(30) Priority Data: 08/415,002

WORLD INTELLECTUAL PROPERTY ORGANIZATION



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:		(11) International Publication Number:	WO 96/30074
A61M 29/00 A1	(43) International Publication Date:	3 October 1996 (03.10.96)	

(21) International Application Number: PCT/US96/04098 (81) Designated States: CA, JP, European patent (AT, BE, CH, DE, (22) International Filing Date: 26 March 1996 (26.03.96)

US

Published

31 March 1995 (31.03.95)

(71) Applicant: MICRO INTERVENTIONAL SYSTEMS, INC. [US/US]; Suite 2, 680 West Maude Avenue, Sunnyvale, CA 94086 (US).

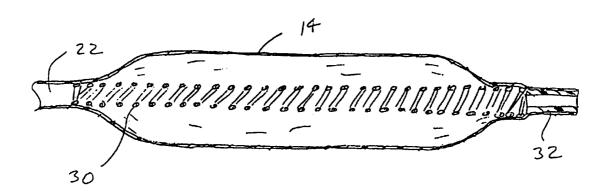
(72) Inventor: TREMULIS, William, S.; 97 Pelican Lane, Redwood City, CA 94065 (US).

(74) Agents: HESLIN, James, M. et al.; Townsend and Townsend and Crew, Steuart Street Tower, 20th floor, One Market Plaza, San Francisco, CA 94105 (US).

DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

With international search report.

(54) Title: SINGLE-LUMEN BALLOON CATHETER AND METHOD FOR ITS INTRALUMINAL INTRODUCTION



(57) Abstract

A balloon catheter (10) has a combined balloon inflation and guide wire lumen (22). A guide wire tube (32) in a distal port (20) of the lumen (22) inhibits loss of inflation medium through the port (22) even when the balloon (14) is inflated at relatively high pressures. The guide wire tube (32) provides sufficient clearance with the guide wire (GW), however, so that the catheter (10) may be axially translated over the guide wire (GW) without excessive friction.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
ΑT	Austria	GE	Georgia	MX	Mexico
ΑU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic	SD	Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SG	Singapore
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LR	Liberia	SZ	Swaziland
CS	Czechoslovakia	LT	Lithuania	TD	Chad
CZ	Czech Republic	LU	Luxembourg	TG	Togo
DE	Germany	LV	Latvia	TJ	Tajikistan
DK	Denmark	MC	Monaco	TT	Trinidad and Tobago
EE	Estonia	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	UG	Uganda
FI	Finland	ML	Mali	US	United States of America
FR	France	MN	Mongolia	UZ	Uzbekistan
GA	Gabon	MR	Mauritania	VN	Viet Nam

SINGLE-LUMEN BALLOON CATHETER AND METHOD FOR ITS INTRALUMINAL INTRODUCTION

BACKGROUND OF THE INVENTION

1. Field of the Invention

5

10

15

20

25

The present invention relates generally to the structure and use of medical catheters. More particularly, the present invention relates to the construction and use of a balloon catheter having at least one lumen capable both of receiving a movable guide wire and of supplying inflation medium to the balloon.

Balloon catheters are used for a variety of medical procedures including vessel dilatation, distal anchoring of a catheter, anchoring and positioning of guide catheters, and the like. Of particular interest to the present invention, balloon catheters are frequently introduced to a target location within a body lumen over a guide wire. wire may be introduced percutaneously or through an open surgical incision and may then be advanced to a target site within the body lumen. The balloon catheter is then introduced over the guide wire until the balloon reaches the target site. After reaching the target site, the balloon can be used for a therapeutic purpose, e.g., dilatation of the body lumen, or as an anchor, positioning device, or the like. Heretofore, it has generally been necessary to provide separate lumens within the catheter for receiving the guide wire and for supplying inflation medium to the balloon.

The need to provide separate balloon inflation lumens and guide wire-receiving lumens is problematic in balloon catheters intended for introduction to very small body lumens, such as the coronary arteries and the vasculature of the brain. It will be appreciated that the need to provide two separate, parallel lumens necessarily increases the diameter or profile of the catheter, limiting the ability to

5

10

15

20

25

30

35

2

introduce the catheter to the smallest blood vessels and other body lumens.

A number of balloon angioplasty designs have attempted to provide single or a common lumens for both balloon inflation and for receiving a guide wire. The problem with combining these two functions in a single lumen is that a seal must be provided in the distal guide wire exit port to prevent the loss of inflation medium when the balloon is inflated. This is a particular problem with balloon angioplasty catheters, where inflation occurs at very high pressures, typically above 8 atmospheres.

A number of attempts have been made to combine such functions, but none have been entirely successful. example, U.S. Patent No. 5,348,537 describes a hydrophilic sealing element within the distal end of a combination guide wire and balloon inflation lumen. While the seal formed will probably be adequate, the constriction of the seal about the guide wire exit port will add substantial friction, inhibiting repositioning of the catheter over the guide wire. Patent 5,304,198, describes the use of a core wire to selectively open and close a valve at the distal end of a balloon catheter inflation lumen. Although the core wire can also act as a guide wire, the need to provide such a specialized guide wire limits the choice of movable guide wire to be employed in the system. Also, depending on the relative positions of the valve and wire, exchange of the wire or the catheter is impossible.

For these reasons, it would be desirable to provide improved balloon catheters having combined balloon inflation and guide wire-receiving lumens which overcome at least some of the deficiencies noted above. In particular, it would be desirable if such catheters and methods were usable with conventional, movable guide wires and permitted loading of the catheter over the proximal end of a guide wire which has been previously introduced to the target body lumen, such as a blood vessel. Such catheters and methods should permit relatively free movement of the catheter over the guide wire, typically presenting a friction force below about 0.5 g to the

10

15

20

25

30

35

user, while inhibiting the loss of inflation medium when the balloon is inflated, typically to a volume under 2 cc/min when the balloon is inflated even at 10 atmospheres or above.

2. Description of the Background Art

U.S. Patent No. 5,318,529, describes an angioplasty balloon catheter having a guide wire tube which receives a guide wire permanently received in an inflation lumen of the catheter. The guide tube has a very close tolerance over guide wire, preferably below 0.0005 inch and optionally filled with a thixotropic material or elastomeric seal to prevent blood seepage and loss of inflation medium. Friction between the guide tube and guide wire is relied on to transmit axial force to the balloon. U.S. Patent No. 5,348,537, describes a balloon angioplasty catheter having a hydrophilic sealing element at a distal end of an inflation lumen, where swelling of the hydrophilic element prevents leakage of inflation medium past the seal. U.S. Patent No. 5,304,198, describes a single-lumen balloon catheter having a valve seat at a distal end of the single lumen. A valve plug, e.g. in the form of a ball, is placed on a control wire to permit selective opening and sealing of the lumen, and the control wire may optionally terminate in a coil tip. Other patents disclosing balloon catheters having guide wire and inflation lumens in different combinations include U.S. Patent Nos. 5,380,282; 5,378,237; 5,364,347; 5,330,428; 5,312,340; 5,246,420; and 5,201,754.

SUMMARY OF THE INVENTION

According to a method of the present invention, a balloon catheter is loaded over the proximal end of a guide wire so that a constant diameter portion of the guide wire is received in a catheter lumen having a distal guide wire port. The balloon catheter is axially translated over the constant diameter portion of the guide wire in order to position the balloon at a target location within a body lumen of a patient. After reaching the target location, the balloon is inflated with a liquid inflation medium through the catheter lumen. The guide wire port has a fixed diameter which is sufficiently

4

large to permit relatively free movement of the catheter over the constant diameter portion of the guide wire but is sufficiently small to inhibit loss of inflation medium when the balloon is inflated, even at relatively high pressures. In particular, when the balloon is inflated to a pressure 10 atmospheres, the loss of liquid inflation medium will be below 2 cc/min, preferably being below 1 cc/min, and more preferably being below 0.1 cc/min. When axially translating the balloon catheter over the guide wire, the frictional force will preferably be below about 0.5 g.

5

10

15

20

25

30

35

A balloon catheter according to the present invention is intended for use in combination with a separate, movable guide wire of the type commonly used in medical procedures, particularly intravascular procedures, such as angioplasty. The balloon catheter includes a catheter body having a distal end, a proximal end, and an inflation lumen extending between said ends. A guide wire port is formed at the distal end of the inflation lumen, and a balloon is mounted on the catheter body near the distal end. The balloon is connected to receive liquid inflation medium from the inflation lumen, and the guide wire port has a region with a fixed diameter selected to permit free movement of the catheter over a constant diameter portion of the separate guide wire while inhibiting loss of inflation media, even when the balloon is inflated at relatively high pressures. preferred embodiment, guide wire port includes a tube having an axial lumen for slidably receiving the guide wire. tube has a length in the range from about 0.5 mm to 5 mm, and an axial lumen diameter in the range from 0.2 mm to 1 mm. axial lumen of the tube will have a diameter selected to provide a clearance over the guide wire which results in a sliding force and leakage rate in the ranges set forth above. The annular clearance will usually be in the range from 0.003 mm to 0.02 mm. The tube will be composed of a lubricous polymer, typically selected from the group consisting of polyethylene, such as polytetrafluoroethylene, polyimide, and polyurethane. In the exemplary embodiment, the balloon catheter further comprises a coil support element extending

10

20

through the balloon, typically connecting the distal tube to proximal portions of the catheter body. Usually, a hemostatic seal will be provided at the proximal end of the inflation lumen for sealing against the guide wire. Alternatively, a guide wire exit port may be provided in an intermediate region of the catheter body so that the catheter may be introduced over the guide wire in a "rapid exchange" or "monorail" manner. In the latter case, it will also be necessary to size the guide wire exit port (and optionally provide a tube therein) to inhibit loss of inflation medium without substantially impeding axial translation of the catheter over the guide wire.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a side elevational view of a balloon catheter constructed in accordance with the principles of the present invention, shown introduced over a separate guide wire.

Fig. 2 is a detailed view of the distal end of the balloon catheter of Fig. 1, shown in section.

Fig. 3 is an even more detailed view of the distal tip of the catheter of Fig. 1, shown in section, over a separate guide wire.

DESCRIPTION OF THE SPECIFIC EMBODIMENTS

The present invention provides both a balloon catheter and a method for introducing the balloon catheter 25 over a separate guide wire to a target site within a body The catheter will usually be a balloon angioplasty catheter, and the target site will usually be a region of stenosis within a patient's vasculature. It will be appreciated, however, that the design and method could be used 30 with a variety of other medical catheters where the ability to combine the guide wire-receiving and balloon inflation functions in a single catheter lumen will be advantageous, particularly by reducing the diameter of the catheter at at 35 least the distal end.

5

10

15

20

30

35

6

The balloon catheter of the present invention will comprises a catheter body having a proximal end and a distal end, and an inflatable balloon mounted near the distal end of the catheter body. The dimensions, materials, and construction of the catheter body may vary widely and will depend on the particular application intended for the In the case of angioplasty and other intravascular catheter. catheters, the catheter body will typically have the length in the range from 50 cm to 200 cm, usually in the range from The outside diameter of the catheter body 75 cm to 150 cm. will typically be in the range from 2 F (1 F (French) equals 0.33 mm) to 12 F, typically from 3 F to 10 F. The ability to combine the balloon inflation and guide wire-receiving functions in a single lumen makes the present invention particularly advantageous for small-diameter catheters, typically having a diameter from 2 F to 6 F over at least their distal ends. The catheter body will usually be formed by extrusion of an organic polymer, such as polyethylenes, polyvinylchlorides, polyurethanes, polyesters, polytetrafluorethylenes (PTFE), and the like. Optionally, the catheter body may be formed as a composite having a reinforcement material incorporated within the polymeric body in order to enhance its strength, flexibility, and toughness. Suitable enforcement layers include braiding, wire mesh

Suitable enforcement layers include braiding, wire mesh
layers, and the like. The catheter body will typically be
formed with at least one continuous lumen extending from the
proximal end to distal end being provided for both balloon
inflation and guide wire-receiving functions, as described in
greater detail below.

The balloon at or near the distal end of the catheter body may be formed separately or together with the body itself. For example, the balloon can be extruded and formed in a separate step, and joined thereafter to the distal end of the catheter body in a conventional manner, e.g. by heat fusing or adhesives. Alternatively, its possible to form the catheter body and inflatable balloon as a single extrusion, where the dimensions of the balloon are usually imparted by heat expansion and setting. In either case, the

10

interior of the balloon will usually be open to the continuous lumen of the catheter body which serves as the inflation conduit.

A proximal hub will normally be provided at the proximal end of the catheter body. The hub will serve to provide access to the inflation/guide wire lumen, typically including at least two separate ports. A first port will be provided for connection to a balloon inflation source for providing pressurized, liquid inflation medium. A second port will be provided for introducing the guide wire, typically having a hemostatic valve or sealing element therein. The construction of such hubs and connection ports is conventional.

A guide wire tube will preferably be provided in the 15 distal guide wire port of the catheter body lumen will have dimensions and will be composed of a material selected to provide a proper balance between friction against the movable guide wire when the catheter is being axially translated thereover and leakage of balloon inflation medium when the balloon is inflated. Preferably, the dimensions will be 20 chosen to provide a sliding force against a conventional stainless steel guide wire below about 0.5 g, preferably below about 0.25 g. At the same time, the guide wire tube should inhibit leakage of inflation medium to below about 2 cc/min, preferably below about 0.1 cc/min, and more preferably being 25 below 0.1 cc/min, even when the balloon is inflated up to about 10 atmospheres with a conventional aqueous-based balloon inflation medium, such as contrast media. Typically, the tube will have a length in the range from about 0.25 mm to 5 mm, preferably from 0.5 mm to 1 mm, and an axial lumen diameter in 30 the range from 0.2 mm to 1 mm, typically from 0.25 mm to The most critical dimension, of course, is relative size of the axial lumen diameter to the outer diameter of the separate guide wire. Typically, the difference between these 35 diameters will be in the range from 0.001 mm to 0.03 mm, preferably being in the range from about 0.003 mm to 0.02 mm. The guide wire tube will have a lubricous axial lumen surface, typically being composed from a lubricous material, such as

8

polyethylene, polytetrafluoroethylene, polyimide, polyurethane, and the like.

5

10

15

20

25

30

35

Referring now to Figs. 1-3, an angioplasty balloon catheter 10 comprises a catheter body 12 having an inflatable balloon 14 at its distal end and a proximal hub 16 at its proximal end. The hub includes a balloon inflation port 18 and a guide wire port 20, both of which communicate with an axial lumen 22 (Fig. 2) extending fully between the proximal hub 16 and the balloon 14.

Referring in particular to Figs. 2 and 3, a support coil 30 extends from the distal end of lumen 22 to a guide wire tube 32 which is located distally of balloon 14. Support coil 30 provides mechanical support and column strength for the balloon region of the catheter. A guide wire GW is received through the guide wire tube 32, as best seen in Fig. 3. The guide wire tube is configured and constructed as described above in order to permit relatively free axial translation of the catheter 10 over the guide wire GW while substantially inhibiting the loss of balloon inflation medium contained within the balloon 14.

The balloon catheter 10 is introduced over the separate, movable guide wire GW in a conventional manner. Usually, the balloon catheter 10 will be loaded over the proximal end of the guide wire GW, after the guide wire has been positioned within the target body lumen. The balloon catheter 10 may then be advanced over the guide wire so that the balloon 14 also reaches the target location. During such introduction, of course, the balloon may be relatively easily axially translated over the guide wire to achieve the desired positioning. Once in place, the balloon is inflated with loss of inflation medium inhibited for the reasons described above.

While the invention has been described with reference to specific embodiments, the description is illustrative of the invention and is not to be construed as limiting the invention. Various modifications and applications may occur to those skilled in the art without departing from the true spirit and scope of the invention as defined by the appended claims.

4

5 6

7

8

9 10

WHAT IS CLAIMED IS:

1. A method for introducing a balloon catheter
 2 over a guide wire, said method comprising:

loading the balloon catheter over a proximal end of the guide wire, wherein a constant diameter portion of the guide wire is received in a catheter lumen having a distal guide wire port;

axially translating the balloon catheter over the constant diameter portion of the guide wire to position the balloon at a target location within a body lumen of a patient; and

inflating a balloon of the balloon catheter with a liquid inflation medium through the catheter lumen, wherein the guide wire port has a fixed diameter which permits free movement over the constant diameter portion of the guide wire but inhibits loss of inflation medium while the balloon is inflated.

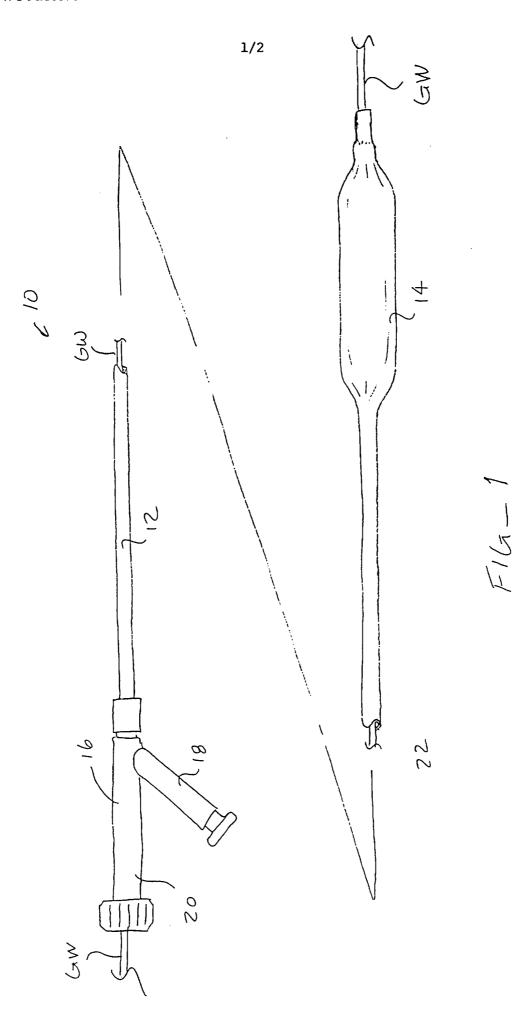
- 2. A method as in claim 1, wherein the balloon is inflated to a pressure of 10 atmospheres and the loss of inflation medium is below 2 cc/min.
- 3. A method as in claim 1 and 2, wherein the balloon catheter may be axially translated over the guide wire with a force below about 50 g.
- 1 4. A method as in claim 1, wherein the balloon catheter is positioned within a blood vessel.
- 5. A balloon catheter for use in combination with a separate, removable guide wire, said balloon catheter comprising:
- a catheter body having a distal end, a proximal end,
 an inflation lumen extending between said ends, and a guide
 wire port at the distal end of the inflation lumen; and

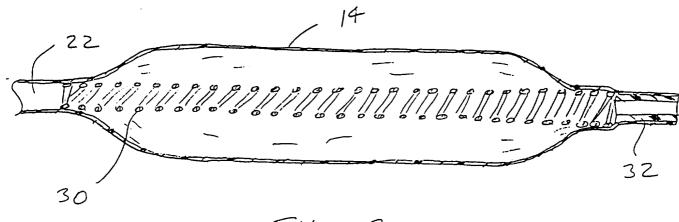
10

- a balloon near the distal end of the catheter body and connected to receive liquid inflation medium from the inflation lumen;
- wherein the guide wire port has a region with a
 fixed diameter selected to permit free movement over a
 constant diameter portion of the separate removable guide wire
- and to inhibit loss of inflation medium while the balloon is
- 14 inflated.
- 1 6. A balloon catheter as in claim 5, wherein the
- 2 guide wire port includes a tube having an axial lumen for
- 3 slidably receiving the guide wire.
- 7. A balloon catheter as in claim 6, wherein the
- 2 axial lumen has a diameter which provides an annular clearance
- over the guide wire in the rage from 0.001 mm to 0.03 mm.
- 1 8. A balloon catheter as in claim 6, wherein the
- tube has a length in the range from 0.25 mm to 5 mm and an
- 3 axial lumen diameter in the range from about 0.2 mm to 1 mm.
- 9. A balloon catheter as in claim 8, wherein the
- 2 tube is composed of a polymer selected from the group
- 3 consisting of polyethylene, polytetrafluoroethylene,
- 4 polyimide, and polyurethane.
- 1 10. A balloon catheter as in claim 5, further
- 2 comprising a coil support element extending through the
- 3 balloon.
- 1 11. A balloon catheter as in claim 5, further
- 2 comprising a hemostatic seal at the proximal end of the
- 3 inflation lumen for sealing against the guide wire.

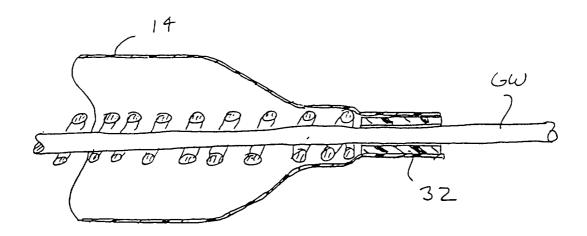
11

1	12. A balloon catheter system comprising in
2	combination:
3	a balloon catheter as in any of claims 5 to 11; and
4	a movable guide wire having a constant diameter
5	portion, wherein the guide wire is apart from but receivable
6	within the inflation lumen of the balloon catheter.





F16-2



F16-3

INTERNATIONAL SEARCH REPORT

International application No. PCT/US96/04098

A. CLASSIFICATION OF SUBJECT MATTER IPC(6) :A61M 29/00 US CL :604/96 According to International Potent Classification (IPC) on to both national classification and IPC						
According to International Patent Classification (IPC) or to both national classification and IPC						
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols)						
U.S.: 604/96, 99-101; 606/192, 194						
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched						
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)						
APS						
C. DOCUMENTS CONSIDERED TO BE RELEVANT						
Category* Citation of document, with indication, where a	appropriate, of the relevant passages Relevant to claim No.					
X US, A, 4,813,934 (ENGELSON see Figs. 2 and 3, and column 7						
X US, A, 5,318,529 (KONTOS) 0 and column 4 lines 46-49.	7 June 1994, see Fig. 10, 1, 4-8, 10, 12					
X US, A, 5,364,354 (WALKER ET see Figs. 1-3, and column 8 line	i i i i i i i i i i i i i i i i i i i					
Further documents are listed in the continuation of Box (C. See patent family annex.					
Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the					
"A" document defining the general state of the art which is not considered to be part of particular relevance	principle or theory underlying the invention					
"E" earlier document published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document in taken alone					
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other	"Y" document of particular relevance; the claimed invention cannot be					
special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means	considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art					
P document published prior to the international filing date but later than the priority date claimed	'&' document member of the same patent family					
Date of the actual completion of the international search Date of mailing of the international search report						
14 MAY 1996	1 3 JUN 1996					
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks	Authorized officer					
Box PCT Washington, D.C. 20231	CORRINE M. MCDERMOTT					
Washington, D.C. 20231 Encerimite No. (703) 305-3230	Telephone No. (703) 308-2111					