



Office de la Propriété

Intellectuelle
du Canada

Un organisme
d'Industrie Canada

Canadian
Intellectual Property
Office

An agency of
Industry Canada

CA 2669970 A1 2008/05/22

(21) **2 669 970**

(12) **DEMANDE DE BREVET CANADIEN**
CANADIAN PATENT APPLICATION

(13) **A1**

(86) Date de dépôt PCT/PCT Filing Date: 2007/06/01
(87) Date publication PCT/PCT Publication Date: 2008/05/22
(85) Entrée phase nationale/National Entry: 2009/05/15
(86) N° demande PCT/PCT Application No.: US 2007/012948
(87) N° publication PCT/PCT Publication No.: 2008/060333
(30) Priorité/Priority: 2006/11/17 (US60/859,883)

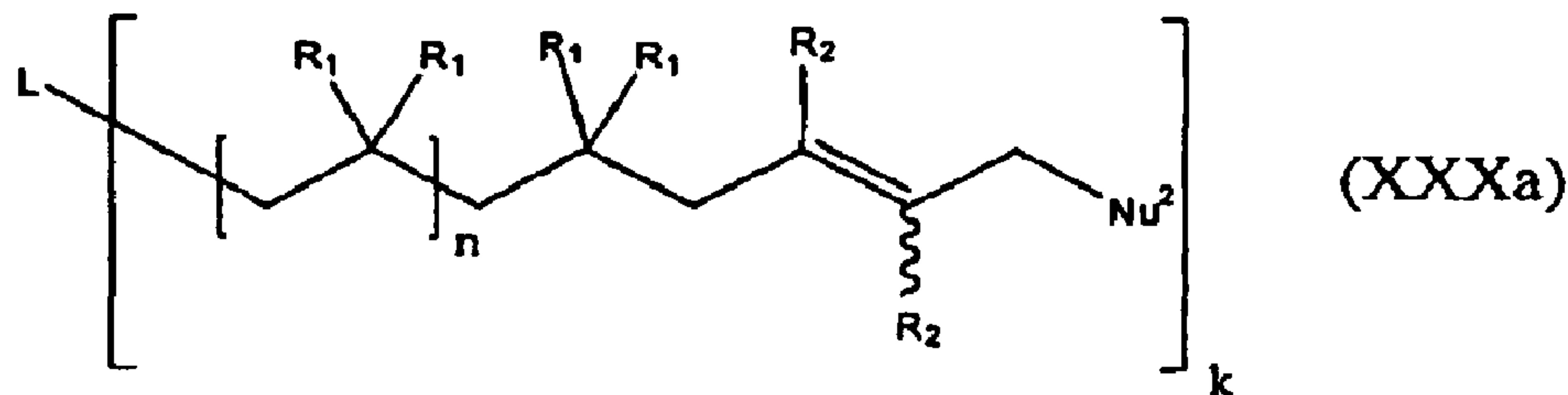
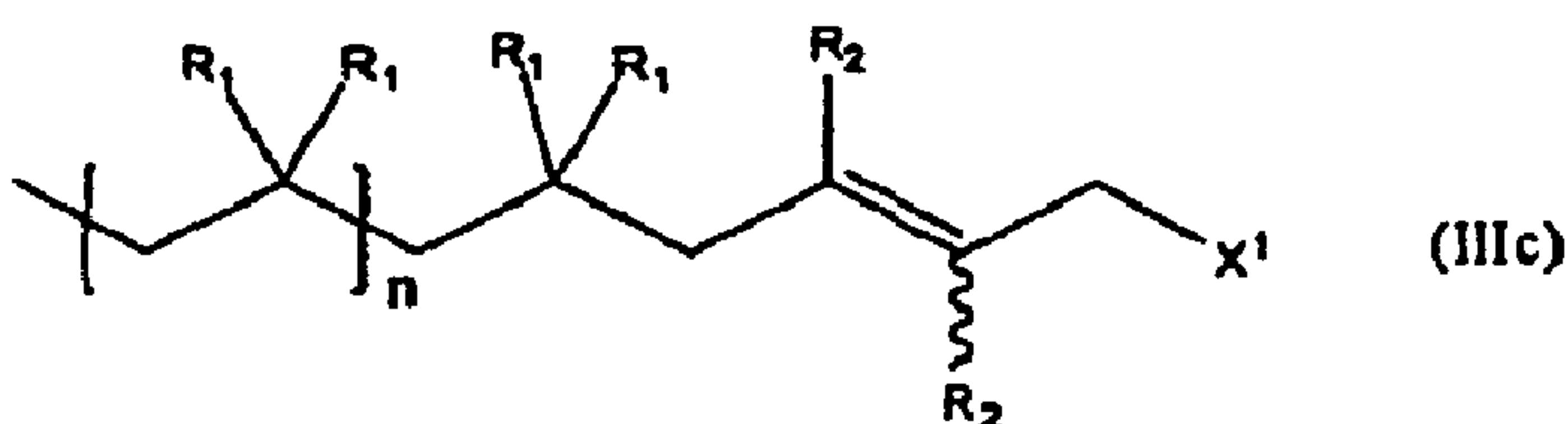
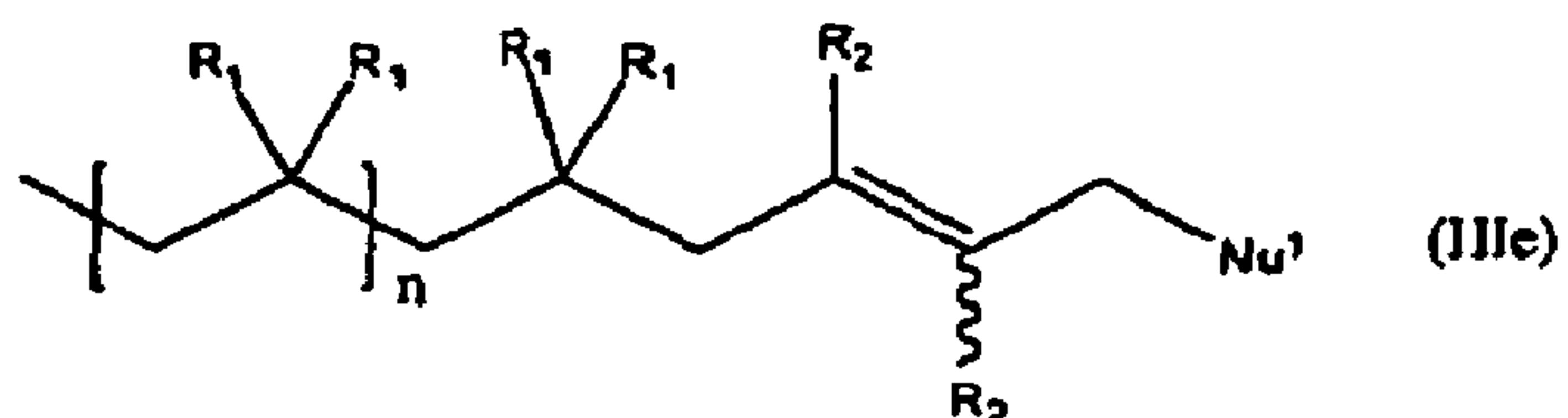
(51) Cl.Int./Int.Cl. *C08F 8/00* (2006.01),
C08F 10/00 (2006.01), *C08F 110/10* (2006.01)

(71) Demandeur/Applicant:
UNIVERSITY OF MASSACHUSETTS LOWELL, US

(72) Inventeurs/Inventors:
FAUST, RUDOLF, US;
OJHA, UMAPRASANA, US

(74) Agent: BORDEN LADNER GERVAIS LLP

(54) Titre : POLYMERES HYDROCARBONES FONCTIONNELS ET LEUR PROCEDE DE FABRICATION
(54) Title: FUNCTIONAL HYDROCARBON POLYMERS AND PROCESS FOR PRODUCING SAME



(57) Abrégé/Abstract:

A method of synthesizing a compound of formula (IIIe), comprising a step of reacting a compound of formula (IIIC): A functional polymer of formula (XXXa): The variables in formulas (IIIC), (IIIe), and (XXXa) are defined herein.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau(43) International Publication Date
22 May 2008 (22.05.2008)

PCT

(10) International Publication Number
WO 2008/060333 A1(51) International Patent Classification:
C08F 8/00 (2006.01) *C08F 110/10* (2006.01)
C08F 10/00 (2006.01)

(74) Agents: PIERCE, Scott, N. et al.; Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, MA 01742-9133 (US).

(21) International Application Number:
PCT/US2007/012948

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(22) International Filing Date: 1 June 2007 (01.06.2007)

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(25) Filing Language: English

Published:

— with international search report

(26) Publication Language: English

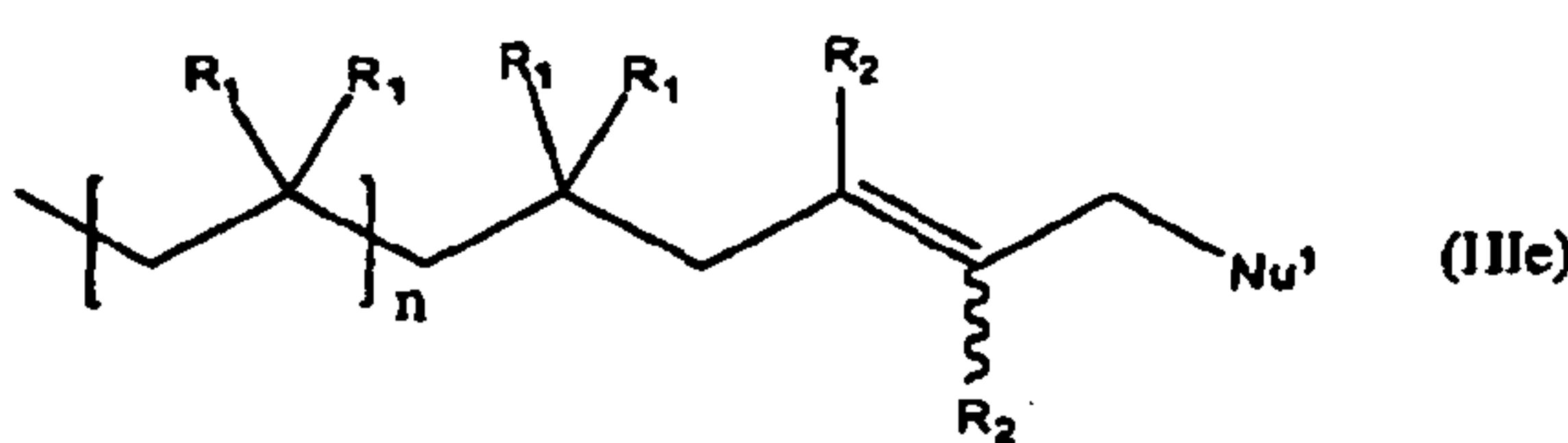
(30) Priority Data:
60/859,883 17 November 2006 (17.11.2006) US

(71) Applicant (for all designated States except US): UNIVERSITY OF MASSACHUSETTS LOWELL [US/US]; 600 Suffolk Street, 2nd Floor, Lowell, MA 01854 (US).

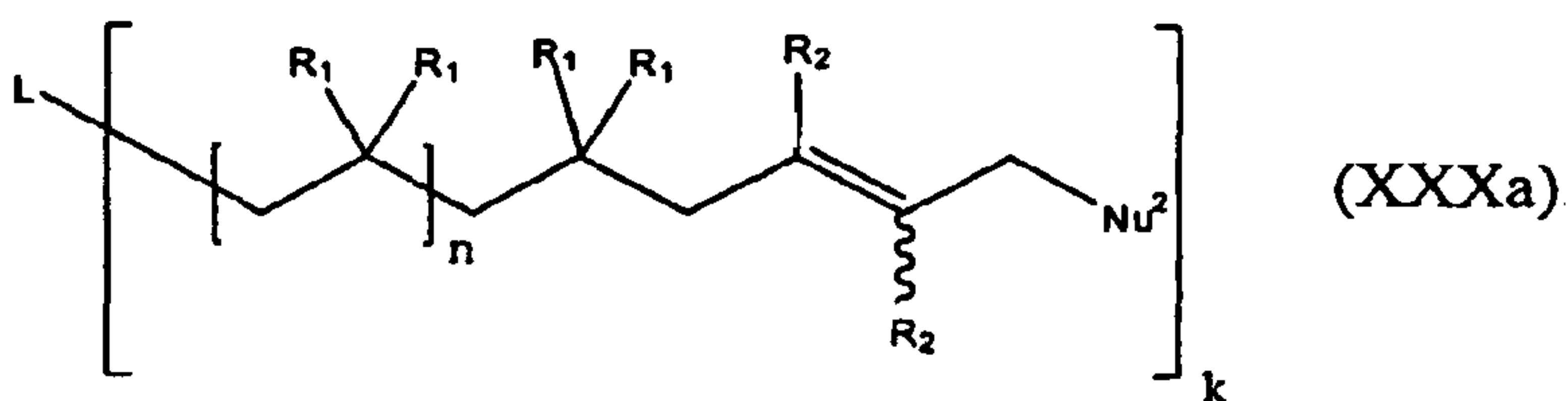
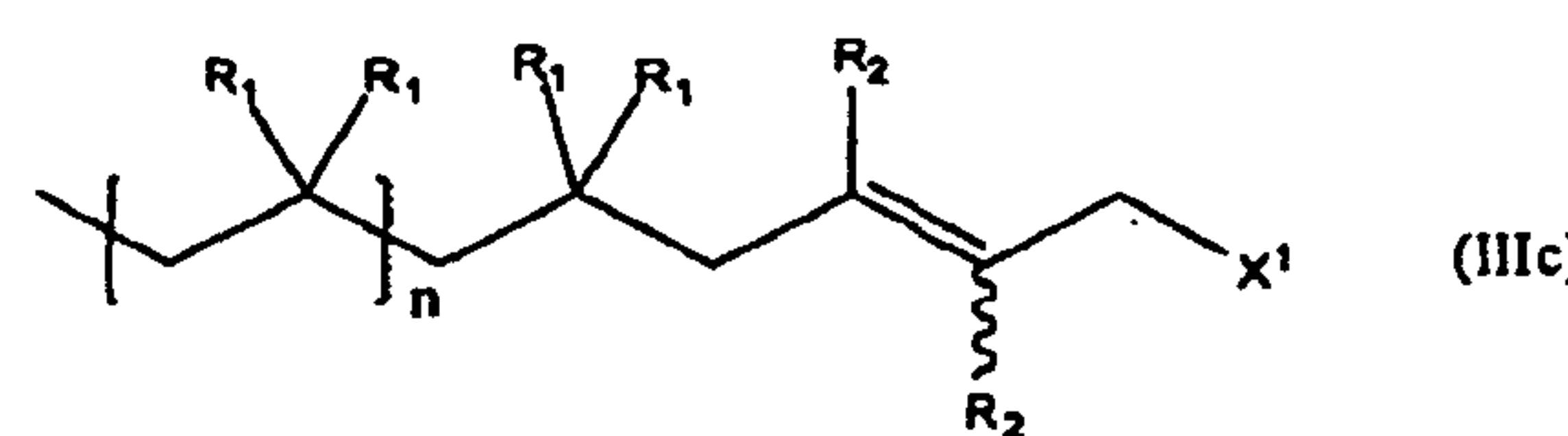
(72) Inventors; and

(75) Inventors/Applicants (for US only): FAUST, Rudolf [US/US]; 580 Concord Avenue, Lexington, MA 02421 (US). OJHA, Umaprasana [IN/US]; 1 University Avenue, Lowell, MA 01854 (US).

(54) Title: FUNCTIONAL HYDROCARBON POLYMERS AND PROCESS FOR PRODUCING SAME



(57) Abstract: A method of synthesizing a compound of formula (IIIe), comprising a step of reacting a compound of formula (IIIc): A functional polymer of formula (XXXa): The variables in formulas (IIIc), (IIIe), and (XXXa) are defined herein.



WO 2008/060333 A1

- 1 -

FUNCTIONAL HYDROCARBON POLYMERS AND PROCESS FOR PRODUCING SAME

RELATED APPLICATION

This application claims the benefit of U.S. Provisional Application No. 5 60/859,883, filed on November 17, 2006. The entire teachings of the above application is incorporated herein by reference.

GOVERNMENT SUPPORT

The invention was supported, in whole or in part, by a grant CHE- 0548466 from the National Science Foundation. The Government has certain rights in the 10 invention.

BACKGROUND OF THE INVENTION

Functional polymers are of great interest due to their potential applications in many important technological areas such as surface modification, adhesion, drug delivery, compatibilization of polymer blends, motor oil additives, low molecular 15 weight precursors to high polymers, use as polymeric macroinitiators, etc.

In addition to the controlled and uniform size of the polymers, living polymerizations provide the simplest and most convenient method for the preparation of functional polymers. Although varieties of end-functionalized polymers have successfully been synthesized in anionic polymerization, there are 20 relatively few end-functionalized polymers (polymers with functional groups selectively positioned at the termini of any given polymeric or oligomeric chain) synthesized by living cationic polymerization of vinyl monomers. There are two basic methods to prepare functional polymers by living cationic polymerization: initiation from functional initiators and termination by functional terminators.

25 Both have been employed to achieve the above target. However, post-polymerization functionalization is preferred, since in ionic polymerization many unprotected functional groups interfere during the course of polymerization. Furthermore, the functional initiator method requires an efficient coupling/linking

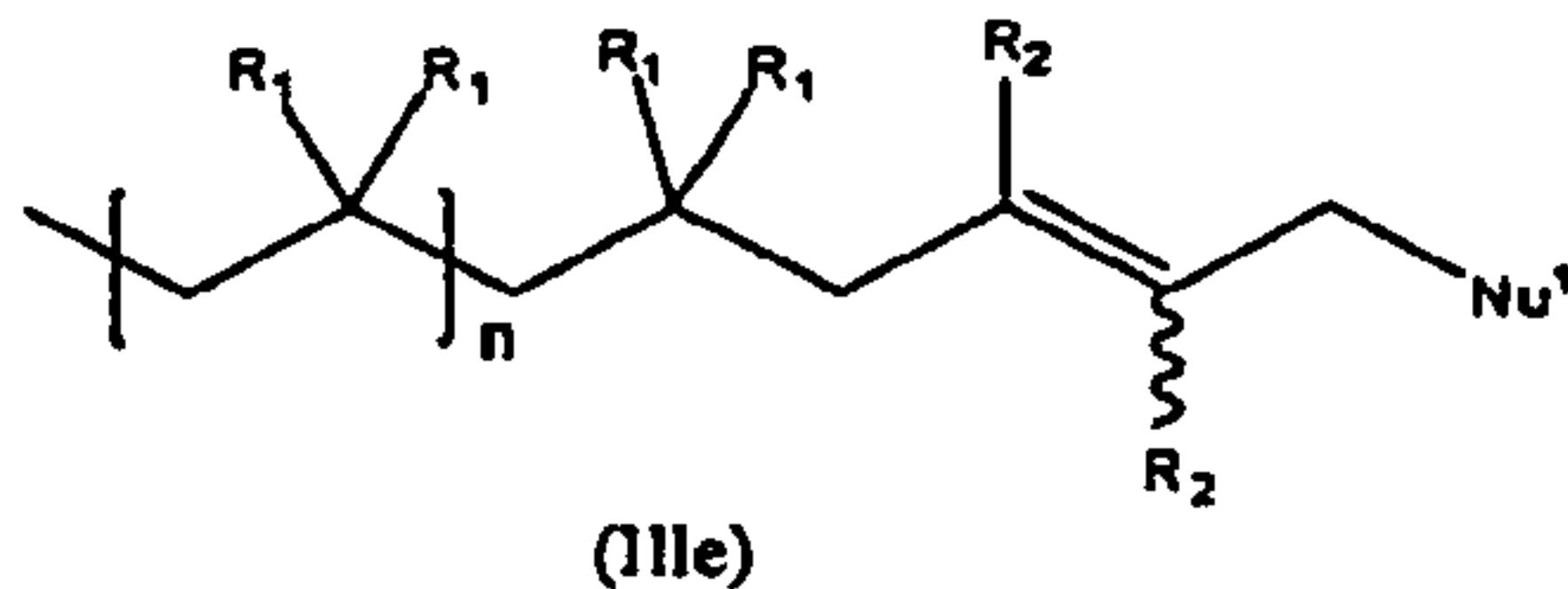
- 2 -

agent for the preparation of bi- and multi-functional polymers, which are not readily available. The reported procedures to functionalize the polymers involve stringent synthetic pathways and are expensive. The procedures reported to date are complicated, laborious and expensive and, therefore, not practiced commercially.

5 Accordingly, a need exists for novel methods of preparation of high quality functional polymers that overcome limitations of known methods.

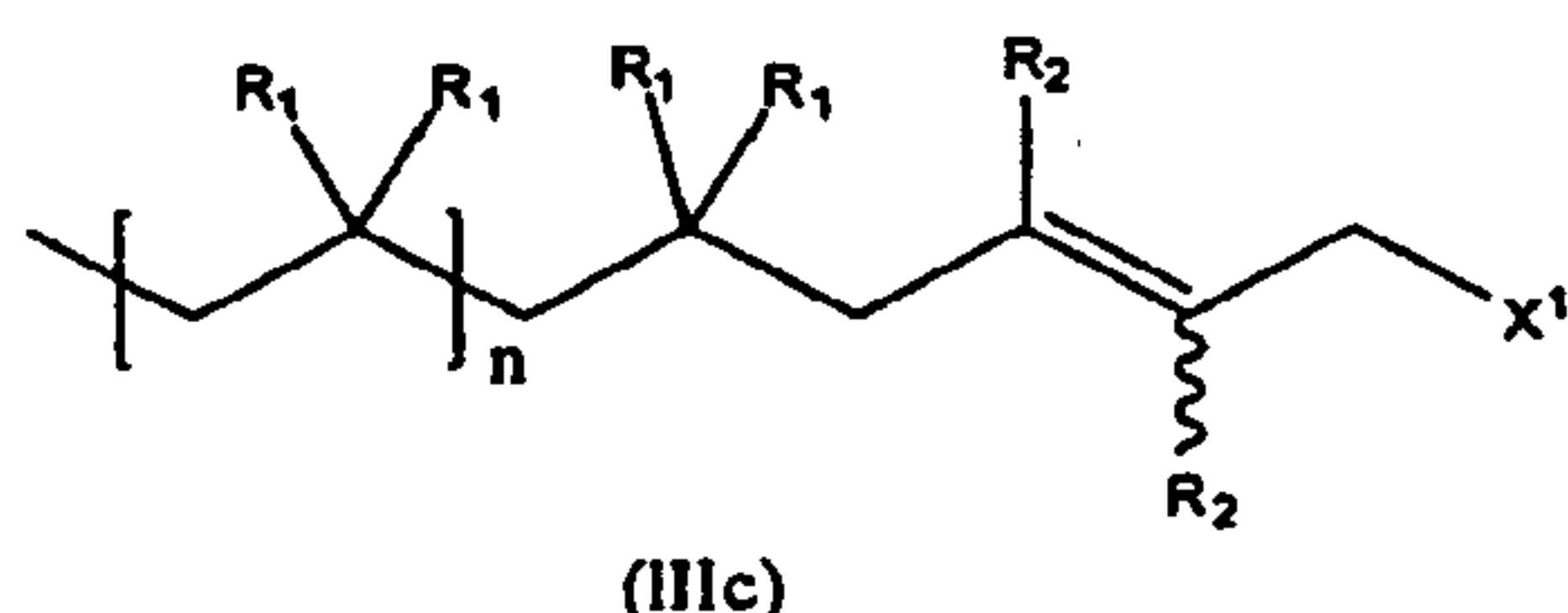
SUMMARY OF THE INVENTION

In one embodiment, the present invention is a method of synthesizing a compound of formula (IIIe),



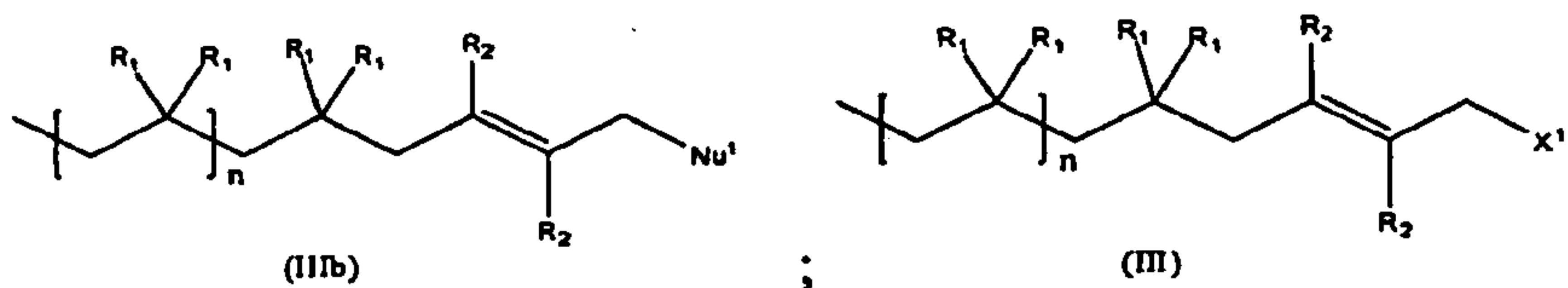
10

comprising a step of reacting a compound of formula (IIIC)



to nucleophilically substitute X¹ with Nu¹. In formulas (IIIC) and (IIIe), R₁ for each occasion is independently H or a C1-C4 alkyl, an alkoxy or a substituted or 15 unsubstituted aryl; R₂ for each occasion is independently H, X², -CH₂X², -CHX², -CX², -C≡N, or -NO₂; n is an integer not less than 2; X¹ and X² are, for each occurrence, independently, a halogen; Nu¹ is selected from N₃-, NH₂-, HC₂CH₂-O-, HO-, R^aO-, thymine, -CH₂-C(O)OH, wherein R^a is a C1-C12 alkyl or a polymer or copolymer fragment.

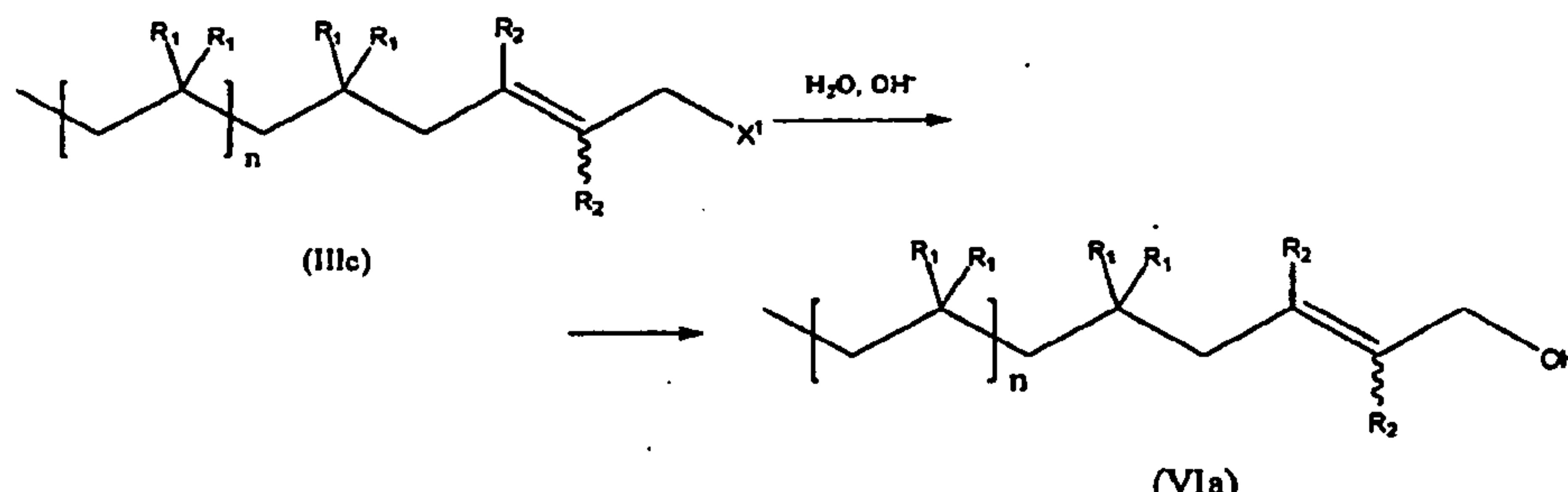
20 In one embodiment, the compound of formula (IIIe) is represented by formula (IIIB), while the compound of formula (IIIC) is represented by formula (III):



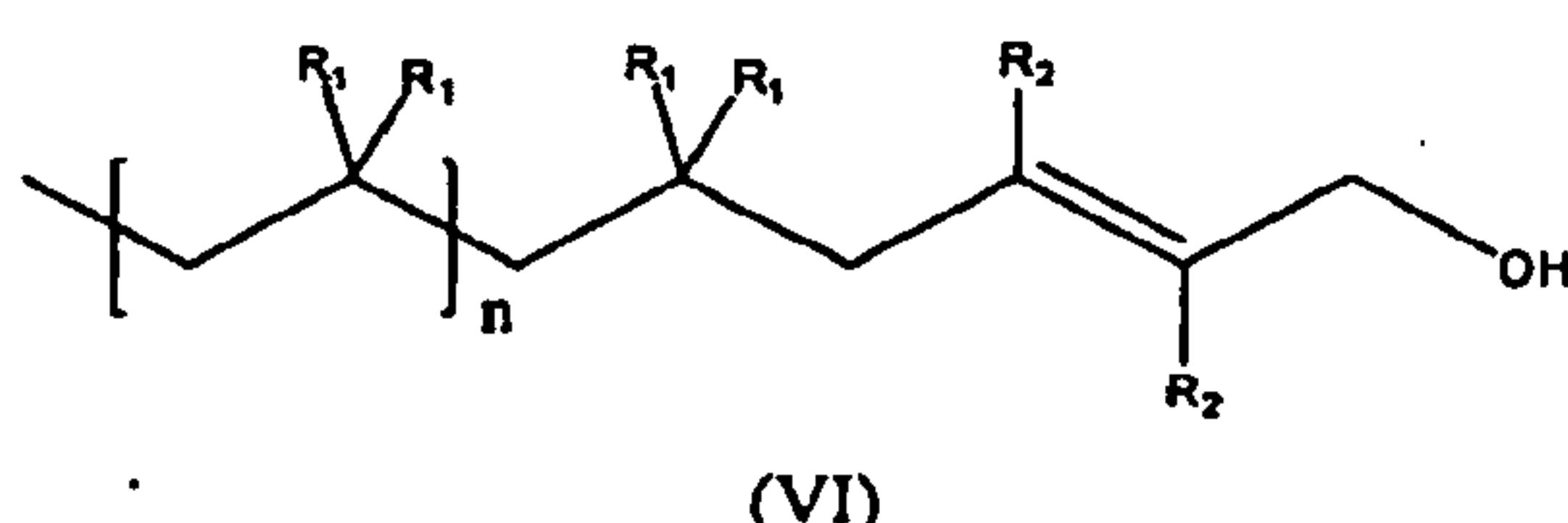
In another embodiment, the present invention is a method of synthesizing hydroxyl functional polymers of formula (VIa), comprising hydrolyzing an end-

- 3 -

capped polymer of formula (IIIc), having a haloallyl end group, in the presence of a base, thereby producing a compound of formula (VIa):

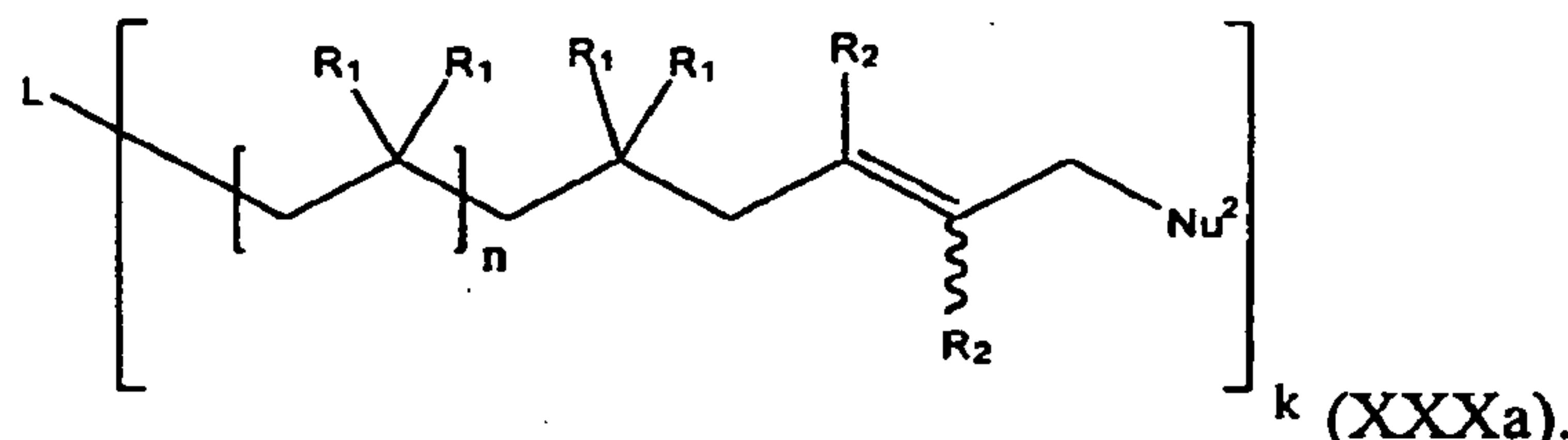


5 In one embodiment, the compound of formula (IIIc) is represented by formula (III), reproduced above, while the compound of formula (VIa) is represented by formula (VI):



The variables in formula (VIa) are as defined above with respect to formulas (IIIc) and (IIIe).

10 In another embodiment, the present invention is a functional polymer of formula (XXXa):



The variables in formula (XXXa) are as provided below: n is an integer not less than 2; k is an integer greater than or equal to 1; L is an initiator residue; R₁ for 15 each occasion is independently H or a C1-C4 alkyl, an alkoxy or a substituted or unsubstituted aryl; R₂ for each occasion is independently H or an electron-withdrawing group, for example, X², CH₂X², CHX²₂, -CX²₃, -C≡N, -NO₂; and X¹ and X², for each occurrence, is independently a halogen; Nu² is selected from N₃⁻, NH₂⁻, HC₂CH₂-O-, HO-, R^aO-, wherein R^a is a C1-C12 alkyl or a polymer or copolymer fragment, thymine, -CH₂-C(O)OH, -C(O)N₃, -NHC(O)OR, -C(O)NHR, -NHC(O)NHR, wherein R is a C1-C12 alkyl, or a peptide-NH-.

- 4 -

The invention includes preparation of functional hydrocarbon polymers by nucleophilic substitutions of haloallyl functional polymers. Haloallyl functional polymers, in turn, can be easily and economically prepared by living cationic polymerization, followed by capping with 1,3-butadiene, as disclosed in U.S. Pat. 5 App. 11/400,059, filed on April 7, 2006. The entire teachings of this Application are incorporated herein by reference.

DETAILED DESCRIPTION OF THE INVENTION

A description of example embodiments of the invention follows.

10

Definitions of Terms

The term "alkyl", as used herein, unless otherwise indicated, means straight or branched saturated monovalent hydrocarbon radicals of formula $C_nH_{2n+1}..$ Typically n is 1-1000, more typically, n is 1 -100. Alkyl can optionally be 15 substituted with -OH, -SH, halogen, amino, cyano, nitro, a C1-C12 alkyl, C1-C12 haloalkyl, C1-C12 alkoxy, C1-C12 haloalkoxy or C1-C12 alkyl sulfanyl. In some embodiments, alkyl can optionally be substituted with one or more halogen, hydroxyl, C1-C12 alkyl, C2-C12 alkenyl or C2-C12 alkynyl group, C1-C12 alkoxy, or C1-C12 haloalkyl. The term alkyl can also refer to cycloalkyl.

20 The term "cycloalkyl", as used herein, means saturated cyclic hydrocarbons, i.e. compounds where all ring atoms are carbons. Examples of cycloalkyl include, but are not limited to, cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, and cycloheptyl. In some embodiments, cycloalkyl can optionally be substituted with one or more halogen, hydroxyl, C1-C12 alkyl, C2-C12 alkenyl or C2-C12 alkynyl 25 group, C1-C12 alkoxy, or C1-C12 haloalkyl.

The term "haloalkyl", as used herein, includes an alkyl substituted with one or more F, Cl, Br, or I, wherein alkyl is defined above.

30 The terms "alkoxy", as used herein, means an "alkyl-O-" group, wherein alkyl is defined above. Examples of alkoxy group include methoxy or ethoxy groups.

The term "aryl", as used herein, refers to a carbocyclic aromatic group. Examples of aryl groups include, but are not limited to phenyl and naphthyl.

- 5 -

Examples of aryl groups include optionally substituted groups such as phenyl, biphenyl, naphthyl, phenanthryl, anthracenyl, pyrenyl, fluoranthyl or fluorenyl.

Examples of suitable substituents on an aryl include halogen, hydroxyl, C1-C12 alkyl, C2-C12 alkene or C2-C12 alkyne, C3-C12 cycloalkyl, C1-C12 haloalkyl, C1-C12 alkoxy, aryloxy, arylamino or aryl group.

5 The term "aryloxy", as used herein, means an "aryl-O-" group, wherein aryl is defined above. Examples of an aryloxy group include phenoxy or naphthoxy groups.

10 The term arylamine, as used herein, means an "aryl-NH-", an "aryl-N(alkyl)-", or an "(aryl)₂-N-" groups, wherein aryl and alkyl are defined above.

The term "heteroaryl", as used herein, refers to aromatic groups containing one or more heteroatoms (O, S, or N). A heteroaryl group can be monocyclic or polycyclic, e.g. a monocyclic heteroaryl ring fused to one or more carbocyclic aromatic groups or other monocyclic heteroaryl groups. The heteroaryl groups of 15 this invention can also include ring systems substituted with one or more oxo moieties. Examples of heteroaryl groups include, but are not limited to, pyridinyl, pyridazinyl, imidazolyl, pyrimidinyl, pyrazolyl, triazolyl, pyrazinyl, quinolyl, isoquinolyl, tetrazolyl, furyl, thienyl, isoxazolyl, thiazolyl, oxazolyl, isothiazolyl, 20 pyrrolyl, quinolinyl, isoquinolinyl, indolyl, benzimidazolyl, benzofuranyl, cinnolinyl, indazolyl, indolizinyl, phthalazinyl, pyridazinyl, triazinyl, isoindolyl, purinyl, oxadiazolyl, thiazolyl, thiadiazolyl, furazanyl, benzofurazanyl, benzothiophenyl, benzotriazolyl, benzothiazolyl, benzoxazolyl, quinazolinyl, quinoxalinyl, naphthyridinyl, dihydroquinolyl, tetrahydroquinolyl, dihydroisoquinolyl, tetrahydroisoquinolyl, benzofuryl, furopyrnidinyl, 25 pyrrolopyrimidinyl, and azaindolyl.

The foregoing heteroaryl groups may be C-attached or N-attached (where such is possible). For instance, a group derived from pyrrole may be pyrrol-1-yl (N-attached) or pyrrol-3-yl (C-attached).

30 Suitable substituents for heteroaryl are as defined above with respect to aryl group.

- 6 -

Suitable substituents for an alkyl, cycloalkyl include a halogen, an alkyl, an alkenyl, a cycloalkyl, a cycloalkenyl, an aryl, a heteroaryl, a haloalkyl, cyano, nitro, haloalkoxy.

Further examples of suitable substituents for a substitutable carbon atom in 5 an aryl, a heteroaryl, alkyl or cycloalkyl include but are not limited to -OH, halogen (-F, -Cl, -Br, and -I), -R, -OR, -CH₂R, -CH₂OR, -CH₂CH₂OR,. Each R is independently an alkyl group.

In some embodiments, suitable substituents for a substitutable carbon atom in an aryl, a heteroaryl or an aryl portion of an arylalkenyl include halogen, 10 hydroxyl, C1-C12 alkyl, C2-C12 alkenyl or C2-C12 alkynyl group, C1-C12 alkoxy, aryloxy group, arylamino group and C1-C12 haloalkyl.

In addition, the above-mentioned groups may also be substituted with =O, =S, =N-alkyl.

In the context of the present invention, an amino group may be a primary 15 (-NH₂), secondary (-NHR_p), or tertiary (-NR_pR_q), wherein R_p and R_q may be any of the alkyl, alkenyl, alkynyl, alkoxy, cycloalkyl, cycloalkoxy, aryl, heteroaryl, and a bicyclic carbocyclic group.

As used herein, the term "peptide" refers to an amide polymer of amino acids, in which the monomers can be either naturally occurring or artificial.

20

Synthesis of Endcapped polymer

In various embodiments, this invention utilizes a method to "cap" a living polyolefin cation, typically a polyisooolefin cation, even more typically a living polyisobutylene cation (PIB⁺), with a capping agent.

25 A capping agent can include optionally substituted olefins, such as optionally substituted conjugated dienes, and optionally substituted butadienes. As another example, unsubstituted butadienes can be employed.

A "living" cationic polyolefin, generally, is any polyolefin with a terminal cationic group and is termed "living" polymers because it is typically made by one 30 of many living polymerization methods known to those of ordinary skill in the art. In various embodiments, a polyolefin, e.g., polyisooolefin, polymultiolefin or poly(substituted or unsubstituted vinylidene aromatic compounds), and, more

typically polyisobutylene, can be reacted with an optionally substituted conjugated diene, e.g., butadiene, to “cap” the polymer, wherein the cap is halide terminated group. Suitable polyolefins can include C₄ to C₁₈ polyisomonoolefins, C₄ to C₁₄ polymultiolefins, and poly(substituted or unsubstituted vinylidene aromatic compounds), for example C₄ to C₁₀ polyisomonoolefins, or more typically C₄ to C₈ polyisomonoolefins. Polyisobutylene is an example of a preferred isoolefin polymer.

One set of reaction conditions that can produce these polymeric carbocations is, in a solvent, to contact the olefin monomer with an initiating system comprising an initiator (usually an organic ether, organic ester, or organic halide) and a co-initiator. The co-initiator is typically used in concentrations equal to or typically 2 to 40 times higher than the concentration of the initiator. Examples of co-initiators include one or more of BCl₃, TiCl₄, AlBr₃, and organoaluminum halides such as Me₃Al₂Br₃, MeAlBr₂, and Me₂AlBr.

The polymerization can typically be conducted in a temperature range of from about -10° to about -100° C, typically from about -50° to about -90° C for about 10 to about 120 minutes, depending on the concentration of the initiator and the co-initiator.

Once the desired living polymer is obtained, the capping agent, e.g., optionally substituted butadiene, can be added to the polymerization media in concentrations equal to up to about 10 times the concentration of the living chain ends, typically about 1 to about 5 times the concentration of the living chain ends, even more typically about 1 to about 2 times the concentration of the living chain ends. The butadiene generally is reacted with the living polymer for about 10 minutes to about 5 hours, depending on the concentration of the living chain ends and the butadiene. The time necessary to achieve essentially 100% capping will vary with the initiator, co-initiator and butadiene concentrations. With higher initiator concentrations the time is shorter, about 20 minutes, while lower initiator concentrations may require 10 hours to achieve 100% capping.

In preferred embodiments, the methods of this invention (polymerizing monomer to make living polymer) can be conducted in a polymerization zone of a conventional polymerization apparatus, and in the presence or in the absence of a

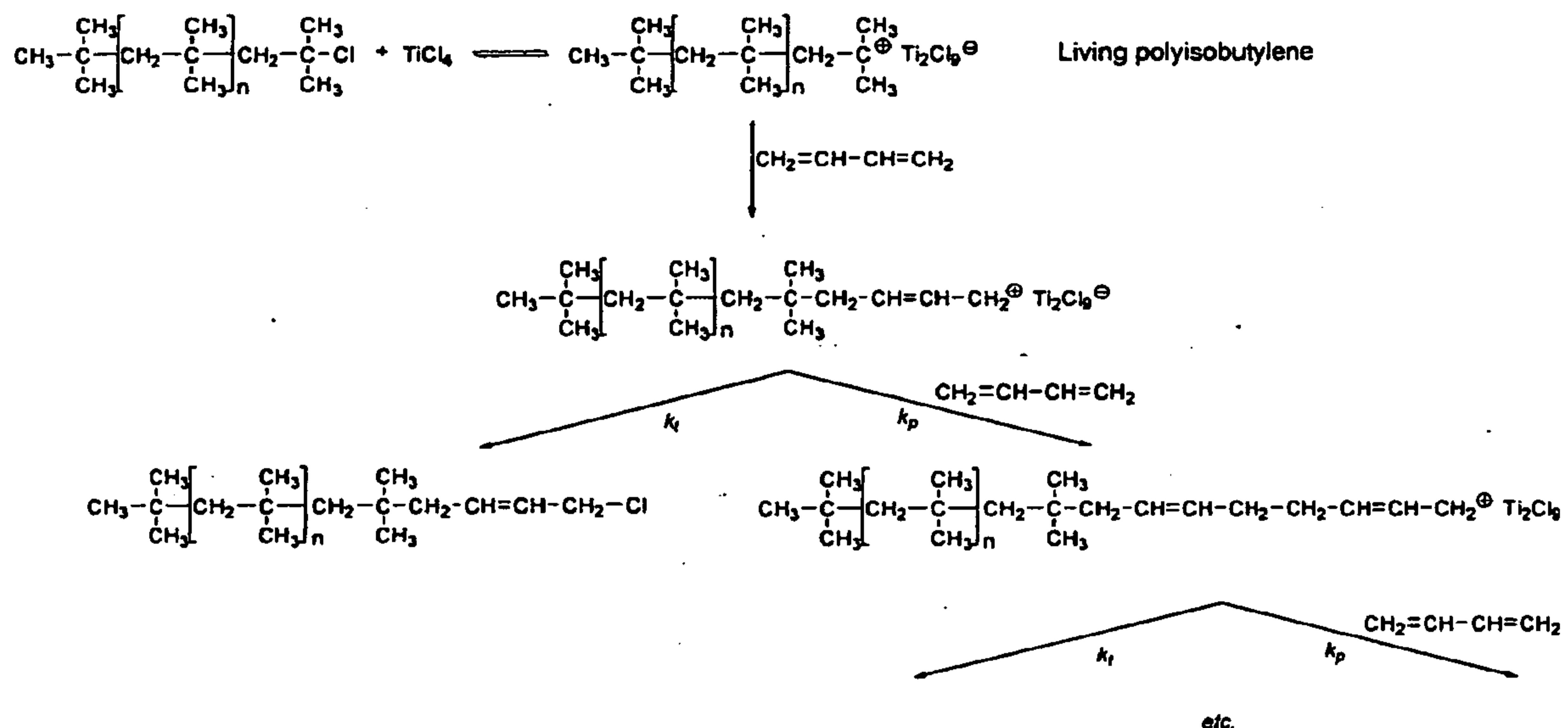
- 8 -

diluent. Suitable polymerization conditions typically include a temperature ranging from about -100° C to about 10° C, and preferably from about -80° C to about 0° C, for a time period ranging from about 1 to about 180 minutes. Typically, the polymerization reaction mixture may be subjected to agitation, e.g., using conventional mixing means.

The living polymers employed in the methods of the present invention can be, for example, homopolymers, copolymers, terpolymers, and the like depending upon the olefinic chargestock used. Preferred number average molecular weights (M_n) of the living polymers of the present invention may range from about 500 to 10 about 2,000,000, generally from about 2,000 to about 100,000, or in some embodiments from about 1500 to about 5000. Preferably, the polymers have a narrow molecular weight distribution such that the ratio of weight average molecular weight to number average molecular weight (M_w/M_n) of the polymers ranges from about 1.0 to about 1.5, and typically from about 1.0 to about 1.2. The polymers can 15 be recovered from the polymerization zone effluent and finished by conventional methods. In one embodiment, synthesizing an end-capped polymer according to the techniques described herein results in a very high yield (up to about 100%) of a functionalized monoaddition product of butadiene to the polymer chain.

Scheme (I) illustrates the preferred process for preparation of the starting 20 material employed by the present invention (formula (III) below). Specifically, scheme (I) exemplifies monoaddition of 1,3-butadiene to a living polyisobutylene chain resulting in capping of the growing polymer chain by a chloroallylic group.

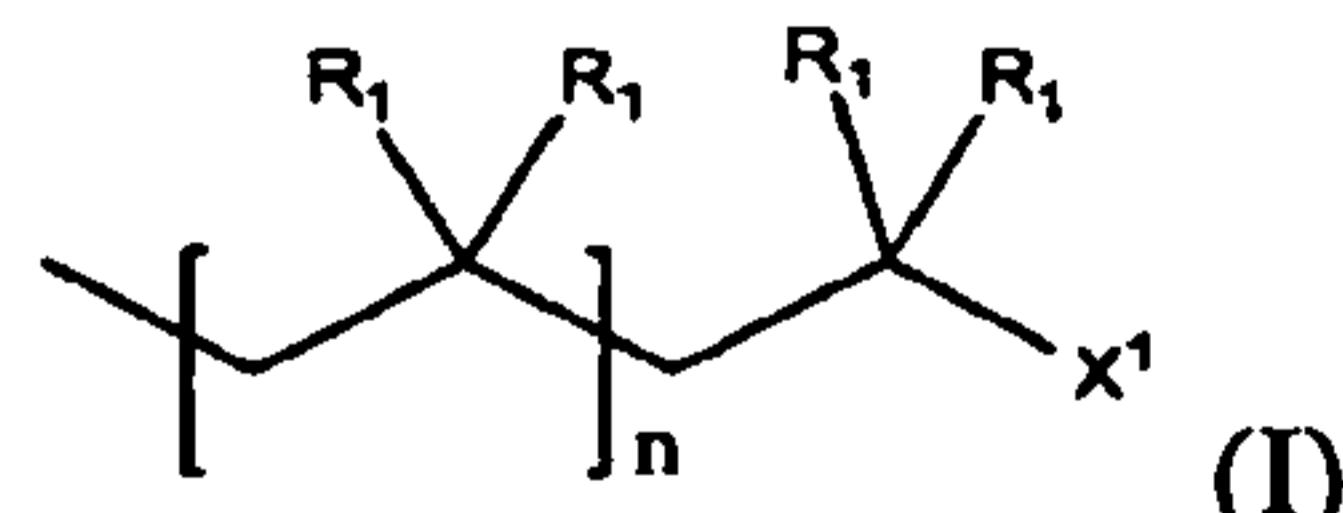
- 9 -



Scheme (I)

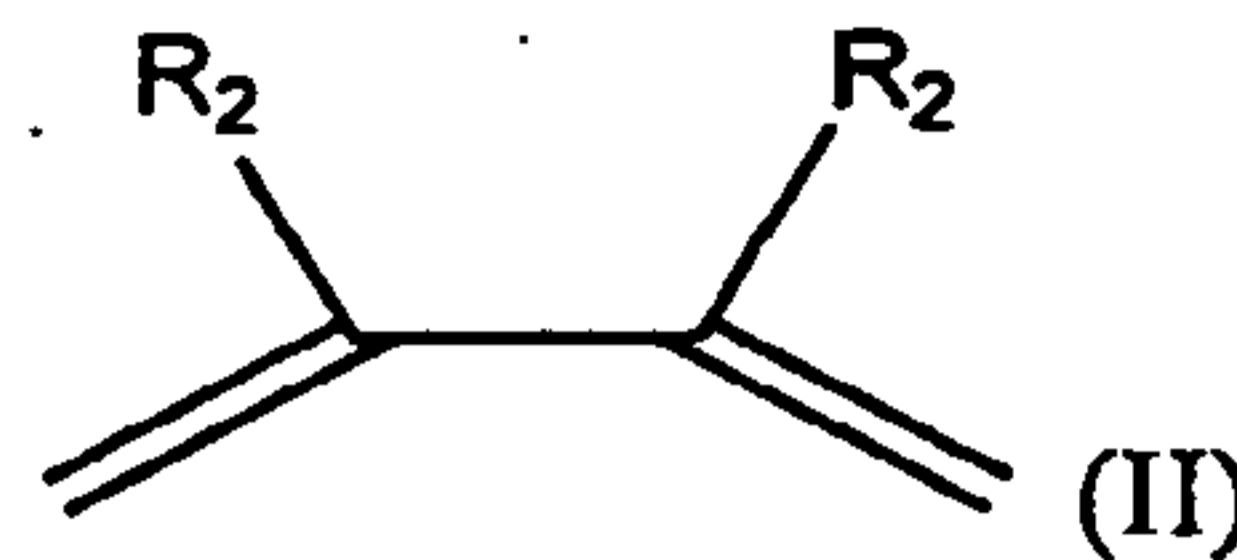
5 As described in U.S. Pat. App. 11/400,059, “Capping Reactions in Cationic
Polymerization; Kinetic and Synthetic Utility,” filed on April 7, 2006, selected
conditions have been discovered under which termination is faster than propagation
of butadiene ($k_t \gg k_p$), resulting in carbocations reacting with olefins to yield the
[1:1] adduct exclusively. As used herein, the term “faster” means at least 10-fold
10 faster, preferably at least 100-fold faster, and more preferably 1000-fold faster,
under otherwise similar conditions.

Some embodiments of the reactions of the present invention include termination by halogenation that is faster than addition of molecules of the conjugated diene to the carbocation in Scheme (I), thereby producing an endcapped polymer having a halogenated endcap group. An example of such a reaction is that of a polymer of formulas (I):

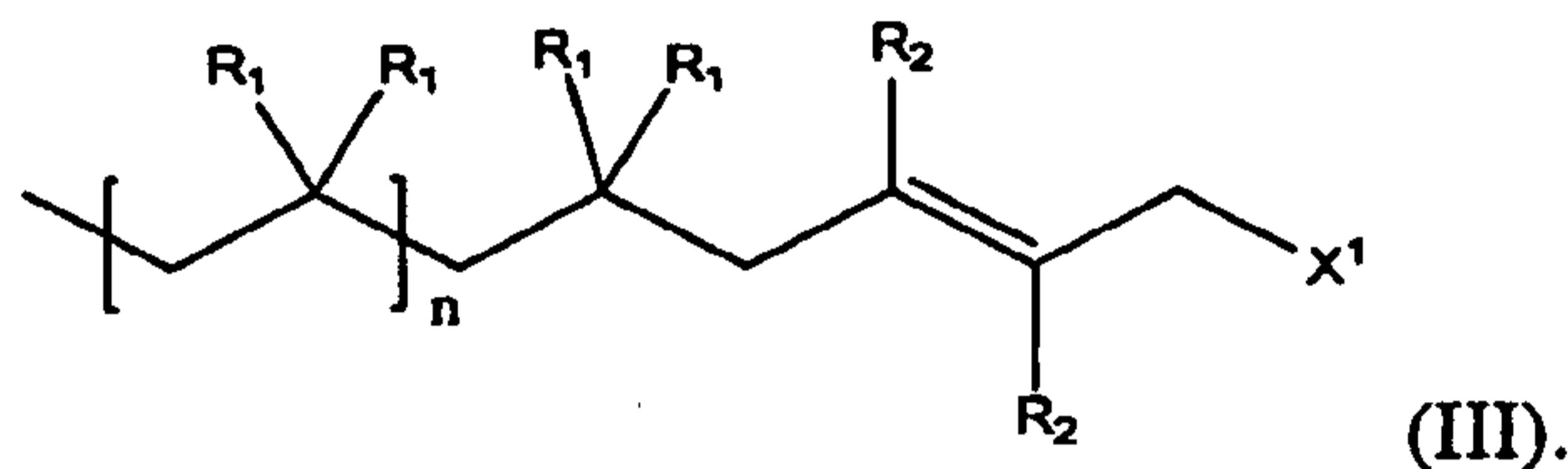


with an optionally substituted conjugated diene of formula (II) as an endcapping reagent in the presence of a Lewis acid,

- 10 -

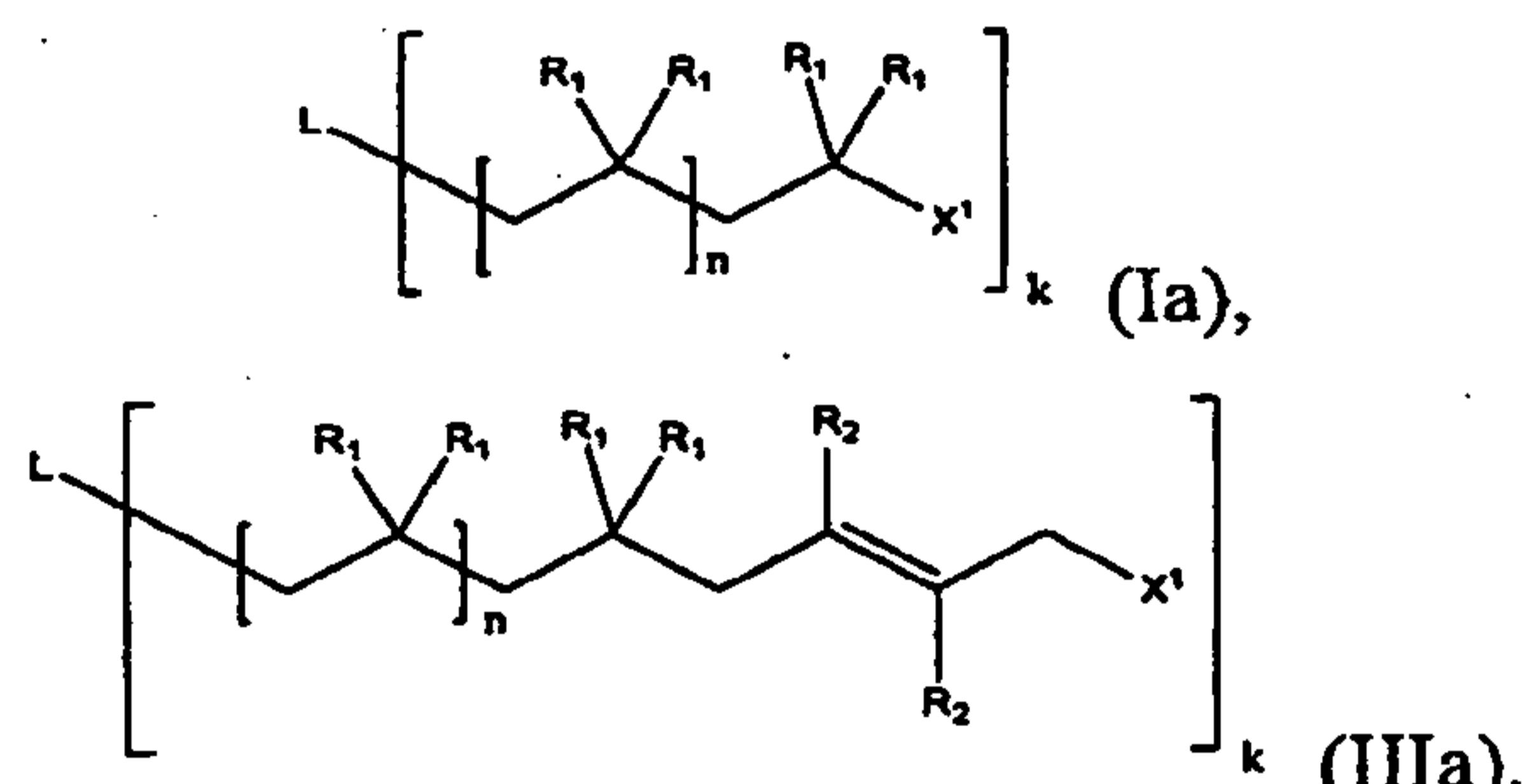


thereby producing an endcapped polymer of formula (III) having a halogenated endcap group



5 In formulas (I) through (III): n is an integer not less than 2; R₁ for each occasion is independently H or a C1-C4 alkyl, an alkoxy, for example a straight or branched C1-C12 alkoxy such as methoxy, ethoxy, isobutoxy, etc., or a substituted or unsubstituted aryl, for example C6-C18 aryls, preferably phenyl, optionally substituted with C1-C4 straight or branched alkyl, halogen, or a C1-C4 alkoxy; and
10 10 R₂ for each occasion is independently H or an electron-withdrawing group, for example, X², CH₂X², CHX²₂, -CX²₃, -C≡N, -NO₂; and X¹ and X², for each occurrence, is independently a halogen (F, Cl, Br, or I).

15 Although formulas (I) and (III) above show monofunctional polymers, the methods and the compounds of the present invention include polyfunctional polymers, represented by formulas (Ia) and (IIIa):



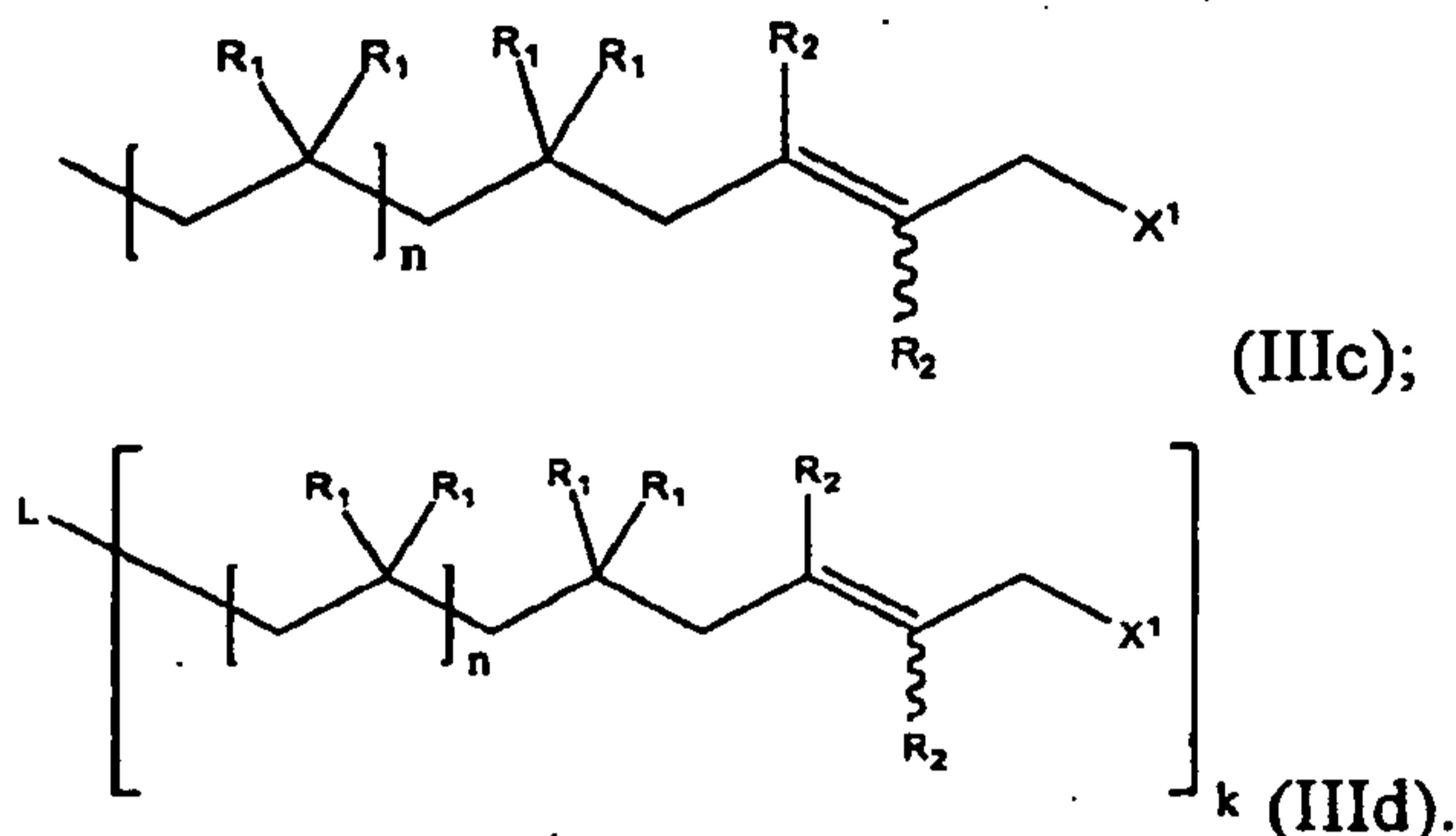
As used herein, including formulas (Ia) and (IIIa), L is an initiator residue such as cumyl, dicumyl and tricumyl when cumyl, dicumyl or tricumyl chloride, 20 methylether or ester is used as initiator. Other examples include 2,4,4,6-tetramethylheptylene or 2,5-dimethylhexylene, which arise when 2,6-dichloro-2,4,4,6-tetramethylheptane or 2,5-dichloro-2,5-dimethylhexane is used as initiator. Many other cationic mono- and multifunctional initiators are known in the art. k is an integer greater than or equal to 1. One skilled in the art will understand that the

- 11 -

synthetic schemes presented below can all be performed using compounds of formulas (Ia) and (IIIa), thus resulting in polyfunctional polymers.

In one embodiment, the compound of formula (III) and (IIIa) are represented by structural formulas (IIIc) and (IIId), respectively:

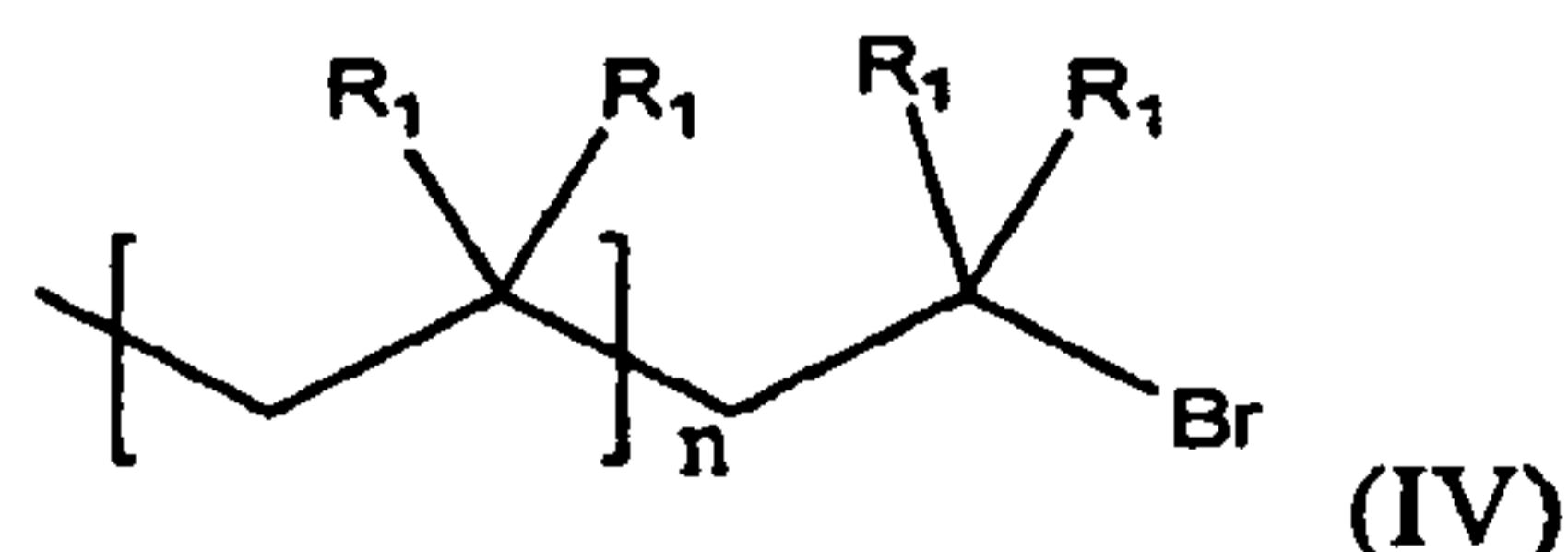
5



As used herein, a substituent on a carbon atom that forms an unsaturated carbon-carbon bond and whose attachment to such carbon atom is denoted by the symbol $\sim\sim\sim$ can be in either *cis* or *trans* substituent. The remainder of values and 10 preferred values for the variable in formulas (IIIc) and (IIId) are as defined above with respect to formulas (III) and (IIIa).

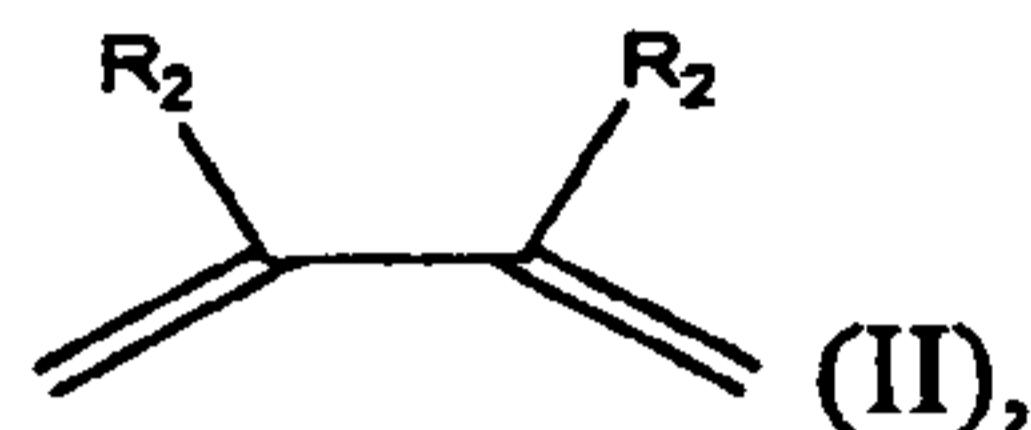
Solvents suitable for practicing the reactions of the present invention are, for example, solvents that include at least one component having a dielectric constant less than 9. Preferably, the solvents include at least one component having a 15 dielectric constant less than 7. Alternatively, the solvents include a mixture of at least one solvent having a polar solvent with a dielectric constant equal to or higher than 9 and at least one nonpolar solvent with a dielectric constant lower than 6. Examples of suitable solvents include one or more of hexane, cyclohexane, methylcyclohexane, methylchloride, n-butyl chloride, dichloromethane, toluene, and 20 chloroform.

In another embodiment, a bromoallyl-capped polymer can be used in subsequent hydrolysis. Synthesis of such a bromo functionalized haloallyl can, for example, be accomplished by reacting a polymer of formula (IV)



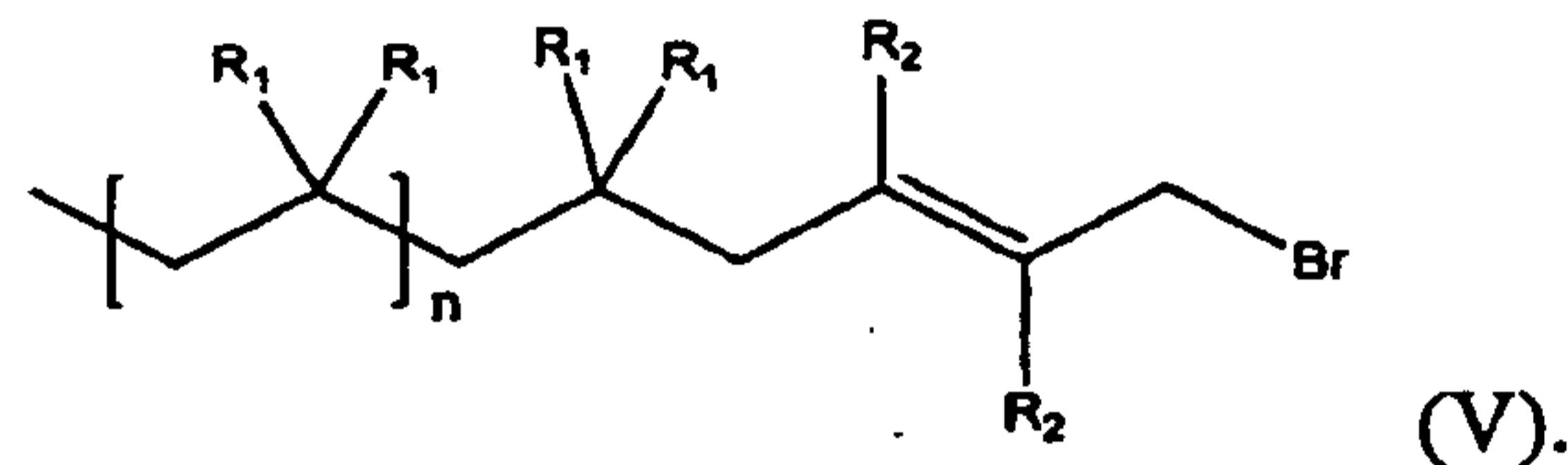
- 12 -

with an optionally substituted conjugated diene of formula (II) as an endcapping reagent in the presence of a metal bromide Lewis acid,



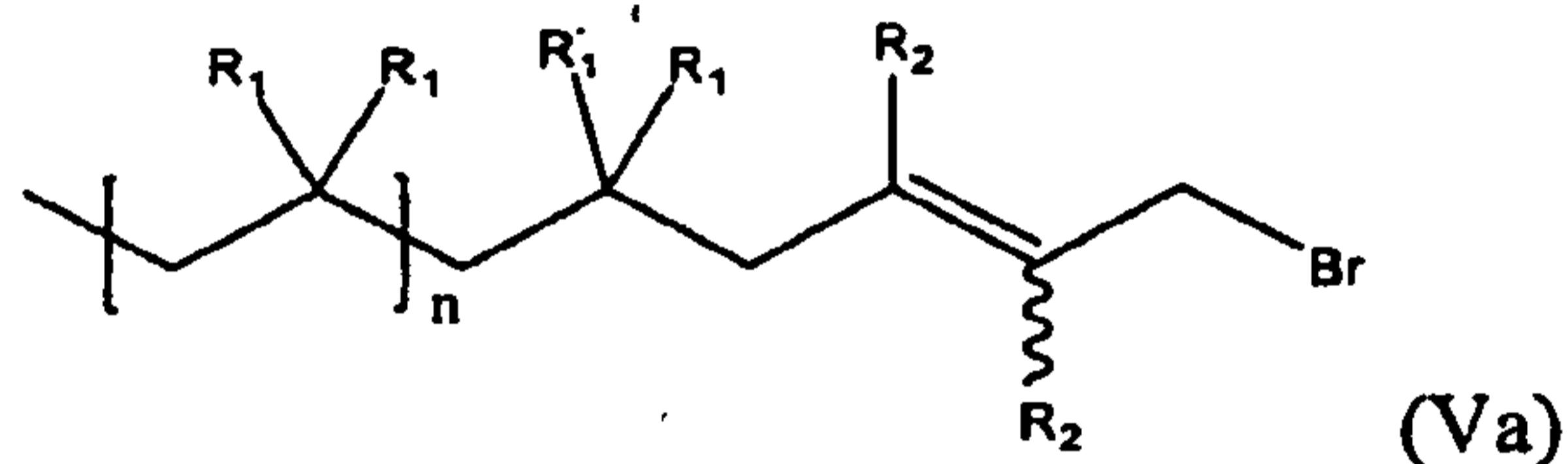
thereby producing an endcapped polymer of formula (V) having a halogenated

5 endcap group



The variables in formulas (IV) and (V) are as defined above with respect to formulas (I) through (III).

10 In one embodiment, the compound of formula (V) is represented by structural formula (Va):

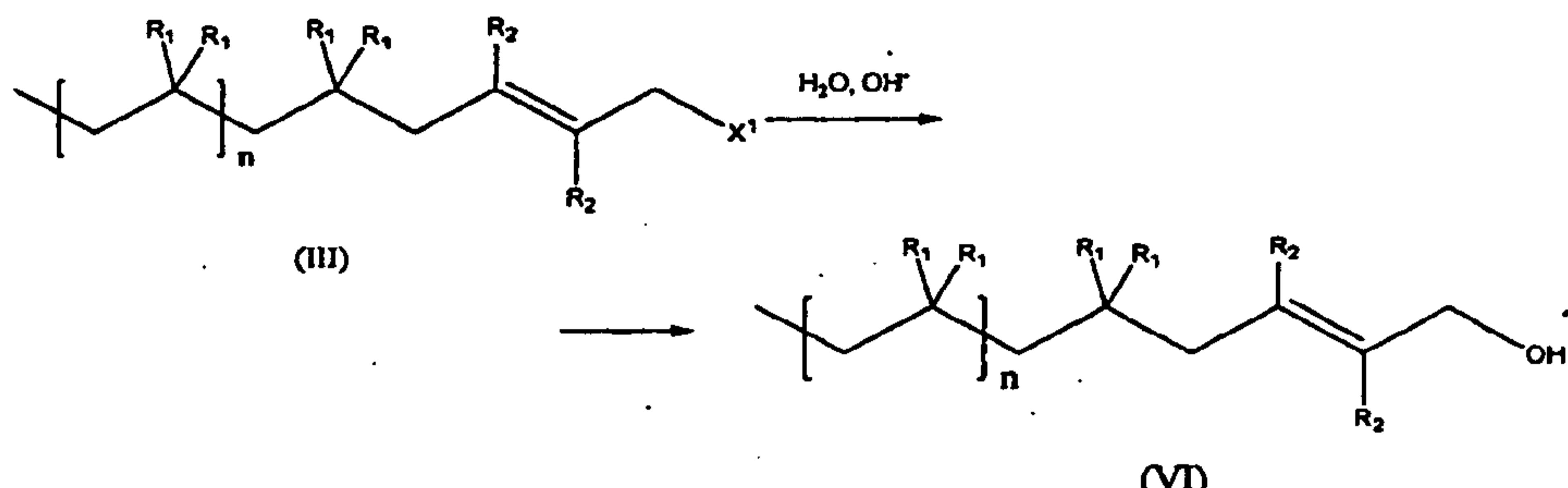


The values and preferred values of the variables in formula (Va) are as defined above with respect to formulas (I) through (III).

15 Hydrolysis of Haloallyl Functional Polymers

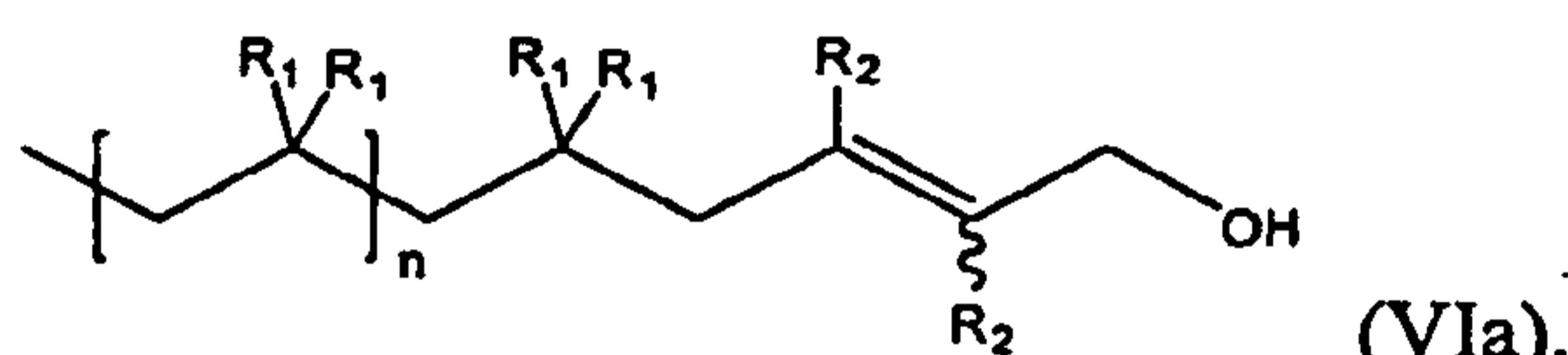
Haloallyl functional polymers of general formula (III) can be subjected to a simple hydrolysis by a base (e.g. inorganic base such as alkali hydroxide, carbonate, etc., or organic base such as Tetrabutylammonium Hydroxide, 1,8-Diazabicyclo[5.4.0]undec-7-ene, 1,5-Diazabicyclo[4.3.0]non-5-ene, N,N,N',N'-Tetramethyl-1,8-naphthalenediamine, Phosphazene bases such as N'-tert-Butyl-N,N,N',N",N"-hexamethylphosphorimidic triamide, etc.) to produce hydroxyl functional hydrocarbon polymers of general formula (VI) according to Scheme (II) below:

- 13 -



Scheme (II)

In one embodiment, compound of formula (III) can be represented by
 5 formula (IIIc), while the compound of formula (VI) is represented by structural formula (VIa):



The values and preferred values of the variables in formula (VIa) are as defined above for formula (VI).

10 Any suitable solvent or solvent mixtures in which reagents are soluble and with which reagents do not react can be used. The reaction is most commonly carried out in a solvent mixture. The starting materials preferably are dissolved in an ethereal solvent such as tetrahydrofuran (THF), dioxane and the like. For example, the solvent can be THF or a mixture of THF and water. A mixture of
 15 organic solvent (e.g. THF) in which the polymer is soluble but that is miscible with water is preferred when an inorganic base is used for hydrolysis. Alternatively a phase transfer catalyst such as quaternary ammonium salts or crown ethers may be employed.

Suitable bases employed in hydrolysis include inorganic bases, for example,
 20 sodium hydroxide, sodium bicarbonate or potassium hydroxide can be employed. The concentration of the base employed in hydrolysis can be (in percent by weight), for example, from about 0.5% to about 95%, for example: 0.5%, 1%, 1.5%, 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90% or 95%.

25 The hydrolysis can be carried out at a temperature from about 50 °C to about 150 °C. For example, the hydrolysis can be carried out at a temperature from 50 °C

- 14 -

to 60 °C, 60 °C to 70 °C, 70 °C to 80 °C, 80 °C to 90 °C, 90 °C to 100 °C, 100 °C to 110 °C, 110 °C to 120 °C, 120 °C to 130 °C, 130 °C to 140 °C, 140 °C to 150 °C. In other examples, the hydrolysis can be carried out at about 65 °C, about 100 °C, or at about 130 °C.

5 The hydrolysis can be carried out for any time from about 30 minutes to about 48 hours. For example, hydrolysis can be carried out for a time period of 0.5 hours to 2 hours, 2 hours to 4 hours, 4 hours to 6 hours, 6 hours to 8 hours, 8 hours to 10 hours, 10 hours to 12 hours, 12 hours to 14 hours, 14 hours to 16 hours, 16 hours to 18 hours, 18 hours to 20 hours, 20 hours to 22 hours, 22 hours to 24 hours, 10 24 hours to 30 hours, 30 hours to 36 hours, 36 hours to 42 hours, 42 hours to 48 hours. In certain embodiments, the hydrolysis can be carried out for 2 hours, 4 hours, 6 hours, 12 hours, 24 hours, 26 hours or 48 hours.

15 In Scheme (II), X^1 can be Cl, Br, or I. Preferably, X^1 is Cl or Br. More preferably, X^1 is Br. In one embodiment, X^1 in Scheme (II) is Cl.

15 An example of an inorganic base employed in the hydrolysis step is potassium hydroxide. The hydrolysis is carried out at a temperature from about 80 °C to about 120 °C, preferably, at 90-110 °C. Alternatively, temperature is from about 100 °C to about 150 °C, preferably, at 120-140 °C. The reaction is carried out for the duration from about 12 hours to about 36 hours, preferably, for 20-28 hours. 20 The concentration of KOH is from about 1% to about 25% by weight, preferably, about 1-10% by weight.

25 More preferably, X^1 is Cl, KOH concentration is at 1-10% by weight, and the hydrolysis is carried out for 20-28 hours at 90-110 °C. Even more preferably, X^1 is Cl, KOH concentration is at 1-10% by weight, and the hydrolysis is carried out for 20-28 hours at 120-140 °C.

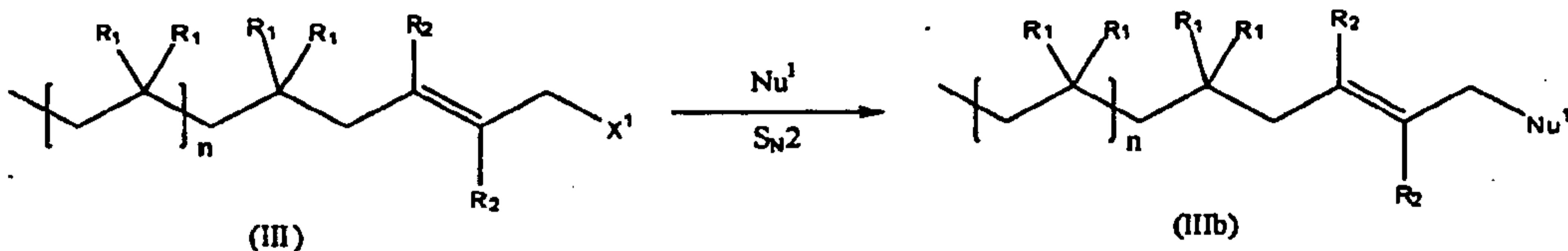
30 In another embodiment, X^1 in Scheme (II) is Br. An example of an inorganic base employed in the hydrolysis step is potassium hydroxide. The hydrolysis is carried out at a temperature from about 60 °C to about 100 °C, preferably, at 55-75 °C. Alternatively, hydrolysis is carried out at a temperature from about 100 °C to about 150 °C, preferably, at 120-140 °C. The reaction is carried out for the duration from about 1 hours to about 10 hours, preferably, for 2-5 hours. The concentration

- 15 -

of KOH is from about 0.5% to about 60% by weight, preferably, about 40-60% by weight. Alternatively, the concentration of KOH is from 0.5% to 1.5%. More preferably, X^1 is Br, KOH concentration is at 40-60% by weight, and the hydrolysis is carried out for 20-28 hours at 55-75 °C. Even more preferably, X^1 is 5 Br, KOH concentration is at 0.5-1.5% by weight, and the hydrolysis is carried out for 2-5 hours at 120-140 °C.

Nucleophilic Substitution of Haloallyl Functional Polymers

In addition to hydrolysis, haloallyl functional polymers of general formula 10 (III) can be subjected to a nucleophilic attack by a variety of nucleophiles. Thus, in one embodiment, the present invention is a method of synthesis of a derivative of a compound of formula (III) by nucleophilic substitution. The general synthetic route for this derivatization is given in scheme (III) below:



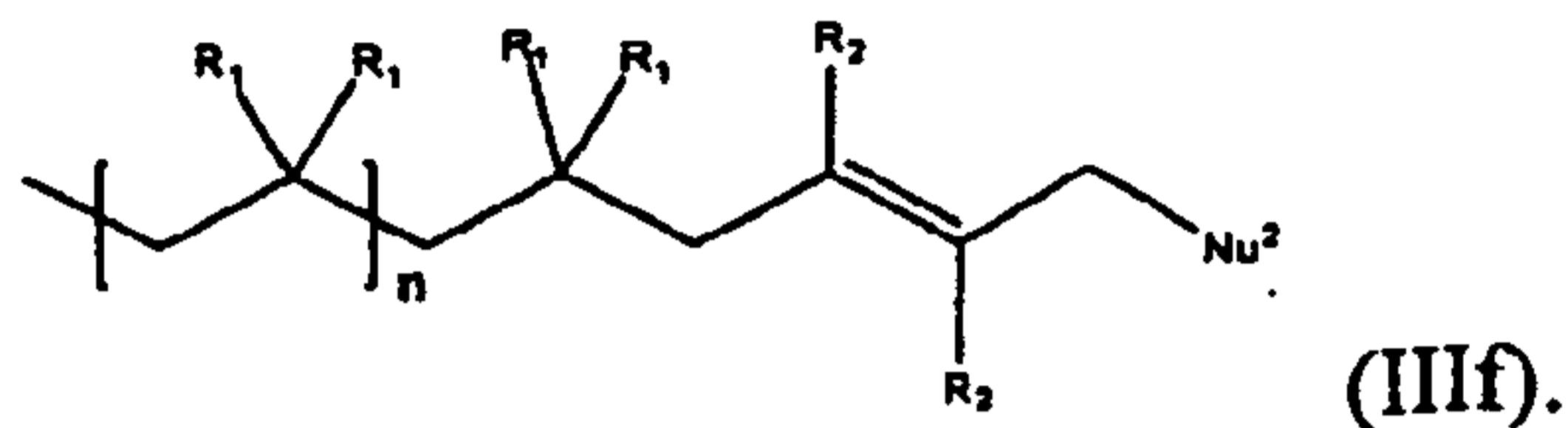
15

Scheme (III)

In scheme (III), nucleophile Nu^1 is any nucleophilic reagent capable of reacting with a compound of formula (III) in a solvent in which the a compound of formula (III) and Nu^1 can be dissolved an remain stable. Preferably, Nu^1 is selected from N_3^- , NH_2^- , $HC_2CH_2-O^-$, HO^- , R^aO^- , thymine, $-CH_2-C(O)OH$, wherein R^a is a 20 C1-C12 alkyl or a polymer or copolymer fragment. As used herein, the terms “polymer” or “copolymer” mean a macromolecule built up by the linking of monomers by a process termed polymerization. As used herein, these terms include low molecular weight oligomers. Non-limiting examples of a polymer or copolymer fragment include polyethers such as polyethylene glycol (PEG), and polyesters such 25 as polymers or copolymers of lactide, glycolide or ϵ -caprolactone. Examples of C1-C12 alkyls are methyl, ethyl.

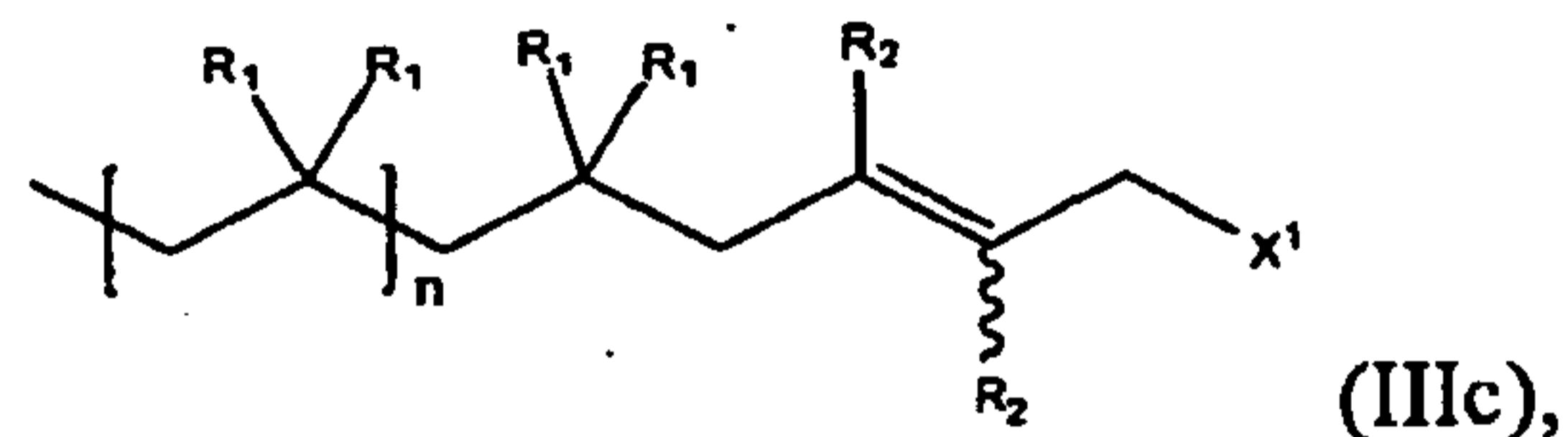
In certain embodiments of the present invention, a compound of formula (IIIb) is further reacted to replace moiety Nu^1 with moiety Nu^2 . (See the description below.) Accordingly, in one embodiment, the present invention is a compound of 30 formula (IIIf):

- 16 -

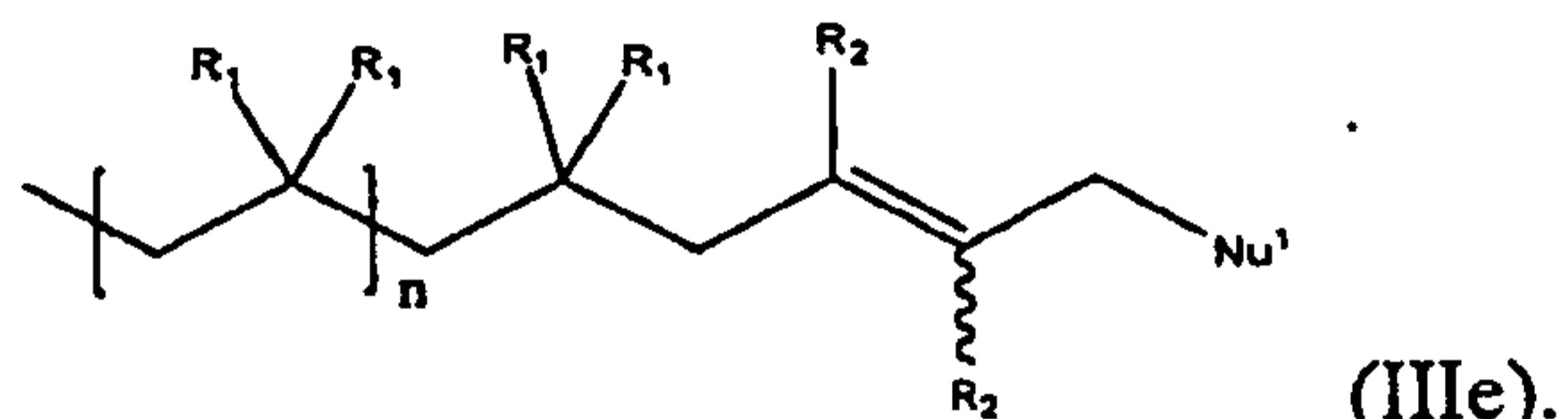


Nu² is selected from N₃-, NH₂-, HC₂CH₂-O-, HO-, R^aO-, wherein R^a is a C1-C12 alkyl or a polymer or copolymer fragment (as defined above with reference to formula (IIIb)), thymine, -CH₂-C(O)OH, -C(O)N₃, -NHC(O)OR, -C(O)NHR, 5 -NHC(O)NHR, wherein R is a C1-C12 alkyl, or a peptide-NH-.

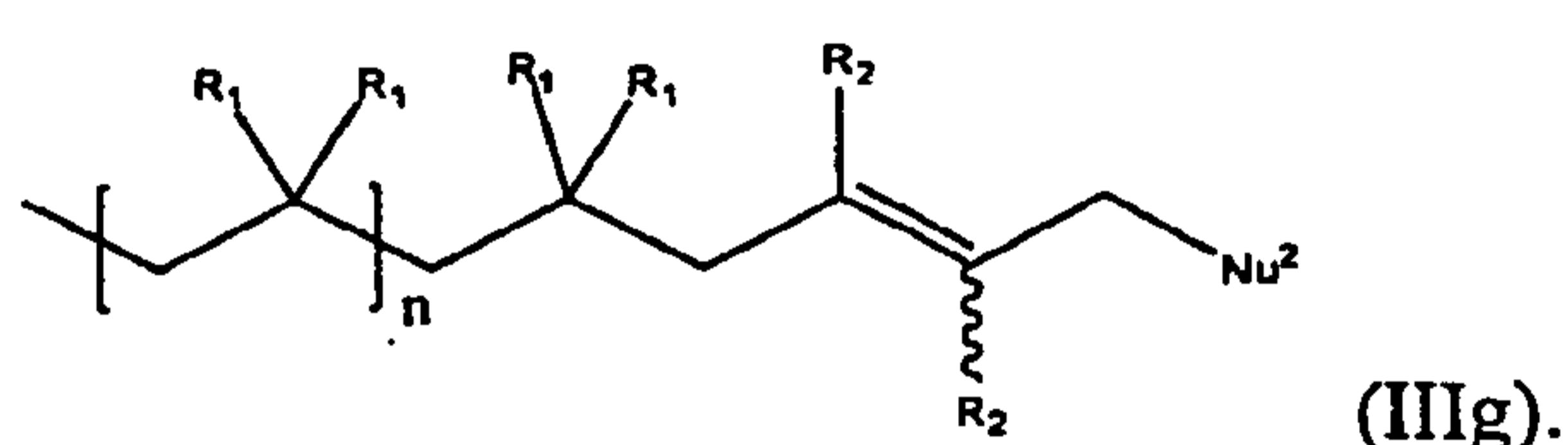
In one embodiment, the compound of formula (III) can be represented by formula (IIIc)



while the compound of formula (IIIb) is represented by formula (IIIe):



10 In this embodiment, replacement of moiety Nu¹ by moiety Nu², as a result of a subsequent reaction, will produce a compound of formula (IIIg):



Values and preferred values of the variables in formulas (IIIe) and (IIIg) are as 15 defined above with respect to formulas (IIIb) and (IIIf).

General conditions for the reactions described below are known in the art and are described, for example, in March, "Advanced Organic Chemistry - Reactions, Mechanisms and Structure", 5th Edition, John Wiley & Sons, (2001), the relevant portions of which are incorporated herein by reference. The preferred 20 embodiments of the present invention are described below.

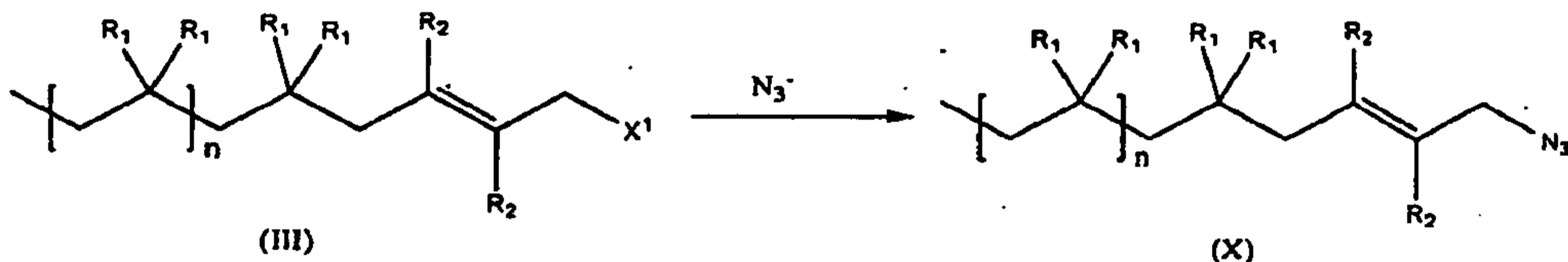
The haloallyl-capped polymer of formula (III) was obtained as described above. The haloallyl-capped polymer (III) was converted to hydroxide, alkoxide

- 17 -

(e.g. methoxide), azide, amine, aldehyde, acid and propargyl functionalities quantitatively using single step procedures.

In the embodiments in which Nu^2 replaces Nu^1 , one or more additional steps are employed. For example, modification of the carboxylate (XV) derivative can be 5 employed to synthesize carbonylazide (XVI) (see scheme (X)), which may act as a building block to attach urea, urethane and amide chain extenders (scheme (XI)). Furthermore, peptides can also be effectively attached to the carbonylazide intermediate under mild conditions (scheme (XI)). The propynyoxy derivative (XII) obtained according to scheme (VI) can be further employed to synthesize a 10 triazole derivative (XXI) according to scheme (XII).

Accordingly, in one embodiment, the present invention is a method of synthesis of compound of formula (X):

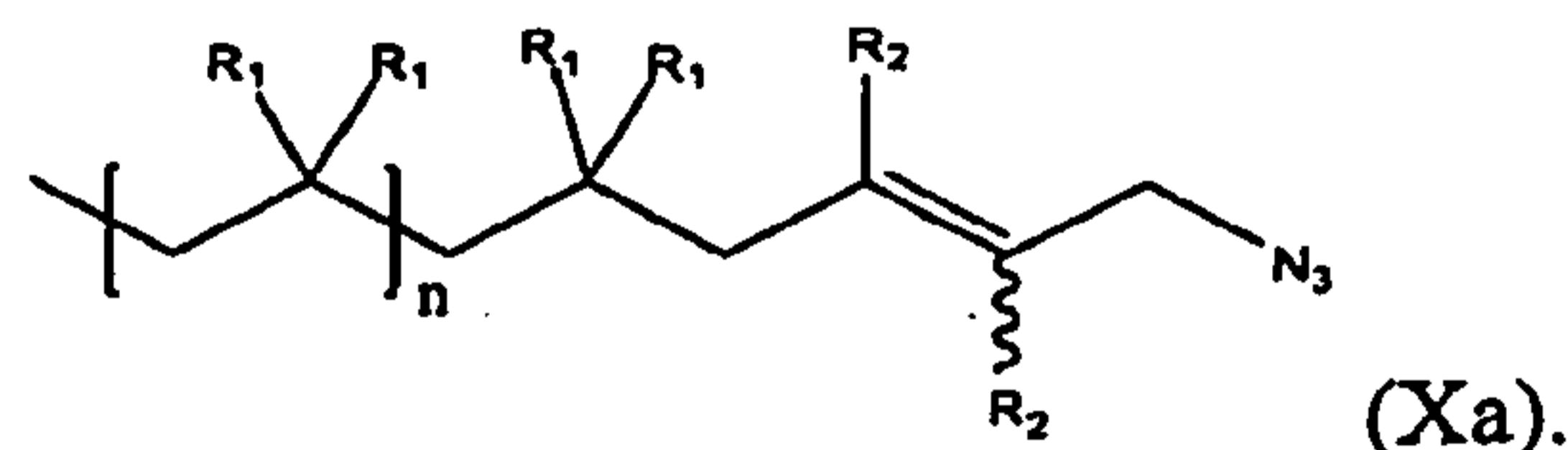


15

(Scheme IV)

The values and preferred values for the variables in formula (X) are as defined above with reference to formula (III). N_3^- refers to any soluble form of azide, for example metal azides (NaN_3 , KN_3 , etc.).

In one embodiment, the compound of formula (III) can be represented by 20 formula (IIIc), while the compound of formula (X) is represented by formula (Xa):



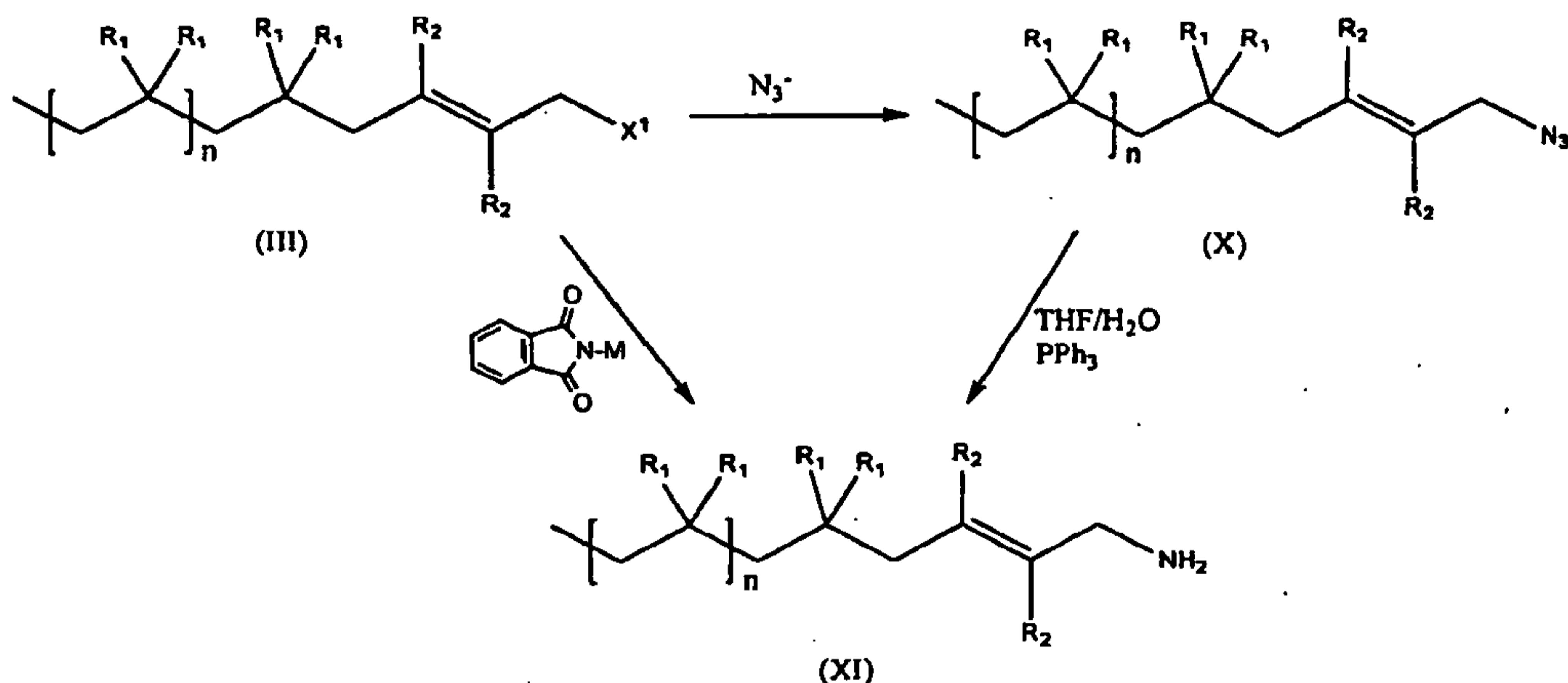
The values and preferred values of the variables in formula (Xa) are as defined above with respect to formula (X).

The reaction conditions for the reaction of scheme (IV) are as follows: in a 25 mixture of solvent, where one is dry THF and the other one is a dry polar aprotic solvent, in a temperature range of 25 °C to 75 °C and the reactions were carried out under nitrogen or argon atmosphere. For example, the reaction is carried out in a polar aprotic solvent such as nitromethane, dimethyl acetamide (DMA), N,N -

- 18 -

dimethyl formamide (DMF), dimethyl sulfoxide (DMSO), hexamethyl phosphoramide (HMPA), N-methyl pyrrolidone (NMP), tetrahydrofuran (THF), or dioxane, or a mixture thereof. Preferably, the solvents is a THF/DMF mixture at 83.3%:16.7%. The temperature of the reaction is typically from about 25 °C to 5 about 100 °C, preferably, from about 25 °C to about 75 °C, for example 50 °C.

In another embodiment, the present invention is a method of synthesis of compound of formula (XI) according to Scheme (V):



10

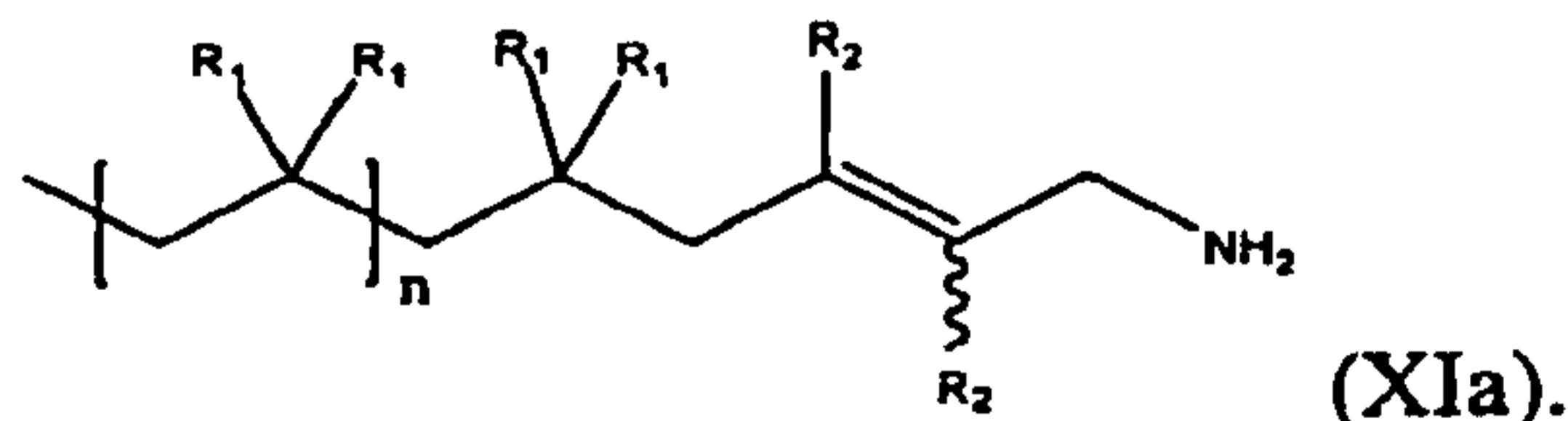
Scheme (V)

N_3^- refers to any soluble form of azide, for example metal azides (NaN_3 , KN_3 , etc.) and M is an alkali metal (Na, K, etc.).

The values and preferred values for the variables in formula (XI) are as defined above with reference to formula (III).

15

In one embodiment, the compound of formula (III) can be represented by formula (IIIc), the compound of formula (X) can be represented by formula (Xa), while the compound of formula (XI) is represented by formula (XIa):



20

The values and preferred values of the variables in formula (XIa) are as defined above with respect to formula (XI).

The synthetic route from compound (III) to (XI) can be carried out with any suitable amination reagent. Preferably, the amination reagent is potassium phthalimide followed by hydrolysis in hydrazine hydrate and basic solution. The

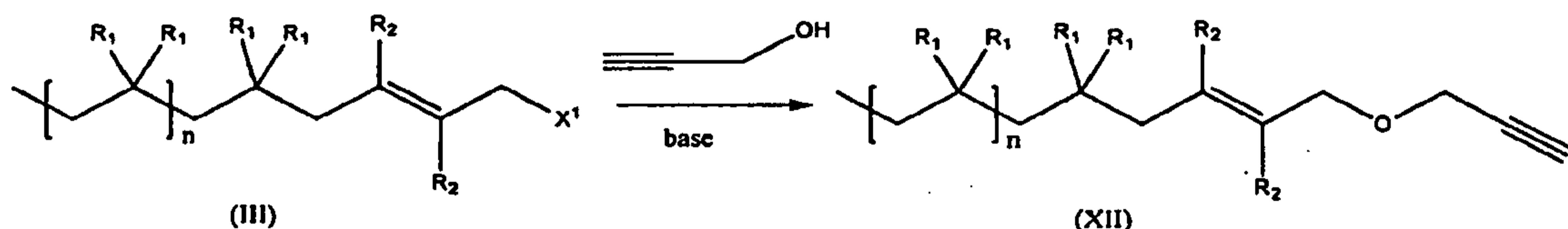
- 19 -

reaction is preferably carried out in a mixture of dry THF and a dry polar aprotic solvent under nitrogen or argon atmosphere in a temperature range of 66 °C to 100 °C for 12 to 24 h. For example, the reaction is carried out in a polar aprotic solvent such as nitromethane, dimethyl acetamide (DMA), N,N-dimethyl formamide 5 (DMF), dimethyl sulfoxide (DMSO), hexamethyl phosphoramide (HMPA), N-methyl pyrrolidone (NMP), tetrahydrofuran (THF), or dioxane, or a mixture thereof. Preferably, the solvents is a THF/DMF mixture at 75%:25%.

The synthetic route from compound (X) to (XI) can be carried out with any suitable reducing reagent such as LiAlH₄, NaBH₄, H₂/Pd or Ni and PPh₃.

10 Preferably, the reducing reagent is PPh₃. The reaction is preferably carried out in a polar protic solvent. The polar solvent can be one or more of a polar protic solvent, such as water or an alcohol; an ethereal solvent such as THF, dioxane and the like. For example, the solvent can be a mixture of THF and water. Preferably, the mixture of THF and water 91%:9% is used.

15 In another embodiment, the present invention is a method of synthesis of compound of formula (XII) according to Scheme (VI):

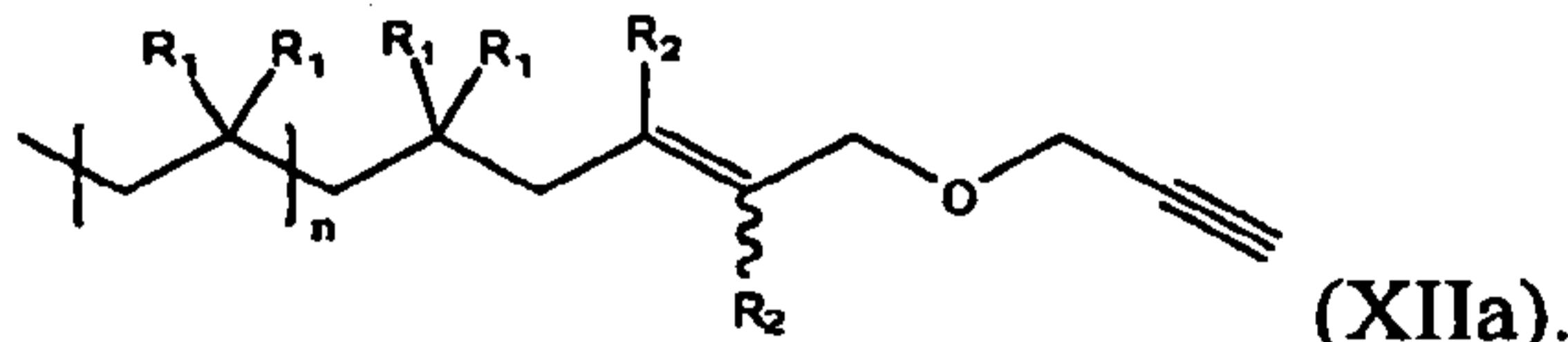


Scheme (VI)

20 The values and preferred values for the variables in formula (XII) are as defined above with reference to formula (III).

In one embodiment, the compound of formula (III) can be represented by formula (IIIc), while the compound of formula (XII) is represented by formula (XIIa):

25

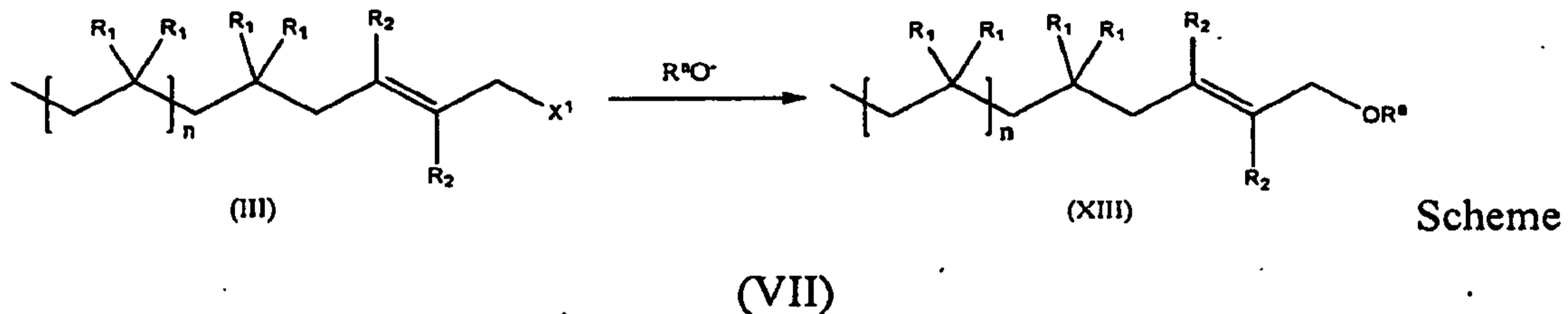


The values and preferred values of the variables in formula (XIIa) are as defined above with respect to formula (XII).

- 20 -

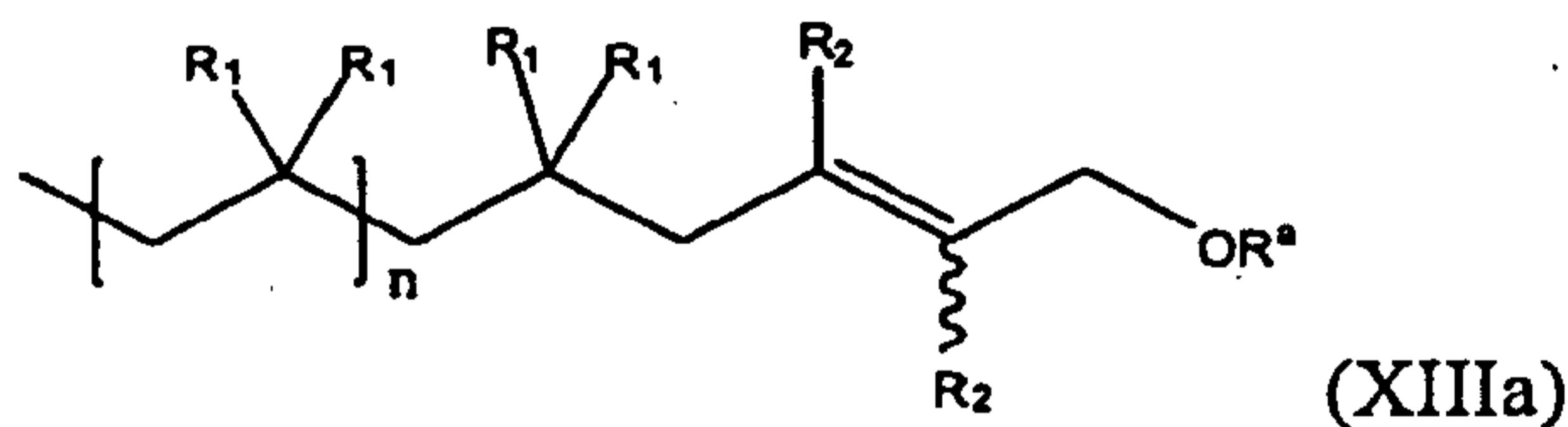
The reaction conditions for the reaction of scheme (VI) are as follows: in a polar aprotic solvent such as nitromethane, dimethyl acetamide (DMA), N,N-dimethyl formamide (DMF), dimethyl sulfoxide (DMSO), hexamethyl phosphoramide (HMPA), N-methyl pyrrolidone (NMP), tetrahydrofuran (THF), or dioxane, or a mixture thereof and in presence of a base, i.e. sodium hydride, KOH, NaOH etc under inert atmosphere and in the temperature range of 20-100 °C.

In another embodiment, the present invention is a method of synthesis of
10 compound of formula (XII) according to Scheme (VII):



The values and preferred values for the variables in formula (XIII) are as defined above with reference to formula (III), and R^a is a C1-C12 alkyl (e.g., methyl or ethyl) or polymer or copolymer fragment, e.g., polyethylene oxide (PEG) and polyesters such as polymers or copolymers of lactide, glycolide or ε-caprolactone.

In one embodiment, the compound of formula (III) can be represented by formula (IIIc), while the compound of formula (XIII) is represented by formula (XIIIa):



20

The values and preferred values of the variables in formula (XIIIa) are as defined above with respect to formula (XIII).

The reaction is most commonly carried out in an alcoholic solvent, except with PEG. In the latter case the preferred solvent is an aprotic polar solvent such as tetrahydrofuran. An ethereal solvent such as tetrahydrofuran (THF), dioxane and the like, is preferably used as a cosolvent. For example, the solvent can be a mixture of

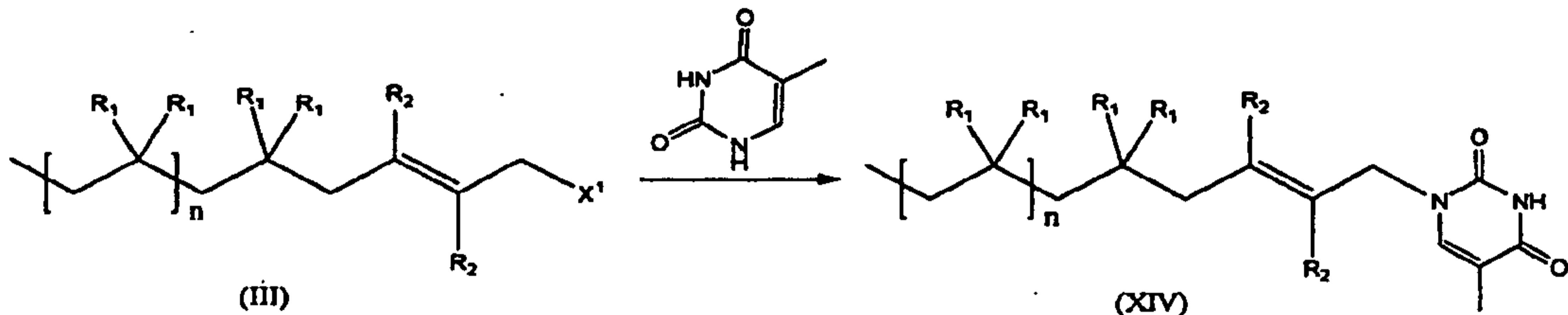
- 21 -

THF and an alcohol, such as methanol or ethanol the solvent has to be a polar aprotic and polar protic mixture.

In scheme (VII), the reaction is preferably catalyzed by a base. Suitable bases include inorganic bases, for example, sodium hydroxide, sodium bicarbonate or potassium hydroxide can be employed. Preferably, the solvent is a THF/MeOH mixture at 83.3%:16.7%. The temperature of the reaction is typically from about 66 °C to about 100 °C, preferably, 70 °C.

In another embodiment, the present invention is a method of synthesis of compound of formula (XIV) according to Scheme (VIII):

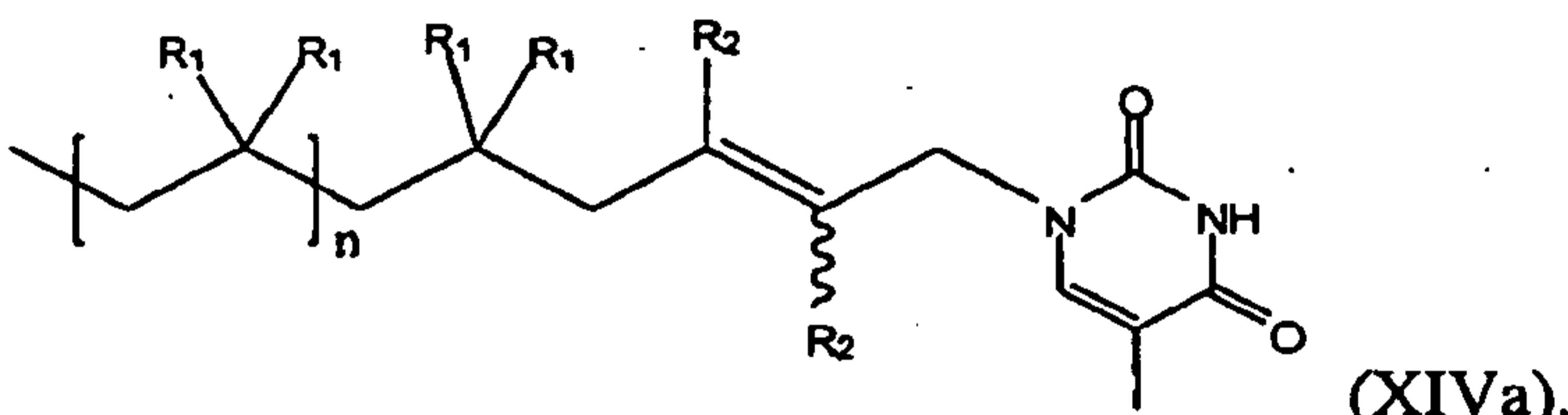
10



Scheme (VIII)

The values and preferred values for the variables in formula (XIV) are as defined above with reference to formula (III).

In one embodiment, the compound of formula (III) can be represented by formula (IIIc), while the compound of formula (XIV) is represented by formula (XIVa):

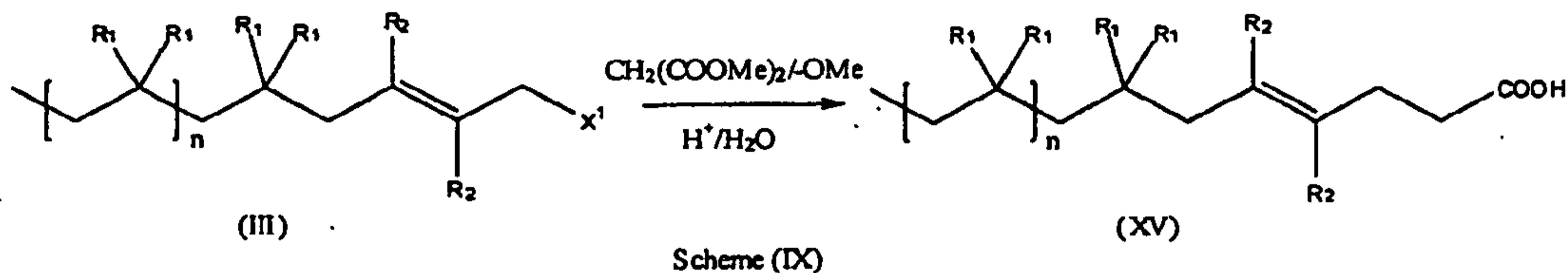


The values and preferred values of the variables in formula (XIVa) are as defined above with respect to formula (XIV).

20 The reaction conditions for the reaction of scheme (VIII) are as follows: a mixture of polar aprotic solvent hexamethyl phosphoramide (HMPA), N-methyl pyrrolidone (NMP), tetrahydrofuran (THF) and water in a temperature range of 25 °C to 100 °C. In scheme (VIII), the reaction is preferably catalyzed by a base. Suitable bases include inorganic bases, for example, sodium hydroxide, sodium bicarbonate or potassium hydroxide can be employed. Preferably, KOH is used as the base, the solvent is a mixture of THF and water and the temperature is 70 °C.

- 22 -

In another embodiment, the present invention is a method of synthesis of compound of formula (XV), starting from a compound of formula (III) and dimethylmalonate according to Scheme (IX):

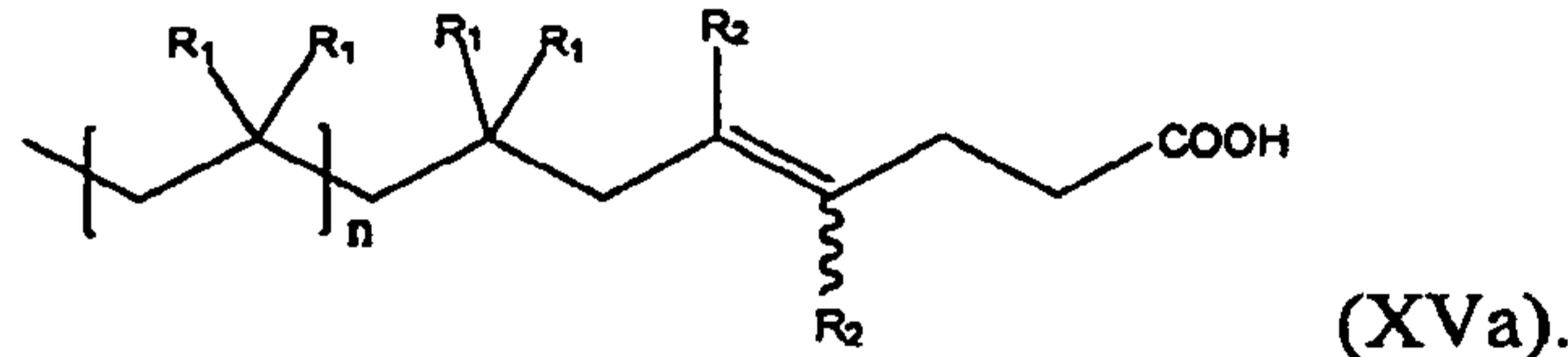


5

Scheme (IX)

The values and preferred values for the variables in formula (XV) are as defined above with reference to formula (III).

In one embodiment, the compound of formula (III) can be represented by formula (IIIc), while the compound of formula (XV) is represented by formula 10 (XVa):

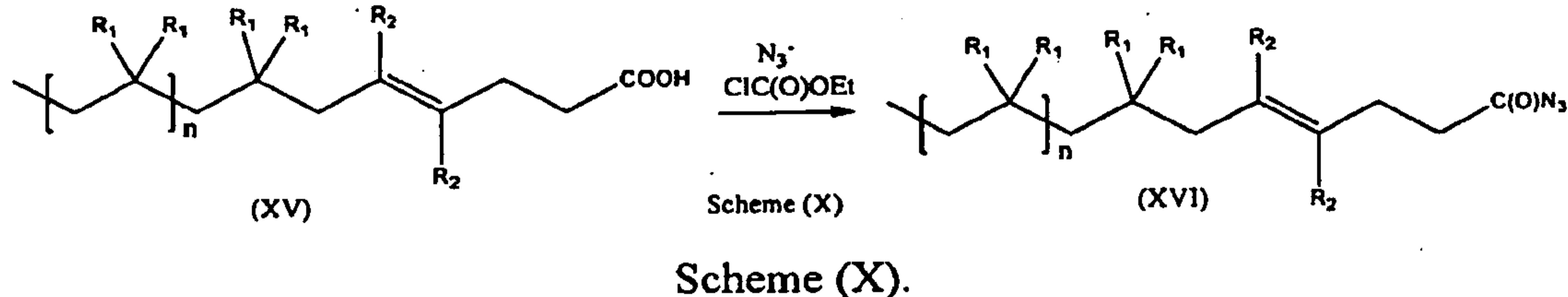


The values and preferred values of the variables in formula (XVa) are as defined above with respect to formula (XV).

The reaction conditions for the reaction of scheme (IX) are as follows: a 15 polar aprotic solvent, temperature range 25-100 °C under inert (nitrogen or argon) atmosphere] Preferably the solvent is dry THF and the temperature is 70 °C.

In another embodiment, the present invention is a method of synthesis of compound of formula (XVI), starting from a compound of formula (XV) according to scheme (X):

20

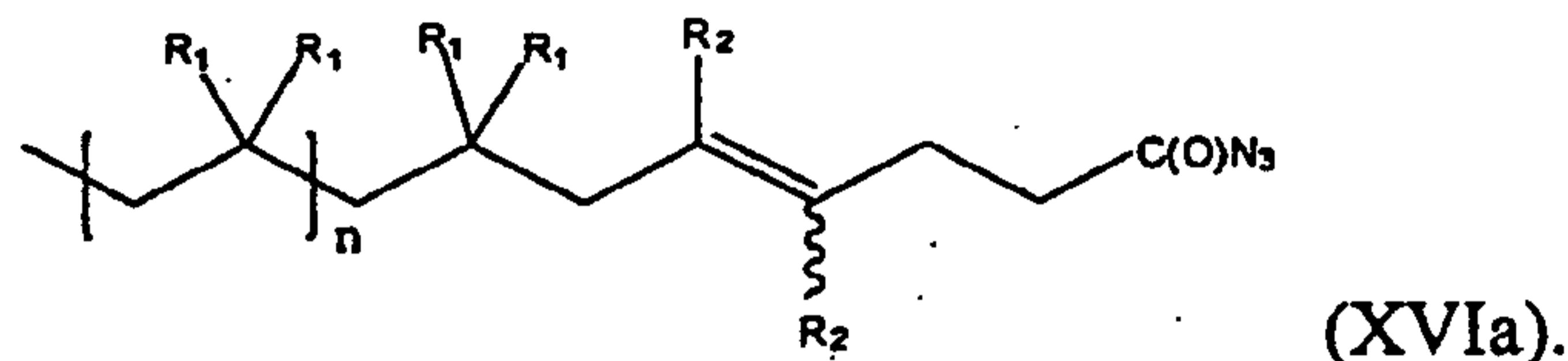


Scheme (X).

As before, N_3^- refers to any soluble azide form, e.g. metal azide.

- 23 -

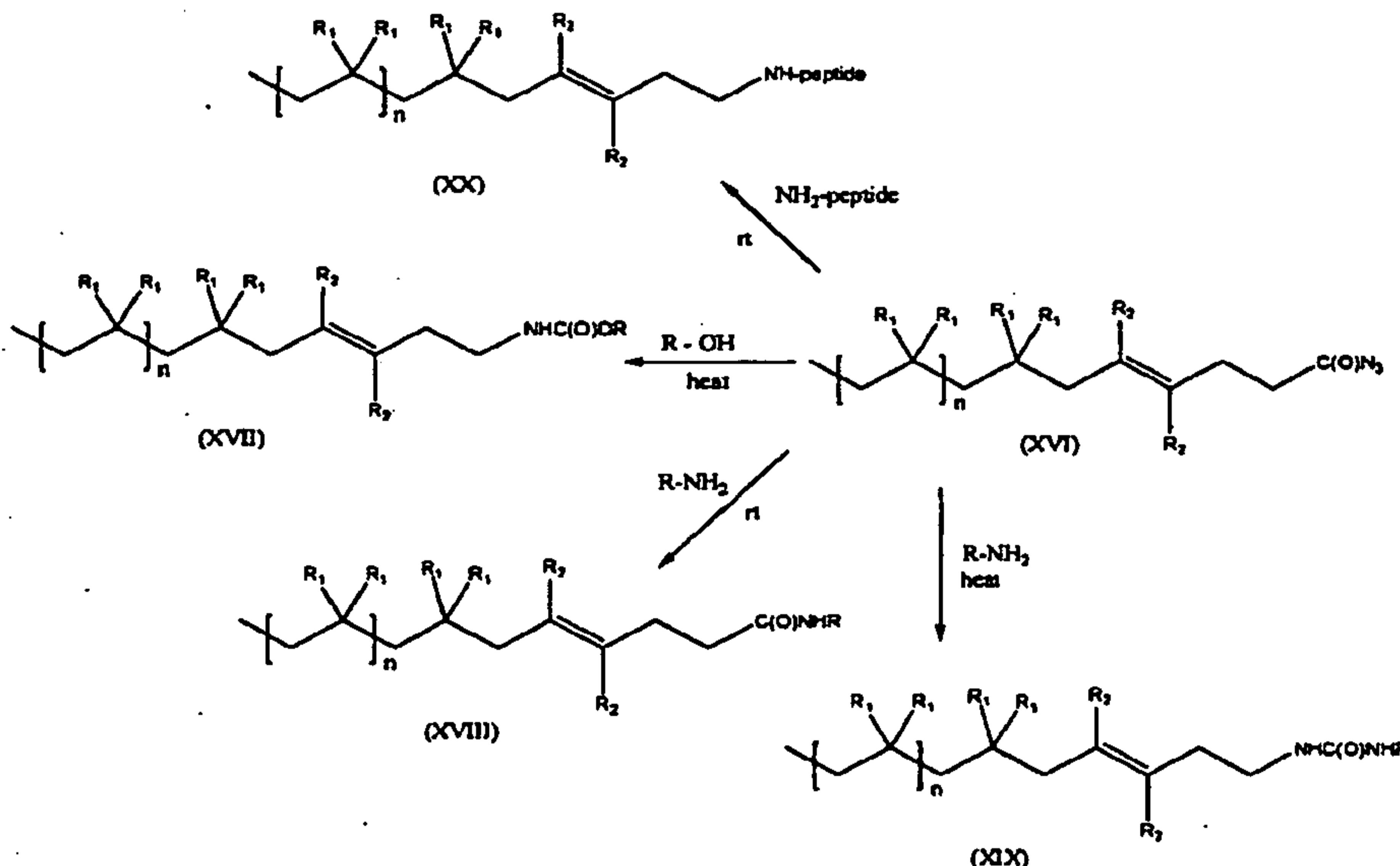
In one embodiment, the compound of formula (XV) can be represented by formula (XVa), while the compound of formula (XVI) is represented by formula (XVIa):



5 The values and preferred values of the variables in formula (XVIa) are as defined above with respect to formula (XVI).

The reaction conditions for the synthetic route from compound (XV) to compound (XVI) are as follows: in a polar aprotic solvent, i.e. THF, in presence of a base, i.e. triethyleneamine or pyridine in a temperature range of -10 °C to 30 °C 10 under inert atmosphere. Preferably, the solvent is THF, the base is triethylamine and the temperature range is 0-25 °C.

In another embodiment, the present invention is a method of synthesis of any of the compounds of formula (XVII), (XVIII), (XIX) and (XX) according to the reactions of Scheme (XI), where "rt" stands for room temperature:



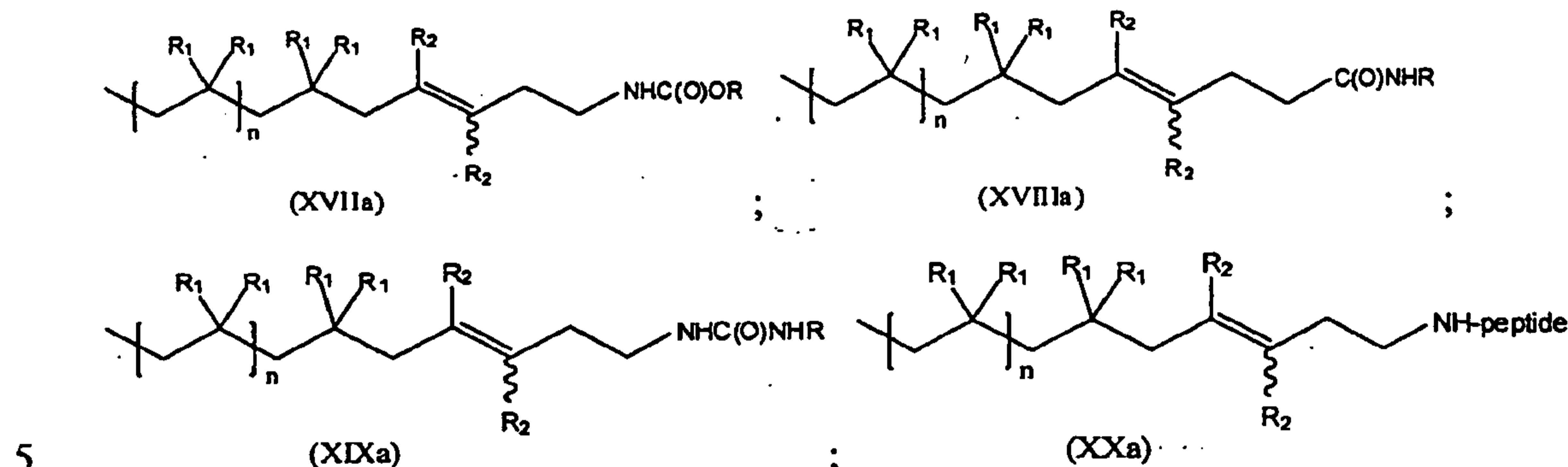
15

Scheme (XI)

The values and preferred values for the variables in formulas (XVII)-(XX) are as defined above with reference to formula (III). In scheme (XI), R is a C1-C12 alkyl.

- 24 -

In one embodiment, the compound of formula (XVI) can be represented by formula (XVIa), while the compound of formulas (XVII)-(XX) is represented by formulas (XVIIa)-(XXa):

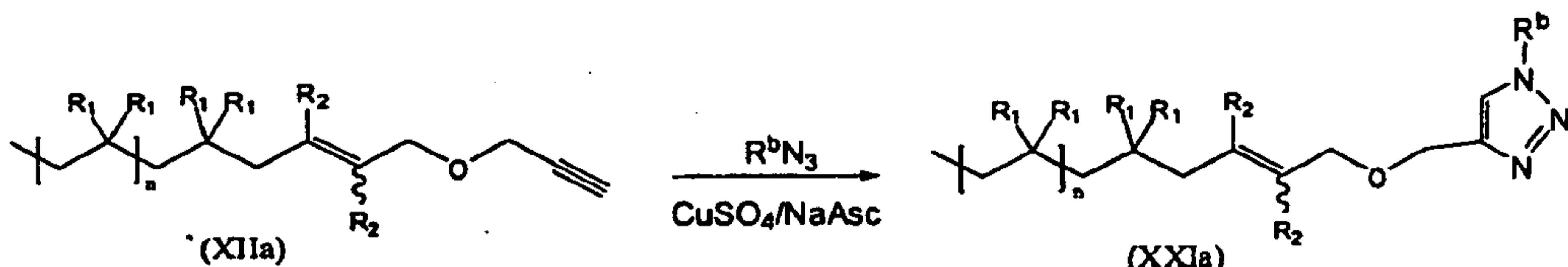


5

The values and preferred values of the variables in formulas (XVIIa)-(XXa) are as defined above with respect to formulas (XVI)-(XX).

The reaction conditions for the synthetic routes from compound (XVI) to compounds (XVII) through (XX) are as follows: in a polar aprotic solvent and 10 within a temperature range of 25 °C to 100 °C.

In another embodiment, the present invention is a method of synthesis of compound of formula (XXI), starting from a compound of formula (XII) according to scheme (XII):



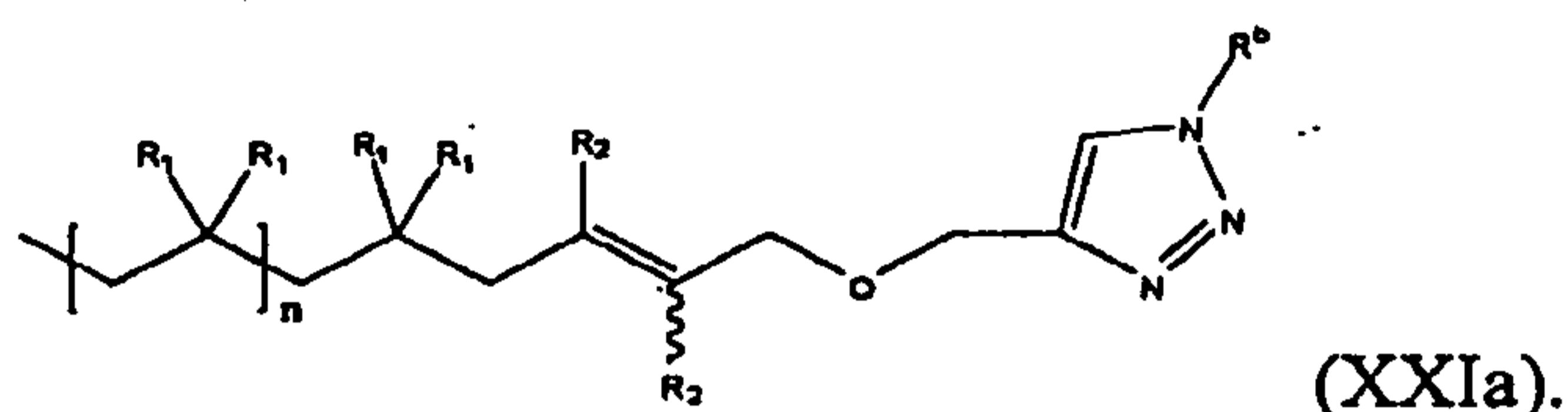
15

Scheme (XII)

The values and preferred values for the variables in formula (XXI) are as defined above with reference to formula (III). In scheme (XII), R^b is an optionally substituted alkyl (for example C1-C20 alkyl), an optionally substituted aryl (for example C6-C20 aryls, preferably phenyl, optionally substituted with C1-C4 straight or branched alkyl or halogen), an optionally substituted heteroaryl (e.g., C6-C20 heteroaryl) or a polymer or copolymer fragment. Preferably, polymer or copolymer is soluble in water, and/or has a glass transition or melting temperature above 25 °C, and/or is biodegradable. Non-limiting examples of a polymer include polyethers such as polyethylene glycol (PEG), and polyesters such as polylactide.

- 25 -

In one embodiment, the compound of formula (XII) can be represented by formula (XIIa), while the compound of formula (XXI) is represented by formula (XXIa):

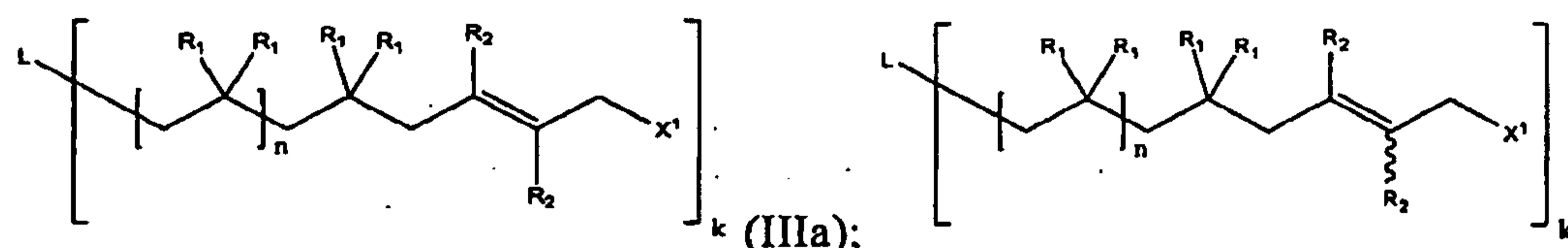


5 The values and preferred values of the variables in formula (XXIa) are as defined above with respect to formula (XXI).

The reaction conditions for the reaction of scheme (XII) are as follows: in a polar aprotic solvent and water mixture, the temperature range is 20–66 °C.

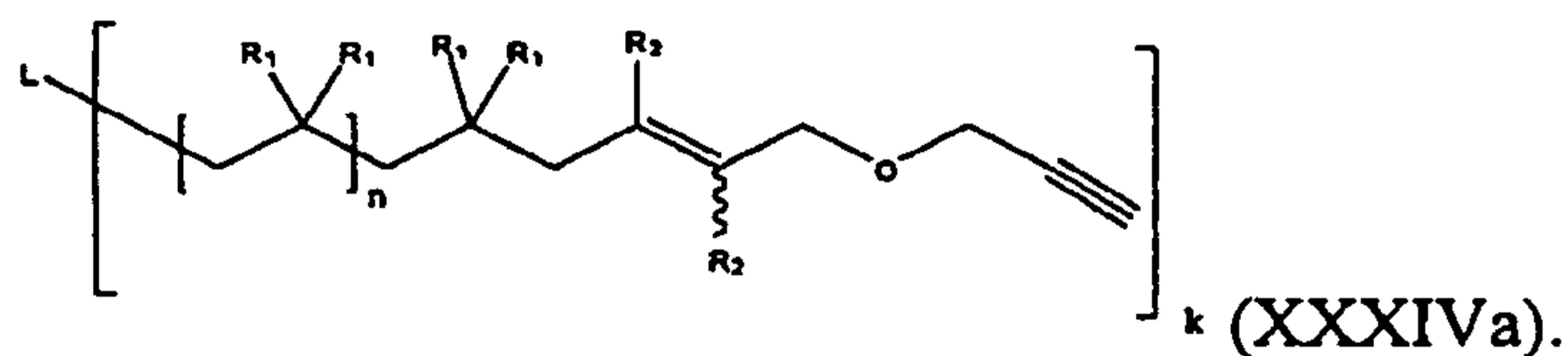
Alternatively, formula (XXI) is a block copolymer, wherein R^b is a polymer
10 or a block copolymer.

As mentioned above, compounds (IIIa) and (IIIb) can be used in the methods of the present invention:

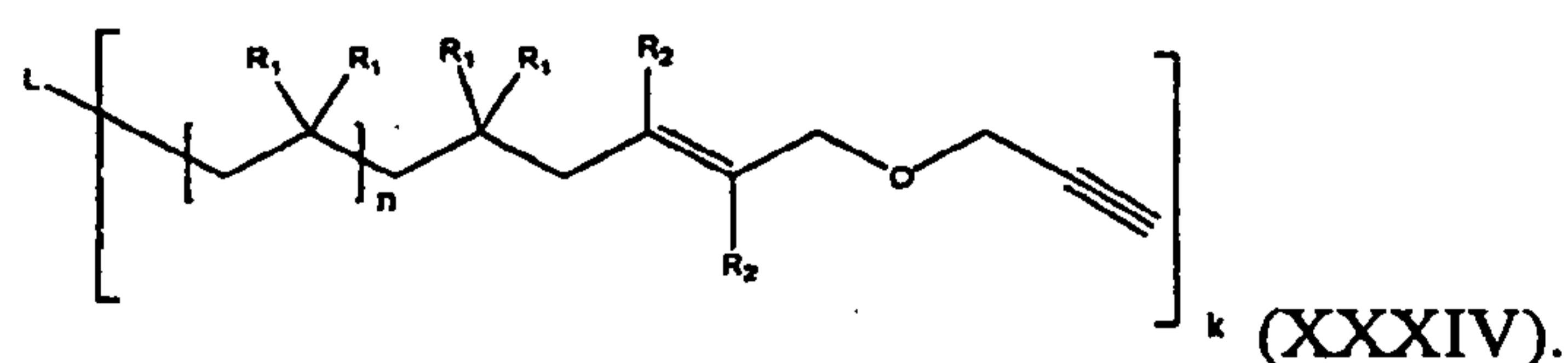


(III d). Variable L was defined above with respect to formula (III a). When these compounds are used as starting materials, examples below represent various embodiments of the present invention.

In one embodiment, the present invention is a compound of formula (XXXIVa)



20 One embodiment of the compound of formula (XXXIVa) is represented by formula (XXXIV):

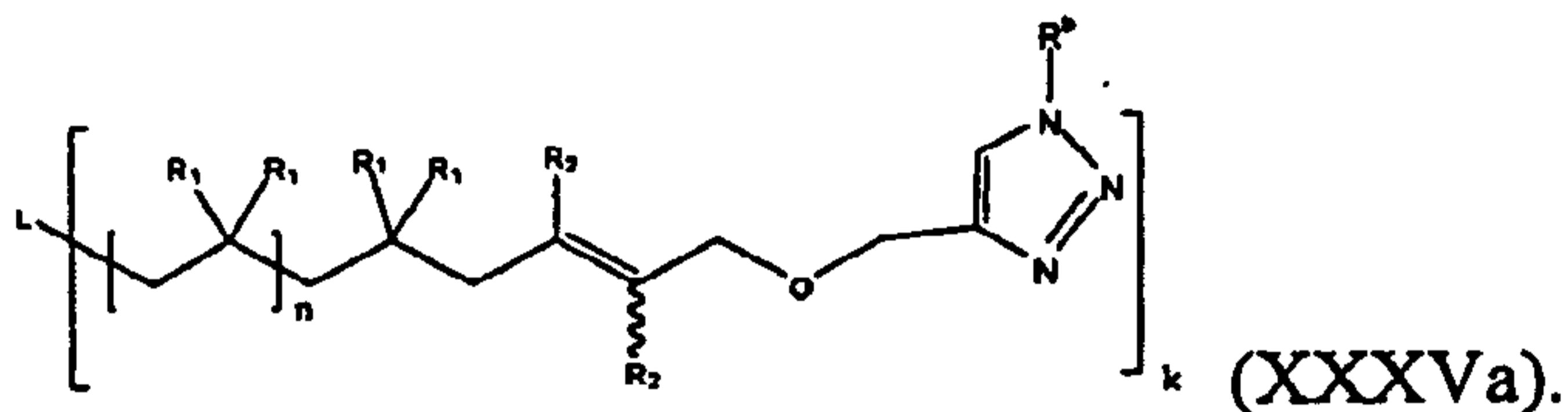


- 26 -

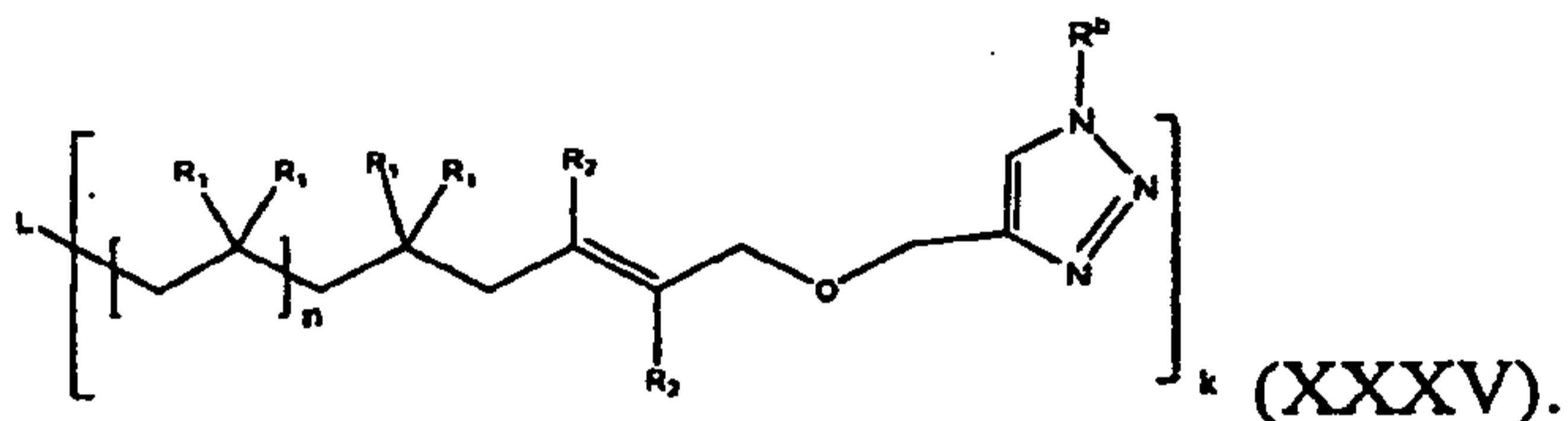
Values and preferred values of the variables in formulas (XXXIV) and (XXXIVa) are as defined above with respect to formulas (IIIa) and (XII).

In another embodiment, the present invention is a compound represented by formula (XXXVa):

5

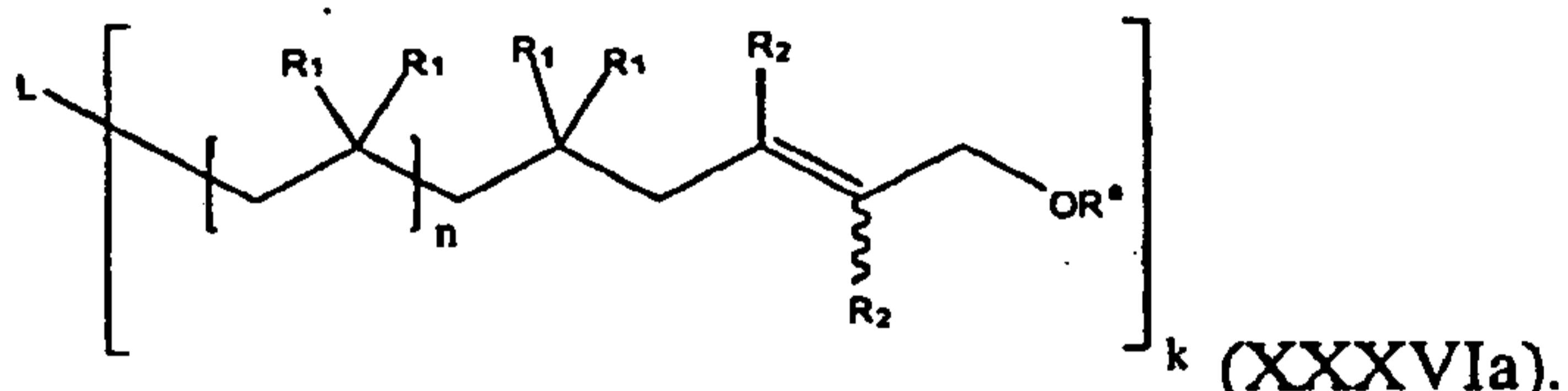


One embodiment of the compound of formula (XXXVa) is represented by formula (XXXV).

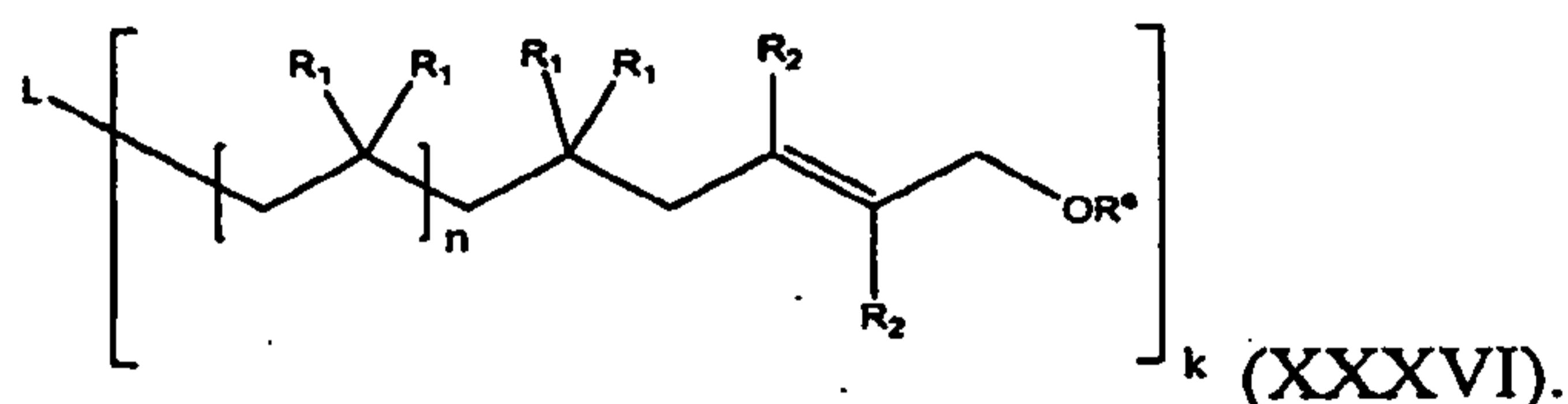


Values and preferred values of the variables in formulas (XXXV) and (XXXVa) are 10 as defined above with respect to formulas (IIIa) and (XXI).

In one embodiment, the present invention is a compound of formula (XXXVIa):



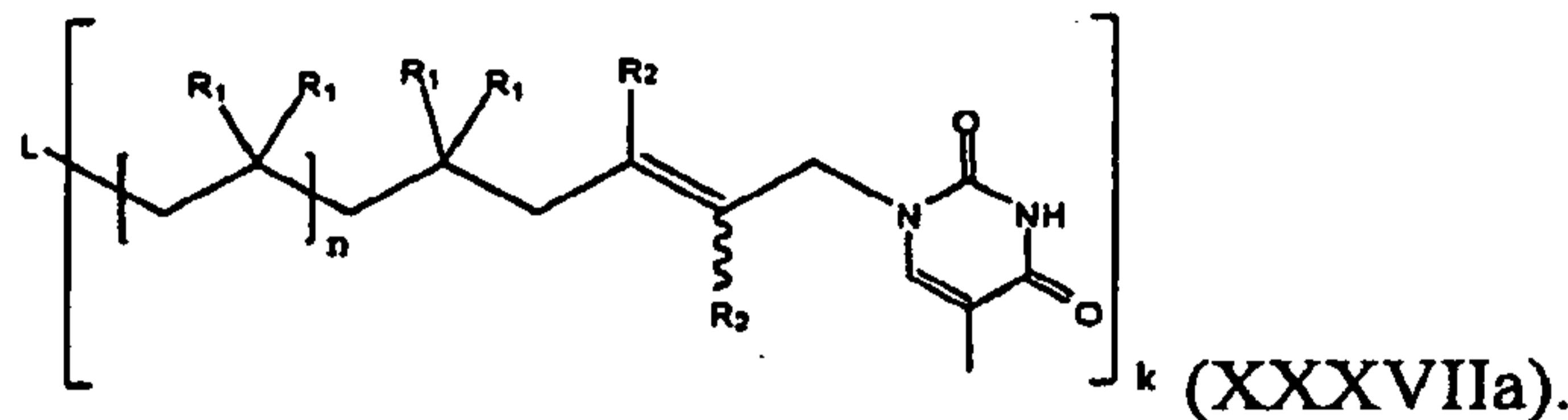
One embodiment of the compound of formula (XXXVIa) is represented by formula 15 (XXXVI):



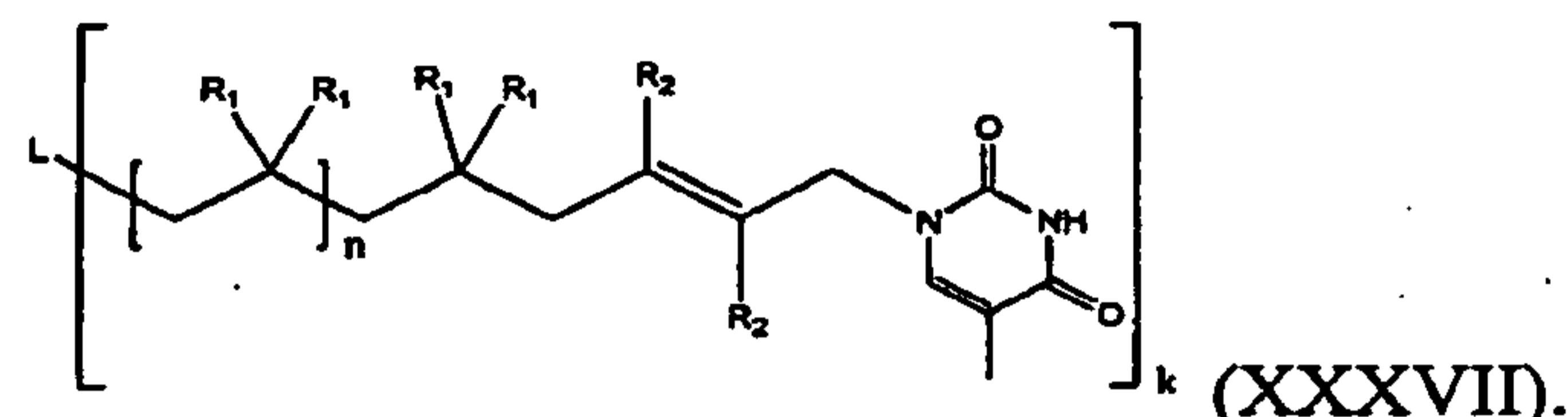
The values and preferred values of the variables in formulas (XXXVI) and (XXXVIa) are as defined above with respect to formulas (IIIa) and (XIII).

In one embodiment, the present invention is a compound of formula 20 (XXXVIIa):

- 27 -

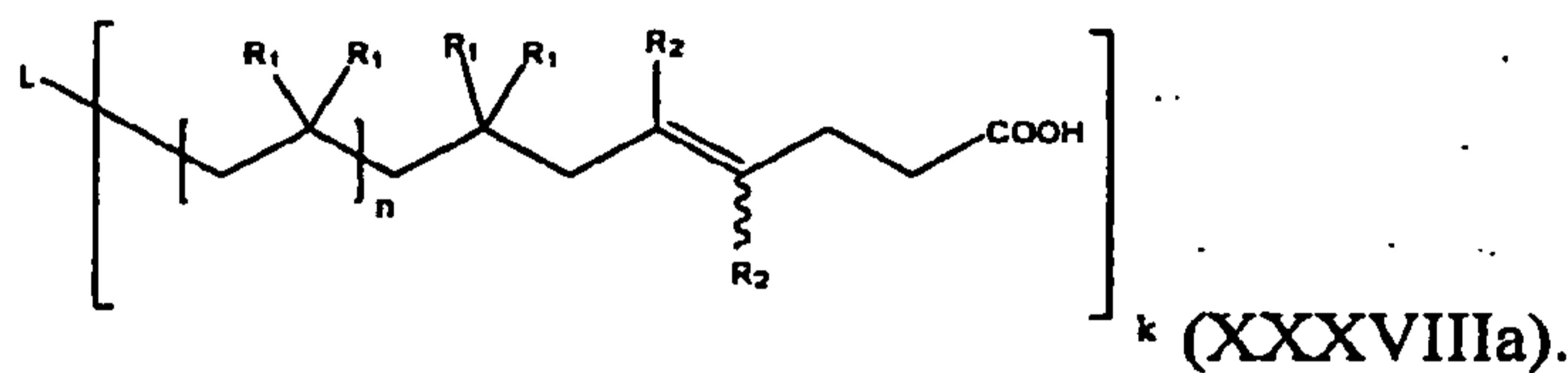


One embodiment of the compound of formula (XXXVIIa) is represented by formula (XXXVII):

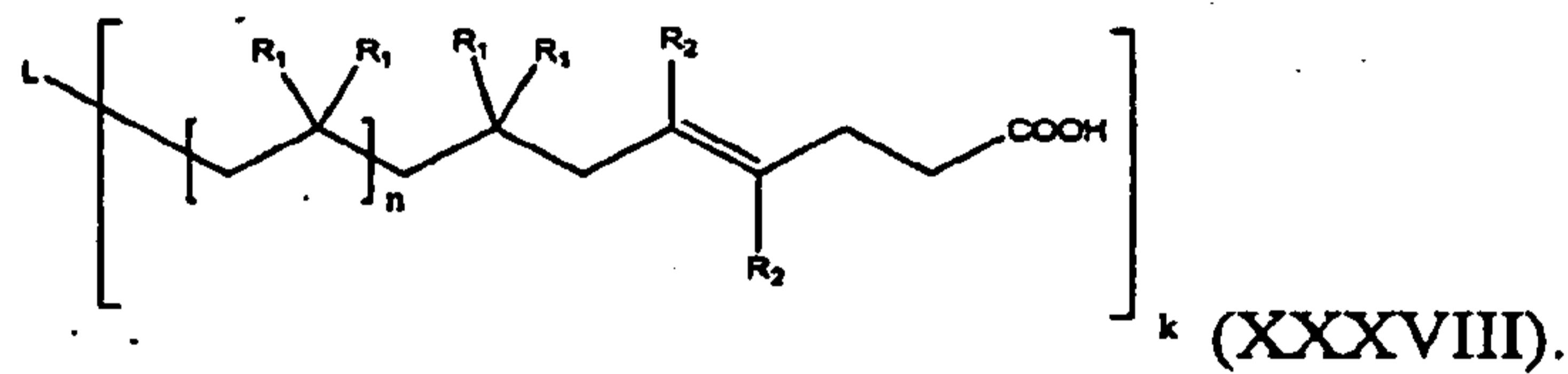


5 Values and preferred values of the variables in formulas (XXXVII) and (XXXVIIa) are as defined above with respect to formulas (IIIa) and (XIV).

In one embodiment, the present invention is a compound of formula (XXXVIIIa):

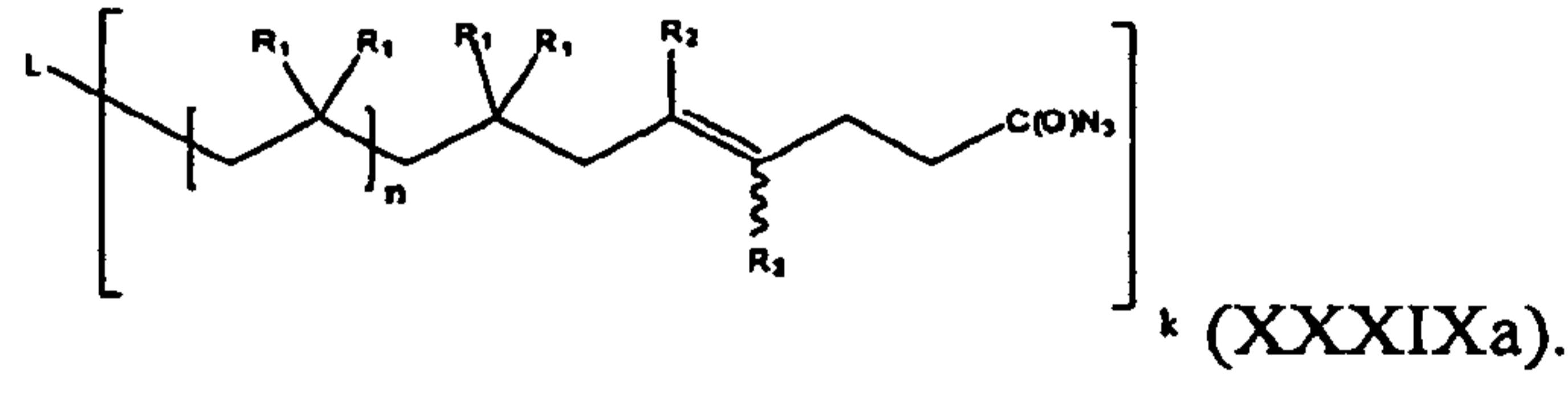


10 One embodiment of the compound of formula (XXXVIIIa) is represented by formula (XXXVIII):



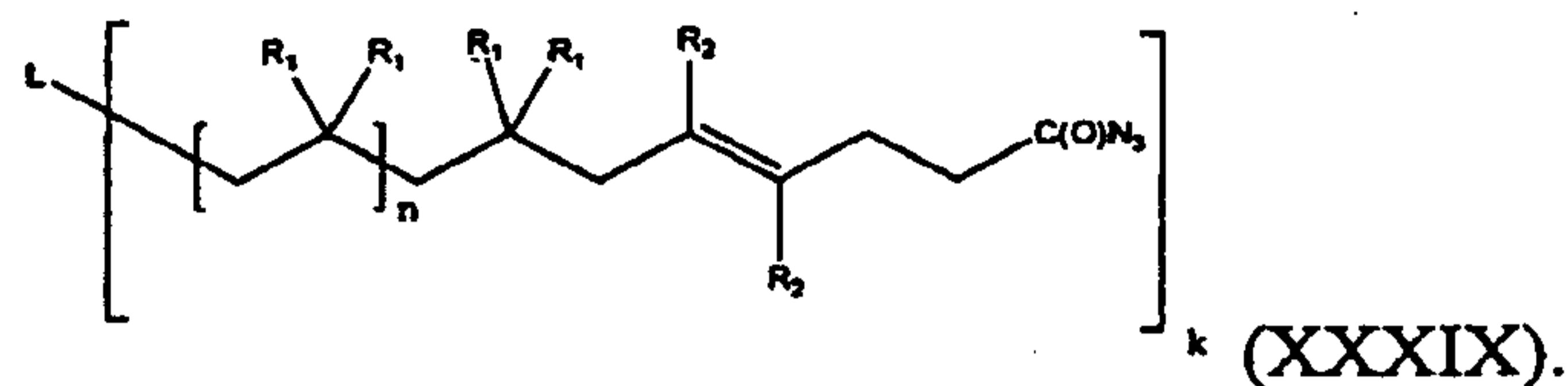
Values and preferred values of the variables in formulas (XXXVIII) and (XXXVIIIa) are as defined above with respect to formulas (IIIa) and (XV).

15 In one embodiment, the present invention is a compound of formula (XXXIXa):



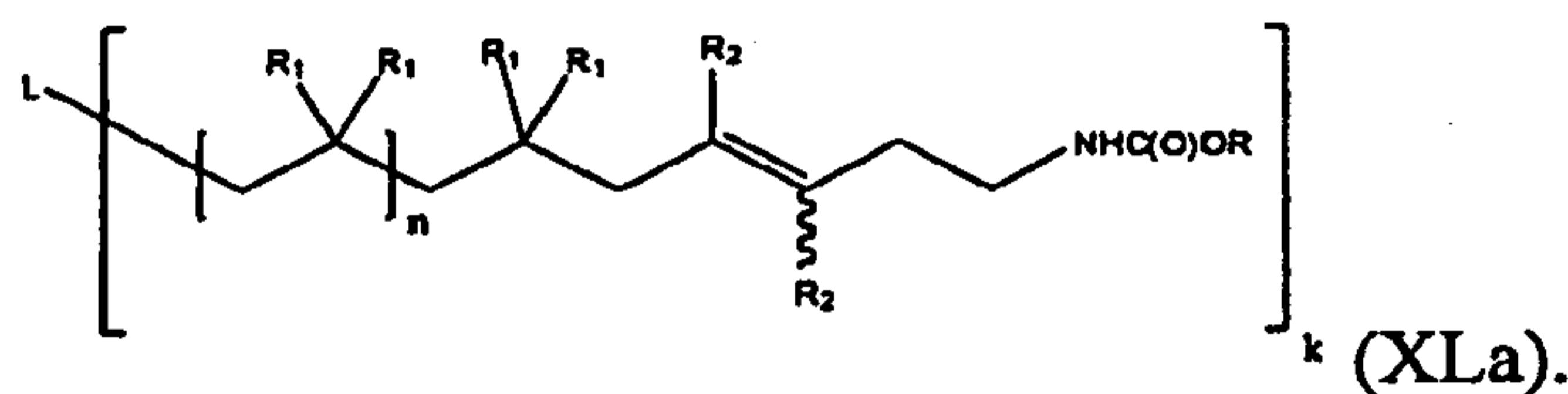
One embodiment of the compound of formula (XXXIXa) is represented by formula (XXXIX):

- 28 -



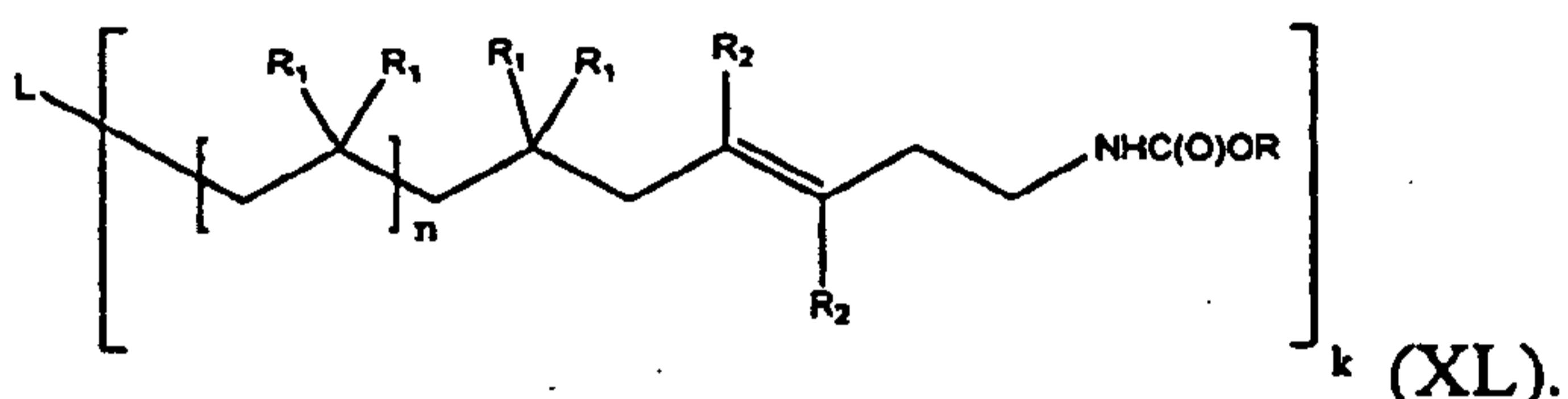
Values and preferred values of the variables in formulas (XXXIX) and (XXXIXa) are as defined above with respect to formulas (IIIa) and (XVI).

In one embodiment, the present invention is a compound of formula (XL_a):



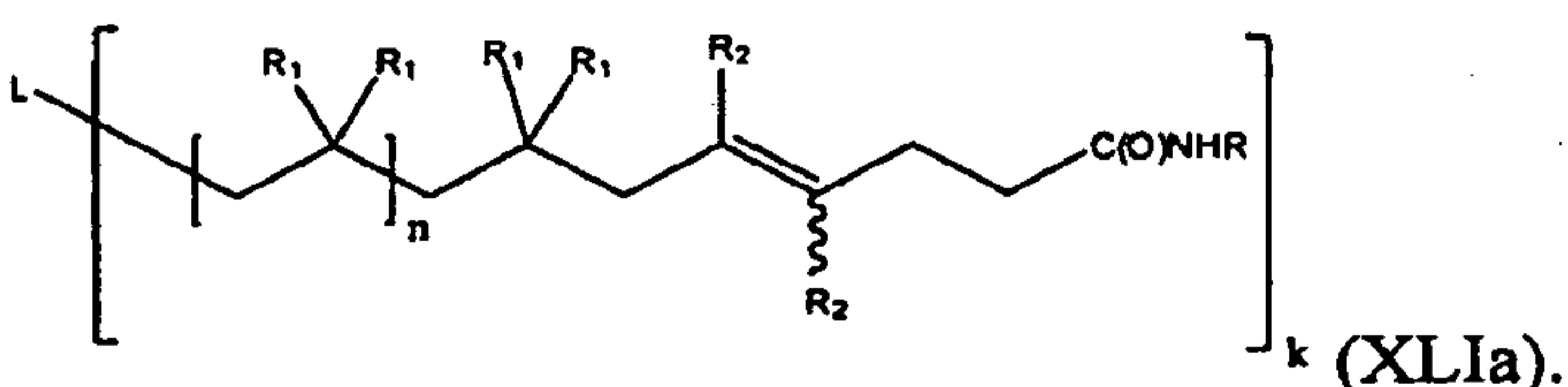
5

One embodiment of the compound of formula (XLa) is represented by formula (XL):

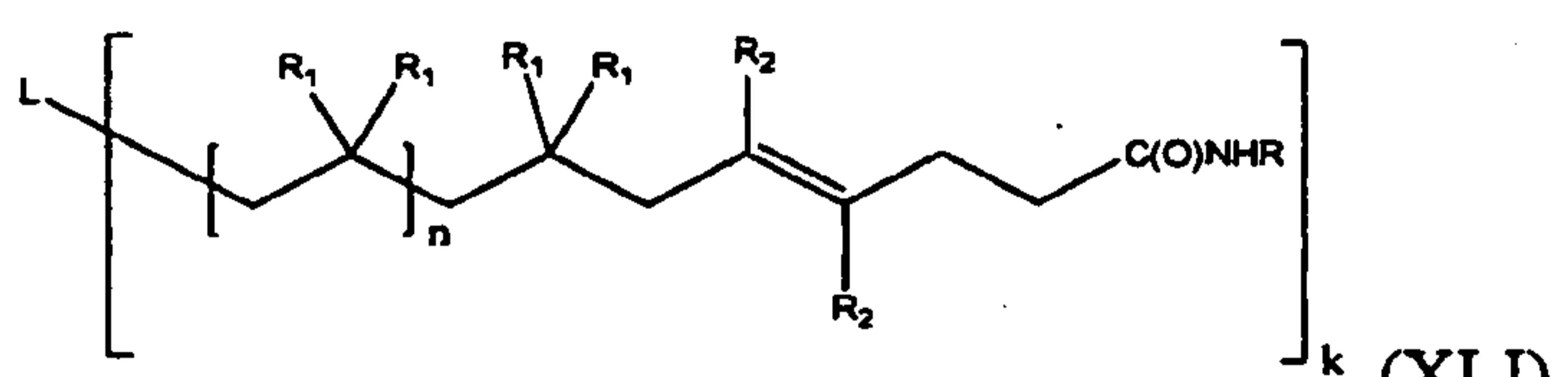


Values and preferred values of the variables in formulas (XL) and (XL_a) are as defined above with respect to formulas (III_a) and (XVII).

In one embodiment, the present invention is a compound of formula (XLIIa):



One embodiment of the compound of formula (XLla) is represented by formula (XLb):

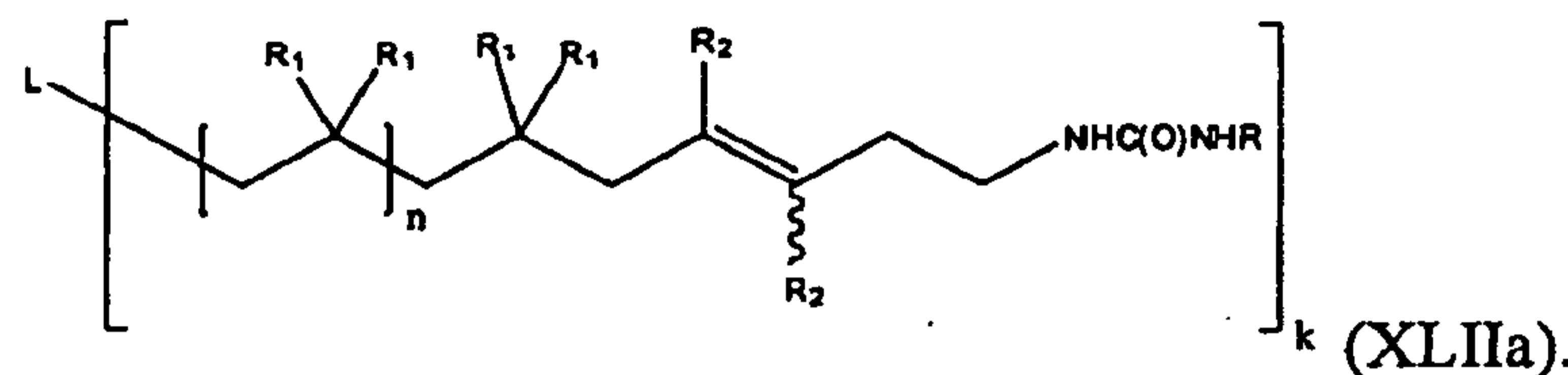


15

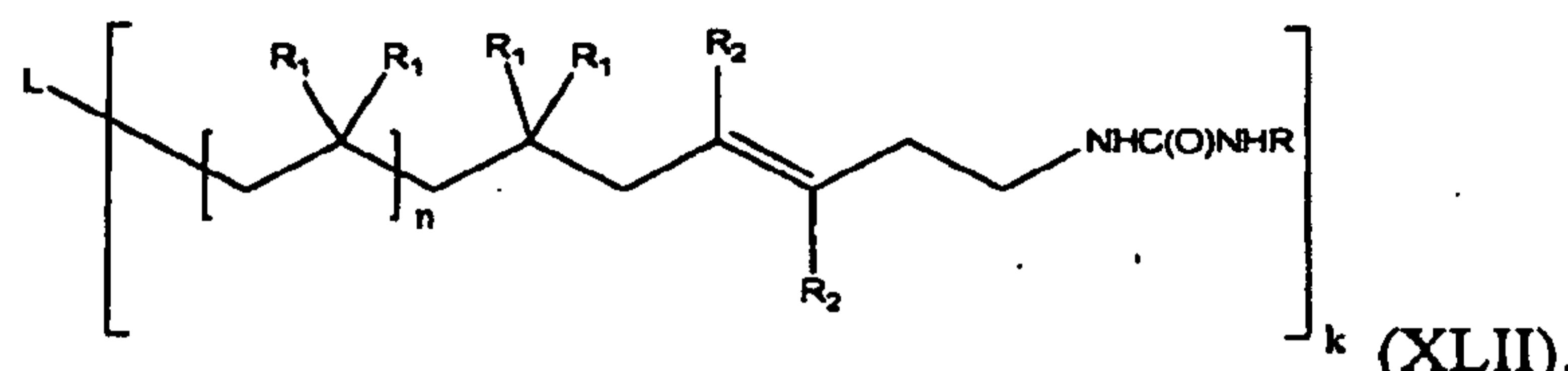
Values and preferred values of the variables in formulas (XLI) and (XLIa) are as defined above with respect to formulas (IIIa) and (XVIII).

In one embodiment, the present invention is a compound of formula (XLIIa):

- 29 -

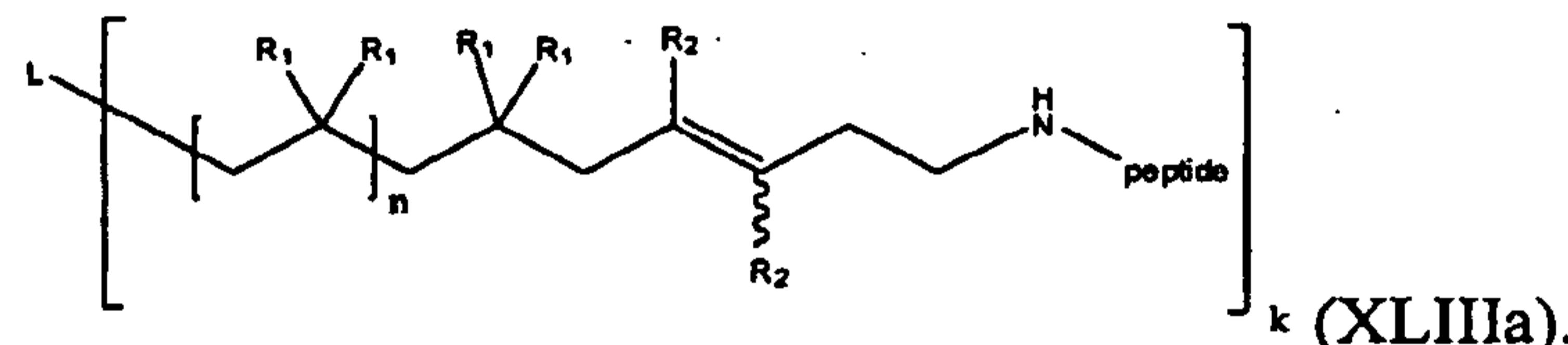


One embodiment of the compound of formula (XLIIa) is represented by formula (XLII):

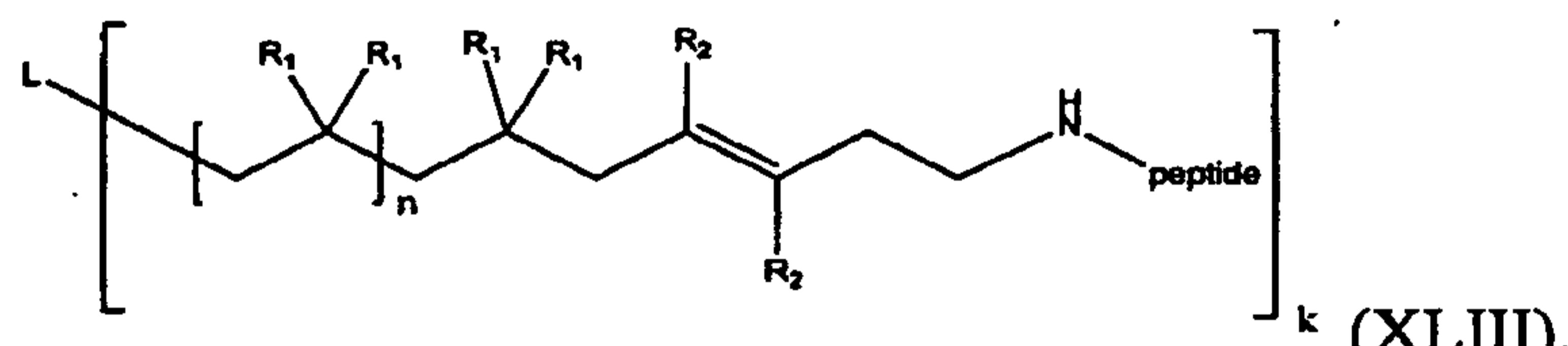


5 Values and preferred values of the variables in formulas (XLII) and (XLIIa) are as defined above with respect to formulas (IIIa) and (XIX).

In one embodiment, the present invention is a compound of formula (XLIIIa):



10 One embodiment of the compound of formula (XLIIIa) is represented by formula (XLIII):



Values and preferred values of the variables in formulas (XLIII) and (XLIIIa) are as defined above with respect to formulas (IIIa) and (XX).

15

ARTICLES OF MANUFACTURE

The α,ω -PIB-diol, diamine or diacid (or the corresponding polyfunctional PIBs) are valuable intermediates to thermoplastic polyurethane, polyester or polyamide elastomers or elastomer modified plastics. The α,ω -PIB-diamine (or the corresponding polyfunctional PIBs) may also be employed to cure epoxy resins or modify the properties of cured epoxy resins. End-functional PIBs containing azide and alkyne functionalities can be employed in the modular synthesis of block

- 30 -

copolymers by the Sharpless type click reaction (1,3 dipolar cycloaddition). Thymine functional PIBs can be chain extended or crosslinked by UV light catalyzed photodimerization. PIB based amphiphilic block copolymers, such as PIB-block-PEO, are useful as surfactants.

5 The above polymers of the present invention exhibit improved properties. For example, thermoplastic polyurethanes obtained from polymeric diols presently employed as materials for the soft segments, i.e., polyester diols, polyether diols and polydiene diols, suffer from serious limitations. The polyester based polyurethane is prone to hydrolytic degradation, the polyether component undergoes oxidative degradation *in vivo* and polydienes suffer from poor thermal and oxidative stability.
10 In contrast PIB has excellent thermal, oxidative and biostability.

The thermoplastic polyurethanes, polyesters or polyamides of the present invention are potential new thermoplastic elastomers, other polymeric materials and biomaterials. In some embodiments, the article of manufacture is an insertable or
15 implantable medical device, e.g., a catheter, an endotracheal tube, a tracheostomy tube, a wound drainage device, a wound dressing, a stent coating, an implant, an intravenous catheter, a medical adhesive, a shunt, a gastrostomy tube, medical tubing, cardiovascular products, heart valves, pacemaker lead coating, a guidewire, or urine collection devices. In medical devices from which a therapeutic agent is
20 released, certain compositions will also exhibit an appropriate release profile and therefore these materials are also useful as medical drug eluting articles and drug eluting coatings.

In some embodiments, thermoplastic polyurethanes, polyesters or polyamides of the invention can be melt-processed, for example, by injection molding and extrusion. Compositions used for this method can be used alone or compounded with any other melt-processable material for molding.

The thermoplastic polyurethanes, polyesters or polyamides of the invention can also be coated onto preformed articles. When used as a coating, the copolymers can be applied by any means, including those methods known in the art. For
30 example, a composition comprising the thermoplastic polyurethanes, polyesters or polyamides of the invention can be brushed or sprayed onto the article from a

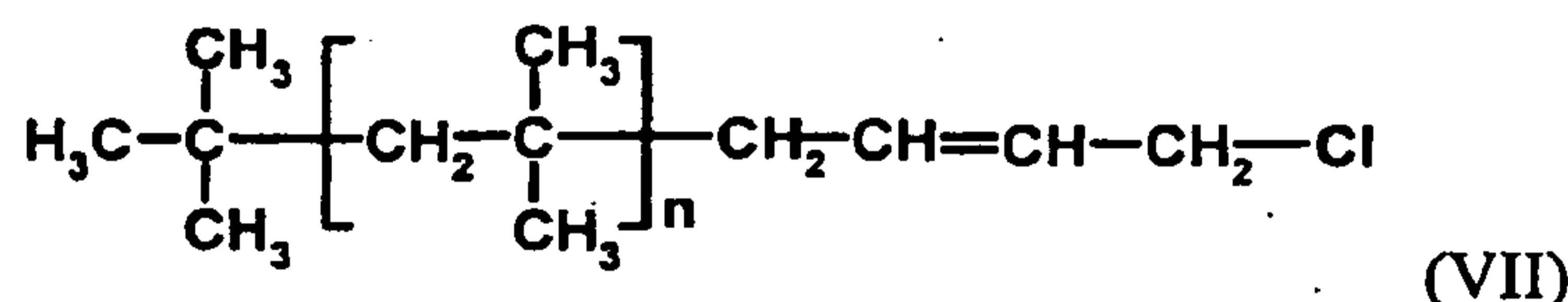
- 31 -

solution, or the article can be dipped into the solution containing the copolymers of the invention.

EXEMPLIFICATION

5 Synthesis of PIB-AllylCl and α , ω -dichloroallyl PIB (ClAllyl-PIB-AllylCl)

First, isobutylene (IB) was polymerized in hexanes/methyl chloride 60/40 (v/v) at -80°C using $[\text{IB}] = 0.04 \text{ M}$, $[\text{2-chloro, 2,4,4-trimethylpentane, TMPCl}] = 0.01 \text{ M}$, $[\text{2,6-di-}tert\text{.-butylpyridine, DTBP}] = 0.006 \text{ M}$ and $[\text{TiCl}_4] = 0.036 \text{ M}$ for 60 minutes and then 1,3-butadiene (BD) at $[\text{BD}] = 0.04 \text{ M}$ at -80°C was added. After 10 6 hours the reaction was quenched with pre-chilled methanol. Quantitative crossover reaction from living polyisobutylene (PIB) chain end to 1,3-butadiene followed by instantaneous termination (absence of multiple addition of BD) and selective formation of 1,4-addition product was obtained (conversion of IB = 100 %, $M_{n,\text{GPC}} = 3200$, $M_{n,\text{NMR}} = 2800$, PDI = 1.07). The ^1H NMR analysis of the product 15 showed the exclusive formation PIB-AllylCl (VII):



ClAllyl-PIB-AllylCl was synthesized similarly, using 5-*tert*-butyl-1,3-*bis*(1-chloro-1-methylethyl) benzene instead of TMPCl.

20

Halogen exchange reaction

Halogen exchange reaction was carried out in a toluene/acetone mixture (65/35, v/v) using a large excess ($[\text{LiBr}]/[\text{PIB-AllylCl}] = 200$) of anhydrous LiBr under a dry nitrogen atmosphere. A typical experiment is as follows: In a two-necked round-bottomed flask, PIB-AllylCl (5 g, 1% w/v), LiBr (31 g), toluene (325 mL), and acetone (175 mL) were placed and refluxed with stirring. After 12 hours, the solution was cooled to room temperature. Then, the solvent was evaporated under reduced pressure. Excess LiBr was removed by washing with distilled water. The polymer was purified by precipitation using a Hex/methanol system twice. The 30 ^1H NMR analysis of the product showed complete exchange of Cl to Br.

- 32 -

Hydrolysis of PIB-AllylX (X= Cl or Br)

In a typical experiment 0.5 g of PIB-AllylX was dissolved in 10 mL tetrahydrofuran. Next, 1-10 ml of KOH solution (1, 5, 10 and 50%) in water was 5 added and the reaction was carried out for a predetermined time at a predetermined temperature. Experiments carried out at temperatures higher than the boiling point of tetrahydrofuran were carried out in pressure reactors. After the reaction hexanes was added and the solution was washed with water until neutral. The solution was dried on anhydrous NaSO₄ and the polymer was recovered by evaporating hexanes 10 on the rotavap. The product was characterized by ¹H NMR and FTIR spectroscopy.

Results

PIB-AllylCl, 24 hours, 100 °C

KOH conc., %	Hydrolysis Yield, %
1	10
5	34
10	27

15

PIB-AllylCl, 24 hours, 130 °C

KOH conc., %	Hydrolysis Yield, %
5	87
10	63

PIB-AllylBr, 24 h, reflux temperature (65 °C)

20

KOH conc., %	Hydrolysis Yield, %
1	15
5	25
10	33
50	37

- 33 -

PIB-AllylBr, 24 h, 130 °C

KOH conc., %	Hydrolysis Yield, %
1	100

5 Hydrolysis of XAllyl-PIB-AllylX

In a typical experiment 0.1g dihaloallyl PIB dissolved in 10 mL THF was placed in a Parr pressure reactor (capacity 125mL) and 10 ml of 1% KOH solution was added to it. The reaction was then heated and allowed to proceed at 130 °C. After predetermined times the reactor was cooled to room temperature and the solvent was removed under reduced pressure. The polymer was dissolved in hexanes and washed with distilled water. The organic layer was passed dried on anhydrous sodium sulfate and concentrated under reduced pressure to yield the crude product. The crude product was purified by re-precipitation in hexanes/methanol and the polymer was dried under vacuum. According to ¹H NMR spectroscopy complete hydrolysis was accomplished in 3h for BrAllyl-PIB-AllylBr and in 24 h for ClAllyl-PIB-AllylCl.

Synthesis of PIB-allyl-methoxide

Dry THF (10 mL) was taken in a 100 mL three necked round bottomed flask fitted to a reflux condenser. A continuous nitrogen gas flow was maintained throughout the course of reaction. To it PIB-allyl-chloride (200 mg, 0.07 mmol) was added and the mixture was stirred till a homogenous solution was obtained. Dry MeOH was added to the solution in dropwise manner till turbidity occurs. Further 3-4 drops of dry THF was added to the mixture to obtain a clear solution. To the reaction mixture KOH (180 mg, 3.21 mmol) was added and the mixture was refluxed for 5 hours. The reaction was stopped and cooled to room temperature. The excess THF was distilled and the sticky mass obtained was dissolved in hexane and re-precipitated in methanol. The process was repeated thrice to remove the inorganic impurities. The solid was then kept under vacuum to remove the traces of solvents.

- 34 -

Physical state: Sticky solid, Yield: 87%, NMR (CDCl₃, ppm, δ): 5.75, 5.55, 3.90, 3.35, 2.05, 1.45, 1.1.

Synthesis of PIB-block-PEG

5 PIB-allyl-chloride (200 mg, 0.07 mmol) was dissolved in dry THF (20 mL) and to it PEG-OH (420 mg, 0.21 mmol) was added. The mixture was kept under nitrogen atmosphere and KOH (960 mg, 17.5 mmol) was added to it with constant stirring. The stirring mixture was set to reflux for 48 h. The reaction was stopped and cooled to room temperature. The mixture was filtered and the filtrate was kept
10 under reduced pressure to evaporate the solvent. The residue was dissolved in chloroform and washed with water to remove excess PEG-OH. The organic layer was passed through sodium sulfate and evaporated to get a sticky white liquid. According to ¹H NMR studies 100% conversion with respect to PIB-allyl-chloride was achieved.

15 In a modified procedure 1:1 equivalent of PIB-allyl-chloride and PEG-OH were reacted for 16 h under nitrogen atmosphere using a temperature range of 0 °C to ambient temperature with 250 molar equivalent of sodium hydride and 50 equivalent of tetrabutylammonium bromide. The ¹H NMR spectrum showed 93% coupling efficiency with respect to the PIB-allyl-chloride.

20

Synthesis of PIB-allyl-azide

Dry THF (10 mL) was taken in a 100 mL three necked round bottomed flask fitted to a reflux condenser. A continuous nitrogen gas flow was maintained through out the course of reaction. To it PIB-allyl-chloride (200 mg, 0.07 mmol) was added and
25 the mixture was stirred till a homogenous solution was obtained. Dry DMF was added to the solution in dropwise manner till precipitation occurs. Further dry THF was added to the mixture to obtain a clear solution. To the stirring mixture NaN₃ (200 mg, 3.08 mmol) was added and the mixture was heated at 50 °C for 3 hours and room temperature for 8 h. The reaction was stopped and cooled to room temperature.
30 The excess THF was distilled and the sticky mass obtained was dissolved in hexane and re-precipitated in methanol. The process was repeated thrice to remove the inorganic impurities. The product was then vacuum dried at room temperature.

- 35 -

Physical state: Sticky solid; Yield: 91%; NMR (CDCl₃, ppm, δ): 5.82, 5.55, 3.74, 2.08, 1.45, 1.15; FT-IR (thin film, cm⁻¹): 2952 (-CH str), 2097 (-N₃ str), 1472, 1389, 1366, 1231, 762.

5 Synthesis of PIB-allyl-phthalimide

PIB-allyl-chloride (272 mg, 0.095 mmol) was dissolved in dry THF (9 mL) and to it 3 mL of dry DMF was added and the mixture was stirred at room temperature. To the stirring mixture potassium phthalimide (278 mg, 1.5 mmol) was added and the mixture was set to reflux under nitrogen atmosphere for 12 hours. The 10 reaction was stopped and cooled to room temperature. The excess THF was evaporated and methanol was added to the sticky mass left over. The precipitate formed was separated and dissolved in hexane. The solution was filtered and the filtrate was re-precipitated in methanol. The sticky solid obtained was further purified by dissolution and re-precipitation method using hexane and methanol.

15 Physical state: Sticky solid; Yield: 83%; NMR (CDCl₃, ppm, δ): 7.9, 7.7, 5.85, 5.5, 4.3, 2.0, 1.4, 1.0.

Deprotection of phthalimide to PIB-allyl-amine

PIB-allyl-phthalimide (210 mg, 0.07 mmol) was dissolved in THF (10 mL) 20 and to it hydrazine hydrate (190 mg, 3.8 mmol) was added and the mixture was refluxed for 24 h. The reaction was stopped and cooled to room temperature. The mixture was added with a solution of KOH (320 mg) in 2 mL of water and was further stirred for 30 min. THF was evaporated under reduced pressure and methanol was added to it. The precipitate obtained was further purified by dissolving in 25 hexane and re-precipitating in methanol.

Physical state: sticky solid; NMR (CDCl₃, ppm, δ): 5.6, 3.3, 2.7, 2.0, 1.4, 1.0.

Synthesis of PIB-allyl-carboxylic acid

30 Na (112 mg, 4.87 mmol) was taken in a three necked round bottomed flask (A) kept under nitrogen atmosphere. The temperature of the system was maintained at 0 °C with the help of an ice bath. Dry methanol (2 mL) was added to it in

- 36 -

dropwise manner with constant stirring till the sodium becomes soluble. In another 100 mL rb flask (B) kept under nitrogen atmosphere, dry THF (10 mL) was taken followed by dimethylmalonate (522 mg, 5.67 mmol). The sodium methoxide solution was now transferred to the flask (B) with the help of a syringe and the 5 mixture was stirred for 30 min at room temperature. The color of the solution becomes milky indicating the formation of sodium salt of dimethylmalonate. To it PIB-allyl-Cl (270 mg, 0.09 mmol) in dry THF (2 mL) was added slowly with stirring. The mixture was set to reflux for 12 hours. The reaction was stopped and cooled to room temperature. The solution was acidified till pH 4 by adding diluted 10 HCl. The excess THF was evaporated under reduced pressure. The mass was added to methanol and the liquid portion was decanted of. The sticky solid was purified further by dissolution and reprecipitation method using hexane as solvent and methanol as non solvent. The polymer was further dissolved in 20 mL of THF and 3 mL of concentrated HCl was added to the solution in dropwise manner with stirring. 15 The mixture was then refluxed for 24 hours. The product was neutralized with sodiumbicarbonate solution and the THF was evaporated. The sticky mass was dissolved in chloroform and was washed with water. The organic layer was passed through sodium sulfate and concentrated under reduced pressure to get a white sticky solid.

20 Physical state: sticky solid; NMR (CDCl₃, ppm, δ): 5.65, 5.4, 2.7, 2.0; FT-IR (thin film, cm⁻¹): 2953 (-CH str), 1717 (-COOH str), 1471, 1389, 1366, 1231, 762.

Synthesis of PIB-allyl-malonic ester from PIB-allyl-bromide

PIB-allyl-bromide (172 mg, 0.06 mmol) was dissolved in 15 mL of dry THF 25 and 2 mL of dry acetonitrile was added to it. To the solution, K₂CO₃ (215 mg, 1.55 mmol) was added and the mixture was set to reflux. To the reflux mixture methyl malonate (210 mg, 1.6 mmol) was added and the refluxing continued for 20 hours. The reaction was then stopped and cooled to room temperature. The mixture was filtered and the filtrate was concentrated under reduced pressure. The mass obtained 30 was purified by dissolving in hexane and reprecipitating in methanol.

Physical state: sticky white solid; NMR (CDCl₃, ppm, δ): 5.6, 5.35, 3.75, 3.45, 2.65, 1.95, 1.4, 1.0.

Synthesis of propargyl derivative of PIB-allyl-chloride

PIB-allyl-chloride (212 mg, 0.074 mmol) was dissolved in 10 mL of dry THF and to it KOH (230 mg, 4.1 mmol) was added followed by propargyl alcohol (252 mg, 4.5 mmol). The mixture was set to reflux for 18 h. The progress of the reaction was checked after 5, 10 and 18 hours using ^1H NMR spectroscopy, which indicated 50, 72 and 100% conversion respectively. The reaction was then stopped and cooled to room temperature. The excess THF was evaporated under reduced pressure. The sticky mass obtained was dissolved in hexane and precipitated in methanol. The process was repeated three times and the white sticky precipitate was kept under high vacuum to remove the traces of solvent trapped in the polymer matrix.

Physical state: sticky solid; Yield: 92 %; NMR (CDCl_3 , ppm, δ): 5.8, 5.55, 4.2, 4.1, 2.45, 2.0, 1.4, 1.0.

15

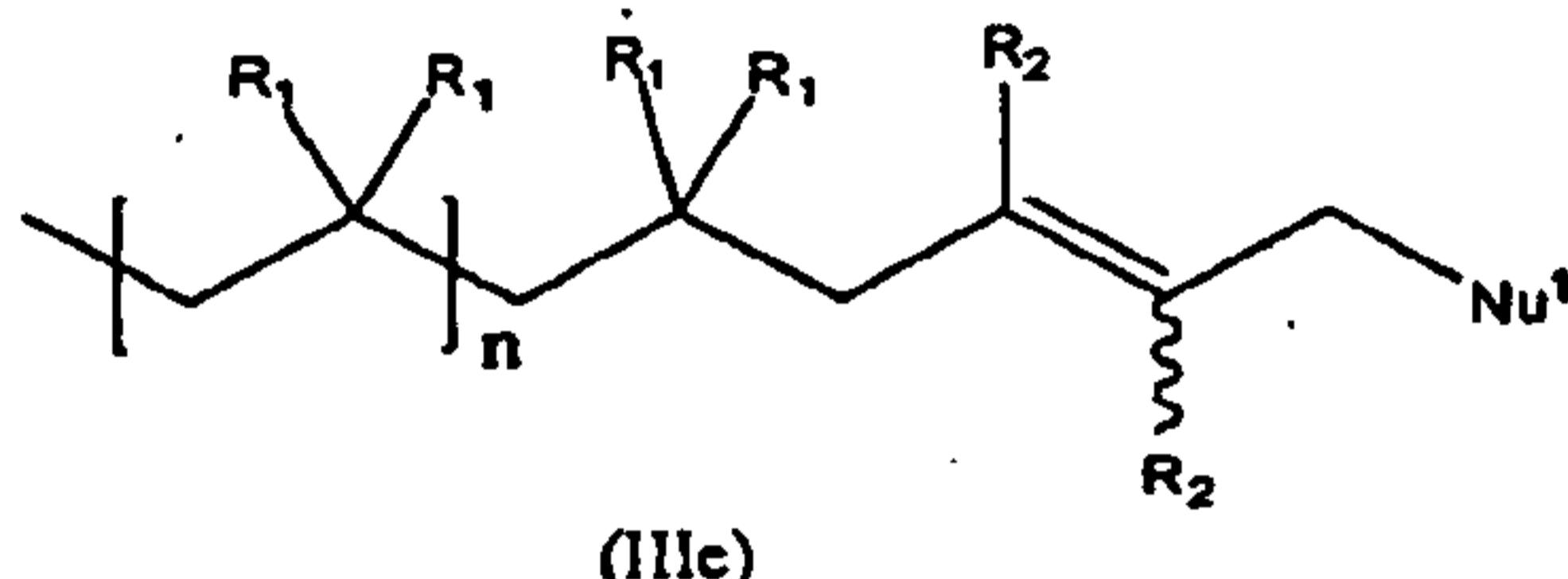
EQUIVALENTS

While this invention has been particularly shown and described with references to example embodiments thereof, it will be understood by those skilled in the art that various changes in form and details may be made therein without departing from the scope of the invention encompassed by the appended claims.

- 38 -

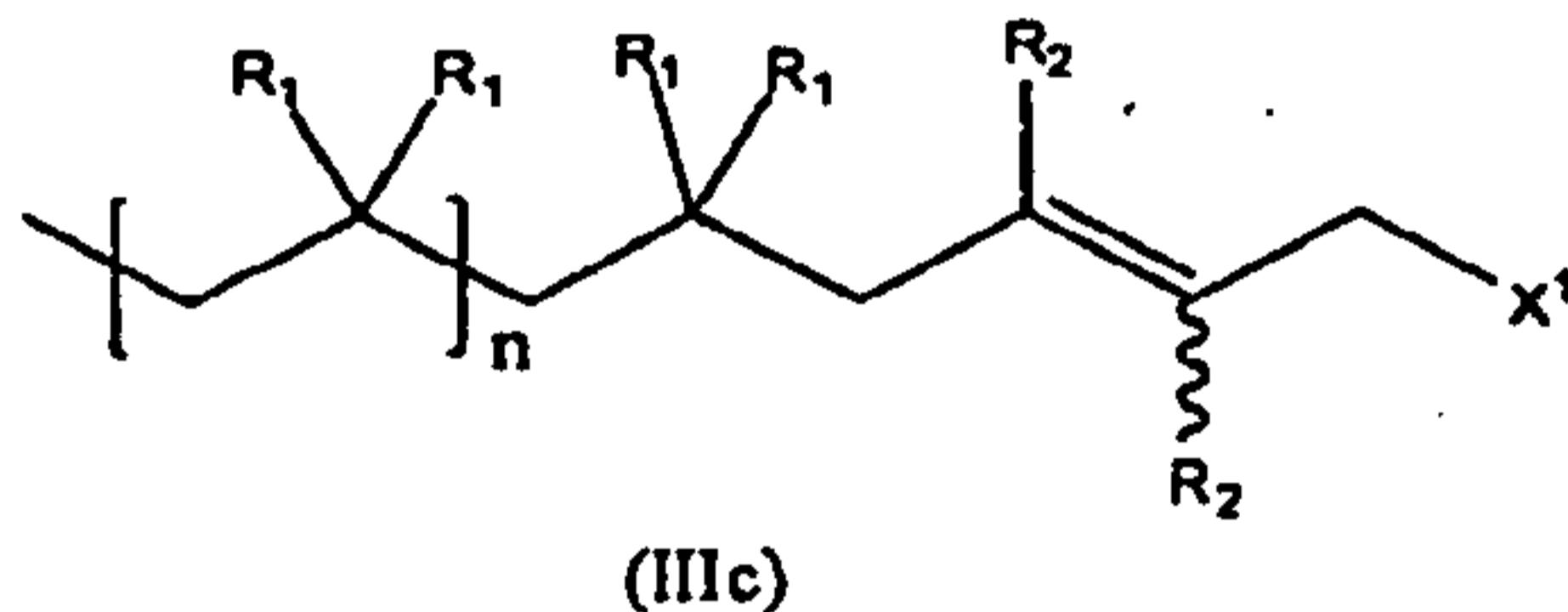
CLAIMS

What is claimed is:

1. A method of synthesizing a compound of formula (IIIe),

5

comprising a step of reacting a compound of formula (IIIC)

to nucleophilically substitute X¹ with Nu¹,

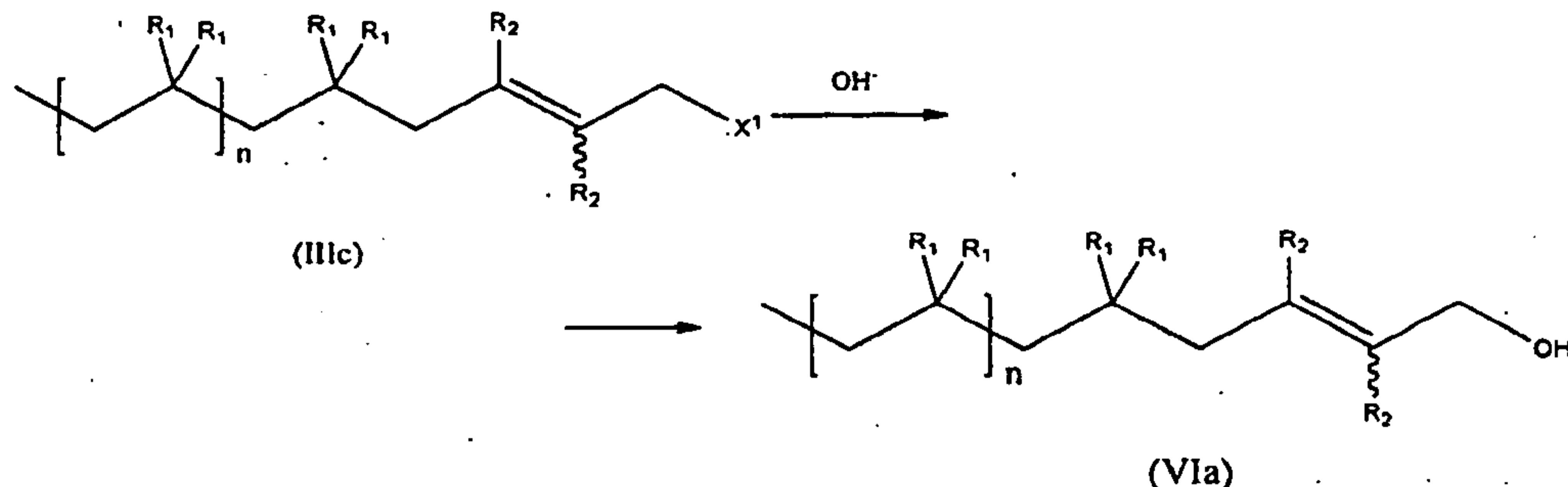
wherein:

10 R₁ for each occasion is independently H or a C1-C4 alkyl, an alkoxy or a substituted or unsubstituted aryl;R₂ for each occasion is independently H, X², -CH₂X², -CHX²₂, -CX²₃, -C≡N, or -NO₂;

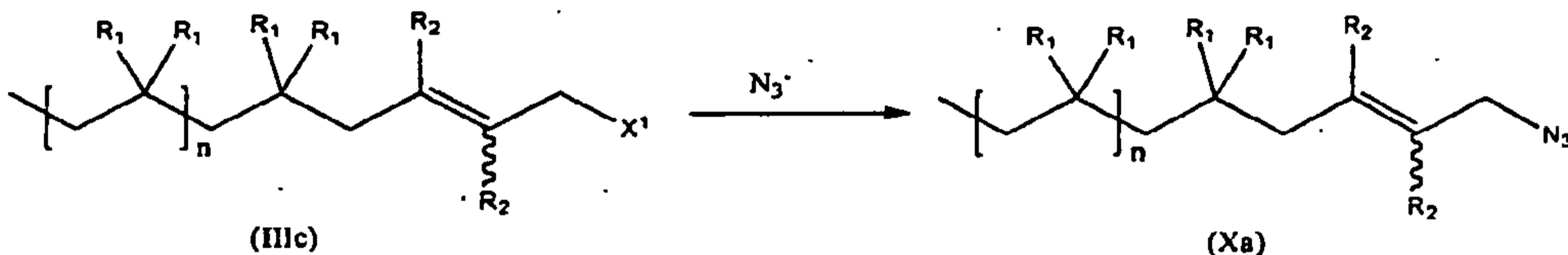
n is an integer not less than 2;

15 X¹ and X² are, for each occurrence, independently, a halogen; andNu¹ is selected from N₃-, NH₂-, HC₂CH₂-O-, HO-, R^aO-, thymine, -CH₂-C(O)OH, wherein R^a is a C1-C12 alkyl or a polymer or copolymer fragment.20 2. The method of Claim 1, wherein the compound of formula (IIIC) is reacted according to following scheme to nucleophilically substitute X¹ with -OH:

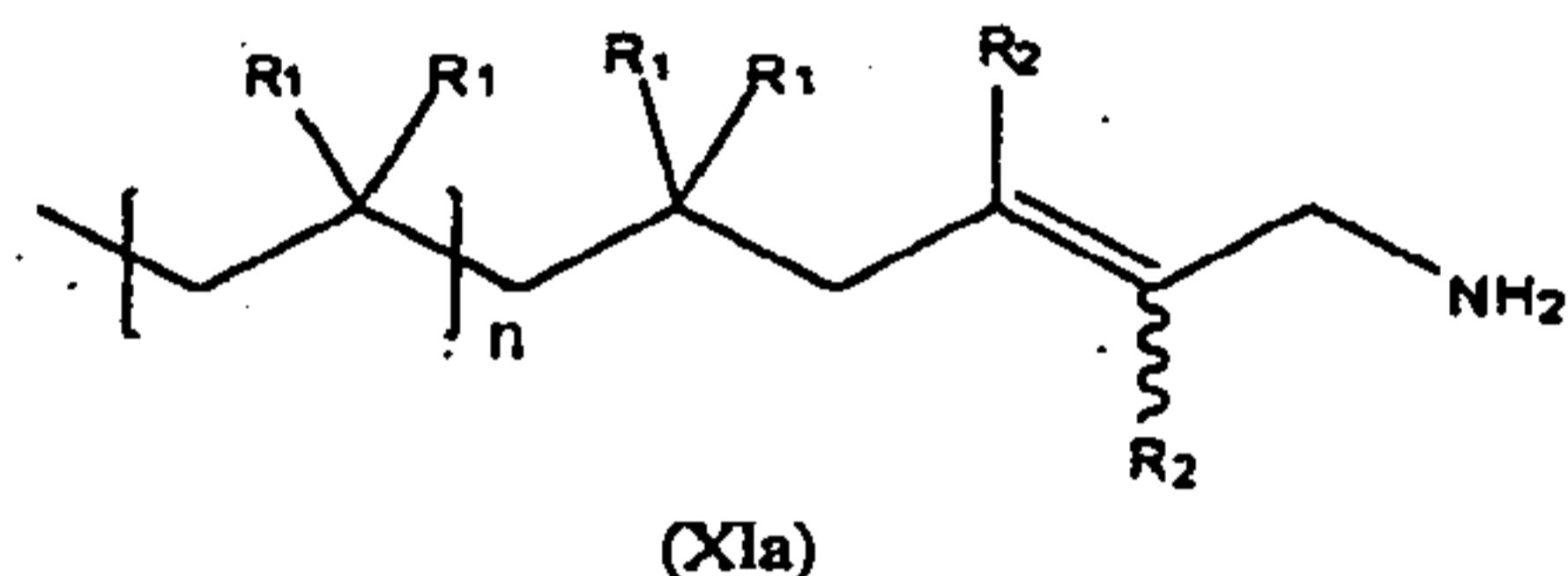
- 39 -



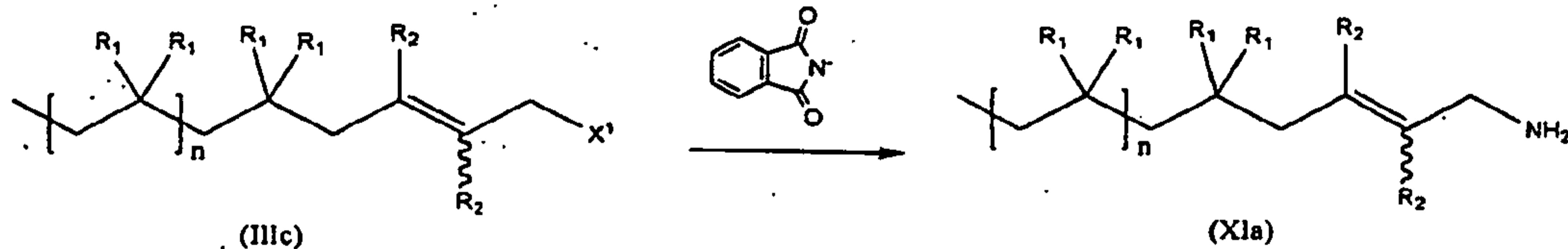
3. The method of Claim 1, wherein the compound of formula (IIIc) is reacted according to following scheme to nucleophilically substitute X' with N_3 :



4. The method of Claim 3, further including a step of reducing the compound of formula (Xa) to produce the compound of formula (XIa):

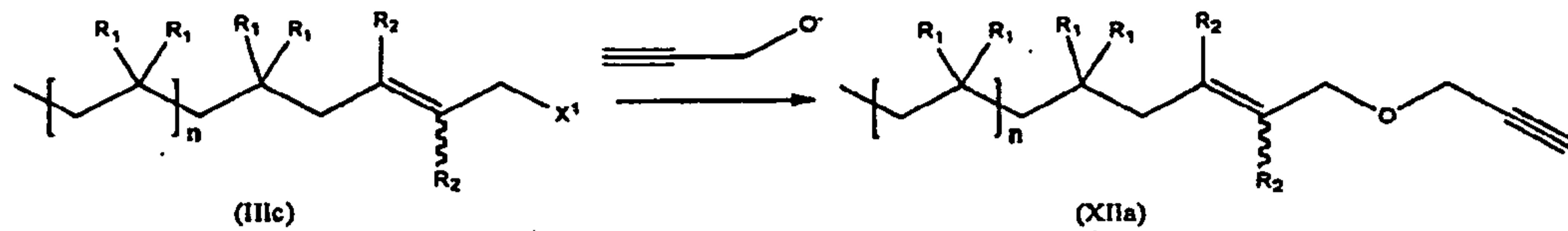


5. The method of Claim 4, wherein the compound of formula (IIIc) is reacted according to scheme below to nucleophilically substitute X^1 with $-NH_2$:

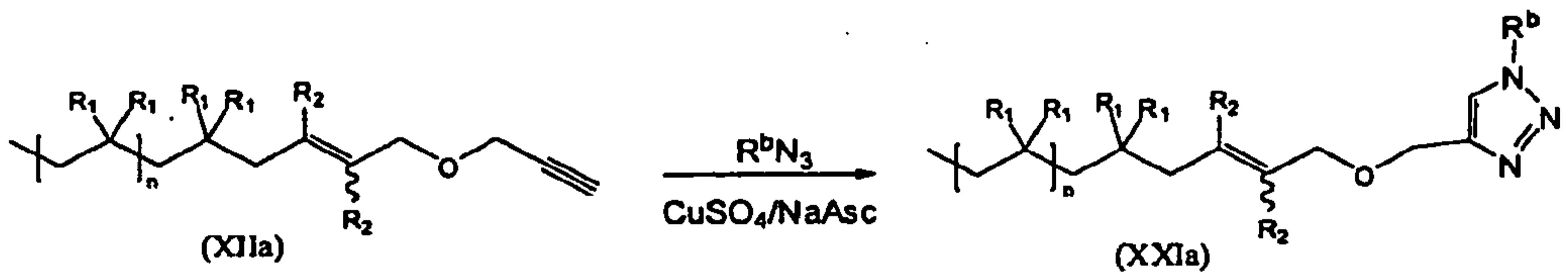


15 6. The method of Claim 1, wherein the compound of formula (IIIc) is reacted according to the following scheme to nucleophilically substitute X^1 with $-\text{OCH}_2\text{CCH}_3$:

- 40 -



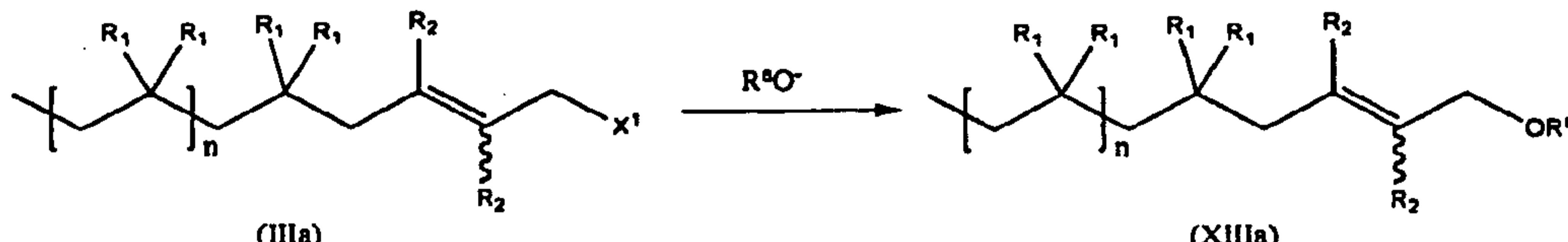
7. The method of Claim 6, further including the step of reacting the compound of formula (XIIa) with R^bN_3 to obtain a compound of formula (XXIa), according to the following scheme:



wherein R^b is an optionally substituted alkyl, an optionally substituted aryl, an optionally substituted heteroaryl or a polymer or copolymer fragment.

10 8. The method of Claim 7, wherein R^b is a straight or branched alkyl C_nH_{2n+1} , wherein $n = 1-100$, or phenyl, benzyl, thiophenyl, each optionally substituted by a halogen, -OH, -CN, -NH₃ or PEG.

15 9. The method of Claim 1, wherein the compound of formula (IIIc) is reacted according to the following scheme to nucleophilically substitute X^1 with $-OR^a$,



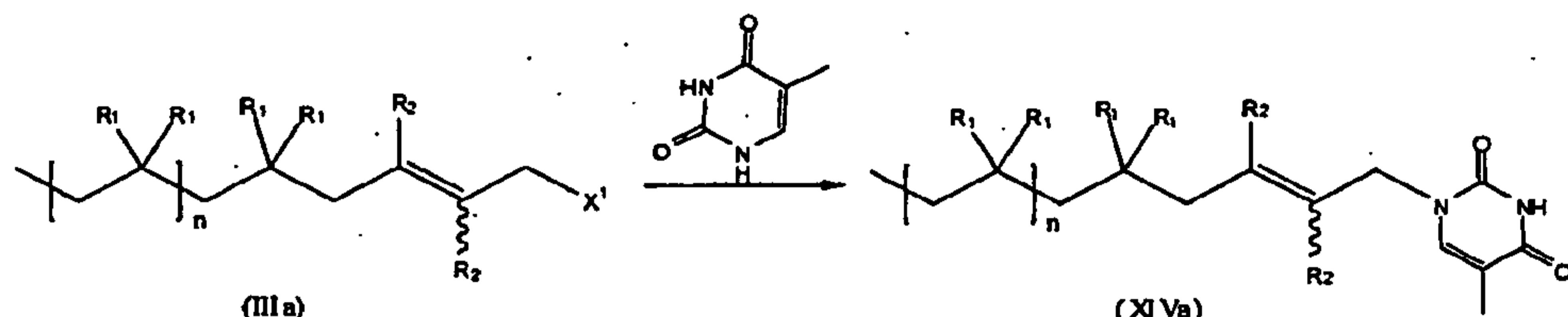
wherein R^a is a C1-C12 alkyl or a polymer or copolymer fragment.

20 10. The method of Claim 9, wherein R^a is a PEG fragment.

11. The method of Claim 9, wherein R^a is methyl, ethyl or polyethylene oxide fragment.

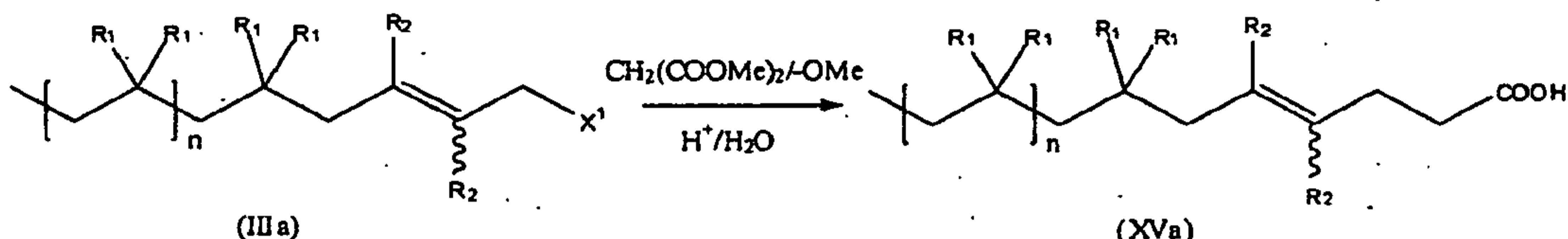
- 41 -

12. The method of Claim 1, wherein the compound of formula (IIIc) is reacted according to the following scheme to nucleophilically substitute X¹ with thymine:



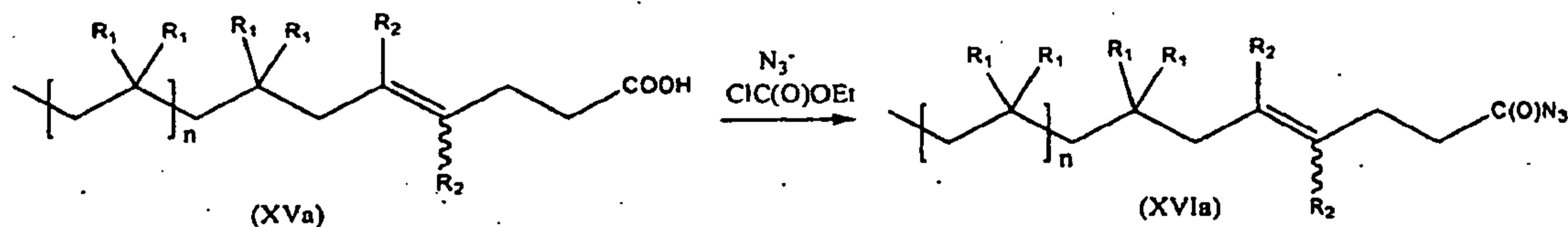
5

13. The method of Claim 1, wherein the compound of formula (IIc) is reacted according to the following scheme to nucleophilically substitute X^1 with $-\text{CH}_2\text{-COOH}$:



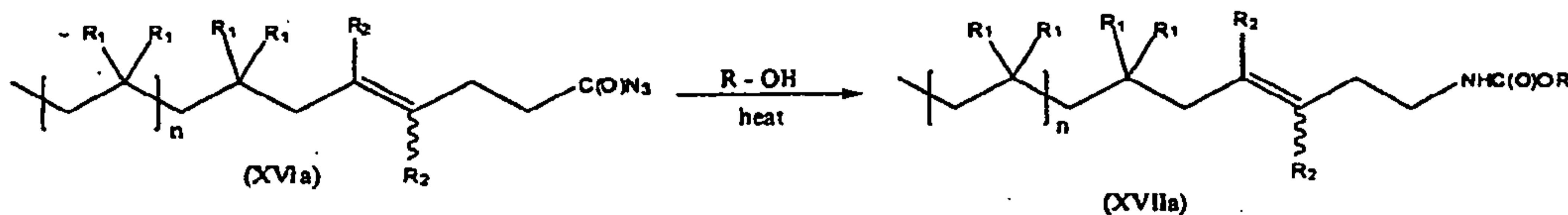
10

14. The method of Claim 13, further including the step of reacting the compound of formula (XVa) with an azide according to the following scheme to produce the compound of formula (XVIa):



15

15. The method of Claim 14, further including the step of reacting the compound of formula (XVIa) with an alcohol R-OH to produce the compound of formula (XVIIa):

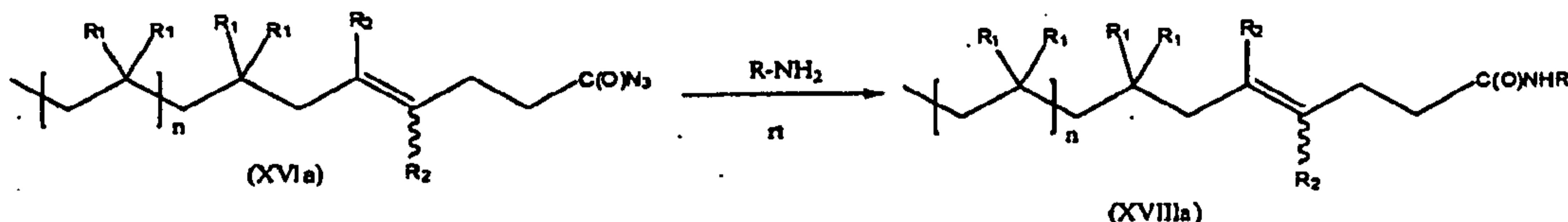


20

wherein R is a C1-C12 alkyl.

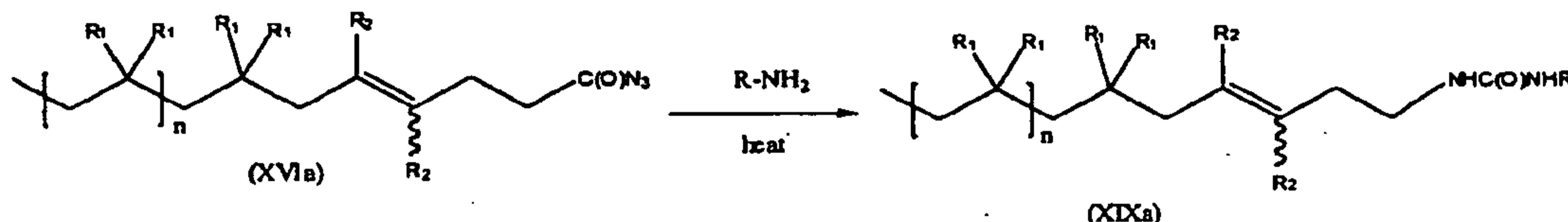
- 42 -

16. The method of Claim 14, further including the step of reacting the compound of formula (XVIa) with an amine of formula $R-NH_2$ to produce the compound of formula (XVIIIa):



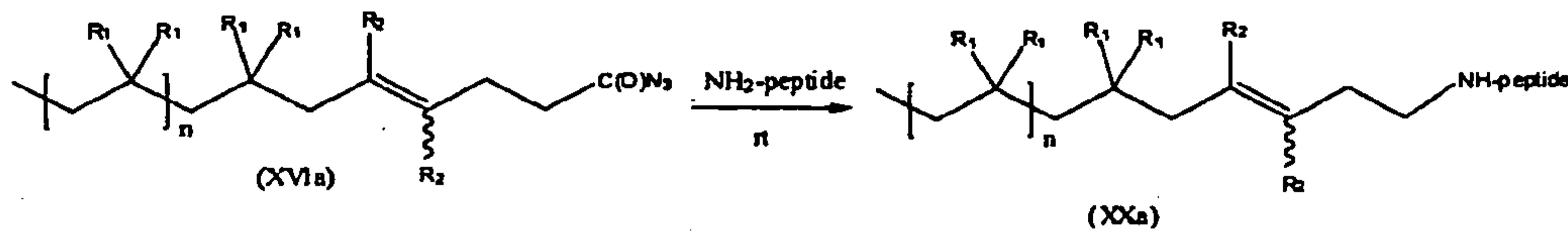
5

17. The method of Claim 14, further including the step of reacting the compound of formula (XVIa) with an amine of formula $R-NH_2$ to produce a compound of formula (XIXa):



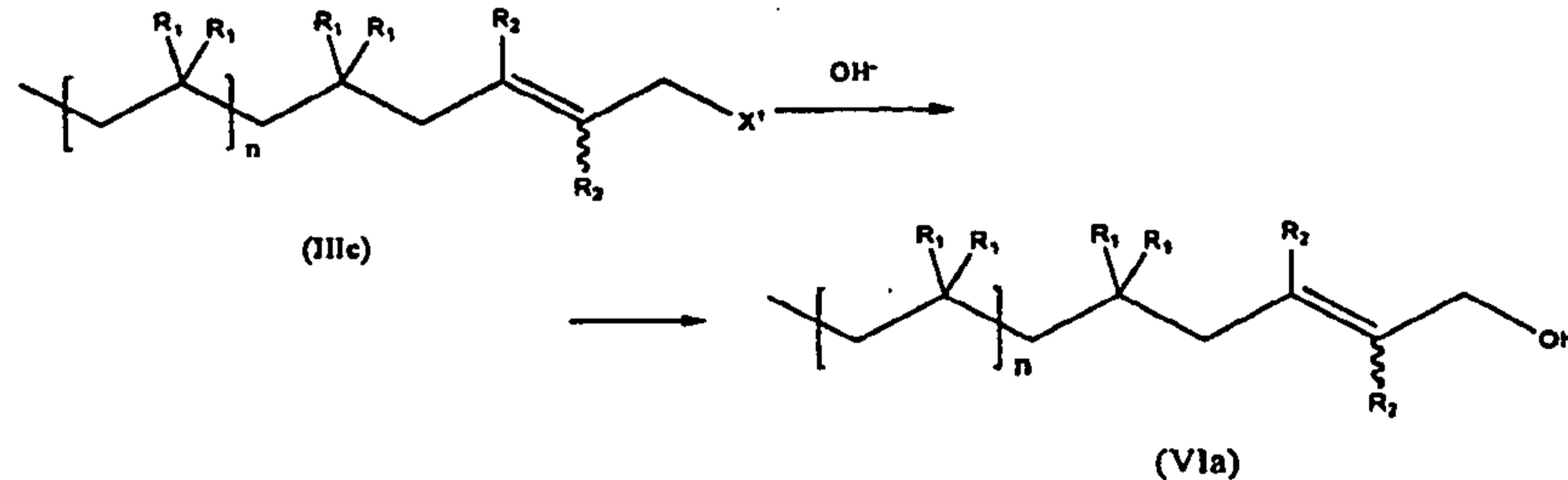
10

18. The method of Claim 14, further including the step of reacting the compound of formula (XVIa) with a peptide to produce a compound of formula (XXa):



15 19. A method of synthesizing hydroxyl functional polymers of formula (VI), comprising

hydrolyzing an endcapped polymer of formula (IIIc), having a halogenated endcap group, in the presence of a base, thereby producing a compound of formula (VIa):



20

wherein

- 43 -

R_1 for each occasion is independently H or a C1-C4 alkyl, an alkoxy or a substituted or unsubstituted aryl;

R_2 for each occasion is independently H, X^2 , $-\text{CH}_2\text{X}^2$, $-\text{CHX}^2_2$, $-\text{CX}^2_3$, $-\text{C}\equiv\text{N}$, or $-\text{NO}_2$;

5 n is an integer not less than 2; and

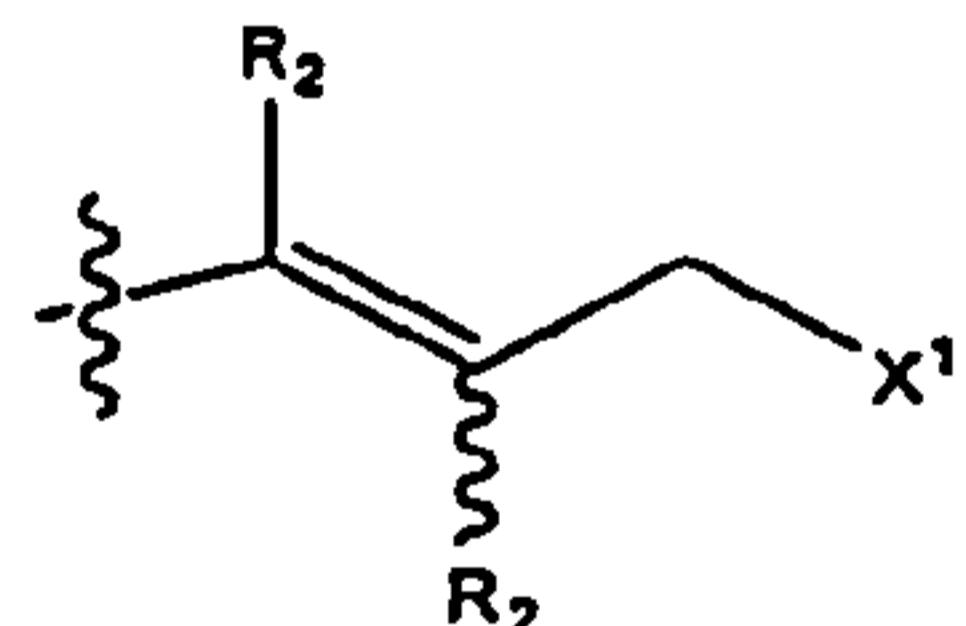
X^1 and X^2 are, for each occurrence, independently, a halogen.

20. The method of Claim 19, wherein the polymer of formula (IIIc) is polyisobutylene.

10 21. The method of claim 19, wherein the polymer of formula (IIIc) is a C₄ to C₇ isomonoolefin polymer.

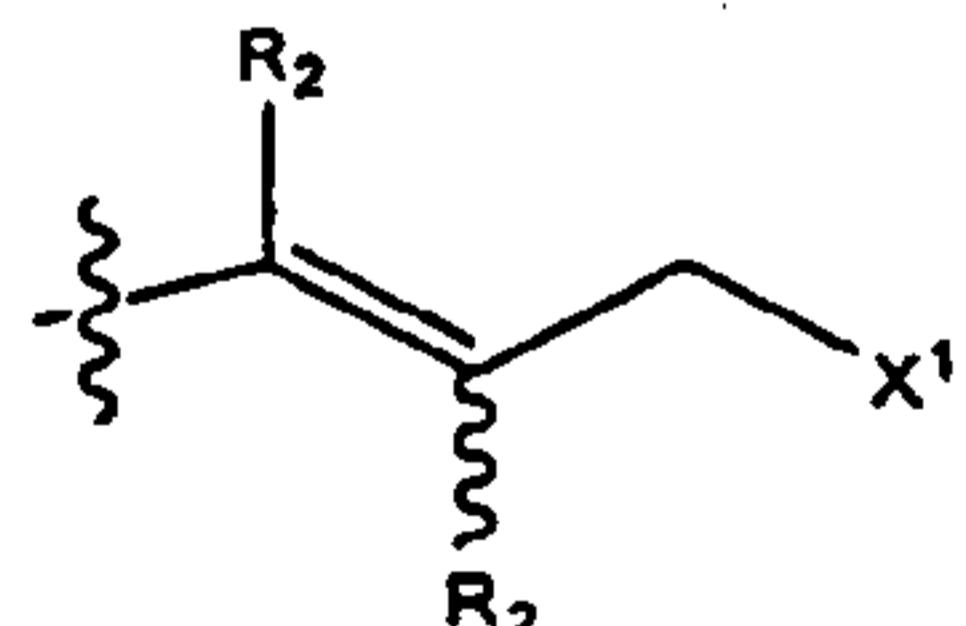
22. The method of Claim 19, wherein X^1 is Cl or Br.

15 23. The method of Claim 19 wherein the endcap group



is a chloroallyl group.

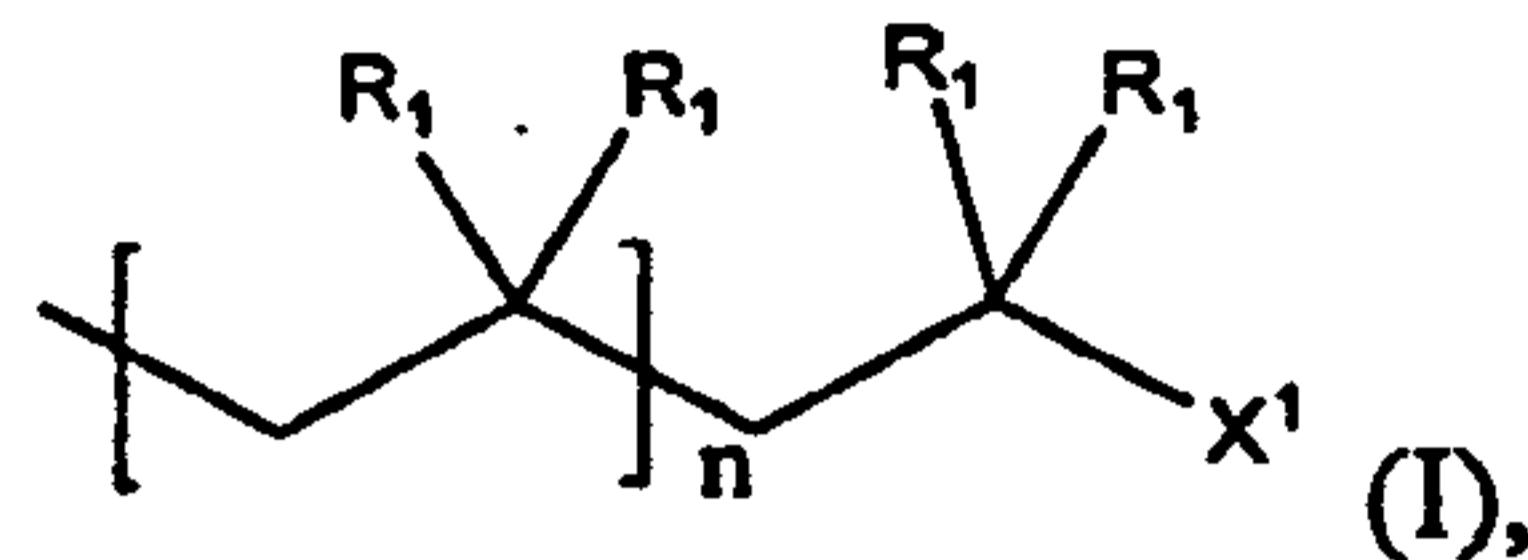
20 24. The method of Claim 19 wherein the endcap group



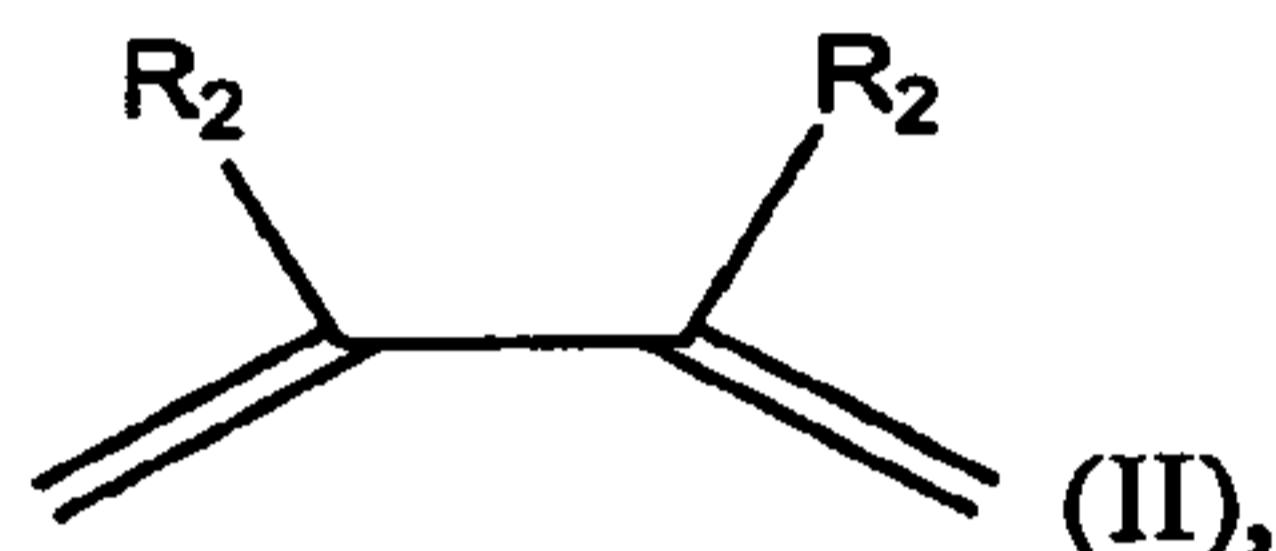
is a bromoallyl group.

25. The method of Claim 19, further including a step of producing the polymer of formula (IIIc) by reacting, in a solvent, a cationic living polymer of formula (I)

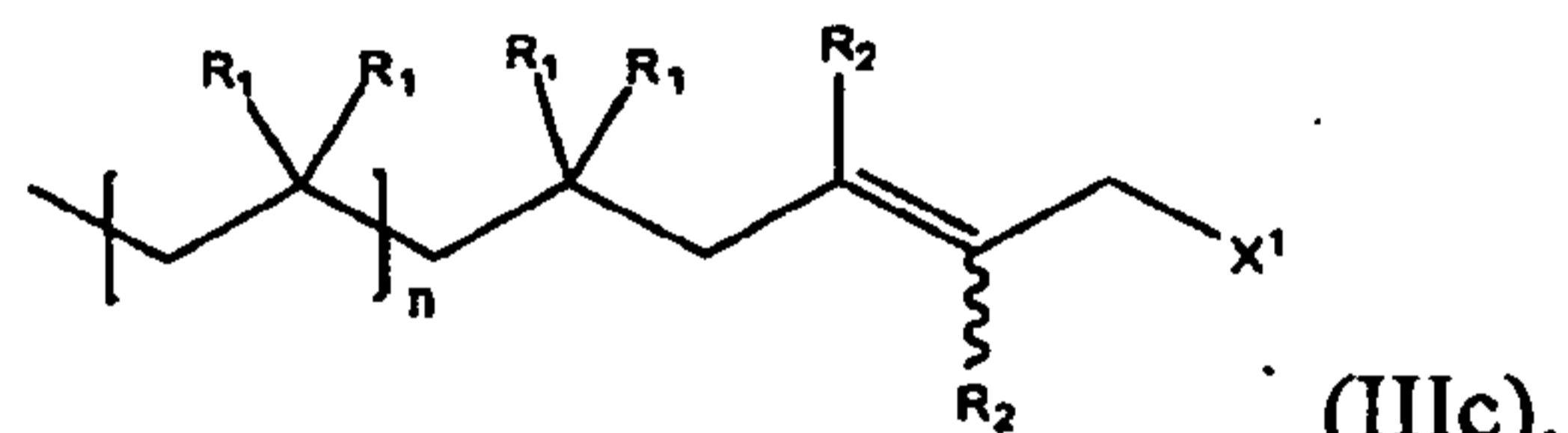
- 44 -



with an optionally substituted conjugated diene of formula (II) as an endcapping reagent, in the presence of a Lewis acid,



5 whereby the solvent causes termination by halogenation to be faster than the addition of additional molecules of the conjugated diene, thereby producing an endcapped polymer of formula (IIIc) having a halogenated endcap group



10

26. The method of Claim 25, further including the step of producing the cationic living polymer of formula (I) by reacting a cationically polymerizable monomer in the presence of a coinitiator.
- 15 27. The method of Claim 25, wherein the coinitiator is one or more of BCl_3 , TiCl_4 , and organoaluminum halides.
28. The method of Claim 25, wherein termination by halogenation is at least 10-fold faster than the addition of additional molecules of the conjugated diene.
- 20 29. The method of Claim 25, wherein the solvent comprises at least one component having a dielectric constant less than about 9.
- 25 30. The method of Claim 25, wherein the solvent is selected from one or more of hexane, cyclohexane, methylcyclohexane, methylchloride, n-butyl chloride, dichloromethane, toluene, and chloroform.

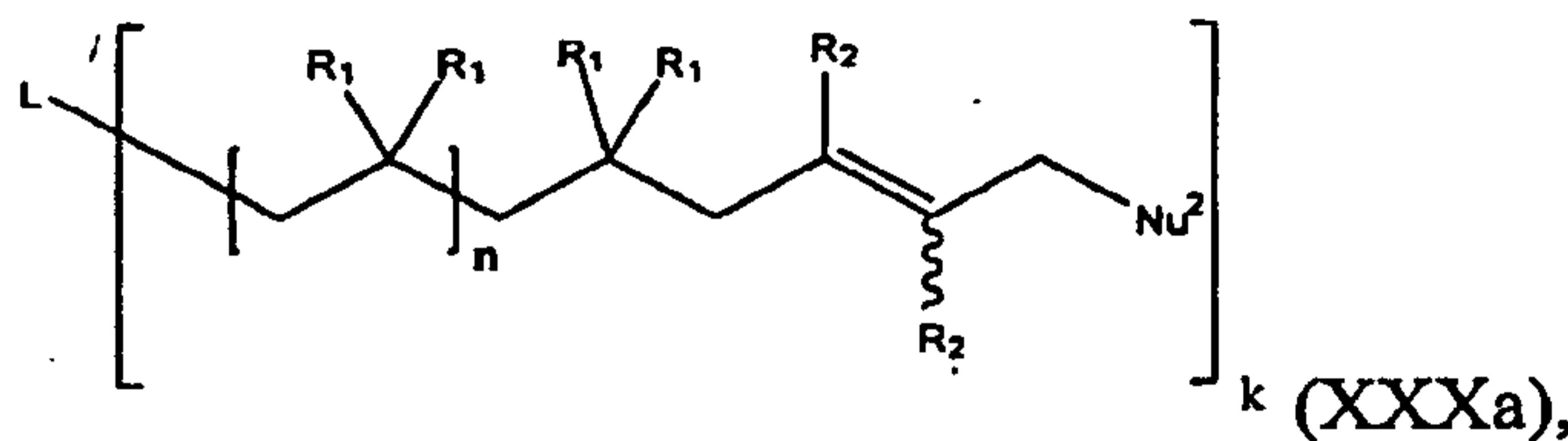
- 45 -

31. The method of Claim 19, wherein X^1 is Cl.
32. The method of Claim 31, wherein the hydrolysis is carried out at a
5 temperature from about 80 °C to about 120 °C.
33. The method of Claim 31, wherein the hydrolysis is carried out at a
temperature from about 100 °C to about 150 °C.
- 10 34. The method of Claim 31, wherein the hydrolysis is carried out for the
duration from 12 hours to 36 hours.
35. The method of Claim 31, wherein the hydrolysis is carried out in the
presence of from 1% to 25% alkali metal hydroxide by weight.
- 15 36. The method of Claim 19, wherein X^1 is Cl, the hydrolysis is carried out at a
temperature from about 80 °C to about 120 °C for the duration from 12 hours
to 36 hours in the presence of from 1% to 25% alkali metal hydroxide by
weight.
- 20 37. The method of Claim 36, wherein alkali metal hydroxide concentration is at
1-10% by weight, and the hydrolysis is carried out for 20-28 hours at 90-110
°C.
- 25 38. The method of Claim 19, wherein X^1 is Cl, the hydrolysis is carried out at a
temperature from 100 °C to 150 °C for the duration from 12 hours to 36
hours in the presence of 1% to 25% alkali metal hydroxide by weight.
39. The method of Claim 38, wherein alkali metal hydroxide concentration is at
30 1-10% by weight, and the hydrolysis is carried out for 20-28 hours at 120-
140 °C.

- 46 -

40. The method of Claim 19, wherein X^1 is Br.
41. The method of Claim 40, wherein the hydrolysis is carried out at a temperature from 60 °C to 100 °C.
5
42. The method of Claim 40, wherein the hydrolysis is carried out at a temperature from 100 °C to 150 °C.
43. The method of Claim 40, wherein the hydrolysis is carried out for the
10 duration from 1 hours to 10 hours.
44. The method of Claim 41, wherein the hydrolysis is carried out in the presence of from 0.5% to 60% alkali metal hydroxide by weight.
- 15 45. The method of Claim 19, wherein X^1 is Br, the hydrolysis is carried out at a temperature from 60 °C to 100 °C, for the duration from 12 hours to 36 hours, in the presence of from 0.5% to 60% alkali metal hydroxide by weight.
- 20 46. The method of Claim 45, alkali metal hydroxide concentration is at 40-60% by weight, and the hydrolysis is carried out for 20-28 hours at 55-75 °C.
47. The method of Claim 19, wherein X^1 is Br, the hydrolysis is carried out at a temperature from about 100 °C to about 150 °C, for the duration from 12
25 hours to 36 hours, in the presence of from 0.5% to 60% alkali metal hydroxide by weight.
48. The method of Claim 47, wherein alkali metal hydroxide concentration is at 0.5-1.5% by weight, and the hydrolysis is carried out for 20-28 hours at 120-
30 140 °C.
49. A functional polymer of formula (XXX):

- 47 -



wherein

n is an integer not less than 2;

k is an integer greater than or equal to 1;

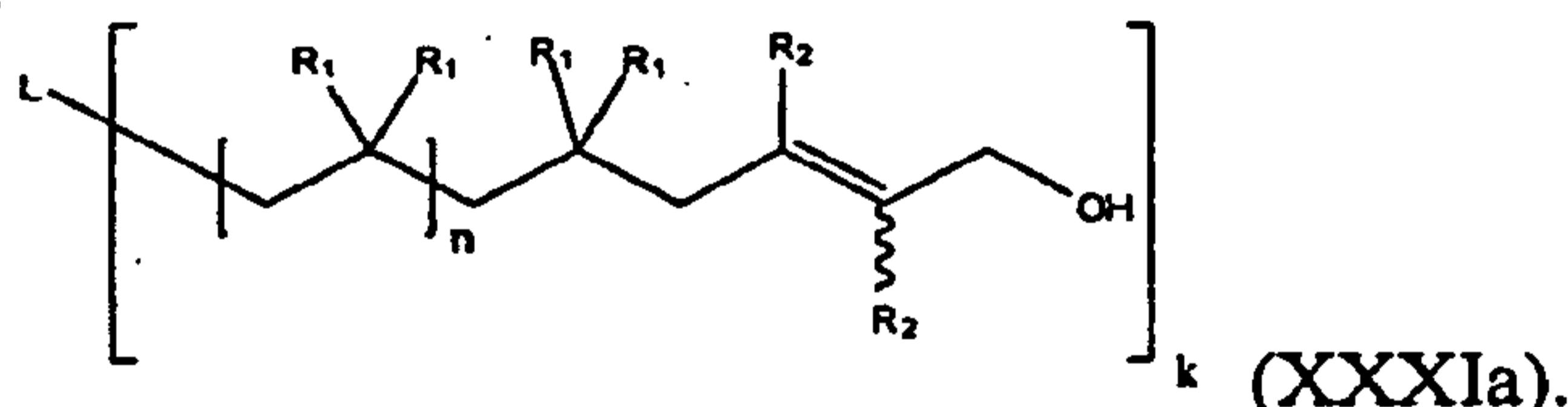
5 L is an initiator residue;

R1 for each occasion is independently H or a C1-C4 alkyl, an alkoxy or a substituted or unsubstituted aryl; and

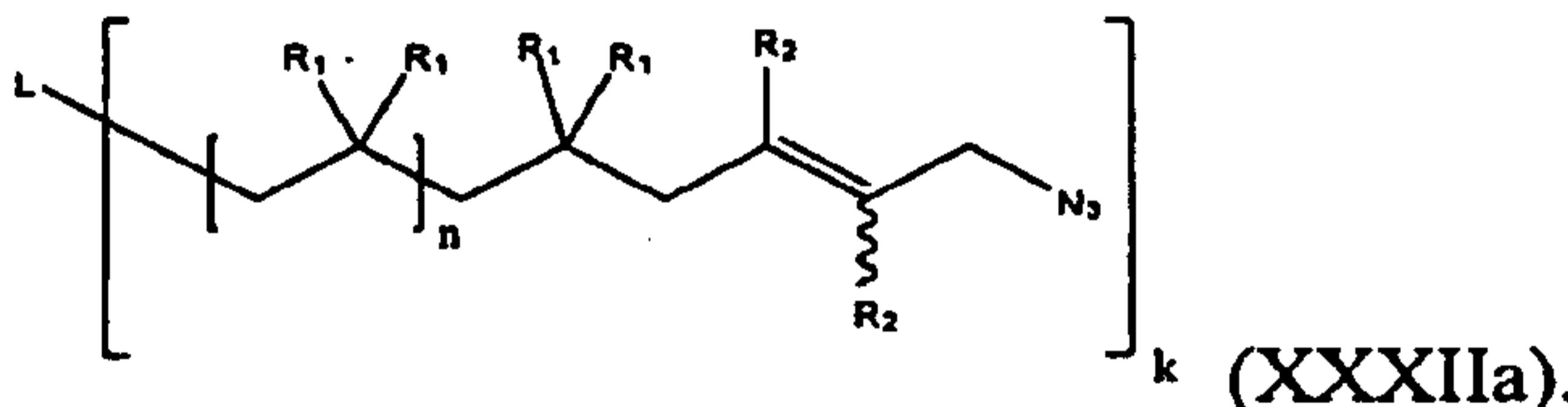
10 R2 for each occasion is independently H or X², CH₂X², CHX²₂, -CX²₃, -C≡N, -NO₂, wherein X², for each occurrence, is independently a halogen;15 Nu² is selected from N₃-, NH₂-, HC₂CH₂-O-, HO-, R^aO-, wherein R^a is a C1-C12 alkyl or a polymer or copolymer fragment, thymine, -CH₂-C(O)OH, -C(O)N₃, -NHC(O)OR, -C(O)NHR, -NHC(O)NHR, wherein R is a C1-C12 alkyl, or a peptide-NH-.

15

50. A compound of Claim 49 represented by formula (XXXI):



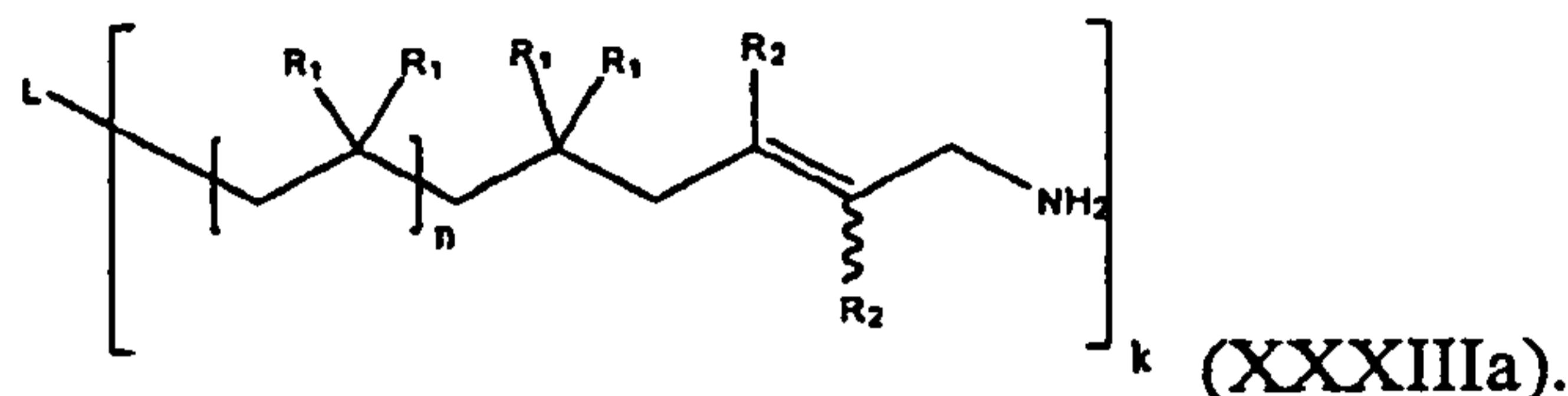
51. A compound of Claim 50 represented by formula (XXXIIa):



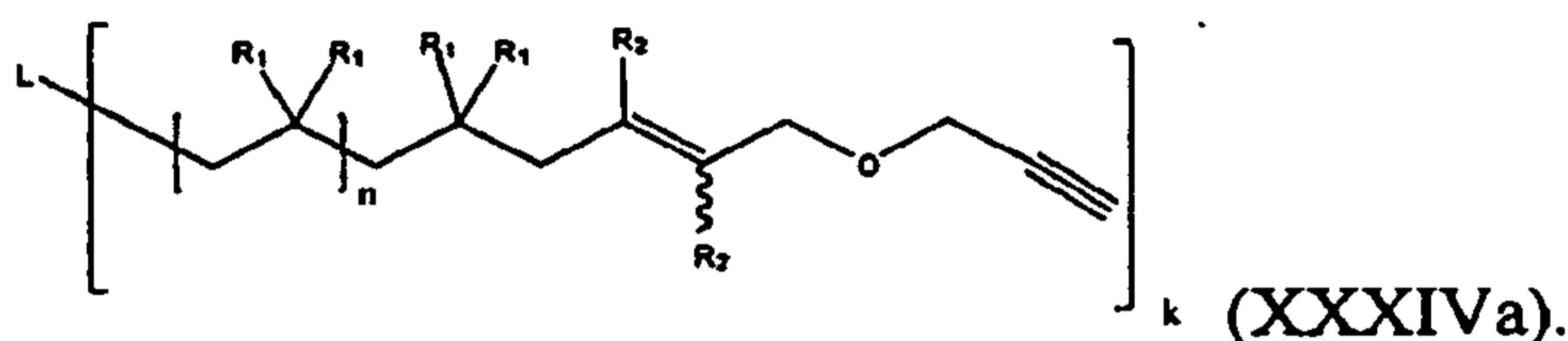
20

52. A compound of Claim 49 represented by formula (XXXIIIa):

- 48 -

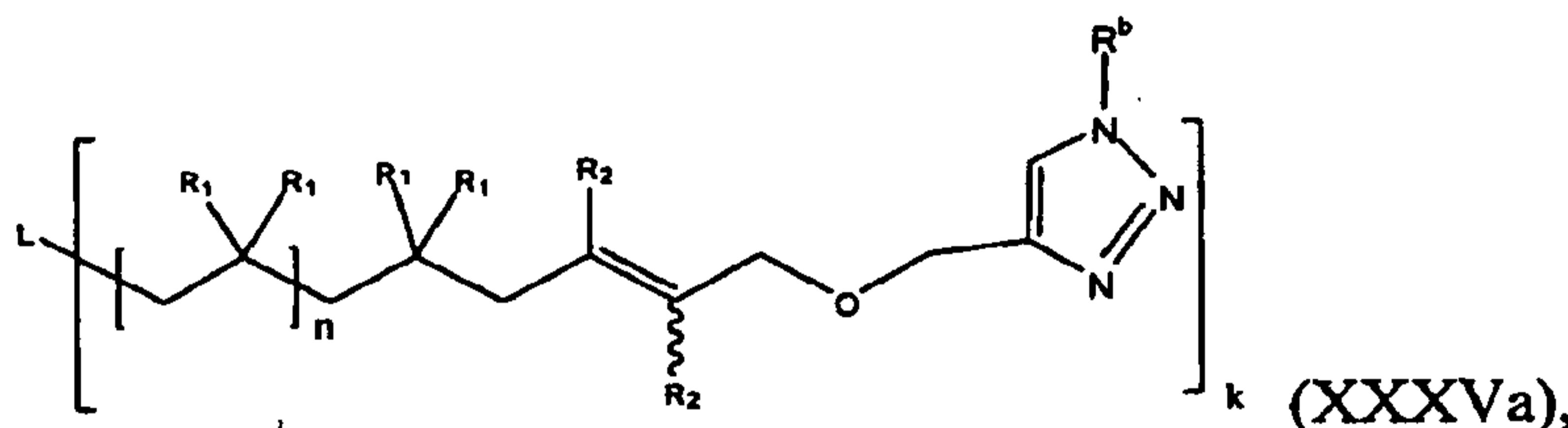


53. A compound of Claim 49 represented by formula (XXXIVa):



5

54. A compound of Claim 49 represented by formula (XXXVa):



wherein R^b is an optionally substituted alkyl, an optionally substituted aryl, an optionally substituted heteroaryl or a polymer or copolymer fragment.

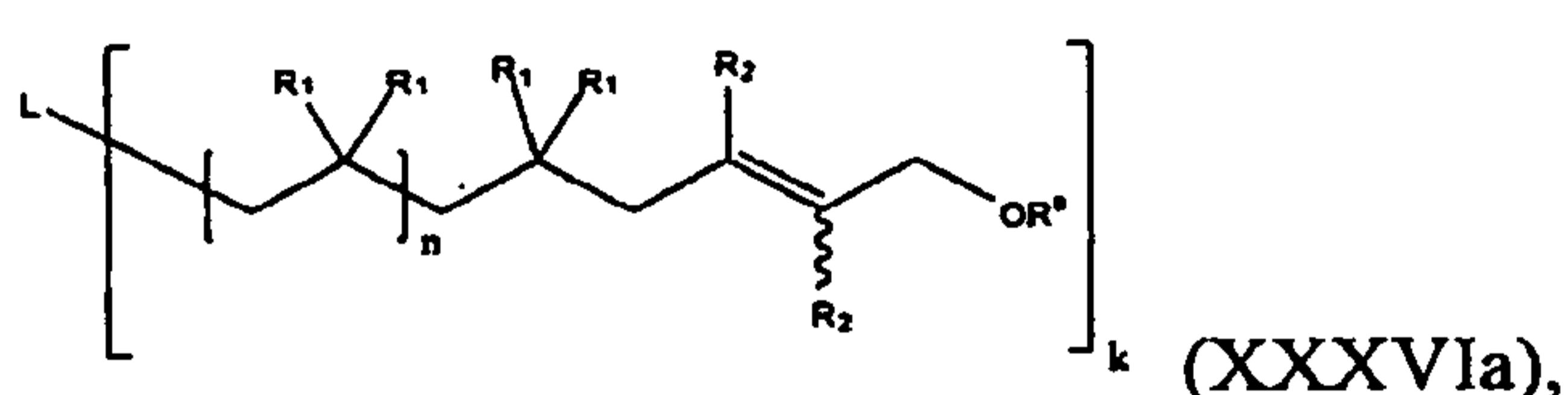
10

55. A compound of Claim 54, wherein R^b is a straight or branched alkyl C_nH_{2n+1}, wherein n = 1-100, or phenyl, benzyl, thiophenyl, each optionally substituted by a halogen, -OH, -CN, or -NH₃; or PEG.

15

56. A compound of Claim 54, wherein R^b is a polymer or a copolymer.

57. A compound of Claim 49 represented by formula (XXXVIa):



wherein R^a is methyl, ethyl or polyethylene oxide fragment.

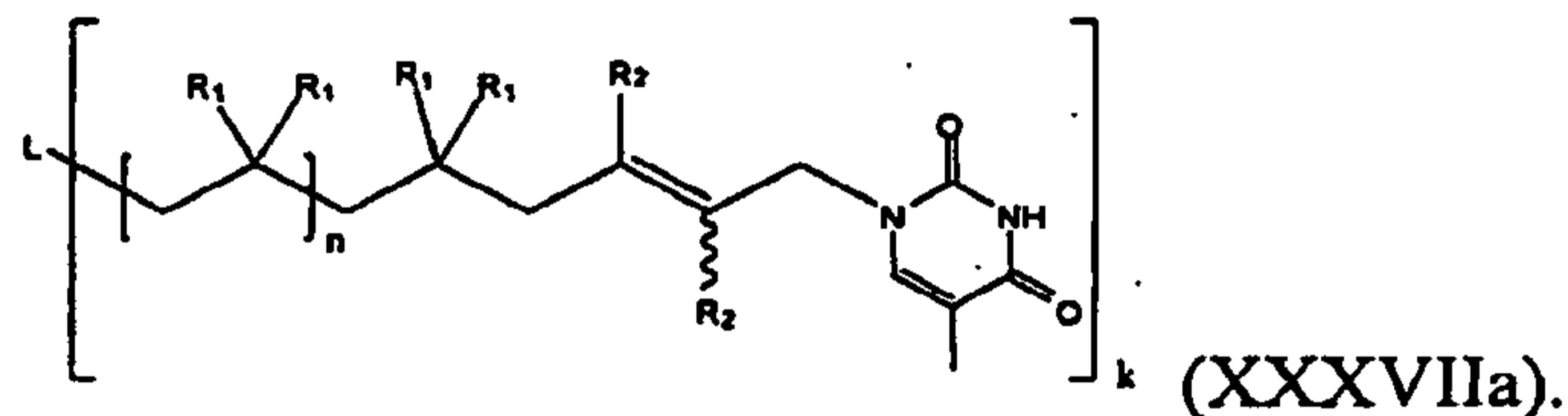
20

58. A compound of Claim 49, wherein R^a is a PEG fragment.

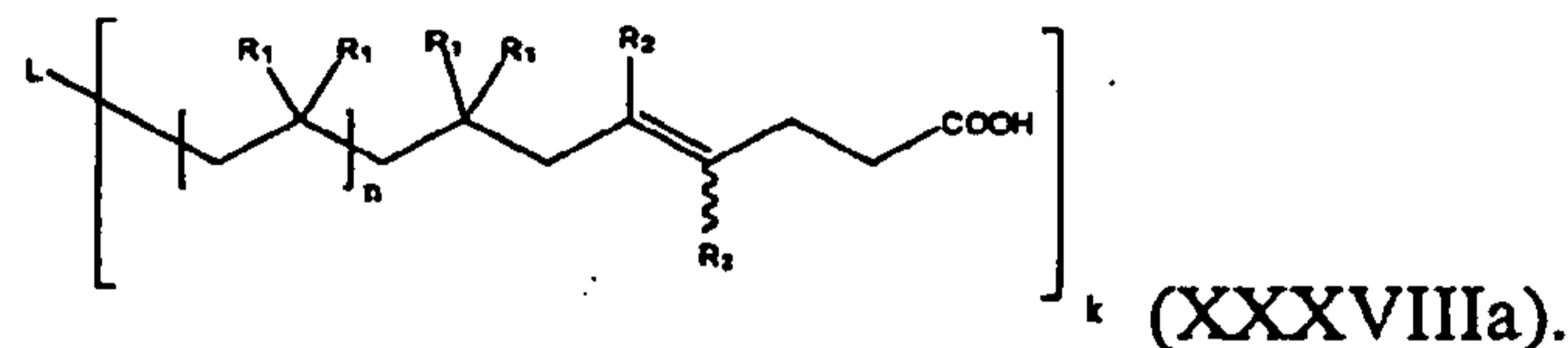
- 49 -

59. A compound of Claim 49, wherein R^a is methyl, ethyl or polyethylene oxide fragment.

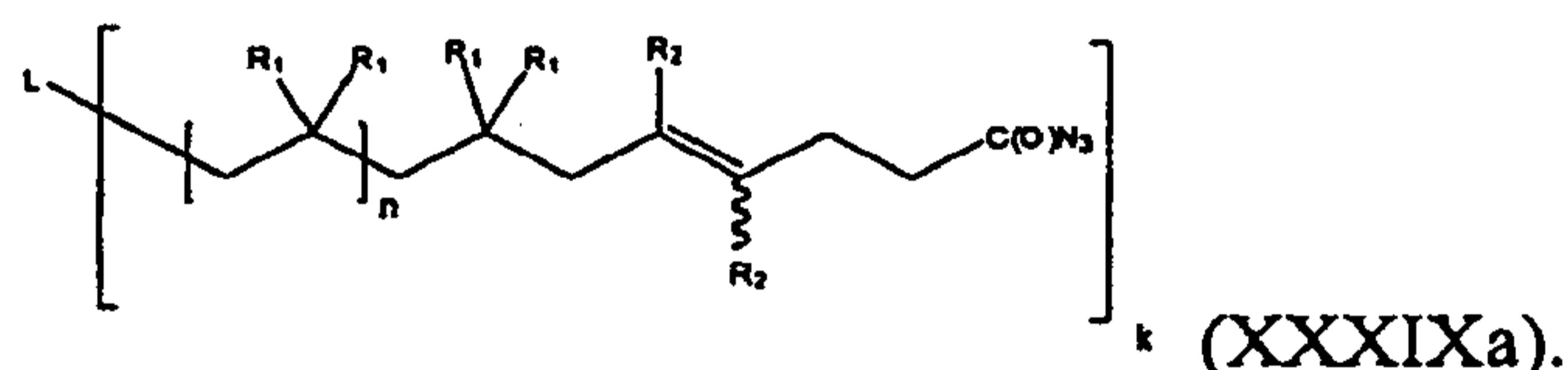
60. A compound of Claim 49 represented by formula (XXXVIIa):



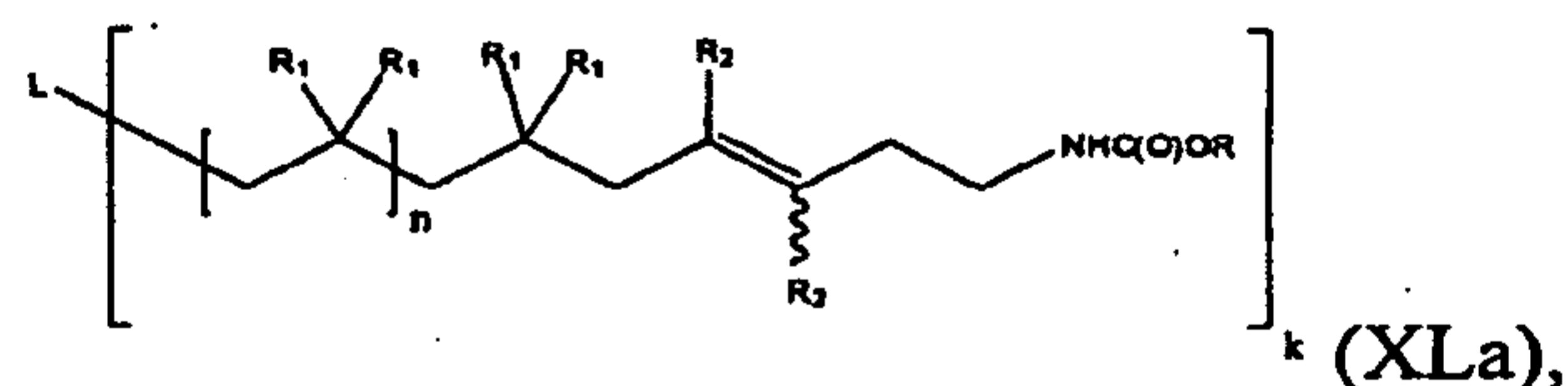
61. A compound of Claim 49 represented by formula (XXXVIIIa):



10 62. A compound of Claim 49 represented by formula (XXXIXa):

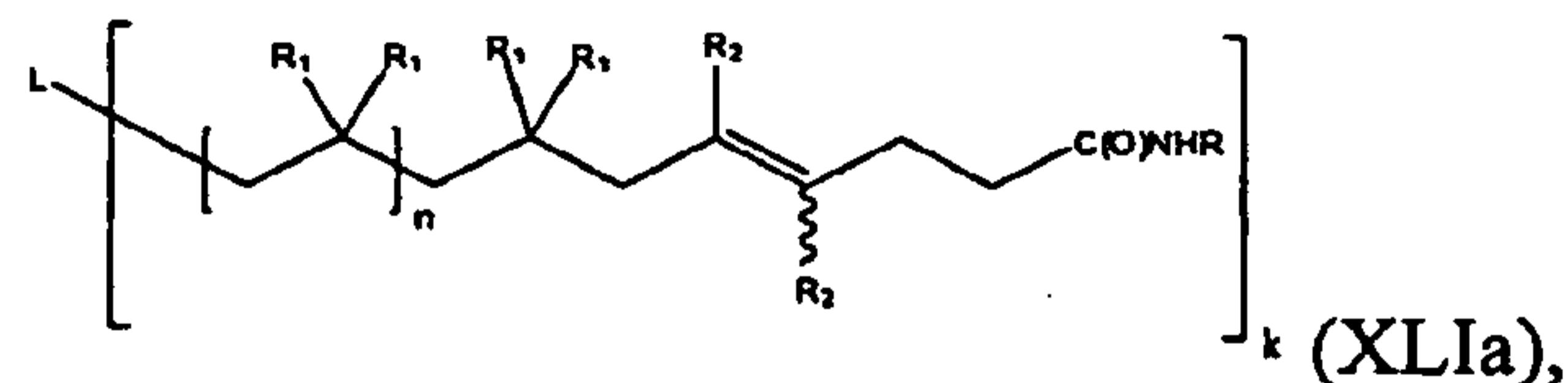


63. A compound of Claim 49 represented by formula (XLa):



15 wherein R is a C1-C12 alkyl.

64. A compound of Claim 49 represented by formula (XLIIa):

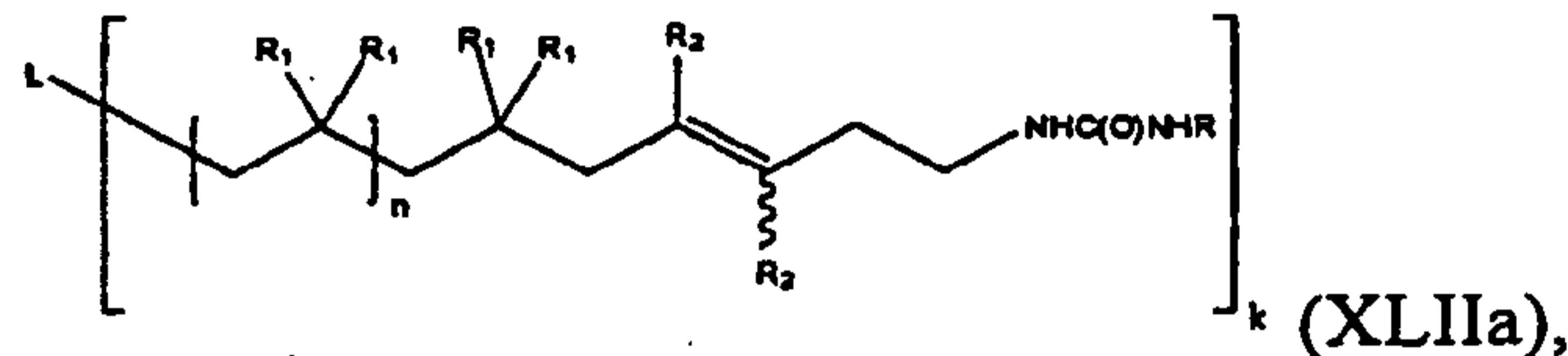


wherein R is a C1-C12 alkyl.

20

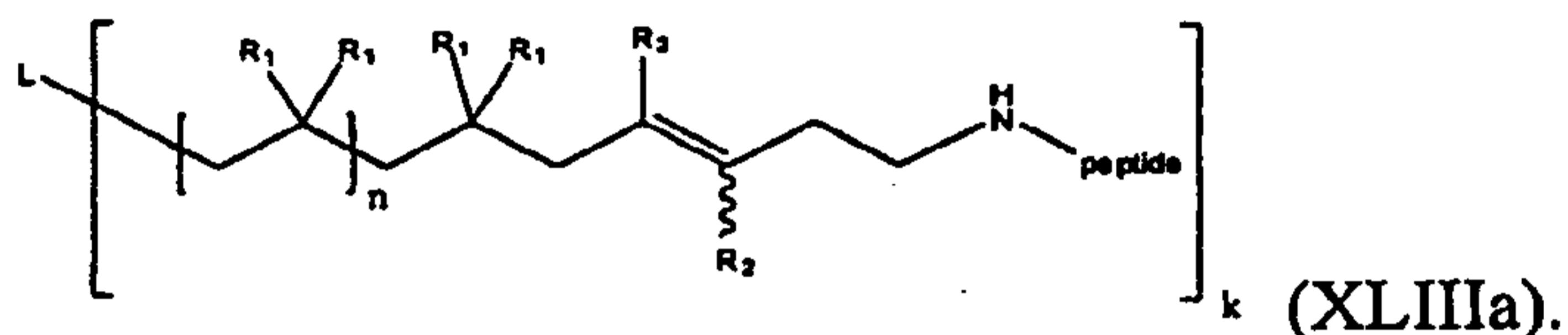
65. A compound of Claim 49 represented by formula (XLIIa):

- 50 -



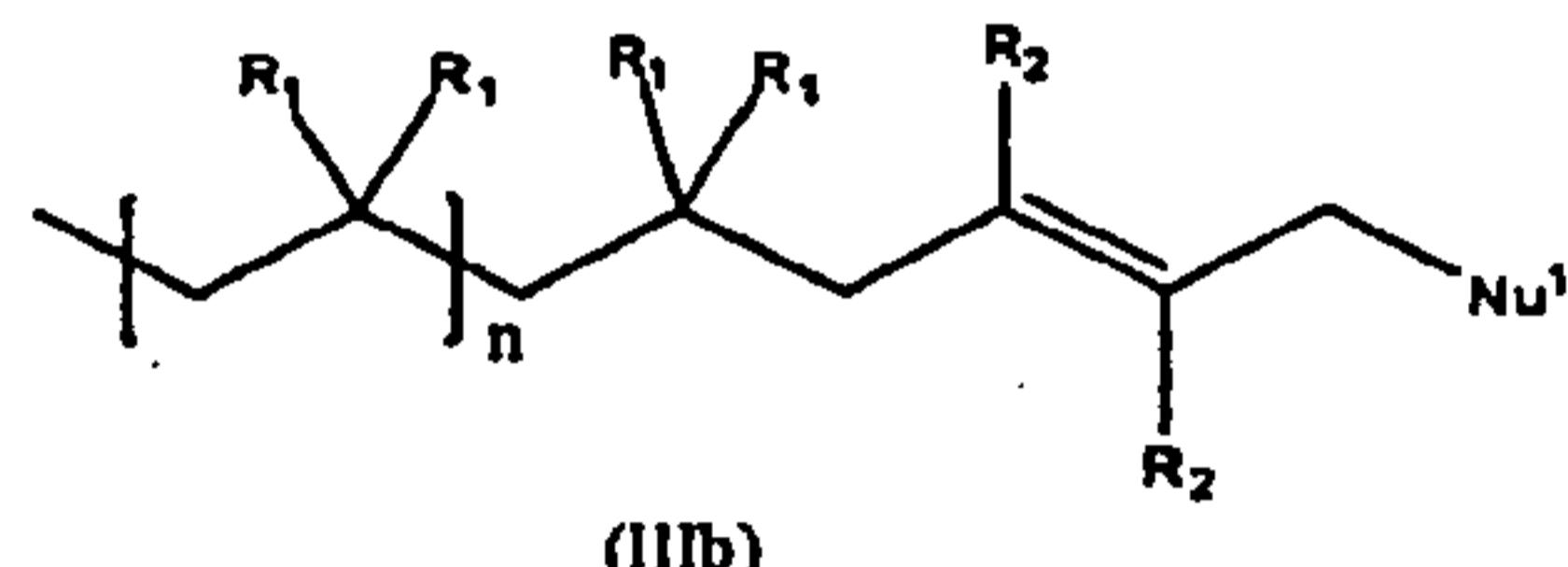
wherein R is a C1-C12 alkyl.

66. A compound of Claim 49 represented by formula (XLIII):

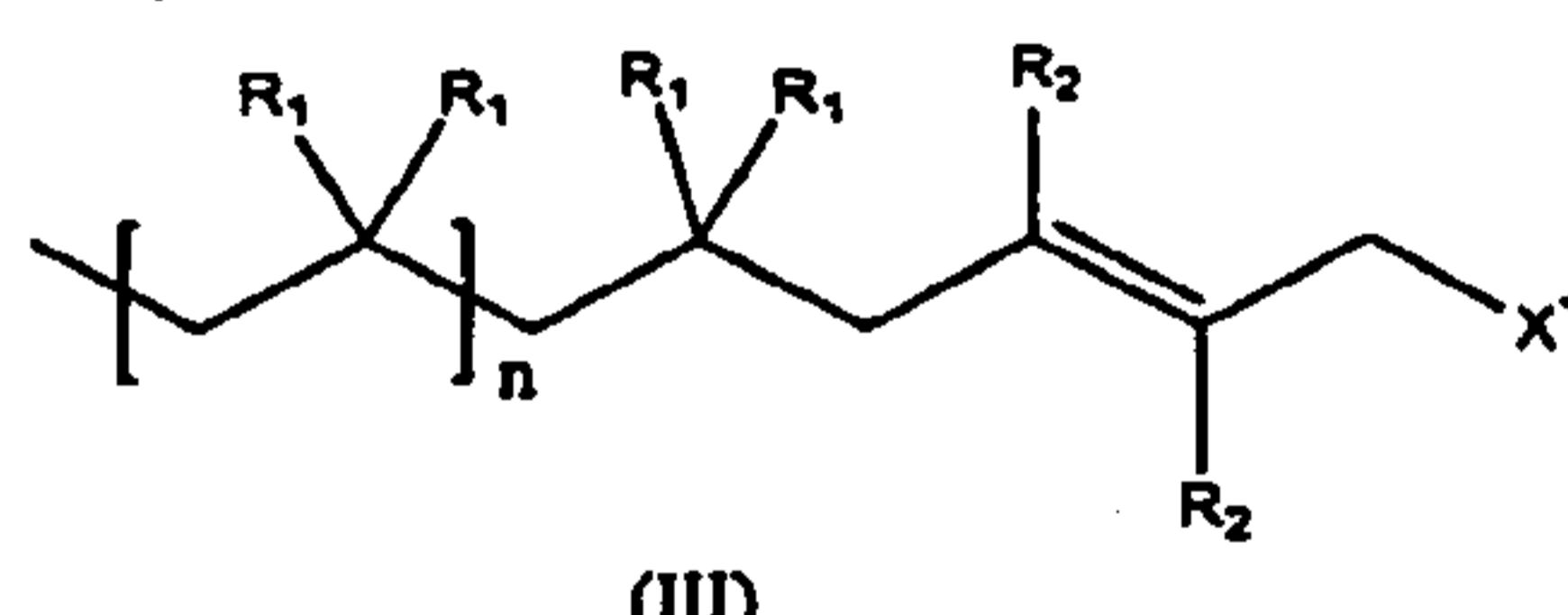


5

67. A method of synthesizing a compound of formula (IIIb),



comprising a step of reacting a compound of formula (III)



10

to nucleophilically substitute X¹ with Nu¹,

wherein:

R₁ for each occasion is independently H or a C1-C4 alkyl, an alkoxy or a substituted or unsubstituted aryl;

15

R₂ for each occasion is independently H, X², -CH₂X², -CHX²₂, -CX²₃, -C≡N, or -NO₂;

n is an integer not less than 2;

X¹ and X² are, for each occurrence, independently, a halogen; and

Nu¹ is selected from N₃-, NH₂-, HC₂CH₂-O-, HO-, R^aO-, thymine,

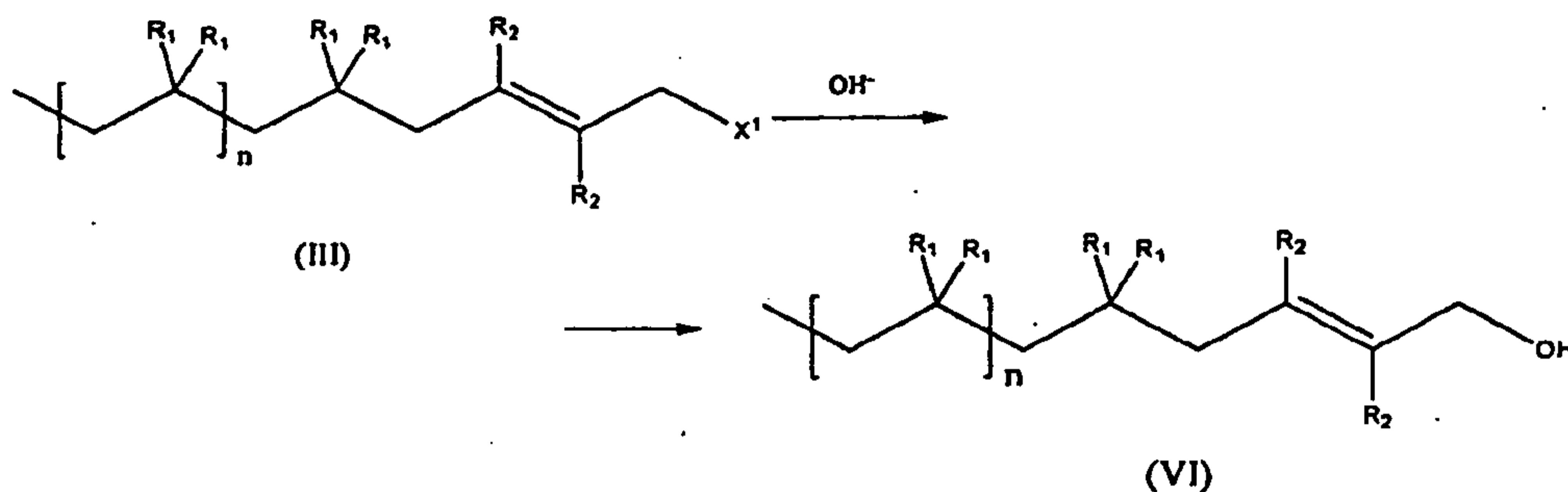
20

-CH₂-C(O)OH, wherein R^a is a C1-C12 alkyl or a polymer or copolymer fragment.

- 51 -

68. A method of synthesizing hydroxyl functional polymers of formula (VI), comprising

5 hydrolyzing an endcapped polymer of formula (III), having a halogenated endcap group, in the presence of a base, thereby producing a compound of formula (VI):



wherein

10 R_1 for each occasion is independently H or a C1-C4 alkyl, an alkoxy or a substituted or unsubstituted aryl;

R_2 for each occasion is independently H, X^2 , $-\text{CH}_2\text{X}^2$, $-\text{CHX}^2_2$, $-\text{CX}^2_3$, $-\text{C}\equiv\text{N}$, or $-\text{NO}_2$;

n is an integer not less than 2; and

X^1 and X^2 are, for each occurrence, independently, a halogen.

15

