

(12) STANDARD PATENT
(19) AUSTRALIAN PATENT OFFICE

(11) Application No. **AU 2002256209 B2**

(54) Title
Dynamic channel quality measurement procedure for adaptive modulation and coding techniques

(51)⁷ International Patent Classification(s)
H04Q 007/20 H04J 003/06

(21) Application No: **2002256209** (22) Date of Filing: **2002.04.15**

(87) WIPO No: **WO02/093951**

(30) Priority Data

(31) Number	(32) Date	(33) Country
10/029,569	2001.12.21	US
60/290,877	2001.05.14	US

(43) Publication Date: **2002.11.25**

(43) Publication Journal Date: **2003.05.01**

(44) Accepted Journal Date: **2005.07.28**

(71) Applicant(s)
InterDigital Technology Corporation

(72) Inventor(s)
Dick, Stephen G.; Miller, James M.; Zeira, Eldad; Zeira, Ariela; Terry, Stephen E.

(74) Agent / Attorney
Watermark Patent & Trademark Attorneys, 290 Burwood Road, Hawthorn, VIC, 3122

(56) Related Art
US 5701294 A (Ward et al.) 23 December 1997

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
21 November 2002 (21.11.2002)

PCT

(10) International Publication Number
WO 02/093951 A1

(51) International Patent Classification⁷: H04Q 7/20,
H04J 3/06

(US). ZEIRA, Eldad; 329 West Neck Road, Huntington, NY 11743 (US). ZEIRA, Ariela; 239 West Neck Road, Huntington, NY 11743 (US).

(21) International Application Number: PCT/US02/11731

(74) Agents: VOLPE, Anthony, S. et al.; Volpe and Koenig, P.C., Suite 400, One Penn Center, 1617 John F. Kennedy Boulevard, Philadelphia, PA 19103 (US).

(22) International Filing Date: 15 April 2002 (15.04.2002)

(25) Filing Language: English

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.

(26) Publication Language: English

(30) Priority Data:
60/290,877 14 May 2001 (14.05.2001) US
10/029,569 21 December 2001 (21.12.2001) US

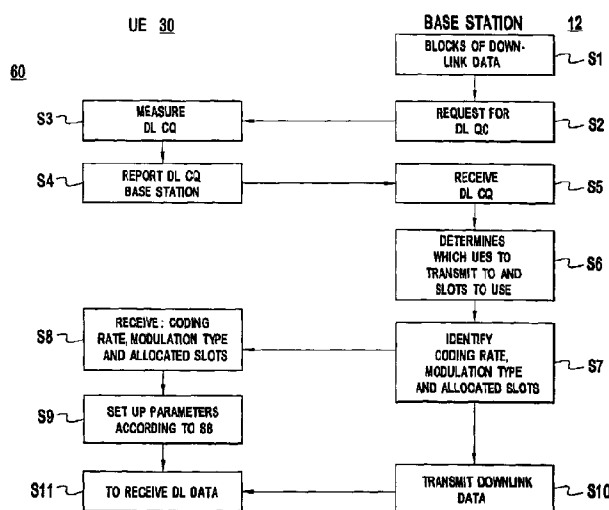
(71) Applicant: INTERDIGITAL TECHNOLOGY CORPORATION [US/US]; 300 Delaware Avenue, Suite 527, Wilmington, DE 19801 (US).

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(72) Inventors: TERRY, Stephen, E.; 15 Summit Avenue, Northport, NY 11768 (US). DICK, Stephen, G.; 61 Bobann Drive, Nesconset, NY 11767 (US). MILLER, James, M.; 18 Louisburg Square, Verona, NJ 07044

[Continued on next page]

(54) Title: DYNAMIC CHANNEL QUALITY MEASUREMENT PROCEDURE FOR ADAPTIVE MODULATION AND CODING TECHNIQUES



(57) Abstract: A wireless digital communication method for communicating between a base station (12) and a plurality of user equipment mobile terminals (UEs 30) and employs adaptive modulation and coding to achieve improved radio resource utilization and provide optimum data rates for user services. Blocks of downlink (DL) data are received by the base station which requests downlink DL channel quality measurements only from those mobile terminals (30) with pending downlink transmissions. The UEs respond to the request by measuring and reporting DL Channel quality to the base station, which then allocates resources such that the UEs will make best use of radio resources. The base station notifies the UEs of the physical channel allocation indicating the modulation/coding rate and allocated slots followed by transmission of blocks of downlink data which are transmitted to the UEs.



WO 02/093951 A1

WO 02/093951 A1



Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

[0001]

DYNAMIC CHANNEL QUALITY
MEASUREMENT PROCEDURE FOR
ADAPTIVE MODULATION AND CODING TECHNIQUES

[0002]

BACKGROUND

[0003] The present invention relates to wireless digital communication systems. More particularly, the present invention relates to communication stations which employ code-division multiple access (CDMA) technology. Specifically, the present invention relates to determining radio conditions for use in optimizing radio resource utilization as well as selecting data rates for user services.

[0004] In code-division multiple access (CDMA) third generation (3G) cellular telecommunication systems, adaptive modulation and coding (AM&C) techniques are applied to transmissions in order to achieve improved radio resource utilization and provide increased data rates for user services under appropriate conditions. These AM&C techniques take into account radio conditions in advance of transmissions in order to determine modulation and coding rates that take the greatest advantage of current radio propagation conditions utilizing these techniques.

[0005] Utilizing these AM&C techniques, a procedure is required that provides a physical channel quality measurement from the receiver in advance of each transmission. Based on this quality measurement, the transmitter determines the appropriate modulation and coding rate for the particular transmission.

[0006] In CDMA systems, as with any wireless systems, radio conditions can change rapidly due to a wide variety of both natural and man-made conditions. Since the channel quality measurement is used to determine transmission modulation and coding, and since channel quality changes rapidly due to the changing conditions of the transmission path, the performance of the adaptive transmission process is directly related to the length of the time period between when the channel quality measurement is performed and when the transmission is initiated.

[0007] Physical or logical control channels are then used to transfer the channel quality measurements from the receiver to the transmitter. Channel quality signaling may utilize either dedicated control channels to each user equipment (UE) or common control channels shared by all UEs. A UE may be a cellular phone, PDA (personal data assistant) or any other type of wireless device. When dedicated control channels are used, a continuous signaling channel is available over time for propagation of channel quality measurements for each UE. This is an optimal solution for AM&C since the quality measurement is continuously available. Transmissions can occur at any time, taking into account the continuously available quality measurement for appropriate modulation and coding settings. Additionally, with a dedicated control channel always available in the uplink, the channel can be also used to support low rate uplink data transmissions.

[0008] The difficulty with the dedicated control channel approach is that physical resources are continuously allocated even when there is no data to transmit. A primary application of AM&C techniques is non-real time high data rate services, for example, Internet access. For these classes of service, the best quality of service (QoS) is achieved with short, high rate transmissions with relatively long idle periods between each transmission. These long idle periods result in an inefficient use of dedicated resources. This limits the number of users which can access the service.

[0009] The problem can be minimized with pre-configured periodic dedicated channel allocations. But this results in periodic availability of quality measurements. If the quality measurements are not continuously available, for UEs which have transmissions at any one point in time, only some portion of the UEs will have recent channel quality measurements, thus the selection of UE to transmit to becomes sub optimal.

[0010] Another alternative is the use of common control channels. With common control channels, a continuous signaling channel exists that is shared between all UEs within the cell. Procedures are defined for determining each UEs access to the common control channel. UE identities are used to distinguish UE

specific transactions.

[0011] The difficulty with the common control approach for support of AM&C is the large amount of signaling overhead necessary to administrate each UE's access to the control channel. As aforementioned, UE identities are required to distinguish UE specific transactions. Additionally, to avoid contention-based access to the uplink common control channel, individual allocations are required to be signaled on the downlink common control channel for each UE's access. Since uplink transmissions cannot always be predicted, periodic allocations of the uplink control channel must be signaled on the downlink common control channel, which results in considerable signaling overhead. Also, the common control approach does not provide for low rate, uplink data transmissions.

[0012] In summary, the efficient performance of AM&C techniques is primarily based on the availability of recent physical channel quality measurements from the receiver in advance of each transmission. Optimally, measurements are available with minimal latency for all users with active data transmissions. The dedicated control channel solution provides continuous measurements, but since transmissions are discontinuous, this is an inefficient use of radio resources. Periodic configured dedicated control channels minimize the radio resource requirement, but this increases measurement latency. The common control channel method can provide measurements on a continuous or periodic basis, but the signaling overhead results in an inefficient use of radio resources.

[0013] There exists a need for a system that provides channel quality measurements with low latency and low signaling overhead.

[0014] BRIEF DESCRIPTION OF THE DRAWING(S)

[0015] The objectives of the present invention will become apparent upon consideration of the accompanying detailed description and figures, in which:

Figure 1 is a flow chart illustrating one preferred Dynamic Channel Quality Measurement Procedure (DCQMP) of the present invention.

Figure 2 shows an alternative embodiment of the DCQMP of the present invention shown in Figure 1.

5 **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT(S)**

Presently preferred embodiments are described below with reference to the drawing Figures wherein like numerals represent like elements throughout.

Figure 1 is a flow diagram which illustrates the dynamic channel quality (CQ) measurement procedure 60 of the present invention which may be implemented by a wireless digital communication system having a base station/node B (hereinafter base station 12) which communicates with at least one UE 30. Although it is intended for the presently inventive method to support communications between a base station and a plurality of UEs, for simplicity the following description will detail the steps performed by a single UE; it being understood that other UEs will operate in a similar manner.

Blocks of downlink (DL) data are transferred to the base station 12 which are designated for a particular UE 30 (step S1).

The base station 12, responsive to receipt of downlink data and in advance of a transmission to the UE 30, requests DL CQ measurements only from a UE 30 having pending downlink transmissions (step S2).

The UE 30 receives the request and makes the requested CQ measurement at step S3 and reports the DL CQ measurement to the base station 12 at step S4.

Based on the CQ measurement reports received from each UE (step S5), the base station 12 determines which of the UEs will make the best use of radio resources, and determines which slots to use (step S6). Preferably, the UEs are prioritized by their CQ so that the UE with the highest CQ is sent its data first and then the UE with the second highest CQ is sent its data second, and so on until the UE with the lowest CQ is sent its data last.

Since the CQ measurement requests and the responsive CQ measurement reports are only generated when needed, the signaling overhead required for a common control channel is greatly reduced. Measurement reports

are available for all active transmitting users, similar to the dedicated control channel case, but avoiding the resource inefficiency during idle periods.

The priority of transmissions is determined according to the DL CQ measurements, and the DL physical channel allocation is signaled to the appropriate UEs, indicating the particular coding rate, modulation type and allocated slots (step S7). The designated UE receives the coding rate, modulation type and allocated slots (step S8), and sets these parameters for reception (step S9).

Blocks of downlink data are then transmitted by the base station 12 to the designated UE 30 (step S10) a given, but short, time after performance of step S7 to enable the UE 30 time to set up for reception. The UE 30 receives the downlink data (step S11) at the specified coding rate, modulation type and in the allocated slots specified at step S7.

The present invention thus provides the fundamental requirements for AM&C operation while maintaining the most efficient use of radio resources. Since DL CQ measurements are available with the minimum possible latency for all transmissions, the choice of the best user(s) to provide service in the next transmission time frame is optimized. Additionally, measurements provided by periodic or continuous mechanisms do not provide increased benefit, performance gain or enhancement over the present invention.

Implementation of the present invention also minimizes measurement processing and the associated power consumption, especially important in the UE, which is typically powered by a small power source of limited capacity, (i.e. a chargeable battery). Since a quality measurement is only requested for a particular active transmission, the number of required measurements are minimized.

In accordance with an alternative embodiment of the method 70 of the present invention shown in Figure 2, only certain quality measurements may be required depending on the radio resources used for a particular transmission. For example, in the 3G standards, the CQ for only specific physical timeslots may be requested. Therefore, the number of measurements performed is reduced by limiting the requirement of a CQ measurement to only active transmissions and, depending on the scale of the transmission, only requiring measurement on

particular radio resources, (i.e., specific time slots). This is shown in Figure 2, which is similar to Figure 1 except for modified steps S2A and S3A, which replace steps S2 and S3 respectively of Figure 1. In step S2A, the base station 12 requests the UE 30 to perform a measurement only on a particular radio resource. In response, the UE performs the DL CQ measurement on the specified radio resource (step S3A).

The present invention provides many advantages over prior art schemes. First, the invention provides the highest efficiency utilization of the air interface since only those UEs having pending transmissions will be required to respond to a request for DL CQ measurements. This permits the overhead signaling to be at a minimum.

Second, since the transmissions are prioritized according to the highest quality DL CQ measurements, the highest data rates permissible will be achieved for each time slot or multiple time slots.

Third, since UEs are only required to respond to the request for the DL CQ measurements, unnecessary measurements by the UEs will not be required, thereby saving the battery life of the UEs.

A final advantage of the present invention is the increased number of users that may be supported in a cell for both of the methods disclosed herein. The number of users that are supported is limited in the dedicated control channel method by the requirement for dedicated radio resources; and in the common control channel method by signaling overhead requirements. By limiting the measurement signaling procedures to active users, the present invention minimizes the common control signaling overhead and supports the greatest number of users in the cell.

[0035] While the present invention has been described in terms of the preferred embodiment, other variations which are within the scope of the invention as outlined in the claims below will be apparent to those skilled in the art.

* * *

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A method of optimizing downlink data transfer in a wireless communication system including a base station and a plurality of mobile terminals (MTs), said method including:

5 a) in response to said base station receiving blocks of downlink data designated for transmission to at least one of said MTs, said base station transmitting a request for said at least one MT to perform a downlink channel quality measurement;

10 b) in response to said at least one MT receiving said request from said base station, said at least one MT performing said downlink channel quality measurement and reporting the downlink channel quality measurement to the base station;

15 c) in response to said base station receiving said downlink channel quality measurement from said at least one MT, said base station allocating a plurality of radio resources to said at least one MT; and

d) said base station transmitting said designated blocks of downlink data to said at least one MT in accordance with said allocation after said at least one MT response to said request.

20 2. The method of claim 1 whereby said allocation includes modulation type, coding rate, and timeslot information.

3. The method of claim 1 further including said at least one MT, responsive to receipt of said allocation, preparing for reception of downlink data in accordance with said allocation.

25 4. The method of claim 1 wherein step (a) further includes said base station indicating specific slots for said measurement.

5. The method of claim 4 wherein step (b) further includes said base station indicating which slots said at least one MT has been allocated for transmission to the base station.

6. The method of claim 1 wherein step (b) further includes said base station indicating the allocated slots to be used by said at least one MT.

7. The method of claim 2 wherein said at least one MT receives a transmission by the base station according to said allocation.

5 8. A method of optimizing downlink data transfer in a wireless communication system including a base station and a plurality of mobile terminals (MTs), said method including:

10 a) in response to said base station receiving blocks of downlink data designated for transmission to given ones of said MTs, said base station transmitting a request for each of said given MTs to perform a downlink channel quality measurement;

b) each of said given MTs performing said downlink channel quality measurement;

15 c) each of said given MTs transmitting the downlink channel quality measurement to the base station;

d) responsive to the downlink channel quality measurement received from each of the given ones of MTs reporting downlink channel quality, said base station determining preferred ones of the given ones of MTs which are capable of making best use of a plurality of radio resources;

20 e) said base station allocating time slots to be used by the preferred MTs; and

f) said base station transmitting said designated blocks of downlink data to said given MTs in accordance with said allocated time slots after said given MTs respond to said request.

25 9. A method of optimizing downlink data transfer in a wireless communication system including a base station and plurality of mobile terminals (MTs), said method including:

a) in response to said base station receiving blocks of downlink data designated for transmission to given ones of said MTs, said base station

transmitting a request for each of said given MTs to perform a downlink channel quality measurement;

b) said base station determining preferred ones of the given ones of the MTs which are capable of making best use of a plurality of radio resources responsive to the downlink channel quality measurements received from the given ones of the MTs;

c) said base station allocating a given number of time slots to said preferred MTs according to the downlink channel quality measurements and the amount of downlink data available for transmission to said given MTs; and

d) said base station transmitting said designated blocks of downlink data to said given MTs in accordance with said allocated time slots after said given MTs respond to said request.

10. A wireless communication system for optimizing downlink data transfer, the system including:

a) a plurality of mobile terminals (MTs); and

b) a base station in communication with the MTs, wherein:

i) in response to the base station receiving blocks of downlink data designated for transmission to at least one of the MTs, the base station transmits a request for the at least one MT to perform a downlink channel quality measurement;

ii) in response to the at least one MT receiving the request from the base station, the at least one MT performs the downlink channel quality measurement and reports the downlink channel quality measurement to the base station;

iii) in response to the base station receiving the downlink channel quality measurement from the at least one MT, the base station allocates a plurality of radio resources to the at least one MT; and

iv) the base station transmits the designated blocks of downlink data to the at least one MT in accordance with the allocation after the at least one MT responds to the request.

11. The system of claim 10 whereby the allocation includes modulation type, coding rate and timeslot(s).
12. The system of claim 11 wherein the at least one MT receives a transmission by the base station according to the allocation.
- 5 13. The system of claim 10 wherein the at least one MT, responsive to receipt of the allocation, preparing for reception of downlink data in accordance with the allocation.
14. The system of claim 10 wherein the base station indicates specific slots for the measurement.
- 10 15. The system of claim 14 wherein the base station indicates which slots the at least one MT has been allocated for transmission to the base station.
16. The system of claim 10 wherein the base station indicates the allocated slots to be used by the at least one MT.
- 15 17. A wireless communication system for optimizing downlink data transfer, the system including:
- a) a plurality of mobile terminals (MTs); and
 - b) a base station in communication with the MTs, wherein:
 - 20 i) in response to the base station receiving blocks of downlink data designated for transmission to given ones of the MTs, the base station transmits a request for each of the given MTs to perform a downlink channel quality measurement;
 - ii) each of the given MTs performs the downlink channel quality measurement;
 - 25 iii) each of the given MTs transmits the downlink channel quality measurement to the base station;
 - iv) responsive to the downlink channel quality measurement received from each of the given ones of MTs reporting downlink channel

quality, the base station determines preferred ones of the given ones of MTs which are capable of making best use of a plurality of radio resources;

v) the base station allocates time slots to be used by the preferred MTs; and

vi) the base station transmits the designated blocks of downlink data to the given MTs in accordance with the allocated time slots after the given MTs respond to the request.

18. A wireless communication system for optimizing downlink data transfer, the system including:

a) a plurality of mobile terminals (MTs); and

b) a base station in communication with the MTs, wherein:

i) in response to the base station receiving blocks of downlink data designated for transmission to given ones of the MTs, the base station transmitting a request for each of the given MTs to perform a downlink channel quality measurement;

ii) the base station determining preferred ones of the given ones of the MTs which are capable of making best use of a plurality of radio resources responsive to the downlink channel quality measurements received from the given ones of the MTs;

iii) the base station allocating a given number of time slots to the preferred MTs according to the downlink channel quality measurements and the amount of downlink data available for transmission to the given MTs; and

iv) the base station transmitting the designated blocks of downlink data to the given MTs in accordance with the allocated time slots after the given MTs respond to the request.

19. A method of optimizing downlink data transfer in a wireless communication system substantially as hereinbefore described with reference to the drawings.

20. A wireless communications system for optimizing downlink data transfer substantially as hereinbefore described with reference to the drawings.

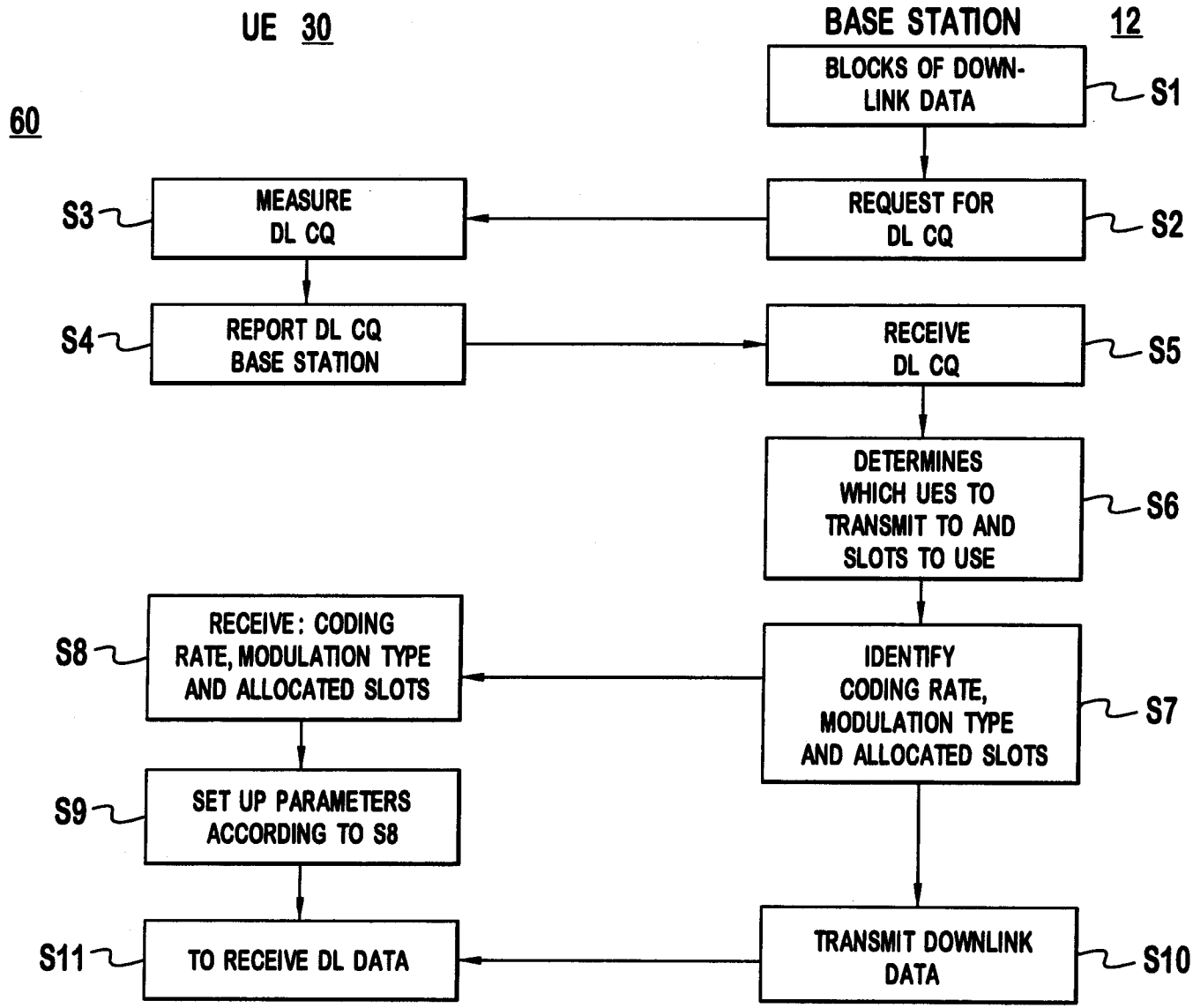
DATED this 13th day of July 2005
INTERDIGITAL TECHNOLOGY CORP

WATERMARK PATENT & TRADE MARK ATTORNEYS
GPO BOX 2512
PERTH WA 6001
AUSTRALIA

P23443AU00

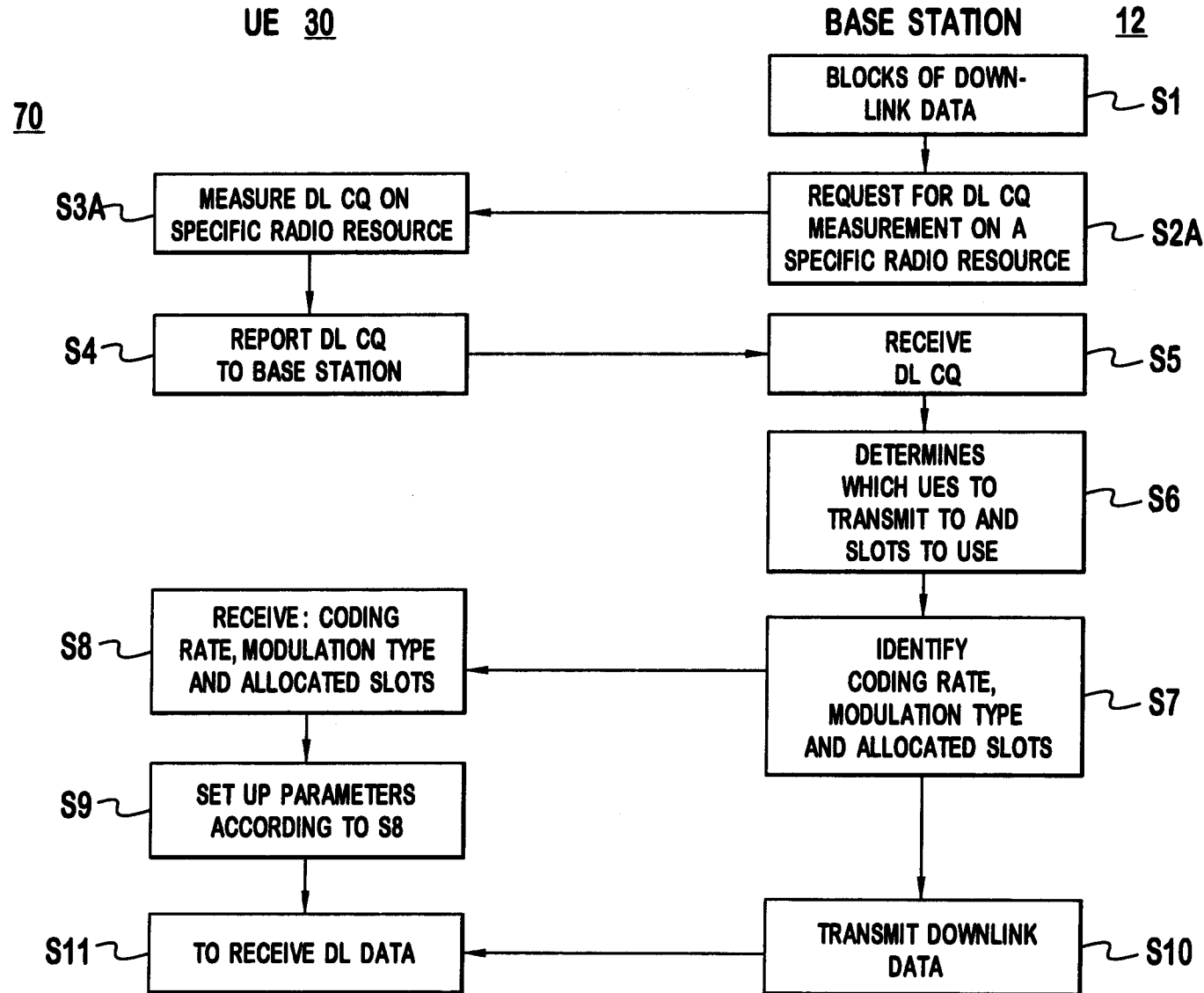
2002256209 13 Jul 2005

FIG. 1



1/2

FIG. 2



2/2