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(71) Applicant (for all designated States except US): **PROTIVABIOTHERAPEUTICS, INC.** [CA/CA]; 100 - 3480 Gilmore Way, Burnaby, British Columbia V5G 4Y1 (CA).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **MACLACHLAN, Ian** [CA/CA]; 8040 Aves Terrace, Mission, British Columbia V4S 1E5 (CA). **ROBBINS, Marjorie** [CA/CA]; 1124 10th Avenue East, Vancouver, British Columbia V5T 2B7 (CA).

(74) Agent: **FETHERSTONHAUGH & CO.**; Suite 2200, 650 West Georgia Street Box 11560, Vancouver Centre, Vancouver, British Columbia V6B 4N8 (CA).

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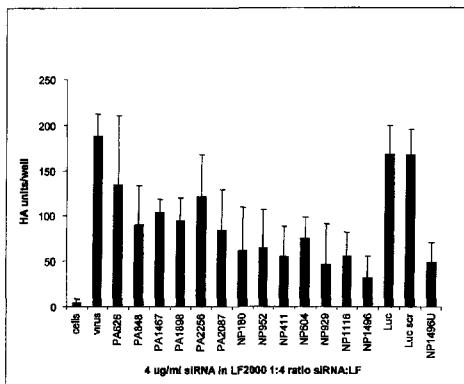
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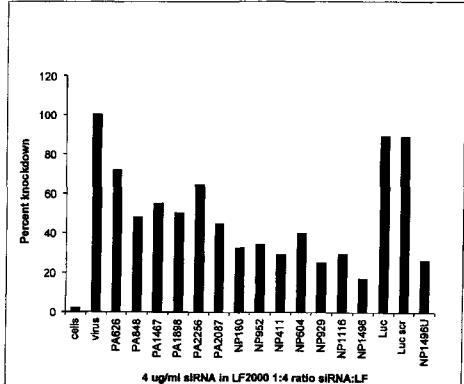
(54) Title: SIRNA SILENCING OF INFLUENZA VIRUS GENE EXPRESSION

(57) Abstract: The present invention provides siRNA molecules that target influenza virus gene expression and methods of using such siRNA molecules to silence influenza virus gene expression. The present invention also provides nucleic acid-lipid particles that target influenza virus gene expression comprising a siRNA that silences influenza virus gene expression, a cationic lipid, and a non-cationic lipid.

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## siRNA SILENCING OF INFLUENZA VIRUS GENE EXPRESSION

### CROSS-REFERENCES TO RELATED APPLICATIONS

[0001] The present application claims priority to U.S. Provisional Application No. 60/737,945, filed November 18, 2005, the disclosure of which is herein incorporated by reference in its entirety for all purposes.

### BACKGROUND OF THE INVENTION

[0002] The flu is a contagious respiratory illness caused by influenza viruses. Flu patients typically exhibit high fever, headache, extreme tiredness, dry cough, sore throat, nasal congestion, and muscle aches. Some flu patients also suffer from gastrointestinal symptoms, such as nausea, vomiting, and diarrhea. Flu infection can also lead to many complications including bacterial pneumonia, dehydration, and worsening of chronic medical conditions, such as congestive heart failure, asthma, diabetes, and ear infections. It can cause mild to severe illness, and at times can lead to death.

[0003] Flu includes avian influenza, which is an infectious disease of birds caused by type A strains of the influenza virus. Avian influenza can also be transmitted from birds to humans. To date, all outbreaks of highly pathogenic avian influenza have been caused by influenza A viruses of subtypes H5 and H7. Of the 15 avian influenza virus subtypes, H5N1 is of particular concern. H5N1 mutates rapidly and has a documented propensity to acquire genes from viruses infecting other animal species. H5N1 variants have demonstrated a capacity to directly infect humans in 1997, in Hong Kong in 2003, and in Vietnam in 2004.

[0004] Influenza pandemics occur three to four times each century when new virus subtypes emerge and are transmitted from person to person. However, the occurrence of influenza pandemics is unpredictable. In the 20th century, the influenza pandemic of 1918-1919 caused an estimated 40 to 50 million deaths worldwide and was followed by pandemics in 1957-1958 and 1968-1969. It has been estimated that another pandemic could cause over 100 million outpatient visits, more than 25 million hospital admissions, and several million deaths worldwide.

[0005] Current efforts to control flu epidemics have focused on vaccination (*see, e.g.*, Wood *et al*, *Nat. Rev. Microbiol.*, 2:842-847 (2004)). However, due to the rapid mutation rate of the influenza virus, the vaccine formulation must be changed annually and is often not completely effective in preventing influenza (*see, e.g.*, Hay *et al*, *Philos. Trans. R. Soc. Lond. B Biol. ScL*, 356:1861-1870 (2001)). Vaccination is also not appropriate for many

groups of at-risk individuals and many safety concerns are associated with vaccination (*see, e.g.*, Subbarao *et al.*, *Curr. Top. Microbiol. Immunol.* 283:313-342 (2004)).

[0006] Antiviral drugs, some of which can be used for both treatment and prevention of influenza, are clinically effective against influenza A virus strains, but have serious side-

5 effects including, *e.g.*, anxiety, difficulty concentrating, lightheadedness, delirium, hallucinations, seizures, decreased respiratory function, bronchospasms, bronchitis, cough, sinusitis, nasal infections, headache, diarrhea, nausea, vomiting, and loss of appetite.

[0007] Thus, there is a need for compositions and methods for specifically modulating influenza virus gene expression. The present invention addresses these and other needs.

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## SUMMARY OF THE INVENTION

[0008] The present invention provides siRNA molecules that target influenza virus gene (*e.g.*, PA, PB1, PB2, NP, M1, M2, NS1, and/or NS2) expression and methods of using such siRNA molecules to silence influenza virus (*e.g.*, Influenza A, B, or C virus) gene expression.

[0009] In one aspect, the present invention provides an siRNA molecule comprising a 15 double-stranded region of about 15 to about 60 nucleotides in length (*e.g.*, about 15-60, 15-50, 15-40, 15-30, 15-25, or 19-25 nucleotides in length), wherein the siRNA molecule silences expression of an influenza gene selected from the group consisting of PA, PB1, PB2, NP, M1, M2, NS1, and NS2. In certain instances, the siRNA molecule comprises a hairpin

loop structure.

20 [0010] In some embodiments, the siRNA has 3' overhangs of one, two, three, four, or more nucleotides on one or both sides of the double-stranded region, in other embodiments, the siRNA lacks overhangs (*i.e.*, has blunt ends). Preferably, the siRNA has 3' overhangs of two nucleotides on each side of the double-stranded region. Examples of 3' overhangs include, but are not limited to, 3' deoxythymidine (dT) overhangs of one, two, three, four, or more 25 nucleotides.

[0011] The siRNA may comprise at least one or a cocktail (*e.g.*, at least two, three, four, five, six, seven, eight, nine, ten, or more) of sequences that silence influenza virus gene expression. In some embodiments, the siRNA comprises at least one or a cocktail of the sequences set forth in Tables 1-4 and 7-8. Preferably, the siRNA comprises at least one or a

30 cocktail of the sequences set forth in Tables 7-8, such as, *e.g.*, unmodified or modified (such as 2'OMe-modified) NP 97, NP 171, NP 222, NP 383, NP 411, NP 929, NP 1116, NP 1485, PA 392, and/or PA 783. In certain instances, the siRNA does not comprise unmodified NP 1496 or PA 2087.

[0012] In certain embodiments, the siRNA further comprises a carrier system, *e.g.*, to deliver the siRNA into a cell of a mammal. Examples of carrier systems suitable for use in the present invention include, but are not limited to, nucleic acid-lipid particles, liposomes, micelles, virosomes, nucleic acid complexes, and mixtures thereof. In certain instances, the 5 siRNA is complexed with a lipid such as a cationic lipid to form a lipoplex. In certain other instances, the siRNA is complexed with a polymer such as a cationic polymer (*e.g.*, polyethylenimine (PEI)) to form a polyplex. The siRNA may also be complexed with cyclodextrin or a polymer thereof. Preferably, the siRNA is encapsulated in a nucleic acid-lipid particle.

10 [0013] The present invention also provides a pharmaceutical composition comprising an siRNA described herein and a pharmaceutically acceptable carrier.

[0014] In certain embodiments, the siRNA that silences influenza virus gene expression is a modified siRNA in which the double-stranded region comprises at least one, two, three, four, five, six, seven, eight, nine, ten, or more modified nucleotides. Typically, the modified 15 siRNA comprises from about 1% to about 100% (*e.g.*, about 1%, 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, or 100%) modified nucleotides in the double-stranded region of the siRNA duplex.

[0015] In some instances, less than about 20% (*e.g.*, less than about 20%, 15%, 10%, or 5%) or from about 1% to about 20% (*e.g.*, from about 1%-20%, 5%-20%, 10%-20%, or 15%-20%) of the nucleotides in the double-stranded region comprise modified nucleotides. In other instances, at least two, three, four, five, six, seven, eight, nine, ten, or more of the nucleotides in the double-stranded region comprise modified nucleotides selected from the group consisting of modified guanosine nucleotides, modified uridine nucleotides, and mixtures thereof. As a non-limiting example, when one or both strands of the siRNA are 25 selectively modified at uridine and/or guanosine nucleotides, the resulting modified siRNA can comprise less than about 30% modified nucleotides (*e.g.*, less than about 30%, 25%, 20%, 15%, 10%, or 5% modified nucleotides) or from about 1% to about 30% modified nucleotides (*e.g.*, from about 1%-30%, 5%-30%, 10%-30%, 15%-30%, 20%-30%, or 25%-30% modified nucleotides). In yet other instances, at least one, two, three, four, five, six, 30 seven, eight, nine, ten, or more of the nucleotides (*e.g.*, uridine and/or guanosine nucleotides) in the sense strand of the siRNA comprise modified nucleotides and no nucleotides in the antisense strand of the siRNA are modified nucleotides. Advantageously, the modified siRNA is less immunostimulatory than a corresponding unmodified siRNA sequence.

[0016] In some embodiments, the modified siRNA comprises modified nucleotides including, but not limited to, 2'OMe nucleotides, 2'-deoxy-2'-fluoro (2'F) nucleotides, *T*-deoxy nucleotides, 2'-O-(2-methoxyethyl) (MOE) nucleotides, locked nucleic acid (LNA) nucleotides, and mixtures thereof.

5 [0017] The modified siRNA can comprise modified nucleotides in one strand (*i.e.*, sense or antisense) or both strands of the double-stranded region of the siRNA. Preferably, uridine and/or guanosine nucleotides are modified at selective positions in the double-stranded region of the siRNA duplex. With regard to uridine nucleotide modifications, at least one, two, three, four, five, six, seven, eight, nine, ten, or more of the uridine nucleotides in the sense 10 and/or antisense strand can be a modified uridine nucleotide (*e.g.*, a 2'OMe-uridine nucleotide). In preferred embodiments, every uridine nucleotide in the sense and/or antisense strand of the double-stranded region of the siRNA comprises modified uridine nucleotides (*e.g.*, 2'OMe-uridine nucleotides). In some embodiments, an siRNA with selective uridine nucleotide modifications can further comprise at least one, two, three, four, five, six, seven, 15 eight, nine, ten, or more modified nucleotides such as, for example, modified guanosine nucleotides, modified adenosine nucleotides, modified cytosine nucleotides, and mixtures thereof. With regard to guanosine nucleotide modifications, at least one, two, three, four, five, six, seven, eight, nine, ten, or more of the guanosine nucleotides in the sense and/or antisense strand can be a modified guanosine nucleotide (*e.g.*, 2'OMe-guanosine nucleotide). 20 In some embodiments, every guanosine nucleotide in the sense and/or antisense strand of the double-stranded region of the siRNA comprises modified guanosine nucleotides (*e.g.*, 2'OMe-guanosine nucleotides). In certain embodiments, an siRNA with selective guanosine nucleotide modifications can further comprise at least one, two, three, four, five, six, seven, eight, nine, ten, or more modified nucleotides such as, for example, modified uridine 25 nucleotides, modified adenosine nucleotides, modified cytosine nucleotides, and mixtures thereof.

[0018] In preferred embodiments, the modified siRNA comprises 2'OMe nucleotides (*e.g.*, 2'OMe purine and/or pyrimidine nucleotides) such as, for example, 2'OMe-uridine nucleotides, 2'OMe-guanosine nucleotides, 2'OMe-adenosine nucleotides, 2'OMe-cytosine 30 nucleotides, and mixtures thereof. In certain instances, the modified siRNA comprises 2'OMe-uridine nucleotides, 2'OMe-guanosine nucleotides, or mixtures thereof. In certain other instances, the modified siRNA does not comprise 2'OMe-cytosine nucleotides.

[0019] In certain embodiments, the modified siRNA is at least about 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 91%, 92%,

93%, 94%, 95%, 96%, 97%, 98%, or 99% less immunostimulatory than the corresponding unmodified siRNA sequence. Preferably, the modified siRNA is at least about 80% (*e.g.*, 80%, 85%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, or 100%) less immunostimulatory than the corresponding unmodified siRNA sequence. It will be readily

5 apparent to those of skill in the art that the immunostimulatory properties of the modified siRNA molecule and the corresponding unmodified siRNA molecule can be determined by, for example, measuring INF- $\alpha$  and/or IL-6 levels at about 2-12 hours after systemic administration in a mammal using an appropriate lipid-based delivery system (such as the SNALP delivery system or other lipoplex systems disclosed herein).

10 [0020] In certain other embodiments, the modified siRNA has an  $IC_{50}$  less than or equal to ten-fold that of the corresponding unmodified siRNA (*i.e.*, the modified siRNA has an  $IC_{50}$  that is less than or equal to ten-times the  $IC_{50}$  of the corresponding unmodified siRNA). In some instances, the modified siRNA has an  $IC_{50}$  less than or equal to three-fold that of the corresponding unmodified siRNA. In other instances, the modified siRNA preferably has an 15  $IC_{50}$  less than or equal to two-fold that of the corresponding unmodified siRNA. It will be readily apparent to those of skill in the art that a dose response curve can be generated and the  $IC_{50}$  values for the modified siRNA and the corresponding unmodified siRNA can be readily determined using methods known to those of skill in the art.

[0021] Preferably, the modified siRNA is at least about 80% (*e.g.*, 80%, 85%, 90%, 91%, 20% 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99%, or 100%) less immunostimulatory than the corresponding unmodified siRNA sequence, and the modified siRNA has an  $IC_{50}$  less than or equal to ten-fold (preferably, three-fold and more preferably, two-fold) that of the corresponding unmodified siRNA sequence.

[0022] In some embodiments, the modified siRNA is capable of silencing at least about 25 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, 100%, 105%, 110%, 115%, 120%, 125%, or more of the expression of the target sequence relative to the corresponding unmodified siRNA sequence.

[0023] In other embodiments, the corresponding unmodified siRNA sequence comprises at least one, two, three, four, five, six, seven, or more 5'-GU-3' motifs. The 5'-GU-3' motif can 30 be in the sense strand, the antisense strand, or both strands of the unmodified siRNA sequence.

[0024] In some embodiments, the modified siRNA does not comprise phosphate backbone modifications, *e.g.*, in the sense and/or antisense strand of the double-stranded region. In other embodiments, the modified siRNA does not comprise 2'-deoxy nucleotides, *e.g.*, in the

sense and/or antisense strand of the double-stranded region. In certain instances, the nucleotide at the 3'-end of the double-stranded region in the sense and/or antisense strand is not a modified nucleotide. In certain other instances, the nucleotides near the 3'-end (*e.g.*, within one, two, three, or four nucleotides of the 3'-end) of the double-stranded region in the

5 sense and/or antisense strand are not modified nucleotides.

[0025] In another aspect, the present invention provides a nucleic acid-lipid particle comprising an siRNA that silences influenza virus gene expression, a cationic lipid, and a non-cationic lipid. In certain instances, the nucleic acid-lipid particle further comprises a conjugated lipid that inhibits aggregation of particles. Preferably, the nucleic acid-lipid

10 particle comprises an siRNA that silences influenza virus gene expression, a cationic lipid, a non-cationic lipid, and a conjugated lipid that inhibits aggregation of particles.

[0026] The cationic lipid may be, *e.g.*, N,N-dioleyl-N,N-dimethylammonium chloride (DODAC), N,N-distearyl-N,N-dimethylammonium bromide (DDAB), N-(I -(2,3-dioleyloxy)propyl)-N,N,N-trimethylammonium chloride (DOTAP), N-(I -(2,3-

15 dioleyloxy)propyl)-N,N,N-trimethylammonium chloride (DOTMA), N,N-dimethyl-2,3-dioleyloxypropylamine (DODMA), 1,2-DiLinoleyl-1,N,N-dimethylaminopropane (DLinDMA), 1,2-Dilinolenyloxy-N,N-dimethylaminopropane (DLendMA), or mixtures thereof. The cationic lipid may comprise from about 20 mol % to about 50 mol % or about 40 mol % of the total lipid present in the particle.

20 [0027] The non-cationic lipid may be an anionic lipid or a neutral lipid including, but not limited to, distearoylphosphatidylcholine (DSPC), dioleoylphosphatidylethanolamine (DOPE), palmitoyloleoyl-phosphatidylcholine (POPC), palmitoyloleoyl-phosphatidylethanolamine (POPE), palmitoyloleyol-phosphatidylglycerol (POPG), dipabnitoyl-phosphatidylcholine (DPPC), dipalmitoyl-phosphatidylethanolamine (DPPE),  
25 dimyristoyl-phosphatidylethanolamine (DMPE), distearoyl-phosphatidylethanolamine (DSPE), monomethyl-phosphatidylethanolamine, dimethyl-phosphatidylethanolamine, dielaidoyl-phosphatidylethanolamine (DEPE), stearoyloleoyl-phosphatidylethanolamine (SOPE), egg phosphatidylcholine (EPC), cholesterol, or mixtures thereof. The non-cationic lipid may comprise from about 5 mol % to about 90 mol % or about 20 mol % of the total  
30 lipid present in the particle.

[0028] The conjugated lipid that inhibits aggregation of particles may be a polyethyleneglycol (PEG)-lipid conjugate, a polyamide (ATTA)-lipid conjugate, a cationic-polymer-lipid conjugates (CPLs), or mixtures thereof. In one preferred embodiment, the nucleic acid-lipid particles comprise either a PEG-lipid conjugate or an ATTA-lipid

conjugate. In certain emobimdents, the PEG-lipid conjugate or ATTA-lipid conjugate is used together with a CPL. The conjugated lipid that inhibits aggregation of particles may comprise a PEG-lipid including, *e.g.*, a PEG-diacylglycerol (DAG), a PEG dialkyloxypropyl (DAA), a PEG-phospholipid, a PEG-ceramide (Cer), or mixtures thereof. The PEG-DAA

5 conjugate may be a PEG-dilauryloxypropyl (C12), a PEG-dimyristyloxypropyl (C14), a PEG-dipalmityloxypropyl (C16), or a PEG-distearyloxypropyl (C18). In some embodiments, the conjugated lipid that inhibits aggregation of particles is a CPL that has the formula: A-W-Y, wherein A is a lipid moiety, W is a hydrophilic polymer, and Y is a polycationic moiety. W may be a polymer selected from the group consisting of PEG, polyamide, 10 polylactic acid, polyglycolic acid, polylactic acid/polyglycolic acid copolymers, or combinations thereof, the polymer having a molecular weight of from about 250 to about 7000 daltons. In some embodiments, Y has at least 4 positive charges at a selected pH. In other embodiments, Y may be lysine, arginine, asparagine, glutamine, derivatives thereof, or combinations thereof. The conjugated lipid that prevents aggregation of particles may be 15 from 0 mol % to about 20 mol % or about 2 mol % of the total lipid present in the particle.

[0029] In some embodiments, the nucleic acid-lipid particle further comprises cholesterol at, *e.g.*, about 10 mol % to about 60 mol %, about 30 mol % to about 50 mol %, or about 48 mol % of the total lipid present in the particle.

[0030] In certain embodiments, the siRNA in the nucleic acid-lipid particle is not 20 substantially degraded after exposure of the particle to a nuclease at 37°C for at least 20, 30, 45, or 60 minutes, or after incubation of the particle in serum at 37°C for at least 30, 45, or 60 minutes.

[0031] In some embodiments, the siRNA is fully encapsulated in the nucleic acid-lipid 25 particle. In other embodiments, the siRNA is complexed with the lipid portion of the particle.

[0032] The present invention further provides pharmaceutical compositions comprising the nucleic acid-lipid particles described herein and a pharmaceutically acceptable carrier.

[0033] In yet another aspect, the siRNA described herein is used in methods for silencing 30 expression of an influenza virus gene such as PA, PB1, PB2, NP, M1, M2, NS1, and/or NS2 from Influenza A, B, or C virus. In particular, it is an object of the present invention to provide *in vitro* and *in vivo* methods for treatment of an influenza virus infection in a mammal by downregulating or silencing the transcription and/or translation of a target influenza virus gene of interest. In one embodiment, the present invention provides a method for introducing an siRNA that silences expression (*e.g.*, mRNA and/or protein levels) of an influenza virus gene into a cell by contacting the cell with an siRNA described herein. In

another embodiment, the present invention provides a method for *in vivo* delivery of an siRNA that silences expression of an influenza virus gene by administering to a mammal an siRNA described herein. Administration of the siRNA can be by any route known in the art, such as, *e.g.*, oral, intranasal, intravenous, intraperitoneal, intramuscular, intra-articular,

5 intralesional, intratracheal, subcutaneous, or intradermal.

[0034] In these methods, the siRNA that silences influenza virus gene expression is typically formulated with a carrier system, and the carrier system comprising the siRNA is administered to a mammal requiring such treatment. Alternatively, cells are removed from a mammal such as a human, the siRNA is delivered *in vitro* using a carrier system, and the 10 cells are then administered to the mammal, such as by injection. Examples of carrier systems suitable for use in the present invention include, but are not limited to, nucleic acid-lipid particles, liposomes, micelles, virosomes, nucleic acid complexes (*e.g.*, lipoplexes, polyplexes, *etc.*), and mixtures thereof. The carrier system may comprise at least one or a cocktail (*e.g.*, at least two, three, four, five, six, seven, eight, nine, ten, or more) of siRNA 15 molecules that silence influenza virus gene expression. In certain embodiments, the carrier system comprises at least one or a cocktail of the sequences set forth in Tables 1-4 and 7-8, such as, *e.g.*, unmodified or modified (such as 2OMe-modified) NP 97, NP 171, NP 222, NP 383, NP 411, NP 929, NP 1116, NP 1485, PA 392, and/or PA 783.

[0035] In some embodiments, the siRNA is in a nucleic acid-lipid particle comprising the 20 siRNA, a cationic lipid, and a non-cationic lipid. Preferably, the siRNA is in a nucleic acid-lipid particle comprising the siRNA, a cationic lipid, a non-cationic lipid, and a conjugated lipid that inhibits aggregation of particles. A therapeutically effective amount of the nucleic acid-lipid particle can be administered to the mammalian subject (*e.g.*, a rodent such as a mouse or a primate such as a human, chimpanzee, or monkey).

[0036] In another embodiment, at least about 1%, 2%, 4%, 6%, 8%, or 10% of the total 25 administered dose of the nucleic acid-lipid particles is present in plasma at about 1, 2, 4, 6, 8, 12, 16, 18, or 24 hours after administration. In a further embodiment, more than about 20%, 30%, or 40% or as much as about 60%, 70%, or 80% of the total administered dose of the nucleic acid-lipid particles is present in plasma at about 1, 4, 6, 8, 10, 12, 20, or 24 hours after 30 administration. In one embodiment, the effect of the siRNA (*e.g.*, downregularion of the target influenza virus sequence) at a site proximal or distal to the site of administration is detectable at about 12, 24, 48, 72, or 96 hours, or at about 6, 8, 10, 12, 14, 16, 18, 19, 20, 22, 24, 26, or 28 days after administration of the nucleic acid-lipid particles. In another embodiment, downregulation of expression of the target influenza virus sequence is

detectable at about 12, 24, 48, 72, or 96 hours, or at about 6, 8, 10, 12, 14, 16, 18, 19, 20, 22, 24, 26, or 28 days after administration. In certain instances, downregulation of expression of an influenza virus gene sequence is detected by measuring influenza virus mRNA or protein levels in a biological sample from the mammal. In certain other instances, downregulation of expression of an influenza virus gene sequence is detected by measuring influenza virus load in a biological sample from the mammal. In some embodiments, downregulation of expression of an influenza virus gene sequence is detected by monitoring symptoms associated with influenza virus infection in the mammal. In other embodiments, downregulation of expression of an influenza virus gene sequence is detected by measuring 10 survival of the mammal.

[0037] In some embodiments, the mammal has been exposed to a second mammal infected with an influenza virus prior to administration of the nucleic acid-lipid particle. In other embodiments, the mammal has been exposed to a fomite contaminated with an influenza virus prior to administration of the nucleic acid-lipid particle. In certain instances, 15 administration of the nucleic acid-lipid particle reduces the amount of influenza hemagglutinin (HA) protein in the mammal by at least about 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, or 95% relative to the amount of influenza HA protein in the absence of the particle.

[0038] The nucleic acid-lipid particles are suitable for use in intravenous nucleic acid 20 delivery as they are stable in circulation, of a size required for pharmacodynamic behavior resulting in access to extravascular sites, and target cell populations. The present invention also provides pharmaceutically acceptable compositions comprising nucleic acid-lipid particles.

[0039] In yet another aspect, the present invention provides a method for modifying an 25 anti-influenza siRNA having immunostimulatory properties, the method comprising: (a) providing an unmodified siRNA sequence capable of silencing expression of an influenza virus gene selected from the group consisting of PA, PB1, PB2, NP, M1, M2, NS1, and NS2; and (b) modifying the unmodified siRNA sequence by substituting at least one nucleotide in the sense or antisense strand with a modified nucleotide, thereby generating a modified 30 siRNA molecule that is less immunostimulatory than the unmodified siRNA sequence and is capable of silencing expression of the influenza virus gene.

[0040] The unmodified siRNA sequence typically comprises a double-stranded region of about 15 to about 60 nucleotides in length (*e.g.*, about 15-60, 15-50, 15-40, 15-30, 15-25, or 19-25 nucleotides in length). In some embodiments, the modified nucleotide includes, but is

not limited to, 2OMe nucleotides, 2'F nucleotides, 2'-deoxy nucleotides, 2'OMOE nucleotides, LNA nucleotides, and mixtures thereof. In certain instances, the unmodified siRNA sequence is modified by substituting at least one, two, three, four, five, six, seven, eight, nine, ten, or more of the uridine nucleotides and/or guanosine nucleotides in the sense 5 or antisense strand with modified uridine nucleotides and/or modified guanosine nucleotides, respectively. Preferably, the unmodified siRNA sequence is modified by substituting all of the uridine nucleotides in the sense or antisense strand with modified uridine nucleotides. In other embodiments, an siRNA with selective uridine nucleotide modifications can further comprise at least one, two, three, four, five, six, seven, eight, nine, ten, or more modified 10 nucleotides such as, for example, modified guanosine nucleotides, modified adenosine nucleotides, modified cytosine nucleotides, and mixtures thereof.

[0041] In preferred embodiments, the modified nucleotide comprises a 2'OMe nucleotide (e.g., 2'OMe purine and/or pyrimidine nucleotide) such as, for example, a 2'OMe-guanosine nucleotide, 2'OMe-uridine nucleotide, 2'OMe-adenosine nucleotide, 2'OMe-cytosine nucleotide, and mixtures thereof. In certain embodiments, the modified nucleotide is a 2'OMe-uridine nucleotide, 2'OMe-guanosine nucleotide, or mixtures thereof. In other embodiments, the modified nucleotide is not a 2'OMe-cytosine nucleotide.

[0042] In certain instances, the unmodified siRNA sequence comprises at least one, two, three, four, five, six, seven, or more 5'-GU-3' motifs. The 5'-GU-3' motif can be in the sense 20 strand, the antisense strand, or both strands of the unmodified siRNA sequence. Preferably, at least one nucleotide in the 5'-GU-3' motif is substituted with a modified nucleotide. As a non-limiting example, both nucleotides in the 5'-GU-3' motif can be substituted with modified nucleotides.

[0043] In some embodiments, the method further comprises: (c) confirming that the 25 modified siRNA molecule is less immunostimulatory by contacting the modified siRNA molecule with a mammalian responder cell under conditions suitable for the mammalian responder cell to produce a detectable immune response. The mammalian responder cell may be from a naïve mammal (i.e., a mammal that has not previously been in contact with the gene product of the siRNA sequence). The mammalian responder cell may be, e.g., a peripheral blood mononuclear cell (PBMC), a macrophage, and the like. The detectable immune response may comprise production of a cytokine or growth factor such as, e.g., TNF-α, IFN-α, IFN-β, IFN-γ, IL-6, IL-12, or a combination thereof.

[0044] In a related aspect, the present invention provides a method for identifying and modifying an anti-influenza siRNA having immunostimulatory properties. The method

comprises: (a) contacting an unmodified siRNA sequence capable of silencing expression of an influenza virus gene with a mammalian responder cell under conditions suitable for the mammalian responder cell to produce a detectable immune response, wherein the influenza virus gene is selected from the group consisting of PA, PB1, PB2, NP, MI, M2, NS1, and

5 NS2; (b) identifying the unmodified siRNA sequence as an immunostimulatory siRNA molecule by the presence of a detectable immune response in the mammalian responder cell; and (c) modifying the immunostimulatory siRNA molecule by substituting at least one nucleotide with a modified nucleotide, thereby generating a modified siRNA molecule that is less immunostimulatory than the unmodified siRNA sequence.

10 [0045] The unmodified siRNA sequence typically comprises a double-stranded region of about 15 to about 60 nucleotides in length (*e.g.*, about 15-60, 15-50, 15-40, 15-30, 15-25, or 19-25 nucleotides in length). In some embodiments, the modified nucleotide includes, but is not limited to, 2'OMe nucleotides, 2'F nucleotides, 2'-deoxy nucleotides, 2'OMOE nucleotides, LNA nucleotides, and mixtures thereof. In certain instances, the unmodified

15 siRNA sequence is modified by substituting at least one, two, three, four, five, six, seven, eight, nine, ten, or more of the uridine nucleotides and/or guanosine nucleotides in the sense or antisense strand with modified uridine nucleotides and/or modified guanosine nucleotides, respectively. Preferably, the unmodified siRNA sequence is modified by substituting all of the uridine nucleotides in the sense or antisense strand with modified uridine nucleotides.

20 In other embodiments, an siRNA with selective uridine nucleotide modifications can further comprise at least one, two, three, four, five, six, seven, eight, nine, ten, or more modified nucleotides such as, for example, modified guanosine nucleotides, modified adenosine nucleotides, modified cytosine nucleotides, and mixtures thereof.

[0046] In preferred embodiments, the modified nucleotide comprises a 2'OMe nucleotide (e.g., 2'OMe purine and/or pyrimidine nucleotide) such as, for example, a 2'OMe-guanosine nucleotide, 2'OMe-uridine nucleotide, 2'OMe-adenosine nucleotide, 2'OMe-cytosine nucleotide, and mixtures thereof. In certain embodiments, the modified nucleotide is a 2'OMe-uridine nucleotide, 2'OMe-guanosine nucleotide, or mixtures thereof. In other embodiments, the modified nucleotide is not a 2'OMe-cytosine nucleotide.

30 [0047] In certain instances, the unmodified siRNA sequence comprises at least one, two, three, four, five, six, seven, or more 5'-GU-3' motifs. The 5'-GU-3' motif can be in the sense strand, the antisense strand, or both strands of the unmodified siRNA sequence. Preferably, at least one nucleotide in the 5'-GU-3' motif is substituted with a modified nucleotide. As a

non-limiting example, both nucleotides in the 5'-GU-3' motif can be substituted with modified nucleotides.

[0048] In some embodiments, the mammalian responder cell is a peripheral blood mononuclear cell (PBMC), a macrophage, and the like. In other embodiments, the detectable 5 immune response comprises production of a cytokine or growth factor such as, for example, TNF- $\alpha$ , IFN- $\alpha$ , IFN- $\beta$ , IFN- $\gamma$ , IL-6, IL-12, or a combination thereof.

[0049] Other features, objects, and advantages of the invention and its preferred embodiments will become apparent from the detailed description, examples, and claims that follow.

### BRIEF DESCRIPTION OF THE DRAWINGS

10 [0050] Figure 1 illustrates data demonstrating that the optimal ratio of luciferase plasmid to LF2000 in MDCK cells is 1:4. Figure 1A shows the luciferase activity in relative light units (RLU) per  $\mu$ g protein from MDCK cells transfected with varying ratios of plasmid:LF2000 at 24 hours. Figure 1B shows the luciferase activity in relative luciferase levels from MDCK cells transfected with varying ratios of plasmid:LF2000 at 24 hours.

15 [0051] Figure 2 illustrates data demonstrating that NP 1496 siRNA delivered at an siRNA:LF2000 ratio of 1:4 knocks down influenza virus by about 60%. Figure 2A shows influenza virus infection of MDCK cells at 48 hours after 5 hours of pretreatment with NP 1496 siRNA. Figure 2B shows the percent knockdown of influenza virus in MDCK cells at 48 hours.

20 [0052] Figure 3 illustrates data demonstrating that NP and PA siRNA display potent anti-influenza activity in an *in vitro* MDCK cell assay. Figure 3A shows influenza virus infection of MDCK cells at 48 hours after 5 hours of pretreatment with siRNA. Figure 3B shows the percentage of HA relative to a virus only control at 48 hours in MDCK cells infected with a 1:800 dilution of influenza virus and transfected with 4  $\mu$ g/ml siRNA.

25 [0053] Figure 4 illustrates data demonstrating that NP 411, NP 929, NP 1116, and NP 1496 siRNA comprising selective 2' $\beta$ OMe modifications to the sense strand maintain influenza knockdown activity *in vitro* in MDCK cells. Figure 4A shows influenza virus infection of MDCK cells at 48 hours after 5 hours of pretreatment with modified or unmodified siRNA. Figure 4B shows the percentage of HA relative to a virus only control at 48 hours in MDCK 30 cells infected with a 1:800 dilution of influenza virus and transfected with 2  $\mu$ g/ml modified or unmodified siRNA.

[0054] Figure 5 illustrates data demonstrating that selective 2'OMe modifications to the sense strand of NP 1496 siRNA do not negatively affect influenza knockdown activity when compared to unmodified counterpart sequences or control sequences.

[0055] Figure 6 illustrates data demonstrating that NP and PA siRNA comprising selective 5 2'OMe modifications to the sense strand display potent anti-influenza activity in an *in vitro* MDCK cell assay.

[0056] Figure 7 illustrates data demonstrating that combinations of 2'OMe-modified siRNA provide enhanced influenza knockdown *in vitro* in MDCK cells. Figure 7A shows influenza virus infection of MDCK cells at 48 hours after 5 hours of pretreatment with various 10 combinations of modified siRNA. Figure 7B shows the percentage of HA relative to a virus only control at 48 hours in MDCK cells infected with a 1:800 dilution of influenza virus and transfected with 2  $\mu$ g/ml modified siRNA.

[0057] Figure 8 illustrates data demonstrating that selective 2'OMe modifications to NP 1496 siRNA abrogates interferon induction in an *in vitro* cell culture system.

15 [0058] Figure 9 illustrates data demonstrating that selective 2'OMe modifications to NP 1496 siRNA abrogates the interferon induction associated with systemic administration of the native duplex complexed with the cationic polymer polyethylenimine (PEI).

[0059] Figure 10 illustrates data demonstrating that lipid encapsulated NP 1496 siRNA is capable of viral knockdown *in vivo*. Figure 10A shows the HA unit per lung 48 hours after 20 inoculation with influenza virus in mice pretreated with SNALP-encapsulated NP 1496 siRNA. Figure 10B shows the percentage of HA per lung relative to a PBS control 48 hours after inoculation with influenza virus in mice pretreated with SNALP-encapsulated NP 1496 siRNA.

## DETAILED DESCRIPTION OF THE INVENTION

### 25 I. Introduction

[0060] The present invention is based on the discovery that silencing influenza gene expression is an effective means to treat influenza virus (*e.g.*, Influenza A, B, or C virus) infection. Accordingly, the present invention provides siRNA molecules comprising a double-stranded region of about 15 to about 60 nucleotides in length that silence expression 30 of an influenza gene (*e.g.*, PA, PB1, PB2, NP, M1, M2, NS1, and/or NS2). The anti-influenza siRNA molecules of the present invention can be modified or unmodified. Advantageously, the selective incorporation of modifications within the double-stranded region of the siRNA duplex provides siRNA molecules which retain the capability of

silencing the expression of a target influenza gene, but are less immunostimulatory than corresponding unmodified siRNA.

[0061] The present invention also provides nucleic acid-lipid particles that target influenza gene expression comprising an siRNA that silences influenza gene expression, a cationic

5 lipid, and a non-cationic lipid. In certain instances, the nucleic acid-lipid particles can further comprise a conjugated lipid that inhibits aggregation of particles. The present invention further provides methods of silencing influenza gene expression by administering the siRNA molecules described herein to a mammalian subject. In addition, the present invention provides methods of treating a subject who has been exposed to influenza virus or is

10 exhibiting symptoms of influenza virus infection by administering the siRNA molecules described herein.

## II. Definitions

[0062] As used herein, the following terms have the meanings ascribed to them unless specified otherwise.

15 [0063] The terms "influenza virus" or "flu virus" refer to single-stranded RNA viruses belonging to the family Orthomyxoviridae and include, *e.g.*, Influenza A, B, and C viruses, each of which have different nucleoproteins (*see, e.g.*, Steinhauer *et al*, *Anna. Rev. Genet.*, 36:305-332 (2002); and Neumann *et al*, *J. Gen. Virol.*, 83:2635-2662 (2002)). The influenza virus genome contains eight separate segments of RNA. One segment encodes nucleoprotein

20 (NP); one segment encodes two matrix proteins (M1 and M2); one segment encodes two nonstructural proteins (NS 1 and NS2); three segments each encode one RNA polymerase (PA, PB1, and PB2); one segment encodes neuraminidase (NA); and one segment encodes haemagglutinin (HA). Two distinct neuraminidases, N1 and N2, have been found in human infections and seven neuraminidases have been found in non-human infections. Three

25 distinct hemagglutinins, H1, H2, and H3, have been found in human infections and nine hemagglutinins have been found in non-human infections. Influenza A virus NP sequences are set forth in, *e.g.*, Genbank Accession Nos. AY818138 (SEQ ID NO:1); NC\_004522 (SEQ ID NO:2); NC\_007360 (SEQ ID NO:3); AB166863; AB188817; AB189046; AB189054; AB189062; AY646169; AY646177; AY651486; AY651493; AY651494; AY651495;

30 AY651496; AY651497; AY651498; AY651499; AY651500; AY651501; AY651502; AY651503; AY651504; AY651505; AY651506; AY651507; AY651509; AY651528; AY770996; AY790308; AY818138; and AY818140. Influenza A virus PA sequences are set forth in, *e.g.*, Genbank Accession Nos. AY818132 (SEQ ID NO:4); AF3891 17 (SEQ ID

NO:5); AY790280; AY646171; AY818132; AY818133; AY646179; AY818134; AY551934; AY651613; AY651610; AY651620; AY651617; AY651600; AY651611; AY651606; AY651618; AY651608; AY651607; AY651605; AY651609; AY651615; AY651616; AY651640; AY651614; AY651612; AY651621; AY651619; AY770995; and AY724786.

5 [0064] The term "interfering RNA" or "RNAi" or "interfering RNA sequence" refers to double-stranded RNA (*i.e.*, duplex RNA) that is capable of silencing, reducing, or inhibiting expression of a target gene (*i.e.*, by mediating the degradation of mRNAs which are complementary to the sequence of the interfering RNA) when the interfering RNA is in the same cell as the target gene. Interfering RNA thus refers to the double-stranded RNA formed  
10 by two complementary strands or by a single, self-complementary strand. Interfering RNA may have substantial or complete identity to the target gene or may comprise a region of mismatch (*i.e.*, a mismatch motif). The sequence of the interfering RNA can correspond to the full length target gene, or a subsequence thereof.

[0065] Interfering RNA includes "small-interfering RNA" or "siRNA," *e.g.*, interfering RNA of about 15-60, 15-50, or 15-40 (duplex) nucleotides in length, more typically about 15-30, 15-25, or 19-25 (duplex) nucleotides in length, and is preferably about 20-24, 21-22, or 21-23 (duplex) nucleotides in length (*e.g.*, each complementary sequence of the double-stranded siRNA is 15-60, 15-50, 15-40, 15-30, 15-25, or 19-25 nucleotides in length, preferably about 20-24, 21-22, or 21-23 nucleotides in length, and the double-stranded  
20 siRNA is about 15-60, 15-50, 15-40, 15-30, 15-25, or 19-25 base pairs in length, preferably about 20-24, 21-22, or 21-23 base pairs in length). siRNA duplexes may comprise 3<sup>1</sup> overhangs of about 1 to about 4 nucleotides or about 2 to about 3 nucleotides and 5' phosphate termini. Examples of siRNA include, without limitation, a double-stranded polynucleotide molecule assembled from two separate standed molecules, wherein one strand  
25 is the sense strand and the other is the complementary antisense strand; a double-stranded polynucleotide molecule assembled from a single-stranded molecule, where the sense and antisense regions are linked by a nucleic acid-based or non-nucleic acid-based linker; a double-stranded polynucleotide molecule with a hairpin secondary structure having self-complementary sense and antisense regions; and a circular single-stranded polynucleotide  
30 molecule with two or more loop structures and a stem having self-complementary sense and antisense regions, where the circular polynucleotide can be processed *in vivo* or *in vitro* to generate an active double-stranded siRNA molecule.

[0066] The siRNA can be chemically synthesized or may be encoded by a plasmid (*e.g.*, transcribed as sequences that automatically fold into duplexes with hairpin loops). siRNA

can also be generated by cleavage of longer dsRNA (*e.g.*, dsRNA greater than about 25 nucleotides in length) with the *E. coli* RNase III or Dicer. These enzymes process the dsRNA into biologically active siRNA (*see, e.g.*, Yang *et al.*, *Proc. Natl. Acad. ScL USA*, 99:9942-9947 (2002); Calegari *et al.*, *Proc. Natl. Acad. Sd. USA*, 99:14236-14240 (2002); Byrom *et al.*, *Ambion TechNotes*, 10:4-6 (2003); Kawasaki *et al.*, *Nucleic Acids Res.*, 31:981-987 (2003); Knight *et al.*, *Science*, 291:12(8-2X1 \ (2001); and Robertson *et al.*, *J. Biol. Chem.*, 243:82-91 (1968)). Preferably, dsRNA are at least 50 nucleotides to about 100, 200, 300, 400, or 500 nucleotides in length. A dsRNA may be as long as 1000, 1500, 2000, or 5000 nucleotides in length, or longer. The dsRNA can encode for an entire gene transcript or a partial gene transcript.

**[0067J]** As used herein, the term "mismatch motif" or "mismatch region" refers to a portion of an siRNA sequence that does not have 100 % complementarity to its target sequence. An siRNA may have at least one, two, three, four, five, six, or more mismatch regions. The mismatch regions may be contiguous or may be separated by 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, or more nucleotides. The mismatch motifs or regions may comprise a single nucleotide or may comprise two, three, four, five, or more nucleotides.

**[0068]** The phrase "inhibiting expression of a target gene" refers to the ability of an siRNA molecule of the present invention to silence, reduce, or inhibit expression of a target gene (*e.g.*, an influenza gene). To examine the extent of gene silencing, a test sample (*e.g.*, a biological sample from an organism of interest expressing the target gene or a sample of cells in culture expressing the target gene) is contacted with an siRNA that silences, reduces, or inhibits expression of the target gene. Expression of the target gene in the test sample is compared to expression of the target gene in a control sample that is not contacted with the siRNA. Control samples are assigned a value of 100%. Silencing, inhibition, or reduction of expression of a target gene is achieved when the value of the test sample relative to the control sample is about 95%, 90%, 85%, 80%, 75%, 70%, 65%, 60%, 55%, 50%, 45%, 40%, 35%, 30%, 25%, 20%, 15%, 10%, 5%, or 0%. Suitable assays include, *e.g.*, examination of protein or mRNA levels using techniques known to those of skill in the art such as dot blots, Northern blots, *in situ* hybridization, ELISA, immunoprecipitation, enzyme function, as well as phenotypic assays known to those of skill in the art.

**[0069]** The terms "substantially identical" or "substantial identity," in the context of two or more nucleic acids, refer to two or more sequences or subsequences that are the same or have a specified percentage of nucleotides that are the same (*i.e.*, at least about 60%, preferably at least about 65%, 70%, 75%, 80%, 85%, 90%, or 95% identity over a specified region), when

compared and aligned for maximum correspondence over a comparison window, or designated region as measured using one of the following sequence comparison algorithms or by manual alignment and visual inspection. This definition, when the context indicates, also refers analogously to the complement of a sequence. Preferably, the substantial identity exists over a region that is at least about 5, 10, 15, 20, 25, 30, 35, 40, 45, 50, 55, or 60 nucleotides in length.

[0070J] For sequence comparison, typically one sequence acts as a reference sequence, to which test sequences are compared. When using a sequence comparison algorithm, test and reference sequences are entered into a computer, subsequence coordinates are designated, if necessary, and sequence algorithm program parameters are designated. Default program parameters can be used, or alternative parameters can be designated. The sequence comparison algorithm then calculates the percent sequence identities for the test sequences relative to the reference sequence, based on the program parameters.

[0071] A "comparison window," as used herein, includes reference to a segment of any one of a number of contiguous positions selected from the group consisting of from about 5 to about 60, usually about 10 to about 45, more usually about 15 to about 30, in which a sequence may be compared to a reference sequence of the same number of contiguous positions after the two sequences are optimally aligned. Methods of alignment of sequences for comparison are well known in the art. Optimal alignment of sequences for comparison can be conducted, *e.g.*, by the local homology algorithm of Smith and Waterman, *Adv. Appl. Math.*, 2:482 (1981), by the homology alignment algorithm of Needleman and Wunsch, *J. MoI Biol.*, 48:443 (1970), by the search for similarity method of Pearson and Lipman, *Proc. Natl. Acad. Sd. USA*, 85:2444 (1988), by computerized implementations of these algorithms (GAP, BESTFIT, FASTA, and TFASTA in the Wisconsin Genetics Software Package,

Genetics Computer Group, 575 Science Dr., Madison, WI), or by manual alignment and visual inspection (*see, e.g.*, *Current Protocols in Molecular Biology*, Ausubel *et al.*, eds. (1995 supplement)).

[0072] A preferred example of algorithms that are suitable for determining percent sequence identity and sequence similarity are the BLAST and BLAST 2.0 algorithms, which are described in Altschul *et al.*, *Nuc. Acids Res.*, 25:3389-3402 (1977) and Altschul *et al.*, *J. MoI Biol.*, 215:403-410 (1990), respectively. BLAST and BLAST 2.0 are used, with the parameters described herein, to determine percent sequence identity for the nucleic acids of the invention. Software for performing BLAST analyses is publicly available through the National Center for Biotechnology Information (<http://www.ncbi.nlm.nih.gov/>).

[0073] The BLAST algorithm also performs a statistical analysis of the similarity between two sequences (see, e.g., Karlin and Altschul, *Proc. Natl. Acad. ScL USA*, 90:5873-5787 (1993)). One measure of similarity provided by the BLAST algorithm is the smallest sum probability (P(N)), which provides an indication of the probability by which a match between two nucleotide sequences would occur by chance. For example, a nucleic acid is considered similar to a reference sequence if the smallest sum probability in a comparison of the test nucleic acid to the reference nucleic acid is less than about 0.2, more preferably less than about 0.01, and most preferably less than about 0.001.

[0074] The term "nucleic acid" as used herein refers to a polymer containing at least two

deoxyribonucleotides or ribonucleotides in either single- or double-stranded form and includes DNA and RNA. DNA may be in the form of, e.g., antisense molecules, plasmid DNA, pre-condensed DNA, a PCR product, vectors (PI, PAC, BAC, YAC, artificial chromosomes), expression cassettes, chimeric sequences, chromosomal DNA, or derivatives and combinations of these groups. RNA may be in the form of siRNA, mRNA, tRNA, rRNA, tRNA, vRNA, and combinations thereof. Nucleic acids include nucleic acids containing known nucleotide analogs or modified backbone residues or linkages, which are synthetic, naturally occurring, and non-naturally occurring, and which have similar binding properties as the reference nucleic acid. Examples of such analogs include, without limitation, phosphorothioates, phosphoramidates, methyl phosphonates, chiral-methyl phosphonates, 2'-O-methyl ribonucleotides, and peptide-nucleic acids (PNAs). Unless specifically limited, the term encompasses nucleic acids containing known analogues of natural nucleotides that have similar binding properties as the reference nucleic acid. Unless otherwise indicated, a particular nucleic acid sequence also implicitly encompasses conservatively modified variants thereof (e.g., degenerate codon substitutions), alleles, orthologs, SNPs, and complementary sequences as well as the sequence explicitly indicated. Specifically, degenerate codon substitutions may be achieved by generating sequences in which the third position of one or more selected (or all) codons is substituted with mixed-base and/or deoxyinosine residues (see, e.g., Batzer *et al*, *Nucleic Acid Res.*, 19:5081 (1991); Ohtsuka *et al.*, *J. Biol. Chem.*, 260:2605-2608 (1985); and Rossolini *et al*, *Mol. Cell. Probes*, 8:91-98 (1994)). "Nucleotides" contain a sugar deoxyribose (DNA) or ribose (RNA), a base, and a phosphate group. Nucleotides are linked together through the phosphate groups. "Bases" include purines and pyrimidines, which further include natural compounds adenine, thymine, guanine, cytosine, uracil, inosine, and natural analogs, and synthetic derivatives of purines and pyrimidines, which include, but are not limited to, modifications which place

new reactive groups such as, but not limited to, amines, alcohols, thiols, carboxylates, and alkylhalides.

[0075] The term "gene" refers to a nucleic acid (*e.g.*, DNA or RNA) sequence that comprises partial length or entire length coding sequences necessary for the production of a 5 polypeptide or precursor polypeptide (*e.g.*, an influenza polypeptide).

[0076] "Gene product," as used herein, refers to a product of a gene such as an RNA transcript or a polypeptide.

[0077] The term "lipid" refers to a group of organic compounds that include, but are not limited to, esters of fatty acids and are characterized by being insoluble in water, but soluble 10 in many organic solvents. They are usually divided into at least three classes: (1) "simple lipids," which include fats and oils as well as waxes; (2) "compound lipids," which include phospholipids and glycolipids; and (3) "derived lipids" such as steroids.

[0078] "Lipid vesicle" refers to any lipid composition that can be used to deliver a compound such as an siRNA including, but not limited to, liposomes, wherein an aqueous 15 volume is encapsulated by an amphipathic lipid bilayer; or wherein the lipids coat an interior comprising a large molecular component, such as a plasmid comprising an interfering RNA sequence, with a reduced aqueous interior; or lipid aggregates or micelles, wherein the encapsulated component is contained within a relatively disordered lipid mixture. The term lipid vesicle encompasses any of a variety of lipid-based carrier systems including, without 20 limitation, SPLPs, pSPLPs, SNALPs, liposomes, micelles, virosomes, lipid-nucleic acid complexes, and mixtures thereof.

[0079] As used herein, "lipid encapsulated" can refer to a lipid formulation that provides a compound such as an siRNA with full encapsulation, partial encapsulation, or both. In a preferred embodiment, the nucleic acid is fully encapsulated in the lipid formulation (*e.g.*, to 25 form an SPLP, pSPLP, SNALP, or other nucleic acid-lipid particle).

[0080] As used herein, the term "SNALP" refers to a stable nucleic acid-lipid particle, including SPLP. A SNALP represents a vesicle of lipids coating a reduced aqueous interior comprising a nucleic acid (*e.g.*, siRNA, ssDNA, dsDNA, ssRNA, micro RNA (miRNA), short hairpin RNA (shRNA), dsRNA, or a plasmid, including plasmids from which an 30 interfering RNA is transcribed). As used herein, the term "SPLP" refers to a nucleic acid-lipid particle comprising a nucleic acid (*e.g.*, a plasmid) encapsulated within a lipid vesicle. SNALPs and SPLPs typically contain a cationic lipid, a non-cationic lipid, and a lipid that prevents aggregation of the particle (*e.g.*, a PEG-lipid conjugate). SNALPs and SPLPs are extremely useful for systemic applications, as they exhibit extended circulation lifetimes

following intravenous (i.v.) injection, accumulate at distal sites (*e.g.*, sites physically separated from the administration site) and can mediate expression of the transfected gene at these distal sites. SPLPs include "pSPLP," which comprise an encapsulated condensing agent-nucleic acid complex as set forth in PCT Publication No. WO 00/03683.

5 [0081] The nucleic acid-lipid particles of the present invention typically have a mean diameter of about 50 nm to about 150 nm, more typically about 60 nm to about 130 nm, more typically about 70 nm to about 110 nm, most typically about 70 to about 90 nm, and are substantially nontoxic. In addition, the nucleic acids, when present in the nucleic acid-lipid particles of the present invention, are resistant in aqueous solution to degradation with a 10 nuclease. Nucleic acid-lipid particles and their method of preparation are disclosed in, *e.g.*, U.S. Patent Nos. 5,976,567; 5,981,501; 6,534,484; 6,586,410; and 6,815,432; and PCT Publication No. WO 96/40964.

15 [0082] The term "vesicle-forming lipid" is intended to include any amphipathic lipid having a hydrophobic moiety and a polar head group, and which by itself can form spontaneously into bilayer vesicles in water, as exemplified by most phospholipids.

20 [0083] The term "vesicle-adopting lipid" is intended to include any amphipathic lipid that is stably incorporated into lipid bilayers in combination with other amphipathic lipids, with its hydrophobic moiety in contact with the interior, hydrophobic region of the bilayer membrane, and its polar head group moiety oriented toward the exterior, polar surface of the membrane.

25 Vesicle-adopting lipids include lipids that on their own tend to adopt a nonlamellar phase, yet which are capable of assuming a bilayer structure in the presence of a bilayer-stabilizing component. A typical example is dioleoylphosphatidylethanolamine (DOPE). Bilayer stabilizing components include, but are not limited to, conjugated lipids that inhibit aggregation of nucleic acid-lipid particles, polyamide oligomers (*e.g.*, ATTA-lipid derivatives), peptides, proteins, detergents, lipid-derivatives, PEG-lipid derivatives such as PEG coupled to dialkyloxypropyls, PEG coupled to diacylglycerols, PEG coupled to phosphatidyl-ethanolamines, PEG conjugated to ceramides (*see, e.g.*, U.S. Patent No. 5,885,613), cationic PEG lipids, and mixtures thereof. PEG can be conjugated directly to the lipid or may be linked to the lipid via a linker moiety. Any linker moiety suitable for 30 coupling the PEG to a lipid can be used including, *e.g.*, non-ester containing linker moieties and ester-containing linker moieties.

[0084] The term "amphipathic lipid" refers, in part, to any suitable material wherein the hydrophobic portion of the lipid material orients into a hydrophobic phase, while the hydrophilic portion orients toward the aqueous phase. Amphipathic lipids are usually the

major component of a lipid vesicle. Hydrophilic characteristics derive from the presence of polar or charged groups such as carbohydrates, phosphate, carboxylic, sulfate, amino, sulfhydryl, nitro, hydroxyl, and other like groups. Hydrophobicity can be conferred by the inclusion of apolar groups that include, but are not limited to, long chain saturated and

5 unsaturated aliphatic hydrocarbon groups and such groups substituted by one or more aromatic, cycloaliphatic or heterocyclic group(s). Examples of amphipathic compounds include, but are not limited to, phospholipids, aminolipids and sphingolipids. Representative examples of phospholipids include, but are not limited to, phosphatidylcholine, phosphatidylethanolamine, phosphatidylserine, phosphatidylinositol, phosphatidic acid, 10 palmitoyloleoyl phosphatidylcholine, lysophosphatidylcholine, lysophosphatidylethanolamine, dipalmitoylphosphatidylcholine, dioleoylphosphatidylcholine, distearoylphosphatidylcholine, and dilinoleoylphosphatidylcholine. Other compounds lacking in phosphorus, such as sphingolipid, glycosphingolipid families, diacylglycerols, and  $\beta$ -acyloxyacids, are also within the group designated as amphipathic lipids. Additionally, the 15 amphipathic lipid described above can be mixed with other lipids including triglycerides and sterols.

[0085] The term "neutral lipid" refers to any of a number of lipid species that exist either in an uncharged or neutral zwitterionic form at a selected pH. At physiological pH, such lipids include, for example, diacylphosphatidylcholine, diacylphosphatidylethanolamine, ceramide,

20 sphingomyelin, cephalin, cholesterol, cerebrosides, and diacylglycerols.

[0086] The term "non-cationic lipid" refers to any neutral lipid as described above as well as anionic lipids.

[0087] The term "anionic lipid" refers to any lipid that is negatively charged at physiological pH. These lipids include, but are not limited to, phosphatidylglycerols,

25 cardiolipins, diacylphosphatidylserines, diacylphosphatidic acids, N-dodecanoyl phosphatidylethanolamines, N-succinyl phosphatidylethanolamines, N-glutarylphosphatidylethanolamines, lysylphosphatidylglycerols, palmitoyloleyolphosphatidylglycerol (POPG), and other anionic modifying groups joined to neutral lipids.

30 [0088] The term "cationic lipid" refers to any of a number of lipid species that carry a net positive charge at a selected pH, such as physiological pH (e.g., pH of about 7.0). It has been surprisingly found that cationic lipids comprising alkyl chains with multiple sites of unsaturation, e.g., at least two or three sites of unsaturation, are particularly useful for forming nucleic acid-lipid particles with increased membrane fluidity. A number of cationic

lipids and related analogs, which are also useful in the present invention, have been described in U.S. Patent Publication No. 20060083780; U.S. Patent Nos. 5,208,036; 5,264,618; 5,279,833; 5,283,185; 5,753,613; and 5,785,992; and PCT Publication No. WO 96/10390.

Examples of cationic lipids include, but are not limited to, N,N-dioleyl-N,N-

5 dimethylammonium chloride (DODAC), dioctadecyldimethylammonium (DODMA), distearyldimethylammonium (DSDMA), N-(1-(2,3-dioleyloxy)propyl)-N,N,N-trimethylammonium chloride (DOTMA), N,N-distearyl-N,N-dimethylammonium bromide (DDAB), N-(1-(2,3-dioleyloxy)propyl)-N,N,N-trimethylammonium chloride (DOTAP), 3-(N-(N',N'-dimethylaminoethane)-carbamoyl)cholesterol (DC-Choi), N-(1,2-  
10 dimyristyloxyprop-3-yl)-N,N-dimethyl-N-hydroxyethyl ammonium bromide (DMRIE), 1,2-dilinoleyl-N,N-dimethylamino propane (DLinDMA), 1,2-dilinoleyl-N,N-dimethylaminopropane (DLenDMA), and mixtures thereof. As a non-limiting example, cationic lipids that have a positive charge below physiological pH include, but are not limited to, DODAP, DODMA, and DSDMA. In some cases, the cationic lipids comprise a  
15 protonatable tertiary amine head group, C18 alkyl chains, ether linkages between the head group and alkyl chains, and 0 to 3 double bonds. Such lipids include, *e.g.*, DSDMA, DLinDMA, DLenDMA, and DODMA. The cationic lipids may also comprise ether linkages and pH titratable head groups. Such lipids include, *e.g.*, DODMA.

**[0089J]** The term "hydrophobic lipid" refers to compounds having apolar groups that

20 include, but are not limited to, long chain saturated and unsaturated aliphatic hydrocarbon groups and such groups optionally substituted by one or more aromatic, cycloaliphatic, or heterocyclic group(s). Suitable examples include, but are not limited to, diacylglycerol, dialkylglycerol, N-N-dialkylamino, 1,2-diacyloxy-3-aminopropane, and 1,2-dialkyl-3-aminopropane.

25 **[0090]** The term "fusogenic" refers to the ability of a liposome, a SNALP, or other drug delivery system to fuse with membranes of a cell. The membranes can be either the plasma membrane or membranes surrounding organelles, *e.g.*, endosome, nucleus, *etc.*

**[0091]** As used herein, the term "aqueous solution" refers to a composition comprising in whole, or in part, water.

30 **[0092]** As used herein, the term "organic lipid solution" refers to a composition comprising in whole, or in part, an organic solvent having a lipid.

**[0093]** "Distal site," as used herein, refers to a physically separated site, which is not limited to an adjacent capillary bed, but includes sites broadly distributed throughout an organism.

[0094] "Serum-stable" in relation to nucleic acid-lipid particles means that the particle is not significantly degraded after exposure to a serum or nuclease assay that would significantly degrade free DNA or RNA. Suitable assays include, for example, a standard serum assay, a DNase assay, or an RNase assay.

5 [0095] "Systemic delivery," as used herein, refers to delivery that leads to a broad biodistribution of a compound such as an siRNA within an organism. Some techniques of administration can lead to the systemic delivery of certain compounds, but not others. Systemic delivery means that a useful, preferably therapeutic, amount of a compound is exposed to most parts of the body. To obtain broad biodistribution generally requires a blood 10 lifetime such that the compound is not rapidly degraded or cleared (such as by first pass organs (liver, lung, *etc.*) or by rapid, nonspecific cell binding) before reaching a disease site distal to the site of administration. Systemic delivery of nucleic acid-lipid particles can be by any means known in the art including, for example, intravenous, subcutaneous, and intraperitoneal. In a preferred embodiment, systemic delivery of nucleic acid-lipid particles 15 is by intravenous delivery.

[0096] "Local delivery," as used herein, refers to delivery of a compound such as an siRNA directly to a target site within an organism. For example, a compound can be locally delivered by direct injection into a disease site such as a tumor or other target site such as a site of inflammation or a target organ such as the liver, heart, pancreas, kidney, and the like.

20 [0097] The term "mammal" refers to any mammalian species such as a human, mouse, rat, dog, cat, hamster, guinea pig, rabbit, livestock, and the like.

[0098] "Fomite" as used herein refers to any inanimate object that when contaminated with a viable pathogen (*e.g.*, an influenza virus) can transfer the pathogen to a host. Typical fomites include, *e.g.*, hospital and clinic waiting and examination room surfaces (*e.g.*, floors, 25 walls, ceilings, curtains, carpets), needles, syringes, scalpels, catheters, brushes, stethoscopes, laryngoscopes, thermometers, tables, bedding, towels, eating utensils, and the like.

### III. siRNAs

[0099] The present invention provides an interfering RNA that silences (*e.g.*, partially or completely inhibits) expression of a gene of interest (*i.e.*, an influenza gene). An interfering 30 RNA can be provided in several forms. For example, an interfering RNA can be provided as one or more isolated small-interfering RNA (siRNA) duplexes, longer double-stranded RNA (dsRNA), or as siRNA or dsRNA transcribed from a transcriptional cassette in a DNA

plasmid. The interfering RNA may also be chemically synthesized. The interfering RNA can be administered alone or co-administered (*i.e.*, concurrently or consecutively) with conventional agents used to treat an influenza virus infection.

[0100] In one aspect, the interfering RNA is an siRNA molecule that is capable of silencing

5 expression of a target sequence (*e.g.*, PA, PB1, PB2, NP, M1, M2, NS1, or NS2) from an influenza virus. Suitable siRNA sequences are set forth in, *e.g.*, Tables 1-4 and 7-8.

Particularly preferred siRNA sequences are set forth in Tables 7-8. For any of the sequences set forth in Tables 1-4 and 7-8, thymine (*i.e.*, "T") can substituted with uracil (*i.e.*, "U") and uracil can be substituted with thymine. In some embodiments, the siRNA molecules are

10 about 15 to 60 nucleotides in length. The synthesized or transcribed siRNA can have 3<sup>1</sup> overhangs of about 1-4 nucleotides, preferably of about 2-3 nucleotides, and 5<sup>1</sup>phosphate termini. In some embodiments, the siRNA lacks terminal phosphates.

[0101] In certain embodiments, the siRNA molecules of the present invention are

chemically modified as described in, *e.g.*, U.S. Patent Application No. \_\_\_\_\_, filed

15 November 2, 2006 (Attorney Docket No. 020801-005020US), the teachings of which are herein incorporated by reference in their entirety for all purposes. The modified siRNA molecules are capable of silencing expression of a target sequence (*e.g.*, PA, PB1, PB2, NP, M1, M2, NS1, or NS2) from an influenza virus, are about 15 to 60 nucleotides in length, are less immunostimulatory than a corresponding unmodified siRNA sequence, and retain RNAi

20 activity against the target sequence. In some embodiments, the modified siRNA contains at least one 2<sup>f</sup>-O-methyl (2<sup>f</sup>OMe) purine or pyrimidine nucleotide such as a 2'OMe-guanosine, 2'OMe-uridine, 2'OMe-adenosine, and/or 2'OMe-cytosine nucleotide. In preferred

embodiments, one or more of the uridine and/or guanosine nucleotides are modified. The modified nucleotides can be present in one strand (*i.e.*, sense or antisense) or both strands of

25 the siRNA. Preferably, modified siRNA molecules are chemically synthesized. The modified siRNA can have 3' overhangs of about 1-4 nucleotides, preferably of about 2-3 nucleotides, and 5' phosphate termini. In some embodiments, the modified siRNA lacks terminal phosphates. In other embodiments, the modified siRNA lacks overhangs (*i.e.*, has blunt ends).

30 [0102] The modified siRNA generally comprises from about 1% to about 100% (*e.g.*, about 1%, 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90%, 95%, or 100%) modified nucleotides in the double-stranded region of the siRNA duplex. In one preferred embodiment, less than about 20% (*e.g.*, less than about 20%, 19%, 18%, 17%, 16%, 15%, 14%, 13%, 12%, 11%, 10%, 9%, 8%, 7%, 6%, 5%, 4%, 3%,

2%, or 1%) or from about 1% to about 20% (e.g., from about 1%-20%, 2%-20%, 3%-20%, 4%-20%, 5%-20%, 6%-20%, 7%-20%, 8%-20%, 9%-20%, 10%-20%, 11%-20%, 12%-20%, 13%-20%, 14%-20%, 15%-20%, 16%-20%, 17%-20%, 18%-20%, or 19%-20%) of the nucleotides in the double-stranded region comprise modified nucleotides. In another

5 preferred embodiment, e.g., when one or both strands of the siRNA are selectively modified at uridine and/or guanosine nucleotides, the resulting modified siRNA can comprise less than about 30% modified nucleotides (e.g., less than about 30%, 29%, 28%, 27%, 26%, 25%, 24%, 23%, 22%, 21%, 20%, 19%, 18%, 17%, 16%, 15%, 14%, 13%, 12%, 11%, 10%, 9%, 8%, 7%, 6%, 5%, 4%, 3%, 2%, or 1% modified nucleotides) or from about 1% to about 30% 10 modified nucleotides (e.g., from about 1%-30%, 2%-30%, 3%-30%, 4%-30%, 5%-30%, 6%-30%, 7%-30%, 8%-30%, 9%-30%, 10%-30%, 11%-30%, 12%-30%, 13%-30%, 14%-30%, 15%-30%, 16%-30%, 17%-30%, 18%-30%, 19%-30%, 20%-30%, 21%-30%, 22%-30%, 23%-30%, 24%-30%, 25%-30%, 26%-30%, 27%-30%, 28%-30%, or 29%-30% modified nucleotides).

15 [0103] In some embodiments, the siRNA molecules described herein comprise at least one region of mismatch with its target sequence. As used herein, the term "region of mismatch" refers to a region of an siRNA that does not have 100% complementarity to its target sequence. An siRNA may have at least one, two, or three regions of mismatch. The regions of mismatch may be contiguous or may be separated by one or more nucleotides. The 20 regions of mismatch may comprise a single nucleotide or may comprise two, three, four, or more nucleotides.

#### A. Selection of siRNA Sequences

[0104] Suitable siRNA sequences can be identified using any means known in the art. Typically, the methods described in Elbashir *et al.*, *Nature*, 411:494-498 (2001) and Elbashir 25 *et al.*, *EMBO J.*, 20:6877-6888 (2001) are combined with rational design rules set forth in Reynolds *et al.*, *Nature Biotech.*, 22:326-330 (2004).

[0105] Generally, the nucleotide sequence 3' of the AUG start codon of a transcript from the target gene of interest is scanned for dinucleotide sequences (e.g., AA, NA, CC, GG, or UU, wherein N = C, G, or U) (see, e.g., Elbashir *et al.*, *EMBO J.*, 20:6877-6888 (2001)). The 30 nucleotides immediately 3' to the dinucleotide sequences are identified as potential siRNA target sequences. Typically, the 19, 21, 23, 25, 27, 29, 31, 33, 35, or more nucleotides immediately 3' to the dinucleotide sequences are identified as potential siRNA target sites. In some embodiments, the dinucleotide sequence is an AA or NA sequence and the 19

nucleotides immediately 3' to the AA or NA dinucleotide are identified as a potential siRNA target site. siRNA target sites are usually spaced at different positions along the length of the target gene. To further enhance silencing efficiency of the siRNA sequences, potential siRNA target sites may be analyzed to identify sites that do not contain regions of homology

5 to other coding sequences, *e.g.*, in the target cell or organism. For example, a suitable siRNA target site of about 21 base pairs typically will not have more than 16-17 contiguous base pairs of homology to coding sequences in the target cell or organism. If the siRNA sequences are to be expressed from an RNA Pol III promoter, siRNA target sequences lacking more than 4 contiguous A's or T's are selected.

10 [0106] Once a potential siRNA sequence has been identified, the sequence can be analyzed using a variety of criteria known in the art. For example, to enhance their silencing efficiency, the siRNA sequences may be analyzed by a rational design algorithm to identify sequences that have one or more of the following features: (1) G/C content of about 25% to about 60% G/C; (2) at least 3 A/Us at positions 15-19 of the sense strand; (3) no internal 15 repeats; (4) an A at position 19 of the sense strand; (5) an A at position 3 of the sense strand; (6) a U at position 10 of the sense strand; (7) no G/C at position 19 of the sense strand; and (8) no G at position 13 of the sense strand. siRNA design tools that incorporate algorithms that assign suitable values of each of these features and are useful for selection of siRNA can be found at, *e.g.*, <http://boz094.ust.hk/RNAi/siRNA>. One of skill in the art will appreciate 20 that sequences with one or more of the foregoing characteristics may be selected for further analysis and testing as potential siRNA sequences.

[0107] Additionally, potential siRNA target sequences with one or more of the following criteria can often be eliminated as siRNA: (1) sequences comprising a stretch of 4 or more of the same base in a row; (2) sequences comprising homopolymers of Gs (*i.e.*, to reduce 25 possible non-specific effects due to structural characteristics of these polymers; (3) sequences comprising triple base motifs (*e.g.*, GGG, CCC, AAA, or TTT); (4) sequences comprising stretches of 7 or more G/Cs in a row; and (5) sequences comprising direct repeats of 4 or more bases within the candidates resulting in internal fold-back structures. However, one of skill in the art will appreciate that sequences with one or more of the foregoing characteristics 30 may still be selected for further analysis and testing as potential siRNA sequences.

[0108] In some embodiments, potential siRNA target sequences may be further analyzed based on siRNA duplex asymmetry as described in, *e.g.*, Khvorova *et al*, *Cell*, 115:209-216 (2003); and Schwarz *et al*, *Cell*, 115:199-208 (2003). In other embodiments, potential siRNA target sequences may be further analyzed based on secondary structure at the mRNA

target site as described in, *e.g.*, Luo *et al*, *Biophys. Res. Commun.*, 318:303-310 (2004). For example, mRNA secondary structure can be modeled using the Mfold algorithm (available at <http://www.bioinfo.ifi.edu/applications/mfold/rna/form1.cgi>) to select siRNA sequences which favor accessibility at the mRNA target site where less secondary structure in the form of base-pairing and stem-loops is present.

5 [0109] Once a potential siRNA sequence has been identified, the sequence can be analyzed for the presence of any immunostimulatory properties, *e.g.*, using an *in vitro* cytokine assay or an *in vivo* animal model. Motifs in the sense and/or antisense strand of the siRNA sequence such as GU-rich motifs (*e.g.*, 5'-GU-S<sup>1</sup>, 5'-UGU-3', 5'-GUGU-S<sup>1</sup>, 5'-UGUGU-3<sup>f</sup>,

10 *etc.*) can also provide an indication of whether the sequence may be immunostimulatory. Once an siRNA molecule is found to be immunostimulatory, it can then be modified to decrease its immunostimulatory properties as described herein. As a non-limiting example, an siRNA sequence can be contacted with a mammalian responder cell under conditions such that the cell produces a detectable immune response to determine whether the siRNA is an 15 immunostimulatory or a non-immunostimulatory siRNA. The mammalian responder cell may be from a naïve mammal (*i.e.*, a mammal that has not previously been in contact with the gene product of the siRNA sequence). The mammalian responder cell may be, *e.g.*, a peripheral blood mononuclear cell (PBMC), a macrophage, and the like. The detectable immune response may comprise production of a cytokine or growth factor such as, *e.g.*, TNF- 20  $\alpha$ , DFN- $\alpha$ , IFN- $\beta$ , IFN- $\gamma$ , IL-6, IL-12, or a combination thereof. An siRNA molecule identified as being immunostimulatory can then be modified to decrease its immunostimulatory properties by replacing at least one of the nucleotides on the sense and/or antisense strand with modified nucleotides. For example, less than about 30% (*e.g.*, less than about 30%, 25%, 20%, 15%, 10%, or 5%) of the nucleotides in the double-stranded region of 25 the siRNA duplex can be replaced with modified nucleotides such as 2'OMe nucleotides. The modified siRNA can then be contacted with a mammalian responder cell as described above to confirm that its immunostimulatory properties have been reduced or abrogated.

27 [0110] Suitable *in vitro* assays for detecting an immune response include, but are not limited to, the double monoclonal antibody sandwich immunoassay technique of David *et al* (U.S. Patent No. 4,376,110); monoclonal-polyclonal antibody sandwich assays (Wide *et al*, in Kirkham and Hunter, eds., *Radioimmunoassay Methods*, E. and S. Livingstone, Edinburgh (1970)); the "Western blot" method of Gordon *et al*. (U.S. Patent No. 4,452,901); immunoprecipitation of labeled ligand (Brown *et al.*, *J. Biol. Chem.*, 255:4980-4983 (1980)); enzyme-linked immunosorbent assays (ELISA) as described, for example, by Raines *et al*, *J.*

*Biol. Chem.*, 257:5154-5160 (1982); immunocytochemical techniques, including the use of fluorochromes (Brooks *et al.*, *Clin. Exp. Immunol.*, 39:477 (1980)); and neutralization of activity (Bowen-Pope *et al.*, *Proc. Natl. Acad. Sci. USA*, 81:2396-2400 (1984)). In addition to the immunoassays described above, a number of other immunoassays are available,

5 including those described in U.S. Patent Nos. 3,817,827; 3,850,752; 3,901,654; 3,935,074; 3,984,533; 3,996,345; 4,034,074; and 4,098,876.

[0111] A non-limiting example of an *in vivo* model for detecting an immune response includes an *in vivo* mouse cytokine induction assay that can be performed as follows: (1) siRNA can be administered by standard intravenous injection in the lateral tail vein; (2) blood 10 can be collected by cardiac puncture about 6 hours after administration and processed as plasma for cytokine analysis; and (3) cytokines can be quantified using sandwich ELISA kits according to the manufacturer's instructions (*e.g.*, mouse and human IFN- $\alpha$  (PBL Biomedical; Piscataway, NJ); human IL-6 and TNF- $\alpha$  (eBioscience; San Diego, CA); and mouse IL-6, TNF- $\alpha$ , and IFN- $\gamma$  (BD Biosciences; San Diego, CA)).

15 [0112] Monoclonal antibodies that specifically bind cytokines and growth factors are commercially available from multiple sources and can be generated using methods known in the art (*see, e.g.*, Kohler and Milstein, *Nature*, 256: 495-497 (1975); and Harlow and Lane, ANTIBODIES, A LABORATORY MANUAL, Cold Spring Harbor Publication, New York (1999)). Generation of monoclonal antibodies has been previously described and can be 20 accomplished by any means known in the art (*see, e.g.*, Buhring *et al.* in Hybridoma, Vol. 10, No. 1, pp. 77-78 (1991)). In some methods, the monoclonal antibody is labeled (*e.g.*, with any composition detectable by spectroscopic, photochemical, biochemical, electrical, optical, chemical means, and the like) to facilitate detection.

## B. Generating siRNA Molecules

25 [0113] siRNA molecules can be provided in several forms including, *e.g.*, as one or more isolated small-interfering RNA (siRNA) duplexes, as longer double-stranded RNA (dsRNA), or as siRNA or dsRNA transcribed from a transcriptional cassette in a DNA plasmid. The siRNA sequences may have overhangs (*e.g.*, 3' or 5' overhangs as described in Elbashir *et al.*, *Genes Dev.*, 15:188 (2001) or Nykanen *et al.*, *Cell*, 107:309 (2001)), or may lack overhangs 30 (*i.e.*, have blunt ends).

[0114] An RNA population can be used to provide long precursor RNAs, or long precursor RNAs that have substantial or complete identity to a selected target sequence can be used to make the siRNA. The RNAs can be isolated from cells or tissue, synthesized, and/or cloned

according to methods well known to those of skill in the art. The RNA can be a mixed population (obtained from cells or tissue, transcribed from cDNA, subtracted, selected, *etc.*), or can represent a single target sequence. RNA can be naturally occurring (*e.g.*, isolated from tissue or cell samples), synthesized *in vitro* (*e.g.*, using T7 or SP6 polymerase and PCR products or a cloned cDNA), or chemically synthesized.

5 [0115] To form a long dsRNA, for synthetic RNAs, the complement is also transcribed *in vitro* and hybridized to form a dsRNA. If a naturally occurring RNA population is used, the RNA complements are also provided (*e.g.*, to form dsRNA for digestion by *E. coli* RNase III or Dicer), *e.g.*, by transcribing cDNAs corresponding to the RNA population, or by using 10 RNA polymerases. The precursor RNAs are then hybridized to form double stranded RNAs for digestion. The dsRNAs can be directly administered to a subject or can be digested *in vitro* prior to administration.

[0116] Alternatively, one or more DNA plasmids encoding one or more siRNA templates are used to provide siRNA. siRNA can be transcribed as sequences that automatically fold 15 into duplexes with hairpin loops from DNA templates in plasmids having RNA polymerase III transcriptional units, for example, based on the naturally occurring transcription units for small nuclear RNA U6 or human RNase P RNA H1 (*see*, Brummelkamp *et al*, *Science*, 296:550 (2002); Donzé *et al*, *Nucleic Acids Res.*, 30:e46 (2002); Paddison *et al*, *Genes Dev.*, 16:948 (2002); Yu *et al*, *Proc. Natl. Acad. Sci. USA*, 99:6047 (2002); Lee *et al*, *Nat. Biotech.*, 20:500 (2002); Miyagishi *et al*, *Nat. Biotech.*, 20:497 (2002); Paul *et al*, *Nat. Biotech.*, 20:505 (2002); and Sui *et al*, *Proc. Natl. Acad. Sci. USA*, 99:5515 (2002)). Typically, a transcriptional unit or cassette will contain an RNA transcript promoter 20 sequence, such as an H1-RNA or a U6 promoter, operably linked to a template for transcription of a desired siRNA sequence and a termination sequence, comprised of 2-3 uridine residues and a polythymidine (T5) sequence (polyadenylation signal) (Brummelkamp 25 *et al*, *supra*). The selected promoter can provide for constitutive or inducible transcription. Compositions and methods for DNA-directed transcription of RNA interference molecules is described in detail in U.S. Patent No. 6,573,099. The transcriptional unit is incorporated into a plasmid or DNA vector from which the interfering RNA is transcribed. Plasmids suitable 30 for *in vivo* delivery of genetic material for therapeutic purposes are described in detail in U.S. Patent Nos. 5,962,428 and 5,910,488. The selected plasmid can provide for transient or stable delivery of a target cell. It will be apparent to those of skill in the art that plasmids originally designed to express desired gene sequences can be modified to contain a transcriptional unit cassette for transcription of siRNA.

[0117] Methods for isolating RNA, synthesizing RNA, hybridizing nucleic acids, making and screening cDNA libraries, and performing PCR are well known in the art (see, e.g.,

Gubler and Hoffman, *Gene* 25:263-269 (1983); Sambrook *et al*, *supra*; Ausubel *et al*, *supra*), as are PCR methods (see, U.S. Patents 4,683,195 and 4,683,202; *PCR Protocols: A*

5 *Guide to Methods and Applications* (Innis *et al*, eds, 1990)). Expression libraries are also well known to those of skill in the art. Additional basic texts disclosing the general methods of use in this invention include Sambrook *et al*, *Molecular Cloning, A Laboratory Manual* (2nd ed. 1989); Kriegler, *Gene Transfer and Expression: A Laboratory Manual* (1990); and *Current Protocols in Molecular Biology* (Ausubel *et al*, eds., 1994).

10 [0118] Preferably, siRNA molecules are chemically synthesized. The single-stranded molecules that comprise the siRNA molecule can be synthesized using any of a variety of techniques known in the art, such as those described in Usman *et al*, *J. Am. Chem. Soc*, 109:7845 (1987); Scaringe *et al*, *Nucl. Acids Res.*, 18:5433 (1990); Wincott *et al*, *Nucl.*

15 *Acids Res.*, 23:2677-2684 (1995); and Wincott *et al*, *Methods Mol. Biol.*, 74:59 (1997). The synthesis of the single-stranded molecules makes use of common nucleic acid protecting and coupling groups, such as dimethoxytrityl at the 5'-end and phosphoramidites at the 3'-end. As a non-limiting example, small scale syntheses can be conducted on an Applied Biosystems synthesizer using a 0.2  $\mu$ mol scale protocol with a 2.5 min coupling step for 2'-O-methylated nucleotides. Alternatively, syntheses at the 0.2  $\mu$ mol scale can be performed on a 96-well

20 plate synthesizer from Protogene (Palo Alto, CA). However, a larger or smaller scale of synthesis is also within the scope of the present invention. Suitable reagents for synthesis of the siRNA single-stranded molecules, methods for RNA deprotection, and methods for RNA purification are known to those of skill in the art.

25 [0119] The siRNA molecules can also be synthesized via a tandem synthesis technique, wherein both strands are synthesized as a single continuous fragment or strand separated by a cleavable linker that is subsequently cleaved to provide separate fragments or strands that hybridize to form the siRNA duplex. The linker can be a polynucleotide linker or a non-nucleotide linker. The tandem synthesis of siRNA can be readily adapted to both multiwell/multiplate synthesis platforms as well as large scale synthesis platforms employing

30 batch reactors, synthesis columns, and the like. Alternatively, the siRNA molecules can be assembled from two distinct single-stranded molecules, wherein one strand comprises the sense strand and the other comprises the antisense strand of the siRNA. For example, each strand can be synthesized separately and joined together by hybridization or ligation

following synthesis and/or deprotection. In certain other instances, the siRNA molecules can be synthesized as a single continuous fragment, where the self-complementary sense and antisense regions hybridize to form an siRNA duplex having hairpin secondary structure.

### C. Modifying siRNA Sequences

5 [0120] In certain aspects, the siRNA molecules of the present invention comprise a duplex having two strands and at least one modified nucleotide in the double-stranded region, wherein each strand is about 15 to about 60 nucleotides in length. Advantageously, the modified siRNA is less immunostimulatory than a corresponding unmodified siRNA sequence, but retains the capability of silencing the expression of a target sequence.

10 [0121] Examples of modified nucleotides suitable for use in the present invention include, but are not limited to, ribonucleotides having a 2'-O-methyl (2'OMe), 2'-deoxy-2'-fluoro (2'F), 2'-deoxy, 5-C-methyl, 2'-O-(2-methoxyethyl) (MOE), 4'-thio, 2'-amino, or 2'-C-allyl group. Modified nucleotides having a Northern conformation such as those described in, *e.g.*, Saenger, *Principles of Nucleic Acid Structure*, Springer-Verlag Ed. (1984), are also suitable

15 for use in the siRNA molecules of the present invention. Such modified nucleotides include, without limitation, locked nucleic acid (LNA) nucleotides (*e.g.*, 2'-0, 4'-C-methylene-(D-ribofuranosyl) nucleotides), 2'-O-(2-methoxyethyl) (MOE) nucleotides, 2'-methyl-thio-ethyl nucleotides, 2'-deoxy-2'-fluoro (2'F) nucleotides, 2'-deoxy-2'-chloro (2'Cl) nucleotides, and 2'-azido nucleotides. In certain instances, the siRNA molecules of the present invention

20 include one or more G-clamp nucleotides. A G-clamp nucleotide refers to a modified cytosine analog wherein the modifications confer the ability to hydrogen bond both Watson-Crick and Hoogsteen faces of a complementary guanine nucleotide within a duplex (*see, e.g.*, Lin *et al.*, *J. Am. Chem. Soc.*, 120:8531-8532 (1998)). In addition, nucleotides having a nucleotide base analog such as, for example, C-phenyl, C-naphthyl, other aromatic

25 derivatives, inosine, azole carboxamides, and nitroazole derivatives such as 3-nitropyrrole, 4-nitroindole, 5-nitroindole, and 6-nitroindole (*see, e.g.*, Loakes, *Nucl. Acids Res.*, 29:2437-2447 (2001)) can be incorporated into the siRNA molecules of the present invention.

[0122] In certain embodiments, the siRNA molecules of the present invention further comprise one or more chemical modifications such as terminal cap moieties, phosphate

30 backbone modifications, and the like. Examples of terminal cap moieties include, without limitation, inverted deoxy abasic residues, glyceryl modifications, 4',5'-methylene nucleotides, 1-( $\beta$ -D-erythrofuranosyl) nucleotides, 4'-thio nucleotides, carbocyclic nucleotides, 1,5-anhydrohexitol nucleotides, L-nucleotides,  $\alpha$ -nucleotides, modified base

nucleotides, *t*reo-pentofuranosyl nucleotides, acyclic 3',4'-seco nucleotides, acyclic 3,4-dihydroxybutyl nucleotides, acyclic 3,5-dihydroxypentyl nucleotides, 3'-3'-inverted nucleotide moieties, 3'-3'-inverted abasic moieties, 3'-2'-inverted nucleotide moieties, 3'-2'-inverted abasic moieties, 5'-5'-inverted nucleotide moieties, 5'-5'-inverted abasic moieties, 3'-5'-inverted deoxy abasic moieties, 5'-amino-alkyl phosphate, 1,3-diamino-2-propyl phosphate, 3-aminopropyl phosphate, 6-aminoethyl phosphate, 1,2-aminododecyl phosphate, hydroxypropyl phosphate, 1,4-butanediol phosphate, 3'-phosphoramidate, 5'-phosphoramidate, hexylphosphate, aminohexyl phosphate, 3'-phosphate, 5'-amino, 3'-phosphorothioate, 5'-phosphorothioate, phosphorodithioate, and bridging or non-bridging 10 methylphosphonate or 5'-mercapto moieties (see, e.g., U.S. Patent No. 5,998,203; Beaucage *et al.*, *Tetrahedron* 49:1925 (1993)). Non-limiting examples of phosphate backbone modifications (*i.e.*, resulting in modified internucleotide linkages) include phosphorothioate, phosphorodithioate, methylphosphonate, phosphotriester, morpholino, amide, carbamate, carboxymethyl, acetamide, polyamide, sulfonate, sulfonamide, sulfamate, formacetal, 15 thioformacetal, and alkylsilyl substitutions (see, e.g., Hunziker *et al.*, *Nucleic Acid Analogues: Synthesis and Properties*, in *Modern Synthetic Methods*, VCH, 331-417 (1995); Mesmaeker *et al.*, *Novel Backbone Replacements for Oligonucleotides*, in *Carbohydrate Modifications in Antisense Research*, ACS, 24-39 (1994)). Such chemical modifications can occur at the 5'-end and/or 3'-end of the sense strand, antisense strand, or both strands of the 20 siRNA.

**[0123]** In some embodiments, the sense and/or antisense strand can further comprise a 3<sup>1</sup> terminal overhang having about 1 to about 4 (e.g., 1, 2, 3, or 4) 2'-deoxy ribonucleotides and/or any combination of modified and unmodified nucleotides. Additional examples of modified nucleotides and types of chemical modifications that can be introduced into the 25 modified siRNA molecules of the present invention are described, *e.g.*, in UK Patent No. GB 2,397,818 B and U.S. Patent Publication Nos. 20040192626 and 20050282188.

**[0124]** The siRNA molecules of the present invention can optionally comprise one or more non-nucleotides in one or both strands of the siRNA. As used herein, the term "non-nucleotide" refers to any group or compound that can be incorporated into a nucleic acid 30 chain in the place of one or more nucleotide units, including sugar and/or phosphate substitutions, and allows the remaining bases to exhibit their activity. The group or compound is abasic in that it does not contain a commonly recognized nucleotide base such as adenine, guanine, cytosine, uracil, or thymine and therefore lacks a base at the 1<sup>1</sup>-position.

**[0125]** In other embodiments, chemical modification of the siRNA comprises attaching a conjugate to the siRNA molecule. The conjugate can be attached at the S'- and/or 3'-end of the sense and/or antisense strand of the siRNA via a covalent attachment such as, *e.g.*, a biodegradable linker. The conjugate can also be attached to the siRNA, *e.g.*, through a

5 carbamate group or other linking group (*see, e.g.*, U.S. Patent Publication Nos. 20050074771, 20050043219, and 20050158727). In certain instances, the conjugate is a molecule that facilitates the delivery of the siRNA into a cell. Examples of conjugate molecules suitable for attachment to the siRNA of the present invention include, without limitation, steroids such as cholesterol, glycols such as polyethylene glycol (PEG), human serum albumin

10 (HSA), fatty acids, carotenoids, terpenes, bile acids, folates (*e.g.*, folic acid, folate analogs and derivatives thereof), sugars (*e.g.*, galactose, galactosamine, N-acetyl galactosamine, glucose, mannose, fructose, fucose, *etc.*), phospholipids, peptides, ligands for cellular receptors capable of mediating cellular uptake, and combinations thereof (*see, e.g.*, U.S. Patent Publication Nos. 20030130186, 200401 10296, and 20040249178; U.S. Patent No.

15 6,753,423). Other examples include the lipophilic moiety, vitamin, polymer, peptide, protein, nucleic acid, small molecule, oligosaccharide, carbohydrate cluster, intercalator, minor groove binder, cleaving agent, and cross-linking agent conjugate molecules described in U.S. Patent Publication Nos. 200501 19470 and 20050107325. Yet other examples include the 2'-O-alkyl amine, 2'-O-alkoxyalkyl amine, polyamine, C5-cationic modified pyrimidine,

20 cationic peptide, guanidinium group, amidinium group, cationic amino acid conjugate molecules described in U.S. Patent Publication No. 20050153337. Additional examples include the hydrophobic group, membrane active compound, cell penetrating compound, cell targeting signal, interaction modifier, and steric stabilizer conjugate molecules described in U.S. Patent Publication No. 20040167090. Further examples include the conjugate

25 molecules described in U.S. Patent Publication No. 20050239739. The type of conjugate used and the extent of conjugation to the siRNA molecule can be evaluated for improved pharmacokinetic profiles, bioavailability, and/or stability of the siRNA while retaining RNAi activity. As such, one skilled in the art can screen siRNA molecules having various conjugates attached thereto to identify ones having improved properties and RNAi activity

30 using any of a variety of well-known *in vitro* cell culture or *in vivo* animal models.

#### IV. Carrier Systems Containing siRNA

**(0126)** In one aspect, the present invention provides carrier systems containing the siRNA molecules described herein. In some embodiments, the carrier system is a lipid-based carrier

system such as a stabilized nucleic acid-lipid particle (*e.g.*, SNALP or SPLP), cationic lipid or liposome nucleic acid complexes (*i.e.*, lipoplexes), a liposome, a micelle, a virosome, or a mixture thereof. In other embodiments, the carrier system is a polymer-based carrier system such as a cationic polymer-nucleic acid complex (*i.e.*, polyplex). In additional embodiments, 5 the carrier system is a cyclodextrin-based carrier system such as a cyclodextrin polymer-nucleic acid complex. In further embodiments, the carrier system is a protein-based carrier system such as a cationic peptide-nucleic acid complex. Preferably, the carrier system is a stabilized nucleic acid-lipid particle such as a SNALP or SPLP. One skilled in the art will appreciate that the siRNA molecules of the present invention can also be delivered as naked 10 siRNA.

#### A. Stabilized Nucleic Acid-Lipid Particles

[0127J] The stabilized nucleic acid-lipid particles (SNALPs) of the present invention typically comprise an siRNA molecule that targets expression of an influenza virus gene, a cationic lipid (*e.g.*, a cationic lipid of Formula I or II), and a non-cationic lipid. The SNALPs 15 can further comprise a bilayer stabilizing component (*i.e.*, a conjugated lipid that inhibits aggregation of the particles). The SNALPs may comprise at least 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, or more of the siRNA molecules described herein.

[0128] The SNALPs of the present invention typically have a mean diameter of about 50 nm to about 150 nm, more typically about 60 nm to about 130 nm, more typically about 70 20 nm to about 110 nm, most typically about 70 to about 90 nm, and are substantially nontoxic. In addition, the nucleic acids are resistant in aqueous solution to degradation with a nuclease when present in the nucleic acid-lipid particles. Nucleic acid-lipid particles and their method of preparation are disclosed in, *e.g.*, U.S. Patent Nos. 5,753,613; 5,785,992; 5,705,385; 5,976,567; 5,981,501; 6,1 10,745; and 6,320,017; and PCT Publication No. WO 96/40964.

25 1. Cationic Lipids

[0129] Any of a variety of cationic lipids may be used in the stabilized nucleic acid-lipid particles of the present invention, either alone or in combination with one or more other cationic lipid species or non-cationic lipid species.

[0130] Cationic lipids which are useful in the present invention can be any of a number of 30 lipid species which carry a net positive charge at physiological pH. Such lipids include, but are not limited to, DODAC, DODMA, DSDMA, DOTMA, DDAB, DOTAP, DOSPA, DOGS, DC-Choi, DMRIE, and mixtures thereof. A number of these lipids and related

analogs have been described in U.S. Patent Publication No. 20060083780; U.S. Patent Nos.

5,208,036; 5,264,618; 5,279,833; 5,283,185; and 5,753,613; and 5,785,992; and PCT

Publication No. WO 96/10390. Additionally, a number of commercial preparations of

cationic lipids are available and can be used in the present invention. These include, for

example, LBPOFECTIN® (commercially available cationic liposomes comprising DOTMA

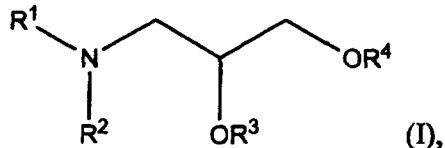
and DOPE, from GIBCO/BRL, Grand Island, New York, USA); UPOFECTAMIN

(commercially available cationic liposomes comprising DOSPA and DOPE, from

GIBCO/BRL); and TRANSFECTAM\* (commercially available cationic liposomes

comprising DOGS from Promega Corp., Madison, Wisconsin, USA).

10 [0131] Furthermore, cationic lipids of Formula I having the following structures are useful in the present invention.



wherein R<sup>1</sup> and R<sup>2</sup> are independently selected and are H or C<sub>1</sub>-C<sub>3</sub> alkyls, R<sup>3</sup> and R<sup>4</sup> are independently selected and are alkyl groups having from about 10 to about 20 carbon atoms,

15 and at least one of  $R^3$  and  $R^4$  comprises at least two sites of unsaturation. In certain instances,  $R^3$  and  $R^4$  include the group  $-R^3_1-R^4_1-1$  (Cl, O). In certain other

$R'$  and  $R''$  are both the same, i.e.,  $R'$  and  $R''$  are both in  $\text{Im}(\psi)$  (cf. 6), etc. In certain other

a preferred embodiment, the cationic lipid of Formula I is symmetrical, *i.e.*,  $R^3$  and  $R^4$  are both the same. In another preferred embodiment, both  $R^3$  and  $R^4$  comprise at least two sites

of unsaturation. In some embodiments,  $R^3$  and  $R^4$  are independently selected from

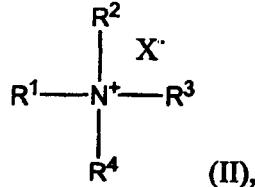
dodecadienyl, tetradecadienyl, hexadecadienyl, linoleyl, and icosadienyl. In a preferred

embodiment, R<sup>3</sup> and R<sup>4</sup> are both linoleyl. In some embodiments, R<sup>3</sup> and R<sup>4</sup> comprise at least three sites of unsaturation and are independently selected from, *e.g.*, dodecatrienyl,

tetradecatrienyl, hexadecatrienyl, linolenyl, and icosatrienyl. In a particularly preferred

25 embodiments, the cationic lipid of Formula I is DLinDMA or DLenDMA.

[0132] Moreover, cationic lipids of Formula II having the following structures are useful in the present invention.



wherein R<sup>1</sup> and R<sup>2</sup> are independently selected and are H or C<sub>1</sub>-Cs alkyls, R<sup>3</sup> and R<sup>4</sup> are independently selected and are alkyl groups having from about 10 to about 20 carbon atoms, and at least one of R<sup>3</sup> and R<sup>4</sup> comprises at least two sites of unsaturation. In certain instances, R<sup>3</sup> and R<sup>4</sup> are both the same, *i.e.*, R<sup>3</sup> and R<sup>4</sup> are both linoleyl (C18), *etc.* In certain other instances, R<sup>3</sup> and R<sup>4</sup> are different, *i.e.*, R<sup>3</sup> is tetradecatrienyl (C14) and R<sup>4</sup> is linoleyl (C18). In a preferred embodiment, the cationic lipids of the present invention are symmetrical, *i.e.*, R<sup>3</sup> and R<sup>4</sup> are both the same. In another preferred embodiment, both R<sup>3</sup> and R<sup>4</sup> comprise at least two sites of unsaturation. In some embodiments, R<sup>3</sup> and R<sup>4</sup> are independently selected from dodecadienyl, tetradecadienyl, hexadecadienyl, linoleyl, and icosadienyl. In a preferred embodiment, R<sup>3</sup> and R<sup>4</sup> are both linoleyl. In some embodiments, R<sup>3</sup> and R<sup>4</sup> comprise at least three sites of unsaturation and are independently selected from, *e.g.*, dodecatrienyl, tetradecatrienyl, hexadecatrienyl, linolenyl, and icosatrienyl.

[0133] The cationic lipid typically comprises from about 2 mol % to about 60 mol %, from about 5 mol % to about 50 mol %, from about 10 mol % to about 50 mol %, from about 20 mol % to about 50 mol %, from about 20 mol % to about 40 mol %, from about 30 mol % to about 40 mol %, or about 40 mol % of the total lipid present in the particle. It will be readily apparent to one of skill in the art that depending on the intended use of the particles, the proportions of the components can be varied and the delivery efficiency of a particular formulation can be measured using, *e.g.*, an endosomal release parameter (ERP) assay. For example, for systemic delivery, the cationic lipid may comprise from about 5 mol % to about 15 mol % of the total lipid present in the particle, and for local or regional delivery, the cationic lipid may comprise from about 30 mol % to about 50 mol %, or about 40 mol % of the total lipid present in the particle.

## 2. Non-cationic Lipids

[0134] The non-cationic lipids used in the stabilized nucleic acid-lipid particles of the present invention can be any of a variety of neutral uncharged, zwitterionic, or anionic lipids capable of producing a stable complex. They are preferably neutral, although they can alternatively be positively or negatively charged. Examples of non-cationic lipids include, without limitation, phospholipid-related materials such as lecithin, phosphatidylethanolamine, lysolecithin, lysophosphatidylethanolamine, phosphatidylserine, phosphatidylinositol, sphingomyelin, egg sphingomyelin (ESM), cephalin, cardiolipin, phosphatide acid, cerebrosides, dicetylphosphate, distearoylphosphatidylcholine (DSPC), dioleoylphosphatidylcholine (DOPC), dipalmitoylphosphatidylcholine (DPPC),

dioleoylphosphatidylglycerol (DOPG), dipalmitoylphosphatidylglycerol (DPPG), dioleoylphosphatidylethanolamine (DOPE), palmitoyloleoyl-phosphatidylcholine (POPC), palmitoyloleoyl-phosphatidylethanolamine (POPE), palmitoyloleyol-phosphatidylglycerol (POPG), dioleoylphosphatidylethanolamine 4-(N-maleimidomethyl)-cyclohexane-1-carboxylate (DOPE-mal), dipalmitoyl-phosphatidylethanolamine (DPPE), dimyristoyl-phosphatidylethanolamine (DMPE), distearoyl-phosphatidylethanolamine (DSPE), monomethyl-phosphatidylethanolamine, dimethyl-phosphatidylethanolamine, dielaidoyl-phosphatidylethanolamine (DEPE), and stearoyloleoyl-phosphatidylethanolamine (SOPE).

5 Non-cationic lipids or sterols such as cholesterol may also be present. Additional

10 nonphosphorous containing lipids include, *e.g.*, stearylamine, dodecylamine, hexadecylamine, acetyl palmitate, glycerolricinoleate, hexadecyl stearate, isopropyl

myristate, amphoteric acrylic polymers, triethanolamine-lauryl sulfate, alkyl-aryl sulfate polyethoxylated fatty acid amides, dioctadecyldimethyl ammonium bromide, ceramide, diacylphosphatidylcholine, diacylphosphatidylethanolamine, and the like. Other lipids such

15 as lysophosphatidylcholine and lysophosphatidylethanolamine may be present. Non-cationic lipids also include polyethylene glycol-based polymers such as PEG 2000, PEG 5000, and polyethylene glycol conjugated to phospholipids or to ceramides (referred to as PEG-Cer), as described in U.S. Patent Application No. 08/316,429.

[0135] In preferred embodiments, the non-cationic lipids are diacylphosphatidylcholine

20 (*e.g.*, distearoylphosphatidylcholine, dioleoylphosphatidylcholine,

dipalmitoylphosphatidylcholine, and dilinoleoylphosphatidylcholine),

diacylphosphatidylethanolamine (*e.g.*, dioleoylphosphatidylethanolamine and

palmitoyloleoyl-phosphatidylethanolamine), ceramide, or sphingomyelin. The acyl groups in these lipids are preferably acyl groups derived from fatty acids having C<sub>10</sub>-C<sub>24</sub> carbon chains.

25 More preferably, the acyl groups are lauroyl, myristoyl, palmitoyl, stearoyl, or oleoyl. In particularly preferred embodiments, the non-cationic lipid includes one or more of cholesterol, DOPE, or ESM.

[0136] The non-cationic lipid typically comprises from about 5 mol % to about 90 mol %, from about 10 mol % to about 85 mol %, from about 20 mol % to about 80 mol %, or about

30 20 mol % of the total lipid present in the particle. The particles may further comprise cholesterol. If present, the cholesterol typically comprises from about 0 mol % to about 10 mol %, from about 2 mol % to about 10 mol %, from about 10 mol % to about 60 mol %, from about 12 mol % to about 58 mol %, from about 20 mol % to about 55 mol %, from

about 30 mol % to about 50 mol %, or about 48 mol % of the total lipid present in the particle.

### 3. Bilayer Stabilizing Component

**[0137]** In addition to cationic and non-cationic lipids, the stabilized nucleic acid-lipid

5 particles of the present invention can comprise a bilayer stabilizing component (BSC) such as an ATTA-lipid or a PEG-lipid such as PEG coupled to dialkyloxypropyls (PEG-DAA) as described in, *e.g.*, PCT Publication No. WO 05/026372, PEG coupled to diacylglycerol (PEG-DAG) as described in, *e.g.*, U.S. Patent Publication Nos. 20030077829 and 2005008689, PEG coupled to phospholipids such as phosphatidylethanolamine (PEG-PE),  
10 PEG conjugated to ceramides, or a mixture thereof (*see, e.g.*, U.S. Patent No. 5,885,613). In a preferred embodiment, the BSC is a conjugated lipid that prevents the aggregation of particles. Suitable conjugated lipids include, but are not limited to, PEG-lipid conjugates, ATTA-lipid conjugates, cationic-polymer-lipid conjugates (CPLs), and mixtures thereof. In another preferred embodiment, the particles comprise either a PEG-lipid conjugate or an  
15 ATTA-lipid conjugate together with a CPL.

**[0138]** PEG is a linear, water-soluble polymer of ethylene PEG repeating units with two terminal hydroxyl groups. PEGs are classified by their molecular weights; for example, PEG 2000 has an average molecular weight of about 2,000 daltons, and PEG 5000 has an average molecular weight of about 5,000 daltons. PEGs are commercially available from Sigma

20 Chemical Co. and other companies and include, for example, the following: monomethoxypolyethylene glycol (MePEG-OH), monomethoxypolyethylene glycol-succinate (MePEG-S), monomethoxypolyethylene glycol-succinimidyl succinate (MePEG-S-NHS), monomethoxypolyethylene glycol-amine (MePEG-NH<sub>2</sub>), monomethoxypolyethylene glycol-tresylate (MePEG-TRES), and monomethoxypolyethylene glycol-imidazolyl-carbonyl  
25 (MePEG-IM). In addition, monomethoxypolyethyleneglycol-acetic acid (MePEG-CH<sub>2</sub>COOH) is particularly useful for preparing the PEG-lipid conjugates including, *e.g.*, PEG-DAA conjugates.

**[0139]** In a preferred embodiment, the PEG has an average molecular weight of from about 550 daltons to about 10,000 daltons, more preferably from about 750 daltons to about 5,000

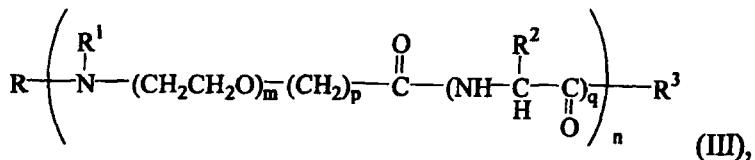
30 daltons, more preferably from about 1,000 daltons to about 5,000 daltons, more preferably from about 1,500 daltons to about 3,000 daltons, and even more preferably about 2,000 daltons or about 750 daltons. The PEG can be optionally substituted by an alkyl, alkoxy, acyl, or aryl group. The PEG can be conjugated directly to the lipid or may be linked to the

lipid via a linker moiety. Any linker moiety suitable for coupling the PEG to a lipid can be used including, *e.g.*, non-ester containing linker moieties and ester-containing linker moieties. In a preferred embodiment, the linker moiety is a non-ester containing linker moiety. As used herein, the term "non-ester containing linker moiety" refers to a linker moiety that does not contain a carboxylic ester bond (-OC(O)-). Suitable non-ester containing linker moieties include, but are not limited to, amido (-C(O)NH-), amino (-NR-), carbonyl (-C(O)-), carbamate (-NHC(O)O-), urea (-NHC(O)NH-), disulphide (-S-S-), ether (-O-), succinyl (-O)CCH<sub>2</sub>CH<sub>2</sub>C(O)-), succinamidyl (-NHC(O)CH<sub>2</sub>CH<sub>2</sub>C(O)NH-), ether, disulphide, as well as combinations thereof (such as a linker containing both a carbamate linker moiety and an amido linker moiety). In a preferred embodiment, a carbamate linker is used to couple the PEG to the lipid.

**[0140]** In other embodiments, an ester containing linker moiety is used to couple the PEG to the lipid. Suitable ester containing linker moieties include, *e.g.*, carbonate (-OC(O)O-), succinoyl, phosphate esters (-O-(O)POH-O-), sulfonate esters, and combinations thereof.

**[0141]** Phosphatidylethanolamines having a variety of acyl chain groups of varying chain lengths and degrees of saturation can be conjugated to PEG to form the bilayer stabilizing component. Such phosphatidylethanolamines are commercially available, or can be isolated or synthesized using conventional techniques known to those skilled in the art. Phosphatidylethanolamines containing saturated or unsaturated fatty acids with carbon chain lengths in the range of C<sub>10</sub> to C<sub>20</sub> are preferred. Phosphatidylethanolamines with mono- or diunsaturated fatty acids and mixtures of saturated and unsaturated fatty acids can also be used. Suitable phosphatidylethanolamines include, but are not limited to, dimyristoyl-phosphatidylethanolamine (DMPE), dipalmitoyl-phosphatidylethanolamine (DPPE), dioleoylphosphatidylethanolamine (DOPE), and distearoyl-phosphatidylethanolamine (DSPE).

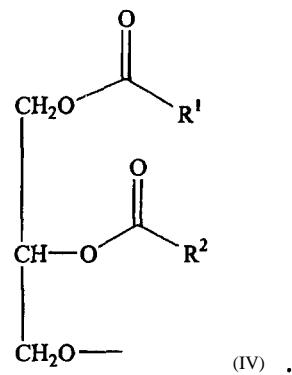
**[0142]** The term "ATTA" or "polyamide" refers to, without limitation, compounds disclosed in U.S. Patent Nos. 6,320,017 and 6,586,559. These compounds include a compound having the formula:



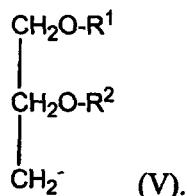
wherein R is a member selected from the group consisting of hydrogen, alkyl and acyl; R<sup>1</sup> is a member selected from the group consisting of hydrogen and alkyl; or optionally, R and R<sup>1</sup>

and the nitrogen to which they are bound form an azido moiety, R<sup>2</sup> is a member of the group selected from hydrogen, optionally substituted alkyl, optionally substituted aryl and a side chain of an amino acid; R<sup>3</sup> is a member selected from the group consisting of hydrogen, halogen, hydroxy, alkoxy, mercapto, hydrazino, amino and NR<sup>4</sup>R<sup>5</sup>, wherein R<sup>4</sup> and R<sup>5</sup> are independently hydrogen or alkyl; n is 4 to 80; m is 2 to 6; p is 1 to 4; and q is 0 or 1. It will be apparent to those of skill in the art that other polyamides can be used in the compounds of the present invention.

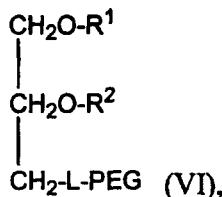
[0143] The term "diacylglycerol" refers to a compound having 2 fatty acyl chains, R<sup>1</sup> and R<sup>2</sup>, both of which have independently between 2 and 30 carbons bonded to the 1- and 2-position of glycerol by ester linkages. The acyl groups can be saturated or have varying degrees of unsaturation. Suitable acyl groups include, but are not limited to, lauryl (C12), myristyl (C14), palmityl (C16), stearyl (C18), and icosyl (C20). In preferred embodiments, R<sup>1</sup> and R<sup>2</sup> are the same, *i.e.*, R<sup>1</sup> and R<sup>2</sup> are both myristyl (*i.e.*, dimyristyl), R<sup>1</sup> and R<sup>2</sup> are both stearyl (*i.e.*, distearyl), *etc.* Diacylglycerols have the following general formula:



[0144] The term "dialkyloxypropyl" refers to a compound having 2 alkyl chains, R<sup>1</sup> and R<sup>2</sup>, both of which have independently between 2 and 30 carbons. The alkyl groups can be saturated or have varying degrees of unsaturation. Dialkyloxypropyls have the following general formula:



[0145] In a preferred embodiment, the PEG-lipid is a PEG-DAA conjugate having the following formula:



wherein R<sup>1</sup> and R<sup>2</sup> are independently selected and are long-chain alkyl groups having from about 10 to about 22 carbon atoms; PEG is a polyethyleneglycol; and L is a non-ester containing linker moiety or an ester containing linker moiety as described above. The long-chain alkyl groups can be saturated or unsaturated. Suitable alkyl groups include, but are not limited to, lauryl (C12), myristyl (C14), palmityl (C16), stearyl (C18), and icosyl (C20). In preferred embodiments, R<sup>1</sup> and R<sup>2</sup> are the same, *i.e.*, R<sup>1</sup> and R<sup>2</sup> are both myristyl (*i.e.*, dimyristyl), R<sup>1</sup> and R<sup>2</sup> are both stearyl (*i.e.*, distearyl), *etc.*

5 [0146] In Formula VI above, the PEG has an average molecular weight ranging from about 10 550 daltons to about 10,000 daltons, more preferably from about 750 daltons to about 5,000 daltons, more preferably from about 1,000 daltons to about 5,000 daltons, more preferably from about 1,500 daltons to about 3,000 daltons, and even more preferably about 2,000 daltons or about 750 daltons. The PEG can be optionally substituted with alkyl, alkoxy, acyl, or aryl. In a preferred embodiment, the terminal hydroxyl group is substituted with a 15 methoxy or methyl group.

10 [0147] In a preferred embodiment, "L" is a non-ester containing linker moiety. Suitable non-ester containing linkers include, but are not limited to, an amido linker moiety, an amino linker moiety, a carbonyl linker moiety, a carbamate linker moiety, a urea linker moiety, an ether linker moiety, a disulfide linker moiety, a succinamidyl linker moiety, and 20 combinations thereof. In a preferred embodiment, the non-ester containing linker moiety is a carbamate linker moiety (*i.e.*, a PEG-C-DAA conjugate). In another preferred embodiment, the non-ester containing linker moiety is an amido linker moiety (*i.e.*, a PEG-*A*-DAA conjugate). In yet another preferred embodiment, the non-ester containing linker moiety is a succinamidyl linker moiety (*i.e.*, a PEG-S-DAA conjugate).

25 [0148] The PEG-DAA conjugates are synthesized using standard techniques and reagents known to those of skill in the art. It will be recognized that the PEG-DAA conjugates will contain various amide, amine, ether, thio, carbamate, and urea linkages. Those of skill in the art will recognize that methods and reagents for forming these bonds are well known and readily available. *See, e.g.*, March, ADVANCED ORGANIC CHEMISTRY (Wiley 1992), 30 Larock, COMPREHENSIVE ORGANIC TRANSFORMATIONS (VCH 1989); and Furniss,

VOGEL'S TEXTBOOK OF PRACTICAL ORGANIC CHEMISTRY 5th ed. (Longman 1989). It will also be appreciated that any functional groups present may require protection and deprotection at different points in the synthesis of the PEG-DAA conjugates. Those of skill in the art will recognize that such techniques are well known. *See, e.g.,* Green and Wuts,

5 PROTECTIVE GROUPS IN ORGANIC SYNTHESIS (Wiley 1991).

[0149] Preferably, the PEG-DAA conjugate is a dilauryloxypropyl (C12)-PEG conjugate, dimyristyloxypropyl (CH)-PEG conjugate, a dipalmityloxypropyl (C16)-PEG conjugate, or a distearyloxypropyl (C18)-PEG conjugate. Those of skill in the art will readily appreciate that other dialkylloxypropyls can be used in the PEG-DAA conjugates of the present invention.

10 [0150] In addition to the foregoing, it will be readily apparent to those of skill in the art that other hydrophilic polymers can be used in place of PEG. Examples of suitable polymers that can be used in place of PEG include, but are not limited to, polyvinylpyrrolidone, polymethyloxazoline, polyethyloxazoline, polyhydroxypropyl methacrylamide, polymethacrylamide and polydimethylacrylamide, polylactic acid, polyglycolic acid, and 15 derivatized celluloses such as hydroxymethylcellulose or hydroxyethylcellulose.

[0151] In addition to the foregoing components, the particles (e.g., SNALPs or SPLPs) of the present invention can further comprise cationic poly(ethylene glycol) (PEG) lipids or CPLs that have been designed for insertion into lipid bilayers to impart a positive charge (see, e.g., Chen *et al.*, *Bioconj. Chem.*, 11:433-437 (2000)). Suitable SPLPs and SPLP-CPLs for 20 use in the present invention, and methods of making and using SPLPs and SPLP-CPLs, are disclosed, e.g., in U.S. Patent No. 6,852,334 and PCT Publication No. WO 00/62813. Cationic polymer lipids (CPLs) useful in the present invention have the following architectural features: (1) a lipid anchor, such as a hydrophobic lipid, for incorporating the CPLs into the lipid bilayer; (2) a hydrophilic spacer, such as a polyethylene glycol, for 25 linking the lipid anchor to a cationic head group; and (3) a polycationic moiety, such as a naturally occurring amino acid, to produce a protonizable cationic head group.

[0152] Suitable CPLs include compounds of Formula VII:



wherein A, W, and Y are as described below.

30 [0153] With reference to Formula VII, "A" is a lipid moiety such as an amphipathic lipid, a neutral lipid, or a hydrophobic lipid that acts as a lipid anchor. Suitable lipid examples include vesicle-forming lipids or vesicle adopting lipids and include, but are not limited to, diacylglycerolyls, dialkylglycerolyls, N-N-dialkylaminos, 1,2-diacyloxy-3-aminopropanes, and 1,2-dialkyl-3-aminopropanes.

[0154] "W" is a polymer or an oligomer such as a hydrophilic polymer or oligomer. Preferably, the hydrophilic polymer is a biocompatible polymer that is nonimmunogenic or possesses low inherent immunogenicity. Alternatively, the hydrophilic polymer can be weakly antigenic if used with appropriate adjuvants. Suitable nonimmunogenic polymers 5 include, but are not limited to, PEG, polyamides, polylactic acid, polyglycolic acid, polylactic acid/polyglycolic acid copolymers, and combinations thereof. In a preferred embodiment, the polymer has a molecular weight of from about 250 to about 7,000 daltons.

[0155] "Y" is a polycationic moiety. The term polycationic moiety refers to a compound, derivative, or functional group having a positive charge, preferably at least 2 positive charges 10 at a selected pH, preferably physiological pH. Suitable polycationic moieties include basic amino acids and their derivatives such as arginine, asparagine, glutamine, lysine, and histidine; spermine; spermidine; cationic dendrimers; polyamines; polyamine sugars; and amino polysaccharides. The polycationic moieties can be linear, such as linear tetralysine, branched or dendritic in structure. Polycationic moieties have between about 2 to about 15 15 positive charges, preferably between about 2 to about 12 positive charges, and more preferably between about 2 to about 8 positive charges at selected pH values. The selection of which polycationic moiety to employ may be determined by the type of particle application which is desired.

[0156] The charges on the polycationic moieties can either be distributed around the entire 20 particle moiety, or alternatively, they can be a discrete concentration of charge density in one particular area of the particle moiety *e.g.*, a charge spike. If the charge density is distributed on the particle, the charge density can be equally distributed or unequally distributed. All variations of charge distribution of the polycationic moiety are encompassed by the present invention.

25 [0157] The lipid "A" and the nonimmunogenic polymer "W" can be attached by various methods and preferably by covalent attachment. Methods known to those of skill in the art can be used for the covalent attachment of "A" and "W." Suitable linkages include, but are not limited to, amide, amine, carboxyl, carbonate, carbamate, ester, and hydrazone linkages. It will be apparent to those skilled in the art that "A" and "W" must have complementary

30 functional groups to effectuate the linkage. The reaction of these two groups, one on the lipid and the other on the polymer, will provide the desired linkage. For example, when the lipid is a diacylglycerol and the terminal hydroxyl is activated, for instance with NHS and DCC, to form an active ester, and is then reacted with a polymer which contains an amino group, such

as with a polyamide (*see, e.g.*, U.S. Patent Nos. 6,320,017 and 6,586,559), an amide bond will form between the two groups.

**[0158]** In certain instances, the polycationic moiety can have a ligand attached, such as a targeting ligand or a chelating moiety for complexing calcium. Preferably, after the ligand is

5 attached, the cationic moiety maintains a positive charge. In certain instances, the ligand that is attached has a positive charge. Suitable ligands include, but are not limited to, a compound or device with a reactive functional group and include lipids, amphipathic lipids, carrier compounds, bioaffinity compounds, biomaterials, biopolymers, biomedical devices, analytically detectable compounds, therapeutically active compounds, enzymes, peptides, 10 proteins, antibodies, immune stimulators, radiolabels, fluorogens, biotin, drugs, haptens, DNA, RNA, polysaccharides, liposomes, virosomes, micelles, immunoglobulins, functional groups, other targeting moieties, or toxins.

**[0159]** The bilayer stabilizing component (*e.g.*, PEG-lipid) typically comprises from about 0 mol % to about 20 mol %, from about 0.5 mol % to about 20 mol %, from about 1.5 mol %

15 to about 18 mol %, from about 4 mol % to about 15 mol %, from about 5 mol % to about 12 mol %, or about 2 mol % of the total lipid present in the particle. One of ordinary skill in the art will appreciate that the concentration of the bilayer stabilizing component can be varied depending on the bilayer stabilizing component employed and the rate at which the nucleic acid-lipid particle is to become fusogenic.

**20 [0160]** By controlling the composition and concentration of the bilayer stabilizing component, one can control the rate at which the bilayer stabilizing component exchanges out of the nucleic acid-lipid particle and, in turn, the rate at which the nucleic acid-lipid particle becomes fusogenic. For instance, when a polyethyleneglycol-phosphatidylethanolamine conjugate or a polyethyleneglycol-ceramide conjugate is used as the bilayer stabilizing

25 component, the rate at which the nucleic acid-lipid particle becomes fusogenic can be varied, for example, by varying the concentration of the bilayer stabilizing component, by varying the molecular weight of the polyethyleneglycol, or by varying the chain length and degree of saturation of the acyl chain groups on the phosphatidylethanolamine or the ceramide. In addition, other variables including, for example, pH, temperature, ionic strength, *etc.* can be

30 used to vary and/or control the rate at which the nucleic acid-lipid particle becomes fusogenic. Other methods which can be used to control the rate at which the nucleic acid-lipid particle becomes fusogenic will become apparent to those of skill in the art upon reading this disclosure.

## B. Additional Carrier Systems

**[0161]** Non-limiting examples of additional lipid-based carrier systems suitable for use in the present invention include lipoplexes (*see, e.g.*, U.S. Patent Publication No. 20030203865; and Zhang *et al.*, *J. Control Release*, 100:165-180 (2004)), pH-sensitive lipoplexes (*see, e.g.*, U.S.

5 U.S. Patent Publication No. 20020192275), reversibly masked lipoplexes (*see, e.g.*, U.S. Patent Publication Nos. 20030180950), cationic lipid-based compositions (*see, e.g.*, U.S. Patent No. 6,756,054; and U.S. Patent Publication No. 20050234232), cationic liposomes (*see, e.g.*, U.S. Patent Publication Nos. 20030229040, 20020160038, and 20020012998; U.S.

10 Patent No. 5,908,635; and PCT Publication No. WO 01/72283), anionic liposomes (*see, e.g.*,

U.S. Patent Publication No. 2003002683 1), pH-sensitive liposomes (*see, e.g.*, U.S. Patent Publication No. 20020192274; and AU 2003210303), antibody-coated liposomes (*see, e.g.*,

U.S. Patent Publication No. 20030108597; and PCT Publication No. WO 00/50008), cell-type specific liposomes (*see, e.g.*, U.S. Patent Publication No. 20030198664), liposomes containing nucleic acid and peptides (*see, e.g.*, U.S. Patent No. 6,207,456), liposomes

15 containing lipids derivatized with releasable hydrophilic polymers (*see, e.g.*, U.S. Patent Publication No. 20030031704), lipid-entrapped nucleic acid (*see, e.g.*, PCT Publication Nos. WO 03/057190 and WO 03/059322), lipid-encapsulated nucleic acid (*see, e.g.*, U.S. Patent Publication No. 20030129221; and U.S. Patent No. 5,756,122), other liposomal compositions (*see, e.g.*, U.S. Patent Publication Nos. 20030035829 and 20030072794; and U.S. Patent No.

20 6,200,599), stabilized mixtures of liposomes and emulsions (*see, e.g.*, EP1304160), emulsion compositions (*see, e.g.*, U.S. Patent No. 6,747,014), and nucleic acid micro-emulsions (*see, e.g.*, U.S. Patent Publication No. 20050037086).

**[0162]** Examples of polymer-based carrier systems suitable for use in the present invention include, but are not limited to, cationic polymer-nucleic acid complexes (*i.e.*, polyplexes). To

25 form a polyplex, a nucleic acid (*e.g.*, siRNA) is typically complexed with a cationic polymer having a linear, branched, star, or dendritic polymeric structure that condenses the nucleic acid into positively charged particles capable of interacting with anionic proteoglycans at the cell surface and entering cells by endocytosis. In some embodiments, the polyplex comprises nucleic acid (*e.g.*, siRNA) complexed with a cationic polymer such as polyethylenimine

30 (PEI) (*see, e.g.*, U.S. Patent No. 6,013,240; commercially available from Qbiogene, Inc. (Carlsbad, CA) as *In vivo* jetPEI™, a linear form of PEI), polypropylenimine (PPI), polyvinylpyrrolidone (PVP), poly-L-lysine (PLL), diethylaminoethyl (DEAE)-dextran, poly(β-amino ester) (PAE) polymers (*see, e.g.*, Lynn *et al.*, *J. Am. Chem. Soc.*, 123:8155-

8156 (2001)), chitosan, polyamidoamine (**PAMAM**) **dendrimers** (*see, e.g., Kukowska-Latallo et al., Proc. Natl. Acad. ScL USA, 93:4897-4902 (1996)*), porphyrin (*see, e.g., U.S. Patent No. 6,620,805*), polyvinylether (*see, e.g., U.S. Patent Publication No. 20040156909*), polycyclic amidinium (*see, e.g., U.S. Patent Publication No. 20030220289*), other polymers 5 comprising primary amine, imine, guanidine, and/or imidazole groups (*see, e.g., U.S. Patent No. 6,013,240*; PCT Publication No. WO/9602655; PCT Publication No. WO95/21931; *Zhang et al., J. Control Release, 100:165-180 (2004)*; and *Tiera et al., Curr. Gene Ther., 6:59-71 (2006)*), and a mixture thereof. In other embodiments, the polyplex comprises cationic polymer-nucleic acid complexes as described in U.S. Patent Publication Nos. 10 20060211643, 20050222064, 20030125281, and 20030185890, and PCT Publication No. WO 03/066069; biodegradable poly( $\beta$ -amino ester) polymer-nucleic acid complexes as described in U.S. Patent Publication No. 20040071654; microparticles containing polymeric matrices as described in U.S. Patent Publication No. 20040142475; other microparticle 15 compositions as described in U.S. Patent Publication No. 20030157030; condensed nucleic acid complexes as described in U.S. Patent Publication No. 20050123600; and nanocapsule and microcapsule compositions as described in AU 2002358514 and PCT Publication No. WO 02/096551.

**[10163]** In certain instances, the nucleic acid (*e.g., siRNA*) may be complexed with cyclodextrin or a polymer thereof. Non-limiting examples of cyclodextrin-based carrier 20 systems include the cyclodextrin-modified polymer-nucleic acid complexes described in U.S. Patent Publication No. 20040087024; the linear cyclodextrin copolymer-nucleic acid complexes described in U.S. Patent Nos. 6,509,323, 6,884,789, and 7,091,192; and the cyclodextrin polymer-complexing agent-nucleic acid complexes described in U.S. Patent No. 7,018,609. In certain other instances, the nucleic acid (*e.g., siRNA*) may be complexed with 25 a peptide or polypeptide. An example of a protein-based carrier system includes, but is not limited to, the cationic oligopeptide-nucleic acid complex described in PCT Publication No. WO95/21931.

## V. Preparation of Nucleic Acid-Lipid Particles

**[0164]** The serum-stable nucleic acid-lipid particles of the present invention, in which an 30 interfering RNA (*e.g., an anti-influenza siRNA*) is encapsulated in a lipid bilayer and is protected from degradation, can be formed by any method known in the art including, but not limited to, a continuous mixing method, a direct dilution process, a detergent dialysis method,

or a modification of a reverse-phase method which utilizes organic solvents to provide a single phase during mixing of the components.

[0165] In preferred embodiments, the cationic lipids are lipids of Formula I and II or combinations thereof. In other preferred embodiments, the non-cationic lipids are egg sphingomyelin (ESM), distearoylphosphatidylcholine (DSPC), dioleoylphosphatidylcholine (DOPC), 1-palmitoyl-2-oleoyl-phosphatidylcholine (POPC), dipalmitoyl-phosphatidylcholine (DPPC), monomethyl-phosphatidylethanolamine, dimethyl-phosphatidylethanolamine, 14:0 PE (1,2-dimyristoyl-phosphatidylethanolamine (DMPE)), 16:0 PE (1,2-dipalmitoyl-phosphatidylethanolamine (DPPE)), 18:0 PE (1,2-distearoyl-phosphatidylethanolamine (DSPE)), 18:1 PE (1,2-dioleoyl-phosphatidylethanolamine (DOPE)), 18:1 trans PE (1,2-dielaidoyl-phosphatidylethanolamine (DEPE)), 18:0-18:1 PE (1-stearoyl-2-oleoyl-phosphatidylethanolamine (SOPE)), 16:0-18:1 PE (1-palmitoyl-2-oleoyl-phosphatidylethanolamine (POPE)), polyethylene glycol-based polymers (*e.g.*, PEG 2000, PEG 5000, PEG-modified diacylglycerols, or PEG-modified dialkyloxypropyls), cholesterol, or combinations thereof. In still other preferred embodiments, the organic solvents are methanol, chloroform, methylene chloride, ethanol, diethyl ether, or combinations thereof.

[0166] In a preferred embodiment, the present invention provides for nucleic acid-lipid particles produced via a continuous mixing method, *e.g.*, process that includes providing an aqueous solution comprising a nucleic acid such as an siRNA in a first reservoir, providing an organic lipid solution in a second reservoir, and mixing the aqueous solution with the organic lipid solution such that the organic lipid solution mixes with the aqueous solution so as to substantially instantaneously produce a liposome encapsulating the nucleic acid (*e.g.*, siRNA). This process and the apparatus for carrying this process are described in detail in U.S. Patent Publication No. 20040142025.

[0167] The action of continuously introducing lipid and buffer solutions into a mixing environment, such as in a mixing chamber, causes a continuous dilution of the lipid solution with the buffer solution, thereby producing a liposome substantially instantaneously upon mixing. As used herein, the phrase "continuously diluting a lipid solution with a buffer solution" (and variations) generally means that the lipid solution is diluted sufficiently rapidly in a hydration process with sufficient force to effectuate vesicle generation. By mixing the aqueous solution comprising a nucleic acid with the organic lipid solution, the organic lipid solution undergoes a continuous stepwise dilution in the presence of the buffer solution (*i.e.*, aqueous solution) to produce a nucleic acid-lipid particle.

[0168] The serum-stable nucleic acid-lipid particles formed using the continuous mixing method typically have a size of from about 50 nm to about 150 nm, from about 60 nm to about 130 nm, from about 70 nm to about 110 nm, or from about 70 nm to about 90 nm. The particles thus formed do not aggregate and are optionally sized to achieve a uniform particle size.

[0169] In another embodiment, the present invention provides for nucleic acid-lipid particles produced via a direct dilution process that includes forming a liposome solution and immediately and directly introducing the liposome solution into a collection vessel containing a controlled amount of dilution buffer. In preferred aspects, the collection vessel includes one or more elements configured to stir the contents of the collection vessel to facilitate dilution. In one aspect, the amount of dilution buffer present in the collection vessel is substantially equal to the volume of liposome solution introduced thereto. As a non-limiting example, a liposome solution in 45% ethanol when introduced into the collection vessel containing an equal volume of ethanol will advantageously yield smaller particles in about 22.5%, about 20%, or about 15% ethanol.

[0170] In yet another embodiment, the present invention provides for nucleic acid-lipid particles produced via a direct dilution process in which a third reservoir containing dilution buffer is fluidly coupled to a second mixing region. In this embodiment, the liposome solution formed in a first mixing region is immediately and directly mixed with dilution buffer in the second mixing region. In preferred aspects, the second mixing region includes a T-connector arranged so that the liposome solution and the dilution buffer flows meet as opposing 180° flows; however, connectors providing shallower angles can be used, *e.g.*, from about 27° to about 180°. A pump mechanism delivers a controllable flow of buffer to the second mixing region. In one aspect, the flow rate of dilution buffer provided to the second mixing region is controlled to be substantially equal to the flow rate of liposome solution introduced thereto from the first mixing region. This embodiment advantageously allows for more control of the flow of dilution buffer mixing with the liposome solution in the second mixing region, and therefore also the concentration of liposome solution in buffer throughout the second mixing process. Such control of the dilution buffer flow rate advantageously allows for small particle size formation at reduced concentrations.

[0171] These processes and the apparatuses for carrying out these direct dilution processes is described in detail in U.S. Patent Application No. 11/495,150.

[0172] The serum-stable nucleic acid-lipid particles formed using the direct dilution process typically have a size of from about 50 nm to about 150 nm, from about 60 nm to

about 130 nm, from about 70 nm to about 110 nm, or from about 70 nm to about 90 nm. The particles thus formed do not aggregate and are optionally sized to achieve a uniform particle size.

[0173] In some embodiments, the particles are formed using detergent dialysis. Without intending to be bound by any particular mechanism of formation, a nucleic acid such as an siRNA is contacted with a detergent solution of cationic lipids to form a coated nucleic acid complex. These coated nucleic acids can aggregate and precipitate. However, the presence of a detergent reduces this aggregation and allows the coated nucleic acids to react with excess lipids (typically, non-cationic lipids) to form particles in which the nucleic acid is encapsulated in a lipid bilayer. Thus, the serum-stable nucleic acid-lipid particles can be prepared as follows:

- (a) combining a nucleic acid with cationic lipids in a detergent solution to form a coated nucleic acid-lipid complex;
- (b) contacting non-cationic lipids with the coated nucleic acid-lipid complex to form a detergent solution comprising a nucleic acid-lipid complex and non-cationic lipids; and
- (c) dialyzing the detergent solution of step (b) to provide a solution of serum-stable nucleic acid-lipid particles, wherein the nucleic acid is encapsulated in a lipid bilayer and the particles are serum-stable and have a size of from about 50 to about 150 nm.

[0174] An initial solution of coated nucleic acid-lipid complexes is formed by combining the nucleic acid with the cationic lipids in a detergent solution. In these embodiments, the detergent solution is preferably an aqueous solution of a neutral detergent having a critical micelle concentration of 15-300 mM, more preferably 20-50 mM. Examples of suitable detergents include, for example, N,N'-(*o*ctanoylimino)-bis-(trimethylene))-bis-(D-gluconamide) (BIGCHAP); BRIJ 35; Deoxy-BIGCHAP; dodecylpoly(ethylene glycol) ether; Tween 20; Tween 40; Tween 60; Tween 80; Tween 85; Mega 8; Mega 9; Zwittergent® 3-08; Zwittergent® 3-10; Triton X-405; hexyl-, heptyl-, octyl- and nonyl- $\beta$ -D-glucopyranoside; and heptylthioglucopyranoside; with octyl  $\beta$ -D-glucopyranoside and Tween-20 being the most preferred. The concentration of detergent in the detergent solution is typically about 100 mM to about 2 M, preferably from about 200 mM to about 1.5 M.

[0175] The cationic lipids and nucleic acids will typically be combined to produce a charge ratio (+/-) of about 1:1 to about 20:1, in a ratio of about 1:1 to about 12:1, or in a ratio of about 2:1 to about 6:1. Additionally, the overall concentration of nucleic acid in solution will typically be from about 25  $\mu$ g/ml to about 1 mg/ml, from about 25  $\mu$ g/ml to about 200  $\mu$ g/ml, or from about 50  $\mu$ g/ml to about 100  $\mu$ g/ml. The combination of nucleic acids and cationic

lipids in detergent solution is kept, typically at room temperature, for a period of time which is sufficient for the coated complexes to form. Alternatively, the nucleic acids and cationic lipids can be combined in the detergent solution and warmed to temperatures of up to about 37°C, about 50°C, about 60°C, or about 70°C. For nucleic acids which are particularly

5 sensitive to temperature, the coated complexes can be formed at lower temperatures, typically down to about 4°C.

[0176] In some embodiments, the nucleic acid to lipid ratios (mass/mass ratios) in a formed nucleic acid-lipid particle will range from about 0.01 to about 0.2, from about 0.02 to about 0.1, from about 0.03 to about 0.1, or from about 0.01 to about 0.08. The ratio of the starting 10 materials also falls within this range. In other embodiments, the nucleic acid-lipid particle preparation uses about 400 µg nucleic acid per 10 mg total lipid or a nucleic acid to lipid mass ratio of about 0.01 to about 0.08 and, more preferably, about 0.04, which corresponds to 1.25 mg of total lipid per 50 µg of nucleic acid, in other preferred embodiments, the particle has a nucleic acid/lipid mass ratio of about 0.08.

15 [0177] The detergent solution of the coated nucleic acid-lipid complexes is then contacted with non-cationic lipids to provide a detergent solution of nucleic acid-lipid complexes and non-cationic lipids. The non-cationic lipids which are useful in this step include, diacylphosphatidylcholine, diacylphosphatidylethanolamine, ceramide, sphingomyelin, cephalin, cardiolipin, and cerebrosides. In preferred embodiments, the non-cationic lipids are 20 diacylphosphatidylcholine, diacylphosphatidylethanolamine, ceramide, or sphingomyelin.

The acyl groups in these lipids are preferably acyl groups derived from fatty acids having C<sub>10</sub>-C<sub>24</sub> carbon chains. More preferably, the acyl groups are lauroyl, myristoyl, palmitoyl, stearoyl, or oleoyl. In particularly preferred embodiments, the non-cationic lipids are DSPC, DOPE, POPC, egg phosphatidylcholine (EPC), cholesterol, or a mixture thereof. In the most

25 preferred embodiments, the nucleic acid-lipid particles are fusogenic particles with enhanced properties *in vivo* and the non-cationic lipid is DSPC or DOPE. In addition, the nucleic acid-lipid particles of the present invention may further comprise cholesterol. In other preferred embodiments, the non-cationic lipids can further comprise polyethylene glycol-based polymers such as PEG 2,000, PEG 5,000, and PEG conjugated to a diacylglycerol, a

30 ceramide, or a phospholipid, as described in, *e.g.*, U.S. Patent No. 5,820,873 and U.S. Patent Publication No. 20030077829. In further preferred embodiments, the non-cationic lipids can further comprise polyethylene glycol-based polymers such as PEG 2,000, PEG 5,000, and PEG conjugated to a dialkyloxypropyl.

[0178] The amount of non-cationic lipid which is used in the present methods is typically from about 2 to about 20 mg of total lipids to 50  $\mu$ g of nucleic acid. Preferably, the amount of total lipid is from about 5 to about 10 mg per 50  $\mu$ g of nucleic acid.

[0179] Following formation of the detergent solution of nucleic acid-lipid complexes and

5 non-cationic lipids, the detergent is removed, preferably by dialysis. The removal of the detergent results in the formation of a lipid-bilayer which surrounds the nucleic acid providing serum-stable nucleic acid-lipid particles which have a size of from about 50 nm to about 150 nm, from about 60 nm to about 130 nm, from about 70 nm to about 110 nm, or from about 70 nm to about 90 nm. The particles thus formed do not aggregate and are

10 optionally sized to achieve a uniform particle size.

[0180] The serum-stable nucleic acid-lipid particles can be sized by any of the methods available for sizing liposomes. The sizing may be conducted in order to achieve a desired size range and relatively narrow distribution of particle sizes.

[0181] Several techniques are available for sizing the particles to a desired size. One sizing

15 method, used for liposomes and equally applicable to the present particles, is described in U.S. Patent No. 4,737,323. Sonicating a particle suspension either by bath or probe sonication produces a progressive size reduction down to particles of less than about 50 nm in size. Homogenization is another method which relies on shearing energy to fragment larger particles into smaller ones. In a typical homogenization procedure, particles are recirculated

20 through a standard emulsion homogenizer until selected particle sizes, typically between about 60 and about 80 nm, are observed. In both methods, the particle size distribution can be monitored by conventional laser-beam particle size discrimination, or QELS.

[0182] Extrusion of the particles through a small-pore polycarbonate membrane or an

asymmetric ceramic membrane is also an effective method for reducing particle sizes to a 25 relatively well-defined size distribution. Typically, the suspension is cycled through the membrane one or more times until the desired particle size distribution is achieved. The particles may be extruded through successively smaller-pore membranes, to achieve a gradual reduction in size.

[0183] In another group of embodiments, the serum-stable nucleic acid-lipid particles can

30 be prepared as follows:

(a) preparing a mixture comprising cationic lipids and non-cationic lipids in an organic solvent;

(b) contacting an aqueous solution of nucleic acid with the mixture in step (a) to provide a clear single phase; and

(c) removing the organic solvent to provide a suspension of nucleic acid-lipid particles, wherein the nucleic acid is encapsulated in a lipid bilayer and the particles are stable in serum and have a size of from about 50 to about 150 nm.

5 [0184] The nucleic acids (*e.g.*, siRNA), canonic lipids, and non-cationic lipids which are useful in this group of embodiments are as described for the detergent dialysis methods above.

10 [0185] The selection of an organic solvent will typically involve consideration of solvent polarity and the ease with which the solvent can be removed at the later stages of particle formation. The organic solvent, which is also used as a solubilizing agent, is in an amount sufficient to provide a clear single phase mixture of nucleic acid and lipids. Suitable solvents include, but are not limited to, chloroform, dichloromethane, diethylether, cyclohexane, cyclopentane, benzene, toluene, methanol, or other aliphatic alcohols such as propanol, isopropanol, butanol, tert-butanol, iso-butanol, pentanol and hexanol. Combinations of two or more solvents may also be used in the present invention.

15 [0186] Contacting the nucleic acid with the organic solution of cationic and non-cationic lipids is accomplished by mixing together a first solution of nucleic acid, which is typically an aqueous solution, and a second organic solution of the lipids. One of skill in the art will understand that this mixing can take place by any number of methods, for example, by mechanical means such as by using vortex mixers.

20 [0187] After the nucleic acid has been contacted with the organic solution of lipids, the organic solvent is removed, thus forming an aqueous suspension of serum-stable nucleic acid-lipid particles. The methods used to remove the organic solvent will typically involve evaporation at reduced pressures or blowing a stream of inert gas (*e.g.*, nitrogen or argon) across the mixture.

25 [0188] The serum-stable nucleic acid-lipid particles thus formed will typically be sized from about 50 nm to about 150 nm, from about 60 nm to about 130 nm, from about 70 nm to about 110 nm, or from about 70 nm to about 90 nm. To achieve further size reduction or homogeneity of size in the particles, sizing can be conducted as described above.

30 [0189] In other embodiments, the methods will further comprise adding non-lipid polycations which are useful to effect the delivery to cells using the present compositions. Examples of suitable non-lipid polycations include, but are limited to, hexadimethrine bromide (sold under the brand name POLYBRENE®, from Aldrich Chemical Co., Milwaukee, Wisconsin, USA) or other salts of hexadimethrine. Other suitable polycations

include, for example, salts of poly-L-ornithine, poly-L-arginine, poly-L-lysine, poly-D-lysine, polyallylamine, and polyethyleneimine.

[0190] In certain embodiments, the formation of the nucleic acid-lipid particles can be carried out either in a mono-phase system (*e.g.*, a Bligh and Dyer monophase or similar mixture of aqueous and organic solvents) or in a two-phase system with suitable mixing.

[0191] When formation of the complexes is carried out in a mono-phase system, the cationic lipids and nucleic acids are each dissolved in a volume of the mono-phase mixture. Combination of the two solutions provides a single mixture in which the complexes form. Alternatively, the complexes can form in two-phase mixtures in which the cationic lipids bind to the nucleic acid (which is present in the aqueous phase), and "pull" it into the organic phase.

[0192] In another embodiment, the serum-stable nucleic acid-lipid particles can be prepared as follows:

- (a) contacting nucleic acids with a solution comprising non-cationic lipids and a detergent to form a nucleic acid-lipid mixture;
- (b) contacting cationic lipids with the nucleic acid-lipid mixture to neutralize a portion of the negative charge of the nucleic acids and form a charge-neutralized mixture of nucleic acids and lipids; and
- (c) removing the detergent from the charge-neutralized mixture to provide the nucleic acid-lipid particles in which the nucleic acids are protected from degradation.

[0193] In one group of embodiments, the solution of non-cationic lipids and detergent is an aqueous solution. Contacting the nucleic acids with the solution of non-cationic lipids and detergent is typically accomplished by mixing together a first solution of nucleic acids and a second solution of the lipids and detergent. One of skill in the art will understand that this mixing can take place by any number of methods, for example, by mechanical means such as by using vortex mixers. Preferably, the nucleic acid solution is also a detergent solution. The amount of non-cationic lipid which is used in the present method is typically determined based on the amount of cationic lipid used, and is typically of from about 0.2 to about 5 times the amount of cationic lipid, preferably from about 0.5 to about 2 times the amount of cationic lipid used.

[0194] In some embodiments, the nucleic acids are precondensed as described in, *e.g.*, U.S. Patent Application No. 09/744,103.

[0195] The nucleic acid-lipid mixture thus formed is contacted with cationic lipids to neutralize a portion of the negative charge which is associated with the nucleic acids (or other

polyanionic materials) present. The amount of cationic lipids used will typically be sufficient to neutralize at least 50% of the negative charge of the nucleic acid. Preferably, the negative charge will be at least 70% neutralized, more preferably at least 90% neutralized. Cationic lipids which are useful in the present invention, include, for example, DLinDMA and

5 DLenDMA. These lipids and related analogs are described in U.S. Patent Publication No. 20060083780.

[0196] Contacting the cationic lipids with the nucleic acid-lipid mixture can be accomplished by any of a number of techniques, preferably by mixing together a solution of the cationic lipid and a solution containing the nucleic acid-lipid mixture. Upon mixing the 10 two solutions (or contacting in any other manner), a portion of the negative charge associated with the nucleic acid is neutralized. Nevertheless, the nucleic acid remains in an uncondensed state and acquires hydrophilic characteristics.

[0197] After the cationic lipids have been contacted with the nucleic acid-lipid mixture, the detergent (or combination of detergent and organic solvent) is removed, thus forming the 15 nucleic acid-lipid particles. The methods used to remove the detergent will typically involve dialysis. When organic solvents are present, removal is typically accomplished by evaporation at reduced pressures or by blowing a stream of inert gas (*e.g.*, nitrogen or argon) across the mixture.

[0198] The particles thus formed will typically be sized from about 50 nm to several 20 microns, about 50 nm to about 150 nm, from about 60 nm to about 130 nm, from about 70 nm to about 110 nm, or from about 70 nm to about 90 nm. To achieve further size reduction or homogeneity of size in the particles, the nucleic acid-lipid particles can be sonicated, filtered, or subjected to other sizing techniques which are used in liposomal formulations and are known to those of skill in the art.

25 [0199] In other embodiments, the methods will further comprise adding non-lipid polycations which are useful to effect the lipofection of cells using the present compositions. Examples of suitable non-lipid polycations include, hexadimethrine bromide (sold under the brandname POLYBRENE<sup>®</sup>, from Aldrich Chemical Co., Milwaukee, Wisconsin, USA) or other salts of hexadimethrine. Other suitable polycations include, for example, salts of poly-

30 L-ornithine, poly-L-arginine, poly-L-lysine, poly-D-lysine, polyallylamine, and polyethyleneimine. Addition of these salts is preferably after the particles have been formed.

[0200] In another aspect, the serum-stable nucleic acid-lipid particles can be prepared as follows:

(a) contacting an amount of cationic lipids with nucleic acids in a solution; the solution comprising from about 15-35 % water and about 65-85 % organic solvent and the amount of cationic lipids being sufficient to produce a +/- charge ratio of from about 0.85 to about 2.0, to provide a hydrophobic nucleic acid-lipid complex;

5 (b) contacting the hydrophobic, nucleic acid-lipid complex in solution with non-cationic lipids, to provide a nucleic acid-lipid mixture; and

(c) removing the organic solvents from the nucleic acid-lipid mixture to provide nucleic acid-lipid particles in which the nucleic acids are protected from degradation.

[0201] The nucleic acids (*e.g.*, siRNA), non-cationic lipids, cationic lipids, and organic solvents which are useful in this aspect of the invention are the same as those described for the methods above which used detergents. In one group of embodiments, the solution of step (a) is a mono-phase. In another group of embodiments, the solution of step (a) is two-phase.

[0202] In preferred embodiments, the non-cationic lipids are ESM, DSPC, DOPC, POPC, DPPC, monomethyl-phosphatidylethanolamine, dimethyl-phosphatidylethanolamine, DMPE, 15 DPPE, DSPE, DOPE, DEPE, SOPE, POPE, PEG-based polymers (*e.g.*, PEG 2000, PEG 5000, PEG-modified diacylglycerols, or PEG-modified dialkyloxypropyls), cholesterol, or combinations thereof. In still other preferred embodiments, the organic solvents are methanol, chloroform, methylene chloride, ethanol, diethyl ether or combinations thereof.

[0203] In one embodiment, the nucleic acid is an siRNA as described herein; the cationic lipid is DLindMA, DLenDMA, DODAC, DDAB, DOTMA, DOSPA, DMRIE, DOGS, or combinations thereof; the non-cationic lipid is ESM, DOPE, PEG-DAG, DSPC, DPPC, DPPE, DMPE, monomethyl-phosphatidylethanolamine, dimethyl-phosphatidylethanolamine, DSPE, DEPE, SOPE, POPE, cholesterol, or combinations thereof (*e.g.*, DSPC and PEG-DAA); and the organic solvent is methanol, chloroform, methylene chloride, ethanol, diethyl ether or combinations thereof.

[0204] As above, contacting the nucleic acids with the cationic lipids is typically accomplished by mixing together a first solution of nucleic acids and a second solution of the lipids, preferably by mechanical means such as by using vortex mixers. The resulting mixture contains complexes as described above. These complexes are then converted to 30 particles by the addition of non-cationic lipids and the removal of the organic solvent. The addition of the non-cationic lipids is typically accomplished by simply adding a solution of the non-cationic lipids to the mixture containing the complexes. A reverse addition can also be used. Subsequent removal of organic solvents can be accomplished by methods known to those of skill in the art and also described above.

[0205] The amount of non-cationic lipids which is used in this aspect of the invention is typically an amount of from about 0.2 to about 15 times the amount (on a mole basis) of cationic lipids which was used to provide the charge-neutralized nucleic acid-lipid complex. Preferably, the amount is from about 0.5 to about 9 times the amount of cationic lipids used.

5 [0206] In one embodiment, the nucleic acid-lipid particles preparing according to the above-described methods are either net charge neutral or carry an overall charge which provides the particles with greater gene Iipofection activity. Preferably, the nucleic acid component of the particles is a nucleic acid which interferes with the production of an undesired protein. In other preferred embodiments, the non-cationic lipid may further 10 comprise cholesterol.

[0207] A variety of general methods for making SNALP-CPLs (CPL-containing SNALPs) are discussed herein. Two general techniques include "post-insertion" technique, that is, insertion of a CPL into for example, a pre-formed SNALP, and the "standard" technique, wherein the CPL is included in the lipid mixture during for example, the SNALP formation 15 steps. The post-insertion technique results in SNALPs having CPLs mainly in the external face of the SNALP bilayer membrane, whereas standard techniques provide SNALPs having CPLs on both internal and external faces. The method is especially useful for vesicles made from phospholipids (which can contain cholesterol) and also for vesicles containing PEG-lipids (such as PEG-DAAs and PEG-DAGs). Methods of making SNALP-CPL, are taught, 20 for example, in U.S. Patent Nos. 5,705,385; 6,586,410; 5,981,501 ; 6,534,484; and 6,852,334; U.S. Patent Publication No. 20020072121 ; and PCT Publication No. WO 00/62813.

#### VL Kits

[0208] The present invention also provides nucleic acid-lipid particles in kit form. The kit may comprise a container which is compartmentalized for holding the various elements of the 25 nucleic acid-lipid particles (*e.g.*, the nucleic acids and the individual lipid components of the particles). In some embodiments, the kit may further comprise an endosomal membrane destabilizer (*e.g.*, calcium ions). The kit typically contains the nucleic acid-lipid particle compositions of the present invention, preferably in dehydrated form, with instructions for their rehydration and administration. In certain instances, the particles and/or compositions 30 comprising the particles may have a targeting moiety attached to the surface of the particle. Methods of attaching targeting moieties (*e.g.*, antibodies, proteins) to lipids (such as those used in the present particles) are known to those of skill in the art.

## VII. Administration of Nucleic Acid-Lipid Particles

[0209] Once formed, the serum-stable nucleic acid-lipid particles of the present invention are useful for the introduction of nucleic acids (i.e., siRNA that silences expression of an influenza gene) into cells. Accordingly, the present invention also provides methods for

5 introducing nucleic acids (e.g., siRNA) into a cell (e.g., a lung macrophage such as an alveolar macrophage, a lung epithelial cell such as an aveolar type II cell, a lung endothelial cell, a lung fibroblast, a lung smooth muscle cell, *etc.*). The methods are carried out *in vitro* or *in vivo* by first forming the particles as described above and then contacting the particles with the cells for a period of time sufficient for delivery of the nucleic acid to the cells to

10 occur.

[0210] The nucleic acid-lipid particles of the present invention can be adsorbed to almost any cell type with which they are mixed or contacted. Once adsorbed, the particles can either be endocytosed by a portion of the cells, exchange lipids with cell membranes, or fuse with the cells. Transfer or incorporation of the nucleic acid portion of the particle can take place

15 via any one of these pathways. In particular, when fusion takes place, the particle membrane is integrated into the cell membrane and the contents of the particle combine with the intracellular fluid.

[0211] The nucleic acid-lipid particles of the present invention can be administered either alone or in a mixture with a pharmaceutically-acceptable carrier (e.g., physiological saline or

20 phosphate buffer) selected in accordance with the route of administration and standard pharmaceutical practice. Generally, normal buffered saline (e.g., 135-150 mM NaCl) will be employed as the pharmaceutically-acceptable carrier. Other suitable carriers include, *e.g.*, water, buffered water, 0.4% saline, 0.3% glycine, and the like, including glycoproteins for enhanced stability, such as albumin, lipoprotein, globulin, *etc.* Additional suitable carriers

25 are described in, *e.g.*, REMINGTON'S PHARMACEUTICAL SCIENCES, Mack Publishing Company, Philadelphia, PA, 17th ed. (1985). As used herein, "carrier" includes any and all solvents, dispersion media, vehicles, coatings, diluents, antibacterial and antifungal agents, isotonic and absorption delaying agents, buffers, carrier solutions, suspensions, colloids, and the like. The phrase "pharmaceutically-acceptable" refers to molecular entities and

30 compositions that do not produce an allergic or similar untoward reaction when administered to a human.

[0212] The pharmaceutically-acceptable carrier is generally added following particle formation. *Thus*, after the particle is formed, the particle can be diluted into pharmaceutically-acceptable carriers such as normal buffered saline.

[0213] The concentration of particles in the pharmaceutical formulations can vary widely, 5 *i.e.*, from less than about 0.05%, usually at or at least about 2 to 5%, to as much as about 10 to 90% by weight, and will be selected primarily by fluid volumes, viscosities, *etc.*, in accordance with the particular mode of administration selected. For example, the concentration may be increased to lower the fluid load associated with treatment. This may be particularly desirable in patients having atherosclerosis-associated congestive heart failure 10 or severe hypertension. Alternatively, particles composed of irritating lipids may be diluted to low concentrations to lessen inflammation at the site of administration.

[0214] The pharmaceutical compositions of the present invention may be sterilized by conventional, well-known sterilization techniques. Aqueous solutions can be packaged for use or filtered under aseptic conditions and lyophilized, the lyophilized preparation being 15 combined with a sterile aqueous solution prior to administration. The compositions can contain pharmaceutically-acceptable auxiliary substances as required to approximate physiological conditions, such as pH adjusting and buffering agents, tonicity adjusting agents and the like, for example, sodium acetate, sodium lactate, sodium chloride, potassium chloride, and calcium chloride. Additionally, the particle suspension may include lipid- 20 protective agents which protect lipids against free-radical and lipid-peroxidative damages on storage. Lipophilic free-radical quenchers, such as alphatocopherol and water-soluble iron-specific chelators, such as ferrioxamine, are suitable.

#### A. *In Vivo* Administration

[0215] Systemic delivery for *in vivo* therapy, *i.e.*, delivery of a therapeutic nucleic acid to a 25 distal target cell via body systems such as the circulation, has been achieved using nucleic acid-lipid particles such as those disclosed in PCT Publication No. WO 96/40964 and U.S. Patent Nos. 5,705,385; 5,976,567; 5,981,501; and 6,410,328. This latter format provides a fully encapsulated nucleic acid-lipid particle that protects the nucleic acid from nuclease degradation in serum, is nonimmunogenic, is small in size, and is suitable for repeat dosing.

30 [0216] For *in vivo* administration, administration can be in any manner known in the art, *e.g.*, by injection, oral administration, inhalation (*e.g.*, intransal or intratracheal), transdermal application, or rectal administration. Administration can be accomplished via single or divided doses. The pharmaceutical compositions can be administered parenterally, *i.e.*,

intraarticularly, intravenously, intraperitoneally, subcutaneously, or intramuscularly. In some embodiments, the pharmaceutical compositions are administered intravenously or intraperitoneally by a bolus injection (see, e.g., U.S. Patent No. 5,286,634). Intracellular nucleic acid delivery has also been discussed in Straubringer *et al*, *Methods Enzymol*, 101:512 (1983); Mannino *et al*, *Biotechniques*, 6:682 (1988); Nicolau *et al*, *Crit. Rev. Ther. Drug Carrier Syst.*, 6:239 (1989); and Behr, *Adv. Chem. Res.*, 26:274 (1993). Still other methods of administering lipid-based therapeutics are described in, for example, U.S. Patent Nos. 3,993,754; 4,145,410; 4,235,871; 4,224,179; 4,522,803; and 4,588,578. The lipid-nucleic acid particles can be administered by direct injection at the site of disease or by injection at a site distal from the site of disease (see, e.g., Culver, *HUMAN GENE THERAPY*, MaryAnn Liebert, Inc., Publishers, New York. pp.70-71(1994)).

**[0217]** The compositions of the present invention, either alone or in combination with other suitable components, can be made into aerosol formulations (*i.e.*, they can be "nebulized") to be administered via inhalation (*e.g.*, intranasally or intratracheally) (see, Brigham *et al*, *Am. J. Sd.*, 298:278 (1989)). Aerosol formulations can be placed into pressurized acceptable propellants, such as dichlorodifluoromethane, propane, nitrogen, and the like.

**[0218]** In certain embodiments, the pharmaceutical compositions may be delivered by intranasal sprays, inhalation, and/or other aerosol delivery vehicles. Methods for delivering nucleic acid compositions directly to the lungs via nasal aerosol sprays have been described, *e.g.*, in U.S. Patent Nos. 5,756,353 and 5,804,212. Likewise, the delivery of drugs using intranasal microparticle resins and lysophosphatidyl-glycerol compounds (U.S. Patent 5,725,871) are also well-known in the pharmaceutical arts. Similarly, transmucosal drug delivery in the form of a polytetrafluoroethylene support matrix is described in U.S. Patent No. 5,780,045.

**[0219]** Formulations suitable for parenteral administration, such as, for example, by intraarticular (in the joints), intravenous, intramuscular, intradermal, intraperitoneal, and subcutaneous routes, include aqueous and non-aqueous, isotonic sterile injection solutions, which can contain antioxidants, buffers, bacteriostats, and solutes that render the formulation isotonic with the blood of the intended recipient, and aqueous and non-aqueous sterile suspensions that can include suspending agents, solubilizers, thickening agents, stabilizers, and preservatives. In the practice of this invention, compositions are preferably administered, for example, by intravenous infusion, orally, topically, intraperitoneally, intravesically, or intrathecally.

[0220] Generally, when administered intravenously, the nucleic acid-lipid formulations are formulated with a suitable pharmaceutical carrier. Many pharmaceutically acceptable carriers may be employed in the compositions and methods of the present invention. Suitable formulations for use in the present invention are found, for example, in REMINGTON'S

5 PHARMACEUTICAL SCIENCES, Mack Publishing Company, Philadelphia, PA, 17th ed. (1985). A variety of aqueous carriers may be used, for example, water, buffered water, 0.4% saline, 0.3% glycine, and the like, and may include glycoproteins for enhanced stability, such as albumin, lipoprotein, globulin, *etc.* Generally, normal buffered saline (135-150 mM NaCl) will be employed as the pharmaceutically acceptable carrier, but other suitable carriers will suffice. These compositions can be sterilized by conventional liposomal sterilization 10 techniques, such as filtration. The compositions may contain pharmaceutically acceptable auxiliary substances as required to approximate physiological conditions, such as pH adjusting and buffering agents, tonicity adjusting agents, wetting agents and the like, for example, sodium acetate, sodium lactate, sodium chloride, potassium chloride, calcium 15 chloride, sorbitan monolaurate, triethanolamine oleate, *etc.* These compositions can be sterilized using the techniques referred to above or, alternatively, they can be produced under sterile conditions. The resulting aqueous solutions may be packaged for use or filtered under aseptic conditions and lyophilized, the lyophilized preparation being combined with a sterile aqueous solution prior to administration.

20 In certain applications, the nucleic acid-lipid particles disclosed herein may be delivered via oral administration to the individual. The particles may be incorporated with excipients and used in the form of ingestible tablets, buccal tablets, troches, capsules, pills, lozenges, elixirs, mouthwash, suspensions, oral sprays, syrups, wafers, and the like (*see, e.g.*, U.S. Patent Nos. 5,641,515, 5,580,579, and 5,792,451). These oral dosage forms may also 25 contain the following: binders, gelatin; excipients, lubricants, and/or flavoring agents. When the unit dosage form is a capsule, it may contain, in addition to the materials described above, a liquid carrier. Various other materials may be present as coatings or to otherwise modify the physical form of the dosage unit. Of course, any material used in preparing any unit dosage form should be pharmaceutically pure and substantially non-toxic in the amounts 30 employed.

[0222] Typically, these oral formulations may contain at least about 0.1% of the nucleic acid-lipid particles or more, although the percentage of the particles may, of course, be varied and may conveniently be between about 1% or 2% and about 60% or 70% or more of the weight or volume of the total formulation. Naturally, the amount of particles in each

therapeutically useful composition may be prepared in such a way that a suitable dosage will be obtained in any given unit dose of the compound. Factors such as solubility, bioavailability, biological half-life, route of administration, product shelf life, as well as other pharmacological considerations will be contemplated by one skilled in the art of preparing such pharmaceutical formulations, and as such, a variety of dosages and treatment regimens may be desirable.

[0223] Formulations suitable for oral administration can consist of: (a) liquid solutions, such as an effective amount of the packaged nucleic acid (*e.g.*, siRNA) suspended in diluents such as water, saline, or PEG 400; (b) capsules, sachets, or tablets, each containing a

10 predetermined amount of the nucleic acid (*e.g.*, siRNA), as liquids, solids, granules, or gelatin; (c) suspensions in an appropriate liquid; and (d) suitable emulsions. Tablet forms can include one or more of lactose, sucrose, mannitol, sorbitol, calcium phosphates, corn starch, potato starch, microcrystalline cellulose, gelatin, colloidal silicon dioxide, talc, magnesium stearate, stearic acid, and other excipients, colorants, fillers, binders, diluents, buffering

15 agents, moistening agents, preservatives, flavoring agents, dyes, disintegrating agents, and pharmaceutically compatible carriers. Lozenge forms can comprise the nucleic acid (*e.g.*, siRNA) in a flavor, *e.g.*, sucrose, as well as pastilles comprising the nucleic acid (*e.g.*, siRNA) in an inert base, such as gelatin and glycerin or sucrose and acacia emulsions, gels, and the like containing, in addition to the nucleic acid (*e.g.*, siRNA), carriers known in the

20 art.

[0224] In another example of their use, nucleic acid-lipid particles can be incorporated into a broad range of topical dosage forms. For instance, the suspension containing the nucleic acid-lipid particles can be formulated and administered as gels, oils, emulsions, topical creams, pastes, ointments, lotions, foams, mousses, and the like.

25 [0225] When preparing pharmaceutical preparations of the nucleic acid-lipid particles of the invention, it is preferable to use quantities of the particles which have been purified to reduce or eliminate empty particles or particles with nucleic acid associated with the external surface.

[0226] The methods of the present invention may be practiced in a variety of hosts.

30 Preferred hosts include mammalian species, such as avian (*e.g.*, ducks), primates (*e.g.*, humans and chimpanzees as well as other nonhuman primates), canines, felines, equines, bovines, ovines, caprines, rodents (*e.g.*, rats and mice), lagomorphs, and swine.

[0227] The amount of particles administered will depend upon the ratio of nucleic acid to lipid, the particular nucleic acid used, the disease state being diagnosed, the age, weight, and

condition of the patient, and the judgment of the clinician, but will generally be between about 0.01 and about 50 mg per kilogram of body weight, preferably between about 0.1 and about 5 mg/kg of body weight, or about  $10^8$ - $10^{10}$  particles per administration (*e.g.*, injection).

#### B. *In Vitro* Administration

5 [0228] For *in vitro* applications, the delivery of nucleic acids (*e.g.*, siRNA) can be to any cell grown in culture, and of any tissue or type. In preferred embodiments, the cells are animal cells, more preferably mammalian cells, and most preferably human cells.

10 [0229] Contact between the cells and the nucleic acid-lipid particles, when carried out *in vitro*, takes place in a biologically compatible medium. The concentration of particles varies widely depending on the particular application, but is generally between about 1  $\mu$ mol and about 10 mmol. Treatment of the cells with the nucleic acid-lipid particles is generally carried out at physiological temperatures (about 37°C) for periods of time of from about 1 to 48 hours, preferably of from about 2 to 4 hours.

15 [0230] In one group of preferred embodiments, a nucleic acid-lipid particle suspension is added to 60-80% confluent plated cells having a cell density of from about  $10^3$  to about  $10^8$  cells/ml, more preferably about  $2 \times 10^4$  cells/ml. The concentration of the suspension added to the cells is preferably of from about 0.01 to 0.2  $\mu$ g/ml, more preferably about 0.1  $\mu$ g/ml.

20 [0231] Using an Endosomal Release Parameter (ERP) assay, the delivery efficiency of the SNALP or other lipid-based carrier system can be optimized. An ERP assay is described in detail in U.S. Patent Publication No. 20030077829. More particularly, the purpose of an ERP assay is to distinguish the effect of various cationic lipids and helper lipid components of SNALPs based on their relative effect on binding/uptake or fusion with/destabilization of the endosomal membrane. This assay allows one to determine quantitatively how each component of the SNALP or other lipid-based carrier system affects delivery efficiency, thereby optimizing the SNALPs or other lipid-based carrier systems. Usually, an ERP assay measures expression of a reporter protein (*e.g.*, luciferase,  $\beta$ -galactosidase, green fluorescent protein (GFP), *etc.*), and in some instances, a SNALP formulation optimized for an expression plasmid will also be appropriate for encapsulating an interfering RNA. In other instances, an ERP assay can be adapted to measure downregulation of transcription or 25 translation of a target sequence in the presence or absence of an interfering RNA (*e.g.*, siRNA). By comparing the ERPs for each of the various SNALPs or other lipid-based formulations, one can readily determine the optimized system, *e.g.*, the SNALP or other lipid-based formulation that has the greatest uptake in the cell.

### C. Cells for Delivery of Interfering RNA

[0232] The compositions and methods of the present invention are used to treat a wide variety of cell types, *in vivo* and *in vitro*. Suitable cells include, *e.g.*, cells of the airways, macrophages (*e.g.*, lung macrophages such as alveolar macrophages), epithelial cells (*e.g.*, 5 epithelial cells in the lungs and trachea such as aveolar type II cells), fibroblasts (*e.g.*, lung fibroblasts), endothelial cells (*e.g.*, lung endothelial cells), smooth muscle cells (*e.g.*, lung smooth muscle cells), hematopoietic precursor (stem) cells, keratinocytes, hepatocytes, skeletal muscle cells, osteoblasts, neurons, quiescent lymphocytes, terminally differentiated cells, slow or noncycling primary cells, parenchymal cells, lymphoid cells, bone cells, and the like.

[0233] *In vivo* delivery of nucleic acid-lipid particles encapsulating an interfering RNA (*e.g.*, siRNA) is suited for targeting cells of any cell type. The methods and compositions can be employed with cells of a wide variety of vertebrates, including mammals, such as, *e.g.*, canines, felines, equines, bovines, ovines, caprines, rodents (*e.g.*, mice, rats, and guinea pigs), 15 lagomorphs, swine, and primates (*e.g.* monkeys, chimpanzees, and humans).

[0234] To the extent that tissue culture of cells may be required, it is well-known in the art. For example, Freshney, Culture of Animal Cells, a Manual of Basic Technique, 3rd Ed., Wiley-Liss, New York (1994), Kuchler *et al*, Biochemical Methods in Cell Culture and Virology, Dowden, Hutchinson and Ross, Inc. (1977), and the references cited therein 20 provide a general guide to the culture of cells. Cultured cell systems often will be in the form of monolayers of cells, although cell suspensions are also used.

### D. Detection of SNALPs

[0235] In some embodiments, the nucleic acid-lipid particles are detectable in the subject at about 8, 12, 24, 48, 60, 72, or 96 hours, or 6, 8, 10, 12, 14, 16, 18, 19, 22, 24, 25, or 28 days 25 after administration of the particles. The presence of the particles can be detected in the cells, tissues, or other biological samples from the subject. The particles may be detected, *e.g.*, by direct detection of the particles, detection of the interfering RNA (*e.g.*, siRNA) sequence, detection of the target sequence of interest (i.e., by detecting expression or reduced expression of the influenza gene sequence of interest), detection of influenza viral load in the 30 subject, or a combination thereof.

## 1. Detection of Particles

[0236] Nucleic acid-lipid particles can be detected using any method known in the art. For example, a label can be coupled directly or indirectly to a component of the SNALP or other carrier system using methods well-known in the art. A wide variety of labels can be used, 5 with the choice of label depending on sensitivity required, ease of conjugation with the SNALP component, stability requirements, and available instrumentation and disposal provisions. Suitable labels include, but are not limited to, spectral labels such as fluorescent dyes (*e.g.*, fluorescein and derivatives, such as fluorescein isothiocyanate (FITC) and Oregon Green<sup>TM</sup>; rhodamine and derivatives such Texas red, tetrarhodamine isothiocyanate (TRITC), 10 *etc.*, digoxigenin, biotin, phycoerythrin, AMCA, CyDyes<sup>TM</sup>, and the like; radiolabels such as <sup>3</sup>H, <sup>125</sup>I, <sup>35</sup>S, <sup>14</sup>C, <sup>32</sup>P, <sup>33</sup>P, *etc.*; enzymes such as horse radish peroxidase, alkaline phosphatase, *etc.*; spectral colorimetric labels such as colloidal gold or colored glass or plastic beads such as polystyrene, polypropylene, latex, *etc.* The label can be detected using any means known in the art.

## 15 2. Detection of Nucleic Acids

[0237] Nucleic acids (*e.g.*, siRNA) are detected and quantified herein by any of a number of means well-known to those of skill in the art. The detection of nucleic acids proceeds by well-known methods such as Southern analysis, Northern analysis, gel electrophoresis, PCR, radiolabeling, scintillation counting, and affinity chromatography. Additional analytic 20 biochemical methods such as spectrophotometry, radiography, electrophoresis, capillary electrophoresis, high performance liquid chromatography (HPLC), thin layer chromatography (TLC), and hyperdiffusion chromatography may also be employed.

[0238] The selection of a nucleic acid hybridization format is not critical. A variety of nucleic acid hybridization formats are known to those skilled in the art. For example, 25 common formats include sandwich assays and competition or displacement assays. Hybridization techniques are generally described in, *e.g.*, "Nucleic Acid Hybridization, A Practical Approach," Eds. Hames and Higgins, IRL Press (1985).

[0239] The sensitivity of the hybridization assays may be enhanced through use of a nucleic acid amplification system which multiplies the target nucleic acid being detected. *In* 30 *vitro* amplification techniques suitable for amplifying sequences for use as molecular probes or for generating nucleic acid fragments for subsequent subcloning are known. Examples of techniques sufficient to direct persons of skill through such *in vitro* amplification methods, including the polymerase chain reaction (PCR) the ligase chain reaction (LCR), Q $\beta$ -replicase

amplification and other RNA polymerase mediated techniques (e.g., NASBA<sup>TM</sup>) are found in Sambrook *et al.*, In *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor Laboratory Press (2000); and Ausubel *et al.*, *SHORT PROTOCOLS IN MOLECULAR BIOLOGY*, eds., Current Protocols, Greene Publishing Associates, Inc. and John Wiley & Sons, Inc.

5 as well as U.S. Patent No. 4,683,202; PCR Protocols, A Guide to Methods and Applications (Innis *et al.* eds.) Academic Press Inc. San Diego, CA (1990); Arnheim & Levinson (October 1, 1990), *C&EN* 36; The *Journal of NIH Research*, 3:81 (1991); Kwoh *et al.*, *Proc. Natl. Acad. Sci. USA*, 86:1 173 (1989); Guatelli *et al.*, *Proc. Natl. Acad. Sd. USA*, 87:1874 (1990); Lomell *et al.*, *J. Clin. Chem.*, 35:1826 (1989); Landegren *et al.*, *Science*, 241:1077 (1988); Van Brunt, *Biotechnology*, 8:291 (1990); Wu and Wallace, *Gene*, 4:560 (1989); Barringer *et al.*, *Gene*, 89:1 17 (1990); and Sooknanan and Malek, *Biotechnology*, 13:563 (1995). Improved methods of cloning *in vitro* amplified nucleic acids are described in U.S. Pat. No. 5,426,039. Other methods described in the art are the nucleic acid sequence based amplification (NASBA<sup>TM</sup>, Cangene, Mississauga, Ontario) and Q $\beta$ -replicase systems.

10 15 These systems can be used to directly identify mutants where the PCR or LCR primers are designed to be extended or ligated only when a select sequence is present. Alternatively, the select sequences can be generally amplified using, for example, nonspecific PCR primers and the amplified target region later probed for a specific sequence indicative of a mutation.

[0240] Nucleic acids for use as probes, e.g., in *in vitro* amplification methods, for use as 20 gene probes, or as inhibitor components are typically synthesized chemically according to the solid phase phosphoramidite triester method described by Beaucage *et al.*, *Tetrahedron Letts.*, 22:1859 1862 (1981), e.g., using an automated synthesizer, as described in Needham VanDevanter *et al.*, *Nucleic Acids Res.*, 12:6159 (1984). Purification of polynucleotides, where necessary, is typically performed by either native acrylamide gel electrophoresis or by 25 anion exchange HPLC as described in Pearson *et al.*, *J. Chrom.*, 255:137 149 (1983). The sequence of the synthetic polynucleotides can be verified using the chemical degradation method of Maxam and Gilbert, In *Methods in Enzymology*, Grossman and Moldave (eds.), Academic Press, New York, 65:499 (1980).

[0241] An alternative means for determining the level of transcription is *in situ* 30 hybridization. *In situ* hybridization assays are well-known and are generally described in Angerer *et al.*, *Methods Enzymol.*, 152:649 (1987). In an *in situ* hybridization assay, cells are fixed to a solid support, typically a glass slide. If DNA is to be probed, the cells are denatured with heat or alkali. The cells are then contacted with a hybridization solution at a

moderate temperature to permit annealing of specific probes that are labeled. The probes are preferably labeled with radioisotopes or fluorescent reporters.

#### £. Detection of Influenza Viral Load

[0242] Influenza viral load can be detected using any means known in the art. Typically, influenza viral load is detected in a biological sample from the subject. For example, viral load in the subject's blood can be detected by measuring influenza virus antigens (e.g., HA) using an immunoassay such as an ELISA. Viral load can also be detected by amplifying influenza virus nucleic acids (see, e.g., Di Trani *et al*, *BMC Infect. Dis.*, 6:87 (2006); Ward *et al.*, *J. Clin. Virol.*, 29:179-188 (2004); and Boivin *et al.*, *J. Infect. Dis.*, 188:578-580 (2003)) or by a conventional plaque assay using, e.g., monolayers of Madin-Darby Canine Kidney (MDCK) cells.

### VIII. Examples

[0243] The present invention will be described in greater detail by way of specific examples. The following examples are offered for illustrative purposes, and are not intended to limit the invention in any manner. Those of skill in the art will readily recognize a variety of noncritical parameters which can be changed or modified to yield essentially the same results.

#### Example 1. Selection of Candidate Influenza siRNA.

[0244] Candidate influenza sequences were identified by scanning influenza nucleocapsid protein (NP) (Genbank Accession No. AY818138) and polymerase (PA) (Genbank Accession No. AY818132) sequences to identify AA dinucleotide motifs and the 19 nucleotides 3' of the motif. The following candidate sequences were eliminated: (1) sequences comprising a stretch of 4 or more of the same base in a row; (2) sequences comprising homopolymers of Gs; (3) sequences comprising triple base motifs (GGG, CCC, AAA, or TTT); and (4) sequences comprising stretches of 7 or more G/Cs in a row.

[0245] Reynold's Rational Design criteria was then applied to the remaining candidate sequences to identify sequences with 5 or more of the following criteria:

1. 30%-52% GC content;
2. At least 3 A/Us at positions 15-19 (sense);
3. Absence of internal repeats;
4. A at position 19 (sense);
5. A at position 3 (sense);

6. U at position 10 (sense);
7. No G/C at position 19 (sense); and
8. No G at position 13 (sense).

[0246] Only results with a score of 6 or more in the Stockholm rules (*see*, Chalk,

5 Wahlestedt, and Sonnhammer method described in Chalk *et al*, *Biochem. Biophys. Res. Commun.*, 319:264-274 (2004)) were retained.

[0247] Next, sequences with a high score from, *e.g.*, Classification tree method or Chalk, Wahlestedt, and Sonnhammer method, were retained.

[0248] Next, sequences with a score of 3 or more based on the rules of Amarzguioui and

10 Prydz, *Biochem. Biophys. Res. Commun.*, 316:1050-1058 (2004), were retained.

[0249] Next, sequences with thermodynamics >0 were eliminated.

[0250] Finally, BLASTn was used to compare the sequences with the mouse and human databases and sequences with homology to  $\geq 15$ -16 contiguous bp from the center of the target sequence (bp 3-18) against any relevant gene were eliminated. The candidate sequences are shown in Tables 1 and 2.

Table 1. siRNA sequences that target Influenza A virus NP expression.

Start Position	Sense Strand (5' → 3')	SEQ ID NO.	Antisense Strand (5' → 3')	SEQ ID NO.
381	GGACGCAACUGCUGGCUU	6	AAGACCAGCAGUUGCUC	7
417	GCAUUCCAAUCUAAAUGAU	8	AUCAUUAGAUUGGAAUGC	9
606	CGACCGGAAUUCUGGAGA	10	UCUCCAGAAAUCGGUCG	11
641	GAACAAGGAUUGCAUAUGA	12	UCAUAUGCAAUCCUUGUUC	13
926	ACAGCCAGGUUUUAGUCU	14	AGACUAAAGACCUGGUCU	15
1014	UGAGGACCUUAGAGUCUCA	16	UGAGACUCUAAGGUCCUCA	17
1244	AGAGAAACCUUCCCUUCGA	18	UCGAAGGGAAAGGUUCUCU	19
1268	CGACCAUUUAUGGCAGCAUU	20	AAUGCUGCCAUAAUGGUUCG	21
1322	GGACUGAAAUCAUAGAAU	22	AUUCUUUAUGAUUUCAGUCC	23
1437	UGACAUAGAAUAAUGAAGGA	24	UCCUUCAUUAUCAUGUCA	25

The sense and/or antisense strand may contain "dTdT" or "UU" 3' overhangs.

Table 2. siRNA sequences that target Influenza A virus PA expression.

Start Position	Sense Strand (5' → 3')	SEQ ID NO.	Antisense Strand (5' → 3')	SEQ ID NO.
95	CGAACAAAGUUUGCUGCAAU	26	AUUGCAGCAAACUUGUUCG	27
165	UGAACGGAGUGAAUCAAUA	28	UAUUGAUUCACUCCGUUCA	29
203	CGAAUGCAUUAUUGAAACA	30	UGUUUCAUUAUUGCAUUCG	31
306	ACCUAAAUCUCCAGAU	32	AUCUGGGAGAAAUUAGGU	33
308	CUAAAUCUCCAGAUU	34	AAAUCUGGGAGAAAUUAG	35
340	GAGAACCGAUUCAUCGAAA	36	UUUCGAUGAAUCGGUUCUC	37
341	AGAACCGAUUCAUCGAAA	38	AUUUCCGAUGAAUCGGUUCU	39
371	GGAGGGAAGGUCAUACAU	40	UAUGUAUGAACUUCUCCUCC	41
753	AGAACAGUGAAUUCUAGAAU	42	AAUUCUAGCAUUCACUUCU	43
919	GCAAUCAAAUUGCAUGAAGA	44	UCUUCAUGCAUUUUGAUUGC	45
923	UCAAAUUGCAUGAAGACAU	46	AAUGUCUUCAUGCAUUGA	47
1431	GGAUGACUUUCAACUGAU	48	AAUCAGUUGAAAGUCAUCC	49
1440	UCAACUGAUUCCAAUGAU	50	UAUCAUUGGAAUCAGUUGA	51
1569	GGAAUUCUCUCCUACUGAU	52	AUCAGUAAGAGAGAAUUCC	53

The sense and/or antisense strand may contain "dTdT" or "UU" 3' overhangs.

**Example 2. *In Vitro* Knockdown of Influenza Virus Using siRNA Lipoplexes.**

[0251] This example illustrates that siRNA lipoplexes targeting influenza nucleocapsid

5 protein (NP) or polymerase (PA) sequences can significantly reduce the cytopathic effect of influenza virus and provide substantial viral knockdown in a mammalian cell line.

[0252] The influenza virus (*e.g.*, Influenza A H1N1) produces a cytopathic effect (CPE) in Madin-Darby Canine Kidney (MDCK) cells upon infection in the presence of trypsin. The *in vitro* influenza infection was performed according to the following protocol:

1. MDCK cells were seeded in 96 well plates at about 8000 cells/well (about  $8 \times 10^4$  cells/ml) so that the cells were at about 50% density 24 hours after seeding.
2. About 24 hours later, media was changed to fresh complete media (no antibiotics) and cells were transfected with nucleic acid (*e.g.*, siRNA) in Lipofectamine<sup>TM</sup> 2000 (LF2000).
- 15 3. About 4 hours later, complexes were removed, cells were washed with PBS, and cells were infected with various dilutions of influenza virus (*e.g.*, Influenza A H1N1) in virus infection media (DMEM, 0.3% BSA, 10mM HEPES), adding about 50  $\mu$ l diluted virus/well.
4. Virus was incubated on cells for about 1-2 hours at 37°C, followed by removal of virus and addition of about 200  $\mu$ l of virus growth media (DMEM, 0.3% BSA, 10mM HEPES, 0.25  $\mu$ g/ml trypsin).
- 20 5. Cells were monitored for cytopathic effect at about 48 hours.
6. Influenza HA enzyme immunoassays (EIA) were performed on supernatants.

[0253] MDCK cells were transfected with a luciferase plasmid and an increasing amount of LF2000 to determine the optimal plasmid:LF2000 ratio for transfection. As shown in Figure 1, the highest level of luciferase activity was observed with 1 µg plasmid:4 µl LF2000 (*i.e.*, a 1:4 plasmid:LF2000 ratio). The addition of the complexes to MDCK cells at 50% cell density for 4 hours followed by media change did not induce toxicity at any of the concentrations of LF2000.

[0254] To determine the optimal siRNA:LF2000 ratio for knocking down viral infection, MDCK cells were transfected with an siRNA targeting the nucleocapsid protein (NP 1496) and an increasing amount of LF2000 and then infected with influenza virus. As shown in Figure 2, the best knockdown of influenza virus occurred at a 1:6 ratio of siRNA:LF2000. However, taking into consideration the optimal plasmid:LF2000 ratio for luciferase, a 1:4 ratio of siRNA:LF2000 was chosen for testing a panel of anti-flu siRNA sequences.

[0255] Using the above protocol, a panel of siRNA sequences targeting influenza nucleocapsid protein (NP) or polymerase (PA) sequences was tested for the ability to significantly reduce the cytopathic effect (CPE) produced by the influenza virus at about 48 hours after infection. As used herein, the term "cytopathic effect" or "CPE" refers to a cytopathological evident during viral infection that ultimately leads to cell death. The siRNA sequences were also tested for the amount of HA produced (*i.e.*, HA units/well) and the percentage of HA produced relative to a virus only control (*i.e.*, percent knockdown). The NP siRNA sequences used in this study are provided in Table 3. The PA and control siRNA sequences used in this study are provided in Table 4 and Table 5.

Table 3. NP siRNA sequences used in the *in vitro* influenza knockdown assay-

Name	NP siRNA Sequence	
NP 180	5' -CGAACOCAAACUCAGUGAUdTdT-S' 3' -dTdTGC ÜOGAGO ÜUGAGUCAC ÜA-5'	(SEQ ID NO: 54) (SEQ ID NO: 55)
NP 952	5' -CCUUUCAGACUGC ÜUCAAAdTdT-3' 3' -dTdTGGAAAGUCUGACGAAGUUU-5'	(SEQ ID NO: 56) (SEQ ID NO: 57)
NP411	5' -AGCUAAUAAOGGUGACGAUDdTdT-S' 3' -dTdTUCGAUUA ÜUACCACUGCUA-5'	(SEQ ID NO: 58) (SEQ ID NO: 59)
NP 604	5' -GGAACAAUUGGUGAUGGAAUDdTdT-S' 3' -dTdTCCUUGUACCACUACCUUA-5'	(SEQ ID NO: 60) (SEQ ID NO: 61)
NP 929	5' -GAUACUCUCUAGUCGGAAUDdTdT-S' 3' -dTdTCAUAGAGAGAUCAGCCUA-5'	(SEQ ID NO: 62) (SEQ ID NO: 63)
NP 1116	5' -GCUUUCCACUAGAGGGAGUudTdT-S' 3' -dTdTCAAGGUGAUCUCUAA-5'	(SEQ ID NO: 64) (SEQ ID NO: 65)
NP 1496	5' -GGAUCUUAUUUCUUCGGAGdTdT-S'	(SEQ ID NO: 66)

	3' -dTdTCCUAGAAUAAAGAAGCCUC-5'	(SEQ ID NO: 67)
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Column 1: The number refers to the nucleotide position of the 5' base of the sense strand relative to the Influenza A virus NP ssRNA sequence NC\_004522.

Table 4. PA siRNA sequences used in the *in vitro* influenza knockdown assay.

Name	PA siRNA Sequence
PA 626	5' -CACAGAGAACAAUAGGUAdTdT-S' 3' -dTdTGUGUCUCUUGUUAUCCAUU-5' (SEQ ID NO: 68) (SEQ ID NO: 69)
PA 848	5' -GCAAUGAGAACAGCAAdTdT-S' 3' -dTdTTCGUUACUCUUCUUUCGUUU-5' (SEQ ID NO: 70) (SEQ ID NO: 71)
PA 1467	5' -GCUUACAUAAACAGAACAdTdT-3' 3' -dTdTCAAGAUUUUGUCUUG-5' (SEQ ID NO: 72) (SEQ ID NO: 73)
PA 1898	5' -GCAACCCACUGAACCCAUdTdT-S' 3' -dTdTTCGUUGGGUGACUUGGGUAA-5' (SEQ ID NO: 74) (SEQ ID NO: 75)
PA 2256	5' -GAAGAUCUGUUCCACCAUdTdT-3' 3' -dTdTTCUUCUAGACAAGGUGGUAA-5' (SEQ ID NO: 76) (SEQ ID NO: 77)
PA 2087	5' -GCAAUUGAGGAGUGCCUGAdTdT-3' 3' -dTdTTCGUUAACUCCUCACGGACU-5' (SEQ ID NO: 78) (SEQ ID NO: 79)

Column 1: The number refers to the nucleotide position of the 5' base of the sense strand relative to the Influenza A virus PA ssRNA sequence AF3891 17.

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Table 5. Control siRNA sequences used in the *in vitro* influenza knockdown assay.

Name	Control siRNA Sequence
ApoB	5' -GUCAUCACACUGAAUACCAAU-S' 3' -CACAGUAGUGUGACUUAUGGUUA-5' (SEQ ID NO: 80) (SEQ ID NO: 81)
Luciferase	5' -GAUUAUGUCCGGUUAUGUAUU-S' 3' -UUCUAAUACAGGCCAAUACAU5' (SEQ ID NO: 82) (SEQ ID NO: 83)
Luciferase Scrambled	5' -AUGUAUUGGCCUGUAUUAGUU-S' 3' -UUUACAUAAACCGGACAUAAUC-S' (SEQ ID NO: 84) (SEQ ID NO: 85)

[0256] Four siRNA sequences targeting the nucleocapsid protein (*i.e.*, NP 411, NP 929, NP 1116, and NP 1496) provided a significant reduction in CPE and a substantial knockdown of the influenza virus *in vitro* (*see*, Table 6 and Figure 3). For example, NP 1496 provided an 80% reduction in CPE and an 84% knockdown of the influenza virus relative to a virus only control. In contrast, none of the control siRNA sequences (*e.g.*, Luc and Luc scr (*i.e.*, a scrambled luciferase control sequence)) reduced CPE or provided knockdown of the influenza virus.

10  
15

Table 6. Anti-flu siRNA reduces the cytopathic effect of viral infection in MDCK cells.

	% CPE (T = 48h); 5 wells
Cells + LF2000	5
Virus + LF2000	90
PA 626	4/5: 90; 1/5: 5
PA 848	4/5: 80; 1/5: 60
PA 1467	90
PA 1898	90
PA 2256	4/5: 90; 1/5: 60
PA 2087	4/5: 90; 1/5: 30
NP 180	2/5: 80; 2/5: 40; 1/5: 10
NP 952	1/5: 90; 3/5: 75; 1/5: 10
NP 411	30
NP 604	4/5: 80; 1/5: 50
NP 929	3/5: 50; 2/5: 10
NP 1116	20
NP 1496	10
Luc	90
Luc scr (scrambled)	90

[0257] This study demonstrates that anti-flu siRNA lipoplexes containing, *e.g.*, NP or PA siRNA, can significantly reduce the cytopathic effect of influenza virus and provide

5 substantial viral knockdown *in vitro*.

### Example 3. Design of Anti-Influenza siRNA with Selective Chemical Modifications.

[0258] This example illustrates that minimal 2'OMe modifications at selective positions in siRNA targeting Influenza A NP and PA are sufficient to decrease the immunostimulatory properties of the siRNA while retaining RNAi activity. In particular, selective 2'OMe-uridine

10 modifications in the sense strand of the siRNA duplex provide NP and PA siRNA with a desirable combination of silencing and non-immunostimulatory properties.

## RESULTS

### [0259] Selective modifications to NP and PA siRNA retain viral knockdown activity.

A panel of 2'OMe-modified NP and PA siRNA was prepared and their RNAi activity

15 evaluated in Madin-Darby Canine Kidney (MDCK) cells. The NP siRNA duplexes used in this study are provided in Table 7. The PA siRNA duplexes used in this study are provided in Table 8. The modifications involved introducing 2OMe-uridine at selected positions in the sense strand of the NP or PA siRNA sequence, in which the siRNA duplex contained less than about 20% 2'OMe-modified nucleotides. The NP and PA siRNA molecules were

20 formulated as lipoplexes and tested for their ability to significantly reduce the cytopathic effect (CPE) produced by influenza virus at about 48 hours after infection. In particular, the

NP and PA siRNA molecules were tested for their ability to reduce the amount of HA produced by influenza virus (*i.e.*, HA units/well). In certain instances, the percentage of HA produced relative to a virus only control (*i.e.*, percent knockdown) was also determined.

Table 7. siRNA duplexes comprising sense and antisense NP RNA polynucleotides.

Pos.	Mod.	NP siRNA Sequence	% 2OMe-Modified	% Modified DS Region	
97	0/0	5' -ACGCCAGAAUGCCACUGAAU-3' 3' -UUUGCGGUCUUACGGUGACUU-S'	(SEQ ID NO: 86) (SEQ ID NO: 87)	<b>0/42 = 0%</b>	<b>0/38 = 0%</b>
97	U2/0	5' -ACGCCAGAAUGCCACUGAA <u>dTdT</u> -3' 3' -dTdTUGCGGUCUUACGGUGACUU-5'	(SEQ ID NO: 88) (SEQ ID NO: 89)	<b>2/42 = 4.8%</b>	<b>2/38 = 5.3%</b>
165	0/0	5' -UCCAAAUGUGCACAGAACUUU-3' 3' -UUAGGUUUACACGUGUCUUGA-S'	(SEQ ID NO: 90) (SEQ ID NO: 91)	<b>0/42 = 0%</b>	<b>0/38 = 0%</b>
165	U4/0	5' -OCCAAAUGOGCACAGAAC <u>dTdT</u> -3' 3' -dTdTAGGUUUACACGUGUCUUGA-S'	(SEQ ID NO: 92) (SEQ ID NO: 93)	<b>4/42 = 9.5%</b>	<b>4/38 = 10.5%</b>
171	0/0	5' -UGUGCACAGAACUUUACUUU-S' 3' -UUACACGUGUCUUGAAUUGA-S'	(SEQ ID NO: 94) (SEQ ID NO: 95)	<b>0/42 = 0%</b>	<b>0/38 = 0%</b>
171	U5/0	5' -UGUGCACAGAAC <u>QUAAC</u> <u>dTdT</u> -3' 3' -dTdTACACGUGUCUUGAAUUGA-5'	(SEQ ID NO: 96) (SEQ ID NO: 97)	<b>5/42 = 11.9%</b>	<b>5/38 = 13.2%</b>
222	0/0	5' -GCUUAACAAUAGAGAGAAUUU-S 3' -UUCGAAUOGUUAUCUCUUA-S'	(SEQ ID NO: 98) (SEQ ID NO: 99)	<b>0/42 = 0%</b>	<b>0/38 = 0%</b>
222	U4/0	5' -GCUUAACAA <u>UAGAGAGAA</u> <u>dTdT</u> -S' 3' -dTdT <u>CGAAUUGUUAUCUCUUA</u> -5'	(SEQ ID NO: 100) (SEQ ID NO: 101)	<b>4/42 = 9.5%</b>	<b>4/38 = 10.5%</b>
383	0/0	5' -GAAGAAA <u>UAGGC</u> GAACUUU-S' 3' -UUCUUCUUUAUCCGCUUAGA-S'	(SEQ ID NO: 102) (SEQ ID NO: 103)	<b>0/42 = 0%</b>	<b>0/38 = 0%</b>
383	U3/0	5' -GAAGAAA <u>UAGGC</u> GAAC <u>UdTdT</u> -3' 3' -dTdT <u>CUUCUUUAUCCGCUUAGA</u> -5'	(SEQ ID NO: 104) (SEQ ID NO: 105)	<b>3/42 = 7.1%</b>	<b>3/38 = 7.9%</b>
411	0/0	5' -AGCUAA <u>AAU</u> U <u>GGUGACGA</u> <u>dTdT</u> -S' 3' -dTdT <u>UCGAUUAUACCACUGCUA</u> -5'	(SEQ ID NO: 58) (SEQ ID NO: 59)	<b>0/42 = 0%</b>	<b>0/38 = 0%</b>
411	U5/0	5' -AGCUAA <u>AAU</u> U <u>GGUGACGA</u> <u>dTdT</u> -S' 3' -dTdT <u>UCGAUUAUACCACUGCUA</u> -5'	(SEQ ID NO: 106) (SEQ ID NO: 107)	<b>5/42 = 11.9%</b>	<b>5/38 = 13.2%</b>
724	0/0	5' -AGGGAAA <u>UU</u> U <u>CAAACUGCUU</u> -S' 3' -UU <u>CCCUU</u> AA <u>AG</u> <u>UGACGA</u> -5'	(SEQ ID NO: 108) (SEQ ID NO: 109)	<b>0/42 = 0%</b>	<b>0/38 = 0%</b>
724	U5/0	5' -AGGGAAA <u>UU</u> U <u>CAAACUGCUU</u> <u>dTdT</u> -3' 3' -dTdT <u>UCCCUU</u> AA <u>AG</u> <u>UGACGA</u> -5'	(SEQ ID NO: 110) (SEQ ID NO: 111)	<b>5/42 = 11.9%</b>	<b>5/38 = 13.2%</b>
929	0/0	5' -GAUACUCU <u>UAGUCGGAA</u> <u>dTdT</u> -S' 3' -dTdT <u>CUAUGAGAGAU</u> CAGCCUUA-5'	(SEQ ID NO: 62) (SEQ ID NO: 63)	<b>0/42 = 0%</b>	<b>0/38 = 0%</b>
929	U6/0	5' -GAUACUCU <u>U</u> <u>COAGUCGGAA</u> <u>dTdT</u> -S' 3' -dTdT <u>CUAUGAGAGAU</u> CAGCCUUA-5'	(SEQ ID NO: 112) (SEQ ID NO: 113)	<b>6/42 = 14.3%</b>	<b>6/38 = 15.8%</b>
1000	0/0	5' -OGAGAA <u>UCCAGCACACAAGU</u> -3' 3' -UUACUCU <u>UAGGUCGUGUGUUC</u> -S'	(SEQ ID NO: 114) (SEQ ID NO: 115)	<b>0/42 = 0%</b>	<b>0/38 = 0%</b>
1000	U2/0	5' -U <u>AGAGAAUCCAGCACACAAG</u> <u>dTdT</u> -3' 3' -dTdT <u>AC</u> <u>Ü</u> <u>CUUAGGUCGUGUGUUC</u> -5'	(SEQ ID NO: 116) (SEQ ID NO: 117)	<b>2/42 = 4.8%</b>	<b>2/38 = 5.3%</b>
1096	0/0	5' -GGUGGU <u>UCCCAAGAGGGAA</u> GUU-S' 3' -UCCACCAGGGUUCUCCUUC-S'	(SEQ ID NO: 118) (SEQ ID NO: 119)	<b>0/42 = 0%</b>	<b>0/38 = 0%</b>
1096	U2/0	5' -GGUGGU <u>UCCCAAGAGGGAA</u> GU <u>dTdT</u> -S' 3' -dTdT <u>CCACCAGGGUUCUCCUUC</u> -5'	(SEQ ID NO: 120) (SEQ ID NO: 121)	<b>2/42 = 4.8%</b>	<b>2/38 = 5.3%</b>
1116	0/0	5' -GCUUU <u>CCACUAGAGGGAGU</u> <u>dTdT</u> -S' 3' -dTdT <u>CGAAAGGUGAUCUCCUCAA</u> -5'	(SEQ ID NO: 64) (SEQ ID NO: 65)	<b>0/42 = 0%</b>	<b>0/38 = 0%</b>

1116	U5/0	5' - <b>GOJUOCCACOAGAGGAGODdT-S'</b> 3' -dTdT <del>CGAAAGGUGAUCUCUCAA-5'</del>	(SEQ ID NO: 122) (SEQ ID NO: 123 )	5/42 = 11.9%	5/38 = 13.2%
1320	0/0	5' - <b>UGGCAGCAUαCACUGGGAAUU-3'</b> 3' - <b>UUACCGUCGUAGUGACCUU-5'</b>	(SEQ ID NO: 124 ) (SEQ ID NO: 125)	0/42 = 0%	0/38 = 0%
1320	U4/0	5' - <b>TCGCAGCAOUCACUGGGAddT-S'</b> 3' -dTdT <del>ACCGUCGUAGUGACCCUU-5'</del>	(SEQ ID NO: 126) (SEQ ID NO: 127 )	4/42 = 9.5%	4/38 = 10.5%
1485	0/0	5' - <b>UGAGUAUAUGAAGGACJCUUUU-S'</b> 3' - <b>UUACUCAUACUUCUAGAAU-5'</b>	(SEQ ID NO: 128 ) (SEQ ID NO: 129)	0/42 = 0%	0/38 = 0%
1485	U6/0	5' - <b>UGAGUAUAUGAAGGACUUAddT-3'</b> 3' -dTdT <del>ACCUAUAUCUCCUAGAAU-5'</del>	(SEQ ID NO: 130 ) (SEQ ID NO: 131 )	6/42 = 14.3%	6/38 = 15.8%
1496	0/0	5' - <b>GGAU<b>CUU</b>UUUCUUCGGAGdT-S'</b> 3' -dTdT <del>CCUAGAAUAAAGAACCCUC-5'</del>	(SEQ ID NO: 66) (SEQ ID NO: 67 )	0/42 = 0%	0/38 = 0%
1496	U4/0	5' - <b>GGAU<b>CUU</b>UU<b>UUC</b>UCGGAGdT-S'</b> 3' -dTdT <del>CCUAGAAUAAAGAACCCUC-5'</del>	(SEQ ID NO: 132 ) (SEQ ID NO: 133 )	4/42 = 9.5%	4/38 = 10.5%
1496	U8/0	5' - <b>GGAU<b>CUU</b>UU<b>UUC</b>UCGGAGdT-S'</b> 3' -dTdT <del>CCUAGAAUAAAGAACCCUC-5'</del>	(SEQ ID NO: 134 ) (SEQ ID NO: 135 )	8/42 = 19%	8/38 = 21%

Column 1: The number refers to the nucleotide position of the 5' base of the sense strand relative to the Influenza A virus NP ssRNA sequence NC\_004522. Column 2: The numbers refer to the distribution of 2'OMe chemical modifications in each strand. For example, "U5/0" indicates 5 uridine 2'OMe modifications in the sense strand and no uridine 2'OMe modifications in the antisense strand. Column 3: 2'OMe-modified nucleotides are indicated in bold and underlined; "dT" = deoxythymidine. Column 4: The number and percentage of 2'OMe-modified nucleotides in the siRNA duplex are provided. Column 5: The number and percentage of modified nucleotides in the double-stranded (DS) region of the siRNA duplex are provided.

Table 8. siRNA duplexes comprising sense and antisense PA RNA polynucleotides.

Pos.	Mod.	PA siRNA Sequence	% 2'OMe-Modified	% Modified DS Region	
194	0/0	5' - <b>GGCGAGUCAAAUACGUAGdT-S'</b> 3' -dTdT <del>CCGCUAGUAAUAGCAUC-5'</del>	(SEQ ID NO: 136) (SEQ ID NO: 137 )	0/42 = 0%	0/38 = 0%
194	U4/0	5' - <b>GGCGAG<u>UCAA</u>AAOCGUAGdT-S'</b> 3' -dTdT <del>CCGCUAGUAAUAGCAUC-5'</del>	(SEQ ID NO: 138 ) (SEQ ID NO: 139)	4/42 = 9.5%	4/38 = 10.5%
212	0/0	5' - <b>GAACUUGGUGAUCCUAAUGdT-S'</b> 3' -dTdT <del>CUUGAACACAUAGGAUAC-5'</del>	(SEQ ID NO: 140) (SEQ ID NO: 141 )	0/42 = 0%	0/38 = 0%
212	U6/0	5' - <b>GAAC<u>UUGGUGAUC</u>CUAAUGdT-S'</b> 3' -dTdT <del>CUUGAACACAUAGGAUAC-5'</del>	(SEQ ID NO: 142) (SEQ ID NO: 143 )	6/42 = 14.3%	6/38 = 15.8%
392	0/0	5' - <b>AGGAGAGAAGUUCACAUAddT-S'</b> 3' -dTdT <del>UCCUCUCUCAAGUGUAUA-5'</del>	(SEQ ID NO: 144 ) (SEQ ID NO: 145)	0/42 = 0%	0/38 = 0%
392	U4/0	5' - <b>AGGAGAGAAG<u>UUCACAU</u>AddT-S'</b> 3' -dTdT <del>UCCUCUCUCAAGUGUAUA-5'</del>	(SEQ ID NO: 146) (SEQ ID NO: 147 )	4/42 = 9.5%	4/38 = 10.5%
751	0/0	5' - <b>GGGCAAGCUGUCUAAAUGdT-S'</b> 3' -dTdT <del>CCCGUUCGACAGAGUUAC-5'</del>	(SEQ ID NO: 148 ) (SEQ ID NO: 149)	0/42 = 0%	0/38 = 0%
751	U4/0	5' - <b>GGGCAAGC<u>UGU</u>CAA<b>U</b>UGdT-S'</b> 3' -dTdT <del>CCCGUUCGACAGAGUUAC-5'</del>	(SEQ ID NO: 150) (SEQ ID NO: 151 )	4/42 = 9.5%	4/38 = 10.5%
783	0/0	5' - <b>AUGCuAGAAUUGAACCUUddT-S'</b> 3' -dTdT <del>UACGAUCUAACUUGGAAA-5'</del>	(SEQ ID NO: 152) (SEQ ID NO: 153)	0/42 = 0%	0/38 = 0%
783	U7/0	5' - <b>AUG<u>CUAGAAUUGAAC</u>CUUddT-3'</b> 3' -dTdT <del>UACGAUCUAACUUGGAAA-5'</del>	(SEQ ID NO: 154 ) (SEQ ID NO: 155)	7/42 = 16.7%	7/38 = 18.4%
813	0/0	5' - <b>CACCA<b>GACCAC</b>UAGACUddT-3'</b> 3' -dTdT <del>GUGGUGCUGGUGAACUGA-5'</del>	(SEQ ID NO: 156) (SEQ ID NO: 157 )	0/42 = 0%	0/38 = 0%
813	U3/0	5' - <b>CACCA<b>GACCAC</b>UAGACUddT-3'</b> 3' -dTdT <del>GUGGUGCUGGUGAACUGA-5'</del>	(SEQ ID NO: 158) (SEQ ID NO: 159 )	3/42 = 7.1%	3/38 = 7.9%

1656	0/0	5' -UAGGAGAUAGCUUCUAAGdTdT3' (SEQ ID NO: 160) 3' -dTdT <del>TAUCCUCUA</del> ACGAAGAUUC-5' (SEQ ID NO: 161)	0/42 = 0%	0/38 = 0%
1656	U6/0	5' -rjAGGAGAO <u>AO</u> OGCOOCOAAGdTdT-S' (SEQ ID NO: 162) 3' -dTdT <del>TAUCCUCUA</del> ACGAAGAUUC-5' (SEQ ID NO: 163)	6/42 = 14.3%	6/38 = 15.8%
1658	0/0	5' -GGAGAUAGCUUCUAAGAAddTdT-S' (SEQ ID NO: 164) 3' -dTdT <del>CCUCUA</del> ACGAAGAUUCU-5' (SEQ ID NO: 165)	0/42 = 0%	0/38 = 0%
1658	U5/0	5' -GGAGADA <u>OG</u> CUOCUAAGAAddTdT3' (SEQ ID NO: 166) 3' -dTdT <del>TCC</del> ÜCUA <u>ACGAAGAUUCU</u> -5' (SEQ ID NO: 167)	5/42 = 11.9%	5/38 = 13.2%
1884	0/0	5' -UOGGAGAGUCUCCAAAGGdTdT3' (SEQ ID NO: 168) 3' -dTdT <del>TAACCUCUCAGAGGGUUUCC</del> -5' (SEQ ID NO: 169)	0/42 = 0%	0/38 = 0%
1884	U4/0	5' - <u>OD</u> GGAGAG <u>OC</u> CCCAAAGGdTdT3' (SEQ ID NO: 170) 3' -dTdT <del>TAACCUCUCAGAGGGUUUCC</del> -5' (SEQ ID NO: 171)	4/42 = 9.5%	4/38 = 10.5%
2098	0/0	5' -GUGCCUA <u>UUA</u> UAGAUCCCdTdT-S' (SEQ ID NO: 172) 3' -dTdT <del>CACGGAUUA</del> UACUAGGG-5' (SEQ ID NO: 173)	0/42 = 0%	0/38 = 0%
2098	U6/0	5' - <u>GOGCCOA</u> DO <u>AA</u> OGAUCCCdTdT3' (SEQ ID NO: 174) 3' -dTdT <del>CACGGAUUA</del> UACUAGGG-5' (SEQ ID NO: 175)	6/42 = 14.3%	6/38 = 15.8%

Column 1: The number refers to the nucleotide position of the 5' base of the sense strand relative to the Influenza A virus PA ssRNA sequence AF3891 17. Column 2: The numbers refer to the distribution of 2'OMe chemical modifications in each strand. For example, "U5/0" indicates 5 uridine 2'OMe modifications in the sense strand and no uridine 2'OMe modifications in the antisense strand. Column 3: 2'OMe-modified nucleotides are indicated in bold and underlined; "dT" = deoxythymidine. Column 4: The number and percentage of 2'OMe-modified nucleotides in the siRNA duplex are provided. Column 5: The number and percentage of modified nucleotides in the double-stranded (DS) region of the siRNA duplex are provided.

[0260] Figures 4-6 show that selective 2'OMe modifications to the sense strand of the NP or PA siRNA duplex did not negatively affect influenza knockdown activity when compared to unmodified counterpart sequences or control sequences. Figure 7 shows that various combinations of these modified NP siRNA molecules provided enhanced knockdown of influenza virus in MDCK cells relative to controls.

[0261] These results demonstrate that modified NP 1496, NP 411, NP 929, NP 1116, NP 97, NP 171, NP 222, NP 383, NP 1485, PA 392, and PA 783 siRNA display potent and comparable anti-influenza activity. NP 1485 may be particularly useful against multiple serotypes of the Influenza A virus (*e.g.*, H1N1, H5N1, *etc.*) because it targets a highly conserved sequence in the NP gene.

[0262] **Selective modifications to NP siRNA abrogate *in vitro* and *in vivo* cytokine induction.** Unmodified NP 1496 siRNA (*i.e.*, 0/0) and a 2'OMe-modified variant thereof (*i.e.*, U8/0) were either encapsulated into SNALPs having 2 mol % PEG-cDMA, 40 mol % DLinDMA, 10 mol % DSPC, and 48 mol % cholesterol or complexed with polyethylenimine (PEI) to form polyplexes. The SNALP-formulated NP-targeting siRNA were tested *in vitro* to look for the induction of an immune response, *e.g.*, cytokine induction. Human peripheral blood mononuclear cells (PBMCs) were transfected with 40 µg of the SNALP formulation comprising NP 1496 siRNA and supernatants collected for cytokine analysis at 16 hours. The polyplex formulations were tested *in vivo* to look for the induction of an immune

response, *e.g.*, cytokine induction. Mice were intravenously injected with the polyplexes at 120  $\mu$ g siRNA/mouse and plasma samples were collected 6 hours post-treatment and tested for interferon- $\alpha$  levels by an ELISA assay. Figure 8 shows that selective 2'OMe modifications to NP 1496 siRNA abrogated interferon induction in an *in vitro* cell culture system. Figure 9 shows that selective 2'OMe modifications to NP 1496 siRNA abrogated the interferon induction associated with systemic administration of the native (*i.e.*, unmodified) duplex.

## METHODS

[0263] *siRNA*: All siRNA used in these studies were chemically synthesized by Protiva Biotherapeutics (Burnaby, BC), University of Calgary (Calgary, AB), or Dharmacon Inc. (Lafayette, CO). siRNA were desalted and annealed using standard procedures.

[0264] *Lipid encapsulation of siRNA*: Unless otherwise indicated, siRNAs were encapsulated into liposomes composed of the following lipids; synthetic cholesterol (Sigma; St. Louis, MO), the phospholipid DSPC (1,2-distearoyl-sn-glycero-3-phosphocholine; Avanti Polar Lipids; Alabaster, AL), the PEG-lipid PEG-cDMA (3-N-[(Methoxy polyethylene glycol)2000]carbamoyl]- 1,2-dimyrestyloxy-propylamine), and the cationic lipid DLinDMA (1,2-Dilinoleyl-3-(N,N-dimethyl)aminopropane) in the molar ratio 48:10:2:40. In other words, unless otherwise indicated, siRNAs were encapsulated into liposomes of the following SNALP formulation: 2 mol % PEG-cDMA, 40 mol % DLinDMA, 10 mol % DSPC, and 48 mol % cholesterol.

[0265] *Lipoplex treatment and in vitro influenza infection*: The influenza virus (*e.g.*, Influenza A/PR/8/34 H1N1) produces a cytopathic effect in MDCK cells upon infection in the presence of trypsin. The lipoplex treatment and *in vitro* influenza infection of MDCK cells was performed according to the following protocol:

1. MDCK cells were seeded in 96 well plates at about 8000 cells/well (about  $8 \times 10^4$  cells/ml) so that the cells were at about 50% density 24 hours after seeding.
2. About 24 hours later, media was changed to fresh complete media (no antibiotics) and cells were transfected with a lipoplex comprising 2  $\mu$ g/ml siRNA in Lipofectamine<sup>TM</sup> 2000 (LF2000) (Invitrogen Corp.; Camarillo, CA) at a 1:4 ratio of siRNA:LF2000.
3. About 4 hours later, complexes were removed, cells were washed with PBS, and cells were infected with a 1:800 dilution of influenza virus in virus infection media (DMEM, 0.3% BSA, 10mM HEPES), adding about 50  $\mu$ l diluted virus/well.

4. Virus was incubated on cells for about 1-2 hours at 37°C, followed by removal of virus and addition of about 200 µl of virus growth media (DMEM, 0.3% BSA, 10mM HEPES, 0.25 µg/ml trypsin).

5. Cells were monitored for cytopathic effect at about 48 hours.

5 6. Influenza HA enzyme immunoassays (EIA) were performed on supernatants.

[0266] *Polyplex treatment and in vivo cytokine induction:* Animal studies were completed in accordance with the Canadian Council on Animal Care guidelines following approval by the local Animal Care and Use Committee at Protiva Biotherapeutics. 6-8 week old CD1 ICR mice (Harlan; Indianapolis, IN) were subjected to a three week quarantine and 10 acclimation period prior to use. siRNAs were mixed with *In vivo* jetPEI™ (Qbiogene, Inc.; Carlsbad, CA) according to the manufacturer's instructions at an N/P ratio of 5 at room temperature for 20 min. Mice were administered the *In vivo* jetPEI™ polyplexes, corresponding to 120 µg siRNA/mouse, by standard intravenous injection in the lateral tail vein in 0.2 ml PBS. Blood was collected by cardiac puncture 6 hours after administration and 15 processed as plasma for cytokine analysis. Interferon-α levels in plasma were measured using a sandwich ELISA method according to the manufacturer's instructions (PBL Biomedical; Piscataway, NJ). Additional methods for PEI polyplex formation are provided in Judge *et al*, *Nat. Biotechnol.*, 23:457-462 (2005).

[0267] *In vitro cytokine induction:* PBMCs were transfected with from 0.1 µg/ml to 9 20 µg/ml of SNALP-formulated siRNA and interferon-α levels were assayed in cell culture supernatants after 16 hours using a sandwich ELISA method according to the manufacturer's instructions (PBL Biomedical; Piscataway, NJ).

#### Example 4. *In Vivo* Knockdown of Influenza Virus Using SNALP.

[0268] This example provides a study investigating the effect of an anti-flu SNALP against 25 the influenza virus in infected mice. Specifically, the study had the following objectives: (1) to evaluate influenza knockdown with siRNA targeting an influenza nucleocapsid protein (NP) sequence (*i.e.*, NP siRNA); (2) to determine a dose response of NP siRNA encapsulated within SNALP; (3) to titer the Influenza A PR/8/34 stock to obtain an appropriate concentration for survival studies; and (4) to investigate high doses of naked NP siRNA as a 30 specific positive control for influenza knockdown.

[0269] The synthetic modified siRNA used in this study were obtained from Dharmacon Inc. (Lafayette, CO). The siRNA sequences are provided in Table 9.

Table 9. Modified siRNA sequences used in the *in vivo* influenza knockdown study.

Name	2'OMe-Modified siRNA Sequence
NP 1496 (U4/0)	5' - <b>GGA</b> UCUUAUUUC <b>U</b> UCGGAGdTdT-3' (SEQ ID NO:132) 3' -dTdTCCUAGAA <u>AAAGA</u> AGCCUC-5' (SEQ ID NO:133)
ApoB Mismatch (mm)	5' - <b>GUGAUCAGACUCAAUACGAAU</b> -3' (SEQ ID NO:176) 3' -CACACU <u>AGUCUG</u> GUUAUGCUUA-5' (SEQ ID NO:177)

2'OMe-modified nucleotides are indicated in bold and underlined; "dT" = deoxythymidine.

[0270] The *in vivo* knockdown was performed according to the following protocol using 40 female Balb/c mice housed at 4 mice per cage:

5 Study Timeline:

1. Mice were ordered.
2. Mice arrived.
3. Mice were taken out of quarantine.
4. Mice were treated with SNALP containing 2% PEG-C-DMA, 40% DLindMA, 10% 10 DSPC (2:40: 10), and 48% cholesterol at a IX drug:lipid ratio.
5. Mice were treated with influenza A/PR/8/34 about 4 hours after SNALP pretreatment.
6. Mice were sacrificed.

Experimental Design:

Group	# Mice	Test Article (-4h)	Infectious Article (0h)	Collection/Readout
A	2	Saline	Saline	48h sac
B	6	PBS	Influenza A/PR/8/34 1:40,000	48h sac
C	4	Modified ApoB mismatch (mm) 0.5mg/kg = 0.25mg/ml	Influenza A/PR/8/34 1:40,000	48h sac
D	4	Modified NP 1496 0.25mg/kg = 0.125mg/ml	Influenza A/PR/8/34 1:40,000	48h sac
E	4	Modified NP 1496 0.5mg/kg = 0.25mg/ml	Influenza A/PR/8/34 1:40,000	48h sac
F	4	Modified NP 1496 1mg/kg = 0.5mg/ml	Influenza A/PR/8/34 1:40,000	48h sac
G	4	Naked NP 1496 12.5mg/kg = 5mg/ml	Influenza A/PR/8/34 1:40,000	48h sac
H	6	1:60,000 Survival	Influenza A/PR/8/34 1:40,000	14d survival
I	6	1:80,000 Survival	Influenza A/PR/8/34 1:40,000	14d survival

15 SNALP Preparation:

[0271J Sample A: Saline = 5 mice x 50 µl = 250 µl needed

[0272] Sample B: PBS = 10 mice x 50  $\mu$ l - 500  $\mu$ l needed

102731 Sample C: Modified ApoB mm SNALP (2:40:10) @ 0.25 mg/ml = 5 mice x 50  $\mu$ l (0.5mg/kg) = 250  $\mu$ l needed

-  $(1.048 \text{ mg/ml})x = (0.25 \text{ mg/ml})(0.250 \text{ ml})$

5 -  $x = 0.060 \text{ mis (added 0.190 ml PBS)}$

[0274] Sample D: Modified NP 1496 SNALP (2:40:10) @ 0.125 mg/ml = 5 mice x 50  $\mu$ l (0.25 mg/kg) = 250  $\mu$ l needed

-  $(0.998 \text{ mg/ml})x = (0.125 \text{ mg/ml})(0.250 \text{ ml})$

-  $x = 0.031 \text{ mis (added 0.219 ml PBS)}$

10 [0275] Sample E: Modified NP 1496 SNALP (2:40:10) @ 0.25 mg/ml = 5 mice x 50  $\mu$ l (0.5 mg/kg) = 250  $\mu$ l needed

-  $(0.998 \text{ mg/ml})x = 0.25 \text{ mg/ml}(0.250 \text{ ml})$

-  $x = 0.063 \text{ mis (added 0.187 ml PBS)}$

[0276] Sample F: Modified NP 1496 SNALP (2:40:10) @ 0.5 mg/ml = 5 mice x 50  $\mu$ l (1.0

15 mg/kg) = 250  $\mu$ l needed

-  $(0.998 \text{ mg/ml})x = (0.5 \text{ mg/ml})(0.250 \text{ ml})$

-  $x = 0.125 \text{ mis (added 0.125 ml PBS)}$

[0277] Sample G: Naked NP 1496 @ 5 mg/ml = 5 mice x 50  $\mu$ l (12.5 mg/kg) = 250  $\mu$ l  
needed

20 -  $(6 \text{ mg/ml})x = (5 \text{ mg/ml})(0.250 \text{ ml})$

-  $x = 0.208 \text{ ml (added 0.042 ml 30% glucose in water to get final [glucose] = 5%)}$

-  $(5\% \times 0.250 \text{ ml}) = x(0.04 \text{ ml})$

-  $x = 30\%$

Viral Preparation:

25 [0278] 30 mice inoculated @ [1:40,000 dilution of virus stock at 2freeze/thaws] in total  
volume of 50  $\mu$ l per mouse = 30 x 50  $\mu$ l = 1500  $\mu$ l

- Prepare 3000  $\mu$ l of 1:40,000 dilution

- Prepare 1:100 (10  $\mu$ l stock in 990  $\mu$ l saline)

- Prepare 1:1,000 (100  $\mu$ l of 1:100 dilution in 900  $\mu$ l saline)

30 - Prepare 1:40,000 (75  $\mu$ l of 1:1000 dilution in 2925  $\mu$ l saline)

[0279] 6 mice inoculated @ [1:60,000 dilution of virus stock at 2freeze/thaws] in total  
volume of 50  $\mu$ l per mouse = 10 x 50  $\mu$ l = 500  $\mu$ l

- Add 667  $\mu$ l of 1:40,000 in 333  $\mu$ l saline

[0280] 6 mice inoculated @ [1:80,000 dilution of virus stock at 2freeze/thaws] in total volume of 50  $\mu$ l per mouse = 10 x 50  $\mu$ l = 500  $\mu$ l

- Add 500  $\mu$ l of 1:40,000 in 500  $\mu$ l saline

**5** Treatment:

[0281] Mice were treated with a range of concentrations of Influenza A PR/8/34 intranasally in a total volume of 50  $\mu$ l.

Endpoint:

[0282] Viral burden has not been previously investigated and was one of the objectives of this study. Possible signs of distress have been documented in the literature and were used as signs of morbidity and mortality prior to euthanasia. The primary indicator of infection for this model was body weight. When mice reached >20% body weight loss, lungs were harvested and blood was collected into microtainer EDTA tubes via cardiac puncture. Body temperature was another method for detecting grade of infection. Mice exhibiting signs of distress associated with viral treatment were terminated at the discretion of the vivarium staff.

[0283] Symptoms of influenza infection should manifest within 10 to 14 days. If this is not the case, a higher viral titer should be examined.

Termination:

[0284] Mice were terminated by CO<sub>2</sub> inhalation followed by cervical dislocation.

**20** Data Analysis:

[0285] Daily body weight and cage- $\beta$ ide observations were performed. Enzyme immunoassays (EIA), *e.g.*, hemagglutinin (HA) EIA, were performed on lung samples.

Results:

[0286] As shown in Figure 10, pretreatment of mice by intranasally administering SNALP containing 2'OMe-modified NP 1496 siRNA at 0.5 mg/kg about 4 hours prior to Influenza A/PR/8/34 infection had a significant effect on viral infection *in vivo*. Not only did the amount of HA produced (*i.e.*, HA units/lung) significantly decrease, but the percentage of HA produced relative to a PBS control (*i.e.*, percent knockdown) decreased by over 40% (*p* = 0.0069). The viral knockdown was highly sequence-specific, as a 2'OMe-modified ApoB mismatch (mm) siRNA did not have a significant effect on inhibiting viral infection *in vivo*. Figure 10 also shows that naked 2'OMe-modified NP 1496 siRNA at a very high dose (*i.e.*, 12.5 mg/kg) could serve as a specific positive control for influenza knockdown.

[0287] This example demonstrates that anti-flu siRNA encapsulated within lipid particles such as SNALPs can provide substantial viral knockdown in mice inoculated with the influenza virus.

[0288] It is to be understood that the above description is intended to be illustrative and not 5 restrictive. Many embodiments will be apparent to those of skill in the art upon reading the above description. The scope of the invention should, therefore, be determined not with reference to the above description, but should instead be determined with reference to the appended claims, along with the full scope of equivalents to which such claims are entitled. The disclosures of all articles and references, including patent applications, patents, PCT 10 publications, and Accession Nos. are incorporated herein by reference for all purposes.

WHAT IS CLAIMED IS:

1           1. An siRNA molecule comprising a double-stranded region of about 15  
2 to about 60 nucleotides in length, wherein said siRNA molecule silences expression of an  
3 influenza virus gene selected from the group consisting of PA, PB1, PB2, NP, M1, M2, NS1,  
4 and NS2.

1           2. The siRNA molecule in accordance with claim 1, wherein said  
2 influenza virus is selected from the group consisting of Influenza A, B, and C.

1           3. The siRNA molecule in accordance with claim 1, wherein said  
2 influenza virus gene is selected from the group consisting of NP and PA.

1           4. The siRNA molecule in accordance with claim 1, wherein said  
2 influenza virus gene is NP.

1           5. The siRNA molecule in accordance with claim 1, wherein said  
2 influenza virus gene is PA.

1           6. The siRNA molecule in accordance with claim 1, wherein said siRNA  
2 molecule comprises at least one of the sequences set forth in Tables 1-4 and 7-8.

1           7. The siRNA molecule in accordance with claim 1, wherein said siRNA  
2 molecule comprises at least one of the sequences set forth in Tables 7-8.

1           8. The siRNA molecule in accordance with claim 1, wherein said siRNA  
2 molecule is selected from the group consisting of NP 97, NP 171, NP 222, NP 383, NP 411,  
3 NP 929, NP 1116, NP 1485, PA 392, PA 783, and a mixture thereof.

1           9. The siRNA molecule in accordance with claim 1, wherein said siRNA  
2 molecule is NP 1485.

1           10. The siRNA molecule in accordance with claim 1, wherein said siRNA  
2 molecule comprises a double-stranded region of about 15 to about 30 nucleotides in length.

1           11. The siRNA molecule in accordance with claim 1, wherein said double-  
2 stranded region comprises at least one modified nucleotide.

1                   12. The siRNA molecule in accordance with claim 11, wherein said at  
2 least one modified nucleotide is selected from the group consisting of a 2'-O-methyl (2'OMe)  
3 nucleotide, 2'-deoxy-2'-fluoro (2'F) nucleotide, 2'-deoxy nucleotide, 2'-O-(2-methoxyethyl)  
4 (MOE) nucleotide, locked nucleic acid (LNA) nucleotide, and mixtures thereof.

1                   13. The siRNA molecule in accordance with claim 11, wherein said at  
2 least one modified nucleotide is a modified uridine nucleotide, modified guanosine  
3 nucleotide, or mixtures thereof.

1                   14. The siRNA molecule in accordance with claim 11, wherein all of the  
2 uridine nucleotides in one strand of said siRNA molecule comprise modified uridine  
3 nucleotides.

1                   15. The siRNA molecule in accordance with claim 14, wherein all of the  
2 uridine nucleotides in the sense strand of said siRNA molecule comprise modified uridine  
3 nucleotides.

1                   16. The siRNA molecule in accordance with claim 14, further comprising  
2 at least one modified nucleotide selected from the group consisting of a modified guanosine  
3 nucleotide, modified adenosine nucleotide, modified cytosine nucleotide, and mixtures  
4 thereof.

1                   17. The siRNA molecule in accordance with claim 11, wherein said at  
2 least one modified nucleotide is a 2'OMe nucleotide.

1                   18. The siRNA molecule in accordance with claim 11, wherein said at  
2 least one modified nucleotide is selected from the group consisting of a 2'OMe-guanosine  
3 nucleotide, 2'OMe-uridine nucleotide, 2'OMe-adenosine nucleotide, and mixtures thereof.

1                   19. The siRNA molecule in accordance with claim 11, wherein said at  
2 least one modified nucleotide is not a 2'OMe-cytosine nucleotide.

1                   20. The siRNA molecule in accordance with claim 11, wherein said at  
2 least one modified nucleotide is a 2OMe-uridine nucleotide, 2'OMe-guanosine nucleotide, or  
3 mixtures thereof.

1                   21. The siRNA molecule in accordance with claim 11, wherein said at  
2 least one modified nucleotide is in the sense strand of said siRNA molecule.

1                   22. The siRNA molecule in accordance with claim 11, wherein less than  
2 about 30% of the nucleotides in said double-stranded region comprise modified nucleotides.

1                   23. The siRNA molecule in accordance with claim 11, wherein less than  
2 about 20% of the nucleotides in said double-stranded region comprise modified nucleotides.

1                   24. The siRNA molecule in accordance with claim 11, wherein said  
2 siRNA molecule is less immunostimulatory than a corresponding unmodified siRNA  
3 sequence.

1                   25. The siRNA molecule in accordance with claim 1, wherein said siRNA  
2 molecule comprises a hairpin loop structure.

1                   26. The siRNA molecule in accordance with claim 1, further comprising a  
2 carrier system.

1                   27. The siRNA molecule in accordance with claim 26, wherein said carrier  
2 system is selected from the group consisting of a nucleic acid-lipid particle, a liposome, a  
3 micelle, a virosome, a nucleic acid complex, and a mixture thereof.

1                   28. The siRNA molecule in accordance with claim 27, wherein said carrier  
2 system is a nucleic acid-lipid particle.

1                   29. The siRNA molecule in accordance with claim 27, wherein said  
2 nucleic acid complex comprises said siRNA molecule complexed with a cationic lipid, a  
3 cationic polymer, a cyclodextrin, or a mixture thereof.

1                   30. The siRNA molecule in accordance with claim 29, wherein said  
2 siRNA molecule is complexed with a cationic polymer, wherein said cationic polymer is  
3 polyethylenimine (PEI).

1                   31. A pharmaceutical composition comprising an siRNA molecule in  
2 accordance with claim 1 and a pharmaceutically acceptable carrier.

1                   32. A nucleic acid-lipid particle comprising:

2 an siRNA molecule in accordance with claim 1;  
3 a canonic lipid; and  
4 a non-cationic lipid.

1 33. The nucleic acid-lipid particle in accordance with claim 32, wherein  
2 the cationic lipid is a member selected from the group consisting of N,N-dioleyl-N,N-  
3 dimethylammonium chloride (DODAC), N,N-distearyl-N,N-dimethylammonium bromide  
4 (DDAB), N-(I -(2,3-dioleyloxy)propyl)-N,N,N-trimethylammonium chloride (DOTAP), N-  
5 (I-(2,3-dioleyloxy)propyl)-N,N,N-trimethylammonium chloride (DOTMA), N,N-dimethyl-  
6 2,3-dioleyloxypropylamine (DODMA), 1,2-DiLinoleyloxy-N,N-dimethylaminopropane  
7 (DLmDMA), 1,2-Dilinolenyloxy-N,N-dimethylaminopropane (DLendMA), and a mixture  
8 thereof.

1 34. The nucleic acid-lipid particle in accordance with claim 32, wherein  
2 the cationic lipid is DLinDMA.

1 35. The nucleic acid-lipid particle in accordance with claim 32, wherein  
2 the non-cationic lipid is an anionic lipid.

1 36. The nucleic acid-lipid particle in accordance with claim 32, wherein  
2 the non-cationic lipid is a neutral lipid.

1 37. The nucleic acid-lipid particle in accordance with claim 32, wherein  
2 the non-cationic lipid is a member selected from the group consisting of  
3 distearoylphosphatidylcholine (DSPC), dioleoylphosphatidylethanolamine (DOPE),  
4 palmitoyloleoyl-phosphatidylcholine (POPC), palmitoyloleoyl-phosphatidylethanolamine  
5 (POPE), palmitoyloleyol-phosphatidylglycerol (POPG), dipalmitoyl-phosphatidylcholine  
6 (DPPC), dipalmitoyl-phosphatidylethanolamine (DPPE), dimyristoyl-  
7 phosphatidylethanolamine (DMPE), distearoyl-phosphatidylethanolamine (DSPE),  
8 monomethyl-phosphatidylethanolamine, dimethyl-phosphatidylethanolamine, dielaidoyl-  
9 phosphatidylethanolamine (DEPE), stearoyloleoyl-phosphatidylethanolamine (SOPE), egg  
10 phosphatidylcholine (EPC), cholesterol, and a mixture thereof.

1 38. The nucleic acid-lipid particle in accordance with claim 32, wherein  
2 the non-cationic lipid is DSPC, DPPC, or DSPE.

1                   39. The nucleic acid-lipid particle in accordance with claim 32, further  
2 comprising a conjugated lipid that inhibits aggregation of particles.

1                   40. The nucleic acid-lipid particle in accordance with claim 39, wherein  
2 the conjugated lipid that inhibits aggregation of particles is a member selected from the group  
3 consisting of a polyethyleneglycol (PEG>lipid conjugate, a polyamide (ATTA)-lipid  
4 conjugate, and a mixture thereof.

1                   41. The nucleic acid-lipid particle in accordance with claim 40, wherein  
2 the PEG-lipid is a member selected from the group consisting of a PEG-diacylglycerol, a  
3 PEG dialkyloxypropyl, a PEG-phospholipid, a PEG-ceramide, and a mixture thereof.

1                   42. The nucleic acid-lipid particle in accordance with claim 40, wherein  
2 the conjugated lipid that inhibits aggregation of particles comprises a polyethyleneglycol  
3 (PEG)-dialkyloxypropyl (PEG-DAA) conjugate.

1                   43. The nucleic acid-lipid particle in accordance with claim 42, wherein  
2 the PEG-DAA conjugate is a member selected from the group consisting of a PEG-  
3 dilauryloxypropyl (C 12), a PEG-dimyristyloxypropyl (C 14), a PEG-dipalmityloxypropyl  
4 (C 16), and a PEG-distearyloxypropyl (C 1g).

1                   44. The nucleic acid-lipid particle in accordance with claim 42, wherein  
2 the PEG-DAA conjugate is a PEG-dimyristyloxypropyl (C 14).

1                   45. The nucleic acid-lipid particle in accordance with claim 32, wherein  
2 the cationic lipid comprises from about 20 mol % to about 50 mol % of the total lipid present  
3 in the particle.

1                   46. The nucleic acid-lipid particle in accordance with claim 32, wherein  
2 the cationic lipid comprises about 40 mol % of the total lipid present in the particle.

1                   47. The nucleic acid-lipid particle in accordance with claim 32, wherein  
2 the non-cationic lipid comprises from about 5 mol % to about 90 mol % of the total lipid  
3 present in the particle.

1                   48.    The nucleic acid-lipid particle in accordance with claim 32, wherein  
2    the non-cationic lipid comprises about 20 mol % of the total lipid present in the particle.

1                   49.    The nucleic acid-lipid particle in accordance with claim 42, wherein  
2    the PEG-DAA conjugate comprises from 0 mol % to about 20 mol % of the total lipid present  
3    in the particle.

1                   50.    The nucleic acid-lipid particle in accordance with claim 42, wherein  
2    the PEG-DAA conjugate comprises about 2 mol % of the total lipid present in the particle.

1                   51.    The nucleic acid-lipid particle in accordance with claim 32, further  
2    comprising cholesterol.

1                   52.    The nucleic acid-lipid particle in accordance with claim 51, wherein  
2    the cholesterol comprises from about 10 mol % to about 60 mol % of the total lipid present in  
3    the particle.

1                   53.    The nucleic acid-lipid particle in accordance with claim 51, wherein  
2    the cholesterol comprises about 48 mol % of the total lipid present in the particle.

1                   54.    The nucleic acid-lipid particle in accordance with claim 32, wherein  
2    the nucleic acid in the nucleic acid-lipid particle is not substantially degraded after exposure  
3    of the particle to a nuclease at 37°C for 20 minutes.

1                   55.    The nucleic acid-lipid particle in accordance with claim 32, wherein  
2    the nucleic acid in the nucleic acid-lipid particle is not substantially degraded after incubation  
3    of the particle in serum at 37°C for 30 minutes.

1                   56.    The nucleic acid-lipid particle in accordance with claim 32, wherein  
2    the nucleic acid is fully encapsulated in the nucleic acid-lipid particle.

1                   57.    The nucleic acid-lipid particle in accordance with claim 32, wherein  
2    the particle has a nucleic acid:lipid mass ratio of from about 0.01 to about 0.2.

1                   58.    The nucleic acid-lipid particle in accordance with claim 32, wherein  
2    the particle has a nucleic acid:lipid mass ratio of from about 0.02 to about 0.1.

1               59.     The nucleic acid-lipid particle in accordance with claim 32, wherein  
2     the particle has a nucleic acid:lipid mass ratio of about 0.08.

1               60.     The nucleic acid-lipid particle in accordance with claim 32, wherein  
2     the particle has a median diameter of from about 50 nm to about 150 nm.

1               61.     The nucleic acid-lipid particle in accordance with claim 32, wherein  
2     the particle has a median diameter of from about 70 nm to about 90 nm.

1               62.     A pharmaceutical composition comprising a nucleic acid-lipid particle  
2     of claim 32 and a pharmaceutically acceptable carrier.

1               63.     A method for introducing an siRNA that silences expression of an  
2     influenza virus gene into a cell, said method comprising:  
3                 contacting said cell with an siRNA molecule in accordance with claim 1.

1               64.     The method in accordance with claim 63, wherein said siRNA  
2     molecule is in a carrier system.

1               65.     The method in accordance with claim 64, wherein said carrier system  
2     is selected from the group consisting of a nucleic acid-lipid particle, a liposome, a micelle, a  
3     virosome, a nucleic acid complex, and a mixture thereof.

1               66.     The method in accordance with claim 65, wherein said carrier system  
2     is a nucleic acid-lipid particle.

1               67.     The method in accordance with claim 65, wherein said nucleic acid  
2     complex comprises said siRNA molecule complexed with a cationic lipid, a cationic polymer,  
3     a cyclodextrin, or a mixture thereof.

1               68.     The method in accordance with claim 67, wherein said siRNA  
2     molecule is complexed with a cationic polymer, wherein said cationic polymer is  
3     polyethylenimine (PEI).

1               69.     The method in accordance with claim 64, wherein said carrier system  
2     is a nucleic acid-lipid particle comprising:  
3                 said siRNA molecule;

4                   a cationic lipid; and  
5                   a non-cationic lipid.

1               70.    The in accordance with claim 69, wherein said nucleic acid-lipid  
2    particle further comprises a conjugated lipid that prevents aggregation of particles.

1               71.    The method in accordance with claim 69, wherein the presence of said  
2    nucleic acid-lipid particle is detectable at least 1 hour after administration of said particle.

1               72.    The method in accordance with claim 69, wherein more than 10% of a  
2    plurality of said particles are present in the plasma of a mammal about 1 hour after  
3    administration.

1               73.    The method in accordance with claim 69, wherein an effect of the  
2    siRNA at a site distal to the site of administration is detectable for at least 72 hours after  
3    administration of said nucleic acid-lipid particle.

1               74.    The method in accordance with claim 63, wherein said cell is in a  
2    mammal.

1               75.    The method in accordance with claim 74, wherein said mammal is a  
2    human.

1               76.    The method in accordance with claim 63, wherein said siRNA  
2    molecule comprises at least one of the sequences set forth in Tables 7-8.

1               77.    A method for *in vivo* delivery of an siRNA that silences expression of  
2    an influenza virus gene, said method comprising:  
3                   administering to a mammalian subject an siRNA molecule in accordance with  
4    claim 1.

1               78.    The method in accordance with claim 77, wherein said siRNA  
2    molecule is in a carrier system.

1               79.    The method in accordance with claim 78, wherein said carrier system  
2    is selected from the group consisting of a nucleic acid-lipid particle, a liposome, a micelle, a  
3    virosome, a nucleic acid complex, and a mixture thereof.

1                   80.     The method in accordance with claim 79, wherein said carrier system  
2     is a nucleic acid-lipid particle.

1                   81.     The method in accordance with claim 79, wherein said nucleic acid  
2     complex comprises said siRNA molecule complexed with a cationic lipid, a cationic polymer,  
3     a cyclodextrin, or a mixture thereof.

1                   82.     The method in accordance with claim 81, wherein said siRNA  
2     molecule is complexed with a cationic polymer, wherein said cationic polymer is  
3     polyethylenimine (PEI).

1                   83.     The method in accordance with claim 78, wherein said carrier system  
2     is a nucleic acid-lipid particle comprising:  
3                    said siRNA molecule;  
4                    a cationic lipid; and  
5                    a non-cationic lipid.

1                   84.     The in accordance with claim 83, wherein said nucleic acid-lipid  
2     particle further comprises a conjugated lipid that prevents aggregation of particles.

1                   85.     The method in accordance with claim 83, wherein said mammal has  
2     been exposed to a second mammal infected with an influenza virus prior to administration of  
3     said nucleic acid-lipid particle.

1                   86.     The method in accordance with claim 83, wherein said mammal has  
2     been exposed to a fomite contaminated with an influenza virus prior to administration of said  
3     nucleic acid-lipid particle.

1                   87.     The method in accordance with claim 83, wherein administration of  
2     said nucleic acid-lipid particle reduces the amount of influenza hemagglutinin (HA) protein  
3     in said mammal by at least about 40% relative to the amount of influenza HA protein in the  
4     absence of said particle.

1                   88.     The method in accordance with claim 77, wherein said administration  
2     is selected from the group consisting of oral, intranasal, intravenous, intraperitoneal,  
3     intramuscular, intra-articular, intralesional, intratracheal, subcutaneous, and intradermal.

1                   89.     The method in accordance with claim 77, wherein said mammalian  
2     subject is a human.

1                   90.     The method in accordance with claim 77, wherein said siRNA  
2     molecule comprises at least one of the sequences set forth in Tables 7-8.

1                   91.     A method for modifying an anti-influenza siRNA having  
2     immunostimulatory properties, said method comprising:

3                   (a) providing an unmodified siRNA sequence capable of silencing expression  
4     of an influenza virus gene selected from the group consisting of PA, PB1, PB2, NP, M1, M2,  
5     NS1, and NS2; and

6                   (b) modifying said unmodified siRNA sequence by substituting at least one  
7     nucleotide in the sense or antisense strand with a modified nucleotide,

8                   thereby generating a modified siRNA molecule that is less immunostimulatory  
9     than said unmodified siRNA sequence and is capable of silencing expression of said  
10    influenza virus gene.

1                   92.     The method in accordance with claim 91, wherein said modified  
2     nucleotide is selected from the group consisting of a 2'-O-methyl (2'OMe) nucleotide, T-  
3     deoxy-2'-fluoro (2T) nucleotide, 2'-deoxy nucleotide, 2'-O-(2-methoxyethyl) (MOE)  
4     nucleotide, locked nucleic acid (LNA) nucleotide, and mixtures thereof.

1                   93.     The method in accordance with claim 91, wherein said modified  
2     nucleotide is a modified uridine nucleotide, modified guanosine nucleotide, or mixtures  
3     thereof.

1                   94.     The method in accordance with claim 91, wherein said unmodified  
2     siRNA sequence is modified by substituting all of the uridine nucleotides in the sense or  
3     antisense strand with modified uridine nucleotides.

1                   95.     The method in accordance with claim 94, further comprising at least  
2     one modified nucleotide selected from the group consisting of a modified guanosine  
3     nucleotide, modified adenosine nucleotide, modified cytosine nucleotide, and mixtures  
4     thereof.

1                   96.    The method in accordance with claim 91, wherein said modified  
2    nucleotide is a 2'OMe nucleotide.

1                   97.    The method in accordance with claim 91, wherein said modified  
2    nucleotide is selected from the group consisting of a 2'OMe-guanosine nucleotide, 2'OMe-  
3    uridine nucleotide, 2'OMe-adenosine nucleotide, and mixtures thereof.

1                   98.    The method in accordance with claim 91, wherein said modified  
2    nucleotide is not a 2'OMe-cytosine nucleotide.

1                   99.    The method in accordance with claim 91, wherein said modified  
2    nucleotide is a 2'OMe-uridine nucleotide, 2'OMe-guanosine nucleotide, or mixtures thereof.

1                   100.   The method in accordance with claim 91, further comprising:  
2                           (c) confirming that said modified siRNA molecule is less immunostimulatory  
3    by contacting said modified siRNA molecule with a mammalian responder cell under  
4    conditions suitable for said mammalian responder cell to produce a detectable immune  
5    response.

1                   101.   A method for identifying and modifying an anti-influenza siRNA  
2    having immunostimulatory properties, said method comprising:  
3                           (a) contacting an unmodified siRNA sequence capable of silencing expression  
4    of an influenza virus gene with a mammalian responder cell under conditions suitable for said  
5    mammalian responder cell to produce a detectable immune response, wherein said influenza  
6    virus gene is selected from the group consisting of PA, PB1, PB2, NP, M1, M2, NS1, and  
7    NS2;  
8                           .

9                           (b) identifying said unmodified siRNA sequence as an immunostimulatory  
10    siRNA molecule by the presence of a detectable immune response in said mammalian  
11    responder cell; and

12                           (c) modifying said immunostimulatory siRNA molecule by substituting at  
13    least one nucleotide with a modified nucleotide, thereby generating a modified siRNA  
14    molecule that is less immunostimulatory than said unmodified siRNA sequence.

1                   102.   The method in accordance with claim 101, wherein said modified  
2    nucleotide is selected from the group consisting of a 2\*-O-methyl (2'OMe) nucleotide, *T*-

3 deoxy-2'-fluoro (2'F) nucleotide, 2'-deoxy nucleotide, 2'-O-(2-methoxyethyl) (MOE)  
4 nucleotide, locked nucleic acid (LNA) nucleotide, and mixtures thereof.

1 103. The method in accordance with claim 101, wherein said modified  
2 nucleotide is a modified uridine nucleotide, modified guanosine nucleotide, or mixtures  
3 thereof.

1 104. The method in accordance with claim 101, wherein said  
2 immunostimulatory siRNA molecule is modified by substituting all of the undine nucleotides  
3 in one strand with modified uridine nucleotides.

1 105. The method in accordance with claim 104, further comprising at least  
2 one modified nucleotide selected from the group consisting of a modified guanosine  
3 nucleotide, modified adenosine nucleotide, modified cytosine nucleotide, and mixtures  
4 thereof.

1 106. The method in accordance with claim 101, wherein said modified  
2 nucleotide is a 2'OMe nucleotide.

1 107. The method in accordance with claim 101, wherein said modified  
2 nucleotide is selected from the group consisting of a 2'OMe-guanosine nucleotide, 2'OMe-  
3 uridine nucleotide, 2'OMe-adenosine nucleotide, and mixtures thereof.

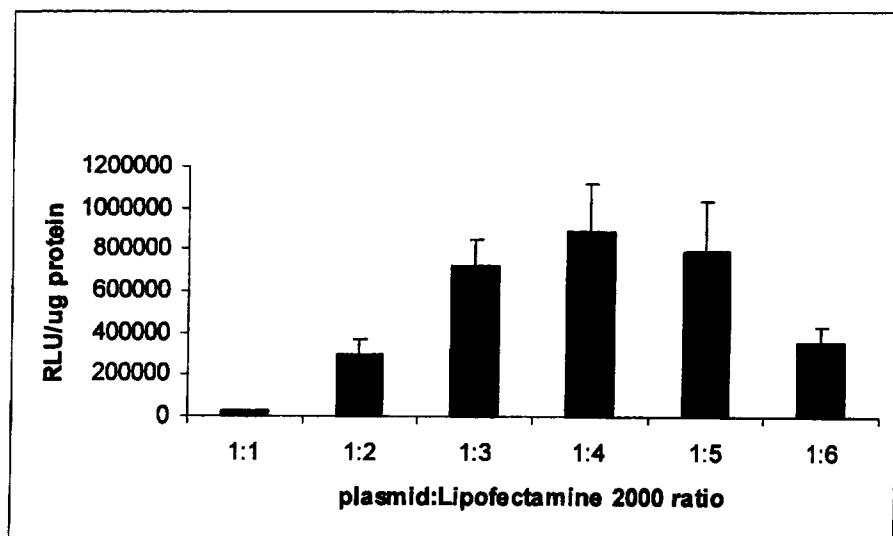
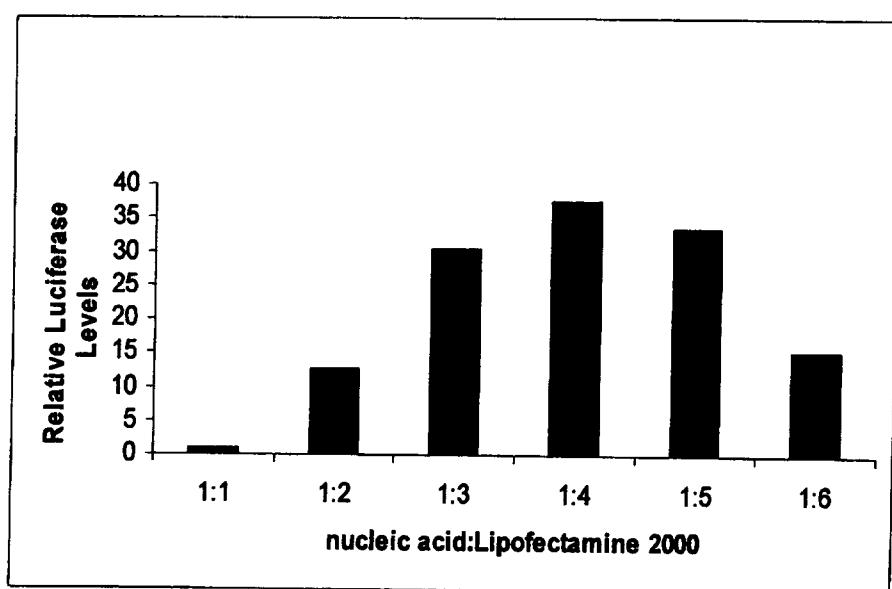
1 108. The method in accordance with claim 101, wherein said modified  
2 nucleotide is not a 2'OMe-cytosine nucleotide.

1 109. The method in accordance with claim 101, wherein said modified  
2 nucleotide is a 2'OMe-uridine nucleotide, 2'OMe-guanosine nucleotide, or mixtures thereof.

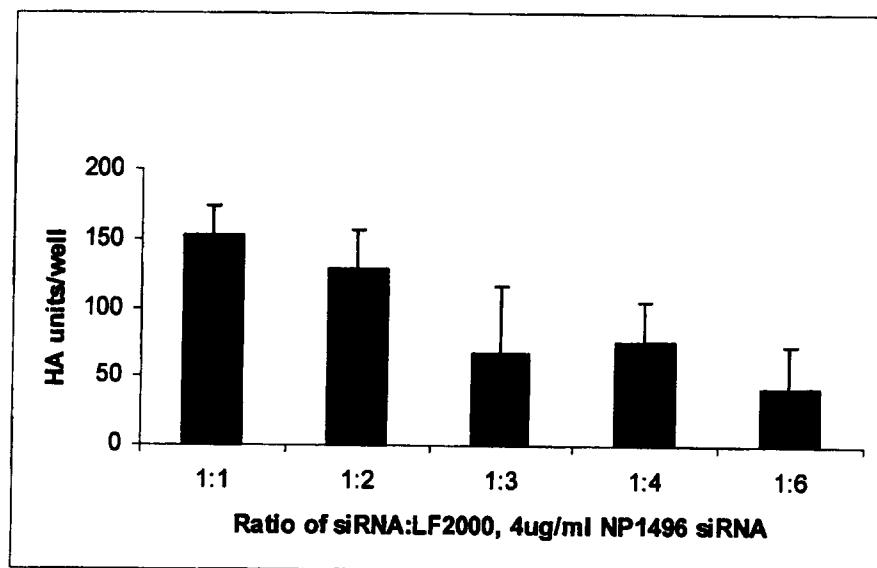
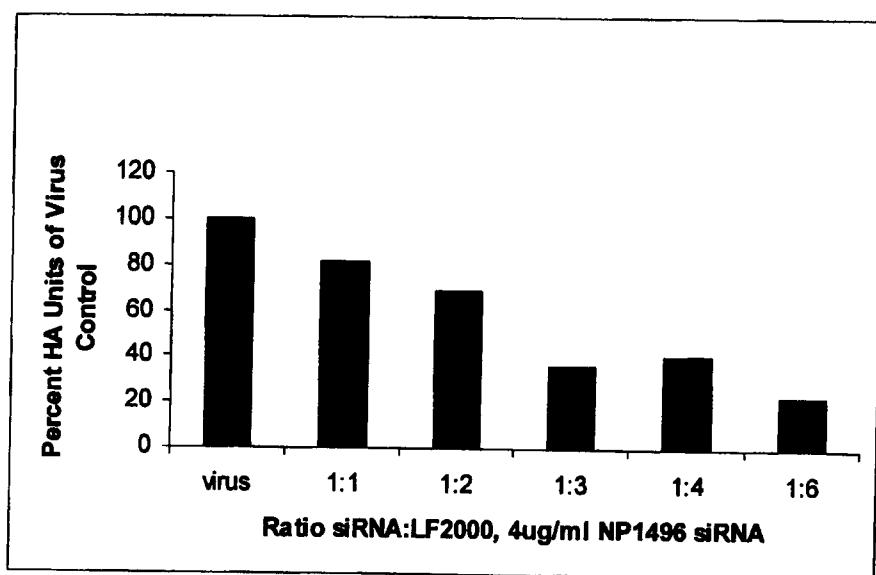
1 110. The method in accordance with claim 101, wherein said mammalian  
2 responder cell is a peripheral blood mononuclear cell.

1 111. The method in accordance with claim 101, wherein said detectable  
2 immune response comprises production of a cytokine or growth factor selected from the  
3 group consisting of TNF- $\alpha$ , IFN- $\alpha$ , EFN- $\beta$ , IFN- $\gamma$ , IL-6, IL-12, and combinations thereof.

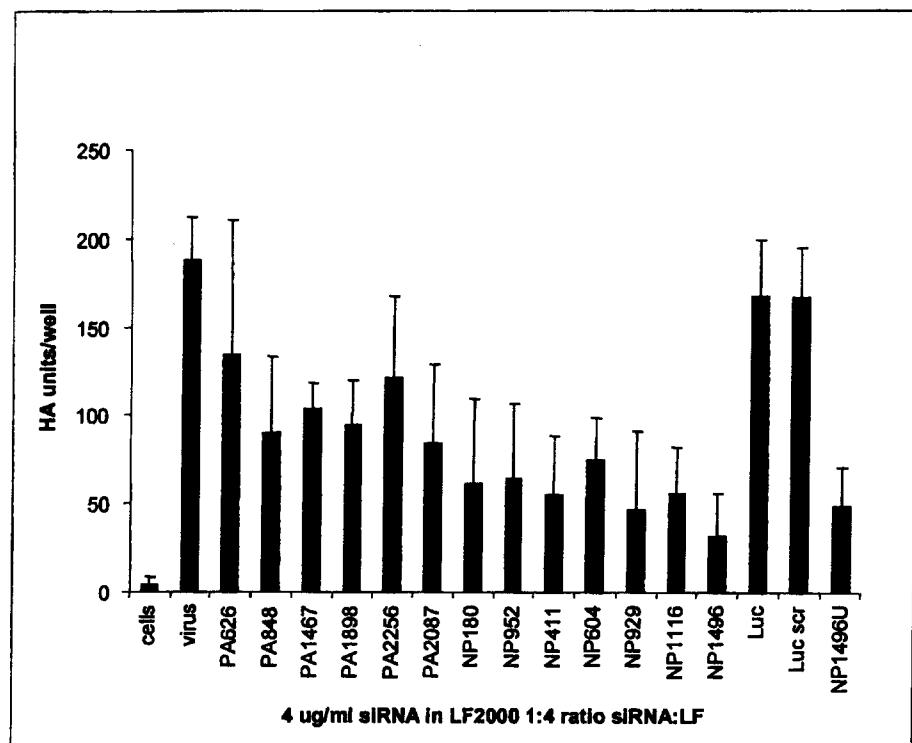
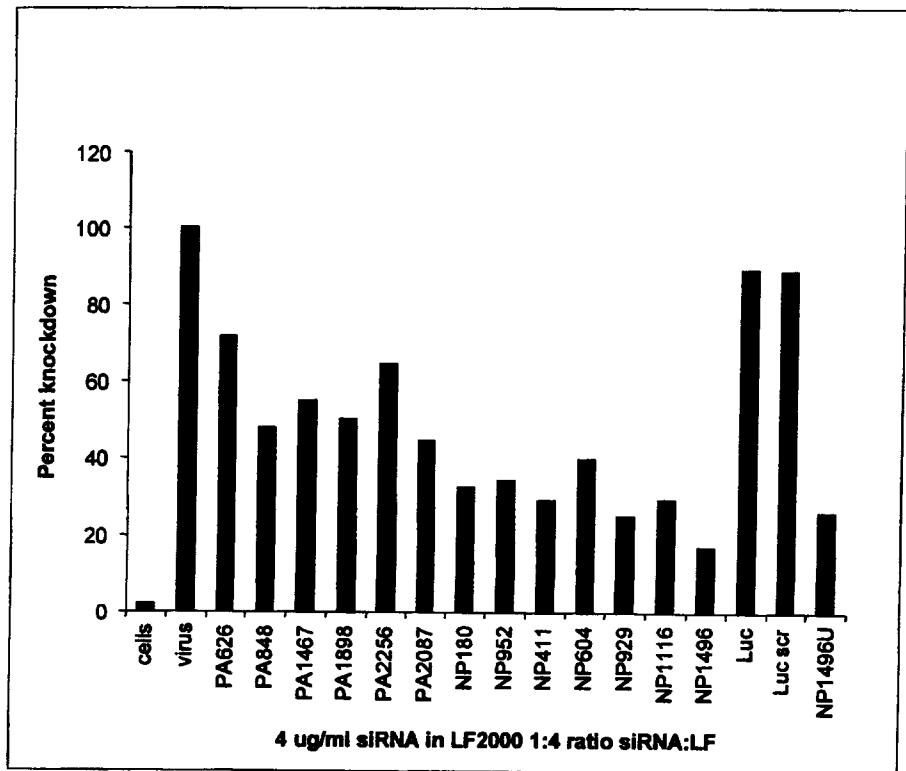
1/11

**A****B****FIG. 1**

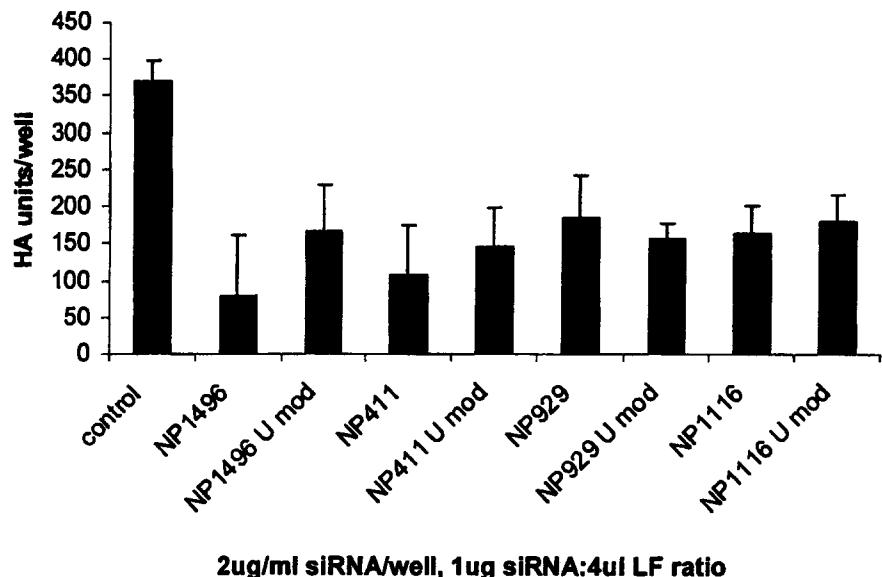
2/11

**A****B****FIG. 2**

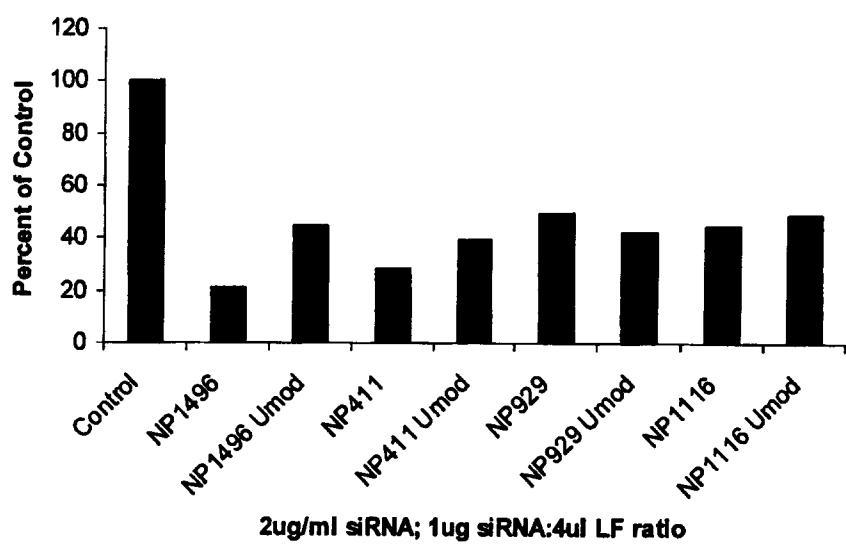
3/11

**A****B****FIG. 3**

4/11

**A**

2ug/ml siRNA/well, 1ug siRNA:4ul LF ratio

**B**

2ug/ml siRNA; 1ug siRNA:4ul LF ratio

**FIG. 4**

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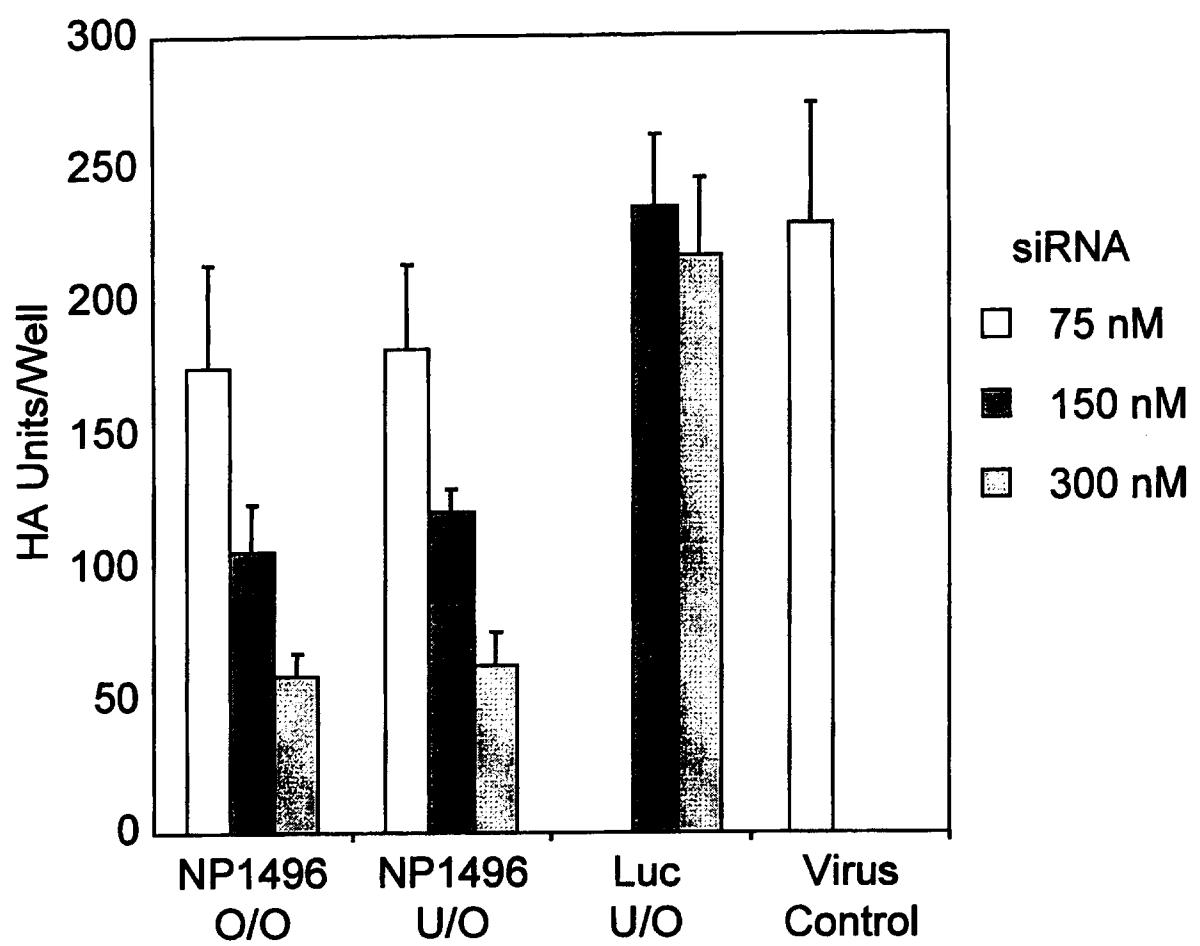
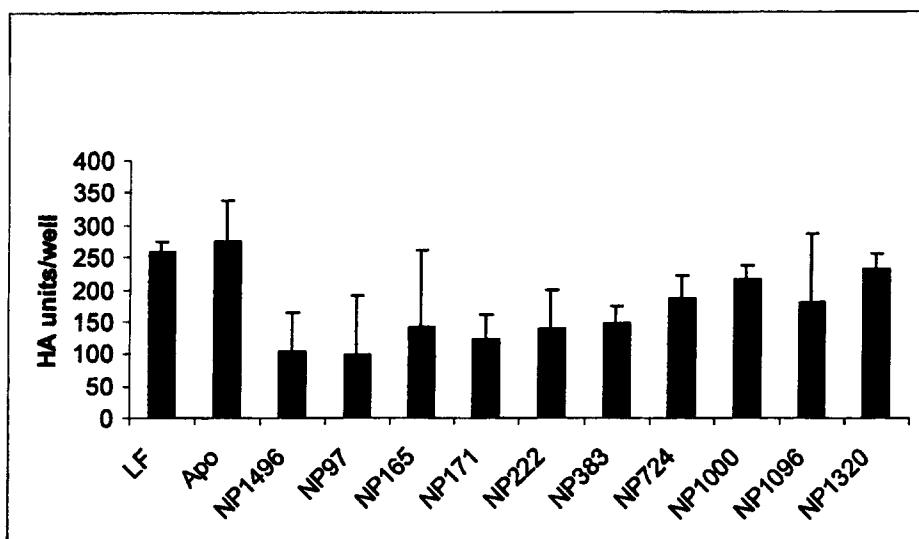
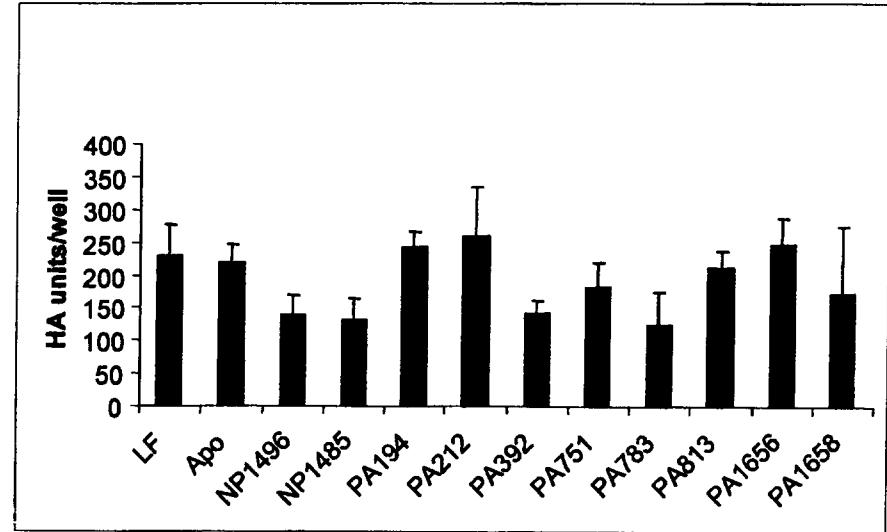
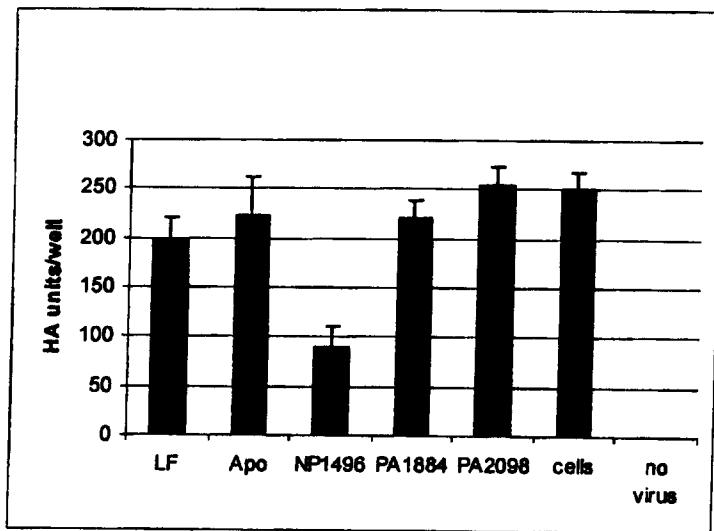
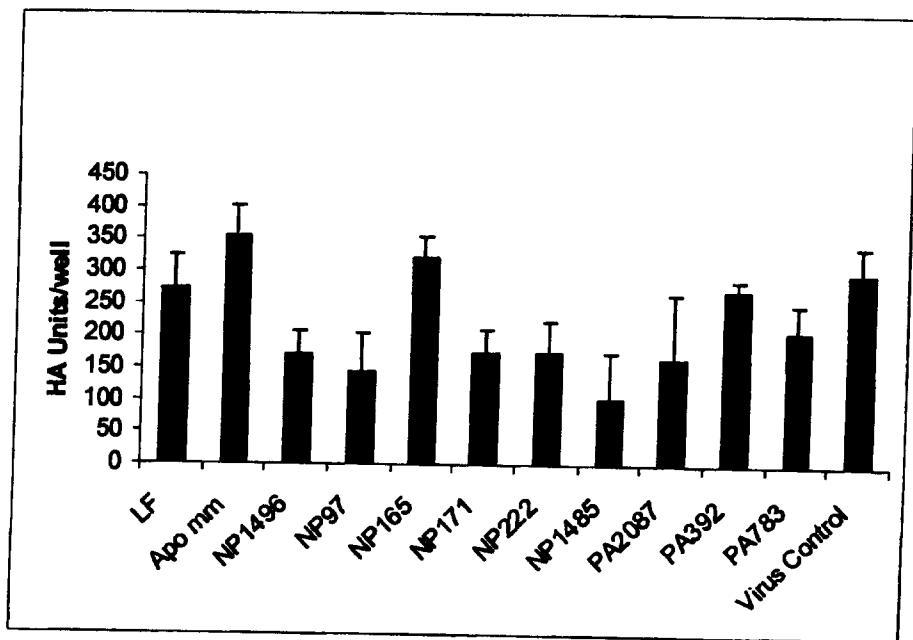


FIG. 5

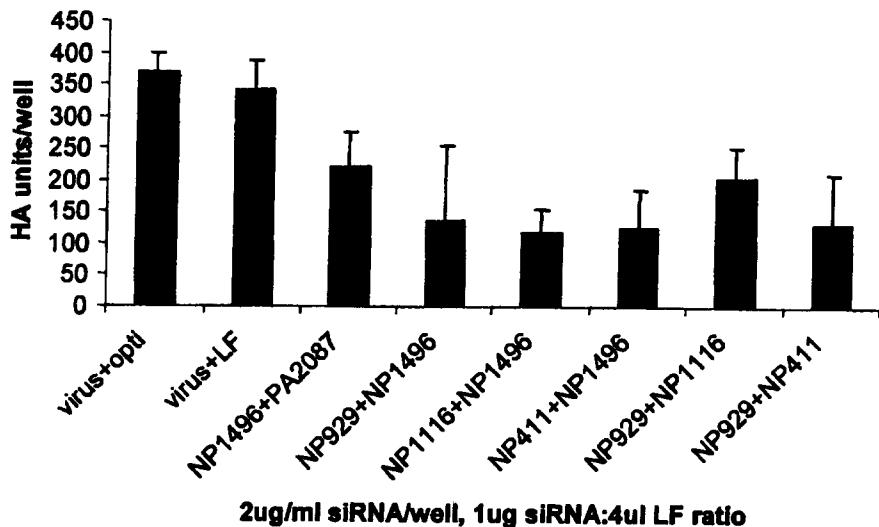
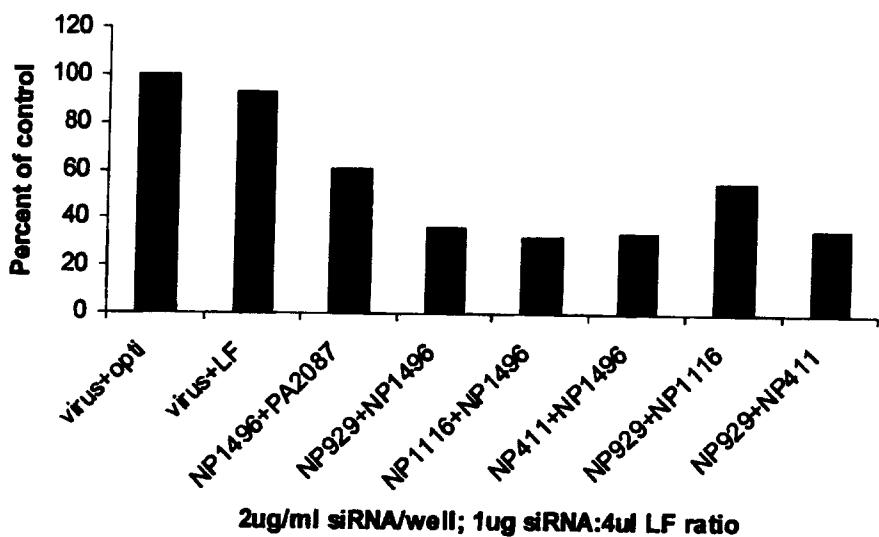
6/11

**A****B****FIG. 6**

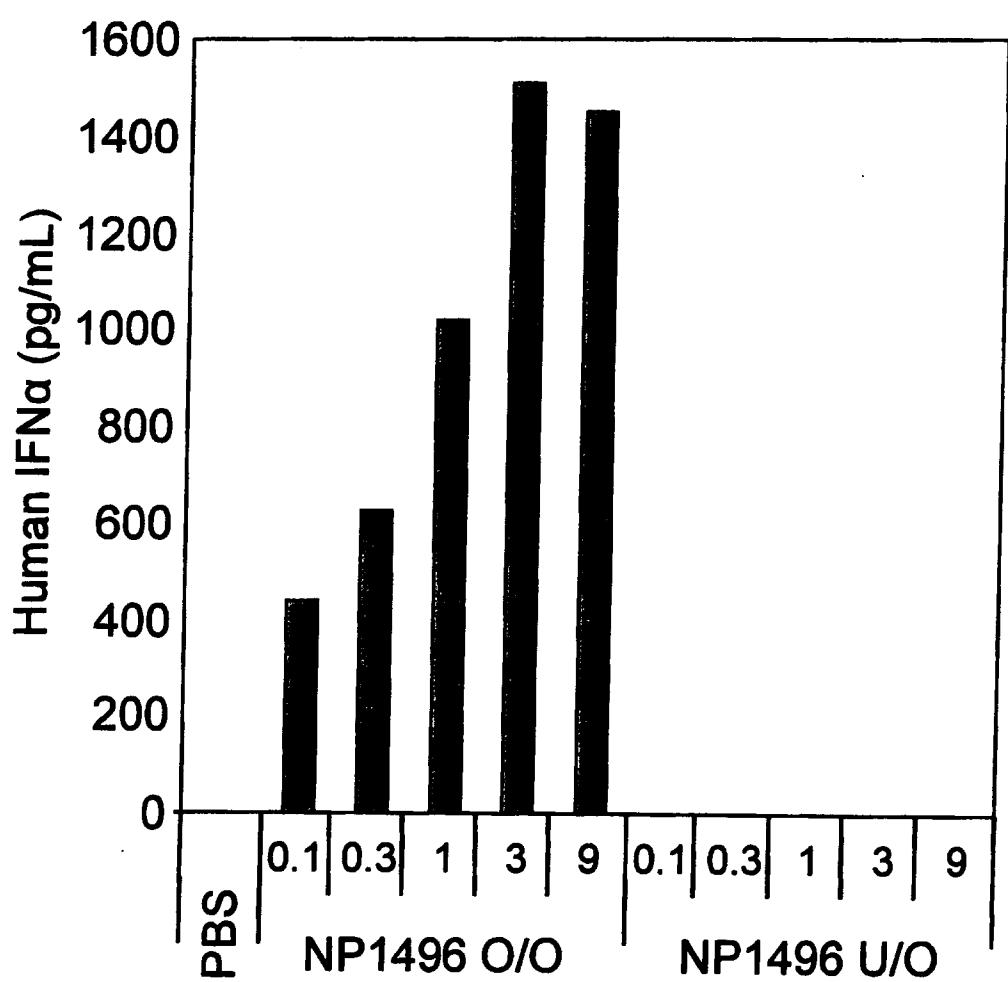
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**C****D****FIG. 6**

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**A****B****FIG. 7**

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**FIG. 8**

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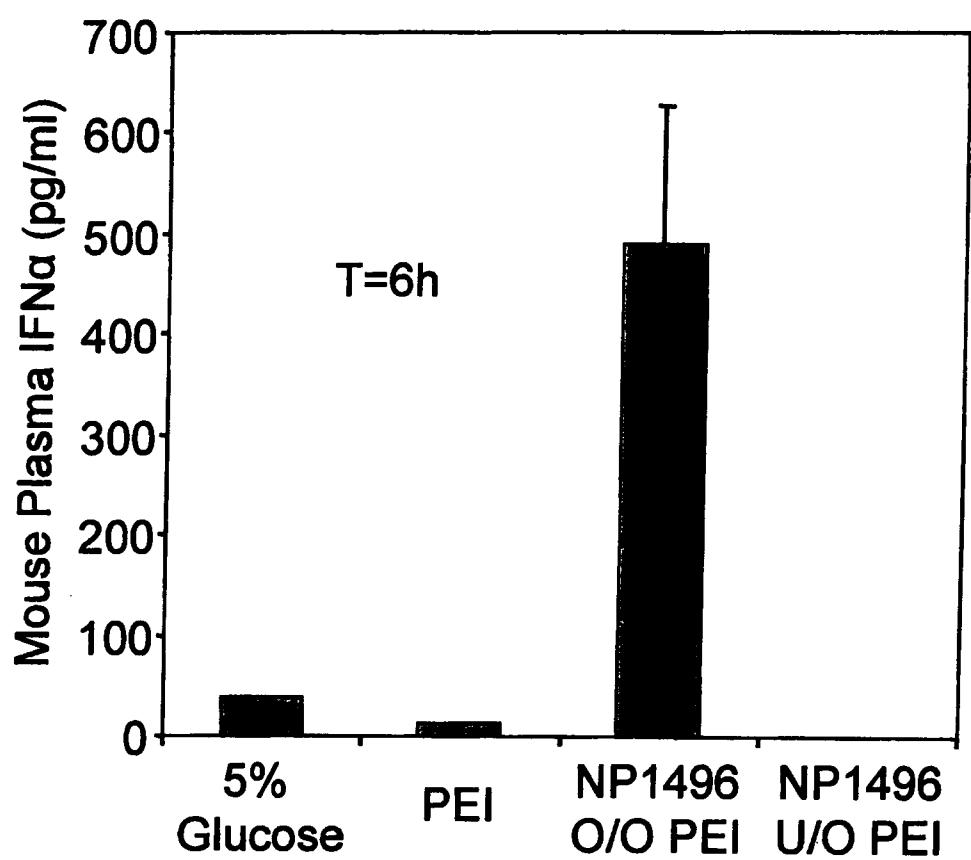
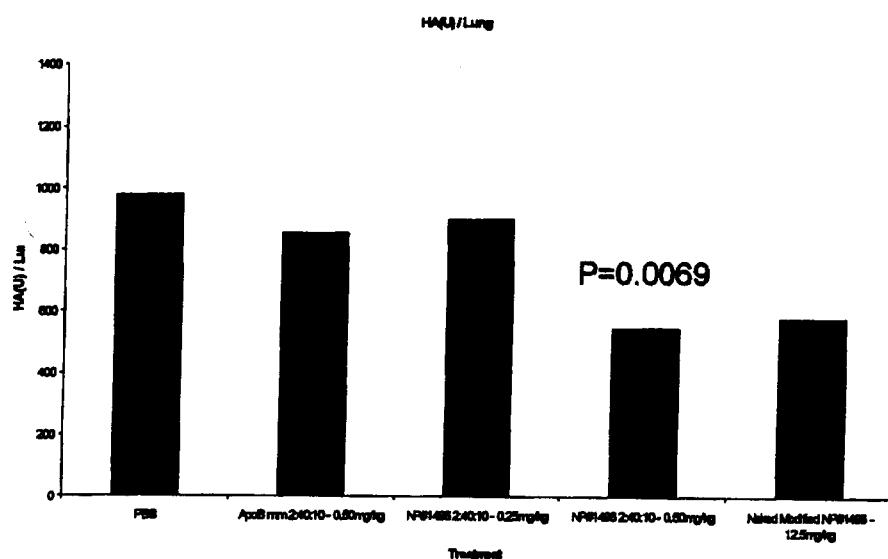
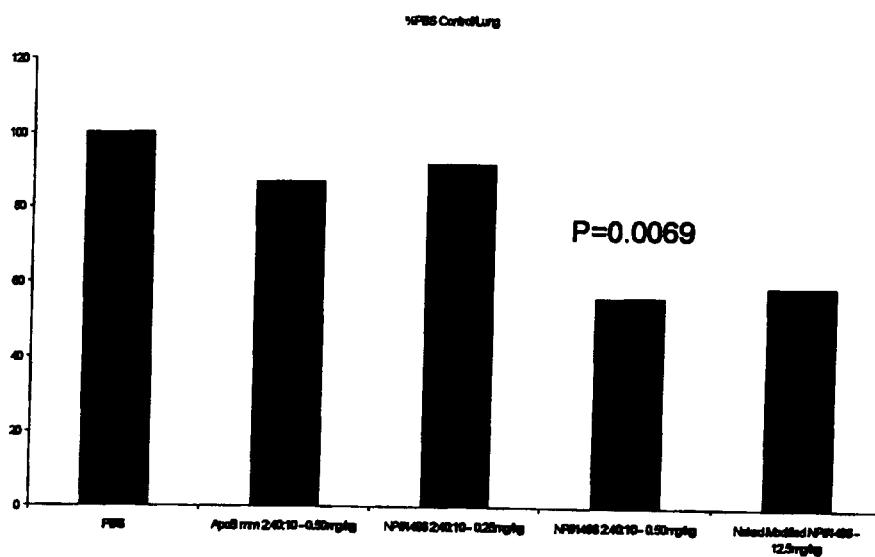


FIG. 9

11/11

**A****B****FIG. 10**

## INFORMAL SEQUENCE LISTING

SEQ ID NO:1

Influenza A virus (A/Viet Naiu/1203/2004 (H5N1) ) nucleoprotein NP gene  
5 AY818138

ATGGCGTCTCAAGGCACCAAAACGATCTTATGAAACAGATGAAACTGGTGGGGAACGCCAGAATGCTACTGAGATC  
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GACTATGAAGGGAGGCTGATCCAGAACAGCATAACAATAGAGAGAATGGTACTCTCTGATTTGATGAAAGAAGG  
10 AACAGATACCTGAGAACACCCCGTAGCGGGAAAGGACCGAAGAAGACTGGAGGTCAATTATCGGAGGAGA  
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15 ATCAACGACCGAATTCTGGAGAGGCAGAAATGGAAGAAGAACAAAGGATTGCAATGAGAGAATGTGCAACATC  
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GCTGAAATTGAAGATCTCATTTCTGGCACGGTCTGCACCTCATCTGAGAGGATCAGTGGCCATAAGTCTGC  
TTGCCTGCTTGTGTACGGACTTGCACTGGCAGTGGATATGACTTGTGAGAGAGAAGGGTACTCTGGTTGGA  
20 ATAGATCCTTCCGCTGCTTCAAAACAGCCAGGTCTTAGTCTCATTAGACCAATGAGAAATCCAGCACATAAG  
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25 ATGGCAGCATTACAGGAAATACTGAGGGCAGAACGTCTGACATGAGGACTGAAATCATAAGAACATGGAAAGT  
GCCAGACCAGAAGATGTGTATTCCAGGGGGAGTCTCGAGCTCGGACGAAAAGGCAACGAACCCGATC  
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AAAATAC

30 SEQ ID NO: 2

Influenza A virus (A/Puerto Rico/8/34 /Mount Sinai (H1N1)) nucleoprotein NP  
gene  
NC\_004522

35 AGCAAAAGCAGGGTAGATAATCACTCACTGAGTGACATCAAATCATGGCGTCCCAGGCACCAACGGTCTTAC  
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ATTGGACGATTCTACATCCAATGTGACCGAACTCAACTCAGTGTATTGAGGGACGGTTGATCCAAAACAGC  
TTAACATAAGAGAGAATGGTGCTCTGCTTGTGAAAGGAGAAATAAAACCTGGAAGAACATCCCAGTGCG  
GGGAAAGATCCTAAGAAAATCTGGAGGACCTATATACAGGAGAGTAAACGGAAAGTGGATGAGAGAACACTCATCCTT  
40 TATGACAAAGAAGAAATAAGGCGAATCTGGCGCCAAGCTAATAATGGTGACGATGCAACGGCTGGTCTGACTCAC  
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45 AAAGCAATGATGGATCAAGTGAGAGAGGCCAACCCAGGGAAATGCTGAGTTGCAAGATCTCATTCTAGCA  
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CAAGTGTACAGCCTAATCAGACCAAATGAGAACATCCAGCACACAAGAGTCAACTGGTGTGGATGCCATTCT  
50 GCGCATTGAGATCTAAGAGTATTAGCTCATCAAAGGGACGAAGGTGCTCCCAAGAGGGAAAGCTTCCACT  
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AGAACATCTGACATGAGGACCGAAATCATAAGGATGATGGGAAAGTGCAGGACAGAACAGAGATGTGCTTCCAGGG  
CGGGGAGTCTCGAGCTCGGACGAAAAGGCAGCGAGGCCGATCGTGCCTTCCTTGACATGAGTAATGAAGGA  
55 TCTTATTCTCGGAGACAATGCAGAGGAGTACGACAATTAAAGAAAATACCCCTGTTCTACT

SEQ ID NO: 3  
 Influenza A virus (A/Goose/Guan ζ dong/1/96 (H5N1) ) nucleoprotein NP gene  
 NC\_007360

5 AGCAAAAGCAGGGTAGATAATCACTCACTGAGTGACATCACATGGCGTCTCAGGGCACCAAACGATCTTAT  
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 10 TATGACAAAGAGGAGATCAGGAGAATTGGCGTCAAGCGAACATGGAGAAGATGCAACTGCTGGTCTCACTCAC  
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 ACTTTCTCGGTACAGAGAAATCTCCCTTCGAAAGAGCGACCATTATGGCGGCATTACAGGGAAATACAGAGGGC  
 AGAACATCTGACATGAGGACTGAAATCATAAGGATGATGAAAGCTCAGACAGAACAGATGTGCTTCCAGGGG  
 25 CGGGGAGTCTCGAGCTCTGGACGAAAGGCAACGAACCCGATGTCGCTTCTTGTACATGAGTAATGAAGGA  
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SEQ ID NO: 4  
 Influenza A virus (A/Viet Nam/1203/2004 (H5N1) ) polymerase protein PA gene  
 AY818132

30 ATGGAAGACTTTGTGCGACAATGCTCAATCCAATGATTGTCGAGCTTGGGAAAGGCAATGAAAGAATATGGG  
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 TTTCACTTTATTGATGAAACGGAGTGAATCAATAATTGAGATCTGGAGATCCGAATGCAATTGAAACACCGA  
 35 TTTGAAATAATTGAGGAAGAGACCGAACGATGGCCTGGACTGTGGTGAATAGTATCTGAAACACACCAGGAGT  
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 40 CTTGAAAACCTTGTGAGCTATGTTGAGGATGGATTGCAACCGAAGGGCTGCATTGAGGGCAAGCTTCTCAAATGTC  
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 TGCTCTCAGGGTCAAGTTGCTGATGGATGCCCTTAATTAGCATGAAAGACATTGCGCTGGAAGAGGCCAACATCGTAA  
 GGGATACCACTATAAGATGCAATCAAATGAGACATTGCGCTGGAAGAGGCCAACATCGTAA  
 45 CATGAAAAAGGTATAACCCCAAATTACCTCTGGCTTGGAGCAAGTGTGGCAGAACACTCCAAGATATTGAAAAT  
 GAGGAGAAAATCCAAAACAAAGAACATGAAAAAACAGCCAGT TGAAGTGGCACTCGGTGAGAACATGGCA  
 CCAGAGAAAAGTACTTGAGGACTGCAAAGATGTTAGCGATCTAACAGACAGTATGACAGTGTGATGGAGAGTCT  
 AGATCACTAGCAAGCTGGATTGAGCTCAATTGAGCACATTGCAAGTATGAGAAGGAACATTGAGGAGA  
 50 TGTGAAATTAGGAGAACAGCTGAGCTCAATTGAGCACATTGCAAGTATGAGAAGGAACATTGAGGAGA  
 TCCCATTGCAAGGCCACTGAATACATAATGAGGGAGTGTACATAAACACAGCCCTGTTGAATGCATCCTGTGCA  
 GCCATGGATGACTTTCACTGATTCCAATGATAAGCAATGCAAGAACCAAAGAAGGAAGACGGAAAATCT  
 TATGGATTCAATTAAAAGGGAGATCCCACCTGAGGAATGATACCGATGTGTTGAGTATGGAATT  
 TCTCTTACTGATCCGAGGCTGGAGCCACACAAGTGGAAAAGTACTGTGCTCTCGAGATAGGAGACATGCTCCTC  
 CGGACTGCACTGAGGCCATTGAGGCCATTGAGGCTATGAGAAGGAACATGGAACCTCCAAGATCAAATG  
 55 AAATGGGGCATGAAATGAGGCGATGCCCTCTCAATCCCTTCAACAAATTGAAAGCATGATTGAGGCCAGTCT  
 TCTGTCAAAGAGAAGGACATGACCAAGAATTCTTGAAAACAAATCAGAAACATGCCGATTGAGAGTCCCC  
 AAGGGAGTGGAGGAAGGCTCCATCGAAAGGCTGAGAACCTGCTGGCGAAGTCTGTGTTCAACAGTTATAT  
 GCATCTCCACAACCGAGGGGTTTCAGCTGAACTCAAGAAAATTGCTTCTATTGCTCAGGCACCTAGGGACAA  
 CTGGAACCTGGACCTCGATCTGGAGGGCTATATGAGAACATTGAGGAGTGCCTGATTAACGATCCCTGGGTT  
 TTGCTTAATGCGTCTGGTTCAACTCCTCCTCGCACATGCACTGAAATAG  
 60

SEQ ID NO: 5  
Influenza A virus (A/Puerto Rico/8/34/Mount Sinai (H1N1)) polymerase protein  
PA gene  
AF389117

5 AGCGAAAGCAGGTACTGATCCAAAATGGAAGATTGTGCGACAATGCTCAATCGATGATTGTCGAGCTTGC  
GAAAAAACATGAAAGAGTATGGGGAGGACCTGAAAATCGAAACAAACAAATTGCAAGCAATATGCACTCACTTG  
GAAGTATGCTCATGTATTCACTGAGCTCAATGAGCAAGGCAGTCAGCAATAATCGTAGAACTTGGTGT  
10 CCAAATGCACTTTGAAGCACAGATTGAAATAATCGAGGAAAGAGATCGCACAATGGCCTGGACAGTAGTAAAC  
AGTATTGCAACACTACAGGGGCTGAGAACCAAAGTTCTACCAGATTGTATGATTACAAGGAGAAATAGATT  
ATCGAAATTGGAGTAACAAGGAGAGAACATGAGCTACATATACTATCTGGAAAAGGCAATAAAATTAAATCTGAGAAA  
ACACACATCCACATTCCTCGTCACTGGGAAGAAATGCCACAAAGGCAGACTACACTCTCGATGAAGAAC  
15 AGGGCTAGGATCAAACACCAGACTATTCAACCATAAGACAAGAAATGCCAGCAGAGGCCTCTGGGATTCTCGT  
CAGTCGAGAGAGGAGAACAGACAATTGAAAGAAAGGTTGAAATCACAGAACATGCGCAAGCTTGC  
AGTCTCCGCCGAACCTCTCCAGCCTTGAAGAAAGGTTGAGCCTATGTTGATGGATTGAAACCGAAC  
GAGGGCAAGCTGTCTCAAATGTCCAAGAAAGTAAATGCTAGAATTGAAACCTTTGAAAACAAACACCAG  
20 CTTAGACTCCGAATGGCCCTCCCTGTTCTCAGCGTCAAATTGCTGATGGATGCCTTAAATTAGCATT  
GAGGACCAAGTCATGAAGGAGAGGGAAATCCGCTATATGATGCAATCAAATGCAATTGAGAACATT  
AAGGAACCCAATGTTGTTAACACACGAAAAGGAAATAATCCAATTATCTCTGTATGAAAGCAAGTACTG  
GCAGAACTGCAGGACATTGAGAATGAGGAGAAAATTCCAAGACTAAAAATATGAAAGAAAACAAGTCAGCTAAAG  
25 TGGGCACTGGTGAGAACATGGCACCAGAAAAGGTAGACTTGACGACTGTAAGATGTAGGTGATTGAGCAA  
TATGATAGTGATGAACCAAGAATTGAGGTGCTAGCAAGTTGATTGAGAACATTGAGTTAACAGGC  
ACAGATTCAAGCTGGATAGACCTCGATGAGATTGGAGAACATGTCGCTTCAAGGCCCCATGTTCT  
AGGAATTATTCACATCAGGGTGTCTCACTGCAGGCCACAGAACATACAATGAAGGGGGTGTACATCAACT  
GCCTGCTTAATGCATCTGCAATGGATGATTCCAATTAAATTCCAATGATAAGCAAGTGTAGAACTAAG  
30 GAGGGAAAGGCACAAAGACCAACTTGATGGTTCATCATAAAAGGAAGATCCCACCTTAAGGAATGACACC  
GTAAACTTGTGAGCATGGAGTTCTCTCACTGCCAGACTGAAACCACATAATGGGAGAAC  
CTTGAGATAGGAGATATGTTATAAGAACATGGCTTCAAGGCCAGGTTCAAGGCCCCATGTTCT  
AATGGAACCTCAAAATTAAATGAAATGGGAATGGAGATGAGGCTGCTGCAGGACTTTATTAGCA  
GAGAGTATGATTGAAAGCTGAGTCCCTCTGTCAAAGAGAAAGACATGACCAAGAGTCTTGT  
ACATGCCCAATTGGAGAGTCCCCAAAGGAGTGGAGGAAGTTCCATTGGGAAGGTCTGCAGGACTTTATTAGCA  
AAGTCGGTATTCAACAGCTTGATGCATCTCCACAACAGGAAAGGATTTCAGCTGAATCAAGAAA  
ATCGTTCAAGGCTTCAAGGACAACCTGAAACCTGGGACCTTGTATCTGGGGGGCTATATGAA  
TGCCTGATTAATGATCCCTGGGTTTGCTTAATGCTTCTGGTTCAACTCCTCCTTACACATGCATTGAGTTAG  
35 TTGTGGCAGTGCTACTATTGCTATCCATACTGTCCAAAAAGTACCTTGTCTACT

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/CA2006/001882

## A CLASSIFICATION OF SUBJECT MATTER

IPC **C07H 21/02** (2006 01) , **A61K 31/713** (2006 01) , **A61K 47/30** (2006 01) , **A61K 48/00** (2006 01) , **A61K 9/127** (2006 01) , **A61P31/16** (2006 01) **C12N 15/09** (2006 01) , **C12N 15/44** (2006 01) , **C12N 15/87** (2006 01) , **C12Q 1/68** (2006 01) , **C12Q 1/70** (2006 01) , **G01N 33/569** (2006 01)

## B FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC **C07H 21/02** (2006 01) , **A61K 31/713** (2006 01) , **A61K 47/30** (2006 01) , **A61K 48/00** (2006 01) , **A61K 9/127** (2006 01) , **A61P 31/16** (2006 01) **C12N 15/09** (2006 01) , **C12N 15/44** (2006 01) , **C72Af 75/<7(2006 01)** , **C12Q 1/68** (2006 01) , **C12Q 1/70** (2006 01) , **G01N 33/569** (2006 01)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic database(s) consulted during the international search (name of database(s) and, where practicable, search terms used)

Delphion, Caplus, Scopus, Pubmed, Canadian Patent Database, Patent Sequences, Transcripts (21), GenBank, Affymetrix Sequences, ENSEMBL mRNA (42) and DrugBank nucleotide sequences (only sense strands of the siRNAs of Tables 1 to 4, 7 and 8 were searched)  
Keywords siRNA, influenza, double-stranded

## C DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
X	WO 2004/028471 A2 (MASSACHUSETTS INSTITUTE OF TECHNOLOGY) 8 April 2004 (Whole document)	1-7, 10-13, 16-24, 26-31, 63-68, 74-82, 88-93 and 96-99
X	MCCOWN, M et al ' The utility of siRNA transcripts produced by RNA polymerase I in down regulating viral gene expression and replication of negative- and positive-strand RNA viruses", VIROLOGY 2003, VoI 313, No 2, pages 514-524 (Whole Document)	1, 2, 10, 25, 26, 63 and 64
X	GE, Q et al "Inhibition of influenza virus production in virus-infected mice by RNA interference", PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES USA 2004, VoI 101, No 23, pages 8676-8681 (Whole Document)	1-7, 10, 26-31, 63-68, 74 and 76-82

[X] Further documents are listed in the continuation of Box C

[X] See patent family annex

*	Special categories of cited documents	T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A	document defining the general state of the art which is not considered to be of particular relevance	X	document of particular relevance the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E	earlier application or patent but published on or after the international filing date	Y	document of particular relevance the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents such combination being obvious to a person skilled in the art
L	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	&	document member of the same patent family
O	document referring to an oral disclosure use exhibition or other means		
P	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search	Date of mailing of the international search report
07 February 2007 (07-02-2007)	1 March 2007 (01-03-2007)
Name and mailing address of the ISA/CA Canadian Intellectual Property Office Place du Portage I, C1 14 - 1st Floor, Box PCT 50 Victoria Street Gatineau, Quebec K1A 0C9 Facsimile No 001-819-953-2476	Authorized officer  Nathalie Chartrand 819- 994-234 1

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/CA2006/001882

## C (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category <sup>**</sup>	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
X	GE, Q et al "RNA interference of influenza virus production by directly targeting mRNA for degradation and indirectly inhibiting all viral RNA transcription", PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES USA 2003, VoI 100, No 5, pages 2718-2723 (Whole Document)	1-7, 10-18, 20, 21, 24, 26-29, 31-33, 36-38, 44-48, 54-67, 69, 71, 76, 91-97 and 99
X	WO 2005/044981 A3 (SIRNATHERAPEUTICS, INC ) 19 May 2005 (See abstract, pages 9, 22, 38, 48, 49, 70, 78, 80, 88, 96, 120 (bottom of page), 127-128, 132-133 and Table II)	I_S, 10-43, 45-75, 77-89 and 91-111
P,X	US 2006/0160759 A1 (CHEN, J et al ) 20 July 2006 (Whole Document, particularly SEQ ID Nos 232, 363, 371, 409, 413, 431-432, 480, 503, 1090, 1135, 1546 and 2583)	6-8, 76 and 90
P,X	LI, Y-C , et al "Construction of influenza virus siRNA expression vectors and their inhibitory effects on multiplication of influenza", AVIAN DISEASE December 2005, VoI 49, pages 562-573 (Whole Document)	1-1 11
P,X	GAO, Y , et al "Rapid identification of small interfering RNA that can effectively inhibit the replication of multiple influenza B virus strain", ANTIVIRAL THERAPY Spring 2006, VoI 11, No 4, pages 431-438 (Whole Document)	1-1 11

**Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of the first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons

- 1  Claim Nos 63 to 90  
because they relate to subject matter not required to be searched by this Authority, namely  
  
Claims 63 to 90 are directed to a method for treatment of the human or animal body by surgery or therapy which this International Search Authority is not required to search under Rule 39 1(iv) of the PCT. Regardless, this Authority has carried out a search based on the alleged effects or uses of the product defined in claims 1 to 62
- 2  Claim Nos  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically
- 3  Claim Nos  
because they are dependant claims and are not drafted in accordance with the second and third sentences of Rule 6 4(a)

**Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows

- 1  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims
- 2  As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees
- 3  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claim Nos
- 4  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims, it is covered by claim Nos

**Remark on Protest**

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation
- No protest accompanied the payment of additional search fees

INTERNATIONAL SEARCH REPORT  
Information on patent family members

International application No  
PCT/CA2006/001882

Patent Document Cited in Search Report	Publication Date	Patent Family Member(s)	Publication Date
WO2004028471 A2	08-04-2004	AU2003279004 A 1 AU2003279010 A 1 CA2500468 A 1 EP1658304 A2 JP2006512906T T KR20050084607 A MXPA05003287 A NO20052058 A US2004242518 A 1 US2005008617 A 1 US2006160759 A 1 WO2004029213 A2 WO2006 102461 A2	19-04-2004 19-04-2004 08-04-2004 24-05-2006 20-04-2006 26-08-2005 05-07-2005 28-06-2005 02-12-2004 13-01-2005 20-07-2006 08-04-2004 28-09-2006
WO2005044981 A3	19-05-2005	CA2541643 A 1 CA2542527 A 1 CA2542835 A 1 CA2543013 A 1 CA2543029 A 1 CA2543030 A 1 CN1685688 A DE69431669T T2 DK748382T T3 EP1493818 A2 EP1495041 A 1 EP1602725 A2 JP20051 18056 A JP2005500025 T US2005106726 A 1	19-05-2005 19-05-2005 19-05-2005 19-05-2005 19-05-2005 19-05-2005 19-10-2005 23-10-2003 17-02-2003 05-01-2005 12-01-2005 (37-12-2005 12-05-2005 06-01-2005 19-05-2005

The list was shortened because of the large number of family members (674 family members)

US20061 60759 A 1	20-07-2006	AU2003279004 A 1 AU2003279010 A 1 CA2500468 A 1 EP1658304 A2 JP2006512906T T KR20050084607 A MXPA05003287 A NO20052058 A US2004242518 A 1 US2005008617 A 1 US2006160759 A 1 WO2004028471 A2 WO2004029213 A2 WO2006 102461 A2	19-04-2004 19-04-2004 08-04-2004 24-05-2006 20-04-2006 26-08-2005 05-07-2005 28-06-2005 02-12-2004 13-01-2005 20-07-2006 08-04-2004 08-04-2004 28-09-2006
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