



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification ⁶ : C07C 403/16</p>	<p>A1</p>	<p>(11) International Publication Number: WO 98/49139</p> <p>(43) International Publication Date: 5 November 1998 (05.11.98)</p>
<p>(21) International Application Number: PCT/EP98/02407</p> <p>(22) International Filing Date: 23 April 1998 (23.04.98)</p> <p>(30) Priority Data: 97107175.8 30 April 1997 (30.04.97) EP</p> <p>(34) Countries for which the regional or international application was filed: DE et al.</p> <p>(71) Applicant (for all designated States except US): GIVAUDAN-ROURE (INTERNATIONAL) S.A. [CH/CH]; Vernier, CH-1412 Genève (CH).</p> <p>(72) Inventors; and</p> <p>(75) Inventors/Applicants (for US only): COURTOIS, Didier [FR/FR]; 87 bis, rue de Coulmiers, F-45000 Orléans (FR). EHRET, Charles [FR/FR]; Usterstrasse 65, CH-8620 Wetzikon (CH). FIRMIN, Laurence, Marthe, Marie [FR/FR]; 23, rue Joseph Malleville, F-76290 Fontaine-la-Mallet (FR).</p> <p>(74) Common Representative: GIVAUDAN-ROURE (INTERNATIONAL) S.A.; P.O. Box 3255, CH-4002 Basle (CH).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>
<p>(54) Title: PROCESS FOR THE PRODUCTION OF IRONES</p>		
<p>(57) Abstract</p> <p>A process for the preparation of irones by a chemical oxidation of irone precursors, comprising treating an iris rhizome substrate selected from the group consisting of iris rhizomes, parts of such rhizomes, iris extracts, iris extraction wastes, any plant tissues containing precursors of irones and mixtures thereof, is described.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon	KR	Republic of Korea	PL	Poland		
CN	China	KZ	Kazakstan	PT	Portugal		
CU	Cuba	LC	Saint Lucia	RO	Romania		
CZ	Czech Republic	LI	Liechtenstein	RU	Russian Federation		
DE	Germany	LK	Sri Lanka	SD	Sudan		
DK	Denmark	LR	Liberia	SE	Sweden		
EE	Estonia			SG	Singapore		

-1 -

Process for the production of irones

Today, irone extracts are produced by solvent extraction or steam distillation of the rhizomes of any iris species, preferably *Iris pallida* or *Iris germanica*. After a cultivation period of 2-3 years the rhizomes are harvested and stored for 3-5 years at room temperature. During this maturation period the irones are released from their precursors (W. Krick, F.-J. Marner, and L. Jaenicke, Z. Naturforsch. 1983, 38c 179-184). Then the irones are recovered by steam distillation (as iris butter) or solvent extraction (leading to a resinoid) of the powdered rhizomes leading to valuable iris materials for the flavour and fragrance industry.

This long period of maturation is cumbersome, expensive, needs extensive storage capacity and leads to the loss of part of the isomers in uncontrolled degradation reactions.

Several alternatives to this non-effective and time consuming maturation period have been proposed. In a first proposal (FR-2 620 702, 24/3/89) by Buono et al., a lipophilic extract of the iris rhizomes containing the irone precursors is oxidized with KMnO_4 in an organic solvent; in this procedure the extraction of the precursors is laborious and due to this unusual downstream processing (working-up), namely the extraction of the precursors before the oxidation, the quality of the resulting product is quite different from the traditionally preferred Iris Butter or Absolute. A reduction of the maturation period to two months has been disclosed in FR-2 653 637, 3/5/91, by Baccou et al., with a preliminary treatment of the fresh, i.e. not matured iris rhizomes with ionizing radiation; but the claimed yields hardly reach the irone contents (< 400 mg / kg dry rhizomes) obtained during maturation by a 2-3 years period of storage. Bioconversions of lipophilic extracts of the rhizomes with fungi of the genus *Botryonia* (EP 0 443 925; 23/8/91) by Gil et al. or with peroxidizing enzymes (EP 0 443 926; 28/8/91) by Gil et al., have been disclosed; they lead to a good conversion of the precursors but again present the disadvantage of the preliminary solvent extraction and consequently lead to a product whose quality differs from the preferred iris butter. The bioconversion of powdered iris rhizomes by

bacteria of the family *Enterobacteriaceae* in the presence of a plant cell medium (EP 0 353 683, US 4,963,480) by Belcour et al., releases the irones from their precursors, and, after steam distillation produces an iris butter of excellent quality characterized by a high content of irones. A drawback is
5 that the long maturation period has been replaced by a rather expensive microbiological treatment.

The present invention relates to a new process for the preparation of irones. In particular the invention relates to the treatment of iris rhizomes,
10 parts of such rhizomes, iris extracts, plant tissues or any source of iron precursors, with nitrite salts in order to release, i.e. to form, to produce the irones.

Under irones there is to be understood a mixture of the isomers gamma-irone, cis-alpha-irone, trans-alpha-irone and beta-irone as usually found in
15 extracts of iris species.

Under iron precursors there are to be understood compounds or any mixture of such compounds which, when treated with the nitrite, lead to irones. The use of selected clones of iris species characterized by a high content of iron precursors is preferred.

20

Surprisingly it has now been found that the treatment, e.g. at mild temperatures, of rhizomes, e.g. powdered fresh iris rhizomes with an aqueous solution of a nitrite salt liberates, i.e. forms the irones, under mild conditions and with excellent yields. The isomeric and enantiomeric
25 distribution of the resulting irones is identical to that obtained after a traditional maturation.

Various cations may be associated to the nitrite anion. Good results were obtained with alkali metal, alkaline earth or ammonium nitrites, e.g. with sodium nitrite, potassium nitrite, calcium nitrite, ammonium nitrite, etc.
30 preferably sodium or potassium nitrite.

A suitable concentration of nitrite salts is from about 0.1g/L to about 5 g/L, and, preferably from about 0.5 to about 1 g/L.

A suitable range of the ratio by weight of iris rhizome substrate to
35 medium, i.e. the aqueous solution, is from about 1:20 to about 2:1, with about 1:5 to about 1:20 being preferred.

A suitable pH range for the treatment with aqueous nitrite solutions is from 1 to about 6, more preferably from about 2 to about 5. The preferred pH is between about 2 and about 3.

5 Any suitable acid, e.g. H₃PO₄, or other mineral acids may be used to adjust the desired pH value.

The treatment is effective at mild temperatures. Best results are obtained between ca. 10 and ca. 50°C and preferably at ambient
10 temperatures, e.g. between 20 and 30°C.

The time necessary to complete the generation of the irones is dependant upon the conditions of the treatment. A suitable reaction time for the process is from about 1 hour to about 5 days, with about 5 to about 36
15 hours being preferred.

Fig. 1 shows the results of a kinetic study of the production of irones by treatment of iris rhizomes with a solution of sodium nitrite at ambient temperature.

20

In the example, the treatment of freshly harvested rhizomes of *Iris pallida* with an initial irone content of 12 mg/ kg dry rhizomes, in suspension at 100 g/L in a 0.1 % solution of sodium nitrite at pH 2.5 and at a temperature of 25°C liberates between 1200- 1400 mg irones / kg dry rhizomes
25 after 30 hours.

Rhizomes of the genera *Iris* (*Iridaceae* family), parts of such rhizomes, iris wastes or extracts and more generally all plant tissues containing precursors of irones can be used. The substrate of the present invention is
30 preferably obtained from an iris plant selected from the group consisting of *I. pallida*, *I. germanica*, *I. florentina*, preferably *I. pallida*.

The iris substrate, which is preferably taken from the plant in a vegetative, i.e. growing state, may be used fresh or shortly after harvest, e.g.
35 in the form of :
-iris rhizomes, e.g. crushed or powdered,

- iris rhizome parts, iris rhizome extracts, e. g. , extracts using any organic solvent dissolving the irone precursors, preferably alcoholic e.g. alkanolic, and preferably methanolic extracts,
- iris wastes , i.e. the residues recovered after the industrial extraction of iris
5 rhizomes,
- plant cell cultures of iris, initiated as described, e.g. in EP 0 353 683
- or mixtures thereof.

The extraction of the final product is conveniently carried out by
10 conventional methods as used nowadays for the isolation of volatiles from plants, e.g. for the production of fragrances and flavours raw materials, i.e., hydrodistillation (steam distillation), extraction using any suitable volatile organic solvent, i.e alcohols, hexane, and mixtures thereof.
By the preferred method, i. e. hydrodistillation, the resulting iris butter
15 contains, e.g. 15-30 % of irones depending on the quality of the rhizomes.

It has surprisingly been found that among a collection of different clones of *Iris*, e.g. of *Iris pallida* and *Iris germanica*, of various origins, an important variability was observed as far as the content of irone precursors
20 is concerned (Jehan et al., C.R. Acad. Sci. Paris, Sciences de la vie/Life science, 1994; 317: 424-429) . Thus applying the above described maturation process on 56 different plants (*I. pallida*), the irone content after treatment has been shown to be within the range of ca.1100 - ca.1800 mg irones / kg dry rhizomes. Several clones have been found which exceed the irone content of
25 an average population of commercial *I. pallida* rhizomes by a factor of over 50% to 65%. Thus the present invention relates also to the use of the new maturation process to such selected clones, e.g. the clones GRN1, GRN2, characterized by a high irone precursor content for the production iris extracts.

30

This method is thus based on a pure selection work in the field from an established collection of, e.g. 56 clones, of *Iris pallida*. The evaluation of the irone content is effected by microbiological treatment as described by Jehan et al. Multiplication, micropropagation and cultivation are effected as
35 known in the art.

The present invention is further illustrated by the following examples which are not intended to limit the effective scope of the claims.

Example 1

Production of irones by treatment of rhizomes of *Iris pallida*

5

Fresh rhizomes are first cleared from excess earth and ground in a Warring Blender.

To a solution of 5 g of sodium nitrite in 5 liters of water, ground fresh iris rhizomes (*Iris pallida*, 500 g, initial irone content: 12 mg irones / kg dry
10 rhizomes) are charged in a reaction vessel equipped with a mechanical stirrer. After the pH has been adjusted to 2.5 with 5 molar H₃PO₄, the mixture is gently stirred at room temperature for 48 hours.

[For the determination of the irone content in the reaction mixture
15 after the "maturation", 50 ml of the reaction mixture are mixed with 20 ml of 1 molar sodium hydroxyde solution and extracted with a 80/20 v/v mixture of MTBE (methyl-t-butyl ether) and ethanol in the presence of an internal standard (anethole). After centrifugation the organic layer is analyzed by GC (Carbowax 20M column, 30 m, diameter 0.32 mm, program 60° C 4 min, 12°
20 C/min until 150° C, 3° C/min until 240° C). The matured suspension contains 1840 mg irones / kg dry rhizomes.]

The resulting matured mixture is hydrodistilled in a distillation apparatus equipped with a short path column, a condenser (phase separator) for the total distillate and a set-up for recycling of the aqueous
25 phase. The resulting Iris butter (1.85 g, yield 0.37 %/ fresh rhizomes or 0.92%/ dry rhizomes) is recovered at the surface of the receptor vessel. The Iris butter is analyzed by GC in the presence of an internal standard: ca. 50 mg of Iris butter are exactly weighed into a 50 ml flask; after addition of the internal standard and 5 ml of 1 molar sodium hydroxyde solution, the
30 heterogeneous mixture is stirred vigourously during 15 minutes; 5 ml of the resulting upper phase are mixed with 1 ml of methanol and analyzed by GC as described above. The resulting Iris butter has an irone content of 16.2 %.

Example 2

35 Production of irones by treatment of rhizomes of *Iris pallida* selected for their high irone precursor content

For these experiments, 2 types of *Iris pallida* rhizomes have been used:

Batch I: Fresh rhizomes from 56 different plants (3 years old) in a vegetative state are first cleared from excess earth. Three aliquots of each plant sample (3x5 g) are cut into small pieces and ground in a Warring Blender. Then the rhizomes are put in Erlenmeyer flasks (8g in 50ml of aqueous NaNO₂ 1g/liter at pH 2.5 in each flask). The 3 flasks are placed on a gyratory shaker (100 revolutions/minute) at 25°C during 24 hours. A control experiment is performed following the same procedure without the NaNO₂ solution.

At the end of the 24 hours period, both the rhizomes and the aqueous phase are extracted with MTBE, together or separately, (i.e. by separating the rhizome parts by filtration or centrifugation). The combined extracts (extracted rhizomes and aqueous phase), are analyzed by gas chromatography (GC) as described in example 1.

Batch II: Two clones (GRN1 and GRN2) which previously had been selected for their growth and high content in iron precursors by the technique described in "C.R. Acad. Sci. Paris, Sciences de la vie/Life science, (317) 424-429 (1994)", from the 56 clones of various origins after 3 years of cultivation. The fresh rhizomes were treated as above (Batch I).

The results (expressed in mg of irones/kg of dry-weighted iris rhizome) are shown in Table 1. Standard deviations correspond to 3 replications in fields.

As currently demonstrated, the control sample (fresh harvested rhizome without any treatment) contains very few quantities of irones (less than 100mg/kg dry weight).

Table 1

	Batch I (*)	Batch II (GRN1)	Batch II (GRN2)
Treatment with NaNO ₂	1339 (+208) (* mean of 56 clones of <i>Iris pallida</i> of various origins)	1716 (+115)	1659 (+ 134)

Example 3

Production of irones by the treatment of an *Iris pallida* rhizome extract

5 A crude extract of iris rhizomes (Batch I, see example 1) is obtained of
50g of fresh material ground in a Warring Blendor, then follows an
extraction with 3 times 250ml CHCl₃/ MeOH (1/2) under agitation during 1
hour. After filtration, the combined fractions are concentrated. The
resulting crude extract is dissolved in 10 ml of MeOH. The solution is added
to a NaNO₂ solution (500 ml, 1 g/liter) at pH 2.5, 25°C, and stirred (100rpm)
10 during 24 hours. The total solution is extracted and analyzed as described in
Example 1. An aliquot of fresh rhizomes (before extraction) is treated as in
Example 1. The results (expressed in mg of irones/kg of dry-weighted iris
rhizome) are listed in Table 2

Table 2

15

	Fresh rhizomes (before extraction)	extract
Treatment with NaNO ₂	1025 (+-130)	1266 (+-25)

Claims

1. A process for the preparation of irones, comprising treating iris rhizomes, parts of such rhizomes, iris extracts, iris extraction wastes, or
5 any iris plant tissue containing precursors of irones and mixtures thereof, with an aqueous solution of a nitrite, and recovering the irones formed.

2. The process according to claim 1 wherein the iris rhizome substrate is derived from an iris plant selected from the group consisting of *Iris*
10 *pallida*, *Iris germanica*, *Iris florentina*, *Iris* of Verona, and mixtures thereof.

3. The process according to claim 1 or 2, wherein the rhizomes are selected for their high content of iron precursor, e.g. are clones of *Iris*
15 *pallida*, e.g. are the clones GRN1 and GRN2.

4. The process according to claim 1, 2 or 3, wherein the reaction medium is the aqueous solution of a nitrite salt.

20 5. The process according to any one of claims 1 to 4 wherein an aqueous solution of an alkali, e.g. sodium, alkaline earth or ammonium nitrite is used.

6. The process according to any one of claims 1 to 5, wherein the iris
25 rhizome substrate is fresh, i.e. not matured.

7. The process according to any one of claims 1 to 6 wherein the iris rhizome substrate is an iris rhizome extract.

30 8. The process according to any one of claims 1 to 7 wherein the iris rhizome substrate is an iris rhizome extraction waste.

9. The process according to any one of claims 1 to 8 wherein the iris rhizome substrate is a plant cell culture of iris.

10. The process according to any one of claims 1 to 9 wherein gamma-
5 irone, cis-alpha-irone, trans-alpha-irone and/or beta-irone are prepared.

11. The process according to any one of claims 1 to 10 wherein the process is carried out at about ambient temperatures, e.g. between ca. 20°C and ca. 30°C.

10

12. The process according to any one of claims 1 to 11 wherein the process is carried out for a period of about 1 to about 120 hours, preferably about 5 to about 36 hours.

15 13. The process according to any one of claims 5 to 12 wherein the concentration of the nitrite salt is ca. 0.1 to ca. 5g/liter of the reaction medium.

20 14. The process according to any one of claims 1 to 13 wherein the process is carried out in a pH range of about 1 to about 6, preferably, between about 2 to about 5.

25 15. The process according to any one of claims 1 to 14 wherein the range of iris rhizome substrate to medium is from about 1:20 to about 2:1 (weight/weight).

16. The process according to any one of claims 1 to 15 wherein the irones are isolated by extraction or distillation.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 98/02407

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C07C403/16

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	FR 2 620 702 A (ELF AQUITAINE) 24 March 1989 cited in the application see claims	1
A	----- CHEMICAL ABSTRACTS, vol. 075, no. 10, 6 September 1971 Columbus, Ohio, US; abstract no. 067385, KONDRATSKAYA G P ET AL: "Essential oil obtained from iris plants" XP002075384 see abstract & SU 293 843 - (ALL-UNION SCIENTIFIC-RESEARCH INSTITUTE OF ESSENTIAL OIL PLANT CULTIVA) 26 January 1971 -----	1

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

25 August 1998

Date of mailing of the international search report

07/09/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Bonneville, E

INTERNATIONAL SEARCH REPORT

Information on patent family members

In: International Application No

PCT/EP 98/02407

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
FR 2620702 A	24-03-1989	NONE	