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The present invention relates to a pharmaceutical formulation which provides for extended release of an active pharmaceutical ingredient selected from N-[2-hydroxy-3-(1-piperidiny)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof.

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(57) Abstract: The present invention relates to a pharmaceutical formulation which provides for extended release of an active pharmaceutical ingredient selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof.



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## **EXTENDED-RELEASE PHARMACEUTICAL FORMULATION COMPRISING ARIMOCLOMOL**

### **Field of invention**

5 The present invention relates to a pharmaceutical formulation providing for extended release of arimoclomol and accompanying reduced C<sub>max</sub>, reduced inhibition of OCT2 and/or reduced effect on serum creatinine levels.

### **Background of invention**

10 Arimoclomol is a heat shock protein amplifier currently under evaluation in the treatment of paediatric lysosomal storage disorders and amyotrophic lateral sclerosis (ALS).

The physical properties of arimoclomol make the drug somewhat difficult to handle. The drug substance is white in appearance, light and fluffy. Arimoclomol is hygroscopic  
15 i.e. it absorbs moisture (water molecules) from its surroundings. Arimoclomol has a relatively short plasma half-life (2-4 hours) and multiple daily dosing is currently required.

20 Arimoclomol is to date administered as powder-filled, coated gelatine capsules (arimoclomol capsules). The arimoclomol capsules are of immediate-release (IR) type.

Arimoclomol has been tested in healthy human volunteers and no maximum tolerated dose has been reached. A total of 261 subjects have been exposed to oral single ascending or repeated doses of arimoclomol ranging from 50 to 800 mg in seven  
25 concluded Phase I trials and two concluded Phase II trials, and is found to be safe and well-tolerated.

In the single- and multiple-dose Phase I studies, slight and reversible increases in serum creatinine levels were observed in a number of volunteers but these were not  
30 considered to be clinically significant (see e.g. Cudkowicz et al., Muscle & Nerve, July 2008, p. 837-844).

### Summary of invention

It is an aspect of the present invention to provide an extended-release formulation of arimoclomol.

5 By retarding the release of arimoclomol following the ingestion of an oral dose, the extended-release formulation elicits a relatively lower peak blood concentration (C<sub>max</sub>) of arimoclomol relative to the total exposure provided as expressed by the area under the curve (AUC). During the course of repeated oral administration, the extended-release formulation therefore reduces the peak-to-trough ratio of the arimoclomol blood  
10 concentration. This provides several advantages for clinical use compared to a conventional immediate-release oral formulation:

By supporting less frequent dosing, the extended-release formulation of arimoclomol will support higher treatment compliance in home-based care as well as regularity of  
15 scheduling in managed care situations.

By improving the physical flow characteristics, the extended-release formulation will be more amenable to presentation in sachets or pouches, which may be desirable for dosing by mixing with drinks or foodstuff, as well as aided by feeding tubes. This will  
20 present a marked improvement for the treatment of patients with dysphagia or other neuromuscular impairments.

In the event that some patients experience untoward effects of immediate-release oral dosing, the extended-release formulation may provide a treatment option that could  
25 support a relatively higher arimoclomol exposure whilst limiting untoward effects compared to an immediate-release formulation.

OCT2 is a renal organic cation transporter involved in creatinine secretion (see e.g. Lepist et al., *Kidney International* (2014) 86, 350–357). OCT2 (Organic cation  
30 transporter 2) is also known as Solute carrier family 22 member 2 and is expressed from *SLC22A2* (UniProt S22A2\_HUMAN).

It is shown herein that arimoclomol is an inhibitor of the renal uptake transporter OCT2 - the half-maximal inhibition (IC<sub>50</sub>) of arimoclomol was defined at 10 μM for OCT2.

Thus, shortly after human oral dosing higher than 400 mg to there may be transient and reversible inhibitory activity of this transporter at C<sub>max</sub>.

5 To address the observed OCT2-inhibition and the slight and reversible increase in serum creatinine, an extended-release formulation of arimocloamol is provided.

The formulation potentially also has value for patients who receive additional medications, which medications per se affect serum creatinine levels, and/or which medications depend at least partly on OCT2 for clearance and excretion.

10

This formulation can be of further value for example for paediatric patients and patients presenting with increased basal levels of serum creatinine; including patients with kidney disease or decreased renal function, and patients with diabetes mellitus or hypertension.

15

The present formulations have an extended release to allow for reduced daily dosing. The present formulations are furthermore easy to swallow with acceptable organoleptic characteristics. Also, the present formulation provides for more efficient manufacturing, achieving a batch within the specifications and allowing for better standardisation.

20

It is an aspect of the present invention to provide a pharmaceutical formulation comprising an active pharmaceutical ingredient (API) selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, wherein said formulation provides for extended release of said active pharmaceutical ingredient.

25

In one embodiment the present pharmaceutical formulations provide for a lower C<sub>max</sub>, a higher T<sub>max</sub>, a reduced inhibition of the OCT2 transporter, and/or a reduced effect on serum creatinine as compared these parameters following administration of an immediate-release formulation or bolus IV injection of the same active pharmaceutical ingredient.

30

**Description of Drawings**

- Figure 1 Inhibition of OATP1B1-mediated probe substrate transport by arimoclomol in the uptake transporter inhibition assay.
- 5 Figure 2 Inhibition of OATP1B3-mediated probe substrate transport by arimoclomol in the uptake transporter inhibition assay.
- Figure 3 Inhibition of OAT1-mediated probe substrate transport by arimoclomol in the uptake transporter inhibition assay.
- Figure 4 Inhibition of OAT3-mediated probe substrate transport by arimoclomol in the uptake transporter inhibition assay.
- 10 Figure 5 Inhibition of OCT2-mediated probe substrate transport by arimoclomol in the uptake transporter inhibition assay.
- Figure 6 Accumulation of arimoclomol in OAT1-expressing and CHO control cells in the uptake transporter substrate feasibility assay.
- Figure 7 Accumulation of arimoclomol in OAT3-expressing and MDCKII control cells in the uptake transporter substrate feasibility assay.
- 15 Figure 8 Accumulation of arimoclomol in OCT2-expressing and CHO control cells in the uptake transporter substrate feasibility assay.
- Figure 9. Dissolution Profile of Mini-Tablets (Blend 1) following coating with aqueous based Ethyl cellulose dispersion (Surelease TM) to 5%, 10% and 20% weight gain.
- 20 Figure 10 Dissolution Profile of Mini-Tablets (Blend 1) following coating with solvent based EC dispersion (Surelease TM) to 5%, 10% and 20% weight gain.
- Figure 11 Dissolution Profile of Mini-Tablets following coating with HPMC seal coat and aqueous based Ethyl cellulose dispersion (Surelease TM) to 5%, 10% and 20% weight gain.
- 25 Figure 12 Dissolution Profile of Individual Mini-Tablets following coating with HPMC seal coat and aqueous based Ethyl cellulose dispersion (Surelease TM) to 20% weight gain.
- Figure 13 Schematic overview of sphere composition.
- 30 Figure 14 Dissolution Profile of Sugar Spheres Coated with Ethyl Cellulose to 5%w/w, 10%w/w and 20%w/w Weight Gain in pH 6.8 Buffer.
- Figure 15 Dissolution Profile of Sugar Spheres Coated with Ethyl Cellulose to 5%w/w, 10%w/w Weight Gain in 0.1M HCl Buffer.
- Figure 16 Dissolution Profile of Microcrystalline Spheres Coated with Ethyl Cellulose to 5%w/w, 10%w/w Weight Gain.
- 35

Figure 17 Dissolution Profile of Hot melt Extrusion Granules of arimocloamol. Dissolution of 33, 50 and 66 wt% powder samples of various particle sizes in pH 6.8 phosphate buffer. Blue lines refer to 33 wt% materials, pink lines refer to 50 wt% materials and green lines refer to 66 wt% material.

### Detailed description of the invention

The present invention provides for extended-release (ER) formulations of arimocloamol with improved pharmacokinetics, standardised manufacturing, and higher patient compliance. Extended release, sustained release, delayed release and controlled release are used interchangeably herein.

The present invention in one aspect provides a pharmaceutical formulation comprising an active pharmaceutical ingredient (API) selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride (arimocloamol), its stereoisomers and the acid addition salts thereof, wherein said formulation provides for extended release of said active pharmaceutical ingredient.

The terms pharmaceutical formulation, pharmaceutically safe formulation and pharmaceutically acceptable formulation are used interchangeably herein.

In one embodiment said pharmaceutical formulation comprises said API in a pharmaceutically effective or pharmaceutically active amount.

In one embodiment said formulation comprises an inner matrix and at least one outer coating.

In another embodiment said formulation comprises extended-release granules. In one embodiment said extended-release granules are produced by hot melt extrusion (HME) and optionally size reduction.

In one embodiment the present pharmaceutical formulation reduces  $C_{max}$ , increases  $T_{max}$ , reduces inhibition of OCT2 and/or reduces effect on serum creatinine levels; as compared to an equivalent amount of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride (arimocloamol), its stereoisomers and the acid addition

salts thereof administered by an immediate release oral dosage form and/or by bolus IV injection.

5 Extended or controlled release technology is a mechanism used in formulations to dissolve slowly and release a drug over time. Extended-release formulations may be taken less frequently than immediate-release formulations, and they keep steadier levels of the drug in the bloodstream.

10 In one embodiment the term providing for extended release of an active pharmaceutical ingredient according to the invention means that the API is dissolved or released from the pharmaceutical formulation over time.

15 Extended-release drugs may be formulated so that the active ingredient is embedded in a matrix of insoluble substance(s) such that the dissolving drug must find its way out through the holes in the matrix. Some drugs are enclosed in polymer-based tablets with a laser-drilled hole on one side and a porous membrane on the other side. Stomach acids push through the porous membrane, thereby pushing the drug out through the laser-drilled hole. In time, the entire drug dose releases into the system while the polymer container remains intact, to be excreted later through normal digestion. In  
20 some formulations, the drug dissolves into the matrix, and the matrix physically swells to form a gel, allowing the drug to exit through the gel's outer surface. Micro-encapsulation also produces complex dissolution profiles; through coating an active pharmaceutical ingredient around an inert core, and layering it with insoluble substances to form a microsphere a more consistent and replicable dissolution rate is  
25 obtained - in a convenient format that may be mixed with other instant release pharmaceutical ingredients, e.g. into any two piece gelatin capsule.

A dosage form is a mixture of active drug components and non-drug components. The pharmaceutical formulation according to the present invention is in one embodiment a  
30 dosage form, such as an oral dosage form. In one embodiment, said dosage form is a solid dosage form, such as a tablet. In one embodiment, said dosage form is a granular dosage form, such as comprising extended-release granules.

In one embodiment said pharmaceutical formulation is orally available. In one embodiment said formulation is a solid dosage form. In one embodiment said formulation is an orally available solid dosage form.

5 A tablet is a pharmaceutical dosage form comprising a mixture of (an) active substance(s) and excipient(s), pressed or compacted into a solid dose. Tablets are simple and convenient to use. They provide an accurately measured dosage of the active ingredient(s) in a convenient portable package. Manufacturing processes and techniques can provide tablets special properties, for example, extended release or  
10 fast dissolving formulations. Tablets are easy to weigh out, and have high physical integrity.

15 Mini-tablets are tablets with a diameter  $\leq 3$  mm, and represent a new trend in solid dosage form design, with the main goal of overcoming some therapeutic obstacles such as impaired swallowing and polypharmacy therapy, and also offering some therapeutic benefits such as dose flexibility and combined release patterns.

In one embodiment a mini-tablet according to the invention is a tablet with a diameter less than or equal to ( $\leq$ ) 3 mm, such as  $\leq 2.5$  mm, for example  $\leq 2$  mm, such as  $\leq 1.5$   
20 mm, for example about 1 mm. In one embodiment a mini-tablet according to the invention is a tablet with a diameter of 1 to 1.5 mm, such as 1.5 to 2 mm, for example 2 to 2.5 mm, such as 2.5 to 3 mm.

In one embodiment a micro-tablet according to the invention is a tablet with a diameter  
25 less than or equal to ( $\leq$ ) 1 mm, such as  $\leq 0.9$  mm, for example  $\leq 0.8$  mm, such as  $\leq 0.7$  mm, for example  $\leq 0.6$  mm, such as  $\leq 0.5$  mm, for example  $\leq 0.4$  mm, such as  $\leq 0.3$  mm, for example  $\leq 0.3$  mm, such as  $\leq 0.1$  mm. In one embodiment a mini-tablet according to the invention is a tablet with a diameter of 0.1 to 0.2 mm, such as 0.2 to  
30 0.3 mm, for example 0.3 to 0.4 mm, such as 0.4 to 0.5 mm, such as 0.5 to 0.6 mm, for example 0.6 to 0.7 mm, such as 0.7 to 0.8 mm, for example 0.8 to 0.9 mm, such as 0.9 to  $\leq 1$  mm.

In the manufacture of pharmaceuticals, encapsulation refers to a range of dosage forms in a relatively stable shell known as a capsule, allowing them to, for example, be  
35 taken orally or be used as suppositories. There are two main types of capsules:

5 Hard-shelled capsules made in two halves: a lower-diameter "body" that is filled and then sealed using a higher-diameter "cape"; and soft-shelled capsules, primarily used for oils and for active ingredients that are dissolved or suspended in oil. Both types of capsules are made from aqueous solutions of gelling agents including animal protein  
mainly gelatin and plant polysaccharides or their derivatives like carrageenans and  
modified forms of starch and cellulose. Other ingredients can be added to the gelling  
agent solution like plasticizers such as glycerin and/or sorbitol to decrease the  
capsule's hardness, coloring agents, preservatives, disintegrants, lubricants and  
surface treatment.

10

The pharmaceutical formulation according to the present invention in one embodiment comprises an active pharmaceutical ingredient (API) as detailed herein elsewhere, as well as one or more excipients.

15

An excipient is a pharmacologically inactive (or chemically inactive) substance formulated with the active ingredient of a medication. Excipients are commonly used to bulk up formulations that contain potent active ingredients (thus often referred to as "bulking agents," "fillers," or "diluent"), to allow convenient and accurate dispensation of a drug substance when producing a dosage form.

20

In one embodiment, the pharmaceutical formulation according to the present invention comprises one or more excipients. Said one or more excipients may act as a solid carrier, diluent, flavouring agent, solubilizer, lubricant, glidant, suspending agent, binder, filler, preservative, anti-adherent, wetting agent, tablet disintegrating agent,  
25 sorbent, and/or an encapsulating/coating material.

The present pharmaceutical formulation in one embodiment comprises at least one excipient in order to obtain a suitable formulation with the desired extended release characteristics.

30

In one embodiment, the pharmaceutical formulation according to the present invention comprises one or more release-controlling excipients.

#### Extended-release formulation

35

It is an aspect to provide a pharmaceutical formulation comprising

- an active pharmaceutical ingredient (API) selected from N-[2-hydroxy-3-(1-piperidinyloxy)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, and
  - a release-controlling excipient,
- 5 wherein said formulation provides for extended release of said active pharmaceutical ingredient.

10 In one embodiment said pharmaceutical formulation is a dosage form, such as an oral dosage form (orally available dosage form).

10 In one embodiment the pharmaceutical formulation is an extended release dosage form of N-[2-hydroxy-3-(1-piperidinyloxy)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, comprising

- a pharmaceutically effective amount of N-[2-hydroxy-3-(1-piperidinyloxy)-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, and
  - a release-controlling excipient.
- 15

20 C<sub>max</sub> is a term used in pharmacokinetics to refer to the maximum (or peak) serum concentration that a drug achieves in a specified compartment or test area of the body after the drug has been administered and prior to the administration of a second dose. T<sub>max</sub> is a term used in pharmacokinetics to describe the time at which the C<sub>max</sub> is observed.

- 25 In one embodiment the pharmaceutical formulation is capable of one or more of
- reducing C<sub>max</sub> as compared to the C<sub>max</sub> for an equivalent amount of N-[2-hydroxy-3-(1-piperidinyloxy)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof administered by an immediate release oral dosage form and/or by bolus IV injection,
  - 30 - reducing C<sub>max</sub> with 1 or 2 daily dosages as compared to the C<sub>max</sub> for an equivalent amount of N-[2-hydroxy-3-(1-piperidinyloxy)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof administered by immediate release oral dosage forms and/or by bolus IV injections three times a day,

- eliciting a relatively lower peak blood concentration (C<sub>max</sub>) of arimoclomol relative to the total exposure provided as expressed by the area under the curve (AUC),
- reducing the peak-to-trough ratio of the arimoclomol blood (or serum) concentration,
- maintaining arimoclomol exposure while reducing the peak plasma level (C<sub>max</sub>)
- maintaining arimoclomol exposure and/or AUC with fewer administrations (such as once or twice a day), while reducing the peak plasma level (C<sub>max</sub>)
- increasing T<sub>max</sub> as compared to the T<sub>max</sub> for an equivalent amount of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof administered by an immediate release oral dosage form and/or by bolus IV injection,
- reducing inhibition of OCT2 as compared to the inhibition of OCT2 for an equivalent amount of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof administered by an immediate release oral dosage form and/or by bolus IV injection,
- reducing the effect on serum creatinine levels as compared to the effect on serum creatinine levels for an equivalent amount of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof administered by an immediate release oral dosage form and/or by bolus IV injection,
- reducing the effect on renal creatinine clearance as compared to the effect on renal creatinine clearance for an equivalent amount of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof administered by an immediate release oral dosage form and/or by bolus IV injection,
- achieving a C<sub>max</sub> that is lower than the half-maximal inhibition (IC<sub>50</sub>) of the active pharmaceutical ingredient for OCT2.

30

In one embodiment the pharmaceutical formulation have an extended release to allow for reduced daily dosing, or reduced frequency of dosing. In preferred embodiment the formulation is administered once or twice a day, compared to the conventional IR formulation which is administered 3 times a day.

35

In one embodiment the pharmaceutical formulation is administered to achieve a an arimoclomol exposure or Cmax that prevents arimoclomol from i) inhibiting renal transporters, ii) inhibiting OCT2 and/or iii) inhibiting creatinine clearance.

- 5 The term 'a release-controlling excipient' implies the presence of at least one, or one or more, release-controlling excipients.

A release-controlling excipient according to the present invention is an excipient or agent which provides for extended release of an API selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof.

A release-controlling excipient according to the present invention in one embodiment controls the release rate of an API selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof from a pharmaceutical formulation..

The release-controlling excipient according to the present invention in one embodiment controls the release rate of an API selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, to

- reduce Cmax,
- reduce inhibition of OCT2,
- reduce effect on serum creatinine levels, and/or
- 25 - increase Tmax,

as compared to an equivalent amount of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof administered by an immediate release oral dosage form and/or by bolus IV injection.

30

Also provided is a method of administering an amount of an API selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof to a patient in need thereof by extended release such that

- 5 - the maximum serum concentration after administration of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, ( $C_{max}$ ) is reduced as compared to the  $C_{max}$  of an equivalent amount thereof administered by an immediate release oral dosage form and/or by bolus IV injection,
- 10 - the time for the serum concentration to reach its maximum after administration of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, ( $T_{max}$ ), is increased as compared to  $T_{max}$  for an equivalent amount thereof administered by an immediate release oral dosage form and/or by bolus IV injection,
- 15 - the inhibition of OCT2 after administration of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, is reduced as compared to the inhibition of OCT2 for an equivalent amount thereof administered by an immediate release oral dosage form and/or by bolus IV injection,
- 20 - the effect on serum creatinine levels after administration of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, is reduced as compared to the effect on serum creatinine levels for an equivalent amount thereof administered by an immediate release oral dosage form and/or by bolus IV injection.

25 In one embodiment the effect on serum creatinine levels of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride (arimocломol), its stereoisomers and the acid addition salts thereof is a slight increase in serum creatinine levels.

30 In one embodiment the pharmaceutical formulation provides for a lower  $C_{max}$  of the API as compared to an immediate-release formulation of the API.

In one embodiment the pharmaceutical formulation provides for a higher  $T_{max}$  of the API as compared to an immediate-release formulation of the API.

35 In one embodiment the pharmaceutical formulation provides for a reduced inhibition of the OCT2 transporter by the API (arimocломol), as compared to an immediate-release formulation of the API.

In one embodiment the pharmaceutical formulation of the present invention reduces or avoids the arimoclomol-induced inhibition of OCT2; which reduced OCT2 inhibition in one embodiment reduces the risk of adversely affecting the clearance, excretion and/or circulating half-life of additional medications, especially medications which are substrates for the OCT2 transporter.

In one embodiment the pharmaceutical formulation provides for a reduced effect on serum creatinine by the API, as compared to an immediate-release formulation of the API.

In one embodiment the pharmaceutical formulation provides for a  $C_{max}$  of less than 15  $\mu\text{M}$ , for example less than 10  $\mu\text{M}$ , such as less than 9  $\mu\text{M}$ , for example less than 8  $\mu\text{M}$ , such as less than 7  $\mu\text{M}$ , for example less than 6  $\mu\text{M}$ , such as less than 5  $\mu\text{M}$ , for example less than 4  $\mu\text{M}$ , such as less than 3  $\mu\text{M}$ , for example less than 2  $\mu\text{M}$ , such as less than 1  $\mu\text{M}$ .

In one embodiment the pharmaceutical formulation provides for a  $C_{max}$  of 1 to 2  $\mu\text{M}$ , for example 2 to 3  $\mu\text{M}$ , such as 3 to 4  $\mu\text{M}$ , for example 4 to 5  $\mu\text{M}$ , such as 5 to 6  $\mu\text{M}$ , for example 6 to 7  $\mu\text{M}$ , such as 7 to 8  $\mu\text{M}$ , for example 8 to 9  $\mu\text{M}$ , such as 9 to 10  $\mu\text{M}$ , for example 10 to 11  $\mu\text{M}$ , such as 11 to 12  $\mu\text{M}$ , for example 12 to 13  $\mu\text{M}$ , such as 13 to 14  $\mu\text{M}$ , for example 14 to 15  $\mu\text{M}$ .

In a preferred embodiment the pharmaceutical formulation provides for a  $C_{max}$  of less than or equal to 10  $\mu\text{M}$ .

In a preferred embodiment the pharmaceutical formulation provides for a  $C_{max}$  of less than or equal to 10  $\mu\text{M}$ , achieved with less frequent daily dosing, such as achieved by once daily or twice daily dosing.

In one embodiment  $C_{max}$  is reduced by a factor of at least 10%, such as a factor of at least 20%, such as a factor of at least 30%, such as a factor of at least 40%, such as a factor of at least 50%, such as a factor of at least 60%, such as a factor of at least 70%, such as a factor of at least 80%, such as a factor of at least 90%, such as a factor of at least 100%.

In one embodiment C<sub>max</sub> is reduced by a factor of 10 to 20%, such as a factor of 20 to 30%, such as a factor of 30 to 40%, such as a factor of 40 to 50%, such as a factor of 50 to 60%, such as a factor of 60 to 70%, such as a factor of 70 to 80%, such as a factor of 80 to 90%, such as a factor of 90 to 100%.

In one embodiment T<sub>max</sub> is increased by a factor of at least 10%, such as a factor of at least 20%, such as a factor of at least 30%, such as a factor of at least 40%, such as a factor of at least 50%, such as a factor of at least 60%, such as a factor of at least 70%, such as a factor of at least 80%, such as a factor of at least 90%, such as a factor of at least 100%, such as a factor of at least 125%, such as a factor of at least 150%, such as a factor of at least 175%, such as a factor of at least 200%, such as a factor of at least 250%.

In one embodiment T<sub>max</sub> is increased by a factor of 10 to 20%, such as a factor of 20 to 30%, such as a factor of 30 to 40%, such as a factor of 40 to 50%, such as a factor of 50 to 60%, such as a factor of 60 to 70%, such as a factor of 70 to 80%, such as a factor of 80 to 90%, such as a factor of 90 to 100%, such as a factor of 100 to 125%, such as a factor of 125 to 150%, such as a factor of 150 to 175%, such as a factor of 175 to 200%, such as a factor of 200 to 225%, such as a factor of 225 to 250%.

In one embodiment the inhibition of OCT2 is reduced by a factor of at least 10%, such as a factor of at least 20%, such as a factor of at least 30%, such as a factor of at least 40%, such as a factor of at least 50%, such as a factor of at least 60%, such as a factor of at least 70%, such as a factor of at least 80%, such as a factor of at least 90%, such as a factor of at least 100%.

In one embodiment the inhibition of OCT2 is reduced by a factor of 10 to 20%, such as a factor of 20 to 30%, such as a factor of 30 to 40%, such as a factor of 40 to 50%, such as a factor of 50 to 60%, such as a factor of 60 to 70%, such as a factor of 70 to 80%, such as a factor of 80 to 90%, such as a factor of 90 to 100%.

The pharmaceutical formulation in one embodiment has a dissolution rate of 85% of the API released within 3 to 5 hours (medium), and in another embodiment of 85% API released after at least ( $\geq$ ) 6 hours, such as after at least 7, 8, 9 or 10 hours (slow).

The dissolution rate describes how fast the compound is released from the formulation into solution. The rate of dissolution can be expressed by the Noyes-Whitney Equation or the Nernst and Brunner equation.

5

In one embodiment the pharmaceutical formulation provides for a dissolution rate of 10 to 90% of the API released at 3 to 5 hours, such as 10 to 20%, 20 to 30, 30 to 40, 40 to 50, 50 to 60, 60 to 70, 70 to 75, 75 to 80, 80 to 85 or 85 to 90% of the API released within 3 to 5 hours, such as within 3 hours, within 4 hours, or within 5 hours.

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In one embodiment the pharmaceutical formulation provides for a dissolution rate of 10 to 90% of the API released within 6 hours, such as 10 to 20%, 20 to 30, 30 to 40, 40 to 50, 50 to 60, 60 to 70, 70 to 75, 75 to 80, 80 to 85 or 85 to 90% of the API released within  $\geq 6$  hours, such as within  $\geq 7$  hours,  $\geq 8$  hours,  $\geq 9$  hours,  $\geq 10$  hours,  $\geq 11$  hours,  $\geq 12$  hours,  $\geq 13$  hours,  $\geq 14$  hours,  $\geq 15$  hours,  $\geq 16$  hours,  $\geq 17$  hours,  $\geq 18$  hours.

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#### Tablets and spheres

The present invention in one aspect provides a pharmaceutical formulation comprising an active pharmaceutical ingredient selected from N-[2-hydroxy-3-(1-piperidinyloxy)propoxy]-pyridine-1-oxide-3-carboximidoyl chloride (arimocloamol), its stereoisomers and the acid addition salts thereof, wherein said formulation comprises an inner matrix and at least one outer coating, wherein said formulation provides for extended release of said active pharmaceutical ingredient.

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In one embodiment said formulation is selected from the group consisting of a tablet, a mini-tablet, a micro-tablet, a coated tablet, a coated mini-tablet, a coated micro-tablet, a sphere and a coated sphere.

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In one embodiment the pharmaceutical formulation of the invention is used as a single-unit oral dosage form (also known as non-divided formulation). In another embodiment the pharmaceutical formulation of the invention is used as a multiple-unit oral dosage form (also known as divided formulation). A multiple-unit oral dosage form is a distinct drug product packaged together, in the present context for example mini-tablets within a capsule.

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In one embodiment the inner matrix of the formulation comprises the active pharmaceutical ingredient. In these embodiments the formulation may be selected from a coated tablet, a coated mini-tablet and a coated micro-tablet.

- 5 In one embodiment the formulation is selected from a coated tablet, a coated mini-tablet and a coated micro-tablet, wherein the inner matrix (or the tablet) comprises the API, and wherein the outer coating does not comprise the API.

10 In one embodiment the outer coating of the formulation comprises the active pharmaceutical ingredient. In these embodiments the formulation may be a coated sphere (drug-loaded sphere).

15 In one embodiment said formulation is a coated sphere, wherein the inner matrix (sphere substrate) does not comprise the API, and the outer coating comprises the API.

In one embodiment the outer coating of the coated sphere comprises one or more individual layers, wherein the innermost layer immediately surrounding the sphere comprises the API.

20 In one embodiment the pharmaceutical formulation of the present invention is contained within a capsule, such as to provide a multiple-unit oral dosage form, such as a capsule comprising two or more formulation units or tablets/mini-tablets/spheres according to the invention. In one embodiment the capsule comprises or consists of  
25 gelatin. In one embodiment the capsule is a hard-shelled capsule, such as hard-capsule gelatin. In a further embodiment the capsule further comprises an outer coating.

30 In one embodiment the multiple-unit oral dosage form of the invention is a capsule comprising two or more formulation units according to the present invention, such as comprising 2 to 3, 3 to 4, 4 to 5, 5 to 6, 6 to 7, 7 to 8, 8 to 9, 9 to 10, 10 to 11, 11 to 12, 12 to 13, 13 to 14, 14 to 15, 15 to 16, 16 to 17, 17 to 18, 18 to 19, 19 to 20, 20 to 21, 21 to 22, 22 to 23, 23 to 24, 24 to 25, 25 to 26, 26 to 27, 27 to 28, 28 to 29, 29 to 30, 30 to 35, 35 to 40, 40 to 45, 45 to 50, 50 to 55, 55 to 60, 60 to 65, 65 to 70, 70 to 75, 75 to  
35 80, 80 to 85, 85 to 90, 90 to 95, 95 to 100 formulation units.

In one embodiment a formulation unit is selected from the group consisting of a coated mini-tablet, a coated micro-tablet and a coated sphere.

5 The number of formulation units within the capsule depends on the amount or concentration of API in each unit, and the individual characteristics of the patient to which the pharmaceutical formulation is to be administered, as the skilled person will acknowledge.

*Oral dosage form - Tablet*

10 In one embodiment the pharmaceutical formulation according to the invention comprises a matrix constituent, such as an inner matrix comprising the API, and optionally an outer coating. In one embodiment the inner matrix is a tablet, a mini-tablet or a micro-tablet, which tablet is optionally coated.

15 In one embodiment the pharmaceutical formulation according to the invention comprises at least one type of hydroxypropylmethylcellulose (HPMC) also known as hypromellose. HPMC is used as an excipient in oral tablet and capsule formulations, where, depending on the grade, it functions as a controlled release agent or release-controlling excipient to delay the release of a medicinal compound into the digestive  
20 tract. It is also used as a binder and as a component of tablet coatings.

In one embodiment the inner matrix of the present formulation comprises the API and one or more release-controlling excipients. In one embodiment the inner matrix further  
25 comprises one or more additional excipients such as fillers, binders and lubricants.

25 Release controlling excipients as used herein may be any release controlling excipient known to the skilled person. Release controlling excipients in one embodiment is an excipient selected from the group consisting of hydroxypropylmethylcellulose (HPMC), ethylcellulose (EC), methylcellulose, hydroxypropyl cellulose, hypromellose acetate  
30 succinate, hypromellose phthalate, cellulose acetate, glycerin monostearate, glyceryl monooleate, glyceryl palmitate, glyceryl behenate, hydrogenated vegetable oil, guar gum, polyvinyl alcohol, alginates, xanthan gum, carnauba wax, yellow wax, white wax, zein, carregeenan, carbomers and agar.

In one embodiment, the inner matrix further comprises a filler, such as a filler selected from the group consisting of calcium carbonate, calcium phosphates, calcium sulfate, cellulose, cellulose acetate, compressible sugar, dextrate, dextrin, dextrose, ethylcellulose, fructose, isomalt, lactitol, lactose, mannitol, magnesium carbonate, magnesium oxide, maltodextrin, microcrystalline cellulose (MCC), polydextrose, sodium alginate, sorbitol, talc and xylitol.

In one embodiment, the inner matrix further comprises a binder, such as a binder selected from the group consisting of acacia, alginic acid, carbomers, carboxymethylcellulose sodium, carrageenan, cellulose acetate phthalate, chitosan, copovidone, dextrate, dextrin, dextrose, ethylcellulose, gelatin, guar gum, hydroxyethyl cellulose, hydroxyethylmethyl cellulose, hydroxypropyl cellulose, hydroxypropyl starch, hypromellose, methylcellulose, poloxamer, polydextrose, polyethylene oxide, povidone, sodium alginate, sucrose, starch, pregelatinized starch and maltodextrin.

In one embodiment, the inner matrix further comprises a lubricant, such as a lubricant selected from the group consisting of calcium stearate, glycerin monostearate, glyceryl behenate, glyceryl palmitostearate, hydrogenated castor oil, hydrogenated vegetable oil, magnesium lauryl sulfate, magnesium stearate, medium chain triglyceride, palmitic acid, polyethylene glycol, sodium lauryl sulfate, stearic acid, talc, silica and zinc stearate.

Any other excipients suitable for the purpose of the present invention and known to the skilled person are considered encompassed by the present invention.

Different grades of HPMC have different characteristics with respect to e.g. viscosity. Thus, different HPMCs will have different impacts on the release rates of the embedded API. Also, the amount of HPMC in the formulation, the hardness or degree of compression of the formulation into a tablet, as well as any potential coatings, will potentially impact the release rates of the API. The release rates may be determined by evaluating the dissolution profiles of the produced batches. In vitro drug dissolution data generated from dissolution testing experiments can be related to in vivo pharmacokinetic data by means of in vitro-in vivo correlations (IVIVC).

In one embodiment, the inner matrix comprises one or more excipients selected from the group consisting of hydroxypropylmethylcellulose (HPMC), starch, ethylcellulose (EC), microcrystalline cellulose (MCC), silica, magnesium stearate and stearic acid. In one embodiment, the inner matrix comprises at least one HPMC.

5

Chemically HPMC is mixed alkyl-hydroxyalkyl cellulose ether containing methoxyl and hydroxypropyl groups. HPMC is manufactured by the Dow Chemical Company under the trademark of Methocel™. Methocel™ used for ER matrix applications utilizes two types of chemical substituent groups signified by either 'E' or 'K' designations.

10

Methocel™ polymers are also graded based on their viscosity (in cps) of a 2% weight/volume aqueous solution at 20°C. Typical HPMC grades utilized for ER formulations range in viscosity from 50 to 100,000 cps at 20°C and include Methocel™ E50 Premium LV, K100 Premium LV CR, K4M Premium CR, K15M Premium CR, K100M Premium CR, E4M Premium CR and E10M Premium CR.

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In one embodiment the HPMC is a HPMC having a grade providing for a viscosity of 50 to 100,000 cps at 20°C. In one embodiment the HPMC is a high-viscosity grade HPMC or an ultra-high-viscosity grade HPMC. In one embodiment the HPMC is a HPMC allowing (or providing) for extended release.

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In one embodiment the HPMC is selected from the group consisting of Methocel™ E50 Premium LV, K100 Premium LV CR, K4M Premium CR, K15M Premium CR, K100M Premium CR, E4M Premium CR, E10M Premium CR, K200M, E5 and E50.

25

The release-controlling excipient, such as HPMC, of the inner matrix is in one embodiment present in an amount of 20 to 50% w/w, such as 20 to 25% w/w, for example 25 to 30% w/w, such as 30 to 35% w/w, for example 35 to 40% w/w, such as 40 to 45% w/w, for example 45 to 50% w/w. In a particular embodiment, the release-controlling excipient such as HPMC is present in an amount of about 30% w/w, for

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example 35% w/w, such as about 40% w/w.

In one embodiment, the matrix comprises two or more release-controlling excipients, such as three or more release-controlling excipients.

In one embodiment, the inner matrix comprises one or more different types (viscosity-grades) of HPMC, such as 1, 2, 3, 4 or 5 types of HPMC. In one embodiment the inner matrix comprises a combination of HPMC polymers.

- 5 In one embodiment the inner matrix comprises a combination of HPMC with ionic, non-ionic and/or water-insoluble polymers.

In one embodiment the inner matrix comprises a combination of HPMC with one or more ionic polymers selected from the group consisting of sodium  
 10 carboxymethylcellulose (na CMC), sodium alginate, polymers of acrylic acid or carbomers (carbopol™ 934, 940, 974P NF), enteric polymers such as polyvinyl acetate phthalate (PVAP), methacrylic acid copolymers (Eudragit L100 L 30D 55, S and FS 30 D), hypromellose acetate succinate (AQOAT™ HPMCAS) and xanthan gum.

- 15 In one embodiment the inner matrix comprises a combination of HPMC with one or more non-ionic polymers selected from the group consisting of HPC (hydroxypropyl cellulose) and PEO (POLYOX, Dow Chemical Company) in various molecular weight grades (from 100,000 to 7,000,000 da).

- 20 In one embodiment the inner matrix comprises a combination of HPMC with one or more water-insoluble polymers selected from the group consisting of ethylcellulose (e.g. ETHOCEL or Surrelease), cellulose acetate, methacrylic acid copolymers (e.g. Eudragit NE 30D), ammonio-methacrylate copolymers (e.g. Eudragit RL 100 or PO RS100) and polyvinyl acetate.

25 In one particular embodiment, HPMC is mixed with microcrystalline cellulose (MCC) to achieve a MCC/HPMC matrix. The second excipient, such as MCC, is in one embodiment present in an amount of 10 to 50% w/w, such as 10 to 15% w/w, for example 15 to 20% w/w, such as 20 to 25% w/w, for example 25 to 30% w/w, such as  
 30 30 to 35% w/w, for example 35 to 40% w/w, such as 40 to 45% w/w, for example 45 to 50% w/w MCC. The MCC is in a particular embodiment Avicel™ PH 101 or Avicel™ PH 102.

35 Microcrystalline cellulose is commercially available in different particle sizes and moisture grades which have different properties and applications. Avicel™ PH 101 has a

nominal mean particle size of 50 microns while Avicel™ PH 102 has a nominal mean particle size of 100 microns. Both have a moisture content of <=5%

5 In one embodiment, the inner matrix further comprises starch, such as comprises starch in an amount of 5 to 30% w/w, such as 5 to 10 % w/w, for example 10 to 15 % w/w, such as 15 to 20 % w/w, for example 20 to 25 % w/w, such as 25 to 30 % w/w starch. In a particular embodiment, starch is present in an amount of about 5% w/w, for example 10% w/w, such as about 15% w/w, for example 20% w/w. The starch is in a particular embodiment StarCap™ 1500.

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In one embodiment, the inner matrix further comprises ethylcellulose (EC), such as comprises EC in an amount of 5 to 30% w/w, such as 5 to 10 % w/w, for example 10 to 15 % w/w, such as 15 to 20 % w/w, for example 20 to 25 % w/w, such as 25 to 30 % w/w EC. In a particular embodiment, EC is present in an amount of about 5% w/w, for example 10% w/w, such as about 15% w/w, for example 20% w/w. The EC is in a particular embodiment Ethocel Standard 7 Premium.

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In one embodiment, the inner matrix further comprises silica, such as colloidal silica, wherein the silica in one embodiment is present in an amount of 0.05 to 1 % w/w, such as 0.05 to 0.1, for example 0.1 to 0.2, such as 0.2 to 0.3, for example 0.3 to 0.4, such as 0.4 to 0.5, for example 0.5 to 0.6, such as 0.6 to 0.7, for example 0.7 to 0.8, such as 0.8 to 0.9, for example 0.9 to 1.0% w/w. In a particular embodiment, silica is present in an amount of about 0.2% w/w.

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25 In one embodiment, the inner matrix further comprises magnesium stearate, wherein the magnesium stearate in one embodiment is present in an amount of 0.1 to 5 % w/w, such as 0.1 to 0.5, for example 0.5 to 1.0, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5 % w/w. In a particular embodiment, magnesium stearate is present in an amount of about 1% w/w. The magnesium stearate is in a particular embodiment Ligamed™ MF-2-V.

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In one embodiment, the inner matrix further comprises stearic acid, wherein the stearic acid in one embodiment is present in an amount of from 0.1 to 10 % w/w, such as 0.1 to 0.5, for example 0.5 to 1.0, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5, such as 5 to 6, for example 6 to 7, such as 7 to 8, for example 8 to 9,

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such as 9 to 10 % w/w. In a particular embodiment, stearic acid is present in an amount of about 2% w/w.

5 In one embodiment the inner matrix is compressed to form a tablet with hardness of 10 to 50kp (kilopond), such as 10 to 15kp, for example 15 to 20kp, such as 20 to 25kp, for example 25 to 30kp, such as 30 to 35kp, for example 35 to 40kp, such as 40 to 50kp.

10 In one embodiment the inner matrix is compressed to form a tablet with a hardness of 15 to 80N (Newton), such as 15 to 20N, for example 20 to 25N, such as 25 to 30N, for example 30 to 35N, such as 35 to 40N, for example 40 to 45N, such as 45 to 50N, for example 50 to 55, such as 55 to 60N, for example 60 to 70N, such as 70 to 80N.

#### *Coated tablet*

15 In one embodiment the pharmaceutical formulation according to the invention comprises a matrix constituent, such as an inner matrix comprising the API, and an outer coating. In one embodiment the outer coating does not comprise the active pharmaceutical ingredient.

20 In one embodiment the pharmaceutical formulation is a coated tablet, a coated mini-tablet or a coated micro-tablet.

The outer coating preferably aids in the extended release of the API comprised in the inner matrix. In one embodiment the outer coating is release-retardant.

25 When reference is made to 'outer coating' this may apply to one or more individual layers of the outer coating. In one embodiment the outer coating comprises one or more individual layers of coating.

30 In one embodiment the outer coating comprises one or more excipients. In one embodiment the outer coating comprises aqueous based ethylcellulose (EC) dispersion, such as Surrelease™. In one embodiment the outer coating comprises solvent based EC. In one embodiment the outer coating comprises aqueous based polymethacrylate based dispersion, such as Eudragit NE30D™. In one embodiment the outer coating comprises a film-forming excipient.

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In one embodiment the formulation is coated until a certain gain in weight (w/w) is achieved. In one embodiment the formulation is coated to a 5% w/w weight gain, such as a 10% w/w weight gain, for example a 15% w/w weight gain, such as a 20% w/w weight gain, for example a 25% w/w weight gain, such as a 30% w/w weight gain, for example a 35% w/w weight gain, such as a 40% w/w weight gain. In one embodiment the formulation is coated to a weight gain of 5 to 40 % w/w, such as 10 to 15 % w/w, for example 15 to 20 % w/w, such as 20 to 25 % w/w, for example 25 to 30 % w/w, such as 30 to 35 % w/w, for example 35 to 40 % w/w.

10 In one embodiment there is provided a pharmaceutical formulation comprising

- a. an inner matrix comprising an active pharmaceutical ingredient (API) selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, wherein said matrix comprises at least one release-controlling excipient and optionally one or more additional excipients, and
- 15 b. optionally an outer coating, wherein said formulation provides for extended release of said active pharmaceutical ingredient.

20 In one embodiment the inner matrix comprises 5 to 40% w/w of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, such as 5 to 10, for example 10 to 15, such as 15 to 20, for example 20 to 25, such as 25 to 30, for example 30 to 35, such as 35 to 40% w/w.

25 In one embodiment the outer coating further comprises an outer seal coating. The outer seal coating is applied as the outermost layer.

#### *Oral dosage form – Coated sphere*

The pharmaceutical formulation according to the invention in one embodiment comprises a matrix constituent, such as an inner matrix or sphere substrate, and an outer coating comprising one or more individual layers.

In one embodiment the present formulation is a coated sphere, wherein said coated sphere comprises a sphere substrate and an outer coating comprising one or more individual layers.

The outer coating of the coated sphere in one embodiment comprises one or more individual layers, such as two or more layers, such as three or more layers, such as four or more layers, such as five or more layers. In one embodiment the outer coating comprises 1 to 2, such as 2 to 3, for example 3 to 4, such as 4 to 5, for example 5 to 6 layers.

In one embodiment the inner matrix or sphere substrate does not comprise the active pharmaceutical ingredient. In one embodiment the outer coating comprises the active pharmaceutical ingredient. In one embodiment the innermost layer of the outer coating comprising two or more layers comprises the active pharmaceutical ingredient.

In one embodiment the API is deposited or coated on the surface of said inner matrix or sphere substrate to provide a drug layer, and said API-coated sphere is further coated with one or more additional layers.

In one embodiment the formulation of the invention comprises (from the inside and out): 1) a sphere substrate (or multiparticulate core), 2) a drug layer comprising the active pharmaceutical ingredient, 3) optionally a seal coat, 4) a controlled release coat, and 5) optionally a film coat. This is illustrated in figure 13.

In one embodiment the coated sphere comprises: 1) a sphere substrate, 2) a drug layer to 1 to 10% w/w weight gain, 3) optionally a seal coat to 0.1 to 5% w/w weight gain, 4) a controlled release coat to 5 to 20 % w/w weight gain, and 5) optionally a film coat to 1 to 10% w/w weight gain.

In one embodiment the drug layer is applied to 1 to 10% w/w weight gain, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5, such as 5 to 6, for example 6 to 7, such as 7 to 8, for example 8 to 9, such as 9 to 10% w/w weight gain. In one embodiment the drug layer is applied to about 1% w/w weight gain, such as 2, for example 3, such as 4, for example 5, such as 6, for example 7, such as 8, for example 9, such as about 10% w/w weight gain.

In one embodiment the seal coat is applied to 0.1 to 5% w/w weight gain, such as 0.1 to 0.5, for example 0.5 to 1, such as 1 to 2, for example 2 to 3, such as 3 to 4, for

example 4 to 5% w/w weight gain. In one embodiment the seal coat is applied to about 0.1% w/w weight gain, such as 0.5, for example 1, such as 2, for example 3, such as 4, for example 5% w/w weight gain.

5 In one embodiment the controlled release coat is applied to 5 to 20% w/w weight gain, such as 5 to 6, for example 6 to 7, such as 7 to 8, for example 8 to 9, such as 9 to 10, for example 10 to 11, such as 11 to 12, for example 12 to 13, such as 13 to 14, for example 14 to 15, such as 15 to 16, for example 16 to 17, such as 17 to 18, for example 18 to 19, such as 19 to 20% w/w weight gain. In one embodiment the  
10 controlled release coat is applied to about 5% w/w weight gain, such as 6, for example 7, such as 8, for example 9, such as 10, for example 11, such as 12, for example 13, such as about 14, for example 15, such as 16, for example 17, such as 18, for example 19, such as about 20% w/w weight gain.

15 In one embodiment the film coat is applied to 1 to 10% w/w weight gain, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5, such as 5 to 6, for example 6 to 7, such as 7 to 8, for example 8 to 9, such as 9 to 10% w/w weight gain. In one embodiment the film coat is applied to about 1% w/w weight gain, such as 2, for example 3, such as 4, for example 5, such as 6, for example 7, such as 8, for example  
20 9, such as about 10% w/w weight gain.

In one embodiment the coated sphere comprises: 1) a sphere substrate, 2) a drug layer to 4% w/w weight gain, 3) a seal coat to 1% w/w weight gain, 4) a controlled release coat to 5 to 20% w/w weight gain, and 5) a film coat to 3 to 5% w/w weight  
25 gain.

In one embodiment the sphere substrate comprises or consists of sugar, such as a soluble sugar sphere, e.g. Suglets TM.

In one embodiment the sphere substrate comprises or consists of an MCC sphere,  
30 such as an insoluble microcrystalline cellulose sphere, e.g. Vivapur TM.

In one embodiment the sugar spheres are 1000/1180  $\mu\text{m}$  in size.

In one embodiment the MCC spheres are 710-1000  $\mu\text{m}$  in size.

In one embodiment the drug layer comprises the API and an excipient, such as HPMC. The HPMC may be any grade HPMC as appropriate, such as those detailed herein elsewhere. In one embodiment the HPMC in the drug layer is Methocel™ E6.

5 In one embodiment the seal coat and/or the film coat is a PVA-based film coat, such as Opadry™ 200 white.

In one embodiment the controlled release coat comprises or consists of aqueous or non-aqueous based ethyl cellulose (EC), such as Surrelease E-7-19040™. In another  
10 embodiment the controlled release coat comprises or consists of aqueous based polyacrylate based dispersion, such as Eudragit E30D™.

In one embodiment the controlled release coat is applied to a 5 to 30 % w/w weight gain, such as 5 to 10, for example 10 to 15, such as 15 to 20, for example 20 to 25,  
15 such as 25 to 30% w/w weight gain. In one embodiment the controlled release coat is applied to about 5 % w/w weight gain, such as about 10 % w/w weight gain, for example about 15 % w/w weight gain, such as about 20 % w/w weight gain, for example about 25 % w/w weight gain, such as about 30 % w/w weight gain.

20 *Extended-release granules (hot melt extrusion granules)*

In one embodiment there is provided a pharmaceutical formulation comprising

- an active pharmaceutical ingredient (API) selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, and
- 25 - a release-controlling excipient,

wherein said formulation provides for extended release of said active pharmaceutical ingredient,

wherein said formulation is in the form of extended-release granules.

30 In one embodiment the pharmaceutical formulation of the present invention are extended-release granules comprising N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, and a release-controlling excipient.

In one embodiment said extended-release granules are produced by hot melt extrusion (HME).

5 In one embodiment there is provided a pharmaceutical formulation comprising extended-release granules comprising

- an active pharmaceutical ingredient (API) selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, and
- a release-controlling excipient,

10 wherein said formulation is obtainable by hot melt extrusion.

In one embodiment the release-controlling excipient is a HME excipient (or HME polymer).

15 In one embodiment extended-release granules are produced by, or obtainable by, hot melt extrusion comprising the steps of

- a. mixing an API selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, and a HME excipient;
- 20 b. heating and extruding said API and HME excipient to provide an extrudate comprising said API and HME excipient;
- c. subjecting said extrudate to size reduction such as by milling and optionally size fractionation such as by sieving.

25 In one embodiment there is provided method for producing a pharmaceutical formulation comprising extended-release granules of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, said method comprising the steps of

- 30 i) providing an API selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, and a HME excipient, wherein said HME excipient has a melting point of 65 - 75 °C, such as about 70 °C,
- ii) mixing said API and HME excipient,
- 35 iii) subjecting the API and HME excipient to a melt temperature of 65 - 75 °C, such as 65 - 70 °C,

- iv) extruding said API and HME excipient at a melt pressure of 0 - 10 bar, such as 0-8 bar, to obtain an extrudate comprising said API and HME excipient,
- v) preferably size reducing such as by milling said extrudate comprising the API and HME excipient, and
- 5 vi) optionally size fractionating such as by sieving said size reduced extrudate.

The hot-melt extrusion (HME) technology is becoming more prominent in the pharmaceutical industry. Of particular interest is the use of HME to disperse active pharmaceutical ingredients in a matrix at the molecular level, thus forming solid  
10 solutions. The technology itself can be described as a process in which a material melts or softens under elevated temperature and pressure and is forced through an orifice by screws. Appropriate thermoplastic behaviour is a prerequisite of any polymer to be used in hot-melt extrusion. The number of such polymers approved for pharmaceutical use is limited to date.

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Polymers for HME must exhibit appropriate thermoplastic characteristics to enable the HME process, and they must be thermally stable at extrusion temperatures. The polymeric components used in the extrusion process may function as drug-release controlling excipients. In extruded drug-delivery systems, the polymer serves as a  
20 matrix. Polymers with a high solubilization capacity are particularly suitable because they can dissolve large quantities of drugs.

In one embodiment the HME excipient of the present invention is selected from the group consisting of a hot melt lipid excipient, a lipid excipient, a lipid matrix for  
25 extended release and a hot-melt coating agent for prolonged-release drug formulations.

In one embodiment the HME excipient is glycerol behenate or glycerol dibehenate. In one embodiment the HME excipient is a blend of different esters of behenic acid with  
30 glycerol. In one embodiment the HME excipient is Compritol®888 ATO. Compritol®888 and glycerol behenate has a melting point of about 70 °C.

A conventional extrusion temperature is usually 100–200 °C. However the API of the present invention, arimoclomol, is not stable at these temperatures. Thus, the HME

excipient of the present invention preferably has a melting point which allows HME while maintaining stability of arimoclomol.

5 In one embodiment the HME excipient of the present invention has a melting point of about 70 °C. In one embodiment the HME excipient of the present invention has a melting point of about 65, 66, 67, 68, 69, 70, 71, 72, 73, 74 or 75 °C. In one embodiment the HME excipient of the present invention has a melting point of 50-55 °C, such as 55-60 °C, such as 60-65 °C, such as 65-70 °C, such as 70-75 °C. In one embodiment the HME excipient of the present invention has a melting point of less than 10 80 °C, such as less than 75 °C, such as equal to or less than 70 °C.

In one embodiment the extrusion temperature or melt temperature of the hot melt extrusion process is about 50-55 °C, such as 55-60 °C, such as 60-65 °C, such as 65-15 70 °C, such as 70-75 °C. In one embodiment the extrusion temperature is 60-61 °C, such as 61-62 °C, such as 62-63 °C, such as 63-64 °C, such as 64-65 °C, such as 65-66 °C, such as 66-67 °C, such as 67-68 °C, such as 68-69 °C, such as 69-70 °C, such as 70-71 °C. In one embodiment the extrusion temperature is 67-69 °C. In one embodiment the extrusion temperature is less than 80 °C, such as less than 75 °C, such as equal to or less than 70 °C.

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The hot melt extrusion process employs pressure. In one embodiment the extrusion pressure or melt pressure is 0 - 10 bar. In one embodiment the extrusion pressure is 0-1 bar, such as 1 - 2 bar, such as 2 - 3 bar, such as 3 - 4 bar, such as 4 - 5 bar, such as 25 5 - 6 bar, such as 6 - 7 bar, such as 7 - 8 bar, such as 8 - 9 bar, such as 9 - 10 bar.

25

In one embodiment the instrument torque is 5-20 %, such as 5-6 %, 6-7 %, 7-8 %, 8-9 %, 9-10 %, 10-11 %, 11-12 %, 12-13 %, 13-14 %, 14-15 %, 15-16 %, 16-17 %, 17-18 %, 18-19 %, such as 19-20 %.

30

Strands or extrudates produced via hot melt extrusion may be milled. In one embodiment the hot melt extrudate comprising API and HME excipient is subject to a further step of size reduction such as by milling. In one embodiment the hot melt extrudate is cooled, or allowed to cool, such as to room temperature, prior to size reduction.

35

In one embodiment the size reduced or milled hot melt extrudate comprising API and HME excipient is subject to a further step of size fractionation such as by sieving. In this way powders of different particle sizes can be separated.

- 5 The steps of size reduction such as by milling and optionally size fractionation by sieving results in extended-release granules (or micro-granules).

Sieve fractions may be individually collected to obtain sieve fractions with specific particle sizes. 'Particle size' as used herein can equally refer to 'mean particle size'.

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In one embodiment the particle size of the extended-release granules is 500 - 710  $\mu\text{M}$ , 710 - 1000  $\mu\text{M}$  or more than 1000  $\mu\text{M}$ .

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In one embodiment the particle size of the extended-release granules is 500 - 750  $\mu\text{M}$ , such as 750 - 1000  $\mu\text{M}$ , such as more than 1000  $\mu\text{M}$ , such as 1000 - 1250  $\mu\text{M}$ , such as 1250 - 1500  $\mu\text{M}$ , such as 1500 - 1750  $\mu\text{M}$ , such as 1750 - 2000  $\mu\text{M}$ , such as 2000 - 2500  $\mu\text{M}$ , such as 2500 - 3000  $\mu\text{M}$ .

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In one embodiment the extended-release granules consist of the API and the HME excipient. In one embodiment the extended-release granules consist of 33 wt% API and 67 wt% HME excipient. In one embodiment the extended-release granules consist of 50 wt% API and 50 wt% HME excipient. In one embodiment the extended-release granules consist of 67 wt% API and 33 wt% HME excipient.

25

The extended-release granules support high drug content. In one embodiment the extended-release granules comprise approx. 33, 50 or 66 wt% API such as arimocloamol. In one embodiment the extended-release granules comprise 15-75 wt% API, such as 15-20, 20-25, 25-30, 30-35, 35-40, 40-45, 45-50, 50-55, 55-60, 60-65 or 65-70, 70-75 wt% API such as arimocloamol. In a particular embodiment the extended-release granules comprise 25 to 75 wt% API, such as 30 to 65 wt% API, such as 25 to 50 wt% API, such as 30 to 50 wt% API.

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In one embodiment the extended-release granules comprise 20-60 wt% API, such as 25-50 wt% API, and have a particle size of more than 710  $\mu\text{M}$ , such as more than 1000  $\mu\text{M}$ .

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In one embodiment the extended-release granules comprise about 33 wt% API, such as 25-40 wt% API, and have a particle size of more than 1000  $\mu\text{M}$ . In one embodiment the extended-release granules comprise about 33 wt% API, such as 25-40 wt% API, and have a particle size of 710 - 1000  $\mu\text{M}$ . In one embodiment the extended-release granules comprise about 33 wt% API, such as 25-40 wt% API, and have a particle size of 500 - 710  $\mu\text{M}$ .

In one embodiment the extended-release granules comprise about 50 wt% API, such as 40-55 or 40-60 wt% API, and have a particle size of more than 1000  $\mu\text{M}$ . In one embodiment the extended-release granules comprise about 50 wt% API, such as 40-55 or 40-60 wt% API, and have a particle size of 710 - 1000  $\mu\text{M}$ . In one embodiment the extended-release granules comprise about 50 wt% API, such as 40-55 or 40-60 wt% API, and have a particle size of 500 - 710  $\mu\text{M}$ .

In one embodiment the extended-release granules comprise about 66 wt% API, such as 55-70 wt% API, and have a particle size of more than 1000  $\mu\text{M}$ .

In one embodiment the extended-release granules of the present invention are contained within a capsule, such as to provide a multiple-unit oral dosage form, such as a capsule comprising extended-release granules according to the invention. In one embodiment the capsule comprises or consists of gelatin. In one embodiment the capsule is a hard-shelled capsule, such as hard-capsule gelatin. In a further embodiment the capsule further comprises an outer coating.

In one embodiment the extended-release granules of the present invention are contained within a pouch or sachet.

Extended-release granules are readily mixable in liquids or in food stuffs for oral ingestion or by feeding tube.

In one embodiment the extended-release granules of the present invention are compressed to form a tablet, mini-tablet or micro-tablet.

Active pharmaceutical ingredient

The active pharmaceutical ingredient (API) comprised in the present formulations is selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride (arimoclomol), its stereoisomers and the acid addition salts thereof.

5 Arimoclomol is further described in e.g. WO 00/50403.

It is an aspect of the invention to provide a formulation comprising N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride (arimoclomol), its optically active (+) or (-) enantiomer, a mixture of the enantiomers of any ratio, and the  
10 racemic compound, furthermore, the acid addition salts formed from any of the above compounds with mineral or organic acids constitute objects of the present invention. All possible geometrical isomer forms of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride belong to the scope of the invention. The term "the stereoisomers of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-  
15 carboximidoyl chloride" refers to all possible optical and geometrical isomers of the compound.

If desired, the N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride or one of its optically active enantiomers can be transformed into an acid  
20 addition salt with a mineral or organic acid, by known methods.

In one embodiment the active pharmaceutical ingredient is the racemate of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride.

25 In one embodiment the active pharmaceutical ingredient is an optically active stereoisomer of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride.

In one embodiment the active pharmaceutical ingredient is an enantiomer of N-[2-  
30 hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride.

In one embodiment the active pharmaceutical ingredient is selected from the group consisting of (+)-R-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-  
35 carboximidoyl chloride and (-)-(S)-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride.

In one embodiment the active pharmaceutical ingredient is an acid addition salt of N-[2-hydroxy-3-(1-piperidiny)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride.

5 In one embodiment the active pharmaceutical ingredient is selected from the group consisting of N-[2-hydroxy-3-(1-piperidiny)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride citrate, and N-[2-hydroxy-3-(1-piperidiny)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride maleate.

10 In one embodiment the active pharmaceutical ingredient is selected from the group consisting of (+)-R-N-[2-hydroxy-3-(1-piperidiny)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride citrate; (-)-S-N-[2-hydroxy-3-(1-piperidiny)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride citrate; (+)-R-N-[2-hydroxy-3-(1-piperidiny)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride maleate; and (-)-S-N-[2-hydroxy-3-(1-piperidiny)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride maleate.

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#### Administration and dosage

According to the present invention, the active pharmaceutical ingredient (API) is administered to individuals in need of treatment in pharmaceutically effective doses. A therapeutically effective amount of an API according to the present invention is an amount sufficient to cure, prevent, reduce the risk of, alleviate or partially arrest the clinical manifestations of a given disease or condition and its complications. The amount that is effective for a particular therapeutic purpose will depend on the severity and the sort of the disease as well as on the weight and general state of the subject.

25 The pharmaceutical formulation according to the present invention is in one embodiment administered 1 to 3 times per day, such as once a day, such as twice per day, for example 3 times per day. Preferably, the pharmaceutical formulation is administered once a day, or twice a day.

30 Administration in one embodiment occurs for a limited time, such as 1 or 2 days to 7 days, for example 7 days to 14 days, such as 14 days to a month, for example from a month to several months (2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12 months); or administration is in one embodiment chronic, the treatment may be chronic from the onset of diagnosis, such as throughout the lifetime of the individual or as long as the individual will benefit

from administration i.e. when the disease is present or while having an increased risk of developing a disease.

5 The administration of the pharmaceutical formulation according to the present invention is in one embodiment administered to an individual at various time points of treatment. The treatment may be done over one continued period, or in intervals with periods in between wherein the administration is stopped, decreased or altered. Such treatment periods or non-treatment periods may vary in length, and is in one embodiment 1 day to 60 days, such as 1 to 3 days, 3 to 6 days, 6 to 8 days, 8 to 14 days, 14 to 21 days, 10 21 to 30 days, 30 to 42 days, 42 to 49 days or 49 to 60 days.

The pharmaceutical composition according to the present invention in one embodiment comprises the API in an amount of 0.1 to 100 mg per dosage; such as about 0.1, 0.5, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 15, 20, 25, 50 or 100 mg of API per dosage. Dosage may 15 refer to dosage form, tablet or capsule.

In a further embodiment, the API is present in the formulation in an amount of 0.1 to 0.5 mg per dosage, such as 0.5 to 1 mg, for example 1 to 2 mg, such as 2 to 3 mg, for example 3 to 4 mg, such as 4 to 5 mg, for example 5 to 7.5 mg, such as 7.5 to 10 mg, 20 for example 10 to 15 mg, such as 15 to 20 mg, for example 20 to 30 mg, such as 30 to 40 mg, for example 40 to 50 mg, such as 50 to 60 mg per dosage, for example 60 to 70 mg, such as 70 to 80 mg, for example 80 to 90 mg, such as 90 to 100 mg API per dosage.

25 In a particular embodiment, the amount of API per dosage is about 10 mg, such as about 15 mg, such as about 20 mg per dosage.

In a further embodiment, the API is present in one dosage form or formulation unit – such as individual tablet and spheres, or a collection of tablets or sphere, or a 30 composition of HME granules, in a total amount of 5-1000 mg per dosage, such as 5-10, 10-25, 25-50, 50-75, 75-100, 100-150, 150-200, 200-250, 250-300, 300-400, 400-500, 500-600, 600-700, 700-800, 800-900, 900-1000 mg API per dosage.

The target dosage for the API is in one embodiment within a range of 0.1 to 100 mg/kg 35 bodyweight, such as 0.1 to 0.5 mg/kg, for example 0.5 to 1.0 mg/kg, such as 1 to 2

mg/kg, for example 2 to 5 mg/kg, such as 5 to 10 mg/kg, for example 10 to 15 mg/kg, such as 15 to 20 mg/kg, for example 20 to 30 mg/kg, such as 30 to 40 mg/kg, for example 40 to 50 mg/kg, such as 50 to 75 mg/kg, for example 75 to 100 mg/kg bodyweight.

5

In a particular embodiment the dose range is about 15 to 50 mg, and the target dose is about 1 mg/kg.

#### Target population

10 The pharmaceutical formulation according to the present invention can be administered to any individual in need of treatment. An individual in need of treatment is any individual that will, or is likely to, benefit from treatment with the active pharmaceutical ingredient according to the present invention.

15 It is also an aspect of the present invention to provide a pharmaceutical formulation comprising an active pharmaceutical ingredient selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, wherein said formulation provides for extended release of said active pharmaceutical ingredient, for administration to an individual selected  
20 from the group consisting of paediatric patients; patients presenting with increased serum creatinine; and patients under treatment with an active pharmaceutical ingredient different from the active pharmaceutical ingredient according to the present invention

25 In one embodiment a paediatric patient comprises infants, children, and adolescents ranging from birth up to 18 years of age. In one embodiment a paediatric patient is 0 to 1 years, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5, such as 5 to 6, for example 6 to 7, such as 7 to 8, for example 8 to 9, such as 9 to 10, for example 9 to 10, such as 10 to 11, for example 11 to 12, such as 12 to 13, for example  
30 13 to 14, such as 14 to 15, for example 15 to 16, such as 16 to 17, for example 17 to 18 years of age. In a particular embodiment a paediatric patient according to the present invention is 5 to 15 years of age.

35 In one embodiment a patient presenting with increased serum creatinine according to the present invention is a patient having increased basal levels of serum creatinine,

such as increased levels as compared to the levels that would be present in the serum in the same patient had that patient not suffered from the condition that causes the creatinine levels to rise. Thus an increased creatinine level in one patient may correspond to a serum concentration of creatinine that in another individual is  
5 considered 'normal', 'not increased' or that individual's basal level.

Increased serum creatinine can be a marker of disease as its levels are frequently correlated with disease states. Thus, a patient having one or more medical conditions prior to receiving treatment with the pharmaceutical formulation of the present invention  
10 for a given further condition could benefit from the present invention.

In one embodiment a patient presenting with increased serum creatinine is a patient with kidney disease (nephropathy) including non-inflammatory nephropathy (nephrosis) and inflammatory nephropathy (nephritis); and/or a patient with decreased renal  
15 function; including the stages renal insufficiency, renal failure and uremia.

In one embodiment a patient with kidney disease is a patient having a condition selected from the group consisting of IgA nephropathy (include deposition of the IgA antibodies in the glomerulus), focal segmental glomerulosclerosis, drug and toxin-  
20 induced chronic tubulointerstitial nephritis (e.g. analgesics, chemotherapy agents), xanthine oxidase deficiency, polycystic kidney disease, acute kidney injury (AKI), chronic kidney disease (CKD), glomerulonephritis, renal artery stenosis, ischemic nephropathy, hemolytic-uremic syndrome, vasculitis, obstructive kidney disease (kidney stones and disease of the prostate), long-term exposure to lead or its salts;  
25 nephropathy caused by chronic conditions including systemic lupus erythematosus, diabetes mellitus and hypertension, which lead to lupus nephritis, diabetic nephropathy and hypertensive nephropathy, respectively; and chronic kidney disease of unknown origin (CKDu) such as Mesoamerican Nephropathy (MeN; aka. 'creatinina').

30 In one embodiment a patient presenting with increased serum creatinine is a patient with diabetes mellitus, including diabetes mellitus type I and diabetes mellitus type II.

In one embodiment a patient presenting with increased serum creatinine according to the present invention is a patient with hypertension, such as a hypertensive patient  
35 having a blood pressure of at or above 140/90 mmHg.

In one embodiment a patient under treatment with an active pharmaceutical ingredient different from the active pharmaceutical ingredient according to the present invention is a patient that receives one or more further active pharmaceutical ingredients for the treatment or management of a given condition. Said given condition can be a condition that is different from the condition that the pharmaceutical formulation of the present invention is effective in.

In one embodiment the at least two or more active pharmaceutical ingredients that a patient under treatment with an active pharmaceutical ingredient different from the active pharmaceutical ingredient according to the present invention comprises i) N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, and ii) a compound that interacts with N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof OR a compound that increases serum creatinine.

In one embodiment the pharmaceutical formulation of the present invention avoids or reduces the arimoclomol-induced increase in serum creatinine and thus reduces the risk of contraindications in patients who receive additional medications.

#### Medical use

It is an aspect to provide a pharmaceutical formulation according to the present invention for use as a medicament.

It is an aspect of the present invention to provide a pharmaceutical formulation according to the present invention for use in a method of treating paediatric patients, patients presenting with increased serum creatinine; and patients under treatment with an active pharmaceutical ingredient different from the active pharmaceutical ingredient according to the present invention.

It is an aspect of the present invention to provide use of a pharmaceutical formulation according to the present invention for the manufacture of a medicament for treatment of paediatric patients, patients presenting with increased serum creatinine; and patients

under treatment with an active pharmaceutical ingredient different from the active pharmaceutical ingredient according to the present invention.

5 It is an aspect of the present invention to provide a method for treating paediatric patients, patients presenting with increased serum creatinine; and patients under treatment with an active pharmaceutical ingredient different from the active pharmaceutical ingredient according to the present invention, said method comprising the step of administering a pharmaceutical formulation according to the present invention.

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It is an aspect of the present invention to provide a pharmaceutical formulation according to the present invention for use in a method of treating a paediatric disease.

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It is an aspect of the present invention to provide a pharmaceutical formulation according to the present invention for use in a method of treating a lysosomal storage disease (LSD).

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It is an aspect of the present invention to provide the use of a pharmaceutical formulation according to the present invention for the manufacture of a medicament for treating a lysosomal storage disease (LSD).

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It is an aspect of the present invention to provide a method of treating a lysosomal storage disease (LSD) comprising administering a patient in need thereof a pharmaceutical formulation according to the present invention.

30

Lysosomal storage diseases are a group of approximately 40 rare inherited metabolic disorders that result from defects in lysosomal function as a consequence of deficiency of a single enzyme required for the metabolism of lipids, glycoproteins or mucopolysaccharides. Although each disorder results from different gene mutations that translate into a deficiency in enzyme activity, they all share a common biochemical characteristic – all lysosomal disorders originate from an abnormal accumulation of substances inside the lysosome.

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It is an aspect of the present invention to provide a pharmaceutical formulation according to the present invention for use in a method of treating a lysosomal storage

disease selected from the group consisting of lipid storage disorders (or lipidosis) including sphingolipidoses, gangliosidoses and leukodystrophies; mucopolysaccharidoses, glycoprotein storage disorders (or glycoproteinosis) and mucolipidoses.

5

In one embodiment the lysosomal storage disorder is selected from the group consisting of Niemann-Pick disease, Farber disease, Krabbe disease, Fabry disease, Gaucher disease, Sialidosis (Mucolipidosis type I), Metachromatic leukodystrophy (late infantile, juvenile, and adult forms) and saposin-deficiency.

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In one embodiment the Niemann-Pick disease is selected from the group consisting of Niemann-Pick disease type A, Niemann-Pick disease type B, Niemann-Pick disease type C and Niemann-Pick disease type D.

15

In one embodiment the Gaucher disease is selected from the group consisting of Gaucher disease type I (nonneuropathic type), type II (acute infantile neuropathic Gaucher's disease) and type III (chronic neuropathic form).

20

It is an aspect of the present invention to provide a pharmaceutical formulation according to the present invention for use in a method of treating amyotrophic lateral sclerosis (ALS).

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It is an aspect of the present invention to provide the use of a pharmaceutical formulation according to the present invention for the manufacture of a medicament for treating amyotrophic lateral sclerosis (ALS).

30

It is an aspect of the present invention to provide a method of treating amyotrophic lateral sclerosis (ALS) comprising administering a patient in need thereof a pharmaceutical formulation according to the present invention.

*Items of the invention:*

1. A pharmaceutical formulation comprising an active pharmaceutical ingredient selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, wherein said formulation comprises an inner matrix and at least one outer coating,

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wherein said formulation provides for extended release of said active pharmaceutical ingredient.

2. The pharmaceutical formulation according to any of the preceding items, wherein said formulation is a solid dosage form, such as an orally available solid dosage form.
3. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix comprises the active pharmaceutical ingredient.
4. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix is selected from the group consisting of a tablet, a mini-tablet and a micro-tablet.
5. The pharmaceutical formulation according to any of the preceding items, wherein said formulation is selected from the group consisting of a coated tablet, a coated mini-tablet and a coated micro-tablet.
6. The pharmaceutical formulation according to any of the preceding items, wherein said outer coating does not comprise the active pharmaceutical ingredient.
7. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix and/or said outer coating each comprises one or more excipients, such as one or more release-controlling excipients.
8. The pharmaceutical formulation according to any of the preceding items, wherein said release-controlling excipient is selected from the group consisting of hydroxypropylmethylcellulose (HPMC), ethylcellulose (EC), methylcellulose, hydroxypropyl cellulose, hypromellose acetate succinate, hypromellose phthalate, cellulose acetate, glycerin monostearate, glyceryl monooleate, glyceryl palmitate, glyceryl behenate, hydrogenated vegetable oil, guar gum, polyvinyl alcohol, alginates, xanthan gum, carnauba wax, yellow wax, white wax, zein, carageenan, carbomers and agar.
9. The pharmaceutical formulation according to any of the preceding items, wherein said coating comprises a film-forming excipient.
10. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix comprises at least one hydroxypropylmethylcellulose (HPMC).
11. The pharmaceutical formulation according to any of the preceding items, wherein said HPMC is a HPMC having a grade providing for a viscosity of 50 to 100,000 cps, a high-viscosity grade HPMC or an ultra-high-viscosity grade HPMC.
12. The pharmaceutical formulation according to any of the preceding items, wherein said HPMC is selected from the group consisting of Methocel E50 Premium LV,

K100 Premium LV CR, K4M Premium CR, K15M Premium CR, K100M Premium CR, E4M Premium CR, E10M Premium CR, K200M, E5 and E50.

- 5 13. The pharmaceutical formulation according to any of the preceding items, wherein said release-controlling excipient is present in an amount of 20 to 50% w/w, such as 20 to 25% w/w, for example 25 to 30% w/w, such as 30 to 35% w/w, for example 35 to 40% w/w, such as 40 to 45% w/w, for example 45 to 50% w/w.
14. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix comprises one or more ionic, non-ionic and/or water-insoluble polymers.
- 10 15. The pharmaceutical formulation according to any of the preceding items, wherein said ionic polymer is selected from the group consisting of sodium carboxymethylcellulose (na CMC), sodium alginate, polymers of acrylic acid or carbomers (carbopol 934, 940, 974P NF), enteric polymers such as polyvinyl acetate phthalate (PVAP), methacrylic acid copolymers (Eudragit L100 L 30D 55, S and FS 30 D), 15 hypromellose acetate succinate (AQOAT HPMCAS) and xanthan gum.
16. The pharmaceutical formulation according to any of the preceding items, wherein said non-ionic polymer is selected from the group consisting of HPC (hydroxypropyl cellulose) and PEO (POLYOX, Dow Chemical Company) in various molecular weight grades (from 100,000 to 7,000,000 da).
- 20 17. The pharmaceutical formulation according to any of the preceding items, wherein said water-insoluble polymer is selected from the group consisting of ethylcellulose (e.g. ETHOCEL or Surrelease), cellulose acetate, methacrylic acid copolymers (e.g. Eudragit NE 30D), ammonio-methacrylate copolymers (e.g. Eudragit RL 100 or PO RS100) and polyvinyl acetate.
- 25 18. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix comprises one or more further excipients such as one or more fillers, binders and/or lubricants.
- 30 19. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix comprises one or more fillers, such as one or more fillers selected from the group consisting of calcium carbonate, calcium phosphates, calcium sulfate, cellulose, cellulose acetate, compressible sugar, dextrate, dextrin, dextrose, ethylcellulose, fructose, isomalt, lactitol, lactose, mannitol, magnesium carbonate, magnesium oxide, maltodextrin, microcrystalline cellulose (MCC), polydextrose, sodium alginate, sorbitol, talc and xylitol.

20. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix comprises one or more binders, such as one or more binders selected from the group consisting of acacia, alginic acid, carbomers, carboxymethylcellulose sodium, carrageenan, cellulose acetate phthalate, chitosan, copovidone, dextrate, dextrin, dextrose, ethylcellulose, gelatin, guar gum, hydroxyethyl cellulose, hydroxyethylmethyl cellulose, hydroxypropyl cellulose, hydroxypropyl starch, hypromellose, methylcellulose, poloxamer, polydextrose, polyethylene oxide, povidone, sodium alginate, sucrose, starch, pregelatinized starch and maltodextrin.
21. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix comprises one or more lubricants, such as one or more lubricants selected from the group consisting of calcium stearate, glycerin monostearate, glyceryl behenate, glyceryl palmitostearate, hydrogenated castor oil, hydrogenated vegetable oil, magnesium lauryl sulfate, magnesium stearate, medium chain triglyceride, palmitic acid, polyethylene glycol, sodium lauryl sulfate, stearic acid, talc, silica and zinc stearate.
22. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix comprises one or more of the excipients hydroxypropylmethylcellulose (HPMC), starch, ethylcellulose (EC), microcrystalline cellulose (MCC), silica, magnesium stearate and stearic acid.
23. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix further comprises microcrystalline cellulose (MCC), such as Avicel PH 101 or Avicel PH 102.
24. The pharmaceutical formulation according to any of the preceding items, wherein said second excipient, such as MCC, is present in an amount of 10 to 50% w/w, such as 10 to 15% w/w, for example 15 to 20% w/w, such as 20 to 25% w/w, for example 25 to 30% w/w, such as 30 to 35% w/w, for example 35 to 40% w/w, such as 40 to 45% w/w, for example 45 to 50% w/w.
25. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix further comprises starch, such as an amount of 5 to 30% w/w, such as 5 to 10 % w/w, for example 10 to 15 % w/w, such as 15 to 20 % w/w, for example 20 to 25 % w/w, such as 25 to 30 % w/w starch.
26. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix further comprises ethylcellulose (EC), such as in an amount of 5

to 30% w/w, such as 5 to 10 % w/w, for example 10 to 15 % w/w, such as 15 to 20 % w/w, for example 20 to 25 % w/w, such as 25 to 30 % w/w.

27. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix further comprises silica, such as colloidal silica, such as in an amount of 0.05 to 1 % w/w, such as 0.05 to 0.1, for example 0.1 to 0.2, such as 0.2 to 0.3, for example 0.3 to 0.4, such as 0.4 to 0.5, for example 0.5 to 0.6, such as 0.6 to 0.7, for example 0.7 to 0.8, such as 0.8 to 0.9, for example 0.9 to 1.0% w/w.
28. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix further comprises magnesium stearate, such as in an amount of 0.1 to 5 % w/w, such as 0.1 to 0.5, for example 0.5 to 1.0, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5 % w/w.
29. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix further comprises stearic acid, such as in an amount of 0.1 to 10 % w/w, such as 0.1 to 0.5, for example 0.5 to 1.0, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5, such as 4 to 5, for example 5 to 6, such as 5 to 6, for example 6 to 7, such as 7 to 8, for example 8 to 9, such as 9 to 10 % w/w.
30. The pharmaceutical formulation according to any of the preceding items, wherein said inner matrix is compressed to form a tablet, such as a tablet with a hardness of 10 to 50kp (kilopond), such as 10 to 15kp, for example 15 to 20kp, such as 20 to 25kp, for example 25 to 30kp, such as 30 to 35kp, for example 35 to 40kp, such as 40 to 50kp.
31. The pharmaceutical formulation according to any of the preceding items, wherein said outer coating comprises one or more layers of coating.
32. The pharmaceutical formulation according to any of the preceding items, wherein said outer coating comprises one or more excipients.
33. The pharmaceutical formulation according to any of the preceding items, wherein said outer coating comprises or consists of aqueous based ethylcellulose (EC), solvent based EC or aqueous based polymethacrylate.
34. The pharmaceutical formulation according to any of the preceding items, wherein said formulation is coated to a 5% w/w weight gain, such as a 10% w/w weight gain, for example a 15% w/w weight gain, such as a 20% w/w weight gain, for example a 25% w/w weight gain, such as a 30% w/w weight gain, for example a 35% w/w weight gain, such as a 40% w/w weight gain.
35. The pharmaceutical formulation according to any of the preceding items, wherein said formulation is coated to a weight gain of 5 to 40 % w/w, such as 10 to 15 %

w/w, for example 15 to 20 % w/w, such as 20 to 25 % w/w, for example 25 to 30 % w/w, such as 30 to 35 % w/w, for example 35 to 40 % w/w.

36. The pharmaceutical formulation according to any of the preceding items, wherein said outer coating further comprises an outer seal coating.
- 5 37. The pharmaceutical formulation according to any of the preceding items, wherein said formulation comprises or consists of:
- i) an inner matrix comprising or consisting of
    - 10 a. 5-40% N-[2-hydroxy-3-(1-piperidinyloxy)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride (arimoclochol), its stereoisomers and the acid addition salts thereof, such as 5 to 10, for example 10 to 15, such as 15 to 20, for example 20 to 25, such as 25 to 30, for example 30 to 35, such as 35 to 40% w/w;
    - 15 b. 20-50% HPMC, such as 20 to 25% w/w, for example 25 to 30% w/w, such as 30 to 35% w/w, for example 35 to 40% w/w, such as 40 to 45% w/w, for example 45 to 50% w/w HPMC;
    - c. 10-50% MCC, for example 10 to 50% w/w, such as 10 to 15% w/w, for example 15 to 20% w/w, such as 20 to 25% w/w, for example 25 to 30% w/w, such as 30 to 35% w/w, for example 35 to 40% w/w, such as 40 to 45% w/w, for example 45 to 50% w/w MCC;
    - 20 d. 5-30% starch, such as 5 to 10 % w/w, for example 10 to 15 % w/w, such as 15 to 20 % w/w, for example 20 to 25 % w/w, such as 25 to 30 % w/w starch;
    - 25 e. 0.05-1% silica, such as 0.05 to 0.1, for example 0.1 to 0.2, such as 0.2 to 0.3, for example 0.3 to 0.4, such as 0.4 to 0.5, for example 0.5 to 0.6, such as 0.6 to 0.7, for example 0.7 to 0.8, such as 0.8 to 0.9, for example 0.9 to 1.0% w/w silica;
    - f. 0.1-5% magnesium stearate, such as 0.1 to 0.5, for example 0.5 to 1.0, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5 % w/w magnesium stearate;
    - 30 g. 0.1-10% stearic acid, such as 0.1 to 0.5, for example 0.5 to 1.0, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5, such as 5 to 6, for example 6 to 7, such as 7 to 8, for example 8 to 9, such as 9 to 10 % w/w stearic acid; and

- h. Optionally 5-30% (EC), such as 5 to 10 % w/w, for example 10 to 15 % w/w, such as 15 to 20 % w/w, for example 20 to 25 % w/w, such as 25 to 30 % w/w EC; and
- ii) optionally an outer coating comprising or consisting of
- 5 a. an aqueous based ethylcellulose (EC), a solvent based EC and/or an aqueous based polymethacrylate, applied to a weight gain of 5 to 40 % w/w, such as 10 to 15 % w/w, for example 15 to 20 % w/w, such as 20 to 25 % w/w, for example 25 to 30 % w/w, such as 30 to 35 % w/w, for example 35 to 40 % w/w; and
- 10 b. optionally an outer seal coating.
38. The pharmaceutical formulation according to any of the preceding items, wherein said formulation comprises or consists of:
- i) an inner matrix comprising or consisting of
- 15 a. 5-40% N-[2-hydroxy-3-(1-piperidiny)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride (arimoclomol), its stereoisomers and the acid addition salts thereof,
- b. 20-50% HPMC,
- c. 10-50% MCC,
- d. 5-30% starch,
- e. 0.05-1% silica,
- 20 f. 0.1-5% magnesium stearate, and
- g. 0.1-10% stearic acid, and
- ii) an outer coating comprising or consisting of
- a. an aqueous based ethylcellulose (EC), a solvent based EC and/or an aqueous based polymethacrylate, applied to a weight gain of 5 to 25 % w/w; and
- 25 b. optionally an outer seal coating.
39. The pharmaceutical formulation according to any of the preceding items, wherein said outer coating comprises the active pharmaceutical ingredient.
40. The pharmaceutical formulation according to any of the preceding items, wherein said formulation comprises an inner matrix or sphere substrate, and an outer
- 30 coating comprising one or more individual layers.
41. The pharmaceutical formulation according to any of the preceding items, wherein said outer coating comprising two or more layers, such as three or more layers, such as four or more layers, such as five or more layers.

42. The pharmaceutical formulation according to any of the preceding items, wherein said formulation is a coated sphere, such as a coated sphere comprising a sphere substrate and an outer coating comprising one or more individual layers.
43. The pharmaceutical formulation according to any of the preceding items, wherein  
5 the first or innermost layer of the outer coating comprises the active pharmaceutical ingredient.
44. The pharmaceutical formulation according to any of the preceding items comprising  
10 1) a sphere substrate, 2) a drug layer comprising the active pharmaceutical ingredient, 3) optionally a seal coat, 4) a controlled release coat, and 5) optionally a film coat.
45. The pharmaceutical formulation according to any of the preceding items comprising  
15 1) a sphere substrate, 2) a drug layer to 1 to 10% w/w weight gain, 3) optionally a seal coat to 0.1 to 5% w/w weight gain, 4) a controlled release coat to 5 to 20 % w/w weight gain, and 5) optionally a film coat to 1 to 10% w/w weight gain.
46. The pharmaceutical formulation according to any of the preceding items  
20 comprising 1) a sphere substrate, 2) a drug layer to 4% w/w weight gain, 3) a seal coat to 1% w/w weight gain, 4) a controlled release coat to 5 to 20% w/w weight gain, and 5) a film coat to 3 to 5% w/w weight gain.
47. The pharmaceutical formulation according to any of the preceding items, wherein  
25 said inner matrix or sphere substrate comprises or consists of sugar, such as a soluble sugar sphere.
48. The pharmaceutical formulation according to any of the preceding items, wherein  
30 said inner matrix or sphere substrate comprises or consists of microcrystalline cellulose (MCC), such as an insoluble microcrystalline cellulose sphere.
49. The pharmaceutical formulation according to any of the preceding items, wherein  
said drug layer comprises the active pharmaceutical ingredient and an excipient,  
such as HPMC.
50. The pharmaceutical formulation according to any of the preceding items, wherein  
said drug layer is applied to 1 to 10% w/w weight gain, such as 1 to 2, for example  
2 to 3, such as 3 to 4, for example 4 to 5, such as 5 to 6, for example 6 to 7, such  
as 7 to 8, for example 8 to 9, such as 9 to 10% w/w weight gain.
51. The pharmaceutical formulation according to any of the preceding items, wherein  
said controlled release coat comprises or consists of aqueous or non-aqueous  
based ethyl cellulose (EC) or an aqueous based polyacrylate based dispersion.

52. The pharmaceutical formulation according to any of the preceding items, wherein said controlled release coat is applied to a 5 to 30 % w/w weight gain, such as 5 to 10, for example 10 to 15, such as 15 to 20, for example 20 to 25, such as 25 to 30% w/w weight gain.
- 5 53. The pharmaceutical formulation according to any of the preceding items, wherein said film coat is applied to 1 to 10% w/w weight gain, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5, such as 5 to 6, for example 6 to 7, such as 7 to 8, for example 8 to 9, such as 9 to 10% w/w weight gain. In one embodiment the film coat is applied to about 1% w/w weight gain, such as 2, for example 3, such as 4, for example 5, such as 6, for example 7, such as 8, for example 9, such as about 10% w/w weight gain.
- 10 54. The pharmaceutical formulation according to any of the preceding items, wherein said seal coat is applied to 0.1 to 5% w/w weight gain, such as 0.1 to 0.5, for example 0.5 to 1, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5% w/w weight gain.
- 15 55. The pharmaceutical formulation according to any of the preceding items comprising or consisting of:
- a. a sphere substrate having 50 to 90% w/w sugar or MCC, such as 50 to 55% w/w, for example 55 to 60% w/w, such as 60 to 65% w/w, for example 65 to 70% w/w, such as 70 to 75% w/w, for example 75 to 80% w/w, such as 80 to 85% w/w, for example 85 to 90% w/w sugar or MCC;
- 20 b. a drug layer having 1 to 10% w/w N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride (arimoclomol), its stereoisomers and the acid addition salts thereof, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5, such as 5 to 6, for example 6 to 7, such as 7 to 8, for example 8 to 9, such as 9 to 10% w/w; and having 1 to 10% w/w HPMC, such as Methocel E6, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5, such as 5 to 6, for example 6 to 7, such as 7 to 8, for example 8 to 9, such as 9 to 10% w/w HPMC;
- 25 c. a seal coat having 0.1 to 5% w/w PVA-based film coat, such as 0.1 to 0.5, for example 0.5 to 1, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5% w/w;
- 30 d. a controlled release coat having 5 to 30% w/w EC or polyacrylate, such as 5 to 10, for example 10 to 15, such as 15 to 20, for example 20 to 25, such as 25 to 30% w/w EC or polyacrylate; and
- 35

- e. optionally a film coat having 1 to 10 % w/w PVA-based film coat, such as 1 to 2, for example 2 to 3, such as 3 to 4, for example 4 to 5, such as 5 to 6, for example 6 to 7, such as 7 to 8, for example 8 to 9, such as 9 to 10% w/w PVA-based film coat.
- 5 56. The pharmaceutical formulation according to any of the preceding items comprising or consisting of:
- a. a sphere substrate having 67% w/w sugar or MCC,
  - b. a drug layer having 4% w/w N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride (arimocломol), its stereoisomers and the acid addition salts thereof, and having 5% w/w HPMC, such as Methocel E6,
  - 10 c. a seal coat having 1% w/w PVA-based film coat,
  - d. a controlled release coat having 5 to 20% w/w EC or polyacrylate, and
  - e. optionally a film coat having 3% w/w PVA-based film coat.
- 15 57. The pharmaceutical formulation according to any of the preceding items, wherein said formulation is a single-unit oral dosage form.
58. The pharmaceutical formulation according to any of the preceding items, wherein said formulation is a multiple-unit oral dosage form.
59. The pharmaceutical formulation according to any of the preceding items, wherein said formulation is contained within a capsule, such as a hard shell capsule, such as a capsule comprising gelatin, such as a hard-shelled capsule further comprising an outer coating.
- 20 60. The pharmaceutical formulation according to any of the preceding items, wherein said formulation is multiple-unit oral dosage, said dosage form comprising a capsule comprising two or more formulation units of any of the preceding items.
- 25 61. The pharmaceutical formulation according to any of the preceding items, wherein said formulation unit is selected from the group consisting of a coated mini-tablet, a coated micro-tablet and a coated sphere.
62. The pharmaceutical formulation according to any of the preceding items, wherein said active pharmaceutical ingredient is the racemate of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride.
- 30 63. The pharmaceutical formulation according to any of the preceding items, wherein said active pharmaceutical ingredient is an optically active stereoisomer of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride.

64. The pharmaceutical formulation according to any of the preceding items, wherein said active pharmaceutical ingredient is an enantiomer of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride.
65. The pharmaceutical formulation according to any of the preceding items, wherein said active pharmaceutical ingredient is selected from the group consisting of (+)-R-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, and (-)-(S)-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride.
66. The pharmaceutical formulation according to any of the preceding items, wherein said active pharmaceutical ingredient is an acid addition salt of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride.
67. The pharmaceutical formulation according to any of the preceding items, wherein said active pharmaceutical ingredient is selected from the group consisting of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride citrate, and N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride maleate.
68. The pharmaceutical formulation according to any of the preceding items, wherein said active pharmaceutical ingredient is selected from the group consisting of (+)-R-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride citrate; (-)-S-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride citrate; (+)-R-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride maleate; and (-)-S-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride maleate.
69. The pharmaceutical formulation according to any of the preceding items, wherein said formulation comprises said active pharmaceutical ingredient in an amount of 0.1 mg to 100 mg, for example 0.1 to 0.5 mg, such as 0.5 to 1 mg, for example 1 to 2 mg, such as 2 to 3 mg, for example 3 to 4 mg, such as 4 to 5 mg, for example 5 to 7.5 mg, such as 7.5 to 10 mg, for example 10 to 15 mg, such as 15 to 20 mg, for example 20 to 30 mg, such as 30 to 40 mg, for example 40 to 50 mg, such as 50 to 60 mg per dosage, for example 60 to 70 mg, such as 70 to 80 mg, for example 80 to 90 mg, such as 90 to 100 mg.
70. The pharmaceutical formulation according to any of the preceding items, wherein said formulation comprises one or more further active pharmaceutical ingredients.
71. The pharmaceutical formulation according to any of the preceding items, wherein said active pharmaceutical ingredient is to be administered in a dosage of 0.1 to

- 100 mg/kg bodyweight, such as 0.1 to 0.5 mg/kg, for example 0.5 to 1.0 mg/kg, such as 1 to 2 mg/kg, for example 2 to 5 mg/kg, such as 5 to 10 mg/kg, for example 10 to 15 mg/kg, such as 15 to 20 mg/kg, for example 20 to 30 mg/kg, such as 30 to 40 mg/kg, for example 40 to 50 mg/kg, such as 50 to 75 mg/kg, for example 75 to 100 mg/kg bodyweight.
- 5
72. The pharmaceutical formulation according to any of the preceding items, wherein said formulation has a dissolution rate of 85% of the active pharmaceutical ingredient released within 3 to 5 hours (medium).
73. The pharmaceutical formulation according to any of the preceding items, wherein said formulation has a dissolution rate of 85% active pharmaceutical ingredient released after  $\geq 6$  hours (slow).
- 10
74. The pharmaceutical formulation according to any of the preceding items, wherein said formulation provides for a dissolution rate of 10 to 90% of the API released at 3 to 5 hours, such as 10 to 20, 20 to 30, 30 to 40, 40 to 50, 50 to 60, 60 to 70, 70 to 75, 75 to 80, 80 to 85 or 85 to 90% of the active pharmaceutical ingredient released within 3 to 5 hours, such as within 3 hrs, within 4 hrs, or within 5 hrs.
- 15
75. The pharmaceutical formulation according to any of the preceding items, wherein said formulation provides for a dissolution rate of 10 to 90% of the API released within 6 hours, such as 10 to 20, for example 20 to 30, such as 30 to 40, for example 40 to 50, such as 50 to 60, for example 60 to 70, such as 70 to 75, for example 75 to 80, such as 80 to 85, for example 85 to 90% of the active pharmaceutical ingredient released within  $\geq 6$  hours, such as within  $\geq 7$  hours,  $\geq 8$  hours,  $\geq 9$  hours,  $\geq 10$  hours,  $\geq 11$  hours,  $\geq 12$  hours,  $\geq 13$  hours,  $\geq 14$  hours,  $\geq 15$  hours,  $\geq 16$  hours,  $\geq 17$  hours,  $\geq 18$  hours.
- 20
76. The pharmaceutical formulation according to any of the preceding items, wherein said formulation provides for a lower C<sub>max</sub> of the active pharmaceutical ingredient as compared to an immediate-release formulation of the active pharmaceutical ingredient.
- 25
77. The pharmaceutical formulation according to any of the preceding items, wherein said formulation provides for a reduced inhibition of the OCT2 transporter by the active pharmaceutical ingredient, as compared to an immediate-release formulation of the active pharmaceutical ingredient.
- 30
78. The pharmaceutical formulation according to any of the preceding items, wherein said formulation provides for a reduced effect on serum creatinine by the active

pharmaceutical ingredient, as compared to an immediate-release formulation of the active pharmaceutical ingredient.

- 5 79. The pharmaceutical formulation according to any of the preceding items, wherein said formulation provides for a C<sub>max</sub> of less than 20 μM, such as less than 15 μM, for example less than 10 μM, such as less than 9 μM, for example less than 8 μM, such as less than 7 μM, for example less than 6 μM, such as less than 5 μM, for example less than 4 μM, such as less than 3 μM, for example less than 2 μM, such as less than 1 μM.
- 10 80. The pharmaceutical formulation according to any of the preceding items, wherein said formulation provides for a C<sub>max</sub> of 1 to 2 μM, for example 2 to 3 μM, such as 3 to 4 μM, for example 4 to 5 μM, such as 5 to 6 μM, for example 6 to 7 μM, such as 7 to 8 μM, for example 8 to 9 μM, such as 9 to 10 μM, for example 10 to 11 μM, such as 11 to 12 μM, for example 12 to 13 μM, such as 13 to 14 μM, for example 14 to 15 μM, such as 15 to 16 μM, for example 16 to 17 μM, such as 17 to 18 μM, 15 for example 18 to 19 μM, such as 19 to 20 μM.
81. The pharmaceutical formulation according to any of the preceding items, wherein said formulation is to be administered once daily.
82. The pharmaceutical formulation according to any of the preceding items, wherein said formulation is to be administered in combination with one or more further 20 active pharmaceutical ingredients, separately, sequentially or simultaneously.
83. The pharmaceutical formulation according to any of the preceding items, for administration to an individual selected from the group consisting of paediatric patients; patients presenting with increased serum creatinine; and patients under treatment with an active pharmaceutical ingredient different from the active 25 pharmaceutical ingredient according to the present invention.
84. The pharmaceutical formulation according to any of the preceding items, for administration to a patient having a disease selected from a kidney disease (nephropathy) including non-inflammatory nephropathy (nephrosis) and inflammatory nephropathy (nephritis); diabetes mellitus type I and diabetes mellitus 30 type II and hypertension.
85. The pharmaceutical formulation according to any of the preceding items for use as a medicament.
86. The pharmaceutical formulation according to any of the preceding items for use in a method of treating paediatric patients, patients presenting with increased serum 35 creatinine; and patients under treatment with an active pharmaceutical ingredient

different from the active pharmaceutical ingredient of said pharmaceutical formulation.

- 5 87. The pharmaceutical formulation according to any of the preceding items for use in a method of treating a disease selected from the group consisting of a paediatric disease; a lysosomal storage disease (LSD); a LSD selected from the group consisting of lipid storage disorders (or lipidosis) including sphingolipidoses, gangliosidoses and leukodystrophies; mucopolysaccharidoses, glycoprotein storage disorders (or glycoproteinosis) and mucopolipidoses; and amyotrophic lateral sclerosis (ALS).

10

## Examples

### **Example 1: In vitro Interaction Studies of Arimoclomol with the human OATP1B1, OATP1B3, OCT2, OAT1 and OAT3 Uptake Transporters**

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The purpose of this study was to provide data on the interaction of arimoclomol with the human SLC (uptake) transporters: OATP1B1 (OATP2, OATP-C), OATP1B3 (OATP8), OAT1, OAT3, and OCT2 (Table 1).

**Table 1. Test article (TA) and transporter assays**

Test article	Transporter	Assay	Applied concentrations (µM)
Arimoclomol	OATP1B1	Uptake transporter inhibition assay	0.3, 1, 3, 10, 30, 100, 300
	OATP1B3		
	OAT1	Uptake transporter inhibition and substrate assay	0.3, 1, 3, 10, 30, 100, 300 (inhibition); 1 and 10 (substrate)
	OAT3		
	OCT2		

10

#### Summary of the results

Arimoclomol was soluble up to 300 µM in the assay buffer used for the assays.

#### *Uptake transporter inhibition assays*

15

Arimoclomol inhibited the OCT2-mediated probe substrate accumulation at the applied concentrations (in a dose-dependent manner) with a maximum inhibition of 98.36 (Figure 5). The calculated IC<sub>50</sub> was 9.72 µM. Arimoclomol did not influence the OATP1B1-, OATP1B3-, OAT1- and OAT3-mediated probe substrate accumulation at the applied concentrations (Figure 1, Figure 2, Figure 3 and Figure 4).

20

#### *Uptake transporter substrate assays*

25

No relevant fold accumulation of arimoclomol (fold accumulations were < 2) into the cells was observed at the applied concentrations (1 and 10 µM) and time points (2 and 20 min) in the OAT1 (Figure 6), OAT3 (Figure 7) and OCT2 (Figure 8) substrate feasibility experiments. The highest fold accumulations of arimoclomol were 0.77 for OAT1 (1 µM and 2 min), 0.86 for OAT3 (1 µM, after both 2 and 20 min) and 1.28 for

OCT2 (1  $\mu$ M and 20 min; Table 6Error! Reference source not found.). The positive control experiments confirmed the function of the transporter in the applied cells.

**Table 1. Summary of the obtained results**

Transporter	IC <sub>50</sub> ( $\mu$ M)	Maximum inhibition (% of control)	Substrate
OATP1B1 UP	NA	NIO	NT
OATP1B3 UP	NA	NIO	NT
OAT1 UP	NA	NIO	NIO
OAT3 UP	NA	NIO	NIO
OCT2 UP	9.72	98.36	NIO

5 NA: Not applicable  
NIO: No interaction observed  
NT: Not tested

According to the data arimocloamol is an inhibitor of the OCT2 transporter.

10 According to the data arimocloamol is not an inhibitor of the OATP1B1, OATP1B3, OAT1 and OAT3 transporters.

According to the data arimocloamol is not a substrate of the OATP1B1, OATP1B3, OAT1, OAT3 and OCT2 transporters.

## 15 Materials and methods

### *Test articles, stock solutions, chemicals and instruments*

Test article arimocloamol 313.7799 g/mol was stored at RT. Solubility is 14 g/100 mL at 25 °C (water) and 0.4 g/100 mL at 25 °C (methanol). Stock solutions (1, 10 and 30 mM) were prepared in water. Serial dilutions (7-step, special) were prepared in DMSO, and used as the test solutions in the different assays (100-fold dilution in Uptake assays). The dilution factor in substrate experiments was 1000-fold. The solvent concentration in the assay buffer did not exceed 1.1% (v/v) in the rest of the assays.

25 Instruments used for detection include a Thermo Scientific™ Dionex™ UltiMate 3000 series UHPLC (Thermo Scientific™, San Jose, CA) with a Thermo Scientific™ TSQ Quantum™ Access Max triple quadrupole MS; a MicroBeta2™ liquid scintillation counter (Perkin Elmer™, Waltham MA) and a BMG Labtech™ FluoStar™ Omega multifunctional microplate reader (BMG Labtech™, Offenburg, Germany).

*Kinetic solubility assessment in the assay buffers*

The aqueous solubility of the TA was determined by spectrophotometric measurements in combination with optical microscopy evaluation (5 and 10 × magnification).

Colourless compounds do not absorb light in the visible range (400-700 nm), therefore, when TA solutions are measured with a spectrophotometer, background corrected absorbance values higher than blank absorbance values in this wavelength range indicate the presence of light scattering, possibly caused by precipitated particles. The timeframe of the solubility assessment covered the incubation time of the corresponding in vitro experiment.

*Experimental method for solubility testing*

A stock solution and dilution series (5-step, 2-fold dilution series) of TA were prepared in water. Stock solutions were mixed with the appropriate assay buffers in a 96-wells plate and incubated for 10 minutes at 37 °C before the solutions were evaluated at 500 nm. The measured absorbance values for buffer solutions are typically between 0.010 and 0.030. Therefore, to be considered soluble at a given concentration, the background corrected absorbance of the TA solution must be less than 0.030 absorbance units ( $\Delta A = A_{\text{solution}} - A_{\text{blank}} < 0.030$ ). The background corrected absorbance value for each solution was determined.

*Uptake transporter inhibition and substrate assays*

Uptake experiments were performed using CHO, MDCKII, or HEK293 cells stably expressing the respective uptake transporters. Parameters of the uptake transporter assays are presented in Table 3. Control cell lines, cell culturing as well as plating information are summarized in Table 4.

**Table 3. Parameters of uptake transporter assays**

<b>Transporter</b>	<b>Applying assay protocol</b>	<b>Incubation time (min)</b>	<b>Probe substrate</b>	<b>Reference inhibitor</b>
human OATP1B1	UPT-HEK293-OATP1B1-E217βG	3	E217βG (0.058 μM)	Rifampicin (50 μM)
human OATP1B3	UPT-CHO-OATP1B3-Fluo3	10	Fluo-3 (10 μM)	Fluvastatin (30 μM)
human OAT1	UPT-CHO-OAT1-Tenofovir	10	Tenofovir (5 μM)	Probenecid (200 μM)
human OAT3	UPT-MDCKII-OAT3-E3S	3	E3S (1 μM)	Probenecid (200 μM)
human OCT2	UPT-CHO-OCT2-Metf	10	Metformin (10 μM)	Verapamil (100 μM)

**Table 4. Parameters of control cell lines, cell culturing, and plating for uptake transporter assays**

Transporter	Control cell line	Cell number/well*	Culturing medium	Special treatment	Incubation prior to the assay	Buffer
human OATP1B1	Mock-transfected HEK293 FT	1×10 <sup>5</sup>	DMEM 4.5 g/L glucose	Poly-D-lysine coated plate	24 h	HK (pH 7.4)
human OATP1B3	Parental CHO-K1	1×10 <sup>5</sup>	DMEM-F12	5 mM Na <sup>+</sup> butyrate induction	24 h	HK (pH 7.4)
human OAT1	Parental CHO-K1	1×10 <sup>5</sup>	DMEM-F12	-	24 h	HK (pH 7.4)
human OAT3	Parental MDCKII	1×10 <sup>5</sup>	DMEM 4.5 g/L glucose	20 min, 5 mM glutaric acid incubation	24 h	HK (pH 7.4)
human OCT2	Parental CHO-K1	1×10 <sup>5</sup>	DMEM-F12	-	24 h	HK (pH 7.4)

\*Cell densities refer to 96-wells plate format. In case of 24-wells plates the number of cells plated was 2×10<sup>5</sup> for all transporters

5

#### *Experimental method for uptake transporter inhibition experiments*

Cells were cultured at 37 ± 1 °C in an atmosphere of 95:5 air:CO<sub>2</sub> and were plated onto standard 96-well tissue culture plates at the cell number described in table 4.

10 Before the experiment, the medium was removed and the cells were washed twice with 100 µl of HK buffer at pH 7.4 (prepared from Sigma chemicals, Sigma-Aldrich™, St Louis, MO). Uptake experiments were carried out at 37 ± 1 °C in 50 µl of HK buffer (pH 7.4) containing the probe substrate and the TA or vehicle control (water).

15 After the experiment, cells were washed twice with ice cold, 100 µl of HK buffer and lysed with 50 µl of 0.1 M NaOH (1 mM CaCl<sub>2</sub> in 5% SDS in the case of OATP1B3). Fluo-3 transport (OATP1B3) was determined by measuring fluorescence using 485 nm and 520 nm as the excitation and emission wavelengths, respectively. Radiolabelled probe substrate transport was determined by measuring an aliquot (35 µl) from each well for liquid scintillation counting.

20

Uptake of the probe substrate in control cells provided background activity values for all data points. Incubation without TA or reference inhibitor (solvent only) provided 100% activity values. A reference inhibitor served as positive control for inhibition.

*Experimental method for uptake transporter substrate experiments*

Cells were cultured at  $37 \pm 1$  °C in an atmosphere of 95:5 air:CO<sub>2</sub> as described in Table 4 and were plated onto standard 24-well tissue culture plates at  $2 \times 10^5$  cells/well. The uptake of the TA was determined using cells overexpressing the  
5 respective uptake transporter and control cells, at two incubation time points (2 and 20 min) and at two concentrations (1 and 10 μM) of TA to determine whether or not the TA was actively taken up into the cells. In order to confirm the interaction, the transporter-specific uptake of the TA was determined in the presence of a known inhibitor of the respective transporter.

10

Before the experiment, the medium was removed and the cells were washed twice with 300 μl of HK buffer (pH 7.4) (prepared from Sigma chemicals). Cellular uptake of TA into the cells was measured by adding 300 μl of HK buffer containing TA and incubating them at  $37 \pm 1$  °C. Reactions were quenched by removing the HK buffer  
15 containing the TA and the cells were washed twice with 300 μl of HK buffer. Cells were lysed by adding 300 μl of MeOH:H<sub>2</sub>O (2:1) and incubated for 20 minutes at  $4 \pm 1$  °C. The amount of TA in the cell lysate was determined by LC-MS/MS. The amount of protein in each well was quantified using the BCA kit for protein determination (Sigma-Aldrich, St Louis, MO, USA). Uptake of the probe substrate in control cells provided  
20 background activity values for all data points. Incubation without reference inhibitor (solvent only) provided 100% activity values. A reference inhibitor served as positive control for inhibition.

20

*Calculation of relative activities*

25

The amount of translocated probe substrate was determined for each well in cpm or RFU. Relative activities were calculated from the equation:

$$Activity\ \% = \frac{A - B}{C - D} \times 100$$

30

A: amount of translocated substrate in the presence of TA in transfected cells  
B: amount of translocated substrate in the presence of TA in control cells  
C: amount of translocated substrate in the presence of solvent in transfected cells  
D: amount of translocated substrate in the presence of solvent in control cells

### Calculation of fold accumulation values

The fold accumulation value was defined as the ratio of uptake of TA or probe substrate into transfected and control cells:

$$\text{Fold accumulation} = \frac{UPT_{TRP}}{UPT_{CTL}}$$

- 5 UPT<sub>TRP</sub>: accumulated amount of TA or probe substrate in transfected cells normalized by protein content [pmol/mg protein]  
 UPT<sub>CTL</sub>: accumulated amount of TA or probe substrate in control cells normalized by protein content [pmol/mg protein]
- 10 If the fold accumulation is > 2 and can be inhibited by a known inhibitor of the transporter, the TA can be considered to be a substrate of the respective transporter.

### Data processing and statistics

- 15 Microsoft Excel™ 2010 (Microsoft™ Corporation, Redmond, WA) was used for basic data processing and GraphPad™ Prism 5.0 (GraphPad™ Software Inc., San Diego, CA) was used for curve fitting and determination of reaction parameters.
- In uptake transporter inhibition assays, the IC<sub>50</sub> (μM) was calculated, where applicable. IC<sub>50</sub> was defined as the concentration of TA required to inhibit maximal activity by 50%. IC<sub>50</sub> values were derived from a four parametric logistic equation (log(inhibitor) vs. response – variable slope); the curve was fitted to the relative activity vs. TA concentration plot using non-linear regression. Top (maximal response) and Bottom (maximally inhibited response) values were not constrained to constant values of 100 and 0, respectively, unless it is noted otherwise.

## 25 Results

### Results of uptake transporter assays

- 1) Results of uptake transporter inhibition assays – see figure 1 (OATP1B1), figure 2 (OATP1B3), figure 3 (OAT1), figure 4 (OAT3), figure 5 (OCT2).
- 2) Results of uptake transporter substrate assays – see figure 6 (OAT1), figure 7 (OAT3), figure 8 (OCT2).
- 30

**Table 5. Calculated reaction parameters from uptake transporter inhibition assays**

Test article	Transporter	IC <sub>50</sub> (μM)	maximum inhibition (% of control)
Arimocloamol	OATP1B1	NA	NIO
	OATP1B3	NA	NIO

OAT1	NA	NIO
OAT3	NA	NIO
OCT2	9.72	98.36

NA: Not applicable

NIO: No interaction observed

5 **Table 6. Calculated reaction parameters from uptake transporter substrate feasibility assays with arimoclomol**

Transporter	Conditions ( $\mu\text{M}$ / min)	Fold accumulation
OAT1	1 / 2	0.77
	1 / 20	0.75
	10 / 2	0.56
	10 / 20	0.73
OAT3	1 / 2	0.86
	1 / 20	0.86
	10 / 2	0.85
	10 / 20	0.82
OCT2	1 / 2	0.95
	1 / 20	1.28
	10 / 2	0.87
	10 / 20	1.05

**Example 2: Development, manufacture, release testing and stability studies of a controlled release formulation containing Arimoclomol**

10

The aim of this study is to develop an oral dosage format for arimoclomol that is easy to swallow with acceptable organoleptic characteristics and has an extended release.

15

Alternative approaches were evaluated to provide controlled-release of the drug substance in a multi particulate format, including Mini-Tablets comprising as dissolution retardants with and without a coating, and drug loaded beads or spheres coated with a controlled release layer.

20

The drug substance (arimoclomol) is white in appearance and is light and fluffy. It exhibits poor flow properties and is 'sticky'. The bulk density of 0.214 g/cm<sup>3</sup>, and Carr's Index value of 44.4% was determined suggesting very poor flow. A tapped density of 0.386 g/cm<sup>3</sup> was determined following 250 taps.

***Mini-tablets***

A total of nine formulation blends were investigated summarised as follows:

HPMC mini-tablets: Blends 1, 2 and 3 contain various ratios of HPMC and starch in the matrix

5 HPMC/EC mini-tablets: Blends 4 and 5 contain various ratios of HPMC, starch and EC in the matrix

Waxy matrix: A total of 4 blends were prepared containing a waxy base (Glycerol Dibehenate or Glycerol Distearate at a concentration of 30%w/w or 40%w/w). Mini-Tablets were not successfully produced when employing a waxy base.

10

Table 7 - Dissolution testing throughout was carried out using these parameters:

Dissolution Apparatus	USP 2
Paddle Speed	100 rpm
Dissolution Volume	1000 mL
Dissolution Media	USP pH 6.8 phosphate buffer or 0.1 M HCl
Dissolution Temperature	37 °C
Sample Volume	5 mL

***HPMC and HPMC/EC mini-tablets***

15 HPMC was included in the Mini-Tablet matrix to investigate its ability to delay the release of the drug substance from the Mini-Tablets and therefore act as a controlled release matrix per se. All blends flowed easily into the tablet hopper and were successfully compressed into 2 mm Mini-Tablets, suggestive of suitable flow. No visible signs of capping or lamination were observed.

20

Table 8 - Mini-Tablet Weight and Hardness Determination:

Blend	HPMC / Starch Ratio	Weight (mg)	Hardness (kp)
1	35% w/w HPMC /20% w/w starch	7.68 (RSD 8.92 %)	1.85 (31.36 %)*
2	40% w/w HPMC /15% w/w starch	7.44 (RSD 16.29 %)	2.34 (49.80 %)
3	35% w/w HPMC /5% w/w starch	7.06 (RSD 9.04 %)	1.66 (55.47 %)

Table 9 - Arimoclomol Content of HPMC Mini-Tablet Matrices:

Blend	HPMC / Starch Ratio	Arimocloamol Content (% w/w)	Target content (% w/w)	% of Theoretical
1	35%w/w HPMC /20%w/w starch	16.5	18.75	88.5
2	40%w/w HPMC /15%w/w starch	16.9	18.75	90.1
3	35%w/w HPMC /5%w/w starch	15.6	18.75	83.2

Data are weight equivalent to n= 10 Mini Tabs

Table 10 - Percentage\* Arimocloamol dose dissolved:

Time (minutes)	Percentage Arimocloamol Dissolved					
	Blend					
	1	1	2	2	3	3
0	0	0	0	0	0	0
30	87.3	83.8	76.6	74.9	84.2	92.9
60	101.3	102.4	97.5	90.5	97.3	98.5
90	107.0	108.3	105.2	96.1	100.8	99.2
120	106.2	107.7	105.3	94.7	99.3	97.4
150	106.8	107.7	105.0	94.9	99.2	97.6
180	106.1	107.6	105.1	96.0	99.8	97.6
240	106.3	109.0	112.6	96.0	98.7	96.6

\*Percentage dissolved is based on the uncoated assay value for the blend and not the theoretical content of the blend.

5

There is no appreciable difference in dissolution profiles observed for HPMC Mini-Tablet blends and the HPMC matrix per se is not working as a controlled release matrix as no signs of delayed release have been observed.

10

Two blends were prepared containing 5 %w/w or 10 %w/w ethyl cellulose in Mini-Tablet matrix manipulating the concentration of starch within the matrix. Both blends flowed easily into the tablet hopper and were successfully compressed into 2 mm Mini - Tablets and no visible signs of capping or lamination were observed.

15

Table 11 - Mini-Tablet Weight and Hardness Determination of HPMC/EC blends:

Blend	Ethyl Cellulose (EC) / Starch Ratio	Weight (mg)	Hardness (kp)
4	5%w/w EC / 15%w/w starch	7.91 (RSD 6.95 %)	2.34 (51.87 %)
5	10%w/w EC / 20%w/w starch	8.01 (RSD 3.53 %)	2.02 (37.44 %)

Table 12 - Arimocloamol Content of HPMC / EC Mini-Tablet Matrices:

Blend	Ethyl Cellulose (EC) / Starch Ratio	Average Content (%w/w)	% of Theoretical
4	5%w/w EC / 15%w/w starch	18.2	97.1
5	10%w/w EC / 20%w/w starch	18.1	96.5

Data are weight equivalent to n= 10 Mini Tabs

Table 13 - Percentage Arimoclomol dose dissolved:

Time (minutes)	*Percentage Arimoclomol Dissolved			
	Blend			
	4	5	5	5
0	0	0	0	0
30	79.9	79.0	78.5	76.5
60	99.0	101.5	95.6	97.0
90	103.9	107.1	99.2	102.5
120	103.3	106.9	99.0	102.3
150	103.7	107.9	99.2	102.3
180	104.1	107.2	99.5	103.0
240	103.3	106.4	98.3	102.1

\*Percentage dissolved is based on the uncoated assay value for the blend and not the theoretical content of the blend

5 There is no appreciable difference in dissolution profiles observed for HPMC/EC Mini-Tablet blends with no appreciable change in the release profile following the inclusion of EC independent of concentration.

#### Coated HPMC and HPMC/EC mini-tablets

10 The dissolution profiles of Blend 1 to Blend 4 were shown to be comparable; therefore coating trials were initially completed on Blend 1. The following coating approaches were adopted:

Use of aqueous based EC dispersion (Surelease™) to 5%, 10% and 20% weight gain

Use of solvent based EC coating to 5%, 10% and 20% weight gain

15 Use of aqueous based Polymethacrylate based dispersion (Eudragit NE30D™) to 5%, 10% and 20% weight gain.

20 Mini-Tablets were hand-painted with the appropriate controlled release coating to the desired weight gain. A two stage approach was adopted, therefore based on the dissolution data obtained the HPMC/EC blends (Blend 1-4) were pooled and coated using aqueous based EC dispersion (Surelease™) following seal coating to 5%, 10% and 20% weight gain. Following coating, the Mini-Tablets were subject to dissolution analysis.

25 Table 14 - Percentage of Arimoclomol dose dissolved (based on uncoated Assay) with aqueous based Ethyl Cellulose coat (n=1):

Time (minutes)	Percentage Arimocloamol Dissolved		
	% w/w CR Coat		
	5	10	20
0	0	0	0
30	13.9	3.8	-4.4
60	32.4	11.7	-4.1
90	48.8	20.2	-2.3
120	60.5	28.0	0.3
150	76.8	45.0	9.6
180	84.9	60.2	21.5
240	91.2	82.4	46.0
300	95.3	93.7	62.8
360	95.2	97.9	73.6

The dissolution profiles are illustrated in figure 9.

- 5 Table 15 - Percentage of Arimocloamol dose dissolved (based on uncoated Assay) with solvent based Ethyl Cellulose coat (n=1):

Time Minutes	Percentage Arimocloamol Dissolved		
	% w/w CR Coat		
	5	10	20
0	0	0	0
30	9.0	7.2	2.5
60	21.8	17.4	8.6
90	34.0	27.5	15.6
120	46.0	36.8	23.1
150	67.1	55.3	39.1
180	82.2	69.5	54.2
240	99.6	91.3	79.0
300	105.1	102.7	91.4
360	106.5	107.9	96.9

The dissolution profiles are illustrated in figure 10.

- 10 The coating of HPMC Mini-Tablets with EC resulted in the controlled release of drug from the matrix, with greater delay observed with increased weight gain. This is independent of the composition of the coating solution/ dispersion, i.e. aqueous or solvent based. An EC coated layer to 5%w/w weight gain resulted in 13.9 % drug released after 30 minutes for aqueous based coating compared to 9% drug release for solvent based coating. Approximately 85% of drug was released at 3 hours, following coating with 5%w/w weight gain, 84.9% drug release was observed for the aqueous EC based coating compared to 82.2% for the solvent based EC coating.
- 15

- 20 A greater delay in release was observed following coating to a 10 % w/w weight gain. At 3 hours, 60.2% and 69.5% drug release was observed for the 10%w/w weight gain EC aqueous and solvent based coating respectively. Coating to 20 % w/w weight gain

5 resulted in variable data between aqueous and solvent based coating. 73.6% of drug was released after 6 hours for aqueous based EC coating, a value of 96.9 % was observed for solvent based coating. The variation in the dissolution profiles may be attributed to the variation in the integrity of the coating layer, any cracks or fractures within the layer will enable the release of the drug from the Mini-Tablet matrix.

10 The coating of Mini-Tablets with Polymethacrylate based dispersion (Eudragit NE30D) was not successful, Mini-Tablets were produced that were soft following coating and subsequently disintegrated.

15 *Coating Mini-Tablets by Automated Glatt Process.* Based on the hand-painted data and the un-coated HPMC/EC Mini-Tablet dissolution data blends Blend 4 and Blend 5 was pooled to provide sufficient Mini-Tablets to enable coating using the Mini-Glatt fitted with the micro-kit. Prior to the coating with aqueous based EC dispersion (Surelease TM) a seal coat was applied. This was to prevent the swelling of the Mini-Tablet matrix during the coating process. The seal coat (20%w/w HPMC) was coated to 5% weight gain. Following the seal coat the controlled release coat (Surelease TM) was applied to 5%, 10% and 20% weight gain. The resultant Mini-Tablets were subject to dissolution testing according to the parameters in table 7 illustrates the dissolution profile of the Mini-Tablets following seal and controlled release coat.

25 *Coated Mini-Tablet Dissolution Results.* The coating of HPMC/EC Mini-Tablets with EC resulted in the controlled release of drug from the matrix, with greater delay observed with increased weight gain. At 60 minutes 46.0% of drug was released following coating to 5%w/w weight gain compared to 27.1% and 1.1% following coating to 10% to 20% weight gain. Data are average of n = 2 analyses.

Table 16 - Percentage of Arimoclomol dose dissolved (based on uncoated Assay):

Time Minutes	Percentage Arimoclomol Dissolved					
	% w/w CR Coat					
	5	5	10	10	20	20
0	0	0	0	0	0	0
15	4.9	6.6	3.5	3	2.5	0.8
30	8.8	12.5	6.7	5.4	0.9	0.3
45	28.6	32	16.8	16.2	1.1	0.4
60	44.7	47.2	27.4	26.8	1.6	0.6
130	91.9	89.3	77.1	73.4	7.8	7.9
160	96	93.9	89.5	85.3	12.9	12.6
200	97.7	95.4	95.4	91.7	19.4	17.6
255	98.8	96	99	95.7	29.4	24.4
360	99.5	97.5	102	98.2	41.8	33.2
1380	108.2	105.5	112	107.2	51.9	60.6

Following coating to 5% weight gain, 95% of drug was released at 3 hours and is  
5 comparable to the data obtained following hand-coating. Comparable data are obtained following coating to 10% weight gain, with 93.6% of drug released at 200 minutes. Coating to 20% weight gain did not result in the total release of drug over 23 hours, with 56.3% of drug released. Although coating to 20% weight gain appears to retard the delay in drug release the individual Mini-Tablets within the dissolution bath exhibit  
10 differing behaviours. A total of 10 Mini-Tablets were analysed, for 5 of the Mini-Tablets the coating layer was penetrated by the dissolution media resulting in the HPMC matrix swelling and splitting the EC coat and releasing the drug. In contrast the coat of the remaining Mini-Tablets was not penetrated and tablet core swelling and coat rupture had not occurred and no drug release was observed. Based on the data a modified  
15 dissolution test was completed in 250 mL of dissolution media, analysing n = 1 Mini-Tablets per dissolution vessel, n=6 samples total all other dissolution parameters remained as defined in table 7.

*Results Summary – Coated Mini-tablets.* Based on the data obtained the following  
20 conclusions may be inferred for coated Mini-Tablets: The coating of Mini-Tablets using aqueous based EC dispersion (Surelease TM) resulted in the retardation of drug release, increasing with an increase coating weight gain up to 10% weight gain. The coating of Mini-Tablets using aqueous based Polymethacrylate based dispersion (Eudragit NE30D TM) was not successful following hand-painting. The coating of Mini-  
25 Tablets using a seal coat prior to aqueous based EC dispersion (SureleaseTM) resulted in the retardation of drug release, increasing with an increase coating weight gain. No visible signs of Mini-Tablet matrix swelling were observed following the coating of Mini-Tablets with a seal coat prior to coating with aqueous based EC

dispersion (Surelease TM). The mean dissolution data show uniform drug dissolution, and the profile is reliant on the integrity of the coating.

### ***Coated spheres***

5 The aim was to produce coated spheres using two different cores (sugar and micro crystalline cellulose) and different coating materials (aqueous ethylcellulose, solvent based ethylcellulose and aqueous polyacrylate dispersion) with a range of dissolution profiles. Two types of spheres were considered as a drug layering substrate; soluble sugar spheres (Suglets TM) and insoluble microcrystalline cellulose spheres (Vivapur  
10 TM).

Sugar spheres, 1000/1180  $\mu\text{m}$  in size were employed to complete the bulk of the sphere formulation development work, with the following investigations completed: Coating of sugar spheres using non aqueous and aqueous based ethyl cellulose controlled release coating (Experiment Six);

15 Coating of sugar spheres using aqueous based Polyacrylate based dispersion (Eudragit E30D TM) coating (Experiment Eight).

The sugar sphere data were assessed and based on the data microcrystalline cellulose spheres (710 - 1000  $\mu\text{m}$  size) were coated using the approach that offered controlled release. This rational was adopted since the sphere is the substrate to allow drug  
20 layering and so as such has no impact on the controlled release characteristics of the drug. Therefore, microcrystalline cellulose spheres were coated using aqueous based ethyl cellulose controlled release coating only.

The sphere coating process adopted was the same for both sphere variants and all  
25 controlled release coating solutions / dispersions. It is multistage process (cf. figure 13). The sphere composition adopted for all formulations to achieve a drug loading of 4 %w/w (10 mg per dose) is described in the table below. The controlled release coat was applied at 5 %w/w layering initially, following which a sample was removed, and coating to 20 %w/w weight gain was completed to a final product composition of 100  
30 %w/w. The final concentration in mg/unit is slightly lower as the film coat was not applied.

The following coating solutions / dispersions were prepared prior to the coating process:

Drug Layering Solution: 100 g solution was prepared containing 4% w/w drug and 5% w/w HPMC.

Seal Coat: 100 g dispersion was prepared containing between 3% w/w and 5% w/w coating solids as per manufacturer instructions.

- 5 Controlled Release Solution: 100 g solution was prepared containing 15% w/w coating solids (Surelease E-7-19040TM), by adding 60 g of SureleaseTM to 100 g with water.

Film Coat: Prepared as per seal coat.

Sphere Coating Stage	Raw Material	Concentration % w/w	Concentration mg/unit
Drug Layering	Arimoclomol HPMC [Methocel E6™]	4 % w/w 5 % w/w	10 mg / 250 mg 12.5 mg / 250 mg
Multiparticulate core	Sugar or MCC sphere	67 % w/w	167.5 mg / 250 mg
Seal Coat	PVA-based film coat [Opadry 200 white]	1 % w/w	2.5 mg / 250 mg
Controlled release coat	Ethyl cellulose / Polyacrylate [Surelease E-7-19040™]	5 %w/w 10 %w/w 20 % w/w	12.5 mg / 250 mg 25.0 mg / 250 mg 50.0 mg / 250 mg
Film Coat	PVA-based film coat [Opadry 200 white™]	3 % w/w	12.5 mg / 250 mg

10 Table 17.

Spheres were bottom spray coated using Mini-Glatt fitted with the Micro-Kit and Wurster Column using a 0.5 µm spray nozzle. The spheres were warmed for 10 minutes, prior to the addition of the drug layering solution to achieve a 14% w/w weight gain with a 50 oC – 60 oC inlet air temperature, 0.55 bar inlet air pressure, 0.72 bar atomising air pressure and coating fluid delivery rate initially 0.42 g/min increasing to 0.94 g/min once sufficient coat was applied. Following which a seal coat was applied to achieve a 1 %w/w weight gain adopting the aforementioned parameters at a comparable rate. The controlled release coat was applied to a 5 %w/w weight gain, adopting the same coating parameters, a sample of spheres were removed and additional coating solution was applied to achieve a 10% w/w and 20% w/w weight gain. The final film coat was applied to spheres with 20% w/w weight gain controlled release coat adopting the same parameters described only.

- 25 *Evaluation of Coated Beads: Ethyl Cellulose Based Approach.* A commercially available aqueous dispersion of EC (Surelease™ E-7-19040) was used for trials rather than a solvent based approach. EC was applied to achieve a weight gain range of 5 %w/w, 10 %w/w and 20 %w/w adopting the method described. This coating approach

was adopted for sugar and MCC spheres, however, coating to 20%w/w weight gain was not completed using MCC spheres due to limited availability.

5 Dissolution testing of the sugar spheres shows delayed release following the application of EC controlled release coating, with greater delay observed with increasing coating concentration (Figure 14). This was independent on the dissolution media employed. Following coating to 5%w/w weight gain after 4 hours 53.7 % of drug was released when adopting pH 6.8 buffer compared to 46.9 % released when employing 0.1 M HCl (Figure 14 and 15).

10

Table 18 - Percentage Arimocloamol Dose Dissolved (based on uncoated Assay) in pH 6.8 phosphate Buffer:

Time Minutes	Percentage Arimocloamol Dissolved % w/w CR Coat		
	5	10	20
0	0	0	0
30	30.7	12.6	16
60	34.9	11.4	9.7
120	49.4	21.9	16.4
150	54.9	29.2	15.7
180	52.3	27.4	15.2
210	53.0	29.1	16.2
240	53.8	31.3	16.1
270	54.6	33.7	17.3
300	55.3	34.1	18.6
330	55.6	37.6	20.4
360	56.3	39.2	22.2
1320	70.8	71.4	61.9
1380	69.5	70.6	63.5
1440	69.8	71.4	64.8

15 Comparable data were obtained following coating to 10 %w/w weight gain. There is no evidence of dose dumping when employing 0.1 M HCl, and based on the data obtained pH 6.8 dissolution media are to be employed for all subsequent testing. See figure 15.

20 Table 19 - Percentage Arimocloamol Dose Dissolved (based on uncoated Assay) in 0.1 M HCl:

Time Minutes	Percentage Arimocloamol Dissolved % w/w CR Coat	
	5	10
0	0	0
30	19.3	7.1
60	22.4	3.6
120	41.3	11.7
150	41.5	12.7

180	42.7	12.8
210	45.6	15.6
240	47.0	17.9
270	48.9	20.2
300	50.0	22.2
330	51.9	24.3
360	53.0	26.0
1320	67.1	56.0
1380	68.1	57.5
1440	67.7	57.6

Delayed release of drug from the MCC spheres is also observed (figure 16) with greater delay with increasing thickness of controlled release coating applied between 5 %w/w and 10 %w/w. Following coating to 5 %w/w weight gain, 56.4 % drug was released after 4 ½ hours, with 100.4 % of drug released following 23 hours. In contrast 33.5 % of drug was released after 4 ½ hours, with 82.5 % drug released observed following 23 hours (figure 15).

Table 20 - Percentage Arimocloamol Dose Dissolved (based on uncoated Assay) in pH 6.8 phosphate buffer for MCC spheres:

Time Minutes	Percentage Arimocloamol Dissolved					
	% w/w CR Coat					
	5	5	5	10	10	10
0	0	0	0	0	0	0
30	16.1	9.3	11.0	1.9	1.5	2.8
90	38.4	28.7	30.6	13.8	13.0	14.2
140	43.4	37.8	38.8	17.9	19.0	20.3
220	54.1	49.7	50.6	25.5	30.5	31.4
270	58.6	54.7	55.8	29.7	36.1	34.6
340	61.9	59.4	59.8	34.4	40.8	39.3
1380	99.0	101.3	100.8	76.6	87.5	83.5
1620	98.9	102.4	101.0	81.2	93.5	88.3

See figure 16.

Based on the data obtained there appears to be a difference in the release rates observed when comparing sugar spheres versus MCC spheres, with MCC spheres exhibiting slower release. The MCC spheres exhibit a smaller size, 710-1000 µm compared to sugar spheres, 1000-1100 µm therefore, they possess a larger surface area thus faster release would be anticipated.

Based on the data the following conclusions may be inferred for EC controlled release coating: Sugar and microcrystalline cellulose spheres were successfully loaded with drug. Coating spheres with a 5% ethyl cellulose layer resulted in 53.8 % of drug released after 4 hours for sugar spheres and 56.4% of drug release after 4 ½ hours for MCC spheres. Coating spheres with a 10% ethyl cellulose layer resulted in 31.3 % of

drug released after 4 hours for sugar spheres and 33.5 % of drug release after 4 ½ hours for MCC spheres. The data suggest a coated sphere offer a suitable controlled release approach.

- 5 *Evaluation of Coated Beads: EC/HPMC Based Approach and Poly(meth)acrylate Based Approach.* Can be evaluated as presented above for the EC based approach.

**Example 3: Extended release HME-granules of arimoclomol**

10 Evaluation of Hot Melt Extrusion (HME) for varying dissolution release rates for extended-release formulations for Arimoclomol.

15 Aim: To develop an extended release formulation of Arimoclomol in Compritol® 888 and to assess the practicalities of incorporating high contents of Arimoclomol in a Compritol® 888 matrix and producing powders of different particle sizes that may be used as either capsule or sachet fills. The investigative process will evaluate the effect of drug loading and particle size on drug dissolution profiles.

20 Arimoclomol/Compritol® 888 formulations of 33, 50 and 66 wt% Arimoclomol were successfully produced by hot melt extrusion and subjected to size reduction to produce discreet particle size distributions by sieving. Particles produced in this manner demonstrated no observable degradation.

25 Granules of the particle size ranges 710 – 500, 1000 – 710 and  $\geq 1000$   $\mu\text{m}$  were subjected to dissolution testing in pH 6.8 phosphate buffer solution and demonstrated various extended release profiles of Arimoclomol. Release rates were found to be dependent on both particle size and Arimoclomol concentration.

30 Subsequent analysis of the particles subjected to dissolution by SEM demonstrated the formation of a porous structure, consistent with the formation of a tortuous pathway release mechanism formed by the dissolution of the Arimoclomol leaving behind a water insoluble Compritol® 888 matrix.

*Production of Formulations by Hot Melt Extrusion*

35 Arimoclomol formulations of 33, 50 and 66 wt% active in Compritol® 888 (C888) were targeted for production by hot melt extrusion using a Thermo Scientific Pharma 11 co-

rotating twin screw extruder to produce solid products from Arimoclomol/C888 powder mixes.

5 The compositions for the HME formulations are detailed in Table 21 (Formulation compositions for 50 g batches of material to be extruded):

Ingredient	Supplier	Lot number	Nominal content (wt%)	Weight required (g)	Weight recorded (g)
<b>33 wt% formulation</b>					
Arimoclomol	Orphazyme	130109	33	16.5	16.5
C888	Gattefosse	145245	67	33.5	33.5
<b>50 wt% formulation</b>					
Arimoclomol	Orphazyme	130109	50	25.0	25.0
C888	Gattefosse	145245	50	25.0	25.0
<b>66 wt% formulation</b>					
Arimoclomol	Orphazyme	130109	67	33.5	33.5
C888	Gattefosse	145245	33	16.5	16.5

10 A nominal feed rate of raw material to the extruder of 1.4 - 1.6 g/min was selected. An extrusion screw speed of 100 rpm was selected based on previous findings and literature. The heating profile of the extruder was set to the configuration detailed in Table 22 (Heating configuration of the hot melt extruder for the production all extruded blends):

Die (°C)	Zone 7 (°C)	Zone 6 (°C)	Zone 5 (°C)	Zone 4 (°C)	Zone 3 (°C)	Zone 2 (°C)	Powder inlet (°C)
70	70	70	65	70	68	63	60

15 The following processing output parameters were observed for the extrusion process in all three blends:

- The melt pressure was between 0 – 8 bar
- The melt temperature was between 67 – 69 °C
- The instrument torque was between 11 – 12%

20

All extruded dosage strengths were soft/malleable directly out of the hot melt extruder die and on cooling to room temperature presented as a white, waxy and brittle solids. Strand was collected from the extruder in various strand lengths varying from between 5 to 20 cm in length.

25

Strands produced via hot melt extrusion were placed into a small hand blender and subjected to 5 x 1 second milling pulses, interspersed by 5 second cooling periods. The

ground material was then poured onto a sieve stack consisting of the following sieves: 1000  $\mu\text{m}$ , 710  $\mu\text{m}$  and 500  $\mu\text{m}$ . These were orientated in a vertical direction with the largest sieve at the top of the stack through to the smallest sieve at the bottom of the stack. A metal collection plate was placed on the bottom sieve to collect particles below 500  $\mu\text{m}$ . In orientating the sieves in the manner, the following sieve fractions were obtained: < 500  $\mu\text{m}$ , 710 - 500  $\mu\text{m}$ , 1000 - 710  $\mu\text{m}$  and  $\geq$  1000  $\mu\text{m}$ . The yields of these sieve fractions are presented below in Table 23:

Batch	Sieve fraction ( $\mu\text{m}$ )	Mass collected (g)	Mass collected (%)
2154_01	$\geq$ 1000	5.55	16.9
	1000 - 710	9.00	27.4
	710 - 500	5.76	17.5
	$\leq$ 500	12.58	38.2
2154_02	$\geq$ 1000	2.40	8.3
	1000 - 710	7.17	24.8
	710 - 500	5.09	17.6
	$\leq$ 500	14.30	49.4
2154_03	$\geq$ 1000	0.65	2.1
	1000 - 710	8.43	27.6
	710 - 500	5.13	16.8
	$\leq$ 500	16.35	53.5

As the Arimoclomol content increased, so did the fraction of fine material (below 500  $\mu\text{m}$ ), accompanied by a reduction in the amount of larger material (above 1000  $\mu\text{m}$ ).

Assay and related substances was performed on the mid-particle size (1000 - 710  $\mu\text{m}$ ) to assess for any degradation of Arimoclomol that had occurred during processing to the granules, the results of which are included below in Table 24:

Sample	Run 1	Run 2	Mean (n=4)
33 wt% Arimoclomol_1	92.31	94.27	89.9
33 wt% Arimoclomol_2	85.10	88.00	
50 wt% Arimoclomol_1	98.09	98.92	98.3
50 wt% Arimoclomol_2	97.88	98.47	
66 wt% Arimoclomol_1	101.44	102.16	101.8
66 wt% Arimoclomol_2	101.59	102.17	

No related substances greater than 0.05% sample area were observed in any of the samples analysed.

*IR Microscopy of Granules.* Infra-red (IR) microscopy was employed to characterise the surface of the granules. Averaged spectra were recorded from 5 granules for 33 wt% granules (710 – 500  $\mu\text{m}$ ), 50 wt% granules (710 – 500  $\mu\text{m}$ ) and 66 wt% granules (710 – 500  $\mu\text{m}$ ). IR spectroscopy demonstrated that in all cases, the surface of the granules is predominantly C888 suggesting good coverage of the Arimoclomol has been achieved through the hot melt extrusion process.

As the amount of Arimoclomol increased in the formulation, so does the amount of the Arimoclomol observed at the surface of the formulation as demonstrated by an increase in intensity of the relevant peak (approximately 1590  $\text{cm}^{-1}$ ). This is consistent with a decreased covering of Arimoclomol by C888 as the Arimoclomol content increases, the consequences of which are likely to be an increase in observed dissolution rates from the water insoluble matrix.

*SEM Analysis of Milled Samples Pre and Post Dissolution.* Scanning electron microscopy (SEM) was performed on powdered materials pre- and post-dissolution for all three formulation strengths and for all particles size ranges of 710 – 500  $\mu\text{m}$ , 1000 – 710  $\mu\text{m}$  and  $\geq 1000 \mu\text{m}$ , with the exception of the  $<500 \mu\text{m}$  fraction.

In all cases, these data demonstrated that milled extrudate presented predominantly as continuous undulating surface, with discreet angular particles both embedded and at the surface typically, these particles are consistent with the presence of crystalline Arimoclomol.

Scanning electron microscopy (SEM) was performed on granules after being subjected to dissolution experiments. In all cases, the angular particles (crystalline Arimoclomol) had dissolved from the surface of the granule leaving a porous water insoluble matrix. Granules appeared to become more porous, with an increase in Arimoclomol content. This suggests that the Arimoclomol is able to dissolve away from the C888 matrix to form a tortuous pathway, leading to extended release of Arimoclomol.

*Dissolution results.* All granules were subjected to dissolution experiments. It was observed that the initial dissolution rate of Arimoclomol increased with increasing the Arimoclomol wt% loading with 66 wt% showing the fastest initial dissolution rate and 33 wt% showing the slowest initial dissolution rate. It can also be seen that the dissolution

rate is dependent upon the particle size fraction with the 500 – 710  $\mu\text{m}$  particle size fraction showing the fastest dissolution rate and the  $\geq 1000 \mu\text{m}$  particle size fraction showing the slowest dissolution rate. See figure 17.

5 Table 25: Individual Data for particle size range of 710 – 500  $\mu\text{m}$ :

	33%		50%		66%	
	% LC Arimoclomol Dissolved					
Time (mins)	V1	V2	V3	V4	V5	V6
0	0.3	0.3	0.3	0.1	0.1	0.1
15	31.1	28.8	55.8	53.0	81.8	78.6
30	38.6	36.6	70.7	67.3	93.9	91.4
45	43.8	41.8	78.5	74.9	98.2	96.2
60	47.6	45.9	83.1	79.8	100.1	98.5
90	51.3	49.7	86.8	83.7	99.1	98.2
120	56.9	55.4	91.1	88.3	100.6	100.0
150	64.2	61.1	95.2	92.9	102.8	102.4
180	62.7	61.4	94.1	91.6	99.9	99.6
210	66.2	64.7	95.9	93.5	100.8	100.6
240	69.2	67.8	97.5	95.0	101.6	101.3
270	71.4	69.9	98.4	96.0	101.8	101.0
300	73.4	72.0	99.1	96.9	102.1	101.7
360	78.1	76.6	101.4	99.0	103.5	103.0
420	80.7	79.3	102.2	99.8	103.8	103.2
480	82.1	80.6	101.7	99.4	102.8	102.3
540	84.3	84.6	104.2	101.7	104.9	104.4
600	87.7	86.5	104.6	101.9	102.3	102.2
660	90.5	89.1	103.2	100.6	104.1	103.3
720	90.6	89.8	105.3	102.0	105.3	103.9
780	92.5	93.0	107.0	103.0	106.2	105.0
840	94.2	94.9	109.0	104.1	107.1	106.1
900	96.0	97.3	110.5	105.9	107.6	106.6
960	97.1	98.3	110.5	106.2	109.1	108.1

Table 26: Individual Data for particle size range of 1000 – 710  $\mu\text{m}$ :

	33%		50%		66%	
	% LC Arimocloamol Dissolved					
Time (mins)	V1	V2	V3	V4	V5	V6
0	0.1	0.3	0.1	0.1	0.4	0.4
15	22.1	25.6	42.8	31.3	56.1	44.2
30	28.8	31.8	56.8	42.4	73.0	60.7
45	32.8	36.0	65.3	50.1	82.5	71.7
60	36.4	39.4	71.6	55.8	88.5	79.4
90	41.4	44.5	78.4	63.6	95.4	89.4
120	45.4	48.3	82.6	69.1	98.4	94.7
150	49.1	51.3	85.7	73.2	100.2	97.9
180	51.6	54.2	88.1	76.3	101.2	99.9
210	54.3	56.7	89.6	79.0	101.9	101.0
240	56.4	58.9	91.2	81.2	102.4	101.8
270	58.7	61.1	92.4	83.3	103.0	102.6
300	61.0	63.2	93.7	85.0	103.1	103.0
360	64.8	67.0	95.7	88.0	104.0	103.8
420	68.2	70.6	97.5	90.8	105.0	104.9
480	71.3	73.9	99.5	93.1	106.1	105.7
540	73.9	76.7	101.0	95.4	107.3	106.7
600	76.0	78.9	102.4	97.2	108.0	107.5
660	78.0	80.9	103.5	98.9	108.9	108.2
720	79.5	82.6	104.5	100.2	109.6	108.9
780	81.4	84.3	105.6	101.6	110.1	109.5
840	82.9	85.8	105.9	102.5	110.4	109.9
900	84.4	87.4	106.5	103.4	110.6	110.3
960	84.5	87.5	105.6	103.0	109.4	109.8

Table 27: Individual Data for particle size range of  $\geq 1000 \mu\text{m}$ :

	33%		50%		66%	
	% LC Arimocloamol Dissolved					
Time (mins)	V1	V2	V3	V4	V5	V6
0	0.3	-0.1	-0.3	-0.3	-0.3	-0.3
15	16.3	18.5	28.5	28.3	58.8	51.5
30	20.7	23.8	39.5	38.3	77.3	69.0
45	23.9	27.8	47.1	45.0	86.4	79.0
60	26.5	30.4	52.9	50.6	92.0	85.8
90	29.7	34.6	61.1	57.8	97.2	92.4
120	32.6	37.8	68.9	63.0	99.7	95.9
150	34.8	40.8	71.3	66.7	101.3	98.0

180	36.8	43.1	74.6	69.4	102.2	99.6
210	38.7	45.5	77.4	71.6	103.2	100.7
240	40.3	47.5	79.5	73.6	103.7	101.7
270	41.9	49.4	81.4	75.2	104.1	102.4
300	43.4	51.3	82.9	76.6	104.4	102.9
360	46.3	54.5	85.0	78.8	104.7	103.3
420	48.5	57.3	86.6	80.6	104.8	103.9
480	51.0	60.1	88.1	82.2	105.0	104.0
540	53.3	62.6	89.4	83.6	105.4	104.6
600	55.9	65.3	90.8	85.1	105.9	105.1
660	58.5	68.1	92.3	86.5	106.6	105.7
720	60.7	71.0	93.6	88.2	107.6	106.6
780	62.6	73.4	95.1	89.9	109.0	107.7
840	64.1	75.2	96.3	92.0	111.0	108.5
900	65.7	76.7	96.7	94.8	112.8	110.4
960	66.8	78.0	97.0	93.8	111.6	109.2

#### **Example 4: In vivo testing of controlled release formulation of arimocloamol**

- The modified release formulation according to the present invention is tested in
- 5 Göttingen male mini-pig PO PK studies with 1 test article (arimocloamol) in a 5 way cross over study: One with the current formulation and 4 modified formulations. The in-life studies are conducted at Charles River laboratories, UK performed to non-GLP standards. Dose levels to be confirmed.
- N=2 mini-pig per condition (10 mini-pigs in total), Göttingen male mini-pig. Animals are
- 10 fasted prior to study. Time-points PO: 0 (pre-dose), 25 min, 45 min, 1, 2, 4, 6, 8, 12 and 24 hours (10 bleeds).
- Plasma is generated by centrifugation as soon as practically possible after collection and the resultant plasma is stored at ca -80 °C until shipment to XenoGesis Ltd. on dry-ice for quantitative bioanalysis under a separate protocol.
- 15 Quantitative bioanalysis by LC-MS/MS of all plasma samples from the study are performed (100 study samples plus dose analysis as required and blanks). Plasma samples are prepared by protein precipitation. Separate accurate weighings (to 0.01mg) of research compound for STD and QC.
- Mean C<sub>max</sub> values and AUC can be determined using conventional methods, see e.g.
- 20 Cudkowicz et al., Muscle & Nerve, July 2008, p. 837-844).
- AUC, T<sub>max</sub> and C<sub>max</sub> can be determined as described e.g. in EP 2 481 400 B1.

**Claims**

1. An extended-release pharmaceutical formulation which is an oral dosage form comprising
  - a. the active pharmaceutical ingredient selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, and
  - b. a release-controlling excipient that provides for extended release of said active pharmaceutical ingredient  
as compared to an equivalent amount of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers or the acid addition salts thereof administered by an immediate release oral dosage form.
2. The pharmaceutical formulation according to claim 1, wherein said formulation provides for a C<sub>max</sub> of less than or equal to 10 μM.
3. The pharmaceutical formulation according to any one of claims 1 or 2, wherein C<sub>max</sub> is reduced by a factor of at least 10%.
4. The pharmaceutical formulation according to any one of claims 1 to 3, wherein C<sub>max</sub> is reduced while maintaining the active pharmaceutical ingredient exposure or AUC.
5. The pharmaceutical formulation according to any one of claims 1 to 4, wherein C<sub>max</sub> is reduced relative to the total exposure provided as expressed by the area under the curve (AUC).
6. The pharmaceutical formulation according to any one of claims 1 to 5, wherein C<sub>max</sub> is lower than the half-maximal inhibition (IC<sub>50</sub>) of the active pharmaceutical ingredient for OCT2.
7. The pharmaceutical formulation according to any one of claims 1 to 6, wherein T<sub>max</sub> is increased by a factor of 10-20%.
8. The pharmaceutical formulation according to any one of claims 1 to 7, wherein inhibition of OCT2 is reduced by a factor of at least 10%.

9. The pharmaceutical formulation according to any one of claims 1 to 8, wherein said formulation is for administration once daily or twice daily.
10. The pharmaceutical formulation according to any one of claims 1 to 9, which formulation has a dissolution rate of 85% of the active pharmaceutical ingredient released within 3 to 5 hours.
11. The pharmaceutical formulation according to any one of claims 1 to 9, which formulation has a dissolution rate of 85% of the active pharmaceutical ingredient released after at least 6 hours.
12. The pharmaceutical formulation according to any one of claims 1 to 11, wherein said release-controlling excipient controls the release rate of said active pharmaceutical ingredient from said formulation.
13. The pharmaceutical formulation according to any one of claims 1 to 12, wherein said formulation comprises an inner matrix and at least one outer coating, said inner matrix comprising the active pharmaceutical ingredient.
14. The pharmaceutical formulation according to claim 13, wherein said formulation is selected from the group consisting of a coated tablet, a coated mini-tablet and a coated micro-tablet.
15. The pharmaceutical formulation according to any one of claims 13 or 14, wherein said release-controlling excipient is selected from the group consisting of hydroxypropylmethylcellulose (HPMC), ethylcellulose (EC), methylcellulose, hydroxypropyl cellulose, hypromellose acetate succinate, hypromellose phthalate, cellulose acetate, glycerin monostearate, glyceryl monooleate, glyceryl palmitate, glyceryl behenate, hydrogenated vegetable oil, guar gum, polyvinyl alcohol, alginates, xanthan gum, carnauba wax, yellow wax, white wax, zein, carraegeenan, carbomers and agar.
16. The pharmaceutical formulation according to any one of claims 13 to 15, wherein said inner matrix further comprises one or more additional excipients selected from the group consisting of fillers, binders, lubricants, ionic polymers, non-ionic polymers and water-insoluble polymers.
17. The pharmaceutical formulation according to any one of claims 13 to 16, wherein said inner matrix further comprises microcrystalline cellulose (MCC).

18. The pharmaceutical formulation according to any one of claims 13 to 17, wherein said inner matrix comprises hydroxypropylmethylcellulose (HPMC), starch, ethylcellulose (EC), microcrystalline cellulose (MCC), silica, magnesium stearate and stearic acid.
19. The pharmaceutical formulation according to any one of claims 13 to 18, wherein said inner matrix is compressed to form a tablet with a hardness of 10 to 50kp (kilopond).
20. The pharmaceutical formulation according to any one of claims 13 to 19, wherein said outer coating comprises one or more excipients selected from the group consisting of aqueous based ethylcellulose (EC), solvent based EC and aqueous based polymethacrylate.
21. The pharmaceutical formulation according to any one of claims 13 to 20, wherein said outer coating further comprises an outer seal coating.
22. The pharmaceutical formulation according to any one of claims 1 to 12, wherein said formulation is a coated sphere comprising an inner sphere substrate and an outer coating comprising one or more individual layers, wherein said outer coating comprises the active pharmaceutical ingredient.
23. The pharmaceutical formulation according to claim 22, wherein said outer coating comprises two or more individual layers.
24. The pharmaceutical formulation according to any one of claims 22 or 23, wherein the first or innermost layer of the outer coating comprises the active pharmaceutical ingredient.
25. The pharmaceutical formulation according to any one of claims 22 to 24 comprising 1) the sphere substrate, 2) a drug layer comprising the active pharmaceutical ingredient, and 3) a controlled release coat.
26. The pharmaceutical formulation according to any one of claims 22 to 25, wherein said sphere substrate comprises or consists of a soluble sugar sphere.
27. The pharmaceutical formulation according to any one of claims 22 to 25, wherein said sphere substrate is an insoluble microcrystalline cellulose sphere or a soluble sugar sphere.

28. The pharmaceutical formulation according to any one of claims 25 to 27, wherein said drug layer comprises the active pharmaceutical ingredient and an excipient.
29. The pharmaceutical formulation according to any one of claims 25 to 28, wherein said drug layer comprises the active pharmaceutical ingredient and HPMC.
30. The pharmaceutical formulation according to any one of claims 25 to 29, wherein said controlled release coat comprises or consists of aqueous based ethyl cellulose (EC), non-aqueous based ethyl cellulose (EC) or an aqueous based polyacrylate based dispersion.
31. The pharmaceutical formulation according to any one of claims 1 to 12, wherein said formulation is in the form of extended-release granules comprising N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers or the acid addition salts thereof, and a release-controlling excipient.
32. The pharmaceutical formulation according to claim 31, wherein said release-controlling excipient is selected from the group consisting of a hot melt extrusion (HME) excipient, a HME excipient with a high solubilization capacity, a hot melt lipid excipient, a lipid excipient, a lipid matrix for extended release, a hot-melt coating agent for prolonged-release drug formulations, glycerol behenate, glycerol dibehenate and a blend of different esters of behenic acid with glycerol.
33. The pharmaceutical formulation according to claim 32, wherein said HME excipient has a melting point of about 70 °C.
34. The pharmaceutical formulation according to any one of claims 31 to 33, wherein said extended-release granules are obtained by hot melt extrusion comprising the steps of
- mixing an API selected from N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride, its stereoisomers and the acid addition salts thereof, and a HME excipient;
  - heating and extruding said API and HME excipient to provide an extrudate comprising said API and HME excipient;
  - subjecting said extrudate to size reduction.

35. The pharmaceutical formulation according to claim 34, wherein the extrusion temperature is 60-65 °C, 65-70 °C, or 70-75 °C.
36. The pharmaceutical formulation according to any one of claims 34 or 35, wherein the extrusion pressure is 0 - 8 bar.
37. The pharmaceutical formulation according to any one of claims 31 to 36, wherein said extended-release granules have a particle size of 500 - 710 µM, 710 - 1000 µM or more than 1000 µM.
38. The pharmaceutical formulation according to any one of claims 31 to 37, wherein said extended-release granules comprise 25 to 75 wt% API.
39. The pharmaceutical formulation according to any one of claims 31 to 38, wherein said extended-release granules comprise 20-60 wt% API, and have a particle size of more than 710 µM.
40. The pharmaceutical formulation according to any one of claims 1 to 39, wherein said formulation is a multiple-unit oral dosage form.
41. The pharmaceutical formulation according to any one of claims 1 to 40, wherein said formulation is contained within a capsule.
42. The pharmaceutical formulation according to any one of claims 1 to 40, wherein said formulation is contained within a pouch or sachet.
43. The pharmaceutical formulation according to any one of claims 1 to 42, wherein said active pharmaceutical ingredient is selected from the group consisting of:
  - a. the racemate of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride,
  - b. an optically active stereoisomer of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride,
  - c. an enantiomer of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride,
  - d. (+)-R-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride,

- e. (-)-(S)-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride,
  - f. an acid addition salt of N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride,
  - g. N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride citrate,
  - h. N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride maleate,
  - i. (+)-R-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride citrate;
  - j. (-)-S-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride citrate;
  - k. (+)-R-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride maleate; and
  - l. (-)-S-N-[2-hydroxy-3-(1-piperidinyl)-propoxy]-pyridine-1-oxide-3-carboximidoyl chloride maleate.
44. The pharmaceutical formulation according to any one of claims 1 to 43, wherein said formulation comprises said active pharmaceutical ingredient in an amount of 0.1 mg to 100 mg.
45. The pharmaceutical formulation according to any one of claims 1 to 43, wherein said active pharmaceutical ingredient is present in one dosage form or formulation unit in a total amount of 5-1000 mg per dosage.
46. The pharmaceutical formulation according to any one of claims 1 to 45, wherein said formulation is for administration as 75 to 1000 mg/day.
47. The pharmaceutical formulation according to any one of claims 1 to 46, wherein said formulation comprises, separately or together, one or more further active pharmaceutical ingredients.
48. The pharmaceutical formulation according to any one of claims 1 to 47, for administration to an individual selected from the group consisting of paediatric patients; patients presenting with increased serum creatinine; and a patient having a disease selected from a kidney disease (nephropathy) including non-inflammatory nephropathy (nephrosis) and

inflammatory nephropathy (nephritis); diabetes mellitus type I and diabetes mellitus type II and hypertension.

49. The pharmaceutical formulation according to any one of claims 1 to 47 for use in a method of treating a paediatric disease, a lysosomal storage disease (LSD), a lysosomal storage disease selected from the group consisting of lipid storage disorders (or lipidosis) including sphingolipidoses, gangliosidoses and leukodystrophies; mucopolysaccharidoses, glycoprotein storage disorders (or glycoproteinosis) and mucolipidoses, or amyotrophic lateral sclerosis (ALS).

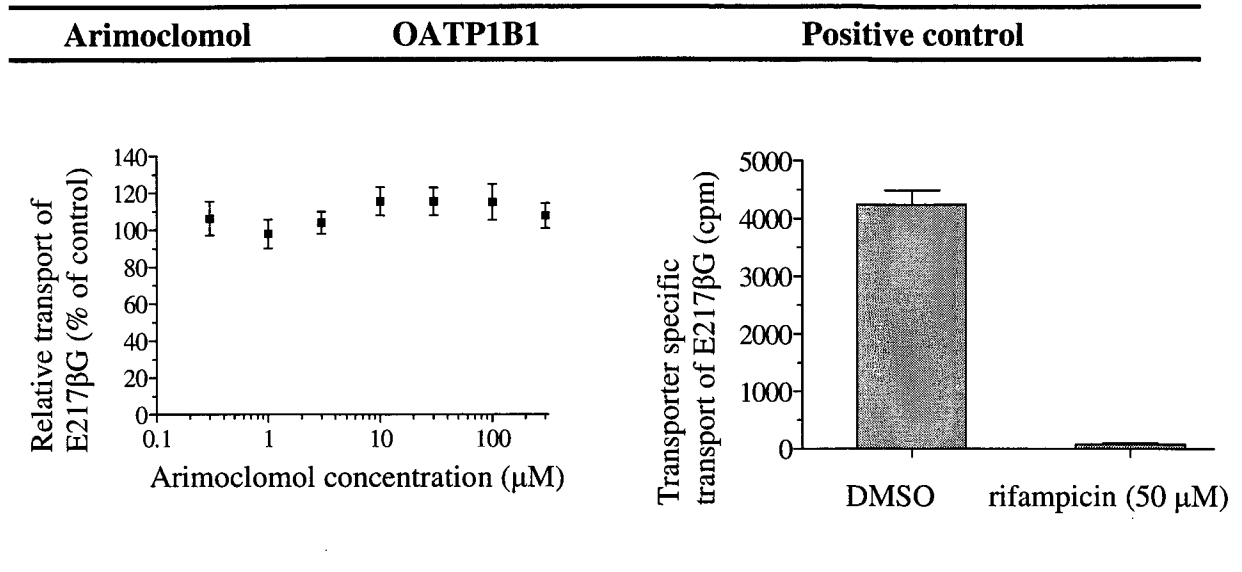


Figure 1

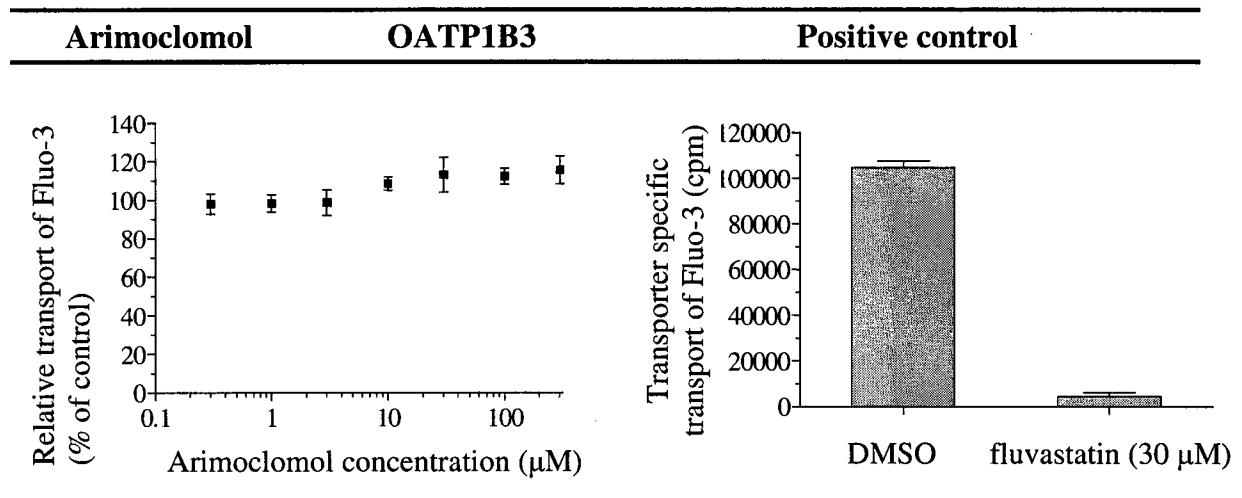


Figure 2

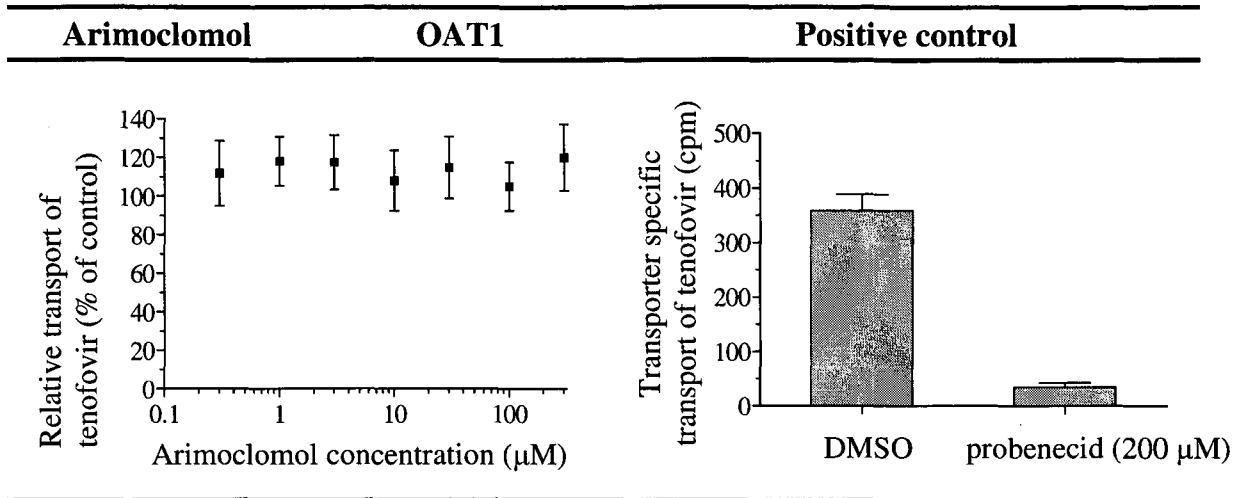


Figure 3

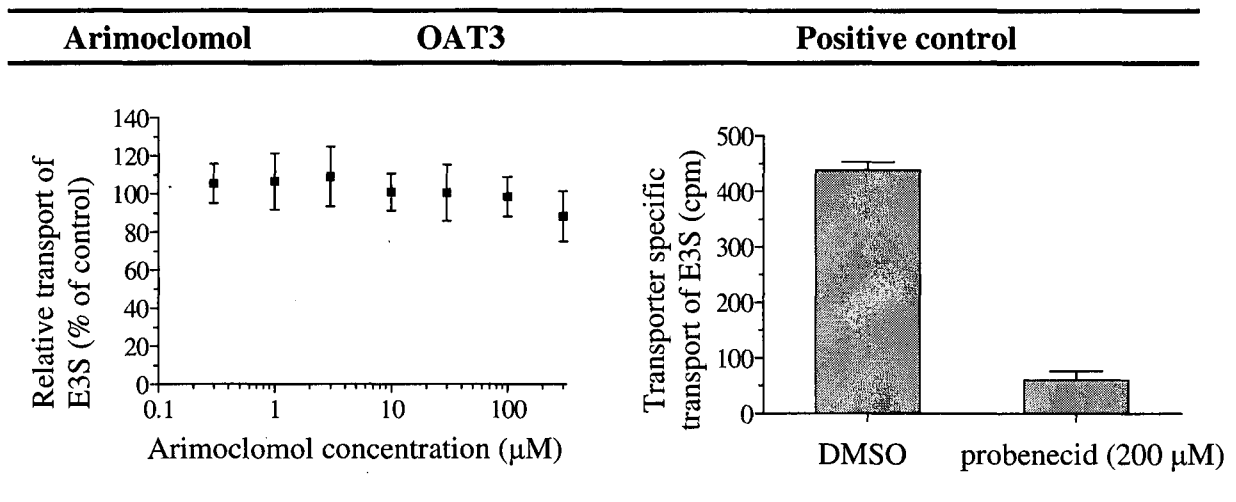


Figure 4

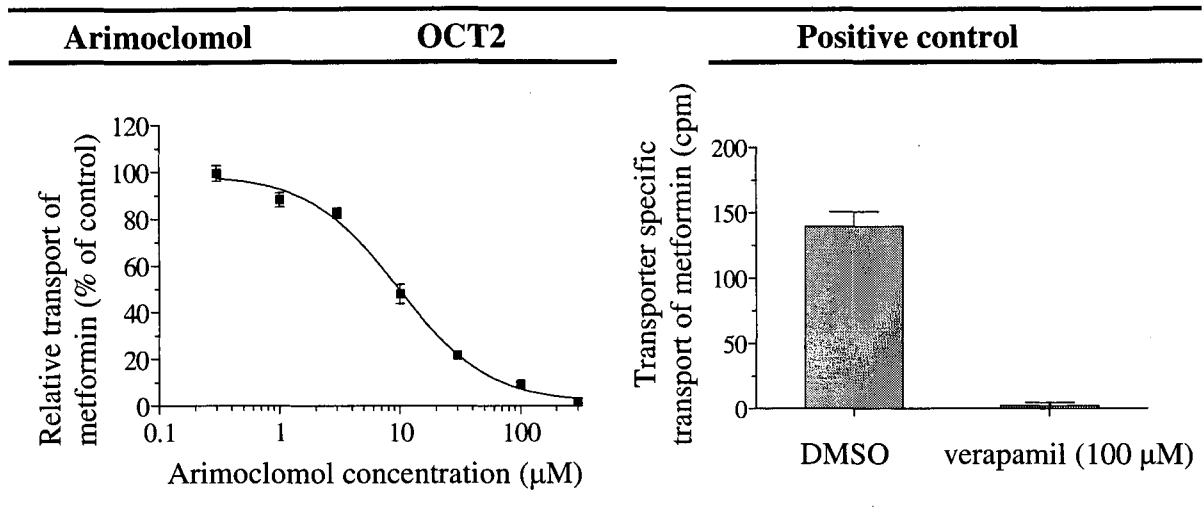


Figure 5

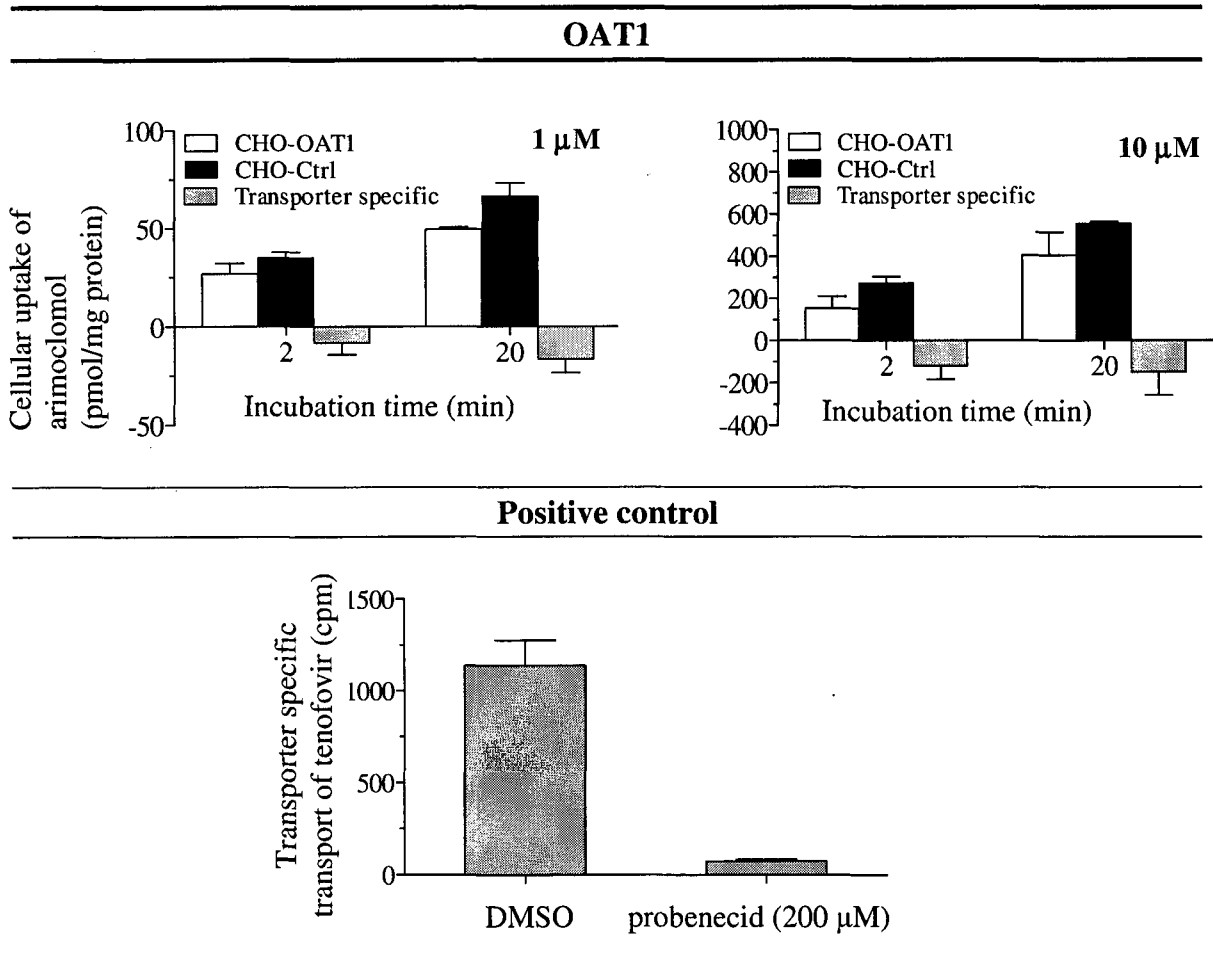
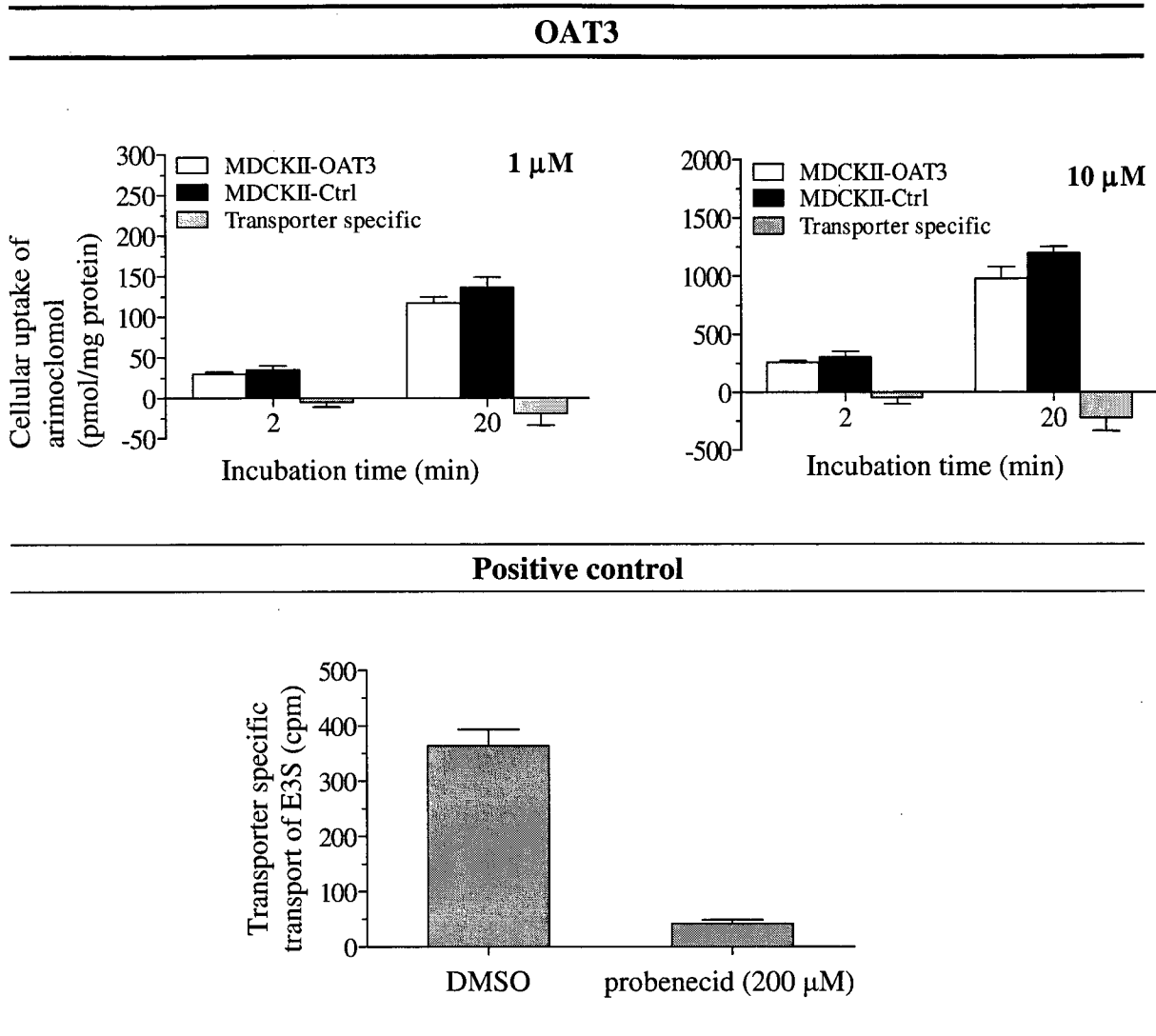
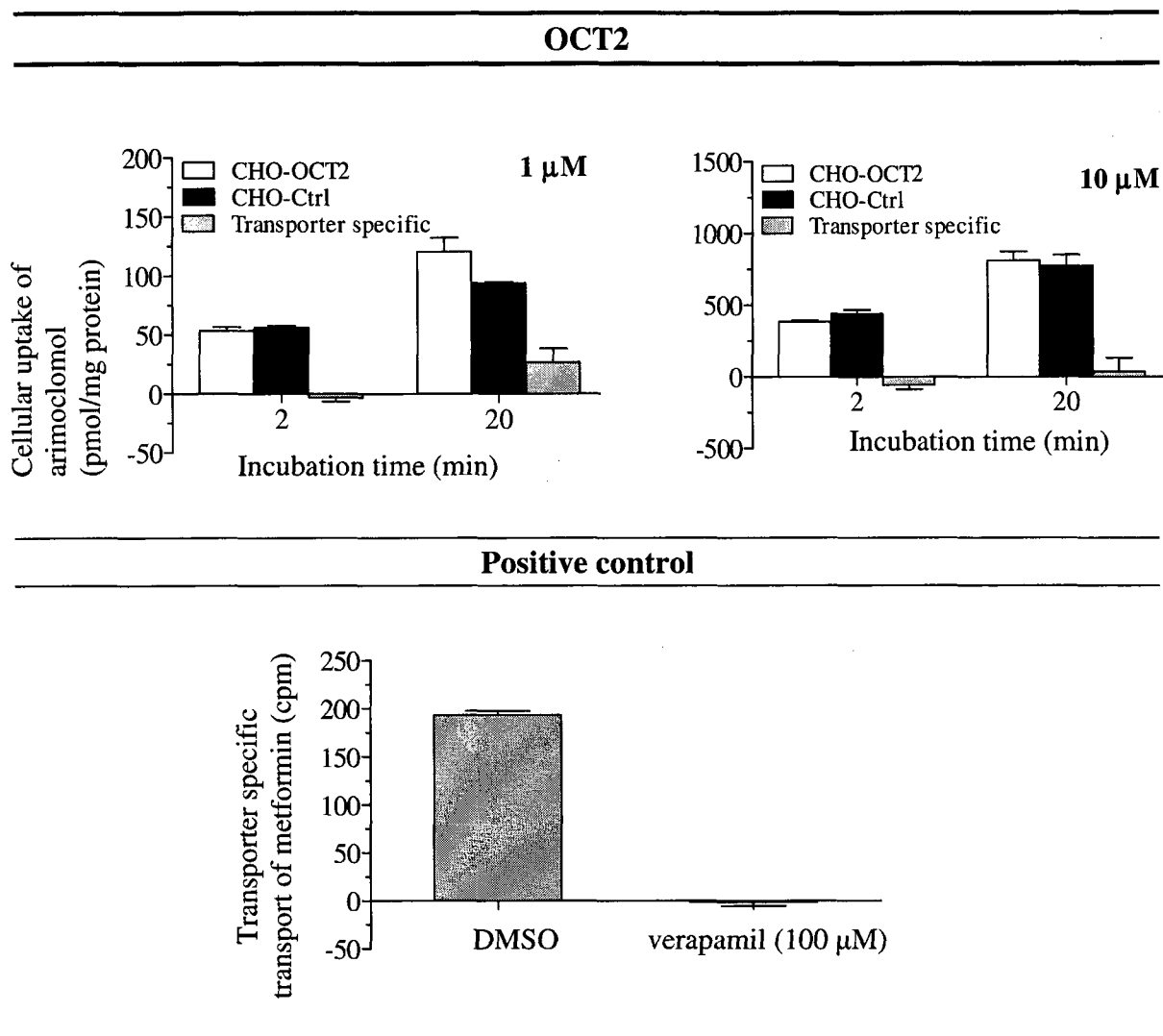


Figure 6



**Figure 7**



**Figure 8**

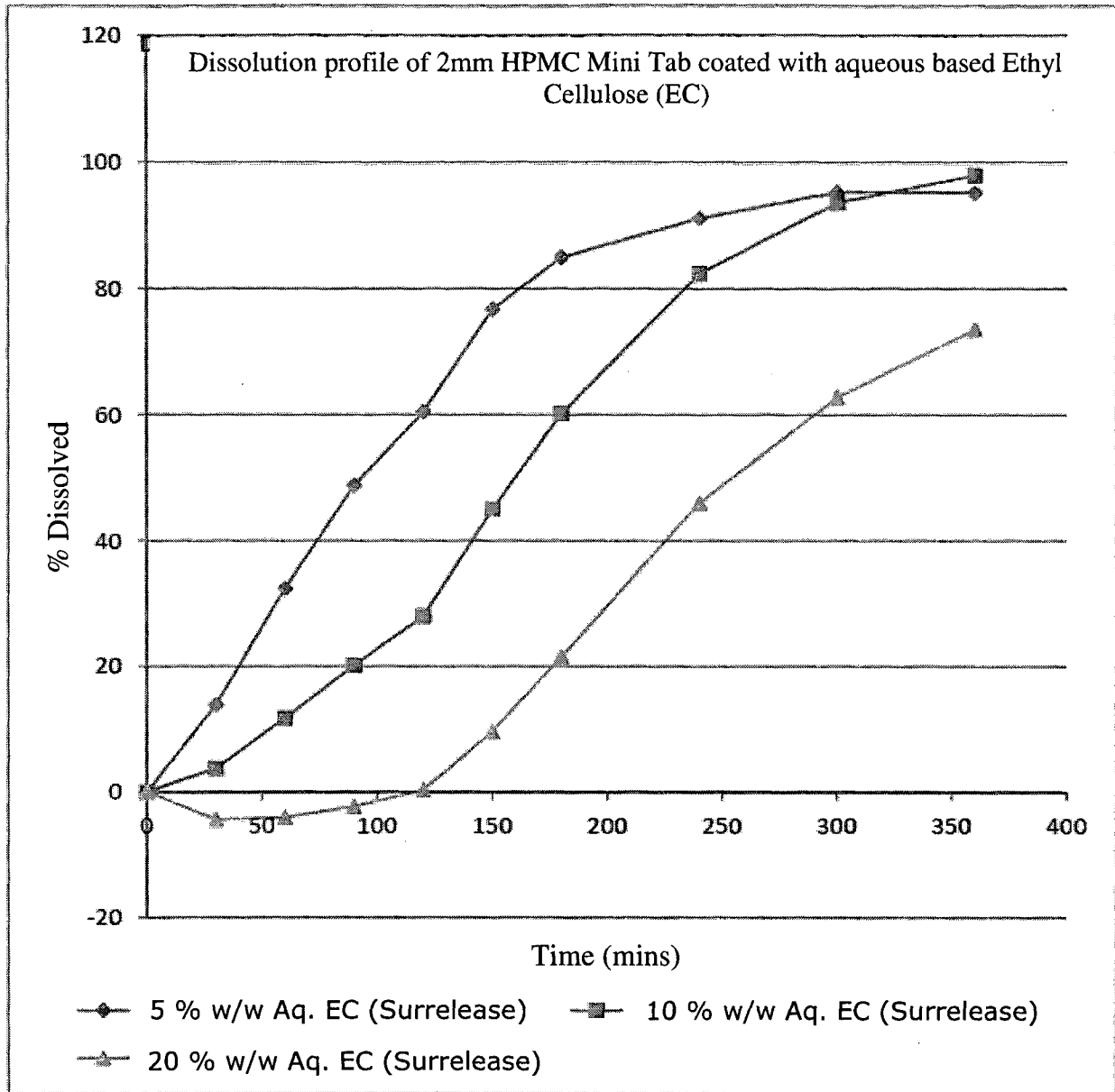


Figure 9

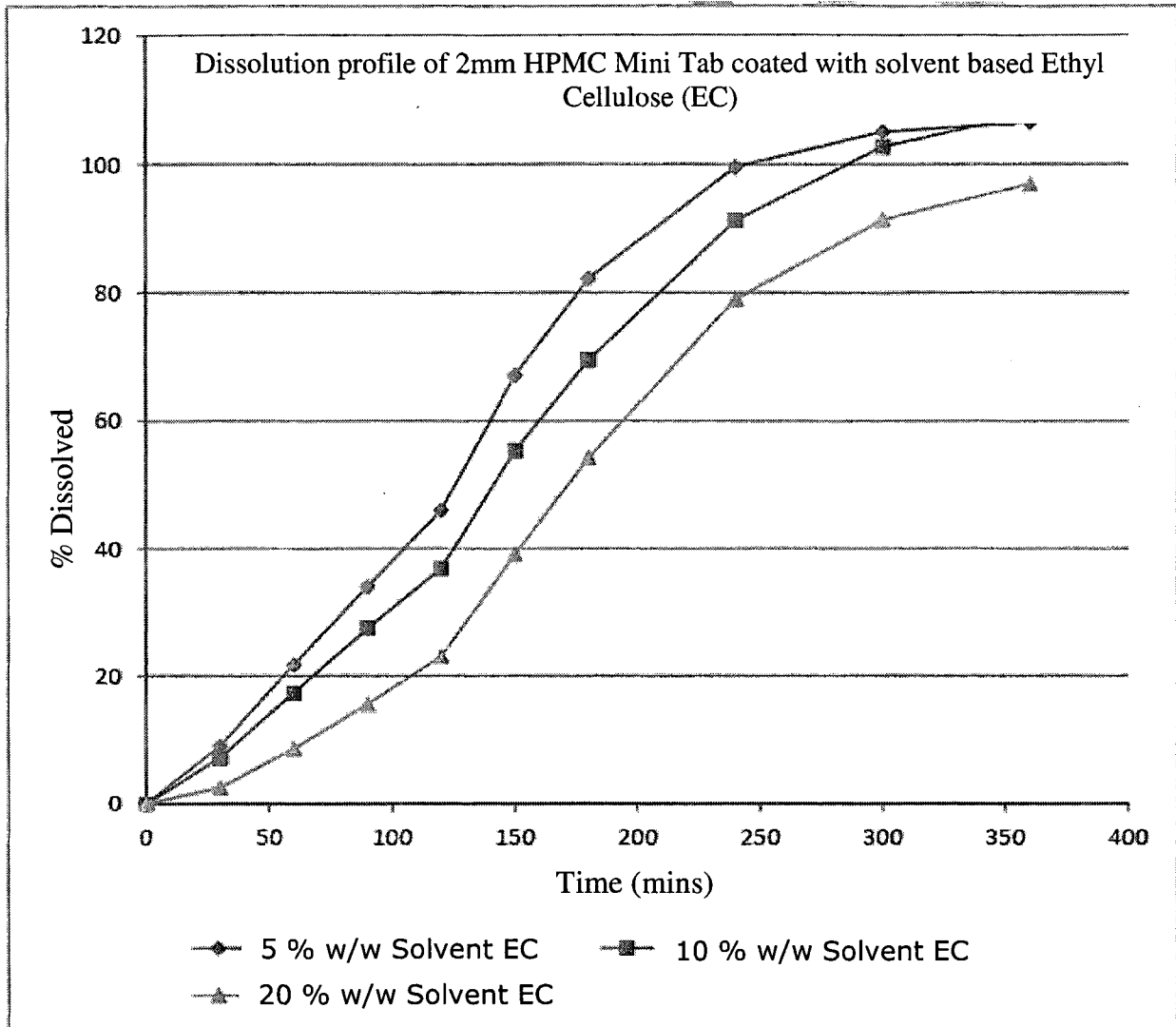


Figure 10

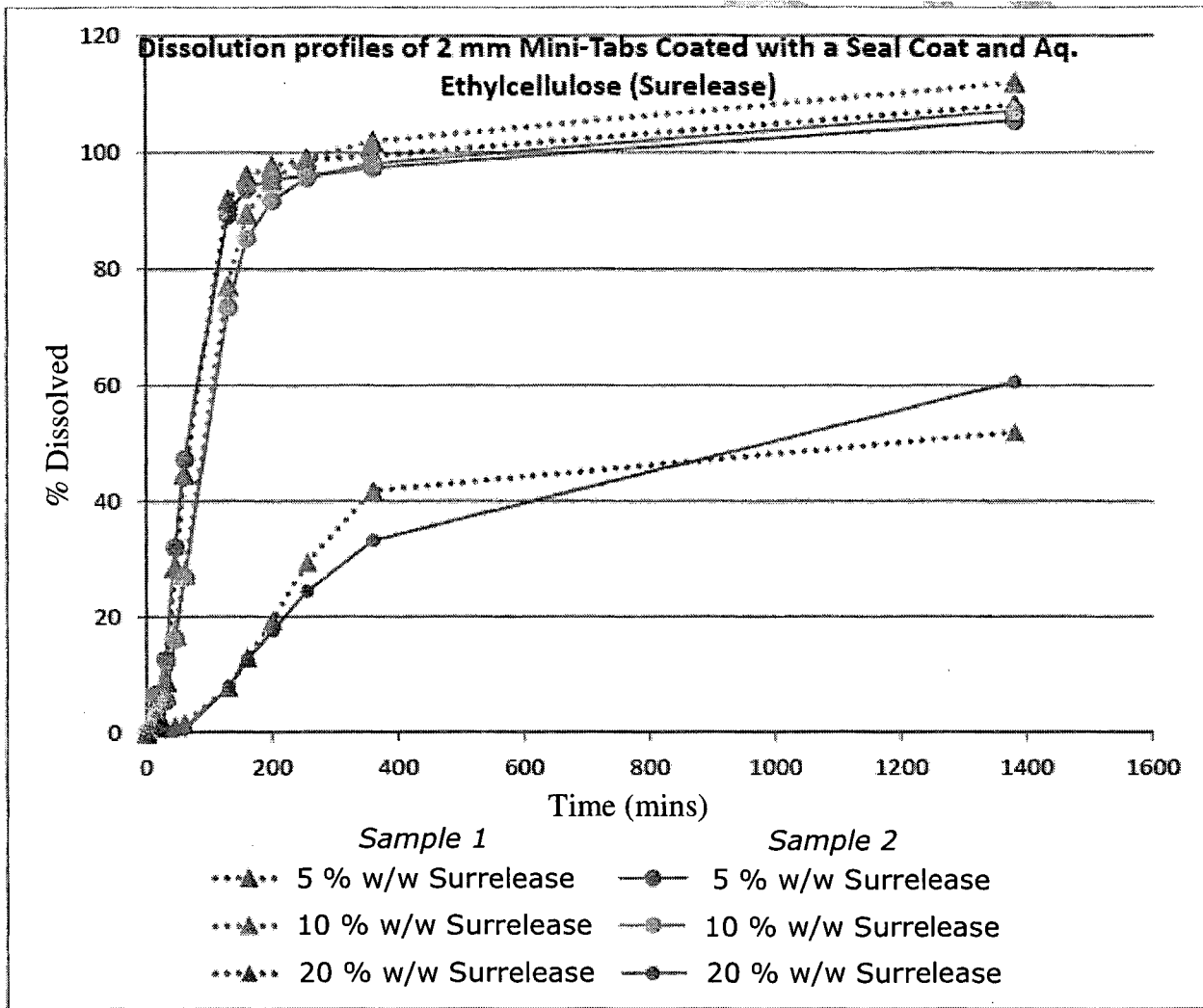


Figure 11

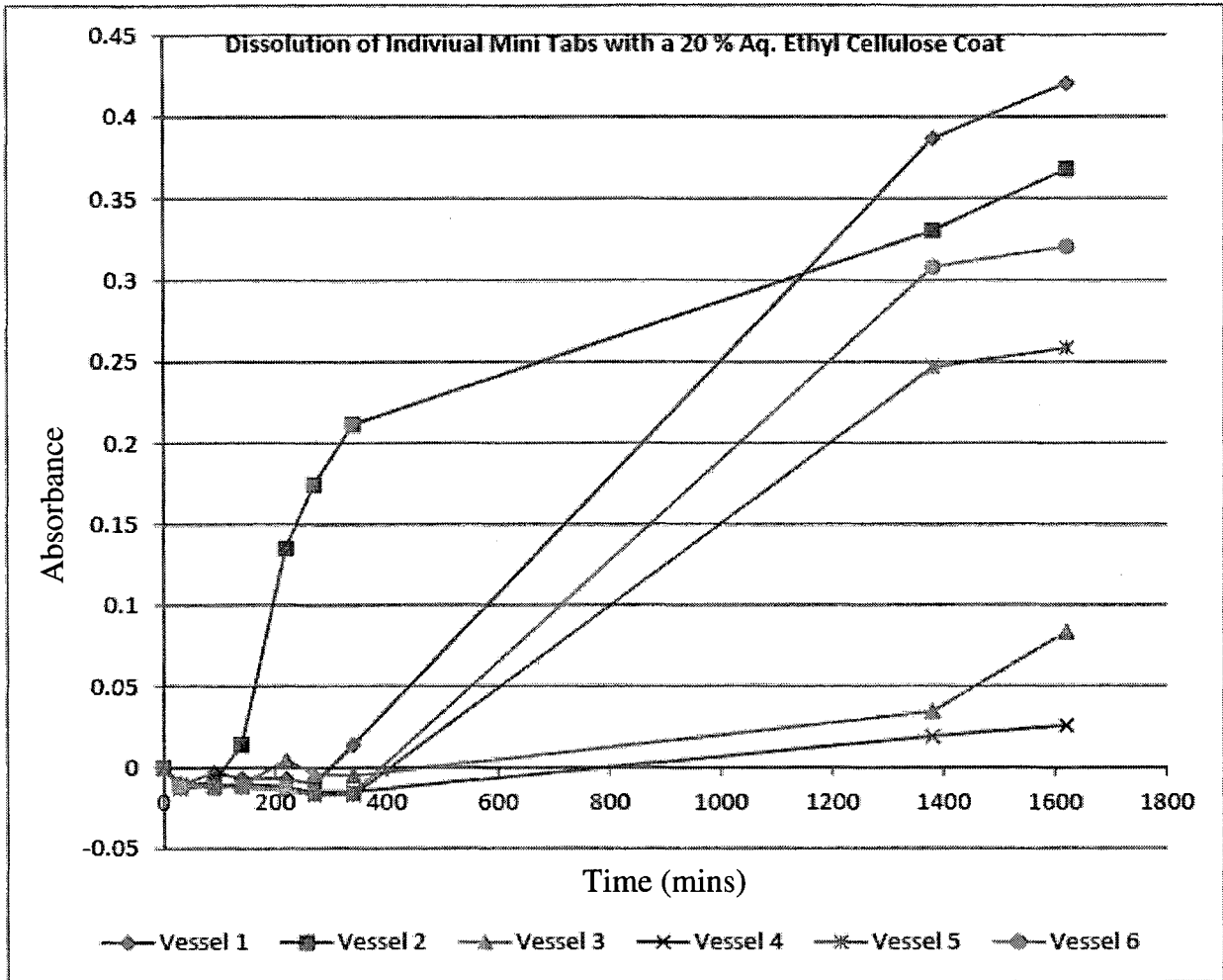


Figure 12

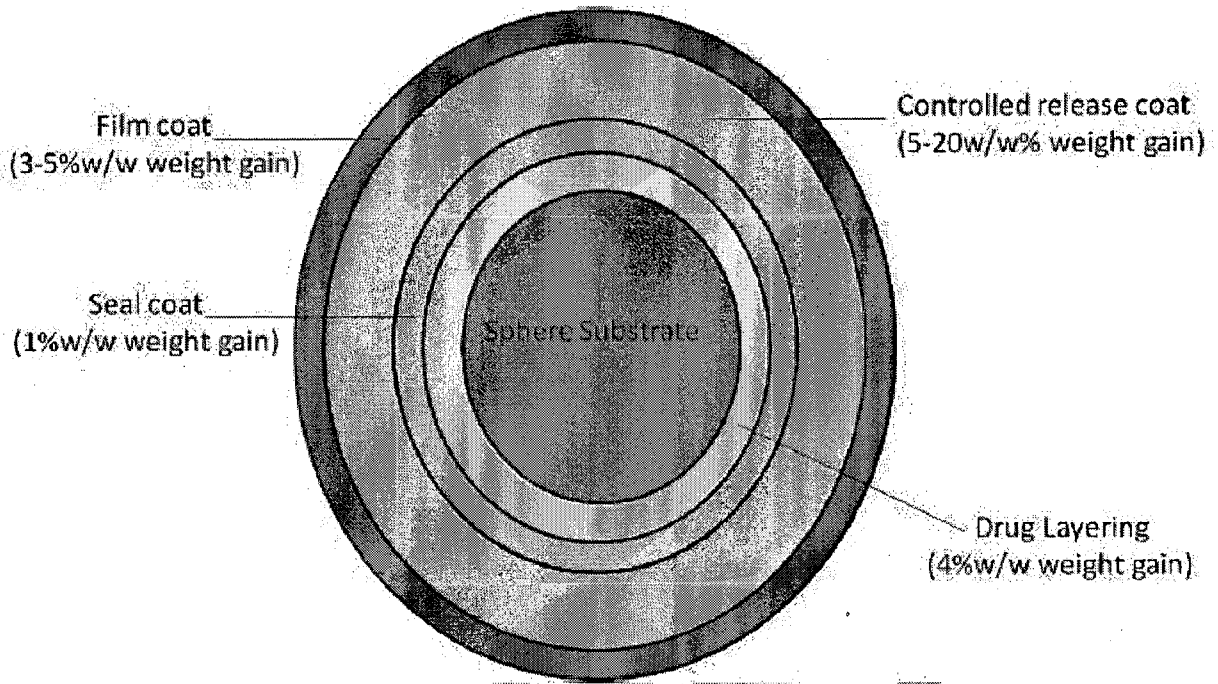


Figure 13

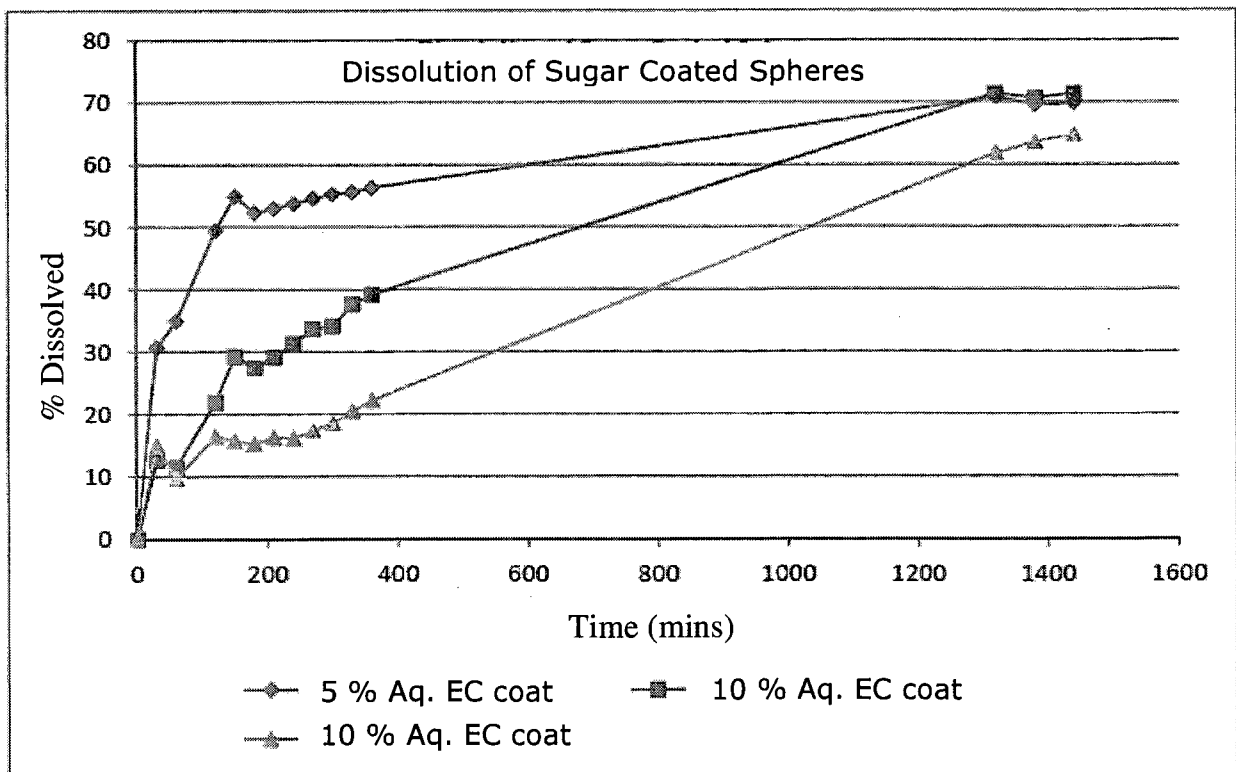


Figure 14

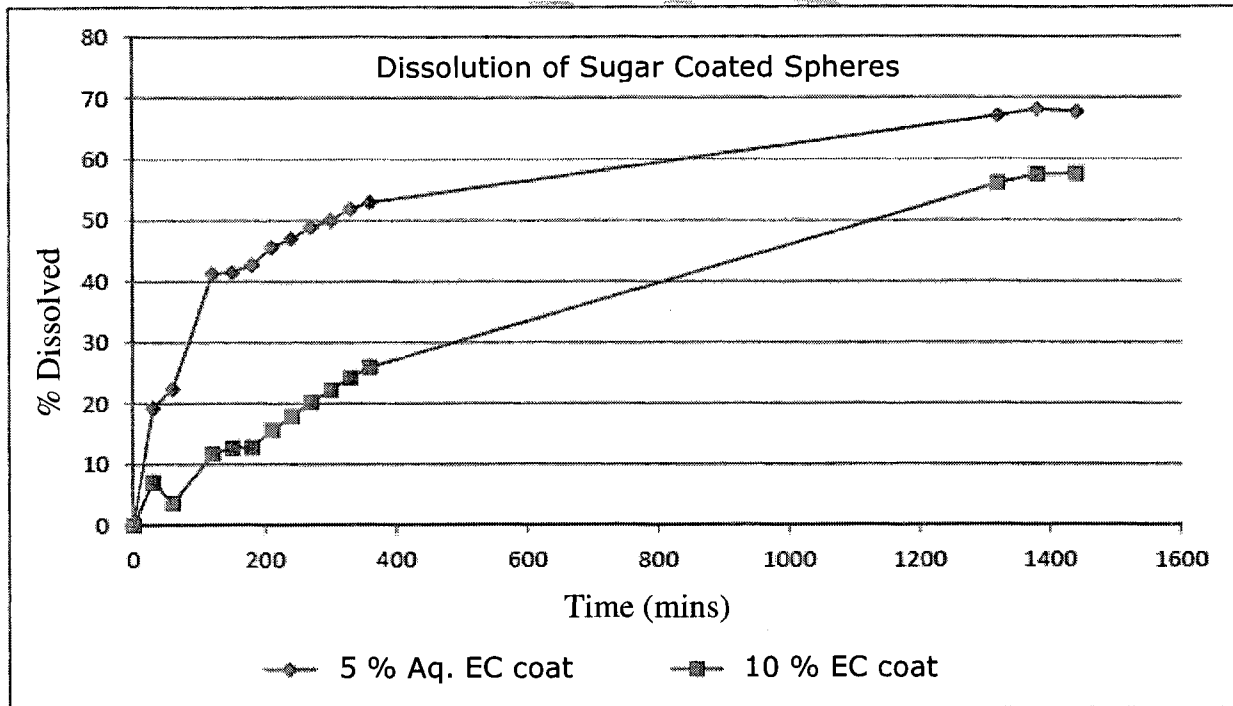


Figure 15

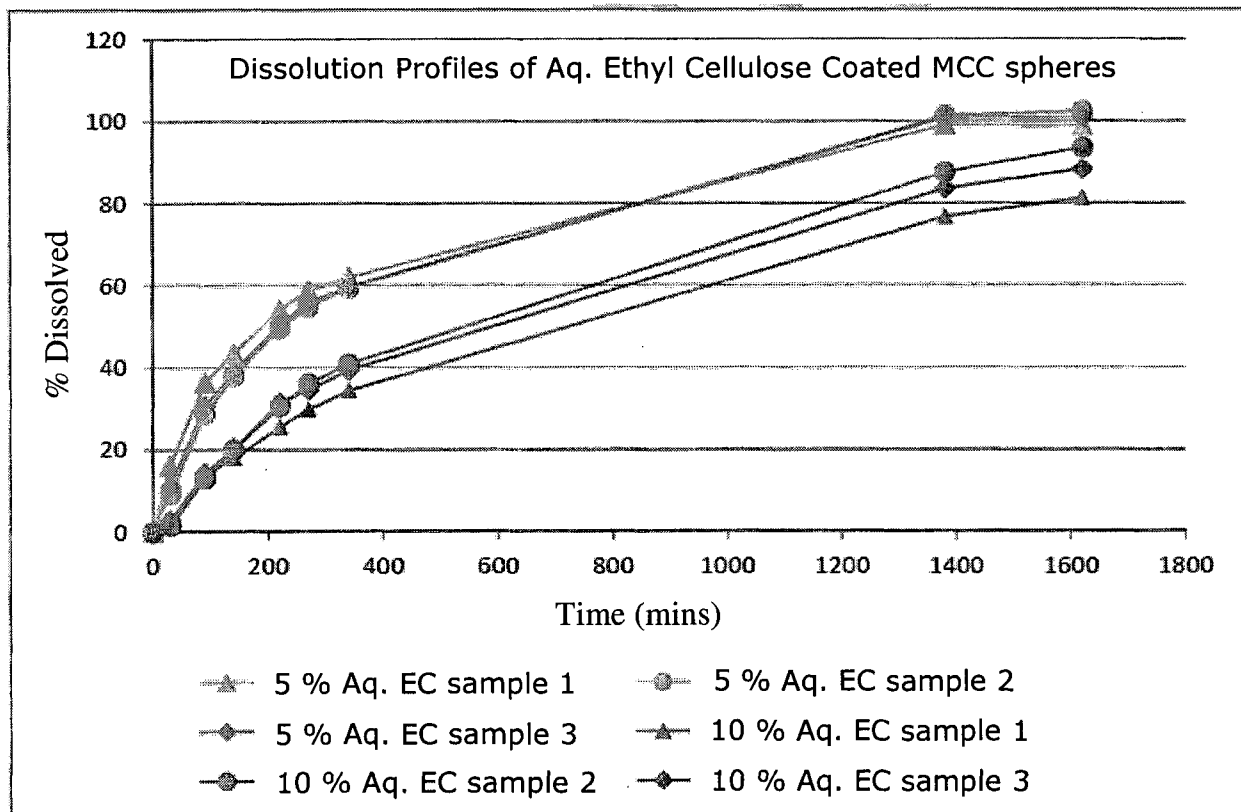


Figure 16

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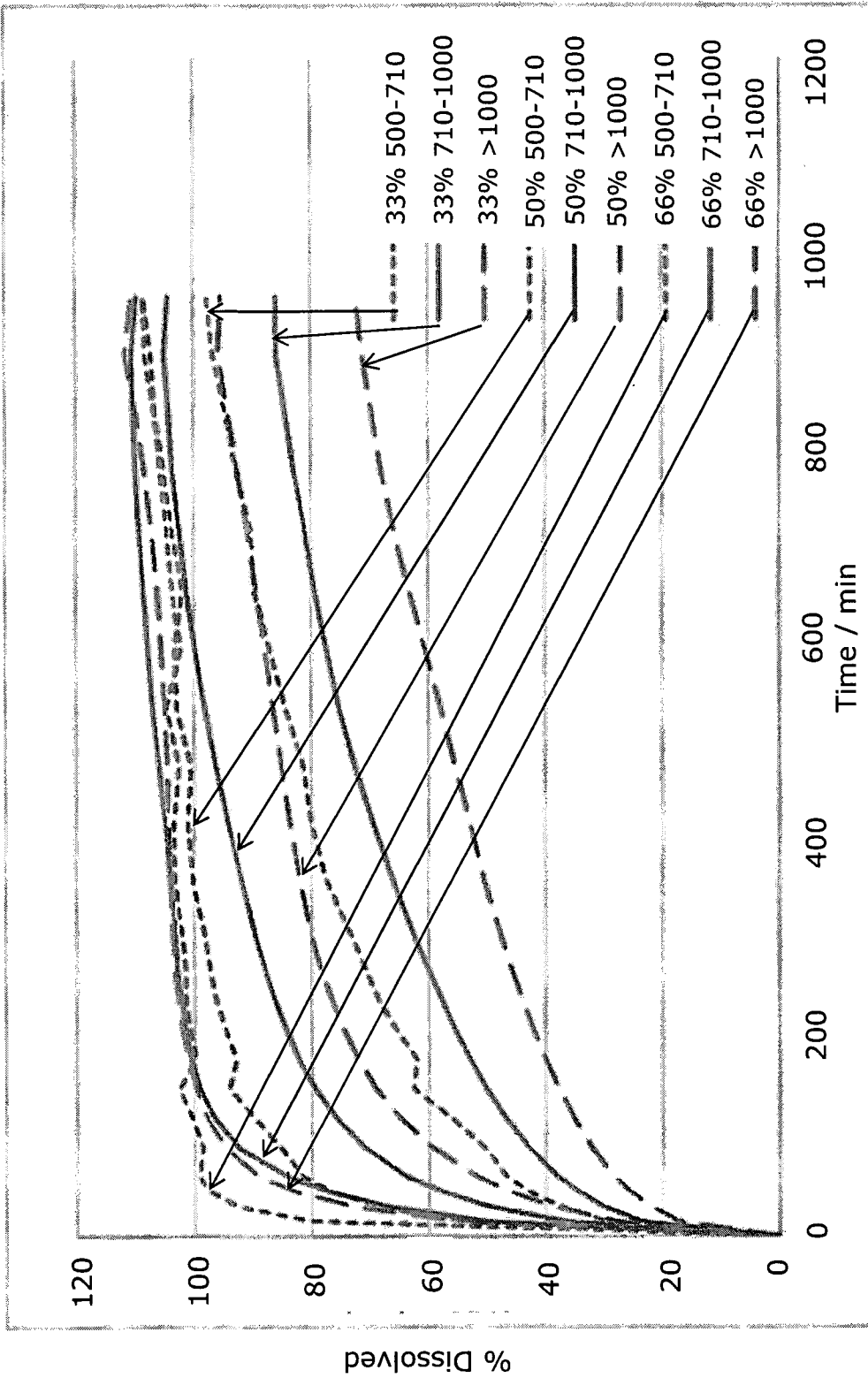


Figure 17