#### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

# (19) World Intellectual Property Organization

International Bureau

OM





# (10) International Publication Number WO 2011/035132 A3

(43) International Publication Date 24 March 2011 (24.03.2011)

(51) International Patent Classification:

A61M 29/02 (2006.01) A61M 37/00 (2006.01)

A61M 25/06 (2006.01)

(21) International Application Number:

PCT/US2010/049297

(22) International Filing Date:

17 September 2010 (17.09.2010)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

12/562,511 18 September 2009 (18.09.2009)

) US

- (71) Applicant (for all designated States except US): INNO-VASC LLC [US/US]; 4644 Aukai Street, Honolulu, Hawaii 96821 (US).
- (72) Inventors: SCHNEIDER, Peter; 4644 Aukai Street, Honolulu, Hawaii 96821 (US). GIASOLLI, Robert; 779 Kaulana Place, Honolulu, Hawaii 96821 (US).
- (74) Agent: CHONG, Leighton; 133 Kaai Street, Honolulu, HI 96821 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

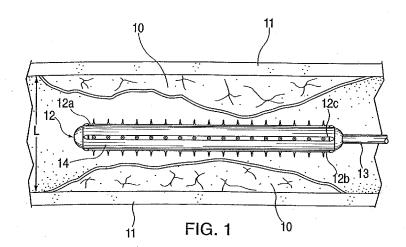
(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

— with international search report (Art. 21(3))

(88) Date of publication of the international search report: 15 September 2011

(54) Title: PRE-ANGIOPLASTY SERRATION OF ATHEROSCLEROTIC PLAQUE ENABLING LOW-PRESSURE BAL-LOON ANGIOPLASTY & AVOIDANCE OF STENTING



(57) Abstract: A device and method for intravascular treatment of atherosclerotic plaque prior to balloon angioplasty which microperforates the plaque with small sharp spikes acting as serrations for forming cleavage lines or planes in the plaque. The spikes may also be used to transport medication into the plaque. The plaque preparation treatment enables subsequent angioplasty to be performed at low balloon pressures of about 4 atmospheres or less, reduces dissections, and avoids injury to the arterial wall. The subsequent angioplasty may be performed with a drug-eluting balloon (DEB) or drug-coated balloon (DCB). The pre-angioplasty perforation procedure enables more drug to be absorbed during DEB or DCB angioplasty, and makes the need for a stent less likely. Alternatively, any local incidence of plaque dissection after balloon angioplasty may be treated by applying a thin, ring-shaped tack at the dissection site only, rather than applying a stent over the plaque site.





International application No. PCT/US2010/049297

#### CLASSIFICATION OF SUBJECT MATTER

#### A61M 29/02(2006.01)i, A61M 25/06(2006.01)i, A61M 37/00(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

#### FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61M 29/02; A61M 29/00; A61M 25/10; A61B 17/20; A61F 2/06; A61B 17/32

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) eKOMPASS(KIPO internal) & Keywords: atheroscelerotic,balloon

### DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2007-0213761 A1 (MURPHY et al.) 13 September 2007 See abstract, claim 1, paragraphs 50-63, and figs.1-6,	1-10
А	US 05320634 A (VIGIL, DENNIS M. et al.) 14 June 1994 See abstract,claims 1 and 10, and figs.1-4	1-10
A	US 2007-0093744 A1 (ELMALEH et al.) 26 April 2007 See abstract, paragraphs 64-73, claims 1-2, and figs.14-17	1-10
A	US 2009-0157159 A1 (SCHNEIDER PETER et al.) 18 June 2009 See abstract, claims 1 and 8, paragraphs 1-10, and figs.8-10	1-10

		Further	documents	are	listed	in	the	conti	nuatior	ιof	`Box	C.
--	--	---------	-----------	-----	--------	----	-----	-------	---------	-----	------	----

See patent family annex.

- Special categories of cited documents:
- document defining the general state of the art which is not considered to be of particular relevance
- earlier application or patent but published on or after the international filing date
- document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)
- document referring to an oral disclosure, use, exhibition or other
- document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of mailing of the international search report

Date of the actual completion of the international search 20 JUNE 2011 (20.06.2011)

21 JUNE 2011 (21.06.2011)

Name and mailing address of the ISA/KR



Korean Intellectual Property Office Government Complex-Daejeon, 189 Cheongsa-ro, Seo-gu, Daejeon 302-701, Republic of Korea

Facsimile No. 82-42-472-7140

Authorized officer

LEE, Cheol Soo

Telephone No. 82-42-481-8525



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2010/049297

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)	
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:	
1. Claims Nos.: 11-20 because they relate to subject matter not required to be searched by this Authority, namely:  Claims 11-20 pertain to methods for treatment of human or animal body by therapy or surgery, thus relate to a subject matter which this interantional Searching Authority is not required to search under Article 17(2)(a)(i) of the PCT and Rule 39.1(iv) the Regulations under the PCT.	
2. Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:	
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).	
Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)	
This International Searching Authority found multiple inventions in this international application, as follows:	
1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.	
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.	
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:	
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:	
Remark on Protest  The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.  The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.  No protest accompanied the payment of additional search fees.	

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

# PCT/US2010/049297

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2007-0213761 A1	13.09.2007	AT 447370 T CA 2642471 A1 CN 101420913 A CN 101932282 A DE 602006010171 D1 EP 1825824 A1 EP 1825824 B1 EP 1996088 B1 EP 2200522 A2 ES 2335520 T3 JP 2009–527316 A JP 2010–537680 A US 2008–0077164 A1 US 2008–0077165 A1 WO 2007–096856 A2 WO 2009–027530 A1 WO 2009–027531 A2 WO 2009–027531 A3	15. 11. 2009 30. 08. 2007 29. 04. 2009 29. 12. 2010 17. 12. 2009 29. 08. 2007 04. 11. 2009 03. 12. 2008 18. 05. 2011 30. 06. 2010 29. 03. 2010 30. 07. 2009 09. 12. 2010 27. 03. 2008 27. 03. 2008 27. 03. 2008 30. 08. 2007 03. 01. 2008 05. 03. 2009 05. 03. 2009
US 05320634 A	14.06.1994	EP 0565796 A1 EP 0565796 B1 JP 02591573 B2 JP 05-293176 A US 05196024 A US 05616149 A	20. 10. 1993 21. 05. 1997 19. 12. 1996 09. 11. 1993 23. 03. 1993 01. 04. 1997
US 2007-0093744 A1	26.04.2007	AU 2005-208583 A1 CA 2557583 A1 EP 1740122 A2 WO 2005-072169 A2 WO 2005-072169 A3	11.08.2005 11.08.2005 10.01.2007 11.08.2005 11.08.2005
US 2009-0157159 A1	18.06.2009	AU 2008-335140 A1 CA 2705275 A1 EP 2219535 A2 JP 2011-505229 A US 7896911 B2 WO 2009-076517 A2 WO 2009-076517 A3	18.06.2009 18.06.2009 25.08.2010 24.02.2011 01.03.2011 18.06.2009 18.06.2009