

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau



(10) International Publication Number

WO 2015/077134 A1

(43) International Publication Date

28 May 2015 (28.05.2015)

(51) International Patent Classification:

C11D 17/00 (2006.01)

(21) International Application Number:

PCT/US2014/065610

(22) International Filing Date:

14 November 2014 (14.11.2014)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

61/908,276 25 November 2013 (25.11.2013) US

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(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Published:

— with international search report (Art. 21(3))



WO 2015/077134 A1

(54) Title: HEAT TRANSFER COMPOSITIONS OF HYDROFLUOROCARBONS AND A TETRAFLUOROPROPENE

(57) Abstract: The present invention relates to heat transfer compositions comprising 1,3,3,3-tetrafluoropropene, difluoromethane, pentafluoroethane, and 1,1,2,2-tetrafluoroethane for use in refrigeration, air-conditioning, heat pump systems, chillers, and other heat transfer applications. The inventive heat transfer compositions can possess reduced global warming potential while providing good capacity and performance.

## HEAT TRANSFER COMPOSITIONS OF HYDROFLUOROCARBONS AND A TETRAFLUOROPROPENE

### Field of the Invention

5 The present invention relates to heat transfer compositions comprising 1,3,3,3-tetrafluoropropene, difluoromethane, pentafluoroethane, and 1,1,2,2-tetrafluoroethane for use in refrigeration, air-conditioning, heat pump systems, chillers, and other heat transfer applications. The inventive heat transfer compositions can possess reduced global warming potential while providing good capacity and performance.

### Background of Invention

10 With continued regulatory pressure there is a growing need to identify more environmentally sustainable replacements for refrigerants, heat transfer fluids, foam blowing agents, solvents, and aerosols with lower ozone depleting and global warming potentials. Chlorofluorocarbon (CFC) and hydrochlorofluorocarbons (HCFC), widely used for these applications, are ozone depleting substances and are 15 being phased out in accordance with guidelines of the Montreal Protocol. Hydrofluorocarbons (HFC) are a leading replacement for CFCs and HCFCs in many applications. Though they are deemed “friendly” to the ozone layer they still generally possess high global warming potentials.

20 For instance several HFC-based refrigerants have been developed to replace R-22, an HCFC refrigerant with ozone depletion potential (ODP). These include R-404A, R-407C, R-407A, R-417A, R-422D, R-427A, R-438A, and others. However, most of the HFC-based R-22 replacements have higher global warming potential (GWP) than R-22 while also compromising in performance characteristics. For example, R-404A and R-407A may have slightly higher refrigeration capacity (CAP) 25 than R-22 under some conditions but have lower performance (COP); R-407C has slightly lower GWP but also lower CAP and COP in refrigeration applications; many other R-22 replacements have not only a higher GWP but lower CAP and COP.

Figure 3 shows a comparison of the GWP of R-22 and several R-22 replacements.

30 Another limitation is that most HFCs lack the miscibility with traditional lubricants, such as mineral oils, necessary to provide adequate performance. This has resulted in the implementation of oxygenated lubricants such as polyol ester (POE) oils, polyalkylene glycol (PAG) oils, and polyvinyl ether (PVE) oils in place of

mineral oils. These new lubricants can be considerably more expensive than traditional mineral oil lubricants and can be extremely hygroscopic.

Several refrigerant compositions, such as R-422D and R-438A, have been developed incorporating a small fraction of low boiling hydrocarbons, such as 5 butanes, propanes, or pentanes, for the purposes of improving miscibility with mineral oil and thereby improving oil return. However, it has been recognized that the quantity of hydrocarbon in the refrigerant composition must be minimized to reduce the flammability of the refrigerant composition for the interest of safety, such as taught in US 6,655,160 and US 5,688,432.

10 Among the HFC products designed to replace R-22, R-407C has in particular been developed for replacing R-22 in air conditioning applications. This product is a mixture combining R-32, R-125 and R-134a in the proportions of 23/25/52% by weight. R-32 denotes difluoromethane, R-125 denotes pentafluoroethane, and R-134a denotes 1,1,1,2-tetrafluoroethane. R-407C has thermodynamic properties which are 15 very similar to those of R-22. For this reason, R-407C can be used in old systems designed to operate with R-22, thus making it possible to replace an HCFC fluid by an HFC fluid which is safer with regards to the stratospheric ozone layer in the context of a procedure for converting these old systems. The thermodynamic properties concerned are well known to a person skilled in the art and are in particular the 20 refrigerating capacity, the coefficient of performance (or COP) and the condensation pressure.

Other products designed to replace R-22, R-407C and the like include the 25 combination of difluoromethane (R-32), pentafluoroethane (R-125), 2,3,3,3-tetrafluoropropene (R-1234yf), and 1,1,1,2-tetrafluoroethane (R-134a) disclosed in US Patent Application Publication No. 2013/0096218.

The refrigerating capacity represents the refrigeration power available by virtue of the refrigerant, for a given compressor. In order to replace R-22, it is essential to have available a fluid having a high refrigerating capacity close to that of R-22.

30 The COP expresses the ratio of the refrigerating energy delivered to the energy applied to the compressor in order to compress the refrigerant in the vapor state. In the context of the substitution of R-22, a COP value of the refrigerant which is less than that of R-22 is suitable, if an increase in the consumption of electricity of the plant is accepted.

Finally, the condensation pressure indicates the stress exerted by the refrigerant on the corresponding mechanical parts of the refrigerating circuit. A refrigerant capable of replacing R-22 in a refrigeration system designed for the latter must not exhibit a condensation pressure significantly greater than that of R-22.

5 In the present invention, heat transfer compositions were discovered that not only have a low GWP but have an unexpectedly good balance between capacity and performance. Preferably, the heat transfer compositions of the present invention have low flammability, more preferably the heat transfer compositions of the present invention are non-flammable, even more preferably the heat transfer compositions of  
10 the present invention are non-flammable and remain non-flammable following various leak scenarios, and even more preferably non-flammable according to ASHRAE SSPC 34. Another embodiment of the present invention are refrigerant compositions with improved oil-return characteristics in heat transfer equipment compared to the HFC refrigerants, including those incorporating small amounts of  
15 hydrocarbons such as R-422D. Though not meant to limit the scope of this invention in any way, the heat transfer compositions of the present invention are useful in new refrigeration, air conditioning, heat pump, chiller, or other heat transfer equipment; in another embodiment, the heat transfer compositions of the present invention are useful as retrofits for refrigerants in existing equipment including, but not limited to,  
20 R-22, R-407C, R-427A, R-404A, R-507, R-407A, R-407F, R-417A, R-422D, and others.

#### Detailed Description of Invention

With continued regulatory pressure there is a growing need to identify more environmentally sustainable replacements for refrigerants, heat transfer fluids, foam  
25 blowing agents, solvents, and aerosols with lower ozone depleting and global warming potentials. Chlorofluorocarbon (CFC) and hydrochlorofluorocarbons (HCFC), widely used for these applications, are ozone depleting substances and are being phased out in accordance with guidelines of the Montreal Protocol. Hydrofluorocarbons (HFC) are a leading replacement for CFCs and HCFCs in many  
30 applications; though they are deemed “friendly” to the ozone layer they still generally possess high global warming potentials. One new class of compounds that has been identified to replace ozone depleting or high global warming substances are

halogenated olefins, such as hydrofluoroolefins (HFO) and hydrochlorofluoroolefins (HCFO).

The heat transfer compositions of the present invention are comprised of difluoromethane (HFC-32 or R-32), pentafluoroethane (HFC-125 or R-125), 1,3,3,3-tetrafluoropropene (HFO-1234ze or R-1234ze), and 1,1,2,2-tetrafluoroethane (HFC-134 or R-134). HFO-1234ze can be the cis-isomer, trans-isomer, or mixtures thereof; in a highly preferred embodiment of the present invention the HFO-1234ze is the trans-isomer (HFO-1234ze(E) or R-1234ze(E)).

In an embodiment of the present invention, the heat transfer are comprised of from about 1% to 97% R-32, from about 1% to 97% R-125, from about 1% to 97% R-1234ze, and from about 1% to 97% R-134 by weight.

In another embodiment of the present invention, the heat transfer composition of the present invention comprises from about 1% to 97% by weight of R-32; preferably from about 5% to 40% by weight of R-32; more preferably from about 15% to 35% by weight of R-32, more preferably from 20% to 30% by weight of R-32.

In another embodiment of the present invention, the heat transfer composition of the present invention comprises from about 22% to 25% by weight of R-32. In another embodiment of the present invention, the heat transfer composition of the present invention comprises from about 1% to 97% by weight of R-125; preferably from about 5% to 40% by weight of R-125; more preferably from about 15% to 35% by weight of R-125; more preferably from 20% to 30% by weight of R-125. In another embodiment of the present invention, the heat transfer composition of the present invention comprises from about 21% to 25% by weight of R-125. In another embodiment of the present invention, the heat transfer composition of the present invention comprises from about 1% to 97% by weight of R-1234ze; preferably from about 10% to 60% by weight of R-1234ze; more preferably from about 15% to 50% by weight of R-1234ze. In another embodiment of the present invention, the heat transfer composition of the present invention comprises from about 20% to 30% by weight of R-1234ze. In another embodiment of the present invention, the heat transfer composition of the present invention comprises from about 1% to 97% by weight of R-134; preferably from about 5% to 60% by weight of R-134; more preferably from about 5% to 40% by weight of R-134. In another embodiment of the present invention, the heat transfer composition of the present invention comprises from about 15% to 30% by weight of R-134.

In an embodiment of the present invention, the heat transfer compositions comprise from 2% to 98% by weight of the combined total of R-134 and R-1234ze; preferably from 10% to 90% by weight of the combined total of R-134 and R-1234ze; more preferably from 25% to 75% by weight of the combined total of R-134 and R-1234ze. In one embodiment of the present invention the heat transfer compositions comprise from 30% to 60% by weight of the combined total of R-134 and R-1234ze. In one embodiment of the present invention the heat transfer compositions comprise from 40% to 60% by weight of the combined total of R-134 and R-1234ze.

10 In an embodiment of the present invention, the heat transfer compositions comprise from 2% to 98% by weight of the combined total of R-32 and R-125; preferably from 10% to 90% by weight of the combined total of R-32 and R-125; more preferably from 25% to 75% by weight of the combined total of R-32 and R-125. In one embodiment of the present invention the heat transfer compositions comprise from 40% to 60% by weight of the combined total of R-32 and R-125.

15 In an embodiment of the present invention, the heat transfer compositions comprise R-134 and R-1234ze in a ratio of R-134:R-1234ze of from 2:98 to 98:2; preferably 10:90 to 90:10; more preferably 15:85 to 75:25. In an embodiment of the present invention, the heat transfer compositions comprise R-134 and R-1234ze in a ratio of R-134:R-1234ze of from 20:80 to 30:70. In another embodiment of the 20 present invention, the heat transfer compositions comprise R-134 and R-1234ze in a ratio of R-134:R-1234ze of from 55:45 to 70:30.

25 In an embodiment of the present invention, the heat transfer compositions comprise R-32 and R-125 in a ratio of R-32:R-125 of from 2:98 to 98:2; preferably 10:90 to 80:20; more preferably 30:70 to 70:30. In an embodiment of the present invention, the heat transfer compositions comprise R-32 and R-125 in a ratio of R-32:R-125 of from 40:60 to 60:40.

30 In another embodiment of the present invention are heat transfer compositions where the combined total quantity of R-32 and R125 is from about 40% to 60% by weight and the combined total quantity of R-134 and R-1234ze is from about 40% to 60% by weight.

In another embodiment of the present invention are heat transfer compositions comprising from about 15% to 35% by weight of R-32, from about 15% to 35% by weight of R-125, from about 10% to 35% by weight of R-1234ze, and from about 10% to about 35% by weight of R-134.

The heat transfer compositions of the present invention may be used in combination with other refrigerants including, but not limited to, hydrofluorocarbons, hydrochlorofluorocarbons, hydrofluoroolefins, hydrofluorochlorocarbons, hydrocarbons, hydrofluoroethers, fluoroketones, chlorofluorocarbons, trans-1,2-dichloroethylene, carbon dioxide, ammonia, dimethyl ether, propylene, and mixtures thereof.

Exemplary hydrofluorocarbons (HFCs) include difluoromethane (HFC-32); 1-fluoroethane (HFC-161); 1,1-difluoroethane (HFC-152a); 1,2-difluoroethane (HFC-152); 1,1,1-trifluoroethane (HFC-143a); 1,1,2-trifluoroethane (HFC-143); 1,1,1,2-tetrafluoroethane (HFC-134a); 1,1,2,2-tetrafluoroethane (HFC-134); 1,1,1,2,2-pentafluoroethane (HFC-125); 1,1,1,3,3-pentafluoropropane (HFC-245fa); 1,1,2,2,3-pentafluoropropane (HFC-245ca); 1,1,1,2,3-pentafluoropropane (HFC-245eb); 1,1,1,3,3-hexafluoropropane (HFC-236fa); 1,1,1,2,3,3-heptafluoropropane (HFC-227ea); 1,1,1,3,3-pentafluorobutane (HFC-365mfc), 1,1,1,2,3,4,4,5,5,5-decafluoropropane (HFC-4310), and mixtures thereof. Preferred hydrofluorocarbons include HFC-134a, HFC-32, HFC-152a, HFC-125, and mixtures thereof.

Exemplary hydrofluoroolefins (HFOs) include 3,3,3-trifluoropropene (HFO-1234zf), 1,3,3,3-tetrafluoropropene (HFO-1234ze), particularly the E-isomer, 2,3,3,3-tetrafluoropropene (HFO-1234yf), 1,2,3,3,3-pentafluoropropene (HFO-1255ye), particularly the Z-isomer, E-1,1,1,3,3-hexafluorobut-2-ene (E-HFO-1336mzz), Z-1,1,1,3,3-hexafluorobut-2-ene (Z-HFO-1336mzz), 1,1,1,4,4,5,5,5-octafluoropent-2-ene (HFO-1438mzz) and mixtures thereof. Preferred hydrofluoroolefins include 3,3,3-trifluoropropene (HFO-1234zf), E-1,3,3,3-tetrafluoropropene (HFO-1234ze), 2,3,3,3-tetrafluoropropene (HFO-1234yf), and mixtures thereof.

Exemplary hydrochlorofluoroolefins (HCFOs) include 1-chloro-3,3,3-trifluoropropene (HCFO-1233zd), particularly the trans-isomer, 2-chloro-3,3,3-trifluoropropene (HCFO-1233xf), and dichloro-tetrafluoropropenes, such as isomers of HCFO-1214.

Exemplary hydrocarbons (HCs) include propylene, propane, butane, isobutane, n-pentane, iso-pentane, neo-pentane, cyclopentane, and mixtures thereof. Preferred hydrocarbons include propylene, propane, butane, and iso-butane.

Exemplary hydrochlorofluorocarbons (HCFCs) include chloro-difluoromethane (HCFC-22), 1-chloro-1,1-difluoroethane (HCFC-142b), 1,1-

dichloro-1-fluoroethane (HCFC-141b), 1,1-dichloro-2,2,2-trifluoroethane (HCFC-123), and 1-chloro-1,2,2,2-tetrafluoroethane (HCFC-124).

Exemplary chlorofluorocarbons (CFCs) include trichlorofluoromethane (R-11), dichlorodifluoromethane (R-12), 1,1,2-trifluoro-1,2,2-trifluoroethane (R-113), 5 1,2-dichloro-1,1,2,2-tetrafluoroethane (R-114), chloro-pentafluoroethane (R-115) and mixtures thereof.

Exemplary hydrofluoroethers (HFEs) include 1,1,1,2,2,3,3-heptafluoro-3-methoxy-propane, 1,1,1,2,2,3,3,4,4-nonafluoro-4-methoxy-butane and mixtures thereof.

10 An exemplary fluoroketone is 1,1,1,2,2,4,5,5,5-nonafluoro-4(trifluoromethyl)-3-pantanone.

In an embodiment of the present invention, the heat transfer compositions of the present invention may further comprise a refrigerant selected from HFO-1234yf, HFC-134a, and mixtures thereof; preferably from about 1 to 50% by weight of the 15 total heat transfer composition, more preferably from about 1 to 25% by weight of the total heat transfer composition. In another embodiment of the present invention, the heat transfer compositions of the present invention may further comprise HFO-1234yf and HFC-134a, preferably where the combination of HFO-1234yf and HFC-134a is about 25% to 75% by weight of HFO-1234yf, preferably about 45% to 65% by 20 weight of HFO-1234yf.

Flammability is an important property for many applications where it is very important or essential for the composition to be non-flammable, including particularly refrigerant and heat transfer applications. There are various methods of measuring the 25 flammability of compounds and compositions, such as by measuring flash point or by ASTM E 681-01 as specified by ASHRAE Addendum 34p-92, as applicable. Preferably, the non-flammable compositions are non-flammable at ambient temperature and lower, preferably are non-flammable at 60°C and lower, and even more preferably are non-flammable at 100°C and lower. A greater range for non-flammability is beneficial by providing a greater degree of safety during use, 30 handling, or transport.

In a preferred embodiment of the present invention, the heat transfer compositions of the present invention are non-flammable. Preferably, the heat transfer composition of the present invention is non-flammable and remains non-flammable upon fractionation between a liquid and vapor phase. For example, in a

50% leak test a vessel is charged with an initial composition, which is preferably non-flammable. The vessel can be maintained at a desired temperature, such as -25°C or 25°C, and the initial vapor phase composition is measured, and is preferably non-flammable. The composition is allowed to leak from the vessel at constant 5 temperature and set leak rate until 50% by weight of the initial composition is removed, at which time the final vapor phase composition is measured, and is preferably non-flammable.

10 In a preferred embodiment of the present invention, the heat transfer compositions of the present invention exhibit minimal change in composition or vapor pressure following a leak of the heat transfer composition from a vessel or equipment. In one such leak case, the heat transfer composition of the present invention is charged to a vessel and maintained at constant temperature. The heat transfer composition is permitted to leak from the vessel at a slow rate until 50% by weight of the overall composition has escaped the vessel. In a preferred embodiment of the 15 present invention, the vapor pressure of the heat transfer composition will not have significantly changed following the 50% leak; preferably the vapor pressure has changed less than 20%, more preferably less than 10%, more preferably less than 5%, and even more preferably less than 2%. In another embodiment of the present invention, the vapor and liquid phases in the vessel following the 50% leak are non-flammable.

20 Though not meant to limit the scope of the present invention in any way, examples of heat transfer compositions of the present invention for use as replacements for R-22, R-407C, R-404A, and/or R-507 are shown in Table 1. It is understood that slight variations in the compositions should be considered as being 25 within the scope of the present invention; including, but not limited to, compositions within +/- 2wt%, preferably within +/- 1 wt%.

Table 1:

R-32 (wt%)	R-125 (wt%)	R-134 (wt%)	R-1234ze (wt%)	GWP (wt%)
20	15	20	45	883
20	15	30	35	992
20	15	35	30	1047
20	17	15	48	898
20	20	10	50	948
20	20	15	45	1003
20	20	20	40	1057
20	20	25	35	1112
20	20	30	30	1167
20	20	35	25	1222
23	19	12	46	955
23	22	12	43	1060
23	22	15	40	1093
23	22	20	35	1147
23	22	25	30	1202
23	22	30	25	1257
23	25	15	37	1197
25	21	11	43	1027
25	20	15	40	1036
25	20	20	35	1091
25	25	10	40	1156
25	25	12	38	1178
25	25	15	35	1211
25	25	20	30	1266
25	25	25	25	1320
25	25	30	20	1375
25	25	35	15	1430
25	30	5	40	1276
25	30	10	35	1331
25	30	15	30	1386
25	30	20	25	1440
25	30	25	20	1495
25	30	27	18	1517
25	30	30	15	1550
30	30	10	30	1364
30	30	15	25	1419
30	30	20	20	1474
30	30	25	15	1528
30	30	30	10	1583
30	27	10	33	1259
30	27	15	28	1314
30	27	20	23	1369
35	33	12	20	1524
35	33	19	13	1601

Though not meant to limit the scope of the present invention in any way, examples of heat transfer compositions of the present invention further comprising R-134a, R-1234yf, and mixtures for use as replacements for R-22, R-407C, R-404A, and/or R-507 are shown in Table 2. It is understood that 5 slight variations in the compositions should be considered as being within the scope of the present invention; including, but not limited to, compositions within +/- 2wt%, preferably within +/- 1 wt%..

Table 2:

R-32 (wt%)	R-125 (wt%)	R-134 (wt%)	R-1234ze (wt%)	R-1234yf (wt%)	R-134a (wt%)	GWP (wt%)
20	20	25	25	5	5	1183
20	20	30	25	5	0	1167
20	20	25	30	0	5	1183
25	25	20	25	5	0	1265
25	25	20	25	0	5	1337
25	25	20	20	5	5	1337
30	27	10	23	5	5	1331
30	27	10	13	10	10	1402
25	25	20	26	2	2	1294
25	25	10	20	10	10	1298
30	30	10	25	5	0	1364
30	30	10	20	5	5	1435
30	30	15	15	5	5	1490
23	22	15	25	8	7	1192
23	22	15	20	10	10	1235

10

Glide, also known as temperature glide, is the absolute value of the difference between the starting and ending temperatures of a phase-change process by a refrigerant within a component of a refrigerating system, exclusive of any subcooling or superheating. This term usually describes condensation or evaporation of a 15 zoetrope. An embodiment of the present invention are heat transfer compositions that have a low glide; preferably where the glide is less 10°C, more preferably where the glide is less 5°C, even more preferably where the glide is less than 3°C, even more preferably where the glide is less than 2°C, and even more preferably where the glide is less than 1°C.

20 The global warming potential (GWP) is a relative measure of how much heat a gas traps in the atmosphere. GWP is typically expressed relative to carbon dioxide over a 100 year time period. An embodiment of the present invention are heat transfer compositions with a low GWP value, preferably where the GWP is less than

2000, more preferably less than 1800, more preferably < 1500, more preferably < 1400 and even more preferably < 1200. In another embodiment of the present invention are heat transfer compositions where the GWP is less than 1000. In another embodiment of the present invention are heat transfer compositions where the GWP is 5 between about 800 and 1400.

An embodiment of the present invention are heat transfer compositions that when used in refrigeration, air-conditioning, chiller, or heat pump systems provide similar or better capacity, performance, or both than HFC or HCFC based refrigerants used in similar applications.

10 An embodiment of the present invention are heat transfer compositions that are used to replace R-22 or R-407C; the heat transfer compositions may be used to retrofit existing equipment installed with or comprising R-22 or R-407C; the heat transfer compositions may also be used in new equipment designed for R-22 or R-407C.

15 An embodiment of the present invention are heat transfer compositions that are used to replace R-404A or R-507; the heat transfer compositions may be used to retrofit existing equipment installed with or comprising R-404A or R-507; the heat transfer compositions may also be used in new equipment designed for R-404A or R-507.

20 An embodiment of the present invention are heat transfer compositions that are used to replace R-134a.

An embodiment of the present invention are heat transfer compositions that are used to replace R-407A or R-407F.

25 An embodiment of the present invention are heat transfer compositions that are used to replace R-410A.

In order for a new refrigerant to be used to retrofit an existing system or used a new system designed for another refrigerant, it is important that the operating properties of the new refrigerant be as close as possible to those that the equipment was designed or installed for; a benefit of this is to minimize the changes to the 30 equipment or operating conditions when changing refrigerants, which can be difficult, time consuming, and costly. Such properties include the refrigerant mass flow rate, the refrigerant capacity, the coefficient of performance (COP), efficiency, the pressure ratio, and the discharge temperature at the desired operating conditions. For example, if the mass flow rate is significantly different when using the new refrigerant it may

require changing thermal expansion valves (TXV) in the system. Example operating conditions, not meant to limit the scope of the present invention in any way, are low temperature refrigeration, medium temperature refrigeration, air-conditioning, heating, high-ambient refrigeration or air-conditioning, etc.

5 In an embodiment of the present invention, the mass flow rate of the heat transfer composition of the present invention is within 20%, preferably within 15%, more preferably within 10%, even more preferably within 5%, and even more preferably within 2% of the mass flow rate of R-22 when used in a refrigeration, air-conditioning, chilling, or heat pump system. In an embodiment of the present invention, the capacity of the heat transfer composition of the present invention is not less than 80%, preferably not less than 85%, more preferably not less than 90%, even more preferably not less than 95%, and even more preferably not less than 98% of the capacity of R-22 when used in a refrigeration, air-conditioning, chilling, or heat pump system. In an embodiment of the present invention, the efficiency of the system using 10 the heat transfer composition of the present invention is not less than 80%, preferably not less than 85%, more preferably not less than 90%, even more preferably not less than 95%, and even more preferably not less than 98% of the efficiency of the system using R-22 when used in a refrigeration, air-conditioning, chilling, or heat pump system. In an embodiment of the present invention, the COP of the heat transfer 15 composition of the present invention is not less than 80%, preferably not less than 85%, more preferably not less than 90%, even more preferably not less than 95%, and even more preferably not less than 98% of the COP of R-22 when used in a refrigeration, air-conditioning, chilling, or heat pump system. In an embodiment of the present invention, the compressor discharge temperature of the heat transfer 20 composition of the present invention is not more than 60°F higher, preferably not less than 50°F higher, more preferably not more than 40°F higher, even more preferably more than 30°F higher than the compressor discharge temperature of R-22 when used in a refrigeration, air-conditioning, chilling, or heat pump system; in another preferred embodiment of the present invention, the system uses liquid 25 injection.

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In an embodiment of the present invention, the mass flow rate of the heat transfer composition of the present invention is within 20%, preferably within 15%, more preferably within 10%, even more preferably within 5%, and even more preferably within 2% of the mass flow rate of R-404A when used in a refrigeration,

air-conditioning, chilling, or heat pump system. In an embodiment of the present invention, the capacity of the heat transfer composition of the present invention is not less than 80%, preferably not less than 85%, more preferably not less than 90%, even more preferably not less than 95%, and even more preferably not less than 98% of the 5 capacity of R-404A when used in a refrigeration, air-conditioning, chilling, or heat pump system. In an embodiment of the present invention, the efficiency of the system using the heat transfer composition of the present invention is not less than 80%, preferably not less than 85%, more preferably not less than 90%, even more preferably not less than 95%, and even more preferably not less than 98% of the 10 efficiency of the system using R-404A when used in a refrigeration, air-conditioning, chilling, or heat pump system. In an embodiment of the present invention, the COP of the heat transfer composition of the present invention is not less than 80%, preferably not less than 85%, more preferably not less than 90%, even more preferably not less than 95%, and even more preferably not less than 98% of the COP of R-404A when 15 used in a refrigeration, air-conditioning, chilling, or heat pump system. In an embodiment of the present invention, the compressor discharge temperature of the heat transfer composition of the present invention is not more than 60°F higher, preferably not less than 50°F higher, more preferably not more than 40°F higher, even more preferably more than 30°F higher than the compressor discharge 20 temperature of R-404A when used in a refrigeration, air-conditioning, chilling, or heat pump system; in another preferred embodiment of the present invention, the system uses liquid injection.

In an embodiment of the present invention, the mass flow rate of the heat transfer composition of the present invention is within 20%, preferably within 15%, 25 more preferably within 10%, even more preferably within 5%, and even more preferably within 2% of the mass flow rate of R-407C when used in a refrigeration, air-conditioning, chilling, or heat pump system. In an embodiment of the present invention, the capacity of the heat transfer composition of the present invention is not less than 80%, preferably not less than 85%, more preferably not less than 90%, even more preferably not less than 95%, and even more preferably not less than 98% of the 30 capacity of R-407C when used in a refrigeration, air-conditioning, chilling, or heat pump system. In an embodiment of the present invention, the efficiency of the system using the heat transfer composition of the present invention is not less than 80%, preferably not less than 85%, more preferably not less than 90%, even more

preferably not less than 95%, and even more preferably not less than 98% of the efficiency of the system using R-407C when used in a refrigeration, air-conditioning, chilling, or heat pump system. In an embodiment of the present invention, the COP of the heat transfer composition of the present invention is not less than 80%, preferably 5 not less than 85%, more preferably not less than 90%, even more preferably not less than 95%, and even more preferably not less than 98% of the COP of R-407C when used in a refrigeration, air-conditioning, chilling, or heat pump system. In an embodiment of the present invention, the compressor discharge temperature of the heat transfer composition of the present invention is not more than 60°F higher, 10 preferably not less more than 50°F higher, more preferably not more than 40°F higher, even more preferably more than 30°F higher than the compressor discharge temperature of R-407C when used in a refrigeration, air-conditioning, chilling, or heat pump system; in another preferred embodiment of the present invention, the system uses liquid injection.

15 In an aspect of the present invention is a method of producing low temperature refrigeration using a heat transfer composition of the present invention, particularly in a system designed for R-22, R-407C, R-404A, and/or R-507, particularly R-22 and/or R-404A.

20 In an aspect of the present invention is a method of producing medium temperature refrigeration using a heat transfer composition of the present invention, particularly in a system designed for R-22, R-407C, R-404A, and/or R-507, particularly R-22 and/or R-404A.

25 In an aspect of the present invention is a method of producing air-conditioning using a heat transfer composition of the present invention, particularly in a system designed for R-22, R-407C, R-404A, and/or R-507, particularly R-22 and/or R-407C.

In an aspect of the present invention is a method of retrofitting a heat transfer system with a heat transfer composition of the present invention.

30 The heat transfer compositions of the present invention may be used in combination with a lubricating oil. Exemplary lubricating oils include polyol esters, polyalkylene glycols, polyglycols, polyvinyl ethers, mineral oils, alkyl benzene oil, polyalpha olefins, and mixtures thereof. Lubricating oils of the present invention range from very low to high viscosity, preferably with viscosities at 100°F from 15 to 800 cSt, and more preferably from 20 to 100 cSt. The typical refrigeration lubricating oils used in the present invention had viscosities of 15, 32, 68, and 100 cSt at 100°F.

The following is a exemplary description of polyol ester (POE) lubricating oils and is not meant to limit the scope of the present invention in any way. POE oils are typically formed by a chemical reaction (esterification) of a carboxylic acid, or mixture of carboxylic acids, with an alcohol, or mixtures of alcohols. The carboxylic acids are typically mono-functional or di-functional. The alcohols are typically mono-functional or poly-functional (polyols). The polyols are typically di-, tri-, or tetra-functional. Examples of polyols include, but are not limited to, neopentylglycol, glycerin, trimethylolpropane, pentaerythritol, and mixtures thereof. Examples of carboxylic acids include, but are not limited to, ethyl hexanoic acid, including 2-ethyl hexanoic acid, trimethyl hexanoic acid, including 3,5,5-trimethyl hexanoic acid, octanoic acid, including linear octanoic acid, pentanoic acid, including n-pentanoic acid, neo acids, including dimethylpentanoic acid, C5 to C20 carboxylic acids, and mixtures thereof. The carboxylic acids may also be derived from natural sources, including, but not limited to, plant and vegetable oils of soybean, palm, olive, rapeseed, cottonseed, coconut, palm kernal, corn, castor, sesame, jojoba, peanut, sunflower, others, and mixtures thereof. Natural oil carboxylic acids are typically C18 acids but also include C12 - C20 acids, among others. In one embodiment of the present invention, the POE oil is formulated using one or more mono-functional carboxylic acid with one or more polyol. In one embodiment of the present invention, the POE oil is formulated using one or more di-functional carboxylic acid with one or more mono-functional alcohol. In one embodiment of the present invention, the POE oil is a mixture of different POE oils. In one embodiment of the present invention, the POE oil is formulated using one or more C5 - C10 carboxylic acids.

Hydrocarbon lubricating oils of the present invention may comprise those commonly known as "mineral oils" in the field of compression refrigeration lubrication. Mineral oils comprise paraffins (i.e. straight-chain and branched-carbon-chain, saturated hydrocarbons), naphthenes (i.e. cyclic paraffins) and aromatics (i.e. unsaturated, cyclic hydrocarbons containing one or more rings characterized by alternating double bonds). Hydrocarbon lubricating oils of the present invention further comprise those commonly known as "synthetic oils" in the field of compression refrigeration lubrication. Synthetic oils comprise alkylaryls (i.e. linear and branched alkyl alkylbenzenes), synthetic paraffins and naphthenes, and poly(alphaolefins).

Traditional classification of oils as paraffinic or naphthenic refers to the number of paraffinic or naphthenic molecules in the refined lubricant. Paraffinic crudes contain a higher proportion of paraffin wax, and thus have a higher viscosity index and pour point than to naphthenic crudes.

5 Alkylbenzene lubricating oils have alkyl side chains that are either branched or linear, with a distribution in chain lengths typically from 10 to 20 carbons, though other alkyl chain length distributions are possible. Another preferred alkylbenzene lubricating oil comprises at least one alkylbenzene of the form:  $(C_6H_6)-C(CH_2)(R_1)(R_2)$  where  $(C_6H_6)$  is a benzyl ring and  $R_1$  and  $R_2$  are saturated alkyl groups, preferably containing at least one isoC<sub>3</sub> group, more preferably from 1 to 6 isoC<sub>3</sub> groups. Either  $R_1$  or  $R_2$  may be a hydrogen atom, but preferably not both.

10 15 PAG oils can be ‘un-capped’, ‘single-end capped’, or ‘double-end capped’. Examples of commercial PAG oils include, but are not limited to, ND-8, Castrol PAG 46, Castrol PAG 100, Castrol PAG 150, Daphne Hermetic PAG PL, Daphne Hermetic PAG PR.

Polyvinyl ether (PVE) oils are another type of oxygenated refrigeration oil that has been developed for use with HFC refrigerants. Commercial examples of PVE refrigeration oil include FVC32D and FVC68D produced by Idemitsu. Though not meant to limit the scope of the present invention in any way, in an embodiment of the 20 present invention, the polyvinyl ether oil includes those taught in the literature such as described in US Patents 5,399,631 and 6,454,960. In another embodiment of the present invention, the polyvinyl ether oil is composed of structural units of the type shown by Formula 1:

Formula 1:  $-[C(R_1,R_2)-C(R_3,-O-R_4)]-$

25 Where  $R_1$ ,  $R_2$ ,  $R_3$ , and  $R_4$  are independently selected from hydrogen and hydrocarbons, where the hydrocarbons may optionally contain one or more ether groups. In a preferred embodiment of the present invention,  $R_1$ ,  $R_2$  and  $R_3$  are each hydrogen, as shown in Formula 2:

Formula 2:  $-[CH_2-CH(-O-R_4)]-$

30 In another embodiment of the present invention, the polyvinyl ether oil is composed of structural units of the type shown by Formula 3:

Formula 3:  $-\text{[CH}_2\text{-CH}(\text{-O-R}_5)]_m\text{-[CH}_2\text{-CH}(\text{-O-R}_6)]_n-$

Where R<sub>5</sub> and R<sub>6</sub> are independently selected from hydrogen and hydrocarbons and where m and n are integers.

The thermal/chemical stability of refrigerant/lubricant mixtures can be  
5 evaluated using various tests known to those of skill the art, such as ANSI/ASHRAE  
Standard 97-2007 (ASHRAE 97). In such a test, mixtures of refrigerant and lubricant,  
optionally in the presence of catalyst or other materials including water, air, metals,  
metal oxides, ceramics, etc, are typically aged at elevated temperature for a  
predetermined aging period. After aging the mixture is analyzed to evaluate any  
10 decomposition or degradation of the mixture. A typical composition for testing is a  
50/50 wt/wt mixture of refrigerant/lubricant, though other compositions can be used.  
Typically, the aging conditions are at from about 140°C to 200°C for from 1 to 30  
days; aging at 175°C for 14 days is very typical.

Multiple techniques are typically used to analysis the mixtures following  
15 agent. A visual inspection of the liquid fraction of the mixture for any signs of color  
change, precipitation, or heavies, is used to check for gross decomposition of either  
the refrigerant or lubricant. Visual inspection of any metal test pieces used during  
testing is also done to check for signs of corrosion, deposits, etc. Halide analysis is  
typically performed on the liquid fraction to quantify the concentration of halide ions  
20 (eg. fluoride) present. An increase in the halide concentration indicates a greater  
fraction of the halogenated refrigerant has degraded during aging and is a sign of  
decreased stability. The Total Acid Number (TAN) for the liquid fraction is typically  
measured to determine the acidity of the recovered liquid fraction, where an increase  
in acidity is a sign of decomposition of the refrigerant, lubricant, or both. GC-MS is  
25 typically performed on the vapor fraction of the sample to identify and quantify  
decomposition products.

The effect of water on the stability of the refrigerant/lubricant combination can  
be evaluated by performing the aging tests at various levels of moisture ranging from  
very dry (< 10 ppm water) to very wet (>10000 ppm water). Oxidative stability can  
30 be evaluated by performing the aging test either in the presence or absence of air.

The heat transfer compositions of the present invention may be used in  
combination with dyes, stabilizers, acid scavengers, antioxidant, viscosity modifiers,

pour point depressants, corrosion inhibitors, nanoparticles, surfactants, compatibilizers, solubilizing agents, dispersing agents, fire retarding agents, flame suppressants, medicants, sterilants, polyols, polyol premix components, cosmetics, cleaners, flushing agents, anti-foaming agents, oils, odorants, tracer compounds, and mixtures thereof.

The heat transfer compositions of the present invention may be used in heat transfer systems, including for refrigeration, air conditioning, and liquid chilling. Heat transfer systems are operated with one portion of the cycle at a the lower operating temperature range and another part of the cycle at the upper operating temperature range. These upper and lower temperature ranges will depend on the specific application. For example, the operating temperatures for low temperature refrigeration may be different than for automotive air conditioning or for water chillers. Preferably, the upper operating temperature range is from about +15°C to about +90°C, more preferably from about +30°C to about +70°C. Preferably, the lower operating temperature range is from about +25°C to about -60°C, more preferably from about +15°C to about -30°C. For example, a low pressure liquid chiller may be operated at an evaporator temperature from about -10°C to +10°C and a condenser temperature from about +30°C to +55°C. For example, an air conditioner, such as for automotive AC, may operate with an evaporating temperature at 4°C and a condensing temperature of 40°C. For refrigeration, the lower operating temperature range may be depend upon the specific application. For instance, some typical application temperatures for refrigeration include: freezer (eg. ice cream): -15°F +/- 2°F (-26°C +/- 1.1°C); low temperature: 0°F +/- 2°F (-18°C +/- 1.1°C); medium temperature: 38°F +/- 2°F (3.3°C +/- 1.1°C). These examples are only informative and not meant to limit the scope of the present invention in any way. Other operating temperatures and operating temperature ranges may be employed within the scope of the present invention.

The heat transfer compositions of the present invention are also useful in organic Rankine cycles for electricity production.

Though not meant to limit the scope of this invention in any way, the heat transfer compositions of the present invention are useful in new refrigeration, air conditioning, heat pump, or other heat transfer equipment; in another embodiment, the heat transfer compositions of the present invention are useful as retrofits for

refrigerants in existing equipment including, but not limited to, R-22, R-407C, R-427A, R-404A, R-407A, R-417A, R-422D, and others. When the heat transfer compositions of the present invention are used as retrofits for other refrigerants in existing equipment, it is preferred that the operating characteristic, such as pressures, 5 discharge temperature, mass flow rate, are similar to the operating characteristics of the refrigerant being replaced. In a higherly preferred embodiment, the heat transfer compositions of the present invention have operating characteristics that are close enough to the refrigerant being replaced to avoid the need to change make additional changes to the equipment, such as changing a thermal expansion valve (TXV).

## 10 METHODS AND SYSTEMS

The compositions of the present invention are useful in connection with numerous methods and systems, including as heat transfer fluids in methods and systems for transferring heat, such as refrigerants used in refrigeration, air conditioning and heat pump systems. The present compositions are also advantageous 15 for in use in systems and methods of generating aerosols, preferably comprising or consisting of the aerosol propellant in such systems and methods. Methods of forming foams and methods of extinguishing and suppressing fire are also included in certain aspects of the present invention. The present invention also provides in certain aspects methods of removing residue from articles in which the present compositions are used 20 as solvent compositions in such methods and systems.

## HEAT TRANSFER METHODS

The preferred heat transfer methods generally comprise providing a composition of the present invention and causing heat to be transferred to or from the composition changing the phase of the composition. For example, the present 25 methods provide cooling by absorbing heat from a fluid or article, preferably by evaporating the present refrigerant composition in the vicinity of the body or fluid to be cooled to produce vapor comprising the present composition. Preferably the methods include the further step of compressing the refrigerant vapor, usually with a compressor or similar equipment to produce vapor of the present composition at a 30 relatively elevated pressure. Generally, the step of compressing the vapor results in the addition of heat to the vapor, thus causing an increase in the temperature of the relatively high-pressure vapor. Preferably, the present methods include removing

from this relatively high temperature, high pressure vapor at least a portion of the heat added by the evaporation and compression steps. The heat removal step preferably includes condensing the high-temperature, high-pressure vapor while the vapor is in a relatively high-pressure condition to produce a relatively high-pressure liquid

5 comprising a composition of the present invention. This relatively high-pressure liquid preferably then undergoes a nominally isoenthalpic reduction in pressure to produce a relatively low temperature, low-pressure liquid. In such embodiments, it is this reduced temperature refrigerant liquid which is then vaporized by heat transferred from the body or fluid to be cooled.

10 In another process embodiment of the invention, the compositions of the invention may be used in a method for producing heating which comprises condensing a refrigerant comprising the compositions in the vicinity of a liquid or body to be heated. Such methods, as mentioned hereinbefore, frequently are reverse cycles to the refrigeration cycle described above.

15 The heat transfer combinations of the present invention are effective working fluids in refrigeration, air-conditioning, or heat pump systems. Typical vapor-compression refrigeration, air-conditioning, or heat pump systems include an evaporator, a compressor, a condenser, and an expansion device. A vapor-compression cycle re-uses refrigerant in multiple steps producing a cooling effect in 20 one step and a heating effect in a different step. The cycle can be described simply as follows: liquid refrigerant enters an evaporator through an expansion device, and the liquid refrigerant boils in the evaporator at a low temperature to form a gas and produce cooling. The low-pressure gas enters a compressor where the gas is compressed to raise its pressure and temperature. The higher-pressure (compressed) 25 gaseous refrigerant then enters the condenser in which the refrigerant condenses and discharges its heat to the environment. The refrigerant returns to the expansion device through which the liquid expands from the higher-pressure level in the condenser to the low-pressure level in the evaporator, thus repeating the cycle.

30 The heat transfer combinations of the present invention are useful in mobile or stationary systems. Stationary air-conditioning and heat pumps include, but are not limited to chillers, high temperature heat pumps, residential and light commercial and commercial air-conditioning systems. Stationary refrigeration applications include, but are not limited to, equipment such as domestic refrigerators, ice machines, walk-in and reach-in coolers and freezers, and supermarket systems. As used herein, mobile

refrigeration systems or mobile air-conditioning systems refers to any refrigeration or air-conditioning apparatus incorporated into a transportation unit for the road, rail, sea or air. The present invention is particularly useful for road transport refrigerating or air-conditioning apparatus, such as automobile air-conditioning apparatus or refrigerated road transport equipment.

5      Typical compressors used in refrigeration, air-conditioning, or heat pump systems are positive-displacement and dynamic compressors. Positive-displacement compressors include reciprocating compressors, such as piston compressors, orbiting compressors, such as scroll compressors, and rotary compressors, such as screw compressors. A typical dynamic compressor is a centrifugal compressor. The heat transfer compositions of the present invention can be used in heat transfer equipment employing any of these compressor types.

10     Refrigeration, air-conditioning, or heat pump systems may use single-staged, double-staged, or multi-staged compression. Refrigeration, air-conditioning, or heat pump systems may also be cascade systems with or without a secondary heat transfer circuit.

15     Heat exchangers used in the heat transfer systems may be of any type. Typical heat exchangers include parallel or co-current flow, counterflow, cross-flow. Preferably, heat exchangers used with the heat transfer compositions of the present invention are counterflow, counterflow-like, or crossflow.

20     In an embodiment of the present invention, the heat transfer compositions of the present invention are used in refrigeration, air-conditioning, or chilling equipment comprising an evaporator, condenser, compressor, and expansion device. Though not meant to limit the scope of this invention in any way, the system may be operated with an evaporator temperature of from -45°F to 55°F, including, but not limited to -40°F, -35°F, -30°F, -25°F, -20°F, -15°F, -10°F, -5°F, 0°F, 5°F, 10°F, 15°F, 20°F, 25°F, 30°F, 35°F, 40°F. Though not meant to limit the scope of this invention in any way, the system may be operated with a condenser temperature of from 60°F to 150°F, including, but not limited to 60°F, 65°F, 70°F, 75°F, 80°F, 85°F, 90°F, 95°F, 100°F, 105°F, 110°F, 115°F, 120°F, 125°F, 130°F, 135°F, 140°F, 145°F, 150°F. Though not meant to limit the scope of this invention in any way, the system may be operated with varying degrees of condenser subcooling, including, but not limited to 0°F to 20°F, including but not limited to 0°F, 5°F, 10°F, and 15°F; and varying

degrees of evaporator superheat, including, but not limited to 0°F to 20°F, including but not limited to 0°F, 5°F, 10°F, and 15°F. In an embodiment of the present invention, the system is operated with a mean evaporator temperature of 40°F, mean condenser temperature of 100°F, 0 to 15°F of subcooling, and 0 to 15°F of superheat,

5 particularly 10°F of superheat. In an embodiment of the present invention, the system is operated with a mean evaporator temperature of 45°F, mean condenser temperature of 110°F, 0 to 15°F of subcooling, and 0 to 15°F of superheat, particularly 10°F of superheat. In an embodiment of the present invention, the system is operated with a mean evaporator temperature of 45°F, mean condenser temperature of 130°F, 0 to

10 15°F of subcooling, and 0 to 15°F of superheat, particularly 10°F of superheat. In an embodiment of the present invention, the system is operated with a mean evaporator temperature of 20°F, mean condenser temperature of 110°F, 0 to 15°F of subcooling, particularly 0°F of subcooling, and 0 to 15°F of superheat, particularly 10°F of superheat. In an embodiment of the present invention, the system is operated with a mean evaporator temperature of 0°F, mean condenser temperature of 110°F, 0 to 15°F

15 of subcooling, particularly 0°F of subcooling, and 0 to 15°F of superheat, particularly 10°F of superheat. In an embodiment of the present invention, the system is operated with a mean evaporator temperature of -25°F, mean condenser temperature of 110°F, 0 to 15°F of subcooling, particularly 0°F of subcooling, and 0 to 15°F of superheat,

20 particularly 10°F of superheat. In an embodiment of the present invention, the system is operated with a mean evaporator temperature of -25°F, mean condenser temperature of 105°F, 0 to 15°F of subcooling, particularly 0°F of subcooling, and 0 to 15°F of superheat, particularly 10°F of superheat. In an embodiment of the present invention, the system is operated with a mean evaporator temperature of 50°F, mean

25 condenser temperature of 140°F, 0 to 15°F of subcooling, particularly 0°F of subcooling, and 0 to 15°F of superheat, particularly 10°F of superheat. In an embodiment of the present invention, the system is operated with a mean evaporator temperature of 20°F, mean condenser temperature of 130°F, 0 to 15°F of subcooling, particularly 0°F of subcooling, and 0 to 15°F of superheat, particularly 10°F of

30 superheat. In an embodiment of the present invention, the system is operated with a mean evaporator temperature of 0°F, mean condenser temperature of 130°F, 0 to 15°F of subcooling, particularly 0°F of subcooling, and 0 to 15°F of superheat, particularly

10°F of superheat. In an embodiment of the present invention, the system is operated with a mean evaporator temperature of -25°F, mean condenser temperature of 130°F, 0 to 15°F of subcooling, particularly 0°F of subcooling, and 0 to 15°F of superheat, particularly 10°F of superheat.

5 In an embodiment of the present invention, the heat transfer compositions of the present invention are used in high ambient conditions, including, but not limited to, where the ambient temperature is above 100°F. In high ambient temperatures, the heat transfer system may be operated at elevated condenser temperature, including, but not limited to a mean condenser temperature greater than about 110°F, or greater than about 120°F, or greater than about 130°F, or greater than about 140°F.

10

## PROPELLANT AND AEROSOL COMPOSITIONS

In another aspect, the present invention provides propellant compositions comprising or consisting essentially of a composition of the present invention, such propellant composition preferably being a sprayable composition. The propellant 15 compositions of the present invention preferably comprise a material to be sprayed and a propellant comprising, consisting essentially of, or consisting of a composition in accordance with the present invention. Inert ingredients, solvents, and other materials may also be present in the sprayable mixture. Preferably, the sprayable composition is an aerosol. Suitable materials to be sprayed include, without limitation, 20 cosmetic materials such as deodorants, perfumes, hair sprays, cleansers, and polishing agents as well as medicinal materials such as anti-asthma components, anti-halitosis components and any other medication or the like, including preferably any other medicament or agent intended to be inhaled. The medicament or other therapeutic agent is preferably present in the composition in a therapeutic amount, with a 25 substantial portion of the balance of the composition comprising a composition of the present invention.

Aerosol products for industrial, consumer or medical use typically contain one or more propellants along with one or more active ingredients, inert ingredients or solvents. The propellant provides the force that expels the product in aerosolized 30 form. While some aerosol products are propelled with compressed gases like carbon dioxide, nitrogen, nitrous oxide and even air, most commercial aerosols use liquefied gas propellants. The most commonly used liquefied gas propellants are hydrocarbons

such as butane, isobutane, and propane. Dimethyl ether and HFC-152a (1, 1-difluoroethane) are also used, either alone or in blends with the hydrocarbon propellants. Unfortunately, all of these liquefied gas propellants are highly flammable and their incorporation into aerosol formulations will often result in flammable aerosol products. The present invention provides liquefied gas propellants and aerosols for certain applications that are non-flammable or have reduced flammability.

## BLOWING AGENTS, FOAMS AND FOAMABLE COMPOSITIONS

Blowing agents may also comprise or constitute one or more of the compositions of the present invention. In certain preferred embodiments, the blowing agent comprises at least about 50% by weight of the present compositions, and in certain embodiments the blowing agent consists essentially of the present compositions. In certain preferred embodiments, the blowing agent compositions of the present invention include, in addition to compositions of the present invention, one or more of co-blowing agents, fillers, vapor pressure modifiers, flame suppressants, stabilizers and like adjuvants.

In other embodiments, the invention provides foamable compositions. The foamable compositions of the present invention generally include one or more components capable of forming foam having a generally cellular structure and a blowing agent in accordance with the present invention. In certain embodiments, the one or more components comprise a thermosetting composition capable of forming foam and/or foamable compositions. Examples of thermosetting compositions include polyurethane and polyisocyanurate foam compositions, and also phenolic foam compositions. In such thermosetting foam embodiments, one or more of the present compositions are included as or part of a blowing agent in a foamable composition, or as a part of a two or more part foamable composition, which preferably includes one or more of the components capable of reacting and/or foaming under the proper conditions to form a foam or cellular structure. In certain other embodiments, the one or more components comprise thermoplastic materials, particularly thermoplastic polymers and/or resins. Examples of thermoplastic foam components include polyolefins, such as polystyrene (PS), polyethylene (PE), polypropylene (PP) and polyethyleneterephthalate (PET), and foams formed there from, preferably low-density

foams. In certain embodiments, the thermoplastic foamable composition is an extrudable composition.

The invention also relates to foam, and preferably closed cell foam, prepared from a polymer foam formulation containing a blowing agent comprising the compositions of the invention. In yet other embodiments, the invention provides foamable compositions comprising thermoplastic or polyolefin foams, such as polystyrene (PS), polyethylene (PE), polypropylene (PP), styrene-acrylonitrile copolymers, and polyethyleneterphthalate (PET) foams, preferably low-density foams.

It will be appreciated by those skilled in the art, especially in view of the disclosure contained herein, that the order and manner in which the blowing agent of the present invention is formed and/or added to the foamable composition does not generally affect the operability of the present invention. For example, in the case of extrudable foams, it is possible that the various components of the blowing agent, and even the components of the present composition, be not be mixed in advance of introduction to the extrusion equipment, or even that the components are not added to the same location in the extrusion equipment. Thus, in certain embodiments it may be desired to introduce one or more components of the blowing agent at first location in the extruder, which is upstream of the place of addition of one or more other components of the blowing agent, with the expectation that the components will come together in the extruder and/or operate more effectively in this manner. Nevertheless, in certain embodiments, two or more components of the blowing agent are combined in advance and introduced together into the foamable composition, either directly or as part of premix which is then further added to other parts of the foamable composition.

In certain preferred embodiments, dispersing agents, cell stabilizers, surfactants and other additives may also be incorporated into the blowing agent compositions of the present invention. Surfactants are optionally but preferably added to serve as cell stabilizers. Some representative materials are sold under the names of DC-193, B-8404, and L-5340 which are, generally, polysiloxane polyoxyalkylene block copolymers such as those disclosed in U.S. Patent Nos. 2,834,748, 2,917,480, and 2,846,458, each of which is incorporated herein by reference. Other optional additives for the blowing agent mixture may include flame retardants such as tri(2-chloroethyl)phosphate, tri(2-chloropropyl)phosphate, tri(2,3-dibromopropyl)-phosphate, tri(1,3-dichloropropyl) phosphate, diammonium phosphate, various

halogenated aromatic compounds, antimony oxide, aluminum trihydrate, polyvinyl chloride, and the like.

Any of the methods well known in the art, such as those described in "Polyurethanes Chemistry and Technology," Volumes I and II, Saunders and Frisch,

5 1962, John Wiley and Sons, New York, NY, which is incorporated herein by reference, may be used or adapted for use in accordance with the foam embodiments of the present invention.

One embodiment of the present invention relates to methods of forming polyurethane and polyisocyanurate foams. The methods generally comprise

10 providing a blowing agent composition of the present inventions, adding (directly or indirectly) the blowing agent composition to a foamable composition, and reacting the foamable composition under the conditions effective to form a foam or cellular structure, as is well known in the art. Any of the methods well known in the art, such as those described in "Polyurethanes Chemistry and Technology," Volumes I and II,

15 Saunders and Frisch, 1962, John Wiley and Sons, New York, NY, which is incorporated herein by reference, may be used or adapted for use in accordance with the foam embodiments of the present invention. In general, such preferred methods comprise preparing polyurethane or polyisocyanurate foams by combining an isocyanate, a polyol or mixture of polyols, a blowing agent or mixture of blowing

20 agents comprising one or more of the present compositions, and other materials such as catalysts, surfactants, and optionally, flame retardants, colorants, or other additives. It is convenient in many applications to provide the components for polyurethane or polyisocyanurate foams in pre-blended formulations.

Most typically, the foam formulation is pre-blended into two components.

25 The isocyanate and optionally certain surfactants and blowing agents comprise the first component, commonly referred to as the "A" component.

The polyol or polyol mixture, surfactant, catalysts, blowing agents, flame retardant, and other isocyanate reactive components comprise the second component, commonly referred to as the "B" component. Accordingly, polyurethane or 30 polyisocyanurate foams are readily prepared by bringing together the A and B side components either by hand mix for small preparations and, preferably, machine mix techniques to form blocks, slabs, laminates, pour-in-place panels and other items, spray applied foams, froths, and the like. Optionally, other ingredients such as fire retardants, colorants, auxiliary blowing agents, and even other polyols can be added

as a third stream to the mix head or reaction site. Most preferably, however, they are all incorporated into one B-component as described above.

## CLEANING METHODS

The present invention also provides methods of removing contaminants from a product, part, component, substrate, or any other article or portion thereof by applying to the article a composition of the present invention. For the purposes of convenience, the term "article" is used herein to refer to all such products, parts, components, substrates, and the like and is further intended to refer to any surface or portion thereof. Furthermore, the term "contaminant" is intended to refer to any unwanted material or substance present on the article, even if such substance is placed on the article intentionally. For example, in the manufacture of semiconductor devices it is common to deposit a photoresist material onto a substrate to form a mask for the etching operation and to subsequently remove the photoresist material from the substrate. The term "contaminant" as used herein is intended to cover and encompass such a photo resist material.

Preferred methods of the present invention comprise applying the present composition to the article. Although it is contemplated that numerous and varied cleaning techniques can employ the compositions of the present invention to good advantage, it is considered to be particularly advantageous to use the present compositions in connection with supercritical cleaning techniques. Supercritical cleaning is disclosed in US Patent No. 6,589,355, which is assigned to the assignee of the present invention and incorporated herein by reference. For supercritical cleaning applications, it is preferred in certain embodiments to include in the present cleaning compositions, in addition to the compositions of the present invention, one or more additional components, such as CO<sub>2</sub> and other additional components known for use in connection with supercritical cleaning applications. It may also be possible and desirable in certain embodiments to use the present cleaning compositions in connection with particular vapor degreasing and solvent cleaning methods.

## STERILIZATION METHODS

Many articles, devices and materials, particularly for use in the medical field, must be sterilized prior to use for the health and safety reasons, such as the health and safety of patients and hospital staff. The present invention provides methods of

sterilizing comprising contacting the articles, devices or material to be sterilized with a compound or composition of the present invention, in combination with one or more sterilizing agents. While many sterilizing agents are known in the art and are considered to be adaptable for use in connection with the present invention, in certain 5 preferred embodiments sterilizing agent comprises ethylene oxide, formaldehyde, hydrogen peroxide, chlorine dioxide, ozone and combinations of these. In certain embodiments, ethylene oxide is the preferred sterilizing agent. Those skilled in the art, in view of the teachings contained herein, will be able to readily determine the relative proportions of sterilizing agent and the present compound(s) be used in 10 connection with the present sterilizing compositions and methods, and all such ranges are within the broad scope hereof. As is known to those skilled in the art, certain sterilizing agents, such as ethylene oxide, are relatively flammable components, and the compound(s) accordance with the present invention are included in the present 15 compositions in amounts effective, together with other components present in the composition, to reduce the flammability of the sterilizing composition to acceptable levels.

The sterilization methods of the present invention may be either high or low-temperature sterilization of the present invention involves the use of a compound or composition of the present invention at a temperature of from about 250°F to about 20 270°F, preferably in a substantially sealed chamber. The process can be completed usually in less than about 2 hours. However, some articles, such as plastic articles and electrical components, cannot withstand such high temperatures and require low-temperature sterilization. In low temperature sterilization methods, the article to be sterilized is exposed to a fluid comprising a composition of the present invention at a 25 temperature of from about room temperature to about 200°F, more preferably at a temperature of from about room temperature to about 100°F.

The low-temperature sterilization of the present invention is preferably at least a two-step process performed in a substantially sealed, preferably air tight, chamber. In the first step (the sterilization step), the articles having been cleaned and wrapped 30 in gas permeable bags are placed in the chamber. Air is then evacuated from the chamber by pulling a vacuum and perhaps by displacing the air with steam. In certain embodiments, it is preferable to inject steam into the chamber to achieve a relative humidity that ranges preferably from about 30% to about 70%.

Such humidities may maximize the sterilizing effectiveness of the sterilant, which is introduced into the chamber after the desired relative humidity is achieved. After a period of time sufficient for the sterilant to permeate the wrapping and reach the interstices of the article, the sterilant and steam are evacuated from the chamber.

5 In the preferred second step of the process (the aeration step), the articles are aerated to remove sterilant residues. Removing such residues is particularly important in the case of toxic sterilants, although it is optional in those cases in which the substantially non-toxic compounds of the present invention are used. Typical aeration processes include air washes, continuous aeration, and a combination of the two. An  
10 air wash is a batch process and usually comprises evacuating the chamber for a relatively short period, for example, 12 minutes, and then introducing air at atmospheric pressure or higher into the chamber. This cycle is repeated any number of times until the desired removal of sterilant is achieved.

Continuous aeration typically involves introducing air through an inlet at one  
15 side of the chamber and then drawing it out through an outlet on the other side of the chamber by applying a slight vacuum to the outlet.

The following non-limiting examples are hereby provided as reference:

### Examples

#### Example 1: Use in Refrigeration in a R-404A System

20 A refrigeration system charged with R-404A is operated with a mean evaporator temperature of 0°F, mean condenser temperature of 110°F, 10°F of superheat, and 0°F of subcooling. The R-404A is removed from the system and replaced with a refrigerant of the present invention from Table 1 and then is operated under similar conditions to R-404A. The capacity and the performance when using  
25 the refrigerant of the present invention are acceptable.

#### Example 2: Use in Refrigeration in a R-22 System

A refrigeration system charged with R-22 and mineral oil is operated with a mean evaporator temperature of 0°F, mean condenser temperature of 110°F, 10°F of superheat, and 0°F of subcooling. The mineral oil and R-22 are removed from the  
30 system and replaced with a POE oil and a refrigerant of the present invention from

Table 1 and then is operated under similar conditions to R-22. The capacity and the performance when using the refrigerant of the present invention are acceptable.

Example 3: Use as a Retrofit for R-22

An air-conditioning system charged with R-22 and mineral oil is operated with  
5 a mean evaporator temperature of 40°F, mean condenser temperature of 110°F, 10°F of superheat, and 10°F of subcooling. The R-22 is removed from the system and replaced with a refrigerant of the present invention from Table 1 and then is operated under similar conditions to R-22. The capacity and the performance when using the refrigerant of the present invention are acceptable.

Claims

1. A heat transfer composition comprising difluoromethane, pentafluoroethane, 1,1,2,2-tetrafluoroethane, and 1,3,3,3-tetrafluoropropene.
2. The heat transfer composition of claim 1 comprising from 1% to 97% difluoromethane, from 1% to 97% pentafluoroethane, from 1% to 97% 1,3,3,3-tetrafluoropropene, and from 1% to 97% 1,1,2,2-tetrafluoroethane on a weight basis.
3. The heat transfer composition of claim 1 comprising from 5% to 40% difluoromethane, from 5% to 40% pentafluoroethane, from 10% to 60% 1,3,3,3-tetrafluoropropene, and from 5% to 60% 1,1,2,2-tetrafluoroethane on a weight basis.
4. The heat transfer composition of claim 1 comprising from 15% to 35% difluoromethane, from 15% to 35% pentafluoroethane, from about 10% to 35% 1,3,3,3-tetrafluoropropene, and from about 10% to 35% 1,1,2,2-tetrafluoroethane on a weight basis.
5. The heat transfer composition of claim 1 where said 1,3,3,3-tetrafluoropropene is the trans-isomer.
6. A heat transfer system selected from the group consisting of a refrigeration system, an air-conditioning, a heating and a chilling containing the heat transfer composition of claim 1.
7. The heat transfer composition of claim 1 further comprising a hydrofluorocarbon, hydrochlorofluorocarbon, hydrofluoroolefin, fluorinated cyclopropane, fluorinated methyl cyclopropane, hydrofluorochlorocarbon, hydrocarbon, hydrofluoroether, fluoroketone, chlorofluorocarbon, trans-1,2-dichloroethylene, carbon dioxide, ammonia, dimethyl ether, and mixtures thereof.

8. The heat transfer composition of claim 7 where the hydrofluorocarbon is selected from the group consisting of 1-fluoroethane (HFC-161); 1,1-difluoroethane (HFC-152a); 1,2-difluoroethane (HFC-152); 1,1,1-trifluoroethane (HFC-143a); 1,1,2-trifluoroethane (HFC-143); 1,1,1,2-tetrafluoroethane (HFC-134a); 1,1,1,3,3-pentafluoropropane (HFC-245fa); 1,1,2,2,3-pentafluoropropane (HFC-245ca); 1,1,1,2,3-pentafluoropropane (HFC-245eb); 1,1,1,3,3,3-hexafluoropropane (HFC-236fa); 1,1,1,2,3,3,3-heptafluoropropane (HFC-227ea); 1,1,1,3,3-pentafluorobutane (HFC-365mfc), 1,1,1,2,3,4,4,5,5,5-decafluoropropane (HFC-4310), and mixtures thereof.

9. The heat transfer composition of claim 7 where the hydrofluorocarbon is 1,1,1,2-tetrafluoroethane (HFC-134a).

10. The heat transfer system of claim 7 where the hydrofluoroolefin is selected from the group consisting of 3,3,3-trifluoropropene (HFO-1234zf); 2,3,3,3-tetrafluoropropene (HFO-1234yf); 1,2,3,3,3-pentafluoropropene (HFO-1255ye); E-1,1,1,3,3-hexafluorobut-2-ene (E-HFO-1336mzz); Z-1,1,1,3,3,3-hexafluorobut-2-ene (Z-HFO-1336mzz); 1,1,1,4,4,5,5-octafluoropent-2-ene (HFO-1438mzz) and mixtures thereof.

11. The heat transfer composition of claim 7 where the hydrofluoroolefin is 2,3,3,3-tetrafluoropropene (HFO-1234yf).

12. The heat transfer composition of claim 1 further comprising a refrigerant selected from 2,3,3,3-tetrafluoropropene (HFO-1234yf); 1,1,1,2-tetrafluoroethane (HFC-134a), and mixtures thereof.

13. The heat transfer composition of claim 12 comprising from 1% to 50% by weight of a refrigerant selected from 2,3,3,3-tetrafluoropropene (HFO-1234yf); 1,1,1,2-tetrafluoroethane (HFC-134a), and mixtures thereof.

14. The heat transfer composition of claim 12 comprising 2,3,3,3-tetrafluoropropene (HFO-1234yf) and 1,1,1,2-tetrafluoroethane (HFC-134a) with from 25% to 75% by weight of 2,3,3,3-tetrafluoropropene (HFO-1234yf)

based on the total quantity of 2,3,3,3-tetrafluoropropene (HFO-1234yf) and 1,1,1,2-tetrafluoroethane (HFC-134a).

15. The heat transfer composition of claim 1 further comprising a lubricant.
16. The heat transfer composition of claim 15 where the lubricant is selected from polyol ester oils, polyglycols, polyalkylene glycols, polyvinyl ethers, mineral oils, alkyl benzene oils, polyalpha olefins, and mixtures thereof.
17. The heat transfer composition of claim 15 where the lubricant is selected from polyol ester oils, mineral oils, alkyl benzene oils, and mixtures thereof.
18. A sprayable composition comprising the heat transfer composition of claim 1.
19. A blowing agent composition comprising the heat transfer composition of claim 1.
20. A polymer foam made using the blowing agent of claim 19.
21. A propellant composition comprising the heat transfer composition of claim 1.
22. An aerosol composition comprising the heat transfer composition of claim 1.

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US 14/65610

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(8) - C11D 17/00 (2014.01)

CPC - C09K 5/045; C09K 3/30; C08J 2207/04

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC(8)-C11D 17/00 (2014.01)

CPC-C09K 5/045; C09K 3/30; C08J 2207/04

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
USPC: 510/408, 510/415, 510/412, 252/67, 252/68

Patents and NPL (classification, keyword; search terms below)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Databases: Google Scholar, Google Patent, PatBase

Search terms used: heat transfer composition, difluoromethane, pentafluoroethane, 1, 1,2,2-tetrafluoroethane, and 1,3,3,3-tetrafluoropropene

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2013/0096218 A1 (Rached et al.) 18 April 2013 (18.04.2013) para {0016}-[0019], [0041], [0050]-[0052], [0067], [0072]-[0074], [0076].	1-22
A	US 2013/0055738 A1 (Rached) 07 March 2013 (07.03.2013) para [0015]-[0077].	1-22
A	WO 2013/122892 A1 (Minor) 22 August 2013 (22.08.2013) pg 2, ln 15-25.	1-22

Further documents are listed in the continuation of Box C.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search  05 January 2015 (05.01.2015)	Date of mailing of the international search report  21 JAN 2015
Name and mailing address of the ISA/US  Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201	Authorized officer:  Lee W. Young  PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774