



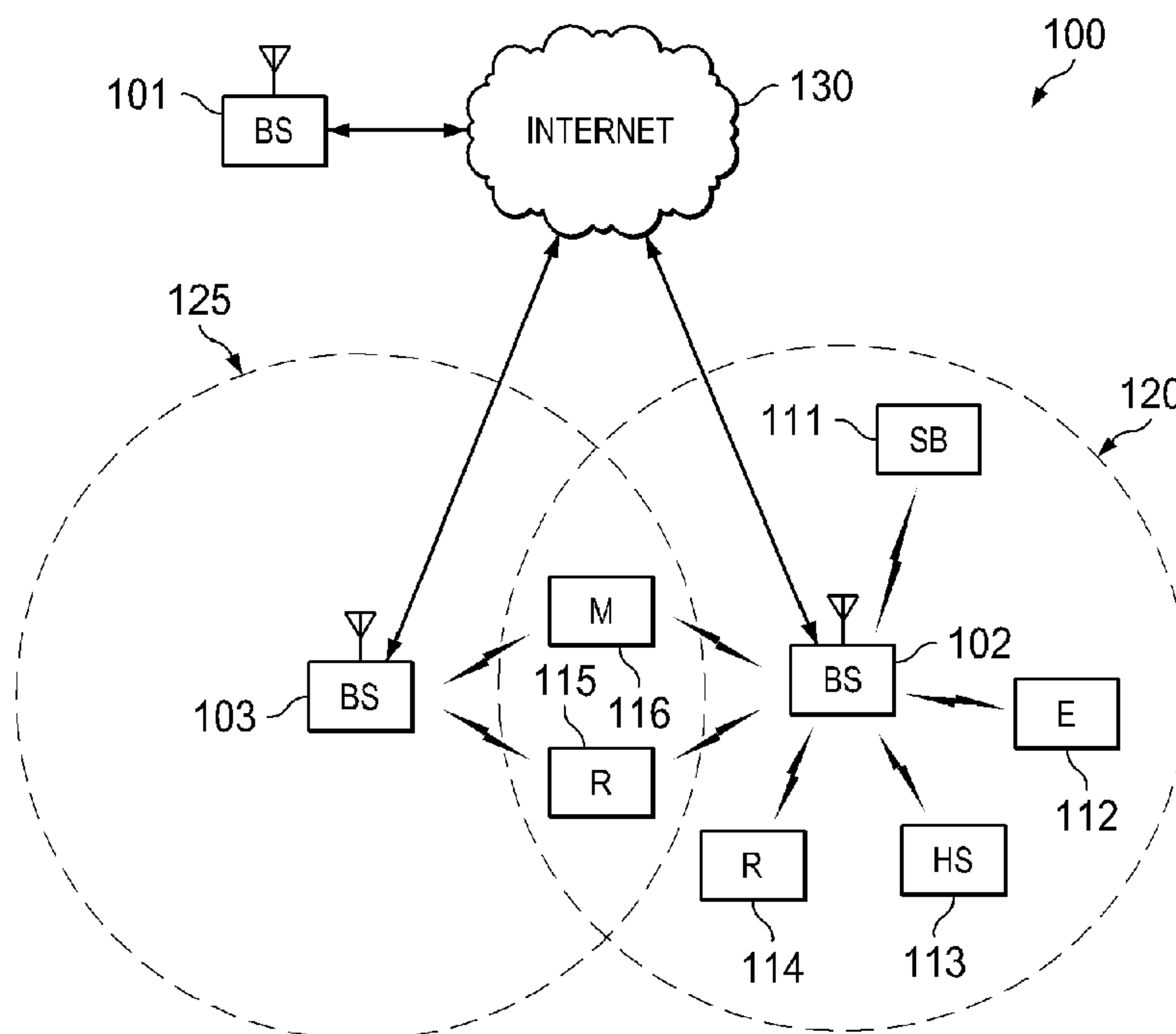
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(54) Titre : SYSTEME ET PROCEDE DE SIGNALISATION D'INFORMATIONS EN RETOUR DE SOUS-BANDE PUCCH
DANS UN RESEAU SANS FIL

(54) Title: SYSTEM AND METHOD FOR PUCCH SUBBAND FEEDBACK SIGNALING IN A WIRELESS NETWORK

[Fig. 1]



(57) Abrégé/Abstract:

A mobile station for use in a wireless network. The mobile station transmits feedback reports to a base station of the wireless network. The feedback reports comprise a first feedback report, a second feedback report and a third feedback report. The first feedback report includes a precoder type indication (PTI) value that indicates at least one of a period of the second feedback report



(57) **Abrégé(suite)/Abstract(continued):**

and a period of the third feedback report. The PTI value indicates a ratio of the second feedback report period to the third feedback report period. The PTI value also indicates the selected feedback information contained in the second feedback report and the third feedback report.

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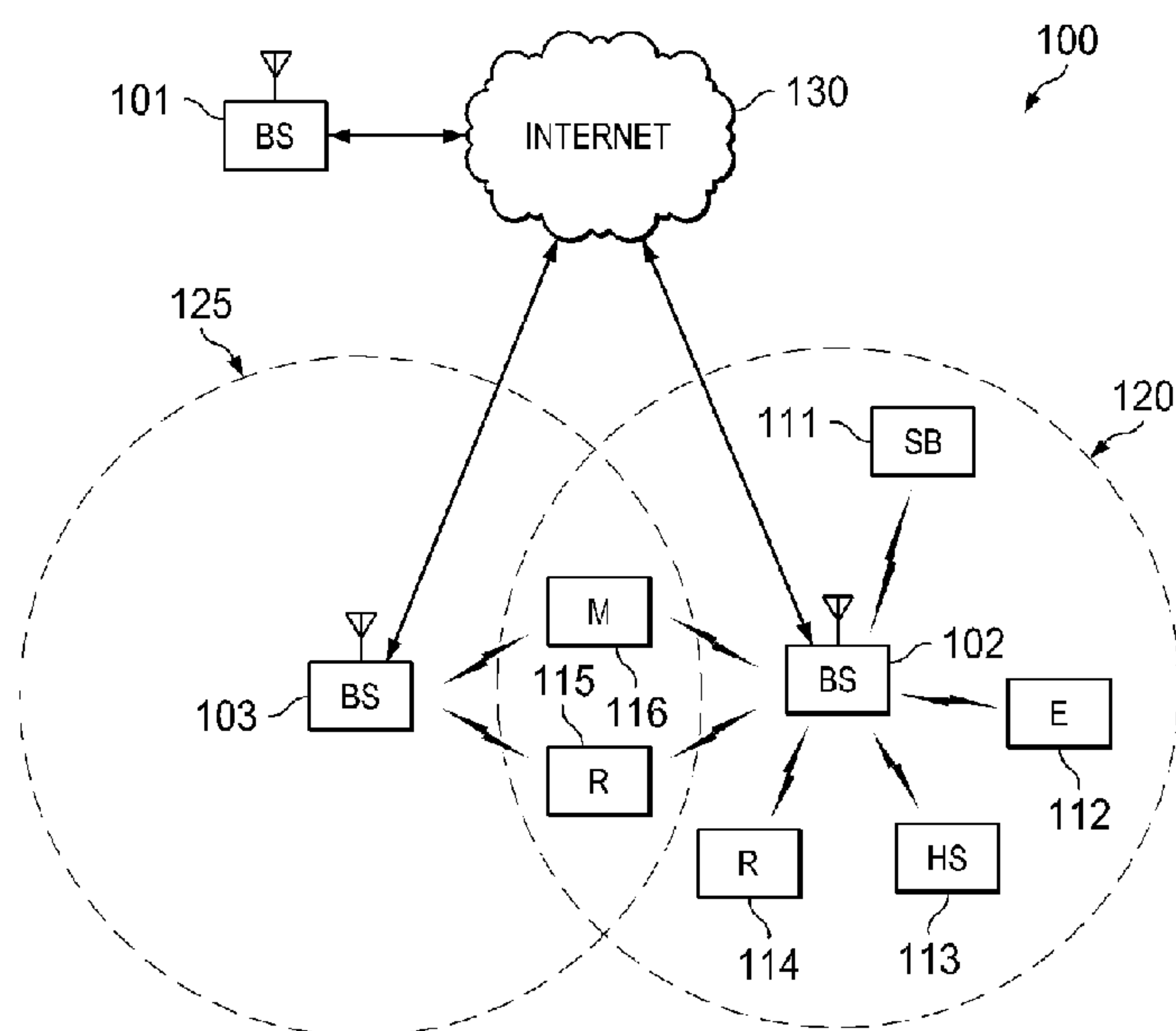
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[Continued on next page]

(54) Title: SYSTEM AND METHOD FOR PUCCH SUBBAND FEEDBACK SIGNALING IN A WIRELESS NETWORK

[Fig. 1]



(57) Abstract: A mobile station for use in a wireless network. The mobile station transmits feedback reports to a base station of the wireless network. The feedback reports comprise a first feedback report, a second feedback report and a third feedback report. The first feedback report includes a precoder type indication (PTI) value that indicates at least one of a period of the second feedback report and a period of the third feedback report. The PTI value indicates a ratio of the second feedback report period to the third feedback report period. The PTI value also indicates the selected feedback information contained in the second feedback report and the third feedback report.

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Description

Title of Invention: SYSTEM AND METHOD FOR PUCCH SUBBAND FEEDBACK SIGNALING IN A WIRELESS NETWORK

Technical Field

- [1] The present application relates generally to wireless networks and, more specifically, to feedback signaling for physical uplink control channel (PUCCH) subbands in Long Term Evolution Advanced (LTE-A) wireless systems.

Background Art

- [2] In 3rd Generation Partnership Project Long Term Evolution (3GPP LTE), Orthogonal Frequency Division Multiplexing (OFDM) is adopted as a downlink (DL) transmission scheme.
- [3] The 3GPP LTE (Long Term Evolution) standard is the last stage in the realization of true 4th generation (4G) mobile telephone networks. Most major mobile carriers in the United States and several worldwide carriers have announced plans to convert their networks to LTE beginning in 2009. LTE is a set of enhancements to the Universal Mobile Telecommunications System (UMTS). Much of 3GPP Release 8 focuses on adopting 4G mobile communications technology, including an all-IP flat networking architecture.
- [4] The 3GPP LTE standard uses orthogonal frequency division multiplexing (OFDM) for the downlink (i.e., from the base station to the mobile station). Orthogonal frequency division multiplexing (OFDM) is a multi-carrier transmission technique that transmits on many orthogonal frequencies (or subcarriers). The orthogonal subcarriers are individually modulated and separated in frequency such that they do not interfere with one another. This provides high spectral efficiency and resistance to multipath effects.
- [5] The following documents and standards descriptions are hereby incorporated into the present disclosure as if fully set forth herein: 1) Document No. R1-101683, "Way Forward For Rel-10 Feedback Framework", February 2010; 2) Document No. R1-102579, "Way Forward On Release 10 Feedback", RAN WG1, April 2010; 3) Document No. R1-103419, "Way Forward on CSI Feedback Design For Rel-10 LD MIMO", May 2010; 4) Document No. R1-103332, "Way Forward On UE Feedback", May 2010; 5) Document No. R1-103333, "Refinements of Feedback And Codebook Design", May 2010; 6) Document No. R1-103805, "Double Codebook Performance Evaluation", June 2010; 7) Document No. R1-103701, "8Tx Codebook Design", June 2010; and 8) 3GPP Technical Specification No. 36.211.

- [6] In Release 10 LTE systems, a mobile station (or user equipment) performs feedback of precoder matrix index (PMI), rank indicator (RI), and channel quality indicator (CQI) to the base station (or eNodeB). In the 3GPP RAN1 #60 meeting, a way forward on feedback in Release 10 was agreed upon. Release 10 uses implicit feedback of precoder matrix index (PMI), rank indicator (RI), and channel quality indicator (CQI). The user equipment (UE) or mobile station (MS) spatial feedback for a subband represents a precoder and CQI is computed based on the assumption that the eNodeB or base station (BS) uses a specific precoder (or precoders), as given by the feedback, on each subband within the CQI reference resource. It is noted that a subband may correspond to the whole system bandwidth.
- [7] A precoder for a subband is composed of two matrices. The precoder structure is applied to all transmit (Tx) antenna array configurations. Each of the two matrices belongs to a separate codebook. The codebooks are known (or synchronized) at both the base station (eNodeB) and the mobile station (user equipment). Codebooks may or may not change over time for different subbands. The two codebook indices together determine the precoder. One of the two matrices targets the wideband or long-term channel properties. The other matrix targets the frequency-selective or short-term channel properties. It is noted that a matrix codebook in this context should be interpreted as a finite, enumerated set of matrices that, for each resource block (RB), is known to both the mobile station (or UE) and the base station (or eNodeB). It is also noted that a Release 8 precoder feedback may be deemed a special case of this structure.
- [8] Two messages are conveyed in this way: 1) Release 10 feedback will be based on implicit feedback similar to Release 8 feedback; and 2) two codebook indices will specify a precoder in Release 10, with one codebook targeting wideband and/or long-term channel properties and the other codebook targeting frequency-selective and/or short-term channel properties.
- [9] In the RAN1 #60bis meeting, another way forward on mobile station (or UE) feedback in Release 10 was also agreed upon. A precoder, W , for a subband is a function of two matrices, $W1$ and $W2$, (i.e., where $W1 \in C1$ and $W2 \in C2$). $W1$ is also referred to as a first PMI, and $W2$ is also referred to as a second PMI in this disclosure. The codebooks $C1$ and $C2$ are codebook 1 and codebook 2, respectively. The first PMI targets wideband (or long-term) channel properties. The second PMI targets frequency-selective (or short-term) channel properties. For the physical uplink control channel (PUCCH), the feedback corresponding to the first PMI and the second PMI may be sent in different or the same subframe, unless the payload is too large to send the first PMI and the second PMI in the same subframe on PUCCH. Also, periodic and aperiodic reports are independent.

- [10] Thus, there is an important difference in feedback between Release 8 (Rel-8) and Release 10 (Rel-10) of 3GPP networks. In Release 8, only one codebook index specifies a precoder. However, in Release 10, two codebook indices specify a precoder. Furthermore, these two codebook indices in Release 10 may be sent in different subframes or in the same subframe.
- [11] In the RAN1 #62bis meeting, a way forward on the signaling for Physical Uplink Control Channel (PUCCH) subband feedback was agreed upon. Specifically, three PUCCH feedback modes are agreed with two (2) candidates for the extension of Rel-8 PUCCH mode 1-1 and one (1) candidate for the extension of Rel-8 PUCCH mode 2-1.
- [12] More specifically, the candidate for the PUCCH subband feedback (extension of Rel-8 PUCCH mode 2-1) is as follows. A precoder, W , for a subband is determined from 3-subframe report conditioned upon the latest rank indicator (RI) report. The reporting format comprises 3 Reports. Report 1 includes RI and 1-bit precoder type indication (PTI). In Report 2, if $PTI = 0$, then $W1$ is reported. If $PTI = 1$, then wideband CQI and wideband $W2$ are reported. In Report 3, if $PTI = 0$, then wideband CQI and wideband $W2$ are reported. If $PTI = 1$, then subband CQI and subband $W2$ are reported. For 2 and 4 transmit (TS) antennas, the PTI value is assumed to be set to 1 and is not signaled.

Disclosure of Invention

Technical Problem

- [13] Therefore, there is a need in the art for improved devices and methods for providing feedback information related to CQI, PMI, and RI in Rel-10 wireless networks. In particular, there is a need in the art for improved devices and methods for providing feedback information related to CQI, PMI, and RI in Rel-10 wireless networks that minimize overhead signaling while improving the granularity of the feedback information.

Solution to Problem

- [14] A mobile station is provided for use in a wireless network. The mobile station transmits feedback reports to a base station of the wireless network. The feedback reports comprise a first feedback report, a second feedback report and a third feedback report. The first feedback report includes a precoder type indication (PTI) value. The PTI value indicates at least one of a period of the second feedback report and a period of the third feedback report. In an advantageous embodiment, the PTI value indicates a ratio of the second feedback report period to the third feedback report period.
- [15] The second feedback report and the third feedback report include selected feedback information comprising at least one of wideband feedback information and subband feedback information. In an advantageous embodiment, the PTI value also indicates

the selected feedback information.

- [16] A mobile station is provided for use in a wireless network. The mobile station operable to communicate with a base station that transmits using a precoder that is specified by a codebook. The mobile station performs rank-dependent codebook subset selection for subband W2 feedback such that the mobile station transmits to the base station using a first subband W2 codebook when the mobile station transmits a first precoder type indication (PTI) value and transmits to the base station using a second subband W2 codebook when the mobile station transmits a second PTI value. The second subband W2 codebook is a subset of the first subband W2 codebook.

- [17] Before undertaking the DETAILED DESCRIPTION OF THE INVENTION below, it may be advantageous to set forth definitions of certain words and phrases used throughout this patent document: the terms "include" and "comprise," as well as derivatives thereof, mean inclusion without limitation; the term "or," is inclusive, meaning and/or; the phrases "associated with" and "associated therewith," as well as derivatives thereof, may mean to include, be included within, interconnect with, contain, be contained within, connect to or with, couple to or with, be communicable with, cooperate with, interleave, juxtapose, be proximate to, be bound to or with, have, have a property of, or the like. Definitions for certain words and phrases are provided throughout this patent document, those of ordinary skill in the art should understand that in many, if not most instances, such definitions apply to prior, as well as future uses of such defined words and phrases.

Brief Description of Drawings

- [18] For a more complete understanding of the present disclosure and its advantages, reference is now made to the following description taken in conjunction with the accompanying drawings, in which like reference numerals represent like parts:
- [19] FIGURE 1 illustrates an exemplary wireless network that performs feedback for the physical uplink control channel (PUCCH) according to the principles of the present disclosure;
- [20] FIGURE 2 illustrates a base station in communication with a plurality of mobile stations according to an embodiment of the disclosure;
- [21] FIGURE 3 illustrates a 4x4 multiple-input, multiple-output (MIMO) system according to an embodiment of the disclosure;
- [22] FIGURE 4 illustrates feedback reports for a precoder type indicator (PTI) value of 0;
- [23] FIGURE 5 illustrates feedback reports for a precoder type indicator (PTI) value of 01;
- [24] FIGURE 6 illustrates modified feedback reports for a precoder type indicator (PTI) value of 1 in a first alternate embodiment;

- [25] FIGURE 7 illustrates modified feedback reports for a precoder type indicator (PTI) value of 1 in a second alternate embodiment;
- [26] FIGURE 8 illustrates modified feedback reports for a precoder type indicator (PTI) value of 1 in a third alternate embodiment;
- [27] FIGURE 9 illustrates modified feedback reports for a precoder type indicator (PTI) value of 1 in which four report types are used;
- [28] FIGURE 10 illustrates subband reporting instances for subband CQI/W2 reporting according to a first alternative embodiment of the disclosure;
- [29] FIGURE 11 illustrates subband reporting instances for subband CQI/W2 reporting according to a second alternative embodiment of the disclosure; and
- [30] FIGURE 12 illustrates subband reporting instances for subband CQI/W2 reporting according to a third alternative embodiment of the disclosure.

Mode for the Invention

- [31] FIGURES 1 through 12, discussed below, and the various embodiments used to describe the principles of the present disclosure in this patent document are by way of illustration only and should not be construed in any way to limit the scope of the disclosure. Those skilled in the art will understand that the principles of the present disclosure may be implemented in any suitably arranged wireless network.
- [32] FIGURE 1 illustrates exemplary wireless network 100, which performs feedback for the physical uplink control channel (PUCCH) according to the principles of the present disclosure. Wireless network 100 includes base station (BS) 101, base station (BS) 102, base station (BS) 103, and other similar base stations (not shown). Base station 101 is in communication with Internet 130 or a similar IP-based network (not shown).
- [33] Depending on the network type, other well-known terms may be used instead of "base station," such as "eNodeB", "eNB", or "access point". For the sake of convenience, the term "base station" shall be used herein to refer to the network infrastructure components that provide wireless access to remote terminals.
- [34] Base station 102 provides wireless broadband access to Internet 130 to a first plurality of mobile stations within coverage area 120 of base station 102. The first plurality of subscriber stations includes mobile station 111, which may be located in a small business (SB), mobile station 112, which may be located in an enterprise (E), mobile station 113, which may be located in a WiFi hotspot (HS), mobile station 114, which may be located in a first residence (R), mobile station 115, which may be located in a second residence (R), and mobile station 116, which may be a mobile device (M), such as a cell phone, a wireless laptop, a wireless PDA, or the like.
- [35] For the sake of convenience, the term "mobile station" is used herein to designate any remote wireless equipment that wirelessly accesses a base station, whether or not the

mobile station is a truly mobile device (e.g., cell phone) or is normally considered a stationary device (e.g., desktop personal computer, vending machine, etc.). In other systems, other well-known terms may be used instead of "mobile station", such as "subscriber station (SS)", "remote terminal (RT)", "wireless terminal (WT)", "user equipment (UE)", and the like.

- [36] Base station 103 provides wireless broadband access to Internet 130 to a second plurality of mobile stations within coverage area 125 of base station 103. The second plurality of mobile stations includes mobile station 115 and mobile station 116. In an exemplary embodiment, base stations 101-103 may communicate with each other and with mobile stations 111-116 using OFDM or OFDMA techniques.
- [37] While only six mobile stations are depicted in FIGURE 1, it is understood that wireless network 100 may provide wireless broadband access to additional mobile stations. It is noted that mobile station 115 and mobile station 116 are located on the edges of both coverage area 120 and coverage area 125. Mobile station 115 and mobile station 116 each communicate with both base station 102 and base station 103 and may be said to be operating in handoff mode, as known to those of skill in the art.
- [38] Exemplary descriptions of closed-loop transmit beamforming schemes based on codebook design can be found in: 1) D. Love, J. Heath, and T. Strohmer, "Grassmannian Beamforming For Multiple-Input, Multiple-Output Wireless Systems," IEEE Transactions on Information Theory, October 2003, and 2) V. Raghavan, A. M. Sayeed, and N. Boston, "Near-Optimal Codebook Constructions For Limited Feedback Beamforming In Correlated MIMO Channels With Few Antennas," IEEE 2006 International Symposium on Information Theory. Both references are hereby incorporated by reference into this disclosure as if fully set forth herein.
- [39] Closed-loop codebook-based transmit beamforming may be used in a case where a base station forms a transmit antenna beam toward a single user or simultaneously toward multiple users at the same time and at a certain frequency. An exemplary description of such a system may be found in Quentin H. Spencer, Christian B. Peel, A. Lee Swindlehurst, Martin Harardt, "An Introduction To the Multi-User MIMO Downlink," IEEE Communication Magazine, October 2004, which is hereby incorporated by reference into this disclosure as if fully set forth herein.
- [40] A codebook is a set of pre-determined antenna beams that are known to mobile stations. A codebook-based pre-coding MIMO may provide significant spectral efficiency gain in the downlink closed-loop MIMO. In the IEEE 802.16e and 3GPP LTE standards, a four transmit (4-TX) antenna limited feedback based closed-loop MIMO configuration is supported. In IEEE 802.16m and 3GPP LTE Advanced standards, in order to provide peak spectral efficiency, eight transmit (8-TX) antenna configurations are proposed as a prominent precoding closed-loop MIMO downlink system.

Exemplary descriptions of such systems may be found in 3GPP Technical Specification No. 36.211, "Evolved Universal Terrestrial Radio Access (E-UTRA): Physical Channel and Modulation", which is hereby incorporated by reference into this disclosure as if fully set forth herein.

- [41] To eliminate the need for the phase-calibration process in cases where channel sounding signals or common pilot signals (or midamble) are not used for data demodulation purpose, closed-loop transformed codebook-based transmit beamforming may be utilized. An exemplary description of such a system may be found in IEEE C802.16m-08/1345r2, "Transformation Method For Codebook Based Precoding," November 2008, which is hereby incorporated by reference into this disclosure as if fully set forth herein. The transformed codebook method utilizes the channel correlation information to enhance the performance of the standard codebook, especially in the highly correlated channels, as well as to eliminate the need of phase calibration among multiple transmit antennas. Typically, the channel correlation information is based on second-order statistics and thus changes very slowly, which is similar to long-term channel effects, such as shadowing and path loss. As a result, the feedback overhead and computation complexity using correlation information are very small.
- [42] FIGURE 2 illustrates diagram 200 of base station 220 in communication with a plurality of mobile stations 202, 204, 206, and 208 according to an embodiment of the disclosure. Base station 220 and mobile stations 202, 204, 206, and 208 employ multiple antennas for transmission and reception of radio wave signals. The radio wave signals may be Orthogonal Frequency Division Multiplexing (OFDM) signals.
- [43] In FIGURE 2, base station 220 performs simultaneous beamforming through a plurality of transmitters to each mobile station. For instance, base station 220 transmits data to mobile station 202 through beamformed signal 210, data to mobile station 204 through beamformed signal 212, data to mobile station 406 through beamformed signal 214, and data to mobile station 408 through beamformed signal 216. In some embodiments, base station 220 may perform simultaneous beamforming to mobile stations 202, 204, 206, and 208. Each beamformed signal may be formed toward its intended mobile station at the same time and the same frequency. For the purpose of clarity, the communication from a base station to a mobile station may also be referred to as downlink communication and the communication from a mobile station to a base station may be referred to as uplink communication.
- [44] Base station 220 and mobile stations 202, 204, 206, and 208 employ multiple antennas for transmitting and receiving wireless signals. It is understood that the wireless signals may be radio wave signals, and the wireless signals may use any transmission scheme known to one skilled in the art, including an Orthogonal Frequency Division Multiplexing (OFDM) transmission scheme.

- [45] Mobile stations 202, 204, 206, and 208 may be any device that is capable of receiving wireless signals. Examples of mobile stations 202, 204, 206, and 208 include, but are not limited to, a personal data assistant (PDA), laptop, mobile telephone, handheld device, or any other device that is capable of receiving the beamformed transmissions.
- [46] An OFDM transmission scheme is used to multiplex data in the frequency domain. Modulation symbols are carried on frequency sub-carriers. The quadrature amplitude modulation (QAM) modulated symbols are serial-to-parallel converted and input to the inverse fast Fourier transform (IFFT). At the output of the IFFT, N time-domain samples are obtained. Here N refers to the IFFT/ fast Fourier transform (FFT) size used by the OFDM system. The signal after IFFT is parallel-to-serial converted and a cyclic prefix (CP) is added to the signal sequence. The CP is added to each OFDM symbol to avoid or mitigate the impact due to multipath fading. The resulting sequence of samples is referred to as an OFDM symbol with a CP. At the receiver side, assuming that perfect time and frequency synchronization are achieved, the receiver first removes the CP, and the signal is serial-to-parallel converted before being fed into the FFT. The output of the FFT is parallel-to-serial converted, and the resulting QAM modulation symbols are input to the QAM demodulator.
- [47] The total bandwidth in an OFDM system is divided into narrowband frequency units called subcarriers. The number of subcarriers is equal to the FFT/IFFT size N used in the system. In general, the number of subcarriers used for data is less than N because some subcarriers at the edge of the frequency spectrum are reserved as guard subcarriers. In general, no information is transmitted on guard subcarriers.
- [48] Because each OFDM symbol has finite duration in time domain, the sub-carriers overlap with each other in frequency domain. However, the orthogonality is maintained at the sampling frequency assuming the transmitter and receiver have perfect frequency synchronization. In the case of frequency offset due to imperfect frequency synchronization or high mobility, the orthogonality of the sub-carriers at sampling frequencies is destroyed, resulting in inter-carrier-interference (ICI).
- [49] The use of multiple transmit antennas and multiple receive antennas at both a base station and a single mobile station to improve the capacity and reliability of a wireless communication channel is known as a Single User Multiple-Input, Multiple-Output (SU-MIMO) system. A MIMO system provides a linear increase in capacity with K, where K is the minimum of the number of transmit antennas (M) and receive antennas (N) (i.e., $K = \min(M, N)$). A MIMO system can be implemented with the schemes of spatial multiplexing, transmit and receive beamforming, or transmit and receive diversity.
- [50] FIGURE 3 illustrates a 4x4 multiple-input, multiple-output (MIMO) system 300

according to an embodiment of the disclosure. In this example, four different data streams 302 are transmitted separately using four transmit antennas 304. The transmitted signals are received at four receive antennas 306 and interpreted as received signals 308. Some form of spatial signal processing 310 is performed on the received signals 308 in order to recover four data streams 312.

- [51] An example of spatial signal processing is Vertical-Bell Laboratories Layered Space-Time (V-BLAST), which uses the successive interference cancellation principle to recover the transmitted data streams. Other variants of MIMO schemes include schemes that perform some kind of space-time coding across the transmit antennas (e.g., Diagonal Bell Laboratories Layered Space-Time (D-BLAST)). In addition, MIMO can be implemented with a transmit and receive diversity scheme and a transmit and receive beamforming scheme to improve the link reliability or system capacity in wireless communication systems.
- [52] In channel status indicator (CSI) mode 1, the extension of PUCCH mode 2-1 (i.e., subband feedback mode) allows both the subband feedback and the wideband feedback to be multiplexed in the same feedback mode, depending on the value of the precoder type indication (PTI). More specifically, when $PTI = 0$, both Report 2 and Report 3 are wideband reports and when $PTI = 1$, Report 2 is a wideband report, while Report 3 is a subband report. When $PTI = 0$, since Report 2 and Report 3 are both wideband, it is natural that the feedback periodicity of Report 2 and Report 3 are similar. However, when $PTI = 1$, Report 3 is a subband report, which means that the feedback periodicity of the Report 3 should be smaller relative to the feedback periodicity of Report 2.
- [53] Let N_{P2} be the period of Report 2 and N_{P3} be the period of Report 3. By way of example, the unit of the period of both reports may be subframes. Then:
- [54] $H = N_{P2}/N_{P3} + 1$,
- [55] where N_{P2}/N_{P3} is the ratio between the period of Report 2 and the period of Report 3. It is noted that the period ratio depends on the PTI value. Thus, in an advantageous embodiment of the disclosure, the value of H depends on the value of PTI. For example, when $PTI = 0$, $H = 2$ or 3 , and when $PTI = 1$, $H = J * K + 1$, where J is the number of bandwidth parts and K is a constant that may be signalled from the base station (eNB) using higher layer signalling. In another example, when $PTI = 0$, the value of H may be signalled by higher layers and when $PTI = 1$, then $H = J * K + 1$, where J is the number of bandwidth parts and K is a constant signalled by the base station using higher layer signalling.
- [56] Let N_{P1} be the period of Report 1, then:
- [57] $M = N_{P1}/N_{P2}$.
- [58] In an advantageous embodiment of the disclosure, the value of M also depends on the value of PTI. Furthermore, let M^0 and H^0 be the periodicity ratios when $PTI = 0$,

and let M^1 and H^1 be the periodicity ratios when $PTI = 1$. Then, then the following condition among the four quantities holds:

[59] $H^0 * M^0 = H^1 * M^1.$

[60] For a particular example, assume 10 MHz total system bandwidth. Accordingly, $J = 3$ bandwidth parts (BPs). If $K = 1$, then $H^1 = 4$. If $M^1 = 2$, then $H^1 * M^1 = 4 * 2 = 8 = H^0 * M^0 = 2 * 4$.

[61] In some embodiments of the disclosure, the exact value of H and/or M may be signalled using higher layer signalling.

[62] The feedback reports from mobile station (MS) to base station (BS) are seen more clearly in FIGURE 4 and FIGURE 5.

[63] FIGURE 4 illustrates feedback reports for a precoder type indicator (PTI) value of 0. In FIGURE 4, $H^0 = 2$ and $M^0 = 4$. Messages 401a and 401b are examples of Report 1, which contains Rank Indicator (RI) and a 1-bit PTI value = 0. Messages 402a, 402b, 402c, and 402d are examples of Report 2, which contains the precoder matrix value, $W1$ (also referred to as "a first PMI"). Messages 403a, 403b, 403c, and 403d are examples of Report 3, which contains the wideband precoder matrix value (WB $W2$) and a wideband channel quality indicator (WB CQI) value.

[64] Thus, for each instance of Report 1, there are 4 instances of Report 2 and 4 instances of Report 3 (i.e., $M = 4$, since period of Report 1 is 4 times period of Report 2). Also, for each instance of Report 2, there is one instance of Report 3 (i.e., $H = 2$, since period of Report 2 equals period of Report 3).

[65] FIGURE 5 illustrates feedback reports for a precoder type indicator (PTI) value of 1. In FIGURE 5, $H^1 = 4$ and $M^1 = 2$. Messages 501a and 501b are examples of Report 1, which contains Rank Indicator (RI) and a 1-bit PTI value = 1. Messages 502a and 502b are examples of Report 2, which contains the wideband precoder matrix value, WB $W2$, and the WB CQI value. Messages 503a, 503b and 503c are examples of Report 3, which contains the subband precoder matrix value, SB $W2$, and the subband channel quality indicator (SB CQI) value.

[66] Thus, for each instance of Report 1, there are 2 instances of Report 2 and 6 instances of Report 3 (i.e., $M = 2$, since the period of Report 1 is 2 times the period of Report 2). Also, for each instance of Report 2, there are three instances of Report 3 (i.e., $H = 4$, since period of Report 2 is 3 times the period of Report 3).

[67] In the example, no feedback information related to $W1$ is reported following the rank report when $PTI = 1$. This means that in order to perform subband-based feedback, the mobile station may feedback $PTI = 0$ first. However, during the next RI feedback, the mobile station ensures the same $W1$ is reported in the previous subframe. Otherwise, the mobile station should not perform subband feedback. This may restrict scheduling flexibility in the base station and may increase the complexity of the mobile station.

- [68] In an advantageous embodiment of the disclosure, wideband feedback information is transmitted in the feedback report (Report 2) following Report 1, where $PTI = 1$.
- [69] In a first alternative embodiment (ALT 1), the $W1$ information is reported together with wideband $W2$ and wideband CQI in Report 2 when $PTI = 1$. Thus, Report 1 includes RI and 1-bit precoder type indication (PTI). In Report 2, if $PTI = 0$, then $W1$ is reported. If $PTI = 1$, then $W1$, wideband CQI, and wideband $W2$ are reported. In Report 3, if $PTI = 0$, then wideband CQI and wideband $W2$ are reported. If $PTI = 1$, then subband CQI and subband $W2$ are reported. The first alternative embodiment is depicted in FIGURE 6.
- [70] FIGURE 6 illustrates modified feedback reports for a precoder type indicator (PTI) value of 1 in the first alternate embodiment. In FIGURE 6, $H^1 = 4$ and $M^1 = 2$. FIGURE 6 is similar to FIGURE 5 in many respects. Messages 501a and 501b are examples of Report 1, which contains Rank Indicator (RI) and a 1-bit PTI value = 1. Similarly, messages 503a, 503b and 503c are examples of Report 3, which contains the subband precoder matrix value, SB $W2$, and the subband channel quality indicator (SB CQI) value. However, messages 601a and 601b are new. Messages 601a and 601b are examples of Report 2. Since $PTI = 1$, messages 601a and 601b include wideband $W1$, wideband $W2$, and wideband CQI.
- [71] Furthermore, in order to limit the maximum payload size of Report 2 to 11 bits, codebook subset selection (codebook sub-sampling) is performed on the codebook of $W1$ and/or the codebook of $W2$. Accordingly, conditioned on the value of PTI, the codebook of $W1$ can be either sub-sampled or not sub-sampled. If $PTI = 0$, then $C1$ (the codebook of $W1$) is not sub-sampled (codebook subset selection is not applied to $C1$). If $PTI = 1$, then $C1$ (the codebook of $W1$) is sub-sampled (codebook subset selection is applied to $C1$).
- [72] In a second alternative embodiment (ALT 2), the $W1$ information is reported together with wideband CQI in Report 2 when $PTI = 1$. Thus, Report 1 includes RI and 1-bit precoder type indication (PTI). In Report 2, if $PTI = 0$, then $W1$ is reported. If $PTI = 1$, then $W1$ and wideband CQI are reported. In Report 3, if $PTI = 0$, then wideband CQI and wideband $W2$ are reported. If $PTI = 1$, then subband CQI and subband $W2$ are reported. The second alternative embodiment is depicted in FIGURE 7.
- [73] FIGURE 7 illustrates modified feedback reports for a precoder type indicator (PTI) value of 1 in the second alternate embodiment. In FIGURE 7, $H^1 = 4$ and $M^1 = 2$. FIGURE 7 is similar to FIGURES 5 and 6 in many respects. Messages 501a and 501b are examples of Report 1, which contains Rank Indicator (RI) and a 1-bit PTI value = 1. Similarly, messages 503a, 503b and 503c are examples of Report 3, which contains the subband precoder matrix value, SB $W2$, and the subband channel quality indicator (SB CQI) value. However, messages 701a and 701b are new. Messages 701a and 701b

are examples of Report 2. Since $PTI = 1$, messages 701a and 701b include wideband W1 and wideband CQI.

[74] In a third alternative embodiment (ALT 3), the W1 information is reported in Report 2 when $PTI = 1$. Thus, Report 1 includes RI and 1-bit precoder type indication (PTI). In Report 2, if $PTI = 0$, then W1 is reported. If $PTI = 1$, then W1 is reported. In Report 3, if $PTI = 0$, then wideband CQI and wideband W2 are reported. If $PTI = 1$, then subband CQI and subband W2 are reported. The third alternative embodiment is depicted in FIGURE 8.

[75] FIGURE 8 illustrates modified feedback reports for a precoder type indicator (PTI) value of 1 in the third alternate embodiment. In FIGURE 8, $H^1 = 4$ and $M^1 = 2$. FIGURE 8 is similar to FIGURES 5-7 in many respects. Messages 501a and 501b are examples of Report 1, which contains Rank Indicator (RI) and a 1-bit PTI value = 1. Similarly, messages 503a, 503b and 503c are examples of Report 3, which contains the subband precoder matrix value, SB W2, and the subband channel quality indicator (SB CQI) value. However, messages 801a and 801b are new. Messages 801a and 801b are examples of Report 2. Since $PTI = 1$, messages 801a and 801b include wideband W1.

[76] In still another embodiment of the disclosure, a new report is added when $PTI = 1$. Thus, there will be four reports in this CSI mode. However, the precoder W is determined on a 3-subframe report conditioned on the last rank indicator (RI) value transmitted. Thus, Report 1 includes RI and 1-bit precoder type indication (PTI). In Report 2, if $PTI = 0$, then W1 is reported and if $PTI = 1$, then W1 is reported (similar to FIGURE 8). In Report 3, if $PTI = 0$, then wideband CQI and wideband W2 are reported and if $PTI = 1$, then wideband CQI and wideband W2 are reported. In Report 4, if $PTI = 0$, there is no report. If $PTI = 1$, then subband CQI and subband W2 are reported.

[77] In this way, PTI serves to turn on/off subband CQI report and Report 4 (subband CQI/W2) is only reported when $PTI = 1$. This alternative embodiment is depicted in FIGURE 9.

[78] FIGURE 9 illustrates modified feedback reports for a precoder type indicator (PTI) value of 1 in which four report types are used. FIGURE 9 is similar to FIGURES 5-8 in many respects. Messages 501a and 501b are examples of Report 1, which contains Rank Indicator (RI) and a 1-bit PTI value = 1. Messages 801a and 801b are examples of Report 2. Since $PTI = 1$, messages 801a and 801b include wideband W1. Similarly, messages 503a and 503b are examples of Report 3, which contains SB W2 and SB CQI.

[79] However, messages 901a and 901b are new. Messages 901a and 901b are example of Report 4. Since $PTI = 1$, messages 901a and 901b include subband CQI and subband W2. If PTI had been 0, there would be no Report 4.

[80] In an advantageous embodiment of the present disclosure, when $PTI = 1$, the feedback periods of Report 2 and Report 3 are the same and the following conditions hold:

[81] $N_{P2} = N_{P3}$;

[82] $H = N_{P2}/N_{P4} = J * K + 2$; and

[83] $M = N_{P1}/N_{P2}$.

[84] According to the codebook agreement in Document No. R1-105011 and the Chairman's notes, the payloads for W2 are listed as follows: Rank 1 = 4 bits, Rank 2 = 4 bits, Rank 3 = 4 bits, and Rank 4 = 3 bits. The bit-widths of Report 3 when $PTI = 1$ is shown TABLE 1.

[85] Table 1

[Table 1]

RANK	W2	CQI	L-BIT SUBBAND INDICATION	TOTAL PAYLOAD
RANK 1	4 BITS	4 BITS	1-2 BITS DEPENDING ON BW	10
RANK 2	4 BITS	4+3 BITS	1-2 BITS DEPENDING ON BW	13
RANK 3	4 BITS	4+3 BITS	1-2 BITS DEPENDING ON BW	13
RANK 4	3 BITS	4+3 BITS	1-2 BITS DEPENDING ON BW	12
RANK 5-8	0 BITS	4+3 BITS	1-2 BITS DEPENDING ON BW	9

[86] However, it is already agreed that the payload of PUCCH format 2 should be restricted to 11 bits. Therefore, as shown in TABLE 1, for rank 2 (13 bits), rank 3 (13 bits) and rank 4 (12 bits), the bit-widths of the current payloads of PUCCH format 2 cannot accommodate both subband W2, subband CQI and L-bit bandwidth part (BP) indication in TABLE 1.

[87] In one embodiment of disclosure, the L-bit subband indicator in the Rel-8 subband CQI feedback is retained. However, the following alternatives are used to constrain the payload of subband feedback to within 11 bits.

[88] Alternative 1: Codebook subset selection (codebook sub-sampling) is performed for subband W2 in CSI mode 1. That is, the codebook of W2 (C2) for subband feedback in PUCCH feedback is a subset of the codebook of W2 for wideband feedback in PUCCH feedback. For example, C2 of W2, when $PTI = 1$, is a subset of C2 of W2 when $PTI = 0$ in PUCCH feedback. Also, C2 of W2, when $PTI = 1$, in PUCCH feedback is a subset of C2 of W2 in PUSCH feedback. For the example where C2 is sub-sampled and the payload for the sub-sampled C2 is 2 bits, TABLE 2 shows the payloads for subband CQI feedback in CSI mode 1.

[89] Table 2

[Table 2]

RANK	W2	CQI	L-BIT SUBBAND INDICATION	TOTAL PAYLOAD
RANK 1	2 BITS	4 BITS	1-2 BITS DEPENDING ON BW	8
RANK 2	2 BITS	4+3 BITS	1-2 BITS DEPENDING ON BW	11
RANK 3	2 BITS	4+3 BITS	1-2 BITS DEPENDING ON BW	11
RANK 4	2 BITS	4+3 BITS	1-2 BITS DEPENDING ON BW	11
RANK 5-8	0 BITS	4+3 BITS	1-2 BITS DEPENDING ON BW	9

- [90] Alternative 1: Rank dependent codebook subset selection (codebook sub-sampling) is performed for subband W2 feedback when PTI = 1. For example, Rank 2/3/4 codebook of W2 when PTI = 1 is a subset of W2 when PTI = 0 in PUCCH feedback. Also, Rank 2/3/4 codebook of W2 when PTI = 1 in PUCCH feedback is a subset of W2 in PUSCH feedback. For the example where the C2 is sub-sampled and the payload for the sub-sampled C2 is 2 bits for rank 2/3/4, TABLE 3 shows the payloads for subband CQI feedback in CSI mode 1.

- [91] Table 3

[Table 3]

RANK	W2	CQI	L-BIT SUBBAND INDICATION	TOTAL PAYLOAD
RANK 1	4 BITS	4 BITS	1-2 BITS DEPENDING ON BW	10
RANK 2	2 BITS	4+3 BITS	1-2 BITS DEPENDING ON BW	11
RANK 3	2 BITS	4+3 BITS	1-2 BITS DEPENDING ON BW	11
RANK 4	2 BITS	4+3 BITS	1-2 BITS DEPENDING ON BW	11
RANK 5-8	0 BITS	4+3 BITS	1-2 BITS DEPENDING ON BW	9

- [92] In another embodiment of the disclosure, the L-bit subband indication is removed for all ranks. In addition, between every two consecutive Report 2 instances, the remaining H-1 or H-2 (depending on whether wideband W2 and wideband CQI are separately reported from W1) reporting instances are used in sequence for subband CQI/W2 reports to cycle through the bandwidth part together with the subband within the bandwidth part. For example, when $H = J \cdot K + 1$ and wideband W2/CQI are sent together with W1, there are $J \cdot K(H-1)$ reporting instances between the two consecutive Report 2 feedback instances.

- [93] The present disclosure proposes multiple orders to cycle through bandwidth part and subband within the corresponding bandwidth part in the assigned subband reporting instances.

- [94] Alternative 1: Between two consecutive Report 2 feedbacks, the remaining $J \cdot K(H-1)$ or $J \cdot K(H-2)$ reporting instances are used in sequence for subband CQI/W2 reports on each subband. FIGURE 10 illustrates subband reporting instances for subband CQI/W2 reporting according to the first alternative embodiment of the disclosure. In

FIGURE 10, three bandwidth parts, BP1, BP2, and BP3 are shown. The first three reporting instances sequence through each of the three subbands of bandwidth part BP1. The fourth reporting instance then begins sequencing through bandwidth part BP2.

[95] Alternative 2: Between two consecutive Report 2 feedbacks, the remaining $J \cdot K(H-1)$ or $J \cdot K(H-2)$ reporting instances are used in sequence for subband CQI/W2 reports on K cycles of bandwidth parts. Furthermore, within the i^{th} cycle of a bandwidth part, the mobile station reports the i^{th} subband bandwidth within each bandwidth part. FIGURE 11 illustrates subband reporting instances for subband CQI/W2 reporting according to the second alternative embodiment of the disclosure. In FIGURE 11, three bandwidth parts, BP1, BP2, and BP3 are shown. The first three reporting instances sequence through the first subband of each of bandwidth parts BP1, BP2 and BP3. The fourth reporting instance then begins sequencing through the second subband of each of bandwidth parts BP1, BP2 and BP3.

[96] Alternative 3: Between two consecutive Report 2 feedbacks, the remaining $J \cdot K(H-1)$ or $J \cdot K(H-2)$ reporting instances are used in sequence for subband CQI/W2 reports on K cycles of bandwidth parts. Furthermore, assuming there are at most $T = 2^L$ subbands within each bandwidth part, then within the i^{th} cycle of a bandwidth part, the mobile station reports the i^{th} subband bandwidth with each bandwidth part.

[97] By way of example, the mapping between i and j for the case $K = 4$ and $T = 4$ shown in TABLE 4 may be used.

[98] Table 4

[Table 4]

i	j
1	1
2	T
3	2
4	T-1

[99] In general, for $k = 1, 2, 3, \dots$, if $i = 2 \cdot k - 1$, then $j = k$, and if $i = 2 \cdot k$, then $j = T - k + 1$.

[100] FIGURE 11 illustrates subband reporting instances for subband CQI/W2 reporting according to the third alternative embodiment of the disclosure.

[101] In one embodiment of the present disclosure, the subband size within a bandwidth part depends on the rank indicator. That is, there may be larger subband sizes for higher ranks. Furthermore, the subband CQI/W2 feedback pattern depends on different ranks, as well.

[102] In one embodiment of the present disclosure, the L -bit subband indication for rank 2, 3, 4 feedback may be removed while keeping the L -bit subband indication for rank 1

and ranks 5-8. Accordingly, TABLE 5 shows the payload sized for subband CQI feedback in CSI mode 1 that may be achieved. In one embodiment, RI and PTI may be jointly encoded in CSI mode 1.

[103] Table 5

[Table 5]

RANK	W2	CQI	L-BIT SUBBAND INDICATION	TOTAL PAYLOAD
RANK 1	4 BITS	4 BITS	1-2 BITS DEPENDING ON BW	10
RANK 2	4 BITS	4+3 BITS	0	11
RANK 3	4 BITS	4+3 BITS	0	11
RANK 4	3 BITS	4+3 BITS	0	10
RANK 5-8	0 BITS	4+3 BITS	1-2 BITS DEPENDING ON BW	9

Industrial Applicability

[104] Although the present disclosure has been described with an exemplary embodiment, various changes and modifications may be suggested to one skilled in the art. It is intended that the present disclosure encompass such changes and modifications as fall within the scope of the appended claims.

Claims

- [Claim 1] For use in a wireless network, a mobile station operable to transmit feedback reports to a base station of the wireless network, the feedback reports comprising a first feedback report, a second feedback report and a third feedback report, wherein the first feedback report includes a precoder type indication (PTI) value and wherein at least one of a period of the second feedback report and a period of the third feedback report is indicated by the PTI value.
- [Claim 2] The mobile station as set forth in Claim 1, wherein the PTI value indicates a ratio of the second feedback report period to the third feedback report period.
- [Claim 3] The mobile station as set forth in Claim 2, wherein if the PTI value is 0, the ratio is signaled by a higher-layer message and if the PTI value is 1, the ratio is given by $J \cdot K + 1$, where J is the number of bandwidth parts and K is a constant signaled by a higher layer message.
- [Claim 4] The mobile station as set forth in Claim 1, wherein the second feedback report and the third feedback report include selected feedback information, the selected feedback information comprising at least one of wideband feedback information and subband feedback information, and the PTI value indicates the selected feedback information.
- [Claim 5] A method for use in a mobile station operable to transmit feedback reports to a base station of a wireless network, the method including: transmitting to the base station a first feedback report including a precoder type indication (PTI) value; transmitting to the base station a second feedback report; and transmitting to the base station a third feedback report, wherein the PTI value indicates at least one of a period of the second feedback report and a period of the third feedback report.
- [Claim 6] The method as set forth in Claim 5, wherein the PTI value indicates a ratio of the second feedback report period to the third feedback report period.
- [Claim 7] The method as set forth in Claim 6, wherein if the PTI value is 0, the ratio is signaled by a higher-layer message and if the PTI value is 1, the ratio is given by $J \cdot K + 1$, where J is the number of bandwidth parts and K is a constant signaled by a higher layer message.
- [Claim 8] The method as set forth in Claim 5, wherein the second feedback report and the third feedback report include selected feedback information, the

selected feedback information comprising at least one of wideband feedback information and subband feedback information, and the PTI value indicates the selected feedback information.

[Claim 9] For use in a wireless network, a base station operable to receive feedback reports transmitted by a mobile station, the feedback reports comprising a first feedback report, a second feedback report and a third feedback report, wherein the base station identifies in the first feedback report a precoder type indication (PTI) value and wherein the base station uses the PTI value to determine at least one of a period of the second feedback report and a period of the third feedback report.

[Claim 10] The base station as set forth in Claim 9, wherein base station uses the PTI value to determine a ratio of the second feedback report period to the third feedback report period.

[Claim 11] The base station as set forth in Claim 10, wherein the base station receives from the mobile station a control signal indicating the ratio.

[Claim 12] The base station as set forth in Claim 9, wherein the second feedback report and the third feedback report include selected feedback information, the selected feedback information comprising at least one of wideband feedback information and subband feedback information, the base station uses the PTI value to determine the selected feedback information.

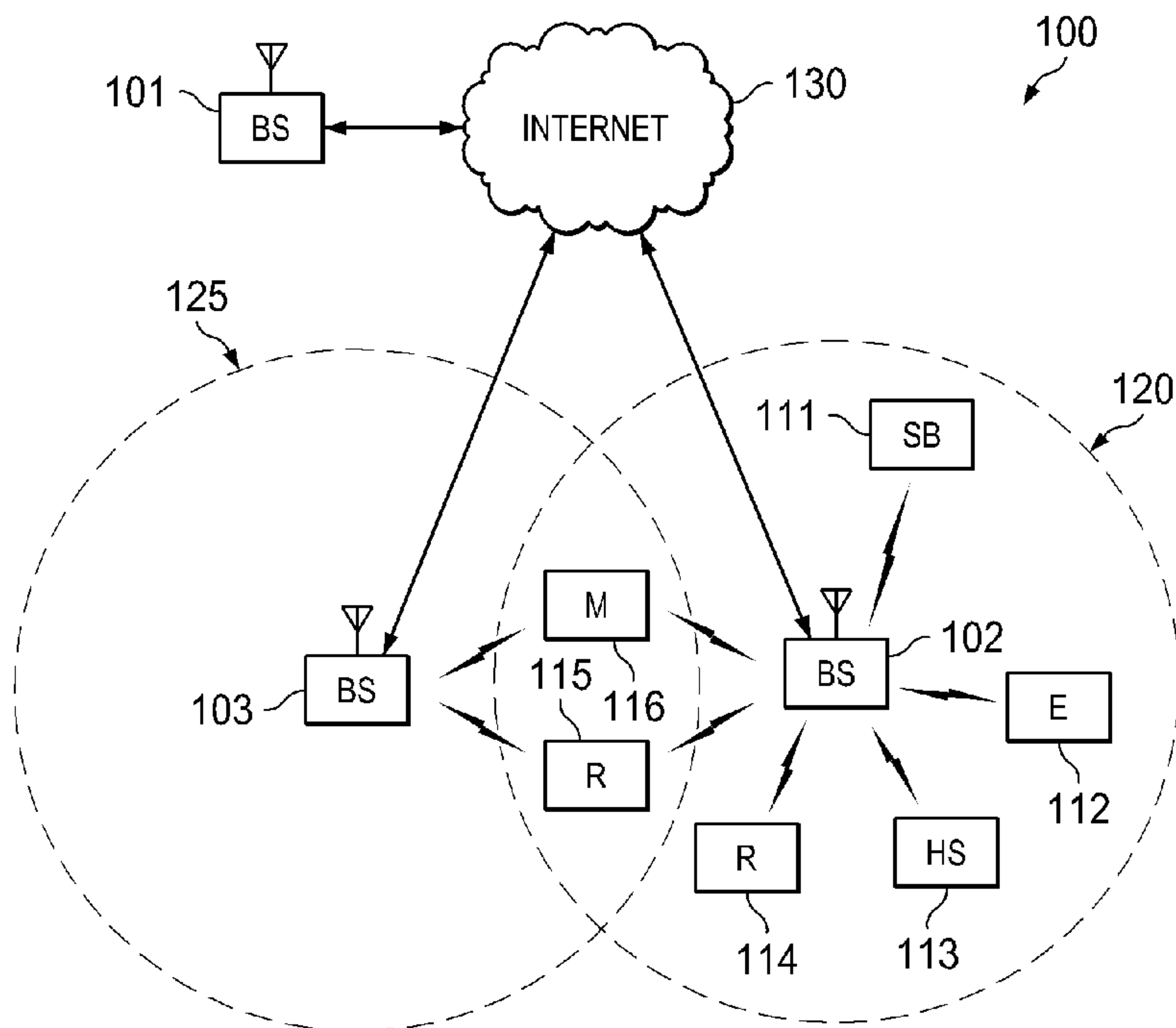
[Claim 13] A method for use in a base station of a wireless network operable to receive feedback reports transmitted by a mobile station, the method comprising:
 receiving a first feedback report;
 identifying in the first feedback report a precoder type indication (PTI) value;
 determining from the PTI value at least one of a period of a second feedback report and a period of a third feedback report;
 receiving the second feedback report; and
 receiving the third feedback report.

[Claim 14] The method as set forth in Claim 13, further comprising determining a ratio of the second feedback report period to the third feedback report period,
 wherein the base station receives from the mobile station a control signal indicating the ratio.

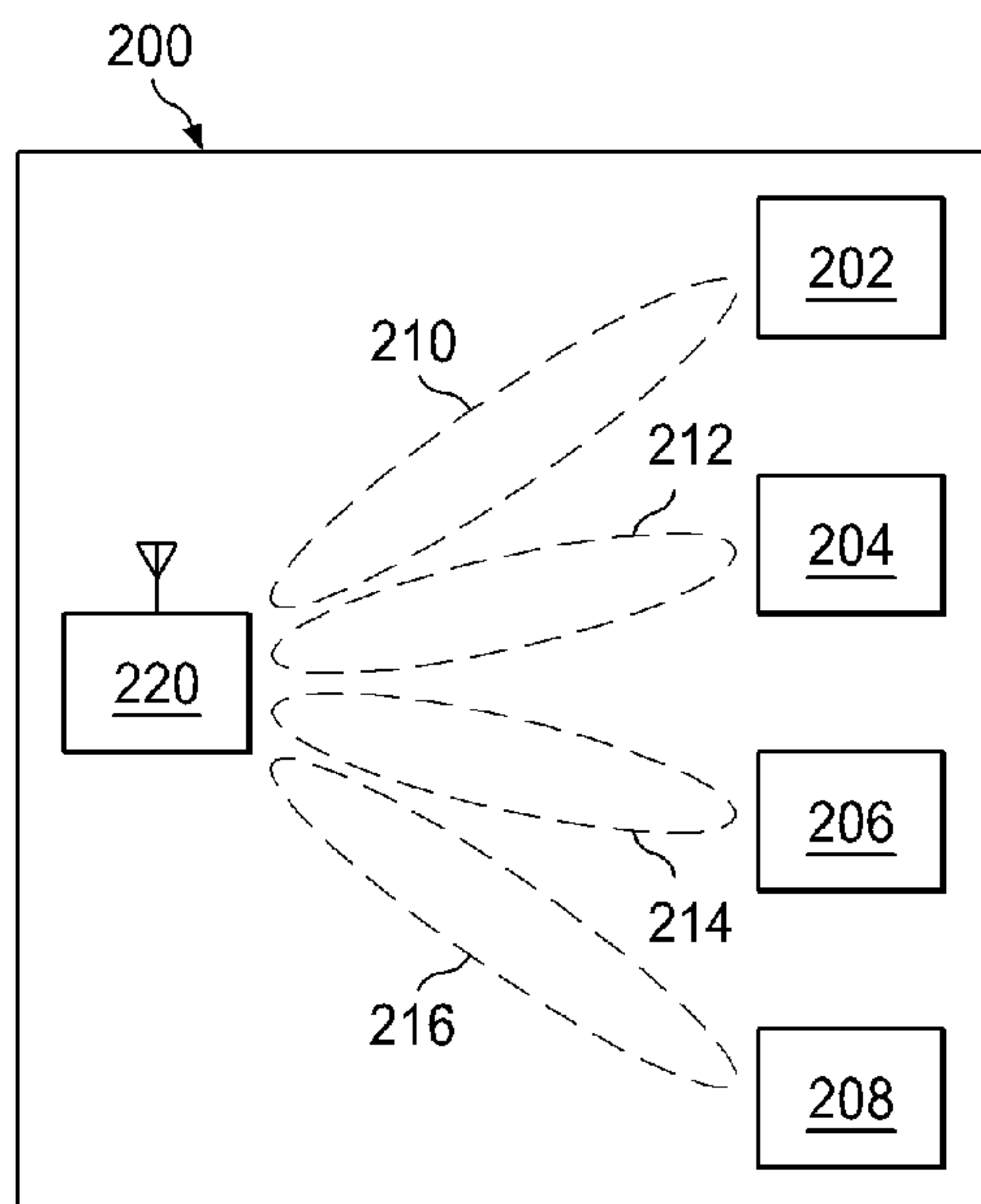
[Claim 15] The method as set forth in Claim 13, wherein the second feedback report and the third feedback report include selected feedback in-

formation, the selected feedback information comprising at least one of wideband feedback information and subband feedback information, wherein the method further comprising determining the selected feedback information from the PTI value.

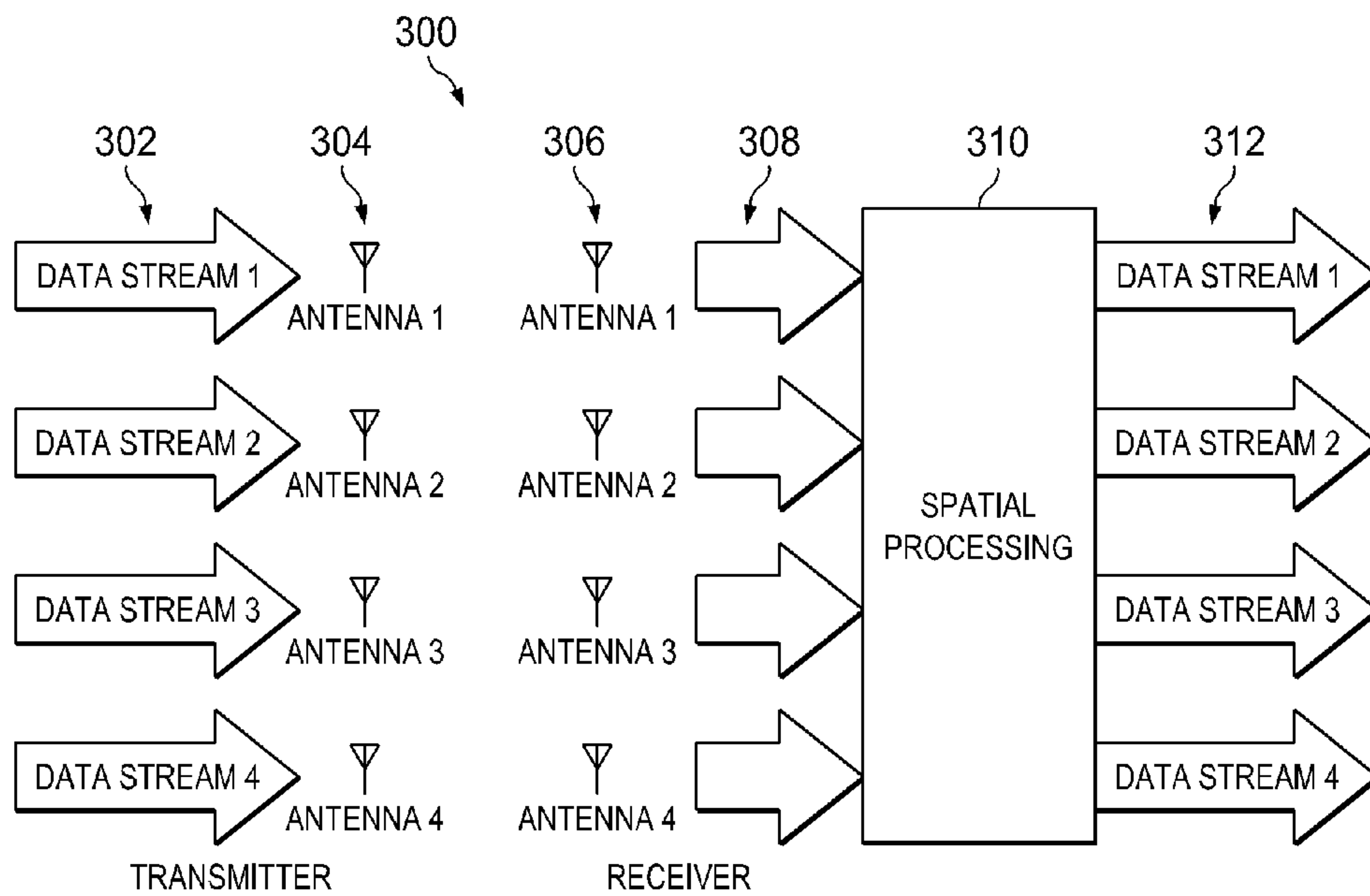
[Fig. 1]



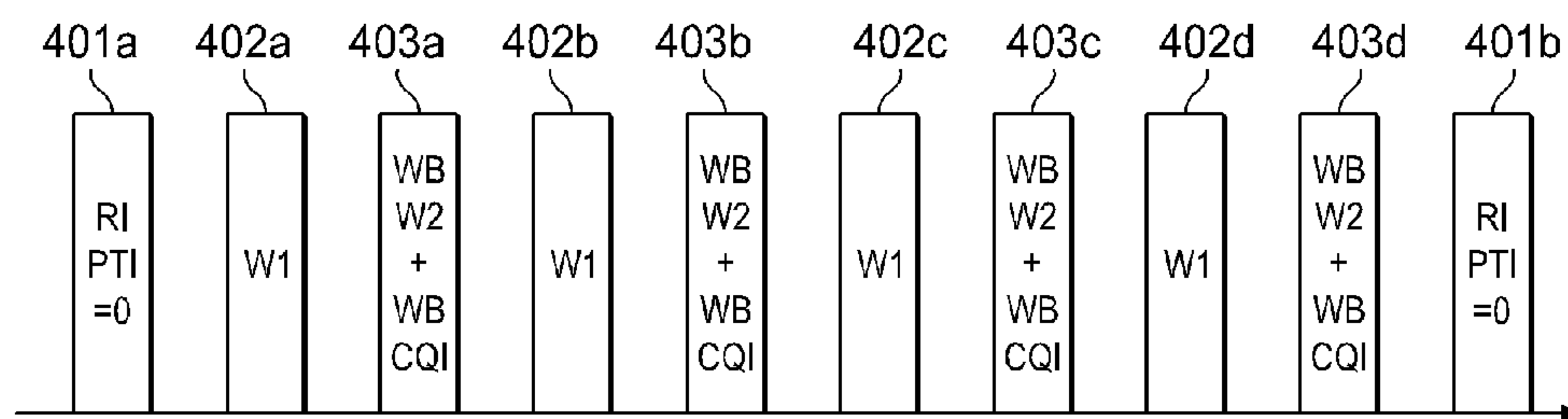
[Fig. 2]



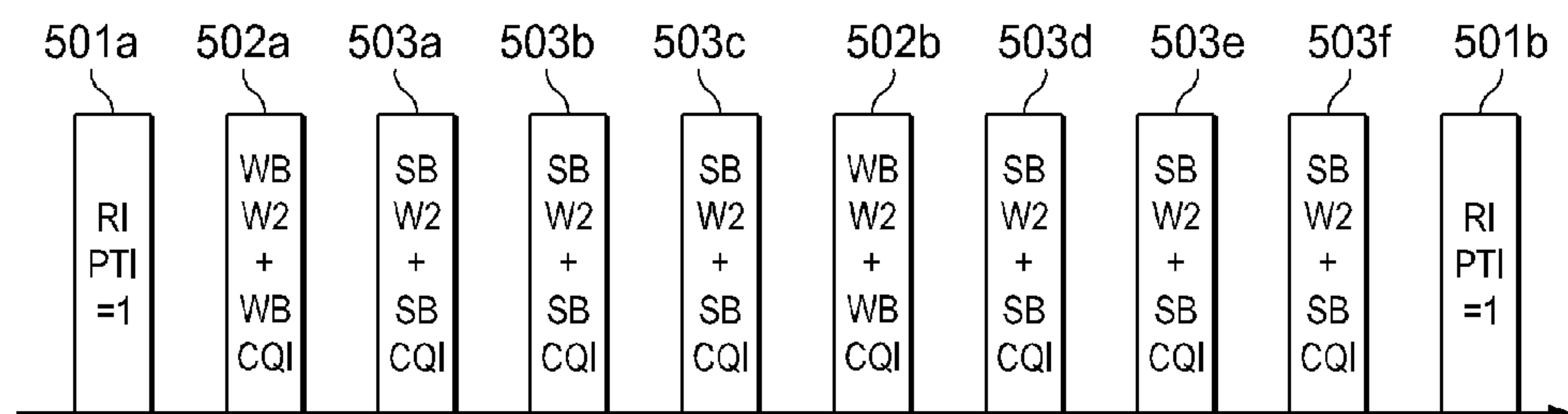
[Fig. 3]



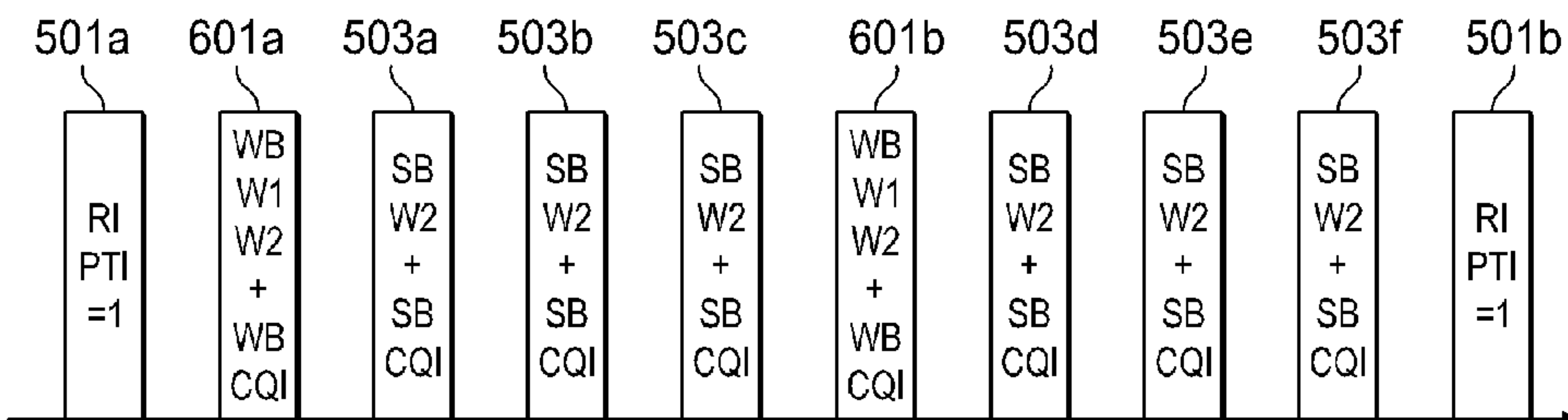
[Fig. 4]



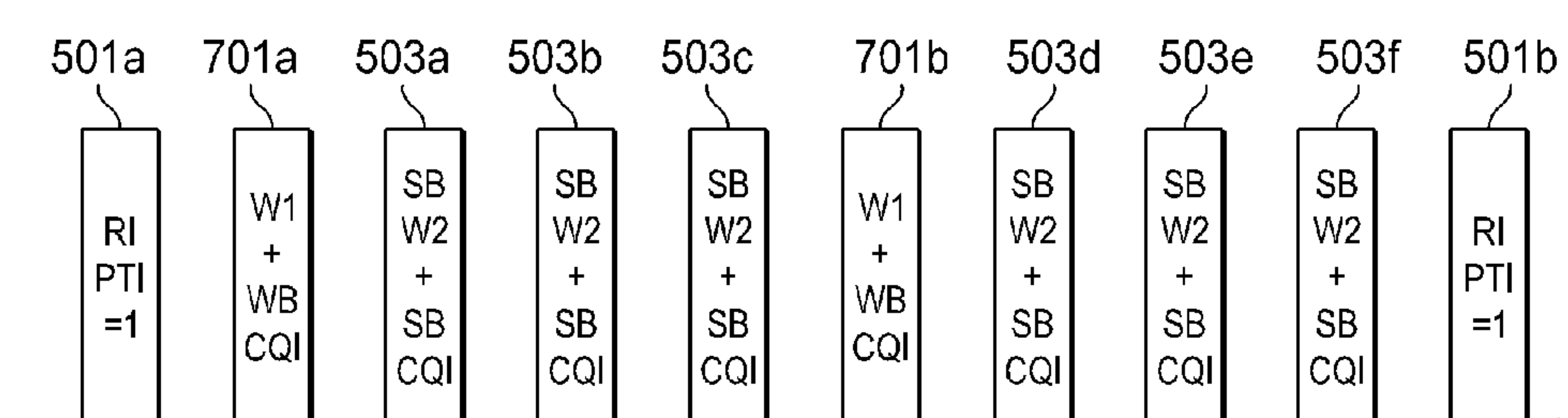
[Fig. 5]



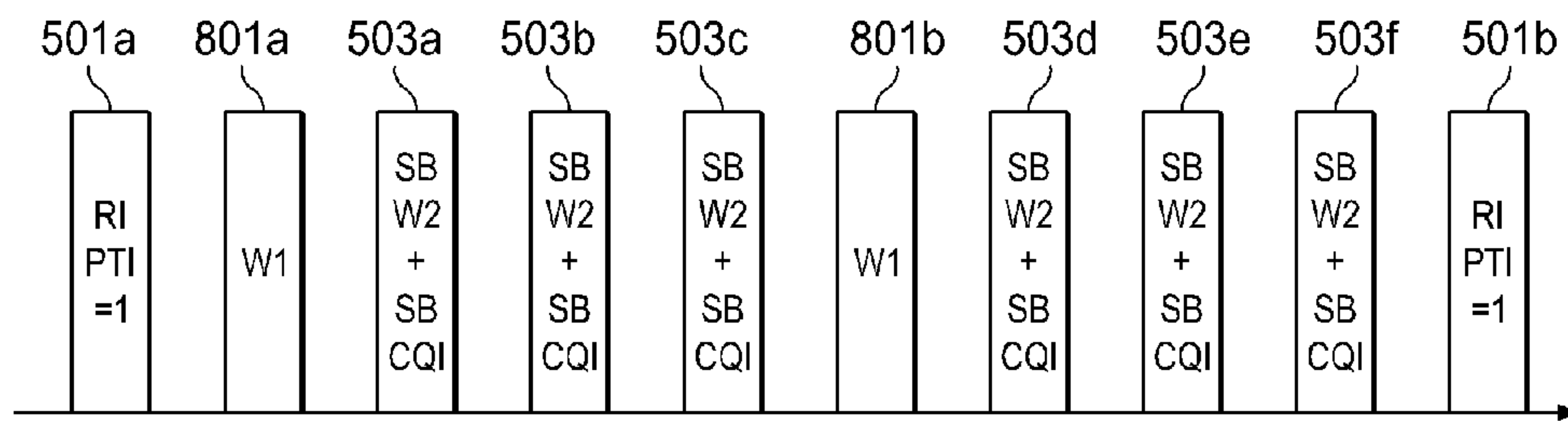
[Fig. 6]



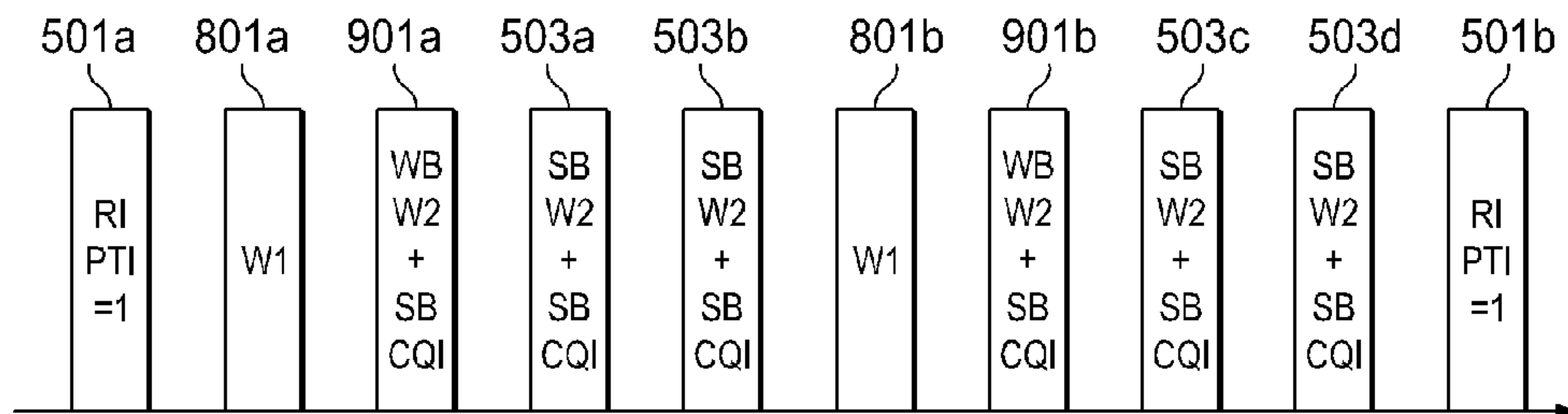
[Fig. 7]



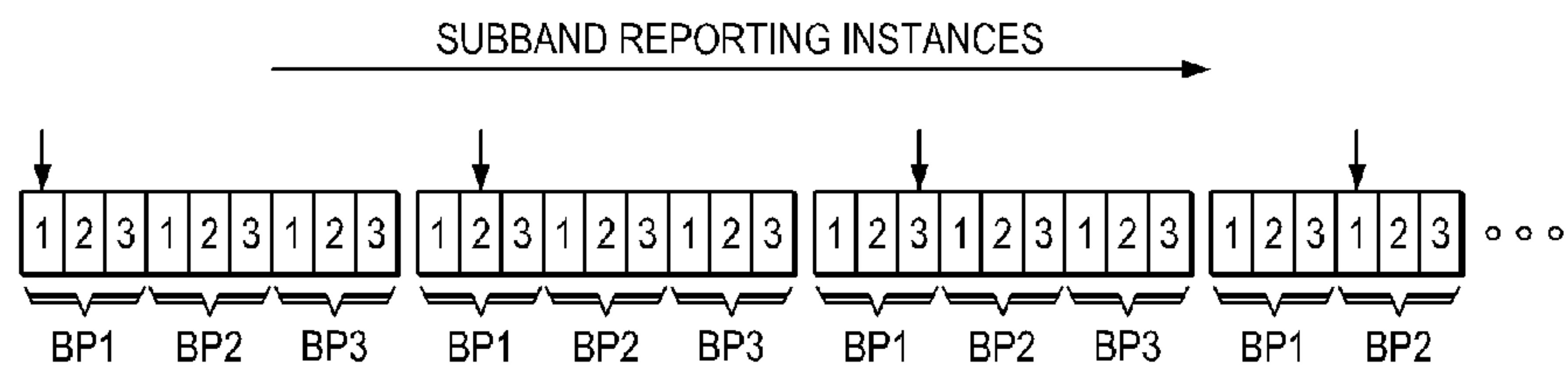
[Fig. 8]



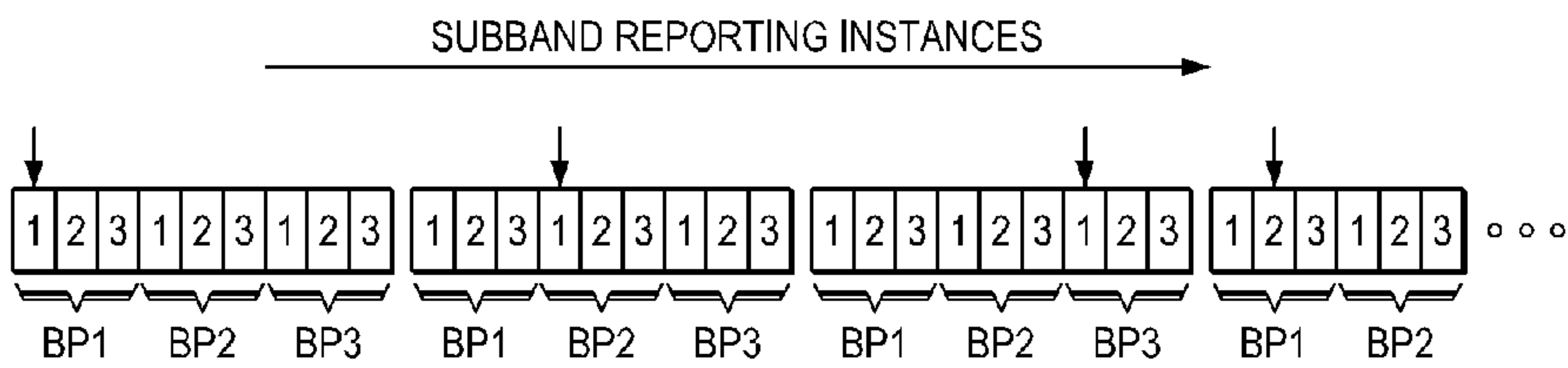
[Fig. 9]



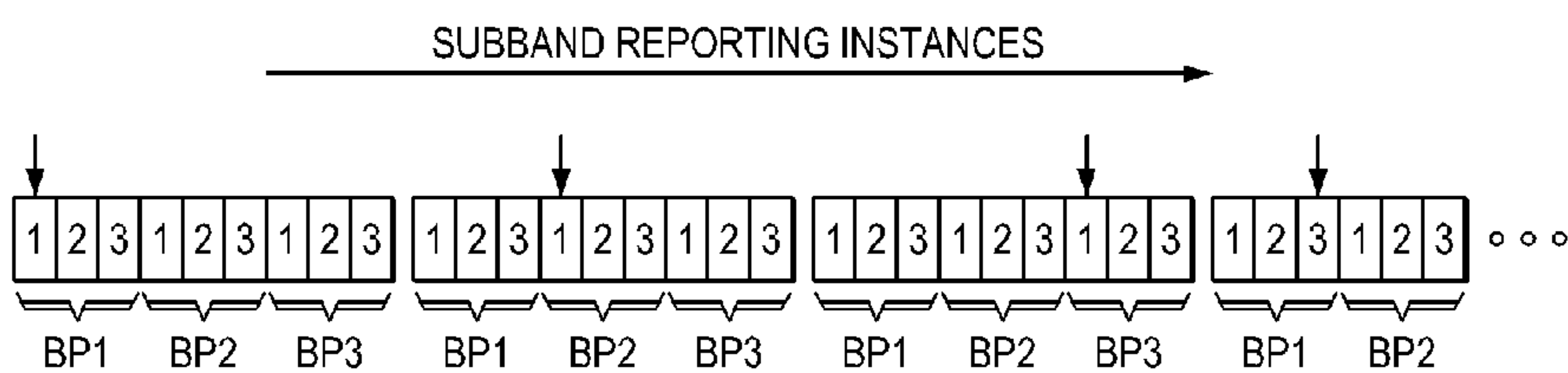
[Fig. 10]



[Fig. 11]



[Fig. 12]



[Fig. 1]

