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(21) International Application Number: PCT/US96/16848 (22) International Filing Date: 22 October 1996 (22.10.96) (30) Priority Data: 60/008,852 26 February 1996 (26.02.96) US (71) Applicant: BAUSCH & LOMB INCORPORATED [US/US]; One Bausch & Lomb Place, Rochester, NY 14604 (US). (72) Inventors: EPSTEIN, Howard; 34 Chelmsford Road, Rochester, NY 14618 (US). MENZEL, Thomas; 910 Highland Avenue, Rochester, NY 14620 (US). HU, Zhenze; 28 Wenham Lane, Pittsford, NY 14534 (US). (74) Agents: BLACK, Edward, W. et al.; Bausch & Lomb Incorporated, One Bausch & Lomb Place, P.O. Box 54, Rochester, NY 14604 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i>
(54) Title: SKIN CARE COMPOSITIONS (57) Abstract <p>The present invention is a skin care composition which provides a high degree of moisterization without leaving a "tacky" residue on treated skin. The subject composition comprises: (a) from about 2 to about 10 weight percent of a quaternary ammonium compound having formula (I) wherein R₁ and R₂ are each alkyl groups having from about 16 to about 22 carbon atoms, R₃ and R₄ are each lower alkyl groups having from about 1 to about 3 carbon atoms, and X is a salt-forming anion, (b) from about 1 to about 40 weight percent of a humectant, and (c) from about 0.01 to about 5 weight percent of non-irritating hydrophobic polymeric microspheres having an average particle size of less than about 50 microns.</p> <div style="text-align: right; margin-top: 20px;"> $[R_1-\overset{\overset{R_2}{ }}{\underset{\underset{R_4}{ }}{N}}-R_3]^+ X^- \quad (I)$ </div>		

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SKIN CARE COMPOSITIONS

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FIELD OF THE INVENTION

The present invention relates to skin care compositions useful for moisturizing and conditioning skin. This invention specifically relates to skin care compositions utilizing cationic emulsifiers and humectants. Specific embodiments of the subject invention include those having relatively high amounts of humectants, e.g. greater than about 20
10 weight percent of glycerin.

BACKGROUND

A wide variety of skin care compositions have been used to treat dry skin. Examples of such compositions include both oil-in-water, i.e. "water-out" emulsions and
15 water-in-oil, i.e. "oil-out" emulsions. Emulsifiers utilized in these formulations have included anionic, nonionic, cationic, and mixtures thereof, although the more common commercial products have used anionic and nonionic emulsifiers.

An example of a popular skin care composition based upon cationic emulsifiers is
20 available under the mark, Cure'l[®] from Bausch & Lomb Incorporated, (see U.S. Patent No. 4,389,418). This product utilizes a quaternary ammonium compound as the sole emulsifying agent in an oil-in-water emulsion with about 4 weight percent petrolatum, about 12 weight percent glycerin, and various other constituents including fatty alcohols (e.g. cetyl alcohol), fatty ester emollients (e.g. isopropyl palmitate), and silicone oils (e.g.
25 dimethicone). Other examples of skin care products using cationic surfactants are described in U.S. Patent Nos. 4,781,918; 5,013,763; and 5,135,748.

Skin moisturizing studies have indicated a direct relationship between the amount of humectant with a skin care composition and the compositions effectiveness in
30 moisturization skin treated therewith. For example, it has been found that increasing

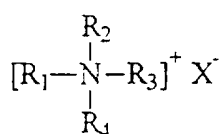
amounts of glycerin (e.g. at least about 20 weight percent) in skin care compositions are more effective at moisturization, see for example Orth, D.S., et al., *Effect of High Glycerin Therapeutic Moisturizers on the Ultrastructure of the stratum Corneum*. Although most commercial skin care compositions typically include less than about 12 weight percent glycerin, compositions with higher glycerin amounts are known.

As described in U.S. Patent No. 4,389,418, it is particularly difficult to produce a skin care composition which effectively moisturizes without leaving a "greasy" and/or "tacky" residue on the skin. This undesirable residue associated with many skin care compositions is commonly due to petrolatum (or mineral oil) and/or humectants, e.g. glycerin, contained therein. This problem is exacerbated by increasing the relative amount of these constituents within the composition. By way of example, the skin care composition available from Bausch & Lomb Incorporated under the mark Cure'l® has about 12 weight percent glycerin and has a very desirable, non-tacky feel when applied. However, if the amount of glycerin is increased within the composition, e.g. from about 12 weight percent to about 20 weight percent, the composition has a distinct "tacky" sensation when applied.

Thus, although skin care compositions having higher concentrations of humectants (and/or petrolatum or mineral oil) are generally desired due to their increased moisturization capabilities, such compositions are typically too "tacky" and/or "greasy" to be commercially acceptable.

SUMMARY OF THE INVENTION

The present invention is a skin care composition for the treatment of skin which provides a high degree of moisturization without leaving a "tacky" or "sticky" residue on treated skin. The subject composition comprises; (a) from about 2 to about 10 weight percent of a quaternary ammonium compound having the formula:



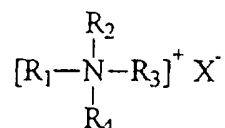
wherein R_1 and R_2 are each alkyl groups having from about 16 to about 22 carbon atoms, R_3 and R_4 are each lower alkyl groups having from about 1 to about 3 carbon atoms, and X is a salt-forming anion, (b) from about 1 to about 40 weight percent of a humectant, and (c) from about 0.01 to about 5 weight percent of non-irritating hydrophobic polymeric microspheres having an average particle size of less than about 50 microns.

Methods for treating, (e.g. moisturizing and conditioning), skin using the subject skin care composition are also disclosed.

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DETAILED DESCRIPTION OF THE INVENTION

The skin care compositions of the present invention include quaternary ammonium emulsifiers having the general formula:



wherein R_1 and R_2 are each alkyl groups, preferably substantially linear, having from about 16 to about 22 carbon atoms; R_3 and R_4 are each lower alkyl groups having from about 1 to about 3 carbon atoms; and X is a salt-forming anion. Preferably the salt-forming anion is chloride, bromide, or iodide. The cationic emulsifiers preferably exhibit hard, waxy and non sticky characteristics. Such cationic emulsifiers are described in detail in U.S. Patent No. 4,389,418. The most preferred cationic emulsifier is dimethyl distearyl ammonium chloride. The cationic emulsifier is preferably present in the composition of this invention in concentrations of about 2 to about 10 weight percent, preferably about 3 to 8 weight percent.

The compositions of the present invention further include humectants. Humectants act as hygroscopic agents, increasing the amount of water held in the stratum corneum and contributing to the softening of the skin surface. Suitable humectants for the formulations of this invention include but are not limited to: glycerin, propylene

glycol, sorbitol, polyethylene glycol, 1,2,6-hexanetriol, hydrogenated starch hydrolysate, inositol, mannitol, PEG-5 pentaerythritol ether, polyglyceryl sorbitol, xylitol, sucrose, and combinations thereof. Glycerin is a particularly preferred humectant. The humectant is present in the composition at concentrations of about 1 to about 40 weight percent, but
5 preferably above about 12 weight percent and still more preferably above 20 weight percent. One specific embodiment of the present invention includes about 21 weight percent glycerin.

As stated above, greater skin moisturization can be obtained by increasing the
10 amount of humectants within the skin care compositions, above that commonly used, e.g. using above about 12 weight percent glycerin, or more preferably, above about 20 weight percent. Unfortunately, such "high moisturizing formulations" leave a tacky residue on the skin. This has been specifically identified with respect to compositions utilizing the quaternary ammonium compounds, as used in the present invention.

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It has been discovered that the "tackiness" associated with high humectant concentrations can be significantly reduced by including hydrophobic polymeric microspheres within the composition. The microspheres should be non-irritating to the skin and preferably have an average particle size of less than about 50 microns. Preferred
20 microspheres comprise polyamide materials, e.g. nylon including nylon 12, nylon 66 and nylon 6. A specific example of such a material is a polyamide powder available as "ORGASOL® 2002 D NAT COS" from Elf Atochem (Lipo Chemicals - US distributor), a nylon 12 microsphere having an average particle size of about 20 microns. These microsphere typically comprise from about 0.01 to about 5 weight percent of the subject
25 composition, depending upon the specific type and amounts of other constituents within the compositions.

In addition to the aforementioned constituents, the subject composition may include components conventionally used in skin care formulations. Such other components include for example; (a) petrolatum or mineral oil, (b) fatty alcohols, (c) fatty ester emollients, (d) silicone oils or fluids, and (e) preservatives. These components must
5 in general be safe for application to the human skin and must be compatible with the other components of the formulation. Selection of these components is generally within the skill of the art. The following discussion refers to components in the singular although it will be understood that combinations or mixtures are intended to be included as well.

10 The petrolatum or mineral oil component selected will generally be USP or NF grade. The petrolatum may be white or yellow. The viscosity or consistency grade of petrolatum is not narrowly critical. Petrolatum can be partially replaced with mixtures of hydrocarbon materials which can be formulated to resemble petrolatum in appearance and consistency. For example, mixtures of petrolatum with microcrystalline wax, paraffin
15 wax, and the like may be melted together. Preferred mineral oils are white mineral oils having a viscosity of 6.7 to 69 centistokes at 40°C, a specific gravity (SG 15.6°C/15.6°C) of 0.828 to 0.890, and a maximum pour point of -18 to -7°C. Still more preferred mineral oils have a viscosity of 6.7 to 17.0 centistokes at 40°C, a specific gravity of 0.828 to 0.860, and a maximum pour point of about -7 to -10°C. When used, the petrolatum or
20 mineral oil component is included in the formulations at a concentration of about 1 to about 10 weight percent, more preferably about 2 to about 6 weight percent. Higher percentages generally cause the skin care composition to leave a "greasy" residue when applied to the skin. The previously described microspheres permit the use of higher relative percentages of petrolatum or mineral oil to be used without the noted "greasy"
25 residue.

Fatty alcohols (typically monohydric alcohols) used in the compositions of this invention stabilize the emulsion and provide a cosmetically acceptable viscosity. Selection

of the fatty alcohol is not narrowly critical although those alcohols characterized as: C₁₂ to C₃₂, preferably C₁₄ to C₂₂, substantially saturated alkanols will generally be employed. Examples include stearyl alcohol, cetostearyl alcohol, myristyl alcohol, behenyl alcohol, arachidic alcohol, isostearyl alcohol, and isocetyl alcohol. Cetyl alcohol is preferred and
5 may be used alone or in combination with other fatty alcohols. When used the fatty alcohol is preferably included in the formulations of this invention at a concentration within the range from about 1 to about 8 weight percent, more preferably about 2 to about 4 weight percent.

10 Fatty ester emollients enhance the tactile properties of the composition. Examples of suitable fatty esters for use in the formulation of this invention include isopropyl myristate, isopropyl palmitate, isopropyl isostearate, isostearyl isostearate, diisopropyl sebacate, propylene glycol dipelargonate, 2-ethylhexyl isononoate, 2-ethylhexyl stearate, C₁₂ to C₁₆ fatty alcohol lactate, isopropyl lanolate, 2-ethyl-hexyl salicylate, and mixtures
15 thereof. The presently preferred fatty ester is isopropyl palmitate. When used the fatty ester emollient is preferably included in the formulations of this invention at a concentration of about 1 to about 8 weight percent, more preferably about 2 to about 5 weight percent.

20 Silicone oils or fluids may be used to improve the lubricity of the composition during application to the skin. Preferably, the viscosity of the silicone component at a temperature of 25°C is from about 5 to about 12,500 centistokes. Examples of suitable materials are dimethylpolysiloxane, diethylpolysiloxane, dimethylpolysiloxane-diphenylpolysiloxane, cyclomethicone, trimethylpolysiloxane, diphenylpolysiloxane, and
25 mixtures thereof. Dimethicone, a dimethylpolysiloxane endblocked with trimethyl units, is presently preferred. Dimethicone having a viscosity between 10 and 1000 centistokes is particularly preferred. When used, the silicone oils are preferably included in the

formulations of this invention at a concentration of about 0.1 to about 5 weight percent, more preferably about 1 to about 2 weight percent.

The compositions may also contain other conventional additives employed in cosmetic emulsions. Such additives include aesthetic enhancers, fragrance oils, dyes, preservatives, antioxidants, sun screen additives, and medicaments such as menthol and the like. Preferred aesthetic enhancers are polyquaternium 31 and aluminum starch octenylsuccinate. Examples of preferred antioxidants include vitamin E acetate, DL-panthenol, and ascorbyl palmitate.

The water employed in the compositions and method of this invention is preferably purified water obtained, e.g., by distilling ordinary tap water, by purifying ordinary water through an ion exchange resin, or by other techniques apparent to those skilled in the art. Water preferably accounts for about 30 to 90 weight percent, but more preferably from about 55 to 85 weight percent of the subject composition.

The compositions of the present invention may be prepared as either oil-in-water or water-in-oil emulsions.

Preferred oil-in-water emulsions are prepared by first forming an aqueous mixture of the water-soluble components, e.g. the humectant, water-soluble preservatives, followed by adding water-insoluble components. The water-insoluble components include the; cationic emulsifier, water-insoluble preservatives, petrolatum or mineral oil component, subject polymeric microspheres, fatty alcohol component, fatty ester emollient, and silicone oil component. The components are preferably added to the water in the following sequence: water-soluble preservatives, humectant, water-insoluble preservatives, petrolatum/mineral oil, fatty ester, and silicone oil. After these components are thoroughly mixed, the mixture is heated to a temperature of about 80 to 95°C under

agitation and the fatty alcohol is added, followed by the cationic emulsifier, to form a water-in-oil emulsion. After the cationic emulsifier is added, the polymeric microspheres are added under continued agitation. The input of mixing energy will be high and will be maintained for a time sufficient to form a water-in-oil emulsion having a smooth appearance (indicating the presence of relatively small micelles in the emulsion).

Water is then directly injected into the emulsion to cool it to a temperature of about 45 to about 60°C. The temperature is critical. Unstable emulsions result if the temperature drops below about 45°C. Higher temperatures promote unacceptable water loss through evaporation. During this quench step the emulsion, initially water-in-oil, inverts to form an oil-in-water emulsion. Antioxidants, if used, are typically then added to the mixture.

Water is added at two points during the process: when forming the aqueous mixture of water-insoluble components and humectant and when quenching the emulsion. The bulk of the water is added during the quench step with a minor amount added with the aqueous mixture of water-insoluble components and humectant. Preferably, about 20 % of the water is added with the aqueous mixture, with the balance added during quenching.

After complete mixing and additional cooling, the mixture is filtered to produce a homogeneous lotion or cream.

As stated, the skin care compositions of the present invention are applied directly to the skin in the treatment of dry skin. The subject compositions are capable of achieving a greater degree of skin moisturization without the common tackiness associated with compositions containing relatively high percentages (e.g. above 12 weight percent, but preferably above about 20 weight percent) of humectant, particularly glycerin.

EXAMPLE

As a further illustration of the present invention, two skin care compositions were prepared, one having a formulation as provided below in Table I, the other having a substantially similar formulation but without any polymeric microspheres. The two compositions were evaluated for "tackiness" upon application to the skin. The subject composition which included the polymeric microspheres had a statistically noticeable reduction in tackiness upon application as compared with the similar composition having no polymeric microspheres.

Table I

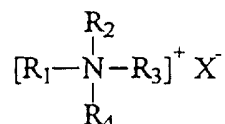
CONSTITUENT	Composition I (weight percent)
Purified Water	59.5
Glycerin 99.5% USP	21.0
Petrolatum White USP	7.0
Dimethyldistearylamonium Chloride (Varisoft TA-103)	5.0
Isopropyl Palmitate	3.0
Cetyl Alcohol	2.5
Dimethicone 10 CST	1.25
Orgasol 2002	0.50
Methyl Paraben	0.10
Vitamin E acetate	0.10
Propyl Paraben	0.04
DL-Panthenol	0.02
Ascorbyl Palmitate	0.01

Many other modifications and variations of the present invention are possible in light of the teachings herein. It is therefore understood that, within the scope of the claims, the present invention can be practiced other than as herein specifically described.

CLAIMS

1. A skin care composition for the treatment of skin, said composition comprising:

- 5 (a) from about 2 to about 10 weight percent of a quaternary ammonium compound having the formula:



wherein R₁ and R₂ are each alkyl groups having from about 16 to about 22 carbon atoms, R₃ and R₄ are each lower alkyl groups having from about 1 to about 3 carbon atoms, and
10 X is a salt-forming anion;

(b) from about 1 to about 40 weight percent of a humectant; and

(c) from about 0.01 to about 5 weight percent of non-irritating hydrophobic polymeric microspheres having an average particle size of less than about 50
15 microns.

2. The compositions of claim 1 wherein said polymeric microspheres comprise a polyamide material.

3. The composition of claim 2 wherein said polyamide material comprises
20 nylon.

4. The composition of claim 3 wherein said nylon comprises at least one of: nylon 12 and nylon 6.

5. The composition of claim 1 wherein said polymeric microspheres have an
25 average particles size of about 20 microns.

6. The composition of claim 1 wherein said humectant comprises glycerin.

7. The composition of claim 6 comprising greater than about 12 weight percent of glycerin.

8. The composition of claim 6 comprising greater than about 20 weight percent glycerin.

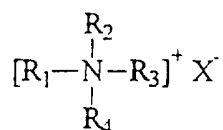
9. The composition of claim 1 wherein said composition comprises an oil-in-water emulsion.

10. The composition of claim 1 wherein said composition comprises:

- (a) from about 2 to about 10 weight percent of dimethyl distearyl ammonium chloride;
- (b) from about 1 to about 40 weight percent of glycerin;
- (c) from about 0.1 to about 5 weight percent of nylon microspheres having an average particle size of less than about 50 microns; and
- (d) from about 1 to about 10 weight percent of petrolatum or mineral oil.

11. A method for treating skin by applying a skin care composition directly thereto; wherein the skin care composition comprises:

- (a) from about 2 to about 10 weight percent of a quaternary ammonium compound having the formula:



wherein R_1 and R_2 are each alkyl groups having from about 16 to about 22 carbon atoms, R_3 and R_4 are each lower alkyl groups having from about 1 to about 3 carbon atoms, and X is a salt-forming anion;

- (b) from about 1 to about 40 weight percent of a humectant; and

(c) from about 0.01 to about 5 weight percent of non-irritating hydrophobic polymeric microspheres having an average particle size of less than about 50 microns.

12. The method of claim 11 wherein the microspheres comprise nylon.

13. The method of claim 11 wherein the humectant comprises glycerin.

14. The method of claim 13 wherein the composition comprises greater than about 20 weight percent glycerin.

15. The method of claim 11 wherein the composition comprises:

(a) from about 2 to about 10 weight percent of dimethyl distearyl ammonium chloride;

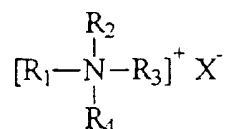
(b) greater than about 20 weight percent of glycerin;

(c) from about 0.1 to about 5 weight percent of nylon microspheres having an average particle size of less than about 50 microns; and

(d) from about 1 to about 10 weight percent of petrolatum or mineral oil.

16. A method for reducing the tackiness of a skin care composition comprising:

(a) from about 2 to about 10 weight percent of a quaternary ammonium compound having the formula:



wherein R₁ and R₂ are each alkyl groups having from about 16 to about 22 carbon atoms, R₃ and R₄ are each lower alkyl groups having from about 1 to about 3 carbon atoms, and X is a salt-forming anion; and

(b) from about 1 to about 40 weight percent of a humectant; wherein said method is characterized by the step of adding,

(c) from about 0.01 to about 5 weight percent of non-irritating hydrophobic polymeric microspheres having an average particle size of less than about 50
5 microns.

17. The method of claim 16 wherein the microspheres comprise nylon.

18. The method of claim 16 wherein the humectant comprises glycerin.

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19. The method of claim 18 wherein the composition comprises greater than about 20 weight percent glycerin.

20. The method of claim 16 wherein the composition comprises:

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(a) from about 2 to about 10 weight percent of dimethyl distearyl ammonium chloride;

(b) greater than about 20 weight percent of glycerin;

(c) from about 0.1 to about 5 weight percent of nylon microspheres having an average particle size of less than about 50 microns; and

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(d) from about 1 to about 10 weight percent of petrolatum or mineral oil.

INTERNATIONAL SEARCH REPORT

Int'l Application No
PCT/US 96/16848

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 A61K7/00 A61K7/48 A61K7/50

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	EP 0 692 248 A (OREAL) 17 January 1996 see example 3 ---	1-20
A	EP 0 058 853 A (JOHNSON & SON INC S C) 1 September 1982 cited in the application see claims 1,2 see examples 1-5 & US 4 389 418 A ---	1-20
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

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INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 96/16848

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	FR 2 555 441 A (BEHOT FRANCOIS) 31 May 1985 see claims 1-8 see examples 1-6 see page 6, line 35-37 ---	1-20
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INTERNATIONAL SEARCH REPORT

information on patent family members

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