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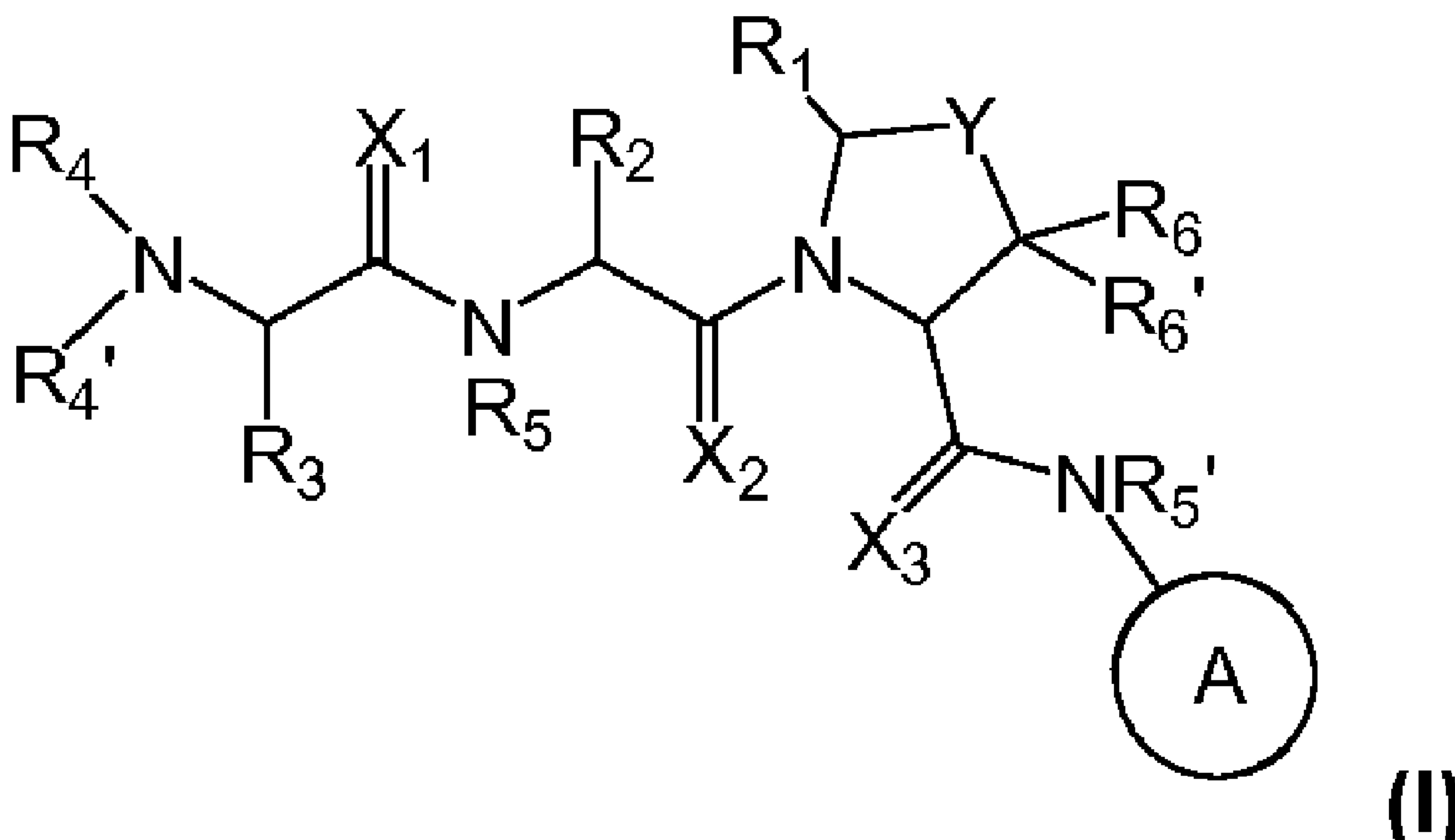
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(54) Title: INHIBITORS OF IAP



(57) Abrégé/Abstract:

The invention provides novel compounds that are inhibitors of IAPs having the general formula: wherein X<sub>1</sub>, X<sub>2</sub>, X<sub>3</sub>, Y, A, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>4'</sub>, R<sub>5</sub>, R<sub>5'</sub>, R<sub>6</sub> and R<sub>6'</sub> are as described herein. The compounds of the invention may be used to induce apoptosis in cells (or sensitise cells to apoptosis) in which IAPs are overexpressed or otherwise implicated in resistance to normal apoptotic processes. Accordingly, the compounds may be provided in pharmaceutically acceptable compositions and used for the treatment cancers.

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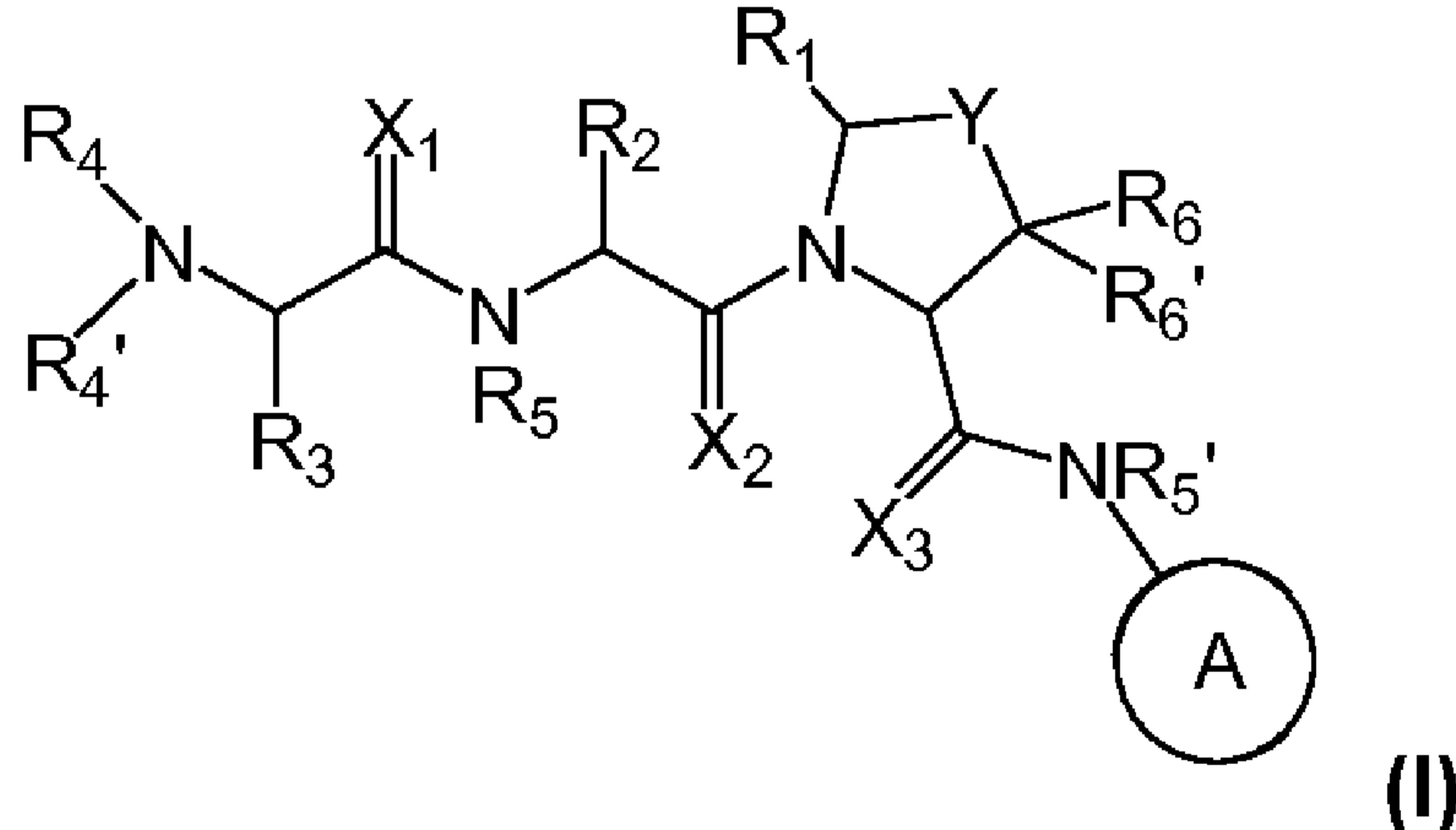
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(57) Abstract: The invention provides novel compounds that are inhibitors of IAPs having the general formula: wherein X<sub>1</sub>, X<sub>2</sub>, X<sub>3</sub>, Y, A, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>4</sub>', R<sub>5</sub>, R<sub>5</sub>', R<sub>6</sub> and R<sub>6</sub>' are as described herein. The compounds of the invention may be used to induce apoptosis in cells (or sensitise cells to apoptosis) in which IAPs are overexpressed or otherwise implicated in resistance to normal apoptotic processes. Accordingly, the compounds may be provided in pharmaceutically acceptable compositions and used for the treatment cancers.

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## INHIBITORS OF IAP

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PRIORITY CLAIM

This application claims priority to United States provisional application number 61/085,844 filed on 2 August 2008, the contents of which are incorporated herein by reference.

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FIELD OF THE INVENTION

The present invention relates to organic compounds useful for therapy and/or prophylaxis in a mammal, and in particular to inhibitors of IAP proteins useful for treating cancers.

25

BACKGROUND OF THE INVENTION

Apoptosis or programmed cell death is a genetically and biochemically regulated mechanism that plays an important role in development and homeostasis in invertebrates as well as vertebrates.

30

Aberrancies in apoptosis that lead to premature cell death have been linked to a variety of developmental disorders. Deficiencies in apoptosis that result in the lack of cell death have been linked to cancer and chronic viral infections (Thompson et al., (1995) *Science* 267, 1456-1462).

35

One of the key effector molecules in apoptosis are the caspases (cysteine containing aspartate specific proteases). Caspases are strong proteases, cleaving after aspartic acid residues and once activated, digest vital cell proteins from within the cell. Since caspases are such strong proteases, tight control of this family of proteins is necessary to prevent premature cell death. In general, caspases are synthesized as largely inactive zymogens that require proteolytic processing in order to be active. This proteolytic processing is only one of the ways in which caspases are regulated.

40

The second mechanism is through a family of proteins that bind and inhibit caspases.

A family of molecules that inhibit caspases are the Inhibitors of Apoptosis (IAP) (Deveraux et al., J Clin Immunol (1999), 19:388-398). IAPs were originally discovered in baculovirus by their functional ability to substitute for P35 protein, an anti-apoptotic gene (Crook et al. (1993) J Virology 67, 2168-2174). IAPs have been described in organisms ranging from *Drosophila* to 5 human. Regardless of their origin, structurally, IAPs comprise one to three Baculovirus IAP repeat (BIR) domains, and most of them also possess a carboxyl-terminal RING finger motif. The BIR domain itself is a zinc binding domain of about 70 residues comprising 4 alpha-helices and 3 beta strands, with cysteine and histidine residues that coordinate the zinc ion (Hinds et al., (1999) Nat. Struct. Biol. 6, 648-651). It is the BIR domain that is believed to cause the anti-apoptotic effect by 10 inhibiting the caspases and thus inhibiting apoptosis. As an example, human X-chromosome linked IAP (XIAP) inhibits caspase 3, caspase 7 and the Apaf-1-cytochrome C mediated activation of caspase 9 (Deveraux et al., (1998) EMBO J. 17, 2215-2223). Caspases 3 and 7 are inhibited by the BIR2 domain of XIAP, while the BIR3 domain of XIAP is responsible for the inhibition of caspase 9 activity. XIAP is expressed ubiquitously in most adult and fetal tissues (Liston et al, 15 Nature, 1996, 379(6563):349), and is overexpressed in a number of tumor cell lines of the NCI 60 cell line panel (Fong et al, Genomics, 2000, 70:113; Tamm et al, Clin. Cancer Res. 2000, 6(5):1796). Overexpression of XIAP in tumor cells has been demonstrated to confer protection against a variety of pro-apoptotic stimuli and promotes resistance to chemotherapy (LaCasse et al, Oncogene, 1998, 17(25):3247). Consistent with this, a strong correlation between XIAP protein 20 levels and survival has been demonstrated for patients with acute myelogenous leukemia (Tamm et al, supra). Down-regulation of XIAP expression by antisense oligonucleotides has been shown to sensitize tumor cells to death induced by a wide range of pro-apoptotic agents, both *in vitro* and *in vivo* (Sasaki et al, Cancer Res., 2000, 60(20):5659; Lin et al, Biochem J., 2001, 353:299; Hu et al, Clin. Cancer Res., 2003, 9(7):2826). Smac/DIABLO-derived peptides have also been 25 demonstrated to sensitize a number of different tumor cell lines to apoptosis induced by a variety of pro-apoptotic drugs (Arnt et al, J. Biol. Chem., 2002, 277(46):44236; Fulda et al, Nature Med., 2002, 8(8):808; Guo et al, Blood, 2002, 99(9):3419; Vucic et al, J. Biol. Chem., 2002, 277(14):12275; Yang et al, Cancer Res., 2003, 63(4):831).

30 Melanoma IAP (ML-IAP) is an IAP not detectable in most normal adult tissues but is strongly upregulated in melanoma (Vucic et al., (2000) Current Bio 10:1359-1366). Determination of protein structure demonstrated significant homology of the ML-IAP BIR and RING finger domains to corresponding domains present in human XIAP, C-IAP1 and C-IAP2. The BIR domain of ML-IAP appears to have the most similarities to the BIR2 and BIR3 of XIAP, C-IAP1 and C-IAP2, and 35 appears to be responsible for the inhibition of apoptosis, as determined by deletional analysis. Furthermore, Vucic et al., demonstrated that ML-IAP could inhibit chemotherapeutic agent

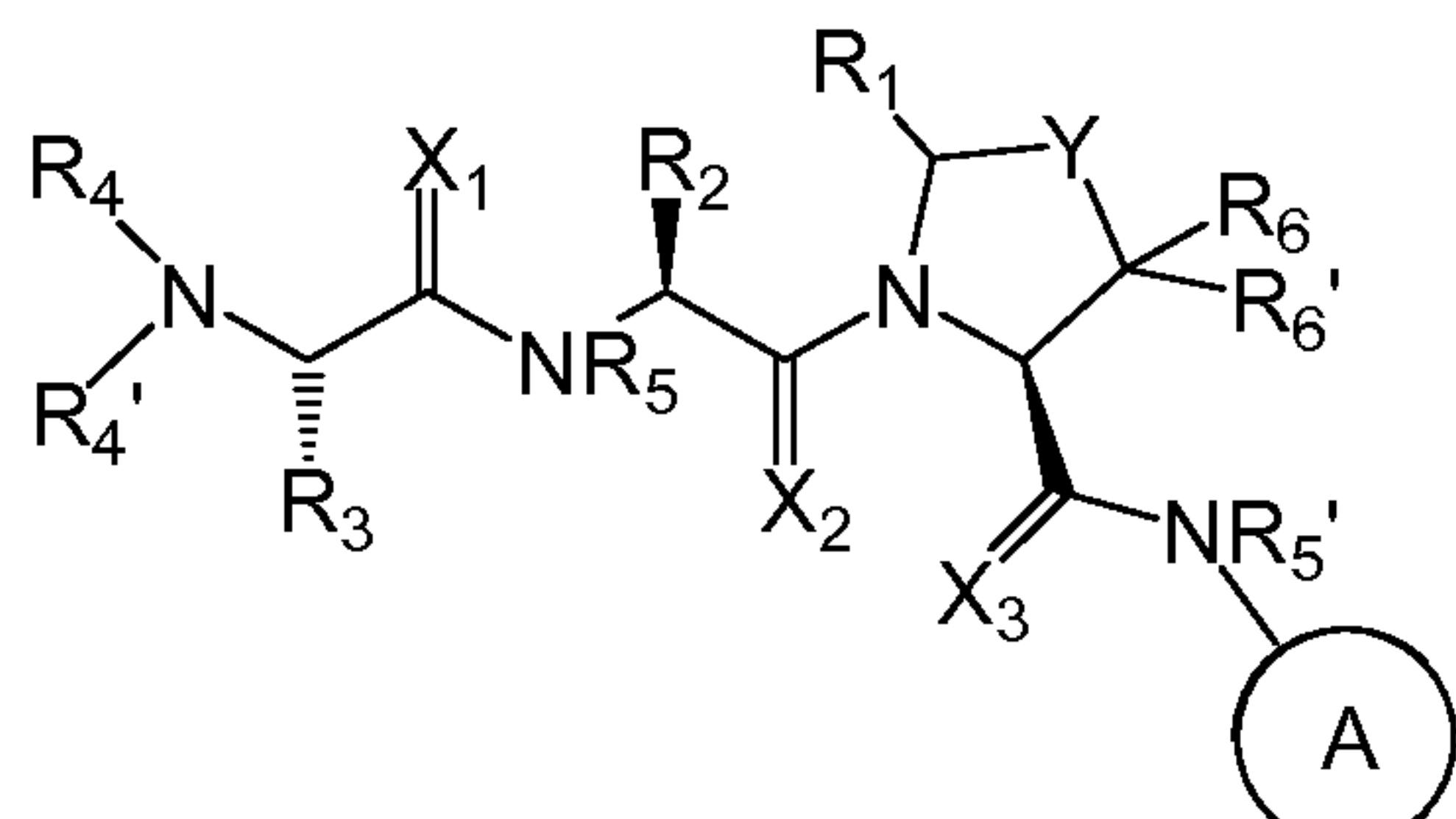
induced apoptosis. Agents such as adriamycin and 4-tertiary butylphenol (4-TBP) were tested in a cell culture system of melanomas overexpressing ML-IAP and the chemotherapeutic agents were significantly less effective in killing the cells when compared to a normal melanocyte control. The mechanism by which ML-IAP produces an anti-apoptotic activity is in part through inhibition of caspase 3 and 9. ML-IAP did not effectively inhibit caspases 1, 2, 6, or 8.

Since apoptosis is a strictly controlled pathway with multiple interacting factors, the discovery that IAPs themselves are regulated was not unusual. In the fruit fly *Drosophila*, the Reaper (rpr), Head Involution Defective (hid) and GRIM proteins physically interact with and inhibit the anti-apoptotic activity of the *Drosophila* family of IAPs. In the mammal, the proteins SMAC/DIABLO act to block the IAPs and allow apoptosis to proceed. It was shown that during normal apoptosis, SMAC is processed into an active form and is released from the mitochondria into the cytoplasm where it physically binds to IAPs and prevents the IAP from binding to a caspase. This inhibition of the IAP allows the caspase to remain active and thus proceed with apoptosis. Interestingly, sequence homology between the IAP inhibitors shows that there is a four amino acid motif in the N-terminus of the processed, active proteins. This tetrapeptide appears to bind into a hydrophobic pocket in the BIR domain and disrupts the BIR domain binding to caspases (Chai et al., (2000) Nature 406:855-862, Liu et al., (2000) Nature 408:1004-1008, Wu et al., (2000) Nature 408 1008-1012).

20

## SUMMARY OF THE INVENTION

In one aspect of the present invention there is provided novel inhibitors of IAP proteins having the  
25 general formula (I)



wherein

30       $X_1, X_2$  and  $X_3$  are independently O or S;

Y is  $(CHR_7)_n$ , O or S; wherein n is 1 or 2 and  $R_7$  is H, halogen, alkyl, aryl, aralkyl, amino, arylamino, alkylamino, aralkylamino, alkoxy, aryloxy or aralkyloxy;

A is a 6-member aromatic ring or a heteroaromatic ring comprising 1 to 4 heteroatoms optionally substituted with amino, hydroxyl, mercapto, halogen, carboxyl, amidino, guanidino, alkyl,

5 alkoxyl, aryl, aryloxy, acyl, acyloxy, acylamino, alkoxycarbonylamino, cycloalkyl, alkylthio, alkylsulfinyl, alkylsulfonyl, aminosulfonyl, alkylaminosulfonyl, alkylsulfonylamino or a heterocycle; wherein each alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, cycloalkyl and heterocycle substitution is optionally substituted with hydroxyl, halogen, mercapto, carboxyl, alkyl, alkoxy, haloalkyl, amino, nitro, cyano, cycloalkyl, aryl or a heterocycle

10  $R_1$  is H or  $R_1$  and  $R_2$  together form a 5-8 member ring;

$R_2$  is alkyl, cycloalkyl, cycloalkylalkyl, aryl, aralkyl, a heterocycle or heterocyclalkyl; each optionally substituted with hydroxyl, mercapto, halogen, amino, carboxyl, alkyl, haloalkyl, alkoxy or alkylthio;

15  $R_3$  is H or alkyl;

$R_4$  and  $R_4'$  are independently H, hydroxyl, amino, alkyl, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, heteroaryl, or heteroarylalkyl wherein each alkyl, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, heteroaryl and heteroarylalkyl is optionally substituted with halogen, hydroxyl, mercapto, carboxyl, alkyl, alkoxy, amino and nitro;

20  $R_5$ , and  $R_5'$  are each independently H or alkyl;

$R_6$ , and  $R_6'$  are each independently H, alkyl, aryl or aralkyl;

and salts and solvates thereof.

In another aspect of the invention, there are provided compositions comprising compounds of

25 formula I and a carrier, diluent or excipient.

In another aspect of the invention, there is provided a method of inducing apoptosis in a cell comprising introducing into said cell a compound of formula I.

30 In another aspect of the invention, there is provided a method of sensitizing a cell to an apoptotic signal comprising introducing into said cell a compound of formula I.

In another aspect of the invention, there is provided a method for inhibiting the binding of an IAP protein to a caspase protein comprising contacting said IAP protein with a compound of formula I.

In another aspect of the invention, there is provided a method for treating a disease or condition associated with the overexpression of an IAP protein in a mammal, comprising administering to said mammal an effective amount of a compound of formula I.

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#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

“Acyl” means a carbonyl containing substituent represented by the formula -C(O)-R in which R is H, alkyl, a carbocycle, a heterocycle, carbocycle-substituted alkyl or heterocycle-substituted alkyl 10 wherein the alkyl, alkoxy, carbocycle and heterocycle are as defined herein. Acyl groups include alkanoyl (e.g. acetyl), aroyl (e.g. benzoyl), and heteroaroyl.

“Alkyl” means a branched or unbranched, saturated or unsaturated (i.e. alkenyl, alkynyl) aliphatic hydrocarbon group, having up to 12 carbon atoms unless otherwise specified. When used as part 15 of another term, for example “alkylamino”, the alkyl portion is preferably a saturated hydrocarbon chain, however also includes unsaturated hydrocarbon carbon chains such as “alkenylamino” and “alkynylamino. Examples of preferred alkyl groups include methyl, ethyl, n-propyl, isopropyl, n-butyl, iso-butyl, sec-butyl, tert-butyl, n-pentyl, 2-methylbutyl, 2,2-dimethylpropyl, n-hexyl, 2-methylpentyl, 2,2-dimethylbutyl, n-heptyl, 3-heptyl, 2-methylhexyl, and the like. The terms “lower 20 alkyl” “C<sub>1</sub>-C<sub>4</sub> alkyl” and “alkyl of 1 to 4 carbon atoms” are synonymous and used interchangeably to mean methyl, ethyl, 1-propyl, isopropyl, cyclopropyl, 1-butyl, sec-butyl or t-butyl. Unless specified, substituted, alkyl groups may contain one (preferably), two, three or four substituents 25 which may be the same or different. Examples of the above substituted alkyl groups include, but are not limited to; cyanomethyl, nitromethyl, hydroxymethyl, trityloxymethyl, propionyloxymethyl, aminomethyl, carboxymethyl, carboxyethyl, carboxypropyl, alkyloxycarbonylmethyl, allyloxycarbonylaminomethyl, carbamoyloxymethyl, methoxymethyl, ethoxymethyl, t-butoxymethyl, acetoxymethyl, chloromethyl, bromomethyl, iodomethyl, trifluoromethyl, 6-hydroxyhexyl, 2,4-dichloro(n-butyl), 2-amino(iso-propyl), 2-carbamoyloxyethyl and the like. The alkyl group may also be substituted with a carbocycle group. Examples include 30 cyclopropylmethyl, cyclobutylmethyl, cyclopentylmethyl, and cyclohexylmethyl groups, as well as the corresponding -ethyl, -propyl, -butyl, -pentyl, -hexyl groups, etc. Preferred substituted alkyls are substituted methyls e.g. a methyl group substituted by the same substituents as the “substituted C<sub>n</sub>-C<sub>m</sub> alkyl” group. Examples of the substituted methyl group include groups such as hydroxymethyl, protected hydroxymethyl (e.g. tetrahydropyranoyloxymethyl), acetoxymethyl, 35 carbamoyloxymethyl, trifluoromethyl, chloromethyl, carboxymethyl, bromomethyl and iodomethyl.

“Amidine” means the group -C(NH)-NHR in which R is H, alkyl, a carbocycle, a heterocycle, carbocycle-substituted alkyl or heterocycle-substituted alkyl wherein the alkyl, alkoxy, carbocycle and heterocycle are as defined herein. A particular amidine is the group -NH-C(NH)-NH<sub>2</sub>.

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“Amino” means primary (i.e. -NH<sub>2</sub>), secondary (i.e. -NRH) and tertiary (i.e. -NRR) amines in which R is H, alkyl, a carbocycle, a heterocycle, carbocycle-substituted alkyl or heterocycle-substituted alkyl wherein the alkyl, alkoxy, carbocycle and heterocycle are as defined herein. Particular secondary and tertiary amines are alkylamine, dialkylamine, arylamine, diarylamine, aralkylamine and diaralkylamine wherein the alkyl is as herein defined and optionally substituted. Particular secondary and tertiary amines are methylamine, ethylamine, propylamine, isopropylamine, phenylamine, benzylamine dimethylamine, diethylamine, dipropylamine and disopropylamine.

15 “Amino-protecting group” as used herein refers to a derivative of the groups commonly employed to block or protect an amino group while reactions are carried out on other functional groups on the compound. Examples of such protecting groups include carbamates, amides, alkyl and aryl groups, imines, as well as many N-heteroatom derivatives which can be removed to regenerate the desired amine group. Particular amino protecting groups are Boc, Fmoc and Cbz. Further 20 examples of these groups are found in T. W. Greene and P. G. M. Wuts, “Protective Groups in Organic Synthesis”, 2<sup>nd</sup> ed., John Wiley & Sons, Inc., New York, NY, 1991, chapter 7; E. Haslam, “Protective Groups in Organic Chemistry”, J. G. W. McOmie, Ed., Plenum Press, New York, NY, 1973, Chapter 5, and T.W. Greene, “Protective Groups in Organic Synthesis”, John Wiley and Sons, New York, NY, 1981. The term “protected amino” refers to an amino group substituted 25 with one of the above amino-protecting groups.

“Aryl” when used alone or as part of another term means a carbocyclic aromatic group whether or not fused having the number of carbon atoms designated or if no number is designated, up to 14 carbon atoms. Particular aryl groups are phenyl, naphthyl, biphenyl, phenanthrenyl, 30 naphthacenyl, and the like (see e.g. *Lang's Handbook of Chemistry* (Dean, J. A., ed) 13<sup>th</sup> ed. Table 7-2 [1985]). A particular aryl is phenyl. Substituted phenyl or substituted aryl means a phenyl group or aryl group substituted with one, two, three, four or five, for example 1-2, 1-3 or 1-4 substituents chosen, unless otherwise specified, from halogen (F, Cl, Br, I), hydroxy, 35 protected hydroxy, cyano, nitro, alkyl (for example C<sub>1</sub>-C<sub>6</sub> alkyl), alkoxy (for example C<sub>1</sub>-C<sub>6</sub> alkoxy), benzyloxy, carboxy, protected carboxy, carboxymethyl, protected carboxymethyl, hydroxymethyl, protected hydroxymethyl, aminomethyl, protected aminomethyl, trifluoromethyl,

alkylsulfonylamino, alkylsulfonylaminoalkyl, arylsulfonylamino, arylsulfonylaminoalkyl, heterocyclsulfonylamino, heterocyclsulfonylaminoalkyl, heterocycl, aryl, or other groups specified. One or more methyne (CH) and/or methylene (CH<sub>2</sub>) groups in these substituents may in turn be substituted with a similar group as those denoted above. Examples of the term 5 “substituted phenyl” includes but is not limited to a mono- or di(halo)phenyl group such as 2-chlorophenyl, 2-bromophenyl, 4-chlorophenyl, 2,6-dichlorophenyl, 2,5-dichlorophenyl, 3,4-dichlorophenyl, 3-chlorophenyl, 3-bromophenyl, 4-bromophenyl, 3,4-dibromophenyl, 3-chloro-4-fluorophenyl, 2-fluorophenyl and the like; a mono- or di(hydroxy)phenyl group such as 4-hydroxyphenyl, 3-hydroxyphenyl, 2,4-dihydroxyphenyl, the protected-hydroxy derivatives thereof 10 and the like; a nitrophenyl group such as 3- or 4-nitrophenyl; a cyanophenyl group, for example, 4-cyanophenyl; a mono- or di(lower alkyl)phenyl group such as 4-methylphenyl, 2,4-dimethylphenyl, 2-methylphenyl, 4-(iso-propyl)phenyl, 4-ethylphenyl, 3-(n-propyl)phenyl and the like; a mono or di(alkoxy)phenyl group, for example, 3,4-dimethoxyphenyl, 3-methoxy-4-benzyloxyphenyl, 3-methoxy-4-(1-chloromethyl)benzyloxy-phenyl, 3-ethoxyphenyl, 4-isopropoxyphenyl, 4-(t-butoxy)phenyl, 3-ethoxy-4-methoxyphenyl and the like; 3- or 4-trifluoromethylphenyl; a mono- or dicarboxyphenyl or (protected carboxy)phenyl group such 4-carboxyphenyl, ; a mono- or di(hydroxymethyl)phenyl or (protected hydroxymethyl)phenyl such as 3-(protected hydroxymethyl)phenyl or 3,4-di(hydroxymethyl)phenyl; a mono- or di(aminomethyl)phenyl or (protected aminomethyl)phenyl such as 2-(aminomethyl)phenyl or 2,4-(protected aminomethyl)phenyl; or a mono- or di(N-(methylsulfonylamino))phenyl such as 3-(N-methylsulfonylamino))phenyl. Also, the term “substituted phenyl” represents disubstituted phenyl groups where the substituents are different, for example, 3-methyl-4-hydroxyphenyl, 3-chloro-4-hydroxyphenyl, 2-methoxy-4-bromophenyl, 4-ethyl-2-hydroxyphenyl, 3-hydroxy-4-nitrophenyl, 2-hydroxy-4-chlorophenyl, and the like, as well as trisubstituted phenyl groups where 15 the substituents are different, for example 3-methoxy-4-benzyloxy-6-methyl sulfonylamino, 3-methoxy-4-benzyloxy-6-phenyl sulfonylamino, and tetrasubstituted phenyl groups where the substituents are different such as 3-methoxy-4-benzyloxy-5-methyl-6-phenyl sulfonylamino. Particular substituted phenyl groups include the 2-chlorophenyl, 2-aminophenyl, 2-bromophenyl, 3-methoxyphenyl, 3-ethoxy-phenyl, 4-benzyloxyphenyl, 4-methoxyphenyl, 3-ethoxy-4-benzyloxyphenyl, 3,4-diethoxyphenyl, 3-methoxy-4-benzyloxyphenyl, 3-methoxy-4-(1-chloromethyl)benzyloxy-phenyl, 3-methoxy-4-(1-chloromethyl)benzyloxy -6- methyl sulfonyl aminophenyl groups. Fused aryl rings may also be substituted with any, for example 1, 2 or 3, of 20 the substituents specified herein in the same manner as substituted alkyl groups.

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“Carbocycl”, “carbocyclic”, “carbocycle” and “carbocyclo” alone and when used as a moiety in a complex group such as a carbocycloalkyl group, refers to a mono-, bi-, or tricyclic aliphatic

ring having 3 to 14 carbon atoms, for example 3 to 7 carbon atoms, which may be saturated or unsaturated, aromatic or non-aromatic. Particular saturated carbocyclic groups are cyclopropyl, cyclobutyl, cyclopentyl and cyclohexyl groups. A particular saturated carbocycle is cyclopropyl. Another particular saturated carbocycle is cyclohexyl. Particular unsaturated carbocycles are aromatic e.g. aryl groups as previously defined, for example phenyl. The terms “substituted carbocyclyl”, “carbocycle” and “carbocyclo” mean these groups substituted by the same substituents as the “substituted alkyl” group.

“Carboxy-protecting group” as used herein refers to one of the ester derivatives of the carboxylic acid group commonly employed to block or protect the carboxylic acid group while reactions are carried out on other functional groups on the compound. Examples of such carboxylic acid protecting groups include 4-nitrobenzyl, 4-methoxybenzyl, 3,4-dimethoxybenzyl, 2,4-dimethoxybenzyl, 2,4,6-trimethoxybenzyl, 2,4,6-trimethylbenzyl, pentamethylbenzyl, 3,4-methylenedioxybenzyl, benzhydryl, 4,4'-dimethoxybenzhydryl, 2,2',4,4'-tetramethoxybenzhydryl, alkyl such as t-butyl or t-amyl, trityl, 4-methoxytrityl, 4,4'-dimethoxytrityl, 4,4',4"-trimethoxytrityl, 2-phenylprop-2-yl, trimethylsilyl, t-butyldimethylsilyl, phenacyl, 2,2,2-trichloroethyl, beta-(trimethylsilyl)ethyl, beta-(di(n-butyl)methylsilyl)ethyl, p-toluenesulfonylethyl, 4-nitrobenzylsulfonylethyl, allyl, cinnamyl, 1-(trimethylsilylmethyl)prop-1-en-3-yl, and like moieties. The species of carboxy-protecting group employed is not critical so long as the derivatized carboxylic acid is stable to the condition of subsequent reaction(s) on other positions of the molecule and can be removed at the appropriate point without disrupting the remainder of the molecule. In particular, it is important not to subject a carboxy-protected molecule to strong nucleophilic bases, such as lithium hydroxide or NaOH, or reductive conditions employing highly activated metal hydrides such as LiAlH<sub>4</sub>. (Such harsh removal conditions are also to be avoided when removing amino-protecting groups and hydroxy-protecting groups, discussed below.) Particular carboxylic acid protecting groups are the alkyl (e.g. methyl, ethyl, t-butyl), allyl, benzyl and p-nitrobenzyl groups. Similar carboxy-protecting groups used in the cephalosporin, penicillin and peptide arts can also be used to protect a carboxy group substituents. Further examples of these groups are found in T. W. Greene and P. G. M. Wuts, “Protective Groups in Organic Synthesis”, 2<sup>nd</sup> ed., John Wiley & Sons, Inc., New York, N.Y., 1991, chapter 5; E. Haslam, “Protective Groups in Organic Chemistry”, J. G. W. McOmie, Ed., Plenum Press, New York, N.Y., 1973, Chapter 5, and T.W. Greene, “Protective Groups in Organic Synthesis”, John Wiley and Sons, New York, NY, 1981, Chapter 5. The term “protected carboxy” refers to a carboxy group substituted with one of the above carboxy-protecting groups.

“Guanidine” means the group -NH-C(NH)-NHR in which R is H, alkyl, a carbocycle, a heterocycle, carbocycle-substituted alkyl or heterocycle-substituted alkyl wherein the alkyl, alkoxy, carbocycle and heterocycle are as defined herein. A particular guanidine is the group -NH-C(NH)-NH<sub>2</sub>.

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“Hydroxy-protecting group” as used herein refers to a derivative of the hydroxy group commonly employed to block or protect the hydroxy group while reactions are carried out on other functional groups on the compound. Examples of such protecting groups include tetrahydropyranyloxy, benzoyl, acetoxy, carbamoyloxy, benzyl, and silylethers (e.g. TBS, 10 TBDPS) groups. Further examples of these groups are found in T. W. Greene and P. G. M. Wuts, “Protective Groups in Organic Synthesis”, 2<sup>nd</sup> ed., John Wiley & Sons, Inc., New York, NY, 1991, chapters 2-3; E. Haslam, “Protective Groups in Organic Chemistry”, J. G. W. McOmie, Ed., Plenum Press, New York, NY, 1973, Chapter 5, and T.W. Greene, “Protective Groups in Organic Synthesis”, John Wiley and Sons, New York, NY, 1981. The term “protected hydroxy” refers to 15 a hydroxy group substituted with one of the above hydroxy-protecting groups.

“Heterocyclic group”, “heterocyclic”, “heterocycle”, “heterocycl”, or “heterocyclo” alone and when used as a moiety in a complex group such as a heterocycloalkyl group, are used interchangeably and refer to any mono-, bi-, or tricyclic, saturated or unsaturated, aromatic (heteroaryl) or non-aromatic ring having the number of atoms designated, generally from 5 to 20 about 14 ring atoms, where the ring atoms are carbon and at least one heteroatom (nitrogen, sulfur or oxygen), for example 1 to 4 heteroatoms. Typically, a 5-membered ring has 0 to 2 double bonds and 6- or 7-membered ring has 0 to 3 double bonds and the nitrogen or sulfur heteroatoms may optionally be oxidized (e.g. SO, SO<sub>2</sub>), and any nitrogen heteroatom may optionally be quaternized. 25 Particular non-aromatic heterocycles are morpholiny (morpholino), pyrrolidiny, oxirany, oxetany, tetrahydrofurany, 2,3-dihydrofurany, 2H-pyranyl, tetrahydropyranyl, thiirany, thietany, tetrahydrothietany, aziridiny, azetidiny, 1-methyl-2-pyrrolyl, piperaziny and piperidiny. A “heterocycloalkyl” group is a heterocycle group as defined above covalently bonded to an alkyl group as defined above. Particular 5-membered heterocycles containing a 30 sulfur or oxygen atom and one to three nitrogen atoms are thiazolyl, in particular thiazol-2-yl and thiazol-2-yl N-oxide, thiadiazolyl, in particular 1,3,4-thiadiazol-5-yl and 1,2,4-thiadiazol-5-yl, oxazolyl, for example oxazol-2-yl, and oxadiazolyl, such as 1,3,4-oxadiazol-5-yl, and 1,2,4-oxadiazol-5-yl. Particular 5-membered ring heterocycles containing 2 to 4 nitrogen atoms include 35 imidazolyl, such as imidazol-2-yl; triazolyl, such as 1,3,4-triazol-5-yl; 1,2,3-triazol-5-yl, 1,2,4-triazol-5-yl, and tetrazolyl, such as 1H-tetrazol-5-yl. Particular benzo-fused 5-membered heterocycles are benzoxazol-2-yl, benzthiazol-2-yl and benzimidazol-2-yl. Particular 6-membered

heterocycles contain one to three nitrogen atoms and optionally a sulfur or oxygen atom, for example pyridyl, such as pyrid-2-yl, pyrid-3-yl, and pyrid-4-yl; pyrimidyl, such as pyrimid-2-yl and pyrimid-4-yl; triazinyl, such as 1,3,4-triazin-2-yl and 1,3,5-triazin-4-yl; pyridazinyl, in particular pyridazin-3-yl, and pyrazinyl. The pyridine N-oxides and pyridazine N-oxides and the pyridyl, 5 pyrimid-2-yl, pyrimid-4-yl, pyridazinyl and the 1,3,4-triazin-2-yl groups, are a particular group. Substituents for “optionally substituted heterocycles”, and further examples of the 5- and 6-membered ring systems discussed above can be found in W. Druckheimer *et al.*, U.S. Patent No. 10 4,278,793. In a particular embodiment, such optionally substituted heterocycle groups are substituted with hydroxyl, alkyl, alkoxy, acyl, halogen, mercapto, oxo, carboxyl, acyl, halo-substituted alkyl, amino, cyano, nitro, amidino and guanidino.

“Heteroaryl” alone and when used as a moiety in a complex group such as a heteroaralkyl group, refers to any mono-, bi-, or tricyclic aromatic ring system having the number of atoms designated where at least one ring is a 5-, 6- or 7-membered ring containing from one to four heteroatoms 15 selected from the group nitrogen, oxygen, and sulfur, and in a particular embodiment at least one heteroatom is nitrogen (*Lang's Handbook of Chemistry, supra*). Included in the definition are any bicyclic groups where any of the above heteroaryl rings are fused to a benzene ring. Particular heteroaryls incorporate a nitrogen or oxygen heteroatom. The following ring systems are examples of the heteroaryl (whether substituted or unsubstituted) groups denoted by the term 20 “heteroaryl”: thienyl, furyl, imidazolyl, pyrazolyl, thiazolyl, isothiazolyl, oxazolyl, isoxazolyl, triazolyl, thiadiazolyl, oxadiazolyl, tetrazolyl, thiatriazolyl, oxatriazolyl, pyridyl, pyrimidyl, pyrazinyl, pyridazinyl, thiazinyl, oxazinyl, triazinyl, thiadiazinyl, oxadiazinyl, dithiazinyl, dioxazinyl, oxathiazinyl, tetrazinyl, thiatriazinyl, oxatriazinyl, dithiadiazinyl, imidazolinyl, dihydropyrimidyl, tetrahydropyrimidyl, tetrazolo[1,5-b]pyridazinyl and purinyl, as well as benzo-fused derivatives, for example benzoxazolyl, benzofuryl, benzothiazolyl, benzothiadiazolyl, 25 benzotriazolyl, benzoimidazolyl and indolyl. A particular “heteroaryl” is: 1,3-thiazol-2-yl, 4-(carboxymethyl)-5-methyl-1,3-thiazol-2-yl, 4-(carboxymethyl)-5-methyl-1,3-thiazol-2-yl sodium salt, 1,2,4-thiadiazol-5-yl, 3-methyl-1,2,4-thiadiazol-5-yl, 1,3,4-triazol-5-yl, 2-methyl-1,3,4-triazol-5-yl, 2-hydroxy-1,3,4-triazol-5-yl, 2-carboxy-4-methyl-1,3,4-triazol-5-yl sodium salt, 2-30 carboxy-4-methyl-1,3,4-triazol-5-yl, 1,3-oxazol-2-yl, 1,3,4-oxadiazol-5-yl, 2-methyl-1,3,4-oxadiazol-5-yl, 2-(hydroxymethyl)-1,3,4-oxadiazol-5-yl, 1,2,4-oxadiazol-5-yl, 1,3,4-thiadiazol-5-yl, 2-thiol-1,3,4-thiadiazol-5-yl, 2-(methylthio)-1,3,4-thiadiazol-5-yl, 2-amino-1,3,4-thiadiazol-5-yl, 1H-tetrazol-5-yl, 1-methyl-1H-tetrazol-5-yl, 1-(1-(dimethylamino)eth-2-yl)-1H-tetrazol-5-yl, 1-(carboxymethyl)-1H-tetrazol-5-yl, 1-(carboxymethyl)-1H-tetrazol-5-yl sodium salt, 1-35 (methylsulfonic acid)-1H-tetrazol-5-yl, 1-(methylsulfonic acid)-1H-tetrazol-5-yl sodium salt, 2-methyl-1H-tetrazol-5-yl, 1,2,3-triazol-5-yl, 1-methyl-1,2,3-triazol-5-yl, 2-methyl-1,2,3-triazol-5-

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yl, 4-methyl-1,2,3-triazol-5-yl, pyrid-2-yl N-oxide, 6-methoxy-2-(n-oxide)-pyridaz-3-yl, 6-hydroxypyridaz-3-yl, 1-methylpyrid-2-yl, 1-methylpyrid-4-yl, 2-hydroxypyrimid-4-yl, 1,4,5,6-tetrahydro-5,6-dioxo-4-methyl-as-triazin-3-yl, 1,4,5,6-tetrahydro-4-(formylmethyl)-5,6-dioxo-as-triazin-3-yl, 2,5-dihydro-5-oxo-6-hydroxy-as-triazin-3-yl, 2,5-dihydro-5-oxo-6-hydroxy-as-triazin-3-yl sodium salt, 2,5-dihydro-5-oxo-6-hydroxy-2-methyl-as-triazin-3-yl sodium salt, 2,5-dihydro-5-oxo-6-hydroxy-2-methyl-as-triazin-3-yl, 2,5-dihydro-5-oxo-6-methoxy-2-methyl-as-triazin-3-yl, 2,5-dihydro-5-oxo-as-triazin-3-yl, 2,5-dihydro-5-oxo-2-methyl-as-triazin-3-yl, 2,5-dihydro-5-oxo-2,6-dimethyl-as-triazin-3-yl, tetrazolo[1,5-b]pyridazin-6-yl and 8-aminotetrazolo[1,5-b]-pyridazin-6-yl. An alternative group of “heteroaryl” includes; 4-(carboxymethyl)-5-methyl-1,3-thiazol-2-yl, 4-(carboxymethyl)-5-methyl-1,3-thiazol-2-yl sodium salt, 1,3,4-triazol-5-yl, 2-methyl-1,3,4-triazol-5-yl, 1H-tetrazol-5-yl, 1-methyl-1H-tetrazol-5-yl, 1-(1-(dimethylamino)eth-2-yl)-1H-tetrazol-5-yl, 1-(carboxymethyl)-1H-tetrazol-5-yl, 1-(carboxymethyl)-1H-tetrazol-5-yl sodium salt, 1-(methylsulfonic acid)-1H-tetrazol-5-yl, 1-(methylsulfonic acid)-1H-tetrazol-5-yl sodium salt, 1,2,3-triazol-5-yl, 1,4,5,6-tetrahydro-5,6-dioxo-4-methyl-as-triazin-3-yl, 1,4,5,6-tetrahydro-4-(2-formylmethyl)-5,6-dioxo-as-triazin-3-yl, 2,5-dihydro-5-oxo-6-hydroxy-2-methyl-as-triazin-3-yl sodium salt, 2,5-dihydro-5-oxo-6-hydroxy-2-methyl-as-triazin-3-yl, tetrazolo[1,5-b]pyridazin-6-yl, and 8-aminotetrazolo[1,5-b]pyridazin-6-yl. Heteroaryl groups are optionally substituted as described for heterocycles.

20 “Inhibitor” means a compound which reduces or prevents the binding of IAP proteins to caspase proteins or which reduces or prevents the inhibition of apoptosis by an IAP protein. Alternatively, “inhibitor” means a compound which prevents the binding interaction of CIAP-1, C-IAP-2, X-IAP with caspases or the binding interaction of ML-IAP with SMAC.

25 “Optionally substituted” unless otherwise specified means that a group may be unsubstituted or substituted by one or more (e.g. 0, 1, 2, 3 or 4) of the substituents listed for that group in which said substituents may be the same or different. In an embodiment an optionally substituted group has 1 substituent. In another embodiment an optionally substituted group has 2 substituents. In another embodiment an optionally substituted group has 3 substituents.

30

“Pharmaceutically acceptable salts” include both acid and base addition salts. “Pharmaceutically acceptable acid addition salt” refers to those salts which retain the biological effectiveness and properties of the free bases and which are not biologically or otherwise undesirable, formed with inorganic acids such as hydrochloric acid, hydrobromic acid, sulfuric acid, nitric acid, carbonic acid, phosphoric acid and the like, and organic acids may be selected from aliphatic, cycloaliphatic, aromatic, araliphatic, heterocyclic, carboxylic, and sulfonic classes of organic

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acids such as formic acid, acetic acid, propionic acid, glycolic acid, gluconic acid, lactic acid, pyruvic acid, oxalic acid, malic acid, maleic acid, maloneic acid, succinic acid, fumaric acid, tartaric acid, citric acid, aspartic acid, ascorbic acid, glutamic acid, anthranilic acid, benzoic acid, cinnamic acid, mandelic acid, embonic acid, phenylacetic acid, methanesulfonic acid, 5 ethanesulfonic acid, p-toluenesulfonic acid, salicyclic acid and the like.

“Pharmaceutically acceptable base addition salts” include those derived from inorganic bases such as sodium, potassium, lithium, ammonium, calcium, magnesium, iron, zinc, copper, manganese, aluminum salts and the like. Particularly base addition salts are the ammonium, 10 potassium, sodium, calcium and magnesium salts. Salts derived from pharmaceutically acceptable organic nontoxic bases includes salts of primary, secondary, and tertiary amines, substituted amines including naturally occurring substituted amines, cyclic amines and basic ion exchange resins, such as isopropylamine, trimethylamine, diethylamine, triethylamine, tripropylamine, ethanolamine, 2-diethylaminoethanol, trimethamine, dicyclohexylamine, lysine, 15 arginine, histidine, caffeine, procaine, hydrabamine, choline, betaine, ethylenediamine, glucosamine, methylglucamine, theobromine, purines, piperazine, piperidine, N-ethylpiperidine, polyamine resins and the like. Particularly organic non-toxic bases are isopropylamine, diethylamine, ethanolamine, trimethamine, dicyclohexylamine, choline, and caffeine.

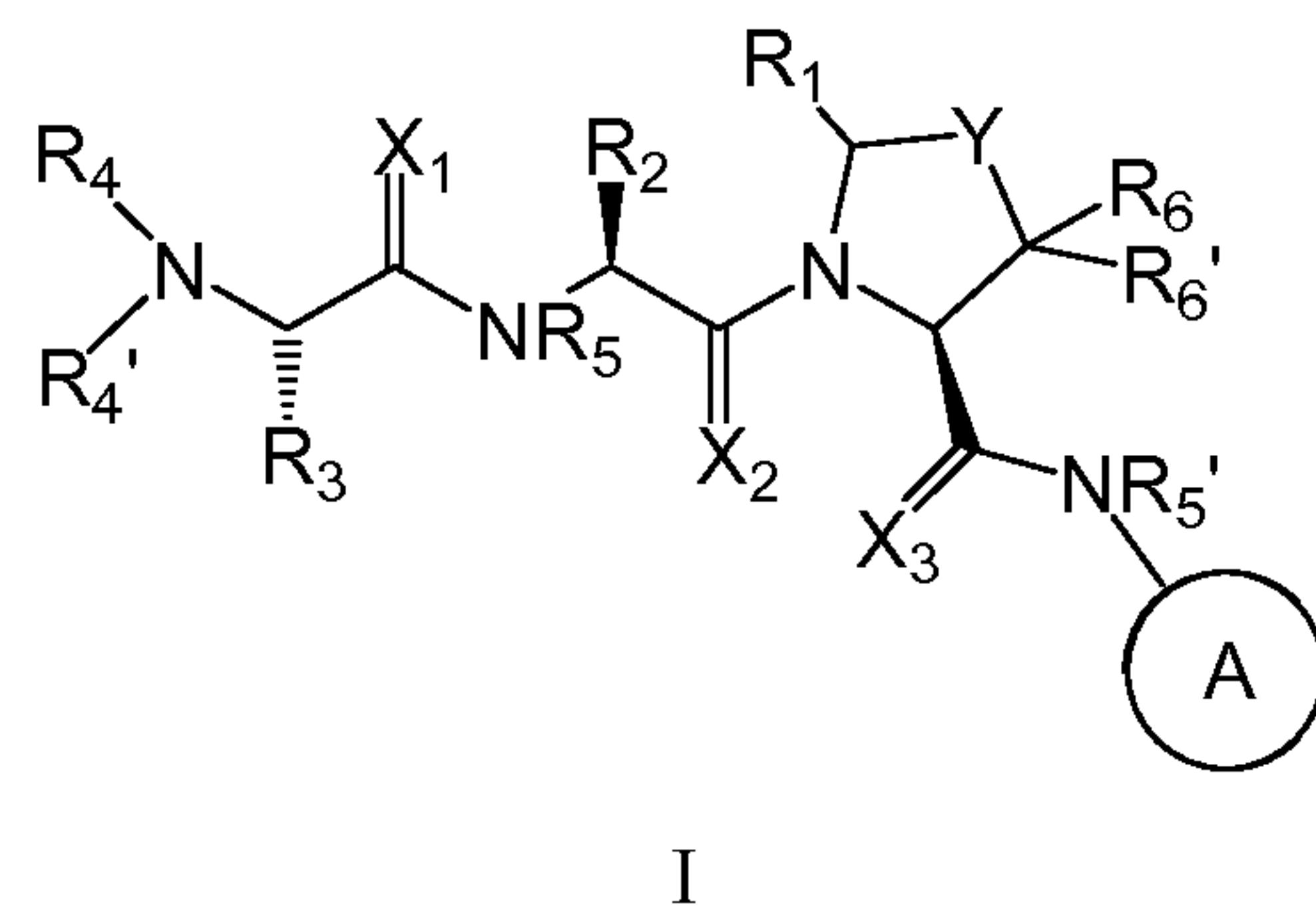
20 “Sulfonyl” means a  $-\text{SO}_2\text{-R}$  group in which R is H, alkyl, a carbocycle, a heterocycle, carbocycle-substituted alkyl or heterocycle-substituted alkyl wherein the alkyl, alkoxy, carbocycle and heterocycle are as defined herein. Particular sulfonyl groups are alkylsulfonyl (i.e.  $-\text{SO}_2\text{-alkyl}$ ), for example methylsulfonyl; arylsulfonyl, for example phenylsulfonyl; aralkylsulfonyl, for example benzylsulfonyl.

25

The phrase “or salts or solvates thereof” as used herein means that compounds of the inventions may exist in one or a mixture of salts and solvate forms. For example a compound of the invention may be substantially pure in one particular salt or solvate form or else may be mixtures of two or more salt or solvate forms.

30

The present invention provides novel compounds having the general formula I:



I

wherein  $X_1$ ,  $X_2$ ,  $X_3$ ,  $Y$ ,  $A$ ,  $R_1$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $R_4'$ ,  $R_5$ ,  $R_5'$ ,  $R_6$  and  $R_6'$  are as described herein.

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In a particular embodiment, compounds of the formula I are other than:

L-alanyl-L-valyl-N-phenyl-L-prolinamide;

L-alanyl-L-phenylalanyl-N-(4-nitrophenyl)-L-prolinamide;

L-alanyl-L-alanyl-N-(4-nitrophenyl)-L-prolinamide;

10 N-acetyl-L-alanyl-L-phenylalanyl-N-(2-chloro-3-pyridinyl)-L-prolinamide;

L-alanyl-L-alanyl-N-(4-nitrophenyl)-L-prolinamide;

N-(4-methoxy-1,4-dioxobutyl)-L-alanyl-L-alanyl-N-[2-[[[phenylamino)carbonyl]-oxy]phenyl]-L-prolinamide;

N-(4-methoxy-1,4-dioxobutyl)-L-alanyl-L-alanyl-N-[4-[[[(1-methylethyl)amino]-

15 carbonyl]oxy]phenyl]-L-prolinamide;

N-(4-methoxy-1,4-dioxobutyl)-L-alanyl-L-alanyl-N-[4-[[[(dimethylamino)carbonyl]oxy]-phenyl]-L-prolinamide;

N-(4-methoxy-1,4-dioxobutyl)-L-alanyl-L-alanyl-N-[4-[[[(phenylamino)carbonyl]-oxy]phenyl]-L-prolinamide;

20 N-(3-carboxy-1-oxopropyl)-L-alanyl-L-alanyl-N-(4-nitrophenyl)-L-prolinamide; and

N-[(phenylmethoxy)carbonyl]-L-alanyl-L-alanyl-N-(4-nitrophenyl)-L-prolinamide.

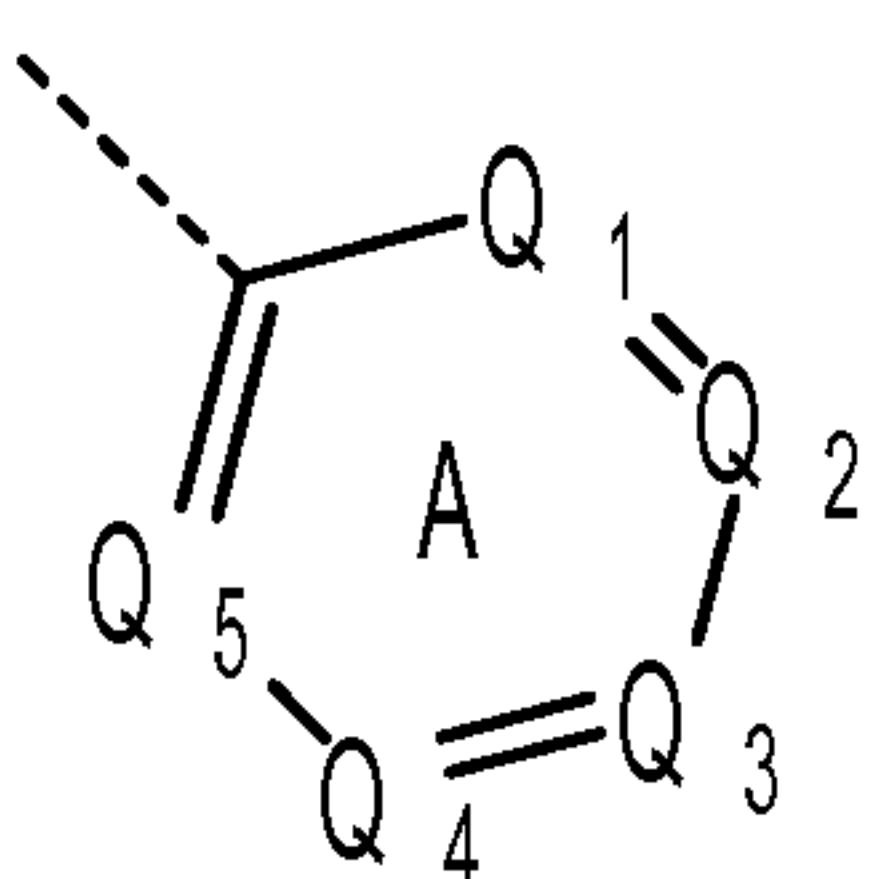
25  $X_1$  and  $X_2$  are each independently O or S. In a preferred embodiment,  $X_1$  and  $X_2$  are both O. In another preferred embodiment  $X_1$  and  $X_2$  are both S. In another preferred embodiment,  $X_1$  is S while  $X_2$  is O. In another preferred embodiment,  $X_1$  is O while  $X_2$  is S.

30  $Y$  is  $(CH_7)_n$ , O or S; wherein n is 1 or 2 and  $R_7$  is H, halogen, alkyl, aryl, aralkyl, amino, arylamino, alkylamino, aralkylamino, alkoxy, aryloxy or aralkyloxy. In a particular embodiment,  $Y$  is  $CH_2$ . In a particular embodiment n is 1. In a particular embodiment n is 1 and  $Y$  is  $CH_7$

wherein  $R_7$  is aralkyloxy, for example benzyloxy. In a particular embodiment  $n$  is 1 and  $Y$  is  $CHR_7$  wherein  $R_7$  is F. In a particular embodiment  $n$  is 1 and  $Y$  is  $CHR_7$  wherein  $R_7$  is aralkylamino, for example benzylamino. In another particular embodiment  $Y$  is O. In another particular embodiment  $Y$  is S.

5

Ring 'A' is a 6-member aromatic ring or a heteroaromatic ring comprising 1 to 4 nitrogen heteroatoms optionally substituted with amino, hydroxyl, mercapto, halogen, carboxyl, amidino, guanidino, alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, alkoxy carbonylamino, cycloalkyl, alkylthio, alkylsulfinyl, alkylsulfonyl, aminosulfonyl, alkylaminosulfonyl, alkylsulfonylamino or a heterocycle; wherein each alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, cycloalkyl and heterocycle substitution is optionally substituted with hydroxyl, halogen, mercapto, carboxyl, alkyl, alkoxy, haloalkyl, amino, nitro, cyano, cycloalkyl, aryl or a heterocycle. In an embodiment, ring A is optionally substituted with amino, hydroxyl, mercapto, halogen, carboxyl, amidino, guanidino, alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, cycloalkyl or a heterocycle; wherein each alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, cycloalkyl and heterocycle substitution is optionally substituted with hydroxyl, alkoxy, halogen, mercapto, carboxyl, alkyl, haloalkyl, amino, nitro, cycloalkyl, aryl or a heterocycle. In a particular embodiment, ring A is a 6-member aromatic ring optionally substituted as described above. In a particular embodiment, ring A is a 6-member heteroaromatic ring having 1 nitrogen heteroatom and optionally substituted as described above. In a particular embodiment, ring A is a 6-member heteroaromatic ring having 2 nitrogen heteroatoms and optionally substituted as described above. In a particular embodiment ring A has the formula II:



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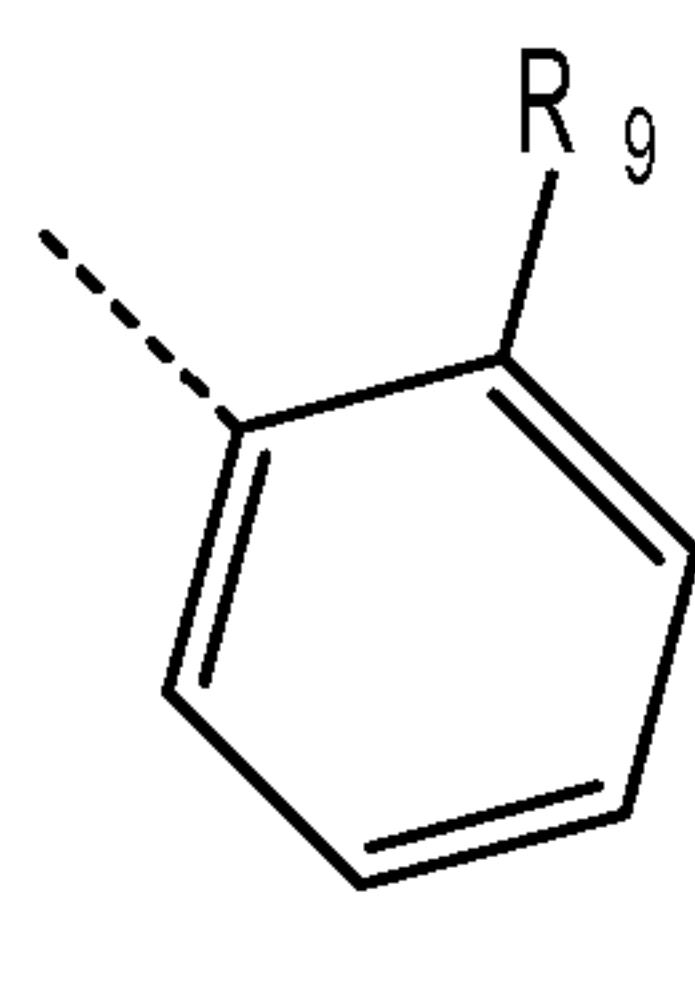
II

wherein  $Q_1$ ,  $Q_2$ ,  $Q_3$ ,  $Q_4$  and  $Q_5$  are independently  $CR_9$  or N; wherein  $R_9$  is H, amino, hydroxyl, mercapto, halogen, carboxyl, amidino, guanidino, alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, cycloalkyl or a heterocycle; wherein each alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, cycloalkyl and heterocycle substitution is optionally substituted with hydroxyl, halogen, mercapto, carboxyl, alkyl, haloalkyl, amino, nitro, cycloalkyl, aryl or a heterocycle. In a particular embodiment ring A is a group of formula II wherein  $Q_4$  is  $CR_9$  wherein  $R_9$  is aryl or

heteroaryl optionally substituted as described above. In a particular embodiment ring A is a group of formula II wherein each of Q<sub>1</sub>, Q<sub>2</sub>, Q<sub>3</sub>, Q<sub>4</sub> and Q<sub>5</sub> are CR<sub>9</sub> wherein each R<sub>9</sub> is independent and is defined above. In a particular embodiment, ring A is a group of formula II wherein Q<sub>1</sub> is N and Q<sub>2</sub>, Q<sub>3</sub>, Q<sub>4</sub> and Q<sub>5</sub> are each independently CR<sub>9</sub>. In another embodiment, ring A is a group of formula II wherein Q<sub>2</sub> is N and Q<sub>1</sub>, Q<sub>3</sub>, Q<sub>4</sub> and Q<sub>5</sub> are each independently CR<sub>9</sub>. In another embodiment, ring A is a group of formula II wherein Q<sub>3</sub> is N and Q<sub>1</sub>, Q<sub>2</sub>, Q<sub>4</sub> and Q<sub>5</sub> are each independently CR<sub>9</sub>. In another embodiment, ring A is a group of formula II wherein Q<sub>1</sub> and Q<sub>3</sub> are both N and Q<sub>2</sub>, Q<sub>4</sub> and Q<sub>5</sub> are each independently CR<sub>9</sub>. In another embodiment, ring A is a group of formula II wherein Q<sub>1</sub> and Q<sub>4</sub> are both N and Q<sub>2</sub>, Q<sub>3</sub> and Q<sub>5</sub> are each independently CR<sub>9</sub>. In another embodiment, ring A is a group of formula II wherein Q<sub>1</sub> and Q<sub>5</sub> are both N and Q<sub>2</sub>, Q<sub>3</sub> and Q<sub>4</sub> are each independently CR<sub>9</sub>. In another embodiment, ring A is a group of formula II wherein Q<sub>2</sub> and Q<sub>4</sub> are both N and Q<sub>1</sub>, Q<sub>3</sub> and Q<sub>5</sub> are each independently CR<sub>9</sub>. In another embodiment, ring A is a group of formula II wherein Q<sub>1</sub>, Q<sub>3</sub> and Q<sub>5</sub> are each N and Q<sub>2</sub> and Q<sub>4</sub> are both independently CR<sub>9</sub>.

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In another embodiment, ring A is phenyl optionally substituted with halogen or hydroxyl, alkyl, alkoxy, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, a heterocycle or a heterocycle-alkyl optionally substituted with halogen hydroxyl, mercapto, carboxyl, alkyl, haloalkyl, amino, nitro, aryl or heteroaryl. In an embodiment ring A is phenyl substituted with an aryl or heteroaryl group in which said aryl and heteroaryl groups are optionally substituted with hydroxyl, halogen, alkyl and alkoxy. In a particular embodiment, ring A is the group of formula IIa



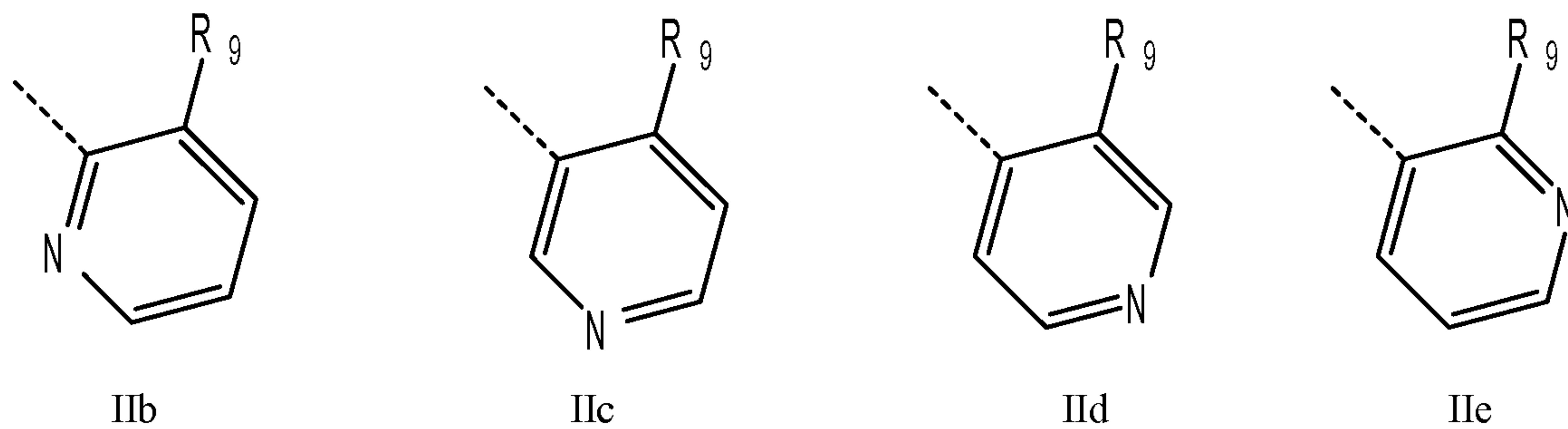
IIa

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wherein R<sub>9</sub> is as defined above. In a particular embodiment, R<sub>9</sub> is H, halogen or hydroxyl, alkyl, alkoxy, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, a heterocycle or a heterocycle-alkyl optionally substituted with halogen hydroxyl, mercapto, carboxyl, alkyl, haloalkyl, amino, nitro, aryl or heteroaryl. In another particular embodiment, R<sub>9</sub> is H, halogen or hydroxyl, alkoxy, aryl or heteroaryl wherein said aryl and heteroaryl are optionally substituted with halogen, hydroxyl and alkoxy. In another particular embodiment, R<sub>9</sub> is H, fluoro, chloro, methoxy, imidazolyl (e.g.

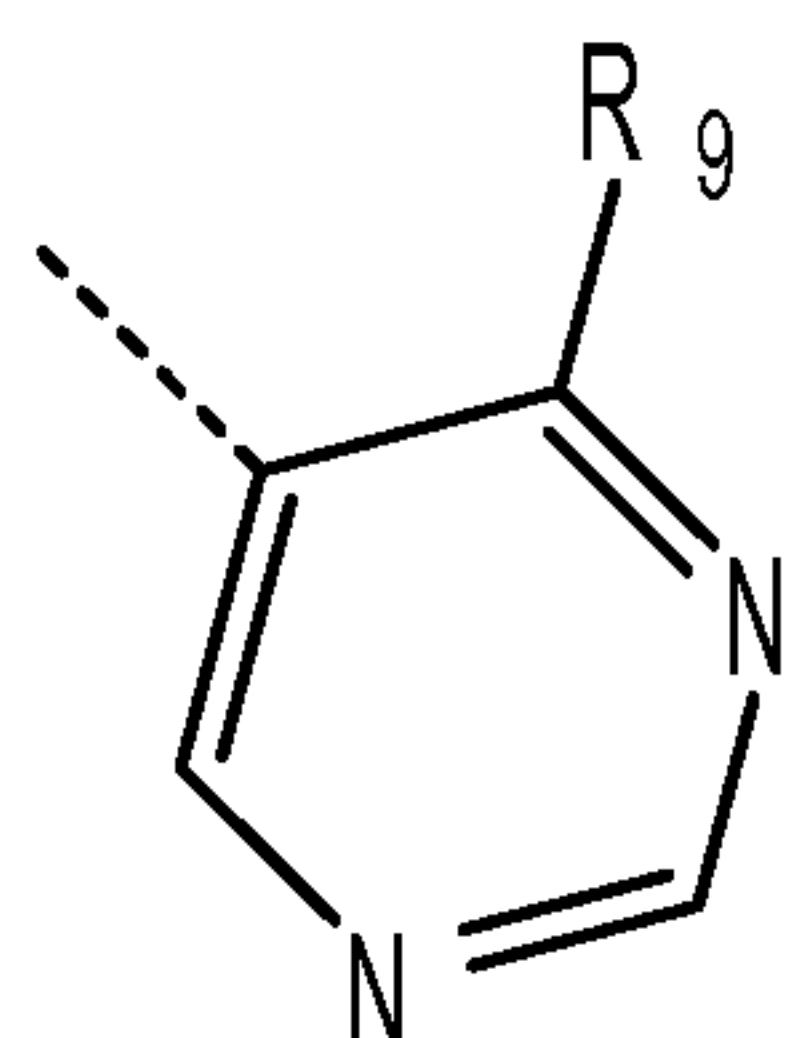
imidazol-2-yl), phenyl, o-chlorophenyl, m-chlorophenyl or pyrimidinyl (e.g. pyrimidin-2-yl or pyrimidin-5-yl).

In another embodiment ring A is pyridinyl group optionally substituted with hydroxyl, alkyl, alkoxy, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, a heterocycle or a heterocycle-alkyl optionally substituted with halogen hydroxyl, mercapto, carboxyl, alkyl, haloalkyl, amino, nitro, aryl or heteroaryl. In an embodiment ring A is substituted with an aryl or heteroaryl group. In a particular embodiment, ring A is the group of formula IIb - IIIe:

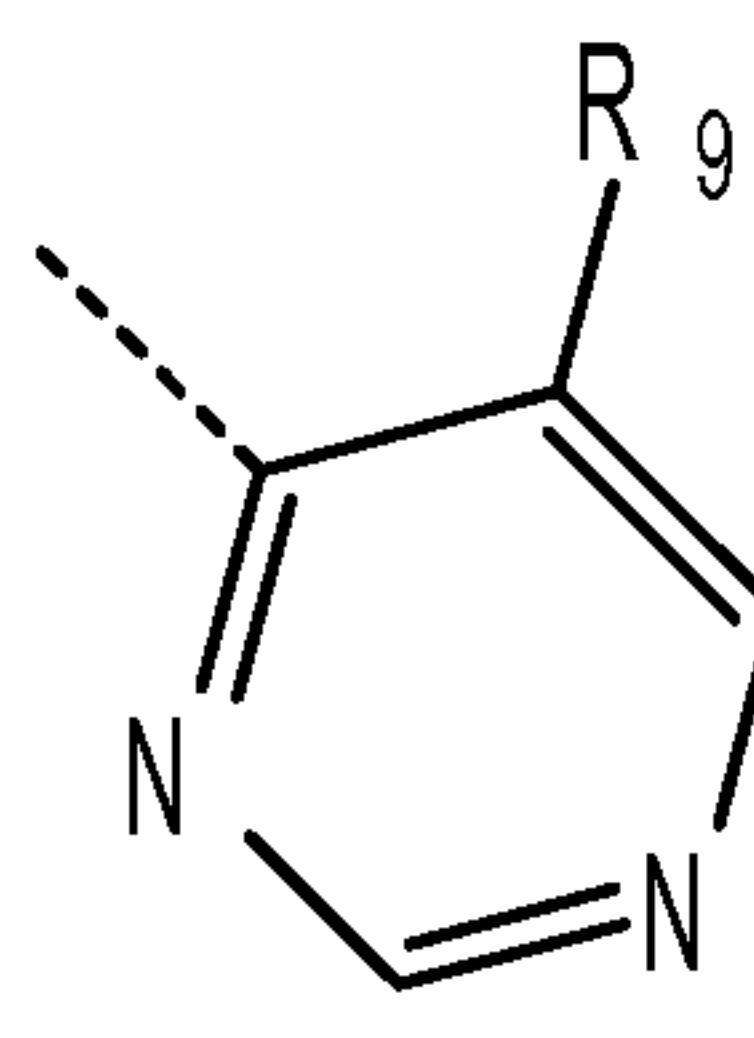


wherein R<sub>9</sub> is as defined above. In a particular embodiment, R<sub>9</sub> is H, halogen or hydroxyl, alkyl, alkoxy, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, a heterocycle or a heterocycle-alkyl optionally substituted with halogen hydroxyl, mercapto, carboxyl, alkyl, haloalkyl, amino, nitro, aryl or heteroaryl. In another particular embodiment, R<sub>9</sub> is H, halogen or hydroxyl, alkoxy, aryl or heteroaryl wherein said aryl and heteroaryl are optionally substituted with halogen, hydroxyl and alkoxy. In another particular embodiment, R<sub>9</sub> is H, fluoro, chloro, methoxy, imidazolyl (e.g. imidazol-2-yl), phenyl, o-chlorophenyl, m-chlorophenyl or pyrimidinyl (e.g. pyrimidin-2-yl or pyrimidin-5-yl). In a particular embodiment, R<sub>9</sub> is H, hydroxyl or phenyl.

In another embodiment ring A is pyrimidinyl group optionally substituted with hydroxyl, alkyl, alkoxy, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, a heterocycle or a heterocycle-alkyl optionally substituted with halogen hydroxyl, mercapto, carboxyl, alkyl, haloalkyl, amino, nitro, aryl or heteroaryl. In an embodiment ring A is substituted with an aryl or heteroaryl group. In a particular embodiment, ring A is the group of formula IIIf or IIg:



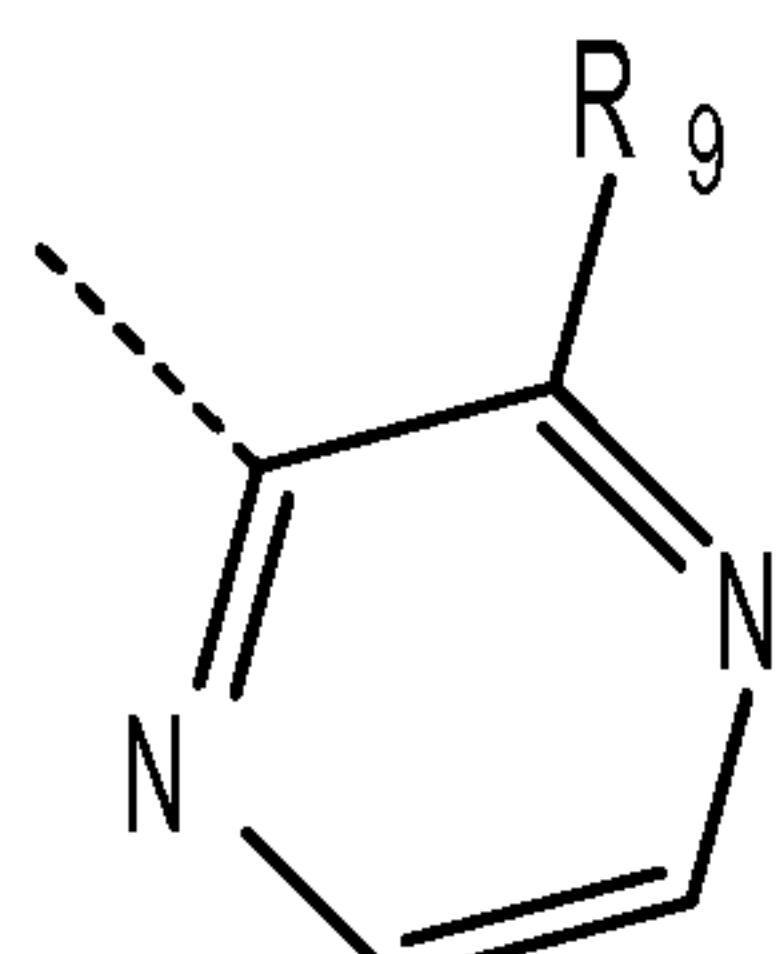
IIIf



IIlg

5 wherein R<sub>9</sub> is as defined above. In a particular embodiment, R<sub>9</sub> is H, halogen or hydroxyl, alkyl, alkoxy, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, a heterocycle or a heterocycle-alkyl optionally substituted with halogen hydroxyl, mercapto, carboxyl, alkyl, haloalkyl, amino, nitro, aryl or heteroaryl. In another particular embodiment, R<sub>9</sub> is H, halogen or hydroxyl, alkoxy, aryl or heteroaryl wherein said aryl and heteroaryl are optionally substituted with halogen, hydroxyl and 10 alkoxy. In another particular embodiment, R<sub>9</sub> is H, fluoro, chloro, methoxy, imidazolyl (e.g. imidazol-2-yl), phenyl, o-chlorophenyl, m-chlorophenyl or pyrimidinyl (e.g. pyrimidin-2-yl or pyrimidin-5-yl). In a particular embodiment, R<sub>9</sub> is H, hydroxyl or phenyl.

In another embodiment ring A is pyrazinyl group optionally substituted with hydroxyl, alkyl, 15 alkoxy, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, a heterocycle or a heterocycle-alkyl optionally substituted with halogen hydroxyl, mercapto, carboxyl, alkyl, haloalkyl, amino, nitro, aryl or heteroaryl. In an embodiment ring A is substituted with an aryl or heteroaryl group. In a particular embodiment, ring A is the group of formula IIIh:



20

IIIh

wherein R<sub>9</sub> is as defined above. In a particular embodiment, R<sub>9</sub> is H, halogen or hydroxyl, alkyl, alkoxy, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, a heterocycle or a heterocycle-alkyl optionally substituted with halogen hydroxyl, mercapto, carboxyl, alkyl, haloalkyl, amino, nitro, aryl or heteroaryl. In another particular embodiment, R<sub>9</sub> is H, halogen or hydroxyl, alkoxy, aryl or heteroaryl wherein said aryl and heteroaryl are optionally substituted with halogen, hydroxyl and 25 alkoxy. In another particular embodiment, R<sub>9</sub> is H, fluoro, chloro, methoxy, imidazolyl (e.g.

imidazol-2-yl), phenyl, o-chlorophenyl, m-chlorophenyl or pyrimidinyl (e.g. pyrimidin-2-yl or pyrimidin-5-yl). In a particular embodiment, R<sub>9</sub> is H, hydroxyl or phenyl.

R<sub>1</sub> is H or R<sub>1</sub> and R<sub>2</sub> together form a 5-8 member ring. In a particular embodiment, R<sub>1</sub> is H. In a particular embodiment, R<sub>1</sub> and R<sub>2</sub> together form a 6-member ring. In a particular embodiment, R<sub>1</sub> and R<sub>2</sub> together form a 7-member ring. In another particular embodiment, R<sub>1</sub> and R<sub>2</sub> together form an 8-member ring. In another particular embodiment, R<sub>1</sub> and R<sub>2</sub> together form a 7-member ring while Y is S. In another particular embodiment, R<sub>1</sub> is H, while Y is CH<sub>2</sub>. In another particular embodiment, R<sub>1</sub> is H, while Y is S. In another particular embodiment, R<sub>1</sub> is H, while Y is O.

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R<sub>2</sub> is alkyl, cycloalkyl, cycloalkylalkyl, aryl, aralkyl, a heterocycle or heterocyclalkyl. In a preferred embodiment R<sub>2</sub> is alkyl or cycloalkyl. In an embodiment, each R<sub>2</sub> group is each optionally substituted with hydroxyl, mercapto, halogen, amino, carboxyl, alkyl, haloalkyl, alkoxy or alkylthio; In an embodiment of the invention R<sub>2</sub> is t-butyl, isopropyl, cyclohexyl, cyclopentyl or phenyl. In a particular embodiment, R<sub>2</sub> is cyclohexyl. In another embodiment R<sub>2</sub> is tetrahydropyran-4-yl. In another particular embodiment, R<sub>2</sub> is isopropyl (i.e. the valine amino acid side chain). In another particular embodiment, R<sub>2</sub> is t-butyl. In a particular embodiment R<sub>2</sub> is oriented such that the amino acid, or amino acid analogue, which it comprises is in the L-configuration.

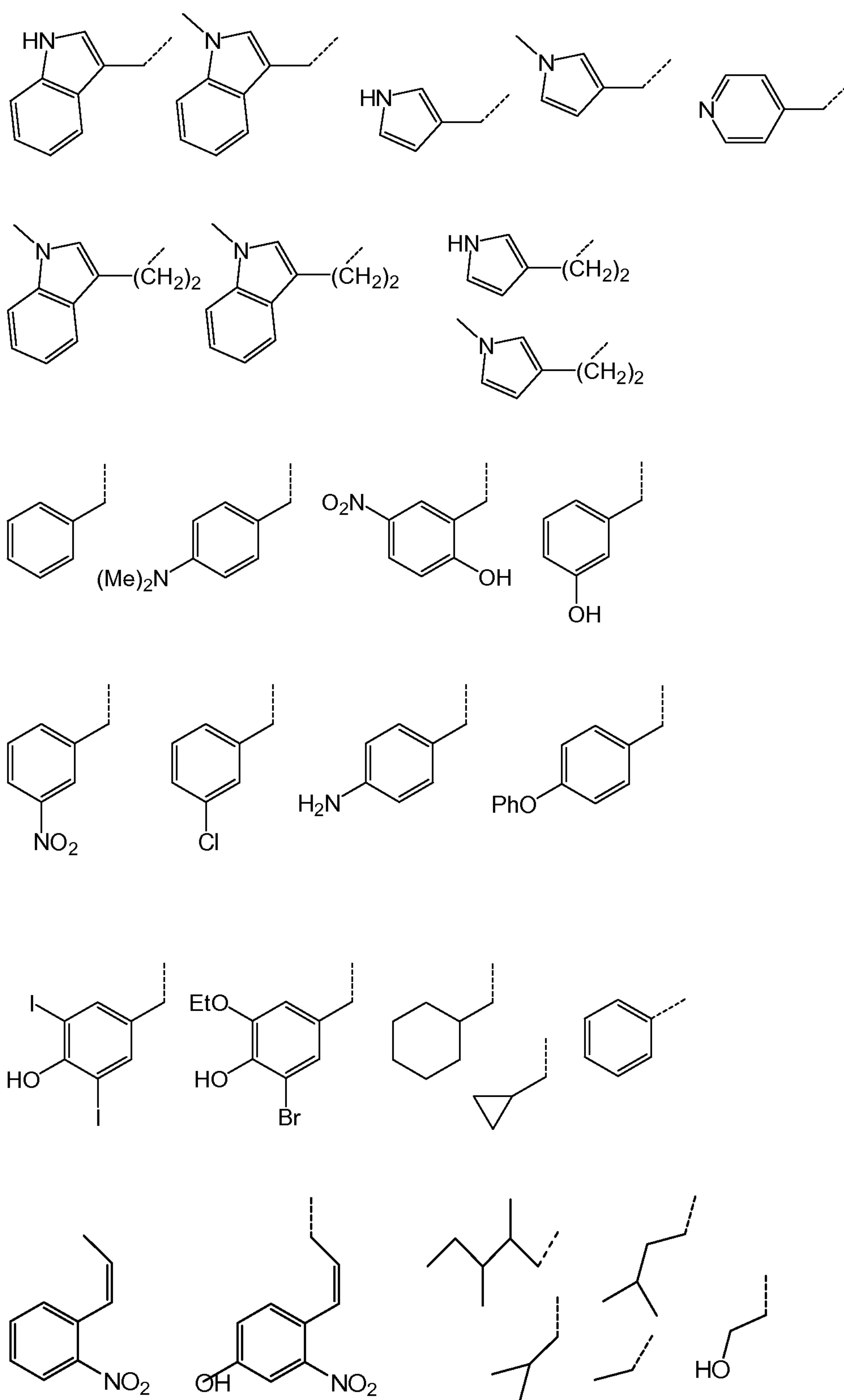
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R<sub>3</sub> is H or alkyl. In a preferred embodiment R<sub>3</sub> is H or methyl, ethyl, propyl or isopropyl. In a particularly preferred embodiment R<sub>3</sub> is H or methyl. In a most preferred embodiment R<sub>3</sub> is methyl. In another particular embodiment, R<sub>3</sub> is t-butyl. In a preferred embodiment R<sub>3</sub> is oriented such that the amino acid, or amino acid analogue, which it comprises is in the L-configuration.

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R<sub>4</sub> and R<sub>4</sub>' are independently H, hydroxyl, amino, alkyl, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, heteroaryl, or heteroarylalkyl wherein each alkyl, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, heteroaryl and heteroarylalkyl is optionally substituted with halogen, hydroxyl, mercapto, carboxyl, alkyl, alkoxy, amino and nitro. In a particular embodiment R<sub>4</sub> and R<sub>4</sub>' are both H. In another particular embodiment R<sub>4</sub> is methyl and R<sub>4</sub>' is H. In a particular embodiment one of R<sub>4</sub> and R<sub>4</sub>' is hydroxyl (OH) while the other is H. In another embodiment, one of R<sub>4</sub> and R<sub>4</sub>' is amino, such as NH<sub>2</sub>, NHMe and NHEt, while the other is H. In a particular embodiment, R<sub>4</sub>' is H and R<sub>4</sub> is H, alkyl, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, heteroaryl or heteroarylalkyl. In a particular embodiment R<sub>4</sub> is a group selected from the group consisting of:

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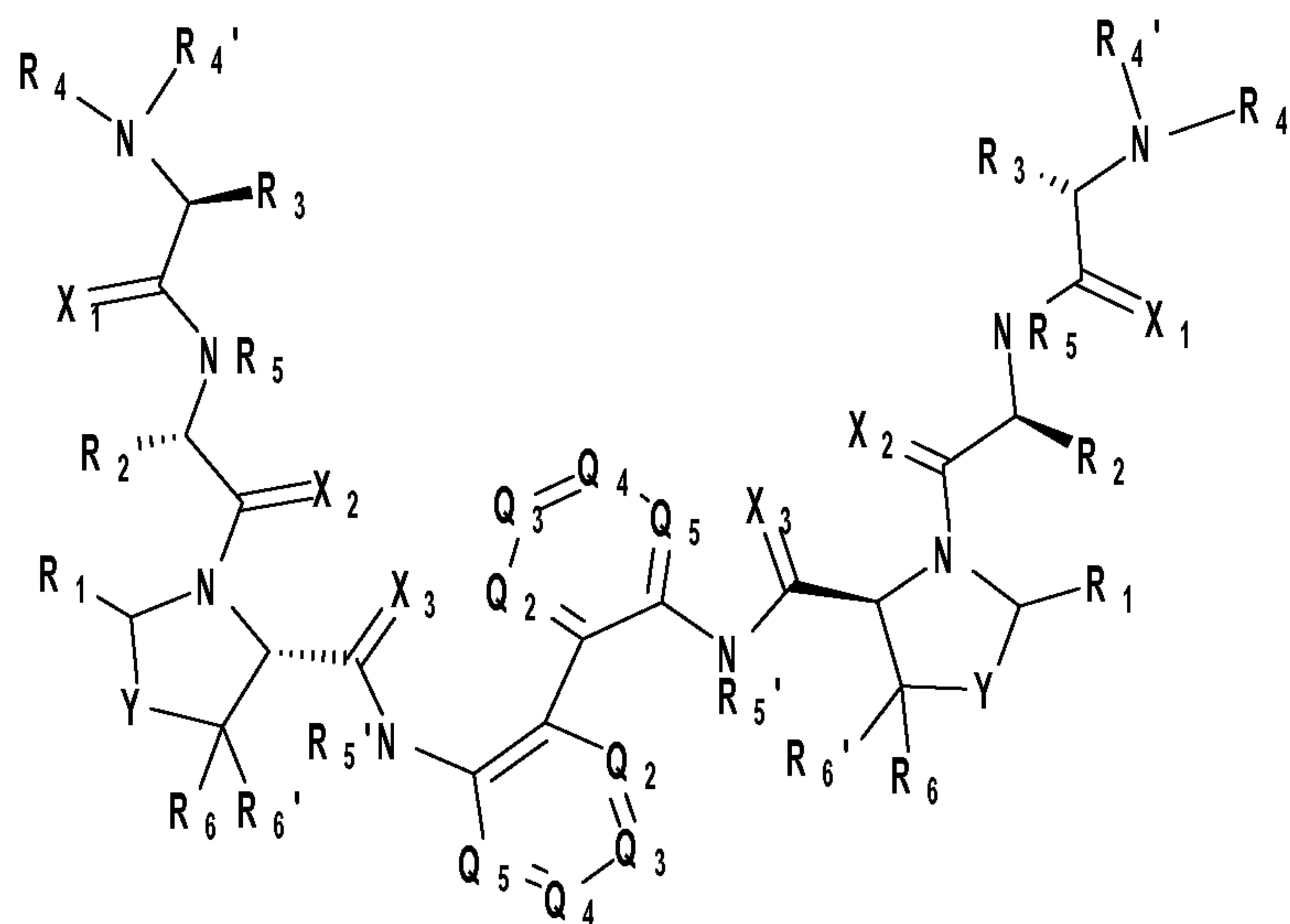


$R_5$  and  $R_5'$  are each independently H or alkyl. In a preferred embodiment,  $R_5$  and  $R_5'$  are H or 15 methyl. In a particular embodiment,  $R_5$  is H and  $R_5'$  is methyl. In another particular embodiment,  $R_5$  is methyl and  $R_5'$  is H. In another particular embodiment  $R_5$  and  $R_5'$  are both methyl. In another particular embodiment,  $R_5$  and  $R_5'$  are both H.

$R_6$ , and  $R_6'$  are each independently H, alkyl, aryl or aralkyl. In a particular embodiment,  $R_6$  is alkyl, for example methyl. In another particular embodiment  $R_6$  is aryl, for example phenyl. In another particular embodiment  $R_6$  is aralkyl, for example benzyl. In a particular embodiment  $R_6$  and  $R_6'$  are the same, for example both alkyl, e.g. both methyl. In another particular embodiment  $R_6$  is methyl and  $R_6'$  is H. In another particular embodiment  $R_6$  and  $R_6'$  are both H.

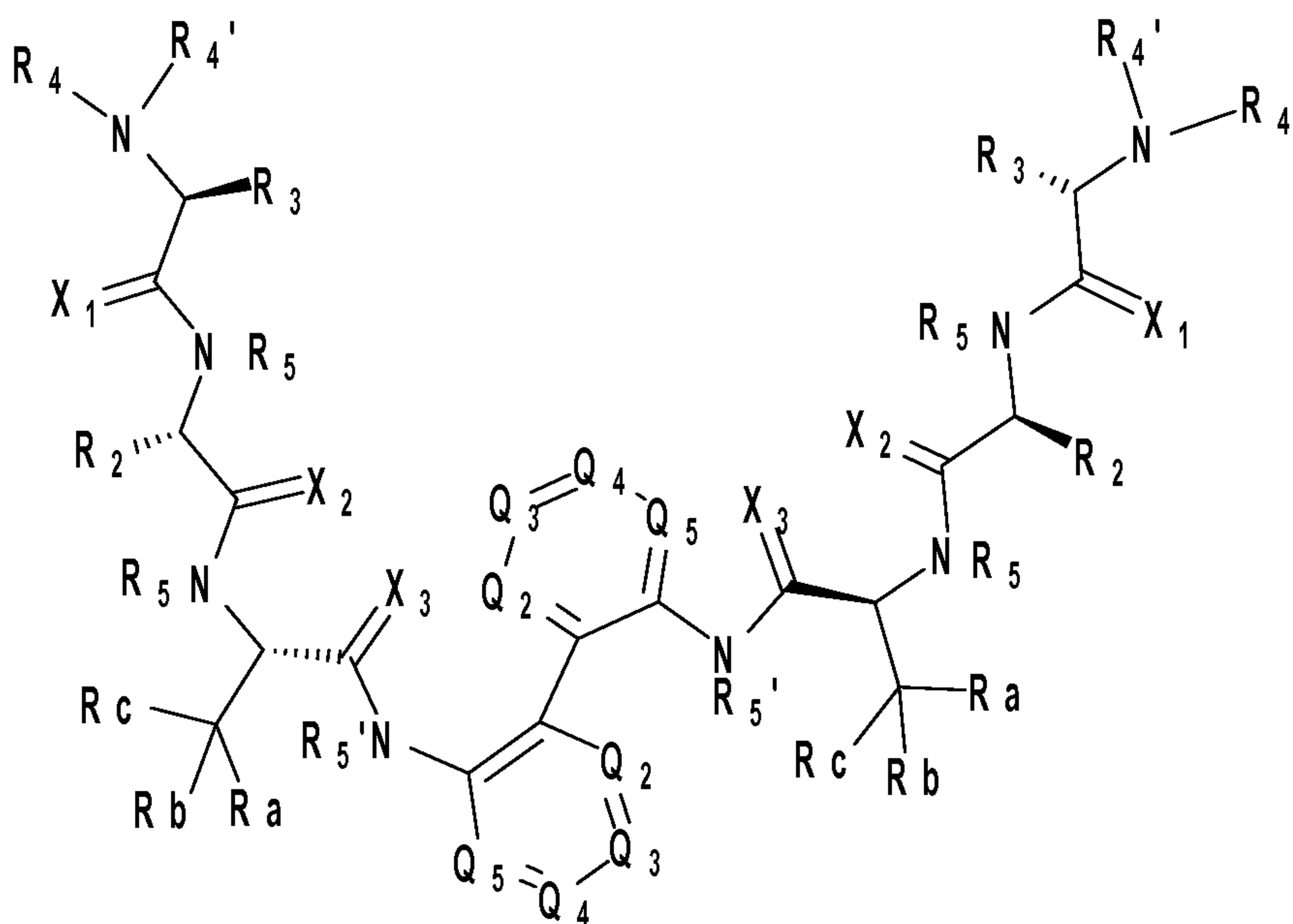
In another aspect of the invention there is provided a dimer compound of the general formula IIIa:

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wherein  $X_1, X_2, X_3, Y, A, R_1, R_2, R_3, R_4, R_4', R_5, R_5', R_6, R_6', Q_2, Q_3, Q_4$  and  $Q_5$  are in each instance independently as described herein.

In another aspect of the invention there is provided a dimer compound of the general formula IIb:



IIIb

5 wherein  $X_1$ ,  $X_2$ ,  $X_3$ ,  $R_2$ ,  $R_3$ ,  $R_4$ ,  $R_4'$ ,  $R_5$ ,  $R_5'$ ,  $R_6$ ,  $R_6'$ ,  $Q_2$ ,  $Q_3$ ,  $Q_4$  and  $Q_5$  are in each instance independently as described herein, and  $R_a$ ,  $R_b$  and  $R_c$  are each independently hydroxyl, halogen, alkyl, alkoxy, alkylthio or sulfonyl; wherein said alkyl, alkoxy, alkylthio and sulfonyl groups are optionally substituted with amido, carbamoyl and aryl which are optionally substituted with hydroxyl halogen and alkoxy; or two of  $R_a$ ,  $R_b$  and  $R_c$  together form a carbocycle or heterocycle and the other of  $R_a$ ,  $R_b$  and  $R_c$  is H, hydroxyl, halogen, alkyl, alkoxy, alkylthio or sulfonyl. Alternatively,  $R_a$  is H while  $R_b$  and  $R_c$  are each independently hydroxyl, halogen, alkyl, alkoxy, alkylthio or sulfonyl; wherein said alkyl, alkoxy, alkylthio and sulfonyl groups are optionally substituted with amido, carbamoyl and aryl which are optionally substituted with hydroxyl halogen and alkoxy; or two of  $R_a$ ,  $R_b$  and  $R_c$  together form a carbocycle or heterocycle and the other of  $R_a$ ,  $R_b$  and  $R_c$  is H, hydroxyl, halogen, alkyl, alkoxy, alkylthio or sulfonyl. In a particular embodiment  $R_a$ ,  $R_b$  and  $R_c$  are each methyl, halogen, methoxy, hydroxy, methylthio, methylsulfonyl. In a particular embodiment  $R_a$ ,  $R_b$  and  $R_c$  are each methyl. In a particular embodiment  $R_a$ ,  $R_b$  and  $R_c$  are each F. In a particular embodiment two of  $R_a$ ,  $R_b$  and  $R_c$  are methyl and the other is F. In a particular embodiment two of  $R_a$ ,  $R_b$  and  $R_c$  are methyl and the other is hydroxyl. In a particular embodiment two of  $R_a$ ,  $R_b$  and  $R_c$  are methyl and the other is methoxy. In a particular embodiment two of  $R_a$ ,  $R_b$  and  $R_c$  are methyl and the other is methylsulfonyl. In a particular embodiment two of  $R_a$ ,  $R_b$  and  $R_c$  are methyl and the other is methylthio. In a particular embodiment two of  $R_a$ ,  $R_b$  and  $R_c$  are methyl and the other is 4-methoxybenzylthio. In a particular embodiment two of  $R_a$ ,  $R_b$  and  $R_c$  are methyl and the other is acetamidomethylthio. In a particular embodiment two of  $R_a$ ,  $R_b$  and  $R_c$  are methyl and the other is hydroxyl.

and R<sub>c</sub> together form a carbocycle or heterocycle while the other of R<sub>a</sub>, R<sub>b</sub> and R<sub>c</sub> is H, hydroxyl, halogen, alkyl, alkoxy, alkylthio or sulfonyl. In a particular embodiment two of R<sub>a</sub>, R<sub>b</sub> and R<sub>c</sub> form a heterocycle. In a particular embodiment two of R<sub>a</sub>, R<sub>b</sub> and R<sub>c</sub> form a pyran. In a particular embodiment two of R<sub>a</sub>, R<sub>b</sub> and R<sub>c</sub> form a pyran while the other is H. In a particular embodiment two of R<sub>a</sub>, R<sub>b</sub> and R<sub>c</sub> form a pyran while the other is methyl.

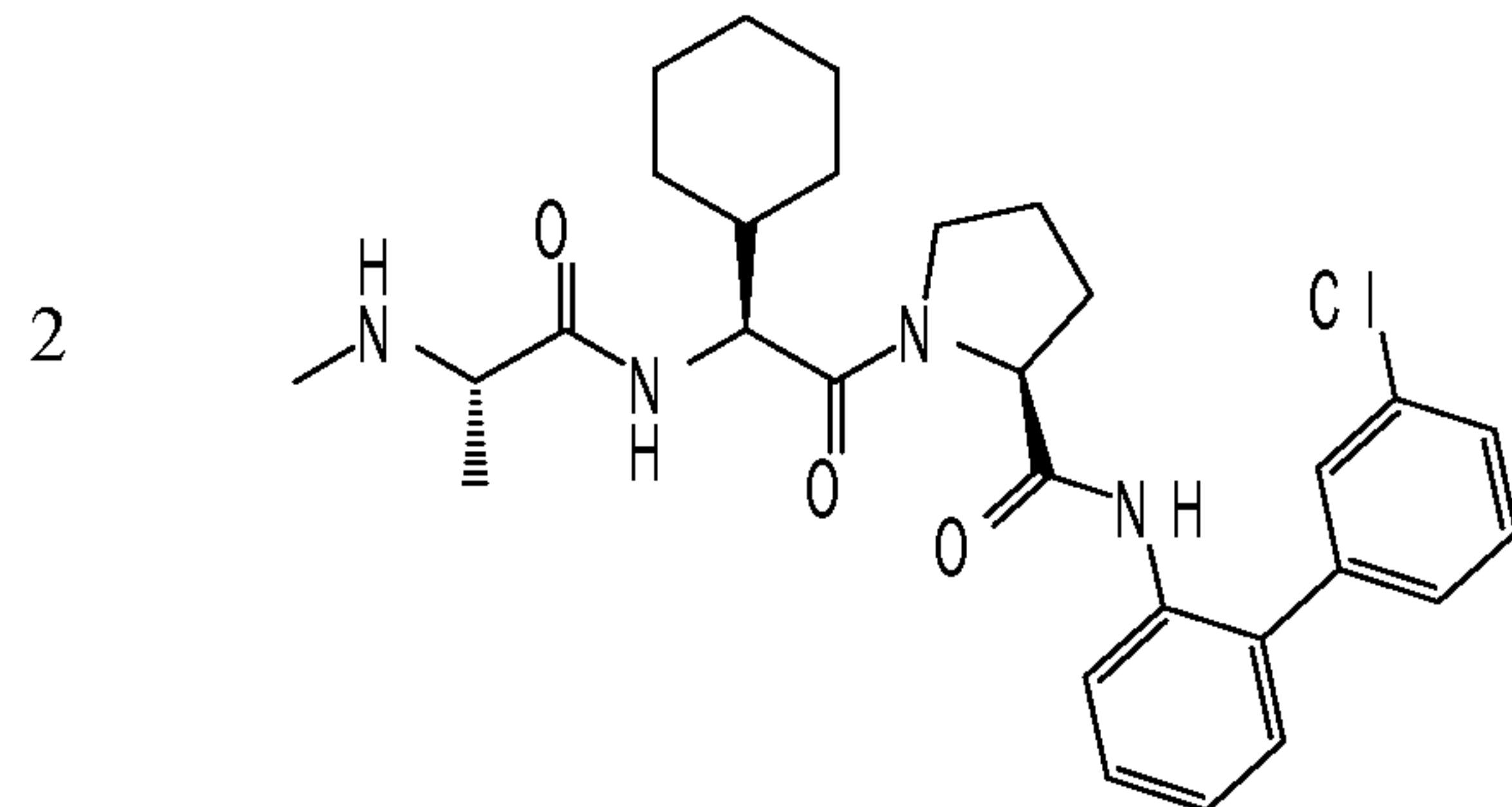
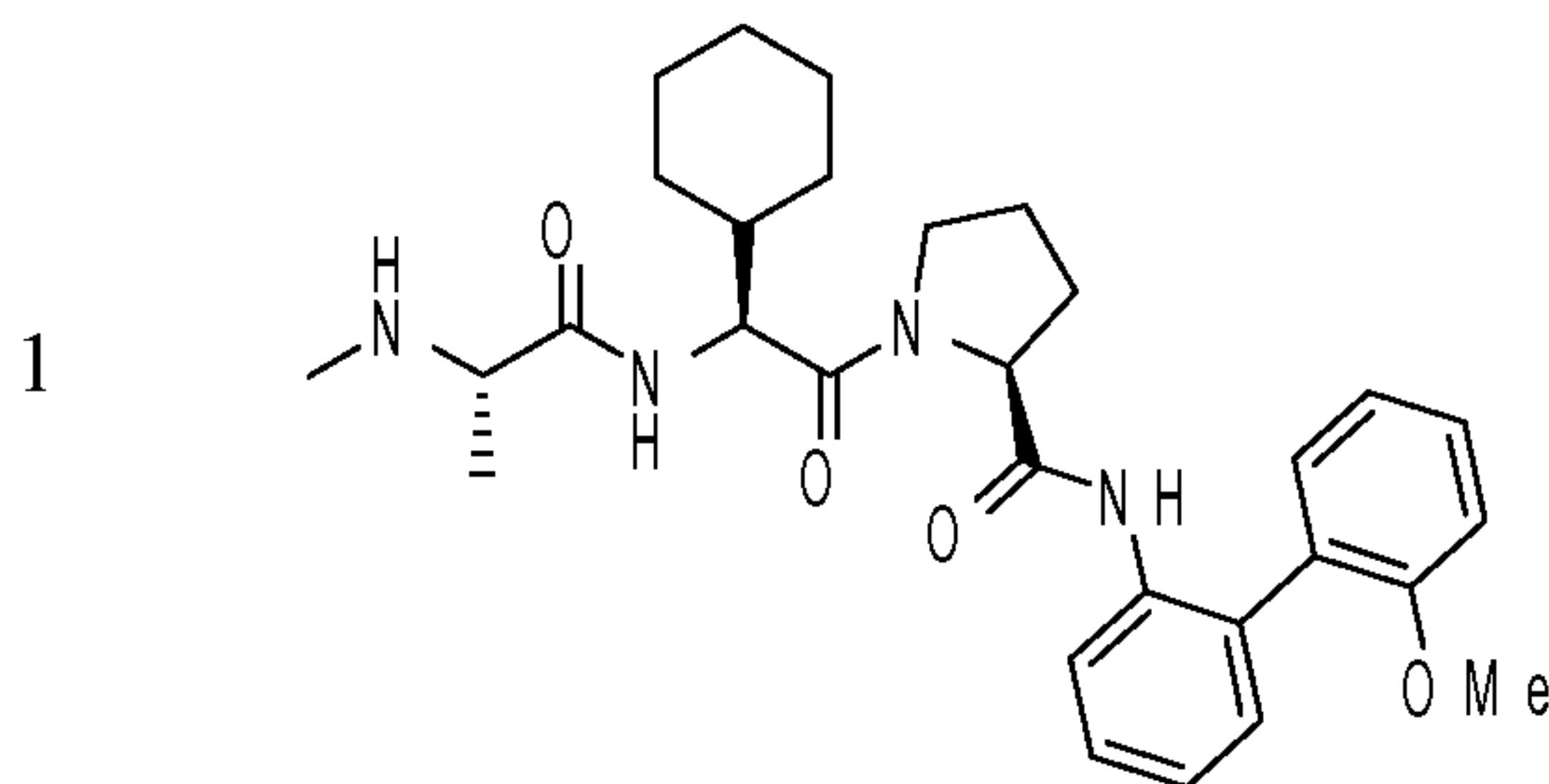
Alternatively, R<sub>a</sub> is H while R<sub>b</sub> and R<sub>c</sub> are each independently hydroxyl, halogen, alkyl, alkoxy, alkylthio or sulfonyl; wherein said alkyl, alkoxy, alkylthio and sulfonyl groups are optionally substituted with amido, carbamoyl and aryl which are optionally substituted with hydroxyl halogen and alkoxy; or two of R<sub>a</sub>, R<sub>b</sub> and R<sub>c</sub> together form a carbocycle or heterocycle and the other of R<sub>a</sub>, R<sub>b</sub> and R<sub>c</sub> is H, hydroxyl, halogen, alkyl, alkoxy, alkylthio or sulfonyl; provided that the compound of the invention is other than 2-acetamido-N-(1-(1-(furan-2-yl)-2-methylpropyl-amino)-1-oxopropan-2-yl)propanamide. When R<sub>a</sub> is H, R<sub>b</sub> and R<sub>c</sub> may be each of the particular embodiments described previously while R<sub>a</sub> is H provided that the compound of the invention is other than 2-acetamido-N-(1-(1-(furan-2-yl)-2-methylpropyl-amino)-1-oxopropan-2-yl)propanamide. In a particular embodiment R<sub>a</sub> is H and R<sub>b</sub> and R<sub>c</sub> are each methyl provided that the compound of the invention is other than 2-acetamido-N-(1-(1-(furan-2-yl)-2-methylpropyl-amino)-1-oxopropan-2-yl)propanamide.

Compounds of the invention contain one or more asymmetric carbon atoms. Accordingly, the compounds may exist as diastereomers, enantiomers or mixtures thereof. The syntheses of the compounds may employ racemates, diastereomers or enantiomers as starting materials or as intermediates. Diastereomeric compounds may be separated by chromatographic or crystallization methods. Similarly, enantiomeric mixtures may be separated using the same techniques or others known in the art. Each of the asymmetric carbon atoms may be in the R or S configuration and both of these configurations are within the scope of the invention.

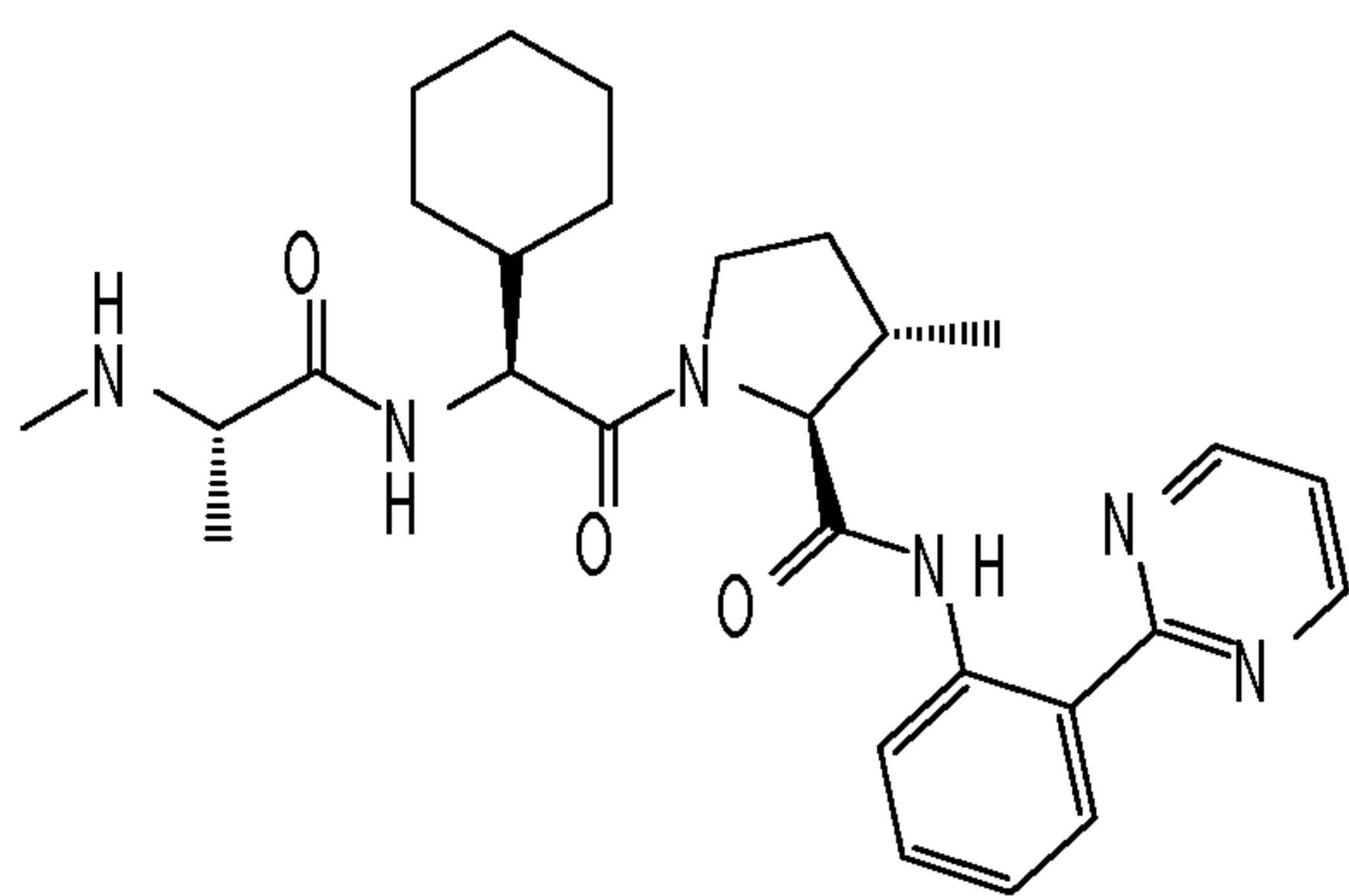
The invention also encompasses prodrugs of the compounds described above. Suitable prodrugs where applicable include known amino-protecting and carboxy-protecting groups which are released, for example hydrolyzed, to yield the parent compound under physiologic conditions. A particular class of prodrugs are compounds in which a nitrogen atom in an amino, amidino, aminoalkyleneamino, iminoalkyleneamino or guanidino group is substituted with a hydroxy (OH) group, an alkylcarbonyl (-CO-R) group, an alkoxy carbonyl (-CO-OR), an acyloxyalkyl-alkoxycarbonyl (-CO-O-R-O-CO-R) group where R is a monovalent or divalent group and as defined above or a group having the formula -C(O)-O-CP1P2-haloalkyl, where P1 and P2 are the same or different and are H, lower alkyl, lower alkoxy, cyano, halo lower alkyl or aryl. In a

particular embodiment, the nitrogen atom is one of the nitrogen atoms of the amidino group of the compounds of the invention. These prodrug compounds are prepared reacting the compounds of the invention described above with an activated acyl compound to bond a nitrogen atom in the compound of the invention to the carbonyl of the activated acyl compound. Suitable activated carbonyl compounds contain a good leaving group bonded to the carbonyl carbon and include acyl halides, acyl amines, acyl pyridinium salts, acyl alkoxides, in particular acyl phenoxides such as p-nitrophenoxy acyl, dinitrophenoxy acyl, fluorophenoxy acyl, and difluorophenoxy acyl. The reactions are generally exothermic and are carried out in inert solvents at reduced temperatures such as -78 to about 50C. The reactions are usually also carried out in the presence of an inorganic base such as potassium carbonate or sodium bicarbonate, or an organic base such as an amine, including pyridine, triethylamine, etc. One manner of preparing prodrugs is described in USSN 08/843,369 filed April 15, 1997 (corresponding to PCT publication WO9846576) the contents of which are incorporated herein by reference in their entirety.

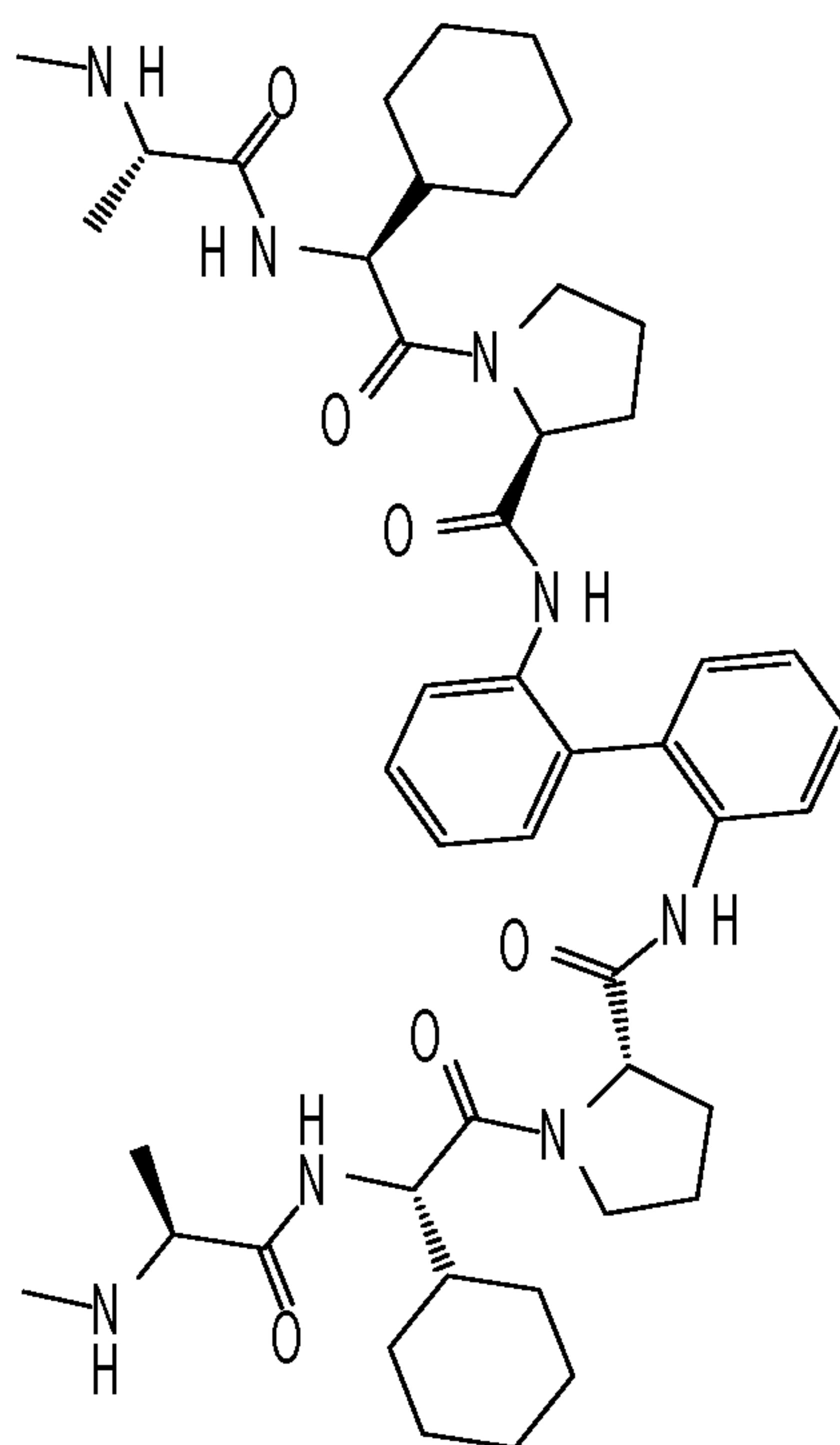
15 Particular compounds of formula I include the following:



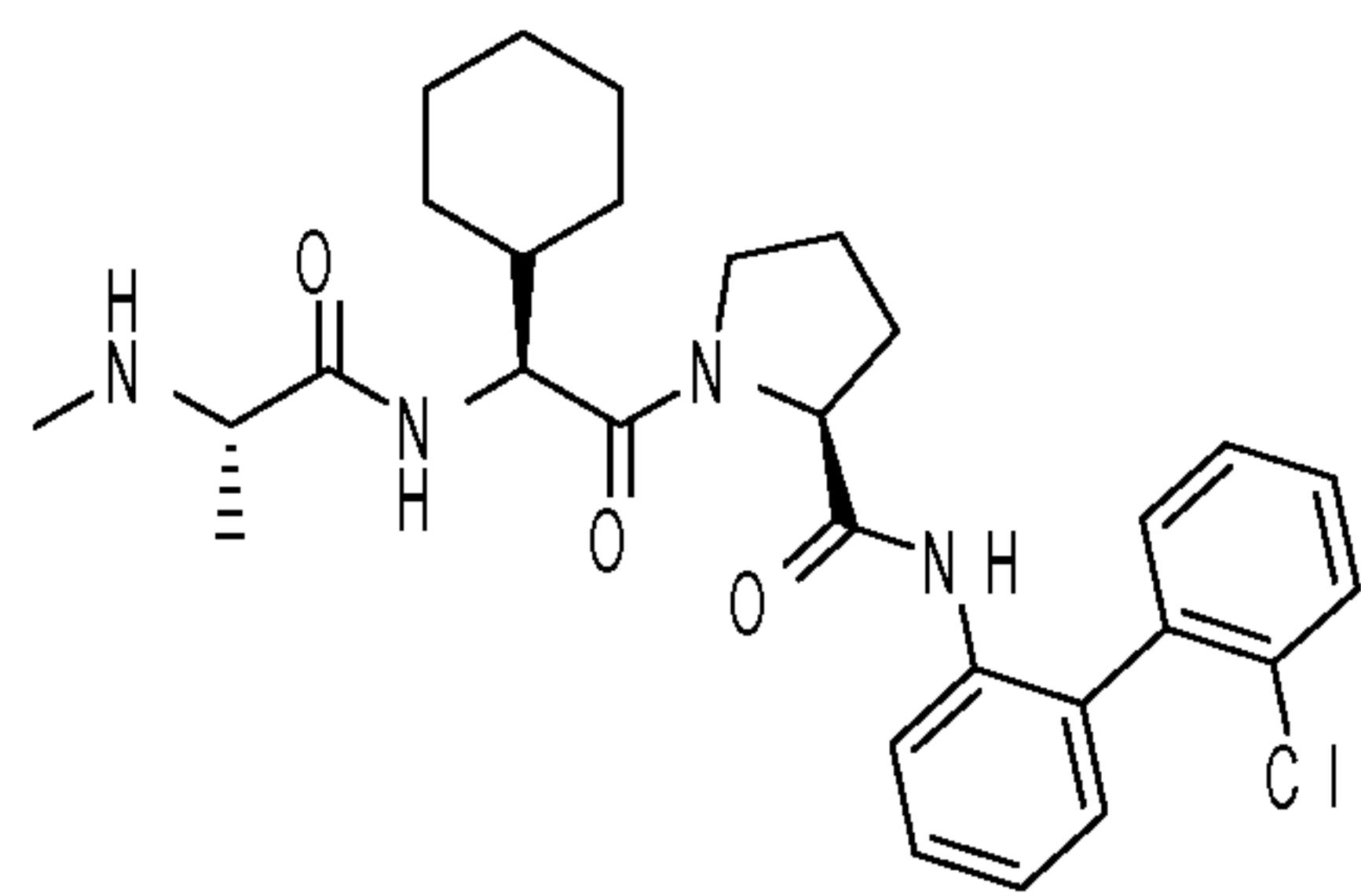
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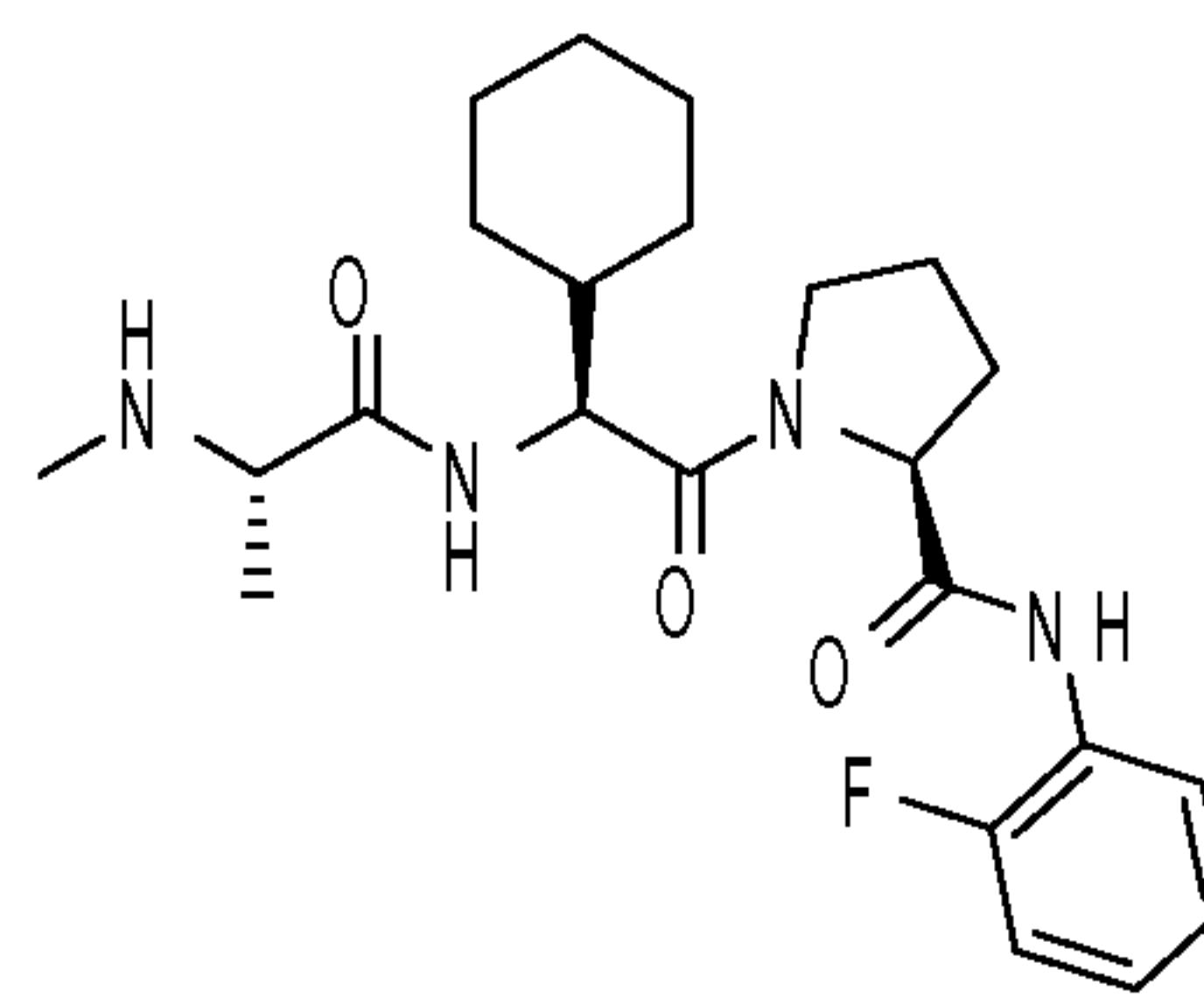
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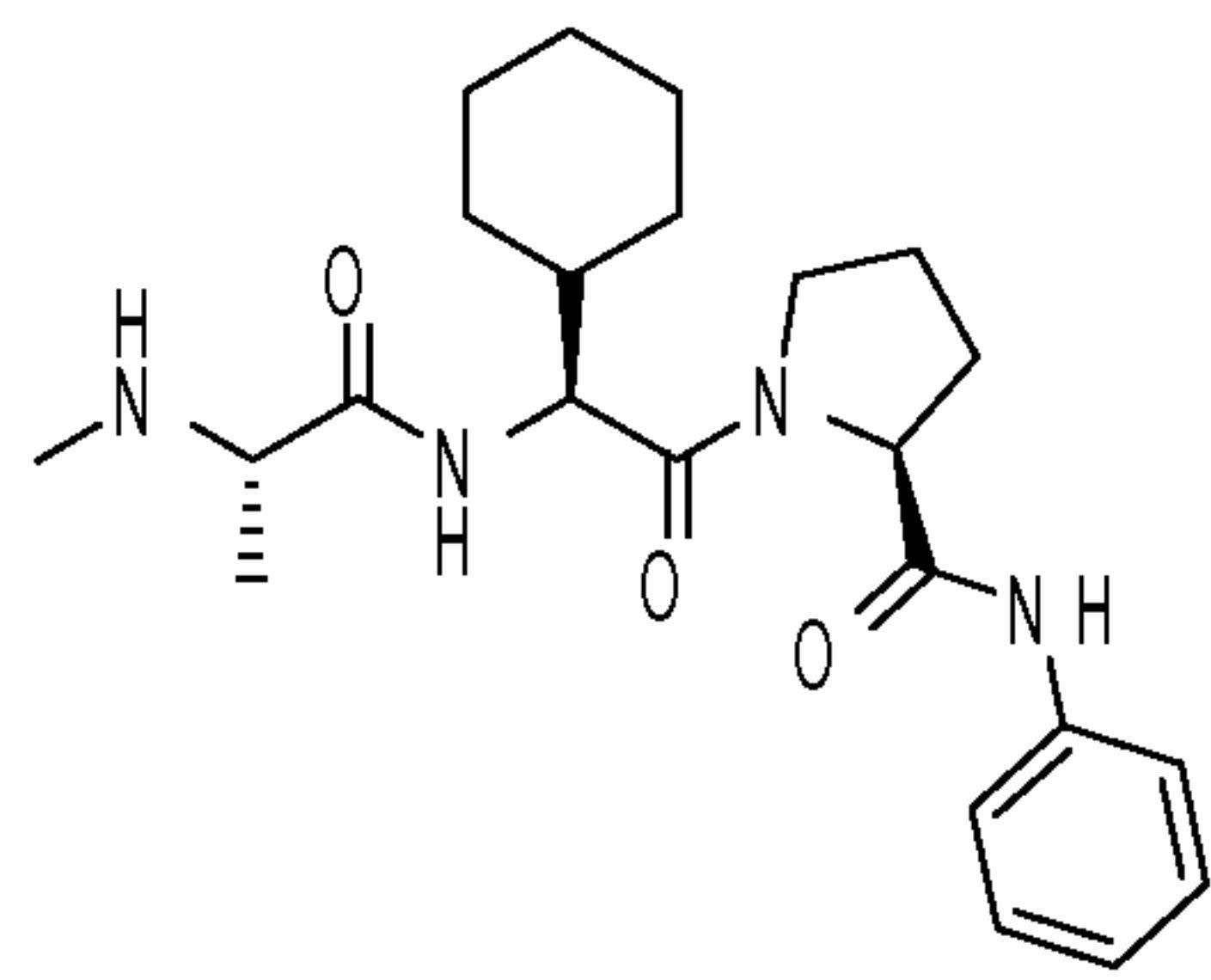
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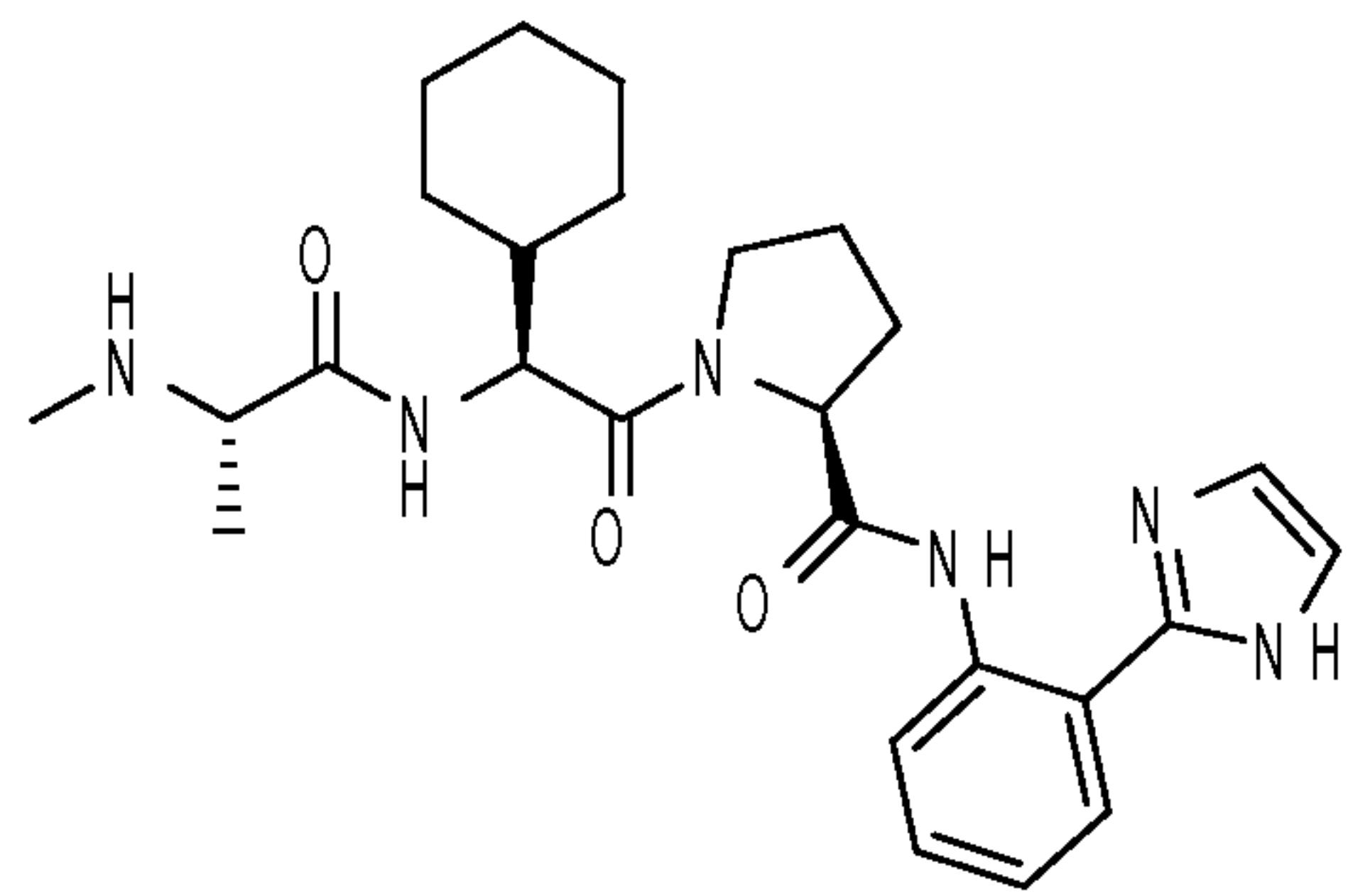
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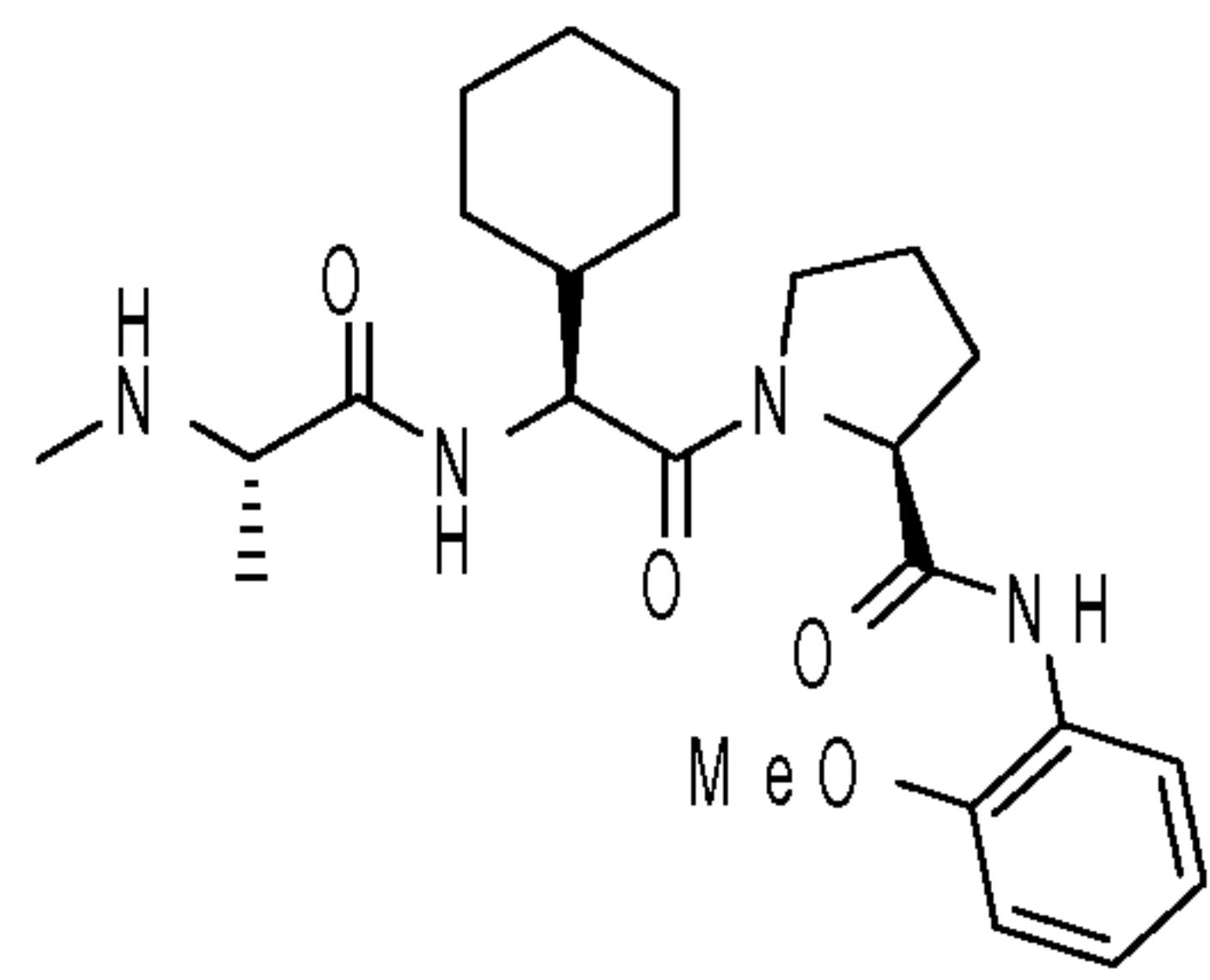
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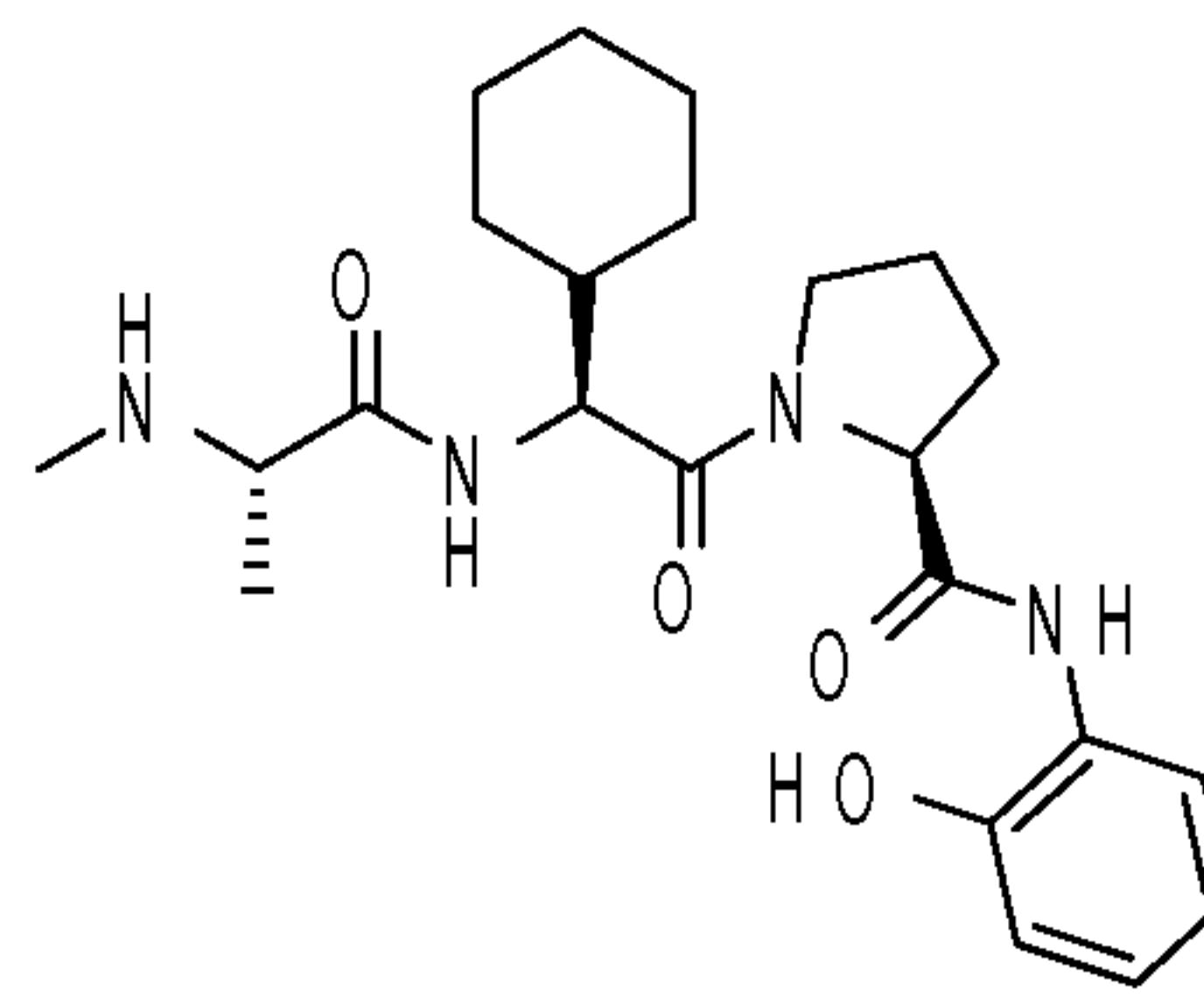
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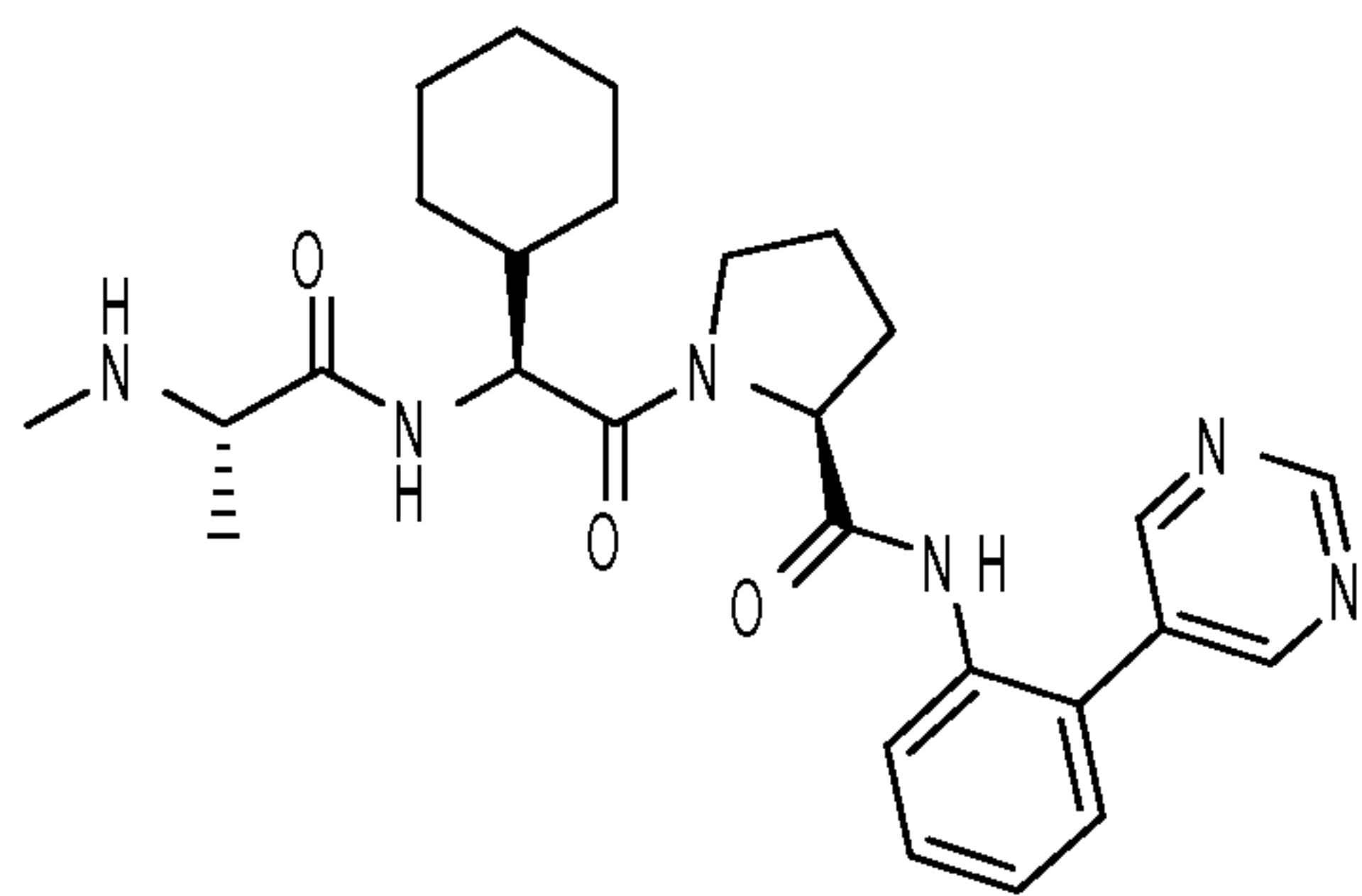
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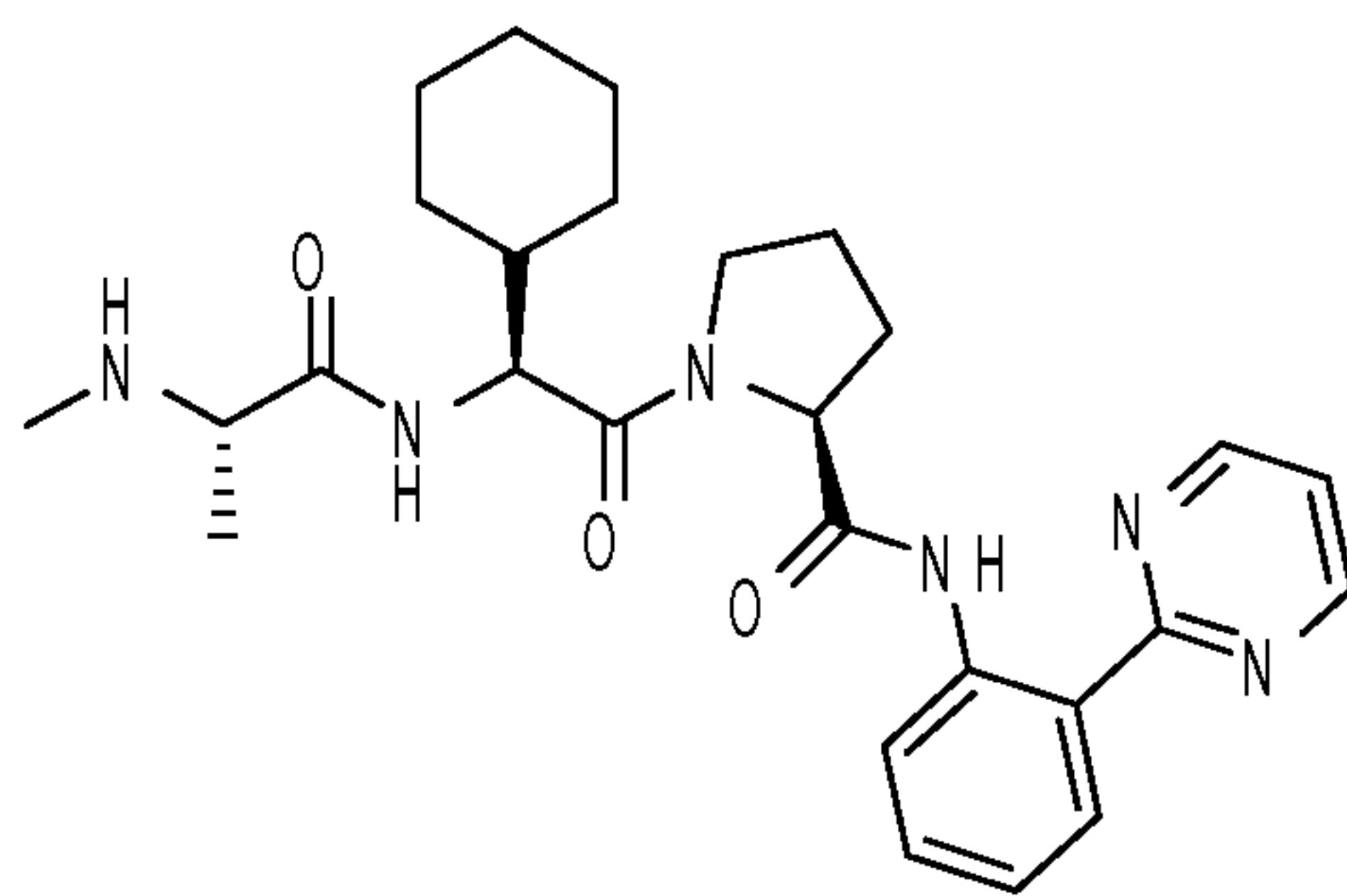
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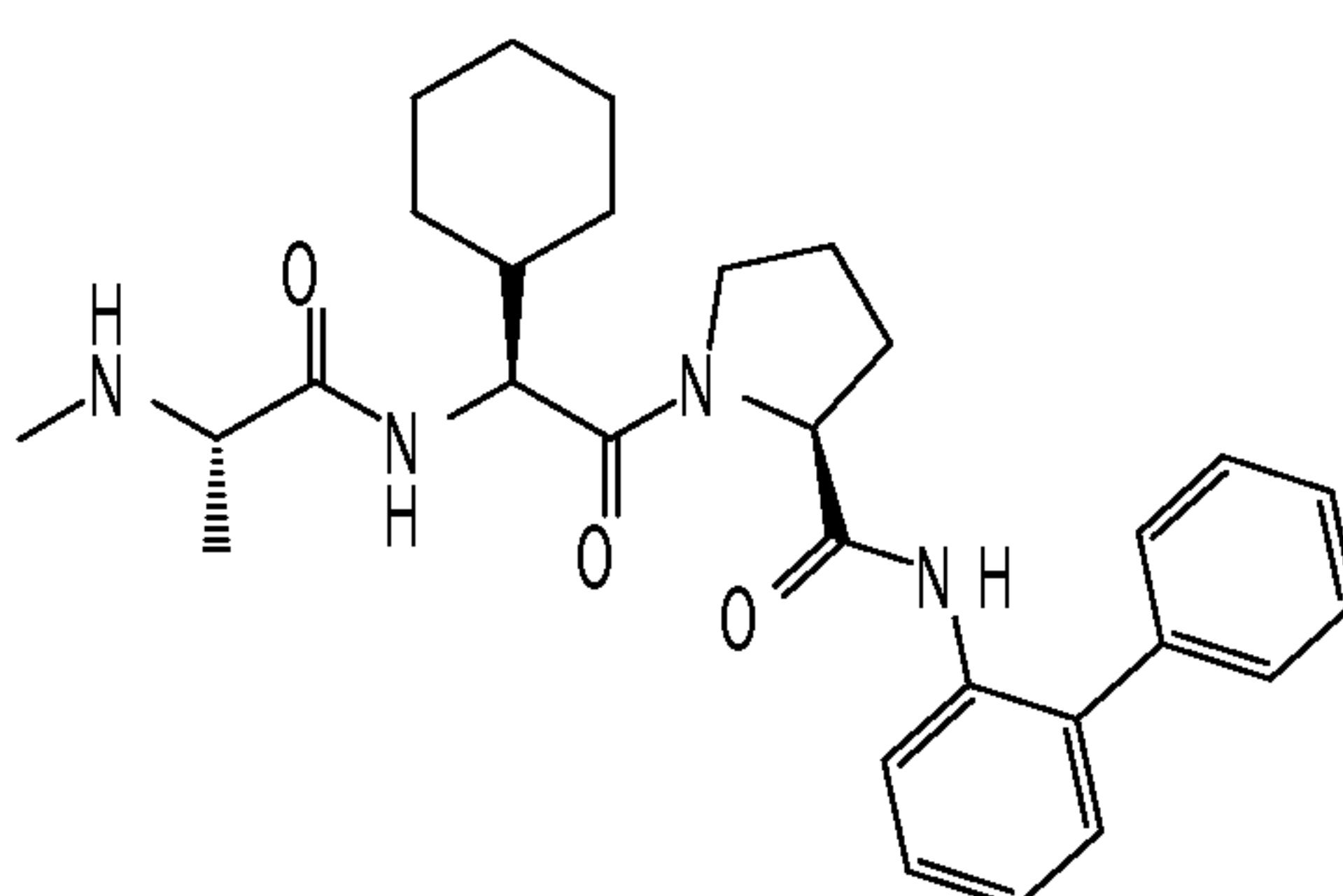
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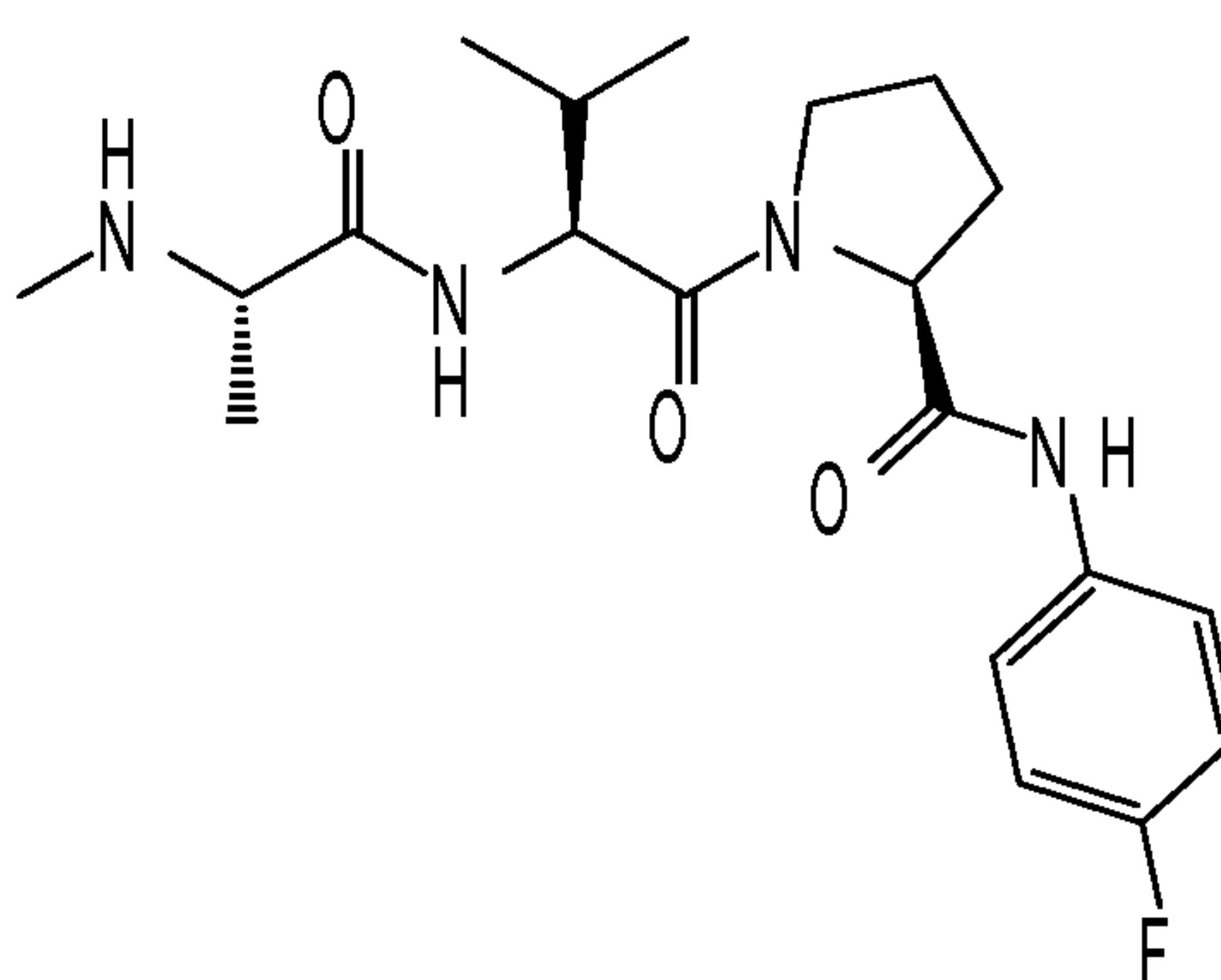
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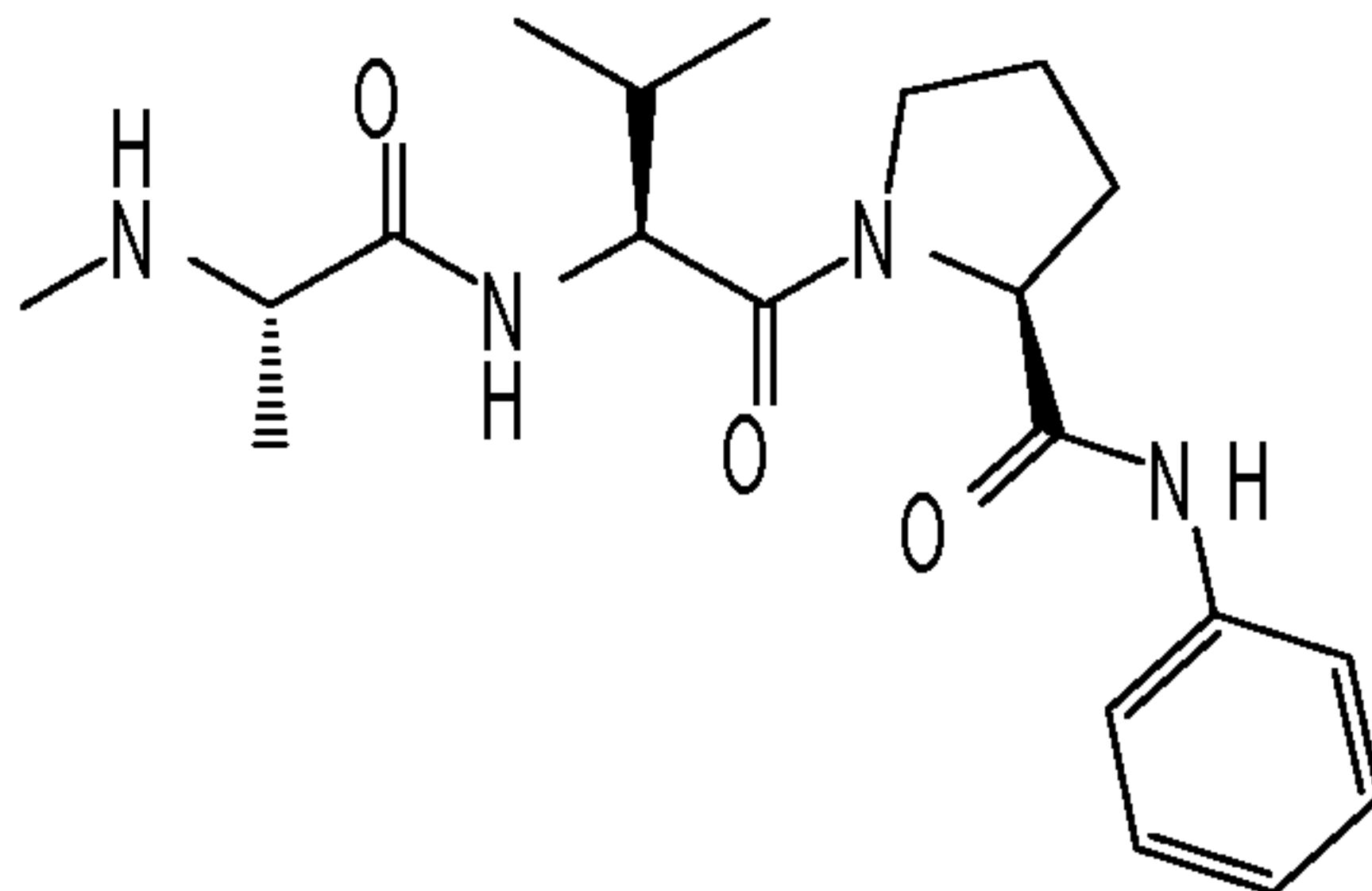
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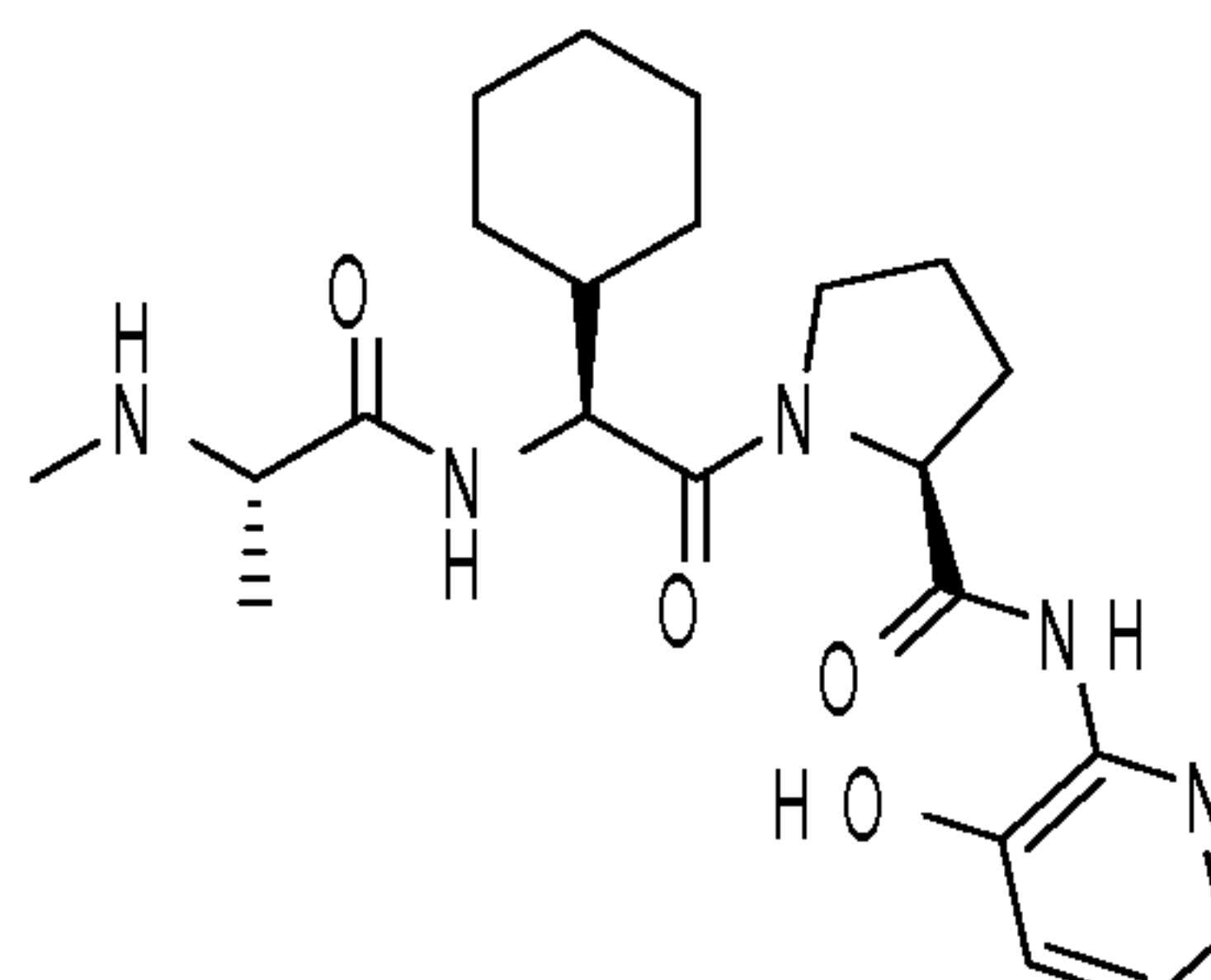
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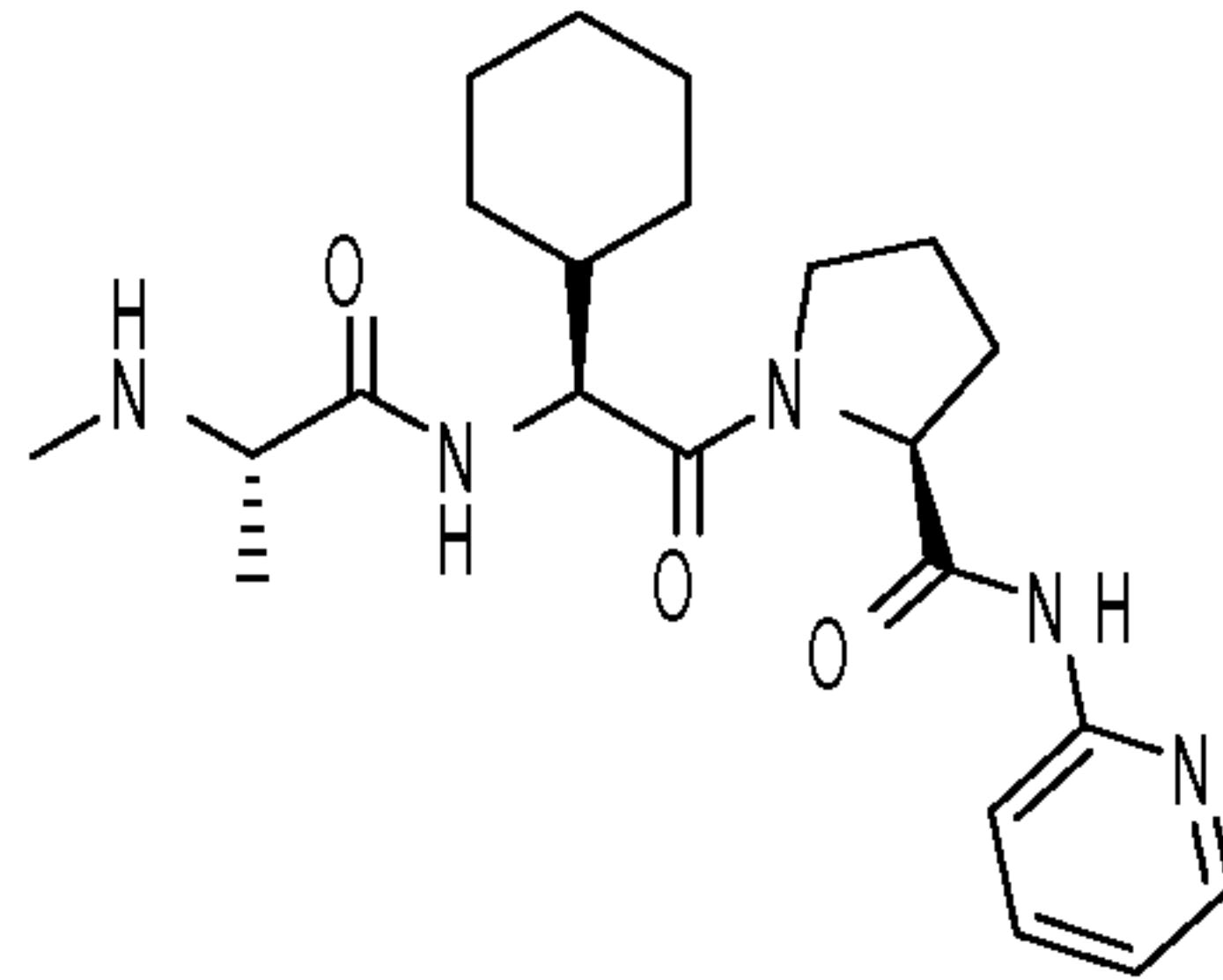
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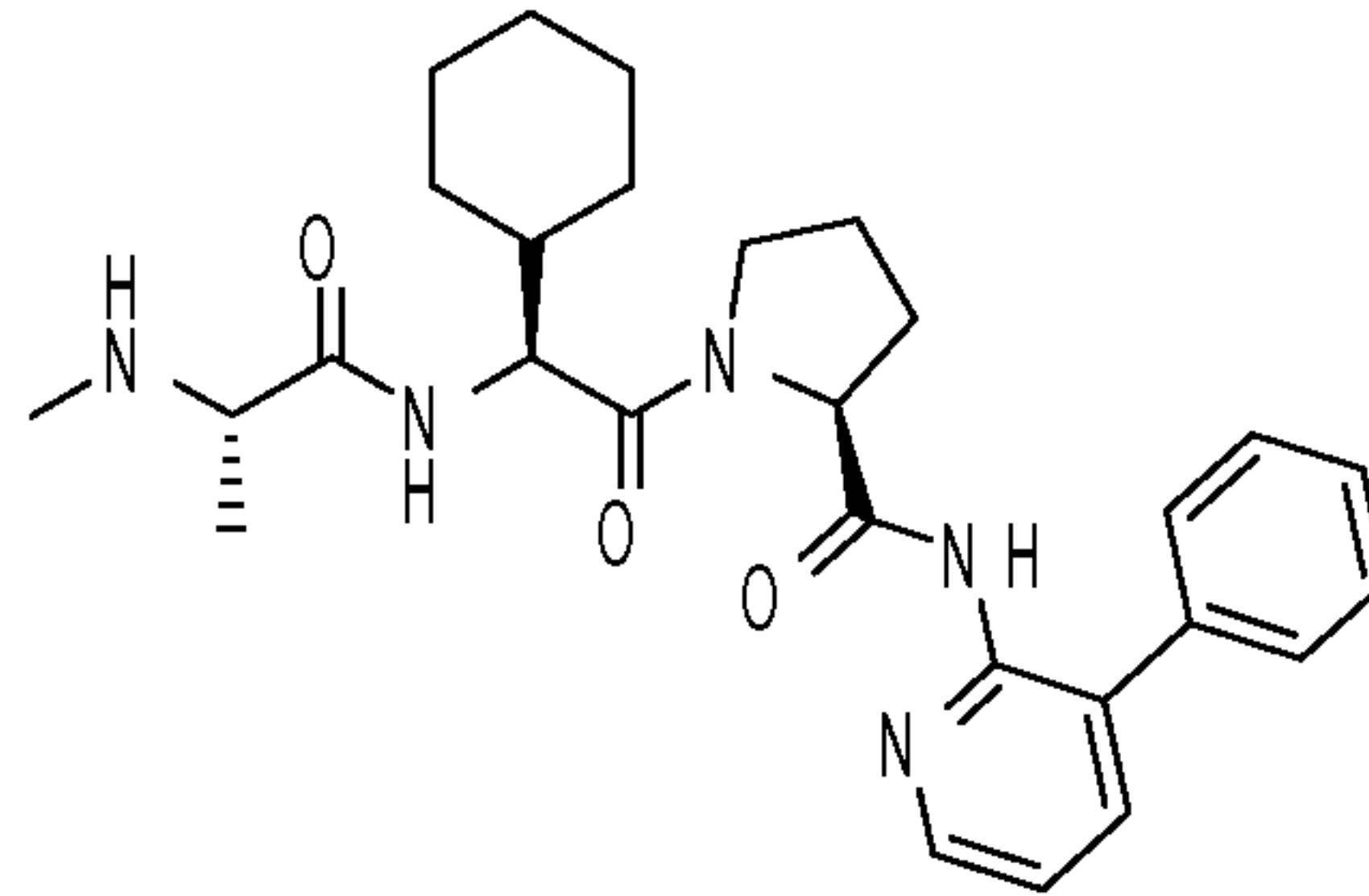
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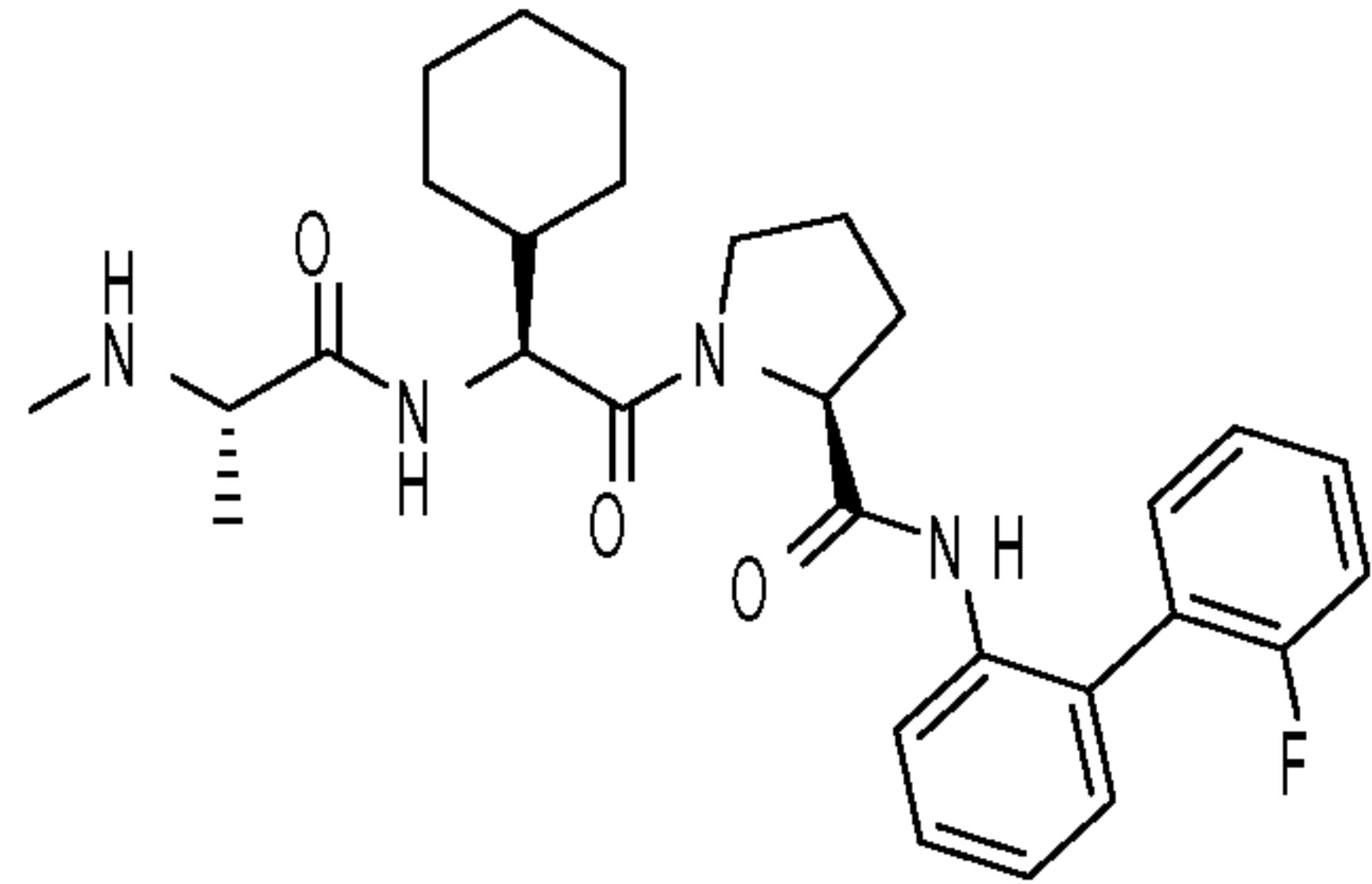
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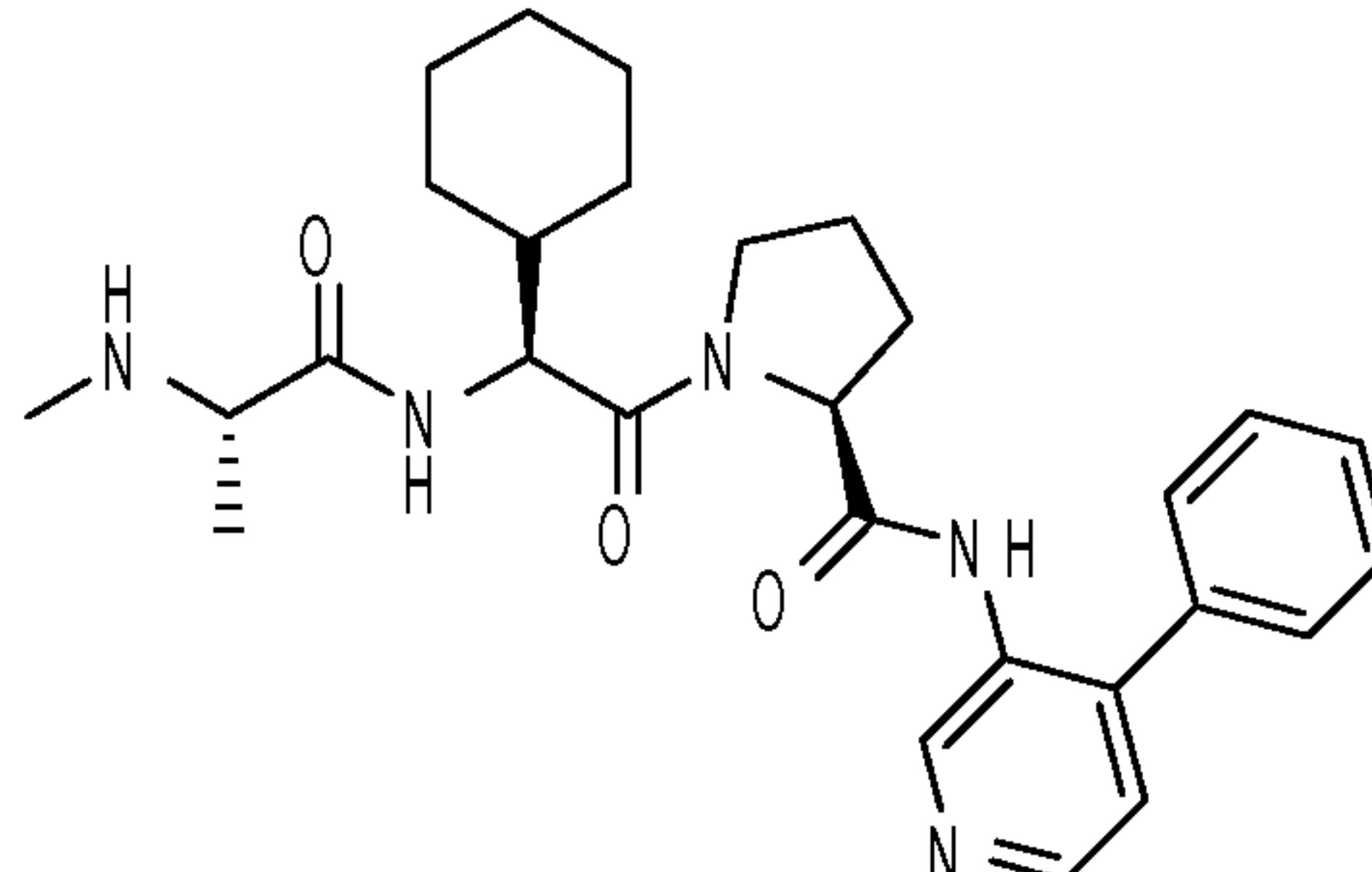
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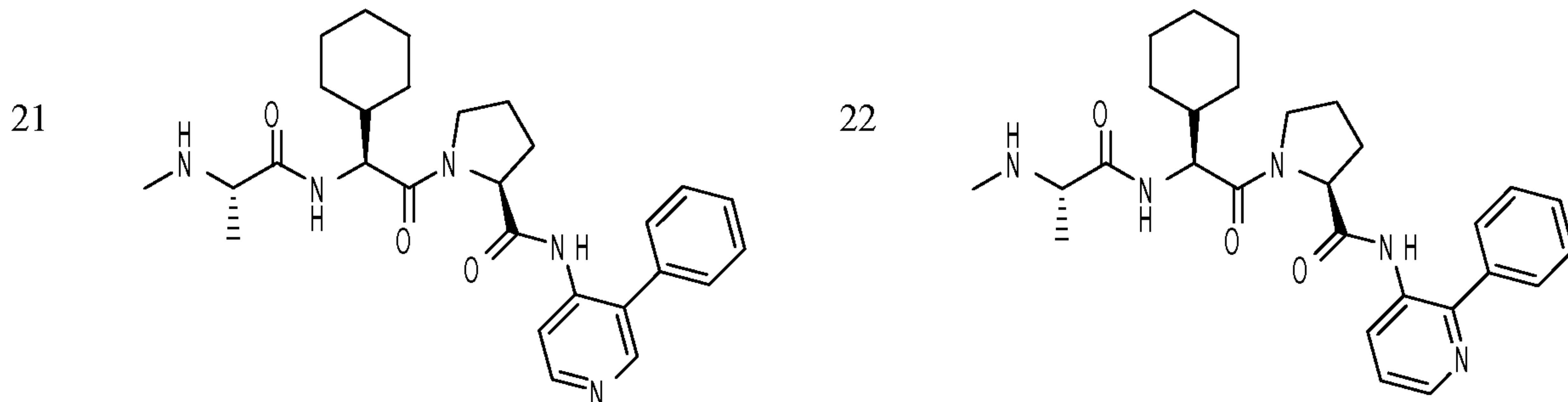


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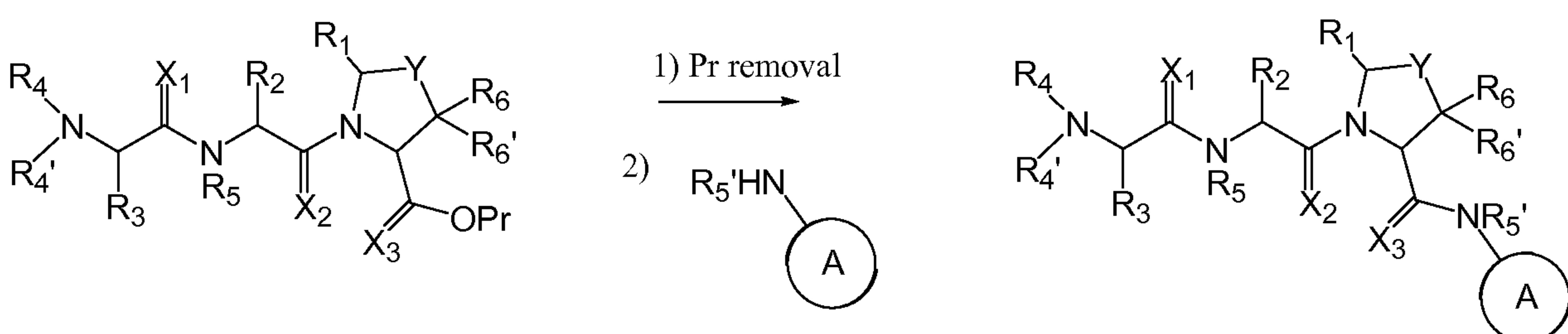
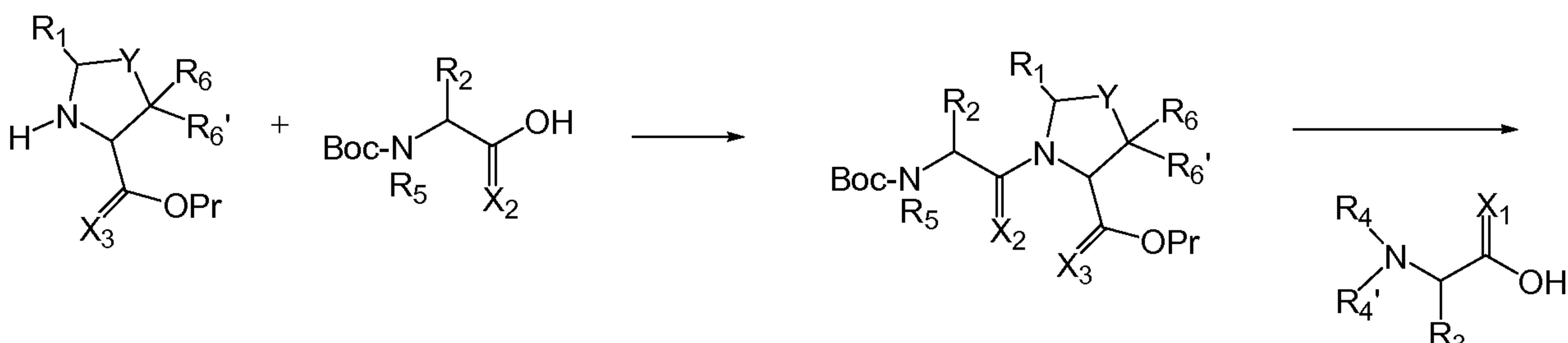




## SYNTHESIS

5 Compounds of the invention are prepared using standard organic synthetic techniques from commercially available starting materials and reagents. It will be appreciated that synthetic procedures employed in the preparation of compounds of the invention will depend on the particular substituents present in a compound and that various protection and deprotection may be required as is standard in organic synthesis. In a general synthetic scheme compounds of the 10 invention may be prepared using typical peptide chemistry techniques by coupling the amino acid residue analogues with typical amide coupling procedures. In scheme 1, amine-protected amino acid residue analogues are coupled and deprotected sequentially to give the final compounds.

Scheme 1

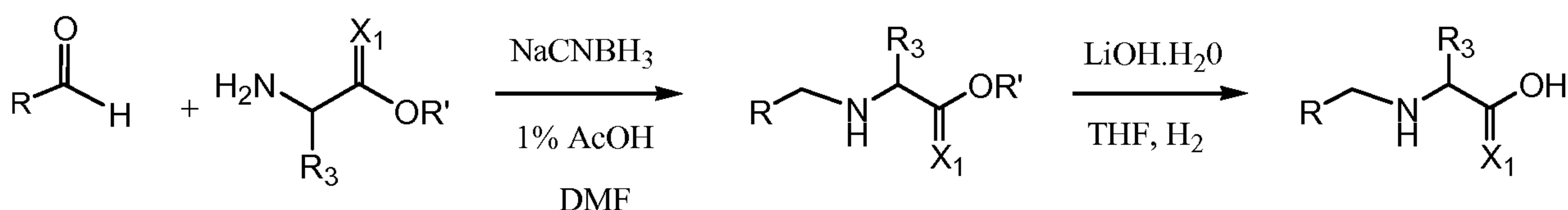


It will be appreciated that the amino acid analogs may be coupled any order and may be prepared using solid phase support which is routine in the art.

Compounds of the invention in which  $R_4$  or  $R_4'$  are other than H may be prepared according to 5 standard organic chemistry techniques, for example by reductive amination in which a starting amino acid residue analog e.g.  $NH_2-CH(R_3)-C(O)-OH$  is reacted with a suitable aldehyde or ketone to give the desired  $R_4$  and  $R_4'$  substituents. See scheme 14. The resulting  $R_4/R_4'$  substituted amino acid intermediate can then be conjugated to the next amino acid intermediate or the remainder of the compound using standard peptide coupling procedures.

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Scheme 2

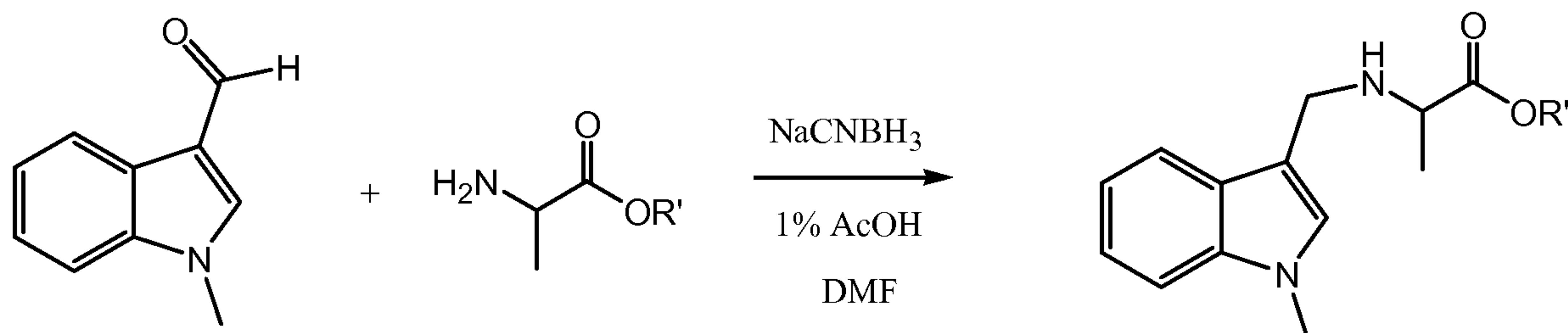


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In a particular embodiment, alanine is reacted with 1-methylindole-2-carboxaldehyde and reduced with sodium cyanoborohydride dissolved in 1% HOAc/DMF to give the N-substituted alanine residue which may be used in preparing compounds of the invention. See scheme 15.

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Scheme 3



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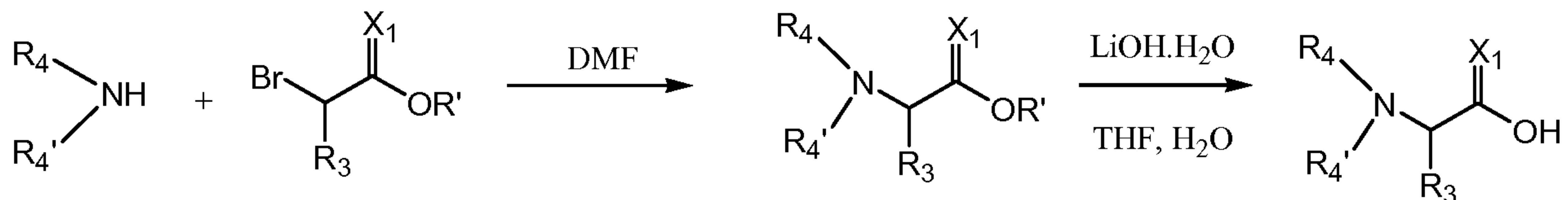
Alternatively, the reductive amination procedure to introduce  $R_4/R_4'$  substituents is the final step in the preparation of the compound.

When compounds of the invention incorporate  $R_4$  or  $R_4'$  substituents other than H, they may also be prepared by substitution of a suitable acid intermediate which incorporates a leaving group with

a desired amine. For example  $\text{Br}-\text{CH}(\text{R}_3)-\text{C}(\text{O})-\text{OH}$  is substituted with an amine  $\text{R}_4-\text{NH}_2$  or  $\text{R}_4-\text{NH}-\text{R}_4'$  according to scheme 16.

Scheme 4

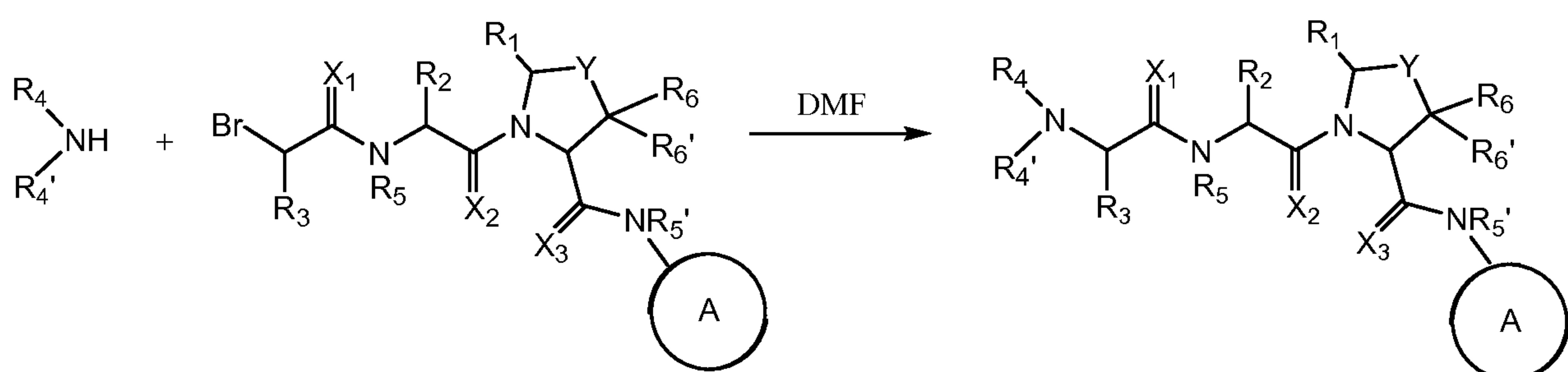
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Alternatively, the substitution reaction introducing  $\text{R}_4$  or  $\text{R}_4'$  substituents may be performed as a 10 final step in the preparation of the compound as illustrated in scheme 17.

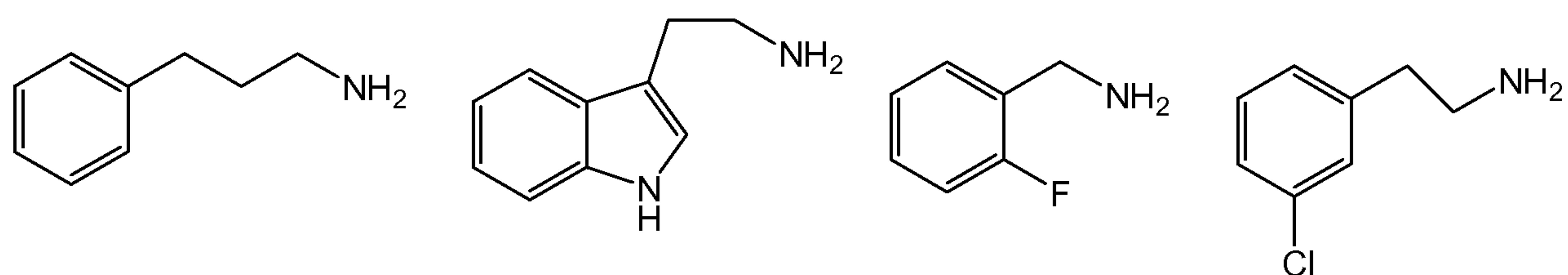
Scheme 5

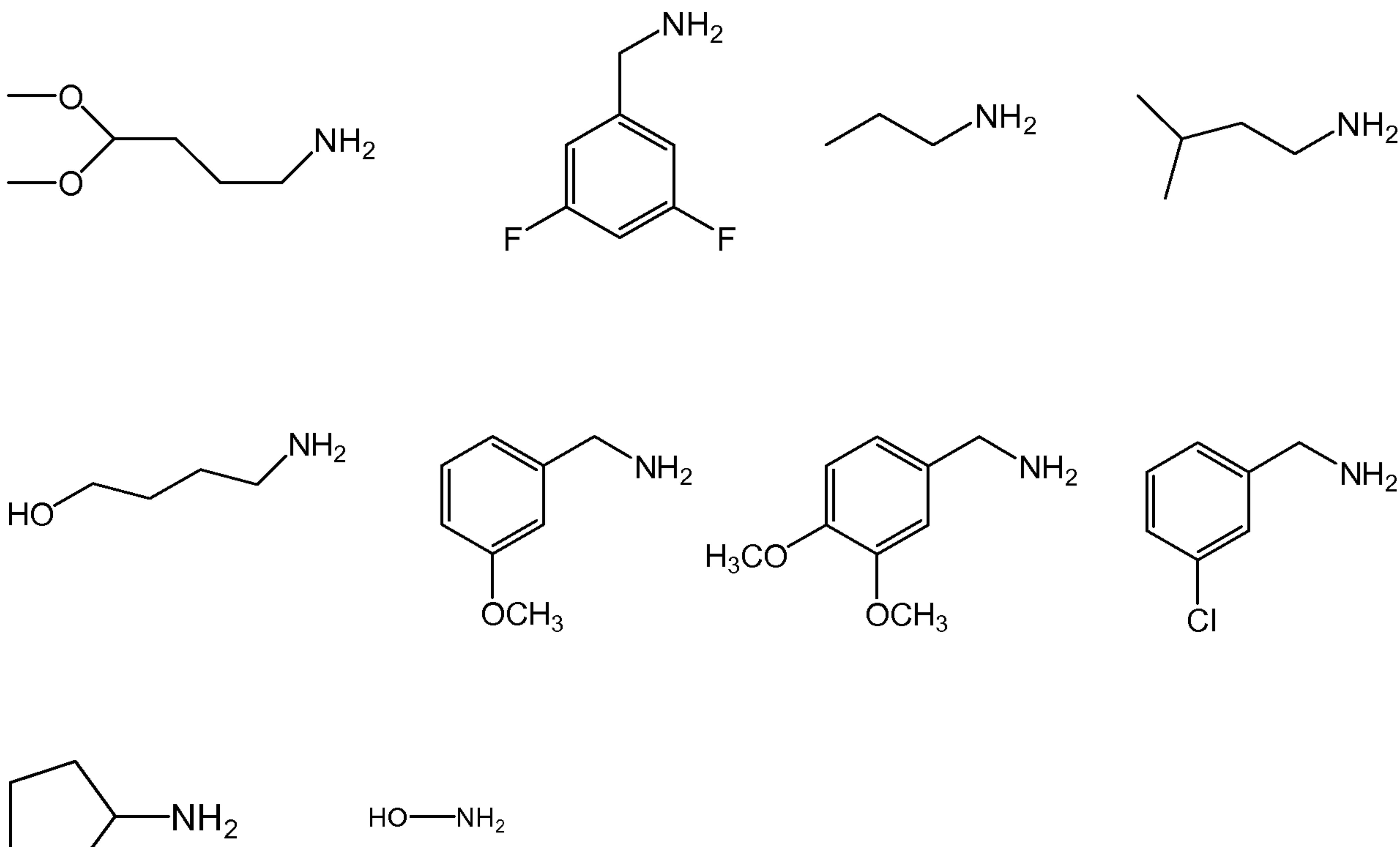
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In a particular embodiment, 2-bromopropionic acid is reacted with the following amines dissolved in DMF and bubbled for until substitution is complete to form N-substituted alanine residues:

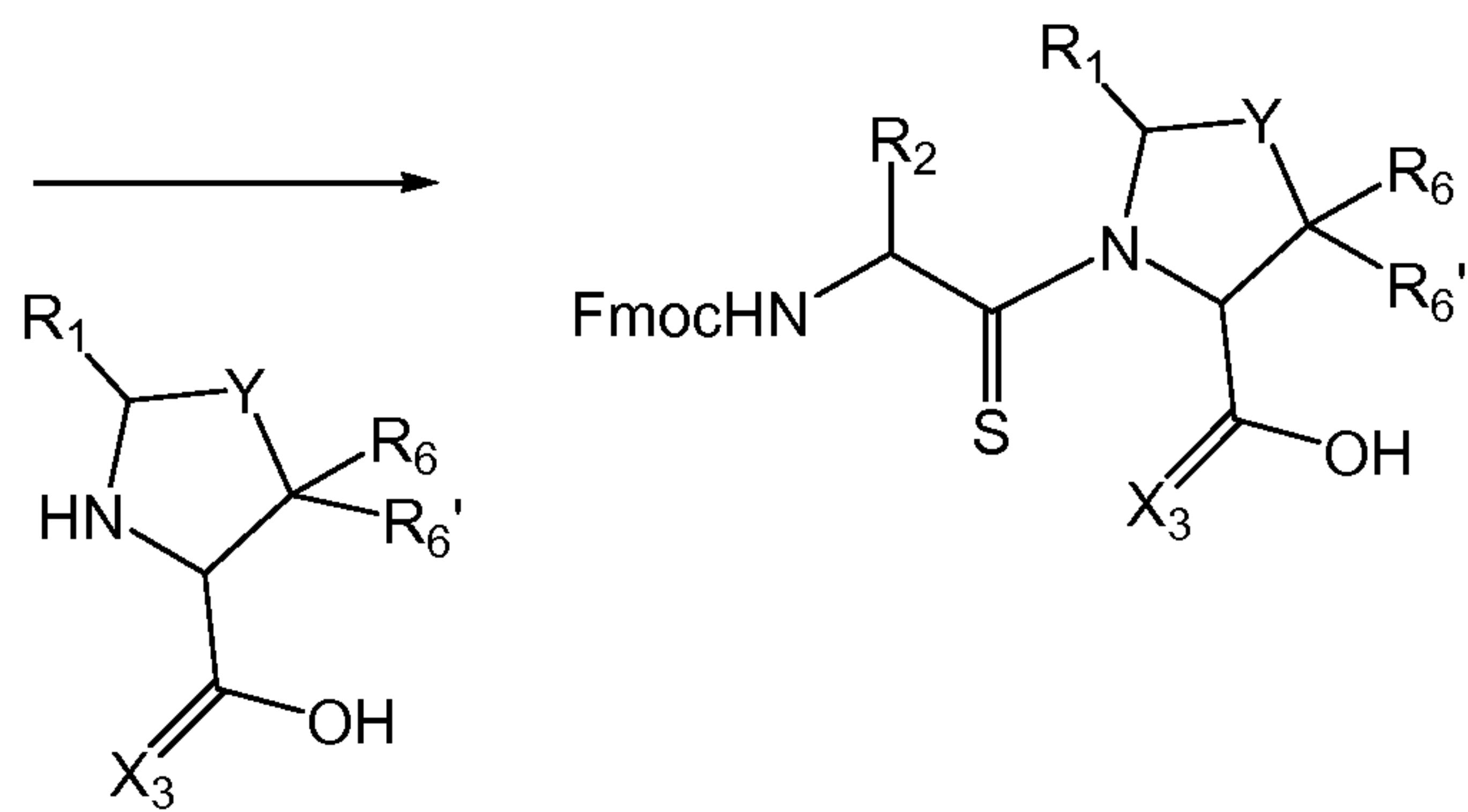
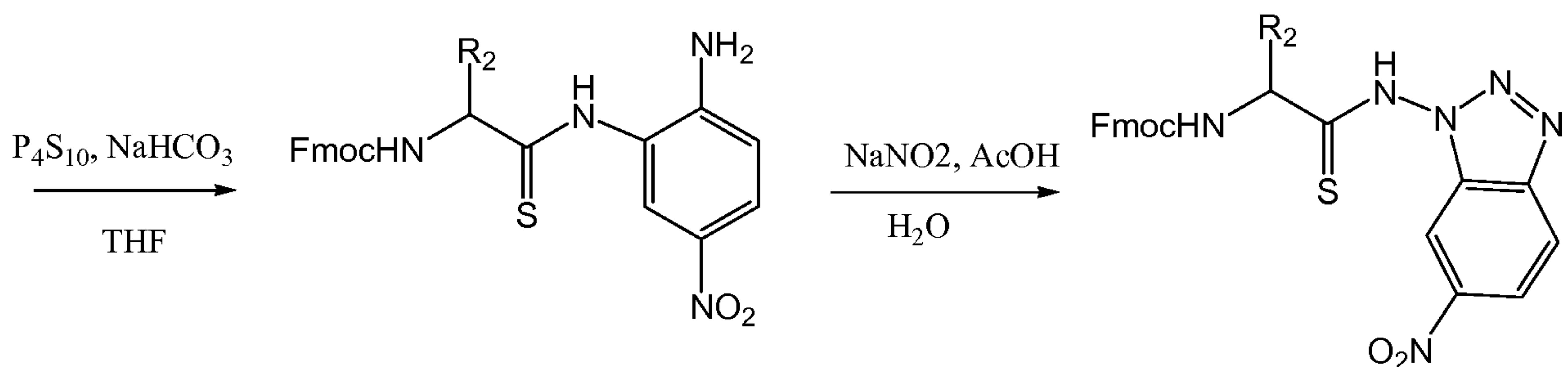
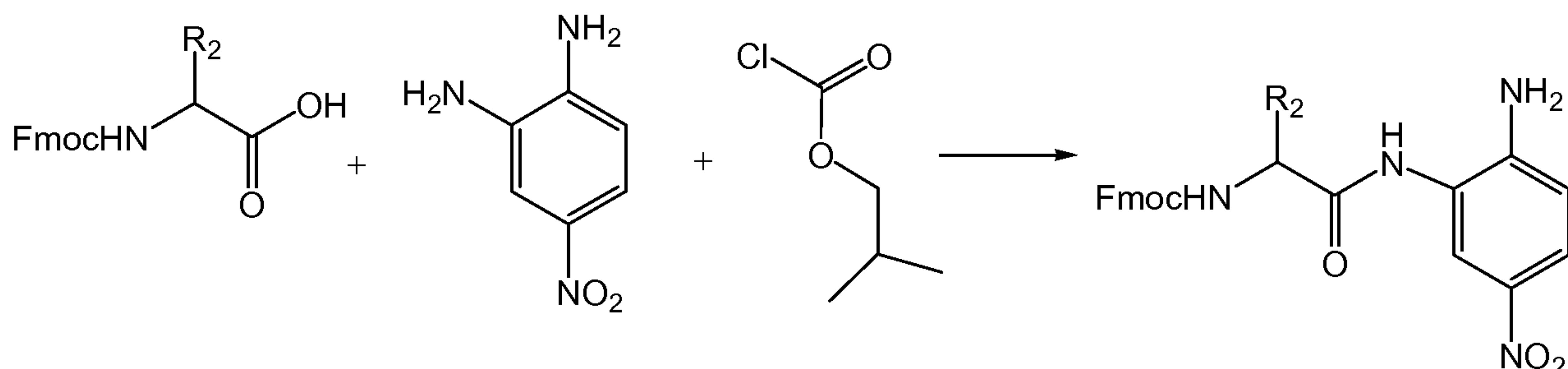
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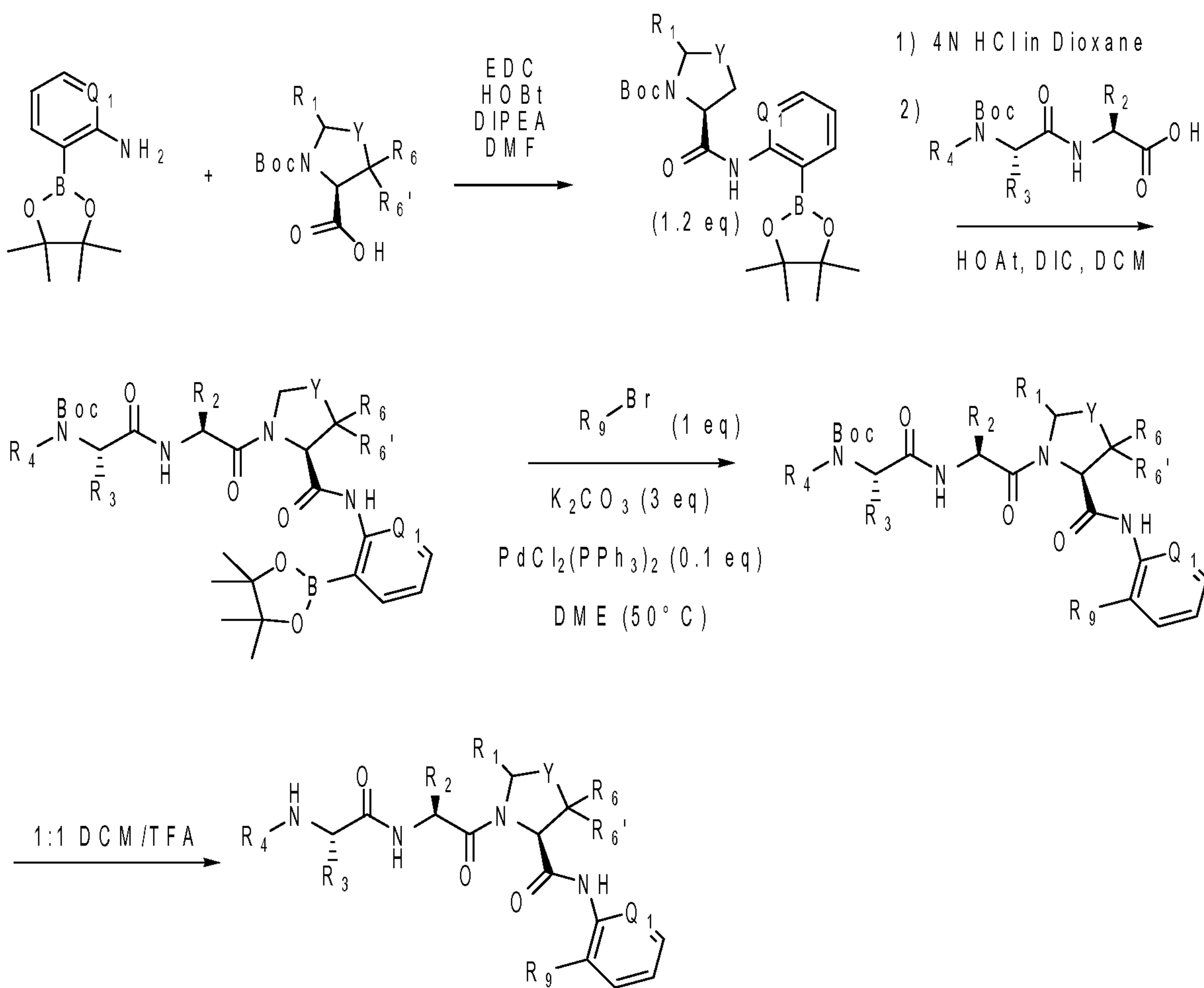
Compounds of the invention in which any one or more of  $X_1$ ,  $X_2$  and  $X_3$  are sulfur, i.e. the compound incorporates a thioamide, may be prepared according to established organic chemistry techniques. For example, compounds in which  $X_2$  is sulfur can be prepared according to scheme 18 starting from an Fmoc protected amino acid residue analog  $\text{NH}_2\text{-CH}(\text{R}_2)\text{-COOH}$  which is dissolved in THF and cooled to  $-25^\circ\text{C}$ , with addition of DIPEA followed by addition of isobutylchloroformate. After 10 minutes, the diamine, 4-nitrobenzene-1,2-diamine, is added and the reaction mixture is continuously stirred at  $-25^\circ\text{C}$  for 2 hours, then at room temperature overnight. THF is vacuumed off and the mixture is then subjected to flash chromatography using 50% EtOAc/Hexane to yield the product. The Fmoc-alanine derivative, phosphorus pentasulfide and sodium carbonate are mixed in THF and stirred overnight. The solution is concentrated and direct chromatography using 80% EtOAc/Hexane yields the activated thioalanine. The activated thioalanine and sodium nitrite are then mixed in acetic acid and diluted with  $\text{H}_2\text{O}$ . The resulting precipitant is filtered and dried to yield the product. The thioalanine is coupled to an OH-protected proline amino acid residue analog by dissolving both in DMF. The thioamide product may then be deprotected with 20% PIP/DMA for 15 minutes and used to conjugate to the  $\text{R}_4/\text{R}_4'\text{-N-CH}(\text{R}_3)\text{-COOH}$  amino acid residue analog followed by OH-deprotection and coupling to an amino-substituted A ring intermediate. Alternatively the Fmoc-protected thioamide is first coupled to an amino substituted A ring intermediate followed by Fmoc deprotection and subsequent coupling to the  $\text{R}_4/\text{R}_4'\text{-N-CH}(\text{R}_3)\text{-COOH}$  amino acid residue analog.

Scheme 6



15 In a particular embodiment when  $R_9$  is aryl or heteroaryl, compounds of the invention may be prepared using Suzuki coupling techniques. For example, particular compounds of the invention in which  $R_9$  is aryl or heteroaryl may be prepared according to scheme 7.

Scheme 7



## 10 INDICATIONS

The compounds of the invention inhibit the binding of IAP proteins to caspases, in particular X-IAP binding interaction with caspases 3 and 7. The compounds also inhibit the binding of ML-IAP to Smac protein. Accordingly, the compounds of the invention are useful for inducing apoptosis in 15 cells or sensitizing cells to apoptotic signals, in particular cancer cells. Compounds of the invention are useful for inducing apoptosis in cells that overexpress IAP proteins. Alternatively, compounds of the invention are useful for inducing apoptosis in cells in which the mitochondrial 20 apoptotic pathway is disrupted such that release of Smac from ML-IAP proteins is inhibited, for example by up regulation of Bcl-2 or down regulation of Bax/Bak. More broadly, the compounds can be used for the treatment of all cancer types which fail to undergo apoptosis. Examples of such cancer types include neuroblastoma, intestine carcinoma such as rectum carcinoma, colon carcinoma, familial adenomatous polyposis carcinoma and hereditary non-polyposis colorectal

cancer, esophageal carcinoma, labial carcinoma, larynx carcinoma, hypopharynx carcinoma, tongue carcinoma, salivary gland carcinoma, gastric carcinoma, adenocarcinoma, medullary thyroidea carcinoma, papillary thyroidea carcinoma, renal carcinoma, kidney parenchym carcinoma, ovarian carcinoma, cervix carcinoma, uterine corpus carcinoma, endometrium carcinoma, chorion carcinoma, pancreatic carcinoma, prostate carcinoma, testis carcinoma, breast carcinoma, urinary carcinoma, melanoma, brain tumors such as glioblastoma, astrocytoma, meningioma, medulloblastoma and peripheral neuroectodermal tumors, Hodgkin lymphoma, non-Hodgkin lymphoma, Burkitt lymphoma, acute lymphatic leukemia (ALL), chronic lymphatic leukemia (CLL), acute myeloid leukemia (AML), chronic myeloid leukemia (CML), adult T-cell leukemia lymphoma, hepatocellular carcinoma, gall bladder carcinoma, bronchial carcinoma, small cell lung carcinoma, non-small cell lung carcinoma, multiple myeloma, basalioma, teratoma, retinoblastoma, choroidea melanoma, seminoma, rhabdomyo sarcoma, craniopharyngeoma, osteosarcoma, chondrosarcoma, myosarcoma, liposarcoma, fibrosarcoma, Ewing sarcoma and plasmacytoma.

In an embodiment, compounds of the invention selectively bind cIAP1 relative to XIAP as measured in a binding assay such as a Time-Resolved Fluorescence Resonance Energy Transfer (TR-FRET assay or a Fluorescence Polarization assay as described herein. In a particular embodiment, compounds of the invention have >10-fold selective binding to cIAP1 relative to XIAP. In another particular embodiment, compounds of the invention have >100-fold selective binding to cIAP1. In a particular embodiment, compounds of the invention have >1000-fold selective binding to cIAP1.

Compounds of the invention are useful for sensitizing cells to apoptotic signals. Accordingly, the compounds may be administered prior to, concomitantly with, or following administration of radiation therapy or cytostatic or antineoplastic chemotherapy. Suitable cytostatic chemotherapy compounds include, but are not limited to (i) antimetabolites, such as cytarabine, fludarabine, 5-fluoro-2'-deoxyuridine, gemcitabine, hydroxyurea or methotrexate; (ii) DNA-fragmenting agents, such as bleomycin; (iii) DNA-crosslinking agents, such as chlorambucil, cisplatin, cyclophosphamide or nitrogen mustard; (iv) intercalating agents such as adriamycin (doxorubicin) or mitoxantrone; (v) protein synthesis inhibitors, such as L-asparaginase, cycloheximide, puromycin or diphtheria toxin; (Vi) topoisomerase I poisons, such as camptothecin or topotecan; (vii) topoisomerase II poisons, such as etoposide (VP-16) or teniposide; (viii) microtubule-directed agents, such as colcemid, colchicine, paclitaxel, vinblastine or vincristine; (ix) kinase inhibitors such as flavopiridol, staurosporin, STI571 (CPG 57148B) or UCN-01 (7-hydroxystaurosporine); (x) miscellaneous investigational agents such as thioplatin, PS-341, phenylbutyrate, ET-18-OCH<sub>3</sub>,

or farnesyl transferase inhibitors (L-739749, L-744832); polyphenols such as quercetin, resveratrol, piceatannol, epigallocatechine gallate, theaflavins, flavanols, procyanidins, betulinic acid and derivatives thereof; (xi) hormones such as glucocorticoids or fenretinide; (xii) hormone antagonists, such as tamoxifen, finasteride or LHRH antagonists. In a preferred embodiment, 5 compounds of the present invention are coadministered with a cytostatic compound selected from the group consisting of cisplatin, doxorubicin, taxol, taxotere and mitomycin C. Most preferred, the cytostatic compound is doxorubicin.

Another class of active compounds which can be used in the present invention are those which are 10 able to sensitize for or induce apoptosis by binding to death receptors ("death receptor agonists"). Such agonists of death receptors include death receptor ligands such as tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ), tumor necrosis factor  $\beta$  (TNF- $\beta$ , lymphotoxin- $\alpha$ ), LT- $\beta$  (lymphotoxin- $\beta$ ), TRAIL (Apo2L, DR4 ligand), CD95 (Fas, APO-1) ligand, TRAMP (DR3, Apo-3) ligand, DR6 ligand as 15 well as fragments and derivatives of any of said ligands. Preferably, the death receptor ligand is TNF- $\alpha$ . More preferably the death receptor ligand is Apo2L/TRAIL. Furthermore, death receptors agonists comprise agonistic antibodies to death receptors such as anti-CD95 antibody, anti-TRAIL-R1 (DR4) antibody, anti-TRAIL-R2 (DR5) antibody, anti-TRAIL-R3 antibody, anti-TRAIL-R4 antibody, anti-DR6 antibody, anti-TNF-R1 antibody and anti-TRAMP (DR3) antibody as well as fragments and derivatives of any of said antibodies.

20 For the purpose of sensitizing cells for apoptosis, the compounds of the present invention can be also used in combination with radiation therapy. The phrase "radiation therapy" refers to the use of electromagnetic or particulate radiation in the treatment of neoplasia. Radiation therapy is based on the principle that high-dose radiation delivered to a target area will result in the death of 25 reproducing cells in both tumor and normal tissues. The radiation dosage regimen is generally defined in terms of radiation absorbed dose (rad), time and fractionation, and must be carefully defined by the oncologist. The amount of radiation a patient receives will depend on various consideration but the two most important considerations are the location of the tumor in relation to other critical structures or organs of the body, and the extent to which the tumor has spread. Examples of radiotherapeutic agents are provided in, but not limited to, radiation therapy and is 30 known in the art (Hellman, Principles of Radiation Therapy, Cancer, in Principles I and Practice of Oncology, 24875 (Devita et al., 4th ed., vol 1, 1993). Recent advances in radiation therapy include three-dimensional conformal external beam radiation, intensity modulated radiation therapy (IMRT), stereotactic radiosurgery and brachytherapy (interstitial radiation therapy), the latter placing the source of radiation directly into the tumor as implanted "seeds". These newer treatment

modalities deliver greater doses of radiation to the tumor, which accounts for their increased effectiveness when compared to standard external beam radiation therapy.

Ionizing radiation with beta-emitting radionuclides is considered the most useful for radiotherapeutic applications because of the moderate linear energy transfer (LET) of the ionizing particle (electron) and its intermediate range (typically several millimeters in tissue). Gamma rays deliver dosage at lower levels over much greater distances. Alpha particles represent the other extreme, they deliver very high LET dosage, but have an extremely limited range and must, therefore, be in intimate contact with the cells of the tissue to be treated. In addition, alpha emitters are generally heavy metals, which limits the possible chemistry and presents undue hazards from leakage of radionuclide from the area to be treated. Depending on the tumor to be treated all kinds of emitters are conceivable within the scope of the present invention.

Furthermore, the present invention encompasses types of non-ionizing radiation like e.g. ultraviolet (UV) radiation, high energy visible light, microwave radiation (hyperthermia therapy), infrared (IR) radiation and lasers. In a particular embodiment of the present invention UV radiation is applied.

The invention also includes pharmaceutical compositions or medicaments containing the compounds of the invention and a therapeutically inert carrier, diluent or excipient, as well as methods of using the compounds of the invention to prepare such compositions and medicaments. Typically, the compounds of formula I used in the methods of the invention are formulated by mixing at ambient temperature at the appropriate pH, and at the desired degree of purity, with physiologically acceptable carriers, i.e., carriers that are non-toxic to recipients at the dosages and concentrations employed into a galenical administration form. The pH of the formulation depends mainly on the particular use and the concentration of compound, but preferably ranges anywhere from about 3 to about 8. Formulation in an acetate buffer at pH 5 is a suitable embodiment.

The inhibitory compound for use herein is preferably sterile. The compound ordinarily will be stored as a solid composition, although lyophilized formulations or aqueous solutions are acceptable.

The composition of the invention will be formulated, dosed, and administered in a fashion consistent with good medical practice. Factors for consideration in this context include the particular disorder being treated, the particular mammal being treated, the clinical condition of the individual patient, the cause of the disorder, the site of delivery of the agent, the method of administration, the scheduling of administration, and other factors known to medical

practitioners. The “effective amount” of the compound to be administered will be governed by such considerations, and is the minimum amount necessary to inhibit IAP interaction with caspases, induce apoptosis or sensitize a malignant cell to an apoptotic signal. Such amount is preferably below the amount that is toxic to normal cells, or the mammal as a whole.

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Generally, the initial pharmaceutically effective amount of the compound of the invention administered parenterally per dose will be in the range of about 0.01-100 mg/kg, preferably about 0.1 to 20 mg/kg of patient body weight per day, with the typical initial range of compound used being 0.3 to 15 mg/kg/day. Oral unit dosage forms, such as tablets and capsules, preferably 10 contain from about 25 to about 1000 mg of the compound of the invention.

The compound of the invention may be administered by any suitable means, including oral, topical, transdermal, parenteral, subcutaneous, intraperitoneal, intrapulmonary, and intranasal, and, if desired for local treatment, intralesional administration. Parenteral infusions include 15 intramuscular, intravenous, intraarterial, intraperitoneal, or subcutaneous administration. An example of a suitable oral dosage form is a tablet containing about 25mg, 50mg, 100mg, 250mg, or 500mg of the compound of the invention compounded with about 90-30 mg anhydrous lactose, about 5-40 mg sodium croscarmellose, about 5-30mg polyvinylpyrrolidone (PVP) K30, and about 1-10 mg magnesium stearate. The powdered ingredients are first mixed together and then mixed 20 with a solution of the PVP. The resulting composition can be dried, granulated, mixed with the magnesium stearate and compressed to tablet form using conventional equipment. An aerosol formulation can be prepared by dissolving the compound, for example 5-400 mg, of the invention in a suitable buffer solution, e.g. a phosphate buffer, adding a tonicifier, e.g. a salt such sodium chloride, if desired. The solution is typically filtered, e.g. using a 0.2 micron filter, to remove 25 impurities and contaminants.

## EXAMPLES

30 The invention will be more fully understood by reference to the following examples. They should not, however, be construed as limiting the scope of the invention. Abbreviations used herein are as follows:

ACN: acetonitrile;

Chg: cyclohexylglycine;

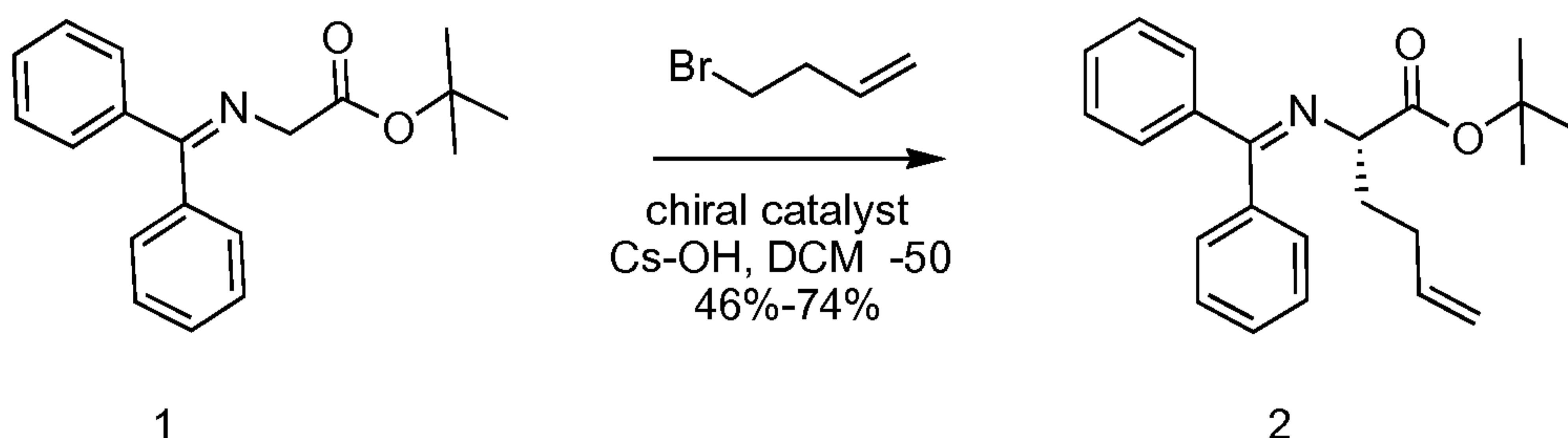
35 DCM: dichloromethane;

DIBoc: di-t-butyl dicarbonate

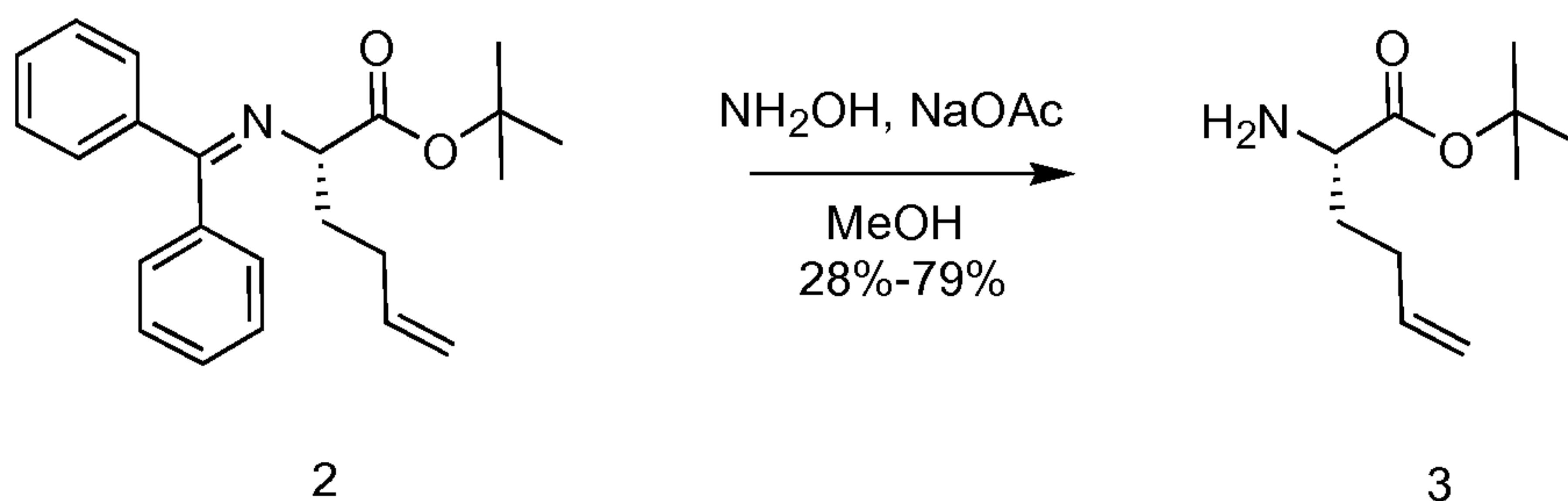
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DIPEA: diisopropylethylamine;  
DMAP: 4- dimethylaminopyridine;  
DME: 1,2-dimethoxyethane;  
DMF: dimethylformamide;  
5 DMSO: dimethylsulfoxide  
EDC: 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide;  
EEDQ: 2-ethoxy-1-ethoxycarbonyl-1,2-dihydroquinoline  
LCMS: liquid chromatography mass spectrometry;  
HATU: O-(7-Azobenzotriazol-1-yl)-1,1,3,3-tetramethyluronium hexafluorophosphate;  
10 HOEt: N-Hydroxybenzotriazole  
HBTU: 2-(1H-Benzotriazol-1-yl)-1,1,3,3-Tetramethyl-uronium Hexafluorophosphate  
HPLC: high performance liquid chromatography;  
NBS: N-bromosuccinamide;  
TASF: tris(dimethylamino)sulfonium difluorotrimethylsilicate;  
15 TEA: triethylamine;  
TFA: trifluoroacetate;  
THF: tetrahydrofuran;

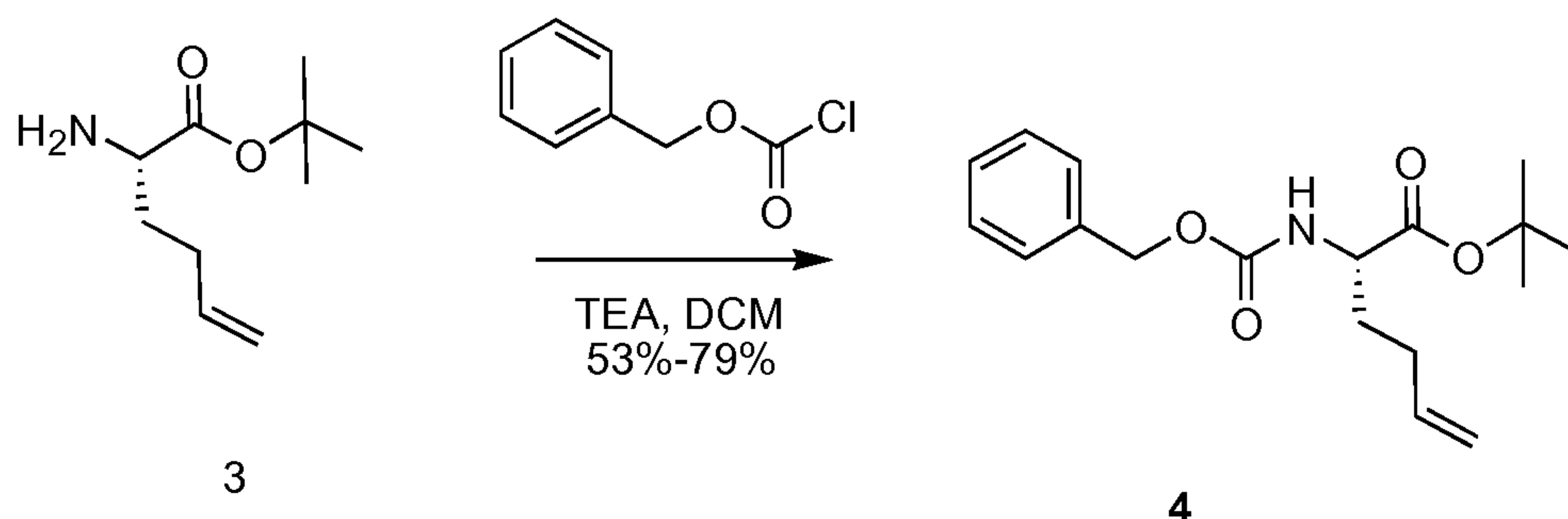
20 Example 1 6-(1,3-Dioxo-1,3-dihydro-isoindol-2-yl)-5-oxo-octahydro-thiazolo[3,2-a]azepine-3-carboxylic acid ethyl ester



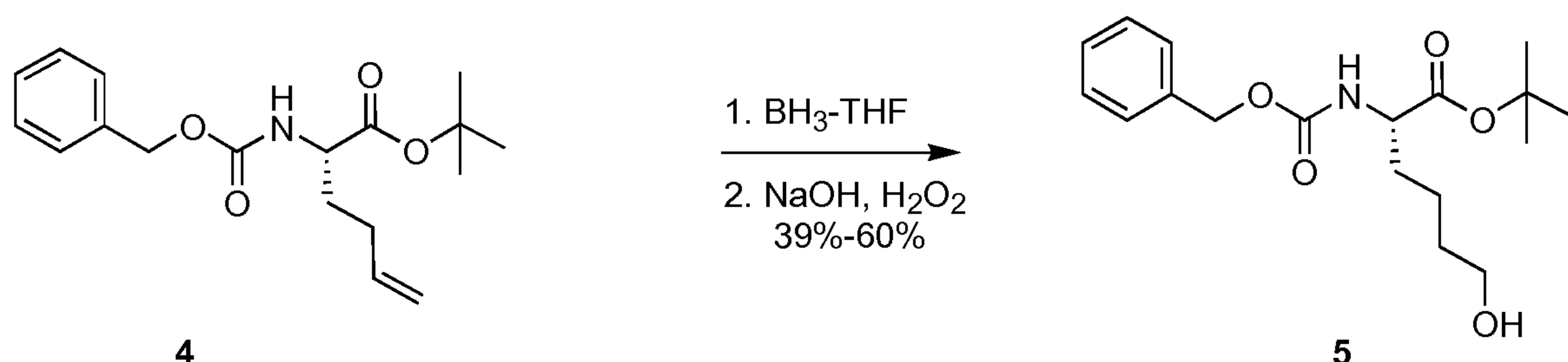
To a stirred solution of N-(Diphenylmethylene) glycine t-butyl ester **1** (3.0 g, 10.1 mmol) and chiral catalyst O-Allyl-N-(9-anthracynlmethyl)-cinchonidium bromide (613 mg, 1.0 mmol) in dry DCM (30 mL) was added cesium hydroxide (17 g, 101 mmol). The reaction was cooled to -78°C in a dry ice acetone bath and 4-bromo-1-butene was added dropwise. After addition the reaction was stirred vigorously under N<sub>2</sub> at -48°C for 48 hours. Ethyl ether was added followed by H<sub>2</sub>O. The organic layer was separated and washed 2x with H<sub>2</sub>O, 1x brine, dried with MgSO<sub>4</sub> and concentrated. The product was purified by SiO<sub>2</sub> chromatography over a gradient of 0-10% EtOAc in Hexanes to give **2** in 65% yield.



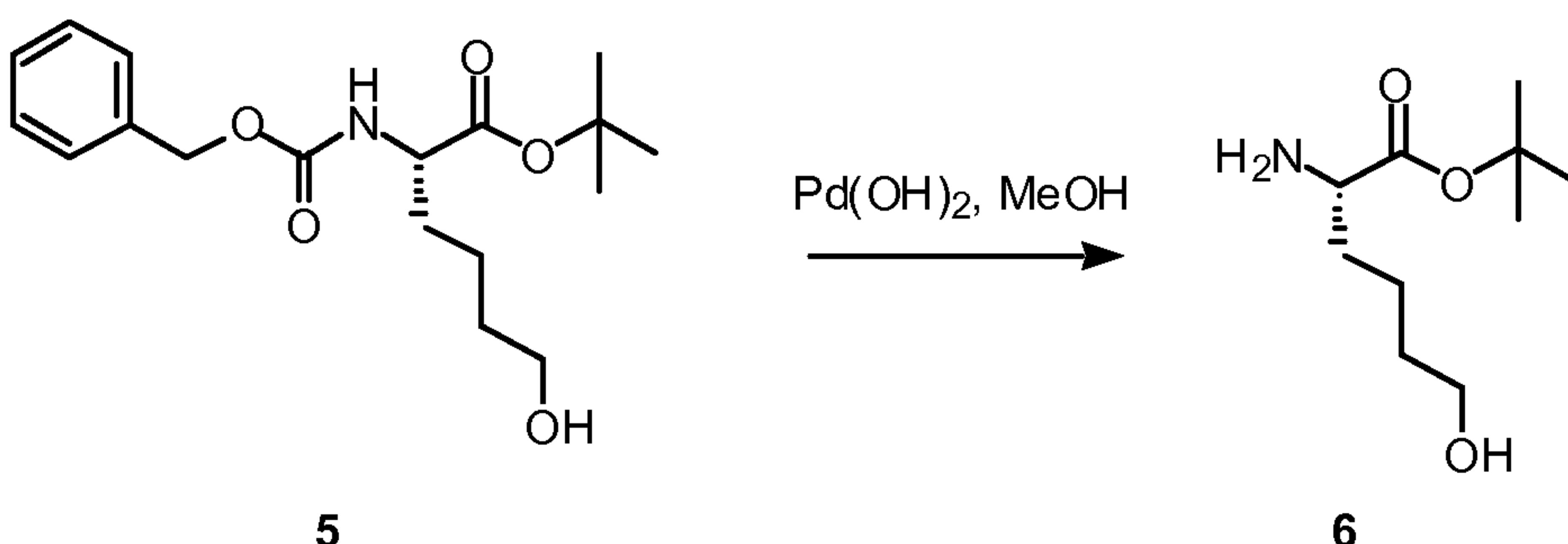
To a stirred solution of **2** (1.52 g, 4.3 mmol) in dry MeOH (50 mL) was added NaOAc (720 mg, 8.6 mmol) and NH<sub>2</sub>OH·HCl (540 mg, 7.6 mmol). Stirred under N<sub>2</sub> at room temperature for 2 hours. DCM and 0.1 N NaOH were added. The aqueous layer was separated and extracted 3x with DCM, dried with Na<sub>2</sub>SO<sub>4</sub> and the DCM fractions were combined and concentrated. The product was purified by SiO<sub>2</sub> chromatography, 0-10% MeOH in DCM with 0.05% TEA to give **3** in 70% yield.



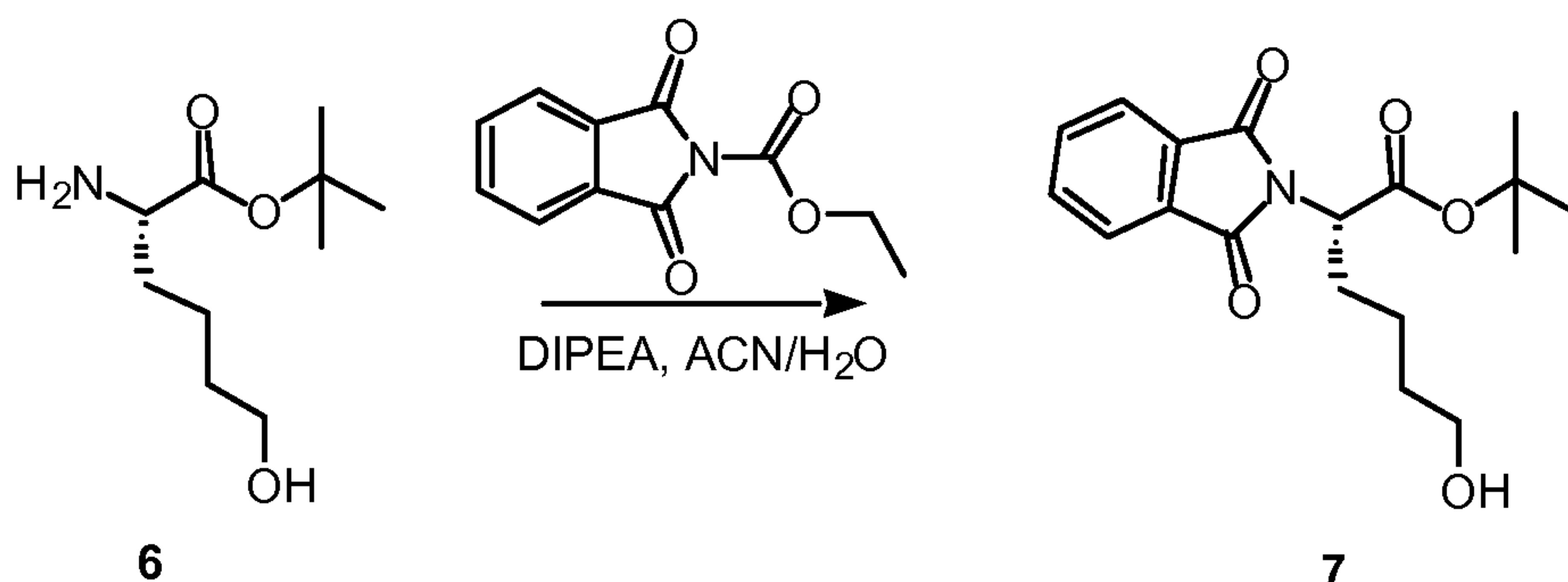
To a solution of **3** (610 mg, 3.3 mmol) in dry DCM (20 mL) was added triethylamine (550  $\mu$ L, 3.9 mmol) and benzyl chloroformate (550  $\mu$ L, 3.9 mmol). The reaction was stirred at room temperature for 2 hours. The solution was concentrated and purified by  $\text{SiO}_2$  chromatography over a gradient of 0-30% EtOAc in Hexanes to give **4** in 66% yield.



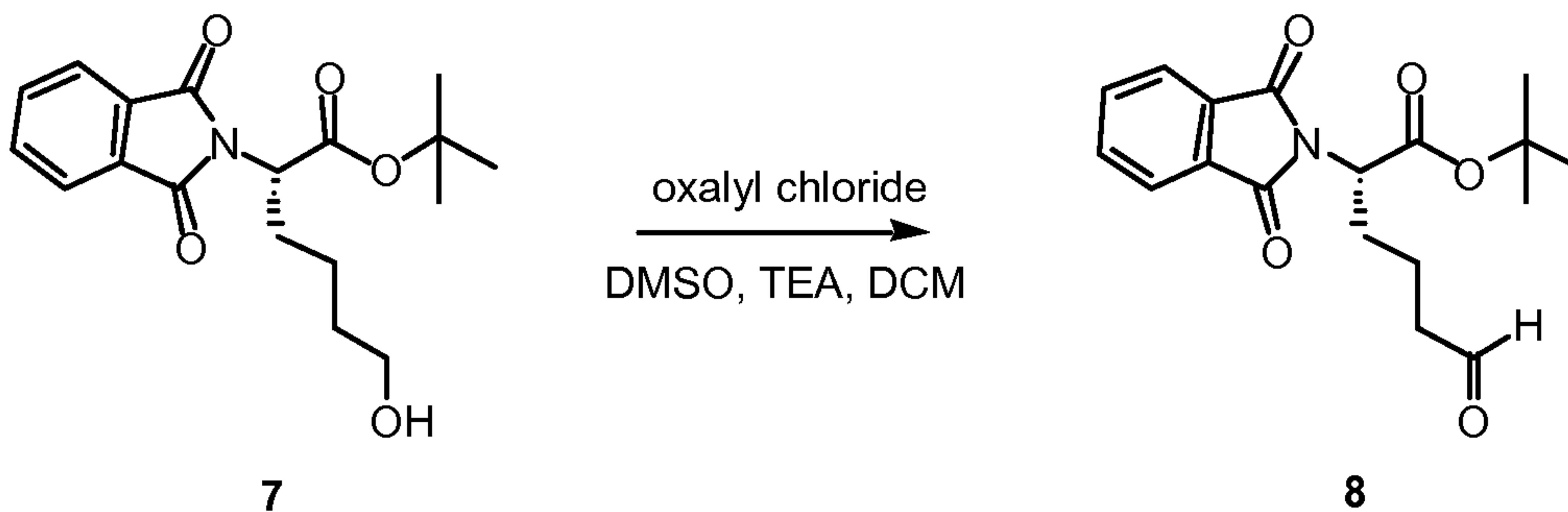
To a stirred solution of **4** (577 mg, 1.8 mmol) in THF (20 mL) under  $\text{N}_2$  was added  $\text{BH}_3\text{-THF}$ . After 1 hour 3 N NaOH (300  $\mu\text{L}$ , 0.9 mmol) and  $\text{H}_2\text{O}_2$  (306  $\mu\text{L}$ , 2.7 mmol) was added. The reaction was stirred overnight and subsequently diluted with  $\text{H}_2\text{O}$ , extracted 2x with ethyl ether, dried with  $\text{MgSO}_4$  and concentrated. The product was purified by  $\text{SiO}_2$  chromatography over a gradient of 10-45% EtOAc in Hexanes to give **5** in 50% yield.



To a stirred solution of **5** (71 mg, 0.21 mmol) in MeOH (2 mL) under 1 atm H<sub>2</sub> 10% palladium hydroxide on carbon (30 mg) was added. The reaction was complete after 30 minutes. The reaction was filtered over Celite and concentrated to give **6** in quantitative yield.

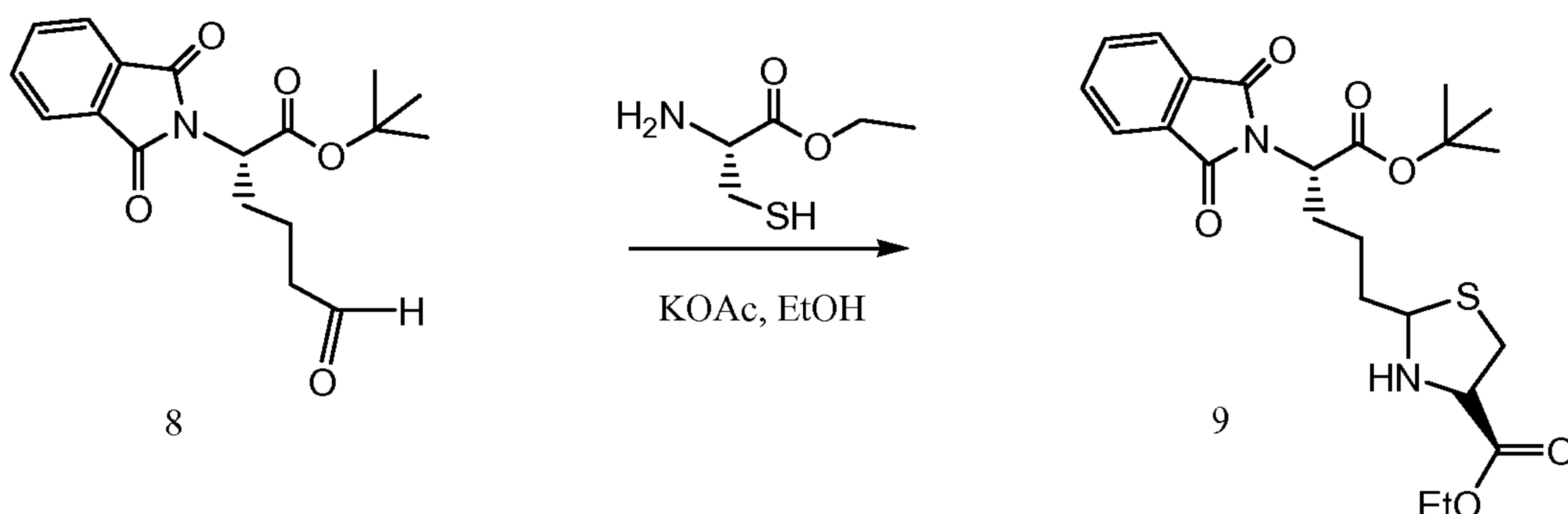


To **6** (42 mg, 0.21 mmol) in ACN (2 mL) carbethoxyphthalimide (50 mg, 0.23 mmol) was added with DIPEA (40  $\mu$ L, 0.23 mmol) and stirred at room temperature for 2 hours. H<sub>2</sub>O (1 mL) was added and stirred for an additional 10 minutes. The ACN was evaporated off and DCM and 10% citric acid were added. The aqueous layer was separated and extracted 3x with DCM, the DCM portions were combined, dried with Na<sub>2</sub>SO<sub>4</sub>, and concentrated to give **7** in 95% yield.

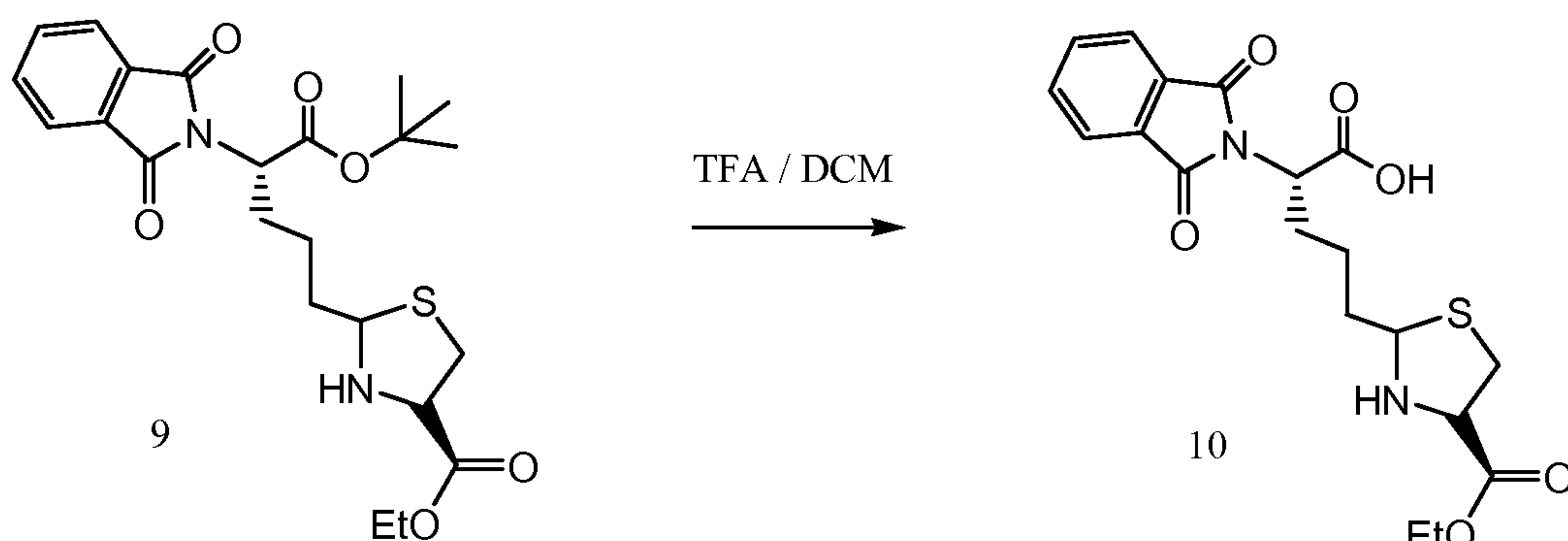


Oxaly chloride (561  $\mu$ L, 6.60 mmol) was dissolved in DCM (35 mL), cooled to -78°C, stirred for 5 minutes followed by addition of a solution of dimethylsulfoxide (870  $\mu$ L, 12.3 mmol) in DCM (2.5 mL). After stirring for 5 minutes **7** (1.05 g, 3.15 mmol) in dichloromethane (20 mL) was added followed by triethylamine (2.37 mL, 17.0 mmol). The reaction was slowly warmed to room temperature. DCM and H<sub>2</sub>O were added, the aqueous layer separated and extracted 2x with DCM.

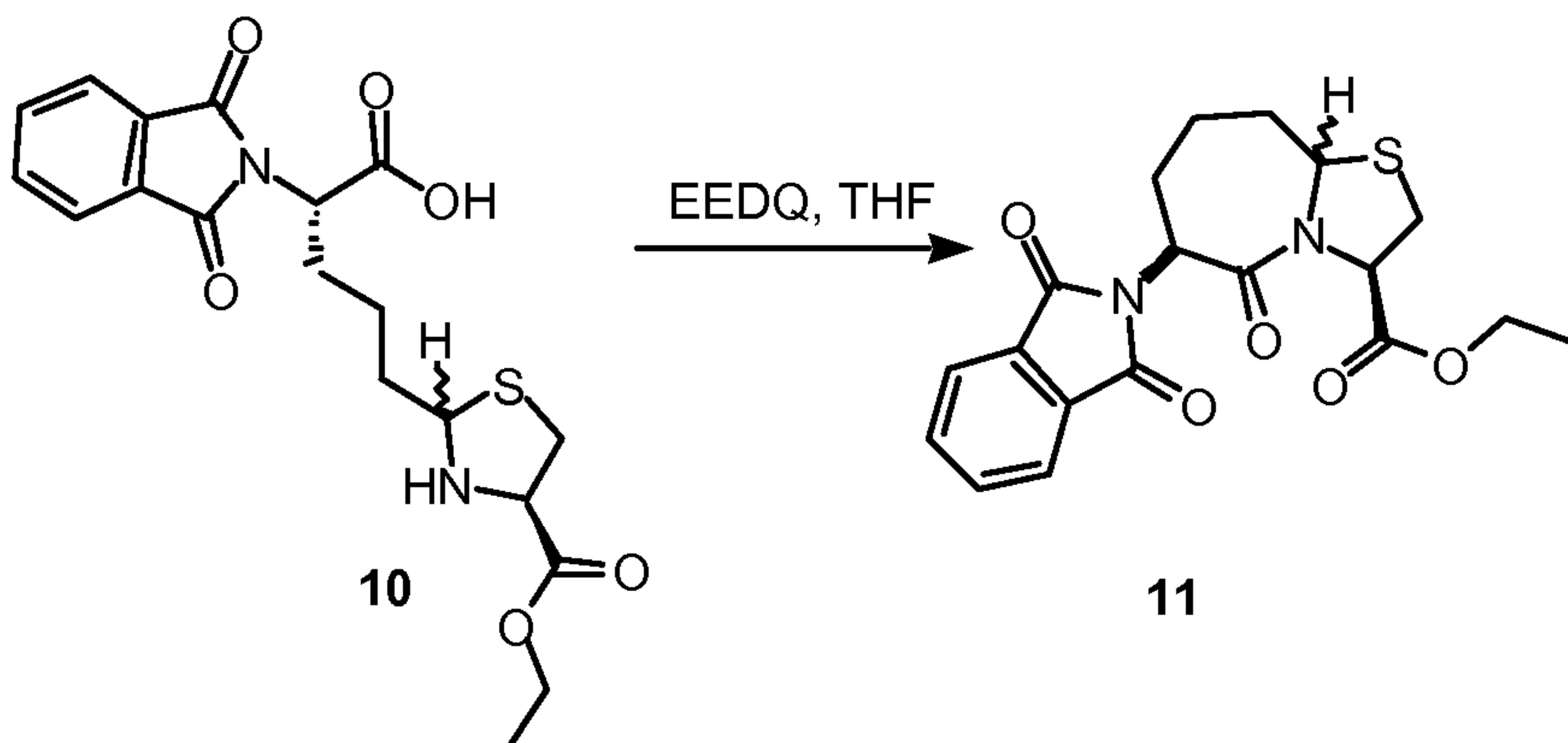
The DCM portions were combined, filtered through  $\text{Na}_2\text{SO}_4$ , and concentrated to give **8** in 95% yield.



5 L-cysteine ethyl ester hydrochloride (643 mg, 3.5 mmol) and potassium acetate (343 mg, 3.5 mmol) were dissolved in stirring EtOH (13 mL), and cooled to 0°C in an ice water bath. Compound **8** was dissolved in EtOH (13 mL) and added. The reaction was stirred at 0°C for 4 hours, LCMS confirmed the conversion of **8** into two diastereomeric products. The reaction was filtered, EtOH evaporated, redissolved in DCM and washed with brine, dried with MgSO<sub>4</sub> and concentrated to give a 1:1 mixture of diastereomers **9** in quantitative yield.

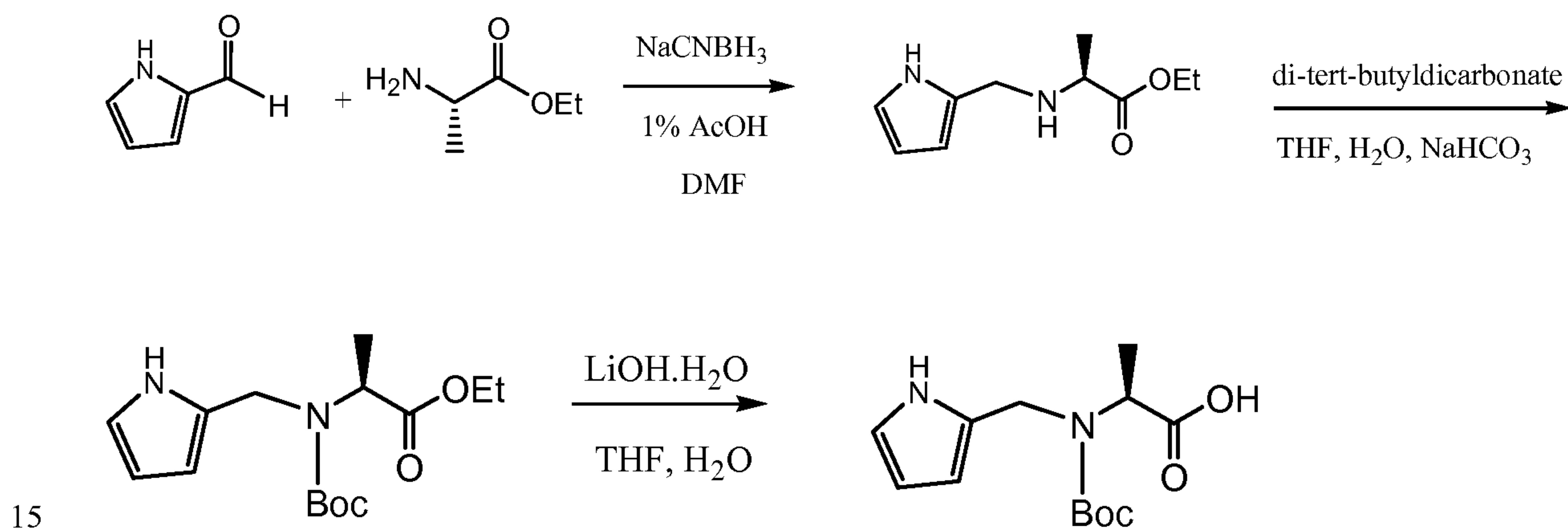


The diastereomers were redissolved in 1:1 TFA:DCM (10 mL) and stirred for 1 hour at room temperature. LCMS showed complete conversion to **10**. The reaction was concentrated to give **10** in 95% yield for the two diastereomers.



To a stirred solution of **10** (675 mg, 1.67 mmol) in THF (20 mL), EEDQ (619 mg, 2.50 mmol) was added. Stirred at room temperature for 2 days. The THF was removed under reduced pressure, the product redissolved in EtOAc. The organic layer was washed with 0.5 N HCl, 0.5% NaHCO<sub>3</sub>, H<sub>2</sub>O, brine. The EtOAc solution was dried with MgSO<sub>4</sub> and concentrated. The product was purified via reverse phase HPLC 10-70% ACN in H<sub>2</sub>O to give two diastereomers **11**, 20% yield for diastereomer 1 and 18% yield for diastereomer 2.

Example 2 2-[tert-butoxycarbonyl-(1H-pyrrol-2-ylmethyl)-amino]-propionic acid



Alanine ethyl ester (5g, 32.5mmol), pyrrole-2-carboxaldehyde (3.1g, 32.5mmol), sodium cyanoborohydride (2.04g, 32.5mmol) and AcOH (1%) were mixed in DMF and stirred overnight. The reaction was quenched with  $\text{H}_2\text{O}$ , and DMF was evaporated. The mixture was diluted with EtOAc, washed by 0.1N NaOH, dried and concentrated to yield product 2.5g. The resulting ester (2.5g, 12.8mmol), di-tert-butyldicarbonate (3.06g, 14mmol) were mixed in THF,  $\text{H}_2\text{O}$  with  $\text{NaHCO}_3$  and stirred overnight. THF was evaporated, and the mixture was diluted with EtOAc, washed by 1N NaOH, sat.  $\text{NH}_4\text{Cl}$  and brine. After dried, the mixture was concentrated to yield the Boc-protected ester 3.3g. The Boc-protected ester (1.67g, 5.6mol), lithium hydroxide mono

hydrate (284mg, 6.77mmol) were mixed in THF and H<sub>2</sub>O at 0°C. THF was vacuumed off, and the solution was acidified by dilute H<sub>2</sub>SO<sub>4</sub>, extracted by EtOAc twice. Organic layers were combined, dried and evaporated.

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Example 3 tetrahydropyranyl glycine

Tetrahydropyranyl glycine is available from NovaBiochem, or synthesized according to the literature: Ghosh, A. K.; Thompson, W. J.; holloway, M. K.; McKee, S. P.; Duong, T. T.; Lee, H. 10 Y.; Munson, P. M.; Smith, A. M.; Wai, J. M; Darke, P. L.; Zugay, J. A.; Emini, E. A.; Schleife, W. A.; Huff, J. R.; Anderson, P. S. *J. Med. Chem.*, 1993, 36, 2300-2310.

Example 4 piperidinyl glycine

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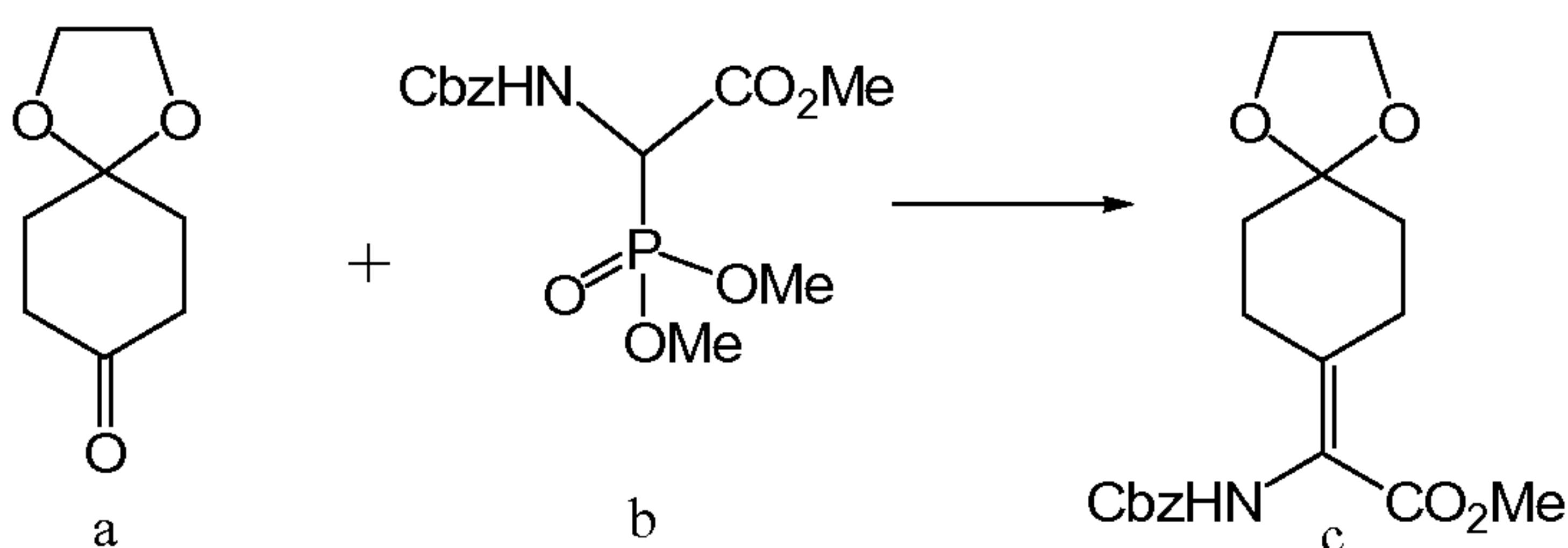
Piperidinyl glycine was synthesized according to the literature: Shieh, W-C.; Xue, S.; Reel, N.; Wu, R.; Fitt, J.; Repic, O. *Tetrahedron: Asymmetry*, 2001, 12, 2421-2425.

20 Example 5 4,4-difluorocyclohexyl glycine

4,4-difluorocyclohexyl glycine was made according to the procedures described in US 2003/0216325.

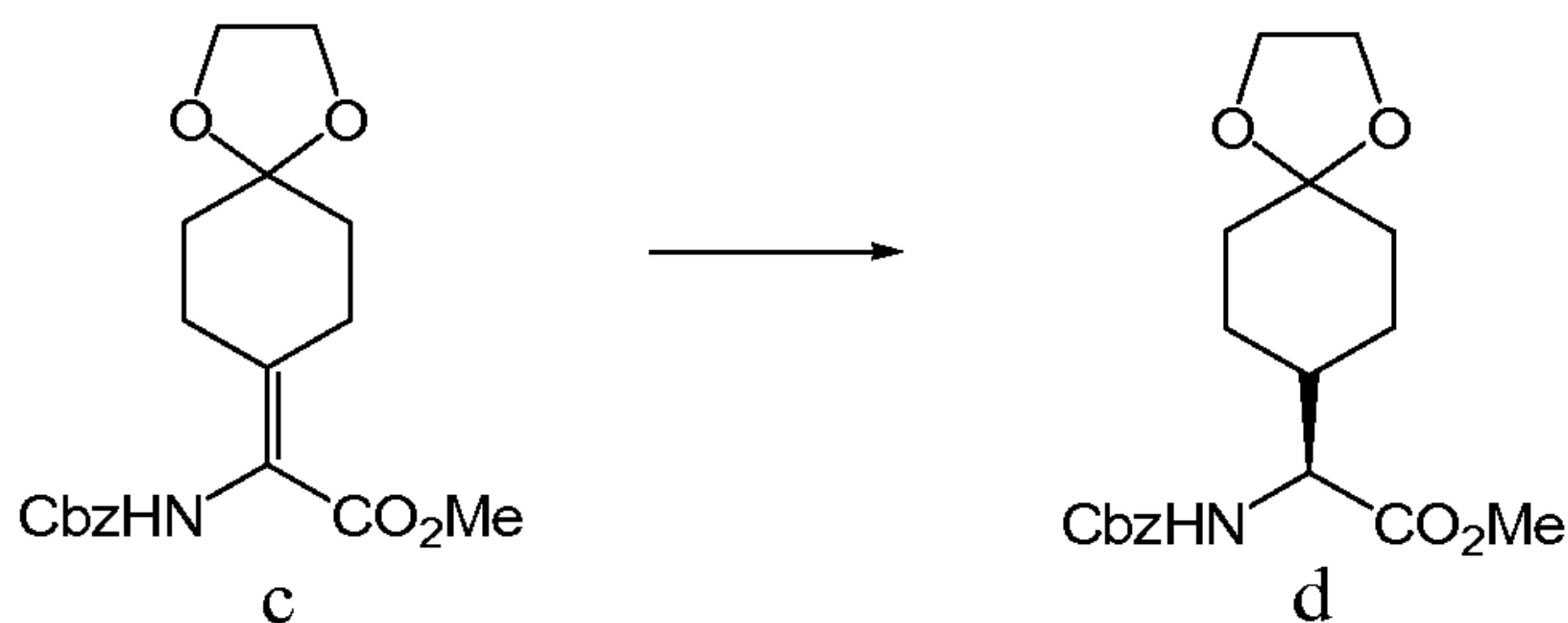
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Example 6 Boc (S)-2-amino-2-(4-hydroxycyclohexyl)acetic acid

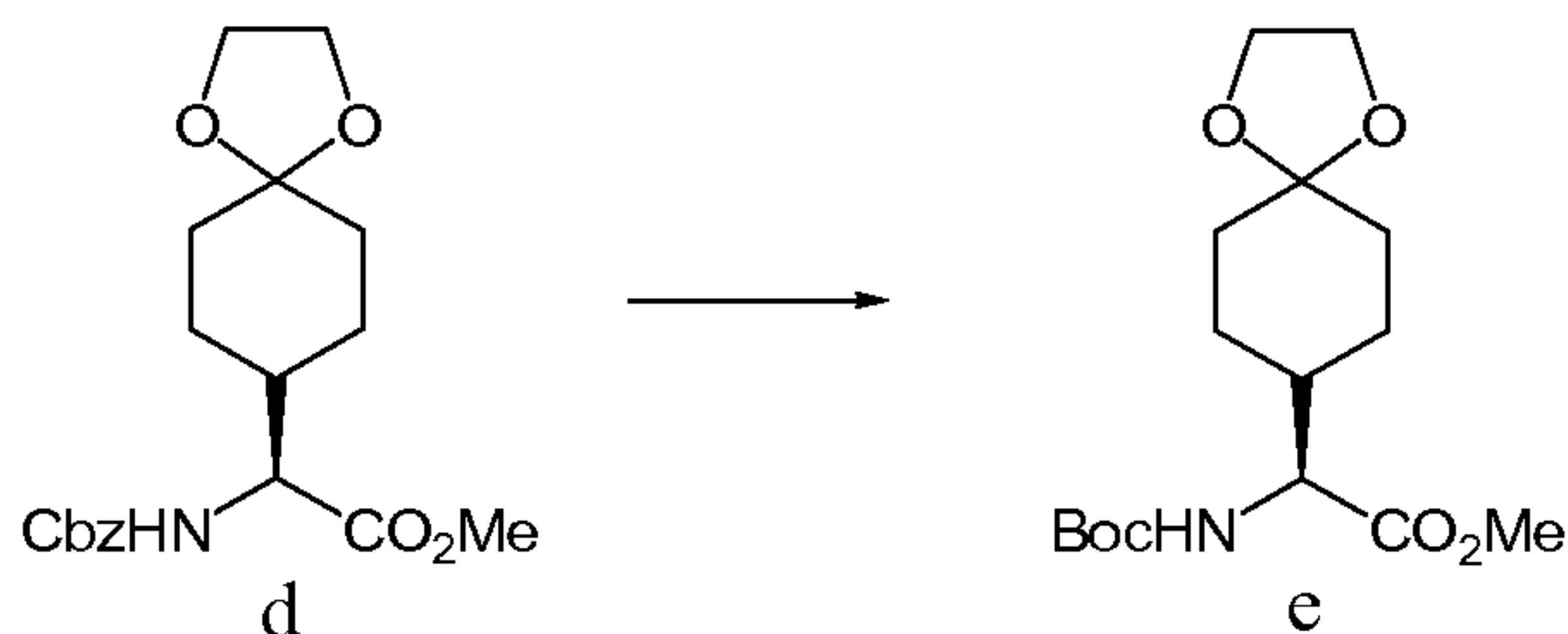


30 Following the procedure of Sheih, (*Tetrahedron: Asymmetry*, 2001, 12, 2421-2425), a solution of ketone **a** (8.4 g) and EtOAc (30 mL) was added to a solution of *N*-Cbz-phosphonoglycine methyl ester **b**, TMG (4.5 mL) and EtOAc (30 mL). The solution was maintained at rt for 48h, then

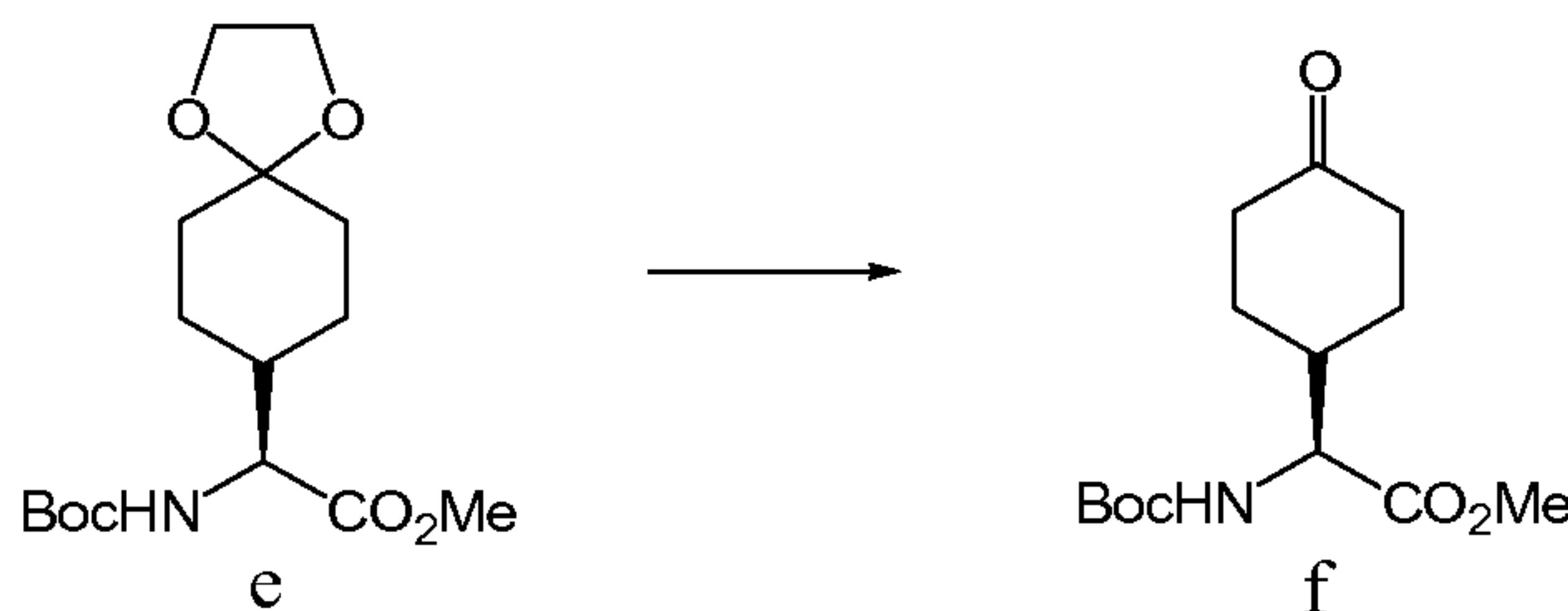
washed with 1N HCl (3x50 mL), brine (1x50 mL) dried ( $\text{Na}_2\text{SO}_4$ ), filtered, and concentrated. The residue was adsorbed onto Celite, and purified by chromatography, then further purified by re-crystallization from EtOAc/hexanes to afford 5.2 g of product **c**.



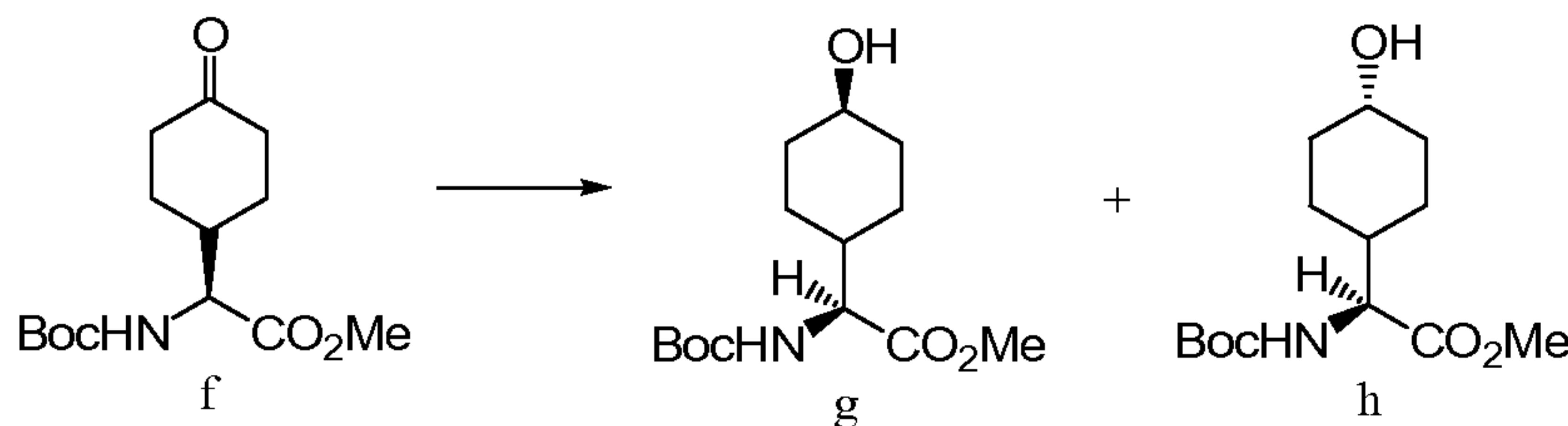
Following the procedure of Sheih, (*Tetrahedron: Asymmetry*, 2001, 12, 2421-2425), a solution of eneamide **c** (5.0 g), (S,S)-Me-BPE-Rh(I) (1.5g, Strem Chemicals, Newburyport, MA), and MeOH (100 mL) was shaken vigorously under 70psi of  $\text{H}_2$  for 48h. The solvent was removed under reduced pressure. The residue was taken up in EtOAc, and filtered through  $\text{SiO}_2$  with more EtOAc. The solvent was removed under reduced pressure to afford 4.0g of product **d** as a colorless solid.



15 A mixture of Cbz-carbamate **d**, (4.0g)  $\text{Boc}_2\text{O}$ , (2.9g), 20%  $\text{Pd}(\text{OH})_2\bullet\text{C}$  (1.0g) and MeOH (30 mL) was maintained under an atmosphere of  $\text{H}_2$  for 6h. The mixture was filtered through Celite with MeOH. The solvent was removed under reduced pressure to afford 4.5 g of residue **e**, which was taken on directly.



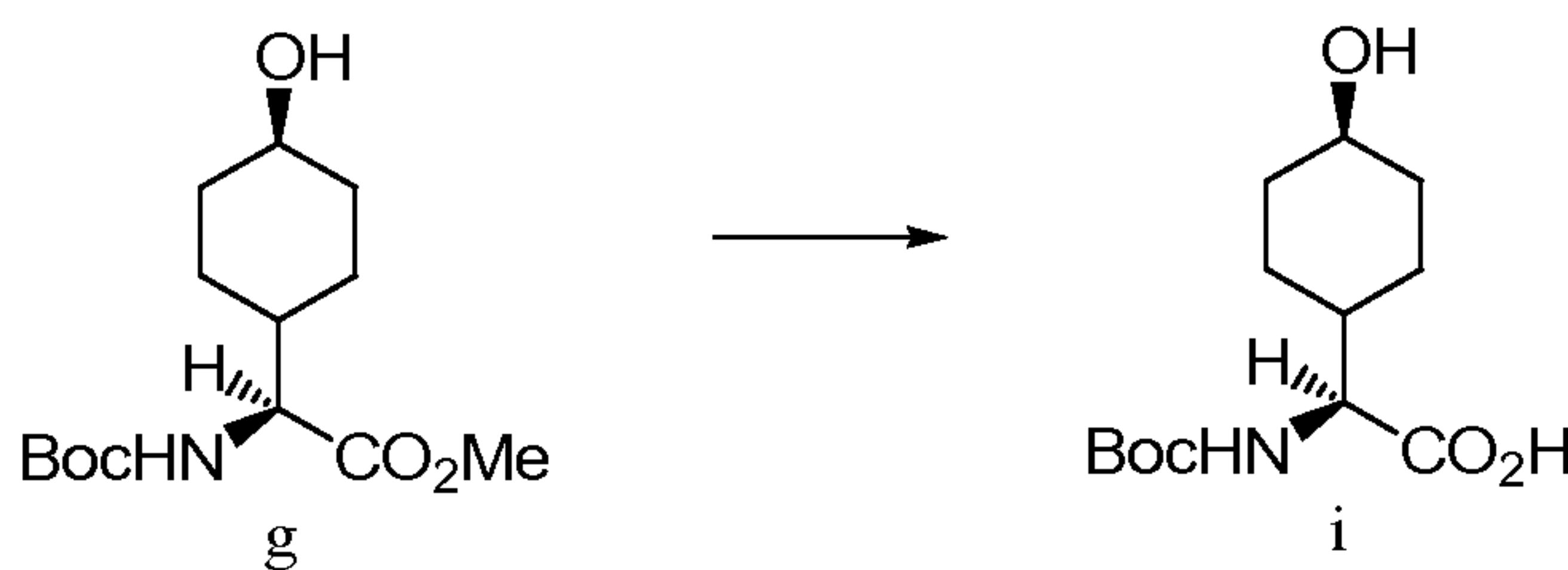
20 The residue **e** from above was dissolved in  $\text{H}_2\text{O}$  (10 mL), AcOH (30 mL), THF (5 mL), and dichloroacetic acid (3 mL) and maintained at rt overnight. Water (5 mL) was added and the solution was maintained until hydrolysis was complete, as monitored by HPLC-MS. Solid  $\text{Na}_2\text{CO}_3$  was added cautiously until gas evolution ceased, the mixture was diluted with aq  $\text{NaHCO}_3$ , and extracted with 10%EtOAc/DCM. The combined organic phases were washed once with brine, dried ( $\text{Na}_2\text{SO}_4$ ), filtered, and concentrated. The residue was purified by chromatography to afford 2.9g of product **f**.



A mixture of ketone **f** (1.5g) MeOH (50 ml) was treated with NaBH4 (290 mg) at 0 °C for 20 min.

5 The mixture was acidified to ~pH1 with 10%aq citric acid and the MeOH was removed under reduced pressure. The residue was diluted with water and extracted with 20%EtOAc/DCM. The combined organic phases were washed once with brine, dried (Na2SO4), filtered, and concentrated. The residue was purified by chromatography to afford 1.17g of product **g** and 0.23g of product **h**.

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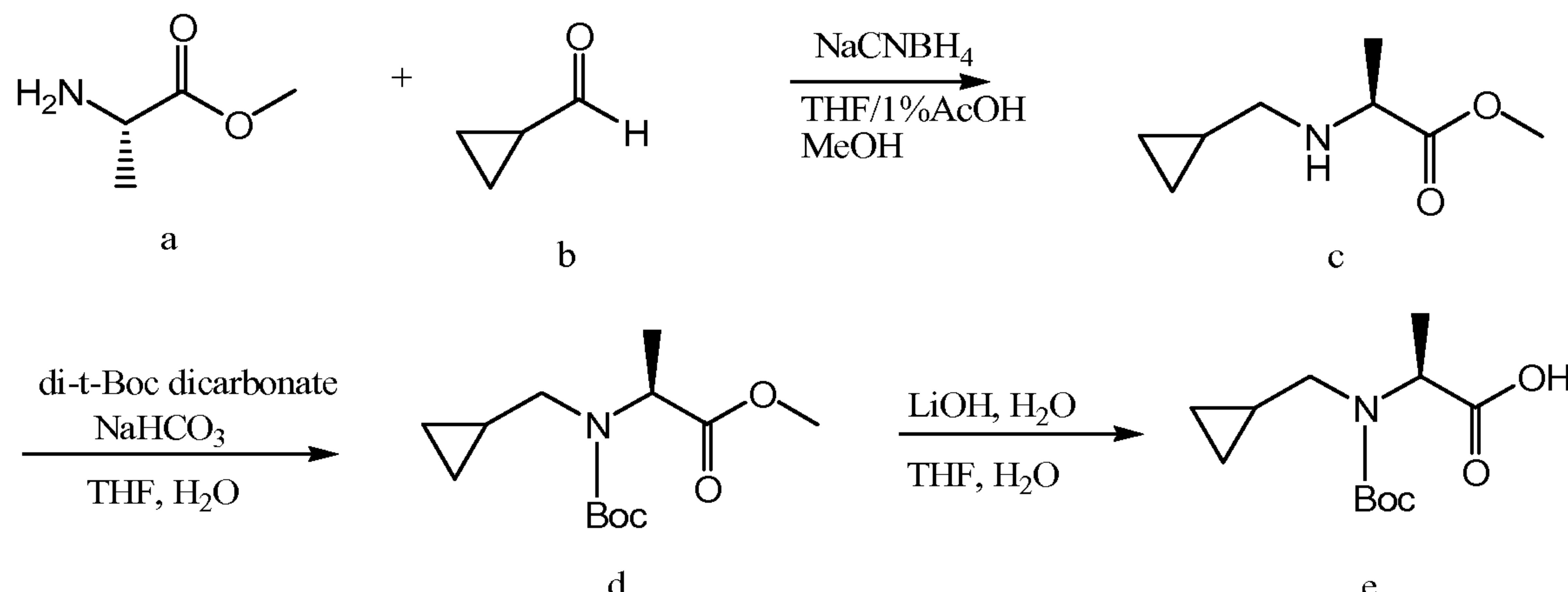


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A mixture of ester **g** (1.17g) LiOH•H2O (160mg), THF (3 mL) and water (4.5 mL) was stirred vigorously at rt overnight. The mixture was diluted with brine and exhaustivly extracted with EtOAc. The combined organic phases were washed once with brine, dried (Na2SO4), filtered, and concentrated to afford acid **i** (525mg).

#### Example 7 N-Boc-N-cyclopropylmethyl-L-alanine

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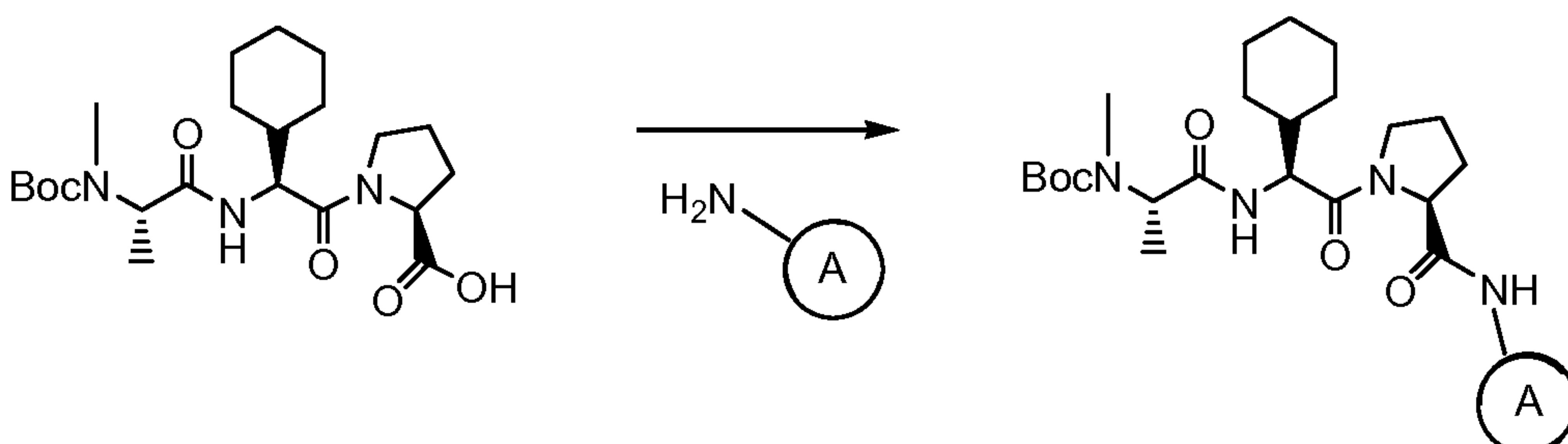


L-alanine methyl ester hydrochloride **a** (5g, 35.8mmol) and cyclopropanecarbaldehyde **b** (2.67ml, 35.8mmol) were suspended in 50ml THF w/1% AcOH. Addition of 5ml of CH3OH made the cloudy solution turned to clear. NaCNBH4 (2.25g, 35.8mmol) was added and the reaction mixture stirred overnight. The reaction was quenched by addition of 1N aq. NaOH, extracted by

EtOAc twice, organic layers were dried over  $\text{Na}_2\text{SO}_4$  and concentrated to dryness. The crude material was purified by chromatography using 30% EtOAc/hexane (stained by ninhydrin) to obtain the compound **c** (1g, 18%).

The compound **c** (1g, 6.37mmol) and di-t-bocdicarbonate (2.1g, 9.55mmol) were diluted in THF (20ml) and  $\text{H}_2\text{O}$  (20ml),  $\text{NaHCO}_3$  (1.3g, 15.9mmol) was added. The reaction mixture stirred overnight for completion. THF was removed under reduced pressure, and the aqueous layer was extracted by EtOAc 3 times. Combined organic layers were washed by 1N NaOH, sat,  $\text{NH}_4\text{Cl}$  followed by brine, the concentrated to dryness. The Boc-protected compound **d** (1.39g, 5.40mmol) was stirred with  $\text{LiOH} \cdot \text{H}_2\text{O}$  (1.14g, 27mmol) in THF (20ml) and  $\text{H}_2\text{O}$  (20ml) overnight at room temperature. THF was stripped off, and the aqueous layer was adjusted to  $\text{pH}=4$  by adding 10% citric acid, then extracted by EtOAc 3 times. Combined organic layers were washed by brine and concentrated. The crude was purified by reverse phase C-18 column eluted by 0%-50% acetonitrile/ $\text{H}_2\text{O}$  to give pure compound **e** as a white solid (794mg).

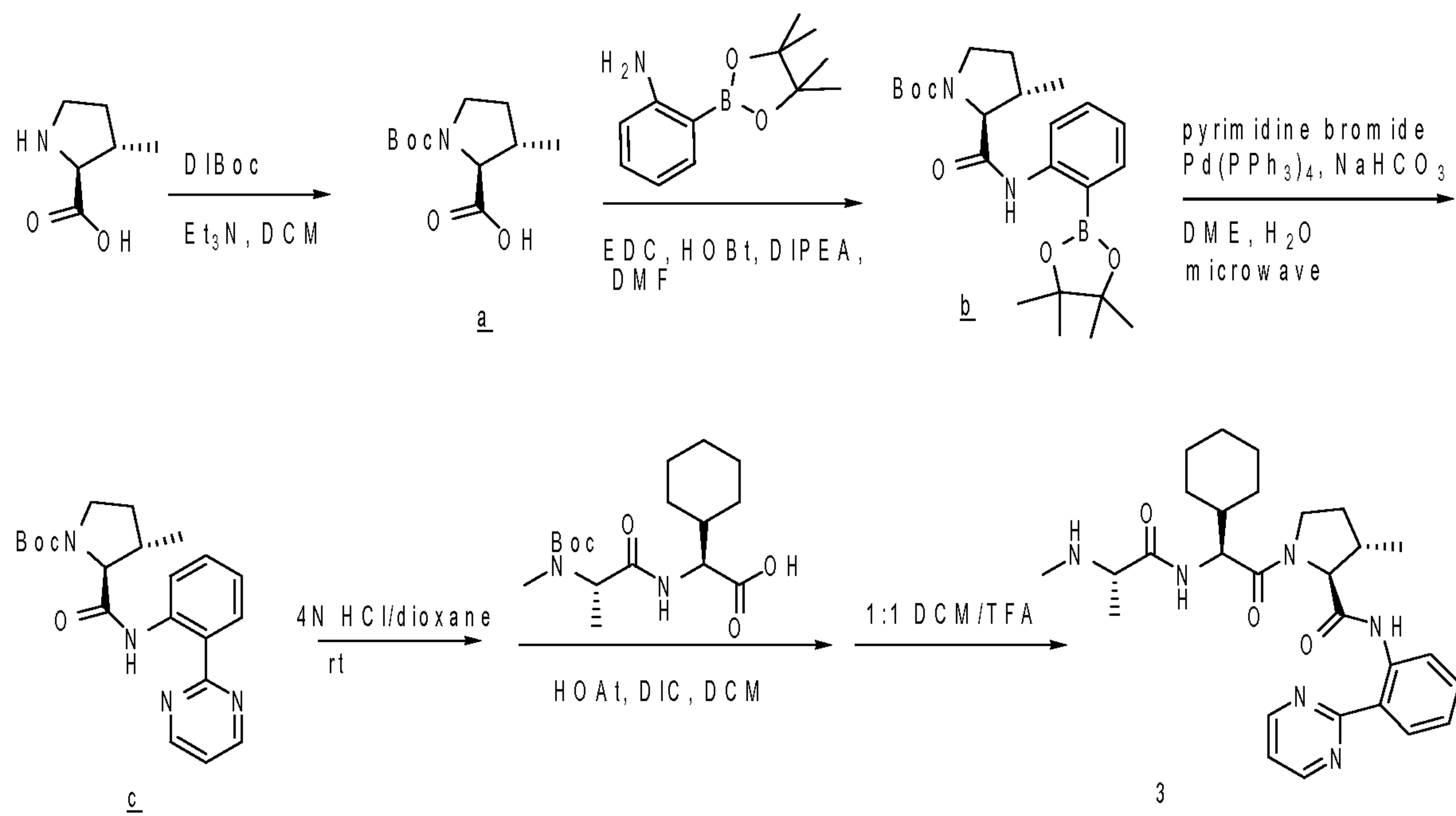
15 Example 8 acid fluoride coupling procedure



A solution of Boc-MeAla-Chg-Pro-OH (2.3 mmol) and pyridine (6.9 umol) in anhydrous dichloromethane (23 ml) was cooled to 0°C and cyanuric fluoride (2.3 mmol) added dropwise over 20 30 sec. The mixture was stirred at 0°C for 15 min, at ambient temperature for 5 hr, and then quenched with water. The mixture was extracted three times with dichloromethane (total 100 ml), and the combined organic phases washed with brine and dried over anhydrous sodium sulfate. Filtration and concentration *in vacuo* yielded the peptide acid fluoride as a clear, colorless oil used 25 directly without further purification.

A solution of the crude acid fluoride (0.50 mmol) and pyridine (1.5 mmol) in dichloromethane (2.5 ml) was added to the solid amine (0.50 mmol), and the resulting mixture stirred either at ambient temperature or at 50°C (sealed vessel). The mixture was poured into aqueous sodium 30 bicarbonate and the extracted three times with dichloromethane (total 100 ml). The combined organic phases were washed with brine, dried over anhydrous sodium sulfate, filtered and concentrated *in vacuo*. The crude peptide amide was used directly without further purification.

## Example 9 compound 3



The methyl Pro-OH (0.25 g, 0.0019 mol) was suspended in Methylene chloride (11 mL, 0.18 mol), and treated with Triethylamine (0.81 mL, 0.0058 mol), the reaction mixture was cooling to 0 degree and Di-tert-Butyldicarbonate (0.84 g, 0.0039 mol) was added, the reaction was allow to 10 warm to room temperature and stirred overnight. 10% of citric acid solution was added to quench the reaction. The aqueous layer was extracted by DCM three times. Organic layers were combined and dried by sodium sulfate. The solvent was removed under reduced pressure. The crude compound a was used without further purification.

15 2-(4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2-yl)aniline (0.84 g, 0.0038 mol; Aldrich) was dissolved in DMF and treated with the compound a (0.44 g, 0.0019 mol), N-(3-dimethylaminopropyl)-N'-ethylcarbodiimide hydrochloride, 1-hydroxybenzotriazole and DIPEA. The reaction was heated to 50°C overnight and then quenched with sat. NaHCO<sub>3</sub>, extracted with 3x EtOAc, dried, concentrated and purified by ISCO chromatography (0-50% EA/Hex). The major product was 20 tested by NMR, which indicated desired product. Yield (0.4 g, 50%).

25 2-pyrimidine bromide (0.17 g, 0.0010 mol; Aldrich), the compound b (0.4 g, 0.0009 mol), tetrakis(triphenylphosphine)palladium(0) (0.054 g, 0.000046 mol), sodium bicarbonate (0.39 g, 0.0046 mol) were suspended in dimethoxyethane and water in a 40 ml microwave vessel, degased and filled under an N<sub>2</sub> atmosphere. The process was repeated 2X and then microwaved at 150°C for 20 min at which point the reaction was complete.

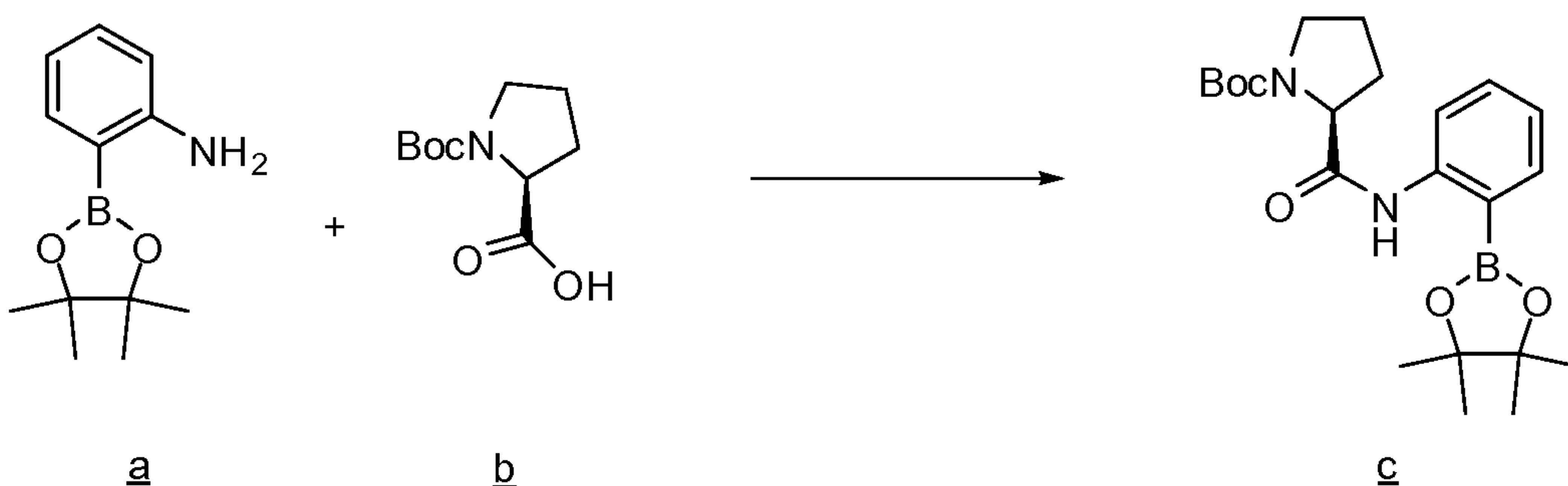
The reaction mixture was diluted with  $\text{CH}_2\text{Cl}_2$ , washed with 1N NaOH, extracted by  $\text{CH}_2\text{Cl}_2$  2X, dried, concentrated, and purified by ISCO chromatography (40g column, 0-50% EtOAc/Hexane). Yield (0.21g, 60%).

5 The compound c was treated with 4 N HCl/1,4-dioxane. The reaction was carried out for 30 minutes and concentrated in vacuo. The crude was used in next step without further purification.

10 Deprotected compound c (200 mg, 0.0007 mol) was diluted with DCM, and treated with the dipeptide (270 mg, 0.00080 mol), N,N'-diisopropylcarbodiimide (0.17 mL, 0.0011 mol) and 1-hydroxy-7-azabenzotriazole (140 mg, 0.0011 mol). The reaction was stirred at room temperature for 1 hr. LCMS showed no SM left, major DP. Diluted with DCM, washed by water, organic layer was dried, concentrated and purified by ISCO chromatography (50-80% EA/Hex). Yield (0.2 g, 50%). Treatment with 1:1 TFA and DCM at room temperature for 30 minutes and concentrated to dry to give crude compound 3. 124 mg of pure material was obtained.

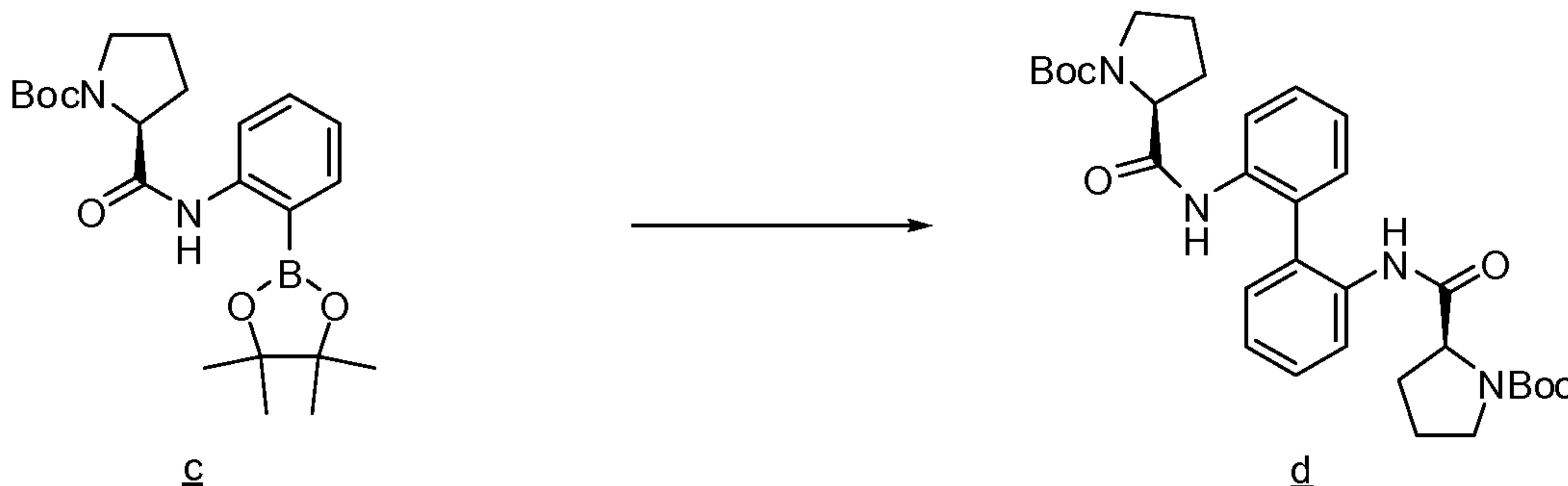
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Example 10 compound 4

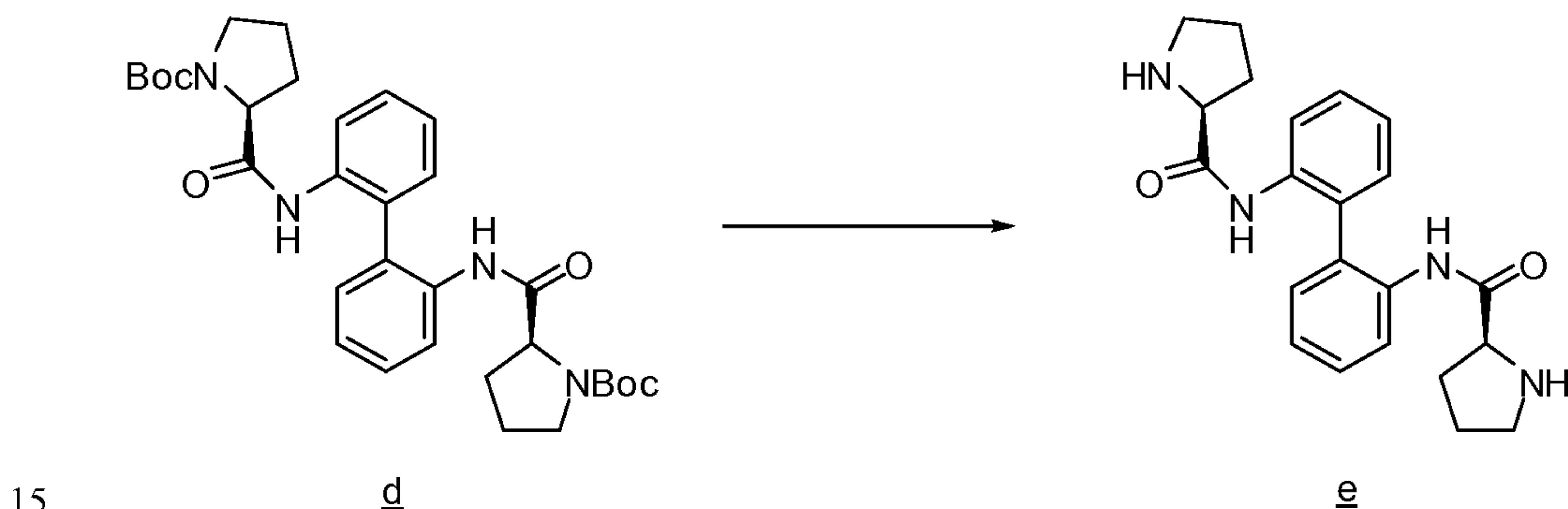


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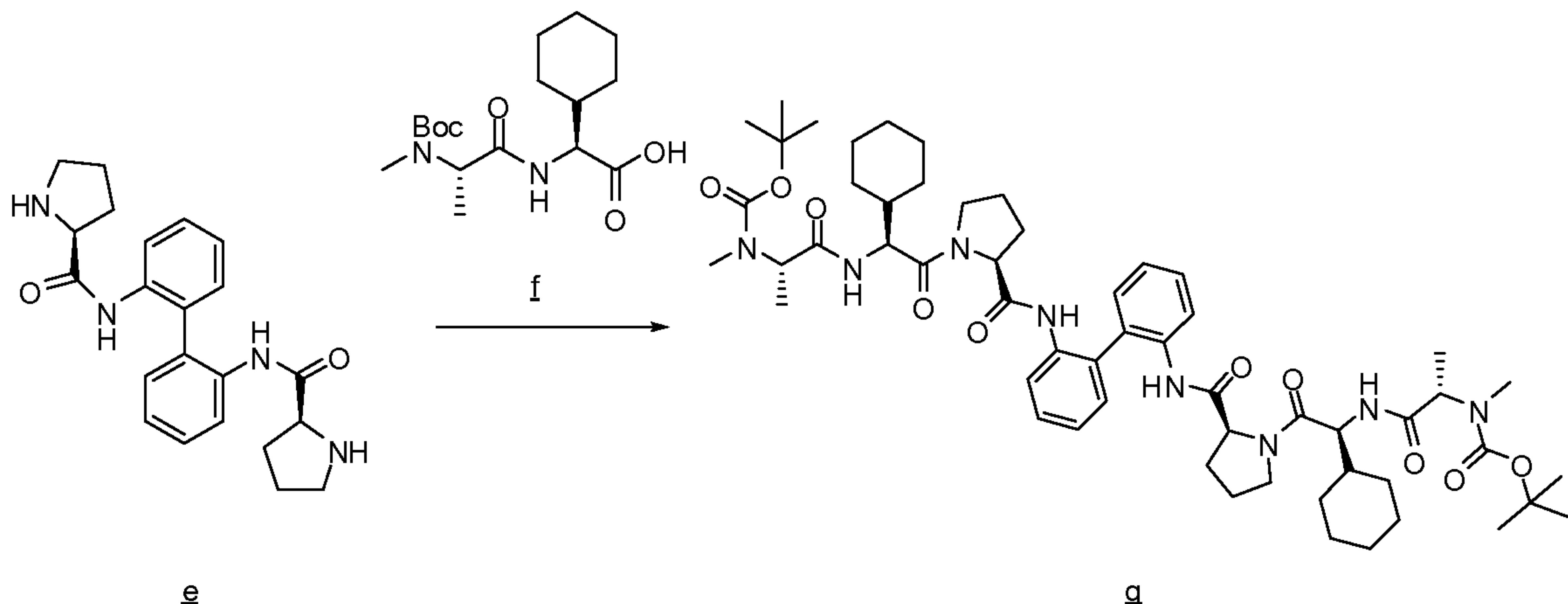
To 2-aminophenylboronic acid pinacol ester a (3.0 g, 0.014 mol) and N-Boc-L-proline b (3.0 g, 0.014 mol) in *N,N*-dimethylformamide (15 mL) was added *N*-(3-dimethylaminopropyl)-*N*'-ethylcarbodiimide hydrochloride (2.6 g, 0.014 mol), 1-hydroxybenzotriazole (1.9 g, 0.014 mol), and then *N,N*-diisopropylethylamine (2.4 mL, 0.014 mol). The reaction was heated to 60°C, stirred several days, cooled to room temperature, and then quenched by the addition of a saturated aqueous solution of sodium bicarbonate. The aqueous layer was extracted with EtOAc and the combined organic layers were dried over  $\text{MgSO}_4$ , filtered, and concentrated. The crude residue was purified by ISCO chromatography (80 g column, 0 to 80% EtOAc/Hexanes) to give (S)-tert-butyl 2-(2-(4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2-yl)phenylcarbamoyl)pyrrolidine-1-carboxylate c (2.87 g, 49%).



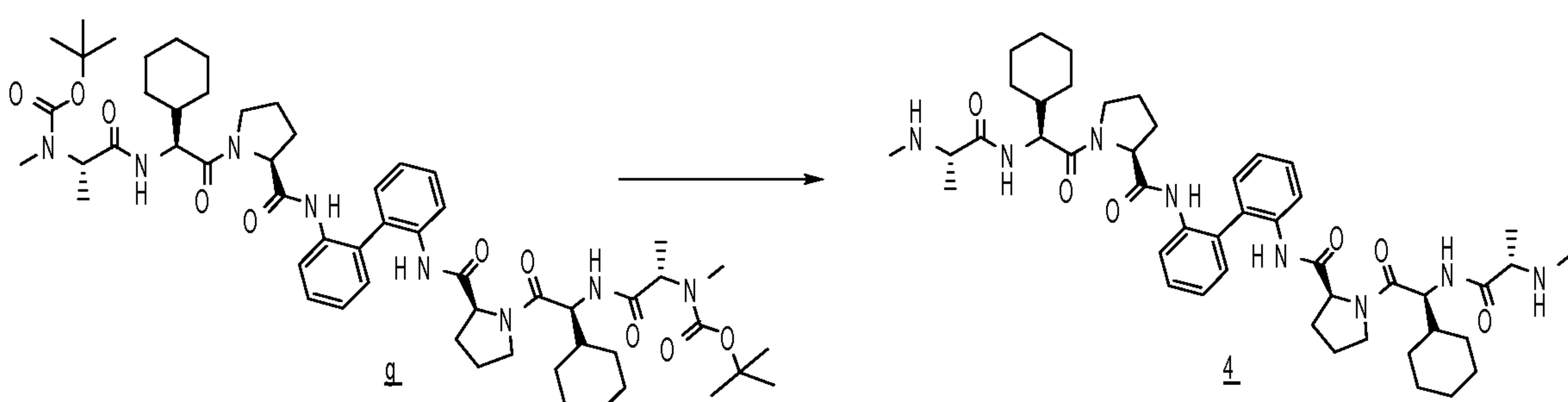
(S)-tert-butyl 2-(2-(4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2-yl)phenylcarbamoyl) pyrrolidine-1-carboxylate c (0.50 g, 0.001 mol), 1-bromo-2-fluorobenzene (0.18 g, 0.001 mol), potassium carbonate (0.41 g, 0.003 mol), and dichlorobis(triphenylphosphine)- palladium (II) (catalytic) were combined in dry 1,2-dimethoxyethane (30 mL). Nitrogen was bubbled through the reaction mixture for 15 minutes. The reaction was heated to 80 °C and stirred overnight. The reaction mixture was cooled to room temperature, diluted with EtOAc, filtered through celite, and concentrated. The crude residue was purified by ISCO chromatography (40 g column, 0 to 100% EtOAc/Hexanes) to give (2S,2'S)-tert-butyl 2,2'-(biphenyl-2,2'-diyl)bis(azanediyl)bis(oxomethylene)dipyrrolidine-1-carboxylate d (0.140 g, 24%). LC/MS: mw 578.70;  $M+H^+ = 579.5$ .



(2S,2'S)-tert-butyl 2,2'-(biphenyl-2,2'-diyl)bis(azanediyl)bis(oxomethylene)dipyrrolidine-1-carboxylate d (0.140 g, 0.24 mmol) was suspended in a solution of 4M HCl/dioxane and stirred at room temperature for 4 h until LCMS indicated complete deprotection. The reaction mixture was concentrated to give (2S,2'S)-N,N'-(biphenyl-2,2'-diyl)dipyrrolidine-2-carboxamide e (0.09 g, 100%). LC/MS: mw 378.47;  $M+H^+ = 379.2$ .



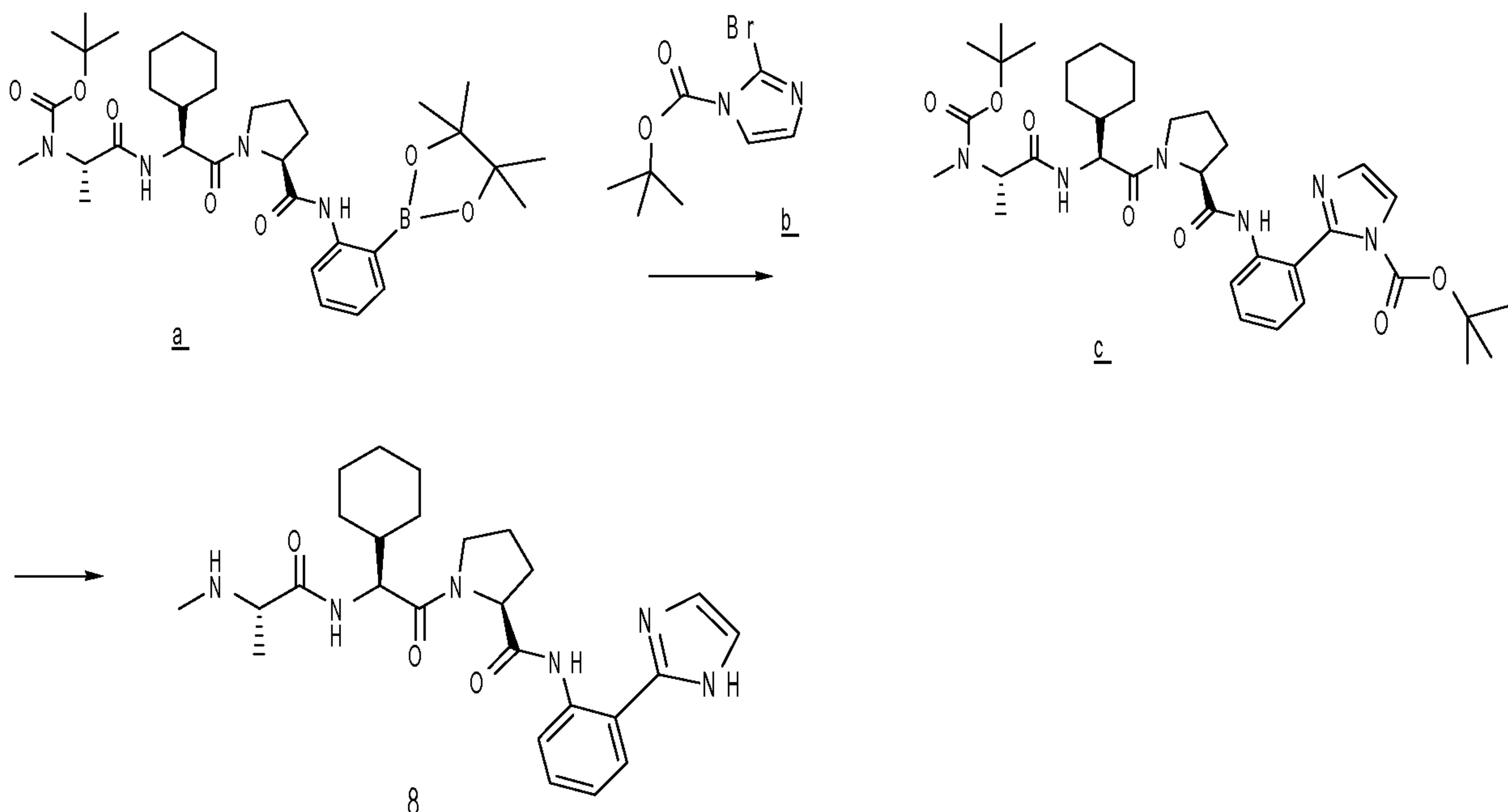
To (2S,2'S)-N,N'-(biphenyl-2,2'-diyl)dipyrrolidine-2-carboxamide **e** (0.09 g, 0.24 mmol) was added CH<sub>2</sub>Cl<sub>2</sub> (10 mL) and cooled in an ice bath. To this mixture was added *N,N*-diisopropylethylamine (0.16 mL, 0.96 mmol), HOAt (0.07 g, 0.58 mmol), allowed to stir at room temperature for 5 minutes, and then added DIC (0.09 mL, 0.58 mmol). The mixture was then allowed to stir at room temperature overnight, diluted with EtOAc (25 mL), and then quenched by the addition of a saturated aqueous solution of sodium bicarbonate. The aqueous layer was extracted with EtOAc and the combined organic layers were dried over MgSO<sub>4</sub>, filtered, and concentrated. The crude residue was purified by ISCO chromatography (12 g column, 0 to 100% EtOAc/Hexanes) to give



To **tert-butyl-(2S,2'S)-1,1'-(1S,1'S)-2,2'-((2S,2'S)-2,2'-(biphenyl-2,2'-diylbis(azanediyl)) bis(oxo-methylene)bis(pyrrolidine-2,1-diyl))bis(1-cyclohexyl-2-oxoethane-2,1-diyl)bis(azanediyl)bis(1-oxopropane-2,1-diyl)bis(methylcarbamate)** g (0.141 g, 0.14 mmol) was added  $\text{CH}_2\text{Cl}_2$  (2 mL) and TFA (2 mL), allowed to stir for 2 h, and concentrated. The residue was purified by HPLC to give compound 4 ( $\text{S},\text{S},2\text{S},2'\text{S}$ )- $\text{N},\text{N}'$ -(biphenyl-2,2'-diyl)bis(1-((S)-2-cyclohexyl-2-((S)-2-(methylamino)-

propanamido)acetyl)pyrrolidine-2-carboxamide) (0.015 g, 14%). LC/MS: mw 827.07; M+H<sup>+</sup> = 827.5.

5 Example 11 compound 8



10

Peptide a (0.34 g, 0.00053 mol), b (0.26 g, 0.0011 mol), tetrakis(triphenylphosphine)palladium(0) (0.031 g, 0.000026 mol) and sodium bicarbonate (0.22 g, 0.0026 mol) were suspended in dimethoxyethane and water in a 40 ml microwave vessel, degased and filled under an N<sub>2</sub> atmosphere. The process was repeated 2x. Microwaved at 150°C for 20 min at which point the reaction was complete. LCMS showed desired product peak. The reaction mixture was diluted with CH<sub>2</sub>Cl<sub>2</sub>, washed with 1N NaOH, extracted by CH<sub>2</sub>Cl<sub>2</sub> 2x, dried and concentrated. Purified by ISCO chromatography (40g column, 0-50% EtOAc/Hexane). Intermediate c was reacted with TFA in methylenechloride for 30 mins and concentrated. LCMS showed 4 major peaks, DP peak at 1.32 min. The compound was dissolved in DMF, purified by prep HPLC (5%-30% 20 min, flow rate was reduced to 30 ml/min) and lyophilized to give 22 mg of compound 8 as a white loose powder.

## Example 12 IAP inhibition assays

In the following experiments was used a chimeric BIR domain referred to as MLXBIR3SG in which 11 of 110 residues correspond to those found in XIAP-BIR3, while the remainder correspond to ML-IAP-BIR. The chimeric protein MLXBIR3SG was shown to bind and inhibit caspase-9 significantly better than either of the native BIR domains, but bound Smac-based peptides and mature Smac with affinities similar to those of native ML-IAP-BIR. The improved caspase-9 inhibition of the chimeric BIR domain MLXBIR3SG has been correlated with increased inhibition of doxorubicin-induced apoptosis when transfected into MCF7 cells.

10

MLXBIR3SG sequence:

MGSSHHHHHSSGLVPRGSHMLETEEEEEEGAGATLSRGPAFPGMGSEELRLASFYDWP  
LTAEVPPELLAAAGFFHTGHQDKVRCFFCYGGLQSWKRGDDPWTEHAKWFPGCQFLLR  
SKGQEYINNIHLTHSL (SEQ ID NO.: 1)

15

TR-FRET Peptide Binding Assay

Time-Resolved Fluorescence Resonance Energy Transfer competition experiments were performed on the Wallac Victor2 Multilabeled Counter Reader (Perkin Elmer Life and Analytical Sciences, Inc.) according to the procedures of Kolb et al (Journal of Biomolecular Screening, 1996, 1(4):203). A reagent cocktail containing 300 nM his-tagged MLXBIR3SG; 200 nM biotinylated SMAC peptide (AVPI); 5  $\mu$ g/mL anti-his allophycocyanin (XL665) (CISBio International); and 200 ng/mL streptavidin-europium (Perkin Elmer) was prepared in reagent buffer (50 mM Tris [pH 7.2], 120 mM NaCl, 0.1% bovine globulins, 5mM DTT and 0.05% octylglucoside). (Alternatively, this cocktail can be made using europium-labeled anti-His (Perkin Elmer) and streptavidin-allophycocyanin (Perkin Elmer) at concentrations of 6.5 nM and 25nM, respectively). The reagent cocktail was incubated at room temperature for 30 minutes. After incubation, the cocktail was added to 1:3 serial dilutions of an antagonist compound (starting concentration of 50  $\mu$ M) in 384-well black FIA plates (Greiner Bio-One, Inc.). After a 90 minute incubation at room temperature, the fluorescence was read with filters for the excitation of europium (340 nm) and for the emission wavelengths of europium (615 nm) and a allophycocyanin (665 nm). Antagonist data were calculated as a ratio of the emission signal of allophycocyanin at 665 nm to that of the emission of europium at 615 nm (these ratios were multiplied by a factor of 10,000 for ease of data manipulation). The resulting values were plotted as a function of antagonist concentration and fit to a 4-parameter equation using Kaleidograph software (Synergy Software, Reading, PA).

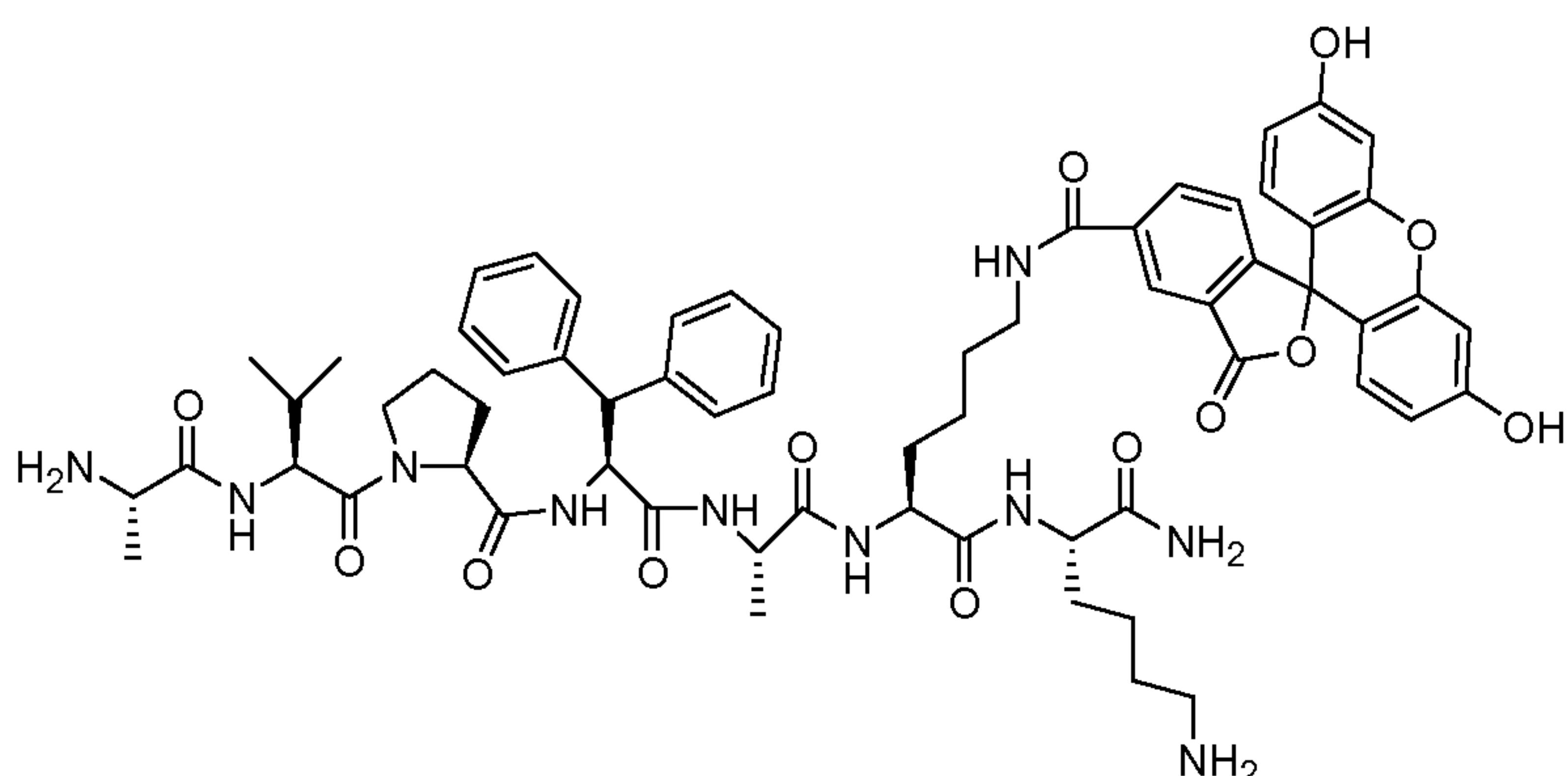
Indications of antagonist potency were determined from the IC<sub>50</sub> values. Compounds of the invention were found to have IAP inhibitory activity which was demonstrated in this assay.

Fluorescence Polarization Peptide Binding Assay

5

Polarization experiments were performed on an Analyst HT 96-384 (Molecular Devices Corp.) according to the procedure of Keating, S.M., Marsters, J, Beresini, M., Ladner, C., Zioncheck, K., Clark, K., Arellano, F., and Bodary., S.(2000) in *Proceedings of SPIE : In Vitro Diagnostic Instrumentation* (Cohn, G.E., Ed.) pp 128-137, Bellingham, WA. Samples for fluorescence polarization affinity measurements were prepared by addition of 1:2 serial dilutions starting at a final concentration of 5 $\mu$ M of MLXBIR3SG in polarization buffer (50 mM Tris [pH 7.2], 120 mM NaCl, 1% bovine globulins 5mM DTT and 0.05% octylglucoside) to 5-carboxyflourescein-conjugated AVPdi-Phe-NH<sub>2</sub> (AVP-diPhe-FAM) at 5 nM final concentration.

10



15 AVP-diPhe-FAM probe

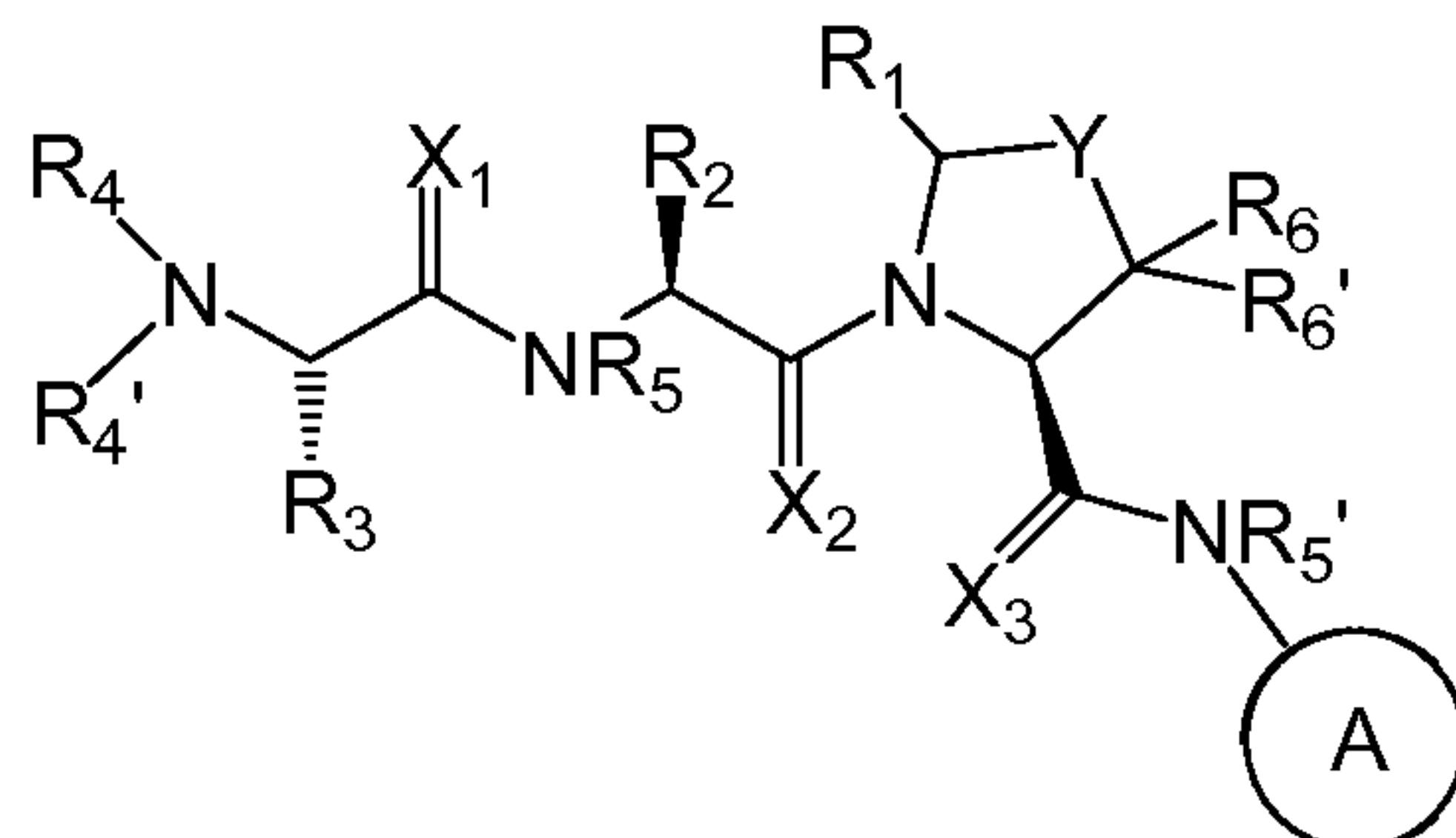
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The reactions were read after an incubation time of 10 minutes at room temperature with standard cut-off filters for the fluorescein fluorophore ( $\lambda_{\text{ex}} = 485$  nm;  $\lambda_{\text{em}} = 530$  nm) in 96-well black HE96 plates (Molecular Devices Corp.). Fluorescence values were plotted as a function of the protein concentration, and the IC<sub>50</sub>s were obtained by fitting the data to a 4-parameter equation using Kaleidograph software (Synergy software, Reading, PA). Competition experiments were performed by addition of the MLXBIR3SG at 30 nM to wells containing 5 nM of the AVP-diPhe-FAM probe as well as 1:3 serial dilutions of antagonist compounds starting at a concentration of 300  $\mu$ M in the polarization buffer. Samples were read after a 10-minute incubation. Fluorescence polarization values were plotted as a function of the antagonist concentration, and the IC<sub>50</sub> values were obtained by fitting the data to a 4-parameter equation using Kaleidograph software (Synergy software, Reading, PA). Inhibition constants ( $K_i$ ) for the antagonists were determined from the IC<sub>50</sub>

values. Compounds of the invention were found to have IAP inhibitory activity which was demonstrated in this assay.

WE CLAIM:

1. A compound of formula I:



I

5

wherein

X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are independently O or S;

Y is (CHR<sub>7</sub>)<sub>n</sub>, O or S; wherein n is 1 or 2 and R<sub>7</sub> is H, halogen, alkyl, aryl, aralkyl, amino, arylamino, alkylamino, aralkylamino, alkoxy, aryloxy or aralkyloxy;

A is a 6-member aromatic ring or a heteroaromatic ring comprising 1 to 4 heteroatoms optionally substituted with amino, hydroxyl, mercapto, halogen, carboxyl, amidino, guanidino, alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, alkoxycarbonylamino, cycloalkyl, alkylthio, alkylsulfinyl, alkylsulfonyl, aminosulfonyl, alkylaminosulfonyl, alkylsulfonylamino or a heterocycle; wherein each alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, cycloalkyl and heterocycle substitution is optionally substituted with hydroxyl, halogen, mercapto, carboxyl, alkyl, alkoxy, haloalkyl, amino, nitro, cyano, cycloalkyl, aryl or a heterocycle;

R<sub>1</sub> is H or R<sub>1</sub> and R<sub>2</sub> together form a 5-8 member ring;

R<sub>2</sub> is alkyl, cycloalkyl, cycloalkylalkyl, aryl, aralkyl, a heterocycle or heterocyclalkyl; each optionally substituted with hydroxyl, mercapto, halogen, amino, carboxyl, alkyl, haloalkyl, alkoxy or alkylthio;

R<sub>3</sub> is H or alkyl;

R<sub>4</sub> and R<sub>4</sub>' are independently H, hydroxyl, amino, alkyl, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, heteroaryl, or heteroarylalkyl wherein each alkyl, aryl, aralkyl, cycloalkyl, cycloalkylalkyl, heteroaryl and heteroarylalkyl is optionally substituted with halogen, hydroxyl, mercapto, carboxyl, alkyl, alkoxy, amino and nitro;

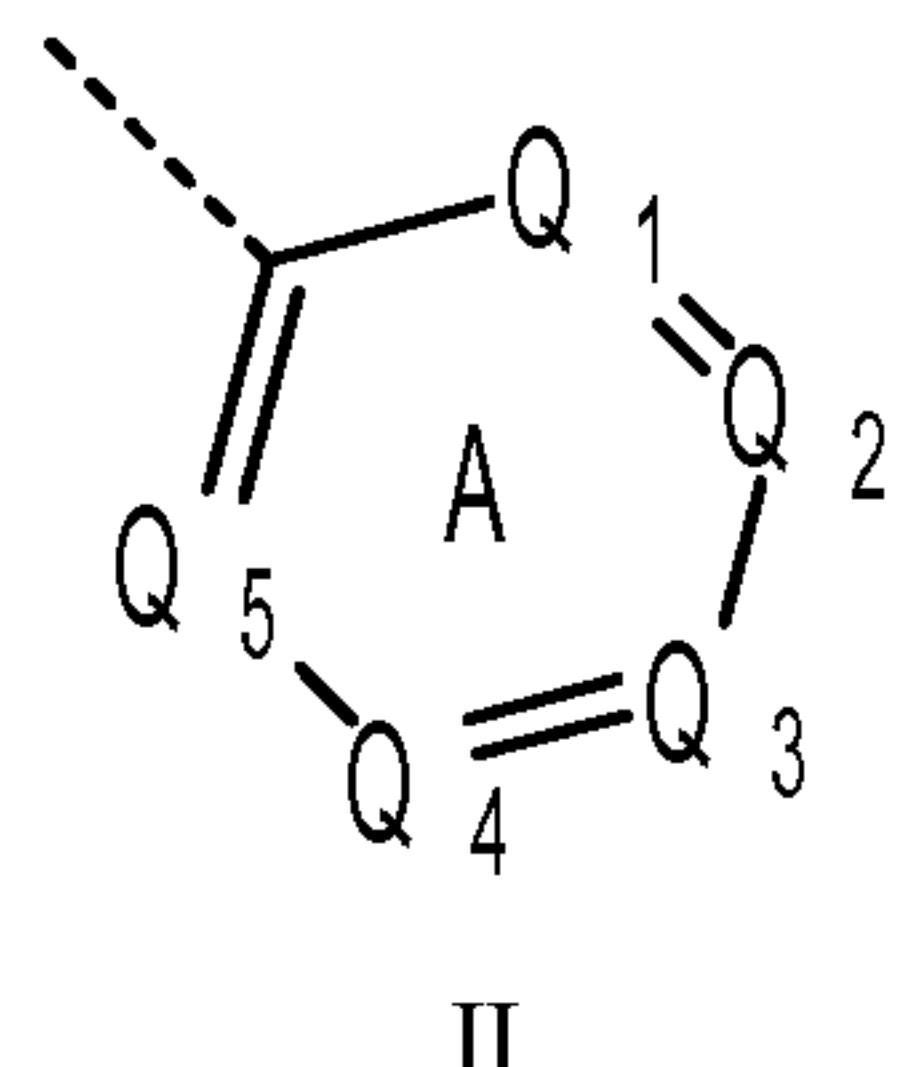
R<sub>5</sub>, and R<sub>5</sub>' are each independently H or alkyl; and

R<sub>6</sub>, and R<sub>6</sub>' are each independently H, alkyl, aryl or aralkyl.

25

30

2. The compound of claim 1, wherein ring A has the formula II:



II

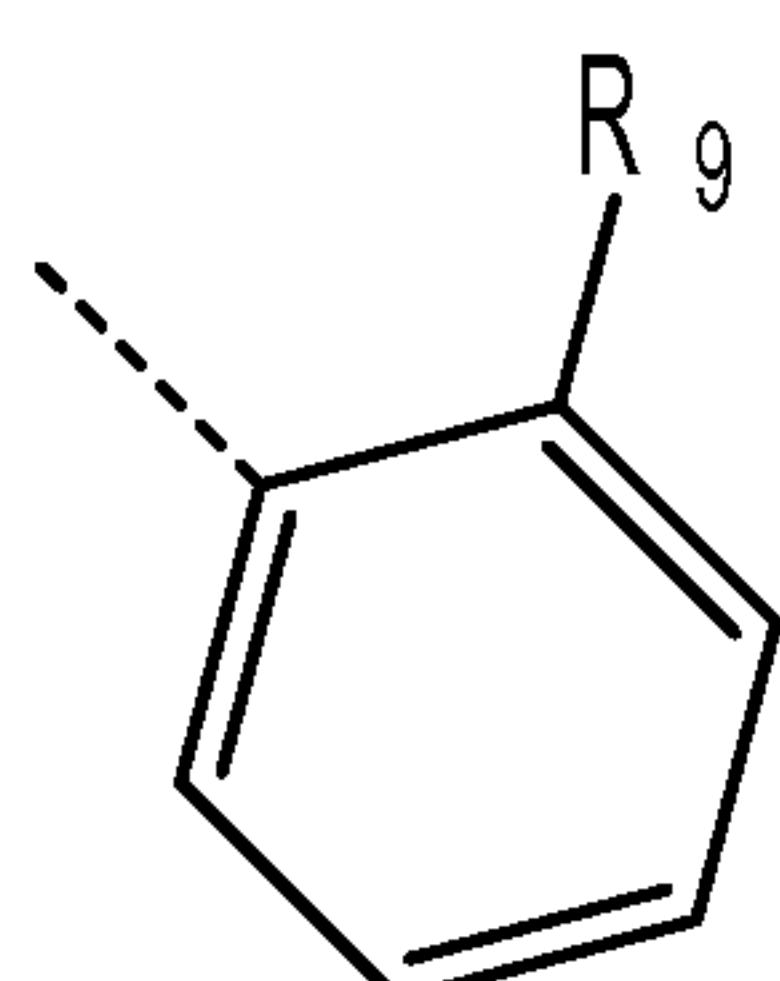
5 wherein  $Q_1$ ,  $Q_2$ ,  $Q_3$ ,  $Q_4$  and  $Q_5$  are independently  $CR_9$  or N; wherein  $R_9$  is H, amino, hydroxyl, mercapto, halogen, carboxyl, amidino, guanidino, alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, cycloalkyl or a heterocycle; wherein each alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, cycloalkyl and heterocycle substitution is optionally substituted with hydroxyl, halogen, mercapto, carboxyl, alkyl, haloalkyl, amino, nitro, 10 cycloalkyl, aryl or a heterocycle.

10

3. The compound of claim 2, wherein  $Q_1$  is N and each of  $Q_2$ ,  $Q_3$ ,  $Q_4$  and  $Q_5$  are  $CR_9$ ; wherein 15  $R_9$  is H, amino, hydroxyl, mercapto, halogen, carboxyl, amidino, guanidino, alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, cycloalkyl or a heterocycle; wherein each alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, cycloalkyl and heterocycle substitution is optionally substituted with hydroxyl, halogen, mercapto, carboxyl, alkyl, haloalkyl, amino, nitro, cycloalkyl, aryl or a heterocycle.

20

4. The compound of claim 3, wherein ring A has the formula II:



IIa

25 wherein  $R_9$  is H, amino, hydroxyl, mercapto, halogen, carboxyl, amidino, guanidino, alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, cycloalkyl or a heterocycle; wherein each alkyl, alkoxy, aryl, aryloxy, acyl, acyloxy, acylamino, cycloalkyl and heterocycle substitution is optionally substituted with hydroxyl, halogen, mercapto, carboxyl, alkyl, haloalkyl, amino, nitro, cycloalkyl, aryl or a heterocycle.

5. The compound of claim 1, wherein R<sub>1</sub> and R<sub>2</sub> together form a 5-8 member ring.
6. The compound of claim 1, wherein R<sub>1</sub> is H.
- 10 7. The compound of claim 1, wherein R<sub>2</sub> is alkyl or cycloalkyl.
8. The compound of claim 1, wherein R<sub>2</sub> is isopropyl, t-butyl, or cyclohexyl.
- 15 9. The compound of claim 1, wherein R<sub>3</sub> is methyl.
10. The compound of claim 1, wherein R<sub>4</sub> is H or methyl, and R<sub>4</sub>' is H.
11. The compound of claim 1, wherein R<sub>5</sub> and R<sub>5</sub>' are independently H or methyl.
12. The compound of claim 1, wherein R<sub>6</sub> and R<sub>6</sub>' are independently H or methyl.
- 20 13. The compound of claim 1, wherein each of X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are O.
14. The compound of claim 2, wherein R<sub>1</sub> is H; R<sub>2</sub> is isopropyl, t-butyl, or cyclohexyl; R<sub>3</sub> is methyl; R<sub>4</sub> is H or methyl, and R<sub>4</sub>' is H, R<sub>5</sub> and R<sub>5</sub>' are H or methyl; X<sub>1</sub>, X<sub>2</sub> and X<sub>3</sub> are O.
- 25 15. A method of inducing apoptosis in a cell comprising introducing into said cell a compound of claim 1.
16. A method of sensitizing a cell to an apoptotic signal comprising introducing into said cell a compound of claim 1.
- 30 17. The method of claim 16, wherein said apoptotic signal is induced by contacting said cell with a compound selected from the group consisting of cytarabine, fludarabine, 5-fluoro-2'-deoxyuridine, gemcitabine, methotrexate, bleomycin, cisplatin, cyclophosphamide, adriamycin (doxorubicin), mitoxantrone, camptothecin, topotecan, colcemid, colchicine, paclitaxel, vinblastine, vincristine, tamoxifen, finasteride, taxotere and mitomycin C.
- 35 18. The method of claim 16, wherein said apoptotic signal is induced by contacting said cell with Apo2L/TRAIL.

19. A method for inhibiting the binding of an IAP protein to a caspase protein comprising contacting said IAP protein with a compound of claim 1.

5 20. A method for treating cancer, comprising administering to said mammal an effective amount of a compound of claim 1.

