

(12) STANDARD PATENT
(19) AUSTRALIAN PATENT OFFICE

(11) Application No. **AU 2007338323 B2**

(54) Title
Nanoemulsion

(51) International Patent Classification(s)
A61K 9/107 (2006.01) **A61K 47/10** (2006.01)
A61K 9/12 (2006.01) **A61K 47/44** (2006.01)
A61K 31/195 (2006.01)

(21) Application No: **2007338323** (22) Date of Filing: **2007.12.21**

(87) WIPO No: **WO08/077641**

(30) Priority Data

| | | | | | |
|------|-------------------|------|-------------------|------|-----------|
| (31) | Number | (32) | Date | (33) | Country |
| | 06026698.8 | | 2006.12.22 | | EP |

(43) Publication Date: **2008.07.03**

(44) Accepted Journal Date: **2013.07.11**

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(56) Related Art
EP 0 620 271 A1 (COLGATE-PALMOLIVE COMPANY) 19 October 1994
WO 2002/066589 A2 (COLGATE-PALMOLIVE COMPANY) 29 August 2002
US 2005/0158389 A1 (DOMB) 21 July 2005
US 2005/0027872 A1 (SRINIVAS) 3 February 2005
US 2002/0155084 A1 (ROESSLER et al.) 24 October 2002
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US 6 165 500 A (CEVC) 26 December 2000
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KANG et al. "Preparation and Characterization of Nano-Liposomes Using Phosphatidylcholine", J. Ind. Eng. Chem., 2005, Vol. 11, No. 6, pages 847-851

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
3 July 2008 (03.07.2008)

PCT

(10) International Publication Number
WO 2008/077641 A1

(51) International Patent Classification:

A61K 9/107 (2006.01) A61K 47/10 (2006.01)
A61K 9/12 (2006.01) A61K 47/44 (2006.01)
A61K 31/195 (2006.01)

(21) International Application Number:

PCT/EP2007/011404

(22) International Filing Date:

21 December 2007 (21.12.2007)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

06026698.8 22 December 2006 (22.12.2006) EP

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(81) Designated States (unless otherwise indicated, for every

kind of national protection available): AE, AG, AL, AM,
AT, AU, AZ, BA, BB, BG, BI, BR, BW, BY, BZ, CA, CI,
CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG,
ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL,
IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK,
LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW,
MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL,
PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY,
TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA,
ZM, ZW.

(84) Designated States (unless otherwise indicated, for every

kind of regional protection available): ARIPO (BW, GH,
GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM,
ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,
FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, PL,
PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM,
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declaration under Rule 4.17:

— of inventorship (Rule 4.17(iv))

Published:

— with international search report
— before the expiration of the time limit for amending the
claims and to be republished in the event of receipt of
amendments

(54) Title: NANOEMULSION

(57) Abstract: The present invention relates to a nanoemulsion comprising at least one aqueous component and a carrier, wherein the carrier comprises at least one lipophilic component, at least one surfactant and at least one alcohol, characterised in that at least one alcohol has at least three carbon atoms. The present invention further relates to a composition comprising said nanoemulsion and an active agent. In particular, the composition is present as a gel and the active agent is 5-aminolevulinic acid, a derivative, precursor and/or metabolite thereof. The invention further relates to the preparation of said nanoemulsion and/or composition and to their use for the treatment of dermatological diseases, virus-associated diseases as well as diseases associated with cell proliferation, in particular, tumor diseases and/or psoriasis. The present invention is further directed to the use of said nanoemulsion in cosmetics.



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Nanoemulsion

Description

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The present invention relates to a nanoemulsion comprising at least one aqueous component and a carrier, wherein the carrier comprises at least one lipophilic component, at least one surfactant and at least one alcohol. The present invention further relates to a composition comprising said nanoemulsion and an active agent. In particular, the composition is present as a gel and the active agent is 5-aminolevulinic acid (ALA), a derivative, precursor and/or metabolite thereof. The invention further relates to the preparation of said nanoemulsion and/or composition and to their use for the treatment of dermatological diseases, virus-associated diseases as well as diseases associated with cell proliferation, in particular, tumor diseases and/or psoriasis. The present invention is further directed to the use of said nanoemulsion in cosmetics.

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Nanoemulsions constitute a colloidal system. Colloidal systems include micelles, liposomes, virosomes, nanosuspensions, microemulsions and polymer solutions. Nanoemulsions, based on their physical and chemical characteristics, belong to the group of the microemulsions. Microemulsions are aqueous dispersions of homogeneous, micro-sized particles composed of a lipid core surrounded by surfactant and co-surfactant monolayers.

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Nanoemulsions are characterized by a mean particle size (mean diameter) of less than 200 nm, often less than 100 nm, and a narrow monodisperse particle size distribution. Further, nanoemulsions are transparent and slightly opalescent. They are generally manufactured by mechanical fragmentation of an oily phase in an aqueous phase in the presence of a surfactant. The very small size of the oily globules is often obtained by virtue of at least one pass through a high-pressure homogenizer or a sonicator. The preparation of the nanoemulsions described here does not require such high shear devices. The small size of the globules and their high homogeneity confers

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on them cosmetically advantageous properties which distinguish them from conventional emulsions: They are transparent and exhibit a novel texture. Further, they can carry active agents more efficiently and, thus, become increasingly important in the field of medicine and pharmacy.

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Microemulsions, called nanodispersions, are known in the art which comprise a) a membrane-forming molecule, e.g. soy lecithin, b) a co-emulgator, c) a lipophilic component, e.g. caprylic and/or capric triglyceride (Miglyol 812 or Myritol 318) and, optionally, d) alcohol, in particular, ethanol (EP 0 956 853). These nanodispersions are used in pharmaceutical formulations as transport vehicle for pharmaceutically active agents.

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However, the use of ethanol in nanoemulsions suffers from several disadvantages.

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First, the use of ethanol as co-surfactant leads to larger nanoparticles than the use of alcohols with larger carbon chains. Larger particles cause a decrease of the contact surface between skin and nanoemulsion leading to a decrease in the penetration rate. Second, ethanol has a relatively low viscosity of 1.10 cp which is not optimal for the stability of microemulsions. Further, due to the relatively low hydrophobicity of ethanol, the penetration of the nanoemulsions through the lipophilic physiological membranes of the skin may be impaired. As a result, the stability of the nanoemulsion as well as its bioavailability, i.e. penetration into tissues, is reduced. Further, ethanol is a very expensive alcohol compared to other kinds of alcohol such as isopropylalcohol. In addition, ethanol is liable to a special alcohol tax under certain conditions in several countries.

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In one or more aspects the present invention may advantageously provide a nanoemulsion which overcomes the disadvantages of those mentioned in the prior art and which, in particular, exhibits optimal physical properties which may improve the stability as well as penetration into cells and tissues, while at the same time being less expensive than the nanoemulsions of the state of the art.

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The present invention advantageously provides a nanoemulsion comprising a) at least one aqueous component and b) a carrier, which comprises i) at least one lipophilic component, ii) at least one surfactant and iii) at least one alcohol, wherein the at least one alcohol has at least three carbon atoms.

The inventors have now discovered that a nanoemulsion according to the invention has an optimally lower mean particle size with a narrow particle-size distribution. The reduction of the particle size contributes to an improved stability and a better penetration into cells and tissues of the nanoemulsion.

In one aspect, the present invention provides a nanoemulsion comprising a) at least one aqueous component and b) a carrier, which comprises i) at least one lipophilic component being present in an amount of from 0.1% by weight to 15% by weight, based on the total weight of the nanoemulsion, ii) at least one surfactant and iii) at least one alcohol, wherein the at least one alcohol has from three to five carbon atoms, and wherein the mean diameter of the emulsified particles is less than 100 nm.

The amount of component i) of the carrier, i.e. the lipophilic component, is preferably present in an amount of from 0.1% by weight to 15% by weight, more preferably from 1% by weight to 8% by weight and most preferably from 3% by weight to 4% by weight, based on the total weight of the nanoemulsion. The amount of component ii) of the carrier, i.e. the surfactant or surfactants, is preferably present in an amount of from 1 % by weight to 30% by weight, more preferably from 2% by weight to 15% by weight and most preferably from 4% by weight to 6% by weight, based on the total weight of the nanoemulsion. The amount of component iii) of the carrier, i.e. the alcohol, is preferably present in an amount of from 0.1% by weight to 10% by weight, more preferably from 0.5% by weight to 5% by weight and most preferably from 1% by weight to 2% by weight, based on the total weight of the nanoemulsion.

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5 The aqueous component is preferably present in the nanoemulsion in an amount of from 50% by weight to 98% by weight, more preferably from 70% by weight to 95% by weight and most preferably from 88% by weight to 92% by weight, based on the total weight of the nanoemulsion. Advantageously, this specific constitution of the nanoemulsion leads to a nanoemulsion which is highly tolerable to the skin and does not result in a sticky feel during

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application to the skin, which, inter alia, is due to the relatively low proportion of surfactants and lipophilic components.

In a preferred embodiment of the present invention, the nanoemulsion comprises as surfactant a membrane-forming surfactant and an O/W-emulsion-forming co-surfactant. The weight ratio of the amount of membrane-forming surfactant: O/W-emulsion-forming co-surfactant ranges from 0.1:1 to 10:1, preferably from 0.2:1 to 0.8:1, more preferably from 0.4:1 to 0.6:1.

A preferred alcohol having at least 3 carbon atoms within the scope of the present invention is an alcohol having 3-10 carbon atoms, preferably 3-7 carbon atoms, more preferably 3-5 carbon atoms, and most preferably 3 carbon atoms. Particularly suitable alcohols having 5 carbon atoms are 1-pentanol and/or 4-methyl-2-pentanol. Suitable alcohols having 4 carbon atoms are 1-butylalcohol, tert-butylalcohol (2-methyl-2-propanol) and/or sec-butylalcohol (2-butanol). Most preferred are alcohols having 3 carbon atoms, namely 1-propylalcohol and isopropylalcohol, wherein isopropylalcohol is preferred. By using a C₃-alcohol, in particular, isopropylalcohol in the inventive nanoemulsion, the present inventors have discovered that the nanoemulsion shows a reduced particle size and narrower particle-size distribution as well as improved stability and an enhanced penetration into tissues compared to the nanoemulsions of the state of the art using ethanol as alcohol. This was surprising, as isopropanol and ethanol are very similar in their physiological and chemical features.

When isopropanol is used as alcohol in the inventive nanoemulsion, the size of the emulsified particles is smaller compared to the use of ethanol. Due to the resulting increased contact surface of the nanoemulsion with the skin, the penetration properties are increased. The reduction of the particle size is also decisive for an improved stability of the nanoemulsion since it is known that the rate of particle agglutination ultimately leading to phase separation increases with the particle size. The advantageous effects of isopropanol

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may be due to its slightly higher hydrophobicity, which may result in a better ability to penetrate through the lipophilic physiological membranes. Further, isopropanol has a higher viscosity (cp at 25 °C of 2.32) compared to ethanol (viscosity/cp, 25 °C, 1.10). Said higher viscosity of isopropanol may serve for
5 a better stabilization mechanism in nanoemulsions, as viscosity reduces the mobility of molecules. A further advantage of isopropanol compared to ethanol is that it is available at relatively low costs, which is about 1/3 of the costs of ethanol, and free of an additional alcohol tax.

10 Preferably, the at least one lipophilic component of the carrier of the inventive nanoemulsion is a lipid, a vegetable oil and/or an animal oil. Suitable lipids according to the present invention are physiologically acceptable lipids such as ceramide, mono-, di- and triacylglycerin (triglycerides), in particular, caprylic and/or capric triglyceride and/or
15 a mixture thereof, particularly preferably Miglyol (such as Miglyol 812 or Myritol 318 available e.g. from Henkel). Suitable vegetable and animal oils e.g. are sunflower oil, soybean oil, peanut oil, rape oil, fish oil and/or cetaceum.

A suitable membrane-forming surfactant is a phospholipid, a
20 lysophospholipid, a ceramide and/or a mixture thereof. Preferably, the phospholipid is lecithin or cephalin from soybeans or hens' eggs, more preferably the lecithin is soy lecithin.

Preferably, the lecithin has a phosphatidylcholine content of at least 80% by
25 weight, more preferably of at least 90% by weight, and most preferably of at least 94% by weight. The inventors have found that the quality of the lecithin, namely its phosphatidylcholine content, plays a crucial role for the size of the particles of the nanoemulsion. The higher the phosphatidylcholine content of the lecithin, the smaller is the size of the particles of the nanoemulsion.

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As O/W emulsion-forming co-surfactant, anionic, nonionic, cationic and/or amphoteric surfactants are suitable as well as block copolymers. Suitable anionic surfactants are soaps, alkylbenzene sulphonates, alkane

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sulphonates, alkylsulfates and/or alkyl ether sulfates. Suitable cationic surfactants are quaternary ammonium compounds, preferably having one or two hydrophobic groups (e.g. cetyltrimethylammonium bromide and cetyltrimethylammonium chloride) and/or salts of long-chain primary amines.

5 A suitable amphoteric surfactant is N-(acylamidoalkyl)betaine, N-alkyl- β -aminopropionate and/or amine-N-oxide. A suitable block copolymer, for example, is propylene oxide. In the scope of the present invention, a nonionic surfactant is particularly preferred as O/W emulsion-forming co-surfactant. A suitable nonionic surfactant is selected from the group
10 consisting of fatty alcohol polyglycoether, alkylphenol polyglycoether, alkylpolyglucoside, fatty acid glucamide, fatty acid polyglycoether, ethylen oxide-propylene oxide-block polymer, polyglycerol fatty acid ester, fatty acid alcanolamide and (ethoxylated) sorbitane fatty acid ester (sorbitane). A particularly preferred ethoxylated sorbitane fatty acid ester is
15 polyoxyethylene sorbitane monooleate, most preferably Polysorbate 80.

The aqueous component of the nanoemulsion of the present invention preferably comprises a weak buffer system with low salt content, more
20 preferably a 5 mM to 30 mM phosphate buffer and most preferably 10 mM phosphate buffer. The pH value of the phosphate buffer preferably ranges from pH 4 to pH 8, more preferably from pH 5 to pH 7, and is most preferably from pH 5.5 to pH 6.5. The water used for preparing the phosphate buffer is preferably sterile deionized water and/or water for injections, more preferably
25 water for injections.

The mean diameter of the emulsified particles in the nanoemulsion (nanosomes) is 5 nm to 500 nm, preferably 10 nm to 200 nm, more preferably less than 100 nm, particular, up to 90 nm, preferably up to 70 nm,
30 still more preferably 10 nm to 50 nm, and most preferably 15 nm to 35 nm. The size distribution of the nanoparticles is preferably monodisperse and follows Gaussian distribution. The diameter of the emulsified particles of the invention is determined by means of the particle size distribution, which is

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measured by the method of dynamic light scattering (DLS) (also called photon correlation spectroscopy (PCS)). The statistical analysis of the distribution of the particles is performed by a method called particle number-weighted distribution in accordance with the present invention.

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A further subject of the present invention is a process for the preparation of the nanoemulsion according to the invention, comprising the following steps: a) providing an aqueous component, b) providing a carrier comprising at least one lipophilic component, at least one surfactant and at least one
10 alcohol, wherein the at least one alcohol has at least three carbon atoms, and c) mixing the aqueous component of step a) with the carrier of step b). By preparing the nanoemulsion, the components of the carrier are provided in the aqueous component and the mixture is converted into a nanoemulsion by intensive or gentle homogenization. Homogenization may be carried out,
15 for example, by commercially available homogenizers. After the preparation of the nanoemulsion, further additives and excipients may be added, the presence of which is not appropriate during the homogenization.

The process for the preparation of a nanoemulsion preferably is performed
20 under aseptic conditions, e.g. using a laminar flow hood.

The inventors found out that, besides the composition, some steps of the process of preparation are decisive for the final size and particle-size distribution of the emulsified particles in the nanoemulsion. Particularly,
25 temperature and homogenisation conditions during step c play a major role. Step c is carried out at a temperature between 50 to 60 °C. All ingredients are previously heated up to this temperature. The container and the mixer should also be optimized to achieve a very fast homogenous mixture of the components (within seconds) avoiding formation of foam. See Example 1 for
30 an illustration of such procedure. Alternatively, the homogenization does not require high shear devices such as sonicators or high-pressure homogenizers.

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A further aspect of the present invention is a pharmaceutical and/or cosmetic composition comprising the inventive nanoemulsion. The inventive nanoemulsion is suitable in the field of cosmetics, e.g. as anti-aging agent, as it provides an elegant, translucent and transparent vehicle that can be used for a variety of different product types. The nanoemulsion provides a system in very delicate balance, which has relatively low levels of emulsifiers that are considered as irritating. The inventive nanoemulsion retains the desirable transparency or translucency, it is able to accommodate a reasonable amount of additives such as fragrances or moisturizers, while remaining stable, mild and gentle on the skin of the user due to the low level of emulsifier. For the intended use of the final product in the case of cosmetics, it is possible to add non-therapeutic or non-active agents such as emollients, flavors, colorants, fragrances, gellants, thickeners, sunscreens and the like, which enhance the ultimate use of a product, particularly for topical cosmetic purposes, and provided care is taken to avoid choosing components that will interfere with the clarity of the product. The final product can take the form of a milk, cream, lotion, gel, serum or liquid spray, among others. In the case of sunscreens the nanoemulsions of the invention may incorporate one or more sunscreen agents such as benzophenones, avobenzones, cinnamates, salicylates and the like.

The nanoemulsions may also be employed in pharmaceutical compositions, in particular, they can be used for the manufacture of a topical medicament for the treatment of dermatological diseases such as neurodermatitis, psoriasis, keratosis, in particular, actinic keratosis, and diseases associated with cell proliferation such as tumor diseases. Preferably, the tumor disease is selected from the group consisting of basal cell carcinoma, squamous cell carcinoma, Morbus Bowen, vulvar intraepithelial neoplasia (VIN) or a nodular or subcutaneous cancer disease. Further, the nanoemulsion is suitable for the treatment of virus-associated diseases caused by a human papilloma virus such as Condylomata acuminata. By incorporating the lipophilic component of the nanoemulsion, the transepidermal loss of water can be influenced advantageously, i.e. the barrier function of the skin can be

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enhanced and, thus, dermatological diseases such as neurodermatitis can be affected advantageously.

A further aspect of the present invention relates to a composition comprising the inventive nanoemulsion and an active agent. In this respect, the composition is particularly useful as a pharmaceutical and/or cosmetic composition, e.g. for application to the skin or hair. The nanoemulsions of the invention comprised in said compositions provide a very efficient delivery system for a wide variety of active agents. Examples of active agents that may be useful include agents for the eradication of age spots, keratoses and wrinkles, analgesics, anesthetics, anti-acne agents, antibacterials, anti-yeast agents, antifungal agents, antiviral agents, anti-dandruff agents, antidermatitis agents, antipruritic agents, anti-emetics, antinotion sickness agents, anti-inflammatory agents, anti-hyperkeratolytic agents, anti-dry skin agents, antiperspirants, antipsoriatic agents, antiseborrheic agents, hair conditioners and hair treatment agents, anti-aging agents, antiwrinkle agents, antiasthmatic agents and bronchodilators, sunscreen agents, anti-histamine agents, skin lightening agents, depigmenting agents, vitamins, corticosteroids, hormones, retinoids such as retinoic acid and retinol, topical cardiovascular agents, clotrimazole, ketokonazole, miconazole, griseofulvin, hydroxyzine, diphenhydramine, pramoxine, lidocaine, procaine, mepivacaine, monobenzone, erythromycin, tetracycline, clindamycin, kanamycin, meclocyline, hydroquinone, minocycline, naproxen, ibuprofen, theophyllin, cromolyn, albuterol, topical steroids such as hydrocortisone, hydrocortisone 21-acetate, hydrocortisone 17-valerate, and hydrocortisone 17-butyrate, betamethasone valerate, betamethasone dipropionate, triamcinolone acetonide, fluocinonide, clobetasol propionate, benzoyl peroxide, crotamiton, propranolol, promethazine, vitamin A palmitate, vitamin E acetate and mixtures thereof.

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In a preferred embodiment of the present invention the active agent is selected from the group consisting of 5-aminolevulinic acid, a derivative,

precursor and/or metabolite thereof. „Derivative“ is to be understood as being, in particular, an esterification of the amino group of 5-aminolevulinic acid substituted with one or two alkyl groups, particularly preferably with one methyl group. The most preferred derivate is methylaminolevulinic acid. The
5 derivatization may be understood also as salt complexes and addition compounds as well as alkylated compounds. „Precursor“ and „metabolite“ are to be understood as substances converted in a cell into protoporphyrin IX. The active agent 5-aminolevulinic acid or a derivative thereof is especially preferred.

10 5-Aminolevulinic acid is used as a prodrug in the field of photodynamic therapy. Photodynamic therapy is a promising method for the treatment of different pre-malignant and malignant diseases which are associated with cell proliferation (Taylor EL and Brown SB, 2002, Journal of Dermatological
15 treatment, 13, Suppl. 1, S3-11 and Peng Q. et al., 1997, Cancer, 79, S2282-2308). The principle of photodynamic therapy is based on the introduction of a so-called photosensitizing agent into the lesioned tissue and subsequent radiation with light of appropriate wavelength in order to transform the agent into a cytotoxically active agent which, in turn, causes the destruction of the
20 cell. The selectivity of this method is due to the enhanced concentration of the sensitizing agent in fast-proliferating or lesioned cells in comparison to normal tissue. Furthermore, irradiation of the photosensitizer gives rise to a characteristic fluorescence radiation which can be used for diagnostic purposes, for example, for detecting proliferating cells.

25 5-Aminolevulinic acid is an endogenous substance which is synthesized from glycine and succinyl-CoA within the cells. Within the scope of heme biosynthesis, the protoporphyrin IX, which is photoactive to a high degree, is formed from 5-aminolevulinic acid (5-ALA) and is then converted into heme.

30 This control mechanism is circumvented by exogenously administering synthetically prepared 5-aminolevulinic acid, thereby giving rise to an increased production of protoporphyrin IX. Since the degradation of protoporphyrin IX is further inhibited by the natural control mechanism, this

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compound becomes concentrated in the cells. When irradiated with light, protoporphyrin IX is able to undergo a photochemical oxidation reaction and consequently acts as a photosensitizer for the photodynamic therapy.

5 Systemic applications of 5-aminolevulinic acid are associated with a number of side effects which can be circumvented with topical application of the drug. A number of investigations using topically applicable 5-aminolevulinic acid compositions are known from the prior art. While these investigations have the feature in common that the 5-aminolevulinic acid employed is in the
10 form of an oil-in-water emulsion, differences exist with regard to other parameters such as period of penetration, period of treatment, type of light employed and the dose of light employed.

B. Thiele et al. (H+G, Vol. 69, No. 3, pp 161-164 (1994)) describe
15 investigations which involve using 20% δ -aminolevulinic acid in the form of an oil-in-water emulsion, with a penetration period of from 5 to 6 h, and subsequently irradiating with an argon ion-pumped dye laser (emission peak 630 nm) giving a cumulative total dose of from 50 to 100 J/cm².

20 Wolf et al. (Journal of the American Academy of Dermatology, Vol. 28, pp. 17-21, 1993) describe investigations which involve using 20% 5-aminolevulinic acid in the form of an oil-in-water emulsion, with a penetration period of 4, 6 or 8 h, and irradiating with unfiltered light or red light, giving a light dose of from 30 J/cm² to 100 J/cm².

25 Although the investigations disclosed in the prior art clearly demonstrate the promising potential of photodynamic therapy using 5-aminolevulinic acid, oil-in-water emulsions known so far suffer from a number of disadvantages.

30 For example, M. Novo Rodriguez et al. (SPIE, Vol. 2371, pp. 204-209) showed that, in the high concentrations required for a clinical application, aminolevulinic acid is unstable in aqueous solutions in a neutral to basic pH range. During the investigation period of 25 h, satisfactory results are only

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obtained at a concentration of 3% and at a pH of 5, which are specified as the optimal conditions for aqueous solutions of 5-aminolevulinic acid. For clinical use, however, it will in general be necessary to provide also compositions in a higher concentration range; furthermore, to be used
5 commercially, the 5-ALA solutions have to be stable for a period which is in the dimension of weeks or months.

V. von Arx et al. (J. Pharm. Pharmacol. 49: 652-656, 1997) describe investigations relating to the topical application of 5-aminolevulinic acid in a
10 variety of gels. This publication states that the best formulation for maintaining the stability of 5-aminolevulinic acid is a combination with Novion AA-1, a polyacrylic acid, at a pH < 6.

Hürlimann et al. (Dermatology, Vol. 197, No.3, 1998, pp. 248-254) disclose
15 nanocolloid lotions containing 5-aminolevulinic acid as well as the use thereof in photodynamic therapy, without further specifying the emulsion.

WO 00/28971 describes compositions comprising a nanoemulsion and 5-aminolevulinic acid, wherein the nanoemulsion consists of egg lecithin (83 %
20 phosphatidylcholine), Miglyol 812 (triglyceride) and polysorbate 80 in 20 mM phosphate buffer (see Example 1 of WO 00/28971), but no alcohol is used as solvent. Nanocolloid formulations containing egg lecithin as an emulsifier, however, suffer from the disadvantage that they are markedly stronger colored than 5-aminolevulinic acid nanoemulsions containing soy lecithin as
25 an emulsifier. The color change in the formulation correlates with the formation of a degradation product of the active agent 5-aminolevulinic acid. It is to be concluded therefrom that nanoemulsion formulations containing egg lecithin involve considerably reduced stabilization of 5-aminolevulinic acid compared to formulations containing soy lecithin as an emulsifier.

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Another disadvantage of the known oil-in-water emulsions in combination with 5-aminolevulinic acid is that the penetration depth of the photosensitizer

into the damaged tissue is not optimal. As a result, the diseased tissue is amenable to photodynamic therapy only in its superior layers although the penetration depth of the light used for activating the photosensitizer would also allow treatment of more deeply lying layers.

5

Therefore, it was a further object of the present invention to provide compositions comprising 5-aminolevulinic acid, which, at least partially, overcome the known disadvantages of the state of the art.

- 10 According to the present invention this object is achieved by providing a composition comprising the inventive nanoemulsion and 5-aminolevulinic acid, a derivative, precursor and/or metabolite thereof as active agent. In these compositions, the above-mentioned advantageous properties with respect to stability, penetration into tissues as well as lower costs of the
- 15 inventive nanoemulsions can be utilized to transport a 5-aminolevulinic acid to target sites of tissues.

A specific interaction has been found between aminolevulinic acid and the nanoparticles of the emulsion. In particular, 5-aminolevulinic acid, a

20 derivative, precursor and/or metabolite thereof, is attached at the outside of the monolayer of the nanoparticles of the nanoemulsion. Thus, aminolevulinic acid is transported by the particles while it is not contained within the core of the particles. Rather, aminolevulinic acid is located outside the core of the particles due to interactions between aminolevulinic acid and

25 the outer monolayer. In conventional nanoemulsions, in contrast thereto, the drug is contained inside the lipid core of the particles of nanoemulsions.

Surprisingly, it has been found that the stability of 5-aminolevulinic acid can be considerably increased when the 5-aminolevulinic acid is formulated with

30 the inventive nanoemulsion comprising at least one alcohol which has at least three carbon atoms. While the reasons for this are not known, it appears that a microenvironment created by nanosomes has a particularly favorable effect on the stability of 5-aminolevulinic acid.

It also turned out, surprisingly, that an improved cell and tissue penetration can be achieved with the nanoemulsions according to the invention, resulting in more deeply lying diseases and/or diseases with higher layer thicknesses also becoming accessible to treatment. The greater penetration depths were
5 surprising especially because it had previously been assumed that, due to its small size, 5-aminolevulinic acid would in any case be readily able to penetrate through a damaged epidermis which is present, for example, in tissue associated with inflammations, precancerous stages and tumors.

10

A third surprising advantage is that, when formulated with nanosomes according to the invention, 5-aminolevulinic acid is evidently taken up very efficiently from the cells. This firstly improves targeting; secondly, it means that the penetration period, i.e. the time between applying the composition
15 and irradiating the diseased tissue with light, can be reduced, which means a distinct relief for the patient. As can be gathered from Example 4, Fig. 5, 5-aminolevulinic acid uptake into cultured cells and conversion of aminolevulinic acid to PpIX within the cells is enhanced with increasing concentrations of the inventive nanoemulsion with constant concentration of
20 aminolevulinic aci.

Storage stability, too, can be improved by means of the nanoemulsions of the invention which comprise an alcohol having at least 3 carbon atoms as a solvent in the carrier.

25

According to the invention the composition preferably comprises an active agent which is selected from 5-aminolevulinic acid, or a derivative, a precursor and/or a metabolite thereof. „Derivative“ is to be understood as being, in particular, an esterification of the amino group of 5-aminolevulinic
30 acid substituted with one or two alkyl groups, particularly preferably with one methyl group. Most preferred is 5-aminolevulinic acid or 5-methylaminolevulinic acid. The derivatization may be understood also as salt complexes and additional compounds as well as alkylated compounds.

- 15 -

„Precursor“ and „metabolite“ are to be understood as substances converted in a cell into protoporphyrin IX. The active agent 5-aminolevulinic acid or a derivative thereof is especially preferred.

5 The size of the emulsified particles in the nanoemulsion (nanosomes) is as given above. The particle size which is in each case optimal depends on further parameters such as the viscosity of the composition. For example, good results were obtained with a nanoemulsion having a viscosity of 1 to 10 mPas at an average particle diameter of less than 100 nm.

10

The amount of active agent, preferably 5-aminolevulinic acid, in the composition essentially depends on the intended application. In a preferred embodiment, about 1 to 30 wt.% of the active agent, based on the total weight of the composition, are present. Higher or lower doses, however, are also suitable. An amount of preferably 3 to 15 wt.%, has proven to be
15 suitable for applications in connection with photodynamic therapy.

The inventive composition preferably may further comprise at least one preservative and at least one solvent.

20

In a particularly preferred embodiment, the composition is formulated as a gel. Gel forming agents contained are matrix forming-agents, preferably xanthan. While the particle size of the nanoemulsion in the form of a gel is also preferably less than 100 nm, the viscosity is considerably higher, e.g.
25 500 to 2000 mPas.

A further aspect of the present invention is a pharmaceutical, cosmetic and/or diagnostic composition which comprises the inventive composition. In this case, the composition can additionally comprise cosmetically and/or
30 pharmaceutically acceptable additives and/or excipients, in particular, substances commonly used in cosmetics or pharmacy. Examples of such substances are buffers, stabilizers, additional emulsifiers, thickeners, etc. Further, the composition is free of constituents which are not

pharmaceutically or diagnostically acceptable and preferably free of constituents which, for example, provoke irritation and/or falsify diagnosis. In addition to the carrier substances which have already been mentioned, the pharmaceutical and/or diagnostic preparation can contain further adjuvants
5 or/and additives which are acceptable and preferably well tolerated.

Preferably, the composition is present as liquid, or semi-solid. Suitable liquids within the scope of the present invention are selected from the group consisting of a solution, preferably drops, a spray, an aerosol, an emulsion,
10 or a lotion. Suitable semi-solids within the scope of the present invention are selected from the group consisting of an ointment, a cream (O/W emulsion), a fatty cream (W/O emulsion), a gel, a lotion, a foam, a paste, and/or a suspension.

15 For dermatological and gynecological applications, the preparation is preferably in a form which is suitable for topical administration, especially in gel form. The preparation possesses properties, e.g. viscosity and rheology, which are favorable for the respective form of application desired to ensure that, after the preparation has been administered, the nanosomes loaded
20 with 5-aminolevulinic acid penetrate to an adequate extent into the target tissue. Said viscosity and rheology properties can be adjusted by adding thickeners such as polyethylene glycol stearyl ethers, polyethylene glycol stearates and/or polysaccharides such as polysaccharide B-1459, for example.

In a particularly preferred embodiment, the inventive composition is a gel. Gels exhibit improved galenic properties compared with the above-mentioned formulations. The inventive gel formulation shows better
20 adhesiveness, stability, release of active agent and tolerance on the skin, and on mucous membranes. The inventive gel preferably comprises 0.01% by weight to 50% by weight, more preferably 0.5 % by weight to 30% by weight and most preferably 1% by weight to 20% by weight of the active agent, 1% by weight to 60% by weight, more preferably 15% by weight to

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50% by weight, most preferably 10% by weight to 30% by weight of the nanoemulsion, 0.01% by weight to 4% by weight, more preferably 1% by weight to 3% by weight of the at least one filling agent, 1% by weight to 3% by weight of at least one preservative, based on the total weight of the composition, and the balance being the at least one solvent.

A further aspect of the present invention is a process for the preparation of the inventive composition, comprising the following steps:

- a) providing an aqueous component,
- b) providing a carrier comprising at least one lipophilic component, a least one surfactant and at least one alcohol, wherein the at least one alcohol has at least three carbon atoms,
- c) mixing the aqueous component of step a) with the carrier of step b), in order to form a nanoemulsion,
- d) adding the active agent prior to and/or after the formation of said nanoemulsion of step c), and
- e) optionally adding further additives and/or excipients to step d).

Particularly preferably, the active agent in step d) is added after the formation of said nanoemulsion of step c).

The process is preferably performed under aseptic conditions, e.g. by using a laminar flow hood. The aqueous component, the carrier and the active agent which are employed in the above-mentioned process have the same preferred and suitable meanings as mentioned above. The mixing of the aqueous component with the carrier is preferably performed by intensive homogenization. The 5-aminolevulinic acid and optionally present additives and/or excipients may be added prior to and/or after the homogenization, preferably after the homogenization.

Preferably, air is excluded while carrying out the process, for example, by means of applying a vacuum and/or a protective gas atmosphere. In addition, it is preferred to implement the process while excluding light.

The process is carried out at a temperature at which the desired nanoemulsion can be formed as mentioned above and, for the later steps, the constituents, in particular, the active substance, is adequately stable. In general, it has been found that a temperature range of from about 5 to 30 °C is suitable. However, processing of additives and/or excipients which, for example, are mixed first and homogenized where appropriate, in a separate mixture, and only after that added to the composition, also can be carried out at higher temperatures, for example, up to about 70 °C. Especially for pharmaceutical application, care is taken to ensure that the resulting product is sterile, e.g. by using sterile starting materials and by maintaining sterile process conditions or/and by means of a sterilization step following preparation.

In the field of cosmetics, the inventive composition is preferably used as anti-ageing agent, e.g. for eradication of age spots, wrinkles and/or against dry skin.

An important area of use for the compositions according to the invention is in the field of photodynamic therapy, with particular preference being given to applying the nanoemulsion topically. The nanoemulsion according to the invention can be employed in the case of all diseases whose control comprises inhibiting the proliferation of, or destroying, cells or tissues by photoactivating a sensitizer which is formed from 5-aminolevulinic acid. The diseases, in particular, include virus-associated diseases, with the virus preferably being selected from the group of human papilloma viruses (HPV). Most preferably, the virus-associated disease is Condylomata acuminata. Condylomata acuminata is defined as benign epitheliomas of viral genesis which are almost exclusively localized genitoanally, the pathogen of which is human papilloma virus (HPV) type 6, 11 and 42. In the course of the disease, papules in the size of a pinhead develop cauliflower- and cock's comb-like papillary proliferations.

The compositions of the invention are also suitable for treating dermatological diseases such as diseases associated with keratosis, with actinic keratosis being especially preferred. Actinic keratosis is a squamous cell carcinoma of epidermis *in situ*. Actinic keratosis is a proliferation of transformed keratinocytes which is limited to epidermis. Actinic keratosis is induced predominantly by chronic exposure to ultraviolet radiation, especially sunlight (also called solar keratosis). Actinic keratosis manifests itself as rough scaling maculae, papules or plaques of from about 1 mm to about 2 cm in diameter.

10

Further, the inventive compositions are preferably intended for the treatment of diseases associated with increased cell proliferation, since, in that case, the photosensitizer is concentrated to a particularly high degree by the increased cell metabolism in diseased cells.

15

The compositions according to the invention are consequently suitable for treating tumor diseases such as basal cell adenoma, squamous cell carcinoma, Morbus Bowen, vulvar intraepithelial neoplasia (VIN), and/or nodular and/or subcutaneous cancer diseases. Psoriasis is an example of a non-tumorous disease associated with increased cell proliferation.

20

The compositions of the invention are especially preferred for the treatment of basal cell adenoma. Basal cell adenoma is characterized as an epithelial tumor which grows local-invasively and destructively, however, metastasizes only very seldom and, therefore, is considered as semi-malignant. It is mainly located (80%) in the head and neck region, especially in the region of the forehead, canthus and nose. In the case of unfavorable progression, tissue destruction and even death result, e.g. by bone and vascular erosion and bleeding associated therewith, as well as by CNS invasion. Basal cell adenoma originates from degenerative cells of the germ layer (basal cell layer) of the epidermis and/or the outer root sheath of the hair follicles. In contrast to healthy basal cells which normally lose their division capacity when passing through the epidermis, decay and reform the corneum,

25

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- 20 -

basalioma cells maintain their division capacity and are no longer capable of forming a horny layer.

5 The invention further relates to the use of the nanoemulsion according to the invention for the manufacture of a medicament in photodynamic therapy.

5

Thus, treatment of the above-mentioned diseases is effected, for example, by topically applying a nanoemulsion which contains the active agent, e.g. 5-aminolevulinic acid, and subsequently incubating in order to allow an adequate quantity of the 5-aminolevulinic acid to penetrate into the tissue
10 which is being treated. During the incubation, irradiation of the treated area with light is preferably avoided, for example, by covering it, in order to prevent any undesirable premature activation. After the incubation period, which is generally from about 1 to 8 h and usually about 4 h, has expired, the tissue is irradiated with an adequate dose of radiation using a light source.
15 Suitable light sources include lamps emitting white light and also monochromatic light sources. The radiation doses are normally in a range of from about 20 J/cm² to several 100 J/cm² per application.

Another field of applying the 5-aminolevulinic acid gel according to the
20 invention relates to the detection of the presence of proliferating cells in a sample, for example in a tissue sample. Detection is based on selectively concentrating a photosensitizer, which is produced by metabolism of the active agent, in proliferating cells as compared with normal cells. Preferably, the active agent is 5-aminolevulinic acid and the photosensitizer is
25 protoporphyrin IX. The extent to which the photosensitizer has been concentrated can be determined by means of photodiagnostic methods, for example, by irradiating with light having a wavelength of 405 nm and measuring the fluorescence radiation generated by the photosensitizer. The nanoemulsions according to the invention are particularly suitable for use in
30 tumor diagnosis.

Further, the invention relates to a kit which comprises a composition

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according to the invention comprising the inventive nanoemulsion and 5-aminolevulinic acid, a derivative, precursor and/or metabolite thereof which is suitable for being applied topically, and one or more auxiliary substances. Examples of these auxiliary substances are an essential photoresist covering
5 material such as a plastic film which is applied to the site to be treated after the nanoemulsion has been applied to said site in order to prevent premature activation by light, and means for attaching the covering material or else means for applying the nanoemulsion to the site to be treated.

Further, the invention relates to a method of treating a virus-associated disease, a dermatological disease and/or a disease associated with cell
5 proliferation comprising administering a pharmaceutically effective amount of the inventive composition to a subject in need thereof. Preferably, the subject is a mammal, more preferably a human.

5 A further aspect of the present invention relates to a composition comprising an active agent selected from the group consisting of 5-aminolevulinic acid, a derivative, precursor and/or metabolite thereof, and a nanoemulsion, wherein the nanoemulsion comprises an alcohol.

Preferably, the composition and, in particular, the nanoemulsion further comprise at least one aqueous component, preferably water, and at least one lipophilic component and/or at least one surfactant.

5-aminolevulinic acid, a derivative, precursor and/or metabolite thereof which
10 is suitable for being applied topically is used. „Derivative“ is to be understood as being, in particular, an esterification of the amino group of 5-aminolevulinic acid substituted with one or two alkyl groups, particularly preferably with one methyl group. Most preferred is 5-aminolevulinic acid or 5-methylaminolevulinic acid. The derivatization may be understood also as
15 salts complexes and addition compounds as well as alkylated compounds. „Precursor“ and „metabolite“ are to be understood as substances converted in a cell into protoporphyrin IX. The active agent 5-aminolevulinic acid or a

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derivative thereof is especially preferred.

As alcohol, a C₂ to C₈ alcohol, in particular, a C₃ to C₈ alcohol, is preferred, and isopropanol and/or 1-propyl alcohol is still more preferred for the
5 reasons given above.

Preferably, the aminolevulinic acid, a derivative, precursor and/or metabolite thereof is attached at the outer monolayer of the particles of the nanoemulsion. The aminolevulinic acid is thus located outside the core of the
10 particles which is due to interactions between aminolevulinic acid and the outer monolayer.

Preferably, the at least one lipophilic component is Miglyol, the at least one surfactant is lecithin, preferably soy lecithin and/or a polyoxyethylene-type
15 surfactant, preferably Polysorbate 80.

A further aspect of the invention relates to a pharmaceutical and/or diagnostic composition comprising the above-mentioned composition.

20 A further aspect of the present invention relates to the use of said nanoemulsion in pharmaceutical compositions, in particular, for the manufacture of a medicament for the treatment of dermatological diseases such as psoriasis, keratosis and, in particular, actinic keratosis. Further, the composition is suitable for diseases associated with cell proliferation such as
25 tumor diseases, e.g. basal cell carcinoma, squamous cell carcinoma, Morbus Bowen, vulvar intraepithelial neoplasia (VIN) or nodular and/or subcutaneous cancer disease. Further, the composition is suitable for the treatment of virus-associated diseases such as Condylomata acuminata.

30 Another aspect of the invention relates to a composition comprising a nanoemulsion and an active agent, wherein the active agent is attached at the outer monolayer of the particles of the nanoemulsion. This is particularly useful for enhancing the chemical properties (e.g. stability) and

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pharmaceutical properties (e.g. penetration depth into skin and bioavailability) of the active agent.

5 Preferably, the nanoemulsion and/or the active agent is defined as for the composition described above (see page 9 ff of the description).

A further aspect relates to a pharmaceutical and/or cosmetic composition comprising the composition, wherein the active agent is attached at the outer monolayer of the particles of the nanoemulsion.

10

Encompassed by the present invention is also the use of a composition comprising a nanoemulsion and an active agent, wherein the active agent is attached at the outer monolayer of the particles of the nanoemulsion for the manufacture of a medicament for the treatment of a virus-associated, dermatological, cell-proliferation-associated disease and/or in the photodynamic therapy. Preferred embodiments of said diseases and the photodynamic therapy are as mentioned above.

15

The Figures and the following Examples are intended to further illustrate the invention.

20

Fig. 1 shows the particle size distribution of a representative batch of Nanoemulsion BF-200 measured by Photon Correlation Spectroscopy.

25 Fig. 2 shows freeze-fracture electron micrographs of Nanoemulsion BF-200 (A) Magnification 1,200,000 times, (B) Magnification 250,000 times.

Fig. 3 shows negative staining electron micrographs of Nanoemulsion BF-200 (A) Magnification 1,200,000 times, (B) Magnification 250,000 times.

30

Fig. 4 shows UV-induced PpIX fluorescence measured in Hela cells incubated for three hours with ALA and different concentrations of BF-200. Fluorescence was measured two hours after washing out of the media.

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Fig. 5 shows freeze-fracture electron micrographs of aminolevulinic acid gel with a magnification of 50,000 times

Fig. 6 shows freeze-fracture electron micrographs of aminolevulinic acid gel

- 5 (A) magnification 250,000 times
(B) magnification 1,200,000 times

Examples

10 Example 1: Preparation of Nanoemulsion BF200

The qualitative and quantitative composition of the nanoemulsion BF200 is given in the table below.

15

Table 1. Composition of nanoemulsion BF200

| <i>Ingredient</i> | <i>% (w/w)</i> | <i>Function</i> | <i>Quality</i> |
|--|-----------------------|------------------------|---|
| Soy lecithin | 1.73 | Surfactant | > 94% Phosphatidylcholine, for pharmaceutical use, USP |
| Polysorbate 80 (polyoxyethylene sorbitol monooleate) | 3.40 | Co-surfactant | Ph. Eur. |
| Caprylic/capric triglycerides | 3.45 | Lipid core | Ph. Eur. |
| Isopropyl alcohol | 1.42 | Solvent | Ph. Eur. |
| 10 mM Phosphate buffer, pH 6 | ad 100.00 | Solvent | Water for injection: Ph. Eur Disodium phosphate and sodium hydrogen phosphate: Ph. Eur. |

The manufacturing process for the emulsion BF200 consists of the following
20 steps:

Step 1: Preparation of a 10 mM phosphate buffer, pH 6 (aqueous component).

- 25 -

Step 2: Preparation of a carrier containing the lipophilic component, the surfactants and the alcohol.

Step 3: Manufacturing of the nanoemulsion by mixing the aqueous component from Step 1 and the carrier from Step 2.

5 **Step 4:** Filter sterilisation and filling of the nanoemulsion BF200 into sterile glass bottles under laminar flow hood.

Description of the manufacturing process for a typical batch size:

10

Step 1: 10 mM phosphate buffer (1000 g), pH 6, was prepared and the phosphate buffer was sterilized by filtration through a 0.2 µm sterile filter.

15 **Step 2:** Preparation of a carrier containing the lipophilic component, the surfactants and the alcohol.

15

Table 2. Carrier

| <i>Ingredients</i> | <i>Weight (g)</i> |
|--------------------------------|-------------------|
| Soy lecithin | 17.30 |
| Polysorbate 80 | 34.00 |
| Caprylic, capric triglyceride) | 34.50 |
| Isopropyl alcohol | 14.20 |

20 Soy lecithin (17.30 g) was weighed in a suitable vessel. Isopropyl alcohol (14.20 g) was added and the vessel was covered to avoid alcohol evaporation. Soy lecithin was dissolved under continuous stirring with a suitable stirrer at room temperature. Caprylic/capric triglycerides (34.50 g) and Polysorbate 80 (34.00 g) were weighed and added to the solution of soy lecithin. The mixture was stirred with a suitable stirrer at room temperature until a homogenous clear solution was obtained. This solution is the
25 concentrate containing all emulsifiers and lipid components of Nanoemulsion BF200.

20

Step 3: Manufacturing of an emulsion by mixing 900 g phosphate buffer (from Step 1) and 100 g carrier (from Step 2).

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First, the aqueous component comprising the phosphate buffer was heated to approximately 55 °C in a suitable vessel. Then, the carrier (concentrate) of step 2 was heated to approximately 55 °C. Subsequently, the carrier was poured to the phosphate buffer under continuous stirring with a propeller mixer (700 rpm). The resulting nanoemulsion is stirred for 15 min. The temperature was kept at approximately 55 °C during the whole procedure. Finally, the nanoemulsion was cooled down to room temperature in a water bath.

Step 4: The nanoemulsion was sterilized by filtration through 0.2 µm sterile filter and filled into 100 ml sterile glass bottles under laminar flow hood.

Example 2: Preparation of aminolevulinic acid 1%, 3% and 10% and placebo gels

The qualitative and quantitative composition of the placebo gel and 1%, 3%, 10% aminolevulinic acid gels are given in the table below.

Table 3. Composition of placebo gel

| Ingredient | Amount per gram of gel | Function | Quality |
|----------------------------|-------------------------------|-----------------|----------------|
| Xanthan gum | 20.375 mg | Filling agent | Ph.Eur. |
| Nanoemulsion BF200 | 175.0 mg | Carrier | In-house |
| Propylene glycol | 9.0 mg | Preservative | Ph.Eur. |
| Methyl parahydroxybenzoate | 0.7 mg | Preservative | Ph.Eur. |
| Propyl parahydroxybenzoate | 0.3 mg | Preservative | Ph.Eur. |
| Water for injection | 794.625 mg | Solvent | Ph.Eur. |

Table 4. Composition of aminolevulinic acid 1% gel

| Composition | Amount per gram of gel | Function | Quality |
|-----------------------------------|-------------------------------|-----------------|----------------|
| Aminolevulinic acid hydrochloride | 10.0 mg | Drug substance | In-house |
| Xanthan gum | 20.125 mg | Filling agent | Ph.Eur. |
| Nanoemulsion BF200 | 175.0 mg | Carrier | In-house |
| Propylene glycol | 9.0 mg | Preservative | Ph.Eur. |
| Methyl parahydroxybenzoate | 0.7 mg | Preservative | Ph.Eur. |

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| | | | |
|-----------------------------|------------|--------------|---------|
| Propyl parahydroxy-benzoate | 0.3 mg | Preservative | Ph.Eur. |
| Water for injection | 784.875 mg | Solvent | Ph.Eur. |

15

Table 5: Composition of aminolevulinic acid 3% gel

| Ingredient | Amount per gram of gel | Function | Quality |
|-----------------------------------|-------------------------------|-----------------|----------------|
| Aminolevulinic acid hydrochloride | 30.0 mg | Drug substance | In-house |
| Xanthan gum | 19.625 mg | Filling agent | Ph.Eur. |
| Nanoemulsion BF200 | 175.0 mg | Carrier | In-house |
| Propylene glycol | 9.0 mg | Preservative | Ph.Eur. |
| Methyl parahydroxy-benzoate | 0.7 mg | Preservative | Ph.Eur. |
| Propyl parahydroxy-benzoate | 0.3 mg | Preservative | Ph.Eur. |
| Water for injection | 765.375 mg | Solvent | Ph.Eur. |

20

Table 6: Composition of aminolevulinic acid 10% gel

| Ingredient | Amount per gram of gel | Function | Quality |
|-----------------------------------|-------------------------------|-----------------|----------------|
| Aminolevulinic acid hydrochloride | 100.0 mg | Drug substance | In-house |
| Xanthan gum | 17.875 mg | Filling agent | Ph.Eur. |
| Nanoemulsion BF200 | 175.0 mg | Carrier | In-house |
| Propylene glycol | 9.0 mg | Preservative | Ph.Eur. |
| Methyl parahydroxy-benzoate | 0.7 mg | Preservative | Ph.Eur. |
| Propyl parahydroxy-benzoate | 0.3 mg | Preservative | Ph.Eur. |
| Water for injection | 697.125 mg | Solvent | Ph.Eur. |

The manufacturing process for placebo or aminolevulinic acid gel consists of the following steps:

25

- Step 1: Manufacturing of the gel base by addition of xanthan gum to water for injection, mixing and steam sterilisation
- Step 2: Preparation of a concentrated solution of preservatives of methyl parahydroxybenzoate and propyl parahydroxybenzoate in propylene glycol

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- Step 3, for placebo: Preparation of the final gel formulation by addition of the concentrated solution of preservatives to the gel base, addition of Nanoemulsion BF200 to the gel base and homogenising of the gel.
- Step 3, for aminolevulinic acid gels: Preparation of the final gel formulation by addition of the concentrated solution of preservatives to the gel base. Preparation of a solution of aminolevulinic acid hydrochloride in Nanoemulsion BF200. Addition of the aminolevulinic acid hydrochloride solution in Nanoemulsion BF200 to the gel base and homogenising of the gel.

Description of the manufacturing process:

Step 1: Preparation of gel base with Xanthan gum

A Stephan bowl was disinfected with isopropanol 70%. Water for injection (97.50 unit amount) was put into the bowl and then Xanthan (2.5 unit amount) was spread thereon. The mixture was dispersed in vacuum at a pressure of approximately 100 mbar per minute at 600 revolutions for 90 min. The resulting basis gel was filled in 200 ml Schott flasks which has been rinsed before with water for injection (filled 1/3 at most). The basis gel in the flasks was then steam sterilized in an autoclave for 20 min at 121 °C.

Step 2: Preparation of Parabeni conc.

Methylparahydroxybenzoic acid (7.0 parts) and propylparahydroxybenzoic acid (3.0 parts) were weighed into a suitable vessel. Propylene glycol (90 parts) was admixed thereto and the parahydroxybenzoates were dissolved in propylene glycol. The mixture was heated on a heater plate while stirring with a magnetic stirrer until the mixture dissolved (70°C) and a clear solution is obtained.

Step 3, part 1: Preparation of placebo gel**Table 7. Preparation of placebo gel from base gel and Parabeni conc.**

| | Components | Unit Amount |
|---|-----------------------|-------------|
| A | Gel base | 81.50 |
| B | Nanoemulsion BF200 | 17.50 |
| D | Parabeni conc. FH | 1.0 |
| | Total | 100.0 |

5

All following steps were carried out in a laminar air flow under aseptic conditions. 1 unit amount parabeni conc. (D) and 81.5 unit amount of basis gel (A) were added to a suitable vessel. 17.5 unit amount of nanoemulsion (B) is filtered through a 0.2 μ m sterile filter and added to the base gel. The mixture is stirred for 10 min. Subsequently, 2 unit amount of the gel were each filled into laminated aluminium tubes.

10

Step 3, part 2: Preparation of aminolevulinic acid 1% gel15 **Table 8. Preparation of aminolevulinic acid 1% gel**

| | Components | Unit Amount |
|---|---|-------------|
| A | Basis gel | 80.50 |
| B | Nanoemulsion BF200 | 17.50 |
| C | 5 ALA (aminolevulinic acid hydrochloride) | 1.00 |
| D | Parabeni conc. FH | 1.0 |
| | Total | 100.0 |

All following operations were carried out in laminar air flow under aseptic conditions. 1 unit amount parabeni conc (D) and 80.5 unit amount of basis gel (A) were given in a suitable vessel. 1 unit amount ALA (C) was dissolved in 17.5 unit amounts of nanoemulsion (B) by gentle stirring until a solution is obtained. Said solution is filtered through a 0.2 μ m sterile filter and added to

20

- 30 -

the base gel. The mixture is stirred for 10 min in order to obtain a homogenous gel. Subsequently, 2 g of the gel were each filled into laminated aluminum tubes.

5 Step 3, part 3: Preparation of aminolevulinic acid 3% and 10% gel

A 3% and a 10% gel were prepared in the same manner as described for the 1% gel, however, using 78.50 unit amount basis gel and 3 unit amount ALA or 71.50 unit amount basis gel and 10 unit amount ALA, respectively.

10

Example 3: Particle size of Nanoemulsion BF-200

15 The mean particle size of the manufactured nanoemulsion, as assessed by Photon Correlation Spectroscopy, PCS (also called Dynamic Laser Light Scattering, DLS) is less than 100 nm. Furthermore, the nanoemulsion is characterized by a very narrow particle size distribution (see Figure 1 below).

20 The nanoemulsion is stable in a wide range of temperatures but can be destroyed by freezing and autoclaving. Nanoemulsions are relatively insensitive to changes in pH and ionic strength and because of their small size they can be sterilized by filtration through a 0.2 µm filter. Nanoemulsions have the potential to improve the delivery of active substances into the skin, thus amplifying the efficacy of topically applied drugs.

25

Nanoemulsion BF-200 is manufactured using low-energy technology; i.e. the preparation of the nanoemulsion does not require high shear devices, such as sonicators or high-pressure homogenizers.

30 Investigation of nanoparticles in Nanoemulsion BF-200 was performed by Photon Correlation Spectroscopy and with two electron microscopy techniques: freeze-fracture EM and negative staining.

35 Photon Correlation Spectroscopy confirmed that BF-200 results in a nanoemulsion with very consistent and reliable particle size and a narrow particle distribution. These characteristics are stable over a long period of time and different temperatures (see Table 9 below).

Table 9: Results of a stability study of Nanoemulsion BF-200

| Time point Month | Storage condition | Appearance | pH | Viscosity 20°C | Nanoparticle mean size | Nanoparticle size distribution | |
|---------------------|-------------------|----------------------------------|-------------|---------------------|---------------------------|-----------------------------------|-----------------------|
| Specification | | Slightly opalescent liquid | 5.5- 6.5 | informative mPas | ≤ 100 nm | 90% ≤200 nm | |
| | | | | | | Result | Std. deviati on |
| 0 | - | > opalescent | 6.2 | n.t. | 15 | 90% < 21 nm | 4.7 |
| 1 | 25°C/60 % r.h. | > opalescent | 6.2 | n.t. | 16 | 90% < 22 nm | 4.6 |
| | 40°C/75 % r.h. | > opalescent | 6.2 | n.t. | 15 | 90% < 22 nm | 5.7 |
| 2 | 5°C | > opalescent | 6.2 | 1 | 12 | 90% < 17 nm | 4.2 |
| | 25°C/60 % r.h. | > opalescent | 6.2 | 1 | 15 | 90% < 21 nm | 4.7 |
| 3 | 5°C | > opalescent | 6.2 | 6 | 14 | 90% < 20 nm | 4.7 |
| | 25°C/60 % r.h. | > opalescent | 6.1 | 1 | 14 | 90% < 20 nm | 4.8 |
| 6 | 5°C | > opalescent | 6.3 | 6 | 17 | 90% < 23 nm | 5.0 |
| | 25°C/60 % r.h. | > opalescent | 6.3 | 6 | 14 | 90% < 20 nm | 5.2 |
| 12 | 5°C | > opalescent | 6.2 | 2 | 9 | 90% < 12 nm | - |
| | 25°C/60 % r.h. | > opalescent | 6.1 | 2 | 11 | 90% < 15 nm | - |
| 18 | 5°C | > opalescent | 6.2 | 10 | 13 | 90% < 18 nm | - |
| | 25°C/60 % r.h. | > opalescent | 6.0 | 8 | 11 | 90% < 15 nm | - |

In summary, all results are within specified limits following up to 12 months of
5 storage at different storage conditions.

Freeze-fracture electron micrographs of Nanoemulsion BF-200 with a
magnification of 1,200,000 times and 250,000 times are shown in Figure 2

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below. The most prominent features in the micrographs are a large number of very small spheric vesicles with a diameter varying between 15 to 50 nm. These values correlate well with those measured by Photon Correlation Spectroscopy (see Table 9 above). The vesicles are most probably
5 unilammellar since the thickness of the vesicle membrane is 3 – 4 nm. This corresponds to the length of a phosphatidylcholine molecule, which is the major component of lecithin, the surfactant in the nanoemulsion. Liposomes and other bilayered vesicles have a membrane thickness above 7 nm.

10 The structure of the vesicles could also be observed in electron micrographs generated by negative staining. The nanoparticles in Nanoemulsion BF-200 are spheric, approximately 20 nm in diameter, with an approximately 3 to 4 nm thick bowl and filled with an amorphous material (see Figure 3 below). Hollow particles were not found.

15 Investigation of nanoparticles in aminolevulinic acid gel was performed with electron microscopy using freeze-fracture and negative staining techniques.

Freeze-fracture electron micrographs of aminolevulinic acid gel confirm that
20 the nanoparticles present in the gel have a size similar to those in the pure Nanoemulsion BF-200. The micrograph illustrates single particles as well as conglomerates (Fig. 5). The morphology and size of the nanoparticles in the gel is not influenced by storage conditions. Examination of BF-200 ALA (10%gel after 4 months storage at 25 °C (60% r.h.) by freeze-fracture
25 electron microscopy revealed particles with similar size and shape than those found in freshly manufactured gel. The planned stability study with the batches of BF-200 ALA gel (10% aminolevulinic acid) and placebo to BF-200 ALA gel to be used in the Phase III clinical study in parallel with the clinical study will include examination of the particle size by electron microscopy at
30 later time points.

Fig. 6 shows freeze-fracture electron micrographs of the gel generated with a higher magnification. The fracture surface shows predominantly the envelope of the covered particles. Fracture through the particle itself occurs
35 very rarely. The vesicles seem to be surrounded by a layer of material which is interpreted to represent aminolevulinic acid. This generates a fried-egg-like picture (marked with arrowheads in Part B of Fig. 6).

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Electron micrographs produced by negative staining of 10% aminolevulinic acid gel presented nanoparticles with a cloudy diffused surface structure which may confirm the stratification of material on the nanoparticles observed in the freeze-fracture electron micrographs.

5

Example 4: Absorption into mammalian cells: in vitro cell culture studies about the uptake of ALA: Cell culture studies:

10 ALA uptake and conversion to protoporphyrin IX (PpIX) in cultured cells can be monitored by measuring UV-induced PpIX fluorescence. Cell culture experiments were performed with several neoplastic cell lines: HELA, HepG2 and CCD 106 KERTr (a human epithelial keratinocyte cell line). Cells were incubated in aqueous media with Methyl-ALA (MAL) , ALA or BF-200 with
15 ALA for a maximum of 3 hours. Under the selected drug concentrations, all three incubation media had a similar pH value. After incubation, cells were washed gently and fresh media were added. PpIX production was measured at different time points by quantifying fluorescence. Figure 5 shows results obtained for HELA cells.

20

The effect of increasing concentrations of the nanoemulsion BF-200 (at constant ALA concentration) on PpIX synthesis is shown in Fig. 5. The PpIX fluorescence in the cells was higher with increasing concentration of BF200 and reached a maximum at 3% BF200.

25

Example 5

The efficacy of nanoemulsion formulation of 5-ALA for the photodynamic therapy of actinic keratosis have been shown in a Phase II dose-finding
30 clinical study started in autumn 2006 and was sponsored by Biofrontera Bioscience GmbH. The placebo-controlled, randomized and double-blind study was conducted in 13 clinical centers in Germany under the medical direction of Prof. Dr. Rolf-Markus Szeimies, University Clinics of Regensburg. Three active doses (1, 3 and 10% ALA) and placebo were
35 used. To verify the efficacy of the compound, 105 patients were treated once by photodynamic therapy with one of three different ALA

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concentrations or placebo.

Patients with 3 to 10 actinic keratosis lesions on the face or on the scalp were included. Each patient received one treatment (1%, 3% or 10%
5 5-aminolevulinic acid (ALA) or placebo) of all his/her lesions. Red light was applied after 3 hours of incubation. After the treatment session, subjects returned to the clinic after 3 weeks, 8 weeks, and 12 weeks for monitoring the clinical outcome and the healing process. Each time, the investigator assessed the treated actinic keratosis (AK)
10 lesion area for evidence of remaining areas with signs of AK and clinical symptoms. The primary efficacy variable was the total clearance rate of all AK lesions at the week 12 visit, defined as the number of lesions showing complete remission (the lesions have completely cleared and no adherent scaling plaques of AKs are visible any longer). The
15 appearance of pain during the irradiation after BF-200 ALA application was also monitored.

Results: Pre-treatment with 10% ALA preceding PDT was found to be the most effective of the three tested concentrations in clearing AK lesions
20 (statistically significant superior to placebo). When patients were evaluated 12 weeks after a single treatment with BF-200 ALA, at least 60-70 % of the treated lesions were completely cured, depending on the weighting of the clinical centers and the area of the head. Clearance rates in the placebo group were about 5-15% of the treated lesions,
25 depending on the weighting of the clinical centers. The clearance rate corresponded well with published results from ALA-PDT studies with similar study design but with higher concentrations of the active substances 5-ALA or Methyl ALA. The cosmetic results were excellent. Only 6 % of the patients complained about strong pain. Relevant side
30 effects did not occur during the study, the cosmetic results were excellent. There were no safety concerns regarding any of the four groups during PDT and over a period of 12 weeks after PDT.

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As an additional safety measure, this dose-finding part comprised pharmacokinetic assessments. The systemic plasma and urine levels of ALA

5 and its metabolite protoporphyrin IX were measured. The plasma and urine concentrations of these compounds in ALA-treated patients were not increased in comparison to the naturally occurring physiological values in the same individuals.

10 The reference in this specification to any prior publication (or information derived from it), or to any matter which is known, is not, and should not be taken as an acknowledgment or admission or any form of suggestion that that prior publication (or information derived from it) or known matter forms part of the common general knowledge in the field of endeavour to which this specification relates.

15 Throughout this specification and the claims which follow, unless the context requires otherwise, the word "comprise", and variations such as "comprises" and "comprising", will be understood to imply the inclusion of a stated integer or step or group of integers or steps but not the exclusion of any other integer or step or group of integers or steps.

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THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

- 5 1. A nanoemulsion comprising a) at least one aqueous component and b) a carrier, which comprises i) at least one lipophilic component being present in an amount of from 0.1% by weight to 15% by weight, based on the total weight of the nanoemulsion, ii) at least one surfactant and iii) at least one alcohol,
10 wherein the at least one alcohol has from three to five carbon atoms, and wherein the mean diameter of the emulsified particles is less than 100 nm.
2. The nanoemulsion of claim 1, wherein the carrier is present in the aqueous component.
- 15 3. The nanoemulsion of claim 1 or 2, wherein the surfactant is present in an amount of from 1% by weight to 30% by weight and the alcohol is present in an amount of from 0.1% by weight to 10% by weight, based on the total weight of the nanoemulsion.
- 20 4. The nanoemulsion of any one of the preceding claims, wherein the aqueous component is present in an amount of from 50% by weight to 98% by weight, based on the total weight of the nanoemulsion.
- 25 5. The nanoemulsion of any one of the preceding claims, wherein the at least one alcohol is isopropylalcohol and/or 1-propylalcohol.
6. The nanoemulsion of any one of the preceding claims, wherein the at least one lipophilic component are triglycerides and/or a mixture thereof.
- 30 7. The nanoemulsion of any of one the preceding claims, wherein the at least one surfactant is lecithin and/or a polyoxyethylene-type surfactant.

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8. The nanoemulsion of claim 7, wherein the lecithin is soy lecithin and the polyoxyethylene-type surfactant is Polysorbate 80.
9. The nanoemulsion of claim 8, wherein the soy lecithin has a phosphatidylcholine content of at least 80% by weight.
10. The nanoemulsion of any one of the preceding claims, wherein the mean diameter of the emulsified particles ranges from 10 to 50 nm.
11. A process for the preparation of the nanoemulsion of any one of the preceding claims, comprising the following steps:
a) providing an aqueous component,
b) providing a carrier comprising at least one lipophilic component, at least one surfactant and at least one alcohol,
wherein the at least one alcohol has from three to five carbon atoms, and
c) mixing the aqueous component of step a) with the carrier of step b).
12. Pharmaceutical and/or cosmetic composition comprising the nanoemulsion of any one of claims 1 to 10.
13. A composition comprising a nanoemulsion as defined in any one of the preceding claims and an active agent.
14. The composition of claim 13, wherein the active agent is selected from the group consisting of 5-aminolevulinic acid, a derivative, precursor and/or metabolite thereof.
15. The composition of claim 14, wherein 5-aminolevulinic acid, a derivative, precursor and/or metabolite thereof is attached at the outer monolayer of the particles of the nanoemulsion.

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16. Pharmaceutical, cosmetic and/or diagnostic composition comprising the composition of any one of claims 13 to 15.
- 5 17. The composition of any one of claims 13 to 16, wherein the composition is present as a gel and comprises 0.01% by weight to 50% by weight of the active agent, 1% by weight to 60% by weight of the nanoemulsion, 0.01% by weight to 4% by weight of the at least one filling agent, 1% by weight to 3% by weight of the at least one preservative, based on the total weight of the composition, and the balance being the at least one solvent.
- 10
18. A process for the preparation of a composition as defined in any one of claims 13 to 17, comprising the following steps:
- 15 a) providing an aqueous component,
- b) providing a carrier comprising at least one lipophilic component, at least one surfactant and at least one alcohol, wherein the at least one alcohol has from three to five carbon atoms,
- c) mixing the aqueous component of step a) with the carrier of step b), in order to form a nanoemulsion,
- 20 d) adding the active agent prior to and/or after the formation of said nanoemulsion of step c), and
- e) optionally adding further additives and/or excipients to step d).
19. The process of claim 18, wherein the active agent in step d) is added after the formation of said nanoemulsion of step c).
- 25
20. Cosmetic use of a nanoemulsion of any one of claims 1 to 10 or of a composition of any one of claims 12 to 17 as anti-aging agent.

21. Use of a nanoemulsion of any one of claims 1 to 10 and/or a composition of any one of claims 12 to 17 for the manufacture of a medicament for the treatment of a virus-associated disease.
- 5 22. The use of claim 21, wherein the virus-associated disease is caused by a human papilloma virus (HPV).
- 10 23. The use of claim 22, wherein the virus-associated disease is Condylomata acuminata.
- 15 24. Use of a nanoemulsion of any one of claims 1 to 10 and/or a composition of any one of claims 12 to 17 for the manufacture of a medicament for the treatment of dermatological diseases.
- 20 25. The use of claim 24, wherein the disorder is selected from the group consisting of neurodermatitis.
26. The use of claim 25, wherein the dermatological disease is acne and/or a disease associated with keratosis.
27. Use of claim 26, wherein the keratosis is actinic keratosis.
- 25 28. Use of a nanoemulsion of any one of claims 1 to 10 and/or of composition of any one of claims 12 to 17 for the manufacture of a medicament for the treatment of a disease associated with cell proliferation.
- 30 29. The use of claim 28, wherein the disease associated with cell proliferation is tumor disease.

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30. The use of claim 29, wherein the tumor disease is selected from the group consisting of basal cell adenoma, squamous cell carcinoma, Morbus Bowen, vulvar intraepithelial neoplasia (VIN) and/or a nodular and /or subcutaneous cancer disease.
31. The use of claim 24 or 28, wherein the disease is psoriasis.
32. Use of a composition of any one of claims 14 to 17 for the manufacture of a medicament in the photodynamic therapy.
- 10
33. The use of claim 32, wherein said composition is administered to a patient in an effective amount and incubated for a period of time suitable for the provision of a sufficient amount of the active agent in the tissue to be treated, and wherein the tissue is subjected to light radiation.
- 15
34. Use of a composition of any one of claims 14 to 17 for the detection of proliferating cells.
35. Use of a composition of any one of claims 14 to 17 for the diagnosis of dermatological and/or tumor diseases.
- 20
36. Kit, comprising a composition of any one of claims 14 to 17 and at least one component, selected from
- 25
- a) a photoresist covering
- b) a means for attaching said covering to a site of application, and
- c) a means for applying said composition to a site of application.
- 30
37. Method of treating a virus-associated disease, a dermatological disease and/or a disease associated with cell proliferation comprising administering a pharmaceutically effective amount of a nanoemulsion of any one of claims

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1 to 10 and/or of a composition as defined in any one of claims 12 to 17 to a subject in need thereof.

- 5 38. The composition of any one of claims 12 to 16, wherein the at least one lipophilic component is Miglyol, the at least one surfactant is lecithin, preferably soy lecithin, and/or a polyoxyethylene-type surfactant, preferably Polysorbate 80.
- 10 39. The nanoemulsion of claim 1 substantially as hereinbefore described with reference to any one of the Examples.

Fig. 1 /6

GAUSSIAN SUMMARY:

| | | | |
|-------------------|-------------------|---------------------|--------------------------------|
| Mean Diameter | = 32.2 nm | Variance (P.I.) | = 0.340 |
| Std. Deviation | = 18.8 nm (58.3%) | Chi Squared | = 3.022 |
| Norm. Std. Dev. | = 0.583 | Baseline Adj. | = 0.000 % |
| (Coeff. of Var'n) | | Z-Avg. Diff. Coeff. | = 1.44E-007 cm ² /s |

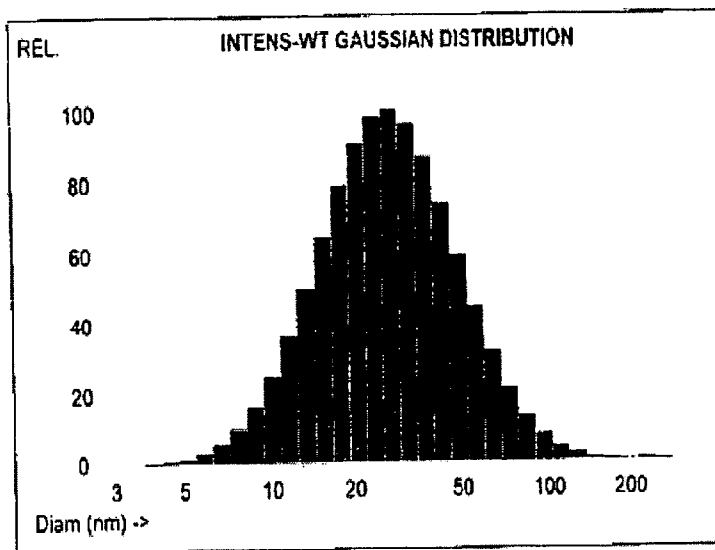


Fig. 2/6

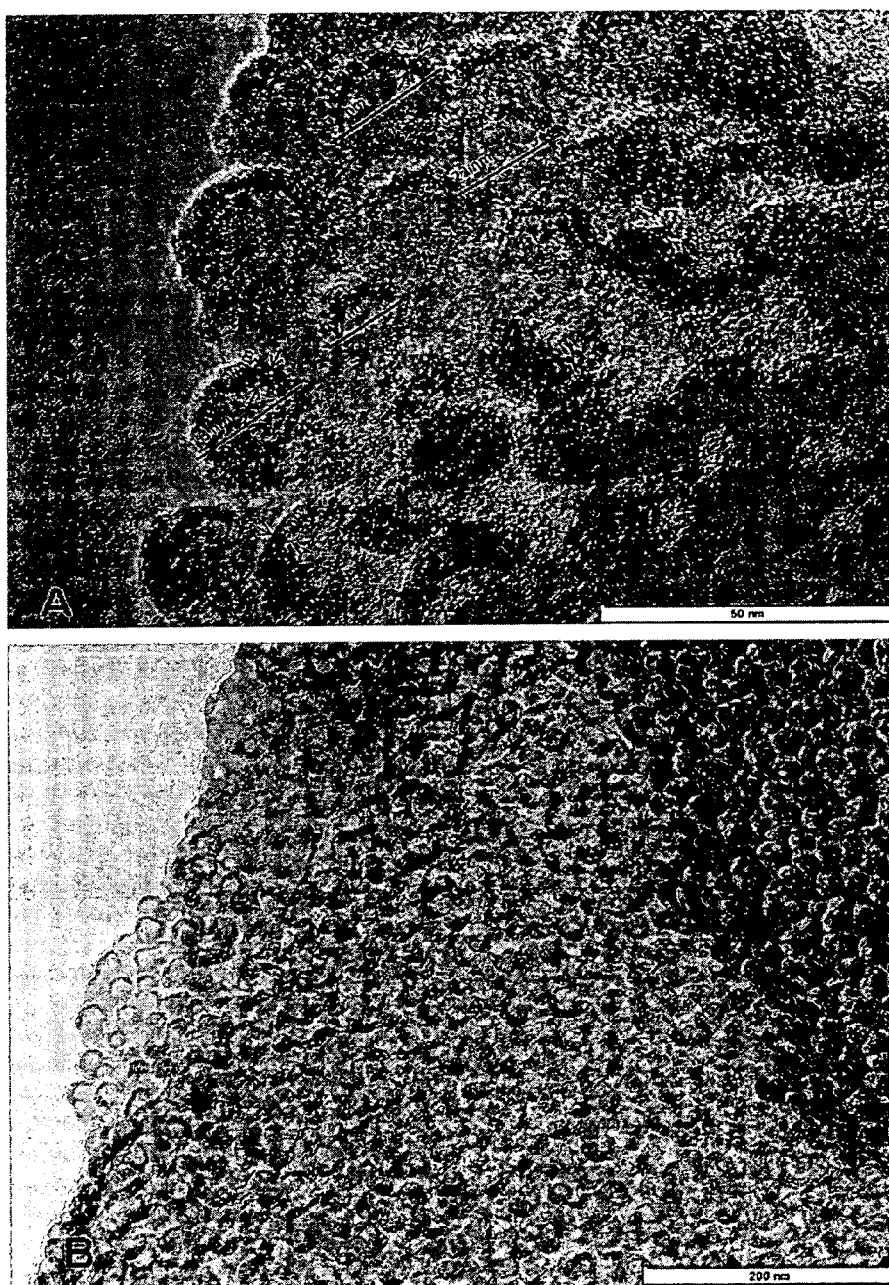


Fig. 3/6

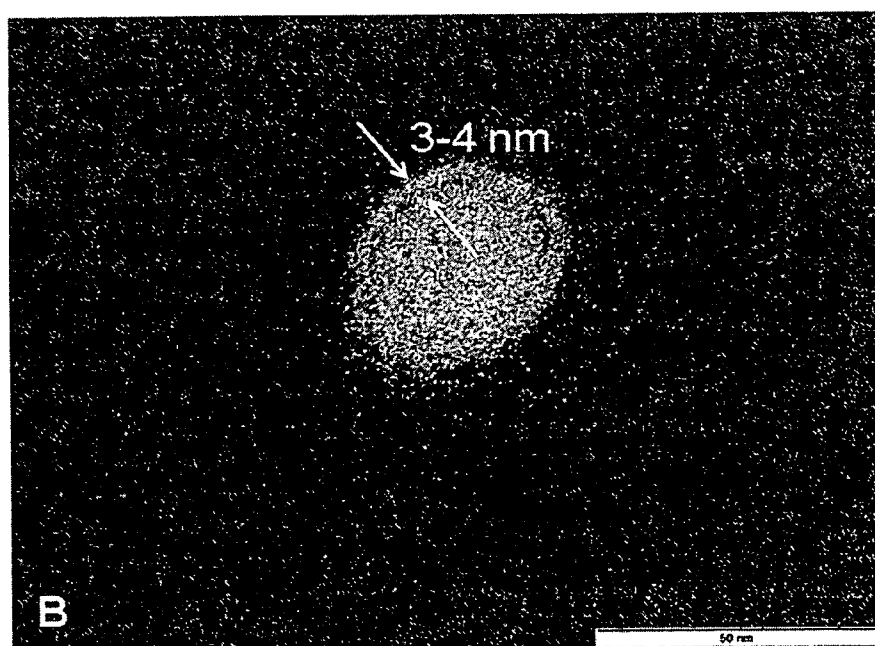
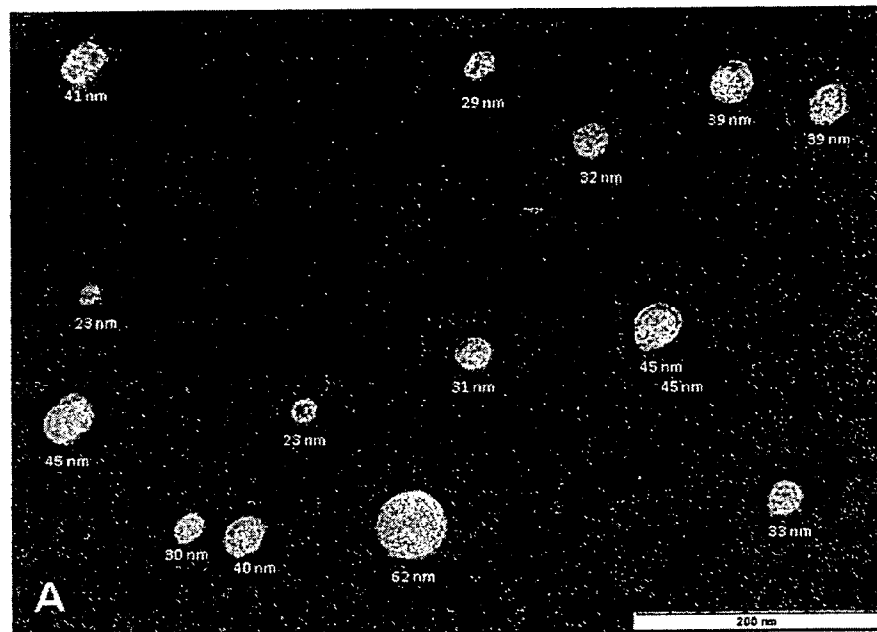


Fig. 4/6

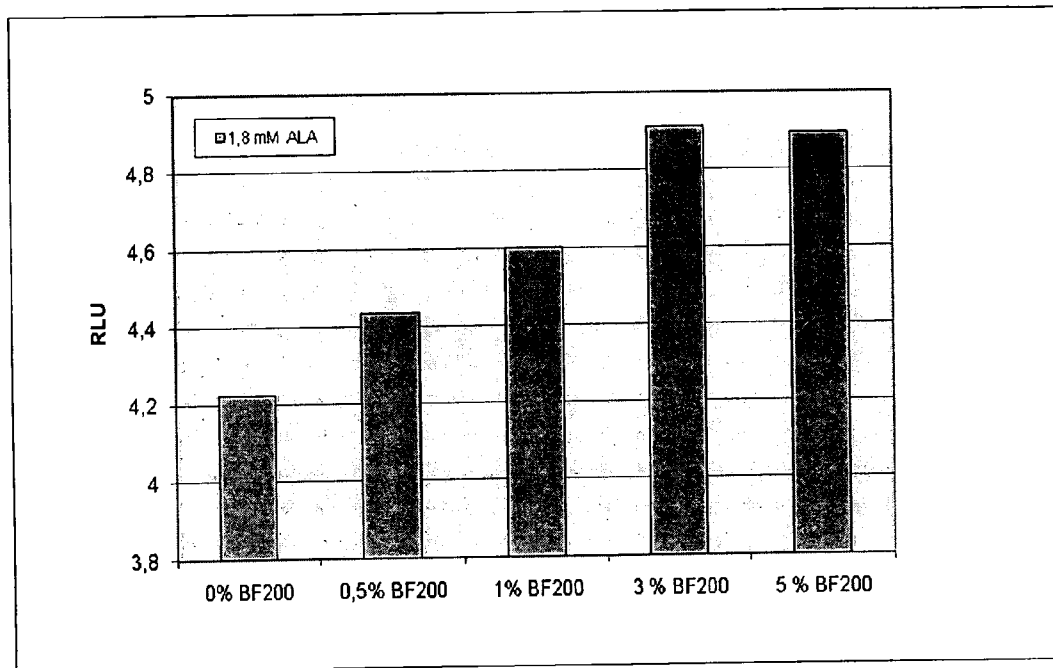


Fig. 5/6

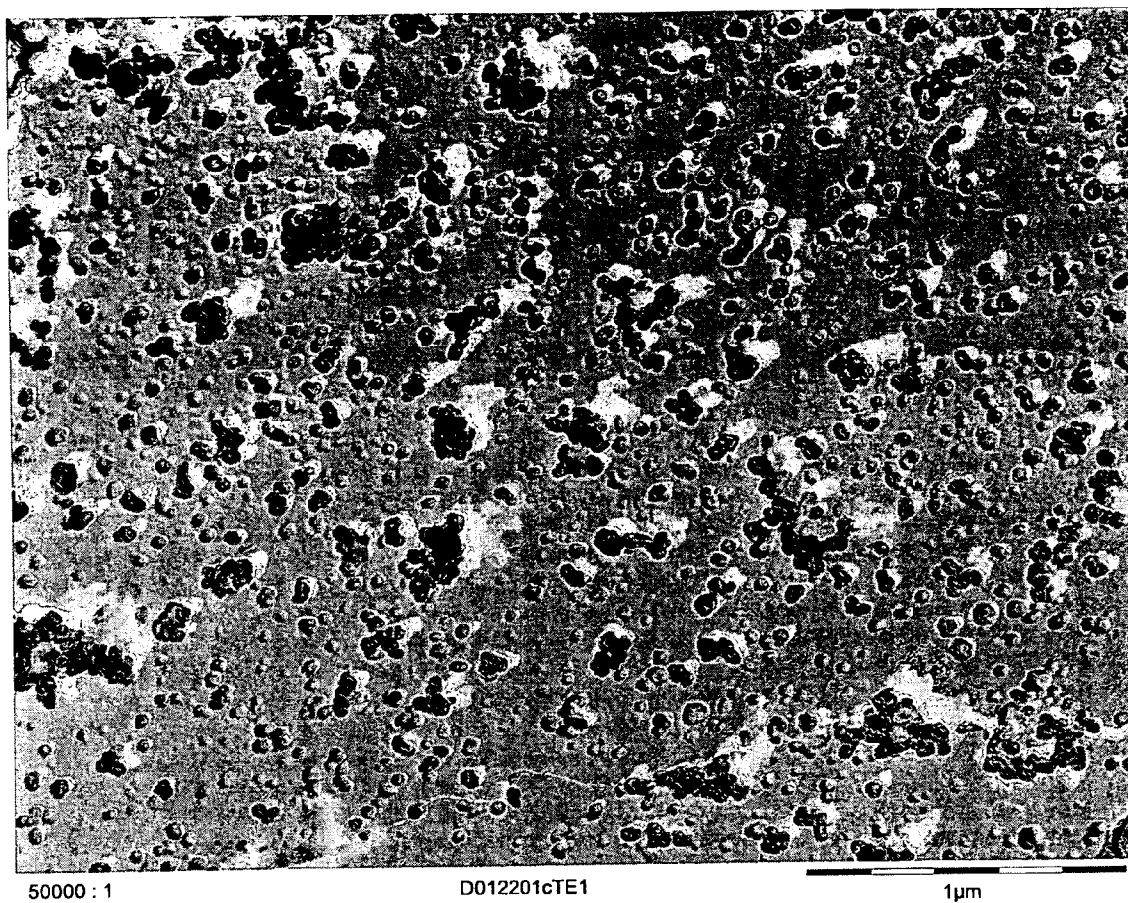


Fig. 6/6

