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| <b>(21) International Application Number:</b> PCT/US91/01010<br><b>(22) International Filing Date:</b> 14 February 1991 (14.02.91)<br><br><b>(30) Priority data:</b><br>512,644                      20 April 1990 (20.04.90)                      US<br><br><b>(71) Applicant:</b> APPLIED BIOSYSTEMS, INC. [US/US]; 850<br>Lincoln Centre Drive, Foster City, CA 94404 (US).<br><br><b>(72) Inventors:</b> STEC, Wojciech, J. UZNANSKI, Bogdan Dept.<br>of Bioorganic Chemistry, Centre of Molecular and Mac-<br>romolecular Studies, Polish Academy of Sciences, Bocz-<br>na 5, 90-362 Lodz (PL). BERGOT, B., John ; 3895 Au-<br>tumn Drive, Redwood City, CA 94061 (US). HIRSCH-<br>BEIN, Bernard, L. ; 1629 York Street, San Francisco,<br>CA 94110 (US). FEARON, Karen, L. ; 4339 Redlands<br>Street, Union City, CA 94587 (US). |           | <b>(74) Agent:</b> SMITH, Joseph, H.; Applied Biosystems, Inc., 850<br>Lincoln Centre Drive, Foster City, CA 94404 (US).<br><br><b>(81) Designated States:</b> AT (European patent), BE (European<br>patent), CH (European patent), DE (European patent),<br>DK (European patent), ES (European patent), FR (Eu-<br>ropean patent), GB (European patent), GR (European<br>patent), IT (European patent), JP, LU (European pa-<br>tent), NL (European patent), SE (European patent).<br><br><b>Published</b><br><i>With international search report.</i> |
| <b>(54) Title:</b> METHOD OF SYNTHESIZING SULFURIZED OLIGONUCLEOTIDE ANALOGS<br><br><b>(57) Abstract</b><br><br>A method for synthesizing sulfurized oligonucleotide analogs, such as phosphorothioate and phosphorodithioate analogs, is provided that employs a thiophosphorus compound, such as a thiophosphoric, dithiophosphoric, thiophosphinic, or dithio-<br>phosphinic acid disulfide or polysulfide, as a sulfurizing agent. The method of the invention may be used to sulfurize any phos-<br>phorous(III)-containing intermediate. Preferably, the method is practiced on a commercial DNA synthesizer using phosphoram-<br>idite and/or phosphorothioamidite intermediates.                                                                                                                                               |           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |

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METHOD OF SYNTHESIZING SULFURIZED OLIGONUCLEOTIDE ANALOGSField of the Invention

The invention relates generally to the synthesis of oligonucleotides, and more particularly, to a method for  
10 sulfurizing oligonucleotides with thiophosphorus compounds to form oligodeoxyribonucleoside phosphorothioates and/or phosphorodithioates.

BACKGROUND

15 With the development of efficient methods of synthesis, interest has arisen in the use of anti-sense oligonucleotides to treat a variety of diseases, particularly viral infections, e.g. Matsukura et al, Proc. Natl. Acad. Sci., Vol. 86, pgs. 4244-4448 (1989). An  
20 antisense oligonucleotide is a synthetic oligonucleotide of varying length, usually in the range of about 12 to 30 nucleotides, or nucleotide analogs, whose sequence is complementary to a predetermined segment of the RNA, either freshly transcribed or the messenger  
25 (mRNA), critical to some viral function. It is believed that when an antisense oligonucleotide hybridizes to its target RNA, it either blocks translation or processing of the RNA or makes it susceptible to enzymatic degradation.

One problem with this approach has been the  
30 difficulty of getting the antisense oligonucleotide to its target RNA in sufficient concentration and for sufficient duration to be effective in shutting down the synthesis of undesired proteins, e.g. viral enzymes, coat proteins, and the like. The susceptibility of the phosphodiester

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linkage of the oligonucleotides to nuclease digestion is believed to be an important cause of this difficulty, and has prompted the development of a variety of nucleoside oligomers linked by nuclease-resistant analogs of the natural phosphodiester bond, e.g. Miller et al, U.S. patent 4,511,713 and Ts'o U.S. patent 4,469,863 (methyl- and arylphosphonates); Miro et al, Nucleic Acids Research, Vol. 17, pgs. 8207-8219 (1989) (phosphoroselenoates); Brill et al, J. Am. Chem. Soc., Vol. 111, pg. 2321 (1989) (phosphorodithioates); and Matsukura et al, Proc. Natl. Acad. Sci., Vol. 84, pgs. 7706-7710 (1987), and Gene, Vol. 72, pgs. 343-347 (1988) (phosphorothioates).

The phosphorothioate and phosphorodithioate analogs are especially promising because they are highly nuclease-resistant, have the same charge as natural oligonucleotides, and are taken up by cells in effective amounts.

Phosphorothioates are conveniently synthesized by automated DNA synthesizers using hydrogen phosphonate chemistry, which permits the phosphonate backbone to be sulfurized in a single step off of the automated synthesizer after synthesis. This is advantageous because the phosphonate moieties are sulfurized by exposure to elemental sulfur dissolved in an organic solvent. Since the sulfur readily precipitates out of solution, the off-column sulfurization avoids costly blockages of valves and tubing of the synthesizer by sulfur precipitates. A drawback of this route of phosphorothioate synthesis is that coupling yields during chain elongation are typically lower than those obtained using phosphoramidite chemistry, Gaffney and Jones, Tett. Lett., Vol. 29, pgs. 2619-2622 (1988). The practical importance of high coupling yields is demonstrated by the synthesis of a 28-mer where a 99% coupling yield per step results in an overall yield of 76%

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(.99<sup>27</sup>), whereas a 96% yield per step results in an overall yield of only 33% (.96<sup>27</sup>).

Phosphoramidite chemistry, with coupling yields typically greater than 99%, would be a highly desirable approach to phosphorothioate and phosphorodithioate synthesis. However, the phosphite intermediates, which would be sulfurized, are unstable under the conditions of the detritylation step of the reaction cycle. This requires that the phosphite linkage be sulfurized after each coupling step. For practical purposes, such sulfurizations would have to be carried out on an automated synthesizer, but the sulfur precipitation problem discussed above precludes the use of any of the commercially available machines. Moreover, the sulfurization rate of the phosphites is relatively slow and suffers from side reactions that lead to increased contamination of the final product.

In view of the desire to employ phosphorothioate and phosphorodithioate analogs of oligonucleotides as pharmaceutical compounds, it would be advantageous to have available a method for sulfurizing that achieved the highest possible yields of completely sulfurized analogs and that was amenable for use with automated synthesizers, particularly with phosphoramidite and/or phosphorothioamidite chemistries.

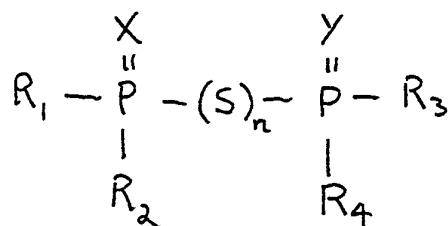
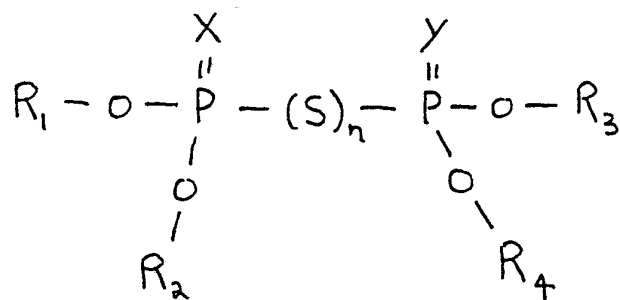
#### SUMMARY OF THE INVENTION

The invention relates to a method of synthesizing sulfur-containing analogs of oligonucleotides, particularly but not exclusively, phosphorothioate and phosphorodithioate analogs. The method of the invention comprises the step of treating phosphorous(III) linkages of the intermediates of the desired analog with a thiophosphorus compound selected from the group defined by

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Formula I and Formula II to obtain the desired analog. In particular, when phosphoramidite chemistry is employed the phosphorous(III) linkage is a phosphite and the end product is a phosphorothioate, when phosphorothioamidite chemistry is employed the phosphorous(III) linkage is a thiophosphite and the end product is a phosphorodithioate, and when hydrogen phosphonate chemistry is employed the phosphorous(III) linkage is a hydrogen phosphonate diester and the end product is a phosphorothioate.

Preferably, the thiophosphorus compounds used in the invention are selected from the group consisting of thiophosphoric, dithiophosphoric, thiophosphinic, and dithiophosphinic acid polysulfides. More particularly, the thiophosphorus compounds defined by the formulas:

Formula IFormula II

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wherein:

X is oxygen or sulfur; and most preferably, X is sulfur.

Y is oxygen or sulfur; and most preferably, Y is sulfur.

n is within the range of 2-10, inclusive, and most preferably, n is 2.

R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are inert side chains that can vary greatly in composition. Generally, they should not contain reactive groups that could lead to side reactions and inefficient sulfurization, and when taken together, they should permit the thiophosphorus compound to be soluble to an effective concentration. Preferably, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> separately are alkyl, alkenyl, aryl, acyl, aralkyl, cycloalkyl, or cycloalkylalkyl containing up to 10 carbon atoms; halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted alkyl, alkenyl, aryl, acyl, aralkyl, cycloalkyl, or cycloalkylalkyl containing up to 10 carbon atoms; a heterocycle containing from 1 to 3 heteroatoms of nitrogen, oxygen, or sulfur, and from 2 to 8 carbon atoms; or a lower alkyl-, halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted heterocycle containing from 1 to 3 heteroatoms of nitrogen, oxygen, or sulfur, and from 2 to 8 carbon atoms. More preferably, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are separately lower alkyl; lower alkenyl; or cycloalkylalkyl, aryl or aralkyl containing up to 8 carbon atoms; halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted lower alkyl, lower alkenyl; or lower alkyl-, halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted aryl or aralkyl containing up to 8 carbon atoms; morpholinyl; thiomorpholinyl; piperidinyl; piperazinyl; or lower alkyl-, halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted morpholinyl; thiomorpholinyl; piperidinyl; piperazinyl. In further preference, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are separately

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5 methyl, ethyl, propyl, isopropyl, n-butyl, sec-butyl, tert-butyl, n-pentyl, cyclopentyl, cyclopentylmethyl, isopentyl, neopentyl, n-hexyl, neohehexyl, isohexyl, cyclohexyl, cyclohexylmethyl, 2-ethylhexyl, beta-cyclopentylethyl; methyl-, ethyl-, methoxy-, nitro-, or halo-substituted phenyl; or methyl-, ethyl-, methoxy-, nitro- or halo-substituted benzyl. Preferably, the halo-substituents of the substituted phenyl or benzyl are chloro or bromo. Most preferably, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are  
10 separately methyl, ethyl, n-propyl, isopropyl, or 2-ethylhexyl.

The term "lower alkyl" as used herein denotes straight-chain, branched-chain, and cyclic alkyl groups containing from 1-8 carbon atoms, e.g. methyl, ethyl,  
15 propyl, isopropyl, tert-butyl, isobutyl, sec-butyl, neopentyl, tert-pentyl, cyclohexyl, and the like. Likewise, the term "lower alkenyl" as used herein denotes straight-chain, branched-chain, and cyclic alkenyl groups containing from 2 to 8 carbon atoms.

20 The term "oligonucleotide" as used herein includes linear oligomers of natural or modified nucleosides or of non-nucleosidic analogs linked by phosphodiester bonds or analogs thereof ranging in size from a few monomeric units, e.g. 2-3, to several hundred monomeric units. In  
25 particular, the term includes non-natural oligomers having phosphorus-containing linkages whose phosphorous(III) precursors are amenable to sulfurization, e.g. Takeshita et al, J. Biol. Chem., Vo. 282, pgs. 10171-10179 (1987); and Eapienis et al, pgs. 225-230 in, Bruzik and Stec,  
30 eds., Biophosphates and Their Analogs--Synthesis, Structure, Metabolism, and Activity (Elsevier, Amsterdam, 1986).

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DETAILED DESCRIPTION OF THE INVENTION

The invention includes a method of synthesizing phosphorothioates and phosphorodithioates. An important feature of the invention is the step of reacting phosphorous III-containing moieties of oligonucleotide intermediates with a thiophosphorus compound selected from Formula I or Formula II to bring about sulfurization. Because the thiophosphorus compounds of Formulas I and II are efficient sulfurizing agents that do not precipitate out of solution, the invention is particularly useful in the automated synthesis of phosphorothioate and phosphorodithioate analogs of oligonucleotides by all the commercially viable approaches, including hydrogen phosphonate, phosphoramidite, or phosphorothioamidite chemistries.

Detailed procedures for the phosphoramidite, phosphorothioamidite, and hydrogen phosphonate methods of oligonucleotide synthesis are described in the following references, which are incorporated by reference:

Caruthers et al, U.S. Patents 4,458,066 and 4,500,707;  
Koester et al, U.S. patent 4,725,677; Matteucci et al, J. Amer. Chem. Soc., Vol. 103, pgs. 3185-3191 (1981);  
Caruthers et al, Genetic Engineering, Vol. 4, pgs. 1-17 (1981); Jones, chapter 2, and Atkinson et al, chapter 3, in Gait, ed., Oligonucleotide Synthesis: A Practical Approach (IRL Press, Washington, D.C., 1984); Froehler et al, Tetrahedron Letters, Vol. 27, Pgs. 469-472 (1986);  
Garegg et al, Tetrahedron Letters, Vol. 27, pgs. 4051-4054 and 4055-4058 (1986); Andrus et al, U.S. patent 4,816,571;  
Brill et al, J. Am. Chem. Soc., Vol. 111, pgs. 2321- (1989); and Froehler et al, Nucleic Acids Research, Vol. 14, pgs. 5399-5407 (1986).

Thiophosphoric, dithiophosphoric, thiophosphinic, and dithiophosphinic acid disulfides of the invention are

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readily prepared by oxidation of the salts, e.g. triethylammonium, sodium, and the like, of the corresponding thiophosphoric, dithiophosphoric, thiophosphinic, or dithiophosphinic acids with oxidizing agents such as iodine, bromine, and the like. Methods of synthesis and properties of these disulfides are described in the following references which are incorporated by reference: Shishkov et al, Nauch. Tr., Plovdivski Univ., Mat., Fiz., Khim., Biol., Vol. 10, pgs. 117-122 (1972); Almasi et al, Monatsh. Chem., Vol. 100, pgs. 798-805 (1969); Krawczyk et al, Phosphorous Sulfur, Vol. 9, pgs. 189-192 (1980); Komber et al, Phosphorous Sulfur, Vol. 35, pgs. 335-343 (1988); and Haegele et al, Z. Naturforsch., Teil B, Vol. 29, pgs. 349-357 (1974). Many of the acid precursors to the above disulfides are commercially available materials which are used industrially as solvent extraction and floatation agents. Examples include bis(2,4,4-trimethylpentyl)-dithiophosphinic acid and bis(2,4,4-trimethylpentyl)-monothiophosphinic acid (American Cyanamide) and bis(n-propyl)-dithiophosphoric acid and bis(2-ethylhexyl)-dithiophosphoric acid disulfide (ELCO). The synthesis of the dithiophosphoric acid compounds involves the reaction of the appropriate alcohol with phosphorous pentasulfide. The reaction product mixture generally contains varying amounts of the bis(alkyl)-dithiophosphoric acid thioanhydride (monosulfide), bis(alkyl)-dithiophosphoric acid disulfide, and bis(alkyl)-dithiophosphoric acid polysulfides, as well as the bis(alkyl)-dithiophosphoric acid product. Although the bis(alkyl)-dithiophosphoric acid may be purified and then oxidized to the disulfide, the entire reaction product mixture may be oxidized to produce a mixture of monosulfides, disulfides, and polysulfides which comprises a useful sulfurizing reagent.

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When employed as a sulfurizing agent in the hydrogen phosphonate approach, a thiophosphorous compound of the invention is delivered to the completed oligonucleotide chain in a suitable organic solvent, such as acetonitrile, pyridine, tetrahydrofuran, dichloromethane, or the like, in a concentration of between about .01 M to about 2.0 M. Preferably, the sulfurization is accomplished on an automated DNA synthesizer, e.g. an Applied Biosystems model 380B, or like machine.

Most preferably, the compounds of the invention are employed as a sulfurizing agents in the phosphoramidite or phosphorthioamidite approaches. A thiophosphorus compound of the invention is delivered to the growing oligomer as a separate step within each addition cycle. Generally, the addition cycles of these methods of synthesis involve the following steps: (1) deblocking a blocked functionality (usually a 5'-tritylated hydroxyl) on the growing correct-sequence chain, or on the initial monomer attached to a solid phase support, to form a reactive functionality (e.g. a 5'-hydroxyl), (2) reacting an appropriately blocked and protected nucleoside phosphoramidite or phosphorthioamidite monomer or analog thereof (usually in the presence of an activator, e.g. tetrazole) with the reactive functionality of the growing correct-sequence chain, (3) capping unreacted reactive functionalities, and (4) oxidizing the newly formed phosphorous(III) linkage to form the naturally occurring pentacoordinate state. The sequence of above steps (3) and (4) can be reversed. The term "protected" in reference to monomer, particularly nucleoside phosphoramidites or phosphorthioamidites, means that moieties such as exocyclic nitrogens, 2'-hydroxyls, oxygens bonded to the phosphorous, or the like, have protection groups (usually base-labile)

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attached which are removed after synthesis is completed, e.g. as those described in Koester et al (cited above), or in Molko et al, European patent publication no. 241,363 dated 14 October 1987. The  
5 term is also meant to include monomers which may not have moieties requiring protective groups, e.g. some nucleoside analogs, abasic nucleosides, and the like. In the method of the invention, the thiophosphorus compounds defined by Formulas I and II are employed as  
10 sulfurizing agents in place of the oxidation step. Preferably, such a thiophosphorus compound is delivered to the growing oligomer in a suitable organic solvent, such as acetonitrile, tetrahydrofuran, dichloromethane, or the like, in a concentration of between about .01 M  
15 to about 2.0 M. Preferably, the step of sulfurizing with a thiophosphorus compound of Formula I or II is accomplished on an automated DNA synthesizer. In both approaches a wide variety of reaction temperatures may be used. Preferably, the sulfurization is carried out  
20 at a temperature in the range of 0°C to 100°C, and more preferably, in the range of 15°C to 60°C.

#### EXAMPLE 1

##### Synthesis of Bis(diisopropoxyphosphinothioyl) disulfide 25 (O,O-diisopropylphosphorodithioic acid disulfide)

PART A: Into 50 ml of absolute isopropanol was added at room temperature with stirring 20 g of phosphorous pentasulfide (Fluka) in small portions. Hydrogen sulfide is release and needs to be trapped. The reaction mixture  
30 was stirred at room temperature for about 3 hours until a clear, transparent liquid was obtained. This solution was concentrated by rotary evaporation and the residue was distilled with a 30 cm Vigroux column, collecting the fraction at 57-58°C under 0.02 mm Hg to give 34 g of O,O-

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diisopropylphosphorodithioic acid.

PART B: To a stirred solution of 19.4 g of O,O-diisopropylphosphorothioic acid in 30 ml of methylene chloride, cooled in an ice bath, was added dropwise 9.3 g (12.6 ml) of triethylamine. This solution was cooled below 5°C and 12.5 g of iodine was added in small portions, maintaining the temperature below 10°C. After 0.5 hours of stirring, the reaction mixture was extracted three times with water, dried over anhydrous magnesium sulfate, and filtered. Ethanol (100 ml) was added to the solution which was then concentrated by rotary evaporation, during which pale-yellow crystalline bis(diisopropoxyphosphinothioyl) disulfide was formed. This material was collected by filtration and washed with cold ethanol and dried to give 16.7 g of pure product. The  $^{31}\text{P}$  NMR spectra was a single peak at 82.6 ppm ( $\text{H}_3\text{PO}_4$ , external reference). M.P. 92-93°C.

## EXAMPLE 2

### Synthesis of a 27-base Phosphorothioate Oligonucleotide Using O,O-Diisopropylphosphorodithioic Acid Disulfide as a Sulfurizing Agent

A 27-base phosphorothioate oligonucleotide, 5'-TCGTCTTGTCCTCGTCATCGTTGCCCT-3' was synthesized by the phosphoramidite method on an automated synthesizer (model 380B Applied Biosystem, Foster City, CA). The standard synthesis protocol was followed, except that in place of the oxidation step a sulfurization step was substituted, and this step preceded the capping step. In other words, the synthesis consisted of repeated cycles of detritylation, coupling, sulfurization, and capping. Separation of the final product from the synthesis column and purification were accomplished by standard means. The sulfurization step was accomplished by exposing the

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growing chain to a 0.2 M solution of O,O-diisopropylphosphorodithioic acid disulfide in pyridine for 1 minute at room temperature.

5 The yield of trityl cation released during the detritylation steps averaged 99%. The trityl yield is a both a measure of coupling efficiency and a measure of the extent of sulfurization, since non-sulfurized (or oxidized) trivalent phosphorous linkages in the oligonucleotide are labile to cleavage during  
10 detritylation.

The 27-mer was cleaved from the support and deprotected with concentrated ammonium hydroxide at 55°C for 6 hours. The trityl-on oligonucleotide was isolated by HPLC, detritylated, and precipitated as the sodium  
15 salt. The  $^{31}\text{P}$ -NMR spectra (JEOL, 36.5 MHz, ppm vs  $\text{H}_3\text{PO}_4$  external reference) of the product showed greater than 98.5% sulfur incorporation (55.1 ppm) with less than 1.5% oxygen incorporation (-1.1 ppm).

20

### EXAMPLE 3

#### Synthesis of a poly-A 19-mer phosphorothioate oligonucleotide using O,O-diisopropyl-phosphorodithioic acid disulfide as a sulfurizing agent

A poly-A 19-mer phosphorothioate oligonucleotide was  
25 synthesized following the same protocol as used in example 2. The yield of trityl cation averaged 98.5% per detritylation step.  $^{31}\text{P}$ -NMR of the product indicated 99% sulfur incorporation and 1% oxygen incorporation.

30

### EXAMPLE 4

#### Synthesis of O,O-diisopropyl-phosphorothioic acid disulfide

A solution of 25.6 g of  $\text{S}_8$  and 117 ml of triethylamine in 750 ml of carbon disulfide was added to

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132.8 g of neat diisopropyl phosphite. After several hours of stirring at ambient temperature the reaction mixture was concentrated by rotary evaporation. The O,O-diisopropyl-phosphorothioic acid product was dissolved in 750 ml of methylene chloride and stirred while the solution was cooled in an ice bath. To this solution was added 20.5 ml of bromine dropwise. The solution was allowed to stir an additional hour at ambient temperature, and then extracted three times with deionized water. The organic phase was then dried with anhydrous sodium sulfate and filtered. The filtrate was concentrated by rotary evaporation, and dried overnight under reduced pressure, to yield 137 g of O,O-diisopropyl-phosphorothioic acid disulfide (87% yield). The  $^{31}\text{P}$ -NMR spectra of the product dissolved in methylene chloride consisted of a single peak at 18.1 ppm vs. and external standard of phosphoric acid.

#### EXAMPLE 5

Synthesis of a 14-base phosphorothioate oligonucleotide using O,O-diisopropyl-phosphorothioic acid disulfide as the sulfurizing agent

A 14-base phosphorothioate oligonucleotide, 5'-CGCTTCTTCCTGCC, was synthesized as in example 2, with the exception that the sulfurization step was carried out using a 0.2 M solution of O,O-diisopropyl-phosphorothioic acid disulfide in 2:1 pyridine:acetonitrile for 10 minutes at ambient temperature. The yield of trityl cation released during the detritylation step averaged 99%. The  $^{31}\text{P}$ -NMR spectra of the 14-mer showed 92% sulfur incorporation and 8% oxygen incorporation.

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EXAMPLE 6Synthesis of O,O-di-2-ethylhexyl-phosphorodithioic acid disulfide

A solution of 177 g of O,O-di-2-ethylhexyl-phosphorodithioic acid (Elco L-21612) in 400 ml of methylene chloride was cooled in an ice bath and 73.5 ml of triethylamine was added dropwise with stirring. While continuing to cool the stirred solution in an ice bath 61.5 g of iodine was added in small portions. The reaction mixture was allowed to stir at ambient temperature an additional 30 minutes and then was extracted once with deionized water and then twice with aqueous brine. The organic phase was dried with anhydrous sodium sulfate, filtered, and then concentrated by rotary evaporation. The product was dried overnight under reduced pressure to yield 171 g (97% yield) of O,O-di-2-ethylhexyl-phosphorodithioic acid disulfide as a yellow oil. The  $^{31}\text{P}$ -NMR spectra of the product consisted of a resonance at 86.0 ppm corresponding to the desired product and another resonance at 79.0 ppm (4%) corresponding to bis(O,O-di-2-ethylhexyl-phosphorodithioic acid thioanhydride (the monosulfide), which was present as an impurity in the starting material.

25

EXAMPLE 7Synthesis of a 27-mer phosphorothioate oligonucleotide using O,O-di-2-ethylhexyl-phosphorodithioic acid disulfide as the sulfurizing agent

A 27-base phosphorothioate oligonucleotide, 5'-TCGTCGCTTCTCTGCTTCCGTCTGCC-3', was synthesized following the same protocol as used in example 2, with the following exception. The sulfurization step was carried out using O,O-di-2-ethylhexylphosphorodithioic acid disulfide which was 0.2 M in a mixture of 20 parts by volume pyridine and

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31.5 parts acetonitrile. The sulfurization step was carried out at room temperature for 15 minutes. The yield of trityl cation averaged 98% per detritylation step.  $^{31}\text{P}$ -NMR of the product indicated 99% sulfur incorporation and 1% oxygen incorporation.

#### EXAMPLE 8

##### Synthesis of bis(2,4,4-trimethylpentyl)-dithiophosphinic acid disulfide

10 A solution of 161 g of bis(2,4,4-trimethylpentyl)-dithiophosphinic acid (Cyanex 301, American Cyanamide, 77% pure) in 400 ml of methylene chloride was cooled in an ice bath and 73.2 ml of triethylamine was added dropwise with stirring. While continuing to cool the stirred solution  
15 in an ice bat 50.7 g of iodine was added in small portions. The reaction mixture was allowed to stir an additional 30 minutes at ambient temperature after which it was extracted once with deionized water, then twice with aqueous brine. The organic phase was dried with  
20 anhydrous sodium sulfate, filtered, and then concentrated by rotary evaporation. The product was dried overnight under reduced pressure to yield 158.7 g of crude product. The  $^{31}\text{P}$ -NMR spectra of the crude product indicated the presence of a complex mixture. The desired bis(2,4,4-  
25 trimethylpentyl)-dithiophosphinic acid disulfide comprised about half of the mixture (a multiplet at ca. 80 ppm vs. phosphoric acid external standard). Impurities included the monothiophosphinic acid disulfide and the mixed disulfide of the monothiophosphinic acid and the  
30 dithiophosphinic acid. The monothiophosphinic acid was present as an impurity in the starting material.

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EXAMPLE 2Synthesis of an 18-mer phosphorothioate oligonucleotide  
using bis(2,4,4-trimethylpentyl)-dithiophosphinic acid  
as a sulfurizing agent

5           An 18-mer phosphorothioate oligonucleotide, 5'-  
TCTCTGCTTCCGTCTGCC-3', was synthesized using the same  
protocol as used in example 2, with the following  
exception. The sulfurization step was carried out using a  
solution of 137.9 g of crude bis(2,4,4-trimethylpentyl)-  
10   dithiophosphinic acid disulfide in a mixture of 360 ml of  
acetonitrile and 100 ml of pyridine. The sulfurization  
step was carried out for 15 minutes at ambient  
temperature. The yield of trityl cation averaged 97.3%  
per detritylation step. The <sup>31</sup>P-NMR spectra of the 18-mer  
15   showed 98% sulfur incorporation and 2% oxygen  
incorporation.

The foregoing disclosure of preferred embodiments of  
the invention has been presented for purposes of  
illustration and description. It is not intended to be  
20   exhaustive or to limit the invention to the precise form  
disclosed, and obviously many modifications and variations  
are possible in light of the above teaching. The  
embodiments were chosen and described in order to best  
explain the principles of the invention and its practical  
25   application, to thereby enable others skilled in the art  
to best utilize the invention in various embodiments and  
with various modifications as are suited to the particular  
use contemplated. It is intended that the scope of the  
invention be defined by the claims appended hereto.

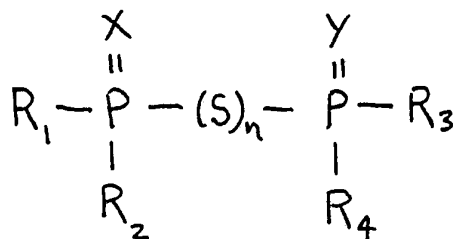
-17-

## WHAT IS CLAIMED IS:

- 5 1. A method of sulfurizing a phosphorous(III)-containing compound comprising the step of reacting said phosphorous (III)-containing compound with a thiophosphorus compound selected from the group consisting of thiophosphoric, dithiophosphoric, thiophosphinic, or dithiophosphinic acid  
10 polysulfide.

2. The method of claim 1 wherein said thiophosphorus compound is defined by the formulas:

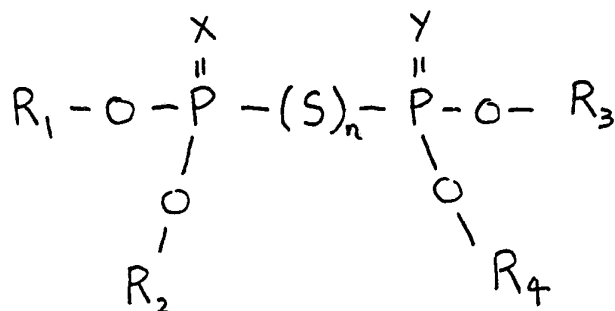
15



20

and

25



30

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wherein:

X is oxygen or sulfur;

Y is oxygen or sulfur;

n is within the range of 2-10, inclusive; and

- 5         $R_1$ ,  $R_2$ ,  $R_3$ , and  $R_4$  are separately hydrogen; alkyl, alkenyl, aryl, acyl, aralkyl, cycloalkyl, or cycloalkylalkyl containing up to 10 carbon atoms; halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted alkyl, alkenyl, aryl, acyl, aralkyl, cycloalkyl, or
- 10        cycloalkylalkyl containing up to 10 carbon atoms; a heterocycle containing from 1 to 3 heteroatoms of nitrogen, oxygen, or sulfur, and from 2 to 8 carbon atoms; or a lower alkyl-, halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted heterocycle containing from 1 to 3
- 15        heteroatoms of nitrogen, oxygen, or sulfur, and from 2 to 8 carbon atoms.

3.        The method of claim 2 wherein said phosphorous(III)-containing compound is a linear oligomer having at least
- 20        one phosphite or hydrogen phosphonate diester linkage.

4.        The method of claim 3 wherein:

X is sulfur;

Y is sulfur;

- 25        n is 2; and

$R_1$ ,  $R_2$ ,  $R_3$ , and  $R_4$  are separately hydrogen; lower alkyl; lower alkenyl; or cycloalkylalkyl, aryl or aralkyl containing up to 8 carbon atoms; halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted lower alkyl, lower

30        alkenyl; or lower alkyl-, halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted aryl or aralkyl containing up to 8 carbon atoms; morpholinyl; thiomorpholinyl; piperidinyl; piperazinyl; or lower alkyl-, halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted morpholinyl, thiomorpholinyl, piperidinyl, or piperazinyl.

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5. The method of claim 4 wherein  $R_1$ ,  $R_2$ ,  $R_3$ , and  $R_4$  separately are hydrogen, methyl, ethyl, propyl, isopropyl, n-butyl, sec-butyl, tert-butyl, n-pentyl, cyclopentyl, cyclopentylmethyl, isopentyl, neopentyl, n-hexyl, neo-  
5 hexyl, isohexyl, cyclohexyl, cyclohexylmethyl, 2-ethylhexyl, beta-cyclopentylethyl; methyl-, ethyl-, methoxy-, nitro-, or halo-substituted phenyl; or methyl-, ethyl-, methoxy-, nitro- or halo-substituted benzyl.

10 6. The method of claim 5 wherein  $R_1$ ,  $R_2$ ,  $R_3$ , and  $R_4$  are separately methyl, ethyl, n-propyl, isopropyl, or 2-ethylhexyl.

7. A method of synthesizing a sulfurized oligonucleotide  
15 analog of a predetermined sequence, the method comprising the steps of:

(a) providing a protected nucleoside or analog thereof attached to a solid phase support, the protected nucleoside or analog thereof having a blocked  
20 functionality;

(b) deblocking the blocked functionality to form a reactive functionality;

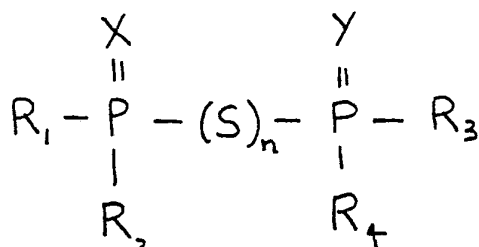
(c) reacting with the reactive functionality a blocked protected nucleoside phosphoramidite or  
25 phosphorthioamidite monomer or analog thereof to form a correct-sequence chain having a phosphorous(III) linkage and a blocked functionality;

(d) sulfurizing the phosphorous(III) linkage by exposing the correct-sequence chain to a thiophosphorus  
30 compound selected from the group consisting of thiophosphoric, dithiophosphoric, thiophosphinic, or dithiophosphinic acid polysulfide;

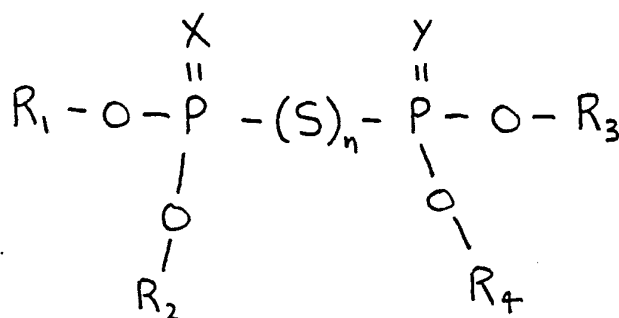
(e) repeating steps (b) through (d) until the sulfurized oligonucleotide of the predetermined sequence is obtained.

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8. The method of claim 7 wherein said thiophosphorus compound is defined by the formulas:



and



wherein:

X is oxygen or sulfur;

Y is oxygen or sulfur;

n is within the range of 2-10, inclusive; and

R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are separately hydrogen; alkyl, alkenyl, aryl, acyl, aralkyl, cycloalkyl, or cycloalkylalkyl containing up to 10 carbon atoms; halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted alkyl, alkenyl, aryl, acyl, aralkyl, cycloalkyl, or

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cycloalkylalkyl containing up to 10 carbon atoms; a heterocycle containing from 1 to 3 heteroatoms of nitrogen, oxygen, or sulfur, and from 2 to 8 carbon atoms; or a lower alkyl-, halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted heterocycle containing from 1 to 3 heteroatoms of nitrogen, oxygen, or sulfur, and from 2 to 8 carbon atoms.

9. The method of claim 8 further including the step of capping unreacted reactive functionalities after said step of sulfurizing.

10. The method of claim 9 further including the step of cleaving said sulfurized oligonucleotide analog from said solid phase support.

11. The method of claim 10 wherein said blocked functionality is a tritylated 5'-hydroxyl of said correct-sequence chain or of said protected nucleoside or analog thereof.

12. The method of claim 11 wherein:

X is sulfur;

Y is sulfur;

n is 2; and

R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are separately hydrogen; lower alkyl; lower alkenyl; or cycloalkylalkyl, aryl or aralkyl containing up to 8 carbon atoms; halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted lower alkyl, lower alkenyl; or lower alkyl-, halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted aryl or aralkyl containing up to 8 carbon atoms; morpholinyl; thiomorpholinyl; piperidinyl; piperazinyl; or lower alkyl-, halo-, nitro-, sulfo-, cyano-, lower alkoxy-substituted morpholinyl, thiomorpholinyl, piperidinyl, piperazinyl.

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13. The method of claim 12 wherein  $R_1$ ,  $R_2$ ,  $R_3$ , and  $R_4$  are separately hydrogen, methyl, ethyl, propyl, isopropyl, n-butyl, sec-butyl, tert-butyl, n-pentyl, cyclopentyl, cyclopentylmethyl, isopentyl, neopentyl, n-hexyl, neoheptyl, isohexyl, cyclohexyl, cyclohexylmethyl, 2-ethylhexyl, beta-cyclopentylethyl; methyl-, ethyl-, methoxy-, nitro-, or halo-substituted phenyl; or methyl-, ethyl-, methoxy-, nitro- or halo-substituted benzyl.
- 10 14. The method of claim 13 wherein  $R_1$ ,  $R_2$ ,  $R_3$ , and  $R_4$  are separately methyl, ethyl, n-propyl, isopropyl, or 2-ethylhexyl.

# INTERNATIONAL SEARCH REPORT

International Application No PCT/US91/01010

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                |                                     |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-------------------------------------|
| <b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>1</sup>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                |                                     |
| According to International Patent Classification (IPC) or to both National Classification and IPC<br>IPC(5): C 07G 3/00, 17/00; C07H 15/00, 17/00, 15/12, 15/14, 21/00, 21/02, 21/04<br>U.S. CL: 536/18.5, 27                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                |                                     |
| <b>II. FIELDS SEARCHED</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                |                                     |
| Minimum Documentation Searched <sup>4</sup>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                |                                     |
| Classification System <sup>1</sup>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Classification Symbols                                                                                         |                                     |
| U.S.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 536/18.5, 27                                                                                                   |                                     |
| Documentation Searched other than Minimum Documentation<br>to the Extent that such Documents are Included in the Fields Searched <sup>6</sup>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                |                                     |
| Databases: Dialog (5,72,155,159,76,433,399,144,351)<br>Automatic Patent System (File USPAT 1971-1991)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                |                                     |
| <b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                |                                     |
| Category <sup>8</sup>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Citation of Document, <sup>16</sup> with indication, where appropriate, of the relevant passages <sup>17</sup> | Relevant to Claim No. <sup>18</sup> |
| <u>x</u><br>y                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | WO,A,89/11486 (Caruthers et al.) 30 November 1989,<br>see claims 1-77.                                         | <u>1,7</u><br>2-6,8-14              |
| Y                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | EP,A,0360,609 (Frochler et al.) 28 March 1990,<br>see claims 1-20.                                             | 1-14                                |
| Y                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | US,A, 4,725,677 (Köster et al.) 16 February 1988,<br>see claims 1-19.                                          | 1-14                                |
| <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>* Special categories of cited documents: <sup>15</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"Δ" document member of the same patent family</p> </div> </div> |                                                                                                                |                                     |
| <b>IV. CERTIFICATION</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                |                                     |
| Date of the Actual Completion of the international Search <sup>2</sup>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Date of Mailing of this International Search Report <sup>2</sup>                                               |                                     |
| 05 April 1991                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <b>14 JUN 1991</b>                                                                                             |                                     |
| International Searching Authority <sup>1</sup>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Signature of Authorized Officer <sup>20</sup>                                                                  |                                     |
| ISA/US                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | GIAN WANG <i>Gian Wang</i>                                                                                     |                                     |

## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

|   |                                                                                                                                                                                                                                                                                                        |      |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| Y | Nucleic Acid Research vol 16, No.8. issued 1988, Stein et al.<br>"Physicochemical Properties of Phosphorothioate<br>Oligodeoxynucleotides", pages 3210-3212, see materials and methods.                                                                                                                | 1-14 |
| Y | Proc. Natl. Acad. Sci. USA, vol.86, issued October 1989,<br>Agrawal et al., "Inhibition of human immunodeficiency Virus<br>in early infected and chronically infected cells by antisense<br>oligodeoxynucleotides and their phosphosothioate analogues",<br>page 7790-7794, see materials and methods. | 1-14 |

V. ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE<sup>1</sup>

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☐ Claim numbers \_\_\_\_\_, because they relate to subject matter<sup>1</sup> not required to be searched by this Authority, namely:
  
2. ☐ Claim numbers \_\_\_\_\_, because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out<sup>1</sup>, specifically:
  
3. ☐ Claim numbers \_\_\_\_\_, because they are dependent claims not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. ☒ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING<sup>2</sup>

This International Searching Authority found multiple inventions in this international application as follows:

- I. Claims 1-6 are, drawn to a method of sulfurizing a phosphorous (III)-containing compound comprising the step of reacting said phosphorous (III)-containing compound with a thiophosphorus compound, classified in Class 536, subclass 18.5.
- II. Claims 7-14 are, drawn to a method of synthesizing a  
sulfurized oligonucleotide analog of a predetermined sequence, classified in Class 536, subclass 27

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

## Remark on Protest

- ☐ The additional search fees were accompanied by applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)

| Category * | Citation of Document, <sup>1</sup> with indication, where appropriate, of the relevant passages <sup>12</sup> | Relevant to Claim No <sup>13</sup> |
|------------|---------------------------------------------------------------------------------------------------------------|------------------------------------|
|------------|---------------------------------------------------------------------------------------------------------------|------------------------------------|

|   |                                                                                                                                                                                                                                           |     |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|
| Y | Nucleic Acid Research, vol 18, number 4, issued 25 February 1990, Cosstick et al., "Synthesis and Properties of dithymidine phosphate analogues containing 3'-thiothymidine", pages 829-835, See Fig 1-3, page 830 and Fig 4-5, page 831. | 1-6 |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|