



(86) Date de dépôt PCT/PCT Filing Date: 1996/01/20
(87) Date publication PCT/PCT Publication Date: 1996/08/08
(45) Date de délivrance/Issue Date: 2006/03/21
(85) Entrée phase nationale/National Entry: 1997/07/28
(86) N° demande PCT/PCT Application No.: EP 1996/000234
(87) N° publication PCT/PCT Publication No.: 1996/023894
(30) Priorités/Priorities: 1995/02/03 (19503605.0) DE;
1995/06/29 (19523151.1) DE

(51) Cl.Int./Int.Cl. *C12P 41/00* (2006.01),
C12P 13/00 (2006.01)
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(54) Titre : SEPARATION DES RACEMATES D'AMINES PRIMAIRES ET SECONDAIRES SUBSTITUEES PAR DES HETEROATOMES PAR ACYLATION CATALYSEE PAR DES ENZYMES
(54) Title: RESOLUTION OF RACEMATES OF PRIMARY AND SECONDARY HETEROATOM-SUBSTITUTED AMINES BY ENZYME-CATALYZED ACYLATION

(57) **Abrégé/Abstract:**

A process for preparing optically active primary and secondary heteroatom-substituted amines from the corresponding racemates is characterised in that (a) a racemic heteroatom-substituted amine is enantioselectively acylated in the presence of a hydrolase with an ester whose acid component bear a fluorine, nitrogen, phosphorus, oxygen or sulphur atom next to the carbonyl carbon atom; (b) the mixture of optically active heteroatom-substituted amine and optically active acylated heteroatom-substituted amine is separated in order to produce an enantiomer of the heteroatom-substituted amine; (c) the other enantiomer of the heteroatom-substituted amine is optionally extracted from the acylated heteroatom-substituted amine by amide cleavage.



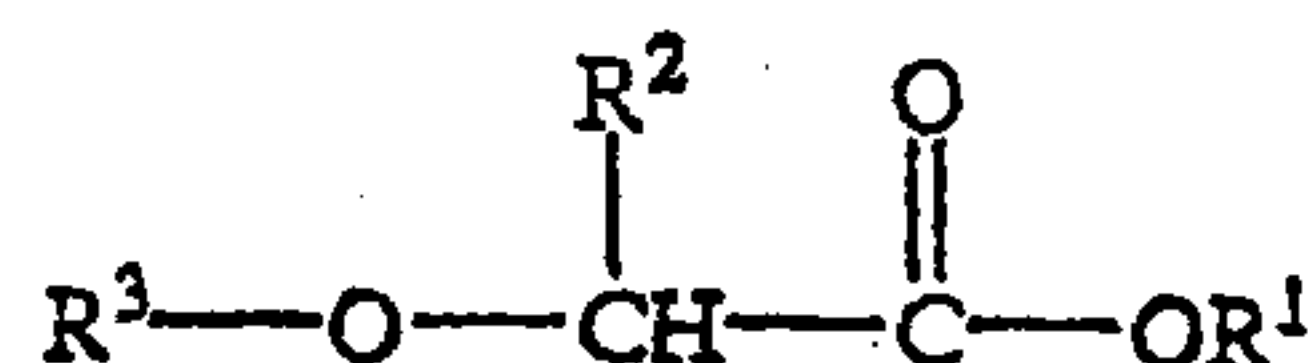


PCT
 WELTORGANISATION FÜR GEISTIGES EIGENTUM
 Internationales Büro
 INTERNATIONALE ANMELDUNG VERÖFFENTLICHT NACH DEM VERTRAG ÜBER DIE
 INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES PATENTWESENS (PCT)

<p>(51) Internationale Patentklassifikation ⁶ : C12P 13/02, 41/00</p>	<p>A1</p>	<p>(11) Internationale Veröffentlichungsnummer: WO 96/23894 (43) Internationales Veröffentlichungsdatum: 8. August 1996 (08.08.96)</p>
<p>(21) Internationales Aktenzeichen: PCT/EP96/00234 (22) Internationales Anmeldedatum: 20. Januar 1996 (20.01.96) (30) Prioritätsdaten: 195 03 605.0 3. Februar 1995 (03.02.95) DE 195 23 151.1 29. Juni 1995 (29.06.95) DE (71) Anmelder (für alle Bestimmungsstaaten ausser US): BASF AKTIENGESELLSCHAFT [DE/DE]; D-67056 Ludwigshafen (DE). (72) Erfinder; und (75) Erfinder/Anmelder (nur für US): BALKENHOHL, Friedhelm [DE/DE]; Kirchenstrasse 22, D-67117 Limburgerhof (DE). DITRICH, Klaus [DE/DE]; Raiffeisenstrasse 10, D-67161 Gönheim (DE). NÜBLING, Christoph [DE/DE]; Wilhelmstrasse 13, D-67454 Haßloch (DE). (74) Gemeinsamer Vertreter: BASF AKTIENGESELLSCHAFT; D-67056 Ludwigshafen (DE).</p>	<p>(81) Bestimmungsstaaten: CA, CN, JP, KR, SG, US, europäisches Patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p>Veröffentlicht <i>Mit internationalem Recherchenbericht.</i></p> <p style="text-align: right; font-size: 1.5em;">45613 020503</p>	
<p>(54) Title: RACEMATE SEPARATION OF PRIMARY AND SECONDARY HETEROATOM-SUBSTITUTED AMINE BY ENZYME-CATALYSED ACYLATION</p> <p>(54) Bezeichnung: RACEMATSPALTUNG PRIMÄRER UND SEKUNDÄRER HETEROATOMSUBSTITUIERTER AMINE DURCH ENZYM-KATALYSIERTE ACYLIERUNG</p> <p>(57) Abstract</p> <p>A process for preparing optically active primary and secondary heteroatom-substituted amines from the corresponding racemates is characterised in that (a) a racemic heteroatom-substituted amine is enantioselectively acylated in the presence of a hydrolase with an ester whose acid component bear a fluorine, nitrogen, phosphorus, oxygen or sulphur atom next to the carbonyl carbon atom; (b) the mixture of optically active heteroatom-substituted amine and optically active acylated heteroatom-substituted amine is separated in order to produce an enantiomer of the heteroatom-substituted amine; (c) the other enantiomer of the heteroatom-substituted amine is optionally extracted from the acylated heteroatom-substituted amine by amide cleavage.</p> <p>(57) Zusammenfassung</p> <p>Beschrieben wird ein Verfahren zur Herstellung von optisch aktiven primären und sekundären heteroatoms substituierten Aminen aus den entsprechenden Racematen, das dadurch gekennzeichnet ist, daß man a) ein racemisches heteroatoms substituiertes Amin mit einem Ester, dessen Säurekomponente ein Fluor-, Stickstoff-, Phosphor-, Sauerstoff- oder Schwefelatom in Nachbarschaft des Carbonylkohlenstoffatoms trägt, in Gegenwart einer Hydrolase enantioselektiv acyliert, b) das Gemisch aus optisch aktivem heteroatoms substituiertem Amin und optisch aktivem acylierten heteroatoms substituiertem Amin trennt und somit ein Enantiomer des heteroatoms substituierten Amins erhält, c) gewünschtenfalls aus dem acylierten heteroatoms substituierten Amin das andere Enantiomere des heteroatoms substituierten Amins durch Amidspaltung gewinnt.</p>		

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Thus, an object of the present invention is to provide a process for preparing acylated primary and secondary oxygen or nitrogen substituted amines by reacting the oxygen or nitrogen substituted amines with an ester of the formula:



where

R¹=C₁-C₁₀-alkyl,

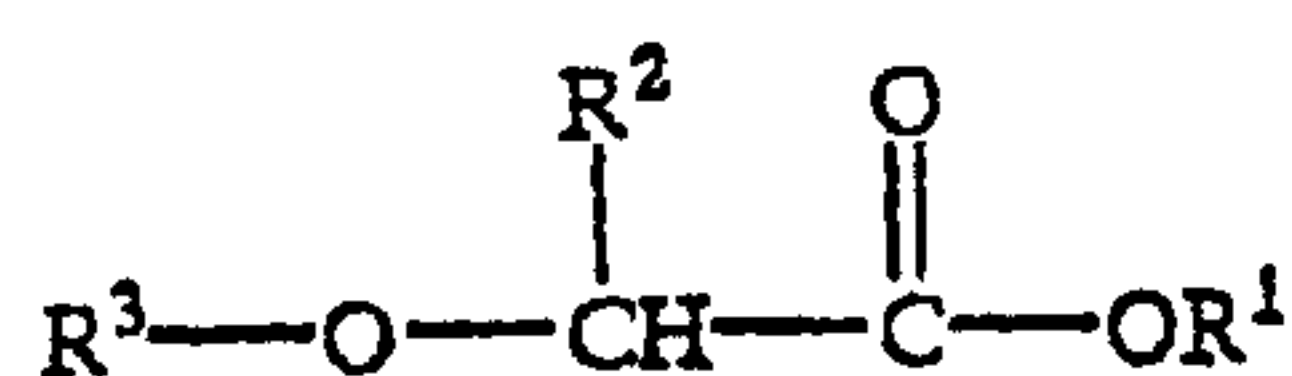
10 R²=C₁-C₁₀-alkyl or H,

R³=H, C₁-C₁₀-alkyl, or phenyl which is unsubstituted or substituted by NH₂, OH, C₁₋₄-alkoxy or halogen,

in the presence of a lipase selected from the group consisting of SP 523, SP 524, SP 525, SP 526 and Novozym® 435.

Another object of the present invention is to provide a process for resolving racemates of primary and secondary oxygen or nitrogen substituted amines by reacting the oxygen or nitrogen substituted amines with an ester of the formula:

20



where

R¹=C₁-C₁₀-alkyl,

R²=C₁-C₁₀-alkyl or H,

R³=H, C₁-C₁₀-alkyl, or phenyl which is unsubstituted or substituted by NH₂, OH, C₁₋₄-alkoxy or halogen,

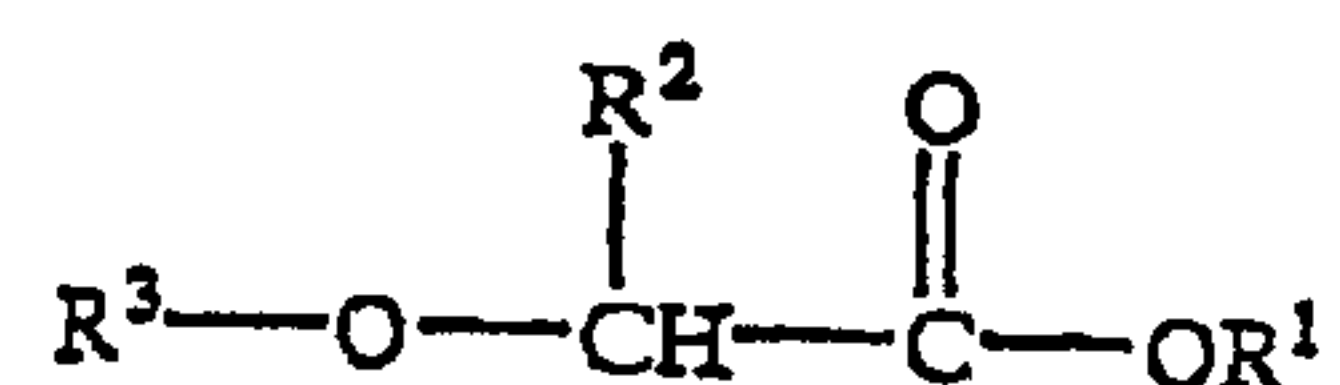
1b

in the presence of a lipase selected from the group consisting of SP523, SP524, SP525, SP526 and Novozym® 435 and subsequently separating the oxygen or nitrogen substituted amine, which has undergone enantioselective acylation, from the other unreacted enantiomer of the heteroatom substituted amine.

Yet another object of the invention is to provide a process for preparing optically active primary and secondary oxygen or nitrogen substituted amines by

a) reacting the oxygen or nitrogen substituted amines with an ester of the formula:

10



where

R¹ = C₁-C₁₀ -alkyl,

R² = C₁-C₁₀ -alkyl or H,

R³ = H, C₁-C₁₀-alkyl, or phenyl which is unsubstituted or substituted by NH₂, OH, C₁₋₄-alkoxy or halogen,

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in the presence of a lipase selected from the group consisting of SP523, SP524, SP525, SP526 and Novozym®435, and

b) separating of the mixture of optically active oxygen or nitrogen substituted amine and

optically active acylated oxygen or nitrogen substituted amine to obtain one enantiomer of the oxygen or nitrogen substituted amine.

The esters suitable for the process according to the invention are those which carry in the acid component of the ester an electron-rich heteroatom in the vicinity of the carbonyl carbon or in which an acceptor substituent in the form of one or more hetero-

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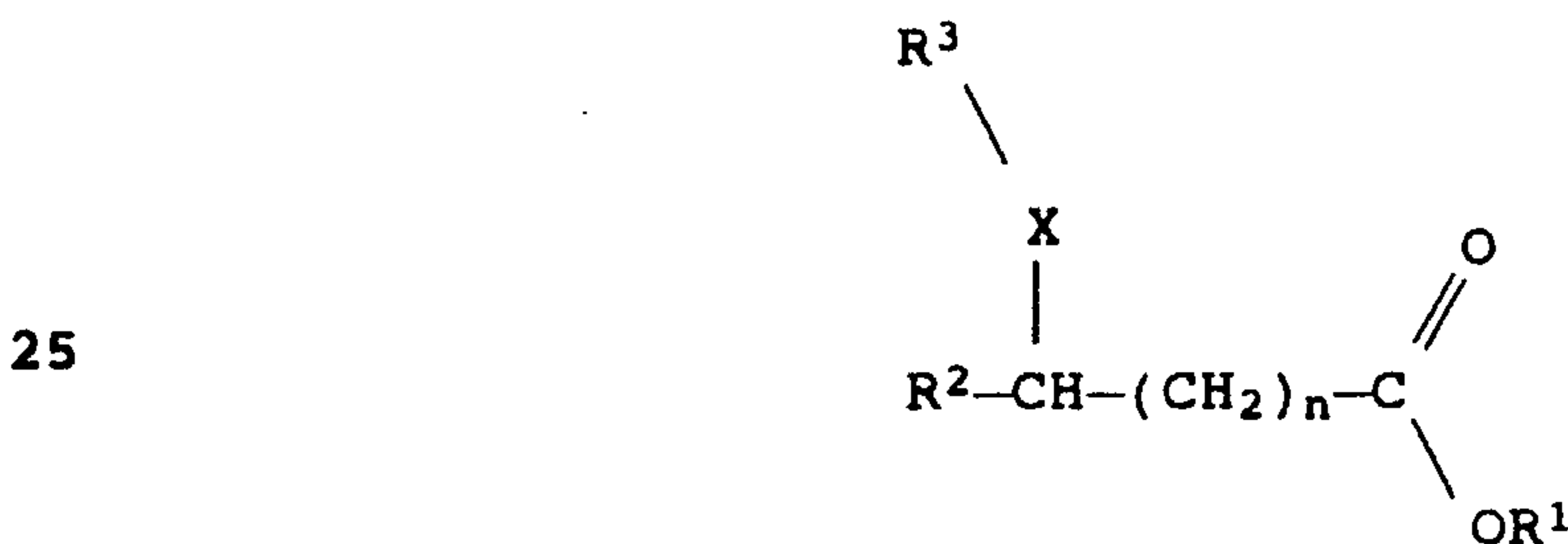
atoms is located in the vicinity of the carbonyl carbon in the acid component.

The heteroatom must have at least one free pair of electrons. It can be a fluorine, nitrogen, phosphorus, oxygen or sulfur atom.

It should be located in the vicinity of the carbonyl carbon. This means that the heteroatom is bonded to a carbon atom in the position alpha, beta or gamma to the carbonyl carbon. The heteroatom can also be multiply bonded to the carbon as in the cyano group. Preferred acid components in the ester are those in which the heteroatom is bonded to the alpha carbon atom. Oxygen is preferred as heteroatom.

The heteroatom may also be linked to other groups, eg. alkyl groups. If the heteroatom is, for example, oxygen, an ether moiety is present.

Particularly suitable esters are those having the structure



where

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R^1 = C₁-C₁₀-alkyl,

R^2 = C₁-C₁₀-alkyl, H

R^3 = H, C₁-C₁₀-alkyl, or phenyl which is unsubstituted or substituted by NH₂, OH, C₁₋₄-alkoxy or halogen,

35 X = O, S, NR⁴,

R^4 = H, C₁-C₁₀-alkyl, or phenyl which is unsubstituted or substituted by NH₂, OH, C₁₋₄-alkoxy or halogen,

n = 0, 1 or 2.

Of these, the C₁₋₄-alkyl esters of C₁₋₄-alkoxyacetic acids are preferred, such as ethyl methoxyacetate.

A large number of enzymes can be used as hydrolases in the process according to the invention. Proteases and, in particular, lipases, are preferably used. Particularly suitable lipases are microbial lipases which can be isolated, for example, from yeasts or bacteria. Particularly suitable lipases are those from Pseudomonas, eg. Amano P or the lipase from Pseudomonas spec. DSM 8246.

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Further particularly suitable hydrolases are the enzymes commercially obtainable from Novo Nordisk (Enzyme Toolbox), in particular lipases SP 523, SP 524, SP 525, SP 526 and Novozym® 435. These enzymes are microbial lipases which can be prepared from yeasts such as *Candida antarctica*.

It is furthermore possible and advantageous to employ the lipases "Chirazyme L1 bis L8", which are commercially obtainable (Boehringer Mannheim) in the process according to the invention.

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The enzyme can be employed in native or immobilized form. The immobilized enzyme Novozym® 435 is particularly suitable.

The processes according to the invention can be carried out in the presence or absence of solvents.

Organic solvents are generally suitable as solvents. The reaction takes place particularly well in ethers, for example in MTBE, 1,4-dioxane or THF, or in hydrocarbons such as hexane, cyclohexane, toluene or halogenated hydrocarbons such as methylene chloride.

The reaction of the ester with the racemic heteroatom-substituted amine with enzyme catalysis is normally carried out at room temperature. The times for this reaction are from 1 to 48 hours, depending on the substrate. Secondary heteroatom-substituted amines usually require longer reaction times than do primary heteroatom-substituted amines. The lower reactivity of secondary heteroatom-substituted amines can also be compensated by increasing the amount of catalyst by comparison with primary heteroatom-substituted amines.

0.5-3 mol of ester are added per mol of amine to be reacted. 0.5-3, preferably 0.5-1.0, mol of ester are added even when racemic substrates are used.

The amount of enzyme to be added depends on the nature of the hydrolase and the activity of the enzyme preparation. The optimal amount of enzyme for the reaction can easily be determined by simple preliminary tests. As a rule, 1000 units of lipase are added per mmol of heteroatom-substituted amine.

The progress of the reaction can easily be followed by conventional methods, for example by gas chromatography. In the case of racemate resolution, it is sensible to terminate the reaction when 50 % of the racemic heteroatom-substituted amine is reacted.

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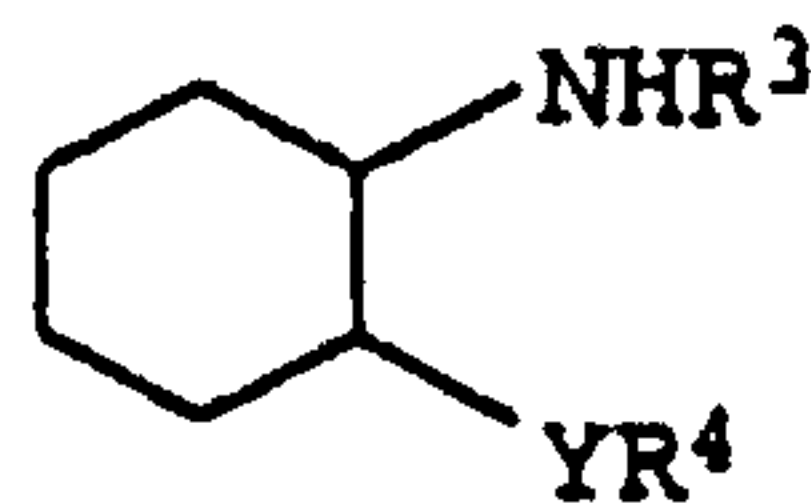
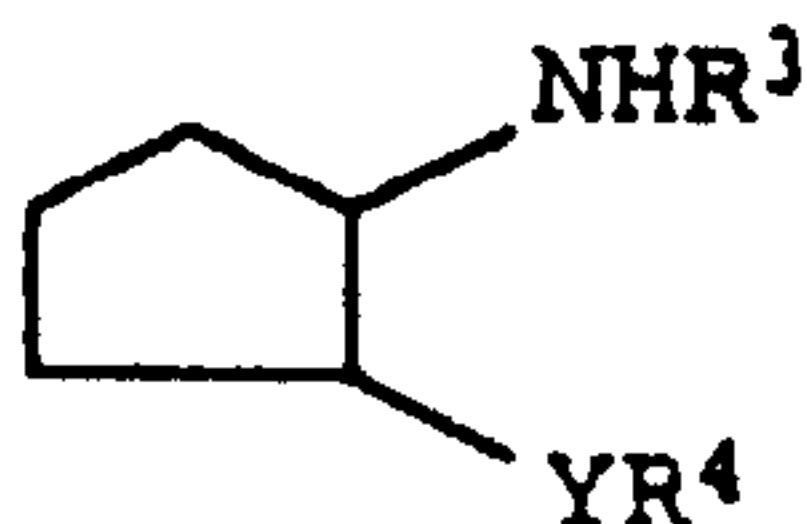
This normally takes place by removing the catalyst from the reaction, for example by filtering off the enzyme.

The enantioselective reaction of the racemic substrate with the ester results in the correspondingly acylated product (amide) from one enantiomer, while the other enantiomer remains unchanged. The resulting mixture of heteroatom-substituted amines and amide can easily be separated by conventional methods. For example, extraction or distillation processes are very suitable for separating the mixture of amine and amide.

The process according to the invention is particularly advantageously suitable for acylating heteroatom-substituted amines of the formula I. It can also be used to resolve racemates of virtually all primary and secondary heteroatom-substituted amines. It takes place particularly well with primary amino alcohols, especially those in which R^4 is arylalkyl, in particular benzyl, or alkyl, in particular methyl.

Further preferred compounds of the formula I are those where R^1 and R^2 form with the adjacent carbon atoms a ring system, in particular those of the following structure

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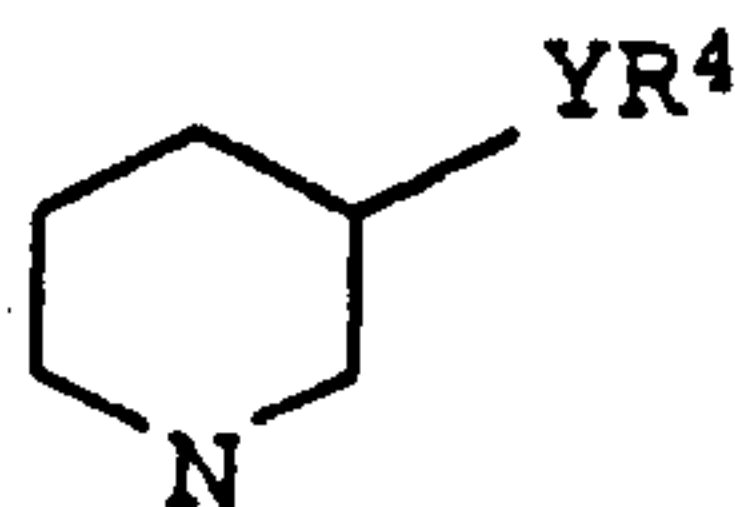
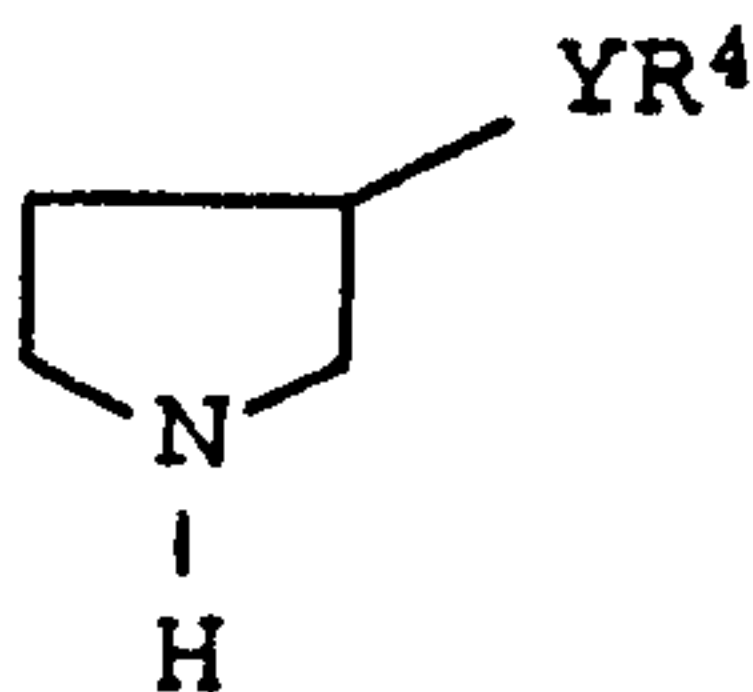
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cis and trans

cis and trans

or R^2 and R^3 are part of a ring system, in particular those of the following structure

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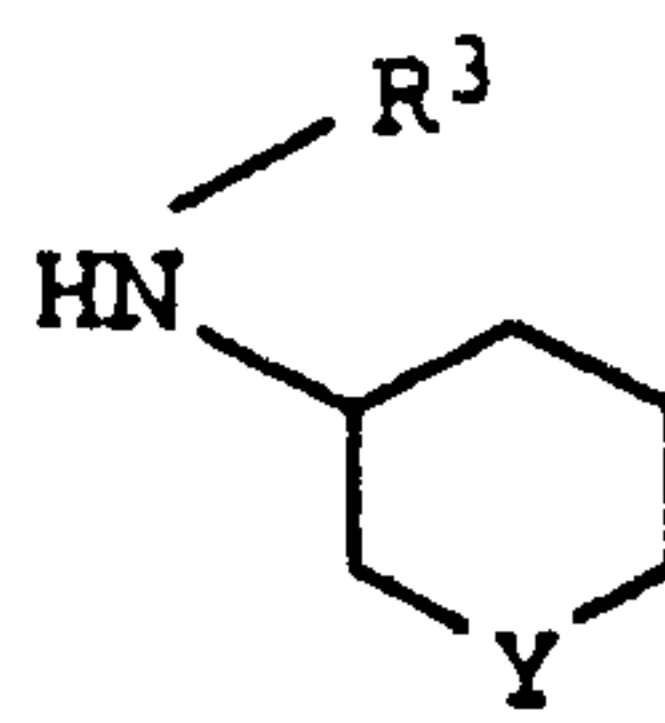
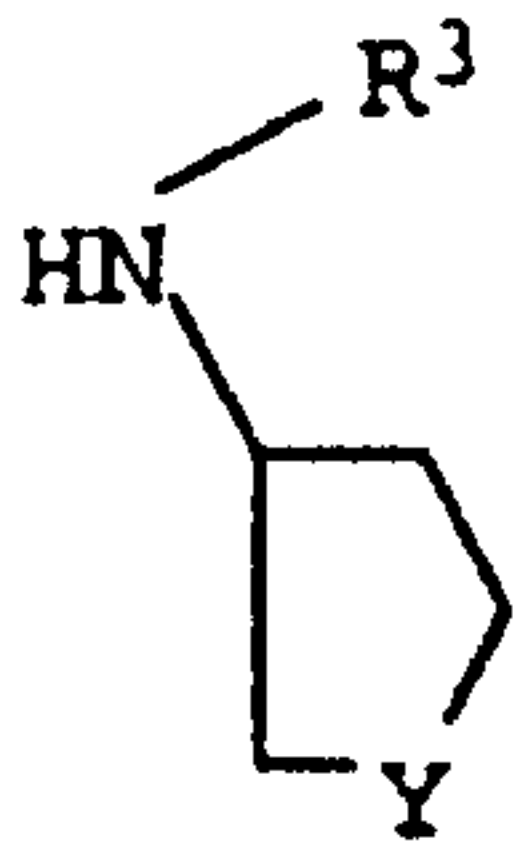


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or R^1 and R^4 are part of a ring system, in particular those of the following structure

45

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Surprisingly, the reaction of heteroatom-substituted amines of
 10 the formula I takes place with very much higher optical yields
 than the similar reaction of non-heteroatom-substituted amines or
 those substituted differently from formula I.

Furthermore, as a consequence of the high selectivity and reac-
 15 tivity of the process according to the invention, only a small,
 or no, excess of acylating agent is needed, which greatly facili-
 tates subsequent separation and purification.

The invention is also suitable for preparing optically active
 20 primary and secondary heteroatom-substituted amines from the cor-
 responding racemates, by

- a) enantioselective acylation of a racemic heteroatom-substi-
 25 tuted amine with an ester whose acid component carries a flu-
 orine, nitrogen, oxygen or sulfur atom in the vicinity of the
 carbonyl carbon, in the presence of a hydrolase,
- b) separation of the mixture of optically active heteroatom-sub-
 30 stituted amine and optically active acylated heteroatom-sub-
 stituted amine to obtain one enantiomer of the heteroatom-
 substituted amine,
- c) if required isolation of the other enantiomer of the heteroa-
 35 tom-substituted amine from the acylated heteroatom-substi-
 tuted amine by amide cleavage.

The process according to the invention can be made even more eco-
 nomic if, after removal of the required enantiomer, the remaining
 unwanted enantiomer is racemized and employed anew in the pro-
 40 cess. This recycling makes it possible to obtain a total of more
 than 50 % of the required enantiomer from the racemic heteroatom-
 substituted amine.

Not only are the processes according to the invention suitable
 45 for producing optically active primary and secondary heteroatom-
 substituted amines, they can also form part of complicated multi-

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stage chemical syntheses, for example in the preparation of medicinal agents or crop protection agents.

The following examples illustrate the invention.

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Example 1: General method for the
lipase-catalyzed acylation of heteroatom-
substituted amines

10 10 mmol of the primary or secondary heteroatom-substituted amine
are dissolved in MTBE (= methyl tert-butyl ether) (about 10 %
strength solution). 11 mmol of ethyl methoxyacetate are added to
the solution and the reaction is started by adding 100 mg of li-
pase (about 1000 U/mg, Pseudomonas spec. DSM 8246). When the
15 reaction is complete (12-48 h, depending on the
heteroatom-substituted amines), the enzyme is filtered off, and
the solution is concentrated under reduced pressure. The
methoxyacetamides are obtained in a yield of more than 90 %.

20 Example 2: General method for racemate resolution

The primary or secondary heteroatom-substituted amine is dis-
solved in MTBE (about 10 % by weight). Addition of 1 mol of ethyl
methoxyacetate per mol of racemic heteroatom-substituted amine is
25 followed by that of Pseudomonas lipase (DSM 8246) and the
suspension is stirred at room temperature. About 10,000 units of
lipase (10 mg) are added per mmol of heteroatom-substituted
amine. After 50 % reaction has occurred (checked by gas
chromatography), which takes 1-48 h depending on the
30 heteroatom-substituted amines, the enzyme is filtered off. The
mixture of heteroatom-substituted amines and acylated
heteroatom-substituted amine (amide) is separated by distillation
or extraction.

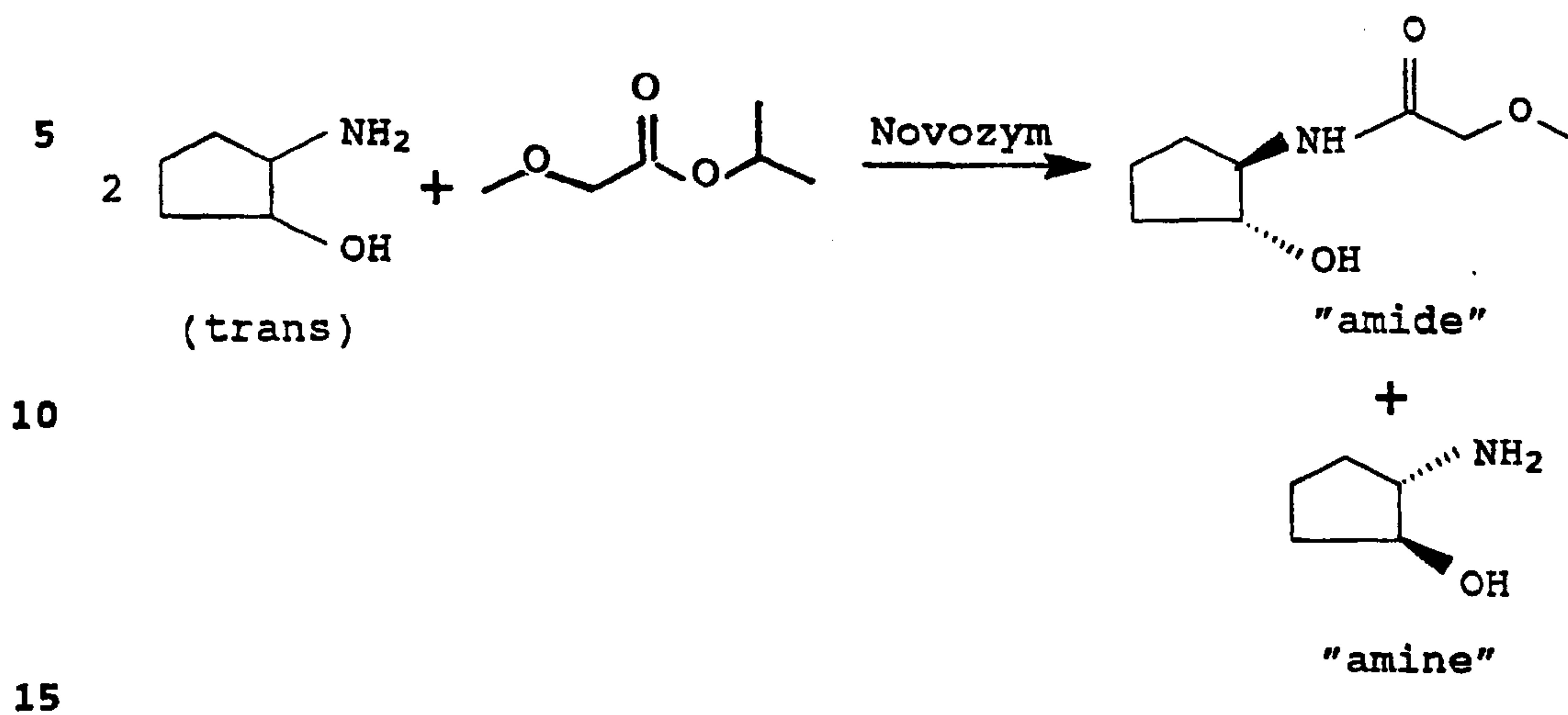
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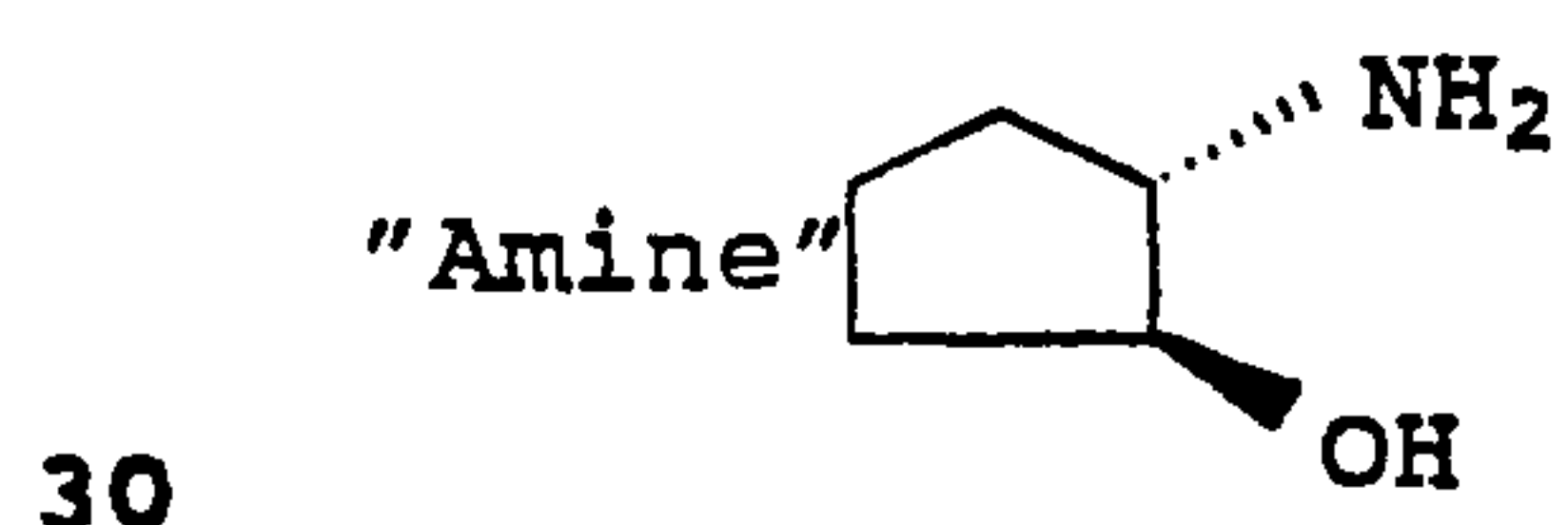
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Example 3: Racemate resolution with solvent

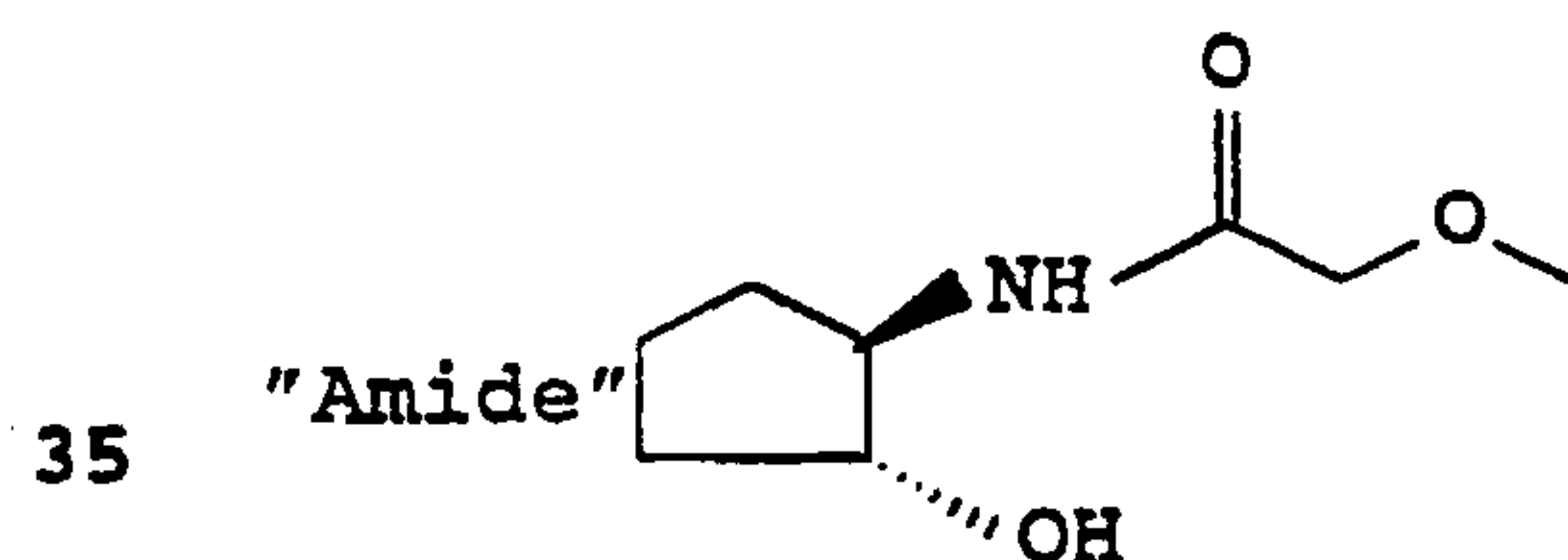


5 g (49.5 mmol) of trans-2-aminocyclopentanol were dissolved in 20 ml of 1,4-dioxane, 3.3 g (25 mmol) of isopropyl methoxyacetate were added and, after addition of 0.1 g of Novozym 435®, shaken 20 at room temperature. After 12 h, ¹H-NMR showed 50% reaction of amine; the enzyme was filtered off, the filtrate was concentrated, and the unreacted amine was removed from the amide by distillation.

25 Yields

2.35 g $\hat{=}$ 94 %[α]_D + 9.1° (c = 1.74 in EtOH)

ee = 25 %

4.1 g $\hat{=}$ 95 %

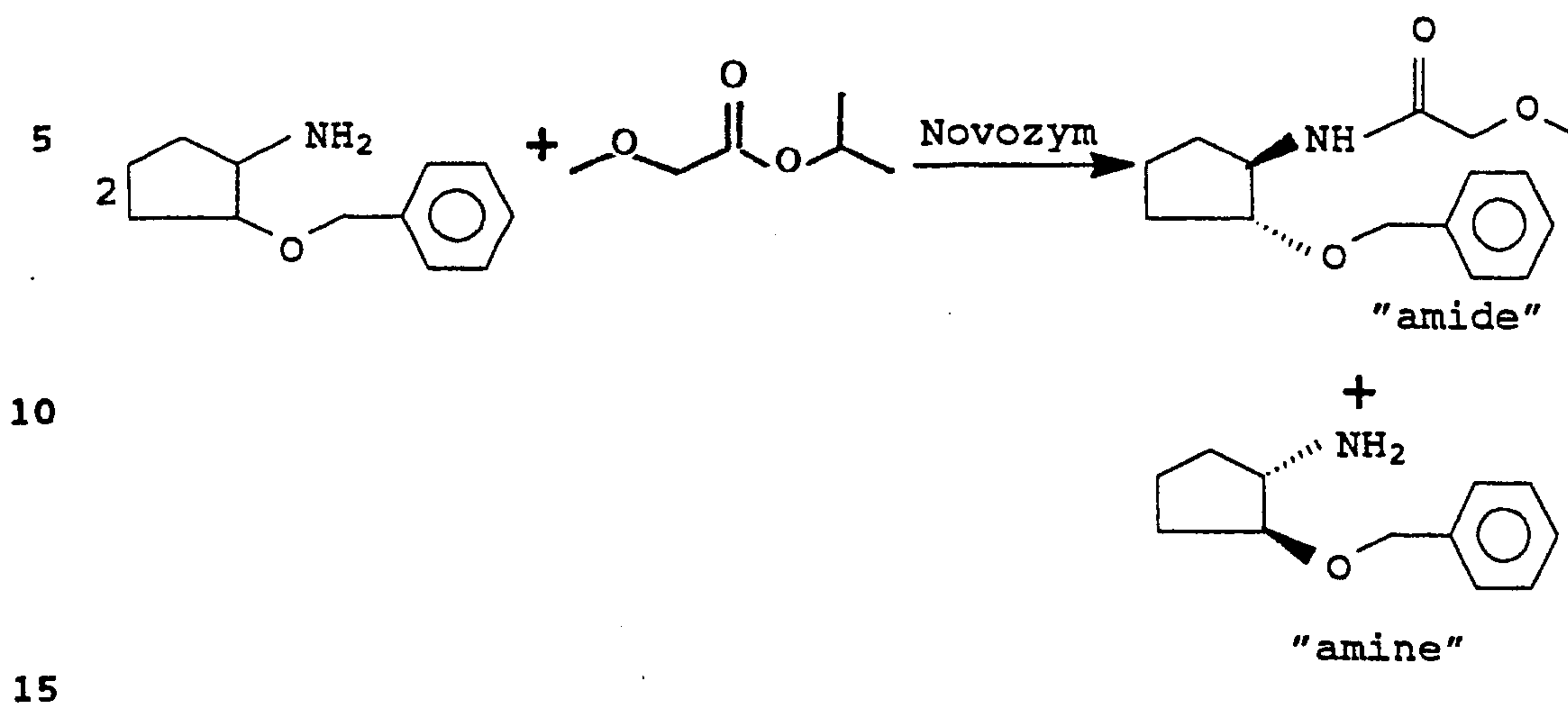
ee by HPLC = 25 %

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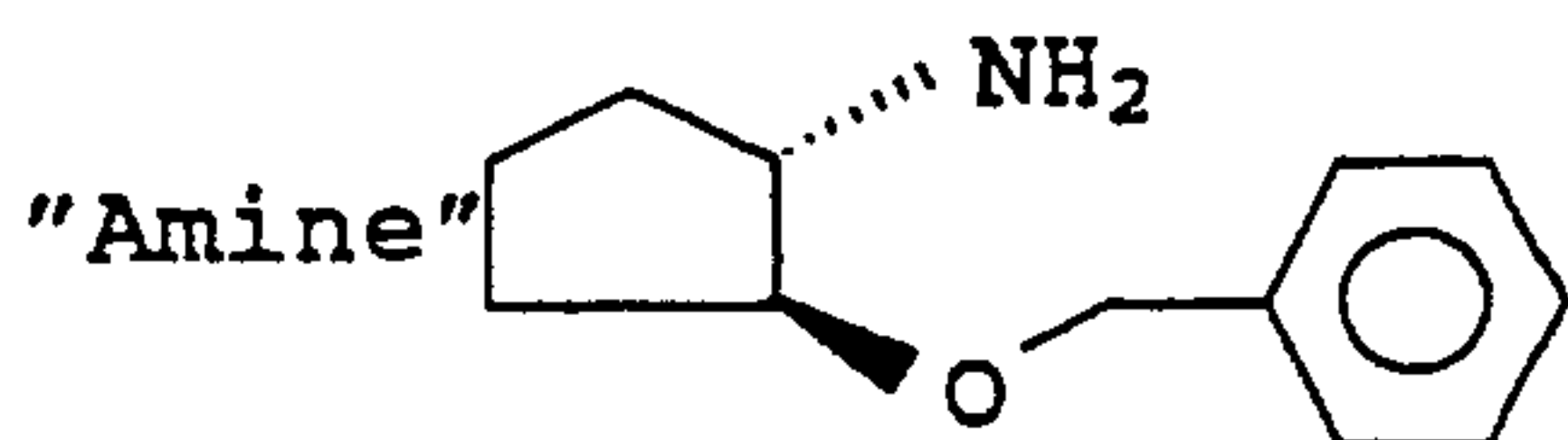
Example 4: Racemate resolution without solvent



5 g (2 mmol) of trans-2-benzyloxy-1-cyclopentylamine and 1.8 g (13.4 mmol) of isopropyl methoxyacetate were mixed, 0.1 g of Novozym 435® was added, and the mixture was shaken at room temperature. ¹H-NMR showed 50% reaction of amine after 120 h. The enzyme was filtered off and the 'amine' was separated from the 'amide' by extraction with 10% strength hydrochloric acid.

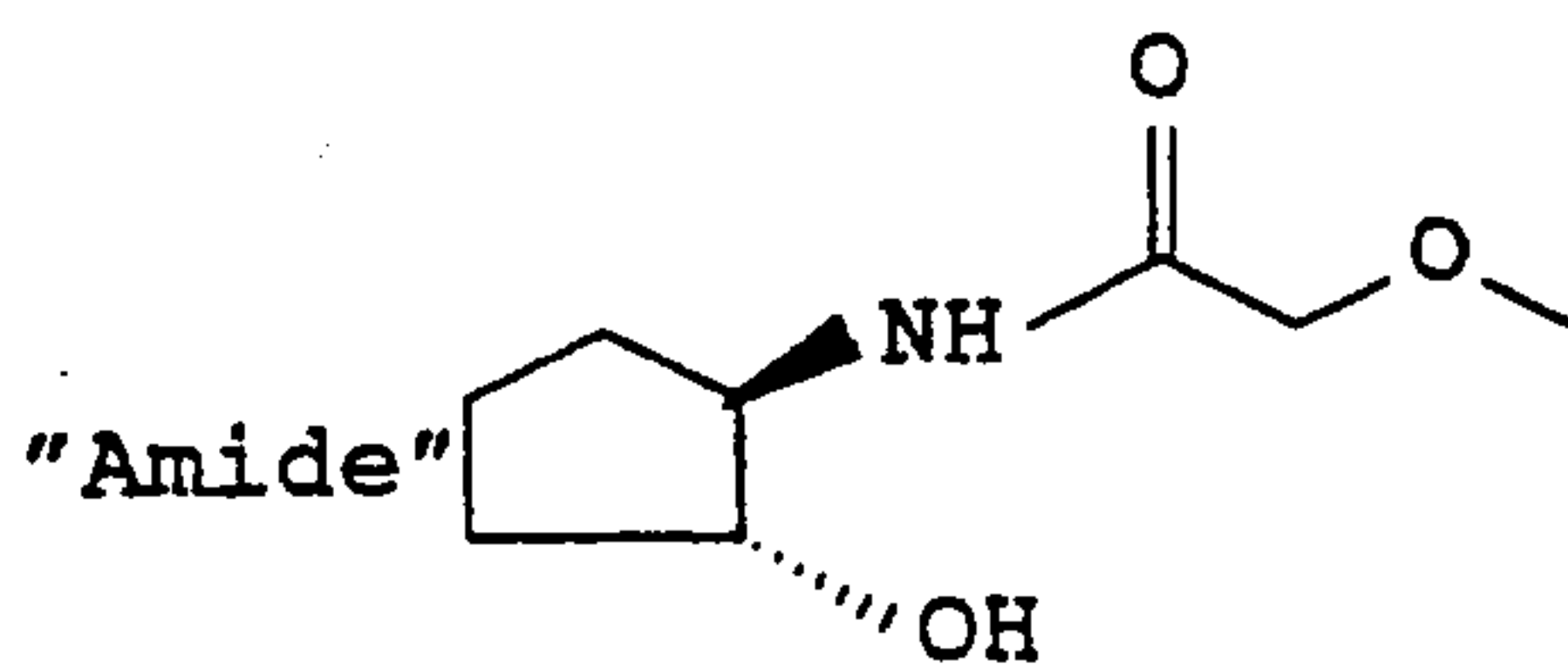
Yields

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2.2 g \cong 88 %[α]_D + 45.6° (c = 1 in dioxane)

ee by HPLC = > 99.5 %

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4.9 g \cong 92 %[α]_D + 6.0° (c = 1 in dioxane)

ee by HPLC = 93 %

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Example 5: Further racemate resolutions

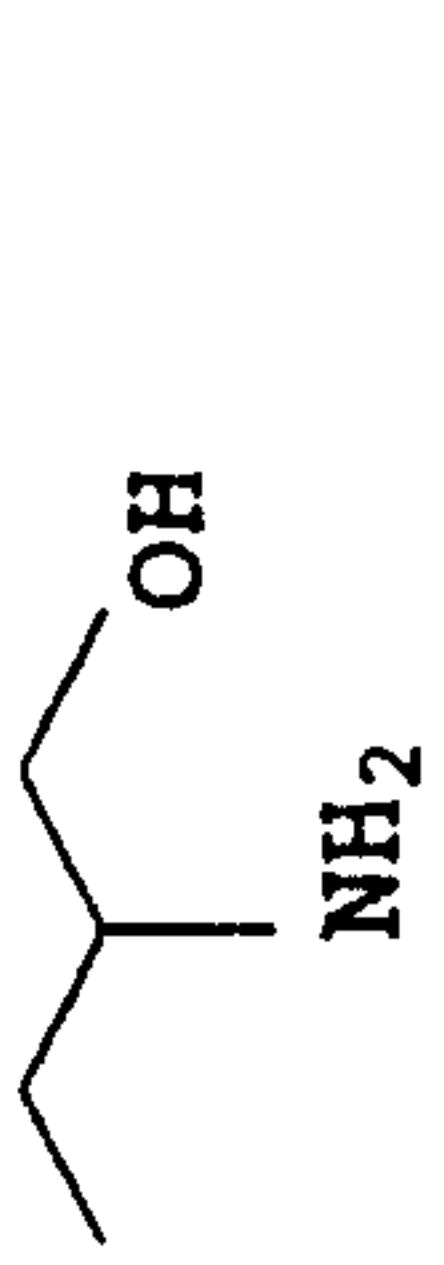

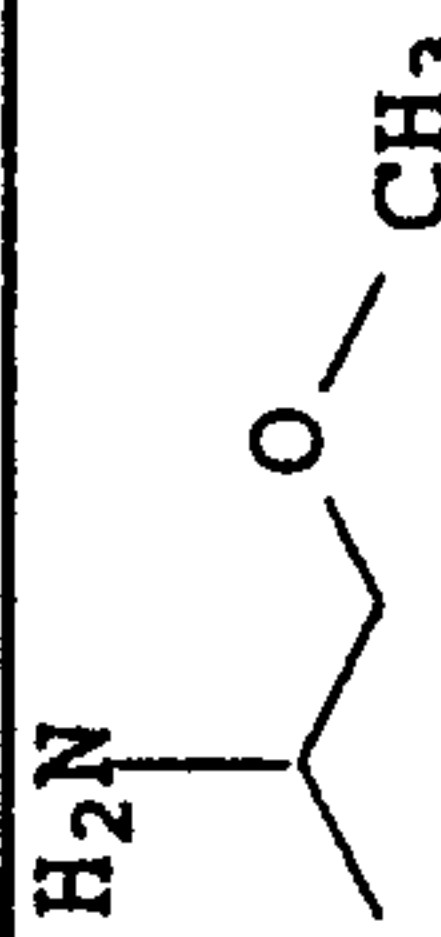
The following reactions (see Table) were carried out as in Example 3 or 4.

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Table

Starting material	Preparation as in Example	Conversion [%]	Amine		Amide	
			Rotation*	ee	Rotation*	ee
	3	50	- 1.5° (c = 1 in ethanol)	24 %	-	26 % (by HPLC)
	3	50	+ 4.9° (HCl adduct) (c = 1 in CHCl ₃)	> 95 % (by HPLC)	+ 28° (c = 1 in dioxane)	> 99.5 % (by HPLC)
	4	50	+ 4.8° (HCl adduct) (c = 1 in CHCl ₃)	> 95 % (by HPLC)	+ 27.5° c = 1 in dioxane	> 99.5 % (by HPLC)
	4	42	+ 9.8° (c = 1 in dioxane)	70 %	-	99.5 % (by HPLC)

* The rotations were measured with the Na-D line at room temperature.

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The table in Example 5 shows that very much higher optical purities can be obtained on use of 'protected' amino alcohols in which the oxygen atom is, for example, adjacent to a benzyl or 5 methyl group than on use of unprotected amino alcohols.

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R⁴ is alkyl or arylalkyl;

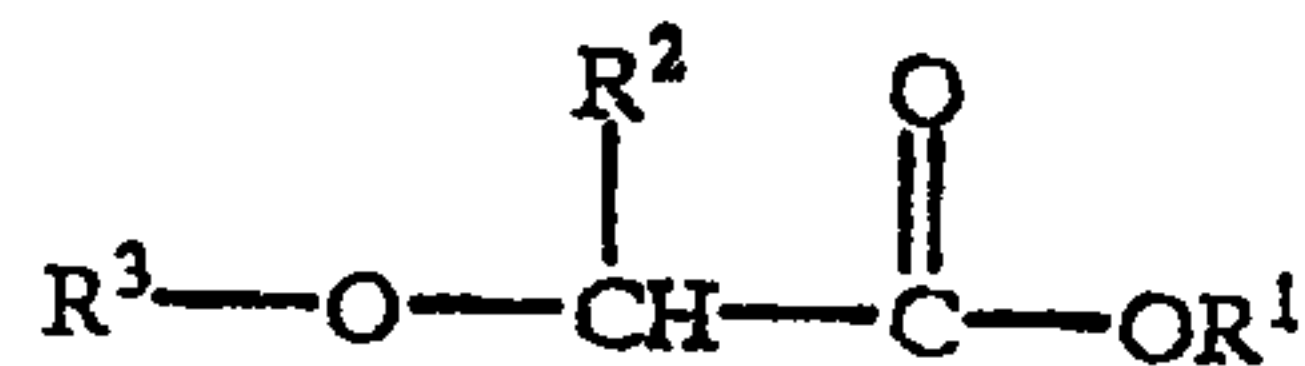
R³, R⁵ are, independently of one another, H, alkyl or arylalkyl.

3. The process of claim 2, wherein, in the ester, R¹ is C₁-C₄-alkyl and R² is C₁-C₄-alkyl.

4. The process of claim 3, wherein the ester is ethyl methoxyacetate.

5. A process for resolving a racemate of a primary and secondary oxygen or nitrogen substituted amine by reacting the oxygen or nitrogen substituted amine with an ester of the formula:

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where

R¹=C₁-C₁₀-alkyl,

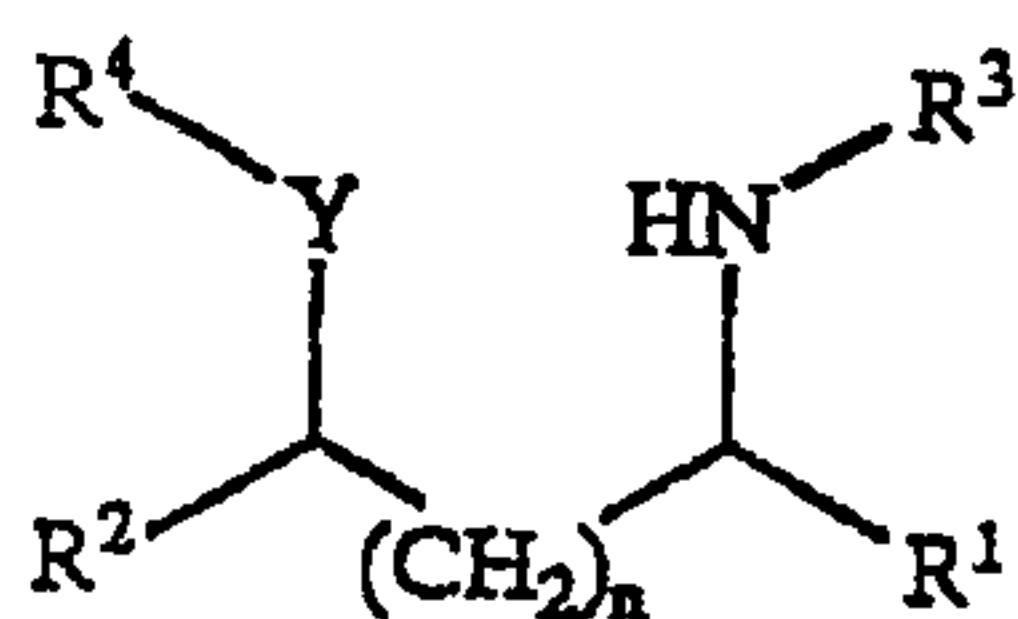
R²=C₁-C₁₀-alkyl or H,

R³=H, C₁-C₁₀ -alkyl, or phenyl which is unsubstituted or substituted by NH₂, OH, C₁-4-alkoxy or halogen,

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in the presence of a lipase selected from the group consisting of SP523, SP524, SP525, SP526 and Novozym® 435 and subsequently separating the oxygen or nitrogen substituted amine, which has undergone enantioselective acylation, from the other unreacted enantiomer of the heteroatom substituted amine.

6. The process of claim 5, wherein the oxygen or nitrogen substituted amine reacted is a compound of the formula I:



where

n is 0, 1, 2 or 3;

Y is O, NH, or NR⁵;

10 R¹, R² are each, independently of one another, H, alkyl, or aryl or R¹ and R², or R² and R³, or R¹ and R⁴ are, together with adjacent carbon atoms, part of a ring system;

R⁴ is alkyl or arylalkyl;

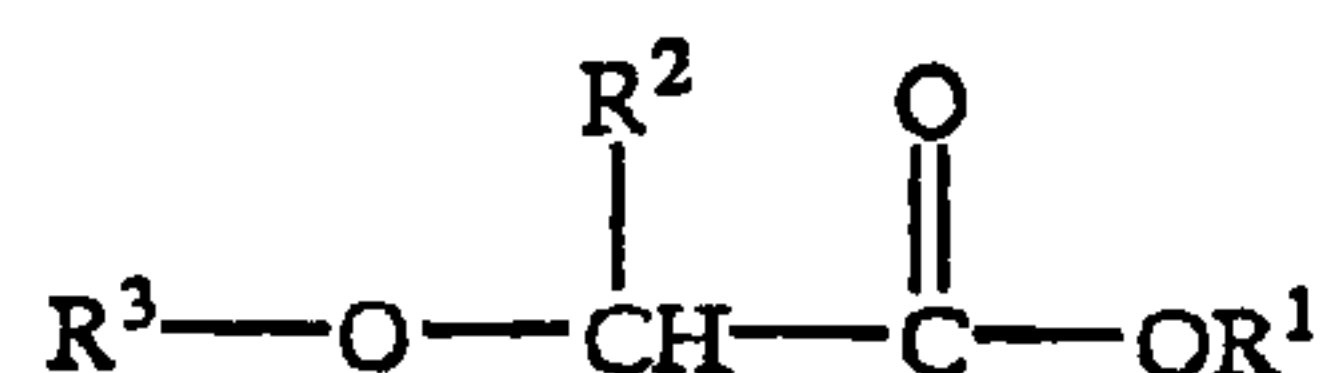
R³, R⁵ are, independently of one another, H, alkyl or arylalkyl.

7. The process of claim 6, wherein, in the ester, R¹ is C₁-C₄ -alkyl and R² is C₁-C₄-alkyl.

8. The process of claim 7, wherein the ester is ethyl methoxyacetate.

9. A process for preparing an optically active primary and secondary oxygen or nitrogen substituted amine by

20 a) reacting the oxygen or nitrogen substituted amine with an ester of the formula:



where

R¹ = C₁-C₁₀ -alkyl,

12. The process of claim 10, wherein the amine is separated from the acylated oxygen or nitrogen substituted amine by amide cleavage.
13. The process of claim 12, wherein, in the ester, R¹ is C₁-C₄-alkyl and R² is C₁-C₄-alkyl.
14. The process of claim 13, wherein the ester is ethyl methoxyacetate.
15. The process of claim 12, wherein the amide cleavage is followed by another step in which an unwanted enantiomer is racemized and subsequently returned to the process as claimed in claim 9.