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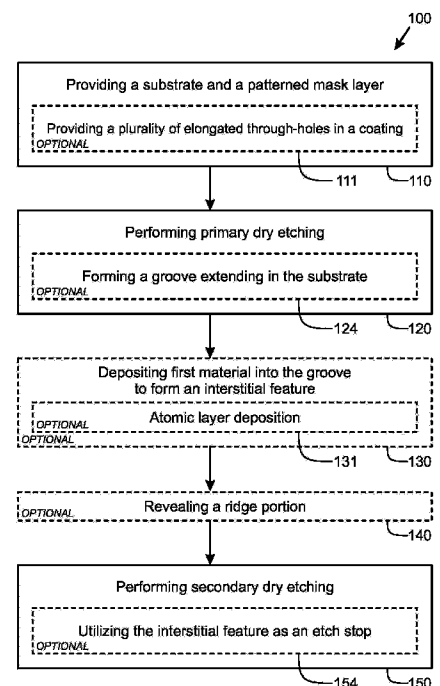
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METHOD FOR FABRICATING A BLAZED GRATING

(57) Tiivistelmä - Sammandrag - Abstract

Tämä julkaisu koskee menetelmää (100) porrastetun hilan valmistamiseksi. Menetelmä (100) käsittää, että järjestetään substraatti ja kuvioitu maskikerros (110) substraatille, suoritetaan substraatin primäärinen kuivaus (120) niin, että primääri-ioneita kiihdytetään primääriiseen etsaussuuntaan niiden törmäyttämiseksi substraattiin, ja suoritetaan substraatin sekundäärinen kuivaus (150) niin, että sekundääri-ioneita kiihdytetään sekundääriiseen etsaussuuntaan niiden törmäyttämiseksi substraattiin. Primäärisen etsaussuunnan primäärinen projektio ja sekundäärisen etsaussuunnan sekundäärinen projektio hilan poikkileikkaustasolle ulottuvat kohtisuorasti suhteessa vastaavasti yhteen ja toiseen portaan viisteen suunnasta ja portaen vastaviisteen suunnasta, ja ionit, joita kiihdytetään suuntaan, jonka projektio hilan poikkileikkaustasolle on kohtisuora suhteessa portaan viisteen suuntaan, kollimoidaan kollimoidun ionisuihkun muodostamiseksi substraatin etsaamista varten.

This disclosure relates to a method (100) for fabricating a blazed grating. The method (100) comprises providing a substrate and a patterned mask layer (110) on the substrate, performing primary dry etching (120) of the substrate such that primary ions are accelerated towards a primary etch direction to impinge on the substrate, and performing secondary dry etching (150) of the substrate such that secondary ions are accelerated towards a secondary etch direction to impinge on the substrate. A primary projection of the primary etch direction and a secondary projection of the secondary etch direction onto the grating cross-sectional plane extend perpendicular to one and the other of a blaze facet direction and an anti-blaze facet direction, respectively, and ions accelerated towards a direction with a projection onto a grating cross-sectional plane perpendicular to the blaze facet direction are collimated to form a collimated ion beam for etching the substrate.



METHOD FOR FABRICATING A BLAZED GRATING

FIELD OF TECHNOLOGY

This disclosure concerns diffraction gratings. In particular, this disclosure concerns blazed gratings.

BACKGROUND

An ordinary surface-relief diffraction grating, a so-called binary grating, comprises a plurality of ridges and grooves, wherein the tops of the ridges and the bottoms of the grooves extend parallel to each other. Well-known manufacturing processes exist for fabricating such binary gratings. In case of such binary gratings, normally incident light is diffracted such that equal diffraction efficiencies are produced in both negative and positive diffraction orders. This effect arises from the mirror symmetry of the cross-sectional profiles of binary gratings.

In many applications, for example, in many waveguide-based display structures, diffraction preferentially toward one or more specific diffraction orders may be desirable and diffraction into other diffraction orders may be considered optical loss. To direct light preferentially toward specific diffraction orders, gratings with non-mirror symmetric cross-sectional profiles must be used. One example of such non-symmetric gratings is the so-called blazed grating with a triangular or saw-tooth-shaped cross-sectional profile.

Typically, blazed gratings are manufactured using processes based on grayscale lithography. Although such

processes have been refined to yield gratings with acceptable characteristics, the features, especially, the apexes, of blazed gratings produced using grayscale lithography are generally relatively rounded due to the low contrast of typical grayscale lithography resists. Such deviation from ideal blazed profiles may reduce the diffraction efficiency of blazed gratings produced using grayscale lithography and/or increase the optical scattering caused by such gratings.

In light of the above, it may be desirable to develop new solutions related to blazed gratings.

SUMMARY

This summary is provided to introduce a selection of concepts in a simplified form that are further described below in the detailed description. This summary is not intended to identify key features or essential features of the claimed subject matter, nor is it intended to be used to limit the scope of the claimed subject matter.

In this specification, a method for fabricating a blazed grating comprising a ridge having a blaze facet facing a blaze facet direction and an anti-blaze facet facing an anti-blaze facet direction, the blaze facet direction and the anti-blaze facet direction extending along a grating cross-sectional plane, is provided. The method comprises providing a substrate and a patterned mask layer on the substrate, performing primary dry etching of the substrate such that primary ions are accelerated towards a primary etch direction to impinge on the substrate, and performing secondary dry etching of the substrate such that secondary ions are accelerated towards a secondary etch direction to impinge on the substrate.

A primary projection of the primary etch direction onto the grating cross-sectional plane and a secondary projection of the secondary etch direction onto the grating cross-sectional plane extend perpendicular to one and
5 the other of the blaze facet direction and the anti-blaze facet direction, respectively, and ions (of the primary ions and the secondary ions) accelerated towards a direction with a projection onto the grating cross-sectional plane perpendicular to the blaze facet direc-
10 tion are collimated to form a collimated ion beam for etching the substrate.

BRIEF DESCRIPTION OF THE DRAWINGS

The present disclosure will be better understood from the following detailed description read in light of the accompanying drawings, wherein:

15 **FIG. 1** illustrates a method for fabricating a blazed grating,

FIGs. 2A, 2B, 2C, 2D, and 2E depict a series of subsequent stages of a method for fabricating a blazed grating,

20 **FIGs. 3A, 3B, 3C, 3D, 3E, 3F, 3G, and 3H** show a series of subsequent stages of another method for fabricating a blazed grating, and

FIGs. 4A, 4B, 4C, 4D, 4E, and 4F illustrate a series of subsequent stages of yet another method for
25 fabricating a blazed grating.

Unless specifically stated to the contrary, any drawing of the aforementioned drawings may be not drawn to scale such that any element in said drawing may be drawn with inaccurate proportions with respect to other elements

in said drawing in order to emphasize certain structural aspects of the embodiment of said drawing.

Corresponding, e.g., identical or similar, elements of the embodiments presented in the drawings have been referred to using the same reference numbers. Corresponding elements in the aforementioned drawings may be disproportionate to each other in said drawings in order to emphasize certain structural aspects of the embodiments of said drawings.

DETAILED DESCRIPTION

FIG. 1 illustrates method 100 for fabricating a blazed grating comprising a ridge having a blaze facet facing a blaze facet direction and an anti-blaze facet facing an anti-blaze facet direction, the blaze facet direction and the anti-blaze facet direction extending along a grating cross-sectional plane. In other embodiments, a method for fabricating a blazed grating may be identical, similar, or different to the method 100 of the embodiment of FIG. 1.

In the embodiment of FIG. 1, the method 100 comprises providing a substrate and a patterned mask layer 110 on the substrate, performing primary dry etching 120 of the substrate such that primary ions are accelerated towards a primary etch direction to impinge on the substrate, and performing secondary dry etching 150 of the substrate such that secondary ions are accelerated towards a secondary etch direction to impinge on the substrate.

Throughout this specification, "dry etching" may refer to removal of material by exposure to ion bombardment. Typical dry etching techniques include Reactive Ion

Etching (RIE), Deep Reactive Ion Etching (DRIE), Inductively Coupled Plasma Reactive Ion Etching (ICP-RIE), ion milling, Ion beam Etching (IBE), Reactive Ion Beam Etching (RIBE), and variants thereof.

5 In this specification, performing dry etching of a substrate such that specific ions are "accelerated towards" a specific direction to impinge on the substrate may refer to the ions being provided with an average velocity, e.g., a drift velocity, towards the specific direction. Typically, such ions may be accelerated towards
10 a specific direction by an electric field.

In the embodiment of FIG. 1, a primary projection of the primary etch direction onto the grating cross-sectional plane and a secondary projection of the secondary etch
15 direction onto the grating cross-sectional plane extend perpendicular to one and the other of the blaze facet direction and the anti-blaze facet direction, respectively, and ions (of the primary ions and the secondary ions) accelerated towards a direction with a projection
20 onto the grating cross-sectional plane perpendicular to the blaze facet direction are collimated to form a collimated ion beam for etching the substrate. Generally, such primary etch direction and secondary etch direction and utilization of a collimated ion beam for etching a
25 substrate perpendicular to a blaze facet direction may facilitate fabricating blazed gratings with sharper or less-rounded features, e.g., apexes and/or facets.

Herein, a "collimated ion beam" may refer to a beam of ions propagating from a collimator towards a specific
30 etch direction, e.g., a primary etch direction or a

secondary etch direction. Additionally or alternatively, an ion beam propagating from a collimator towards a specific etch direction may be referred to as a collimated ion beam, for example, if the ion beam has a maximum angular deviation from the primary etch direction of 4°, 3°, or 2°. In case a collimated ion beam is formed for etching a substrate, such maximum angular deviation may be determined at the location of the substrate.

As indicated in FIG. 1 using dashed lines, the process of performing primary dry etching 120 may optionally comprise forming a groove extending in the substrate 124 along the primary etch direction, the method 100 may optionally comprise depositing first material into the groove 130 to form an interstitial feature, and the process of performing secondary dry etching 150 may optionally comprise utilizing the interstitial feature as an etch stop 154. In general, utilization of an interstitial feature as an etch stop while performing secondary dry etching may facilitate fabrication of blazed gratings with sharper, less rounded features and/or smoother and/or more even facets. In other embodiments, a method for fabricating a blazed grating may or may not comprise one or more of such features.

In this specification, a "process" may refer to a series of one or more steps, leading to an end result. As such, a process may be a single-step or a multi-step process. Additionally, a process may be divisible to a plurality of sub-processes, wherein individual sub-processes of such plurality of sub-processes may or may not share common steps.

Herein, a "step" may refer to a measure taken in order to achieve a pre-defined result. For example, an "atomic layer deposition step" may refer to a step of a process, whereby a layer is formed by atomic layer deposition.

5 As again indicated in FIG. 1 using dashed lines, the method 100 may optionally comprise revealing a ridge portion 140 of the substrate prior to the process of performing secondary dry etching 150. Generally, revealing a ridge portion of a substrate prior to a process
10 of performing secondary dry etching may facilitate forming blazed gratings without post-processing step(s), whereby portions of a substrate released during the process of performing secondary dry etching are removed. In other embodiments, a method for fabricating a blazed
15 grating may or may not comprise revealing a ridge portion of a substrate prior to a process of performing secondary dry etching.

Further, the process of depositing first material into the groove 130 may optionally comprise an atomic layer
20 deposition 131 step. Generally, a process of depositing first material into the groove comprising an atomic layer deposition step may facilitate forming interstitial features in narrower grooves and/or with increased uniformity. In other embodiments, a process of deposit-
25 ing first material into the groove may or may not comprise an atomic layer deposition step.

As also indicated in FIG. 1 using dashed lines, the process of providing a substrate and a patterned mask layer 110 may optionally comprise providing a plurality
30 of elongated through-holes in a coating 111 arranged on the substrate to form the mask layer, the plurality of

elongated through-holes extending perpendicular to the grating cross-sectional plane. In other embodiments, a process of providing a substrate and a patterned mask layer may or may not comprise providing a plurality of
5 elongated through-holes in a coating arranged on the substrate to form the mask layer.

In an embodiment, a method for fabricating a blazed grating comprises steps implementing processes corresponding to the processes of the method 100 of the embodiment of FIG. 1. In other embodiments, a method for
10 fabricating a blazed grating may comprise steps implementing processes corresponding to the non-optional processes of the method 100 of the embodiment of FIG. 1. In general, a method for fabricating a blazed grating
15 may comprise any number of additional processes or steps that are not disclosed herein in connection to the method 100 of the embodiment of FIG. 1.

Steps of a method for fabricating a blazed grating implementing processes corresponding to any of the processes of the method 100 of the embodiment of FIG. 1
20 need not be executed in a fixed order. However, any steps implementing a process corresponding to the process of providing a substrate and a patterned mask layer 110 of the method 100 may be generally executed
25 prior to steps implementing any process corresponding to any of the processes of performing primary dry etching 120 and performing secondary dry etching 150; any steps implementing a process corresponding to the process of forming a groove extending in the substrate 124
30 of the method 100 may be generally executed prior to steps implementing any process corresponding to the process of depositing first material into the groove 130;

and any steps implementing a process corresponding to the process of depositing first material into the groove 130 of the method 100 may be generally executed prior to steps implementing any process corresponding to the process of utilizing the interstitial feature as an etch stop 154.

FIGs. 2A through 2E, collectively referred to throughout this specification as FIG. 2, schematically depict a series of subsequent stages of a method for fabricating a blazed grating 200, which comprises a ridge 210 having a blaze facet 211 facing a blaze facet direction 212 and an anti-blaze facet 213 facing an anti-blaze facet direction 214, the blaze facet direction 212 and the anti-blaze facet 213 extending along a grating cross-sectional plane 215, according to an embodiment. In FIG. 2, the grating cross-sectional plane 215 extends perpendicular to the plane of the drawings of FIGs. 2A through 2E. In other embodiments, a method for fabricating a blazed grating may comprise a series of stages similar or different to the stages of the method of the embodiment of FIG. 2.

The embodiment of FIG. 2 may be in accordance with any of the embodiments disclosed with reference to and/or in conjunction with FIG. 1. Additionally or alternatively, although not explicitly stated in the following, the embodiment of FIG. 2 may generally comprise any features of any of the embodiments disclosed with reference to and/or in conjunction with FIG. 1.

With reference to FIG. 2E, the blazed grating 200 comprises a plurality of ridges 210, each ridge of the plurality of ridges 210 having a blaze facet 211 facing

the blaze facet direction 212 and an anti-blaze facet 213 facing the anti-blaze facet direction 214. In other embodiments, a blazed grating may comprise at least one such ridge or a plurality of, i.e., at least
5 two, such ridges.

With reference to FIGs. 2A and 2B, the method comprises providing a substrate 220 and a patterned mask layer 230 on the substrate 220. The process of providing the substrate 220 and the patterned mask layer 230 comprises
10 providing a coating 232 on the substrate 220 and providing a plurality of elongated through-holes 231 in the coating 232 to form the mask layer 230. The plurality of elongated through-holes 231 extends perpendicular to the grating cross-sectional plane 215.

15 The substrate 220 of the embodiment of FIG. 2 may be formed of high-refractive-index polymer material(s), using any suitable application method(s), for example, spin coating, spray coating, and/or inkjet printing. In other embodiments, a substrate may comprise any suitable
20 material(s), which may be formed using any suitable process(es).

The mask layer 230 of the embodiment of FIG. 2 may be formed of aluminum oxide (AlO_2) deposited by electron beam evaporation. In other embodiments, a mask layer may
25 comprise any suitable material(s), for example, inorganic material(s), including oxide and/or nitride material(s), such as aluminum oxide ($\text{AlO}_2/\text{AlO}_x$), titanium oxide ($\text{TiO}_2/\text{TiO}_2$), silicon oxide ($\text{SiO}_2/\text{SiO}_x$) and/or silicon nitride ($\text{Si}_2\text{N}_3/\text{SiN}_x$), which may be formed using any
30 suitable process(es), for example, sputtering, evaporation, Chemical Vapor Deposition (CVD), Plasma Enhanced

Chemical Vapor Deposition (PECVD), Inductively Coupled Plasma Chemical Vapor Deposition (ICP-CVD), Atomic Layer Deposition (ALD), and/or variants thereof.

5 The method of the embodiment of FIG. 2 may constitute an example of a method for fabricating a blazed grating, whereby a blazed grating is formed onto a face of a waveguide for coupling light into the waveguide and/or out of the waveguide. As such, the substrate 220 may be arranged onto a face 271 of a waveguide 270. In other
10 embodiments, a blazed grating may be formed for any suitable use(s) in any suitable application(s), for example, onto a face of a waveguide for coupling light into the waveguide and/or out of the waveguide.

In case the substrate 220 is arranged onto a face 271
15 of a waveguide 270, the blazed grating 200 of the embodiment of FIG. 2 is formed into an additional material layer arranged onto the waveguide 270. In other embodiments, wherein a blazed grating is formed onto a face of a waveguide for coupling light into the waveguide
20 and/or out of the waveguide, said blazed grating may or may not be arranged onto such additional material layer. In some such embodiments, a blazed grating may be formed directly into a waveguide.

In this disclosure, a "waveguide" may refer to an optical waveguide. Additionally or alternatively, a waveguide may refer to a two-dimensional waveguide, wherein light may be confined along a thickness direction of said waveguide.

Further, a "face" of a waveguide may refer to a part of
30 a surface of said waveguide viewable from or facing a

certain viewing direction. Additionally or alternatively, faces of a waveguide may refer to surfaces suitable for or configured to confine light in said waveguide by total internal reflection.

5 With reference to FIG. 2C, the method comprises performing primary dry etching of the substrate 220 such that primary ions 121, indicated schematically by white dots, are accelerated towards a primary etch direction 122 to impinge on the substrate 220.

10 In the embodiment of FIG. 2, a primary projection 123 of the primary etch direction 122 onto the grating cross-sectional plane 215 extends perpendicular to anti-blaze facet direction 214. In other embodiments, a primary projection of a primary etch direction onto a
15 grating cross-sectional plane may extend perpendicular to a blaze facet direction or an anti-blaze facet direction.

The process of performing primary dry etching of the embodiment of FIG. 2 comprises forming a groove 240 extending in the substrate 220 along the primary etch direction 122. In other embodiments, a process of performing primary dry etching may or may not comprise
20 forming such groove.

As indicated in FIGs. 2B and 2C, an interface 222 between the substrate and the mask layer extends along a
25 base plane 260, and the primary projection 123 extends perpendicular to the base plane 260. The base plane 260 extends perpendicular to the grating cross-sectional plane 215. Generally, a primary projection or a secondary
30 projection extending perpendicular to a base plane may enable forming blazed gratings with upright anti-

blaze facets, which may impart increased diffraction efficiency. In other embodiments, a primary projection or a secondary projection may or may not extend perpendicular to a base plane.

5 Herein, a "base plane" may refer to a fictitious surface along which an interface between a substrate and a mask layer extends. A base plane may be planar, for example, in case of a flat interface, or curved, for example, in case of a curved interface.

10 In the embodiment of FIG. 2, the primary etch direction 122 may extend parallel to the grating cross-sectional plane 215. Consequently, the primary etch direction 122 may extend perpendicular to the base plane 260. Generally, a primary etch direction or a secondary etch
15 direction extending perpendicular to a base plane may enable utilization of a wider range of dry etching techniques, including reactive ion etching (RIE) and ion milling. In other embodiments, a primary etch direction or a secondary etch direction may or may not extend
20 perpendicular to a base plane. For example, in some embodiments, each of a primary projection and a secondary projection may extend obliquely with respect to a base plane. Generally, a primary projection and a secondary projection extending obliquely with respect to a
25 base plane may enable forming blazed gratings with slanted anti-blaze facets, which may, for example, facilitate spatially modulating diffraction efficiencies of the blazed gratings.

Since the primary etch direction 122 may extend perpendicular to the base plane 260, the process of performing
30

primary dry etching of the embodiment of FIG. 2 may comprise a RIE step. During the RIE step, the substrate 220 may be etched, for example, using oxygen plasma. In other embodiments, wherein a primary etch direction or
5 a secondary etch direction extends perpendicular to a base plane, a process of performing primary dry etching may comprise any suitable step(s), for example, a RIE step and/or an ion milling step.

With reference to FIG. 2D, the method comprises per-
10 forming secondary dry etching of the substrate 220 such that secondary ions 151, indicated schematically by black dots, are accelerated towards a secondary etch direction 152 to impinge on the substrate 220.

In the embodiment of FIG. 2, a secondary projection 153
15 of the secondary etch direction 152 onto the grating cross-sectional plane 215 extends perpendicular to blaze facet direction 212. In other embodiments, a secondary projection of a secondary etch direction onto a grating cross-sectional plane may extend perpendicular
20 to a blaze facet direction or an anti-blaze facet direction.

In the embodiment of FIG. 2, the secondary ions 151 are collimated to form a collimated secondary ion beam for etching the substrate 220. In other embodiments, primary
25 ions and/or secondary ions may be collimated to form a collimated primary ion beam and/or a collimated secondary ion beam for etching a substrate.

The process of performing secondary dry etching of the embodiment of FIG. 2 may comprise a RIBE step. During
30 such RIBE step, the substrate 220 may be etched, for

example, using oxygen ions. In other embodiments, a process of performing dry etching, wherein ions are accelerated towards a direction with a projection onto a grating cross-sectional plane perpendicular to a blaze facet direction and said ions are collimated to form a collimated ion beam for etching a substrate, may comprise any suitable step(s), for example, an IBE and/or a RIBE step.

With reference to FIG. 2E, the method further comprises a post-processing step, whereby portions of the substrate 220 released during the process of performing secondary dry etching are removed to form the plurality of ridges 210. In other embodiments, a method for fabricating a blazed grating may or may not comprise such post-processing step.

The post-processing step of the embodiment of FIG. 2 may be implemented as a wet cleaning step, such as, a water immersion step. In other embodiments, wherein a method for fabricating a blazed grating comprises a post-processing step, whereby portions of a substrate released during a process of performing secondary dry etching are removed to form one or more ridges, the post-processing step may be implemented in any suitable manner, for example, as a wet cleaning step, such as, a water immersion step.

In the embodiment of FIG. 2, under primary etching conditions (i.e., in the process of performing primary dry etching), the primary ions 121 may exhibit a first primary chemical reactivity with the mask layer 230 and a second primary chemical reactivity higher than the first

primary chemical reactivity with the substrate 220. Additionally, under secondary etching conditions (i.e., in the process of performing secondary dry etching), the secondary ions 151 may exhibit a first secondary chemical reactivity with the mask layer 230 and a second secondary chemical reactivity higher than the first secondary chemical reactivity with the substrate 220. Generally, utilization of such reactive ions for etching a substrate may increase selectivity of dry etching processes, which may, in turn, facilitate fabricating blazed gratings with sharper, less rounded features. Examples of dry etching techniques, wherein such reactive ions are utilized, include RIE, DRIE, ICP-RIE, RIBE and variants thereof. In other embodiments, primary ions may or may not exhibit, under primary etching conditions, a first primary chemical reactivity with a mask layer and a second primary chemical reactivity higher than the first primary chemical reactivity with a substrate, and/or secondary ions may or may not exhibit, under secondary etching conditions, a first secondary chemical reactivity with the mask layer and a second secondary chemical reactivity higher than the first secondary chemical reactivity with the substrate.

FIGs. 3A through 3H, collectively referred to throughout this specification as FIG. 3, schematically depict a series of subsequent stages of a method for fabricating a blazed grating 200 comprising a ridge 210 having a blaze facet 211 facing a blaze facet direction 212 and an anti-blaze facet 213 facing an anti-blaze facet direction 214, the blaze facet direction 212 and the anti-blaze facet 213 extending along a grating cross-sectional plane 215, according to an embodiment. In FIG. 3,

the grating cross-sectional plane 215 extends perpendicular to the plane of the drawings of FIGS. 3A through 3H. In other embodiments, a method for fabricating a blazed grating may comprise a series of stages similar or different to the stages of the method of the embodiment of FIG. 3.

The embodiment of FIG. 3 may be in accordance with any of the embodiments disclosed with reference to and/or in conjunction with any of FIGS. 1 and 2. Additionally or alternatively, although not explicitly stated in the following, the embodiment of FIG. 3 may generally comprise any suitable features of any of the embodiments disclosed with reference to and/or in conjunction with any of FIGS. 1 and 2.

With reference to FIGS. 3A through 3C, the method comprises providing a substrate 220 and a patterned mask layer 230 on the substrate 220, comprising providing a coating 232 on the substrate 220 and providing a plurality of elongated through-holes 231 in the coating 232 to form the mask layer 230, as well as performing primary dry etching of the substrate 220 such that primary ions 121, indicated schematically by white dots, are accelerated towards a primary etch direction 122 to impinge on the substrate 220.

In the embodiment of FIG. 3, a primary projection 123 of the primary etch direction 122 onto the grating cross-sectional plane 215 extends perpendicular to anti-blaze facet direction 214. Additionally, the process of performing primary dry etching of the embodiment of FIG. 3 comprises forming a groove 240 extending in the substrate 220 along the primary etch direction 122.

As the processes of providing a substrate and a patterned mask layer and performing primary dry etching of the embodiment of FIG. 3 may be implemented in accordance with what is disclosed above with reference to
5 FIG. 2, further details of these processes are omitted herein for brevity and conciseness.

With reference to FIGs. 3D and 3E, the method comprises depositing first material 250 into the groove 240 to form an interstitial feature 251 and, prior to the process of depositing first material 250 into the
10 groove 240, removing the patterned mask layer 230. In other embodiments, a method for fabricating a blazed grating may or may not comprise depositing first material into a groove to form an interstitial feature. In
15 embodiments, wherein a method for fabricating a blazed grating comprises depositing first material into a groove to form an interstitial feature, the method may or may not comprise removing a patterned mask layer prior to a process of depositing first material into the
20 groove.

The first material 250 of the embodiment of FIG. 3 may be aluminum oxide (AlO_2 or AlO_x) deposited by Plasma Enhanced Chemical Vapor Deposition (PECVD). In other
25 embodiments, a mask layer may comprise any suitable material(s), which may be formed using any suitable process(es), for example, an oxide or a nitride material, such as aluminum oxide ($\text{AlO}_2/\text{AlO}_x$), titanium oxide ($\text{TiO}_2/\text{TiO}_2$), silicon oxide ($\text{SiO}_2/\text{SiO}_x$) or silicon nitride ($\text{Si}_2\text{N}_3/\text{SiN}_x$), or a mixture thereof. A first material may
30 generally be deposited using any suitable deposition method(s), for example, sputtering, evaporation, Chemical Vapor Deposition (CVD), PECVD, Inductively Coupled

Plasma Chemical Vapor Deposition (ICP-CVD), Atomic Layer Deposition (ALD), and/or variants thereof.

With reference to FIG. 3F, the method comprises revealing a ridge portion 221 of the substrate 220 prior to a process of performing secondary dry etching. In other embodiments, a method for fabricating a blazed grating may or may not comprise revealing a ridge portion of a substrate prior to a process of performing secondary dry etching.

In the embodiment of FIG. 3, the process of revealing the ridge portion 221 of the substrate 220 may comprise an additional dry etching step. In other words, at least part of the first material 250 covering the ridge portion 221 may be etched using dry etching methods. In other embodiments, wherein a method for fabricating a blazed grating comprises revealing a ridge portion of a substrate, said process of revealing a ridge portion may be implemented in any suitable manner.

With reference to FIGS. 3G, the method comprises performing secondary dry etching of the substrate 220 such that secondary ions 151, indicated schematically by black dots, are accelerated towards a secondary etch direction 152 to impinge on the substrate 220. The secondary ions 151 are collimated to form a collimated secondary ion beam for etching the substrate 220.

In the embodiment of FIG. 3, the process of performing secondary dry etching comprises utilizing the interstitial feature 251 as an etch stop. In other embodiments, a process of performing secondary dry etching may or may not comprise utilizing the interstitial feature as an etch stop.

In the embodiment of FIG. 3, the interstitial feature 251 is arranged towards the anti-blaze facet direction 214 from the ridge portion 221. In other embodiments, an interstitial feature may be arranged towards
5 a blaze facet direction or towards an anti-blaze facet direction from a ridge portion. In other embodiments, wherein a secondary projection of the secondary etch direction onto the grating cross-sectional plane extends perpendicular to one of the blaze facet direction and
10 the anti-blaze facet direction, an interstitial feature may be arranged towards the other of the blaze facet direction and the anti-blaze facet direction from a ridge portion.

With reference to FIG. 3H, the method further comprises
15 a post-processing step, whereby the interstitial feature 251 is removed. Such step may be implemented, for example, as a wet etching step. In other embodiments, a method for fabricating a blazed grating may or may not comprise such post-processing step.

20 FIGs. 4A through 4F, collectively referred to throughout this specification as FIG. 4, schematically depict a series of subsequent stages of a method for fabricating a blazed grating 200 comprising a ridge 210 having a blaze facet 211 facing a blaze facet direction 212 and
25 an anti-blaze facet 213 facing an anti-blaze facet direction 214, the blaze facet direction 212 and the anti-blaze facet 213 extending along a grating cross-sectional plane 215, according to an embodiment. In FIG. 4, the grating cross-sectional plane 215 extends perpendicular to the plane of the drawings of FIGs. 4A through
30 4F. In other embodiments, a method for fabricating a blazed grating may comprise a series of stages similar

or different to the stages of the method of the embodiment of FIG. 4.

The embodiment of FIG. 4 may be in accordance with any of the embodiments disclosed with reference to and/or in conjunction with any of FIGs. 1 to 3. Additionally or alternatively, although not explicitly stated in the following, the embodiment of FIG. 4 may generally comprise any features of any of the embodiments disclosed with reference to and/or in conjunction with any of FIGs. 1 to 3.

With reference to FIGs. 4A, the method comprises providing a substrate 220 and a patterned mask layer 230 on the substrate 220. The method of the embodiment of FIG. 2 may constitute an example of a method for fabricating a blazed grating, whereby the blazed grating is formed onto a contact face of a nanoimprint stamp. As such, the substrate 220 of the embodiment of FIG. 2 may be a nanoimprint stamp 280 having a contact face 281.

In the embodiment of FIG. 4, the nanoimprint stamp 280 may be formed of single-crystalline silicon. In other embodiments, a nanoimprint stamp may be formed of or comprise any suitable material(s). For example, in some embodiments, a nanoimprint stamp may comprise a plurality of stacked layers formed of different materials.

As indicated in FIG. 4A, an interface 222 between the substrate 220 and the mask layer 230 extends along a base plane 260 extending perpendicular to the grating cross-sectional plane 215.

With reference to FIG. 4B, the method performing primary dry etching of the substrate 220 such that primary ions 121, indicated schematically by white dots, are

accelerated towards a primary etch direction 122 to impinge on the substrate 220. In the embodiment of FIG. 4, the primary ions 121 are collimated to form a collimated primary ion beam for etching the substrate 220. In other
5 embodiments, primary ions may or may not be collimated to form a collimated primary ion beam for etching a substrate.

In the embodiment of FIG. 4, a primary projection 123 of the primary etch direction 122 onto the grating
10 cross-sectional plane 215 extends perpendicular to blaze facet direction 212. Additionally, the process of performing primary dry etching of the embodiment of FIG. 4 comprises forming a groove 240 extending in the substrate 220 along the primary etch direction 122.

15 With reference to FIG. 4C, the method comprises depositing first material 250 into the groove 240 to form an interstitial feature 251. In other embodiments, a method for fabricating a blazed grating may or may not comprise depositing first material into a groove to form
20 an interstitial feature.

In the embodiment of FIG. 4, the process of depositing first material 250 into the groove 240 comprises an atomic layer deposition step. In other words, at least part of the first material 250 deposited into the
25 groove 240 is deposited using atomic layer deposition. The first material 250 of the embodiment of FIG. 3 may be aluminum oxide (AlO_2 or AlO_x). In other embodiments, wherein a process of depositing first material into a groove comprises an atomic layer deposition step, any
30 suitable type of first material may be used.

With reference to FIGs. 4D, the method comprises revealing a ridge portion 221 of the substrate 220 prior to a process of performing secondary dry etching. In the embodiment of FIG. 4, the process of revealing the ridge
5 portion 221 of the substrate 220 may comprise, for example, a lift-off step for removing the mask layer 230 and first material 250 covering the ridge portion 221.

With reference to FIGs. 4E, the method comprises performing secondary dry etching of the substrate 220 such
10 that secondary ions 151, indicated schematically by black dots, are accelerated towards a secondary etch direction 152 to impinge on the substrate 220. The process of performing secondary dry etching comprises utilizing the interstitial feature 251 as an etch stop. The
15 interstitial feature 251 is arranged towards the blaze facet direction 212 from the ridge portion 221.

In the embodiment of FIG. 4, the secondary etch direction 152 may extend parallel to the grating cross-sectional plane 215. Consequently, the secondary etch direction 152 may extend perpendicular to the base
20 plane 260. Since the secondary etch direction 152 may extend perpendicular to the base plane 260, the process of performing primary dry etching of the embodiment of FIG. 4 may comprise a RIE step.

25 Finally, with reference to FIG. 3F, the method further comprises a post-processing step, whereby the interstitial feature 251 is removed, for example, by wet etching.

It is to be understood that the embodiments described above may be used in combination with each other. Several of the embodiments may be combined together to form a further embodiment.

5 It is obvious to a person skilled in the art that with the advancement of technology, the basic idea of the invention may be implemented in various ways. The invention and its embodiments are thus not limited to the examples described above, instead they may vary within
10 the scope of the claims.

It will be understood that any benefits and advantages described above may relate to one embodiment or may relate to several embodiments. The embodiments are not limited to those that solve any or all of the stated
15 problems or those that have any or all of the stated benefits and advantages.

The term "comprising" is used in this specification to mean including the feature(s) or act(s) followed thereafter, without excluding the presence of one or more
20 additional features or acts. It will further be understood that reference to 'an' item refers to one or more of those items.

REFERENCE SIGNS

100 method	154 utilizing the intersti-
110 providing a substrate	tial feature as an etch
and a patterned mask	stop
layer	200 blazed grating
111 providing a plurality	210 ridge
of elongated through-	211 blaze facet
holes in a coating	212 blaze facet direction
120 performing primary dry	213 anti-blaze facet
etching	214 anti-blaze facet direc-
121 primary ions	tion
122 primary etch direction	215 grating cross-sectional
123 primary projection	plane
124 forming a groove ex-	220 substrate
tending in the substrate	221 ridge portion
130 depositing first mate-	222 interface
rial into the groove	230 mask layer
131 atomic layer deposition	231 through-hole
140 revealing a ridge por-	232 coating
tion	240 groove
150 performing secondary	250 first material
dry etching	251 interstitial feature
151 secondary ions	260 base plane
152 secondary etch direc-	270 waveguide
tion	271 face
153 secondary projection	280 nanoimprint stamp
	281 contact face

CLAIMS

1. A method (100) for fabricating a blazed grating comprising a ridge having a blaze facet facing a blaze facet direction and an anti-blaze facet facing an anti-blaze facet direction, the blaze facet direction
5 and the anti-blaze facet direction extending along a grating cross-sectional plane, the method comprising:

- providing a substrate and a patterned mask layer (110) on the substrate,
- performing primary dry etching (120) of the sub-
10 strate such that primary ions are accelerated towards a primary etch direction to impinge on the substrate, and
- performing secondary dry etching (150) of the sub-
15 strate such that secondary ions are accelerated towards a secondary etch direction to impinge on the substrate;

wherein a primary projection of the primary etch direction onto the grating cross-sectional plane and a secondary projection of the secondary etch direc-
20 tion onto the grating cross-sectional plane extend perpendicular to one and the other of the blaze facet direction and the anti-blaze facet direction, respectively, and ions accelerated towards a direction with a projection onto the grating cross-sectional plane per-
25 pendicular to the blaze facet direction are collimated to form a collimated ion beam for etching the substrate.

2. A method (100) according to claim 1, wherein the process of performing primary dry etching (120) comprises forming a groove extending in the substrate (124)
30 along the primary etch direction, the method (100) comprises depositing first material into the groove (130)

to form an interstitial feature, and the process of performing secondary dry etching (150) comprises utilizing the interstitial feature as an etch stop (154).

3. A method (100) according to claim 2,
5 wherein the method (100) comprises revealing a ridge portion (140) of the substrate prior to the process of performing secondary dry etching (150).

4. A method (100) according to claim 2 or 3,
wherein the process of depositing first material into
10 the groove (130) comprises an atomic layer deposition (131) step.

5. A method (100) according to any of the preceding claims, wherein an interface between the substrate and the mask layer extends along a base plane,
15 and the primary projection or the secondary projection extends perpendicular to the base plane.

6. A method (100) according to claim 5,
wherein the primary etch direction or the secondary etch direction extends perpendicular to the base plane.

20 7. A method (100) according any of claims 1 to 4, wherein an interface between the substrate and the mask layer extends along a base plane, and the primary projection and the secondary projection extend obliquely with respect to the base plane.

25 8. A method (100) according to any of the preceding claims, wherein the process of providing a substrate and a patterned mask layer (110) comprises providing a plurality of elongated through-holes in a coating (111) arranged on the substrate to form the mask
30 layer, the plurality of elongated through-holes extending perpendicular to the grating cross-sectional plane.

9. A method (100) according to any of the preceding claims, wherein, under primary etching conditions, the primary ions exhibit a first primary chemical reactivity with the mask layer and a second primary chemical reactivity higher than the first primary chemical reactivity with the substrate, and/or, under secondary etching conditions, the secondary ions exhibit a first secondary chemical reactivity with the mask layer and a second secondary chemical reactivity higher than the first secondary chemical reactivity with the substrate.

10. A method (100) according to any of the preceding claims, whereby the blazed grating is formed onto a face of a waveguide for coupling light into the waveguide and/or out of the waveguide.

11. A method according to any of claims 1 to 9, whereby the blazed grating is formed onto a contact face of a nanoimprint stamp.

FIG. 1

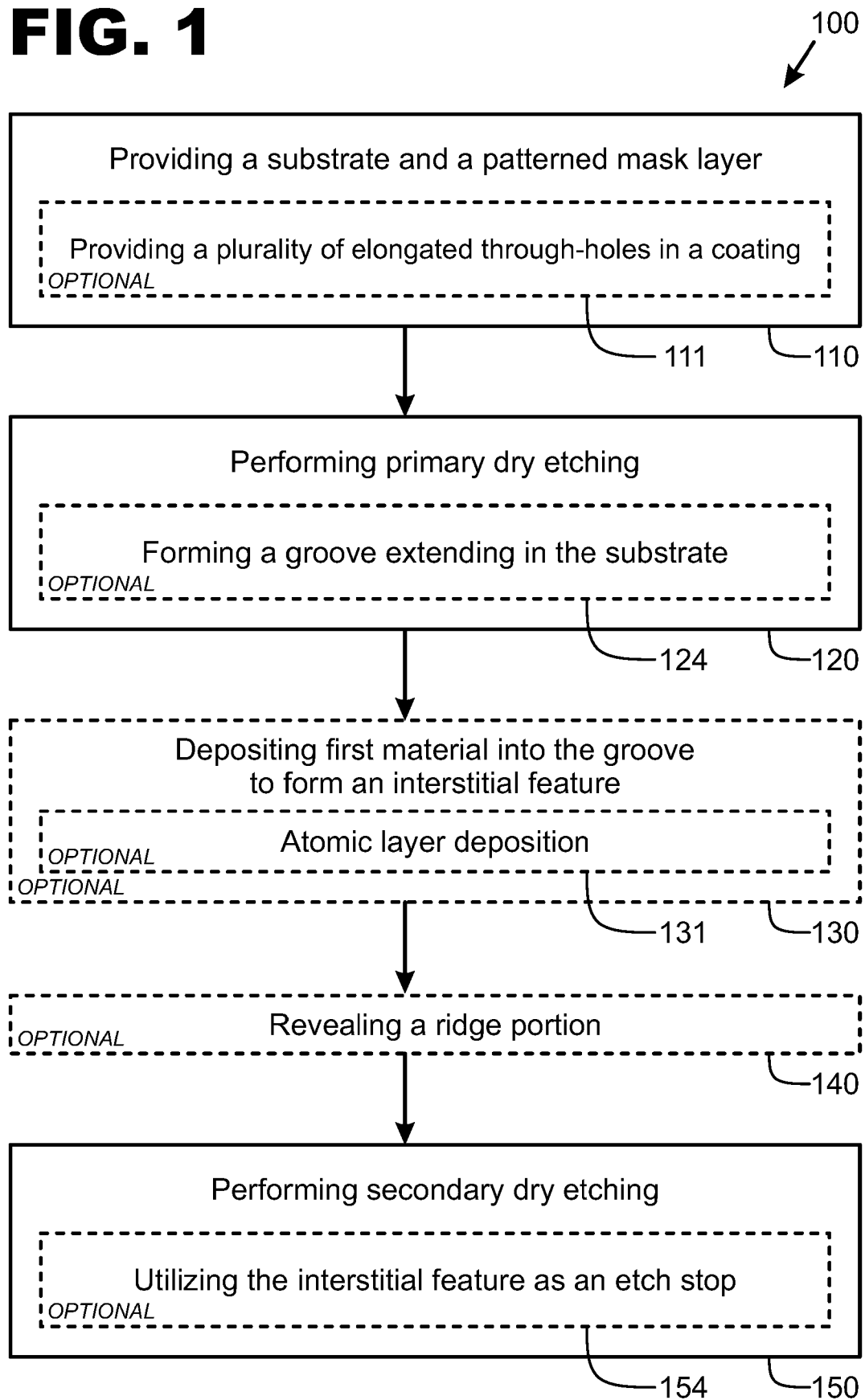


FIG. 2A

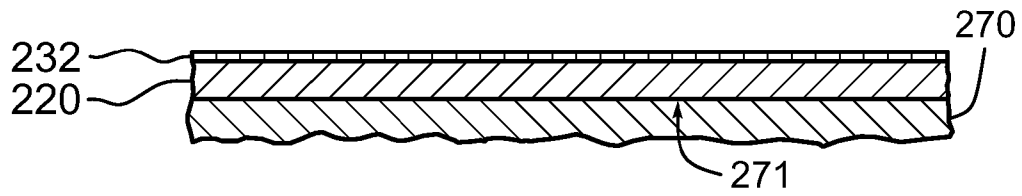


FIG. 2B

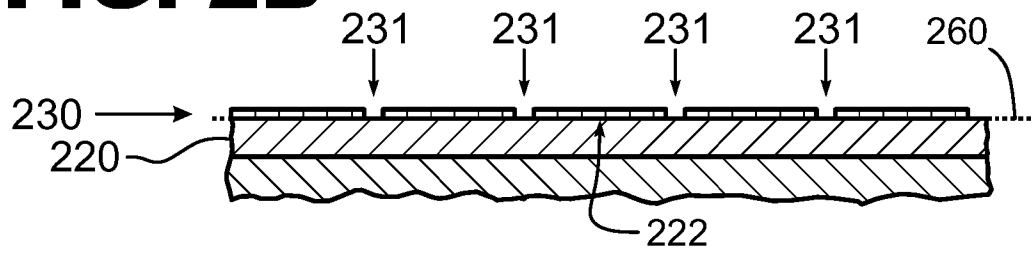


FIG. 2C

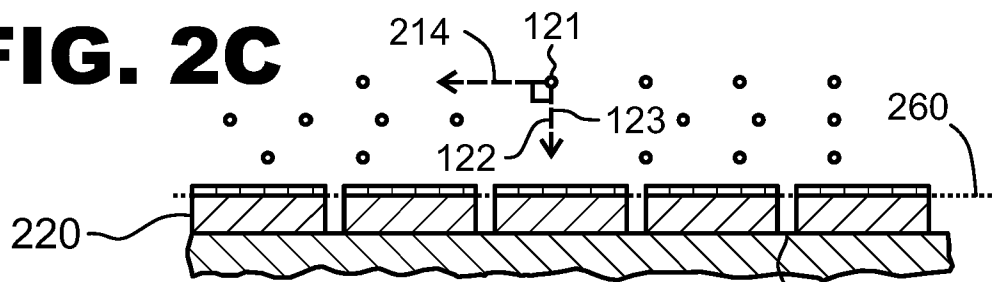


FIG. 2D

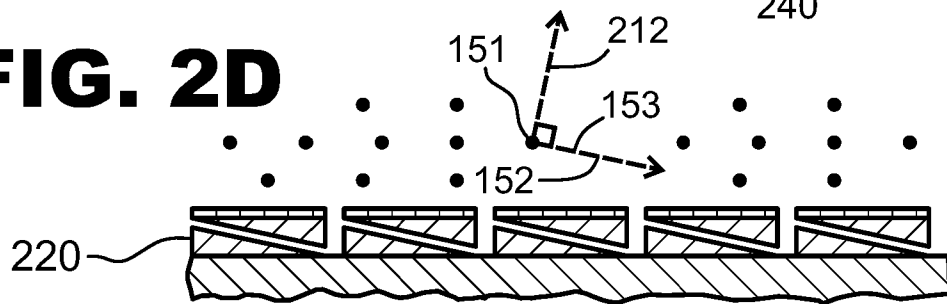


FIG. 2E

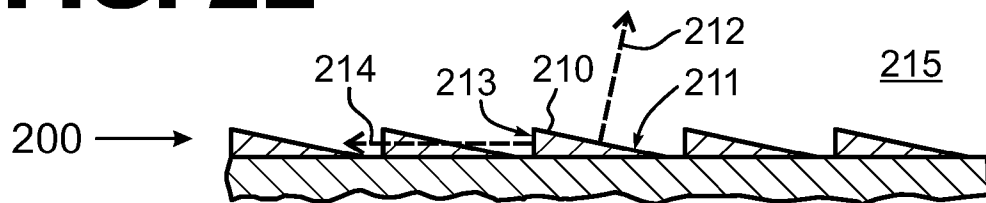


FIG. 3A

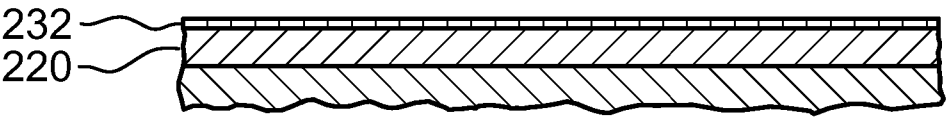


FIG. 3B

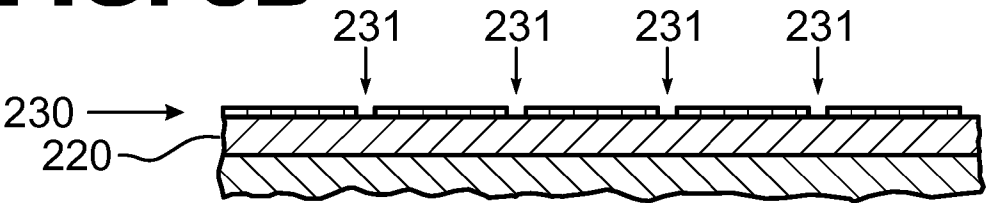


FIG. 3C

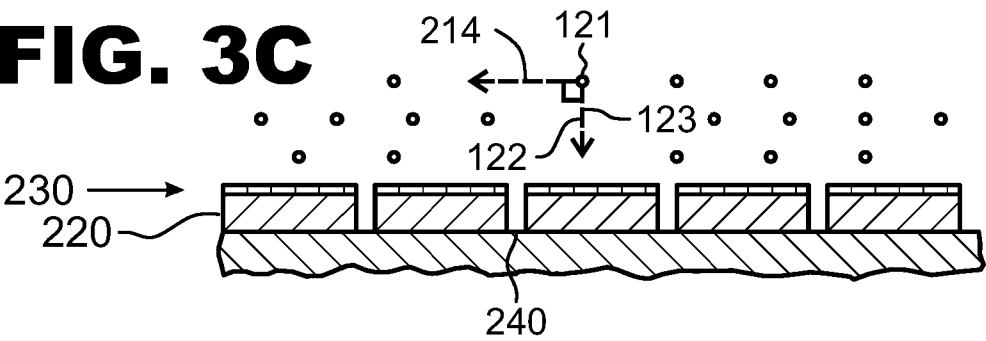


FIG. 3D



FIG. 3E

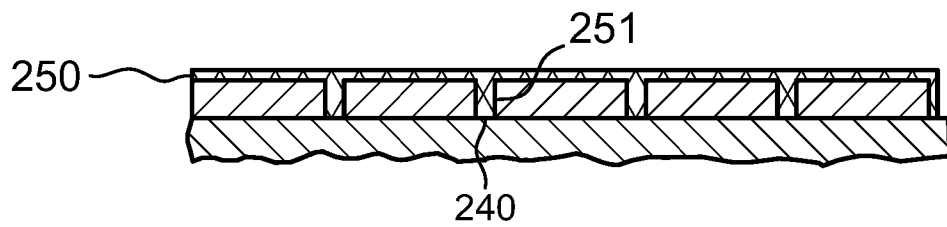


FIG. 3F

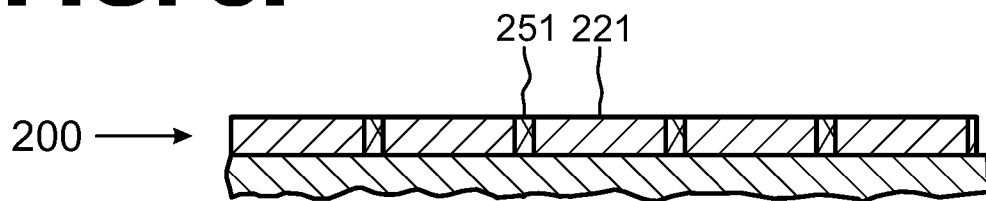


FIG. 3G

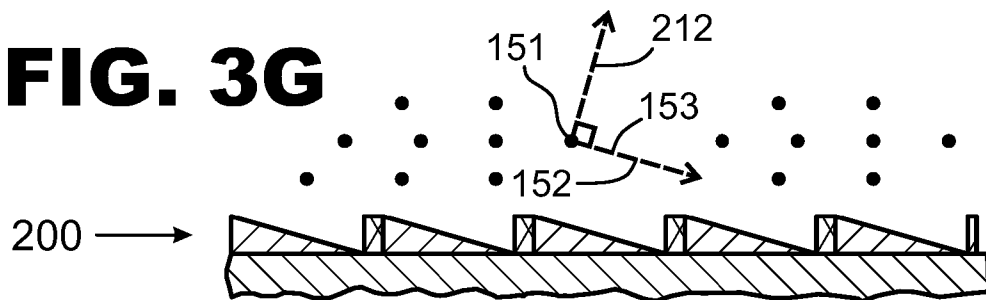


FIG. 3H

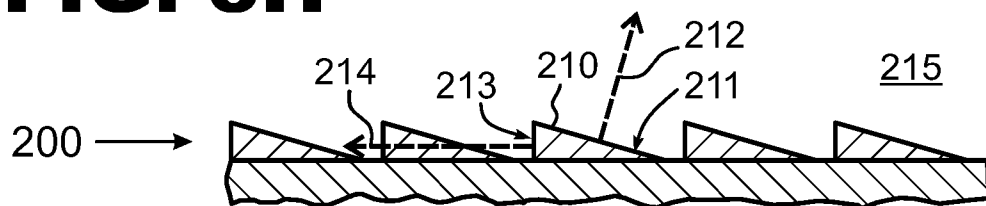


FIG. 4A

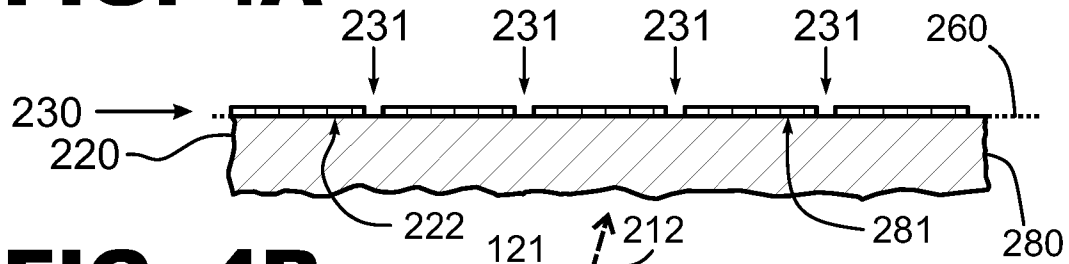


FIG. 4B

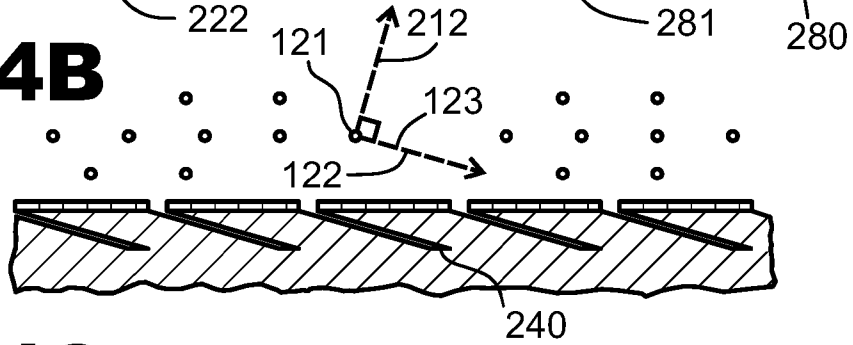


FIG. 4C

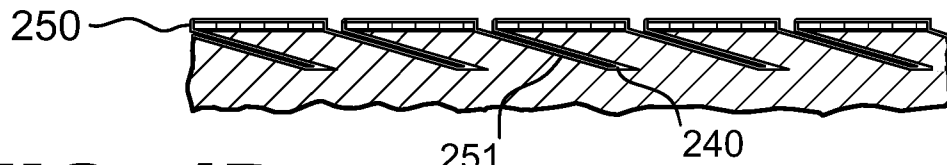


FIG. 4D

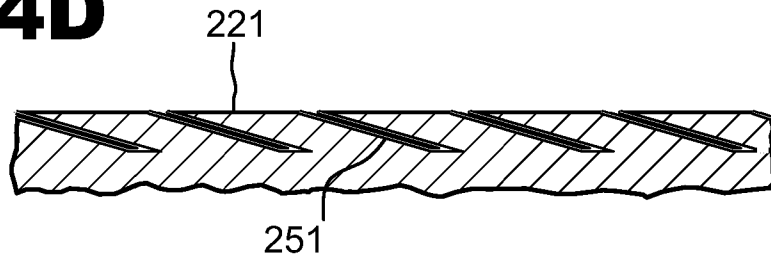


FIG. 4E

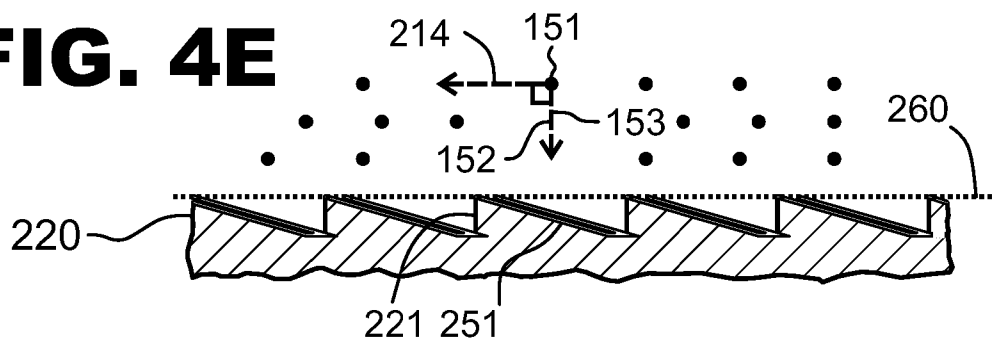
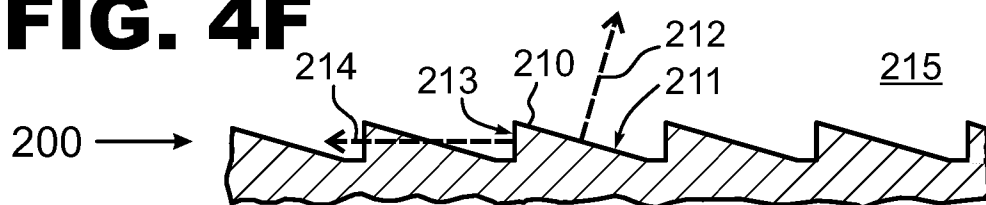


FIG. 4F.



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SEARCH REPORT

PATENT APPLICATION No.		CLASSIFICATION	
20215575		IPC G02B 5/18 (2006.01) G03H 1/18 (2006.01)	CPC G02B 5/1847 G02B 5/1857 G02B 5/1861 G03H 1/182
PATENT CLASSES SEARCHED (classification systems and classes)			
IPC: G02B, G03H			
DATABASES CONSULTED DURING THE SEARCH			
EPODOC, EPO-Internal full-text databases, full-text translation databases from Asian languages, WPIAP, XP3GPP, XPAIP, XPCPVO, XPESP, XPETSI, XPI3E, XPIEE, XPIETF, XPIOP, XPIPCOM, XPJPEG, XPMISC, XPOAC, XPRD, XPTK, BIOSIS, COMPD, EMBASE, INSPEC, TDB			

DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*)	Bibliographic data on the document and relevant passages	Relevant to claims
X Y	JP H04186829 A (FUJITSU LTD) 03 July 1992 (03.07.1992) Figs. 1a-g & abstract [online] EPOQUENET EPODOC & WPI & machine translation into English [online] EPOQUENET TXPMTJEA: paragraphs [0001], [0003]	1-3, 5-6, 9 4
X Y	WO 2020185954 A1 (MAGIC LEAP INC [US]) 17 September 2020 (17.09.2020) paragraphs [0780], [0811], [0864], [0876]-[0879]; Figs. 11A 30A-B, 31, 34, 36, 37A, 38A-B, 39; claim 1	1, 7-8, 10-11 4

Continued on the next sheet ☒

*) X Document indicating that the invention is not novel or does not involve an inventive step with respect to the state of the art.
Y Document indicating that the invention does not involve an inventive step with respect to the state of the art if combined with one or more other documents in the same category.
A Document representing the general state of the art.

O Document referring to disclosure through lecture, use or other non-written means.
P Document published prior to the filing date but not prior to the earliest priority date.
T Document published after the filing date or priority date and illustrating the principle or theory underlying the invention.
E Earlier patent or utility model application that either is Finnish or designates Finland published on or after the filing date (priority date).
D Document that is mentioned in the application.
L Document which may throw doubts on priority claim(s), is cited to establish the publication date of another citation or is referred to for some other reason.

& Document member of the same patent family.

This document has been electronically signed.

Further information given in the annex ☐

Date
08.12.2021

Patent Examiner
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Telephone 029 509 5000

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SEARCH REPORT**PATENT APPLICATION No.**

20215575

DOCUMENTS CONSIDERED TO BE RELEVANT, CONTINUED

Category*)	Bibliographic data on the document and relevant passages	Relevant to claims
A	US 2005130072 A1 (KOEDA MASARU [JP]) 16 June 2005 (16.06.2005) paragraphs [0016], [0035]-[0041], [0047]; Figs. 1A-F, 4-5; claim 2	1-11
A	WO 0206860 A1 (NETWORK PHOTONICS INC [US]) 24 January 2002 (24.01.2002) p. 19: line 10- p. 22: line 28; Figs. 15a, b, f; claim 1	1-11
A	US 2020018985 A1 (EVANS MORGAN [US] et al.) 16 January 2020 (16.01.2020) the whole document	1-11
A	US 2013170043 A1 (KO CHENG-HAO [TW]) 04 July 2013 (04.07.2013) paragraphs [0058]-[0059], [0064]; Fig. 5A-B; claims 1, 5	1-11