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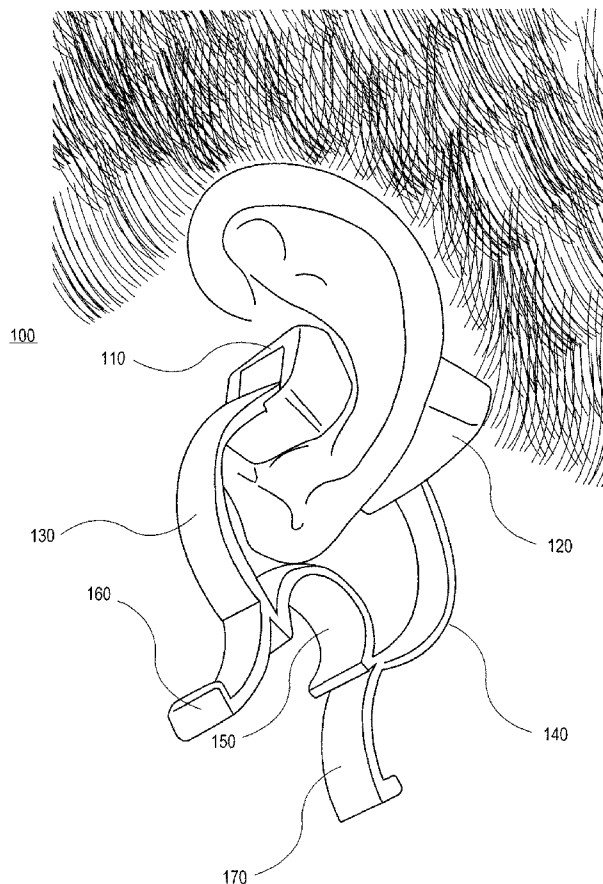
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[Continued on next page]

(54) Title: EXTERNAL EAR-PLACED NON-INVASIVE PHYSIOLOGICAL SENSOR

**FIG. 1A**



(57) Abstract: In one embodiment, a non-invasive physiological sensor assembly is capable of attachment to a tissue site of the ear comprising of cartilaginous structures of the ear, providing low latency of physiological measurements as well as a secure attachment.



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- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))
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## EXTERNAL EAR-PLACED NON-INVASIVE PHYSIOLOGICAL SENSOR

### CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] The present application claims the benefit under 35 U.S.C. 119(c) to U.S. Provisional Application No. 61/050,085, filed May 2, 2008, titled, "EXTERNAL EAR-PLACED PULSE OXIMETRY PROBE" which is hereby incorporated by reference in its entirety, including specifically but not limited to the systems and methods relating to an external ear-placed non-invasive physiological sensor.

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### FIELD OF THE DISCLOSURE

[0002] The present disclosure generally relates to apparatus and methods for non-invasive physiological sensors, and more specifically to methods and apparatus for external measurement with an ear-placed non-invasive physiological sensor.

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### BACKGROUND OF THE DISCLOSURE

[0003] Non-invasive physiological sensors are applied to the body for monitoring or making measurements indicative of a patient's health. One application for a non-invasive physiological sensor is pulse oximetry, which provides a noninvasive procedure for measuring the oxygen status of circulating blood. Oximetry has gained rapid acceptance in a wide variety of medical applications, including surgical wards, intensive care and neonatal units, general wards, and home care and physical training. A pulse oximetry system generally includes a patient monitor, a communications medium such as a cable, and a physiological sensor having light emitters and a detector, such as one or more LEDs and a photodetector. The sensor is attached to a tissue site, such as a finger, toe, ear lobe, nose, hand, foot, or other site having pulsatile blood flow which can be penetrated by light from the emitters. The detector is responsive to the emitted light after attenuation by pulsatile blood flowing in the tissue site. The detector outputs a detector signal to the monitor over the

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communication medium, which processes the signal to provide a numerical readout of physiological parameters such as oxygen saturation (SpO<sub>2</sub>) and pulse rate.

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#### SUMMARY OF THE DISCLOSURE

[0004] Optical sensors are widely used across clinical settings, such as operating rooms, emergency rooms, post anesthesia care units, critical care units, outpatient surgery and physiological labs, to name a few. Studies have suggested that conditions producing peripheral vasoconstriction, such as  
10 decreased ambient temperature or hypoperfusion states, can cause delays of accuracy up to 120 seconds in measurements made by the sensors. In some settings, such as monitoring the oxygenation status of a patient during rapid sequence intubation (RSI), some clinicians prefer a reading that more quickly tracks to the saturation of the core arteries of the brain. An embodiment of the  
15 present disclosure to reduce latency in the accuracy of the monitored data of the patient, in particular to reduce such latency in emergency medical services environments is disclosed.

[0005] Often, caregivers place optical sensors on the fingers, forehead, earlobe, cheek or nose of a monitored patient. In some cases, these  
20 locations may be impractical for pre-hospital or emergency use and/or utilize tissue that may be underperfused, in particular during vasoconstriction and/or hypoperfusion conditions.

[0006] It is therefore desirable to provide a sensor assembly, capable of placement at a tissue site that provides a faster response to central changes  
25 in oxygenation. It is also desirable for the sensor to have a physiological sensor assembly with a secure attachment at the tissue site. Accordingly, an embodiment of the disclosure includes a non-invasive physiological sensor assembly capable of attachment to a tissue site of the ear, including cartilaginous structures, providing low latency of measurements and/or a secure  
30 attachment.

[0007] For purposes of summarizing embodiments of the disclosure, certain aspects, advantages and novel features of embodiments of the disclosure have been described herein. Of course, it is to be understood that not necessarily all such aspects, advantages or features will be embodied in  
5 any particular embodiment.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0008] FIG. 1A illustrates a perspective view of an exemplary ear sensor assembly according to an embodiment of the disclosure attached to an  
10 ear measurement location;

[0009] FIG. 1B illustrates a corresponding rear view of the exemplary ear sensor assembly of FIG. 1A;

[0010] FIGS. 2A-C illustrate side hinged-closed, front hinged-closed and bottom-right hinged-open perspective, respectively, of embodiments of the  
15 exemplary ear sensor assembly of FIG. 1A;

[0011] FIG. 3 illustrates a simplified front perspective view of a block diagram of the inner and outer sensor probes of the ear sensor assembly of FIG. 1A;

[0012] FIG. 4 illustrates a simplified side perspective view of a block  
20 diagram of the sensor assembly of FIG. 1A;

[0013] FIG. 5A illustrates a simplified front perspective of the external ear and an approximate placement of block diagrams of the sensor probes according to one embodiment of the disclosure;

[0014] FIG. 5B illustrates a simplified rear perspective of the external  
25 ear of FIG. 5A and an approximate placement of a block diagram of the outer sensor probe according to one embodiment of the disclosure;

[0015] FIG. 6 illustrates a simplified view of the attachment of block diagrams of the inner and outer sensor probes to the external ear according to one embodiment of the disclosure;

[0016] FIG. 7 illustrates another embodiment of the ear sensor  
30 assembly where the sensor probes are attached to housings; and

[0016.1] FIG. 8 is a table illustrating exemplary results of a physiological study.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

5        [0017] FIG. 1A is a perspective view of an exemplary ear-placed non-invasive physiological sensor assembly 100 according to an embodiment of the disclosure. FIG. 1B is the corresponding rear view of the exemplary ear sensor assembly of FIG. 1A. The ear sensor 100 can be a clip-type sensor comprising sensor probes 110, 120, an upper clip arm 130, a lower clip arm 140 and a  
10        hinge element 150. Sensor probes 110, 120 can be located at the distal end of the upper and lower clip arms 130, 140. The sensor probes 110, 120 may house one or more light emitters and a detector of a pulse oximeter sensor, such as one or more LEDs and a light sensor. Either sensor probe 110, 120 may contain the emitter or the detector. In an embodiment, the emitter  
15        opposes the detector such that light emitted by the emitter impinges the tissue, is attenuated thereby, and the attenuated light then impinges the detector. The sensor 100 can be connected to a patient monitor (not shown) via a cable (not shown). For example, the detector outputs a signal to the monitor over the cable which then processes the signal to provide a numerical readout of  
20        physiological parameters such as oxygen saturation (SpO<sub>2</sub>) and pulse rate. In one embodiment, the cable attaches a sensor probe 110, 120 to the patient monitor. One or more wires (not shown) can connect the inner sensor probe 110 to the outer sensor probe 120. The cable and/or wires can be attached to the clip arms 130, 140, housed within the clip arms 130, 140, and/or  
25        unconnected to the clip arms.

      [0018] The sensor 100 can be placed on the ear by applying pressure on the proximal ends 160, 170 of the upper and lower clip arms 130, 140 forming an opening capable of receiving a tissue site between the sensor probes 110, 120. Once the tissue site is inserted into the opening, the pressure  
30        on the ends 160, 170 can be released such that the upper (or inner) and lower (or outer) sensor probes 110, 120 come in contact with and substantially secure

the assembly 100 to the tissue site, allowing for accurate non-invasive physiological measurement. The sensor probes 110, 120 may be shaped to conform to the cartilaginous structures of the ear, such that the cartilaginous structures can provide additional support to the sensor probes 110, 120, providing a more secure connection, which can be beneficial for monitoring during pre-hospital and emergency use where the patient may move or be moved. For example, the inner sensor probe 110 can be shaped for insertion into the concha of the external ear, near the external canal. As will be understood by one skilled in the art, the concha includes its broad ordinary meaning that includes a hollow defined by the cartilaginous structures of the external ear. The surrounding cartilaginous structures can serve to restrict and hold in place the inner sensor probe 110. The outer sensor probe 120 can be shaped for placement behind the external ear, opposite the inner sensor probe 110. The inner and outer sensor probes 110, 120 may be positioned to align the emitters and detector. The upper and lower clip arms 130, 140 can be outwardly curved away from the ear to reduce contact with parts of the ear other than the contact points for the sensor probes 110, 120. This can create a more secure attachment to the tissue site, reduce patient discomfort, and/or allow better contact with the contact points, thereby providing a more accurate non-invasive physiological measurement.

**[0019]** Although disclosed with reference to the sensor of FIG. 1A and FIG. 1B, an artisan will recognize from the disclosure herein a wide variety of oximeter sensors, optical sensors, noninvasive sensors, medical sensors, or the like that may benefit from the ear sensor disclosed herein, such as, for example, CO<sub>2</sub>, near infrared spectroscopy (NIRS), lactate/pyruvate, and/or perfusion sensors. Size adjustments of the sensor probes 110, 120 may be made to adjust the probe to any size ear, including sizes designed for pediatric applications. The sensor probes 110, 120 may be detachable from the clip arms 130, 140. The sensor probes 110, 120 may be designed as disposable or reusable probes. The upper and lower clip arms 130, 140 can be housings housing electrical and/or optical components of the non-invasive physiological

sensor 100. The cable can be connected to the inner sensor probe 110, the outer sensor probe 120, or cables can connect both sensors 110, 120 to the monitor. In addition, the ear sensor 100 may be used with a portable monitor. Such monitors can be integrated into a hand-held device such as a PDA and  
5 may not include cables or separate monitors and/or may also include memory or other electronic devices usable by a monitor to, for example, configure itself and /or provide quality control.

[0020] The location of the sensor probes 110, 120 in relation to the external ear, as illustrated in FIG.1A and FIG. 1B can allow measurement on a  
10 portion of the ear that maintains good perfusion, even with cold temperature or patient hypotension. This may prevent loss of signal due to sluggish flow through the tissue which can lead to a lag or latent period in the reported measurement. For example, measuring at the concha can provide better measurements than measuring at the earlobe due to better perfusion and less  
15 sluggish flow.

#### Comparative Example

[0021] The study below describes a comparative example, showing latency and loss of pulse oximetry signal with the use of digital (that is, attached  
20 to a digit) probes during pre-hospital rapid sequence intubation (RSI). This comparative shows just one aspect where embodiments of the disclosure may be beneficial.

#### Methods

##### Design

25 [0022] This was a secondary analysis of the air medical RSI database, which included prospectively collected physiological data.

##### Setting

[0023] At the time of this investigation, Mercy Air Medical Service included twelve bases throughout Southern California and Nevada. Data for  
30 this analysis were obtained from the eight bases in California. Crew configuration included flight nurses and a paramedic. Crews respond to scene



calls at the discretion of ground providers and perform a variety of advanced procedures, including RSI. The average number of RSI procedures is approximately 2.5/base/month.

#### Subjects

- 5       **[0024]** All patients undergoing air medical RSI for whom physiological data were available were eligible for inclusion in this analysis. Patients treated between July 2006 and June 2007 were eligible.

#### Protocol

- 10       **[0025]** The RSI protocol during the study period included the following: passive preoxygenation with supplemental oxygen via nonrebreather mask for about 1 to about 3 min, premedication with lidocaine (about 1.0 to about 1.5 mg/kg i.v.) with suspected brain injury, etomidate (about 0.3 mg/kg to a maximum of about 20mg i.v.), and succinylcholine (about 1.5 mg/kg i.v.). Midazolam (about 2 to about 5 mg/kg i.v.) and vecuronium (about 0.1 mg/kg i.v.) were administered following endotracheal tube confirmation. Monitoring of patients undergoing RSI was performed with a hand-held oximeter-capnometer. These devices include a non-disposable digital (applied to a digit) probe. Commercially available, disposable, adhesive digital probes were also available for use at the discretion of air medical crews. Data from the oximeter-capnometer devices are stored in 8-second intervals and can be exported for analysis. At the time of data collection for this analysis, air medical crews routinely utilized qualitative capnometry for initial confirmation of endotracheal tube placement followed by use of digital capnometry. Since that time, crews have been encouraged to utilize digital capnometry for initial confirmation.

- 25       **Data Analysis**

- 30       **[0026]** Data from the oximeter-capnometer devices was exported into a software program that displays physiological data, such as, for example, SpO<sub>2</sub>, heart rate, end-tidal carbon dioxide (EtCO<sub>2</sub>), and/or ventilation rate, graphically and allows the time and absolute value for each stored data point to be determined. Clinical data were abstracted from the electronic patient care record. The first objective was to define the incidence of SpO<sub>2</sub> latency.

Multiple SpO2 probes were not used simultaneously by the air medical crews. Patients in whom oxygen desaturation occurred during the RSI procedure were identified, as this resulted in a defined decrease in SpO2 during apnea followed by rapid correction after intubation. An oxygen desaturation was defined as a decrease in SpO2 to about 93% or less or a continued decrease in SpO2 if the initial SpO2 was already about 93% or less. The emergence of EtCO2/ventilation data was used to define successful intubation.

[0027] Because air medical crews routinely used qualitative capnometry during the study period, manikin simulators were utilized to determine the average amount of time required to confirm endotracheal tube placement prior to placement of the digital capnometry probe (37 seconds). Latency was determined if the lowest recorded SpO2 value during a desaturation occurred after intubation, defined as a period starting about 37 seconds before until about 2 min after the emergence of EtCO2/ventilation data. The second objective was to define the incidence of loss of SpO2 signal during the RSI procedure. This was defined by an absence of SpO2 data for at least about 30 seconds during a period from about 3 minutes before to about 3 minutes after the appearance of EtCO2/ventilation data. All data were presented descriptively, with 95% confidence intervals or 25th-75th quartiles used where appropriate.

### Results

[0028] Physiological RSI data were available for a total of 210 patients undergoing air medical RSI over the 12-month study period. The data demonstrated a latent period and loss of SpO2 signal during the RSI period. Of the 210 total patients, 86 were excluded due to the absence of pre-intubation data. Clinical and demographic data for the remaining 124 patients are displayed in Table 1. Of these, 98 (79%) had loss of SpO2 signal for at least 30 seconds during the RSI period.

[0029] Adequate data to determine the presence of oxygen desaturation during RSI were available for 110 patients. Of these, 49 had a desaturation event (45%). The mean and median for lowest recorded SpO2

value were 76% (95% CI 72-79%) and 76% (25th-75th quartiles 64-86%), respectively. The mean and median duration for the desaturation (total time  $\leq 93\%$ ) were 259 sec (95% CI 142-379 sec) and 176 sec (25th-75th quartiles 56-358 sec), respectively. Of the 49 patients with oxygen desaturation, 13  
5 (27%) became tachycardic (heart rate  $>100$  beats per min) and 12 (24%) became bradycardic (heart rate  $<60$  beats per min) during the episode. Latency was observed in 27 of the 49 patients with oxygen desaturation (55%). Even if the 37-second time period prior to emergence of EtCO<sub>2</sub> and ventilation data were eliminated, 23 of the 49 patients (47%) would have met criteria for a  
10 latency period.

#### Discussion

**[0030]** A high incidence of pulse oximetry signal latency associated with desaturations during pre-hospital RSI with use of digital SpO<sub>2</sub> probes may be documented. There are high incidences of desaturations with pre-hospital  
15 RSI and the increasing velocity of desaturation as the SpO<sub>2</sub> decreases below 94%. These data are consistent with previously published reports, although this appears to be early documentation of pulse oximetry latency in the pre-hospital environment. In addition, loss of the pulse oximetry signal during RSI periods appears to occur in the majority of cases. These data may partially account for  
20 the high rate of desaturation associated with pre-hospital RSI. Desaturation during emergency RSI in the in-hospital setting has been reported in less than one-third of all cases, rates reported with pre-hospital RSI have generally been much higher. Although the use of digital probes is also widespread in the inpatient setting, pre-hospital patients may be more likely to suffer digital  
25 hypoperfusion, either as a result of pre-resuscitation hypotension or lower ambient temperatures.

**[0030.1]** The etiology of both pulse oximetry latency and loss of signal appear to be related primarily to the site at which the sensor is placed. While digital SpO<sub>2</sub> probes are ubiquitous, perfusion to the digits is extremely sensitive  
30 to changes in hemodynamic status, arm position, and temperature. In the anesthesia literature, the validity with regard to "real time" accuracy has

demonstrated to be negatively affected by two factors: mild hypothermia (core body temperature about 35 to about 36 C) and the presence of vasoactive drugs.

5       **[0031]**   Alternative sites for obtaining SpO<sub>2</sub> values based on performance characteristics may offer potentially lesser latency. For example, centrally placed SpO<sub>2</sub> probes, such as those according to embodiments of the disclosure, may provide more timely information for patients undergoing pre-hospital RSI or other procedures. Some clinicians may prefer a reading that more quickly tracks to the saturation of the core arteries at the brain.

10       **[0032]**   The main limitation to this study was the use of a definition for latency, since multiple probes were not used simultaneously and because the exact moment of intubation could not be determined. However, even use of a conservative definition resulted in nearly half of all cases demonstrating SpO<sub>2</sub> latency. In addition, one could not be sure that this phenomenon is related  
15 specifically to probe site, although the existing literature supports this concept. Finally, the incorporation of patient variables, preoxygenation strategy, or number of intubation attempts was not attempted to be included into the analysis.

20       **[0033]**   The comparative example documents incidences of SpO<sub>2</sub> signal latency and signal loss during pre-hospital RSI with use of digital probes. This is likely related to the site, such as fingers or toes, at which the sensor is placed. Embodiments of the disclosure may provide relatively faster response to central changes in oxygenation while also substantially removably securing the sensor assembly to the tissue site.

25       **[0034]**   FIGS. 2A-C are side hinged-closed, front hinged-closed and bottom-right hinged-open perspectives, respectively, of the exemplary ear sensor assembly of FIG. 1A. As discussed above, the inner sensor probe 110 and outer sensor probe 120 may be separated about the hinge element 150 by applying pressure to the ends 160, 170, such that the opening between the  
30 probes 110 and 120 becomes large enough to fit the tissue site. Once the sensor 100 is placed about the tissue site, the upper and lower ends 160, 170

can be released, permitting the probes 110 and 120 to come into contact with and releasably attach to the ear. In the illustrated embodiment, the hinge element 150 is a flexible hinge but an artisan will recognize from the disclosure herein that a wide variety of hinge types may be used, such as a spring hinge.

5 As shown by FIGS. 2A-C, the inner and outer sensor probes 110, 120 of the illustrated embodiments are asymmetric. The smaller inner sensor probe 110 is configured to conform to the cartilaginous structures of the ear, such as by placement within the concha of the external ear. Meanwhile the larger outer sensor probe 120 is configured for placement behind the external ear. The  
10 larger outer sensor probe 120 can provide a greater contact area with the external ear, improving the quality of measurements and/or providing a more secure attachment through increased frictional engagement. In other embodiments, the sensor probes may be symmetrical. In the illustrated embodiment of FIG. 2A, the length of the sensor assembly 100 is about 2  
15 inches to 3 inches or about 5.1 cm to about 7.6 cm. In some embodiments, the sensor assembly may be smaller than 2 inches (5.1 cm) or may be greater than 3 inches (7.6 cm) depending on the size of the ear to which the sensor is attached.

[0035] FIG. 3 illustrates a simplified front perspective view of a block  
20 diagram of the inner and outer sensor probes 110, 120 of the ear sensor assembly of FIG. 1A. The width of the inner sensor probe 110 is about 2.0 cm at the top and about 2.5 cm at the contact point. The outer sensor probe 120 is about 3.5 cm at bottom and about 3.0 cm at the contact point. The range of widths for the inner and outer sensor probes can vary from about 2.0 cm or  
25 smaller to about 3.5 cm or larger in order to fit in ears of various sizes, including smaller sizes for pediatric applications. In the illustrated embodiment of FIG. 3, the inner sensor probe has a convex contact point while the outer sensor probe has a concave contact point for a more advantageous fit within the outer ear and without the outer ear, respectively. In other embodiments, other shapes  
30 can be used for a better fit with the cartilaginous structures of the ear.

[0036] FIG. 4 illustrates a simplified side perspective view of a block diagram of the sensor assembly 100 of FIG. 1A. In the illustrated embodiment, the inner sensor probe 110 has a depth and height of about .75 cm. The outer sensor probe 120 has a depth and height of about 1.0 cm. The range of depths and heights for the inner and outer sensor probes can vary from about .75 cm or smaller to about 1.0 cm or larger in order to fit ears of various sizes. In other embodiments, the height and depth of the sensor probes can differ from each other for a better fit with the ear.

[0037] FIG. 5A illustrates a front perspective of the external ear and an approximate placement of a block diagram of the sensor probes according to one embodiment of the disclosure. In the illustrated embodiment, the inner sensor probe 110 fits within the concha 510 of the ear, near the antitragus 520. The antitragus 520 may provide additional purchase to the inner sensor probe 110 within the concha 510, further securing the inner sensor probe 110. The outer sensor probe 120 fits behind the ear, opposite the inner sensor probe 110. FIG. 5B illustrates a simplified rear perspective of the external ear of FIG. 5A and an approximate placement of a block diagram of the outer sensor probe 120 according to one embodiment of the disclosure. In the illustrated embodiment, the outer sensor probe 120 is located along the cauda helicis. In other embodiments, the inner and outer sensor probes 110, 120 may be placed on other locations of the ear.

[0038] FIG. 6 illustrates a simplified view of the attachment of block diagrams of the inner and outer sensor probes 110 and 120 to the external ear according to one embodiment of the disclosure.

[0039] FIG. 7 illustrates another embodiment of the ear sensor assembly 100 where sensor probes 110, 120 are attached to housings 180. The upper clip arm 130 and lower clip arm 140 may comprise housings 180 containing electrical components, wires, and/or cables (not shown for the sake of illustration).

[0040] Various noninvasive physiological sensor assemblies have been disclosed in detail in connection with various embodiments. These

embodiments are disclosed by way of examples only and are not to limit the scope of the claims that follow. One of ordinary skill in the art will appreciate the many variations, modifications and combinations. For example, the various embodiments of the sensor assemblies can be used with sensors that may

5 measure any type of physiological parameter. In various embodiments, the sensor assemblies may be for any type of medical device. Further, the sensor assemblies can be provided in embodiments of various shapes and sizes to account for variations in ear sizes and shapes.

## WHAT IS CLAIMED IS:

1. A noninvasive physiological sensor assembly, the sensor assembly capable of attaching to a tissue site of an ear, the sensor assembly comprising:

- 5           one or more emitters configured to emit light;  
          a first housing configured to position said one or more emitters;  
          one or more detectors configured to detect light attenuated by  
          said tissue of an ear of a wearer of said sensor assembly;  
          a second housing configured to position said one or more  
          detectors opposite said one or more emitters, said first and second  
10          housing positioning one of said one or more emitters and said one or  
          more detectors within an ear concha and the other of said one or more  
          emitters and said one or more detectors behind the ear.

2. The sensor assembly of Claim 1, wherein the first housing comprises a shape smaller than that of the second housing.

3. The sensor assembly of Claim 1, wherein the first portion and second portion are configured to conform to cartilaginous structures of the ear.

4. The sensor assembly of Claim 1, further comprising a hinge portion mechanically coupling the first housing and the second housing.

5. The sensor assembly of Claim 4, wherein the first housing further comprises a first arm, the second housing further comprises a second arm, the first and second arms curving outwardly away from the tissue site.

6. A method of positioning a noninvasive physiological sensor assembly at a tissue site of an ear, the method comprising:

- providing a sensor assembly, comprising a first portion comprising  
          one or more emitters, the sensor assembly further comprising a second



5           portion comprising a detector, wherein the first portion and second  
portion are biased towards each other; and  
              removably attaching the sensor assembly to the tissue site such  
              that the tissue site is disposed between the first portion and second  
              portion, wherein the tissue site comprises a cartilaginous structure of the  
10           ear.

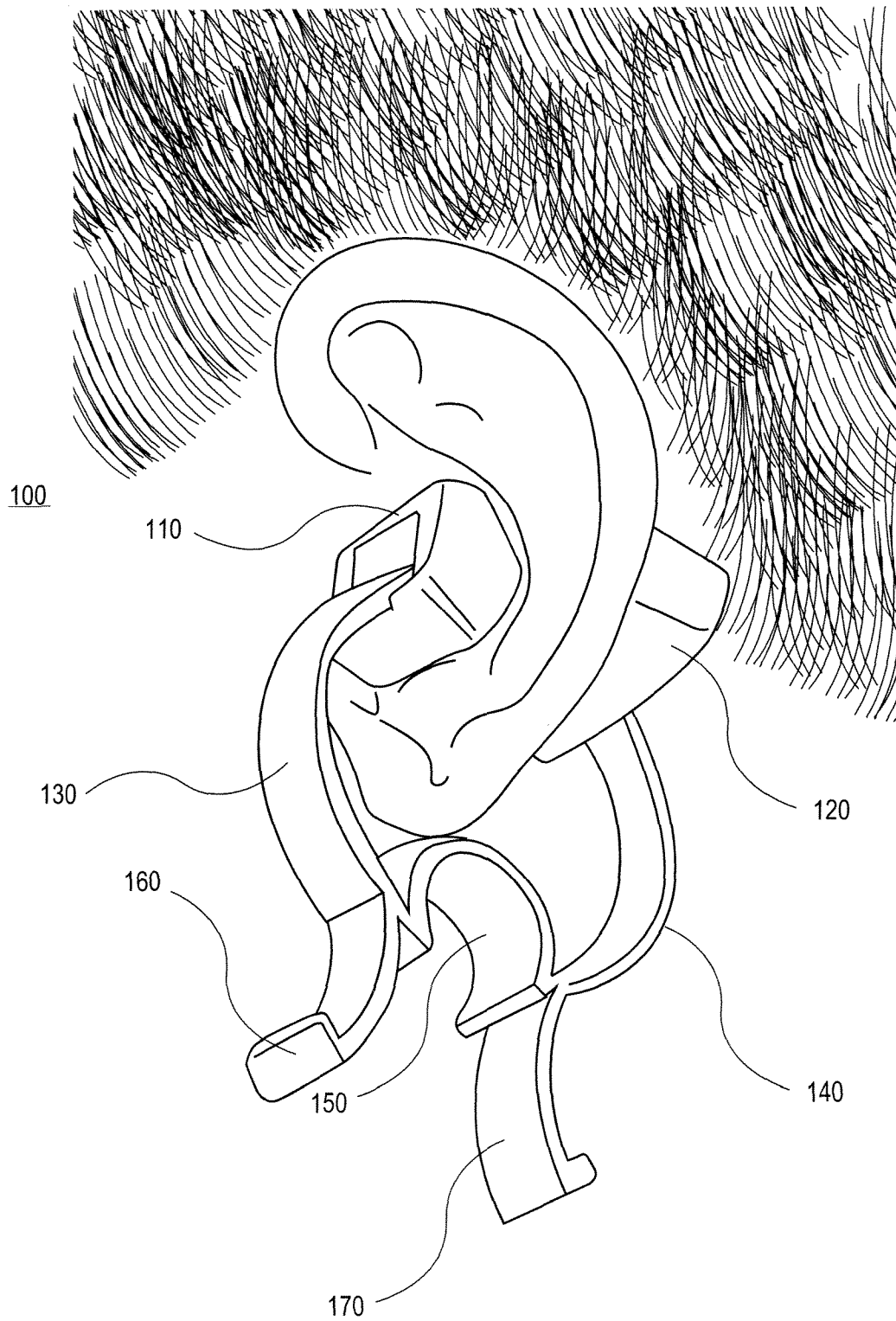
7.       The method of Claim 6, wherein the attaching comprises  
attaching within a concha of said ear.

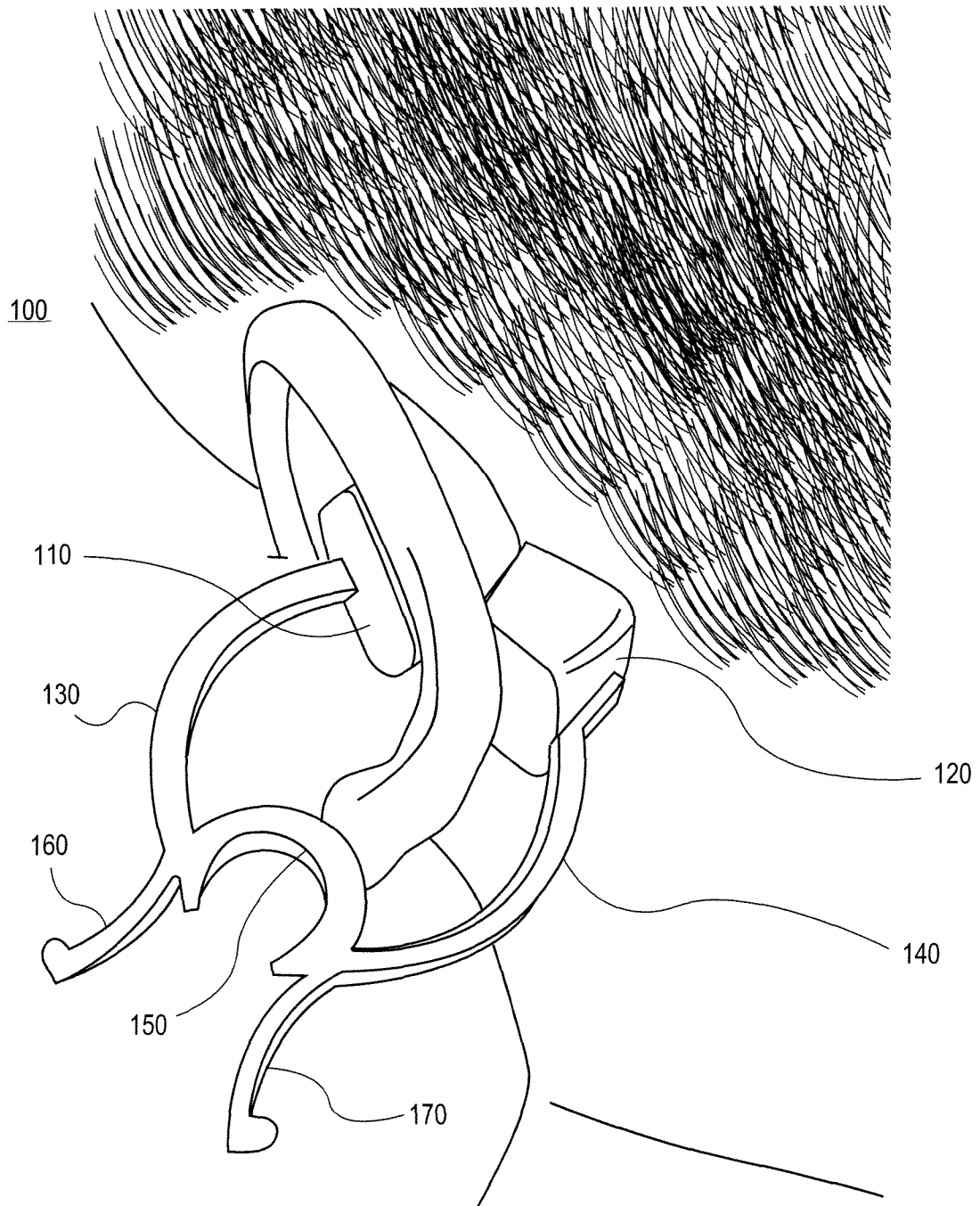
8.       The method of Claim 7, wherein the attaching the sensor  
assembly further comprises conforming the first portion within the concha of the  
ear.

9.       The sensor assembly of Claim 7, wherein the attaching the sensor  
assembly further comprises aligning the detector of the second portion behind  
the ear with the one or more emitters of the first portion.

10.      The sensor assembly of Claim 6, wherein the attaching the sensor  
assembly further comprises conforming the first portion and second portion to  
the cartilaginous structures of the ear.

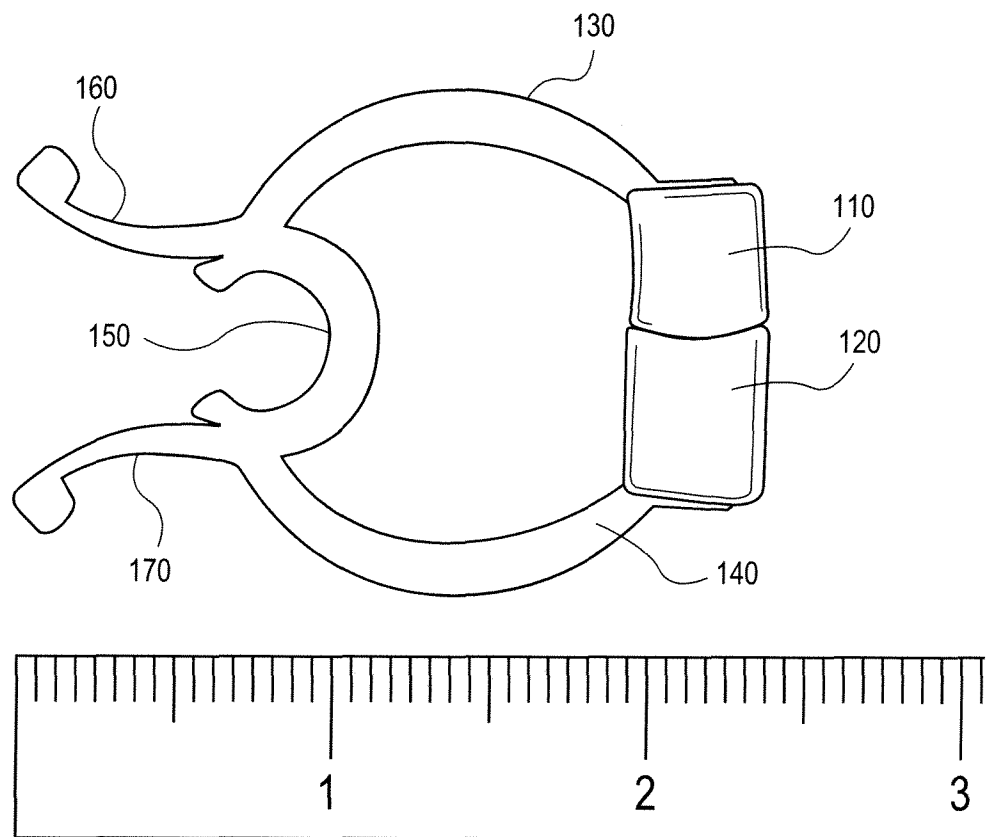
**FIG. 1A**





**FIG. 1B**

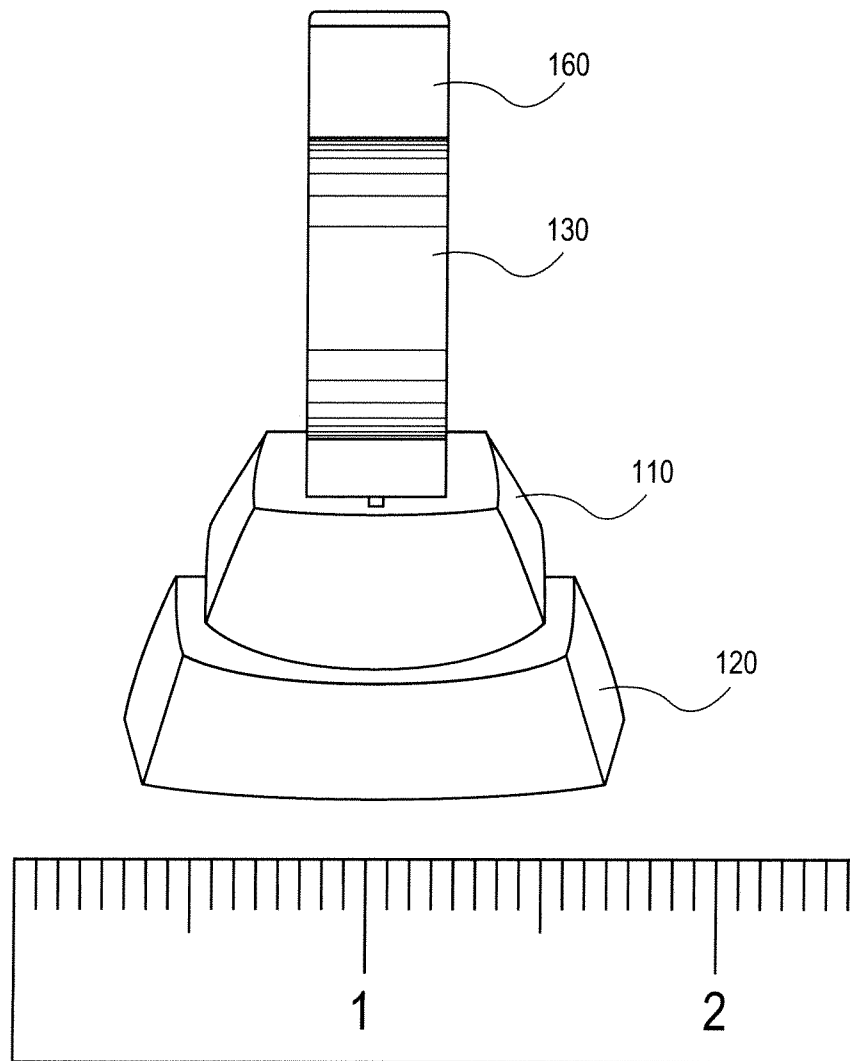
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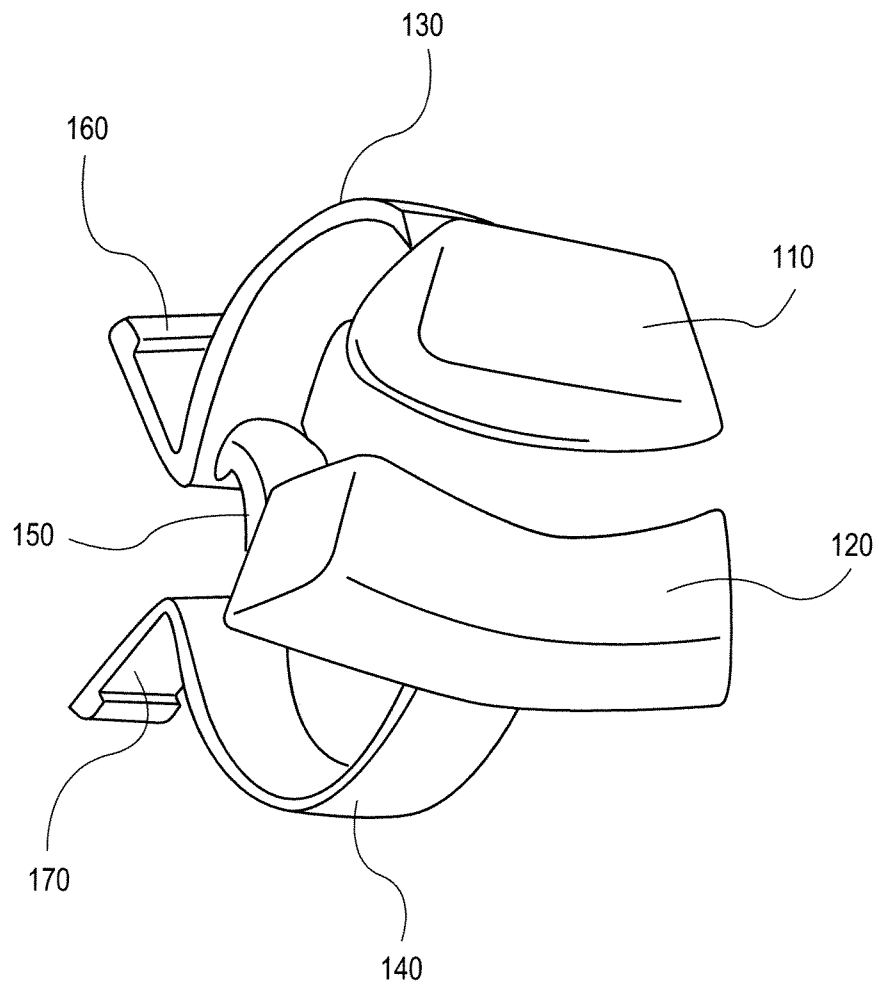
**FIG. 2A**

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**FIG. 2B**



**FIG. 2C**



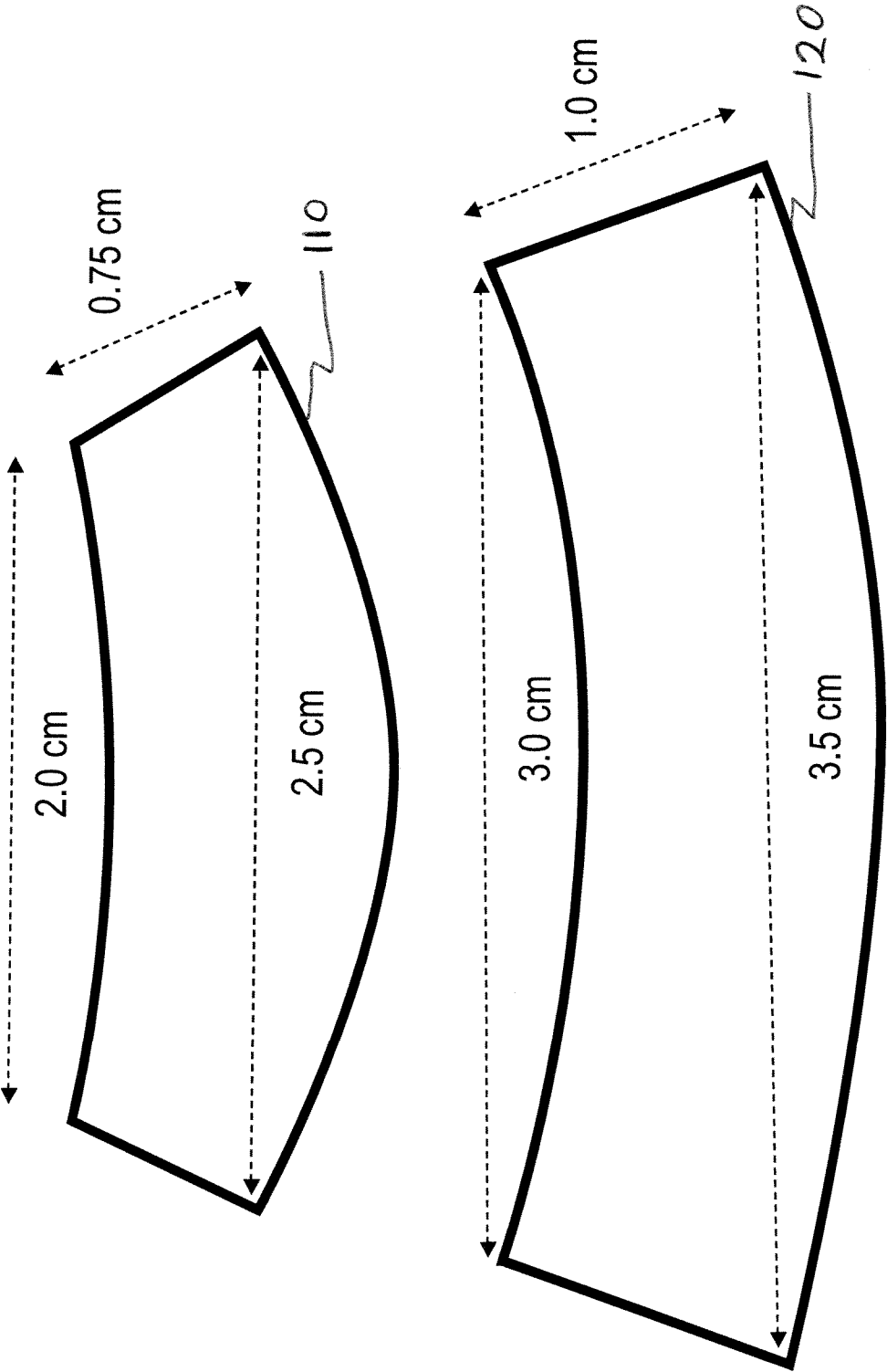


FIG. 3

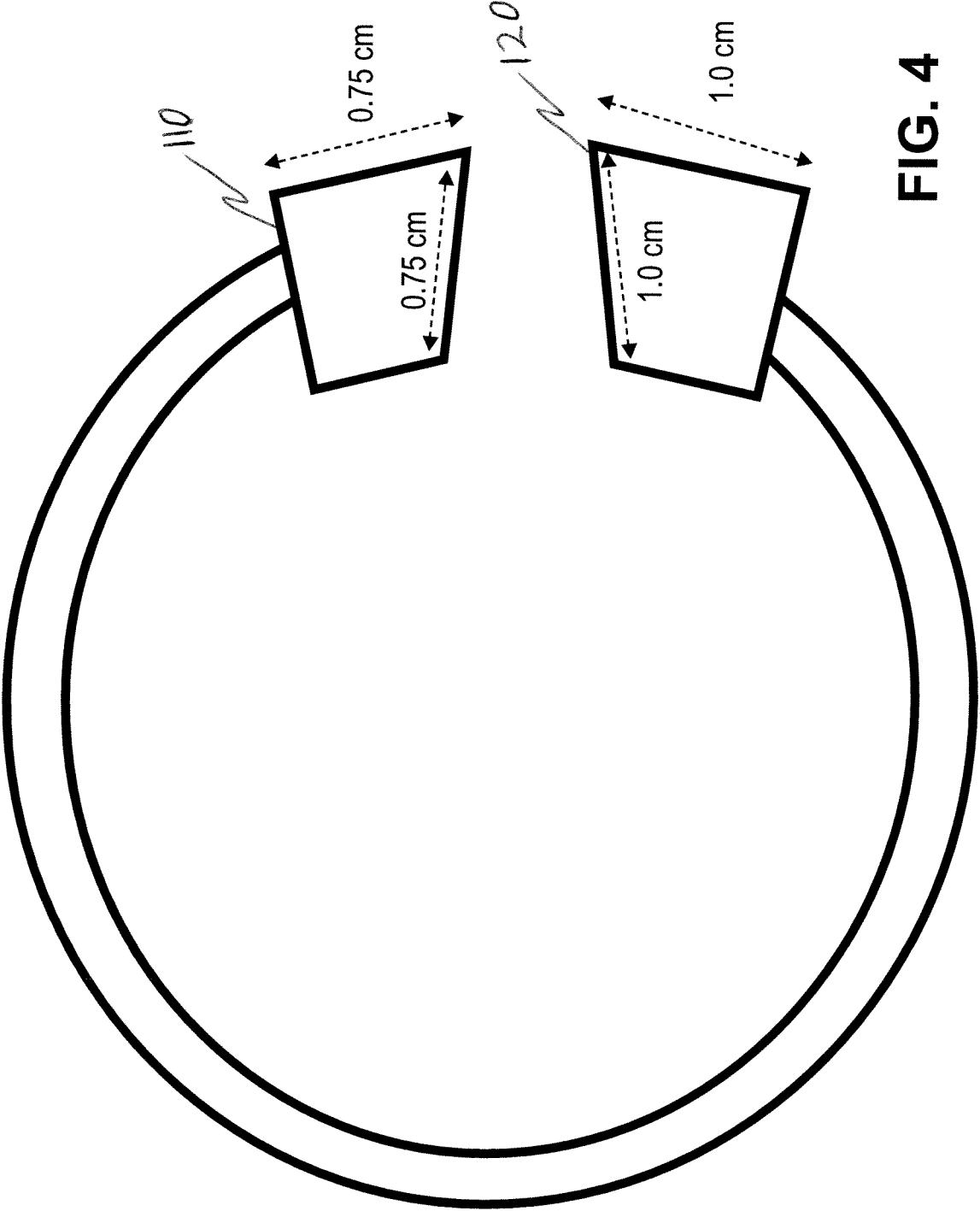
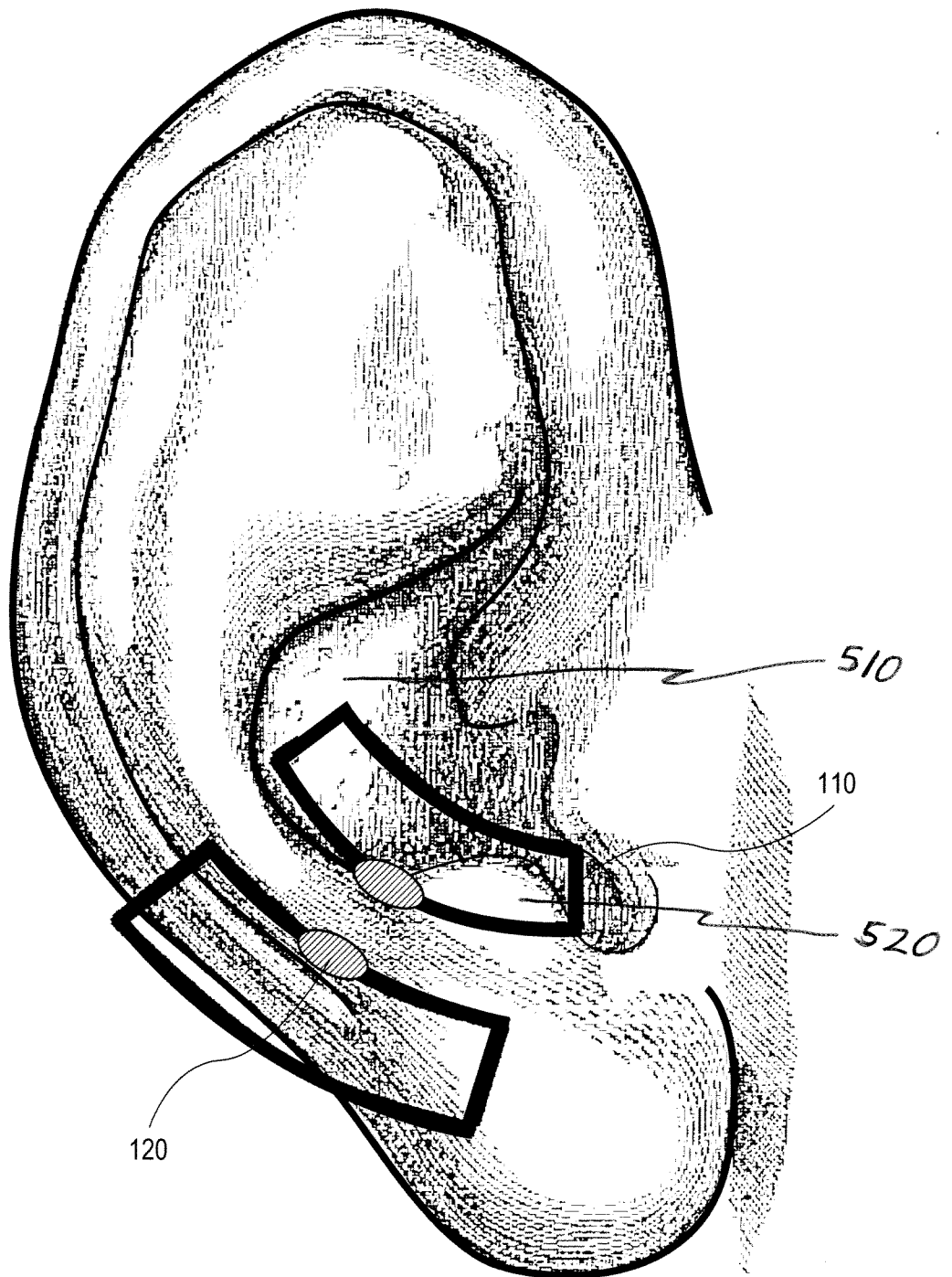


FIG. 4

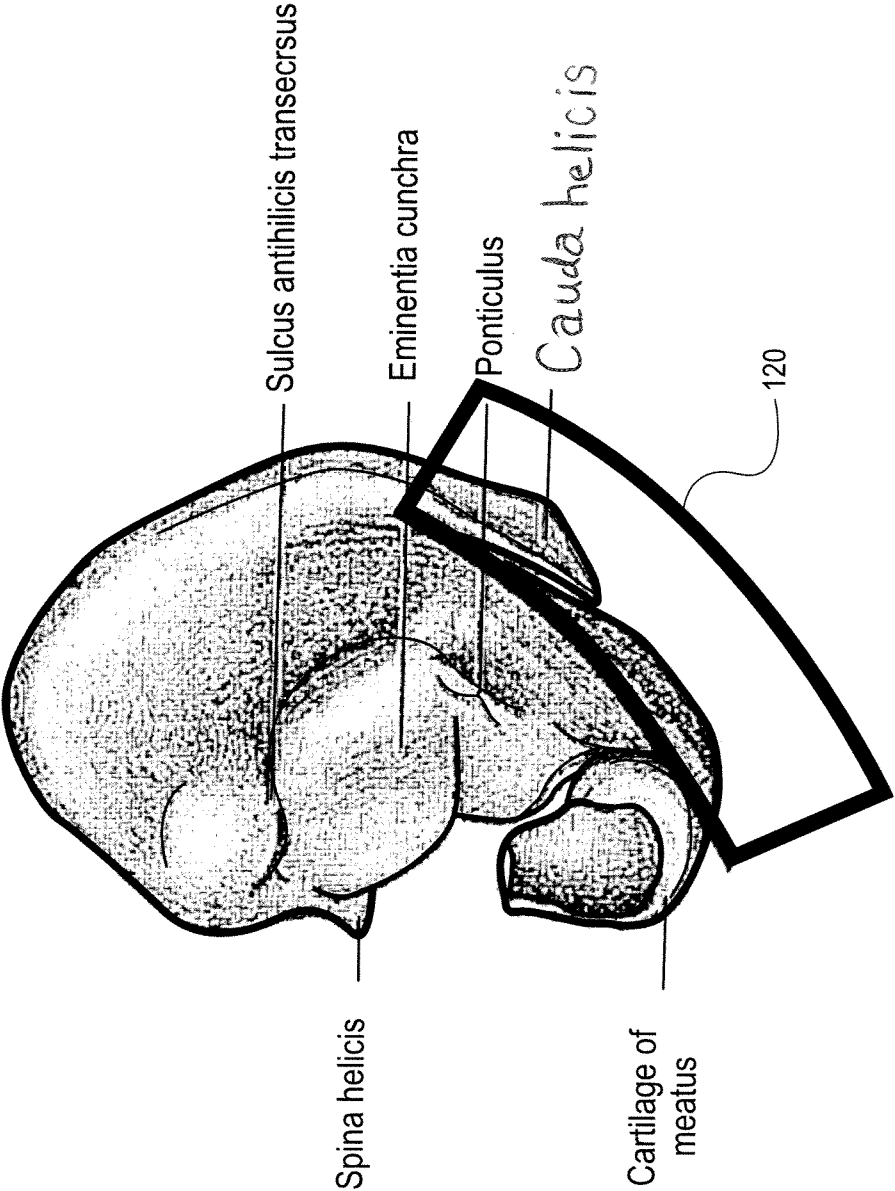


**FIG. 5A**



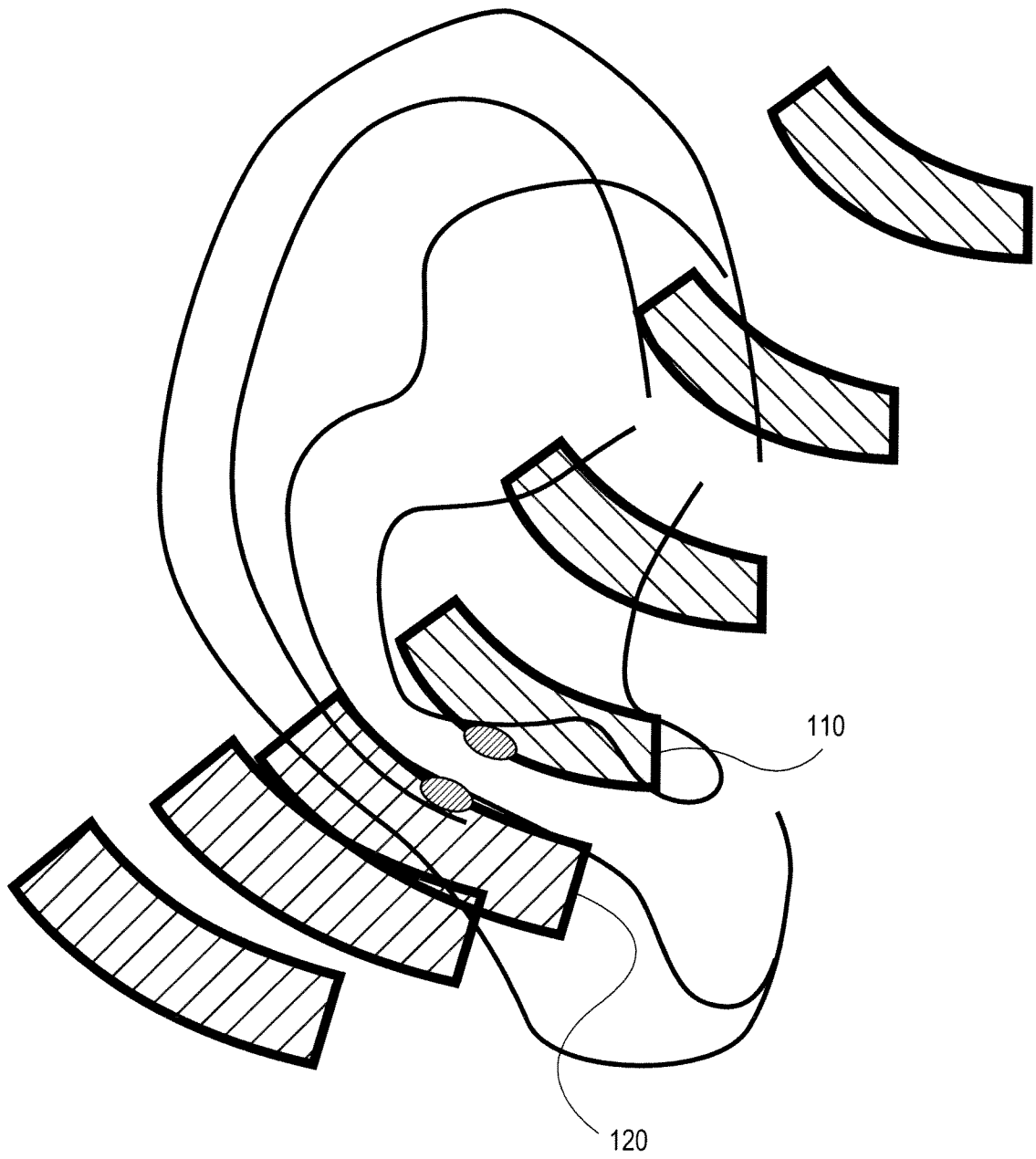
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FIG. 5B

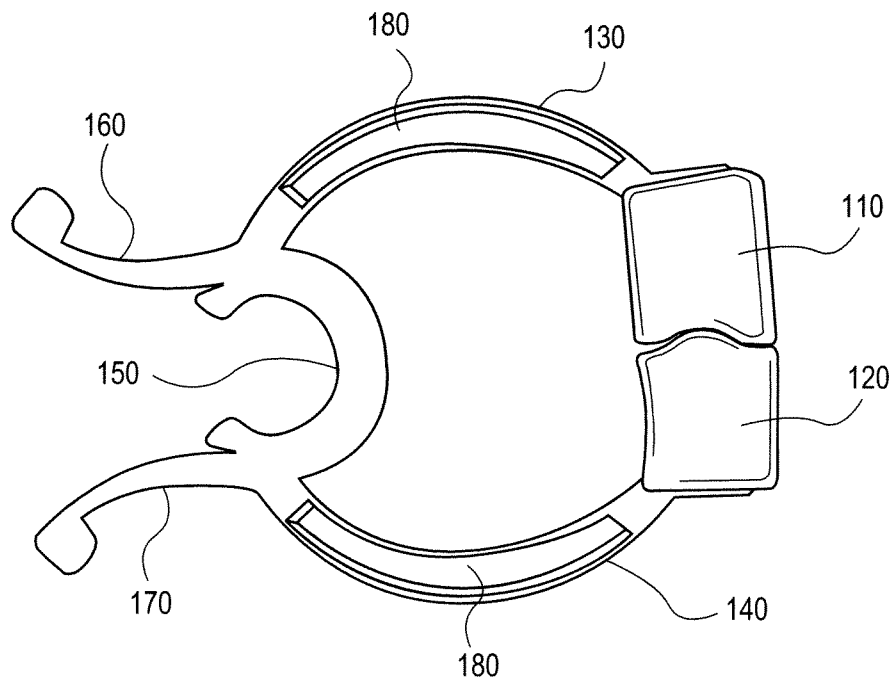


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**FIG. 6**



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**FIG. 7**

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Parameter	Mean or % (95% Confidence Intervals)
Age (years) 36	(29-43)
Gender (% male) 73	(57-86)
Trauma (%) 83	(68-93)
SBP $\leq$ 90 mmHg (%) 16	(5-33)
Indication for Intubation (%)	
Respiratory failure	32 (18-48)
Airway trauma	10 (3-23)
Altered level of consciousness	59 (42-74)

**FIG. 8**

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2009/042616

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC(8) - A61B 5/00 (2009.01) USPC - 600/310 According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC(8) - A61B 5/00 (2009.01) USPC - 600/310, 322, 323, 340 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) PatBase, Google Patent Search		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,957,840 A (TERASAWA et al) 28 September 1999 (28.09.1999) entire document	6, 10
Y		1-5, 7-9
Y	US 5,666,952 A (FUSE et al) 16 September 1997 (16.09.1997) entire document	1-5, 7-9
A	US 5,551,423 A (SUGIURA) 03 September 1996 (03.09.1996) entire document	1-10
A	US 7,263,396 B2 (CHEN et al) 28 August 2007 (28.08.2007) entire document	1-10
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/>		
<p>* Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>		
Date of the actual completion of the international search 11 June 2009		Date of mailing of the international search report <b>25 JUN 2009</b>
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201		Authorized officer: Blaine R. Copenheaver PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774