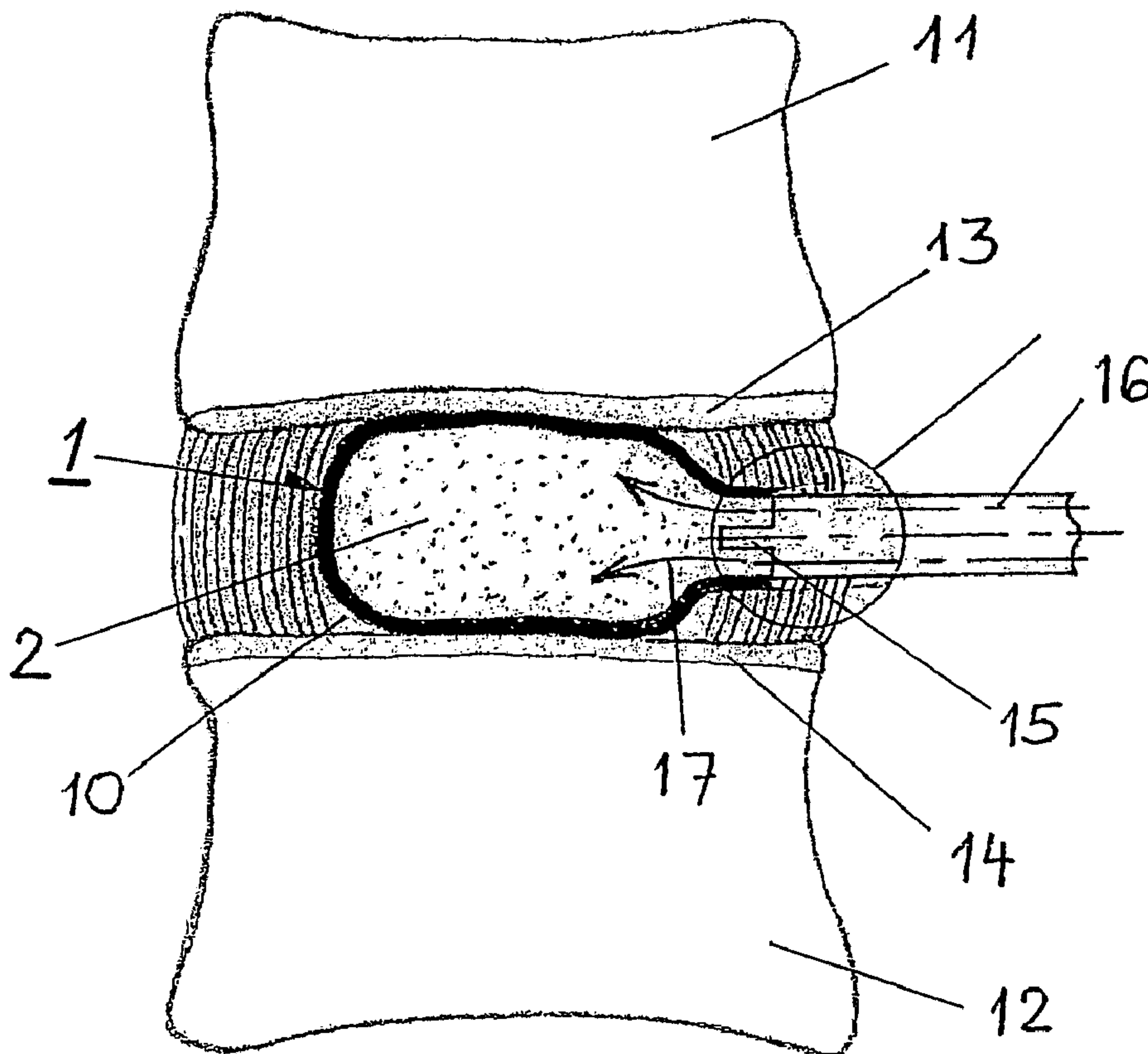




(86) Date de dépôt PCT/PCT Filing Date: 2001/12/05  
 (87) Date publication PCT/PCT Publication Date: 2003/06/12  
 (45) Date de délivrance/Issue Date: 2009/03/31  
 (85) Entrée phase nationale/National Entry: 2004/06/01  
 (86) N° demande PCT/PCT Application No.: CH 2001/000700  
 (87) N° publication PCT/PCT Publication No.: 2003/047472

(51) Cl.Int./Int.Cl. *A61F 2/44* (2006.01),  
*A61L 27/16* (2006.01), *A61L 27/18* (2006.01),  
*A61L 27/52* (2006.01)  
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(54) Titre : PROTHESE DE DISQUE VERTEBRAL OU PROTHESE DE REMPLACEMENT DE NOYAU  
 (54) Title: INTERVERTEBRAL DISK PROSTHESIS OR NUCLEUS REPLACEMENT PROSTHESIS



(57) Abrégé/Abstract:

The intervertebral disk prosthesis or nucleus replacement prosthesis comprises a bio-compatible pouch (1) receiving a curable, flowable material (2) containing monomers, comonomers, homopolymers, oligomers or mixtures thereof. Curing the curable, flowable material introduced into the pouch (1) takes place in situ.

**ABSTRACT**

*The intervertebral disk prosthesis or nucleus replacement prosthesis comprises a bio-compatible pouch (1) receiving a curable, flowable material (2) containing monomers, comonomers, homopolymers, oligomers or mixtures thereof. Curing the curable, flowable material introduced into the pouch (1) takes place in situ.*

1903/

English translation of the International Patent Application as originally filed

INTERVERTEBRAL DISK PROSTHESIS OR NUCLEUS REPLACEMENT PROSTHESIS

The present invention relates to an intervertebral disk prosthesis or nucleus replacement prosthesis defined in the preamble of claim 1.

A substantial number of such intervertebral disk prostheses is already known in the state of the art, said prostheses however all being prefabricated and requiring implantation in the prefabricated, comparatively bulky state into the intervertebral space.

The above cited state of the art is merely cited to discuss the background of the present invention, but it does not imply that said cited state of the art was in fact published or known to the public at the time of this application or its priority.

The objective of the present invention is to create an intervertebral disk prosthesis or nucleus replacement prosthesis allowing implantation in a comparatively dimensionally compacted state into the intervertebral space and, after being filled with a curable, flowable substance, to be solidified by a curing procedure.

The present invention solves the above problem using an intervertebral disk prosthesis comprising the features of claim 1.

The still empty pouch of the intervertebral disk prosthesis is easily inserted in its collapsed state into the intervertebral space and then may be filled by means of a syringe and an appropriate cannula with a flowable mixture of monomers. The pouch (or balloon) may be fitted with a special surface and/or thickness and/or a special material such as polycarbonate urethane (PCU) or a polycarbonate so it shall make contact by its appropriate sides with the upper plates of the adjacent vertebrae.

This design offers the advantages that the contact surfaces of the two upper plates (cartilage layer) of the adjacent vertebrae shall entail optimal conditions of sliding, biocompatibility, rigidity etc. at the involved motions (rotation, extension, flexion).

By selecting appropriate pressurization, said pouch may be filled with the polymerizable mixture of monomers to such an extent that the intervertebral disk height shall once again be the appropriate anatomical initial height. In this procedure, the said material may be introduced into the pouch at an excess pressure of less than 3 atmospheres, preferably no more than 1.1 atmosphere.

However said material also may be introduced into the pouch in the absence of substantial excess pressure when the affected vertebrae are kept spaced apart using appropriate implements.

By inserting a light guide (for instance an optical fiber cable) into the pouch, i.e. into its aperture, the polymerizable material illustratively may be photo-polymerized using blue light (for instance of 340 nm wavelength). As regards aqueous monomer solutions, polymer cross-linking may result in a hydrogel.

Such a result offers the advantage that in the event of stress on the body, the hydrogel may release water, whereas in the case of the body at rest, it may absorb water. In this manner a damping effect is attained, furthermore the possibility to restore the intervertebral disk to its initial height.

In another preferred embodiment of the present invention, the pouch is double-walled and the curable, flowable material containing monomers, comonomers, homopolymers, oligomers or mixtures thereof is introduced between said two walls, as a result of which the center of the intervertebral disk prosthesis is hollow. The freely selectable size of said cavity allows additional control of Implant flexibility.

In yet another embodiment mode of the present invention, the pouch is chemically identical with the curable, flowable material it contains, as a result of which said latter material may combine with the pouch material.

In a further embodiment mode of the invention, the pouch consists of a memory-effect substance, as a result of which it assumes the geometric shape previously stored at body temperature.

In yet another embodiment mode of the invention, the curable, flowable material contains a polymerization catalyst and preferably a polymerization accelerator.

In yet another preferred embodiment of the invention, the curable, flowable material contains a photo-initiator, preferably a radicals-generating photo-initiator, where said photo-initiator preferably absorbs light in the 340 to 420 nm range. The photo-initiator may be phosphine oxide, preferably an acylphosphine oxide. The phosphine oxide may be copolymerized with dimethylacrylamide. Blue light polymerization offers the advantage over auto-polymerization that higher heat dissipation that might destroy the protein molecule will not take place. Moreover a light guide irradiating the blue light into the balloon may be handled free of danger. The frequency and duration of blue light irradiation may be set merely by controlling the light source.

The monomers, comonomers, homopolymers, oligomers or mixtures that are contained in the curable, flowable material, may be appropriately selected from the group of

- (a) polyethylene glycols, preferably polyethylene glycol diacrylates;
- (b) N-vinyl pyrrolidones; and
- (c) vinyls, preferably vinyl alcohols; and
- (d) styrenes.

The polymers prepared thereby may be varied within wide ranges as regards their elasticities.

Advantageously the curable flowable material contains 30 to 160 % by wt, preferably 40 to 90 % by wt water. A proportion of 45 to 55 % by wt water is especially appropriate. By determining how much water the polymerized material -- especially when it is a hydrogel -- subsequently shall absorb -- the swelling factor --, the additional traction on the spine segment also may be controlled.

A method for manufacturing the intervertebral disk prosthesis or nucleus replacement prosthesis includes the following steps:

(a) implanting a bio-compatible pouch into the intervertebral space between two adjacent vertebrae,

(b) introducing a curable, flowable material containing monomers, comonomers, oligomers or mixtures thereof inside the implanted, bio-compatible pouch, the filled pouch remaining centered in the intervertebral space, and

(c) curing in situ the curable, flowable material in the pouch.

In one variation of the method of the present invention, the pouch may be inflated with air between steps (a) and (b). By means of this preliminary traction, the tractive capacity of the spine segment may be checked.

In a further variation of the method of the present invention, the pouch may be filled with an x-ray contrast means. Said contrast means makes visible the pouch in the spine segment by means of an image converter. This feature allows a check on the proper pouch position.

The said material may be cured by auto-polymerization or by photo-polymerization, preferably using visible or ultraviolet light.

The invention and further implementations of it are elucidated below by means of several illustrative embodiment modes which are shown in partly schematic manner.

**Fig. 1** is a longitudinal section of an intervertebral disk prosthesis implanted between two adjacent vertebrae while the pouch is being filled with a curable and flowable material;

**Fig. 2** is a longitudinal section of the intervertebral disk prosthesis of Fig. 1 when the flowable material is curing;

**Fig. 3** is a longitudinal section of a double-wall intervertebral disk prosthesis;

**Fig. 4** is a longitudinal section of the filling valve of the intervertebral disk prosthesis;

and

**Fig. 5** is a longitudinal section of an intervertebral disk prosthesis comprising external surfaces of different thicknesses.

Fig. 1 shows the intervertebral disk prosthesis in the form of a nucleus replacement prosthesis in the state wherein the biocompatible pouch 1 already has been implanted in the intervertebral space 10 of two adjacent vertebrae 11, 12 and wherein it is being filled through the valve 15 and the cannula 16 with a curable, flowable material 2 in the form of a hydrogel at the inside of the implanted biocompatible pouch 1 in the direction of the arrows 17. The filled pouch 1 remains centered in the intervertebral space 10 and rests against the two upper plates 13, 14 of the adjacent vertebrae 11, 12.

Fig. 2 shows how the material 2 implanted in the biocompatible pouch 1 is cured by photo-polymerization by inserting a light guide 18 through the cannula 16 into said pouch. For that purpose the material 2 contains a radicals-generating photo-initiator. The light used for photo-initiation is indicated by the arrows 19 and is ultraviolet.

Fig. 3 shows a variation of the intervertebral disk prosthesis wherein the pouch 1 is double-walled and the material 2 is introduced between the two walls 3, 4, entailing a hollow center 5 of the intervertebral prosthesis.

To allow filling with material 2 both the single-wall as well as the double-wall variation of the intervertebral disk prosthesis, a special valve 15 shown in Fig. 4 is provided. Substantially this valve 15 comprises a central borehole 21 holding a ball 23 braced by a spring 22 and acting as a check valve, and a peripheral borehole 24 with a ball 25 braced by a spring 26 and also acting as a check valve. The central borehole 21 is used to fill the single-wall variant (shown in Figs. 1 and 2), and the peripheral variant 24 is used to fill the double-wall variant (of Fig. 3). In the latter variant, the central borehole 21 may be used to introduce air or x-ray contrast means.

Fig. 5 shows a further variant of the intervertebral disk prosthesis wherein the pouch 1 comprises walls 6, 7 which shall rest against the upper plates 13, 14 of the adjacent

vertebras 11, 12 and are made thicker than the wall zones elsewhere. At least the walls 6 and 7 of the pouch 1 consist of polycarbonate urethane (PCU) or of polycarbonate.

Several illustrative embodiments of the present invention are discussed below.

#### **Example 1**

45 g of polyethylene glycol diacrylate (PEGDA) having a molecular weight of 700 and 5 g of a copolymer of 2,6-dimethyl-3-vinylbenzoyl phosphine oxide (DMVBPO) and dimethyl acrylamide were dissolved in 50 g distilled water. This hydrogel was cured with blue light having a wavelength of 420 nm and an intensity of 2 watt/cm<sup>2</sup>.

#### **Example 2**

40 g polyethylene glycol diacrylate (PEGDS) having a molecular weight of 700 and 5 g of a copolymer of 4-(VBPO) and dimethyl acrylamide were dissolved in 50 g distilled water. This hydrogel was cured with blue light having a wave length of 420 nm and an intensity of 2 watt/cm<sup>2</sup>.

#### **Example 3**

45 g polyethylene glycol diacrylate (PEGDA) having a molecular weight of 750 and 5 g of a copolymer of 2,4,6-trimethylbenzoyl-phenyl-4-vinylphenyl phosphine oxide (TMBVPO) and dimethyl acrylamide were dissolved in 50 g distilled water, This hydrogel was cured with blue light having a wavelength of 420 nm and an intensity of 2 watt/cm<sup>2</sup>.

The embodiments of the invention in which an exclusive property or privilege is claimed are defined as follows:

1. An intervertebral disk prosthesis or nucleus replacement prosthesis for implantation between upper and lower adjacent vertebrae comprising:  
a biocompatible pouch for receiving a curable, flowable material;  
the biocompatible pouch comprises:  
an upper wall configured to contact at least a portion of the upper vertebra;  
a lower wall configured to contact at least a portion of the lower vertebra; and  
a sidewall extending between the upper wall and the lower wall;  
wherein the upper wall and the lower wall are thicker than the sidewall.
2. The prosthesis of claim 1, wherein the curable, flowable material contains a photo-initiator.
3. The prosthesis of claim 2, wherein the photo-initiator absorbs light in the 340 to 420 nm range.
4. The prosthesis of claim 2, wherein the photo-initiator is phosphine oxide or acylphosphine oxide.
5. The prosthesis of claim 4, wherein the phosphine oxide is copolymerized with dimethylacryl amide.
6. The prosthesis of any one of claims 1 to 5, wherein the curable, flowable material includes a monomer, a comonomer, a homopolymer, or an oligomer, or any combination thereof.
7. The prosthesis of any one of claims 1 to 6, wherein the flowable material is a polyethylene glycol, an N-vinylpyrrolidone, a vinyl or a styrene.

8. The prosthesis of any one of claims 1 to 7, wherein the flowable material further comprises a polymerization catalyst or a polymerization accelerator.
9. The prosthesis of any one of claims 1 to 8, wherein the flowable material contains from about 30% to about 160% by weight of water.
10. The prosthesis of any one of claims 1 to 9, wherein the flowable material contains a hydrogel.
11. The prosthesis of any one of claims 1 to 10, wherein the biocompatible pouch has a double-walled structure capable of containing the flowable material and resulting in a hollow center prosthesis.
12. The prosthesis of any one of claims 1 to 11, wherein the biocompatible pouch is made of a memory-effect substance whereby said pouch is capable of assuming a previously stored geometric shape at body temperature.
13. The prosthesis of any one of claims 1 to 12, wherein the biocompatible pouch is made of a substance that is chemically identical to the flowable material contained in the pouch.
14. The prosthesis of any one of claims 1 to 13, wherein the upper wall and the lower wall of the biocompatible pouch comprise polycarbonate urethane or polycarbonate.
15. The prosthesis of any one of claims 1 to 14, wherein the flowable material is curable by photo-polymerization using visible or ultraviolet light.
16. The prosthesis of any one of claims 1 to 15, wherein the flowable material is curable by auto-polymerization.
17. The prosthesis of any one of claims 1 to 16, wherein the biocompatible pouch is capable of being inflated by air before receiving the flowable material.

18. The prosthesis of any one of claims 1 to 16, wherein the biocompatible pouch can be inflated by air before receiving the flowable material.

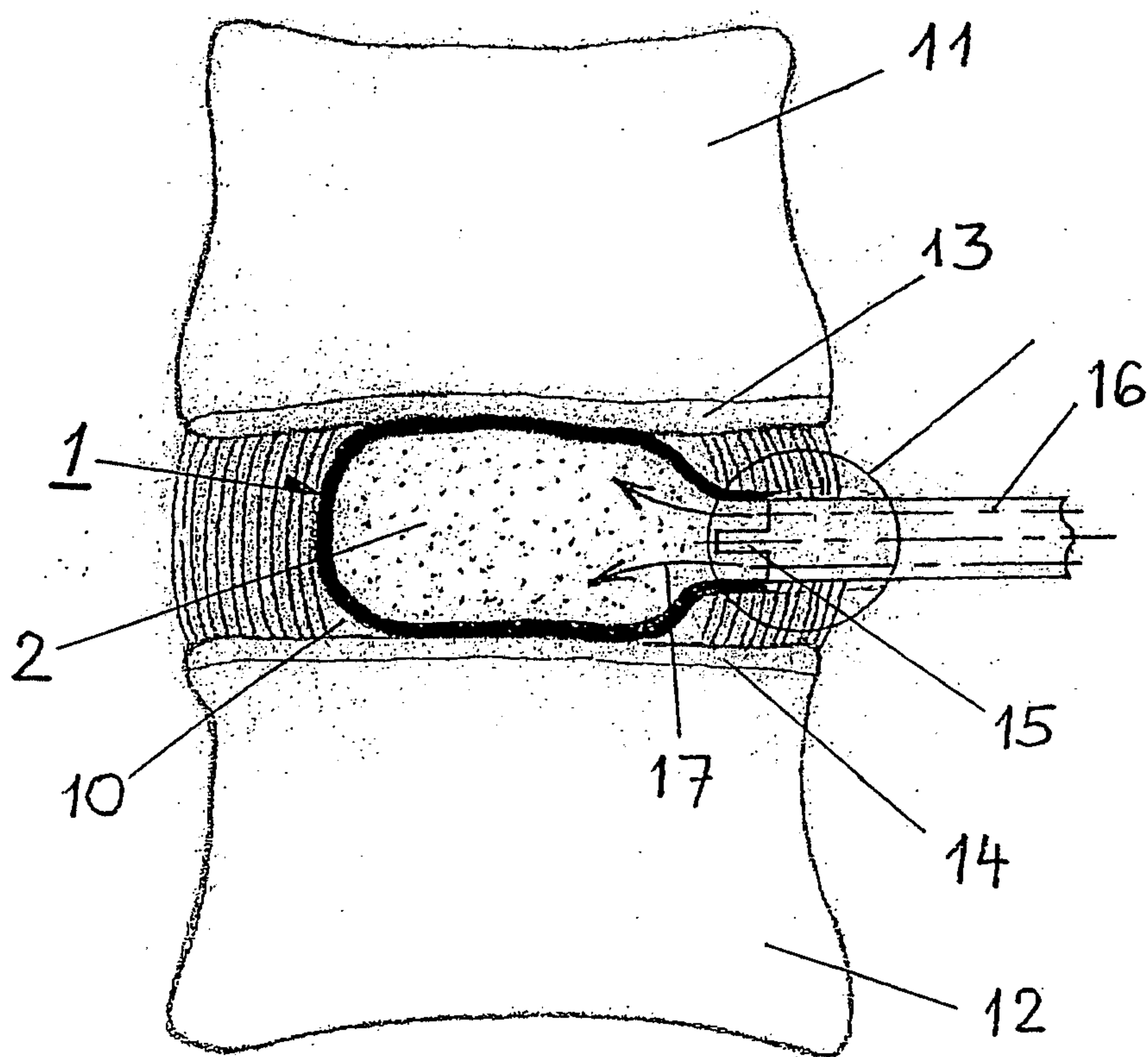


Fig. 1

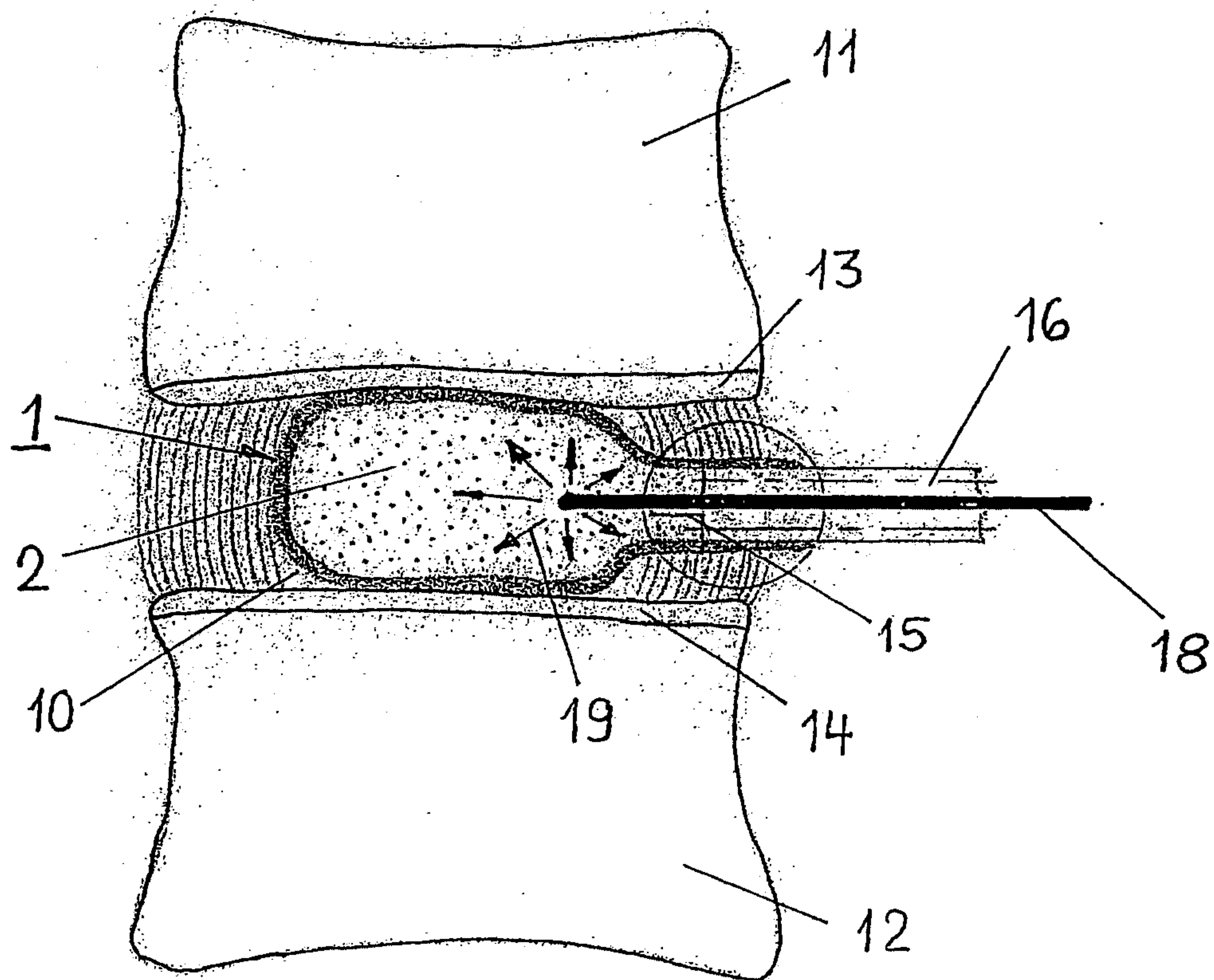


Fig. 2

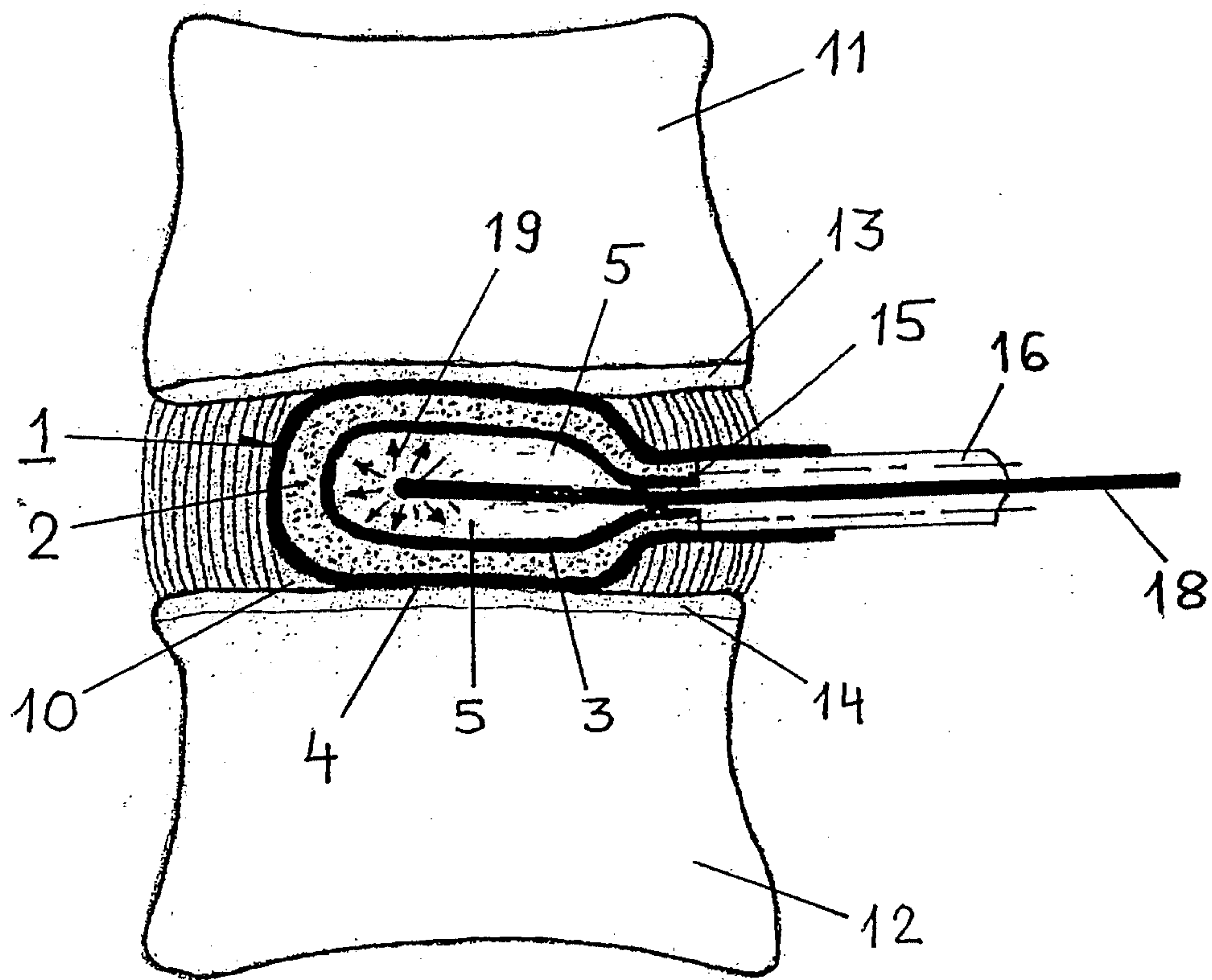


Fig. 3

