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(54) **Title:** METHOD FOR PRODUCING RETINAL GANGLION CELLS FROM PLURIPOTENT CELLS

(57) **Abstract:** A method of making retinal ganglion cells, comprises the steps of: (a) differentiating pluripotent stem cells into retinal progenitor cells; and, (b) differentiating retinal progenitor cells into retinal ganglion cells.

1 **METHOD FOR PRODUCING RETINAL GANGLION CELLS**
2 **FROM PLURIPOTENT CELLS**

3 **CROSS REFERENCE TO PRIOR APPLICATIONS**

4 **[0001]** This application claims priority under the Paris Convention to US Application
5 Number 61/988,775, filed May 5, 2014, the entire contents of which are incorporated herein by
6 reference.

7 **FIELD OF THE DESCRIPTION**

8 **[0002]** The present description relates generally to methods of preparing cells from
9 pluripotent stem cells. More particularly, the description relates to methods of preparing retinal
10 ganglion cells from pluripotent stem cells, and more specifically human pluripotent stem cells.

11 **BACKGROUND OF THE DESCRIPTION**

12 **[0003]** Human pluripotent stem cells, which include both human embryonic stem cells
13 (hESCs) and human induced pluripotent stem cells (hiPSCs), hold the potential to differentiate
14 into any cell type. As such, they can serve as comprehensive model systems of human cell
15 genesis, particularly at early developmental stages that would otherwise be inaccessible to
16 investigation. In addition, patient-derived hiPSC lines have a unique capacity to model human
17 disease, although the scope of disorders amenable to this form of study is limited. Major
18 considerations when creating hiPSC disease models include the capacity to efficiently generate,
19 identify and isolate relevant cell populations, as well as recapitulate and assay critical aspects of
20 the disease mechanism.

21 **[0004]** Retinal cell types are particularly well-suited for the investigation of cell
22 development and dysfunction using pluripotent stem cell technology. The vertebrate retina
23 harbors a modest repertoire of major cell classes sequentially produced via a conserved series
24 of events. Furthermore, the effects of inherited and acquired retinal degenerative diseases
25 (RDD) are often limited initially to a specific cell class, which simplifies the study of cellular
26 mechanisms that incite RDD and the evaluation of potential therapies.

27 **[0005]** Previous studies have demonstrated the ability of human pluripotent stem cells to
28 differentiate along the retinal lineage with varying efficiencies, with one protocol achieving a
29 near uniform retinal cell fate using the WA01 hESC line (Lamba et al., 2011). However,

1 pluripotent stem cell-derived retinal cells, particularly those from hiPSCs, are most often found
2 in mixed populations that include some non-retinal or unidentified cell types. Further
3 complicating matters is the fact that several markers used for retinal cell identification (e.g.,
4 calretinin, PKC α , Tuj1) also label cells found in other regions of the CNS. As such, a means to
5 isolate developmentally synchronized populations of multipotent retinal progenitor cells (RPCs)
6 across multiple hESC and hiPSC lines would be desirable. The RPCs and their definitive retinal
7 progeny could then be used to study mechanisms of human retinal development and disease,
8 examine retinal cell function, and devise and test RDD treatments.

9 **[0006]** In recent years, several groups have described the ability to direct human
10 pluripotent stem cells (hPSCs) to a retinal fate (Lamba et al., 2006, 2010; Osakada et al., 2008;
11 Carr et al., 2009; Hirami et al., 2009; Nakano et al., 2012; Buchholz et al., 2013). In order to
12 serve as an effective in vitro model for human retinogenesis, as well as provide a foundation for
13 translational applications, the stepwise differentiation of hPSCs through all of the major stages
14 of retinogenesis helps to ensure the proper differentiation and prospective identification of
15 hPSC-derived retinal progeny (Meyer et al., 2009, 2011; Gamm and Meyer, 2010; Sridhar et al.,
16 2013).

17 **[0007]** The present inventors have previously described a method to differentiate human
18 pluripotent stem cells to RPCs, retinal pigment epithelium (RPE), and photoreceptor-like cells in
19 a manner that mimicked normal human retinogenesis (Meyer et al., 2009; the entire contents of
20 which are incorporated herein by reference). However, a means to separate and track the fate
21 of the RPCs in live culture was not available at that time. The present inventors have also
22 described a method wherein transient morphological features were used to isolate structures
23 with characteristics reminiscent of the optic vesicle (OV) (Meyer et al., 2011; the entire contents
24 of which are incorporated herein by reference). Using such OV-like structures, it was possible
25 to study principles of early human retinal development, monitor the sequence and timing of
26 neuroretinal cell genesis, and optimize RPC and RPE production efficiencies in recalcitrant
27 hiPSC lines.

28 **SUMMARY OF THE DESCRIPTION**

29 **[0008]** In one aspect, the present description provides a method of making retinal
30 ganglion cells, comprises the steps of: (a) differentiating pluripotent stem cells into retinal
31 progenitor cells; and, (b) differentiating retinal progenitor cells into retinal ganglion cells.

1 **[0009]** In another aspect, the present description provides a method of making retinal
2 ganglion cells, comprising the steps of:

3 (a) differentiating human pluripotent stem cells into retinal progenitor cells, the retinal
4 progenitor cells expressing one or more of Chx10 and Pax6 and lacking Sox1 expression; and

5 (b) differentiating retinal progenitor cells into retinal ganglion cells, the retinal ganglion
6 cells having one or more of:

7 - retinal ganglion cell morphology;

8 - ability to fire action potentials;

9 - ability to exhibit inward sodium currents that are sensitive to a voltage-gated
10 sodium channel blocker;

11 - ability to conduct potassium through voltage-gated channels; and

12 - Brn3 expression.

13 **[0010]** In another aspect, the present description provides a method of preparing retinal
14 ganglion cells from human pluripotent stem cells or human induced pluripotent cells. In one
15 aspect, the pluripotent cells are derived from glaucoma patients.

16 **[0011]** In another aspect, the present description provides a novel method of preparing
17 retinal ganglion cells from pluripotent stem cells including the step of inhibiting Wnt.

18 **BRIEF DESCRIPTION OF THE FIGURES**

19 **[0012]** The features of certain embodiments of the invention will become more apparent
20 in the following detailed description in which reference is made to the appended figures:

21 **[0013]** Figure 1: Overview of retinal differentiation protocol. hPSCs can be directed to
22 differentiate into all retinal cell types in a stepwise process. First, undifferentiated hPSCs are
23 directed to differentiate by the generation of embryoid bodies. By 7 total days of differentiation,
24 embryoid bodies are plated as adherent cultures. By 16 total days, neurospheres are generated,
25 and by 20 total days, retinal progenitor populations may be enriched. The maintained cultures of
26 retinal neurospheres will yield all major cell types of the neural retina within the first 70 days of
27 differentiation. Alternatively, optic vesicle-like cultures at day 16 of differentiation may be
28 utilized to generate retinal pigment epithelium through the maintenance of adherent cultures.

29 **[0014]** Figure 2: Characterization of undifferentiated hPSCs. **(A)** hPSCs display a typical
30 undifferentiated morphology, including tightly packed colonies of cells and clearly defined

1 edges. **(B)** RT-PCR analysis demonstrates presence of characteristic pluripotency markers in
2 hPSCs and a lack of mesodermal, endodermal, and ectodermal markers. **(C-H)**
3 Immunocytochemistry demonstrates widespread expression of pluripotency-associated
4 transcription factors (red) and cell surface markers (green).

5 **[0015]** Figure 3: Induction of hPSCs to a neural progenitor fate. hPSCs were analyzed
6 after 10 days of differentiation and plating. **(A)** At 10 days, colonies appear more three-
7 dimensional in the center, becoming more flattened and enlarged toward the periphery. **(B)** RT-
8 PCR analysis demonstrates expression of neural markers PAX6 and SOX1. An anterior neural
9 eye-field fate was further indicated by expression of OTX2, RAX, SIX3, and LHX2. **(C-E)**
10 Immunocytochemistry demonstrates widespread expression of many of these transcription
11 factors.

12 **[0016]** Figure 4: Differentiation of hPSCs to retinal pigment epithelium (RPE). **(A)** hPSC-
13 derived RPE-like cells express typical RPE-associated markers when screened by RT-PCR. **(B)**
14 Under bright-field microscopy, they display proper morphological features distinct to RPE,
15 including hexagonal shape and areas of pigmentation. **(C)** Immunocytochemical analysis
16 reveals features typical of the RPE, including expression of tight junction proteins such as ZO-1
17 and transcription factors such as OTX2.

18 **[0017]** Figure 5: Identification, enrichment, and characterization of retinal progenitor
19 cells. **(A)** After 30 days of differentiation, hPSCs were isolated into two morphologically distinct
20 and readily identifiable populations. **(B)** Retinal neurospheres are characterized by a bright ring
21 surrounding the outer layer. **(C)** The non-retinal neural population displays a larger, more
22 uniform appearance. **(D)** RT-PCR analysis reveals striking differences between populations.
23 Whereas neural-associated transcription factors (PAX6, NeuroD1, and FABP7) are present in
24 both populations, markers that are characteristic of retinal progenitors (CHX10, RAX, and SIX6)
25 are present in retinal neurospheres and absent from non-retinal neural populations. Conversely,
26 forebrain-associated transcription factors (SOX1, DLX1, and EMX1) are expressed in non-
27 retinal neural cells and absent from retinal neurospheres. **(E-J)** Immunocytochemical analysis
28 reveals that retinal neurospheres widely express the retinal progenitor markers CHX10 and
29 PAX6 (E), but largely lack expression of the forebrain-associated marker SOX1 (F). hPSC-
30 derived retinal progenitors also remain highly proliferative within the first 30 days of
31 differentiation (G). Non-retinal neural populations display typical features of emerging forebrain
32 neurons, including expression of β III tubulin and OTX2 (H) and forebrain-associated DLX5 (I),

1 but lack the retinal progenitor marker CHX10 (I). Non-retinal neural cells also retain expression
2 of both PAX6 and SOX1 (J).

3 **[0018]** Figure 6: Differentiation of hPSCs to retinal neurons. **(A)** Within 90 total days of
4 differentiation, hPSC-derived retinal cells display typical neuronal morphologies under DIC
5 microscopy. **(B)** Analysis by RT-PCR illustrates an array of retinal-associated transcription
6 factors, including those associated with ganglion cells (BRN3 and Islet1) and photoreceptors
7 (CRX and NeuroD4). Proteins associated with phototransduction (Red/Green Opsin, Arrestin,
8 and Transducin) are also expressed. **(C-E)** Immunocytochemistry confirms expression of BRN3-
9 positive retinal ganglion cells extending Map2-positive dendrites, as well as photoreceptor-like
10 phenotypes, including expression of CRX, OTX2, and Recoverin.

11 **[0019]** Figure 7: IPS RGC characterization. Low magnification confocal imaging
12 demonstrated an efficiency of approximately 35% of retinal progenitor cells acquiring RGC-
13 associated characteristics. BRN3-positive cells displayed co-expression with the RGC-
14 associated markers PAX6, ISLET1, HUC/D, and RBPMS. MAP2-positive neurite outgrowths
15 appeared from BRN3-positive cells within neural rosette-like structures and eventually gave rise
16 to elaborate RGC-like morphologies expressing MAP2 and TAU, including bundled TAU-
17 positive axons. Moreover, a subset of intrinsically photosensitive melanopsin-positive RGCs
18 were observed in small quantities. qRT-PCR analysis revealed significantly increased
19 expression of RGC-associated transcripts as cells transitioned from a progenitor fate (day 25) to
20 a retinal lineage (day 50). Additionally, enriched populations of retinal neurospheres expressed
21 higher levels of RGC-associated genes as compared to their forebrain, non-retinal counterparts.

22 **[0020]** Figure 8: RGC Developmental Timing. Transcription factors involved in
23 specifying RGC fate showed a temporal and stepwise expression pattern. Pax6 and Chx10
24 expression was predictably observed in the cells from days 20 to 50, indicating a widespread
25 progenitor population. MATH5, a transcription factor required for RGC development, showed
26 higher expression immediately before the commitment of these cells to a BRN3 expressing
27 RGC-like fate.

28 **[0021]** Figure 9: RGC Physiology. Characteristic retinal ganglion cell morphologies
29 were highlighted by differential interference contrast microscopy, including long neurite
30 outgrowth typical of such neurons. Cells also demonstrated the ability to fire action potentials,
31 and exhibited inward sodium currents that were sensitive to the voltage-gated sodium channel

1 blocker, TTX. In addition, these cells exhibited conductance of potassium through voltage-
2 gated channels.

3 **[0022]** Figure 10: Glaucoma hiPSCs. Fibroblasts from a glaucoma patient with an E50K
4 mutation in the OPTN gene were reprogrammed to yield hiPSCs. These hiPSCs demonstrated
5 immunoreactivity to pluripotency markers such as OCT4, SOX2, Nanog and the cell surface
6 antigens SSEA4, Tra-1-81 and Tra-1-60. Cells were directed to differentiate and by day 10,
7 expressed markers indicative of a neural progenitor state. By 30 days of differentiation, retinal
8 progenitors were observed, as well as the onset of RGC-specification.

9 **[0023]** Figure 11: Glaucoma IPS cell phenotype. Retinal ganglion cells were
10 differentiated from OPTN patient hiPSCs as described. Immunocytochemistry analysis revealed
11 widespread expression of BRN3 as well as the development of complex neural networks,
12 indicated by MAP2 and mGLUR2. Untreated OPTN RGCs demonstrated elevated levels of
13 apoptosis, as indicated by increased abundance of activated Caspase-3 when compared to an
14 hiPSC control cell line. After treatment with growth factors BDNF or PEDF, levels of activated
15 Caspase-3 were significantly reduced.

16 **[0024]** Figure 12: Wnt RGC differentiation. Signaling mechanisms underlying RGC
17 specification were tested, including the Notch, FGF, and Wnt signaling pathways. By inhibiting
18 Notch signaling (DAPT treatment), no effects on RGC development were observed. By
19 inhibiting FGF signaling (SU5402 treatment), no effects on RGC development were observed.
20 By inhibiting Wnt signaling (IWR1E treatment), RGC development was enhanced, whereas
21 activation of Wnt signaling (CHIR99021 treatment), greatly reduced RGC development.

22 **DESCRIPTION OF THE PREFERRED EMBODIMENTS**

23 **[0025]** The method and protocols outlined herein are used to differentiate human
24 pluripotent stem cells (hPSCs) into retinal cell types through a process that faithfully
25 recapitulates the stepwise progression observed in vivo. From pluripotency, cells are
26 differentiated to a primitive anterior neural fate, followed by progression into two distinct
27 populations of retinal progenitors and forebrain progenitors, each of which can be manually
28 separated and purified. The hPSC-derived retinal progenitors are found to self-organize into
29 three-dimensional optic vesicle-like structures, with each aggregate possessing the ability to
30 differentiate into all major retinal cell types. The ability to faithfully recapitulate the stepwise in
31 vivo development in a three-dimensional cell culture system allows for the study of mechanisms

1 underlying human retinogenesis. Furthermore, this methodology allows for the study of retinal
2 dysfunction and disease modeling using patient-derived cells, as well as high-throughput
3 pharmacological screening and eventually patient-specific therapies.

4 **[0026]** As described herein, and as illustrated in Figure 1, there is provided a procedure
5 to efficiently differentiate retinal cells from hPSCs. As shown, cells are taken through a
6 stepwise protocol to direct them toward a neural fate by treatment with neural induction medium
7 (NIM), then to a retinal fate by exposure to retinal differentiation medium (RDM). First,
8 undifferentiated hPSCs are enzymatically lifted from Matrigel-coated plates (see Basic Protocol
9 1) and exposed to NIM in suspension (see Basic Protocol 2). Differentiation in suspension
10 allows the cells to form three-dimensional aggregates. At 7 days of differentiation, aggregates
11 are plated and attached to 6 well plates, where a neuroepithelial fate is established (see Basic
12 Protocol 2). At 16 days of differentiation, the neuroepithelial cells can be induced to a retinal
13 pigment epithelial (RPE) fate by culturing with heparin and growth factors (see Basic Protocol
14 3). Alternatively, neurospheres can be lifted and maintained in RDM to establish a three-
15 dimensional optic vesicle-like fate (see Basic Protocol 4). This procedure allows for the
16 efficient and timely generation of a variety of retinal cell types (see Basic Protocol 5), including
17 ganglion cells and cone and rod photoreceptors. The use of this protocol to generate a myriad
18 of retinal cell types facilitates in vitro studies of human retinogenesis (Meyer et al., 2009, 2011;
19 Zhong et al., 2014)), and provides a large population of cells for use in studies of retinal
20 dysfunction (e.g. Meyer et al., 2011, Jin et al., 2012; Singh et al., 2013; Wahlin et al., 2014;
21 Wright et al., 2014) as well as drug development and patient-specific therapies (Carr et al.,
22 2009; Lamba et al., 2010; Al-Shamekh and Goldberg, 2014; Stern and Temple, 2014).

23 **[0027]** Examples

24 **[0028]** The following protocols are provided by way of example only. It will be
25 understood that although some specific steps/reagents etc. are recited in the description of the
26 following protocols, such specifics are intended only to illustrate certain aspects of the invention
27 and are not intended to limit the scope of the invention in any way. Various modifications to the
28 protocols will be apparent to persons skilled in the art and in view of the common general
29 knowledge within the scope of the claims appended hereto.

30 **[0029]** Generally, the protocols described below should be performed in a Class II
31 biological culture hood to prevent contamination of cells. The standard incubation temperature

1 is 37°C with 5% CO₂. As will be understood by persons skilled in the art, all medium and
2 solutions added directly to cells must be warm. It is recommended that reagents be heated in a
3 37°C water bath prior to use.

4 **[0030]** Basic Protocol 1: Enzymatic Passaging of hPSCs

5 **[0031]** The following procedure is used to maintain and passage hPSCs for long-term
6 use (Thomson et al., 1998; Ludwig et al., 2006; Takahashi et al., 2007; Yu et al., 2007; Park et
7 al., 2008; Meyer et al., 2009, 2011; Sridhar et al., 2013) and to harvest hPSCs for subsequent
8 differentiation. It focuses on the use of mTeSR1 medium and Matrigel to maintain hPSCs,
9 although previous reports have demonstrated the ability to maintain hPSCs in alternate systems
10 such as fibroblast feeder cells (Meyer et al., 2009, 2011; Sridhar et al., 2013). Cells are
11 maintained on Matrigel-coated six-well culture plates and are split when confluency reaches
12 ~70%. This will aid in preventing spontaneous differentiation of cells due to overgrowth, while
13 ensuring that an abundant amount of cells can be collected for directed differentiation. Typically,
14 hPSCs are expanded at a ratio of 1:6, with a single well of cells capable of seeding an entire
15 six-well plate. The starting population of hPSCs should display a tightly clustered and bright
16 morphology and exhibit immunoreactivity to pluripotency markers (Figure 2). A list of primers
17 and antibodies suitable for RT-PCR and immunocytochemistry are provided in Tables 1 and 2.

18 **[0032]** *Materials*

- 19 - hPSCs growing on Matrigel™-coated six-well plate 2 mg/ml dispase solution (see
20 recipe) DMEM/F12, 1:1 (Life Technologies)
21 - mTeSR™1 (Stemcell Technologies)
22 - Inverted light microscope 15-ml conical tubes
23 - Matrigel™-coated six-well plate (see recipe)

24 **[0033]** *Passage undifferentiated cells*

25 **[0034]** 1) Place a six-well plate of hPSCs under an inverted light microscope and mark
26 areas of spontaneous differentiation.

27 **[0035]** 2) Transfer plate to a biological safety cabinet and use a 1-ml pipet tip to scrape
28 away any cells from the marked areas of differentiation.

- 1 **[0036]** 3) Aspirate medium from wells and replace with 1 ml/well of 2 mg/ml dispase
2 solution. Transfer to incubator for 10 min and monitor every few minutes to ensure cells are
3 beginning to detach from the culture surface. If dispase is sufficiently warmed to 37°C, this
4 process should not take more than 15 min.
- 5 **[0037]** 4) Once a majority of cell clusters display curled edges, immediately remove
6 dispase by aspiration.
- 7 **[0038]** 5) Wash cells once with 1 ml DMEM/F12 per well, adding the medium to the side
8 of the well, not directly onto the cells, so that colonies are not prematurely detached from the
9 surface.
- 10 **[0039]** 6) Aspirate DMEM/F12 and add another 1 ml DMEM/F12 per well, this time with
11 force directly onto the cells to detach the colonies. Repeat several times, if needed. It is better
12 to forcefully dislodge colonies by pipetting three or four times than to gently agitate any more
13 than this. Minimizing the amount the cells are broken up is key to ensuring maximum survival.
- 14 **[0040]** 7) Transfer cells from wells that will be expanded to one 15-ml conical tube and
15 cells from wells for retinal differentiation to another 15-ml conical tube. Proceed to step 8 for
16 expansion or to Basic Protocol 2 for differentiation.
- 17 **[0041]** *Expand undifferentiated hPSCs*
- 18 **[0042]** 8) Allow cells to settle to the bottom of the tube by gravity or by centrifuging 1 min
19 at 100 x g. Aspirate supernatant, taking care not to disturb the cell pellet.
- 20 **[0043]** 9) Resuspend cells in mTeSR™1 medium so that each new well will receive 500
21 µl suspension (i.e., to passage one well of hPSCs to six new wells, use 3 ml mTeSR1). Break
22 up clusters by pipetting forcefully four to five times with a 5 ml serological pipet to give clusters
23 that are ~100 to 150 µm in diameter. Note that cell lines can vary in ease of breaking up cell
24 clusters. If clusters are not broken up sufficiently, increase pipetting during the next passage. It
25 is better that clusters remain large than to be broken up too much.
- 26 **[0044]** 10) Pipet 500 µl undifferentiated cell suspension at a 90° angle into each well of
27 a freshly prepared Matrigel™-coated six-well plate.
- 28 **[0045]** 11) Transfer to the incubator and agitate plates in side-to-side followed by front-
29 to-back motions to ensure even distribution of cells. Be sure to pause briefly between series of

1 agitations to ensure that cells are evenly dispersed across the well rather than accumulated in
2 the middle.

3 **[0046]** Change medium daily (2 ml/well) until the next passage, typically within 4 to 5
4 days.

5 **[0047]** Basic Protocol 2: Induction of hPSCs to a Primitive Anterior Neuroepithelial Fate

6 **[0048]** As retinal cells are derived from a pluripotent source through a stepwise process
7 in vivo (Oliver and Gruss, 1997; Livesey and Cepko, 2001; Marquardt and Gruss, 2002; Zhang
8 et al., 2002), hPSCs should be differentiated through analogous stages of differentiation,
9 including a primitive anterior neural fate, an optic vesicle stage, and eventually a retinal and/or
10 RPE fate (Meyer et al., 2009, 2011; Sridhar et al., 2013; Zhong et al., 2014). To initiate this
11 stepwise process, embryoid bodies (EBs) are kept in suspension to begin differentiation for the
12 first 7 days. This phase requires a slow transition out of mTeSR™1 medium into NIM and
13 maintenance in a T75 flask. After 7 total days of differentiation, EBs are plated onto six-well
14 culture plates to allow for further neural differentiation. This can be accomplished by addition of
15 10% FBS for the first 24 hr of plating to ensure that cells adhere to the wells. EBs are
16 maintained in NIM until day 16. By day 10, they can be characterized by a larger, more uniform
17 appearance as well as the expression of typical neural and eye-field transcription factors (Figure
18 3).

19 **[0049]** *Materials*

- 20 - Harvested and washed undifferentiated hPSCs (see Basic Protocol 1, steps 1-8)
- 21 mTeSR1 (Stemcell Technologies)
- 22 - Neural induction medium (NIM; see recipe)
- 23 - Fetal bovine serum (FBS)
- 24 - T75 culture flask (Falcon)
- 25 -15-ml conical tube
- 26 - Six-well culture plate

27 **[0050]** *Generate embryoid bodies*

28 **[0051]** 1. Day 0: Allow cells to settle to the bottom of the tube by gravity. Aspirate
29 supernatant and gently resuspend pellet in a 3:1 mixture of mTeSR™1/NIM. Transfer to a T75

- 1 flask and place in the incubator overnight. Day 0 is defined as the day cells are lifted from the
2 Matrigel™-coated plate.
- 3 **[0052]** 2. Day 1: Transition cells to a 1:1 mixture of mTeSR™1/NIM as described in
4 step 1.
- 5 **[0053]** 3. Day 2: Transition cells to a 1:3 mixture of mTeSR™1/NIM as described in
6 step 1.
- 7 **[0054]** 4. Day 3: Transition cells to complete NIM as described in step 1. Continue
8 culturing until day 7, replacing medium with fresh NIM every other day.
- 9 **[0055]** 5. Day 7: Collect EBs in a 15-ml conical tube and allow them to settle by
10 gravity, typically within 5 min.
- 11 **[0056]** 6. Add 2 ml NIM to each well of an uncoated six-well plate. In general, EBs
12 derived from five wells of undifferentiated cells will plate on one six-well plate, with ~30 to 40
13 EBs per well.
- 14 **[0057]** 7. Aspirate supernatant from the EBs, being careful not to disturb the cell
15 pellet. Resuspend EBs in NIM so that each well will receive 200 µl suspension (e.g., 1.2 ml NIM
16 for one plate), and add cells to the six-well plate accordingly.
- 17 **[0058]** 8. Add 250 µl of FBS to each well (final concentration, ~10%) to promote
18 cell attachment.
- 19 **[0059]** 9. Place in the incubator overnight, agitating in a side-to-side and then front-
20 to-back manner to ensure an even distribution of cells within each well.
- 21 **[0060]** 10. Day 8: Aspirate medium and add 2 ml fresh NIM without FBS to each
22 well.
- 23 **[0061]** 11. Return to incubator and change medium every other day until day 16 of
24 differentiation. At this point, cells will have acquired a primitive anterior neuroepithelial fate.

1 **[0062]** Basic Protocol 3: Differentiation Of Primitive Anterior Neuroepithelial Cells to a
2 Retinal Pigment Epithelial Fate

3 **[0063]** During normal development, the RPE is the first retinal cell type to be specified
4 from a more primitive source. The RPE layer develops in a manner that is distinctly separate
5 from the neural retinal populations of cells, and is known to be specified in the absence of
6 factors instrumental in directing a neural retinal fate (Fuhrmann et al., 2000; Shibahara et al.,
7 2000; Martinez-Morales et al., 2003). Likewise, RPE cells generated from hPSCs are found to
8 differentiate through a similar process in which RPE cells are often found in close proximity to,
9 although distinctly separate from, neural retinal populations (Capowski et al., 2014; Zhong et al.,
10 2014). hPSC-derived primitive anterior neuroepithelial cells on six-well plates can be used to
11 generate a highly purified population of RPE. These hPSC- derived RPE cells can be readily
12 identified by their accumulation of pigmentation and their distinct hexagonal morphology (Figure
13 4), and have been successfully generated by many groups in recent years (Vugler et al., 2008;
14 Buchholz et al., 2009, 2013; Carr et al., 2009; Meyer et al., 2009, 2011; Liao et al., 2010;
15 Maruotti et al., 2013; Rowland et al., 2013; Singh et al., 2013a; Sridhar et al., 2013; Capowski et
16 al., 2014; Ferrer et al., 2014).

17 **[0064]** *Materials*

- 18 - Primitive anterior neuroepithelial cells in six-well plate (see Basic Protocol 2) Retinal
- 19 differentiation medium (RDM; see recipe)
- 20 - Epidermal growth factor (EGF) Fibroblast growth factor 2 (FGF2) Heparin
- 21 - Inverted light microscope
- 22 - Tungsten needle, pipet tip, or other pointed object
- 23 - Laminin/polyornithine-coated coverslips in a 4- or 24-well plate (see Support Protocol)

24 **[0065]** 1) Day 16 of differentiation: Change medium from NIM to RDM and return to
25 incubator. Continue culturing and changing medium every 2 to 3 days until distinct populations
26 of RPE cells are readily observed and can be isolated (typically within 60 total days of
27 differentiation).

28 **[0066]** 2) Day of RPE isolation: Using a microscope, identify a suitably pure area of RPE
29 based on pigmentation and hexagonal “cobblestone-like” morphology.

1 **[0067]** 3) Using a pointed object (e.g., tungsten needle, pipet tip), gently scratch away
2 an area around the region of cells to be microdissected, freeing the RPE cells.

3 **[0068]** 4) Using a P100 pipettor, transfer the freed cluster of RPE cells in 50 μ l RDM to a
4 laminin/polyornithine-coated coverslip in a 4- or 24-well plate. Typically, one cluster of RPE
5 cells should be sufficient for one coverslip.

6 **[0069]** 5) Repeat this process for as many coverslips as needed.

7 **[0070]** 6) Transfer the plate to the incubator and allow RPE to attach overnight. After
8 overnight incubation, RPE clusters should have adhered to the coverslips.

9 **[0071]** 7) Add 500 μ l RDM supplemented with 20 ng/ml EGF, 20 ng/ml FGF2, and 2
10 μ g/ml heparin to allow for proliferation of RPE cells. Continue culturing and replacing medium
11 and growth factors every 2 days. RPE cells are expected to double in number approximately
12 every 36 hr. Within 7 to 10 days, RPE cells will have lost most of their pigmentation and
13 hexagonal shape, and will have occupied most of the coverslip.

14 **[0072]** 8) To allow for maturation and reacquisition of RPE morphology, replace RDM
15 contain- ing growth factors with RDM alone and maintain for 2 to 3 weeks, or until a desired
16 stage of RPE maturation is reached. RPE differentiated in this fashion may be maintained for at
17 least two weeks.

18 **[0073]** Basic Protocol 4: Differentiation and Long-Term Maintenance of Retinal
19 Progenitor Cells

20 **[0074]** During in vivo development, after cells have adopted a primitive anterior neural
21 phenotype, a subset of cells are known to acquire a retinal fate beginning with the optical
22 vesicle stage of retinogenesis, and are characterized by numerous retinal-associated features
23 that distinguish these cells from other neural lineages (Belecky-Adams et al., 1997; Rowan et
24 al., 2004; Horsford et al., 2005; Bharti et al., 2008). Once this optic vesicle identity has been
25 established, all mature retinal cell types (cones, rods, retinal ganglion cells, and so on) will
26 eventually arise. Likewise, hPSCs can progress through an optic vesicle-like intermediary
27 (Figure 5), eventually yielding all of the major cell types of the retina (Meyer et al., 2009, 2011;
28 Sridhar et al., 2013; Capowski et al., 2014; Phillips et al., 2014). To accomplish this, cells are
29 lifted from the culture surface at 16 days of differentiation and maintained in floating suspension
30 in RDM to allow for development of a three-dimensional optic vesicle-like structure. Retinal and

1 non-retinal cells can then be manually separated and maintained until the desired stage of
2 differentiation is reached.

3 **[0075]** *Materials*

4 - Primitive anterior neuroepithelial cells in six-well plate (see Basic Protocol 2) Retinal
5 differentiation medium (RDM; see recipe)

6 - 60 × 15 mm polystyrene Petri dishes

7 - Six-well plates (Falcon)

8 **[0076]** *Generate neurospheres from primitive anterior neuroepithelial cells*

9 **[0077]** 1) *Day 16 of differentiation:* Using a P1000 pipettor, draw up 1 ml medium from a
10 well of cells and dislodge the center of each aggregate from the plate by vigorously pipetting the
11 medium directly at the center of the aggregate. Repeat several times, if needed, to dislodge the
12 center and leave a ring of peripheral cells possessing a flattened appearance. It is better to
13 pipet forcefully five to six times instead of gently pipetting any more than this, as excessive
14 pipetting results in cell death and reduced yield of neurospheres.

15 **[0078]** 2) Transfer dislodged aggregates to a 15-ml conical tube and allow to settle by
16 gravity or by centrifugation for 1 min at 100 × *g*.

17 **[0079]** 3) Aspirate supernatant and resuspend cells in 5 ml RDM.

18 **[0080]** 4) Transfer cell suspension to a 60-mm dish and return to the incubator.
19 Continue culturing for up to 25 total days of differentiation, changing medium every 2 to 3 days.
20 By 20 to 25 total days of differentiation, two populations of neurospheres will begin to emerge:
21 retinal neurospheres that have a golden ring around the outside, and non-retinal forebrain
22 neurospheres that have a darker appearance and lack this golden ring. These morphological
23 differences can easily be observed with an inverted microscope under a 4× objective. By 30
24 total days of differentiation, distinct transcriptional profiles emerge that distinguish these two
25 populations (Figure 5). Enrichment of retinal neurospheres should be performed by day 25 of
26 differentiation.

27 **[0081]** *Manually enrich retinal neurospheres*

28 **[0082]** 5) While viewing the cells under an inverted light microscope with a 4× objective,
29 swirl the plate gently in a circular motion to collect cells in the middle of the dish.

1 **[0083]** 6) Looking at the neurospheres through the microscope, gently gather retinal
2 neurospheres based on their bright outer ring appearance using a P20 pipettor and transfer
3 them to a 15 ml conical tube containing 5 ml RDM. Repeat until all retinal neurospheres have
4 been collected in the same tube.

5 **[0084]** 7) Transfer retinal neurospheres (along with the medium) to a 60-mm dish and
6 return to the incubator. Non-retinal neurospheres may be similarly maintained for neuronal
7 cultures, if desired, or discarded at this stage.

8 **[0085]** *Maintain retinal neurospheres*

9 **[0086]** 8) Culture retinal neurospheres until the desired stage of retinal differentiation is
10 reached, changing the medium every other day as follows:

11 **[0087]** a. Tilt the plate towards you to allow the medium and neurospheres to collect in
12 the bottom half of the plate.

13 **[0088]** b. While maintaining the tilt of the plate, use a P1000 pipettor to transfer 1 ml
14 medium containing as many neurospheres as possible to a 15 ml conical tube.

15 **[0089]** c. Collect the remaining medium and rinse over the entire surface of the plate to
16 collect any remaining neurospheres. Transfer this remaining medium to the 15-ml conical tube.

17 **[0090]** d. Allow neurospheres to settle to the bottom of the tube, then aspirate the
18 supernatant.

19 **[0091]** e. Resuspend neurospheres in 5 ml fresh RDM and transfer back to the dish.
20 Retinal ganglion cells are expected to arise after 40 total days of differentiation, and
21 photoreceptor progenitor cells are expected to arise within 70 total days of differentiation.

22 **[0092]** Basic Protocol 5: Induction of Retinal Progenitors to Specific Retinal Subtypes

23 **[0093]** Previous studies have demonstrated that hPSC-derived retinal progenitor cells
24 have the ability to yield all major classes of retinal cells, including photoreceptors (Lamba et al.,
25 2006, 2010; Osakada et al., 2008; Meyer et al., 2009, 2011; Mellough et al., 2012; Gonzalez-
26 Cordero et al., 2013; Tucker et al., 2013a,2013b; Reichman et al., 2014; Zhong et al., 2014) and
27 retinal ganglion cells (Lamba et al., 2010; Meyer et al., 2011; Sridhar et al., 2013; Zhong et al.,
28 2014). In order to derive these various cell types, retinal neurospheres must be maintained in

1 differentiating cultures for extended periods of time. Within 90 days of total differentiation,
2 neural retinal cell types including photoreceptors and retinal ganglion cells can be identified
3 (Figure 6). In order to analyze cells by immunocytochemistry, neurospheres should be
4 dissociated with Accutase and then plated onto laminin/polyornithine-coated coverslips. At this
5 point, cells demonstrate the presence of a wide variety of retinal-specific transcription factors
6 and distinct neuroretinal morphologies, such as neurite outgrowth and/or axonal and dendritic
7 arborization typical of retinal ganglion cell or photoreceptor morphologies (Figure 6).

8 **[0094]** *Materials*

- 9 - Retinal neurospheres at 40 days total differentiation (see Basic Protocol 4)
- 10 - Accutase (BD Biosciences)
- 11 - Retinal differentiation medium (RDM; see recipe)
- 12 - Laminin/polyornithine-coated coverslips (see Support Protocol)
- 13 - Inverted light microscope
- 14 - 1.5 ml tube

15 **[0095]** 1) Place the plate of retinal neurospheres in suspension under a microscope and
16 swirl in a circular motion to gather cells towards the center of the field of view.

17 **[0096]** 2) Gather neurospheres that will be used for dissociation and plating and transfer
18 to a 1.5 ml tube. Two to three neurospheres per coverslip typically provide enough density for
19 sufficient plating and microscopy.

20 **[0097]** 3) Allow neurospheres to settle to the bottom of the tube and gently remove
21 excess medium with a pipet.

22 **[0098]** 4) Add 200 μ l Accutase and transfer to a 37°C water bath.

23 **[0099]** 5) Every 10 min, remove tube from water bath and forcefully agitate cells about
24 four or five times with a P100 pipettor (on 50 μ l setting) to break up the cells.

25 **[00100]** 6) Repeat step 5, if needed, until aggregates are the desired size (ideally, ~200
26 to 400 μ m in diameter). Viability is greatly increased if cells are dissociated to yield small
27 aggregates of cells rather than a single-cell suspension.

28 **[00101]** 7) Centrifuge suspension for 1 min at 100 \times g. Gently remove supernatant with a
29 pipet.

1 **[00102]** 8) Resuspend cells in enough RDM to ensure that each coverslip receives 50 μ l
2 suspension.

3 **[00103]** 9) Pipet 50 μ l suspension onto each laminin/polyornithine-coated coverslip.

4 **[00104]** 10) Transfer to incubator and allow cells to adhere overnight.

5 **[00105]** 11) If cells are to be maintained on coverslips for further differentiation, add 500
6 μ l RDM the following day and every other day thereafter until the desired stage of differentiation
7 is reached. If cells are to be fixed immediately for immunocytochemistry, no additional medium
8 should be added.

9 **[00106]** Support Protocol: Coverslips With Laminin and Poly-D-Ornithine

10 **[00107]** This brief protocol explains how to coat coverslips for use in Basic Protocols 3
11 and 5. Poly-D-ornithine increases adhesion of cells to the coverslip, and laminin promotes cell
12 growth. Cells grown on laminin/polyornithine-coated coverslips can easily be utilized for
13 immunocytochemical analysis and readily transferred to slides for visualization by microscopy.

14 **[00108]** *Materials*

15 - 12-mm glass coverslips, washed with ethanol and subsequently autoclaved 4- and/or
16 24-well plates

17 - 100 μ g/ml poly-D-ornithine solution (see recipe)

18 - 20 μ g/ml laminin solution (see recipe)

19 **[00109]** 1) Transfer one coverslip to each well of a 4- or 24-well plate and ensure that it
20 lies flat on the bottom of the well.

21 **[00110]** 2) Pipet 100 μ l poly-D-ornithine onto the center of each coverslip. Let sit at room
22 temperature for 30 min, ensuring the poly-D-ornithine remains on the coverslip.

23 **[00111]** 3) Remove solution and wash each well with 1 ml sterile water. Repeat two more
24 times.

25 **[00112]** 4) Remove the third wash and check coverslips under a microscope to make
26 sure any precipitate has been washed away. Continue rinsing if any residue remains.

1 **[00113]** 5) Remove last wash and allow coverslips to air dry in a biological safety cabinet
2 overnight. Be sure to leave the hood fan on and the sash slightly open.

3 **[00114]** 6) Remove dry coverslips from hood. If desired, polyornithine-coated coverslips
4 can be stored at room temperature for at least 1 month.

5 **[00115]** 7) Add 50 μ l of 20 μ g/ml laminin directly to the center of each poly-D-ornithine-
6 coated coverslip.

7 **[00116]** 8) Transfer plates to the incubator and let stand at least 4 hr or overnight to allow
8 thorough coating of coverslips.

9 **[00117]** 9) Aspirate excess laminin just before addition of cell suspension. Laminin is not
10 expected to have a long half-life, and thus laminin-coated slides should be used shortly after
11 preparation.

12 **[00118]** *Reagents And Solutions*

13 **[00119]** All solutions should be made in a biological safety cabinet and filtered through a
14 Steriflip™ or bottle top filter to ensure solutions are sterile

15 **[00120]** **Dispase solution, 2 mg/ml**

16 **[00121]** Dissolve 2 mg/ml dispase powder (Life Technologies) completely in DMEM/F12
17 (1:1, Life Technologies). Warm for at least 20 min in a 37°C water bath, then filter sterilize.
18 Store up to 2 weeks at 4°C.

19 **[00122]** **Laminin solution, 20 μ g/ml**

20 **[00123]** Starting with a 1 mg/ml stock, dilute laminin 1:50 in cold DMEM to a final concen-
21 tration of 20 μ g/ml. Store up to 1 month at 4°C.

22 **[00124]** **Matrigel-coated plates**

23 **[00125]** Dilute Matrigel (hESC-qualified, BD Biosciences) according to manufacturer's
24 spec- ifications in DMEM. Coat six-well culture plates (e.g., Falcon) by adding 1 ml Ma-
25 trigel per well and placing in a 37°C, 5% CO₂ incubator for at least 1 hr. Aspirate excess Matrigel
26 from plates, then add 2 ml mTeSR1 medium (Stemcell Technologies) to each well. Keep at
27 37°C and use within 8 hr.

1 **[00126] Neural induction medium (NIM)**

- 2 - 489.5 ml DMEM/F12 (1:1, Life Technologies)
3 - 5 ml N2 supplement (Life Technologies)
4 - 5 ml MEM non-essential amino acids
5 - 0.5 ml 2 mg/ml heparin
6 - Filter sterilize
7 - Store up to 1 month at 4°C

8 **[00127] Poly-D-ornithine solution, 100 µg/ml**

9 **[00128]** Tap a 10-mg bottle of poly-D-ornithine (Sigma) on the surface of the hood and
10 open carefully as to not lose any powder. Slowly pipet 1 ml sterile water into the bottle, replace
11 the cap, and shake vigorously. Carefully remove cap and transfer solution to an autoclaved
12 250-ml beaker. Repeat this process about four more times to remove all traces of poly-D-
13 ornithine from the bottle. Then, add sterile water to the beaker to bring the volume to 100 ml.
14 Pipet up and down to mix thoroughly. Transfer aliquots to 50-ml conical tubes. Filter sterilize
15 each aliquot using a Steriflip™ 0.2-µm filtering device. Store up to 6 months at 4°C.

16 **[00129] Retinal differentiation medium (RDM)**

- 17 - 240 ml DMEM/F12 (1:1, Life Technologies)
18 - 240 ml DMEM (Life Technologies)
19 - 10 ml B27 supplement without vitamin A (Life Technologies)
20 - 5 ml MEM non-essential amino acids
21 - 5 ml antibiotics
22 - Filter sterilize
23 - Store up to 1 month at 4°C

24 **[00130] Critical Parameters and Troubleshooting**

25 **[00131]** A general troubleshooting guide is provided in Table 3. In addition, some
26 important procedural notes are made below.

27 **[00132]** When passaging hPSCs, it is crucial to minimize the amount of pipetting, as even
28 a little excessive pipetting can dramatically decrease the yield of EBs. Cells that have been
29 treated with dispase should lift off the plate with little effort. Once the harvested cells have been

1 collected into their respective tubes (for expansion or differentiation), they should be allowed to
2 settle completely at the bottom of the tube (at least 5 min) to ensure that no cells are aspirated
3 with the supernatant.

4 **[00133]** When plating EBs with 10% FBS, the plating density is critical to ensure proper
5 differentiation into neuroepithelium. Without close proximity to neighboring cells, cell survival
6 decreases. On the other hand, cells that are plated at too high a density lack the space they
7 require to develop properly. In addition, it is important to agitate plates in the incubator to help
8 achieve a uniform distribution of cells within the well.

9 **[00134]** Manually separating the retinal neurospheres by day 25 is critical to ensure that
10 morphology can be definitively used to separate retinal and non-retinal cells. After day 25,
11 retinal morphology may begin to disappear as the neurospheres continue proliferating.

12 **[00135]** When changing medium of retinal neurospheres maintained in suspension,
13 unnecessary cell loss can be avoided by rinsing the well or dish with extra medium. This
14 ensures all cells are collected and receive fresh medium in a timely manner.

15 **[00136]** Anticipated Results

16 **[00137]** This procedure yields a 90% pure population of CHX10-positive retinal progenitor
17 cells that can be further differentiated into every retinal cell type.

18 **[00138]** Time Considerations

19 **[00139]** With practice, passaging of hPSCs can take as little as 20 min, once the
20 necessary supplies and reagents have been prepared. Plating of EBs should take ~10 min for
21 three plates. Manually separating retinal neurospheres from a mixed population can take
22 anywhere from 30 to 60 min, depending on the yield of neurospheres and the experience of the
23 researcher. Using Accutase to disassociate neurospheres can take anywhere from 10 to 40
24 min, depending on neurosphere size and the desired degree of disassociation.

25 **[00140]** Discussion

26 **[00141]** Human pluripotent stem cells (hPSCs) possess the unique ability to readily
27 differentiate into any cell type of the body. As such, they can serve as comprehensive and
28 novel tools for drug screening, disease modeling, and cell replacement therapies. Although
29 previous studies have demonstrated the ability to differentiate hPSCs to a retinal lineage, the

1 ability to derive retinal ganglion cells (RGCs) from hPSCs has been largely overlooked to date.
2 In the current study, hPSCs were directed to differentiate toward a retinal ganglion cell lineage,
3 and the developmental timing and characteristics of these cells were observed. hPSC-derived
4 RGCs expressed a full complement of RGC associated features and possessed proper
5 physiological characteristics. These results served as the basis for the establishment of patient-
6 specific lines of human induced pluripotent stem cells from a glaucoma patient. Fibroblasts
7 derived from a patient with an E50K mutation in the Optineurin (OPTN) gene were genetically
8 reprogrammed to yield lines of patient-specific induced pluripotent stem cells through mRNA-
9 based reprogramming strategies. These cells were then directed to differentiate toward a RGC-
10 like fate, upon which these cells progressed through a retinal progenitor intermediary before
11 yielding BRN3-positive retinal ganglion-like cells.

12 **[00142]** As shown in Figure 6, after approximately 10 to 12 weeks of differentiation, PCR
13 analysis illustrated a variety of retinal specific transcription factors, including markers associated
14 with retinal ganglion cells and photoreceptors. Immunocytochemistry confirmed the expression
15 of Crx, Otx2, and Recoverin within photoreceptor-like cells, as well as Brn3/Map2-positive
16 retinal ganglion-like cells.

17 **[00143]** As shown in Figure 7, differentiation into a RGC-like fate was demonstrated by
18 the combinatorial expression of RGC markers including Brn3, Math5, and Islet1. RGC-like cells
19 did not co-express γ -synuclein, contrary to recent reports, or CRX, an early photoreceptor
20 marker. Brn3/Map2 outgrowths appeared from neural rosette-like structures and eventually
21 gave rise to elaborate RGC-like morphologies expressing Map2 and Tau. Interestingly,
22 fasciculating Tau-positive axons were observed, associated with BRN3-positive cells. As
23 discussed above, low magnification confocal imaging demonstrated an efficiency of
24 approximately 35% of retinal progenitor cells acquiring RGC-associated characteristics. BRN3-
25 positive cells displayed co-expression with the RGC-associated markers PAX6, ISLET1,
26 HUC/D, and RBPMS. MAP2-positive neurite outgrowths appeared from BRN3-positive cells
27 within neural rosette-like structures and eventually gave rise to elaborate RGC-like
28 morphologies expressing MAP2 and TAU, including bundled TAU-positive axons. Moreover, a
29 subset of intrinsically photosensitive melanopsin-positive RGCs were observed in small
30 quantities. qRT-PCR analysis revealed significantly increased expression of RGC-associated
31 transcripts as cells transitioned from a progenitor fate (day 25) to a retinal lineage (day 50).
32 Additionally, enriched populations of retinal neurospheres expressed higher levels of RGC-
33 associated genes as compared to their forebrain, non-retinal counterparts.

1 **[00144]** Thus, the present disclosure serves to establish a method by which to acquire
2 RGCs from hPSCs. This protocol provides a novel system to study human retinogenesis, and
3 also establishes a foundation for the development of patient specific therapies for diseases
4 affecting retinal ganglion cells, such as glaucoma and other optic neuropathies. The disclosure
5 also shows that hPSCs can be readily differentiated into RGC-like cells, exhibiting the proper
6 immunoreactivity, morphology, and physiological characteristics. The disclosure also illustrates
7 the ability to derive RGCs from hPSCs, which serves as a novel method by which to study the
8 development of these cells in vitro. The disclosure also shows that patient specific fibroblasts
9 with an E50K mutation in the OPTN gene can be reprogrammed to pluripotency and then
10 directed to generate RGC-like cells. The disclosure also shows that OPTN iPSCs can serve as
11 a novel system to study glaucoma in vitro and elucidate the mechanisms underlying the loss of
12 RGCs as well as provide a large population of cells for drug screening and transplantation
13 purposes.

14 **[00145]** The ability to direct the differentiation of hPSCs to a retinal fate represents a
15 limitless source of retinal cells for in vitro studies of human retinogenesis, as well as a unique
16 and exciting tool with which to study retinal disease progression, screen compounds for
17 potential therapeutic efficacy, and even provide a source of replacement cells for transplantation
18 purposes. For these reasons, several groups have explored the ability to differentiate hPSCs to
19 a retinal fate (Lamba et al., 2006; Osakada et al., 2008; Buchholz et al., 2009; Carr et al., 2009;
20 Hiramani et al., 2009; Meyer et al., 2009, 2011; Liao et al., 2010; Mellough et al., 2012; Nakano et
21 al., 2012; Maruotti et al., 2013; Sridhar et al., 2013; Zhong et al., 2014), with a traditional focus
22 on differentiation of photoreceptors and RPE cells due to the availability of unique and specific
23 characteristics with which to identify them.

24 **[00146]** The protocol described here is significant for its ability not only to differentiate
25 retinal cells starting from a pluripotent stem cell population, but also to faithfully identify and
26 enrich for cells at all of the major stages of retinal development (Meyer et al., 2009, 2011;
27 Sridhar et al., 2013). Starting from an undifferentiated population of hPSCs, cells are efficiently
28 differentiated to a primitive anterior neuroepithelial fate in high purity after as little as 10 days
29 (Figure 2). From this point, differentiating cells yield neurosphere populations representing
30 either forebrain progenitor cells or optic vesicle-like retinal progenitor cells, the latter of which
31 exclusively gives rise to more mature retinal phenotypes, including photoreceptor cells and
32 retinal ganglion cells (Meyer et al., 2011; Sridhar et al., 2013). The ability to readily identify cells

1 at each of these major stages is unique to the method outlined here, and helps to establish
2 hPSCs as a valuable in vitro model of human retinogenesis.

3 **[00147]** When working with a pluripotent stem cell population, the definitive and
4 conclusive identification of a particular differentiated cell type is often difficult. Many markers
5 traditionally utilized to identify differentiated cell types, including those used to identify specific
6 retinal cell types, are often expressed in other areas of the body. Thus, when working with a
7 pluripotent cell population that has the potential to give rise to any cell type of the body, the
8 simple expression of many of these cell type-associated markers may not be sufficient to
9 definitively identify a differentiated cell as a particular cell type. Retinal ganglion cells represent
10 such a cell type that would ordinarily be difficult to conclusively identify from pluripotent stem
11 cells, as there are no markers that identify retinal ganglion cells and are not expressed
12 elsewhere in the nervous system.

13 **[00148]** The ability to morphologically identify and isolate retinal progenitor neurospheres
14 within the first 20 to 25 days of differentiation (Figure 5) is noteworthy for a variety of reasons.
15 First, the use of morphological cues to identify and isolate retinal progenitor neurospheres
16 represents a novel method for enriching retinal cells apart from other cellular lineages,
17 effectively yielding highly enriched populations of retinal progenitor cells that can readily
18 differentiate into all major retinal cell types (Meyer et al., 2011; Zhong et al., 2014). This ability
19 to highly enrich for retinal progenitor cells allows for more definitive identification of greater
20 numbers of mature retinal cell types, including retinal ganglion cells. Within the retina itself,
21 retinal ganglion cells are often identified by expression of the transcription factor BRN3 (Bryant
22 et al., 2002; Badea and Nathans, 2011; Shi et al., 2013). However, BRN3 is also expressed in
23 other neural cell types, including some auditory neurons (Weir et al., 2000; Bryant et al., 2002)
24 as well as many somatosensory neurons (Badea et al., 2012; Chambers et al., 2012). Thus,
25 when starting with a pluripotent stem cell source that has the potential to give rise to any cell
26 type of the body, expression of BRN3 by itself is not sufficient to definitively identify retinal
27 ganglion cells. With the ability to enrich for retinal progenitor cells derived from hPSCs,
28 traditional markers such as BRN3 can be utilized to identify these retinal cells, because other
29 non-retinal cell types will have been effectively eliminated from the culture system.

30 **[00149]** Due to the ease of differentiation and the minimal culture conditions required, this
31 procedure allows for application of hPSCs as a novel model for in vitro studies of human
32 retinogenesis (Meyer et al., 2009, 2011; Sridhar et al., 2013; Capowski et al., 2014; Phillips et

1 al., 2014; Zhong et al., 2014). The ability to identify each of the major stages of retinal
2 development has led to the recent use of this method for studying the molecular basis of cell
3 fate determination between cells of the neural retina and the retinal pigment epithelium
4 (Capowski et al., 2014; Phillips et al., 2014). This is significant not only due to the ability to
5 study these events in human cells, but because these cell fate determination events are known
6 to occur at developmental stages that are otherwise inaccessible to experimental investigation.
7 Furthermore, recent studies have demonstrated the ability to expand upon this method to
8 generate three-dimensional stratified retinal-like structures in vitro (Phillips et al., 2012; Zhong et
9 al., 2014), allowing for the possibility of future studies of both cell fate determination and
10 maturation of these cells.

11 **[00150]** This method can also be applied to the study of human inherited diseases of the
12 retina, particularly those leading to blindness, such as age-related macular degeneration,
13 retinitis pigmentosa, and optic neuropathies including glaucoma, among others. Using the
14 enrichment method presented for retinal progenitor cells, large numbers of retinal cells can be
15 readily obtained from patient-derived induced pluripotent stem cells. This approach has been
16 utilized to effectively generate in vitro models for a variety of blinding disorders, including gyrate
17 atrophy and Best disease (Meyer et al., 2011; Singh et al., 2013b), and offers the potential for
18 studies of numerous other retinal degenerative disorders. In addition, the potential exists to
19 employ this method for pharmacological screening of novel compounds for therapeutic efficacy
20 using patient-derived cells. This was originally described in disorders affecting the RPE (Meyer
21 et al., 2011; Singh et al., 2013b), and has been expanded to disorders affecting other retinal cell
22 types such as photoreceptors (Jin et al., 2011). It is expected that hPSCs will provide an
23 effective complement to traditional model systems, and will add to current methods used to
24 study human samples, typically from post-mortem tissue or from readily accessible cell sources
25 that are often unaffected by disease processes, particularly in the case of the retina. Thus, it is
26 believed that hPSCs bridge an important gap for both basic and translational research between
27 traditional model systems and the existing human condition.

28 **[00151]** Retinal ganglion cells are among the first retinal cell types specified, arising from
29 a multipotent pool of retinal progenitor cells. As part of the development of the six major classes
30 of cells of the neural retina, these retinal progenitor cells must be specified by both extrinsic and
31 intrinsic cues to adopt characteristics of the different cell types of the retina. From the
32 committed state of definitive neural progenitor cells, all of the mature cells of the nervous
33 system have been specified, including those of the retina. Initially, after only 20 days of

1 differentiation from hiPSCs, it is possible to identify populations of cells of the early retinal
2 lineage, based on markers associated with the optic vesicle stage of development. Interestingly,
3 these optic vesicle- stage cells were segregated into distinct neurosphere cultures, where
4 greater than 90% of all cells express characteristics of the optic vesicle. On the other hand,
5 other neurospheres within the same cultures were completely devoid of retinal cells. Using the
6 method of differentiation discussed herein, it is possible to identify and manually isolate these
7 neurospheres, yielding a highly enriched population of definitive retinal progenitor cells derived
8 from hiPSCs.

9 **[00152]** The Chx10-positive retinal progenitor phase is maintained for several more
10 weeks of differentiation. However, upon further differentiation of these cells, more mature
11 retinal phenotypes are observed, including photoreceptors, RGCs, and retinal pigment
12 epithelium. It is important to note that these results demonstrate that RGCs can indeed be
13 readily generated from hiPSCs, and these previous studies were among the first descriptions of
14 RGCs derived from human pluripotent stem cells. Furthermore, with the knowledge that these
15 cells arose from a more primitive, Chx10- positive retinal progenitor pool, it is possible to identify
16 these cells definitively as RGCs. As the markers typically used to identify RGCs are often
17 expressed elsewhere in the nervous system, this earlier enrichment of retinal progenitor cells is
18 essential when dealing with an original pluripotent cell source. However, the factors that specify
19 an RGC fate from a more primitive retinal progenitor cell population remain largely unknown,
20 particularly within the human system. Thus, while we have already demonstrated the ability to
21 derive RGCs from hiPSCs, the goals of the first aim are to elucidate the mechanisms by which
22 an RGC fate arises from these hiPSC- derived retinal progenitor cells and thus, generate a
23 more efficient method by which to derive RGCs from an hiPSC source.

24 **[00153]** Glaucoma is a devastating degenerative disease of the retina that primarily
25 affects the retinal ganglion cells (RGCs), leading to their degeneration and subsequent loss of
26 vision. While systems exist with which to study glaucoma-related damage to RGCs, the ability
27 to study such a phenotype in a human system has been largely limited. A human in vitro model
28 for studying glaucoma-related symptoms could better elucidate underlying mechanisms of the
29 disease, including both cell autonomous and non-cell autonomous effects, and potentially offer
30 new therapeutic options for patients with glaucoma. Derived from human somatic cells, human
31 induced pluripotent stem cells (hiPSCs) are generated through the genetic reprogramming of
32 these cells that are able to differentiate into any cell type of the body, making them uniquely
33 suited for such studies.

1 **[00154]** Multiple factors have been demonstrated to be associated with a glaucoma-
2 related phenotype, with elevated levels of intraocular pressure perhaps the most widely
3 recognized correlative factor leading to the degeneration of retinal ganglion cells. However,
4 elevated intraocular pressure is not sufficient to explain many forms of glaucoma, particularly
5 normal-tension primary open-angle glaucoma (nt-POAG). The ability to derive hiPSCs from nt-
6 POAG patients represents a novel method to study inherent contributions to glaucomatous
7 neurodegeneration in the absence of elevated intraocular pressure, creating a novel opportunity
8 to identify and test factors contributing to a glaucoma phenotype. Mutations in the Optineurin
9 (OPTN) gene lead to severe degeneration in RGCs and are uniquely suited for the in vitro study
10 of glaucomatous neurodegeneration. Thus, the present disclosure provides a unique method of
11 generating induced pluripotent stem cells (iPS) from glaucoma patients. As discussed above,
12 and as illustrated in Figures 10 and 11 such cells provide a novel research and study tool.

13 **[00155]** The present invention relates generally to methods for producing populations of
14 retinal ganglion cells (RGCs) from human pluripotent cells and RGCs and populations thereof
15 generated using such methods.

16 **[00156]** In some embodiments, pluripotent cells are cultured under conditions that
17 promote differentiation towards cells of the retinal lineage. Conditions for differentiating
18 pluripotent cells towards cells of the retinal lineage have been described, such as, for example,
19 in Meyer et al. (2011), which is incorporated herein by reference as if set forth in its entirety.

20 **[00157]** In one aspect, the inventors have unexpectedly found that modifying cell culture
21 conditions and/or cell handling techniques, relative to known culturing and differentiation
22 procedures, allows generation of pluripotent cell-derived RGCs and that such modifications
23 increase survival of RGCs. For example, in some embodiments, the step of dissociating cells
24 was found to be improved with the use of a mild enzyme treatment, such as Accutase. By “mild
25 enzyme”, it is meant that the enzyme does not affect cell viability to a great extent. In some
26 embodiments, plating cells at early differentiation stages in the presence of fetal bovine serum
27 (FBS), rather than laminin, can increase the yield of RGCs produced, relative to previous
28 methods. In some embodiments, undifferentiated cells are maintained in a defined medium,
29 such as mTeSR1, which can improve the number of retinal cells that can be generated from the
30 undifferentiated cells, relative to those maintained on feeder cells.

1 **[00158]** In some embodiments, using the culture conditions described above, retinal
2 progenitor cells are generated, for example, by way of retinal neurosphere formation. The
3 retinal progenitor cells are characterized by Chx10 and Pax6 expression and by an absence of
4 Sox1 expression. Progenitor cells are observed from days 20 to 50 of differentiation. Just prior
5 to differentiation into RGCs, progenitor retinal cells exhibit increased expression of Math5, a
6 transcription factor required for RGC development. Upon differentiation into RGCs the cells are
7 Brn3 positive.

8 **[00159]** Retinal ganglion cells made using methods of the present invention are
9 characterized by one or more of the following:

10 **[00160]** i) molecular markers: increased expression of Brn3 and one or more of Map2,
11 Math5 and Islet1; absence of γ -synuclein and/or Crx expression;

12 **[00161]** ii) morphological characteristics typical of RGCs, such as long neurite
13 outgrowths; and

14 **[00162]** iii) physiological characteristics: ability to fire action potentials, ability to exhibit
15 inward sodium currents that are sensitive to a voltage-gated sodium channel blocker, and or
16 ability to conduct potassium through voltage-gated channels.

17 **[00163]** In some embodiments, the disclosed methods for making RGC populations are
18 applied to induced pluripotent stem (iPS) cells, thereby providing a method for generating
19 patient-specific and/or disease-specific retinal ganglion cells. For example, fibroblasts derived
20 from a patient with an E50K mutation in the Optineurin (Optn) gene were reprogrammed to yield
21 lines of patient-specific iPS cells. These iPS cells were then differentiated toward a RGC-like
22 fate, using methods provided herein, to produce Brn3-positive retinal ganglion-like cells. The
23 iPS-derived RGC cells produced using the methods provided herein provide a model system for
24 studying human retinogenesis and for developing patient-specific therapies for diseases
25 affecting retinal ganglion cells, such as glaucoma and other optic neuropathies.

26 **[00164]** In some embodiments, isolated populations of RGCs produced using the
27 methods provided herein are provided. In some embodiments, the RGC populations are
28 purified.

29 **[00165]** A further feature of the disclosure is illustrated in Figure 12, wherein the
30 involvement of Wnt signalling on RGC differentiation was investigated. Specifically, as shown in

1 Figure 12, inhibition of Wnt signaling (IWR1E, or IWR-1-endo, treatment) was found to result in
2 enhanced RGC development. Similarly, activation of Wnt signaling (CHIR99021 treatment) was
3 found to greatly reduce RGC development. Thus, this finding provides a further enhancement
4 to the presently described method of making RGCs.

5 **[00166]** Although the invention has been described with reference to certain specific
6 embodiments, various modifications thereof will be apparent to those skilled in the art. Any
7 examples provided herein are included solely for the purpose of illustrating the invention and are
8 not intended to limit the invention in any way. Any drawings provided herein are solely for the
9 purpose of illustrating various aspects of the invention and are not intended to be drawn to scale
10 or to limit the invention in any way. The scope of the claims appended hereto should not be
11 limited by the preferred embodiments set forth in the above description, but should be given the
12 broadest interpretation consistent with the present specification as a whole. The disclosures of
13 all references recited herein are incorporated herein by reference in their entirety.

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25

Tables**Table 1: Primary Antibodies for Immunocytochemistry**

Antibody	Source	Catalog number	Dilution
β III tubulin	Covance	PRB-435P	1:100
Brn3	Santa Cruz Biotechnology	SC-6026	1:200
Chx10	Santa Cruz Biotechnology	SC-21690	1:200
Crx	Abnova	H00001406-M02	1:100
Dlx5	Abcam	Ab64827	1:200
Ki-67	BD Biosciences	556003	1:500
Lhx2	Santa Cruz Biotechnology	SC-19344	1:200
Map2	Santa Cruz Biotechnology	SC-20172	1:200
Nanog	R&D Systems	AF1997	1:100
Oct4	Stemgent	09-0023	1:200
Otx2	R & D Systems	AF1979	1:2000
Pax6	Developmental Studies Hybridoma Bank	PAX6	1:50
Recoverin	Chemicon	AB5585	1:2000
Sox1	R & D Systems	AF3369	1:1000
Sox2	R & D Systems	AF2018	1:1000
SSEA-4	Chemicon	09-0006	1:500
Tra-1-60	Chemicon	09-0010	1:1000
Tra-1-81	Chemicon	09-0011	1:1000
ZO-1	Zymed	61-7300	1:100

Table 2: Primers for RT-PCR and Quantitative RT-PCR

Gene amplified	Forward	Reverse	Size (bp)
<i>α-Fetoprotein</i>	AGA ACC TGT CAC AAG CTG TG	GAC AGC AAG CTG AGG ATG TC	676
<i>ARRESTIN</i>	ACA AGC TAG GGG ACA ATG CC	TTG TGC TAG AGG CCA GGT TG	597
<i>BEST1</i>	GGT GTG GTT TGC CAA CCT GTC AAT	TGT TCA TCT CGT TCA GCA GGC TCT	92
<i>BRACHYURY</i>	ACC CAG TTC ATA GCG GTG AC	CAA TTG TCA TGG GAT TGC AG	218
<i>BRN3</i>	CTC ACA CTG TCC CAC AAT AAT A	CCG GCG GAA TAT TTC ATT CT	311
<i>CHX10</i>	ATT CAA CGA AGC CCA CTA CCC AGA	ATC CTT GGC TGA CTT GAG GAT GGA	229
<i>CRX</i>	TAT TCT GTC AAC GCC TTG GCC CTA	TGC ATT TAG CCC TCC GGT TCT TGA	253
<i>DLX1</i>	CAA CCA GCA AAT GTC TCC TTC TC	CGC ACT TCA CCG CCT TCC	282
<i>EMX1</i>	AGA CGC AGG TGA AGG TGT GG	CAG GCA GGC AGG CTC TCC	403

<i>EZRIN</i>	ACC ACC ATG GAT GCA GAG CTG GA	ACA CTT CCC GGA GGC CGA TAG T	100
<i>FABP7</i>	AGG CAG GTG GGA AAT GTG AC	CAT AGT GGC GAA CAG CAA CC	298
<i>ISLET1</i>	GTG TGA TCC GGG TCT GGT TT	AAT TAG AGC CCG GTC CTC CT	300
<i>KLF4</i>	AGT CCC GCC GCT CCA TTA CCA A	TGC TCG GTC GCA TTT TTG GCA C	316
<i>LHX2</i>	CAA GAT CTC GGA CCG CTA CT	CCG TGG TCA GCA TCT TGT TA	284
<i>LIN28</i>	AGT GGT TCA ACG TGC GCA TGG G	AGG TCC GGT GAC ACG GAT GGA T	203
<i>NANOG</i>	CAA AGG CAA ACA ACC CAC TT	TCT GCT GGA GGC TGA GGT AT	158
<i>NEUROD1</i>	TAC TGC TGC AAA GTG CAA ATA C	AAG TGC TAA GGC AAC ACA ATA AC	539
<i>NEUROD4</i>	AGG TCT GGG CTC CCA AAA TG	GCC CCG GAG ACT GAT AGT TG	557
<i>OCT4</i>	CGA GCA ATT TGC CAA GCT CCT GAA	TTC GGG CAC TGC AGG AAC AAA TTC	324
<i>OPSN</i>	GAA GTT CAA GAA GCT GCG CC	TCT CAC ATT GCC AAA GGG CT	253
<i>OTX2</i>	CAA CAG CAG AAT GGA GGT CA	CTG GGT GGA AAG AGA GAA GC TG	429
<i>PAX6</i>	CGG AGT GAA TCA GCT CGG TG	CCG CTT ATA CTG GGC TAT TTT GC	300 (+5a) 258 (-5a)
<i>PEDF</i>	AGA TCT CAG CTG CAA GAT TGC CCA	ATG AAT GAA CTC GGA GGT GAG GCT	127
<i>RAX</i>	GAA TCT CGA AAT CTC AGC CC	CTT CAC TAA TTT GCT CAG GAC	279
<i>RPE65</i>	TAC CAC AGA AGG TTC ATC CGC ACT	GGG AAA GCA CAG GTG CCA AAT TCT	92
<i>SIX3</i>	CGA GCA GAA GAC GCA TTG CTT CAA	CGG CCT TGG CTA TCA TAC ATC ACA	394
<i>SIX6</i>	ATT TGG GAC GGC GAA CAG AAG ACA	ATC CTG GAT GGG CAA CTC AGA TGT	385
<i>SOX1</i>	CAA TGC GGG GAG GAG AAG TC	CTC TGG ACC AAA CTG TGG CG	464
<i>SOX2</i>	CCC CCG GCG GCA ATA GCA	TCG GCG CCG GGG AGA TAC AT	448
<i>TRANSDUCIN</i>	CAC GAT GCC CAA GGA GAT GT	GGT GGT TGC AGA TGC TGT TG	419

Table 3: Troubleshooting Guide

Problem	Possible Cause	Solution
EB survival is low after passaging	Pipetting too vigorously to remove cells from plates	Leave dispase on hPSCs longer to ensure easy detachment of cells
	Cells are aspirated with supernatant	Allow cells to settle at least 5 min by gravity or centrifuge for 1 min at 100 × g
Neurosphere yield is low	Cells were not plated densely enough with FBS	Increase density upon next plating
Cells do not adhere to plates with 10% FBS	Too much NIM was added, resulting in <10% final FBS	Instead of changing the medium, add 5% more FBS to each well and return to incubator overnight. Change medium the following day and add fresh NIM to each well.

Undifferentiated cells grow too slowly	Cells were broken up too much during passaging	At next passage, pipet cells fewer times to break up cell aggregates, then increase this number with each subsequent passage
Colonies take too long (15-20 min) to detach from plate	Dispase is not warm	Allow dispase to warm in 37°C water bath for 15 min
	Dispase powder did not completely dissolve when solution was made	When making dispase, add powder to warm DMEM/F12 and allow enzyme to dissolve at 37°C for at least 20 min
Recently plated undifferentiated hPSCs or EBs are not evenly distributed across well	Plates were not agitated when placed in the incubator or were disturbed by opening/closing the incubator	Agitate plates front-to-back and side-to-side in short, quick movements to ensure an even distribution of cells on the well surface. Place plates near the back of the incubator to minimize disturbance.

WE CLAIM:

1. A method of making retinal ganglion cells, comprising the steps of:
 - (a) differentiating pluripotent stem cells into retinal progenitor cells; and,
 - (b) differentiating retinal progenitor cells into retinal ganglion cells.
2. The method of claim 1, wherein the retinal progenitor cells express Chx10 and/or Pax6.
3. The method of claim 2, wherein the retinal progenitor cells lack Sox1 expression.
4. The method of claim 1, wherein the retinal ganglion cells have one or more of the following features:
 - retinal ganglion cell morphology;
 - ability to fire action potentials;
 - ability to exhibit inward sodium currents that are sensitive to a voltage-gated sodium channel blocker;
 - ability to conduct potassium through voltage-gated channels; and
 - Brn3 expression.
5. The method of claim 1, wherein the retinal progenitor cells are treated with a mild enzyme to dissociate said progenitor cells.
6. The method of claim 5, wherein the enzyme is accutase.
7. The method of any one of claims 1 to 6, wherein the pluripotent stem cells or retinal progenitor cells are plated on fetal bovine serum.
8. The method of any one of claims 1 to 6, wherein undifferentiated retinal progenitor cells or undifferentiated retinal ganglion cells are maintained in mTeSR1 medium.
9. The method of any one of claims 1 to 6, wherein the pluripotent stem cells are human pluripotent stem cells or human induced pluripotent stem cells.

10. The method of any one of claims 1 to 6, wherein the pluripotent stem cells are glaucoma patient pluripotent cells.
11. The method of claim 10, wherein the pluripotent stem cells are from a glaucoma patient-specific line of human induced pluripotent stem cells.
12. The method of any one of claims 1 to 6, wherein the method includes the step of inhibiting Wnt signaling.
13. The method of claim 12, wherein Wnt signaling is inhibited by treatment with an inhibitor.
14. The method of claim 13, wherein the inhibitor is IWR1E.

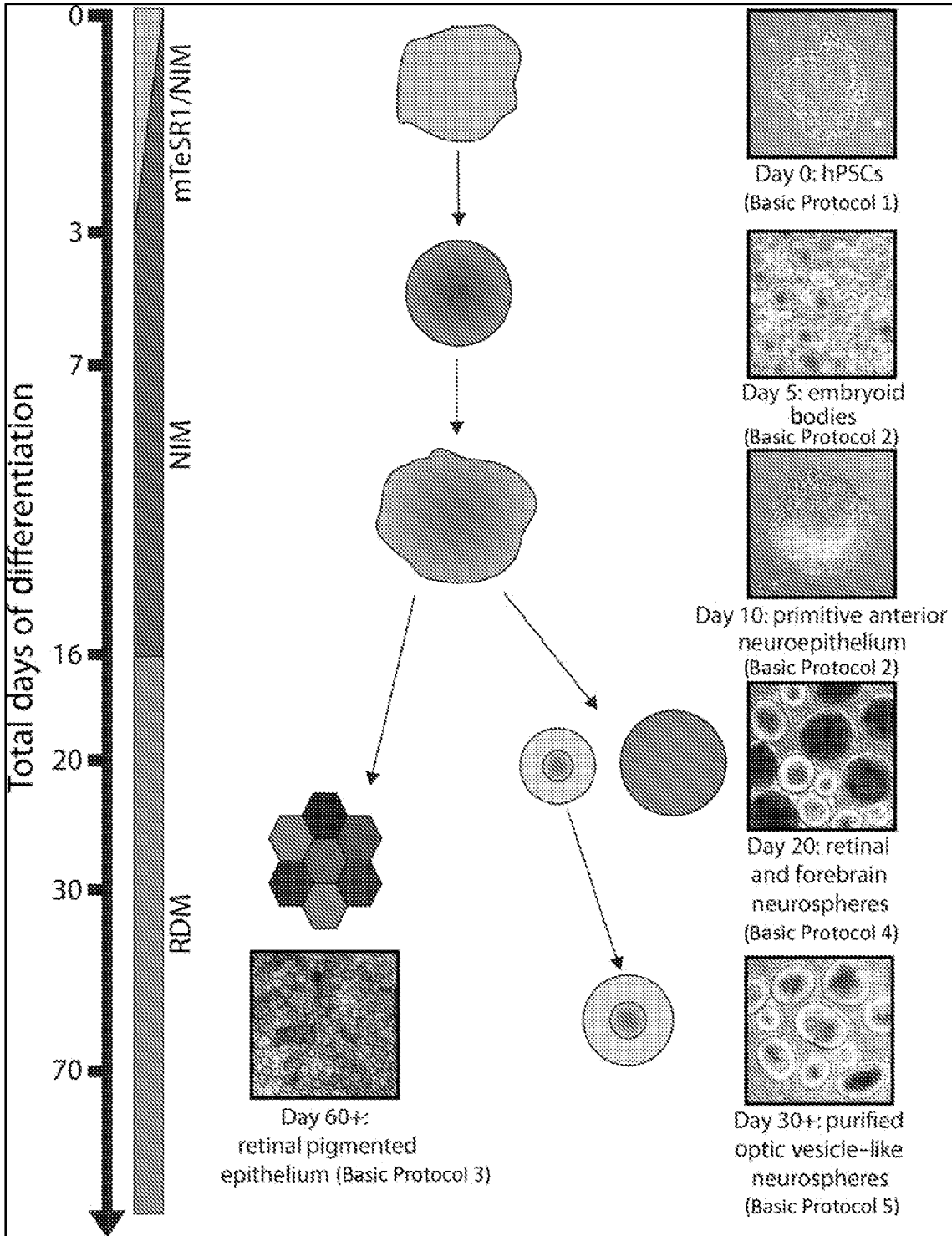


Figure 1

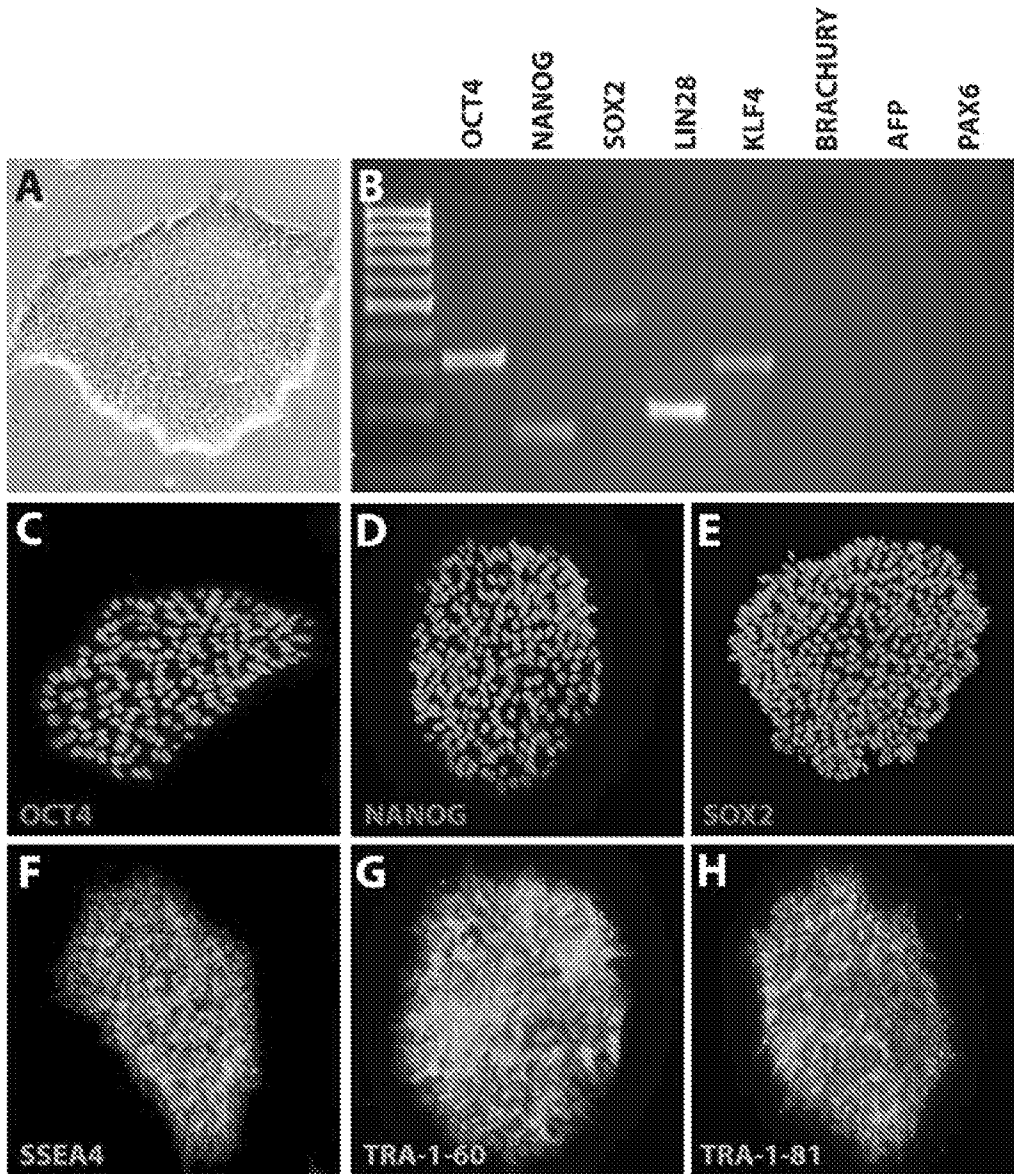


Figure 2

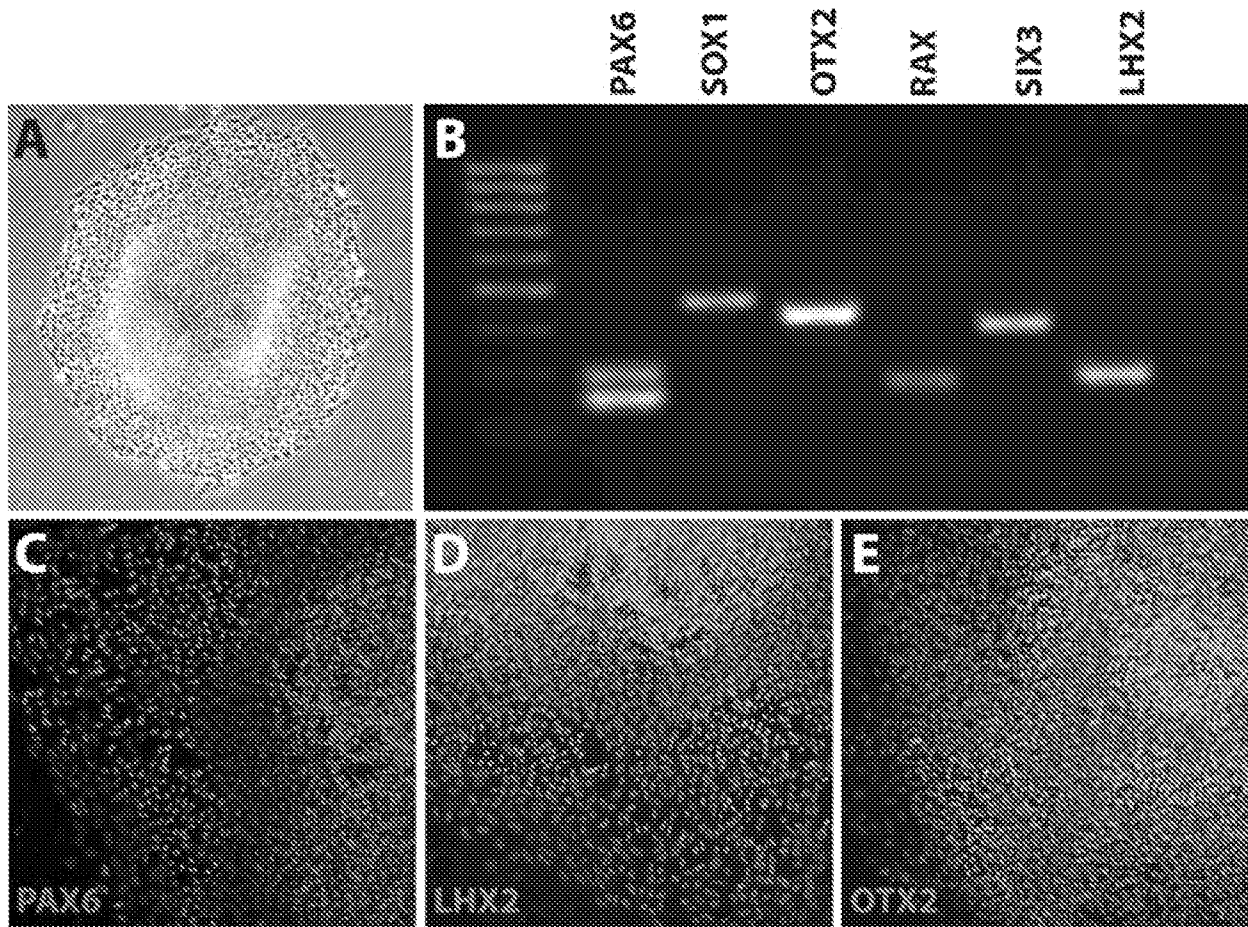


Figure 3

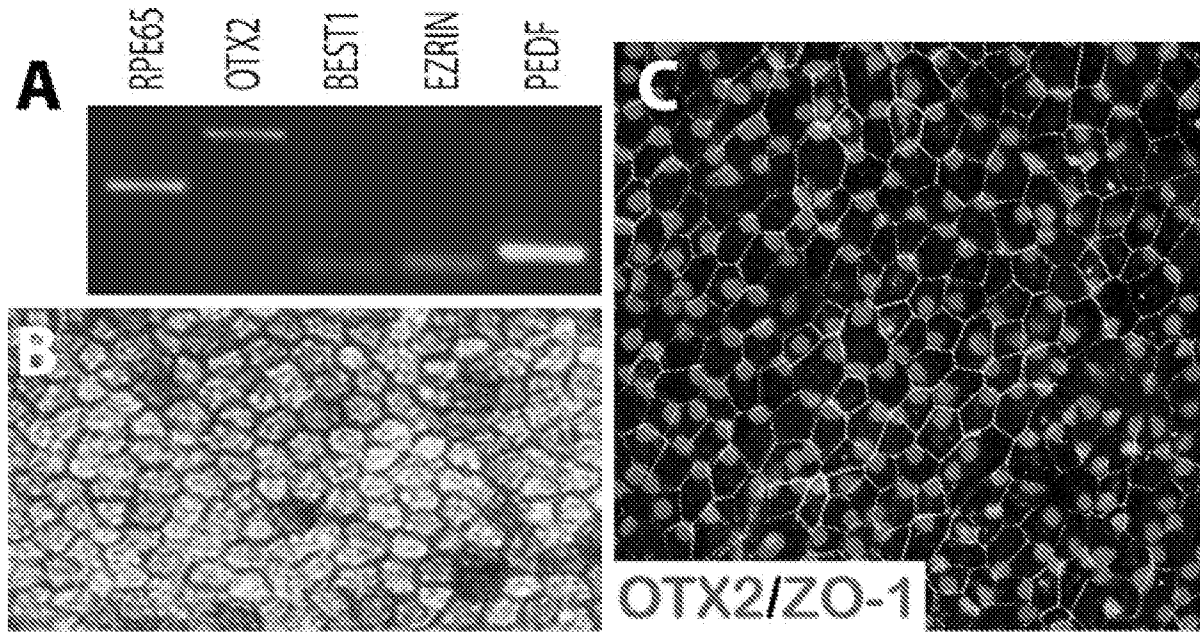


Figure 4

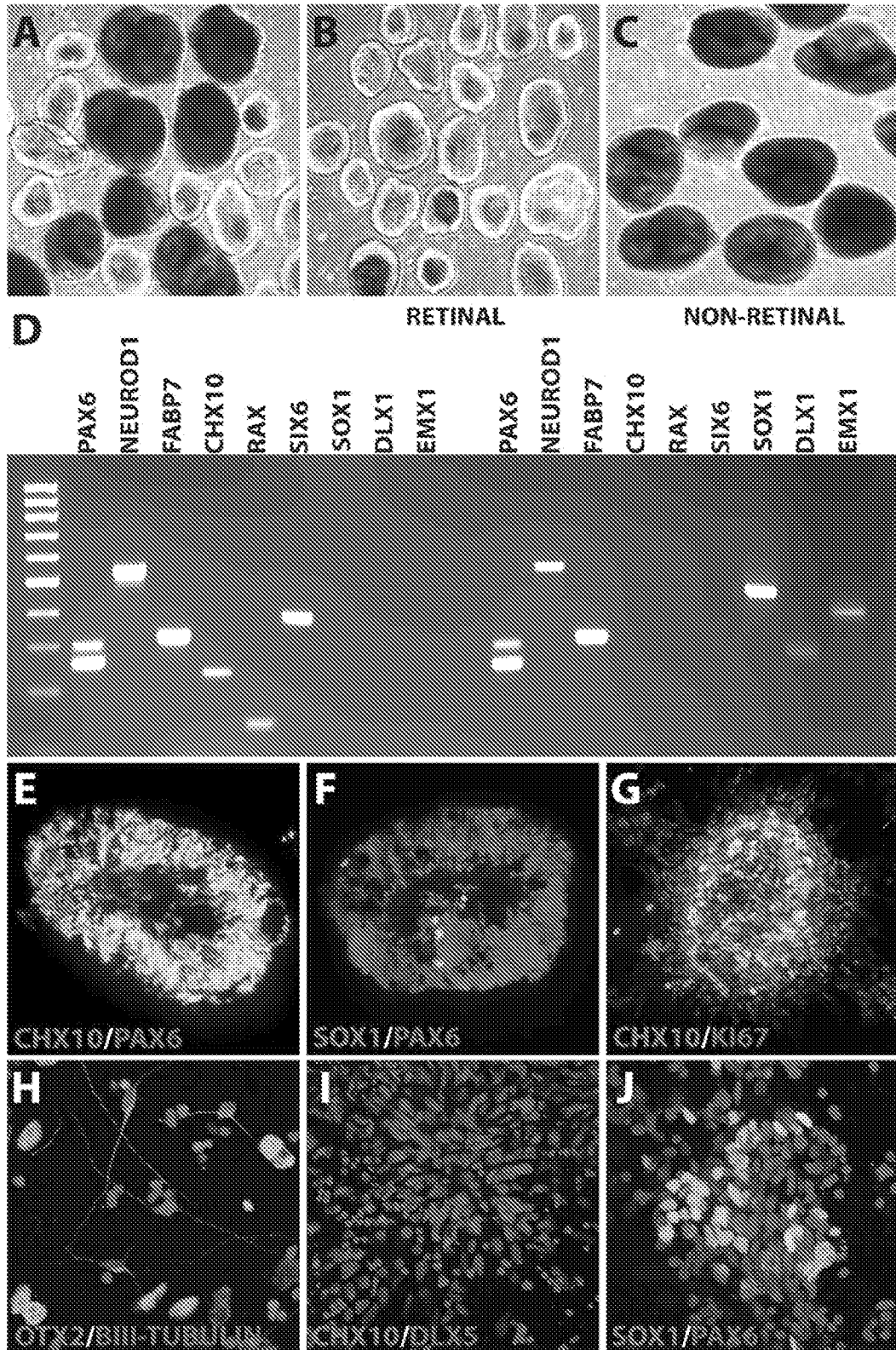


Figure 5

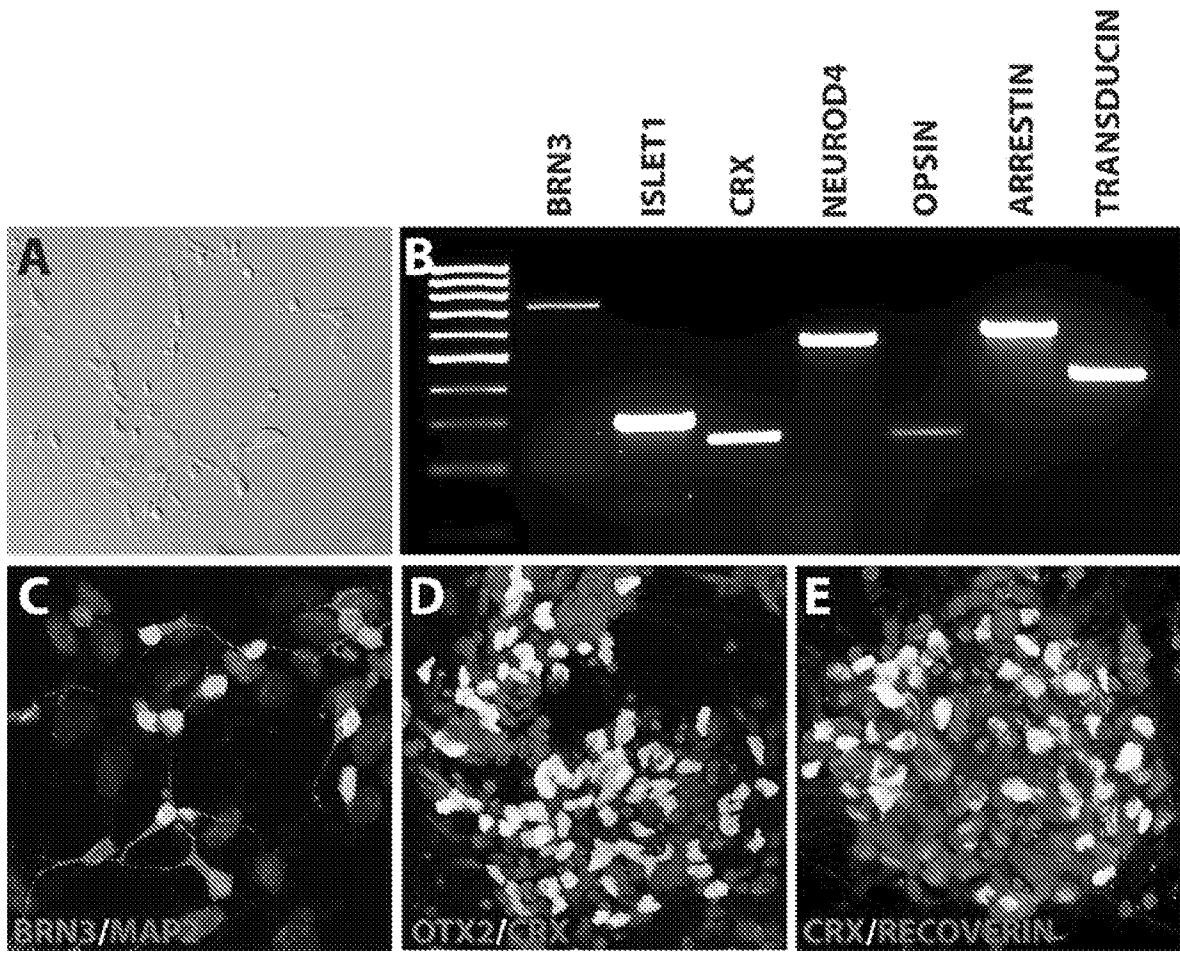


Figure 6

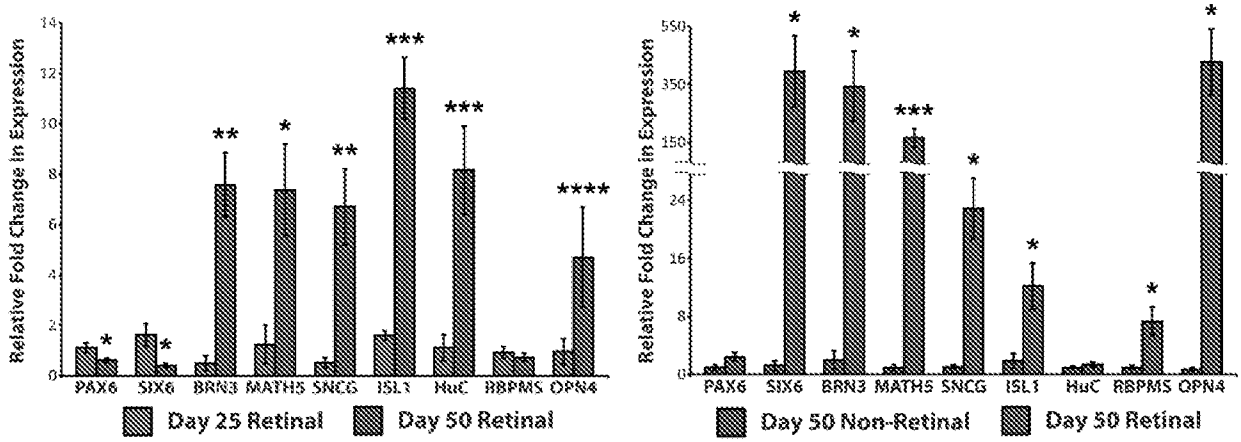
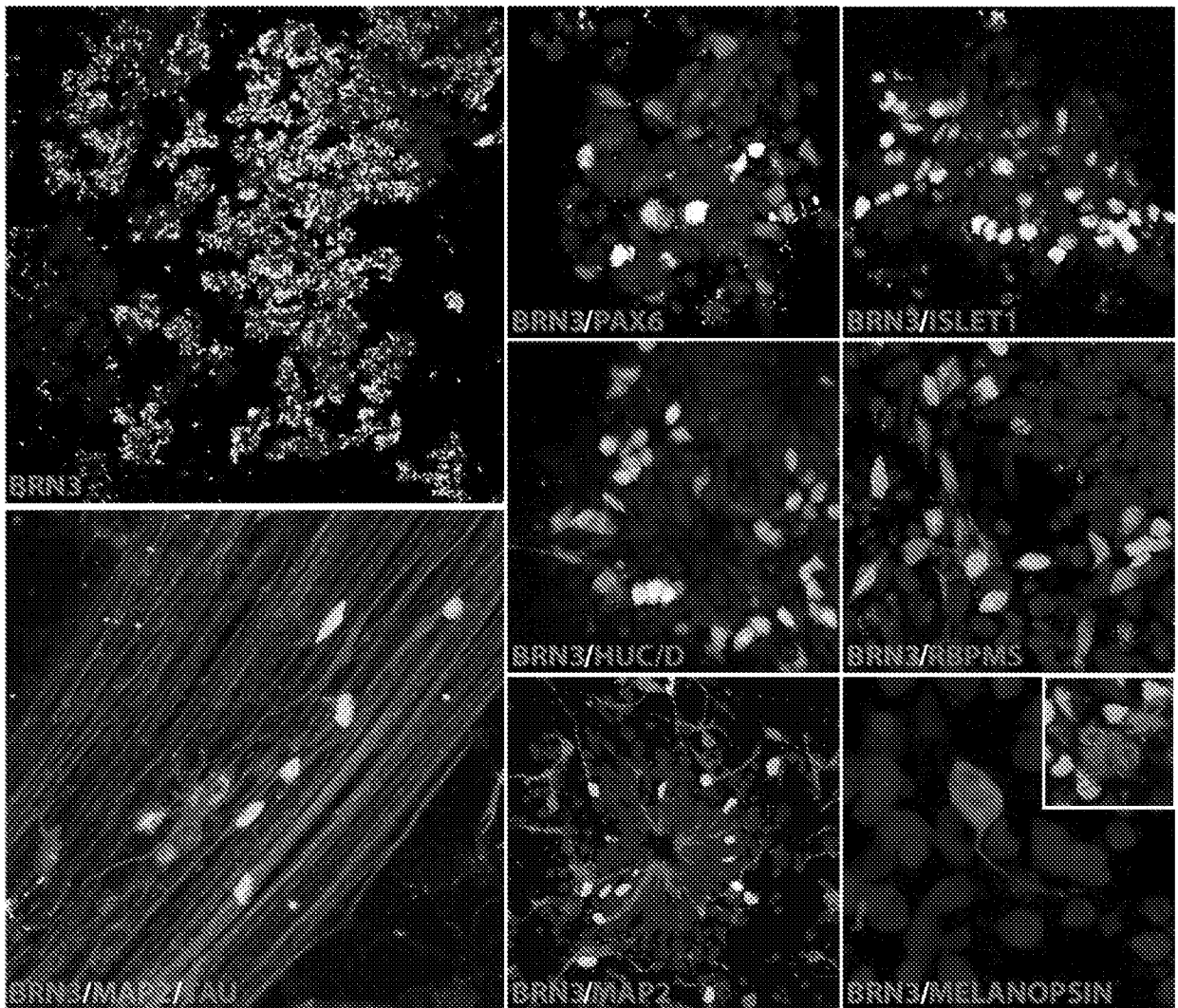


Figure 7

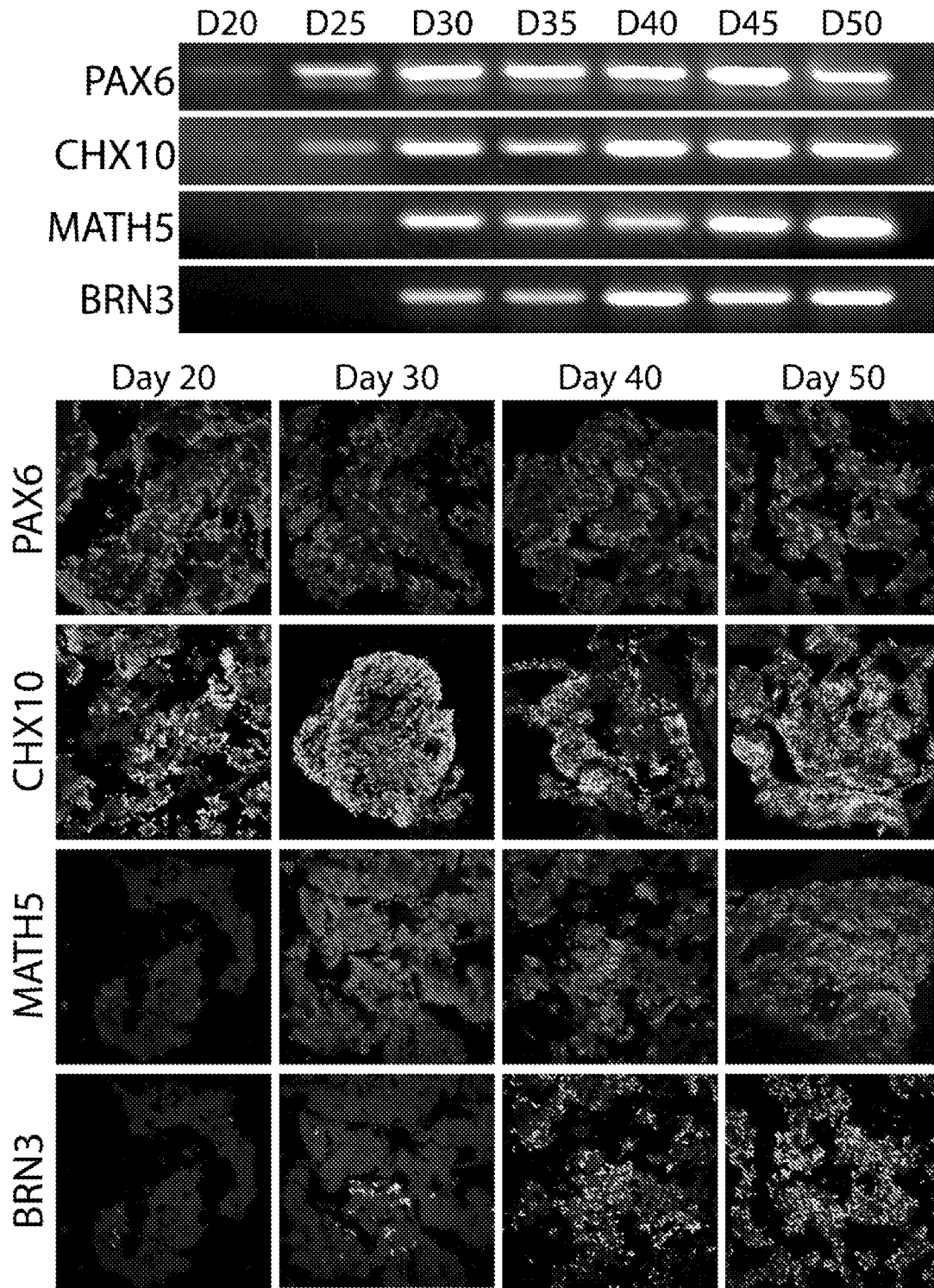


Figure 8

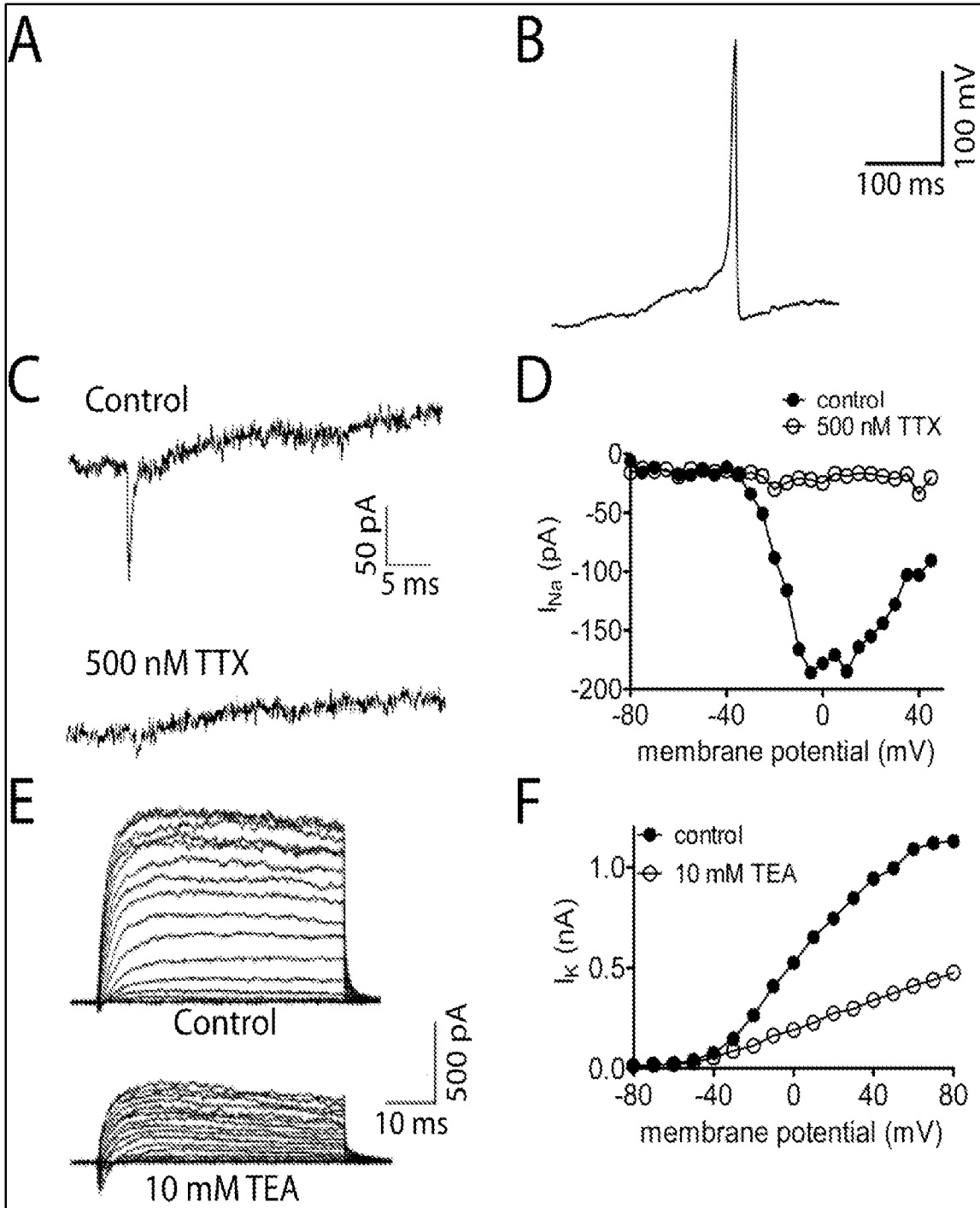


Figure 9

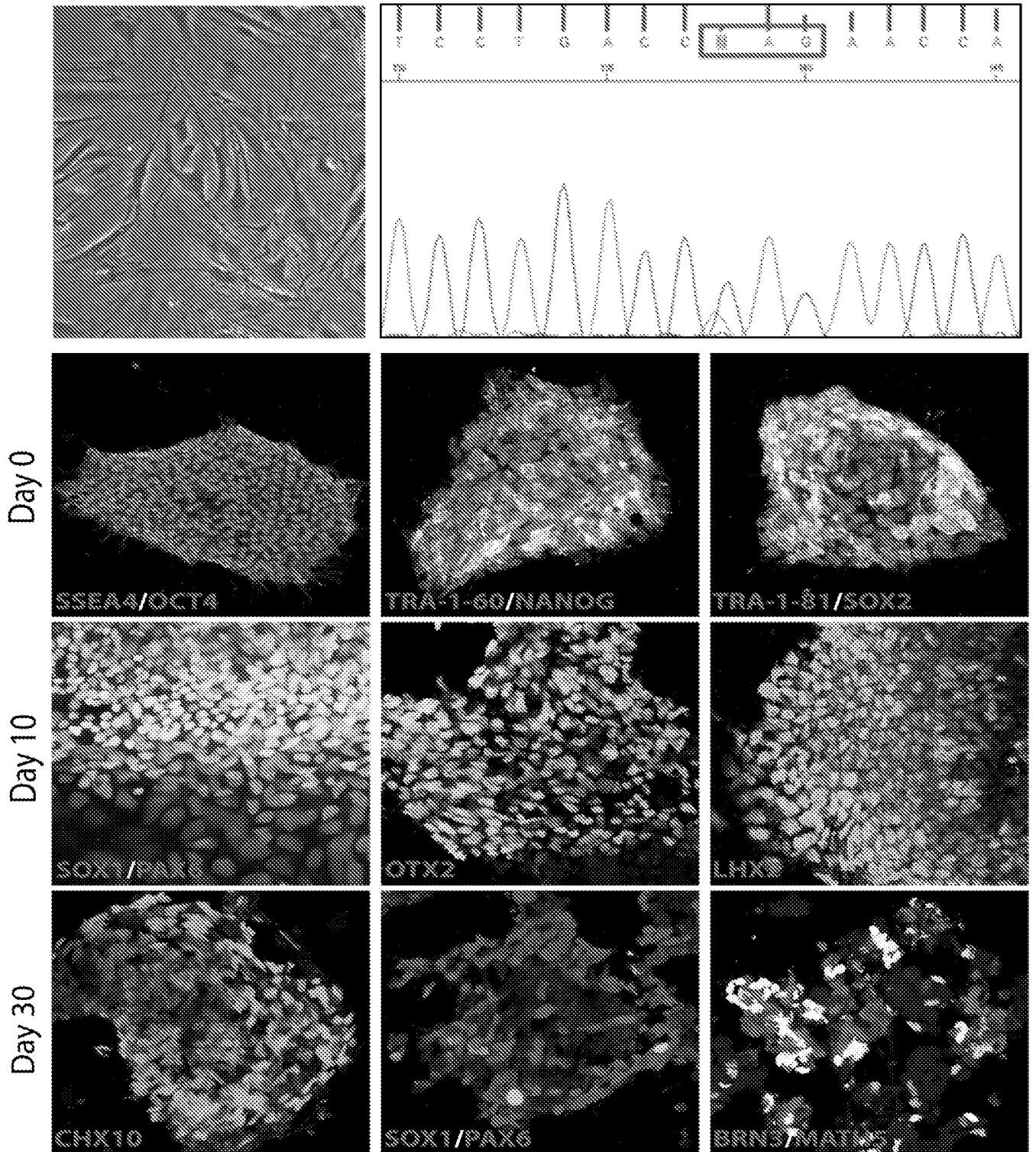


Figure 10

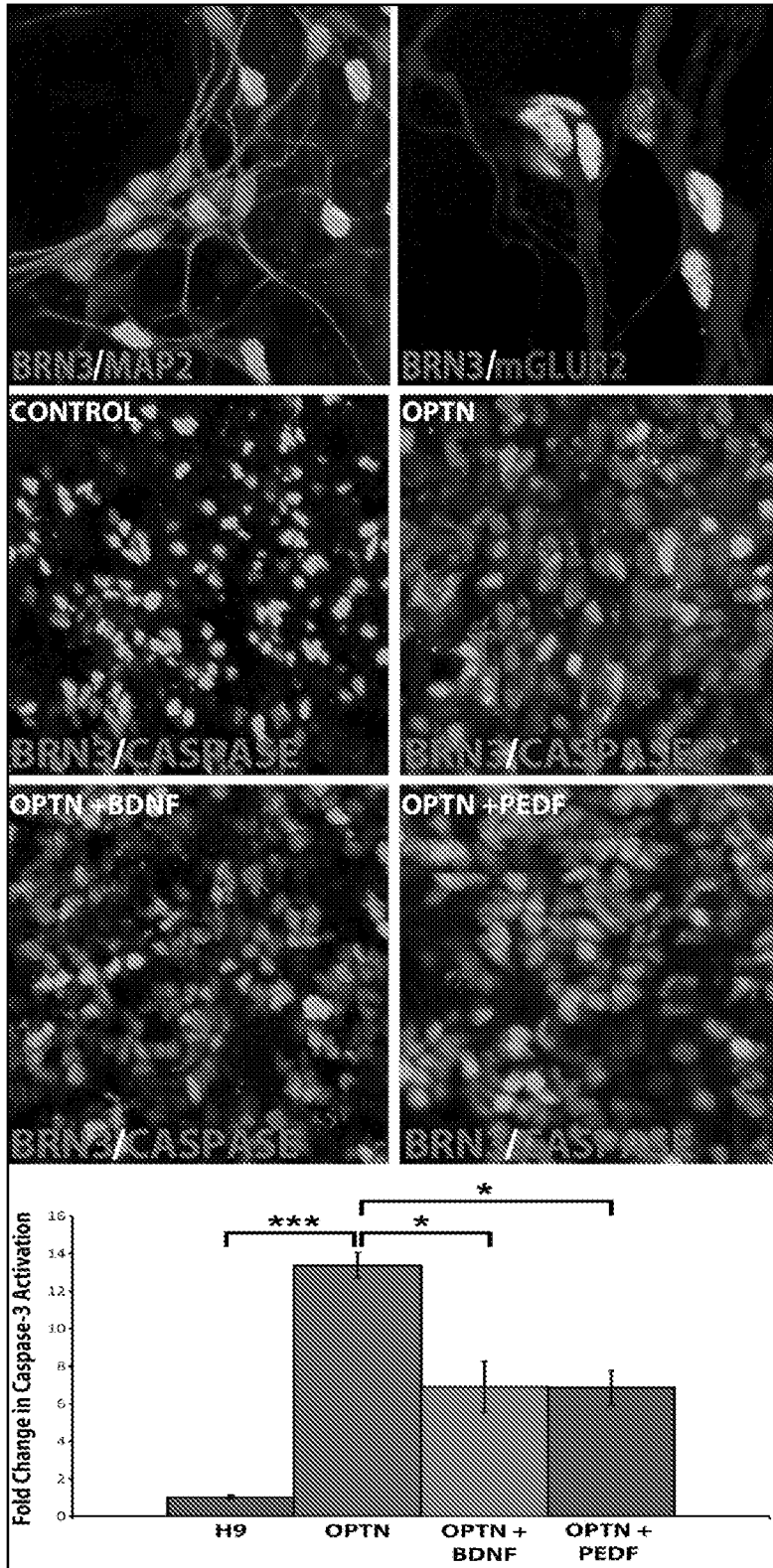


Figure 11

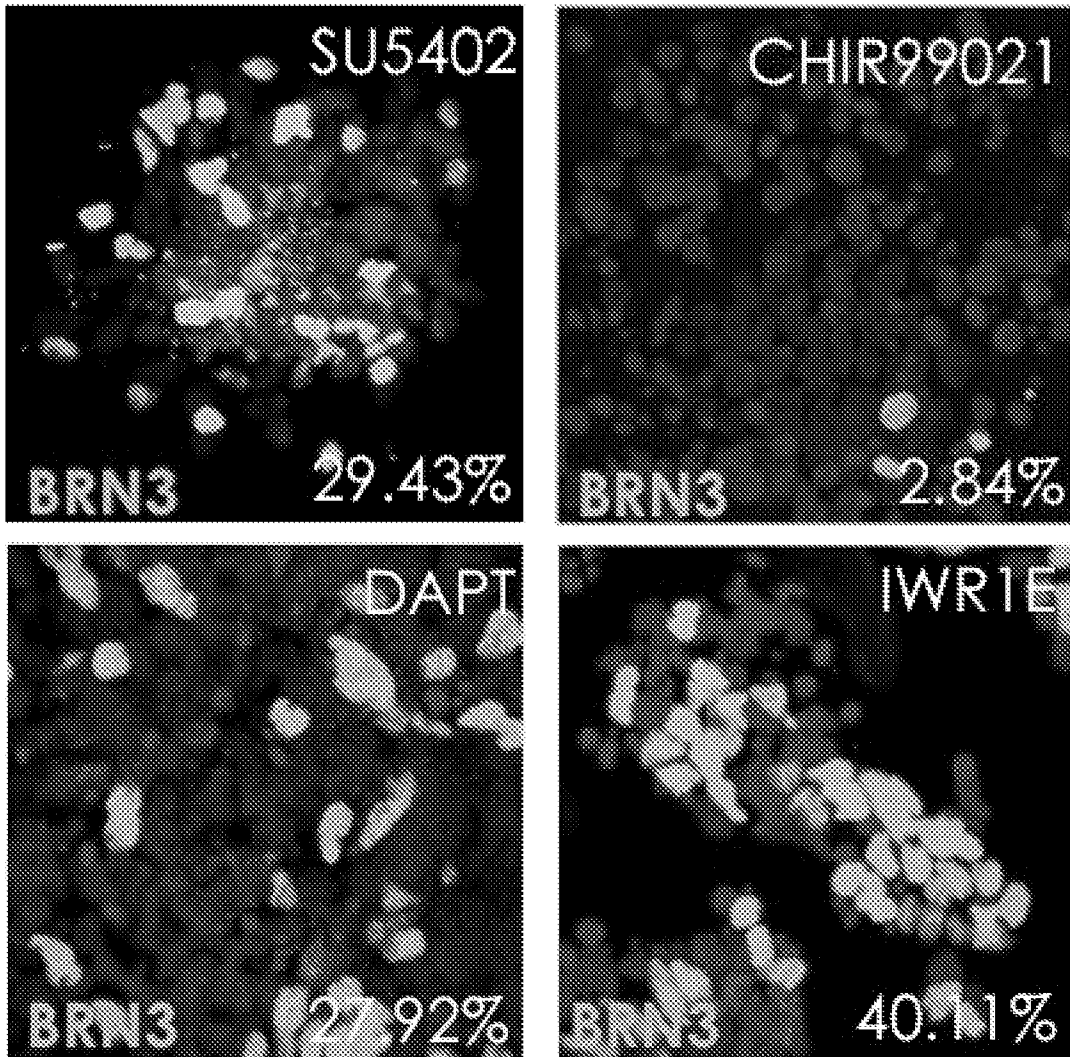


Figure 12