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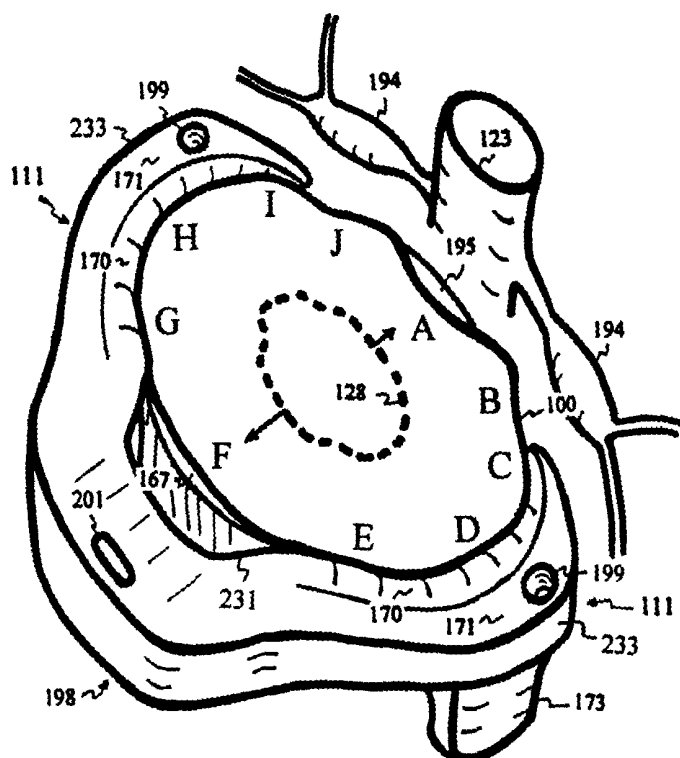
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(54) Title: INTERVERTEBRAL DISC REPAIR



(57) Abstract: A saddle-shaped compression device and methods of fastening a dysfunctional intervertebral disc are used to (1) compress a protrusion to alleviate nerve impingement, (2) fortify the annulus to stabilize a motion segment, (3) minimize the inward/outward bulging and delamination of the annulus, (4) atrophy the nerve to treat discogenic pain, (5) correct the curvature of spinal deformities, (6) elevate the disc space to treat spinal stenosis, and (7) seal the seepage of nucleus pulposus from a herniated disc.

INTERVERTEBRAL DISC REPAIR

Jeffrey E. Yeung and Teresa T. Yeung

FIELD OF INVENTION

This invention relates to devices and methods for treating disc protrusion, segmental instability, spinal stenosis, scoliosis or kyphosis by compressing or thickening the intervertebral disc. The invention also proposes a device and method to promote annular regeneration and adhesion onto the end plate to accelerate healing of the dysfunctional disc and spondylolisthesis.

BACKGROUND, EXISTING SURGICAL PRACTICES AND PRIOR INVENTIONS

Low-back pain is one of the most prevalent, costly and debilitating ailments afflicting mankind. Seventy to eighty-five percent of all people have back pain at some time in their life. Symptoms are most common among middle-aged adults and are equally common among both men and women. Back pain related to disc disorders, however, is more prevalent among men. The recurrence rate of low back pain ranges from 20% to 44% annually, with lifetime recurrences of 85% (National Institute of Health Guide, Vol. 26, 16, May 16, 1997).

Low back pain is very costly to patients, our health care system and society. For many, no position can ease their pain or numbness, not even bed rest. It is often the reason for decreased productivity due to loss of work hours, addiction to pain-killing drugs, emotional distress, prolonged hospital stays, loss of independent living, unplanned early retirements and even financial ruin. Each year in the US, about 2% of the work force have back injuries covered by worker's compensation, with about \$12 billion spent directly on medical costs in 1994.

Bulging or Herniated Intervertebral Discs

Most back pain is initiated with a defective or damaged intervertebral disc. The disc is comprised of nucleus pulposus and annulus. The nucleus pulposus is highly gelatinous with a composition of 70-90% water, 25-60% proteoglycan (dry weight) and 10-20% collagen (dry weight). The function of the nucleus pulposus is to sustain prolonged compression during the day and to resiliently re-inflate and reestablish disc height during the night. The pulposus is retained and surrounded by layers of cartilaginous annulus. Together the pulposus and the annulus behave as a resilient cushion. In the erect position, the weight of the body constantly compresses upon a stack of these cushions alternating between a series of vertebrae. During constant compression, the pulposus in each disc also behaves as a water reservoir, which is

slowly and constantly being squeezed and drained of its water content through the end plates connected to the vertebrae. As a result, the disc height decreases throughout the day. During bed rest, the weight of the body no longer compresses the disc. Due to the water absorbing nature of the nucleus pulposus, the flow of water then reverses from the vascular vertebrae back into the proteoglycan and collagen. As a result, the disc height is reestablished and ready to provide support for another day.

With aging and degeneration, the viscoelastic property of the nucleus pulposus undergoes a transition from fluid-like to solid-like behavior (J.C. Iatridis et. al., Journal of Orthopaedic Research, 15:318-322, 1997). Under dynamic conditions, the gelatinous nucleus pulposus exhibits predominantly solid-like behavior with values for dynamic modulus ranging from 7 to 20 kPa (J.C. Iatridis et. al., J. Biomechanics, Vol. 30, No. 10, 1005-1013, 1997). As a result, both the resiliency and disc height diminish.

Bulges are most commonly reported at the posterior-lateral regions of the discs. The bulging regions are commonly divided into zones. The posterior region where the spinal cord is located is called the central zone. Adjacent to both sides of the central zone are the entrance zones, followed by pedicle zones, the exit zones, and the far lateral zones. Bulges at the far lateral zones, the most accessible area, have the highest surgical success rate.

Some causes that contribute to low back pain are classified. Type I: Acute back sprain involves damage to ligaments, muscles or even the vertebral end plates from physical overload. Type II: Organic idiopathic spine pain occurs from increased fluid uptake by the disc. Type III: Disruption of posterolateral annular fibers irritates nerves associated with the sacroiliac region, buttock and the back of the thigh. This situation may resolve itself through reabsorption or neutralization by phagocytosis of the disrupted annular fibers. Type IV: Nerve root irritation by the bulging disc leads to sciatica. This type of disc protrusion is traditionally repaired surgically by tissue removal, chemonucleolysis or percutaneous discectomy. Type V: Nerve irritation by wandering sequestered disc material has unpredictable exacerbation and remission. Type VI: Sequestrum of the annulus and/or nucleus into the spinal canal or intervertebral foramen results in nerve irritation from inflammation, mechanical pressure, chemical irritation, autoimmune response or combinations of irritants. Type VII - A degenerated disc, with substantial decrease in mechanical properties, is often associated with pain and disability.

The most common reason for recurrent pain is the bulging or herniation of an intervertebral disc. The traditional surgical treatment for a bulging or herniated disc is a series of tissue

removing, filling and supporting procedures: (1) laminectomy, excision of the posterior arch of a vertebra which covers part of the herniated disc, (2) discectomy, removal of the disc, (3) bone harvesting usually from the patient's iliac crest, (4) donor bone packing into the vacant disc space, (5) supporting adjacent vertebral bodies with rods, connectors, wire and screws, (6) bone cement filling the donor site, and finally (7) closing multiple surgical sites.

Numerous postoperative complications can occur after a back surgery. The major ones are lumbar scarring and vertebral instability. The scar tissue extends and encroaches upon the laminectomy site and intervertebral foramen, then once again, pain returns, which leads to more surgery. In fact, repeat operations are very common, 10-20%. Unfortunately, the success rates of repeat operations are often less, in some cases, far less than the first. More operations lead to more scarring and more pain. Current recommendations to the patients are to avoid surgical procedures unless the pain and inconveniences are absolutely unbearable. Even for the fortunate patients with long term success following discectomies performed twenty years ago, their isokinetic test results clearly indicate weaknesses compared to populations without discectomies.

There was and still is increasing interest in more effective and less invasive surgical techniques on the spine to reduce both trauma and cost. The major objectives of surgery on bulging or herniated lumbar discs are (1) decompression of the involved nerve root or roots, and (2) preservation of bony spine, joints and ligaments.

Chymopapain is an enzyme used to digest the nucleus pulposus, the viscous and gel-like substance in the central portion of the disc, which then creates space for the bulging part of the disc to pull back from the encroached nerve root. The needle for injecting the chymopapain is accurately guided to the mid-portion of the disc by a stereotaxic device. The overall success rate is documented as high as 76%. However, some patients are allergic to the treatment and die from anaphylaxis. Some suffer from serious neuralgic complications, including paraplegia, paresis, cerebral hemorrhage and transverse myelitis.

Percutaneous nucleotomy is an alternative method for removing nucleus pulposus without the allergic reaction of chymopapain, and it rarely causes epidural scarring. Similar to the chymopapain injection, a needle followed by a tube-like instrument is guided and confirmed by anteroposterior and lateral fluoroscopy. The nucleus pulposus is then removed mechanically or by vacuum. As a result, a void is created within the disc and the bulging decreases, like the air being released from a worn out tire, with the hope that the bulging portion of the disc will recede and no longer encroach upon the adjacent nerve root. This type of procedure is often referred to

as one of the decompression procedures. However, the amount of nucleus pulposus removed has been documented to be insignificantly small, with unpredictable results and a low rate of success.

Recently, several devices (US Patent No. 5,800,550 to Sertich, 1998; US Patent No. 5,683,394 to Rinner, 1997; US Patent No. 5,423,817 to Lin, 1995; US Patent No. 5,026,373 to Ray et. al., 1991) were designed to fortify the disc space between vertebrae. These types of devices are frequently referred to as spinal cages. Before inserting the device into the disc, the affected disc with portions of vertebral bone above and below the disc are cored out. Usually two holes are cored on each side of the disc for insertion of two spinal cages. Donor bone or bone growth promoting substances are packed into the porous cages. As the vertebrae heal from the coring, new bone grows into and permanently secures the porous cages. The purpose of using spinal cages is to replace the disc and keep the vertebrae apart. However, these vertebrae are permanently fused to each other, without resilient cushion, rotation or mobility.

An improved version of a metallic spinal fusion implant (US patent 5,782,832 to Larsen and Shikhman, 1998) tries to provide both rotational and cushioning capabilities. This invention resembles a disc prosthesis following a complete discectomy. Therefore, at the least, all the complications and postsurgical problems associated with a discectomy also apply when this device is used.

Patent application, WO 00/40159 by Yeung et al., introduces some devices and methods for fastening herniated and/or bulging discs. The application covers a resiliently bent fastener, screw, suture, staple and tack, with methods to fasten and hold in the bulging annulus. Another patent application, WO 01/95818, by Yeung, introduces more devices and methods for fastening the intervertebral disc to treat nerve impingement, vertebral instability and spinal stenosis.

Spinal Stenosis

Disc degeneration has been shown to be the first stage in the aging processes of the spine. As the process develops, the circumferential and radial tears of the annulus become evident, proteoglycan and collagen dehydrates (water content of nucleus pulposus fall from 85% to 70%), resulting in decreased disc height. As the annulus continues to degenerate, the disc bulges and/or flattens, narrowing the central canal. The condition is called spinal stenosis. Spinal stenosis is a progressive and dynamic process. Depending on the amount and location of the stenosis, the symptoms may be restricted to a single isolated root, as in lateral recess stenosis, or may involve multiple levels. A normal lumbar canal has a 12-mm or greater anterior-posterior diameter.

However, the nerve root within the small neuroforamen is particularly susceptible to impingement from a lateral bulging disc and is often further aggravated by facet joint erosion or alteration.

Mechanical compression of spinal nerve roots from spinal stenosis has a variety of clinical symptoms, including weakness, reflex alterations, pain and paresthesias. Intermittent neurogenic claudication (limping) has been found in patients with stenosis. Clinical features include low back pain and dysesthesia (sense impairment) spreading diffusely down the posteriolateral parts of the lower extremities, often asymmetrically. Pain is typical and often exacerbated by walking and standing. Symptoms disappear with sitting, recumbency or other changes in posture that reverse the lumbar lordosis (curvature). To distinguish clinically between spinal stenosis and herniated disc, restriction of straight-leg raising is frequently not painful in patients with spinal stenosis, but painful in patients with disc herniation. Spinal stenosis complicated by a herniated disc and spondylosis was noted to occur in 39% of 227 patients with low back pain. Spinal stenosis was the only cause of symptoms in only 8% of patients (M. Camins. et. al., *The Lumbar Spine*, Raven Pres, NY, 1987, pp.149).

As the disc space narrows, the settling of the facet joints greatly increases mechanical stress, leading to joint erosion. As the joint erodes, the narrowed space of the neuroforamen diminishes. The nerve root is entrapped and surrounded by the pedicle (the bony extension forming the facet joint) superiorly, the bulging disc inferiorly, the vertebral body osteophytes anteriorly and the hypertrophied degenerative facets posteriorly. Most nerve entrapment occurs in the vicinity of the pedicle. This has been referred to as the hidden zone. The nerve root and ganglion are highly protected and covered by bone. Decompression of the nerve root using current surgical technique requires a significant amount of bone and disc removal, making the procedure very invasive. Nerve root impingement at the extraforaminal zone is usually from ligament, lateral disc herniation or tumor.

Although the majority of lumbar spinal conditions should initially be treated conservatively, certain conditions do require urgent surgical intervention. Significant or progressive weakness of the lower extremity in the form of either footdrop or the inability to toe stand may result in irreversible damage. It is imperative to initiate early diagnostic evaluation followed by prompt surgical treatment.

Decompression laminectomy (excision of the posterior arch of a vertebra) is the standard procedure advocated. The ligamentum flavum is usually left intact to protect the dura, and the facet joints are protected. But in certain instances less aggressive laminotomies (removal of a

portion of lamina) may be appropriate with hospitalization 5 to 7 days postoperatively.

Ambulation may begin within 24 hours after surgery and often on the same day. Despite the invasiveness of the procedure, mortality rate is low (0.1 - 0.6%). Other complications include neurologic deficit, temporary in 5%, permanent deficit in 1.3%, cerebrospinal fluid fistulas (leakage) 4.6%, infection 0.5% - 8.5%, reoperation 9.8% and increased risk of facet fractures.

A 20-year follow-up study, noted complete relief of preoperative signs and symptoms in 68% of patients. The remaining patients (32%) continue having lumbago (pain in low back and buttocks), intermittent claudication (lameness), motor deficit, sciatica (pain radiating from the back into lower extremity), paraplegia (paralysis of the legs) and/or micturition (the passage of urine).

Segmental Instability

Instability across the motion segment (vertebral body-disc-vertebral body) can occur as the disc degenerates. Segmental instability resembles an out-of-control car riding on one or more flat tires with deflated and unsupported sidewalls. A flattened intervertebral disc causes excessive movement between vertebral bodies, leading to pain in surrounding ligaments and facet joints. Depletion of nucleus pulposus from the percutaneous nucleotomy procedure can accelerate disc flattening or thinning, leading to segmental instability and/or spinal stenosis. Although it might not be grossly detected radiographically, this instability is most apparent during compressional or rotational movements. Under normal conditions, the spinal motion segment and particularly the neuroforamen can smoothly and symmetrically accommodate rotational motions, as well as flexion and extension, without significant alteration of available space. However, as the disc degenerates, the ligaments buckle, the facet joints mal-align and unstable movement appears during routine vertebral motions. With narrowing of the central canal and neuroforamen, unstable vertebral movements produce irritation, inflammation and pain.

Treatment recommended for segmental instability is mostly rest and drug therapy, including analgesics, anti-inflammatory agents, oral steroids, muscle relaxants and antidepressants.

Spondylolisthesis

The axial compression force upon the L5-S1 level is between 1500 and 2500 N, bending moment between 15 NM and 25 NM. Due to the curvature of the spine, approximately 20% of the axial compression force is a forward-directed shear force. (Bergmark A., Acta Orthop Scand Suppl:230-238, 1989). As the shear force works on an aging and degenerating disc, the forward sliding process begins. The shear force intensifies as the L5 moves forward and provides more

and more leverage. Finally, the ventral (forward) sliding of L5 in relation to S1, called spondylolisthesis, brings a great deal of pain from many possible nerve impingements, including impingement by the transverse process and ligament.

When slippage is less than 50%, vertebral traction alone can usually reposition the L5-S1 disc without removing the L5-S1 disc. Lumbosacral fusion is followed. However, if the slippage is greater than 50%, additional instrumentation may be required to reposition the L5. During the repositioning process, the L5-S1 disc may not be spared. Lumbosacral fusion is necessary and usually done with pedicle screws and instrumentation in an open surgery.

Deformities of Spine

Most spine deformities are innate. Surgical correction of these deformities is highly invasive and many require repeat surgeries due to instrumentation fatigue/failure or complications. Scoliosis is a condition involving lateral curves or angular deviations of one or more vertebral segments. Commonly known as humpback, kyphosis is an exaggeration of the posterior convexity of the thoracic vertebral column. Three common causes of kyphosis are (1) absence of T-12 vertebral body, (2) malformation and incomplete segmentation of vertebral body, and (3) indentation of anterior portion of vertebral body from compression. Lordosis is an exaggeration of the posterior concavity of the spine characteristic of the lumbar region. Commonly known as swayback, it indicates extreme anterior curvature of the lumbar spine.

SUMMARY OF INVENTION

The majority of back pain can be traced to one or more degenerative or damaged discs. Instead of repairing the disc, current surgical devices and techniques are designed to decompress nerve impingement by removing adjacent tissues, and/or fusing the spine with instruments. The common problems associated with the techniques are scarring, instrument fatigue/failure and/or progressive degeneration of the spine.

In this invention, a saddle-shaped compressor with an annular contact surface thickening into a sloped surface is used to (1) compress the disc protrusion to alleviate nerve impingement, (2) fortify the bulging annulus to minimize segmental instability, (3) wedge into and thicken the disc to repair spinal stenosis, scoliosis, kyphosis or lordosis, and/or (4) atrophy the sinuvertebral nerve to treat discogenic pain. The disc-compressing compressor can be fastened (1) around the disc as a resilient clamp, (2) from a bracket anchored on the vertebral body, (3) through the disc with a bolt, or (4) through a portion of the disc and the end plate into the vertebral body with a screw.

Annular tissue is slow to heal. To facilitate the healing process, bleeding sites are surgically inflicted on the end plate with a straight or curved trocar. Oozing of the bleeding sites forms adhesion between the compressed annular tissue and the end plate, keeping the annular tissue from bulging out. The adhesion assists the fastened compressor in maintaining annular compression. The adhesion from surgically inflicted bleeding sites may be particularly useful in treating spondylolisthesis after the detached vertebral body has been realigned with the disc. The end plate bleeding sites can also serve as passages or channels to transport nutrients and metabolites between the vascular vertebral body and the avascular annulus, expediting healing or regeneration of the degenerative disc.

Discogenic pain is believed to originate from ingrowth of sinuvertebral nerves into a degenerative disc. Continual compression of the compressor over the nerve on the surface of the disc can atrophy the nerve, ceasing the transmission of the pain signal sensed within the degenerative disc.

The compressor can be elastically fastened to continuously compress into the disc. With time, the sloped surface of the compressor slowly wedges into the annulus to expand and thicken the disc. The annular expansion or thickening is maintained by plateau surfaces of the compressor shimmed between the epiphyses of vertebral bodies, thus elevating the disc height to alleviate nerve impingement from spinal stenosis. Similarly, one side of a disc can be selectively shimmed and elevated by elastic compression of the compressor to straighten and correct spinal deformities, such as scoliosis, kyphosis or lordosis with time.

REFERENCE NUMBER

100 Intervertebral disc	111 Disc compressor
101 Tightening elements	112 Indented portion
102 Nerve	115 Epiphysis
103 Trocar	116 Bolt head
104 Sleeve with windows	117 Stabilizer lumen
105 End-plate	118 End of lift spring
106 Slit opening	119 Annulus contact surface
107 Strut	120 Hole for bolt
108 Head of sleeve with window	121 Lift spring
109 Thread	122 Supporting plate
110 Hole for screw or bolt	123 Spinal cord

- 124 Delivery device
- 125 Coil spring
- 126 Pivoting means
- 127 Elastic fastening means
- 128 Nucleus pulposus
- 129 Facet joint
- 130 Tip of the compressor
- 131 Delivery capsule
- 132 Latch
- 133 Socket drive
- 134 Stabilizer
- 135 Lip of stabilizer
- 139 Bracket
- 140 Sacrum
- 142 Superior articular process
- 143 Inferior articular process
- 159 Vertebral body
- 160 Tissue ingrowth opening
- 161 Bolt
- 162 Nut
- 163 Washer
- 164 Indentation
- 165 Slit hole for bolt or screw
- 167 Anterior longitudinal ligament
- 170 Sloped surface
- 171 Plateau surface
- 172 Pivotal peg or screw
- 173 Stop
- 176 Widening tool
- 177 Clamp grabber
- 178 Lock screw of widening tool
- 179 Lock wheel of widening tool
- 180 Hinge of lock screw
- 181 Handle of widening tool
- 182 Pivotal joint of widening tool
- 183 Lock slot
- 184 Impingement of nerve
- 185 Trocar guide
- 187 Screw
- 188 Casing of compressor
- 194 Ventral/dorsal ramus nerve root
- 195 Posterior longitudinal ligament
- 196 Nerve shield
- 198 Clamp
- 199 Widening mount
- 201 Support mount
- 202 Trough on shield
- 212 Strap
- 213 Distal tip of the nerve shield
- 214 Open channel of nerve shield
- 215 Staple
- 216 Sinuvertebral nerve
- 217 Screw entry
- 218 Biodegradable sleeve
- 220 Trocar sleeve
- 221 Label showing direction of curved trocar
- 223 Trough or indentation of compressor
- 224 Bleeding sites
- 225 Lumen of sleeve with window
- 226 Screw head
- 228 Opening for socket or screw driver
- 229 Locking mechanism
- 230 Dilator

231 Indentation of disc clamp

234 Spinal fusion

233 Outer surface

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 depicts a common disc **100** protrusion at or near the neuroforamen, impinging upon the ventral/dorsal ramus nerve root **194**.

Figure 2 shows a nerve shield **196** with a thin but blunt distal tip **213** to reach into or near the neuroforamen and a trough **202** to protect the nerve exiting from the neuroforamen.

Figure 3 indicates the nerve shield **196** reaching into or near the neuroforamen by sliding over the bulging annulus of the disc **100**.

Figure 4 depicts two nerve shields **196** protecting the nerves **194** from instrumentation.

Figure 5 shows an elastic clamp **198** comprising two disc compressors **111** with annular contact surfaces **119**, sloped surfaces **170**, plateau surfaces **171**, stops **173**, widening **199** and support **201** mounts.

Figure 6 shows a clamp-widening tool **176** equipped with clamp grabbers **177** and a locking mechanism capable of slow release.

Figure 7 depicts widening and placement of the disc clamp **198** by the widening tool **176** around the protruded disc **100**.

Figure 8 shows alleviation of nerve **194** impingement by clamping of the bulging annulus with compressors **111**. The size of the clamp/compressors **198/111** is enlarged disproportionately to the disc **100**, for clarification.

Figure 9 indicates the locations of compression by the compressors **111**. The important compressions are at area C and I, common locations of disc **100** protrusion.

Figure 10 indicates an elastic strap **212** threaded through the support mount **201** to support the disc clamp **198**. The elastic strap **212** is secured by a staple **215** anchored in the vertebral body **159**.

Figure 11 depicts a coronal view of the clamped disc **100** during initial clamping. The sloped surfaces **170** of the compressors **111** rest on the surface of the annulus.

Figure 12 shows penetration of the sloped surfaces **170** with time. Further penetration is halted by the stops **173** resting on the sides of the vertebral body **159**.

Figure 13 depicts a coronal view of two unsymmetrical compressors **111** installed on a scoliotic vertebral segment.

Figure 14 shows correction or straightening of the scoliotic vertebral segment with time, by selectively elevating, wedging or shimming the concave side of the vertebral segment.

Figure 15 depicts a disc clamp **198** with thick compressors **111** installed on a disc **100** displaying spinal stenosis. The size of the clamp/compressors **198/111** is enlarged disproportionately to the disc **100**, for clarification.

Figure 16 shows penetration of the sloped surfaces **170** and plateau surface **171** with time into the disc **100** to thicken the intervertebral disc **100**.

Figure 17 depicts a coronal view of compressors **111** initially installed around a disc **100** displaying spinal stenosis. Bone spurs have grown around the vertebral body **159**.

Figure 18 shows penetration and shimming of the compressors **111** with time into the disc **100** to elevate disc height. The penetration is halted when the stops **173** rest on the vertebral body **159**.

Figure 19 shows that the compressors **111** can be modular components individually fitted on a disc clamp **198**.

Figure 20 depicts the modular compressor **111** comprising an annulus contact surface **119**, sloped surface **170**, plateau surface **171**, stop **173** and pivotal peg **172** for inserting into the clamp.

Figure 21 indicates a modular compressor **111** including a casing **188** with anchoring screws **187** and the disc contact portion of the compressor **111**.

Figure 22 depicts a vertical cross-sectional view of a compressor **111** with two stops **173**, an outer surface **233**, upper and lower plateau surfaces **171**, sloped surfaces **170** and annular contact surface **119**.

Figure 23 shows a compressor **111** with no stop and a very round annular contact surface **119**.

Figure 24 depicts a compressor **111** with multiple slopes in the sloped surfaces **170**.

Figure 25 shows a compressor **111** with unsymmetrical sloped surfaces **170**.

Figure 26 depicts a compressor **111** with tissue ingrowth openings **160** on the plateau surfaces **171** to promote annular ingrowth and stability of the compressor **111**.

Figure 27 shows a compressor **111** with non-parallel plateau surfaces **171**.

Figure 28 indicates the clamp **198** width measurement and the reach-in distance to stabilize the fastened clamp **198**.

Figure 29 depicts a typical strain vs. stress profile of nickel-titanium (nitinol) alloy suitable for fabricating into a disc clamp 198.

Figure 30 indicates a compressor 111 pivotally fastened with a screw 187 to a bracket 139.

Figure 31 shows a one-piece compressor 111 with a bracket 139.

Figure 32 depicts the one-piece compressor 111 and bracket 139 fastened by two bolts 161 or screws onto the side of the vertebral body 159, compressing the disc 100.

Figure 33 shows a coronal view of disc 100 compression by the compressors 111 on brackets 139 fastened with bolts 161 and nuts 162 through the vertebral body 159.

Figure 34 depicts a bolt 161 with two longitudinal slits 106 cut in series. The bolt 161 is made with elastic material, such as nickel-titanium (nitinol).

Figure 35 depicts the slits 106 being shimmed open and shaped, forming four elastic and compressible struts 107. The length of the bolt 161 is elastically and resiliently shortened.

Figure 36 shows a sleeve 104 with a lumen 225 and four windows 114, sized and configured to allow protrusion of the elastic struts 107 of the bolt 161, as shown in Figure 35.

Figure 37 indicates the insertion of the bolt 161 with the elastic struts 107 being resiliently compressed and fitted within the sleeve 104 in an out-of-phase position.

Figure 38 depicts protrusion of the opened struts 107 from the windows 114 by turning the bolt 161 relative to the sleeve 104 from the out-of-phase to an in-phase position.

Figure 39 indicates a coronal view of a spinal stenosis segment fastened with two compressors/brackets 111/139 by two elastic bolts 161 containing slits 106 in out-of-phase position.

Figure 40 indicates disc 100 compression and penetration with time by the compressors 111, activated or initiated by turning the elastic bolts 161 to in-phase position with the sleeve 104.

Figure 41 depicts a compressor/bracket 111/139 installed on the concave curvature of a scoliotic vertebral segment.

Figure 42 shows disc 100 compression and penetration with time by the compressor 111 to correct or straighten the scoliotic vertebral segment.

Figure 43 indicates a biodegradable sleeve 218 restricting the elastic struts 107 of the bolt 161 from opening and elastically shortening.

Figure 44 shows a coil spring 125.

Figure 45 depicts a coronal view of disc 100 compression by the compressor 111 and coil spring 125 assembly.

Figure 46 indicates shimming of the compressor **111** into the disc **100** with time, compressed by the coil spring **125**.

Figure 47 shows a spring **124** including of two connecting lift springs **121**, which can provide disc compression similar to the coil spring **125**.

Figure 48 indicates a compressor **111** with an elastic fastening means **127** installed at the anterior portion of a kyphosis vertebral segment.

Figure 49 shows correction of the kyphosis vertebral segment by disc **100** elevation and penetration of the compressor **111**.

Figure 50 depicts a disc compressor **111** on a lengthened bracket **139** designed to fuse the vertebral segment and elevate disc space.

Figure 51 shows spinal fusion and disc **100** compression with the lengthened compressor/bracket **111/139** fastened with bolts **161** or screws concealed in the indentation **164**.

Figure 52 indicates a coronal view of spinal fusion and disc **100** compression with the lengthened compressor/brackets **111/139** fastened on the vertebral bodies **139**.

Figure 53 indicates a coronal view of normal bulging of annular layers during axial compression.

Figure 54 shows annular delamination due to inward and outward bulging caused by aging or a dehydrated nucleus pulposus **128**.

Figure 55 depicts seepage of nucleus pulposus **128** through damaged annular layers, possibly from the weakened, delaminated annular layers.

Figure 56 indicates disc **100** compression by the compressors **111**, promoting inward annular bulging to minimize further delamination.

Figure 57 shows the sinuvertebral nerve **216** ingrowth into the disc **100**, causing discogenic pain.

Figure 58 depicts compression of the sinuvertebral nerves **216** by the compressors **111** to atrophy the nerves **216**.

Figure 59 depicts the insertion of a trocar **103** laterally through the bulging disc **100**, with the aid of a guide **185** (optional).

Figure 60 indicates the insertion of a dilator **230** over the trocar **103**.

Figure 61 shows the withdrawal of the trocar with the dilator **230** remaining in the disc **100**.

Figure 62 depicts the insertion of a bolt **161**, compressor **111** and washer **163** assembly into the dilator **230**.

Figure 63 indicates the withdrawal of the dilator to expose the thread 109 of the bolt 161.

Figure 64 shows the installation of another compressor 111 onto the bolt 161 with washer 163 and nut 162.

Figure 65 depicts disc 100 compression by tightening the nut 162 on the bolt 161.

Figure 66 depicts fastening of a compressor/bracket 111/139 with a screw 187 through part of the disc 100 into vertebral body 159, another screw 187 through the bracket 139 into the side of vertebral body 159.

Figure 67 shows surgically inflicted bleeding sites 224 by a trocar 103 at the end plate 105 for annular adhesion and/or regeneration and a deep puncture for screw entry 217.

Figure 68 depicts surgically inflicted bleed sites 224 at the end plate 105 by a curved trocar 103.

Figure 69 shows a screw 187 through a compressor 111 with a trough 223 or indentation to conceal a screw head 226.

Figure 70 shows the installation of the compressor 111 into the end plate 105 through a protruded disc 100 impinging 184 on a nerve 102.

Figure 71 shows disc 100 fastening by the compressor 111 to alleviate the impingement of an adjacent nerve 102.

Figure 72 depicts a coronal view of the compressor 111 fastened through the outer portion of the disc 100 into the end plate 105 with bleeding sites 224 created to promote annular adhesion and regeneration.

Figure 73 depicts nerve impingement 184 from spondylolisthesis.

Figure 74 shows surgically inflicted bleeding sites 224 at the end-plate 105 by a trocar 103 to promote adhesion and reattachment between the disc 100 and vertebral body 159.

Figure 75 depicts a rigid sleeve 220 sliding on an elastically curved trocar 103 with a label 221 on the handle indicating the direction of the curvature.

Figure 76 shows that the curvature of the elastic trocar 103 is resiliently straightened within the lumen of the sleeve 220.

Figure 77 demonstrates that the end plate 105 can be reached even when the sleeve 220 is introduced perpendicularly to the disc 100.

Figure 78 depicts a bulging disc 100 sandwiched between two vertebral bodies 159. The bulges may result in spinal stenosis and/or segmental instability.

Figure 79 depicts disc **100** compression, stabilization and elevation with two compressors **111** anchored through the end plate **105** into the vertebral body **159**.

Figure 80 shows disc **100** thickening with the fastened compressor **111** to reduce spinal stenosis.

Figure 81 depicts disc **100** fastening with screws **187** anchoring into vertebral bodies **159**, above and below the intervertebral disc **100**.

Figure 82 shows a bolt **161** traversing through the end-plate **105** and the vertebral body **159** to fasten the compressor **111** with a nut **162** supported by a washer **163**.

Figure 83 depicts a compressor **111** with multiple tissue ingrowth openings **160**.

Figure 84 depicts a compressor **111** with outwardly curved tips **130** and tissue ingrowth openings **160** penetrating through the thickness of the compressor **111**.

Figure 85 shows a resilient compressor **111** in an open or predisposed position.

Figure 86 depicts the resilient compressor **111** being constricted or folded within a delivery capsule **131**.

Figure 87 indicates the insertion of the delivery capsule **131** onto a protruded disc **100**.

Figure 88 shows the advancing screw **187** anchoring in the vertebral body **159** and expelling the compressor **111** from the capsule **131** onto the protruded disc **100**.

Figure 89 indicates disc **100** fastening with the compressor **111** in an expanded or compressed position.

Figure 90 depicts a stabilizer **134** inserted within the delivering capsule **131** to minimize tilting of the screw head **226** during disc **100** fastening.

Figure 91 shows a clamp/compressors **198/111** with large tissue ingrowth openings **160**.

Figure 92 shows bone ingrowth from upper and lower vertebral bodies **159** into the tissue ingrowth openings **160** of the clamp/compressors **198/111** leading to spinal fusion **234**.

DETAILED DESCRIPTION OF THE EMBODIMENTS

Figure 1 depicts a common nerve **194** impingement from a protruded disc **100** at or near the narrow channel of the neuroforamen. For protection during disc **100** repair, a nerve shield **196** contains a thin and blunt distal tip **213** for reaching into or near the neuroforamen, a trough **202** to partially surround and protect the nerve **194** and an open channel **214** for the nerve **194** to exit from the trough **202**. Through anterior or lateral incision, the nerve shield **196** is introduced by sliding over the bulging annulus of the disc **100**, as shown in Figure 3, to minimize potential damage to the ventral/dorsal ramus nerve root **194**. The shield **196** is then gently pressed against

the partially surrounded nerve 194. Similarly, another nerve shield 196 is used contralaterally to protect both nerves 194 existing from the neuroforamen, as shown in Figure 4.

Figure 5 shows an elastic intervertebral disc clamp 198 with an annular contact surface 119, a sloped surface 170, a plateau surface 171 and stops 173 on the compressors 111 portions. The saddle-shaped compressors 111 are used to bracket the dysfunctional disc 100 bilaterally. The clamp/compressor 198/111 has a support mount 201, an indentation 231 and two widening mounts 199 for engagement with a widening tool, as shown in Figure 6. The clamp 198 can be made with nickel-titanium, nitinol, or other elastic alloy or polymers. Figure 6 shows a clamp-widening tool 176 equipped with clamp grabbers 177 for engaging with the widening mount 199 on the compressors 111, a pivotal joint 182, handles 181 and a locking mechanism capable of slowly releasing the compressor 111. The mechanism contains a hinge 180 anchoring a lock screw 178 fastened with a lock wheel 179. The lock screw 178 is sized and configured to fit into a lock slot 183 to lock the handle 181 of the widening tool 176. For quick release of the handle 181, the lock screw 178 can be picked up from the slot 183. For slow release, the lock wheel 179 can be rotated to slowly open the handle 181, thus slowly closing the disc clamp 198.

Figure 7 depicts widening and placement of the disc clamp 198 by the widening tool 176. The clamp 198 fits around the intervertebral disc 100, while nerves 194 are protected by nerve shields 196. The distal tips of the compressors 111 are thin and tapered to prevent impingement of the nerve 194. The clamp 198 is then slowly released by dialing the lock wheel 179, as shown in Figure 6. Figure 8 shows the disc 100 being clamped by the disc clamp 198 as the compressors 111 press the bulging annulus inwardly to alleviate nerve 194 impingement. The size of the clamp/compressor 198/111 is enlarged disproportionately to the disc 100, for clarification. Figure 9 indicates the locations of compression from the disc clamp 198. The preferred compressions are at areas C and I, common protruding locations of the disc 100, with areas E and G as supporting locations. From a disc 100 fastening cadaveric study, nearly the entire disc 100 was distracted, elevated and slightly lengthened from compression by the compressors 111. The portion of annulus remote to the compressors 111 was also distracted, pulling inward. The previously protruded areas B and J in Figure 9 would similarly be distracted as well. Annulus distraction is wide spread and far reaching, way beyond the area of direct compression. The benefit of the far-reaching capability of the compressors 111 is most significant in repairing annular impingements commonly occurring around the narrowed neuroforamen. The compressors 111 can be fastened a distance away from the impinging neuroforamen, yet the

distraction of the annulus can draw in the distant bulge, alleviating the impingement.

Alternatively, decompressing the nerve impingement within the neuroforamenal region (the hidden zone) surrounded by the disc 100, vertebral body 159, pedicle and facet joint 129 is very invasive using current surgical procedures, and it may result in increased scarring and a permanently weakened spine.

As the disc 100 is compressed by the body weight, area F located at the indentation 231 and area A are allowed to naturally and resiliently bulge as indicated by arrows in Figure 9, since they are least restricted by the clamp 198. The thinning or tapering of the distal tips of the compressors 111 are essential to avoid nerve 194 impingement, as shown in Figures 8 and 9. To minimize possible damage to the disc 100, the annular contact surfaces 119 of the compressors 111 are generally cylindrical or blunt, thickening into the sloped surface 170, as shown in Figure 5, with an optional plateau surface 171.

To prevent migration of the clamp 198, especially during initial installation, an elastic strap 212 is threaded through the support mount 201 and secured by a staple 215 anchored in the vertebral body 159, as shown in Figure 10. More than one strap 212 and staple 215 can be used. The strap 212 can be a biodegradable suture or material to initially secure the clamp 198 until the sloped surfaces 170 of the compressors 111 penetrate the annulus and adequately secure the clamp/compressors 198/111.

Figure 11 depicts a coronal view of initial clamping of the disc 100 with the sloped surface 170 resting on the disc 100. With time, the sloped surface 170 of the compressor 111 slowly penetrates into the disc 100 until the stops 173 gently rest on the lateral side of the vertebral body 159 below the disc 100, as shown in Figure 12. The stop 173 is a protrusion, a small wall or a leg from the under side of the compressor 111. The clamp/compressors 198/111 is designed to compress the protruded annulus, alleviating the nerve impingement. The clamp/compressors 198/111 also restricts, support and stabilize the bulging annulus to alleviate pain from segmental instability.

Current surgical treatment for scoliosis is invasive, most frequently done on young female patients to correct the deformity. Instrumentation failure or breakage of pedicle screws is likely after decades of wear and tear, mandating a second surgery. Figure 13 depicts a coronal view of a scoliotic vertebral segment initially clamped and compressed by the unsymmetrical compressors 111 of a disc clamp 198 (not shown). The concave side of the curved vertebral segment is fitted with a thick compressor 111 comprising a wide plateau surface 171, while the convex side of the

vertebral segment is fitted with a thin compressor **111** containing a narrow or absent plateau surface **171**. Figure 14 shows correction or straightening of the scoliotic vertebral segment with time, by selectively wedging, shimming and elevating the concave side of the curved vertebral segment and by inserting the plateau surface **171** of the compressor **111** between the dense epiphyses **115**. To straighten the entire spine, multiple selective disc **100** elevations are required, much as multiple pedicle screws and instrumentation are used in current procedures. Scoliosis is corrected through selective shimming by the compressor **111** to alter the lateral curvature of the spine. Nickel-titanium compressors **111** are expected to be durable between the epiphyses **115**; and the clamp **198** is under minimal strain after settlement in the disc **100**. Thus the clamp/compressors **198/111** are expected to be long lasting, perhaps even permanent without revisional surgery.

Spinal stenosis is a progressive disorder. Figure 15 depicts a flattened disc **100** with a dehydrated nucleus pulposus **128**. The initial disc height, H, is indicated at the anterior portion of the disc **100**. A clamp **198** with two symmetrical compressors **111** with wide plateau surfaces **171** is clamped around the flattened disc **100**. The size of the clamp/compressors **198/111** is enlarged disproportionately to the disc **100**, for clarification. Gentle compression and wedging action of the clamp/compressors **198/111** allow time for the annulus to grow and thicken. The surrounding ligaments, including the posterior **195** and anterior **167** longitudinal ligaments and facet joint ligaments, also require time to lengthen. As the sloped surface **170** wedges into the disc **100**, the plateau surfaces **171** establish stable positions between epiphyses **115** to thicken the disc **100** and provide elevated disc height, H, as shown in Figure 16. With elevated intervertebral disc space, nerve impingement caused by spinal stenosis is minimized or alleviated. Disc **100** penetration by the compressors **111** halts when the stops **173** reach the lateral surfaces of the vertebral body **159**, in this case below the disc **100**. Figure 17 depicts a coronal view of a clamp **198** (not shown) and compressors **111** initially clamped around a disc **100** sandwiched by bone spurs, common among patients with spinal stenosis. With time, Figure 18 shows wedging and penetration of the sloped surfaces **170** followed by the plateau surfaces **171** into the disc **100** between the epiphyses **115** of the vertebral bodies **159**. Thus, disc **100** height increases to alleviate nerve impingement common among spinal stenosis patients. Penetration of the compressors **111** halts when the stops **173** rest upon the vertebral body **159** below the disc **100**. The plateau surface **171** maintains disc height without the need of further compression. In contrast to current surgical techniques, which cut or bur away anatomical structure to make room

for the progressively narrowing disc space, the clamp/compressors **198/111** restore or increase the disc **100** height to minimize or alleviate nerve impingement.

The clamp **198** and the compressors **111** can be made separately as modular components assembled into a device as shown in Figure 19. The vertical cross-section of the clamp **198** can be semi-circular, elliptical, circular or another shape with blunt surfaces to prevent abrasion to the disc **100**, abdominal contents or blood vessels. The saddle-shaped compressor **111** contains a pivotal peg **172** for inserting into the clamp **198**, a smooth and blunt annular contact surface **119**, a sloped surface **170**, a plateau surface **171** and a stop **173**, as shown in Figure 20. The concave curvature of the annular contact surface **119** of the compressor **111** is designed to conform and fit partially around the disc **100**. Since most discs **100** are not circular, the concave or crescent curvature of the annular contact surface **119** is likely to be complex or to contain multiple radiuses in order to conform to the surface of a disc **100**. One of the tips **130** of the compressor **111** is particularly thin and tapered, designed to minimize nerve impingement especially near the neuroforamen. The compressor **111** can also be made with modular components, as shown in Figure 21. The annular contacting part of the compressor **111** can be made with biocompatible polymer, such as polyurethane, polypropylene, polyethylene, PEEK, Delrin, polysulfone, polytetrafluoroethylene, polycarbonate, ultra high molecular weight polyethylene or other low friction polymer. The casing **188** with pivotal peg **172**, as shown in Figure 21, can be made with stainless steel, titanium, nickel-titanium or metal, or even a polymer. The components can be assembled with screws **187** also shown in Figure 21.

The thickness, curvature, surfaces **119**, **170**, **171** and/or stops **173** of the compressor **111** can vary to accommodate proper disc **100** compression. Figure 22 depicts a vertical cross-sectional view of a compressor **111** containing two stops **173** to improve stability. Figure 23 shows a compressor **111** with no stop **173** and a round annular contact surface **119** for gentle compression. Figure 24 indicates a compressor **111** with multiple sloped surfaces **170** to gain rapid annular penetration and provide initial stabilization of the clamp **198**. Figure 25 shows an unsymmetrical slope **170** for shimming into a disc **100** to correct or straighten some kyphosis, scoliosis, lordosis or other spinal deformity. Figure 26 shows tissue ingrowth openings **160**, indentations or troughs to promote annular ingrowth and stabilization of the compressor **111**. The plateau surfaces **171** with tissue ingrowth openings **160** can also be non-parallel to each other, as shown in Figure 27, to correct and stabilize some spinal deformities.

For compressive strength, biocompatibility and durability, nickel-titanium perhaps is the most suitable material for fabricating the clamp 198. The clamp width and reach-in portions are defined in Figure 28. The reach-in portions of the clamp 198 are essential for securing the initial fastening and clamping of the disc 100. The distal tips 130 are tapered to prevent nerve impingement by the reach-in portions of the clamp 198. Figure 29 is a typical strain vs. stress profile of nickel-titanium alloy, a super elastic alloy suitable for fabricating into a disc clamp 198. Various compressive stages of a nickel-titanium clamp 198 are also indicated in Figure 29. The compressive force is greatest initially when it presses in the annular protrusion. As the protrusion is compressed, it relieves the strain of the clamp 198; the compressive force of the clamp 198 rapidly weakens. When the stops 173 reach the vertebral body 159, the compressive force is insignificant, minimizing erosion on bone and annulus. Since the stress on the clamp 198 is minimal after protrusion compression, continual erosion of the disc 100 may not occur even in the absence of the stops 173 on the compressors 111.

The clamp/compressors 198/111 can also be installed through a lateral incision. A widening tool is modified to hold the clamp/compressors 198/111 laterally. The modified tool is also used as an extension to install the device 198/111 in the patient. Lateral insertion and device 198/111 maneuvering can minimize possible damages from excessive tissue retraction, especially for intervertebral discs 100 surrounded by blood vessels, muscles and nerves. For example, the L3-4 disc 100 is sandwiched bilaterally by the Psoas major muscles containing lumbosacral nerve roots, sensitive to excessive retraction. Aorta and inferior vena cava are anterior to the disc 100. To compress the L3-4 disc 100, the open side of the widened C-like clamp/compressors 198/111 is oriented vertically either superiorly or inferiorly to the patient, to make the insertion as thin as possible. Through a lateral incision, the widened and vertically oriented C-like clamp/compressors 198/111 is inserted between the L3-4 disc 100 and the blood vessels (aorta and inferior vena cava) anterior to the disc 100. The clamp/compressors 198/111 is then slowly rotated to orient the open side posteriorly, placing both compressors 111 laterally around the L3-4 100. The clamp/compressors 198/111 is then slowly released to compress the disc 100, followed by retrieval of the widening tool.

The compressor 111 can also be fastened to a bracket 139 by a screw 187, as shown in Figure 30. The bracket 139 is equipped with slits 165 for bolts or screws to fasten into the vertebral body 159, thus compressing the protruded disc 100 with the compressor 111. The compressor 111 can also be made with the bracket 139 in one-piece as shown in Figure 31. Figure 32 depicts

compression of the protruded disc **100** by the compressor/bracket **111/139** fastened by bolts **161** or screws into the vertebral body **159** with the heads of the bolts concealed in the indentation **164** of the bracket **139**. Figure 33 shows a coronal view of bilateral disc **100** compression fastened with compressor/bracket **111/139** and bolts **161** through the vertebral body **159**. In essence, the brackets **139** serve similar function as the stops **173** with attachment holes **165**, **110**.

Figure 34 depicts a bolt **161** with two longitudinal slits **106** cut along the length of the bolt **161**. The bolt **161** is made with elastic metal, such as nickel-titanium. The slits **106** can be cut with laser, water jet, wire or sinker EDM (electron discharging machine). Figure 35 depicts the slits **106** after being shimmed open and shaped to form four elastic and compressible struts **107**. For nickel-titanium bolts **161**, the struts **107** are shaped by inserting shims or fixtures, heating the shimmed bolts **161** to about 500°C for 5-10 minutes, then quickly quenching the heat-treated bolt **161** in cold water before removing the fixtures. It is also possible to mold or cast a bolt **161** with elastic and compressible struts **107** already in open positions, as shown in Figure 35. Elastic polymers can also be used to mold into an elastic bolt **161** with compressible struts **107**. With the struts **107** open, the length of the bolt **161** is elastically or resiliently shortened. Figure 36 shows a sleeve **104** with lumen **225** and four windows **114** sized and configured for the protrusion of the elastic struts **107** of the bolt **161**. Figure 37 indicates the insertion of the bolt **161** with the elastic struts **107** being resiliently compressed and fitted within the sleeve **104**. The struts **107** and the windows **114** are in an out-of-phase position, where the windows **114** and direction of struts **107** deployments do not overlap. The length of the bolt **161** in out-of-phase position within the sleeve **104** is longer than the length of the bolt **161** with open struts **107**, as shown in Figure 35. Figure 38 depicts turning of the bolt **161** relative to the sleeve **104** or turning of the sleeve **104** relative to the bolt **161**, from the out-of-phase position to an in-phase position, where the windows **114** align with the directions of struts **107** for deployment. As a result, the elastic struts **107** protrude out of the windows **114** and the overall length of the bolt **161** is elastically or resiliently shortened.

Figure 39 shows a coronal view of a vertebral motion segment with decreased disc height or symptoms of spinal stenosis. Two disc-compressor/brackets **111/139** are laterally anchored with two elastic bolts **161** containing slits **106** within two sleeves **104** in out-of-phase positions. The round sleeve head **108** and round nut **162** are designed to allow pivotal movement of the compressor/brackets **111/139** during disc **100** compression. The deployment of the struts **107** is activated or initiated by rotating the sleeves **104** from out-of-phase to in-phase positions,

allowing the struts 107 to protrude out of the windows 114 of the sleeves 104 and to provide elastic or resilient inward pulling tension on both compressors/brackets 111/139. Similar to the clamp/compressor 198/111, the elastic disc 100 compression allows time for the surrounding ligaments to slowly extend and the annulus of the disc 100 to gradually thicken. As a result, tissue damage is minimized and disc 100 height is elevated to alleviate spinal stenosis, as indicated in Figure 40. For ease of illustration, Figure 40 shows that the plane of the deployed struts 107 is perpendicular to the end plate 105, but ideally the plane of the deployed struts 107 should be parallel to the end plate 105 to maximize the spread of the struts 107 without interfering with the end-plate 105. Therefore, a marking on the bolt head 116 visible to the surgeon can be helpful to identify the plane of struts 107 deployment.

Figure 41 depicts a mono-lateral disc 100 compression into the concave side of the curved scoliotic vertebral segment. Figure 42 shows activation of elastic fastening by setting the bolt 161 and sleeve 104 to the in-phase position, slowly wedging the compressor 111 into the concave side of the curved spine to correct or straighten the scoliotic vertebral segment. To correct the entire scoliotic spine, multiple shillings can be done in multiple scoliotic segments. The degree of individual shimming can be individually selected or fitted with different thicknesses and shapes of the compressor 111. The plateau surfaces 171 of the compressor 111 can be non-parallel, as shown in Figure 27, to optimize the fit and correction. The plateau surfaces 171 can also be indented with a tissue ingrowth opening 160, also indicated in Figure 27, to promote annular ingrowth and minimize outward slippage of compressor 111.

Figure 43 indicates a degradable sleeve 218 holding or restricting the elastic struts 107 of the bolt 161 from opening. The rate of strut 107 opening is determined by the rate of degradation of the degradable sleeve 218. The major benefit to the degradable sleeve 218 is the elimination of the step of turning from the out-of-phase to the in-phase position. Furthermore, gradual opening of the struts 107 may be preferred with a slowly eroding degradable polymer to gently and gradually compress and shim into the disc 100. The degradable sleeve 218 can be made with polylactide, polyglycolide, poly(lactide-co-glycolide), polycaprolactone, polydioxanone, polyanhydride, trimethylene carbonate, poly-beta-hydroxybutyrate, polyhydroxyvalerate, poly-gama-ethyl-glutamate, poly(DTH iminocarbonate), poly(bisphenol A iminocarbonate), poly-ortho-ester, polycyanoacrylate and polyphosphazene. There are natural biodegradable materials, including collagen, gelatin, cellulose, chitin and dextran. Many of these biodegradable materials are not biocompatible in bone or in disc 100. However, the elastic bolt 161 and the degradable

sleeve 218 combination can be used in other industries to provide elastic tensile fastening. The degradation can be initiated by water. For implant use, polylactide, polyglycolide or poly(lactide-co-glycolide) is most promising for making the degradable sleeve 218.

It is possible to have both elastic bolt 161 and sleeve 218 biodegradable for bone joining or tissue fastening. Degradation time for DL-polylactide is 12-16 months; 50/50 lactide and glycolide co-polymer is 1-2 months. The bolt 161 with open struts 107 can be made by injection molding with DL-polylactide (modulus 1.9 Gpa) and the sleeve 218 with 50/50 lactide and glycolide. Initiated by the degradation of the sleeve 218 within two months, the resilient strength of the bolt 161 begins. After 16 months, hopefully the wound has healed and the bolt 161 and nut 162 will also degrade.

Similar to the elastic bolt 161, a coil spring 125 as shown in Figure 44 can also provide compression onto the compressor/bracket 111/139. Figure 45 depicts a coronal view of disc 100 compression by a bolt 161, compressor/bracket 111/139, washer 163, compressed coil spring 125, another washer 163 and nut 162. Figure 46 shows disc 100 compression and compressor 111 shimming activated by the coil spring 125. Other type of springs can also be used. Figure 47 shows two connecting lift springs 121 curving or arching outwardly. The springs 121 are connected at both ends 118, and a screw hole 120 lies near the center of both springs 121. The lift springs 121 can be used as the coil spring 125 in Figures 45 and 46 to elastically compress the intervertebral disc 100.

Figure 48 indicates a compressor/bracket 111/139 installed anterior to a kyphotic vertebral segment. The bracket 139 is anchored by a pivoting means 126 and an elastic fastening means 127 onto the vertebral body 159. With time, the compressor 111 shims into the disc 100 to correct and straighten the kyphotic bend as shown in Figure 49. The bracket 139 can also be made with elastic or resilient material installed under strain to compress into the disc 100.

The compressor/bracket 111/139 can also be lengthened to serve dual functions: disc 100 compression and spinal fusion, as shown in Figure 50. Differing from the currently existing fusion plate, the extended compressor/bracket 111/139 compresses and thickens the disc 100 to increase disc space and possibly alleviate nerve impingement. The extended bracket 139 contains a compressor 111 near the mid-portion and screw/bolt holes 110 or slits 165 above and below the compressor 111. Figure 51 depicts spinal fusion and disc compression with the extended compressor/bracket 111/139. A coronal view of spinal fusion and disc compression with two compressors/brackets 111/139 fastened on the vertebral bodies 159 is shown in Figure 52. For

the best results, the bolts 161 or screws are fitted in the slits 165 and evenly fastened to compress the disc 100 and distract the vertebral bodies 159. Then holes are then created in the vertebral bodies to fit bolts 161 or screws through the bracket holes 110 and to further secure the bracket 139. Disc 100 compression with spinal fusion is expected to provide disc height elevation, which may be particularly suitable for severe segmental instability or spinal stenosis. Using current technique, disc heights commonly decrease after intervertebral body fusion (Watkins R., et. al., Comparison of Disc Space Heights after Anterior Lumbar Interbody Fusion, Spine 14(8):876-878, 1989).

Figure 53 depicts a mid-coronal view of a vertebral segment with normal outward bulging of the annular layers during axial compression. As the nucleus pulposus 128 ages, dries out or degenerates, the annular layers exhibit both inward and outward bulging during similar axial compressions (Seroussi R.E. et. al., Internal Deformations of Intact and Denucleated Human Lumbar Discs Subjected to Compression, Flexion, and Extension Loads, Journal of Orthopaedic Research, 7:122-131, 1989; Meakin J.R., Replacing the nucleus pulposus of the intervertebral disc, Clinical Biomechanics 16:560-565, 2001). It is speculated that the inward-outward bulging causes delamination in the inner core of the annular layers, as shown in Figure 54. The delaminated annular layer is thin, unsupported and vulnerable to tearing. Usually, the delamination begins at the layers near the aging nucleus pulposus 128 and leads to seepage of nucleus pulposus 128 and disc 100 protrusion, as shown in Figure 55, (Goel V.K. et. al., Interlaminar Shear Stresses and Laminae Separation in a Disc, Spine, 20(6): 689-98, 1995). The compressors 111 provide inward compression to the disc 100, flatten the protrusion and promote inward bulging to minimize the progression of annular delamination and to halt the deterioration of the defective disc 100, as indicated in Figure 56. Disc 100 compression by the compressor 111 may also collapse and seal the seeping channels of nucleus pulposus 128 in a herniated disc 100 to minimize chemical irritation to nerves 102.

Chronic low back pain is generally thought to be caused by nerve 102 impingement. However, MRI often fails to show impingement of neural structures, even in the presence of sciatica. Furthermore, saline injection, discography and compression of the longitudinal spinal ligaments can reproduce back pain and sciatica. These observations have led to re-examination of the pathways and distribution of nociceptive (pain sensing) nerve endings in healthy and diseased spines. In the healthy disc 100, only the outer third of the annulus is innervated. But among patients with chronic low back pain, nerves extend into the inner third of the annulus,

some even into the nucleus pulposus 128 (Freemont A.J. et. al., Nerve ingrowth into diseased intervertebral disc in chronic back pain, *The Lancet*, Vol. 350, July 19:178-181, 1997). Nerve ingrowth in connective tissue is normally a sign of repair in progress. However, similar to the articular cartilage in joints, the healing progress of annulus is very slow and poor. Figure 57 depicts the ingrowth of sinuvertebral nerves 216 conducting the sensation of tensile or stretching pain from the delaminated pockets within the degenerating disc 100. Sinuvertebral nerves 216 normally grow from the surface into the annulus only when the disc 100 begins to degenerate. Figure 58 depicts compression of the sinuvertebral nerves 216 leading into the degenerative disc 100 by the compressors 111. With prolonged and intense compression from the compressors 111, the sinuvertebral nerves 216 are expected to cease transmitting signals of pain from the degenerative disc 100 and atrophy within days, thus alleviating pain without discectomy.

The compressors 111 can also be installed through a protruded disc 100. With the aid of a trocar guide 185, Figure 59 depicts the insertion of a trocar 103 laterally through the protruded disc 100 impinging 184 upon a nerve 102. Insertion of the trocar 103 and compressors 111 can be done endoscopically through a lateral incision as well as through the anterior approach shown in Figure 59. Figure 60 indicates the insertion of a dilator 230 over the trocar 103. Then the trocar 103 is withdrawn while the dilator 230 remains in the disc 100, as shown in Figure 61. Figure 62 depicts the insertion of a bolt 161, an arcuate compressor 111 and washer 163 assembly into the dilator 230. Figure 63 indicates the withdrawal of the dilator 230 to exposure the thread 109 of the bolt 161. Figure 64 shows the installation of another compressor 111 onto the bolt 161 with washer 163 and nut 162. Figure 65 depicts tightening of the bolt 161, nut 162, compressors 111 and washer 163 assembly to fasten the bulging disc 100 with the sloped surface 170 embedding into the disc 100. For elastic compression, the resilient bolt 161 with elastic struts 107 can be used with the sleeve 104, as shown in Figure 37, or with the biodegradable sleeve 218 in Figure 43.

The compressor 111 can also be fastened through the outer layers of the disc 100, and/or with a bracket 139 fastened on the vertebral body 159, as shown in Figure 66. The screw entry 217 can be made with a trocar 103, as shown in Figure 67. To enhance annular reattachment and/or regeneration of the otherwise slow healing, avascularized annulus, bleeding sites 224 at the end-plate 105 are created by the trocar 103 through the bulging disc 100, as shown in Figure 67. The entry of the trocar 103 depicted in Figure 67 is slanted or angled upward, able to fit between the superior and inferior surfaces of the laminae, to prevent or minimize laminectomy.

Figure 68 shows a curved trocar 103 inflicting bleeding sites 224 in both superior and inferior end plates 105, through a posterior/lateral approach. A saddle-shaped compressor 111 is shown in Figure 69 with a cylindrical annular contact surface 119, sloped surface 170, round contour tips 130, a screw hole 110 and a trough 223 or indentation to conceal the screw head 226 of a screw 187. Figure 70 depicts penetration of the screw 187 through the outer portion of a protruded disc 100 and the end plate 105 into the vertebral body 159. Figure 71 shows compression of the protruded disc 100 by the compressor 111 fastened by the screw 187 anchored in the vertebral body 159 to alleviate nerve 102 impingement 184 shown in Figure 70. Figure 72 shows a longitudinal view of a fastened disc 100 by the compressor/screw 111/187 with bleeding sites 224 inflicted on both end plates 105.

The strength of the fastened disc 100 may be greatly enhanced by healing initiated by the surgically inflicted bleeding sites 224. Ligament reattachment to bone is a good example. A biodegradable suture rated merely for 20 pounds is used to attach a torn ligament onto a surgically inflicted bleeding bone. Within two weeks, the tensile strength of the reattached ligament can reach 50 pounds; strength increases with time. In essence, the suture is merely used to maintain the position of the torn ligament; reattachment and healing occur naturally with the surgically inflicted bleeding bone. As the bulging annulus is compressed by the compressor 111 as shown in Figure 72, adhesions form from oozing of the bleeding sites 224 between the end plate 105 and the compressed annulus. Tissue adhesion and the fastened compressor 111 work in conjunction to hold the bulging annulus in place, alleviate nerve 102 impingement 184 and allow time for the annulus to regenerate.

Similar to menisci in knees and articular cartilage in joints, the annulus has a limited capacity for healing and regeneration. For articular cartilage regeneration in the knee, an arthroscopic awl is used to create multiple holes on the articular cartilage surface, allowing blood and marrow elements to fill the defect, leading to formation of fibrocartilage. Patients have reported feeling significant improvement (Blevins F.T., et. al., Treatment of Articular Cartilage Defects in Athletes: An Analysis of Functional Outcome and Lesion Appearance, Orthopedics, Jul 21(7):761-7, 1998). No work has been done on end plate 105 puncturing to promote annular regeneration and adhesion. A qualitative in vitro investigation of adult human discs 100 showed that the end plates 105 are indeed partly permeable to solutes or nutrients. The permeation is associated with the presence of vascular contacts between the marrow spaces of the vertebral body 159 and the hyaline cartilage of the end plate 105. One-third of the central portion and only

one-tenth of the peripheral zone of the end plates **105** are available for diffusion, exchanging nutrients and waste between the disc **100** and vertebral bodies **159** (S. Holm, et. al., Nutrition of the Intervertebral Disk, Clinical Orthopaedics and Related Research, 129, Nov-Dec:101-14, 1977). It has been suggested that nutritional deficiencies could lead to disc **100** degeneration (Nachemson A., et. al., In vitro diffusion of dye through the end plates and the annulus fibrosus of human lumbar intervertebral disks, Acta Orthop. Scand., 41:589, 1970). It has also been suggested that annular regeneration is slow due to calcified hyaline cartilage at the end plate **105** in adults, which greatly hinders transportation of nutrients. End plate **105** punctures with an awl or trocar **103** could provide passages for nutrients, leading to the acceleration of annular regeneration. Furthermore, as the disc **100** undergoes rapid repair through the open channels created in the end plate **105**, it is possible that fewer pain signals and/or shorter durations of them will be emitted from the degenerated annulus. Nerve **216** ingrowth into the disc **100** may decrease; the risks of future discogenic pain may decrease as well.

Spondylolisthesis is a condition in which a vertebral body **159** detaches and slips from a disc **100**, usually the L5 and S1 disc **100**, as shown in Figure 73. The slippage usually occurs with some erosion on the facet joint **129**, allowing the inferior articular process **143** of L5 to slip over the superior articular process **142** of S1, also shown in Figure 73. Spondylolisthesis is normally surgically treated with lumbosacral fusion using instrumentation fastened by screws vulnerable to fatigue and breakage. Instead of using instrumentation to fuse the intervertebral segments, annular adhesion and regeneration may eliminate the need of instruments and hardware. After the spine with the affected vertebral body **159** is repositioned, bleeding sites **224** are created by the trocar **103** to initiate tissue adhesion between the end-plate **105** and the disc **100**, as shown in Figure 74. A period (2-4 weeks) of low back immobilization followed by passive motion is required for proper adhesion and adequate reattachment to take place.

A curved trocar **103** made with resilient material, such as nickel-titanium or spring tempered stainless steel, is housed in the lumen of a rigid sleeve **220**, as shown in Figure 75. The handle of the trocar **103** contains a label **221** indicating the direction of the curvature. The curved trocar **103** can be resiliently straightened within the sliding sleeve **220**, as shown in Figure 76. The curvature resumes when the sleeve **220** slides away from the curved section of the trocar **103**. The sleeve/trocar **220/103** assembly is placed perpendicular to the disc **100**. By pushing on the handle of the trocar **103**, the trocar **103** pierces through the disc **100**, resumes the unrestricted curvature and pierces into the end plate **105**, as indicated in Figure 77. The resiliently curved

trocars **103** provides the surgeon greater latitude in terms of patient safety and surgically accessible locations to create bleeding sites **224** at the end plate **105**.

Figure 78 depicts a flattened or bulging disc **100** sandwiched between vertebral bodies **159**, a common cause of segmental instability and/or spinal stenosis. A pair of compressors/screws **111/187** is fastened through a portion of the disc **100**, through the end plate **105** and into the vertebral body **159**, as depicted in Figure 79. The bulging or unstable sidewall of the disc **100** is compressed, supported, fortified, stiffened, restricted, tightened, pinched in and/or fastened by the compressors/screws **111/187** to minimize segmental instability.

A pair of compressors/screws **111/187** was used to fasten a cadaveric lumbar motion segment in similar fashion as Figure 79. Motion analysis was done on the fastened cadaveric segment, showing significant increase in stability in flexion/extension and lateral bending motions. The disc height was also increased after disc **100** fastening with the compressors/screws **111/187**. The result of the cadaveric study indicates potential for treating spinal stenosis by compressing, consolidating and tucking the bulging annulus back between the vertebral bodies **159** to build disc **100** thickness and intervertebral space and to alleviate nerve **102** impingement, as shown in Figure 80. To prevent screws **187** from interfering with each other when multiple compressors **111** are used, screws **187** can be separately anchored into adjacent vertebral bodies **159**, as shown in Figure 81.

To minimize device migration, the compressor **100** can be fastened with a bolt **161** which penetrates obliquely through the vertebral body **159** and is fastened by a washer **163** and nut **162** assembly, as shown in Figure 82. Promoting tissue ingrowth into the device can also minimize device migration. Figure 83 depicts a compressor **111** with tissue ingrowth openings **160**, channels or indentations to promote annular ingrowth and prevent migration of the compressor **111**.

The compressor **111** shown in Figure 84 also indicates multiple tissue ingrowth openings **160** penetrating through the thickness of the compressor **111**. The large ingrowth openings **160** encourage annular ingrowth to prevent device migration with time. Different types of tissue ingrowth can be selected by varying the thickness of the compressor **111**. The thick compressor **111** with large ingrowth openings **160** fastened adjacent to or over the end plates **105** may encourage bone ingrowth and promote segmental fusion without removing the disc **100**. Existing spinal fusion procedure with discectomy often contributes to disc space narrowing, which may result in further nerve impingement. The segmental fusion induced by the bone ingrowth from

upper and lower vertebral bodies 159 into the compressors 111 is accomplished after the distraction of the disc 100 with possible thickening of disc space. Osteoconductive material, such as bone growth factor collagen and/or hydroxyapatite, can be used to fill the tissue ingrowth openings 160. The surfaces of the compressor 111 can also be textured or made porous, similar to hip prostheses, to promote bone ingrowth.

For discs 100 at the thoracic or cervical region, rotational motion is also significant. Figure 84 depicts a compressor 111 with tips 130 slightly curved outwardly to minimizing annular puncture during excessive or unforeseen rotations.

The compressor 111 can be made with a resilient or elastic material, such as nickel titanium, allowing up to 7% strain without losing shape memory. Figure 85 depicts a compressor 111 in an open or predisposed position. The resilient compressors 111 can be folded or restricted in a tubular delivery capsule 131, as shown in Figure 86, for endoscopic insertion. In the capsule 131, the resilient compressor 111 is in a delivery position. The delivery capsule 131 assembly holding the resilient compressor 111 and a screw 187 is fitted into a delivery device 124, secured by latches 132 and releasable by pinching, as shown in Figure 87. The delivery device 124 is equipped with a drive 133 extending into the socket 228 opening of the screw 187. With a small diameter or cross section of the delivery capsule 131, it may be possible to reach the protruded disc 100 in the central zone by inserting the capsule 131 between laminae without laminotomy, as indicated in Figure 87. The screw 187 is then advanced through the disc 100 into the end plate 105. As the screw head 226 contacts the compressor 111, the advancing screw 187 repels the restricted compressor 111 out of the capsule 131, as shown in Figure 88. To keep the resilient compressor 111 from rotating with the screw 187, the cross section of the capsule 131 can be made non-circular. The repelled compressor 111 resumes the open position, spreading the legs of resilient compressors 111 on the protruded disc 100, anterior to the nerve 102. With further tightening of the screw 187 into the end-plate 105, the screw head 226 presses against the compressor 111, further spreading into a compressed position to fasten the previously bulging annulus, as shown in Figure 89.

The resilient compressor 111, capsule 131 and screw 187 assembly is uniquely designed to accommodate the large moving range of the compressors 111 from the delivery position to the compressed position, a range even nickel-titanium alloy may not be able to provide. The uniqueness is in the open position, about half way between delivery and compressed positions. The magnitudes of the strain from the open to delivery position and from the open to compressed

position are nearly equal but in opposite directions. In essence, the open or predisposed position is set at midway, making the large moving range of the compressor **111** possible, without shape memory loss.

To minimize swaying of the screw **187** during tightening, a stabilizer **134** is inserted in the capsule **131** to restrict the screw head **226** within a lumen **117** of the stabilizer **134**, as shown in Figure 90. The stabilizer **134** contains a lip **135** to prevent the stabilizer **134** from passing through the capsule **131**. As the screw head **226** in the lumen **117** advances through the disc **100**, lateral movement is greatly minimized during rotation of the socket drive **133**.

Figure 91 depicts a clamp/compressors **198/111** with large tissue ingrowth openings **160** to ensure annular ingrowth and prevent migration of the clamp/compressor **198/111**. The widening mounts **199** can also be a portion of the ingrowth openings **160**. The large ingrowth openings **160** may also allow bone ingrowth to promote spinal fusion **234** between upper and lower vertebral bodies **159**, as shown in Figure 92. The spinal fusion **234** induced by the compressors **111** can be further promoted by thick and porous compressors **111** bridging between two adjacent vertebral bodies **159**, allowing the bone from adjacent vertebral bodies **159** to grow into the ingrowth openings **160** of the compressors **111**. It is also possible to make the compressors **111** osteoconductive as hip and joint implants are, allowing bone from adjacent vertebral bodies **159** to embed and fuse with the compressors **111** and create segmental fusion **234**. The uniqueness of this spinal fusion **234** is that it is accomplished with an intact and repaired disc **100** with the possibility of increased disc height induced by disc **100** compression. Similarly, compressors **111** with osteoconductive property, porous or large ingrowth openings **160** fastened with a bracket **139**, bolt **161** or a screw **187** would provide bone ingrowth and spinal fusion **234**.

A wide range of materials can be used to fabricate the compressor **111**. Titanium, stainless steel, nickel-titanium alloy or other metallic material is preferred for strength and durability. To minimize tissue erosion, at least a portion of the compressor **111** can be made with biocompatible polymers, such as polyurethane, polypropylene, polyethylene, poly-ether-ether-ketone, acetal resin, polysulfone, polytetrafluoroethylene, polycarbonate, silicon, polyimide, ultra high molecular weight polyethylene or other. The compressor **111** can also be coated with lubricant, growth factor, nerve ingrowth inhibitor, nutrient, buffering agent, collagen, hydroxyapatite, analgesic, sealant for nucleus pulposus, blood clotting, antibiotic, radiopaque or echogenic agents. The casing **188** with pivotal peg **172**, as shown in Figure 21, can be made with stainless steel, titanium, nickel-titanium or a rigid polymer.

After the dysfunctional disc 100 has been repaired by the compressor 111, perhaps accelerated by the surgically inflicted bleeding sites 224, new annulus forms in a non-bulging position. Within months the strength of the repaired disc 100 may be mainly supported by the regenerated annulus cushioned between the vertebral bodies 159, rather than from the fastening strength of the compressor 111. Therefore, it may be possible to fabricate the compressor 111 and the supporting devices with biodegradable material, such as poly-lactate, poly-glycolic, polycaprolactone, trimethylene carbonate, combinations of these or other materials. A biodegradable device is particularly suitable for young patients to avoid device migration or other related complications in the distant future. All materials should be able to withstand sterilization by gamma, electron beam, steam, ETO, plasma or UV light to prevent infection.

Twenty to forty percent of patients undergoing laminectomy and/or discectomy procedures do not find pain relief. Due to the high invasiveness of present procedures, epidural scarring and vertebral instability are the most common and often lingering post-surgical complications. These tissue-removing procedures are not reversible. For many patients, the pain often returns in five years or less. In contrast, the proposed compressors 111 and methods repair the dysfunctional discs 100 without tissue removal, minimizing epidural scarring and strengthening the vertebral segment. Disc compression thickens the disc 100 and distracts the adjacent vertebral bodies to alleviate pain without removing tissues and weakening the spine. The proposed devices are retrievable, and the methods do not involve with tissue removal. Discectomy, laminectomy, foraminotomy, traditional spinal fusion or other conventional procedures can be used as a fall back procedure in the event of an unsuccessful outcome.

In summary, the compressors 111 on a clamp 198, a bracket 139, a bolt 161 (elastic or otherwise) or a screw 187 are used for (1) compressing a protrusion to alleviate impingement, (2) fortifying the annulus to stabilize a motion segment, (3) minimizing the inward/outward bulging to protect the disc 100 from progressive delaminations, (4) atrophying the nerve to treat discogenic pain, (5) correcting the curvature of spinal deformities, (6) elevating the disc space to treat spinal stenosis, (7) sealing the leakage of nucleus pulposus to treat herniated discs 100, and/or (8) promoting bony ingrowth to fuse the motion segment.

It is to be understood that the present invention is by no means limited to the particular constructions disclosed herein and/or shown in the drawings, but also includes any other modification, changes or equivalents within the scope of the claims. Many features have been listed with particular configurations, curvatures, options, and embodiments. The bracket 139 or

the fusion plate in Figure 50 can also be viewed as the extended stop **173** of the compressor **111**. Any one or more of the features described may be added to or combined with any of the other embodiments or other standard devices to create alternate combinations and embodiments.

It should be clear to one skilled in the art that the current embodiments, materials, constructions, methods, tissues or incision sites are not the only uses for which the invention may be used. It has been foreseen that the elastic bolt **161**, resiliently curved trocar **103** and/or resilient compressor **111** can be applied for other surgical and non-surgical purposes. Different materials, constructions, methods or designs for the compressors **111**, brackets **139** or the delivery devices **124** can be substituted and used. Nothing in the preceding description should be taken to limit the scope of the present invention. The full scope of the invention is to be determined by the appended claims.

1. A compression device for compressing a dysfunctional intervertebral disc, said compression device comprising:

an arcuate compression member having a compression surface,

said compression surface having a concave curvature within a horizontal plane

extending therethrough, said concave curvature sized and configured to extend at least partway around and engage the intervertebral disc,

said compression surface having a convex curvature within a vertical plane extending therethrough,

and a compression means for pressing said compression surface against the dysfunctional intervertebral disc.

2. The compression device of claim 1, wherein said convex curvature is sized and configured fit between a patient's vertebrae.

3. The compression device of claim 1, wherein said compression member has a top surface forming a first plateau surface and a bottom surface forming a second plateau surface.

4. The compression device of claim 3, wherein at least one of said first and second plateau surfaces has an indentation.

5. The compression device of claim 3, wherein said first and second plateau surfaces are nonparallel.

6. The compression device of claim 3, wherein said first and second plateau surfaces are generally parallel.

7. The compression device of claim 1, wherein when viewed in said vertical plane said compression surface is generally round.

8. The compression device of claim 1, wherein when viewed in said vertical plane said compression surface tapers to a rounded point.

9. The compression device of claim 1, wherein when viewed in said vertical plane said compression surface is nipple-shaped.
10. The compression device of claim 1, wherein when viewed in said vertical plane said compression surface has multiple curvatures.
11. The compression device of claim 1, further comprising a first tip and a second tip of said compression member, said first and second tips forming the ends of said concave curvature.
12. The compression device of claim 11, wherein a depth of said compression member is measured between said compression surface and an outside surface of said compression member, and wherein said depth narrows down to said first and second tips.
13. The compression device of claim 11, wherein said tips are curved outward.
14. The compression device of claim 1, wherein an outside surface of said compression member is indented to form a depression.
15. The compression device of claim 14, wherein said depression is sized and configured to contain a portion of said compression means.
16. The compression device of claim 15, wherein said compression means is a threaded bolt and a head of said bolt is sized to fit within said depression.
17. The compression device of claim 1, further comprising a protruding leg extending from said compression member, said protruding leg sized and configured to contact a vertebra, when said compression surface is at least partially located between two vertebrae.
18. The compression device of claim 17, further comprising at least one attachment hole extending through said protruding leg.

19. The compression device of claim 17, further comprising a second protruding leg extending from said compression member, wherein one of said protruding legs extends upwards and another of said protruding legs extends downward.
20. The compression device of claim 1, further comprising a pair of delivery tool engagement openings extending into said compression member on opposite sides thereof.
21. The compression device of claim 1, wherein said compression member is a clamp and said clamp extends around approximately three-fourths of the intervertebral disc.
22. The compression device of claim 21, wherein said compression surface is adjacent one side of the disc and further comprising a second compression surface adjacent an opposite side of the disc.
23. The compression device of claim 22, wherein said first and second compression surfaces have the same shape.
24. The compression device of claim 22, wherein said first and second compression surfaces have different shapes.
25. The compression device of claim 22, wherein said first and second compression surfaces are modular pieces attachable to the compression member
26. The compression device of claim 1, wherein said compression member extends around approximately one-fourth of the intervertebral disc.
27. The compression device of claim 1, wherein said compression device is resilient.
28. The compression device of claim 1, wherein at least a portion of said compression device is porous.
29. The compression device of claim 1, wherein said compression device is formed of a polymer.

30. The compression device of claim 1, wherein said compression device is formed of a nickel-titanium alloy.
31. The compression device of claim 1, wherein said compression device has at least one tissue ingrowth opening.
32. The compression device of claim 31, wherein bone grows into said tissue ingrowth opening to form vertebrae fusion.
33. The compression device of claim 1, wherein said compression member is a resilient clamp and resiliency of said clamp provides said compression means.
34. The compression device of claim 1, wherein said compression means is a bolt.
35. The compression device of claim 34, wherein said bolt is formed of a resilient material.
36. The compression device of claim 35, wherein said bolt is biased toward a curved configuration.
37. The compression device of claim 36, wherein said curved configuration has a plurality of arcs.
38. The compression device of claim 36, wherein said bolt has at least one slit and wherein said curved configuration is produced by the outward bowing of said slit.
39. The compression device of claim 36, further comprising a sleeve locatable around said bolt.
40. The compression device of claim 39, wherein said sleeve has a in-phase position and an out-of-phase position, wherein in said out-of-phase position, said bolt is constrained in a straightened position and wherein in said in-phase position, said bolt is release into said curved configuration with at least one curve extending through an opening in said sleeve.

41. The compression device of claim 39, wherein said sleeve is formed of a degradable material.
42. The compression device of claim 1, wherein said compression member is resilient.
43. The compression device of claim 42, further comprising a capsule, said capsule sized and configured to contain said compression member in a delivery position.
44. The compression device of claim 1, wherein said compression member is a resilient clamp and further comprising a compression member widening tool sized and configured to open and release said compression member.
45. The compression device of claim 44, further comprising a first tip having a first opening therein and a second tip having a second opening therein, said first and second tips forming ends of said concave curvature, and wherein said compression member widening tool has two arms, each arm having a post extending therefrom, each of said posts sized and configured to engage one of said first and second openings.
46. A trocar for puncturing through a dysfunctional intervertebral disc into an end plate for creating a bleeding site, said trocar comprising:
- an elongated body having a sharp distal tip and a resilient curvature proximate said distal tip,
 - a handle attached to a proximal end of said elongated body,
 - and a generally rigid sleeve locatable around said resilient curvature.
47. The trocar of claim 46, wherein said elongated body is formed of a nickel-titanium alloy.
48. The trocar of claim 46, further comprising a label on said handle, said label indicating the direction of said resilient curvature.
49. A method of compressing a dysfunctional intervertebral disc, the method comprising the steps of:

- (a) opening a resilient disc clamp;
- (b) locating said disc clamp around a majority of the dysfunctional intervertebral disc;
- (c) and releasing said disc clamp, thereby allowing said disc clamp to compress the disc.

50. The method of claim 49, wherein a widening tool is used to open and release said disc clamp.

51. The method of claim 49, further comprising the step of:

- (d) attaching said disc clamp to a vertebra.

52. The method of claim 49, further comprising the step of:

- (d) protecting a patient's nerves during steps (a)-(c).

53. The method of claim 49, further comprising the step of:

- (d) pressing a disc compression surface of said clamp between a patient's vertebrae.

54. The method of claim 49, further comprising the step of:

- (d) puncturing an end plate of the dysfunctional disc with a trocar to create a bleeding site.

55. The method of claim 49, further comprising the step of:

- (d) allowing said disc clamp to press into said dysfunctional disc until a protruding leg extending from said clamp rests against a vertebra.

56. The method of claim 49, further comprising the step of:

- (d) selecting a first compression surface for a first side of the disc and a second compression surface for a second side of the disc.

57. The method of claim 49, further comprising the step of:

- (d) selecting a first compression surface for a first side of the disc and a second different compression surface for a second side of the disc.

58. The method of claim 49, wherein the method is used to alleviate nerve impingement.

59. The method of claim 49, wherein the method is used to treat segmental instability.
60. The method of claim 49, wherein the method is used to minimize annular delamination.
61. The method of claim 49, wherein the method is used to atrophy a nerve.
62. The method of claim 49, wherein the method is used to treat spinal stenosis.
63. The method of claim 49, wherein the method is used to treat kyphosis.
64. The method of claim 49, wherein the method is used to treat scoliosis.
65. A method of compressing a dysfunctional intervertebral disc, the method comprising the steps of:
- (a) pressing a disc compression surface of a disc compression member against the dysfunctional intervertebral disc;
 - (b) attaching said disc compression member to a vertebra.
66. The method of claim 65, further comprising the step of:
- (c) resiliently pressing said disc compression surface against said disc until said disc compression surface is located between two vertebrae.
67. The method of claim 65, further comprising the step of:
- (c) attaching said disc compression member to a second vertebra.
68. The method of claim 67, further comprising the step of:
- (d) repeating steps (a)-(c) to attach a second disc compression member.
69. The method of claim 65, further comprising the step of:
- (c) repeating steps (a) and (b) to attach a second disc compression member.

70. The method of claim 65, further comprising the step of:

- (c) puncturing end plate of the dysfunctional disc with a trocar to create a bleeding site.

71. The method of claim 65, wherein said disc compression member is resiliently attached to the vertebra with a bolt.

72. The method of claim 71, wherein said bolt is located with a sleeve during delivery.

73. The method of claim 72, wherein said bolt has an in-phase position and an out-of-phase position with respect to said sleeve and further comprising the step of moving said bolt from the out-of phase position to the in-phase position wherein at least a portion of said bolt extends out an opening in said sleeve.

74. The method of claim 65, wherein said disc compression member is attached to a side of the vertebra.

75. The method of claim 65, wherein said disc compression member is attached through an end plate of the vertebra.

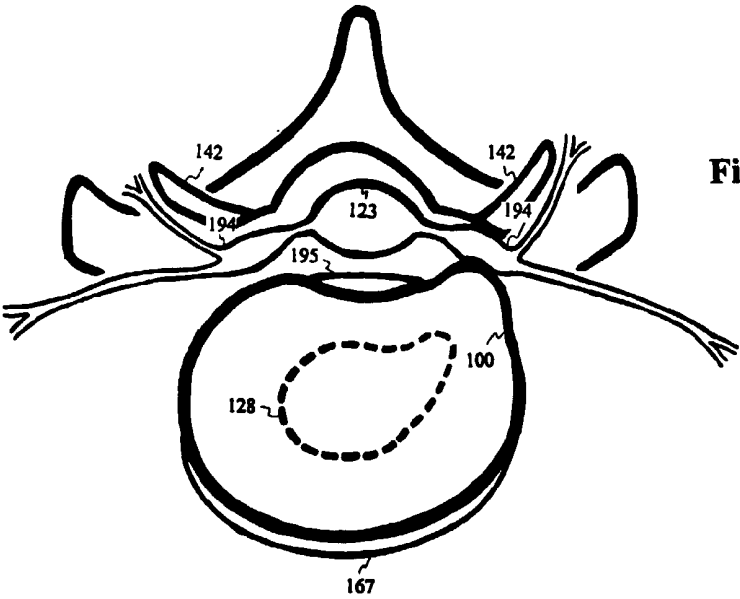


Figure 1

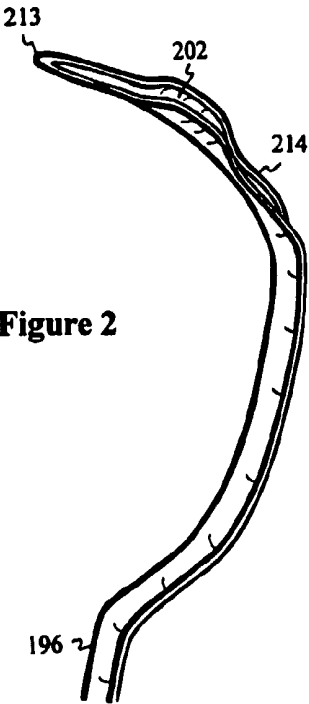


Figure 2

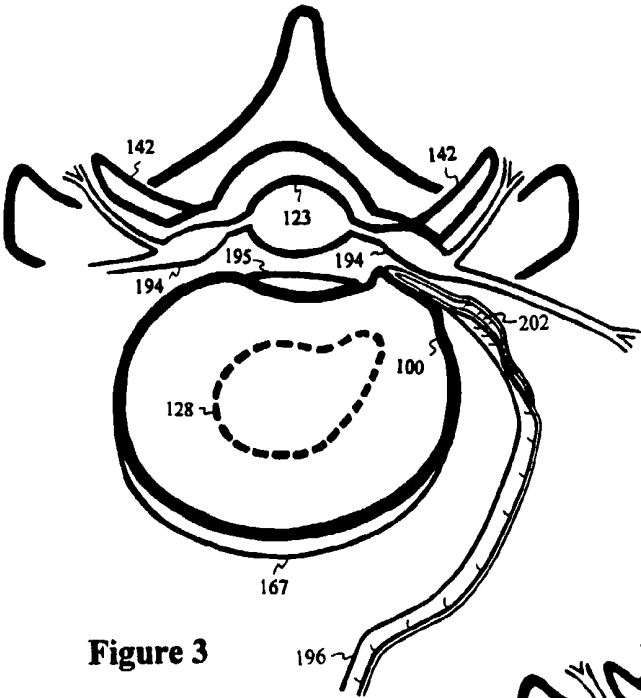


Figure 3

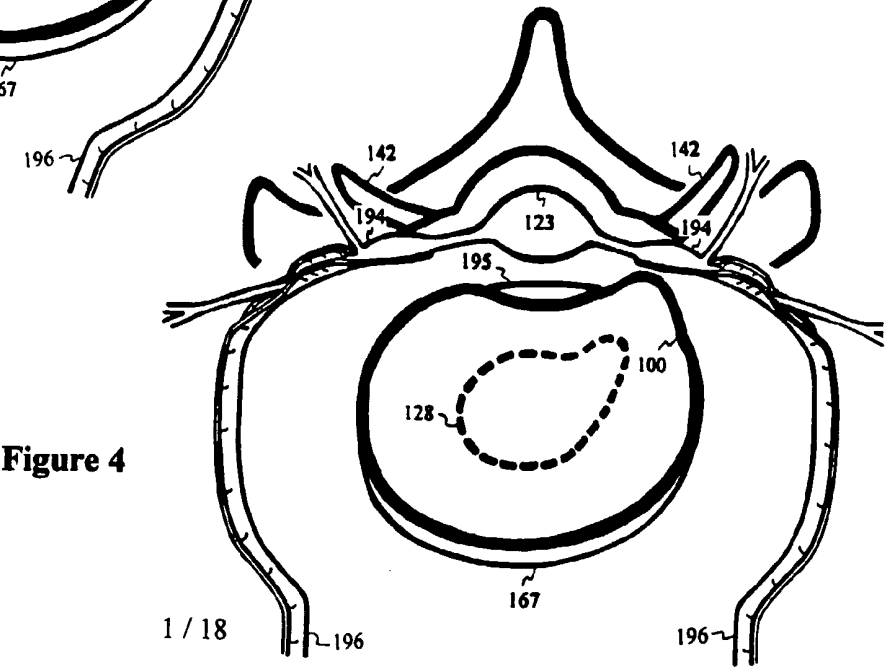


Figure 4

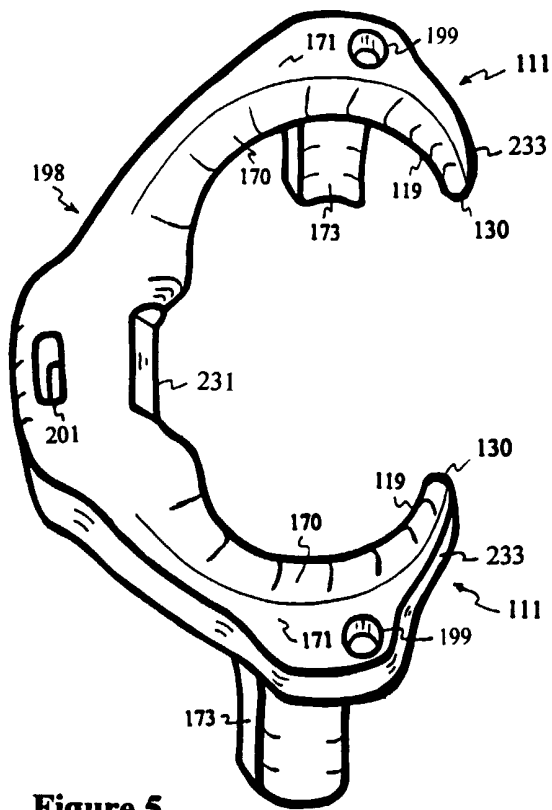


Figure 5

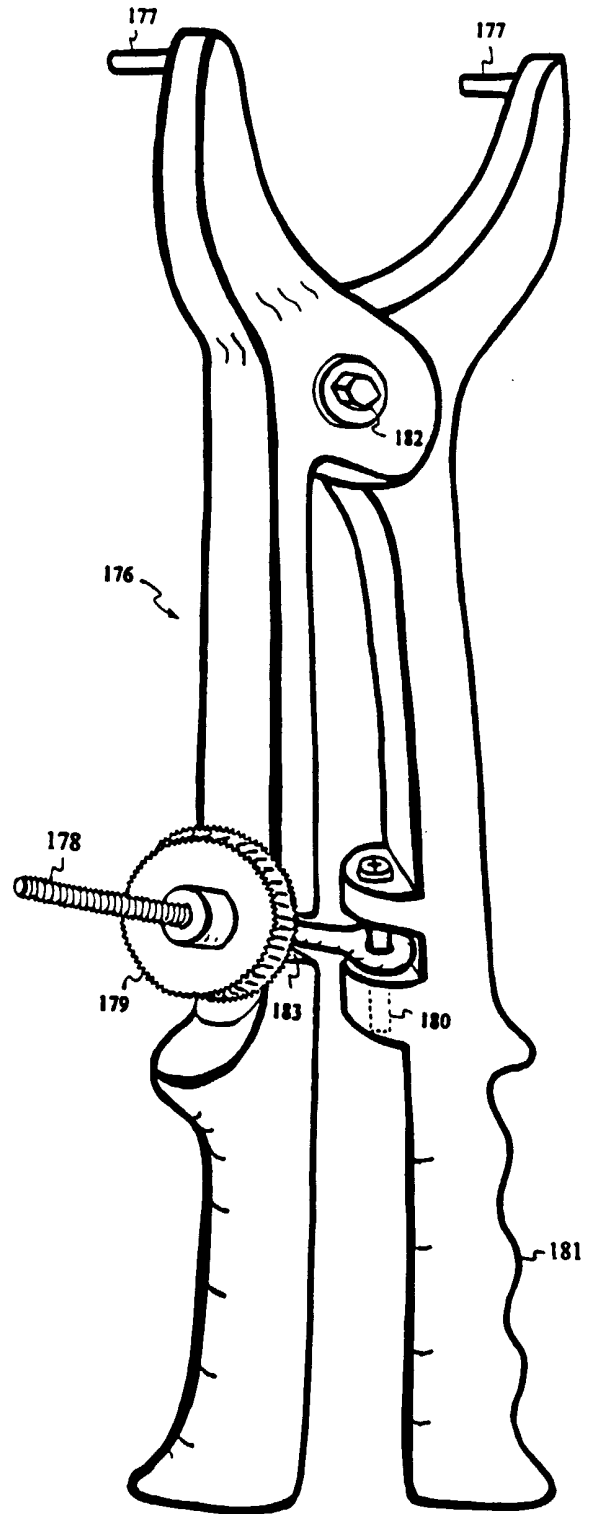


Figure 6

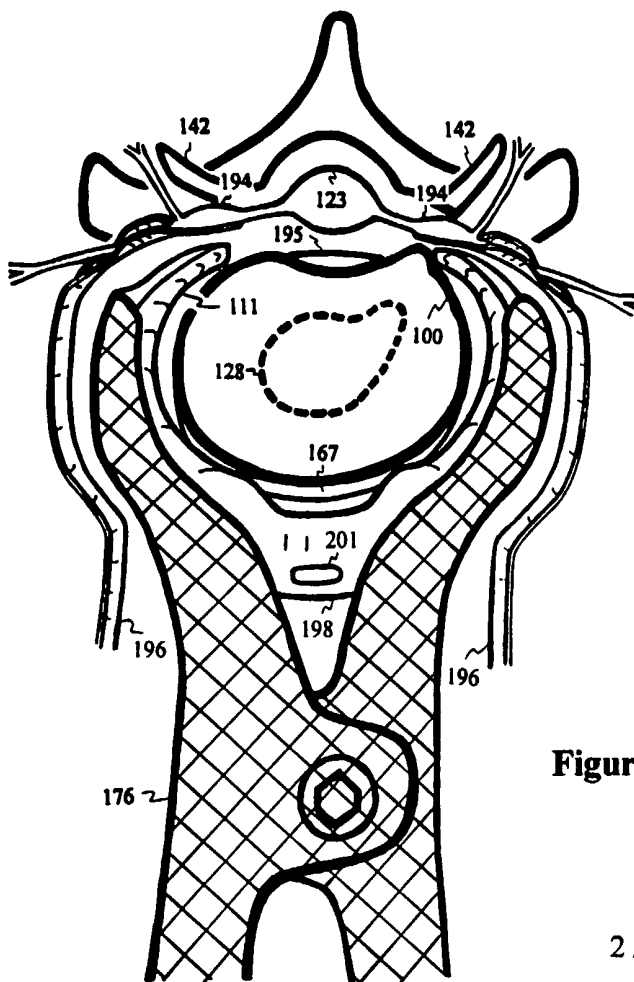


Figure 7

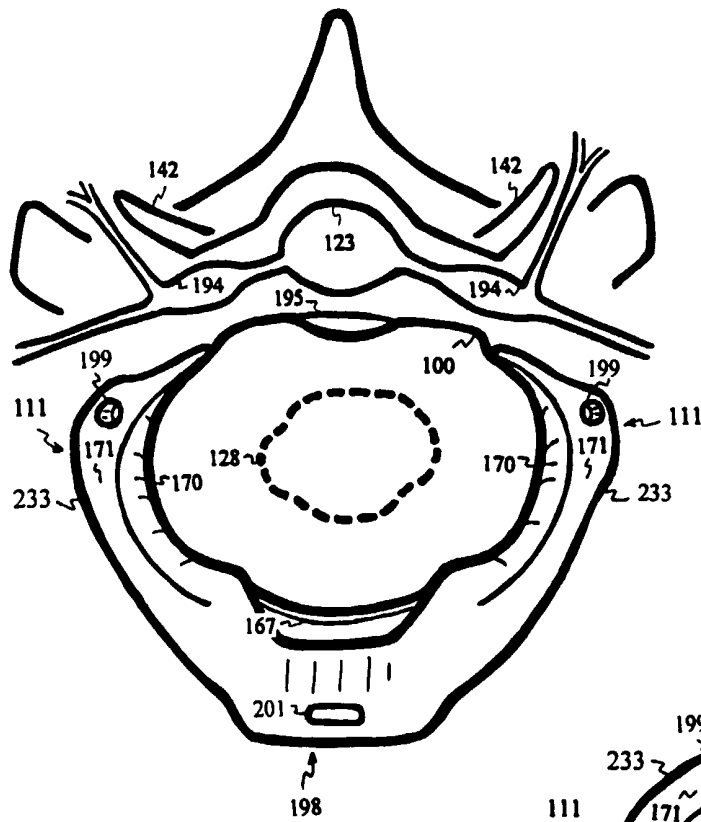


Figure 8

Figure 9

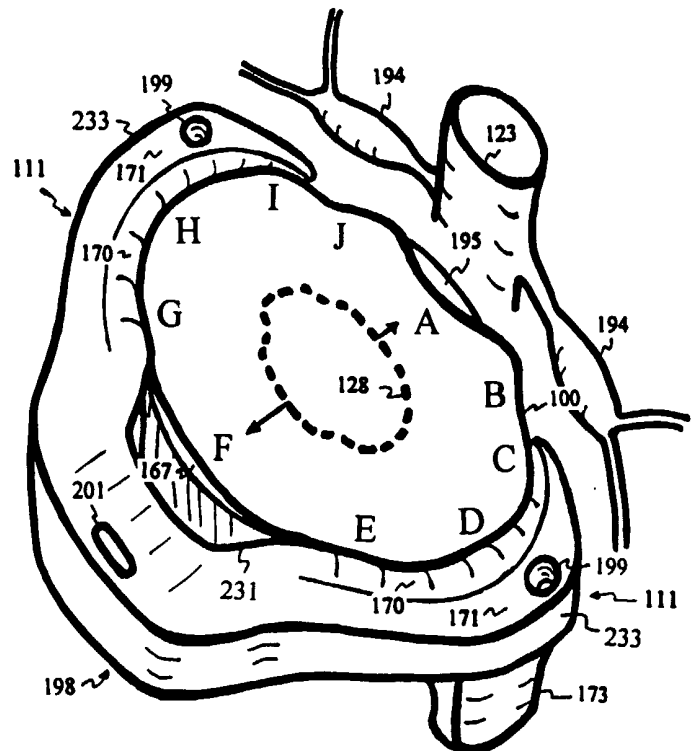
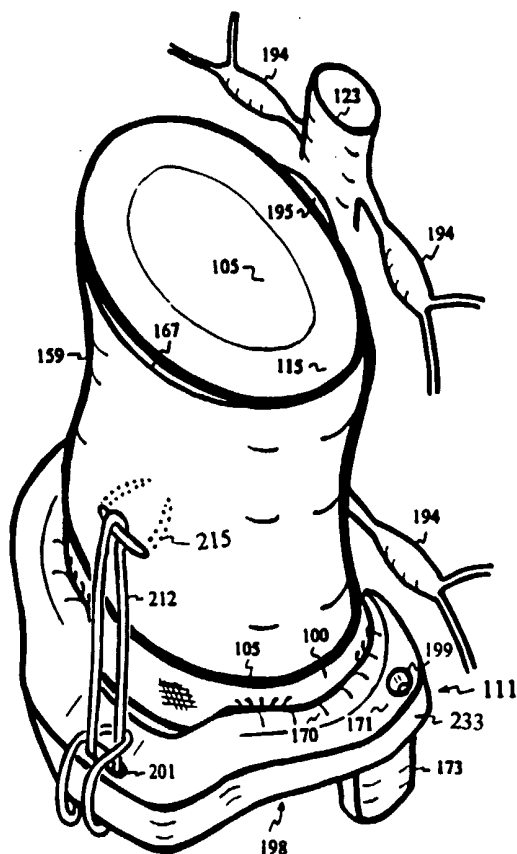


Figure 10



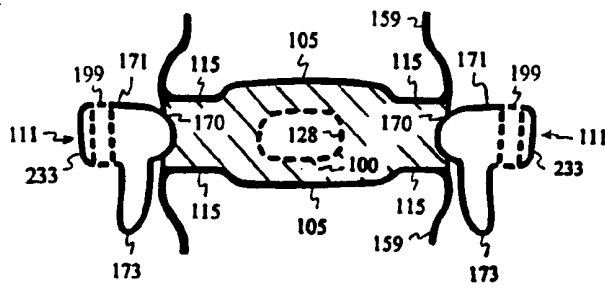


Figure 11

Figure 12

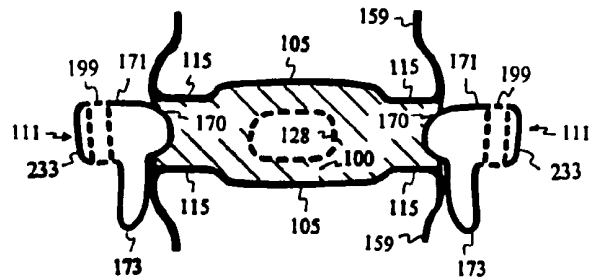


Figure 13

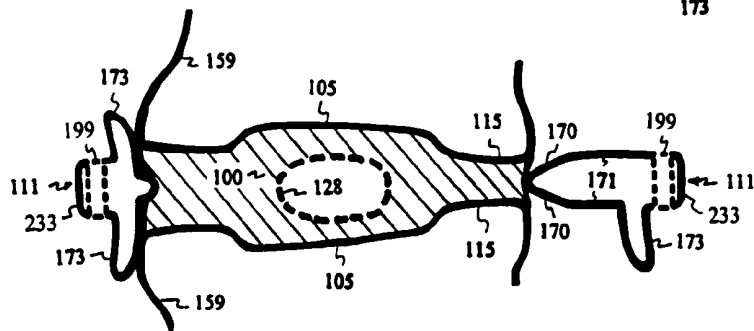


Figure 14

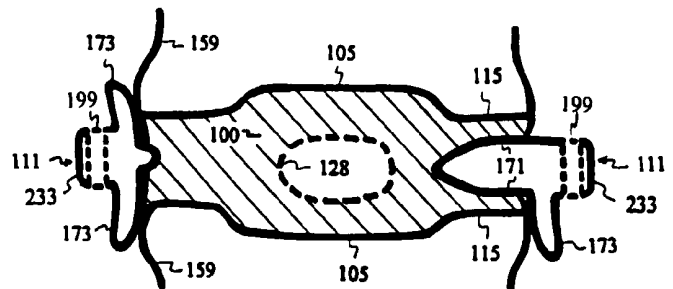
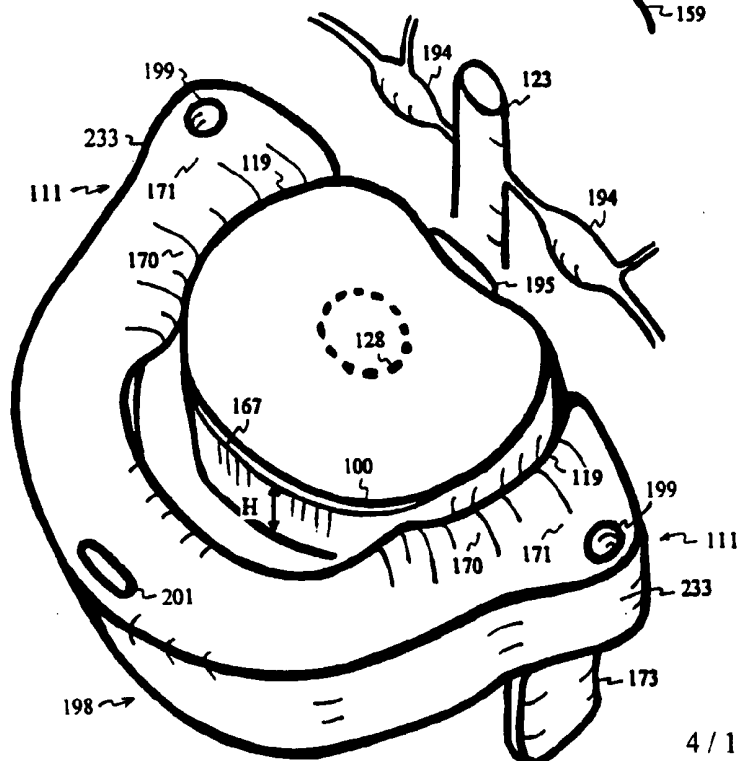


Figure 15



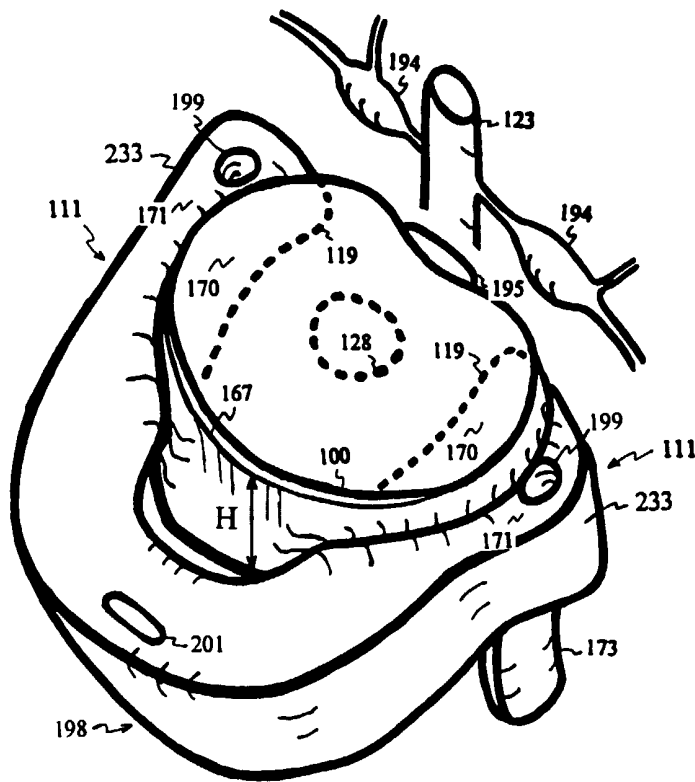


Figure 16

Figure 17

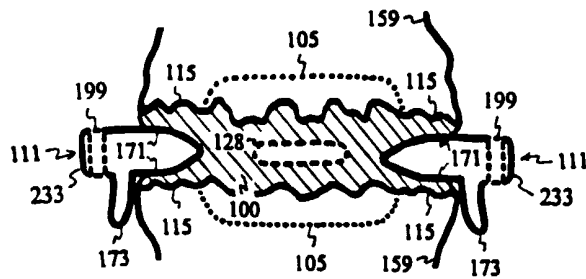
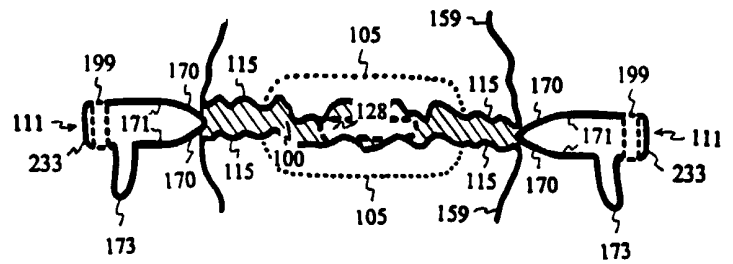
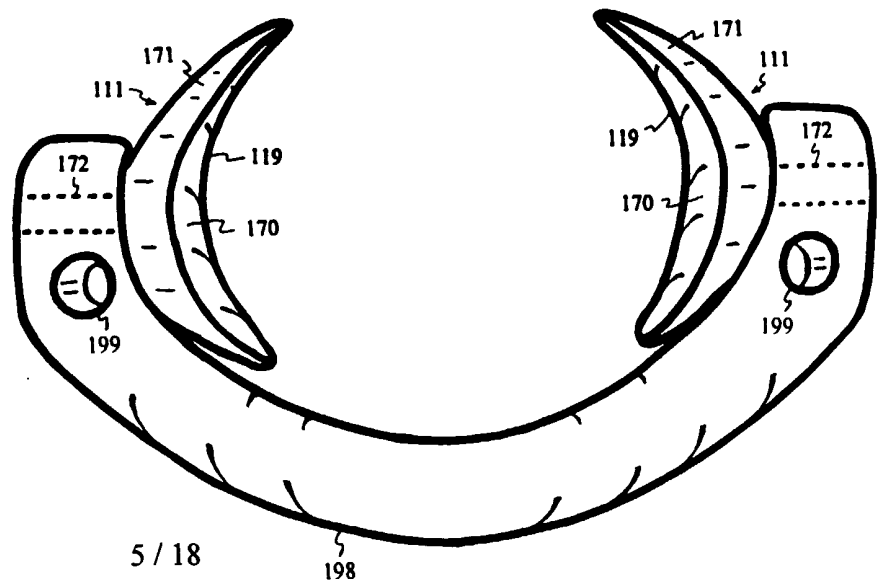


Figure 18

Figure 19



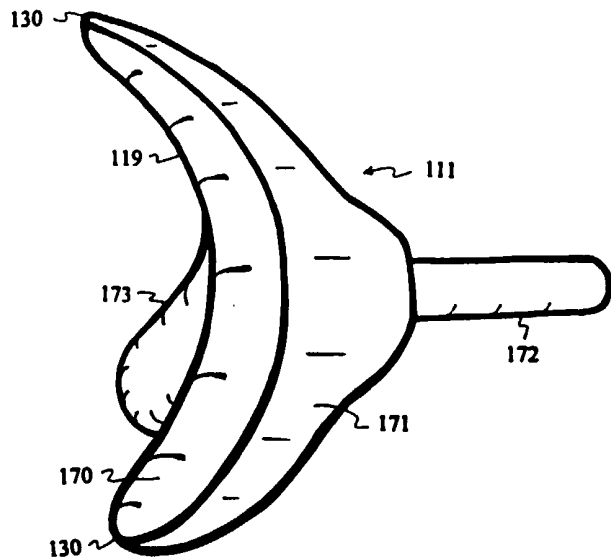


Figure 20

Figure 21

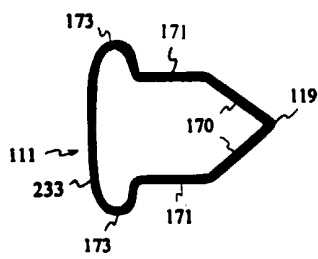
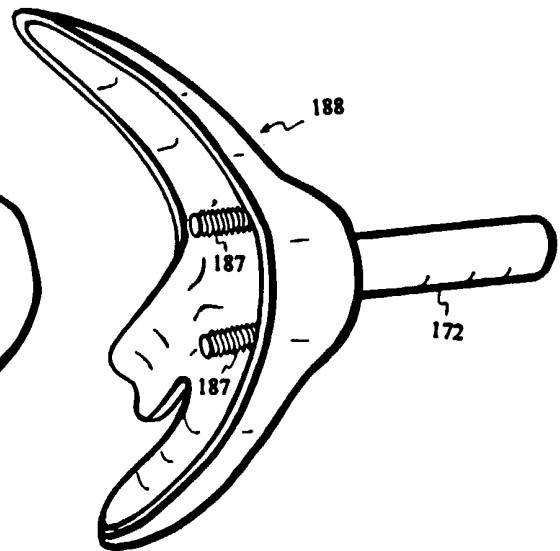
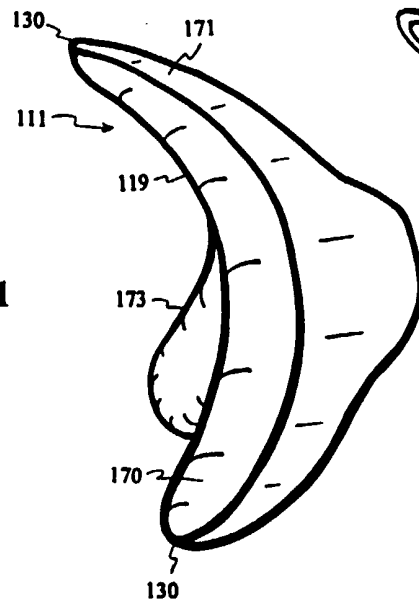


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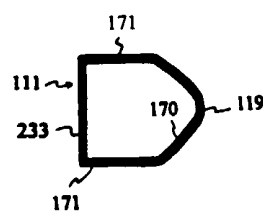


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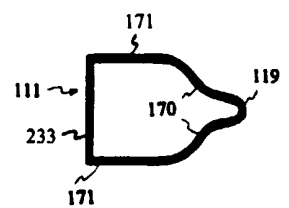


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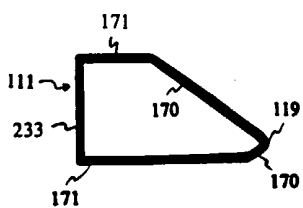


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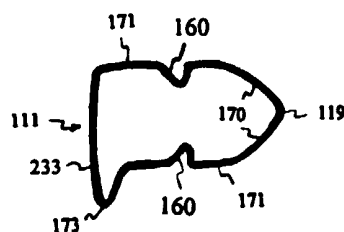


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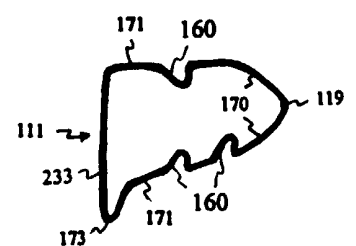


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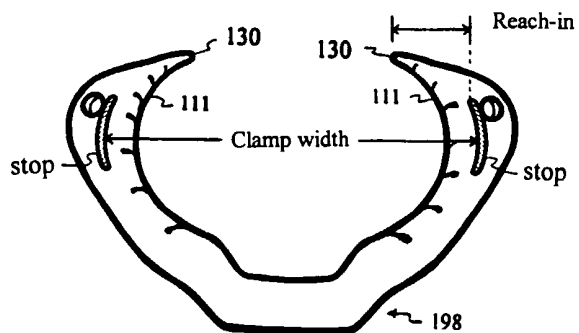


Figure 28

Figure 29

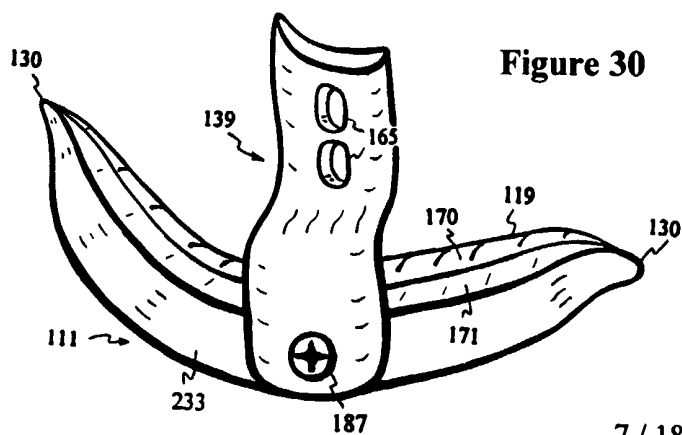
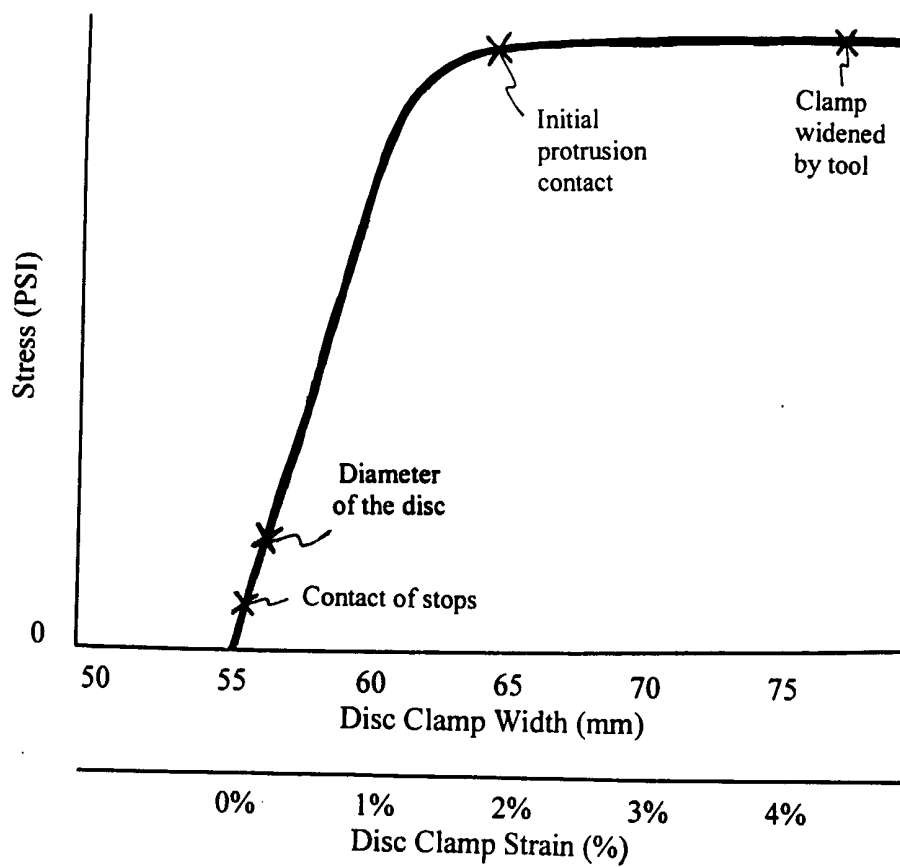


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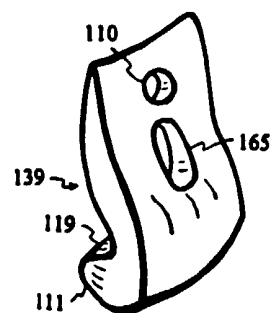


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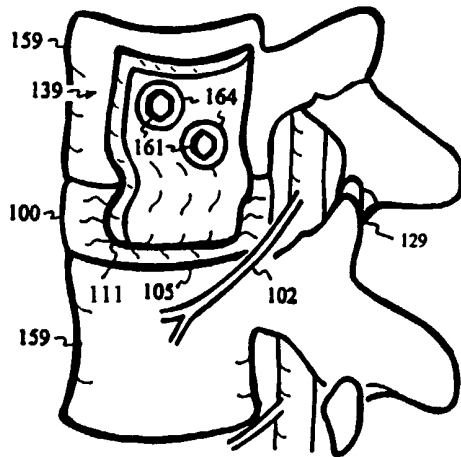


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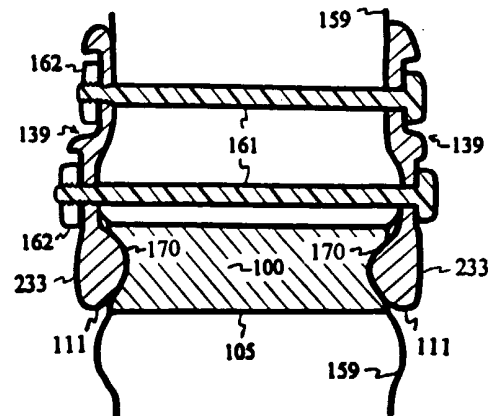


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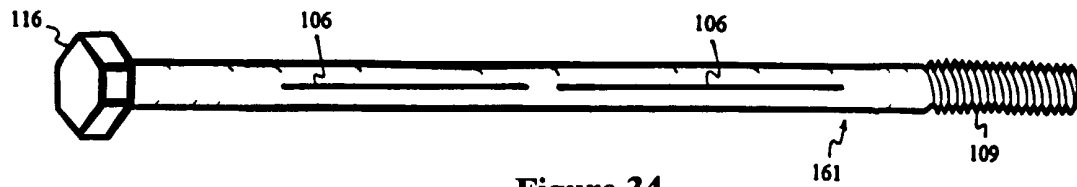


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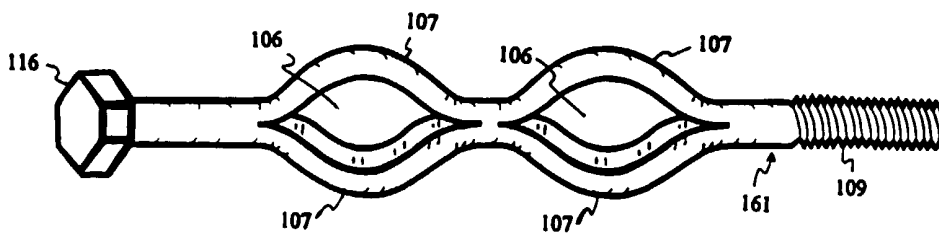


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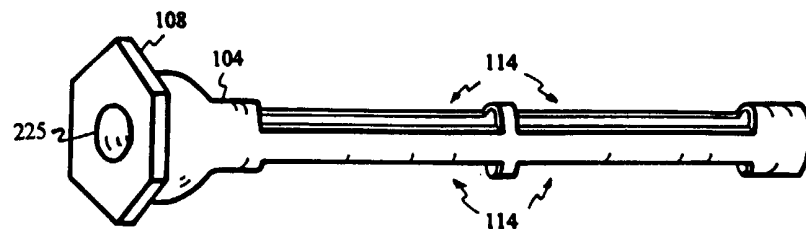


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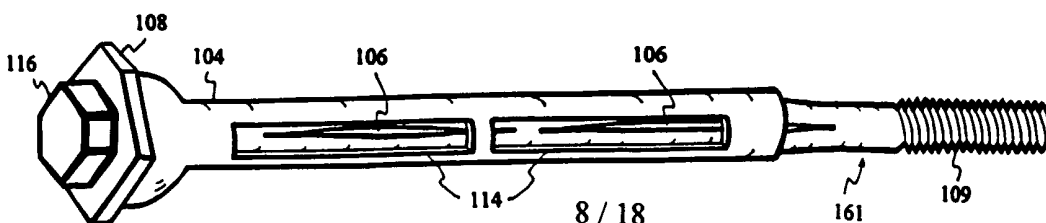


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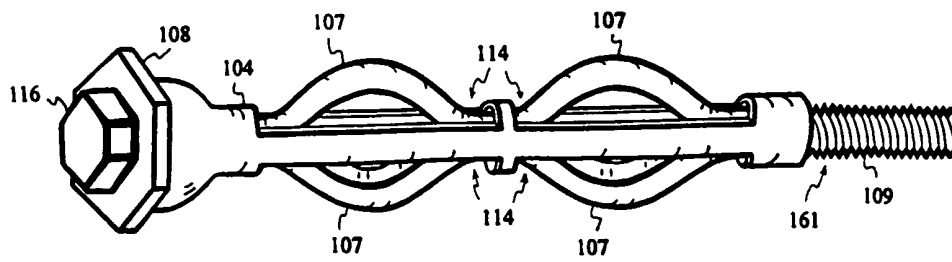


Figure 38

Figure 39

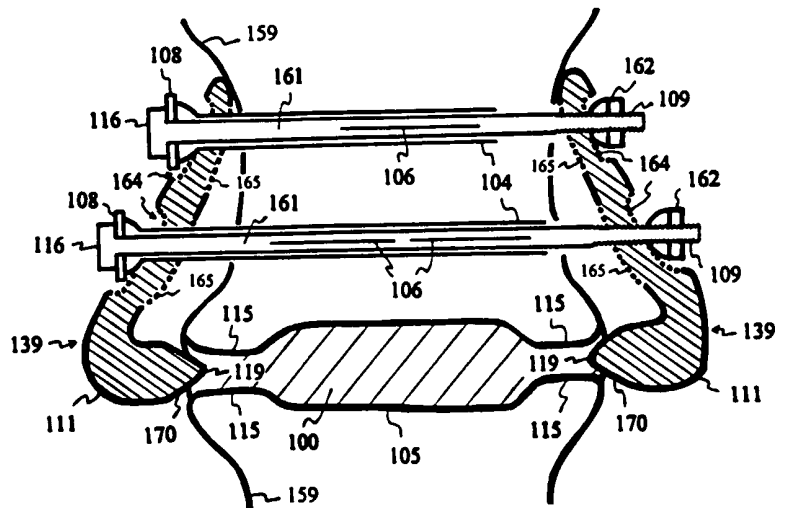


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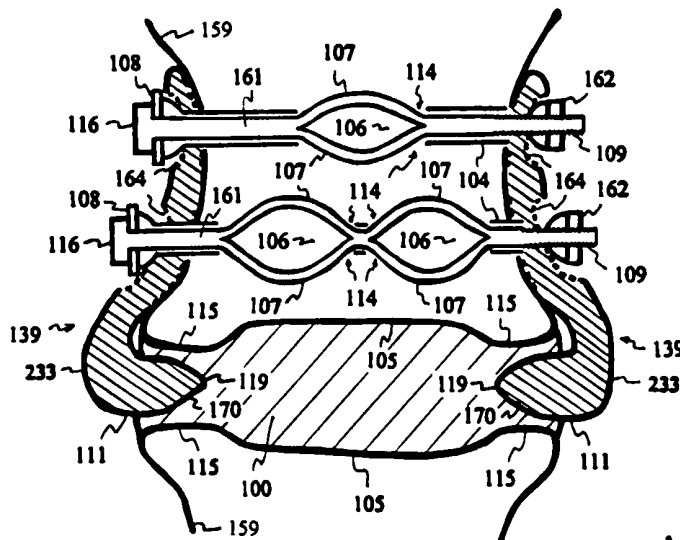
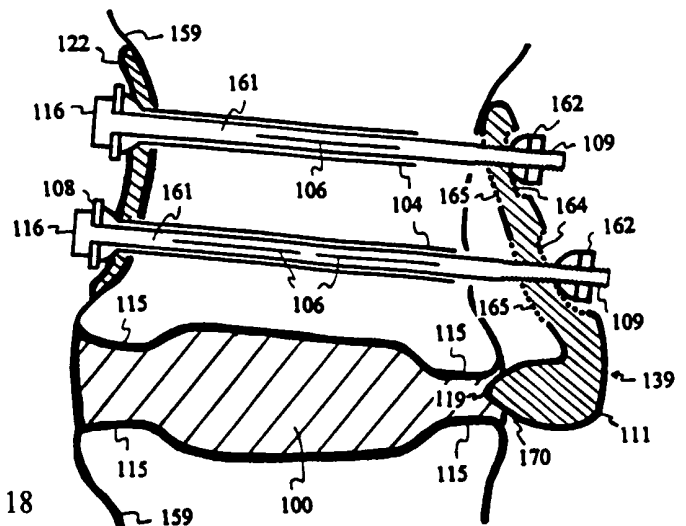


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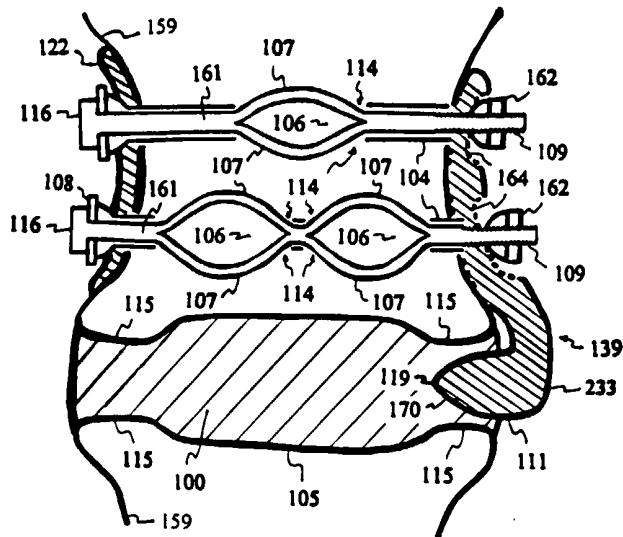


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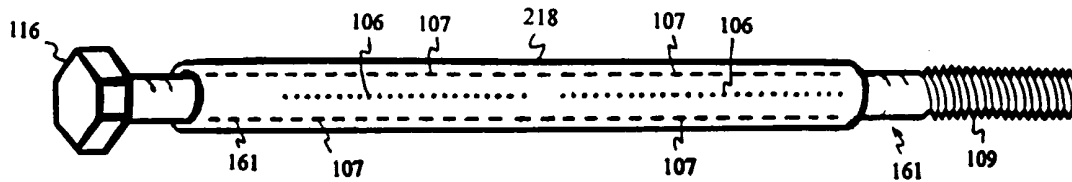


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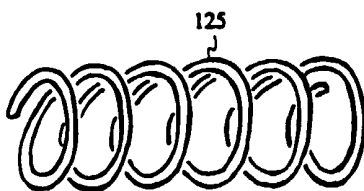


Figure 44
Prior Art

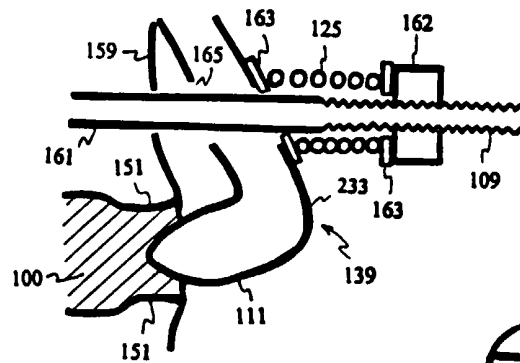


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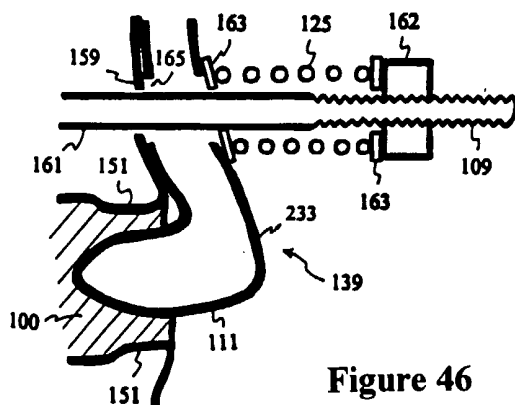


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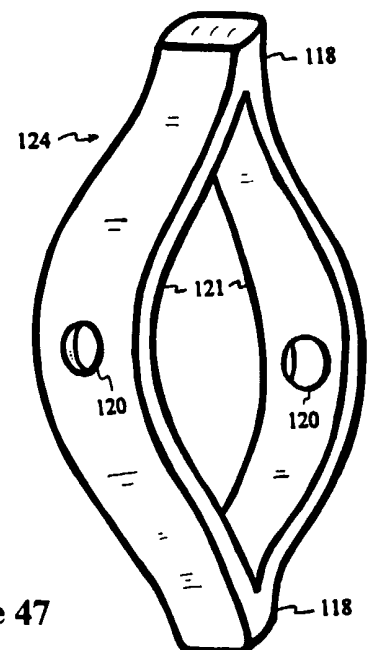


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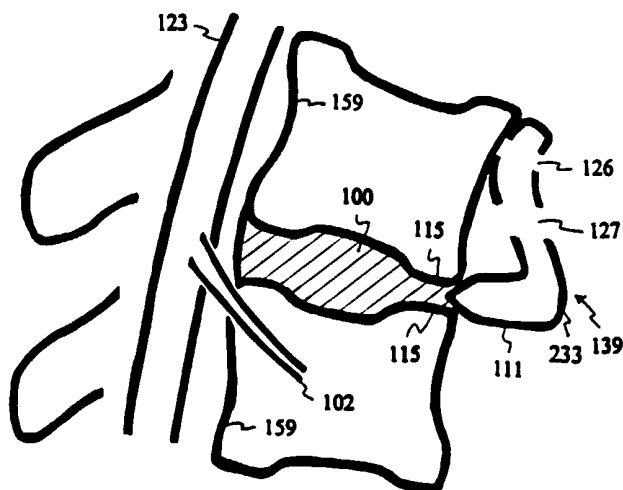


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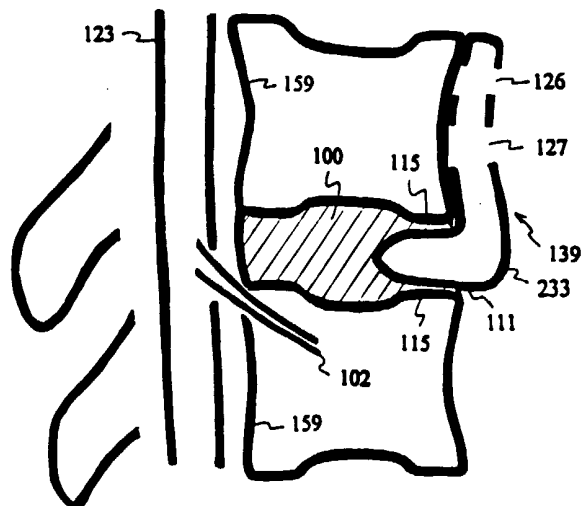


Figure 49

Figure 50

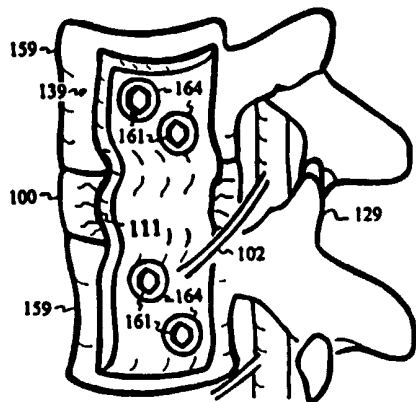
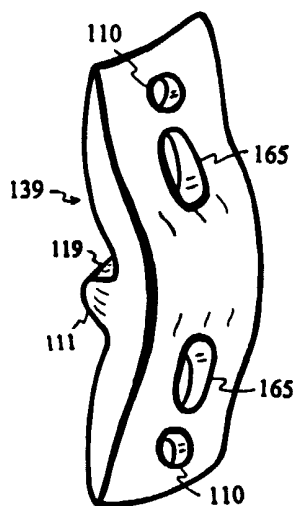


Figure 51

Figure 52

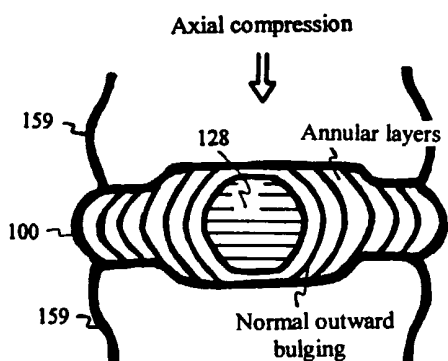
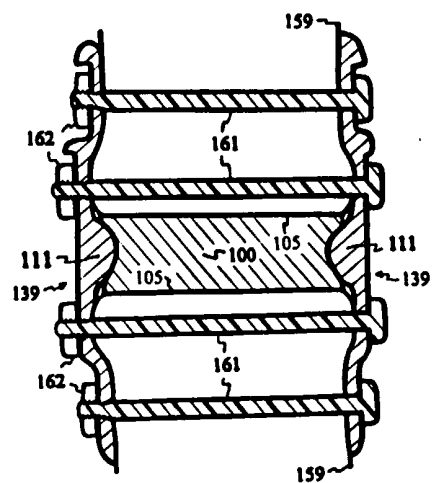
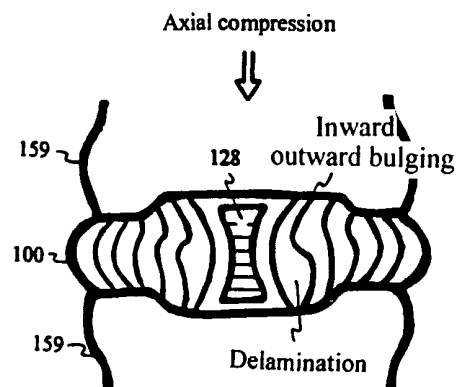


Figure 53

Figure 54



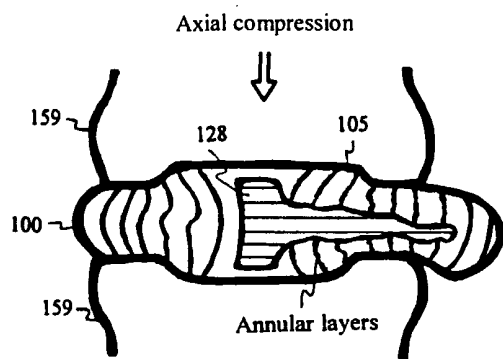


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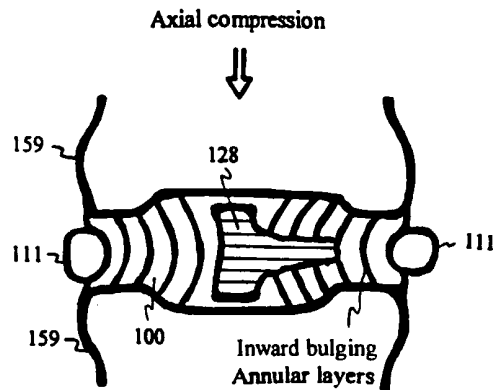


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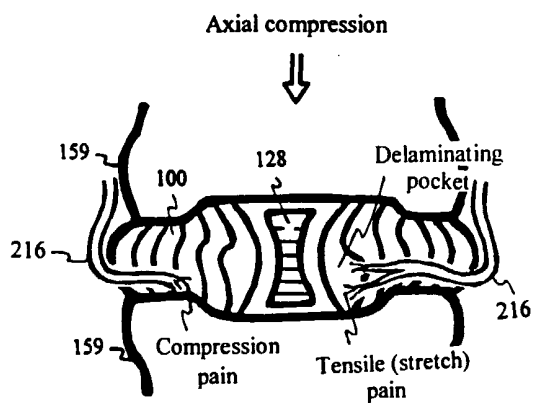


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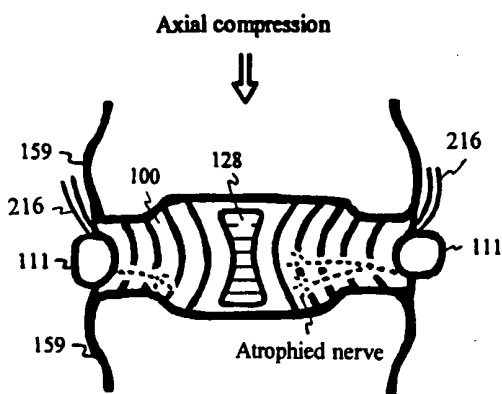


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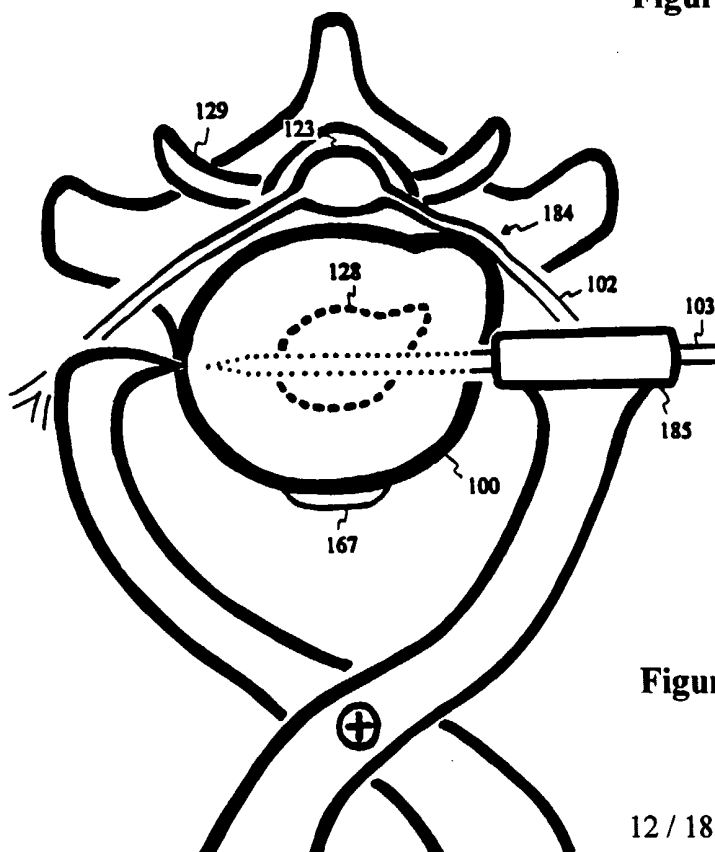


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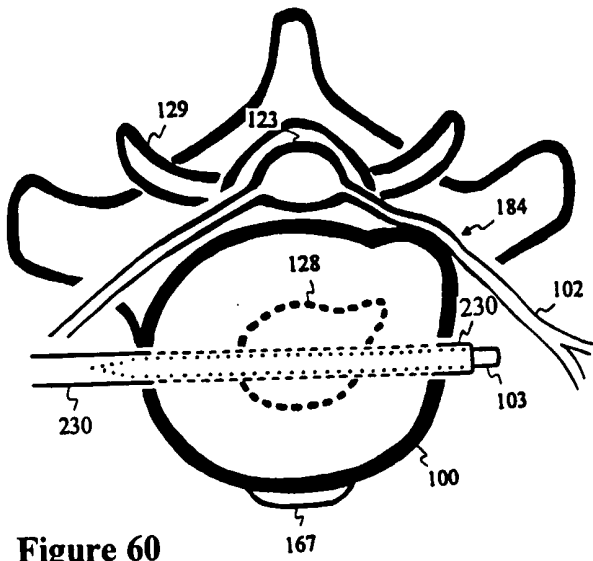


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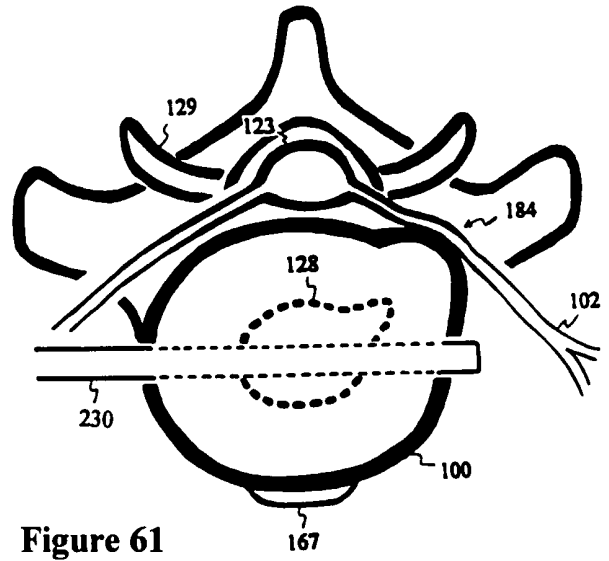


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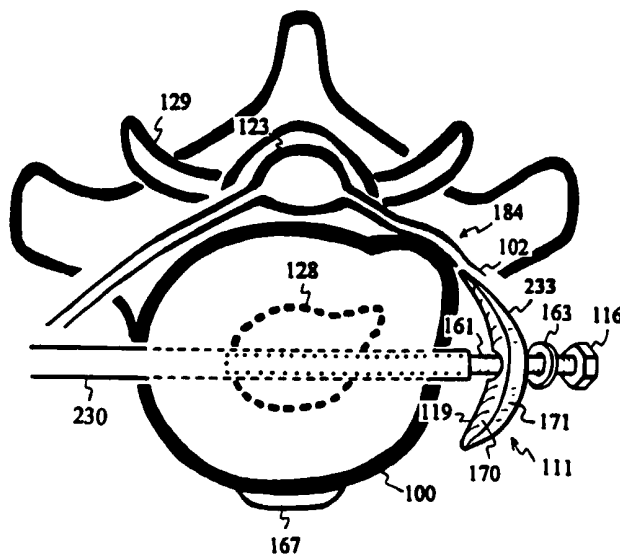


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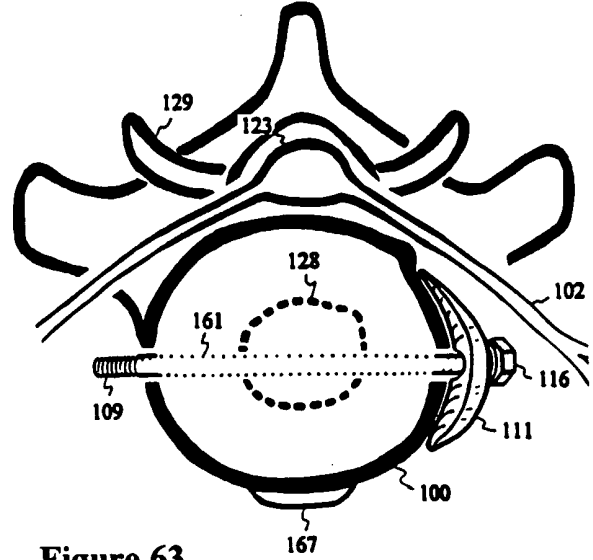


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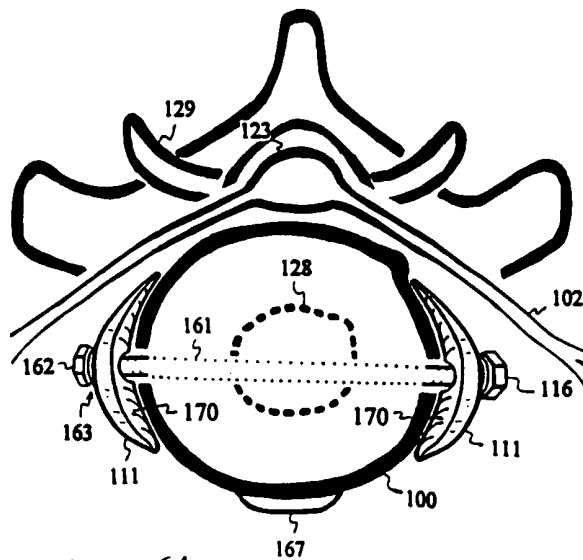


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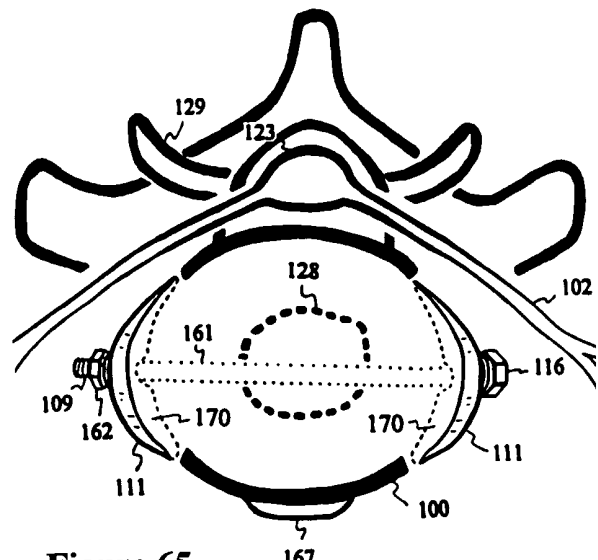


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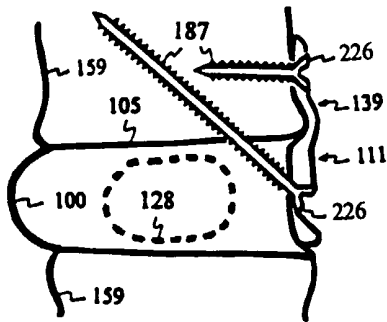


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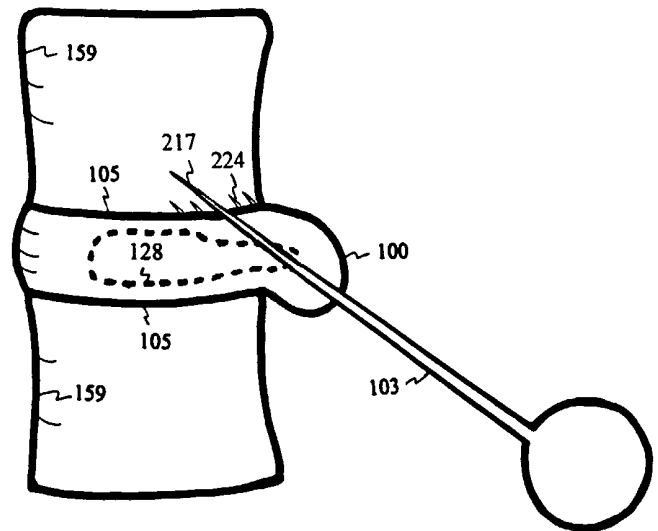


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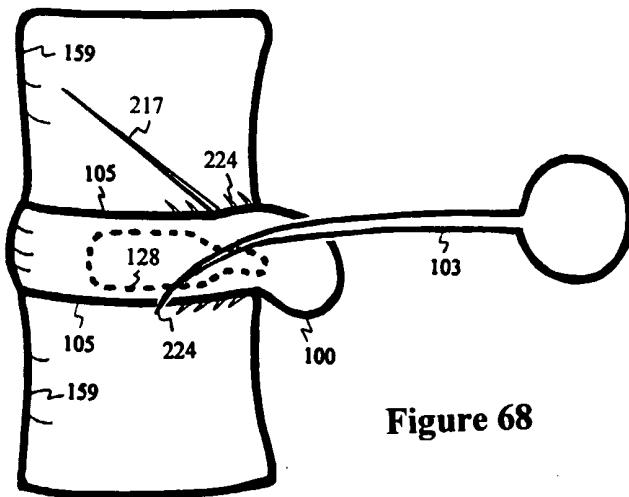


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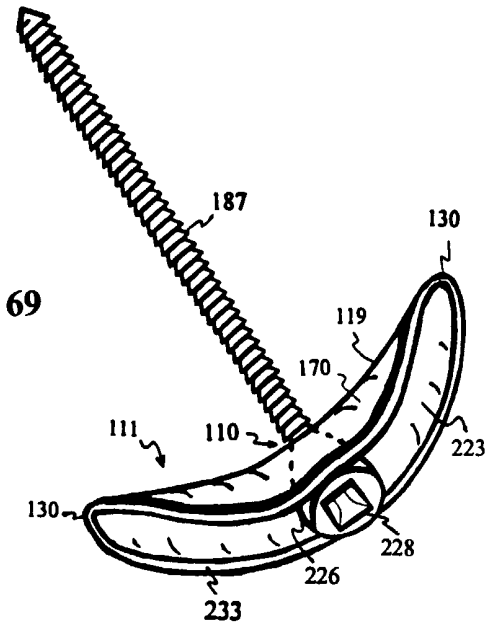


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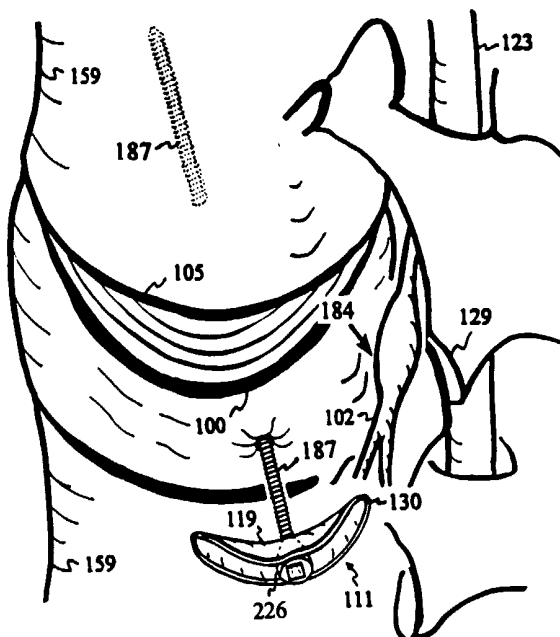


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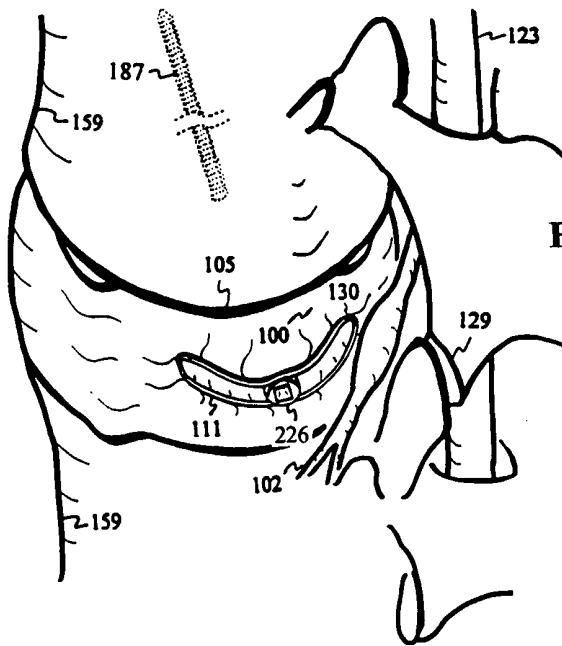


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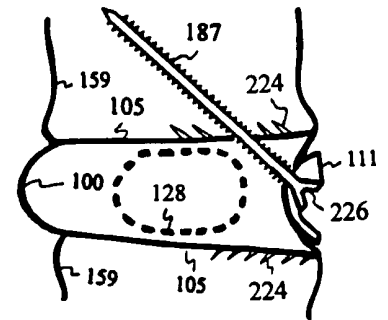


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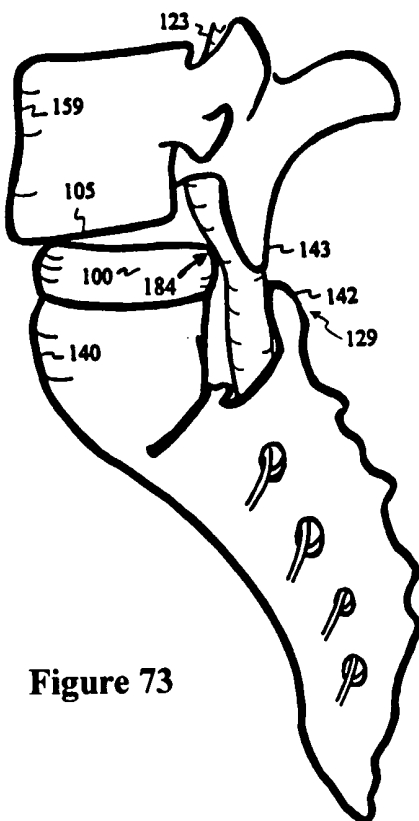


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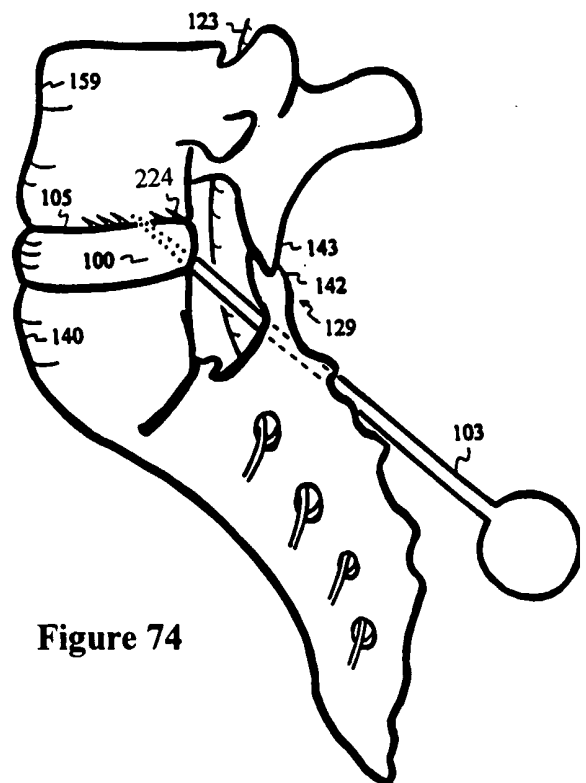


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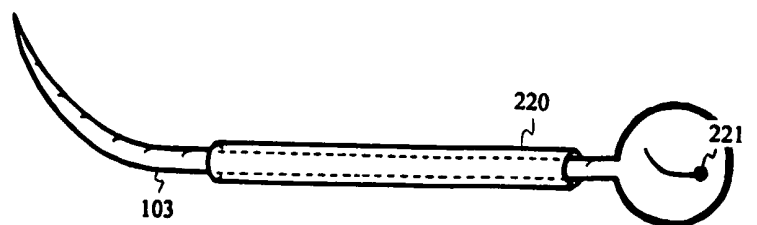


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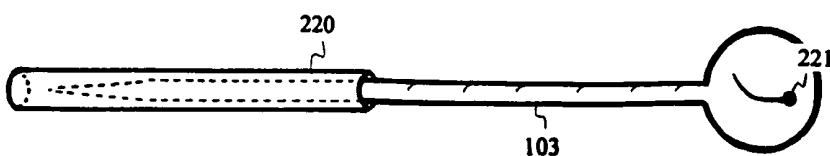


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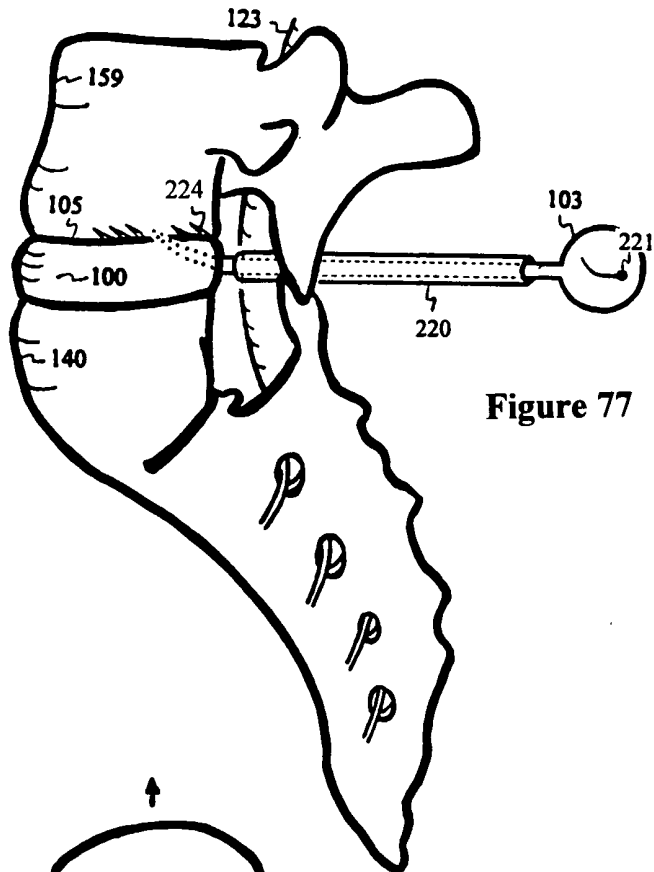


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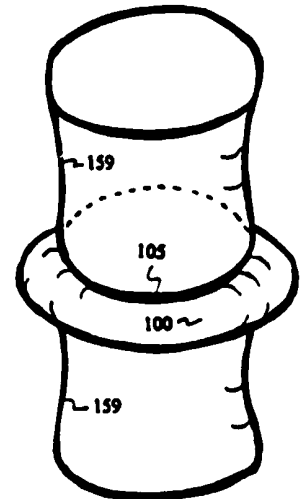


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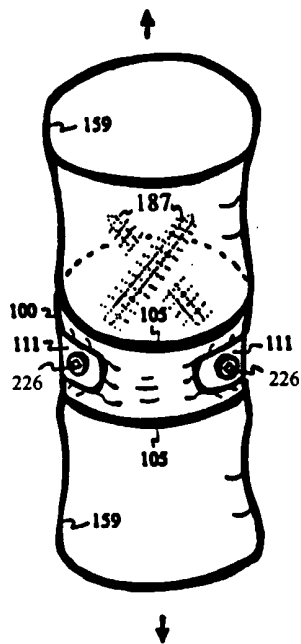


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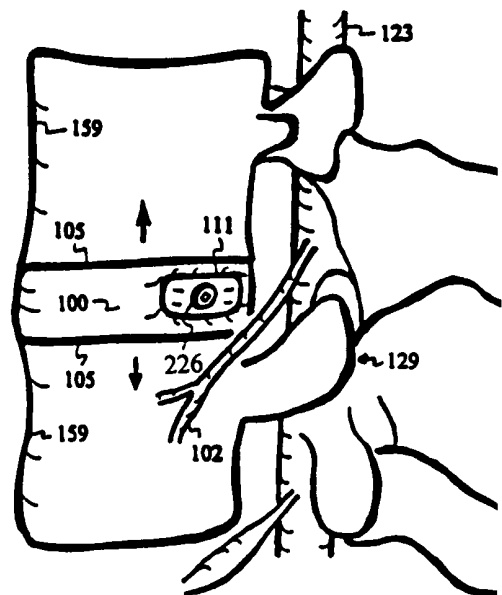


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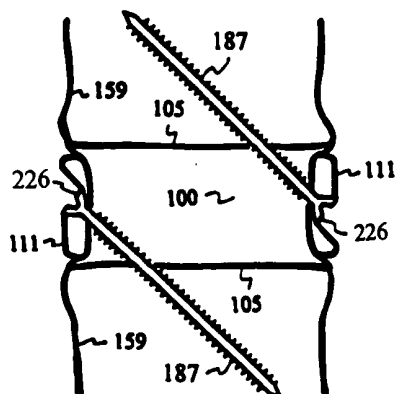


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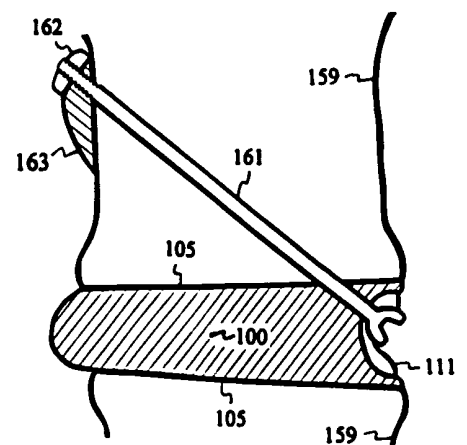


Figure 82
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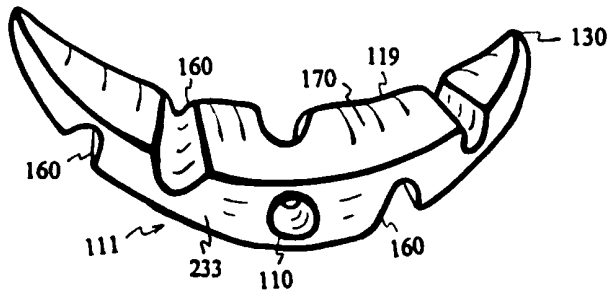


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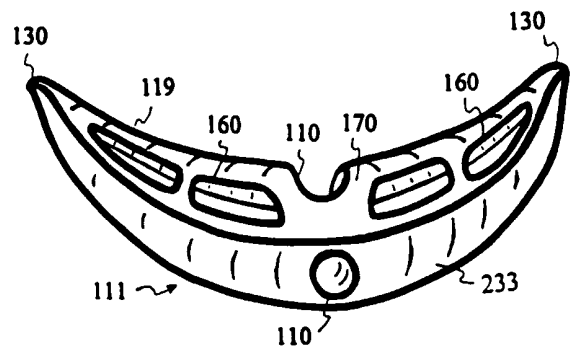


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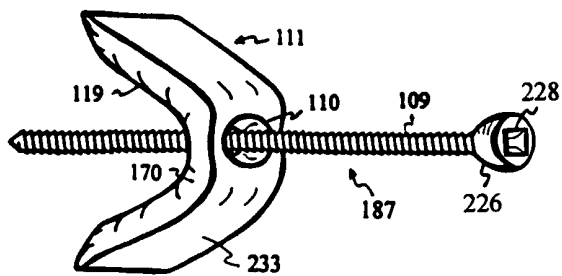


Figure 85

Figure 86

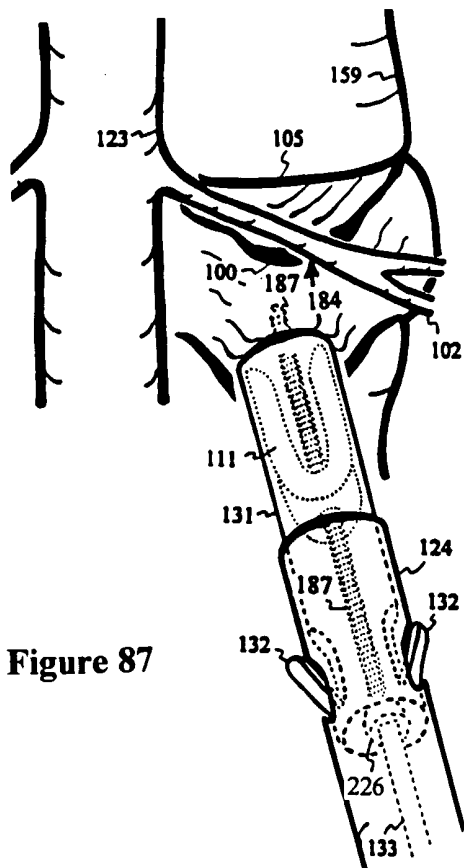
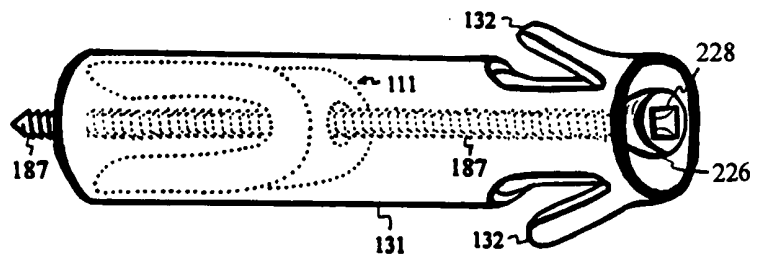


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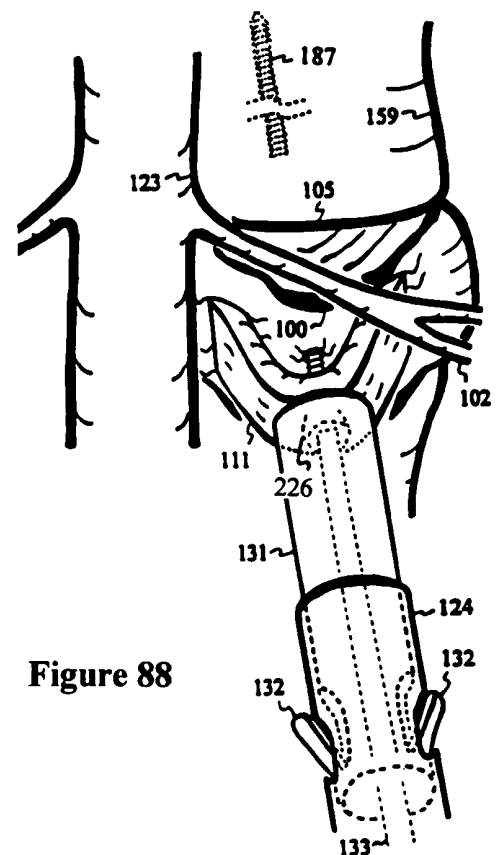


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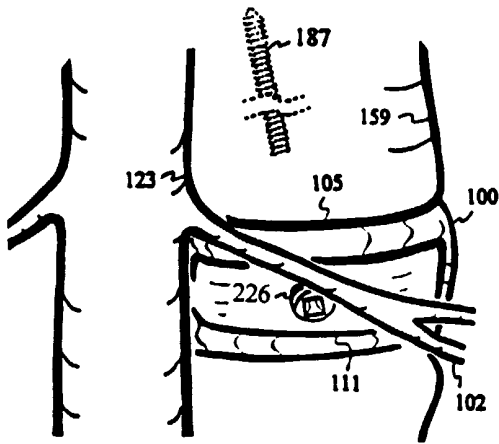


Figure 89

Figure 90

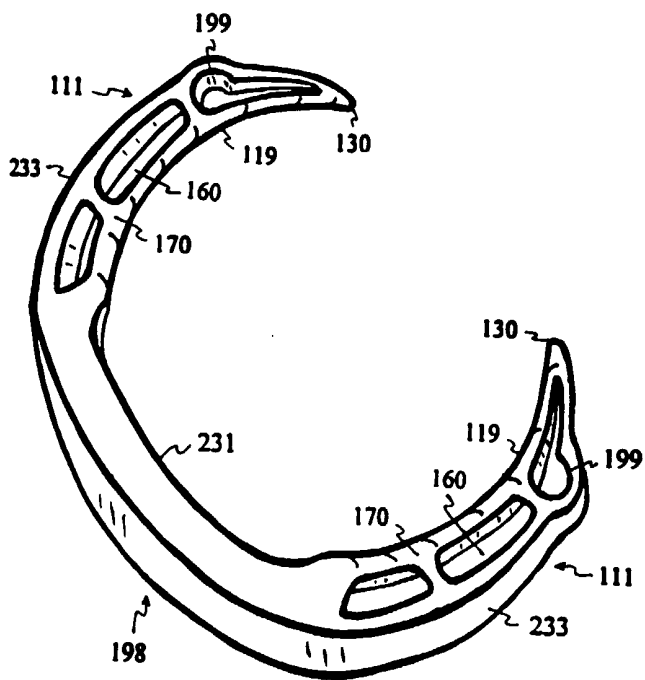
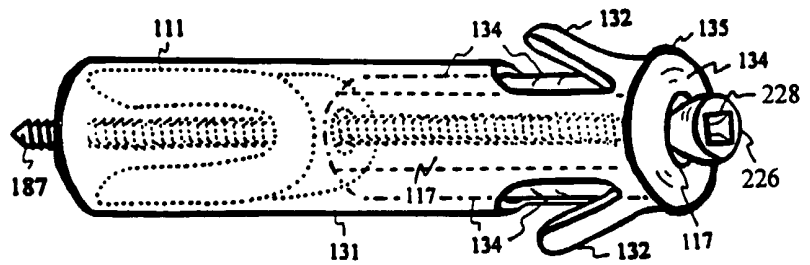


Figure 91

Figure 92

