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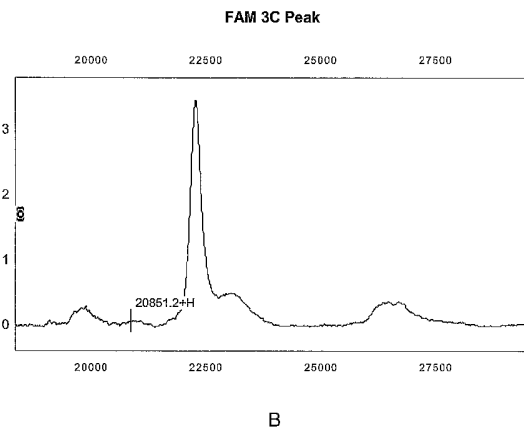
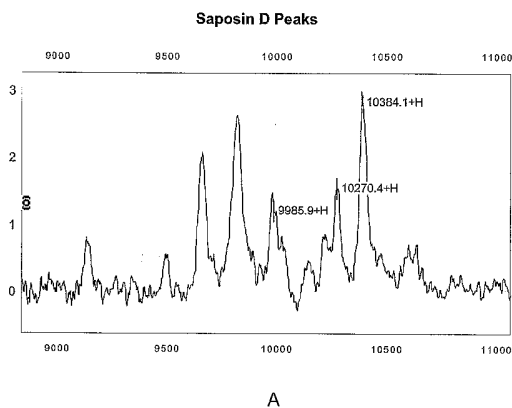
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(54) Title: SAPOSIN D AND FAM3C ARE BIOMARKERS FOR ALZHEIMER'S DISEASE



(57) Abstract: The present invention provides protein-based biomarkers and biomarker combinations that are useful in qualifying Alzheimer's disease status in a patient. In particular, the biomarkers of this invention are useful to classify a subject sample as Alzheimer's disease or non-Alzheimer's disease. The biomarkers can be detected by SELDI mass spectrometry.

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## **SAPOSIN D AND FAM3C ARE BIOMARKERS FOR ALZHEIMER'S DISEASE**

The present application claims the benefit of the filing date of U.S. provisional application 60/673,277, filed April 19, 2005, which is incorporated herein by reference in its entirety.

### **FIELD OF THE INVENTION**

[0001] The invention relates generally to clinical diagnostics.

### **BACKGROUND OF THE INVENTION**

[0002] Alzheimer's Disease is a progressive neurodegenerative disorder that leads to the death of brain cells that cannot be replaced once lost. The neuropathology is characterized by the presence of amyloid plaques, neurofibrillary tangles, synaptic loss and selective neuronal cell death. The plaques are a result of abnormal levels of extracellular amyloid beta peptide ( $A\beta$ ) while the tangles are associated with the presence of intracellular hyperphosphorylated tau protein. Symptoms first manifest clinically with a decline in memory followed by deterioration in cognitive function and normal behavior. Age is the single most prominent risk factor with the incidence doubling every five years from at the age of 65. Prevalence studies estimated that in 2000 the number of persons with AD in the US alone was 4.5 million with numbers expected to increase almost 3 fold in the next 50 years due to the rapid growth of the oldest age groups. The increasing number of dementia patients in the developed world will place an enormous burden on society and the health care systems.

[0003] Despite significant advances in the understanding of AD pathogenesis there are no drugs that exhibit profound disease-modifying effects. Although great efforts are being made to develop future classes of drugs to slow disease progression, current therapy, typified by the acetylcholinesterase inhibitors, is mainly limited to alleviating symptoms (Davis RE *et al.* (1995) *Arzneimittelforschung*, 45:425-431). Early diagnosis is a prerequisite for early treatment and will be of even greater significance if drugs aimed at slowing neurodegeneration show a clinical effect.

[0004] Current criteria for the clinical diagnosis of AD are largely dependent on the exclusion of other dementias and include neuropsychological testing and neuroimaging,

where possible (McKhann G. *et al.*, (1984) *Neurology* 34:939-944). Although reasonably high accuracy rates of 80-90 % using clinical criteria have been reported (Kosunen O *et al.*, (1996) *Acta Neuropathol* 91:185-193) these studies have been conducted by specialized centers typically diagnosing patients in the later stages of disease. Diagnostic accuracy within routine clinical practice is probably much lower, particularly during mild or pre-symptomatic stages of the disease. An unambiguous diagnosis of the disease is currently only possible by examination of brain tissue pathology and is not a clinically feasible process.

[0005] Several candidate biomarkers have been discovered in cerebrospinal fluid (CSF) such as A $\beta$ 1-42 and tau, which are the major components of amyloid plaques and neurofibrillary tangles, respectively. These tests have been commercialized and are used routinely in parts of Europe for research and diagnostic purposes. The diagnostic performance of these biomarkers is not optimal, however, especially with respect to specificity against other dementias (Blennow K & Hampel H, (2003) *Lancet Neurol.* 2:605-613). Many other markers have been proposed in both serum and CSF and include amyloid precursor protein, apoE, isoprostanes (markers of lipid oxidation) and homocysteine. Whether these can be used as diagnostic markers has yet to be confirmed (Frank RA. *et al.* (2003) *Neurobiol of Aging* 24:521-536).

[0006] Therefore, there is an unmet need for simple biochemical tests that can detect AD at an early stage, monitor progression of the disease, and discriminate between AD, normal subjects, non-AD dementias and other neurological disorders.

## BRIEF SUMMARY OF THE INVENTION

[0007] The present invention fills these needs by providing novel biomarkers and combinations of biomarkers useful for diagnosing Alzheimer's disease, as well as methods and kits for using the biomarkers to diagnose Alzheimer's disease.

[0008] More specifically, in one embodiment, the invention provides a method for qualifying Alzheimer's disease status in a subject comprising measuring at least one biomarker in a biological sample from the subject, wherein the at least one biomarker is selected from the group consisting of Saposin D (I), Saposin D (II), Saposin D (III) and FAM3C (I); and correlating the measurement with Alzheimer's disease status. In a related embodiment, the method comprises measuring Saposin D (I) and beta-2-microglobulin, and correlating the

measurements with Alzheimer's disease status. In yet another embodiment, the method comprises measuring Saposin D (I) and FAM3C (I) and correlating the measurements with Alzheimer's disease status. In still another embodiment, the method comprises measuring Saposin D (I), FAM3C (I), and beta-2-microglobulin and correlating the measurements with Alzheimer's disease status. In yet another embodiment, the method for qualifying Alzheimer's disease status comprises measuring Saposin D (I), beta-2-microglobulin, FAM3C (I) and 7B2, and correlating the measurements with Alzheimer's disease status. In another related embodiment, at least one of the measured biomarkers is a modified form of the protein, *e.g.*, a post-translationally modified form such as truncated or glycosylated form. In one embodiment, the at least one biomarker is Saposin D. In another embodiment, Saposin D is measured by immunoassay.

[0009] The invention provides several different methods of measuring biomarkers useful for qualifying Alzheimer's disease status. For example, in one embodiment of the invention, at least one biomarker is measured by capturing the biomarker on an adsorbent surface of a SELDI probe and detecting the captured biomarkers by laser desorption-ionization mass spectrometry. In one embodiment, the adsorbent comprises a hydrophobic adsorbent. In another embodiment, the adsorbent comprises a biospecific adsorbent. In still another embodiment, the adsorbent comprises a cation exchange adsorbent.

[0010] In some embodiments of the invention, the biomarkers of the invention may be measured by mass spectrometry or immunoassay. In one embodiment, the invention provides an immunoassay comprising an antibody specific for the N-terminus of the biomarker. For example, the N-terminus of a protein such as FAM3C (I) or 7B2 may be specifically detected. Full-length non-fragmented proteins which are biomarkers may also be detected by immunoassay. In one embodiment, the invention provides an immunoassay which utilizes an antibody specific for Saposin D (I). In a related embodiment, the antibodies recognized glycosylated forms of the biomarkers, such as glycosylated Saposin D (I).

[0011] In another embodiment, the method further comprises: (c) reporting the status to the subject and/or (c) recording the status on a tangible medium.

[0012] In a preferred embodiment, the invention provides methods of detecting biomarkers present in bodily fluids, including cerebral spinal fluid ("CSF").

[0013] In a related embodiment, the correlating of biomarker expression with Alzheimer's disease status is performed by a software classification algorithm.

[0014] In yet another embodiment, the Alzheimer's disease status is selected from Alzheimer's disease and non-dementia. The invention further provides methods of managing subject treatment based on the status. For example, if the measurement correlates with Alzheimer's disease, then managing subject treatment comprises administering an inhibitor of Saposin D gene expression to the subject. In yet another embodiment, the diagnostic methods of the invention further comprise measuring at least one biomarker after subject management and correlating the measurement with disease progression.

[0015] In a related embodiment, the invention provides a method for determining the course of Alzheimer's disease comprising the step of measuring, at a first time, at least one biomarker in a biological sample from a subject, wherein the at least one biomarker is selected from the group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I); measuring one or more of the selected biomarkers in a biological sample from the subject at a second time; and comparing the first measurement to the second measurement to determine the course of the Alzheimer's disease. In a related embodiment, the method comprises measuring at least Saposin D (I) and beta-2-microglobulin at a first and second time, and comparing the first and second measurements. In another embodiment, the method comprises measuring either or both of 7B2 and/or FAM3C (I) at a first and second time, and comparing the first and second measurements. In still another embodiment, the second measurement in the method occurs after subject management.

[0016] In another embodiment, the invention provides a method comprising measuring at least one biomarker in a sample from a subject, wherein the at least one biomarker is selected from the group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I). In a related embodiment, the method comprises measuring at least Saposin D (I) and beta-2-microglobulin. In still another embodiment, the method further comprises measuring either or both of 7B2 and/or FAM3C (I).

[0017] In one embodiment, the invention additionally provides a purified biomolecule selected from the group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I). In another embodiment, the invention provides a composition comprising a biospecific capture reagent bound to a biomarker selected from the group consisting of

Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I). In a related embodiment, the biomarker bound to the capture reagent is selected from the group consisting of Saposin D (I) and beta-2-microglobulin. In still another embodiment, the biomarker bound to the capture reagent is selected from the group consisting of 7B2 and FAM3C (I).

[0018] In yet another embodiment, the invention provides kits comprising an article of manufacture which in turn comprises a solid support and at least one capture reagent attached thereto, wherein the capture reagent binds at least one biomarker from a first group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I). The kits also comprise instructions for using the solid support to measure selected biomarker(s) and to correlate the measurement(s) with Alzheimer's disease status. In one embodiment, the kit further comprises instructions for using the solid support to measure each of the biomarkers: Saposin D (I) and beta-2-microglobulin. In a related embodiment, the kit additionally comprises instructions for using the solid support to measure either or both of 7B2 and/or FAM3C (I). In yet another embodiment, the solid support comprising the capture reagent is a SELDI probe. In still another embodiment, the capture reagent or reagents comprise a hydrophobic adsorbent. In yet another embodiment, the kit comprises a container containing at least one of the biomarkers selected from the group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I). In another related embodiment, the capture reagent(s) of the kit comprises a metal affinity chromatography adsorbent or a cation exchange chromatography adsorbent.

[0019] In yet another embodiment, the invention provides a kit comprising an article of manufacture, where the article of manufacture comprises a solid support and at least one capture reagent attached thereto, and wherein the one or more capture reagents bind at least one biomarker selected from the group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I), and where the kit further comprises a container containing at least one of the biomarkers. In a related embodiment, the container in the kit contains both Saposin D (I) and beta-2-microglobulin biomarkers. In yet another embodiment, the solid support comprising at least one attached capture reagent is a SELDI probe. In a related embodiment, at least one of the attached capture reagents comprises a hydrophobic adsorbent.

[0020] In yet another embodiment, the invention provides a software product comprising a code that accesses data attributed to a sample, wherein the data comprises the measurement of at least one biomarker in the sample, where the biomarker is selected from the group

consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I); and wherein the software further comprises a code that executes a classification algorithm that classifies the Alzheimer's disease status of the sample as a function of the measurement. In a related embodiment, the classification algorithm classifies the Alzheimer's disease status of the sample as a function of the measurement of a biomarker selected from the group consisting of Saposin D (I), beta-2-microglobulin, 7B2 and FAM3C (I). In yet another embodiment, the classification algorithm classifies the Alzheimer's disease status of the sample as a function of the measurement of both Saposin D (I) and beta-2-microglobulin. In yet another embodiment, the classification algorithm classifies the Alzheimer's disease status of the sample further as a function of the measurement of each of the biomarkers 7B2 and FAM3C (I).

[0021] The invention additionally provides a method comprising the step of detecting a biomarker of from the group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I) by mass spectrometry or immunoassay.

[0022] In yet another embodiment, the invention provides a method comprising the step of communicating to a subject a diagnosis relating to Alzheimer's disease status determined from the correlation of biomarkers in a sample from the subject, wherein said biomarkers are selected from the group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I). In a related embodiment, the diagnosis is communicated to the subject via a computer-generated medium.

[0023] In yet another embodiment, the invention provides a method for identifying a compound that interacts with a biomarker selected from the group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I), wherein said method comprises contacting said biomarker with a test compound, and determining whether the test compound interacts with said biomarker.

[0024] In another embodiment, the invention provides a method for modulating the concentration of a biomarker in a cell, wherein said biomarker is selected from the group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I), and wherein said method comprises the step of contacting said cell with an antisense or interfering RNA molecule, wherein said antisense or interfering RNA molecule inhibits expression of said biomarker in the cell.

[0025] In another embodiment, the invention provides a method of treating a condition in a subject associated with the over-expression of a biomarker in a cell, wherein said biomarker is selected from the group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I), and wherein said method comprises administering to the subject a therapeutically effective amount of an antisense or interfering RNA molecule, wherein said antisense or RNA interfering molecule inhibits expression of said biomarker in the cell. In a related embodiment, the treated condition is Alzheimer's disease.

[0026] In yet another embodiment, the invention provides a method for qualifying Alzheimer's disease status in a subject comprising the steps of measuring at least one biomarker in a biological sample from the subject, wherein the at least one biomarker comprises a Saposin D biomarker cluster protein or a FAM3C biomarker cluster protein; and correlating the measurement with Alzheimer's disease status. In a related embodiment, the Saposin D biomarker cluster protein or said FAM3C biomarker cluster protein is measured by immunoassay.

## BRIEF DESCRIPTION OF THE DRAWINGS

[0027] FIG. 1A and 1B show representative mass spectra of the peaks corresponding to the Saposin D and FAM3C (I) biomarkers, respectively. The Saposin D spectra was obtained using a Ciphergen H50 ProteinChip and the FAM3C spectra was obtained using a Ciphergen IMAC30-Cu ProteinChip, as described in the Example. The 10384, 10270 and 9985 peaks in FIG. 1A correspond to the Saposin D (I), (II) and (III) biomarkers in Table 1, respectively. Likewise, the 20851 peak in FIG. 1B corresponds to the FAM3C (I) biomarker in Table 1. The very slight differences in measured mass/charge ratios are the result of artefacts of mass calibration and statistical variation in the technique.

[0028] FIG. 2 shows a multivariate scatter plot for the four marker (Saposin D (I), FAM3C (I), beta-2-microglobulin and 7B2) model. AD, Alzheimer's Disease; C, Control.

[0029] FIG. 3 shows the amino acid sequence and arrangement of cysteine bridges in Saposin D.

[0030] FIG. 4 shows a spectrum in which saposin D (I) was detected as a biomarker for Alzheimer's disease on a Ciphergen CM-10 array at 10,374 D.

## DETAILED DESCRIPTION OF THE INVENTION

### 1. Introduction

[0031] A biomarker is an organic biomolecule which is differentially present in a sample taken from a subject of one phenotypic status (*e.g.*, having a disease) as compared with another phenotypic status (*e.g.*, not having the disease). A biomarker is differentially present between different phenotypic statuses if the mean or median expression level of the biomarker in the different groups is calculated to be statistically significant. Common tests for statistical significance include, among others, t-test, ANOVA, Kruskal-Wallis, Wilcoxon, Mann-Whitney and odds ratio. Biomarkers, alone or in combination, provide measures of relative risk that a subject belongs to one phenotypic status or another. Therefore, they are useful as markers for disease (diagnostics), therapeutic effectiveness of a drug (theranostics) and drug toxicity.

### 2. BIOMARKERS FOR ALZHEIMER'S DISEASE

[0032] This invention provides polypeptide-based biomarkers that are differentially present in subjects having Alzheimer's disease, in particular, Alzheimer's disease versus normal (non-Alzheimer's disease). The biomarkers are characterized by mass-to-charge ratio as determined by mass spectrometry, by the shape of their spectral peak in time-of-flight mass spectrometry and by their binding characteristics to adsorbent surfaces. These characteristics provide one method to determine whether a particular detected biomolecule is a biomarker of this invention. These characteristics represent inherent characteristics of the biomolecules and not limitations on the process(es) used to discriminate the biomolecules. In one aspect, this invention provides these biomarkers in isolated form.

[0033] The biomarkers were discovered using SELDI technology employing ProteinChip arrays from Ciphergen Biosystems, Inc. (Fremont, CA) ("Ciphergen"). Briefly, CSF samples were collected from subjects diagnosed with Alzheimer's disease and subjects diagnosed as normal. The samples were applied to SELDI biochips and spectra of polypeptides in the samples were generated by time-of-flight mass spectrometry on a Ciphergen PBSII mass spectrometer. The spectra thus obtained were analyzed by Ciphergen Express<sup>TM</sup> Data Manager Software with Biomarker Wizard and Biomarker Pattern Software from Ciphergen Biosystems, Inc. The mass spectra for each group were subjected to scatter plot analysis. A

Mann-Whitney test analysis was employed to compare Alzheimer's disease and control groups for each protein cluster in the scatter plot, and proteins were selected that differed significantly ( $p < 0.0001$ ) between the two groups. This method is described in more detail in the Example Section.

[0034] The biomarkers thus discovered are presented in Table 1.

**TABLE 1**

| <b>Marker ID,<br/>m/z</b> | <b>Calculated<br/>MW (Da)</b> | <b>P-Value</b> | <b>ROC AUC</b> | <b>ProteinChip®<br/>assay</b> |
|---------------------------|-------------------------------|----------------|----------------|-------------------------------|
| Saposin D (I)<br>M10,380  | 10,374                        | <0.0001        | 0.7816         | H50, CHCA                     |
| Saposin D (II)<br>M10,270 | 10,259                        | <0.0001        | 0.7513         | H50, CHCA,<br>CM10            |
| Saposin D (III)<br>M9985  | 9,964                         | <0.0001        | 0.7507         | H50, CHCA                     |
| FAM3C (I)<br>M20,840      | 20,896                        | <0.0001        | 0.7894         | IMAC30-Cu,<br>SPA             |

[0035] The biomarkers of this invention are characterized by their mass-to-charge ratio as determined by mass spectrometry. The mass-to-charge ratio of each biomarker is provided in Table 1 after the "M." Thus, for example, biomarker M10,380 has a measured mass-to-charge ratio of 10,380. The mass-to-charge ratios were determined from mass spectra generated on a Ciphergen Biosystems, Inc. PBS II mass spectrometer. This instrument has a mass accuracy of about  $\pm 0.15$  percent. The theoretical mass of Saposin D (I), based on calculations using the amino acid sequence, is 10,373.71 Da, which makes the measured mass well within the margin of error for the theoretical mass. Additionally, the instrument has a mass resolution of about 400 to 1000 m/dm, where m is mass and dm is the mass spectral peak width at 0.5 peak height. The mass-to-charge ratio of the biomarkers was determined using Biomarker Wizard™ software (Ciphergen Biosystems, Inc.). Biomarker Wizard assigns a mass-to-charge ratio to a biomarker by clustering the mass-to-charge ratios of the same peaks from all the spectra analyzed, as determined by the PBSII, taking the maximum and minimum mass-to-charge-ratio in the cluster, and dividing by two. Accordingly, the masses provided reflect these specifications.

[0036] The biomarkers of this invention are further characterized by the shape of their spectral peak in time-of-flight mass spectrometry. Mass spectra showing peaks representing the Saposin D and FAM3C biomarkers are presented in FIG. 1.

[0037] The biomarkers of this invention are additionally characterized by their binding properties on chromatographic surfaces. The "ProteinChip assay" column refers to the type of biochip to which the biomarker binds and the wash conditions, as per the Example. For example, CIPHERGEN Inc.'s H50 ProteinChip<sup>®</sup> uses a reverse-phase adsorbent. CIPHERGEN Inc.'s IMAC30-Cu ProteinChip<sup>®</sup> uses a copper metal ion binding adsorbent. "SPA" and "EAM" refer to Sinapinic Acid and 4-hydroxy- $\alpha$ -cyanocinnamic acid, agents used as an energy absorbing matrix in conjunction with the ProteinChips, as indicated in Table 1 and described in more detail in the Example.

[0038] The identity of certain of the biomarkers of this invention whose levels are increased in Alzheimer's Disease patient CSF is shown in Table 1. The method by which this determination was made is described in the Example Section. For biomarkers whose identity has been determined, the levels of the biomarker can be determined by other methods known in the art, *e.g.*, immunoassays or assays for an inherent property of the biomarker, such as an enzymatic activity. The levels of RNA molecules encoding the biomarker may also be measured, *e.g.*, using antisense technology, to determine the extent of biomarker expression.

[0039] Because the biomarkers of this invention are characterized by mass-to-charge ratio, binding properties and spectral shape, they can be detected by mass spectrometry without knowing their specific identity. However, if desired, biomarkers whose identity is not determined can be identified by, for example, determining the amino acid sequence of the polypeptides. For example, a biomarker can be peptide-mapped with a number of enzymes, such as trypsin or V8 protease, and the molecular weights of the digestion fragments can be used to search databases for sequences that match the molecular weights of the digestion fragments generated by the various enzymes. Alternatively, protein biomarkers can be sequenced using tandem MS technology. In this method, the protein is isolated by, for example, gel electrophoresis. A band containing the biomarker is cut out and the protein is subject to protease digestion. Individual protein fragments are separated by a first mass spectrometer. The fragment is then subjected to collision-induced cooling, which fragments the peptide and produces a polypeptide ladder. A polypeptide ladder is then analyzed by the second mass spectrometer of the tandem MS. The difference in masses of the members of the polypeptide ladder identifies the amino acids in the sequence. An entire protein can be sequenced this way, or a sequence fragment can be subjected to database mining to find identity candidates.

[0040] The preferred biological source for detection of the biomarkers in Table 1 is CSF. However, the biomarkers may be present in other bodily fluids, *e.g.*, serum, blood, or urine.

[0041] The biomarkers of this invention are biomolecules. Accordingly, this invention provides these biomolecules in isolated form. The biomarkers can be isolated from biological fluids, such as CSF. They can also be isolated by any method known in the art, based on both their mass and their binding characteristics. For example, a sample comprising the biomolecules can be subject to chromatographic fractionation, as described herein, and subject to further separation by, *e.g.*, acrylamide gel electrophoresis. Knowledge of the identity of the biomarker also allows their isolation by immunoaffinity chromatography.

### 3. BIOMARKERS AND DIFFERENT FORMS OF A PROTEIN

[0042] Proteins frequently exist in a sample in a plurality of different forms. These forms can result from either or both of pre- and post-translational modification. Pre-translational modified forms include allelic variants, splice variants and RNA editing forms. Post-translationally modified forms include forms resulting from proteolytic cleavage (*e.g.*, fragments of a parent protein), glycosylation, phosphorylation, lipidation, oxidation, methylation, cysteinylolation, sulphonation and acetylation. When detecting or measuring a protein in a sample, the ability to differentiate between different forms of a protein depends upon the nature of the difference and the method used to detect or measure. For example, an immunoassay using a monoclonal antibody against an epitope will detect all forms of a protein containing the epitope and will not distinguish between them. However, a sandwich immunoassay that uses two antibodies directed against different epitopes on a protein will detect all forms of the protein that contain both epitopes and will not detect those forms that contain only one of the epitopes. In diagnostic assays, the inability to distinguish different forms of a protein has little impact when the forms detected by the particular method used are equally good biomarkers as any particular form. However, when a particular form (or a subset of particular forms) of a protein is a better biomarker than the collection of different forms detected together by a particular method, the power of the assay may suffer. In this case, it is useful to employ an assay method that distinguishes between forms of a protein and that specifically detects and measures a desired form or forms of the protein. Distinguishing different forms of an analyte or specifically detecting a particular form of an analyte is referred to as “resolving” the analyte.

[0043] Mass spectrometry is a particularly powerful methodology to resolve different forms of a protein because the different forms typically have different masses that can be resolved by mass spectrometry. Accordingly, if one form of a protein is a superior biomarker for a disease than another form of the biomarker, mass spectrometry may be able to specifically detect and measure the useful form where traditional immunoassay fails to distinguish the forms and fails to specifically detect to useful biomarker.

[0044] One useful methodology combines mass spectrometry with immunoassay. First, a biospecific capture reagent (e.g., an antibody, aptamer or Affibody that recognizes the biomarker and other forms of it) is used to capture the biomarker of interest. Preferably, the biospecific capture reagent is bound to a solid phase, such as a bead, a plate, a membrane or a chip. After unbound materials are washed away, the captured analytes are detected and/or measured by mass spectrometry. (This method also will also result in the capture of protein interactors that are bound to the proteins or that are otherwise recognized by antibodies and that, themselves, can be biomarkers.) Various forms of mass spectrometry are useful for detecting the protein forms, including laser desorption approaches, such as traditional MALDI or SELDI, and electrospray ionization.

[0045] Thus, when reference is made herein to detecting a particular protein or to measuring the amount of a particular protein, it means detecting and measuring the protein with or without resolving various forms of protein. For example, the step of “measuring saposin D” includes measuring saposin D by means that do not differentiate between various forms of the protein (e.g., certain immunoassays) as well as by means that differentiate some forms from other forms or that measure a specific form of the protein (e.g., any and/or all of saposin D I, saposin D II and saposin D III, individually or in combination). In contrast, when it is desired to measure a particular form or forms of a protein, e.g., a particular form of saposin D, the particular form (or forms) is specified. For example, “measuring saposin D I” means measuring saposin D I in a way that distinguishes it from other forms of saposin D, e.g., saposin D II and saposin D III.

#### **4. DETECTION OF BIOMARKERS FOR ALZHEIMER’S DISEASE**

[0046] The biomarkers of this invention can be detected by any suitable method. Detection paradigms include optical methods, electrochemical methods (voltametry and amperometry techniques), atomic force microscopy, and radio frequency methods, e.g., multipolar

resonance spectroscopy. Illustrative of optical methods, in addition to microscopy, both confocal and non-confocal, are detection of fluorescence, luminescence, chemiluminescence, absorbance, reflectance, transmittance, and birefringence or refractive index (*e.g.*, surface plasmon resonance, ellipsometry, a resonant mirror method, a grating coupler waveguide method or interferometry).

[0047] In one embodiment, a sample is analyzed by means of a biochip. A biochip generally comprises a solid substrate having a substantially planar surface, to which a capture reagent (also called an adsorbent or affinity reagent) is attached. Frequently, the surface of a biochip comprises a plurality of addressable locations, each of which has the capture reagent bound there.

[0048] Protein biochips are biochips adapted for the capture of polypeptides. Many protein biochips are described in the art. These include, for example, protein biochips produced by CIPHERGEN Biosystems, Inc. (Fremont, CA), Zyomyx (Hayward, CA), Invitrogen (Carlsbad, CA), Biacore (Uppsala, Sweden) and Procognia (Berkshire, UK). Examples of such protein biochips are described in the following patents or published patent applications: U.S. Patent No. 6,225,047 (Hutchens & Yip); U.S. Patent No. 6,537,749 (Kuimelis and Wagner); U.S. Patent No. 6,329,209 (Wagner et al.); PCT International Publication No. WO 00/56934 (Englert et al.); PCT International Publication No. WO 03/048768 (Boutell et al.) and U.S. Patent No. 5,242,828 (Bergstrom et al.).

### **Detection By Mass Spectrometry**

[0049] In a preferred embodiment, the biomarkers of this invention are detected by mass spectrometry, a method that employs a mass spectrometer to detect gas phase ions. Examples of mass spectrometers are time-of-flight, magnetic sector, quadrupole filter, ion trap, ion cyclotron resonance, electrostatic sector analyzer and hybrids of these.

[0050] In a further preferred method, the mass spectrometer is a laser desorption/ionization mass spectrometer. In laser desorption/ionization mass spectrometry, the analytes are placed on the surface of a mass spectrometry probe, a device adapted to engage a probe interface of the mass spectrometer and to present an analyte to ionizing energy for ionization and introduction into a mass spectrometer. A laser desorption mass spectrometer employs laser energy, typically from an ultraviolet laser, but also from an infrared laser, to desorb analytes from a surface, to volatilize and ionize them and make them available to the ion optics of the

mass spectrometer. The analysis of proteins by LDI can take the form of MALDI or of SELDI.

[0051] Laser desorption/ionization in a single TOF instrument typically is performed in linear extraction mode. Tandem mass spectrometers can employ orthogonal extraction modes.

## **SELDI**

[0052] A preferred mass spectrometric technique for use in the invention is "Surface Enhanced Laser Desorption and Ionization" or "SELDI," as described, for example, in U.S. Patents No. 5,719,060 and No. 6,225,047, both to Hutchens and Yip. This refers to a method of desorption/ionization gas phase ion spectrometry (*e.g.*, mass spectrometry) in which an analyte (here, one or more of the biomarkers) is captured on the surface of a SELDI mass spectrometry probe.

[0053] SELDI also has been called "affinity capture mass spectrometry" or "Surface-Enhanced Affinity Capture" ("SEAC"). This version involves the use of probes that have a material on the probe surface that captures analytes through a non-covalent affinity interaction (adsorption) between the material and the analyte. The material is variously called an "adsorbent," a "capture reagent," an "affinity reagent" or a "binding moiety." Such probes can be referred to as "affinity capture probes" and as having an "adsorbent surface." The capture reagent can be any material capable of binding an analyte. The capture reagent is attached to the probe surface by physisorption or chemisorption. In certain embodiments the probes have the capture reagent already attached to the surface. In other embodiments, the probes are pre-activated and include a reactive moiety that is capable of binding the capture reagent, *e.g.*, through a reaction forming a covalent or coordinate covalent bond. Epoxide and acyl-imidazole are useful reactive moieties to covalently bind polypeptide capture reagents such as antibodies or cellular receptors. Nitrilotriacetic acid and iminodiacetic acid are useful reactive moieties that function as chelating agents to bind metal ions that interact non-covalently with histidine containing peptides. Adsorbents are generally classified as chromatographic adsorbents and biospecific adsorbents.

[0054] "Chromatographic adsorbent" refers to an adsorbent material typically used in chromatography. Chromatographic adsorbents include, for example, ion exchange materials, metal chelators (*e.g.*, nitrilotriacetic acid or iminodiacetic acid), immobilized metal chelates,

hydrophobic interaction adsorbents, hydrophilic interaction adsorbents, dyes, simple biomolecules (*e.g.*, nucleotides, amino acids, simple sugars and fatty acids) and mixed mode adsorbents (*e.g.*, hydrophobic attraction/electrostatic repulsion adsorbents).

[0055] “Biospecific adsorbent” refers to an adsorbent comprising a biomolecule, *e.g.*, a nucleic acid molecule (*e.g.*, an aptamer), a polypeptide, a polysaccharide, a lipid, a steroid or a conjugate of these (*e.g.*, a glycoprotein, a lipoprotein, a glycolipid, a nucleic acid (*e.g.*, DNA)-protein conjugate). In certain instances, the biospecific adsorbent can be a macromolecular structure such as a multiprotein complex, a biological membrane or a virus. Examples of biospecific adsorbents are antibodies, receptor proteins and nucleic acids. Biospecific adsorbents typically have higher specificity for a target analyte than chromatographic adsorbents. Further examples of adsorbents for use in SELDI can be found in U.S. Patent No. 6,225,047. A “bioselective adsorbent” refers to an adsorbent that binds to an analyte with an affinity of at least  $10^{-8}$  M.

[0056] Protein biochips produced by Ciphergen Biosystems, Inc. comprise surfaces having chromatographic or biospecific adsorbents attached thereto at addressable locations. Ciphergen ProteinChip® arrays include NP20 (hydrophilic); H4 and H50 (hydrophobic); SAX-2, Q-10 and (anion exchange); WCX-2 and CM-10 (cation exchange); IMAC-3, IMAC-30 and IMAC-50 (metal chelate); and PS-10, PS-20 (reactive surface with acyl-imidazole, epoxide) and PG-20 (protein G coupled through acyl-imidazole). Hydrophobic ProteinChip arrays have isopropyl or nonylphenoxy-poly(ethylene glycol)methacrylate functionalities. Anion exchange ProteinChip arrays have quaternary ammonium functionalities. Cation exchange ProteinChip arrays have carboxylate functionalities. Immobilized metal chelate ProteinChip arrays have nitrilotriacetic acid functionalities (IMAC 3 and IMAC 30) or O-methacryloyl-N,N-bis-carboxymethyl tyrosine functionalities (IMAC 50) that adsorb transition metal ions, such as copper, nickel, zinc, and gallium, by chelation. Preactivated ProteinChip arrays have acyl-imidazole or epoxide functional groups that can react with groups on proteins for covalent binding.

[0057] Such biochips are further described in: U.S. Patent No. 6,579,719 (Hutchens and Yip, “Retentate Chromatography,” June 17, 2003); U.S. Patent 6,897,072 (Rich *et al.*, “Probes for a Gas Phase Ion Spectrometer,” May 24, 2005); U.S. Patent No. 6,555,813 (Beecher *et al.*, “Sample Holder with Hydrophobic Coating for Gas Phase Mass Spectrometer,” April 29, 2003); U.S. Patent Publication No. U.S. 2003-0032043 A1 (Pohl and Papanu, “Latex Based

Adsorbent Chip,” July 16, 2002); and PCT International Publication No. WO 03/040700 (Um *et al.*, “Hydrophobic Surface Chip,” May 15, 2003); U.S. Patent Publication No. US 2003-0218130 A1 (Boschetti *et al.*, “Biochips With Surfaces Coated With Polysaccharide-Based Hydrogels,” April 14, 2003) and U.S. Patent Publication No. U.S. 2005-059086 A1 (Huang *et al.*, “Photocrosslinked Hydrogel Blend Surface Coatings,” March 17, 2005).

[0058] In general, a probe with an adsorbent surface is contacted with the sample for a period of time sufficient to allow the biomarker or biomarkers that may be present in the sample to bind to the adsorbent. After an incubation period, the substrate is washed to remove unbound material. Any suitable washing solutions can be used; preferably, aqueous solutions are employed. The extent to which molecules remain bound can be manipulated by adjusting the stringency of the wash. The elution characteristics of a wash solution can depend, for example, on pH, ionic strength, hydrophobicity, degree of chaotropism, detergent strength, and temperature. Unless the probe has both SEAC and SEND properties (as described herein), an energy absorbing molecule then is applied to the substrate with the bound biomarkers.

[0059] In yet another method, one can capture the biomarkers with a solid-phase bound immuno-adsorbent that has antibodies that bind the biomarkers. After washing the adsorbent to remove unbound material, the biomarkers are eluted from the solid phase and detected by applying to a SELDI chip that binds the biomarkers and analyzing by SELDI.

[0060] The biomarkers bound to the substrates are detected in a gas phase ion spectrometer such as a time-of-flight mass spectrometer. The biomarkers are ionized by an ionization source such as a laser, the generated ions are collected by an ion optic assembly, and then a mass analyzer disperses and analyzes the passing ions. The detector then translates information of the detected ions into mass-to-charge ratios. Detection of a biomarker typically will involve detection of signal intensity. Thus, both the quantity and mass of the biomarker can be determined.

## **SEND**

[0061] Another method of laser desorption mass spectrometry is called Surface-Enhanced Neat Desorption (“SEND”). SEND involves the use of probes comprising energy absorbing molecules that are chemically bound to the probe surface (“SEND probe”). The phrase “energy absorbing molecules” (EAM) denotes molecules that are capable of absorbing

energy from a laser desorption/ionization source and, thereafter, contribute to desorption and ionization of analyte molecules in contact therewith. The EAM category includes molecules used in MALDI, frequently referred to as “matrix,” and is exemplified by cinnamic acid derivatives, sinapinic acid (SPA), cyano-hydroxy-cinnamic acid (CHCA) and dihydroxybenzoic acid, ferulic acid, and hydroxyaceto-phenone derivatives. In certain embodiments, the energy absorbing molecule is incorporated into a linear or cross-linked polymer, *e.g.*, a polymethacrylate. For example, the composition can be a co-polymer of  $\alpha$ -cyano-4-methacryloyloxycinnamic acid and acrylate. In another embodiment, the composition is a co-polymer of  $\alpha$ -cyano-4-methacryloyloxycinnamic acid, acrylate and 3-(triethoxy)silyl propyl methacrylate. In another embodiment, the composition is a co-polymer of  $\alpha$ -cyano-4-methacryloyloxycinnamic acid and octadecylmethacrylate (“C18 SEND”). SEND is further described in U.S. Patent No. 6,124,137 and PCT International Publication No. WO 03/64594 (Kitagawa, “Monomers And Polymers Having Energy Absorbing Moieties Of Use In Desorption/Ionization Of Analytes,” August 7, 2003).

[0062] SEAC/SEND is a version of laser desorption mass spectrometry in which both a capture reagent and an energy absorbing molecule are attached to the sample presenting surface. SEAC/SEND probes therefore allow the capture of analytes through affinity capture and ionization/desorption without the need to apply external matrix. The C18 SEND biochip is a version of SEAC/SEND, comprising a C18 moiety which functions as a capture reagent, and a CHCA moiety which functions as an energy absorbing moiety.

## SEPAR

[0063] Another version of LDI is called Surface-Enhanced Photolabile Attachment and Release (“SEPAR”). SEPAR involves the use of probes having moieties attached to the surface that can covalently bind an analyte, and then release the analyte through breaking a photolabile bond in the moiety after exposure to light, *e.g.*, to laser light (*see*, U.S. Patent No. 5,719,060). SEPAR and other forms of SELDI are readily adapted to detecting a biomarker or biomarker profile, pursuant to the present invention.

## MALDI

[0064] MALDI is a traditional method of laser desorption/ionization used to analyze biomolecules such as proteins and nucleic acids. In one MALDI method, the sample is mixed with matrix and deposited directly on a MALDI chip. However, the complexity of biological samples such as serum or urine make this method less than optimal without prior

fractionation of the sample. Accordingly, in certain embodiments with biomarkers are preferably first captured with biospecific (e.g., an antibody) or chromatographic materials coupled to a solid support such as a resin (e.g., in a spin column). Specific affinity materials that bind the biomarkers of this invention are described above. After purification on the affinity material, the biomarkers are eluted and then detected by MALDI.

#### **Other forms of ionization in mass spectrometry**

[0065] In another method, the biomarkers are detected by LC-MS or LC-LC-MS. This involves resolving the proteins in a sample by one or two passes through liquid chromatography, followed by mass spectrometry analysis, typically electrospray ionization.

#### **Data Analysis**

[0066] Analysis of analytes by time-of-flight mass spectrometry generates a time-of-flight spectrum. The time-of-flight spectrum ultimately analyzed typically does not represent the signal from a single pulse of ionizing energy against a sample, but rather the sum of signals from a number of pulses. This reduces noise and increases dynamic range. This time-of-flight data is then subject to data processing. In Ciphergen's ProteinChip® software, data processing typically includes TOF-to-M/Z transformation to generate a mass spectrum, baseline subtraction to eliminate instrument offsets and high frequency noise filtering to reduce high frequency noise.

[0067] Data generated by desorption and detection of biomarkers can be analyzed with the use of a programmable digital computer. The computer program analyzes the data to indicate the number of biomarkers detected, and optionally the strength of the signal and the determined molecular mass for each biomarker detected. Data analysis can include steps of determining signal strength of a biomarker and removing data deviating from a predetermined statistical distribution. For example, the observed peaks can be normalized, by calculating the height of each peak relative to some reference.

[0068] The computer can transform the resulting data into various formats for display. The standard spectrum can be displayed, but in one useful format only the peak height and mass information are retained from the spectrum view, yielding a cleaner image and enabling biomarkers with nearly identical molecular weights to be more easily seen. In another useful format, two or more spectra are compared, conveniently highlighting unique biomarkers and

biomarkers that are up- or down-regulated between samples. Using any of these formats, one can readily determine whether a particular biomarker is present in a sample.

[0069] Analysis generally involves the identification of peaks in the spectrum that represent signal from an analyte. Peak selection can be done visually, but software is available, as part of Ciphergen's ProteinChip® software package, that can automate the detection of peaks. In general, this software functions by identifying signals having a signal-to-noise ratio above a selected threshold and labeling the mass of the peak at the centroid of the peak signal. In one useful application, many spectra are compared to identify identical peaks present in some selected percentage of the mass spectra. One version of this software clusters all peaks appearing in the various spectra within a defined mass range, and assigns a mass ( $M/Z$ ) to all the peaks that are near the mid-point of the mass ( $M/Z$ ) cluster.

[0070] Software used to analyze the data can include code that applies an algorithm to the analysis of the signal to determine whether the signal represents a peak in a signal that corresponds to a biomarker according to the present invention. The software also can subject the data regarding observed biomarker peaks to classification tree or ANN analysis, to determine whether a biomarker peak or combination of biomarker peaks is present that indicates the status of the particular clinical parameter under examination. Analysis of the data may be "keyed" to a variety of parameters that are obtained, either directly or indirectly, from the mass spectrometric analysis of the sample. These parameters include, but are not limited to, the presence or absence of one or more peaks, the shape of a peak or group of peaks, the height of one or more peaks, the log of the height of one or more peaks, and other arithmetic manipulations of peak height data.

#### **SELDI Detection Of Biomarkers For Alzheimer's Disease**

[0071] A preferred protocol for the detection of the biomarkers of Table 1 is as follows. Neat samples of CSF may be used, or the samples may first be subject to pre-fractionation before SELDI analysis. If utilized, a preferred method of pre-fractionation involves contacting the sample with an anion exchange chromatographic material, such as Q HyperD (BioSeptra, SA). The bound materials are then subject to stepwise pH elution using buffers at pH 9, pH 7, pH 5 and pH 4. Various fractions containing the biomarker are collected.

[0072] The sample to be tested is then contacted with an affinity capture probe comprising an H50 ProteinChip array (Ciphergen Biosystems, Inc.) or an IMAC30-Cu ProteinChip array

(Ciphergen Biosystems, Inc.)), as indicated in Table 1. The probe is washed with a buffer that will retain the biomarker while washing away unbound molecules. The biomarkers are detected by laser desorption/ionization mass spectrometry.

[0073] Alternatively, if antibodies that recognize the biomarker are available, these can be attached to the surface of a probe, such as a pre-activated PS10 or PS20 ProteinChip array (Ciphergen Biosystems, Inc.). These antibodies can capture the biomarkers from a sample onto the probe surface. Then the biomarkers can be detected by, *e.g.*, laser desorption/ionization mass spectrometry.

#### **Detection By Immunoassay**

[0074] In another embodiment of the invention, the biomarkers of the invention are measured by a method other than mass spectrometry or other than methods that rely on a measurement of the mass of the biomarker. In one such embodiment that does not rely on mass, the biomarkers of this invention are measured by immunoassay. Immunoassay requires biospecific capture reagents, such as antibodies, to capture the biomarkers. Antibodies can be produced by methods well known in the art, *e.g.*, by immunizing animals with the biomarkers. Biomarkers can be isolated from samples based on their binding characteristics. Alternatively, if the amino acid sequence of a polypeptide biomarker is known, the polypeptide can be synthesized and used to generate antibodies by methods well known in the art.

[0075] This invention contemplates traditional immunoassays including, for example, sandwich immunoassays including ELISA or fluorescence-based immunoassays, as well as other enzyme immunoassays. Nephelometry is an assay done in liquid phase, in which antibodies are in solution. Binding of the antigen to the antibody results in changes in absorbance, which is measured. In the SELDI-based immunoassay, a biospecific capture reagent for the biomarker is attached to the surface of an MS probe, such as a pre-activated ProteinChip array. The biomarker is then specifically captured on the biochip through this reagent, and the captured biomarker is detected by mass spectrometry.

## 5. DETERMINATION OF SUBJECT ALZHEIMER'S DISEASE STATUS

### Single Markers

[0076] The biomarkers of the invention can be used in diagnostic tests to assess Alzheimer's disease status in a subject, *e.g.*, to diagnose Alzheimer's disease. The phrase "Alzheimer's disease status" includes any distinguishable manifestation of the disease, including non-disease. For example, disease status includes, without limitation, the presence or absence of disease (*e.g.*, Alzheimer's disease *v.* non-Alzheimer's disease), the risk of developing disease, the stage of the disease, the progress of disease (*e.g.*, progress of disease or remission of disease over time) and the effectiveness or response to treatment of disease. Based on this status, further procedures may be indicated, including additional diagnostic tests or therapeutic procedures or regimens.

[0077] The power of a diagnostic test to correctly predict status is commonly measured as the sensitivity of the assay, the specificity of the assay or the area under a Receiver Operating Characteristic ("ROC") curve. Sensitivity is the percentage of true positives that are predicted by a test to be positive, while specificity is the percentage of true negatives that are predicted by a test to be negative. An ROC curve provides the sensitivity of a test as a function of 1-specificity. The greater the area under the ROC curve, the more powerful the predictive value of the test. Other useful measures of the utility of a test are positive predictive value and negative predictive value. Positive predictive value is the percentage of actual positives who test as positive. Negative predictive value is the percentage of actual negatives that test as negative.

[0078] The biomarkers of this invention show a statistical difference in different Alzheimer's disease statuses of at least  $p \leq 10^{-3}$ ,  $p \leq 10^{-4}$  or  $p \leq 10^{-5}$ . Diagnostic tests that use these biomarkers alone or in combination show a sensitivity and specificity of at least 75%, at least 80%, at least 85%, at least 90%, at least 95%, at least 98% and about 100%.

[0079] Each biomarker listed in Table 1 is differentially present in Alzheimer's disease, and, therefore, each is individually useful in aiding in the determination of Alzheimer's disease status. The method involves, first, measuring the selected biomarker in a subject sample using the methods described herein, *e.g.*, capture on a SELDI biochip followed by detection by mass spectrometry and, second, comparing the measurement with a diagnostic amount or cut-off that distinguishes a positive Alzheimer's disease status from a negative Alzheimer's

disease status. The diagnostic amount represents a measured amount of a biomarker above which or below which a subject is classified as having a particular Alzheimer's disease status. For example, if the biomarker is up-regulated compared to normal during Alzheimer's disease, then a measured amount above the diagnostic cutoff provides a diagnosis of Alzheimer's disease. All of the biomarkers in Table 1 are upregulated. Alternatively, if the biomarker is down-regulated during Alzheimer's disease, then a measured amount below the diagnostic cutoff provides a diagnosis of Alzheimer's disease. As is well understood in the art, by adjusting the particular diagnostic cut-off used in an assay, one can increase sensitivity or specificity of the diagnostic assay depending on the preference of the diagnostician. The particular diagnostic cut-off can be determined, for example, by measuring the amount of the biomarker in a statistically significant number of samples from subjects with the different Alzheimer's disease statuses, as was done here, and drawing the cut-off to suit the diagnostician's desired levels of specificity and sensitivity.

### **Combinations Of Markers**

[0080] While individual biomarkers are useful diagnostic biomarkers, it has been found that a combination of biomarkers can provide greater predictive value of a particular status than single biomarkers alone. Specifically, the detection of a plurality of biomarkers in a sample can increase the sensitivity and/or specificity of the test. A combination of at least two biomarkers is sometimes referred to as a "biomarker profile" or "biomarker fingerprint."

[0081] The protocols described in the Example below were used to generate mass spectra from 146 CSF samples (see Table 4). The peak masses and heights were abstracted into a discovery data set. This data set was used to construct a model algorithm using Partial Least Squares (PLS) regression was on a model set of randomly selected sample spectra comprising 2/3<sup>rd</sup> of the total sample set and tested using the remaining 1/3<sup>rd</sup> of sample spectra. Variables were ranked by their strength as univariate markers and by a "Variable Importance" parameter computed during PLS regression. Only markers that were deemed important in both AD vs. Control comparisons were carried forward. The final model predicts which group each sample belongs to based on the categorical variable (1 for AD, 0 for Ctrl) that was used to construct the model.

[0082] An exemplary multivariate scatter plot is presented in FIG. 2, using four biomarkers: the Saposin D (I) (10.3 kDa) and FAM3C (I) biomarkers from Table 1, as well as 7B2 and

beta-2-microglobulin. Accordingly, the biomarkers of Table 1 are recognized as powerful classifiers for Alzheimer's disease when used in combination with each other as well as with other biomarkers, as those shown in Table 2. In particular, when used together or in further combination with biomarkers from Table 3, these markers can distinguish Alzheimer's disease from non-Alzheimer's disease with sensitivities and specificities of at least 85%.

Table 2 presents the combinations of markers used in the particular decision trees generated by PLS regression, along with the sensitivity and specificity of the particular algorithm.

TABLE 2

| Alzheimer's disease Status           | Markers                                                            | Sensitivity - model | Specificity - model | ROC AUC - model | Sensitivity - test | Specificity - test | ROC AUC - test |
|--------------------------------------|--------------------------------------------------------------------|---------------------|---------------------|-----------------|--------------------|--------------------|----------------|
| Alzheimer's disease vs. Non-dementia | Saposin D (I); Beta-2 microglobulin (11.7 kDa)                     | 86.3% (63/73)       | 70.3% (26/37)       | 0.8815          | 91.7% (22/24)      | 75.0% (9/12)       | 0.9306         |
| Alzheimer's disease vs. Non-dementia | Saposin D (I); Beta-2 microglobulin; FAM3C (I)                     | 87.7% (64/73)       | 75.7% (28/37)       | 0.8791          | 91.7% (22/24)      | 75.0% (9/12)       | 0.9167         |
| Alzheimer's disease vs. Non-dementia | Saposin D (I) Beta-2 microglobulin; 7B2 frag                       | 86.3% (63/73)       | 78.4% (29/37)       | 0.8891          | 87.5% (21/24)      | 66.7% (8/12)       | 0.8889         |
| Alzheimer's disease vs. Non-dementia | Saposin D (I); Beta-2 microglobulin; FAM3C (I); 7B2 frag (3.5 kDa) | 86.3% (63/73)       | 86.5% (32/37)       | 0.8863          | 87.5% (21/24)      | 75.0% (9/12)       | 0.9063         |

[0083] Table 3 summarizes the most recent analyses and shows the 27 biomarkers (including the 4 biomarkers of Table 1, and the beta-2-microglobulin and 7B2 fragment biomarkers) described in the Examples. It is noted that the mass-to-charge ratio of the biomarkers identified in Table 3 are slightly different from the masses of the biomarkers in Table 1. Some of this difference is due to rounding of the masses. Other differences apparently are due to an algorithm that assigns mass-to-charge ratio based on the average of the peaks in the cluster rather than the min/max method described above. The identification of some of the biomarkers in Table 3 is described in U.S. Patent Application No. 10/982,545 filed on November 6, 2004 and incorporated herein by reference.

TABLE 3

| M/Z (int. cal) | Up/down,<br>relative to<br>control | P       | ROC AUC | ID                                    | Calculated MW, Da |
|----------------|------------------------------------|---------|---------|---------------------------------------|-------------------|
| 11725.7        | up                                 | <0.0001 | 0.8317  | Beta-2-Microglobulin                  | 11,729.17         |
| 3513.9         | up                                 | <0.0001 | 0.8012  | 7B2 (fragment)                        | 3,512.84          |
| 20839.2        | up                                 | <0.0001 | 0.7894  | FAM3C fragment                        | 20,896            |
| 10379.8        | up                                 | <0.0001 | 0.7816  | Saposin D (I)                         | 10373.71          |
| 14092.7        | down                               | <0.0001 | 0.7789  | Transthyretin S-glutathionylated      | 14,067            |
| 13904.7        | down                               | <0.0001 | 0.7782  | Transthyretin S-Cys/S-CysGly          | 13,880/13,937     |
| 13349.5        | up                                 | <0.0001 | 0.7749  | CysC                                  | 13,343.14         |
| 8585.9         | up                                 | <0.0001 | 0.7543  | Ubiquitin                             | 8564.84           |
| 3806.2         | up                                 | <0.0001 | 0.7534  |                                       |                   |
| 9984.6         | up                                 | <0.0001 | 0.7513  | Saposin D (III)                       | 9,964             |
| 10265.6        | up                                 | <0.0001 | 0.7507  | Saposin D (II)                        | 10,259            |
| 3967.6         | up                                 | <0.0001 | 0.7505  |                                       |                   |
| 8291.0         | up                                 | <0.0001 | 0.7484  | Ubiquitin -3aa                        | 8,294.55          |
| 3906.4         | up                                 | <0.0001 | 0.7440  | Vasostatin II fragment (ChrA peptide) | 3,908.13          |
| 16207.4        | up                                 | <0.0001 | 0.7433  | Pancreatic ribonuclease               | glycosylated      |
| 7653.2         | up                                 | <0.0001 | 0.7315  | Osteopontin fragment                  | 7,658.19          |
| 23477.4        | up                                 | <0.0001 | 0.7250  | Prostaglandin-D synthase              | glycosylated      |
| 6509.6         | up                                 | <0.0001 | 0.7238  | Chromogranin B peptide                | 6,502.87          |
| 8183.6         | up                                 | <0.0001 | 0.7223  | Ubiquitin -4aa                        | 8,181.39          |
| 7258.2         | up                                 | <0.0001 | 0.7204  | Chromogranin B peptide                | 7,262.42          |
| 78936.5        | down                               | <0.0001 | 0.7168  | Transferrin                           | glycosylated      |
| 6608.9         | up                                 | <0.0001 | 0.7160  |                                       |                   |
| 7878.7         | up                                 | <0.0001 | 0.7143  |                                       |                   |
| 4989.4         | up                                 | <0.0001 | 0.7116  | Thymosin beta-4 - acetylated          | 4,967.46          |
| 7718.8         | up                                 | <0.0001 | 0.7078  | Osteopontin-P fragment                | 7,738.19          |
| 4455.4         | up                                 | <0.0001 | 0.7046  |                                       |                   |
| 89707.1        | up                                 | 0.0002  | 0.6882  |                                       |                   |

### Alzheimer's Disease Status

[0084] Determining Alzheimer's disease status typically involves classifying an individual into one of two or more groups (statuses) based on the results of the diagnostic test. The diagnostic tests described herein can be used to classify between a number of different states.

### Presence of Disease

[0085] In one embodiment, this invention provides methods for determining the presence or absence of Alzheimer's disease in a subject (status: Alzheimer's disease v. non-Alzheimer's

disease). The presence or absence of Alzheimer's disease is determined by measuring the relevant biomarker or biomarkers and then either submitting them to a classification algorithm or comparing them with a reference amount and/or pattern of biomarkers that is associated with the particular risk level.

#### **Determining Risk of Developing Disease**

[0086] In one embodiment, this invention provides methods for determining the risk of developing Alzheimer's disease in a subject (status: low-risk v. high risk). Biomarker amounts or patterns are characteristic of various risk states, e.g., high, medium or low. The risk of developing a disease is determined by measuring the relevant biomarker or biomarkers and then either submitting them to a classification algorithm or comparing them with a reference amount and/or pattern of biomarkers that is associated with the particular risk level

#### **Determining Stage of Disease**

[0087] In one embodiment, this invention provides methods for determining the stage of disease in a subject. Each stage of the disease has a characteristic amount of a biomarker or relative amounts of a set of biomarkers (a pattern). The stage of a disease is determined by measuring the relevant biomarker or biomarkers and then either submitting them to a classification algorithm or comparing them with a reference amount and/or pattern of biomarkers that is associated with the particular stage. For example, one can classify between early stage Alzheimer's disease and non-Alzheimer's disease or among early-, mid- and late-stage Alzheimer's disease.

#### **Determining Course (Progression/Remission) of Disease**

[0088] In one embodiment, this invention provides methods for determining the course of disease in a subject. Disease course refers to changes in disease status over time, including disease progression (worsening) and disease regression (improvement). Over time, the amounts or relative amounts (e.g., the pattern) of the biomarkers changes. For example, saposin D and Fam3C are both increased with disease. Therefore, the trend of these markers, either increased or decreased over time toward diseased or non-diseased indicates the course of the disease. Accordingly, this method involves measuring one or more biomarkers in a subject for at least two different time points, e.g., a first time and a second time, and comparing the change in amounts, if any. The course of disease is determined based on these comparisons.

**Reporting the Status**

[0089] Additional embodiments of the invention relate to the communication of assay results or diagnoses or both to technicians, physicians or patients, for example. In certain embodiments, computers will be used to communicate assay results or diagnoses or both to interested parties, *e.g.*, physicians and their patients. In some embodiments, the assays will be performed or the assay results analyzed in a country or jurisdiction which differs from the country or jurisdiction to which the results or diagnoses are communicated.

[0090] In a preferred embodiment of the invention, a diagnosis based on the presence or absence in a test subject of any the biomarkers of Table 1 is communicated to the subject as soon as possible after the diagnosis is obtained. The diagnosis may be communicated to the subject by the subject's treating physician. Alternatively, the diagnosis may be sent to a test subject by email or communicated to the subject by phone. A computer may be used to communicate the diagnosis by email or phone. In certain embodiments, the message containing results of a diagnostic test may be generated and delivered automatically to the subject using a combination of computer hardware and software which will be familiar to artisans skilled in telecommunications. One example of a healthcare-oriented communications system is described in U.S. Patent Number 6,283,761; however, the present invention is not limited to methods which utilize this particular communications system. In certain embodiments of the methods of the invention, all or some of the method steps, including the assaying of samples, diagnosing of diseases, and communicating of assay results or diagnoses, may be carried out in diverse (*e.g.*, foreign) jurisdictions.

**Subject Management**

[0091] In certain embodiments of the methods of qualifying Alzheimer's disease status, the methods further comprise managing subject treatment based on the status. Such management includes the actions of the physician or clinician subsequent to determining Alzheimer's disease status. For example, if a physician makes a diagnosis of Alzheimer's disease, then a certain regime of treatment, such as prescription or administration of chemotherapy might follow. Alternatively, a diagnosis of non-Alzheimer's disease or non-Alzheimer's disease might be followed with further testing to determine a specific disease that might the patient might be suffering from. Also, if the diagnostic test gives an inconclusive result on Alzheimer's disease status, further tests may be called for.

## 6. USE OF BIOMARKERS FOR IMAGING

[0092] Non-invasive medical imaging techniques such as Positron Emission Tomography (PET) or single photon emission computerized tomography (SPECT) imaging are particularly useful for the detection of cancer, coronary artery disease and brain disease. PET and SPECT imaging shows the chemical functioning of organs and tissues, while other imaging techniques – such as X-ray, CT and MRI – show structure. The use of PET and SPECT imaging has become increasingly useful for qualifying and monitoring the development of brain diseases such as Alzheimer's disease. In some instances, the use of PET or SPECT imaging allows Alzheimer's disease to be detected several years earlier than the onset of symptoms. *See, e.g.,* Vassaux and Groot-wassink, "In Vivo Noninvasive Imaging for Gene Therapy," J. Biomedicine and Biotechnology, 2: 92-101 (2003).

[0093] Different strategies are being used to develop compounds suitable for *in vivo* imaging of amyloid deposits in human brains. Monoclonal antibodies against A-beta and peptide fragments have had limited uptake by the brain when tested in patients with AD. The small molecular approach for amyloid imaging has so far been most successful, as described by, *e.g.,* Nordberg A, Lancet Neurol., 3(9):519-27 (2004); Kung MP *et al*, Brain Res., 1025(1-2):98-105 (2004); Herholz K *et al.*, Mol Imaging Biol., 6(4):239-69 (2004); Neuropsychol Rev., Zakzanis KK *et al.*, 13(1):1-18 (2003); Herholz K, Ann Nucl Med., 17(2):79-89 (2003).

[0094] The peptide biomarkers disclosed herein, or fragments thereof, can be used in the context of PET and SPECT imaging applications. After modification with appropriate tracer residues for PET or SPECT applications, peptide biomarkers which interact with amyloid plaque proteins can be used to image the deposition of amyloid plaques in Alzheimer's patients.

[0095] Antisense technology may be used to detect expression of transcripts whose translation is correlated with the biomarkers identified herein. For example, the use of antisense peptide nucleic acid (PNA) labeled with an appropriate radionuclide, such as <sup>111</sup>In, and conjugated to a brain drug-targeting system to enable transport across biologic membrane barriers, has been demonstrated to allow imaging of endogenous gene expression in brain cancer. *See* Suzuki *et al.*, Journal of Nuclear Medicine, 10:1766-1775 (2004). Suzuki *et al.* utilize a delivery system comprising monoclonal antibodies that target transferring receptors

at the blood-brain barrier and facilitate transport of the PNA across that barrier. This technique, or variations thereof, may be used to target upregulated genes associated with Alzheimer's disease, such as the upregulated genes which appear in Tables 1 or 3.

## **7. Determining Therapeutic Efficacy of Pharmaceutical Drug**

[0096] In another embodiment, this invention provides methods for determining the therapeutic efficacy of a pharmaceutical drug. These methods are useful in performing clinical trials of the drug, as well as monitoring the progress of a patient on the drug. Therapy or clinical trials involve administering the drug in a particular regimen. The regimen may involve a single dose of the drug or multiple doses of the drug over time. The doctor or clinical researcher monitors the effect of the drug on the patient or subject over the course of administration. If the drug has a pharmacological impact on the condition, the amounts or relative amounts (*e.g.*, the pattern or profile) of the biomarkers of this invention changes toward a non-disease profile. For example, the biomarkers of Table 1 are increased with disease. Therefore, one can follow the course of the amounts of these biomarkers in the subject during the course of treatment. Accordingly, this method involves measuring one or more biomarkers in a subject receiving drug therapy, and correlating the amounts of the biomarkers with the disease status of the subject. One embodiment of this method involves determining the levels of the biomarkers at least two different time points during a course of drug therapy, *e.g.*, a first time and a second time, and comparing the change in amounts of the biomarkers, if any. For example, the biomarkers can be measured before and after drug administration or at two different time points during drug administration. The effect of therapy is determined based on these comparisons. If a treatment is effective, then the biomarkers will trend toward normal, while if treatment is ineffective, the biomarkers will trend toward disease indications. If a treatment is effective, then the biomarkers will trend toward normal, while if treatment is ineffective, the biomarkers will trend toward disease indications.

## **8. GENERATION OF CLASSIFICATION ALGORITHMS FOR QUALIFYING ALZHEIMER'S DISEASE STATUS**

[0097] In some embodiments, data derived from the spectra (*e.g.*, mass spectra or time-of-flight spectra) that are generated using samples such as "known samples" can then be used to "train" a classification model. A "known sample" is a sample that has been pre-classified.

The data that are derived from the spectra and are used to form the classification model can be referred to as a “training data set.” Once trained, the classification model can recognize patterns in data derived from spectra generated using unknown samples. The classification model can then be used to classify the unknown samples into classes. This can be useful, for example, in predicting whether or not a particular biological sample is associated with a certain biological condition (*e.g.*, diseased versus non-diseased).

[0098] The training data set that is used to form the classification model may comprise raw data or pre-processed data. In some embodiments, raw data can be obtained directly from time-of-flight spectra or mass spectra, and then may be optionally “pre-processed” as described above.

[0099] Classification models can be formed using any suitable statistical classification (or “learning”) method that attempts to segregate bodies of data into classes based on objective parameters present in the data. Classification methods may be either supervised or unsupervised. Examples of supervised and unsupervised classification processes are described in Jain, “Statistical Pattern Recognition: A Review”, *IEEE Transactions on Pattern Analysis and Machine Intelligence*, Vol. 22, No. 1, January 2000, the teachings of which are incorporated by reference.

[0100] In supervised classification, training data containing examples of known categories are presented to a learning mechanism, which learns one or more sets of relationships that define each of the known classes. New data may then be applied to the learning mechanism, which then classifies the new data using the learned relationships. Examples of supervised classification processes include linear regression processes (*e.g.*, multiple linear regression (MLR), partial least squares (PLS) regression and principal components regression (PCR)), binary decision trees (*e.g.*, recursive partitioning processes such as CART - classification and regression trees), artificial neural networks such as back propagation networks, discriminant analyses (*e.g.*, Bayesian classifier or Fischer analysis), logistic classifiers, and support vector classifiers (support vector machines). PLS regression analyses were used to classify the data in the Examples herein.

[0101] In other embodiments, the classification models that are created can be formed using unsupervised learning methods. Unsupervised classification attempts to learn classifications based on similarities in the training data set, without pre-classifying the spectra from which

the training data set was derived. Unsupervised learning methods include cluster analyses. A cluster analysis attempts to divide the data into “clusters” or groups that ideally should have members that are very similar to each other, and very dissimilar to members of other clusters. Similarity is then measured using some distance metric, which measures the distance between data items, and clusters together data items that are closer to each other. Clustering techniques include the MacQueen's K-means algorithm and the Kohonen's Self-Organizing Map algorithm.

[0102] Learning algorithms asserted for use in classifying biological information are described, for example, in PCT International Publication No. WO 01/31580 (Barnhill *et al.*, “Methods and devices for identifying patterns in biological systems and methods of use thereof”), U.S. Patent Application No. 2002 0193950 A1 (Gavin *et al.*, “Method or analyzing mass spectra”), U.S. Patent Application No. 2003 0004402 A1 (Hitt *et al.*, “Process for discriminating between biological states based on hidden patterns from biological data”), and U.S. Patent Application No. 2003 0055615 A1 (Zhang and Zhang, “Systems and methods for processing biological expression data”).

[0103] The classification models can be formed on and used on any suitable digital computer. Suitable digital computers include micro, mini, or large computers using any standard or specialized operating system, such as a Unix, Windows™ or Linux™ based operating system. The digital computer that is used may be physically separate from the mass spectrometer that is used to create the spectra of interest, or it may be coupled to the mass spectrometer.

[0104] The training data set and the classification models according to embodiments of the invention can be embodied by computer code that is executed or used by a digital computer. The computer code can be stored on any suitable computer readable media including optical or magnetic disks, sticks, tapes, etc., and can be written in any suitable computer programming language including C, C++, visual basic, etc.

[0105] The learning algorithms described above are useful both for developing classification algorithms for the biomarkers already discovered, or for finding new biomarkers for Alzheimer's disease. The classification algorithms, in turn, form the base for diagnostic tests by providing diagnostic values (*e.g.*, cut-off points) for biomarkers used singly or in combination.

## **9. KITS FOR DETECTION OF BIOMARKERS FOR Alzheimer's disease**

[0106] In another aspect, the present invention provides kits for qualifying Alzheimer's disease status, which kits are used to detect biomarkers according to the invention. In one embodiment, the kit comprises a solid support, such as a chip, a microtiter plate or a bead or resin having a capture reagent attached thereon, wherein the capture reagent binds a biomarker of the invention. Thus, for example, the kits of the present invention can comprise mass spectrometry probes for SELDI, such as ProteinChip<sup>®</sup> arrays. In the case of biospecific capture reagents, the kit can comprise a solid support with a reactive surface, and a container comprising the biospecific capture reagent.

[0107] The kit can also comprise a washing solution or instructions for making a washing solution, in which the combination of the capture reagent and the washing solution allows capture of the biomarker or biomarkers on the solid support for subsequent detection by, *e.g.*, mass spectrometry. The kit may include more than type of adsorbent, each present on a different solid support.

[0108] In a further embodiment, such a kit can comprise instructions for suitable operational parameters in the form of a label or separate insert. For example, the instructions may inform a consumer about how to collect the sample, how to wash the probe or the particular biomarkers to be detected.

[0109] In yet another embodiment, the kit can comprise one or more containers with biomarker samples, to be used as standard(s) for calibration.

## **10. USE OF BIOMARKERS FOR ALZHEIMER'S DISEASE IN SCREENING ASSAYS AND METHODS OF TREATING ALZHEIMER'S DISEASE**

[0110] The methods of the present invention have other applications as well. For example, the biomarkers can be used to screen for compounds that modulate the expression of the biomarkers *in vitro* or *in vivo*, which compounds in turn may be useful in treating or preventing Alzheimer's disease in patients. In another example, the biomarkers can be used to monitor the response to treatments for Alzheimer's disease. In yet another example, the biomarkers can be used in heredity studies to determine if the subject is at risk for developing Alzheimer's disease.

[0111] Thus, for example, the kits of this invention could include a solid substrate having a hydrophobic function, such as a protein biochip (*e.g.*, a CIPHERGEN H50 ProteinChip array) and a sodium acetate buffer for washing the substrate, as well as instructions providing a protocol to measure the biomarkers of this invention on the chip and to use these measurements to diagnose Alzheimer's disease.

[0112] Compounds suitable for therapeutic testing may be screened initially by identifying compounds which interact with one or more biomarkers listed in Table 1. By way of example, screening might include recombinantly expressing a biomarker listed in Table 1, purifying the biomarker, and affixing the biomarker to a substrate. Test compounds would then be contacted with the substrate, typically in aqueous conditions, and interactions between the test compound and the biomarker are measured, for example, by measuring elution rates as a function of salt concentration. Certain proteins may recognize and cleave one or more biomarkers of Table 1, in which case the proteins may be detected by monitoring the digestion of one or more biomarkers in a standard assay, *e.g.*, by gel electrophoresis of the proteins.

[0113] In a related embodiment, the ability of a test compound to inhibit the activity of one or more of the biomarkers of Table 1 may be measured. One of skill in the art will recognize that the techniques used to measure the activity of a particular biomarker will vary depending on the function and properties of the biomarker. For example, an enzymatic activity of a biomarker may be assayed provided that an appropriate substrate is available and provided that the concentration of the substrate or the appearance of the reaction product is readily measurable. The ability of potentially therapeutic test compounds to inhibit or enhance the activity of a given biomarker may be determined by measuring the rates of catalysis in the presence or absence of the test compounds. The ability of a test compound to interfere with a non-enzymatic (*e.g.*, structural) function or activity of one of the biomarkers of Table 1 may also be measured. For example, the self-assembly of a multi-protein complex which includes one of the biomarkers of Table 1 may be monitored by spectroscopy in the presence or absence of a test compound. Alternatively, if the biomarker is a non-enzymatic enhancer of transcription, test compounds which interfere with the ability of the biomarker to enhance transcription may be identified by measuring the levels of biomarker-dependent transcription *in vivo* or *in vitro* in the presence and absence of the test compound.

[0114] Test compounds capable of modulating the activity of any of the biomarkers of Table 1 may be administered to patients who are suffering from or are at risk of developing Alzheimer's disease or other cancer. For example, the administration of a test compound which increases the activity of a particular biomarker may decrease the risk of Alzheimer's disease in a patient if the activity of the particular biomarker *in vivo* prevents the accumulation of proteins for Alzheimer's disease. Conversely, the administration of a test compound which decreases the activity of a particular biomarker may decrease the risk of Alzheimer's disease in a patient if the increased activity of the biomarker is responsible, at least in part, for the onset of Alzheimer's disease. For example, Saposin D may be targeted because Saposin D stimulates the enzymatic hydrolysis of ceramide. Ceramide serves as a second messenger in the induction of apoptotic processes in the brain that have been implicated in the development of AD (Alessenko AV *et al.* (2004) *Biochem. Soc. Trans.* 32:144-146; Ciaffoni F *et al.* (2003) *Biochem J.* 373:785-92).

[0115] At the clinical level, screening a test compound includes obtaining samples from test subjects before and after the subjects have been exposed to a test compound. The levels in the samples of one or more of the biomarkers listed in Table 1 may be measured and analyzed to determine whether the levels of the biomarkers change after exposure to a test compound. The samples may be analyzed by mass spectrometry, as described herein, or the samples may be analyzed by any appropriate means known to one of skill in the art. For example, the levels of one or more of the biomarkers listed in Table 1 may be measured directly by Western blot using radio- or fluorescently-labeled antibodies which specifically bind to the biomarkers. Alternatively, changes in the levels of mRNA encoding the one or more biomarkers may be measured and correlated with the administration of a given test compound to a subject. In a further embodiment, the changes in the level of expression of one or more of the biomarkers may be measured using *in vitro* methods and materials. For example, human tissue cultured cells which express, or are capable of expressing, one or more of the biomarkers of Table 1 may be contacted with test compounds. Subjects who have been treated with test compounds will be routinely examined for any physiological effects which may result from the treatment. In particular, the test compounds will be evaluated for their ability to decrease disease likelihood in a subject. Alternatively, if the test compounds are administered to subjects who have previously been diagnosed with Alzheimer's disease, test compounds will be screened for their ability to slow or stop the progression of the disease.

## 11. EXAMPLES

### Example 1. Discovery of biomarkers for Alzheimer's Disease

#### **Materials and methods**

[0116] Study design and clinical samples: CSF samples (150  $\mu$ l) from 97 Alzheimer's disease patients (age: Mean 74.11, Range 50-89) including 83 very mild cases with a Mini-Mental State Examination (MMSE) of >24 and 49 Normal individuals (age: Mean 62.94, Range 39-92) were used in this study (see Table 4).

**Table 4 Clinical Characteristics**

| <i>Diagnosis</i> | <i>No</i> | <i>Gender (M:F)</i> | <i>Age (y)</i> | <i>MMSE score</i> |
|------------------|-----------|---------------------|----------------|-------------------|
| Probable AD      | 97        | 27:70               | 74.1 (7.4)     | 24.9 (3.8)        |
| Normal           | 49        | 22:27               | 62.9 (12.8)    | 28.3 (2.4)        |

Values are means (SD).

[0117] All patients underwent a thorough clinical investigation that included the following: medical (including history); physical, neurological, and psychiatric examinations; screening laboratory tests; an electroencephalogram; and a computerized tomography scan of the brain. The presence or absence of dementia was diagnosed according to DSM-IV criteria. Probable AD was diagnosed according to NINCDS-ADRDA criteria (McKhann G. *et al.* (1984) *Neurology* 34:939-944) and disease severity was assessed using MMSE scores (Folstein MF *et al.*, (1975) *J Psychiatr Res* 12:189-198).

[0118] CSF samples were obtained by lumbar puncture in the L3/L4 or L4/L5 interspace, collected in polypropylene tubes and stored at  $-80^{\circ}\text{C}$ . All patients (or their nearest relatives) and normal individuals gave informed consent to participate in the study, which was conducted according to the Helsinki Declaration. The CSF samples were sourced through three specialized memory clinics at Sahlgrenska University Hospital (AD site 1, N = 64), University of Kuopio (AD site 2, N = 33) and University of Gottenburg (Normal, N=49). The samples along with pooled reference CSF were aliquoted into 96-well microtiter plates with randomized placement to help eliminate systematic bias.

[0119] SELDI analysis: Clinical CSF samples were thawed, and 5 $\mu$ l of each sample was diluted into 50 $\mu$ l of the appropriate binding buffer and profiled on a range of ProteinChip® Array types (CIPHERGEN Biosystems, Fremont, CA). All array preparation was performed using a Biomek® 2000 robot (Beckman Coulter) with an integrated shaker and randomized sample placement. The following binding buffers were used for each ProteinChip Array type: 10% acetonitrile with 0.1% trifluoroacetic acid for H50 arrays, 100 mM Sodium Phosphate (pH 7.0, 0.5 M NaCl) for IMAC30-Cu and IMAC30-Ni arrays, 100 mM Sodium Acetate (pH 4.0) for CM10 arrays, and 100 mM Tris (pH 9.0) for Q10 arrays. The samples were allowed to bind for 30 minutes at room temperature. Each array was washed three times with the appropriate binding buffer and rinsed twice with water before the addition of Energy Absorbing Molecules (EAM) used for facilitating desorption and ionization of proteins in the mass spectrometer reader. Sinapinic Acid (SPA) and 4-hydroxy-alpha-cyanocinnamic acid (CHCA) were used as EAMs (CIPHERGEN Biosystems, Fremont, CA). The arrays with SPA were read at two different instrument settings to focus on lower and higher masses while CHCA readings focused on the lower mass region. Each sample was run in triplicate on separate arrays on successive days. Analysis of the arrays was performed in a ProteinChip Reader, series PBS (IIc) (CIPHERGEN Biosystems, Fremont, CA). A protein retentate map was generated in which the individual proteins were displayed as unique peaks based on their mass and charge (m/z)..

[0120] To ensure reproducibility of sample preparation and array analysis, a reference CSF standard was randomly distributed in several separate aliquots among the clinical samples and analyzed under exactly the same conditions. Reproducibility was measured as pooled coefficients of variation (CV) for each set of acquisition parameters. The CV values were determined to be 20-25 % (N = 28) and were independent of array lot.

[0121] Data Analysis: ProteinChip profiling spectral data were collected using ProteinChip Software version 3.1 and directly exported to CIPHERGEN Express™ Software version 3.0, where data handling and univariate analysis were performed. All spectra were mass calibrated internally and peak intensities were normalized. Peak clustering was performed in a range that excluded the very low mass region, which is dominated by EAM peaks. P values for individual peaks across each group were calculated using a Mann-Whitney test. The AUC of the receiver operator characteristic curve (ROC AUC) was calculated for each peak and the number of features reduced by keeping only peaks with values above 0.65. All peaks

showing a significant difference in the initial univariate analysis were checked manually to exclude any spurious peaks. For further data reduction, the AD samples were compared separately by site, *i.e.* Swedish (AD site 1) vs. healthy controls and Finnish (AD site 2) vs. healthy controls, to select candidate biomarkers with ROC AUC values above 0.65 in both comparisons and changed in the same direction.

**[0122] Marker purification and ID:** Biomarkers were purified using combinations of chromatographic techniques employing a range of Biosepra sorbents typically followed by SDS-PAGE. The purification schemes were monitored using a ProteinChip Reader to track biomarkers of interest. For proteins smaller than 30 kDa, intact bands of interest were extracted from gels and reanalyzed using the ProteinChip Reader to confirm their exact masses matched with the original biomarker. The gel-extracted proteins were in-solution digested with trypsin and proteins larger than 30 kDa were in-gel digested. Tryptic digests were analyzed by peptide mapping using the ProteinChip Reader and by tandem MS using a Q-STAR (Applied Biosystems) instrument fitted with a PCI-1000 ProteinChip Interface. Biomarkers smaller than 4 kDa were enriched by combinations of chromatographic techniques and identified directly by tandem MS without SDS-PAGE purification and/or trypsin digestion.

**[0123]** The techniques described in the preceding paragraph allowed the identification of the biomarkers in Table 1. An approximately 20.8 kDa biomarker that is particularly useful for diagnosing Alzheimer's disease corresponds to an N-terminally truncated FAM3C protein with the predicted sequence highlighted in bold below:

|                |            |            |            |            |            |
|----------------|------------|------------|------------|------------|------------|
| 1              | 11         | 21         | 31         | 41         | 51         |
| 1 MRVAGAAKL    | VAVAVFLLTF | YVISQVFEIK | MDASLGNLFA | RSALDTAARS | TKPPRYKCGI |
| 61 SKACPEKHFA  | FKMASGAANV | VGPKICLEDN | VMSGVKNNV  | GRGINVALAN | GKTGEVLDTK |
| 121 YFDMWGGDVA | PFIEFLKAIQ | DGTIVLMGTY | DDGATKLNDE | ARRLIADLGS | TSITNLGFRD |
| 181 NWVFCGGKGI | KTKSPFEQHI | KNNKDTNKYE | GWPEVVEMEG | CIPQKQD    |            |

The sequence in bold is SED ID NO: 1. FAM3C is a recently cloned and identified secreted cytokine which is expressed in almost all tissues (Zhu Y *et al.* (2002) *Genomics* 80:144-150). FAM3C was also found in the CSF of multiple sclerosis patients (Dumont D *et al.* (2004) *Proteomics* 4:2117-24).

**[0124]** In addition, a set of biomarkers with mass/charge ratios of approximately 10.4 kDa, 10.3 kDa, and 9.98 kDa was identified (Table 1). These biomarkers

correspond to various forms of a peptide derived from Saposin D (Swiss Protein Database SAP\_HUMAN P07602). Residues 405-486 (SEQ ID NO: 2) of the deposited Saposin D precursor sequence appear below:

```

      1           11           21           31           41
      |           |           |           |           |
1  DGGFCEVCKK LVGYLDRNLE KNSTKQEILA ALEKGCSTFLP DPYQKQCDQF
51 VAEYEPVLIE ILVEVMDPSF VCLKIGACPS AH

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**[0125]** Asn22 is N-glycosylated. The polypeptide contains 6 Cys, which form 3 bridges as shown in Figure 3. Saposin D is known to have microheterogeneity at the amino acid sequence level as well as at the glycosidic moiety level. The observed 10.4 kDa Saposin D (I) biomarker corresponds to amino acids 1-80 of SEQ ID NO:2 glycosylated with a Hex<sub>4</sub>HexNAc<sub>4</sub> moiety (predicted molecular weight = 10.37 kDa). The observed 10.3 kDa Saposin D (II) biomarker corresponds to amino acids 2-80 of SEQ ID NO:2 glycosylated with a Hex<sub>4</sub>HexNAc<sub>4</sub> moiety (predicted molecular weight = 10.26 kDa). The observed 9.98 kDa Saposin D (III) biomarker corresponds to amino acids 1-80 of SEQ ID NO:2 glycosylated with a Hex<sub>4</sub>HexNAc<sub>2</sub> moiety (predicted molecular weight = 9.97 kDa). Sap D is a member of a family of four small glycoproteins (Sap A, Sap B, Sap C and Sap D), generated in the late endosomal/lysosomal compartment from a common precursor protein, prosaposin [Kishimoto (1992) J. Lipid Res.]. Sap D is a sphingolipid activator protein that stimulates the lysosomal degradation of ceramide [Klein (1994) Biochem. Biophys. Res. Commun.]. Ceramide, a lipid second messenger molecule produced from the degradation of sphingomyelin, is known to induce apoptosis and cell death in various cell types including glial and neuronal cells through the activation of proteases and caspases [Hannun (1996) Science]. Fibrillar Aβ<sub>1-42</sub> peptides induce the activation of sphingomyelinases and the production of ceramide in human primary neurons [Jana (2004) J. Biol. Chem.]. The levels of ceramide are also significantly increased in the brains and CSF of patients with Alzheimer's disease (AD) but not in patients with age-matched amyotrophic lateral sclerosis (ALS) and other neurological controls [Satoi (2005) Neuroscience]. The increased ceramide level in the CSF from AD patients, which may be derived from astroglia, raises a possibility of neuronal apoptosis by the response to intercellular ceramide in AD. Increased levels of Sap D could be a compensatory response to the elevated levels of ceramide in the AD brain.

**[0126]** The markers from the final short list were randomly split into model (75 %) and test (25 %) sets. Using the model set only, ranking of performance by Partial Least Squares

(PLS) regression (XLSTAT PLS, Addinsoft) helped reduce the number of features down to four proteins: 7B2 C-terminal fragment (3.5 kDa), Saposin D (I), Beta-2-Microglobulin and FAM3C (I). A predictive model was constructed using PLS regression of those four peaks and applied to the data in the test set.

Example 2. Saposin D confirmed as a biomarker for Alzheimer's disease on cation exchange SELDI chip

[0127] We confirmed saposin D as a biomarker for Alzheimer's disease using a cation exchange SELDI chip, CM-10 (Ciphergen). The population from which samples were taken is described in Table 5:

| Group (# samples)                  | MMSE:<br>Mean (SD) | Age:<br>Mean (SD) |
|------------------------------------|--------------------|-------------------|
| 60 Probable AD Piteaa              | 21.2 (5.0)         | 74.8 (5.7)        |
| 35 Probable AD<br>Gothenburg       | 17.7 (5.6)         | 69.5 (6.3)        |
| 44 Clinically Normal<br>Gothenburg | 28.4 (1.5)         | 72.3 (2.5)        |
| 28 Clinically Normal<br>Malmoe     | 29.0 (1.1)         | 74.0 (8.4)        |

[0128] The detection protocol included the following:

Buffer List:

100mM Sodium Acetate pH 4.0

Material List:

Bioprocessor kit

CM10 arrays

A. Equilibrate arrays

Add 100uL of appropriate chip binding buffer into each well

Mix 5 min at Room Temperature (RT)

Remove buffer

Add 100uL of appropriate buffer into each well

Mix 5 min at Room Temperature (RT)

Remove buffer

**B. Bind samples to arrays**

Add 45uL of corresponding buffer into each well (45uL if E-bead)

Add 5uL of neat CSF sample

Mix 60 min at Room Temperature (RT)

Remove sample and buffer

**C. Wash arrays**

Add 100uL of corresponding buffer into each well

Mix 5 min at Room Temperature (RT)

Remove buffer

Add 100uL of corresponding buffer into each well

Mix 5 min at Room Temperature (RT)

Remove buffer

Water rinse

**[0129]** A spectrum showing saposin D (I) detected in this assay is depicted in Figure 4.

**[0130]** It is understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application and scope of the appended claims. All publications, patents, and patent applications cited herein are hereby incorporated by reference in their entirety for all purposes.

**WHAT IS CLAIMED IS:**

1                   1.       A method for qualifying Alzheimer's disease status in a subject  
2 comprising:

3                           (a)     measuring at least one biomarker in a biological sample from  
4 the subject, wherein the at least one biomarker is selected from the group consisting of  
5 Saposin D (I), Saposin D (II), Saposin D (III) and FAM3C (I); and

6                           (b)     correlating the measurement with Alzheimer's disease status.

1                   2.       The method of claim 1 comprising measuring Saposin D (I) and further  
2 comprising measuring beta-2-microglobulin, and correlating the measurements with  
3 Alzheimer's disease status.

1                   3.       The method of claim 1 comprising measuring Saposin D (I) and  
2 FAM3C (I), and correlating the measurements with Alzheimer's disease status.

1                   4.       The method of claim 1 comprising measuring Saposin D (I), FAM3C  
2 (I), and beta-2-microglobulin, and correlating the measurements with Alzheimer's disease  
3 status.

1                   5.       The method of claim 1, comprising measuring Saposin D (I), beta-2-  
2 microglobulin, FAM3C (I) and 7B2, and correlating the measurements with Alzheimer's  
3 disease status.

1                   6.       The method of claim 1, wherein the at least one biomarker is measured  
2 by capturing the biomarker on an adsorbent surface of a SELDI probe and detecting the  
3 captured biomarkers by laser desorption-ionization mass spectrometry.

1                   7.       The method of claim 6, wherein the adsorbent comprises an adsorbent  
2 selected from the group consisting of a hydrophobic adsorbent and a cation exchange  
3 adsorbent.

1                   8.       The method of claim 6, wherein the adsorbent comprises a biospecific  
2 adsorbent.

1                   9.       The method of claim 1, wherein the at least one biomarker is measured  
2 by mass spectrometry.

- 1                   10.     The method of claim 1, wherein the at least one biomarker is measured  
2     by immunoassay.
- 1                   11.     The method of claim 1, further comprising: (c) reporting the status to  
2     the subject.
- 1                   12.     The method of claim 1, further comprising: (c) recording the status on a  
2     tangible medium.
- 1                   13.     The method of claim 1, wherein the at least one biomarker is measured  
2     by SELDI-MS.
- 1                   14.     The method of claim 1, wherein the at least one biomarker is Saposin  
2     D.
- 1                   15.     The method of claim 14, wherein Saposin D is measured by  
2     immunoassay.
- 1                   16.     The method of claim 1, wherein the sample is cerebrospinal fluid.
- 1                   17.     The method of claim 1, wherein the correlating is performed by a  
2     software classification algorithm.
- 1                   18.     The method of claim 1, wherein Alzheimer's disease status is selected  
2     from Alzheimer's disease and non-dementia.
- 1                   19.     The method of claim 1, further comprising: (c) managing subject  
2     treatment based on the status.
- 3                   20.     The method of claim 18, wherein, if the measurement correlates with  
4     Alzheimer's disease, then managing subject treatment comprises administering an inhibitor of  
5     Saposin D gene expression to the subject.
- 1                   21.     The method of claim 19, further comprising: (d) measuring the at least  
2     one biomarker after subject management and correlating the measurement with disease  
3     progression.

1                   22.     A method for determining the course of Alzheimer's disease  
2 comprising:

3                   (a)     measuring, at a first time, at least one biomarker in a biological  
4 sample from the subject, wherein the at least one biomarker is selected from the group  
5 consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I);

6                   (b)     measuring, at a second time, the at least one biomarker in a  
7 biological sample from the subject; and

8                   (c)     comparing the first measurement and the second measurement;  
9 wherein the comparative measurements determine the course of the Alzheimer's disease.

1                   23.     The method of claim 22 comprising measuring at least Saposin D (I)  
2 and beta-2-microglobulin at a first and second time, and comparing the first and second  
3 measurements.

1                   24.     The method of claim 23 further comprising measuring either or both of  
2 7B2 and/or FAM3C (I) at a first and second time, and comparing the first and second  
3 measurements. .

1                   25.     The method of claim 22, wherein the second time is after subject  
2 management.

1                   26.     A method comprising measuring at least one biomarker in a sample  
2 from a subject, wherein the at least one biomarker is selected from the group consisting of  
3 Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I).

1                   27.     The method of claim 26 comprising measuring at least Saposin D (I)  
2 and beta-2-microglobulin.

1                   28.     The method of claim 27 further comprising measuring either or both of  
2 7B2 and/or FAM3C (I).

1                   29.     A purified biomolecule selected from the group consisting of Saposin D  
2 (I), Saposin D (II), Saposin D (III), and FAM3C (I).

1                   30.     A composition comprising a biospecific capture reagent bound to a  
2     biomarker selected from the group consisting of Saposin D (I), Saposin D (II), Saposin D  
3     (III), and FAM3C (I).

1                   31.     The composition of claim 30 wherein the biomarker is a member  
2     selected from the group consisting of Saposin D (I) and beta-2-microglobulin.

1                   32.     The composition of claim 31 wherein the biomarker is a member  
2     selected from the group consisting of 7B2 and FAM3C (I).

1                   33.     A kit comprising:

2                   (a)     an article of manufacture comprising a solid support and at least  
3     one capture reagent attached thereto, wherein the capture reagent binds at least one biomarker  
4     from a first group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C  
5     (I); and

6                   (b)     instructions for using the solid support to measure said at least  
7     one biomarker and to correlate the measurement with Alzheimer's disease status.

1                   34.     The kit of claim 29 comprising instructions for using the solid support  
2     to measure each of the biomarkers: Saposin D (I) and beta-2-microglobulin.

1                   35.     The kit of claim 34, further comprising instructions for using the solid  
2     support to measure either or both of 7B2 and/or FAM3C (I).

1                   36.     The kit of claim 33, wherein the solid support comprising the capture  
2     reagent is a SELDI probe.

1                   37.     The kit of claim 33, wherein the at least one capture reagent comprises  
2     a hydrophobic adsorbent.

1                   38.     The kit of claim 33, additionally comprising a container containing at  
2     least one of the biomarkers selected from the group consisting of Saposin D (I), Saposin D  
3     (II), Saposin D (III), and FAM3C (I).

1                   39.     The kit of claim 33, where the at least one capture reagent comprises a  
2     metal affinity chromatography absorbent or a cation exchange chromatography adsorbent.

1                   40.     A kit comprising:

2                   (a)     an article of manufacture comprising a solid support and at least  
3 one capture reagent attached thereto, wherein the at least one capture reagent binds at least  
4 one biomarker selected from the group consisting of Saposin D (I), Saposin D (II), Saposin D  
5 (III), and FAM3C (I); and

6                   (b)     a container containing at least one of the biomarkers.

1                   41.     The kit of claim 40, wherein the container contains each of the  
2 following biomarkers: Saposin D (I) and beta-2-microglobulin.

1                   42.     The kit of claim 40, wherein the solid support comprising a capture  
2 reagent is a SELDI probe.

1                   43.     The kit of claim 40, wherein the at least one capture reagent comprises  
2 an adsorbent selected from the group consisting of a hydrophobic adsorbent and a cation  
3 exchange adsorbent.

1                   44.     A software product comprising:

2                   a.     code that accesses data attributed to a sample, the data  
3 comprising measurement of at least one biomarker in the sample, the biomarker selected from  
4 the group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I); and

5                   b.     code that executes a classification algorithm that classifies the  
6 Alzheimer's disease status of the sample as a function of the measurement.

1                   45.     The software product of claim 44, wherein the classification algorithm  
2 classifies the Alzheimer's disease status of the sample as a function of the measurement of a  
3 biomarker selected from the group consisting of: Saposin D (I), beta-2-microglobulin, 7B2  
4 and FAM3C (I).

1                   46.     The software product of claim 44, wherein the classification algorithm  
2 classifies the Alzheimer's disease status of the sample as a function of the measurement of  
3 each of the biomarkers: Saposin D (I) and beta-2-microglobulin.

1                   47.     The software product of claim 46, wherein the classification algorithm  
2 classifies the Alzheimer's disease status of the sample further as a function of the  
3 measurement of each of the biomarkers 7B2 and FAM3C (I).

1                   48.     A method comprising detecting a biomarker of from the group  
2 consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I) by mass  
3 spectrometry or immunoassay.

1                   49.     A method comprising communicating to a subject a diagnosis relating  
2 to Alzheimer's disease status determined from the correlation of biomarkers in a sample from  
3 the subject, wherein said biomarkers are selected from the group consisting of Saposin D (I),  
4 Saposin D (II), Saposin D (III), and FAM3C (I).

1                   50.     The method of claim 49, wherein the diagnosis is communicated to the  
2 subject via a computer-generated medium.

1                   51.     A method for identifying a compound that interacts with a biomarker  
2 selected from the group consisting of Saposin D (I), Saposin D (II), Saposin D (III), and  
3 FAM3C (I), wherein said method comprises:

4                           a)     contacting said biomarker with a test compound; and  
5                           b)     determining whether the test compound interacts with said  
6 biomarker.

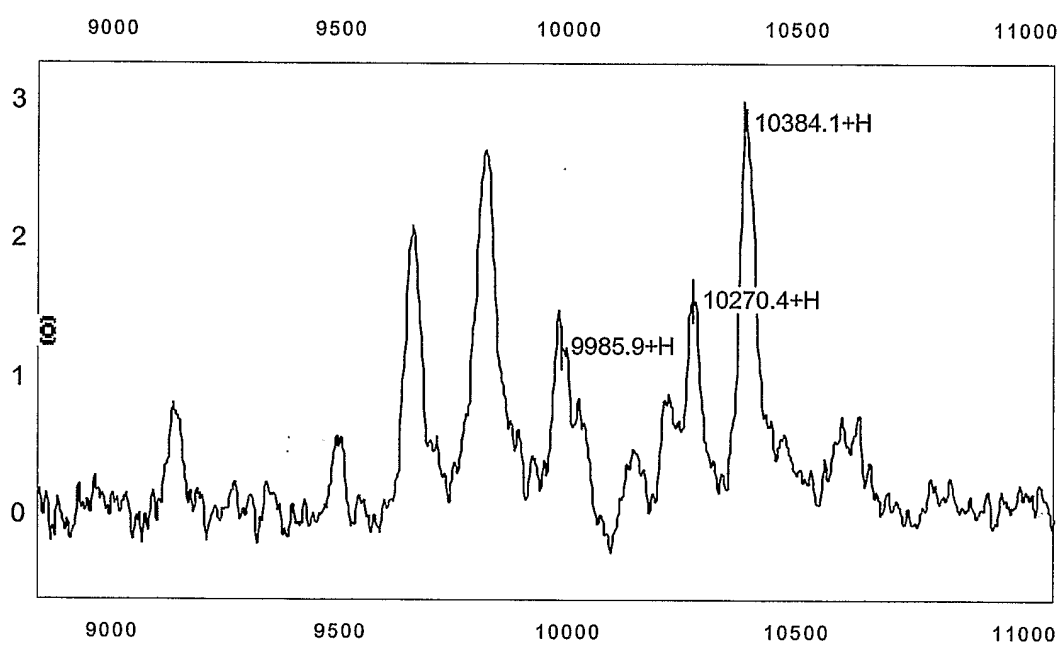
1                   52.     A method for modulating the concentration of a biomarker in a cell,  
2 wherein said biomarker is selected from the group consisting of Saposin D (I), Saposin D (II),  
3 Saposin D (III), and FAM3C (I), and wherein said method comprises: contacting said cell  
4 with an antisense or interfering RNA molecule, wherein said antisense or interfering RNA  
5 molecule inhibits expression of said biomarker in the cell.

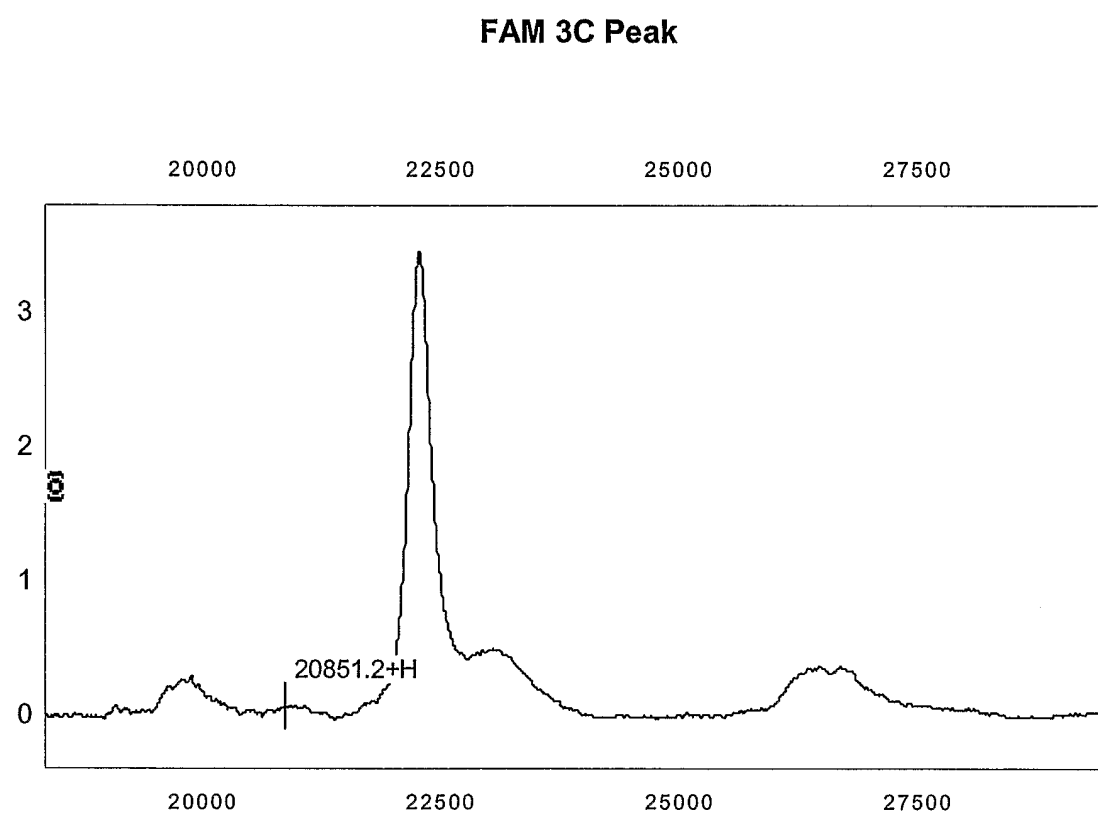
1                   53.     A method of treating a condition in a subject associated with the over-  
2 expression of a biomarker in a cell, wherein said biomarker is selected from the group  
3 consisting of Saposin D (I), Saposin D (II), Saposin D (III), and FAM3C (I), and wherein said  
4 method comprises: administering to the subject a therapeutically effective amount of an  
5 antisense or interfering RNA molecule, wherein said antisense or RNA interfering molecule  
6 inhibits expression of said biomarker in the cell.

1                   54.     The method of claim 53 wherein said condition is Alzheimer's disease.

1                   55.     The method of claim 1 wherein a modified form of the at least one  
2 biomarker is measured.

- 1                   56.     A method for qualifying Alzheimer's disease status in a subject  
2 comprising:  
3                   (a)     measuring at least one biomarker in a biological sample from  
4 the subject, wherein the at least one biomarker comprises a Saposin D biomarker cluster  
5 protein or a FAM3C biomarker cluster protein; and  
6                   (b)     correlating the measurement with Alzheimer's disease status.
- 1                   57.     The method of claim 56, wherein said Saposin D biomarker cluster  
2 protein or said FAM3C biomarker cluster protein is measured by immunoassay.

**Saposisin D Peaks****FIG. 1A**

**FIG. 1B**

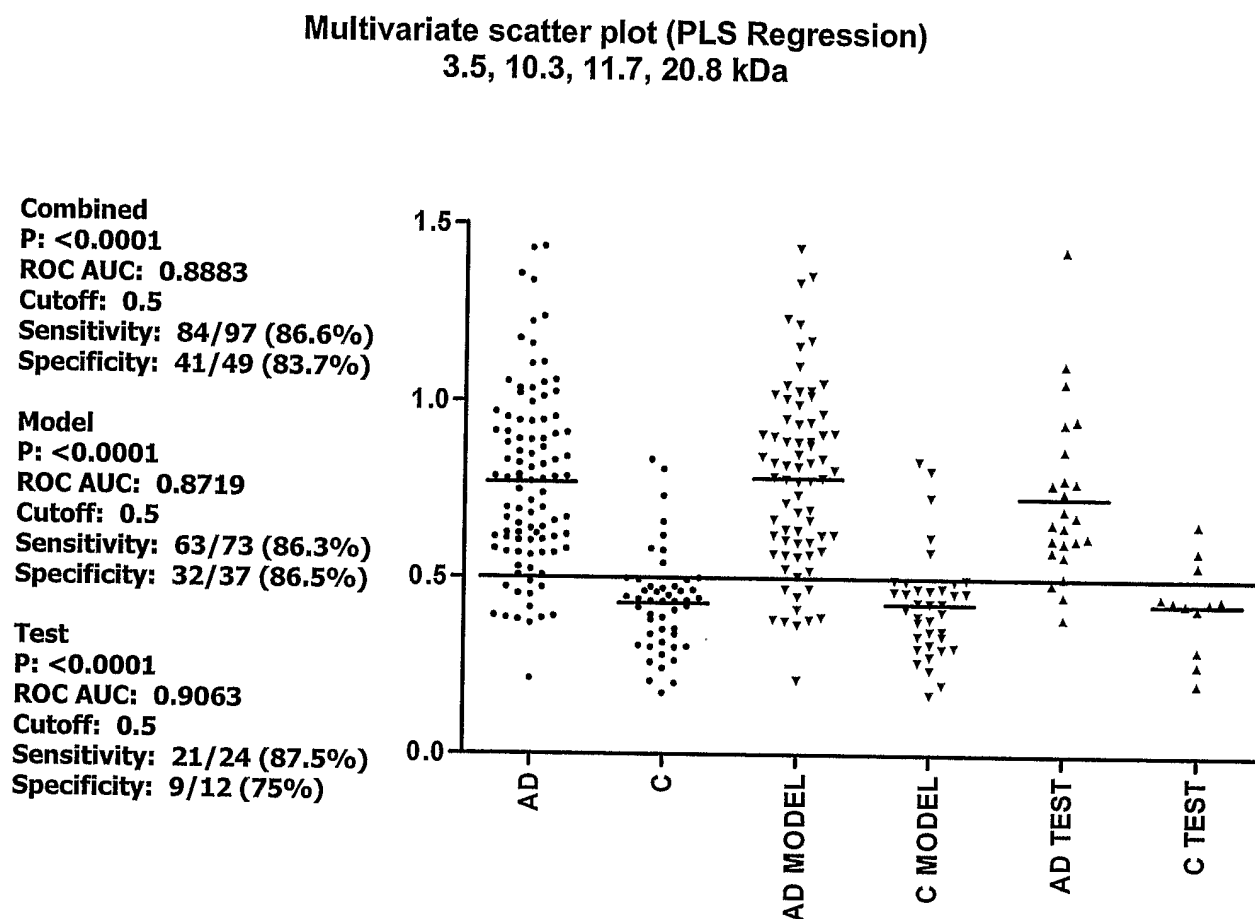
**FIG. 2**



FIG. 3

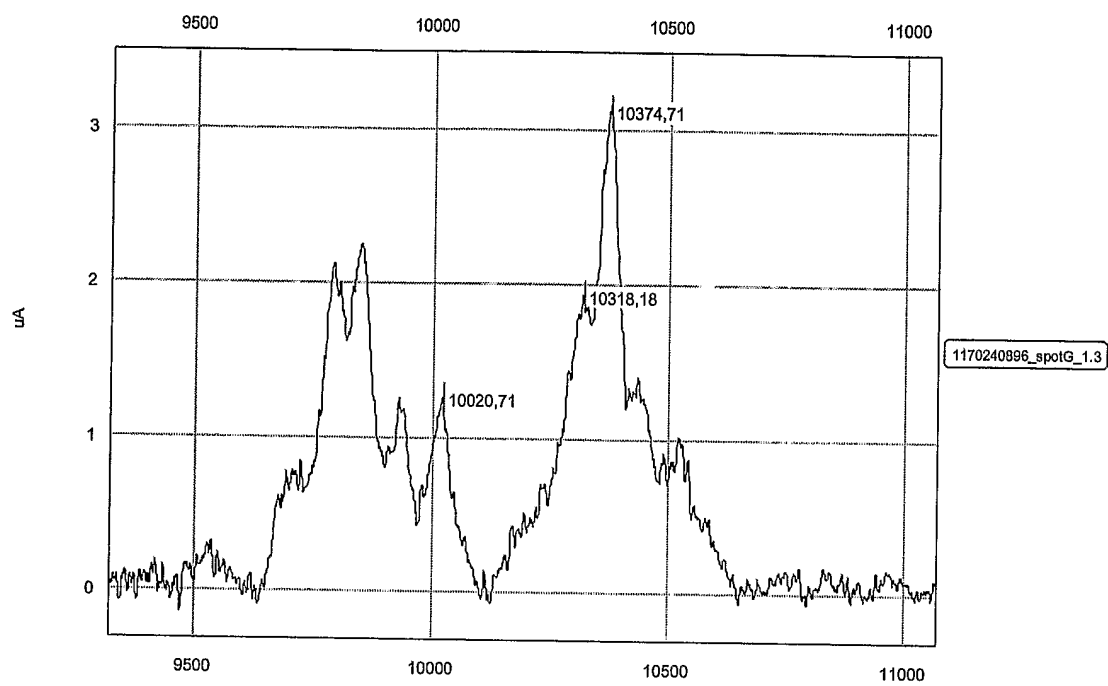


Fig. 4