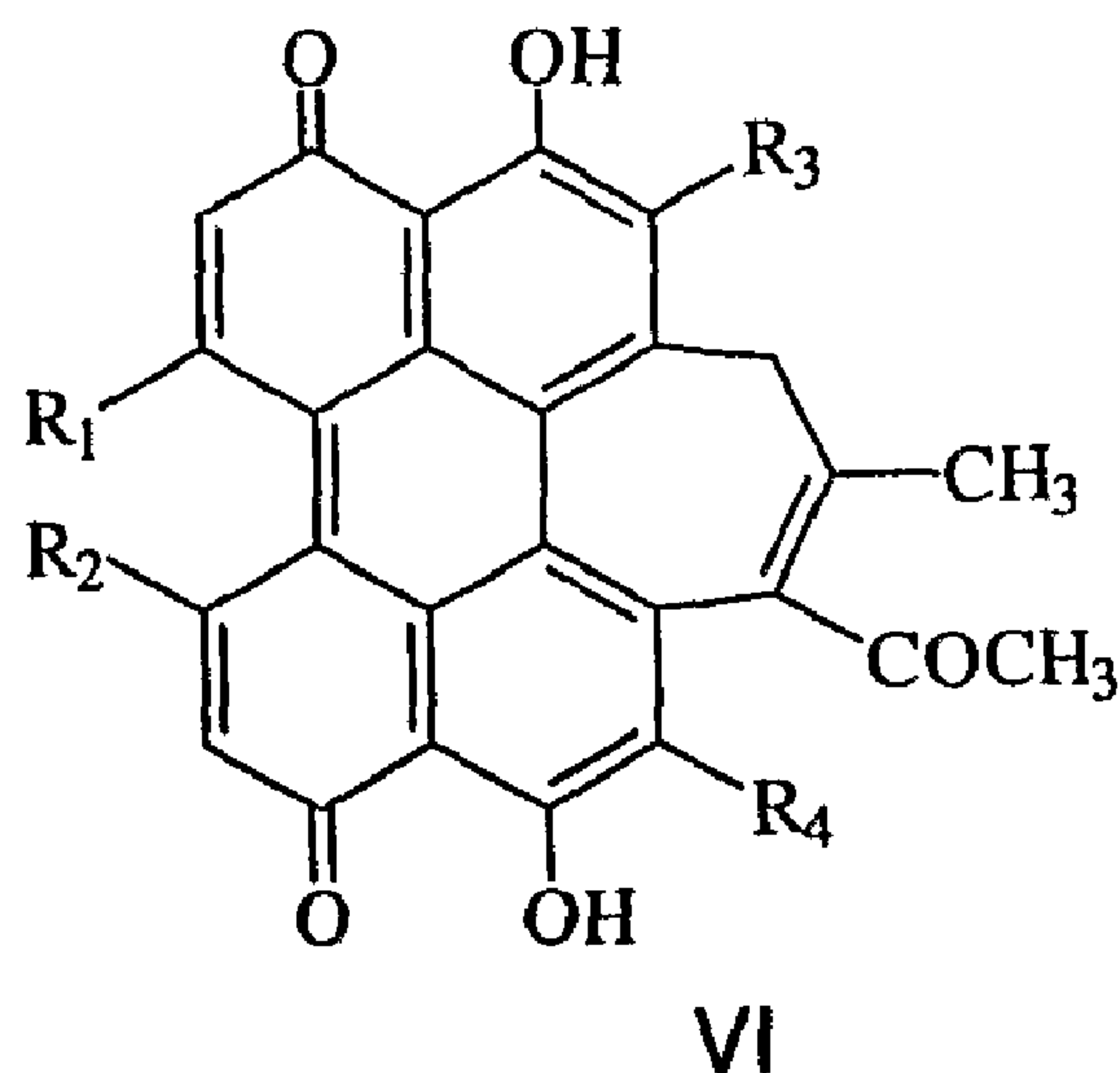
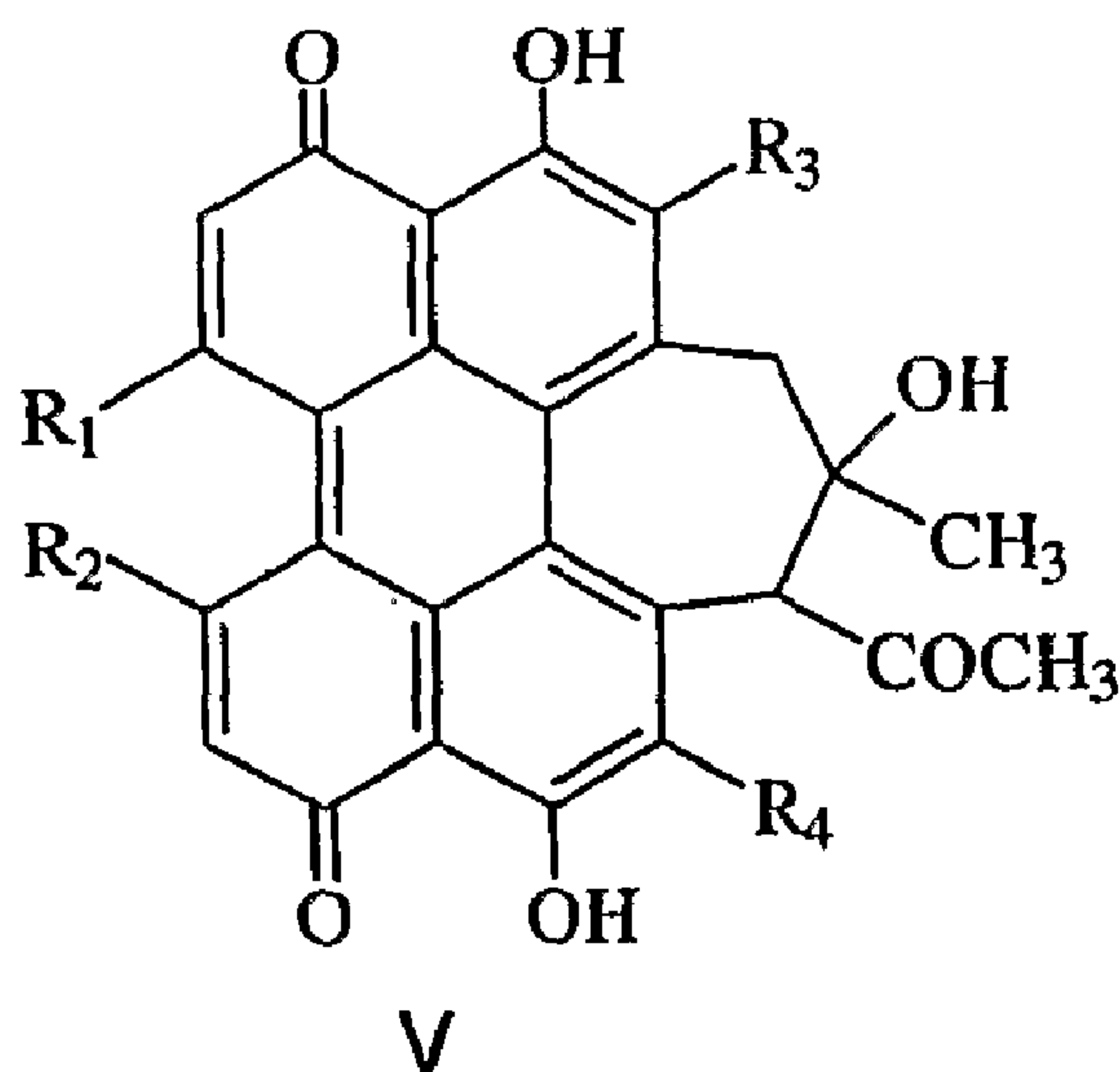




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(54) Titre : PERYLENEQUINONES DESTINEES A ETRE UTILISEES COMMENT AGENTS IMMUNOTHERAPEUTIQUES  
(54) Title: PERYLENEQUINONES FOR USE WITH IMMUNOTHERAPY AGENTS



(57) **Abrégé/Abstract:**

The present invention is compositions and methods containing at least one perylenequinone, wherein the activated perylenequinone modulates the immune response. The compositions and methods of the present invention may also be used in combination with other administered immunotherapies. For example, the present invention may be used with antibody, antigen, cytokine, and/or immunoadjuvant based immunotherapies. In this embodiment of the invention, the compositions and methods modulate the function or activity of the immunotherapy itself.



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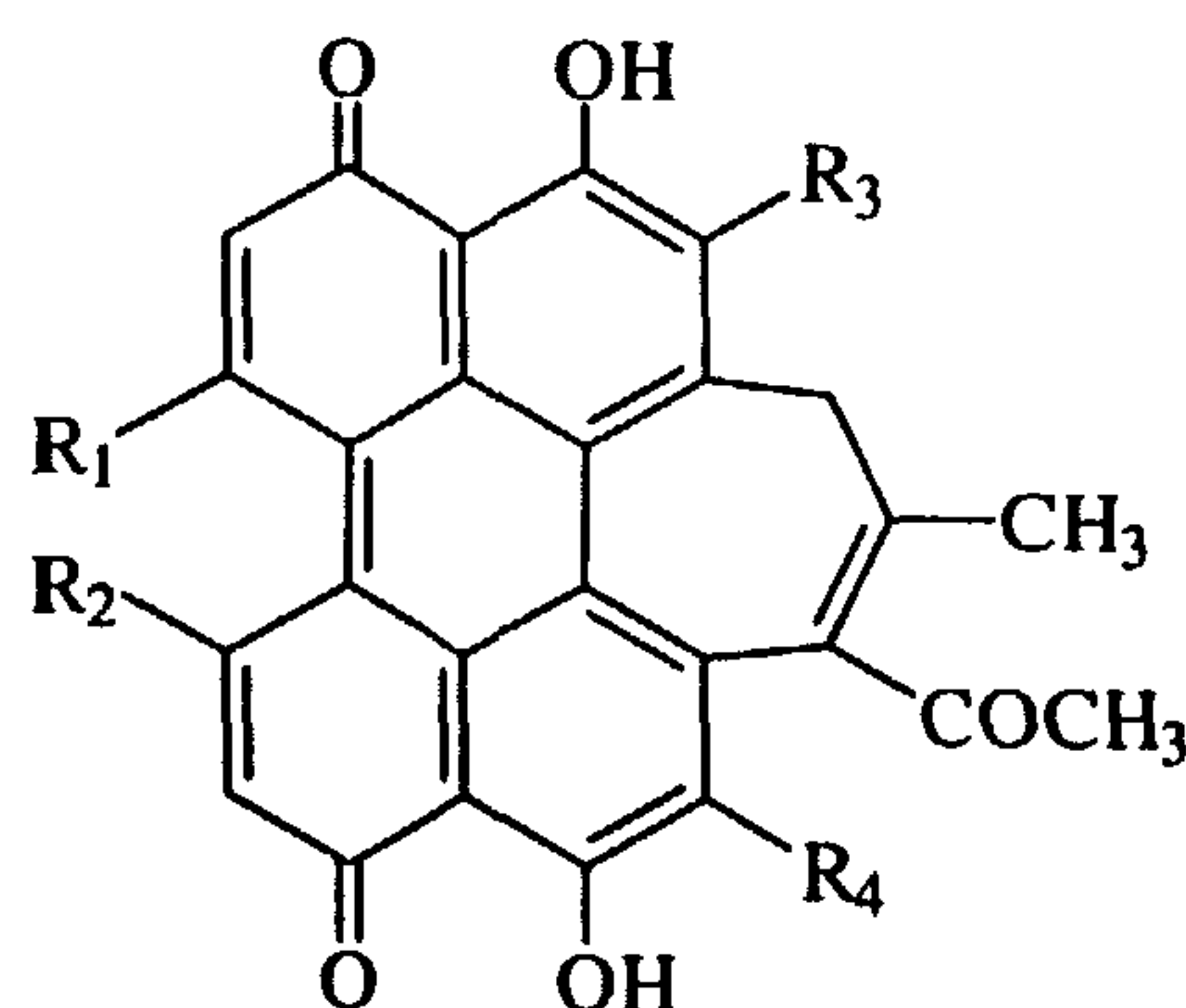
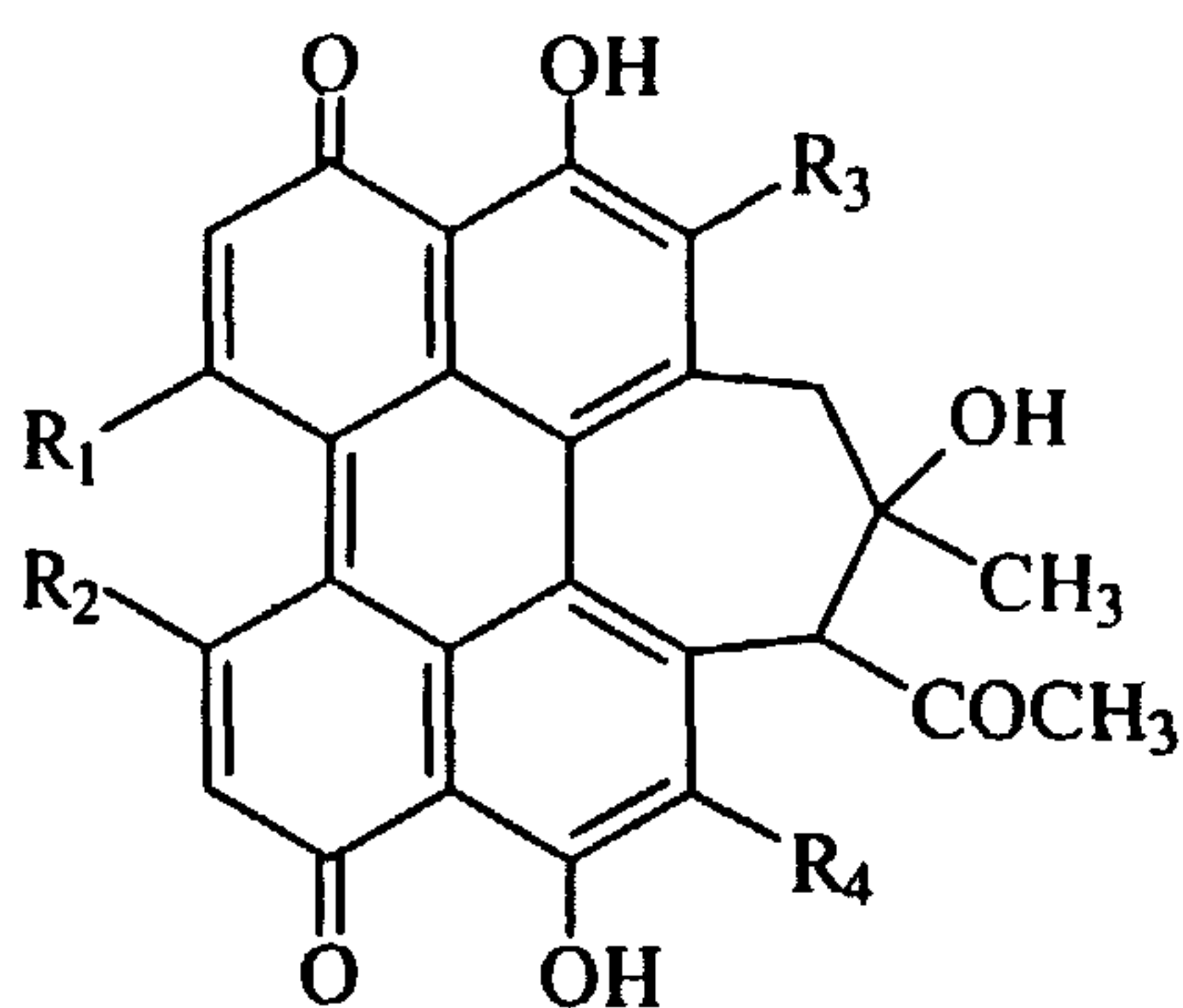
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(54) Title: PERYLENEQUINONES FOR USE WITH IMMUNOTHERAPY AGENTS



(57) Abstract: The present invention is compositions and methods containing at least one perylenequinone, wherein the activated perylenequinone modulates the immune response. The compositions and methods of the present invention may also be used in combination with other administered immunotherapies. For example, the present invention may be used with antibody, antigen, cytokine, and/or immunoadjuvant based immunotherapies. In this embodiment of the invention, the compositions and methods modulate the function or activity of the immunotherapy itself.

WO 02/060482 A3

# **PERYLENEQUINONES FOR USE WITH IMMUNOTHERAPY**

## **AGENTS**

### Technical Field of the Invention

The invention involves compositions and methods for treating diseases and the like by administering compounds that are both photosensitizers and sonosensitizers, and potentiating any immunotherapies used to treat said disease(s). The compositions and methods are particularly suited to treating cancers in humans and animals, and to modulating the function of the immunotherapeutic agent.

### Background of the Invention

Immunotherapy is based on the principle of inducing or activating the immune system to recognize and eliminate undesirable cells, such as neoplastic cells. The key elements in any immunotherapy is to induce or trigger the host immune system to first recognize a molecule as an unwanted target, and then to induce the system to initiate a response against that molecule. In healthy hosts, the immune system recognizes surface features of a molecule that are not a normal constituent of the host (i.e., is "foreign" to the host). Once the recognition function occurs, the host must then direct a response against that particular foreign molecule.

Both the recognition and the response elements of the immune system involve a highly complex cascade of biological reactions. In most immunologically based disorders, at least one of the steps in the recognition phase, or at least one of the steps in the response phase, are disrupted. Virtually any disruption in either of these complex pathways leads to a reduced response or to the lack of any response. The inability of the immune system to destroy a growing tumor has been attributed, among other factors, to the presence of tumor-associated antigens (TAA) that induce immunological tolerance and/or immunosuppression. For example, in some kinds of cancer, the cancer itself misleads the host into accepting the foreign cancer cell as a normal constituent, thus disrupting the



1 recognition phase of the immune system. The immunological approach to cancer  
therapy involves modification of the host-tumor relationship so that the immune  
system is induced or amplifies its response to the TAAs. If successful, inducing or  
amplifying the immune system can lead to tumor regression, tumor rejection, and  
potentially, to tumor cure.

6 The ability to up- or down-regulate immune responses and to control  
potentially auto-reactive immunocompetent cells is vital for normal immune  
function and survival. Regulatory mechanisms include the induction of clonal  
anergy (via inappropriate antigen-presenting cells), peripheral clonal  
deletion/apoptosis, cytokine (e.g. transforming growth factor-beta (TGF- $\beta$ ) or IL-  
11 10)-induced non-responsiveness, 'veto' cells, auto-reactive cytolytic T cells, and  
both non-specific and antigen-specific T suppressor cells. At least in theory, each  
of these regulatory systems provides a mechanistic basis for therapeutic  
intervention.

The ideal cancer treatment modality should not only cause tumor  
16 regression and eradication but also induce a systemic anti-tumor immunity, which  
is essential for control of metastatic tumors and for long term tumor resistance. In  
this regard, photodynamic therapy (PDT) and sonodynamic therapy (SDT)  
represent a promising new approach(es) for the treatment of cancer. These  
therapies involve systemic or topical administration of a sensitizer, followed by its  
21 activation by light of a specific wavelength (PDT), or activation by sound of a  
specific frequency (SDT). The activation of the sensitizer leads to the production  
of activated oxygen and radical species that initiate a cascade of biochemical  
reactions, resulting in direct cell destruction, damage to the tumor vasculature and  
immune inflammatory responses. The induction of an inflammatory response and  
26 the generation of tumor specific immunity were suggested to play a decisive role  
in achieving long-term tumor control [1, 2]. This concept is supported by preclinical  
and clinical studies. For instance it has been shown that the therapeutic efficacy of  
PDT is greatly attenuated in immuno-compromised mice (nude and SCID) as  
compared with wild-type mice, and the adoptive transfer of T-cells or bone marrow  
31 cells into immuno-compromised mice were effective in delaying the recurrence of

1 PDT-treated tumors [2, 3]. In addition, Abdel-Hady et al (2001) have recently  
demonstrated that in patients with vulval intraepithelial neoplasia, the clinical  
response to ALA-based PDT can be correlated with the number of infiltrating  
immune cells and HLA class I expression [4].

6 The inflammatory reaction is believed to represent a critical initial  
development that orchestrates events leading to the recognition of antigens of  
PDT-treated tumors and the ensuing generation of a long lasting tumor immune  
response [5-9]. PDT-induced photo-oxidative damage results in the release of a  
plethora of pro-inflammatory mediators liberated from cancer cell membranes,  
vascular endothelium and tumor stromal elements and the subsequent invasion of  
11 the tumor site by neutrophils and other myeloid effector cells. A number of  
cytokines are produced within the tumor after PDT treatment. The activated  
immune cells together with the liberation of cytokines instigate and amplify the  
acute inflammatory reaction into the targeted lesion. PDT-released tumor cell  
debris, cytokines and infiltrating immune cells capable of engulfing and presenting  
16 tumor antigens to T-lymphocytes, might create a unique environment for  
promoting cell-mediated immunity and the induction of a long lasting immune  
response

The activity of neutrophils, macrophages and CTLs was found to contribute  
to the therapeutic outcome of PDT [3, 6, 10-12]. Neutrophils and macrophages  
21 accumulate in the tumor area as early as 5 min after PDT treatment. These cells  
may kill tumor cells directly through their direct cytolytic activity or indirectly  
through cooperation with lymphoid cells and participate in the development of  
cancer-specific immunity [2, 5]. The depletion of neutrophils in tumor bearing mice  
as well as the blocking of cell adhesion molecules engaged in the recruitment of  
26 these leukocytes in tissues was found to decrease PDT mediated anti-tumor  
effects [8, 13]. Similarly, the inactivation of macrophages by silica treatment also  
reduces the cures of PDT-treated tumors [14]. Using techniques of bone marrow  
transplantation and adoptive splenocyte/Tcell transfer between immunocompetent  
and immunodeficient mice, as well as specific depletion of CD4+ and CD8+ cells,  
31 it has been demonstrated that lymphoid cell activity is required for the PDT-



mediated tumor cure [Hendrzak-Henion JA, Knisely TL, Cincotta L, Cincotta E, Cincotta AH (1999) *Photochemistry & Photobiology* 69:575; Korbely M, Cecic I (1999) *Cancer Letters* 137:91; and Korbely M, Sun J (2001) *International Journal of Cancer* 93:269]. Moreover, it has also been observed that PDT generates tumor-specific T-lymphocytes that can be recovered from distant lymphoid sites, such as lymph nodes or spleen, even after protracted times after light treatment [2, 3].

The photosensitizing and therapeutic properties of natural perylenequinonoid pigments (PQPs), such as hypocrellins, in biological systems have been recognized during the past two decades. See Diwu, et al., *J. Photochem. Photobiol. A: Chem.*, 64:273 (1992); Zhang et al., (1989); and Wan, et al., "Hypocrellin A, a new drug for photochemotherapy", *Kexue Tongbao (English edition)* 26:1040 (1981). For their general chemical properties [see Weiss, et al., *Prog. Chem. Org. Nat. Prod.*, 52:1 (1987) and Diwu, et al., *Photochem & Photobiol.*, 52:609-616 (1990)]. PQP's general photophysical and photochemical properties have been reviewed in Diwu, et al., *Pharmac. Ther.*, 63:1 (1994). Hypocrellins belong to the general class of perylenequinonoid pigments, and include hypocrellin A (HA) and hypocrellin B (HB).

PQPs are of interest because they may be administered in an un-activated (or non-toxic) state, and then subsequently activated. PQPs, and hypocrellin derivatives in particular, are also of interest because they can be activated using different modalities, for example, using light, sound, or combinations thereof.

Sonodynamic activation of sensitizers has been found to be useful since ultrasound has the appropriate tissue attenuation coefficient for penetrating intervening tissues to reach desired treatment volumes, while retaining the ability to focus energy on reasonably small volumes. Diagnostic ultrasound is a well accepted, non-invasive procedure widely used in the developed world, and is considered safe even for fetal imaging. The frequency range of diagnostic ultrasound lies between 100 kHz -12 MHz, while 50 kHz sound provides enough energy to effect cellular destruction through microregional cavitation.

The biological effects of exposure to ultrasound are the result of its physical and chemical effects. The most obvious biological effects of ultrasound treatment stem from heating of the medium through which it passes. Such heating is exploited during physiotherapy to help heal injured tissues; and has been investigated as a

possible modality for tumor treatment. This is due to the sensitivity of many tumors to hyperthermia, a state in which tissue temperatures are elevated above 42°C, previously investigated. Ultrasound has also been used in combination with radiation therapy to improve treatment response *in vivo* compared to radiotherapy alone. A principal danger in the use of ultrasound for therapeutic purposes is the formation of 'hotspots' due to regions of constructive interference and preferential absorption of ultrasonic energy by bone regions with low curvature radii; These hotspots can cause serious damage to nearby tissues.

#### 10 Summary of the Invention

In accordance with the present invention, derivatives of perylenequinone pigments (PQPs) having both photosensitizing properties and sonosensitizing properties are used to treat diseases and other conditions by modulating the body's existing immune system, and/or any immunotherapies used to treat the disease or other condition.

The inflammatory/immune character of PDT makes it particularly suitable for being combined with various forms of immunotherapy that can effectively improve the cure rates of treated tumors. A variety of immunotherapy treatments were shown to be effective in conjunction with PDT. This include adoptive transfer of immune cells [3, 15], the use of different cytokines [16, 17], and a variety of vaccines serving as non-specific enhancers of immune response. With respect with the latter, the beneficial effect on PDT-mediated tumor response was reported for adjuvant treatments with the Bacillus Calmette-Guerin (BCG) vaccine [18, 19], mycobacterial cell wall extract [20], and *Corynebacterium parvum* vaccine [21].

BCG is an attenuated strain of *Mycobacterium bovis* developed for the use as a vaccine against human tuberculosis. BCG is known to stimulate cell-



1 mediated immunity, humoral immunity, and macrophage function, which can  
theoretically lead to increased tumor destruction. Superficial bladder cancer is one  
of the few human malignancies in which nonspecific immunotherapy with BCG  
has proven to be effective.

Hypocrellins have been selected as potential photosensitizers for PDT [22]  
6 and preclinical studies have demonstrated their potential as anti-cancer agents  
[23]. The present invention comprises the potentiation of the anti-tumor activity of  
amino-substituted hypocrellins, such as demethoxy hypocrellin B (DMHB), when  
used in combination with an immunotherapeutic agent, e.g., BCG.

The present invention concerns altering immunogenicity in a manner that  
11 produces a beneficial or therapeutically desirable effect. As used herein, a  
beneficial or desirable immune response is one that produces a therapeutically  
desirable result, e.g., control of tumor growth in animals or humans. A beneficial  
therapeutic response will typically include activation of the immune system and/or  
one or more of its components, induction of the immune system and/or one or  
16 more of its components, and/or a T cell immune response, and/or a humoral  
immune response. For example, for a cancer such as ovarian cancer, a beneficial  
or desirable immune response includes the production of an antibody that  
immunoreacts with a previously non-immunoreactive ovarian cancer antigen. In  
this example, the immune response to an antigen is increased. In another  
21 example, for a condition such as inflammation, a beneficial or desirable immune  
response includes the production of an antibody that immunoreacts with a  
previously immunoreactive antigen so that it becomes non-immunoreactive. In  
this example, the immune response is decreased. In transplantation, the immune  
system attacks MHC-disparate donor tissue leading to graft rejection, in  
26 autoimmune disease it attacks normal tissues, and in allergy the immune system  
is hyper-responsive to otherwise harmless environmental antigens. It is now  
recognized that immunosuppressive therapy may be appropriate for treating each  
of these disorders. A beneficial result may also be achieved by modulating, i.e.,  
increasing or decreasing the activity or function of an immunotherapeutic agent  
31 itself.



1 The methods and compositions of the present invention, activated by light  
and/or sound, exhibit substantial absorption in the red spectral region or  
therapeutic frequencies of ultrasound; produce high singlet oxygen yield; can be  
produced in pure, monomeric form; may be derivatized to optimize properties of  
red light absorption, ultrasound activation, tissue biodistribution, and toxicity; have  
6 reduced residual cutaneous photosensitivity; and are rapidly excreted. They  
afford nuclear targeting by covalent attachment to DNA minor-groove binding  
agents, such as stapled lexotropins, to enhance phototoxicity. They are not  
genotoxic. This trait is important in the context of treatment -related secondary  
malignancies.

11 The photosensitizing and sonosensitizing compounds and methods of the  
present invention, when administered systemically, distribute throughout the body.  
Over a short period, ranging from hours to days, the compounds clear from  
normal tissues, but are selectively retained by rapidly proliferating cells (e.g.,  
cancer cells or psoriasis lesions) for up to several days. The PQPs of the present  
16 invention are inactive and non-toxic until activated, e.g., exposed to light in a  
specific wavelength range, to sound in a specific frequency range, or  
combinations thereof.

The use of compounds that can be activated using two different activation  
protocols may be therapeutically beneficial. Light, which can penetrate to a  
21 surface depth of about 5 mm to about 7 mm, can activate compounds for treating  
surface lesions or those target cells within a certain distance of a light source.  
Ultrasound, on the other hand, can penetrate deep within the body to treat deeply  
seated cells, such as tumor masses inaccessible to a source of light.

The compounds of the present invention are also beneficial therapeutically  
26 due to their dual selectivity. The compounds of the present invention are selective  
in their ability to preferentially localize the drug at the site of a predetermined  
target, such as a cancer cell, and they are selective in that precise delivery of light  
and/or sound can be confined to a specific area.



1 Figure 2), where  $R_1, R_2, R_3, R_4$  are  $OCH_3$  or  $NHCH_2Ar$  (Ar are phenyl or pyridyl group),  $NHCH(CH_2)_n$  (where  $-CH(CH_2)_n$  are alicyclic group and  $n=3,4,5,6$ ). 2-BA-2-DMHB is where  $R_1, R_2, R_3$  are  $OCH_3$ , and  $R_4$  is  $NH(CH_2)_3CH_3$ . Alternatively,  $R_1, R_2, R_3, R_4$  may be  $OCH_3$  or  $NHCH_2(CH_2)_nAr$ , wherein Ar is a phenyl, naphthyl, polycyclic aromatic or a heterocyclic moiety, and n is 0-12.

6 The present invention also includes methods and compositions for altering the immunogenic state of the host organism. In altering the immunogenic state, the compositions and methods of the present invention increase, decrease, or maintain the host's immunogenic state, and/or increase, decrease, or maintain the function of the immunotherapeutic agent. An example of deriving a therapeutic  
11 benefit by increasing the immunogenicity includes but is not limited to treatments for cancer or some infectious diseases, such as hepatitis. An example of decreasing the immunogenicity includes but is not limited to treatments for rheumatoid arthritis, An example of maintaining immunogenicity includes but is not limited to supplemental treatments for patients that have become tolerant to  
16 antigens after an initial response. In a most preferred embodiment of the invention, the methods and compositions do not decrease the antigenicity of the active component in the therapeutic composition.

The compositions and methods of the present invention may also be used in combination with other administered immunotherapies. For example, the  
21 present invention may be used with antibody, antigen, cytokine, and/or immunoadjuvant based immunotherapies. In this embodiment of the invention, the compositions and methods modulate the function or activity of the immunotherapy itself. Exemplary immunotherapies or immunomodulators are described in Mandell, Principles and Practice of Infectious Diseases, 5<sup>th</sup> edition.  
26 Exemplary immunomodulators include, but are not limited to, BCG, Granulocyte colony-stimulating factor (filgrastim), Granulocyte-macrophage colony-stimulating factor (sargramostim), Interferon alfa, Interferon alfa-2a, Interferon alfa-2b, Interferon alfacon-1, Interferon alfa-n3, Intravenous immunoglobulin, and imiquimod.

31 The present invention also includes methods and compositions for



1 increasing the over-all host response to a disease or condition. These methods  
and compositions produce a therapeutic benefit for the recipient.

The present invention also includes compositions and methods that result  
in the induction of a beneficial immune response, particularly where one skilled in  
the art would not expect to find an antigen-specific immune response, e.g., tumor-  
6 associated antigens ("self") antigens.

The present invention also includes methods and compositions that involve  
a PQP conjugated to a targeting agent, such as an antibody, antibody receptor, or  
the like, or a fragment thereof, the use of the conjugate for potentiating the  
immune system, and activating the conjugate using light and/or sound.

11 Potentiating the immune system, as used herein, refers to modifying the  
host-tumor relationship by modulating (inducing, amplifying, and/or inactivating)  
the response of the immune system to cancer associated antigens. In  
accordance with the present invention, such potentiating the immune system  
leads to tumor regression, rejection, and possibly cure. Potentiating the immune  
16 system also refers to modulating the activity of various immune system  
components, including but not limited to antibodies, antigens, cytokines,  
immunoadjuvants, and the like. It is believed that potentiating the immune system  
leads to macrophage accumulation at the tumor site as well as distant  
metastases.

21 The present invention concerns potentiating the immune system in a  
manner that produces a beneficial or therapeutically desirable effect. As used  
herein, a beneficial or desirable immune response is one that produces a  
therapeutically desirable result. A beneficial therapeutic response will typically  
include modulation of the immune system and/or one or more of its components,  
26 e.g., activating or inactivating an existing immune response. Modulation may  
include induction of the immune system and/or one or more of its components,  
and/or a T cell immune response, and/or a humoral immune response. The  
immune response to an antigen may be increased or decreased, depending on  
which response provides a beneficial result.

31 As used herein, a comprehensive approach to providing a therapeutic

- 1 benefit involves one or more, or all, of the following: cellular immunity and the  
molecules involved in its production; humoral immunity and the molecules  
involved in its production; ADCC immunity and the molecules involved in its  
production; CDC immunity and the molecules involved in its production; natural  
killer cells; and cytokines and chemokines, and the molecules and cells involved  
6 in their production. One skilled in the art will recognize that a beneficial immune  
response (and thereby overcoming immunotolerance) may be determined by a  
number of means. Activation of the multiple arms of the immune systems may be  
determined, for example, by measuring the pre- and post-treatment antigen  
specific immune response. Specific demonstrations of the induction of a  
11 beneficial immune response would include one or more of the following:
- 1) a humoral response to the administered immunogen including evidence  
of antibody;
  - 2) a humoral response to the antigen, including evidence of the  
appearance of antigen-specific antibodies to the same and/or different epitopes on  
16 the antigen as the epitope for the binding agent;
  - 3) antibody-dependent cytotoxicity, including evidence that post-injection  
serum with an antigen-specific antibody titer mediates tumor killing when the  
serum is incubated with peripheral blood mononuclear cells and tumor cell targets  
relative to pre-injection baseline serum;
  - 21 4) complement-dependent cytotoxicity, including evidence that post  
injection serum combined with complement-containing plasma kills tumor cell  
targets relative to pre-injection baseline serum;
  - 5) natural killer cell activity, including enhanced tumor cell killing by  
peripheral blood mononuclear cells (containing NK cells) in post-injection blood  
26 samples taken prior to the appearance of a measurable antibody response to the  
tumor associated antigen (TAA) relative to pre-treatment peripheral blood  
mononuclear cells;
  - 6) antigen-enhanced cytotoxicity, including enhanced tumor cell target  
killing by peripheral blood mononuclear cells (in the presence of TAA-positive  
31 tumor cells) relative to pre-administration levels; and

1           7) cellular immunity, including evidence of T cell proliferation or tumor cell  
lysis post-injection relative to pre-injection.

As used herein, "perylenequinone derivative" or "derivative" refers to all  
compounds derived from native or natural perylenequinones (PQPs) and which  
can be activated by light of a pre-determined wavelength and/or by sound of a  
6 pre-determined frequency. In a preferred embodiment of the invention, the  
derivative is a compound derived from naturally occurring quinone compounds. A  
derivative according to the invention may also be complexed with or include other  
active reagents, including but not limited to chemotherapeutic agents or alkylating  
agents. Exemplary PQPs include, but are not limited to hypocrellins, cercosporin,  
11 phleichrome, cladochrome, elsinochromes, erythroaphins, and calphostins. The  
preferred PQPs are hypocrellin B and hypocrellin B derivatives, more preferably,  
amino-substituted hypocrellins. The most preferred compounds of the present  
invention are demethoxylated hypocrellins, including but not limited to the  
structures shown in Figure 2.

16           As used herein, "perylenequinone derivative" or "derivative" also refers to  
all compounds derived from native or natural perylenequinones and which can be  
activated by light of a pre-determined wavelength and/or sound of a pre-  
determined frequency. In a preferred embodiment of the invention, the derivative  
is a compound derived from naturally occurring hypocrellin A or hypocrellin B, and  
21 hypocrellin-like compounds. A derivative according to the invention may also be  
complexed with or include other active reagents, including but not limited to  
chemotherapeutic agents or alkylating agents. As noted in more detail below, the  
composition containing a PQP active agent may include a wide variety of  
additional components, including, for example, one or more of gases, gaseous  
26 precursors, liquids, oils, stabilizing materials, diagnostic agents, photoactive  
agents, bioactive agents and/or targeting ligands.

In a preferred embodiment of the invention, the PQP derivative is an amino  
acid derivative of hypocrellin B. At the present time, the most preferred  
immunoconjugates use hypocrellin B functionalized to have an acid, acid bromide,  
31 hydrazine, thiol, or primary amine antibody binding site.



1 A hypocrellin derivative of the present invention also includes 2-butylamino-  
2-demethoxy-hypocrellin B (2-BA-2-DMHB). 2-BA-2-DMHB exhibits strong  
absorption in the red spectral region. Compared with its parent compound HB, its  
absorption bands extend toward longer wavelengths. The extinction coefficient at  
583 nm was 2.5-fold as much as HB at 548 nm, and at 621 nm was over 3.8-fold  
6 as much as HB at 580 nm. This characteristics means that DMHB will exhibit  
more favorable tissue penetration, and therefore may be greater clinical  
significance.

The compounds of the present invention may be produced by any method  
that results in a purified or substantially purified compound, or in a compound that  
11 is useful as a photodynamic or sonodynamic agent. The compounds of the  
present invention may also form a composition comprising a cocktail of  
compounds, i.e., more than one compound. These methods are well known in the  
art, e.g., Liu, et al., "Synthetic studies in novel hypocrellin B derivatives,"  
**Tetrahedron**, **49**:10785 (1993); and Diwu, et al., **Anti-Cancer Drug Design**,  
16 **8**:129-143 (1993). Hypocrellin derivatives may be readily synthesized from the  
parent compound, hypocrellin B (HB), a natural product of the fungus *Hypocrella*  
*bambuase sacc.*, a phytopathogen of bamboo. HB derivatives, HBBA-R2  
(butylaminated HB), HBDP-RI (2-(N,N-dimethylamino)-propylamine-HB), and  
HBEA-RI (ethanolaminated HB) were prepared by amination of the phenolic  
21 hydroxyl groups of the parent compound.

Many of PQP's properties are summarized in Diwu, et al., *J. Photochem.*  
*Photobiol. A: Chem.*, **64**:273 (1992). Some perylenequinones are also potent  
inhibitors of certain viruses, particularly human immunodeficiency virus (HIV), and  
also the enzyme protein kinase C (PKC). Both anti-HIV and anti-PKC activities of  
26 certain PQPs are light dependent, a phenomenon implicated in the photodynamic  
therapy of cancers [Diwu, et al., *Biochem. Pharmacol.*, **47**:373-389 (1994)]. The  
Diwu et al paper also discloses the successful conjugation of HB to a protein.

In accordance with the present invention, the PQP derivatives may be  
functionalized, e.g., include reactive groups including but not limited to an acid,  
31 hydroxyl, an acid halide (preferably bromide), a hydrazine, a thiol, or a primary

1 amine. The binding reagent may include reactive groups including but not limited  
to amino acids, such as cysteine, lysine, aspartic acid, glutamic acid and other  
dicarboxylic acid amino acids, and other tri- or poly-functional amino acid  
derivatives.

6 The perylenequinone derivatives of the present invention are particularly  
suited for therapeutic use because they exhibit absorption and phototoxic activity  
in the phototherapeutic window (about 560 nm to about 700 nm); exhibit excellent  
sonodynamic activity in a frequency range from about 1 MHz to about 3 MHz; are  
low molecular weight, typically from about 550 daltons to about 880 daltons); are  
available in pure monomeric form; exhibit rapid serum and skin clearance; have  
11 negligible cytotoxicity *in vitro* and *in vivo*; have excellent photopotential (e.g.,  
two orders of magnitude), so the safety margin in use is excellent; phototoxicity is  
mediated through conventional type II reactions and Type I reactions (indicating  
utility for hypoxic tumor cells); are potent inhibitors of protein kinases; confer  
apoptotic cell death *in vitro* and *in vivo*; exhibit no genotoxicity; exhibit excellent  
16 tumor control; may be molecularly configured for targeted delivery; may be  
targeted to nuclear regions to further augment sono/phototoxicity; and the parent  
hypocrellins are amenable to site-specific modification, so that many derivatives  
may be formed, derivatives with varying degrees of photosensitizing and/or  
sonosensitizing characteristics.

21 The composition containing a PQP active agent may include a wide variety  
of additional components, including, for example, one or more of gases, gaseous  
precursors, liquids, oils, stabilizing materials, diagnostic agents, photoactive  
agents, bioactive agents and/or targeting ligands.

26 As used herein, "disease" refers to the management, diagnosis, and/or  
palliation of any mammalian (including human) disease, disorder, malady, or  
condition that can be treated by photodynamic and/or sonodynamic therapy.  
"Disease" includes but is not limited to cancer and its metastases, such as skin  
cancer; growths or tumors, and their metastases; tumors and tumor cells, such as  
sarcomas and carcinomas, including solid tumors, blood-borne tumors, and  
31 tumors found in nasal passages, the bladder, the esophagus, or lung, including

1 the bronchi ; viruses, including retroviruses; bacterial diseases; fungal diseases;  
and dermatological conditions or disorders, such as lesions of the vulva, keloid,  
vitiligo, psoriasis, benign tumors, endometriosis, Barrett's esophagus, *Tinea*  
*capitis*, and lichen amyloidosis.

As used herein, "administering" refers to any action that results in exposing  
6 or contacting one or more PQP derivatives with a pre-determined cell, cells, or  
tissue, typically mammalian. As used herein, administering may be conducted *in*  
*vivo*, *in vitro*, or *ex vivo*. For example, a composition may be administered by  
injection or through an endoscope. Administering also includes the direct  
application to cells of a composition according to the present invention. For  
11 example, during the course of surgery, tumor cells may be exposed. In  
accordance with an embodiment of the invention, these exposed cells (or tumors)  
may be exposed directly to a composition of the present invention, e.g., by  
washing or irrigating the surgical site and/or the cells.

As used herein, activation, activating, or similar terms refers to the use of  
16 light waves and/or sound frequency to make a compound or portion of a  
compound more chemically reactive. Any method for applying a light source  
and/or a sound source to a perylenequinone derivative may be used in  
accordance with the present invention, e.g., direct application, an ultrasound  
machine, focused ultrasound, high-intensity focused ultrasound, and illuminating  
21 endoscopy, to name a few.

Upon application of the appropriate light or sound, the sensitizers can  
chemically (e.g., through oxidation, reduction and the like) change into a form that  
is toxic, and/or modulates an immune response. For example, following excitation  
of a photosensitizer or a sonosensitizer to a long-lived excited triplet state, a  
26 targeted tumor is destroyed either by the highly reactive singlet oxygen species (a  
Type II mechanism) and/or by free radical products (a Type I mechanism)  
generated by quantum energy transfer. Major biological target molecules of the  
singlet oxygen species and/or free radical products include nucleic acids,  
enzymes and cell membranes. A secondary therapeutic effect of the present  
31 methods involves the release of pathophysiologic products, such as



1 prostaglandins, thromboxanes and leukotrienes, by tissue exposed to the effects  
of activated photosensitizers.

In accordance with an embodiment of the present invention, activating a  
sensitizer using light and activating a sensitizer using sound may be used together  
since each of the individual procedures are complementary. That is, red, visible  
6 light suitable for activating a perylenequinone derivative is capable of penetrating  
into tissue or into a body from about 5 mm to about 7 mm, and sound suitable for  
activating a perylenequinone derivative is capable of fully penetrating into tissue  
or into a body.

As used herein, "photopotential factor" refers to the property of the  
11 compound(s) to exert light- or sound-mediated toxicity in excess of its (their)  
inherent unactivated toxicity. In a preferred embodiment of the invention, the  
activation factor may be calculated as the ratio of the LD<sub>50</sub> of cells treated without  
activation to the LD<sub>50</sub> of the cells treated with an activated compound (drug LD<sub>50</sub>  
divided by activated drug LD<sub>50</sub>). Where the term "LD<sub>50</sub>" has been used above, the  
16 term "IC<sub>50</sub>" may be substituted, to address the bioassays that concern metabolic  
activity rather than the endpoint of lethality, loss of reproductive capability, or  
clonogenic death. The relative photoactivation efficiency of a compound may also  
be determined using a clonogenic assay, an assay that is well known to those  
skilled in the art.

21 In accordance with the present invention, a desirable PQP derivative is one  
that is non-toxic (or of low toxicity) at high drug concentrations without activation,  
i.e., without light (also referred to as "dark"), and/or without sound, and is toxic at  
low concentrations when light of the appropriate wavelength, or sound of the  
appropriate frequency, is applied. As is recognized by those skilled in the art, the  
26 most desirable compounds are those that provide a wide range of non-toxic doses  
in an un-activated state, as this characteristic provides an increased safety factor  
for the patient.

As used herein, physiologically acceptable fluid refers to any fluid or  
additive suitable for combination with a composition containing a PQP derivative.  
31 Typically these fluids are used as a diluent or carrier. Exemplary physiologically

1 acceptable fluids include but are not limited to preservative solutions, saline  
solution, an isotonic (about 0.9%) saline solution, or about a 5% albumin solution  
or suspension. It is intended that the present invention is not to be limited by the  
type of physiologically acceptable fluid used. The composition may also include  
pharmaceutically acceptable carriers. Pharmaceutically accepted carriers include  
6 but are not limited to saline, sterile water, phosphate buffered saline, and the like.  
Other buffering agents, dispersing agents, and inert non-toxic substances suitable  
for delivery to a patient may be included in the compositions of the present  
invention. The compositions may be solutions, suspensions or any appropriate  
formulation suitable for administration, and are typically sterile and free of  
11 undesirable particulate matter. The compositions may be sterilized by  
conventional sterilization techniques.

In accordance with a method of the invention, the sensitizer may be  
administered to the patient by any biologically suitable route. For example, the  
sensitizer may be introduced into the patient by intravenous, subcutaneous,  
16 intraperitoneal, intrathecal, intravesical, intradermal, intramuscular, or  
intralymphatic routes. The composition may be in solution, tablet, aerosol, or  
multi-phase formulation forms. Liposomes, long-circulating liposomes,  
immunoliposomes, biodegradable microspheres, micelles, or the like may also be  
used as a carrier, vehicle, or delivery system. Furthermore, using *ex vivo*  
21 procedures well known in the art, blood or serum from the patient may be  
removed from the patient; optionally, it may be desirable to purify the antigen in  
the patient's blood; the blood or serum may then be mixed with a composition that  
includes a sensitizer according to the invention; and the treated blood or serum is  
returned to the patient. The clinician may compare the anti-idiotypic and  
26 anti-isotypic responses associated with these different routes in determining the  
most effective route of administration. The invention should not be limited to any  
particular method of introducing the sensitizer into the patient.

Intracellular uptake may be rapid (e.g., within about 2 hours), or uptake  
may require more time (e.g., about 20 hours or more). Some degree of selective  
31 tumor uptake might be achieved by modification of the pKa of the sensitizer, since



the interstitial milieu of some tumors is more acidic than that of normal tissues. This invention includes a method for identifying compounds where the toxicity of the compounds is higher for cancer cells than for normal cells, via comparative clonogenic assays.

Adjuvants or immunoadjuvants are defined as a group of structurally heterogeneous compounds, used to evoke or increase an immune response to an antigen. Theoretically, each molecule or substance that is able to favor or amplify a particular situation in the cascade of immunological events, ultimately leading to a better immunological response, can be defined as an adjuvant. Classically recognized examples include oil emulsions, saponins, aluminium or calcium salts, non-ionic block polymer surfactants, derivatives of lipopolysaccharides (LPS), mycobacteria and many others. Adjuvants may potentiate the immune response by enhancing antigen localization (aluminum compounds, liposomes, water-and-oil emulsion [Freund's incomplete adjuvant]); enhancing antigen presentation (interferon gamma interferon inducers, beryllium, muramyl dipeptide, Freund's complete adjuvant); and activating lymphocytes (interleukins-1 and -2). [Lise LD, Audibert F. Immunoadjuvants and analogs of immunomodulatory bacterial structures. *Curr Opin Immunol* 1989;2:269-274]

The PQP derivatives of the present invention may also be used in conjunction with and conjugated to a number of other compounds, signaling agents, enhancers, and/or targeting agents. For example, a hypocrellin derivative of the present invention may be conjugated to an antibody, preferably a monoclonal antibody, or a compound such as transferrin. In accordance with the present invention, the binding agent includes any DNA minor-groove targeting agent, such as lexotropsin or netropsin, preferably to enhance the toxicity through the cell nucleus. Suitable enhancers include but are not limited to pKa modifiers, hypoxic cell radiosensitizers, and bioreductively activated anti-neoplastic agents, such as mitomycin C (preferably to effect or potentiate the toxicity of the compound in hypoxic cells or microorganisms). Suitable signaling agents include but are not limited to markers of apoptotic cell death or necrotic cell death, or regulatory molecules endogenous to cell cycle control or delay, preferably to



1 potentiate the phototoxicity or sonotoxicity of the compound(s) by induction of  
apoptotic or necrotic cell death, or by inhibition of the repair of any form of lethal  
or potentially lethal damage (PLD).

As noted above, an embodiment of the invention includes binding agent-  
PQP conjugates (or immunoconjugates) and the therapeutic use of these  
6 conjugates. In accordance with the present invention, any method of linking a  
binding agent to a PQP may be used. For example, it is well known how to link an  
antibody or an antibody fragment to a photosensitizer. For example, Goff, et al.,  
*British Journal of Cancer*, 74:1194-1198 (1996) discloses the production of an  
immunoconjugate by incubating a photosensitizer with monoclonal antibody  
11 OC125, an antibody that specifically binds to the CA125 antigen associated with  
most ovarian cancers. In this exemplary immunoconjugate, polyglutamic acid  
may be bound to a monoethylendiamine monoamide derivative, which is then  
covalently linked to the carbohydrate moiety at the hinge region of the monoclonal  
antibody away from the antigen binding sites. Other exemplary linkages are  
16 disclosed in U.S. Patent 4,722,906 and 3,959,078,

Briefly, these patents disclose providing a photosensitizer with a  
selector group, or a latent reactive group, that is the other member of a specific  
binding pair, e.g., a reactive group that covalently bonds to an antibody.

As is recognized by one skilled in the art, an effective dose of the derivative  
21 or a conjugate that includes the derivative will depend in part on the severity of the  
disease and the status of the patient's immune system. One skilled in the art will  
recognize that a variety of doses may be used, and are dependent on a variety of  
well known factors. Generally, the composition will include about 0.1 µg to about 2  
mg or more of binding agent per kilogram of body weight, more commonly  
26 dosages of about 200 µg per kilogram of body weight. The concentration usually  
will be at least about 0.5%. Any amount may be selected primarily based on fluid  
volume, viscosity, antigenicity, etc., in accordance with the chosen mode of  
administration.

Administration of the conjugate or the derivative may be more than once,  
31 preferably three times over a prolonged period. As the compositions of this

1 invention may be used for patients in a serious disease state, i.e., life-threatening  
or potentially life-threatening, excesses of the binding agent may be administered  
if desirable. Actual methods and protocols for administering pharmaceutical  
compositions, including dilution techniques for injections of the present  
compositions, are well known or will be apparent to one skilled in the art. Some of  
6 these methods and protocols are described in *Remington's Pharmaceutical  
Science*, Mack Publishing Co. (1982).

In accordance with another embodiment of the invention, a composition of  
the present invention may be administered alone, or in combination (sequentially  
or in batch) with other immunotherapeutic compositions. These features afford  
11 potential augmentation of the photodynamic and/or sonodynamic therapeutic ratio  
through sequential sensitizer administration (followed by light treatment). Under  
these conditions, a distant metastasis may be targeted.

In this embodiment of the invention, a method comprises administering a  
first active agent, preferably having a slow uptake, and administering a second  
16 active agent, preferably having a more rapid uptake than that of the first agent.  
Both first and second active agents may then be activated by exposing the patient  
and/or the agent to light of suitable wavelength, and/or to sound of a suitable  
frequency, as described above.

Buffers are used primarily to stabilize a formulation against the chemical  
21 degradation that might occur if the pH changed appreciably. Buffer systems  
employed normally have as low a buffer capacity as feasible in order to not disturb  
significantly the body buffer systems when injected. The buffer range and effect of  
the buffer on activity must be evaluated. Appropriate adjustment is useful to  
provide the optimum conditions for pH dependent partition into the target  
26 malignant tissues or lesion area. Examples of such buffer systems include the  
following acids: acetic, adipic, ascorbic, benzoic, citric, glycine, lactic, tartaric,  
hydrochloric, phosphoric, sulfuric, carbonic and bicarbonic; and their  
corresponding salts such as: potassium, sodium, magnesium, calcium and  
diethanolamine salts.

31



## 1 Examples

### Example 1. Direct amination of hypocrellin B.

HB (50 mg) was dissolved in ethanol (5 mL) containing the amine (1 mL), and the resulting solution was refluxed for 6-18 h depending upon the individual  
6 amine used. The mixture was poured into ice-water, neutralized with 10% hydrochloric acid, and extracted with chloroform. The chloroform layer was washed with water and dried with anhydrous  $\text{Na}_2\text{SO}_4$  and evaporated to afford a blue solid. The solid was first chromatographed on a 1%  $\text{KH}_2\text{PO}_4$ -silica gel column with dichloromethane-methanol (gradient ratio) as an eluent to give several  
11 constituents. Each constituent was twice rechromatographed on 1% citric acid-silica gel plate using 6:1:1 petroleum ether-ethyl acetate-ethanol as developing agent to afford the individual derivatives.

### Example 2. Amination of hypocrellin B with ethanolamine.

16 Reaction of HB with ethanolamine according to the above procedure affords five products. HBEA-R2 and HBEA-R1 (Diwu et al. 1993) were identified and characterized as follows:

HBEA-R2 (20%): R: 3270, 1717 and 1612  $\text{cm}^{-1}$ ;  $^1\text{H}$ -NMR (in  $\text{DMSO-d}_6$ ): 11.46 (s, <1H, exchangeable with  $\text{D}_2\text{O}$ , phenolic OH), 1.38 (s, <1H, exchangeable  
21 with  $\text{D}_2\text{O}$ , phenolic OH), 6.83 (s, 1H, ArH), 6.78 (s, 1H, ArH), 4.09 (s, 3H,  $\text{OCH}_3$ ), 3.94 (s, 3H,  $\text{OCH}_3$ ), 3.92 (s, 3H,  $\text{OCH}_3$ ), 3.85- 3.50 (m, 4H,  $2\text{NHCH}_3$ ), 3.40-2.92 (m, 4H,  $\text{CH}_2\text{OH}$ ), 2.11 (s, 3H,  $\text{COCH}_3$ ) and 1.72 ppm (s, 3H,  $\text{CH}_3$ ). MS (FAB): 615 (M+H). Calculated for  $\text{C}_{34}\text{H}_{34}\text{N}_2\text{O}_9$ : 614.2264; found, 614.2270.

26 HBEA-R1 (Isomer B)] (12%): IR: 3260, 1720 and 1613  $\text{cm}^{-1}$ ;  $^1\text{H}$ -NMR (in  $\text{DMSO-d}_6$ ): 12.11 (s, <1H, exchangeable with  $\text{D}_2\text{O}$ , phenolic OH), 11.99 (s, <1H, exchangeable with  $\text{D}_2\text{O}$ , phenolic OH), 6.47 (s, 1H, ArH), 6.35 (s, 1H, ArH), 4.03 (s, 3H,  $\text{OCH}_3$ ), 3.95 (s, 6H,  $2 \times \text{OCH}_3$ ), 3.93 (s, 3H,  $\text{OCH}_3$ ), 3.88-3.62 (m, 4H,  $2\text{NHCH}_3$ ), 3.20-2.95 (m,  $2\text{CH}_2\text{OH}$ ), 2.15 (s, 3H,  $\text{COCH}_3$ ) and 1.90 ppm (s, 3H,  
31  $\text{CH}_3$ ). MS (FAB): 615 (M+H). Calculated for  $\text{C}_{34}\text{H}_{34}\text{N}_2\text{O}_9$ : 614.2264; found;



1 614.2268.

Example 3. Amination of hypocrellin B with butylamine.

Synthesis of HBBA-R2 (Isomer A) and 3-Acetyl-4,6,8,9,11,13-hexamethoxy-2-methyl-1H-cyclohepta[ghi]perylene-5,12-dione (Diwu et al. 1993).

6 Reaction of HB with butylamine according to i.e. above procedure afforded five products. Two of these compounds were identified as follows:

HBBA-R2 (21%): IR: 3280, 1702 and 1616  $\text{cm}^{-1}$ ;  $^1\text{H-NMR}$ : 15.65 (s, 1H, exchangeable with  $\text{D}_2\text{O}$ , phenolic OH), 14.94 (s, 1H, exchangeable with  $\text{D}_2\text{O}$ , phenolic OH), 6.41 (s, 1H, ArH), 6.40 (s, 1H, ArH), 4.07 (s, 3H,  $\text{OCH}_3$ ), 4.00 (s, 3H,  $\text{OCH}_3$ ), 3.96 (s, 3H,  $\text{OCH}_3$ ), 3.93 (d, 3H,  $\text{OCH}_3$ ), 3.24 (m, 4H,  $2\text{NHCH}_2$ ), 1.98 (s, 3H,  $\text{COCH}_3$ ), 1.26 (s, 3H,  $\text{CH}_3$ ) and 1.70- 0.85 ppm (m, 14H,  $2\text{CH}_2\text{CH}_2\text{CH}_3$ ). MS (FAB): 639 (M+H). Calculated for  $\text{C}_{38}\text{H}_{42}\text{N}_2\text{O}_7$ : 638.2992; found; 638.2998.

HBBA-R1 (11%): IR: 3300, 1715 and 1616  $\text{cm}^{-1}$ ,  $^1\text{H-NMR}$ : 15.40 (s, 1H, exchangeable with  $\text{D}_2\text{O}$ , phenolic OH), 15.18 (s, 1H, exchangeable with  $\text{D}_2\text{O}$ , phenolic OH), 6.48 (s, 1H, ArH), 6.33 (s, 1H, ArH), 4.01 (s, 6H, 2 x  $\text{OCH}_3$ ), 3.97 (d, 1H, CH), 3.96 (s, 6H, 2 x  $\text{OCH}_3$ ), 3.54 (m, 4H,  $2\text{NHCH}_2$ ), 3.14 (d, 1H, CH), 2.16 (s, 3H,  $\text{COCH}_3$ ), 1.69 (s, 3H,  $\text{CH}_3$ ) and 1.60-0.85 ppm (m, 14H,  $2\text{CH}_2\text{CH}_2\text{CH}_2$ ). MS (FAB): 639 (M+H). Calculated for  $\text{C}_{38}\text{H}_{42}\text{N}_2\text{O}_7$ : 639.2998; found; 638.2992.

21 Example 4.

**Tumor model.** Mammary sarcoma EMT6 tumor cells were passaged in syngeneic BALB/c mice and the tumor cells isolated from the dissected tumor were kept frozen in liquid nitrogen. For the experiment cells were thawed and cultured in Waymouth's medium until subconfluent. A suspension of  $10^5$  tumor cells in PBS was inoculated s.c. into the mouse flank. Tumors were treated 8 days after inoculation, when the tumor volume reached a size of  $\sim 70 \text{ mm}^3$ . Mice were divided into 2 groups of 5 mice each.

**PDT treatment.** In this experiment, all mice (10) received PDT treatment. The skin overlying the tumor was shaved and a fixed dose of DMHB freshly resuspended in mineral oil was administrated i.p (50  $\mu\text{M}$  total body, 200  $\mu\text{L}$ /

1 mouse). After 24 h the mice were anesthetized with methophane, and the tumor  
 was subjected to 635 nm light delivered by optical fiber from the Biolitec laser. The  
 power at the illuminated spot (2 cm) was 150 mW. A dose of 100 Joules was  
 given for each tumor.

6 **BCG treatment.** BCG treatment was given to only one group of mice  
 (PDT-BCG group). Bacillus Calmette-Guérin (BCG) vaccine (OncoTICE, Organon,  
 Canada Ltd.) was used as a single subtumoral administration by lifting the  
 subcutaneous tumor and slowly injecting  $10^7$  cfu in sterile injectable saline (50  $\mu$ L  
 volume) below the lesion. The BCG injection was performed immediately after  
 PDT treatment.

11 **Tumor response evaluation.** Tumor response to therapy was evaluated  
 by monitoring the mice for signs of tumor growth every second day. Changes in  
 tumor volumes were determined by measuring with a caliper the lesion's three  
 orthogonal diameters. The tumor volume was calculated from the expression

$$V = \pi/6 \times d_1 \times d_2 \times d_3$$

16 Where  $V$  = volume ( $\text{mm}^3$ ) and  $d_{1-3}$  are the three orthogonal diameters (mm).

## RESULTS

21 In the present example determined whether the combination of hypocrellin  
 DMHB with BCG could improve the therapeutic potential of DMHB. The  
 photosensitizer dose of 50  $\mu$ M and the conditions for light treatment were chosen  
 based on previous *in vivo* studies performed with the DMHB derivative HBEA-R1  
 [23]. The potentiation of PDT activity by BCG has been previously described for  
 other photosensitizers [19] and the same BCG treatment was adapted to our  
 protocol.

26 Mice bearing EMT6 tumor were randomly divided into two groups of 5 mice  
 each. The first group of mice (PDT group) received DMHB alone whereas the  
 second group (PDT-BCG) received DMHB in combination with BCG. The  
 activation of DMHB by light treatment was identical in the two groups. The day  
 before the mice were exposed to light (day 0, no therapeutic effect could be  
 31 observed at this point since neither the DMHB was activated or BCG injected), we

1 observed that the mean tumor volume of the PDT-BCG group was higher ( $52.73 \pm$   
8 mm<sup>3</sup>) compared to the PDT group ( $30.37 \pm 4$  mm<sup>3</sup>). In order to express the  
results in the most accurate way, the results are expressed as % of tumor  
increase, rather than directly as tumor volume. Indeed larger tumors will grow  
much faster compared to smaller ones and a beneficial therapeutic effect in the  
6 PDT-BCG group, if not dramatically significant, therefore can easily be masked by  
this effect. The % of tumor increase in the other hand is more representative of  
the pace at which the tumor grow and therefore, we believe is a more accurate  
way to represent the difference obtained between the two groups. The tumor  
volume was calculated from the expression:

11 % increase =

100 x [tumor volume on day of measurement ÷ Tumor volume on day 0]

The efficacy of DMHB alone and in combination with BCG in the control of  
16 EMT6 tumor is represented for each individual mouse in Figure 3. A considerable  
delay in tumor growth was observed when PDT was used in combination with  
BCG compared to PDT alone.

In Figure 3 the values obtained represent the beneficial effect of combined  
PDT-BCG treatment compared to PDT alone in animals responding only partially  
21 to PDT treatment. Results represented in figure 3 A and B indicate that in animals  
responding only partially to PDT treatment, a decrease of approximately 50 % in  
tumor growth is obtained when PDT is used in combination with BCG compared to  
PDT alone.

This example shows that very encouraging results were obtained in this  
26 initial study with PDT therapy combined with BCG showing beneficial anti-tumor  
effect compared to PDT therapy.

#### Example 5.

Hypocrellin B (HB) was prepared by quantitative potassium hydroxide  
31 dehydration of hypocrellin A (HA) followed by neutralization with HA, chloroform



extraction, and recrystallization with benzene-petroleum ether, 2-butylamino-2-demethoxy-hypocrellin B (2-BA-2-DMHB) was prepared by reflux with n-butylamine in pyridine, neutralization, and chloroform extraction of HB. The product was subjected to 1 % citric acid-silica gel thin-layer chromatography (TLC), using a 6:1:1 mixture of petroleum ether/ethyl acetate/ethanol (95%) as eluent, and three compounds were obtained. They were the target compound (rate of flow (R<sub>r</sub>) =0.64) and two by-products (R<sub>r</sub>= 0.74 and 0:40. respectively), which were identified by satisfactory NMR, mass spectra and elemental analysis. The target compound was further purified with TLC and the desired product, 2-BA-2-DMHB, was obtained in 54% yield. The purity of HB and 2-BA-2-DMHB was assessed by high-performance liquid chromatography and found to be higher than 95%.

#### Example 6.

#### Perylenequinonoid Pigments (Hypocrellins) and Their Photosensitizing and Sonosensitizing Properties

Compound		Photosensitizing Potential*	Sonosensitizing Potential*
<b>DMHBa</b>	Demethylated-HB	3.0μM	1.0mM
<b>DMHBb</b>	2-butylamino-2-demethoxy-Hypocrellin B	0.1μM	0.1mM
<b>HA</b>	Hypocrellin A	4.0μM	None
<b>HBAC-R1</b>	Cystamine-HB isomer 1	1.0μM	None
<b>HBAC-R2</b>	Cystamine-HB isomer 1	5.0μM	None
<b>HBAM-R1</b>	2-morpholino-ethylaminated HB	4.0μM	None
<b>HBDD-R1</b>	2-(N,N-dimethyl-amino) propylamine-Hypocrellin B		1.0mM
<b>HBEA-R1</b>	Ethanolamine-Hypocrellin B isomer 1	0.15μM	1.0mM
<b>HBEA-R2</b>	Ethanolamine-Hypocrellin B isomer 2	7.50μM	None
<b>HBED-R2</b>	Ethylenediamine-Hypocrellin B	4.0μM	None
<b>HBMA-IV</b>	Methylamine-Hypocrellin B	1.0μM	None

\*Molar Concentration which exerts LD<sub>50</sub> in EMT6 murine mastocytoma *in vitro*,

# 1 for a fixed dose of light or ultrasound

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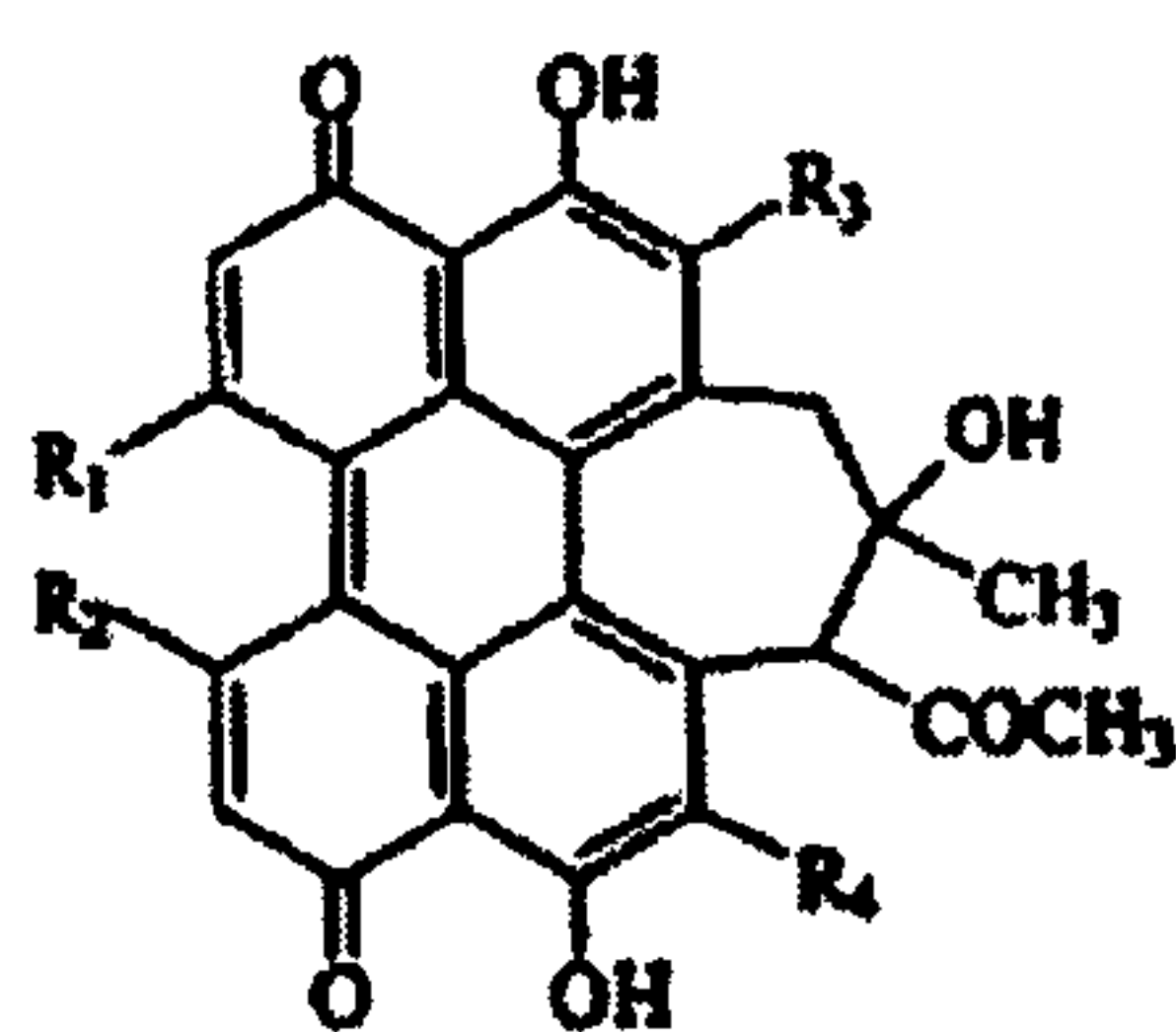
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21 While the invention has been described in some detail by way of illustration  
and example, it should be understood that the invention is susceptible to  
various modifications and alternative forms, and is not restricted to the  
specific embodiments set forth. It should be understood that these specific  
embodiments are not intended to limit the invention but, on the contrary,  
the intention is to cover all modifications, equivalents, and alternatives  
26 falling within the spirit and scope of the invention.

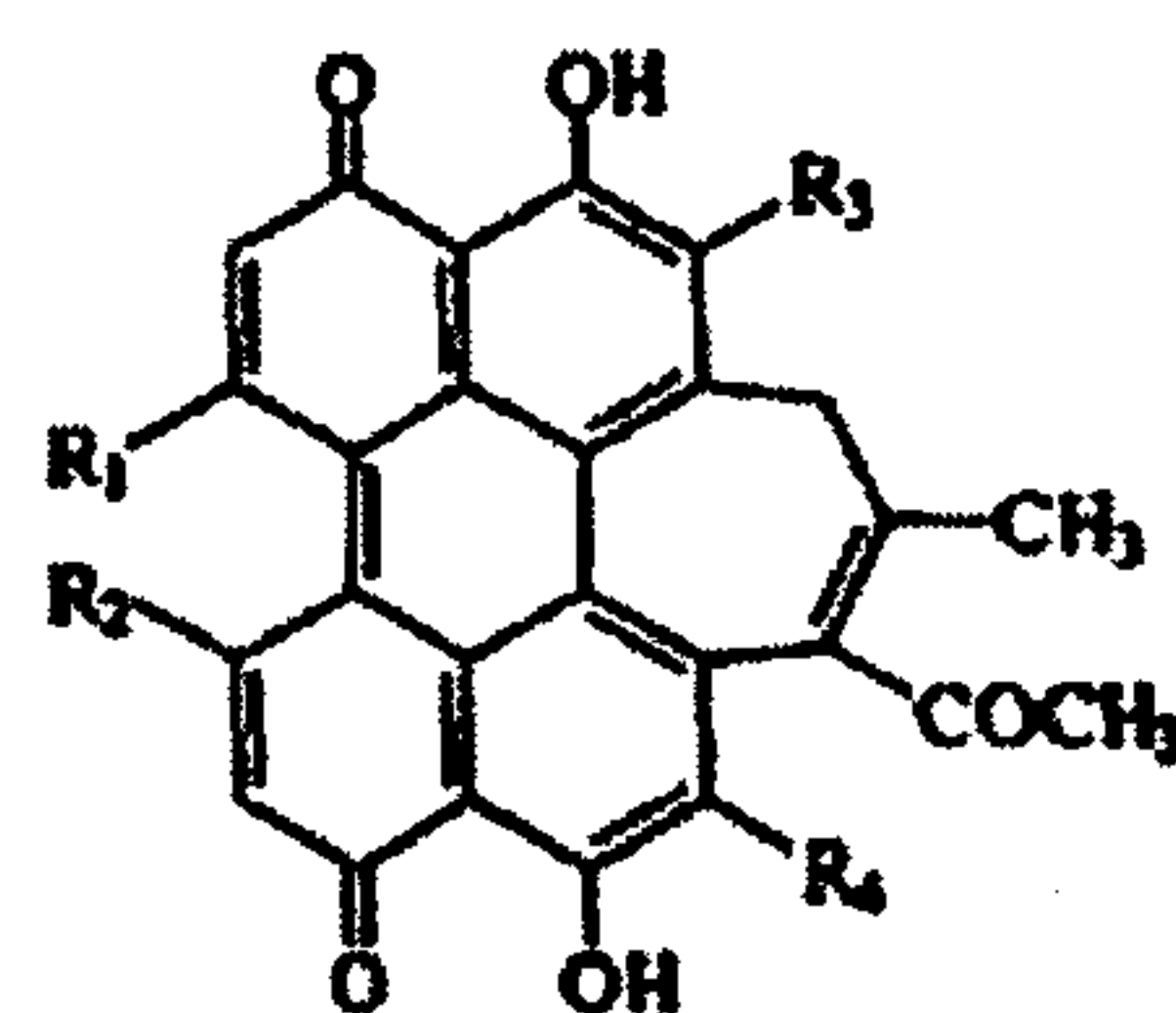


**THE EMBODIMENTS OF THE INVENTION IN WHICH AN EXCLUSIVE PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:**

1. Use of an unconjugated immunotherapeutic agent for potentiating a phototherapeutic response of a hypocrellin derivative, wherein said immunotherapeutic agent is for modulation of the activity of an hypocrellin derivative, and wherein said hypocrellin derivative is for activation by photosensitization and/or sonosensitization.
2. The use of claim 1, wherein said hypocrellin derivative is for activation by sound of a predetermined frequency.
3. The use of claim 1, wherein said hypocrellin derivative is for activation by light of a predetermined wavelength.
4. The use of claim 1 wherein said immunotherapeutic agent is selected from the group consisting of an antigen, a cytokine, an immunoadjuvant, bacille Calmette-Guerin, granulocyte colony-stimulating factor, granulocyte-macrophage colony-stimulating factor, interferon alfa, interferon alfa-2a, interferon alfa 2b, interferon alfacon-1, interferon alfa-n3, intravenous immunoglobulin, and an imiquimod.
5. The use of claim 1, wherein said hypocrellin derivative is non-toxic at a high concentration in its non-activated state and toxic at a low concentration in its activated state.
6. The use of claim 1, wherein said hypocrellin derivative is selected from the group consisting of butylaminated hypocrellin B; 2-(N,N-dimethylamino)-propylamine-hypocrellin B; ethanolaminated hypocrellin B; and 1,12-Bis[2-(acetyloxy)propyl]-2,4,6,7,9,11-hexamethoxy-3,10-perylenedione.
7. The use of claim 1, wherein said hypocrellin derivative is selected from the compounds of formula V or VI



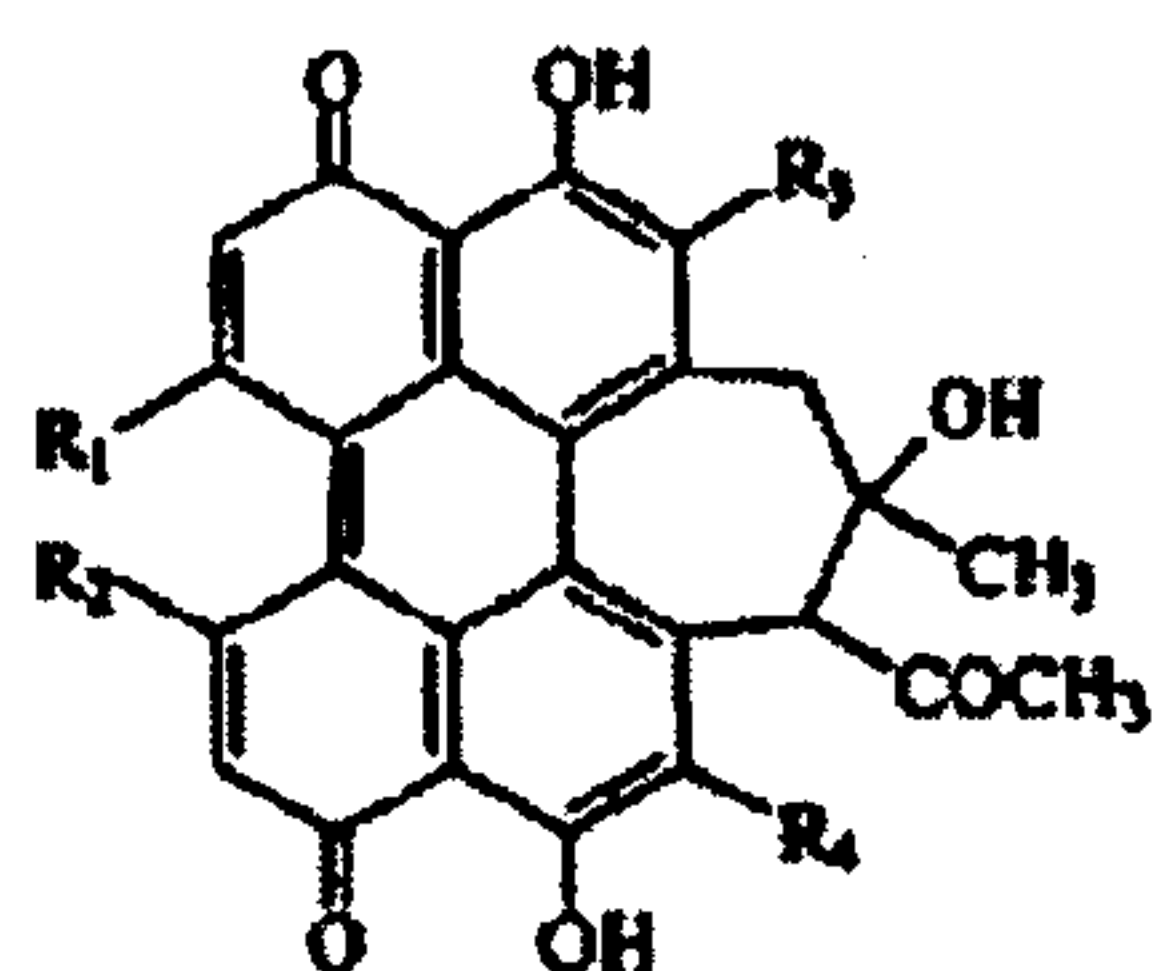
V



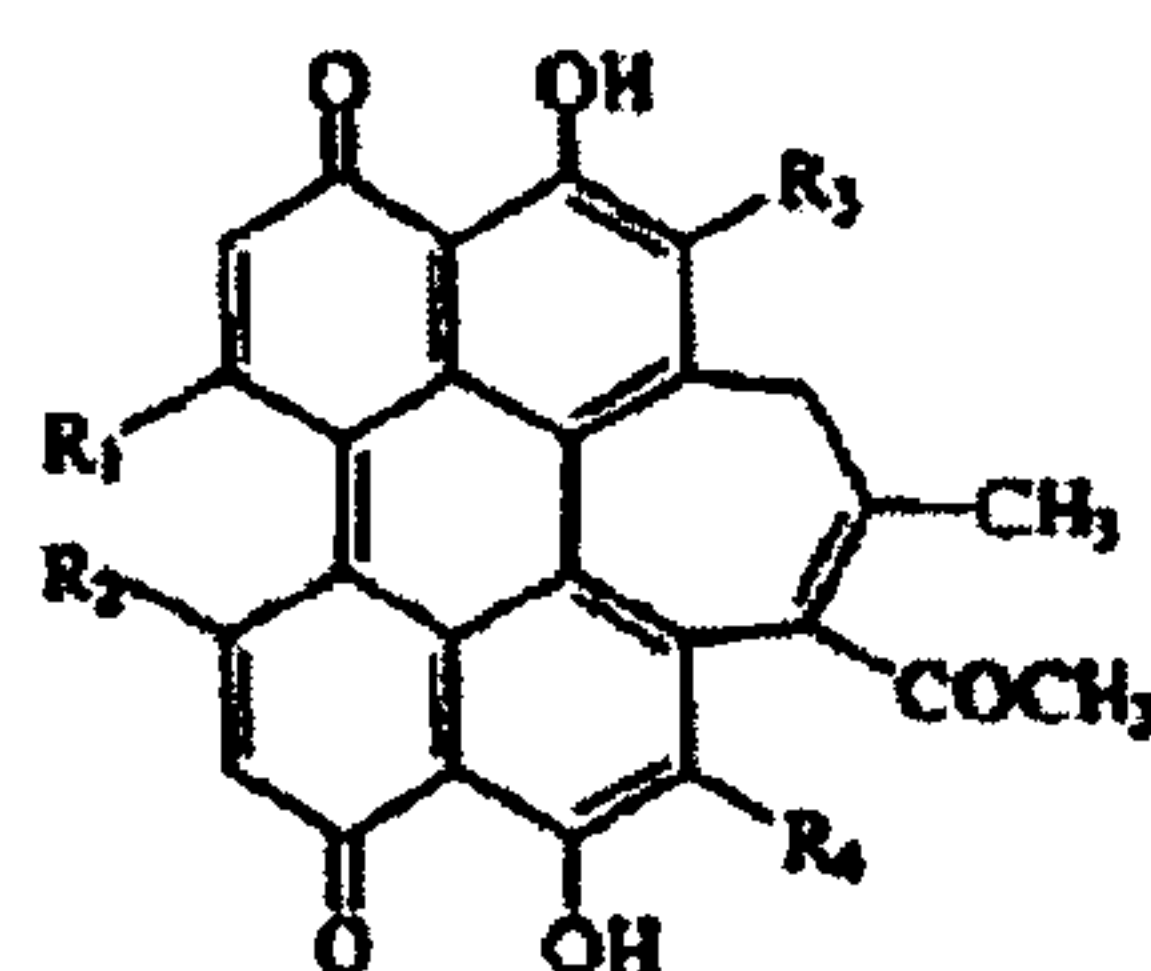
VI

where each of said  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$  is independently chosen from  $OCH_3$ ,  $NHCH_2Ar$  in which  $Ar$  is a phenyl or pyridyl group,  $NHCH(CH_2)_n$  in which  $-CH(CH_2)_n$  is an alicyclic group and  $n=3,4,5,6$ ,  $NH(CH_2)_3CH_3$ ,  $NHCH_2(CH_2)_nAr$ , in which  $Ar$  is a phenyl, naphthyl, polycyclic aromatic or a heterocyclic moiety, and  $n$  is 0-12.

8. The use of claim 7 wherein  $R_1$ ,  $R_2$ ,  $R_3$  are  $OCH_3$ , and  $R_4$  is  $NH(CH_2)_3CH_3$ .
9. The use of claim 7, wherein each of said  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$  is independently chosen from  $OCH_3$  or  $NHCH_2(CH_2)_nAr$ , wherein  $Ar$  is a phenyl, naphthyl, polycyclic aromatic or a heterocyclic moiety, and  $n$  is 0-12.
10. The use of claim 1, wherein said hypocrellin derivative is for use sequentially or together with said immunotherapeutic agent.
11. A phototherapeutic response potentiating composition for activation by photosensitization and/or sonosensitization, comprising
  - a hypocrellin derivative selected from the compounds of formula V or VI



V



VI

where each of said  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$  is independently chosen from  $OCH_3$ ,  $NHCH_2Ar$  in which  $Ar$  is a phenyl or pyridyl group,  $NHCH(CH_2)_n$  in which  $-CH(CH_2)_n$  is an alicyclic

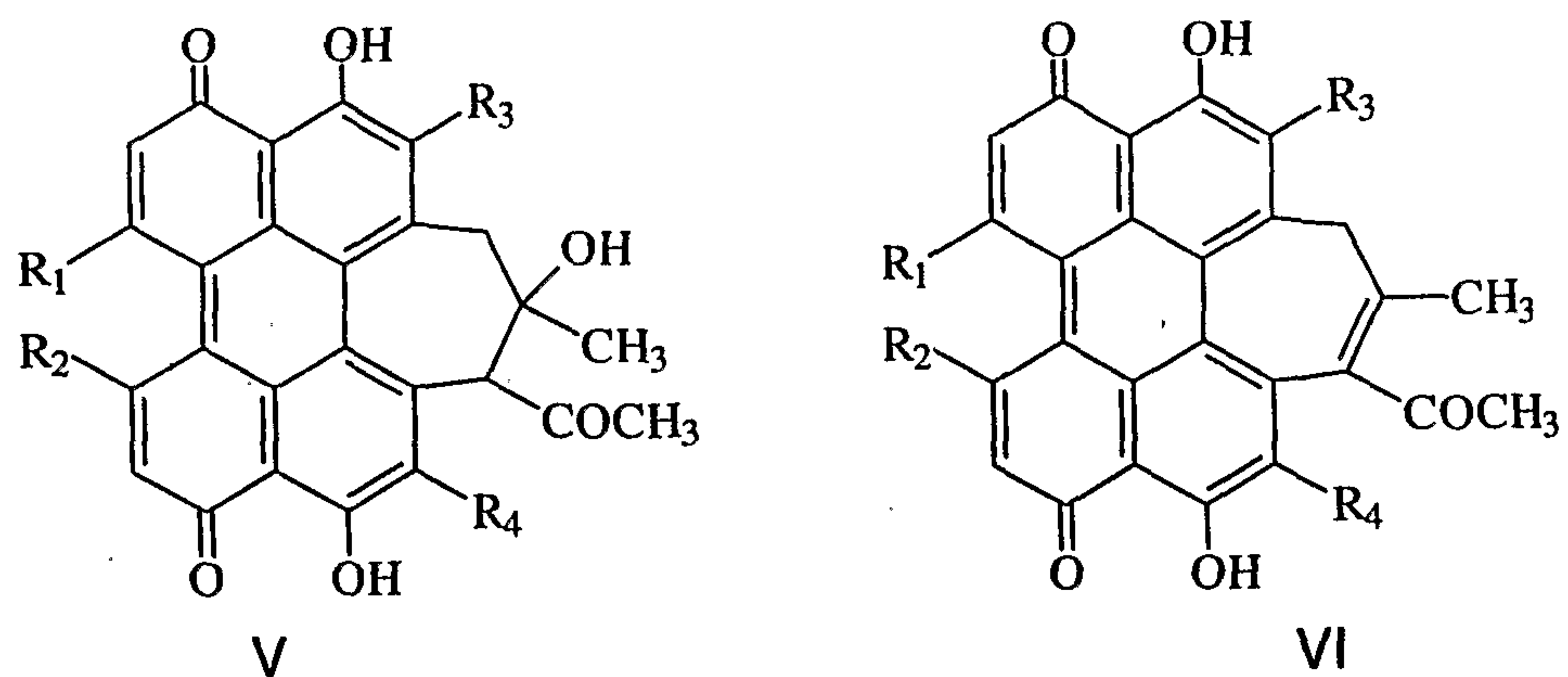
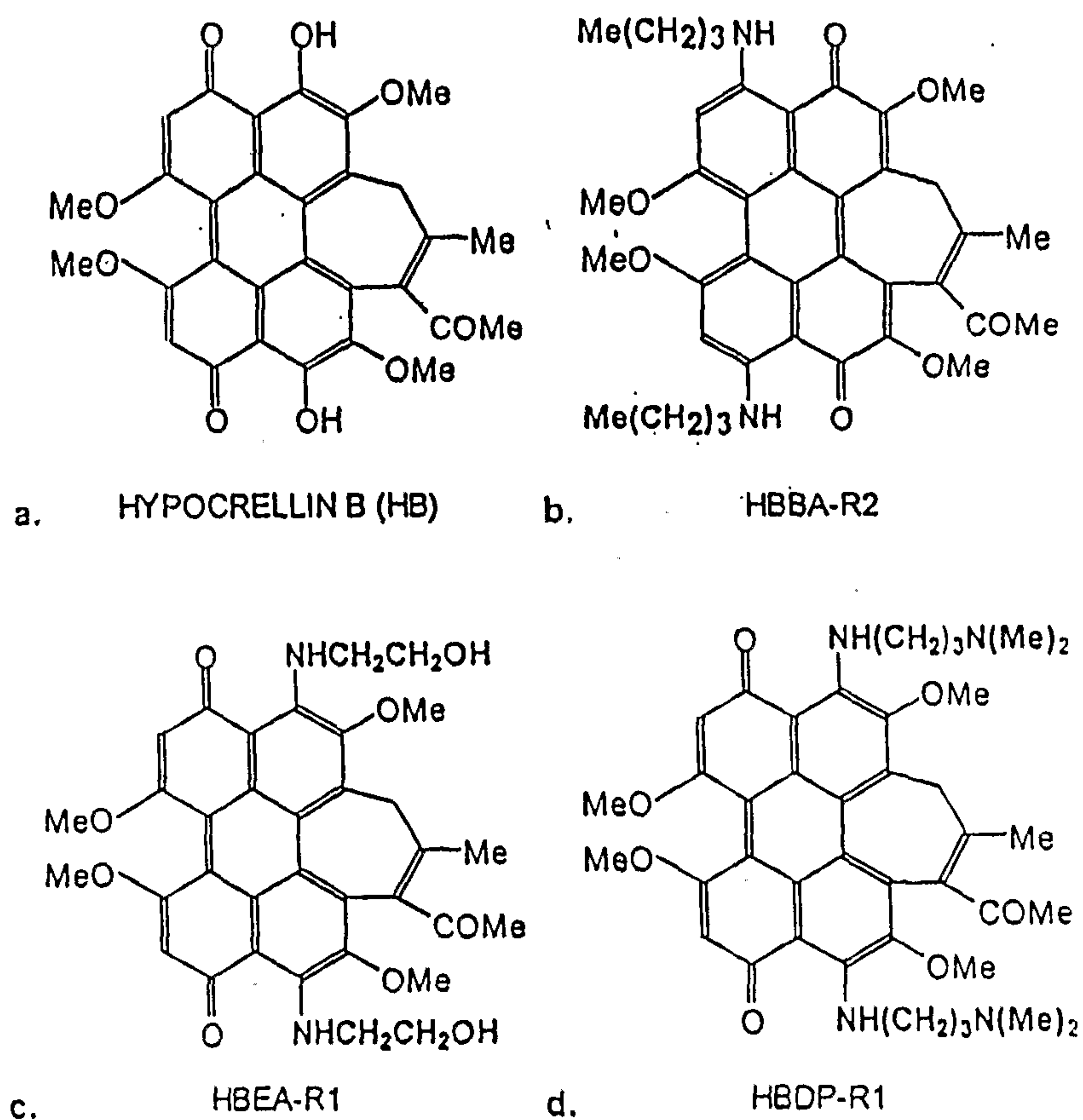
group and  $n=3,4,5,6$ ,  $\text{NH}(\text{CH}_2)_3\text{CH}_3$ ,  $\text{NHCH}_2(\text{CH}_2)_n\text{Ar}$ , in which Ar is a phenyl, naphthyl, polycyclic aromatic or a heterocyclic moiety, and  $n$  is 0-12; and

- an immunotherapeutic agent, said immunotherapeutic agent capable of potentiating a phototherapeutic response of a hypocrellin derivative and said immunotherapeutic agent being unconjugated from said hypocrellin derivative; in association with a pharmaceutically acceptable carrier.

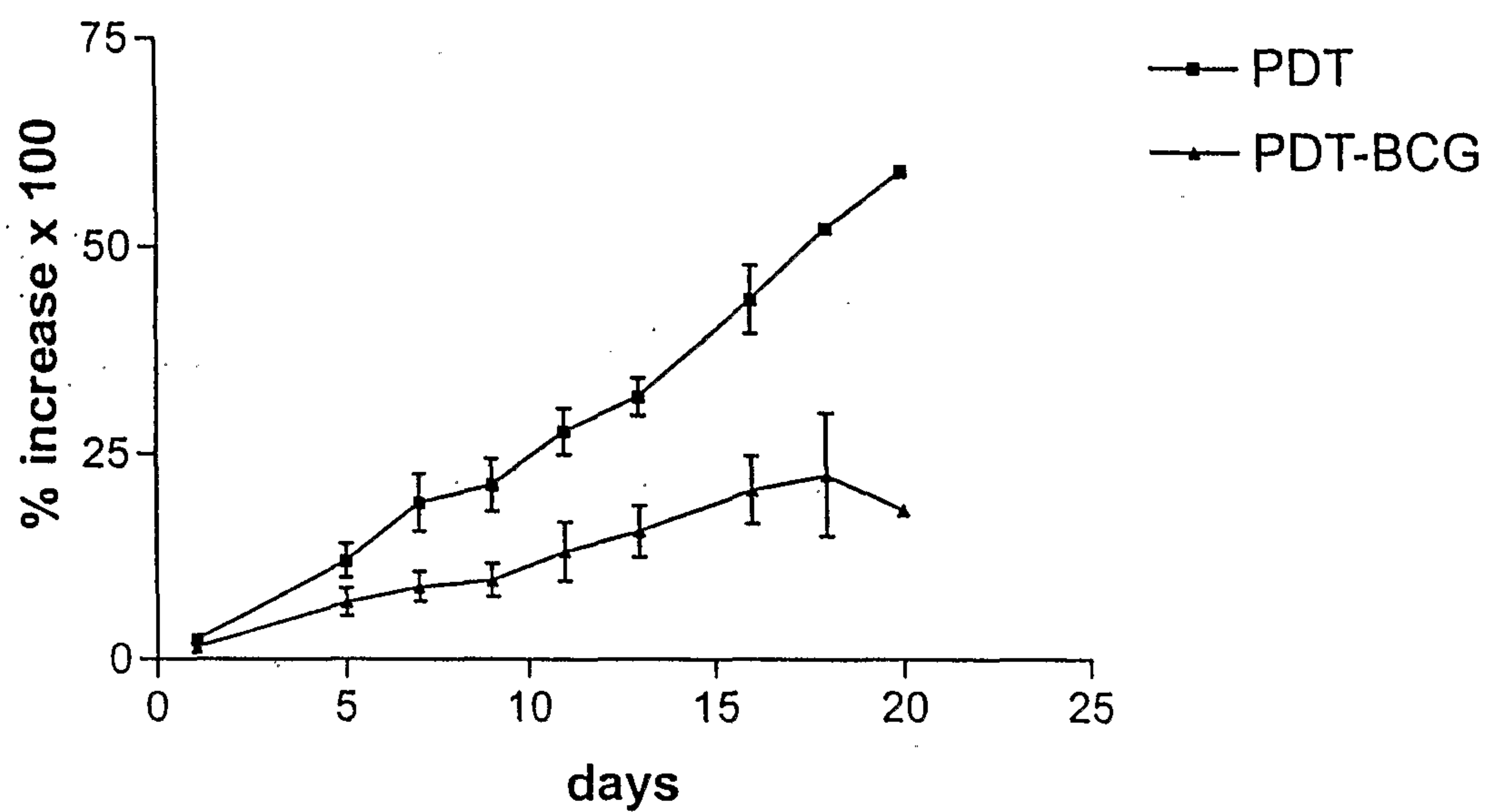
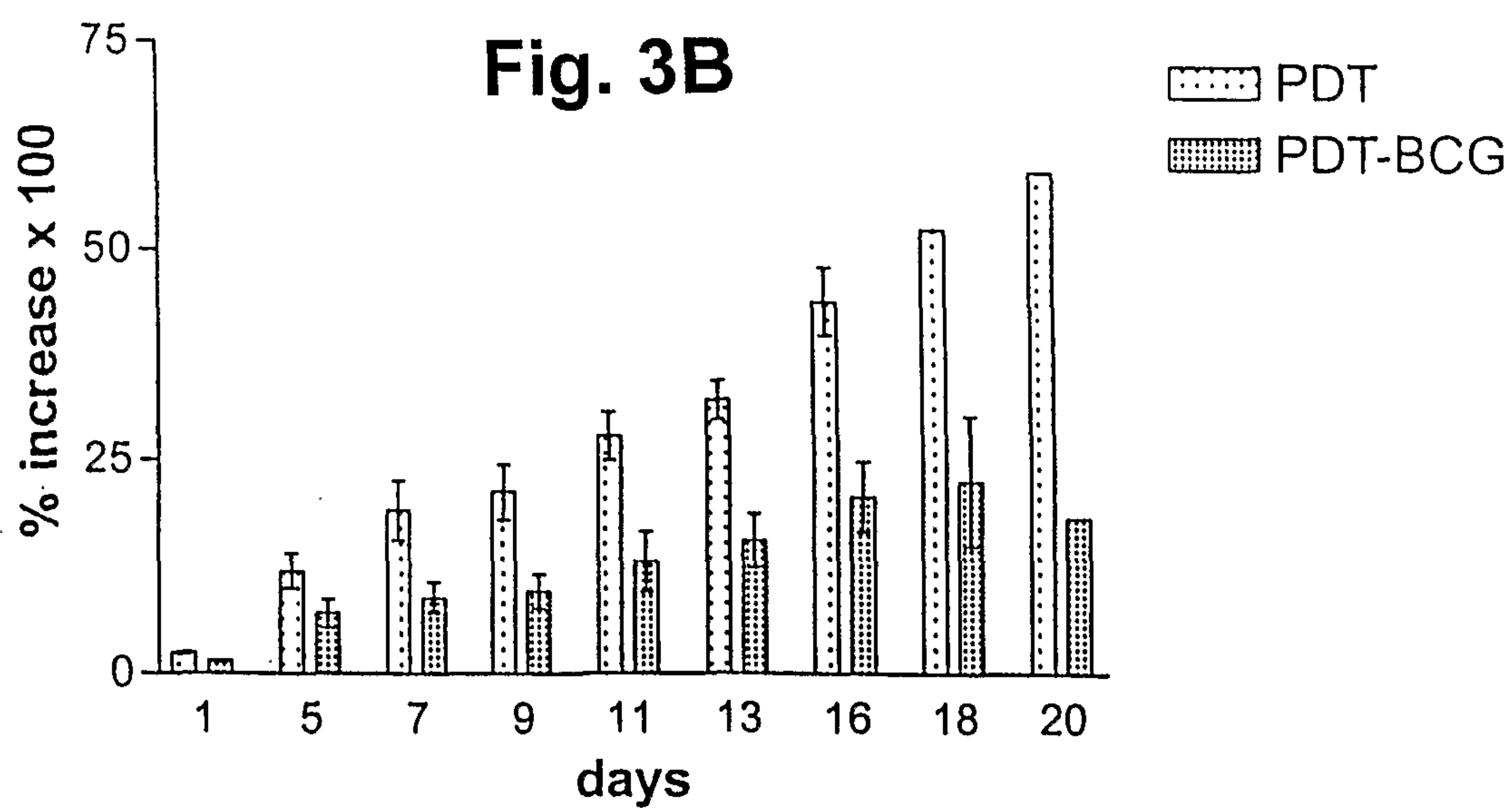
12. The composition of claim 11, wherein said immunotherapeutic agent is chosen from an antigen, a cytokine, an immunoadjuvant, bacille Calmette-Guerin, granulocyte colonystimulating factor, granulocyte-macrophage colony-stimulating factor, interferon alfa, interferon alfa-2a, interferon alfa 2b, interferon alfacon-1, interferon alfa-n3, intravenous immunoglobulin, and an imiquimod.

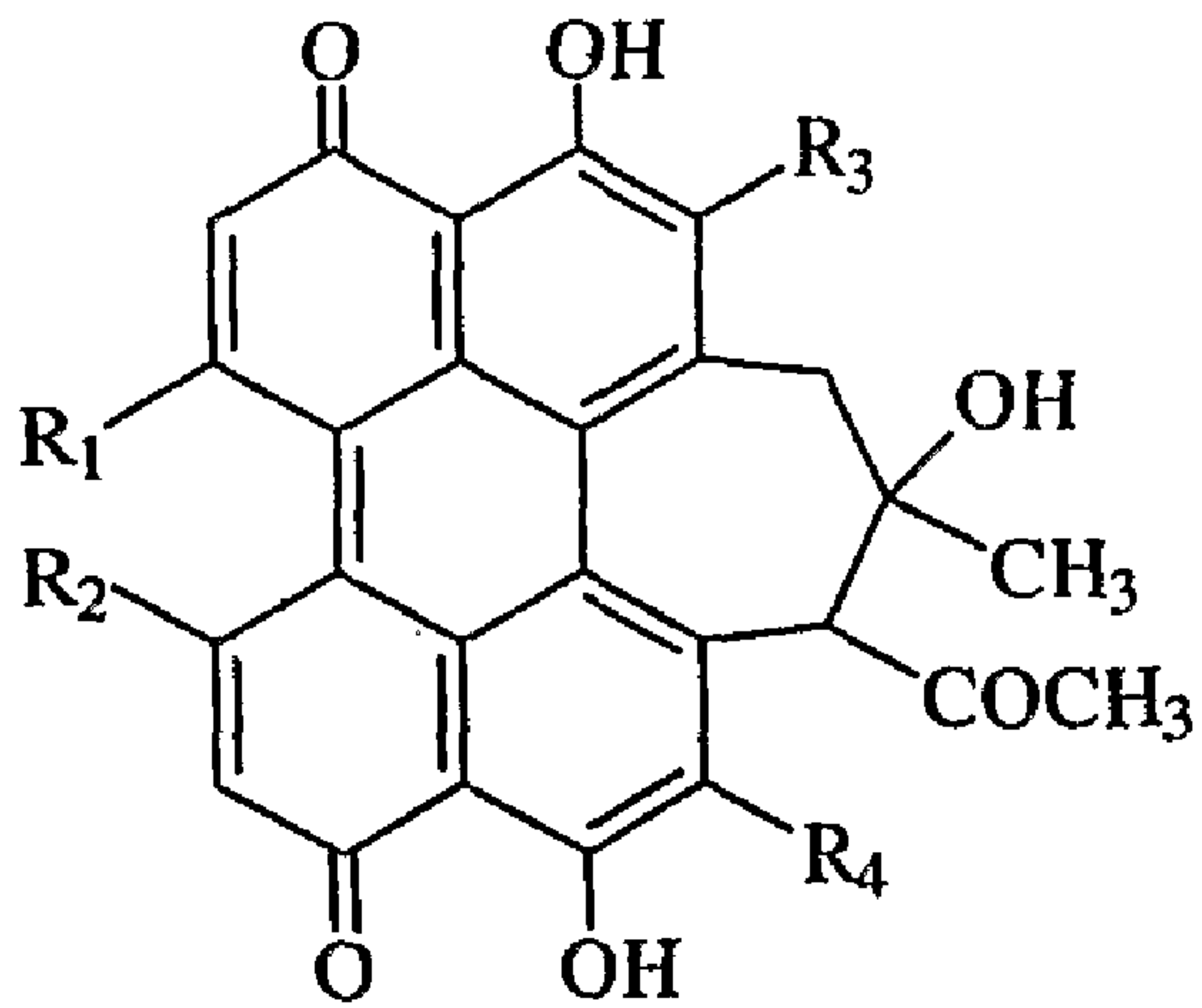


# Figure 1

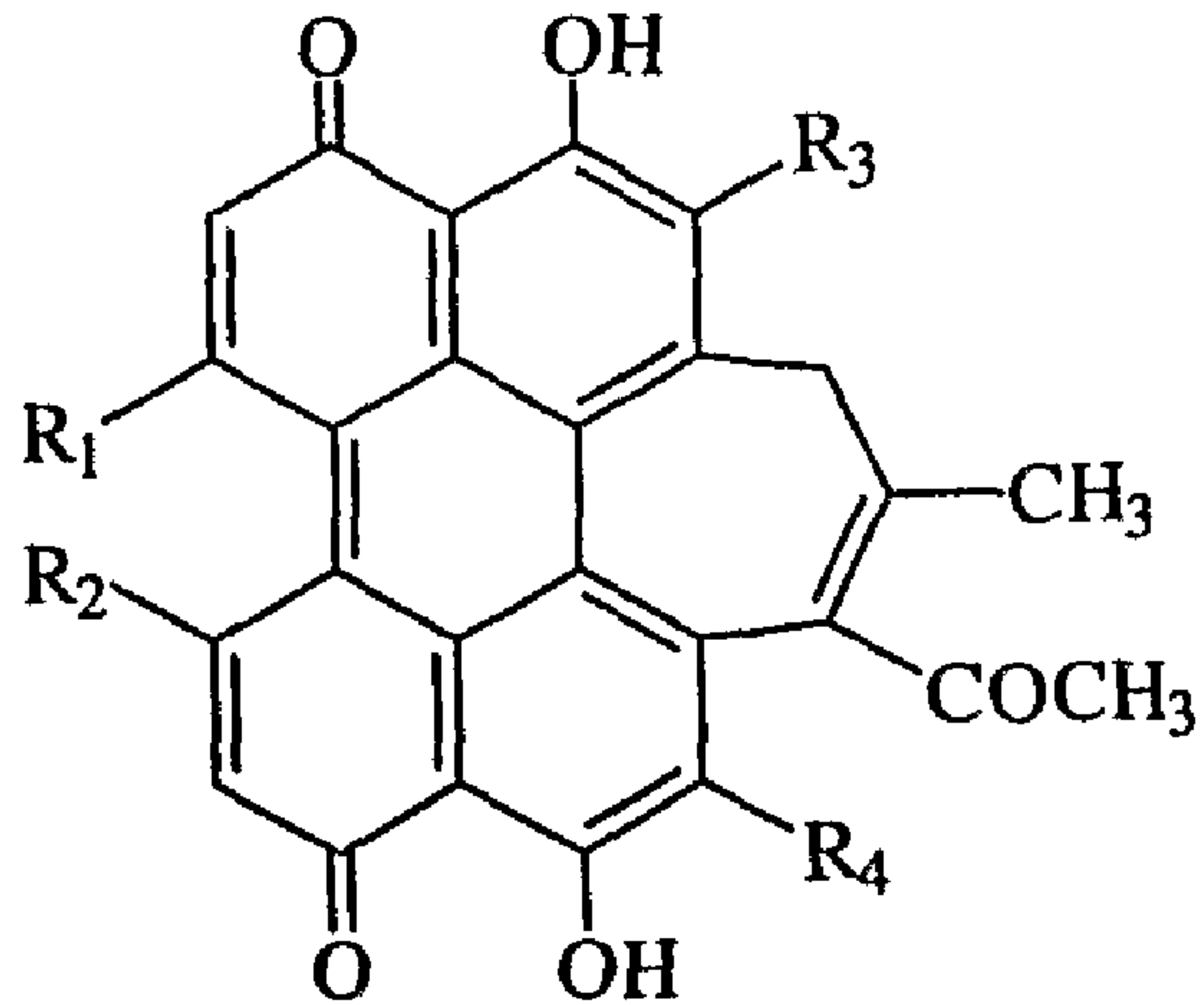


# Figure 2

**Fig. 3A****Fig. 3B**



V



VI