

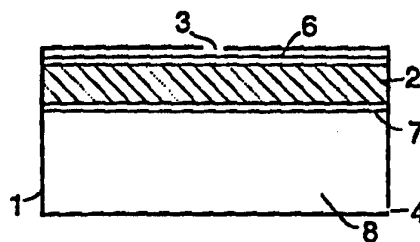


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/DK96/00276 <b>(22) International Filing Date:</b> 21 June 1996 (21.06.96) <b>(30) Priority Data:</b> 726/95                      23 June 1995 (23.06.95)                      DK <b>(71) Applicant (for all designated States except US):</b> MI-CROTRONIC A/S [DK/DK]; Byleddet 12-14, DK-4000 Roskilde (DK). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> BAY, Jesper [DK/DK]; Skovkrogen 7, 1., DK-2920 Charlottenlund (DK). BOUW-STRA, Siebe [NL/DK]; Præstevænget 25, DK-3400 Hillerød (DK). HANSEN, Ole [DK/DK]; Sjølsmarkvej 20, DK-2970 Hørsholm (DK). <b>(74) Agent:</b> HOFMAN-BANG & BOUTARD, LEHMAN & REE A/S; Grundtvigsvej 37, DK-1864 Frederiksberg C (DK).		<b>(81) Designated States:</b> JP, US, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i> <i>In English translation (filed in Danish).</i>

**(54) Title:** MICROMECHANICAL MICROPHONE**(57) Abstract**

The micromechanically produced microphone consists of a housing (1) wherein a transducer element (2) is placed and which has a sound inlet (3) on one side of the transducer element and a pressure compensation hole (4) on the other side. In order to protect the microphone against humidity, dust and dirt, which partly or totally will be able to destroy its characteristics, a sealing acoustic membrane (6, 7) is placed on each side of the transducer element. The transducer element can for example be a capacitive transducer with external bias or an electret based transducer. The microphone, which can be produced in very small dimension, is among other things suitable for use in hearing aids.



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### Micromechanical Microphone

The present invention concerns a micromechanical microphone with a housing in which a transducer element is placed, and which has a sound inlet on one side of the transducer element and a pressure compensation hole on the other side. Primarily the pressure  
5 compensation hole has a high acoustic impedance at audio frequencies, and is placed in a, in other respects, closed rear chamber.

The transducer element normally consists of a membrane which deflects due to the sound pressure, and an arrangement to convert this deflection into an electrical signal.

Commonly known microphones of small dimensions, as of the magnitude 3.5 mm x 3.5 x  
10 2 mm, for example for use in hearing aids, are traditionally manufactured by assembling a number of individual parts, such as plastic foils, metal parts, hybrid pre-amplifiers etc., in total 12-15 parts.

In the past, many different prototype microphones have been fabricated using micromechanics which is a technology based on advanced silicon integrated circuit  
15 manufacturing concepts but used for the fabrication of mechanical components. The advantage of this technology is that microphones with improved characteristics can be obtained and it is possible to realise batch fabrication where hundreds or thousands of devices are processed at the same time implying that production cost can be reduced significantly.

20 Up till now the micromechanical microphones have not been able to fulfil the demands for use in hearing aids, especially because they have been far too sensitive to humidity, dust and dirt which partly or totally has been able to damage the performance of the microphone. Of prior art within the area, which can be used to overcome some of the above mentioned disadvantages, is disclosed in US 2.086.107 which describe a  
25 condenser microphone of conventional (i.e. not micromechanical) design, where the transducer element on the sound inlet side is sealed by the microphone membrane itself, and where the back side is closed by a rubber membrane which can expand and contract by changes in the barometric pressure, as a chamber on the outer side of the rubber membrane is connected with the environment via a pressure compensation hole.

30 This technical solution gives a sealing which is adequate for traditional microphones as the condenser microphone referred to above, but it has a number of disadvantages when used in small micromechanical microphones. This is because the membranes exhibit very

large static deflections when the atmospheric pressure and/or the temperature changes.

The membranes centre deflection is for example more than twice as large as the height of the encapsulated volume multiplied by the relative pressure change and even bigger if the area of the membrane is smaller than the rear chambers sectional area. Static pressure variations of  $\pm 10\%$  are not unrealistic, meaning that the membrane's static deflection can be in the range of 0,5 mm at a height of 2 mm. In a micromechanical microphone this is unacceptable. Firstly, deflections of this magnitude consumes far too much space, meaning that the microphone gets significant bigger than necessary and desirable. Secondly, it requires a very soft membrane material to keep the membrane acoustical transparent under such large static deflections. It may not be impossible to find a material that meets these requirements, but if it should be compatible with a micromechanical production process, it limits the possibilities drastically, meaning a far more complicated production process is needed.

One solution would be to make the sealed membranes of a material with microscopic pores allowing a pressure compensation as described in US-5.222.050 and WO-95/21512. It could e.g. be a porous PTFE-film ("Teflon"), which among others is sold under the trade name "GORE-TEX". This material does not allow water and dust particles bigger than the pores in the material to pass, while gasses diffuses freely through. This solution, however, is not appropriate as the pores will clog-up, and further, the material is difficult to combine with micromechanical production processes.

The purpose of the present invention is to solve the above discussed problems and, according to the invention, this is obtained by the presence of a sealing acoustic transparent membrane on each side of the transducer element in a distance in the range of 50  $\mu\text{m}$  or less from this.

The invention makes use of the gas law, saying, that pressure  $p$  multiplied by the volume  $V$  divided with the absolute temperature  $T$  is constant

$$\frac{p * V}{T} = \text{constant} \quad (1)$$

As the membranes have to be acoustically transparent only a inconsiderable difference in pressure acting on them is necessary to make them deflect. The pressure in the sealed volume may therefore be considered equivalent to the atmospheric pressure outside. This means, that if the temperature and/or the static pressure (the atmospheric pressure) is

changing, the encapsulated volume must change proportionally, to satisfy expression (1).  
The relative change of the encapsulated volume will be:

$$\frac{\Delta V}{V_0} \approx \frac{\Delta T}{T_0} - \frac{\Delta p}{p_0} \quad (2)$$

where initial pressure, -temperature and -volume are notified by index 0, and the increase is notified with  $\Delta$ .

If the encapsulated volume  $V$  is the whole rear chamber, as it is the case in the above mentioned US-2.086.107, the absolute change in volume  $\Delta V$  and thereby the membrane deflection must be very large. However, when the sealed membranes, according to the invention, are placed close to the transducer element the encapsulated volume gets smaller and therefore requires a smaller absolute volume change and thereby a small membrane deflection. If e.g. maximum deflections in the size of 50  $\mu\text{m}$  are allowed at a pressure change of 50,000 Pa, the distance between the transducer element and the sealed membranes must be max. 50  $\mu\text{m}$ , as the air volume in the transducer element is considered negligible.

A large deflection will stretch the membrane, which makes it stiffer. When the deflections are small there will therefore be less demands to the membrane material, and hereby it gets easier to provide a material which features an acoustic transparent membrane. When the membranes are small they only take up a minor part of space and in so the microphone can be produced with smaller dimensions.

If the encapsulation of the sensitive transducer element is hermetic, humidity and dust will be kept totally out in the same way as with the above mentioned traditional condenser microphone. However, if the sealed membranes are diffusion transparent for water vapour, the total amount of vapour which can condense, will anyway be very small due to the small encapsulated volume, and the amount of vapour which can condense is therefore insignificant. At the same time, slow variations in the static pressure will be compensated.

The initial pressure and the gas in the chamber between the sealed membranes can be controlled according to the invention, which advantageously can be obtained by use of micromechanics in the production process. The gas must, of course, contain an absolute minimum of water vapour.

The suggested microphone is not limited to an exact type of transducer element and can as such e.g. be a capacitive transducer element with external bias, an electret based transducer element or a tunnel current based transducer element of which all typically would have a membrane as a part of the transducer element.

- 5 Through a special embodiment of the microphone according to the invention, the two sealed membranes are mechanically connected and electrically conductive or provided with an electrically conductive layer. The transducer element is in this embodiment provided with a fixed conductive electrode, which together with the two sealed membranes, directly makes a capacitive microphone. The mechanical connection  
10 between the membranes serves in reducing the effects of changes in the static pressure on the microphones sensitivity for the sound pressure.

- The connection between the membranes constitutes, according to the invention, appropriately of piles which can be wider than they are high and which passes freely  
15 through the holes in the fixed electrode between the membranes. Although, such a construction appears complicated, it is possible to realise it by means of micromechanics.

- In a further embodiment of the microphone the peripheral areas of the sealed membranes have no mechanical interconnection by means of piles. These peripheral regions are hereby able to absorb the static pressure variations by means of deflection, so that the  
20 sealed volume and therewith the pressure in it, changes. The deflection of the central area of the membranes gets very small due to the piles. In a further embodiment only the central areas of the sealed membranes are electrically conductive. By means of this, the deflection of the peripheral areas affects the microphone's sensitivity for sound pressure significantly less because the signal comes only from the electrodes on the central areas  
25 which is not deflected much by static pressure due to the piles and the pressure compensation, as the deflection of the peripheral areas gives.

By another embodiment, according to the invention, the conductive central areas of the sealed membranes are thicker and stiffer than the peripheral regions. This adds further to making the microphone's sensitivity independent of the static pressure.

- 30 By an embodiment of the invention, the fixed electrode may have cut-outs in the peripheral areas. The membrane may be electrically conducting all over, but the signal comes only from the central region where the fixed centre electrode is.

According to a further aspect of the invention, the transducer element can include a membrane and two fixed conductive back plates with through holes, placed on each side of the membrane. This construction features significant sensitivity for the sound pressure, meaning that in spite of the small size, a significant electrical signal may be achieved. It may be convenient to provide the membrane with a small hole for pressure compensation as it would make a strictly symmetric construction unnecessary. The hole must be so small that it has a high acoustic impedance in the audio frequency range.

A further improvement of the microphones characteristics can be achieved according to the invention, when a so called "force-balancing" - feedback circuit counteracts the deflection of the transducer element's membrane(s), typically by means of electrostatic forces. By capacitive transducer elements a higher sensitivity is obtained, as it is possible to work with a higher bias voltage, without the membrane will be dragged in to one of the back plates. This also counts for, among others, the transducer element with two membranes, which at the same time forms the sealing with a fixed electrode in between and for the transducer element consisting of a membrane and two back plates. The force-balancing can, as a matter of fact, also by most types of transducer elements imply other advantages, such as an increased bandwidth and better linearity of the microphone, and a reduced sensitivity to variations in the membrane's and the rear chamber's stiffness.

The invention may hereafter be further explained accordingly to the drawing, where :

fig. 1 shows a microphone with a single, sealed membrane and a sealed rear chamber where a static pressure change of approximately 20% has occurred after the end of the sealing process.

fig. 2 shows an embodiment for a microphone according to the invention with two sealed membranes and a ventilation hole in the rear chamber.

fig. 3 shows another embodiment with a fixed electrode between the two membranes, which is connected by means of piles, shown without influence of pressure .

fig. 4 the same as above, under influence of pressure,

fig. 5 the same as fig. 3 and 4, but under influence of a static pressure,

- fig. 6 a further embodiment for a microphone according to the invention, where the transducer element consists of two back plates and a membrane in between, shown without pressure influence,
- 5 fig. 7 the same as fig. 6, but under influence of sound pressure,
- fig. 8 the same as fig. 6, but under influence of static pressure,
- fig. 9 the same as fig. 6, but under influence of both a sound pressure and a static pressure.
- 10 The microphone shown in fig. 1 has a housing 1, in which a transducer element 2 is placed, and which has a sound inlet 3. Above the transducer element 2, there is a front chamber 9 in which a sealing membrane 5 is placed, which primarily is acoustic transparent, with a compliance that does not influence the sound pressure. Below the transducer element 2 there is a hermetic closed rear chamber 8. The microphone is
- 15 shown at a static pressure change at 20%, which has caused the membrane deflect strongly, so the volume change of the hermetic sealed chamber mostly neutralises the change in the static pressure, as the pressure in the sealed chamber falls when the volume increases. It is clear that this construction requires a front chamber of significant size in order to allow room for the large deflection of the membrane.
- 20 In the embodiment for a microphone according to the invention shown in fig. 2, the rear chamber 8 is provided with an air ventilation hole or pressure compensation hole 4, and above the transducer element 2 a sealing acoustic transparent membrane 6 is placed, and under the transducer element a similar sealing and acoustic transparent membrane 7 is placed. The membranes 6 and 7 are placed closely to the transducer element, by which
- 25 means the encapsulated volume between the membranes is getting much smaller than if the whole rear chamber 8 is included in the sealed volume. The necessary deflections of the membranes are thereby also getting proportionally smaller. In this context it should be mentioned, that large deflections will stretch the membranes which makes them stiffer and this, again, causes that the membranes are getting less acoustic transparent. With the
- 30 construction shown in fig. 2 this disadvantage is strongly reduced or even totally avoided.



In the embodiment shown in fig. 3,4 and 5 the transducer element 2 consists of a fixed conductive electrode 10 and two sealed membranes 6 and 7, which are connected with each other by means of connection piles 11, which passes through the holes 12 in the electrode 10. The sealed membranes 6 and 7 are in their central area 13 and 14  
5 electrically conductive, as they as an example are provided with electrically conductive coatings by which means the membranes together with the electrode 10 forms a capacitive microphone where the rear chamber 8 which like in the embodiment in fig. 2 is provided with a pressure compensation hole 4. The mechanical connection, which is established by means of the piles 11, which are not touching the centre electrode 10 in  
10 the holes 12, serves to reduce the influence of static pressure changes on the microphones sensitivity for the outside coming sound pressure.

In fig. 3 the microphone is shown without influence from any pressures. A sound pressure through the opening 3 will deflect both membranes 6 and 7 in same direction, as shown in fig. 4. This effect will appear regardless of whether the membranes 6 and 7 are  
15 connected with the piles 11 or not. The deflection changes the electrical capacitances between the two membranes and the centre electrode 10 as one increases and the other reduces.

In fig. 5 the case where the static pressure has dropped is shown. The peripheral areas  
20 15 and 16 of the membranes which are not connected with piles, absorbs the static pressure variations by deflecting, so the sealed volume and consequently the pressure therein, is changing. The deflection of the central area of the membranes is very small due to the piles 11. Furthermore, if the membranes are thicker in the central area 13 and 14 than in the peripheral regions, the deflection arising from the static pressure is further  
25 reduced. The deflection of the peripheral areas 15 and 16 does not influence significantly on the sound pressure measurement, as it is realised by means of the electrodes on the central area. In a further embodiment (not shown) of this construction, the central fixed electrode has cut-outs in the peripheral areas where the electrode has no electrical function. This can be used for defining the condenser area, if the membranes are  
30 conductive all over, and the area is not definable by means of electrodes on the membranes. Furthermore it can be used in order to obtain a lower damping and a higher sensitivity.

In the embodiment for a microphone shown in fig. 6,7,8 and 9, according to the invention, the same cross-reference symbols for the same parts are used as in the  
35 previous figures. In a housing 1 a transducer element 2 is placed and the housing has a

sound inlet 3 and a pressure compensation hole 4 and sealed acoustic transparent membranes 6 and 7 are placed in a front chamber 9 and a rear chamber 8 respectively. In order to obtain a high sensitivity, the transducer element is provided with two back plates 17 and 18 placed one on each side of a membrane 19, which is deflected by the sound pressure. By using two back plates for capacitive detection a doubled sensitivity is obtained compared to the case of only using one back plate. As the two electrostatic forces, by the embodiment with two back plates, influences the membrane from both sides, it gets possible to use a higher evaluation voltage without having the membrane dragged in to the back plate. This gives a further increase in sensitivity. Also for this type of microphone cut-outs in the back plates or electrodes on a non-conductive membrane can be used. This can give an increased sensitivity and/or a lower damping.

In fig. 6 this microphone embodiment is shown without any pressures acting, while fig. 7 shows the microphone being exposed for a sound pressure through the sound inlet 3. Fig. 8 shows the microphone being exposed for a static pressure, according to the embodiment shown in fig. 5. and fig. 9 shows the microphone as it, at the same time, is exposed for a sound pressure and a static pressure.

The transducer element referred to above shown in fig. 2-5 with a conductive centre electrode 10 and two membranes 6 and 7, one on each side of 10 and the transducer element shown in fig. 6-9 with a membrane 19 and two back plates 17 and 18, in a simply way, makes it possible to realise a feedback loop which enables "force-balancing" by which the membrane or the membranes are under influence of electronically controlled forces, which ideally counterbalances the acoustic pressure on it/them, so that it/they are kept in it's/their equilibrium position. This reduces the sensitivity for variations in stiffness of the rear chamber 8, which is depending on the static pressure, and in the stiffness of the membrane/membranes. For example by the microphone embodiment in fig. 6-9 with a back plate 17, 18 on each side of the membrane 19 there can, for example, by applying an electrical voltage on the membrane relative to the back plates, be created an electrostatic force on it, which is proportional to the voltage. If there is only one back plate and one membrane the pressure will be proportional to the square of the voltage, which makes the feedback circuit more complicated. At the same time a static deflection of the membrane occurs. Furthermore, the "force-balancing" can generally give an increased bandwidth and a better linearity.

The force-balancing feedback circuit can be built as a  $\Sigma\Delta$ -converter. The microphone may in that case be a part of the converter, as it may perform two integrations. These can

be realised by the microphone's second order slope observed at frequencies higher than the resonance frequency, where the microphone roughly acts as a double integrator.

The miniature sized microphones described in this context for use in hearing aids, operate at battery voltages in the order of 1 V. In order to realise electrostatic feedback for "force-balancing" and/or to achieve good sensitivity of microphones with a capacitive transducer element at this low level operating voltage, a very small air gap distance (below 1  $\mu\text{m}$ ), between the transducer elements membrane(s) 6 and 7 accordingly 19 and back plate(s) 10 accordingly 17 and 18 is required. For example the air gap should be about max. 0,5  $\mu\text{m}$ , to make it possible to counterbalance a sound pressure of 10 Pa by means of a voltage of 1 V. Air gaps that small are today only possible to realise by means of micromechanics. When the air gap is that small it is necessary to provide the back plates with a very big amount of air holes 12 respectively 20 in order to avoid that the air flow in the air gap presents a too big acoustic resistance. The distance between the holes may be less than 10  $\mu\text{m}$ , which is feasible by means of micromechanics, but difficult with traditional technology. This means, it is necessary to have very small air gaps and holes, which, however, makes the microphones sensitive for dust and humidity and therefore, necessitates sealing.

## CLAIMS

1. Micromechanical microphone consisting of a housing (1), in which a transducer element is placed (2), and which has a sound inlet (3) on each side of the  
5 transducer element and a pressure compensation hole (4) on the other side, characterised by, having a sealing acoustic transparent membrane (6,7) on each side of the transducer element (2) in a distance in the range of 50  $\mu\text{m}$  or less from this.
2. Microphone according to claim 1, characterised by, having a distance  
10 between the transducer element (2) and the sealing membranes (6,7) in the range of 1  $\mu\text{m}$  or less.
3. Microphone according to claim 1, characterised by, the initial pressure and the gas in the chamber between the sealed membranes (6,7) are controllable.
4. Microphone according to claim 1, and where the two sealing membranes (6,7) are  
15 electrically conductive or have an electrically conductive coating covering a part of or the total area, characterised by, having mechanically interconnected membranes at a number of places on the membrane area, and that there is placed a fixed electrode (10) between the two membranes (6,7).
5. Microphone according to claim 4, characterised by, that the connection  
20 between the membranes (6,7) is made by piles (11), which passes freely through holes (12) in the fixed electrode (10).
6. Microphone according to claim 4, characterised by, that the peripheral areas (15,16) of the sealing membranes (6,7) are without mechanical inter connection by means of piles.
- 25 7. Microphone according to claim 4, characterised by, that only the central areas (13,14) of the sealed membranes (6,7) are electrically conductive.
8. Microphone according to claim 7, characterised by, that the conductive central areas (13,14) of the sealed membranes (6,7) are thicker and stiffer than the peripheral regions (15,16).

9. Microphone according to claim 4, characterised by, that the fixed electrode (10) is provided with cut-outs in the peripheral regions of it's area.
10. Microphone according to claim 1, characterised by, that the transducer element (2) includes a pressure sensitive membrane (19) which is electrically  
5       conductive or has a conductive coating on a part of or all over it's area, and  
      two electrically conductive fixed back plates (17,18) with through going holes  
      (20), placed on each side of the membrane .
11. Microphone according to claim 10, characterised by, that the fixed back  
      plates (17,18) are provided with cut-outs spread over their area.
- 10   12. Microphone according to any of the claims 4-11, characterised by, that a so  
      called "force-balancing" feedback circuit is designed to apply the transducer  
      element's membrane (19) with a force/pressure counter balancing the sound  
      pressure.
- 15   13. Microphone according to claim 12, characterised by, that the feedback  
      circuit is designed as a  $\Sigma\Delta$ -converter, where the microphone is a part of the  
      converter.

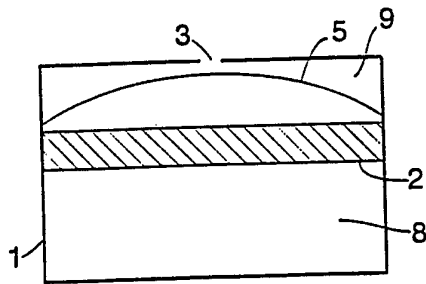


Fig. 1

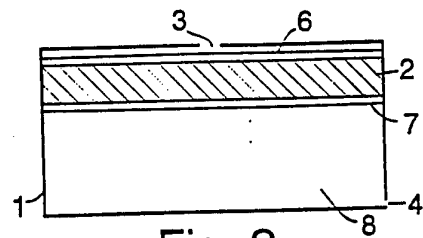


Fig. 2

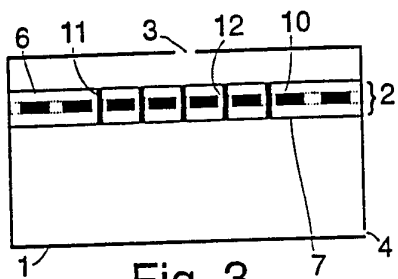


Fig. 3

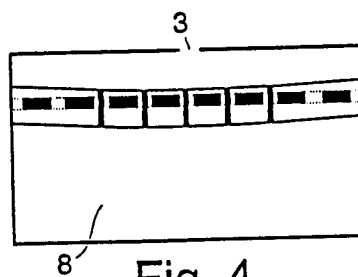


Fig. 4

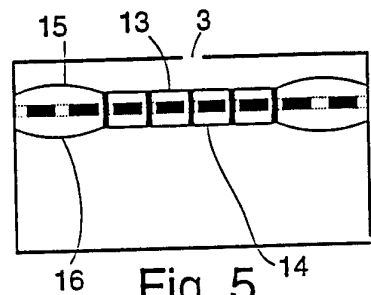


Fig. 5

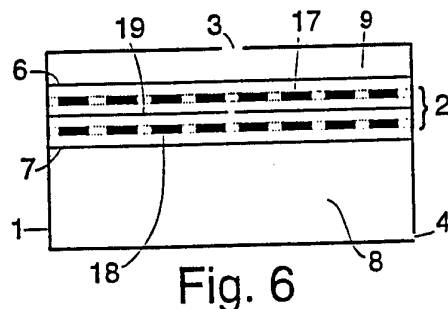


Fig. 6

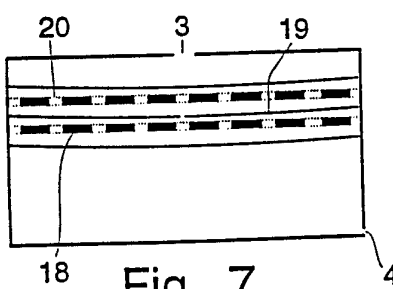


Fig. 7

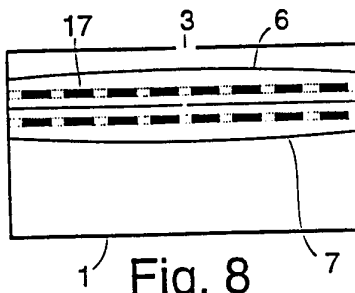


Fig. 8

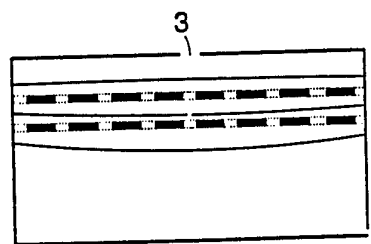


Fig. 9

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/DK 96/00276

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
IPC6: H04R 1/02 // H04R 25/02, H04R 1/10 According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols)		
IPC6: H04R		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
SE,DK,FI,NO classes as above		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
EPODOC		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0118356 A1 (THOMSON-CSF), 12 Sept 1984 (12.09.84)  --	1
A	US 3980838 A (YAKUSHIJI ET AL), 14 Sept 1976 (14.09.76), column 2, line 57; column 6, line 47 - line 59  --	1,4
A	WO 9515067 A1 (LUX-WELLENHOF, GABRIELE), 1 June 1995 (01.06.95), details 30,31  -- -----	1
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input checked="" type="checkbox"/> See patent family annex.		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search		Date of mailing of the international search report
27 Sept 1996		02 -10- 1996
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# INTERNATIONAL SEARCH REPORT

Information on patent family members

05/09/96

International application No.

PCT/DK 96/00276

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A1- 0118356	12/09/84	CA-A- 1229907 FR-A,B- 2542552 JP-A- 59174097 US-A- 4607145	01/12/87 14/09/84 02/10/84 19/08/86
US-A- 3980838	14/09/76	CA-A- 1030648 DE-A- 2506988 FR-A,B- 2261675 GB-A- 1504971 JP-C- 1275663 JP-A- 50115518 JP-B- 59044840 JP-A- 50115520 JP-A- 51027318	02/05/78 21/08/75 12/09/75 22/03/78 31/07/85 10/09/75 01/11/84 10/09/75 06/03/76
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