

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property
Organization

International Bureau



(10) International Publication Number

WO 2018/039024 A1

(43) International Publication Date
01 March 2018 (01.03.2018)

(51) International Patent Classification:

A61B 5/00 (2006.01)

Published:

— with international search report (Art. 21(3))

(21) International Application Number:

PCT/US2017/047293

(22) International Filing Date:

17 August 2017 (17.08.2017)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

62/377,904 22 August 2016 (22.08.2016) US

(71) Applicant: GALDERMA RESEARCH AND DEVELOPMENT [FR/FR]; 2400, route des Colles, Les Templiers, F-061410 Biot (FR).

(72) Inventors; and

(71) Applicants (for US only): PETIT, Laurent [FR/FR]; 19, Chemin du Peyloubier, 06530 Peymeinade (FR). CHANTALAT, Laurent [FR/US]; 12 Stuyvesant Oval, New York, New York 10009 (US).

(74) Agent: TALAPATRA, Sunit et al.; FOLEY & LARDNER LLP, 3000 K Street, N.W., Suite 600, Washington, District of Columbia 20007-5143 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JO, JP, KE, KG, KH, KN, KP, KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

(54) Title: UV DEVICE FOR SMARTPHONE-BASED EVALUATION OF SKIN CONDITIONS

(57) Abstract: A method for evaluating skin conditions on a skin area of a user using an ultraviolet light device that can connect to a mobile device and a user input is disclosed. The method for evaluating skin conditions includes the steps of illuminating the skin area of the user with the ultraviolet light device operated by the mobile device, capturing an image of the illuminated skin area with an image capture device, processing the image with a processing program to determine the level of fluorescence on the skin area, and mapping progress of treatment of the skin condition based on the processed image compared to a control image.

UV DEVICE FOR SMARTPHONE-BASED EVALUATION OF SKIN CONDITIONS

This application claims priority to U.S. Provisional Application No. 62/377,904, filed

5 August 22, 2016, which is incorporated herein by reference in its entirety.

BACKGROUND OF THE INVENTION

Many prescription and over-the-counter drugs have significant patient compliance issues which reduce the effectiveness of the drug treatments. In some cases, poor patient 10 compliance results from the patient being unable to detect improvements in their condition, even when improvement is occurring. Some conditions do not show immediate and significant visual improvement, even though the condition is improving in ways that are not visually apparent to the patient. In other cases, patients simply have poor “customer engagement” with the drug treatment.

15 A blacklight (black light), also referred to as a UV light, Wood's lamp, or ultraviolet light, is a lamp that emits long-wave (UV) ultraviolet light and only a small amount of visible light. In the medical field, such a light source is referred to as a Wood's lamp, named after Robert Williams Wood. A Wood's lamp is helpful in diagnosing bacterial infections, including *Propionibacterium acnes*, also referred to as “*p. acnes*,” which is a bacterium 20 involved in acne. This bacterium exhibits an orange-type glow under a Wood's lamp. More specifically, acne fluoresces orange-red under a Wood's lamp due to propionibacteria in hair follicles. Hereafter, the UV light/lamp is referred to as a “blacklight.”

As described in U.S. Patent Application No. 2003/0063801 (Rubinstenn et al.), features of a facial image may be extracted by illuminating a portion of the subject's skin with 25 a Wood's lamp. The Wood's lamp may help identify an amount of acne-causing bacteria on the portion of the subject's skin by making visible on the subject's skin residues, such as porphyrine, excreted by the bacteria. Porphyrine is thus a surrogate marker for *p. acnes*. An image of the illuminated residue may then be captured for image processing.

DESCRIPTION OF PREFERRED EMBODIMENTS

Mobile devices, and particularly, mobile device applications such as mobile phone application software (“apps”) are proven tools for increasing customer engagement. The present invention employs such an app as a companion device for use by patients who are undergoing a drug treatment for a skin condition or who are using a skin care product. The

mobile device may be any type of handheld computing device having an operating system (OS), and capable of running apps. Examples of such a mobile device include, but are not limited to, a smartphone, tablet computer and PDA. The OS is typically Apple's iOS® or Google's Android®, but may be any suitable mobile OS. The mobile device includes a controller or processor, such as a general purpose processor, a digital signal processor, or other programmable logic device, programmed to execute the logic or algorithm of the app.

5 Current advances in LED technology allow for the production of LEDs which produce UV, which allows for the development of an efficient, cheap, miniaturized LED wood lamp for use with mobile devices including smartphones and tablets.

10 Embodiments of the invention relate to the use of an LED UV device connected to a mobile device for the evaluation of skin conditions. In particular, the UV device may include but is not limited to a UV LED flash light with a UV wavelength between 270 and 440 nm. The UV device may connect to the mobile phone via any suitable means including but not limited to physical connection or Bluetooth.

15 Skin conditions may include but are not limited to increase in pigmentation (ex. melasma, postinflammatory pigmentation); loss of pigmentation (ex. Vitiligo, ash-leaf macules in tuberous sclerosis, and hypomelanosis of Ito); pityriasis versicolor; malassezia folliculitis; tinea capitis; head lice; scabies; erythrasma; pseudomonas (wound infection); acne (propoionibacteria); porphyria; presence of porphyrins; application of salicylic acid (ex. 20 application of chemical peel); photodamage; application of sunscreen; acne; and actinic keratosis.

25 In one general aspect, embodiments of the invention relate to the use of a UV device for the evaluation of skin conditions comprising a processing program (mobile phone application software) and a user interface device (mobile device), wherein the processing program is capable of processing a captured image, identifying skin fluorescence produced by the UV device on the skin of a user in the captured image, and mapping skin parameters and trends in relation to the user based on comparisons between a captured image or images and a control image or images.

30 According to an embodiment of the invention, the captured image is preferably a two-dimensional image. The captured image may comprise multiple images, for example superimposed to create one two-dimensional image. For example, a facial captured image can comprise a left facial side image, a right facial side image, and a frontal facial image, superimposed to create one two-dimensional facial image. In certain embodiments, the facial captured images can comprise a left facial side image, a right facial side image, and a frontal

facial image superimposed to create one three-dimensional facial image. The captured image can be acquired by any photographing image capture device, preferably a camera phone or a tablet camera. The captured image can be acquired by a camera phone comprising dual-camera technology.

5

I. Acne-related skin condition

In one preferred embodiment, an app is used for patients undergoing acne treatment. Acne treatment is particularly prone to poor patient compliance and customer engagement. One type of acne treatment involves applying a topical product to the acne, such as a product 10 that uses benzoyl peroxide (e.g., Proactive[®] or Benzac AC[®] or epiduo[®]).

In one preferred embodiment, the app is used in conjunction with a blacklight, as follows:

1. The app is opened on the user's mobile device. ("Patient" and "user" are used herein interchangeably.)
2. Using the app, the user takes one or more photographs (photos) of the area where acne is present (typically, the face) while the area is illuminated by the blacklight.
3. The app provides an algorithm that computes a severity index of the acne based on the porphyrin presence at the surface of the skin based on the fluorescence level detected at the surface of the skin. In some embodiments, to compute the severity index, the app 20 compares the amount of porphyrin present at the surface of the skin imaged by the user (e.g., the density of detected porphyrin in the one or more photographs of the area in which acne is present) to a control image stored in the memory of the mobile device. The memory may be transitory memory and/or non-transitory memory. For example, non-transitory memory may be implemented as RAM, ROM, flash, ferromagnetic, phase-change memory, and other non-25 transitory memory technologies.

In some embodiments, the control image may be a stock image having a predetermined amount of porphyrin illuminated by the blacklight. The predetermined amount of porphyrin may be an amount that represents a low (or zero) severity index of acne. The app may then compare the one or more photographs taken by the user to the control 30 image and calculate a difference in the amount of porphyrin detected in the recently taken images to the amount of porphyrin present in the control image. The app may then assign a severity index based on the calculated difference.

In certain embodiments, the app also tracks serial photos and shows the overall progress during treatment in any suitable visual format, such as graphically. For example, the

app may compare the one or more photographs taken by the user to an initial photograph taken by the user before the start (or at the start) of treatment and stored in the memory of the mobile device, which may serve as a control image. The app may then calculate a difference in the amount of porphyrin detected in the recently taken images to the amount of porphyrin 5 detected in the initial image. The app may then graphically display the difference to the user to indicate the overall progress of the treatment. The graphical display may be in the form of, for example, a numerical value (e.g., percent difference, severity index difference), a trend line, or an image comparison (e.g., side-by-side image comparison of the taken image or images to the initial image or images, graphically morphing of the initial image showing the 10 initial amount of porphyrin detected to the taken image showing the present amount of porphyrin detected). In additional embodiments, the app may graphically display overall progress based on images taken during the treatment process to further illustrate trends in progress.

In another embodiment, the blacklight may be a UV synchronized flash light for 15 mobile devices. The blacklight may be optimized for fluorescence associated with the skin condition. In a preferred embodiment, the blacklight is optimized for fluorescence associated with *p. acnes*. Optimization of the blacklight may include but is not limited to flash wavelength, flash intensity (number of LEDs), and flash duration.

The UV flash light can be directly connected to the mobile device through the 20 headphone port, the USB port, the lightening port or by Bluetooth. The UV flash light may include its own rechargeable battery.

In another embodiment, the blacklight is a filter mounted or applied over the internal 25 LED light of the mobile device that is normally used as a flash for the camera and as a flashlight. It is well-known in the art to mount camera filters over the camera lens of a smartphone. A similar filter may be mounted over the LED light of the mobile device. However, since the algorithm in the app is calibrated for specific color ranges, it is preferable to use a commercially produced light filter to minimize variations in color intensity and shades. In this embodiment wherein the blacklight is a filter applied over the internal LED 30 light of the mobile device, step 1 involves simply turning on the flashlight app of the mobile device after the filter is mounted or attached.

The algorithm in the app analyzes the photo of the skin, particularly, the porphyrin level that is visible due to its fluorescence, and then counts, measures and estimates the level of *p. acnes*, and provides a quantitative output to the user via the acne severity index. The app may provide further information, such as number of lesions, trends in the acne severity

index, the user's history, and advice on how to improve usage of the acne medication including product application tips. The app may further include other parameters for user input including symptoms such as inflammation and oiliness level, and potential triggering factors including but not limited to food, alcohol, tobacco use. The app may also provide 5 parameters including but not limited to pollution and UV index. The app may provide the user the opportunity to compare acne severity index scores within an acne community.

By more actively engaging the user/patient in the acne treatment via the app, product usage and effectiveness will likely improve, particularly if the severity index shows a declining trend, thereby confirming to the patient that the acne treatment is working, even if it 10 is not immediately noticeable. Likewise, if the acne treatment is not working, the patient may be informed via the app of alternative treatment methods or other lifestyle tips that may improve the acne condition.

II. Sunscreen application

15 In another preferred embodiment of the present invention, a UV flashlight (blacklight) used in combination with a mobile device, is used to verify the correct application of sunscreen. The following steps are employed in this embodiment:

1. The app is opened on the user's mobile device.
2. Using the app, the user takes one or more photographs (photos) of the area(s) where 20 the sunscreen was applied or intended to be applied, while the area is illuminated by the blacklight.
3. The app provides an algorithm that computes the effectiveness of the sunscreen application. A quantitative evaluation of the sunscreen application is provided to the user for each photo. In certain embodiments, a control image having an optimal amount of sunscreen 25 application (e.g., an optimal overall density of sunscreen detected) is stored in the memory of the mobile device. The app compares the detected amount of sunscreen present in the one or more photos taken by the user to the control image to determine the effectiveness of the sunscreen application. The app may then graphically display an indication of the effectiveness of the sunscreen application. For example, the app may graphically display a scaling factor or index level (e.g., relative scale of 1 to 10) on the effectiveness of the 30 sunscreen application. In additional embodiments, areas of poor application are highlighted to the user so that additional sunscreen can be applied to the areas of poor application. For example, the app may graphically display the effectiveness of the sunscreen application by

providing relative coloring on the photos taken by the user (e.g., red indicating areas of poor application and green indicating areas of optimal or appropriate application).

When a photo of skin is taken with UV light, the darker the skin in the photo, the less UV light is being absorbed by it, which means the skin is better protected. Thus, the darker 5 the image, the better. Likewise, white patches show areas of skin where a lot of UV light is getting through, indicating poor application of sunscreen. These principles are incorporated into the algorithm of the app to provide the quantitative evaluation.

III. Photodamage

10 Photodamage refers to the structural and functional deterioration of sun-exposed skin, specifically damage to skin and/or DNA caused by exposure to ultraviolet radiation. In another preferred embodiment of the present invention, a UV flashlight (blacklight) used in combination with a mobile device, is used to identify potential photodamage. The following steps are employed in this embodiment:

- 15 1. The app is opened on the user's mobile device.
2. Using the app, the user takes one or more photographs (photos) of the area(s) where the potential photodamage is being investigated, while the area is illuminated by the blacklight.
3. The app provides an algorithm that computes a quantitative measure of 20 photodamage using the images in the photos. The quantitative measure is communicated to the user within the app, along with recommended products that can be used and/or lifestyle actions that can be taken to reduce the amount of future photodamage. In certain embodiments, a control image having a low or zero level of photodamage is stored in the memory of the mobile device. The app compares the detected amount of photodamage 25 present in the one or more photos taken by the user to the control image and provides a quantitative measure of the determined difference to the user. The app may graphically display an indication of the photodamage present on the user. For example, the app may graphically display a scaling factor or index level (e.g., relative scale of 1 to 10) of the severity of the user's photodamage. In other embodiments, the control image or images are 30 previous images taken by the user and stored in the memory of the mobile device. The app may then compare the detected amount of photodamage present in the one or more photos taken by the user to the previous images. The app may graphically display an indication of the progress of severity of photodamage present on the user and/or provide an indication in the reduction of progress of photodamage over time.

IV. Actinic keratoses (AK) lesions

Actinic keratoses (AK), also called solar keratoses, are scaly, crusty growths (lesions) caused by damage from the sun's ultraviolet (UV) rays. They typically appear on sun-exposed areas such as the face, bald scalp, lips, and the back of the hands, and are often elevated, rough in texture, and resemble warts.

In another preferred embodiment of the present invention, a UV flashlight (blacklight) used in combination with a mobile device, is used to identify AK. The following steps are employed in this embodiment:

- 10 1. The app is opened on the user's mobile device.
2. Using the app, the user takes one or more photographs (photos) of the area(s) where AK is being investigated, while the area is illuminated by the blacklight
3. The app provides an algorithm that computes a quantitative measure of AK using the images in the photos. The quantitative measure is communicated to the user within the app, along with recommended products that can be used and/or lifestyle actions that can be taken to reduce the severity of existing AK lesions and/or to reduce the amount of future AK lesions. In certain embodiments, a control image having a low or zero level of AK lesions is stored in the memory of the mobile device. The app compares the detected amount of AK lesions present in the one or more photos taken by the user to the control image and provides a quantitative measure of the determined difference to the user. The app may graphically display an indication of the AK lesions present on the user. For example, the app may graphically display a scaling factor or index level (e.g., relative scale of 1 to 10) of the severity of the user's AK. In other embodiments, the control image or images are previous images taken by the user and stored in the memory of the mobile device. The app may then compare the detected amount of AK lesions present in the one or more photos taken by the user to the previous images. The app may graphically display an indication of the progress of severity of AK present on the user and/or provide an indication in the reduction of progress of AK over time.
- 30 Endogenous photosensitizer protoporphyrin IX (PpIX, also referred to herein as "PP9") may be a surrogate condition for AK lesions. Fluorescence emitted by methyl ester of ALA (MAL)-induced PP9 may be useful in providing a fluorescence diagnosis of cutaneous lesions. This permits the detection of otherwise occult areas of abnormal skin. Tumor margins can also be delineated with the use of the blacklight. In one preferred embodiment,

these principles are incorporated into the algorithm of the app to provide the quantitative measure.

CLAIMS

I/We claim:

1. A method for evaluating skin conditions on a skin area of a user using a UV device, such as a UV LED device, that can connect to a mobile device and user input, the method comprising:
 - a. illuminating the skin area of the user with the UV device operated by the mobile device;
 - b. capturing an image of the illuminated skin area with an image capture device;
 - c. processing the image with a processing program to determine the level of fluorescence on the skin area; and
 - d. mapping progress of treatment of the skin condition based on the processed image compared to a control image.
2. The method according to claim 1, wherein the skin condition is acne.
3. The method according to claim 2, wherein the level of fluorescence on the skin area of the user detects the presence of *Propionibacterium acnes* via porphyrins.
4. The method of claim 1, wherein mapping of progress of treatment includes calculation of an acne severity index for the user.
5. A method for evaluating skin conditions on a skin area of a user according to one or more of the features described herein.
- 25 6. An apparatus for evaluating skin conditions on a skin area of a user according to one or more of the features described herein.

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2017/047293

A. CLASSIFICATION OF SUBJECT MATTER
INV. A61B5/00
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>LUCCHINA L C ET AL: "Fluorescence photography in the evaluation of acne", JOURNAL OF THE AMERICAN ACADEMY OF DERMATOL, MOSBY, INC, US, vol. 35, no. 1, 1 July 1996 (1996-07-01), pages 58-63, XP004918922, ISSN: 0190-9622, DOI: 10.1016/S0190-9622(96)90497-1 abstract page 58, left-hand column, lines 6-8 section Photography; figure 1 section Results; figures 2-3; table 2 the whole document</p> <p>-----</p> <p style="text-align: center;">-/-</p>	1-6

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance
"E" earlier application or patent but published on or after the international filing date
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
"O" document referring to an oral disclosure, use, exhibition or other means
"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
7 November 2017	14/11/2017
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Sarcia, Regis

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2017/047293

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2011/117025 A1 (DACOSTA RALPH SEBASTIAN [CA] ET AL) 19 May 2011 (2011-05-19) paragraphs [0071] - [0073], [0078]; figures 1, 1B paragraphs [0170], [0174]; figure 12J -----	1-6
X	Georgios N. Stamatias ET AL: "Modern Technology for Imaging and Evaluation of Acne Lesions" In: "Pathogenesis and Treatment of Acne and Rosacea", 1 January 2014 (2014-01-01), Springer Berlin Heidelberg, Berlin, Heidelberg, XP055422043, ISBN: 978-3-540-69375-8 pages 331-340, DOI: 10.1007/978-3-540-69375-8_45, section 45.4; figures 45.2, 45.3 -----	1-6
A	FARTASH VASEFI ET AL: "Vanishing point: a smartphone application that classifies acne lesions and estimates prognosis", PROCEEDINGS OPTICAL DIAGNOSTICS OF LIVING CELLS II, vol. 9711, 14 March 2016 (2016-03-14), page 97110V, XP055422051, US ISSN: 0277-786X, DOI: 10.11117/12.2222419 ISBN: 978-1-5106-1354-6 abstract page 1, lines 11-16 page 2, lines 17-19 section 3 the whole document -----	1-6

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2017/047293

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
US 2011117025	A1	19-05-2011	CA 2724973 A1	26-11-2009
			CA 2891990 A1	26-11-2009
			CN 102099671 A	15-06-2011
			CN 104939806 A	30-09-2015
			EP 2291640 A1	09-03-2011
			JP 6147714 B2	14-06-2017
			JP 2011521237 A	21-07-2011
			JP 2015057600 A	26-03-2015
			JP 2017189626 A	19-10-2017
			US 2011117025 A1	19-05-2011
			US 2016045114 A1	18-02-2016
			WO 2009140757 A1	26-11-2009