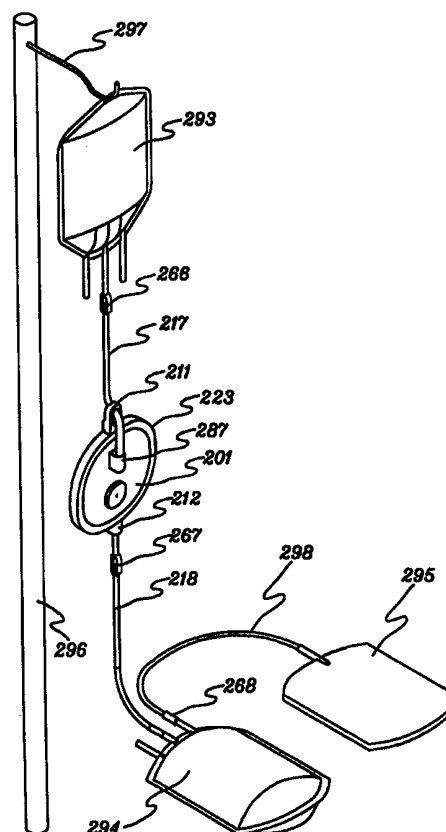




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup>:</b>  <b>B01D 36/00, 37/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/44718</b>  <b>(43) International Publication Date:</b> 10 September 1999 (10.09.99)
<b>(21) International Application Number:</b> PCT/US99/04544  <b>(22) International Filing Date:</b> 2 March 1999 (02.03.99)  <b>(30) Priority Data:</b> 60/076,558      2 March 1998 (02.03.98)      US  <b>(71) Applicant (for all designated States except US):</b> HEMASURE INC. [US/US]; 140 Locke Drive, Marlborough, MA 01752 (US).  <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> O'CONNOR, James, L. [US/US]; 65 Elm Street, Chelmsford, MA 01824 (US).  <b>(74) Agents:</b> MESITI, Nicholas et al.; Heslin & Rothenberg, P.C., 5 Columbia Circle, Albany, NY 12203-5160 (US).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> SYSTEM AND METHOD OF FILTERING AND COLLECTING BLOOD OR BLOOD PRODUCTS		
<b>(57) Abstract</b>  A method of filtering and collecting blood or blood products is disclosed. The method includes filtering blood or blood product using a filtration device (223), collecting the blood or blood product in a receiving bag (294), allowing blood or blood products to remain in a first length of downstream tubing (218), mixing the blood or blood product within the receiving bag (294), sealing the first length of tubing (218) into one or more segments having blood or blood product remaining therein to be later used for cross-matching of the blood or blood product, expressing blood or blood product from the receiving bag (294) into a second length of tubing (298) connected to the receiving bag (294), and sealing the second length of tubing (298) into one or more segments having blood or blood products therein to be later used for quality control testing.		



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon	KR	Republic of Korea	PL	Poland		
CN	China	KZ	Kazakhstan	PT	Portugal		
CU	Cuba	LC	Saint Lucia	RO	Romania		
CZ	Czech Republic	LI	Liechtenstein	RU	Russian Federation		
DE	Germany	LK	Sri Lanka	SD	Sudan		
DK	Denmark	LR	Liberia	SE	Sweden		
EE	Estonia			SG	Singapore		

## **SYSTEM AND METHOD OF FILTERING AND COLLECTING BLOOD OR BLOOD PRODUCTS**

### **PRIORITY INFORMATION**

This application claims the priority of U.S. Serial No. 60/076,558, filed March  
5 2, 1998, and which is incorporated fully herein by reference.

### **FIELD OF THE INVENTION**

This invention relates generally to liquid filtration techniques. More particularly, this invention relates to an in-line gravity driven liquid filtration method usable to filter and collect biological liquids such as blood or blood products.

10

### **BACKGROUND OF THE INVENTION**

Typically, blood filtration devices allow liquid filtrate to remain within the filtration device after filtration has occurred. This remaining liquid, referred to as a hold up volume, is often greater than the desired maximum amount. Also, blood filtration devices allow an undesirably high amount of air that is purged therefrom to  
15 be left in the receiving blood bag.

Certain blood filtration devices are disclosed in U.S. Patent No. 5,472,605, and entitled "A Filtration Device Usable for Removal of Leukocytes and Other Blood Components" issued December 5, 1995, and in U.S. Serial No. 08/524,049, and entitled "an In-Line Liquid Filtration Device Usable for Blood, Blood Products and  
20 the Like" filed September 6, 1995, and in U.S. Serial No. 08/449,362, and entitled "A Filtration Device Usable for Removal of Leukocytes and Other Blood Components" filed May 24, 1995, and in U.S. Serial No. 08/661,804, and entitled "A Filtration

-2-

Device Usable for Removal of Leukocytes and Other Blood Components" filed June 11, 1996, which are hereby incorporated by reference and made a part of the disclosure herein. Filtration methods using these types of devices may not readily allow for the storage of filtered blood for use in cross matching and for the storage of  
5 filtered blood which can be accurately tested for the quality of filtration of the entire filtered blood sample.

It may be desirable to achieve a liquid filtration method that provides samples of the filtered blood for cross matching and that provides a means to store a mixed sample of filtered blood for quality control purposes.

10

#### **SUMMARY OF THE INVENTION**

The shortcomings of the prior art may be alleviated and the aforementioned goals achieved by using a filtration method in accordance with the principles of the present invention. The filtration method of the present invention is useable when filtering blood or blood products to remove leukocytes, other blood components,  
15 cells, and chemical agents which may be used to treat the blood.

In an aspect of the invention, a method of filtering and collecting blood or blood products involves filtering the blood or blood product, collecting the blood or blood product in a receiving bag (e.g., a conventional transfer or storage bag), allowing the blood or blood product to remain in a first length of tubing, mixing the  
20 blood or blood product within the receiving bag, sealing the first length of tubing into one or more segments having blood or blood products remaining therein, expressing blood or blood products from the receiving bag into a separate length of tubing, and sealing the second length of tubing into one or more segments. The blood in the

-3-

sealed segments of the first length of tubing may then be used for cross-matching, and/or the blood sealed in the segments of the second length of tubing may be used for quality control testing.

The air within the receiving bag may be expressed into an air bag which is  
5 connected to the receiving bag through the second length of tubing. This may occur prior to expressing blood or blood products from the receiving bag into the second length of tubing. The blood or blood product may be filtered for removal of cells and the blood or blood product within the segments of the second length of tubing may be tested for the presence of such cells. For example, the filtered cells may be  
10 leukocytes. Alternatively, or additionally, the blood or blood product may be filtered for the removal of chemical or biological agents therein and the blood or blood product in the second length of tubing tested for the presence of these agents. The first and second lengths of tubing may be sealed using a heat sealing device.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

15 The air within the receiving bag may be expressed into an air bag which is connected to the receiving bag through the second length of tubing. This may occur prior to expressing blood or blood products from the receiving bag into the second length of tubing. The blood or blood product may be filtered for removal of cells and the blood or blood product within the segments of the second length of tubing may be  
20 tested for the presence of such cells. For example, the filtered cells may be leukocytes. Alternatively, or additionally, the blood or blood product may be filtered for the removal of chemical or biological agents therein and the blood or blood

-4-

product in the second length of tubing tested for the presence of these agents. The first and second lengths of tubing may be sealed using a heat sealing device.

Figure 1 depicts a filtration device in an operational assembly with a blood supply bag, a blood receiving bag, and an air bag useable with the blood filtration and  
5 collection method in accordance with the principles of the present invention; and

Figure 2 depicts an isometric view of a receiving blood bag, air bag, air bag tubing, and the segment markings on the air bag tubing as mounted downstream of a filtration device, in accordance with the present invention.

#### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

10 As referred to herein, the terms upstream, top or up refers to a location of the flow of liquid prior to filtration through filter elements within the filtration device of the present invention. Conversely, the terms downstream, bottom or down as used herein refers to a location of the flow of liquid after filtration through filter elements within the filtration device of the present invention.

15 The present invention is intended to be used for in-line gravity filtration of various liquids including biological liquids. However, the method described herein is particularly suited for the filtration of blood and/or blood products and will be described herein in reference to blood filtration.

Although various filtration devices may be used in accordance with the present  
20 invention, the filtration device used should automatically drain the upstream side

-5-

when filtration is complete. Preferably, draining occurs without the manipulation of various components and the filtration device should not drain completely on the downstream side.

One type of filtration device, useable in the present invention incorporates an automatic vent filter, an inlet section, an outlet section, filter elements and means for allowing gas to vent from the filtration device through an outlet port, and a means to automatically drain the upstream side of the filtration device once filtration is complete. Such a filtration device is disclosed in U.S. Patent Application Serial No. 08/812,717 filed on March 6, 1997, the specification of which is incorporated herein by reference and made a part of this disclosure.

As shown in Figure 1 herein, a filtration device 223 is depicted in operational assembly with inlet tubing 217, outlet tubing 218, feed blood bag 293, receiving blood bag 294, air bag 295, inlet tube clamp 266, outlet tube clamp 267, and air tube clamp 268. Preferably, the user will purchase the entire assembly shown in Figure 1 sterilized without feed blood bag 293 (which will be used to contain, e.g., donated red blood cells after processing to remove plasma) with the inlet end of inlet tubing 217 sealed to maintain system sterility. For performing filtration, inlet tube clamp 266, preferably located close to the inlet end of inlet tubing 217, is closed. Next the outlet tube clamp 267 is opened and air tube clamp 268, preferably located close to the air tube port on receiving blood bag 294, is closed. Inlet tubing 217 attached to tube socket 287 above the center of inlet section 201 may now be attached to a feed blood bag 293 using a sterile docking device as is well known in the art, or connected to another blood supply source including directly to a patient. Once the sterile docking

-6-

connection is made feed blood bag 293 may be hung from hook 297 on blood bag pole 296. Receiving blood bag 294 and air bag 295 could be placed on a surface such as a table top, a bin or the like or could be hung. The complete assembly will now be ready for filtration. The inlet tube hanging tab 211 and outlet tube hanging tab 212  
5 position inlet tubing 217 and outlet tubing 218 respectively so that filtration device 223 hangs vertical and plumb.

Filtration is performed by opening inlet tube clamp 266 so that gravity now forces blood to flow from feed blood bag 293, through inlet tubing 217, through and into the filtration device 223. Blood filtration will occur until feed blood bag 293 is  
10 empty or until the flow of blood into the filtration device is otherwise stopped. When feed blood bag 293 is empty it will be collapsed and therefore, close the inlet end of inlet tubing 217. However, blood may remain in filter elements of the filtration device and in outlet tubing 218.

Referring to Figure 1, tube clamp 267, located between the filtration device  
15 223 and the receiving bag 294, on outlet tubing 218 may be closed. Then tubing 218, above tube clamp 267, can be sealed, typically by heat using a conventional heat safety device which closes portions of tubing by melting the same, by clamping the tubing closed or by any other means to temporarily or permanently seal or close the tubing. Then, the tubing may be cut above the seal. Feed blood bag 293, inlet tubing  
20 217, and filtration device 223 can now be discarded in a safe manner. Tube clamp 268 can then be opened so that air in receiving blood bag 294 can be expressed through air bag tubing 298 into air bag 295. The blood in receiving blood bag 294 may now be mixed to ensure consistency, and preferably the blood is mixed after the



-7-

air is removed, though mixing could occur before removing the air if desired. Once the air in receiving blood bag 294 has been expressed from receiving blood bag 294, mixed blood from receiving blood bag 294 can be expressed into air bag tubing 298 to fill the same. Tube clamp 268 can now be closed and air bag tubing 298 sealed near the air bag 295, using any of the means similar to that for tubing 218. Air bag 295 can now be cut away above the seal just made and discarded in a safe manner. Therefore, receiving blood bag 294 with outlet tubing 218 and air bag tubing 298 now remain.

Both outlet tubing 218 and air bag tubing 298 may have segment marks thereon. Figure 2 depicts the segment marks 292 on air bag tubing 298. The tubing may, therefore, be sealed in premarked segments, if desired. The blood that is sealed in the segments in outlet tubing 218 may be tested for its compatibility with a patient or other receiver, i.e., used for cross matching. Moreover, the mixed blood sealed in segments of air bag tubing 298 may be tested for the concentration of filtered matter or other quality control purposes. For example, if blood is filtered for leukocyte removal, the filtered blood sealed in tubing 298 may be tested for the presence and concentration of leukocytes. Similarly, blood filtered for the removal of chemical agents can be tested for the presence and concentration of such agents after filtration. Since the blood in air bag tubing is used for quality control purposes, it is desirable to mix or agitate the blood within the receiving bag prior to expressing the same into the air bag tubing. Mixing or agitation will help minimize or prevent a concentration gradient of constituting within the blood tested for quality control.

Although the invention has been described in conjunction with the embodiments depicted herein, it will be apparent to one of ordinary skill in the art that

-8-

various modifications may be made to these embodiments without departing from the scope of the invention as defined in the following claims.

**CLAIMS**

What is claimed is:

1. A method of filtering and collecting blood or blood product comprising:
  - filtering blood or blood product using a filtration device;
  - collecting the blood or blood product in a receiving bag;
  - 5 expressing the blood or blood product from the receiving bag into a length of tubing connected to the receiving blood bag, the blood or blood product to be used for quality control testing, cross matching or both.
2. The method of claim 1 further comprising expressing air within the receiving bag into an air bag connected to the receiving bag.
3. The method of claim 2 wherein the air is expressed through the length of tubing into the air bag.
4. The method of claim 2 wherein the air is expressed through the length of tubing into the air bag prior to expressing blood or blood product from the receiving bag into the length of tubing.
5. The method of claim 1 or 4 further comprising testing the blood or blood product within the length of tubing to determine the quality of filtration of the blood or blood product or for cross matching or for both.
6. The method of claim 5 wherein the blood or blood product is filtered for removal of cells and the blood or blood product within the length of tubing is tested for the presence of the cells.
7. The method of claim 6 wherein the cells comprise leukocytes.

8. The method of claim 5 wherein the blood or blood product is filtered for removal of chemical or biological agents therein and the blood or blood product within the length of tubing is tested for the presence of the agents.

9. The method of claim 1 further comprising sealing the length of tubing into one or more segments having blood or blood product therein.

10. The method of claim 9 wherein the length of tubing is sealed using a heat sealing device.

11. The method of claim 9 further comprising cutting the length of tubing at a location between a seal formed by the sealing and the air bag.

12. The method of claim 1 further comprising sealing a first length of tubing downstream of the filtration device after filtration in one or more segments having blood or blood product therein to be used for quality control testing, cross matching or both.

13. The method of claim 12 wherein the first length of tubing is sealed using a heat sealing device.

14. The method of claim 12 further comprising cutting the first length of tubing at a location between a seal formed by the sealing and the filtration device.

15. The method of claim 1 further comprising mixing the blood or blood product within the receiving bag.

16. The method of claim 2 further comprising mixing the blood or blood product within the receiving bag wherein the mixing occurs after expressing air within the receiving bag into the air bag connected to the receiving bag.

-11-

17. A method of filtering and sealing blood or blood product comprising:  
filtering blood or blood product using a filtration device;  
flowing the blood or blood product through a first length of tubing and  
capturing the blood or blood product in a receiving bag;
- 5           expressing blood or blood product from the receiving bag into a second  
length of tubing connected to the receiving bag; and  
sealing the blood or blood product in one or more segments of the  
second length of tubing.
18. The method of claim 17 further comprising expressing air within the  
receiving bag into an air bag connected to the receiving bag and then mixing the blood  
or blood product in the receiving bag.
19. The method of claim 18 further comprising testing the blood or blood  
product within the one or more segments for the presence of filtered constituents.
20. The method of claim 18 wherein the air is expressed through the  
second length of tubing into the air bag by squeezing the receiving bag.
21. The method of claim 17 wherein the blood or blood product is filtered  
for removal of cells and the blood or blood product within the second length of tubing  
is tested for the presence of the cells.
22. The method of claim 21 wherein the cells comprise leukocytes.
23. The method of claim 17 wherein the blood or blood product is filtered  
for removal of chemical or biological agents therein and the blood or blood product  
within the second length of tubing is tested for the presence of the agents.

24. The method of claim 21 or 23 further comprising allowing blood or blood product to remain in the first length of tubing after filtering the blood or blood product and sealing the blood or blood product in one or more segments of the first length of tubing to be used for cross matching, quality control testing or both.

25. The method of claim 24 further comprising testing the blood or blood product sealed within the one or more segments of the first length of tubing to subsequently determine the quality of filtration of the blood or blood product, for cross matching or for both.

26. The method of claim 17 further comprising cutting the second length of tubing at a location between a seal formed by the sealing and the air bag.

27. The method of claim 24 further comprising cutting the first length of tubing at a location between a seal formed by the sealing and the filtration device.

28. A blood or blood product collection system comprising:

a receiving bag having filtered blood or blood product therein, the filtered blood having been flowed from a supply bag through a filter device, a first length of tubing and into the receiving bag; and

5 a second length of tubing having filtered blood or blood product therein, the second length of tubing extending from the receiving bag and having an air bag connected to the second length of tubing.

29. The system of claim 28 wherein the second length of tubing has been previously connected to the air bag, cut therefrom and sealed.

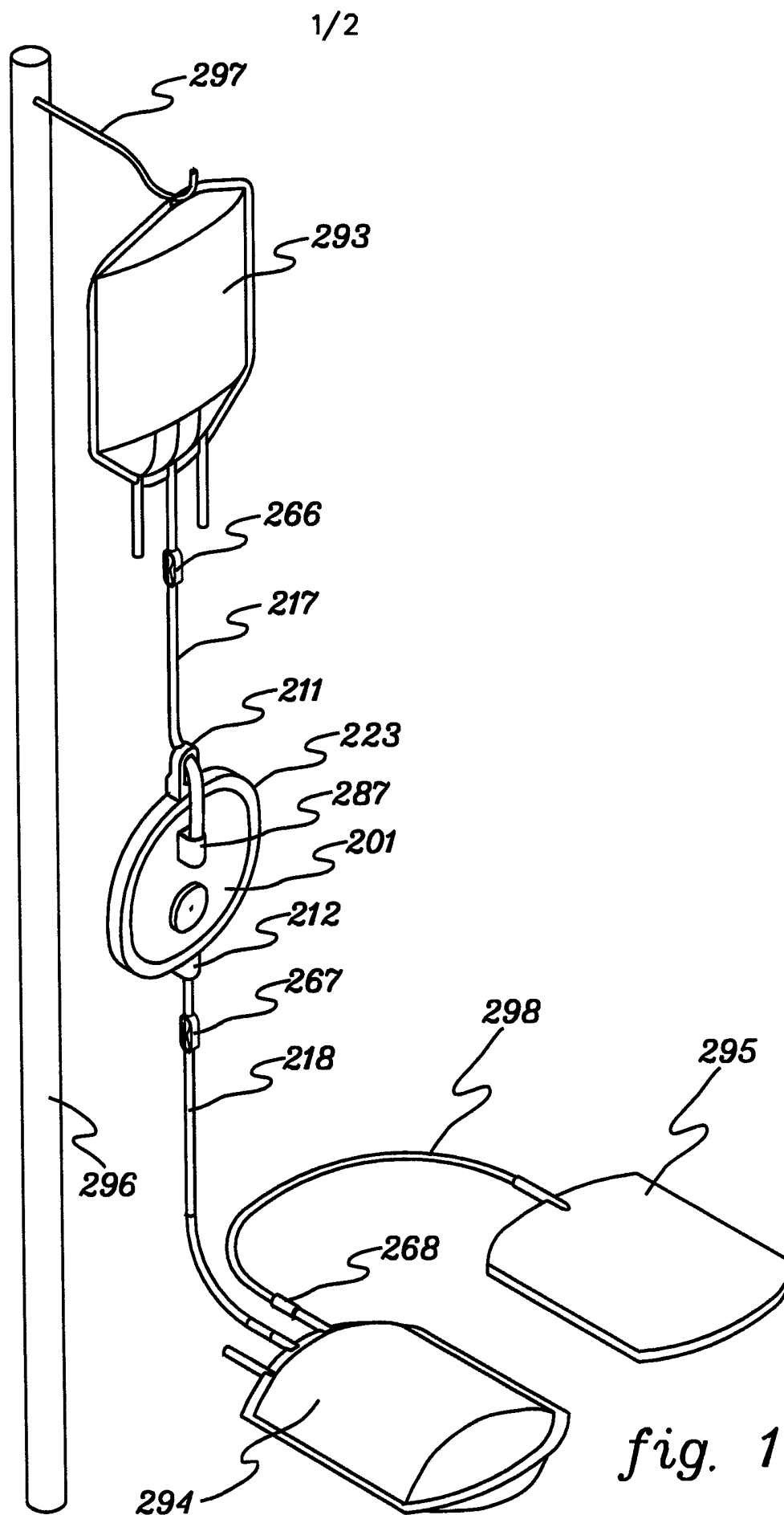
-13-

30. The system of claim 29 wherein the second length of tubing is sealed into one or more segments having mixed filtered blood therein.

31. The system of claim 28 or 30 wherein the first length of tubing has filtered blood or blood product therein, the first length of tubing extending from the receiving bag.

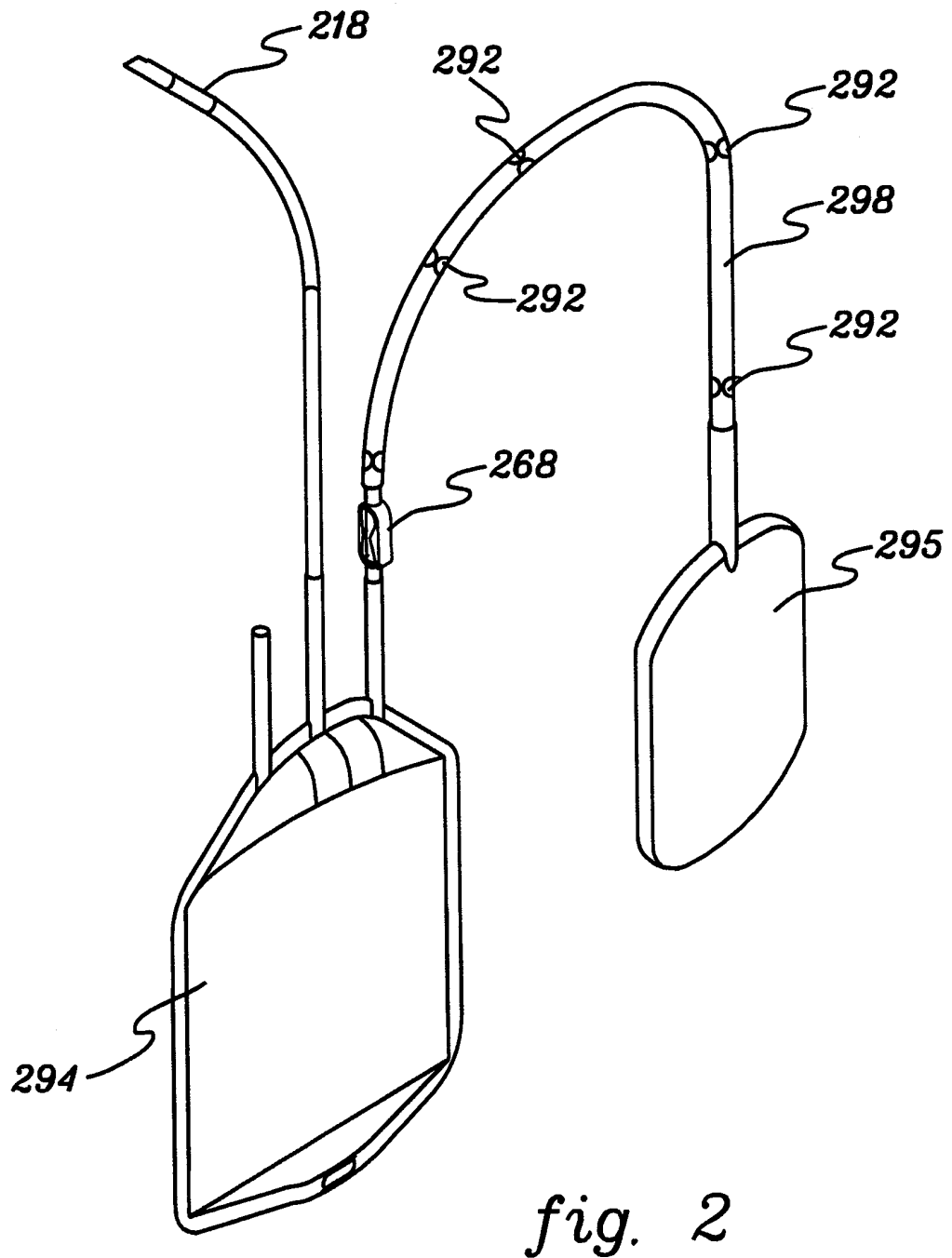
32. The system of claim 31 wherein the first length of tubing has been previously connected to the filter device, cut therefrom and sealed.

33. The system of claim 32 wherein the first length of tubing is sealed into one or more segments having filtered blood therein.





2/2



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/04544

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) :B01D 36/00, 37/00

US CL :Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 210/188, 252, 257.1, 295, 435, 436, 472, 767, 806; 55/410, 421; 604/4, 5, 406, 408, 410

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,180,504 A (JOHNSON et al) 19 January 1993 (19.01.93), see entire document.	1-33
Y	US 5,512,187 A (BUCHHOLZ et al) 30 April 1996 (30.04.96), see entire document.	1-33
Y	US 5,527,472 A (BELLOTTI et al) 18 June 1996 (18.06.96), see entire document.	1-33
Y	US 5,601,730 A (PAGE et al) 11 February 1997 (11.02.97), see entire document.	1-33

☐ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*&* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

08 APRIL 1999

Date of mailing of the international search report

22 APR 1999

 Name and mailing address of the ISA/US  
 Commissioner of Patents and Trademarks  
 Box PCT  
 Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

SUN UK KIM

Telephone No. (703) 308-0661

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/04544

## A. CLASSIFICATION OF SUBJECT MATTER:

US CL :

210/188, 252, 257.1, 295, 435, 436, 472, 767, 806; 55/410, 421; 604/4, 5, 406, 408, 410