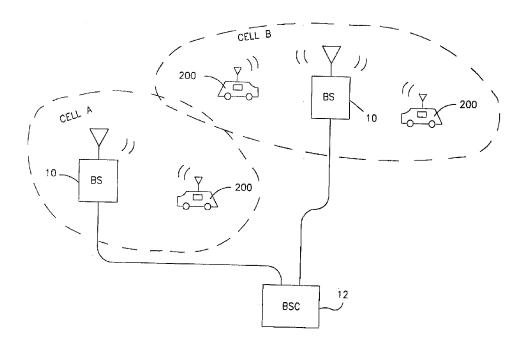
# (12) (19) (CA) **Demande-Application**



(21) (A1) **2,219,921** 

(86) 1996/04/29 (87) 1996/11/07

- (72) SAGE, Gerald F., US
- (72) MSUTTA, Gurbux S., US
- (51) Int.Cl.<sup>6</sup> H04B 1/69, H04Q 7/22, H04Q 7/30
- (30) 1995/05/04 (08/434,597) US
- (54) RESEAU DE COMMUNICATION PAR ETALEMENT DU SPECTRE A SOUPLESSE D'ADAPTATION EN FREOUENCE
- (54) SPREAD SPECTRUM COMMUNICATION NETWORK WITH ADAPTIVE FREQUENCY AGILITY



(57) Une station fixe communique avec plusieurs stations mobiles sur un réseau cellulaire. Dans l'une des réalisations, la station fixe comprend un récepteur avec une entrée pour synthétiseur de récepteur, ledit récepteur étant configuré de manière à recevoir les informations d'arrivée en provenance de la station mobile sur une première fréquence prédétermninée. Le récepteur a en outre deux sources de fréquence programmables, configurées pour fournir en alternance, au récepteur un signal d'entrée du synthétiseur de récepteur. La station fixe comprend aussi un émetteur ayant une entrée pour synthétiseur d'émetteur, ledit émetteur étant configuré

(57) A base station communicates with a plurality of mobile stations over a cellular network. In one embodiment, the base station includes a receiver having a receiver synthesizer input, where the receiver is configured to receive inbound information from the mobile station on a first predetermined frequency. The receiver further has two programmable frequency sources that are configured to alternately supply a receiver synthesizer input signal to the receiver. The base station also inleudes a transmitter having a transmitter synthesizer input, where the transmitter is configured to transmit outbound information to the mobile station on a



(21) (A1) **2,219,921** (86) 1996/04/29

(80) 1990/04/29 (87) 1996/11/07

pour émetrre les informations de sortie à destination de la station mobile sur une seconde fréquence préétablie. L'émetteur comprend en outre deux sources de fréquence programmables, configurées pour fournir, en alternance, à l'émetteur un signal d'entrée du synthétiseur d'émetteur. Un organe de traitement est relié au récepteur et à l'émetteur et est configuré de manière à décoder les informations d'arrivée et à coder les informations de sortie pour communiquer avec la station mobile. Cette communication bidirectionnelle se poursuit par programmation, suivie d'une sélection alternée de synthétiseurs de réception pour recevoir sur la bonne fréquence, et par programmation, suivie d'une sélection alternée de synthétiseurs d'émission pour transmettre sur la bonne fréquence. L'un des protocoles (système mondial préférés est le GSM communications mobiles).

second predetermined frequency. The transmitter further has two programmable frequency sources that are configured to alternately supply a transmitter synthesizer input signal to the transmitter. A processor is connected to the receiver and the transmitter and is configured to decode the inbound information and to encode the outbound information to communicate with the mobile station. This two-way communication continues by programming and then alternately selecting the receive synthesizers to receive on the correct frequency, and by programming and then alternately selecting the transmit synthesizers to transmit on the correct frequency. A preferred protocol is Global Systems for Mobile Communication (GSM).

## PCT

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

(11) International Publication Number:

WO 96/35265

H04B 1/713, 7/26

A1

(43) International Publication Date:

7 November 1996 (07.11.96)

(21) International Application Number:

PCT/US96/05945

(22) International Filing Date:

29 April 1996 (29,04.96)

(30) Priority Data:

08/434,597

4 May 1995 (04.05.95)

TIS

(71) Applicant: WAVELINK COMMUNICATIONS [-/-]; c/o Codan Services Ltd., Clarendon House, Church Street, Hamilton, HM II (BM).

(71)(72) Applicants and Inventors: SAGE, Gerald, F. [US/US]; 1200 Dale Avenue #74, Mountain View, CA 94040 (US). MSUTTA, Gurbux, S. [US/US]; 6575 Sloping Meadow Court, San Jose, CA 95135 (US).

(74) Agents: CASERZA, Steven, F. et al.; Flehr, Hohbach, Test, Albritton & Herbert, Suite 3400, 4 Embarcadero Center, San Francisco, CA 94111-4187 (US).

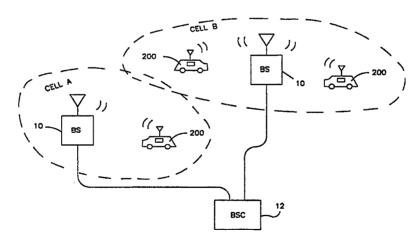
(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

#### **Published**

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of

(54) Title: SPREAD SPECTRUM COMMUNICATION NETWORK WITH ADAPTIVE FREQUENCY AGILITY



#### (57) Abstract

A base station communicates with a plurality of mobile stations over a cellular network. In one embodiment, the base station includes a receiver having a receiver synthesizer input, where the receiver is configured to receive inbound information from the mobile station on a first predetermined frequency. The receiver further has two programmable frequency sources that are configured to alternately supply a receiver synthesizer input signal to the receiver. The base station also inleudes a transmitter having a transmitter synthesizer input, where the transmitter is configured to transmit outbound information to the mobile station on a second predetermined frequency. The transmitter further has two programmable frequency sources that are configured to alternately supply a transmitter synthesizer input signal to the transmitter. A processor is connected to the receiver and the transmitter and is configured to decode the inbound information and to encode the outbound information to communicate with the mobile station. This two-way communication continues by programming and then alternately selecting the receive synthesizers to receive on the correct frequency, and by programming and then alternately selecting the transmit synthesizers to transmit on the correct frequency. A preferred protocol is Global Systems for Mobile Communication (GSM).

<b>WO</b> 9	WO 96/35265 PCT/US96/05945		
1	·		
2			
3			
4	SPREAD SPECTRUM COMMUNICATION NETWORK		
5	WITH ADAPTIVE FREQUENCY AGILITY		
6			
7	RELATED APPLICATIONS		
8	The present application incorporates the following		
9	patent applications by reference:		
10	CELLULAR PRIVATE BRANCH EXCHANGES, U.S. Ser. No.		
11	08/435,709, filed on May 4, 1995, Attorney docket No.		
12	WAVEPOO1;		
13	METHODS AND APPARATUSSES FOR AN INTELLIGENT SWITCH, U.S.		
14	Ser. No. 08/435,838, filed on May 4, 1995, Attorney docket		
15	No. WAVEPOO4;		
16	SPREAD SPECTRUM COMMUNICATION NETWORK SIGNAL PROCESSOR,		
17	U.S. Ser. No. 08/434,554, filed on May 4, 1995, Attorney		
18	docket No. A-60910; and		
19	CELLULAR BASE STATION WITH INTELLIGENT CALL ROUTING,		
20	U.S. Ser. No. 08/434,598, filed on May 4, 1995, Attorney		
21	docket No. A-61115.		
22			
23	FIELD		
24	The present invention relates to a spread spectrum		
25	communication network with adaptive frequency agility. In		
26	particular, the present invention is used in a cellular		
27	communication network to improve the information channel		
28	capacity by adapting the spread spectrum frequencies to		
29	reduce interference and improve performance.		
30			
31	BACKGROUND		
32	Spread spectrum communication typically includes two		
33	type of techniques: direct sequence spread spectrum (DSSS),		
34	where the information signal in-phase and quadrature-phase		
35	are varied; and frequency hopping spread spectrum (FHSS),		
36	where the information carrier frequency is varied. Moreover,		
37	these techniques can include formats for what is known as		
38	time division multiple access (TDMA) and frequency division		

1 multiple access (FDMA). These formats dedicate a specific

- 2 periodic time slot or frequency to each mobile station.
- 3 Advantages of DSSS, FHSS, TDMA and FDMA include reduced co-
- 4 channel interference and improved information channel
- 5 capacity over a given bandwidth. While these techniques can
- 6 be employed independently, they can also be combined.
- 7 One limitation of existing communication networks is
- 8 that the base station must have a multiplicity of dedicated
- 9 transmitters and receivers to adequately process all the
- 10 mobile station signals. Since each base station transmitter
- 11 and receiver can communicate only one frequency, a large
- 12 number of transmitters and receivers are required to serve
- 13 the communication network employing multiple frequencies.
- 14 For example, eight transmitters and eight receivers are
- 15 required to serve eight receive frequencies and eight
- 16 transmit frequencies.
- Moreover, since existing communication networks use a
- 18 multiplicity of dedicated transmitters and receivers, a fault
- 19 can cause data to be lost, or even cause the network to
- 20 malfunction. When a transmitter or receiver is broken, the
- 21 network must operate in a reduced capacity, if it can operate
- 22 at all.
- 23 Another limitation of existing communication networks is
- 24 that the FHSS protocol sequence is predetermined. That is,
- 25 the frequency hops are periodic within the same frequency
- 26 set. This results in continual interference from other
- 27 operating electro-magnetic fields. The existing
- 28 communication protocols do not adapt to avoid interference.
- 29 Another limitation of existing communication networks is
- 30 that the processing is performed within a central signal
- 31 processor. A central signal processor employs software to
- 32 perform the procedures necessary to process the data. While
- 33 this configuration provides high flexibility, it is also slow
- 34 and requires high computational and memory overhead.
- 35 Another limitation of existing communication networks is
- 36 that in the communication protocol, the specific periodic
- 37 TDMA time slot is fixed. Each mobile station is entitled to
- 38 a single slot and may not receive an additional slot even if

other mobile stations are not fully utilizing their 1 respective information channel capacity.

3 4

#### SUMMARY

The present invention relates to a spread spectrum 5 communication network with adaptive frequency agility. 6 7 particular, the present invention is used in a cellular 8 communication network to improve the information channel capacity by adapting the spread spectrum frequencies to reduce interference and improve performance. 10 Exemplary 11 12

embodiments are provided for use with the Global Systems for Mobile Communication (GSM) protocol. 13 A base station communicates with a plurality of mobile stations over a cellular network. In one embodiment, the 14 base station includes a receiver having a receiver 15 16 synthesizer input, where the receiver is configured to receive inbound information from the mobile station on a 17 first predetermined frequency. The receiver further has two 18 programmable frequency sources that are configured to 19 alternately supply a receiver synthesizer input signal to the 20 21 The base station also includes a transmitter receiver. having a transmitter synthesizer input, where the transmitter 22 23 is configured to transmit outbound information to the mobile station on a second predetermined frequency. The transmitter 24 25 further has two programmable frequency sources that are 26 configured to alternately supply a transmitter synthesizer 27 input signal to the transmitter. A processor is connected to 28 the receiver and the transmitter and is configured to decode the inbound information and to encode the outbound information to communicate with the mobile station.

29

30

31 two-way communication continues by programming and then

32 alternately selecting the receive synthesizers to receive on

33 the correct frequency, and by programming and then

alternately selecting the transmit synthesizers to transmit 34

35 on the correct frequency.

In another embodiment, the communication frequencies are 36 37 modified to reduce interference. The processor maintains

38 statistics on the communication error rates and modifies the

frequency hopping table (also known as a mobile allocation 2 table) to avoid error prone frequencies. This is an adaptive modification based on the communication error rates with respect to frequency. In a first aspect of the invention, the base station gathers error rate statistics. In a second aspect of this embodiment, both the base station and the mobile station gather error rate statistics since they each transmit and receive in different frequency bands. 8 third aspect of this embodiment, the base station has an 9 additional receiver that receives on the mobile station 10 receiver frequency band. The additional receiver scans the 11 available mobile station receive frequencies to identify 12 those frequencies that contain interference and those 13 frequencies that are clear. Then, the base station processor 14 modifies the frequency hopping table to avoid error prone 15 16 frequencies. 17 The advantages of the present invention include reduced 18 interference, improved communication bandwidth, fault tolerance, and more efficient and cost-effective base 19 20 stations and mobile stations. 21 22 BRIEF DESCRIPTION OF THE DRAWINGS 23 Additional advantages of the invention will become 24 apparent upon reading the following detailed description and 25 upon reference to the drawings, in which: 26 Figure 1 depicts a cellular network showing several base stations and several mobile stations; 27 28 Figures 2A-C illustrate the frequency bands allocated to GSM communication, a typical frequency hopping table, and the 29 30 GSM frequency hopping algorithm; 31 Figure 3 illustrates a speech waveform sampled and assembled into a digital GSM format; Figure 4 illustrates a GSM frame and associated data; 34 Figure 5 depicts one embodiment of a base station

32 33

35 architecture according to the invention;

Figure 6 is a flow chart showing steps performed by the 36

37 base station of Figure 5 for controlling frequency;

Figure 7 depicts another embodiment of a base station architecture according to the invention;

Figure 8 depicts one embodiment of a mobile station according to the invention;

Figures 9A-B are flow charts showing steps performed by the base station of Figure 5 and the mobile station of Figure 8 to gather and store statistics regarding communication error rates;

Figure 10 depicts another embodiment of a base station according to the invention, where the base station includes an additional receiver to scan the mobile station receive frequency band; and

Figure 11 is a flow chart showing steps performed by the base station of Figure 10 to gather and store statistics regarding communication error rates.

15 16 17

27

28

29

13

14

### DETAILED DESCRIPTION

The present invention relates to a spread spectrum 18 communication network with adaptive frequency agility. 19 particular, the present invention is used in a cellular 20 21 communication network to improve the information channel capacity by adapting the spread spectrum frequencies to 22 improve performance and reduce interference. 23 embodiments are provided for use with the Global Systems for 24 Mobile Communication (GSM) communication protocol. 25 26

The exemplary embodiments are described herein with reference to specific configurations and protocols. Those skilled in the art will appreciate that various changes and modifications can be made to the exemplary embodiments while remaining within the scope of the present invention.

remaining within the scope of the present invention. 30 31 A first embodiment is described with reference to 32 Figures 1 through 6. Figure 1 is a relatively general illustration of a cellular communication network. 33 of base stations (BS) 10 are positioned to serve a number of 34 35 geographically distinct cells, for example cell A and cell B. 36 Each base station 10 is responsible for serving all the mobile stations (MS) 200 within its respective cell boundary. 37

38 To perform this task, each base station 10 downloads a

1 frequency hopping table (also known as a mobile allocation

- table) to each mobile station 200 so that the communication
- 3 between base station 10 and mobile station 200 is on
- 4 predefined frequencies, as explained more fully below.
- 5 A base station controller (BSC) 12 is connected to every
- 6 base station 10, typically via land line 92, and controls the
- 7 communication between users, such as between mobile station
- 8 users or existing infrastructure telephone users. Moveover,
- 9 base station controller 12 controls the hand-off from one
- 10 base station 10 to another base station 10 as a mobile
- 11 station 200 moves among cells.
- 12 A protocol selected for the embodiments is the Global
- 13 Systems for Mobile Communication (GSM) protocol. The GSM
- 14 protocol is lengthy and complicated. Therefore, the salient
- 15 features are discussed with respect to the embodiments. For
- 16 additional information on the subject, the reader is referred
- 17 to the GSM specification. One important GSM protocol
- 18 requirement is frequency hopping spread spectrum (FHSS).
- 19 That is, sequentially communicating over more than one
- 20 frequency.
- 21 Figure 2A shows the allocated frequency spectrum for GSM
- 22 communication (from the mobile station standpoint). As can
- 23 be seen, the mobile station transmit frequency band  $(T_f)$  is
- 24 disjoint from the mobile station receive frequency band  $(R_f)$ .
- 25 Each of these frequency bands occupies approximately 25MHz.
- 26 Within that 25MHz, there are 124 200KHz frequency steps on
- 27 which the communication frequencies are permitted to hop.
- 28 The specific hopping sequence is a function of the GSM
- 29 hopping algorithm defined by the GSM specification and a
- 30 given frequency hopping table that is downloaded from base
- 31 station 10 to mobile station 200. An example frequency
- 32 hopping table is presented in Figure 2B. Based on the GSM
- 33 hopping algorithm (Figure 2C), the mobile station receiver
- 34 and transmitter operate on specified 200KHz frequencies in
- 35 their respective frequency bands  $T_f$ ,  $R_f$ . Of course, the base
- 36 station  $T_f$  and  $R_f$  correspond to the mobile station  $R_f$  and  $T_f$
- 37 respectively.

```
1
          Since GSM is a digital data communication network,
    Figure 3 shows how a speech waveform is sampled and digitally
 2
    encoded.
              Figure 4 shows how the encoded data is formatted
 3
 4
    into the GSM word.
                        Note that the information from one mobile
    station 200 is processed and placed into a specific time slot
 5
    reserved for that particular mobile station 200 within a TDMA
 6
 7
            Further, note that after the TDMA frame is collected,
    a multiframe is constructed from 26 TDMA frames, including 24
 8
    TDMA speech frames and 2 control frames. Beyond the
 9
    multiframe are superframes and hyperframes.
10
                                                  There are 51
11
    multiframes in a superframe, and there are 2048 superframes
12
    in a hyperframe.
                      The hyperframe number is one variable used
13
    by the GSM frequency hopping algorithm to define the
14
    frequency hopping sequence.
15
         Based on the GSM frequency hopping algorithm (Figure
    2C), the TDMA frames are then frequency hopped over the
16
    frequencies of the frequency hopping table. The mobile
17
18
    station receivers are also periodically hopped onto a fixed
19
    monitor frequency that is unique to each base station.
20
    frequency hopping serves to spread the communication signal
    over the frequency bands T_f, R_f. An advantage of spread
21
    spectrum is reduced interference effects from other electro-
22
23
    magnetic sources and other base station/mobile station
24
    communications. For the mobile station, three frequencies
    are tuned onto in one 4.615ms TDMA time frame (transmit,
25
    receive, monitor). Each mobile station transmitter and
26
27
    receiver synthesizer has 1 or 2 time slots (4.615ms times 1/8
28
    or 2/8, i.e., .58ms or 1.15ms) to change frequencies.
29
    Frequency hopping once per frame is easily accomplished
30
    because the synthesizers have plenty of time (1 or 2 time
31
    slots) to settle before a new reception or transmission is
32
    required. However, the base station receiver and transmitter
33
    have only 30\mu s to change frequencies (the time duration of
    the guard bits). This short time period is difficult to
34
35
    accommodate, so the invention incorporates a plurality of
36
    receiver synthesizers and transmitter synthesizers as now
37
    explained.
```

1

Figure 5 depicts a base station 10 having a receiver 20, a transmitter 40 and a processor 80. As shown, receiver 20 2 and transmitter 40 share common antenna 21 via diplexer 23. 3 This configuration is possible since the receive frequency 4 and transmit frequency are different (see Figure 2A). 5 Diplexer 23 is used to permit the receive frequency to pass 7 from antenna 21 to receiver 20, and to permit the transmit frequency to pass from transmitter 40 to antenna 21. 8 9 Receiver 20 and transmitter 40 each employ two independent synthesizers in order to facilitate fast frequency agility. 10 11 The detail of the embodiment and the operation is explained 12 with reference to the Figure 6 flow chart. 13 The reset step 102 is performed only at start-up, such 14 as when base station 10 initially comes on-line or when 15 recovering from a power failure. Step 104 is turns off 16 transmitter 40 to prevent invalid transmission before 17 initialization of the base station 10. Thereafter, step 106 18 waits for the processor 80 to perform its self-test and other 19 required procedures before base station 10 can become operational in the cellular network. Step 108 calculates the 20 21 required first frequency and the subsequent second frequency 22 from the GSM hyperframe number and the frequency hopping 23 table. Once these first and second frequencies are calculated, the first and second receiver synthesizers 32, 24 25 34, and transmitter synthesizers 52, 54 are programmed to generate the required frequencies. At this point, the 26 27 switches 36, 56 are set to provide the mixers 24, 44 with the 28 frequencies from the first synthesizers 32, 52 respectively. 29 A loop sequence begins with step 110, where processor 80 30 waits for the transmitter interrupt from the CPU 82 to indicate that the TDMA frame should be processed. If the 31 32 step 112 is being queried for the first time (i.e., transmitter 40 was turned off in step 104), step 114 is 33 performed to turn transmitter 40 on. Once transmitter 40 is 34 35 on, step 116 proceeds to transmit a TDMA frame and then to toggle the transmitter synthesizer selector switch 56 to the 36 other transmitter synthesizer 54. Step 116 also calculates 37 38

1 the next transmitter frequency and programs the previously

- 2 active synthesizer 52 to generate that frequency.
- 3 When the receiver interrupt occurs in step 118, step
- 4 120 proceeds to receive a TDMA frame and then to toggle the
- 5 receiver synthesizer selector switch 36 to the other receiver
- 6 synthesizer 34. Step 120 also calculates the next receiver
- 7 frequency and programs the previously active synthesizer 32
- 8 to generate that frequency.
- 9 Steps 110 through 120 are then repeatedly performed to
- 10 transmit and receive the TDMA frames to and from the mobile
- 11 stations 200 on the proper frequencies. This configuration
- 12 of the dual synthesizer receiver 20 and dual synthesizer
- 13 transmitter 40 permits base station 10 to faithfully
- 14 accomplish all the frequency hops required for proper
- 15 communication.
- 16 It is important to note that base station 10 of Figure 5
- 17 employs processor 80 to orchestrate the synthesizers 32, 34,
- 18 52, 54 and the synthesizer switches 36, 56. Processor 80
- 19 includes a central processing unit (CPU) 82 for performing
- 20 many of the general procedures required to communicate over
- 21 the network with mobile station 200. Processor 80 also
- 22 performs procedures necessary to communicate with base
- 23 station controller 12. A digital signal processor (DSP) 84
- 24 is included in processor 80 to perform many of the
- 25 application specific and computationally intensive procedures
- 26 such as encoding and decoding the TDMA frame data. As shown,
- 27 the processor 80 also includes memory (RAM) 86 and bulk disk
- 28 memory 88. Moreover, user interface 90 is provided to
- 29 receive instructions from a user and to display requested
- 30 information. Ground line 92 is also provided to connect to
- 31 base station controller 12 and other base stations 10 as
- 32 required by the GSM specification.
- In actual implementation, it is useful to employ a
- 34 plurality of receivers in order to perform both TDMA and
- 35 FDMA, as provided by the GSM specification. In a
- 36 conventional configuration, each receiver is tuned to a fixed
- 37 frequency and frequency-hopped information from the mobile
- 38 stations is received by various receivers depending on the

1 specified communication frequency. Then the conventional '

- 2 processor must re-assemble inbound information from a
- 3 plurality of receivers to obtain data from one mobile
- 4 station. Moreover, the conventional processor must dis-
- 5 assemble outbound information and deliver it to a plurality
- 6 of transmitters to properly transmit information to a mobile
- 7 station.
- Figure 7 depicts another embodiment of a base station 10
- 9 according to the invention. There are provided a plurality
- 10 of receivers 20A-J that are frequency agile (as shown in
- 11 Figure 5). Hence, receivers 20A-J can be programmed to
- 12 receive various frequencies over time and can receive
- 13 information from each mobile station 200 on a respective one
- 14 of receivers 20A-J. This feature permits both FDMA received
- 15 signals and TDMA received signals associated with one mobile
- 16 station 200 to be received by one of the receivers 20A-J.
- 17 Because processor 80 programs the receiver synthesizers,
- 18 processor 80 has a priori knowledge of which receiver 20A-J
- 19 is receiving communication signals from which mobile station
- 20 200. This information permits the processor to more
- 21 efficiently process the inbound data. For example, if the
- 22 signal from one mobile station 200 is always received in
- 23 receiver card one 20A, then the processor 80 can reduce its
- 24 control logic (hardware, software, or both) to avoid the
- 25 conventional step of re-assembling a mobile station's data
- 26 from a number of different receivers. Also, configuring a
- 27 plurality of frequency agile receivers 20A-J in parallel
- 28 permits processor 80 to reconfigure receivers 20A-J at any
- 29 time a fault is detected. If, for example, processor 80
- 30 detects a fault in receiver 20A (e.g., by self-test, null
- 31 data, or corrupted data), processor 80 re-programs another
- 32 receiver, such as receiver 20J, to operate on the parameters
- 33 that were previously assigned to receiver 20A. The feature
- 34 of agile receivers and enhanced processing resource
- 35 allocation reduces overhead, permits fault tolerance, and
- 36 increases throughput since it eliminates a processing step.
- 37 There are also provided a plurality of transmitters 40A-
- 38 K that are frequency agile (as in Figure 5). Hence,

1 transmitters 40A-K can be programmed to transmit various .

- 2 frequencies over time and can transmit information to each
- 3 mobile station 200 on a respective one of transmitters 40A-K.
- 4 This feature permits both FDMA transmitted signals and TDMA
- 5 transmitted signals associated with one mobile station 200 to
- 6 be transmitted by one of the transmitters 40A-K. Because
- 7 processor 80 programs the transmitter synthesizers, processor
- 8 80 has a priori knowledge of which transmitter 40A-J is
- 9 transmitting communication signals to which mobile station
- 10 200. This information permits the processor to more
- 11 efficiently process the outbound data. For example, if the
- 12 signal to one mobile station 200 is always transmitted by
- 13 transmitter one 40A, then the processor 80 can reduce its
- 14 control logic (hardware, software, or both) to avoid the
- 15 conventional step of dis-assembling a mobile station's data
- 16 and delivering it to a number of different transmitters.
- 17 Also, configuring a plurality of frequency agile transmitters
- 18 40A-K in parallel permits processor 80 to reconfigure
- 19 transmitters 40A-K at any time a fault is detected. If, for
- 20 example, processor 80 detects a fault in transmitter 40A
- 21 (e.g., by self-test, null data received by the mobile
- 22 station, or corrupted data), processor 80 re-programs another
- 23 transmitter, such as transmitter 40K, to operate on the
- 24 parameters that were previously assigned to transmitter 40A.
- 25 The feature of agile transmitters and enhanced processing
- 26 resource allocation reduces overhead, permits fault
- 27 tolerance, and increases throughput since it eliminates a
- 28 processing step.
- 29 As shown, receivers 20A-J and transmitters 40A-K are
- 30 coupled to receive antenna 22 and transmit antenna 42
- 31 respectively. However, a common antenna 21 can be employed
- 32 as shown in Figure 5. Also as shown, transmitters 40A-K are
- 33 coupled to single transmit antenna 42. However, if
- 34 transmitters 40A-K are sensitive to back propagation of each
- 35 other's transmissions, a plurality of transmit antennas (42A-
- 36 K) can be employed with each transmitter having its own
- 37 transmit antenna. Moreover, corresponding receivers and
- 38 transmitters, e.g. 20A and 40A, 20B and 40B, 20C and 40C, can

1 be grouped and combined to have common antennas 21A, 21B and

- 2 21C respectively, as shown in Figure 5.
- 3 Additional base station embodiments are described in
- 4 CELLULAR BASE STATION WITH INTELLIGENT CALL ROUTING, U.S.
- 5 Ser. No. 08/434,598, filed on May 4, 1995, Attorney docket
- 6 No. A-61115, which is incorporated herein by reference.
- 7 A mobile station 200 is depicted in Figure 8. Mobile
- 8 station 200 is similar to base station 10, but requires less
- 9 hardware since the purpose is to serve only one user. A
- 10 receiver 220 is provided connected to a common antenna 222
- 11 via diplexer 223. Processor 280 reads the stored frequency
- 12 hopping table and calculates the proper receive frequency for
- 13 the inbound TDMA frame. Processor 280 then programs receiver
- 14 synthesizer 232 to generate that frequency. Receiver
- 15 synthesizer 232 provides the frequency to the receiver mixer
- 16 224, which down-mixes the received signal and provides an
- 17 information signal to processor 280. Processor 280 then
- 18 decodes the received TDMA frame. Processor 280 includes a
- 19 CPU 282, DSP 284, RAM 286 and user interface 290 (e.g. keypad
- 20 and LCD display), much like base station 10. A transmitter
- 21 240 is provided connected to the common antenna 222 via
- 22 diplexer 223. The CPU reads the frequency hopping table and
- 23 calculates the proper transmit frequency for the outbound
- 24 TDMA frame. Processor 280 then programs the transmitter
- 25 synthesizer 252 to generate that frequency. Processor 280
- 26 encodes the transmit TDMA frame data. Transmitter
- 27 synthesizer 252 then provides the transmit frequency to the
- 28 transmitter mixer 244, which up-mixes an information signal
- 29 containing the TDMA frame data and provides a radio frequency
- 30 signal to be transmitted via antenna 222.
- In another embodiment, the frequency hopping table is
- 32 modified to reduce interference. This is done by continually
- 33 monitoring the error rates of the communication. Processor
- 34 80 maintains statistics on the communication error rates and
- 35 modifies the frequency hopping table to avoid error-prone
- 36 frequencies.
- In a first aspect of this embodiment, shown in Figures
- 38 9A-B, base station 10 gathers error rate statistics. This

1 feature of gathering statistics of bit error rates (BER) is

- included in the GSM protocol specification. Base station 10
- 3 operation is shown in Figure 9A flow chart 300. Receiver 20
- 4 receives the signal from mobile station 200 and decodes the
- 5 TDMA frame in step 302. Then the TDMA raw data is error-
- 6 corrected by the CPU 80 to obtain valid data. In step 304
- 7 the processor 80 builds a database storing the errors with
- 8 respect to frequency. Ordinarily the errors stored are bit
- 9 error rates (BER). If few errors are detected, step 308
- 10 continues the receiving steps for receiving the signal from
- 11 mobile station 200 without modification. However, if an
- 12 error-prone frequency is observed in step 306, step 310
- 13 calculates a different set of frequencies that may have less
- 14 error-prone tendencies and then re-programs base station 10
- 15 and mobile station 200 with a new frequency hopping table.
- 16 Step 310 may estimate which frequencies are less crowded, or
- 17 may look to the error rate database to avoid error-prone
- 18 frequencies.

- In a second aspect of this embodiment, also described
  - with respect to Figures 9A-B, both base station 10 and mobile
- 21 station 200 gather statistics since they each transmit and
- 22 receive on different frequencies. This feature of gathering
- 23 error rate statistics of is included in the GSM communication
- 24 protocol specification. Base station 10 operation is shown
- 25 in Figure 9A flow chart 300. Receiver 20 receives the signal
- 26 from mobile station 200 and down-mixes the information signal
- 27 in step 302. Then the TDMA raw data is decoded by the
- 28 processor 80 and error corrected to obtain valid data. In
- 29 step 304 the processor 80 builds a database storing the
- 30 errors with respect to frequency. Ordinarily the errors
- 31 stored are bit error rates (BER). If few errors are
- 32 detected, step 308 continues the receiving steps for
- 33 receiving the signal from mobile station 200 without
- 34 modification. However, if an error-prone frequency is
- 35 observed in step 306, step 310 calculates a different set of
- 36 frequencies that may have less error-prone tendencies and
- 37 then re-programs base station 10 and mobile station 200 with
- 38 a new frequency hopping table. Step 310 may estimate which

frequencies are less crowded, or may look to the error rate database to avoid error-prone frequencies.

3 Figure 9B shows mobile station 200 detecting and storing 4 error-rate statistics. The receiver 220 receives the signal from the base station 10 and down-mixes the information signal in step 322. Then the TDMA raw data is decoded by the 7 processor 280 and error corrected to obtain valid data. step 324 the processor 280 builds a database storing the 9 errors with respect to frequency. Ordinarily the errors stored are bit error rates (BER). This information is 10 11 uploaded to base station 10 to make a determination of 12 whether the error-rate statistics warrant modifying the mobile station transmit frequency hopping table. 13 14 error-prone frequency is observed in step 324, then Figure 9A step 310 calculates a different set of frequencies that may

15

16 have less error-prone tendencies and then re-programs base

17 station 10 and mobile station 200 with a new frequency

hopping table. Step 310 may estimate which frequencies are 18

less crowded, or may look to the error rate database to avoid 19

error-prone frequencies. 20

In a third aspect of this embodiment depicted in Figure 21 10, base station 10 has an additional receiver 30 that 22 23 receives on the mobile station receiver frequency band.

24 additional receiver 30 scans available frequencies to

identify frequencies that contain interference and 25

26 frequencies that are clear. Figure 11 shows a flow chart 450

27 where the receive frequency is received in step 452 and the

transmit frequency is received in step 454. 28

alternative, the receiver 30 is designed to scan the 29

frequencies for noise. The receiver 30 is never tuned to the 30

31 same frequency as the transmitter 40. This avoids saturation

32 of the receiver 30. In another alternative, the receiver 30

is located away from the transmitter 40, and the receiver 30 33

is tuned to the same frequency as the transmitter 40. 34

35 either event, the purpose of the additional receiver 30 is to

employ a directly accessible receiver that provides either 36

noise level or error rate feedback to base station 10. 37

456 checks the noise threshold or error-rate of the signal 38

- 1 from receiver 30, and checks for interference on the transmit
- 2 frequency. If no significant data corruption or interference
- 3 is present, step 458 initiates step 460, which continues the
- 4 process from the beginning. However, if step 458 detects
- 5 high corruption or interference, then step 462 is executed to
- 6 modify the transmit frequency hopping table, the receive
- 7 frequency hopping table, or both.
- 8 Advantages of the present invention include reduced
- 9 interference, improved communication bandwidth, fault
- 10 tolerance, and more efficient and cost-effective base
- 11 stations and mobile stations.
- As used herein, when a first element and a second
- 13 element are coupled, they are related to one another, but
- 14 need not have a direct path to one another. For example, an
- 15 antenna element may be coupled to a processing element via a
- 16 receiver. However, when a first element and second element
- 17 are connected, they are required to have a direct path to one
- 18 another.

19

## 20 ALTERNATIVE EMBODIMENTS

- 21 Having disclosed exemplary embodiments and the best
- 22 mode, modifications and variations may be made to the
- 23 disclosed embodiments while remaining within the scope of the
- 24 present invention as defined by the following claims.

1 What is claimed is:

2

- A base station for communicating over a cellular network
   with a mobile station, said base station comprising:
- a receiver having a receiver synthesizer input, said receiver configured to receive inbound information from the mobile station on a predetermined frequency, said receiver further having two receiver synthesizers that are configured
- 9 to alternately supply a receiver synthesizer signal to said

10 receiver synthesizer input;

- a transmitter having a transmitter synthesizer input, said transmitter configured to transmit outbound information
- 13 to the mobile station on a predetermined frequency, said
- 14 transmitter further having two transmitter synthesizers that
- 15 are configured to alternately supply a transmitter
- 16 synthesizer signal to said transmitter synthesizer input;
- a processor coupled to said receiver and said
- 18 transmitter and configured to decode said inbound information
- 19 and to encode said outbound information to communicate with
- 20 the mobile station.

- 22 2. The base station of claim 1, wherein:
- 23 said two receiver synthesizers each include a voltage
- 24 controlled oscillator combined with a phase-locked feedback
- 25 loop to maintain a respective first receiver synthesizer
- 26 frequency and second receiver synthesizer frequency, and
- 27 wherein said two receiver synthesizers are coupled to said
- 28 processor;
- 29 said receiver further includes a switch coupled to each
- 30 of said receiver synthesizers, and selectable between a first
- 31 position to deliver said first receiver synthesizer frequency
- 32 to said receiver synthesizer input and a second position to
- 33 deliver said second receiver synthesizer frequency to said
- 34 receiver synthesizer input;
- 35 said two transmitter synthesizers each include a voltage
- 36 controlled oscillator combined with a phase-locked feedback
- 37 loop to maintain a respective first transmitter synthesizer
- 38 frequency and second transmitter synthesizer frequency, and

wherein said two receiver synthesizers are coupled to said
processor; and

- 3 said transmitter further includes a switch coupled to
- 4 each of said transmitter synthesizers, and selectable between
- 5 a first position to deliver said first transmitter
- 6 synthesizer frequency to said transmitter synthesizer input
- 7 and a second position to deliver said second transmitter
- 8 synthesizer frequency to said transmitter synthesizer input.

9

- 10 3. The base station of claim 1 for further communicating
- 11 with a second mobile station, said base station further
- 12 comprising:
- a second receiver having a second receiver synthesizer
- 14 input, said second receiver configured to receive second
- 15 inbound information from the second mobile station on a
- 16 predetermined frequency, said second receiver further having
- 17 two receiver synthesizers that are configured to alternately
- 18 supply a receiver synthesizer signal to said second receiver
- 19 synthesizer input; and
- wherein said processor is further coupled to said second
- 21 receiver and configured to decode said second inbound
- 22 information to communicate with the second mobile station.

23

- 24 4. The base station of claim 3, further comprising:
- a second transmitter having a second transmitter
- 26 synthesizer input, said second transmitter configured to
- 27 transmit second outbound information to the second mobile
- 28 station on a predetermined frequency, said second transmitter
- 29 further having two transmitter synthesizers that are
- 30 configured to alternately supply a second transmitter
- 31 synthesizer signal to said second transmitter synthesizer
- 32 input; and
- wherein said processor is coupled to said second
- 34 transmitter and configured to encode said second outbound
- 35 information to communicate with the second mobile station.

36

37

The base station of claim 1 for further communicating 1 5.

- with a second mobile station, said base station further
- comprising:
- 4 a second transmitter having a second transmitter
- synthesizer input, said transmitter configured to transmit 5
- 6 second outbound information to the mobile station on a
- predetermined frequency, said second transmitter further
- having two transmitter synthesizers that are configured to
- alternately supply a transmitter synthesizer signal to said
- 10 second transmitter synthesizer input;
- 11 wherein said processor is coupled to said second
- transmitter and configured to encode said second outbound 12
- information to communicate with the second mobile station. 13

14

- 15 6. The base station of claim 3, wherein:
- said processor is configured to reprogram said second 16
- receiver when said first transmitter is broken. 17

18

- 7. The base station of claim 5, wherein: 19
- said processor is configured to reprogram said second 20
- transmitter when said first transmitter is broken. 21

- 23 A method of communicating over a cellular network
- between a mobile station and a base station having a 24
- processor, and a transmitter containing a first transmitter 25
- frequency source and a second transmitter frequency source, 26
- 27 said method comprising the steps of:
- (a) receiving, via the receiver, an initialization 28
- signal from the mobile station; 29
- 30 (b) computing a first transmit frequency from
- 31 predetermined timing information;
- 32 (c) tuning said first transmitter frequency source to
- generate said first transmit frequency; 33
- 34 (d) supplying the first transmit frequency to the
- 35 transmitter;
- (e) modulating the first transmit frequency with a first 36
- 37 transmit signal representing information, said modulating
- step producing a second transmit signal; 38

1 (f) transmitting the second transmit signal, via the 2 transmitter, to the mobile station;

- 3 (g) computing a second transmit frequency from 4 predetermined timing information plus one;
- 5 (h) tuning said second transmitter frequency source to 6 generate said second transmit frequency;
- 7 (i) supplying the second transmit frequency to the 8 transmitter;
- 9 (j) modulating the second transmit frequency with a 10 third transmit signal representing information, said 11 modulating step producing a fourth transmit signal;
- 12 (k) transmitting the fourth transmit signal, via the 13 transmitter, to the mobile station;
- 14 (1) repeating steps (b) through (k) with newly computed 15 frequencies from the predetermined timing information.
- 17 9. The method of claim 8, wherein said predetermined timing 18 information is the hyperframe number.
- 20 10. The method of claim 8, wherein said base station further 21 has a receiver containing a first receiver frequency source 22 and a second receiver frequency source, said method further 23 comprising the steps of:

16

- 26 (n) tuning said first receiver frequency source to 27 generate said first receive frequency;
- 28 (o) supplying the first receive frequency to the 29 receiver;
- (p) receiving a first receive signal, via the receiver,from the mobile station;
- 32 (q) demodulating the first receive signal with the first 33 receive frequency, said demodulating step producing a second 34 receive signal representing information;
- 35 (r) computing a second receive frequency from 36 predetermined timing information plus one;
- 37 (s) tuning said second receiver frequency source to 38 generate said second receive frequency;

1 (t) supplying the second receive frequency to the 2 receiver;

- 3 (u) receiving a third receive signal, via the receiver, 4 from the mobile station:
- 5 (v) demodulating the third receive signal with the
- 6 second receive frequency, said demodulating step producing a
- 7 fourth receive signal representing information;
- 8 (w) repeating steps (m) through (v) with a newly
- 9 computed first receive frequency and second receive frequency
- 10 from said predetermined timing information.

11

- 12 11. The method of claim 10, wherein said predetermined
- 13 timing information is the hyperframe number.

- 15 12. A method of communicating over a cellular network
- 16 between a mobile station and a base station having a
- 17 processor, and a receiver containing a first receiver
- 18 frequency source and a second receiver frequency source, said
- 19 method comprising the steps of:
- 20 (a) computing a first receive frequency from
- 21 predetermined timing information;
- 22 (b) tuning said first receiver frequency source to
- 23 generate said first receive frequency;
- 24 (c) supplying the first receive frequency to the
- 25 receiver;
- 26 (d) receiving a first receive signal, via the receiver,
- 27 from the mobile station;
- 28 (e) demodulating the first receive signal with the first
- 29 receive frequency, said demodulating step producing a second
- 30 receive signal representing information;
- 31 (f) computing a second receive frequency from
- 32 predetermined timing information plus one;
- 33 (g) tuning said second receiver frequency source to
- 34 generate said second receive frequency;
- 35 (h) supplying the second receive frequency to the
- 36 receiver:
- (i) receiving a third receive signal, via the receiver,
- 38 from the mobile station:

WO 96/35265

PCT/US96/05945 1 (j) demodulating the third receive signal with the 2 second receive frequency, said demodulating step producing a 3 fourth receive signal representing information; 4 (k) repeating steps (a) through (j) with a newly computed first receive frequency and second receive frequency 5 6 from said predetermined timing information. 7 8 13. The method of claim 12, wherein said predetermined timing information is the hyperframe number. 10 A method of communicating over a cellular network 11 between two mobile stations and a base station having a 12 processor and two frequency agile transmitters, said method 13 14 comprising the steps of: tuning the first transmitter to transmit outbound 15 information to the first mobile station; 16 17 tuning the second transmitter to transmit outbound 18 information to the second mobile station; and 19 when said second transmitter breaks, tuning the first transmitter to transmit outbound information to the second 20 mobile station. 21 22 15. The method of claim 14, wherein said base station further 23 has two frequency agile receivers, said method further 24 25 comprising the steps of: 26 tuning the first receiver to receive inbound information 27 from the first mobile station; tuning the second receiver to receive inbound 28 29 information from the second mobile station; and 30 when said second receiver breaks, tuning the first receiver to receive inbound information from the second 31 mobile station. 32 33 34 A method of communicating over a cellular network between two mobile stations and a base station having a 35 processor and two frequency agile receivers, said method 36

37

38

comprising the steps of:

1	tuning the first receiver to receive inbound information			
2	from the first mobile station;			
3	tuning the second receiver to receive inbound			
4	information from the second mobile station; and			
5	when said second receiver breaks, tuning the first			
6	receiver to receive inbound information from the second			
7	mobile station.			
8				
9	17. A base station for communicating over a cellular network			
10	with a mobile station, comprising:			
11	a receiver configured to receive inbound information			
12	from the mobile station on a first predetermined frequency;			
13	a transmitter configured to transmit outbound			
14	information to the mobile station on a second predetermined			
15	frequency; and			
16	a processor coupled to said receiver and said			
17	transmitter and configured to decode said inbound information			
18	and to encode said outbound information, said processor			
19	further configured to store statistics regarding the			
20	communication error rate over said first predetermined			
21	frequency, and when said statistics indicate a high error			
22	rate, to modify said first predetermined frequency.			
23				
24	18. The base station of claim 17, wherein:			
25	said processor is further configured to store a			
26	frequency table representing the available frequencies, and			
27	to modify said frequency table to modify said first			
28	predetermined frequency.			
29				
30	19. The base station of claim 17, wherein:			
31	said processor is further configured to store statistics			
32	regarding the communication error rate over said second			
33	predetermined frequency, and when said statistics indicate a			
34	high error rate, to modify said second predetermined			
35	frequency.			
36				
37				
38				

```
1 20. The base station of claim 19, wherein:
```

- 2 said processor is further configured to store a
- 3 frequency table representing the available frequencies, and
- 4 to modify said frequency table to modify said second
- 5 predetermined frequency.

6

- 7 21. The base station of claim 17, wherein:
- 8 said receiver is further configured to scan available
- 9 frequencies; and
- said processor is further configured to modify said
- 11 first predetermined frequency and said second predetermined
- 12 frequency based on a scan of said available frequencies.

13

- 14 22. The base station of claim 17, further comprising:
- a second receiver coupled to said processor, said second
- 16 receiver configured to receive said second predetermined
- 17 frequency and to provide information regarding said second
- 18 predetermined frequency to said processor.

19

- 20 23. The base station of claim 22, wherein:
- said second receiver is configured to scan the available
- 22 second communication frequency band and to provide said
- 23 processor with information regarding the presence of noise on
- 24 any available second predetermined frequency.

25

- 26 24. A method of communicating over a cellular network
- 27 between a mobile station and a base station having a
- 28 receiver, and a processor, said method comprising the steps
- 29 of:
- receiving inbound information from the mobile station on
- 31 a predetermined frequency;
- 32 decoding said inbound information;
- error-correcting said inbound information;
- 34 storing error statistics regarding the errors detected
- 35 in said error-correcting step; and
- 36 when the error statistics exceed a predetermined
- 37 threshold, modifying said predetermined frequency.

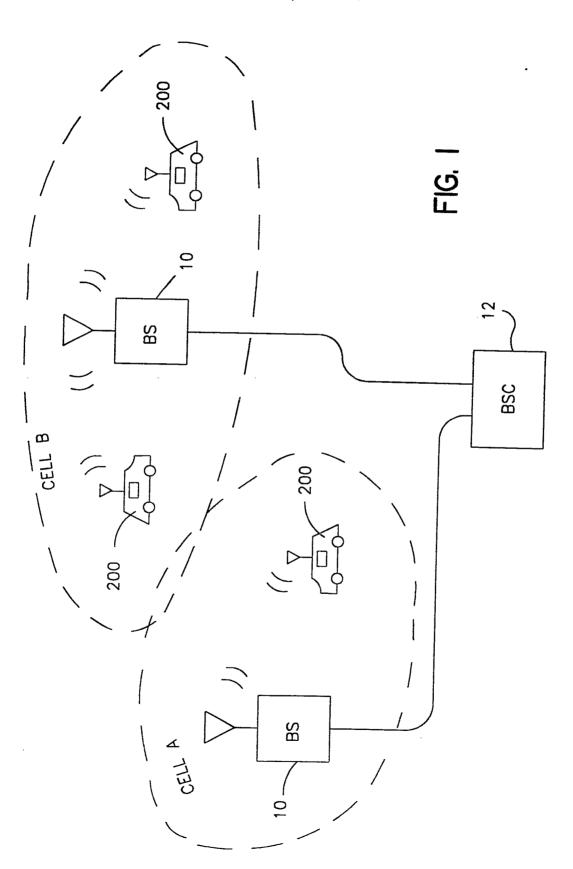
WO 96/35265 PCT/US96/05945 25. The method of claim 24, said base station further comprising a transmitter, said method comprising the steps 3 of: 4 encoding outbound information; 5 transmitting said outbound information to the mobile 6 station on a second predetermined frequency; 7 receiving second error statistics regarding errors detected in said transmitting step; 8 storing said second error statistics; and 9 10 when the second error statistics exceed a predetermined threshold, modifying said second predetermined frequency. 11 12 26. A method of communicating over a cellular network 13 14 between a mobile station and a base station having a transmitter, and a processor, said method comprising the 15 16 steps of: 17 encoding outbound information; 18 transmitting said outbound information to the mobile 19 station on a second predetermined frequency; receiving second error statistics regarding errors 20 21 detected in said transmitting step; 22 storing said second error statistics; and 23 when the second error statistics exceed a predetermined threshold, modifying said second predetermined frequency. 24 25 26 27. A method of communicating over a cellular network between a mobile station and a base station having a 28 receiver, transmitter and processor, said method comprising

- 27
- 29 the steps of:
- 30 receiving, at the base station, an off-hook signal from
- the mobile station; 31
- 32 scanning a plurality of available frequency in a
- 33 predetermined frequency band;
- 34 determining, from said scanning step, a plurality of
- preferred frequencies; 35
- 36 determining, from the plurality of preferred
- frequencies, a preferred hopping sequence of said preferred 37
- 38 frequencies;

## CA 02219921 1997-10-30

WO 96/35265 PCT/US96/05945

- transmitting to the mobile station the preferred hopping
- 2 sequence; and
- 3 communicating between the mobile station and the base
- 4 station over the preferred hopping sequence of frequencies.



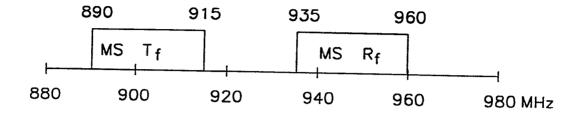


FIG. 2A

#	MS TRANSMIT	MS RECEIVE
0	m0	m0+45MHz
1	m1	m1+45MHz
2	m2	m2+45MHz
3	m3	m3+45MHz
•	•	•
•	•	•
N-1	mN-1	mN-1+45MHz

FIG. 2B

WO 96/35265

PCT/US96/05945

3/10

FN FRAME NUMBER
HSN HOPPING SEQUENCE NUMBER — 0...63
MA MOBILE ALLOCATION — SET OF N FREQUENCIES
AVAILABLE FOR USE mo...mn—1
MAIO MOBILE ALLOCATION INDEX — OFFSET IN MA TABLE
N NUMBER OF FREQUENCIES IN MA
RFCHN RAIDO FREQUENCY CHANNEL NUMBER

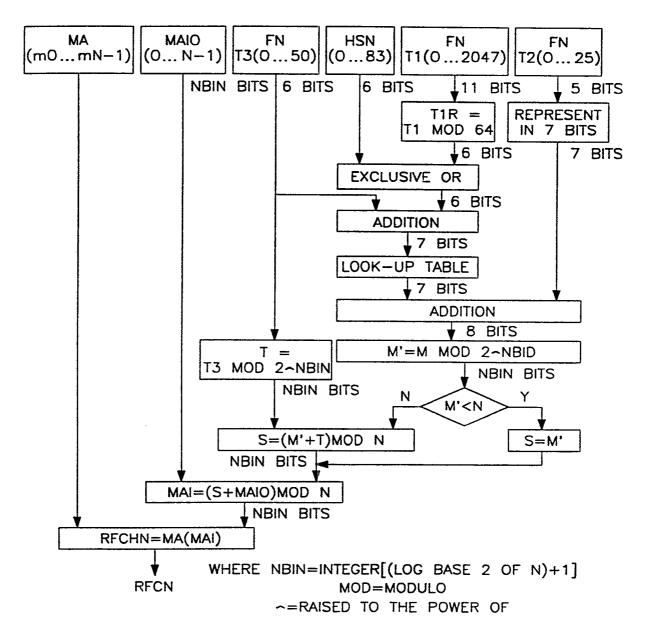
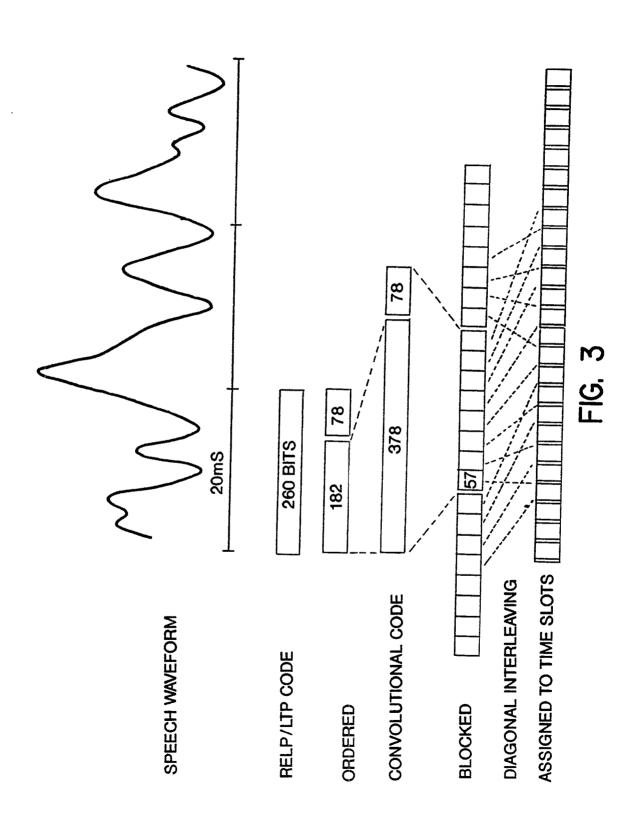
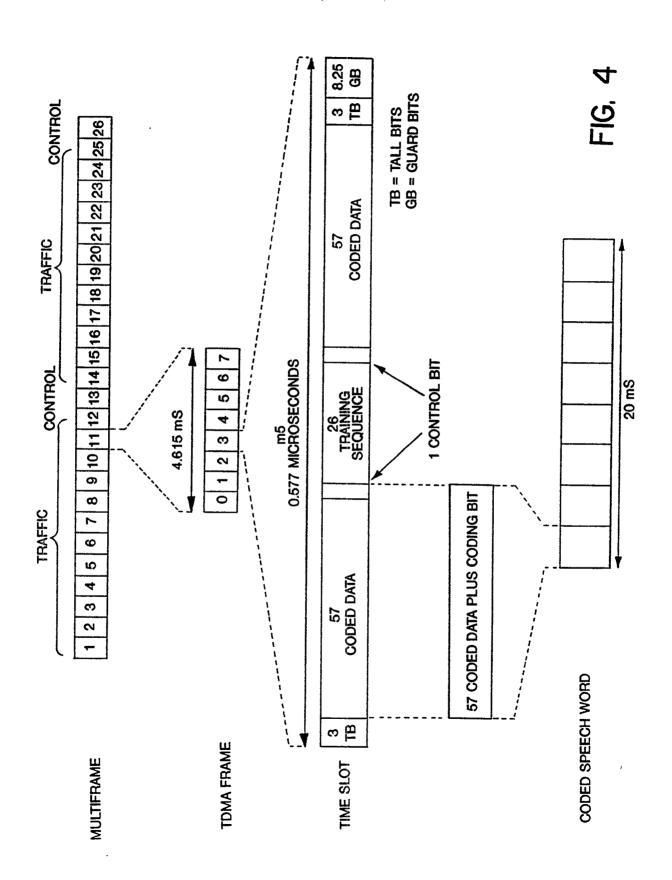
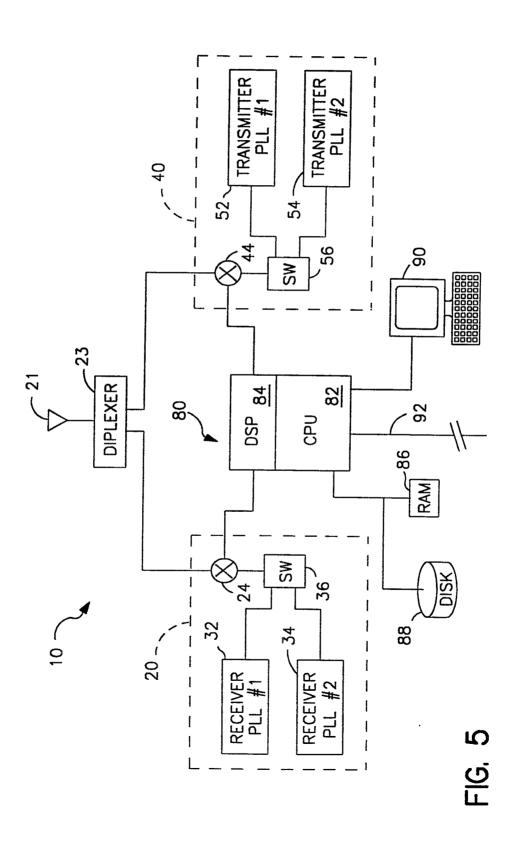
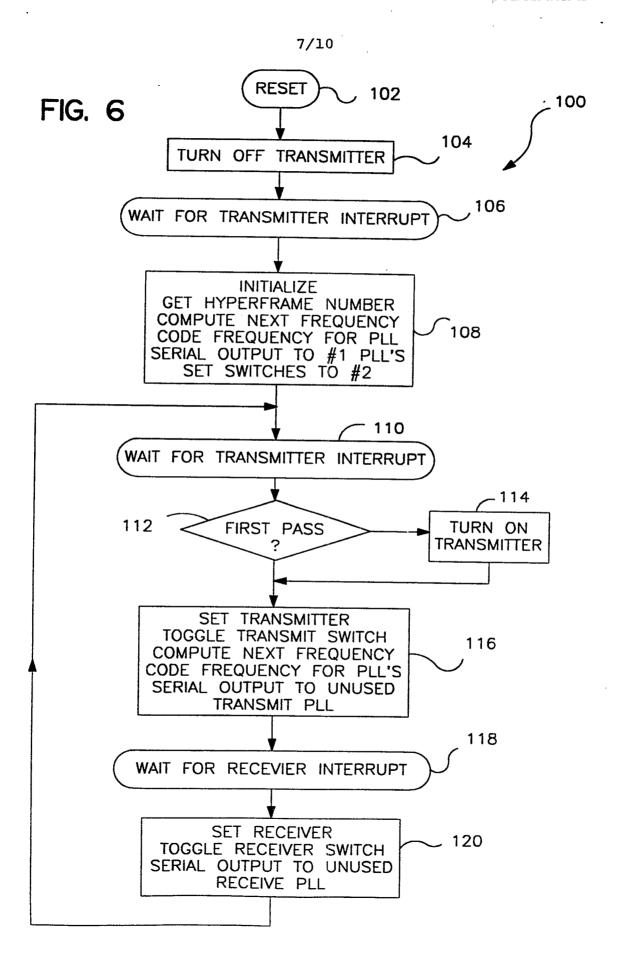


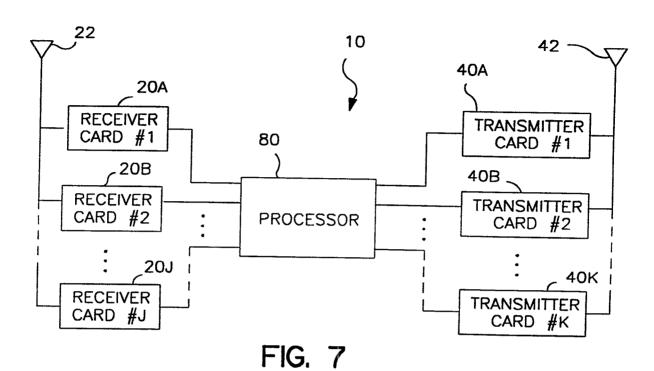
FIG. 2C

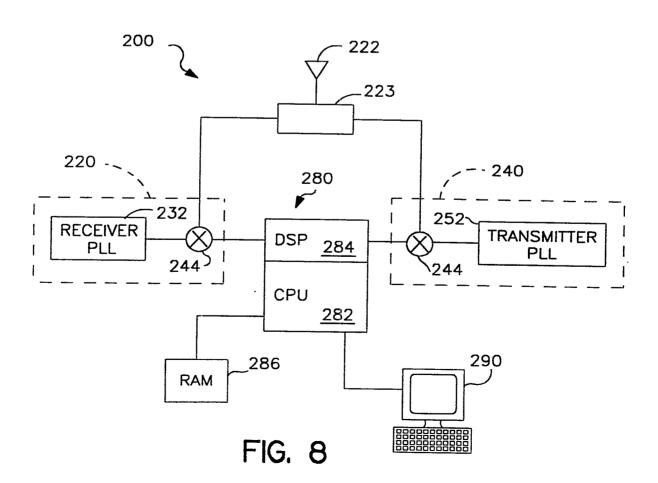


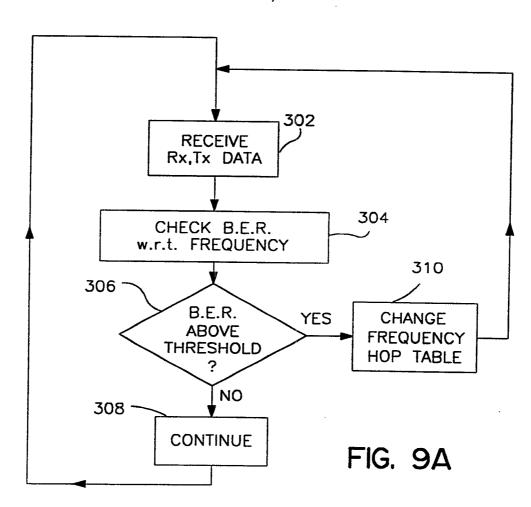


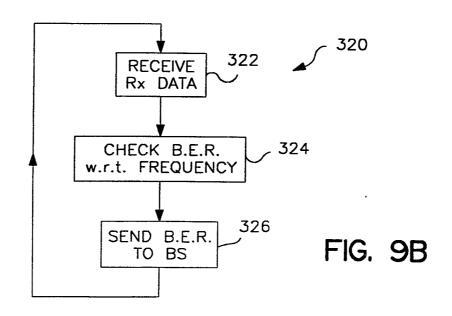












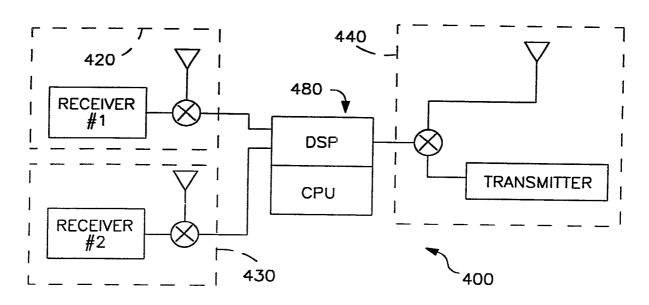


FIG. 10

