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(54) Title: CELL

(57) Abstract: The present invention provides a cell which comprises a first chimeric antigen receptor (CAR) and a second CAR, wherein the first and second CARs bind different epitopes on the same ligand. The cell may be used in a method for treating a disease, such as cancer.

## CELL

## FIELD OF THE INVENTION

5

The present invention relates to a chimeric-antigen receptor (CAR) expressing cell which is capable of recognising a ligand, for example a soluble ligand.

## BACKGROUND TO THE INVENTION

10

*Chimeric antigen receptors (CARs)*

A number of immunotherapeutic agents have been described for use in cancer treatment, including therapeutic monoclonal antibodies (mAbs), bi-specific T-cell 15 engagers and chimeric antigen receptors (CARs).

Chimeric antigen receptors are proteins which graft the specificity of a monoclonal antibody (mAb) to the effector function of a T-cell. Their usual form is that of a type I transmembrane domain protein with an antigen recognizing amino terminus, a 20 spacer, a transmembrane domain all connected to a compound endodomain which transmits T-cell survival and activation signals.

The most common form of these molecules are fusions of single-chain variable fragments (scFv) derived from monoclonal antibodies which recognize a target 25 antigen, fused via a spacer and a trans-membrane domain to a signalling endodomain. Such molecules result in activation of the T-cell in response to recognition by the scFv of its target. When T cells express such a CAR, they recognize and kill target cells that express the target antigen. Several CARs have 30 been developed against tumour associated antigens, and adoptive transfer approaches using such CAR-expressing T cells are currently in clinical trial for the treatment of various cancers.

Various CARs have been tested *in vitro* and *in vivo* trials, as summarised in Table 1 below.

35

Table 1

Target antigen	Associated malignancy
$\alpha$ -Folate receptor	Ovarian cancer
CAIX	Renal cell carcinoma
CD19	B-cell malignancies
CD20	Lymphomas and B-cell malignancies
CD22	B-cell malignancies
CD30	Lymphomas
CD33	AML
CD44v7/8	Cervical carcinoma
CEA	Breast and colorectal cancer
EGP-2	Multiple malignancies
EGP-40	Colorectal cancer
erb-B2	Colorectal, breast and prostate cancer
erb-B 2,3,4	Breast and others
FBP	Ovarian cancer
Fetal acetylcholine receptor	Rhabdomyosarcoma
GD2	Neuroblastoma
GD3	Melanoma
Her2/neu	Medulloblastoma, osteosarcoma, Glioblastoma, lung malignancy
IL-13R-a2	Glioma, glioblastoma, medullablastoma
KDR	Tumor neovasculature
k-light chain	B-cell malignancies
LeY	Carcinomas, epithelial-derived tumours
L1 cell adhesion molecule	Neuroblastoma
MAGE-A1	Melanoma
Mesothelin	Various tumors
Murine CMV infected cells	Murine CMV
MUC1	Breast, Ovary
NKG2D ligands	Various tumors

Oncofetal antigen (h5T4)	Various tumors
PSCA	Prostate carcinoma
PSMA	Prostate/tumor vasculature
TAA targeted by mAb IgE	Various tumors
TAG-72	Adenocarcinomas
VEGF-R2	Tumor neovasculature

*T-cell activation via segregation*

5 T cell activation occurs because of size-based exclusion of inhibitory molecules from the synapse site, by a process known as kinetic segregation. T-cell receptor (TCR) and CARs cause T-cell signalling by stimulating tyrosine phosphorylation. In the resting T-cell, the molecules involved in this process are repeatedly colliding by means of diffusion. The TCR/CD3 complex is constantly being phosphorylated by Lck (membrane associated tyrosine kinase) and in turn continuously dephosphorylated by CD45 (tyrosine phosphatase). The continuous phosphorylation/dephosphorylation happens in a random manner and as a result the overall phosphorylation of TCR is low such that T-cell activation does not proceed.

10

15 The TCR/peptide-MHC complex or CAR-target cell ligand complex spans a short length. This forms small zones of close contact, from which the inhibitory CD45 and CD148 phosphatase molecules with ectodomains too large to fit in are excluded.

20

CD45 steric exclusion extends the phosphorylation half-lives of TCR/peptide-MHC complexes or CAR-target cell ligand complexes, which are trapped within the close-contact zone. Such prolonged phosphorylation of ITAMs by Lck kinase allows time for ZAP-70 recruitment, its activation by phosphorylation and subsequent phosphorylation of adaptor proteins LAT and SLP-76, leading to T-cell activation.

25 Central to the segregation mechanism of CAR-T-cell activation is the fact that the CAR binds an antigen on the surface of a target cell, creating the close-contact zone from which inhibitory phosphatases are excluded.

30 To date, CAR T-cells have therefore only been developed which recognise membrane-bound antigens on the target (e.g. tumour cell).

*Soluble tumour antigens*

5 Cancer development has been defined as a multistep process in which somatic cells first undergo an initiating event (i.e., environmental insult) and then a second or promoting event. The tumour microenvironment is an indispensable participant in the second part of this neoplastic process.

10 The tumour microenvironment is the product of a developing crosstalk between different cell types. For instance, in epithelial tumours, these cells include the invasive carcinoma and its stromal elements. Critical stromal elements include cancer-associated fibroblasts, which provide an essential communication network via secretion of growth factors and chemokines, inducing an altered ECM thus providing additional oncogenic signals enhancing cancer-cell proliferation and invasion. Active 15 contribution of tumor-associated stromal cells to cancer progression has been recognized. Stromal elements consists of the extracellular matrix (ECM) as well as fibroblasts of various phenotypes, and a scaffold composed of immune and inflammatory cells, blood and lymph vessels, and nerves.

20 For tumours to progress and develop into life threatening entities, they must develop four critical abilities. First, the ability to move, second the capacity to degrade tissue matrix (ECM), third the aptitude to survive in blood and finally the physical quality of being able to establish itself in a new tissue environment. The microenvironment is of critical importance for success in this processes.

25 The microenvironment of cancer cells provide the necessary signals that turn on transcription factors. Thus, it is the stromal or non-malignant cells that induce the requisite transcription programs allowing the necessary mesenchymal phenotypes to invade distant tissues and establish a new environment. The cancer cells must then 30 shut down the transcription factor programs and reconvert from mesenchymal to epithelial cells, thus recreating themselves from the core of primary tumour cells.

35 Tumour cells directly secrete a variety of proteins that include growth factors and ECM-degrading proteinases or induce the host to elaborate biomolecules that are able to degrade the matrix and its component adhesion molecules. The matrix degradation takes place in a region close to the tumour cell surface, where the amount of the active degradative enzymes outbalances the natural proteinase

inhibitors present in the matrix or that secreted by normal cells. Proteins secreted by tumour cell into the ECM microenvironment are therefore involved in cell adhesion, motility, intercellular communication and invasion.

- 5 A cancer may therefore be characterised by the presence of numerous soluble ligands in the tumour microenvironment, including proteins secreted by the tumour cells or surrounding non-cancerous (e.g. stromal cells) and molecule produced as a result of cancer activities such as matrix degradation.
- 10 Agents, such as monoclonal antibodies targeting such soluble ligands are currently in clinical development, but to date no cellular immunotherapy approaches have been able to access this valuable antigen source.

*On-target off-tumour toxicity*

- 15 It is relatively rare for the presence of a single antigen effectively to describe a cancer, which can lead to a lack of specificity.

- 20 Most cancers cannot be differentiated from normal tissues on the basis of a single antigen. Hence, considerable “on-target off-tumour” toxicity occurs whereby normal tissues are damaged by the therapy. For instance, whilst targeting CD20 to treat B-cell lymphomas with Rituximab, the entire normal B-cell compartment is depleted, whilst targeting CD52 to treat chronic lymphocytic leukaemia, the entire lymphoid compartment is depleted, whilst targeting CD33 to treat acute myeloid leukaemia, the
- 25 entire myeloid compartment is damaged etc.

- 30 The predicted problem of “on-target off-tumour” toxicity has been borne out by clinical trials. For example, an approach targeting ERBB2 caused death to a patient with colon cancer metastatic to the lungs and liver. ERBB2 is over-expressed in colon cancer in some patients, but it is also expressed on several normal tissues, including heart and normal vasculature.

- 35 For some cancers, targeting the presence of two cancer antigens may be more selective and therefore effective than targeting one. For example, B-chronic lymphocytic leukaemia (B-CLL) is a common leukaemia which is currently treated by targeting CD19. This treats the lymphoma but also depletes the entire B-cell compartment such that the treatment has a considerable toxic effect. B-CLL has an

unusual phenotype in that CD5 and CD19 are co-expressed. By targeting only cells which express CD5 and CD19, it would be possible to considerably reduce on-target off-tumour toxicity.

5 If it were possible to target soluble ligands using immunotherapy approaches, then it would be possible to target a cell based on the presence of a membrane antigen in combination with the presence of a soluble ligand, such as a chemokine, cytokine or metabolite which is characteristic of tumour or non-tumour tissue. This would also be expected to considerably reduce on-target off-tumour toxicity.

10

## DESCRIPTION OF THE FIGURES

**Figure 1:** A schematic diagram illustrating that a soluble ligand causes no aggregation with a single CAR T-cell.

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**Figure 2:** A schematic diagram illustrating the predicted aggregation with soluble ligand with a dual CAR platform where both CARs recognise the same ligand.

20

**Figure 3:** A schematic diagram illustrating the predicted aggregation with soluble ligand with a dual CAR platform where both CARs recognise the same ligand and where one CAR is multivalent.

25

**Figure 4:** A schematic diagram illustrating the model used to show proof-of-concept. The ligand used was ROR-1 and the first and second receptors were R12 and R11 respectively.

**Figure 5:** A schematic diagram illustrating the predicted aggregation with ROR-1 using the model system tested in the Examples.

30

**Figure 6:** A schematic diagram illustrating the predicted aggregation with ROR-1 using the model system tested in the Examples where one CAR is multivalent.

35

**Figure 7:** A graph showing IL-2 secretion in the presence of soluble ligand (ROR-1). BW5 T-cells were transduced with either a single CAR (R11 or R12 with either an Fc or CD8STK or COMP spacer) or with two CARs. These T-cells were co-cultured with soluble ROR-1 ligand and IL-2 was detected after 24h.

**Figure 8:** A graph showing IL-2 secretion in the presence of an immobilized ligand. BW5 T-cells were transduced with either a single CAR (R11 or R12 with either an Fc or CD8STK or COMP spacer) or with two CARs. These T-cells were co-cultured with anti-His beads that were pre-coated with soluble His tagged ROR-1 ligand and IL-2 was detected after 24h.

**Figure 9:** A schematic diagram showing an example of a “split” CAR system. Binding of a cell surface antigen, such as PSMA, by the third CAR provides T-cell activatory signal 1 via the TCR zeta endodomain. Binding of a soluble ligand, such as PSA, by the first and second CARs provides T cell activatory signals 2 and 3 via CD28 and OX40 endodomains. The presence of both the cell surface antigen (eg PSMA) and the soluble ligand (e.g. PSA) provides all three signals and leads to T-cell activation.

**Figure 10:** A schematic diagram showing a CAR system, where the first and/or second CARs inhibit(s) signalling from the third CAR. Binding of a cell surface antigen (“Ligand A” e.g. PSMA) by the third CAR leads to T cell signalling. However, binding of a soluble ligand (e.g. IL6) by the first and second CARs leads to aggregation-induced phosphorylation and inhibition of signalling.

**Figure 11:** A schematic diagram showing a “TanCAR” system in which the first CAR comprises two antigen binding domains, one (“Binder A”) which binds a cell surface antigen (“Ligand A”, e.g. PSMA) and one (“Binder B1”) which binds a soluble ligand (“Ligand B”, e.g. IL-6). The second CAR binds the soluble ligand (“Ligand B”, e.g. IL-6) through its antigen-binding domain (“Binder B2”). The second CAR has an endodomain which inhibits T cell signalling. Binding of a cell surface antigen (“Ligand A” e.g. PSMA) by the first CAR leads to T cell signalling. However, binding of a soluble ligand (e.g. IL6) by the first and second CARs causes the inhibitory endodomain on the second CAR to colocalise with the activating endodomain on the first CAR, leading to inhibition of signalling.

**Figure 12:** A schematic diagram showing an “AND gate” system, in which the first and/or second CAR comprises an inhibitory endodomain with fast kinetics (eg CD148 endodomain). In the absence of the soluble ligand, the inhibitory endodomain constitutively inhibits the activating endodomain on the third CAR. In the presence of soluble ligand, the first and second CARs aggregate and segregate from the third CAR, allowing T-cell signalling to occur.

**Figure 13:** A schematic diagram showing an alternative “AND gate” system, in which the first CAR comprises two antigen binding domains, one (“Binder A”) which binds a cell surface antigen (“Ligand A”, e.g. PSMA) and one (“Binder B1”) which binds a soluble ligand (“Ligand B”, e.g. PSA). The second CAR binds the soluble ligand (“Ligand B”, e.g. PSA) through its antigen-binding domain (“Binder B2”). The first CAR does not comprise a functional T-cell activating endodomain, whereas the second CAR does comprise a functional T-cell activating endodomain. In the absence of the soluble ligand, binding of the cell surface antigen does not lead to cell signalling due to the absence of a TCR zeta chain. In the presence of the soluble ligand, the first and second CARs co-localise and binding of the cell surface antigen by the first CAR does lead to cell signalling. In order to prevent signalling in the absence of the cell-surface ligand, the second CAR may be monomeric, which may be achieved using a monomeric spacer such as one based on CD22.

**Figure 14:** An aggregation-based AND gate only signals in the presence of membrane-bound antigen (CD19) and soluble ligand (ROR1).

**Figure 15:** A graph to show IL-2 secretion in the presence of the soluble ligand PSA. BW5 T-cells were transduced with a vector expressing two CARs, which bind different epitopes of PSA. One CAR had an antigen binding domain based on 5A5A5 and one CAR having an antigen binding domain based on 5D3D11 (aPSA-A5-CD8STK-CD28TM-z\_aPSA-D11-HL-Hinge-CD28tmZ or aPSA-D11-CD8STK-CD28TM-z\_aPSA-A5-HL-Hinge-CD28tmZ). These T-cells were co-cultured with soluble PSA ligand and IL-2 was detected after 24h.

## SUMMARY OF ASPECTS OF THE INVENTION

The present inventors have found that it is possible to target a soluble ligand using a CAR approach by using a cell which comprises two CARs each recognising an epitope on the same soluble ligand.

Because the CARs recognise distinct epitopes on the same ligand, the presence of ligand causes aggregation of ligand-associated CARs on the surface of the cell. The present invention therefore, in effect, turns T-cell signalling from a segregation- to an aggregation-based process.

Thus, in a first aspect, the present invention provides a cell which comprises a first chimeric antigen receptor (CAR) and a second CAR, wherein the first and second CARs bind different epitopes on the same ligand.

- 5 The ligand may be a soluble ligand, such as a cytokine, chemokine or metabolite. The soluble ligand may, for example be selected from the following group: transforming growth factor beta (TGF- $\beta$ ), prostate-specific antigen (PSA), carcinoembryonic antigen (CEA) and vascular endothelial growth factor (VEGF).
- 10 Each CAR may comprise:
  - (i) an antigen-binding domain;
  - (ii) a spacer; and
  - (iii) a trans-membrane domain.
- 15 The spacers of the first and second CARs may be different.

The spacers of the first and/or second CAR(s) may be trimeric or multi-valent.

The cell may comprise a third CAR which binds a cell surface antigen.

- 20 The first aspect of the invention also provides five separate embodiments, each of which relate to particular arrangements of signalling in the present (or absence) of a cell-surface antigen and a ligand such as a soluble ligand.
- 25 In the first embodiment, the first and/or second and third CARs each comprise:
  - (i) an antigen-binding domain;
  - (ii) a trans-membrane domain; and
  - (iii) an endodomainand the endodomains of the third CAR and the first and/or second CAR(s) are complementary, such that cell activation occurs when the ligand is bound by the first and second CARs and the cell surface antigen is bound by the third CAR.

In this embodiment, the third CAR may comprise a CD3 zeta endodomain, and the first and/or second CAR(s) may comprise a CD28 endodomain and a OX40 or 41BB endodomain.

In this embodiment, the third CAR may bind prostate-specific membrane antigen (PSMA) and the first and second CARs may bind prostate-specific antigen (PSA).

5 In the second embodiment, the first and/or second CAR(s) comprise(s) an inhibitory endodomain, such that when the first and second CARs bind the ligand, cell activation caused by the third CAR binding the cell surface antigen is inhibited.

In the third embodiment the first CAR comprises two antigen binding domains: one which binds the soluble ligand; and one which binds a cell-surface antigen.

10 In this embodiment the second CAR comprises an inhibitory endodomain, such that when the first and second CARs bind the soluble ligand, cell activation caused by the first CAR binding the cell surface antigen is inhibited.

15 The inhibitory endodomain for the second or third embodiment may be or comprise the catalytic domain of PTPN6 or an Immunoreceptor Tyrosine-based Inhibition motif (ITIM).

For the second or third embodiment, the first and second CARs may bind IL-6.

20 In a fourth embodiment the first and/or second CAR(s) comprise(s) an inhibitory endodomain, such that:

in the absence of the ligand, cell activation caused by the third CAR binding the cell surface antigen is inhibited; and

25 in the presence of ligand, the first and second CARs aggregate and segregate from the third CAR, so that signaling can occur when the third CAR binds the cell surface antigen.

30 In the fourth embodiment the inhibitory endodomain may be or comprise the endodomain of CD45 or CD148.

35 In the fifth embodiment the first CAR lacks a functional endodomain and the second CAR is monomeric and comprises a functional endodomain, such that binding of the soluble ligand causes co-localisation of the first and second CARs and enables T-cell signalling to occur when the first CAR binds the cell surface antigen.

The second CAR may comprise a monomeric spacer, which may, for example, comprise one or more Ig domains from CD22.

In the fifth embodiment the functional endodomain on the second CAR may comprise  
5 the CD3-zeta endodomain.

In a second aspect, the present invention provides a nucleic acid construct which comprises a first nucleic acid sequence encoding a first CAR as defined above; and a second nucleic acid sequence encoding a second CAR as defined above.

10

The nucleic acid construct may have the following structure:

AgB1-spacer1-TM1-endo1-coexpr-AbB2-spacer2-TM2-endo2

15 in which

AgB1 is a nucleic acid sequence encoding the antigen-binding domain of the first CAR;

spacer 1 is a nucleic acid sequence encoding the spacer of the first CAR;

TM1 is a nucleic acid sequence encoding the transmembrane domain of the first

20 CAR;

endo 1 is a nucleic acid sequence encoding the endodomain of the first CAR;

coexpr is a nucleic acid sequence enabling co-expression of both CARs

AgB2 is a nucleic acid sequence encoding the antigen-binding domain of the second CAR;

25 spacer 2 is a nucleic acid sequence encoding the spacer of the second CAR;

TM2 is a nucleic acid sequence encoding the transmembrane domain of the second CAR;

endo 2 is a nucleic acid sequence encoding the endodomain of the second CAR;

which nucleic acid construct, when expressed in a T cell, encodes a polypeptide

30 which is cleaved at the cleavage site such that the first and second CARs are co-expressed at the T cell surface.

“coexpr” may encode a sequence comprising a self-cleaving peptide.

35 Alternative codons may be used in regions of sequence encoding the same or similar amino acid sequences, in order to avoid homologous recombination.

The nucleic acid construct may also comprise a nucleic acid sequence encoding a third CAR as defined above.

5 In a third aspect the present invention provides a vector comprising a nucleic acid construct according to the second aspect of the invention.

The vector may, for example, be a retroviral vector or a lentiviral vector or a transposon.

10 In a fourth aspect the present invention provides a kit which comprises:

- i) a vector comprising a nucleic acid sequence encoding a first CAR as defined above; and
- ii) a vector comprising a nucleic acid sequence encoding a second CAR as defined above.

15

The kit may also comprise a vector comprising a nucleic acid sequence encoding a third CAR as defined above.

20 In a fifth aspect, the present invention provides a method for making a cell according to the first aspect of the invention, which comprises the step of introducing: a nucleic acid construct according to the second aspect of the invention; a vector according to the third aspect of the invention; or a kit of vectors according to the fourth aspect of the invention, into a cell.

25 In the method of the fifth aspect of the invention, the cell may be from a sample isolated from a subject.

In a sixth aspect, the present invention provides a pharmaceutical composition comprising a plurality of cells according to the first aspect of the invention.

30

In a seventh aspect, the present invention provides a method for treating and/or preventing a disease, which comprises the step of administering a pharmaceutical composition according to the sixth aspect of the invention to a subject.

35 The method may comprise the following steps:

- (i) isolation of a cell-containing sample from a subject;

(ii) transduction or transfection of the cells with: a nucleic acid construct according to the second aspect of the invention; a vector according to the third aspect of the invention; or a kit of vectors according to the fourth aspect of the invention; and  
(iii) administering the cells from (ii) to a the subject.

5

The sample may be a T-cell containing sample.

The disease may be a cancer.

10 In an eighth aspect, the present invention provides a pharmaceutical composition according to the sixth aspect of the invention for use in treating and/or preventing a disease.

15 In a ninth aspect, the present invention provides the use of a cell according to the first aspect of the invention in the manufacture of a medicament for treating and/or preventing a disease.

The disease may be a cancer.

20 DETAILED DESCRIPTION

#### CHIMERIC ANTIGEN RECEPTORS (CARs)

25 Classical CARs are chimeric type I trans-membrane proteins which connect an extracellular antigen-recognizing domain (binder) to an intracellular signalling domain (endodomain). The binder is typically a single-chain variable fragment (scFv) derived from a monoclonal antibody (mAb), but it can be based on other formats which comprise an antibody-like or ligand-based antigen binding site. A trans-membrane domain anchors the protein in the cell membrane and connects the spacer to the  
30 endodomain.

35 Early CAR designs had endodomains derived from the intracellular parts of either the  $\gamma$  chain of the Fc $\epsilon$ R1 or CD3 $\zeta$ . Consequently, these first generation receptors transmitted immunological signal 1, which was sufficient to trigger T-cell killing of cognate target cells but failed to fully activate the T-cell to proliferate and survive. To overcome this limitation, compound endodomains have been constructed: fusion of the intracellular part of a T-cell co-stimulatory molecule to that of CD3 $\zeta$  results in

second generation receptors which can transmit an activating and co-stimulatory signal simultaneously after antigen recognition. The co-stimulatory domain most commonly used is that of CD28. This supplies the most potent co-stimulatory signal - namely immunological signal 2, which triggers T-cell proliferation. Some receptors 5 have also been described which include TNF receptor family endodomains, such as the closely related OX40 and 41BB which transmit survival signals. Even more potent third generation CARs have now been described which have endodomains capable of transmitting activation, proliferation and survival signals.

10 CAR-encoding nucleic acids may be transferred to T cells using, for example, retroviral vectors. In this way, a large number of antigen-specific T cells can be generated for adoptive cell transfer. When the CAR binds the target-antigen, this results in the transmission of an activating signal to the T-cell it is expressed on. Thus the CAR directs the specificity and cytotoxicity of the T cell towards cells 15 expressing the targeted antigen.

The cell of the present invention comprises a first chimeric antigen receptor (CAR) and a second CAR. The cell may also comprise third and optionally subsequent CARs.

20 The CARs may comprise an antigen-binding domain, a spacer domain, a transmembrane domain and an endodomain. The endodomain may comprise or associate with a domain which transmit T-cell activation signals.

## 25 ANTIGEN BINDING DOMAIN

The antigen-binding domain is the portion of a CAR which recognizes antigen.

Numerous antigen-binding domains are known in the art, including those based on 30 the antigen binding site of an antibody, antibody mimetics, and T-cell receptors. For example, the antigen-binding domain may comprise: a single-chain variable fragment (scFv) derived from a monoclonal antibody; a natural ligand of the target antigen; a peptide with sufficient affinity for the target; a single domain binder such as a camelid; an artificial binder single as a Darpin; or a single-chain derived from a T-cell receptor.

35 The antigen-binding domain may bind an epitope on a soluble or a membrane-bound ligand, as defined below.

The term “ligand” is used synonymously with “antigen” to mean an entity which is specifically recognised and bound by the antigen-binding domain of a CAR.

5 SOLUBLE LIGAND

The term “soluble ligand” is used to indicate a ligand or antigen which is not part of or attached to a cell but which moves freely in the extracellular space, for example in a bodily fluid of the tissue of interest. The soluble ligand may exist in a cell-free state in  
10 the serum, plasma or other bodily fluid of an individual.

The soluble ligand may, for example, be a cytokine, chemokine or metabolite.

Cytokines are small proteins (~5–20 kDa) that are important in cell signalling. They  
15 are released by cells and affect the behaviour of other cells. Cytokines include chemokines, interferons, interleukins, lymphokines and tumour necrosis factor. Cytokines are produced by a broad range of cells, including immune cells like macrophages, B lymphocytes, T lymphocytes and mast cells, as well as endothelial cells, fibroblasts, and various stromal cells.

20 Cytokines act through receptors, and are important in health and disease, specifically in host responses to infection, immune responses, inflammation, trauma, sepsis, cancer, and reproduction. Chemokines mediate chemoattraction (chemotaxis) between cells.

25 Cytokines are thought to play key roles both in the immune response to cancer and the pathology of cancer. Cytokines directly stimulate immune effector cells and stromal cells at the tumour site and enhance tumour cell recognition by cytotoxic effector cells. Numerous animal tumour model studies have demonstrated that  
30 cytokines have broad anti-tumor activity and this has been translated into a number of cytokine-based approaches for cancer therapy. Recent years have seen a number of cytokines, including GM-CSF, IL-7, IL-12, IL-15, IL-18 and IL-21, enter clinical trials for patients with advanced cancer.

35 There is ongoing pre-clinical work supporting the neutralization of suppressive cytokines, such as IL-10 and TGF- $\beta$  in promoting anti-tumour immunity. An advantage of targeting an immune-suppressive cytokine with a CAR cell of the

present invention is that the CAR is effective in two ways: firstly by being activated by a cytokine which is characteristic of the disease and secondly by sequestering at least a proteion of the circulating cytokine, thereby lessening its immune-suppressive effect.

5

The soluble ligand may be associated with the presence or pathology of a particular disease, such as cancer.

10 The soluble ligand may be part of the cancer secretome, i.e. the collection of factors secreted by a tumour, be it from cancer stem cells, non-stem cells or the surrounding stroma. The soluble ligand may be secreted by tumour cells. The soluble ligand may, for example, be selected from the following group: TGF $\beta$ , PSA, CEA and VEGF.

15 The soluble ligand may be characteristic of a disease or of diseased tissue. It may be found exclusively, or at a higher level in a subject having the disease vs a healthy subject; or in diseased tissue vs healthy tissue. The soluble ligand may be expressed at at least a 2-fold, 5-fold, 10-fold, 100-fold, 1000-fold, 10,000-fold or 100,000 fold higher level a subject having the disease vs a healthy subject; or in diseased tissue vs healthy tissue.

20

#### TGF BETA

The soluble ligand may be TGF $\beta$ .

25 Transforming growth factor beta (TGF- $\beta$ ) is a secreted protein that controls proliferation, cellular differentiation, and other functions in cells. It is a cytokine which plays a role in immunity and various diseases including cancer, bronchial asthma, lung fibrosis, heart disease, diabetes, Multiple Sclerosis and AIDS.

30 TGF- $\beta$  is secreted by many cell types, including macrophages, in a latent form in which it is complexed with two other polypeptides, latent TGF-beta binding protein (LTBP) and latency-associated peptide (LAP). Serum proteinases such as plasmin catalyze the release of active TGF- $\beta$  from the complex. This often occurs on the surface of macrophages where the latent TGF- $\beta$  complex is bound to CD36 via its ligand, thrombospondin-1 (TSP-1). Inflammatory stimuli that activate macrophages enhance the release of active TGF- $\beta$  by promoting the activation of plasmin.

35

Macrophages can also endocytose IgG-bound latent TGF- $\beta$  complexes that are secreted by plasma cells and then release active TGF- $\beta$  into the extracellular fluid.

TGF- $\beta$  exists in at least three isoforms: TGF- $\beta$ 1, TGF- $\beta$ 2 and TGF- $\beta$ 3. Information on 5 the sequence and characteristics of TGF- $\beta$ 1, TGF- $\beta$ 2 and TGF- $\beta$ 3 are available from omim.org, entries 190180, 190220 and 190230 respectively.

TGF- $\beta$  acts as an antiproliferative factor in normal epithelial cells and at early stages 10 of oncogenesis. Cancerous cells increase their production of TGF- $\beta$ , which also acts on surrounding cells.

In normal cells, TGF- $\beta$ , acting through its signaling pathway, stops the cell cycle at 15 the G1 stage to stop proliferation, induce differentiation, or promote apoptosis. When a cell is transformed into a cancer cell, parts of the TGF- $\beta$  signaling pathway are mutated, and TGF- $\beta$  no longer controls the cell. These cancer cells proliferate. The surrounding stromal cells (fibroblasts) also proliferate. Both cells increase their production of TGF- $\beta$ . This TGF- $\beta$  acts on the surrounding stromal cells, immune cells, 20 endothelial and smooth-muscle cells. It causes immunosuppression and angiogenesis, which makes the cancer more invasive. TGF- $\beta$  also converts effector T-cells, which normally attack cancer with an inflammatory (immune) reaction, into regulatory (suppressor) T-cells, which turn off the inflammatory reaction.

TGF- $\beta$  is therefore an attractive target for the soluble ligand-recognising CAR of the 25 present invention because a) upregulated expression of TGF- $\beta$  is characteristic of a number of cancers; and b) sequestering free TGF- $\beta$  by a CAR-expressing cell may reduce the amount of TGF- $\beta$  in circulation and its associated immunosuppressive, angiogenic and anti-inflammatory effects.

The first or second CAR of the cell of the invention may comprise a binding domain 30 based on fresolimumab.

Fresolimumab (GC1008) is a human monoclonal antibody which binds to and inhibits all isoforms of the TGF- $\beta$ . Fresolimumab has been used in the treatment of idiopathic pulmonary fibrosis (IPF), focal segmental glomerulosclerosis, and cancer e.g. kidney 35 cancer and melanoma.

The antigen-binding domain of first or second CAR may, for example, comprise the 6 CDRs or the VH and/or VL domain(s) from Fresolimumab.

#### PROSTATE-SPECIFIC ANTIGEN (PSA)

5

The soluble ligand may be prostate-specific antigen (PSA).

10 Prostate-specific antigen (PSA), also known as gamma-seminoprotein or kallikrein-3 (KLK3), is a glycoprotein enzyme encoded in humans by the KLK3 gene. PSA is a member of the kallikrein-related peptidase family and is secreted by the epithelial cells of the prostate gland.

PSA is present in small quantities in the serum of men with healthy prostates, but is elevated in individuals with prostate cancer and other prostate disorders.

15

PSA is a 237-residue glycoprotein and is activated by KLK2. Its physiological role is the liquefaction of the coagulum components of the semen leading to liberation of spermatozoa. In cancer, PSA may participate in the processes of neoplastic growth and metastasis.

20

PSA is a chymotrypsin-like serine protease with a typical His-Asp-Ser triad and a catalytic domain similar to those of other kallikrein-related peptidases. The crystal structure of PSA has been obtained i) in complex with the monoclonal antibody (mAb) 8G8F5 and ii) in a sandwich complex with two mAbs 5D5A5 and 5D3D11 (Stura et al 25 (J. Mol. Biol. (2011) 414:530-544).

Various monoclonal antibodies are known, including clones 2G2-B2, 2D8-E8, IgG1/K described in Bavat et al Avicenna J. Med. Biotechnol. 2015, 7:2-7; and ....Leinonen (2004) 289:157-67

30

The antigen-binding domain of first or second CAR may, for example, comprise the 6 CDRs or the VH and/or VL domain(s) from a PSA-binding mAb such as 8G8F5, 5D5A5 or 5D3D11

35 The amino acid sequences for 5D3D11 and 5D5A5 VH and VL are given below. The complementarity determining regions (CDRs) are highlighted in bold.

5D3D11 VH (SEQ ID No. 1)

QVQLQQSGPELVKPGASVKISCKVSGYAISSSSWMNWVKQRPGQGLEWIGRIYPGD  
GDTKYNGKFKDKATLTVDKSSSTAYMQLSSLTSVDSAVYFCARDGYRYYFDYWGQ  
GTSVTVSS

5

5D3D11 VL (SEQ ID No. 2)

DIVMTQTAPSVFVTPGESVSISCRSSKSLLHSNGNTYLYWFLQRPGQSPQLLIYRMS  
NLASGVPDRFSGSGSGTDFTLRISRVEAEDVGVYYCMQHLEYPVTFGAGTKVEIK

10

5D5A5 VH (SEQ ID No. 3)

QVQLQQSGAELAKPGASVKMSCKTSGYSFSSYWMHWVKQRPGQGLEWIGYINPS  
TGYTENNQKFKDKVTLTADKSSNTAYMQLNSLTSEDSAVYYCARSGRLYFDVWGA  
GTTVTVSS

15

5D5A5 VL (SEQ ID No. 4)

DIVLTQSPPSLAVSLGQRATISCRASESIDLYGFTFMHWYQQKPGQPPKILYRASNL  
ESGIPARFSGSGSRTDFLTINPVEADDVATYYCQQTHEDPYFGGGTKLEIK

20 The antigen-binding domain of the first CAR may comprise the 6 CDRs from 5D5A5 and the antigen-binding domain of the second CAR may comprise the 6 CDRs from 5D3D11.

25 The antigen-binding domain of the first CAR may comprise the VH and/or VL domain(s) from 5D5A5 or a variant thereof; and the antigen-binding domain of the second CAR may comprise the VH and/or VL domain(s) from 5D3D11 or a variant thereof. Variant VH and VL domains may have at least 80, 90, 95 or 99% identity to the sequences given above, provided that they retain PSA-binding activity.

30 CARCINOEMBRYONIC ANTIGEN (CEA)

The soluble ligand may be CEA.

35 Carcinoembryonic antigen (CEA) describes a set of highly related glycoproteins involved in cell adhesion. CEA is normally produced in gastrointestinal tissue during fetal development, but the production stops before birth. Therefore CEA is usually present only at very low levels in the blood of healthy adults. However, the serum

levels are raised in some types of cancer, which means that it can be used as a tumor marker in clinical tests.

CEA are glycosyl phosphatidyl inositol (GPI) cell surface anchored glycoproteins whose specialized sialofucosylated glycoforms serve as functional colon carcinoma L-selectin and E-selectin ligands, which may be critical to the metastatic dissemination of colon carcinoma cells. Immunologically they are characterized as members of the CD66 cluster of differentiation.

CEA and related genes make up the CEA family belonging to the immunoglobulin superfamily. In humans, the carcinoembryonic antigen family consists of 29 genes,

18 of which are normally expressed. The following is a list of human genes which encode carcinoembryonic antigen-related cell adhesion proteins: CEACAM1, CEACAM3, CEACAM4, CEACAM5, CEACAM6, CEACAM7, CEACAM8, CEACAM16, CEACAM18, CEACAM19, CEACAM20, CEACAM21

15 Various antibodies which target CEA are described in WO 2011/034660.

#### VASCULAR ENDOTHELIAL GROWTH FACTOR (VEGF)

The soluble ligand may be VEGF.

20 Vascular endothelial growth factor (VEGF) is a signal protein produced by cells that stimulates vasculogenesis and angiogenesis. It is part of the system that restores the oxygen supply to tissues when blood circulation is inadequate. Serum concentration of VEGF is high in bronchial asthma and diabetes mellitus. VEGF's normal function is to create new blood vessels during embryonic development, new blood vessels after injury, muscle following exercise, and new vessels (collateral circulation) to bypass blocked vessels.

When VEGF is overexpressed, it can contribute to disease. Solid cancers cannot grow beyond a limited size without an adequate blood supply; cancers that can express VEGF are able to grow and metastasize.

30 VEGF is a sub-family of the platelet-derived growth factor family of cystine-knot growth factors. They are important signaling proteins involved in both vasculogenesis (the de novo formation of the embryonic circulatory system) and angiogenesis (the growth of blood vessels from pre-existing vasculature).

The VEGF family comprises in mammals five members: VEGF-A, placenta growth factor (PGF), VEGF-B, VEGF-C and VEGF-D.

Various antibodies to VEGF are known, such as bevacizumab (Avastin) and  
5 Ranibizumab (Lucentis).

## MEMBRANE BOUND LIGANDS

The term "membrane-bound ligand" is used to indicate a ligand or antigen which is  
10 part of or attached to a cell. The ligand may be expressed at the surface of a target cell. The ligand may, for example be a transmembrane protein.

The antigen binding domain may bind a TAA which is expressed on a cell, for example a cancer cell, at a low density. A TAA expressed at low density may refer,  
15 for example, to a TAA expressed at a level of 10s to 1000s molecules per cell.

Examples of TAAs which are known to be expressed at low densities in certain cancers include, but are not limited to, ROR1 in CLL, Typr-1 in melanoma and BCMA in myeloma.

20 Antigen-binding domains (such as scFvs or mAbs) which bind these TAAs have previously been described, for example as shown in the following Table 1.

Table 1

25

Tumour-associated antigen	Antigen-binding domain	Reference
ROR-1	2A2, 2D11	S. Baskar et al., Landes Bioscience, vol. 4, (3) 349–361), R12, R11, Y31 (J. Yang et al., PLOSone, vol. 6, (6), e21018, 2011
Typr-1	TA99	P. Boross et al., Immunology Letters, vol. 160, (2), 151-157, 2014
BCMA	C12A3.2 and C11D5.3	R. Carpenter et al., Clin Cancer Res., vol. 19, (8)

		2048–2060, 2013), J6M0 (Y. Tai et al., Blood, vol 123, (20), 3128-3138, 2014
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## SPACER

5 The CARs of the present invention may comprise a spacer sequence to connect the antigen-binding domain with the transmembrane domain and spatially separate the antigen-binding domain from the endodomain. A flexible spacer allows to the antigen-binding domain to orient in different directions to enable antigen binding.

10 The spacer of the first CAR may be different from the spacer of the second CAR.

The spacer sequence may, for example, comprise an IgG1 Fc region, an IgG1 hinge or a CD8 stalk. The linker may alternatively comprise an alternative linker sequence which has similar length and/or domain spacing properties as an IgG1 Fc region, an IgG1 hinge or a CD8 stalk.

A human IgG1 spacer may be altered to remove Fc binding motifs.

Examples of amino acid sequences for these spacers are given below:

20 SEQ ID No. 5 (hinge-CH<sub>2</sub>CH<sub>3</sub> of human IgG1)  
AEPKSPDKTHCPCPAPPVAGPSVFLFPPKPKDTLMIARTPEVTCVVVDVSHEDPE  
VKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKVSNKA  
LPAPIEKTIISKAKGQPREPQVYTLPPSRDELTKNQVSLTCLVKGFYPSDIAVEWESN  
25 GQPENNYKTPPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQK  
SLSLSPGKKD

SEQ ID No. 6(human CD8 stalk):

TTTPAPRPPPTPAPTIASQPLSLRPEACRPAAGGAHVTRGLDFACDI

30 SEQ ID No. 7 (human IgG1 hinge):  
AEPKSPDKTHCPCPKDPK

## COILED COIL DOMAIN

The first and/or second CAR of cell of the present invention may comprise a coiled coil spacer domain.

5

A coiled coil is a structural motif in which two to seven alpha-helices are wrapped together like the strands of a rope (Figure 6). Many endogenous proteins incorporate coiled coil domains. The coiled coil domain may be involved in protein folding (e.g. it interacts with several alpha helical motifs within the same protein chain) or 10 responsible for protein-protein interaction. In the latter case, the coiled coil can initiate homo or hetero oligomer structures.

As used herein, the terms 'multimer' and 'multimerization' are synonymous and interchangeable with 'oligomer' and 'oligomerization'.

15

The structure of coiled coil domains is well known in the art. For example as described by Lupas & Gruber (Advances in Protein Chemistry; 2007; 70; 37-38).

20

Coiled coils usually contain a repeated pattern, hxxhcxc, of hydrophobic (h) and charged (c) amino-acid residues, referred to as a heptad repeat. The positions in the heptad repeat are usually labeled abcdefg, where a and d are the hydrophobic positions, often being occupied by isoleucine, leucine, or valine. Folding a sequence with this repeating pattern into an alpha-helical secondary structure causes the hydrophobic residues to be presented as a 'stripe' that coils gently around the helix in 25 left-handed fashion, forming an amphipathic structure. The most favourable way for two such helices to arrange themselves in the cytoplasm is to wrap the hydrophobic strands against each other sandwiched between the hydrophilic amino acids. Thus, it is the burial of hydrophobic surfaces that provides the thermodynamic driving force for the oligomerization. The packing in a coiled-coil interface is exceptionally tight, with 30 almost complete van der Waals contact between the side-chains of the a and d residues.

35

The  $\alpha$ -helices may be parallel or anti-parallel, and usually adopt a left-handed super-coil. Although disfavoured, a few right-handed coiled coils have also been observed in nature and in designed proteins

The coiled coil domain may be any coiled coil domain which is capable of forming a coiled coil multimer such that a complex of CARs comprising the coiled coil domain is formed.

5 The relationship between the sequence and the final folded structure of a coiled coil domain are well understood in the art (Mahrenholz *et al*; Molecular & Cellular Proteomics; 2011; 10(5):M110.004994). As such the coiled coil domain may be a synthetically generated coiled coil domain.

10 Examples of proteins which contain a coiled coil domain include, but are not limited to, kinesin motor protein, hepatitis D delta antigen, archaeal box C/D sRNP core protein, cartilage-oligomeric matrix protein (COMP), mannose-binding protein A, coiled-coil serine-rich protein 1, polypeptide release factor 2, SNAP-25, SNARE, Lac repressor or apolipoprotein E.

15

The sequence of various coiled coil domains is shown below:

Kinesin motor protein: parallel homodimer (SEQ ID No. 8)

MHAALSTEVVHLRQRTEELLRCNEQQAAELETCKEQLFQSNMERKELHNTVMDLR

20 GN

Hepatitis D delta antigen: parallel homodimer (SEQ ID No. 9)

GREDILEQWVSGRKKLEELERDLRKLKKIKKLEEDNPWLGNIKGIIGKY

25 Archaeal box C/D sRNP core protein: anti-parallel heterodimer (SEQ ID No. 10)

RYVVALVKALEEIDESINMLNEKLEDIRAVKESEITEKFEKKIRELRELRRDVEREIEEV  
M

Mannose-binding protein A: parallel homotrimer (SEQ ID No. 11)

30 AIEVKLANMEAEINTLKSKELTNKLHAFSM

Coiled-coil serine-rich protein 1: parallel homotrimer (SEQ ID No. 12)

EWEALEKKLALESKLQALEKKLEALEHG

35 Polypeptide release factor 2: anti-parallel heterotrimer

Chain A: INPVNNRIQDLTERSDVLRGYLDY (SEQ ID No. 13)

Chain B:

VVDTLDQMKQGLEDVSGLLELAVEADDEETFNEAVAELDALEEKLAQLEFR (SEQ ID No. 14)

5 SNAP-25 and SNARE: parallel heterotetramer

Chain A: IETRHSEIIKLENSIRELHDMFMDMAMLVESQGEMIDRIEYNVEHAVDYVE (SEQ ID No. 15)

Chain B:

ALSEIETRHSEIIKLENSIRELHDMFMDMAMLVESQGEMIDRIEYNVEHAVDYVERA

10 VSDTKKAVKY (SEQ ID No. 16)

Chain C:

ELEEMQRRAADQLADESLESTRMLQLVEESKDAGIRTLVMLDEQGEQLERIEE

GMDQINKDMKEAEKNL (SEQ ID No. 17)

15 Chain D: IETRHSEIIKLENSIRELHDMFMDMAMLVESQGEMIDRIEYNVEHAVDYVE (SEQ ID No. 18)

Lac repressor: parallel homotetramer

SPRALADSLMQLARQVSRL (SEQ ID No. 19)

20 Apolipoprotein E: anti-parallel heterotetramer

SGQRWELALGRFWDYLRRWQTLSEQVQEELLSSQVTQELRALMDETMKELKAYKS  
ELEEQLTARLSKELQAAQARLGADMEDVCGRLVQYRGEVQAMLGQSTEELRVRLA  
SHLRKLRKRLRDADDLQKRLAVYQA (SEQ ID No. 20)

25 A coiled coil domain is capable of oligomerization. The coiled coil domain may be capable of forming a dimer, a trimer, a tetramer, a pentamer, a hexamer or a heptamer.

30 Examples of coiled coil domains which are capable of forming multimers comprising more than two coiled coil domains include, but are not limited to, those from cartilage-oligomeric matrix protein (COMP), mannose-binding protein A, coiled-coil serine-rich protein 1, polypeptide release factor 2, SNAP-25, SNARE, Lac repressor or apolipoprotein E (see SEQ ID Nos. 11-20 above).

35 The coiled coil domain may be the COMP coiled coil domain.

COMP is one of the most stable protein complexes in nature (stable from 0°C-100°C and a wide range of pH) and can only be denatured with 4-6M guanidine hydrochloride. The COMP coiled coil domain is capable of forming a pentamer. COMP is also an endogenously expressed protein that is naturally expressed in the 5 extracellular space. This reduces the risk of immunogenicity compared to synthetic spacers. Furthermore, the crystal structure of the COMP coiled coil motif has been solved which gives an accurate estimation on the spacer length. The COMP structure is ~5.6nm in length (compared to the hinge and CH2CH3 domains from human IgG which is ~8.1nm).

10

The coiled coil domain may consist of or comprise the sequence shown as SEQ ID No. 21 or a fragment thereof.

SEQ ID No. 21

15 DLGPQMLRELQETNAALQDVRELLRQQVREITFLKNTVMECDACG

It is possible to truncate the COMP coiled-coil domain at the N-terminus and retain surface expression. The coiled-coil domain may therefore comprise or consist of a truncated version of SEQ ID No. 21, which is truncated at the N-terminus. The 20 truncated COMP may comprise the 5 C-terminal amino acids of SEQ ID No. 21, i.e. the sequence CDACG. The truncated COMP may comprise 5 to 44 amino acids, for example, at least 5, 10, 15, 20, 25, 30, 35 or 40 amino acids. The truncated COMP may correspond to the C-terminus of SEQ ID No. 21. For example a truncated COMP comprising 20 amino acids may comprise the sequences 25 QQVREITFLKNTVMECDACG. Truncated COMP may retain the cysteine residue(s) involved in multimerisation. Truncated COMP may retain the capacity to form multimers.

30 Various coiled coil domains are known which form hexamers such as gp41derived from HIV, and an artificial protein designed hexamer coiled coil described by N. Zaccai et al. (2011) *Nature Chem. Bio.*, (7) 935-941). A mutant form of the GCN4-p1 leucine zipper forms a heptameric coiled-coil structure (J. Liu. et al., (2006) *PNAS* (103) 15457–15462).

35 The coiled coil domain may comprise a variant of one of the coiled coil domains described above, providing that the variant sequence retains the capacity to form a coiled coil oligomer. For example, the coiled coil domain may comprise a variant of

the sequence shown as SEQ ID No. 8 to 21 having at least 80, 85, 90, 95, 98 or 99% sequence identity, providing that the variant sequence retains the capacity to form a coiled coil oligomer.

5 The percentage identity between two polypeptide sequences may be readily determined by programs such as BLAST which is freely available at <http://blast.ncbi.nlm.nih.gov>.

#### TRANSMEMBRANE DOMAIN

10

The transmembrane domain is the sequence of a CAR that spans the membrane. It may comprise a hydrophobic alpha helix. The transmembrane domain may be derived from CD28, which gives good receptor stability.

15

#### SIGNAL PEPTIDE

The CARs of the present invention may comprise a signal peptide so that when they are expressed in a cell, such as a T-cell, the nascent protein is directed to the endoplasmic reticulum and subsequently to the cell surface, where it is expressed.

20

The core of the signal peptide may contain a long stretch of hydrophobic amino acids that has a tendency to form a single alpha-helix. The signal peptide may begin with a short positively charged stretch of amino acids, which helps to enforce proper topology of the polypeptide during translocation. At the end of the signal peptide 25 there is typically a stretch of amino acids that is recognized and cleaved by signal peptidase. Signal peptidase may cleave either during or after completion of translocation to generate a free signal peptide and a mature protein. The free signal peptides are then digested by specific proteases.

30

The signal peptide may be at the amino terminus of the molecule.

35

The signal peptide may comprise the sequence shown as SEQ ID No. 22, 23 or 24 or a variant thereof having 5, 4, 3, 2 or 1 amino acid mutations (insertions, substitutions or additions) provided that the signal peptide still functions to cause cell surface expression of the CAR.

SEQ ID No. 22: MGTSLLCWMALCLLGADHADG

The signal peptide of SEQ ID No. 22 is compact and highly efficient and is derived from TCR beta chain. It is predicted to give about 95% cleavage after the terminal glycine, giving efficient removal by signal peptidase.

5

SEQ ID No. 23: MSLPVTLALLPLALLLHAARP

The signal peptide of SEQ ID No. 23 is derived from IgG1.

10 SEQ ID No. 24: MAVPTQVLGLLLLWLTDARC

The signal peptide of SEQ ID No. 24 is derived from CD8a.

#### ENDODOMAIN

15

The endodomain is the portion of a classical CAR which is located on the intracellular side of the membrane.

20 The endodomain is the signal-transmission portion of a classical CAR. After antigen recognition by the antigen binding domain, individual CAR molecules cluster, native CD45 and CD148 are excluded from the synapse and a signal is transmitted to the cell.

25 The endodomain of the first, second or third CAR as defined herein may be or comprise an intracellular signalling domain. In an alternative embodiment, the endodomain of the present CAR may be capable of interacting with an intracellular signalling molecule which is present in the cytoplasm, leading to signalling.

30 The intracellular signalling domain or separate intracellular signalling molecule may be or comprise a T cell signalling domain.

35 The most commonly used signalling domain component is that of CD3-zeta endodomain, which contains 3 ITAMs. This transmits an activation signal to the T cell after antigen is bound. CD3-zeta may not provide a fully competent activation signal and additional co-stimulatory signalling may be needed. For example, chimeric CD28 and OX40 can be used with CD3-Zeta to transmit a proliferative / survival signal, or all three can be used together.

The present CAR may comprise the CD3-Zeta endodomain alone, the CD3-Zeta endodomain with that of either CD28 or OX40 or the CD28 endodomain and OX40 and CD3-Zeta endodomain.

5

The endodomain may comprise one or more of the following: an ICOS endodomain, a CD27 endodomain, a BTLA endodomain, a CD30 endodomain, a GITR endodomain and an HVEM endodomain.

10 The endodomain may comprise the sequence shown as SEQ ID No. 25 to 33 or a variant thereof having at least 80% sequence identity.

SEQ ID No. 25 - CD3 Z endodomain

15 RVKFSRSADAPAYQQGQNQLYNELNLGRREEYDVLKDRRGRDPEMGGKPRRKNP  
QEGLYNELQKDKMAEAYSEIGMKGERRRGKGHDGLYQGLSTATKDTYDALHMQAL  
PPR

SEQ ID No. 26 - CD28 and CD3 Zeta endodomains

20 SKRSRLLHSDYMNMTPRPGPTRKHYQPYAPPRDFAAYRSRVKFSSRSADAPAYQQ  
GQNQLYNELNLGRREEYDVLKDRRGRDPEMGGKPRRKNPQEGLYNELQKDKMAE  
AYSEIGMKGERRRGKGHDGLYQGLSTATKDTYDALHMQALPPR

SEQ ID No. 27 - CD28, OX40 and CD3 Zeta endodomains

25 SKRSRLLHSDYMNMTPRPGPTRKHYQPYAPPRDFAAYRSRDQRLPPDAHKPPG  
GGSFRTPIQEEQADAHSTLAIRVKFSRSADAPAYQQGQNQLYNELNLGRREEYDV  
LDKRRGRDPEMGGKPRRKNPQEGLYNELQKDKMAEAYSEIGMKGERRRGKGHDG  
LYQGLSTATKDTYDALHMQALPPR

SEQ ID No. 28 - ICOS endodomain

30 CWLTKKKYSSSVHDPNGEYMFMRNAVNTAKKSRLTDVTL

SEQ ID No. 29 - CD27 endodomain

QRRKYRSNKGESPVEPAEPCHYSCPREEEGSTIPIQEDYRKPEPACSP

35 SEQ ID No. 30 - BTLA endodomain

RRHQGKQNELSDTAGREINLVDAHLKSEQTEASTRQNSQVLLSETGIYDNDPDLCF  
RMQEGSEVYSNPCLEENKPGIVYASLNHSVIGPNSRLARNVKEAPTEYASICVRS

SEQ ID No. 31 - CD30 endodomain

HRRACRKIRQKLHLCYPQTSQPKLELVDSRPRRSSTQLRSGASVTEPVAEERGL

MSQPLMETCHSGAAYLESLPLQDASPAGGPSSPRDLPEPRVSTEHTNNKIEKIYIM

5 KADTVIVGTVKAELPEGRGLAGPAEPELEEELEADHTPHYPEQETEPPLGSCSDVML  
SVEEEGKEDPLPTAASGK

SEQ ID No. 32 - GITR endodomain

QLGLHIWQLRSQCMWPRETQLLEVPPSTEDARSCQFPEEERGERSAEEKGRLGD

10 LWV

SEQ ID No. 33 - HVEM endodomain

CVKRRKPRGDVVVKVIVSVQRKRQEAEGEATVIEALQAPPDVTTVAVEETIPSFTGRS

PNH

15

A variant sequence may have at least 80%, 85%, 90%, 95%, 98% or 99% sequence identity to SEQ ID No. 25 to 33, provided that the sequence provides an effective intracellular signalling domain.

20 SUBSEQUENT CAR(S)

In addition to the first and second CARs defined above, the cell of the invention may comprise third and optionally subsequent CARs (fourth, fifth, sixth etc).

25 A third CAR may, for example, bind a cell surface antigen, such as a tumour associated antigen.

Various tumour associated antigens (TAA) are known, as shown in the following Table 2. The antigen-binding domain of the third or subsequent CAR may be a 30 domain which is capable of binding one of these TAAs.

Table 2

Cancer type	TAA
Diffuse Large B-cell Lymphoma	CD19, CD20, CD22
Breast cancer	ErbB2, MUC1
AML	CD13, CD33

Neuroblastoma	GD2, NCAM, ALK, GD2
B-CLL	CD19, CD52, CD160
Colorectal cancer	Folate binding protein, CA-125
Chronic Lymphocytic Leukaemia	CD5, CD19
Glioma	EGFR, Vimentin
Multiple myeloma	BCMA, CD138
Renal Cell Carcinoma	Carbonic anhydrase IX, G250
Prostate cancer	PSMA
Bowel cancer	A33

## NUCLEIC ACID

The present invention further provides a nucleic acid construct which comprises a first nucleic acid sequence encoding a first CAR as defined in connection with the first aspect of the invention; and a second nucleic acid sequence encoding a second CAR as defined in connection with the first aspect of the invention.

The nucleic acid construct may have the following structure:

10

AgB1-spacer1-TM1-endo1-coexpr-AbB2-spacer2-TM2-endo2

in which

AgB1 is a nucleic acid sequence encoding the antigen-binding domain of the first CAR;

spacer 1 is a nucleic acid sequence encoding the spacer of the first CAR;

TM1 is a nucleic acid sequence encoding the transmembrane domain of the first CAR;

endo 1 is a nucleic acid sequence encoding the endodomain of the first CAR;

20 coexpr is a nucleic acid sequence enabling co-expression of both CARs

AgB2 is a nucleic acid sequence encoding the antigen-binding domain of the second CAR;

spacer 2 is a nucleic acid sequence encoding the spacer of the second CAR;

TM2 is a nucleic acid sequence encoding the transmembrane domain of the second CAR;

25 CAR;

endo 2 is a nucleic acid sequence encoding the endodomain of the second CAR.

When the nucleic acid construct is expressed in a cell, such as a T-cell, it encodes a polypeptide which is cleaved at the cleavage site such that the first and second CARs are co-expressed at the cell surface.

5 Where the nucleic acid construct encodes three CARs, it may have the structure:

AgB1-spacer1-TM1-endo1-coexpr1-AbB2-spacer2-TM2-endo2-coexpr2-AbB3-spacer3-TM3-endo3

10 The endodomain may be an intracellular cell signalling domain or may associate intracellularly with a separate cell signalling domain.

As used herein, the terms “polynucleotide”, “nucleotide”, and “nucleic acid” are intended to be synonymous with each other.

15

It will be understood by a skilled person that numerous different polynucleotides and nucleic acids can encode the same polypeptide as a result of the degeneracy of the genetic code. In addition, it is to be understood that skilled persons may, using routine techniques, make nucleotide substitutions that do not affect the polypeptide sequence 20 encoded by the polynucleotides described here to reflect the codon usage of any particular host organism in which the polypeptides are to be expressed.

Nucleic acids according to the invention may comprise DNA or RNA. They may be single-stranded or double-stranded. They may also be polynucleotides which include 25 within them synthetic or modified nucleotides. A number of different types of modification to oligonucleotides are known in the art. These include methylphosphonate and phosphorothioate backbones, addition of acridine or polylysine chains at the 3' and/or 5' ends of the molecule. For the purposes of the use as described herein, it is to be understood that the polynucleotides may be 30 modified by any method available in the art. Such modifications may be carried out in order to enhance the in vivo activity or life span of polynucleotides of interest.

The terms “variant”, “homologue” or “derivative” in relation to a nucleotide sequence 35 include any substitution of, variation of, modification of, replacement of, deletion of or addition of one (or more) nucleic acid from or to the sequence.

In the structure above, “coexpr” is a nucleic acid sequence enabling co-expression of both first and second CARs. It may be a sequence encoding a cleavage site, such that the nucleic acid construct produces comprises two or more CARs joined by a cleavage site(s). The cleavage site may be self-cleaving, such that when the 5 polypeptide is produced, it is immediately cleaved into individual peptides without the need for any external cleavage activity.

The cleavage site may be any sequence which enables the first and second CARs to become separated.

10

The term “cleavage” is used herein for convenience, but the cleavage site may cause the peptides to separate into individual entities by a mechanism other than classical cleavage. For example, for the Foot-and-Mouth disease virus (FMDV) 2A self-cleaving peptide (see below), various models have been proposed for to account for 15 the “cleavage” activity: proteolysis by a host-cell proteinase, autoproteolysis or a translational effect (Donnelly et al (2001) J. Gen. Virol. 82:1027-1041). The exact mechanism of such “cleavage” is not important for the purposes of the present invention, as long as the cleavage site, when positioned between nucleic acid sequences which encode proteins, causes the proteins to be expressed as separate 20 entities.

The cleavage site may be a furin cleavage site.

Furin is an enzyme which belongs to the subtilisin-like proprotein convertase family. 25 The members of this family are proprotein convertases that process latent precursor proteins into their biologically active products. Furin is a calcium-dependent serine endoprotease that can efficiently cleave precursor proteins at their paired basic amino acid processing sites. Examples of furin substrates include proparathyroid hormone, transforming growth factor beta 1 precursor, proalbumin, pro-beta-secretase, 30 membrane type-1 matrix metalloproteinase, beta subunit of pro-nerve growth factor and von Willebrand factor. Furin cleaves proteins just downstream of a basic amino acid target sequence (canonically, Arg-X-(Arg/Lys)-Arg') and is enriched in the Golgi apparatus.

35 The cleavage site may be a Tobacco Etch Virus (TEV) cleavage site.

TEV protease is a highly sequence-specific cysteine protease which is chymotrypsin-like proteases. It is very specific for its target cleavage site and is therefore frequently used for the controlled cleavage of fusion proteins both *in vitro* and *in vivo*. The consensus TEV cleavage site is ENLYFQ\S (where '\' denotes the cleaved peptide bond). Mammalian cells, such as human cells, do not express TEV protease. Thus in embodiments in which the present nucleic acid construct comprises a TEV cleavage site and is expressed in a mammalian cell – exogenous TEV protease must also be expressed in the mammalian cell.

10 The cleavage site may encode a self-cleaving peptide.

A 'self-cleaving peptide' refers to a peptide which functions such that when the polypeptide comprising the proteins and the self-cleaving peptide is produced, it is immediately "cleaved" or separated into distinct and discrete first and second 15 polypeptides without the need for any external cleavage activity.

The self-cleaving peptide may be a 2A self-cleaving peptide from an aphtho- or a cardiovirus. The primary 2A/2B cleavage of the aphtho- and cardioviruses is mediated by 2A "cleaving" at its own C-terminus. In aphthoviruses, such as foot-and-mouth 20 disease viruses (FMDV) and equine rhinitis A virus, the 2A region is a short section of about 18 amino acids, which, together with the N-terminal residue of protein 2B (a conserved proline residue) represents an autonomous element capable of mediating "cleavage" at its own C-terminus (Donnelly et al (2001) as above).

25 "2A-like" sequences have been found in picornaviruses other than aphtho- or cardioviruses, 'picornavirus-like' insect viruses, type C rotaviruses and repeated sequences within *Trypanosoma* spp and a bacterial sequence (Donnelly et al (2001) as above). The cleavage site may comprise one of these 2A-like sequences, such as:

30 YHADYYKQRLIHDVEMNPGP (SEQ ID No. 34)  
HYAGYFADLLIHDIELNPGP (SEQ ID No. 35)  
QCTNYALLKLAGDVESNPGP (SEQ ID No. 36)  
ATNFSLLKQAGDVEENPGP (SEQ ID No. 37)  
35 AARQMLLLSDVETNPGP (SEQ ID No. 38)  
RAEGRGSLLTCGDVEENPGP (SEQ ID No. 39)  
TRAIEIEDELIRAGIESNPGP (SEQ ID No. 40)

TRAIEIEDELIRADIESNPGP (SEQ ID No. 41)  
 AKFQIDKILISGDVELNPGP (SEQ ID No. 42)  
 SSIIRTKMLVSGDVEENPGP (SEQ ID No. 43)  
 CDAQRQKLLSGDIEQNPGP (SEQ ID No. 44)  
 5 YPIDFGGFLVKADSEFNPGP (SEQ ID No. 45)

The cleavage site may comprise the 2A-like sequence shown as SEQ ID No. 39 (RAEGRGSLLTCGDVEENPGP).

10 The present invention also provides a kit comprising one or more nucleic acid sequence(s) encoding first and second CARs according to the first aspect of the present invention.

#### SPLIT CAR SYSTEMS

15 In one embodiment of the invention, the T-cell stimulating endodomains are "split" between the first and second CARs recognising a soluble ligand, and the third CAR recognising a cell-surface antigen (see Figure 9).

20 An advantage of such a split-CAR system is that it avoids the possibility of the T-cell "shadow-boxing" i.e. trying to kill something that isn't there. This may occur if, for example, the soluble ligand is present in the vicinity of the T cell, but the tumour cell which secreted the soluble ligand is too far away to be killed by an activated T cell.

25 In this system, the endodomains may, for example, be split between the first and/or second CAR which bind the soluble ligand; and the third CAR which binds with cell-surface antigen, as shown in the following Table:

Endodomain(s) on first and/or second CAR	Endodomain(s) on third CAR
CD28-OX40 or CD28-41BB	CD3 zeta
OX40 or 41BB	CD28-CD3 zeta

30 In this embodiment of the invention the endodomains of the third CAR and the first and/or second CAR(s) are "complementary" in the sense that together, they provide signals 1 and 2 or 1, 2 and 3, leading to cell activation. Optimal T cell activation

therefore occurs when the soluble ligand is bound by the first and second CARs and the cell surface antigen is bound by the third CAR.

5 In this embodiment, the third CAR may bind prostate-specific membrane antigen (PSMA) and the first and second CARs may bind prostate-specific antigen (PSA).

#### AGGREGATION-BASED INHIBITORY CAR SYSTEM

In a second embodiment of the invention, ligation of the ligand by the first and second 10 CAR causes inhibition of the third CAR. Binding the cell surface antigen by the third CAR in the absence of ligand (eg soluble ligand) leads to cell activation. By contrast, binding the cell surface antigen by the third CAR in the presence of ligand (eg soluble ligand) does not lead to cell activation, or leads to reduced cell activation (See Figure 10).

15 In this embodiment the soluble ligand may be an entity, such as a cytokine, which is released by normal tissue but not by cancerous tissue. The ligand may, for example, be IL-6. In this way, the inhibitory signal provided by the soluble CAR could be used to provide a negative feedback loop for CAR-associated problems such as cytokine 20 release syndrome or mass-activation syndrome.

The first and/or second CAR comprise(s) a “ligation-on” inhibitory endodomain, such that when the first and second CARs bind the ligand, cell activation caused by the third CAR binding the cell surface antigen is inhibited.

25 The “ligation-on” inhibitory endodomain does not significantly inhibit T-cell activation by the third CAR in the absence of the soluble ligand, but inhibits T-cell activation by the third CAR when the first and second CARs bind the soluble ligand.

30 The “ligation-on” inhibitory endodomain may be or comprise a tyrosine phosphatase with a sufficiently slow catalytic rate for phosphorylated ITAMs that does not inhibit TCR signalling when only the third CAR binds its (cell surface) antigen, but it is capable of inhibiting the TCR signalling response when aggregation of the first and second CARs cause the inhibitory endodomains to be concentrated at the synapse.

35 The inhibitory endodomain may comprise all or part of a protein-tyrosine phosphatase such as PTPN6.

Protein tyrosine phosphatases (PTPs) are signaling molecules that regulate a variety of cellular processes including cell growth, differentiation, mitotic cycle, and oncogenic transformation. The N-terminal part of this PTP contains two tandem Src homolog (SH2) domains, which act as protein phospho-tyrosine binding domains, and mediate the interaction of this PTP with its substrates. This PTP is expressed primarily in hematopoietic cells, and functions as an important regulator of multiple signaling pathways in hematopoietic cells.

10 The inhibitor domain may comprise all of PTPN6 (SEQ ID No. 46) or just the phosphatase domain (SEQ ID No. 47).

SEQ ID 46 – sequence of PTPN6

MVRWFHRDLSGLDAETLLKGRGVHGSFLARPSRKNQGDFSLSVRVDQVTHIRIQN  
15 SGDFYDLYGGEKFATLTELVEYYTQQQGVLQDRDGTIIHLKYPLNCSDPTSERWYH  
GHMSGGQAETLLQAKGEPTFLVRESLSQPGDFVLSVLSQPKAGPGSPLRVTHIK  
VMCEGGGRYTVGGLETDSLTDLVEHFKKTGIEEASGAFVYLQPYYATRVNAADEN  
RVLELNKKQESEDTAKAGFWEEFESLQKQEVKNLHQRLEGQRPENKGKNRYKNILP  
FDHSRVLQGRDSNIPGSDYINANYIKNQLLGPDENAKTYIASQGCLEATVNDFWQM  
20 AWQENSERVIVMTTREVEKGRNKCPYWPPEVGMQRAYGPYSVTNCGEHDTTEYKL  
RTLQVSPLDNGDLIREIWHYQYLSWPDHGPSEPGGVLSFLDQINQRQESLPHAGPI  
IVHCSAGIGRTGTIIVIDMLMENISTKGLCDCIDIQKTIQMVRARSGMVQTEAQYKFIY  
VAIAQFIETTKKLEVLQSQKGQESEYGNITYPPAMKNAHAKASRTSSKHKEDVYEN  
LHTKNKREEVKKKQRSADKEKSKGSLKRK

25

SEQ ID 47 – sequence of phosphatase domain of PTPN6

FWEEFESLQKQEVKNLHQRLEGQRPENKGKNRYKNILPFDSRVLQGRDSNIPGS  
DYINANYIKNQLLGPDENAKTYIASQGCLEATVNDFWQMAWQENSERVIVMTTREVE  
KGRNKCPYWPPEVGMQRAYGPYSVTNCGEHDTTEYKLRTLQVSPLDNGDLIREIW  
30 HYQYLSWPDHGPSEPGGVLSFLDQINQRQESLPHAGPIIVHCSAGIGRTGTIIVIDM  
LMENISTKGLCDCIDIQKTIQMVRARSGMVQTEAQYKFIYVAIAQF

35 Alternatively the inhibitory endodomain may be an ITIM (Immunoreceptor Tyrosine-based Inhibition motif) containing endodomain such as that from CD22, LAIR-1, the Killer inhibitory receptor family (KIR), LILRB1, CTLA4, PD-1, BTLA etc. When phosphorylated, ITIMs recruits endogenous PTPN6 through its SH2 domain. If co-

localised with an ITAM containing endodomain, dephosphorylation occurs and the activating CAR is inhibited.

An ITIM is a conserved sequence of amino acids (S/I/V/LxYxxI/V/L) that is found in the cytoplasmic tails of many inhibitory receptors of the immune system. One skilled in the art can easily find protein domains containing an ITIM. A list of human candidate ITIM-containing proteins has been generated by proteome-wide scans (Staub, et al (2004) *Cell. Signal.* 16, 435–456). Further, since the consensus sequence is well known and little secondary structure appears to be required, one skilled in the art could generate an artificial ITIM.

ITIM endodomains from PDCD1, BTLA4, LILRB1, LAIR1, CTLA4, KIR2DL1, KIR2DL4, KIR2DL5, KIR3DL1 and KIR3DL3 are shown in SEQ ID 48 to 57 respectively

15 SEQ ID No. 48 - PDCD1 endodomain

CSRAARGTIGARRTGQPLKEDPSAVPVFSVDYGELDFQWREKTPEPPVPCVPEQT

EYATI

VFPSGMGTSSPARRGSADGPRSAQPLRPEDGHCSWPL

20 SEQ ID No. 49 - BTLA4

KLQRRWKRTQSQQGLQENSSGQSFFVRNKKVRRAPLSEGPHSLGCYNPMMEDGI

SYTTLRFPEMNIPRTGDAESSEMQRPPPDCDDTVTYSALHKRQVGDYENVIPDFPE

DEGIHYSELI

25 QFGVGERPQAQENVVDYVILKH

SEQ ID No. 50 - LILRB1

LRHRRQGKHWSTQRKADFQHPAGAVGPEPTDRGLQWRSSPAADAQEENLYAAV

KHTQPEDGVEMDTRSPHDEDQAVTYAEVKHSRPRREMASPPSPLSGEFLDTKDR

30 QAEEDRQMDTEAAASEAPQDVTYAQLHSRTLREATEPPPSQEGPSPA VPSIYATL

AIH

SEQ ID No. 51 - LAIR1

HRQNQIKQGPPRSKDEEQKPQQRPDLAVDVLERTADKATVNGLPEKDRRETDT SALA

35 AGSS

QEVTYAQLDHWALTQRTARAVSPQSTKPMAESITYAAVARH

SEQ ID No. 52 CTLA4

FLLWILAAVSSGLFFYSFLLTAVSLSKMLKKRSPLTTGVYVKMPPTEPECEKQFQPYF  
IPIN

5 SEQ ID No. 53 KIR2DL1

GNSRHLHVLIGTSVVIIPFAILLFFLLHRWCANKNAVMDQEPAGNRTVNREDSDE  
QDP  
QEVTYTQLNHCVFTQRKITRPSQRPKTPPTDIIVYTELPNAESRSKVVSCP

10 SEQ ID No. 54 KIR2DL4

GIARHLHAVIRYSVAILFTILPFFLLHRWCSKKKENAAVMNQEPAHGRTVNREDSDE  
QDPQEVTYAQLDHICIFTQRKITGPSQRSKRPSTDTSVICELPNAEPRALSPAHEHHS  
QALMGSSRETTALSQTQLASSNVPAAGI

15 SEQ ID No. 55 KIR2DL5

TGIRRHLHILIGTSVAILFIILFFFLLHCCCSNKKNAAVMDQEPAGDRTVNREDSDDQ  
DPQEVTYAQLDHCVFTQTKITSPSQRPKTPPTDTTMYMELPNAKPRSLSPAHKHHS  
QALRGSSRETTALSQNRVASSHVPAAGI

20 SEQ ID No. 56 KIR3DL1

KDPRHLHILIGTSVVIILFILLFFFLLHLWCSNKKNAAVMDQEPAGNRTANSEDSDEQD  
PEEVTYAQLDHCVFTQRKITRPSQRPKTPPTDTILYTELPNAKPRSKVVSCP

SEQ ID No. 57 KIR3DL3

25 KDPGNSRHLHVLIGTSVVIIPFAILLFFLLHRWCANKNAVMDQEPAGNRTVNREDS  
DEQDPQEVTYAQLNHCVFTQRKITRPSQRPKTPPTDTSV

Alternatively the inhibitory endodomain may be an ITIM containing endodomain co-expressed with a fusion protein. The fusion protein may comprise at least part of a 30 protein-tyrosine phosphatase and at least part of a receptor-like tyrosine phosphatase. The fusion may comprise one or more SH2 domains from the protein-tyrosine phosphatase. For example, the fusion may be between a PTPN6 SH2 domain and CD45 endodomain or between a PTPN6 SH2 domain and CD148 endodomain. When phosphorylated, the ITIM domains recruit the fusion protein bringing 35 the highly potent CD45 or CD148 phosphatase to proximity to the activating endodomain blocking activation. The sequences of illustrative fusion proteins are given as SEQ ID No. 58 and 59.

SEQ ID No. 58 - PTPN6-CD45 fusion protein

WYHGHMSGGQAETLLQAKGEPTFLVRESLSQPGDFVLSVLSQPKAGPGSPLRV

THIKVMCEGGRTVGGLETFDSLTDLVEHFKKTGIEEASGAFVYLRQPYKIYDLHKK

5 RSCNLDEQQELVERDDEKQLMNVEPIHADILLETYKRKIADEGRLFLAEFQSIPRVFS  
KFPIKEARKPFNQNKNRYVDILPYDYNRVELSEINGDAGSNYINASYIDGFKEPRKYIA  
AQGPRDETVDDFWRMIWEQKATVIVMVTCEEGNRNKCAEYWPSMEEGTRAFGD  
VVVKINQHKRCPDYIIQKLNIVNKKEKATGREVTHIQFTSWPDHGVPEDPHLLLKLRR

10 RVNAFSNFFSGPIVVHCSAGVGRGTGYIGIDAMLEGLEAENKVDVYGYVVKLRRQR  
CLMVQVEAQYILIHQALVEYNQFGETEVNLSELHPYLHNMKKRDPPSEPSPLEAEFQ  
RLPSYRSWRTQHIGNQEENKSKNRNSNVIPYDYNRVLKHELEMSKESEHDSDESSD  
DDSDSEEPSKYINASFIMSYWKPEVMIAAQGPLKETIGDFMIQRKVKVIVMLTELKG  
DQEICAQYWGEVKQTYGDIEVDLKDTDKSSTYTLRVFELRHSKRKDSRTVYQYQYT  
NWSVEQLPAEPKELISMIVVKQKLPQKNSSEGKHHKSTPLLIHCRDGSQQTGIFC  
15 ALLNLLESAETEEVVDIFQVVKALRKARPGMVSTFEQYQFLYDVIASTYPAQNGQVK  
KNNHQEDKIEFDNEVDKVKQDANCVNPLGAPEKLPEAKEQAEGSEPTSGTEGPEH  
SVNGPASPALNQGS

SEQ ID No. 59 - PTPN6-CD148 fusion

20 ETLLQAKGEPTFLVRESLSQPGDFVLSVLSQPKAGPGSPLRVTHIKVMCEGGRT  
TVGGLETFDSLTDLVEHFKKTGIEEASGAFVYLRQPYRKKRKDAKNNEVSFSQIKPK  
KSKLIRVENFEAYFKQQQADSNCGFAEEYEDLKLVGISQPKYAAELAENRGKNRYNN  
VLPYDISRVKLSVQTHSTDDYINANYMPGYHSKKDFIATQGPLPNTLKDFWRMVWE  
KNVYAIIMLTKCVEQGRTKCEEYWPSKQAQDYGDITVAMTSEIVLPEWTIRDFTVKNI  
25 QTSESHPLRQFHFTSWPDHGVPDFTDILLINFRYLVRDYMKQSPPESPILVHCSAGV  
GRTGTFIAIDRLIYQIENENTDVYGINYDLRMHRPLMVQTEDQYVFLNQCVL DIVRS  
QKDSKVDLIYQNTTAMTIYENLAPVTTFGKTNGYIA

30 The inhibitory endodomain may comprise all or part of SEQ ID No 46 or 47. It may  
comprise all or part of SEQ ID 48 to 57. It may comprise all or part of SEQ ID 48 to 57  
co-expressed with either SEQ ID 58 or 59. It may comprise a variant of the sequence  
or part thereof having at least 80% sequence identity, as long as the variant retains  
the capacity to inhibit T cell signaling by the third CAR upon ligation of the first and  
second CARs.

In a third embodiment of the invention the first CAR comprises two antigen binding domains: one which binds the soluble ligand; and one which binds a cell-surface antigen. This tandem arrangement of binding domains (TanCAR system) is illustrated schematically in Figure 11.

5

When the first CAR is expressed at the surface of a cell, such as a T-cell, the antigen binding-domain which binds the cell-surface antigen may be distal to the cell membrane and the antigen binding-domain which binds the soluble ligand may be proximal to the cell membrane

10

In this system, the second CAR may comprise an inhibitory endodomain, such that when the first and second CARs bind the soluble ligand, cell activation caused by the first CAR binding the cell surface antigen is inhibited.

15

The inhibitory endodomain is a “ligation-on” inhibitory endodomain, such that the second CAR does not significantly inhibit T-cell activation by the first CAR in the absence of soluble ligand, but inhibits T-cell activation by the first CAR when the first and second CAR bind the soluble ligand.

20

The inhibitory endodomain may be or comprise a phosphatase with slow kinetics, such as one comprising of the catalytic domain of PTPN6 or an ITIM as defined in the previous section.

In this embodiment of the invention, the first and second CAR may bind IL-6.

25

#### AGGREGATION-BASED AND GATE

In a fourth embodiment of the invention, the third CAR, which binds a cell-surface antigen, comprises an activating endodomain, and the first and/or second CAR(s) comprise(s) a “ligation-off” inhibitory endodomain (see Figure 12).

This embodiment is based on the kinetic segregation model (KS) of T-cell activation. This is a functional model, backed by experimental data, which explains how antigen recognition by a T-cell receptor is converted into down-stream activation signals.

35

Briefly: at the ground state, the signalling components on the T-cell membrane are in dynamic homeostasis whereby dephosphorylated ITAMs are favoured over phosphorylated ITAMs. This is due to greater activity of the transmembrane

CD45/CD148 phosphatases over membrane-tethered kinases such as lck. When a T-cell engages a target cell through a T-cell receptor (or CAR) recognition of cognate antigen, tight immunological synapses form. This close juxtapositioning of the T-cell and target membranes excludes CD45/CD148 due to their large ectodomains which 5 cannot fit into the synapse. Segregation of a high concentration of T-cell receptor associated ITAMs and kinases in the synapse, in the absence of phosphatases, leads to a state whereby phosphorylated ITAMs are favoured. ZAP70 recognizes a threshold of phosphorylated ITAMs and propagates a T-cell activation signal. This advanced understanding of T-cell activation is exploited by the present invention. In 10 particular, the invention is based on this understanding of how ectodomains of different length and/or bulk and/or charge and/or configuration and/or glycosylation result in differential segregation upon synapse formation.

In the aggregation-based AND gate of the invention embodiment, the third CAR which 15 binds the cell-surface antigen comprises an activating endodomain and the first and/or second CAR(s) which bind the soluble ligand comprise an inhibitory endodomain. The inhibitory CAR constitutively inhibits the third CAR, but upon binding the soluble ligand releases its inhibition of the activating CAR. In this manner, a T-cell can be engineered to trigger only in the presence of the cell-surface antigen 20 (Ligand A in Figure 12) and the soluble antigen (Ligand B in Figure 12). This behaviour is achieved by the third CAR comprising an activating endodomain containing ITAM domains for example the endodomain of CD3 Zeta, and the inhibitory CAR comprising the endodomain from a phosphatase able to dephosphorylate an ITAM (e.g. CD45 or CD148). When only the third CAR is ligated, 25 the first and/or second CAR with the inhibitory endodomain is in solution on the T-cell surface and can diffuse in and out of the synapse inhibiting the activating CAR. When the first and second CAR bind the soluble ligand, they aggregate causing segregation from the third CAR allowing the third CAR to trigger T-cell activation.

### 30 COLOCALISATION-BASED AND GATE

In a fifth embodiment of the invention, the first CAR comprises two antigen binding domains: one which binds the soluble ligand; and one which binds a cell-surface antigen. This tandem arrangement of binding domains (TanCAR system) is similar to 35 the thirs embodiment of the invention described above.

In this co-localisation-based AND gate, the first CAR lacks a functional endodomain. It may comprise an inert or truncated endodomain. The endodomain may lack any or a sufficient number of ITAMs to transmit an activation signal to the T cell after antigen is bound.

5

The second CAR comprises a functional endodomain which comprises one or more ITAMs capable of triggering T-cell signalling. Binding of the soluble ligand causes co-localisation of the first and second CARs and enables T-cell signalling to occur when the first CAR binds the cell surface antigen. The second CAR may, for example, comprise the CD3 zeta endodomain.

10

The second CAR may be monomeric to avoid signalling in the absence of Ligand A. This may be achieved by the second CAR comprising a monomeric spacer.

15 A truncated version of CD22 which comprises one or more Ig domains, may be used as a monomeric spacer.

#### VECTOR

20 The present invention also provides a vector, or kit of vectors, which comprises one or more nucleic acid sequence(s) encoding a first and a second CAR according to the first aspect of the invention. Such a vector may be used to introduce the nucleic acid sequence(s) into a host cell so that it expresses a first and a second CAR according to the first aspect of the invention.

25 The vector may, for example, be a plasmid or a viral vector, such as a retroviral vector or a lentiviral vector, or a transposon based vector or synthetic mRNA.

The vector may be capable of transfecting or transducing a T cell or a NK cell.

30 CELL

The present invention provides a cell which comprises a first chimeric antigen receptor (CAR) and a second CAR, the first and second CARs binding different epitopes on the same ligand.

35

The cell may comprise a nucleic acid or a vector of the present invention.

The cell may be a cytolytic immune cell such as a T cell or an NK cell.

T cells or T lymphocytes are a type of lymphocyte that play a central role in cell-mediated immunity. They can be distinguished from other lymphocytes, such as B 5 cells and natural killer cells (NK cells), by the presence of a T-cell receptor (TCR) on the cell surface. There are various types of T cell, as summarised below.

10 Helper T helper cells (TH cells) assist other white blood cells in immunologic processes, including maturation of B cells into plasma cells and memory B cells, and activation of cytotoxic T cells and macrophages. TH cells express CD4 on their surface. TH cells become activated when they are presented with peptide antigens by MHC class II molecules on the surface of antigen presenting cells (APCs). These 15 cells can differentiate into one of several subtypes, including TH1, TH2, TH3, TH17, Th9, or TFH, which secrete different cytokines to facilitate different types of immune responses.

20 Cytolytic T cells (TC cells, or CTLs) destroy virally infected cells and tumor cells, and are also implicated in transplant rejection. CTLs express the CD8 at their surface. These cells recognize their targets by binding to antigen associated with MHC class I, which is present on the surface of all nucleated cells. Through IL-10, adenosine and other molecules secreted by regulatory T cells, the CD8+ cells can be inactivated to an anergic state, which prevent autoimmune diseases such as experimental autoimmune encephalomyelitis.

25 Memory T cells are a subset of antigen-specific T cells that persist long-term after an infection has resolved. They quickly expand to large numbers of effector T cells upon re-exposure to their cognate antigen, thus providing the immune system with "memory" against past infections. Memory T cells comprise three subtypes: central memory T cells (TCM cells) and two types of effector memory T cells (TEM cells and 30 TEMRA cells). Memory cells may be either CD4+ or CD8+. Memory T cells typically express the cell surface protein CD45RO.

35 Regulatory T cells (Treg cells), formerly known as suppressor T cells, are crucial for the maintenance of immunological tolerance. Their major role is to shut down T cell-mediated immunity toward the end of an immune reaction and to suppress auto-reactive T cells that escaped the process of negative selection in the thymus.

Two major classes of CD4+ Treg cells have been described — naturally occurring Treg cells and adaptive Treg cells.

Naturally occurring Treg cells (also known as CD4+CD25+FoxP3+ Treg cells) arise in the thymus and have been linked to interactions between developing T cells with both myeloid (CD11c+) and plasmacytoid (CD123+) dendritic cells that have been activated with TSLP. Naturally occurring Treg cells can be distinguished from other T cells by the presence of an intracellular molecule called FoxP3. Mutations of the FOXP3 gene can prevent regulatory T cell development, causing the fatal autoimmune disease IPEX.

Adaptive Treg cells (also known as Tr1 cells or Th3 cells) may originate during a normal immune response.

The cell may be a Natural Killer cell (or NK cell). NK cells form part of the innate immune system. NK cells provide rapid responses to innate signals from virally infected cells in an MHC independent manner

NK cells (belonging to the group of innate lymphoid cells) are defined as large granular lymphocytes (LGL) and constitute the third kind of cells differentiated from the common lymphoid progenitor generating B and T lymphocytes. NK cells are known to differentiate and mature in the bone marrow, lymph node, spleen, tonsils and thymus where they then enter into the circulation.

The CAR cells of the invention may be any of the cell types mentioned above.

T or NK cells according to the first aspect of the invention may either be created *ex vivo* either from a patient's own peripheral blood (1st party), or in the setting of a haematopoietic stem cell transplant from donor peripheral blood (2nd party), or peripheral blood from an unconnected donor (3rd party).

Alternatively, T or NK cells according to the first aspect of the invention may be derived from *ex vivo* differentiation of inducible progenitor cells or embryonic progenitor cells to T or NK cells. Alternatively, an immortalized T-cell line which retains its lytic function and could act as a therapeutic may be used.

In all these embodiments, CAR cells are generated by introducing DNA or RNA coding for first and second CARs by one of many means including transduction with a viral vector, transfection with DNA or RNA.

5 The CAR cell of the invention may be an *ex vivo* T or NK cell from a subject. The T or NK cell may be from a peripheral blood mononuclear cell (PBMC) sample. T or NK cells may be activated and/or expanded prior to being transduced with nucleic acid encoding the molecules providing the CAR according to the first aspect of the invention or a component(s) of the CAR signalling system according to the second  
10 aspect of the invention, for example by treatment with an anti-CD3 monoclonal antibody.

The T or NK cell of the invention may be made by:

15 (i) isolation of a T or NK cell-containing sample from a subject or other sources listed above; and  
(ii) transduction or transfection of the T or NK cells with one or more a nucleic acid sequence(s) encoding first and second CARs.

20 The T or NK cells may then be purified, for example, selected on the basis of expression of the antigen-binding domain of the antigen-binding polypeptide.

#### PHARMACEUTICAL COMPOSITION

25 The present invention also relates to a pharmaceutical composition containing a plurality of cells according to the first aspect of the invention.

30 The pharmaceutical composition may additionally comprise a pharmaceutically acceptable carrier, diluent or excipient. The pharmaceutical composition may optionally comprise one or more further pharmaceutically active polypeptides and/or compounds. Such a formulation may, for example, be in a form suitable for intravenous infusion.

#### METHOD OF TREATMENT

35 The present invention provides a method for treating and/or preventing a disease which comprises the step of administering the cells of the present invention (for example in a pharmaceutical composition as described above) to a subject.

5 A method for treating a disease relates to the therapeutic use of the cells of the present invention. Herein the cells may be administered to a subject having an existing disease or condition in order to lessen, reduce or improve at least one symptom associated with the disease and/or to slow down, reduce or block the progression of the disease.

10 The method for preventing a disease relates to the prophylactic use of the cells of the present invention. Herein such cells may be administered to a subject who has not yet contracted the disease and/or who is not showing any symptoms of the disease to prevent or impair the cause of the disease or to reduce or prevent development of at least one symptom associated with the disease. The subject may have a predisposition for, or be thought to be at risk of developing, the disease.

15 The method may involve the steps of:

- (i) isolating a T or NK cell-containing sample;
- (ii) transducing or transfecting such cells with a nucleic acid sequence or vector provided by the present invention;
- (iii) administering the cells from (ii) to a subject.

20 The T or NK cell-containing sample may be isolated from a subject or from other sources, for example as described above. The T or NK cells may be isolated from a subject's own peripheral blood (1st party), or in the setting of a haematopoietic stem cell transplant from donor peripheral blood (2nd party), or peripheral blood from an 25 unconnected donor (3rd party).

The present invention provides a CAR cell of the present invention for use in treating and/or preventing a disease.

30 The invention also relates to the use of a CAR cell of the present invention in the manufacture of a medicament for the treatment and/or prevention of a disease.

35 The disease to be treated and/or prevented by the methods of the present invention may be a cancerous disease, such as bladder cancer, breast cancer, colon cancer, endometrial cancer, kidney cancer (renal cell), leukaemia, lung cancer, melanoma, non-Hodgkin lymphoma, pancreatic cancer, prostate cancer and thyroid cancer.

Where the soluble ligand is PSA, the cancer may be prostate cancer.

The CAR cells of the present invention may be capable of killing target cells, such as cancer cells. The target cell may be characterised by the presence of a soluble ligand in the vicinity of the target cell. The target cell may be characterised by the presence of a soluble ligand together with the expression of a tumour-associated antigen (TAA) at the target cell surface.

The CAR cells of the present invention may be capable of killing target cells, such as cancer cells, which express a low density of the TAA. Examples of TAAs which are known to be expressed at low densities in certain cancers include, but are not limited to, ROR1 in CLL, Typr-1 in melanoma and BCMA in myeloma.

The CAR cells and pharmaceutical compositions of present invention may be for use in the treatment and/or prevention of the diseases described above.

The CAR cells and pharmaceutical compositions of present invention may be for use in any of the methods described above.

The invention will now be further described by way of Examples, which are meant to serve to assist one of ordinary skill in the art in carrying out the invention and are not intended in any way to limit the scope of the invention.

## EXAMPLES

25

### Example 1 – Triggering T-cell activation with a soluble ligand

Normally a CAR will not be activated with monomeric soluble ligand because the ligand just binds to the receptor without causing segregation of the engaged receptor (Figure 1). In order to aggregate receptors to a soluble ligand it is necessary to have at least two CARs that bind to two non-competitive epitopes (Figure 2). CARs are typically homodimers, but trimeric or multivalent receptors may show improved performance (Figure 3).

35 As a proof-of-concept, soluble monomeric ROR-1 was used as the ligand (Figure 4). Two CARs were used which bind to mutually exclusive epitopes on the ROR-1 ligand named R12 and R11. Following the aggregation model, it was predicted that T-cell

expressing either R11 or R12 will not activate with soluble ligand, but T-cell expressing both R12 and R11 will activate in the presence of soluble ligand. In order to stop the R12 and R11 CAR from cross binding to each other (causing a heterodimer) the R12 was placed on a CD8STK spacer and the R11 on an Fc spacer  
5 (Figure 5). As a control a dual CAR expressing T-cell was used where both CARs contain the R12 scFv (one on a CD8STK and the other on an Fc spacer). In order to investigate the effect of having one of the CARs as a multivalent CAR, in one system the R12 CAR was placed on a CD8STK spacer and the R11 on a COMP spacer (Figure 6).

10

A mouse T-cell line (BW5 cells) was transduced with either a single CAR that contained an R12 scFv on a CD8STK or Fc spacer or a single CAR that contained an R11 scFv on a Fc or COMP spacer. In addition BW5 cells that were positive for R12-CD8STK were transduced to express a second CAR that was either R11-Fc, R11-  
15 COMP or, as a control, R12-Fc. All CARs used in this experiment had an intracellular TCRz domain (1st generation). These cells were stimulated with supernatant containing soluble ROR-1 (His tagged) and the IL-2 was measured after 24h (Figure 7).

20 In was found that only T-cells that expressed both the R11 and R12 CAR were able to stimulate in the presence of soluble ligand. Importantly the T-cell expressing two copies of R12 was not able to stimulate with soluble ligand.

25 As a further control to test that these T-cells were able to be stimulated with immobilised ligand, these T-cells were stimulated with anti-His beads that were pre-coated with different concentrations of the soluble ROR-1 his tag (Figure 8). This figure shows that all T-cells were able to be stimulated with immobilised ligand, indicating that all the T-cells used in this experiment were capable of transmitting a signal however only a dual CAR to two mutually exclusive epitopes was able to be  
30 stimulated with soluble ligand.

**Example 2 – The use of aggregation-based AND gate to trigger T-cell activation in the presence of both a membrane-bound ligand and a soluble ligand.**

35 In order to demonstrate the feasibility of a “Split” CAR system BW5 cells were transduced with a vector expressing either:

- i) aCD19-CD8STK-TCRz-2A-R12-IgGFc-CD148 or

ii) aCD19-CD8STK-TCRz-2A-R12-IgGFc-CD148 and R11-IgMFc-CD148

and exposed to either non-transfected SupT1 target cells or SupT1 target cells expressing CD19. A co-culture was carried out with a 4:1 target to effector ratio with 5 different concentrations of soluble ROR-1 supernatants.

The results are shown in Figure 14. T cell activation is maximal in the presence of both the membrane-bound antigen (CD19) and the soluble ligand (ROR1). Targets which did not express the membrane-bound antigen (NT) did not give T-cell 10 stimulation.

**Example 3 – Induced aggregation with subsequent T cell activation occurs with the soluble ligand PSA, using a two-CAR system based on the PSA-binding mAbs (5D5A5 and 5D5D11).**

15

BW5 T-cells were transduced with a vector expressing;

- i) A5-D11 (aPSA-A5-CD8STK-CD28TM-z\_aPSA-D11-HL-Hinge-CD28tmZ), or
- ii) D11-A5 (aPSA-D11-CD8STK-CD28TM-z\_aPSA-A5-HL-Hinge-CD28tmZ)

and then incubated in the presence of 300 pg/mL PSA, to determine whether the PSA 20 antigen binding domains in the construct of the two CAR system induces aggregation with subsequent CAR activation. The graph (Figure 15) shows that both A5-D11 and D11-A5 constructs resulted in the BW5 T-cells secreting significant levels of IL-2, indicating T-cell activation.

25 All publications mentioned in the above specification are herein incorporated by reference. Various modifications and variations of the described methods and system of the invention will be apparent to those skilled in the art without departing from the scope and spirit of the invention. Although the invention has been described in connection with specific preferred embodiments, it should be understood that the 30 invention as claimed should not be unduly limited to such specific embodiments. Indeed, various modifications of the described modes for carrying out the invention which are obvious to those skilled in molecular biology or related fields are intended to be within the scope of the following claims.

35

## CLAIMS

1. A cell which comprises a first chimeric antigen receptor (CAR) and a second CAR, wherein the first and second CARs bind different epitopes on the same ligand.

5

2. A cell according to claim 1, wherein the ligand is a soluble ligand.

3. A cell according to claim 2, wherein the ligand is a cytokine, chemokine or metabolite.

10

4. A cell according to any preceding claim, wherein each CAR comprises  
(i) an antigen-binding domain;  
(ii) a spacer; and  
(iii) a trans-membrane domain

15 wherein the spacers of the first and second CARs are different.

5. A cell according to any preceding claim, wherein each CAR comprises  
(i) an antigen-binding domain;  
(ii) a spacer; and  
20 (iii) a trans-membrane domain

wherein the spacers of the first and/or second CAR(s) is/are trimeric or multi-valent.

6. A cell according to any preceding claim which comprises a third CAR which binds a cell surface antigen.

25

7. A cell according to claim 6, wherein the first and/or second and third CARs each comprise:  
(i) an antigen-binding domain;  
(ii) a trans-membrane domain; and  
30 (iii) an endodomain

wherein the endodomains of the third CAR and the first and/or second CAR(s) are complementary, such that cell activation occurs when the ligand is bound by the first and second CARs and the cell surface antigen is bound by the third CAR.

35 8. A cell according to claim 7, wherein the third CAR comprises a CD3 zeta endodomain, and the first and/or second CAR(s) comprise a CD28 endodomain and a OX40 or 41BB endodomain.

9. A cell according to any of claims 6 to 8 wherein the third CAR binds prostate-specific membrane antigen (PSMA) and the first and second CARs bind prostate-specific antigen (PSA).

5 10. A cell according to claim 6, wherein the first and/or second CAR(s) comprise(s) an inhibitory endodomain, such that when the first and second CARs bind the ligand, cell activation caused by the third CAR binding the cell surface antigen is inhibited.

10 11. A cell according to claim 2, wherein the first CAR comprises two antigen binding domains: one which binds the soluble ligand; and one which binds a cell-surface antigen.

15 12. A cell according to any of claims 1 to 5, wherein the second CAR comprises an inhibitory endodomain, such that when the first and second CARs bind the soluble ligand, cell activation caused by the first CAR binding the cell surface antigen is inhibited.

20 13. A cell according to claim 10 or 12, wherein the inhibitory endodomain is or comprises the catalytic domain of PTPN6 or an Immunoreceptor Tyrosine-based Inhibition motif (ITIM).

25 14. A cell according to any of claims 10 to 13 wherein the first and second CARs bind IL-6.

15. A cell according to claim 6, wherein the first and/or second CAR(s) comprise(s) an inhibitory endodomain, such that:

in the absence of the ligand, cell activation caused by the third CAR binding the cell surface antigen is inhibited; and

30 in the presence of ligand, the first and second CARs aggregate and segregate from the third CAR, so that signalling can occur when the third CAR binds the cell surface antigen.

35 16. A cell according to claim 15, wherein the inhibitory endodomain is or comprises the endodomain of CD45 or CD148.

17. A cell according to claim 11, wherein the first CAR lacks a functional endodomain and wherein the second CAR is monomeric and comprises a functional endodomain, such that binding of the soluble ligand causes co-localisation of the first and second CARs and enables T-cell signalling to occur when the first CAR binds the

5 cell surface antigen.

18. A cell according to claim 17, wherein the second CAR comprises a monomeric spacer.

10 19. A cell according to claim 18, wherein the monomeric spacer comprises one or more Ig domains from CD22.

20. A cell according to any of claims 17 to 19, wherein the functional endodomain on the second CAR comprises the CD3-zeta endodomain.

15 21. A nucleic acid construct which comprises a first nucleic acid sequence encoding a first CAR as defined in any of claims 1 to 20; and a second nucleic acid sequence encoding a second CAR as defined in any of claims 1 to 20.

22. A nucleic acid construct according to claim 21, which has the following  
20 structure:

AgB1-spacer1-TM1-endo1-coexpr-AbB2-spacer2-TM2-endo2

in which

25 AgB1 is a nucleic acid sequence encoding the antigen-binding domain of the first CAR;

spacer 1 is a nucleic acid sequence encoding the spacer of the first CAR;

TM1 is a nucleic acid sequence encoding the transmembrane domain of the first CAR;

30 endo 1 is a nucleic acid sequence encoding the endodomain of the first CAR;

coexpr is a nucleic acid sequence enabling co-expression of both CARs

AgB2 is a nucleic acid sequence encoding the antigen-binding domain of the second CAR;

spacer 2 is a nucleic acid sequence encoding the spacer of the second CAR;

35 TM2 is a nucleic acid sequence encoding the transmembrane domain of the second CAR;

endo 2 is a nucleic acid sequence encoding the endodomain of the second CAR;

which nucleic acid construct, when expressed in a T cell, encodes a polypeptide which is cleaved at the cleavage site such that the first and second CARs are co-expressed at the T cell surface.

5 23. A nucleic acid construct according to claim 22, wherein coexpr encodes a sequence comprising a self-cleaving peptide.

24. A nucleic acid construct according to claim 22 or 23, wherein alternative codons are used in regions of sequence encoding the same or similar amino acid 10 sequences, in order to avoid homologous recombination.

25. A nucleic acid construct according to any of claims 21 to 24, which also comprises a nucleic acid sequence encoding a third CAR as defined in any of claims 6 to 10, 15 and 16.

15 26. A vector comprising a nucleic acid construct according to any of claims 21 to 25.

27. A retroviral vector or a lentiviral vector or a transposon according to claim 26.

20 28. A kit which comprises:  
i) a vector comprising a nucleic acid sequence encoding a first CAR as defined in any of claims 1 to 5; and  
ii) a vector comprising a nucleic acid sequence encoding a second CAR as 25 defined in any of claims 1 to 5.

29. A kit according to claim 28 which also comprises a vector comprising a nucleic acid sequence encoding a third CAR as defined in claim 6.

30 30. A method for making a cell according to any of claims 1 to 20, which comprises the step of introducing: a nucleic acid construct according to any of claims 21 to 25; a vector according to claim 26 or 27; or a kit of vectors according to claim 28 or 29, into a cell.

35 31. A method according to claim 30, wherein the cell is from a sample isolated from a subject.

32. A pharmaceutical composition comprising a plurality of cells according to any of claims 1 to 20.

33. A method for treating and/or preventing a disease, which comprises the step 5 of administering a pharmaceutical composition according to claim 32 to a subject.

34. A method according to claim 33, which comprises the following steps:

(i) isolation of a cell-containing sample from a subject;

(ii) transduction or transfection of the cells with: a nucleic acid construct

10 according to any of claims 21 to 25; a vector according to claim 26 or 27; or a kit of vectors according to claim 28 or 29; and

(iii) administering the cells from (ii) to a the subject.

35. A method according to claim 34, wherein the sample is a T-cell containing 15 sample.

36. A method according to claim 34 or 35, wherein the disease is a cancer.

37. A pharmaceutical composition according to claim 32 for use in treating and/or 20 preventing a disease.

38. The use of a cell according to any of claims 1 to 20 in the manufacture of a medicament for treating and/or preventing a disease.

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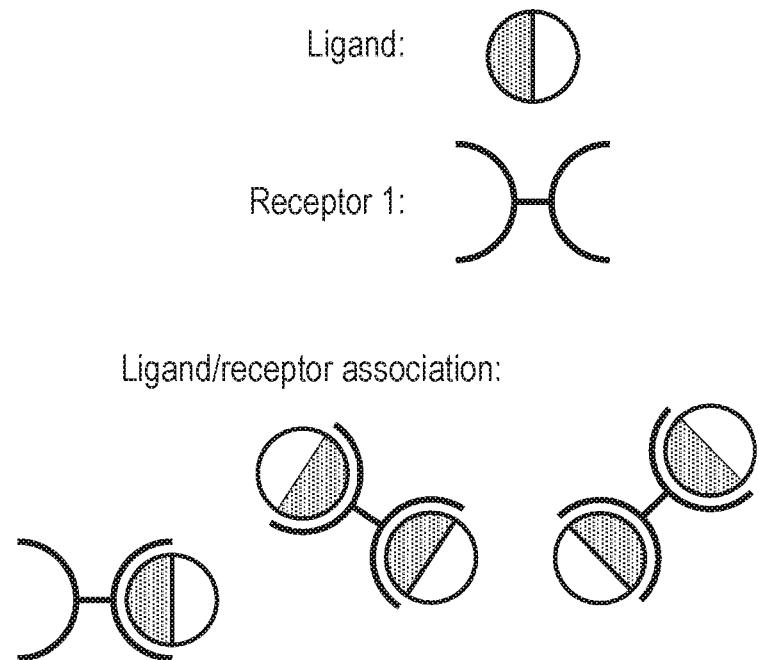


FIG. 1

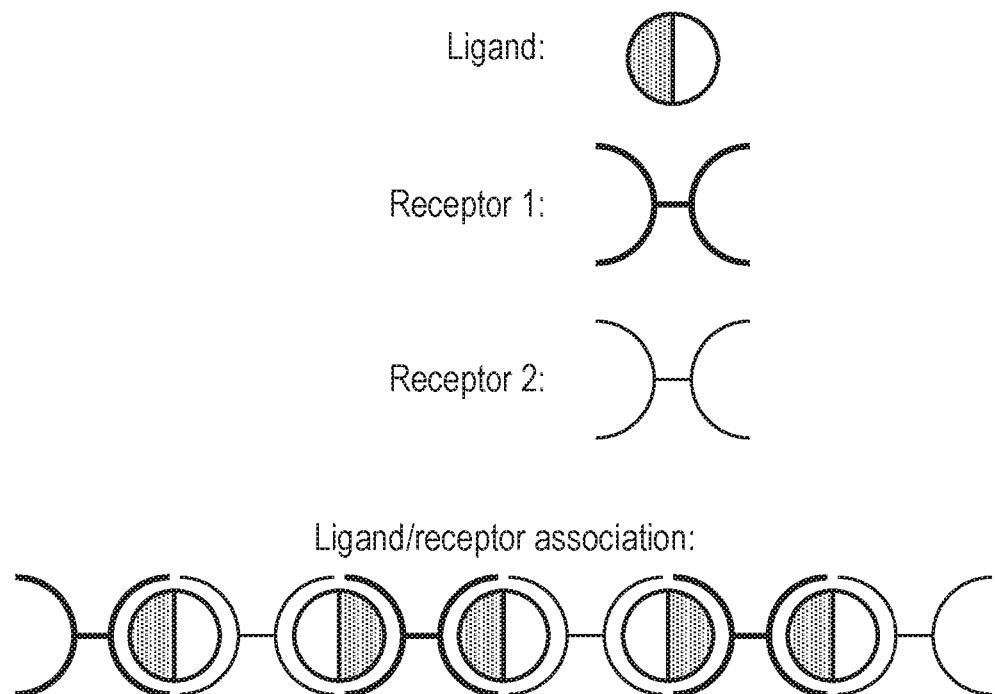


FIG. 2

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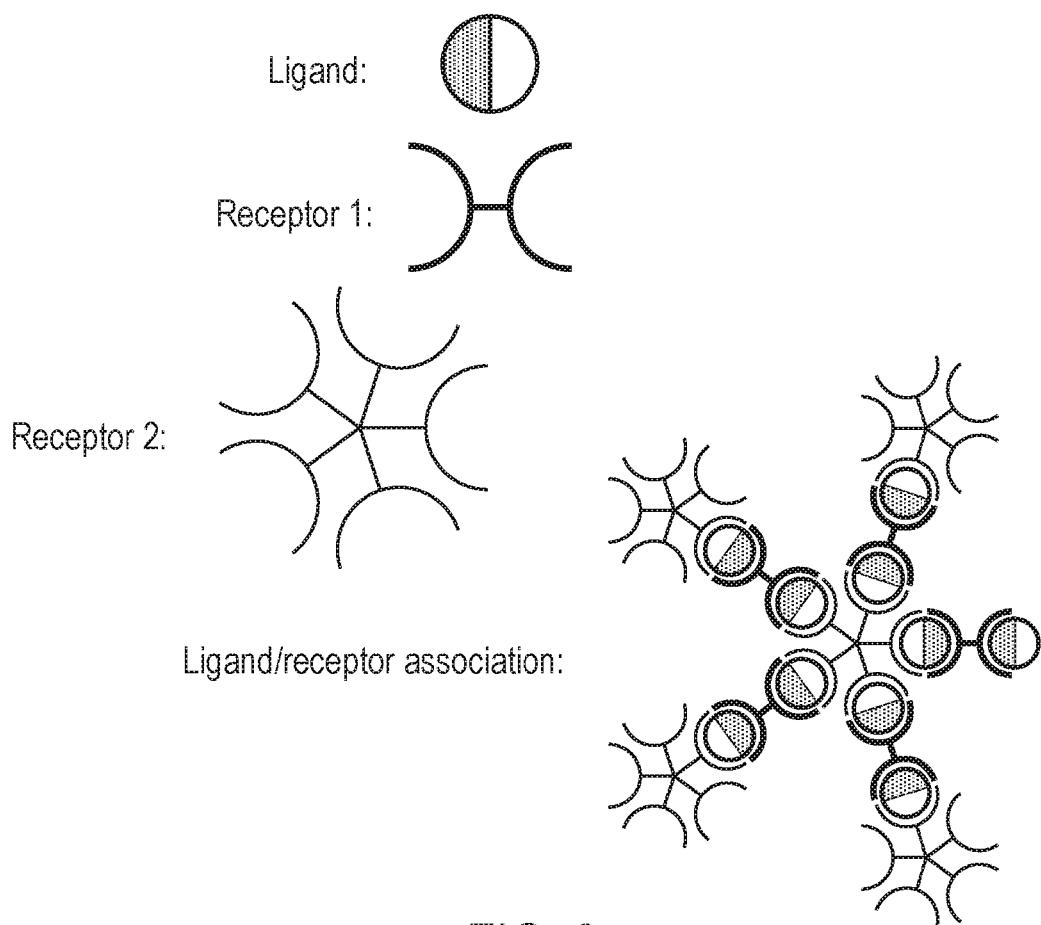


FIG. 3

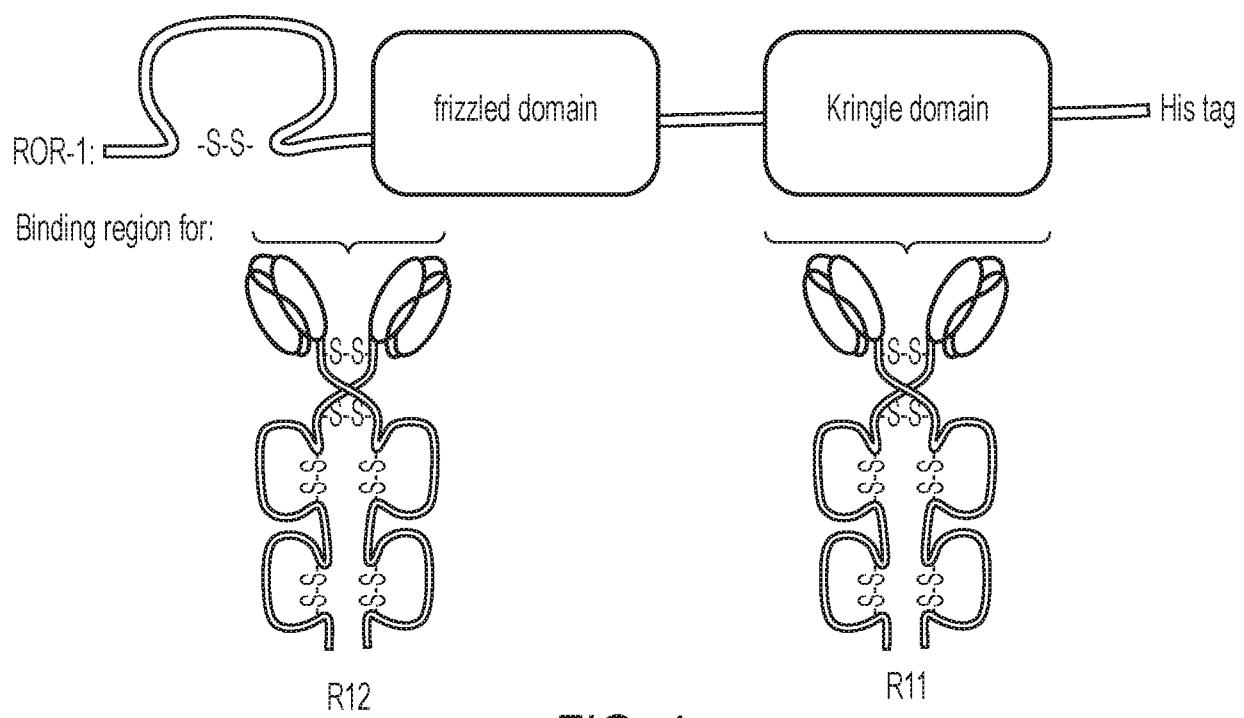


FIG. 4

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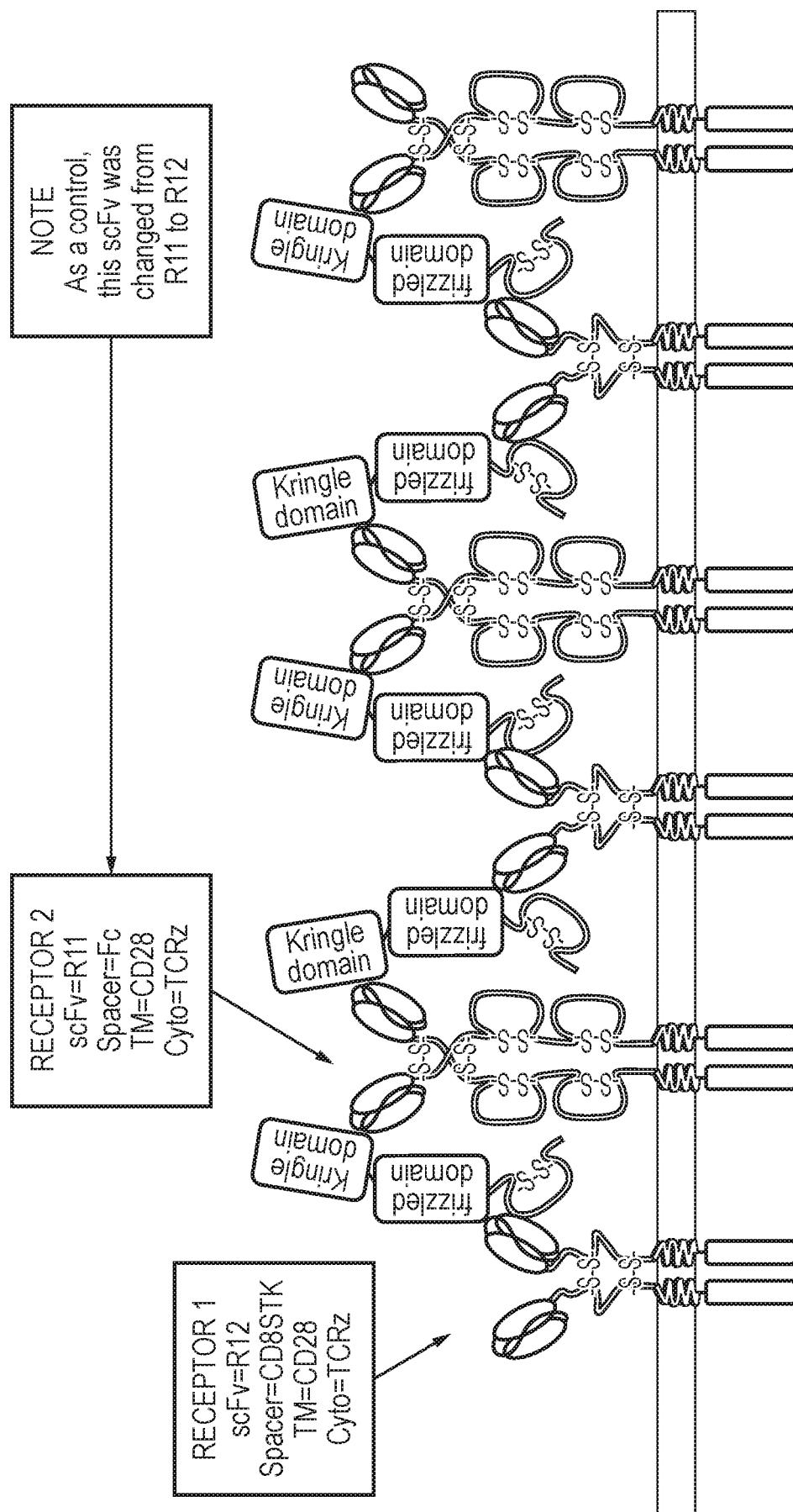


FIG. 5

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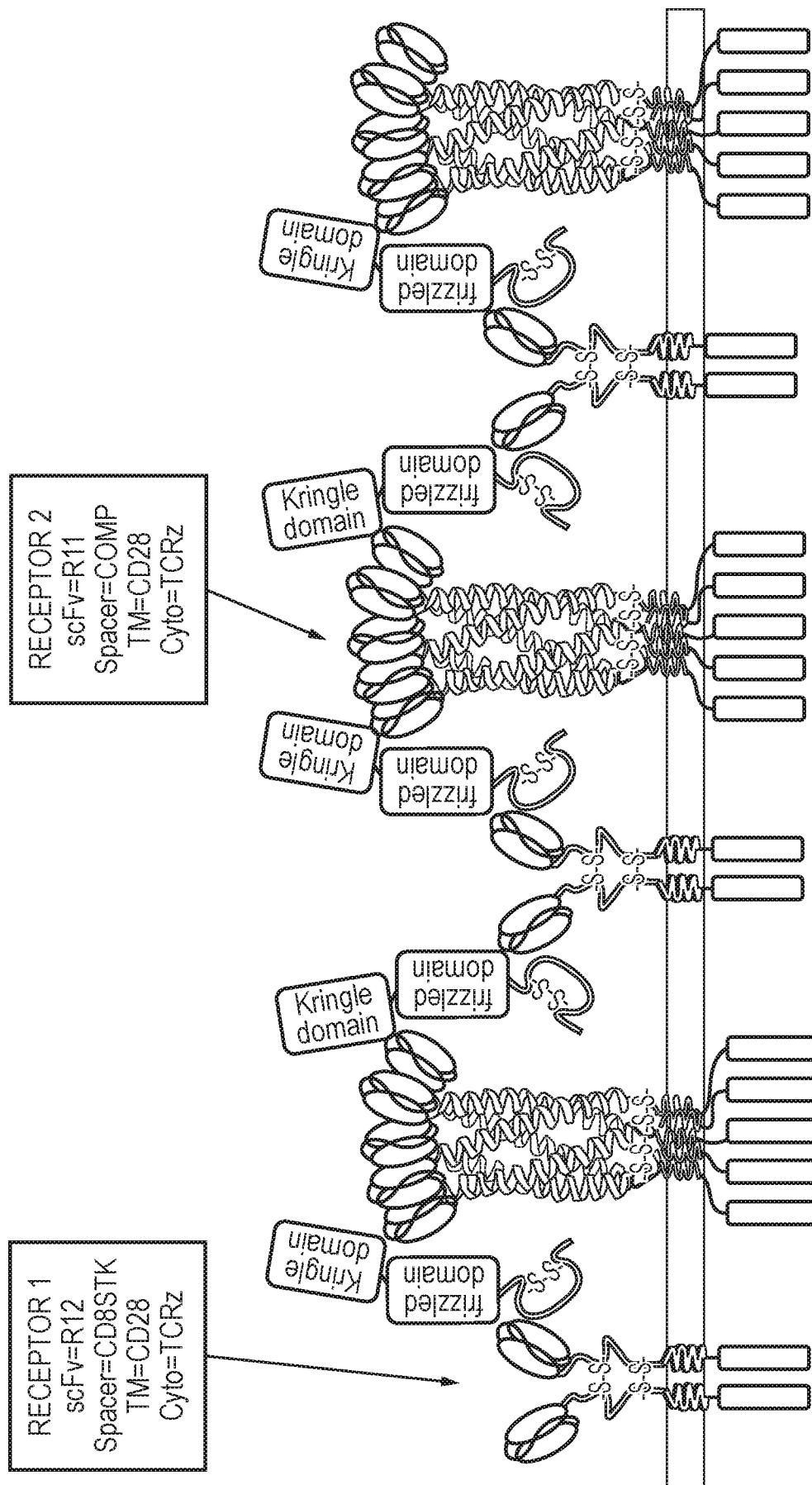


FIG. 6

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Dual CAR epitope soluble ROR-1-His

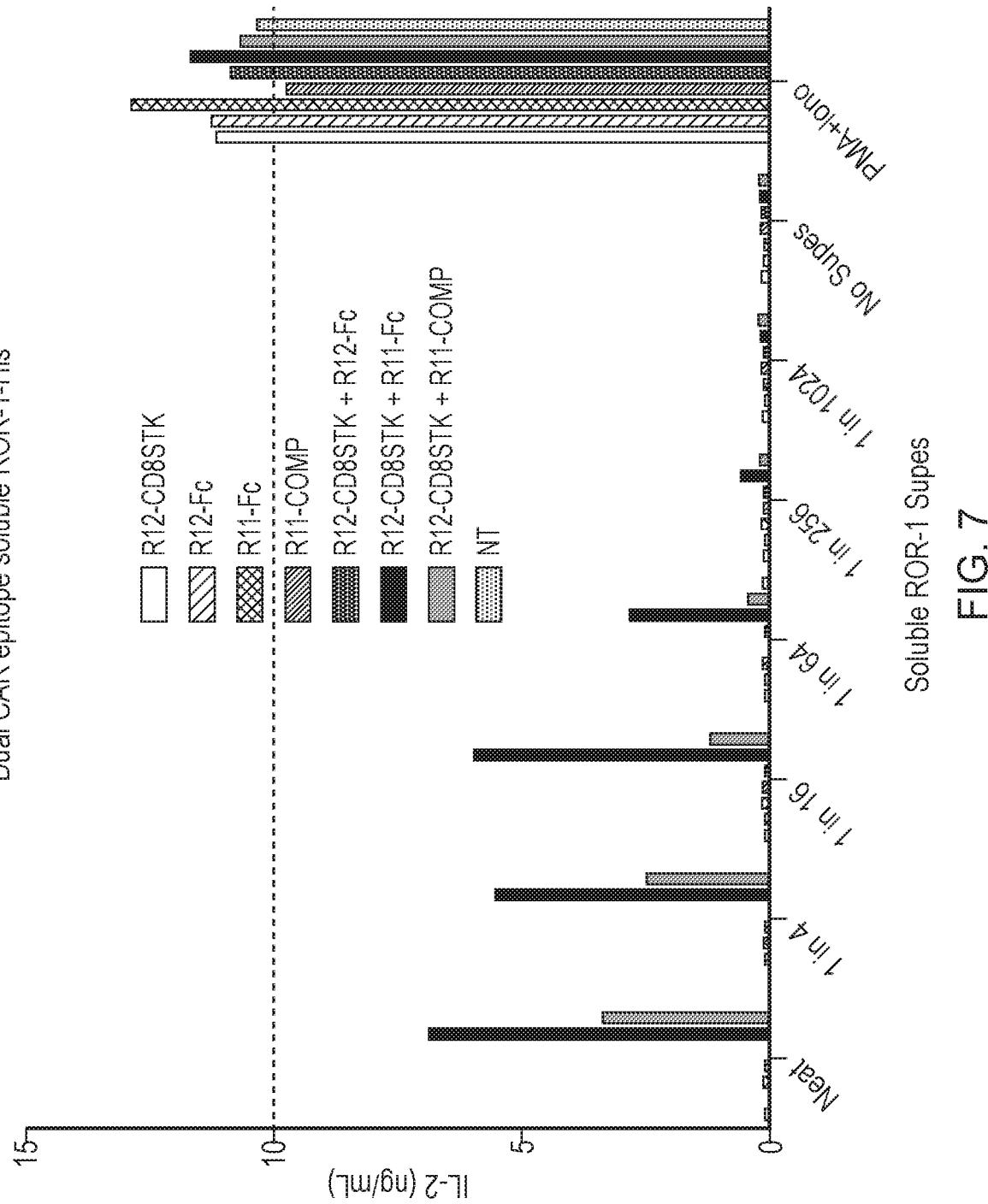


FIG. 7

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Dual CAR epitope His-beads and ROR-1-His

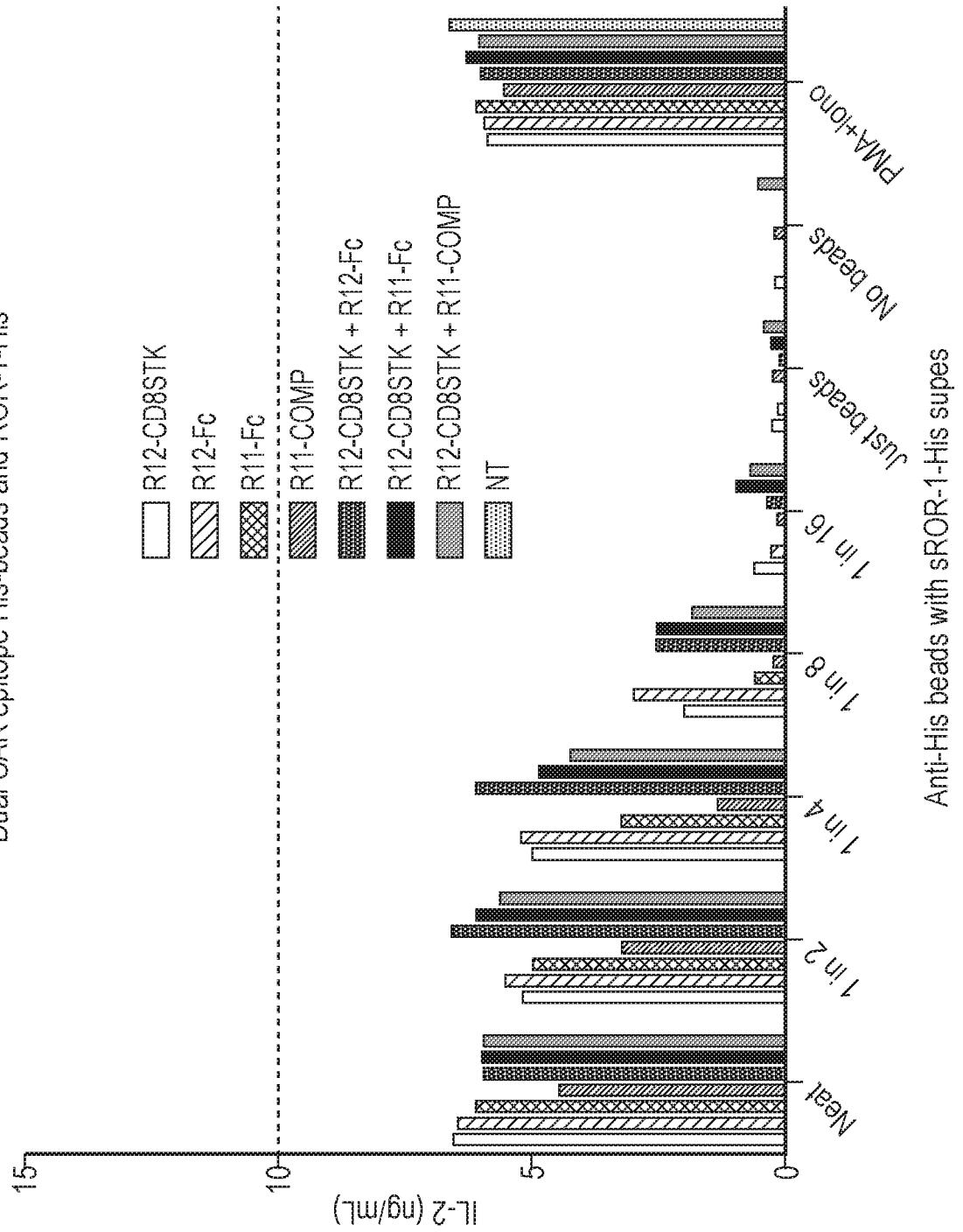


FIG. 8

Anti-His beads with sROR-1-His supes

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Enhancer CAR

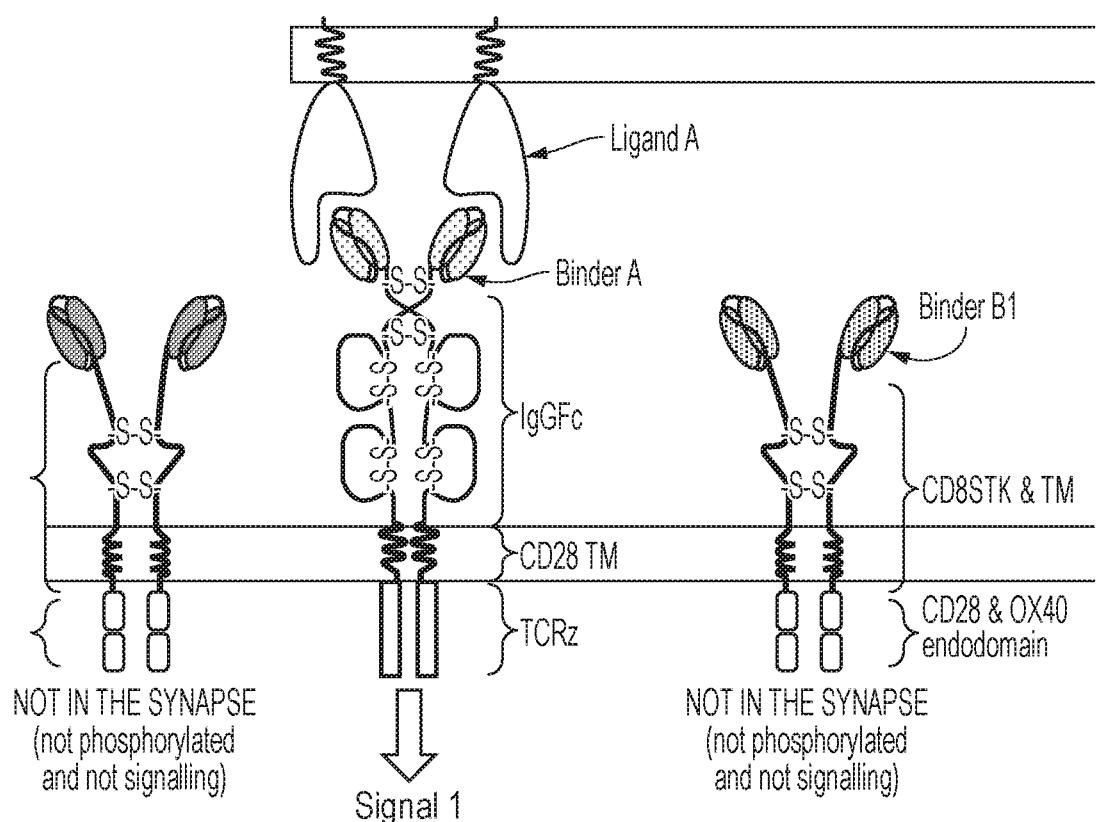
*Ligand A+*

FIG. 9

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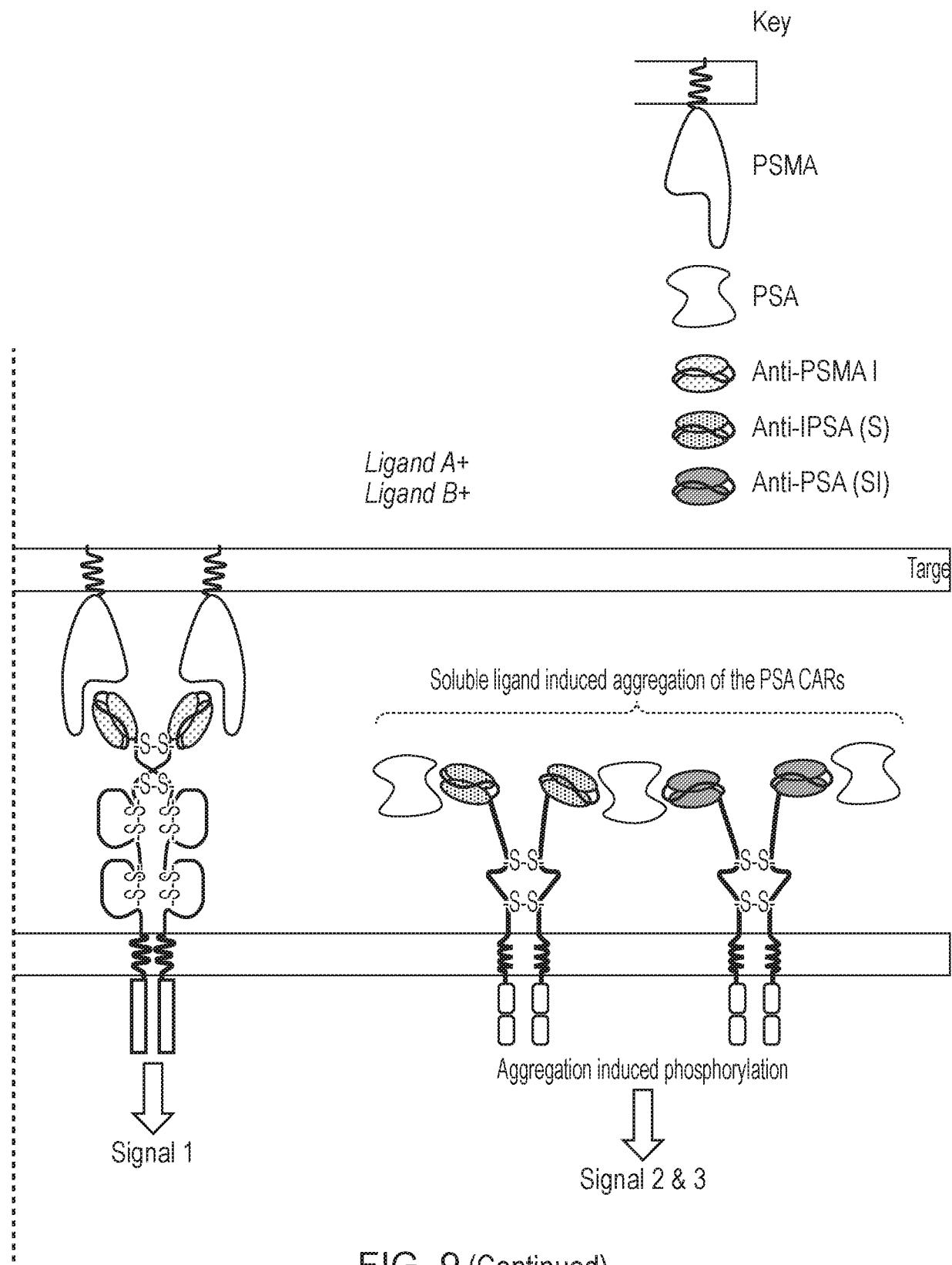


FIG. 9 (Continued)

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ANDNOT

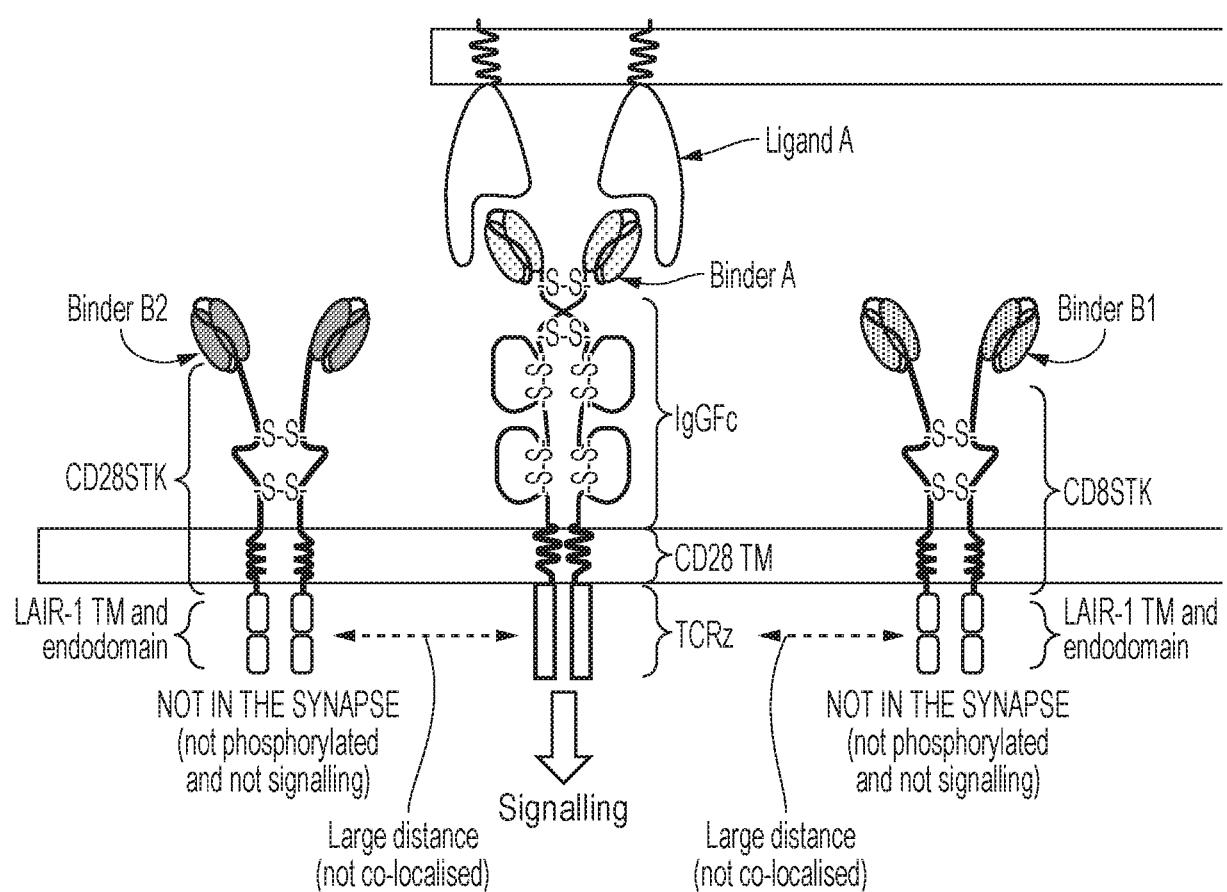
*Ligand A+*

FIG. 10

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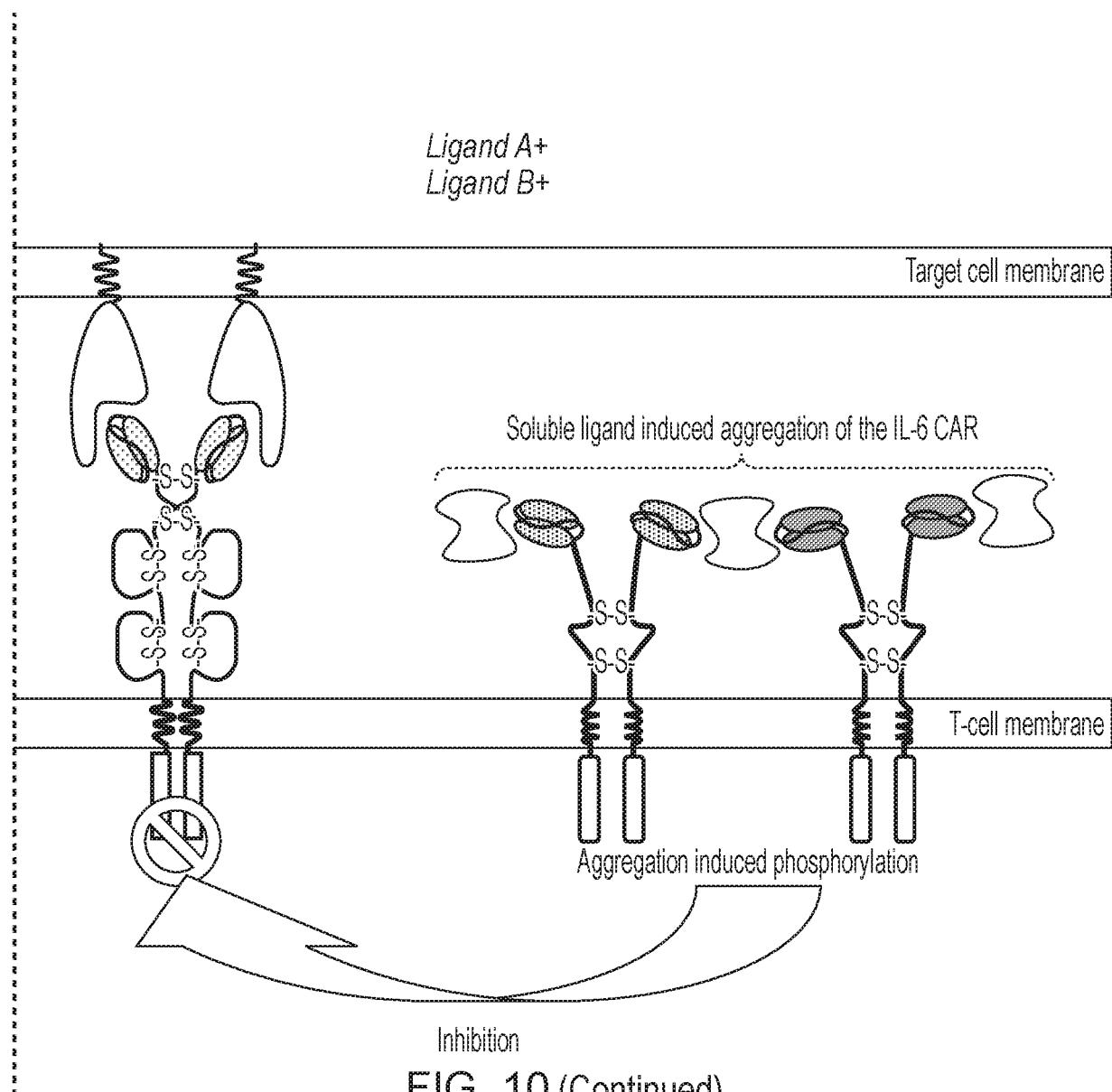


FIG. 10 (Continued)

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ANDNOT

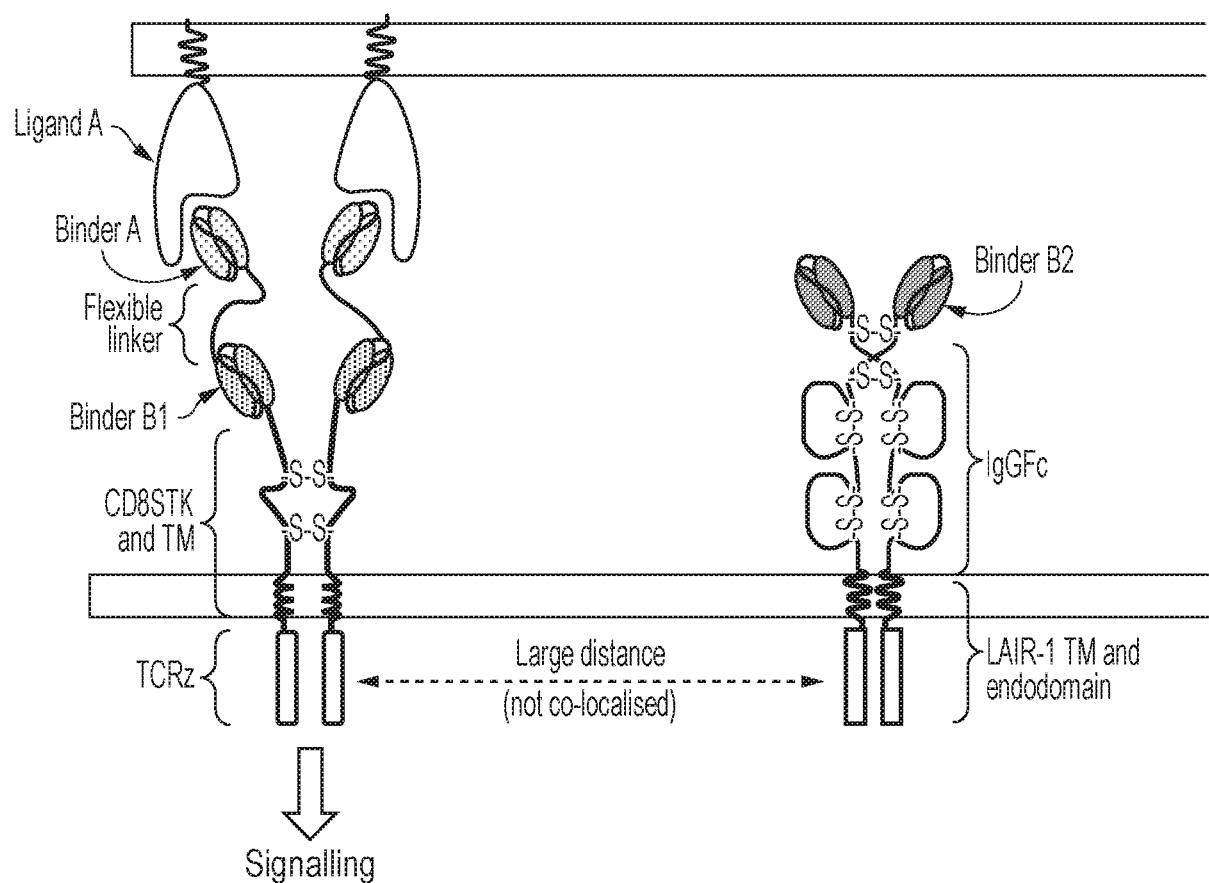
*Ligand A+*

FIG. 11

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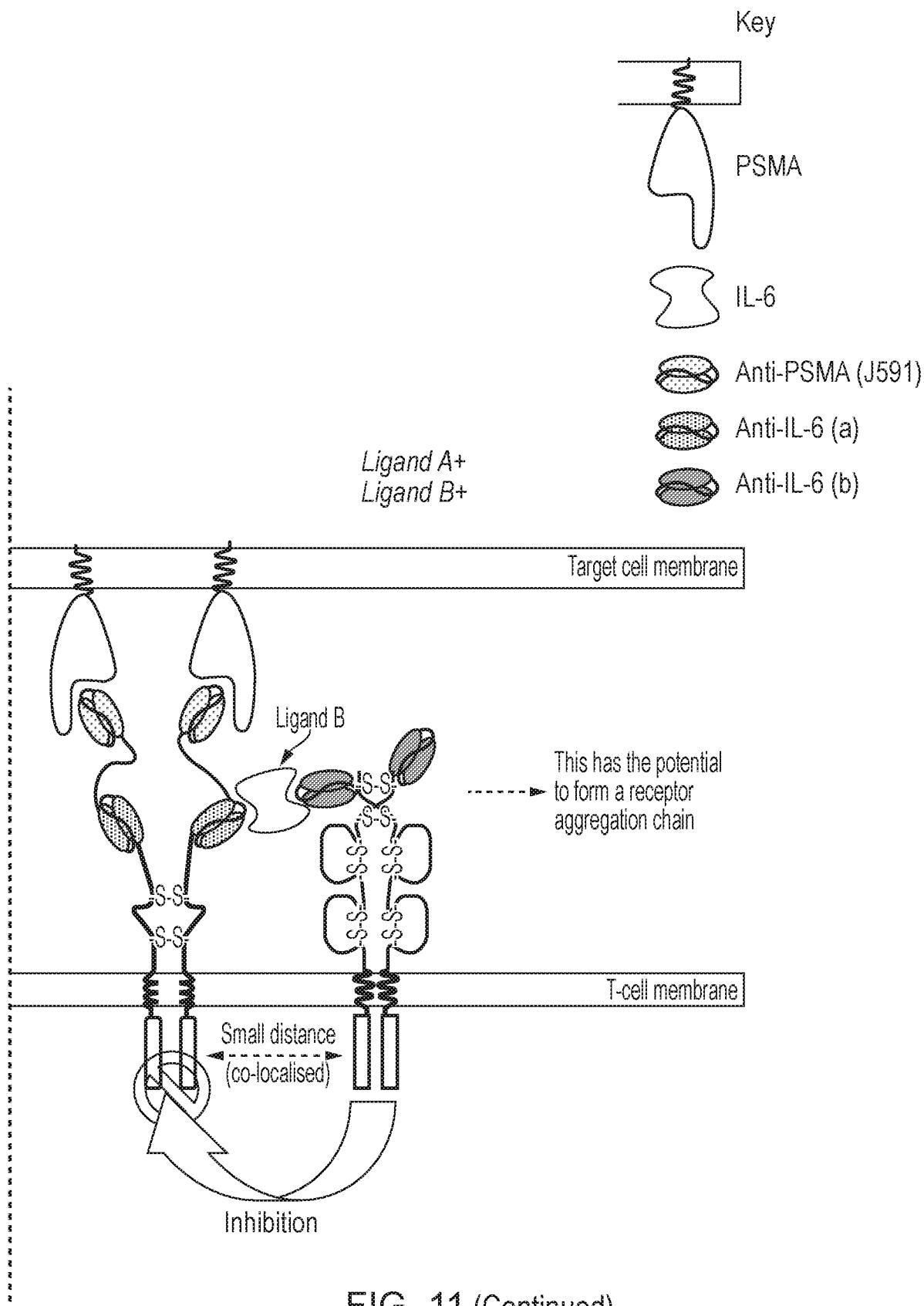


FIG. 11 (Continued)

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AND

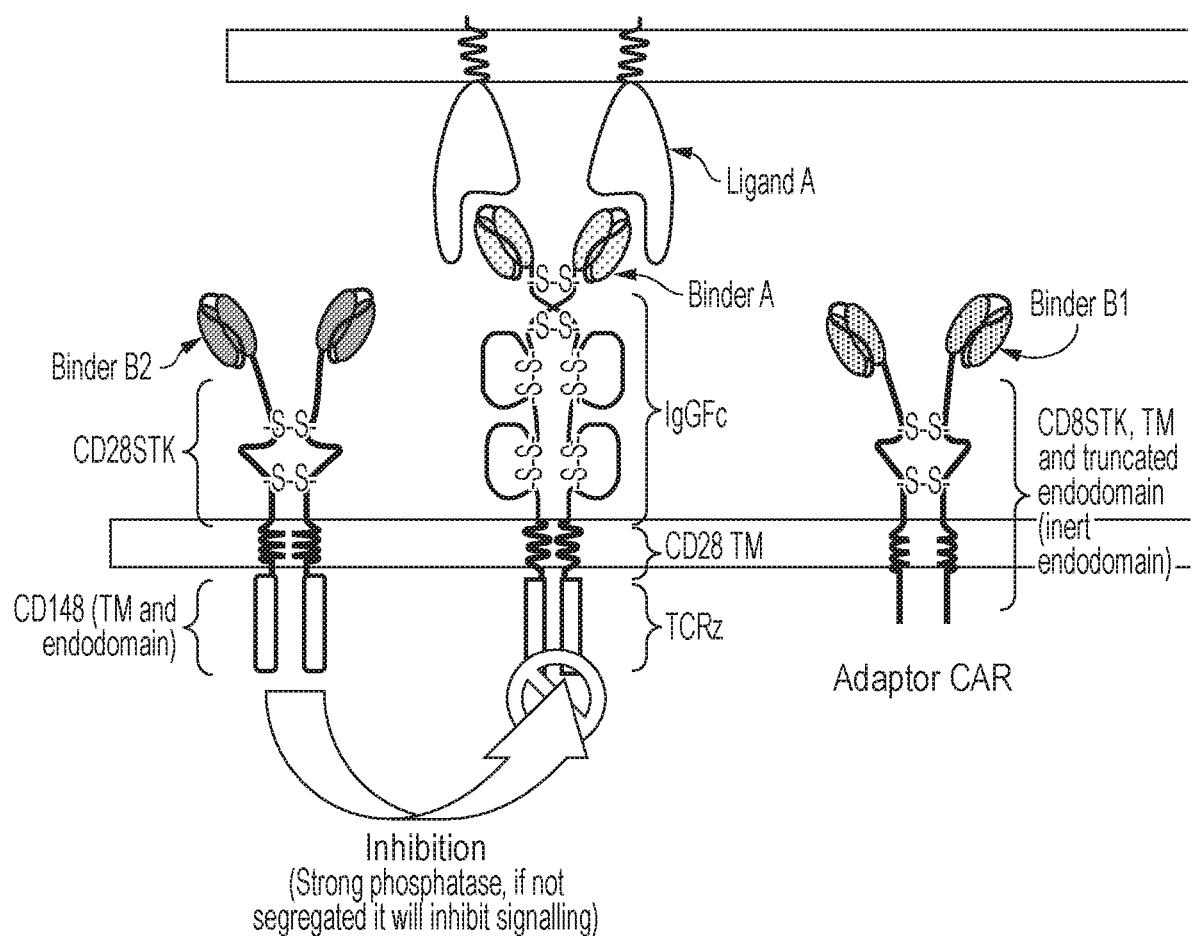
*Ligand A+*

FIG. 12

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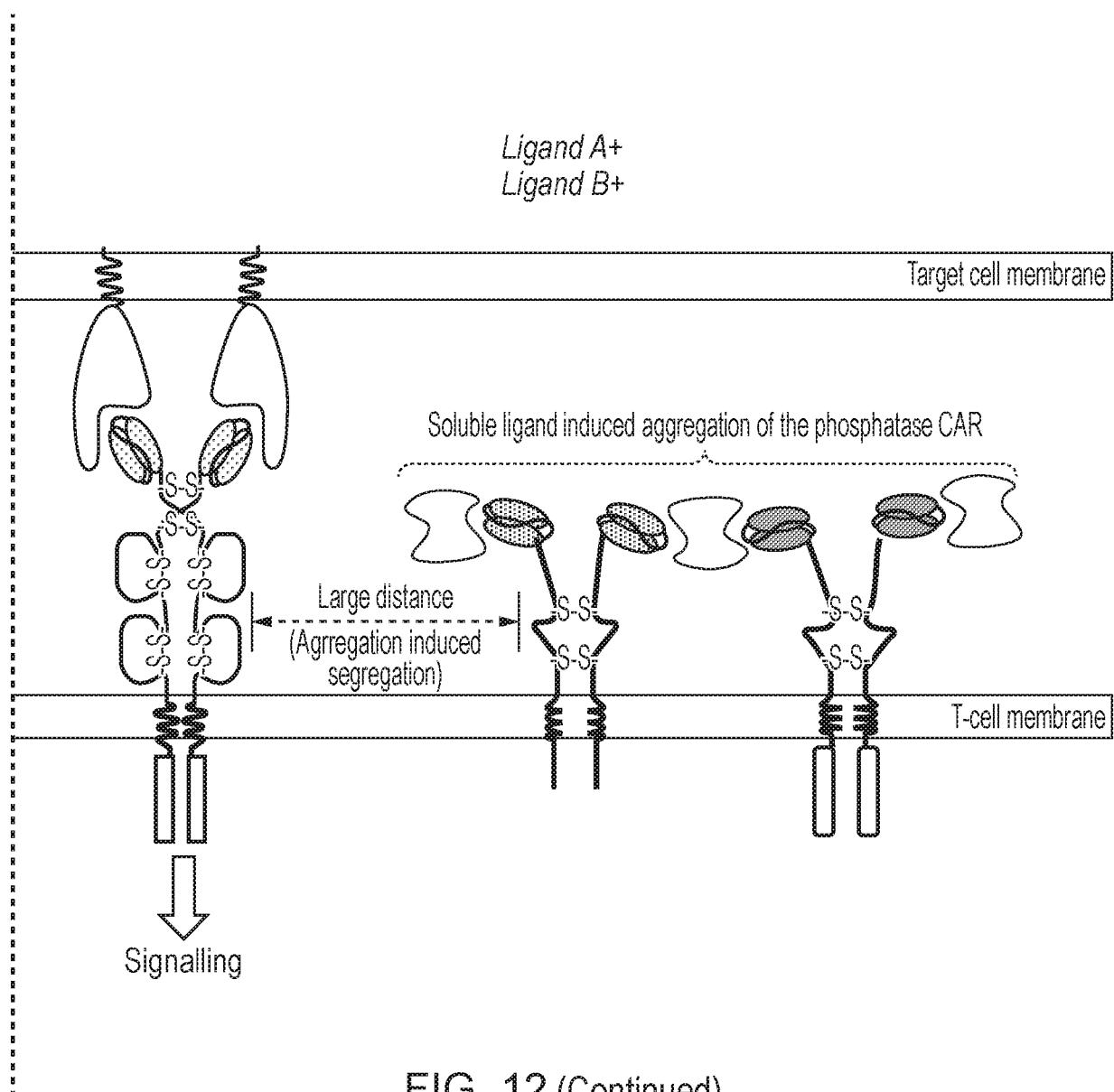


FIG. 12 (Continued)

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AND

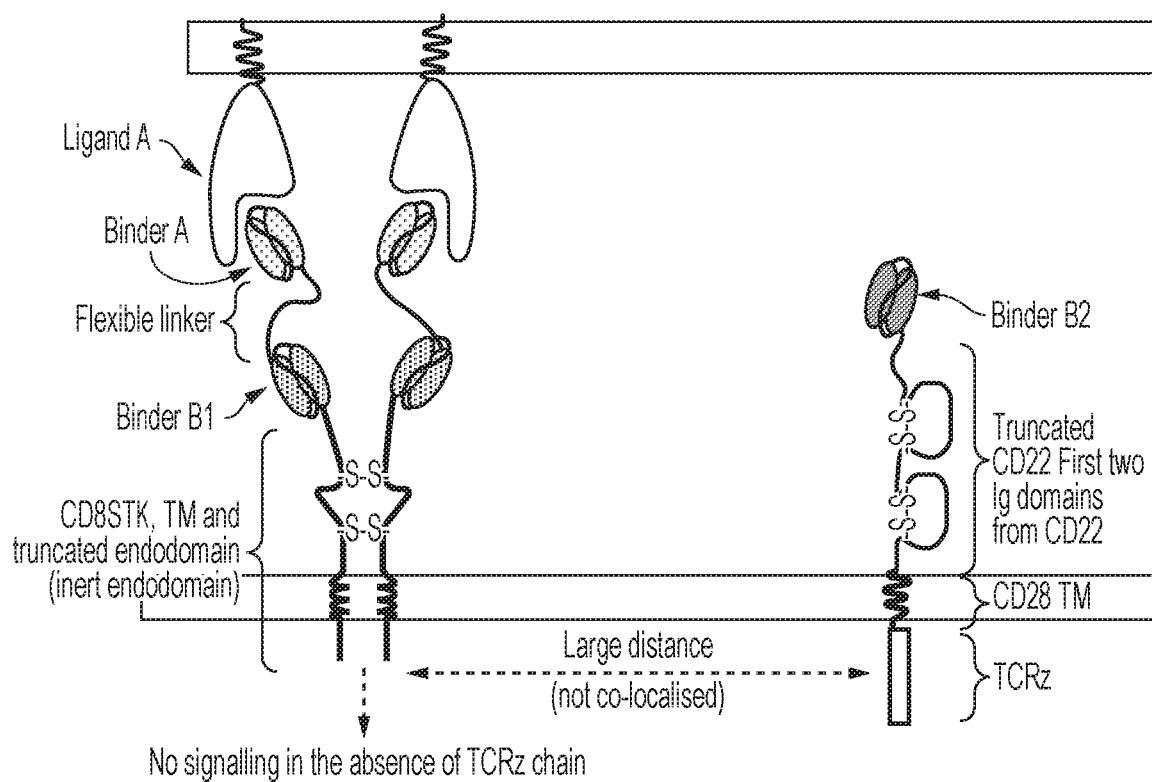
*Ligand A+*

FIG. 13

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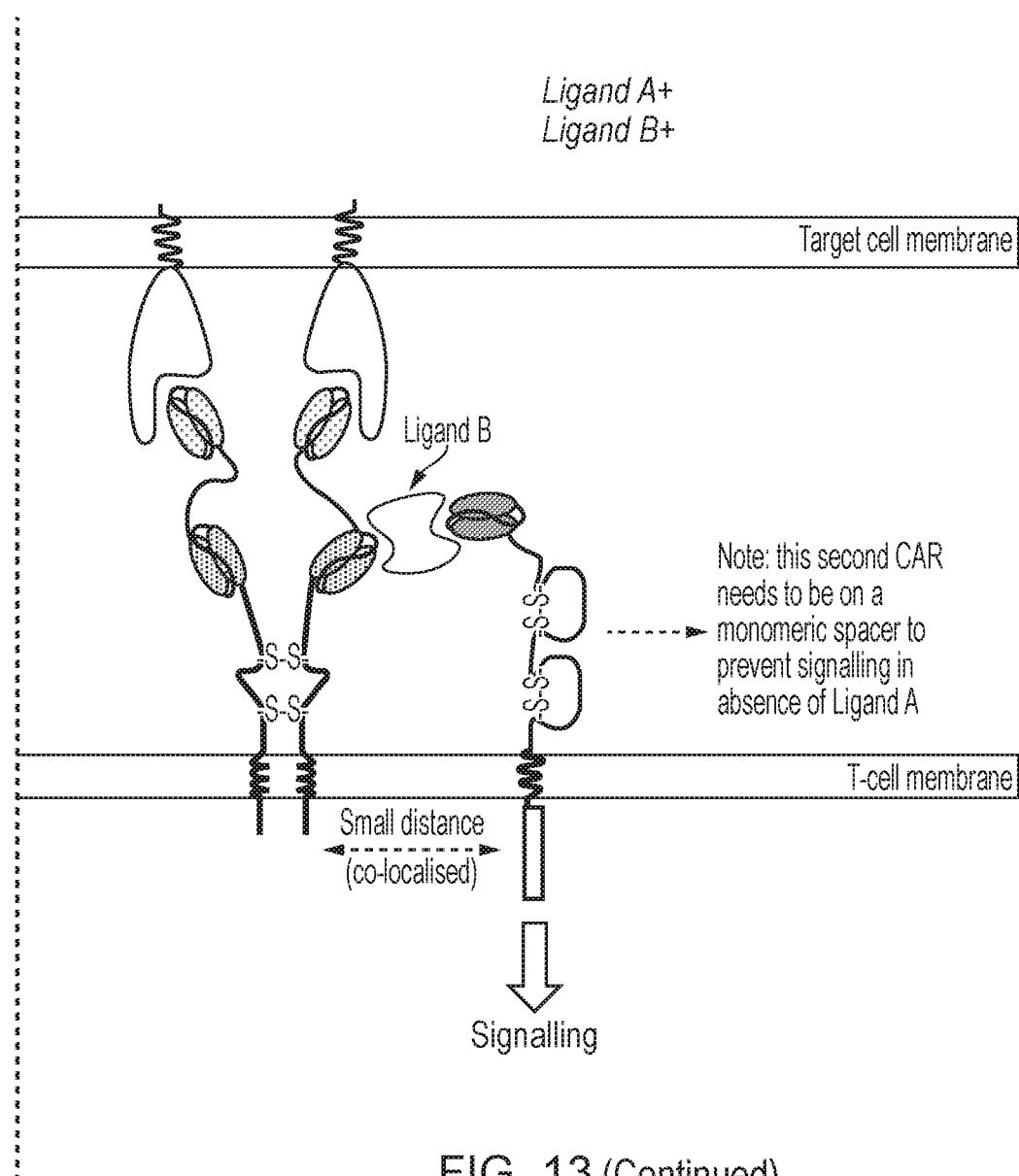


FIG. 13 (Continued)

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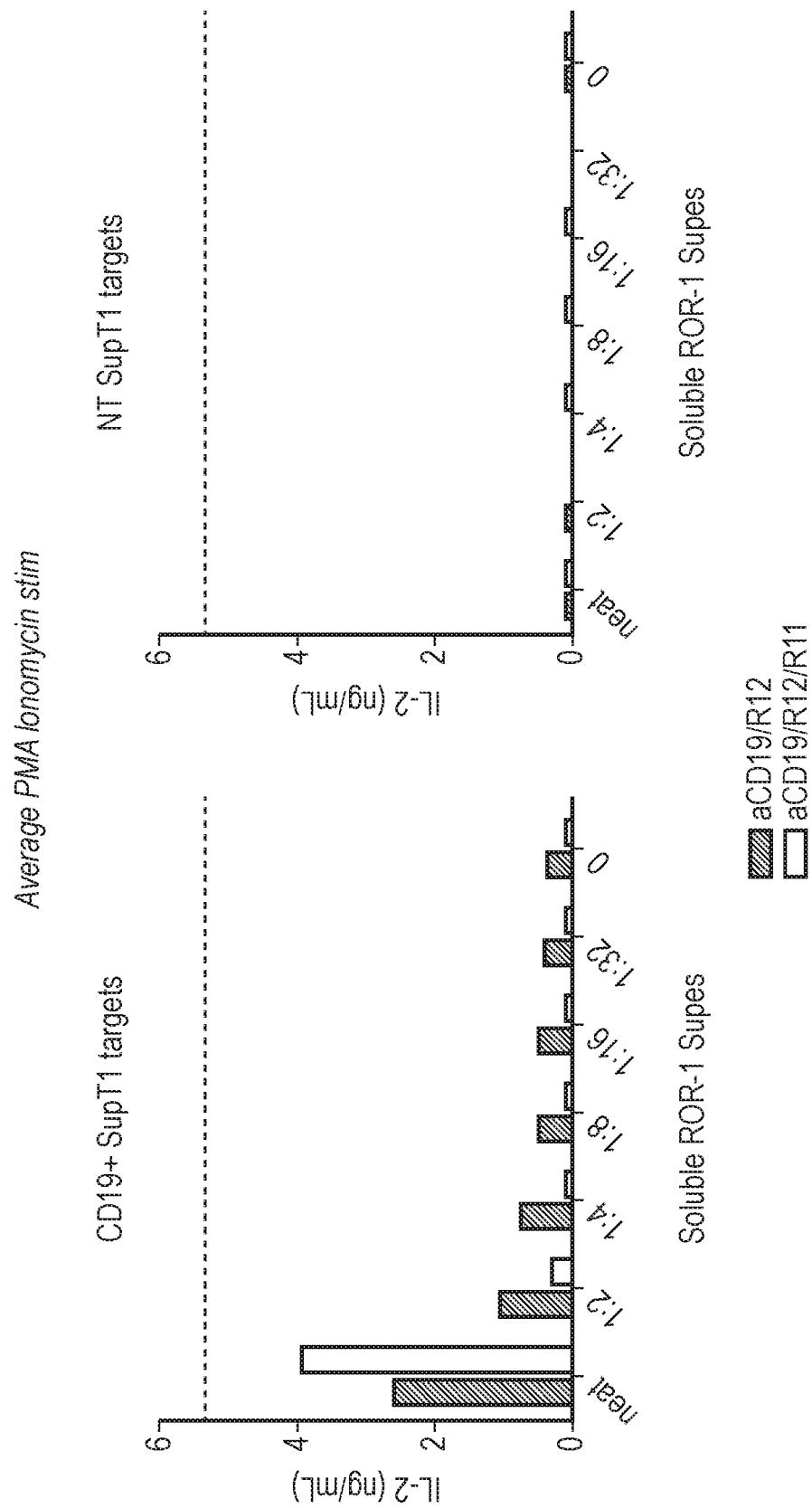


FIG. 14

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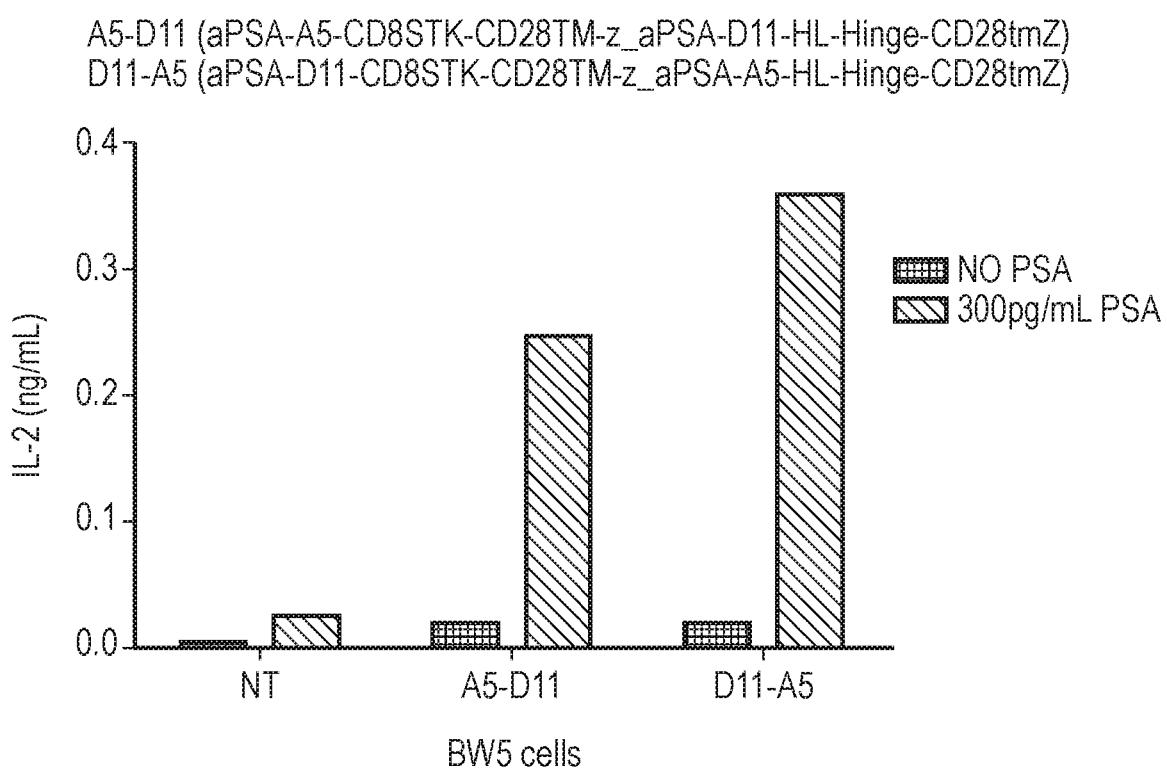


FIG. 15

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/GB2016/052563

**A. CLASSIFICATION OF SUBJECT MATTER**  
INV. A61K39/00 A61K39/395 A61K35/17 C07K16/30  
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
A61K C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data, BIOSIS

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>GHORASHIAN SARA ET AL: "CD19 chimeric antigen receptor T cell therapy for haematological malignancies.", BRITISH JOURNAL OF HAEMATOLOGY MAY 2015, vol. 169, no. 4, May 2015 (2015-05), pages 463-478, XP002762697, ISSN: 1365-2141</p> <p>page 461, column 1, paragraph 1; figure 1; table 1</p> <p>-----</p> <p>WO 2015/142675 A2 (NOVARTIS AG [CH]; UNIV PENNSYLVANIA [US]; LOEW ANDREAS [US]; MILONE MI) 24 June 2015 (2015-06-24)</p> <p>paragraph [0456]; claims 1-70</p> <p>-----</p> <p>-/-</p>	1-38
X		1-38

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

11 October 2016

Date of mailing of the international search report

25/10/2016

Name and mailing address of the ISA/  
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NL - 2280 HV Rijswijk  
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Fax: (+31-70) 340-3016

Authorized officer

Novak-Giese, Sabine

**INTERNATIONAL SEARCH REPORT**

International application No  
PCT/GB2016/052563

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 2014/145252 A2 (MILONE MICHAEL C [US]; WANG ENXIU [US]) 18 September 2014 (2014-09-18) claims 1-253 -----	1-38
Y	FIROR AMELIA E ET AL: "From humble beginnings to success in the clinic: Chimeric antigen receptor-modified T-cells and implications for immunotherapy.", EXPERIMENTAL BIOLOGY AND MEDICINE (MAYWOOD, N.J.) AUG 2015, vol. 240, no. 8, 1 August 2015 (2015-08-01), pages 1087-1098, XP9192018, ISSN: 1535-3699 page 1090, column 2, paragraph 2; figure 1 -----	1-38

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No

PCT/GB2016/052563

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 2015142675 A2	24-06-2015	NONE	
WO 2014145252 A2	18-09-2014	EP 2970426 A2 US 2014322183 A1 WO 2014145252 A2	20-01-2016 30-10-2014 18-09-2014

## 摘要

本發明提供細胞，其包含第一嵌合抗原受體(CAR)和第二 CAR，其中所述第一和第二 CAR 結合相同配體上的不同表位。所述細胞可以用於治療疾病例如癌症的方法。