(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 5 July 2001 (05.07.2001)

PCT

(10) International Publication Number WO 01/48681 A3

(51) International Patent Classification7: G06K 9/54, 9/62

(21) International Application Number: PCT/SG00/00199

(22) International Filing Date:

22 December 2000 (22.12.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/171,582

23 December 1999 (23.12.1999) U

- (71) Applicant: NATIONAL UNIVERSITY OF SINGA-PORE [SG/SG]; 10 Kent Ridge Crescent, Singapore 119260 (SG).
- (72) Inventors: XIA, Tao; Blk 1C, Gillman Heights #18-19, Singapore 102001 (SG). LIU, Bao; Blk 894B Woodlands Drive 50, #11-39, Singapore 731894 (SG). THAM, Jo, Yew; Apartment #1110, 1035 Aster Avenue, Sunnyvale,

CA 94086 (US). **SHEN, Lixin**; Blk 31, Dover Road #03-111, Singapore 130031 (SG). **LEE, Seng, Luan**; 58 Upper Thomson Road, Singapore 576230 (SG).

- (74) Agent: SACHITHANANTHAN, Suresan; c/o Tan Rajah & Cheah, 9 Battery Road, #15-00, Straits Trading Building, Singapore 049910 (SG).
- (81) Designated States (national): AU, CA, CN, JP, SG.
- (84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

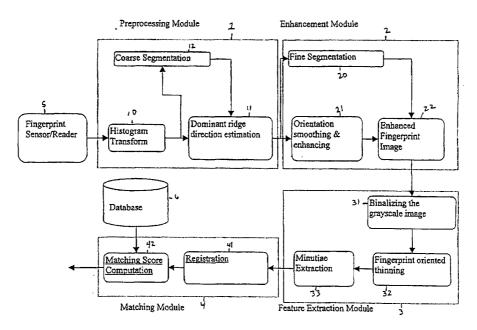
Published:

with international search report

(88) Date of publication of the international search report: 7 February 2002

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: AUTOMATED FINGERPRINT IDENTIFICATION SYSTEM



Flowchart of declared system

(57) Abstract: A method and system for performing automated biological identification. The system including a preprocessing module with a histogram transform for locally and globally enhancing biological data such as fingerprints. An enhancement module with a fast smoothing and enhancement function. A feature extraction module with a fingerprint oriented thinning function. A matching module with a resolution-enhanced Hough transform function for fingerprint registration and matching score function.



INTERNATIONAL SEARCH REPORT

International Application No PCT/SG 00/00199

a. classification of subject matter IPC 7 G06K9/54 G06K9/62

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) $IPC - 7 \qquad G06K$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUM	ENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Х	US 5 493 621 A (MATSUMURA YOSHIHIDE) 20 February 1996 (1996-02-20) abstract column 13, line 28 - line 59 column 14, line 63 -column 15, line 5	1,4,8,16
A	DE 40 04 948 A (AGFA GEVAERT AG) 22 August 1991 (1991-08-22) column 3, line 65 -column 4, line 63	3
Α	US 5 659 626 A (ORT JAMES R ET AL) 19 August 1997 (1997-08-19)	1-10
X	abstract	16,17
А	GB 1 577 797 A (CALSPAN CORP) 29 October 1980 (1980-10-29) the whole document/	11-16

X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search 2 October 2001	Date of mailing of the international search report 3 1 10 2001
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016	Authorized officer Sonius, M

3

INTERNATIONAL SEARCH REPORT

International Application No
PCT/SG 00/00199

C.(Continua	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 119 435 A (BERKIN GEORGE M) 2 June 1992 (1992-06-02) abstract; figures 4,5	11-15
А	ATIQUZZAMAN M: "PIPELINED IMPLEMENTATION OF THE MULTIRESOLUTION HOUGH TRANSFORM IN A PYRAMID MULTIPROCESSOR" PATTERN RECOGNITION LETTERS, NORTH-HOLLAND PUBL. AMSTERDAM, NL, vol. 15, no. 9, 1 September 1994 (1994-09-01), pages 841-851, XP000465982 ISSN: 0167-8655 abstract	11-15
A	MASASHI MORIMOTO ET AL: "VARIABLE HOUGH TRANSFORM FOR HIGH-RESOLUTION LINE DETECTION" SYSTEMS & COMPUTERS IN JAPAN, SCRIPTA TECHNICA JOURNALS. NEW YORK, US, vol. 24, no. 12, 1 November 1993 (1993-11-01), pages 88-98, XP000447466 ISSN: 0882-1666 abstract	11-15

3

International application No. PCT/SG 00/00199

INTERNATIONAL SEARCH REPORT

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)			
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:				
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:			
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:			
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).			
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)			
This Inte	ernational Searching Authority found multiple inventions in this international application, as follows:			
	see additional sheet			
	As a result of the prior review under R. 40.2(e) PCT, no additional fees are to be refunded.			
1. χ	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.			
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.			
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:			
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:			
Remark (on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.			

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-10,16-20

Fingerprint processing methods, in which non-trivial features concern different forms of image preprocessing

2. Claims: 11-15

Fingerprint matching systems, with specified matching-processing, in particular the use of the generalised Hough Transform

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No
PCT/SG 00/00199

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 5493621	A	20-02-1996	JP JP DE GB GB	2759309 B2 7110860 A 4413963 A1 2278945 A ,B 2310522 A ,B	28-05-1998 25-04-1995 09-03-1995 14-12-1994 27-08-1997
DE 4004948	Α	22-08-1991	DE	4004948 A1	22-08-1991
US 5659626	A	19-08-1997	US US	5926555 A 5799098 A	20-07-1999 25-08-1998
GB 1577797	Α	29-10-1980	AU BR DE FR JP US ZA	2648577 A 7704703 A 2732382 A1 2358866 A1 53012235 A 4185270 A 7703718 A	04-01-1979 04-04-1978 26-01-1978 17-02-1978 03-02-1978 22-01-1980 30-05-1978
US 5119435	Α	02-06-1992	US JP	4853968 A 1099175 A	01-08-1989 18-04-1989