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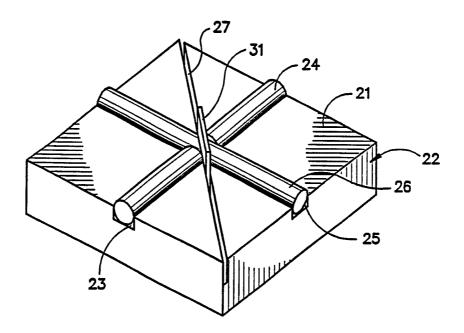
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(54) Title: MODULAR MICRO-OPTICAL SYSTEMS AND METHOD OF MAKING SUCH SYSTEMS



#### (57) Abstract

A method of making modular micro-optical systems of great flexibility and adaptability. A substrate (22) is formed with a relief pattern (23), optical components such as optical fibers (24) are added, another relief pattern (25) is formed, partially through the optical components, and additional components (26) are added, some coupling with the first components. A third relief pattern (41) is formed, further modifying the substrate surface and the optical components already mounted, and further optical components (31) are added, whereby optical signals can be coupled, modulated, transmitted, detected and switched, among others. The method can be employed to form tactile sensors which may be used with robotic manipulators.

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# MODULAR MICRO-OPTICAL SYSTEMS AND METHOD OF MAKING SUCH SYSTEMS

#### 5 TECHNICAL FIELD

This invention relates generally to optical information systems and more particularly concerns a flexible, modular, micro-optical system which facilitates creation of custom devices which may be comprised of variable numbers of components having different characteristics and which components may be integrated in different ways for any particular device.

#### BACKGROUND ART

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optical systems have in the past been constructed with discrete optical components such as optical fibers, mirrors, lenses, filters, detectors, lasers, light emitting diodes, wave guides and optical sensors. These components have been extensively used and may be utilized in many types of applications. However, there are instances, particularly with the current emphasis on miniaturization, where discrete components are often too bulky and too difficult to interconnect.

Some of these obstacles have been overcome by the several integrated optics, where of development or mounted are made different optical components directly on a substrate. A large variety of miniature components and systems has been made possible by this A result of this type of miniaturization has approach. increased manufacturing some instances greatly complexity and reduced flexibility which prevents the circuit designer or engineer from rapidly changing designs.

It has been common to employ three-dimensional interconnecting structures for optical fibers in predetermined fashions. Examples of such three-dimensional structures are found in U.S. patents 4,339,290, 4,498,731 and 4,130,345. In the first of the above three patents, and in U.S. patent 4,483,582, building blocks are employed in optical systems where the adjacent building blocks are aligned by physical with each other and not by 10 interconnection with a master substrate or equivalent framework.

Different means have been devised for holding optical fibers in predetermined locations. Some of these use a polymeric relief pattern such as disclosed in U.S. patents 4,339,290 and 4,164,364. These patterns may be photolithographically created in thick film patterns. These prior art thick film patterns are only used for alignment of optical fibers and have no other functions.

20 The optical systems of U.S. patents 4,306,765, 4,130,343, and the first three patents mentioned above, disclose semi-reflective or transmissive layers on or in conjunction with optical fiber surfaces. The use of such layers is well known in optical fiber systems. 25 However, one problem with several of the prior art multiple element systems of the types mentioned above is that because the components depend upon accurate surface treatment for proper alignment, errors cumulative. In this way, a system so constructed can be 30 very inaccurate in certain aspects of its alignment due to such cumulative errors.

An example of a micro-optical building block system is shown in U.S. patent 4,789,214. This patent shows

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the use of predetermined relief patterns which facilitate interconnection or alignment of building block elements. Specifically, it implies a flat master substrate with building blocks having a flat surface with mating relief patterns on them. This structure is somewhat limited in its flexibility because of the fact that at least one of the mating or confronting surfaces have predetermined relief patterns and are flat.

## 10 DISCLOSURE OF INVENTION

Broadly speaking, this invention relates micro-optical systems based on surfaces which may be flat or curved, where the curves are either simple or complex, and where the relief patterns may be created for the specific end use of the optical system while the modular device is being built. It is therefore an improvement over the prior art in that the building blocks need not have flat surfaces and the relief patterns need not be predetermined. Furthermore, accordance with this invention, the building blocks of these micro-optical systems need not have mating relief When the relief pattern, according to the invention, is used to align optical fibers, the pattern aligns and supports those fibers on a master substrate. substrate surface may be flat, cylindrical, spherical, or any other flat or curved surface.

The invention accomplishes its purpose by means of a method of forming a modular micro-optical building block system, said method being characterized by forming a master substrate having a working surface, forming a first relief pattern on the working surface thereby creating discontinuities thereon, mounting first optical component means in the relief pattern, forming a second

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relief pattern in the working surface which includes the optical component means, and mounting second optical component means in the second relief pattern.

accordance with the invention, the pattern formed on the master substrate can be formed by grooves which are cut, milled, or etched into the substrate or they can be formed by photolithography, or a combination of methods. The relief pattern can be as little as one groove or may include many grooves. Additionally, the relief pattern may be comprised of lands or other features, as well as grooves. At least one optical component such as an optical fiber will normally be mounted in the groove or pattern. pattern will align the optical component on the surface 15 the master substrate, both translationally rotationally. Alternatively to forming the pattern in the substrate surface, a coating may be deposited on or otherwise applied to the surface of the substrate in a way that creates a relief pattern, or a continuous added 20 surface may be selectively etched to form the pattern. The term "optical feature" will be used herein to refer to elements which affect optical signals and are formed in or mounted to the substrate. As an alternative step, when the grooves have been formed and the optical 25 components have been added to the substrate relief pattern, epoxy, glue, solder or other appropriate bonding means may be employed to fix the building blocks and the substrate together.

More specifically, the method starts with forming a relief pattern on a master substrate. One or more optical components, such as optical fibers, are affixed to the substrate using the initial pattern for partial or full alignment of the components. Additional relief

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pattern elements are formed on the partially completed assembly as needed. This may include either additional relief pattern elements formed on or in the master substrate or on or in the already assembled components, or both. Additional optical or optoelectronic devices or materials are added to the enhanced pattern. These steps of building the modular optical device are repeated as necessary until a complete component or system results.

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## BRIEF DESCRIPTION OF DRAWING

The objects, advantages and features of this invention will be more readily appreciated from the following detailed description, when read in conjunction with the accompanying drawing, in which:

Fig. 1 is a perspective view of a simple substrate showing a groove formed in one surface;

Fig. 2 shows a perspective of the substrate of Fig. 1 with an optical fiber mounted in the groove;

Fig. 3 is a perspective view of the substrate of Fig. 2 showing a second groove;

Fig. 4 is an end view of the substrate of Fig. 3 with a second optical fiber mounted in the second groove;

Fig. 5 is a perspective view of the component of 25 Fig. 4 with an added diagonal groove and a reflective optical component added;

Fig. 6 is a top view of a device which is a modified version of that shown in Fig. 5;

Fig. 7 is a perspective exploded view of a more 30 complex tactile sensor system constructed in accordance with the invention;

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Fig. 8 is a partially schematic plan view of a device similar to Fig. 7 with exemplary input and output devices;

Fig. 9 is a perspective view of an alternative substrate including optical fibers and an optoelectronic device in grooves in the surface of the substrate;

Fig. 10 is a perspective schematic view of a simple curved surface constructed in accordance with the invention:

Fig. 11 is a sectional view of a fiber optic microphone constructed in accordance with this invention;

Fig. 12 is a sectional view of an alternative embodiment of a building block with an optical waveguide made in accordance with the invention; and

Fig. 13 is a sectional view of another alternative embodiment of a building block with an optical waveguide.

### BEST MODES FOR CARRYING OUT THE INVENTION

With reference now to the drawing and more 20 particularly to Figs. 1-5 thereof, the basic method of the invention is described in detail. Fig. 1 shows surface 21 on master substrates 22 having a groove 23 formed therein. This surface and groove combination is an example of what is referred to throughout this description as a "relief pattern".

Examples of materials of which master substrate 22 may be formed include metals, dielectrics and semiconductors. Possible metals which can be used for making building block components include aluminum, stainless steel and brass. Appropriate dielectric materials may be glass, fused silica, ceramic, polymer material, a composite material such as graphite in a polymer host, or plastic. Typical semiconductor

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materials include silicon and gallium arsenide. Further the optical fibers themselves may be composed of plastic or crystalline material as well as optical glass. sophisticated materials may also be used and these may be different kinds of optically nonlinear polymers and crystals. Additionally, each building block may be made of one or several different materials. For example, a certain constituent part of one building block of an optical system made in accordance with this invention may be formed of a dielectric while another part of the same building block is made of aluminum. These two parts may be held together either by a permanent joint such as an adhesive, or a joint that enables the part to be separated, such as clips, bolts, screws or mating grooves.

The materials mentioned above are provided by way of example only and are not to be limiting. Any materials which can achieve the basic objectives and provide the characteristics desired for each element may be employed as part of the invention.

The second step in forming a modular micro-optical device is shown in Fig. 2 where the optical feature, optical fiber 24, is mounted in groove 23 of substrate 22. This is a typical optical fiber which is readily available. A common next step for the invention is shown in Fig. 3 where second groove 25 is formed in substrate 22 and through fiber 24. Groove 25 is shown here perpendicular to groove 23 and the already mounted fiber. A second optical fiber 26 is mounted in groove 25 as shown in Fig. 4. Finally, Fig. 5 shows a third groove 27 formed in substrate 22 and on a diagonal through optical fibers 24 and 26. Optical element 31 is mounted at the junction of groove 27 and the already

mounted optical fibers to couple a signal from one optical fiber to the perpendicular fiber. This optical feature, coupling element 31, may be either an optically coated or uncoated element. It can function as a filter allowing certain optical frequencies to pass while reflecting others. It may also function as a partial reflector allowing a certain percentage of the optical signal to pass through while reflecting the rest. The amount and frequencies passed or reflected are widely variable. More specifically, this assembly can be used to make fiber optic couplers with varying coupling ratios by changing the reflectively of micro-reflector 31.

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Using the same principles, but where the fibers would not normally be at a 90° angle, this approach, as evidenced in Figs. 1-5, facilitates fabrication of wavelength division multiplexers. For example, Fig. 6 shows a wavelength division multiplexer with two optical fibers 32 and 33 mounted in respective grooves 34 and 35 on surface 36 of master substrate 37. Another groove 41 is formed at an angle with both of the optical fibers to accommodate a wavelength dependent reflective element 42.

In addition to the applications for component fabrication, this method may also be used to construct sensors and sensor systems. An example of such a sensor system is shown in Fig. 7 where the individual optical features and other components are mounted in a master substrate to form a tactile sensor. Optical fibers 44 and 45 are embedded in the relief pattern represented by grooves 46 and 47 in substrate 51. These grooves may be formed photolithographically in an added coating, or they may be cut, etched or otherwise formed in the top

surface of the master substrate. A superstrate 52 is placed over the fibers on substrate 51 and cuts 53 and 54 are made through the superstrate and through the fibers thereby forming grooves 55 and 56 in substrate 51 and spaces 64 between aligned segments of fibers 44 and Physical shutter elements 61 are then mounted in superstrate cuts 53 and 54, extending between the segments of the optical fibers into grooves 55 and 56 in the substrate. A flexible skin element 62 is mounted above superstrate 52 to which shutter elements 61 are Skin element 62 may be formed with convex or concave localized areas 63 to which shutter elements 61 are connected. When areas 63 are convex as viewed from the top in Fig. 7, shutter elements 61 normally reside above spaces 64 between the segments of optical fibers When an area 63 is depressed the shutter 44 and 45. element extends between the optical fiber segments to thereby occlude or redirect light signals passing If areas 63 are concave, the shutter between them. elements will normally be in position within spaces 64 in the optical fibers and will be lifted out of those gaps when areas 63 are moved upward, thereby permitting signals to pass between the optical fiber segments. is possible that the shutter element may either be opaque material or a partially reflecting material, for example.

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A top view of an optical system which is constructed in a way somewhat similar to that of Fig. 7 is shown in Fig. 8. This is a multiplexer comprised of several elements much like those shown in Fig. 5, with an array of optical fibers 65 arranged horizontally in Fig. 8 with fibers 66 perpendicularly intersecting them. At the intersection of each combination of fibers

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65 and 66 is a shutter 67 residing in grooves 71. shutters may be individually movable or they may be ganged in any combination. Light source elements 72, which may be light emitting diodes or lasers, among supply light in any desired modulated unmodulated form to the ends of fibers 65. opposite ends of these fibers are detector elements 73. Similarly, light source elements 74 provide input to fibers 66, with detectors 75 being located at the 10 opposite ends. Note that shutters 67 may be opaque, reflective, or partially reflective and partially transmissive. Detectors 73 and 75 may be any type of optical sensors.

Another embodiment of an optical device made in accordance with this invention is shown in Fig. 9. Optical fiber 81 is mounted in groove 82 in substrate 83. Groove 84 crosses groove 82 and is adapted to receive an active optical device 85 such as a light emitting diode, a laser element, a detector or a modulator. Electrical wires 86 are provided for external connection to device 85.

An example of a curved surface is shown in Fig. 10. This substrate 91 may function as a "finger" for a robotic manipulator. Optical fibers 92, 93 and 94 are mounted in respective grooves 95, 96 and 97 in the substrate. Grooves 101, 102 and 103 are formed on the surface of substrate 91 normal to and cut through optical fibers 92, 93 and 94, to provide locations for modulating elements such as shutters 104. These shutters could operate in a manner similar to those shown in Fig. 7. That is, the modulating elements would be attached to the outer covering or skin of the

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manipulator of Fig. 9 which functions similar to the skin of the sensor of Fig. 7.

Another alternative embodiment is shown in Fig. 11 where an optical assembly of the type made in accordance with the method of this invention can be used to form a fiber-optic microphone with an optical signal modulated by an acoustically sensitive diaphragm. Substrate 111 is formed with a groove or grooves in which optical fibers 112 are mounted. Acoustic diaphragm 113 coupled with modulating element 114 which extends into 10 gap 115 cut through the optical fiber. The amount of light which passes through the segments of the optical fiber, or, conversely, the amount of light which is occluded from passing through the optical fiber is directly proportional to the acoustic signal detected by 15 the diaphragm.

In view of the above discussion, appreciated the flexibility of the present invention from the standpoint of the great variety of components which are possible to be made in accordance with this Of course, the embodiments shown are merely possibilities. more are many and there exemplary Several advantages result from the novel aspects of the invention. One of them is the self-aligning aspect of the optical components. This directly results from the fact, for example, for segments of optical fibers where alignment is critical, a single fiber is fixed in a groove, thereby being aligned in two translational dimensions as well as two rotational dimensions (pitch and yaw). The segments of the fiber, after it is cut, of course remain aligned. Another advantage is that none of the building blocks need have a predetermined relief pattern. The relief pattern is created as the

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building blocks are mounted to create an optical It is further advantageous that neither the system. master substrate nor the building block components need be comprised of or even have a flat surface. example, see Fig. 10 which is an example of a simple curved surface, suggesting how a complex curved surfaced employed in accordance could also be with invention. A further advantage is that the fabrication and assembly method of this invention can be employed for many different types of components and systems. These components and systems can be or be comprised of active or passive devices including, but not limited to, optical fibers, optical reflectors, fiber-optic couplers including tandem couplers and star couplers, multiplexers, wavelength division multiplexers, demultiplexers, detectors, lasers, light diodes, modulators, waveguides, optical switches, optical sensors and transmitters.

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has been assumed throughout the description that the relief pattern receives an optical 20 fiber in a precise location in a nested condition. However, there are other ways in which optical features can be formulated and included with a substrate while continuing to use the principles of the invention. 12 shows an alternative for forming the waveguide by 25 photolithographic means. Optical wavequide 121 transports light from one end to the other as does a discrete optical fiber. This element may be interrupted as shown in Fig. 3. The difference here is that waveguide 121 is formed as a segment of the surface of substrate 122 in such a way that the segment constitutes a waveguide. In this case, a necessary prerequisite is to make at least a portion 123 of substrate 122 from an

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optically transparent material, such as, for example, a transparent polymeric material. It is also necessary to use a substrate material with a lower refractive index than that of the surface which includes the waveguide. 5 Given these characteristics, waveguide 121 can function the same as a discrete optical fiber which has been the subject of the structures described above.

In Fig. 13 another way is shown to accomplish the quiding of light signals between one end and another of Optical waveguide substrate surface. 10 a positioned on building block substrate 125 to form a waveguide in the surface region of the substrate material itself. This can be accomplished by diffusion, ion implantation or ion exchange of a suitable doping into the surface of the substrate, material in the doped creating the higher refractive index In this case, it is important to make the material. building block substrate of an optically transparent material so that the diffused area creates the desired optical waveguide. This waveguide can be modified by 20 being cut as can any of the waveguides or previously discussed.

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In view of the above description, it is likely that modifications and improvements will occur to those skilled in the art which are within the scope of the accompanying claims.

#### CLAIMS

1. A method of forming a modular micro-optical building block system, said method being characterized by:

forming a master substrate having a working surface; forming a first relief pattern on the working surface thereby creating discontinuities thereon;

mounting first optical component means in the 10 relief pattern;

forming a second relief pattern in the working surface which includes the optical component means; and

mounting second optical component means in the second relief pattern.

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- 2. A method of forming a modular micro-optical building block system, said method being characterized by:
- forming a master substrate having a working 20 surface;

forming a first relief pattern on the working surface thereby creating discontinuities therein;

forming first optical component means in the first relief pattern;

- forming a second relief pattern in the working surface and in the first optical component means; and
  - mounting second optical component means in the second relief pattern.
- 30 3. A method of forming a modular micro-optical building block system, said method being characterized by:

forming a master substrate having a working surface;

forming a first relief pattern on the working surface thereby creating discontinuities therein;

including first optical component means in the first relief pattern;

forming a second relief pattern in the working surface and in the first optical component means; and

mounting second optical component means in the second relief pattern.

- first relief pattern forming step is characterized by forming at least one groove in the working surface and said first optical component means mounting step is characterized by mounting at least one optical fiber in at least one of the grooves formed in the first relief pattern.
- 5. The method recited in claim 4, wherein said second relief pattern forming step is characterized by forming a groove in the working surface and in at least one of the optical fibers mounted in the first relief pattern.
- 6. The method recited in claim 5, wherein said second optical component means mounting step is characterized by mounting at least one optical fiber in at least one of the grooves formed in the second relief pattern.
- 7. The method recited in claim 5, wherein said second optical component means mounting step is characterized by placing an optical component in juxtaposition with the first optical fiber in such a way

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as to couple to or modulate the optical signal in the first component.

8. The method recited in claim 1, and further characterized by the steps of:

forming a third relief pattern on the working surface thereby creating further discontinuities therein and creating discontinuities in at least some of the first and second optical component means; and

- mounting third optical component means in the third relief pattern.
  - 9. The method recited in claim 6, and further characterized by the steps of:
- forming a third relief pattern on the working surface thereby creating further discontinuities therein and creating discontinuities in at least some of the first and second optical component means; and

mounting third optical component means in the third 20 relief pattern.

- 10. The method recited in claim 2, wherein said first relief pattern forming step is characterized by forming at least one groove in the working surface and said first optical component forming step is characterized by forming an optical waveguide in said working surface.
- 11. The method recited in claim 10, wherein said second relief pattern forming the step is characterized by forming a groove in the working surface and in at least one of the optical waveguides in the first relief pattern.

- 12. The method recited in claim 11, wherein said second optical component means mounting step is characterized by placing an optical component in juxtaposition with the first optical waveguide in such a way as to couple to or modulate the optical signal in the optical waveguide.
- 13. The method recited in claim 2, and further characterized by the steps of:
- forming a third relief pattern on the working surface thereby creating further discontinuities therein and creating discontinuities in at least some of the first and second optical component means; and

mounting third optical component means in the third relief pattern.

14. The method recited in claim 3, wherein said first relief pattern forming step is characterized by forming at least one groove in the working surface.

15. A method of forming a modular micro-optical building block system, said method being characterized

by:

forming a master substrate having at least one optical feature formed therein;

forming a first relief pattern in the master substrate thereby modifying at least one of the optical features in the master substrate; and

mounting first optical component means in the relief pattern so that the first optical component means is in juxtaposition with at least one of the modified optical features of the substrate.

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16. The method recited in claim 15, wherein the first optical component means is an optical signal occluding element.

5 17. A method of forming a modular micro-optical building block system, said method being characterized by:

forming a master substrate having a working surface; forming a first relief pattern on the working surface thereby creating discontinuities therein;

mounting first optical component means in the first relief pattern;

forming a second relief pattern in the working surface and in the first optical component means; and

forming second optical component means in the second relief pattern.

18. A method of forming a modular micro-optical building block system, said method being characterized 20 by:

forming a master substrate having a working surface; forming a first relief pattern on the working surface thereby creating discontinuities therein;

mounting first optical component means in the first 25 relief pattern;

forming a second relief pattern in the working surface and in the first optical component means; and

including second optical component means in the second relief pattern.

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19. A modular-optical building block system comprising a master substrate formed of generally rigid material and having a working surface thereon, a first

relief pattern on said working surface thereby creating discontinuities thereon, and first optical component means mounted in said first relief pattern, characterized by:

a second relief pattern on said working surface, said second relief pattern modifying the physical characteristics of said first optical component means; and

second optical component means mounted in said second relief pattern in a manner to modify the optical signal conducting characteristics of said first optical component means.

- 20. The building block system recited in claim 19, wherein said first relief pattern is characterized by at least one groove in said working surface and said second relief pattern is characterized by at least one groove in said working surface and passing in optical coupling relationship with said first optical component means.
  - 21. The building block system recited in claim 19, and further characterized by:

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- a third relief pattern on said working surface thereby creating further discontinuities therein; and
- third optical component means mounted in said third relief pattern in optical coupling relationship with at least one of said first and second optical component means.
- 30 22. The building block system recited in claim 20, and further characterized by:
  - a third relief pattern on said working surface thereby creating further discontinuities therein; and

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third optical component means mounted in said third relief pattern in optical modulating relationship with at least one of said first and second optical component means.

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23. The building block system recited in claim 19, wherein said first optical component means is characterized by optical waveguide means formed in the working surface of said substrate.

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- 24. The building block system recited in claim 21, and further characterized by means coupled to some of said second and third optical component means to move said optical component means to selectively physically modulate optical signals in said first, second and third optical component means.
- 25. A modular-optical building block system characterized by:
- a master substrate formed of generally rigid material and having at least one optical feature formed therein:
  - a first relief pattern in said master substrate, said relief pattern modifying at least one of said optical features therein; and

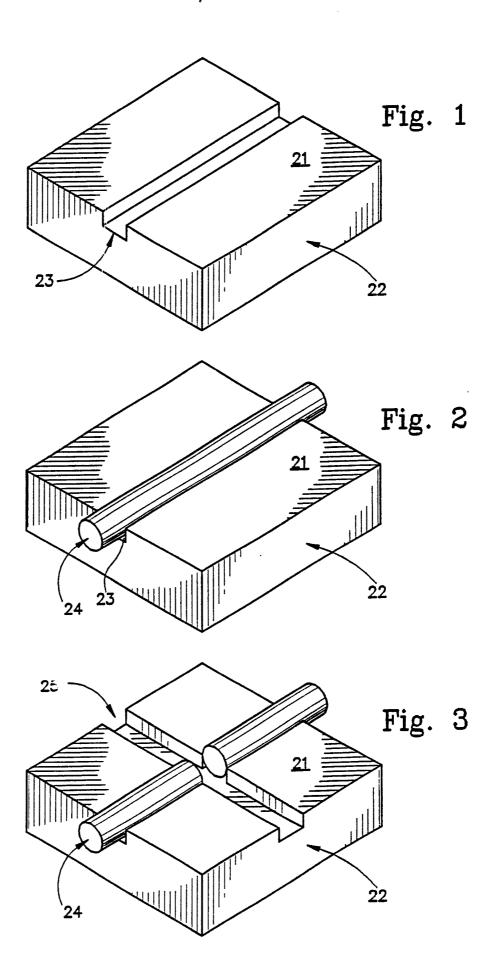
first optical component means mounted in said first relief pattern in juxtaposition with at least one said optical feature.

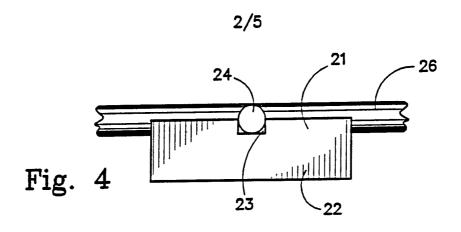
- 30 26. The building block system recited in claim 25, and further characterized by:
  - a second relief pattern in said master substrate, said second relief pattern modifying the physical

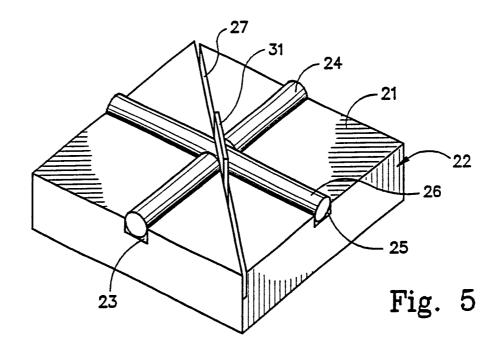
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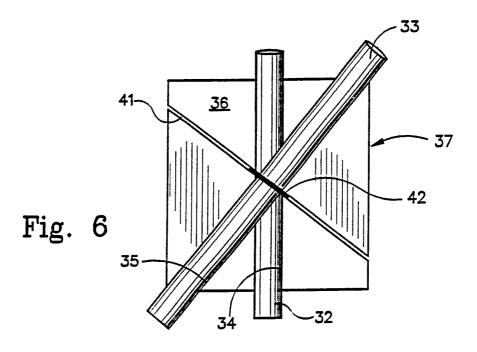
characteristics of at least one of said optical features and said first optical component means; and

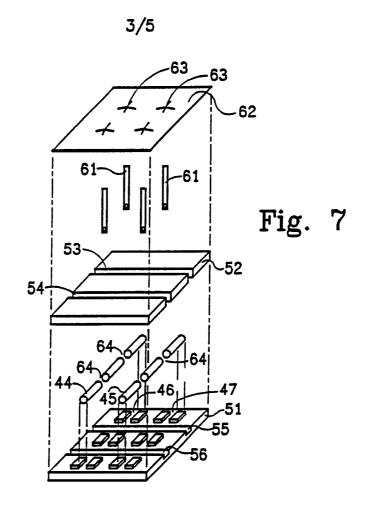
second optical component means mounted in said second relief pattern in a manner to modify the optical signal conducting characteristics of at least one of said optical features and said first optical component means.

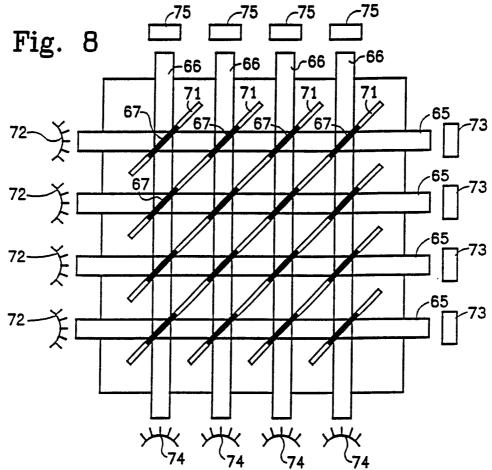


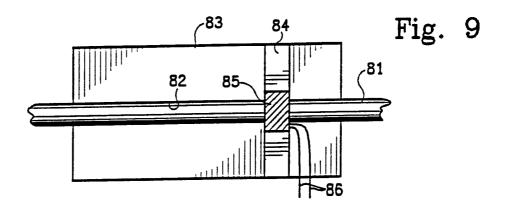


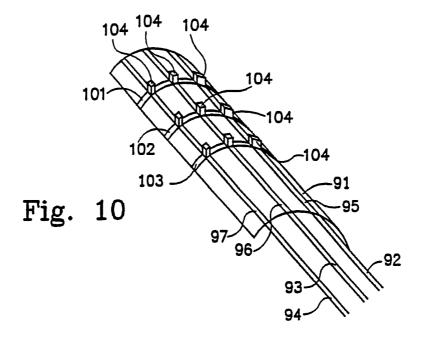












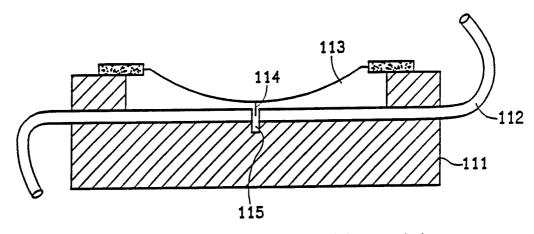
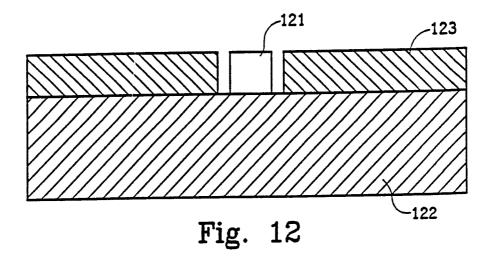
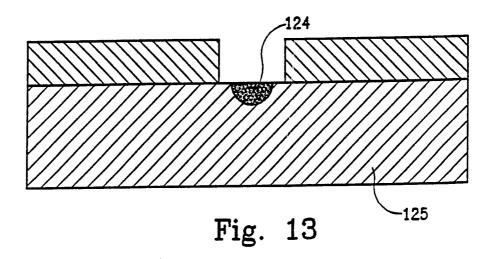


Fig. 11





# INTERNATIONAL SEARCH REPORT

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"E" earlier document but published on or after the international "X" document of particular relevance; the claimed inventional "X" document of particular relevance and or considered.												
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