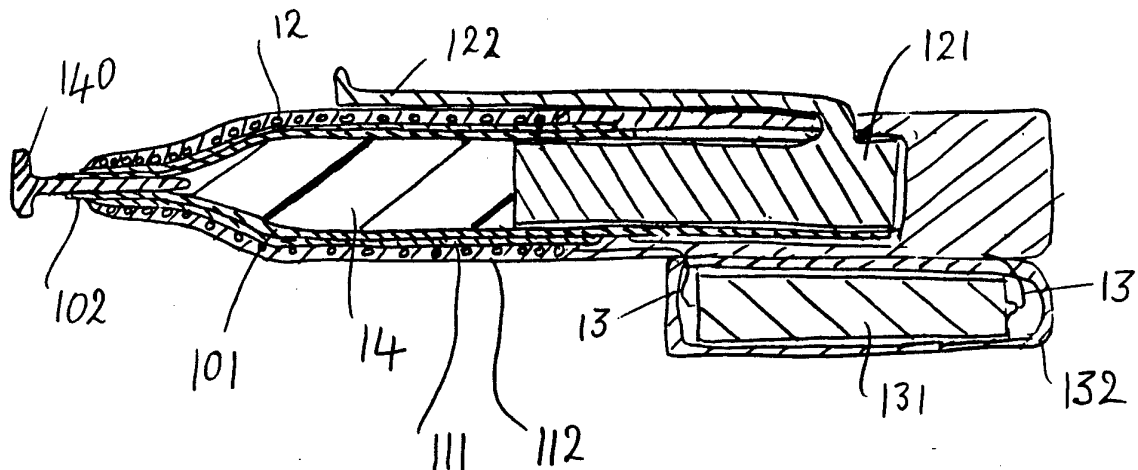




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<p>(21) International Application Number: PCT/US93/08245 (22) International Filing Date: 1 September 1993 (01.09.93) (30) Priority data: 07/939,110 2 September 1992 (02.09.92) US (60) Parent Application or Grant (63) Related by Continuation US 07/939,110 (CIP) Filed on 2 September 1992 (02.09.92) (71) Applicant (for all designated States except US): LANDEC CORPORATION [US/US]; 3603 Haven Avenue, Suite E, Menlo Park, CA 94025 (US).</p>		<p>(72) Inventor; and (75) Inventor/Applicant (for US only) : SCHMITT, Edward, E. [US/US]; 2344 Columbia Street, Palo Alto, CA 94306 (US). (74) Agents: SHELDON, Jeffrey, G. et al.; Sheldon &amp; Mak, 225 South Lake Avenue, Suite 900, Los Angeles, CA 91101 (US). (81) Designated States: JP, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i></p>

(54) Title: OCCLUSION OF CHANNELS IN LIVING MAMMALS



(57) Abstract

Methods for occluding channels in living mammals make use of thermoplastic polymeric compositions which melt just above normal body temperatures. The molten composition is injected into the channel and solidifies in situ. Preferred compositions contain side chain crystallizable (SCC) polymers, particularly thermoplastic elastomers containing SCC hard blocks, which melt at 34-45 °C. An injecting device for use in the method is illustrated and contains a reservoir for the occluding composition, an electrical heater for heating the composition, an injection nozzle, and a plunger for extruding molten composition through the nozzle. The invention is particularly useful for blocking tear ducts in the treatment of dry eye syndrome.

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OCCLUSION OF CHANNELS IN LIVING MAMMALS

This application is a continuation-in-part of copending, commonly assigned U.S. Serial No. 07/939,110 filed September 2, 1992, the entire disclosure of which is  
5 incorporated herein by reference.

This invention relates to methods, compositions and apparatus for occluding channels in living mammals, and to sterile compositions and assemblies which are useful in such methods and in other methods in which a polymeric composition is  
10 placed within or in contact with a mammalian body.

It is known to occlude certain channels in living mammals, for example, tear ducts to relieve keratoconjunctivitis sicca or KCS (commonly known as dry eye syndrome), fallopian tubes to prevent pregnancy, blood vessels to close off blood  
15 supply, and bone cavities to support pins. For example, preformed plugs and collagen implants have been used to occlude tear ducts (see U.S. Patent Nos. 3,949,750 and 4,959,048); and plugs formed in situ from polymerizable monomers have been used in various situations, including plugs formed from thermosetting silicones to occlude fallopian tubes, from cyanoacrylate monomers to seal blood vessels, and from methyl  
20 methacrylate monomers to fill bone cavities. These known methods suffer from serious problems. For example, collagen implants dissolve, reopening the tear duct. Preformed plugs are difficult to insert and, because they cannot conform precisely to the natural shape of the channel, they are easily dislodged and/or deform the channel. The formation of a plug by polymerization in situ is a very difficult procedure. The  
25 composition must be delivered to exactly the right place, and, once polymerized, is difficult or impossible to remove. Cyanoacrylate monomers are toxic and polymerize so rapidly that the catheter used to supply them to the channel is liable to be cemented in place before it can be withdrawn. Other procedures which have been used to prevent flow through channels in mammals include vasectomy (i.e. severing the vas deferens)  
30 to induce male sterility, and ligature of the fallopian tubes to induce female sterility. These procedures have the disadvantage that they are very difficult to reverse. It has also been proposed to insert closed mechanical valves in the vas deferens, but such valves are difficult to manufacture, difficult to insert without damage to the patient, and difficult to reopen.

35

We have discovered that many of the above disadvantages can be overcome through the use of a thermoplastic polymeric composition having a melting point such

that (a) the composition can be delivered to the channel at an elevated temperature at which the composition is fluid but which can be tolerated by the channel, and (b) the composition solidifies as it cools to the normal temperature of the channel. Such a composition is referred to herein as an occluding composition. Preferably the composition does not undergo any change during the method except a change of state from fluid to solid.

In one aspect of this invention, this invention provides a method of occluding a channel in a living mammal, said channel having a temperature  $T_L$  in the living mammal, which method comprises

- (1) heating a thermoplastic occluding composition which is solid at  $T_L$  to a temperature at which the composition is flowable;
- (2) placing the heated composition in the channel; and
- (3) allowing the composition to cool and solidify in the channel.

In another aspect, this invention provides a method of removing an occluding plug from a channel in a living mammal, said channel having a temperature  $T_L$  in the living mammal, and said plug being composed of a thermoplastic occluding composition which is solid at  $T_L$ , and said plug preferably having been placed in the channel by a method as defined above, which method comprises (a) heating said plug to a temperature at which it will flow out of the channel or (b) contacting said plug with a liquid which lowers the melting point of the plug so that it flows out of the channel.

Many of the occluding compositions suitable for use in this invention are novel, and as such form another aspect of the present invention. Preferred novel compositions of this aspect of the invention comprise

- (1) a crystalline polymer having a melting point of 30° to 50°C, and
- (2) distributed in the polymer, at least one additive which is
  - (a) an additive which is opaque to X-rays;
  - (b) a particulate filler which has a specific heat of at least 0.02 cal/g preferably at least 0.03 cal/g; or
  - (c) a biologically active substance, in particular a blood-coagulant, antibiotic, spermicide or growth-promoting hormone;

said additive preferably being gold powder present in amount at least 25% by weight of the composition.

In another aspect, this invention provides novel compositions which are suitable for occluding channels in accordance with the method defined above, and/or in other methods in which a polymeric composition is placed within, or in contact with, a mammalian body. In this aspect, the invention provides sterile compositions which  
5 comprise a crystalline polymer having a melting point of 30° to 50°C, and sterile compositions which comprise a side chain crystallizable (SCC) polymer, preferably an SCC polymer having a melting point of 30° to 50°C. These sterile compositions can contain an additive as defined above. The invention also includes a container which contains a composition comprising a crystalline polymer having a melting point of 30°  
10 to 50°C, or a composition comprising an SCC polymer, the container and the composition having been sterilized.

In another aspect, the invention provides novel devices for use in the invention. Preferred novel devices comprise

- 15 (a) a reservoir which contains a solid occluding composition;
- (b) an injection nozzle which communicates with the reservoir; and
- (c) pressure means for exerting pressure on the occluding composition so that, if the composition is molten, the composition can be extruded through the injection nozzle by operating the pressure means;  
20 and preferably
- (d) an electrical heater which, when connected to a suitable power source, will heat the occluding composition so that it is molten.

#### 25 Definitions, Units, Measurements and Abbreviations

The term "channel" is used herein to denote any natural or artificial passageway or cavity within a mammal, including but not limited to passageways for moving a fluid, e.g. tears, sperm, ova or blood, from one part of a mammal to another. Such channels are sometimes referred to as tubes, ducts, arteries, foramina, cavities, canals  
30 and vessels. They include fallopian tubes, naso-lacrimal ducts (also known as tear ducts or canalicular ducts), blood vessels, vas deferens, and cavities within bones, for example cranial taps and cavities for metal pins, e.g. in the lumen of the femur for hip replacement. They may include flow controls such as sphincters and capillary beds, which may be controlled voluntarily by the mammal, e.g. by muscle contractions. The  
35 mammal may be a human being or an animal, e.g. a horse, a dog or a cat.

The term "occluding" is used herein to mean completely or partially blocking, filling or enclosing. In most cases, the objective will be to block the channel completely. However, in other cases, the objective may be to provide a channel with a hollow lining which restricts but does not prevent fluid flow or which prevents the channel from collapsing, thus ensuring that fluid flow is possible. Other possible uses will be apparent to those skilled in the art having regard to the disclosure in this specification and their own knowledge.

In this specification, unless otherwise stated, parts, amounts and percentages are by weight. Temperatures are in °C. Molecular weights are in Daltons and are determined by gel permeation chromatography (GPC) in THF (tetrahydrofuran) against a polystyrene standard, the  $M_n$  values being number average molecular weights and the  $M_w$  values being weight average molecular weights. Elongation and Young's Modulus values are measured at 25°C using a tensile test instrument, for example an Instron tensile tester, at a crosshead speed of 0.5 inch/minute (1.27 cm/minute). Viscosity values are measured at 25°C and a solids content of 30% (we used a Brookfield Viscometer Model LVT) and expressed in centipoise. First order transition points (often referred to as melting points), glass transition points, and heats of fusion are determined by a differential scanning calorimeter (DSC), using the second heat cycle and a heating rate of 10°C/minute. For the polymers used in the occluding compositions, the beginning of the DSC curve (i.e. the onset of melting) is referred to as  $T_o$  and the peak of the DSC curve (i.e. the first order transition point) is referred to as  $T_q$ . For the macromers used as hard block precursors in the preparation of thermoplastic elastomers (TPE's) used in the occluding compositions, the peak of the DSC curve is referred to as  $T_m$ . The recrystallization time (XL time) is measured by one of two different methods. The XL time at 25°C is measured by a procedure in which (a) a steel plate having on its top surface a layer 10 microns thick of the composition is heated to 60°C, (b) the plate is placed on a cooling surface maintained at 25°C, and (c) a glass rod, 0.2 cm in diameter, is dipped into the occluding composition at frequent intervals; the recrystallization time is the time elapsed between placing the plate on the cooling surface and the time when it is no longer possible to draw a fine string of the composition from the plate with the glass rod. The XL time at 35°C is measured by a procedure in which the composition is placed in a DSC, heated to 50°C, cooled to 35°C over a period of about 4.5 secs, and then maintained at 35°C. The recrystallization time at 35°C is the time which elapses between the composition being cooled to 35°C and the time at which the maximum exotherm appears on the DSC curve.

### Occluding Compositions

5           A channel in a living mammal has a range of temperatures at which it normally exists, and the channel itself, or other parts of the mammal adjacent the channel, may be damaged if the channel is heated to a temperature substantially above that range. This imposes two essential requirements on the occluding compositions. First, the composition must be solid throughout the range of natural body temperatures of the  
10 channel, so that the molten composition will solidify in the channel and will not be accidentally removed by melting after it has solidified. Second, the composition must have a viscosity, not far above its melting point, which permits the molten composition to be placed in the channel at a temperature low enough to ensure that the composition does not substantially damage the mammal.

15

          Human body temperatures are normally in the range of 36°C to 38°C, but can be as high as 42°, for example during vigorous exercise or during illness. Most human body cells can tolerate a temperature of up to about 45° for a few minutes and of up to about 50° for several seconds, and human bones can tolerate the temperatures created  
20 while polymerizing methyl methacrylate within a bone cavity, which may be about 60°C or more, for a brief period. It is preferred, therefore, that an occluding composition for use in a human should have a melting point which is at least 39°C, particularly at least 40°C, especially at least 42°C, and not more than 45°C, particularly not more than 44°C, especially not more than 43°C. It is also preferred that the composition has a viscosity  
25 between its melting point and 45°C such that the molten composition can be placed in the channel at a temperature not greater than 45°C, so that no damage is done to the mucus membrane surface of the channel to be occluded, or to other parts of the body.

          Other mammals may have different natural body temperatures and different  
30 temperatures which will cause damage, and for such mammals the properties of the occluding composition should be selected accordingly. Preferably the occluding composition has a melting point which is at least 2°C above, particularly at least 3°C above, the maximum normal temperature of the channel.

35           The viscosity of the composition, and the rate at which it solidifies, during the process, are also important factors. A composition which has low viscosity and solidifies slowly is easy to deliver and initially conforms well to the channel, but tends to run out of (or be washed out of) the channel before it forms a plug. A composition

which has high viscosity and solidifies rapidly is difficult to deliver and tends not to conform well to the channel, but is unlikely to run out of (or be washed out of) the channel. The appropriate compromise will depend mainly on the size of the channel to be occluded and the danger that the composition will run out of (or be washed out of) the channel as it solidifies. The delivery device preferably includes a heater which maintains the composition molten, but if there is no such heater, it is important to take account of the time for which the molten composition will remain in the delivery device. It is in any case important to take account of the extent to which the composition will cool (e.g. in the injection nozzle), before it reaches the channel to be occluded.

The occluding compositions used in this invention comprise a polymeric component and may also contain other ingredients. As discussed below, the other ingredients, if present, can have a significant effect on the way in which the composition flows and solidifies. However, the dominant influence is the nature of the polymeric component. The polymeric component preferably comprises a single polymer, and will chiefly be described herein with reference to a single polymer. However, the description is also applicable to a blend of two or more polymers, the blend having the same or similar properties for the purposes of the present invention. The ingredients of the occluding compositions are preferably biocompatible and non-immunogenic in order to avoid irritation or other adverse reaction of the mammal being treated.

The polymer in the occluding composition preferably has one or more of the following characteristics:

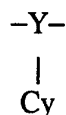
	$T_q$	30-50°C, particularly 34-45°C, especially 38-42°C.
25	$T_q - T_o$	Less than 10°C
	Viscosity at 50°C	Less than 1,000,000, particularly 50,000 to 1,000,000, centipoise
30	Mw	100,000 to 200,000, particularly 130,000 to 160,000

The recrystallization time is generally 1 to 10, e.g. 2 to 5, minutes at 25°C. The preferred recrystallization time depends upon the channel to be occluded. For occluding canalicular ducts, or the like, a recrystallization time at 35°C of 0.8 to 3 minutes, e.g. 1 to 1.5 minutes, is generally preferred.

The composition is preferably at a temperature from 2°C to 6°C above its first order transition point ( $T_q$ ) when it is placed in the channel.

Preferred polymers for use in this invention are side chain crystallizable polymers (often referred to as SCC polymers). Such polymers can be broadly defined as polymers comprising repeating units of the formula

5



10 where Y is a divalent radical organic radical and Cy comprises a crystalline moiety. SCC polymers include homopolymers, random copolymers containing two or more different units of the above formula, random copolymers containing one or more different units of the above formula and one or more repeating units of a different type, and block copolymers (including graft copolymers) in which at least one of the blocks  
15 is an SCC polymeric block. Particularly preferred for use in this invention are thermoplastic elastomers (often referred to as TPE's) in which at least the hard blocks are SCC polymeric blocks, especially such TPE's in which repeating units as defined above provide at least part of the backbone of polymeric blocks which extend from the main chain of the polymer.

20

Many SCC polymers are known. They include polymers of one or more monomers such as substituted and unsubstituted acrylates, fluoroacrylates, vinyl esters, acrylamides, maleimides,  $\alpha$ -olefins, p-alkyl styrenes, alkylvinyl ethers, alkylethylene oxides, alkyl phosphazenes and amino acids; polyisocyanates; polyurethanes;  
25 polysilanes; polysiloxanes; and polyethers; all of such polymers containing long chain crystallizable groups. Suitable SCC's are described, for example, in the articles whose first page is given by the following references: J. Poly. Sci. 60, 19 (1962), J. Poly. Sci, (Polymer Chemistry) 7, 3053 (1969), 9, 1835, 3349, 3351, 3367, 10, 1657, 3347, 18, 2197, 19, 1871, J. Poly. Sci, Poly-Physics Ed 18 2197 (1980), J. Poly. Sci, Macromol. Rev, 8, 117 (1974), Macromolecules 12, 94 (1979), 13, 12, 15, 18, 2141, 19, 611, JACS 75, 3326 (1953), 76; 6280, Polymer J 17, 991 (1985); and Poly. Sci USSR 21, 241 (1979).

30

35 In the repeating units of formula  $\begin{array}{c} -Y- \\ | \\ \text{Cy} \end{array}$ , the crystallizable moiety in the Cy

radical may be connected to the polymer backbone directly or through a divalent organic or inorganic radical, e.g. an ester, carbonyl, amide, hydrocarbon (for example

phenylene), amino, or ether link, or through an ionic salt linkage (for example a carboxyalkyl ammonium, sulfonium or phosphonium ion pair). The crystallizable moiety in the radical Cy may be aliphatic or aromatic, for example alkyl of at least 10 carbons, preferably 14-22 carbons, fluoralkyl of at least 6 carbons or p-alkyl styrene  
5 wherein the alkyl contains 6 to 24 carbons. The term  $C_n$  is used herein to denote a linear compound or group containing n carbon atoms and the term  $C_nA$  is used to denote a linear alkyl acrylate wherein the alkyl group contains n carbon atoms; for example a  $C_{22}$  alkyl acrylate is an alkyl acrylate in which the alkyl group is a linear alkyl group containing 22 carbon atoms, i.e. docosanyl (also known as behenyl)  
10 acrylate. The polymer may contain two or more different repeating units of this general formula. The polymer may also contain other repeating units, but the amount of such other units is generally at most 80%, preferably at most 50%, particularly at most 35%. The heat of fusion of the SCC polymer is generally 5 to 50 J/g, preferably at least 10 J/g, for example 10 to 35 J/g.

15

In the SCC polymers used in this invention, it is preferred that the total number of carbon atoms in the side chains is at least 4 times, e.g. at least 5 times, the total number of carbon atoms in the backbone. Preferred side chains comprise linear polymethylene moieties containing 14 to 22 carbon atoms. Polymers containing such side chains can be  
20 prepared by polymerizing one or more corresponding linear aliphatic acrylates, methacrylates, acrylamides or methacrylamides, optionally with one or more other comonomers preferably selected from other alkyl, hydroxyalkyl and alkoxyalkyl acrylates, methacrylates (e.g. glycidal methacrylate), acrylamides and methacrylamides; acrylic and methacrylic acids; acrylamide; methacrylamide; maleic anhydride; and  
25 comonomers containing amine groups. Such other monomers are generally present in total amount less than 50%, particularly less than 35%, especially less than 25%, e.g. 0 to 15%. When acrylic acid is used, the amount thereof is preferably less than 10%. They may be added to modify the melting point, or adhesiveness, or other physical properties of the polymer. The melting point of a polymer containing such  
30 polymethylene side chains is determined chiefly by the number of carbon atoms in the crystallizable side chains, and this should be remembered in selecting polymers for use in this invention. For example, homopolymers of n-alkyl acrylates in which the alkyl groups contain 14, 16, 18, 20, 22, 30, 40 and 50 carbons respectively typically have melting points of 20, 36, 49, 60, 71, 76, 96 and 102°C, while the homopolymers of the  
35 corresponding n-alkyl methacrylates typically have melting points of 10, 26, 39, 50, 62, 68, 91 and 95°C. Copolymers of such monomers generally have intermediate melting

points. Copolymers with other monomers, e.g. acrylic acid or butyl acrylate, typically have somewhat lower melting points.

In one class of SCC polymers, the repeating units of formula -Y(Cy)- have the  
5 formula



wherein M is  $-(\text{CH}_2)_m\text{-CH-}$ , where m is 0, 1 or 2;

15 S is  $-\text{O-}$ ,  $-\text{CH}_2-$ ,  $-\text{C-}$ ,  $-\text{O-C-}$ , or  $-\text{NR-}$

$$\begin{array}{cc} \parallel & \parallel \\ \text{O} & \text{O} \end{array}$$

where R is hydrogen or alkyl containing 1 to 16 carbons; and

C is  $-(\text{CH}_2)_n\text{CH}_3$  or  $-(\text{CF}_2)_n\text{CF}_2\text{H}$ , where n is 6 to 21 inclusive.

20 Specific examples of SCC homopolymers which could be used in occluding compositions include polymers of the following monomers, the melting points of those polymers being given in parentheses: dodecylvinyl ether (33°), 1,1-dihydroperfluorooctylacrylate (35°), caprylaldehyde (35°), 1-decene (40°), trans-1,2-dichloro-dodecamethylene (40°), vinyl palmitate (41°), hexadecyl-acrylate (43°), 1-  
25 dodecene (45°) and N-hexadecyl-acrylamide (45°).

As briefly noted above, particularly preferred SCC polymers for use in this invention are TPE's containing SCC polymeric blocks. Such TPE's (many of which are novel) are described in detail in copending, commonly assigned US Application  
30 Serial Nos. 07/773, 047, 07/957,270 and 08/048,280, and the corresponding International (PCT) application No. PCT/US92/08508 filed October 6, 1992 (Docket Nos. 9213, 9213.1, 9213.2 and 9213.1PCT). The entire disclosure of each of those four applications is incorporated herein by reference.

35 SCC TPE's are preferred to simple SCC homopolymers or random copolymers because they have better physical properties (in particular are less brittle) at mammalian body temperatures, and because the rate at which they crystallize can be adjusted by changing the proportion of SCC polymer hard blocks in the TPE.

In a preferred class of SCC TPE's, the soft blocks are derived from an amorphous polymer and the hard blocks are derived from an SCC polymer. The TPE will generally contain 10 to 80%, preferably 15 to 60%, particularly 30 to 50%, of the hard block. The SCC hard blocks can be derived from SCC polymers as described  
5 above, particularly those prepared by the polymerization of long chain acrylates, or the like, optionally with one or more other monomers. The soft blocks are preferably polyacrylates, this term being used to include polymers of at least one alkyl acrylate, methacrylate, acrylamide or methacrylamide, optionally with other copolymerizable monomers such as acrylic acid, methacrylic acid, acrylamide, methacrylamide,  
10 acrylonitrile, acrolein, vinyl esters and styrene. Thus we have obtained excellent results using an SCC TPE in which the soft block is an amorphous block derived from butyl acrylate and the hard block is derived from a random copolymer of docosanyl acrylate and stearyl acrylate (also known as octadecyl acrylate).

15 In another class of SCC TPE's, the hard blocks are derived from a first SCC polymer and the soft blocks are derived from a second, lower melting, SCC polymer. Such a TPE will be a rigid solid below the melting point of the second SCC polymer block, an elastomer between the melting points of the first and second SCC polymers, and a viscous liquid above the melting point of the first SCC polymer.

20 The melting point of the SCC TPE's is determined chiefly by the melting point of the SCC hard block, but as noted above, the recrystallization time depends chiefly on the proportion of the SCC hard block. For example TPE's which have poly(butyl acrylate) soft blocks and SCC hard blocks derived from octadecyl and hexadecyl  
25 acrylates have recrystallization times at 25°C of about 16, about 97, and about 154, seconds at SCC hard block concentrations of about 40%, about 30%, and about 20%, respectively.

30 It is also possible to make an SCC TPE which has two different SCC hard blocks. Such an SCC TPE will show bimodal melting behavior.

The polymer in the occluding composition can also be a conventional crystalline polymer, i.e. one whose crystallinity results from main chain crystallization. Most main chain crystallizable polymers (MCCP's) have melting points which are too high  
35 for use in this invention, e.g. 75° to 320°C. However, there are some MCCP's which have melting points in the preferred range of 30° to 50°C. Preferred MCCP's for use in

this invention include water-insoluble polyalkylene oxides, lower alkyl polyesters, and polytetrahydrofuran ( $T_q$  37°C). Other suitable MCCP's are low molecular weight fractions (often referred to as oligomers) of polymers which normally have higher molecular weights and higher melting points. For example oligomers of the formula  
5  $H(CH_2)_nH$  have melting points of about 32-34°C, 36-38°C, 40-42°C and 43°-45°C, when n is 19, 20, 21 and 22 respectively, while polyethylene in which n is more than 1000 has a melting point of 143-145°C. When the polymer is a polyolefin which can exist in different tactic forms, it is important to use a polymer which is in only one of those forms (atactic, syndiotactic or isotactic), so that the melting point of the polymer  
10 is sufficiently sharp.

Specific examples of MCCP's with melting points in 30-50°C range include homopolymers of the following monomers, the melting points of those polymers being given in parentheses. Copolymers of two or more such monomers will have similar  
15 melting points.

2, 2, 3, 3, 4, 4-hexafluoro-(diamine)-pentamethylene adipate (34°), tetramethylene succinate (34°), N,N'-diethyl-4,4'-methylenediphenylene sebacamide (34°), trimethylene malonate (34°), difluoro-methylene sulfide (35°), N,N'-diisopropyl 2, 2,  
20 3, 3, 4, 4-hexafluoro-(diamine)-pentamethylene adipamide (35°), trimethylene oxide (36°), cis-2 methyl-1,3-butadiene (36°), 4-methyl-(R+)-7, hydroxyanthic acid (36°), trimethylene pimelate (37°), hexamethylenedithiotetramethylene disulfide (38°), hexamethyleneoxymethylene oxide (38°), trimethylene glutarate (39°), tetramethylene disulfide (39°), methyleneoxypentamethylene oxide (39°), diethyl-dimethyl-(Si)-O-  
25 phenylene disiloxanylenedipropionamide (40°), O-phenylene disiloxanylenedipropionamide (40°), N,N'-diethyl-4,4'-methylenediphenylene azelaamide (41°), trimethylene suberate (41°), cis-1,4-cyclohexylenedimethylene azelaate (41°), pentamethylene azelaate (41°), trans-1,4-cyclohexylenedimethylene pimelate (42°), tetrafluoro-ethylene oxide (42°), isobutene (44°), isotactic cis-1,3-  
30 pentadiene (44°), pentamethylene disulfide (44°), oxydiethylene sebacate (44°), cyclopropylidenedimethylene oxide (45°), ethylene p-(carboxyphenoxy)-caproate (45°), N,N'-dibutyl-3,3'-dimethyl-(diamine)-4,4'-methylenediphenylene adipamide (45°), N,N'-diisoamyl-3,3'-dimethyl-(diamine)-4,4'-methylene diphenylene adipamide (45°), decamethylene disulfide (45°), trimethylene adipate (45°), and 2,2-dimethyl-(diol)-  
35 trimethylene adipate (45°).

In some cases, it is desirable for the occluding composition to have good adhesion under moist conditions, e.g. to moist skin. In these cases, the polymer can be made more polar by incorporation of polyoxyalkylene units, e.g. polyoxyethylene, polyoxypropylene or polyoxybutylene units.

5

In some cases, it is desirable for the occluding composition to have a good moisture vapor transmission rate (MVTR). In these cases, the polymer preferably contains units derived from one or more hydrophilic monomers, e.g. acrylic acid; acrylamide; hydroxyalkyl acrylates and methacrylates such as hydroxyethyl acrylate and methacrylate and hydroxybutyl acrylate; alkoxy acrylates and methacrylates such as ethoxyethyl acrylate, ethoxyethoxy ethylacrylate, ethyltriglycol methacrylate, and 3-methoxybutyl acrylate; derivatives of polyethylene glycol with molecular weights ranging from 50 to 5,000. These units may be incorporated either into the backbone or as pendant groups.

10  
15

Moisture vapor transmission rates and/or absorptive properties of the occluding compositions may also be modified by the incorporation of soluble or insoluble hydrophilic materials, for example carboxymethyl cellulose, guar gum, carragenan, and cellulose.

20

As briefly noted above, the occluding compositions used in this invention can include other ingredients in addition to the polymer. Such other ingredients include:

(a) Solid particulate fillers; such fillers can be used for example to modify the properties of the composition, in particular the opacity of the composition to x-rays, the physical properties of the solid composition (e.g. tensile strength, impact strength, and elongation), or the physical properties of the composition in the melt or in the transition between solid and melt; thus the filler can act as a heat sink, as a reinforcing filler, as a viscosity modifier, or as a site for initiating crystallization. Such fillers are preferably biologically inert, and include for example radio-opaque pigments in general, gold powder, silver powder, and fumed silica. The filler can be dispersed in the polymer by dissolving the polymer in a suitable solvent, e.g. pentane or toluene, at a temperature above the melting point of the polymer; mixing the filler with the solution; and maintaining the dispersion at an elevated temperature, with constant agitation, until all the solvent has evaporated.

25  
30  
35

(b) Biologically active substances which are distributed in (including chemically attached to) the polymer, and which have a desired effect on the mammal after the occluding composition has solidified. The active substance may for example be released from the plug of occluding composition on a regular or irregular schedule  
5 by natural functions of the body, e.g. by being leached out by natural body fluids, or by some artificial means, for example by fluids introduced into the body for this purpose. Such substances include for example blood coagulating compounds, antibiotics, spermicides, and growth-promoting hormones.

10 Because the rate at which the occluding composition cools is important, the presence of a filler which acts as a heat sink can be very significant. For example the occluding composition can contain 25 to 95%, preferably 40 to 85%, particularly 50 to 80%, by weight, based on the weight of the composition, of a particulate filler having a specific heat of at least 0.02, preferably at least 0.03, cal/g. A preferred filler is gold  
15 powder, preferably having a diameter of 0.5 to 10  $\mu\text{m}$ , e.g. 1 to 3  $\mu\text{m}$ , and preferably in amount 60-80%.

Because the viscosity of the molten composition is important, the presence of a filler which modifies its viscosity can be very significant. For example, fumed silica  
20 can be used to increase the viscosity of the molten composition.

The foregoing disclosure relates to occluding compositions but is also applicable, with variations which will be apparent to those skilled in the art having regard to the disclosure in this specification, to other novel compositions of the  
25 invention.

### Sterilization

In order to make sterile compositions, known sterilization procedures can be  
30 employed. It should be noted, however, that the use of radiation can result in an increase or a decrease in the molecular weight of the polymer, depending on the polymer and the conditions, in particular the temperature, of the radiation. This fact can be put to use in modifying the properties of the composition in a desired way. In general, however, it is preferred to use heat sterilization or another method which does  
35 not substantially change the composition.

### Injecting Devices

When the invention is used to occlude a channel which, under the conditions of treatment, is easily accessible (either because it is at or near the surface of the body, or has been exposed in the course of an invasive operation, the method of the invention preferably makes use of an injecting device comprising (a) a reservoir in which an occluding composition can be maintained in a molten state (sometimes referred to herein as the "preplug") (b) an injection nozzle which communicates with the reservoir and (c) means for exerting pressure on a molten occluding composition in the reservoir so that the composition is extruded through the injection nozzle. Preferably the capacity of the reservoir is equal to the amount of the composition needed for a single use, e.g. 0.0005 to 0.0015 mL. Preferably, the reservoir is filled some time before the device is to be used by placing molten occluding composition in the reservoir, and allowing it to solidify in the reservoir. The device and the composition are generally sterilized together after the composition has been placed in the device. However, it is also possible to sterilize the device and the composition separately, and then to place the composition in the device under sterile (so-called "clean fill") conditions. The filled device is of course maintained under sterile conditions until it is used. At the time of use, the composition is re-melted so that it can be extruded through the nozzle. This remelting can be effected by heating the whole device, e.g. in a water bath, oil bath or oven, or by operation of an electrical heater which forms part of the device. The device can also include an electrical heater which maintains an already molten occluding composition in the molten state, for example a heater which heats the composition as it is being extruded through the nozzle. When the device includes a heater, the heater can be powered by a battery which forms part of the device, or by an external power source. The device can include a means for indicating when the occluding composition is at a suitable temperature, for example a pin which is embedded in the solid composition, e.g. through the injection nozzle, and which can be easily withdrawn when the composition has melted, but not before. The device can be one which is designed for use a single time or multiple times.

When the channel to be occluded is not easily accessible, but can be reached by a catheter or the like, the invention preferably makes use of a catheter which is fitted with an electrical heater at its tip. The catheter is pushed through the vessels of the circulatory system until the tip of the catheter has reached the channel to be occluded. The occluding composition, in the form of a fine filament, is placed in the catheter

before the catheter is pushed into position, or is pushed through the catheter after the catheter has been pushed into position. The heater at the tip of the catheter is used to melt the occluding composition as it is pushed out of the catheter into the channel.

5           The preferred outer diameter of the injection nozzle or catheter will depend on the channel to be occluded, and the preferred inner diameter will depend on the rate at which the composition is to be delivered. For example, to block a canaliculus, a 24 gauge needle (internal diameter 0.037 cm, external diameter 0.057 cm) is generally satisfactory because it fits easily within the opening of the punctum which leads to the  
10 canaliculus; to block fallopian tubes, a 2 mm catheter (internal diameter 0.18 cm, external diameter 0.21 cm) is generally satisfactory; to fill a bone cavity, a 0.64 cm cannula (internal diameter about 0.5 cm, external diameter 0.64 cm) is generally satisfactory.

15           The tip of the injection or nozzle can be shaped for ease of insertion and use. For example, when blocking a canaliculus, a tip having an angle of about 9°, e.g. 6 to 12°, has been found to be particularly satisfactory.

          Injection devices of many kinds are well known in the medical profession,  
20 including plastic tubes, catheters, cannula (including tapered cannula), syringes and hypodermic syringes, and those skilled in the art will have no difficulty, after consideration of the disclosure in this specification, in making injection devices suitable for use in this invention.

#### 25   Methods of Use

          The invention is particularly useful for occluding tear ducts to relieve dry eye syndrome. The occluding composition can be injected through the upper punctum to occlude the upper canalicular channel, or through the lower punctum to occlude the  
30 lower canalicular channel, or both. It is also useful for occluding fallopian tubes to prevent pregnancy; occluding vas deferens to induce sterility; occluding blood vessels to close off blood supply, e.g. to block blood flow to a tumor area or to plug up a hemorrhage in the brain, liver, kidney, or spleen or to correct an abnormality such as arteriovenous anastomosis; occluding a man-made channel, e.g. a temporary cranial tap  
35 to release blood; and occluding bone cavities for pins, particularly for steel pins or spikes in the natural lumen of a finger or limb bone, e.g. in a hip joint replacement. In

the last method, preferably the molten occluding composition is placed in the bone cavity, and while the composition is still hot, the pin or spike, preheated to an appropriate temperature, is pushed into the cavity, displacing the composition so that it flows around the pin or spike and conforms to it and the walls of the channel. Those  
5 skilled in the art will readily recognize, after reading this specification, other situations in which the present invention can be usefully employed.

The amount of the occluding composition which is placed in the channel will of course vary substantially. For example, in the treatment of dry eye, the solidified plug  
10 will usually be about 8 to 12 mm long and about 1-2 mm in diameter. The weight of such a plug, when using a composition containing about 75% of gold powder, is about 40 to 60 g. The time taken to inject the occluding composition should generally be short. For example, an injection time of no more than about 3 seconds is preferred.

15 The molten occluding composition cools and solidifies in the channel, thus forming a plug which at least partially blocks the channel. Preferably the composition completely fills and closely conforms to the channel before it cools, thus forming a plug which completely blocks the channel, but does not dilate it. Preferably the plug is composed of a material which remains solid under normal body conditions and which  
20 thus remains in place until positive steps are taken to remove it.

A particular advantage of this invention is that if it is desired to remove the plug, and thus to reopen the channel, this can be done by simple and reliable methods. In some cases, the solid plug can be extracted from the channel with a suitable gripping  
25 device, or pushed through the channel into another part of the body where it will do no harm. In most cases, however, the plug will be heated and/or contacted by a liquid composition which at least partially dissolves the composition, so that the composition becomes flowable. A preferred liquid composition for this purpose is a lipophilic composition comprising an oil, preferably a natural oil, or a fatty acid ester, which  
30 dissolves into the composition and reduces its melting point. The composition can then be removed from the body, e.g. by gentle suction or gravitational flow, or with the aid of a suitable gripping device, e.g. a cold fine wire which is pushed into the plug so that a portion of the plug cools and is thus secured to the wire, and is then withdrawn. Alternatively the composition can flow into another part of the body where it will do no  
35 harm. The removal of the composition from the channel can be assisted by the flow of

natural body fluids, and/or by the introduction of a fluid, e.g. a saline solution or air, for this purpose.

As previously noted, a preferred use of this invention is to treat dry eye syndrome. Dry eye syndrome is sometimes caused by a failure of the lacrimal glands to produce tears, or by blockage of the tear ducts from the lacrimal glands. When this is the cause, the present invention cannot be used to correct it. In most cases, however, tear production is adequate (even if less than normal) and dry eye syndrome is caused by excessive drainage of the tears through the upper and lower punctal openings which lead to the canalicular channels. The present invention provides an excellent treatment for dry eye caused by excessive drainage, since it can be used to occlude one or more of the canalicular channels. Furthermore, the plugs can be easily removed if it should be found that the cause of the dry eye is not excessive drainage, but inadequate tear production. The temperature of the occluding composition, as it enters the punctum preferably does not exceed 42°C.

When the invention is used for occluding fallopian tubes or vas deferens, it is most important that the channel should be completely blocked. Preferably, therefore, the channel is filled over most or all of its length. The normal temperature of the vas deferens is slightly below 37°C, and this should be remembered when using the method of the invention to occlude the vas deferens or to remove an existing plug in the vas deferens.

The invention is illustrated in the accompanying drawings. Figure 1 is a diagrammatic cross-section of the lacrimal duct system of a human eye in which the lower canalicular channel is being occluded by the method of the invention. The eye includes lower punctum 1 which leads to lower canalicular channel 2, and upper punctum 3 which leads to upper canalicular channel 4. Tears drain from the eye through the canalicular channels, and if the rate of drainage exceeds the rate of tear production, the eye suffers from dry eye syndrome. A flexible nozzle 6 forms part of an injection device (not otherwise shown). Molten occluding composition 7 is being injected into the lower canaliculus. The upper canaliculus is already blocked by a plug 8 of solidified occluding composition previously injected through upper punctum 3.

Figure 2 shows an injection device suitable for use in the method of the invention, in particular for injecting an occluding composition into a canalicular channel

in the treatment of dry eye. The device comprises a stainless steel tube 101 which has been drawn out to form an injection nozzle 102 having an angled tip. The tube could be formed for example from a 6.3 cm length of 19 gauge XTW stainless steel (inner diameter about 0.08 cm) having one end drawn down to an outer diameter of about  
5 0.06 cm and an inner diameter of about 0.025 cm. The tube is surrounded by a first layer 111 of insulation, around which is wrapped an electrical resistance wire heater 12. The heater 12 is encased in a second layer 112 of insulation. The heater 12 is connected via leads 13 to a battery 131 within a case 132. The tube 101 forms a reservoir for occluding composition 14. A plunger 121 closes the end of the tube 101  
10 and can be operated by means of arm 122. A pin 140 closes the nozzle 102. In operation, the heater is switched on to melt the composition 14, and, when the device is to be used, the pin 140 is withdrawn from the nozzle and the composition is extruded through the nozzle by operating the plunger 121.

15 Referring now to Figure 3, a catheter 10 comprises a guided catheter tube 11, a small electrical heater 12 which fits around the tip of the catheter, and lead wires 13 which carry power to the heater 12. The catheter 10 has been pushed through a vein 18 until its tip reaches the site to be occluded. A solid filament 14 of occluding  
20 composition has been pushed through the tube 11, after the catheter has been put into position. The filament is fed through the heated tip of the catheter at a rate such that it melts to form a liquid 15 as it emerges from the tip of the catheter.

Referring now to Figures 4, 5 and 6, these show successive stages in the replacement of a defective femoral ball end 16 by an artificial ball end 21. First, as  
25 shown in Figure 4, the defective ball end is removed. Next the channel 17 within the femur 18 is enlarged so that the pin 22 of the artificial ball end 21 can fit into it. As shown in Figure 5, a quantity of molten occluding composition 21, e.g. at a temperature of about 50°C, is placed in the enlarged channel. Immediately thereafter the  
30 pin 22, preheated to a suitable temperature, e.g. about 50°C, is pushed into the channel, forcing the molten occluding composition to flow around it and along the channel walls, completely filling the channel, as shown in Figure 6. The ball end is held in precisely the correct position until the composition has solidified, thus locking the ball end in place.

35 The invention is further illustrated by the following Examples.

Examples 1-9

In Examples 1-9, the ingredients and amounts thereof shown in Table 1 were used to provide compositions suitable for use in the invention.

5

In Example 1, the polymer and filler were added to toluene (100 parts), the mixture heated to about 75°C, and the solvent removed under vacuum while the mixture was maintained at about 70°C. Similar procedures were used in Examples 1, 2, 6 and 9 to disperse the filler in the polymer.

10

The following tests were carried out.

The composition of Example 1 was heated to 50°C; a glass rod was placed in the composition while it was at 50°C; the viscous composition was cooled to about 15 35°C and at that temperature, the rod was locked in the composition, which was now solid; the composition was reheated to 45°C, when the rod could be extracted from the viscous composition, thickly coated with composition; the coated rod was cooled to room temperature. The composition was opaque to radio waves, and appeared suitable for anchoring a bone pine in a bone cavity. However, its viscosity was somewhat 20 greater and its rate of crystallization somewhat lower than the ideal; a lower molecular weight material would be expected to give better results.

Each of the compositions of Examples 2-6 was heated above its melting point and could then be extruded through a 16 gauge hypodermic needle (inner diameter 25 about 0.14 cm) and, on cooling, crystallized rapidly when it reached a temperature just below the melting point.

In Example 7, the composition was heated to about 50°C and placed in a syringe filled with a blunted 24 gauge needle (outer diameter about 0.06 cm, inner diameter 30 about 0.035 cm). About 10 mg of the hot composition (which had a viscosity of about 22 centipoise at 50°C and about 21 centipoise at 45°C) was then injected into the punctum of the superior canaliculus of a tranquilized dog. The composition immediately solidified. A similar procedure was attempted with the compositions of Examples 8 and 9. In Example 8 the gold filler had a tendency to settle out, and though 35 the fumed silica in Example 9 reduced this tendency, it also increased the viscosity, so that the composition was more difficult to extrude.

Examples 10-17

In Examples 10-17, the ingredients and amounts thereof shown in Table 2 were  
5 used to make SCC polymers suitable for use in the invention.

In Example 10, a mixture of the listed ingredients was added at a rate of 10  
ml/min to a reactor maintained at 100°C, together with t-butyl peroctoate at 0.15  
ml/min. After the addition was complete, the reactor was maintained at 100°C for 2  
10 hours and then cooled. The product was purified by recrystallization from a  
toluene/ethanol mixture, followed by drying under vacuum (yield 80 parts, residual  
C18A 374 ppm, residual C4A, less than 130 ppm, viscosity 1530 cps at 50°C, 2300  
cps at 45°C).

15 A similar procedure was followed in Examples 11-17.

Examples 18-25

Examples 18-25 show the preparation of functionalized SCC polymers  
20 ("macromers") which can be used to provide the hard blocks in TPE's suitable for use  
in the invention. The ingredients and amounts thereof shown in Table 3 were used to  
make SCC polymers having the properties shown in Table 3. These SCC polymers  
were then functionalized by reaction with isocyanatoethyl methacrylate (IEMA).

25 In Example 18, the SCC polymer was prepared by adding the C16A (8 g) and  
C18A (92 g), together with mercaptoethanol (3.6 g) as capping agent and AIBN (1 g)  
as initiator, to toluene (200 mL), and heating the reaction mixture under nitrogen at  
60°C for 14 hours with stirring, and then at a higher temperature to destroy residual  
AIBN. After cooling, IEMA (8.5 g) and dibutyl tin dilaurate (1 drop) were added, and  
30 the reaction mixture stirred at room temperature for 16 hours. The functionalized SCC  
polymer was precipitated by the addition of ethanol, filtered and dried under reduced  
pressure. The ethanol extracts residual monomers and reacts with any residual IEMA.

A similar procedure was followed in Examples 19-25, with appropriate  
35 modifications, e.g. to control the molecular weight.

Examples 26-42

In Examples 26-42, the macromers made in Examples 18-25 were used to make TPE's by reaction with butyl acrylate, using the ingredients and amounts thereof shown in Table 4.

The TPE of Example 26 was prepared by adding the macromer of Example 8 (40 g), the butyl acrylate (60 g), dodecyl mercaptan (0.4 g), and AIBN (1 g) to toluene (200 mL), and heating at 60°C under nitrogen for 14 hours with stirring. The reaction mixture was cooled and poured into ethanol to recover the TPE as a precipitate, and the precipitate was dried at elevated temperature under reduced pressure (yield 80 g, residual C18MA 562 ppm, residual C4A 624 pm, viscosity 7200 cps at 50°C, 16,000 cps at 46°C).

The TPE's of Examples 27 to 42 were prepared similarly.

Example 43

The TPE of Example 41 (100 parts) was blended with gold powder (spherical particles, 1.5 - 3.0 micron, 250 parts) by a procedure similar to Example 1. The composition was heated to 50°C and loaded into a small hydraulic applicator whose exit port was a No. 80 drill hole (diameter about 0.03 cm). After cooling to 23°C, it was impossible to force any of the composition out of the exit port. The applicator and composition were then heated to about 50°C, and about 10 mg of the composition was injected into the punctum of the superior canaliculus of a tranquillized dog. The composition formed a plug which could be seen under X-rays and which was in the same place after 60 days, at which time it was washed out with isotonic sterile saline solution at 46°C.

Example 44

The procedure of Example 43 was followed using the TPE of Example 33. The plug could be seen under X-rays after 3 hours but not after 48 hours, presumably because the temperature of the canaliculus was high enough to prevent the composition from solidifying.

Example 45

The TPE of Example 42 (2.5 g) was dissolved in 10 g of pentane (Omni-Solv Px0167) using a sonic agitator and replacing any pentane which evaporates. To this  
5 solution was added 7.5 g of gold powder (1.5-3.0 micron in diameter, purity 99.9+%, available from Leico Industries Inc.). The mixture was maintained at about 50°C, with constant agitation, for about 3 hours, at which time all the pentane had evaporated. The resulting filled polymer, after it had been sterilized, was suitable for occluding the canaliculus of a human being

TABLE 1

INGREDIENTS	EXAMPLE NO.								
	1	2	3	4	5	6	7	8	9
Poly(cis-isoprene) <sup>1</sup>	93	-	-	-	-	-	-	-	-
Poly(tetrahydrofuran) <sup>2</sup>	-	100	100	-	-	-	-	-	-
Poly(trimethylene glutarate) <sup>3</sup>	-	-	-	100	100	-	-	-	-
Poly(trimethylene adipate) <sup>3</sup>	-	-	-	-	-	100	-	-	-
Heneicosane <sup>4</sup>	-	-	-	-	-	-	100	100	100
Silver Powder	7	-	-	-	-	150	-	-	-
Gold Powder <sup>5</sup>	-	-	-	-	250	-	-	300	300
Fumed Silica <sup>6</sup>	-	10	-	-	-	-	-	-	10
Melting Point °C	-	43	37	40	40	45	43	37	40

1. Molecular weight about 800,000, available from Aldrich Chemical Co.
- 5 2. Available from PolySciences.
3. Available from Monomer-Polymer and Dajac Laboratories Inc.
4. CH<sub>3</sub> (CH<sub>2</sub>)<sub>19</sub> CH<sub>3</sub>, available from Aldrich Chemical Co.
5. Spherical particles of diameter 1.5-3.0 micron.
6. SiO<sub>2</sub>, particle size about 0.007 micron.

TABLE 2

INGREDIENTS	EXAMPLE NO.							
	10	11	12	13	14	15	16	17
Octadecyl acrylate <sup>1</sup>	84	96	92	89	88	86	80	76
Butyl Acrylate	16	4	8	11	12	14	20	24
Dodecyl Mercaptan	1.86	1.86	1.86	1.86	1.86	1.86	1.86	1.86
<b>PROPERTIES</b>								
M <sub>w</sub>	19k	17k	19.5k	20.5k	22k	31k	18k	18k
M <sub>w</sub> /M <sub>n</sub>	1.6							
DSC peak °C (T <sub>m</sub> )	38.6	44.5	44.5	40.2	40.6	38.4	36.3	35.0

1. A mixture of long chain alkyl acrylates containing at least 90% octadecyl acrylate  
5 with the remaining alkyl radicals containing at least 14 carbon atoms.

TABLE 3

INGREDIENTS	EXAMPLE NO.							
	18	19	20	21	22	23	24	25
Hexadecyl acrylate	8	-	-	-	-	-	-	-
Octadecyl acrylate	92	100	-	15	25	-	-	-
Octadecyl methacrylate	-	-	100	85	75	66	60	50
Docosanyl methacrylate	-	-	-	-	-	34	40	50
<b>PROPERTIES</b>								
M <sub>w</sub>	3900	3900	3900	3600	3900	4300	3400	4200
M <sub>w</sub> /M <sub>n</sub>	1.34	1.33	1.32	1.32	1.33	1.3	-	1.25
DSC onset °C	39	42	33	33	33	34	37.5	40.5
DSC peak °C (T <sub>m</sub> )	42	45	36	36	37	42	-	44.2
Heat of fusion J/g	83	118	74	76	79	69	-	79

TABLE 4

INGREDIENTS OF TPE				PROPERTIES OF TPE					
Ex. No.	Macromer		C4A	M <sub>w</sub>	M <sub>w</sub> /M <sub>n</sub>	DSC			XL Time at 25°C sec
	Example	Amt				Amt	T <sub>o</sub> (Onset) °C	T <sub>q</sub> (Peak) °C	
26	18	40	60	96k	3.5	34	39	16	-
27	19	40	60	184k	5.9	38	43	28	>15
28	19	40	60	48k	2.3	39	42	33	>15
29	19	40	60	103k	3.9	37	43	30	>15
30	20	40	60	40k	2.2	37	41	28	16
31	20	40	60	80k	3.1	38	41	28	24
32	21	40	60	48k	3.0	30	33	16	54
33	22	40	60	52k	2.5	30	33	17	29
34	22	30	70	56k	2.6	29	32	11	51
35	18	30	70	55k	2.6	27	29	9	97
36	22	20	80	57k	2.8	28	31	9	135
37	18	20	80	53k	2.3	25	29	8	154
38	23	40	60	55k	2.1	38	43	13	25
39	23	30	70	64k	2.1	36	42	13	25
40	23	20	80	63k	2.0	35	40	6	17
41	23	30	70	96k	2.8	37	43	10	20
42	25	40	60	151k	6.75	36	40	25.7	

Note: The TPE of Example 42 had a Recrystallization Time at 35°C of about 77  
5 seconds, a Young's Modulus of about 2000 psi (140 kg/cm<sup>2</sup>) and an elongation of about 37%.

CLAIMS

1. A method of occluding a channel in a living mammal, said channel having a temperature  $T_L$  in the living mammal, which method comprises
  - (1) heating a thermoplastic occluding composition which is solid at  $T_L$  to a temperature at which the composition is flowable;
  - (2) placing the heated composition in the channel; and
  - (3) allowing the composition to cool and solidify in the channel.
  
2. A method according to claim 1 wherein the occluding composition comprises a crystalline polymer having a crystalline melting point of 30 to 50°C, preferably 34 to 45°C, particularly 38-42°C.
  
3. A method according to claim 1 wherein the mammal is a human being, the channel is a tear duct, a fallopian tube, a vas deferens, an artery, a blood vessel, or a cavity in a bone, and the occluding composition comprises a crystalline polymer having a melting point of 37 to 50°C.
  
4. A method according to claim 1, 2 or 3 wherein the occluding composition comprises a polymer comprising repeating units of the formula
 
$$\begin{array}{c} \text{-Y-} \\ | \\ \text{Cy} \end{array}$$

wherein Y is a divalent organic radical and Cy comprises a crystalline moiety, preferably a thermoplastic elastomer wherein said repeating units of the formula

$$\begin{array}{c} \text{-Y-} \\ | \\ \text{Cy} \end{array}$$

provide at least part of the hard block in the thermoplastic elastomer.
  
5. A composition according to claim 1, 2 or 3 wherein the occluding composition comprises a thermoplastic elastomer wherein the soft block is an amorphous polyacrylate block, and the hard blocks extend from the backbone of the polyacrylate soft block and comprise 85-100% of units derived from at least one alkyl acrylate or methacrylate wherein the alkyl group contains 14-22 carbon atoms, the elastomer containing 15 to 60%, preferably 30 to 50%, of the hard block.

6. A method according to claim 1, 2 or 3 wherein the composition comprises at least one additive which is

- (a) an additive which is opaque to X-rays;
- (b) a particulate filler which has a specific heat of at least 0.02 cal/g, preferably at least 0.03 cal/g; or
- (c) a biologically active substance, in particular a blood-coagulant, antibiotic, spermicide or growth-promoting hormone;

said additive preferably being gold powder present in amount at least 25% by weight of the composition.

7. An occluding composition which is suitable for use in a method as claimed in claim 1 and which comprises

- (1) a crystalline polymer having a melting point of 30° to 50°C, and
- (2) distributed in the polymer, at least one additive which is
  - (a) an additive which is opaque to X-rays;
  - (b) a particulate filler which has a specific heat of at least 0.02 cal/g, preferably at least 0.03 cal/g; or
  - (c) a biologically active substance, in particular a blood-coagulant, antibiotic, spermicide or growth-promoting hormone;

said additive preferably being gold powder present in amount at least 25% by weight of the composition.

8. A sterile composition which comprises (a) a crystalline polymers having a melting point of 30° to 50°C, or (b) a polymer comprising repeating units of the formula



wherein Y is a divalent organic radical

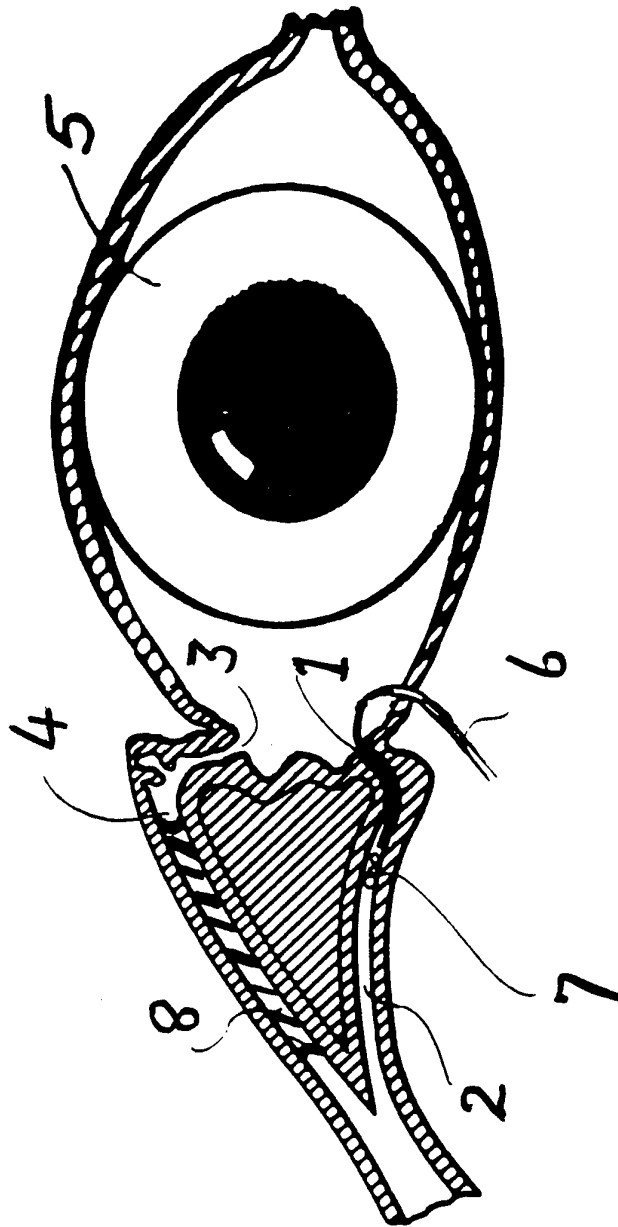
and

Cy comprises a crystallizable moiety.

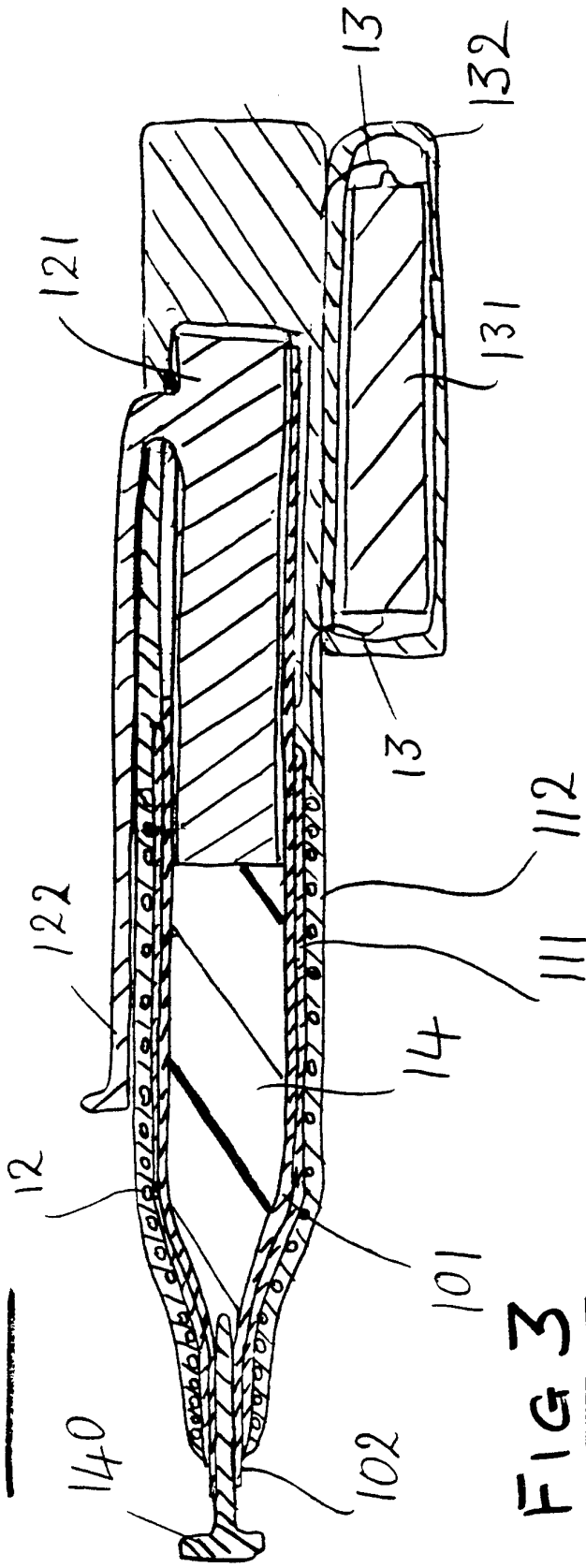
preferably a thermoplastic elastomer wherein the hard block comprises repeating units of said formula -Y(Cy)- and the Y radical forms part of the backbone of the block.

9. An injecting device which is suitable for use in a method as claimed in claim 1, said device comprising
- (a) a reservoir which contains a solid occluding composition;
  - (b) an injection nozzle which communicates with the reservoir; and
  - (c) pressure means for exerting pressure on the occluding composition so that if the composition is molten, it can be extruded through the injection nozzle by operating the pressure means;
- and preferably
- (d) an electrical heater which, when connected to a suitable power source, will heat the occluding composition so that it is molten.
10. A method of removing an occluding plug from a channel in a living mammal, said channel having a temperature  $T_L$  in the living mammal, and said plug being composed of a thermoplastic occluding composition which is solid at  $T_L$ , and said plug preferably having been placed in the heated channel by a method as claimed in claim 1, 2 or 3, which method comprises (a) heating said plug to a temperature at which it will flow out of the channel or (b) contacting said plug with a liquid which lowers the melting point of the plug so that it flows out of the channel.

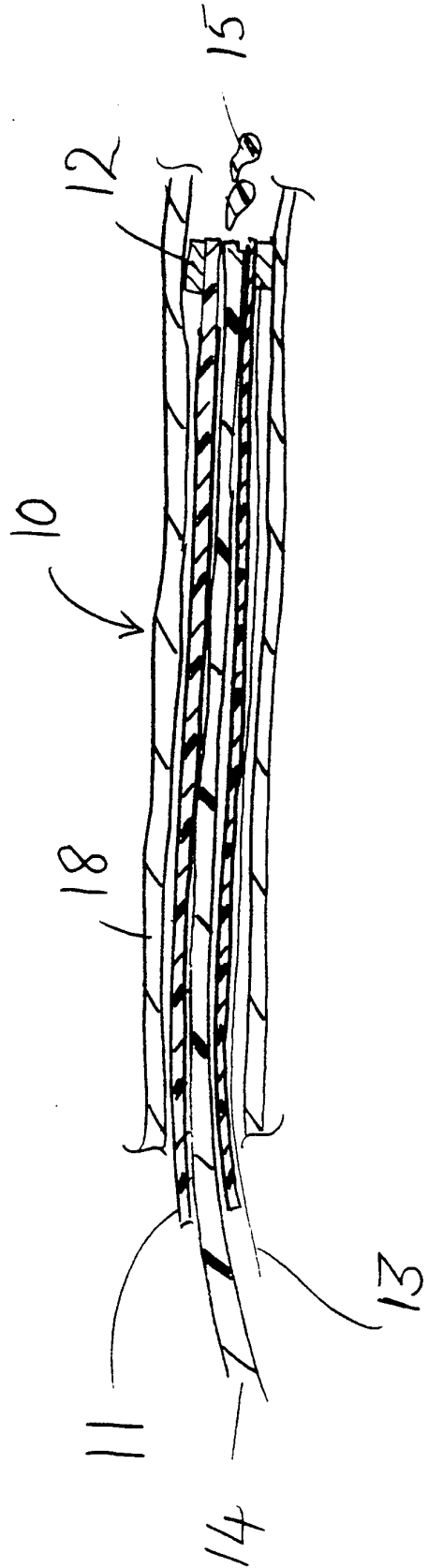
FIG 1

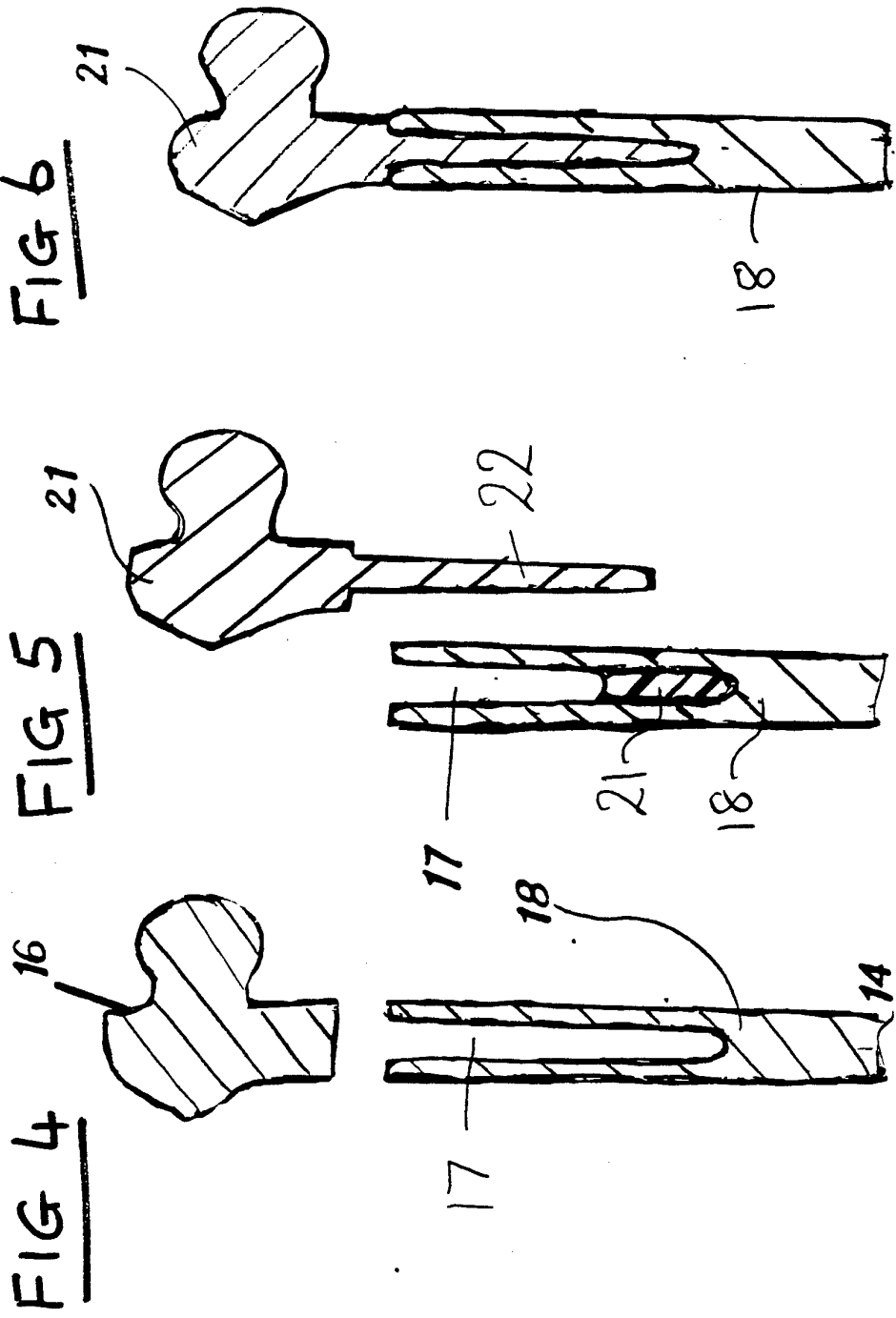


**FIG 2**



**FIG 3**





## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 93/08245

A. CLASSIFICATION OF SUBJECT MATTER IPC 5 A61L31/00 A61F9/00 A61F6/22 A61B17/12 A61B17/00		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) IPC 5 A61L A61F A61B		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO,A,90 13420 (LANDEC) 15 November 1990 see page 14, line 12 - line 16 see page 19, line 1 - line 35 see page 20, line 1 - line 2; claims 1-3 ---	5
A	US,A,3 422 813 (BRALEY S.A.) 21 January 1969 ---	
A	GB,A,2 223 025 (SHENGCAI Z.) 28 March 1990 ---	
A	WO,A,81 00701 (POPULATION RESEARCH INCORPORATED) 19 March 1981 -----	
<input type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family		
Date of the actual completion of the international search  16 December 1993		Date of mailing of the international search report  29. 12. 93
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer  Peltre, C

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 93/08245

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
REMARK: Although claims 1-4,10 are directed to a method of treatment of  
the human/animal body the search has been carried out and based on the alle  
ged effects of the compound/composition.
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such  
an extent that no meaningful international search can be carried out, specifically:
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all  
searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment  
of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report  
covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is  
restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 93/08245

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-9013420	15-11-90	US-A- 5156911	20-10-92
		AU-A- 5549590	29-11-90
		CA-A- 2015753	11-11-90
		EP-A- 0471767	26-02-92
		JP-T- 4507425	24-12-92
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US-A-3422813	21-01-69	NL-A- 6608518	22-12-66
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GB-A-2223025	28-03-90	NONE	
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WO-A-8100701	19-03-81	EP-A- 0036871	07-10-81
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