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(54) Title: USE OF REVERATROL FOR PRESERVING COGNITIVE FUNCTIONING

(57) Abstract: The present invention relates to the use of an hydroxylated stilbene, in particular resveratrol, in the manufacture of a nutraceutical composition for the treatment of age- and condition-related decline in brain neuronal function and/or cognitive functioning in a mammal. In particular, the condition is selected from the group of Alzheimer's Disease, dementia, depression, sleep disorders, impaired memory function, psychoses, Parkinson's disease, Huntington's chorea, epilepsy, schizophrenia, paranoia, ADHD and anxiety.

## USE OF REVERATROL FOR PRESERVING COGNITIVE FUNCTIONING

### DESCRIPTION

#### 5 **Field of the invention**

The present invention relates to the use of an hydroxylated stilbene in the manufacture of a nutraceutical composition for the treatment of a decline in brain neuronal function and/or cognitive functioning in a mammal.

#### 10 **Background of the invention**

Resveratrol (3,5,4'-trihydroxystilbene) is a natural polyphenol, enriched in grapes and red wine, and has a potential beneficial effect on human health. In fact, the lower incidence of cardiovascular disease in wine drinking countries with a diet high in saturated fat, a phenomenon referred to as the 'French paradox', is often associated with the actions of resveratrol.

Resveratrol belongs to a group of functional analogues commonly known as hydroxylated stilbenes and are further defined below. The hydroxylated stilbenes according to the invention, in particular resveratrol, can either be synthesized or isolated from natural sources, such as, for example, aqueous or ethanolic extracts of *Polygonum spp.* and *Vitis spp.*

Resveratrol has a large number of physiological effects including antioxidant, phyto-oestrogenic, vasorelaxing, anti-inflammatory and anti-carcinogenic activity. Although it is unclear which of the above effects are responsible for promoting health state, or what the underlying mechanisms are, resveratrols' ability to mimic pathways involved in caloric restriction has gained recent interest. Resveratrol can extend life span in yeast, presumably by activating the SIR2 gene, a member of the sirtuin-family, which is also implicated in the beneficial effects of caloric restriction, giving rise to a conserved deacetylase that stabilizes DNA and protects the cell. Resveratrol improves health and survival in mice on a high calorie diet, which was paralleled by an increased activity of SIRT1. Very recently, it was shown that resveratrol delays age-related deterioration and induces gene expression patterns similar to caloric re-

striction in normal fed mice. Another aging study shows that even a low dose of resveratrol yields a similar transcriptional pattern to that of caloric restriction, paralleled by several beneficial effects on health, although here no effects on SIRT1 were found.

5            Besides effects on general health and survival, a number of experimental studies suggest that resveratrol protects the brain against damage in experimental models of acute neurodegeneration as observed e.g. after stroke in ischemic brain damage models [1,2,3,4,5]. Also, resveratrol has been put forward to specifically target age-related changes in cardiovascular function, in particular to have an anti-atherogenic activity) [6]. Up to now, there is no evidence if resveratrol could also protect against age-related or condition-related decline in brain function and cognitive functioning. The process of aging affects the brain in a multitude of ways and causes a progressive decline in neuronal and cognitive functioning. One of the major underlying processes in brain aging is the deterioration of the cerebrovascular system, as it is often seen in age-related and condition-related disorders such as dementia. Aging is associated with a changed microvascular plasticity, decreases in microvessel density and an increase in microvessel abnormalities such as surface irregularities. A decreased volume and efficiency in cerebral blood flow leading to a decreased nutrient and oxygen supply, may be an important first step in the development of age-related and/or condition-related cognitive impairment. Following this, the cerebrovascular system is an important target in preserving neuronal and cognitive health.

### **Summary description of the invention**

25            The inventors examined the neurobiological effects of long term, sustained resveratrol intake in aging mammals, in particular in aging mice. To this end, in order to determine effects of long term resveratrol administration, the cerebrovascular status in the hippocampus was examined by means of electron microscopy. Additionally, an immunohistochemical analysis of the neuronal cholinergic system was performed, a system often affected in age-related cognitive decline and pathologies.

30            To assess the effects of resveratrol on behavioural performance and cognitive function, a general explorative activity in an open field test and learning and memory in a hippocampus-dependent spatial Y-maze task was measured.

Surprisingly, the inventors found that a sustained dietary supplementation with the natural polyphenol resveratrol improved performance of aged mice in the acquisition of a Y-maze task. This improvement in cognitive performance was paralleled by an increased microvascular density in the brain, in particular the hippocampus and a decreased number of vacuolar abnormalities in hippocampal microvessels.

In its most general embodiment, the invention is concerned with the use of an hydroxylated stilbene in the manufacture of a nutraceutical composition for the treatment or prevention of a decline in brain neuronal function and/or cognitive functioning in a mammal.

In one aspect, the invention is concerned with the aforementioned use wherein the decline is associated with the deterioration of the cerebrovascular system, c.q. the invention is concerned with the use of an hydroxylated stilbene in the manufacture of a nutraceutical composition for the treatment or prevention of a decline in brain neuronal function and/or cognitive functioning in a mammal due to a deterioration of the cerebrovascular system.

In another aspect, the invention is concerned with the aforementioned use for increasing the microvascular plasticity and/or microvessel density and/or decreasing the microvessel abnormalities in the brain of a mammal, c.q. the invention is concerned with the use of an hydroxylated stilbene in the manufacture of a nutraceutical composition for increasing the microvascular plasticity and/or microvessel density and/or decreasing the microvessel abnormalities in the brain of a mammal.

In another aspect, the invention is concerned with the aforementioned uses, wherein the decline or deterioration is age-related, i.e. is eminent in elderly mammals, and/or is condition-related, i.e. is eminent in mammals suffering from neuronal conditions such as Alzheimer's Disease, dementia, depression, sleep disorders, impaired memory function, psychoses, Parkinson's disease, Huntington's chorea, epilepsy, schizophrenia, paranoia and ADHD, and for the prevention and/or treatment of anxiety. Hence, the invention is concerned with the prevention and treatment of Alzheimer's Disease, dementia, depression, sleep disorders, impaired memory function, psychoses, Parkinson's disease, Huntington's chorea, epilepsy, schizophrenia, paranoia, ADHD and anxiety. It is obvious that the decline and/or deterioration may be both age- and condition-related, such as in conditions such as age-related dementia and Alzheimer's Disease.

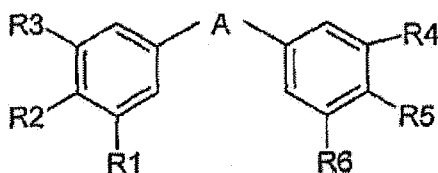
In the framework of this application, any claim directed to the use of an hydroxylated stilbene in the manufacture of a nutraceutical composition for the treatment or prevention of a condition as mentioned in this application in a mammal can be construed as a claim directed to a nutraceutical composition comprising an hydroxylated stilbene for the treatment or prevention of a condition as mentioned this application in a mammal.

### Detailed description of the invention

Within the context of the invention, the term "nutraceutical" as used herein, denotes the use both in the nutritional and pharmaceutical field of application. Thus, the nutraceutical composition comprising an hydroxylated stilbene can find use as supplements to food and beverages, and as pharmaceutical formulations for enteral or parenteral application which may be solid formulations such as capsules or tablets, or liquid formulations, such as solutions or suspensions. As will be evident from the foregoing, the term nutraceutical composition also comprises nutritional compositions such as, for instance bars, cookies, drinks, yogurts, ice creams, beverages and the like, containing the above-specified active ingredient.

### Hydroxylated stilbene

The term hydroxylated stilbene as used herein, comprises compounds encompassed by the general formula I



Formula I

wherein A denotes a carbon-carbon double bond which may be trans or cis, and R1, R2, R3, R4, R5 and R6, independently denote hydrogen or hydroxy, wherein the hydroxy group may optionally be etherified or esterified. While the carbon-carbon double bond denoted by the symbol A may be trans or cis, formula I above is understood

to also include cis/trans mixtures. However, compounds of formula I wherein A is a trans carbon-carbon bond are preferred. Etherified or esterified hydroxy groups may be derived from unsubstituted or substituted, straight or branched chain alkyl groups having 1 to 26 carbon atoms or from unsubstituted or substituted, straight or branched chain aliphatic, araliphatic or aromatic carboxylic acids having 1 to 26 carbon atoms. Etherified hydroxy groups may further be glycoside groups and esterified hydroxy groups may further be glucuronide or sulphate groups. Examples of compounds of formula I are summarized in Table 1. Of primary interest for the purposes of the invention is resveratrol, in particular (trans)-resveratrol.

10

**Table 1:** Examples of hydroxylated stilbenes according to the invention

	<b>R1</b>	<b>R2</b>	<b>R3</b>	<b>R4</b>	<b>R5</b>	<b>R6</b>
<b>Resveratrol</b>	H	OH	H	OH	H	OH
<b>Pterostilbene</b>	H	OH	H	OCH <sub>3</sub>	H	OCH <sub>3</sub>
<b>Piceatannol</b>	OH	OH	H	OH	H	OH
<b>Rhapontigenin</b>	OH	OCH <sub>3</sub>	H	OH	H	OH
<b>Pinosylvin</b>	H	H	H	OH	H	OH

The nutraceutical compositions of the present invention contain an hydroxylated stilbene in an amount sufficient to administer to a human adult (average weight about 70 kg) a daily dosage from about 0.5 mg/day to about 2000 mg/day, preferably from about 5 mg/day to about 500 mg/day. Hence, if the nutraceutical composition is a food or beverage, the amount of an hydroxylated stilbene contained therein is suitably in the range from about 0.2 mg to about 500 mg per serving. If the nutraceutical composition is a pharmaceutical formulation, such formulation may contain from about 0.5 mg to about 500 mg per solid dosage unit, e.g., per capsule or tablet, or from about 0.5 mg per daily dose to about 2000 mg per daily dose of a liquid formulation.

The term "serving" as used herein denotes an amount of food or beverage normally ingested by a human adult with a meal at a time and may range, e.g., from about 1 g (such as a nutritional shot) to about 500 g.

25

In one aspect the present invention the composition comprising an hydroxylated stilbene may be used as a nutritional supplement, e.g., as an additive to a multi-vitamin preparations comprising vitamins and minerals which are essential for the maintenance of normal metabolic function but are not synthesized in the body, especially for the treatment or prevention of age-related decline in brain neuronal function and/or cognitive functioning in a mammal.

In a preferred embodiment, the mammal is a human. Most preferably, the human is an elderly person. In this respect, it is submitted that in the context of this application, an elderly person is a person of the age of 50 or more, in particular of the age of 55 or more, more in particular of the age of 60 or more, more in particular of the age of 65 or more. This rather broad definition takes into account the fact that the average age varies between different populations, on different continents, etc. Most developed world countries have accepted the chronological age of 65 years as a definition of 'elderly' or older person (associated with the age at which one may begin to receive pension benefits), but like many westernized concepts, this does not adapt well to e.g. the situation in Africa. At the moment, there is no United Nations (UN) standard numerical criterion, but the UN agreed cut-off is 60+ years to refer to the older population in Western world. The more traditional African definitions of an elder or 'elderly' person correlate with the chronological ages of 50 to 65 years, depending on the setting, the region and the country.

According to another aspect of the invention, the compositions may be pharmaceutical compositions, preferably for enteral application, which may be solid or liquid galenical formulation. Examples of solid galenical formulations are tablets, capsules (e.g. hard or soft shell gelatine capsules), pills, sachets, powders, granules and the like which contain the active ingredient together with conventional galenical carriers. Any conventional carrier material can be utilized. The carrier material can be organic or inorganic inert carrier material suitable for oral administration. Suitable carriers include water, gelatine, gum Arabic, lactose, starch, magnesium stearate, talc, vegetable oils, and the like. Additionally, additives such as flavouring agents, preservatives, stabilizers, emulsifying agents, buffers and the like may be added in accordance with accepted practices of pharmaceutical compounding. While the individual active ingredients are suitably administered in a single composition they may also be administered in individual dosage units.

According to another aspect of the invention, the composition may be a nutritional composition, such as a food or beverage or a supplement composition for a food or beverage, comprising further ingredients, such as, for example, protein, fat, digestible carbohydrates, dietary fibres, such as indigestible carbohydrates, minerals, vitamins, organic acids, and flavouring agents.

According to another aspect of the invention, the composition may be a nutrition composition specifically designed for the treatment of the aforementioned conditions, comprising several further specific active ingredients, such as the ones disclosed in WO2003/041701 (N.V. Nutricia) and WO2007/073178 (N.V. Nutricia).

10

### **Protein**

Advantageously, the nutritional composition according to the invention may comprise protein, preferably intact protein. Proteins enable the manufacturing of palatable products. Especially elderly and AD patients benefit from the protein as it strengthens their motor skills. Preferably, the nutritional composition according to the invention comprises milk protein. Preferably, the nutritional composition according to the invention comprises a protein selected from the group consisting of whey protein, casein or caseinate. Preferably, the nutritional composition according to the invention comprises caseinate, more preferably the nutritional composition according to the invention comprises at least 70 weight%, more preferably at least 90 weight% casein and/or caseinate, based on total protein.

Preferably, the proteins are included in intact (unhydrolyzed) form, in order to have a palatable product. Such high molecular weight proteins increase the viscosity of the heat-treated liquid product, compared to the hydrolyzed forms. The present inventors were able to make an acceptable product, with good palatability and limited viscosity, by applying the measures according the invention, still avoiding precipitation.

Preferably, the nutritional composition according to the invention comprises between 0.2 and 16 gram protein per 100 ml, preferably between 0.2 and 10 gram protein per 100 ml, more preferably between 1 and 6 grams protein per 100 ml, more preferably between 2 and 5 grams protein per 100 ml.



## Fat

Advantageously, the nutritional composition according to the invention may comprise fat. With regard to the type of fat, a wide choice is possible, as long as the fat is of food quality.

5 The fat may include medium chain triglycerides (MCT, mainly 8 to 10 carbon atoms long), long chain triglycerides (LCT) or any combination of the two types.

MCTs are beneficial because they are easily absorbed and metabolized. Moreover, the use of MCTs will reduce the risk of nutrient malabsorption.

10 LCT sources, such as rapeseed oil, more in particular rapeseed oil low in erucic acid, sunflower oil, corn oil, palm kernel fat, coconut fat, palm oil, or mixtures thereof are preferred because they provide more energy per unit of fat.

In one embodiment, the fat is a liquid fat, i.e. an oil.

15 In one embodiment, the fat comprises 30 to 60 weight% of animal or algal fat, 40 to 70 weight% of vegetable fat and optionally 0 to 20 weight% of MCTs based on total fat of the nutritional composition according to the invention. The animal fat preferably comprises a low amount of milk fat, i.e. lower than 6 weight%, especially lower than 3 weight%. In particular, a mixture of corn oil, egg oil, and/or canola oil and specific amounts of marine oil are used. Egg oils, fish oils and algal oils are a preferred source of non-vegetable fats. Marine oils containing DHA and/or EPA are  
20 preferably present in the nutritional composition according to the invention in an amount lower than 25 weight%, preferably lower than 15 weight% of the fat for obtaining a maximum health effect, such as, for instance, the prevention of cardiovascular risks. The amount of EPA ranges preferably between 4 weight% and 15 weight%, more preferably between 8 weight% and 13 weight% of the fat.

25 Preferably, the nutritional composition according to the invention comprises a phospholipid, preferably 0.1 to 50 weight% phospholipids, based on total weight of lipids, more preferably 0.5 to 20 weight%, more preferably between 1 and 5 weight%, based on total weight of lipids. Preferably, the nutritional composition according to the invention contains at least one selected from the group consisting of phosphatidylcholine, phosphatidylethanolamine, phosphatidylserine and phosphatidylinositol.  
30 The total amount of lipids is preferably between 10 and 30 weight% on dry matter, and/or between 2 and 6 g lipid per 100 ml for a liquid composition. Inclusion of phos-

pholipids improve the stability of the nutritional composition according to the invention.

### **Digestible carbohydrates**

5            Advantageously, the nutritional composition according to the invention comprises digestible carbohydrates. The digestible carbohydrates positively influence the operational skills of a subject, and add to the advantageous effect of the nutritional composition according to the invention. The nutritional composition according to the invention preferably contains between 1 and 50 gram digestible carbohydrates per  
10 100 ml of a liquid product, more preferably between 5 and 30 grams per 100 ml, more preferably 10 to 30 grams of digestible carbohydrates per 100 ml. The total amount of digestible carbohydrates is preferably between 25 and 80 weight% on dry matter basis, preferably 40 to 80 weight%.

### **15 Indigestible carbohydrates**

            The nutritional composition according to the invention may optionally be fortified with non-digestible carbohydrates (dietary fibres) such as oligosaccharides. These oligosaccharides may comprise fructo-oligosaccharides (FOS), galacto-oligosaccharides (GOS), trans-galacto-oligosaccharides (TOS), xylo-oligosaccharides  
20 (XOS), soy oligosaccharides, and the like. Optionally, also higher molecular weight compounds such as inulin, resistant starch and the like may be incorporated in the composition according to the invention. In another embodiment of the present invention, the composition according to the invention may comprise a mixture of neutral and acid oligosaccharides as disclosed in WO 2005/039597 (N.V. Nutricia), which is  
25 incorporated herein by reference in its entirety.

### **Further features**

            Persons suffering from neuropathies or neurological problems often experience problems with eating. Their sensory capabilities and/or control of muscles has  
30 become imparted, as well as in some instances their ambition to apply proper eating habits. Part of these patients may experience a general loss in appetite and a rela-

tively large part of this patient group became malnourished. Preferably, the product has an energy density of 0.8 to 4.5 kcal per gram of the composition, more preferably between 0.9 and 2.5 kcal per ml.

Liquid nutritional products preferably have a long shelf life. However, increasing shelf life by heat treatments often results in destabilisation of the products and/or palatability, leading to a product which is unacceptable. The nutritional composition according to the invention can be subjected to a heat treatment without major adverse effects on the palatability. Hence, the nutritional composition according to the invention is preferably heat-treated, more preferably the composition is subjected to a sterilization treatment. In a preferred embodiment, the nutritional composition according to the invention is subjected to an ultra-high temperature treatment (UHT-treatment). Such UHT-treatment is preferably applied in line, i.e. before the liquid final product is filled in the package of the sold unit.

## 15 EXPERIMENTAL

### A. Description of the Figures

**Figure 1.** Effects of chronic resveratrol supplementation on locomotor behaviour in an open field. The test duration was 5 minutes and locomotion of the animals was monitored with an automated video analysis system. Panel A: total distance covered during the test. Panel B: time spent in the centre area of the round arena (\*p=0.003).

**Figure 2.** Effects of chronic resveratrol supplementation on Y-maze learning. The Y-maze task consisted of 3 training days and a retention test one week later. Each test day consisted of 8 trials and the performance of the animals is expressed as the number of correct choices per day (\*p=0.016).

**Figure 3.** Effects of chronic resveratrol supplementation on the cholinergic system. A. Cholinergic cell number in the nucleus basalis is unchanged in the resveratrol treated animals. B. Cholinergic fibre density in hippo-

campal CA1 and C. dentate gyrus does not show an effect of resveratrol treatment.

**Figure 4.** Effects of chronic resveratrol supplementation on vascular density in the brain (\* $p=0.019$ ). In the hippocampus, resveratrol treatment caused a higher vascular density.

**Figure 5.** Effects of resveratrol supplementation on the occurrence of microvascular abnormalities. Panel A & B: a representative photomicrograph and quantitative analysis of endothelial processes. In Panel A, arrowheads are pointing at the typical endothelial processes of a cortical capillary. Panel C & D: a representative photomicrograph and quantitative analysis of endothelial vacuoles (\* $p<0,05$ ). The photomicrograph demonstrates a capillary in the hippocampus CA1 region. Arrowheads are pointing at the large, empty endothelial vacuole. Panel E & F: a representative photomicrograph and quantitative analysis of vascular basement membrane thickening. In Panel E, the photomicrograph demonstrates an arteriole in the hippocampal vascular layer. Arrowheads are pointing at healthy and thickened segments of the basement membrane. Abbreviations: asterisk: microvascular lumen, art: arterioles, cap: capillaries, e: endothelial cell, en: endothelial cell nucleus, p: pericyte, smc: smooth muscle cell, smn: smooth muscle cell nucleus.

## **B. Animal testing : Methods**

### ***Animals and housing***

The experiment was performed with male C57Bl/6 mice (Harlan, Horst, The Netherlands). Animals were individually housed under a 12 hours light/12 hours dark cycle with lights on at 8:00. Food and drinking water were provided *ad libitum*, except for the period of behavioural testing as described below. The experiment was started with 60 mice, half of which served as control (CON) and half of which received resveratrol in their food from the age of 1 year onwards (RES). Between the ages of 18 and 20 month, spatial learning performance of the animals was studied in a Y-maze test. At the age of 24 month, brains were collected and processed for immunocytochemistry to assess cholinergic parameters and electron microscopy for several cerebrovascular analyses. The procedures concerning animal care and treatment were in

accordance with the regulations of the ethical committee for the use of experimental animals of the University of Groningen.

### ***Resveratrol supplementation***

5           Resveratrol (Hope Farms, Woerden, The Netherlands) was provided in the food (150 µg resveratrol/gram) in an amount comparable to a human dose. To rule out differences in food intake between animals on a control diet and resveratrol-supplemented diet, food intake and body weight were monitored every two weeks. To establish whether resveratrol provided in the food enters the circulation, a pilot ex-  
10    periment was performed in which blood was collected from mice by decapitation after 1 day and 30 days of supplementation. Plasma concentrations of resveratrol were measured. After 1 day of supplementation, resveratrol levels in the plasma were 88.5 µg/l at the end of the dark phase or active phase, when mice consume most of their food, and 41 µg/l at the end of the light phase or resting phase (n=3 each). After 1  
15    month of supplementation, the levels were 102.7 and 30.7 µg/l at the end of active phase and rest phase, respectively (n=3 each). These measurements confirm that resveratrol ingested via the food indeed entered the circulation, and the levels appeared to fluctuate over the day in a fashion that paralleled food intake. Supplementation of resveratrol via food thus seemed an appropriate and simple method for fur-  
20    ther studies.

### ***Open field test***

To examine general explorative activity, mice were subjected to an open-field test for 5 minutes. The open field consisted of a circular arena with a diameter of 120  
25    cm. The arena was divided in two imaginary concentric zones, a central zone (60 cm diameter) and an outer zone (120 cm diameter). Position and locomotion of the mice was recorded and analyzed with a computerized video tracking system (Ethovision, Noldus Information Technology, Wageningen, The Netherlands). The number of visits and time spend in each of the two zones was determined. The open-field arena  
30    was thoroughly cleaned before a new animal was tested.

### ***Y maze learning***

To examine cognitive performance, the mice were subjected to a Y-maze test in which they had to learn the location of a food reward in one of two accessible choice arms [7]. The test was conducted in a tubular, transparent Plexiglas Y maze consisting of a start arm and two test arms forming the Y. All arms of the maze were 27.5 cm long, had a diameter of 5 cm, and were at a 120° angle from each other. The home cages of the mice were equipped with a small sliding door that connected to the starting arm. One of the two test arms was baited with a food reward consisting of small crumbs of the regular food. Food crumbs were also placed below perforations at the end of the two test arms to prevent animals from discriminating between baited and non-baited arms by olfactory cues. Small grey plastic blocks (1 cm high) were placed 4 cm from the end of the arms to prevent visual inspection for food presence from a distance. A guillotine door halfway each arm could be operated manually from the experimenter's position and was used to allow animals only one choice in each training trial. The experimental room contained visual cues, which served as distal spatial cues.

To assure sufficient motivation for the test, the animals were food restricted to about 85% of their original body weight. Food restriction started 3 days before the test. The animals received their food daily between 16:00 and 18:00 PM.

The Y-maze test took place during the first half of the light phase between 10:00 and 14:00 in a room adjacent to the home cage room. The animals were carried in their home cage to the experimental room and the cage was then connected to the Y-maze. The first day of the test animals were habituated to the maze by allowing them to freely explore the arms for 5 minutes. They further received two habituation trials during which they only had access to subsequently the right or left test arm, which then contained a food reward. After the habituation day, mice received a daily training session consisting of 8 trials. During the entire training phase, either the right or left arm was baited. This was constant for a given individual, but randomized between subjects and treatments. When during a trial a subject visited one of the two accessible arms, the non-visited arm was closed. After the subject retreated to the start box, the start arm connected to the start box was blocked preventing re-entrance of the maze. After cleaning all arms with dampened paper cloth, and re-baiting the same arm, the subject was again allowed to explore either the right or left test

arm. A visit to the baited arm was recorded as a correct trial. The mice were trained in the Y-maze for 3 consecutive days. Ten days after the final training day, animals were subjected to another series of 8 trials to test their memory.

## 5 ***Tissue processing***

At the age of 24 months animals were sacrificed for histochemical and electronmicroscopical analysis. Mice were anaesthetized by a high dose of pentobarbital sodium salt (Nembutal, 60 mg/kg bodyweight) and transcordially perfused with heparinised saline followed by 4% phosphate buffered paraformaldehyde. After per-  
10 fusions, brains were removed from the skull and kept in 0.01 M PBS overnight. A random subset of 18 brains were further processed for electron microscopy. Another random subset of 22 brains was used for histological analysis.

## ***Histology***

15 For histology, brain tissue was cryoprotected in 30% sucrose in 0.1 M phosphate buffer for 48 h after which 20 µm sections were cut with a cryostat (Leica CM3050). To analyze possible changes in cholinergic cells and fibres, an enzymatic staining for acetylcholinesterase (AChE) was performed. Sections were postfixed in a  
20 2.5% glutardialdehyde solution in PB overnight at 4°C. AChE histochemistry was carried out according to methods known to the skilled person. In brief, sections were incubated in an acetylthiocholine-iodide containing incubation buffer, followed by sodiumsulfide and finally intensified with a 0.1% silver nitrate solution. Stereological analysis was performed in every tenth section. Cells positive for AChE were quantified in sections containing nucleus basalis (around bregma -0,7) and AChE fibre  
25 density measurements were performed by a computerized image analysis system Quantimet (Leica) in the dorsal hippocampus.

## ***Electron Microscopy***

After perfusion, 18 brains were prepared for electron microscopy using standard  
30 methods. Briefly, tissue blocks were incubated in an aqueous solution of 1% OsO<sub>4</sub> and 5% K<sub>2</sub>Cr<sub>4</sub>O<sub>7</sub> (1:1) after thorough rinsing. Subsequently, the samples were dehy-

drated, incubated in 1% uranyl acetate, and embedded in glycide ether. Semi-thin sections (0.3  $\mu\text{m}$ ) were cut on an ultramicrotome and stained on object glasses with a 1:1 mixture of 1% ethylene blue and 1% Azure II blue. The samples were then cover-slipped with DPX and analyzed under a light microscope. Then, 90 nm ultra thin sections were cut of the same blocks and three non-serial sections were collected on 200 mesh copper grids. Subsequently, preparations were contrasted with 5% uranyl-acetate and Reynolds lead-citrate solution. Finally, the samples were analyzed and photographs were taken with a Philips TM10 transmission electron microscope.

For quantitative analysis, approximately 4000  $\mu\text{m}^2$  tissue surface was scanned systematically in each region, and  $61 \pm 10$  microvascular cross sections were examined in each sample. Vascular density was calculated for a standard surface area with the help of the sample grid. In addition to microvessel density, the occurrence of the following features were quantitated: luminal endothelial protrusions, large empty endothelial vacuoles and basement membrane thickening. The basement membrane was considered thickened when local exfoliations were observed in case of capillaries, or hyalinosis in case of arterioles. The number of microvessels displaying any of the noted abnormalities was counted and expressed as percentage of the total number of microvessels examined. Capillaries and arterioles were analyzed separately. Since arterioles were encountered at high enough number for statistical analysis only in the hippocampal vascular layer, quantitative analysis of arteriolar condition was performed for this region of the hippocampus alone.

### ***Statistical analysis***

Behavioural performance was statistically analyzed with a one way ANOVA paradigm for repeated measures. Immunocytochemical data and EM data were statistically analyzed with an independent samples T-test (2-tailed). Data are expressed as averages  $\pm$  S.E.M.  $P < 0.05$  was considered as significant.

### ***Survival and food intake***

Animals received resveratrol in their food from 1 year of age onwards. Adding resveratrol to the food did not affect food intake, growth and body weight (data not shown). Before behavioural testing started, at the age of 18-20 months, 4 animals



died (2 CON; 2 RES). After behavioural testing, but before the end of the experiment at 24 months, 9 more animals died (4 CON; 5 RES). There were no significant differences in mortality rate and regular visual inspection did not indicate obvious differences in general health.

5

### ***Behavioural performance***

In the open field test, CON and RES mice displayed the same amount of locomotion (total distance covered), however, the RES group spent about 50% more time in the centre of the arena than the control animals (T-test,  $p=0.003$ ; Figure 1).

10 Also during the habituation trial of the Y-maze, CON and RES mice displayed similar levels of activity in terms of arm visits and spontaneous alternations (data not shown). However, during the training phase, chronic resveratrol supplementation improved learning (repeated measures ANOVA for number of correct choices on day 1 to 3:  $F_{1,54}=6.156$ ,  $p=0.016$ ; Figure 2). Posthoc testing shows that RES animals performed better than CON animals on the second day of the trainings phase ( $p < 0.05$ ).  
15 The final level of performance on day 3 and the retention trial on day 10 were not different.

### ***Cholinergic cell numbers and fibre density***

20 Analysis of cholinergic cell number in the nucleus basalis did not reveal any difference between CON and RES (Figure 3A). Also, cholinergic fibre density in the CA1 and dentate gyrus of the hippocampus was unchanged after RES treatment (Figure 3B).

### ***Cerebrovascular system***

25 Electronmicroscopical analysis of brain tissue revealed that the vascular density in the hippocampus of RES mice was about 15% higher than that in the control animals ( $p=0.019$ ; Figure 4).

The endothelial cells of cerebral microvessels exhibited two discernable abnormalities. The apical surface of the endothelial cells displayed rich, microvillus-like  
30

processes into the lumen, and empty, large vacuoles formed preferentially in the vicinity of tight junctions (Figure 5A and 5C). The basement membrane of capillaries appeared occasionally thickened in the form of local exfoliations, while the arteriolar basement membrane, particularly between the endothelial and smooth muscle cells was affected by hyalinosis (Figure 5E). The occurrence of basement membrane thickening and endothelial processes was not significantly affected by dietary resveratrol (Figure 5B and 5F). However, the number of microvessels with endothelial vacuoles was significantly reduced by chronic resveratrol treatment (Figure 5D) in the hippocampal arterioles ( $p=0,05$ ).

10

### ***Discussion of the study***

Chronic dietary supplementation with the natural polyphenol resveratrol improved performance of aged mice in the acquisition of a Y-maze task. This improvement in cognitive performance was paralleled by an increased microvascular density in the hippocampus and decreased number of vacuolar abnormalities in hippocampal microvessels.

15

Learning and memory performance in the Y-maze is dependent on the hippocampus, a brain area often affected by aging in both humans and rodents. It was found that resveratrol treated animals are better able to acquire this task. Although differences in general activity and anxiety are potential confounding factors in most learning task, it is unlikely that this explains the results of the Y-maze test in the present study. First, during the free exploration, habituation trial before the start of training, resveratrol-supplemented and control mice showed similar levels of explorative activity in terms of arm visits and spontaneous alternations. Second, during the training, all animals entered the maze with every trial and latencies were not different for treated versus untreated animals. Furthermore, the data from the open field test confirm that there was no effect of resveratrol treatment on general locomotor activity, since the total distance covered by animals was the same for both groups. All together, the increased performance of the resveratrol-treated animals during the acquisition phase of the Y-maze task most likely indicates an improved cognitive performance or, alternatively, a reduction in the well-established age-related decline in cognitive performance.

20

25

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The mechanisms behind resveratrol-induced preservation of cognitive performance during aging are most likely multi-factorial. In the present study, improved performance was paralleled by higher hippocampal microvessel density and less aberrant microvessels in the hippocampus. A higher microvascular density and increased cerebral blood flow might improve performance directly by increasing glucose and oxygen supply to relevant brain areas [8]. Additionally, the microvessels potentially provide a source of trophic factors like IGF-1 and nerve growth factor (NGF) that support neuronal function. These factors have been shown to decrease in aging together with decreases in cortical microvascular density [9] and are also implicated in age-related cognitive decline [10].

Without being bound to the following explanation, an increased microvascular density can be explained in two ways. First, resveratrol could actively stimulate angiogenesis or second, resveratrol could prevent age-related decline in angiogenesis and/ or deterioration of vascular status. Since resveratrol has been reported to inhibit angiogenesis in vitro [11,12], it seems more likely that resveratrol supplementation in the present study attenuated the age-related decline in vascular density and maintained normal levels of angiogenesis as opposed to an increase in angiogenesis and vessel density over control levels. Additionally, resveratrol appeared to prevent or delay the occurrence of vascular abnormalities, another hallmark of age-related decline in vascular status.

In this particular study, mice received resveratrol in a chronic fashion, doses and timing highly comparable to the experimental design. The inventors found that resveratrol produces changes associated with a longer lifespan, resulting in a physiological shift of middle-aged mice on a high-calorie diet towards that of mice on a standard diet. Survival was not assessed, but focus was on neurobiological function and cerebrovascular status. It is now postulated that one of the target-systems for resveratrol to exert its beneficial effects can be found in a preserved cerebrovascular system.

In contrast to the results on cerebrovascular level, no effects were found of chronic resveratrol treatment on structural parameters of the cholinergic system i.e. cholinergic cell number and fibre density, although direct effects of resveratrol on neuronal health cannot be ruled out. The lack of effects on neuronal level is actually paralleled by previous studies on aging, where no quantitative difference in the num-

ber of neurons or synapses were found. Furthermore, a previous studies on dietary supplementation in Tg2576 transgenic mice, a model for Alzheimer's Disease, found a strong effect on spatial learning, but no effects on neuronal readouts, like plaque deposition [13,14]. In order to exhibit its direct effects on neuronal health resveratrol is required to enter the brain in sufficient levels. In this study it was shown that resveratrol is present in the blood, fluctuating according to daily food-intake. However, the bioavailability of resveratrol and relative concentrations in different tissue are still under discussion [15] and at present, it is unknown whether sufficient concentrations of resveratrol can cross the blood-brain barrier to exert its beneficial effects directly on neuronal level. This has implications on the doses, but not on the established effect.

In conclusion, this is the first study showing beneficial effects of long-term dietary supplementation with the natural polyphenol resveratrol on cognitive functioning and cerebrovascular status. In this light, we propose that ingestion of resveratrol, thereby targeting the health of the cerebrovascular system, may be an effective and simple method to support successful aging.

### C. Example of a nutritional composition

By way of examples, the following liquid nutritional compositions may be used as carrier for administering the hydroxylated stilbenes according to the invention, wherein the hydroxylated stilbene is resveratrol in an amount ranging from 0.2 mg to about 500 mg per serving.

**Ready-to-drink oral supplement** comprising per 100 ml, 100 kcal, 40 En% protein, 41,1 En% carbohydrate and 18,9 En% lipids. 10 g of protein based on whey and casein (2 g / 8 g) ; 10.3 g carbohydrates based on maltodextrines and 2.1 g of fat based on canola oil and sunflower oil. Other micronutrients according to general recommendations for medical nutrition.

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**CLAIMS**

1. Use of an hydroxylated stilbene in the manufacture of a neutraceutical composition for the treatment or prevention of a decline in brain neuronal function and/or cognitive functioning in a mammal.  
5
2. Use according to claim 1, wherein the decline is associated with the deterioration of the cerebrovascular system.
3. Use according to any one of claims 1 to 2, for increasing the microvascular plasticity and/or microvessel density and/or decreasing the microvessel abnormalities in the brain.  
10
4. Use according to any one of claims 1 to 3, wherein the decline is age-related and/or condition-related.
5. Use according to any one of claims 1 to 4 wherein the condition is selected from the group of Alzheimer's Disease, dementia, depression, sleep disorders, impaired memory function, psychoses, Parkinson's disease, Huntington's chorea, epilepsy, schizophrenia, paranoia, ADHD and anxiety.  
15
6. Use according to any one of the preceding claims, wherein the hydroxylated stilbene is resveratrol.
7. Use according to any one of the preceding claims, wherein the mammal is a human, preferably an elderly human.  
20
8. Use of a composition according to any one of the preceding claims wherein resveratrol is present in an amount sufficient to administer in a daily dosage of about 0.5 mg/day to about 2000 mg/day.
9. Use of a composition according to any one of the preceding claims, wherein the composition is in dosage unit form.  
25
10. Use of a composition according to claim 9, wherein the dosage unit form is a solid dosage unit form.
11. Use of a composition according to claim 10, wherein the dosage unit form contains about 0.5 mg to about 500 mg of resveratrol

12. Use of a composition according to any one of claims 1 to 8, which is a nutritional composition, such as a food or beverage or a supplement composition for a food or beverage.
- 5 13. Use of a composition according to claim 12, wherein the hydroxylated stilbene is present in an amount of about 0.5 mg to about 500 mg.
14. Use of a composition according to any one of claims 12 to 13 further comprising at least one of fat, protein and carbohydrates.



Figure 1

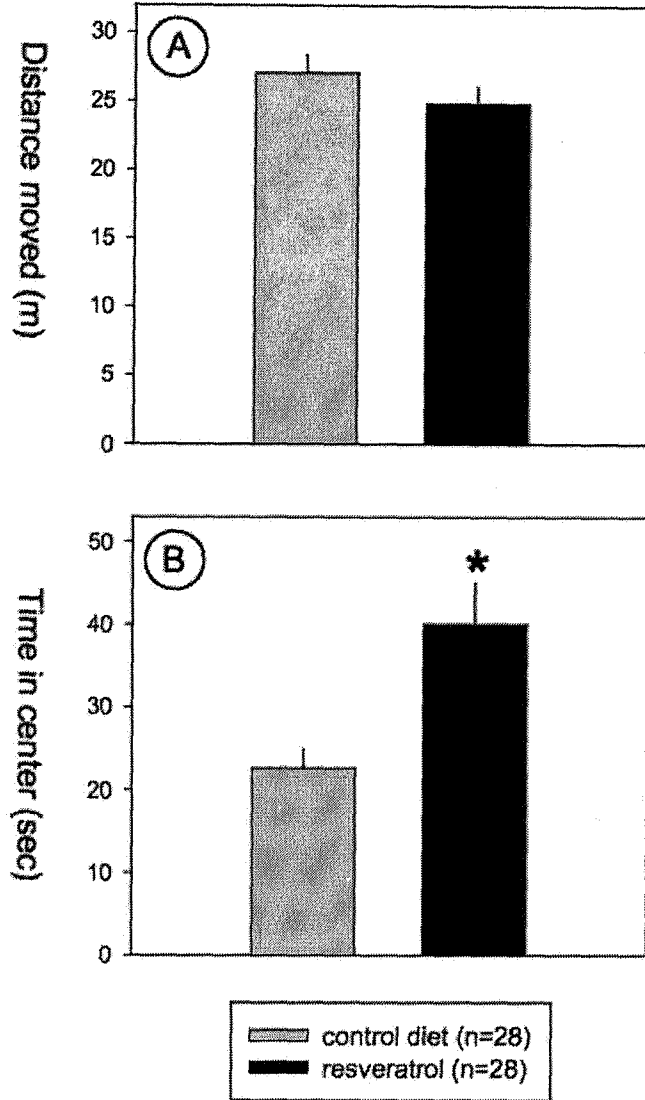


Figure 2

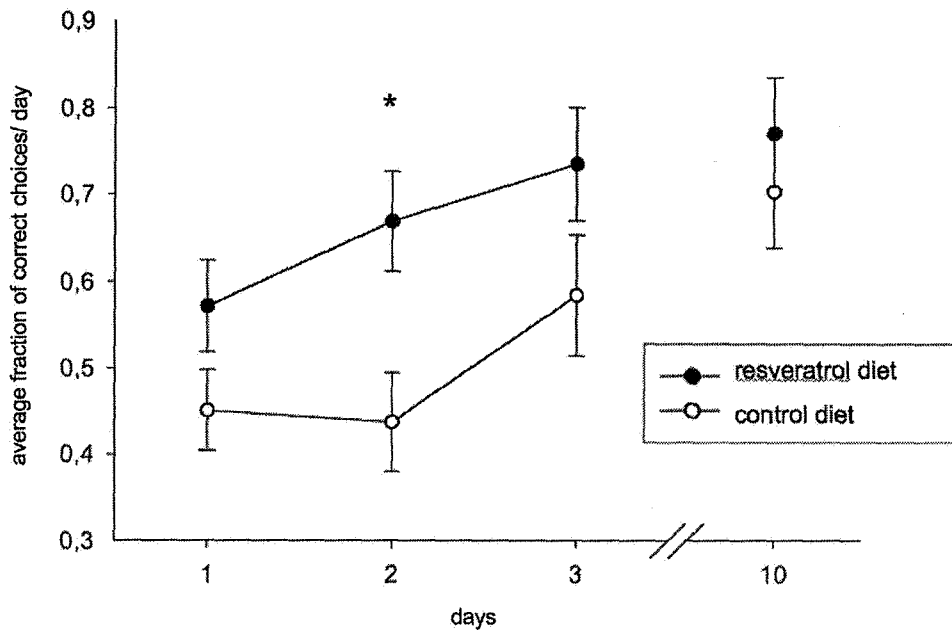


Figure 3

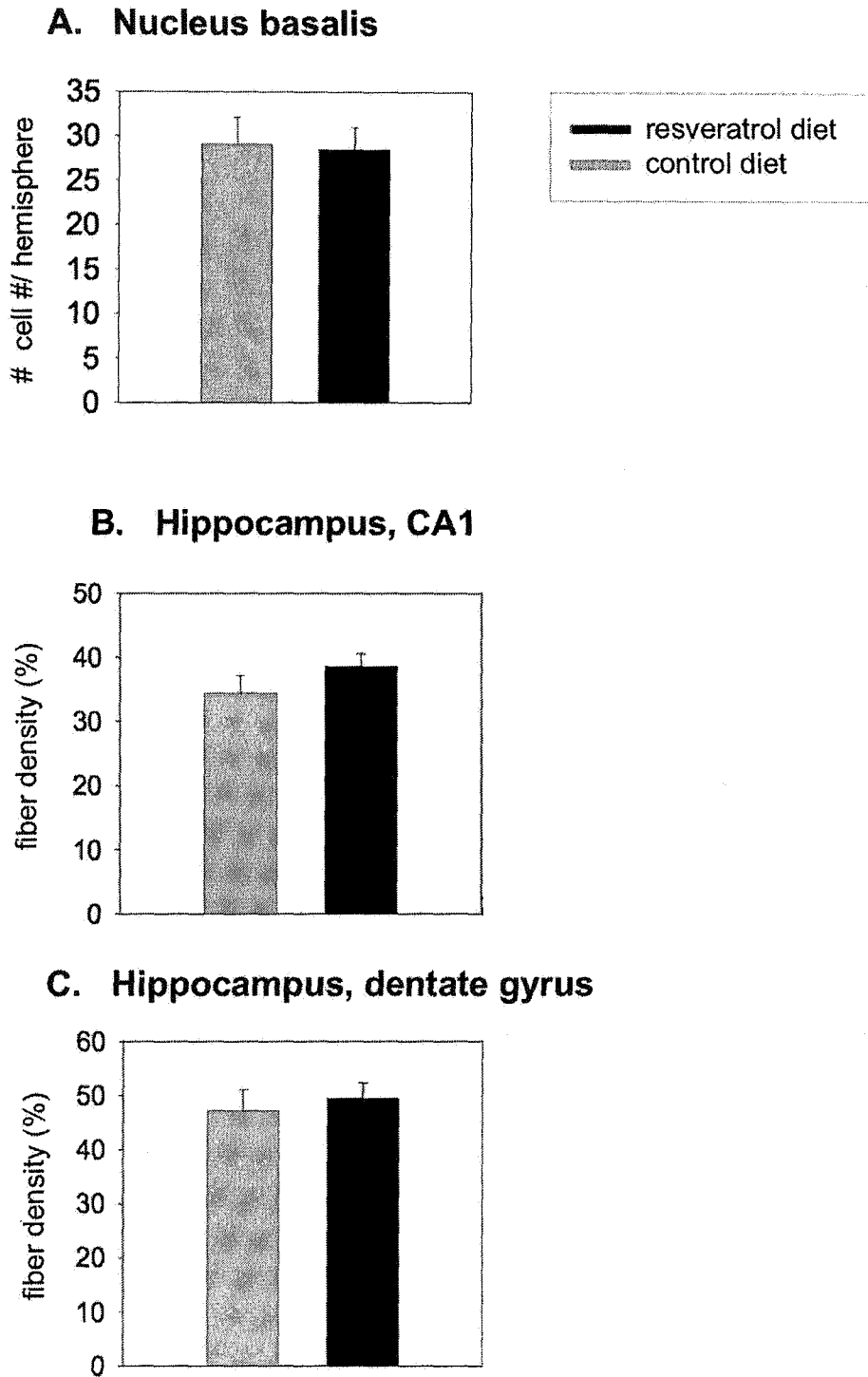


Figure 4

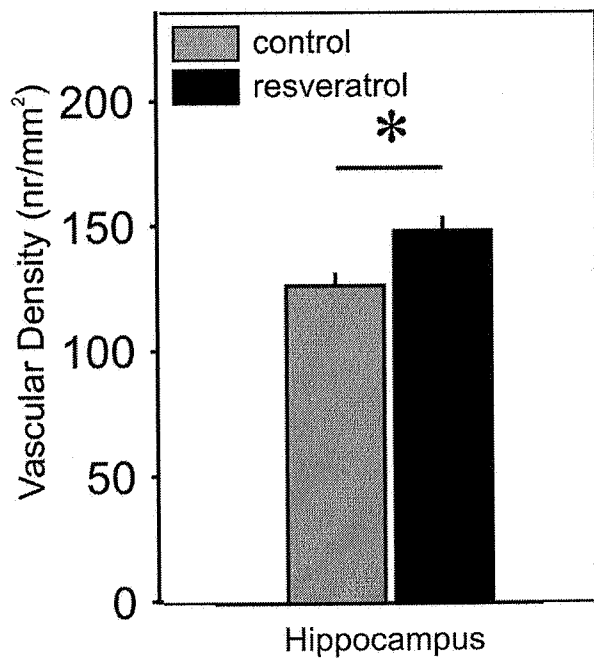
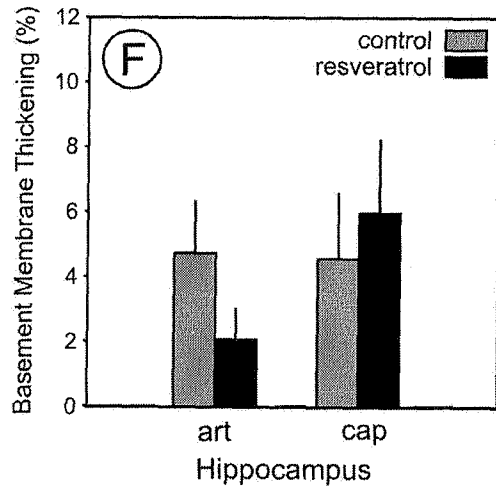
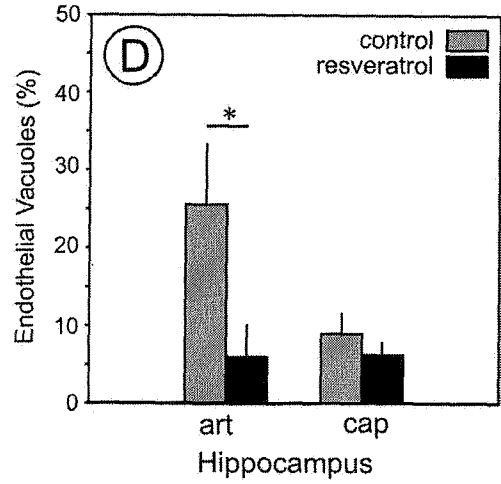
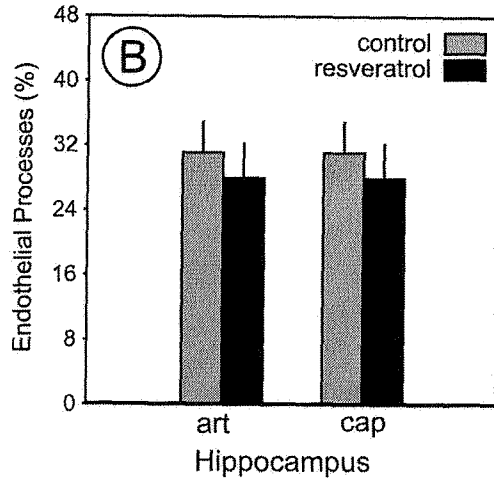
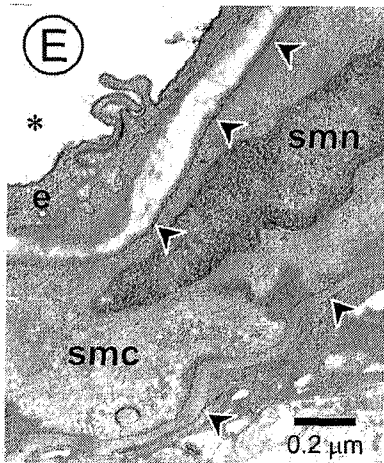
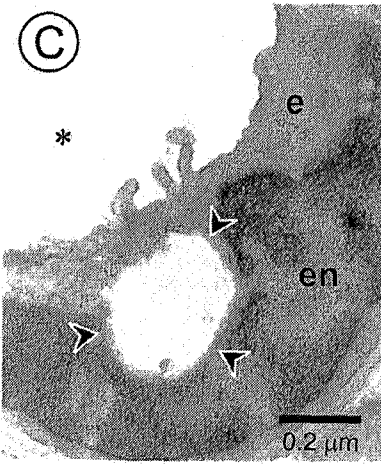
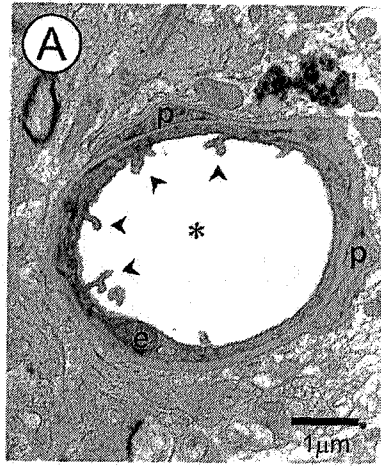


Figure 5



# INTERNATIONAL SEARCH REPORT

International application No  
PCT/NL2009/050078

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> INV. A61K31/05      A61P25/08      A61P25/22      A61P25/24      A61P25/28		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) A61K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, BIOSIS, MEDLINE, EMBASE		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	FR 2 778 337 A (INST NAT SANTE RECH MED [FR]) 12 November 1999 (1999-11-12) claims 1-4,7-9,17	1-7,12
X	KARUPPAGOUNDER S S ET AL: "Dietary supplementation with resveratrol reduces plaque pathology in a transgenic model of Alzheimer's disease" NEUROCHEMISTRY INTERNATIONAL 200902 GB, vol. 54, no. 2, February 2009 (2009-02), pages 111-118, XP002524171 ISSN: 0197-0186 abstract page 117, column 1, paragraph 2	1-7,12
-/--		
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input checked="" type="checkbox"/> See patent family annex.		
* Special categories of cited documents :		
*A* document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed		
*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family		
Date of the actual completion of the international search  <p style="text-align: center;">24 April 2009</p>	Date of mailing of the international search report  <p style="text-align: center;">06/11/2009</p>	
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040. Fax: (+31-70) 340-3016	Authorized officer  <p style="text-align: center;">Bonzano, Camilla</p>	

## INTERNATIONAL SEARCH REPORT

International application No

PCT/NL2009/050078

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>KIM HYO JIN ET AL: "Protective effects of piceatannol against beta-amyloid-induced neuronal cell death" ANNALS OF THE NEW YORK ACADEMY OF SCIENCES:CELL SIGNALING IN HEALTH AND DISEASE BLACKWELL PUBLISHING, 9600 GARSINGTON RD, OXFORD OX4 2DQ, OXEN, UK SERIES : ANNALS OF THE NEW YORK ACADEMY OF SCIENCES (ISSN 0077-8923(PRINT)), 2007, pages 473-482, XP002524172 &amp; CELL SIGNALING WORLD 2006 CONFERENCE; LUXEMBOURG, LUXEMBOURG; JANUARY 25 -28, 2006 ISSN: 978-1-57331-695-8(S) page 473, paragraph 1 - page 474, paragraph 2</p>	1-7,12, 14
X	<p>RAVAL AMI P ET AL: "Resveratrol and ischemic preconditioning in the brain" CURRENT MEDICINAL CHEMISTRY, vol. 15, no. 15, June 2008 (2008-06), pages 1545-1551, XP002524173 ISSN: 0929-8673 page 1546, column 1, paragraph 4 table 1</p>	1-14
X	<p>DE ALMEIDA L M V ET AL: "Resveratrol protects against oxidative injury induced by H2O2 in acute hippocampal slice preparations from Wistar rats" ARCHIVES OF BIOCHEMISTRY AND BIOPHYSICS, ACADEMIC PRESS, US, vol. 480, no. 1, 1 December 2008 (2008-12-01), pages 27-32, XP025646717 ISSN: 0003-9861 [retrieved on 2008-09-22] page 27, column 1 - column 2</p>	1-7,12, 14
A	<p>WO 2008/120220 A (GOKARAJU GANGA RAJU [IN]; GOKARAJU RAMA RAJU [IN]; GOLAKOTI TRIMURTULU) 9 October 2008 (2008-10-09) claims 1,25</p>	

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/NL2009/050078

## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1.  As all required additional search fees were timely paid by the applicant, this international search report covers allsearchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

see additional sheet(s)

### Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.



**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-14 (partially)

Use of an hydroxylated stilbene in the manufacture of a nutraceutical composition for the treatment and prevention of a decline in brain neuronal function and/or cognitive functioning in a mammal, namely the treatment of the disorders Alzheimer's disease, dementia, impaired memory function.

---

2. claims: 1-14 (partially)

Use of an hydroxylated stilbene in the manufacture of a nutraceutical composition for the treatment and prevention of a decline in brain neuronal function and/or cognitive functioning in a mammal, namely the treatment of movement disorders selected from Parkinson's disease, Huntington's chorea.

---

3. claims: 1-14 (partially)

Use of an hydroxylated stilbene in the manufacture of a nutraceutical composition for the treatment and prevention of a decline in brain neuronal function and/or cognitive functioning in a mammal, namely the treatment of the psychiatric disorders depression, psychosis, schizophrenia, paranoia, anxiety.

---

4. claims: 1-14 (partially)

Use of an hydroxylated stilbene in the manufacture of a nutraceutical composition for the treatment and prevention of a decline in brain neuronal function and/or cognitive functioning in a mammal, namely the treatment of: sleep disorders.

---

5. claims: 1-14 (partially)

Use of an hydroxylated stilbene in the manufacture of a nutraceutical composition for the treatment and prevention of a decline in brain neuronal function and/or cognitive functioning in a mammal, namely the treatment of: epilepsy.

---

6. claims: 1-14 (partially)

**FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210**

Use of an hydroxylated stilbene in the manufacture of a nutraceutical composition for the treatment and prevention of a decline in brain neuronal function and/or cognitive functioning in a mammal, namely the treatment of:  
ADHD.

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No <b>PCT/NL2009/050078</b>
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Patent document cited in search report	Publication date	Patent family member(s)	Publication date
FR 2778337	A	12-11-1999	AT 245973 T 15-08-2003
			AU 3528299 A 23-11-1999
			CA 2331364 A1 11-11-1999
			DE 69909998 D1 04-09-2003
			DE 69909998 T2 22-04-2004
			EP 1075256 A1 14-02-2001
			ES 2205807 T3 01-05-2004
			WO 9956737 A1 11-11-1999
			JP 2002513754 T 14-05-2002
WO 2008120220	A	09-10-2008	NONE