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- (54) **Title:** COMPACT EYE-TRACKED HEAD-MOUNTED DISPLAY

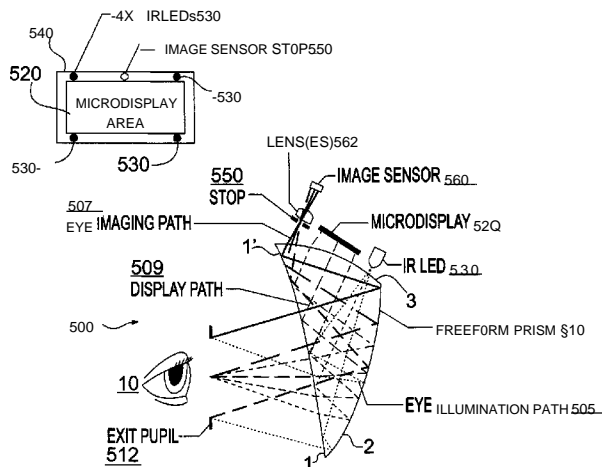


FIG. 5C

(57) **Abstract:** Eye-tracked head-mounted displays are provided which, in one aspect, may utilize the same optics for eyetracking and image viewing, with a selected portion of the optics used for an eyetracking optical path and a selected portion of the display optics used for an image viewing optical path.

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UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ,
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COMPACT EYE-TRACKED HEAD-MOUNTED DISPLAY**Related Applications**

[0001] This claims the benefit of priority of U.S. Provisional Application No. 61/632,441, filed on January 24, 2012 and claims the benefit of priority of U.S. Provisional Application No. 61/687,607, filed on April 25, 2012 and claims the benefit of priority of U.S. Provisional Application No. 61/699,493, filed on September 11, 2012, the entire contents of which applications are incorporated herein by reference.

Government License Rights

[0002] This invention was made with government support under contract No. IIS 1115489 awarded by the National Science Foundation. The government has certain rights in the invention.

Field of the Invention

[0003] The present invention relates generally to eye-tracked head-mounted displays, and more particularly, but not exclusively, to eye-tracked head-mounted displays which may utilize the same optics for eyetracking and image viewing, with a selected portion of the optics used for an eyetracking optical path and a selected portion of the display optics used for an image viewing optical path.

Background of the Invention

[0004] Head-mounted display (HMD) technologies have been applied to a wide range of scientific and engineering domains. Examples of applications include flight simulation, scientific visualization, medicine, engineering design, education and training, wearable computing, and entertainment systems. In the domain of augmented reality, HMDs are one of the enabling technologies for merging virtual views with physical scenes, which may enable a physician to see a 3D rendering of the anatomical structures or CT images of a patient superimposed onto the patient's anatomy, such as the abdomen, for example. In the domain of wearable computing, an HMD creates a mobile display solution that offers much more attractive image quality and screen size than other popular mobile platforms such as smart phones and PDAs. In the foreseeable future, such mobile displays may appear as elegant as a pair of sunglasses and may become an integral part of many people's daily activities to retrieve information and connect with people instantly.

[0005] In parallel with HMD technologies, various eyetracking technologies have been developed and applied to several disciplines including vision research, human computer interfaces, tele-operation environments, and visual communication. The benefits of eyetracking for multi-modal human-computer interfaces and the technical benefits of data compression have been well-recognized and studied. For instance, multi-resolution gaze-contingent display and image processing schemes have been proposed to effectively save data transmission bandwidth in communication, and improve rendering speed of 3D scenes using foveated level-of-detail management methods, and to achieve wide FOV high-resolution display and imaging systems.

[0006] The concept of creating an integrated eyetracked HMD (ET-HMD) system has been explored in various levels. An ET-HMD is able to display monocular or stereoscopic virtual images as a classical HMD does, while additionally tracking the gaze direction of the user. A fully-integrated ET-HMD offers multi-fold benefits, not only to fundamental scientific research but also to emerging applications of such technology. For instance, many research efforts are concerned about how human users perceive and organize spatial information, interact with such information, and navigate within 3D virtual spaces. Eyetracking capability in HMDs adds a very valuable tool and objective metric for scientists to quantitatively assess user interaction with 3D environments and investigate the effectiveness of various 3D visualization technologies for various specific tasks including training, education, and augmented cognition tasks. From the technology point of view, eyetracking capability integrated with HMD systems can be utilized to improve size and depth perception accuracy in stereoscopic displays. Eyetracking capability may help to create solutions to the FOV-resolution tradeoff through a fovea-contingent display scheme and to the accommodation-convergence contradiction by using vari-focal plane display methodology. From the application point of view, an ET-HMD offers unique opportunities for novel interactive interfaces for people with proprioceptive disabilities where eye gaze instead of hands or feet can be used as a method of interaction and communication.

[0007] Despite significant advancements and commercial availability of stand-alone HMD and eyetracking technologies, integrating these two stand-alone technologies imposes significant challenges in creating a compact, portable, accurate and robust

system. Although several pioneering efforts were made to develop ET-HMD technologies and to optimize these two technologies in a systematic approach, none of the existing technological solutions offers a truly portable, lightweight, and robust system that conforms to the form factor of an eyeglass-style display. For many demanding applications, lightweight and compactness are critical. For instance, to support Amyotrophic Lateral Sclerosis (ALS) patient communication, the integrated system has to be lightweight so that the patients are able to bear the weight with their significantly weakened muscles and very limited mobility.

[0008] Over the past decades, many different optical design approaches have been applied to HMD designs to improve the system performance. These methods include applying catadioptric technique, introducing new elements such as aspherical surfaces, using holographic and diffractive optical components, exploring new design principles such as using projection optics to replace an eyepiece or microscope type lens system in a conventional HMD design, and introducing tilt and decenter or even freeform surfaces. Few of these optical design methods are capable of creating a wide field-of-view, compact, and lightweight HMD that is nonintrusive and can be considered as being eyeglass-style near-eye displays. Integrating eyetracking capability to these technologies is very challenging and adds significant weight, volume, and complexity.

[0009] Adding eyetracking capability to HMDs started as early as the high resolution inset displays by CAE Corporation. This pioneering work was not intended for mobile compact ET-HMD systems. Also, others used a mechanical driving device to move a high resolution inset in a bench-prototype stereoscopic display. ISCAN Corporation worked to integrate an ISCAN eyetracker into a V8-HMD from Virtual Research Corporation to study software-based fovea-contingent display scheme. This method of integrating commercially available HMDs and eye-trackers is referred to as the functionality integration approach, in which two separate instruments are brought together at a later stage of utilization. Though the functionality integration approach has the advantage of being a simple solution with low development cost, it generally does not take advantage of low-level optimization and lacks the attributes of compactness, accuracy, and robustness.

[0010] In contrast to the functionality integration approach, a systematic approach, where the system is conceived and optimized as one single instrument from a fundamental design perspective, has many advantages in creating a fully integrated ET-HMD instrument. The significant benefits of the systematic approach include the ability to explore the design constraints and requirements for both the display and eyetracker units, conceive new solutions, and optimize the designs for a compact and robust system. Pioneering efforts have been made to explore the possibility of a complete integration with low-level optimization. Following these earlier efforts, Hua and Rolland collaboratively pursued a fully integrated design approach, developed robust eyetracking methods and algorithms for an ET-HMD system, and designed an optical see-through ET-HMD optical system based on the concept of head-mounted projection displays. Figure 1 shows the first-order layout of the ET-HMD optical system, in which the optical system was simplified with ideal lens modules to emphasize the concept and the scale. (Curatu, C , Hong Hua, and J. P. Rolland, "Projection-based head-mounted display with eye-tracking capabilities," Proceedings of the SPIE International Society for Optical Engineering, Vol. 5875, San Diego, USA, August 2005. Curatu, C , J.P. Rolland, and Hong Hua, "Dual purpose lens for an eye-tracked projection head-mounted display," Proceedings of International Optical Design Conference, Vancouver, Canada, June 2006.). The design took a full integration approach and combined most of the optical paths for the display and eyetracking subsystems. The same projection optics was shared for both display and eye imaging functions. The main limitation of this design, however, was that the overall volume of the integrated ET-HMD system, although significantly improved over others, was still bulky and heavy.

[0011] The key challenges of creating a truly portable, lightweight, compact ET-HMD solution lies in addressing two cornerstone issues: (1) an optical method that enables the design of an HMD system with an elegant form factor as compelling as a pair of sunglasses, which has been a persistent dream for both technology and application developers; and (2) an optical method that allows the integration of the eyetracking capability without adding significant weight and volume to the system.

Summary of the Invention

[0012] An ET-HMD system using a video-based feature tracking method typically requires at least three unique optical paths: an illumination path, an eye imaging path, and a virtual display path. Through the illumination path the eye is illuminated by typically near infrared light-emitting diodes (NIR LEDs) to create imaging features such as darkened or brightened pupil and/or Purkinje features for tracking. Through the imaging path, an eye image with the tracking features is captured for feature detection and tracking. Through the display path, a virtual image displayed on a miniature display device is created through eyepiece optics for information viewing. One of the innovations of the present invention is an optical scheme that can uniquely combine these three optical paths through the same core optics, which may be an eyepiece, projection lens, or other optics structure.

[0013] For example, in one of its aspects, the present invention may use freeform optical technology along with an innovative optical scheme that can uniquely combine eye imaging optics for eyetracking with the display optics for information viewing. (Thus, as used herein in connection with description of the present invention, the terms "display optics" and "imaging optics" may refer to the same physical optics, which physical optics may also be called the "core optics".) Optionally, the eye illumination optics may also be combined. As such, in one of its advantages the present invention avoids the limitation imposed by prior approaches where the optical systems for the HMD and eyetracking paths are treated separately, and where rotationally symmetric optical surfaces are mostly used. However, though possibly more limiting, the optical scheme of integrating eyetracking with HMD disclosed in the present invention is not limited to freeform optics. The core optics for the ET-HMD system in accordance with the present invention can be applied to conventional HMD optics.

[0014] In an exemplary configuration, the present invention may provide an eye-tracked head-mounted display comprising a micro-display for generating an image to be viewed by a user; the micro-display may have a display optical path and an exit pupil associated therewith. A first plane may be located at the micro-display and a second plane located at the exit pupil. An image sensor may be configured to receive reflected optical radiation from the second plane reflected from a user's eye, and may

have a sensor optical path associated therewith. In addition, the eye-tracked head-mounted display may include display optics disposed in optical communication with the micro-display along the display optical path and in optical communication with the image sensor along the sensor optical path. The display optics may include a selected surface closest to the micro-display and the image sensor and be located relative to the micro-display and image sensor such that the display and image sensor optical paths impinge upon differing respective portions of the selected surface. The display and image sensor optical paths may partially overlap at the selected surface. The display and image sensor optical paths may each comprise respective optical axes at the display optics and image sensor, respectively, which axes may be coaxial or tilted relative to one another. In addition, the eye-tracked head-mounted display may include a stop at the first plane, where the stop has at least one aperture therein disposed at a location along the sensor optical path. Likewise, the eye-tracked head-mounted display may include a stop having at least one aperture therein disposed at a location along the sensor optical path between the sensor and selected surface. In either configuration, the stop or aperture may include a pin-hole like aperture. In one exemplary configuration, the display optics may include a freeform optical element, a rotationally symmetric optical element, and/or a freeform optical prism. The display optics may include an aspheric surface.

[0015] In addition, the eye-tracked head-mounted display may include an illumination source for generating optical radiation to illuminate the second plane to effect illumination of the user's eye. The display optics may be configured to collimate the optical radiation from the illumination source. The illumination source may be located in the first plane or at a different location, such as off axis from the optical axis of the display optics.

Brief Description of the Drawings

[0016] The foregoing summary and the following detailed description of exemplary embodiments of the present invention may be further understood when read in conjunction with the appended drawings, in which:

[0017] Figure 1 schematically illustrates a conventional eyetracked head-mounted display (ET-HMD) system based on rotationally symmetric optical technology;

[0018] Figures 2A, 2B schematically illustrate images from two different IR illumination strategies, with Fig. 2A showing an eye image of a bright eye pupil and four glints resulting from an on-axis illumination strategy where four NIR LEDs are arranged nearly co-axially with the optical axis of the eye imaging optics, and Fig. 2B showing an eye image of a dark eye pupil and four glints resulting from an off-axis illumination strategy where the four NIR LEDs are placed away from the optical axis of the eye imaging optics;

[0019] Figure 3A schematically illustrates an exemplary optical system in accordance with the present invention shown as a monocular optical module;

[0020] Figure 3B schematically illustrates an exemplary system in accordance with the present invention of illumination units and eye imaging units disposed around a microdisplay panel;

[0021] Figure 4 schematically illustrates a block diagram of an exemplary system based on freeform prism technology in accordance with the present invention shown as a monocular optical module;

[0022] Figures 5A - 5D schematically illustrate an exemplary design of an optical see-through HMD in accordance with the present invention, with Fig. 5A showing the eye illumination and imaging paths, Fig. 5B showing the virtual display path, Fig. 5C showing a freeform prism shared by eye illumination, eye imaging, and virtual display paths, and Fig 5D showing a freeform auxiliary lens attached to the freeform prism, which enables see-through capability;

[0023] Figure 6 schematically illustrates an optical layout and raytracing of an exemplary optimized ET-HMD system in accordance with the present invention using the 2-reflection freeform prism structure of Fig. 5D;

[0024] Figure 7 schematically illustrates a 3D model of an exemplary ET-HMD optical system in accordance with the present invention;

[0025] Figure 8 schematically illustrates a model of an exemplary binocular ET-HMD prototype in accordance with the present invention based on the optical design in Figs. 6 and 7;

[0026] Figures 9A-9D illustrate the polychromatic modulation transfer function (MTF) of 20 sampled fields across the field of view in the HMD virtual display path with a 4-mm centered pupil of the design of Fig. 6;

[0027] Figure 10 illustrates the distortion grid across the field of view in the HMD virtual display path of the design of Fig. 6;

[0028] Figure 11 illustrates the modulation transfer function of sampled fields across the field of view in the eye imaging path of the design of Fig. 6;

[0029] Figure 12 illustrates the distortion grid across the field of view in the eye imaging path of the design of Fig. 6;

[0030] Figures 13A-13D illustrate the polychromatic modulation transfer function (MTF) of 20 sampled fields across the central field of view of 30x22 degrees in the HMD see-through path with a 4-mm centered pupil of the design of Fig. 6;

[0031] Figure 14 illustrates the distortion grid across the field of view in the HMD see-through path of the design of Fig. 6;

[0032] Figures 15A, 15B illustrate an exemplary design of the optical scheme shown in Fig. 3 in accordance with the present invention; and

[0033] Figure 16 schematically illustrates an exemplary implementation of the optical scheme shown in Fig. 3 in accordance with the present invention based on rotationally symmetric optics.

Detailed Description of the Invention

[0034] Referring now to the figures, wherein like elements are numbered alike throughout, Figure 3A schematically illustrates an exemplary system layout 300 in accordance with the present invention for achieving a compact ET-HMD system. In this exemplary layout 300, the same core optics 310 may serve the functions of eye imaging, display viewing, and/or eye illumination. This simplification stems from an insightful observation on the unique conjugate planes in the eye illumination path 305, eye imaging path 307, and display path 309. In addition, differing portions along the clear aperture of the core optics 310 may be used for the eye illumination path 305, eye imaging path 307, and display path 309. For instance, at a selected surface of the core optics 310 located closest to the micro-display, two or more of the eye illumination path 305, eye imaging path 307, and display path 309 (*e.g.* eye

imaging path 307 and display path 309) can impinge upon differing respective portions of the selected surface, though partial overlap is permitted.

[0035] In the display path 309, the core optics 310, which in this context functions as display optics, forms a magnified virtual image of the microdisplay 320 seen by the eye 10. The microdisplay unit 320 can be any type of self-emissive, or illuminated pixel arrays that can serve as an image source, including, but not limited to, a liquid crystal on silicon (LCoS) display device, a liquid crystal display (LCD) panel, an organic light emitting display (OLED), ferroelectric liquid crystal on silicon (FLCoS) device, digital mirror device (DMD), or a micro-projector built upon these aforementioned or other types of micro-display devices, and additional optional optics may be provided between the microdisplay 320 and core optics 310, as desired or required. The magnified virtual image, which may appear to be at an infinite or finite distance from the eye 10, corresponds to the conjugate focal plane of the microdisplay 320. The eye pupil 12 may be co-located with the exit pupil 312 of the display path 309. The chief rays of the display through the center of the pupil 12 (shown in solid lines in Fig. 3A) define the field height on the microdisplay 320, and thus they are separable on the microdisplay surface. In the eye illumination path 305, one or multiple NIR LEDs (near-infrared light-emitting diodes) 330 may be mounted around the microdisplay 320 to illuminate the eye through the display/core optics 310, Fig. 3B. The display/core optics 310 may collimate the LED light and create a uniformly illuminated area on the eye area through multiple virtual LED sources created through the display/core optics 310. Such an off-axis illumination arrangement can create a dark-pupil effect and form multiple glint images of the NIR LEDs 330 through the reflection off the anterior cornea.

[0036] In the eye imaging path 307, the eye pupil 12 becomes the object that needs to be imaged. A stop 340 may be placed around the microdisplay 320. Considering the pupil-field relationship of the microdisplay 320 and the eye pupil 12 described earlier, the chief rays of different object fields in the display path become the marginal rays of the on-axis object point in the eye imaging path 307, and thus all the rays through the same point on the eye pupil 12 will be imaged onto the same point on the IR imaging sensor 360. These rays, however, intersect with the microdisplay surface at unique locations. Therefore, in the imaging path 307, a stop 340 is

properly designed and placed around the microdisplay 320 such that it does not affect the display path 309 and yet is sufficient to collect rays to form eye images in the eye imaging path 307. In the illustration shown in Figure 3B, the stop 340 may be provided in the form of pin-hole like small apertures 350 or may be a selected area surrounding the microdisplay 320. A separate image sensor 360 may be associated with each pin-hole like aperture 350.

[0037] As one of its benefits, the optical layout 300 for combining two or three unique optical functions has applicability to virtually all types of optical structures suitable for HMD optics. For instance, an exemplary configuration with a conventional eyepiece optics based on rotationally symmetric optical elements has been designed, as discussed below in connection with Fig. 16.

[0038] As to the eyetracking function aspect specifically, several different eyetracking techniques exist that may be used to monitor eye movements, which fall into three categories: electro-oculography, scleral search coil, and various video-based feature tracking approaches. Among these methods, video-based feature tracking, which detects and tracks features in captured eye images, can be the least intrusive and most convenient approach to track eye movement.

[0039] Under near infrared NIR illumination, the eye images 201, 202 typically have two types of features that can be readily identified and measured, Figs. 2A, 2B. One feature is known as the first Purkinje image, or glint 6, which refers to the reflection image of a point light source formed by the anterior surface of the cornea, Fig. 2B. The second feature is the eye pupil 12. Figures 2A-2B demonstrate examples of IR-illuminated eye images 201, 202. Depending on configuration of the IR illuminators, e.g., NIR LEDs 330, an on-axis illumination strategy where the IR illuminators are arranged nearly co-axial with the optical axis of the eye imaging optics leads to a bright pupil 2, Fig. 2A, while an off-axis illumination strategy where the IR illuminators are placed away from the optical axis of the eye imaging optics leads to a darkened pupil 4 with glint(s) 6, Fig. 2B. The pupil and glint features may then be utilized for eye movement tracking.

[0040] Among the video-based feature tracking methods, the pupil-corneal reflection tracking method, which relates the eye movements with the vector difference between the pupil center and the glint center, may be a most suitable approach in an

ET-HMD system. In this method, one or multiple NIR light emitting diodes (NIR LED), *e.g.*, NIR LEDs 330, may be used to illuminate the eye 10, and the illuminated eye 10 may then be imaged by the imaging sensor 360, such as an infrared CCD. The eye pupil 12, the first Purkinje image (or glint), and/or the iris 11 may be tracked simultaneously or separately. Each NIR LED 330 may form a glint 6 or a first Purkinje image. The pupil 12 and first Purkinje features move proportionally with eye rotation and differentially between each other. The differential vector between the two features may be used to determine the point-of-regard of the eye 10. To some extent this method can tolerate helmet slippage in a HMD system, which causes orientation change of the imaging sensor 360 relative to the eye 10 and confuses the eye movements.

[0041] In another of its significant aspects, the present invention may utilize freeform optical technology in the core optics 310 to achieve an ultra-compact and lightweight ET-HMD with see-through capability. Figure 4 shows a block diagram 400 of an exemplary approach to a compact eyetracked HMD design in accordance with the present invention based on freeform optical technology. In one exemplary implementation, a wedge-shaped freeform prism 410 or waveguide-type freeform prism may be used in the core optics 310, which allows the ray paths to be folded within a multi-surface prism structure and helps reduce the overall volume and weight of the display optics when compared with designs using rotationally symmetric elements. Applying freeform optical technology enables full integration of the functions of HMD optics and eyetracking into a compact form. The freeform prism 410 may be made of moldable plastic for lightweight and low cost.

[0042] In this approach, the freeform prism 410 may serve two or more unique optical functions. First, the freeform prism 410 may serve as the core element in the eye imaging path 407 that captures NIR-illuminated eye images 401 of a user and tracks eye movements using the captured eye images 401. Unlike a conventional imaging system, which typically employs rotationally symmetrical optical surfaces in the lens construction and typically requires the imaging lenses remain collinear with the detector 460 and the objects to be captured, the freeform prism 410 folds the light path within a single element so that the image detector 460 may be placed on the side of the freeform prism 410. Second, the same freeform prism 410 may serve as

display viewing optics for viewing images on the microdisplay 420 in the display path 409. Third, the prism 410 may serve as the core element in the illumination path 305 that collimates the light from one or multiple of the NIR LEDs 430. Alternatively, the NIR LEDs may illuminate the eye area directly without passing through the prism 410 (or core optics 310). In either case, the NIR LEDs 430 may uniformly and non-invasively illuminate the eye area and form critical features (e.g. glints 6 and darkened pupil 4) that are to be imaged for eyetracking. Finally, if an optical see-through ET-HMD system is required for applications where a direct view of the real world is critical, the prism 410 may be cemented with a freeform corrective lens 415. The freeform corrector 415 can correct the viewing axis deviation and undesirable aberrations introduced by the prism 410 and enables see-through capability of the system 400 which offers low peripheral obscurations and minimized distortions to the real-world view 411. Overall, the unique optical scheme of the present invention can enable the combination of the optical paths for the eye imaging 407 and the virtual display 409, and optionally eye illumination 405, through the same freeform prism 410 and can achieve the capabilities of eyetracking and display with minimum hardware cost.

Example 1

[0043] A first exemplary configuration 500 in accordance with the present invention utilizes wedge-shaped freeform prism 510 with two reflections, Figs. 5A-5D. In this embodiment, the freeform prism 510 may serve as many as three core functions: (1) as an illumination optic that collimates the light from one or multiple NIR LEDs 530 to uniformly and non-invasively illuminate the eye area to be imaged; (2) as the core element of an eye imaging optic that captures NIR-illuminated eye images to enable eye movement tracking; and (3) as an eyepiece optic of an HMD system to view images on a microdisplay 520. These three unique optical paths may be combined by the same freeform prism 510 to achieve the capabilities of eyetracking and display. Additionally, the same prism 510 when cemented with a freeform corrective lens enables the see-through capability of an optical see-through HMD system. Alternatively, freeform prism 510 may omit the core function as an illumination optic.

[0044] The wedge-shaped freeform prism 510 may include three optical surfaces, at least of one of which may be an aspheric surface with or without rotational symmetry. One innovation of the present invention is the optical approach that can uniquely combine the two or three unique optical paths (*i.e.*, two or more of the eye illumination path 505, eye imaging path 507, and display path 509) via the single freeform prism 510. Figure 5A shows the schematic design of the eye illumination and imaging optics, which includes freeform prism 510. In the illumination path 505, a ray emitted from an NIR LED 530 is first refracted by the surface 3, followed by two consecutive reflections by the surfaces Γ and 2, and finally is transmitted through the surface 1 and reaches the eye 10. The reflection on surface Γ may satisfy the condition of total internal reflection (TIR). The light emitted by the LEDs 530 may be collimated by the prism 510, yielding a uniform illumination to the eye 10. The NIR illuminated eye 10 may then be imaged by an IR image sensor 560. In the eye imaging path 507, light rays scattered off the eye 10 may be first refracted by the surface 1, followed by two consecutive reflections by the surface 2 and Γ , and finally may be transmitted through the surface 3 and reach the sensor 560. Additional lenses 562 may be inserted between the surface 3 of the prism 510 and the image sensor 560 to improve optical performance of the eye imaging. A small-aperture stop 550 may be placed near or inside the lenses 562 to confine the light received by the imaging sensor 560.

[0045] Figure 5B schematically illustrates the display path 509 of HMD optics using the freeform prism 510 to magnify the image on a microdisplay 520, forming a virtual image at a comfortable viewing distance. A ray emitted from a point on the microdisplay 520 may be first refracted by the surface 3 of the freeform prism 510, followed by two consecutive reflections by the surfaces Γ and 2, and finally may be transmitted through the surface 1 to reach the exit pupil 512 of the system 500. The reflection on surface Γ may satisfy the TIR condition. Rather than requiring multiple elements, the optical path is naturally folded within the prism structure. Additional lenses may be inserted between the surface 3 of the prism 510 and the microdisplay 520 to further improve optical performance of the display path 509.

[0046] Figure 5C schematically illustrates the integrated system 500 where the illumination, imaging and display optics comprise the same prism 510 and the

illumination LEDs 530 and a pinhole-like stop 550 are placed around the edge 540 of the microdisplay 520 to form a high-quality eye image. One example of the stop and LED configurations is illustrated in Figure 5C. It is worth noting the stop 550 and LEDs 530 may be placed in other locations at the periphery around in the microdisplay 520. In addition, the stop 550 and LEDs 530 may or may not be coplanar with the microdisplay 520. Additional lenses may be used in one or more of the illumination path 505, eye imaging path 507, and display path 509 to improve the system performance. Moreover, at the surface closest to the microdisplay 520, surface 3, the illumination path 505, eye imaging path 507, and display path 509 may impinge upon differing respective portions of surface 3 (though partial overlap is permitted).

[0047] To enable see-through capability, the surface 2 of the prism 510 may be coated as a half mirror. The rays from the microdisplay 520 may be reflected by the surface 2 while the rays from a real-world scene are transmitted. Figure 5D schematically illustrates a freeform auxiliary lens 515, consisting of two freeform surfaces 4 and 5, cemented with the prism 510 to correct the viewing axis deviation and aberrations introduced by the freeform prism 510 to the real world view path 511. The surface 4 of the auxiliary lens 515 usually has the same prescription as the surface 2 of the prism 510 and the surface 5 of the auxiliary lens 515 is optimized to correct the axis deviation and the aberrations. The auxiliary lens 515 does not noticeably increase the footprint or weight of the overall system. Overall, the exemplary system 500 provides a lightweight, compact, robust, and eyetracked HMD solution with a less obtrusive form factor than any existing HMD approaches can potentially deliver, which is further demonstrated by computer analysis of the design.

[0048] Figure 6 schematically illustrates the two-dimensional optical layout of an optimized system based on the 2-reflection wedge-shaped freeform prism 510 depicted in Figure 5. In this implementation, an imaging lens 562 may be used to improve the performance of the eye imaging path 507. The stop 550 may be positioned close to the surface 3 of the prism 510. The NIR-LED(s) 530 may be positioned around the microdisplay 520. Figure 7 schematically illustrates a 3D model 700 of the exemplary optical system of Fig. 5D, and Figure 8 schematically illustrates the 3D model of a binocular ET-HMD prototype 800 based on the optical

design shown in Figs. 6 and 7. The specifications of the overall system are listed in Table 1.

Table 1: Optical System Specifications

Parameter	Values
Virtual display system	
Display FOV	46° (Diagonal), 40° (Horizontal) x 22° (Vertical)
Exit pupil diameter	10 mm (zero vignette), offer an eyebox of 18mm for a 4mm pupil.
Eye clearance	19 mm
Display resolution	1920x1200 color pixels
Distortion	< 8% across FOV
Image quality (MTF)	Average 20% at 50 lps/mm and average 30% at 35lps/mm
Design wavelength	450 - 650 nm
See-through viewing optics	
See-through FOV	Approximately 100° (Diagonal), 80° (Horizontal) x 50° (Vertical)
Distortion	< 10% at the edge and less than 2% at the center
Image quality (MTF)	> 50% at 0.5 cycles/min and greater than 0.3 at 1 cycles/min
Design wavelength	450 - 650 nm
Eye tracking sub-system	
FOV (Imaged eve area)	30 mm (H) x 20 mm (V)
Image quality (MTF)	Average 10% at 50 lps/mm and average 25% at 30lps/mm
Distortion	<5% across the imaged area
Design wavelength	750-900 nm

[0049] An exemplary optical prescription of the freeform prism 510 is listed in the Tables 2-4 for surfaces 1, 2, and 3, respectively. Of the three optical surfaces in the prism 510, the surface 1 is an anamorphic aspheric surface (AAS). The sag of an AAS surface is defined by

$$z = \frac{c_x x^2 + c_y y^2}{1 + \sqrt{1 - (1 + K_x)c_x^2 x^2 - (1 + K_y)c_y^2 y^2}} + AR\{(1 - AP)x^2 + (1 + AP)y^2\}^2 + BR\{(1 - BP)x^2 + (1 + BP)y^2\}^3 + CR\{(1 - CP)x^2 + (1 + CP)y^2\}^4 + DR\{(1 - DP)x^2 + (1 + DP)y^2\}^5,$$

where z is the sag of the free-form surface measured along the z-axis of a local x, y, z coordinate system, c_x and c_y are the vertex curvature in x and y axes, respectively, K_x and K_y are the conic constant in x and y axes, respectively, AR, BR, CR and DR are the rotationally symmetric portion of the 4th, 6th, 8th, and 10th order deformation from the conic, AP, BP, CP, and DP are the non-rotationally symmetric components of the 4th, 6th, 8th, and 10th order deformation from the conic.

[0050] Surface 2 of the prism 510 may be an XY polynomial surface defined by:

$$z = \frac{c(x^2 + y^2)}{1 + \sqrt{1 - (1+k)c^2(x^2 + y^2)}} + \sum_{j=2}^{66} C_j x^m y^n, \quad j = [(m+n)^2 + m + 3n] / 2 + 1$$

where z is the sag of the free-form surface measured along the z-axis of a local x, y, z coordinate system, c is the vertex curvature (CUY), k is the conic constant, and C_j is the coefficient for x^myⁿ.

[0051] Surface 3 may be an aspheric surface with a rotationally symmetric kinoform diffractive optical element, with the sag of the aspheric surface defined by:

$$z = \frac{cr^2}{1 + \sqrt{1 - (1 + K)c^2 r^2}} + A r^4 + B r^6 + C r^8 + D r^{10} + E r^{12} + F r^{14} + G r^{16} + H r^{18} + J r^{20},$$

where z is the sag of the surface measured along the z-axis of a local x, y, z coordinate system, c is the vertex curvature, k is the conic constant, A through J are the 4th, 6th, 8th, 10th, 12th, 14th, 16th, 18th, and 20th order deformation coefficients, respectively.

Table 2: Optical surface prescription of surface 1 of the freeform prism

X Curvature (c _x)	-1.348215E-02
Y Curvature (c _y)	2.004523E-03
Y Conic Constant (K _y)	0.998125E+01
4th Order Symmetric Coefficient (AR)	-3.9067945E-06
6th Order Symmetric Coefficient (BR)	-9.5768964E-17
8th Order Symmetric Coefficient (CR)	-2.8799927E-15
10th Order Symmetric Coefficient (DR)	-8.7077963E-16
X Conic Constant (K _x)	-1.5687534E+01
4th Order Asymmetric Coefficient (AP)	-3.2949463E-01
6th Order Asymmetric Coefficient (BP)	-2.0405356E+02
8th Order Asymmetric Coefficient (CP)	-8.0782710E+00
10th Order Asymmetric Coefficient (DP)	-2.72019184E-01

Table 3: Optical surface prescription of surface 2 of the freeform prism 510

Y Curvature	-1.26056882299E-02	X**3 * Y**4 (SCO X3Y4 C33)	0.0000000000E+00
Y Radius	-7.93292664201E+01	X**2 * Y**5 (SCO S2Y5 C34)	2.0693559836E-10
Conic Constant (SCO K C1)	1.99429650209E+00	X * Y**6 (SCO XY6 C35)	0.0000000000E+00
X (SCO X C2)	0.0000000000E+00	Y**7 (SCO Y7 C36)	2.1203645386E-10
Y (SCO Y C3)	0.0000000000E+00	X**8 (SCO X8 C37)	2.6638311623E-12
X**2 (SCO X2 C4)	-2.8963611697E-03	X**7 * Y (SCO X7Y C38)	0.0000000000E+00
X * Y (SCO XY C5)	0.0000000000E+00	X**6 * Y**2 (SCO X6Y2 C39)	4.2552541871E-12

Y**2 (SCO_Y2_1C6)	5.13151841830E-04	X**5 * Y**3 (SCO_X5Y3 C40)	0.0000000000E+00
X**3 (SCO_Y3_1C7)	0.0000000000E+00	X**4 * Y**4 (SCO_X4Y4 C41)	-4.101261981E-12
X**2 * Y (SCO_X2Y_1C8)	-1.6871 196613E-05	X**3 * Y**5 (SCO_X3Y5 C42)	0.0000000000E+00
X Y**2 (SCO_XY2_1C9)	0.0000000000E+00	X**2 * Y**6 (SCO_X2Y6 C43)	3.9696325158E-12
Y**3 (SCO_Y3_1C10)	-3.9628025988E-05	X * Y**7 (SCO_XY7 C44)	0.0000000000E+00
X**4 (SCO_X4_1C11)	5.63763951591E-07	Y**8 (SCO_Y8_1C45)	1.7421792489E-1 1
X**3 * Y (SCO_X3Y_1C12)	0.0000000000E+00	X**9 (SCO_X9_1C46)	0.0000000000E+00
X**2 * Y**2 (SCO_X2Y2 C13)	-5.1451820404E-07	X**8 * Y (SCO_X8Y C47)	2.8416565461E-13
X * Y**3 (SCO_XY3_1C14)	0.0000000000E+00	X**7 * Y**2 (SCO_X7Y2 C48)	0.0000000000E+00
Y**4 (SCO_Y4_1C15)	1.52902584933E-06	X**6 * Y**3 (SCO_X6Y3 C49)	7.7200373777E-13
X**5 (SCO_X5_1C16)	0.0000000000E+00	X**5 * Y**4 (SCO_X5Y4 C50)	0.0000000000E+00
X**4 * Y (SCO_X4Y_1C17)	2.30036831 137E-08	X**4 * Y**5 (SCO_X4Y5 C51)	-6.188783932E-13
X**3 * Y**2 (SCO_X3Y2 C18)	0.0000000000E+00	X**3 * Y**6 (SCO_X3Y6 C52)	0.0000000000E+00
X**2 * Y**3 (SCO_X2Y3 C19)	3.82949206634E-08	X**2 * Y**7 (SCO_X2Y7 C53)	1.7935251959E-14
X * Y**4 (SCO_XY4_1C20)	0.0000000000E+00	X * Y**8 (SCO_XY8 C54)	0.0000000000E+00
Y**5 (SCO_Y5_1C21)	-9.3057372440E-08	Y**9 (SCO_Y9_1C55)	-1.391093985E-13
X**6 (SCO_X6_1C22)	-2.3473886032E-09	X**10 (SCO_X10 C56)	-2.6923251 198E-15
X**5 * Y (SCO_X5Y_1C23)	0.0000000000E+00	X**9 * Y (SCO_X9Y_1C57)	0.0000000000E+00
X**4 * Y**2 (SCO_X4Y2 C24)	-2.4682522624E-09	X**8 * Y**2 (SCO_X8Y2 C58)	-1.5546422781E-14
X**3 * Y**3 (SCO_X3Y3 C25)	0.0000000000E+00	X**7 * Y**3 (SCO_X7Y3 C59)	0.0000000000E+00
X**2 * Y**4 (SCO_X2Y4 C26)	-3.576431 1583E-09	X**6 * Y**4 (SCO_X6Y4 C60)	-1.0384073178E-14
X * Y**5 (SCO_XY5_1C27)	0.0000000000E+00	X**5 * Y**5 (SCO_X5Y5 C61)	0.0000000000E+00
Y**6 (SCO_Y6_1C28)	-4.3636504848E-09	X**4 * Y**6 (SCO_X4Y6 C62)	3.8750232363E-14
X**7 (SCO_X7_1C29)	0.0000000000E+00	X**3 * Y**7 (SCO_X3Y7 C63)	0.0000000000E+00
X**6 * Y (SCO_X6Y_1C30)	-1.8300632292E-10	X**2 * Y**8 (SCO_X2Y8 C64)	-3.094245370E-14
X**5 * Y**2 (SCO_X5Y2_1C31)	0.0000000000E+00	X * Y**9 (SCO_XY9 C65)	0.0000000000E+00
X**4 * Y**3 (SCO_X4Y3 C32)	-1.0237987168E-10	Y**10 (SCO_Y10 C66)	-3.15607172E-14

Table 4: Optical surface prescription of surface 3 of the freeform prism 510

Y Radius	-1.5000000000E+01
Conic Constant (K)	-8.1715030467E+00
4th Order Coefficient (A)	-3.5999478362E-05
6th Order Coefficient (B)	4.1811989405E-07
8th Order Coefficient (C)	-2.0382499300E-09
10th Order Coefficient (D)	3.7498678418E-12
Diffraction Order	1
Construction Wavelength (nm)	550
R**2 (HCO C1)	-3.2332326174E-03
R**4 (HCO C2)	4.1482610496E-05
R**6 (HCO C3)	-4.2185152895E-07
R**8 (HCO C4)	1.8253428127E-09
R**10 (HCO C5)	-2.7615741244E-12

[0052] An exemplary optical prescription of surface 5 of the freeform corrector 515 lens is listed in Table 5. Surface 4 of the lens 515 has the same prescription as the surface 2 of the prism 510 and the surface 5 of the lens 515 is an XY polynomial surface defined by the same equation as for surface 2.

Table 5: Optical surface prescription of surface 5 of the freeform corrector lens

Y Curvature	-4.9680519947E-03	X**3 * Y**4 (SCO X3Y4 C33)	0.000000000E+00
Y Radius	-2.0836485397E+02	X**2 * Y**5 (SCO S2Y5 C34)	-1.546473120E-11
Conic Constant (SCO K C1)	9.64085149870E+00	X * Y**6 (SCO XY6 C35)	0.000000000E+00
X (SCO X C2)	0.0000000000E+00	Y**7 (SCO Y7 C36)	-2.36018874E-11
Y (SCO Y C3)	0.0000000000E+00	X**8 (SCO X8 C37)	-1.08111832E-12
X**2 (SCO X2 C4)	-3.7131327715E-03	X**7 * Y (SCO X7Y C38)	0.00000000E+00
X * Y (SCO XY C5)	0.0000000000E+00	X**6 * Y**2 (SCO X6Y2 C39)	-9.9791583E-13
Y**2 (SCO Y2 C6)	3.49505772747E-03	X**5 * Y**3 (SCO X5Y3 C40)	0.0000000E+00
X**3 (SCO Y3 C7)	0.0000000000E+00	X**4 * Y**4 (SCO X4Y4 C41)	-8.6526761E-12
X**2 * Y (SCO X2Y C8)	-1.5261510919E-07	X**3 * Y**5 (SCO X3Y5 C42)	0.00000000E+00
X Y**2 (SCO XY2 C9)	0.0000000000E+00	X**2 * Y**6 (SCO X2Y6 C43)	-3.9166253E-12
Y**3 (SCO Y3 C10)	-9.571153875E-08	X * Y**7 (SCO XY7 C44)	0.00000000E+00
X**4 (SCO X4 C11)	-1.871425121E-07	Y**8 (SCO Y8 C45)	1.45724979E-11
X**3 * Y (SCO X3Y C12)	0.000000000E+00	X**9 (SCO X9 C46)	0.00000000E+00
X**2 * Y**2 (SCO X2Y2 C13)	-2.91567230E-06	X**8 * Y (SCO X8Y C47)	3.51280116E-15
X * Y**3 (SCO XY3 C14)	0.000000000E+00	X**7 * Y**2 (SCO X7Y2 C48)	0.00000000E+00
Y**4 (SCO Y4 C15)	-8.129645853E-07	X**6 * Y**3 (SCO X6Y3 C49)	6.69288844E-15
X**5 (SCO X5 C16)	0.0000000000E+00	X**5 * Y**4 (SCO X5Y4 C50)	0.00000000E+00
X**4 * Y (SCO X4Y C17)	1.4913830346E-09	X**4 * Y**5 (SCO X4Y5 C51)	6.15758388E-14
X**3 * Y**2 (SCO X3Y2 C18)	0.0000000000E+00	X**3 * Y**6 (SCO X3Y6 C52)	0.00000000E+00
X**2 * Y**3 (SCO X2Y3 C19)	2.4358316954E-09	X**2 * Y**7 (SCO X2Y7 C53)	1.94985620E-14
X * Y**4 (SCO XY4 C20)	0.0000000000E+00	X * Y**8 (SCO XY8 C54)	0.00000000E+00
Y**5 (SCO Y5 C21)	4.1849942311E-09	Y**9 (SCO Y9 C55)	4.24428256E-14
X**6 (SCO X6 C22)	-9.610954967E-10	X**10 (SCO X10 C56)	9.43112860E-16
X**5 * Y (SCO X5Y C23)	0.0000000000E+00	X**9 * Y (SCO X9Y C57)	0.00000000E+00
X**4 * Y**2 (SCO X4Y2 C24)	5.6221328063E-10	X**8 * Y**2 (SCO X8Y2 C58)	2.10137145E-15
X**3 * Y**3 (SCO X3Y3 C25)	0.0000000000E+00	X**7 * Y**3 (SCO X7Y3 C59)	0.00000000E+00
X**2 * Y**4 (SCO X2Y4 C26)	7.656820595E-10	X**6 * Y**4 (SCO X6Y4 C60)	1.130922231E-14
X * Y**5 (SCO XY5 C27)	0.0000000000E+00	X**5 * Y**5 (SCO X5Y5 C61)	0.000000000E+00
Y**6 (SCO Y6 C28)	-2.99368733E-09	X**4 * Y**6 (SCO X4Y6 C62)	-1.93900784E-15
X**7 (SCO X7 C29)	0.00000000E+00	X**3 * Y**7 (SCO X3Y7 C63)	0.000000000E+00
X**6 * Y (SCO X6Y C30)	-4.2039898E-12	X**2 * Y**8 (SCO X2Y8 C64)	7.080929646E-15
X**5 * Y**2 (SCO X5Y2 C31)	0.0000000E+00	X * Y**9 (SCO XY9 C65)	0.000000000E+00
X**4 * Y**3 (SCO X4Y3 C32)	-7.665313E-12	Y**10 (SCO Y10 C66)	-1.96970504E-14

[0053] On the display side of the exemplary design, the prism 510 provides a diagonal FOV of 46 degrees, or 40 degrees horizontally and 22 degrees vertically. It supports a microdisplay 520 with a pixel size of $\sim 8\mu\text{m}$ and a diagonal size of 0.9" or smaller. In the prototype that was fabricated, a 0.86" microdisplay with an aspect ratio of 16:9 and a resolution of 1920x1200 pixels was used.

[0054] The exemplary design achieves high image contrast and resolution. Figures 9A-9D illustrate the polychromatic modulation transfer function (MTF) of 20 sampled fields across the field of view in the HMD path with a 4-mm centered pupil. The MTF curves demonstrate an average contrast of 0.2 at the cutoff resolution of 50 lp/mm (equivalent to a $10\mu\text{m}$ pixel resolution) and an average contrast greater than 0.3 at the cutoff resolution of 35 lp/mm (equivalent of approximately 15- μm pixel resolution). Figure 10 further demonstrates the distortion grid of the virtual display path.

[0055] On the eye imaging and illumination side, one or more NIR LEDs 530 are placed around the image source to create a uniformly illuminated eye area through the freeform prism 510. The freeform prism 510 is able to provide uniform illumination for an eye area of approximately 30 mm x 20 mm in the horizontal and vertical directions, respectively. The same illuminated eye area is captured by a high resolution NIR sensor 560. The imaged area is sufficient to allow eye movement tracking. The resolvable pixel size of the eye imaging path is about $\sim 10\mu\text{m}$. Figure 11 illustrates the modulation transfer function (MTF) of the eye imaging path. The MTF curves demonstrate an average contrast of 0.1 at the cutoff resolution of 50 lp/mm (equivalent to a $10\mu\text{m}$ pixel resolution) and an average contrast greater than 0.25 at the cutoff resolution of 30 lp/mm (equivalent of approximately 16- μm pixel resolution). Figure 12 further illustrates the distortion grid of the eye imaging path.

[0056] On the see-through side of the system 500, the cemented prism 510 and freeform corrective lens 515 provide a diagonal FOV of approximately 100 degrees, or 80 degrees horizontally and 50 degrees vertically. The see-through FOV is designed to be much larger than the virtual display FOV for improved situational awareness. The eyebox size of the see-through system is optimized to be larger than the virtual display system to further improve ease of use and viewing comfort. This

design embodiment achieves high image contrast and resolution. Figures 13A-13D illustrate the polychromatic modulation transfer function (MTF) of 20 sampled fields across the center 30x22 degrees of field of view in see-through path with a 4-mm centered pupil. The MTF curves demonstrate nearly diffraction limited performance. In Figs. 13A-13D, 0.5 cycles/min corresponds to 1 minute of arc spatial resolution, which is the resolvability of 20/20 vision, and 1 cycles/min corresponds to 0.5 minute of arc spatial resolution, which is the resolvability of 20/15 vision. The average MTF across the sampled fields is greater than 0.5 at the cutoff resolution of 0.5 cycles/min (equivalent to 1 minute of arc angular resolution) and an average contrast greater than 0.4 at the cutoff resolution of 1 cycles/min (equivalent to 0.5 minutes of arc angular resolution). The average MTF across the entire 80x50 see-through FOV is greater than 0.35 at the cutoff frequency of 0.5 cycles/min. Figure 14 further illustrates the distortion grid of the see-through display path across the entire FOV. The distortion in the central 40x22 degrees is less than 2% and the distortion across the whole field is less than 8%.

Example 2

[0057] Figures 15A-15B schematically illustrate an exemplary design of a second configuration of the present invention, where the stop 1540 of the imaging system 1500 may surround the microdisplay 1520. The microdisplay plane is divided into three regions: an IR-transmissive area 1527 that allows collecting the rays by an IR sensor 1560 and which may serve as the stop 1540 for eye imaging on IR sensor 1560; the active area of the microdisplay 1520 (non-transmissive) corresponding to the active display area which blocks the IR rays from reaching the IR sensor 1560; and, a third non-transmissive frame 1523 between the IR transmissive and microdisplay areas corresponding to a physical frame of the microdisplay which also blocks the rays from reaching the IR sensor 1560. In the imaging system 1500 the respective optical axes of the prism 1510, microdisplay 1520, and IR sensor 1560 may be coaxial. As such, the IR sensor 1560 may be placed after the microdisplay 1520 to capture the image of the eye pupil. The distance from the IR sensor 1560 to the prism 1510 depends on the image location of the eye pupil through the freeform prism 1510, which ultimately depends on the design of the display path. For instance, if the freeform prism 1510 is designed to be telecentric or close to

telecentric in the display space, the chief rays will be nearly parallel to each other and perpendicular to the microdisplay surface before they intersect with the microdisplay 1520. This means the image of the eye pupil through the prism 1510 is located at infinity or a significantly far distance. In this case, one or more additional imaging lenses 1562 may need to be inserted between the IR sensor 1560 and the prism 1510 to reduce the overall length of the eye imaging path and achieve good image quality, Fig. 15A.

[0058] On the other hand, if the freeform prism 1510 is designed to be non-telecentric (*i.e.*, the chief rays will converge to a point at some short distance behind the prism 1510), the eye pupil is imaged at a fairly close distance by the prism 1510 and the IR sensor 1560 can be placed directly behind the prism 1510 without the need for additional imaging lenses 1562. In practice, the condition of telecentricity or near-telecentricity is often desirable when designing the display path because the virtual image appears to be more uniform across the entire FOV. This condition may be required when the microdisplay 1520 only emits or reflects light within a narrow angle (e.g. devices such as LCoS type microdisplays). When the microdisplay 1520 offers a wide emission angle (e.g. OLED), the telecentricity condition can be relaxed.

[0059] The NIR LEDs may be placed around the stop 1540 in the similar way as described in Fig. 3B, or alternatively the NIR LEDs 1530 may be placed around the edge of the prism 1510 and directly illuminate the eye 10 as shown in Fig. 15A. Moreover, the NIR LEDs 1530 around the edge of the prism 1510 to directly illuminate the eye 10 without use of the prism 1530 may be implemented in any other configuration of the invention, including those depicted in Figs. 5A-6 or 16, for example.

Example 3

[0060] Figure 16 schematically illustrates an exemplary design 1600 of the optical scheme shown in Fig. 3 utilizing on rotationally symmetric optics for the core optics 310. Instead of using a compact freeform based prism 510, a four-element viewing optics 1610 is used as the core optics 310 for display viewing, eye imaging and eye illumination. The microdisplay 1620 may be placed at the focal plane of the optics 1610. One light source 1630 (such as an NIR-LED) may be placed around the image

source 1620. A pinhole-like stop 1640 and micro-imaging lens 1662 may also be placed around the edge of the image source 1620 to form eye images on the imaging sensor 1660. Additional light sources 1630 and imaging subsystems (micro-imaging lens 1662 and imaging sensors 1660) can be arranged around the image source 1620 as needed for different applications. In the exemplary design 1600 the respective optical axes of the display optics 1610 and microdisplay 1620 maybe coaxial, while the respective optical axes of one or more of the image sensor 1660, light source 1630, and microdisplay 1620 may be tilted and/or decentered relative to one another. As with the freeform configurations, at the surface closest to the microdisplay 1620, surface 8, the illumination path, eye imaging path, and display path impinge upon differing respective portions of surface 8, though partial overlap is permitted, *e.g.*, as illustrated between the imaging path and display path.

[0061] The viewing optics 1610 can provide a diagonal FOV of 40 degrees, 20-mm eye-relief and 10-mm eye-pupil size, and can support an image source 1620 with a diagonal size of 0.8" or smaller. One or more NIR LEDs 1630 may be placed around the microdisplay 1620 to create a uniformly illuminated eye area through the viewing optics. The viewing optics 1610 is able to provide uniform illumination for an eye area of approximately 15mm x 15mm. The same illuminated eye area may be captured by a high resolution NIR sensor 1630. The imaged area is sufficient to allow eye movement tracking.

[0062] An exemplary optical prescription of the design 1600 is provided in Tables 6–9.

Table 6: Optical surface prescription of the viewing optics 1610

SURFACE NUMBER	SURFACE TYPE	RADIUS (MM)	THICKNESS (MM)	MATERIAL
OBJECT		INFINITY	INFINITY	AIR
1 (STOP)		0	20	AIR
2	SPHERE	38.747568	13	ACRYLIC
3	SPHERE	-68.038477	2.940552	AIR
4	SPHERE	87.660626	4.795025	ACRYLIC
5	SPHERE	-52.591345	0.1	AIR
6	SPHERE	29.845125	10.782261	NBK7
7	SPHERE	-23.016798	8	SF61
8	SPHERE	30.000017	7.076910	AIR
9 (MICRODISPLAY)		INFINITY	0	

Table 7: Optical surface prescription of the imaging lens 1662

SURFACE NUMBER	SURFACE TYPE	RADIUS (MM)	THICKNESS (MM)	MATERIAL
10 (STOP)		INFINITY	INFINITY	AIR
11	ASPHERE	41.495014	3.183189	ACRYLIC
12	ASPHERE	-2.858167	5.988505	AIR
13 (IR SENSOR)		INFINITY	0	
DECENTER COORDINATES OF SURFACE 10 (STOP) RELATIVE TO SURFACE 8				
Y DECENTER (MM)		Z DECENTER	X TILT (ADE)	
8.7401084		3	8.3216381	

[0063] Surfaces 11 and 12 may be aspheric surfaces with the sag of the aspheric surface defined by:

$$z = \frac{cr^2}{1 + \sqrt{1 - (\tilde{\kappa} + \kappa)c^2r^2}} + Ar^4 + Br^6 + Cr^8 + Dr^{10} + Er^{12} + Fr^{14} + Gr^{16} + Hr^{18} + Jr^{20},$$

where z is the sag of the surface measured along the z-axis of a local x, y, z coordinate system, c is the vertex curvature, k is the conic constant, A through J are the 4th, 6th, 8th, 10th, 12th, 14th, 16th, 18th, and 20th order deformation coefficients, respectively.

Table 8: Optical surface prescription of surface 11 of the imaging lens

Y Radius	41.495014
Conic Constant (K)	-20
4th Order Coefficient (A)	-1.021763E-02
6th Order Coefficient (B)	-6.885433E-04
8th Order Coefficient (C)	-3.263238E-04
10th Order Coefficient (D)	0

Table 9: Optical surface prescription of surface 12 of the imaging lens

Y Radius	-2.858167
Conic Constant (K)	-1.750218
4th Order Coefficient (A)	-7.851177E-03
6th Order Coefficient (B)	-1.064232E-04
8th Order Coefficient (C)	-4.912295E-05
10th Order Coefficient (D)	0

[0064] These and other advantages of the present invention will be apparent to those skilled in the art from the foregoing specification. Accordingly, it will be recognized by those skilled in the art that changes or modifications may be made to the above-described embodiments without departing from the broad inventive concepts of the invention. It should therefore be understood that this invention is not limited to the particular embodiments described herein, but is intended to include all changes and modifications that are within the scope and spirit of the invention as set forth in the claims.

Claims

What is claimed is:

1. An eye-tracked head-mounted display, comprising:
a micro-display for generating an image to be viewed by a user, the micro-display having a display optical path and an exit pupil associated therewith;
a first plane located at the micro-display and a second plane located at the exit pupil;
an image sensor configured to receive reflected optical radiation from the second plane reflected from a user's eye, the image sensor having a sensor optical path associated therewith; and
display optics disposed in optical communication with the micro-display along the display optical path and in optical communication with the image sensor along the sensor optical path, the display optics having a selected surface closest to the micro-display and the image sensor, the display optics located relative to the micro-display and image sensor such that the display and image sensor optical paths impinge upon differing respective portions of the selected surface.
2. The eye-tracked head-mounted display according to claim 1, wherein the display and image sensor optical paths partially overlap at the selected surface.
3. The eye-tracked head-mounted display according to any one of the preceding claims, wherein the display optics is configured to create a virtual image of the micro-display for viewing at the second plane.
4. The eye-tracked head-mounted display according to any one of the preceding claims, wherein the display optical path and sensor optical path each comprise respective optical axes at the micro-display and image sensor, respectively, and wherein the optical axes are coaxial.
5. The eye-tracked head-mounted display according to any one of claims 1-3, wherein the display optical path and sensor optical path each comprise respective optical axes at the display optics and image sensor, respectively, and wherein the optical axes are tilted relative to one another at the second plane.

6. The eye-tracked head-mounted display according to any one of the preceding claims, wherein the display optical path comprises an optical axis, and wherein the image sensor is located off the optical axis of the display optical path.
7. The eye-tracked head-mounted display according to any one of the preceding claims, wherein the display optical path comprises an optical axis, and wherein the micro-display is located on the optical axis of the display optical path.
8. The eye-tracked head-mounted display according to any one of the preceding claims, comprising a stop at the first plane, the stop having at least one aperture therein disposed at a location along the sensor optical path.
9. The eye-tracked head-mounted display according to any one of the preceding claims, comprising a stop having at least one aperture therein disposed at a location along the sensor optical path between the sensor and selected surface.
10. The eye-tracked head-mounted display according to any one of 8-9, wherein the at least one aperture comprises a pin-hole.
11. The eye-tracked head-mounted display according to any one of the preceding claims, wherein the display optics comprises a freeform optical element.
12. The eye-tracked head-mounted display according to any one of the preceding claims, wherein the display optics comprises a rotationally symmetric optical element.
13. The eye-tracked head-mounted display according to any one of the preceding claims, wherein the display optics comprises a freeform optical prism.
14. The eye-tracked head-mounted display according to claim 13, wherein the prism comprises a wedge-shaped prism.
15. The eye-tracked head-mounted display according to claim 13, wherein the prism comprises an aspheric surface.
16. The eye-tracked head-mounted display according to claim 13, wherein the prism is telecentric in display space.
17. The eye-tracked head-mounted display according to claim 13, wherein the prism is non-telecentric in display space.

18. The eye-tracked head-mounted display according to claim 13, wherein the prism comprises a TIR (total internal reflection) surface oriented to receive and totally internally reflect light from the micro-display.
19. The eye-tracked head-mounted display according to claim 13, wherein the prism comprises a TIR (total internal reflection) surface oriented to totally internally reflect light to the image sensor.
20. The eye-tracked head-mounted display according to claim 13, comprising a freeform corrective lens in optical communication with the prism.
21. The eye-tracked head-mounted display according to claim 20, wherein field of view of the corrective lens is larger than a field of view of the display optics.
22. The eye-tracked head-mounted display according to any one of the preceding claims, wherein the display optics comprise a half-mirrored surface.
23. The eye-tracked head-mounted display according to any one of the preceding claims, comprising an illumination source for generating optical radiation and configured to illuminate the second plane to effect illumination of the user's eye, the illumination source having an illumination optical path associated therewith.
24. The eye-tracked head-mounted display according to claim 23, wherein the display optics is disposed in optical communication with the illumination source along the illumination optical path.
25. The eye-tracked head-mounted display according to any one of claims 23-24, wherein the display optics is configured to receive radiation from the illumination source and transmit the radiation to the second plane.
26. The eye-tracked head-mounted display according to any one of claims 23-25, wherein the illumination source is located proximate the first plane.
27. The eye-tracked head-mounted display according to any one of claims 23-26, wherein the illumination source comprises a plurality of light emitting diodes.
28. The eye-tracked head-mounted display according to any one of claims 23-27, wherein the display optics is configured to collimate the optical radiation from the illumination source.
29. The eye-tracked head-mounted display according to any one of claims 23-28,

wherein the display optical path of the display optics comprises an optical axis and the illumination source is located off the optical axis.

30. The eye-tracked head-mounted display according to any one of claims 23-29, wherein the prism comprises a TIR (total internal reflection) surface oriented to receive and totally internally reflect radiation from the illumination source.

1/14

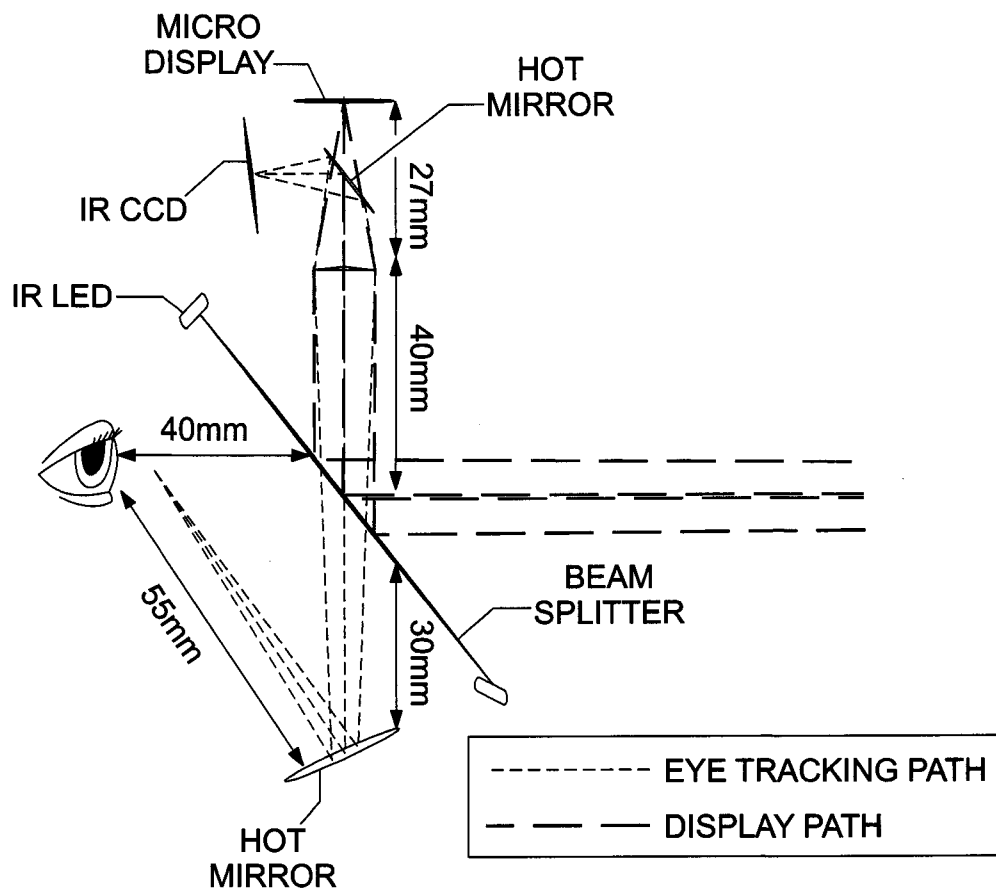


FIG. 1
(PRIOR ART)

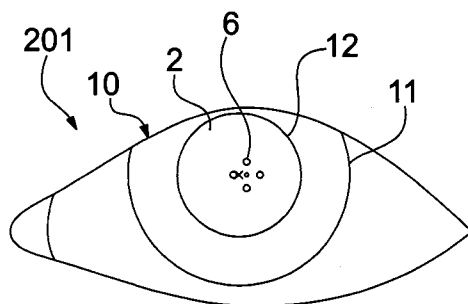


FIG. 2A

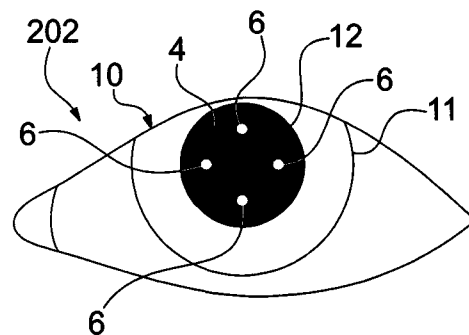
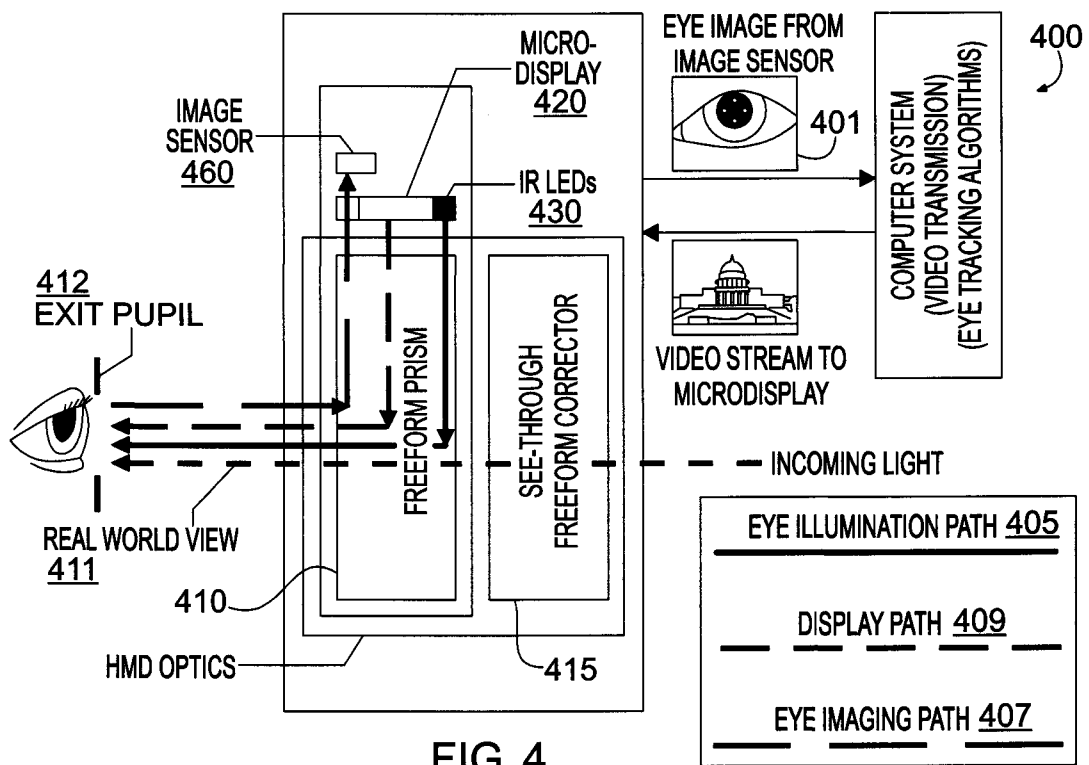
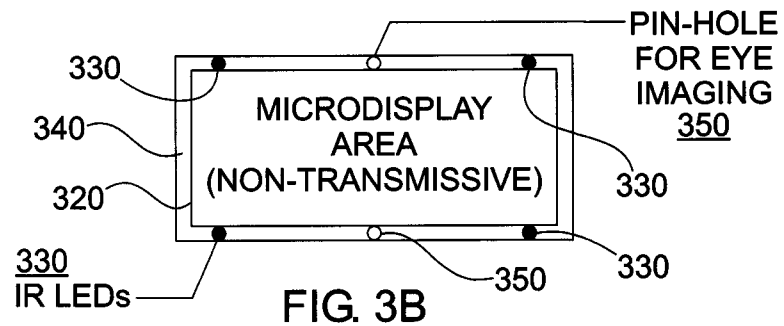
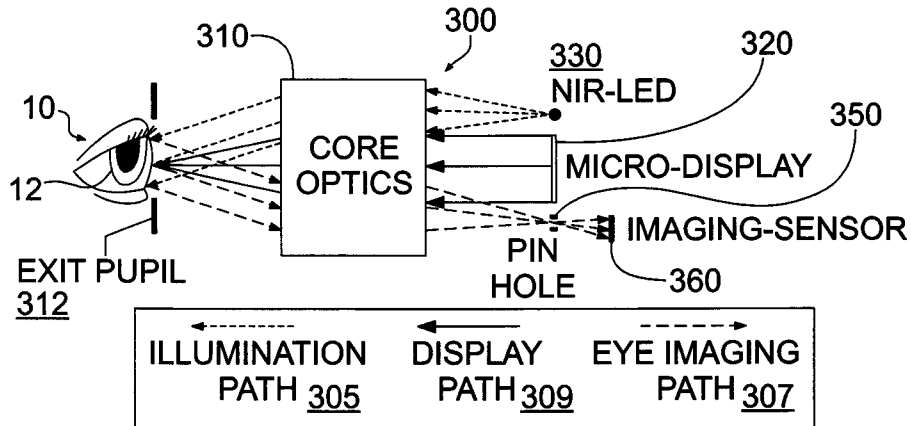


FIG. 2B

2/14



3/14

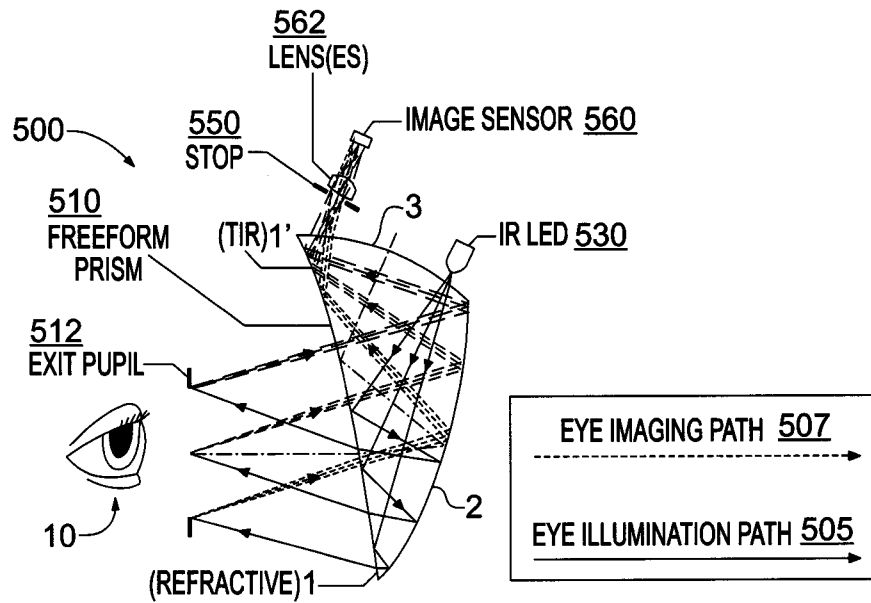


FIG. 5A

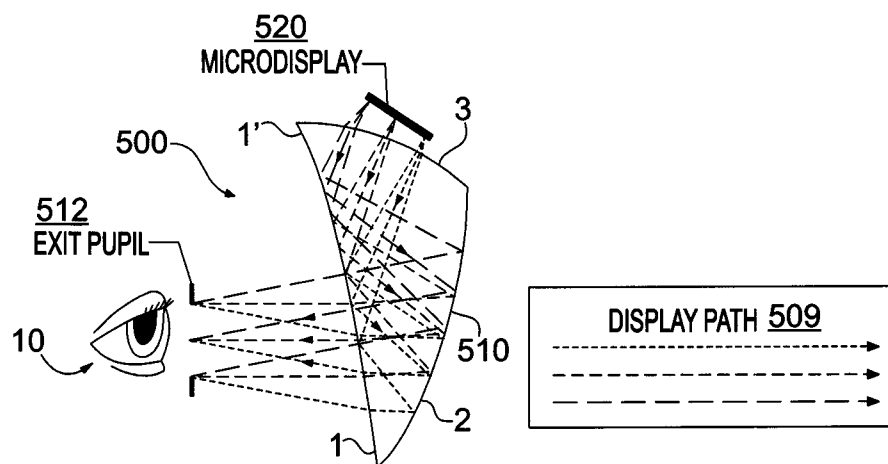


FIG. 5B

4/14

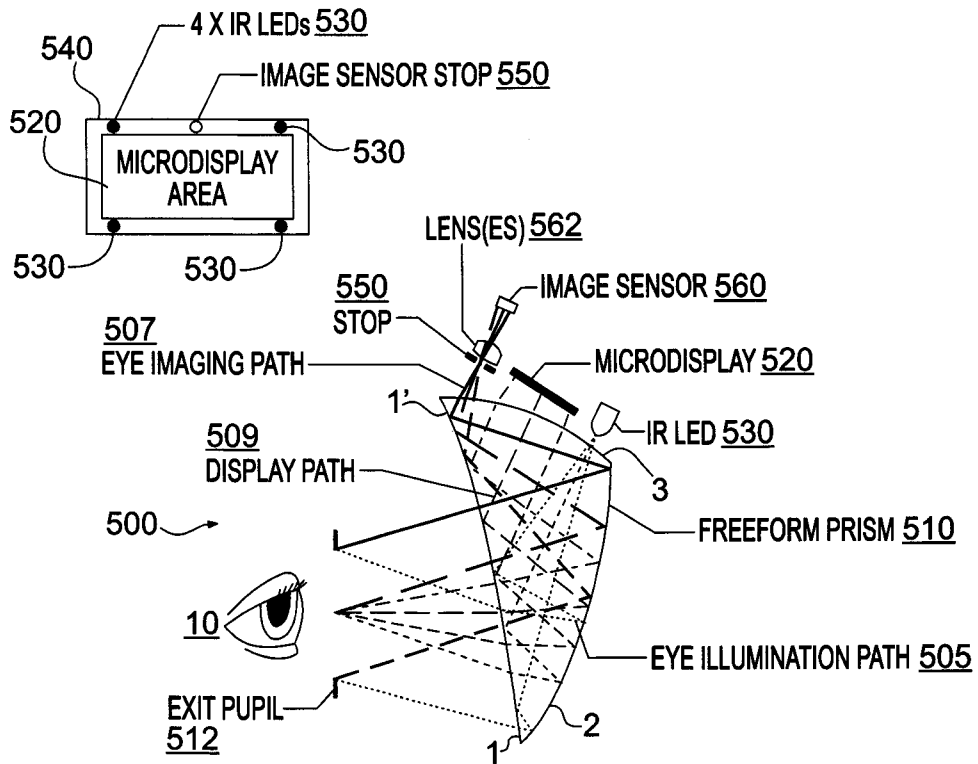


FIG. 5C

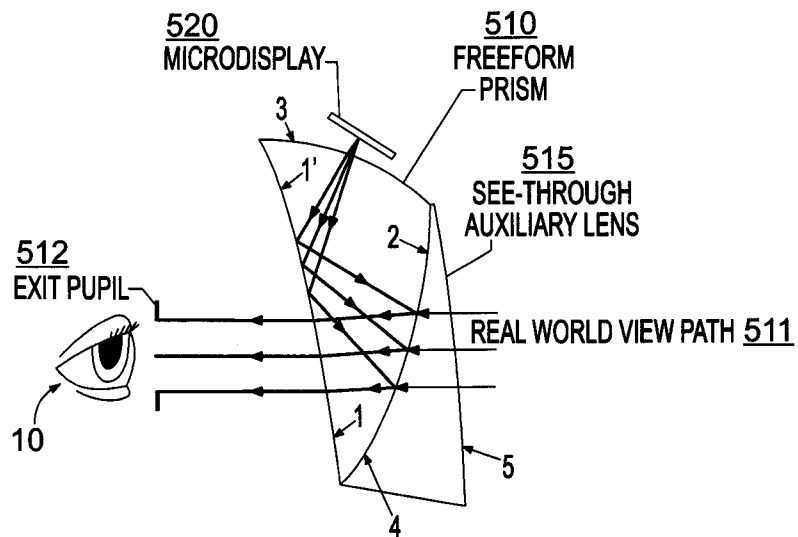
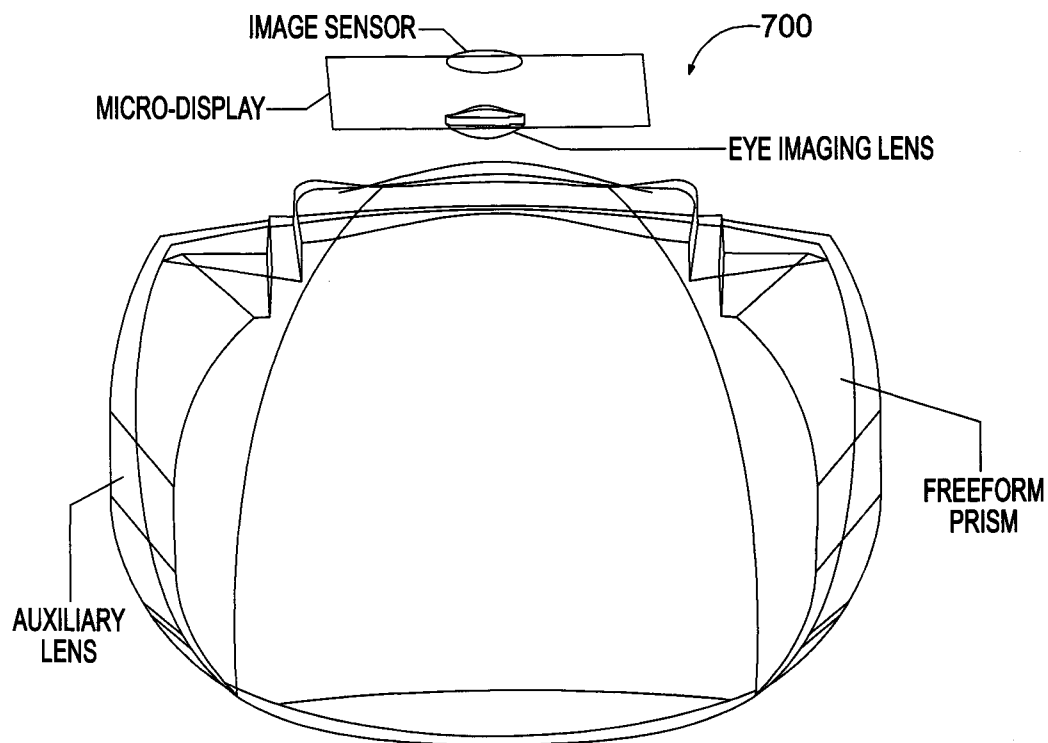
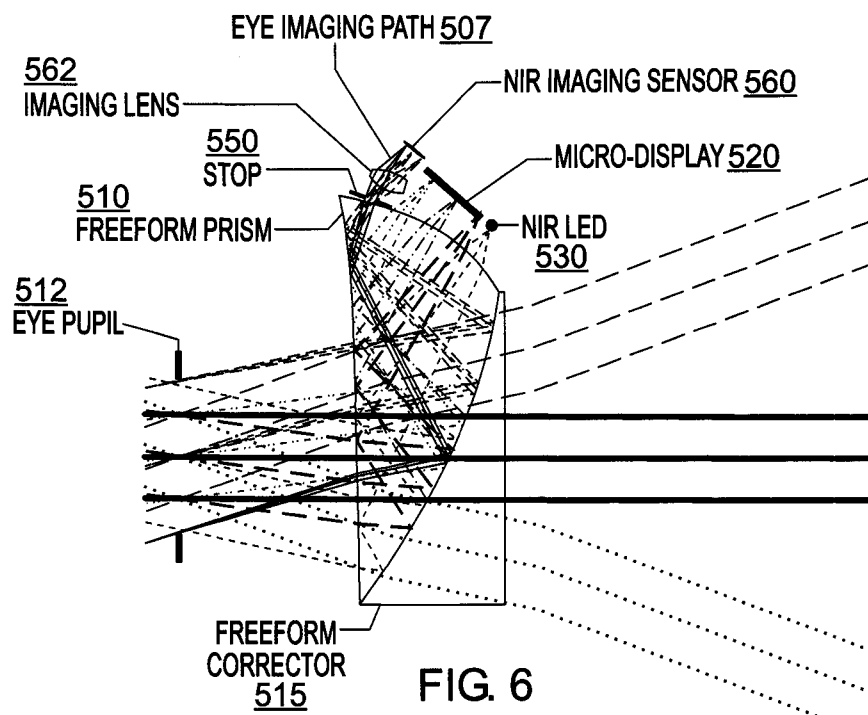
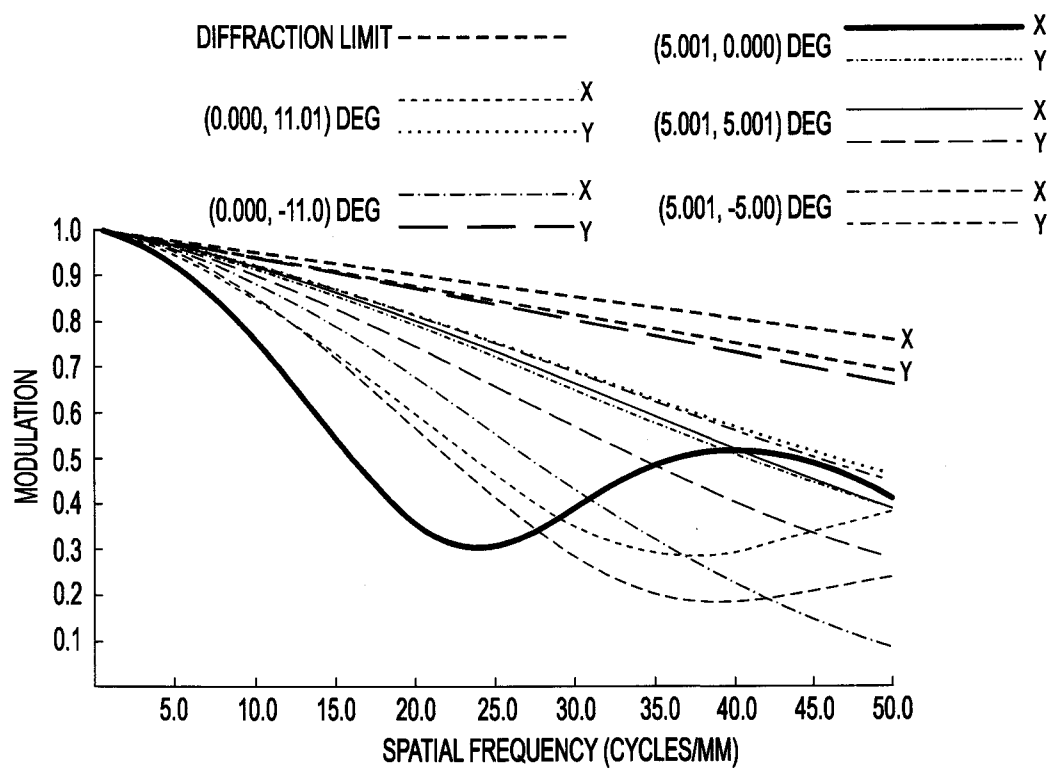
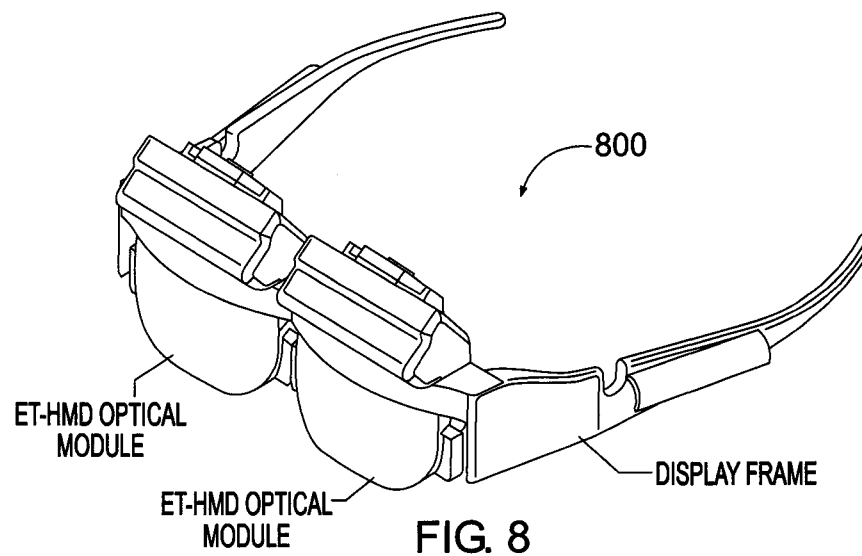


FIG. 5D

5/14



6/14



7/14

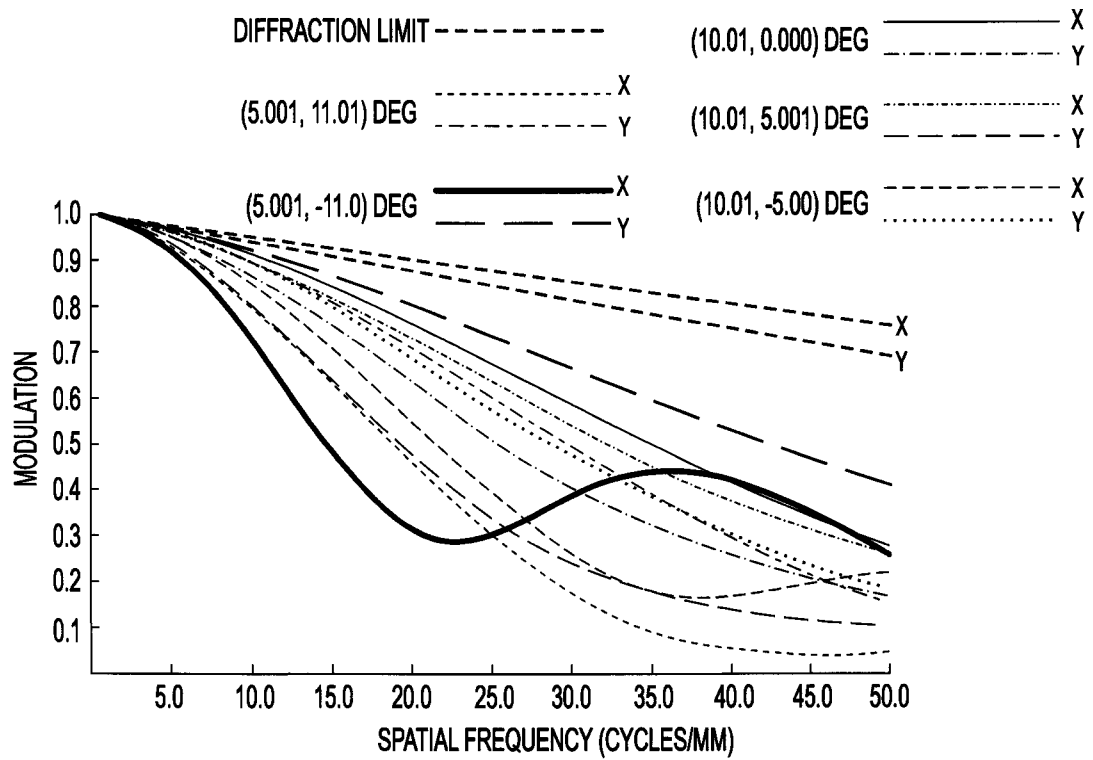


FIG. 9B

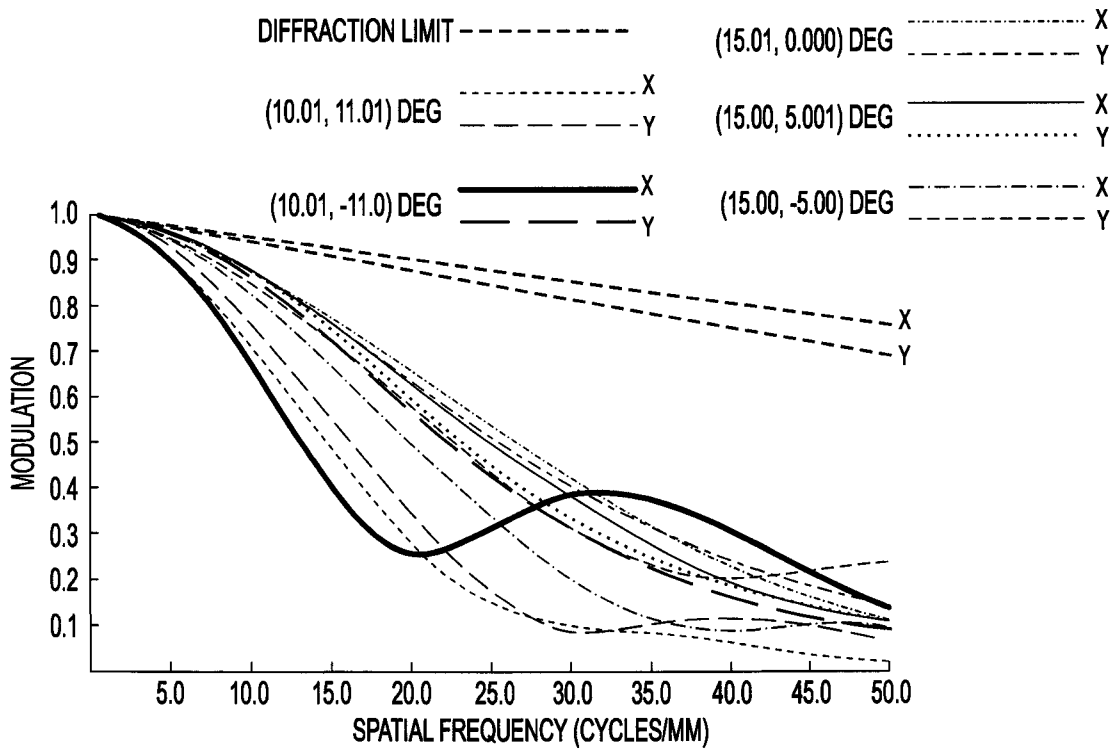


FIG. 9C

8/14

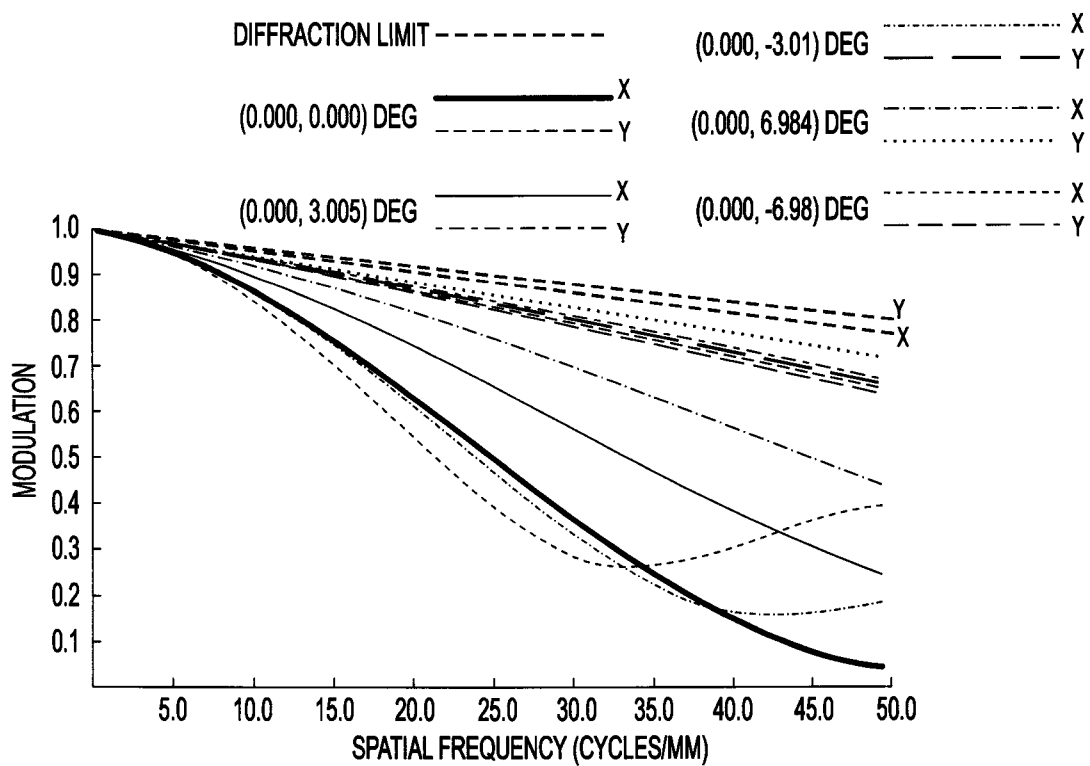


FIG. 9D

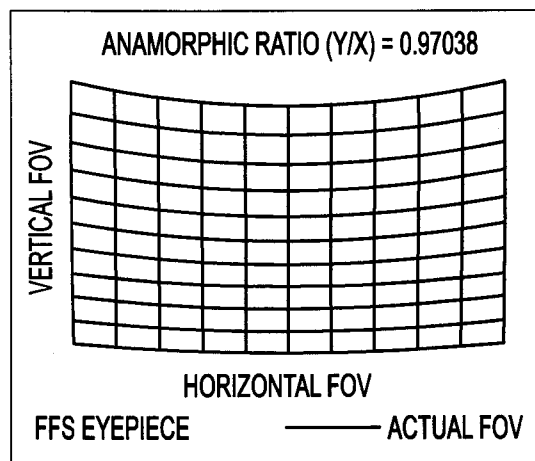


FIG. 10

9/14

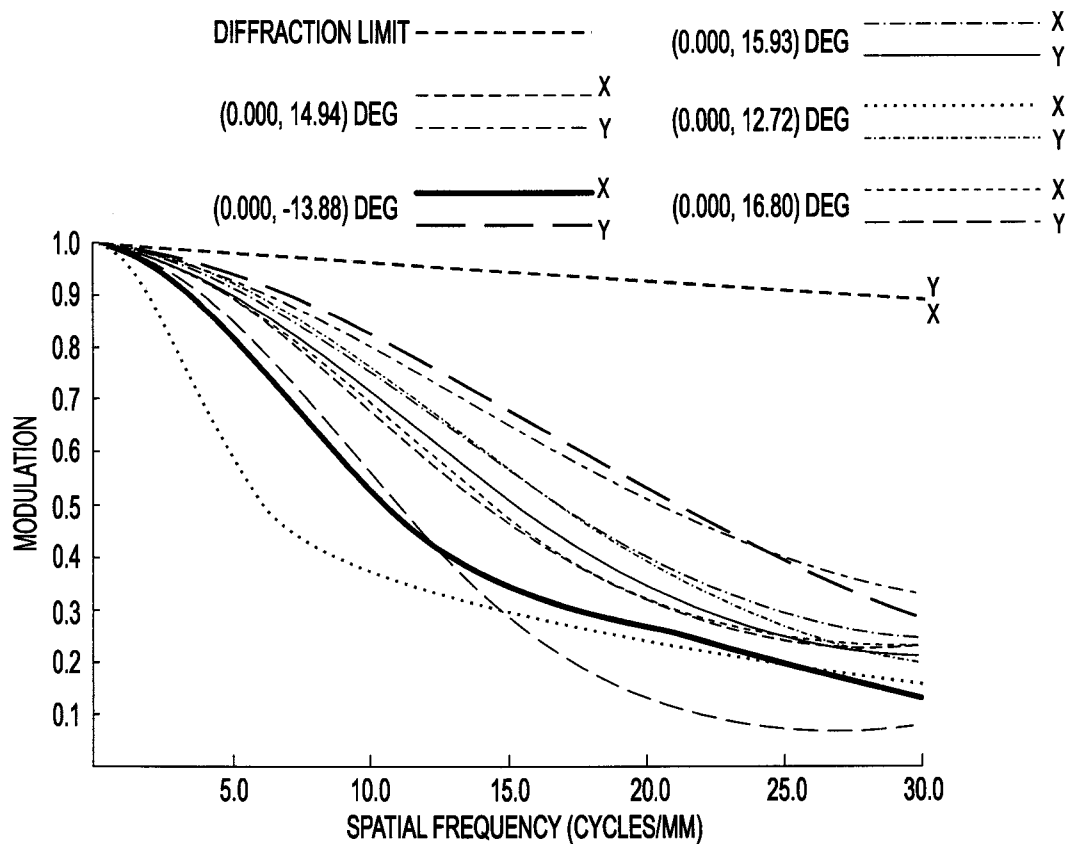


FIG. 11

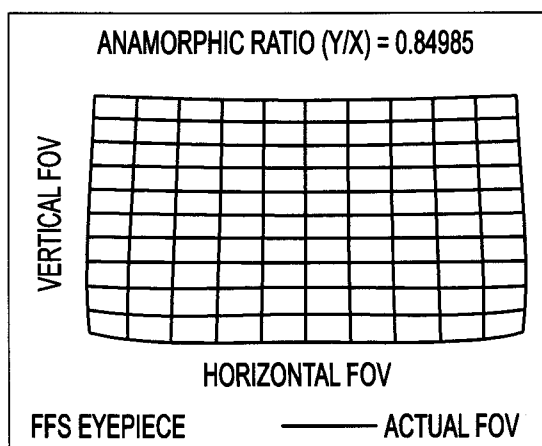
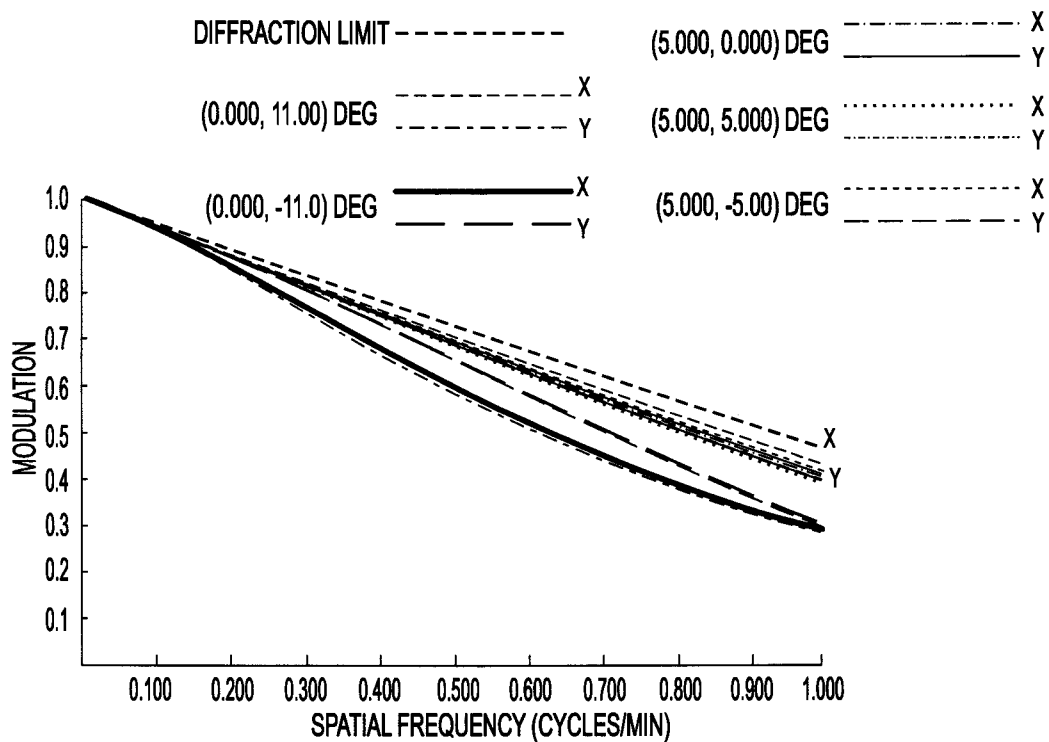
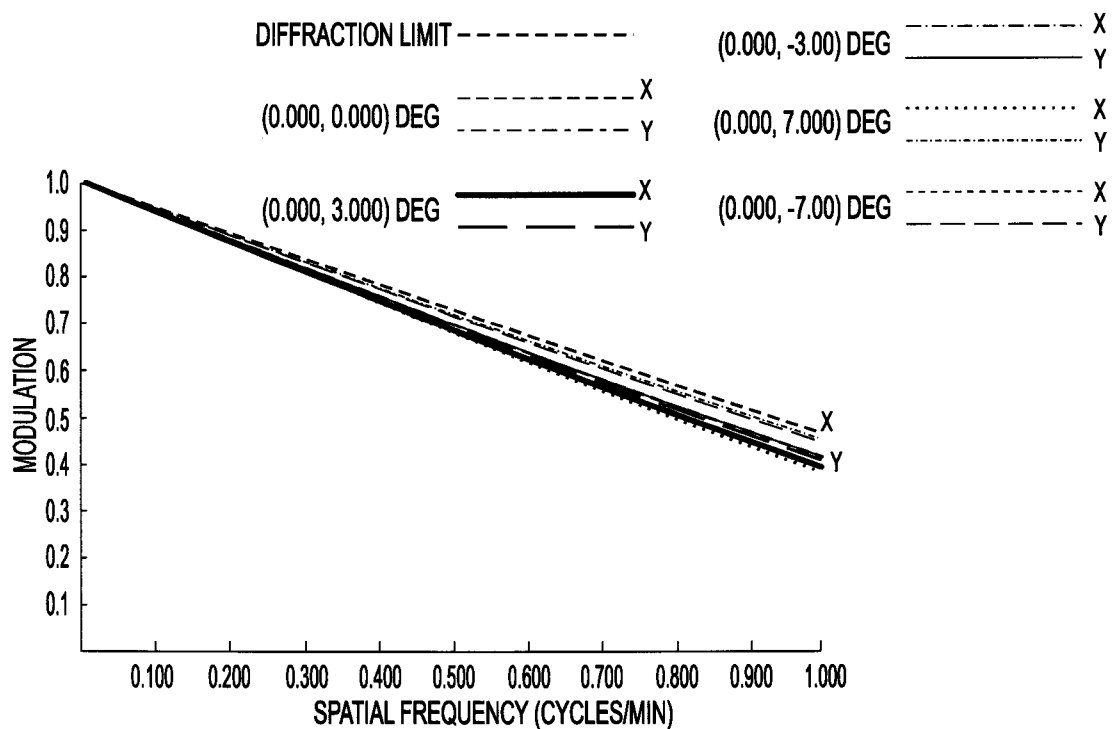


FIG. 12

10/14



11/14

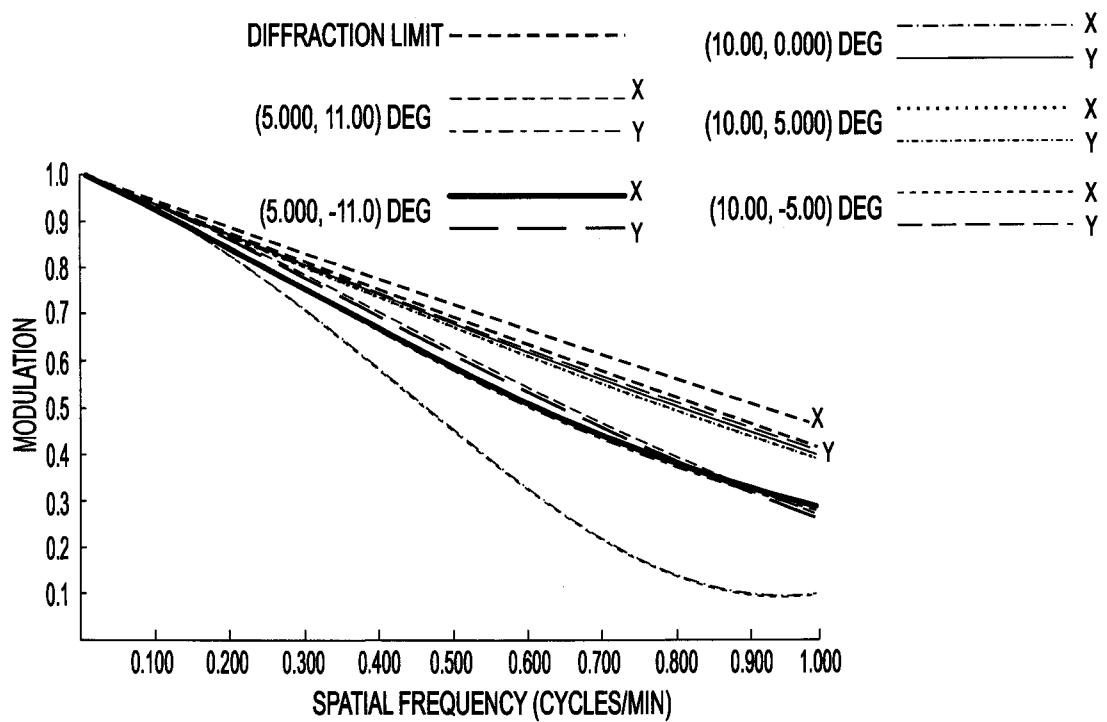


FIG. 13C

12/14

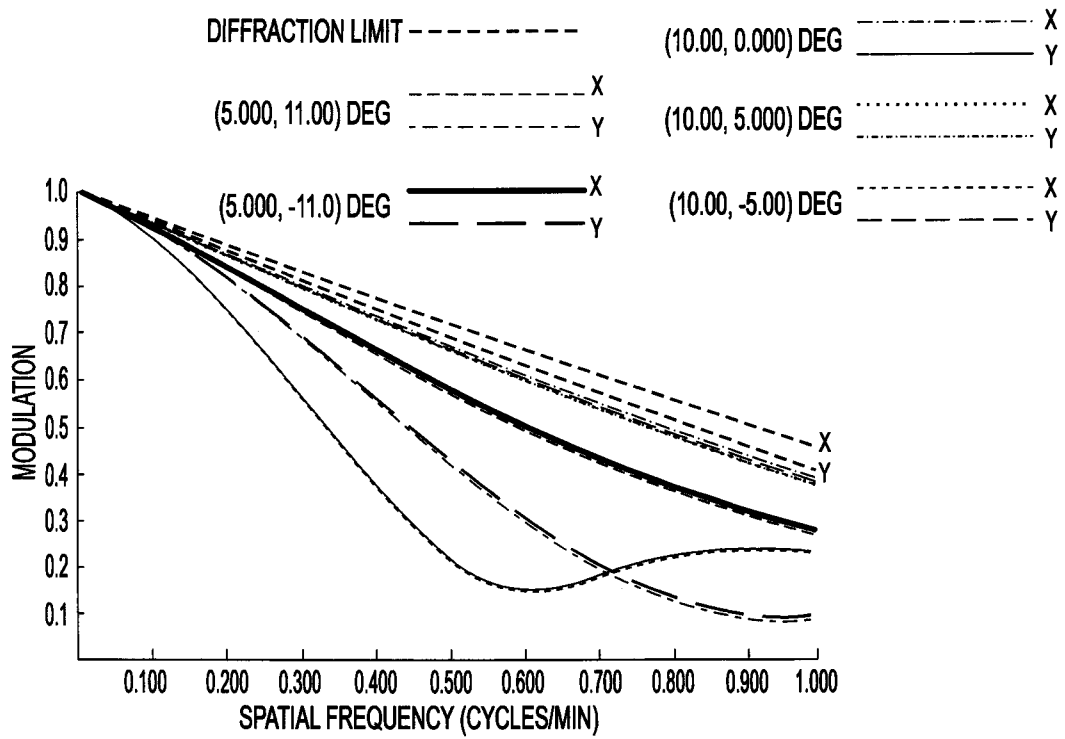


FIG. 13D

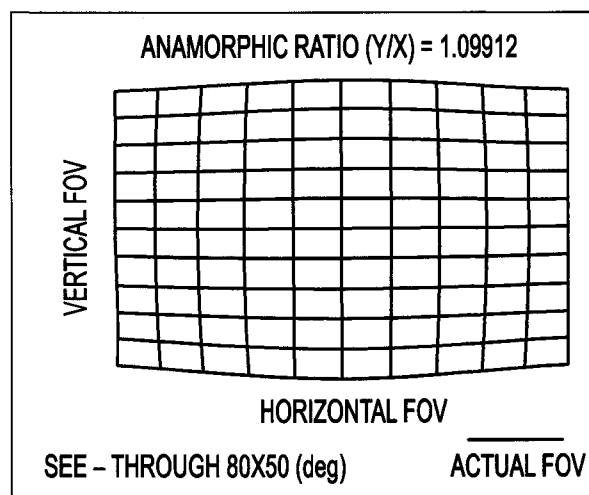
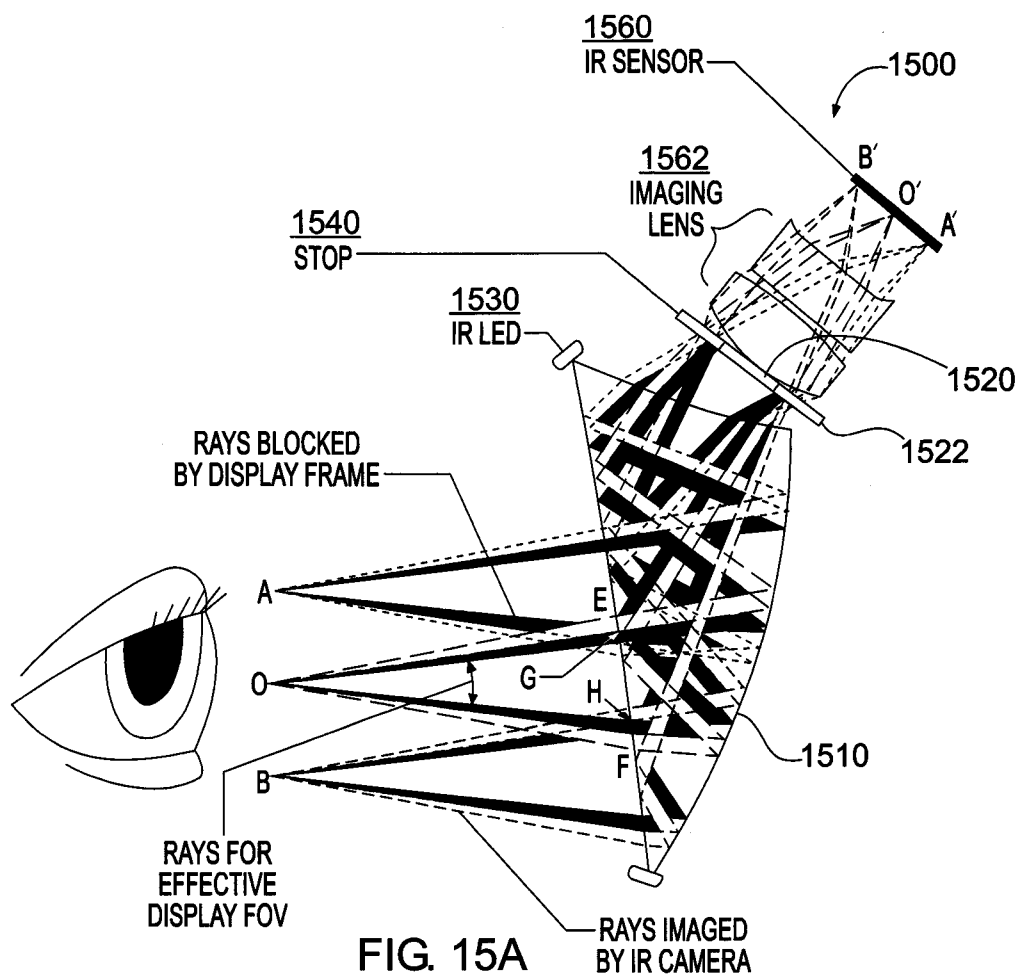


FIG. 14

13/14



14/14

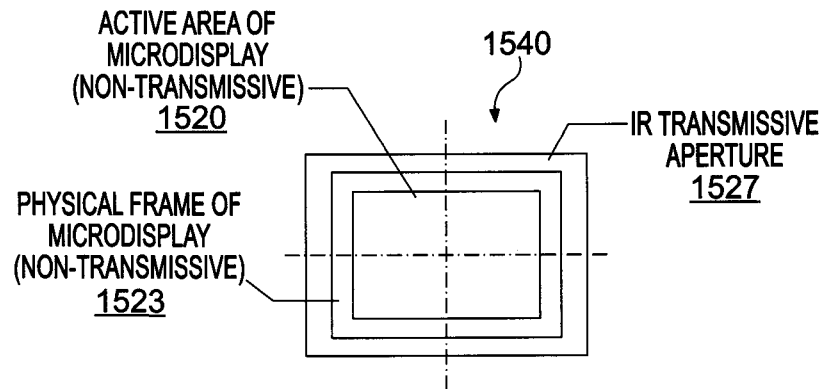


FIG. 15B

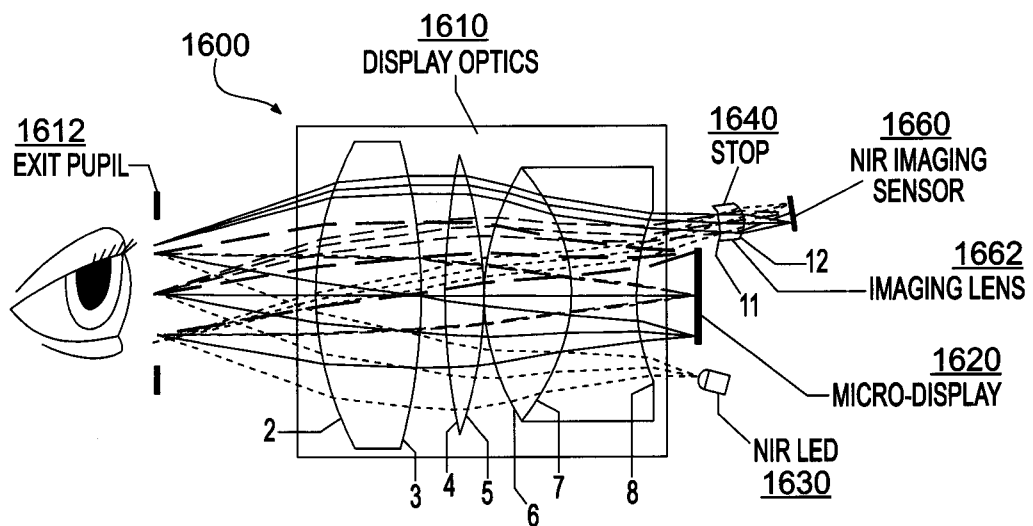


FIG. 16

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2013/022918**A. CLASSIFICATION OF SUBJECT MATTER****G02B 27/02(2006.01)i, G02B 5/02(2006.01)1**

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

G02B 27/02; A61B 3/113; H04N 5/225; G02B 5/04; G02B 27/14; G02B 27/01; G09G 5/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
Korean utility models and applications for utility models
Japanese utility models and applications for utility modelsElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)
eKOMPASS(KIPO internal) & Keywords: eye tracked, head mounted display, freeform prism**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 2008-0094720 A1 (YAMAZAKI et al.) 24 April 2008 See abstract, paragraph [0078] and figure 8A.	1-3
A	JP 2001-066543 A (CANON INC.) 16 March 2001 See abstract, paragraphs [0077], [0080] and figure 12.	1-3
A	JP 09-218375 A (CANON INC.) 19 August 1997 See abstract, paragraphs [0018] -[0019] and figure 1.	1-3
A	US 6384983 B1 (YAMAZAKI et al.) 07 May 2002 See abstract, claims 1-2 and figure 1.	1-3
A	US 2011-0043644 A1 (MUNGER et al.) 24 February 2011 See abstract, paragraph [0032] and figure 1b.	1-3

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

14 May 2013 (14.05.2013)

Date of mailing of the international search report

15 May 2013 (15.05.2013)

Name and mailing address of the ISA/KR

Korean Intellectual Property Office
189 Cheongsa-ro, Seo-gu, Daejeon Metropolitan
City, 302-701, Republic of Korea

Facsimile No. 82-42-472-7140

Authorized officer

KANG, Sung Chul

Telephone No. 82-42-481-8405



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2013/022918**Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☒ Claims Nos.: **14-21, 24**
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

Claims 14-21, 24 have been drafted as dependent claims on multiple dependent claims. Lack of clarity of the claims as a whole arises, since the plurality of dependent claims makes it difficult to determine the matter for which protection is sought.

3. ☒ Claims Nos.: **4-13, 22-23, 25-30**
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.

2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. ☒ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☒ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☒ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2013/022918

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2008-0094720 A1	24. 04. 2008	EP 0687932 A2 EP 0687932 A3 EP 0687932 B1 JP 07-33355 1 A JP 08-050256 A JP 08-179238 A JP 291 1750 B2 JP 3566369 B2 JP 3847799 B2 KR 10-0254730 B1 KR 10-1996-000 1798 A US 2001-0009478 A1 US 2007-0247730 A1 US 2008-0055735 A1 US 2008-00947 19 A1 US 7253960 B2 US 7262919 B1 US 7345822 B1 US 7355795 B1 US 7495836 B2 us 7505207 B2 us 7538950 B2 us 7567385 B2	20. 12. 1995 12. 03. 1997 25. 05. 2005 22. 12. 1995 20. 02. 1996 12. 07. 1996 23. 06. 1999 15. 09. 2004 22. 11. 2006 15. 04. 2000 25. 01. 1996 26. 07. 2001 25. 10. 2007 06. 03. 2008 24. 04. 2008 07. 08. 2007 28. 08. 2007 18. 03. 2008 08. 04. 2008 24. 02. 2009 17. 03. 2009 26. 05. 2009 28. 07. 2009
JP 2001-066543 A	16. 03. 2001	None	
JP 09-218375 A	19. 08. 1997	None	
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US 2011-0043644 A1	24. 02. 2011	CA 2781064 A1 EP 2502410 A1 US 2008-0247620 A1 US 8135227 B2 Wo 2011-060525 A1	26. 05. 2011 26. 09. 2012 09. 10. 2008 13. 03. 2012 26. 05. 2011