

(10) International Publication Number
WO 01/61626 A1

WO 01/61626 A1

(81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, ME, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(26) **Publication Language:** English

(84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

(30) Priority Data:

09/504,255	15 February 2000 (15.02.2000)	US
------------	-------------------------------	----

Published:

— *with international search report*

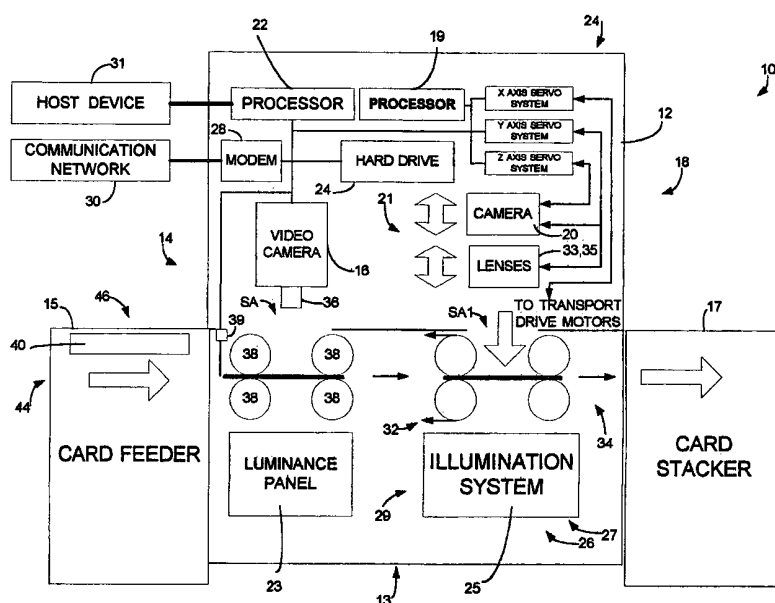
(71) Applicants and

(72) **Inventors:** **NICZYPORUK, Marek, A.** [US/US]; 962 Elsinore Drive, Palo Alto, CA 94303 (US). **KIMBALL, Glenn, S.** [US/US]; 2804 College Avenue, Modesto, CA 95350 (US).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(74) Agent: **WATERS, William, Patrick**; P.O. Box 8, San Marcos, CA 92079-0008 (US).

(54) Title: INFORMATION PROCESSING SYSTEM AND METHOD OF USING SAME



(57) Abstract: An information processing system (10) includes an unattended low-resolution wide area pre scanning station (16). The low-resolution station (14) automatically identifies active image area and borders on a plurality of light passing documents transported seriatim along a prescan path. The unattended low-resolution scanning station (14) generates a plurality of active image area signals indicative of the active image areas and borders in a plurality of light passing documents. The unattended high resolution line scanning station (18) includes a high resolution line scanner (20) that responds to the individual ones of said plurality of active image area signals. The high resolution scanning station (18) automatically focuses the high resolution line scanner (20) on only the active image area of said light passing documents.

INFORMATION PROCESSING SYSTEM
AND METHOD OF USING SAME

2

4 RELATED APPLICATIONS

6 This patent application is related to the following concurrently
filed copending patent applications: Serial No.: 09/504,256, by Marek
8 A. Niczyporuk, and Glenn S. Kimball entitled "Apparatus and Method
of Finding Active Microfiche Image Regions on a Carrier;" and Serial
10 No.: 09/504,254, by Glenn S. Kimball and Marek A. Niczyporuk,
entitled "Apparatus and Method for Processing Microfiche Images."

12 Each of the aforementioned applications is assigned to the same
assignee and each is incorporated by reference as though fully set
14 forth herein.

16 TECHNICAL FIELD

18 The present invention relates to a method and apparatus for
processing document information. More particularly, the invention
20 relates to an image processing method and system for converting light
passing media into enhanced digital records.

22

BACKGROUND ART

24

For decades, in this and in foreign countries, virtually countless
26 numbers of documents have been stored in media such as microfilm

and microfiche. As an example, especially in the case of US census
2 data, hundreds of millions of alphanumeric characters have been
stored, in Hollerith code, in punch cards, or aperture cards. In
4 general, these information storage techniques have represented cost-
effective and convenient processes for archiving and distributing
6 information.

8 As a result, storage of information on microfiche became widely
popular and utilized by many organizations. Presently, one can find
10 valuable and useful information stored on microfiche, for example, in
commercial enterprises, law enforcement organizations and
12 universities. It has been estimated that the number of documents
stored on microfiche in the insurance industry alone is counted in the
14 trillions. While the value of information stored on microfiche is
recognized, recovering information from storage and rendering it
16 usable by modern image processing systems are frequently arduous,
time consuming and labor intensive tasks.

18

Image scanners are often used for scanning and converting
20 microfiche images into digital format. However, conventional systems
for accomplishing these tasks are complex and expensive. In this
22 regard, reference may be made to US patent 5,845,018 titled "Method
and Apparatus for Transferring Multiple Scanned Images from a First
24 Medium to a Second Medium". While the invention therein disclosed
has some utility and, indeed, attempts to simplify microfiche to digital
26 document conversion, the apparatus itself is cumbersome and
expensive. Further, operation of the apparatus is expensive since as a
28 trained user must be present to observe on a monitor whether
expected boundaries of the microfiche images have been properly set

3

to values that do not inhibit accurate boundary detection. Clearly, it is desirable to have a relatively inexpensive information processing system that can be operated efficiently, without requiring skilled employees to supervise system operations.

The situation is complicated further by the nature of typical microfiche media, in which non-uniform images may be cropped, overlapped, skewed or distorted. They may have ragged or unclear borders. In such cases, conventional scanners have difficulty in locating the image to be scanned or in determining the boundaries or edges of the image, especially in cases where the image is skewed. For example, a conventional scanner may interpret a scratch on the microfiche as an image edge or boundary. Of course, such errors limit unattended operation of the scanner and when automatic scanner function fails, operator supervision and control become necessary, with concomitant increases in operating costs.

In view of the foregoing, it is clear that a need exists for an information processing system and method that produces superior digitized images from microfiche documents at high speeds and at substantially lower cost in comparison with conventional systems. Desirably, such a system would itself be low in cost and capable of efficient, effective and unattended operation.

24

SUMMARY OF THE INVENTION

An unattended information processing system and method includes an unattended low-resolution pre scanning station and an unattended high-resolution line scanning station. The low resolution station automatically locates and identifies the active image areas and

c/

borders on individual ones of a plurality of light passing documents,
2 generating active image area signals indicative of the location of the
identified active image areas. The unattended high resolution line
4 scanning station includes a high resolution line scanner and scanner
positioning system that responds to individual ones of the active
6 image area signals by positioning and focusing the high resolution line
scanner on only the active image areas of the light passing documents
8 and then capturing and converting each scanned document image
into a corresponding enhanced digital information signal that is
10 indicative of the image information carried on the scanned document.

12 BRIEF DESCRIPTION OF DRAWINGS

The above mentioned features of the invention and the manner
14 of attaining them will become apparent, and the invention itself will be
best understood by reference to the following description of the
16 embodiments of the invention in conjunction with the accompanying
drawings wherein:

18 FIG. 1 is a block diagram of an information processing system
that is constructed in accordance with the present invention;

20 FIG. 2 is a flowchart illustrating a set of image processing steps
that facilitates the identifying of active image areas on a low resolution
22 image captured in a prescan station of FIG. 1;

24 FIG. 3 is a flowchart illustrating a set of image processing steps
to assemble a de-warped low resolution image of a light passing media
26 passing through a prescan station of FIG. 1;

5

FIG. 4 is a flowchart illustrating a set of image processing steps
2 to find the active image areas within the full low resolution image
captured by a low resolution video camera forming part of the prescan
4 station of FIG. 1;

6 FIG. 5 is a flowchart illustrating a set of image processing steps
that capture a high resolution image of an identified active image area
8 of a light passing media positioned in a image conversion station
forming part of the information system of FIG. 1;

10

FIG. 6 is a flowchart illustrating a set of image processing steps
12 that enhance the high-resolution image of each captured active image
area;

14

FIG. 7A-D provide an illustrated example of the consecutive step
16 of detecting image regions within a single row resulting in a set of final
regions after fit and classification in accordance with the method of
18 the present invention;

20 FIGS.8A-D provide another illustrated example of the
consecutive step of detecting image regions within a single row
22 resulting in a set of final regions after fit and classification in
accordance with the method of the present invention;

24

FIG. 9 is a flowchart illustrating a set of steps performed to
26 calibrate the low- resolution camera of FIG.1;

28 FIG. 10A-H illustrates various microfiche configurations,
orientations, and patterns;

FIG. 11 is a greatly enlarged section of adjacent microfiche images illustrated in FIG. 10B;

FIG. 12 is a flowchart illustrating a set of image processing steps for correcting lens distortion associated with a low-resolution video camera in FIG. 1;

FIG. 13 is a diagrammatic illustration of an image processing system which is constructed in accordance with the present invention; and

FIG. 14 is a diagrammatic illustration of another image processing system that is constructed in accordance with the present invention.

DESCRIPTION OF THE PREFERRED EMBODIMENT(S)

Referring now to the drawings and more particularly to FIG. 1 thereof, there is shown an information or image processing system 10, which is constructed in accordance with the present invention. The information processing system 10 converts light passing media, such as paper and microfilm records, into enhanced digital information to enable knowledge workers and consumers to share the converted records electronically over the internet and within company intranet connections. More particularly, the information processing system 10 enables punched cards and microfiche image documents to be converted into enhanced digital documents without substantially altering the appearance of complex graphic and picture objects,

7

without distorting fine image features and graphic shapes, and with
all or substantially all, background artifacts present in original
documents removed from textual images to provide crisp, sharp,
black-and-white cyber documents. In short then, the information
processing system 10, through a unique combination of image
scanning and processing techniques, produces graphic and
photographic images that are substantially restored to their original
image contrast, sharpness and content even in the presence of cuts,
scratches and lines in the original documents.

This disclosure will make reference to microfiche for the purpose
of describing the information processing system 10. There is no
intention however of limiting the scope of the present invention to
microfiche only. Further, where the term "light passing media" or
"microfiche" is used, it includes, but is not limited to, cut roll-film,
jacketed fiche, aperture cards, punched cards, mounted cut media,
microfiche cards and other types of documents or media capable of
producing textual, graphic or photographic images when illuminated
by a light source.

Considering now the information processing system 10 in
greater detail with reference to FIG. 1, the information processing
system 10 generally comprises a workstation housing 12 for
supporting, in a stationary manner, a prescan station or an image
analysis station 14 having a low resolution incremental area prescan
or television camera, such as a video camera 16 and a media or
document information conversion station 18 having a high resolution
specific area or line scan camera, such as a charge coupled device
(CCD) camera 20. The prescan station 14 and the conversion station

8

18 cooperate to provide unattended processing of large sequences of
2 light passing documents. In this regard, the information processing
system 10 utilizes a principal area prescan concept to identify active
4 image regions in microfiche to facilitate the unattended processing of
large sequences of microfiche. The prescan station 14 detects image
6 regions and image borders in a variety of image formats in order to
limit the high resolution scanning operations of the document
8 conversion station 18 to only those active areas identified during
prescan operations of the information processing system 10. More
10 particularly, the prescan station 14, as will be explained hereinafter in
greater detail, is able to identify accurately the active image areas of a
12 light passing document regardless of image size, image format, image
shapes, and image patterns. In short, the prescan station 14 can
14 process both standard and non-standard image documents in large
sequences of both a uniform nature and a non-uniform nature,
16 without any substantial operator involvement.

18 In order to facilitate the transport of the light passing media
between the prescan station 14 and the document conversion station
20 18, the image processing system 10 includes a media transport unit
13 that is sandwiched between a media or document feeder 15 and a
22 media or document stacker 17. The document feeder 15, as will be
explained hereinafter in greater detail, receives in a stacked manner a
24 large number of light passing media, such as jacketed microfiche
cards and Hollerith cards, which are fed seriatim to the transport unit
26 13 to facilitate the scanning of the document information disposed on
each card. After the document information on a given card has been
28 converted to digital information, the transport unit 13 delivers the
processed card seriatim to the document stacker 17 to cause the card

to be neatly stacked for subsequent removal from the image
processing system 10.

Considering now the prescan station 14 in greater detail with
reference to FIG. 1, the automatic and robust identification of active
image areas, hereinafter called "region finding," is critical to
unattended operation. That is, in order to facilitate unattended
operation of the system 10, the prescan station 14 must automatically
detect the originally recorded image areas.

Those skilled in the art will recognize that microfiche and
microfilm images have been captured over the years in various image
sizes, formats, and region/border shapes and patterns, many of them
non uniform and non standard in nature. The industry, however, has
adopted a standard microfiche aperture card, such as cards 11A-11F
as illustrated in FIGS. 10A-F. As the cards 11A-11F have standard
dimensions, only card 11A will be described.

As best seen in FIG. 10A, the card 11A is approximately 4
inches in height and 6 inches in length and includes a block of
apertures arranged in series of equally spaced rows and columns. The
apertures are configured to permit various microfiche to be overlaid so
that they may be illuminated by a source of light either from above or
below the card 11A. FIGS. 10A-F provide exemplary samples of the
different types of microfiche formats, sizes and patterns that may be
encountered on a standard card, such as the card 11A. Table I
summarize the samples found in FIGS. 10A-F.

10

Table I

Format	Contrast	Brightness	Size	Orient.	Layout
Uniform	FIG. 10A	FIG. 10A	FIG.10A	FIG.10A	FIG. 10A
Non Uniform	FIG. 10B	FIG. 10C	FIG.10F	FIG. 10E	FIG. 10D

2

4 In order to detect and scan successfully such a large variety of
 image forms, patterns and borders, the system 10 includes an image
 6 processor or prescan controller 22 that cooperates with the low
 resolution camera 16 to detect automatically active image regions and
 8 borders in a variety of image formats. In this regard, the low-
 resolution camera 16 quickly previews at low resolution the entire face
 10 of the media so that image positions may be determined (or aperture
 card holes mapped). The camera 16 views a sufficiently large area to
 12 capture a slice of the microfiche as it passes by the camera 16. In this
 regard, the camera 16 is able to capture an area approximately 1 inch
 14 wide by 4.1 inch high, which height corresponds to the height of
 microfiche. As the media passes by the camera 16, the microfiche is
 16 illuminated from behind by a shallow-depth electro-luminescent panel
 23 that uniformly illuminates each microfiche passing through the
 18 prescan station 14. In this regard, the panel 23, unlike conventional
 light boxes, has substantially no depth, generates little heat and only
 20 a low luminance level that is sufficient for the low resolution video
 camera 16 to capture those microfiche images passing by the camera
 22 16.

24 When the panel 23 illuminates the microfiche aperture card, the
 camera 16 detects the illuminated microfiche capturing the entire
 card, top to bottom and end to end in several sequential exposures.

//

The exposures overlap horizontally and are stitched together by the processor 22 utilizing a set of image processing mathematical fitting and optimization algorithms and statistical modeling methods (hereinafter referred to collectively and separately as a region finding algorithm) to robustly identify image borders in standard and difficult, old, noisy microfiche formats. More particularly, the prescan processor 22, under control of a region finding algorithm 100, accomplishes the following functions in a fast and efficient manner, without operator intervention:

1. detection of fiche regions of varying sizes and skew orientations;
2. detection of overlapping fiche regions;
3. detection of fiche regions with weak, fuzzy, unclear borders; and
4. robust detection of fiche image regions and borders for low-quality and noisy images, with non-uniform regions and border patterns.

In summary then, in a single pass by the low resolution camera 16, the processor 22, in cooperation with the region finding algorithm 100, identifies and establishes all image positions and their associated boundaries for sequence use and processing by the high-resolution image information conversion station 18.

Although in the preferred embodiment of the present invention the camera 16 is described as a low-resolution camera, it is contemplated that a single high resolution camera may be employed

10

utilizing its low resolution setting if applicable, to capture active
2 microfiche image areas.

4 Since video camera lens distortion varies from camera to
camera, a calibration algorithm 150 (FIG. 9) is performed each time
6 the image processing system 10 is equipped with a low-resolution
camera that has not been calibrated. In this manner, the calibration is
8 accomplished in the factory at time of system assembly or in the field
at time of installation by a trained technician. The calibration
10 algorithm 150 will be described hereinafter in greater detail. It will
suffice at present to indicate this calibration process is to correct lens
12 distortion of the camera 16. Thus, once the replacement of camera 16
is calibrated, the calibration process need not be performed again
14 unless replacement of the camera 16 is required.

16 As will be explained hereinafter in greater detail, the detection
processes of the present invention are unique and novel since they
18 utilize neither fixed grid or leading/trailing edge detection techniques.
It is known that such techniques are insufficient for unattended
20 processing and digitizing of large volumes of microfiche image archives
of varying formats and quality. In short, the region finding algorithm
22 100 of the present invention is able to process prescan document data
at a very fast rate of less than one second per document with
24 optimization and slightly more than two seconds per document in
worst case situations for image locating purposes.

26

In operation, the information processing system 10 functions in
28 accordance with two primary operational systems: one category of
firmware and software is dedicated to those operational systems for

mechanical, system and network control and the other category of
firmware and software is dedicated to operational systems for image
processing control.

OPERATIONAL SYSTEMS

Considering now the control, system and networking firmware
and software operational systems in greater detail, these operational
systems interface and control various ones of the hardware
components found within the information processing system 10. Such
hardware components include:

1. a conventional operating system platform such as an Intel
Pentium III platform, using a conventional operating system such as
Windows NT;

2. a control panel interface: user interface buttons, switches,
light emitting diode display and liquid crystal display for display of
information characters;

3. a networking interface to host (destination) system, where
scanned and digitized images are deposited;

4. a low-resolution (area-scan) camera frame capture, with flat-
panel illumination;

5. a high-resolution (line-scan) camera frame capture, with
camera mover, optics and illumination control;

6. a microfiche/aperture card transport mechanism and control;

14

and

2

7. a high-resolution (line-scan) camera mover, optics, and
4 illumination control.

6

To the extent that mechanical, system and network controls
present unique operational characteristics within the information
8 processing system 10, such controls will be described in greater detail.

10

Considering now the calibration algorithm 150 in greater detail
with reference to FIG.9, the calibration algorithm 150 is performed
12 either in the factory or in the field prior to actual large volume card
processing. As mentioned earlier, the calibration algorithm 150 is
14 performed to compensate for lens distortion geometry associated with
the video camera 16. In this regard, in order to facilitate the
16 calibration, a calibration card (not shown) having a grid array of
precisely aligned horizontal and vertical lines is loaded into the media
18 or card feeder 15 by a factory technician or field installer. After
loading the calibration card into the card feeder 15, the user actuates
20 a calibration switch (not shown) mounted to the housing 12. Upon
detecting the activation of the calibration switch, the calibration
22 algorithm 150 advances from a start command 152 to a load
command 154 which causes the calibration card to be transported
24 from the document card feeder 15 into the prescan station 14. After
executing the load command 154, the system proceeds to a
26 determination step 156 to verify that the calibration card has been
properly positioned within the prescan station 14 for image capture
28 purposes. In this regard, the system will loop at the determination
step 156 until the calibration card has been advanced to a proper

15

position by the transport 13.

2

Once the calibration card has been positioned within the
prescan station 14, the program advances from the determination step
156 to a capture command 158 that causes the low resolution video
camera 16 to capture an image of the calibration grid in the field of
view of the low resolution camera 16.

8

Next, the calibration algorithm proceeds to a store and enhance
command 160 that causes the image viewed by the low resolution
camera 16 to be stored for image enhancement and analysis purposes.
The system then goes to a compute command 162 that causes the
image data indicative of the grid coordinates to be retrieved and
analyzed by computing non-linear distortion from the grid coordinate
information. Once the non-linear distortion has been determined, the
system proceeds to a transformation command 164 that derives a
transformation to be utilized on each prescan image slice captured by
the low resolution or prescan camera 16. The application of the
transformation to subsequent images is called "dewarping" and
corrects for lens distortions associated with the camera 16.

Once the dewarping transformation has been determined and
stored, the system advances to an exit command 166. It should be
noted in FIG. 3 at an application command 309, the dewarping
transform is applied to each image slice captured by the prescan
camera 16.

The processes for computing non-linear distortion using a fixed
grid coordinate basis (command step 162) and deriving a dewarping

14

transformation therefrom (command step 164) are well known to those skilled in the art. Accordingly, the sub-steps associated with commands 162 and 164 will not be described hereinafter in greater detail.

The image processing control firmware and software will now be considered in greater detail. Such image processing, occurring within the information processing system 10, is unique and results in a system that is able to process large volumes or sequences of microfiche images without any substantial operator intervention. For the purpose of simplifying the description of the component operations of the image processing system, the functional aspects of the various ones of the systems will be described individually and classified for the discussion as follows:

- I. prescan image analysis and region finding;
- II. image enhancement; and
- III. supplemental image processing tasks.

It should be understood by those skilled in the art that such classification is useful as an aid for better understanding of the unique nature of the information processing system 10, and is not intended to limit the scope of the present invention.

I. PRESCAN IMAGE ANALYSIS AND REGION-FINDING

Considering now the image processing system 10 in greater detail with reference to FIG. 1, the image processing system 10 includes a unique set of image processing algorithms that, in combination, permits large sequences of microfiche images to be

/ 7

processed and converted into enhanced digital images without any
2 substantial operator intervention. More particularly, as will be
explained hereinafter in greater detail, the image or region finding
4 algorithm 100 is executed in two major steps: first the algorithm finds
uniform horizontal rows, and second the algorithm finds non-uniform
6 image regions within each row.

1. Finding uniform horizontal rows.

8 The region finding algorithm 100 includes a set of algorithm
components that fits a uniform horizontal line array to the prescan
10 image data. In this regard, the algorithm 100 functions to define a
“Quality-of-fit” (Q-fit) variable for fitting uniform horizontal row
12 patterns. Q-fit is maximized for an optimal pattern of horizontal rows,
defined by number of rows (nRows), starting at a y-coordinate of a first
14 row (yRowStart) indicated generally at 1012 (FIG. 10A), and a vertical
y-height for each subsequent row (yRowStep). Thus, for example, as
16 best illustrated in FIG. 10A, subsequent rows proceed as (yRowStart
+ 1*yRowStep) indicated at 1014, (yRowStart + 2*yRowStep) indicated
18 at 1016 and so forth, ending with (yRowStart + nRows*yRowStep)
indicated at 1018.

20 The region-finding algorithm 100 employs a linear combination
of two types of quality-of-fit variables for fitting optimal pattern of
22 horizontal rows: linear quality-of-fit (Q-FitLin) and fuzzy quality-of-fit
(Q-Fit-Fuzzy) variables, described below. The region finding algorithm
24 100 functions to provide the linear quality-of-fit variable (Q-FitLin)
that is defined using the following image intensity-based components:

26 A. Polarity*(IAveLin [n, n+1] - IAveMid [n]): signed positive
or negative (Polarity = +1 or -1) difference between an
28 average intensity along row border line (IAveLin) and an
average intensity of mid-image region between row border

/ 8

(IAveMid) for a current row (n). The sign (Polarity)

determines if horizontal row borders are expected brighter or darker from the active mid-image regions. This term maximizes differences between intensity along borderlines versus mid-image intensity between borderlines.

B. $-\text{abs}(\text{IAveLin}[n] - \text{IAveLin}[n+1])$: negative absolute difference between an average intensity along a row border (IAveLin) between consecutive rows (n, n+1). This term minimizes differences between intensity along consecutive horizontal row borderlines.

C. $+(\text{IVarMid}[n] - \text{IVarLin}[n, n+1])$: difference between a variance of horizontal pixel-to-pixel intensity within a mid-image region between row borders (IVarMid) and a variance along row borderlines (IVarLin). This term expects high variance or image information content along active image areas, and expects low variance along horizontal row borderlines.

Such constructed linear quality of fit index (Q-FitLin) is

maximized when a fitted line pattern is placed on top of borderline between consecutive rows of image regions. Total quality of fit index is computed for each row, summed and averaged for all rows.

The region finding algorithm 100 further functions to provide a fuzzy quality-of-fit variable (Q-FitFuzzy) that is similar to the linear index (Q-FitLin), except that fuzzy-wide lines are utilized instead of simple lines for computing along row-border components (IAveLin, IVarLin). In this regard, if a border between image rows is very narrow, and furthermore, if the image is slightly rotated, the simple line

utilized in (Q-FitLin) will not identify the bright (Polarity = +1) border
2 between rows. FIG. 10B illustrates such a difficult case with narrow
row-border lines. FIG. 11 is an enlarged view of FIG. 10B to further
4 illustrate where the narrow border and rotation is exaggerated to
demonstrate how fitting a simple "narrow" borderline, such as a
6 border 1017 is inadequate.

8 When computing the Q-FitFuzzy components of average
intensity and variance along wide-fuzzy horizontal row-border line
10 (IAveLin, IVarLin), maximum (Polarity = +1) intensity is used in a
small vertical neighborhood near the ideal horizontal line, whereas the
12 simple "narrow" line would fail to overlap the very narrow and possibly
rotated border between images (see FIG. 11). Thus, Q-FitFuzzy derived
14 along fuzzy-wide lines is maximized when fitting correct set of
horizontal lines, whereas linear Q-FitLin would fail to exhibit a
16 maximum for the same optimal set of row-lines.

18 The region finding algorithm 100 also combines the simple
linear quality of fit (Q-FitLin) with the fuzzy-wide quality of fit
20 (Q-FitFuzzy) indices to arrive at a total quality of fit ($Q\text{-Fit} = Q\text{-FitLin} +$
Q-FitFuzzy). Such combined Q-Fit variable is maximized for the
22 optimal fitted pattern of uniform horizontal line borders, such as
illustrated and described relative to FIG. 10A and FIG. 10B:

24 1.) When Q-Fit operator is computed for fitting positive polarity
lines (Polarity = +1: borderlines are expected bright, the operator is
26 designated as Q-FitPos.

2.) When Q-Fit operator is computed for fitting negative polarity
28 lines (Polarity = -1: borderlines are expected dark, the operator is
designated as Q-FitNeg.

20

2 The region-finding algorithm 100 further functions to apply a
3 BLENDED-DILATE morphological filter to expand very narrow borders
4 between rows. In this regard, for positive polarity (Polarity = +1), the
5 algorithm utilizes a BLENDED-MAX-N filter operator dilating (i.e.
6 expanding) bright borders between darker image regions as illustrated
7 for example in FIG.10G. For negative polarity (Polarity = -1) the
8 algorithm utilizes a BLENDED-MIN-N filter operator, dilating
9 (expanding) darker borders between brighter image rows.

10

11 For example, in FIG. 10G a narrow-border image (magnified x5
12 times), a MAX-N operator (N=7) replaces each pixel with a maximum of
13 pixels in N x N neighborhood, effectively dilating, or thickening, a very
14 narrow border between images. The image dilated using MAX-N
15 operator is blended (averaged) with the original image, such that a
16 resulting BLENDED-MAX-N image contains both the original (narrow
17 sharp and precise) thinner borders between images regions, and
18 dilated (wider but less precise) thicker borders between image regions,
19 such as in FIGS. 10B and 10G. FIG. 10G illustrates a magnified (x5)
20 image fragment from FIG.10B with a raw image at the top of the sheet,
21 and a BLENED-MAX-7 in FIG.10H at the bottom of the sheet.

22

23 In summary then, when optimal horizontal uniform line pattern
24 is fitted, described by [nRows, yRowStart, yRowStep], the algorithm
25 maximizes Q-Fit quality -of-fit applied to BLENDED-MAX-N enhanced
26 image (such as shown in FIG.10H), rather than original image (such
27 as in FIG.10B). Q-Fit already handles narrow image borders because
28 of the Q-FitFuzzy component with its wide-fuzzy line fit, and use of
29 BLENDED-DILATE filter (BLENDED-MAX-N or BLENDED-MIN-N)

21

further enhances robustness of Q-Fit fit.

2

Now that the algorithm components for fitting uniform
horizontal image rows are outlined and described, the complete
algorithm for detecting and fitting uniform image rows is summarized
as follows:

- 1.) Assume positive polarity (border lines are bright):
 - a). Enhance the prescan image using the BLENDED-MAX-N operator;
 - b). Fit an optimal pattern of horizontal row, defined by number of rows, starting y-coordinate of first row, and vertical y-height for each row (nRows, yRowStart, yRowStep), by maximizing the Q-FitPos variable fitted to image enhanced with BLENDED-MAX-N operator.
- 2.) Assume negative polarity (border lines are dark):
 - a). Enhance the prescan image using a BLENDED-MIN-N operator;
 - b). Fit an optimal pattern of horizontal rows, defined by number of rows, starting y-coordinate of first row, and vertical y-height for each row (nRows, yRowStart, yRowStep), by maximizing Q-FitNeg variable fitted to image enhanced with BLENDED-MIN-N operator.
- 3.) Select an optimal positive (Q-FitPos) or negative (Q-FitNeg) polarity pattern, whichever is greater, i.e. yielding better fit. In order to assure symmetrical comparison between positive and negative quality-of-fit variables, they may be calculated using identical number of image rows, such that comparison of Q-FitPos and Q-FitNeg is not biased by the different number of detected rows in the positive-vs-negative

27

comparison. The resulting pattern of horizontal rows, defined by number of rows, starting y-coordinate of first row, and vertical y-height for each row (nRows, yRowStart, yRowStep), identifies uniform active image rows.

2. Find Non Uniform Regions Within Each Row

Once that the optimal image rows are identified as described in the previous section, the image region finding algorithm 100 proceeds to search and detect individual non-uniform image regions within each row. The following will describe the algorithm components utilized for detecting regions in a single row of a prescan image. It should be understood however, by those skilled in the art that the described process would be carried out for each row that is identified. Accordingly, there is no intention of limiting the present invention to finding images in only a single row as the process is repeated for each identified row.

Considering now the algorithm components for finding non-uniform regions within an identified row relative to the image finding algorithm 100:

a. Quality-of-Fit (Q-Reg)

The first component is to define the Quality-of-fit (Q-Reg) variable for fitting rectangular regions to image data within each row. Unlike global Q-fit (maximized when a global pattern of uniform rows is fitted to an image). Q-Reg is determined separately for each region within each row. That is for any given region, Q-Reg is maximized when a region shape matches image data. In this regard, a region shape is defined by rectangular

23

coordinates (x-Min, y-Min, x-Max, and y-Max). An array of fitted regions may be of different widths and heights, and thus the image region finding algorithm 100 references the regions by row number, counted from the image top and region number within each row, counted from image left edge. A matrix of regions, consists of region coordinates and quality of fit defined as follows:

$x\text{-Min}[i,j]$, $y\text{-Min}[i,j]$, $x\text{-Max}[i,j]$, $y\text{-Max}[i,j]$, $Q\text{-Reg}[i,j]$

where: $x\text{-Min}$, $y\text{-Min}$, $x\text{-Max}$, $y\text{-Max}$ = represent the rectangular coordinates for the current region.

$Q\text{-Reg}$ = represents the quality-of-fit for the current region.

$i = 1 \dots n \text{ Rows}$: represents the row number.

$j = 1 \dots n \text{ Regions}[i]$: represents the region's (column) number, up to the number of regions in each row.

$n \text{ Rows}$ = represents the number of rows.

$n \text{ Regions}[i]$ = represents the number of regions for the i -th row.

24

Considering now the Quality-of-fit variable (Q-Reg) in still greater detail, the Q-Reg variable is defined as a linear combination of the following image intensity-based components:

1.) $\text{Polarity} * (\text{IAveLin} - \text{IAveMid})$

Signed difference between average intensity along a region's edge lines (IAveLin) and a region's interior (IAveMid). The sign (Polarity) determines if the region's borders are expected brighter or darker from the active mid-image regions. This term maximized the difference between intensity along the region's borderlines versus the mid-image intensity.

2.) $-\text{abs}(\text{IAveLin1} - \text{IAveLin2})$

Negative absolute is the difference between the average intensity between two region's edge lines, either in the horizontal or vertical. This term maximizes uniformity of image intensity along borderlines.

3.) $+(\text{IVarMid} - \text{IVarLin})$

The difference between variance of horizontal pixel-to-pixel intensity within a mid-region inside of its borders (IVarMid) minus variance computed along the region's border lines (IVarLin). This term expects high variance or image information content along active image areas, and expects lower variance along horizontal row borderlines.

25

2 The quality-of-fit variable Q-Reg is calculated using four
borderlines tracing each local rectangular region (2-dimensional
4 equation). In this regard, it contains horizontal (Q-RegX) and vertical
(Q-RegY) components, each using two of a region's border lines and its
6 mid-interior.

8 It should be noted that although the components of Q-Reg
appear similar to the components of Q-Fit, there are important
10 differences that are summarized as follows:

12 1.) Q-Fit is determined globally for a uniform pattern of
horizontal rows, whereas Q-Reg is determined separately
14 for each region, and allows for non-uniformity of
consecutive region shapes.

16 2.) Q-Reg is determined using four borderlines tracing
18 each local rectangular region (a 2dimensional equation),
whereas Q-Fit fits long global horizontal borders between
20 rows (a 1-dimensional equation).

22 3.) Consecutive Q-Reg non-uniform regions may contain
non-uniform blank space between neighboring region,
24 whereas uniform Q-Fit fits global row uniform patterns.

26 4.) BLEND-DILATE or BLEND-ERODE operations used in
fitting Q-Fit are not needed to fit Q-Reg, instead Q-Reg
28 utilizes the raw image to assure accurate fit to each row's
region.

24

2 5.) Image Polarity in Q-Reg is determined separately for
each row, whereas Q-Fit utilizes a global polarity setting
4 to fit global uniform row patterns.

6 b. Accumulated Quality of Fit Statistics

8 Considering the Quality-of-Fit variable Q-Reg in still greater
detail, the image region finding algorithm 100 accumulates the
10 Quality-of-fit statistics of Q-Reg values and associated components. In
this regard, the algorithm 100 executes the following functions:

12 1.) Statistics for all regions in a single row are determined:

14 Average, standard deviation, and range of Q-Reg for all
16 regions in each row are determined, and

18 Average, standard deviation, and range of each region's
20 geometry, such as region size and separation between
regions, along both horizontal and vertical axis.

22 2.) Similar global statistics for all regions in all rows is
determined.

24 It should be noted that the algorithm 100 assumes that
26 consecutive image rows may contain varying region formats and sizes,
and even opposite polarities. Therefore, mostly local Q-Reg statistics
28 accumulated for each row are utilized in classification or 2-
dimensional regions, or polarity detection.

27

2 The algorithm 100 recognizes that for a large majority of fiche
formats, shapes and polarity of regions are similar. In such cases, all
4 the foregoing described region detection, classification and statistical
analysis equations may utilize global Q-Reg statistics, accumulated for
6 all regions in all rows, rather than Q-Reg statistics for a current row
only.

8
 Finally, it should be noted that if such global uniformity is
10 known ahead of actual analysis, a user could select a "global region
format" option to take advantage of such between rows uniformity, to
12 use global Q-Reg statistics. This option does not assume identical
column sizes or spacing, only the same polarity between rows, and
14 "similar" sizes and spacing. Otherwise "row-only region format" allows
for very diverging format and polarity between rows.

16

c. Detection of Polarity of Region's Rows

18

 Image Polarity is determined separately for each row, in
20 (unlikely but possible) case of different polarity between consecutive
rows. In most cases, the polarity of each row is the same as the global
22 polarity determined from fitting uniform row patterns (Q-Fit). Also in
most situations, higher average Q-Reg statistic (for all detected regions
24 within a given row) corresponds to correct polarity. However, since the
number of regions and region size are variable, there is a lack of
26 symmetrical comparison such as Q-Fit with a fixed number of rows
(nRows). Furthermore, it is possible that consecutive image rows will
28 have different polarities, for example when jacketed 1.6 mm film is
inserted, with first row positive and second row negative.

28

2 For added accuracy, the algorithm 100 utilizes multivariate
logistic regression to accurately detect the polarity for each row. The
4 dependent variable (outcome) of logistic regression is Polarity, with
two possible outcomes.

6
An independent variable set is utilized, as input to assess
8 Polarity comprised of the difference between statistics of optimal
positive minus optimal negative fit for a current row ($Q\text{-RegPos} - Q\text{-}$
10 RegNeg).

12 Average, standard deviation, and range of Q-Reg for all regions
in each row are utilized, as well as individual linear components of Q-
14 Reg quality-of-fit, together with average, standard deviation, and range
of the geometry of the region, such as region size and separation of
16 regions, along both horizontal and vertical axis.

18 Logistic regression results in a probability p-value: $p = 0$
indicates positive polarity, $p = 1$ indicates negative polarity, and p
20 near 0.5 indicates ambiguity. For any row of regions, if logistic
regression yields ambiguous assessment of polarity for a current row,
22 the algorithm 100 utilizes the same regression model applied to global
Q-Reg statistics to determine and use the global polarity setting.
24 Furthermore, if a "global region format" option is enabled as
previously discussed, the global polarity is determined by inputting
26 global-scope rather than row-scope Q-Reg statistics.

28 Now that the components of the image region finding algorithm
100 relative to finding non-uniform image regions has been discussed,

29

it may be beneficial to briefly review the algorithm 100 in overview. In this regard, image regions are detected and classified for each image row, detected as described above.

a. Assume Uniform Row Pattern. The algorithm 100 assumes that a uniform row pattern was already detected from fitting optimal row geometry with maximizes Q-Fit statistic. For each row, the algorithm fit non-uniform image regions.

b. Fit Simple Regions to Current Row Data. Simple regions are defined with uniform height (y_{RowStep}), and no separation between regions ($x_{\text{Max}}[n] = x_{\text{Min}}[n+1]$).

FIGS.7A-D and 8A-D are examples illustrating the consecutive steps of search and detection of simple regions within a single row, that are summarized as follows:

Assume that uniform simple region's height matches row's height ($y_{\text{Max}} - y_{\text{Min}} = y_{\text{RowStep}}$), thus, only horizontal quality-of-fit component (Q-RegX) is utilized. Further assume that such simple regions have no separation between them ($x_{\text{Max}}[n] = x_{\text{Min}}[n+1]$).

Fit first optimal simple region that maximizes Q-RegX. In this regard, the region's geometry is unknown, and the program initially assumes uniform region heights (y_{RowStep}) for the entire row. The first region detected in a current row provides initial estimate rather than precise region's shape. If such a first region is excessively large, it could contain multiple actual regions- that is acceptable since the algorithm 100 will subsequently classify such

cases.

2

4

6

8

10

12

14

16

18

20

22

Continue adding additional simple regions: Subdivide existing simple regions, or add additional simple region between image edge and first or last existing simple region, to maximize quality-of-fit (QRegX) of new added region. It should be noted that QRegX for the newly added region is maximized, rather than an overall average quality-of-fit for all regions within the current row, since overall quality may decline when the new added region is of a lower quality, but is still valid.

Iterate adding simple regions (uniform height, no in-between spacing), until either best quality of added region QRegX is below a minimum threshold, or there is no more room to add a new simple region. As a practical limit, the simple region's minimum size is one third of the estimated ($xEstSize = yRowStep$). The threshold of QRegX is biased to accept simple region later classified as invalid, rather than to reject a valid region. FIGS 7B and 8B illustrate initial detection of simple regions.

c. Convert Simple Regions to 2-Dimensional Regions. Convert simple regions (of uniform height equal to row height, and without spacing between consecutive regions) to 2-dimensional regions. Optimal region size ($xMin$, $yMin$, $xMax$, $yMax$) is fitted to maximize quality of fit for each region separately (Q-Reg), which is a linear combination of horizontal QRegX and vertical QRegY components). Region's x-size is reduced to maximize QRegX quality-of-fit, thus,

introducing spacing between consecutive regions. Region's y-size is reduced to better fit image data, maximizing QRegY quality-of-fit. FIGS. 7C and 8C provide illustrative examples of search and detection of simple regions converted to 2-dimensional region boundaries.

d. Fit and Classify 2-Dimensional Regions. FIGS. 7D and 8D are illustrative examples of a final fit and classification of active image regions. Consecutive 2-dimensional regions within each row are re-fitted and re-classified according to the following criteria:

Classify False Border Regions: Depending on the size of the image borders, sometimes first or last detected region in a given row is an artifact of artificial edge rather than active image area. A statistical model detects such conditions and invalidates such false border regions, as illustrated in FIG.7C, which shows such borders at its left edge. The short separators between active images (FIG. 7) is another type of border region resulting from a mask pattern utilized when the microfilm was filmed.

Q-Reg statistics are sufficient to accurately classify such false border regions. Contributing factors are:

1). Statistically significant lower information content (pixel-to-pixel intensity variance) of such border region, compared to row's average, or compared to its neighboring regions;

2), High intensity contrast component QRegX (indicating weak or no top or bottom region edges-see FIG. 7), but

32

significantly lower contrast in component of vertical
QRegY fit (indicating weak or no top or bottom region
edges); or

3). Shorter x-size ($x_{\text{Max}} - x_{\text{Min}}$) compared to its
neighboring regions or compared to row's average region's
x-size.

Classify Very Large Regions. Large regions are defined
when x-size is much larger than average x-size of the remaining
images with the current row, or much larger than estimated regions
dimension ($x_{\text{EstSize}} = y_{\text{RowStep}}$). Large regions may be acceptable,
but the algorithm 100 will attempt to subdivide them, with relaxed
(QReg) quality-of-fit criterion.

Classify Very Small Regions. Small regions that are
classified as false border regions are deleted as best seen in FIG. 8A-D
(see 810, 820, 830, and 840 respectively). Otherwise, very small
regions are either merged with their most likely neighbor, or deleted
depending on the resulting quality-of-fit Q-Reg. and depending on
separation of the region to its nearest neighbors.

Classify Empty Space Near First or Last Image. The
algorithm recognizes that sometimes-empty space is actually a title or
index page, with much reduced information content. Thus, such
empty space near a first or last image in a current row is fitted to a
potential region, with a relaxed (Q-Reg) quality-of-fit criterion.

Normalize and Refit Optimal Region Sizes. The algorithm

3.3

finds the optimal region size (xMin, yMin, xMax, yMax) and fits it to
2 maximize quality of fit for each region separately (Q-Reg, which is a
linear combination of horizontal Q-RegX and vertical Q-RegY
4 components), The x-size of the region is reduced to maximize Q-RegX
quality-of-fit, thus introducing spacing between consecutive regions.
6 The y-size of the region is reduced to better fit image data, maximizing
Q-RegY quality-of-fit.

8

It should be noted that to assure some degree of uniformity, the
10 y-size of the region is not reduced beyond average yMin and average
yMax of all regions in a current row, as illustrated in case of the
12 narrowest (sixth) region at the bottom of FIG. 7D.

14 e. Detect and Classify Regions Using Image Marks. Image marks
or “blips” are illustrated in FIG. 7A-D. Such marks are detected by
16 convoluting consecutive horizontal image slices with a rectangular
shape corresponding to the shape of the mark. In this regard, if such
18 marks are detected for a current row, and distribution of marks is
statistically similar, that is nearly overlapping, to the distribution of
20 regions detected without assistance of such marks, then image marks
are utilized to assist in optimizing the active image regions:

22

Simple regions are fitted all at once; with x-positions
24 corresponding to detected marks, rather than added one
at a time.

26

Y-coordinate of mark's row is used to automatically cut-
28 off these marks from active image regions, as illustrated
for example in FIGS. 7A-D.

2 Classification of the regions (cases of border, large, small,
split/merge regions) is relaxed or turned off, if marks are
4 interpreted as valid.

6 f. Detect Polarity of Region's Row. For added accuracy, the
algorithm 100 utilizes Multivariate Logistic Regression to accurately
8 detect polarity for each row. The dependent variable (outcome) of
Logistic Regression is Polarity, with two possible outcomes.

10

For each row, regions are detected, fitted and classified
12 assuming positive
(Q-RegPos) or negative (Q-RegNeg) polarity. The Multivariate Logistic
14 Regression model utilizes the difference between statistics of optimal
positive minus optimal negative fir for a current row (Q-RegPos- Q-
16 RegNeg) to predict correct polarity.

18 For any row of regions, if Logistic Regression yields ambiguous
assessment of polarity for a current row, the algorithm 100 will use
20 the same regression model applied to global Q-Reg statistics to
determine and use global polarity setting.

22

g. Use of Row-Only Versus Global Statistics. The algorithm 100
24 assumes that consecutive image rows may contain varying region
formats and sizes, and even opposite polarities. Therefore, mostly local
26 Q-Reg statistics accumulated for each row are utilized in classification
or 2-d region, or polarity detection.

28

For a large majority of fiche formats, shapes and polarity of

35

regions are similar. In such cases, all above region detection,
2 classification and statistically analysis equations may use global Q-
Reg statistics, accumulated for all regions in all rows, rather than Q-
4 Reg statistics for a current row only.

6 If such global uniformity is known ahead of analysis, the user
may select a "global region format" option to take advantage of such
8 between-rows uniformity, to use global Q-Reg statistics. This option
does not assume identical column sizes or spacing, only the same
10 polarity between rows is assumed and "similar" sizes and spacing.
Otherwise the "row-only region format" allows for very diverging
12 format and polarity between rows.

14 Summary

16 The detailed description has outlined an algorithm for automatic
and robust identification of active image areas ("region finding"). This
18 task is critical, because unattended processing of large sequences of
microfiche images requires automatic detection of image areas,
20 without operator involvement.

22 In short then, after regions are identified with a low-resolution
prescan image, the active image regions are captured with a high-
24 resolution line scan camera, for subsequent digitization (film-to-digital
conversion). The algorithm 100 was tested with a batch containing a
26 wide array of image formats, and is designed to identify regions in
most fiche formats, except for computer-generated COM-fiche.

28

Considering now the image region finding algorithm 100 in

36

greater detail with reference to FIGS. 2-4, the algorithm 100 begins at
2 a start command 102 that is initiated whenever a microfiche aperture
card is detected within the prescan station 14. Upon the detection of
4 such an aperture card, the system proceeds to a call command 104
that initiates an image capture and stitch subroutine 300 (FIG. 3) that
6 will be described hereinafter in greater detail. It should be noted at
present that the capture and stitch subroutine 300 takes the series of
8 overlapping microfiche images and maps or stitches them into a
dewarped image format indicative of a full low resolution image of the
10 microfiche card.

12 Upon exiting the stitch subroutine 300, the system returns to
the algorithm 100 at a move command 106 that causes the transport
14 13 to move the microfiche card to the conversion station 18. Next, a
call command 109 calls a find active image area subroutine 400 (FIG.
16 4) that will be described hereinafter in greater detail. It will suffice to
state however, that the find active image areas subroutine 400
18 substantially resolves unknown and fuzzy image formats so that
active image areas and boundaries are precisely defined for the
20 conversion station 18 processes. In short, before the microfiche card
reaches the document or image conversion station 18, all image
22 positions have been identified and all image boundary areas have been
established via the low resolution image analysis performed by the
24 find active image areas subroutine 400.

26 Upon exiting the subroutine 400 the system returns to
algorithm 100 to a determination step 111 to determine whether the
28 microfiche has advanced into position at the conversion station 18.

37

2 If a determination is made that the card has not advanced into
position at the conversion station 18, the system waits at step 111
4 until the card has been positioned so as to capture the first identified
active image region. Once the card has been positioned, the system
proceeds to a call step 113 that calls the capture high resolution
6 image and enhance subroutine 500 (FIG.5) that will be described
hereinafter in greater detail.

8

After the subroutine 500 has been executed, control is returned
10 to the algorithm 100 by executing a determination step 115. At
determination step 115 a determination is made as to whether
12 another microfiche has been detected in the card feeder 15. If another
card has not been detected, the system advances to an exit step 117.
14 If another microfiche has been detected at the determination step 115,
the system returns to the call command 104 and proceeds as
16 previously described.

18 A. Capture and Stitch Algorithm

Considering now the image capture and stitch subroutine 300
20 in greater detail with reference to FIG. 3, the image capture and stitch
subroutine 300 begins at a start command 302 each time the call
22 command 104 (FIG. 2) is executed. The subroutine advances from the
start command 302 to a position determination step 304 that verifies
24 that a first portion of a microfiche is positioned in the prescan station
14. If the first portion of the microfiche is positioned, the subroutine
26 proceeds to a capture and store image command 306 that causes the
low resolution camera 16 to capture a first slice image of the card. If
28 the first portion of the microfiche card is not in position for image
capture purposes at determination step 304, the subroutine waits at

38

the determination step 304 until the card has been positioned for
2 image capture purposes in the prescan station 14.

4 After the first slice image of the card has been captured and
stored, the subroutine advances to a determination step 308 to
6 determine whether the captured image slice was the last slice required
to form a full low resolution image of the microfiche. If the captured
8 slice was the last slice, the subroutine advances to a retrieve and
dewarp command 309. The retrieve and dewarp command 309 causes
10 each capture slice of the current microfiche to be retrieved from
storage so that the dewarping transform determined during the
12 calibration algorithm 150 can be applied to the retrieved image. The
dewarping transform corrects for any distortion in the low-resolution
14 image caused by the lens of the video camera 16.

16 Once each slice of the low resolution image has been dewarped
at the command step 309, the system proceeds to a stitch command
18 310 that causes the dewarped slices to be stitched together to form a
whole low resolution image of the microfiche .

20

If a determination was made at step 308 that the captured slice
22 was not the last slice, the subroutine proceeds to a move command
312 that causes the microfiche to be advanced a predetermined
24 distance D. The predetermined distance D is a sufficient distance to
permit overlapping image slices to be captured by the low resolution
26 video camera 16.

28 After the move command 312 is executed, the subroutine goes
to a determination step 314 to determine whether the card has been

39

advanced the distance Γ . In this regard, if the card has not advanced
2 the distance D, the subroutine loops at the determination step 314
until the card has advanced. Once the card has been advanced a
4 distance D, the subroutine advances to the capture and store
command 306 to capture another slice proceeding as described
6 previously.

8 As noted earlier, the command step 310 causes the various ones
of the dewarped low resolution image slices to be stitched together
10 using a conventional image stitching algorithm. That is the position of
neighboring image slices is known from reading the transport
12 encoders. Their overlapping image regions are registered to confirm
exact match between image slices. For further details about such
14 stitching algorithms reference can be made to Brown, Lisa Gottesfeld
"Survey of Image Registration Techniques" ACM COMPUTING
16 SURVEYS. ACM Computing Surveys v 24, n4, Dec. 1992. pp325-376.
As such an image-stitching algorithm is conventional, the algorithm
18 will not be described hereinafter in greater detail.

20 Once a full low resolution image of the microfiche has been
formed for image analysis purposes, the subroutine advances to a
22 return command 316 that returns the system to the algorithm 100 by
exiting the call command 104 (FIG. 2).

24

B. Find Active Image Areas Algorithm

26 Considering now the find active image areas algorithm 400 in
greater detail with reference to FIG. 4, the find active image areas
28 algorithm 400 begins at a start command 402 when the call command
109 (FIG. 2) is executed. From the start command 402, the subroutine

40

400 proceeds to a retrieve command 404 that causes the low resolution stitched image to be retrieved. The algorithm then advances to a skew correction command 406 that causes a skew correction algorithm to be executed to correct the retrieved image for any skew problems. The method for correcting skew is well known to those skilled in the art and thus, the skew correction algorithm will not be discussed in any greater detail. That is, there are extensive literature references on skew detection, and method types such as: Projection Profile Analysis, Hough Transform, and FFT, among others. The present embodiment of the invention utilized the Projection algorithm, such as described by Postl or evaluated by Bagdanov (See Postl, W. "Detection of Linear Oblique Structures and Skew Scan in Digitized Documents," Eighth International Conference on Pattern Recognition- Proceedings, Paris, France; Proceedings- International Conference on Pattern Recognition 8th. Publication by IEEE, New York, NY, USA. (Available from IEEE Service Cent [Cat n 86CH2342-4], Piscataway, NJ, USA pp687-689; and Bagdanov, Andrew D. et al. "Evaluation of Document Image Skew Estimation Techniques," Document Recognition III, San Jose, CA, USA, Proceedings of SPIE- The International Society for Optical Engineering v2660 1996. Society of Photo-Optical Instrumentation Engineers, Bellingham, WA, USA, pp343-353.). Although Projection Profile Analysis was utilized in the preferred embodiment of the present invention, it should be recognized by those skilled in the art, that other skew correction techniques could be utilized as well. Accordingly, there is no intention of limiting the scope of the present invention to the skew correction algorithm described herein. In summary then, it should be noted that skew correction is performed to provide a set of uniform row boundaries for further image processing purposes.

4/()

2 Next, the algorithm proceeds to a fit command 408 that fits the
optimized uniform rows patterns to a set of low resolution image
4 boundaries determinations (n number of rows uniformly spaced from
one another by a distance of d_1 centimeters. (See earlier discussion for
6 this process). Stated otherwise, to fit uniform row patterns, the
program maximizes Q-Fit as discussed previously. In short then, the
8 plus and minus row boundaries lines in the x direction are then
stored for facilitating the movement of the high resolution camera 20
10 as will be explained hereinafter in greater detail.

12 After storing the boundary limits for each of the image rows, the
program advances to a select row for analysis command 410. Rows
14 are selected by the command 410 commencing at the row identified
row and then proceeding to a next row until all of the image rows have
16 been analyzed as will be explained hereinafter in greater detail.
Once the first row or the current row has been selected for analysis
18 the programs advances to a command step 412 that fits the non
uniform column region borders using intensity and variance pattern
20 information regarding a predetermined y column of image information
as previously described. In short, command step 412 for the current
22 selected row, fits the non uniform region borders and maximizes Q-
Reg as described previously.

24

 The program then proceeds to a find command 416 that seeks
26 to located any image marks or "blips" that may or may not be present
in the current image row. The program then advances to a
28 determination command 418 to determine whether marks and regions
are similar via the number of marks and the size of the marks

42

detected.

2

At the determination step 418, if the image markers are not present and similar to the detected regions, the program advances to a command step 420 that for the current selected row, adjusts, splits, merges, classifies and rejects, regions within the column pattern as discussed previously. Alternately, at the determination step 418 if the image markers are present and similar to the detected regions, the program advances to a command step 422 that fits regions within the current row by shifting the marker pattern only. After executing either command step 420 or command step 422, the program proceed to a determination step 423 to verify that all rows within the retrieved low resolution stitched image have been processed.

14

If all image rows have not been processed or analyzed, the program goes to the select command step 410 to select another row for analysis and proceeds as described previously. This process is repeated iteratively until all the active image areas and borders have been identified and stored. If all rows have been analyzed, the program goes to a return command 426 that causes the program to return to the main program at step 111.

22

II. IMAGE ENHANCEMENT

Before discussing the image enhancement and conversion processes performed in the conversion station 18, it may be beneficial to consider first the various processes in a simplified manner involving the following steps:

1. Using the high resolution line scan camera 20 to capture each active region identified via the region finding algorithm 100 by

43

moving the camera 20 in an orthogonal direction (the Y direction) to the microfiche which is traveling in the X direction.

2. Focusing the camera 20, using an auto-focus algorithm 1200, for the first captured image of each fiche, or the first image of the first fiche of a sequence.

3. For text processing, in a binary output mode of operation, removing background noise and other artifacts associated with speckles, scratches, and illumination artifacts, using an image enhancement algorithm 500.

4. For image processing, in a gray scale output mode of operation, enhancing and sharpening picture appearance using the image enhancement algorithm 500. Processing of image information in the gray scale output mode of operation is accomplished in a non invasive manner that does not fundamentally alter appearance of complex graphics and pictures and without distorting fine image features and graphic shapes.

5. Apply supplemental image processing methods to remove image skew and to re-scale images by non-integral magnification and reduction ratios using a skew correction algorithm and a re-scale algorithm respectively.

A. Image Enhancement Algorithm

Considering now the image enhancement algorithm 500 in greater detail with reference to FIG. 5, the image enhancement algorithm 500 enhances and improves scanned high-resolution microfilm scanned images. In this regard, as will be explained hereinafter in greater detail, the image enhancement algorithm 500 accomplishes the following functions:

4/4

1. speckle removal, which is important for binarizing text
2 images;
2. image restoration, which is important for non-text gray scale
4 graphic images;
3. scratch removal, such as film scratches and lines detected
6 during the scanning process to help facilitate the restoring of original
image content relative to the information obscured by such scratches
8 and lines; and
4. background removal.

10

The image enhancement algorithm 500 is initiated whenever the
12 call command 113 (FIG. 2) is executed to call the capture and enhance
subroutine 500. In this regard, the image enhancement algorithm 500
14 begins at a start command 502 and advances to a move command
504 that controls the relative movement of the microfiche and the high
16 resolution line camera 20 in the conversion station 18. In other words,
the command causes the camera 20 to move in an orthogonal
18 direction (a Y direction) relative to the movement of the microfiche
(that travels in an X direction) to facilitate the capturing of the first
20 active image area identified via the find active image region algorithm
400. In short then, the command 504 causes the high resolution
22 camera 20 to be moved to an appropriate position for capturing the
first image of the first fiche of a sequence as identified by the region
24 finding algorithm 400.

26 After executing the move command 504, the system proceeds to
a determination step 506 to verify that the microfiche and camera 20
28 have been positioned to capture the first identified active image region
on the microfiche .

45

2 Once the card and camera 20 have been positioned, the system
advances to a determination command 508 to determine whether the
4 image to be captured image is the first image of the first fiche
sequence. If the captured image is the first image of the fiche, the
6 program proceeds to a call focus command 510 that initiates the auto-
focus algorithm 1200 that will be described hereinafter in greater
8 detail. After the call focus command 510 and the associated auto-
focus subroutine 1200 have been executed, the system proceeds to a
10 capture command 512 that causes the high-resolution camera 20 to
scan the determined location area of the first fiche.

12

 If the image is not the first image of the first fiche sequence, the
14 system program advances directly to the capture and store command
512 that causes the high resolution camera 20 to scan the determined
16 location area of the current fiche location. Next, a determination
command 514 is executed to determine whether the sequence of
18 microfiche being processed is primarily textual images.

20 If a determination is made that the sequence being processed is
primarily textual images, the programs goes to a call enhance text
22 processing command 516 that initiates a remove background noise
subroutine 600 (FIG. 6) that will be described hereinafter in greater
24 detail. If a determination is made that the sequence being processed is
not primarily textual images, the program proceeds to a call enhance
26 graphic processing command 518 that initiates a graphic process
subroutine 700 that will be described hereinafter in greater detail.

28

 After exiting the subroutine 600 or 700 as the case may be, a

46

determination step 522 is next executed to determine whether there
2 are any remaining identified active image regions on the microfiche. If
a determination is made that there are no additional identified active
4 image regions, the system goes to a return command 523 that returns
to the main algorithm 100 at the determination step 115 where the
6 program proceeds as described previously.

8 If a determination is made at step 522 that other active image
regions have been identified, the program advances to a command
10 step 524 that causes the camera 20 and the microfiche to be moved
relative to one another to a position to capture the next identified
12 active image region. The system then advances to a determination step
526 to determine whether the card and camera 20 have been properly
14 positioned.

16 If the camera and card have not been positioned, the program
returns to the command step 524 and proceeds as described
18 previously. If the camera 20 and card have been positioned to capture
the next active image region, the program advances from the
20 determination step 526 to a go to command 528 that causes the
program to return to the capture step 512. The program then proceeds
22 from the determination step 514 as described previously.

24 From the foregoing, it should be understood by those skilled in
the art that whenever a determination is made that there are no
26 remaining active image areas to be captured and processed, the
program returns to the main program 100 at the determination step
28 115 to determine whether another microfiche requires processing. In
short then, image processing is accomplished in a fast, efficient and

47

novel manner without the need of operator intervention except for
loading and unloading of the microfiche.

B. Enhance Text Algorithm

Considering now the enhance text algorithm 600 in greater detail with reference to FIG. 6, the algorithm 600 begins at a start command 602 that is initiated by the call command 516. From the start command 602, the program proceeds to a call remove command 604 that causes a remove non-uniform background information algorithm to be executed. This type of algorithm is well known to those skilled in the art and will not be described hereinafter in greater detail. For example, Castleman describes normalization of slow-varying non-uniform background illumination. Pratt describes in more detail the Homomorphic filter utilized to remove a illumination component from unevenly illuminated images (See Castleman, K.R., "Digital Image Processing," Prentice Hall, 1996, Chapter 7.3.2 p. 109 and Chapter 11; and Pratt, W.K., "Digital Image Processing, 2nd ed., John Wiley & Sons, 1991, p. 289).

After the remove non-uniform background information algorithm is executed, the program returns to enhancement algorithm 600 at a call scratch removal command 606. The call command 606 calls a scratch removal algorithm that enhances the text image by removing any noise indicative of image scratches. Such algorithms are well known to those skilled in the art and will not be described hereinafter in greater detail. For example, scratches extending across an entire image frame are detected and removed using a combination of two methods: the Hough Transform method and the statistical

sampling method. (See Davies, E.R., "Machine Vision: Theory,
2 Algorithms, Practicalities, " 2nd ed., Academic Press 1997: Chapter 8
and 10; and Morris, Robin D. et al. "Sampling Based Approach to Line
4 Scratch Removal from Motion Picture Frames," Proceedings of the
1996 IEEE International Conference on Image Processing, ICIP 1996,
6 Part 1 (of 3); Lausanne, Switz. IEEE International Conference on
Image Processing v I, 1996, IEEE, Los Alamitos, CA., USA,
8 96CH35919, p.801-804].

10 After the scratch removal algorithm is executed, the program
returns to the enhancement algorithm at a call command 608 that
12 calls a speckle removal algorithm. It should be noted that simple
image processing filters, such as low-pass, median or morphological
14 filters are not adequate for removing speckle-type noise. These filters
either distort the original image, or do not affect the speckle noise. The
16 adaptive filters defined as a function proportional to the local
information content of an image (such as local normalized variance:
18 variance/mean) can successfully suppress speckle-type noise, without
distorting the original image. In this regard, the LLLMMSE filter
20 ("Local Linear Minimum Mean Standard Error Filter") removes speckle
over homogeneous areas with speckle noise, whereas edges and high-
22 contrast features are preserved, described by Aiazzi and Kofidis. Aiazzi
describes multi-resolution pyramid application of filters layers,
24 improves final image and assuring size-independent enhancement of
noisy images. (See Aiazzi, B. et al. "Multi-resolution Adaptive Speckle
26 Filtering: A Comparison of Algorithms," Proceedings of the 1997
IEEE International Geoscience and Remote Sensing Symposium,
28 IGARSS '97, Part 2 (of 4), Singapore, Singapore; International
Geoscience and Remote Sensing Symposium (IGARSS) v 2 1997,

4{

IEEE, Piscataway, NJ, USA, 97CH36042, p1054-1056; and Kodidis,
2 Eleftherios et al. "Nonlinear Adaptive Filters For Speckle Suppression
in Ultrasonic Images," Signal Processing, Signal Processing v 52 n3
4 Aug. 1996, pp357-372.) As such speckle removing algorithms are well
known to those skilled in the art, the speckle removal algorithm will
6 not be described hereinafter in greater detail. It should suffice to state
that the algorithm enhances the text image by removal of any speckle
8 artifacts associated with the text image.

10 After the speckle removal algorithm is executed, the program
returns to the enhancement algorithm 600 at a call command 610
12 that causes a threshold algorithm to be executed. This algorithm
thresholds the text images to a binary black and white format. As
14 such a threshold algorithm is well known to those skilled in the art,
the algorithm will not be described hereinafter in greater detail. For
16 example, the simple global threshold described by Ridler works well to
segment text images, already normalized after background
18 subtraction. Davies summarizes thresholding methods applied to text
images. (See Ridler, "Picture Thresholding Using Iterative Selection
20 Method," IEEE Trans. Systems Man Cybernetics, v. SMC-8, 1998, p.
630; and Davies, E. R., "Machine Vision: Theory, Algorithms,
22 Practicalities," 2nd ed., Academic Press 1997: Chapter 4).

24 After the threshold algorithm is executed, the program advances
to a return command 612 that returns to the enhancement
26 subroutine 500 at the determination command 522 (FIG. 5) where the
program proceeds as described previously.

28

C. Enhance Graphics Algorithm

57

2 Considering now the call enhance graphic algorithm or
subroutine 700 in greater detail, the enhance graphics algorithm
4 provides non-invasive sharpening of the graphic images. Non-invasive
sharpening of graphic images improves appearance of the image
6 without distorting its content. The enhance graphics algorithm 700
utilizes a conventional technique for image sharpening using (a)
8 Unsharp Masking and a (b) Wallis Statistical Differencing as described
by Pratt. (See Pratt, W. K., "Digital Image Processing," 2nd ed., John
10 Wiley & Sons, 1991, chapter 10.4 pp.304-309). Since such non-
invasive sharpening processes are well known to those skilled in the
12 art the enhance graphics algorithm 700 will not be described in
greater detail.

14

D. Auto Focus Algorithm

16

 Considering now the high resolution auto-focus algorithm 1200
18 in greater detail with reference to FIG. 12, the auto-focus algorithm
1200 causes the high resolution camera 20 to be precisely focused for
20 image capture purposes. In a broad perspective, the high-resolution
auto-focus subroutine 1200 causes the image picked up by the high-
22 resolution camera 20 to be analyzed at varying consecutive camera
focus settings, to automatically determine an optimal lens focus
24 position. Stated otherwise, the purpose of the auto-focus image
calibration is to fine-tune optimal focus of camera-lens optics in the
26 document conversion station 18. In this regard, the high resolution
auto-focus subroutine 1200 causes the camera-lens optics in the
28 document conversion station 18 to be moved relative to a piece of
microfiche to sufficiently focus the image on the microfiche for

57

conversion purposes. After moving the camera-lens optics of the document conversion station 18 to a sufficiently focused position, the document conversion station 18 causes a series of the microfiche images to be captured in what is called an "auto-focus series" at varying focus settings around the optimal point initially determined. From the auto-focus series, the high-resolution subroutine 1200 measures focus for each captured image in the series and then identify an optimal focus camera-lens position. In summary then, the auto-focus algorithm 1200 exhibits the following properties:

- a. Computationally efficiency since the algorithm is able to quickly computes the optimal settings;
- b. single function peak, monotonically decreasing as image becomes out of focus; and
- c. robust in the presence of noise; auto-scaling response, independent of illumination and without arbitrary thresholds.

More particularly, the auto-focus algorithm 1200 (FIG.12) employs two independent auto-focus subroutines 1210 and 1260, respectively, that function simultaneously on different orthogonal aspects of a captured image. That is, the two independent auto-focus subroutines 1210 and 1260 evaluate image focus in parallel, assuring reproducible and robust calibration. It should be noted that the auto-focus algorithm 1200 must be a) computationally efficient, that is quick to compute; b) contain single function peak, monotonically decreasing as the image becomes out of focus; c) robust in the presence of noise; and (d) auto-scaling response, independent of illumination and without arbitrary thresholds. Yeo and Krotkov describe and evaluate multiple functions to measure auto-focus, and

52

agree to two best choices:

2

(a) "Variance" function performs best in the presence of noise,
and smoothly decreases on both sides of the focus peak. However, the
peak may be wide.

6

(b). "Tenegrad" function (a gradient-type function) is suitable to
precisely identify the peak, after it is approximated by the
"variance f" function.

10

Ligthart comes to a similar conclusion after evaluating similar
functions, recommending "Variance" and "Square-gradient" functions.
All three papers disclose detailed test results with multiple images and
patterns, and effectively arrive at similar conclusions, with extensive
evaluation and testing data. (See Yeo T.T.E. et al. "Auto-focusing for
tissue microscopy, Image and Vision Computing v 11 n 10 Dec. 1993,
pp629-639; Krotkov, "Focusing, Int. Journal computer Vision, v 1,
1997, p223; Ligthart, Guido et a. "Comparison of Different Auto-focus
Algorithms," Proceedings -6th International Conference on Pattern
Recognition. Munich, West Ger. Proceedings- Internal conference on
Paten Recognition 6th Pub. by IEEE, New York, NY, USA, available
from IEEE Service Cent (Cat n 82CH1801-0), Piscataway, NJ, USA
pp597-600). As such algorithms are well known to those skilled in the
art, the auto-focus algorithm 1200 will not be described in greater
detail. It should be noted however, that although such algorithms
independently are well known to those skilled in the art, the idea of
using such algorithms in combination is not. None of the references
discussed herein suggest nor teach the combining of such algorithms.

53

The information processing system 10 further includes an automatic image skew detection and inter-pixel interpolation correction algorithm. The skew correction algorithm facilitates fast skew correction that extends to correcting arbitrary rotation angles for crooked scans. In this regard, the correction algorithm functions with images at any dot-per-inch resolution, regardless of image complexity based on edges, lines or text. Such skew correction algorithms are well known and will not be described hereinafter in greater detail. For examples, see the earlier discussion on skew correction.

Supplemental Image Processing Tasks

A. Image Re-Scaling Algorithm

Considering now the image re-scaling and re-sampling algorithm 1100 in greater detail, the algorithm inter-pixel interpolation is applied to scaling of images by non-integral magnification and reduction ratios. In this regard, the effect is similar to application of rotation by arbitrary angle, where a non-integral pixel corresponding problem may occur. Without inter-pixel interpolation, non-uniform edges may result due to underlying pixel resolution of processed image. The interpolation algorithm intelligently uses gray-scale to rectify this problem to preserve shapes and objects from original images. See for example, Pratt. W.K. "Digital Image Processing," 2nd ed., John Wiley & Sons, 1991, chapter 14, p421.

IV. SYSTEM DETAILS

Considering now the prescan station 14 in still greater detail with reference to FIG. 1, the prescan image analysis station 14 in addition to automatically detecting of active image areas on light

54

passing documents also facilitates the shuttling of cut film F as
2 illustrated in FIG. 10E and aperture cards, such as the aperture card
11F (FIG. 10F) through a scan aperture SA (FIG. 1) while maintaining
4 required card and film flatness and tracking necessary for image
identification and enhancement purposes. In this regard, the media
6 transport 13 accommodates both microfiche and aperture cards and
the combination microfiche/aperture card feeder 15 that permits
8 either cut film F or aperture cards 11F to be delivered to the media
transport 13 for subsequent scanning purposes. The card feeder 15
10 utilizes card feeding techniques found in conventional aperture card
feeders capable of accommodating different types of media such as
12 microfiche cards, punched cards, or jacketed 16mm film strips that
simulate microfiche. An exemplary card feeder is described in
14 copending patent application serial no. 09/504,254.

16 It should be noted that prescan in the prescan station 14 is a
function that must occur prior to scanning individual images on a
18 microfiche in the conversion station 18. That is, through the processor
22 and the algorithm 100 described previously, image locations are
20 precisely determined and subsequently logged, in order to facilitate
high resolution scanning in a predetermined order in the conversion
22 station 18. In this manner, only active image areas on the aperture
card or microfiche are scanned, with empty spaces on the aperture
24 card or microfiche being ignored to maximized system throughput.

26 The processor 22 is the primary controller for all scanning
operations in that the processor 22 maintains microfiche or aperture
28 card position, responds to interface commands and formats scan data
stored on a system hard drive 24 for host transmission via a

55

transmission device, such as a modem 28, and a communication
network 30. In addition, the processor 22 performs image
enhancement and other image processing functions some of which are
quite rigorous. In order to provide a user with easy access to the
processor 22 it is contemplated that various input/output devices (not
shown) such as a monitor, a keyboard and a mouse can be coupled to
the processor 22 via an existing port utilized by a host device 31.

8

In order to facilitate an accurate prescan operation, the prescan
station 14 includes an auxiliary encoder 39 to help facilitate the
determining of card or microfiche positioning in the prescan station
14. The encoder 39 is disposed in the media path P just following the
feeder 15 and just before the SA. The encoder bears very lightly on the
passing media since its purpose is merely to detect a leading edge
portion of the media. In this regard, once the media position is
established, position keeping then relies entirely upon a motor shaft
encoder (not shown) coupled to a drive shaft for pinch rollers 38.
Since all four sets of pinch rollers are belt-coupled to a single motor,
media engagement with any set of them will meter microfiche position.

20

The auxiliary encoder 39 also provides a 400 pixel per inch
clock for the prescan camera 16. The prescan occurs about 1.25
inches past the auxiliary encoder 39 and about 3.8 inches short of the
primary scan aperture SA1. Since a microfiche is about 6 inches in
length, the microfiche must pass beyond the primary scan aperture
SA1 to complete the prescan operation, and then back up about 2
inches to place its leading edge back within the capture area of the
prescan aperture SA1. The advantage of causing the microfiche to
reverse direction is that the overall length of the transport 13 is

56

substantially reduced. Moreover, no time is lost since the microfiche
moves backward in about the same time that it takes the processor 22
to process the prescan data.

4
The low-resolution camera 16 captures an entire microfiche or
aperture card at a resolution of about 200 pixels per inch. The scan
rate of approximately 200 dpi is equivalent to a transportation rate of
about 1.7 inches per second. Accordingly, at the scan rate of 200 dpi,
the prescan camera 16 is able to capture the entire microfiche or
aperture card in about 3.5 seconds. Processing this prescan data to
find the active image areas takes between about 1 second and about 2
seconds as mentioned earlier.

14 In order to illuminate uniformly the media passing through the
prescan station 14, the system 10 includes the electro-luminescent
panel 23. The electro-luminescent panel or flat panel illuminator 23
has virtually no depth and is mounted in alignment with the low-
resolution camera 16 in such a manner to uniformly illuminate a 1-
inch wide by 4-inch high card. These are sufficient dimensions to
permit strips of the microfiche or aperture card to be captured and
stitched together electronically as has been explained herein in greater
detail.

24 As best seen in FIG. 1, the media transport 13 holds the media
in a relatively stationary position for scanning purposes. That is,
unlike conventional microfiche scanners, the transport 13 includes no
X-Y mechanism to move the microfiche about. Instead, horizontal or
X-axis positioning of the microfiche or aperture card is accomplished
by pinching and scrolling the microfiche or aperture card between a

57

set of pinch rollers indicated generally at 38. Vertical or Y-axis
2 positioning is unnecessary in the prescan station 14 since the camera
16 and objective lens 36 are set to a wide angle that is sufficient to
4 capture the entire height and a substantial horizontal portion of the
microfiche as previously discussed.

6

It should be understood by those skilled in the art that critical
8 to this method of media transport is the ability to maintain microfiche
position control very precisely and to keep the microfiche acceptably
10 flat within the scan aperture SA. The scan aperture SA is sufficiently
narrow, and the microfiche is sufficiently stiff, that edge to edge
12 scanning is possible since the microfiche lies well within the depth of
focus of the objective lens 36.

14

In operation, microfiche or tab cards are loaded on-edge in a
16 media-receiving tray 40 forming part of the media feeder 15. When the
prescan processor 22, under the control of a transport control
18 algorithm (not described), initiates a feed command, a rubber-covered
drive roller 44 slides a bottom one of the stacked microfiche out from
20 the tray stack. A retard roller 46, disposed at a leading edge portion of
each microfiche as the microfiche is moved from the bottom of the
22 stack, prevents more than one microfiche from being transported from
the tray 40. Stated otherwise, the retard roller 46 generates an
24 opposing force action causing any excess microfiche pulled from the
tray 40 to be moved back onto the bottom of the stack in the tray 40.
26 The opposing drive roller 44 and retard roller 46 always rotate in a
single direction causing the media to separate and exit the tray stack.
28 Media moved from the tray 40 is then scanned using the low-
resolution television camera 16.

58

2 Considering now the document conversion station 18 in greater
detail with reference to FIG. 1, the document conversion station 18
4 includes a document conversion processor 19 and associated servo
systems 24 that are coupled to the prescan image processor 22 to
6 facilitate the focusing of the high resolution line scan camera 20. Such
focusing of the line scan camera 20 is a key feature of the present
8 invention enabling system operation with no operator manual
intervention. An automatic focus algorithm 1200 evaluates a scanned
10 image and then determines and commands an optimal focus position
for a Z-axis lens carriage unit 21 forming part of the document
12 conversion station 18.

14 In order to illuminate properly the light passing media with a
light source that minimizes resolution loss due to scattered light, the
16 document conversion station 18 further includes an illumination
system 25. The illumination system 25 collimates and substantially
18 uniformly illuminates the light passing media with balanced light. In
this regard, the illumination system 25 includes a unique embodiment
20 that employs a parabolic trough reflector 26, a relatively inexpensive
plastic Fresnel lens 27 and a halogen lamp 29. The lamp 29 is
22 positioned inside the focal length of the lens 27 and thus, light is
gathered in much the same way as if a point filament lamp were
24 placed at lens focus. The difference is that the filament image is out of
focus everywhere, making illumination much more uniform without
26 excessive loss of collection efficiency. The lamp 29 is also placed off-
axis so that the lens 27 does not focus the lamp image directly. The
28 reflector 26 collects the light and deflects it 90 degrees toward infinity.
Since the parabolic reflector 26 has power in only the horizontal axis,

59

light is widely dispersed vertically. While this arrangement reduces
2 efficiency, it ensures that the usable rectangular strip beam of light
generated is very uniform in intensity along its entire length and
4 width.

6 Considering now the lamp 29 in greater detail, the lamp 29 is a
150- watt, 120- volt halogen-filled device intended for external
8 reflector flood-lamp applications. Unlike most small-filament projector
lamps, the lamp 29 has a rated life of about 1500 hours whereas most
10 projector lamps of the same rating have a rated life of about 50 hours.
In this regard, the lamp 29 is operated at a constant intensity,
12 stabilized by the action of a light sensor and a light control loop (not
shown). The lamp 29 is operated directly off a rectified input AC power
14 source to reduce the regulated power taken from an internal 12 volt
power source (not shown). The intensity of the lamp is stabilized by
16 controlling lamp current through a pulse width modulated series
MOSFET transistor (not shown). The modulation frequency is high
18 enough to avoid lamp flicker and to eliminate 120 HZ ripple from the
rectified power. The lamp 29 is cooled by force air from a small fan
20 (not shown). The cooling air also lessens the heat radiating toward the
lenses 27.

22

As best seen in FIG. 1, the media transport 13 holds the media
24 in a relative stationary position for scanning purposes. That is, as
mentioned previously the transport 13 includes no X-Y mechanism to
26 move the microfiche or aperture card about. Instead, horizontal or X-
axis positioning is accomplished by a set of closed spaced apart steel
28 rollers indicated at 32 and 34 respectively. Vertical or Y-axis
positioning is achieved by moving the high-resolution camera 20 and

60

its associated lens, via the carriage lens unit 21, in an up and down
2 manner relative to the microfiche or aperture card as the case may be.

4 Considering now the carriage lens unit 21 in greater detail with
reference to FIG. 1, the carriage lens unit 21 supports for rectilinear
6 movement in an up and down direction and in a side to side direction
a plastic Fresnel lens 33. The lens 33 is about six inches in length and
8 about one inch in width. The near side of the lens 33 is focused at a
point behind the parabolic reflector 26, while its opposite side focuses
10 at infinity. Directly behind the lens 33 is another Fresnel lens 35 that
focuses at a point behind the high-resolution camera 20 when
12 magnification of the conversion station 18 is set at a maximum
magnification of about 5.3 times normal. The net effect is to illuminate
14 the microfiche or aperture card with a nicely collimated strip of light
about 1/4 inches in width by about 5-inches in length with the
16 filament image of the lamp 29 completely eliminated. In short then,
the illumination is very uniform end to end over the useful vertical
18 dimension.

20 Considering now the lenses 33 and 35, each of the lenses 33
and 35 is formed of molded plastic having a series of concentric
22 annular grooves. The annular grooves approximate that of a plano-
convex glass lens of much a greater thickness. In short then, the
24 lenses 33 and 35 are akin to ordinary glass lenses with spherical
curvature. However, since each of the lenses 33 and 35 are only about
26 one inch in width, lens curvature distortion can be ignored.

28 Considering the illumination system 25 in still greater detail,
the illumination system 25 is constructed to provide uniform light.

6/

1 The system 25 illuminates only a narrow strip of the microfiche or
2 aperture card instead of an entire media area. This is made possible
since scanning is accomplished by moving the media horizontally in a
4 scrolling like manner.

6 Flatness of the microfiche traveling through the conversion
station 18 is assured with the four closely spaced set of steel rollers 32
8 and 34 respectively. The rollers 32 and 34 are spaced so those rollers
32 are disposed on one side of the scan aperture SA1 with rollers 34
10 disposed on the opposite side of the scan aperture SA1.

12 Referring now to the drawings and more particularly to FIG. 13,
there is illustrated an image processing system 1310 that is
14 constructed in accordance with the present invention. The image
processing system 1310 is substantially similar to image processing
16 system 10 except the system does not include a low-resolution
camera, like the low-resolution camera 16. Instead, the system 1310
18 includes a single high-resolution camera 1320 that is moveable by
means not shown between a prescan station 1314 and a conversion
20 station 1318. The high resolution camera 1320 has a rotatable lens
1322 for providing in a low resolution setting indicated generally at
22 1324 the ability to capture low resolution images, and for providing in
a high resolution setting indicated generally at 1326 the ability to
24 capture high resolution images.

26 In operation, the camera 1320 is first moved to the prescan
station 1314 to facilitate the capture of low-resolution microfiche
28 images which are stitched together in the same manner as described
relative to the image processing system 10. Once a low resolution

67

microfiche image is retrieved, the camera 1320 is transported to the
2 conversion station 1328 where the camera 1320 is utilize to capture
only the identified active image areas disposed on the microfiche. In
4 this regard, the lens 1322 of the camera is set to the high resolution
setting 1326 allowing the camera to capture high resolution images of
6 the identified active image area for image conversion purposes as
described previously relative to the system 10.

8

Referring now to the drawings and more particularly to FIG. 14,
10 there is illustrated an image processing system 1410 that is
constructed in accordance with the present invention. The image
12 processing system 1410 is substantially similar to image processing
system 10 except the system includes only a single image processing
14 station 1414 having mounted therein a fixed low resolution camera
1416 and a pair of high resolution cameras, such as the camera 1420
16 and 1422. The high-resolution cameras 1420 and 1422 are moveable
by means not show to allow the high resolution capture of small
18 microfiche areas and large microfiche areas.

20 It will be appreciated by those skilled in the art that the present
invention can be embodied in other specific forms without departing
22 from the spirit or essential characteristics thereof. For example, while
the embodiments above have been described with reference to a
24 separate low resolution pre scan camera 16 and one or more high
resolution cameras, such as the camera 20, it is contemplated that a
26 single high resolution camera (in a low resolution setting, if applicable)
can be utilized both for the low resolution prescan operations and the
28 high resolution active image area scanning by moving such a camera
between the prescan station and the conversion station, or alternately

63

having a single station structure for both the prescan operations and
2 the conversion operations. As another example, while the
embodiments above have been described with reference to microfiche,
4 jacketed fiche or aperture cards, the invention is also applicable to
other types of light passing media including, for example, punched
6 cards, cut film, cut light passing media and roll film. The presently
disclosed embodiments are therefore considered in all respects to be
8 illustrative and not restricted. In this regard, the scope of the
invention is indicated by the appended claims rather than the
10 foregoing description and all changes that come within the meaning
and range and equivalence thereof are intended to be embraced
12 therein.

14

16

18

20

22

24

26

28

6/4

2 We claim:

- 4 1. An unattended image processing system (10), comprising:
low resolution scanning means (14) for providing an image
6 data file indicative of a microfiche card image having a plurality
of discrete microfiche images arranged in at least one row;
8 row finding means for finding the location of said at least
one row of discrete microfiche images; and
10 quality-of-fit means responsive to said row finding means
for fitting each individual one of the discrete microfiche images
12 in said at least one row in determined height and width
dimensions; and
14 high-resolution scanning means (18) for capturing a high-
resolution image of each discrete microfiche image in said at
16 least one row and for converting each image into another image
data file.
18
- 20 2. The unattended image processing system (10) according
to claim 1, wherein said quality-of-fit means includes a region
finding algorithm to detect non-uniform image regions within
22 said at least one row of discrete microfiche images and to fit
them within said at least row.
24
- 26 3. A method of processing discrete images, comprising:
determining the area location of individual ones of a
plurality of discrete image areas arranged in uniform rows and
28 non uniform columns on an image bearing substrate; and
scanning only the determined area location of each

65

individual one of said plurality of discrete images disposed on
said image bearing substrate.

4. The method of processing discrete images according to
claim 3, further comprising:

converting each scanned individual one of said plurality of
discrete images into digital signals; and

storing said digital signals on a storage media.

5. The method of processing discrete images according to
claim 4, further comprising:

retrieving selectively individual ones of said digital signals
stored on said storage media; and

transferring the selected individual ones of said digital
signals retrieved from said storage media to a viewing location to
facilitate electronic visualization of the scanned individual one
of said plurality of discrete images.

6. The method of processing discrete images according to
claim 3, wherein said step of determining includes:

scanning said image bearing substrate with a low
resolution camera to generate a plurality of image signals
indicative of row and column patterns of light; and

determining uniform row patterns of light and non
uniform column patterns of light to identify the locations of the
active image regions on said image bearing substrate.

7. The method of processing according to claim 3, wherein
each image bearing substrate is processed automatically in a

66

processing time of between about less than one second and
about more than two seconds.

8. The method of processing discrete images according to
claim 3, wherein said step of scanning includes:

positioning a high resolution camera at an in focus y
coordinate axis position relative to said image bearing substrate;

positioning said image bearing substrate at an in focus x
coordinate axis position relative to said high resolution camera;

moving said high resolution camera and said image
bearing substrate relative to one another to scan a determined
area location of an individual one of said plurality of discrete
image areas; and

repeating said steps of positioning, positioning and
moving a sufficient number of times until each individual one of
said plurality of discrete image areas has been scanned by said
high resolution camera.

9. The method of processing discrete images according to
claim 8, wherein said high resolution camera is a high
resolution line scanner.

10. The method of processing discrete images according to
claim 6, wherein said low resolution camera is a low resolution
area scanner.

11 The method of processing discrete images according to
claim 9, wherein said low resolution camera is a television
camera.

67

- 2 12. An apparatus (10) for processing discrete images,
 comprising:
- 4 means for fitting optimal row geometry with maximized Q-
 Ft statistic to establish the location of at least one row of
6 discrete images, wherein said at least one row of discrete images
 has an established row height; and
- 8 means for fitting simple active image regions in their
 respective locations in said at least one row of discrete images,
10 wherein each simple active image region has an assumed height
 and a determine width with no space between any adjacent
12 active image region in said at least one row of discrete images.
- 14 13. An apparatus (10) for processing discrete images,
 comprising:
- 16 means for determining the area location of individual ones
 of a plurality of discrete image areas arranged in uniform rows
18 and non uniform columns on an image bearing substrate; and
- means for scanning only the determined area location of
20 each individual one of said plurality of discrete images disposed
 on said image bearing substrate.
- 22
14. An apparatus (10) for processing a large sequences of
24 light passing documents in an unattended manner, comprising:
- means for automatically identifying active image areas
26 and borders on a plurality of light passing documents
 transported seriatim along a prescan path;
- 28 means for generating a plurality of active image area
 signals indicative of the active image areas and borders on said

٤٥

plurality of light passing documents;

means for responding to individual ones of said plurality of active image area signals by automatically focusing a high resolution line scanner on only the active image areas of said light passing documents traveling seriatim along said scan path; and

means for converting the image information carried on the individual ones of said light passing documents captured by said line scanner into corresponding enhanced digital information signals indicative of the image information carried on the individual ones of said light passing documents.

15. A method of unattended processing of large sequences of light passing documents, comprising:

automatically identifying active image areas and borders on a plurality of light passing documents transported seriatim along a prescan path;

generating a plurality of active image area signals indicative of the active image areas and borders on said plurality of light passing documents;

responding to individual ones of said plurality of active image area signals by automatically focusing a high resolution line scanner on only the active image areas of said light passing documents traveling seriatim along said scan path; and

converting the image information carried on the individual ones of said light passing documents captured by said line scanner into corresponding enhanced digital information signals indicative of the image information carried on the individual ones of said light passing documents.

69

- 2 16. An information processing system (10), comprising:
 an unattended low resolution wide area pre scanning
4 station (14) for automatically identifying active image areas and
 borders on a plurality of light passing documents transported
6 seriatim along a prescan path (P), said low resolution wide area
 pre scanning station (14) generating a plurality of active image
8 area signals indicative of the active image areas and borders on
 said plurality of light passing documents; and
10 an unattended high resolution line scanning station (18)
 having a high resolution line scanner responsive to individual
12 ones of said plurality of active image area signals for
 automatically focusing said high resolution line scanner on only
14 the active image areas of said light passing documents and for
 converting the image information carried on the individual ones
16 of said light passing documents into corresponding enhanced
 digital information signals indicative of the image information
18 carried on the individual ones of said light passing documents.
- 20 17. The information processing system (10) according to
 claim 16, further comprising:
22 an unattended data transmission station for transferring
 the enhanced digital information signals indicative of the image
24 information carried on individual ones of said light passing
 documents to a remote location for storing and viewing
26 purposes.
- 28 18. The information processing system (10) according to
 claim 17, wherein said transmission station includes information

70

transmission means.

2

19. An information processing method for determining
uniform active image row locations for discrete microfiche on an
aperture card, comprising:

enhancing a prescan image of the aperture card by using
a BLENDED-MAX-N operator when border lines are assumed
bright;

maximizing a Q-FitPos variable fitted to the image
enhanced with BLENDED-MAX-N operator when border lines
are assumed bright;

enhancing said prescan image of the aperture card by
using a BLENDED-MIN-N operator when border lines are
assumed dark;

maximizing a Q-FitNeg variable fitted to the image
enhanced with BLENDED-MIN-N operator when border lines are
assumed dark; and

selecting an optimal positive polarity pattern Q-FitPos
and a pre negative polarity pattern Q-FitNeg whichever is
greater, wherein a resulting pattern of horizontal rows, defined
by a number of rows, a starting y-coordinate of a first row, an a
vertical y-height for each row to identify the uniform active
image rows.

24

20. The information processing method according to claim 19,
further comprising:

searching each determined image row for an active image
region;

28

detecting an active image region;

4/

classifying said active image region;

repeating said steps of searching, detecting, and
classifying until all active image regions with a given determined
row have been processed; and

repeating said steps of searching, detecting, classifying,
and repeating until all determined rows on the aperture card
have been processed.

21. An unattended image processing system (10), comprising:
imaging means for capturing a low-resolution image of
light passing media having at least one discrete image disposed
thereon;

image finding means responsive to said imaging means for
determining the location of said at least one discrete image on
said light passing media;

quality-of-fit means responsive to said image finding
means for fitting the discrete image in a determined height
dimension and a determined width dimension relative to the
light passing media; and

wherein said imaging means is responsive to said quality-
of-fit means for capturing a high-resolution image of only the
determined location of said at least one discrete image and for
converting the image into an image data file.

22. The unattended image processing system (10) according
to claim 21, wherein said imaging means include single
scanning means.

23. The unattended image processing system (10) according

72

to claim 22, wherein said single scanning means is a single
camera.

24. The unattended image processing system (10) according
to claim 23, wherein said single camera is a high-resolution
camera.

25. The unattended image processing system (10) according
to claim 24, wherein said high resolution camera includes a low
resolution setting and a high resolution setting for capturing
both low resolution images and high resolution images.

26. The unattended image processing system (10) according
to claim 21, wherein said imaging means includes at least a
single low-resolution camera and a single high-resolution
camera.

27. The unattended image processing system (10) according
to claim 21, wherein said imaging means includes at least a
single high resolution camera for capturing both high resolution
and low resolution images.

28. The unattended image processing system (10) according
to claim 21, wherein said imaging means includes at least a pair
of high resolution cameras for capturing both high resolution
and low resolution images.

29. An unattended image processing method, comprising:
capturing a low-resolution image of a light passing media

73

having at least one discrete image disposed thereon;

2 determining the location of said at least one discrete
image on said light passing media;

4 fitting the discrete image in a determined height
dimension and a determined width dimension relative to said
6 light passing media; and

 capturing a high-resolution image of only the determined
8 location of said at least one discrete image to facilitate
converting the discrete image into an image data file.

10

12

14

16

18

20

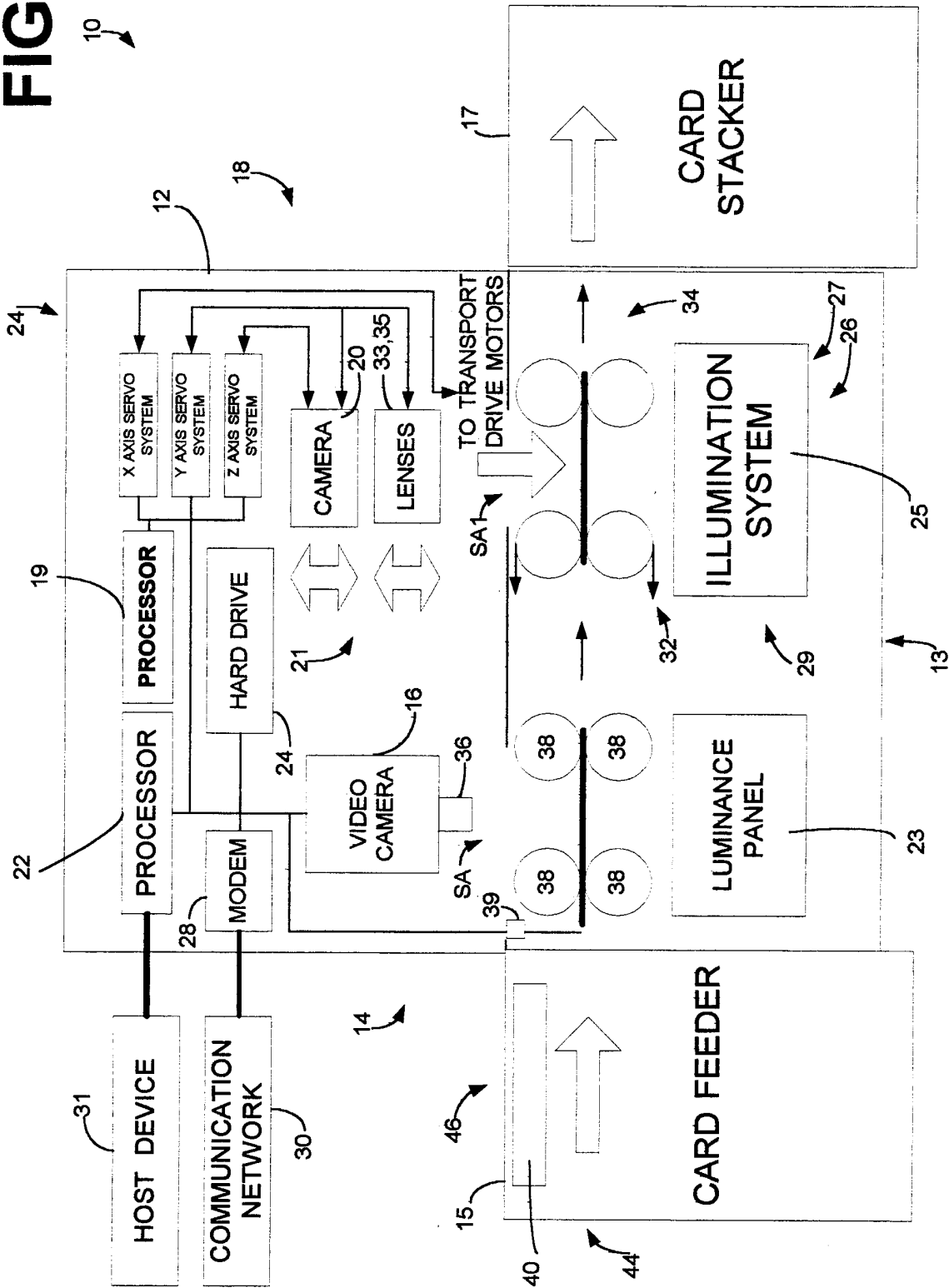
22

24

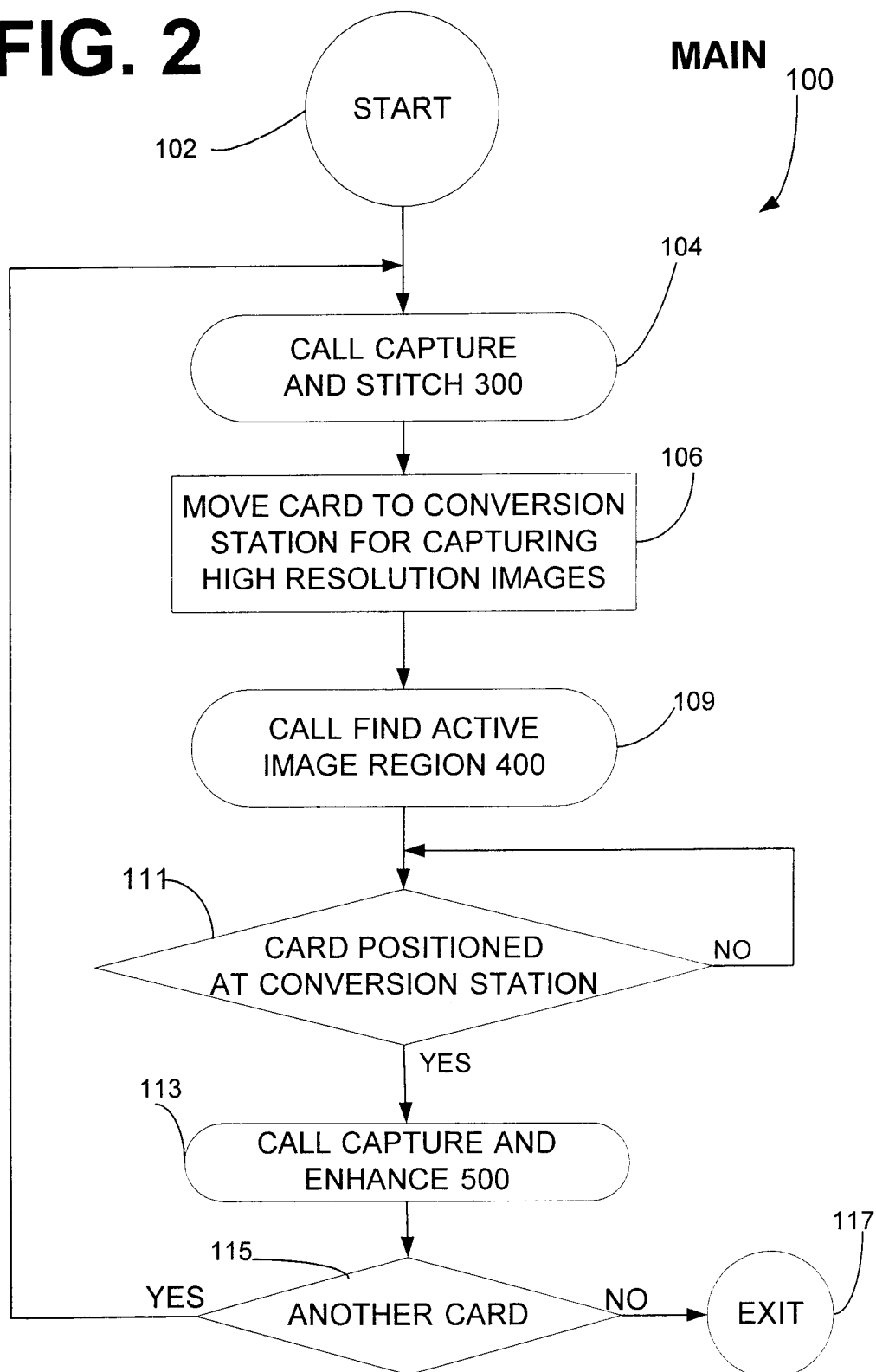
26

28

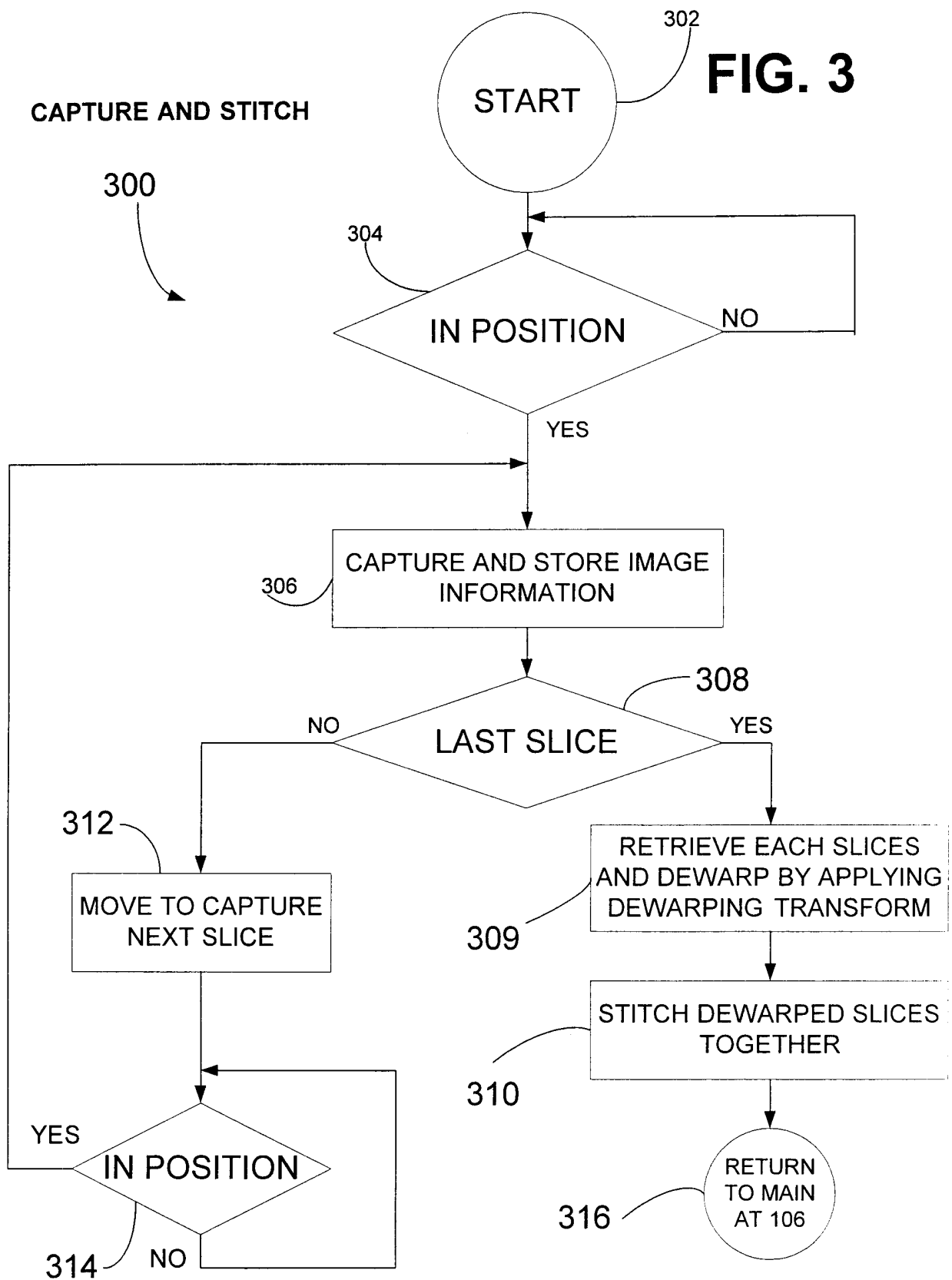
FIG. 1



2/14

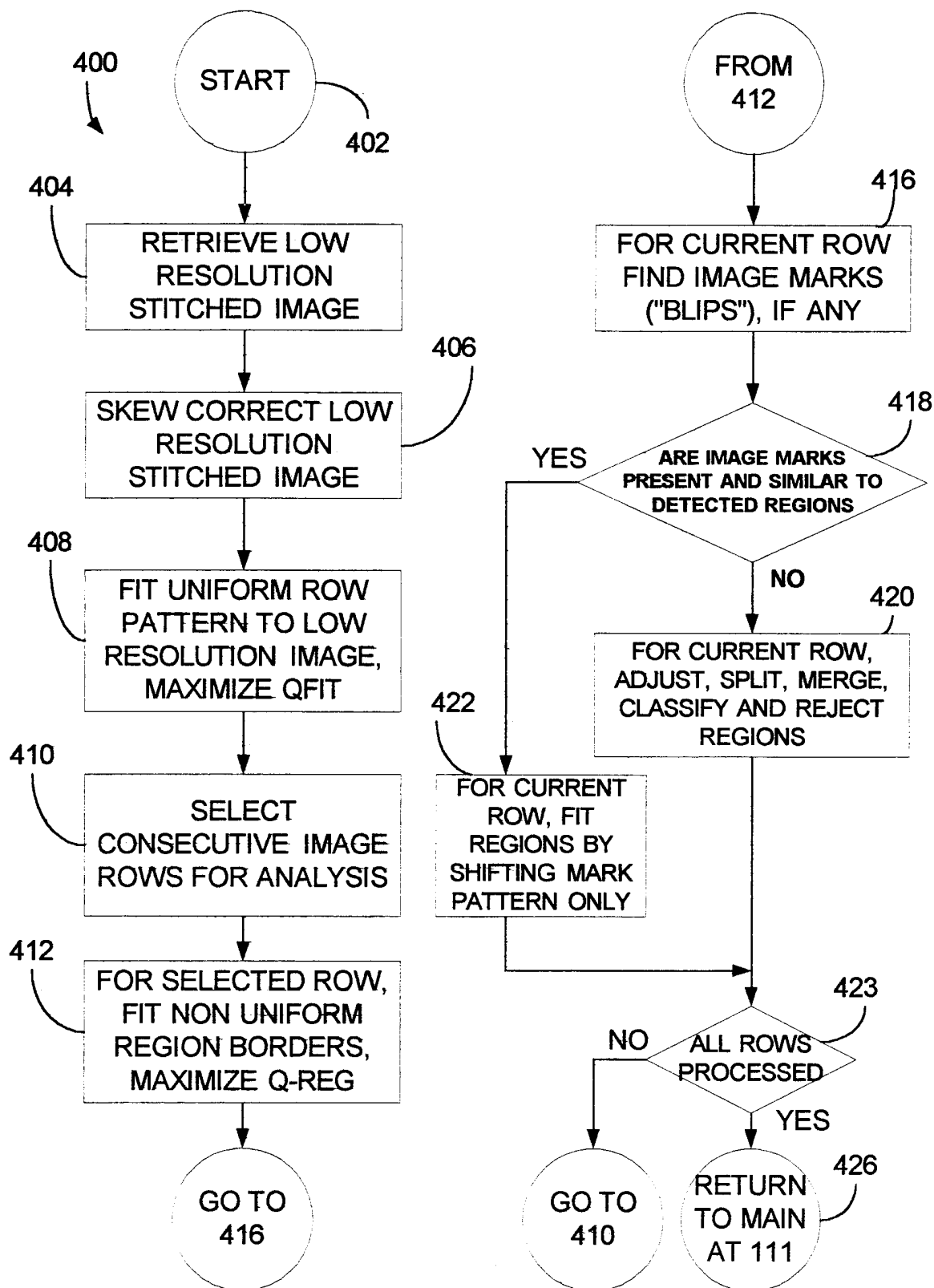
FIG. 2

3/14



4/14

FIG. 4



5/14

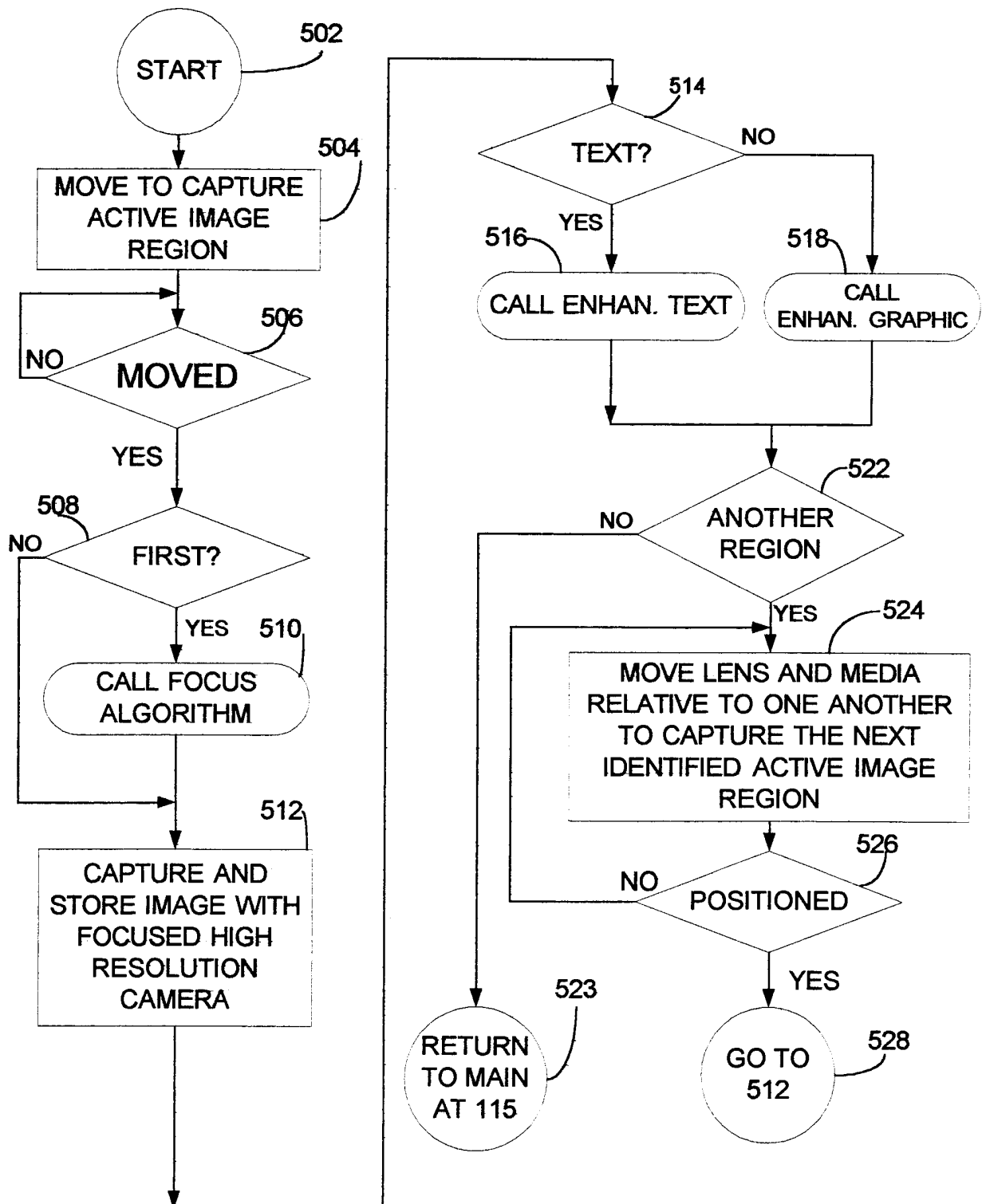
CAPTURE, ENHANCE,
CONVERT AND STORE

FIG. 5

500

6/14

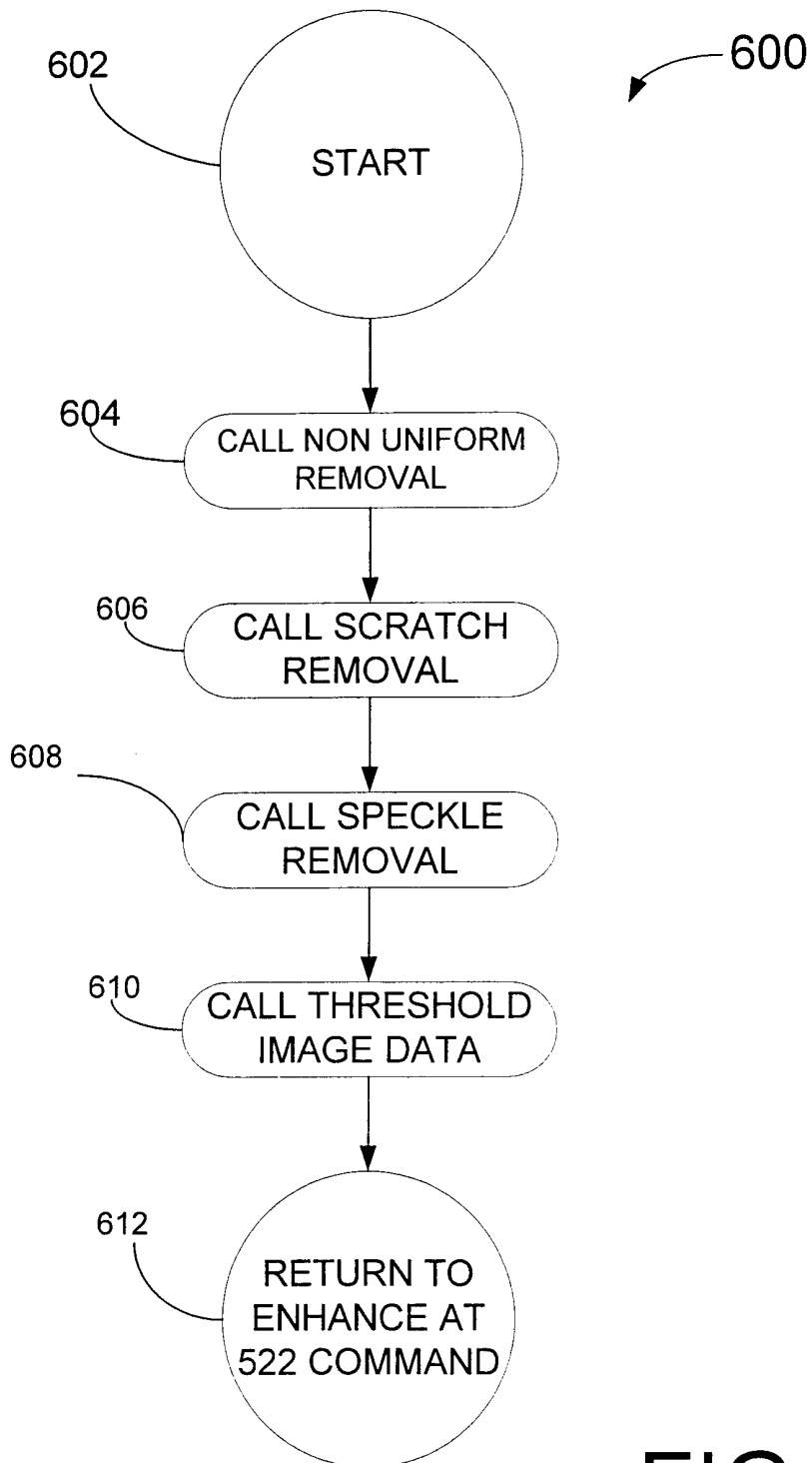


FIG. 6
REMOVE BACKGROUND NOISE

7/14

FIG. 7A

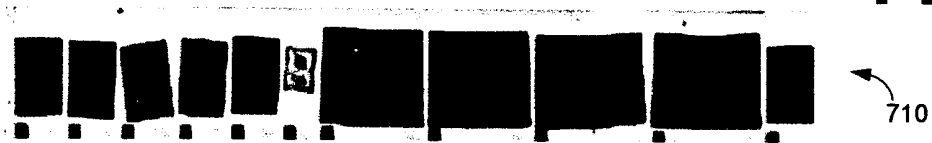


FIG. 7B



FIG. 7C

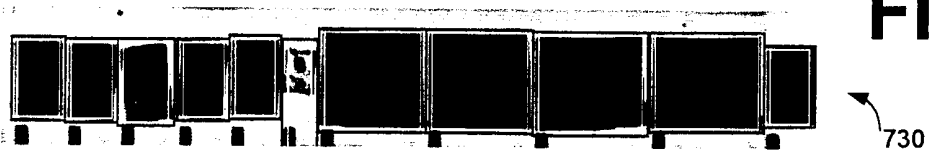
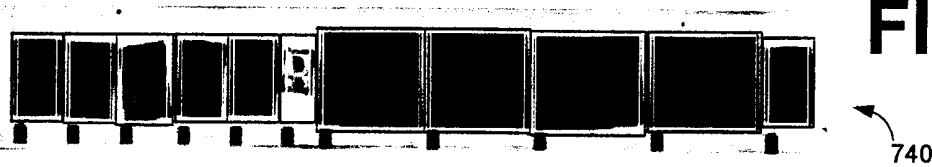


FIG. 7D



8/14

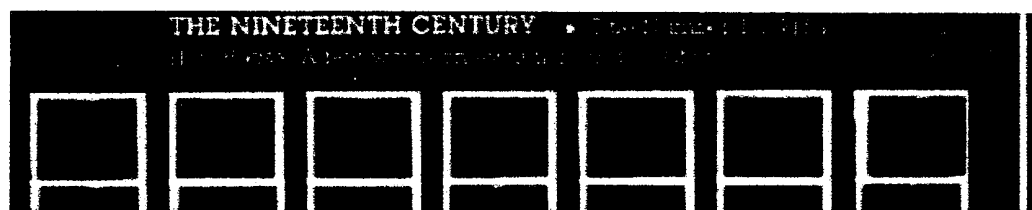


FIG. 8A

810



FIG. 8B

820



FIG. 8C

830



FIG. 8D

840

9/14

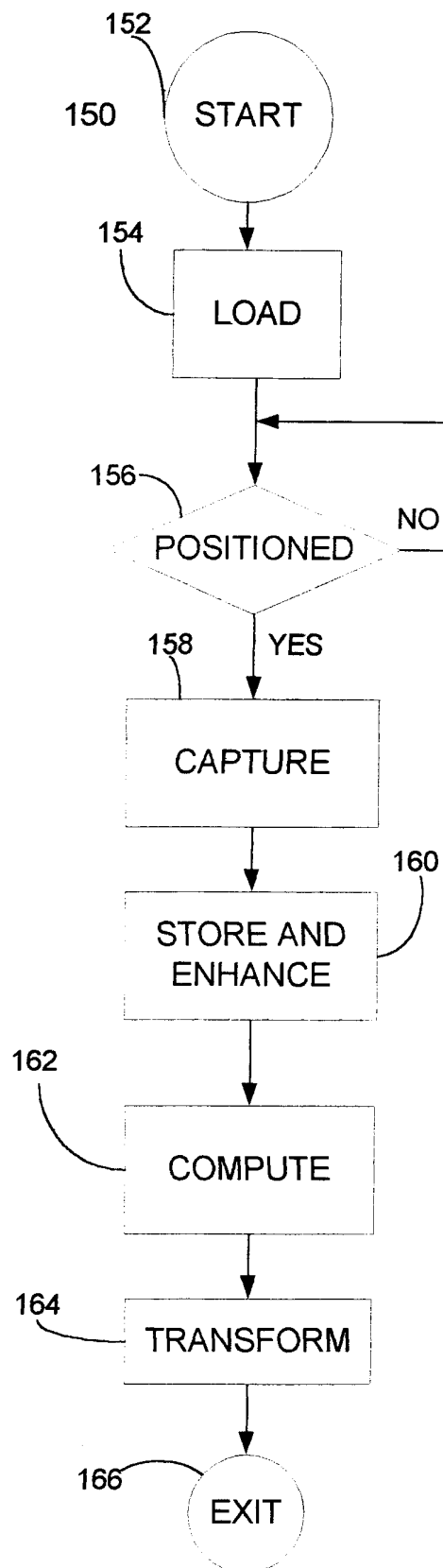
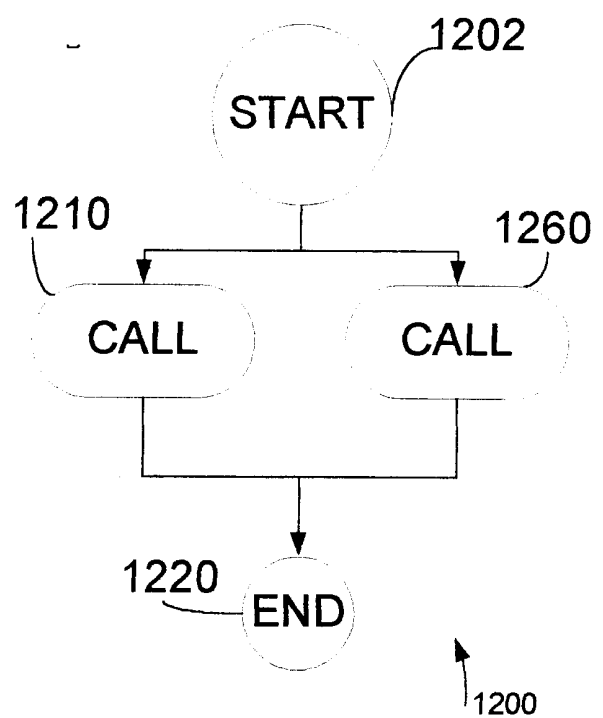


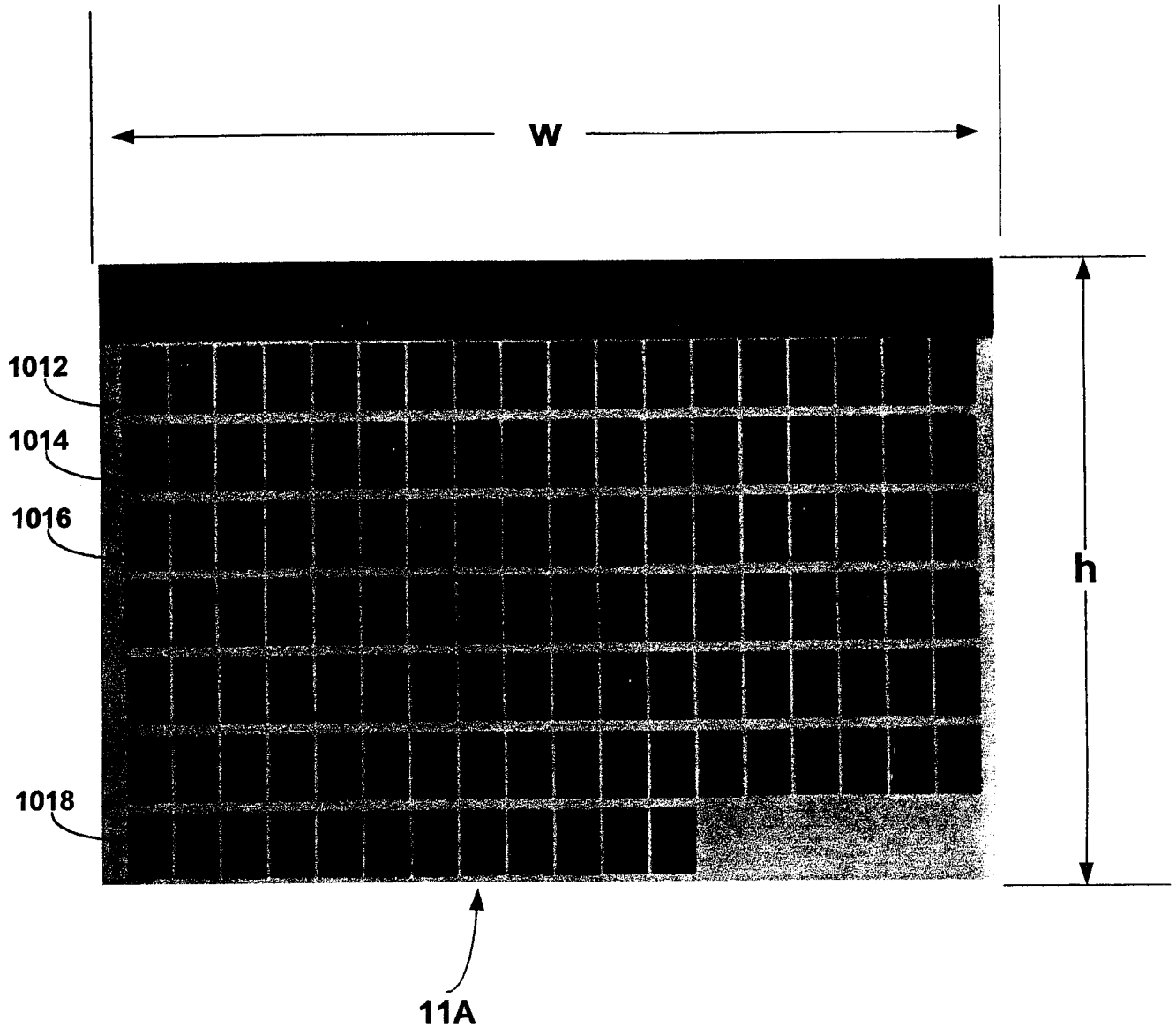
FIG. 9
CALIBRATE

150

FIG. 12
AUTO-FOCUS



10/14

**FIG. 10A**

11/14

FIG. 10B

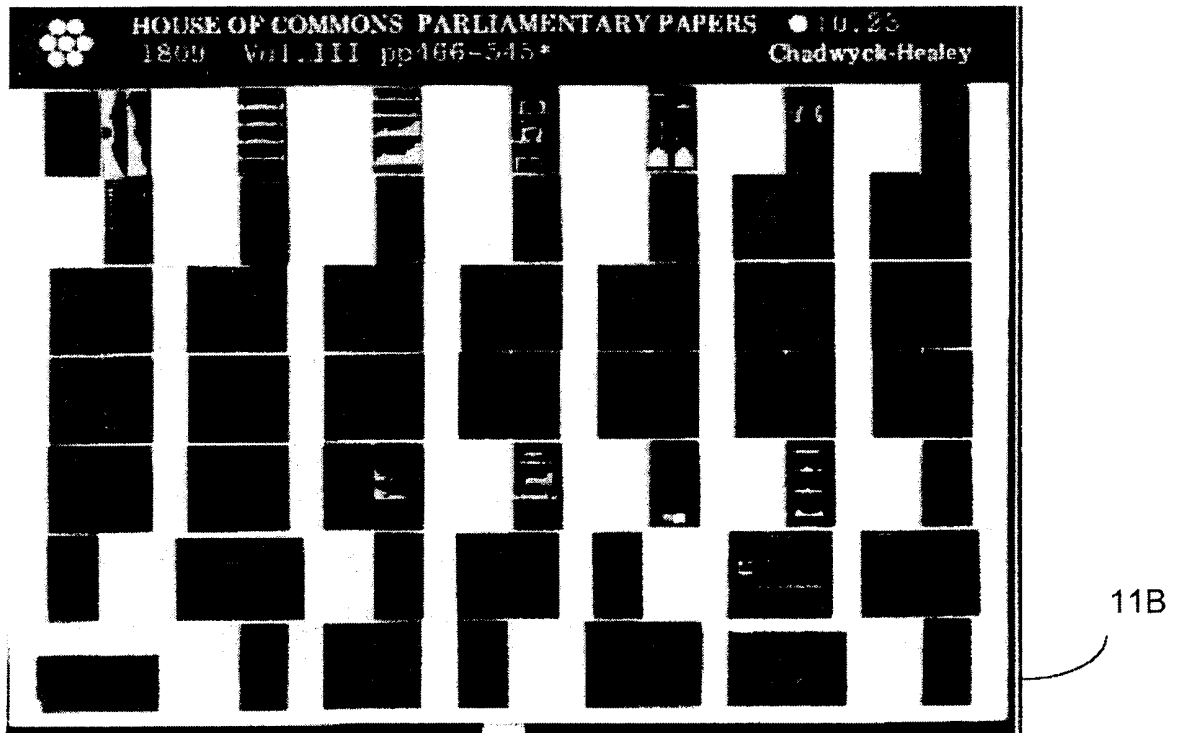
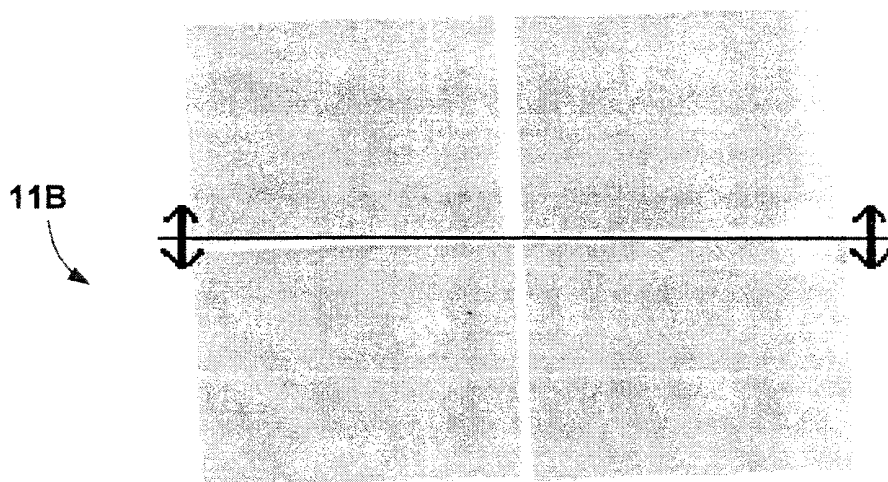


FIG. 11



12/14

FIG. 10G

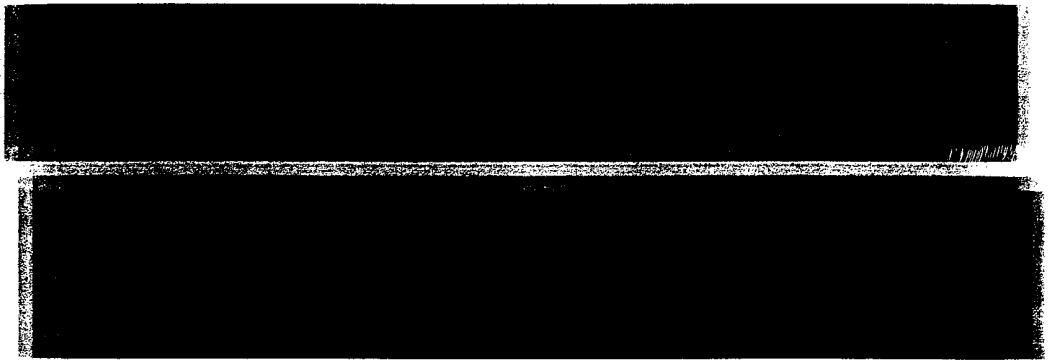
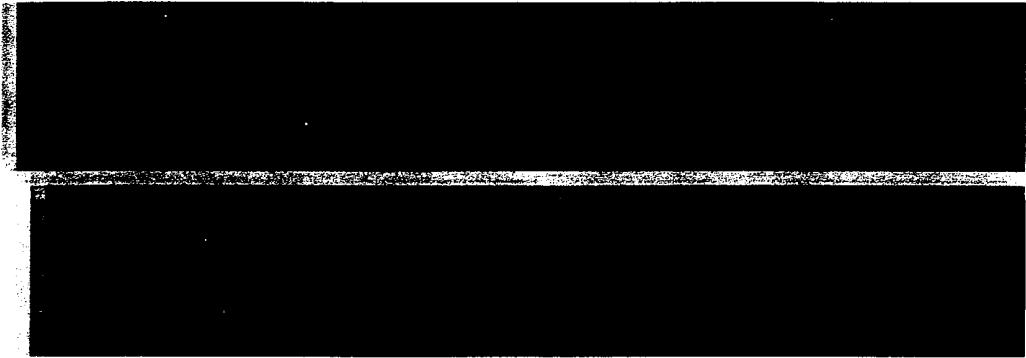
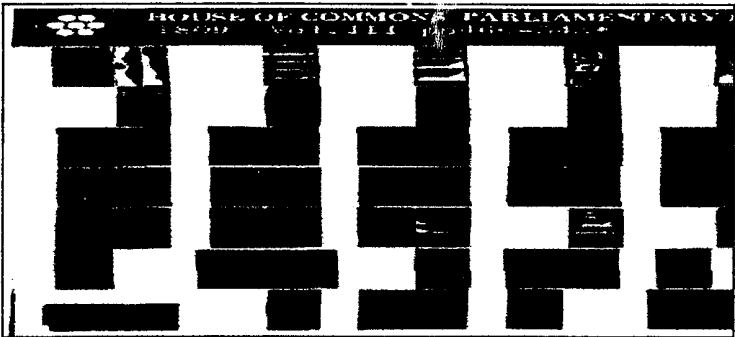
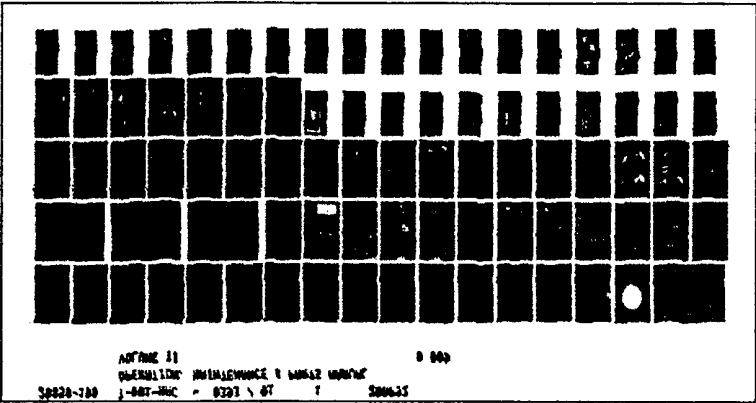


FIG. 10H



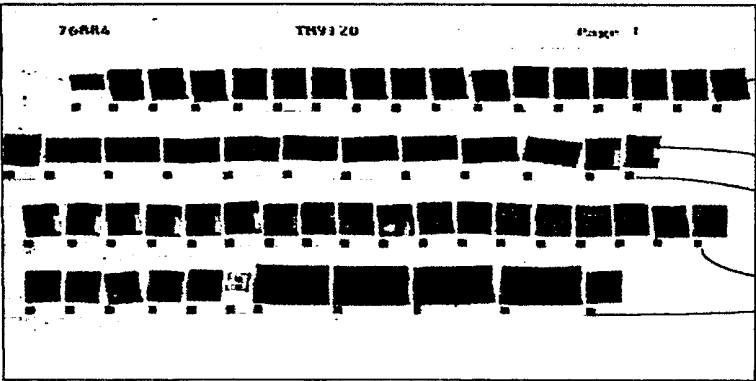
11C

FIG. 10C



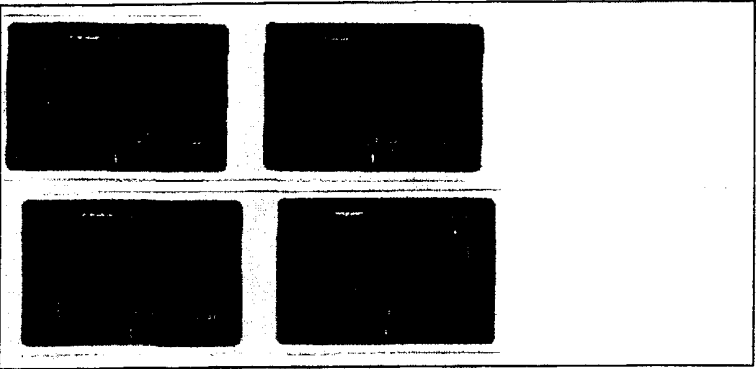
11D

FIG. 10D



11E

FIG. 10E



11F

FIG. 10F

14/14

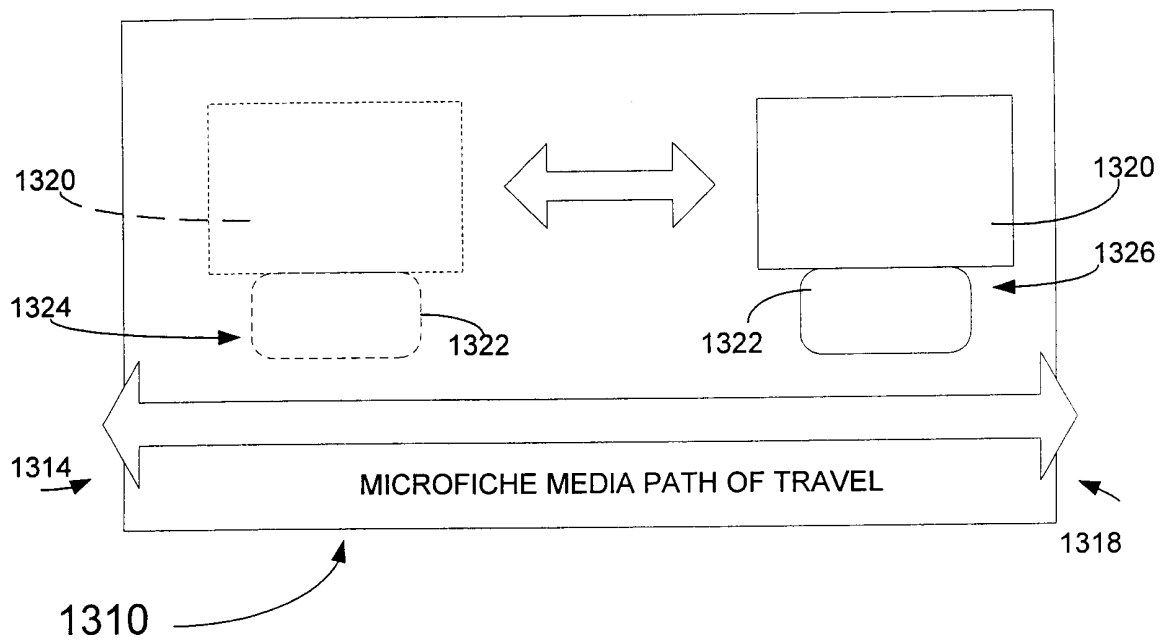


FIG 13

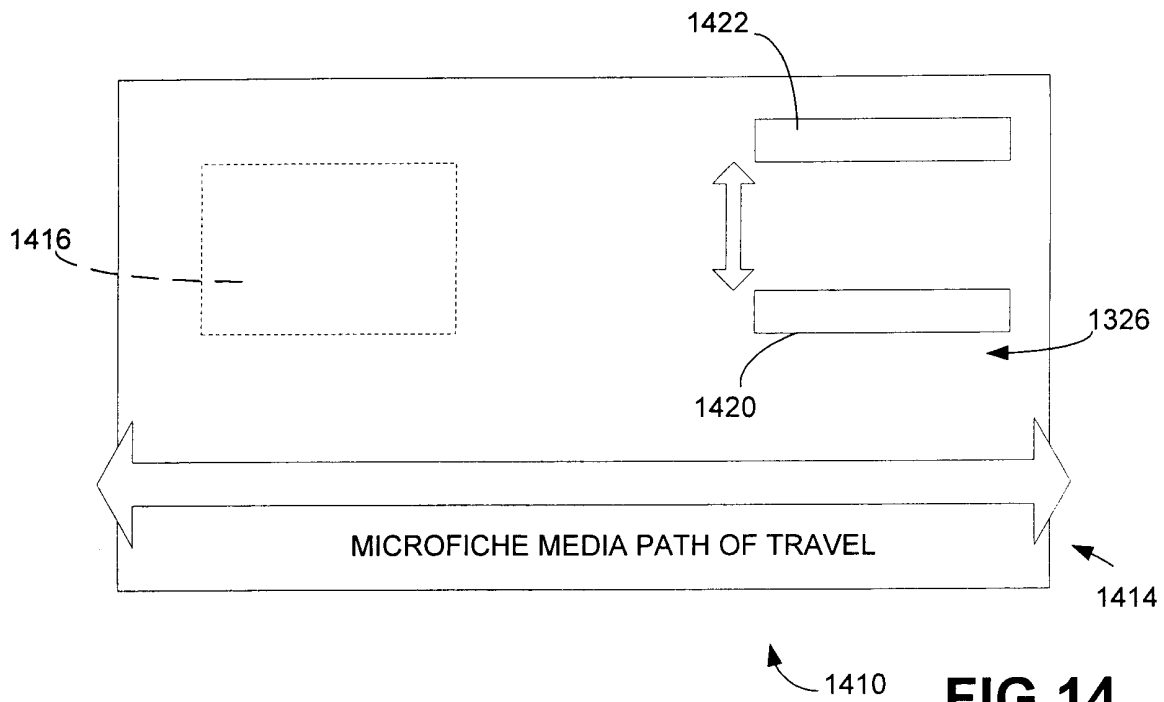


FIG 14

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US00/10161

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : G06K 9/00

US CL : 382/112, 123, 124, 286, 312, 318, 319

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 382/112, 123, 124, 286, 312, 318, 319

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,748,766 A (MAASE, et al) 05 May 1998, col. 2, lines 1-67.	1-30
Y	US 5,926,555 A (ORT et al) 20 July 1999, col. 6, lines 6-52.	1-30
Y	US 5,710,835 A (BRADLEY) 20 January 1998, col. 2, lines 36-67.	8-10

☐ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

24 JUNE 2000

Date of mailing of the international search report

25 JUL 2000

 Name and mailing address of the ISA/US
 Commissioner of Patents and Trademarks
 Box PCT
 Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

YOSEF KASSA

James R. Matthews

Telephone No. (703) 306-5918