperature) requires a shorter growth time, which in turn shortens the high temperature exposure for the InGaN quantum well region, alleviating the possible deterioration of InGaN quantum well region due to high temperature. Furthermore, the absence of AlGaN EBL layer is expected to improve the overall epitaxial layer crystal quality since this AlGaN layer is typically grown at a temperature around the InGaN quantum well growth temperature to avoid dissociation of InGaN quantum well. AlGaN grown at this temperature, which is not desirable for high quality AlGaN growth, is likely to suffer from poor crystal quality, in particular, high defect density. It should be noted that since the proposed devices have a greatly simplified epitaxial structure as compared to existing devices, the present invention can further improve the manufacturability of LEDs.

The concept of employing thin a p-type GaN layer in a semi-polar-oriented GaN-based LED to reduce its series-resistance, light absorption and better preserve the quality of InGaN quantum well is believed to be new. The concept of a semi-polar-oriented GaN-based LED containing no AlGaN electron-blocking layer to improve hole-carrier injection and the crystal quality of the LED epitaxial layers is also believed to be new.

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- 20 Anurag Tyagi, James S. Speck, Steven P. DenBaars, and Shuji Nakamura, entitled "THIN P-TYPE GALLIUM NITRIDE AND ALUMINUM GALLIUM NITRIDE ELECTRON-BLOCKING LAYER FREE GALLIUM NITRIDE-BASED LIGHT EMITTING DIODES," attorney's docket number 30794.291-US-P1 (2009-156), cited in the "Cross-Reference to Related Application" above.

25

Conclusion

This concludes the description of the preferred embodiment of the present invention. The foregoing description of one or more embodiments of the invention has been presented for the purposes of illustration and description. It is not intended to

be exhaustive or to limit the invention to the precise form disclosed. Many modifications and variations are possible in light of the above teaching. It is intended that the scope of the invention be limited not by this detailed description, but rather by the claims appended hereto.

WHAT IS CLAIMED IS:

1. A light emitting diode (LED), comprising:
a p-type layer having a thickness of 100 nm or less;
an n-type layer; and
5 an active layer positioned between the p-type layer and the n-type layer,
wherein the p-type layer, the n-type layer and the active layer are comprised of semi-polar nitride based material.
- 10 2. The LED of claim 1, wherein the p-type layer has a thickness of at most 50 nm.
3. The LED of claim 1, wherein the LED is III-nitride based and does not contain an AlGaN electron blocking layer.
- 15 4. The LED of claim 1, wherein the active layer comprises one or more quantum wells having a thickness of 4 nm or more.
5. The LED of claim 1, wherein the active layer has a thickness thick enough, and a composition, to capture and confine electron-carriers in the active
20 layer, thereby providing the function of an electron blocking layer.
6. The LED of claim 5, wherein the active layer comprises one or more quantum wells having the thickness.
- 25 7. The LED of claim 6, wherein the quantum wells are InGaN quantum wells.
8. The LED of claim 1, having a higher luminous efficiency, crystal quality, hole injection efficiency, lower series-resistance, operating voltage, and light

absorption as compared to an LED comprising a separate AlGaIn blocking layer and thicker p-type layer.

9. A method for fabricating a light emitting diode (LED), comprising:
- 5 (a) depositing an n-type layer on a substrate;
- (b) depositing an active layer, for emitting light, on the n-type layer; and
- (c) depositing a p-type layer on the active layer, to a thickness of 100 nm or less;
- wherein the p-type layer, n-type layer and active layer are comprised of semi-
- 10 polar nitride based material.
10. The method of claim 9, further comprising depositing the p-type layer to a thickness of at most 50 nm.
- 15 11. The method of claim 9, wherein the p-type layer, the active layer, and the n-type layer are III-nitride and the LED does not contain an AlGaIn electron blocking layer.
- 20 12. The method of claim 9, wherein depositing the active layer comprises growing one or more quantum wells having a thickness of 4 nm or more.
13. The method of claim 9, further comprising depositing the active layer to a thickness and with a composition that captures and confines electron-carriers in the active layer, thereby providing the function of an electron blocking layer.
- 25 14. The method of claim 13, wherein depositing the active layer comprises growing one or more quantum wells having the thickness and composition.

15. The method of claim 14, wherein the quantum wells are grown as InGaN quantum wells.

16. The method of claim 1, wherein the depositing steps (a), (b), and (c)
5 result in a higher luminous efficiency, crystal quality, hole injection efficiency, lower series-resistance, operating voltage, and light absorption as compared to an LED comprising separate AlGaIn blocking layer and thicker p-type layer.

17. The method of claim 9, wherein the depositing of steps (a),(b) and (c)
10 further comprises growing along a semi-polar orientation.

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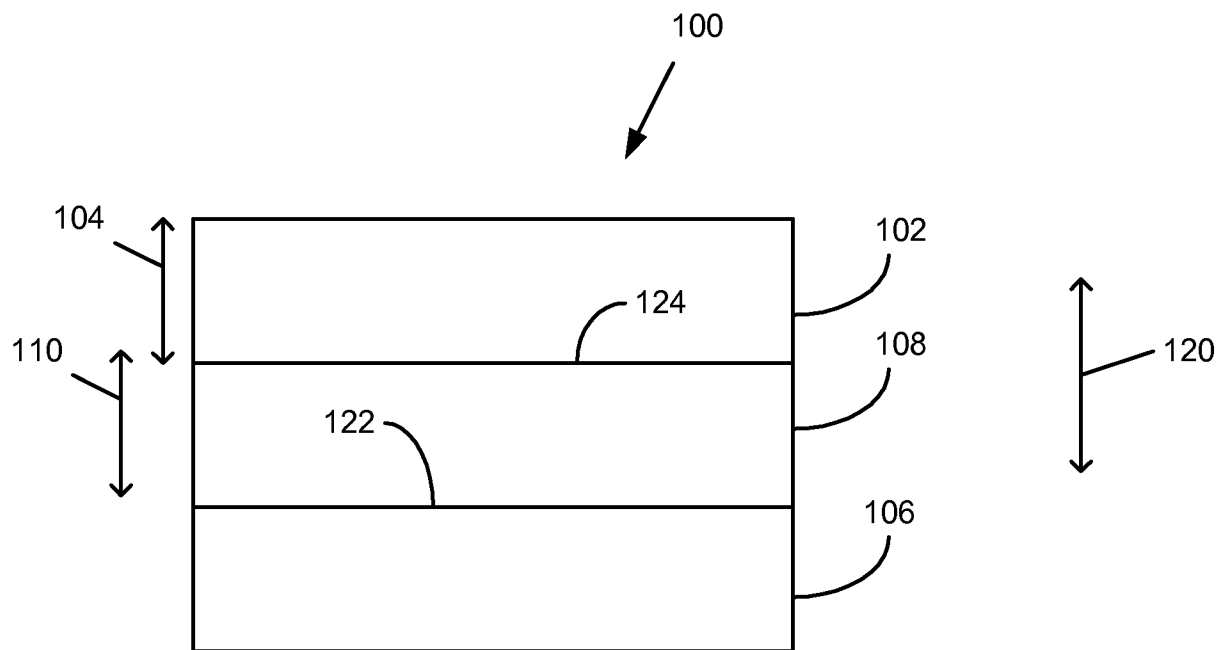


Figure 1(a)

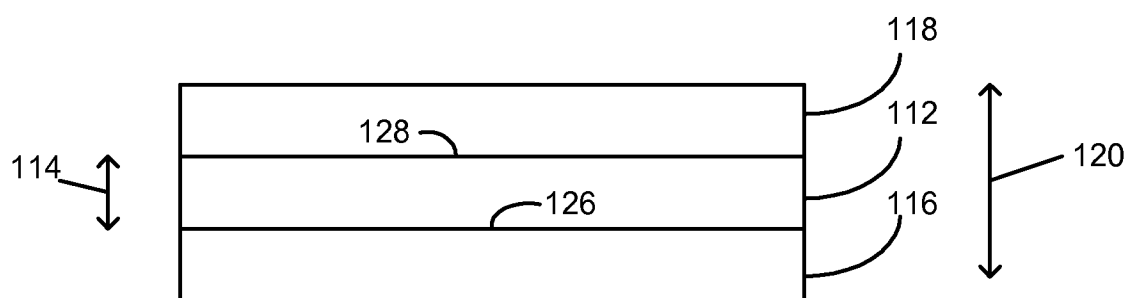
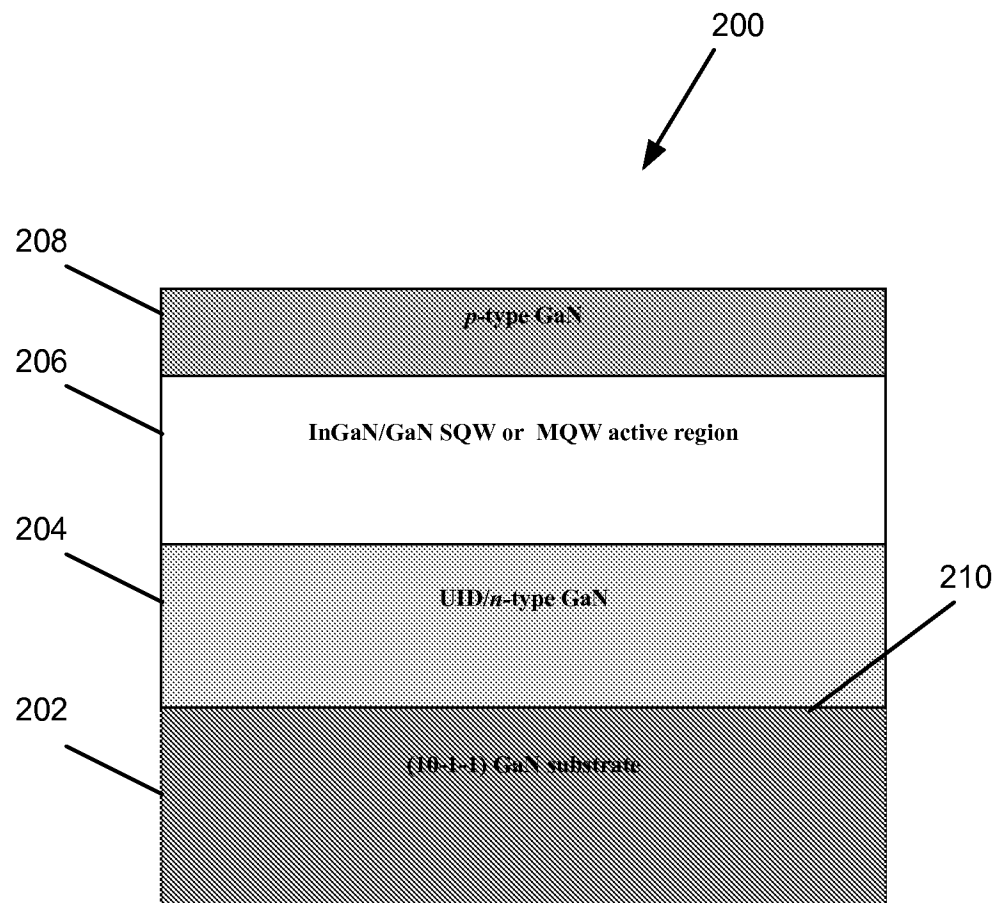


Figure 1(b)

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**Figure 2**

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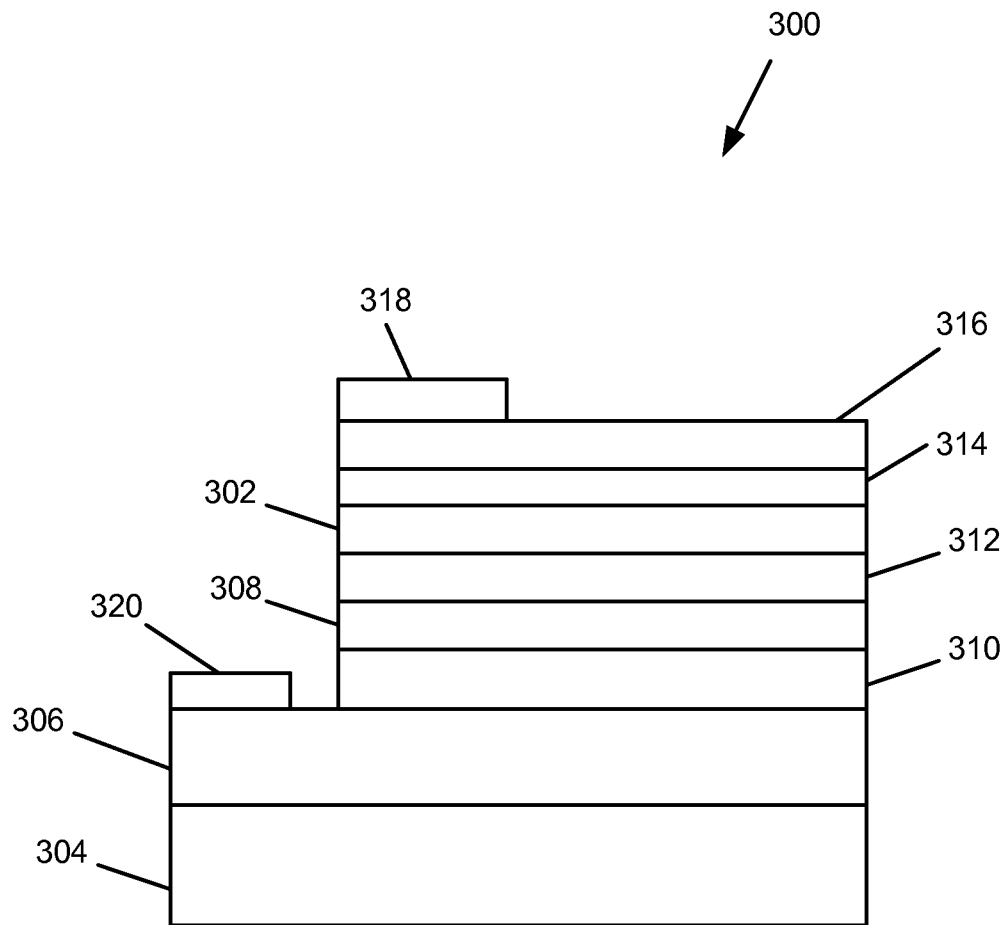
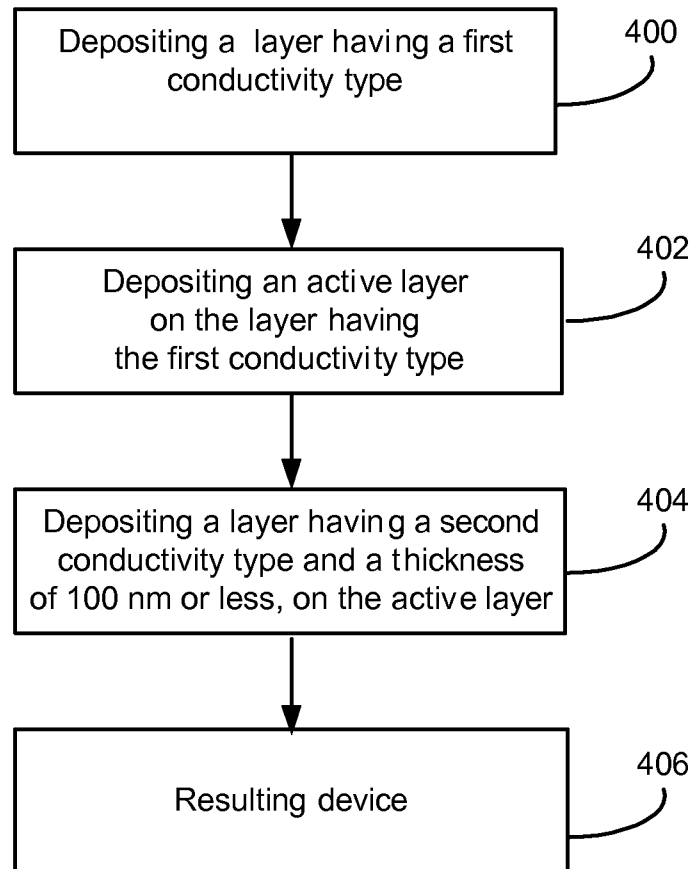


Figure 3

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**Figure 4**

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2009/063012

A. CLASSIFICATION OF SUBJECT MATTER IPC(8) - H01L 21/335 (2009.01) USPC - 438/142 According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC(8) - H01L 21/335 (2009.01) USPC - 438/142 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) MicroPatent		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2007/098215 A2 (ZHONG et al) 30 August 2007 (30.08.2007) entire document	1-4, 9, 10-12 and 17
Y		5-8 and 13-16
Y	US 2005/0214992 A1 (CHAKRABORTY et al) 29 September 2005 (29.09.2005) entire document	5-8 and 13-16
☐ Further documents are listed in the continuation of Box C. ☐		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search 16 December 2009		Date of mailing of the international search report 29 DEC 2009
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201		Authorized officer: Blaine R. Copenheaver PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774